

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 <div style="text-align: center; font-size: 1.5em; font-weight: bold;">2018</div> This Form is Open to Public Inspection
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Part I	Annual Report Identification Information		
For calendar plan year 2018 or fiscal plan year beginning <u>01/01/2018</u> and ending <u>12/31/2018</u>			
A	This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)	
	☒ a single-employer plan ☐ a DFE (specify) ____		
B	This return/report is:	☐ the first return/report ☐ the final return/report	
	☐ an amended return/report ☐ a short plan year return/report (less than 12 months)		
C	If the plan is a collectively-bargained plan, check here. ▶ ☐		
D	Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program	
	☐ special extension (enter description)		

Part II	Basic Plan Information —enter all requested information		
1a	Name of plan <u>ST. LUKE'S HEALTH SYSTEM RETIREMENT PLAN</u>	1b	Three-digit plan number (PN) ▶ <u>001</u>
		1c	Effective date of plan <u>05/01/1962</u>
2a	Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>CHI ST. LUKE'S HEALTH</u> <u>PO BOX 20269, MC 4-159</u> <u>HOUSTON, TX 77225-0269</u>	2b	Employer Identification Number (EIN) <u>76-0536232</u>
		2c	Plan Sponsor's telephone number <u>832-355-4717</u>
		2d	Business code (see instructions) <u>622000</u>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/11/2019	TROY LINDON
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2018)
v. 171027

3a Plan administrator's name and address ☐ Same as Plan Sponsor COMMONSPIRIT HEALTH 3900 OLYMPIC BLVD ERLANGER, KY 41018-1099	3b Administrator's EIN 47-0617373 3c Administrator's telephone number 859-594-3267 <div style="background-color: #cccccc; height: 40px;"></div>
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN
5 Total number of participants at the beginning of the plan year	5 5425
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	<div style="background-color: #cccccc; height: 20px; margin-bottom: 5px;"></div> 6a(1) 3096 6a(2) 2739 6b 144 6c 2095 6d 4978 6e 20 6f 4998 6g 6h 0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

1A 1C 1I

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1) ☒ **R** (Retirement Plan Information)
- (2) ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3) ☒ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- (1) ☒ **H** (Financial Information)
- (2) ☐ **I** (Financial Information – Small Plan)
- (3) ☐ 0 **A** (Insurance Information)
- (4) ☒ **C** (Service Provider Information)
- (5) ☒ **D** (DFE/Participating Plan Information)
- (6) ☐ **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2018 Form M-1 annual report. If the plan was not required to file the 2018 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

<div>SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Single-Employer Defined Benefit Plan Actuarial Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500 or 5500-SF.</div>	<div>OMB No. 1210-0110</div> <div>2018</div> <div>This Form is Open to Public Inspection</div>
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For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

▶ Round off amounts to nearest dollar.
▶ Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan ST. LUKE'S HEALTH SYSTEM RETIREMENT PLAN	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF CHI ST. LUKE'S HEALTH	D Employer Identification Number (EIN) 76-0536232

E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500
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Part I	Basic Information
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1 Enter the valuation date: Month 01 Day 01 Year 2018			
2 Assets:			
a Market value.....	2a	274481169	
b Actuarial value	2b	274481169	
3 Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a For retired participants and beneficiaries receiving payment	171	20820491	20820491
b For terminated vested participants	2160	51356617	51356617
c For active participants	3096	131664352	132652044
d Total	5427	203841460	204829152
4 If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐			
a Funding target disregarding prescribed at-risk assumptions	4a		
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b		
5 Effective interest rate	5	5.67 %	
6 Target normal cost	6	1371000	

Statement by Enrolled Actuary
To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE		
Signature of actuary	09/27/2019	Date
KEVIN BILLS	17-07029	Most recent enrollment number
MERCER	713-276-2113	Telephone number (including area code)
500 DALLAS STREET SUITE 1500 HOUSTON, TX 77002	Firm name	
Address of the firm		

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

Part II Beginning of Year Carryover and Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	23790599	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9 Amount remaining (line 7 minus line 8)	23790599	0
10 Interest on line 9 using prior year's actual return of <u>14.98</u> %	3563832	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		9430198
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>5.85</u> %		551667
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
c Total available at beginning of current plan year to add to prefunding balance		9981865
d Portion of (c) to be added to prefunding balance		
12 Other reductions in balances due to elections or deemed elections		
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	27354431	0

Part III Funding Percentages

14 Funding target attainment percentage	14	120.65%
15 Adjusted funding target attainment percentage	15	134.00%
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	123.26%
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.	17	%

Part IV Contributions and Liquidity Shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
07/25/2018	416667	0	02/25/2019	416667	0
08/27/2018	416667	0	03/26/2019	416667	0
09/27/2018	416667	0	04/23/2019	416667	0
11/30/2018	416667	0	05/31/2019	416667	0
12/26/2018	833333	0			
01/28/2019	416667	0			
Totals ▶			18(b)	4583336	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	4337787

20 Quarterly contributions and liquidity shortfalls:

- a** Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No
- b** If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No
- c** If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 3.92%	2nd segment: 5.52%	3rd segment: 6.29%	☐ N/A, full yield curve used
b Applicable month (enter code)				21b 4
22 Weighted average retirement age				22 62
23 Mortality table(s) (see instructions)	Prior regulation:	☐ Prescribed - combined	☐ Prescribed - separate	☐ Substitute
	Current regulation:	☐ Prescribed - combined	☒ Prescribed - separate	☐ Substitute

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☒ Yes	☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes	☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.	☒ Yes	☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	27	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)	31a	1371000	
b Excess assets, if applicable, but not greater than line 31a	31b	1371000	
32 Amortization installments:	Outstanding Balance		Installment
a Net shortfall amortization installment	0	0	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33		
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)	34	0	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement			0
36 Additional cash requirement (line 34 minus line 35)	36	0	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	37	4337787	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	4337787	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0	
40 Unpaid minimum required contributions for all years	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:	
a Schedule elected	☐ 2 plus 7 years ☐ 15 years
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

<div>SCHEDULE C</div> <div>(Form 5500)</div> <div>Department of the Treasury Internal Revenue Service</div> <div>Department of Labor Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div>	<div>Service Provider Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div>	OMB No. 1210-0110
		2018
		This Form is Open to Public Inspection.

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018		
A Name of plan ST. LUKE'S HEALTH SYSTEM RETIREMENT PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 CHI ST. LUKE'S HEALTH	D Employer Identification Number (EIN) 76-0536232	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MERCER

13-2834414

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16 50	NONE	512912	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

PACIFIC INVESTMENT MANAGEMENT CO IN

95-2632339

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	456428	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WILLIS TOWERS WATSON

53-0181291

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 50	NONE	22566	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

POLSINELLI

43-3275867

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29 50	NONE	13718	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

COMMONSPIRIT HEALTH

47-0617373

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
35 50	PLAN ADMINISTRATOR	8208	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

BNY MELLON

91-0565546

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 50	TRUSTEE	8100	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
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(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

<div>SCHEDULE D (Form 5500)</div> <div>Department of the Treasury Internal Revenue Service</div> <div>Department of Labor Employee Benefits Security Administration</div>		<div>DFE/Participating Plan Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div>		<div>OMB No. 1210-0110</div> <div>2018</div> <div>This Form is Open to Public Inspection.</div>	
For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018					
A Name of plan ST. LUKE'S HEALTH SYSTEM RETIREMENT PLAN				B Three-digit plan number (PN) ▶ 001	
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 CHI ST. LUKE'S HEALTH				D Employer Identification Number (EIN) 76-0536232	
Part I Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)					
a Name of MTIA, CCT, PSA, or 103-12 IE: CHI GROWTH POOL					
b Name of sponsor of entity listed in (a): COMMONSPIRIT HEALTH					
c EIN-PN 80-0000385-002		d Entity code M	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 26853756		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule D (Form 5500) 2018 v.171027

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2018 This Form is Open to Public Inspection
For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018		
A Name of plan ST. LUKE'S HEALTH SYSTEM RETIREMENT PLAN	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 CHI ST. LUKE'S HEALTH	D Employer Identification Number (EIN) 76-0536232	

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	872449
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)	4999998	2083335
(2) Participant contributions	1b(2)		
(3) Other.....	1b(3)	7746211	8680246
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	7631076	7416143
(2) U.S. Government securities	1c(2)	48236277	38956043
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other.....	1c(3)(B)	153560536	127596590
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	5131372	2426058
(B) Common.....	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property).....	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans.....	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)	46723629	26853756
(12) Value of interest in 103-12 investment entities.....	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	10958955	43138732
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)	1415469	1814731

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	286403523	259838083

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j	11842548	23718365
k Total liabilities (add all amounts in lines 1g through 1j)	1k	11842548	23718365

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	274560975	236119718
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	4583336	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		4583336
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	236	
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)	-2560	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		-2324
(2) Dividends: (A) Preferred stock	2b(2)(A)	24360	
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	986658	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		1011018
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	508284607	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	505906430	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		2378177
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	-11367181	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		-11367181

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		-1619872
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		249
c Other income.....	2c		
d Total income. Add all income amounts in column (b) and enter total.....	2d		-5016597

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	32001278	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		32001278
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)	113464	
(2) Contract administrator fees.....	2i(2)	435732	
(3) Investment advisory and management fees.....	2i(3)	464527	
(4) Other	2i(4)	409659	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		1423382
j Total expenses. Add all expense amounts in column (b) and enter total	2j		33424660

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		-38441257
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: ERNST & YOUNG

(2) EIN: 34-6565596

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b		X	

		Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.).....	4d		X	
e Was this plan covered by a fidelity bond?	4e	X		25000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?.....	4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?.....	4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l Has the plan failed to provide any benefit when due under the plan?.....	4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☒ No
 If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ☒ Yes ☐ No ☐ Not determined
 If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 4150153. (See instructions.)

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2018 This Form is Open to Public Inspection.
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For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A Name of plan <u>ST. LUKE'S HEALTH SYSTEM RETIREMENT PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>CHI ST. LUKE'S HEALTH</u>	D Employer Identification Number (EIN) <u>76-0536232</u>

Part I	Distributions
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All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>13-5160382</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	<u>384</u>

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
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4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☒ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☒ N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☒ No
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Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2018
v. 171027

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year

b The plan year immediately preceding the current plan year

c The second preceding plan year

14a**14b****14c**

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year

b The corresponding number for the second preceding plan year

15a**15b**

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers

16a**16b**

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: 10.0% Investment-Grade Debt: 72.0% High-Yield Debt: 9.0% Real Estate: 1.0% Other: 8.0%

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☒ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate line 19(b)?

☒ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

FINANCIAL STATEMENTS AND
SUPPLEMENTAL SCHEDULES

St. Luke's Health System Retirement Plan
Years Ended December 31, 2018 and 2017
With Report of Independent Auditors

Ernst & Young LLP



St. Luke’s Health System Retirement Plan
Financial Statements and Supplemental Schedules

Years Ended December 31, 2018 and 2017

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Report of Independent Auditors

The CHI Retirement Plans Subcommittee
St. Luke's Health System Retirement Plan

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the St. Luke's Health System Retirement Plan, which comprise the statements of net assets available for benefits as of December 31, 2018 and 2017, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in conformity with U.S. generally accepted accounting principles; this includes the design, implementation and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free of material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the certified investment information described in Note 4, except for comparing such information with the related information included in the financial statements. We have been informed by the plan administrator that the entity that certified the investment information meets the requirements of 29 CFR 2520.103-8. The plan administrator has obtained certifications as of December 31, 2018 and 2017, and for the years then ended, stating that the investment information provided to the plan administrator is complete and accurate.

Disclaimer of Opinion on Financial Statements

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Disclaimer of Opinion on Supplemental Schedules

The accompanying supplemental schedules of assets (held at end of year) as of December 31, 2018, and reportable transactions for the year then ended are presented for purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules referred to above.

Report on Compliance of Form and Content With Department of Labor's Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the certified investment information, have been audited by us in accordance with auditing standards generally accepted in the United States and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Ernst & Young LLP

October 10, 2019

St. Luke's Health System Retirement Plan

Statements of Net Assets Available for Benefits

	December 31	
	2018	2017
Assets		
Non-interest-bearing cash	\$ 872,449	\$ —
Receivables:		
Pending sales	6,814,143	5,497,867
Employer contribution receivable	2,083,335	4,999,998
Accrued income	1,866,103	2,248,344
Total receivables	10,763,581	12,746,209
Investments, at fair value:		
Plan interest in CHI Master Trust	26,853,756	46,723,629
Dreyfus government securities	4,589,320	3,891,675
Interest-bearing cash	2,826,823	3,739,401
Mutual funds	43,138,732	10,958,955
U.S. government securities	38,956,043	48,236,277
Corporate and other debt instruments	127,596,590	144,460,197
Foreign government securities	1,814,731	9,100,339
Preferred stocks	2,426,058	5,131,372
Other investments	—	1,415,469
Total investments	248,202,053	273,657,314
Total assets	259,838,083	286,403,523
Liabilities		
Cash overdraft	62,433	2,261,204
Pending purchases	22,838,873	9,581,344
Other	817,059	—
Total liabilities	23,718,365	11,842,548
Net assets available for benefits	<u>\$ 236,119,718</u>	<u>\$ 274,560,975</u>

See accompanying notes.

St. Luke's Health System Retirement Plan

Statements of Changes in Net Assets Available for Benefits

	Year Ended December 31	
	2018	2017
Additions		
Employer contributions	\$ 4,583,336	\$ 9,999,996
Investment income	9,385,034	10,239,476
Net investment (loss) gain from plan interest in CHI Master Trust	(1,619,872)	7,770,184
Net (depreciation) appreciation in fair value of investments	(17,365,095)	19,012,480
Total additions, net of investment loss	(5,016,597)	47,022,136
Deductions		
Benefits paid to participants	32,001,278	43,131,565
Administrative expenses	1,423,382	1,632,285
Total deductions	33,424,660	44,763,850
Net (decrease) increase	(38,441,257)	2,258,286
Net assets available for benefits at:		
Beginning of year	274,560,975	272,302,689
End of year	<u>\$ 236,119,718</u>	<u>\$ 274,560,975</u>

See accompanying notes.

St. Luke's Health System Retirement Plan

Notes to Financial Statements

December 31, 2018

1. Description of the Plan

The following description of the St. Luke's Health System Retirement Plan (the Plan) provides only general information. Participants should refer to the *Summary Plan Description* for a more complete description of the Plan's provisions, a copy of which is available from St. Luke's Health System Corporation and its affiliated companies (the System).

General

The Plan is a noncontributory, cash balance, defined benefit pension plan covering substantially all employees of the System. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended (ERISA). The Plan is administered by the CHI Retirement Plans Subcommittee (the Committee). The Committee has overall responsibility for the operation and administration of the Plan. The Finance Committee determines the appropriateness of the Plan's investment offerings, monitors investment performance, and reports to the Plan's Board of Trustees.

In April 2013, the Episcopal Diocese of Texas signed a definitive agreement for the transfer of St. Luke's Health System to Catholic Health Initiatives (CHI). The transaction was completed on May 31, 2013. Beginning in October 2013, the Plan transferred a portion of its investments to the Catholic Health Initiatives Master Trust (CHI Master Trust). In connection with this transfer, The Bank of New York Mellon (BNYM), which also serves as trustee of the CHI Master Trust, became the trustee of the Plan.

Effective October 1, 2015, the Plan was frozen to new participants. Effective December 31, 2015, the Plan was frozen for future benefit accruals.

Prior to October 1, 2015, employees, other than those specifically excluded in the plan document, who were at least 21 years of age and had completed one full year of service with at least 1,000 hours of service were eligible to participate in the Plan. An eligible employee was enrolled in the Plan on the October 1 or April 1 coincident with or following the date on which the employee became eligible.

Funding Policy

The System's policy is to fund the Plan in amounts sufficient to satisfy the funding requirements of ERISA. The Plan has met the minimum funding requirements established by the federal government.

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

1. Description of the Plan (continued)

Although it has not expressed any intent to do so, the System has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA.

Administrative Expenses

All reasonable expenses in connection with the administration of the Plan may be paid by the System, and those expenses that are not paid by the System will be paid by the Plan. For the years ended December 31, 2018 and 2017, the Plan paid for substantially all expenses.

Pension Benefits

The Plan provides for scheduled pension benefits to begin at the later of the normal retirement age of 65 or completion of five years of plan participation, but not later than the age of 70½. A participant may elect early retirement with reduced benefits at the age of 55, with ten years of credited service for vesting purposes. Participants may also receive benefits due to death or disability, as defined by the Plan.

Each participant accrues a benefit that is calculated as a percentage of the participant's salary based on the participant's years of service for vesting purposes under the Plan. Participant accounts are also credited annually with an interest credit as specified in the plan document. Benefits calculated under the cash balance plan formula may vary from those calculated under the prior pension plan formula; however, participants who were 100% vested on October 1, 1998, will receive a benefit equal to the greater of the benefit payable under the prior plan formula or the cash balance plan formula.

Vesting

A participant becomes vested in the Plan after a period of three years of credited service. A participant's credited service is calculated from the date of the participant's commencement of employment through the earlier of either his or her normal retirement date or the date of his or her termination of employment.

A participant whose employment is terminated prior to qualifying for either a death, disability, retirement, or severance benefit under the terms and provisions of the Plan ceases to participate in the Plan and is entitled to no benefits under the Plan.

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

1. Description of the Plan (continued)

Effective December 31, 2017, the Plan was amended to fully vest the accounts of all participants who are actively employed by the System or an affiliate as of December 31, 2017, and to change the method under which hours of service are credited under the Plan to use the elapsed time method.

2. Summary of Accounting Policies

Basis of Accounting

The accompanying financial statements of the Plan have been prepared using the accrual basis of accounting.

Payment of Benefits

Benefit payments to participants are recorded upon distribution.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States requires management to make estimates and assumptions that affect the amounts reported in the financial statements, accompanying notes, and supplemental schedules. Actual results could differ from those estimates.

Investment Valuation and Fair Value Measurements

The Plan's investments, including those held in the CHI Master Trust, are recorded at fair value. Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date (an exit price). See Note 5 and Note 7 for further discussion and disclosures related to fair value measurements.

In addition to the Plan's ownership in the CHI Master Trust, the Plan holds various investments that are valued at fair value. Common collective trusts are reported at fair value using net asset values. Mutual funds and common stocks are valued based on quoted market prices. Investments in limited partnerships and hedge funds, which are not readily marketable, are valued at net asset value as a practical expedient. Plan management reviews and evaluates the values provided and

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

2. Summary of Accounting Policies (continued)

takes responsibility for the valuation methods and assumptions used in determining the reported value of the limited partnerships and hedge funds. Corporate bonds are valued at the closing price reported in the active market in which the bond is traded. Other corporate bonds are valued based on yields currently available on comparable securities of issuers with similar credit ratings. When quoted prices are not available for identical or similar bonds, the bond is valued under a discounted cash flows approach that maximizes observable inputs, such as current yields of similar instruments, but includes adjustments for certain risks that may not be observable, such as credit and liquidity risks. Government securities are valued at the closing price reported in the active market in which the individual security is traded. Interest-bearing cash is stated at cost, which approximates fair value.

The three-level hierarchy for fair value measurements is as follows:

- Level 1 – Fair value is based on inputs that reflect unadjusted quoted prices in active markets for identical assets or liabilities that the Plan or CHI Master Trust has the ability to access at the measurement date. Investments whose values are based on quoted market prices in active markets, and are therefore classified by the Plan or CHI Master Trust within Level 1, generally include actively traded equities, government bonds, mutual funds, and exchange traded funds. The Plan or CHI Master Trust does not adjust the quoted price for such investments, even in situations where the Plan or CHI Master Trust holds a large position and a sale could reasonably impact the quoted price.
- Level 2 – Fair value is based on inputs, other than Level 1, that are observable for the asset or liability, either directly or indirectly, for substantially the full term of the asset or liability through corroboration with observable market data. Investments that trade in less liquid public markets or derive market value through corroboration with observable market data (e.g., fixed-income securities) are classified by the Plan or CHI Master Trust in Level 2 of the fair value hierarchy. The fair value of Level 2 instruments was determined through evaluated bid prices based on recent trading activity and other relevant information, including market interest rate curves and referenced credit spreads. Estimated prepayment rates, where applicable, are used for valuation purposes and provided by third-party services where quoted market values are not available. Common collective trust funds that have a published price are considered to have a readily determinable fair value. Level 2 instruments include fixed-income funds, corporate bonds, and asset-backed securities.

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

2. Summary of Accounting Policies (continued)

- Level 3 – Fair value is based on inputs to the valuation methodology that are unobservable and significant to the fair value measurement. The CHI Master Trust's Level 3 investments consist of term loans. The valuation of these investments requires significant judgment due to the absence of quoted market values, inherent lack of liquidity, changes in market conditions, and the long-term nature of such investments. Because these investments are not publicly traded, market quotations are generally not available to be used for valuation purposes. Accordingly, the CHI Master Trust's valuations of these investments are generally equal to or based upon the fair value of these investments as of the reporting date, as reported by fund managers.

In situations where inputs used to determine fair value fall into different levels of the fair value hierarchy, the level within the fair value hierarchy is based on the lowest level of input that is significant to the fair value measurement in its entirety.

The fair value of the CHI Master Trust's alternative investments is not publicly traded and readily available market quotations are generally not available to be used for valuation purposes. Accordingly, the CHI Master Trust's valuations of alternative investments are measured at the net asset value (NAV) practical expedient as of the reporting date, as reported by fund managers, and are excluded from the three-level hierarchy for fair value measurements in accordance with ASC Topic 820.

While plan management believes that its valuation methods are appropriate and consistent with those used by other market participants, the use of different methodologies or assumptions to estimate the fair value of Level 3 investments could result in a different estimate of fair value at the reporting date. Those fair value estimates and alternative investments measured at NAV may differ significantly from the values that would have been determined had a readily available market for such investments existed, or had such investments been liquidated or sold to external investors, and these differences could be material to the financial statements.

For additional information on the fair value measurements of the investment securities within the CHI Master Trust fair value measurements, see Note 7.

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

2. Summary of Accounting Policies (continued)

Income Recognition

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded as earned. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

New Accounting Pronouncement

In February 2017, the Financial Accounting Standards Board issued Accounting Standards Update No. (ASU) 2017-06, *Plan Accounting: Defined Benefit Pension Plans (Topic 960), Defined Contribution Pension Plans (Topic 962), Health and Welfare Benefit Plans (Topic 965): Employee Benefit Plan Master Trust Reporting*. ASU 2017-06 requires a plan to present its interest in a master trust and the change in that interest in separate line items in the statement of net assets available for benefits and in the statement of changes in net assets available for benefits, respectively. It also requires a plan to disclose the master trust's investments and other assets and liabilities, as well as the dollar amount of its interest in these balances. ASU 2017-06 eliminates the requirement for a health and welfare plan to provide investment disclosures for 401(h) account assets. ASU 2017-06 is effective for entities for fiscal years beginning after December 15, 2018, with retrospective application to all periods presented. Early application is permitted. Management has elected to adopt ASU 2017-06 early.

Actuarial Present Value of Accumulated Plan Benefits

Accumulated plan benefits (see Note 3) represent the actuarial present value of estimated future periodic payments, including lump-sum distributions that are attributable under the Plan's provisions to services rendered by the employees to the valuation date. Accumulated plan benefits include benefits expected to be paid to (a) retired or terminated employees or their beneficiaries, (b) beneficiaries of participants who have died, and (c) present participants or their beneficiaries. Benefits are based on the cash balance accounts or the transition benefits for certain employees. Benefits payable under all circumstances — retirement, death, disability, and termination of employment — are included, to the extent they are deemed attributable to employee services rendered to the valuation date.

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

3. Accumulated Plan Benefits

The Plan's actuary, Mercer, estimates the actuarial present value of accumulated plan benefits, which is the amount that results from applying actuarial assumptions to adjust the accumulated plan benefits earned by the participants to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements, such as for death, disability, withdrawal, or retirement) between the valuation date and the expected date of payment.

The accumulated plan benefits information is as follows:

	December 31, 2017
Actuarial present value of accumulated plan benefits:	
Vested benefits:	
Participants and beneficiaries currently receiving payments	\$ 21,928,314
Other participants	206,272,079
Nonvested benefits	772,799
Total actuarial present value of accumulated plan benefits	<u>\$ 228,973,192</u>

The change in the actuarial present value of accumulated plan benefits from December 31, 2016 to December 31, 2017, is attributable to the following:

Present value of accumulated plan benefits as of December 31, 2016	\$ 248,328,794
Change in actuarial assumptions	13,073,443
Actuarial loss	(1,667,945)
Benefits accumulated	—
Decrease in the discount period	12,370,465
Benefits paid to participants	(43,131,565)
Net decrease	(19,355,602)
Present value of accumulated plan benefits as of December 31, 2017	<u>\$ 228,973,192</u>

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

3. Accumulated Plan Benefits (continued)

Significant actuarial assumptions used in the valuation are as follows:

Discount rate 4.75% and 5.50% at December 31, 2017 and 2016, respectively.

Mortality – RP-2014 separate pre-commencement/post-commencement healthy mortality tables (no collar), with post-2006 projections removed and projected generationally with a modified version of the MP-2018 projection scale that converges to a long-term rate of improvement of 0.75% by 2034 at December 31, 2017.

Mortality – RP-2014 separate pre-commencement/post-commencement healthy mortality tables (no collar), with post-2006 projections removed and projected generationally with a modified version of the MP-2017 projection scale that converges to a long-term rate of improvement of 0.75% by 2033 at December 31, 2016.

Retirement – Retirement rates were assumed, starting at 8% at the age of 55 and increasing to 100% at the age of 70.

Additional actuarial assumption changes for the year ended December 31, 2017, are as follows: (1) lump-sum interest rates changed from 3.86% for all years to 4.27% for all years; (2) cash balance interest accumulation rates changed from 2.50% for the first year and 2.78% thereafter to 2.88% for the first year, and 4.00% thereafter; (3) and mortality used to calculate lump sums under the grandfathered formal changed from the 2017 Unisex Pension Protection Act mortality table to the 2018 Unisex Pension Protection Act mortality table.

These actuarial assumptions are based on the presumption that the Plan will continue. Were the Plan to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

4. Derivatives

The Plan's assets and liabilities included derivative financial instruments (futures, options, and swaps). These derivatives involved, in varying degrees, elements of credit and market volatility risks in excess of more traditional investment holdings, such as equity and debt instruments. The contract or notional amounts disclosed in this footnote provide a measure of the Plan's involvement in such instruments, but are not indicative of potential loss. The intent was to use

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

4. Derivatives (continued)

derivative financial instruments as economic hedges to manage market volatility risk, foreign currency exchange rate risk, or credit risk associated with the Plan's investment assets. The Plan's fiduciaries do not anticipate any material adverse effect on the Plan's financial position resulting from its involvement in these instruments. See Note 7 for derivatives held in the CHI Master Trust.

The following table presents the effect of gains (losses) with respect to these derivative instruments, by type of derivative. The gains (losses) are located on the statements of changes in net assets available for benefits as net appreciation (depreciation) in fair value of investments.

	Year Ended December 31	
	2018	2017
Interest rate:		
Futures	\$ (433,422)	\$ 43,394
Interest rate swaps	(193,160)	(268,075)
Credit derivatives:		
Credit default swaps	101,230	1,155,365
Total return swaps	(21,346)	199
Other options	180,362	(21,905)

Futures Contracts

On behalf of the Plan, investment managers for the Plan entered into various futures contracts. Reasons for entering into futures contracts included managing duration and yield curve exposure and as a substitute for physical securities. These contracts are arrangements between two parties to buy or sell a security or financial interest at a set price on a future date and are standardized and exchange traded. Upon entering into such a contract on behalf of the Plan, the investment manager was required to pledge to the broker an amount of cash or securities equal to the minimum "initial margin" requirements of the exchange on which the contract was traded. Futures contracts are marked to market daily as unrealized gain or loss and settled the following day, with such settlement recorded as realized gain or loss. Both the value of the futures contract and its corresponding offset are included as investments.

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

4. Derivatives (continued)

Swap Contracts

On behalf of the Plan, investment managers for the Plan entered into various interest rate and credit default swap contracts. Reasons for entering into interest rate and credit default swap contracts included managing interest rates, yield curve exposure, and credit risk, and as a substitute for physical securities. The interest rate swap contracts are over-the-counter contracts enabling two counterparties to exchange, or swap, a fixed-rate cash flow for a floating-rate cash flow. The credit default swap contracts are over-the-counter contracts in which a buyer of credit default swaps pays a premium fee in return for a contingent payment by the seller upon the occurrence of a credit event, such as a default. Both the interest rate swap contracts and credit default swap contracts are marked to market daily and recorded as unrealized gains and losses, with collateral transferred between the broker and portfolio regularly as the market value of the position exceeds an agreed threshold (typically \$250,000).

The following table presents interest rate swaps and credit default swaps held by the Plan. The fair value presented in the table is located in corporate and other debt instruments on the accompanying statements of net assets available for benefits.

	Notional		Fair Value	
	December 31		December 31	
	2018	2017	2018	2017
Derivative assets:				
Interest rate swaps	\$ (426,975,000)	\$ (790,500,000)	\$ (174,858)	\$ (624,205)
Credit default swaps	12,529,668	(46,753,000)	(402,019)	1,644,360
Return swaps	(300,000)	(200,000)	(25,537)	4,486
Derivative liabilities:				
Interest rate swaps	426,975,000	790,500,000	174,858	624,205
Credit default swaps	(12,529,668)	46,753,000	(99,487)	(1,652,969)
Return swaps	300,000	200,000	25,537	(4,486)
	<u>\$ -</u>	<u>\$ -</u>	<u>\$ (501,506)</u>	<u>\$ (8,609)</u>

As of December 31, 2018 and 2017, investment managers, on behalf of the Plan, were the sellers of various credit default swaps with expiration dates ranging from March 20, 2019 to May 11, 2063. The maximum potential amounts of future payments for the credit default swaps are equal to the notional amounts indicated above.

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

4. Derivatives (continued)

Other Options

On behalf of the Plan, investment managers for the Plan entered into various swaption contracts. Reasons for entering into swaption contracts include managing duration and yield curve exposure or generating income. These are over-the-counter contracts that give the purchaser the option to enter into a swap. Swaption contracts are marked to market daily and recorded as unrealized gains and losses, with collateral transferred between the broker and portfolio regularly as the market value of the position exceeds an agreed threshold (typically \$250,000).

The following table presents the swaptions held by the Plan. The fair values of swaptions presented in the table are located in corporate and other debt instruments on the accompanying statements of net assets available for benefits.

	Premium Received	Number of Contracts	Notional	Fair Value
December 2018				
Swaptions	<u>\$ 83,290</u>	<u>522</u>	<u>\$ (52,150,000)</u>	<u>\$ 116,066</u>
December 2017				
Swaptions	<u>\$ 369,373</u>	<u>1,254</u>	<u>\$ (92,100,000)</u>	<u>\$ (83,218)</u>

5. Fair Value Measurements

Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Authoritative guidance establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets and liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3).

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

5. Fair Value Measurements (continued)

The level in the fair value hierarchy within which the fair value measurement is classified is determined based on the lowest-level input that is significant to the fair value measurement in its entirety. The following table sets forth, by level within the fair value hierarchy, the Plan's assets carried at fair value:

	Level 1	Level 2	Level 3	Total
December 31, 2018				
Interest-bearing cash	\$ 7,416,143	\$ —	\$ —	\$ 7,416,143
Mutual funds	43,138,732	—	—	43,138,732
U.S. government securities	—	38,956,043	—	38,956,043
Corporate and other debt instruments	—	127,596,590	—	127,596,590
Foreign government securities	—	1,814,731	—	1,814,731
Preferred stocks	—	2,426,058	—	2,426,058
Total investments	<u>\$ 50,554,875</u>	<u>\$ 170,793,422</u>	<u>\$ —</u>	<u>\$ 221,348,297</u>
December 31, 2017				
Interest-bearing cash	\$ 7,631,076	\$ —	\$ —	\$ 7,631,076
Mutual funds	10,958,955	—	—	10,958,955
U.S. government securities	—	48,236,277	—	48,236,277
Corporate and other debt instruments	—	144,460,197	—	144,460,197
Foreign government securities	—	9,100,339	—	9,100,339
Preferred stocks	5,131,372	—	—	5,131,372
Other investments	—	1,415,469	—	1,415,469
Total investments	<u>\$ 23,721,403</u>	<u>\$ 203,212,282</u>	<u>\$ —</u>	<u>\$ 226,933,685</u>

6. Investments

All investment information disclosed in the accompanying financial statements and supplemental schedules, including investments held, pending purchases, pending sales, and accrued income at December 31, 2018 and 2017, and net appreciation (depreciation) in fair value of investments, investment income, and net investment gain (loss) from plan interest in the CHI Master Trust, for the years ended December 31, 2018 and 2017, was obtained or derived from information supplied to the plan administrator and certified as complete and accurate by BNYM, the trustee of the Plan as of December 31, 2018 and 2017, and for the years then ended.

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

7. CHI Master Trust

Certain of the Plan's investments are held in the CHI Master Trust, which was established for the investment of assets of the Plan and several other CHI-sponsored retirement plans. Prior to March 1, 2018, each participating plan had an undivided interest in the CHI Master Trust. The CHI Master Trust assets were allocated among the participating plans by assigning to each plan those transactions (primarily contributions, benefit payments, and plan-specific expenses) that could be specifically identified and by allocating among all plans, in proportion to each plan's beneficial interest in the CHI Master Trust, income and expenses resulting from the collective investment of the assets of the CHI Master Trust.

During fiscal year 2016–2107, an asset liability study was prepared for the CHI Master Trust. The first phase of the study validated the overall asset allocation of 45% equities, 35% fixed income, and 0% alternatives for the CHI Master Trust was appropriate for the obligations of the plans that participate in the CHI Master Trust. The second phase of the asset liability study focused on reviewing the obligations of each pension plan in the CHI Master Trust and developing an appropriate asset allocation and glidepath for each pension plan. A glidepath typically represents the de-risking of the asset allocation over time as a plan's funded status improves. As a result of the second phase of the study, the CHI Master Trust was restructured from a one investment pool structure to a three-pool structure (growth, inflation hedging and long duration). Details of these three pools are as follows:

Growth pool – The growth pool seeks asset growth through capital appreciation and income reinvestment. Underlying investments of this pool consist of public and private equity, real assets, real estate, hedge funds and bank loans.

Inflation hedging pool – The inflation hedging pool seeks to meet or exceed the inflation portion of the interest crediting rate. Asset classes within this pool consist of core plus fixed income, TIPS, and other inflation hedging strategies.

Long duration pool – The long duration pool seeks to match duration of liabilities through use of long duration fixed income. Asset classes within this pool consist of long duration fixed-income investments and other liability hedging strategies.

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

7. CHI Master Trust (continued)

Due to this restructuring, the participating plans in the CHI Master Trust have a divided interest. Ownership units of the three new pools are based on type of plan and funded status. The tables below present the investment allocation strategies under the new pool structure.

Cash balance plan					
Funded status	<80%	80%	90%	95%	100%
Growth	80	72	70	60	50
Inflation hedging	11	15	17	27	37
Long duration	9	13	13	13	13
Traditional plan					
Funded status	<70%	70%	80%	90%	100%
Growth	80	75	60	40	20
Inflation hedging	—	—	—	—	—
Long duration	20	25	40	60	80

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

7. CHI Master Trust (continued)

The following tables present the net assets of the CHI Master Trust and the Plan's interest in the CHI Master Trust:

	December 31, 2018	
	Master Trust	Plan's Interest
	Balances	in Master Trust Balances
	<i>(Dollars in Thousands)</i>	
Assets		
Investments in securities:		
Short-term investments	\$ 68,207	\$ 574
Common stocks	1,380,824	15,176
Mutual funds and exchange-traded funds	87,307	960
Fixed-income funds	228,805	—
Preferred stocks	6,407	44
Corporate bonds	315,068	127
Asset-backed securities	32,810	—
Government bonds	378,189	—
Foreign government bonds	32,515	—
Term loans	185,164	2,035
Hedge funds	283,513	3,116
Real estate funds	330,275	3,630
Venture capital/private equity funds	124,645	1,370
Receivable for securities sold	12,965	92
Foreign currency exchange contracts	46,569	351
Other receivables	11,816	51
Total assets	<u>3,525,079</u>	<u>27,526</u>
Liabilities		
Payable for securities purchased	33,209	290
Foreign currency exchange contracts	46,797	352
Other liabilities	2,721	30
Total liabilities	<u>82,727</u>	<u>672</u>
Net assets	<u>\$ 3,442,352</u>	<u>\$ 26,854</u>

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

7. CHI Master Trust (continued)

	December 31, 2017	
	Master Trust	Plan's Interest in Master
	Balances	Trust Balances
	<i>(Dollars in Thousands)</i>	
Assets		
Investments in securities:		
Short-term investments	\$ 109,739	\$ 1,343
Common stocks	1,712,171	20,958
Mutual funds and exchange-traded funds	93,687	1,147
Fixed-income funds	237,629	2,909
Preferred stocks	8,257	101
Corporate bonds	335,904	4,112
Asset-backed securities	38,486	471
Government bonds	377,173	4,617
Foreign government bonds	32,419	397
Term loans	180,099	2,205
Hedge funds	284,901	3,487
Real estate funds	309,617	3,790
Venture capital/private equity funds	110,045	1,347
Receivable for securities sold	14,834	182
Foreign currency exchange contracts	43,195	529
Other receivables	11,135	135
Total assets	3,899,291	47,730
Liabilities		
Payable for securities purchased	36,181	443
Foreign currency exchange contracts	43,403	531
Other liabilities	2,605	32
Total liabilities	82,189	1,006
Net assets	\$ 3,817,102	\$ 46,724

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

7. CHI Master Trust (continued)

The following table presents the changes in net assets for the CHI Master Trust:

	Year Ended December 31	
	2018	2017
	<i>(Dollars in Thousands)</i>	
Net (depreciation) appreciation in fair value of investments	\$ (235,734)	\$ 410,955
Interest income	46,282	39,960
Dividend income	39,939	37,752
Total investment (loss) income	(149,513)	488,667
Expenses		
Investment manager and custody fees	12,592	11,615
Other	—	266
Total expenses	12,592	11,881
Net transfers (contributions and benefit payments for the participating plans)	(212,645)	(282,398)
Net (decrease) increase	(374,750)	194,388
Net assets:		
Beginning of year	3,817,102	3,662,714
End of year	<u>\$ 3,442,352</u>	<u>\$ 3,817,102</u>

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

7. CHI Master Trust (continued)

Alternative Investment Strategies

The goal of the alternative investments allocation is to enhance the diversification profile of the CHI Master Trust. Exposure to hedge funds is derived through investments in fund of funds and deploy strategies such as relative value, event driven, and long/short. Real estate exposure is primarily derived through open-end funds that invest in core commercial properties within the United States. Venture capital/private equity exposure is primarily derived through investments in fund of funds that pursue a variety of strategies.

Alternative Investment Redemptions

The CHI Master Trust's ability to sell certain alternative investments is limited, and redemptions may not be allowed. In these circumstances, the general partners of the alternative investments control the timing of underlying investment realizations and the timing of related distributions. Redemptions are generally permitted for certain alternative investments, primarily hedge fund investments, quarterly with at least 95 days' prior written notice.

Alternative Investment Commitments

The CHI Master Trust's allocation to alternative investments is based upon contractual commitment levels to various funds. These commitments are drawn by the fund managers as opportunities arise to invest the capital. As of December 31, 2018, the CHI Master Trust had committed to invest \$1,004 million in 45 funds of which \$887 million had been invested. The remaining \$117 million will be invested when, and if, requested by the funds.

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

7. CHI Master Trust (continued)

Fair Value Measurements

The following tables set forth by level, within the fair value hierarchy as described in Note 2, the CHI Master Trust's assets carried at fair value:

	December 31, 2018			
	Level 1	Level 2	Level 3	Total
	<i>(Dollars in Thousands)</i>			
Assets				
Short-term investments	\$ 61,017	\$ 7,190	\$ —	\$ 68,207
Common stocks	1,380,824	—	—	1,380,824
Fixed-income funds	—	228,805	—	228,805
Mutual funds and exchange-traded funds	87,307	—	—	87,307
Preferred stocks	3,964	2,443	—	6,407
Corporate bonds	—	315,068	—	315,068
Asset-backed securities	—	32,810	—	32,810
Government bonds	333,981	44,208	—	378,189
Foreign government bonds	—	32,515	—	32,515
Term loans	—	—	185,164	185,164
	<u>\$ 1,867,093</u>	<u>\$ 663,039</u>	<u>\$ 185,164</u>	<u>2,715,296</u>
Investments measured at net asset value:				
Hedge funds				283,513
Real estate				330,275
Venture capital/private equity funds				124,645
Total investments at fair value				<u>\$ 3,453,729</u>
Foreign currency exchange contracts	<u>\$ —</u>	<u>\$ 46,569</u>	<u>\$ —</u>	<u>\$ 46,569</u>
Liabilities				
Foreign currency exchange contracts	<u>\$ —</u>	<u>\$ 46,797</u>	<u>\$ —</u>	<u>\$ 46,797</u>

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

7. CHI Master Trust (continued)

	December 31, 2017			
	Level 1	Level 2	Level 3	Total
	<i>(Dollars in Thousands)</i>			
Assets				
Short-term investments	\$ 97,598	\$ 12,141	\$ —	\$ 109,739
Common stocks	1,712,171	—	—	1,712,171
Fixed-income funds	—	237,629	—	237,629
Mutual funds and exchange traded funds	93,687	—	—	93,687
Preferred stocks	8,257	—	—	8,257
Corporate bonds	—	335,904	—	335,904
Asset-backed securities	—	38,486	—	38,486
Government bonds	349,356	27,817	—	377,173
Foreign government bonds	—	32,419	—	32,419
Term loans	—	—	180,099	180,099
	<u>\$ 2,261,069</u>	<u>\$ 684,396</u>	<u>\$ 180,099</u>	<u>3,125,564</u>
Investments measured at net asset value:				
Hedge funds				284,901
Real estate				309,617
Venture capital/private equity funds				110,045
Total investments at fair value				<u>\$ 3,830,127</u>
Foreign currency exchange contracts	<u>\$ —</u>	<u>\$ 43,195</u>	<u>\$ —</u>	<u>\$ 43,195</u>
Liabilities				
Foreign currency exchange contracts	<u>\$ —</u>	<u>\$ 43,403</u>	<u>\$ —</u>	<u>\$ 43,403</u>

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

7. CHI Master Trust (continued)

The table below sets forth a summary of changes in the fair value of the Master Trust's Level 3 assets (dollars in thousands):

	<u>Term Loan</u>
Investments at fair value at December 31, 2016	\$ 170,923
Purchases/contributions of investments	171,632
Sales/distributions of investments	(162,260)
Net change in unrealized appreciation (depreciation) on investments and effect of foreign currency translation	2,471
Net realized loss on investments	<u>(2,667)</u>
Investments at fair value at December 31, 2017	180,099
Purchases/contributions of investments	68,346
Sales/distributions of investments	(54,353)
Net change in unrealized appreciation (depreciation) on investments and effect of foreign currency translation	(7,821)
Net realized loss on investments	<u>(1,107)</u>
Investments at fair value at December 31, 2018	<u><u>\$ 185,164</u></u>

Derivatives

The CHI Master Trust invests in derivative financial instruments primarily to adjust portfolio risk and asset class exposures. The CHI Master Trust only utilizes derivative instruments that have well-understood risk/return patterns, documented market liquidity, and a recognized pricing mechanism. Investment in such instruments is limited to the extent that the instruments are fully collateralized to avoid any leverage from such positions. Individual investment manager guidelines may further restrict the use of derivatives within the portfolio.

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

7. CHI Master Trust (continued)

The following table summarizes the CHI Master Trust's derivative instruments:

	Derivative Assets Fair Value	Derivative Liabilities Fair Value
	<i>(Dollars in Thousands)</i>	
December 31, 2018		
Foreign currency exchange contracts	<u>\$ 46,569</u>	<u>\$ 46,797</u>
December 31, 2017		
Foreign currency exchange contracts	<u>\$ 43,195</u>	<u>\$ 43,403</u>

Concentration of Market, Credit, and Industry Risk

The CHI Master Trust's investments in alternative investments are illiquid, non-publicly traded securities. The underlying investments of these alternative investments are subject to various risk factors, including market, credit, and industry risk. Market risk represents the potential loss in value of financial instruments caused by movements in market variables, such as interest rates. Other risks affecting these underlying investments include, but are not limited to, increasing competition, rapid changes in technology, and changes in economic conditions. These risk factors could have a material effect on the ultimate realizable value of the CHI Master Trust's alternative investments.

8. Income Tax Status

The Plan has received a determination letter from the Internal Revenue Service (IRS) dated January 9, 2014, stating that the Plan is qualified under Section 401(a) of the Internal Revenue Code (the Code), and, therefore, the related trust is exempt from taxation. Subsequent to the issuance of the letter, the Plan was amended. Once qualified, the Plan is required to operate in conformity with the Code to maintain its qualified status. The plan administrator believes the Plan is being operated in compliance with the applicable requirements of the Code and, therefore, believes that the Plan, as amended, is qualified and the related trust is tax-exempt.

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

8. Income Tax Status (continued)

Accounting principles generally accepted in the United States require plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. Plan management has analyzed the tax positions taken by the Plan, and has concluded that there are no uncertain positions taken or expected to be taken. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

9. Related-Party and Party-in-Interest Transactions

Certain of the Plan's assets are invested in funds managed by the trustee of the Plan. These transactions qualify as party-in-interest transactions; however, they are exempt from the prohibited transactions rules under ERISA.

10. Risks and Uncertainties

The Plan and CHI Master Trust invest in various investment securities. Investment securities are exposed to various risks, such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

Plan contributions are made and the actuarial present value of accumulated plan benefits are reported based on certain assumptions pertaining to interest rates, inflation rates, and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimation and assumption processes, it is at least reasonably possible that changes in these estimates and assumptions in the near term could materially affect the amounts reported and disclosed in the financial statements.

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

11. Plan Termination

In the event of termination of the Plan, the net assets of the Plan will be allocated, as prescribed by ERISA and its related regulations, generally to provide the following benefits in the order indicated:

- Annuity benefits that former employees or their beneficiaries have been receiving for at least three years or that employees eligible to retire for that three-year period would have been receiving if they had retired with benefits in the normal form of an annuity under the Plan. The priority amount is limited to the lowest benefit that was payable (or would have been payable) during those three years. The amount is further limited to the lowest benefit that would be payable under plan provisions in effect at any time during the five years preceding plan termination.
- Other vested benefits insured by the PBGC, a U.S. government agency, up to the applicable limitations (discussed below).
- All other vested benefits.
- All non-vested benefits.

Whether all participants receive their benefits, should the Plan terminate at some future time, will depend on the sufficiency, at that time, of the Plan's net assets to provide those benefits and may also depend on the financial condition of CHI and the level of benefits guaranteed by the PBGC. Certain benefits under the Plan are insured by the PBGC if the Plan terminates. Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits, and certain disability and survivors' pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination.

The Plan provides that following a plan termination (as defined), the assets of the Plan shall be applied in accordance with the provisions of the plan agreement to satisfy all liabilities to participants and beneficiaries, and the Sponsor shall be entitled to any balance of plan assets remaining.

St. Luke's Health System Retirement Plan

Notes to Financial Statements (continued)

12. Subsequent Events

Management has evaluated subsequent events for the Plan through October 10, 2019, the date the accompanying financial statements were available to be issued.

In early 2019, CHI completed its merger with Dignity Health to form a new \$29 billion health system called CommonSpirit Health. Prior to February 1, 2019, the plan administrator was the Catholic Health Initiatives Retirement Plans Sub-Committee. Effective February 1, 2019, the plan administrator became the Human Resources and Compensation Committee of the CommonSpirit Health Board of Stewardship Trustee. In June 2019, the Human Resources and Compensation Committee established and appointed as plan administrator the CommonSpirit Health Retirement Plans Sub-Committee. The CommonSpirit Health Investment Committee oversees the appropriateness of the Plan's investment offerings, monitors investment performance, and reports to the Plan's Board of Trustees.

Supplemental Schedules

St. Luke's Health System Retirement Plan

EIN #76-0536232 Plan #001

Schedule H, Line 4j – Schedule of Reportable Transactions

Year Ended December 31, 2018

Identity of Party Involved and Description of Assets	Current			Value of Asset on Transaction	Net Gain (Loss)
	Purchase Price	Selling Price	Cost of Asset		
Category (i) – A single transaction in excess of 5% of the fair value of plan assets					
PIMCO FDS	\$ 14,200,000	\$ –	\$ 14,200,000	\$ 14,200,000	\$ –
PIMCO FDS	12,200,000	–	12,200,000	12,200,000	–
COMMIT TO PUR FNMA SF MTG	12,656,719	–	12,656,719	12,656,719	–
COMMIT TO PUR FNMA SF MTG	12,896,406	–	12,896,406	12,896,406	–
COMMIT TO PUR FNMA SF MTG	12,831,914	–	12,831,914	12,831,914	–
COMMIT TO PUR FNMA SF MTG	12,652,148	–	12,652,148	12,652,148	–
COMMIT TO PUR FNMA SF MTG	12,658,750	–	12,658,750	12,658,750	–
COMMIT TO PUR FNMA SF MTG	–	12,844,609	12,896,406	12,844,609	(51,797)
COMMIT TO PUR FNMA SF MTG	–	12,665,449	12,831,914	12,665,449	(166,465)
COMMIT TO PUR FNMA SF MTG	–	12,658,750	12,655,449	12,658,750	3,301
Category (iii) – A series of transactions in excess of 5% of the fair value of plan assets					
BARCLAYS BANK PLC REV REPO	43,800,000	–	43,800,000	43,800,000	–
	–	43,800,000	43,800,000	43,800,000	–
BNY MELLON CASH RESERVE	38,760,174	–	38,760,174	38,760,174	–
	–	38,712,423	38,712,423	38,712,423	–
COMMIT TO PUR FNMA SF MTG	189,978,381	–	189,978,381	189,978,381	–
	–	171,888,798	172,015,028	171,888,798	(126,230)
DREYFUS GOVT SECURITIES CM 227	52,968,638	–	52,968,638	52,968,638	–
	–	52,270,994	52,270,994	52,270,994	–
PIMCO FDS	90,529,016	–	90,529,016	90,529,016	–
	–	55,200,000	55,199,751	55,200,000	249
U S TREASURY BOND	68,671,667	–	68,671,667	68,671,667	–
	–	68,909,953	69,420,283	68,909,953	(510,330)
U S TREASURY NOTE	35,019,238	–	35,019,238	35,019,238	–
	–	32,534,553	32,989,911	32,534,553	(455,358)

There were no category (ii) or (iv) reportable transactions during the year ended December 31, 2018.

St. Luke's Health System Retirement Plan

EIN #76-0536232 Plan #001

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

December 31, 2018

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/ Par Value	Cost	Current Value
CASH & CASH EQUIVALENTS	NON-BASE CURRENCY	– \$	9,854	\$ 9,851
CASH & CASH EQUIVALENTS	NON-BASE CURRENCY	–	563	565
CASH & CASH EQUIVALENTS	NON-BASE CURRENCY	–	77,131	77,763
CASH & CASH EQUIVALENTS	NON-BASE CURRENCY	–	31,812	31,403
CASH & CASH EQUIVALENTS	NON-BASE CURRENCY	–	2	2
CASH & CASH EQUIVALENTS	NON-BASE CURRENCY	–	89,126	90,900
CASH & CASH EQUIVALENTS	NON-BASE CURRENCY	–	31,429	32,220
CASH & CASH EQUIVALENTS	NON-BASE CURRENCY	–	1,305	1,293
CASH & CASH EQUIVALENTS	NON-BASE CURRENCY - BROKER	–	30,822	30,905
CASH & CASH EQUIVALENTS	NON-BASE CURRENCY	–	46,867	47,003
CASH & CASH EQUIVALENTS	NON-BASE CURRENCY	–	15	14
CASH & CASH EQUIVALENTS	NON-BASE CURRENCY	–	607	553
CASH & CASH EQUIVALENTS	NON-BASE CURRENCY	–	622	569
CASH & CASH EQUIVALENTS	NON-BASE CURRENCY	–	103,013	104,933
CASH & CASH EQUIVALENTS	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	(2,922,611)	(2,922,611)
CASH & CASH EQUIVALENTS	FFX CONTRACTS RECEIVABLE	–	8,069,931	8,069,931
CASH & CASH EQUIVALENTS	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	(536,901)	(541,282)
CASH & CASH EQUIVALENTS	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	(955,744)	(959,909)
CASH & CASH EQUIVALENTS	FFX CONTRACTS RECEIVABLE	–	203,906	205,053
CASH & CASH EQUIVALENTS	FFX CONTRACTS RECEIVABLE	–	48,016	46,392
CASH & CASH EQUIVALENTS	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	(901,341)	(900,791)
CASH & CASH EQUIVALENTS	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	(531,136)	(535,444)
CASH & CASH EQUIVALENTS	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	(289,983)	(303,238)
CASH & CASH EQUIVALENTS	FFX CONTRACTS RECEIVABLE	–	258,652	269,758
CASH & CASH EQUIVALENTS	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	(62,635)	(64,760)
CASH & CASH EQUIVALENTS	FFX CONTRACTS RECEIVABLE	–	515,085	520,852
CASH & CASH EQUIVALENTS	FFX CONTRACTS RECEIVABLE	–	502,172	513,531
CASH & CASH EQUIVALENTS	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	(3,549,413)	(3,556,403)
CASH & CASH EQUIVALENTS	FFX CONTRACTS RECEIVABLE	–	569,000	589,658
CASH & CASH EQUIVALENTS	FFX CONTRACTS RECEIVABLE	–	649,960	649,061
CASH & CASH EQUIVALENTS	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	(971,495)	(972,903)
CASH & CASH EQUIVALENTS	FFX CONTRACTS RECEIVABLE	–	175,820	187,952
CASH & CASH EQUIVALENTS	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	(271,282)	(276,750)
CASH & CASH EQUIVALENTS	CASH COLLATERAL HELD AT MORGAN	118,000	118,000	118,000
CASH & CASH EQUIVALENTS	ROYAL BANK OF CA CASH COLL	30,000	30,000	30,000
CASH & CASH EQUIVALENTS	MORG CCP COLLATERAL	353,000	353,000	353,000
CASH & CASH EQUIVALENTS	TBA CASH COLL JP MORGAN	(280,000)	(280,000)	(280,000)
CASH & CASH EQUIVALENTS	SWAP/ISDA JPM CASH COLLATERAL	–	–	–
CASH & CASH EQUIVALENTS	MORGAN STANLEY CASH COLLATERAL	384,000	384,000	384,000
CASH & CASH EQUIVALENTS	GOLDMAN CAP MKT (GLM) CASH COL	–	–	–
CASH & CASH EQUIVALENTS	MS ICE CCP COLLAT	1,668,000	1,668,000	1,668,000

St. Luke's Health System Retirement Plan

EIN #76-0536232 Plan #001

Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/ Par Value	Cost	Current Value
CASH & CASH EQUIVALENTS	WELLS FARGO CASH COLLATERAL	60,000	\$ 60,000	\$ 60,000
CASH & CASH EQUIVALENTS	BNY MELLON CASH RESERVE	47,752	47,752	47,752
CASH & CASH EQUIVALENTS	BNY MELLON CASH RESERVE	–	–	–
Cash and Cash Equivalents Total				2,826,823
CASH & CASH EQUIVALENTS	VAR MARGIN ON SWAPS	–	(808,029)	(808,029)
CASH & CASH EQUIVALENTS	VAR MARGIN ON SWAPS	–	3,338	3,338
CASH & CASH EQUIVALENTS	VAR MARGIN ON SWAPS	–	71,087	71,087
CASH & CASH EQUIVALENTS	VAR MARGIN ON SWAPS	–	(433)	(433)
CASH & CASH EQUIVALENTS	VAR MARGIN ON SWAPS	–	439,091	439,091
CASH & CASH EQUIVALENTS	VAR MARGIN ON SWAPS	–	206,102	206,102
CASH & CASH EQUIVALENTS	VAR MARGIN ON SWAPS	–	50,453	50,453
CASH & CASH EQUIVALENTS	VAR MARGIN ON SWAPS	–	(24,041)	(24,041)
Cash Overdraft Total				(62,433)
FIXED INCOME SECURITIES	COMMIT TO PUR FNMA SF MTG	(6,800,000)	(6,626,547)	(6,799,796)
FIXED INCOME SECURITIES	COMMIT TO PUR FNMA SF MTG	6,800,000	6,619,773	6,799,796
FIXED INCOME SECURITIES	COMMIT TO PUR FNMA SF MTG	15,600,000	15,247,783	15,597,192
FIXED INCOME SECURITIES	COMMIT TO PUR FNMA SF MTG	900,000	876,234	876,690
FIXED INCOME SECURITIES	WELLS FARGO & CO	500,000	506,420	480,040
FIXED INCOME SECURITIES	MORGAN STANLEY	700,000	700,000	661,850
FIXED INCOME SECURITIES	JPMORGAN CHASE & CO	800,000	800,000	797,568
FIXED INCOME SECURITIES	JPMORGAN CHASE & CO	200,000	200,000	175,414
FIXED INCOME SECURITIES	GOLDMAN SACHS GROUP INC/THE	1,000,000	952,590	959,770
FIXED INCOME SECURITIES	CITIGROUP INC	500,000	500,000	486,475
FIXED INCOME SECURITIES	CITIGROUP INC	200,000	200,000	188,936
FIXED INCOME SECURITIES	BANK OF AMERICA CORP	526,000	523,223	491,363
FIXED INCOME SECURITIES	BANK OF AMERICA CORP	1,079,000	1,079,205	1,048,799
FIXED INCOME SECURITIES	BANK OF AMERICA CORP	600,000	600,000	568,992
FIXED INCOME SECURITIES	WELLS FARGO BANK NA	1,350,000	1,369,308	1,669,572
FIXED INCOME SECURITIES	SPRINGLEAF FINANCE CORP	100,000	100,000	96,971
FIXED INCOME SECURITIES	NAVIENT CORP	70,000	69,584	69,738
FIXED INCOME SECURITIES	MORGAN STANLEY	600,000	615,624	609,108
FIXED INCOME SECURITIES	LAZARD GROUP LLC	500,000	498,590	484,975
FIXED INCOME SECURITIES	JPMORGAN CHASE & CO	900,000	891,949	1,094,454
FIXED INCOME SECURITIES	INTERCONTINENTAL EXCHANGE INC	200,000	198,576	197,148
FIXED INCOME SECURITIES	HSBC BANK USA NA	1,200,000	1,196,805	1,506,060
FIXED INCOME SECURITIES	ALLY FINANCIAL INC	200,000	241,875	222,000
FIXED INCOME SECURITIES	E*TRADE FINANCIAL CORP	100,000	99,912	98,543
FIXED INCOME SECURITIES	CITIGROUP INC	700,000	699,664	684,124
FIXED INCOME SECURITIES	CITIGROUP INC	100,000	99,941	92,319
FIXED INCOME SECURITIES	CITIGROUP INC	800,000	797,936	739,568
FIXED INCOME SECURITIES	CME GROUP INC	400,000	449,320	466,848
FIXED INCOME SECURITIES	BGC PARTNERS INC	400,000	398,064	405,828
FIXED INCOME SECURITIES	LLOYDS BANK PLC REGS	200,000	153,000	159,164
FIXED INCOME SECURITIES	SANTANDER UK GROUP HOLDINGS PL	200,000	185,698	180,780
FIXED INCOME SECURITIES	HSBC HOLDINGS PLC	700,000	703,774	693,868
FIXED INCOME SECURITIES	LLOYDS BANKING GROUP PLC	400,000	398,792	384,324
FIXED INCOME SECURITIES	HSBC HOLDINGS PLC	100,000	124,980	116,240
FIXED INCOME SECURITIES	BARCLAYS BANK PLC	400,000	462,000	414,500
FIXED INCOME SECURITIES	UBS AG REGS	800,000	799,125	796,000
FIXED INCOME SECURITIES	UBS AG/STAMFORD CT	400,000	443,000	426,000

St. Luke's Health System Retirement Plan

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/ Par Value	Cost	Current Value
FIXED INCOME SECURITIES	COOPERATIEVE RABOBANK UA	100,000	\$ 98,426	\$ 110,151
FIXED INCOME SECURITIES	GE CAPITAL INTERNATIONAL FUNDI	1,975,000	1,326,911	1,654,201
FIXED INCOME SECURITIES	CREDIT SUISSE GROUP FUNDING GU	250,000	249,183	246,728
FIXED INCOME SECURITIES	DEUTSCHE BANK AG/NEW YORK NY	500,000	498,815	488,875
FIXED INCOME SECURITIES	BROOKFIELD FINANCE INC	300,000	300,401	275,856
FIXED INCOME SECURITIES	COOPERATIEVE RABOBANK UA REGS	100,000	140,949	123,344
FIXED INCOME SECURITIES	ROCKWELL COLLINS INC	100,000	99,567	91,994
FIXED INCOME SECURITIES	ROCKWELL COLLINS INC	100,000	99,835	93,869
FIXED INCOME SECURITIES	NORTHROP GRUMMAN CORP	400,000	399,376	363,804
FIXED INCOME SECURITIES	L3HARRIS TECHNOLOGIES INC	100,000	100,000	102,867
FIXED INCOME SECURITIES	L3HARRIS TECHNOLOGIES INC	50,000	50,000	49,607
FIXED INCOME SECURITIES	GENERAL MOTORS CO	400,000	399,708	340,660
FIXED INCOME SECURITIES	SCHAEFFLER FINANCE BV REGS	100,000	107,729	116,531
FIXED INCOME SECURITIES	MOODY'S CORP	200,000	203,326	215,602
FIXED INCOME SECURITIES	GENERAL ELECTRIC CO	287,000	257,338	300,059
FIXED INCOME SECURITIES	GENERAL ELECTRIC CO	200,000	187,872	191,218
FIXED INCOME SECURITIES	21ST CENTURY FOX AMERICA INC	500,000	497,425	620,915
FIXED INCOME SECURITIES	TIME WARNER CABLE LLC	375,000	347,552	301,463
FIXED INCOME SECURITIES	WARNER MEDIA LLC	300,000	299,787	269,181
FIXED INCOME SECURITIES	NBCUNIVERSAL MEDIA LLC	400,000	393,632	385,572
FIXED INCOME SECURITIES	INTERPUBLIC GROUP OF COS INC/T	100,000	99,439	94,700
FIXED INCOME SECURITIES	DISCOVERY COMMUNICATIONS LLC	300,000	299,700	278,271
FIXED INCOME SECURITIES	TWDC ENTERPRISES 18 CORP	100,000	96,212	82,688
FIXED INCOME SECURITIES	COMCAST CORP	600,000	599,604	605,868
FIXED INCOME SECURITIES	COMCAST CORP	200,000	174,978	182,246
FIXED INCOME SECURITIES	COMCAST CORP	1,114,000	1,154,204	999,804
FIXED INCOME SECURITIES	COMCAST CORP	500,000	431,427	448,030
FIXED INCOME SECURITIES	CHARTER COMMUNICATIONS OPERATI	100,000	96,759	93,642
FIXED INCOME SECURITIES	CHARTER COMMUNICATIONS OPERATI	600,000	575,388	543,702
FIXED INCOME SECURITIES	CHARTER COMMUNICATIONS OPERATI	500,000	521,306	513,970
FIXED INCOME SECURITIES	CBS CORP	250,000	246,598	228,165
FIXED INCOME SECURITIES	AMAZON.COM INC	600,000	592,684	579,456
Corporate debt - Preferred Total				44,934,257
FIXED INCOME SECURITIES	US AIRWAYS 2012-1 CLASS A PASS	131,005	140,339	139,747
FIXED INCOME SECURITIES	AMERICAN AIRLINES 2011-1 CLASS	43,254	43,254	44,173
FIXED INCOME SECURITIES	WASHINGTON ST CONVENTION CENTE	1,200,000	1,200,000	1,533,840
FIXED INCOME SECURITIES	NEW JERSEY ST TRANSPRTN TRUST	600,000	590,510	608,148
FIXED INCOME SECURITIES	CHICAGO IL	75,000	71,813	76,991
FIXED INCOME SECURITIES	AMERICAN MUNI PWR-OHIO INC OH	400,000	561,584	586,196
FIXED INCOME SECURITIES	ZOETIS INC	100,000	99,408	91,884
FIXED INCOME SECURITIES	ZIMMER BIOMET HOLDINGS INC	114,000	112,220	102,699
FIXED INCOME SECURITIES	STRYKER CORP	200,000	201,366	200,902
FIXED INCOME SECURITIES	PFIZER INC	600,000	710,202	728,394
FIXED INCOME SECURITIES	MYLAN INC	100,000	107,013	84,632
FIXED INCOME SECURITIES	MEDTRONIC INC	350,000	349,062	366,954
FIXED INCOME SECURITIES	GILEAD SCIENCES INC	200,000	199,174	198,418
FIXED INCOME SECURITIES	CELGENE CORP	100,000	99,733	84,211
FIXED INCOME SECURITIES	CELGENE CORP	100,000	96,361	92,468
FIXED INCOME SECURITIES	CVS HEALTH CORP	200,000	203,146	194,522
FIXED INCOME SECURITIES	CVS HEALTH CORP	1,000,000	981,171	958,260
FIXED INCOME SECURITIES	BOSTON SCIENTIFIC CORP	400,000	385,368	522,596

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/ Par Value	Cost	Current Value
FIXED INCOME SECURITIES	BECTON DICKINSON AND CO	100,000	\$ 98,785	\$ 93,596
FIXED INCOME SECURITIES	BAXALTA INC	30,000	29,803	30,409
FIXED INCOME SECURITIES	ANTHEM INC	300,000	298,977	286,149
FIXED INCOME SECURITIES	AMGEN INC	1,208,000	1,238,914	1,138,166
FIXED INCOME SECURITIES	ABBVIE INC	200,000	198,910	178,434
FIXED INCOME SECURITIES	ABBVIE INC	400,000	409,416	363,764
FIXED INCOME SECURITIES	ABBVIE INC	500,000	496,545	462,555
FIXED INCOME SECURITIES	MYLAN NV	100,000	101,259	91,141
FIXED INCOME SECURITIES	ALLERGAN FUNDING SCS	34,000	33,822	32,276
FIXED INCOME SECURITIES	ALLERGAN FUNDING SCS	300,000	277,200	283,254
FIXED INCOME SECURITIES	TOLL BROTHERS FINANCE CORP	100,000	95,250	90,500
FIXED INCOME SECURITIES	REYNOLDS GROUP ISSUER INC / RE	103,961	101,882	103,961
FIXED INCOME SECURITIES	WHIRLPOOL CORP	200,000	199,272	187,402
FIXED INCOME SECURITIES	GATX CORP	100,000	103,621	91,310
FIXED INCOME SECURITIES	VALE OVERSEAS LTD	288,000	352,956	329,328
FIXED INCOME SECURITIES	GERDAU TRADE INC REGS	200,000	198,052	190,000
FIXED INCOME SECURITIES	WASHINGTON PRIME GROUP LP	300,000	295,401	263,196
FIXED INCOME SECURITIES	WEYERHAEUSER CO	100,000	125,121	119,086
FIXED INCOME SECURITIES	WEYERHAEUSER CO	600,000	658,103	733,704
FIXED INCOME SECURITIES	WEYERHAEUSER CO	100,000	124,182	117,208
FIXED INCOME SECURITIES	WEYERHAEUSER CO	200,000	224,042	236,924
FIXED INCOME SECURITIES	WELLTOWER INC	200,000	199,158	198,014
FIXED INCOME SECURITIES	UDR INC	500,000	498,820	475,820
FIXED INCOME SECURITIES	REGENCY CENTERS LP	200,000	198,220	186,936
FIXED INCOME SECURITIES	SL GREEN REALTY CORP	500,000	500,000	506,010
FIXED INCOME SECURITIES	PROLOGIS LP	300,000	296,346	304,398
FIXED INCOME SECURITIES	OMEGA HEALTHCARE INVESTORS INC	300,000	298,620	295,449
FIXED INCOME SECURITIES	KIMCO REALTY CORP	200,000	198,518	181,238
FIXED INCOME SECURITIES	SERVICE PROPERTIES TRUST	100,000	97,494	91,555
FIXED INCOME SECURITIES	WELLTOWER INC	400,000	391,000	394,768
FIXED INCOME SECURITIES	HCP INC	100,000	98,945	123,167
FIXED INCOME SECURITIES	GLP CAPITAL LP / GLP FINANCING	300,000	306,444	297,876
FIXED INCOME SECURITIES	ESSEX PORTFOLIO LP	200,000	198,846	192,780
FIXED INCOME SECURITIES	EPR PROPERTIES	100,000	98,429	98,915
FIXED INCOME SECURITIES	ERP OPERATING LP	100,000	99,622	100,390
FIXED INCOME SECURITIES	DIGITAL REALTY TRUST LP	300,000	300,000	306,513
FIXED INCOME SECURITIES	CROWN CASTLE INTERNATIONAL COR	200,000	198,414	185,388
FIXED INCOME SECURITIES	CROWN CASTLE INTERNATIONAL COR	600,000	598,026	597,360
FIXED INCOME SECURITIES	CORPORATE OFFICE PROPERTIES LP	300,000	298,530	305,451
FIXED INCOME SECURITIES	AVALONBAY COMMUNITIES INC	100,000	99,999	94,962
FIXED INCOME SECURITIES	AMERICAN TOWER CORP	200,000	199,866	181,048
FIXED INCOME SECURITIES	AMERICAN TOWER CORP	400,000	384,042	372,128
FIXED INCOME SECURITIES	ALEXANDRIA REAL ESTATE EQUITIE	100,000	102,130	101,205
FIXED INCOME SECURITIES	VOYA FINANCIAL INC	100,000	100,000	78,750
FIXED INCOME SECURITIES	PRUDENTIAL FINANCIAL INC	200,000	200,000	193,128
FIXED INCOME SECURITIES	PRUDENTIAL FINANCIAL INC	274,000	281,721	244,951
FIXED INCOME SECURITIES	METLIFE INC	100,000	99,610	99,896
FIXED INCOME SECURITIES	BRIGHTHOUSE FINANCIAL INC	200,000	199,168	148,930
FIXED INCOME SECURITIES	AMERICAN INTERNATIONAL GROUP I	200,000	198,826	183,024
FIXED INCOME SECURITIES	AMERICAN INTERNATIONAL GROUP I	300,000	297,783	279,852
FIXED INCOME SECURITIES	AMERICAN INTERNATIONAL GROUP I	100,000	92,423	83,016
FIXED INCOME SECURITIES	AMERICAN FINANCIAL GROUP INC/O	400,000	406,582	358,924

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/ Par Value	Cost	Current Value
FIXED INCOME SECURITIES	MGM RESORTS INTERNATIONAL	300,000	\$ 300,000	\$ 289,500
FIXED INCOME SECURITIES	EL PASO NATURAL GAS CO LLC	600,000	602,250	724,332
FIXED INCOME SECURITIES	ENABLE MIDSTREAM PARTNERS LP	200,000	195,487	164,380
FIXED INCOME SECURITIES	WILLIAMS COS INC/THE	200,000	199,030	181,480
FIXED INCOME SECURITIES	TRANSCONTINENTAL GAS PIPE LINE	200,000	198,552	187,004
FIXED INCOME SECURITIES	SABINE PASS LIQUEFACTION LLC	100,000	100,140	105,880
FIXED INCOME SECURITIES	SABINE PASS LIQUEFACTION LLC	500,000	489,375	521,680
FIXED INCOME SECURITIES	PLAINS ALL AMERICAN PIPELINE L	400,000	399,700	315,848
FIXED INCOME SECURITIES	PLAINS ALL AMERICAN PIPELINE L	250,000	241,398	224,770
FIXED INCOME SECURITIES	PIONEER NATURAL RESOURCES CO	100,000	124,000	103,914
FIXED INCOME SECURITIES	ONEOK PARTNERS LP	600,000	721,650	671,268
FIXED INCOME SECURITIES	ONEOK INC	400,000	398,184	382,680
FIXED INCOME SECURITIES	MPLX LP	100,000	90,972	83,531
FIXED INCOME SECURITIES	KINDER MORGAN ENERGY PARTNERS	200,000	199,044	181,482
FIXED INCOME SECURITIES	HALLIBURTON CO	200,000	268,066	248,602
FIXED INCOME SECURITIES	ENTERPRISE PRODUCTS OPERATING	400,000	397,560	388,580
FIXED INCOME SECURITIES	ENTERPRISE PRODUCTS OPERATING	400,000	452,040	425,444
FIXED INCOME SECURITIES	ENTERPRISE PRODUCTS OPERATING	300,000	274,386	327,900
FIXED INCOME SECURITIES	ENTERPRISE PRODUCTS OPERATING	295,000	354,221	346,221
FIXED INCOME SECURITIES	ENLINK MIDSTREAM PARTNERS LP	100,000	99,981	80,873
FIXED INCOME SECURITIES	ENERGY TRANSFER OPERATING LP	700,000	692,300	681,667
FIXED INCOME SECURITIES	ENERGY TRANSFER OPERATING LP	200,000	167,748	173,316
FIXED INCOME SECURITIES	ENERGY TRANSFER OPERATING LP	600,000	579,530	573,324
FIXED INCOME SECURITIES	ENERGY TRANSFER OPERATING LP	400,000	420,760	446,496
FIXED INCOME SECURITIES	ENABLE MIDSTREAM PARTNERS LP	200,000	199,230	186,748
FIXED INCOME SECURITIES	KINDER MORGAN ENERGY PARTNERS	200,000	201,342	174,070
FIXED INCOME SECURITIES	EQM MIDSTREAM PARTNERS LP	200,000	198,110	196,918
FIXED INCOME SECURITIES	EQM MIDSTREAM PARTNERS LP	200,000	198,382	181,554
FIXED INCOME SECURITIES	CONCHO RESOURCES INC	100,000	99,749	94,883
FIXED INCOME SECURITIES	CIMAREX ENERGY CO	100,000	99,748	92,794
FIXED INCOME SECURITIES	ANDEAVOR LOGISTICS LP / TESORO	250,000	252,559	225,070
FIXED INCOME SECURITIES	ANADARKO PETROLEUM CORP	400,000	360,463	431,936
FIXED INCOME SECURITIES	PETROBRAS GLOBAL FINANCE BV	483,000	482,244	454,749
FIXED INCOME SECURITIES	PETROLEOS MEXICANOS	100,000	99,252	80,500
FIXED INCOME SECURITIES	ODEBRECHT DRILLING NORBE REGS	87,822	36,047	49,290
FIXED INCOME SECURITIES	ODEBRECHT DRILLING NORBE REGS	41,333	41,083	39,700
FIXED INCOME SECURITIES	ENCANA CORP	100,000	99,567	98,879
FIXED INCOME SECURITIES	CENOVUS ENERGY INC	300,000	299,679	258,318
FIXED INCOME SECURITIES	CNOOC NEXEN FINANCE 2014 ULC	300,000	298,695	304,131
FIXED INCOME SECURITIES	SINOPEC GROUP OVERSEAS DE REGS	300,000	312,399	306,288
FIXED INCOME SECURITIES	BRASKEM AMERICA FINANCE C REGS	200,000	215,700	216,900
FIXED INCOME SECURITIES	CONSTELLATION BRANDS INC	200,000	199,312	168,574
FIXED INCOME SECURITIES	CONSTELLATION BRANDS INC	100,000	104,807	91,272
FIXED INCOME SECURITIES	ANHEUSER-BUSCH INBEV WORLDWIDE	500,000	492,775	446,100
FIXED INCOME SECURITIES	INTERNATIONAL PAPER CO	100,000	99,898	85,557
FIXED INCOME SECURITIES	INTERNATIONAL PAPER CO	200,000	199,798	168,214
FIXED INCOME SECURITIES	INTERNATIONAL PAPER CO	200,000	198,260	187,768
FIXED INCOME SECURITIES	INTERNATIONAL PAPER CO	300,000	297,867	293,739
FIXED INCOME SECURITIES	NORFOLK SOUTHERN CORP	300,000	299,418	294,369
FIXED INCOME SECURITIES	NORFOLK SOUTHERN CORP	300,000	299,382	267,927
FIXED INCOME SECURITIES	NORFOLK SOUTHERN CORP	100,000	99,327	101,931
FIXED INCOME SECURITIES	KANSAS CITY SOUTHERN	200,000	199,776	195,312

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/ Par Value	Cost	Current Value
FIXED INCOME SECURITIES	KANSAS CITY SOUTHERN	300,000	\$ 337,577	\$ 305,610
FIXED INCOME SECURITIES	FEDEX CORP	100,000	101,476	89,835
FIXED INCOME SECURITIES	FEDEX CORP	300,000	288,297	249,096
FIXED INCOME SECURITIES	BURLINGTON NORTHERN SANTA FE L	200,000	199,326	234,214
FIXED INCOME SECURITIES	OWENS CORNING	200,000	197,080	151,180
FIXED INCOME SECURITIES	OWENS CORNING	100,000	84,815	75,306
FIXED INCOME SECURITIES	MASCO CORP	94,000	103,870	112,020
FIXED INCOME SECURITIES	FORTUNE BRANDS HOME & SECURITY	200,000	198,714	199,260
FIXED INCOME SECURITIES	PACIFIC GAS & ELECTRIC CO	1,000,000	1,031,803	939,320
FIXED INCOME SECURITIES	SOUTHERN CO/THE	100,000	99,579	94,385
FIXED INCOME SECURITIES	SEMPRA ENERGY	200,000	182,948	182,740
FIXED INCOME SECURITIES	PUGET SOUND ENERGY INC	100,000	100,000	100,007
FIXED INCOME SECURITIES	JERSEY CENTRAL POWER & LIGHT C	400,000	365,664	466,348
FIXED INCOME SECURITIES	FLORIDA POWER & LIGHT CO	200,000	198,920	195,328
FIXED INCOME SECURITIES	EXELON GENERATION CO LLC	242,000	212,888	235,594
FIXED INCOME SECURITIES	ENTERGY LOUISIANA LLC	100,000	99,811	98,697
FIXED INCOME SECURITIES	ENTERGY LOUISIANA LLC	300,000	299,970	288,438
FIXED INCOME SECURITIES	EMERA US FINANCE LP	300,000	305,804	288,450
FIXED INCOME SECURITIES	DUKE ENERGY CAROLINAS LLC	100,000	99,527	95,420
FIXED INCOME SECURITIES	DUKE ENERGY CAROLINAS LLC	400,000	423,336	368,632
FIXED INCOME SECURITIES	DUKE ENERGY CORP	200,000	183,036	180,510
FIXED INCOME SECURITIES	DUKE ENERGY CORP	700,000	697,648	712,950
FIXED INCOME SECURITIES	DTE ELECTRIC CO	100,000	99,551	99,090
FIXED INCOME SECURITIES	CONSOLIDATED EDISON CO OF NEW	400,000	399,600	403,436
FIXED INCOME SECURITIES	CONNECTICUT LIGHT & POWER CO/T	200,000	199,514	197,430
FIXED INCOME SECURITIES	CMS ENERGY CORP	100,000	99,441	101,318
FIXED INCOME SECURITIES	BERKSHIRE HATHAWAY ENERGY CO	225,000	223,067	224,665
FIXED INCOME SECURITIES	APPALACHIAN POWER CO	200,000	275,182	251,518
FIXED INCOME SECURITIES	AEP TRANSMISSION CO LLC	500,000	499,910	503,800
FIXED INCOME SECURITIES	AEP TRANSMISSION CO LLC	100,000	91,804	91,707
FIXED INCOME SECURITIES	SOUTHERN CO GAS CAPITAL CORP	100,000	103,555	93,512
FIXED INCOME SECURITIES	SOUTHERN CALIFORNIA GAS CO	300,000	299,685	297,954
FIXED INCOME SECURITIES	NISOURCE INC	100,000	99,892	88,922
FIXED INCOME SECURITIES	NISOURCE INC	100,000	99,918	93,029
FIXED INCOME SECURITIES	VERIZON COMMUNICATIONS INC	705,000	555,100	647,402
FIXED INCOME SECURITIES	VERIZON COMMUNICATIONS INC	200,000	183,396	187,450
FIXED INCOME SECURITIES	VERIZON COMMUNICATIONS INC	855,000	749,806	826,169
FIXED INCOME SECURITIES	VERIZON COMMUNICATIONS INC	548,000	411,019	538,558
FIXED INCOME SECURITIES	SPRINT CORP	200,000	212,000	204,700
FIXED INCOME SECURITIES	MOTOROLA SOLUTIONS INC	100,000	99,881	90,876
FIXED INCOME SECURITIES	AT&T INC	100,000	100,862	92,662
FIXED INCOME SECURITIES	AT&T INC	300,000	300,924	293,010
FIXED INCOME SECURITIES	AT&T INC	300,000	254,250	256,713
FIXED INCOME SECURITIES	AT&T INC	2,463,000	1,702,641	2,081,407
FIXED INCOME SECURITIES	VODAFONE GROUP PLC	200,000	196,208	187,402
FIXED INCOME SECURITIES	TELEFONICA EMISIONES SA	500,000	503,783	457,700
FIXED INCOME SECURITIES	TELEFONICA EMISIONES SA	300,000	313,291	341,850
FIXED INCOME SECURITIES	DEUTSCHE TELEKOM INTERNATIONAL	600,000	699,714	782,568
FIXED INCOME SECURITIES	KONINKLIJKE KPN NV	100,000	134,446	129,450
FIXED INCOME SECURITIES	ORANGE SA	350,000	453,067	483,588
FIXED INCOME SECURITIES	ORACLE CORP	400,000	397,755	372,680
FIXED INCOME SECURITIES	ORACLE CORP	300,000	299,946	279,927

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/ Par Value	Cost	Current Value
FIXED INCOME SECURITIES	ORACLE CORP	500,000	\$ 497,945	\$ 473,105
FIXED INCOME SECURITIES	MICROSOFT CORP	100,000	97,505	97,568
FIXED INCOME SECURITIES	MICROSOFT CORP	600,000	596,682	580,464
FIXED INCOME SECURITIES	KLA CORP	100,000	99,764	101,941
FIXED INCOME SECURITIES	INTEL CORP	100,000	99,419	95,983
FIXED INCOME SECURITIES	BROADCOM CORP / BROADCOM CAYMA	700,000	696,906	627,977
FIXED INCOME SECURITIES	APPLE INC	400,000	400,000	386,032
FIXED INCOME SECURITIES	APPLE INC	400,000	398,940	371,992
FIXED INCOME SECURITIES	ACTIVISION BLIZZARD INC	100,000	98,554	89,709
FIXED INCOME SECURITIES	CORP NACIONAL DEL COBRE D REGS	200,000	201,980	192,852
FIXED INCOME SECURITIES	CORP NACIONAL DEL COBRE D REGS	200,000	195,746	223,070
FIXED INCOME SECURITIES	GOLDCORP INC	300,000	298,551	297,000
FIXED INCOME SECURITIES	JM SMUCKER CO/THE	100,000	98,310	87,021
FIXED INCOME SECURITIES	JM SMUCKER CO/THE	100,000	99,627	91,398
FIXED INCOME SECURITIES	KRAFT HEINZ FOODS CO	800,000	824,841	731,024
FIXED INCOME SECURITIES	KELLOGG CO	100,000	100,304	92,764
FIXED INCOME SECURITIES	CONAGRA BRANDS INC	500,000	497,915	472,470
FIXED INCOME SECURITIES	WALGREENS BOOTS ALLIANCE INC	100,000	99,748	94,089
FIXED INCOME SECURITIES	WALGREENS BOOTS ALLIANCE INC	100,000	99,335	95,906
FIXED INCOME SECURITIES	QVC INC	400,000	399,708	386,536
FIXED INCOME SECURITIES	LOWE'S COS INC	300,000	292,896	269,391
FIXED INCOME SECURITIES	LEVEL 3 2/17 TLB	700,000	700,000	663,250
FIXED INCOME SECURITIES	LAS VEGAS SANDS 3/18 TLB	1,754,990	1,746,967	1,671,873
FIXED INCOME SECURITIES	INTERNET BRANDS 8/17 COV-LITE	197,500	197,006	186,884
FIXED INCOME SECURITIES	ENVISION HEALTHCARE 9/18	100,000	99,750	92,964
FIXED INCOME SECURITIES	CHARTER COMM OPERATING 12/17	390,060	389,085	373,046
FIXED INCOME SECURITIES	CAESARS RESORT 12/17 COV-LITE	297,000	296,258	284,695
FIXED INCOME SECURITIES	CPG INTERNATIONAL 5/17	389,552	364,231	372,996
FIXED INCOME SECURITIES	ANCESTRY.COM 2/17 TL	97,250	96,764	92,752
FIXED INCOME SECURITIES	ILFC (DELOS FINANCE) 3/18	614,143	608,037	596,026
FIXED INCOME SECURITIES	PACIFIC LIFE INSURANCE CO 144A	500,000	495,683	439,275
FIXED INCOME SECURITIES	FARMERS INSURANCE EXCHANG 144A	200,000	198,739	176,792
FIXED INCOME SECURITIES	ENDO FINANCE LLC / ENDO F 144A	100,000	89,750	76,000
FIXED INCOME SECURITIES	WYNN LAS VEGAS LLC / WYNN 144A	400,000	400,542	373,000
FIXED INCOME SECURITIES	WEA FINANCE LLC / WESTFIE 144A	200,000	199,366	199,784
FIXED INCOME SECURITIES	UNIVISION COMMUNICATIONS 144A	100,000	94,000	87,750
FIXED INCOME SECURITIES	UNIVISION COMMUNICATIONS 144A	102,000	104,933	101,490
FIXED INCOME SECURITIES	TEACHERS INSURANCE & ANNU 144A	500,000	498,400	478,565
FIXED INCOME SECURITIES	SPRINT SPECTRUM CO LLC / 144A	300,000	300,000	294,750
FIXED INCOME SECURITIES	ROCKIES EXPRESS PIPELINE 144A	100,000	117,750	104,500
FIXED INCOME SECURITIES	ROCKIES EXPRESS PIPELINE 144A	100,000	117,750	113,000
FIXED INCOME SECURITIES	QUICKEN LOANS INC 144A	200,000	180,875	177,000
FIXED INCOME SECURITIES	POST HOLDINGS INC 144A	100,000	100,000	104,500
FIXED INCOME SECURITIES	NUVEEN LLC 144A	300,000	298,209	309,129
FIXED INCOME SECURITIES	NGPL PIPECO LLC 144A	100,000	122,000	114,000
FIXED INCOME SECURITIES	MYLAN INC 144A	300,000	299,046	247,365
FIXED INCOME SECURITIES	MASSACHUSETTS MUTUAL LIFE 144A	100,000	99,099	99,721
FIXED INCOME SECURITIES	LIBERTY MUTUAL GROUP INC 144A	100,000	131,040	118,591
FIXED INCOME SECURITIES	JELD-WEN INC 144A	100,000	94,250	84,500
FIXED INCOME SECURITIES	JELD-WEN INC 144A	100,000	89,500	87,500
FIXED INCOME SECURITIES	JEFFERIES FINANCE LLC / J 144A	600,000	574,500	583,500
FIXED INCOME SECURITIES	KRAFT HEINZ FOODS CO 144A	700,000	845,411	801,899

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/ Par Value	Cost	Current Value
FIXED INCOME SECURITIES	FORTRESS TRANSPORTATION & 144A	200,000	\$ 203,906	\$ 200,500
FIXED INCOME SECURITIES	FIRSTENERGY TRANSMISSION 144A	100,000	104,362	101,046
FIXED INCOME SECURITIES	FIRSTENERGY TRANSMISSION 144A	100,000	99,560	108,635
FIXED INCOME SECURITIES	ERAC USA FINANCE LLC 144A	200,000	257,559	248,654
FIXED INCOME SECURITIES	DUQUESNE LIGHT HOLDINGS I 144A	300,000	297,816	312,864
FIXED INCOME SECURITIES	DELL INTERNATIONAL LLC / 144A	300,000	329,940	324,837
FIXED INCOME SECURITIES	DELL INTERNATIONAL LLC / 144A	500,000	572,810	543,425
FIXED INCOME SECURITIES	COX COMMUNICATIONS INC 144A	500,000	499,820	455,860
FIXED INCOME SECURITIES	COVEY PARK ENERGY LLC / C 144A	25,000	25,000	21,500
FIXED INCOME SECURITIES	CANTOR FITZGERALD LP 144A	200,000	200,000	212,058
FIXED INCOME SECURITIES	CVS PASS-THROUGH TRUST 144A	463,377	463,377	487,158
FIXED INCOME SECURITIES	CVS PASS-THROUGH TRUST 144A	154,004	184,743	178,021
FIXED INCOME SECURITIES	CAESARS RESORT COLLECTION 144A	200,000	200,000	172,000
FIXED INCOME SECURITIES	STANDARD INDUSTRIES INC/N 144A	350,000	350,000	328,563
FIXED INCOME SECURITIES	BAYER US FINANCE II LLC 144A	200,000	196,872	181,628
FIXED INCOME SECURITIES	AXA EQUITABLE HOLDINGS IN 144A	200,000	199,414	177,406
FIXED INCOME SECURITIES	ANHEUSER-BUSCH COS LLC / 144A	1,500,000	1,428,030	1,391,085
FIXED INCOME SECURITIES	AMERICAN TRANSMISSION SYS 144A	100,000	99,007	106,811
FIXED INCOME SECURITIES	NATIONWIDE BUILDING SOCIE 144A	250,000	249,265	227,555
FIXED INCOME SECURITIES	DP WORLD PLC 144A	300,000	296,784	282,000
FIXED INCOME SECURITIES	DP WORLD PLC 144A	300,000	350,500	333,867
FIXED INCOME SECURITIES	YARA INTERNATIONAL ASA 144A	100,000	99,748	99,671
FIXED INCOME SECURITIES	NXP BV / NXP FUNDING LLC 144A	100,000	99,759	102,283
FIXED INCOME SECURITIES	ENEL FINANCE INTERNATIONA 144A	200,000	198,136	190,788
FIXED INCOME SECURITIES	ENEL FINANCE INTERNATIONA 144A	200,000	213,974	169,812
FIXED INCOME SECURITIES	BRASKEM NETHERLANDS FINAN 144A	200,000	197,990	184,852
FIXED INCOME SECURITIES	TRUST F/1401 144A	200,000	215,200	189,000
FIXED INCOME SECURITIES	NIPPON LIFE INSURANCE CO 144A	300,000	300,000	300,750
FIXED INCOME SECURITIES	ENDO DAC / ENDO FINANCE L 144A	200,000	171,000	152,500
FIXED INCOME SECURITIES	ALTICE FRANCE SA/FRANCE 144A	300,000	303,750	275,250
FIXED INCOME SECURITIES	ELECTRICITE DE FRANCE SA 144A	100,000	97,702	89,928
FIXED INCOME SECURITIES	ELECTRICITE DE FRANCE SA 144A	100,000	105,969	116,486
FIXED INCOME SECURITIES	WYNN MACAU LTD 144A	1,100,000	1,030,250	957,000
FIXED INCOME SECURITIES	TRANSOCEAN GUARDIAN LTD 144A	200,000	197,000	191,500
FIXED INCOME SECURITIES	SAUDI ELECTRICITY GLOBAL 144A	200,000	200,000	190,500
FIXED INCOME SECURITIES	WEST FRASER TIMBER CO LTD 144A	100,000	100,000	99,087
FIXED INCOME SECURITIES	BAUSCH HEALTH COS INC 144A	100,000	103,250	100,500
FIXED INCOME SECURITIES	STUDIO CITY CO LTD 144A	600,000	600,000	611,010
FIXED INCOME SECURITIES	BACARDI LTD 144A	200,000	199,130	180,554
FIXED INCOME SECURITIES	SUZANO AUSTRIA GMBH 144A	300,000	305,526	307,800
FIXED INCOME SECURITIES	NEWCREST FINANCE PTY LTD 144A	200,000	201,048	197,690
FIXED INCOME SECURITIES	EMERALD BAY SA 144A	292,000	298,506	312,937
FIXED INCOME SECURITIES	UNICREDIT SPA 144A	600,000	600,000	627,108
FIXED INCOME SECURITIES	BNP PARIBAS SA 144A	300,000	300,000	285,375
FIXED INCOME SECURITIES	ROYAL BANK OF SCOTLAND GROUP P	600,000	600,000	578,562
FIXED INCOME SECURITIES	XLIT LTD	300,000	293,830	310,212
FIXED INCOME SECURITIES	TRANSCANADA PIPELINES LTD	400,000	428,696	498,236
FIXED INCOME SECURITIES	ENCANA CORP	100,000	117,274	113,095
FIXED INCOME SECURITIES	CANADIAN PACIFIC RR CO	400,000	400,000	465,216
FIXED INCOME SECURITIES	TIME WARNER CABLE LLC	50,000	83,667	68,234
FIXED INCOME SECURITIES	STATE STREET CORP	200,000	200,000	189,000
FIXED INCOME SECURITIES	DISCOVER FINANCIAL SERVICES	300,000	300,000	249,249

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/ Par Value	Cost	Current Value
FIXED INCOME SECURITIES	LLOYDS BANKING GROUP PLC	400,000	\$ 400,000	\$ 386,080
FIXED INCOME SECURITIES	BARCLAYS PLC	900,000	908,955	865,728
FIXED INCOME SECURITIES	BANCO SANTANDER SA REGS	600,000	722,597	672,172
FIXED INCOME SECURITIES	BANCO BILBAO VIZCAYA ARGE REGS	400,000	585,399	456,024
FIXED INCOME SECURITIES	STICHTING AK RABOBANK CER REGS	100,000	115,490	123,193
FIXED INCOME SECURITIES	COOPERATIEVE RABOBANK UA REGS	400,000	540,272	496,383
FIXED INCOME SECURITIES	ODEBRECHT OIL & GAS FINAN REGS	46,000	1,355	474
FIXED INCOME SECURITIES	ROYAL BANK OF SCOTLAND GROUP P	300,000	300,000	310,499
Corporate debt instruments - other Total				82,662,334
CASH & CASH EQUIVALENTS	DREYFUS GOVT SECURITIES CM 227	566,470	566,470	566,470
CASH & CASH EQUIVALENTS	DREYFUS GOVT SECURITIES CM 227	4,022,850	4,022,850	4,022,850
Dreyfus Govt Securities Total				4,589,320
CASH & CASH EQUIVALENTS	PAYABLE FOR INVESTMENTS PURCHASED	–	(22,838,873)	(22,838,873)
Due to broker for securities sold Total				(22,838,873)
CASH & CASH EQUIVALENTS	ARGENTINA TREASURY BILL	635,000	16,247	16,335
CASH & CASH EQUIVALENTS	ARGENTINA TREASURY BILL	48,000	1,320	1,271
FIXED INCOME SECURITIES	ARGENTINA POM POLITICA MONETAR	2,340,000	100,650	68,348
FIXED INCOME SECURITIES	ARGENTINA BONAR BONDS	508,000	14,030	13,894
FIXED INCOME SECURITIES	SAUDI GOVERNMENT INTERNAT 144A	800,000	784,120	722,712
FIXED INCOME SECURITIES	QATAR GOVERNMENT INTERNAT 144A	300,000	300,000	315,075
FIXED INCOME SECURITIES	TESCO PROPERTY FINANCE 5 REGS	196,750	237,914	277,671
FIXED INCOME SECURITIES	BARCLAYS BANK PLC REGS	300,000	611,564	399,424
Foreign Gov't Securities Total				1,814,731
UNIT OF PARTICIPATION	CHI GROWTH MTU	28,451,658	24,572,092	26,853,756
Investment in Master Trust Total				26,853,756
CASH & CASH EQUIVALENTS	CASH	–	1,186	1,186
CASH & CASH EQUIVALENTS	CASH - BROKER	–	346,254	346,254
Non-interest Bearing Cash Total				347,440
CASH & CASH EQUIVALENTS	MXN/USD SPOT OPTION 2019	390,000	5,903	9,780
CASH & CASH EQUIVALENTS	MXN/USD SPOT OPTION 2019	(390,000)	(6,895)	(3,135)
FIXED INCOME SECURITIES	IRS P US0003M R 2.945% 121149	500,000	24,000	22,029
FIXED INCOME SECURITIES	IRS USD R 3.25750% P LIBOR 3M	600,000	17,880	9,837
FIXED INCOME SECURITIES	IRS R 3.1000 P US0003M	400,000	27,000	2,636
FIXED INCOME SECURITIES	IRS R 2.9700 P US0003M	(1,700,000)	(26,379)	(1,082)
FIXED INCOME SECURITIES	IRS P USD 5Y 10BPS R 3.05%	(6,000,000)	(60,550)	(2,890)
FIXED INCOME SECURITIES	IRS P USD 5Y 10BPS R 3%	(7,150,000)	(73,136)	(1,855)
FIXED INCOME SECURITIES	IRS P USD 30Y 47BPS R 3.23%	1,400,000	66,267	2,399
FIXED INCOME SECURITIES	IRS R 3.28 P US0003M	1,700,000	81,600	324
FIXED INCOME SECURITIES	IRS R 3.20 P US0003M 01/28/24	(7,700,000)	(81,344)	(14)
FIXED INCOME SECURITIES	IRS R 2.5525% P US0003M	(700,000)	(8,313)	(1,176)
FIXED INCOME SECURITIES	IRS P US0003M R 2.9425% 121649	300,000	14,400	13,387
FIXED INCOME SECURITIES	IRS P US0003M R 2.75% 12/16/24	(1,300,000)	(14,182)	(12,147)
FIXED INCOME SECURITIES	IRS P US0003M R 2.945% 121349	300,000	14,160	13,284
FIXED INCOME SECURITIES	IRS P US0003M R 2.75% 12/13/24	(1,300,000)	(13,945)	(12,107)
FIXED INCOME SECURITIES	IRS P US0003M R 2.75% 12/11/24	(2,200,000)	(24,030)	(20,356)
FIXED INCOME SECURITIES	IRS P USD 30Y 5BPS R 3.2%	1,200,000	60,000	5,006

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/ Par Value	Cost	Current Value
FIXED INCOME SECURITIES	CXT_SP UL CDX.NA.HY.31	(100,000) \$	– \$	–
FIXED INCOME SECURITIES	CXT SP UL CDX.NA.HY.31	100,000	12,428	8,376
FIXED INCOME SECURITIES	CXT_SP UL CDX.NA.HY.31	(100,000)	–	–
FIXED INCOME SECURITIES	CXT SP UL CDX.NA.HY.31	100,000	12,436	8,376
FIXED INCOME SECURITIES	CXT_SP UL CDX.NA.HY.29	(100,000)	–	–
FIXED INCOME SECURITIES	CXT SP UL CDX.NA.HY.29	100,000	16,219	12,268
FIXED INCOME SECURITIES	CXT SP UL CDX.NA.HY.27	(100,000)	–	–
FIXED INCOME SECURITIES	CXT SP UL CDX.NA.HY.27	100,000	9,186	11,838
FIXED INCOME SECURITIES	CXT_SP UL CDX.NA.HY.27	(200,000)	–	–
FIXED INCOME SECURITIES	CXT SP UL CDX.NA.HY.27	200,000	17,422	23,675
FIXED INCOME SECURITIES	CXT_SP UL CDX.NA.HY.31	(100,000)	–	–
FIXED INCOME SECURITIES	CXT SP UL CDX.NA.HY.31	100,000	12,612	8,376
FIXED INCOME SECURITIES	CDS_SP UL UNITED MEXICAN STAT	(50,000)	(730)	–
FIXED INCOME SECURITIES	CDS SP UL UNITED MEXICAN STAT	50,000	–	(1,245)
FIXED INCOME SECURITIES	CDS_SP UL UNITED MEXICAN STAT	(300,000)	(4,659)	–
FIXED INCOME SECURITIES	CDS SP UL UNITED MEXICAN STAT	300,000	–	(7,467)
FIXED INCOME SECURITIES	CDS_SP UL UNITED MEXICAN STAT	(650,000)	(10,921)	–
FIXED INCOME SECURITIES	CDS SP UL UNITED MEXICAN STAT	650,000	–	(16,179)
FIXED INCOME SECURITIES	CDS_SP UL UNITED MEXICAN STAT	(1,900,000)	(40,907)	–
FIXED INCOME SECURITIES	CDS SP UL UNITED MEXICAN STAT	1,900,000	–	(47,292)
FIXED INCOME SECURITIES	CDS_SP UL UNITED MEXICAN STAT	(500,000)	(13,052)	–
FIXED INCOME SECURITIES	CDS SP UL UNITED MEXICAN STAT	500,000	–	(12,445)
FIXED INCOME SECURITIES	CDS_SP UL UNITED MEXICAN STAT	(1,400,000)	(30,240)	–
FIXED INCOME SECURITIES	CDS SP UL UNITED MEXICAN STAT	1,400,000	–	(34,847)
FIXED INCOME SECURITIES	CDS_SP UL UNITED MEXICAN STAT	(200,000)	(5,196)	–
FIXED INCOME SECURITIES	CDS SP UL UNITED MEXICAN STAT	200,000	–	(4,978)
FIXED INCOME SECURITIES	CDS_SP UL PETROLEOS MEXICANOS	(800,000)	(46,875)	–
FIXED INCOME SECURITIES	CDS SP UL PETROLEOS MEXICANOS	800,000	–	(67,593)
FIXED INCOME SECURITIES	CDS_SP UL PETROLEO BRASILEIRO	(500,000)	(52,365)	–
FIXED INCOME SECURITIES	CDS SP UL PETROLEO BRASILEIRO	500,000	–	(33,250)
FIXED INCOME SECURITIES	CDS_SP UL PETROLEOS MEXICANOS	(700,000)	(35,132)	–
FIXED INCOME SECURITIES	CDS SP UL PETROLEOS MEXICANOS	700,000	–	(59,144)
FIXED INCOME SECURITIES	CDS_SP UL PETROLEOS MEXICANOS	(500,000)	(29,484)	–
FIXED INCOME SECURITIES	CDS SP UL PETROLEOS MEXICANOS	500,000	–	(42,245)
FIXED INCOME SECURITIES	CDS_SP UL SPRINGLEAF FINANCE	(100,000)	–	–
FIXED INCOME SECURITIES	CDS SP UL SPRINGLEAF FINANCE	100,000	9,447	5,028
FIXED INCOME SECURITIES	CDX_SP UL CMBX.NA.AAA.10_1	(100,000)	(2,835)	–
FIXED INCOME SECURITIES	CDX SP UL CMBX.NA.AAA.10_1	100,000	–	(1,097)
FIXED INCOME SECURITIES	CDX_SP UL CMBX.NA.AAA.10	(100,000)	(2,953)	–
FIXED INCOME SECURITIES	CDX SP UL CMBX.NA.AAA.10	100,000	–	(1,097)
FIXED INCOME SECURITIES	CDS_SP UL PETROLEOS MEXICANOS	(200,000)	(7,960)	–
FIXED INCOME SECURITIES	CDS SP UL PETROLEOS MEXICANOS	200,000	–	(11,527)
FIXED INCOME SECURITIES	CDX_SP UL CMBX.NA.BB_6	(400,000)	(55,397)	–
FIXED INCOME SECURITIES	CDX SP UL CMBX.NA.BB_6	400,000	–	(112,450)
FIXED INCOME SECURITIES	CDX_SP UL CMBX.NA.BBB.9_1	(200,000)	(35,812)	–
FIXED INCOME SECURITIES	CDX SP UL CMBX.NA.BBB.9_1	200,000	–	(24,287)
FIXED INCOME SECURITIES	CDX_SP UL CMBX.NA.BBB.9_1	(100,000)	(17,520)	–
FIXED INCOME SECURITIES	CDX SP UL CMBX.NA.BBB.9_1	100,000	–	(12,144)
FIXED INCOME SECURITIES	CDX_SP UL CMBX.NA.AAA.9_1	(200,000)	(7,552)	–
FIXED INCOME SECURITIES	CDX SP UL CMBX.NA.AAA.9_1	200,000	–	(749)
FIXED INCOME SECURITIES	CDX_SP UL CMBX.NA.BBB_7	(500,000)	(59,730)	–
FIXED INCOME SECURITIES	CDX SP UL CMBX.NA.BBB_7	500,000	–	(42,568)

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/ Par Value	Cost	Current Value
FIXED INCOME SECURITIES	CDX_SP UL CMBX.NA.AAA.9_1	(200,000)	\$ (7,583)	\$ –
FIXED INCOME SECURITIES	CDX SP UL CMBX.NA.AAA.9_1	200,000	–	(749)
FIXED INCOME SECURITIES	CDX_SP UL CMBX.NA.BBB_6	(100,000)	(5,054)	–
FIXED INCOME SECURITIES	CDX SP UL CMBX.NA.BBB_6	100,000	–	(16,126)
FIXED INCOME SECURITIES	CDX_SP UL CMBX.NA.AAA.9_1	(1,000,000)	(40,419)	–
FIXED INCOME SECURITIES	CDX SP UL CMBX.NA.AAA.9_1	1,000,000	–	(3,746)
FIXED INCOME SECURITIES	CDX_SP UL CMBX.NA.BBB_6	(200,000)	(10,769)	–
FIXED INCOME SECURITIES	CDX SP UL CMBX.NA.BBB_6	200,000	–	(32,252)
FIXED INCOME SECURITIES	CDX_SP UL CMBX.NA.AAA.8_1	(1,300,000)	(75,120)	–
FIXED INCOME SECURITIES	CDX SP UL CMBX.NA.AAA.8_1	1,300,000	–	2,531
FIXED INCOME SECURITIES	CDX_SP UL CMBX.NA.AAA.8_1	(900,000)	(46,368)	–
FIXED INCOME SECURITIES	CDX SP UL CMBX.NA.AAA.8_1	900,000	–	1,752
FIXED INCOME SECURITIES	CDX_SP UL CMBX.NA.AAA.8_1	(900,000)	(44,330)	–
FIXED INCOME SECURITIES	CDX SP UL CMBX.NA.AAA.8_1	900,000	–	1,752
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y 01/16/19	(800,000)	(730)	(2,200)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y 12/20/23	(400,000)	(730)	(1,294)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(400,000)	(850)	(922)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(500,000)	(1,063)	(1,363)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(500,000)	(420)	(293)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y 12/20/23	(2,800,000)	(2,254)	(1,639)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y 022019	(900,000)	(2,273)	(3,206)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y 032019	(400,000)	(744)	(672)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(200,000)	(195)	(269)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(500,000)	(663)	(1,069)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y 03/20/19	(200,000)	(354)	(461)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(400,000)	(692)	(676)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y 12/20/23	(500,000)	(906)	(1,363)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(500,000)	(550)	(840)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(400,000)	(740)	(1,090)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(500,000)	(731)	(1,375)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y 01/16/19	(600,000)	(639)	(974)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y 01/16/19	(900,000)	(1,250)	(1,461)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y 091819	(400,000)	(680)	(765)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(300,000)	(510)	(507)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(400,000)	(744)	(633)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(700,000)	(791)	(1,176)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(600,000)	(639)	(808)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(200,000)	(156)	(269)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(200,000)	(240)	(269)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(400,000)	(625)	(1,090)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(500,000)	(1,075)	(1,069)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(500,000)	(750)	(811)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y 02/20/19	(400,000)	(804)	(1,425)
FIXED INCOME SECURITIES	CDX_SP.NA.IG.31 V1 5Y	(400,000)	(511)	(386)
FIXED INCOME SECURITIES	CDX_SP ITRAXX MAIN30	(600,000)	(1,024)	(634)
FIXED INCOME SECURITIES	CDX_SP ITRAXX MAIN30 091819	(400,000)	(776)	(632)
FIXED INCOME SECURITIES	TRS R IBOXHY P US0003M SOG	(1,100,000)	–	–
FIXED INCOME SECURITIES	IRS R 2.94% P LIBOR 3M	(1,700,000)	(12,355)	(7,861)
FIXED INCOME SECURITIES	IRS P US0006M 3.63%	300,000	17,250	2,715
FIXED INCOME SECURITIES	IRS P US0006M 3.75%	(1,500,000)	(19,602)	(1,331)
FIXED INCOME SECURITIES	CCP_IRS. R USD-LIBOR-BBA 3M CM	900,000	–	(11,903)
FIXED INCOME SECURITIES	CCP_IRS._R USD-LIBOR-BBA 3M CM	(900,000)	–	–

St. Luke's Health System Retirement Plan

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/ Par Value	Cost	Current Value
FIXED INCOME SECURITIES	CCP_IRS. R USD-LIBOR-BBA 3M CM	1,000,000	\$ –	\$ (16,358)
FIXED INCOME SECURITIES	CCP_IRS._R USD-LIBOR-BBA 3M CM	(1,000,000)	–	–
FIXED INCOME SECURITIES	CCP_IRS. R USD-LIBOR-BBA 3M CM	1,800,000	–	(29,113)
FIXED INCOME SECURITIES	CCP_IRS._R USD-LIBOR-BBA 3M CM	(1,800,000)	–	–
FIXED INCOME SECURITIES	CCP_IRS._P USD-LIBOR-BBA 3M CM	–	–	–
FIXED INCOME SECURITIES	CCP_IRS. P USD-LIBOR-BBA 3M CM	–	–	–
FIXED INCOME SECURITIES	CCP_IRS._P USD-LIBOR-BBA 3M CM	(18,150,000)	–	–
FIXED INCOME SECURITIES	CCP_IRS. P USD-LIBOR-BBA 3M CM	18,150,000	–	335,567
FIXED INCOME SECURITIES	CCP_IRS. R USD-LIBOR-BBA 3M CM	2,025,000	153,158	141,569
FIXED INCOME SECURITIES	CCP_IRS._R USD-LIBOR-BBA 3M CM	(2,025,000)	–	–
FIXED INCOME SECURITIES	CCP IRS R 3.0 % CME	(5,000,000)	(100,000)	(162,591)
FIXED INCOME SECURITIES	CCP IRS R 3% CME	22,500,000	100,000	216,432
FIXED INCOME SECURITIES	IRS R 2.94% P LIBOR 3M	(2,600,000)	(18,442)	(12,023)
FIXED INCOME SECURITIES	IRS R 3.25% P LIBOR 3M 9/20/49	400,000	12,400	6,558
FIXED INCOME SECURITIES	IRS R 3.25% P LIBOR 3M 092049	500,000	15,167	8,198
FIXED INCOME SECURITIES	IRS R 2.94% P LIBOR 3M 9/20/24	(2,200,000)	(15,519)	(10,173)
FIXED INCOME SECURITIES	CCP IRS R 2.52% P US0003M CME	(800,000)	(1,280)	(2,005)
FIXED INCOME SECURITIES	CCP IRS R 2.78% P US0003M CME	(800,000)	(1,060)	(522)
FIXED INCOME SECURITIES	CCP IRS R 2.65% P US0003M CME	(900,000)	(1,170)	(4,380)
FIXED INCOME SECURITIES	CCP IRS R 2.89% P US0003M CME	(900,000)	(1,080)	(51)
FIXED INCOME SECURITIES	CCP IRS USD R 2.94 P US0003M	(1,000,000)	(1,100)	(19)
FIXED INCOME SECURITIES	CCP IRS USD R 2.71 P US0003M	(1,000,000)	(1,300)	(6,887)
FIXED INCOME SECURITIES	CCP IRS R 2.76% P US0003M CME	(1,000,000)	(1,325)	(8,733)
FIXED INCOME SECURITIES	CCP IRS P US0003M R 3.086%	(400,000)	(30,800)	(32,749)
FIXED INCOME SECURITIES	CCP IRS P US0003M R 2.94%	4,200,000	30,800	46,698
FIXED INCOME SECURITIES	CCP IRS R 2.72% P US0003M CME	(800,000)	(1,080)	(5,539)
FIXED INCOME SECURITIES	CCP IRS R 2.96% P US0003M CME	(800,000)	(1,010)	(1)
FIXED INCOME SECURITIES	CCP IRS R 2.76% P US0003M CME	(900,000)	(1,013)	(7,641)
FIXED INCOME SECURITIES	CCP IRS R 2.99% P US0003M CME	(900,000)	(1,013)	–
FIXED INCOME SECURITIES	CCP IRS R 3.07% P US0003M CME	(900,000)	(882)	–
FIXED INCOME SECURITIES	CCP IRS R 2.85% P US0003M CME	(900,000)	(1,026)	(11,363)
FIXED INCOME SECURITIES	CCP IRS R 2.89% P US0003M CME	(800,000)	(920)	(11,593)
FIXED INCOME SECURITIES	CCP IRS R 3.1% P US0003M CME	(800,000)	(800)	–
FIXED INCOME SECURITIES	IRS R 2.45% P LIBOR 3M	3,500,000	8,313	1,134
FIXED INCOME SECURITIES	CCP IRS P US0006M R 2.9%	800,000	76,000	32,741
FIXED INCOME SECURITIES	CCP IRS P US0006M R 2.75%	(4,000,000)	(76,000)	(33,437)
FIXED INCOME SECURITIES	IRS R 2.93% P US0003M	900,000	41,400	40,918
FIXED INCOME SECURITIES	IRS R 2.75% P LIBOR 3M	(4,000,000)	(42,000)	(37,011)
FIXED INCOME SECURITIES	IRS P USD 30Y R 100BPS 2.646%	2,100,000	92,400	147,639
FIXED INCOME SECURITIES	IRS P USD 30Y R 100BPS 2.66%	2,100,000	92,400	143,518
FIXED INCOME SECURITIES	IRS P USD 5Y R 100 BPS 2.5%	(21,000,000)	(184,064)	(262,876)
FIXED INCOME SECURITIES	IRS P USD 30 YR 100BPS 2.69%	1,000,000	48,000	64,238
FIXED INCOME SECURITIES	IRS P USD 5Y 100 BPS 2.5%	(5,000,000)	(48,685)	(62,590)
FIXED INCOME SECURITIES	CCP IRS R 3.1% P US0003M CME	(2,100,000)	(44,100)	(83,562)
FIXED INCOME SECURITIES	CCP IRS R 3.12% P US0003M CME	9,600,000	44,308	114,290
FIXED INCOME SECURITIES	CDX P USD 30Y 100BPS R 2.69%	1,000,000	53,200	64,238
FIXED INCOME SECURITIES	IRS P USD 5Y R 100BPS 2.5%	(15,000,000)	(156,574)	(187,769)
FIXED INCOME SECURITIES	IRS P USD 30Y R 100 BPS 2.71%	2,000,000	103,260	123,161
FIXED INCOME SECURITIES	CCP IRS R 3.107 P US0003M CME	9,600,000	45,152	112,163
FIXED INCOME SECURITIES	CCP IRS R 3.1% P US0003M CME	(2,100,000)	(44,940)	(83,518)
FIXED INCOME SECURITIES	CCP IRS USD R 3.05%	9,600,000	44,308	103,344
FIXED INCOME SECURITIES	CCP IRS USD R 3.0545%	(2,100,000)	(44,100)	(77,294)

St. Luke's Health System Retirement Plan

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/ Par Value	Cost	Current Value
FIXED INCOME SECURITIES	CCP_IRS._R GBP-LIBOR-BBA 6M LC	\$ 750,000	\$ –	\$ (48,266)
FIXED INCOME SECURITIES	CCP_IRS._R GBP-LIBOR-BBA 6M LC	(750,000)	(4,603)	–
FIXED INCOME SECURITIES	CCP_IRS. R GBP-LIBOR-BBA 6M CM	3,300,000	53,037	(20,109)
FIXED INCOME SECURITIES	CCP_IRS._R GBP-LIBOR-BBA 6M CM	(3,300,000)	–	–
FIXED INCOME SECURITIES	CCP_IRS._P GBP-LIBOR-BBA 6M CM	(1,900,000)	(35,243)	–
FIXED INCOME SECURITIES	CCP_IRS. P GBP-LIBOR-BBA 6M CM	1,900,000	–	(8,415)
FIXED INCOME SECURITIES	CCP_IRS._P MXN-TIE-BANXICO 28	(5,500,000)	(273)	–
FIXED INCOME SECURITIES	CCP_IRS. P MXN-TIE-BANXICO 28	5,500,000	255	(13,142)
FIXED INCOME SECURITIES	CCP_IRS._P MXN-TIE-BANXICO 28	(21,300,000)	–	–
FIXED INCOME SECURITIES	CCP_IRS. P MXN-TIE-BANXICO 28	21,300,000	1,900	(48,671)
FIXED INCOME SECURITIES	CCP_IRS._P MXN-TIE-BANXICO 28	(18,000,000)	–	–
FIXED INCOME SECURITIES	CCP_IRS. P MXN-TIE-BANXICO 28	18,000,000	–	(93,413)
FIXED INCOME SECURITIES	CCP_IRS._P MXN-TIE-BANXICO 28	(17,900,000)	–	–
FIXED INCOME SECURITIES	CCP_IRS. P MXN-TIE-BANXICO 28	17,900,000	–	(93,244)
FIXED INCOME SECURITIES	CCP_IRS._P MXN-TIE-BANXICO 28	(9,500,000)	(39,268)	–
FIXED INCOME SECURITIES	CCP_IRS. P MXN-TIE-BANXICO 28	9,500,000	–	(50,218)
FIXED INCOME SECURITIES	CCP_IRS. R JPY-LIBOR-BBA 6M CM	13,300,000	193	(1,729)
FIXED INCOME SECURITIES	CCP_IRS._R JPY-LIBOR-BBA 6M CM	(13,300,000)	(3)	–
FIXED INCOME SECURITIES	CCP_IRS. R JPY-LIBOR-BBA 6M CM	20,000,000	–	(4,110)
FIXED INCOME SECURITIES	CCP_IRS._R JPY-LIBOR-BBA 6M CM	(20,000,000)	–	–
FIXED INCOME SECURITIES	CCP_IRS. R JPY-LIBOR-BBA 6M CM	240,000,000	–	(57,157)
FIXED INCOME SECURITIES	CCP_IRS._R JPY-LIBOR-BBA 6M CM	(240,000,000)	(6,880)	–
FIXED INCOME SECURITIES	CCP_IRS. R JPY-LIBOR-BBA 6M CM	5,000,000	–	(9,495)
FIXED INCOME SECURITIES	CCP_IRS._R JPY-LIBOR-BBA 6M CM	(5,000,000)	(11,698)	–
FIXED INCOME SECURITIES	CCP_IRS. R JPY-LIBOR-BBA 6M CM	35,000,000	–	(42,412)
FIXED INCOME SECURITIES	CCP_IRS._R JPY-LIBOR-BBA 6M CM	(35,000,000)	(31,660)	–
FIXED INCOME SECURITIES	CCP_IRS R JP0006M P 1.00%	169,900,000	1,452	(74,753)
FIXED INCOME SECURITIES	CCP_IRS R JP0006M P 1.00%	(169,900,000)	(29,198)	–
FIXED INCOME SECURITIES	CCP_IRS JPY-LIBOR-BBA 6M CME	24,700,000	324	(10,466)
FIXED INCOME SECURITIES	CCP_IRS JPY-LIBOR-BBA 6M CME	(24,700,000)	(6,645)	–
FIXED INCOME SECURITIES	CCP_IRS._R EUR-EURIBOR-REUTERS	(900,000)	(2,028)	–
FIXED INCOME SECURITIES	CCP_IRS._R EUR-EURIBOR-REUTERS	900,000	–	(9,870)
FIXED INCOME SECURITIES	CCP_IRS. R EUR-EURIBOR-REUTERS	400,000	9,567	(12,562)
FIXED INCOME SECURITIES	CCP_IRS._R EUR-EURIBOR-REUTERS	(400,000)	–	–
FIXED INCOME SECURITIES	CCP_IRS. R EUR-EURIBOR-REUTERS	150,000	615	(2,519)
FIXED INCOME SECURITIES	CCP_IRS._R EUR-EURIBOR-REUTERS	(150,000)	–	–
FIXED INCOME SECURITIES	CCP_CDX SP UL PRUDENTIAL FIN	(200,000)	–	–
FIXED INCOME SECURITIES	CCP_CDX SP UL PRUDENTIAL FIN	200,000	1,392	2,752
FIXED INCOME SECURITIES	CCP_CDS._SP UL GENERAL ELECTRI	(100,000)	(7,065)	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL GENERAL ELECTRI	100,000	–	(4,517)
FIXED INCOME SECURITIES	CCP_CDS._SP UL F CME	(300,000)	–	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL F CME	300,000	34,201	32,067
FIXED INCOME SECURITIES	CCP_CDS._SP UL BRK CME	(1,500,000)	–	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL BRK CME	1,500,000	31,193	19,548
FIXED INCOME SECURITIES	CCP_CDS._SP UL THE SHERWIN-WIL	(500,000)	–	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL THE SHERWIN-WIL	500,000	7,681	3,344
FIXED INCOME SECURITIES	CCP_CDS._SP UL BERKSHIRE HATHA	(200,000)	–	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL BERKSHIRE HATHA	200,000	3,903	2,606
FIXED INCOME SECURITIES	CCP_CDS._SP UL METLIFE INC. C	(300,000)	–	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL METLIFE INC. C	300,000	6,041	3,283
FIXED INCOME SECURITIES	CCP_CDS._SP UL FORD MOTOR CRED	(600,000)	–	–

St. Luke's Health System Retirement Plan

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/ Par Value	Cost	Current Value
FIXED INCOME SECURITIES	CCP_CDS. SP UL FORD MOTOR CRED	600,000	\$ 106,597	\$ 67,344
FIXED INCOME SECURITIES	CCP_CDX. SP UL CDX.EM.28_V1 CM	(873,000)	(33,587)	–
FIXED INCOME SECURITIES	CCP_CDX. SP UL CDX.EM.28_V1 CM	873,000	–	(21,591)
FIXED INCOME SECURITIES	CCP_CDS. SP UL EXELON GENERATI	(100,000)	(2,706)	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL EXELON GENERATI	100,000	–	1,396
FIXED INCOME SECURITIES	CCP_CDS. SP UL METLIFE INC. C	(300,000)	–	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL METLIFE INC. C	300,000	2,471	4,046
FIXED INCOME SECURITIES	CCP_CDS. SP UL FORD MOTOR COMP	(100,000)	–	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL FORD MOTOR COMP	100,000	18,215	10,343
FIXED INCOME SECURITIES	CCP_CDS. BP UL THE DOW CHEMICA	900,000	–	(12,444)
FIXED INCOME SECURITIES	CCP_CDS. BP UL THE DOW CHEMICA	(900,000)	(13,262)	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL NRG ENERGY INC	(300,000)	–	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL NRG ENERGY INC	300,000	22,227	3,185
FIXED INCOME SECURITIES	CCP_CDS. SP UL METLIFE INC. C	(400,000)	–	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL METLIFE INC. C	400,000	4,211	5,041
FIXED INCOME SECURITIES	CCP_CDS. BP UL COX COMMUNICATI	100,000	–	(1,447)
FIXED INCOME SECURITIES	CCP_CDS. BP UL COX COMMUNICATI	(100,000)	(820)	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL METLIFE INC. C	(100,000)	(692)	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL METLIFE INC. C	100,000	–	1,429
FIXED INCOME SECURITIES	CCP_CDS. SP UL BERKSHIRE HATHA	(300,000)	(5,810)	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL BERKSHIRE HATHA	300,000	–	3,873
FIXED INCOME SECURITIES	CCP_CDX. SP UL ITRAXX EUROPE C	(197,332)	–	–
FIXED INCOME SECURITIES	CCP_CDX. SP UL ITRAXX EUROPE C	197,332	24,983	14,322
FIXED INCOME SECURITIES	CCP_CDX. SP UL ITRAXX EUROPE_S	(900,000)	–	–
FIXED INCOME SECURITIES	CCP_CDX. SP UL ITRAXX EUROPE_S	900,000	18,833	904
FIXED INCOME SECURITIES	CCP_CDX. BP UL ITRAXX EUROPE_2	4,600,000	–	(67,777)
FIXED INCOME SECURITIES	CCP_CDX. BP UL ITRAXX EUROPE_2	(4,600,000)	(138,192)	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL BANCO SANTANDER	(200,000)	–	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL BANCO SANTANDER	200,000	387	3,324
FIXED INCOME SECURITIES	CCP_CDS. SP UL VIRGIN MEDIA FI	(300,000)	–	–
FIXED INCOME SECURITIES	CCP_CDS. SP UL VIRGIN MEDIA FI	300,000	31,270	32,393
FIXED INCOME SECURITIES	CCP_IFL P UK RPI ALL ITEMS NSA	100,000	–	4,862
FIXED INCOME SECURITIES	CCP_IFL P UK RPI ALL ITEMS NSA	(100,000)	–	–
EQUITY	INF FLR CPURNSA 218.011	(1,300,000)	(12,740)	(13)
EQUITY	TRS R IBOXHY P US0003M SOG	1,100,000	–	(25,389)
FUTURES CONTRACTS	US 2YR NOTE FUTURE (CBT)	(186)	–	(270,261)
FUTURES CONTRACTS	90DAY EURO\$ FUTURE (CME)	84	–	(30,389)
FUTURES CONTRACTS	EURO-BUND FUTURE (EUX)	(12)	–	(23,743)
Other Liabilities Total				(817,059)
CASH & CASH EQUIVALENTS	RECEIVABLE FOR INVESTMENTS SOLD	–	6,814,143	6,814,143
Pending sales Total				6,814,143
PREFERRED SECURITIES	REINSURANCE GROUP OF AMERICA I	2,700	67,500	67,554
PREFERRED SECURITIES	COBANK ACB	2,000	200,000	201,000
PREFERRED SECURITIES	GENERAL ELECTRIC CO	500,000	494,500	382,500
PREFERRED SECURITIES	VEREIT INC	4,645	100,731	109,901
PREFERRED SECURITIES	US BANCORP	300,000	300,000	282,000
PREFERRED SECURITIES	CHARLES SCHWAB CORP/THE	100,000	99,500	83,750
PREFERRED SECURITIES	METLIFE INC	300,000	300,000	288,300
PREFERRED SECURITIES	JPMORGAN CHASE & CO	287,000	280,698	283,054
PREFERRED SECURITIES	BANK OF AMERICA CORP	800,000	796,125	728,000
Preferred Stock Total				2,426,058

St. Luke's Health System Retirement Plan

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/ Par Value	Cost	Current Value
CASH & CASH EQUIVALENTS	PIMCO FDS	3,835,149	\$ 38,382,141	\$ 38,370,665
FIXED INCOME SECURITIES	PIMCO FDS PAC INVT MGMT SER	197,130	2,416,840	2,460,176
FIXED INCOME SECURITIES	PIMCO FDS PAC INVT MGMT SER	242,680	2,264,993	2,307,891
Registered Investments Total				43,138,732
FIXED INCOME SECURITIES	U S TREASURY NOTE	5,600,000	5,552,638	5,489,512
FIXED INCOME SECURITIES	U S TREASURY NOTE	5,600,000	5,530,219	5,399,856
FIXED INCOME SECURITIES	U S TREASURY NOTE	2,922,000	2,893,798	3,030,903
FIXED INCOME SECURITIES	U S TREASURY NOTE	2,494,000	2,462,141	2,532,582
FIXED INCOME SECURITIES	U S TREASURY NOTE	2,443,000	2,401,462	2,389,840
FIXED INCOME SECURITIES	U S TREASURY BOND	6,909,000	6,528,861	6,876,320
FIXED INCOME SECURITIES	U S TREASURY BOND	3,078,000	2,943,058	3,136,420
FIXED INCOME SECURITIES	US TREAS-CPI INFLAT	2,452,536	2,450,957	2,364,343
FIXED INCOME SECURITIES	US TREAS-CPI INFLAT	744,954	757,477	725,116
FIXED INCOME SECURITIES	US TREAS-CPI INFLAT	287,045	281,132	273,933
FIXED INCOME SECURITIES	U S TREASURY BD PRIN STRIP	5,675,000	2,261,293	2,543,081
FIXED INCOME SECURITIES	U S TREASURY BD PRIN STRIP	5,750,000	2,485,955	2,636,318
FIXED INCOME SECURITIES	U S TREASURY BD PRIN STRIP	3,275,000	1,506,336	1,557,819
US Gov't Securities Total				38,956,043
Grand Total				\$ 231,645,271

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Schedule SB, line 26 — Schedule of Active Participant Data

ATTAINED AGE	YEARS OF CREDITED SERVICE ¹										
	UNDER 1	1–4	5–9	10–14	15–19	20–24	25–29	30–34	35–39	40 & UP	TOTAL
Under 25		4	11								15
25–29		77	72	8							157
		2,297	5,002								
30–34		97	176	37	3						313
		2,692	7,547	17,542							
35–39		74	185	91	23						373
		2,911	9,025	23,475	36,686						
40–44		51	145	130	57	18	1				402
		2,384	10,703	31,673	43,391						
45–49		44	160	137	96	55	20	4			516
		2,613	9,694	31,296	54,959	64,786	86,867				
50–54		42	124	101	52	57	40	31	3		450
		3,736	9,386	29,357	46,756	65,019	86,740	121,245			
55–59		29	81	67	49	46	49	31	28	4	384
		3,740	9,887	28,607	56,035	73,964	92,065	134,283	140,559		
60–64		21	60	67	52	21	32	29	38	35	355
		2,942	10,077	28,177	53,977	74,103	107,259	132,305	161,546	165,885	
65–69		7	24	18	18	8	10	6	8	11	110
			11,835								
70 & up			7	2	2	1	3	1	2	3	21
Total		446	1,045	658	352	206	155	102	79	53	3,096

In each cell, the top number is the count of active participants for each age/service combination and the bottom number is the average account balance as of January 1, 2018. Average account balance is not shown for cells with fewer than 20 participants.

¹ Vesting service is used due to the plan's current cash balance formula.

*Schedule SB, Part V — Statement of Actuarial Assumptions/Methods***ACTUARIAL ASSUMPTIONS****ECONOMIC ASSUMPTIONS****Discount rate sponsor elections**

Segment rates or full yield curve

Segment

Look-back months

4

Stabilized**Nonstabilized**

First 5 years

3.92%

1.75%

Next 15 years

5.52%

3.76%

Over 20 years

6.29%

4.66%

Mortality sponsor elections

Healthy and disabled participants

Section 430(h)(3) prescribed separate static annuitant and nonannuitant mortality tables. These tables are based on the RP-2014 mortality tables with improvements beyond 2006 removed with static mortality improvement based on the IRS methodology and projection scale MP-2016.

417(e) lump sums

Liabilities are determined based on the underlying annuity used by the plan to determine the lump sum amount, rather than valuing the lump sum payment. This annuity is valued based on funding interest rates rather than 417(e) rates and current year 417(e) unisex mortality.

Cash balance plans

Interest accumulation rate

2.88% for initial year; 3.00% for 2018-2021; 3.50% for 2022-2026; 4.00% thereafter

Whipsaw calculations

No

Annuity conversion

Mortality table

IRC Section 417(e) unisex mortality

Interest rate basis

Funding segment rates

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

Other economic assumptions	
Salary increases	Not applicable
Inflation	Not applicable
Expected investment return	Not applicable
Expenses	\$1,371,000 added to current year normal cost

RATIONALE FOR SIGNIFICANT ECONOMIC ASSUMPTIONS

- Discount rates – These are the IRS prescribed rates. The plan sponsor elected this methodology from alternative IRS prescribed options.
- Cash balance interest accumulation rate – The rate in the initial year is equal to the actual interest accumulation rate for the current plan year as defined by the plan. The long-term interest crediting rate is a select and ultimate table converging to 4.00%, based on Mercer Investment Consulting's Capital Markets Outlook for 30-year treasuries.
- Expenses – Expenses for the upcoming year are assumed to equal actual administrative expenses for the prior plan year, adjusting to reflect expected PBGC premiums for the upcoming year, rounded up to the nearest \$1,000.

DEMOGRAPHIC ASSUMPTIONS

Withdrawal	See table of sample rates.
Disability incidence	None assumed

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

Retirement age	Attained age	Percentage	
	Under 55	0%	
	55-59	8%	
	60-61	10%	
	62-64	13%	
	65-69	20%	
	70 and above	100%	
Benefit commencement age for			
Future vested deferred	Immediate		
Current vested deferred	Immediate in the year of termination and age 60 thereafter		
Spouse assumptions	<u>Male participants</u>		<u>Female participants</u>
Percentage married	100%		100%
Spouse age difference	3 years younger		2 years older
Form of payment	<u>Lump sum</u>	<u>Single life</u>	
Active retirements	100%	Not applicable	
Future vested deferred	100%	Not applicable	
Future disabilities	Not applicable	Not applicable	
Future deaths	100%	Not applicable	
Current vested deferred			
Non-grandfathered	100%	Not applicable	
Grandfathered with cash balance greater than annuity converted to lump sum	100%	Not applicable	
All other grandfathered	Not applicable	100%	

*Schedule SB, Part V — Statement of Actuarial Assumptions/Methods***RATIONALE FOR SIGNIFICANT DEMOGRAPHIC ASSUMPTIONS**

- Mortality – Prescribed by the IRS and based on the plan sponsor's election.
- Withdrawal rates, retirement rates, benefit commencement age for current deferred vesteds, and form of payment – These assumptions are based on an experience study undertaken by Mercer in 2017 using experience from October 1, 2010 through January 1, 2016. The resulting assumptions balance the plan's historical experience with future expectations based on input and concurrence from the plan sponsor.

Table of Sample Rates

Attained age	Withdrawal
20	20.0%
25	17.5%
30	15.0%
35	12.5%
40	10.0%
45	9.0%
50	9.0%
55	7.0%
60	N/A
65	N/A

*Schedule SB, Part V — Statement of Actuarial Assumptions/Methods***ACTUARIAL METHODS****Asset Methods**

The asset valuation method is the fair market value.

Participant Methods

Participants or former participants are included or excluded from the valuation as described below:

- **Participants included:** The plan sponsor provides us with data on all employees as of the valuation date, but only those employees who have completed the plan's eligibility requirements are included in the valuation of liabilities.
- **Participants excluded:** No actuarial liability is included for nonvested participants who terminated prior to the valuation date. For this purpose, participants with a break in service on the valuation date are treated as terminated participants.
- **Insurance contracts:** The plan does not have any insurance contracts.

Minimum Funding Methods

The funding target for minimum funding calculations is computed using the traditional unit credit method of funding. The objective under this method is to fund each participant's benefits under the plan as they accrue. Thus, the total pension to which each participant is expected to become entitled at retirement is broken down into units, each associated with a year of past or future credited service.

A detailed description of the calculation follows:

- The plan's valuation date is the beginning of the plan year.
- An individual's **funding target** is the present value of future benefits based on credited service and average pay as of the beginning of the plan year, and an individual's **target normal cost** is the present value of the benefit expected to accrue in the plan year. If multiple decrements are used, the funding target and the target normal cost for an individual are the sum of the component funding targets and target normal costs associated with the various anticipated separation dates.
- The plan's **target normal cost** is the sum of the individual target normal costs, and the plan's **funding target** is the sum of the individual funding targets for all participants under the plan.

See

Audited Financial Statements

For

Schedule of Reportable Transactions

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2018 This Form is Open to Public Inspection
For calendar plan year 2018 or fiscal plan year beginning <u>01/01/2018</u> and ending <u>12/31/2018</u>		

► Round off amounts to nearest dollar.

► Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan ST. LUKE'S HEALTH SYSTEM RETIREMENT PLAN	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF CHI ST. LUKE'S HEALTH	D Employer Identification Number (EIN) 76-0536232	
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B		
F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500		

Part I Basic Information			
1	Enter the valuation date: Month <u>01</u> Day <u>01</u> Year <u>2018</u>		
2	Assets:		
	a Market value.....	2a	274,481,169
	b Actuarial value.....	2b	274,481,169
3	Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target
	a For retired participants and beneficiaries receiving payment.....	171	20,820,491
	b For terminated vested participants.....	2,160	51,356,617
	c For active participants.....	3,096	131,664,352
	d Total.....	5,427	203,841,460
4	If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐		
	a Funding target disregarding prescribed at-risk assumptions.....	4a	
	b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor.....	4b	
5	Effective interest rate.....	5	5.67%
6	Target normal cost.....	6	1,371,000

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	 Signature of actuary	<u>9/27/2019</u> Date
	KEVIN BILLS Type or print name of actuary	1707029 Most recent enrollment number
	MERCER Firm name	713-276-2113 Telephone number (including area code)
	500 DALLAS STREET SUITE 1500 HOUSTON TX 77002 Address of the firm	

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice, see the Instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2018
v. 171027

Part II Beginning of Year Carryover and Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year).....	23,790,599	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9 Amount remaining (line 7 minus line 8).....	23,790,599	0
10 Interest on line 9 using prior year's actual return of <u>14.98%</u>	3,563,832	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year).....		9,430,198
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>5.85%</u>		551,667
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return.....		0
c Total available at beginning of current plan year to add to prefunding balance.....		9,981,865
d Portion of (c) to be added to prefunding balance.....		
12 Other reductions in balances due to elections or deemed elections.....		
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12).....	27,354,431	0

Part III Funding Percentages

14 Funding target attainment percentage	14	120.65%
15 Adjusted funding target attainment percentage.....	15	134.00%
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	123.26%
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.	17	%

Part IV Contributions and Liquidity Shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
07/25/2018	416,667	0			
08/27/2018	416,667	0			
09/27/2018	416,667	0			
11/30/2018	416,667	0			
12/26/2018	833,333	0			
01/28/2019	416,667	0			
02/25/2019	416,667	0			
03/26/2019	416,667	0			
04/23/2019	416,667	0			
05/31/2019	416,667	0			
Totals ▶			18(b)	4,583,336	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years.....	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date.....	19c	4,337,787

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year?.....	☐ Yes ☒ No
b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner?	☐ Yes ☐ No
c If line 20a is "Yes," see instructions and complete the following table as applicable:	

Liquidity shortfall as of end of quarter of this plan year

(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 3.92 %	2nd segment: 5.52 %	3rd segment: 6.29 %	☐ N/A, full yield curve used
b Applicable month (enter code).....				21b 4
22 Weighted average retirement age				22 62
23 Mortality table(s) (see instructions)	Prior regulation:	☐ Prescribed - combined	☐ Prescribed - separate	☐ Substitute
	Current regulation:	☐ Prescribed - combined	☒ Prescribed - separate	☐ Substitute

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☒ Yes	☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes	☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.	☒ Yes	☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	27	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)	31a	1,371,000	
b Excess assets, if applicable, but not greater than line 31a	31b	1,371,000	
32 Amortization installments:	Outstanding Balance	Installment	
a Net shortfall amortization installment.....	0	0	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33		
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)....	34	0	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement.....			0
36 Additional cash requirement (line 34 minus line 35).....	36	0	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....	37	4,337,787	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	4,337,787	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37).....	39	0	
40 Unpaid minimum required contributions for all years	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:	
a Schedule elected	☐ 2 plus 7 years ☐ 15 years
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

Schedule SB, line 22 — Description of Weighted Average Retirement Age

Each employee is assumed to retire in accordance with the table of retirement rates. The proportion of employees expected to retire at each potential retirement age is shown below. The average retirement age is 62.

(A)	(B)	(C)	(D)	(E)
Retirement age	Retirement percent	Lx	Number of employees expected to retire (B) x (C)	(A) x (D)
55	8.0%	10,000	800	44,000
56	8.0%	9,200	736	41,216
57	8.0%	8,464	677	38,596
58	8.0%	7,787	623	36,131
59	8.0%	7,164	573	33,814
60	10.0%	6,591	659	39,545
61	10.0%	5,932	593	36,184
62	13.0%	5,339	694	43,029
63	13.0%	4,645	604	38,039
64	13.0%	4,041	525	33,619
65	20.0%	3,515	703	45,701
66	20.0%	2,812	562	37,123
67	20.0%	2,250	450	30,149
68	20.0%	1,800	360	24,479
69	20.0%	1,440	288	19,871
70	100.0%	1,152	1,152	80,636
Total			10,000	622,131
Average				62.21

Schedule SB, Part V — Summary of Plan Provisions

SUMMARY OF MAJOR PLAN PROVISIONS

Effective date and plan year	Original plan: May 1, 1962 Restated plan: January 1, 2013 and through the 3 rd Amendment Plan year: January 1 to December 31.
Status of the plan	Participation was frozen as of October 1, 2015 and benefit accruals were frozen as of December 31, 2015.
Significant events that occurred during the year	None.
DEFINITIONS	
• Covered employees	All employees other than residents, fellows, ICU physicians, supplemental employees, and employees of SL Ventures
• Participation	Covered employees are eligible to participate under the plan on the first October 1 or April 1 coincident with or next following the later of attaining age 21 and first anniversary of hire. If an employee does not complete 1,000 hours of service during first 12 months following employment but meets age requirement, participation will begin on the October 1 or April 1 coincident with or next following the Plan Year during which 1,000 hours are completed. Participation of Sugarland Hospital, Lakeside Hospital and Vintage Hospital employees did not commence until October 1, 2011. Employees hired between October 1, 2014 and April 1, 2015 became a participant on October 1, 2015 if they had 1,000 hours of service between October 1, 2014 and October 1, 2015. Employees hired on or after April 1, 2015 are not eligible to participate. Participation is frozen as of October 1, 2015.
• Employee contributions	Contributions by Employees, including rollover contributions from plans or individual retirement accounts, shall not be permitted.
CASH BALANCE BENEFIT	
• Applicable interest rate	The effective annual interest rate on 30-year Treasury securities for the third month prior to the first day of the applicable Plan Year. In no event shall the interest rate used be less than 1.5% for any Plan Year.

Schedule SB, Part V — Summary of Plan Provisions

• Vesting service	One year of service is granted for each plan year beginning on or after October 1, 1989 in which the participant has 1,000 or more hours of service. Prior to October 1, 1989, service was granted under the terms of the plan then in effect. In the case of the short plan year (January 1, 2017 – December 31, 2017), one year's vesting service is granted if the participant worked at least one hour during this period.														
• Service for salary credit determination	One year of service is granted for each plan year in which the participant worked at least 1,000 hours. In the case of the short plan year (January 1, 2017 – December 31, 2017), one year's service is granted if the participant worked at least one hour during this period. Hours worked while employed as a Supplemental Employee after September 30, 2011 are excluded														
• Cash balance account	A bookkeeping account corresponding to each member, reflecting the amount of the employer's obligation to the member at any given time														
• Initial account balance	The actuarial equivalent of the accrued benefit as of October 1, 1998. Only participants as of October 1, 1998 have an initial account balance.														
• Contribution credits	<p>A participant's cash balance account will be credited each plan year with an annual contribution credit equal to a percentage of the participant's pensionable earnings. Such percentage to be determined from the table below:</p> <table> <tr> <th>Years of salary credit service</th><th>Percentage</th></tr> <tr> <td>0 to 4</td><td>3.5%</td></tr> <tr> <td>5 to 9</td><td>4.0%</td></tr> <tr> <td>10 to 14</td><td>4.5%</td></tr> <tr> <td>15 to 19</td><td>5.0%</td></tr> <tr> <td>20 to 24</td><td>5.5%</td></tr> <tr> <td>25 or more</td><td>6.0%</td></tr> </table> <p>Supplemental employees receive no contribution credits. No contribution credits apply after December 31, 2015.</p>	Years of salary credit service	Percentage	0 to 4	3.5%	5 to 9	4.0%	10 to 14	4.5%	15 to 19	5.0%	20 to 24	5.5%	25 or more	6.0%
Years of salary credit service	Percentage														
0 to 4	3.5%														
5 to 9	4.0%														
10 to 14	4.5%														
15 to 19	5.0%														
20 to 24	5.5%														
25 or more	6.0%														

Schedule SB, Part V — Summary of Plan Provisions

• Interest credits	A participant's cash balance account will be credited on the last day of each plan year with an interest credit equal to the Applicable interest rate.
• Pensionable earnings	Prior to October 1, 2011 – Total pay, including overtime premium, weekend and shift differential, call pay, etc. After September 30, 2011 – Base pay, excluding overtime premium, weekend and shift differential, call pay, etc.
• Accrued benefit	The life annuity benefit payable to the member on his Normal Retirement Date, which can be provided by the actuarial equivalent of the Cash balance account. The accrued benefit of a member who elects to defer, includes future interest credits through Normal Retirement Date. Grandfathered participants receive at a minimum the benefit described in the "Grandfathered benefit" section.
GRANDFATHERED BENEFIT	
• Eligibility	A participant who was actively employed and had at least five years Vesting Credit on October 1, 1998, and who has not severed employment since that date.
• Benefit	The minimum benefit for grandfathered participants is equal to the sum of Part A and Part B: Part A The greater of (i) and (ii): (i.) The grandfathered minimum accrued benefit described below as of September 30, 2011 (ii.) The benefit payable under the cash balance provisions earned through September 30, 2011, including future interest credits Part B Benefits under the cash balance formula accrued after September 30, 2011.

Schedule SB, Part V — Summary of Plan Provisions

<ul style="list-style-type: none"> Credited grandfathered service 	<p>Prior to May 1, 1982, a member received a service credit for each plan year</p> <p>Prior to May 1, 1982, one year of service is granted for Full Time participants working at least 2,000 hours in each plan year. Part Time participants were granted $\frac{3}{4}$ of a year of service.</p> <p>After April 30, 1982, one year of service is granted for each plan year in which the participant has 2,000 or more hours of service; $\frac{3}{4}$ of a year of service if the participant had less than 2,000 but more than 1,500 hours of service; and $\frac{1}{2}$ of a year of service if the participant had less than 1,500 but more than 1,000 hours of service.</p> <p>After October 1, 1989, but before October 1, 2011, one year of service is granted for each plan year in which the participant has 1,000 or more hours of service.</p> <p>A member shall receive service credits through September 30, 1989 based on the greater of (a) or (b):</p> <p>(a) (i.) Vesting service as of May 1, 1988, plus (ii.) $\frac{1}{12}$ of one year of service for each month from May 1, 1988 to September 30, 1988, during which the member had completed one (1) hour of service, plus (iii.) Vesting service for the year October 1, 1988 to September 30, 1989 (where 1 year of Vesting service was earned if the member had 1,000 hours of service.)</p> <p>(b) Credited service as of September 30, 1989 based on the Plan in effect on September 30, 1989.</p> <p>For the initial or terminal year of employment, a member shall receive a fractional service credit based on the above schedule and the actual number of months worked.</p> <p>Credited grandfathered service is frozen as of September 30, 2011.</p>
<ul style="list-style-type: none"> Vesting grandfathered service 	<p>One year of service is granted for each plan year in which the participant has 1,000 or more hours of service. In the case of the short plan year (May 1, 1988 – September 30, 1988 and October 1, 2015 – December 31, 2015), one year's vesting service is granted if the participant worked at least one hour during this period.</p>
<ul style="list-style-type: none"> Plan compensation 	<p>Total pay, including overtime premium, shift differential, call pay, etc.</p> <p>No compensation earned after September 30, 2011 will be reflected in the grandfathered benefit.</p>

Schedule SB, Part V — Summary of Plan Provisions

• Monthly planned compensation	The monthly average of the highest five consecutive years of plan compensation. This is frozen as of September 30, 2011.
• Final average earnings	The monthly average of the last three consecutive years of plan compensation, where each year's compensation is not to exceed the Social Security Taxable Wage Base for that year. This is frozen as of September 30, 2011.
• Covered Compensation	The average (without indexing) of the Social Security Wage Base in effect for each calendar year during the thirty-five (35) year period ending with the calendar year in which the member attains Social Security Retirement Age.
• Grandfathered minimum accrued benefit	These provisions are based on the plan in effect on September 30, 1998. The benefit is the greater of (a) and (b): (a) 1.6% of Monthly plan compensation times Credited grandfathered service up to 30 years, less 0.5% of Final average compensation not in excess of Covered compensation times Credited grandfathered service up to 30 years (b) 2.0% of Monthly plan compensation at October 1, 1989 times Credited grandfathered service prior to October 1, 1989 up to 25 years, less 0.5% of Final average compensation not in excess of Covered compensation times Credited grandfathered service prior to October 1, 1989 up to 25 years. plus the formula in (a) above, using only Credited grandfathered service after September 30, 1989, with total service not to exceed 30 years. This is frozen as of September 30, 2011.
NORMAL RETIREMENT	
• Eligibility	Later of age 65 or completion of 5 years of plan participation
• Benefit	The accrued benefit

Schedule SB, Part V — Summary of Plan Provisions

EARLY RETIREMENT – GRANDFATHERED BENEFIT	
• Eligibility	Attainment of age 55 and 10 years of vesting service
• Benefit	<p>The greater of (a) and (b):</p> <p>(a) The actuarial equivalent of the Part A(ii) cash balance account</p> <p>(b) The greater of the Part A(i) benefit reduced by 4% for each of the first 10 years by which early retirement precedes normal retirement, and reduced actuarially thereafter; and the actuarial equivalent of the Part A(ii) benefit</p> <p>plus,</p> <p>the actuarial equivalent of the Grandfathered Part B benefit.</p>
LATE RETIREMENT	
• Eligibility	Separation from service after normal retirement
• Benefit	<p>The greater of (a) and (b):</p> <p>(a) the grandfathered benefit Part A benefit calculated using Credited grandfathered service, Monthly plan compensation, Final average compensation, and Covered compensation as of the late retirement date (frozen as of September 30, 2011), plus the grandfathered Part B benefit as of the late retirement date.</p> <p>(b) the income provided by the single-sum value of the grandfathered Part A(i) benefit payable as of the normal retirement date if employment had terminated, accumulated with interest to actual retirement, plus the grandfathered Part B benefit as of the late retirement date.</p>
DEFERRED VESTED - GRANDFATHER BENEFIT	
• Eligibility	Completion of three years of vesting service.
• Benefit	The accrued benefit, actuarially reduced from Normal Retirement Date.
DISABILITY	
• Eligibility	Total and permanent disability

Schedule SB, Part V — Summary of Plan Provisions

<ul style="list-style-type: none"> Benefit 	<p>The accrued benefit as of the date of disability, commencing on the normal retirement date. A participant may elect early payment of the benefit, in which case the benefit is reduced actuarially.</p> <p>For Grandfathered participants who elect to receive early payment of benefits, and if the Part A(i) benefit applies, the frozen Part A(i) benefit will be reduced by 4% for the first 10 years that commencement precedes normal retirement, and actuarially thereafter.</p>
PRE-RETIREMENT DEATH	
<ul style="list-style-type: none"> Eligibility 	Completion of three years of vesting service
<ul style="list-style-type: none"> Benefit before normal retirement 	<p>The participant's beneficiary will receive an income payable for life that can be provided by the actuarially equivalent value of the Accrued Benefit.</p> <p>For Grandfathered participants, the participant's beneficiary will receive no less than an income payable for life that can be provided by the present value of the Grandfathered benefit.</p>
<ul style="list-style-type: none"> Benefit after normal retirement 	<p>If death occurs after normal retirement but prior to actual retirement, the participant's beneficiary will receive a benefit equal in value to the Grandfathered Late Retirement Benefit described above that the participant would have received had he retired as of his date of death.</p>
FORM OF BENEFITS	
<ul style="list-style-type: none"> Automatic form for unmarried participants 	Single life annuity
<ul style="list-style-type: none"> Automatic form for married participants 	50% joint and survivor annuity

Schedule SB, Part V — Summary of Plan Provisions

<ul style="list-style-type: none"> Optional forms 	<ul style="list-style-type: none"> Lump sum Life with 120 monthly payments guaranteed Joint and contingent annuity, with continuation to a spouse between 50% and 100% of the participant's benefit. Joint and survivor annuity, with continuation to a non-spouse beneficiary which is no more than 49% of the total actuarial value of the accrued benefit. Joint and last survivor annuity, with 66% continuation to a spouse Social Security Level Income <p>The only distribution options available to a participant for payments commencing prior to the early retirement date are a joint and 50% contingent annuity, a lump sum payment, or a single life annuity (if not married.)</p>
<ul style="list-style-type: none"> Optional form conversion factors 	<p>For optional forms of payment, excluding lump sum payments of the Grandfathered Part A(i) benefit:</p> <ul style="list-style-type: none"> Interest: 30-year treasury rate for the third month prior to the first day of the plan year in which commencement occurs Mortality: the table specified by the Pension Protection Act for 417(e)(3) forms of payment. <p>Conversion factors for lump sum payments of the Grandfathered Part A(i) benefit:</p> <ul style="list-style-type: none"> Interest: Pension Protection Act specified yield curve segment rates for the first month of the last quarter prior to the current quarter Mortality: the table specified by the Pension Protection Act for 417(e)(3) forms of payment.
MISCELLANEOUS	
<ul style="list-style-type: none"> Maximum benefits 	<p>Annual benefits may not exceed the limits in IRC Section 415. This limit is indexed annually. For 2018, the limit is \$220,000.</p>

*Schedule SB, Part V — Summary of Plan Provisions***Benefits Included or Excluded**

Unless noted below, all benefits provided by the plan, as restated and amended through Amendment #3, are included in this valuation:

- **Most recent plan amendments included:** Amendment #3.
- **Plan amendments excluded:** None
- **Late retirement increases:**
 - *Active participants:* The plan applies late retirement actuarial increases for all participants who defer retirement beyond their normal retirement date and this valuation includes those increases.
 - *Deferred vested participants:* Current deferred vested participants over normal retirement age are valued including the late retirement actuarial increase.
- **Internal Revenue Code limitations:** The limitations of Internal Revenue Code Section 415(b) have been incorporated into our calculations.
- **IRC Section 416 rules for top-heavy plans:** We did not test whether this plan is top-heavy (when the present value of benefits for key employees equals or exceeds 60% of the present value for all participants). However, we expect that the plan is not top-heavy due to the large number of rank-and-file participants; therefore, the funding target and target normal cost do not reflect any liability for top-heavy benefit accruals.
- **IRC Section 436 benefit restrictions:**
 - *Unpredictable contingent event benefits:* None.
 - *Plan amendments:* See above.
 - *Prohibited payments:* Limitations on prohibited benefits (if any) are reflected for annuity starting dates before the valuation date but are ignored for annuity starting dates on or after the valuation date.
 - *Benefit accruals:* The plan's funding target does not reflect any limitation on benefit accruals. The target normal cost does not reflect any limitation on benefit accruals.
- **Unpredictable contingent event benefits:** The plan does not have any unpredictable contingent event benefits.

PLAN PROVISION CHANGES SINCE PRIOR VALUATION

Maximum benefit amounts under IRS rules were updated from 2017 to 2018.

See

Audited Financial

Statements

For

Schedule of Assets Held

Schedule SB, line 24 — Change in Actuarial Assumptions

- Interest discounts and mortality rates were updated from 2017 to 2018 in accordance with PPA.
- The cash balance interest accumulation rates changed from 2.50% for the first year and 2.78% thereafter to 2.88% for the first year and a select and ultimate table increasing gradually to 4.00% in 2027.
- The expense component of normal cost changed from \$1,882,000 to \$1,371,000 to reflect our expectations for the current plan year.