Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Part I		Identification Information							
For calend	lar plan year 2018 or fi	iscal plan year beginning 01/01/2	2018	and ending 1	2/31/2018				
a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)									
		a one-participant plan	a foreign plan						
b This ret	urn/report is	the first return/report	the final return/report						
an amended return/report a short plan year return/report (less than 12									
C Check	box if filing under:	X Form 5558	automatic extension		DFVC progra	m			
		special extension (enter desc	' '						
Part II		ormation—enter all requested in	formation		T				
1a Name	•	OLOGY PSC PROFIT SHARING F	PLAN		1b Three-dig plan numb (PN) ▶				
					1c Effective of	date of plan 01/01/2002			
		oyer, if for a single-employer plan) om, apt., suite no. and street, or P.0	O. Povl			Identification Number			
	`	ce, country, and ZIP or foreign pos	,	structions)	(EIN) 31-1552575				
CUMBERLA	ND GASTROENTER	DLOGY PSC			2c Sponsor's telephone number 606-677-2913				
	0.00.5				2d Business	code (see instructions)			
56 TOWER SOMERSET	GRULE , KY 42503-3476				621111				
3a Plan a	administrator's name a	nd address 🛛 Same as Plan Spo	nsor.		3b Administra	ator's EIN			
					3c Administra	ator's telephone number			
						·			
4 If the	name and/or FIN of th	e plan sponsor or the plan name h	as changed since the last	return/report filed for	4b EIN				
this p	lan, enter the plan spo	onsor's name, EIN, the plan name a							
a Spons C Plan N	sor's name				4d PN				
C Flair	Name								
5a Total	number of participants	s at the beginning of the plan year.			. 5a	20			
b Total	number of participants	at the end of the plan year			. 5b	20			
		account balances as of the end of			. 5c	20			
d(1) Tot	al number of active pa	articipants at the beginning of the p	lan year		5d(1)	16			
d(2) Total number of active participants at the end of the plan year					. 5d(2) 1				
		terminated employment during th			5e 2				
Caution: /	A penalty for the late	or incomplete filing of this retur	n/report will be assesse	d unless reasonable ca					
SB or Scho		ther penalties set forth in the instru and signed by an enrolled actuary, a polete.							
SIGN		d/valid electronic signature.	10/01/2019	SAMIR COOK					
HERE	Signature of plan a	administrator	Date	Enter name of individ	dividual signing as plan administrator				
SIGN									
HERE	Signature of emplo	over/plan sponsor	Date	Enter name of individ	dual signing as en	nplover or plan sponsor			

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If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF a C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see E If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing f Part III Financial Information 7 Plan Assets and Liabilities (a) Beg a Total plan assets	ERISA section 4	021)? r	Y	es X No Not determined				
7 Plan Assets and Liabilities (a) Beg	3120453	1						
	3120453							
a Total plan assets				(b) End of Year				
	3120453			3126701				
b Total plan liabilities	3120453	Tu Tu						
C Net plan assets (subtract line 7b from line 7a)	0120100			3126701				
8 Income, Expenses, and Transfers for this Plan Year (a)	Amount		(b) Total					
a Contributions received or receivable from: (1) Employers	162658			· ,				
(2) Participants	89605							
(3) Others (including rollovers)	0							
b Other income (loss)	-243711							
c Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)				8552				
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	2304							
e Certain deemed and/or corrective distributions (see instructions) 8e	0							
f Administrative service providers (salaries, fees, commissions) 8f	0							
g Other expenses								
h Total expenses (add lines 8d, 8e, 8f, and 8g)				2304				
i Net income (loss) (subtract line 8h from line 8c)				6248				
j Transfers to (from) the plan (see instructions)	Transfers to (form) the plan (against waters)							
Part IV Plan Characteristics								
9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 2G 2J 2T 3D								
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the Lis	st of Plan Chara	acteris	tic Codes	in the instructions:				
Part V Compliance Questions								
10 During the plan year:		Yes	No	Amount				
Was there a failure to transmit to the plan any participant contributions within the time peri described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Corre Program)	ection		Х					
b Were there any nonexempt transactions with any party-in-interest? (Do not include transacreported on line 10a.)			Х					
C Was the plan covered by a fidelity bond?	10c	X		300000				
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caby fraud or dishonesty?			Х					
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)				12438				
f Has the plan failed to provide any benefit when due under the plan?	10f		X					
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			Х					
h If this is an individual account plan, was there a blackout period? (See instructions and 29 2520.101-3.)			Х					
i If 10h was answered "Yes," check the box if you either provided the required notice or one exceptions to providing the notice applied under 29 CFR 2520.101-3								

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Part	VI Pension Funding Compliance			
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes 🛚 No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a		
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?		of	Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver	and enter Da		e of the letter ruling Year
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year	12b		
С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	□ No □ N/A
Part '	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s 🔀 No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under control of the PBGC?	the		Yes X No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plant which assets or liabilities were transferred. (See instructions.)	n(s) to		
1	3c(1) Name of plan(s):	(2) EIN(s))	13c(3) PN(s)

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Part Annual Report	Identification Information	1 -		(0040			
For calendar plan year 2018 or f	iscal plan year beginning 01/01/20	118	and ending 12/31				
A This return/report is for:	This return/report is for: X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
	a one-participant plan a foreign plan						
B This return/report is	the first return/report	the final return/report					
	an amended return/report	a short plan year return.	report (less than 12 mor	nths)			
C Check box if filing under:	X Form 5558	automatic extension	. [DFVC program	1		
	special extension (enter desc	cription)					
Part II Basic Plan Info	ormation—enter all requested in	nformation	· · · · · · · · · · · · · · · · · · ·				
1a Name of plan CUMBERLAND GASTROENTER	ROLOGY PSC PROFIT SHARING	PLAN		1b Three-digit plan number (PN) ▶			
			1	1c Effective da 01/01/2002			
	is a second second						
Mailing address (include roo	oyer, if for a single-employer plan) om, apt., suite no. and street, or P. ce, country, and ZIP or foreign pos	O, Box)	ictions)	2b Employer Identification Number (EIN) 31-1552575			
City or town, state or provin Cumberland Gastroenterology PS		stat code (ii toreign; acc instit	, calculation	•	telephone number 606) 677-2913		
				2d Business c	ode (see instructions)		
56 TOWER CIRCLE				021111			
SOMERSET, KY 42503-3476				3b Administrat	or's FIN		
3a Plan administrator's name a	and address 🛛 Same as Plan Sp	onsor.	L	JU Administrat			
					or's telephone number		
4 If the name and/or EIN of the this plan, enter the plan sp	he plan sponsor or the plan name onsor's name, EIN, the plan name	has changed since the last re and the plan number from th	turn/report filed for e last return/report.	4b EIN			
a Sponsor's name				4d PN			
C Plan Name							
5a Total number of participant	ts at the beginning of the plan year			5a	20		
b Total number of participant	ts at the end of the plan year			5b	20		
C Number of participants with complete this item)	h account balances as of the end c	of the plan year (only defined	contribution plans	5c	20		
d(1) Total number of active p	participants at the beginning of the	plan year		5d(1)	16		
d(2) Total number of active p	participants at the end of the plan y	ear		5d(2)	15		
than 1000/ worked	no terminated employment during t			5e	2		
Caution: A penalty for the late	e or incomplete filing of this retu other penalties set forth in the instr	irn/report will be assessed	uniess reasonable cau	ort including if	applicable, a Schedule		
SB or Schedule MB completed belief, it is true, dorrect, and col	and signed by an enrolled actuary	, as well as the electronic ver	sion of this return/report	, and to the best	of my knowledge and		
SIGN / P.		10/1/2019	Samir Cook				
HERE Signature of plan	administrator	Date	Enter name of individu	ıal signing as pla	n administrator		
SIGN							
HERE Signature of emp	loyer/plan sponsor	Date	Enter name of individu	ıal signing as em	ployer or plan sponsor Form 5500-SF (2018)		

							Пу Пи		
6a	Were all of the plan's assets during the plan year invested in eligib	le assets?	(See instructions.)				Yes No		
þ	Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility	an indeper and condit	ident qualified public a	ccounta	nt (IQI	PA) 	X Yes No		
	If you answered "No" to either line 6a or line 6b, the plan cann	ot use Fo	rm 5500-SF and must	instea	d use	Form	5500.		
С	If the plan is a defined benefit plan, is it covered under the PBGC in	surance p	rogram (see ERISA se	ction 40	21)? .		Yes No Not determined		
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this pl	an year			(See instructions.)		
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning o	f Year	_		(b) End of Year		
а	Total plan assets	7a		312045	3	3126701			
b	Total plan liabilities	7b		_		_			
С	Net plan assets (subtract line 7b from line 7a)	7c	<u> </u>	312045	3	3126701			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	<u>t</u>	終之	(b) Total			
a	Contributions received or receivable from: (1) Employers	8a(1)		16265	(389)				
	(2) Participants	8a(2)		8960	1.00	7037			
	(3) Others (including rollovers)	8a(3)			0				
b	Other income (loss)	8b	1305.2	-24371	1				
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				51788A.	8552		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	_8d			2304				
е	Certain deemed and/or corrective distributions (see instructions)	8e			0				
f	Administrative service providers (salaries, fees, commissions)	8f			0				
g	g Other expenses			589407 - N. SERE	i Ç	2304			
<u>h</u>	h Total expenses (add lines 8d, 8e, 8f, and 8g)					6248			
i_	i Net income (loss) (subtract line 8h from line 8c)				3:	er toler i de	0248		
<u>j</u>	Transfers to (from) the plan (see instructions)	8j					The state of the s		
Pa	rt IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2G 2J 2T 3D								
b	If the plan provides welfare benefits, enter the applicable welfare	feature cod	des from the List of Pla	n Chara	cterist	ic Cod	les in the instructions:		
Pa	rt V. Compliance Questions			_			· · · · · · · · · · · · · · · · · · ·		
10	During the plan year:				Yes	No	Amount		
í	Was there a failure to transmit to the plan any participant contributed in 29 CFR 2510.3-102? (See instructions and DOL's Program)	Voluntary I	Fiduciary Correction	10a		x			
	Were there any nonexempt transactions with any party-in-interes reported on line 10a.)	t? (Do not	include transactions	10b		х			
	Was the plan covered by a fidelity bond?			10c	Х		300000		
	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		Х			
	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)		10e	х		12438			
	f Has the plan failed to provide any benefit when due under the plan?			10f		X			
	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		Х	and the second of the second o		
	h If this is an individual account plan, was there a blackout period? 2520.101-3.)		······	10h		х			
	If 10h was answered "Yes," check the box if you either provided exceptions to providing the notice applied under 29 CFR 2520.1	the require	ed notice or one of the	10i_					

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	Form 5500-SF (2018)	Page 3- 1					
Part	VI Pension Funding Compliance					·	
11	Is this a defined benefit plan subject to minimum funding requ (Form 5500) and line 11a below)	irements? (If "Yes," see instructions a	and complete Sch	edule SB		Y	es X No
11a	Enter the unpaid minimum required contributions for all years	from Schedule SB (Form 5500) line	10	11a			
12	Is this a defined contribution plan subject to the minimum fun ERISA?	ding requirements of section 412 of the	ne Code or sectio	n 302 of		_ Y	es 🛛 No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e b	pelow, as applicable.)					
	If a waiver of the minimum funding standard for a prior year is granting the waiver.		Month	i enter th Day	e date of	the letter Year	ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Scho	edule MB (Form 5500), and skip to I	ine 13.				
b	Enter the minimum required contribution for this plan year		<u></u>	12b			_
С :	Enter the amount contributed by the employer to the plan for the	nis plan year		12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enegative amount)	Enter the result (enter a minus sign to	the left of a	12d		, ,	
e	Will the minimum funding amount reported on line 12d be me			<u> </u>	Yes	No	N/A
Part '				_	_		
13a	Has a resolution to terminate the plan been adopted in any plan y	ear?	<u></u>	[Yes	×Ν	0
	If "Yes," enter the amount of any plan assets that reverted to	the employer this year		13a			
b						Yes X	No
С	If, during this plan year, any assets or liabilities were transfer which assets or liabilities were transferred. (See instructions.	red from this plan to another plan(s), i)	dentify the plan(s) to			
1	13c(1) Name of plan(s):	<u> </u>	13c(2	EIN(s)		13c(3	PN(s)
			1		1		