Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	dentification information								
For calend	ar plan year 2018 or f	iscal plan year beginning 01/01/2	2018		and ending 12	2/31/2	018			
a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)										
		a one-participant plan	a fo	oreign plan	,			,		
B This retu	urn/report is									
an amended return/report a short plan year return/report (less than 12 months)										
C Check	box if filing under:	X Form 5558	aut	tomatic extension		DF	VC program			
		special extension (enter descr	ription)							
Part II	Basic Plan Info	ormation—enter all requested inf	formatio	n						
1a Name						1h	Three-digit			
	•	C. 401K PROFIT SHARING PLAN					plan number (PN)	001		
						1c	Effective date	of plan 01/2008		
2a Plan s	nonsor's name (empl	oyer, if for a single-employer plan)				2h		tification Number		
Mailing	g address (include roc	om, apt., suite no. and street, or P.C				20		5327328		
-		ce, country, and ZIP or foreign post	tal code	(if foreign, see instru	uctions)	2c	Sponsor's tele	phone number		
SOMERSET	CARDIOLOGY, P.S.	C.						79-1189		
						2d	Business code	(see instructions)		
850 HALL KI SOMERSET	NOB ROAD, SUITE A KY 42503						621	399		
	,									
3a Plan a	dministrator's name a	and address X Same as Plan Spor	nsor.			3b Administrator's EIN				
						3c Administrator's telephone number				
		ne plan sponsor or the plan name ha				4b	EIN			
	or's name	onsor's name, EIN, the plan name a	anu me p	Dian number nom tr	e iast return/report.	4d PN				
C Plan N										
5a Total i	number of participants	s at the beginning of the plan year				5	а	4		
	• •	s at the end of the plan year				5	b	4		
		account balances as of the end of		, , ,	•	5	С	4		
d(1) Tota	al number of active pa	articipants at the beginning of the plant	lan year			5d	(1)	3		
d(2) Tot	al number of active pa	articipants at the end of the plan yea	ar			5d	(2)	3		
		o terminated employment during the				5	е	0		
Caution: A	penalty for the late	or incomplete filing of this return	n/report	will be assessed u	unless reasonable cau	use is	established.			
SB or Sche	Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.									
SIGN		d/valid electronic signature.		10/11/2019	KHALED SALEH					
HERE	Signature of plan			Date	Enter name of individ	ual sid	gning as plan a	dministrator		
SIGN	, ,									
HERE	Signature of emplo	over/plan sponsor		Date	Enter name of individ	ividual signing as employer or plan sponsor				
	,					w. OI	J S CO CITIPIO	, o. p.a oponiooi		

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	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)									
c	If the plan is a defined benefit plan, is it covered under the PBGC in							Not determined		
·	If "Yes" is checked, enter the My PAA confirmation number from the					_		See instructions.)		
Pa	rt III Financial Information	<u> </u>								
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End of	Year		
a	Total plan assets	7a		03924			(3) 2114 01	269191		
	Total plan liabilities	7b								
	Net plan assets (subtract line 7b from line 7a)	7c	3	03924				269191		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	nt		(b) Total				
а	Contributions received or receivable from: (1) Employers	8a(1)	, ,				, ,			
	(2) Participants	8a(2)								
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b		-9298						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						-9298		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		25140						
e	Certain deemed and/or corrective distributions (see instructions) \dots	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		295						
g	Other expenses	er expenses								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				25435				
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						-34733		
<u>j</u>	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2R $$ 2E $$ 2G $$ 2J $$ 3D $$ 2K	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in the instru	ctions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	cteris	tic Cod	des in the instruct	ions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	Am	nount		
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	40-	~			5070		
h	Program) Were there any nonexempt transactions with any party-in-interest			10a	Х			5672		
	reported on line 10a.)			10b		X				
				10c		X				
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					Χ				
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X				
f	f Has the plan failed to provide any benefit when due under the plan?									
g				10g	X			20511		
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i						
		·			_					

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Part	VI Pension Funding Compliance										
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)											
11a											
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?											
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver										
lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.										
b	Enter the minimum required contribution for this plan year	12b									
С	Enter the amount contributed by the employer to the plan for this plan year	12c									
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d									
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A						
Part	VII Plan Terminations and Transfers of Assets										
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	× N	0						
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a									
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?										
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to									
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3)	PN(s)						

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

			Identification information	01/01/0010	and cardina	12/21/20	118		
For	calendar	olan year 2018 or f	iscal plan year beginning	01/01/2018	and ending	12/31/20			
Α	This return	n/report is for:	X a single-employer plan	list of participating er	an (not multiemployer) (nployer information in ac	cordance with the	form instructions.)		
			a one-participant plan	a foreign plan					
B	This return	/report is	the first return/report	the final return/report					
			an amended return/report	a short plan year retu	n/report (less than 12 m	onths)			
С	Check box	x if filing under:	X Form 5558	automatic extension		DFVC program	n		
			special extension (enter des	cription)					
P	art II	Basic Plan Info	ormation—enter all requested	information					
	Name of	plan	OGY, P.S.C. 401K PRO			1b Three-digit plan numb (PN) ▶			
						1c Effective d 01/01/	ate of plan		
2a	Plan spo	nsor's name (empl	loyer, if for a single-employer plan)		2b Employer I	dentification Number		
	Mailing	ddress (include ro	om, apt., suite no. and street, or Pace, country, and ZIP or foreign po	O. Box)	tructions)	1	5327328 telephone number		
	SOMER	SET CARDIOL	OGY, P.S.C.		*	606-67			
	850 H	ALL KNOB RO	DAD, SUITE A			2d Business	code (see instructions)		
	SOMER	SET	KY 42	503		621399			
2.	DI	-i-i-t-stario nomo	and address X Same as Plan Sp	oonsor		3b Administra	itor's EIN		
				Les shared since the last	return/report filed for	4b EIN			
4	If the na	ame and/or EIN of t	the plan sponsor or the plan name ponsor's name, EIN, the plan nam	e has changed since the last le and the plan number from	the last return/report.				
	a Sponso					4d PN			
	C Plan Na	ame							
E	S. Tatal a	umber of participar	nts at the beginning of the plan ye	ar		5a			
			ate at the and of the plan year			5b			
	- Al.,	- of participants wi	ith account balances as of the end	of the plan year (only defin	ed contribution plans	5c			
	comple	ete this item)	participants at the beginning of th			5d(1)			
	d(1) Tota	I number of active	participants at the end of the plan	vear		5d(2)			
	e Numb	er of participants w	vho terminated employment during	the plan year with accrued	benefits that were less	5e			
_	aution: A	penalty for the la	ate or incomplete filing of this re	turn/report will be assess	ed unless reasonable of	report including.	ned. if applicable, a Schedule		
- (Inder pena B or Sche	alties of perjury and dule MB completed	d other penalties set forth in the in: d and signed by an enrolled actua	ry, as well as the electronic	version of this return/rep	port, and to the be	st of my knowledge and		
		rue, correct, and c	ompiete.	10-11-10	KHALED SALEH	I			
	SIGN HERE	Signature of pla	an administrator	Date	Enter name of indi	vidual signing as p	olan administrator		
	RICN	b C p	an administrator	10-11-1	9 KHALED SALEH	I			
822003	HERE	Signature of em	nployer/plan sponsor	Date	Enter name of indi	vidual signing as	employer or plan sponsor		
		Signature of en	letics and the Instructions for Form				Form 5500-SF (2018		

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6a	Were all of the plan's assets during the plan year invested in eligib	le assets?	(See instructions.)					X Yes	No	
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)								□ □ No	
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)									
С	If the plan is a defined benefit plan, is it covered under the PBGC in					_	_	Not deterr	mined	
	If "Yes" is checked, enter the My PAA confirmation number from th							(See instruct		
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End	of Year		
a	Total plan assets	7a		303,			(5) =::0		9,191	
b		7b								
С	Net plan assets (subtract line 7b from line 7a)	7c		303,	924			269	9,191	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	nt			(b) ·	Γotal		
а	Contributions received or receivable from: (1) Employers	8a(1)								
	(2) Participants	8a(2)								
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b		-9,	298					
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				-9,29			9,298	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		25,140						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions) 8e									
f	Administrative service providers (salaries, fees, commissions)	8f			295					
g	Other expenses									
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							5,435	
<u></u>	Net income (loss) (subtract line 8h from line 8c)	8i	3i					-34	4,733	
	Transfers to (from) the plan (see instructions)	8j								
Pa	rt IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2R 2E 2G 2J 3D 2K	feature co	odes from the List of Plant	an Cha	racteri	stic Cod	des in the ins	tructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	des from the List of Pla	n Chara	acteris	tic Code	es in the insti	ructions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contribu									
	described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	•	•	10a	Х			ŗ	5,672	
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	t? (Do not	include transactions	10b		Х				
				10c		Х				
C	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused					Х				
e	by fraud or dishonesty? Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					Х				
f				10f		Х				
9	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g	Х			20	0,511	
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		Х				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i						
	• •				-					

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Part	VI P	ension Funding Compliance						
11		a defined benefit plan subject to minimum funding requirements? (If "Yes," se 5500) and line 11a below)		d complete Sch	edule S	В	_ Y	es 🗌 No
11a	Enter th	he unpaid minimum required contributions for all years from Schedule SB (Fo	orm 5500) line 40		11a			
12	ERISA?	a defined contribution plan subject to the minimum funding requirements of services.			n 302 of		Y	es 🛛 No
		s," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
a		ver of the minimum funding standard for a prior year is being amortized in this g the waiver.					the letter Year _	ruling
lf	you com	npleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500)	, and skip to lir	ie 13.				
b	Enter the	e minimum required contribution for this plan year			12b			
С	Enter the	e amount contributed by the employer to the plan for this plan year			12c			
d		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a mount)			12d			
е	Will the	minimum funding amount reported on line 12d be met by the funding deadling	ne?			Yes	No	N/A
Part	VII P	Ian Terminations and Transfers of Assets						
13a	Has a re	esolution to terminate the plan been adopted in any plan year?				Yes	X No)
	If "Yes,	" enter the amount of any plan assets that reverted to the employer this year			13a			
b		all the plan assets distributed to participants or beneficiaries, transferred to an of the PBGC?					Yes X	No
С		ng this plan year, any assets or liabilities were transferred from this plan to an assets or liabilities were transferred.	other plan(s), ide	entify the plan(s)	to			

13c(2) EIN(s)

13c(3) PN(s)

13c(1) Name of plan(s):