

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2018 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information For calendar plan year 2018 or fiscal plan year beginning <u>01/01/2018</u> and ending <u>12/31/2018</u>
A This return/report is for: <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> ☐ a multiemployer plan </div> <div> ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> ☒ a single-employer plan </div> <div> ☐ a DFE (specify) ____ </div> </div>	
B This return/report is: <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> ☐ the first return/report </div> <div> ☐ the final return/report </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> ☐ an amended return/report </div> <div> ☐ a short plan year return/report (less than 12 months) </div> </div>	
C If the plan is a collectively-bargained plan, check here. ☐	
D Check box if filing under: <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> ☒ Form 5558 </div> <div> ☐ automatic extension </div> <div> ☐ the DFVC program </div> </div> <div style="margin-top: 5px;"> ☐ special extension (enter description) </div>	

Part II	Basic Plan Information—enter all requested information				
1a Name of plan <u>ORIGENE TECHNOLOGIES, INC. 401(K) PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>01/01/1998</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>001</u>	1c Effective date of plan <u>01/01/1998</u>	
1b Three-digit plan number (PN) ▶	<u>001</u>				
1c Effective date of plan <u>01/01/1998</u>					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>ORIGENE TECHNOLOGIES, INC.</u> <u>9620 MEDICAL CENTER DR STE 200</u> <u>ROCKVILLE, MD 20850</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>65-0626270</u></td> </tr> <tr> <td>2c Plan Sponsor's telephone number <u>240-620-0249</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>325410</u></td> </tr> <tr> <td style="height: 40px;"></td> </tr> </table>	2b Employer Identification Number (EIN) <u>65-0626270</u>	2c Plan Sponsor's telephone number <u>240-620-0249</u>	2d Business code (see instructions) <u>325410</u>	
2b Employer Identification Number (EIN) <u>65-0626270</u>					
2c Plan Sponsor's telephone number <u>240-620-0249</u>					
2d Business code (see instructions) <u>325410</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/10/2019	VANESSA HINDS
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2018)
v. 171027

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN
5 Total number of participants at the beginning of the plan year	5 175
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	6a(1) 140 6a(2) 136 6b 1 6c 46 6d 183 6e 0 6f 183 6g 160 6h 7
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2F 2G 2J 2K 2T 3D 3H b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1)** ☒ **R** (Retirement Plan Information)
- (2)** ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3)** ☐ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- (1)** ☒ **H** (Financial Information)
- (2)** ☐ **I** (Financial Information – Small Plan)
- (3)** ☐ **A** (Insurance Information)
- (4)** ☒ **C** (Service Provider Information)
- (5)** ☐ **D** (DFE/Participating Plan Information)
- (6)** ☐ **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2018 Form M-1 annual report. If the plan was not required to file the 2018 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

<div>SCHEDULE C</div> <div>(Form 5500)</div> <div>Department of the Treasury Internal Revenue Service</div> <div>Department of Labor Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div>	<div>Service Provider Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div>	OMB No. 1210-0110
		2018
		This Form is Open to Public Inspection.

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018		
A Name of plan ORIGENE TECHNOLOGIES, INC. 401(K) PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 ORIGENE TECHNOLOGIES, INC.	D Employer Identification Number (EIN) 65-0626270	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL
04-2647786

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WELLS FARGO CLEARING SERVICES LLC

23-2384840

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	ADVISOR	31312	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
37 60 64 65	RECORDKEEPER	3769	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AB GLOBAL RE ADV - ALLIANCEBERNSTEIN 18, RUE EUGNE RUPPERT LUXEMBOURG, LU L-245 LU	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AB SM CAP GRTH ADV - ALLIANCEBERNSTEIN 18, RUE EUGNE RUPPERT LUXEMBOURG, LU L-245 LU	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AM CENT SA AGGR I - AMERICAN CENTURY 44-0619208	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AM CENT SA CONS I - AMERICAN CENTU 44-0619208	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AM CENT SA MOD I - AMERICAN CENTUR 44-0619208	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AM CENTURY ULTRA I - AMERICAN CENT 44-0619208	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLKRK CAP APPR I - BNY MELLON INVE P.O. BOX 9793 PROVIDENCE, RI 02940	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CRLN E MID CAP GR R5 - U.S. BANCOR P.O. BOX 701 MILWAUKEE, WI 53201	0.20%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CRLN E SM CAP GR R5 - U.S. BANCOR P.O. BOX 701 MILWAUKEE, WI 53201	0.20%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FKLN SM CAP VAL ADV - FRANKLIN TEM 94-3167260	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FKLN STRAT INC ADV - FRANKLIN TEMP 94-3167260	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FKLN UTILITIES ADV - FRANKLIN TEMP 94-3167260	0.25%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FRANKLIN GROWTH ADV - FRANKLIN TEM 94-3167260	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GS CAP GROWTH INV - GOLDMAN, SACHS 13-5108880	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GS EM MKT EQ INS INV - GOLDMAN SAC 13-5108880	0.25%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HTFD GRTH OPPS R5 - HARTFORD ADMIN 41-0679409	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HTFD INTL OPPS R5 - HARTFORD ADMIN 41-0679409	0.20%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVS EUROPEAN GRTH Y - INVESCO CAN 5140 YONGE STREET, SUITE 900 TORONTO, ON M2N 6 CA	0.25%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS INTL GROWTH R5 - INVESCO CANA	5140 YONGE STREET, SUITE 900 TORONTO, ON M2N 6 CA	0.35%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS REAL ESTATE R5 - INVESCO CANA	5140 YONGE STREET, SUITE 900 TORONTO, ON M2N 6 CA	0.35%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LA INTL OPP R5 - DST SYSTEMS INC	43-1581814	0.20%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OPPENHEIMER GLOBAL Y - OFI GLOBAL 225 LIBERTY STREET NEW YORK, NY 10281		0.25%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OPPHMR DISC MDCPGR Y - OFI GLOBAL 225 LIBERTY STREET NEW YORK, NY 10281		0.25%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OPPHMR GOLD SPLMIN Y - OFI GLOBAL 225 LIBERTY STREET NEW YORK, NY 10281		0.25%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OPPHMR INTL GRTH Y - OFI GLOBAL AS 225 LIBERTY STREET NEW YORK, NY 10281	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PUTN CNVRTBL SEC Y - PUTNAM INVEST 04-2539562	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TEMPLETON FOREIGN AD - FRANKLIN TE 94-3167260	0.25%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
THORNBURG LT INC R5 - DST ASSET MA PO BOX 219432 KANSAS CITY, MO 64121	0.20%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
THORNBURG VALUE R5 - DST ASSET MAN PO BOX 219432 KANSAS CITY, MO 64121	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TRP INTL VAL EQ ADV - T. ROWE PRIC	0.40%	
52-2269240		

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VICTORY S ESTB VAL Y - FIS INVESTO 4249 EASTON WAY, SUITE 400 COLUMBUS, OH 43219		0.10%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VICTORY S SM CO OP Y - FIS INVESTO 4249 EASTON WAY, SUITE 400 COLUMBUS, OH 43219		0.10%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2018 This Form is Open to Public Inspection
For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018		
A Name of plan ORIGENE TECHNOLOGIES, INC. 401(K) PLAN	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 ORIGENE TECHNOLOGIES, INC.	D Employer Identification Number (EIN) 65-0626270	

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	0
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)	0	0
(2) Participant contributions	1b(2)	0	0
(3) Other.....	1b(3)	0	0
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	801927	756365
(2) U.S. Government securities	1c(2)	0	0
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	0	0
(B) All other.....	1c(3)(B)	0	0
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	0	0
(B) Common.....	1c(4)(B)	0	0
(5) Partnership/joint venture interests	1c(5)	0	0
(6) Real estate (other than employer real property).....	1c(6)	0	0
(7) Loans (other than to participants)	1c(7)	0	0
(8) Participant loans.....	1c(8)	81532	141605
(9) Value of interest in common/collective trusts	1c(9)	0	0
(10) Value of interest in pooled separate accounts	1c(10)	0	0
(11) Value of interest in master trust investment accounts	1c(11)	0	0
(12) Value of interest in 103-12 investment entities.....	1c(12)	0	0
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	11322515	11334549
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other	1c(15)	0	0

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)	0	0
(2) Employer real property	1d(2)	0	0
e Buildings and other property used in plan operation	1e	0	0
f Total assets (add all amounts in lines 1a through 1e)	1f	12205974	12232519

Liabilities

g Benefit claims payable	1g	0	0
h Operating payables	1h	0	0
i Acquisition indebtedness	1i	0	0
j Other liabilities	1j	0	0
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	12205974	12232519
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	186411	
(B) Participants	2a(1)(B)	1076205	
(C) Others (including rollovers)	2a(1)(C)	41856	
(2) Noncash contributions	2a(2)	0	1304472
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	9340	
(B) U.S. Government securities	2b(1)(B)	0	
(C) Corporate debt instruments	2b(1)(C)	0	
(D) Loans (other than to participants)	2b(1)(D)	0	
(E) Participant loans	2b(1)(E)	5157	
(F) Other	2b(1)(F)	0	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		14497
(2) Dividends: (A) Preferred stock	2b(2)(A)	0	980179
(B) Common stock	2b(2)(B)	0	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	980179	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		980179
(3) Rents	2b(3)		0
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	0	0
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	0	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	0	0
(B) Other	2b(5)(B)	0	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		0
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		-1752910
c Other income.....	2c		0
d Total income. Add all income amounts in column (b) and enter total.....	2d		546238

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	480845	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		480845
f Corrective distributions (see instructions)	2f		8022
g Certain deemed distributions of participant loans (see instructions)	2g		0
h Interest expense.....	2h		0
i Administrative expenses: (1) Professional fees.....	2i(1)	0	
(2) Contract administrator fees.....	2i(2)	0	
(3) Investment advisory and management fees.....	2i(3)	0	
(4) Other	2i(4)	35081	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		35081
j Total expenses. Add all expense amounts in column (b) and enter total	2j		523948

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		22290
l Transfers of assets:			
(1) To this plan.....	2l(1)		4255
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: ROSENBLOOM & BUTLER, CPAS, P.C.

(2) EIN: 52-1626705

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b		X	

		Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e Was this plan covered by a fidelity bond?	4e	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l Has the plan failed to provide any benefit when due under the plan?	4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n		X	

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
 If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ☐ Yes ☐ No ☐ Not determined
 If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____. (See instructions.)

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2018 This Form is Open to Public Inspection.
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For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A Name of plan <u>ORIGENE TECHNOLOGIES, INC. 401(K) PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ORIGENE TECHNOLOGIES, INC.</u>	D Employer Identification Number (EIN) <u>65-0626270</u>

Part I	Distributions
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All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions	1	
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>04-6568107</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
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4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☐ N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
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Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2018
v. 171027

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year

b The plan year immediately preceding the current plan year

c The second preceding plan year

14a**14b****14c**

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year

b The corresponding number for the second preceding plan year

15a**15b**

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers

16a**16b**

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: _____ % Investment-Grade Debt: _____ % High-Yield Debt: _____ % Real Estate: _____ % Other: _____ %

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

**ORIGENE TECHNOLOGIES, INC.
401(K) PLAN
FINANCIAL STATEMENTS**

**Years Ended
December 31, 2018 and 2017
AND
INDEPENDENT AUDITORS' REPORT**

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN

Years Ended December 31, 2018 and 2017

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State Societies
National Association of Certified
Valuation Analysts

Independent Auditors' Report

To the Trustees of
Origene Technologies, Inc. 401(K) Plan
Rockville, Maryland 20850

Report on the Financial Statement

We were engaged to audit the accompanying financial statements of Origene Technologies, Inc. 401(K) Plan, which comprise the statements of net assets available for benefits as of December 31, 2018 and 2017, and the related statements of changes in net assets available for benefits for the years then ended and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting our audits in accordance with auditing standards generally accepted in the United States of America. Because the matter described in the Basis of Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform any auditing procedures with respect to the information certified by Fidelity Management Trust Company and Reliance Trust Company, the trustees of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedules. We have been informed by the Plan administrator that Fidelity Management Trust Company held the Plan's investment assets and executed investment transactions from November 1, 2017 to December 31, 2018, and that Reliance Trust Company held the Plan's investment assets and executed investment transactions for the period January 1, 2017 to October 31, 2017. The Plan administrator has obtained certifications from the trustees as of and for the years ended December 31, 2018 and 2017, that the information provided to the Plan administrator by the trustees is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

We were engaged for the purpose of forming an opinion on the financial statements as a whole. The supplementary schedules of Assets Held for Investment at the End of the Year, which is the responsibility of Plan management, is presented for the purpose of additional analysis and is not a required part of the financial statements but is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, it is inappropriate to and we do not express an opinion on the supplemental schedules referred to above.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Rosenbloom & Butler, CPAs, P.C.

Rockville, Maryland 20850
September 15, 2019

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

December 31, 2018 and 2017

	<u>2018</u>	<u>2017</u>
<u>ASSETS</u>		
Investments	\$ 12,090,914	\$ 12,124,001
Contributions receivable	33,290	37,970
Notes receivable from participants	141,605	81,532
Accrued bond interest receivable	-	441
Total assets	<u>12,265,809</u>	<u>12,243,944</u>
 <u>LIABILITIES</u>		
Corrective distributions payable	-	5,779
Total liabilities	<u>-</u>	<u>5,779</u>
 <u>NET ASSETS AVAILABLE FOR BENEFITS</u>	 <u><u>\$ 12,265,809</u></u>	 <u><u>\$ 12,238,165</u></u>

The accompanying notes are an integral part of these financial statements.

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

Years ended December 31, 2018 and 2017

	2018	2017
ADDITIONS		
Additions to net assets attributed to:		
Investment income		
Net appreciation (depreciation) in fair value of investments	\$ (1,779,158)	\$ 1,417,799
Investment income	994,677	523,145
Net realized gain on investments sold	125,567	4,198
	(658,914)	1,945,142
Less: Investment expenses	(70,152)	(97,658)
Less: Investment expenses - investment advisor	(35,081)	(34,312)
	(764,147)	1,813,172
Contributions:		
Participants'	1,071,540	1,037,981
Employer's	186,395	185,950
Rollovers	41,856	2,749
	1,299,791	1,226,680
Total Additions	535,644	3,039,852
DEDUCTIONS		
Deductions from net assets for:		
Benefits paid to participants	483,088	798,776
Administrative expenses	24,912	8,960
	508,000	807,736
NET INCREASE IN ASSETS AVAILABLE FOR BENEFITS	27,644	2,232,116
NET ASSETS AVAILABLE FOR BENEFITS		
Beginning of year	12,238,165	10,006,049
END OF YEAR	<u>\$ 12,265,809</u>	<u>\$ 12,238,165</u>

The accompanying notes are an integral part of these financial statements.

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The Plan's sponsor is Origene Technologies, Inc. with headquarters in Rockville, Maryland. Accounting policies which affect significant aspects of the Plan's financial statements are summarized herein. These policies have been consistently applied.

Basis of Accounting

The Plan's financial records, except for investments, which are at fair market value, are maintained on the accrual basis of accounting. Increases or decreases in the market value of investments are recognized in the year of the change as appreciation (depreciation) of investments.

Estimates

The preparation of the financial statements, in conformity with generally accepted accounting principles, requires management to make certain estimates and assumptions that affect the reported amount of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amount of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Plan Administration

The trustees of the Plan engaged the services of Fidelity Workplace Service LLC and Fidelity Management Trust Company to administer, manage, report, as well as execute all of the transactions of the Plan. As trustees and custodians to the plan, certifications on investment assets, transactions, income & expense and schedules of reportable transactions were provided.

Fair Value Measurements

Investments are recorded at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. FASB ASC 820-10 requires valuation of financial assets and liabilities according to fair market value, as well as disclosure of the valuation technique(s) used to determine the fair market value.

The valuation techniques are summarized into a hierarchy of observable inputs:

- Level 1 Unadjusted quoted prices in active markets.
- Level 2 Inputs other than quoted market prices in active markets for identical or similar assets or liabilities (i.e. quoted prices for similar or identical assets or liabilities in inactive markets; observable inputs other than quoted prices that are used in the valuation of assets or liabilities; inputs derived principally from or corroborated by observable market data).
- Level 3 Unobservable inputs (i.e. management's own assumptions about the assumptions that market participants would use in pricing the asset or liability).

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Valuation of Investments and Income Recognition

Investments in regulated investment companies, also known as mutual funds, have been unitized by Fidelity Management Trust Company. They are valued daily for participant account reporting based upon the unitized amount of each fund. The participant accounts are self-directed. Participants may invest in any of the pre-approved investment funds designated by an investment committee of the employer/sponsor.

Purchases and sales of securities are recorded on a trade-date basis. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year. Benefits are recorded when paid.

Subsequent Events

Events that occurred subsequent to December 31, 2018 have been evaluated by the Plan trustees through September 15, 2019, the date these statements were available for issuance. Nothing came to their attention that would require additional disclosure.

Reclassifications

Certain reclassifications have been made to the 2017 financial statements to conform to the classifications used in the 2018 financial statements.

NOTE 2. DESCRIPTION OF PLAN

The following brief description of the Origene Technologies, Inc. 401(K) Plan is provided for general information purposes only. Participants should refer to the Plan documents and agreements for more complete information.

401(K) Plan

The Plan is subject to the provisions of the employee retirement income security act of 1974 (ERISA). Full time employees who have attained the age of 21 are eligible to participate in the Plan. There is no 'years of service' requirement in order to participate. Normal retirement is age 65. Upon retirement, the anticipated retirement benefit amount will be equal to the total value of the participant's account. Although it has not expressed any intent to do so, the Plan sponsor has the right under the Plan to discontinue contributions at any time and to terminate the Plan subject to the provisions of ERISA. Most Plan administration costs are being absorbed by the Plan sponsor.

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 2. DESCRIPTION OF PLAN (Continued)

The Plan provisions allow for elective salary deferrals. Each year, eligible employees may reduce their compensation by an amount up to 86% of eligible compensation, subject to Internal Revenue Service code annual limitations. Participants are 100% vested in elective salary deferral contributions at all times.

The Plan provisions include employer matching contributions, and profit sharing contributions – subject to sponsor discretion. For the years ended December 31, 2018 and 2017 matching contributions of \$186,395 and \$185,950 were made, respectively. Employer contributions vest after three years. Forfeitures of non-vested contributions are used to cover fees and to reduce future employer contributions. Forfeiture account balances were \$46,056 and \$12,183 for the years ended December 31, 2018 and 2017, respectively. No profit sharing contributions were made in either year, however, when made they are allocated to participants based on the ratio that the participant's compensation bears to total compensation to all eligible participants for the contribution period.

NOTE 3. LOANS RECEIVABLE - PARTICIPANTS

The Plan allows for participant loans. Loans are not to exceed 50% of the participant's account balance or \$50,000, whichever is smaller. All loans are secured by the participant's vested account balance.

Participant loans receivable at December 31, 2018 and 2017 were \$141,605 and \$81,532, respectively. Interest income was \$4,956 and \$3,471 for the years ended December 31, 2018 and 2017, respectively.

NOTE 4. INVESTMENTS - SECURITIES

Information Prepared and Certified by Trustee

The following information included in the accompanying financial statements and supplemental schedules was obtained from data that has been prepared and certified as complete and accurate by Fidelity Management Trust Company and Reliance Trust Company.

Investments in registered investment company funds and in common collective trusts have been valued based on the underlying asset values less the applicable fees. Those investments are valued daily based upon a formula applied to the closing fair market value of unitizing shares. The underlying investments are in regulated investment companies and are valued based upon the quoted market price, a level 1 input, as of the date of the financial statements.

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 4. INVESTMENTS – SECURITIES (Continued)

The Plan held the following investments at December 31:

	<u>2018</u>	<u>2017</u>
Money Market Funds		
Level 1:		
FID GOVT MMKT	* \$ 756,365	* \$ 801,486
756,365.450 and 801,486.220 units, respectively		
Total money market funds	<u>\$ 756,365</u>	<u>\$ 801,486</u>
Registered investment company		
Level 1:		
CRLN E MID CAP GR R5	233,304	179,320
4408.610 and 3022.928 units, respectively		
AB GLOBAL RE ADV	27,140	34,233
2057.629 and 2375.663 units, respectively		
TRP INTL VAL EQ ADV	38,276	50,567
3106.844 and 3285.716 units, respectively		
AB SM CAP GRTH ADV	467,729	329,889
9830.362 and 5887.719 units, respectively		
PGIM HIGH YIELD R6	113,985	110,016
22263.005 and 19930.516 units, respectively		
PGIM J NAT RSRCS R6	34,394	36,329
1183.229 and 888.665 units, respectively		
CRLN E SM CAP GR R5	4,643	4,599
102.920 and 73.122 units, respectively		
BLACKROCK CAP APPRECIATION R	482,753	357,116
19,096.232 and 12,818.221 units, respectively		
TEMPLETON FOREIGN FUND - R	235,723	266,111
35,933.329 and 33,473.119 units, respectively		
FRANKLIN SMALL CAP VALUE FO-R	86,790	161,928
1,994.718 and 2,768.006 units, respectively		
Subtotal	<u>\$ 1,724,737</u>	<u>\$ 1,530,108</u>

Continued on Next Page

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 4. INVESTMENTS – SECURITIES (Continued)

	<u>2018</u>	<u>2017</u>
Registered investment company		
Level 1 Balance Forward From Previous Page	\$ 1,724,737	\$ 1,530,108
HTF INOP R3 2,331.888 and 575.871 units, respectively	32,157	10,181
OPP DSMCGR 4,284.802 and 3,050.544 units, respectively	82,183	67,997
GOLDMAN SACHS CAPITAL GRTH R 21,388.239 and 13,980.165 units, respectively	414,504	406,403
INVESCO REAL ESTATE - R 11,757.426 and 13,884.148 units, respectively	220,452	295,177
AMC ULTRA R 4,214.463 and 3,056.378 units, respectively	176,797	137,048
FRANKLIN STRATEGIC INCOME - R 10,429.651 and 12,035.740 units, respectively	96,683	118,552
VICTORY ESTABLISHED VALUE - R 8,130.194 and 7,383.338 units, respectively	268,784	299,690
PUTNAM CONVERTIBLE SEC R 3,317.881 and 2,295.076 units, respectively	71,733	58,479
THORNBURG LIMITED TERM INC -R 5,717.413 and 4,685.986 units, respectively	75,527	62,745
PIMCO LOW DURATION FUND - R 15,394.549 and 18,504.458 units, respectively	149,327	182,454
FIDELITY ADVISOR LEV CO STK 2,179.200 and 3,034.789 units, respectively	72,001	134,441
GDS EMMKEQIN 6,155.355 and 6,741.773 units, respectively	54,106	72,272
FRANKLIN GROWTH FUND - R 8,447.228 and 7,955.656 units, respectively	* 761,180	* 753,003
Subtotal	<u>\$ 4,200,171</u>	<u>\$ 4,128,550</u>

Continued on Next Page

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 4. INVESTMENTS - SECURITIES (Continued)

	<u>2018</u>	<u>2017</u>
Registered investment company		
Level 1 Balance Forward From Previous Page	\$ 4,200,171	\$ 4,128,550
OPPENHEIMER GLOBAL R	231,921	201,828
3,137.460 and 2,101.281 units, respectively		
INVESCO INTL GROWTH - R	306,621	347,086
10,781.318 and 9,429.114 units, respectively		
OPPENHEIMER INTL GROWTH - N	118,253	165,376
3,408.852 and 3,791.289 units, respectively		
OPPENHEIMER GOLD & SP MIN - N	34,107	30,114
2,381.785 and 1,831.756 units, respectively		
INVESCO EUROPEAN GROWTH - R	3,915	6,149
118.536 and 148.917 units, respectively		
AMERCENT STRAT ALLO AGG - R	57,052	55,571
8,464.698 and 6,735.897 units, respectively		
AMERCENT STRAT ALLOC CNSRV	252,255	271,139
49,172.514 and 46,909.888 units, respectively		
AMERCENT STRATALLO MODERATE	47,967	50,846
8,298.849 and 7,401.142 units, respectively		
T. ROWE PRICE GRTH STK FD - R	503,800	324,057
8,815.401 and 5,168.371 units, respectively		
THORNBURG VALUE FUNO - R3	362,015	368,865
5,806.179 and 5,316.599 units, respectively		
Subtotal	<u>\$ 6,118,077</u>	<u>\$ 5,949,581</u>

Continued on Next Page

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 4. INVESTMENTS - SECURITIES (Continued)

Registered investment company	<u>2018</u>	<u>2017</u>
Level 1 Balance Forward From Previous Page	\$ 6,118,077	\$ 5,949,581
FA FREEDOM 2020 36,815.688 and 40,558.212 units, respectively	444,365 *	563,354
FA FREEDOM 2030 104,967.408 and 94,846.818 units, respectively	* 1,340,434 *	1,433,135
FA FREEDOM 2040 42,759.129 and 34,457.283 units, respectively	557,151 *	545,803
FA FREEDOM INC 3,824.467 and 4,071.089 units, respectively	39,583	45,311
FA FREEDOM 2015 10,852.002 and 10,630.104 units, respectively	120,132	135,534
FA FREEDOM 2025 1,627.792 and 6.037 units, respectively	19,566	88
FA FREEDOM 2035 2,408.813 and 1,497.792 units, respectively	29,508	22,182
FID 500 INDEX PR - and 8,786.106 units, respectively	- *	821,062
FA FREEDOM 2045 3,452.580 and 273.462 units, respectively	35,113	3,372
FA FREEDOM 2050 27,032.597 and 27,218.631 units, respectively	274,381	334,789
FID US BOND IDX PR - and 12,612.932 units, respectively	-	146,184
FA FREEDOM 2055 447.921 and 47.840 units, respectively	5,044	645
FID MID CAP IDX PR - and 16,537.108 units, respectively	-	346,949
FID SM CAP IDX PR - and 771.816 units, respectively	-	15,683
FID INFL PR IDX PR - and 19,787.518 units, respectively	-	194,709
FA FREEDOM 2060 52.744 and 303.359 units, respectively	534	3,625
Subtotal	<u>\$ 8,983,888</u>	<u>\$ 10,562,006</u>

Continued on Next Page

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 4. INVESTMENTS - SECURITIES (Continued)

	<u>2018</u>	<u>2017</u>
Registered investment company		
Level 1 Balance Forward From Previous Page	\$ 8,983,888	\$ 10,562,006
FIDELITY ADVISOR SMALL CAP 7,455.525 and 8,829.275 units, respectively	155,000	\$ 249,957
FRANKLIN UTILITIES FUNO - R 3,551.254 and 4,911.659 units, respectively	64,917	\$ 92,536
VIC SMCOOP R 1,576.046 and 2,781.517 units, respectively	59,291	\$ 130,397
HARTFORD GROW OPPORTUNITIES 6,993.130 and 5,336.560 units, respectively	255,879	\$ 250,498
LA INTOP- R3 2,318.416 and 1,748.498 units, respectively	33,432	\$ 37,121
FID 500 INDEX 12,185.372 and - units, respectively *	1,061,346	-
FID INFL PR BD IDX 15,074.574 and - units, respectively	143,058	-
FID MID CAP IDX 17,740.648 and - units, respectively	330,508	-
FID SM CAP IDX 4,096.227 and - units, respectively	70,619	-
FID US BOND IDX 15,656.991 and - units, respectively	176,611	-
Total of Registered Investment Company	<u>\$ 11,334,549</u>	<u>\$ 11,322,515</u>
Grand total investments	<u><u>\$ 12,090,914</u></u>	<u><u>\$ 12,124,001</u></u>
Summary		
Level 1 Money market	\$ 756,365	\$ 801,486
Level 1 Registered investment company funds	<u>11,334,549</u>	<u>11,322,515</u>
Grand total investments	<u><u>\$ 12,090,914</u></u>	<u><u>\$ 12,124,001</u></u>

Note: Where participants direct the investment transactions, cost data has been omitted.

* Represents 5% or more of the Plan's net assets at the beginning of the year.

The Plan investments appreciated (depreciated) in value for the years ended December 31, 2018 and 2017 by \$(1,779,158) and \$1,417,799, respectively.

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 5. RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits.

NOTE 6. DISTRIBUTIONS

During the years ended December 31, 2018 and 2017, the Plan made participant distributions of \$483,088 and \$798,776, respectively.

NOTE 7. TAX STATUS

The Plan name is Origene Technologies, Inc. 401(K) Plan. The sponsor's Federal Identification Number is 65-0626270. The Plan number is 001. The trustees at December 31, 2018 and 2017 were Fidelity Management Trust Company (Federal Identification Number 04-6568107) and James Hu, Plan Administrator.

The Plan obtained its latest determination letter on July 16, 2014, in which the Internal Revenue Service stated that the Plan, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan has been amended since receiving the determination letter. However, the Plan administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Service.

At December 31, 2018, the Plan had three prior years' tax returns, form 5500, open to tax examination. They are for the return years ended December 31, 2017, 2016 and 2015.

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 8. FORM 5500 RECONCILIATION

The following summary is a reconciliation of amounts and information reported in Form 5500 and these financial statements as of December 31.

	<u>2018</u>	<u>2017</u>
Net assets available for benefits per form 5500	\$12,232,519	\$12,205,974
Contributions receivable	33,290	37,970
Corrective distributions payable	-	(5,779)
Net assets available for benefits	<u>\$12,265,809</u>	<u>\$12,238,165</u>

SUPPLEMENTARY INFORMATION

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN

SUPPLEMENTARY INFORMATION

Sponsor EIN: 65-062670, Plan #001

December 31, 2018

SCHEDULE H - LINE 4(i)

SCHEDULE OF ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR:

(a)	(b)	(c)	(d)	(e)
*	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, interest rate, collateral, par, or maturity value	Cost	Current Value
	HTP INOP R3	Interest in Pooled Separate Accounts	See Note Below	\$ 32,157
	GOLDMAN SACHS CAPITAL GRTH R	Interest in Pooled Separate Accounts	See Note Below	414,504
	INVESCO REAL ESTATE - R	Interest in Pooled Separate Accounts	See Note Below	220,452
	FRANKLIN STRATEGIC INCOME - R	Interest in Pooled Separate Accounts	See Note Below	96,683
	VICTORY ESTABLISHED VALUE - R	Interest in Pooled Separate Accounts	See Note Below	268,784
	PUTNAM CONVERTIBLE SEC R	Interest in Pooled Separate Accounts	See Note Below	71,733
	THORNBURG LIMITED TERM INC -R	Interest in Pooled Separate Accounts	See Note Below	75,527
	PIMCO LOW DURATION FUND - R	Interest in Pooled Separate Accounts	See Note Below	149,327
	OPP DSMCGR	Interest in Pooled Separate Accounts	See Note Below	82,183
*	FIDELITY ADVISOR LEV CO STK	Interest in Pooled Separate Accounts	See Note Below	72,001
	AMC ULTRA R	Interest in Pooled Separate Accounts	See Note Below	176,797
	FRANKLIN GROWTH FUND - R	Interest in Pooled Separate Accounts	See Note Below	761,180
	GDS EMMKEQIN	Interest in Pooled Separate Accounts	See Note Below	54,106
	OPPENHEIMER GLOBAL FUND - N	Interest in Pooled Separate Accounts	See Note Below	231,921
	INVESCO INTL GROWTH - R	Interest in Pooled Separate Accounts	See Note Below	306,621
*	FID GOVT MMKT	Interest in Pooled Separate Accounts	See Note Below	756,365
*	FA FREEDOM 2020	Interest in Pooled Separate Accounts	See Note Below	444,365
*	FA FREEDOM 2030 I	Interest in Pooled Separate Accounts	See Note Below	1,340,434
*	FA FREEDOM 2040 I	Interest in Pooled Separate Accounts	See Note Below	557,151
*	FA FREEDOM INC I	Interest in Pooled Separate Accounts	See Note Below	39,583
*	FA FREEDOM 2015	Interest in Pooled Separate Accounts	See Note Below	120,132
*	FA FREEDOM 2025 I	Interest in Pooled Separate Accounts	See Note Below	19,566
*	FA FREEDOM 2035 I	Interest in Pooled Separate Accounts	See Note Below	29,508
	Subtotal - Continued on next page			\$ 6,321,080

* Denotes a party in interest

Note: Where participants direct the investment transactions, cost data has been omitted.

See Accountants' Report.

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN

SUPPLEMENTARY INFORMATION

Sponsor EIN: 65-062670, Plan #001

December 31, 2018

SCHEDULE H - LINE 4(i)

SCHEDULE OF ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR:

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, interest rate, collateral, par, or maturity value	Cost	Current Value
*				
	Balance Forward From Previous Page			\$ 6,321,080
	OPPENHEIMER INTL GROWTH - N	Interest in Pooled Separate Accounts	See Note Below	118,253
	OPPENHEIMER GOLD & SP MIN - N	Interest in Pooled Separate Accounts	See Note Below	34,107
	INVESCO EUROPEAN GROWTH - R	Interest in Pooled Separate Accounts	See Note Below	3,915
	AMERCENT STRAT ALLO AGG - R	Interest in Pooled Separate Accounts	See Note Below	57,052
	AMERCENT STRAT ALLOC CNSRV	Interest in Pooled Separate Accounts	See Note Below	252,255
	AMERCENT STRATALLO MODERATE	Interest in Pooled Separate Accounts	See Note Below	47,967
	T. ROWE PRICE GRTH STK FD - R	Interest in Pooled Separate Accounts	See Note Below	503,800
	THORNBURG VALUE FUND - R3	Interest in Pooled Separate Accounts	See Note Below	362,015
*	FIDELITY ADVISOR SMALL CAP	Interest in Pooled Separate Accounts	See Note Below	155,000
	FRANKLIN UTILITIES FUND - R	Interest in Pooled Separate Accounts	See Note Below	64,917
	VIC SMCOOP R	Interest in Pooled Separate Accounts	See Note Below	59,291
	LA INTOP-R3	Interest in Pooled Separate Accounts	See Note Below	33,432
	HARTFORD GROW OPPORTUNITIES	Interest in Pooled Separate Accounts	See Note Below	255,879
	BLACKROCK CAP APPRECIATION R	Interest in Pooled Separate Accounts	See Note Below	482,753
	TEMPLETON FOREIGN FUND - R	Interest in Pooled Separate Accounts	See Note Below	235,723
	FRANKLIN SMALL CAP VALUE FO-R	Interest in Pooled Separate Accounts	See Note Below	86,790
	TOTAL INVESTMENTS			<u>\$ 9,074,229</u>

* Denotes a party in interest

Note: Where participants direct the investment transactions, cost data has been omitted.

See Accountants' Report.

SUPPLEMENTARY INFORMATION

Sponsor EIN: 65-062670, Plan #001

December 31, 2018

SCHEDULE H - LINE 4(i)

SCHEDULE OF ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR:

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, interest rate, collateral, par, or maturity value	Cost	Current Value
	Balance Forward From Previous Page			\$ 9,074,229
*	FA FREEDOM 2045 I	Interest in Pooled Separate Accounts	See Note Below	35,113
*	FA FREEDOM 2050 I	Interest in Pooled Separate Accounts	See Note Below	274,381
*	FA FREEDOM 2055 I	Interest in Pooled Separate Accounts	See Note Below	5,044
*	FID US BOND IDX	Interest in Pooled Separate Accounts	See Note Below	176,611
*	FID 500 INDEX	Interest in Pooled Separate Accounts	See Note Below	1,061,346
*	FID MID CAP IDX	Interest in Pooled Separate Accounts	See Note Below	330,508
*	FID SM CAP IDX	Interest in Pooled Separate Accounts	See Note Below	70,619
*	FID INFL PR BD IDX	Interest in Pooled Separate Accounts	See Note Below	143,058
*	FA FREEDOM 2060 I	Interest in Pooled Separate Accounts	See Note Below	534
	CRLN E MID CAP GR R5	Interest in Pooled Separate Accounts	See Note Below	233,304
	AB GLOBAL RE ADV	Interest in Pooled Separate Accounts	See Note Below	27,140
	TRP INTL VAL EQ ADV	Interest in Pooled Separate Accounts	See Note Below	38,276
	AB SM CAP GRTH ADV	Interest in Pooled Separate Accounts	See Note Below	467,729
	PRU HIGH YIELD Q	Interest in Pooled Separate Accounts	See Note Below	113,985
	PRU/ J NAT RESRCS Q	Interest in Pooled Separate Accounts	See Note Below	34,394
	CRLN E SM CAP GR R5	Interest in Pooled Separate Accounts	See Note Below	4,643
				12,090,914
	PARTICIPANT LOANS	Interest rates at 3% to 5.25%	\$0	141,605
	TOTAL INVESTMENTS			\$ 12,232,519

* Denotes a party in interest

Note: Where participants direct the investment transactions, cost data has been omitted.

See Accountants' Report.

**ORIGENE TECHNOLOGIES, INC.
401(K) PLAN
FINANCIAL STATEMENTS**

**Years Ended
December 31, 2018 and 2017
AND
INDEPENDENT AUDITORS' REPORT**

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN

Years Ended December 31, 2018 and 2017

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and Maryland
State Societies
National Association of Certified
Valuation Analysts

Independent Auditors' Report

To the Trustees of
Origene Technologies, Inc. 401(K) Plan
Rockville, Maryland 20850

Report on the Financial Statement

We were engaged to audit the accompanying financial statements of Origene Technologies, Inc. 401(K) Plan, which comprise the statements of net assets available for benefits as of December 31, 2018 and 2017, and the related statements of changes in net assets available for benefits for the years then ended and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting our audits in accordance with auditing standards generally accepted in the United States of America. Because the matter described in the Basis of Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform any auditing procedures with respect to the information certified by Fidelity Management Trust Company and Reliance Trust Company, the trustees of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedules. We have been informed by the Plan administrator that Fidelity Management Trust Company held the Plan's investment assets and executed investment transactions from November 1, 2017 to December 31, 2018, and that Reliance Trust Company held the Plan's investment assets and executed investment transactions for the period January 1, 2017 to October 31, 2017. The Plan administrator has obtained certifications from the trustees as of and for the years ended December 31, 2018 and 2017, that the information provided to the Plan administrator by the trustees is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

We were engaged for the purpose of forming an opinion on the financial statements as a whole. The supplementary schedules of Assets Held for Investment at the End of the Year, which is the responsibility of Plan management, is presented for the purpose of additional analysis and is not a required part of the financial statements but is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, it is inappropriate to and we do not express an opinion on the supplemental schedules referred to above.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Rosenbloom & Butler, CPAs, P.C.

Rockville, Maryland 20850
September 15, 2019

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

December 31, 2018 and 2017

	<u>2018</u>	<u>2017</u>
<u>ASSETS</u>		
Investments	\$ 12,090,914	\$ 12,124,001
Contributions receivable	33,290	37,970
Notes receivable from participants	141,605	81,532
Accrued bond interest receivable	-	441
Total assets	<u>12,265,809</u>	<u>12,243,944</u>
 <u>LIABILITIES</u>		
Corrective distributions payable	-	5,779
Total liabilities	<u>-</u>	<u>5,779</u>
 <u>NET ASSETS AVAILABLE FOR BENEFITS</u>	 <u><u>\$ 12,265,809</u></u>	 <u><u>\$ 12,238,165</u></u>

The accompanying notes are an integral part of these financial statements.

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

Years ended December 31, 2018 and 2017

	2018	2017
ADDITIONS		
Additions to net assets attributed to:		
Investment income		
Net appreciation (depreciation) in fair value of investments	\$ (1,779,158)	\$ 1,417,799
Investment income	994,677	523,145
Net realized gain on investments sold	125,567	4,198
	(658,914)	1,945,142
Less: Investment expenses	(70,152)	(97,658)
Less: Investment expenses - investment advisor	(35,081)	(34,312)
	(764,147)	1,813,172
Contributions:		
Participants'	1,071,540	1,037,981
Employer's	186,395	185,950
Rollovers	41,856	2,749
	1,299,791	1,226,680
Total Additions	535,644	3,039,852
DEDUCTIONS		
Deductions from net assets for:		
Benefits paid to participants	483,088	798,776
Administrative expenses	24,912	8,960
	508,000	807,736
NET INCREASE IN ASSETS AVAILABLE FOR BENEFITS	27,644	2,232,116
NET ASSETS AVAILABLE FOR BENEFITS		
Beginning of year	12,238,165	10,006,049
END OF YEAR	<u>\$ 12,265,809</u>	<u>\$ 12,238,165</u>

The accompanying notes are an integral part of these financial statements.

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The Plan's sponsor is Origene Technologies, Inc. with headquarters in Rockville, Maryland. Accounting policies which affect significant aspects of the Plan's financial statements are summarized herein. These policies have been consistently applied.

Basis of Accounting

The Plan's financial records, except for investments, which are at fair market value, are maintained on the accrual basis of accounting. Increases or decreases in the market value of investments are recognized in the year of the change as appreciation (depreciation) of investments.

Estimates

The preparation of the financial statements, in conformity with generally accepted accounting principles, requires management to make certain estimates and assumptions that affect the reported amount of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amount of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Plan Administration

The trustees of the Plan engaged the services of Fidelity Workplace Service LLC and Fidelity Management Trust Company to administer, manage, report, as well as execute all of the transactions of the Plan. As trustees and custodians to the plan, certifications on investment assets, transactions, income & expense and schedules of reportable transactions were provided.

Fair Value Measurements

Investments are recorded at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. FASB ASC 820-10 requires valuation of financial assets and liabilities according to fair market value, as well as disclosure of the valuation technique(s) used to determine the fair market value.

The valuation techniques are summarized into a hierarchy of observable inputs:

- Level 1 Unadjusted quoted prices in active markets.
- Level 2 Inputs other than quoted market prices in active markets for identical or similar assets or liabilities (i.e. quoted prices for similar or identical assets or liabilities in inactive markets; observable inputs other than quoted prices that are used in the valuation of assets or liabilities; inputs derived principally from or corroborated by observable market data).
- Level 3 Unobservable inputs (i.e. management's own assumptions about the assumptions that market participants would use in pricing the asset or liability).

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Valuation of Investments and Income Recognition

Investments in regulated investment companies, also known as mutual funds, have been unitized by Fidelity Management Trust Company. They are valued daily for participant account reporting based upon the unitized amount of each fund. The participant accounts are self-directed. Participants may invest in any of the pre-approved investment funds designated by an investment committee of the employer/sponsor.

Purchases and sales of securities are recorded on a trade-date basis. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year. Benefits are recorded when paid.

Subsequent Events

Events that occurred subsequent to December 31, 2018 have been evaluated by the Plan trustees through September 15, 2019, the date these statements were available for issuance. Nothing came to their attention that would require additional disclosure.

Reclassifications

Certain reclassifications have been made to the 2017 financial statements to conform to the classifications used in the 2018 financial statements.

NOTE 2. DESCRIPTION OF PLAN

The following brief description of the Origene Technologies, Inc. 401(K) Plan is provided for general information purposes only. Participants should refer to the Plan documents and agreements for more complete information.

401(K) Plan

The Plan is subject to the provisions of the employee retirement income security act of 1974 (ERISA). Full time employees who have attained the age of 21 are eligible to participate in the Plan. There is no 'years of service' requirement in order to participate. Normal retirement is age 65. Upon retirement, the anticipated retirement benefit amount will be equal to the total value of the participant's account. Although it has not expressed any intent to do so, the Plan sponsor has the right under the Plan to discontinue contributions at any time and to terminate the Plan subject to the provisions of ERISA. Most Plan administration costs are being absorbed by the Plan sponsor.

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 2. DESCRIPTION OF PLAN (Continued)

The Plan provisions allow for elective salary deferrals. Each year, eligible employees may reduce their compensation by an amount up to 86% of eligible compensation, subject to Internal Revenue Service code annual limitations. Participants are 100% vested in elective salary deferral contributions at all times.

The Plan provisions include employer matching contributions, and profit sharing contributions – subject to sponsor discretion. For the years ended December 31, 2018 and 2017 matching contributions of \$186,395 and \$185,950 were made, respectively. Employer contributions vest after three years. Forfeitures of non-vested contributions are used to cover fees and to reduce future employer contributions. Forfeiture account balances were \$46,056 and \$12,183 for the years ended December 31, 2018 and 2017, respectively. No profit sharing contributions were made in either year, however, when made they are allocated to participants based on the ratio that the participant's compensation bears to total compensation to all eligible participants for the contribution period.

NOTE 3. LOANS RECEIVABLE - PARTICIPANTS

The Plan allows for participant loans. Loans are not to exceed 50% of the participant's account balance or \$50,000, whichever is smaller. All loans are secured by the participant's vested account balance.

Participant loans receivable at December 31, 2018 and 2017 were \$141,605 and \$81,532, respectively. Interest income was \$4,956 and \$3,471 for the years ended December 31, 2018 and 2017, respectively.

NOTE 4. INVESTMENTS - SECURITIES

Information Prepared and Certified by Trustee

The following information included in the accompanying financial statements and supplemental schedules was obtained from data that has been prepared and certified as complete and accurate by Fidelity Management Trust Company and Reliance Trust Company.

Investments in registered investment company funds and in common collective trusts have been valued based on the underlying asset values less the applicable fees. Those investments are valued daily based upon a formula applied to the closing fair market value of unitizing shares. The underlying investments are in regulated investment companies and are valued based upon the quoted market price, a level 1 input, as of the date of the financial statements.

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 4. INVESTMENTS – SECURITIES (Continued)

The Plan held the following investments at December 31:

	<u>2018</u>	<u>2017</u>
Money Market Funds		
Level 1:		
FID GOVT MMKT	* \$ 756,365	* \$ 801,486
756,365.450 and 801,486.220 units, respectively		
Total money market funds	<u>\$ 756,365</u>	<u>\$ 801,486</u>
Registered investment company		
Level 1:		
CRLN E MID CAP GR R5	233,304	179,320
4408.610 and 3022.928 units, respectively		
AB GLOBAL RE ADV	27,140	34,233
2057.629 and 2375.663 units, respectively		
TRP INTL VAL EQ ADV	38,276	50,567
3106.844 and 3285.716 units, respectively		
AB SM CAP GRTH ADV	467,729	329,889
9830.362 and 5887.719 units, respectively		
PGIM HIGH YIELD R6	113,985	110,016
22263.005 and 19930.516 units, respectively		
PGIM J NAT RSRCS R6	34,394	36,329
1183.229 and 888.665 units, respectively		
CRLN E SM CAP GR R5	4,643	4,599
102.920 and 73.122 units, respectively		
BLACKROCK CAP APPRECIATION R	482,753	357,116
19,096.232 and 12,818.221 units, respectively		
TEMPLETON FOREIGN FUND - R	235,723	266,111
35,933.329 and 33,473.119 units, respectively		
FRANKLIN SMALL CAP VALUE FO-R	86,790	161,928
1,994.718 and 2,768.006 units, respectively		
Subtotal	<u>\$ 1,724,737</u>	<u>\$ 1,530,108</u>

Continued on Next Page

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 4. INVESTMENTS – SECURITIES (Continued)

	<u>2018</u>	<u>2017</u>
Registered investment company		
Level 1 Balance Forward From Previous Page	\$ 1,724,737	\$ 1,530,108
HTF INOP R3 2,331.888 and 575.871 units, respectively	32,157	10,181
OPP DSMCGR 4,284.802 and 3,050.544 units, respectively	82,183	67,997
GOLDMAN SACHS CAPITAL GRTH R 21,388.239 and 13,980.165 units, respectively	414,504	406,403
INVESCO REAL ESTATE - R 11,757.426 and 13,884.148 units, respectively	220,452	295,177
AMC ULTRA R 4,214.463 and 3,056.378 units, respectively	176,797	137,048
FRANKLIN STRATEGIC INCOME - R 10,429.651 and 12,035.740 units, respectively	96,683	118,552
VICTORY ESTABLISHED VALUE - R 8,130.194 and 7,383.338 units, respectively	268,784	299,690
PUTNAM CONVERTIBLE SEC R 3,317.881 and 2,295.076 units, respectively	71,733	58,479
THORNBURG LIMITED TERM INC -R 5,717.413 and 4,685.986 units, respectively	75,527	62,745
PIMCO LOW DURATION FUND - R 15,394.549 and 18,504.458 units, respectively	149,327	182,454
FIDELITY ADVISOR LEV CO STK 2,179.200 and 3,034.789 units, respectively	72,001	134,441
GDS EMMKEQIN 6,155.355 and 6,741.773 units, respectively	54,106	72,272
FRANKLIN GROWTH FUND - R 8,447.228 and 7,955.656 units, respectively	* 761,180	* 753,003
Subtotal	<u>\$ 4,200,171</u>	<u>\$ 4,128,550</u>

Continued on Next Page

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 4. INVESTMENTS - SECURITIES (Continued)

	<u>2018</u>	<u>2017</u>
Registered investment company		
Level 1 Balance Forward From Previous Page	\$ 4,200,171	\$ 4,128,550
OPPENHEIMER GLOBAL R	231,921	201,828
3,137.460 and 2,101.281 units, respectively		
INVESCO INTL GROWTH - R	306,621	347,086
10,781.318 and 9,429.114 units, respectively		
OPPENHEIMER INTL GROWTH - N	118,253	165,376
3,408.852 and 3,791.289 units, respectively		
OPPENHEIMER GOLD & SP MIN - N	34,107	30,114
2,381.785 and 1,831.756 units, respectively		
INVESCO EUROPEAN GROWTH - R	3,915	6,149
118.536 and 148.917 units, respectively		
AMERCENT STRAT ALLO AGG - R	57,052	55,571
8,464.698 and 6,735.897 units, respectively		
AMERCENT STRAT ALLOC CNSRV	252,255	271,139
49,172.514 and 46,909.888 units, respectively		
AMERCENT STRATALLO MODERATE	47,967	50,846
8,298.849 and 7,401.142 units, respectively		
T. ROWE PRICE GRTH STK FD - R	503,800	324,057
8,815.401 and 5,168.371 units, respectively		
THORNBURG VALUE FUNO - R3	362,015	368,865
5,806.179 and 5,316.599 units, respectively		
Subtotal	<u>\$ 6,118,077</u>	<u>\$ 5,949,581</u>

Continued on Next Page

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 4. INVESTMENTS - SECURITIES (Continued)

Registered investment company	<u>2018</u>	<u>2017</u>
Level 1 Balance Forward From Previous Page	\$ 6,118,077	\$ 5,949,581
FA FREEDOM 2020 36,815.688 and 40,558.212 units, respectively	444,365 *	563,354
FA FREEDOM 2030 104,967.408 and 94,846.818 units, respectively	* 1,340,434 *	1,433,135
FA FREEDOM 2040 42,759.129 and 34,457.283 units, respectively	557,151 *	545,803
FA FREEDOM INC 3,824.467 and 4,071.089 units, respectively	39,583	45,311
FA FREEDOM 2015 10,852.002 and 10,630.104 units, respectively	120,132	135,534
FA FREEDOM 2025 1,627.792 and 6.037 units, respectively	19,566	88
FA FREEDOM 2035 2,408.813 and 1,497.792 units, respectively	29,508	22,182
FID 500 INDEX PR - and 8,786.106 units, respectively	- *	821,062
FA FREEDOM 2045 3,452.580 and 273.462 units, respectively	35,113	3,372
FA FREEDOM 2050 27,032.597 and 27,218.631 units, respectively	274,381	334,789
FID US BOND IDX PR - and 12,612.932 units, respectively	-	146,184
FA FREEDOM 2055 447.921 and 47.840 units, respectively	5,044	645
FID MID CAP IDX PR - and 16,537.108 units, respectively	-	346,949
FID SM CAP IDX PR - and 771.816 units, respectively	-	15,683
FID INFL PR IDX PR - and 19,787.518 units, respectively	-	194,709
FA FREEDOM 2060 52.744 and 303.359 units, respectively	534	3,625
Subtotal	<u>\$ 8,983,888</u>	<u>\$ 10,562,006</u>

Continued on Next Page

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 4. INVESTMENTS - SECURITIES (Continued)

	<u>2018</u>	<u>2017</u>
Registered investment company		
Level 1 Balance Forward From Previous Page	\$ 8,983,888	\$ 10,562,006
FIDELITY ADVISOR SMALL CAP 7,455.525 and 8,829.275 units, respectively	155,000	\$ 249,957
FRANKLIN UTILITIES FUNO - R 3,551.254 and 4,911.659 units, respectively	64,917	\$ 92,536
VIC SMCOOP R 1,576.046 and 2,781.517 units, respectively	59,291	\$ 130,397
HARTFORD GROW OPPORTUNITIES 6,993.130 and 5,336.560 units, respectively	255,879	\$ 250,498
LA INTOP- R3 2,318.416 and 1,748.498 units, respectively	33,432	\$ 37,121
FID 500 INDEX 12,185.372 and - units, respectively *	1,061,346	-
FID INFL PR BD IDX 15,074.574 and - units, respectively	143,058	-
FID MID CAP IDX 17,740.648 and - units, respectively	330,508	-
FID SM CAP IDX 4,096.227 and - units, respectively	70,619	-
FID US BOND IDX 15,656.991 and - units, respectively	176,611	-
Total of Registered Investment Company	<u>\$ 11,334,549</u>	<u>\$ 11,322,515</u>
Grand total investments	<u><u>\$ 12,090,914</u></u>	<u><u>\$ 12,124,001</u></u>
Summary		
Level 1 Money market	\$ 756,365	\$ 801,486
Level 1 Registered investment company funds	11,334,549	11,322,515
Grand total investments	<u><u>\$ 12,090,914</u></u>	<u><u>\$ 12,124,001</u></u>

Note: Where participants direct the investment transactions, cost data has been omitted.

* Represents 5% or more of the Plan's net assets at the beginning of the year.

The Plan investments appreciated (depreciated) in value for the years ended December 31, 2018 and 2017 by \$(1,779,158) and \$1,417,799, respectively.

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 5. RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits.

NOTE 6. DISTRIBUTIONS

During the years ended December 31, 2018 and 2017, the Plan made participant distributions of \$483,088 and \$798,776, respectively.

NOTE 7. TAX STATUS

The Plan name is Origene Technologies, Inc. 401(K) Plan. The sponsor's Federal Identification Number is 65-0626270. The Plan number is 001. The trustees at December 31, 2018 and 2017 were Fidelity Management Trust Company (Federal Identification Number 04-6568107) and James Hu, Plan Administrator.

The Plan obtained its latest determination letter on July 16, 2014, in which the Internal Revenue Service stated that the Plan, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan has been amended since receiving the determination letter. However, the Plan administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Service.

At December 31, 2018, the Plan had three prior years' tax returns, form 5500, open to tax examination. They are for the return years ended December 31, 2017, 2016 and 2015.

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN
NOTES TO FINANCIAL STATEMENTS
Years Ended December 31, 2018 and 2017

NOTE 8. FORM 5500 RECONCILIATION

The following summary is a reconciliation of amounts and information reported in Form 5500 and these financial statements as of December 31.

	<u>2018</u>	<u>2017</u>
Net assets available for benefits per form 5500	\$12,232,519	\$12,205,974
Contributions receivable	33,290	37,970
Corrective distributions payable	-	(5,779)
Net assets available for benefits	<u>\$12,265,809</u>	<u>\$12,238,165</u>

SUPPLEMENTARY INFORMATION

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN

SUPPLEMENTARY INFORMATION

Sponsor EIN: 65-062670, Plan #001

December 31, 2018

SCHEDULE H - LINE 4(i)

SCHEDULE OF ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR:

(a)	(b)	(c)	(d)	(e)
*	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, interest rate, collateral, par, or maturity value	Cost	Current Value
	HTP INOP R3	Interest in Pooled Separate Accounts	See Note Below	\$ 32,157
	GOLDMAN SACHS CAPITAL GRTH R	Interest in Pooled Separate Accounts	See Note Below	414,504
	INVESCO REAL ESTATE - R	Interest in Pooled Separate Accounts	See Note Below	220,452
	FRANKLIN STRATEGIC INCOME - R	Interest in Pooled Separate Accounts	See Note Below	96,683
	VICTORY ESTABLISHED VALUE - R	Interest in Pooled Separate Accounts	See Note Below	268,784
	PUTNAM CONVERTIBLE SEC R	Interest in Pooled Separate Accounts	See Note Below	71,733
	THORNBURG LIMITED TERM INC -R	Interest in Pooled Separate Accounts	See Note Below	75,527
	PIMCO LOW DURATION FUND - R	Interest in Pooled Separate Accounts	See Note Below	149,327
	OPP DSMCGR	Interest in Pooled Separate Accounts	See Note Below	82,183
*	FIDELITY ADVISOR LEV CO STK	Interest in Pooled Separate Accounts	See Note Below	72,001
	AMC ULTRA R	Interest in Pooled Separate Accounts	See Note Below	176,797
	FRANKLIN GROWTH FUND - R	Interest in Pooled Separate Accounts	See Note Below	761,180
	GDS EMMKEQIN	Interest in Pooled Separate Accounts	See Note Below	54,106
	OPPENHEIMER GLOBAL FUND - N	Interest in Pooled Separate Accounts	See Note Below	231,921
	INVESCO INTL GROWTH - R	Interest in Pooled Separate Accounts	See Note Below	306,621
*	FID GOVT MMKT	Interest in Pooled Separate Accounts	See Note Below	756,365
*	FA FREEDOM 2020	Interest in Pooled Separate Accounts	See Note Below	444,365
*	FA FREEDOM 2030 I	Interest in Pooled Separate Accounts	See Note Below	1,340,434
*	FA FREEDOM 2040 I	Interest in Pooled Separate Accounts	See Note Below	557,151
*	FA FREEDOM INC I	Interest in Pooled Separate Accounts	See Note Below	39,583
*	FA FREEDOM 2015	Interest in Pooled Separate Accounts	See Note Below	120,132
*	FA FREEDOM 2025 I	Interest in Pooled Separate Accounts	See Note Below	19,566
*	FA FREEDOM 2035 I	Interest in Pooled Separate Accounts	See Note Below	29,508
	Subtotal - Continued on next page			\$ 6,321,080

* Denotes a party in interest

Note: Where participants direct the investment transactions, cost data has been omitted.

See Accountants' Report.

ORIGENE TECHNOLOGIES, INC. 401(K) PLAN

SUPPLEMENTARY INFORMATION

Sponsor EIN: 65-062670, Plan #001

December 31, 2018

SCHEDULE H - LINE 4(i)

SCHEDULE OF ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR:

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, interest rate, collateral, par, or maturity value	Cost	Current Value
*				
	Balance Forward From Previous Page			\$ 6,321,080
	OPPENHEIMER INTL GROWTH - N	Interest in Pooled Separate Accounts	See Note Below	118,253
	OPPENHEIMER GOLD & SP MIN - N	Interest in Pooled Separate Accounts	See Note Below	34,107
	INVESCO EUROPEAN GROWTH - R	Interest in Pooled Separate Accounts	See Note Below	3,915
	AMERCENT STRAT ALLO AGG - R	Interest in Pooled Separate Accounts	See Note Below	57,052
	AMERCENT STRAT ALLOC CNSRV	Interest in Pooled Separate Accounts	See Note Below	252,255
	AMERCENT STRATALLO MODERATE	Interest in Pooled Separate Accounts	See Note Below	47,967
	T. ROWE PRICE GRTH STK FD - R	Interest in Pooled Separate Accounts	See Note Below	503,800
	THORNBURG VALUE FUND - R3	Interest in Pooled Separate Accounts	See Note Below	362,015
*	FIDELITY ADVISOR SMALL CAP	Interest in Pooled Separate Accounts	See Note Below	155,000
	FRANKLIN UTILITIES FUND - R	Interest in Pooled Separate Accounts	See Note Below	64,917
	VIC SMCOOP R	Interest in Pooled Separate Accounts	See Note Below	59,291
	LA INTOP-R3	Interest in Pooled Separate Accounts	See Note Below	33,432
	HARTFORD GROW OPPORTUNITIES	Interest in Pooled Separate Accounts	See Note Below	255,879
	BLACKROCK CAP APPRECIATION R	Interest in Pooled Separate Accounts	See Note Below	482,753
	TEMPLETON FOREIGN FUND - R	Interest in Pooled Separate Accounts	See Note Below	235,723
	FRANKLIN SMALL CAP VALUE FO-R	Interest in Pooled Separate Accounts	See Note Below	86,790
	TOTAL INVESTMENTS			<u>\$ 9,074,229</u>

* Denotes a party in interest

Note: Where participants direct the investment transactions, cost data has been omitted.

See Accountants' Report.

SUPPLEMENTARY INFORMATION

Sponsor EIN: 65-062670, Plan #001

December 31, 2018

SCHEDULE H - LINE 4(i)

SCHEDULE OF ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR:

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, interest rate, collateral, par, or maturity value	Cost	Current Value
	Balance Forward From Previous Page			\$ 9,074,229
*	FA FREEDOM 2045 I	Interest in Pooled Separate Accounts	See Note Below	35,113
*	FA FREEDOM 2050 I	Interest in Pooled Separate Accounts	See Note Below	274,381
*	FA FREEDOM 2055 I	Interest in Pooled Separate Accounts	See Note Below	5,044
*	FID US BOND IDX	Interest in Pooled Separate Accounts	See Note Below	176,611
*	FID 500 INDEX	Interest in Pooled Separate Accounts	See Note Below	1,061,346
*	FID MID CAP IDX	Interest in Pooled Separate Accounts	See Note Below	330,508
*	FID SM CAP IDX	Interest in Pooled Separate Accounts	See Note Below	70,619
*	FID INFL PR BD IDX	Interest in Pooled Separate Accounts	See Note Below	143,058
*	FA FREEDOM 2060 I	Interest in Pooled Separate Accounts	See Note Below	534
	CRLN E MID CAP GR R5	Interest in Pooled Separate Accounts	See Note Below	233,304
	AB GLOBAL RE ADV	Interest in Pooled Separate Accounts	See Note Below	27,140
	TRP INTL VAL EQ ADV	Interest in Pooled Separate Accounts	See Note Below	38,276
	AB SM CAP GRTH ADV	Interest in Pooled Separate Accounts	See Note Below	467,729
	PRU HIGH YIELD Q	Interest in Pooled Separate Accounts	See Note Below	113,985
	PRU/ J NAT RESRCS Q	Interest in Pooled Separate Accounts	See Note Below	34,394
	CRLN E SM CAP GR R5	Interest in Pooled Separate Accounts	See Note Below	4,643
				12,090,914
	PARTICIPANT LOANS	Interest rates at 3% to 5.25%	\$0	141,605
	TOTAL INVESTMENTS			\$ 12,232,519

* Denotes a party in interest

Note: Where participants direct the investment transactions, cost data has been omitted.

See Accountants' Report.