Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Part I		Identification Information							
For calend	lar plan year 2018 or fi	scal plan year beginning 01/01/2	2018	and ending 1:	2/31/2018				
A This re	turn/report is for:	x a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
		a one-participant plan	a foreign plan						
B This return/report is		the first return/report	the final return/report						
		an amended return/report	a short plan year retu						
C Check	box if filing under:	X Form 5558	automatic extension		DFVC progra	am			
		special extension (enter desc	' '						
Part II	Basic Plan Info	ormation—enter all requested in	formation		•				
1a Name of plan AMEDEX, LP DEFINED BENEFIT RETIREMENT PLAN					1b Three-dig plan num (PN) ▶	·			
						date of plan 01/01/1998			
		oyer, if for a single-employer plan)			2b Employer Identification Number				
		m, apt., suite no. and street, or P.C ce, country, and ZIP or foreign pos		structions)	(EIN) 11-3164638				
	ALTY ADVISORS LP	o, country, and <u>a</u> n or releight poo	(e.e.g, eee		2c Sponsor's telephone number 516-365-5100				
					2d Business code (see instructions)				
	N CITY PLAZA - SUIT ITY, NY 11530	E 432			531390				
	,								
3a Plan a	administrator's name a	nd address 🛛 Same as Plan Spo	nsor.		3b Administr	ator's EIN			
					3c Administr	rator's telephone number			
						•			
4 If the	name and/or FIN of the	e plan enongor or the plan name h	as changed since the last	return/report filed for	4b EIN				
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.									
•	sor's name				4d PN				
C Plan N	Name								
5a Total number of participants at the beginning of the plan year			. 5a	5a 2					
b Total number of participants at the end of the plan year					. 5b	b 1			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				•	5c				
d(1) Total number of active participants at the beginning of the plan year					5d(1)				
d(2) Total number of active participants at the end of the plan year					5d(2)	(2)			
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	5e 0					
Caution: /	A penalty for the late	or incomplete filing of this retur	n/report will be assesse	d unless reasonable ca					
SB or Scho		ther penalties set forth in the instru nd signed by an enrolled actuary, on plete.							
SIGN HERE		l/valid electronic signature.	10/08/2019	IVAN DOCHTER					
	Signature of plan a	administrator	Date	Enter name of individ	idual signing as plan administrator				
SIGN									
HERE	Signature of emplo	over/plan sponsor	Date	Enter name of individ	vidual signing as employer or plan sponsor				

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X	Yes No	
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)							X	Yes ∏ No
	· · · · · · · · · · · · · · · · · · ·	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.							100 <u> </u> 110
С	If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined								determined
	If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year								nstructions.)
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) E	nd of Yea	•
а	Total plan assets	7a		37290		1113446			
b	Total plan liabilities	7b		0					0
С	Net plan assets (subtract line 7b from line 7a)	7c	188	1887290			1113446		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t		(b) Total			
а	Contributions received or receivable from:	0-(4)							
	(1) Employers	8a(1)			-				
	(2) Participants	8a(2)			-				
	(3) Others (including rollovers)	8a(3)	,	2000					
		me (loss)							000
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				-32632			632
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	74	741212					
е	Certain deemed and/or corrective distributions (see instructions) \dots	8e							
f	Administrative service providers (salaries, fees, commissions)	8f							
g	Other expenses	8g							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				741212			
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i				-773844			
j	Transfers to (from) the plan (see instructions)	8j							
Pai	Part IV Plan Characteristics								
9a									
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	des from the List of Plan	n Chara	acterist	ic Cod	les in the ir	nstructions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amoun	
	Was there a failure to transmit to the plan any participant contribu	itions withi	n the time period		100	140		Amoun	
	described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X			
C	C Was the plan covered by a fidelity bond?			10c	X				115000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		Χ			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X			
f	Has the plan failed to provide any benefit when due under the plan?			10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		Χ			
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i					

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Part	VI Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)		В		Yes 🛚 No		
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a					
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?				Yes X No		
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver						
lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.						
b	b Enter the minimum required contribution for this plan year						
С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No	N/A		
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		X Yes No				
If "Yes," enter the amount of any plan assets that reverted to the employer this year					(
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X No			
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to					
1	13c(1) Name of plan(s): 13c(2)			13c(3	3) PN(s)		