Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I		Identification Information	1					
For calend	dar plan year 2018 or fis	scal plan year beginning 01/01/2	2018	and ending 12	2/31/2018			
A This re	eturn/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)					
		a one-participant plan	a foreign plan					
B This ret	urn/report is	the first return/report	the final return/repor					
		an amended return/report	a short plan year ret	urn/report (less than 12 m	onths)			
C Check	box if filing under:	Form 5558	automatic extension	ı	DFVC prog	gram		
		special extension (enter desc						
Part II	Basic Plan Info	rmation—enter all requested in	formation		T			
1a Name of plan SEBSNY, LLC 401(K) PLAN						digit imber 001		
					1c Effectiv	ve date of plan 01/01/2015		
		yer, if for a single-employer plan)			2b Employer Identification Number			
		m, apt., suite no. and street, or P.0		structions)	(EIN) 47-1112274			
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) SEBSNY, LLC					2c Sponsor's telephone number 518-690-7921			
					2d Business code (see instructions)			
1201 TROY SUITE 120	SCHENECTADY ROA	D			523900			
LATHAM, N	Y 12110							
3a Plan a	administrator's name an	nd address X Same as Plan Spo	insor.		3b Administrator's EIN			
				3c Administrator's telephone number				
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.					4b EIN			
	sor's name	isor's name, Em, the plan name a	and the plan number from	i the last return/report.	4d PN			
C Plan N								
5a Total number of participants at the beginning of the plan year					5a 3			
b Total number of participants at the end of the plan year				. 5b 1				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c 1			
d(1) Total number of active participants at the beginning of the plan year					5d(1)			
d(2) Total number of active participants at the end of the plan year					5d(2)			
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e 0			
		or incomplete filing of this retur						
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.								
SIGN HERE	Filed with authorized/	valid electronic signature.	10/11/2019	CAROL RAPPAPORT	RT			
	Signature of plan a	dministrator	Date	Enter name of individ	vidual signing as plan administrator			
SIGN								
HERE	Signature of emplo	yer/plan sponsor	Date	Enter name of individ	ual signing as	employer or plan sponsor		

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6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							X Yes	No
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)						X Yes	П No	
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						<u> </u>	□ 110	
С	If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determine							ermined	
	If "Yes" is checked, enter the My PAA confirmation number from th	e PBGC p	oremium filing for this p	lan yea	r			(See instru	ictions.)
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End	d of Year	
a	Total plan assets	7a		21078		164855			
b	Total plan liabilities	7b							
С	Net plan assets (subtract line 7b from line 7a)	7c	22	21078		164855			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt		(b) Total			
а	Contributions received or receivable from:	0-(4)							
	(1) Employers	8a(1)							
	(2) Participants	8a(2)							
	(3) Others (including rollovers)	8a(3)		-8747					
	Other income (loss)	8b		-0747				-8747	
- d	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						-0747	
	to provide benefits)	8d		47476					
e	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f							
g	Other expenses	8g							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					47476		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i				-56223			
<u>j</u>	Transfers to (from) the plan (see instructions)	8j							
Pa	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature co	odes from the List of Plant	an Cha	racteri	stic Co	odes in the ins	structions:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	des from the List of Pla	n Chara	acteris	tic Cod	des in the inst	ructions:	
Par	t V Compliance Questions						ı		
10	During the plan year:			ı	Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V								
	Program)			10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X			
c	C Was the plan covered by a fidelity bond?			10c	X			300	000
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	X			(681
f				10f		X			
9	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X			416	678
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					
									

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Part	VI Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)	В	Yes 🛚 N	Ю			
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a					
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes 🛛 N	Ю		
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver	d enter t Day		of the letter ruling Year			
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.						
b	Enter the minimum required contribution for this plan year	12b					
С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part '	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s 🔀 No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?) 		Yes X No			
c If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s): 13c(2				EIN(s) 13c(3) PN(s)			