# Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

**2018**

**This Form is Open to Public Inspection**

## Part I Annual Report Identification Information

For calendar plan year 2018 or fiscal plan year beginning 03/01/2018 and ending 02/28/2019

**A** This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

**B** This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

**C** If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

**D** Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

## Part II Basic Plan Information—enter all requested information

**1a** Name of plan
TRI CITY FOODS, INC. EMPLOYEE BENEFITS PLAN

**1b** Three-digit plan number (PN) ▶ 501

**1c** Effective date of plan 03/01/2004

**2a** Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)

TRI CITY FOODS, INC.

1400 OPUS PLACE
SUITE 900
DOWNERS GROVE, IL 60515

**2b** Employer Identification Number (EIN)
47-1813575

**2c** Plan Sponsor's telephone number
630-598-3330

**2d** Business code (see instructions)
721210

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| | | | |
|---|---|---|---|
| **SIGN HERE** | Filed with authorized/valid electronic signature. | 10/07/2019 | BOBBIE NELSON |
| | **Signature of plan administrator** | Date | Enter name of individual signing as plan administrator |
| **SIGN HERE** | | | |
| | **Signature of employer/plan sponsor** | Date | Enter name of individual signing as employer or plan sponsor |
| **SIGN HERE** | | | |
| | **Signature of DFE** | Date | Enter name of individual signing as DFE |

**3a** Plan administrator's name and address [X] Same as Plan Sponsor

**3b** Administrator's EIN

**3c** Administrator's telephone number

**4** If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:
**a** Sponsor's name
**c** Plan Name

**4b** EIN

**4d** PN

| | | | |
|---|---|---|---|
| **5** | Total number of participants at the beginning of the plan year | **5** | 4231 |
| **6** | Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**). | | |
| **a(1)** | Total number of active participants at the beginning of the plan year | **6a(1)** | 4231 |
| **a(2)** | Total number of active participants at the end of the plan year | **6a(2)** | 6975 |
| **b** | Retired or separated participants receiving benefits | **6b** | 23 |
| **c** | Other retired or separated participants entitled to future benefits | **6c** | 23 |
| **d** | Subtotal. Add lines **6a(2)**, **6b**, and **6c** | **6d** | 7021 |
| **e** | Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. | **6e** | |
| **f** | Total. Add lines **6d** and **6e**. | **6f** | |
| **g** | Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) | **6g** | |
| **h** | Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested | **6h** | |
| **7** | Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) | **7** | |

**8a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

4A 4B 4D 4E 4F 4H 4Q

**9a** Plan funding arrangement (check all that apply)

| | | |
|---|---|---|
| **(1)** | [X] | Insurance |
| **(2)** | [ ] | Code section 412(e)(3) insurance contracts |
| **(3)** | [ ] | Trust |
| **(4)** | [X] | General assets of the sponsor |

**9b** Plan benefit arrangement (check all that apply)

| | | |
|---|---|---|
| **(1)** | [X] | Insurance |
| **(2)** | [ ] | Code section 412(e)(3) insurance contracts |
| **(3)** | [ ] | Trust |
| **(4)** | [X] | General assets of the sponsor |

**10** Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

**a Pension Schedules**

- **(1)** [ ] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

**b General Schedules**

- **(1)** [ ] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [X] 2 **A** (Insurance Information)
- **(4)** [ ] **C** (Service Provider Information)
- **(5)** [ ] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

## Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

**11a** If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☒ No

If "Yes" is checked, complete lines 11b and 11c.

**11b** Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

**11c** Enter the Receipt Confirmation Code for the 2018 Form M-1 annual report. If the plan was not required to file the 2018 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

# SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

**2018**

**This Form is Open to Public Inspection**

For calendar plan year 2018 or fiscal plan year beginning 03/01/2018 and ending 02/28/2019

**A** Name of plan
TRI CITY FOODS, INC. EMPLOYEE BENEFITS PLAN

**B** Three-digit plan number (PN) ▶ 501

**C** Plan sponsor's name as shown on line 2a of Form 5500
TRI CITY FOODS, INC.

**D** Employer Identification Number (EIN)
47-1813575

**Part I** **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

**1** Coverage Information:

**(a)** Name of insurance carrier
METROPOLITAN LIFE INSURANCE COMPANY

| (b) EIN | (c) NAIC code | (d) Contract or identification number | (e) Approximate number of persons covered at end of policy or contract year | Policy or contract year | |
|---|---|---|---|---|---|
| | | | | (f) From | (g) To |
| 13-5581829 | 65978 | 0205205 | 2161 | 03/01/2018 | 02/28/2019 |

**2** Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

| (a) Total amount of commissions paid | (b) Total amount of fees paid |
|---|---|
| 106664 | 16802 |

**3** Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

THE PLEXUS GROUPE LLC
21805 WEST FIELD PARKWAY
SUITE 300
DEER PARK, IL 60010

| (b) Amount of sales and base commissions paid | Fees and other commissions paid | | |
|---|---|---|---|
| | (c) Amount | (d) Purpose | (e) Organization code |
| 106664 | 3876 | FEES | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

PETER MACE
5775 GLENRIDGE DRIVE
SUITE 500 BUILDING E
ATLANTA, GA 30328

| (b) Amount of sales and base commissions paid | Fees and other commissions paid | | |
|---|---|---|---|
| | (c) Amount | (d) Purpose | (e) Organization code |
| | 12926 | FEES | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

## Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

| | | | |
|---|---|---|---|
| **4** | Current value of plan's interest under this contract in the general account at year end | **4** | |
| **5** | Current value of plan's interest under this contract in separate accounts at year end | **5** | |

**6** Contracts With Allocated Funds:

**a** State the basis of premium rates ▶

| | | | |
|---|---|---|---|
| **b** | Premiums paid to carrier | **6b** | |
| **c** | Premiums due but unpaid at the end of the year | **6c** | |
| **d** | If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. | **6d** | |

Specify nature of costs ▶

**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

| | | | | | |
|---|---|---|---|---|---|
| **b** | Balance at the end of the previous year | | | **7b** | 0 |
| **c** | Additions: (1) Contributions deposited during the year | **7c(1)** | | | |
| | (2) Dividends and credits | **7c(2)** | | | |
| | (3) Interest credited during the year | **7c(3)** | | | |
| | (4) Transferred from separate account | **7c(4)** | | | |
| | (5) Other (specify below) | **7c(5)** | | | |
| | ▶ | | | | |
| | (6) Total additions | | | **7c(6)** | 0 |
| **d** | Total of balance and additions (add lines **7b** and **7c(6)**). | | | **7d** | 0 |
| **e** | Deductions: | | | | |
| | (1) Disbursed from fund to pay benefits or purchase annuities during year | **7e(1)** | | | |
| | (2) Administration charge made by carrier | **7e(2)** | | | |
| | (3) Transferred to separate account | **7e(3)** | | | |
| | (4) Other (specify below) | **7e(4)** | | | |
| | ▶ | | | | |
| | (5) Total deductions | | | **7e(5)** | 0 |
| **f** | Balance at the end of the current year (subtract line **7e(5)** from line **7d**) | | | **7f** | 0 |

## Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

**8** Benefit and contract type (check all applicable boxes)

| | | | |
|---|---|---|---|
| **a** [ ] Health (other than dental or vision) | **b** [ ] Dental | **c** [X] Vision | **d** [X] Life insurance |
| **e** [X] Temporary disability (accident and sickness) | **f** [X] Long-term disability | **g** [ ] Supplemental unemployment | **h** [ ] Prescription drug |
| **i** [ ] Stop loss (large deductible) | **j** [ ] HMO contract | **k** [ ] PPO contract | **l** [ ] Indemnity contract |

**m** [X] Other (specify) ▶ AD&D , SUPPLEMENTAL LIFE, DEPENDENT LIFE, SUPPLMENTAL AD&D, DEPENDENT AD&D

| | | | | |
|---|---|---|---|---|
| **9** Experience-rated contracts: | | | | |
| **a** Premiums: (1) Amount received | **9a(1)** | | | |
| (2) Increase (decrease) in amount due but unpaid | **9a(2)** | | | |
| (3) Increase (decrease) in unearned premium reserve | **9a(3)** | | | |
| (4) Earned (**(1)** + **(2)** - **(3)**) | | | **9a(4)** | 0 |
| **b** Benefit charges (1) Claims paid | **9b(1)** | | | |
| (2) Increase (decrease) in claim reserves | **9b(2)** | | | |
| (3) Incurred claims (add **(1)** and **(2)**) | | | **9b(3)** | 0 |
| (4) Claims charged | | | **9b(4)** | |
| **c** Remainder of premium: (1) Retention charges (on an accrual basis) -- | | | | |
| (A) Commissions | **9c(1)(A)** | | | |
| (B) Administrative service or other fees | **9c(1)(B)** | | | |
| (C) Other specific acquisition costs | **9c(1)(C)** | | | |
| (D) Other expenses | **9c(1)(D)** | | | |
| (E) Taxes | **9c(1)(E)** | | | |
| (F) Charges for risks or other contingencies | **9c(1)(F)** | | | |
| (G) Other retention charges | **9c(1)(G)** | | | |
| (H) Total retention | | | **9c(1)(H)** | 0 |
| (2) Dividends or retroactive rate refunds. (These amounts were [ ] paid in cash, or [ ] credited.) | | | **9c(2)** | |
| **d** Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement | | | **9d(1)** | |
| (2) Claim reserves | | | **9d(2)** | |
| (3) Other reserves | | | **9d(3)** | |
| **e** Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.) | | | **9e** | |
| **10** Nonexperience-rated contracts: | | | | |
| **a** Total premiums or subscription charges paid to carrier | | | **10a** | 710606 |
| **b** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs. | | | **10b** | |

## Part IV Provision of Information

**11** Did the insurance company fail to provide any information necessary to complete Schedule A? [X] Yes [ ] No

**12** If the answer to line 11 is "Yes," specify the information not provided. ▶

UNABLE TO SEPARATE BY LOCATION

# SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

**2018**

**This Form is Open to Public Inspection**

For calendar plan year 2018 or fiscal plan year beginning 03/01/2018 and ending 02/28/2019

**A** Name of plan
TRI CITY FOODS, INC. EMPLOYEE BENEFITS PLAN

**B** Three-digit plan number (PN) ▶ 501

**C** Plan sponsor's name as shown on line 2a of Form 5500
TRI CITY FOODS, INC.

**D** Employer Identification Number (EIN)
47-1813575

**Part I** **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

**1** Coverage Information:

**(a)** Name of insurance carrier
CONTINENTAL AMERICAN INSURANCE COMPANY

| (b) EIN | (c) NAIC code | (d) Contract or identification number | (e) Approximate number of persons covered at end of policy or contract year | Policy or contract year | |
|---|---|---|---|---|---|
| | | | | (f) From | (g) To |
| 57-0514130 | 71730 | 12624 | 5798 | 03/01/2018 | 02/28/2019 |

**2** Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

| (a) Total amount of commissions paid | (b) Total amount of fees paid |
|---|---|
| 307675 | 0 |

**3** Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid
THE PLEXUS GROUPE
21805 FIELD PARKWAY
300
DEER PARK, IL 60010

| (b) Amount of sales and base commissions paid | Fees and other commissions paid | | (e) Organization code |
|---|---|---|---|
| | (c) Amount | (d) Purpose | |
| 116267 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid
ROCKWELL & ASSOCIATES
303 WEST ERIE STREET
SUITE 400
CHICAGO, IL 60654

| (b) Amount of sales and base commissions paid | Fees and other commissions paid | | (e) Organization code |
|---|---|---|---|
| | (c) Amount | (d) Purpose | |
| 60394 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

NASCA & ASSOCIATES
303 WEST ERIE STREET
SUITE 400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 29373 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

BENEFIT COMMUNICATIONS INC
2977 SIDCO DRIVE
NASHVILLE, TN 37204

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 21352 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ALEXANDER KINSEL
7301 NORTH SHERIDAN ROAD
CHICAGO, IL 60626

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 8135 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ANDREW W CASPERSON AND ASSOCIATES
303 WEST ERIE
SUITE 400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 7430 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

TANESHA JONES
5821 SOUTH CALUMET AVENUE
FLOOR 3
CHICAGO, IL 60637

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 4595 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ALFREDO MALDONADO JR
2837 HOME AVENUE
BERWYN, IL 60402

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 3997 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

MICHAEL J LENEVEU
303 WEST ERIE STREET
#400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 3936 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

BENJAMIN T KINSEL
303 WEST ERIE STREET
#400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 3687 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ROBERT J AURIN
2737 NORTH HAMPDEN COURT
CHICAGO, IL 60614

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 2921 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JOHN PARISH
6033 NORTH SHERIDAN ROAD
CHICAGO, IL 60660

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 2907 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

DERRICK CYRUS
2161 NORTH CARLIFORNIA AVENUE
CHICAGO, IL 60647

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 2901 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JAMISON MYCYK
800 SOUTH WELLS STREET
APARTMENT 1032
CHICAGO, IL 60607

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 2720 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ANTONIO R TRISTINO
303 WEST ERIE
SUITE 400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 2624 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

CHANTA D TORRES
2815 SOUTH KILBOURN AVENUE
CHICAGO, IL 60623

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 2423 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

GIANNINA G GIL VERA
917 WEST EASTWOOD
#105
CHICAGO, IL 60640

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 2204 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ALEX HUEBNER
401 WEST FULLERTON PARKWAY
APARTMENT 1508E
CHICAGO, IL 60614

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 2013 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

TARA LUNDQUIST
964 SOUTH HAMILTON AVENUE
CHICAGO, IL 60643

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 1537 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

HECTOR F SANCHEZ CASTRO

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 1304 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

KEITH DOWNS
1216 WEST GRAND
CHICAGO, IL 60642

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 1282 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

DANIEL A MARINEZ
303 WEST ERIE
SUITE 400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 1235 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

LARRY S GIRLEY
5125 WEST BLOOMINGDALE
CHICAGO, IL 60639

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 1200 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

HAROLD SHEPARD
321 NORTH CLARK
#625
CHICAGO, IL 60706

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 1146 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

KAITLYNN MEADE
303 WEST ERIE STREET
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 1145 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

RAPHAEL RAHEEM
1216 WEST GRAND
CHICAGO, IL 60642

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 1101 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

CORINNA POBLOCKI
303 WEST ERIE STREET
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 961 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JUSTEN SMITH
303 WEST ERIE STREET
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 955 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ALICIA CLIFTON
3915 HUNTINGRIDGE DRIVE
LILBURN, GA 30047

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 925 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

K HARRIS & ASSOCIATES LLC
950 WEST NORTON AVENUE
SUITE 201
MUSKEGON, MI 49441

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 860 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

WILLIAM M WARDLAW JR

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 822 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

DAVID R SUTTER
303 WEST ERIE
SUITE 400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 784 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ANDREW C ROSS
1336 WEST WAVELAND AVENUE
#3
CHICAGO, IL 60613

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 618 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JOHN S HERNANDEZ
303 WEST ERIE STREET
#400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 589 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

MATTHEW J LE ANELLI
303 WEST ERIE STREET
SUITE 400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 582 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

THE PLEXUS GROUPE
21805 FIELD PARKWAY
300
DEER PARK, IL 60010

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 570 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ANNA MARINEZ
303 WEST ERIE
SUITE 400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 566 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

TODD DEVOSS
321 NORH CLARK STREET
SUITE 625
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 539 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ROBERT L SMITH
5325 SOUTH HYDE PARK
CHICAGO, IL 60615

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 518 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

THOMAS A STILLWELL
3311 WEST CULLOM AVENUE
CHICAGO, IL 60618

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 485 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

STACEY L HARVEY
303 WEST ERIE
SUITE 400
CHICAGO, IL 60614

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 457 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JOHNATHAN E PERKINS
1714 EAST 9RD STREET
CHICAGO, IL 60617

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 456 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JESSE A SAMAWI
303 WEST ERIE STREET
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 450 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

MARK D BARBIER
802 DEERTRAIL LANE
OAK BROOK, IL 60523

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 429 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

MARICELA DELGADO
303 WEST ERIE
SUITE 400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 428 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

TIMOTHY A JACKSON
303 WEST ERIE
CHICAGO, IL 60628

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 408 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

AUNYA STEPHENS
4547 SOUTH GREENWOOD AVENUE
CHICAGO, IL 60553

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 323 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

RODNEY M WARUHIU
5925 NORTH PAULINA STREET
APARTMENT 3E
CHICAGO, IL 60660

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 275 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ANDREW CASPERSON
303 WEST ERIE
SUITE 400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 249 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

DANIEL D KRIDLER
3915 HUNTING RIDGE DRIVE
LILBURN, GA 30047

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 243 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

KRISTI L HARSHBARGER
545 WEST BROMPTON
CHICAGO, IL 60657

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 240 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

SCOTT A SMITH
5300 OAKBROOK PARKWAY
SUITE 350
NORCROSS, GA 30093

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 236 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

DAVID L MORGAN
303 WEST ERIE STREET
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 221 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ALEXANDER SAMUEL
303 WEST ERIE STREET
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 208 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JORDAN C TRIEMSTRA
1142 EAST EAGLE LAKE ROAD
BEECHER, IL 60401

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 200 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

REINALDO UGARTE
1423 WEST CORTEZ STREET
3RD FLOOR
CHICAGO, IL 60642

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 200 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

STEFANIE KRIZIC
6305 NORTH MILWAUKEE
CHICAGO, IL 60646

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 194 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

BLESSING B ASILONU

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 187 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

MARILYN P SIMPSON
1743 NORTH LEAVITT
402
CHICAGO, IL 60647

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 175 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ROBERT J ARNOLD
5250 WEST HENDERSON STREET
FIRST FLOOR
CHICAGO, IL 60641

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 175 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

SCALETTA INSURANCE AGENCY
4552 NORTH OTTAWA
NORRIDGE, IL 60706

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 164 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

YESENIA A HERNANDEZ
6715 SOUTH KEELER STREET
CHICAGO, IL 60629

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 160 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ROBERT M LANE
303 WEST ERIE STREET
SUITE 400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 124 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

NIKERINA INC
303 WEST ERIE
SUITE 400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 118 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ADRIANA M GARCIA
6715 SOUTH KEELER AVENUE
CHICAGO, IL 60629

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 99 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

MATT IBACH
1107 OXFORD STREET
DOWNERS GROVE, IL 60516

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 93 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JOSEPH A NASCA
28081 WEST MAPLE AVENUE
BARRINGTON, IL 60010

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 78 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ABELARDO RODRIGUEZ

1127 NORTH CHRISTIANA AVENUE
2ND FLOOR
CHICAGO, IL 60651

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 78 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ANDREW C MENKE

303 WEST ERIE
#400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 75 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ALBERT SHUST

30 KNIGHTSBRIDGE ROAD
BUILDING 6, SUITE 603
PISCATAWAY, NJ 08854

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 67 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

LAKISHA D BROWN

177 SOUTH VAN BUREN
BRADLEY, IL 60915

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 65 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

WILLIAM M WARDLAW JR

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 61 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

FRANCESSCA R NASCA
303 WEST ERIE STREET
SUITE 400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 56 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

DINA L LONGTIN
233 EAST 13TH STREET
2302
CHICAGO, IL 60605

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 55 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ERIC J SPENGEL
303 WEST ERIE
SUITE 400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 52 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

SARAH E HELMAN
303 WEST ERIE
#400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 50 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

VERONICA MEDINA
1740 WEST 47TH STREET
CHICAGO, IL 60609

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 50 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

HENRY J SAMAAN
19W152 21ST PLACE
LOMBARD, IL 60148

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 41 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ORISSA CLAVER
303 WEST ERIE STREET
#400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 41 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

MIKE S GAYNOR
625 KENMOOR AVENUE SOUTHEAST
SUITE 200
GRAND RAPIDS, MI 49546

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 40 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

CHRISTOPHER J O'BRIEN
6133 NORTH NORTHWEST HIGHWAY
SUITE B
CHICAGO, IL 60631

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 40 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

MATTIAS MINARSCH
1719 NORTH HUDSON AVENUE
APARTMENT 1R
CHICAGO, IL 60614

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 40 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ALEJANDRO SALGADO
303 WEST ERIE STREET
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 39 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

GLORIA M REEVES
303 WEST ERIE
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 39 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

FRANK Z WILLIAMS
1812 SOUTH STATE STREET
UNIT 8
CHICAGO, IL 60616

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 37 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ORA M ANDERSON
630 NORTH HAMLIN AVENUE
CHICAGO, IL 60624

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 35 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

DAVE L SKJELFTE
396 5TH AVENUE
GRANITE FALLS, MN 56241

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 31 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

LACAIRA A ROGERS
349 SOUTH KEELER AVENUE
CHICAGO, IL 60624

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 31 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JULIE A PARACHE
4819 WEST ADDISON STREET
CHICAGO, IL 60641

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 30 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

CHRISTOPHER R CORDON
1219 SOUTH 61ST COURT
CICERO, IL 60804

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 29 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JAMES R KAPP

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 28 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

KASSANDRA R PERSONETT
303 WEST ERIE STREET
SUITE 400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 27 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

RAYMOND TOWNSEND
2219 NORTH SEELEY
UNIT 3F
CHICAGO, IL 60647

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 26 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JOANNA M ROCKWELL
303 WEST ERIE STREET
SUITE 400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 25 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

CAROLYN D AGRE
3940 189TH AVENUE NORTHWEST
NEW LONDON, MN 56273

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 25 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

IMAN M CARTER-COWANS
6616 SOUTH KENWOOD AVENUE
#202
CHICAGO, IL 60637

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 22 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

NATHANIEL S GARCIA
303 WEST ERIE STREET
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 19 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

SITORABONU ZOKHIDOVA
303 WEST ERIE STREET
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 16 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JAMES D DUDLEY
3061 EDGEWOOD COURT
COLUMBUS, GA 31907

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 16 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

DARIEL J STACK
303 WEST ERIE
SUITE 400
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 15 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JOSHUA Y HADDAD
3900 NORTH SHERIDAN ROAD
CHICAGO, IL 60613

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 15 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

TRACI L NATOLI
1145 CHENOA LANE SOUTHWEST
ORONOCO, MN 55960

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 13 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

KENNETH A KIRK
351 ATLANTA STREET
MARIETTA, GA 30060

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 12 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

SANTIAGO & ASSOCIATES LLC
950 WEST NORTON AVENUE
SUITE 201
MUSKEGON, MI 49441

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 12 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

THERESA V REDDING
71 COUNTRY CLUB DRIVE
BLOOMINGDALE, IL 60108

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 11 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JOHN PAUL THOMPSON SR
6395 SOUTH KEWAUNEE WAY
AURORA, CO 80016

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 10 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

WILLIE C DOSS

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 10 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

STEVEN VORDERLANDWEHR
2219 MCCAHILL COURT
BUFORD, GA 30519

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 10 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

MATTHEW R LORENZ
1021 PROSPECT POINT ROAD
JORDAN, MN 55352

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 9 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

RUSSELL NOWAK
8009 VALLEY DRIVE
PALOS HILLS, IL 60465

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 9 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ALEX HUEBNER

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 7 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

LEE R MCGRAW
9722 FALLVIEW COURT
VILLA RICA, GA 30180

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 7 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JACQUELYNN M BROWN

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 7 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

QINGYU CAI

556 WEST 29TH STREET
CHICAGO, IL 60616

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 6 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

MICHAEL J BECK

101 NORTH FARWELL STREET
SUITE 203
EAU CLAIRE, WI 54703

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 6 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

EDJUANA ROSS

402 NIAGARA STREET
PARK FOREST, IL 60466

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 6 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

MICHAEL R YURCZYK

5810 WEST 78TH STREET
SUITE 210
BLOOMINGTON, MN 55439

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 5 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JEFFREY M HANSEN
608 OAK COURT
ST CLOUD, MN 56304

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 4 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

MICHELLE RAE MAGUIRE
17590 ITALY PATH
LAKEVIEW, MN 55044

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 4 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

STEVEN J PALAZZOLO
1339 NORTH DEARBORN
11A
CHICAGO, IL 60610

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 4 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

BRAIN P MCCANN
303 WEST ERIE
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 4 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

DUSTIN R JOHNSON
50 HURT PLAZA SOUTHEAST
SUITE 995
ATLANTA, GA 30306

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 3 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

WENDY M RANKIN
990 PEBBLESTONE COURT
ALPHARETTA, GA 30009

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 2 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

AIDA E VER PLOEG

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 1 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

SUSANA MARTINEZ
303 WEST ERIE STREET
CHICAGO, IL 60654

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 1 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ADAM T GARDNER
101 NORTH FARWELL STREET
SUITE 203
EAU CLAIRE, WI 54703

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 1 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

ANGELINE A MEIERDING

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 1 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

JASON P SCZEPANIAK
4001 FELLAND ROAD
SUITE 106
MADISON, WI 53718

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| 1 | | | 3 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

## Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

| | | | |
|---|---|---|---|
| **4** | Current value of plan's interest under this contract in the general account at year end | **4** | |
| **5** | Current value of plan's interest under this contract in separate accounts at year end | **5** | |

**6** Contracts With Allocated Funds:

**a** State the basis of premium rates ▶

| | | | |
|---|---|---|---|
| **b** | Premiums paid to carrier | **6b** | |
| **c** | Premiums due but unpaid at the end of the year | **6c** | |
| **d** | If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. | **6d** | |

Specify nature of costs ▶

**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

| | | | | | |
|---|---|---|---|---|---|
| **b** | Balance at the end of the previous year | | | **7b** | 0 |
| **c** | Additions: (1) Contributions deposited during the year | **7c(1)** | | | |
| | (2) Dividends and credits | **7c(2)** | | | |
| | (3) Interest credited during the year | **7c(3)** | | | |
| | (4) Transferred from separate account | **7c(4)** | | | |
| | (5) Other (specify below) | **7c(5)** | | | |
| | ▶ | | | | |
| | (6) Total additions | | | **7c(6)** | 0 |
| **d** | Total of balance and additions (add lines **7b** and **7c(6)**). | | | **7d** | 0 |
| **e** | Deductions: | | | | |
| | (1) Disbursed from fund to pay benefits or purchase annuities during year | **7e(1)** | | | |
| | (2) Administration charge made by carrier | **7e(2)** | | | |
| | (3) Transferred to separate account | **7e(3)** | | | |
| | (4) Other (specify below) | **7e(4)** | | | |
| | ▶ | | | | |
| | (5) Total deductions | | | **7e(5)** | 0 |
| **f** | Balance at the end of the current year (subtract line **7e(5)** from line **7d**) | | | **7f** | 0 |

## Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

**8** Benefit and contract type (check all applicable boxes)

- **a** ☐ Health (other than dental or vision)
- **b** ☐ Dental
- **c** ☐ Vision
- **d** ☐ Life insurance
- **e** ☐ Temporary disability (accident and sickness)
- **f** ☐ Long-term disability
- **g** ☐ Supplemental unemployment
- **h** ☐ Prescription drug
- **i** ☐ Stop loss (large deductible)
- **j** ☐ HMO contract
- **k** ☐ PPO contract
- **l** ☐ Indemnity contract
- **m** ☒ Other (specify) ▶ VOLUNTARY CRITICAL ILLNESS, ACCIDENT, CANCER, HOSPITALIZATION

| | | | | |
|---|---|---|---|---|
| **9** Experience-rated contracts: | | | | |
| **a** Premiums: (1) Amount received | **9a(1)** | | | |
| (2) Increase (decrease) in amount due but unpaid | **9a(2)** | | | |
| (3) Increase (decrease) in unearned premium reserve | **9a(3)** | | | |
| (4) Earned (**(1)** + **(2)** - **(3)**) | | | **9a(4)** | 0 |
| **b** Benefit charges (1) Claims paid | **9b(1)** | | | |
| (2) Increase (decrease) in claim reserves | **9b(2)** | | | |
| (3) Incurred claims (add **(1)** and **(2)**) | | | **9b(3)** | 0 |
| (4) Claims charged | | | **9b(4)** | |
| **c** Remainder of premium: (1) Retention charges (on an accrual basis) -- | | | | |
| (A) Commissions | **9c(1)(A)** | | | |
| (B) Administrative service or other fees | **9c(1)(B)** | | | |
| (C) Other specific acquisition costs | **9c(1)(C)** | | | |
| (D) Other expenses | **9c(1)(D)** | | | |
| (E) Taxes | **9c(1)(E)** | | | |
| (F) Charges for risks or other contingencies | **9c(1)(F)** | | | |
| (G) Other retention charges | **9c(1)(G)** | | | |
| (H) Total retention | | | **9c(1)(H)** | 0 |
| (2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.) | | | **9c(2)** | |
| **d** Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement | | | **9d(1)** | |
| (2) Claim reserves | | | **9d(2)** | |
| (3) Other reserves | | | **9d(3)** | |
| **e** Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.) | | | **9e** | |
| **10** Nonexperience-rated contracts: | | | | |
| **a** Total premiums or subscription charges paid to carrier | | | **10a** | 839575 |
| **b** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs. | | | **10b** | |

## Part IV Provision of Information

**11** Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

**12** If the answer to line 11 is "Yes," specify the information not provided. ▶