Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I		Identification Information	l .					
For calend	lar plan year 2018 or fi	scal plan year beginning 01/01/2	2018	and ending 1	2/31/2018			
A This re	turn/report is for:	a single-employer plan		plan (not multiemployer) employer information in ac				
		a one-participant plan	a foreign plan					
B This ret	urn/report is	the first return/report	the final return/report					
		an amended return/report	a short plan year retu	urn/report (less than 12 m	nonths)			
C Check	box if filing under:	Form 5558	automatic extension		DFVC progra	m		
		special extension (enter desc	. ,					
Part II	Basic Plan Info	rmation—enter all requested in	formation		_			
1a Name	of plan AUTO GLASS, LLC 40	1(K) PLAN			1b Three-digi plan numb (PN) ▶			
					1c Effective of	late of plan 08/24/2005		
		yer, if for a single-employer plan)			2b Employer	Identification Number		
	`	m, apt., suite no. and street, or P.C e, country, and ZIP or foreign post	,	structions)	(EIN)	20-0641505		
•	AUTO GLASS, LLC	o, country, and <u>_</u>	(ii 10101g.1, 000 ii 1	3.1 33.101.10)		telephone number 6-277-7827		
					2d Business	code (see instructions)		
2930 4TH A'SEATTLE, V						811120		
OLATTLE, V	VA 30104							
3a Plan a	administrator's name ar	nd address X Same as Plan Spo	nsor.		3b Administra	tor's EIN		
					30 Administra	tar'a talanhana numbar		
					3C Administra	tor's telephone number		
		e plan sponsor or the plan name h			4b EIN			
	sor's name	nsor's name, Lin, the plan hame a	and the plan number nom	the last return/report.	4d PN			
C Plan N								
5a Total number of participants at the beginning of the plan year					. 5a			
		at the end of the plan year			. 5b	5b 30		
		account balances as of the end of		•	. 5c	9		
d(1) Total number of active participants at the beginning of the plan year					5d(1)	32		
d(2) Total number of active participants at the end of the plan year			5d(2)	30				
		terminated employment during the			5e	0		
Caution: A	A penalty for the late	or incomplete filing of this retur	n/report will be assesse	d unless reasonable ca				
SB or Scho		her penalties set forth in the instru nd signed by an enrolled actuary, a plete.						
SIGN		/valid electronic signature.	10/07/2019	NEF ESPINOZA				
HERE	Signature of plan a	dministrator	Date	Enter name of individ	lual signing as pla	an administrator		
SIGN								
HERE	Signature of emplo	ver/plan sponsor	Date	Enter name of individ	lual signing as em	nplover or plan sponsor		

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						. X Ye	s No		
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							. X Ye	s No	
•	If you answered "No" to either line 6a or line 6b, the plan cann							□ Nat da		
C	If the plan is a defined benefit plan, is it covered under the PBGC ir If "Yes" is checked, enter the My PAA confirmation number from th					_		(See instr	termined	
		ет вос р	remain ming for this p	ian yea	'			(366 1130	uctions.)	
Pa	rt III Financial Information		Г							
7	Plan Assets and Liabilities		(a) Beginning				(b) En	d of Year		
<u>a</u>	Total plan assets	7a	1:	134917			133890			
	Total plan liabilities	7b		0						
	Net plan assets (subtract line 7b from line 7a)	7c	1:	134917		133890				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
a	Contributions received or receivable from: (1) Employers	8a(1)								
	(2) Participants	8a(2)		12531						
	(3) Others (including rollovers)	8a(3)								
<u>b</u>	Other income (loss)	8b	-	-11180						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				1351				
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d		2338						
е	Certain deemed and/or corrective distributions (see instructions) \dots	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		40						
g	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						2378	j	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						-1027		
<u>j</u>	Transfers to (from) the plan (see instructions)	8j								
Pai	rt IV Plan Characteristics									
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2T 3D 3B 3H									
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X			,	
	Was the plan covered by a fidelity bond?		10c	Х			19	3500		
d				10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	X				460	
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X				
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i						

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Part	VI Pension Funding Compliance			
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a		
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?		f	Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver	and enter Da		of the letter ruling Year
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year	12b		
С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part '	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under control of the PBGC?	he		Yes X No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	n(s) to		
1	3c(1) Name of plan(s):	(2) EIN(s)		13c(3) PN(s)