Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2018

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
HELIX ENVIRONMENTAL PLANNING 401 K PROFIT SHARING PLAN TRUST

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 01/01/1998

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
HELIX ENVIRONMENTAL PLANNING

7578 EL CAJON BLVD STE 200
LA MESA, CA 91942-4646

2b Employer Identification Number (EIN)
33-0493636

2c Plan Sponsor's telephone number
619-462-1515

2d Business code (see instructions)
541990

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/08/2019	KRISTIN OLSZAK
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a	Plan administrator's name and address [X] Same as Plan Sponsor	**3b**	Administrator's EIN
		3c	Administrator's telephone number
4	If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: **a** Sponsor's name **c** Plan Name	**4b**	EIN
		4d	PN
5	Total number of participants at the beginning of the plan year	**5**	183
6	Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1)	Total number of active participants at the beginning of the plan year	**6a(1)**	157
a(2)	Total number of active participants at the end of the plan year	**6a(2)**	176
b	Retired or separated participants receiving benefits	**6b**	2
c	Other retired or separated participants entitled to future benefits	**6c**	27
d	Subtotal. Add lines **6a(2)**, **6b**, and **6c**	**6d**	205
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	0
f	Total. Add lines **6d** and **6e**.	**6f**	205
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	149
h	Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	11
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2E 2G 2J 2T 3D 2F 2K

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)		**9b** Plan benefit arrangement (check all that apply)	
(1)	[X] Insurance	**(1)**	[ ] Insurance
(2)	[ ] Code section 412(e)(3) insurance contracts	**(2)**	[ ] Code section 412(e)(3) insurance contracts
(3)	[X] Trust	**(3)**	[X] Trust
(4)	[ ] General assets of the sponsor	**(4)**	[ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [X] 1 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2018 Form M-1 annual report. If the plan was not required to file the 2018 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2018

This Form is Open to Public Inspection

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A Name of plan
HELIX ENVIRONMENTAL PLANNING 401 K PROFIT SHARING PLAN TRUST

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
HELIX ENVIRONMENTAL PLANNING

D Employer Identification Number (EIN)
33-0493636

Part I **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
GREAT-WEST LIFE ANNUITY INSURANCE COMPANY

(b) EIN	**(c)** NAIC code	**(d)** Contract or identification number	**(e)** Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	**(g)** To
84-0467907	68322	334881-01	42	01/01/2018	12/31/2018

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	**(b)** Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	1001608
5	Current value of plan's interest under this contract in separate accounts at year end	5	0

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	6d	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☒ other ▶ GROUP ANNUITY CONTRACT

b	Balance at the end of the previous year			7b	674967
c	Additions: (1) Contributions deposited during the year	7c(1)	51670		
	(2) Dividends and credits	7c(2)			
	(3) Interest credited during the year	7c(3)	9547		
	(4) Transferred from separate account	7c(4)	991012		
	(5) Other (specify below)	7c(5)	2838		
	▶ LOAN REPAYMENT & FORFEITURES				
	(6) Total additions			7c(6)	1055067
d	Total of balance and additions (add lines **7b** and **7c(6)**)			7d	1730034
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	850		
	(2) Administration charge made by carrier	7e(2)	3169		
	(3) Transferred to separate account	7e(3)	724407		
	(4) Other (specify below)	7e(4)	0		
	▶				
	(5) Total deductions			7e(5)	728426
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			7f	1001608

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

a ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance
e ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug
i ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	0
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	0
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.			**10b**	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2018** **This Form is Open to Public Inspection.**

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A Name of plan
HELIX ENVIRONMENTAL PLANNING 401 K PROFIT SHARING PLAN TRUST

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
HELIX ENVIRONMENTAL PLANNING

D Employer Identification Number (EIN)
33-0493636

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

GREATWEST LIFE&ANNUITY INSURANCE CO

8515 EAST ORCHARD ROAD
GREENWOOD VILLAGE, CO 80111

84-0467907

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
64 28	RECORDKEEPER	43932	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

UBS FINANCIAL SERVICES INC

315 DEADERICK STREET 5TH FLOOR
NASHVILLE, TN 37238

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	INVEST.ADVISOR	26800	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

SAN DIEGO PENSION CONSULTANTS

5628 COPLEY DRIVE
SAN DIEGO, CA 92111

33-0461574

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
13	TPA	1410	Yes [X] No [ ]	Yes [ ] No [X]	8933	Yes [ ] No [X]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
SAN DIEGO PENSION CONSULTANTS	13	8933

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GREAT-WEST LIFE & ANNUITY INSURANCE 8515 EAST ORCHARD ROAD GREEN VILLAGE, CO 80111 84-0467907	CONTRACT ADMIN

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2018

This Form is Open to Public Inspection.

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A Name of plan
HELIX ENVIRONMENTAL PLANNING 401 K PROFIT SHARING PLAN TRUST

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
HELIX ENVIRONMENTAL PLANNING

D Employer Identification Number (EIN)
33-0493636

Part I Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)

(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: AMERICAN FUNDS EUROPACIFIC GR R5

b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
84-0467907-000	P	306843

a Name of MTIA, CCT, PSA, or 103-12 IE: AMERICAN FUNDS WASHINGTON MUTUAL R6

b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
84-0467907-000	P	81655

a Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK GLOBAL ALLOCATION INSTL

b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
84-0467907-000	P	1276896

a Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK HEALTH SCIENCES OPPS INST

b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
84-0467907-000	P	294129

a Name of MTIA, CCT, PSA, or 103-12 IE: DFA INTERMEDIATE GOVT FIXED-INCOME

b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
84-0467907-000	P	40731

a Name of MTIA, CCT, PSA, or 103-12 IE: FEDERATED MDT SMALL CAP GROWTH INST

b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
84-0467907-000	P	217343

a Name of MTIA, CCT, PSA, or 103-12 IE: JPMORGAN MID CAP VALUE L

b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
84-0467907-000	P	354691

a Name of MTIA, CCT, PSA, or 103-12 IE:	KEY GUARANTEED PORTFOLIO FUND		
b Name of sponsor of entity listed in (a):	GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	999691
a Name of MTIA, CCT, PSA, or 103-12 IE:	MFS GROWTH R4		
b Name of sponsor of entity listed in (a):	GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	223666
a Name of MTIA, CCT, PSA, or 103-12 IE:	OPPENHEIMER DEVELOPING MARKETS Y		
b Name of sponsor of entity listed in (a):	GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	197930
a Name of MTIA, CCT, PSA, or 103-12 IE:	OPPENHEIMER INTERNATIONAL BOND Y		
b Name of sponsor of entity listed in (a):	GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	200620
a Name of MTIA, CCT, PSA, or 103-12 IE:	PIONEER STRATEGIC INCOME Y		
b Name of sponsor of entity listed in (a):	GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	122225
a Name of MTIA, CCT, PSA, or 103-12 IE:	SCHWAB SMALL CAP INDEX		
b Name of sponsor of entity listed in (a):	GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	191123
a Name of MTIA, CCT, PSA, or 103-12 IE:	T. ROWE PRICE GLOBAL TECHNOLOGY		
b Name of sponsor of entity listed in (a):	GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	138322
a Name of MTIA, CCT, PSA, or 103-12 IE:	VANGUARD 500 INDEX ADMIRAL		
b Name of sponsor of entity listed in (a):	GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	1133415
a Name of MTIA, CCT, PSA, or 103-12 IE:	VANGUARD FTSE SOCIAL INDEX INV		
b Name of sponsor of entity listed in (a):	GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	56204
a Name of MTIA, CCT, PSA, or 103-12 IE:	VANGUARD MATERIALS INDEX ADM		
b Name of sponsor of entity listed in (a):	GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	107589

a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD MID-CAP VALUE INDEX ADMIRA		
b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 376592
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD REAL ESTATE INDEX ADMIRAL		
b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 398534
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET RETIREMENT 2020 INV		
b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 186264
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET RETIREMENT 2025 INV		
b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 11564
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET RETIREMENT 2030 INV		
b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 60113
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET RETIREMENT 2035 INV		
b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 21685
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET RETIREMENT 2040 INV		
b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 762565
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET RETIREMENT 2045 INV		
b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 20733
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET RETIREMENT 2050 INV		
b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 137650
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET RETIREMENT 2055 INV		
b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY		
c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 31948

a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET RETIREMENT 2060 INV

b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY

c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 89832

a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET RETIREMENT 2065 INV

b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY

c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 9027

a Name of MTIA, CCT, PSA, or 103-12 IE: VICTORY TRIVALENT INT SMALL-CAP Y

b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY

c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 292043

a Name of MTIA, CCT, PSA, or 103-12 IE: WESTERN ASSET CORE PLUS BOND FUND I

b Name of sponsor of entity listed in (a): GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY

c EIN-PN 84-0467907-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 259271

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2018

This Form is Open to Public Inspection

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A Name of plan
HELIX ENVIRONMENTAL PLANNING 401 K PROFIT SHARING PLAN TRUST

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
HELIX ENVIRONMENTAL PLANNING

D Employer Identification Number (EIN)
33-0493636

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	1506	0
(2) Participant contributions	1b(2)	1067	0
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	31073	62342
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	8113683	7601464
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	674967	1001608
(15) Other	1c(15)		

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)		
	(2) Employer real property	1d(2)		
e	Buildings and other property used in plan operation	1e		
f	Total assets (add all amounts in lines 1a through 1e)	1f	8822296	8665414
	Liabilities			
g	Benefit claims payable	1g		
h	Operating payables	1h		
i	Acquisition indebtedness	1i		
j	Other liabilities	1j		
k	Total liabilities (add all amounts in lines 1g through1j)	1k	0	0
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	8822296	8665414

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	180151	
	(B) Participants	2a(1)(B)	576171	
	(C) Others (including rollovers)	2a(1)(C)	128816	
	(2) Noncash contributions	2a(2)		
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		885138
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
	(B) U.S. Government securities	2b(1)(B)		
	(C) Corporate debt instruments	2b(1)(C)		
	(D) Loans (other than to participants)	2b(1)(D)		
	(E) Participant loans	2b(1)(E)	1993	
	(F) Other	2b(1)(F)		
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		1993
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
	(B) Common stock	2b(2)(B)		
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	347986	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		347986
	(3) Rents	2b(3)		
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)		
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		0
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
	(B) Other	2b(5)(B)		
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		-949686
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		
c Other income	2c		
d Total income. Add all **income** amounts in column (b) and enter total	2d		285431
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	397481	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		397481
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)	43382	
(4) Other	2i(4)	1450	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		44832
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		442313
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		-156882
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified **(2)** ☐ Qualified **(3)** ☒ Disclaimer **(4)** ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: SQUAR MILNER LLP **(2)** EIN: 33-0835986

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. **(2)** ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a	X		17656
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		1000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j	X		
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n		X	

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☐ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ..... ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year_____. (See instructions.)

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2018

This Form is Open to Public Inspection.

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A Name of plan
HELIX ENVIRONMENTAL PLANNING 401 K PROFIT SHARING PLAN TRUST

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
HELIX ENVIRONMENTAL PLANNING

D Employer Identification Number (EIN)
33-0493636

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 84-0467907

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):



Certified Public Accountants
and Financial Advisors

Helix Environmental Planning 401(K) Profit Sharing Plan

Financial Statements and
Supplemental Schedules
December 31, 2018 and 2017

INDEX TO FINANCIAL STATEMENTS

Independent Auditors' Report 1

Statements of Net Assets Available for Benefits as of December 31, 2018 and 2017 3

Statement of Changes in Net Assets Available for Benefits for the Year Ended December 31, 2018..... 4

Notes to Financial Statements 5

Supplemental Schedules*:

Schedule H, Line 4a – Schedule of Delinquent Participant Contributions 15

Schedule of Assets (Held at End of Year) 16

*Note: Schedules other than those listed above have been omitted because the information is otherwise disclosed, not applicable or not required by the Department of Labor Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

squarmilner
Certified Public Accountants
and Financial Advisors

Squar Milner LLP

INDEPENDENT AUDITOR'S REPORT

To the Plan Administrator
Helix Environmental Planning 401(k) Profit Sharing Plan

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Helix Environmental Planning, Inc. 401(k) Profit Sharing Plan ("the Plan"), which comprise the statement of net assets available for benefits as of December 31, 2018, the related statement of changes in net assets available for benefits for the year ended December 31, 2018, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Great-West Life and Annuity Insurance Company, the trustee of the Plan, except for comparing this information with the related information included in the financial statements. We have been informed by the Plan Administrator that the trustee holds the Plan's assets and executes transactions. The Plan Administrator has obtained a certification from the trustee as of December 31, 2018, and for the year ended December 31, 2018, that the information provided to the Plan Administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter – Supplemental Schedules

The supplemental schedules, Schedule H, Line 4a – Schedule of Delinquent Participant Contributions and Schedule H, line 4i - Schedule of Assets (Held at End of Year) as of December 31, 2018, are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purposes of additional analysis and are not a required part of the financial statements. The supplemental schedules are the responsibility of the Plan's management. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules.

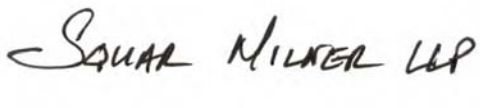
Other Matter – 2017 Financial Statements

The financial statements of the Plan as of and for the year ended December 31, 2017, were audited by other auditors. As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 (ERISA), the Plan Administrator instructed the predecessor auditors not to perform and they did not perform, any auditing procedures with respect to the information certified by the trustee. Their report, dated October 11, 2018, indicated that (a) because of the significance of the information that they did not audit, they were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, they did not express an opinion on the financial statements; and (b) the form and content of the information included in the financial statements other than that derived from the information certified by the trustee, were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

SQUAR MILNER LLP



San Diego, California
August 13, 2019

HELIX ENVIRONMENTAL PLANNING 401(k) PROFIT SHARING PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
December 31, 2018 and 2017

	2018	2017
ASSETS		
Investments, at fair value (Note 4)	$ 8,603,072	$ 8,788,650
Receivables:		
Contributions receivable from participants	–	1,067
Contributions receivable from employer	3,072	1,506
Notes receivable from participants	62,342	31,073
Total receivables	65,414	33,646
Total assets	8,668,486	8,822,296
NET ASSETS AVAILABLE FOR BENEFITS	$ 8,668,486	$ 8,822,296

HELIX ENVIRONMENTAL PLANNING 401(k) PROFIT SHARING PLAN
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
For the Year ended December 31, 2018

ADDITIONS TO NET ASSETS ATTRIBUTABLE TO:	
Investment income:	
Interest and dividends	$ 347,985
Total investment income	347,985
Interest income on notes receivable from participants	1,993
Contributions:	
Participant	576,171
Employer	183,223
Rollover	128,816
Total contributions	888,210
Total additions	1,238,188
DEDUCTIONS FROM NET ASSETS ATTRIBUTABLE TO:	
Net depreciation in fair value of investments	949,686
Distributions:	
Benefits paid directly to participants	391,138
Deemed distributions of participant loans	8,057
Total distributions	399,195
Administrative expenses	43,117
Total deductions	1,391,998
NET DECREASE IN NET ASSETS	(153,810)
NET ASSETS AVAILABLE FOR BENEFITS	
Beginning of year	8,822,296
End of year	$ 8,668,486

1. DESCRIPTION OF PLAN

The following description of Helix Environmental Planning, Inc. and Helix Construction Group, Inc.'s (collectively referred to as the "Company") Helix Environmental Planning 401(k) Profit Sharing Plan (the "Plan") provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General

The Plan is a defined contribution plan originally effective January 1, 1998, amended on January 1, 2016 and April 1, 2016. The Plan covers all employees of the Company who have attained the age of 21 and have completed six consecutive full calendar months of service. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA"). The Board of Trustees (the "Board") oversee governance of the Plan. The Plan's Administrative Committee (the "Committee") determines the appropriateness of the Plan's Investment offerings, monitors investment performance, and reports to the Board.

Contributions

For the years ended December 31, 2018 and 2017, each eligible employee may contribute up to 100% of their pretax compensation or participants may elect to designate some or all of their contributions on an after-tax basis, as defined in the Plan, to a maximum of $18,500 and $18,000, respectively. Participants who reach age 50 by the end of the tax year may contribute up to $6,000 of additional tax deferred compensation under catch-up provisions of the Plan for 2018 and 2017. Participants may also contribute amounts representing distributions from other qualified benefit or contribution plans.

The Plan provides for prevailing wage contributions. Eligible participants classified as prevailing wage employees receive contributions based on the contribution requirements associated with the type of work performed. Prevailing wage contributions are subject to special rules that apply without regard to any other provisions under the Plan. The Company made prevailing wage contributions of $114,671 for the year ended December 31, 2018.

The Plan provides for a discretionary employer matching contribution. Matching contributions, if any, are determined as a percentage of salary deferrals made by the employee into the Plan or as a uniform dollar amount. Entry date applicable to matching contributions is the date eligibility conditions are satisfied to participate in the Plan. The Company made matching contributions of $65,182 for the year ended December 31, 2018.

The Plan also allows for discretionary employer contributions for eligible participants. The entry date is January 1 or July 1 coinciding with or following the date employees satisfy eligibility conditions. The employer contributions are not limited to current or accumulated net profits and are allocated based on compensation in excess of the taxable wage base to all active participants who complete at least 1,000 hours of service during the plan year and are employed on the last day of the plan year. The Company did not make any discretionary employer contributions for the year ended December 31, 2018.

1. DESCRIPTION OF PLAN (continued)

Contributions

This Plan is designed to qualify as a Safe Harbor 401(k) Plan. Safe Harbor 401(k) Plans provide a special safe harbor employer contribution to the Plan for those participants who satisfy the eligibility requirements applicable to safe harbor employer contributions. In determining the excluded employees for purposes of safe harbor contributions, the same employees excluded for purposes of receiving salary deferrals are excluded for purposes of the safe harbor contributions. Safe harbor employer contributions are made on behalf of the participant to the Helix Environmental Planning, Inc. Employee Stock Ownership Plan equal to 3% of the participant's Plan compensation. Any safe harbor employer contributions received under the Plan replace any other employer contributions the participant may be entitled to under the Plan. The Company made 401(k) safe harbor employer contributions of $314,186 and $282,093 to the Helix Environmental Planning, Inc. Employee Stock Ownership Plan, for the years ended December 31, 2018 and 2017, respectively.

Participant Accounts

Each participant's account is credited with the participant's contribution and allocations of (a) the Company's contribution, if applicable, and (b) Plan earnings, and is charged an allocation of administrative expenses. Allocations are based on participant earnings or account balances, as defined by the Plan. A participant is entitled to benefits based on their vested account balance.

Vesting

Participants are immediately fully vested in their voluntary and rollover contributions plus actual earnings thereon. In addition, certain special contributions that are made to the Plan on the participants' behalf are 100% vested. Vesting in the Company's contributions plus actual earnings thereon is based on years of service. After the completion of the second year of service, participants vest at a rate of 20% per year. Non-vested benefits are forfeited when a participant's employment terminates. Regardless of the vesting schedule, a participant shall become fully vested upon reaching retirement age, death, or disability.

Plan Termination

It is the intention and expectation of the Plan Sponsor that the Plan will be continued indefinitely; however, continuation of the Plan is not assumed as an obligation of the Plan Sponsor. The Plan may be amended, terminated or Plan Sponsor contributions may be discontinued at any time. A complete discontinuance of contributions will constitute a termination subject to the provisions of ERISA. If the Plan is terminated, participants will become fully vested in the entire balance of their accounts, regardless of their years of service.

1. DESCRIPTION OF PLAN (continued)

Participant Loans

If authorized by the Board, participants may borrow from their account up to a maximum equal to the lesser of $50,000 or 50% of their vested benefit. Loans are secured by up to 50% of the participant's vested account and bear an interest rate established by the Company at the date the loan is processed, based on an interest rate charged by persons in the business of lending money for loans under similar circumstances. Loan terms generally range from 1-5 years or up to 10 years for the purchase of a primary residence. Participant loans outstanding for the years ended December 31, 2018 and 2017 were $62,342 and $31,073, respectively. Notes receivable include $1,993 and $661 of accrued interest at December 31, 2018 and 2017, respectively.

Payments of Benefits

Distributions from a participant's account are generally made upon termination of employment, retirement, disability, or death. Terminated employees, with account values up to $5,000, will receive a lump sum payment equal to their account value. Participants or their beneficiaries with account values greater than $5,000 have the option to receive the distribution in a lump sum payment, periodic payments over a period not to exceed life expectancy of the terminated participant, or in the form of an annuity,

Forfeitures

Nonvested employer contributions and the earnings thereon forfeited by a terminated participant may be used to reduce subsequent employer contributions and pay Plan expenses. As of December 31, 2018 and 2017, forfeited nonvested accounts totaled $1,930 and $817, respectively.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the Plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

Basis of Accounting

The accompanying financial statements of the Plan are prepared using the accrual method of accounting.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. Related fees are charged directly to the borrowing participant's account and are included in administrative expenses when incurred. As of December 31, 2018 and 2017, no allowance for credit losses has been recorded. If a participant does not make loan repayments and the Plan administrator considers the participant loan to be in default, the loan balance is reduced, and the delinquent participant note receivable is recorded as a benefit payment based on the terms of the Plan document.

Investment Valuation and Income Recognition

Investments are reported at fair value (except for fully benefit-responsive investment contracts, which are reported at contract value). Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Committee determines the Plan's valuation policies.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net depreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Payment of Benefits

Participants' benefits are a deduction to assets available for Plan benefits in the period in which the benefits are paid, in accordance with the Plan.

Administrative Expenses

Administrative expenses include the processing fees of participant loans deducted from the loan participants' accounts in accordance with Plan provisions. Other administrative expenses include investment advisory and management fees.

3. INFORMATION CERTIFIED BY TRUSTEE

Certain information related to investments and notes receivable from participants disclosed in the accompanying financial statements and supplemental schedule, including investments and notes receivable from participants held at December 31, 2018 and 2017, and net depreciation in fair value of investments, interest and dividends for the year ended December 31, 2018, was obtained or derived from information supplied to the Plan Administrator and certified as complete and accurate by Great-West Life and Annuity Insurance Company (the trustee of the Plan).

4. FAIR VALUE MEASUREMENTS

Financial Accounting Standards Board ("FASB") Accounting Standards Codification ("ASC") 820, *Fair Value Measurements and Disclosures* ("ASC 820") framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets and liabilities (level 1) and the lowest priority to unobservable inputs (level 3). Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs. The three levels of the fair value hierarchy under ASC 820 are described as follows:

- Level 1—Inputs to the valuation methodology are quoted prices (unadjusted) in active markets for identical assets or liabilities that the Plan can access at the measurement date.
- Level 2—Inputs other than quoted prices included within Level 1 that observable for the assets or liability, either directly or indirectly, such as:
    a. Quoted prices for similar assets or liabilities in active markets
    b. Quoted prices for identical or similar assets or liabilities in inactive markets
    c. Inputs other than quoted prices that are observable for the asset or liability
    d. Inputs that are derived principally from or corroborated by observable market data by correlation or other means

  If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the assets or liability.
- Level 3—Inputs to the valuation methodology include prices or valuation techniques that require inputs that are unobservable and significant to the overall fair value measurement.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used as of December 31, 2018 and 2017.

Mutual funds: Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the U.S. Securities and Exchange Commission. These funds are required to publish their daily net asset value and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Stable Value Fund: The Putnam Stable Value Fund is a common/collective trust that holds synthetic guaranteed investment contracts, collateralized mortgage obligations, managed bond portfolios, and cash. Units of the common/collective trust are valued at Net Assets Value ("NAV") as practical expedient, an amount that approximates fair value.

Fixed Annuities: Fixed annuities are guaranteed investment contract, which are valued at fair value by the insurance company by discounting the related cash flows based on current yields of similar instruments with comparable durations considering the creditworthiness of the issuer (see Note 5). Because the participants transact at contract value, fair value is determined annually for financial statement annually for financial statement reporting purposes only. In determining the reasonableness of the methodology, the Trustee evaluates a variety of factors including review of existing contract, economic conditions, industry and market developments, and overall credit ratings. Certain unobservable inputs are assessed through review of contract terms (for example, duration or payout date) while others are substantiated utilizing available market date (for example, swap curve rate).

4. FAIR VALUE MEASUREMENTS (continued)

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2018:

	Fair Value Measurements at December 31, 2018	
	Quoted Prices in Active Markets (Level 1)	Total
Mutual funds	$ 7,603,394	$ 7,603,394
Total assets in the fair value hierarchy	7,603,394	7,603,394
Investments measure at net asset value[1]	–	999,678
Investments at fair value	$ 7,603,394	$ 8,603,072

[1]In accordance with ASC 820-10, certain investments that were measured at net asset value per share (or its equivalent) have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the line items presented in the statements of net assets available for benefits.

Fair Value of Investments Using Net Asset Value Per Share at December 31, 2018

The following table sets forth additional disclosures of the Plan's investments whose fair value is estimated using net asset value per share (or its equivalent) as a practical expedient of fair value as of December 31, 2018:

Instrument	Fair Value	Unfunded Commitment	Redemption Frequency	Redemption Notice Period
Putnam Stable Value Fund	$ 999,678	N/A	Daily	12 months

4. FAIR VALUE MEASUREMENTS (continued)

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2017:

	Fair Value
Mutual Funds (Level 1)	$ 8,113,683
Fixed Annuities (Level 2)	674,150
Forfeitures	817
Investments at fair value	$ 8,788,650

5. INVESTMENT CONTRACT WITH INSURANCE COMPANY

In 2012, the Plan entered into a benefit-responsive investment contract with Great-West. Great-West maintains the contributions in a general account. The account is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The contract is included in the financial statements at contract value as reported to the Plan by Great-West. Contract value represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value. The guaranteed investment contract issuer is contractually obligated to repay the principal and a specified interest rate that is guaranteed to the Plan.

Since the guaranteed investment contract is fully benefit-responsive, contract value is the relevant measurement attribute for that portion of the net assets available for benefits attributable to the guaranteed investment contract. At December 31, 2017, contract value was equal to fair value. As of December 17, 2018, all amounts in the guaranteed investment contract were transferred to the Putnam Stable Value Fund, and accordingly, the value of the guaranteed investment contract at December 31, 2018 was $0.

There are no reserves against contract value for credit risk of the contract issuer or otherwise. The fair value of the investment contract at December 31, 2017 was $674,150, respectively. The crediting interest rate is based on the earnings of the underlying assets in the entire medium-long term new portfolio compared to the minimum interest crediting rate, as stated in the contract, and prevailing market conditions. Interest crediting rate is reset quarterly.

Certain events limit the ability of the Plan to transact at contract value with the issuer. Such events include the following: (1) amendments to the Plan documents (including complete or partial plan termination or merger with another plan), (2) changes to the Plan's prohibition on competing investment options or deletion of equity wash provisions, (3) bankruptcy of the Plan Sponsor or other Plan Sponsor events (for examples, divestitures or spin-off of a subsidiary) that cause a significant withdrawal from the Plan, or (4) the failure of the trust to qualify for exemption from Federal income taxes or any required prohibited transaction exemption under ERMA. The Plan Administrator does not believe that any events which would limit the Plan's ability to transact at contract value with participants are probable of occurring.

5. INVESTMENT CONTRACT WITH INSURANCE COMPANY (continued)

The guaranteed investment contract permits the termination of the contract with at least 60 days advance written notice by either party.

	2017
Average yields:	
Based on actual earnings	1.10%
Based on interest rate credited to participants	1.10%

6. QUALIFIED NON-ELECTIVE EMPLOYER CONTRIBUTION

During 2019, the Plan Sponsor determined that certain participant contributions during the period 2013-2019 had not been made in accordance with the respective participant's deferral authorization and that the related employer matching contributions had not been remitted. During 2019, the Plan Sponsor self-corrected this operational failure in accordance with the Employee Plans Compliance Resolution System, as issued by the IRS by contributing 50% of the authorized deferral amount during the period plus 100% of the applicable matching contribution. In addition, in 2019 the Plan Sponsor contributed estimated lost earnings on the deferral and matching contribution amounts. The Plan Sponsor contributed a total of $3,332 to the Plan in connection with this correction. Of this amount, a total of $3,072 is included as an employer contribution receivable as of December 31, 2018 and as employer contributions for the year ended December 31, 2018. The balance of $260 will be reflected as employer contributions in 2019.

7. RELATED PARTY TRANSACTIONS

Certain non-fiduciary administrative services are provided by Great West Trust Company (the "Trustee"). Accordingly, transactions with the Trustee qualify as party-in-interest transactions. Fees paid by the Plan for administrative services were $43,117 and $30,257 for the years ended December 31, 2018 and 2017, respectively.

All of these party-in-interest transactions are exempt from the prohibited transaction rules of ERISA.

8. TAX STATUS

The Plan Sponsor has obtained an opinion letter dated March 31, 2014, in which the Internal Revenue Service ("IRS") stated that the Plan document was in compliance with applicable requirements of the IRC. Although the Plan has been amended since receiving the determination letter the Plan Administrator believes that the Plan is designed and is currently being operated in accordance with the Internal Revenue Code ("IRC").

8. TAX STATUS (continued)

GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the organization has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan Administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2018, there are no uncertain positions taken or expected to be taken that would require recognition of the liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions and the Plan could be subject to income tax if issues were found by the IRS that resulted in the disqualification of the Plan's tax-exempt status; however, there are currently no audits for any tax periods in progress.

9. RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Because of the level of risks associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the financial statements.

10. PROHIBITED TRANSACTIONS

As required by ERISA Section 2510.3-102, the Plan Sponsor is required to segregate participant contributions from its general assets and remit them to the Plan as soon as practicable, but in no event more than 15 business days following the end of the month in which amounts are withheld from wages. Non-timely remittances of participant contributions and loan payments are considered to be prohibited transactions.

During 2018, the Plan Sponsor failed to remit certain participant contributions and loan repayments totaling $17,656 in a timely manner as defined by ERISA. The Plan Sponsor determined the total amount owed for potential lost earnings to affected participants relating to 2018 late remittances totaled approximately $22, which the Plan Sponsor plans to remit to the Plan in 2019.

11. RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following is a reconciliation of net assets available for benefits per the financial statements to Form 5500 as of December 31, 2018:

Net assets available for benefits per the audited financial statements	$	8,668,486
Less: current year employer contribution receivable		(3,072)
Net assets available for benefits per Form 5500	$	8,665,414

The following is a reconciliation of the net increase in net assets available for benefits per the financial statements for the year ended December 31, 2018 to the Form 5500:

Net decrease in net assets available for benefits as per the financial statements	$	(153,810)
Add: current year employer contribution receivable		(3,072)
Net decrease in net assets available for benefits per Form 5500	$	(156,882)

9. SUBSEQUENT EVENTS

The Plan Sponsor has evaluated subsequent events through August 13, 2019, the date the financial statements were available to be issued.

SUPPLEMENTAL SCHEDULES

HELIX ENVIRONMENTAL PLANNING 401(k) PROFIT SHARING PLAN
SCHEDULE H, LINE 4a - SCHEDULE OF DELINQUENT PARTICIPANT CONTRIBUTIONS
EIN: 33-0493636 PLAN NUMBER: 001
DECEMBER 31, 2018

Participant Contributions Transferred Late to Plan	Total that Constitutes Nonexempt Prohibited Transactions			Total Fully Corrected Under Voluntary Fiduciary Correction Program and PTE 2002-51
Check here if Late Participant Loan Repayments are Included :	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	
Year ended December 31, 2018	$ 17,656	$ –	$ –	$ –

HELIX ENVIRONMENTAL PLANNING 401(k) PROFIT SHARING PLAN
SCHEDULE H, LINE 4(i) — SCHEDULE OF ASSETS (HELD AT END OF YEAR)
EIN: 33-0493636 PLAN NUMBER: 001
DECEMBER 31, 2018

(a)	(b) Identity of Issue, Borrower, or Similar Party	(c) Description of Investment	(d) Cost	(e) Current Value
	Stable Value Fund			
	Putnam	Putnam Stable Value Fund	**	$ 999,678
	Mutual Funds			
	Blackrock	Blackrock Global Allocation Instl	**	1,277,157
	DFA	DFA Intermediate Govt Fixed-Income I	**	1,133,415
	Vanguard	Vanguard Target Retirement 2040 Inv	**	762,565
	Vanguard	Vanguard REIT Index ADM	**	398,534
	Vanguard	Vanguard Mid Cap Value Index Admiral	**	376,592
	JP Morgan	JP Morgan Mid Cap Value Instl	**	354,691
	American Funds	American Funds Euro Pacific GR R5	**	306,843
	Blackrock	Blackrock Health Sciences Opps Inst	**	294,129
	Victory	Victory Trivalent Int Small-Cap Y	**	292,043
	Western Asset	Western Asset Core Plus Bond Fund I	**	259,271
	MFS	MFS Growth R4	**	223,666
	Federated MDT	Federated MDT Small Cap Growth Instl	**	217,343
	Oppenheimer	Oppenheimer International Bond Y	**	200,620
	Oppenheimer	Oppenheimer Developing Markets Y	**	197,930
	Schwab	Schwab Small Cap Index	**	191,123
	Vanguard	Vanguard Target Retirement 2020 Inv	**	186,264
	T. Rowe Price	T. Rowe Price Global Technology	**	138,322
	Vanguard	Vanguard Target Retirement 2050 Inv	**	137,650
	Pioneer	Pioneer Strategic Income Y	**	122,225
	Vanguard	Vanguard Materials Index Adm	**	107,589
	Vanguard	Vanguard Target Retirement 2060 Inv	**	89,832
	American Funds	American Funds Washington Mutual R6	**	81,655
	Vanguard	Vanguard Target Retirement 2030 Inv	**	60,113
	Vanguard	Vanguard 500 Index Admiral	**	56,204
	Vanguard	Vanguard FTSE Social Index Inv	**	40,731
	Vanguard	Vanguard Target Retirement 2055 Inv	**	31,948
	Vanguard	Vanguard Target Retirement 2035 Inv	**	21,685
	Vanguard	Vanguard Target Retirement 2045 Inv	**	20,733
	Vanguard	Vanguard Target Retirement 2025 Inv	**	11,564
	Vanguard	Vanguard Target Retirement 2065 Inv	**	9,027
*	Great-West Life & Annuity Insurance Company	Key Guaranteed Portfolio Fund	**	1,930
				8,603,072
*	Participant loans	Rates range from 4.25% to 8.25%	**	62,342
		Total assets held at year end		$ 8,665,414

* Investment of a party-in-interest to the Plan as defined by ERISA
** Cost not required

TRANSACTIONS FOR REVIEW (5% REPORTABLE)

HELIX Environmental Planning 401(k) Profit Sharing Plan
01-JAN-18 to 31-DEC-18

INVESTMENT OPTION	PURCHASE AMOUNT	PURCHASE UNITS/SHARES	PURCHASE COUNT	SALES AMOUNT	SALES UNITS/SHARES	SALES COUNT	COST OF SALES	TRANSACTION DATE
3KGPF	0.00	0.000000	0	(804.79)	0.000000	1	(804.79)	SERIES
4KGPF	0.00	0.000000	0	(12.43)	0.000000	26	(12.43)	SERIES
3KGPGW	1,902.13	0.000000	16	0.00	0.000000	0	0.00	SERIES
4KGPGW	12.43	0.000000	2	0.00	0.000000	0	0.00	SERIES
1VTWNX	39,881.53	1,292.738832	175	(89,408.60)	(2,879.481782)	32	(83,978.26)	SERIES
1VTTVX	12,922.17	682.041949	8	(40.47)	(2.181455)	3	(41.53)	SERIES
1VTHRX	51,964.46	1,535.152463	568	(39,205.22)	(1,132.591969)	110	(36,122.66)	SERIES
1VTTHX	26,941.90	1,258.175883	9	(2,207.70)	(105.942220)	4	(2,278.77)	SERIES
1VFORX	538,048.71	15,030.985339	544	(66,684.20)	(1,808.999270)	121	(60,382.67)	SERIES
1VTIVX	34,994.33	1,518.078152	82	(11,080.40)	(492.175584)	29	(11,404.77)	SERIES
1VFIFX	64,641.06	1,792.517300	579	(27,890.44)	(736.680614)	105	(25,256.09)	SERIES
1VFFVX	38,868.18	971.224366	250	(2,550.74)	(66.182686)	29	(2,678.01)	SERIES
1VTTSX	102,197.48	2,868.263693	636	(91,141.31)	(2,533.873807)	128	(84,963.11)	SERIES
1VLXVX	10,192.29	461.113342	106	(17.31)	(0.789593)	13	(17.83)	SERIES
1RERFX	115,711.98	2,168.187184	2373	(31,875.61)	(599.622941)	801	(32,661.89)	SERIES
1MIDJX	1,407.32	39.214172	115	(386,199.03)	(10,617.944137)	302	(280,432.76)	SERIES
1MYSIX	414,188.39	27,038.516536	2298	(16,770.91)	(1,148.203156)	470	(17,780.57)	SERIES
1ODVYX	31,018.05	751.325818	1729	(32,152.08)	(735.016211)	787	(25,663.40)	SERIES
1PRGTX	66,721.11	4,523.906434	943	(15,195.55)	(987.926652)	198	(15,250.59)	SERIES
1SHSSX	77,780.29	1,268.876262	682	(46,319.72)	(779.278837)	193	(36,736.87)	SERIES
1VMIAX	25,320.18	373.606296	335	(13,159.28)	(218.550827)	110	(12,805.54)	SERIES
1VGSLX	45,409.08	407.196045	2347	(41,745.60)	(383.782996)	1171	(43,661.35)	SERIES
1QISGX	359,385.41	14,368.098023	1560	(93,864.06)	(3,853.207350)	642	(97,021.79)	SERIES
1LADYX	1,680.21	60.834953	54	(317,716.44)	(11,282.959912)	99	(265,672.83)	SERIES
1SWSSX	96,463.05	3,206.104489	2421	(306,254.84)	(9,696.794788)	1202	(273,977.38)	SERIES
1FLMVX	103,988.64	2,705.892734	2567	(11,583.64)	(288.203251)	762	(10,808.90)	SERIES
1VIMSX	7,546.16	173.128259	232	(535,657.18)	(12,051.119272)	234	(377,927.33)	SERIES
1VMVAX	599,996.99	9,986.143382	2257	(136,175.02)	(2,352.012876)	931	(142,206.23)	SERIES
1RWMGX	26,214.61	582.127660	1287	(34,547.23)	(757.529594)	639	(32,889.37)	SERIES
1CEYIX	136.23	2.634160	12	(65,879.09)	(1,242.343472)	26	(65,074.43)	SERIES
1DRTCX	209.20	17.717647	37	(22,743.07)	(1,901.154330)	177	(24,337.91)	SERIES
1MFEJX	39,959.58	398.514507	1046	(39,223.43)	(397.203650)	310	(28,664.68)	SERIES
1VFINX	7,280.60	28.300173	255	(1,389,955.09)	(5,272.428280)	335	(1,031,650.53)	SERIES
1VFIAX	1,544,504.75	5,885.741829	3170	(244,355.71)	(988.512009)	1088	(259,737.30)	SERIES
1VFTSX	86,169.25	4,627.437140	226	(22,169.64)	(1,241.649912)	233	(23,132.00)	SERIES
1MALOX	189,756.46	10,097.765365	2469	(117,179.78)	(5,954.026807)	1005	(121,167.85)	SERIES
1DFIGX	116,026.15	9,565.198573	1053	(74,859.58)	(6,221.086230)	474	(75,637.84)	SERIES
1MFGJX	2,404.25	247.320219	184	(132,226.52)	(13,685.193007)	146	(138,044.18)	SERIES
1OIBYX	33,178.94	5,956.936194	2162	(3,753.24)	(685.126722)	537	(4,126.41)	SERIES
1STRYX	21,768.94	2,064.175501	1659	(65,173.10)	(6,155.431832)	734	(66,588.13)	SERIES
1WACPX	36,385.37	3,212.659595	2821	(6,835.96)	(603.193510)	702	(7,087.85)	SERIES
1KGPF	2,035.84	0.000000	140	(676,773.13)	0.000000	149	(676,773.13)	SERIES
1KGPGW	1,041,569.55	0.000000	1545	(50,835.62)	0.000000	625	(50,835.62)	SERIES
5% TRANSACTIONS								
1VIMSX	0.00	0.000000	0	(535,107.88)	(12,038.422849)	95	(377,522.15)	29-JAN-18
1VMVAX	535,107.88	8,834.536565	95	0.00	0.000000	0	0.00	29-JAN-18
1VFINX	0.00	0.000000	0	(1,388,279.35)	(5,265.814586)	131	(1,030,320.24)	29-JAN-18
1VFIAX	1,388,279.35	5,265.415116	131	0.00	0.000000	0	0.00	29-JAN-18
1KGPF	0.00	0.000000	0	(676,605.10)	0.000000	96	(676,605.10)	29-JAN-18
1KGPGW	676,605.10	0.000000	75	0.00	0.000000	0	0.00	29-JAN-18

TRANSACTIONS FOR REVIEW (5% REPORTABLE)

HELIX Environmental Planning 401(k) Profit Sharing Plan
01-JAN-18 to 31-DEC-18

INVESTMENT OPTION	PURCHASE AMOUNT	PURCHASE UNITS/SHARES	PURCHASE COUNT	SALES AMOUNT	SALES UNITS/SHARES	SALES COUNT	COST OF SALES	TRANSACTION DATE

LEGEND

INVESTMENT OPTION:

3KGPF	Key Guaranteed Portfolio Fund
3KGPGW	Key Guaranteed Portfolio Fund
1VTWNX	Vanguard Target Retirement 2020 Inv
1VTHRX	Vanguard Target Retirement 2030 Inv
1VFORX	Vanguard Target Retirement 2040 Inv
1VFIFX	Vanguard Target Retirement 2050 Inv
1VTTSX	Vanguard Target Retirement 2060 Inv
1RERFX	American Funds EuroPacific Gr R5
1MYSIX	Victory Trivalent Int Small-Cap Y
1PRGTX	T. Rowe Price Global Technology
1VMIAX	Vanguard Materials Index Adm
1QISGX	Federated MDT Small Cap Growth Instl
1SWSSX	Schwab Small Cap Index
1VIMSX	Vanguard Mid Cap Index Inv
1RWMGX	American Funds Washington Mutual R6
1DRTCX	Dreyfus Sustainable US Equity I
1VFINX	Vanguard 500 Index Investor
1VFTSX	Vanguard FTSE Social Index Inv
1DFIGX	DFA Intermediate Govt Fixed-Income I
1OIBYX	Oppenheimer International Bond Y
1WACPX	Western Asset Core Plus Bond Fund I
1KGPGW	Key Guaranteed Portfolio Fund
4KGPF	Key Guaranteed Portfolio Fund
4KGPGW	Key Guaranteed Portfolio Fund
1VTTVX	Vanguard Target Retirement 2025 Inv
1VTTHX	Vanguard Target Retirement 2035 Inv
1VTIVX	Vanguard Target Retirement 2045 Inv
1VFFVX	Vanguard Target Retirement 2055 Inv
1VLXVX	Vanguard Target Retirement 2065 Inv
1MIDJX	MFS International New Discovery R4
1ODVYX	Oppenheimer Developing Markets Y
1SHSSX	BlackRock Health Sciences Opps Inst
1VGSLX	Vanguard Real Estate Index Admiral
1LADYX	Lord Abbett Developing Growth I
1FLMVX	JPMorgan Mid Cap Value L
1VMVAX	Vanguard Mid-Cap Value Index Admiral
1CEYIX	Calvert Equity I
1MFEJX	MFS Growth R4
1VFIAX	Vanguard 500 Index Admiral
1MALOX	BlackRock Global Allocation Instl
1MFGJX	MFS Government Securities R4
1STRYX	Pioneer Strategic Income Y
1KGPF	Key Guaranteed Portfolio Fund

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

HELIX Environmental Planning 401(k) Profit Sharing Plan
01-JAN-18 to 31-DEC-18

INVESTMENT OPTION	MATURITY DATE	INTEREST RATE	COST OF ASSETS	CURRENT VALUE
1VTWNX			187,850.07	186,264.47
1VTTVX			12,880.64	11,564.43
1VTHRX			63,944.83	60,112.50
1VTTHX			24,663.13	21,685.04
1VFORX			813,296.42	762,564.57
1VTIVX			23,589.56	20,733.49
1VFIFX			147,120.32	137,650.45
1VFFVX			36,190.17	31,947.95
1VTTSX			99,128.84	89,832.23
1VLXVX			10,174.46	9,026.95
1RERFX			336,376.16	306,843.06
1MYSIX			396,407.68	292,042.78
1ODVYX			195,300.76	197,929.50
1PRGTX			170,002.54	138,322.19
1SHSSX			245,448.99	294,128.52
1VMIAX			109,544.79	107,588.54
1VGSLX			429,137.16	398,533.71
1QISGX			262,363.42	217,342.81
1SWSSX			204,549.53	191,123.18
1FLMVX			371,197.10	354,691.26
1VMVAX			457,790.65	376,591.65
1RWMGX			83,508.07	81,655.06
1MFEJX			161,316.31	223,665.86
1VFIAX			1,284,767.64	1,133,414.92
1VFTSX			63,037.30	56,204.07
1MALOX			1,453,210.81	1,277,157.48
1DFIGX			40,388.14	40,731.28
1OIBYX			230,247.83	200,620.06
1STRYX			129,359.95	122,225.36
1WACPX			272,663.08	259,270.61
1KGPGW		1.100	990,738.17	999,678.19
			9,306,194.52	**8,601,142.17**
PARTICIPANT LOANS	VARIOUS	4.250-6.250	54,248.44	54,284.67
FORFEITURES			1,914.56	1,929.43

Attachment to Form 5500, Schedule H, Part 4, Item I
EIN # 33-0493636

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

HELIX Environmental Planning 401(k) Profit Sharing Plan
01-JAN-18 to 31-DEC-18

INVESTMENT OPTION	MATURITY DATE	INTEREST RATE	COST OF ASSETS	CURRENT VALUE

LEGEND

INVESTMENT OPTION:

1VTWNX	Vanguard Target Retirement 2020 Inv
1VTHRX	Vanguard Target Retirement 2030 Inv
1VFORX	Vanguard Target Retirement 2040 Inv
1VFIFX	Vanguard Target Retirement 2050 Inv
1VTTSX	Vanguard Target Retirement 2060 Inv
1RERFX	American Funds EuroPacific Gr R5
1ODVYX	Oppenheimer Developing Markets Y
1SHSSX	BlackRock Health Sciences Opps Inst
1VGSLX	Vanguard Real Estate Index Admiral
1SWSSX	Schwab Small Cap Index
1VMVAX	Vanguard Mid-Cap Value Index Admiral
1MFEJX	MFS Growth R4
1VFTSX	Vanguard FTSE Social Index Inv
1DFIGX	DFA Intermediate Govt Fixed-Income I
1STRYX	Pioneer Strategic Income Y
1KGPGW	Key Guaranteed Portfolio Fund
1VTTVX	Vanguard Target Retirement 2025 Inv
1VTTHX	Vanguard Target Retirement 2035 Inv
1VTIVX	Vanguard Target Retirement 2045 Inv
1VFFVX	Vanguard Target Retirement 2055 Inv
1VLXVX	Vanguard Target Retirement 2065 Inv
1MYSIX	Victory Trivalent Int Small-Cap Y
1PRGTX	T. Rowe Price Global Technology
1VMIAX	Vanguard Materials Index Adm
1QISGX	Federated MDT Small Cap Growth Instl
1FLMVX	JPMorgan Mid Cap Value L
1RWMGX	American Funds Washington Mutual R6
1VFIAX	Vanguard 500 Index Admiral
1MALOX	BlackRock Global Allocation Instl
1OIBYX	Oppenheimer International Bond Y
1WACPX	Western Asset Core Plus Bond Fund I

COST OF ASSETS: The original cost of the assets in each investment option as of the last day of the plan year
CURRENT VALUE: The value of all assets in each investment option as of the last day of the plan year

HELIX ENVIRONMENTAL PLANNING 401(k) PROFIT SHARING PLAN
SCHEDULE H, LINE 4(i) — SCHEDULE OF ASSETS (HELD AT END OF YEAR)
EIN: 33-0493636 PLAN NUMBER: 001
DECEMBER 31, 2018

(a)	(b) Identity of Issue, Borrower, or Similar Party	(c) Description of Investment	(d) Cost	(e) Current Value
	Stable Value Fund			
	Putnam	Putnam Stable Value Fund	**	$ 999,678
	Mutual Funds			
	Blackrock	Blackrock Global Allocation Instl	**	1,277,157
	DFA	DFA Intermediate Govt Fixed-Income I	**	1,133,415
	Vanguard	Vanguard Target Retirement 2040 Inv	**	762,565
	Vanguard	Vanguard REIT Index ADM	**	398,534
	Vanguard	Vanguard Mid Cap Value Index Admiral	**	376,592
	JP Morgan	JP Morgan Mid Cap Value Instl	**	354,691
	American Funds	American Funds Euro Pacific GR R5	**	306,843
	Blackrock	Blackrock Health Sciences Opps Inst	**	294,129
	Victory	Victory Trivalent Int Small-Cap Y	**	292,043
	Western Asset	Western Asset Core Plus Bond Fund I	**	259,271
	MFS	MFS Growth R4	**	223,666
	Federated MDT	Federated MDT Small Cap Growth Instl	**	217,343
	Oppenheimer	Oppenheimer International Bond Y	**	200,620
	Oppenheimer	Oppenheimer Developing Markets Y	**	197,930
	Schwab	Schwab Small Cap Index	**	191,123
	Vanguard	Vanguard Target Retirement 2020 Inv	**	186,264
	T. Rowe Price	T. Rowe Price Global Technology	**	138,322
	Vanguard	Vanguard Target Retirement 2050 Inv	**	137,650
	Pioneer	Pioneer Strategic Income Y	**	122,225
	Vanguard	Vanguard Materials Index Adm	**	107,589
	Vanguard	Vanguard Target Retirement 2060 Inv	**	89,832
	American Funds	American Funds Washington Mutual R6	**	81,655
	Vanguard	Vanguard Target Retirement 2030 Inv	**	60,113
	Vanguard	Vanguard 500 Index Admiral	**	56,204
	Vanguard	Vanguard FTSE Social Index Inv	**	40,731
	Vanguard	Vanguard Target Retirement 2055 Inv	**	31,948
	Vanguard	Vanguard Target Retirement 2035 Inv	**	21,685
	Vanguard	Vanguard Target Retirement 2045 Inv	**	20,733
	Vanguard	Vanguard Target Retirement 2025 Inv	**	11,564
	Vanguard	Vanguard Target Retirement 2065 Inv	**	9,027
*	Great-West Life & Annuity Insurance Company	Key Guaranteed Portfolio Fund	**	1,930
				8,603,072
*	Participant loans	Rates range from 4.25% to 8.25%	**	62,342
		Total assets held at year end		$ 8,665,414

* Investment of a party-in-interest to the Plan as defined by ERISA

** Cost not required