Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	Identification Information	1							
For calend	ar plan year 2018 or fi	scal plan year beginning 01/01/2	2018		and ending 12	2/31/2018				
A This ret	turn/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)							
B This return/report is		a one-participant plan	af	oreign plan						
D IIIIs lett	um/report is	the first return/report	the	final return/report						
		an amended return/report	a sl	hort plan year return	/report (less than 12 m	months)				
C Check	box if filing under:	X Form 5558		tomatic extension		DFVC progr	am			
D 4 II		special extension (enter descr	•							
Part II		ormation—enter all requested in	formatio	n				T		
1a Name	•	ATEC D.C. 404/I/) PROFIT CLIAR		ANI AND TOLICT		1b Three-diplan num	-			
OLYMPIA A	NESTHESIA ASSOCI	ATES, P.C. 401(K) PROFIT SHAR	KING PL	AN AND TRUST		(PN) ▶	ibei	002		
						1c Effective date of plan				
						02/03/1986				
Mailing	g address (include roo	oyer, if for a single-employer plan) m, apt., suite no. and street, or P.C				2b Employer Identification Number (EIN) 91-1319145				
•	town, state or provinc NESTHESIA ASSOCIA	ce, country, and ZIP or foreign post ATES, P.C.	tal code	(if foreign, see instru	uctions)	2c Sponsor's telephone number 360-438-6400				
						2d Business code (see instructions)				
	N WAY EAST, SUITE	G				621111				
OLYMPIA, W	/A 98506									
20 Dlan a	duninintuntunt	nd address M. Carre as Dian Cras				2b Administrator's FIN				
Ja Plan a	aministrator's name a	nd address 🛚 Same as Plan Spor	nsor.			3b Administrator's EIN				
						3c Administ	rator's	telephone number		
4 17.11						41				
		e plan sponsor or the plan name ha onsor's name, EIN, the plan name a				4b EIN				
	or's name					4d PN				
C Plan Name										
5a Total	number of participants	s at the beginning of the plan year				5a 3				
b Total number of participants at the end of the plan year						5b		34		
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c	34				
d(1) Total number of active participants at the beginning of the plan year					5d(1)	5d(1) 29				
d(2) Total number of active participants at the end of the plan year					5d(2)	23				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e		0			
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable call										
SB or Sche		ther penalties set forth in the instruction and signed by an enrolled actuary, and the								
SIGN		l/valid electronic signature.		10/11/2019	TELFER GRIFFITH					
HERE	Signature of plan a	-		Date	Enter name of individ	Enter name of individual signing as plan administrator				
SIGN	Filed with authorized	I/valid electronic signature		10/11/2019	TELEER GRIFFITH					

Date

HERE

Enter name of individual signing as employer or plan sponsor

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b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IOPA) where Yes Yes If you answered 'Ne' to either line & or line &b, the plan cannot use Form 5500-5F and must inetead use Form 5500. C If the plan is a defined benefit plan, is it covered moder the PBGC insurance program (see ERISA section 40217		Were all of the plan's assets during the plan year invested in eligib							X	′es No	
If you answered "No" to either line 6 aor line 6b, the plan cannot use Form \$500-\$F and must instead use Form \$500. C If the plan is a defined benefit plan, is a covered under the PBGC insurance program (see ERISA section 4021)?	b								X	′es ∏ No	
Part III Financial Information		· · · · · · · · · · · · · · · · · · ·							. []		
Part III Financial Information (a) Beginning of Year (b) End of Year a Total plan assets and Liabilities 7a 26186621 23860776 b Total plan liabilities 7b 1 23860776 c Net plan assets (subtract line 7b from line 7a) 7c 26185621 23860776 d Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total d Contributions received or receivable from: (1) Employers (a) Amount (b) Total d Contributions received or receivable from: (1) Employers (a) Amount (b) Total d Contributions received or receivable from: (1) Employers (a) Amount (b) Total d Contributions received or receivable from: (1) Employers (a) Amount (b) Total d Contributions received or receivable from: (1) Employers (a) Amount (b) Total d Contributions received or receivable from: (1) Employers (a) Amount (b) Total d Contributions received or receivable from: (1) Employers (a) Amount (b) Total d Contributions received or receivable from: (1) Employers (a) Amount (b) Total d Contributions received or receivable from: (1) Employers (a) Amount (b) Total d Contributions received or receivable from: (1) Employers (a) Amount (b) Total d Contributions received or receivable from: (1) Employers (a) Amount (b) Total d Contributions received or receivable from: (1) Employers (a) Amount (b) Total d Contributions received or receivable from: (a) Amount (a) Amount	С	C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?									
7 Plan Assets and Liabilities		If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this p	lan yea	ır			(See ins	structions.)	
a Total plan assets	Pa	rt III Financial Information									
a Total plan assets	7	Plan Assets and Liabilities		(a) Beginning (of Year			nd of Year			
C Net plan assets (subtract line 7b from line 7a)	а	Total plan assets	7a					` _	<u> </u>		
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers (2) Participants	b		7b								
a Contributions received or receivable from: (1) Employers (2) Participants	С	Net plan assets (subtract line 7b from line 7a)	7c	2618	85621				23860776		
(2) Participants	8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt			(b)	(b) Total		
(2) Participants	а			_	00700						
(3) Others (including rollovers)			` '								
b Other income (loss)				32	329204						
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		· · · · · · · · · · · · · · · · · · ·		161							
d Benefits paid (including direct rollovers and insurance premiums to provide benefits). e Certain deemed and/or corrective distributions (see instructions) 8e f Administrative service providers (salaries, fees, commissions) 8f g Other expenses 8g h Total expenses (add lines 8d, 8e, 8f, and 8g) 8h i Net income (loss) (subtract line 8h from line 8c)		` ,		-103	-1692984			004040			
to provide benefits)			80						-6240	12	
f Administrative service providers (salaries, fees, commissions)			8d	158	82715						
g Other expenses	е	Certain deemed and/or corrective distributions (see instructions)	8e								
h Total expenses (add lines 8d, 8e, 8f, and 8g)	f	Administrative service providers (salaries, fees, commissions)	8f	11	18118						
i Net income (loss) (subtract line 8h from line 8c)	g	Other expenses	8g								
Transfers to (from) the plan (see instructions)	h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					1700833			
Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 3D 2E 2G 2J 2K 2R 2F 2A b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) c Was the plan covered by a fidelity bond? 10c X 500000 d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) 10e X f Has the plan failed to provide any benefit when due under the plan? 2520.101-3.) 10f If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) 10f If 10h was answered "Yes," check the box if you either provided the required notice or one of the	<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						-232484	15	
If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 3D 2E 2G 2J 2K 2R 2F 2A	j	Transfers to (from) the plan (see instructions)	8j								
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	Pa	rt IV Plan Characteristics									
Part V Compliance Questions	9a		feature co	odes from the List of Plant	an Cha	racteri	stic Co	odes in the ir	structions:		
Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) f Has the plan failed to provide any benefit when due under the plan? g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) 10h X	b		eature cod	les from the List of Pla	n Chara	acteris	tic Co	des in the ins	structions:		
10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)											
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	Par	t V Compliance Questions									
described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)		<u> </u>				Yes	No		Amount		
Program)	а										
reported on line 10a.)					10a		X				
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) f Has the plan failed to provide any benefit when due under the plan? g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) i If 10h was answered "Yes," check the box if you either provided the required notice or one of the	b	· · · · · · · · · · · · · · · · · · ·			10b		X				
by fraud or dishonesty?	C	C Was the plan covered by a fidelity bond?				X			5	00000	
carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	C	· · · · · · · · · · · · · · · · · · ·					X				
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under					X				
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	f	f Has the plan failed to provide any benefit when due under the plan?					X				
2520.101-3.)						X				53931	
	h	2520.101-3.)					X				
	i				10i						

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Part	VI Pension Funding Compliance							
11	11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)							
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a						
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	f	Yes 🛛 N	Ю				
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of t granting the waiver								
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b	Enter the minimum required contribution for this plan year	12b						
С	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A				
Part VII Plan Terminations and Transfers of Assets								
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?) 	Yes X No					
C If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)								
13c(1) Name of plan(s): 13c(2				13c(3) PN(s)				