Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Part I		: Identification Information	1						
For calend	lar plan year 2018 or f	iscal plan year beginning 01/01/2	2018	and ending 1	2/31/2018				
A This re	turn/report is for:	X a single-employer plan		n (not multiemployer) (Filers checking this box must attach a bloyer information in accordance with the form instructions.)					
		a one-participant plan	a foreign plan						
b This ret	urn/report is	the first return/report	the final return/repor						
		an amended return/report	a short plan year ret	urn/report (less than 12 m	nonths)				
C Check	box if filing under:	Form 5558	automatic extension	1	DFVC progra	m			
		special extension (enter desc	• •			_			
Part II	Basic Plan Info	ormation—enter all requested in	formation						
1a Name of plan MARLER CLARK LLP PS 401(K) PROFIT SHARING PLAN					1b Three-diginal plan numb				
					1c Effective of	date of plan 09/01/1998			
		oyer, if for a single-employer plan)			2b Employer Identification Number				
	`	om, apt., suite no. and street, or P.C ce. country, and ZIP or foreign post	,	structions)	(EIN) 91-1919048				
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) MARLER CLARK, LLP, PS				2c Sponsor's telephone number 206-346-1888					
					2d Business code (see instructions)				
1012 1ST A'5TH FLOOR					541110				
SEATTLE, V									
3a Plan a	administrator's name a	and address 🛛 Same as Plan Spo	nsor.		3b Administrator's EIN				
					3c Administra	ator's telephone number			
					JC Administra	itor s telephone number			
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.				4b EIN					
a Sponsor's name					4d PN				
C Plan N	Name								
52. Total number of participants at the hazinging of the plan year				. 5a	24				
5a Total number of participants at the beginning of the plan year					5b	21			
b Total number of participants at the end of the plan year					11				
complete this item)					5c				
d(1) Total number of active participants at the beginning of the plan year					5d(1)				
 d(2) Total number of active participants at the end of the plan year Number of participants who terminated employment during the plan year with accrued benefits that were less 					5d(2)	17			
than 100% vested					. 5e	2			
		or incomplete filing of this retur							
SB or Sch		ther penalties set forth in the instru and signed by an enrolled actuary, a polete.							
SIGN		d/valid electronic signature.	10/07/2019	BILL MARLER					
HERE	Signature of plan	administrator	Date	Enter name of individ	dual signing as pla	an administrator			
SIGN									
HERE	Signature of emplo	over/plan sponsor	Date	Enter name of individ	f individual signing as employer or plan sr				

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						. X Yes	No	
D	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						. X Yes	No	
	If you answered "No" to either line 6a or line 6b, the plan cann		•					<u>—</u>	_
С	c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No							Not dete	ermined
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this pl	lan yea	r			(See instru	ctions.)
Pai	t III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning o	of Year			(b) En	d of Year	
а	Total plan assets	7a		82221				1901703	
	Total plan liabilities	7b						8299	
С	Net plan assets (subtract line 7b from line 7a)	7c	288	82221			1893404		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total		
а	Contributions received or receivable from:	- 443							
	(1) Employers	8a(1)		121236					
	(2) Participants	8a(2)		87751					
	(3) Others (including rollovers)	8a(3)		-92331					
	Other income (loss)	8b	**	92331		116656			
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						110000	
	to provide benefits)	8d	109	96813					
	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f		8660					
g	Other expenses	8g							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						1105473	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						-988817	
j	Transfers to (from) the plan (see instructions)	8j							
Par	t IV Plan Characteristics								
9a									
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plar	n Chara	acterist	ic Cod	des in the ins	tructions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
	Was there a failure to transmit to the plan any participant contribu	tions withi	n the time period			-110		Amount	
described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X			
С	C Was the plan covered by a fidelity bond?			10c	X			5000	000
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	X			43	374
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	Χ			148	96
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X			
i				10i		X			
					<u> </u>				

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)		В	Yes 🛚 N	Ю	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes 🛛 N	Ю	
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver.	d enter t Day		of the letter ruling Year		
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s 🔀 No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?) 		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	s) to				
13c(1) Name of plan(s): 13c(2				IN(s) 13c(3) PN(s)		