

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2018 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information For calendar plan year 2018 or fiscal plan year beginning <u>01/01/2018</u> and ending <u>12/31/2018</u>
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)

Part II	Basic Plan Information—enter all requested information		
1a Name of plan <u>THE GATES GROUP RETIREMENT PLAN</u>	1b Three-digit plan number (PN) ▶	<u>333</u>	
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>GATES CORPORATION</u> <u>1144 15TH STREET</u> <u>SUITE 1400</u> <u>DENVER, CO 80202</u>	1c Effective date of plan <u>10/01/1964</u>	2b Employer Identification Number (EIN) <u>84-0857401</u>	2c Plan Sponsor's telephone number <u>303-744-5042</u>
		2d Business code (see instructions) <u>326200</u>	

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	<u>10/13/2019</u>	<u>MARK KLINGENMEIER</u>
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2018)
v. 171027

3a Plan administrator's name and address ☐ Same as Plan Sponsor GATES RETIREMENT BOARD 1144 15TH STREET, SUITE 1400 DENVER, CO 80202	3b Administrator's EIN 84-0857401 3c Administrator's telephone number 303-744-5599 <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN
5 Total number of participants at the beginning of the plan year	5 2874
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	<div style="background-color: #cccccc; height: 20px; width: 100%;"></div> 6a(1) 408 6a(2) 361 6b 1120 6c 945 6d 2426 6e 233 6f 2659 6g 0 6h 0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 1A 1B 1C 1D 3H b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	

9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1)** ☒ **R** (Retirement Plan Information)
- (2)** ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3)** ☒ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- (1)** ☒ **H** (Financial Information)
- (2)** ☐ **I** (Financial Information – Small Plan)
- (3)** ☒ 2 **A** (Insurance Information)
- (4)** ☒ **C** (Service Provider Information)
- (5)** ☒ **D** (DFE/Participating Plan Information)
- (6)** ☐ **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2018 Form M-1 annual report. If the plan was not required to file the 2018 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

<div><div>SCHEDULE A</div><div>(Form 5500)</div><div>Department of the Treasury Internal Revenue Service</div><div>Department of Labor Employee Benefits Security Administration</div><div>Pension Benefit Guaranty Corporation</div></div>	<div>Insurance Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div> <div>▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).</div>	<div>OMB No. 1210-0110</div> <div>2018</div> <div>This Form is Open to Public Inspection</div>
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For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018	
A Name of plan THE GATES GROUP RETIREMENT PLAN	B Three-digit plan number (PN) ▶ 333
C Plan sponsor's name as shown on line 2a of Form 5500 GATES CORPORATION	D Employer Identification Number (EIN) 84-0857401

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier PRINCIPAL LIFE INSURANCE COMPANY
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(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
42-0127290	61271	336130	0	01/01/2018	12/31/2018

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).
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(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

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	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end **4** 4041654**5** Current value of plan's interest under this contract in separate accounts at year end **5****6** Contracts With Allocated Funds:**a** State the basis of premium rates ▶**b** Premiums paid to carrier **6b****c** Premiums due but unpaid at the end of the year **6c****d** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. **6d**
Specify nature of costs ▶**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity(3) ☐ other (specify) ▶**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**a** Type of contract: (1) ☐ deposit administration (2) ☒ immediate participation guarantee(3) ☐ guaranteed investment (4) ☐ other ▶**b** Balance at the end of the previous year **7b** 4007499**c** Additions: (1) Contributions deposited during the year **7c(1)**
(2) Dividends and credits **7c(2)**
(3) Interest credited during the year **7c(3)** 123293
(4) Transferred from separate account **7c(4)**
(5) Other (specify below) **7c(5)**(6) Total additions **7c(6)** 123293**d** Total of balance and additions (add lines **7b** and **7c(6)**) **7d** 4130792**e** Deductions:(1) Disbursed from fund to pay benefits or purchase annuities during year **7e(1)** 27952(2) Administration charge made by carrier **7e(2)** 11079(3) Transferred to separate account **7e(3)**(4) Other (specify below) **7e(4)**(5) Total deductions **7e(5)** 39031**f** Balance at the end of the current year (subtract line **7e(5)** from line **7d**) **7f** 4091761

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	0
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	0
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount	10b	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2018 This Form is Open to Public Inspection
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For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018	
A Name of plan THE GATES GROUP RETIREMENT PLAN	B Three-digit plan number (PN) ▶ 333
C Plan sponsor's name as shown on line 2a of Form 5500 GATES CORPORATION	D Employer Identification Number (EIN) 84-0857401

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier METROPOLITAN LIFE INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
13-5581829	65978	GAC163A	0	01/01/2018	12/31/2018

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).
--

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end **4** 8779**5** Current value of plan's interest under this contract in separate accounts at year end **5****6** Contracts With Allocated Funds:**a** State the basis of premium rates ▶**b** Premiums paid to carrier **6b****c** Premiums due but unpaid at the end of the year **6c****d** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. **6d**
Specify nature of costs ▶**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity(3) ☐ other (specify) ▶**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**a** Type of contract: (1) ☐ deposit administration (2) ☒ immediate participation guarantee(3) ☐ guaranteed investment (4) ☐ other ▶**b** Balance at the end of the previous year **7b** 8621**c** Additions: (1) Contributions deposited during the year **7c(1)**
(2) Dividends and credits **7c(2)**
(3) Interest credited during the year **7c(3)** 158
(4) Transferred from separate account **7c(4)** 125734
(5) Other (specify below) **7c(5)**(6) Total additions **7c(6)** 125892**d** Total of balance and additions (add lines **7b** and **7c(6)**) **7d** 134513**e** Deductions:(1) Disbursed from fund to pay benefits or purchase annuities during year **7e(1)** 119236
(2) Administration charge made by carrier **7e(2)** 6498
(3) Transferred to separate account **7e(3)**
(4) Other (specify below) **7e(4)**(5) Total deductions **7e(5)** 125734**f** Balance at the end of the current year (subtract line **7e(5)** from line **7d**) **7f** 8779

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
b ☐ Dental
c ☐ Vision
d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
f ☐ Long-term disability
g ☐ Supplemental unemployment
h ☐ Prescription drug
i ☐ Stop loss (large deductible)
j ☐ HMO contract
k ☐ PPO contract
l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	0
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	0
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount	10b	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

<div>SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Single-Employer Defined Benefit Plan Actuarial Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).</div> <div>File as an attachment to Form 5500 or 5500-SF.</div>	<div>OMB No. 1210-0110</div> <div>2018</div> <div>This Form is Open to Public Inspection</div>
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For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018	
Round off amounts to nearest dollar.	
Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.	
A Name of plan THE GATES GROUP RETIREMENT PLAN	B Three-digit plan number (PN) 333
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF GATES CORPORATION	D Employer Identification Number (EIN) 84-0857401
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500

Part I	Basic Information			
1	Enter the valuation date: Month 01 Day 01 Year 2018			
2	Assets:			
a	Market value	2a	251546047	
b	Actuarial value	2b	233039406	
3	Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a	For retired participants and beneficiaries receiving payment	1351	185270247	185270247
b	For terminated vested participants	1115	32119648	32119648
c	For active participants	408	11744462	12229671
d	Total	2874	229134357	229619566
4	If the plan is in at-risk status, check the box and complete lines (a) and (b) ☐			
a	Funding target disregarding prescribed at-risk assumptions	4a		
b	Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b		
5	Effective interest rate	5	5.53%	
6	Target normal cost	6	1899232	

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE		
THOMAS M. GIECK	Signature of actuary	09/23/2019
TOWERS WATSON DELAWARE INC.	Type or print name of actuary	Date
555 17TH STREET, SUITE 2050 DENVER, CO 80202	Firm name	17-07245
	Address of the firm	Most recent enrollment number
		303-391-1200
		Telephone number (including area code)

Part II Beginning of Year Carryover and Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year).....	33358317	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9 Amount remaining (line 7 minus line 8).....	33358317	0
10 Interest on line 9 using prior year's actual return of <u>9.36</u> %	3122338	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year).....		0
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>5.70</u> %.....		0
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
c Total available at beginning of current plan year to add to prefunding balance		0
d Portion of (c) to be added to prefunding balance.....		0
12 Other reductions in balances due to elections or deemed elections	16802568	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12).....	19678087	0

Part III Funding Percentages

14 Funding target attainment percentage	14	92.91%
15 Adjusted funding target attainment percentage.....	15	100.89%
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	109.64%
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.	17	%

Part IV Contributions and Liquidity Shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
Totals ▶			18(b)	0	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years.....	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date.....	19c	0

20 Quarterly contributions and liquidity shortfalls:

- a** Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No
- b** If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No
- c** If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 3.92%	2nd segment: 5.52%	3rd segment: 6.29%	☐ N/A, full yield curve used
b Applicable month (enter code)				21b 4
22 Weighted average retirement age				22 63
23 Mortality table(s) (see instructions)	Prior regulation:	☐ Prescribed - combined	☒ Prescribed - separate	☐ Substitute
	Current regulation:	☐ Prescribed - combined	☐ Prescribed - separate	☐ Substitute

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☒ Yes	☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes	☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.	☒ Yes	☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	27	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)	31a	1899232	
b Excess assets, if applicable, but not greater than line 31a	31b	0	
32 Amortization installments:	Outstanding Balance	Installment	
a Net shortfall amortization installment	0	0	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33		
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)	34	1899232	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	1899232	0	1899232
36 Additional cash requirement (line 34 minus line 35)	36	0	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	37	0	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	0	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0	
40 Unpaid minimum required contributions for all years	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:	
a Schedule elected	☐ 2 plus 7 years ☐ 15 years
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

<div>SCHEDULE C</div> <div>(Form 5500)</div> <div>Department of the Treasury Internal Revenue Service</div> <div>Department of Labor Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div>	<div>Service Provider Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div>	OMB No. 1210-0110
		2018
		This Form is Open to Public Inspection.

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018	
A Name of plan THE GATES GROUP RETIREMENT PLAN	B Three-digit plan number (PN) ▶ 333
C Plan sponsor's name as shown on line 2a of Form 5500 GATES CORPORATION	D Employer Identification Number (EIN) 84-0857401

Part I	Service Provider Information (see instructions)
--------	---

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No
- b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WILLIS TOWERS WATSON US LLC

53-0181291

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 17	NONE	506092	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

NORTHERN TRUST COMPANY

36-1561860

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 28	NONE	205170	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

NISA INVESTMENT ADVISORS

48-1140940

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	NONE	183188	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ANTON COLLINS MITCHELL LLP

01-0724563

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10	NONE	49000	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

PRINCIPAL LIFE INSURANCE CO

42-0127290

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
13 23	NONE	11078	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

METROPOLITAN LIFE INSURANCE COMPANY

13-5581829

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
13 23	NONE	8122	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

CONDUENT INCORPORATED

81-2983623

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29 50	NONE	5197	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2018 This Form is Open to Public Inspection.
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For calendar plan year 2018 or fiscal plan year beginning **01/01/2018** and ending **12/31/2018**

A Name of plan THE GATES GROUP RETIREMENT PLAN	B Three-digit plan number (PN) ►	333
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 GATES CORPORATION	D Employer Identification Number (EIN) 84-0857401	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
---------------	--

a Name of MTIA, CCT, PSA, or 103-12 IE: METLIFE CORE LAGB		
b Name of sponsor of entity listed in (a): MET LIFE		
c EIN-PN 13-5581829-377	d Entity code P	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1825816
a Name of MTIA, CCT, PSA, or 103-12 IE: NTGI COLLECTIVE SHORT TERM INV FUND		
b Name of sponsor of entity listed in (a): NORTHERN TRUST		
c EIN-PN 36-6036794-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1904694
a Name of MTIA, CCT, PSA, or 103-12 IE: TREASURY US 5 YR KEY RATE DURATION		
b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST		
c EIN-PN 47-4104495-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 5621140
a Name of MTIA, CCT, PSA, or 103-12 IE: TREASURY US 10 YR KEY RATE DURATION		
b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST		
c EIN-PN 47-4226866-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 8087812
a Name of MTIA, CCT, PSA, or 103-12 IE: TREASURY US 15 YR KEY RATE DURATION		
b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST		
c EIN-PN 45-3856099-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 5784077
a Name of MTIA, CCT, PSA, or 103-12 IE: TREASURY US 20 YR KEY RATE DURATION		
b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST		
c EIN-PN 45-3856189-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1829051
a Name of MTIA, CCT, PSA, or 103-12 IE: IR+M INTERMEDIATE CORPORATE COLLECT		
b Name of sponsor of entity listed in (a): IR+M COLLECTIVE INVESTMENT TRUST		
c EIN-PN 37-6567224-006	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 10779557

a Name of MTIA, CCT, PSA, or 103-12 IE: [SSGA INTERMEDIATE US GOVT INDEX FD](#)

b Name of sponsor of entity listed in (a): [STATE STREET BANK & TRUST COMPANY](#)

c EIN-PN 04-0025081-144	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 10629745
--	--	--

a Name of MTIA, CCT, PSA, or 103-12 IE: [WTWGT DIVERSIFIED CREDIT FUND](#)

b Name of sponsor of entity listed in (a): [WILLIS TOWERS WATSON GROUP TRUST](#)

c EIN-PN 82-6695738-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 30709932
--	--	--

a Name of MTIA, CCT, PSA, or 103-12 IE: [WTWGT LONG CREDIT FUND](#)

b Name of sponsor of entity listed in (a): [WILLIS TOWERS WATSON GROUP TRUST](#)

c EIN-PN 82-6695738-004	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 20314047
--	--	--

a Name of MTIA, CCT, PSA, or 103-12 IE: [WTWGT DIVERSIFIED EQUITY FUND](#)

b Name of sponsor of entity listed in (a): [WILLIS TOWERS WATSON GROUP TRUST](#)

c EIN-PN 82-6695738-002	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 51103608
--	--	--

a Name of MTIA, CCT, PSA, or 103-12 IE: [SSGA LONG US GOVERNMENT INDEX FUND](#)

b Name of sponsor of entity listed in (a): [STATE GLOBAL ADVISORS TRUST COMPANY](#)

c EIN-PN 04-0025081-142	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 21870064
--	--	--

a Name of MTIA, CCT, PSA, or 103-12 IE: [HEITMAN GLOBAL PRIME COLLECTIVE INV](#)

b Name of sponsor of entity listed in (a): [BENEFIT TRUST COMPANY](#)

c EIN-PN 81-6903542-067	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 15489726
--	--	--

a Name of MTIA, CCT, PSA, or 103-12 IE: [LGIM GLOBAL CORE INFRASTRUCTURE CIT](#)

b Name of sponsor of entity listed in (a): [RELIANCE TRUST COMPANY OF DELAWARE](#)

c EIN-PN 35-7085469-013	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 16171653
--	--	--

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2018 This Form is Open to Public Inspection
For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018		
A Name of plan THE GATES GROUP RETIREMENT PLAN	B Three-digit plan number (PN) ►	333
C Plan sponsor's name as shown on line 2a of Form 5500 GATES CORPORATION	D Employer Identification Number (EIN) 84-0857401	

Part I	Asset and Liability Statement		(a) Beginning of Year	(b) End of Year
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.				
	Assets			
a	Total noninterest-bearing cash	1a	1361146	46471
b	Receivables (less allowance for doubtful accounts):			
	(1) Employer contributions.....	1b(1)		
	(2) Participant contributions	1b(2)		
	(3) Other.....	1b(3)	3878614	2431925
c	General investments:			
	(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)		
	(2) U.S. Government securities	1c(2)	6277733	0
	(3) Corporate debt instruments (other than employer securities):			
	(A) Preferred	1c(3)(A)	18131563	0
	(B) All other.....	1c(3)(B)	191129986	1825816
	(4) Corporate stocks (other than employer securities):			
	(A) Preferred	1c(4)(A)		
	(B) Common.....	1c(4)(B)		
	(5) Partnership/joint venture interests	1c(5)		
	(6) Real estate (other than employer real property).....	1c(6)		
	(7) Loans (other than to participants)	1c(7)		
	(8) Participant loans.....	1c(8)		
	(9) Value of interest in common/collective trusts	1c(9)	1089586	200295107
	(10) Value of interest in pooled separate accounts	1c(10)	0	0
	(11) Value of interest in master trust investment accounts	1c(11)		
	(12) Value of interest in 103-12 investment entities.....	1c(12)		
	(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)		
	(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	4115707	4050433
	(15) Other.....	1c(15)	28121442	0

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	254105777	208649752

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h	281441	147588
i Acquisition indebtedness	1i		
j Other liabilities	1j	1223717	2427033
k Total liabilities (add all amounts in lines 1g through 1j)	1k	1505158	2574621

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	252600619	206075131
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)		
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		0
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	88425	
(B) U.S. Government securities	2b(1)(B)	72668	
(C) Corporate debt instruments	2b(1)(C)	5746856	
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)	1025581	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		6933530
(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)		
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		0
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	531430360	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	549398735	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		-17968375
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	188969	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		188969

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		-6099329
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		-54267
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		
c Other income.....	2c		-149695
d Total income. Add all income amounts in column (b) and enter total	2d		-17149167

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	27431616	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		27431616
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)	555092	
(2) Contract administrator fees.....	2i(2)	19200	
(3) Investment advisory and management fees.....	2i(3)	393555	
(4) Other	2i(4)	976858	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		1944705
j Total expenses. Add all expense amounts in column (b) and enter total	2j		29376321

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		-46525488
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **ACM LLP**

(2) EIN: **01-0724563**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.).....		X	
4d		X	
e Was this plan covered by a fidelity bond?	X		500000
4e	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?.....		X	
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?.....		X	
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	X		
4j	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?.....		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ☒ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 4152545. (See instructions.)

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2018 This Form is Open to Public Inspection.
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For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A Name of plan <u>THE GATES GROUP RETIREMENT PLAN</u>	B Three-digit plan number (PN) ▶ <u>333</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>GATES CORPORATION</u>	D Employer Identification Number (EIN) <u>84-0857401</u>

Part I	Distributions
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All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>36-1561860</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	<u>147</u>

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
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4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☒ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☒ N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☒ No
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Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2018
v. 171027

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year

b The plan year immediately preceding the current plan year

c The second preceding plan year

14a**14b****14c**

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year

b The corresponding number for the second preceding plan year

15a**15b**

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers

16a**16b**

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: 0.8% Investment-Grade Debt: 95.1% High-Yield Debt: 3.3% Real Estate: 0.0% Other: 0.8%

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☒ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate line 19(b)?

☒ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):



The Gates Group Retirement Plan

Financial Statements and Supplemental
Schedules

As of and for the Years Ended December 31,
2018 and 2017



The Gates Group Retirement Plan

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Supplemental Schedules:

Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year) as of December 31, 2018	Appendix A
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Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year) for the Year Ended December 31, 2018	Appendix B
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Form 5500, Schedule H, Part IV, Line 4j - Schedule of Reportable Transactions for the Year Ended December 31, 2018	Appendix C
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NOTE: All other schedules required by Section 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 have been omitted because they are not applicable.



Independent Auditor's Report

To the Plan Administrator of
The Gates Group Retirement Plan
Denver, Colorado

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of The Gates Group Retirement Plan (the Plan), which comprise the statements of net assets available for benefits as of December 31, 2018 and 2017, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by The Northern Trust Company, the trustee of the Plan, and Principal Life Insurance Company, the custodian of the unallocated insurance contract, except for comparing such information with the related information included in the financial statements. We have been informed by the plan administrator that the trustee and custodian hold the Plan's investment assets and execute investment transactions. The plan administrator has obtained certifications from the trustee and custodian as of December 31, 2018 and 2017, and for the years then ended, that the information provided to the plan administrator by the trustee and custodian is complete and accurate.



Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental Schedules of Assets (Held at End of Year) as of December 31, 2018, Assets (Acquired and Disposed of within the Plan Year) for the year ended December 31, 2018, and Reportable Transactions for the year ended December 31, 2018 are required by the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on these supplemental schedules.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee and custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

ACM LLP

Denver, Colorado
October 10, 2019

The Gates Group Retirement Plan

Statements of Net Assets Available for Benefits

<i>As of December 31,</i>	2018	2017
Assets:		
Cash	\$ 46,471	\$ 1,361,146
Investments, at fair value	206,171,356	248,866,017
Receivables:		
Accrued interest receivable	3,354	2,547,372
Pending trade sales receivable	2,428,571	94,816
Receivable due from group annuity purchase	-	1,236,426
Total receivables	2,431,925	3,878,614
Total assets	208,649,752	254,105,777
Liabilities:		
Accrued administrative expenses	(147,588)	(281,441)
Pending trade purchases payable	(2,427,033)	(1,223,717)
Total liabilities	(2,574,621)	(1,505,158)
Net assets available for benefits	\$ 206,075,131	\$ 252,600,619

See accompanying independent auditor's report and notes to financial statements.

The Gates Group Retirement Plan

Statements of Changes in Net Assets Available for Benefits

<i>For the Years Ended December 31,</i>	2018	2017
Additions to (deduction from) net assets attributed to:		
Net investment income (loss):		
Net (depreciation) appreciation in fair value of investments	\$ (24,082,697)	\$ 18,753,347
Interest income from investments	6,933,530	13,172,852
Net investment (loss) income	(17,149,167)	31,926,199
Benefits paid to participants	(27,431,616)	(39,329,383)
Administrative expenses and investment management fees	(1,944,705)	(2,486,840)
Total deductions	(29,376,321)	(41,816,223)
Net decrease prior to group annuity purchase	(46,525,488)	(9,890,024)
Group annuity purchase (Note 1), net of refund	-	(150,940,748)
Net assets available for benefits, beginning of year	252,600,619	413,431,391
Net assets available for benefits, end of year	\$ 206,075,131	\$ 252,600,619

See accompanying independent auditor's report and notes to financial statements.

The Gates Group Retirement Plan

Notes to Financial Statements

1. DESCRIPTION OF THE PLAN

The following description of The Gates Group Retirement Plan (the “Plan”) provides only general information. Participants and all others should refer to the Plan document and its underlying sub-documents, as described below, for a more complete description of the Plan’s provisions.

General

The Gates Corporation (the “Company”) maintains a non-contributory defined benefit retirement plan covering substantially all employees hired before January 1, 1990, in the domestic operations of the Company and certain subsidiaries. The Plan is an amalgamation of multiple plans within the Gates Automotive Group. The benefits, rights and features of each merged plan have been retained and are detailed in the individual sub-documents relating to specific employee groups covered.

Effective July 3, 2014, the Company was acquired by The Blackstone Group L.P (“Blackstone”), through its investment vehicle Omaha Topco Limited (“Omaha”).

Effective September 25, 2017, Gates Industrial Corporation (“Gates Industrial”), a public limited company indirectly acquired all equity interest in the Company.

On January 4, 2018, Gates Industrial filed a registration statement on Form S-1 with the Securities and Exchange Commission for a proposed initial public offering of its ordinary shares. On January 24, 2018, Gates Industrial announced the pricing of its initial public offering of 38,500,000 of its ordinary shares at a price to the public of \$19.00 per share. Gates Industrial granted the underwriters a 30-day option to purchase up to an additional 5,775,000 ordinary shares. The ordinary shares began trading on the New York Stock Exchange on January 25, 2018 under the symbol “GTES.”

The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (“ERISA”).

Group Annuity Purchase

During 2017, the Plan entered into a group annuity purchase contract with an insurance company. On September 28, 2017 the Plan paid the insurance company \$152,177,174 for the purchase of annuities for 4,267 plan participants. In April 2018, as part of the final review of the contract, the insurance company refunded the Plan \$1,236,426. As of December 31, 2017, this amount was recorded as a receivable due from group annuity purchase on the statements of net assets available for benefits. The accumulated benefit obligation associated with the group annuity purchase totaled approximately \$154 million. Upon execution of the contract, the insurance company assumed the liability, and guarantees the benefits that are due under this Plan. Funds under this contract that have been allocated and applied to purchase these annuities are excluded from the Plans net assets as of December 31, 2017.

The Gates Group Retirement Plan

Notes to Financial Statements

Trustee and Administration of the Plan

The Gates Industrial & Automotive Defined Benefit Master Retirement Trust (the “Trust”) Agreement governs the assets of the Plan from the Company. The Plan is the only participating plan in the Trust.

The Gates Retirement Board (the “Plan Administrator” or “Retirement Board”) is the administrator of the Plan. The Plan Administrator has full power, authority and responsibility to control and manage the operations and administration of the Plan. The Retirement Board determines the appropriateness of the Plan’s investments and monitors investment performance. The Northern Trust Company (“Northern Trust” or “Trustee”) was appointed by the Retirement Board as the trustee of the Plan.

The Plan also has investments with Principal Life Insurance Company (“Principal” or “Custodian”) through a guaranteed annuity contract, which is not held by the Trust.

Multiple Plan Provisions

The Plan is an amalgamation of several benefit formulas and merged plans, all of which are closed to new plan members and summarized below:

- Document 1 covered members of the Denver Machining Center of the Company and was closed to new members effective July 1, 2008. Additionally, benefits were frozen for current plan members effective August 13, 2008 in accordance with negotiations with the collective bargaining members;
- Document 2 covers salaried and non-union members of the Company and was closed to new members in 1990. Effective September 30, 2009, Appendix J and K of Document 2 ceased benefit accruals, freezing final average pay and credited service. However, the Core Balance (as defined by the Plan document) in the Gates Matchmaker Plan will continue to be credited with investment income and the 3% Basic Contributions (as defined by the Plan document) and, in accordance with the terms of the Plan, continue to be applied as an offset to the benefit payable by the Plan;
- Document 3 provides benefits to certain collective bargaining unit members of the Company in accordance with good faith negotiations. Future service accruals for members of the Elizabethtown Polyflex location were frozen as of December 31, 2009 and all non-vested active members of this group were provided 100% vesting as of that date. Effective April 10, 2011, accruals for collectively bargained employees represented by Galesburg Local #685, United Steelworkers of America, under Document 3 are frozen pursuant to the collective bargaining agreement;
- Document 4 covers salaried and non-union members at certain locations of the Company and is closed to new members. Effective January 1, 1989 the provisions of Document 4 were merged into Document 2 (now referred to as Appendix K members);

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Notes to Financial Statements

- Document 5 is a closed and frozen benefit for the former employees of Camel Tire Care Products. The Pension Plan of Hourly Employees of Camel Tire Care Products was merged into the Plan on December 31, 2000;
- Document 6 is a closed and frozen benefit for the former employees of Schrader Automotive. The Schrader Inc. Employees' Pension Plan was merged into the Plan on December 31, 2000;
- Document 7, formerly known as the Stant Retirement Plan for Salaried Employees, was merged into the Plan effective January 1, 2002. Document 7 provides benefits to salaried and non-union hourly employees of Stant Corporation and Trico Corporation, companies, previously within the controlled group of businesses. Effective December 31, 2002, Document 7 was closed to new members. Effective September 30, 2009, Document 7 ceased benefit accruals, freezing final average pay and credited service. However, the Core Balance (as defined in the Plan document) in the Gates Matchmaker Plan (formerly in the Tomkins Automotive 401(k) Plan (f/k/a the Stant 401(k) Plan), will continue to be credited with investment income and the 3% Basic Contributions (as defined by the Plan document) and, in accordance with the terms of the Plan, will continue to be applied as an offset to the benefit payable by the Plan;
- Document 8, formerly known as the Epicor Industries Inc. Hourly Employees Pension Plan (the "Epicor Plan"), was closed to new members effective March 1, 2003 and benefits were frozen effective February 28, 2003. The Epicor Plan was merged into the Plan on January 1, 2002;
- Document 9, formerly known as The Stant Cash Accumulation Retirement Plan (the "Stant Plan"), was established January 1, 2003. The Stant Plan covers salaried and non-union hourly employees at Stant Corporation and Trico Corporation. Effective September 30, 2009, Document 9 ceased benefit accruals with no further contribution credits. Interest credits will continue to be applied in accordance with the terms of Document 9;
- Document 10, formerly known as the Trico Hourly Pension Plan (the "Trico Plan") effectively became frozen in 2004 following the closure of the facility in Buffalo, NY between June 2003 and March 2004 in which all plan members were employed. The Trico Plan was merged into the Plan on January 1, 2006;
- Document 11, formerly known as the FEDCO Automotive Components Company Bargaining Unit Pension Plan (the "FEDCO Plan") was closed to new members effective December 28, 2002 and benefits were frozen after May 2003. There are no active employees within the FEDCO Plan and all plan members are either in pay status or have a deferred vested benefit. The FEDCO Plan was merged into the Plan on December 31, 2009.

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Effective September 30, 2009, the Plan was amended to incorporate the following: (1) all plan participants actively employed as of September 30, 2009 are considered fully vested, unless a collective bargaining agreement specifies another date, and (2) active Plan members will continue to earn continuous service under the Plan for purposes of determining early retirement eligibility.

The corporate entities associated with Document 5 thru 11 are no longer part of the Gates Controlled Group. Gates Corporation is the single legal entity in Plan. The former entities have been sold prior to 2016 although the pension benefit obligations remain with Gates.

Effective January 1, 2012, the Plan document was amended and restated for the following: (1) to incorporate amendments adopted since the last restatement and (2) to add a lump sum distribution option under each sub-document, effective April 1, 2012.

Effective, January 1, 2016, the Plan document was amended and restated for the following: (1) to incorporate amendments adopted since the last restatement and (2) to reflect the legal requirements contained in the 2015 Cumulative List of Changes in Plan Qualification Requirements as issued by the Internal Revenue Service (the “IRS”) in Notice 2015-84.

Effective August 1, 2017, the Plan was amended to include an automatic distribution feature for terminated participants who have not elected a form of distribution, described as follows:

- (1) If the present value of the participants pension determined as of his or her annuity starting date does not exceed \$1,000, such present value will be distributed to the participant as soon as reasonably possible following the participant’s termination of employment, without consent of the participant or participant’s spouse, in a lump sum payment of equivalent actuarial value, and
- (2) If the present value of the participant’s pension determined as of his or her annuity starting date exceeds \$1,000 but does not exceed \$5,000, the Plan Administrator will direct that such amount be paid, in the name of the participant, to an individual retirement account designed by the Plan Administrator.

Eligibility

Each employee, as described above, was eligible to enter the Plan upon meeting the eligibility requirements as specified in each of the individual underlying sub-documents noted above. All benefit formulas in the Plan are closed: therefore, there were no newly eligible plan members during the years ended December 31, 2018 or 2017.

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Notes to Financial Statements

Pension Benefits and Vesting

Beginning in 1985, the Plan was amended into a “floor offset” arrangement so that, where applicable, the pension benefits otherwise payable under the terms of the Plan is offset by an amount of equivalent actuarial value of the member’s hypothetical account balance under the separate terms of The Gates Matchmaker Plan (a defined contribution plan sponsored by the Company). Some of the benefit formulas are a target benefit formula. Monthly benefits and forms of benefits available depend on the location of employment. Certain early retirement options and death benefits are also available.

Information about the Plan document, the vesting and benefit provisions, and the Pension Benefit Guaranty Corporation’s (“PBGC’s”) benefit guarantee is contained in separate summary plan descriptions (“SPDs”) for each group of covered employees and former employees. Copies of these SPDs are available from the Company.

Forfeitures

Non-vested amounts attributable to terminated participants that have been forfeited shall be used to reduce the contributions due from the Company.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The accompanying financial statements have been prepared using the accrual basis of accounting.

Use of Estimates

The preparation of financial statements in accordance with accounting principles generally accepted in the United States of America (“GAAP”) requires plan management to make estimates and assumptions that affect the accompanying reported amounts of assets, liabilities, and changes therein; disclosure of contingent assets and liabilities; and the actuarial present value of accumulated plan benefits at the date of the financial statements, and changes therein. Actual results could differ from those estimates and such differences could be material.

In addition, the Plan holds a limited amount of investments for which fair values have been estimated by the Trustee and Retirement Board in absence of immediately determinable values. The information used to value these assets was the most current at the time of the valuation, and differences from the estimated value will be reported in future periods. See Note 7, *Fair Value Measurements and Disclosures*, for further disclosure regarding investment valuation and fair value measurements.

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Investment Valuation and Income Recognition

The Retirement Board adheres to the Gates Industrial and Automotive Defined Benefit Trust Statement of Investment Policy (the “Investment Policy”), which is periodically reviewed and updated. Investments are managed under agreements by which the investment managers have been given the authority to make individual investment decisions within the guidelines stated in the Investment Policy.

The Plan’s investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Retirement Board determines the Plan’s valuation policies utilizing information provided by its investment advisors, Trustee and Custodian. As of December 31, 2018 and 2017 all assets were held in the Trust or held by the Custodian. The Plan is the 100% beneficial owner of the Trust. See Note 7, *Fair Value Measurements and Disclosures*, for a discussion of fair value measurements.

The unallocated insurance contract held by Principal is shown at fair value as determined and certified by Principal based on the contractual agreement and includes consideration of contractual interest rates, current interest rates and duration of the contract.

Interest and dividend income (including capital gain distributions) is recorded when earned or on the ex-dividend date, respectively. Net appreciation (depreciation) in the fair value of investments represents the realized and unrealized gains and losses on the investments. Purchases and sales are recorded on a trade-date basis.

Minimum Balance Requirements on Insurance Company Investments

The Trust invests in insurance company pooled separate accounts and insurance company general accounts pursuant to certain guaranteed annuity contracts with various insurance companies. These contracts contain certain minimum balance requirements and certain restrictions on termination of the contracts. The minimum balance requirement was met as of December 31, 2018 and 2017.

Administrative Expenses and Investment Management Fees

The Plan’s operating, investment, and administrative expenses are generally paid as provided for in the Plan document. Expenses for trustee, investment management, actuarial, auditing, and legal fees are charged to the Plan. Other administrative functions are performed by employees of the Company or its subsidiaries at no cost to the Plan. All other costs not paid by the Plan are paid by the Company and are excluded from these financial statements.

Payment of Benefits

Benefit payments to participants are recorded upon distribution.

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Notes to Financial Statements

3. FUNDING POLICY

The Company's policy is to make contributions to meet the minimum funding requirements under Internal Revenue Code ("IRC") 412. The Company was not required to make any cash contributions to meet the minimum funding requirement for the 2018 or 2017 plan years, as the Company used their funding surplus to satisfy such minimum contributions

4. ACCUMULATED PLAN BENEFITS

Accumulated plan benefits are those estimated future periodic payments, including lump-sum distributions that are attributable under the Plan's provisions to service rendered by employees to the valuation date. Accumulated plan benefits include benefits expected to be paid to employees or their beneficiaries upon retirement, death, disability, or termination. Benefits payable under all circumstances noted above are included in the computation of the actuarial present value of accumulated plan benefits to the extent they are deemed attributable to employee service rendered to the various freeze dates or the valuation date.

The actuarial present value of accumulated plan benefits is determined by an independent actuary and is the amount that results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of benefit payments (by means of decrements such as for death, disability, withdrawal, or retirement) between the valuation date and the expected date of payment. The effect of any Plan amendments on accumulated plan benefits is recognized during the year in which such amendments were adopted.

The significant assumptions used in the actuarial valuation as of December 31, 2017 are as follows:

Retirement age	In general age 65, however, rates vary by age and groups.
Mortality	<i>Non-annuitants:</i> RP-2014 "Employees" sex-distinct tables without collar adjustments, adjusted for Scale MP-2017, projected forward generationally with Scale MP-2017 <i>Annuitants:</i> RP-2014 "Healthy Annuitants" sex-distinct tables without collar adjustments, adjusted for Scale MP-2017, projected forward generationally with Scale MP-2017
Form of payment	50% of participants are assumed to elect the normal form of payment and 50% of participants are assumed to elect the lump sum option. For single lump sum or annuity, based on groups.
Lump sum conversion factor	As required by IRC 430, lump sum benefits are valued using "annuity substitution", so that the interest rates assumed are effectively the same as described for the discount rate.
Discount rate	3.625% per annum, compounded annually. The basis chosen was selected by the plan sponsor from among choices prescribed by law, all of which are based on observed market date over certain periods of time.

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The foregoing actuarial assumptions are based on the presumption that the Plan will continue. If the Plan were to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

The actuarial present value of accumulated plan benefits as determined by the actuary as of December 31, 2017 is as follows:

Vested benefits:	
Participants currently receiving benefits	\$ 221,515,057
Other vested benefits	55,362,922
Total vested benefits	276,877,979
Nonvested benefits	516,212
Total actuarial present value of accumulated plan benefits	\$ 277,394,191

The changes in the actuarial present value of the Plan's accumulated plan benefits for the year ended December 31, 2017 are as follows:

Actuarial present value of accumulated plan benefits – December 31, 2016	\$ 445,540,719
Increase (decrease) during the year attributed to:	
Benefits accumulated	(713,868)
Actuarial losses	5,637,417
Decrease in discount period	13,931,165
Benefits paid ^(a)	(39,095,432)
Annuity purchase ^(b)	(154,000,000)
Assumption changes ^(c)	6,094,190
Net decrease	(168,146,528)
Actuarial present value of accumulated plan benefits – December 31, 2017	\$ 277,394,191

(a) Amount does not include re-deposits into the Plan or reimbursements from MetLife.

(b) See Note 1, *Description of the Plan*, for further disclosure regarding the annuity purchase.

(c) The assumed discount rate was updated from 4.000% to 3.325% and the mortality scale was updated from MP-2016 to MP-2017.

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5. INFORMATION CERTIFIED BY THE TRUSTEE AND CUSTODIAN

The Plan Administrator has elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, as permitted under such election and as requested by the Plan Administrator, the following information has been certified by the Trustee and Custodian, and was not subjected to any auditing procedures performed by the Plan's independent auditors, except for comparing such information to the related investment information included in the financial statements and supplemental schedules:

- Cash, investments, pending trades and accrued interest receivables as shown in the accompanying Statements of Net Assets Available for Benefits as of December 31, 2018 and 2017;
- Net appreciation (depreciation) in fair value of investments and interest income from investments, as shown in the accompanying Statements of Changes in Net Assets Available for Benefits for the years ended December 31, 2018 and 2017;
- Investment information included in Notes to Financial Statements;
- Investment information included in the accompanying supplemental schedules as of and for the year ended December 31, 2018.

6. DERIVATIVES

The Plan invested in futures contracts in order to manage market risk and to achieve overall investment portfolio objectives. These contracts involved elements of market risk in excess of amounts recognized in the Statements of Net Assets Available for Benefits. The credit risk associated with these contracts was minimal because they were traded on an exchange and settled daily.

At December 31, 2018, the Plan did not hold any futures contracts. At December 31, 2017, the Plan had futures contracts to sell approximately \$2 million of U.S. Treasury Bonds and \$7 million of US Treasury Notes and to buy approximately \$3 million of U.S. Treasury Notes.

The fair value of the futures contracts in the Statements of Net Assets Available for Benefits at December 31, 2018 and 2017 is zero, because changes in value of the contracts are settled by cash payments daily. Gains (losses) on the futures contracts are included in net (depreciation) appreciation in fair value of investments on the Statements of Changes in Net Assets Available for Benefits. The total earnings for the year ended December 31, 2018 and 2017 were de-minimus.

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7. FAIR VALUE MEASUREMENTS AND DISCLOSURES

Financial Accounting Standards Board (“FASB”) Accounting Standards Codification (“ASC”) Topic 820, *Fair Value Measurements and Disclosures*, provides the framework for measuring fair value. The framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. This hierarchy consists of three broad levels. Level 1 inputs are the highest priority and consist of unadjusted quoted prices in active markets the Plan has the ability to access for identical assets and liabilities. Level 2 inputs are inputs other than quoted prices that are observable for the asset or liability, either directly or indirectly (quoted prices in active markets for similar assets and liabilities, quoted prices for identical or similar instruments in markets that are not active and model-derived valuations whose inputs are observable or whose significant value drivers are observable). Level 3 inputs are the lowest priority and are unobservable and significant to the fair value measurement of an asset or liability.

The asset or liability’s fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for investments measured at fair value. There were no changes in the methodologies used at December 31, 2018 and 2017:

U.S. Government securities, corporate debt instruments, and other bonds: U.S. Government securities, corporate debt instruments, and other bonds are stated at fair value based on multiple pricing services, based on a bid evaluation process using observable, market-based inputs effective the last day of the plan year or last reported sale or bid date if no sale took place on the last business day of the year. The Company did not hold any of these investments as of December 31, 2018.

Insurance Company Pooled Separate Accounts (PSAs): PSAs are valued at net asset value (“NAV”) as determined by the PSA’s designated accountants without further adjustment. Agreements with the insurance company can include provisions containing certain minimum balance requirements. NAV is calculated based on the fair value of the underlying investments, which are generally traded in an active market; however, there is a lack of transparency in pricing. NAV is used as a practical expedient to estimate fair value. This practical expedient would not be used if it is determined to be probable that the Plan will sell the investment for an amount different from the reported NAV. In determining the reasonableness of the methodology, the Retirement Board evaluates a variety of factors including review of existing contracts, economic conditions, industry and market developments, and overall credit ratings of the insurance company.

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Common/Collective Trust (CCT): The common collective trusts are valued based on the Plan's interest in the funds at NAV reported by the fund managers as of the financial statement date and recent transaction prices. The NAV is used as a practical expedient to estimate fair value. This practical expedient would not be used if it is determined to be probable that the fund will sell the investment for an amount different from the reported NAV. Fair values for the investments within these funds are based on quoted prices in active markets and securities valued using either observable inputs or quotations from inactive markets.

Insurance Company General Accounts and Unallocated Insurance Contract: These investments are valued at fair value based on the contractual agreement between the Plan and the insurance company and typically include consideration of contractual interest rates, current interest rates, duration of the contract and credit-worthiness of the contract holder. There can be restrictions on the sale of such investments based on the terms of the contract. In determining the reasonableness of the methodology, the Retirement Board evaluates a variety of factors including review of existing contracts, economic conditions, industry and market developments, and overall credit ratings.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, within the fair value hierarchy, the Plan's investments, at fair value as of December 31, 2018 and 2017:

<i>As of December 31, 2018</i>	Level 1	Level 2	Level 3	Total
Insurance company general accounts	\$ -	\$ -	\$ 8,779	\$ 8,779
Unallocated insurance contracts	-	-	4,041,654	4,041,654
Total assets in the fair value hierarchy	\$ -	\$ -	\$ 4,050,433	\$ 4,050,433
Investments measured at net asset value ⁽¹⁾				202,120,923
Total investments at fair value				\$ 206,171,356

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<i>As of December 31, 2017</i>	Level 1	Level 2	Level 3	Total
U.S. Government securities	\$ 6,277,734	\$ -	\$ -	\$ 6,277,734
Corporate debt instruments	-	207,305,077	-	207,305,077
Other bonds	-	28,121,440	-	28,121,440
Insurance company general accounts	-	-	8,621	8,621
Unallocated insurance contracts	-	-	4,107,086	4,107,086
Total assets in the fair value hierarchy	\$ 6,277,734	\$ 235,426,517	\$ 4,115,707	\$ 245,819,958
Investments measured at net asset value ⁽¹⁾				3,046,059
Total investments at fair value				\$ 248,866,017

(1) In accordance with Subtopic 820-10, certain investments that were measured at NAV value per share (or its equivalent) as a practical expedient have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the line items presented in the Statements of Net Assets Available for Benefits.

The following tables set forth a summary of changes in the fair value of the Plan's Level 3 investments for the years ended December 31, 2018 and 2017:

	2018	
	Insurance Company General Accounts	Unallocated Insurance Contracts
Fair value, beginning of year	\$ 8,621	\$ 4,107,086
Interest earned	158	123,294
Realized gains ⁽¹⁾	-	-
Unrealized gains (losses), relating to investments still held as of the reporting date ⁽¹⁾	-	(149,695)
Purchases	-	-
Sales	-	-
Transfer from separate account	125,734	-
Settlements	(125,734)	(39,031)
Fair value, end of year	\$ 8,779	\$ 4,041,654

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	2017	
	Insurance Company General Accounts	Unallocated Insurance Contracts
Fair value, beginning of year	\$ 8,548	\$ 4,030,570
Interest earned	73	134,884
Realized gains ⁽¹⁾	-	-
Unrealized gains (losses), relating to investments still held as of the reporting date ⁽¹⁾	-	3,105
Purchases	-	-
Sales	-	-
Transfer from separate account	221,277	-
Settlements	(221,277)	(61,473)
Fair value, end of year	\$ 8,621	\$ 4,107,086

(1) Included in net (depreciation) appreciation in fair value of investments on the Statements of Changes in Net Assets Available for Benefits.

The availability of observable market data is monitored to assess the appropriate classification of financial statements within the fair value hierarchy. Changes in economic conditions or model-based valuation techniques may require the transfer of financial instruments from one fair value level to another. In such instances, the transfer is reported at the beginning of the reporting period. For the years ended December 31, 2018 and 2017, there were no transfers between Levels 1, 2, or 3.

Quantitative Information about Significant Unobservable Inputs Used in Level 3 Fair Value Measurements

The following tables represent the Plan's significant Level 3 financial instruments, the valuation techniques used to measure the fair value of those financial instruments at December 31, 2018 and 2017, and the significant unobservable inputs and the range of values for those inputs:

As of December 31, 2018

Instrument	Fair Value	Principal Valuation Technique	Unobservable Inputs	Range of significant input values
Principal Unallocated Insurance Contract	\$ 4,041,654	Discounted Cash Flows	Discount Rates Durations	1.82% - 5.13% .5 – 30 years

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As of December 31, 2017

Instrument	Fair Value	Principal Valuation Technique	Unobservable Inputs	Range of significant input values
Principal Unallocated Insurance Contract	\$ 4,107,086	Discounted Cash Flows	Discount Rates Durations	34% - 6.10% .5 – 30 years

Fair Value of Investments that Calculate Net Asset Value as a Practical Expedient

The following tables summarize investments measured at fair value based on NAV per share held in the Trust as of December 31, 2018 and 2017:

As of December 31, 2018

Instrument	Fair Value	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Insurance Company PSA ⁽¹⁾	\$ 1,825,816	None	Daily	1 day
Common/Collective Trusts ⁽²⁾	\$ 200,295,107	None	Daily	1 day

As of December 31, 2017

Instrument	Fair Value	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Insurance Company PSA ⁽¹⁾	\$ 1,956,473	None	Daily	1 day
Common/Collective Trust ⁽²⁾	\$ 1,089,586	None	Daily	1 day

- (1) The following represents investments in the MetLife Separate Account, a pooled separate account. The investment utilizes Barclays Capital Aggregate Bond as an investment strategy benchmark.
- (2) The investment strategy for the Northern Trust Company Collective Short-Term Investment Fund, which is a common collective trust, is to maintain a \$1 unit value. Other common/collective trusts held by the Plan are Direct Filing Entities with the Department of Labor.

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8. PLAN TERMINATION

Although it has not expressed any intention to do so, the Company has the right, under the Plan, in certain circumstances, to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event the Plan is terminated, the assets of the Plan will be allocated to Plan participants and beneficiaries in accordance with applicable provisions of ERISA and the Plan document. Should unfunded vested benefits exist, such benefits will be provided up to specified limitations as described in ERISA and by the PBGC.

Certain benefits under the Plan are insured by the PBGC if the Plan terminates. Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits, and certain disability and survivors' pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination, subject to a statutory ceiling on the amount of an individual's monthly benefit.

Whether all participants receive their benefits should the Plan terminate at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide for accumulated plan benefit obligations and may also depend on the financial condition of the plan sponsor and the level of benefits guaranteed by the PBGC.

Additional information regarding the priority of participants' claims to the assets of the Plan upon termination and benefits guaranteed by the PBGC is available from the Plan Administrator.

9. INCOME TAX STATUS

The Plan was amended and restated effective January 1, 2016 and the IRS has determined and informed the Company by a letter dated August 7, 2017, that the Plan is designed in accordance with applicable sections of the IRC. The Plan Administrator and the Company believe that the Plan, as amended, is designed and is currently being operated in compliance with the applicable requirements of the IRC. Therefore, they believe that the Plan is qualified and the related trust is tax exempt, thus, no provision for income taxes is included in the accompanying financial statements.

GAAP requires plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan Administrator has analyzed the tax positions taken by the Plan, and has concluded as of December 31, 2018 and 2017, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no IRS audits for any tax periods in progress.

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10. RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities, in general, are subject to various risks, such as interest rate, credit and overall market volatility risk. Additionally, the value, liquidity and related income of the investment securities are sensitive to changes in the economic conditions and may be adversely affected by shifts in the market's perception of the issuers and changes in interest rates. Certain investments (such as the insurance company pooled separate accounts and unallocated insurance contracts) may be less liquid and include restrictions on their sale. Due to the level of risk associated with certain investment securities and the level of uncertainty related to changes in the value of such investment securities, it is possible that changes in the value of investment securities could occur in the near term and that such changes could materially affect the amounts reported in the Statements of Net Assets Available for Benefits and the funded status of the Plan.

Plan contributions are determined and the actuarial present value of accumulated plan benefits are reported based on certain assumptions pertaining to interest rates, inflation rates and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimations and assumptions process, it is possible that changes in these estimates and assumptions in the near term could impact the amounts reported in the financial statements and accompanying notes and could be material to the Plan's financial statements.

The Pension Protection Act of 2006, as amended by the Worker, Retiree and Employer Recovery Act of 2008, imposes certain benefit restrictions for qualified defined benefit plans that do not meet certain funding thresholds. A plan's funded percentage is referred to as the Adjusted Funding Target Attainment Percentage ("AFTAP"). The 2018 AFTAP for the Plan is 100.89%. Because the Plan's AFTAP equals or exceeds 80.00%, the Plan is not subject to any benefit restrictions.

In May 2018, the Company was notified by the Department of Labor that the Plan was selected for examination of the period from January 1, 2015 to the present. Management received a closing letter, dated June 28, 2019. There were no matters from the closing letter that materially impact the Plan's financial statements, footnotes, or supplemental schedules; therefore, the accompanying financial statements and supplemental schedules do not include any adjustments or disclosures as a result from this examination.

11. RELATED PARTY AND EXEMPT PARTY-IN-INTEREST TRANSACTIONS

A short-term investment fund, which is included in the investments within the Trust, is managed by Northern Trust, the Trustee. Three of the Plan's CCT's are managed by Willis Towers Watson, the Investment Advisor. Certain expenses related to audit, custody, asset management and actuarial services are paid from Plan assets. These transactions qualify as party-in-interest transactions, which are exempt from the prohibited transaction rules.

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12. GROUP ANNUITY CONTRACT WITH INSURANCE COMPANY

Effective January 1, 2006, the Trico Plan was merged into this Plan. In addition to their investment in the Plan, the Trico Plan also held an investment with Principal. The Company entered into an immediate participation guarantee Group Annuity Contract (“GAC”) with Principal, which is an unallocated insurance contract. The contract balance as of December 31, 2018 and 2017 of \$4,091,762 and \$4,007,499, respectively, consists of amounts held in Principal’s general account and is shown on the Statements of Net Assets Available for Benefits at fair value of \$4,041,654 and \$4,107,086, respectively. The contract balance must be maintained at a level sufficient to meet the contract liability or General Asset Floor (“GAF”). The GAF is the present value of the amount required to purchase annuities for benefits remaining unpaid to participants or beneficiaries. The Plan met the GAF as of December 31, 2018 and 2017.

13. SUBSEQUENT EVENTS

The Plan Administrator has evaluated subsequent events through October 10, 2019, which is the date the financial statements were available to be issued. No events or transactions discovered during this evaluation require recognition or disclosure in the financial statements.

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
<i>Non-Interest Bearing Cash - USD</i>			
USD - United States dollar	-0.040	-0.04	-0.04
USD - United States dollar	0.000	0.00	0.00
Total - all currencies		-0.04	-0.04
Total Non-Interest Bearing Cash - USD		-0.04	-0.04
<i>Receivables - Other - USD</i>			
Pending trade sales: United States dollar	0.000	2,428,571.26	2,428,571.26
Total - all currencies		2,428,571.26	2,428,571.26
Total Receivables - Other - USD		2,428,571.26	2,428,571.26
<i>Interest Bearing Cash - USD</i>			
USD - United States dollar	46,470.880	46,470.88	46,470.88
Total - all currencies		46,470.88	46,470.88
Total Interest Bearing Cash - USD		46,470.88	46,470.88
<i>Value of Interest in Common/Collective Trusts and Pooled Separate Accounts</i>			
Global Region - USD			
CF HEITMAN GLOBAL PRIME COLLECTIVE INVESTMENT TRUST, CLASS 2 CUSIP: VXC999749	1,664,828.000	16,600,000.00	15,489,726.19
CF LEGAL & GENERAL GLOBAL CORE INFRASTRUCTURE CIT CUSIP: 1J999H616	153,591.540	16,592,494.08	16,171,653.25
Total Global Region - USD		33,192,494.08	31,661,379.44

** Represents party-in-interest as defined by ERISA

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Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
<i>Value of Interest in Common/Collective Trusts and Pooled Separate Accounts</i>			
United States - USD			
CF BLACKROCK TREAS US 15 YR KEY RATE DUR NL FD A CUSIP: 1S1469996	431,412.680	5,000,000.00	5,784,076.64
CF BLACKROCK TREAS US 5 YR KEY RATE DUR NL FD A CUSIP: 1S1459997	580,984.660	5,095,164.03	5,621,139.88
CF BLACKROCK TSY U.S. 20 YR KEY RATE DURNL FD CUSIP: 1S1479995	146,630.440	1,620,526.50	1,829,051.39
CF BLACKROCK TSY US10 YR KEY RATE DUR NLFD A CUSIP: 1S1489994	737,546.890	7,000,000.00	8,087,811.60
CF IR+M INTERMEDIATE CORPORATE COLLECTIVE FUND CUSIP: 1S33999S1	1,047,006.740	10,687,591.21	10,779,557.36
CF METLIFE SEP ACCT #377 CORE LAGB BR 107 FD CUSIP: 15499VD20	9,976.780	1,935,737.79	1,825,815.59
CF SSGA INTERMEDIATE CREDIT US GOVERNMENT INDEX FUND CUSIP: 154725NV3	419,617.260	10,400,000.00	10,629,744.43
CF WILLIS TOWERS WATSON GROUP TRUST DIVERSIFIED CREDIT FUND CUSIP: 1S74999S5	3,105,589.450	31,300,000.00	30,709,931.84
CF WILLIS TOWERS WATSON GROUP TRUST LONGCREDIT FUND CUSIP: 5K5999Y51	2,085,738.210	20,696,759.41	20,314,047.30
CF WILLIS TOWERS WATSON GT SUBS REDS CLEAR DEF CUSIP: 2R2999T58	5,911,006.670	58,600,000.00	51,103,608.17
COLTV SHORT TERM INVT FD CUSIP: 195998A10	1,904,694.020	1,904,694.02	1,904,694.02
SSGA LONG US GOVERNMENT INDEX FUND CUSIP: 3L5999T57	524,424.240	21,000,000.00	21,870,064.08
Total United States - USD		175,240,472.96	170,459,542.30
Total Value of Interest in Common/Collective Trusts and Pooled Separate Accounts		208,432,967.04	202,120,921.74

Value of Funds Held in Insurance Company General Accounts

United States - USD			
GAC METLIFE CONT.#163 RT. N/A MAT N/A CUSIP: 999501AX7	8,779.210	8,779.21	8,779.21
Total United States - USD		8,779.21	8,779.21
Total Value of Funds Held in Insurance Company Gener		8,779.21	8,779.21

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Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
<i>Other Liabilities</i>			
Pending trade purchases: United States dollar	0.000	-2,413,788.68	-2,413,788.68
Total - all currencies		-2,413,788.68	-2,413,788.68
Other Payables: United States dollar	0.000	-13,244.06	-13,244.06
Total - all currencies		-13,244.06	-13,244.06
Total Other Liabilities		-2,427,032.74	-2,427,032.74
<i>Unallocated Insurance Contract</i>			
United States - USD			
PRINCIPAL LIFE INSURANCE COMPANY - CREDITING INTEREST RATE OF 3.61% AT DECEMBER 31, 2018	0.000	4,091,762.00	4,041,654.00
Total - all currencies		4,091,762.00	4,041,654.00
Total Unallocated Insurance Contract		4,091,762.00	4,041,654.00
TOTAL CASH, INVESTMENTS, AND PENDING TRADE PAYABLES AND RECEIVABLES			206,219,365

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFY0D67	\$ GEN CORP NEW 4.125% DUE 05-01-2028	Acquisitions	180,000.00	-180,676.80	
		Dispositions	-180,000.00		176,906.90
SBYVQ5V0	ABBOTT LABS 4.75% DUE 11-30-2036	Acquisitions	85,000.00	-91,521.20	
		Dispositions	-260,000.00		275,972.10
SBXDZFY1	ABBVIE INC FIXED 4.5% DUE 05-14-2035	Acquisitions	50,000.00	-49,769.50	
		Dispositions	-620,000.00		615,478.66
SBXDZF22	ABBVIE INC FIXED 4.7% DUE 05-14-2045	Acquisitions	100,000.00	-99,624.50	
		Dispositions	-290,000.00		283,814.05
SBXDZV8	ABBVIE INC 2.5% 05-14-2020	Acquisitions	235,000.00	-233,197.55	
		Dispositions	-235,000.00		232,645.30
SBF2L511	ABBVIE INC 3.375% DUE 11-14-2021	Acquisitions	535,000.00	-534,079.80	
		Dispositions	-535,000.00		534,842.63
SBYP8MR7	ABBVIE INC 4.3% DUE 05-14-2036	Acquisitions	75,000.00	-72,677.25	
		Dispositions	-225,000.00		211,475.25
SBYP8MQ6	ABBVIE INC 4.45% DUE 05-14-2046	Acquisitions	160,000.00	-153,960.00	
		Dispositions	-160,000.00		147,313.60
SBGQW2K5	ABBVIE INC 4.875% DUE 11-14-2048	Acquisitions	275,000.00	-272,556.30	
		Dispositions	-275,000.00		268,886.75
SBYXJDM4	ACE INA HLDGS INC FIXED 3.15% DUE 03-15-2025	Acquisitions	75,000.00	-72,807.75	
		Dispositions	-75,000.00		72,393.75
SB14VKK5	ACE INA HLDGS INC 6.7% DUE 05-15-2036	Acquisitions	50,000.00	-64,366.50	
		Dispositions	-90,000.00		115,605.00
SBWDBND7	ACTAVIS FDG SCS 4.55% DUE 03-15-2035	Acquisitions	230,000.00	-229,179.00	
		Dispositions	-500,000.00		489,172.20
SBWDBNG0	ACTAVIS FDG SCS 4.75% DUE 03-15-2045	Acquisitions	55,000.00	-55,950.40	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
C007589AB0	ADVOCATE HEALTH & HOSPS CORP 4.272% 08-15-2048	Dispositions	-623,000.00		610,278.30
		Acquisitions	70,000.00	-70,000.00	
SBDBSC18	AERCAP IRELAND CAP 3.65% DUE 07-21-2027	Dispositions	-70,000.00		69,938.40
		Acquisitions	100,000.00	-97,990.00	
SBK1KRP2	AETNA INC NEW 4.75% DUE 03-15-2044	Dispositions	-100,000.00		92,257.00
		Acquisitions	135,000.00	-135,343.35	
SBF1GMM7	AIA GROUP LTD 3.9% DUE 04-06-2028	Dispositions	-200,000.00		195,772.00
		Acquisitions	90,000.00	-90,052.20	
SBF3RHG7	AIR LEASE CORP 3.625% 12-01-2027	Dispositions	-90,000.00		87,883.20
		Acquisitions	135,000.00	-124,653.80	
SBFXR1J8	AIR LEASE CORP 4.625% DUE 10-01-2028	Dispositions	-135,000.00		125,841.60
		Acquisitions	145,000.00	-143,141.10	
SBD2Z1R7	ALABAMA PWR CO 4.3% 07-15-2048	Dispositions	-145,000.00		142,340.70
		Acquisitions	40,000.00	-40,804.40	
SBDSFRM8	ALLSTATE CORP 4.2% DUE 12-15-2046	Dispositions	-40,000.00		39,677.60
		Acquisitions	105,000.00	-111,043.80	
SBYN6819	ALTRIA GROUP INC 4 DUE 01-31-2024 REG	Dispositions	-370,000.00		360,429.55
		Acquisitions	185,000.00	-189,149.55	
SB8K84P2	ALTRIA GROUP INC 4.25% DUE 08-09-2042	Dispositions	-185,000.00		187,356.90
		Acquisitions	120,000.00	-115,962.30	
SB7ZKNH1	ALTRIA GROUP INC 4.5% DUE 05-02-2043	Dispositions	-305,000.00		281,749.85
		Acquisitions	200,000.00	-202,909.75	
SBF2G3S9	AMAZON COM INC FIXED 2.8% DUE 08-22-2024	Dispositions	-465,000.00		448,627.15
		Acquisitions	50,000.00	-48,780.00	
SBF199R0	AMAZON COM INC FIXED 3.875% DUE 08-22-2037	Dispositions	-105,000.00		102,142.10

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBDGMR43	AMAZON COM INC 3.15% DUE 08-22-2027 BEO	Acquisitions	105,000.00	-104,222.15	
		Dispositions	-450,000.00		442,994.84
SBD5KBP7	AMAZON COM INC 4.05% DUE 08-22-2047	Acquisitions	40,000.00	-38,719.20	
		Dispositions	-40,000.00		38,225.60
SBFMLMY3	AMAZON COM INC 4.05% 08-22-2047	Acquisitions	345,000.00	-349,897.75	
		Dispositions	-170,000.00		169,278.60
SBD5KBM4	AMAZON COM INC 4.25% DUE 08-22-2057	Acquisitions	520,000.00	-513,073.25	
		Dispositions	-695,000.00		688,470.16
C023135AQ9	AMAZON COM INC 4.95% DUE 12-05-2044	Acquisitions	65,000.00	-63,922.95	
		Dispositions	-85,000.00		84,857.90
SBDG76K7	AMAZON COM INC 5.2% 12-03-2025	Acquisitions	100,000.00	-113,575.00	
		Dispositions	-100,000.00		111,568.90
SB82VRK5	AMER MOVIL SAB DE 4.375% DUE 07-16-2042	Acquisitions	50,000.00	-55,300.50	
		Dispositions	-145,000.00		159,992.00
SBBM3LH7	AMERICA MOVIL S A DE C V SR NT 6.375% DUE 03-01-2035 REG	Acquisitions	40,000.00	-39,230.40	
		Dispositions	-40,000.00		38,748.00
SBYZ5J44	AMERICAN EXPRESS CREDIT 2.6% 09-14-2020	Acquisitions	20,000.00	-24,887.60	
		Dispositions	-20,000.00		24,143.60
SBXSSDR4	AMERICAN INTERNATIONAL GROUP INC BNDS 3.75% DUE 07-10-2025 REG	Acquisitions	80,000.00	-79,548.80	
		Dispositions	-80,000.00		79,079.20
SBXSSDV8	AMERICAN INTERNATIONAL GROUP INC BNDS 4.8% DUE 07-10-2045 REG	Acquisitions	275,000.00	-273,132.75	
		Dispositions	-275,000.00		266,902.70
SBG84414	AMERICAN WTR CAP CORP 3.75% 09-01-2028	Acquisitions	90,000.00	-95,595.60	
		Dispositions	-90,000.00		90,038.00
		Acquisitions	70,000.00	-69,986.70	
		Dispositions	-70,000.00		69,104.00

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFM2HH4	AMERN EXPRESS CO 3.375% DUE 05-17-2021	Acquisitions	305,000.00	-304,948.15	
		Dispositions	-305,000.00		305,376.50
SBFXCMK1	AMERN EXPRESS CO 3.4% 02-27-2023	Acquisitions	65,000.00	-64,940.85	
		Dispositions	-65,000.00		64,360.70
SBYWG508	AMERN EXPRESS CO 3% 10-30-2024	Acquisitions	170,000.00	-167,637.00	
		Dispositions	-170,000.00		164,440.03
SBDFXRB4	AMERN INTL GROUP 3.9% DUE 04-01-2026	Acquisitions	50,000.00	-49,106.00	
		Dispositions	-370,000.00		370,210.05
SBFXCML2	AMERN INTL GROUP 4.2% DUE 04-01-2028	Acquisitions	40,000.00	-39,257.20	
		Dispositions	-40,000.00		39,914.40
SBP8G1N4	AMERN INTL GROUP 4.5% DUE 07-16-2044	Acquisitions	140,000.00	-134,647.40	
		Dispositions	-140,000.00		131,937.40
SBYMMP95	AMERN INTL GROUP 4.7% DUE 07-10-2035	Acquisitions	65,000.00	-64,496.25	
		Dispositions	-320,000.00		322,228.48
SBFXCMM3	AMERN INTL GROUP 4.75% DUE 04-01-2048	Acquisitions	195,000.00	-193,855.35	
		Dispositions	-195,000.00		192,439.65
SB7V7HB8	AMERN INTL GROUP 4.875% DUE 06-01-2022	Acquisitions	80,000.00	-84,144.80	
		Dispositions	-80,000.00		83,447.20
SB1CYG08	AMERN INTL GROUP 6.25% DUE 05-01-2036	Acquisitions	60,000.00	-69,603.00	
		Dispositions	-60,000.00		68,515.80
SB606MS1	AMERN INTL GROUP 8.175% DUE 05-15-2068	Acquisitions	50,000.00	-63,500.00	
		Dispositions	-182,000.00		227,682.00
C031162BZ2	AMGEN INC 4.4% 05-01-2045	Acquisitions	30,000.00	-30,096.90	
		Dispositions	-140,000.00		134,934.80
SBDR5CM4	AMGEN INC 4.563% DUE 06-15-2048	Acquisitions	85,000.00	-81,717.30	

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Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBDRVZ12	AMGEN INC 4.663% DUE 06-15-2051	Dispositions	-85,000.00		83,821.90
		Acquisitions	460,000.00	-482,734.95	
C031162BE9	AMGEN INC 4.95% DUE 10-01-2041	Dispositions	-551,000.00		546,967.80
		Acquisitions	160,000.00	-165,244.00	
SB76M525	AMGEN INC 5.15% DUE 11-15-2041	Dispositions	-495,000.00		511,047.85
		Acquisitions	45,000.00	-47,318.40	
C031162BC3	AMGEN INC 5.75% DUE 03-15-2040	Dispositions	-225,000.00		245,437.40
		Acquisitions	60,000.00	-68,919.00	
SBJMZ1V3	ANHEUSER BUSCH INBEV FIN INC 4.625 DUE 02-01-2044 REG	Dispositions	-60,000.00		66,649.20
		Acquisitions	55,000.00	-60,130.40	
SBF7MH35	ANHEUSER BUSCH INC 4.439% DUE 10-06-2048	Dispositions	-55,000.00		59,961.55
		Acquisitions	35,000.00	-34,323.45	
SBYMLCJ1	ANHEUSER-BUSCH 3.65% DUE 02-01-2026	Dispositions	-802,000.00		764,106.49
		Acquisitions	270,000.00	-265,099.20	
SBDZ7GD1	ANHEUSER-BUSCH 4.375% DUE 04-15-2038	Dispositions	-575,000.00		562,817.16
		Acquisitions	480,000.00	-475,109.90	
SBDZ78X5	ANHEUSER-BUSCH 4.6% DUE 04-15-2048	Dispositions	-480,000.00		462,888.80
		Acquisitions	990,000.00	-987,546.80	
SBYMLCL3	ANHEUSER-BUSCH 4.7% DUE 02-01-2036	Dispositions	-990,000.00		979,908.00
		Acquisitions	155,000.00	-157,370.35	
SBDZ78S0	ANHEUSER-BUSCH 4.75% DUE 04-15-2058	Dispositions	-685,000.00		703,239.81
		Acquisitions	195,000.00	-193,785.15	
SBYMLGZ5	ANHEUSER-BUSCH 4.9% DUE 02-01-2046	Dispositions	-195,000.00		193,861.20
		Acquisitions	340,000.00	-357,632.30	
SBDZCMR69	ANHEUSER-BUSCH 4.95% DUE 01-15-2042	Dispositions	-1,675,000.00		1,721,805.68

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Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB7SBDV5	ANHEUSER-BUSCH 4% DUE 01-17-2043	Acquisitions	65,000.00	-66,859.00	
		Dispositions	-230,000.00		236,769.40
SBFYCQN1	ANHEUSER-BUSCH 4% DUE 04-13-2028	Acquisitions	75,000.00	-69,171.75	
		Dispositions	-75,000.00		66,708.75
SBFXCMQ7	ANTHEM INC 2.95% 12-01-2022	Acquisitions	215,000.00	-213,314.40	
		Dispositions	-215,000.00		215,672.50
SBFXCMS9	ANTHEM INC 3.65% 12-01-2027	Acquisitions	225,000.00	-224,718.75	
		Dispositions	-305,000.00		297,339.95
SBGB6FT2	ANTHEM INC 4.375% 12-01-2047	Acquisitions	160,000.00	-152,767.50	
		Dispositions	-485,000.00		461,344.40
SBGB6FS1	ANTHEM INC 4.55% 03-01-2048	Acquisitions	120,000.00	-113,391.60	
		Dispositions	-480,000.00		458,989.65
SBLSP6D8	ANTHEM INC 4.65% DUE 01-15-2043	Acquisitions	520,000.00	-518,361.05	
		Dispositions	-520,000.00		505,985.80
SBYZ7348	AON PLC 3.875% DUE 12-15-2025	Acquisitions	135,000.00	-134,516.85	
		Dispositions	-135,000.00		132,256.80
SBXS2QD3	AON PLC 4.75% DUE 05-15-2045	Acquisitions	95,000.00	-97,791.10	
		Dispositions	-145,000.00		144,462.75
SBXPB5R0	APPALACHIAN PWR CO 4.45% DUE 06-01-2045	Acquisitions	70,000.00	-69,368.60	
		Dispositions	-70,000.00		70,414.40
C037833DG2	APPLE INC FIXED 3.75% 11-13-2047	Acquisitions	50,000.00	-51,204.50	
		Dispositions	-50,000.00		50,236.50
C037833AZ3	APPLE INC 2.5% DUE 02-09-2025	Acquisitions	290,000.00	-277,904.40	
		Dispositions	-395,000.00		377,299.80
		Acquisitions	65,000.00	-61,486.10	
		Dispositions	-65,000.00		61,227.40

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Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBD3N0X9	APPLE INC 2.85% DUE 05-11-2024	Acquisitions	85,000.00	-82,981.25	
		Dispositions	-275,000.00		266,584.70
C037833BY5	APPLE INC 3.25% DUE 02-23-2026	Acquisitions	100,000.00	-98,036.00	
		Dispositions	-100,000.00		97,356.10
SBF58H35	APPLE INC 3.75% DUE 09-12-2047	Acquisitions	265,000.00	-253,963.15	
		Dispositions	-485,000.00		460,253.85
C037833AL4	APPLE INC 3.85% DUE 05-04-2043	Acquisitions	115,000.00	-111,104.40	
		Dispositions	-440,000.00		431,543.85
SBYX10K7	APPLE INC 3% DUE 11-13-2027	Acquisitions	170,000.00	-167,110.00	
		Dispositions	-170,000.00		162,557.70
SBDVPX83	APPLE INC 4.25% DUE 02-09-2047	Acquisitions	135,000.00	-138,360.15	
		Dispositions	-135,000.00		140,345.90
C037833BX7	APPLE INC 4.65% DUE 02-23-2046	Acquisitions	540,000.00	-597,788.00	
		Dispositions	-1,055,000.00		1,144,472.35
SB450GW6	APPLIED MATLS INC 5.85% DUE 06-15-2041	Acquisitions	75,000.00	-89,144.25	
		Dispositions	-75,000.00		88,961.25
SBFX84N6	ARIZONA PUB SVC CO 4.2% DUE 08-15-2048	Acquisitions	150,000.00	-149,203.20	
		Dispositions	-150,000.00		146,766.00
SBDTYM18	ASTRAZENECA PLC 4.375% 08-17-2048	Acquisitions	270,000.00	-267,800.40	
		Dispositions	-270,000.00		261,111.60
SBDTYM07	ASTRAZENECA PLC 4% DUE 01-17-2029	Acquisitions	130,000.00	-129,467.00	
		Dispositions	-130,000.00		127,756.20
SBD3HCY6	AT&T INC 4.5% DUE 03-09-2048	Acquisitions	60,000.00	-54,000.00	
		Dispositions	-1,198,000.00		1,083,529.84
SBD3HCX5	AT&T INC 4.55% DUE 03-09-2049	Acquisitions	175,000.00	-158,020.05	

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Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBX2H2X6	AT&T INC 4.75% DUE 05-15-2046	Dispositions	-861,000.00		755,803.35
		Acquisitions	270,000.00	-255,285.90	
SBN8KPY1	AT&T INC 4.8% DUE 06-15-2044	Dispositions	-1,105,000.00		1,026,579.87
		Acquisitions	100,000.00	-94,888.35	
SBYY3Z96	AT&T INC 5.15% DUE 03-15-2042	Dispositions	-540,000.00		501,544.60
		Acquisitions	215,000.00	-204,303.30	
SBDCL4L0	AT&T INC 5.25% DUE 03-01-2037	Dispositions	-290,000.00		282,224.35
		Acquisitions	125,000.00	-126,327.00	
SB4WPQV3	AT&T INC 5.35% DUE 09-01-2040	Dispositions	-125,000.00		127,356.75
		Acquisitions	70,000.00	-69,725.60	
SBDCL4K9	AT&T INC 5.45% DUE 03-01-2047	Dispositions	-140,000.00		138,303.20
		Acquisitions	705,000.00	-730,913.55	
SBDCL4M1	AT&T INC 5.7% DUE 03-01-2057	Dispositions	-705,000.00		706,955.00
		Acquisitions	165,000.00	-174,258.60	
SBD8P267	AT&T INC 6.35% DUE 03-15-2040	Dispositions	-165,000.00		167,736.55
		Acquisitions	60,000.00	-66,986.40	
SBD8P278	AT&T INC 6.375% DUE 03-01-2041	Dispositions	-60,000.00		65,642.40
		Acquisitions	85,000.00	-93,779.15	
SBB0R386	AT&T INC 6.5% DUE 09-01-2037	Dispositions	-85,000.00		92,708.65
		Acquisitions	40,000.00	-44,934.00	
SBD20MH9	AT&T INC 6% DUE 08-15-2040	Dispositions	-40,000.00		44,586.40
		Acquisitions	190,000.00	-204,187.20	
C05348EBE8	AVALONBAY CMNTYS INC MEDIUM TERM 4.35% 04-15-2048	Dispositions	-190,000.00		201,006.70
		Acquisitions	125,000.00	-124,767.50	
SBF19282	AVALONBAY CMNTYS 3.35% DUE 05-15-2027	Dispositions	-125,000.00		125,032.55

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBXC5VY4	AVALONBAY CMNTYS 3.45% DUE 06-01-2025	Acquisitions	75,000.00	-71,445.00	
		Dispositions	-75,000.00		72,258.30
SBDCFTQ2	AVALONBAY 2.9% DUE 10-15-2026	Acquisitions	35,000.00	-34,493.55	
		Dispositions	-35,000.00		34,060.25
SBD5W7Z5	AVALONBAY 3.2% DUE 01-15-2028	Acquisitions	30,000.00	-27,820.80	
		Dispositions	-30,000.00		28,194.60
C05526DBE4	B A T CAP CORP 4.54% DUE 08-15-2047	Acquisitions	70,000.00	-64,945.30	
		Dispositions	-70,000.00		66,564.90
SB57Y827	BAKER HUGHES A GE CO LLC 5.125% DUE 09-15-2040	Acquisitions	105,000.00	-100,712.85	
		Dispositions	-620,000.00		588,693.90
SBG0PVG9	BAKER HUGHES A GE CO LLC/BAKER HUGHES 4.08% 12-15-2047	Acquisitions	40,000.00	-42,339.20	
		Dispositions	-40,000.00		41,869.20
SBYMX8C2	BANK AMER CORP BNDS 4.443% 01-20-2048	Acquisitions	205,000.00	-199,406.50	
		Dispositions	-475,000.00		428,298.00
SBYM4WN4	BANK AMER CORP FIXED 3.824% 01-20-2028	Acquisitions	50,000.00	-49,901.50	
		Dispositions	-50,000.00		49,330.50
SBFNNW71	BANK AMER CORP FIXED 3.864% 07-23-2024	Acquisitions	115,000.00	-113,522.80	
		Dispositions	-475,000.00		468,074.80
SBD9MXC0	BANK AMER CORP FIXED 3.97% 03-05-2029	Acquisitions	995,000.00	-995,348.75	
		Dispositions	-995,000.00		993,268.70
SBF8F0W3	BANK AMER CORP FLTG RT 2.369% DUE 07-21-2021	Acquisitions	620,000.00	-616,598.90	
		Dispositions	-620,000.00		608,958.80
SBYWC108	BANK AMER CORP FLTG RT 2.881% DUE 04-24-2023	Acquisitions	70,000.00	-69,188.00	
		Dispositions	-350,000.00		347,274.20
		Acquisitions	425,000.00	-418,402.30	
		Dispositions	-425,000.00		414,247.30

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBF8F0V2	BANK AMER CORP FLTG RT 3.593% DUE 07-21-2028	Acquisitions	275,000.00	-263,036.45	
		Dispositions	-275,000.00		261,426.10
SBYWC0C3	BANK AMER CORP FLTG RT 4.244% DUE 04-24-2038	Acquisitions	50,000.00	-50,331.00	
		Dispositions	-670,000.00		655,826.15
SBFM3F84	BANK AMER CORP FLTG RT 4.271% DUE 07-23-2029	Acquisitions	1,105,000.00	-1,107,386.05	
		Dispositions	-1,105,000.00		1,112,905.74
SBYVQ0T3	BANK AMER CORP VAR RT 3.124% DUE 01-20-2023	Acquisitions	85,000.00	-83,528.65	
		Dispositions	-85,000.00		83,380.75
SBD46DX8	BANK AMER CORP 2.738% 01-23-2022	Acquisitions	1,275,000.00	-1,275,000.00	
		Dispositions	-1,275,000.00		1,257,742.65
SBD49X71	BANK AMER CORP 3.946% DUE 01-23-2049	Acquisitions	485,000.00	-479,509.90	
		Dispositions	-485,000.00		451,047.95
SB8WR844	BANK AMER CORP 5.875% DUE 02-07-2042	Acquisitions	75,000.00	-90,444.00	
		Dispositions	-175,000.00		208,988.93
SBJ7B592	BANK AMER CORP 5% DUE 01-21-2044	Acquisitions	65,000.00	-68,060.20	
		Dispositions	-235,000.00		250,383.10
SBFN8M10	BANK OF AMERICA CORP 3.55% 03-05-2024	Acquisitions	405,000.00	-403,758.00	
		Dispositions	-405,000.00		403,428.00
SBZCGFM7	BANK OF MONTREAL MEDIUM TERM NTS 1.9% 08-27-2021	Acquisitions	570,000.00	-549,480.00	
		Dispositions	-570,000.00		547,522.80
SBFNJ8C6	BARCLAYS BK PLC 2.65% DUE 01-11-2021 REG	Acquisitions	235,000.00	-234,772.05	
		Dispositions	-235,000.00		230,739.45
SBWDQJG7	BARCLAYS PLC 3.65% DUE 03-16-2025	Acquisitions	50,000.00	-48,185.00	
		Dispositions	-50,000.00		47,024.00
SBDCL313	BARCLAYS PLC 4.338% 05-16-2024	Acquisitions	220,000.00	-217,767.00	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBD72S38	BARCLAYS PLC 4.95% DUE 01-10-2047	Dispositions	-220,000.00		216,865.00
		Acquisitions	455,000.00	-468,810.50	
SBDCL2Z0	BARCLAYS PLC 4.972% 05-16-2029	Dispositions	-985,000.00		1,013,580.93
		Acquisitions	1,085,000.00	-1,085,698.75	
SBYWR2D3	BARCLAYS PLC 5.25% DUE 08-17-2045	Dispositions	-1,085,000.00		1,077,025.00
		Acquisitions	655,000.00	-726,684.50	
SB8L1XS6	BARRICK GOLD CORP 5.25% DUE 04-01-2042	Dispositions	-655,000.00		681,911.15
		Acquisitions	80,000.00	-83,205.60	
SBCRWZ96	BARRICK N AMER FIN 5.75% DUE 05-01-2043	Dispositions	-115,000.00		118,731.15
		Acquisitions	90,000.00	-99,527.80	
SBG48MX8	BARRICK PD 5.95% DUE 10-15-2039	Dispositions	-90,000.00		98,811.90
		Acquisitions	55,000.00	-61,797.45	
SBYN15Z7	BAXALTA INC 4% DUE 06-23-2025	Dispositions	-155,000.00		169,638.20
		Acquisitions	190,000.00	-189,521.20	
SBYZMHK7	BAYER US FIN II 4.375% DUE 12-15-2028	Dispositions	-190,000.00		190,868.68
		Acquisitions	180,000.00	-177,544.80	
SBD103H7	BAYER US FIN II 4.875% DUE 06-25-2048	Dispositions	-180,000.00		175,471.20
		Acquisitions	60,000.00	-60,748.20	
C0778FPAA7	BELL CANADA 4.464% 04-01-2048	Dispositions	-60,000.00		57,753.60
		Acquisitions	270,000.00	-268,768.00	
SBYW5431	BERKSHIRE HATHAWAY FIN CORP 4.2% DUE 08-15-2048 REG	Dispositions	-270,000.00		266,396.75
		Acquisitions	510,000.00	-507,840.60	
SB630666	BERKSHIRE HATHAWAY 4.4% DUE 05-15-2042	Dispositions	-510,000.00		507,999.90
		Acquisitions	50,000.00	-50,909.00	
SBFD3PF0	BHP BILLITON FIN 5% DUE 09-30-2043	Dispositions	-50,000.00		50,809.50

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB8YB6B7	BK OF AMER NA 6 DUE 10-15-2036	Acquisitions	30,000.00	-33,290.10	
		Dispositions	-120,000.00		133,931.20
SBDTYM63	BLACK HILLS CORP 4.35% DUE 05-01-2033	Acquisitions	55,000.00	-66,092.95	
		Dispositions	-55,000.00		65,091.40
C097023BY0	BOEING CO FIXED 3.55% DUE 03-01-2038	Acquisitions	135,000.00	-134,696.30	
		Dispositions	-135,000.00		130,786.65
SBZBZQ11	BOEING CO 3.65% DUE 03-01-2047	Acquisitions	260,000.00	-248,104.20	
		Dispositions	-260,000.00		244,865.40
SBD9C1J3	BRIGHTHOUSE FINL INC 3.7% 06-22-2027	Acquisitions	70,000.00	-66,895.50	
		Dispositions	-70,000.00		66,302.60
SB23XQ67	BRITISH TELECOMMUNICATIONS P L C NT STEPUP 12-15-2030 12-12-2000	Acquisitions	110,000.00	-98,210.20	
		Dispositions	-110,000.00		98,073.45
SBDQZ645	BROADCOM CORP / 3.875% 144A 01-15-2027	Acquisitions	145,000.00	-205,122.80	
		Dispositions	-145,000.00		206,162.45
SBDRTBT6	BROADCOM CORP/ CAYMN FI 3.875% 01-15-2027	Acquisitions	160,000.00	-157,294.40	
		Dispositions	-60,000.00		58,372.20
SBGB6G11	BSTN SCIENTIFIC 4% 03-01-2028	Acquisitions	325,000.00	-305,749.40	
		Dispositions	-425,000.00		399,228.85
SBYP8NL8	BURL NORTHN SANTA 3.9% DUE 08-01-2046	Acquisitions	135,000.00	-134,767.80	
		Dispositions	-135,000.00		133,508.25
SBFMN5B7	BURLINGTN N SANTA FIXED 4.05% DUE 06-15-2048	Acquisitions	110,000.00	-106,120.40	
		Dispositions	-110,000.00		103,761.90
SBG7PB06	BURLINGTON NORTHERN SANTA FE LLC 4.15% 12-15-2048	Acquisitions	220,000.00	-216,905.00	
		Dispositions	-220,000.00		216,920.80
		Acquisitions	290,000.00	-287,875.75	
		Dispositions	-290,000.00		286,888.50

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBKH0LR6	BURLINGTON NORTHN SANTA FE LLC 4.9 DUE 04-01-2044 REG	Acquisitions	70,000.00	-79,019.50	
		Dispositions	-70,000.00		75,487.30
SBQ26P46	BURLINGTON NORTHN SANTA 4.55 09-01-2044	Acquisitions	135,000.00	-142,063.30	
		Dispositions	-135,000.00		139,526.55
SB52J623	CALIFORNIA ST 7.625% 03-01-2040 BEO TAXABLE	Acquisitions	25,000.00	-36,731.75	
		Dispositions	-25,000.00		36,177.00
SBG1XJ13	CAMPBELL SOUP CO 3.65% 03-16-2018	Acquisitions	195,000.00	-194,709.45	
		Dispositions	-195,000.00		195,013.65
SBG1XJ24	CAMPBELL SOUP CO 4.15% 03-15-2028	Acquisitions	110,000.00	-109,289.40	
		Dispositions	-110,000.00		103,617.80
SBFXCMY5	CAMPBELL SOUP CO 4.8% 03-15-2048	Acquisitions	185,000.00	-184,124.95	
		Dispositions	-185,000.00		165,794.60
C136375CP5	CANADIAN NATL RY CO 3.65% 02-03-2048	Acquisitions	270,000.00	-263,974.40	
		Dispositions	-270,000.00		252,563.25
SBFXCN20	CAP 1 FINL CORP 3.2% DUE 01-30-2023	Acquisitions	25,000.00	-24,531.75	
		Dispositions	-25,000.00		24,364.75
SBFXS0V6	CAP 1 FINL CORP 3.8% DUE 01-31-2028	Acquisitions	835,000.00	-832,239.40	
		Dispositions	-835,000.00		796,567.10
SBD0P6K7	CAP 1 NATL ASSN 2.35% DUE 01-31-2020	Acquisitions	215,000.00	-212,167.25	
		Dispositions	-235,000.00		231,890.40
SB8BMVV8	CAPITAL ONE BK USA NATL ASSN GLEN ALLEN 3.375 DUE 02-15-2023 REG	Acquisitions	25,000.00	-24,388.50	
		Dispositions	-25,000.00		24,321.50
SBDFOBGR7	CAPITAL ONE NATL ASSN MCLEAN VA BNDS 2.65% DUE 08-08-2022	Acquisitions	95,000.00	-90,595.80	
		Dispositions	-95,000.00		91,709.08
SBVB2PG2	CARDINAL HLTH INC 4.5% DUE 11-15-2044	Acquisitions	90,000.00	-81,820.80	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBF47XX5	CDN NAT RES LTD FIXED 4.95% DUE 06-01-2047	Dispositions	-90,000.00		80,397.00
		Acquisitions	185,000.00	-190,083.80	
SBF47XV3	CDN NAT RES LTD 3.85% DUE 06-01-2027	Dispositions	-185,000.00		191,671.00
		Acquisitions	50,000.00	-49,008.00	
SB7YB643	CDN NAT RES LTD 6.25% DUE 03-15-2038	Dispositions	-50,000.00		48,613.00
		Acquisitions	120,000.00	-140,409.60	
SB2NNGB2	CDN NAT RES LTD 6.75% DUE 02-01-2039	Dispositions	-120,000.00		140,743.20
		Acquisitions	145,000.00	-178,565.50	
C136375CK6	CDN NATL RY CO 3.2% DUE 08-02-2046	Dispositions	-145,000.00		177,200.15
		Acquisitions	40,000.00	-34,777.20	
SB27ZWG1	CDN NATL RY CO 6.375% DUE 11-15-2037	Dispositions	-40,000.00		34,208.40
		Acquisitions	55,000.00	-70,828.45	
C13645RAY0	CDN PAC RY CO NEW FIXED 4% 06-01-2028	Dispositions	-55,000.00		69,387.45
		Acquisitions	245,000.00	-244,774.60	
SBF47XY6	CDN PAC RY CO NEW 6.125% DUE 09-15-2115	Dispositions	-245,000.00		246,579.05
		Acquisitions	20,000.00	-24,559.20	
C151020AW4	CELGENE CORP FIXED 4.35% DUE 11-15-2047	Dispositions	-55,000.00		65,684.85
		Acquisitions	95,000.00	-97,450.05	
C151020BA1	CELGENE CORP 3.25% DUE 02-20-2023	Dispositions	-500,000.00		456,696.79
		Acquisitions	70,000.00	-69,830.60	
C151020BB9	CELGENE CORP 3.9% 02-20-2028	Dispositions	-70,000.00		69,432.30
		Acquisitions	625,000.00	-618,754.05	
C151020AZ7	CELGENE CORP 4.55% 02-20-2048	Dispositions	-625,000.00		608,464.95
		Acquisitions	730,000.00	-719,395.75	
C151020AM6	CELGENE CORP 4.625% DUE 05-15-2044	Dispositions	-730,000.00		706,063.00

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Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYQH7V2	CELGENE CORP 5% DUE 08-15-2045	Acquisitions	75,000.00	-71,085.75	
		Dispositions	-290,000.00		272,373.80
SBFYKKZ5	CENTERPOINT ENERGY RES CORP 4.0% 04-01-2028	Acquisitions	50,000.00	-53,010.50	
		Dispositions	-225,000.00		235,298.20
SBFYS3F0	CENTERPOINT ENERGY 3.95% 03-01-2048	Acquisitions	65,000.00	-64,962.30	
		Dispositions	-65,000.00		64,224.55
SBFY3BX9	CHARTER FIXED 5.75% DUE 04-01-2048	Acquisitions	145,000.00	-144,999.90	
		Dispositions	-145,000.00		142,553.15
SBD6JMQ1	CHARTER 4.5% DUE 02-01-2024	Acquisitions	135,000.00	-135,125.90	
		Dispositions	-135,000.00		138,899.50
SBFY3CF8	CHARTER 5.375% DUE 04-01-2038	Acquisitions	320,000.00	-319,657.60	
		Dispositions	-320,000.00		321,868.80
SBFF9P78	CHARTER 5.375% 05-01-2047	Acquisitions	230,000.00	-229,710.45	
		Dispositions	-230,000.00		223,341.40
SBZ06JT4	CHARTER 6.384% DUE 10-23-2035	Acquisitions	245,000.00	-250,909.40	
		Dispositions	-430,000.00		403,147.70
SBYQ7R23	CHARTER 6.484% DUE 10-23-2045	Acquisitions	240,000.00	-255,579.40	
		Dispositions	-240,000.00		264,843.93
SBD3JMW0	CHARTER 6.834% DUE 10-23-2055	Acquisitions	275,000.00	-302,135.35	
		Dispositions	-440,000.00		470,198.55
SB1XD1V0	CHUBB CORP 6% DUE 05-11-2037	Acquisitions	195,000.00	-218,858.25	
		Dispositions	-195,000.00		211,056.55
SBYSRKF5	CHUBB INA HOLDINGS 4.35% DUE 11-03-2045	Acquisitions	40,000.00	-48,988.00	
		Dispositions	-40,000.00		48,282.40
		Acquisitions	75,000.00	-82,905.75	
		Dispositions	-470,000.00		483,960.65

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBF58H46	CIGNA CORP FIXED 3.05% DUE 10-15-2027	Acquisitions	125,000.00	-113,468.75	
		Dispositions	-125,000.00		112,291.25
SBFMTXQ6	CITIGROUP INC FIXED 3.878% DUE 01-24-2039	Acquisitions	645,000.00	-633,368.90	
		Dispositions	-645,000.00		613,635.35
SBF347Y6	CITIGROUP INC FLTG RT 3.668% DUE 07-24-2028	Acquisitions	460,000.00	-438,986.85	
		Dispositions	-985,000.00		936,979.65
SBFZP4Y6	CITIGROUP INC FLTG RT 4.075% DUE 04-23-2029	Acquisitions	430,000.00	-427,886.25	
		Dispositions	-430,000.00		422,421.20
SBF34865	CITIGROUP INC 2.876% DUE 07-24-2023	Acquisitions	115,000.00	-112,363.20	
		Dispositions	-1,660,000.00		1,616,381.10
SBFMTXR7	CITIGROUP INC 3.142% 01-24-2023	Acquisitions	185,000.00	-185,000.00	
		Dispositions	-185,000.00		183,667.75
SBYWG4Z6	CITIGROUP INC 3.52% 10-27-2028	Acquisitions	10,000.00	-9,422.20	
		Dispositions	-315,000.00		307,994.35
SBDDXTT8	CITIGROUP INC 3.887% 01-10-2028	Acquisitions	445,000.00	-433,354.70	
		Dispositions	-1,185,000.00		1,148,931.70
SBD0MGQ4	CITIGROUP INC 4.044% 06-01-2024	Acquisitions	440,000.00	-441,943.00	
		Dispositions	-440,000.00		443,923.80
SBFMLH37	CITIGROUP INC 4.65% DUE 07-23-2048	Acquisitions	1,205,000.00	-1,215,169.80	
		Dispositions	-1,205,000.00		1,211,570.95
SBYTHPH1	CITIGROUP INC 4.65% DUE 07-30-2045	Acquisitions	160,000.00	-161,336.85	
		Dispositions	-160,000.00		160,088.00
SBDGLCL2	CITIGROUP INC 4.75% DUE 05-18-2046	Acquisitions	170,000.00	-166,838.65	
		Dispositions	-590,000.00		590,257.20
SBMH4H85	CITIGROUP INC 5.3 DUE 05-06-2044	Acquisitions	35,000.00	-38,275.30	

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB8WTN90	CITIGROUP INC 5.875 DUE 01-30-2042	Dispositions	-35,000.00		37,028.95
		Acquisitions	40,000.00	-46,904.40	
SBDC7485	CITIGROUP INC 6.675% DUE 09-13-2043	Dispositions	-40,000.00		47,239.20
		Acquisitions	95,000.00	-115,029.40	
SB8G0DX3	CITIGROUP INC 8.125% DUE 07-15-2039	Dispositions	-95,000.00		116,360.75
		Acquisitions	45,000.00	-64,520.55	
C17858PAB7	CITY OF HOPE 4.378% DUE 08-15-2048	Dispositions	-45,000.00		64,813.95
		Acquisitions	125,000.00	-125,000.00	
SBFWQ3V2	COMCAST CORP NEW FIXED 3.969% DUE 11-01-2047	Dispositions	-125,000.00		121,561.25
		Acquisitions	175,000.00	-156,515.90	
SBFW31L9	COMCAST CORP NEW FIXED 3.999% DUE 11-01-2049	Dispositions	-441,000.00		392,107.59
		Acquisitions	155,000.00	-139,858.80	
SB614024	COMCAST CORP NEW SR NT 6.55 DUE 07-01-2039	Dispositions	-1,426,000.00		1,273,165.19
		Acquisitions	35,000.00	-42,360.85	
SBDFL086	COMCAST CORP NEW 2.35% DUE 01-15-2027	Dispositions	-35,000.00		42,252.00
		Acquisitions	130,000.00	-116,638.90	
SBF22PP8	COMCAST CORP NEW 3.15% DUE 02-15-2028	Dispositions	-130,000.00		115,223.94
		Acquisitions	125,000.00	-116,892.50	
SBFY1MY1	COMCAST CORP NEW 3.55% DUE 05-01-2028	Dispositions	-205,000.00		191,491.40
		Acquisitions	360,000.00	-358,282.05	
SBFY1N04	COMCAST CORP NEW 3.9% DUE 03-01-2038	Dispositions	-360,000.00		350,333.80
		Acquisitions	240,000.00	-238,836.00	
SBFWQ3S9	COMCAST CORP NEW 4.049% DUE 11-01-2052	Dispositions	-240,000.00		219,837.60
		Acquisitions	415,000.00	-370,401.25	
SBY58YR1	COMCAST CORP NEW 4.4% DUE 08-15-2035	Dispositions	-988,000.00		894,733.04
		Acquisitions			

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
C20030NBQ3	COMCAST CORP NEW 4.6% DUE 08-15-2045	Acquisitions	45,000.00	-46,500.75	
		Dispositions	-45,000.00		44,026.65
SB7TGGL0	COMCAST CORP NEW 4.65% DUE 07-15-2042	Acquisitions	155,000.00	-150,349.50	
		Dispositions	-155,000.00		150,412.65
SBJZXTF4	COMCAST CORP NEW 4.75% DUE 03-01-2044	Acquisitions	55,000.00	-52,902.30	
		Dispositions	-55,000.00		53,879.10
SBFY1YN4	COMCAST CORP NEW 4% DUE 03-01-2048	Acquisitions	50,000.00	-49,976.50	
		Dispositions	-50,000.00		49,742.50
SBF22PR0	COMCAST CORP NEW 4% DUE 08-15-2047	Acquisitions	270,000.00	-263,787.15	
		Dispositions	-270,000.00		241,484.05
SB0B7ZQ0	COMCAST CORP NEW 5.65% DUE 06-15-2035	Acquisitions	110,000.00	-103,277.60	
		Dispositions	-110,000.00		98,155.20
SB97R909	COMCAST CORP 4.5 BDS DUE 01-15-2043 REG	Acquisitions	110,000.00	-136,194.30	
		Dispositions	-110,000.00		123,654.30
SBFXKXD5	COMMONWEALTH EDISON CO 3.7% 08-15-2028	Acquisitions	75,000.00	-71,494.65	
		Dispositions	-235,000.00		226,891.10
SBFYRPY0	COMMONWEALTH EDISON CO 4.0% DUE 03-01-2048 REG	Acquisitions	50,000.00	-49,880.00	
		Dispositions	-50,000.00		49,646.50
SBFB5M35	CONCHO RES INC 3.75% DUE 10-01-2027	Acquisitions	110,000.00	-109,979.10	
		Dispositions	-110,000.00		107,976.50
SBFWS329	CONCHO RES INC 4.85% DUE 08-15-2048	Acquisitions	175,000.00	-169,598.25	
		Dispositions	-175,000.00		166,785.50
SBFB5MG8	CONCHO RES INC 4.875% DUE 10-01-2047	Acquisitions	325,000.00	-326,083.20	
		Dispositions	-325,000.00		328,336.61
		Acquisitions	230,000.00	-232,104.15	
		Dispositions	-230,000.00		232,227.90

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
C20605PAK7	CONCHO RESOURCES INC 4.3% 06-18-2028	Acquisitions	325,000.00	-323,337.80	
		Dispositions	-325,000.00		325,903.22
SBG48S85	CONOCO FDG CO 7.25% DUE 10-15-2031	Acquisitions	30,000.00	-38,540.40	
		Dispositions	-30,000.00		38,528.10
SBZ78LD1	CONOCOPHILLIPS CO 5.95% DUE 03-15-2046	Acquisitions	85,000.00	-107,257.25	
		Dispositions	-195,000.00		248,405.95
SB4T4431	CONOCOPHILLIPS GTD NT 6.5 DUE 02-15-2039REG	Acquisitions	225,000.00	-291,620.55	
		Dispositions	-225,000.00		294,526.68
SB8MJ1K7	CONS EDISON CO N Y 3.95% DUE 03-01-2043	Acquisitions	70,000.00	-69,188.00	
		Dispositions	-70,000.00		66,244.50
SBD3GJ31	CONS EDISON CO N Y 4.3% DUE 12-01-2056	Acquisitions	65,000.00	-64,742.60	
		Dispositions	-195,000.00		185,386.50
SBFZYKL2	CONS EDISON CO N Y 4.5% DUE 05-15-2058	Acquisitions	105,000.00	-104,515.95	
		Dispositions	-105,000.00		102,918.90
SB5M23K9	CONS EDISON CO N Y 5.7% DUE 06-15-2040	Acquisitions	35,000.00	-41,928.95	
		Dispositions	-35,000.00		40,439.70
SBYWRSV3	CONSTELLATION 2.65% DUE 11-07-2022	Acquisitions	270,000.00	-261,027.90	
		Dispositions	-270,000.00		258,408.60
SBF42ZJ0	CONSTELLATION 4.1% 02-15-2048	Acquisitions	55,000.00	-52,415.00	
		Dispositions	-55,000.00		50,861.80
SBDGKT74	CONSTELLATION 4.5% DUE 05-09-2047	Acquisitions	65,000.00	-70,224.70	
		Dispositions	-390,000.00		370,621.95
SBD2NLC6	CONSUMERS ENERGY 4.05% DUE 05-15-2048	Acquisitions	130,000.00	-128,662.30	
		Dispositions	-130,000.00		127,484.50
SBZ02873	CONTL RES INC FIXED 3.8% DUE 06-01-2024	Acquisitions	155,000.00	-150,766.80	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBF19CF9	CONTL RES INC 4.375% DUE 01-15-2028	Dispositions	-155,000.00		151,253.65
		Acquisitions	100,000.00	-98,917.00	
SBYN68H5	CONTL RES INC 4.9% DUE 06-01-2044	Dispositions	-235,000.00		231,808.70
		Acquisitions	55,000.00	-53,409.95	
C21684AAB2	COOPERATIEVE CENTRALE RAIFFEISEN BOERENL5.75 DUE 12-01-2043 REG	Dispositions	-55,000.00		53,538.65
		Acquisitions	100,000.00	-113,224.00	
C21688AAD4	COOPERATIEVE CENTRALE RAIFFEISEN 2.25% 01-14-2020	Dispositions	-100,000.00		111,683.00
		Acquisitions	665,000.00	-658,680.20	
C21684AAD8	COOPERATIEVE RABOBANK U A 5.25% DUE 08-04-2045 BEO	Dispositions	-665,000.00		657,505.45
		Acquisitions	350,000.00	-387,444.90	
C21684AAA4	COOPERATIEVE RABOBANK UA 4.625% DUE 12-01-2023 REG	Dispositions	-405,000.00		427,900.75
		Acquisitions	80,000.00	-80,827.20	
SBDFM4F4	COOPERATIEVE 2.5% DUE 01-19-2021	Dispositions	-80,000.00		81,568.80
		Acquisitions	290,000.00	-285,516.60	
SBYW41H1	COOPERATIEVE 4.375% DUE 08-04-2025	Dispositions	-290,000.00		284,142.00
		Acquisitions	370,000.00	-365,076.45	
C21685WCJ4	COOPERATIEVE 5.25% DUE 05-24-2041	Dispositions	-425,000.00		420,897.10
		Acquisitions	60,000.00	-66,817.80	
SBF8QCP3	CORNING INC 4.375% 11-15-2057	Dispositions	-60,000.00		67,458.60
		Acquisitions	85,000.00	-83,795.55	
C225401AF5	CR SUISSE GROUP AG FLTG RT 3.869% DUE 01-12-2029	Dispositions	-85,000.00		74,350.35
		Acquisitions	385,000.00	-383,328.00	
C126408HK2	CSX CORP FIXED 4.3% DUE 03-01-2048	Dispositions	-385,000.00		373,912.30
		Acquisitions	860,000.00	-848,973.90	
C126408HL0	CSX CORP FIXED 4.65% DUE 03-01-2068	Dispositions	-860,000.00		835,395.45

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBD9NGW4	CSX CORP 2.6% DUE 11-01-2026	Acquisitions	130,000.00	-125,277.45	
		Dispositions	-130,000.00		124,924.80
SBF3VPY3	CSX CORP 3.8% DUE 03-01-2028	Acquisitions	140,000.00	-127,208.20	
		Dispositions	-140,000.00		127,723.40
SBH458J4	CVS CAREMARK CORP 5.3 DUE 12-05-2043	Acquisitions	110,000.00	-110,139.10	
		Dispositions	-110,000.00		109,238.80
SBD72452	CVS HEALTH CORP 2.125% DUE 06-01-2021	Acquisitions	110,000.00	-116,227.80	
		Dispositions	-320,000.00		348,202.00
SBF4JKN0	CVS HEALTH CORP 3.7% DUE 03-09-2023	Acquisitions	90,000.00	-87,824.70	
		Dispositions	-90,000.00		86,852.70
SBF4JKP2	CVS HEALTH CORP 4.1% DUE 03-25-2025	Acquisitions	945,000.00	-936,737.95	
		Dispositions	-945,000.00		942,417.35
SBF3V2P3	CVS HEALTH CORP 4.3% DUE 03-25-2028	Acquisitions	930,000.00	-927,583.00	
		Dispositions	-930,000.00		929,855.52
SBF4JK96	CVS HEALTH CORP 4.78% DUE 03-25-2038	Acquisitions	1,905,000.00	-1,885,807.90	
		Dispositions	-1,905,000.00		1,885,919.60
SBF4JKH4	CVS HEALTH CORP 5.05% DUE 03-25-2048	Acquisitions	890,000.00	-875,281.80	
		Dispositions	-890,000.00		886,124.00
SBYPFC45	CVS HEALTH CORP 5.125% DUE 07-20-2045	Acquisitions	2,420,000.00	-2,454,579.80	
		Dispositions	-2,420,000.00		2,485,952.85
SBFWZ825	DARDEN RESTAURANTS INC 4.55% 02-15-2048	Acquisitions	350,000.00	-375,418.30	
		Dispositions	-880,000.00		908,518.59
SB80L9N4	DEFAULTED PAC GAS & ELEC CO 4.45 DUE 04-15-2042	Acquisitions	255,000.00	-241,910.60	
		Dispositions	-255,000.00		241,745.65
		Acquisitions	50,000.00	-47,671.50	
		Dispositions	-50,000.00		46,862.00

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBWWC535	DELMARVA PWR & LT CO 4.15% DUE 05-15-2045	Acquisitions	40,000.00	-40,311.20	
		Dispositions	-40,000.00		38,523.60
SBYNCY2	DEVON ENERGY CORP FIXED 5% DUE 06-15-2045	Acquisitions	210,000.00	-225,646.60	
		Dispositions	-210,000.00		213,521.10
SB76V362	DEVON ENERGY CORP 3.25% DUE 05-15-2022	Acquisitions	55,000.00	-54,013.85	
		Dispositions	-55,000.00		53,914.30
SBFN08Q3	DEVON ENERGY CORP 4.75% DUE 05-15-2042	Acquisitions	175,000.00	-174,358.35	
		Dispositions	-175,000.00		166,887.00
SB3Q7W10	DEVON ENERGY CORP 5.6 DUE 07-15-2041	Acquisitions	65,000.00	-69,351.10	
		Dispositions	-65,000.00		68,411.85
SBDZSS16	DIGITAL RLTY TR LP 4.45% 07-15-2028	Acquisitions	105,000.00	-104,844.60	
		Dispositions	-105,000.00		104,668.20
C25470DAR0	DISCOVERY 3.95% DUE 03-20-2028	Acquisitions	50,000.00	-47,680.50	
		Dispositions	-50,000.00		47,959.50
SBFY8ZY3	DOLLAR TREE INC FIXED 4.2% DUE 05-15-2028	Acquisitions	200,000.00	-194,114.10	
		Dispositions	-200,000.00		193,998.00
SBFY8ZV0	DOLLAR TREE INC 3.7% DUE 05-15-2023	Acquisitions	195,000.00	-194,637.30	
		Dispositions	-195,000.00		193,734.45
SBFY8ZX2	DOLLAR TREE INC 4% DUE 05-15-2025	Acquisitions	170,000.00	-167,806.70	
		Dispositions	-170,000.00		166,209.00
SBD45B19	DTE ENERGY CO 3.7% 08-01-2023	Acquisitions	275,000.00	-274,568.25	
		Dispositions	-275,000.00		275,791.91
SBYZMHG3	DUKE ENERGY FLA 4.2% DUE 07-15-2048	Acquisitions	345,000.00	-344,666.75	
		Dispositions	-345,000.00		341,898.45
SB4NTXW4	DUKE ENERGY IND 6.45% DUE 04-01-2039	Acquisitions	70,000.00	-91,273.70	

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Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYMXHP8	DUKE ENERGY OH INC 3.7% DUE 06-15-2046	Dispositions	-70,000.00		88,958.80
		Acquisitions	85,000.00	-78,687.05	
SB9G8B85	EASTMAN CHEM CO 4.8% DUE 09-01-2042	Dispositions	-325,000.00		296,429.25
		Acquisitions	50,000.00	-49,391.00	
S2836399	EL PASO ENERGY CORP MTN BOOKTRANCHE # TR00005 7.75 1-15-2032 BEO	Dispositions	-105,000.00		103,935.30
		Acquisitions	35,000.00	-43,306.20	
SBM6NV69	EL PASO PIPELN 4.3% DUE 05-01-2024	Dispositions	-35,000.00		43,747.55
		Acquisitions	40,000.00	-40,445.20	
SBFZYK67	ENABLE MIDSTREAM 4.95% DUE 05-15-2028	Dispositions	-40,000.00		40,335.20
		Acquisitions	50,000.00	-49,598.50	
C29250NAR6	ENBRIDGE INC 3.7% 07-15-2027	Dispositions	-50,000.00		49,308.00
		Acquisitions	140,000.00	-135,346.40	
SBDHTRM1	ENERGY TRANSFER FIXED 5.3% DUE 04-15-2047	Dispositions	-185,000.00		177,662.90
		Acquisitions	105,000.00	-100,840.95	
C29278NAF0	ENERGY TRANSFER 4.95% DUE 06-15-2028	Dispositions	-105,000.00		100,050.30
		Acquisitions	15,000.00	-14,972.85	
C29278NAD5	ENERGY TRANSFER 5.8% DUE 06-15-2038	Dispositions	-15,000.00		15,244.50
		Acquisitions	70,000.00	-69,441.40	
SBFXCN75	ENERGY TRANSFER 6% DUE 06-15-2048	Dispositions	-70,000.00		72,752.40
		Acquisitions	140,000.00	-138,460.00	
SBSM8V15	ENLINK MIDSTREAM PARTNERS LP 5.05% DUE 04-01-2045	Dispositions	-140,000.00		144,122.25
		Acquisitions	60,000.00	-53,425.80	
SBYZ5J66	ENTERPRISE PRODS FIXED 4.9% DUE 05-15-2046	Dispositions	-60,000.00		49,529.60
		Acquisitions	30,000.00	-30,395.40	
SBFY1ZL9	ENTERPRISE PRODS OPER LLC 4.25% 02-15-2048	Dispositions	-30,000.00		30,894.30

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Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB8D1HN7	ENTERPRISE PRODS 4.45 DUE 02-15-2043	Acquisitions	285,000.00	-284,615.25	
		Dispositions	-285,000.00		269,257.25
SB9M5X40	ENTERPRISE PRODS 4.85% DUE 03-15-2044	Acquisitions	70,000.00	-68,623.10	
		Dispositions	-70,000.00		67,413.50
SBYMYK63	EQUINOR ASA 2.75% 11-10-2021	Acquisitions	45,000.00	-46,429.20	
		Dispositions	-170,000.00		175,999.80
SBDD91X4	EVERSOURCE ENERGY 3.3% DUE 01-15-2028	Acquisitions	210,000.00	-207,864.30	
		Dispositions	-210,000.00		207,633.30
SBW9RTS6	EXXON MOBIL CORP 3.567% DUE 03-06-2045	Acquisitions	145,000.00	-144,901.40	
		Dispositions	-145,000.00		135,989.70
SBHZFZM5	FEDEX CORP BOND 5.1 DUE 01-15-2044	Acquisitions	140,000.00	-132,861.40	
		Dispositions	-140,000.00		130,636.80
SBF42ZD4	FEDEX CORP 4.05% DUE 02-15-2048	Acquisitions	115,000.00	-121,305.45	
		Dispositions	-160,000.00		166,283.20
SBV9HFG9	FEDEX CORP 4.1% DUE 02-01-2045	Acquisitions	290,000.00	-273,094.15	
		Dispositions	-290,000.00		265,497.65
SBYYPSG0	FEDEX CORP 4.4% DUE 01-15-2047	Acquisitions	60,000.00	-56,808.60	
		Dispositions	-110,000.00		107,737.30
SBD9Y0B4	FEDEX CORP 4.55% DUE 04-01-2046	Acquisitions	85,000.00	-80,785.70	
		Dispositions	-85,000.00		80,835.00
SBDC3P80	FIRSTENERGY CORP 4.85% 07-15-2047	Acquisitions	175,000.00	-174,302.35	
		Dispositions	-175,000.00		174,845.80
SBG1V081	FL PWR & LT CO 3.95% 03-01-2048	Acquisitions	35,000.00	-35,985.60	
		Dispositions	-35,000.00		35,737.45
		Acquisitions	180,000.00	-179,028.00	
		Dispositions	-180,000.00		175,638.00

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFPMGV8	FOMENTO ECONOMICO 4.375% DUE 05-10-2043	Acquisitions	250,000.00	-244,391.50	
		Dispositions	-410,000.00		401,263.16
SB94Q0Z1	FORD MTR CO DEL NT 4.75 01-15-2043	Acquisitions	100,000.00	-88,088.60	
		Dispositions	-825,000.00		709,474.65
SBYPL1V3	FORD MTR CO DEL 5.291% DUE 12-08-2046	Acquisitions	55,000.00	-51,607.60	
		Dispositions	-370,000.00		352,548.00
S2445643	FORD MTR CO DEL 7.45% DUE 07-16-2031	Acquisitions	160,000.00	-184,452.25	
		Dispositions	-325,000.00		367,128.12
SBFM0W84	FORD MTR CR CO LLC FIXED 3.815% DUE 11-02-2027	Acquisitions	190,000.00	-179,946.00	
		Dispositions	-190,000.00		176,027.40
SBYZ5MF6	FORD MTR CR CO LLC 3.219% DUE 01-09-2022	Acquisitions	35,000.00	-34,258.70	
		Dispositions	-35,000.00		34,172.25
SBD0SY80	FORD MTR CR CO LLC 4.389% DUE 01-08-2026	Acquisitions	155,000.00	-151,042.35	
		Dispositions	-155,000.00		147,240.70
SBG84447	FORD MTR CR CO LLC 4.687% 06-09-2025	Acquisitions	40,000.00	-39,979.20	
		Dispositions	-40,000.00		38,952.80
C999599GH0	FUT DEC 18 CBT 5Y T-NOTE	Free Delivery	-377.00		
		Free Receipt	15.00		
C999599GH0	FUT DEC 18 CBT 5Y T-NOTE	Free Delivery	-15.00		
		Free Receipt	377.00		
C999599GH0	FUT JUN 18 CBT UL T-BONDS	Free Delivery	-14.00		
		Free Receipt	2.00		
C999599GH0	FUT JUN 18 CBT UL T-BONDS	Free Delivery	-2.00		
		Free Receipt	14.00		
C999599GH0	FUT JUN 18 CBT ULT TNOTE	Free Delivery	-5.00		

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
C999599GH0	FUT JUN 18 CBT ULT TNOTE	Free Receipt	14.00		
		Free Delivery	-14.00		
C999599GH0	FUT JUN 18 10 YR T-NOTES	Free Receipt	5.00		
		Free Delivery	-59.00		
C999599GH0	FUT JUN 18 10 YR T-NOTES	Free Receipt	1.00		
		Free Delivery	-1.00		
C999599GH0	FUT MAR 18 CBT ULT TNOTE	Free Receipt	59.00		
		Free Delivery	-5.00		
C999599GH0	FUT MAR 18 CBT ULT TNOTE	Free Receipt	12.00		
		Free Delivery	-12.00		
C999599GH0	FUT MAR 18 US 2YR T-NOTE	Free Receipt	5.00		
		Free Delivery	-24.00		
C999599GH0	FUT MAR 18 US 2YR T-NOTE	Free Receipt	10.00		
		Free Delivery	-10.00		
C999599GH0	FUT MAR 18 10 YR T-NOTES	Free Receipt	24.00		
		Free Delivery	-1.00		
C999599GH0	FUT MAR 18 10 YR T-NOTES	Free Receipt	10.00		
		Free Delivery	-10.00		
C999501AX7	GAC METLIFE CONT.#163 RT. N/A MAT N/A	Free Receipt	1.00		
		Free Delivery	-10.00		
SBYNKFJ8	GE CAP INTL FDG CO 3.373% DUE 11-15-2025	Acquisitions	125,892.33	-125,892.33	
		Dispositions	-125,733.75		125,733.75
SBYNWXZ6	GE CAP INTL FDG CO 4.418% DUE 11-15-2035	Acquisitions	250,000.00	-239,926.50	
		Dispositions	-250,000.00		238,487.50
SB7XW2Z0	GEN ELEC CAP CORP MEDIUM TERM NTS BO TRANCHE # TR 00818 6.875 DUE 01-10-2039	Acquisitions	315,000.00	-312,456.30	
		Dispositions	-2,602,000.00		2,514,903.15

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBCZSZ30	GEN ELEC CAP CORP 6.15% DUE 08-07-2037	Acquisitions	225,000.00	-296,523.35	
		Dispositions	-225,000.00		283,693.50
SBGB6GB1	GEN MTRS FINL CO FIXED 4.15% 06-19-2023	Acquisitions	110,000.00	-134,420.50	
		Dispositions	-305,000.00		354,122.50
SBG5L0T8	GENERAL DYNAMICS CORP 2.875% 11/05/2020	Acquisitions	225,000.00	-224,667.00	
		Dispositions	-225,000.00		226,139.36
SB7T4807	GENERAL ELEC CO 4.125% DUE 10-09-2042	Acquisitions	380,000.00	-378,654.80	
		Dispositions	-380,000.00		383,397.36
SBKRVHN7	GENERAL ELEC CO 4.5% DUE 03-11-2044	Acquisitions	195,000.00	-177,246.30	
		Dispositions	-475,000.00		434,585.95
SBFY3D33	GENERAL MLS INC FIXED 4.55% DUE 04-17-2038	Acquisitions	280,000.00	-276,019.70	
		Dispositions	-325,000.00		315,396.55
SBFY3D22	GENERAL MLS INC 4.2% DUE 04-17-2028	Acquisitions	80,000.00	-79,875.20	
		Dispositions	-80,000.00		76,296.80
SBF6NPZ7	GENERAL MLS INC 4.2% DUE 04-17-2028	Acquisitions	105,000.00	-104,787.90	
		Dispositions	-105,000.00		102,879.00
SBGJNQ03	GENERAL MTRS CO 4.2% DUE 10-01-2027	Acquisitions	190,000.00	-181,748.30	
		Dispositions	-510,000.00		492,139.45
SBV54K36	GENERAL MTRS CO 5.0% 10-01-2028	Acquisitions	115,000.00	-114,959.75	
		Dispositions	-115,000.00		113,206.00
SBD93Q91	GENERAL MTRS CO 5.2% DUE 04-01-2045	Acquisitions	120,000.00	-109,662.00	
		Dispositions	-120,000.00		108,913.20
SBGLRQ81	GENERAL MTRS CO 5.4% 04-01-2048	Acquisitions	130,000.00	-124,057.50	
		Dispositions	-130,000.00		123,161.20
SBGLRQ81	GENERAL MTRS CO 5.95% DUE 04-01-2049	Acquisitions	100,000.00	-100,437.90	
		Dispositions	-100,000.00		99,573.10

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBP8Y7Q3	GENERAL MTRS CO 6.25% DUE 10-02-2043	Acquisitions	90,000.00	-93,457.20	
		Dispositions	-405,000.00		423,340.45
SBYXXH1	GENERAL MTRS CO 6.75% DUE 04-01-2046	Acquisitions	225,000.00	-255,935.70	
		Dispositions	-225,000.00		247,358.25
SBSNBW0	GILEAD SCIENCES 4.5% DUE 02-01-2045	Acquisitions	60,000.00	-60,892.20	
		Dispositions	-265,000.00		273,705.20
C375558BD4	GILEAD SCIENCES 4.75% DUE 03-01-2046	Acquisitions	50,000.00	-51,517.50	
		Dispositions	-50,000.00		51,337.50
SBDCL2V6	GLAXOSMITHKLINE CAP PLC 3.125% 05-14-2021	Acquisitions	265,000.00	-264,287.15	
		Dispositions	-265,000.00		264,117.55
SBF108Q5	GLAXOSMITHKLINE 3.875% DUE 05-15-2028	Acquisitions	665,000.00	-667,984.00	
		Dispositions	-665,000.00		672,231.30
SB88GFC2	GLAXOSMITHKLINE 6.375% DUE 05-15-2038	Acquisitions	225,000.00	-292,809.65	
		Dispositions	-225,000.00		288,927.00
SBG0TC57	GOLDMAN SACHS BK 3.2% DUE 06-05-2020	Acquisitions	315,000.00	-314,927.55	
		Dispositions	-315,000.00		315,604.80
SBZ9NZX2	GOLDMAN SACHS FLTG RT 2.905% DUE 07-24-2023	Acquisitions	505,000.00	-491,007.75	
		Dispositions	-670,000.00		646,729.90
SBYZKX58	GOLDMAN SACHS FLTG RT 4.017% DUE 10-31-2038	Acquisitions	275,000.00	-257,034.10	
		Dispositions	-1,610,000.00		1,499,606.80
SBD1DDX2	GOLDMAN SACHS GROUP INC 3.814% DUE 04-23-2029	Acquisitions	895,000.00	-882,683.50	
		Dispositions	-895,000.00		866,196.80
SBFXTLV6	GOLDMAN SACHS GROUP INC 4.223% 05-01-2029	Acquisitions	1,070,000.00	-1,060,071.80	
		Dispositions	-1,070,000.00		1,060,724.64
SBDT8737	GOLDMAN SACHS 2.6% DUE 12-27-2020	Acquisitions	255,000.00	-251,789.55	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBZ12537	GOLDMAN SACHS 3.5% DUE 11-16-2026	Dispositions	-255,000.00		250,828.20
		Acquisitions	55,000.00	-52,303.35	
SBDFDPG5	GOLDMAN SACHS 3.85% DUE 01-26-2027	Dispositions	-55,000.00		52,600.35
		Acquisitions	225,000.00	-218,867.50	
SBFXTLH2	GOLDMAN SACHS 4.411% DUE 04-23-2039	Dispositions	-225,000.00		219,758.45
		Acquisitions	970,000.00	-958,959.55	
SBYNZDD3	GOLDMAN SACHS 4.75% DUE 10-21-2045	Dispositions	-970,000.00		939,206.60
		Acquisitions	95,000.00	-106,365.80	
SBP4W4X7	GOLDMAN SACHS 4.8% DUE 07-08-2044	Dispositions	-95,000.00		100,200.30
		Acquisitions	40,000.00	-40,650.00	
SBY58YT3	GOLDMAN SACHS 5.15% DUE 05-22-2045	Dispositions	-180,000.00		188,192.75
		Acquisitions	85,000.00	-96,873.45	
SB7M7YX8	GOLDMAN SACHS 6.125% DUE 02-15-2033	Dispositions	-85,000.00		89,264.40
		Acquisitions	50,000.00	-58,090.50	
SB920YP3	GOLDMAN SACHS 6.25% DUE 02-01-2041	Dispositions	-240,000.00		282,081.60
		Acquisitions	225,000.00	-268,615.00	
SB9CMD63	GOLDMAN SACHS 6.75% DUE 10-01-2037	Dispositions	-225,000.00		272,778.69
		Acquisitions	250,000.00	-325,014.25	
SBMJ71M4	GRUPO TELEVISA S A 5% DUE 05-13-2045	Dispositions	-790,000.00		964,952.71
		Acquisitions	100,000.00	-88,875.60	
SBZ1N3D6	GRUPO TELEVISA S A 6.125% DUE 01-31-2046	Dispositions	-150,000.00		140,412.50
		Acquisitions	95,000.00	-110,577.15	
SBDFXP32	HACKENSACK 4.211% 07-01-2048	Dispositions	-95,000.00		105,054.80
		Acquisitions	40,000.00	-40,000.00	
SBYNR6K7	HALLIBURTON CO 4.85% DUE 11-15-2035	Dispositions	-40,000.00		38,931.20

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB3D2F31	HALLIBURTON CO 6.7% DUE 09-15-2038	Acquisitions	105,000.00	-109,249.35	
		Dispositions	-160,000.00		166,748.80
SB63S808	HALLIBURTON CO 7.45% DUE 09-15-2039	Acquisitions	250,000.00	-305,641.40	
		Dispositions	-250,000.00		308,915.00
SBYWFTW5	HARRIS CORP DEL 4.4% DUE 06-15-2028	Acquisitions	40,000.00	-55,502.40	
		Dispositions	-145,000.00		193,296.60
SBX1DG11	HARRIS CORP DEL 4.854% DUE 04-27-2035	Acquisitions	390,000.00	-393,082.30	
		Dispositions	-390,000.00		393,345.30
SBX1DFF8	HARRIS CORP DEL 5.054% DUE 04-27-2045	Acquisitions	40,000.00	-41,535.20	
		Dispositions	-40,000.00		40,756.40
SBFM1RF9	HARTFORD FINL SVCS GROUP INC 4.4% 03-15-2018	Acquisitions	105,000.00	-111,918.20	
		Dispositions	-105,000.00		109,227.30
SB8JV032	HARTFORD FINL SVCS 4.3 DUE 04-15-2043	Acquisitions	165,000.00	-163,345.05	
		Dispositions	-165,000.00		158,083.20
SBFN0JK4	HARTFORD FINL SVCS 6.1% DUE 10-01-2041	Acquisitions	35,000.00	-33,658.10	
		Dispositions	-35,000.00		32,798.15
SBD886H5	HOME DEPOT INC 3.5% DUE 09-15-2056	Acquisitions	50,000.00	-59,370.50	
		Dispositions	-115,000.00		134,712.15
SBDGLXQ4	HOME DEPOT INC 3.9% DUE 06-15-2047	Acquisitions	195,000.00	-175,644.50	
		Dispositions	-360,000.00		313,981.90
SBYQF318	HOME DEPOT INC 4.25% DUE 04-01-2046	Acquisitions	170,000.00	-171,149.00	
		Dispositions	-240,000.00		236,644.95
SB8D60P5	HOME DEPOT INC 5.875% DUE 12-16-2036	Acquisitions	255,000.00	-260,865.55	
		Dispositions	-255,000.00		257,458.20
		Acquisitions	80,000.00	-98,608.00	
		Dispositions	-80,000.00		97,134.40

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFN0JP9	HOME DEPOT INC 5.95% DUE 04-01-2041	Acquisitions	35,000.00	-43,614.55	
		Dispositions	-35,000.00		43,446.90
SBG48WF0	HSBC BK USA N A GLOBAL MEDIUM TERM SUB BNT 5.625 DUE 08-15-2035	Acquisitions	205,000.00	-230,727.25	
		Dispositions	-355,000.00		395,609.80
SBFN0JH1	HSBC BK USA 5.875 11-01-2034	Acquisitions	40,000.00	-45,917.20	
		Dispositions	-40,000.00		45,463.60
SBFX0TH1	HSBC HLDGS PLC FLTG RT 4.292% DUE 09-12-2026	Acquisitions	500,000.00	-498,994.95	
		Dispositions	-500,000.00		494,130.00
SBD0QRM9	HSBC HLDGS PLC 3.9% DUE 05-25-2026	Acquisitions	195,000.00	-200,456.10	
		Dispositions	-360,000.00		354,467.20
SBF243H2	HSBC HLDGS PLC 4.041% 03-13-2028	Acquisitions	555,000.00	-540,686.85	
		Dispositions	-830,000.00		819,090.85
SBD36V02	HSBC HLDGS PLC 4.583% 06-19-2029	Acquisitions	740,000.00	-742,484.85	
		Dispositions	-740,000.00		745,147.85
SBKS7XY7	HSBC HLDGS PLC 5.25% DUE 03-14-2044	Acquisitions	140,000.00	-156,526.00	
		Dispositions	-140,000.00		149,644.30
SB9KBXK6	HSBC HLDGS PLC 6.5% DUE 05-02-2036	Acquisitions	60,000.00	-69,313.80	
		Dispositions	-60,000.00		70,705.80
SBFN0JF9	HSBC HLDGS PLC 6.5% DUE 09-15-2037	Acquisitions	105,000.00	-129,590.70	
		Dispositions	-465,000.00		556,601.60
SBT6SJF8	IN DEFAULT PACIFIC GAS & ELEC CO 0.0% DUE 12-31-2040	Acquisitions	140,000.00	-130,711.20	
		Dispositions	-140,000.00		128,060.80
SBDRM6Q7	IN MICH PWR CO 3.75% DUE 07-01-2047	Acquisitions	45,000.00	-43,411.50	
		Dispositions	-45,000.00		40,481.55
SBFXV4T1	INDIANA MICH PWR CO 4.25% 08-15-2048	Acquisitions	110,000.00	-109,370.80	

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Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFZPKG0	INDIANA UNIV HEALTH INC 3.97% BNDS 11-01-2048	Dispositions	-110,000.00		109,360.75
		Acquisitions	75,000.00	-75,000.00	
SBFMFJQ6	INTEL CORP 3.734% 12-08-2047 BEO	Dispositions	-75,000.00		72,702.00
		Acquisitions	50,000.00	-46,482.50	
SBF3W9R7	INTEL CORP 4.1% DUE 05-11-2047	Dispositions	-430,000.00		405,885.33
		Acquisitions	100,000.00	-100,577.20	
SBDDQWX2	INTEL CORP 4.1% DUE 05-19-2046	Dispositions	-100,000.00		98,668.00
		Acquisitions	140,000.00	-140,107.80	
SBG48X24	INTL BUSINESS 5.6% DUE 11-30-2039	Dispositions	-140,000.00		137,852.40
		Acquisitions	45,000.00	-53,622.00	
SBYYGTQ0	INTL PAPER CO 4.4% DUE 08-15-2047	Dispositions	-45,000.00		53,649.90
		Acquisitions	50,000.00	-46,796.50	
SBFXCNB9	JOHNSON & JOHNSON 3.5% 01-15-2048	Dispositions	-405,000.00		374,468.70
		Acquisitions	100,000.00	-95,236.00	
SBD319Y7	JOHNSON & JOHNSON 3.7% DUE 03-01-2046	Dispositions	-315,000.00		289,069.20
		Acquisitions	40,000.00	-38,319.60	
SB3B2Q29	JOHNSON & JOHNSON 5.85% DUE 07-15-2038	Dispositions	-40,000.00		37,832.80
		Acquisitions	40,000.00	-51,718.00	
SBDH2HN1	JOHNSON CTLS INTL 5.125% DUE 09-14-2045	Dispositions	-40,000.00		50,528.40
		Acquisitions	95,000.00	-99,505.85	
SBG0WP24	JP MORGAN CHASE BK FLTG RT 3.086% DUE 04-26-2021	Dispositions	-95,000.00		101,671.85
		Acquisitions	270,000.00	-270,000.00	
SBDJRJGF7	JPMORGAN CHASE & CO BNDS 3.897% 01-23-2049	Dispositions	-270,000.00		269,870.40
		Acquisitions	575,000.00	-568,937.30	
SBF348D2	JPMORGAN CHASE & CO BNDS 4.032% 07-24-2048	Dispositions	-575,000.00		533,479.80

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Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBZ7NF45	JPMORGAN CHASE & CO BNDS 4.26% 02-22-2048	Acquisitions	135,000.00	-136,583.25	
		Dispositions	-215,000.00		199,122.25
SBD0G1Q1	JPMORGAN CHASE & CO 3.964% DUE 11-15-2048	Acquisitions	125,000.00	-125,263.75	
		Dispositions	-1,125,000.00		1,096,912.70
SB9L4NM6	JPMORGAN CHASE & CO 5.5 DUE 10-15-2040	Acquisitions	75,000.00	-68,221.20	
		Dispositions	-465,000.00		435,461.70
SBG1CVX6	JPMORGAN CHASE & FLTG RT 3.514% DUE 06-18-2022	Acquisitions	50,000.00	-57,109.00	
		Dispositions	-170,000.00		198,555.52
SBFZP532	JPMORGAN CHASE & FLTG RT 3.559% DUE 04-23-2024	Acquisitions	690,000.00	-690,289.00	
		Dispositions	-690,000.00		690,600.30
SBFMYVQ7	JPMORGAN CHASE & 3.797% DUE 07-23-2024	Acquisitions	1,080,000.00	-1,070,686.60	
		Dispositions	-1,080,000.00		1,069,092.40
SBF348B0	JPMORGAN CHASE & 3.882% DUE 07-24-2038	Acquisitions	585,000.00	-584,520.30	
		Dispositions	-585,000.00		584,415.00
SBFZP554	JPMORGAN CHASE & 4.005% DUE 04-23-2029	Acquisitions	305,000.00	-288,822.55	
		Dispositions	-1,245,000.00		1,168,654.65
SBFMW7H4	JPMORGAN CHASE & 4.203% DUE 07-23-2029	Acquisitions	415,000.00	-413,281.95	
		Dispositions	-415,000.00		407,963.40
SB6QKFT9	JPMORGAN CHASE & 5.6% DUE 07-15-2041	Acquisitions	410,000.00	-410,000.00	
		Dispositions	-410,000.00		410,811.35
SBG0DDD4	KANSAS CITY SOUTHN 4.7% DUE 05-01-2048	Acquisitions	80,000.00	-95,811.75	
		Dispositions	-195,000.00		235,151.60
SBG0BPZ4	KELLOGG CO 4.3% DUE 05-15-2028	Acquisitions	180,000.00	-176,418.15	
		Dispositions	-180,000.00		180,077.95
		Acquisitions	315,000.00	-313,367.10	
		Dispositions	-315,000.00		317,090.45

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYMKHZ9	KEYCORP MEDIUM TERM SR NTS 2.9% DUE 09-15-2020	Acquisitions	120,000.00	-121,143.60	
		Dispositions	-120,000.00		119,197.20
SBD0XNK0	KIMCO RLTY CORP 3.8% 04-01-2027	Acquisitions	85,000.00	-81,580.45	
		Dispositions	-85,000.00		80,922.55
SBDS6CH1	KIMCO RLTY CORP 4.125% DUE 12-01-2046	Acquisitions	55,000.00	-48,373.60	
		Dispositions	-255,000.00		224,404.50
SB7W14K7	KINDER MORGAN ENERGY PARTNERS 5.0% 08-15-2042	Acquisitions	85,000.00	-81,526.90	
		Dispositions	-85,000.00		82,492.50
SBFXCNC0	KINDER MORGAN INC 5.2% 03-01-2048	Acquisitions	185,000.00	-184,554.15	
		Dispositions	-185,000.00		183,377.35
SBSWG9S2	KINDER MORGAN INC 5.3% DUE 12-01-2034	Acquisitions	45,000.00	-45,795.60	
		Dispositions	-340,000.00		350,261.20
SBCR4C13	KINDER MORGAN 4.15% DUE 02-01-2024	Acquisitions	85,000.00	-85,633.25	
		Dispositions	-85,000.00		85,916.72
C494550BW5	KINDER MORGAN 5.4% DUE 09-01-2044	Acquisitions	35,000.00	-34,480.25	
		Dispositions	-35,000.00		35,303.45
SBJZXT76	KINDER MORGAN 5.5 DUE 03-01-2044	Acquisitions	35,000.00	-35,763.70	
		Dispositions	-35,000.00		35,058.45
SB4KRMH9	KINDER MORGAN 6.5% DUE 09-01-2039	Acquisitions	40,000.00	-44,644.00	
		Dispositions	-40,000.00		44,747.60
SB3S4PJ8	KINDER MORGAN 6.55% DUE 09-15-2040	Acquisitions	125,000.00	-138,889.90	
		Dispositions	-125,000.00		142,571.25
SB1Z54W6	KINDER MORGAN 6.95% DUE 01-15-2038	Acquisitions	165,000.00	-192,603.70	
		Dispositions	-165,000.00		197,188.20
C50076QAE6	KRAFT FOODS GROUP 5% DUE 06-04-2042	Acquisitions	50,000.00	-49,829.00	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB87MC97	KRAFT FOODS GROUP 6.5% DUE 02-09-2040	Dispositions	-165,000.00		157,048.65
		Acquisitions	135,000.00	-159,785.15	
C50077LAB2	KRAFT HEINZ FOODS 4.375% DUE 06-01-2046	Dispositions	-165,000.00		185,219.10
		Acquisitions	290,000.00	-285,350.90	
C50077LAT3	KRAFT HEINZ FOODS 4.625% DUE 01-30-2029	Dispositions	-290,000.00		258,834.92
		Acquisitions	370,000.00	-367,820.70	
SBDCG0L7	KROGER CO 2.65% DUE 10-15-2026	Dispositions	-370,000.00		367,421.10
		Acquisitions	45,000.00	-40,613.40	
SBRVDCC2	KROGER CO 2.95% DUE 11-01-2021	Dispositions	-80,000.00		71,210.40
		Acquisitions	165,000.00	-162,683.40	
SBYVZCX8	KROGER CO 3.875% DUE 10-15-2046	Dispositions	-165,000.00		162,231.30
		Acquisitions	65,000.00	-55,533.40	
SBYPL1W4	LINCOLN NATL CORP 3.625% DUE 12-12-2026	Dispositions	-515,000.00		431,508.95
		Acquisitions	165,000.00	-157,926.15	
SBDDMLH7	LINCOLN NATL CORP 3.8% 03-01-2028	Dispositions	-165,000.00		159,363.60
		Acquisitions	270,000.00	-269,370.90	
SBDDMLG6	LINCOLN NATL CORP 4.35% 03-01-2048	Dispositions	-270,000.00		259,679.55
		Acquisitions	205,000.00	-202,021.90	
SBF92VX1	LLOYDS BKG GROUP FLTG RT 2.907% DUE 11-07-2023	Dispositions	-205,000.00		190,755.45
		Acquisitions	315,000.00	-301,528.30	
SBF92VV9	LLOYDS BKG GROUP FLTG RT 3.574% 11-07-2028	Dispositions	-315,000.00		300,099.40
		Acquisitions	95,000.00	-87,947.35	
SBG10BS5	LLOYDS BKG GROUP 0% DUE 03-22-2028	Dispositions	-95,000.00		87,380.80
		Acquisitions	250,000.00	-249,117.50	
SBFY2QT7	LLOYDS BKG GROUP 4.45% DUE 05-08-2025	Dispositions	-250,000.00		247,402.70

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBVVVQ07	LOCKHEED MARTIN CORP 2.9% 03-01-2025	Acquisitions	235,000.00	-234,859.00	
		Dispositions	-235,000.00		235,876.85
SBF38WR6	LOCKHEED MARTIN CORP 4.09% 09-15-2052	Acquisitions	130,000.00	-124,931.30	
		Dispositions	-130,000.00		124,338.50
SBDB69Y4	LOCKHEED MARTIN 2.5% DUE 11-23-2020	Acquisitions	340,000.00	-332,672.50	
		Dispositions	-340,000.00		324,100.20
SBDCRZH1	LOCKHEED MARTIN 3.55% DUE 01-15-2026	Acquisitions	110,000.00	-108,836.20	
		Dispositions	-110,000.00		108,519.40
SBVYPPC4	LOCKHEED MARTIN 3.8% DUE 03-01-2045	Acquisitions	120,000.00	-118,989.60	
		Dispositions	-120,000.00		119,568.00
SBBD6G92	LOCKHEED MARTIN 4.07% DUE 12-15-2042	Acquisitions	85,000.00	-85,938.40	
		Dispositions	-535,000.00		502,026.50
SBDB6944	LOCKHEED MARTIN 4.7% DUE 05-15-2046	Acquisitions	65,000.00	-63,596.65	
		Dispositions	-170,000.00		163,597.80
SB5N2B26	LOCKHEED MARTIN 4.85 DUE 09-15-2041	Acquisitions	205,000.00	-219,035.25	
		Dispositions	-205,000.00		219,041.85
SBYP9PL5	LOWES COS INC 2.5% DUE 04-15-2026	Acquisitions	60,000.00	-64,095.60	
		Dispositions	-60,000.00		63,796.20
SBYWPZ50	LOWES COS INC 3.1% DUE 05-03-2027	Acquisitions	250,000.00	-231,358.85	
		Dispositions	-250,000.00		230,149.20
SBD0SCD1	LOWES COS INC 3.7% DUE 04-15-2046	Acquisitions	260,000.00	-258,414.00	
		Dispositions	-260,000.00		248,171.15
SBYWC120	LOWES COS INC 4.05% DUE 05-03-2047	Acquisitions	90,000.00	-82,314.60	
		Dispositions	-210,000.00		188,892.80
		Acquisitions	400,000.00	-405,355.65	
		Dispositions	-780,000.00		766,989.80

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB7B2LT9	LOWES COS INC 4.65 DUE 04-15-2042	Acquisitions	45,000.00	-47,339.55	
		Dispositions	-175,000.00		193,158.80
SBFM2HB8	MAPLE ESCR 5.085% DUE 05-25-2048	Acquisitions	135,000.00	-135,000.00	
		Dispositions	-135,000.00		134,875.80
SBD41T57	MARATHON OIL CORP 4.4% DUE 07-15-2027	Acquisitions	235,000.00	-238,786.55	
		Dispositions	-235,000.00		234,443.05
SBYZSGJ7	MARATHON OIL CORP 5.2% DUE 06-01-2045	Acquisitions	100,000.00	-104,660.80	
		Dispositions	-100,000.00		107,424.00
SB27ZDL3	MARATHON OIL CORP 6.6% DUE 10-01-2037	Acquisitions	45,000.00	-53,919.90	
		Dispositions	-170,000.00		200,071.30
SBYX30Z8	MARSH & MCLENNAN COS INC 4.2% 03-01-2048	Acquisitions	135,000.00	-133,918.50	
		Dispositions	-135,000.00		130,504.25
SBYMYNL9	MARSH & MCLENNAN FIXED 3.75% DUE 03-14-2026	Acquisitions	70,000.00	-69,841.80	
		Dispositions	-70,000.00		69,320.30
SBFXHLG5	MASTERCARD INC FIXED 3.5% DUE 02-26-2028	Acquisitions	55,000.00	-54,871.30	
		Dispositions	-55,000.00		54,168.95
SBF8QNS3	MCDONALD'S CORP FIXED 4.45% DUE 09-01-2048	Acquisitions	205,000.00	-203,316.75	
		Dispositions	-205,000.00		200,340.35
SB2Q1JQ9	MCDONALDS CORP MEDIUM TERM NTS BOOK ENTRY SR NT 6.3% DUE 03-01-2038	Acquisitions	55,000.00	-67,202.85	
		Dispositions	-110,000.00		132,321.20
SBYWKW76	MCDONALDS CORP 3.7% DUE 01-30-2026	Acquisitions	80,000.00	-79,480.80	
		Dispositions	-80,000.00		79,059.20
SB4T8994	MCDONALDS CORP 4.875% DUE 07-15-2040	Acquisitions	70,000.00	-72,371.60	
		Dispositions	-225,000.00		232,377.75
SBYWKW98	MCDONALDS CORP 4.875% DUE 12-09-2045	Acquisitions	350,000.00	-368,034.20	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFWZ8C5	MCKESSON CORP NEW FIXED 3.95% DUE 02-16-2028	Dispositions	-350,000.00		369,041.75
		Acquisitions	110,000.00	-109,972.50	
SBYMLDT8	MEDTRONIC INC 4.375% DUE 03-15-2035	Dispositions	-110,000.00		105,690.20
		Acquisitions	55,000.00	-57,442.00	
SBYMLDL0	MEDTRONIC INC 4.625% DUE 03-15-2045	Dispositions	-55,000.00		57,015.75
		Acquisitions	115,000.00	-123,284.15	
SB83ZNK8	MERRILL LYNCH & CO 6.11% DUE 01-29-2037	Dispositions	-580,000.00		615,489.04
		Acquisitions	80,000.00	-93,562.40	
SBBMT8T6	MERRILL LYNCH & CO 7.75% DUE 05-14-2038	Dispositions	-120,000.00		142,044.80
		Acquisitions	110,000.00	-150,835.30	
SB3Q5R19	METLIFE INC FIXED 10.75% DUE 08-01-2069	Dispositions	-180,000.00		245,243.00
		Acquisitions	50,000.00	-78,075.00	
SBQ1TDP3	METLIFE INC 3.6% DUE 04-10-2024	Dispositions	-125,000.00		196,326.10
		Acquisitions	240,000.00	-240,774.25	
SBYQ29M2	METLIFE INC 4.6% DUE 05-13-2046	Dispositions	-425,000.00		425,559.40
		Acquisitions	70,000.00	-77,769.30	
SBGDXK74	METLIFE INC 4.875% DUE 11-13-2043	Dispositions	-70,000.00		73,903.90
		Acquisitions	55,000.00	-59,891.70	
SB0BXDC0	METLIFE INC 5.7% DUE 06-15-2035	Dispositions	-95,000.00		99,458.35
		Acquisitions	75,000.00	-85,618.50	
SB4Z51H1	METLIFE INC 5.875% DUE 02-06-2041	Dispositions	-75,000.00		87,741.00
		Acquisitions	50,000.00	-59,533.50	
SB019VJ1	METLIFE INC 6.375% DUE 06-15-2034	Dispositions	-275,000.00		323,166.25
		Acquisitions	75,000.00	-91,347.75	
C594918AX2	MICROSOFT CORP 4.875 12-15-2043	Dispositions	-75,000.00		90,708.75

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYP2PC5	MICROSOFT CORP FIXED 4.25% DUE 02-06-2047	Acquisitions	70,000.00	-79,257.50	
		Dispositions	-70,000.00		79,175.60
C594918AR5	MICROSOFT CORP 3.5% DUE 11-15-2042	Acquisitions	75,000.00	-80,142.00	
		Dispositions	-75,000.00		79,980.75
C594918BT0	MICROSOFT CORP 3.7% DUE 08-08-2046	Acquisitions	140,000.00	-132,065.80	
		Dispositions	-225,000.00		209,763.00
C594918BD5	MICROSOFT CORP 3.75% DUE 02-12-2045	Acquisitions	115,000.00	-113,595.20	
		Dispositions	-1,060,000.00		1,025,986.93
C594918BU7	MICROSOFT CORP 3.95% DUE 08-08-2056	Acquisitions	145,000.00	-148,095.75	
		Dispositions	-300,000.00		291,188.60
SBYP2P70	MICROSOFT CORP 4.1% DUE 02-06-2037	Acquisitions	50,000.00	-52,226.00	
		Dispositions	-210,000.00		211,181.20
C594918BL7	MICROSOFT CORP 4.45% DUE 11-03-2045	Acquisitions	140,000.00	-146,638.40	
		Dispositions	-380,000.00		395,319.22
SB4LFKX4	MICROSOFT CORP 5.2% DUE 06-01-2039	Acquisitions	300,000.00	-324,850.15	
		Dispositions	-475,000.00		525,293.30
C595620AS4	MIDAMERICAN ENERGY CO 3.65% 08-01-2048	Acquisitions	200,000.00	-236,668.80	
		Dispositions	-200,000.00		233,816.00
SBDFFSG2	MIDAMERICAN ENERGY CO 3.95% 08-01-2047	Acquisitions	250,000.00	-246,966.20	
		Dispositions	-250,000.00		228,510.00
SB28XH14	MIDAMERICAN ENERGY 6.5% DUE 09-15-2037	Acquisitions	40,000.00	-41,566.00	
		Dispositions	-40,000.00		38,290.00
SBDVLN14	MITSUBISHI UFJ FINL GROUP INC 3.455% 03-02-2023	Acquisitions	55,000.00	-69,225.20	
		Dispositions	-55,000.00		67,675.85
		Acquisitions	270,000.00	-270,000.00	
		Dispositions	-270,000.00		267,291.20

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBDVLN36	mitsubishi ufj finl group inc 3.961% due 03-02-2028	Acquisitions	380,000.00	-380,000.00	
		Dispositions	-380,000.00		379,313.10
SBGB66M2	mitsubishi ufj 3.761% due 07-26-2023	Acquisitions	320,000.00	-320,441.60	
		Dispositions	-320,000.00		318,548.05
SBGB66Q6	mitsubishi ufj 4.286% due 07-26-2038	Acquisitions	170,000.00	-170,000.00	
		Dispositions	-170,000.00		167,977.00
SBF370G8	mizuho finl group 2.953% due 02-28-2022	Acquisitions	105,000.00	-104,355.30	
		Dispositions	-105,000.00		103,283.25
C60687YAS8	mizuho finl group 3.922% due 09-11-2024	Acquisitions	275,000.00	-275,000.00	
		Dispositions	-275,000.00		273,369.25
SBFN8NN9	mizuho finl group 4.018% due 03-05-2028	Acquisitions	70,000.00	-69,726.30	
		Dispositions	-70,000.00		69,986.00
SBYT4Q35	molson coors 1.45% due 07-15-2019	Acquisitions	235,000.00	-231,517.30	
		Dispositions	-235,000.00		232,290.45
SBYT4Q68	molson coors 3% due 07-15-2026	Acquisitions	115,000.00	-106,613.05	
		Dispositions	-115,000.00		104,788.00
SBYT4Q46	molson coors 4.2% due 07-15-2046	Acquisitions	65,000.00	-61,420.45	
		Dispositions	-135,000.00		120,756.65
C609207AN5	mondelez intl inc 3% due 05-07-2020	Acquisitions	340,000.00	-339,476.40	
		Dispositions	-340,000.00		339,620.60
SBGLBR57	montefiore med ctr fixed 5.246% due 11-01-2048	Acquisitions	65,000.00	-65,000.00	
		Dispositions	-65,000.00		64,376.00
C615369AN5	moody's corp 3.25% due 06-07-2021	Acquisitions	130,000.00	-129,805.00	
		Dispositions	-130,000.00		130,844.64
SBRJZZY3	morgan stanley fixed 3.7% due 10-23-2024	Acquisitions	205,000.00	-204,313.25	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBZ9P8W8	MORGAN STANLEY FORMERLY MORGAN STANLEY 3.591% 07-22-2028	Dispositions	-205,000.00		202,180.45
		Acquisitions	155,000.00	-147,135.90	
SBD1ML80	MORGAN STANLEY 3.737% DUE 04-24-2024	Dispositions	-925,000.00		882,870.36
		Acquisitions	585,000.00	-582,710.50	
C61744YAP3	MORGAN STANLEY 3.772% DUE 01-24-2029	Dispositions	-585,000.00		581,114.05
		Acquisitions	875,000.00	-871,434.25	
SBZ9P947	MORGAN STANLEY 3.971% 07-22-2038	Dispositions	-875,000.00		866,951.65
		Acquisitions	205,000.00	-195,240.25	
SBVRZ811	MORGAN STANLEY 4.3% DUE 01-27-2045	Dispositions	-980,000.00		926,766.65
		Acquisitions	235,000.00	-225,202.85	
SBYM4WR8	MORGAN STANLEY 4.375% DUE 01-22-2047	Dispositions	-235,000.00		228,207.95
		Acquisitions	260,000.00	-257,315.90	
SBD1KDW2	MORGAN STANLEY 4.457% DUE 04-22-2039	Dispositions	-815,000.00		804,972.70
		Acquisitions	800,000.00	-796,866.85	
SB98G6S2	MORGAN STANLEY 6.375% DUE 07-24-2042	Dispositions	-800,000.00		792,185.20
		Acquisitions	40,000.00	-50,157.20	
SBFX4N26	MOUNT SINAI HOSP 3.981% BNDS 07-01-2048	Dispositions	-105,000.00		134,321.30
		Acquisitions	0.00	0.00	
SBYXT874	MPLX LP FIXED 5.2% DUE 03-01-2047	Dispositions	-95,000.00		88,631.90
		Acquisitions	80,000.00	-79,680.00	
SBYZGJX6	MPLX LP 4.5% 04-15-2038	Dispositions	-80,000.00		79,620.00
		Acquisitions	335,000.00	-327,850.35	
SBYZGJY7	MPLX LP 4.7% 04-15-2048	Dispositions	-335,000.00		318,180.75
		Acquisitions	530,000.00	-519,662.70	
SB7W15J3	NBCUNIVERSAL MEDIA 4.45% DUE 01-15-2043	Dispositions	-530,000.00		496,577.80

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB56D2T0	NBCUNIVERSAL MEDIA 5.95% DUE 04-01-2041	Acquisitions	40,000.00	-40,719.60	
		Dispositions	-40,000.00		37,932.80
SBFY34F2	NESTLE HLDGS INC 3.35% DUE 09-24-2023	Acquisitions	65,000.00	-72,309.90	
		Dispositions	-65,000.00		74,166.95
SBG0QJT1	NESTLE HLDGS INC 4% DUE 09-24-2048	Acquisitions	100,000.00	-100,078.00	
		Dispositions	-100,000.00		99,491.00
SBD85LM6	NEVADA PWR CO 2.75% DUE 04-15-2020	Acquisitions	285,000.00	-281,172.45	
		Dispositions	-285,000.00		278,804.10
SBD3J3G1	NEWELL BRANDS INC 5.375% DUE 04-01-2036	Acquisitions	235,000.00	-234,948.30	
		Dispositions	-235,000.00		234,384.30
SB7J8X60	NEWMONT MNG CORP 4.875 DUE 03-15-2042 REG	Acquisitions	65,000.00	-69,807.40	
		Dispositions	-340,000.00		330,901.60
SBD20RG3	NISOURCE FIN CORP 4.375% 05-15-2047	Acquisitions	70,000.00	-68,399.10	
		Dispositions	-70,000.00		67,764.90
SB846RN1	NISOURCE FIN CORP 5.25% DUE 02-15-2043	Acquisitions	95,000.00	-91,873.65	
		Dispositions	-95,000.00		90,176.85
SB3N5358	NISOURCE FIN CORP 5.95% DUE 06-15-2041	Acquisitions	70,000.00	-77,245.70	
		Dispositions	-70,000.00		75,142.55
SBDGMQW4	NISOURCE INC 3.65% DUE 06-15-2023	Acquisitions	85,000.00	-101,218.00	
		Dispositions	-85,000.00		95,041.05
SBSJV4K7	NOBLE ENERGY INC 5.05% DUE 11-15-2044	Acquisitions	25,000.00	-24,963.50	
		Dispositions	-25,000.00		24,682.00
SB3WSZZ2	NOBLE ENERGY INC 6% DUE 03-01-2041	Acquisitions	155,000.00	-158,594.95	
		Dispositions	-155,000.00		149,181.30
		Acquisitions	95,000.00	-106,510.80	
		Dispositions	-95,000.00		101,672.80

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBD72XQ6	NORTHERN STS PWR CO MINN 3.6% 09-15-2047	Acquisitions	65,000.00	-59,713.55	
		Dispositions	-235,000.00		214,972.15
SBD96W03	NORTHN STS PWR CO 3.6% DUE 05-15-2046	Acquisitions	45,000.00	-42,524.10	
		Dispositions	-45,000.00		40,999.50
SBVFMJ22	NORTHROP GRUMMAN CORP 3.85% DUE 04-15-2045	Acquisitions	90,000.00	-83,076.30	
		Dispositions	-90,000.00		81,232.20
SBF15K86	NORTHROP GRUMMAN 2.55% DUE 10-15-2022	Acquisitions	110,000.00	-106,016.90	
		Dispositions	-110,000.00		106,484.40
SBF15KG4	NORTHROP GRUMMAN 2.93% DUE 01-15-2025	Acquisitions	130,000.00	-124,220.65	
		Dispositions	-130,000.00		123,030.70
SBYW4DC0	NORTHROP GRUMMAN 3.2% DUE 02-01-2027	Acquisitions	75,000.00	-71,586.75	
		Dispositions	-75,000.00		70,509.75
SBF15KF3	NORTHROP GRUMMAN 3.25% DUE 01-15-2028	Acquisitions	315,000.00	-299,788.20	
		Dispositions	-895,000.00		843,149.30
SBF15KD1	NORTHROP GRUMMAN 4.03% DUE 10-15-2047	Acquisitions	65,000.00	-60,693.75	
		Dispositions	-650,000.00		617,543.30
SBB9ZSW0	NORTHROP GRUMMAN 4.75% DUE 06-01-2043	Acquisitions	70,000.00	-72,494.80	
		Dispositions	-70,000.00		72,153.90
SBD3S5K6	NOVARTIS CAP CORP 4% DUE 11-20-2045	Acquisitions	45,000.00	-45,459.90	
		Dispositions	-45,000.00		44,894.25
SBDVJC33	NUCOR CORP 3.95% DUE 05-01-2028	Acquisitions	225,000.00	-224,667.00	
		Dispositions	-225,000.00		223,900.75
SBDVJC77	NUCOR CORP 4.4% DUE 05-01-2048	Acquisitions	200,000.00	-199,725.50	
		Dispositions	-200,000.00		195,522.00
C67077MAQ1	NUTRIEN LTD 6.125% DUE 01-15-2041	Acquisitions	40,000.00	-45,610.80	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
C67103HAF4	O REILLY AUTOMOTIVE INC NEW 3.6% DUE 09-01-2027	Dispositions	-125,000.00		140,086.25
		Free Receipt	85,000.00		
		Acquisitions	40,000.00	-38,869.20	
		Dispositions	-400,000.00		386,310.35
SBBN5YJ5	O REILLY 3.85% DUE 06-15-2023	Acquisitions	110,000.00	-110,689.70	
SBFMZD75	OCCIDENTAL PETE 4.2% DUE 03-15-2048	Dispositions	-110,000.00		110,084.70
		Acquisitions	65,000.00	-64,071.15	
		Dispositions	-65,000.00		63,631.75
		Acquisitions	75,000.00	-74,858.25	
SBYW5453	OKLAHOMA GAS & ELEC CO 3.8% 08-15-2028	Dispositions	-75,000.00		73,632.75
SB7ZBFD4	ONCOR ELEC 5.3 DUE 06-01-2042	Acquisitions	35,000.00	-40,622.40	
		Dispositions	-35,000.00		40,174.05
		Acquisitions	20,000.00	-19,945.40	
		Dispositions	-20,000.00		20,054.60
SBFZZ822	ONEOK INC NEW 4.55% DUE 07-15-2028	Acquisitions	215,000.00	-214,023.90	
SBFZZ811	ONEOK INC NEW 5.2% DUE 07-15-2048	Dispositions	-215,000.00		219,020.50
		Acquisitions	55,000.00	-54,013.85	
		Dispositions	-610,000.00		591,454.00
		Acquisitions	75,000.00	-74,072.25	
SBWTM334	ORACLE CORP 4.125% DUE 05-15-2045	Dispositions	-275,000.00		267,720.25
SBY7RD02	ORACLE CORP 4% DUE 07-15-2046	Acquisitions	245,000.00	-242,212.70	
SBD390R1	ORACLE CORP 4% 11-15-2047	Dispositions	-665,000.00		640,583.77
		Acquisitions	300,000.00	-290,150.30	
		Dispositions	-1,080,000.00		1,066,299.10
		Acquisitions	45,000.00	-56,685.60	
SB3LR141	ORACLE CORP 6.125% DUE 07-08-2039	Dispositions	-45,000.00		55,751.85

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB2QR213	ORACLE CORP 6.5% DUE 04-15-2038	Acquisitions	80,000.00	-104,165.60	
		Dispositions	-80,000.00		102,591.20
SBG13DC2	ORLANDO HEALTH INC 4.089% DUE 10-01-2048	Acquisitions	45,000.00	-45,000.00	
		Dispositions	-45,000.00		42,788.25
SBBMT915	PACIFIC GAS & ELEC CO INDEFAULTED 0.0% 12-31-2040	Acquisitions	65,000.00	-67,485.60	
		Dispositions	-180,000.00		192,585.30
SBGDRYG3	PACIFIC GAS & ELEC CO INDEFAULTED 0.0% 12-31-2040	Acquisitions	65,000.00	-75,454.60	
		Dispositions	-65,000.00		66,773.85
SBJZ7ZR0	PACIFIC GAS & ELEC CO INDEFAULTED 0.0% 12-31-2040	Acquisitions	50,000.00	-47,806.50	
		Dispositions	-125,000.00		126,560.75
SB7MTCC9	PACIFIC GAS & ELEC CO INDEFAULTED 0.0% 12-31-2040	Acquisitions	115,000.00	-99,608.65	
		Dispositions	-460,000.00		399,912.60
SBF2WX10	PACIFICORP 4.125% DUE 01-15-2049	Acquisitions	205,000.00	-204,383.50	
		Dispositions	-205,000.00		200,988.15
SBWSSM70	PEPSICO INC 2.75% DUE 04-30-2025	Acquisitions	70,000.00	-67,273.50	
		Dispositions	-70,000.00		67,011.00
SB7Y6VD2	PEPSICO INC 3.6% DUE 08-13-2042	Acquisitions	55,000.00	-51,976.65	
		Dispositions	-55,000.00		50,764.45
SB08R8G4	PETRO-CDA 5.95% DUE 05-15-2035	Acquisitions	60,000.00	-72,082.20	
		Dispositions	-60,000.00		69,007.80
SB8MJDB2	PFIZER INC NT 7.2 DUE 03-15-2039	Acquisitions	115,000.00	-159,591.65	
		Dispositions	-480,000.00		663,029.18
SBFY0K88	PFIZER INC 3.0% 09-15-2021	Acquisitions	185,000.00	-184,750.25	
		Dispositions	-185,000.00		184,668.85
SBYZFHL7	PFIZER INC 4.1% 09-15-2038	Acquisitions	210,000.00	-210,036.20	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBZ3FFH8	PFIZER INC 4.125% DUE 12-15-2046	Dispositions	-210,000.00		209,737.50
		Acquisitions	185,000.00	-185,342.50	
SBYZFHM8	PFIZER INC 4.2% 09-15-2048	Dispositions	-255,000.00		256,444.45
		Acquisitions	370,000.00	-369,805.80	
SBCLYQM8	PFIZER INC 4.3% DUE 06-15-2043	Dispositions	-370,000.00		370,321.10
		Acquisitions	25,000.00	-25,462.75	
SBZ4CYN7	PFIZER INC 5.6% DUE 09-15-2040	Dispositions	-25,000.00		25,888.50
		Acquisitions	55,000.00	-64,933.00	
SBSPCBQ9	PHILLIPS 66 4.65% DUE 11-15-2034	Dispositions	-120,000.00		141,574.80
		Acquisitions	55,000.00	-56,180.30	
SBSPCC33	PHILLIPS 66 4.875% DUE 11-15-2044	Dispositions	-95,000.00		95,901.55
		Acquisitions	85,000.00	-91,250.90	
SBYM51K7	PHILLIPS 66 4.9% DUE 10-01-2046	Dispositions	-195,000.00		203,758.45
		Acquisitions	115,000.00	-112,686.20	
SB92Y2L7	PLAINS ALL AMERN 4.3% DUE 01-31-2043	Dispositions	-115,000.00		112,191.70
		Acquisitions	65,000.00	-54,844.40	
SBDVNKG4	PNC BK N A PITT PA 2.5% DUE 01-22-2021	Dispositions	-65,000.00		55,428.75
		Acquisitions	400,000.00	-397,522.95	
SBZ0WL74	PNC BK N A PITTSBURGH PA MEDIUM TERM SUB4.05% DUE 07-26-2028	Dispositions	-400,000.00		393,103.85
		Acquisitions	510,000.00	-508,811.70	
SB95XX07	POTOMAC ELEC PWR 4.15% DUE 03-15-2043	Dispositions	-510,000.00		509,692.35
		Acquisitions	125,000.00	-123,851.25	
SB9ZKD20	PPL CAP FDG INC 4.7% DUE 06-01-2043	Dispositions	-445,000.00		436,340.30
		Acquisitions	75,000.00	-79,434.00	
SBFNC7K6	PPL ELECTRIC UTILITIES CORP 4.15% 06-15-2048	Dispositions	-75,000.00		74,464.50

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBG0T5R0	PROGRESSIVE CORP 4.2% DUE 03-15-2048	Acquisitions	80,000.00	-79,551.20	
		Dispositions	-80,000.00		78,825.60
SBFNNX67	PRUDENTIAL FINANCIAL INC 4.418% 03-27-2048	Acquisitions	145,000.00	-143,800.85	
		Dispositions	-145,000.00		142,126.60
SBGHCHW1	PRUDENTIAL FINL FLTG RT 5.7% DUE 09-15-2048	Acquisitions	25,000.00	-25,206.00	
		Dispositions	-25,000.00		25,435.50
SBFNNXZP0	PRUDENTIAL FINL INC MED TRM NTS BOOK TRANCHE # TR 00028 6.625 12-01-2037	Acquisitions	65,000.00	-65,000.00	
		Dispositions	-65,000.00		64,545.00
SB1L6KD6	PRUDENTIAL FINL INC MEDIUM TERM NTS BOOKTRANCHE # TR 00015 5.7 DUE 121436	Acquisitions	75,000.00	-95,889.75	
		Dispositions	-75,000.00		91,737.75
SBFZCSL2	PRUDENTIAL FINL INC 3.935% DUE 12-07-2049	Acquisitions	75,000.00	-87,450.75	
		Dispositions	-75,000.00		87,627.00
SBG0KDC4	PRUDENTIAL FINL 3.905% DUE 12-07-2047	Acquisitions	175,000.00	-159,437.65	
		Dispositions	-423,000.00		379,786.32
SBYNMHC1	PUB SVC ENTERPRISE 2% DUE 11-15-2021	Acquisitions	50,000.00	-46,010.50	
		Dispositions	-75,000.00		67,089.00
SBF4NQX4	PUBLIC SERVICE ELECTRIC 4.05% DUE 05-01-2048	Acquisitions	260,000.00	-248,718.60	
		Dispositions	-260,000.00		247,413.40
SBG1ZYW5	PUGET SOUND ENERGY FIXED 4.223% DUE 06-15-2048	Acquisitions	145,000.00	-144,102.45	
		Dispositions	-145,000.00		143,538.40
SBYZMHJ6	PVPTL BAYER US FIN II LLC 4.625% 06-25-2038	Acquisitions	40,000.00	-40,202.00	
		Dispositions	-40,000.00		40,205.60
SBZ0X393	PVPTL HALFMOON PARENT INC 4.9% 12-15-2048	Acquisitions	260,000.00	-255,933.60	
		Dispositions	-260,000.00		247,395.20
		Acquisitions	395,000.00	-394,162.95	
		Dispositions	-395,000.00		393,345.62

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBD5KBL3	PVTPL AMAZON COM INC 2.8% 08-22-2024	Acquisitions	55,000.00	-53,114.60	
		Dispositions	-120,000.00		119,386.80
SBD5KBJ1	PVTPL AMAZON COM INC 3.875% 08-22-2037	Acquisitions	155,000.00	-153,376.70	
		Dispositions	-335,000.00		330,435.05
SBQH0Y35	PVTPL AMERICAN TRANSMISSION SYS INC SR NT 5% DUE 09-01-2044	Acquisitions	100,000.00	-115,270.00	
		Dispositions	-100,000.00		104,924.00
SBFMB9T7	PVTPL AT&T INC 5.15% 02-15-2050	Acquisitions	320,000.00	-303,789.35	
		Dispositions	-320,000.00		301,824.00
SBZ3ZWG6	PVTPL AT&T INC 5.15% 11-15-2046	Acquisitions	215,000.00	-206,974.85	
		Dispositions	-215,000.00		206,994.60
SBDVJC66	PVTPL AT&T INC 5.3% 08-15-2058	Acquisitions	115,000.00	-109,665.25	
		Dispositions	-115,000.00		108,328.85
C05526DBA2	PVTPL B A T CAP CORP 3.557% DUE 08-15-2027 BEO	Acquisitions	300,000.00	-286,229.80	
		Dispositions	-615,000.00		583,853.25
C05526DBC8	PVTPL BAT CAPITAL CORP 4.39% DUE 08-15-2037	Acquisitions	450,000.00	-428,718.90	
		Dispositions	-1,145,000.00		1,075,020.35
C07274NAS2	PVTPL BAYER US FIN II LLC 1.85% DUE 11-15-2018 BEO	Dispositions	-150,000.00		149,722.50
		Free Receipt	150,000.00		
SBYZMHM9	PVTPL BAYER US FIN II LLC 3.875% 12-15-2023	Acquisitions	310,000.00	-308,989.40	
		Dispositions	-310,000.00		308,834.40
SBYVQZP4	PVTPL BAYER US FIN II LLC 4.7% 07-15-2064	Dispositions	-390,000.00		328,294.20
		Free Receipt	390,000.00		
SBF28SR9	PVTPL BERKSHIRE HATHAWAY 3.8% 07-15-2048	Acquisitions	185,000.00	-183,477.45	
		Dispositions	-185,000.00		183,620.95
SBDF0DJ5	PVTPL BROOKLYN UNION GAS CO 4.273% 03-15-2048 BEO	Acquisitions	135,000.00	-135,000.00	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFYS3D8	PVTPL CARGILL INC NT 3.25% 03-01-2023	Dispositions	-135,000.00		132,422.85
		Acquisitions	265,000.00	-264,671.40	
C225401AG3	PVTPL CREDIT SUISSE GROUP AG VAR DUE 06-12-2024 BEO	Dispositions	-265,000.00		263,838.20
		Acquisitions	170,000.00	-170,776.90	
SBD96MK3	PVTPL DIAMOND 1 FIN CORP/DIAMOND 2 BNDS 144A 8.35% DUE 07-15-2046	Dispositions	-170,000.00		169,379.50
		Acquisitions	220,000.00	-265,134.45	
SBZ0X3D7	PVTPL HALFMOON PARENT INC NT 144A 4.8% DUE 08-15-2038/09-17-2018 BEO	Dispositions	-220,000.00		272,468.30
		Acquisitions	535,000.00	-533,985.05	
SBZ0X3L5	PVTPL HALFMOON PARENT INC 3.4% 09-17-2021	Dispositions	-535,000.00		532,367.80
		Acquisitions	575,000.00	-575,000.00	
SBZ0X348	PVTPL HALFMOON PARENT INC 4.375% 10-15-2028	Dispositions	-575,000.00		572,130.75
		Acquisitions	315,000.00	-314,581.05	
SBFM2HD0	PVTPL MAPLE ESCROW SUBSIDIARY INC SR NT 144A 4.985 DUE 05-25-2038/05-25-2018 BEO	Dispositions	-315,000.00		312,580.80
		Acquisitions	340,000.00	-340,287.10	
SBGV62M4	PVTPL MARATHON PETE CORP 3.8% DUE 04-01-2028 BEO	Dispositions	-340,000.00		344,638.20
		Dispositions	-120,000.00		113,331.60
SBGV6398	PVTPL MARATHON PETE CORP 4.5% DUE 04-01-2048 BEO	Free Receipt	120,000.00		
		Dispositions	-95,000.00		86,114.65
SBFXHNF8	PVTPL ONCOR ELEC DELIVERY CO LLC 4.1% DUE 11-15-2048	Free Receipt	95,000.00		
		Dispositions	-95,000.00		
SBD0RRX3	PVTPL SABAL TRAIL TRANSM 4.832% DUE 05-01-2048	Acquisitions	145,000.00	-144,857.90	
		Dispositions	-145,000.00		143,367.30
SBD0RRY4	PVTPL SABAL TRAIL TRANSMISSION LLC 4.682% 05-01-2038	Acquisitions	60,000.00	-59,698.20	
		Dispositions	-60,000.00		60,865.80
SBFMYT1	PVTPL TEXAS EASTN TRANSMISSION LP 3.5% DUE 01-15-2028 BEO	Acquisitions	240,000.00	-240,171.90	
		Dispositions	-240,000.00		242,748.00

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYZHSJ8	PVTPL TEXAS EASTN TRANSMISSION LP SR NT 4.15% 01-15-2048	Acquisitions	125,000.00	-124,351.25	
		Dispositions	-125,000.00		118,664.32
C902674XN5	PVTPL UBS AG LONDON BRH 4.5% DUE 06-26-2048	Acquisitions	325,000.00	-316,817.30	
		Dispositions	-325,000.00		293,444.55
SBG5PH00	PVTPL VERIZON COMMUNICATIONS INC 4.329% DUE 09-21-2028 BEO	Acquisitions	90,000.00	-89,926.20	
		Dispositions	-90,000.00		91,902.15
SBWX4CX9	QUALCOMM INC FIXED 4.65% DUE 05-20-2035	Acquisitions	940,000.00	-937,263.15	
		Dispositions	-940,000.00		945,387.05
SBF3KVF3	QUALCOMM INC 3.25% DUE 05-20-2027	Acquisitions	45,000.00	-44,450.55	
		Dispositions	-45,000.00		45,724.95
SBF3KVD1	QUALCOMM INC 4.3% DUE 05-20-2047	Acquisitions	90,000.00	-84,125.70	
		Dispositions	-90,000.00		83,783.70
C21685WDF1	RABOBANK NEDERLAND TRANCHE # TR 00078 3.95% BD DUE 11-09-2022 REG	Acquisitions	265,000.00	-257,319.60	
		Dispositions	-265,000.00		246,595.63
SBFX84Q9	RALPH LAUREN CORP 3.75% DUE 09-15-2025	Acquisitions	265,000.00	-266,635.05	
		Dispositions	-265,000.00		264,162.60
SBYMXPD2	REGENCY CTRS L P 3.6% 02-01-2027	Acquisitions	105,000.00	-104,497.05	
		Dispositions	-105,000.00		104,801.55
SBG0NNL2	REGIONS FINL CORP 3.8% DUE 08-14-2023	Acquisitions	165,000.00	-157,249.95	
		Dispositions	-385,000.00		367,231.80
SBF4NFK4	REPUBLIC SVCS INC 3.95% DUE 05-15-2028	Acquisitions	120,000.00	-119,929.20	
		Dispositions	-120,000.00		119,182.80
SBZ0W055	REYNOLDS AMERN INC FIXED 5.7% DUE 08-15-2035	Acquisitions	60,000.00	-59,161.20	
		Dispositions	-60,000.00		59,598.60
		Acquisitions	125,000.00	-138,417.85	
		Dispositions	-190,000.00		202,635.00

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBZ0HGQ3	REYNOLDS AMERN INC FIXED 5.85% DUE 08-15-2045	Acquisitions	170,000.00	-188,348.90	
		Dispositions	-315,000.00		354,812.25
SBDWGXG3	REYNOLDS AMERN INC 6.15% DUE 09-15-2043	Acquisitions	85,000.00	-102,882.30	
		Dispositions	-85,000.00		94,722.30
SBH7KDQ8	ROCKWELL COLLINS INC DEL 4.8 DUE 12-15-2043	Acquisitions	75,000.00	-76,456.65	
		Dispositions	-75,000.00		75,744.00
SBYVBMJ2	ROCKWELL COLLINS 2.8% DUE 03-15-2022	Acquisitions	45,000.00	-43,896.15	
		Dispositions	-45,000.00		43,853.85
SBYVBMG9	ROCKWELL COLLINS 4.35% DUE 04-15-2047	Acquisitions	210,000.00	-205,414.95	
		Dispositions	-255,000.00		246,877.65
C78013XKG2	ROYAL BK CDA GLOBAL MEDIUM TERM 3.2% 04-30-2021	Acquisitions	220,000.00	-219,843.80	
		Dispositions	-220,000.00		219,626.00
C79467BAR6	SALES TAX SECURITIZATION CORP ILL 3.587%01-01-2043 BEO TAXABLE	Acquisitions	55,000.00	-54,197.55	
		Dispositions	-350,000.00		314,661.00
SBG13YT6	SALES TAX SECURITIZATION CORP ILL 3.82% 01-01-2048 BEO TAXABLE	Acquisitions	230,000.00	-230,000.00	
		Dispositions	-230,000.00		210,448.85
SBFMYX38	SALESFORCE COM INC 3.25% BNDS 04-11-2023	Acquisitions	315,000.00	-313,752.60	
		Dispositions	-315,000.00		314,067.60
SBYZGK66	SALESFORCE COM INC 3.7% DUE 04-11-2028	Acquisitions	170,000.00	-169,596.00	
		Dispositions	-170,000.00		169,869.10
SBFM2H30	SAN DIEGO GAS & 4.15% DUE 05-15-2048	Acquisitions	145,000.00	-143,898.00	
		Dispositions	-145,000.00		141,061.80
SBYVZVK8	SAN JOSE CALIF REDEV AGY SUCC AGY TX ALLOCATION 3.375 08-01-2034 BEO TAXABLE	Acquisitions	75,000.00	-71,470.50	
		Dispositions	-150,000.00		139,941.00
SBF5R442	SANTANDER UK GROUP HLDGS PLC 3.823% 11-03-2028	Acquisitions	365,000.00	-339,922.95	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBF28SN5	SANTANDER UK GROUP 3.373% 01-05-2024	Dispositions	-865,000.00		809,071.25
		Acquisitions	255,000.00	-255,000.00	
SBZ5ZP43	SEMPRA ENERGY 2.4% DUE 02-01-2020	Dispositions	-255,000.00		247,089.90
		Acquisitions	105,000.00	-104,857.20	
SBFWK3C5	SEMPRA ENERGY 2.9% DUE 02-01-2023	Dispositions	-105,000.00		103,727.40
		Acquisitions	265,000.00	-263,995.65	
SBR1HR18	SEMPRA ENERGY 3.55% DUE 06-15-2024	Dispositions	-265,000.00		258,730.10
		Acquisitions	210,000.00	-208,626.60	
SBFWJJY6	SEMPRA ENERGY 3.8% DUE 02-01-2038	Dispositions	-210,000.00		204,907.50
		Acquisitions	80,000.00	-73,711.20	
SBFWJFR1	SEMPRA ENERGY 4% DUE 02-01-2048	Dispositions	-80,000.00		71,931.20
		Acquisitions	165,000.00	-162,779.10	
SB4XNLW2	SHELL INTL FIN B V GTD NT 5.5 DUE 03-25-2040	Dispositions	-165,000.00		151,955.95
		Acquisitions	80,000.00	-94,706.40	
SB7C01S1	SHELL INTL FIN B V 3.625% DUE 08-21-2042	Dispositions	-80,000.00		94,796.00
		Acquisitions	95,000.00	-86,376.85	
SBXQ9F28	SHELL INTL FIN B V 4.125% DUE 05-11-2035	Dispositions	-95,000.00		87,170.10
		Acquisitions	70,000.00	-72,345.00	
SBDB2XM8	SHELL INTL FIN B V 4% DUE 05-10-2046	Dispositions	-70,000.00		70,712.60
		Acquisitions	90,000.00	-87,026.40	
SBJ2SP09	SIMON PPTY GROUP L P 3.75 DUE 02-01-2024	Dispositions	-90,000.00		87,428.70
		Acquisitions	130,000.00	-129,389.00	
SBFMZDC0	SOUTHERN CALIF EDISON CO 4.125% 03-01-2048	Dispositions	-130,000.00		130,427.70
		Acquisitions	225,000.00	-219,035.10	
SBDVJYL5	SOUTHERN CO GAS CAP CORP 4.4% 05-30-2047	Dispositions	-225,000.00		214,944.75

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYT1540	SOUTHERN CO 4.4% DUE 07-01-2046	Acquisitions	95,000.00	-96,175.15	
		Dispositions	-95,000.00		93,742.20
SBD30007	SOUTHN CO GAS CAP 3.95% DUE 10-01-2046	Acquisitions	210,000.00	-211,281.50	
		Dispositions	-300,000.00		291,796.45
SB8FM8R9	SOUTHN COPPER CORP 5.25% DUE 11-08-2042	Acquisitions	90,000.00	-82,821.60	
		Dispositions	-130,000.00		114,037.30
SBWXV3J3	SOUTHN COPPER CORP 5.875% DUE 04-23-2045	Acquisitions	30,000.00	-33,780.00	
		Dispositions	-300,000.00		303,000.00
SB8Q7066	SOUTHN COPPER CORP 7.5% DUE 07-27-2035	Acquisitions	35,000.00	-40,089.00	
		Dispositions	-495,000.00		540,589.50
SBFNR3L4	SOUTHWESTERN ELEC PWR CO 4.1% 09-15-2028	Acquisitions	75,000.00	-91,500.00	
		Dispositions	-95,000.00		119,754.90
SBWGCKS1	SOUTHWESTN ELEC 3.9% DUE 04-01-2045	Acquisitions	195,000.00	-195,000.00	
		Dispositions	-195,000.00		193,861.20
SB2NJ7Z1	SPCL1 GEN ELEC CAP CORP 5.875% DUE 01-14-2038	Acquisitions	60,000.00	-55,610.40	
		Dispositions	-120,000.00		108,502.80
SBZ5ZP21	STANFORD HLTH CARE 3.795% DUE 11-15-2048	Acquisitions	60,000.00	-67,795.20	
		Dispositions	-432,000.00		500,292.05
SBDGLCP6	STARBUCKS CORP 2.45% DUE 06-15-2026	Acquisitions	175,000.00	-176,058.95	
		Dispositions	-175,000.00		164,393.25
SBDVLF41	STARBUCKS CORP 3.5% 03-01-2028	Acquisitions	85,000.00	-77,517.45	
		Dispositions	-85,000.00		78,095.95
SBF2RBL1	STARBUCKS CORP 3.75% 12-01-2047	Acquisitions	70,000.00	-69,766.20	
		Dispositions	-70,000.00		68,441.80
		Acquisitions	95,000.00	-82,816.35	
		Dispositions	-360,000.00		332,135.50

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFXV4Z7	STARBUCKS CORP 3.8% DUE 08-15-2025	Acquisitions	220,000.00	-219,946.10	
		Dispositions	-220,000.00		218,673.40
SBYYG2D8	STARBUCKS CORP 4.3% DUE 06-15-2045	Acquisitions	145,000.00	-139,485.85	
		Dispositions	-145,000.00		136,785.75
SBFXV4P7	STARBUCKS CORP 4.5% DUE 11-15-2048	Acquisitions	380,000.00	-375,816.65	
		Dispositions	-380,000.00		374,865.50
SBLSP520	STATOIL ASA 2.9% DUE 11-08-2020	Acquisitions	10,000.00	-9,968.20	
		Dispositions	-10,000.00		9,946.80
SB710SM5	STATOIL ASA 4.25 DUE 11-23-2041	Acquisitions	70,000.00	-76,428.80	
		Dispositions	-70,000.00		71,671.60
C863667AQ4	STRYKER CORP 3.65% 03-07-2028	Acquisitions	180,000.00	-179,924.40	
		Dispositions	-180,000.00		173,376.00
C86562MBB5	SUMITOMO MITSUI FG 3.748% 19 JUL 2023	Acquisitions	390,000.00	-390,000.00	
		Dispositions	-390,000.00		388,884.25
SBD5W818	SUNCOR ENERGY INC 4% DUE 11-15-2047	Acquisitions	60,000.00	-59,214.60	
		Dispositions	-375,000.00		352,061.25
SB01YRG5	SUNCOR ENERGY INC 5.95 DUE12-01-2034 BEO	Acquisitions	45,000.00	-53,081.55	
		Dispositions	-45,000.00		51,870.15
SBBVJ660	SUNCOR ENERGY INC 6.5% DUE 06-15-2038	Acquisitions	240,000.00	-312,381.60	
		Dispositions	-240,000.00		301,545.35
SB39RFF2	SUNCOR ENERGY INC 6.85% DUE 06-01-2039	Acquisitions	50,000.00	-63,733.00	
		Dispositions	-225,000.00		287,055.00
SBL9FKB3	SUNOCO LOGISTICS 5.3% DUE 04-01-2044	Acquisitions	160,000.00	-151,885.00	
		Dispositions	-225,000.00		215,675.06
SBF93509	SUNTRUST BANK 2.59% 01-29-2021	Acquisitions	115,000.00	-115,000.00	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFZS3K4	SUNTRUST BANK 3.689% 08-02-2024	Dispositions	-115,000.00		113,921.30
		Acquisitions	65,000.00	-64,743.60	
SBFZS821	SUNTRUST BK ATLANTA GA MEDIUM TERM SR VAR RT 08-02-2022 REG	Dispositions	-65,000.00		64,404.60
		Acquisitions	90,000.00	-90,000.00	
SBYZGKB1	SUNTRUST BKS INC 4% DUE 05-01-2025	Dispositions	-90,000.00		89,557.20
		Acquisitions	45,000.00	-44,942.40	
SBD2BV19	SYNCHRONY FINL 3.95% DUE 12-01-2027	Dispositions	-45,000.00		44,902.80
		Acquisitions	205,000.00	-195,808.85	
SBF28W66	SYSCO CORP FIXED 4.45% DUE 03-15-2048	Dispositions	-660,000.00		616,851.15
		Acquisitions	235,000.00	-233,538.30	
SBF28W55	SYSCO CORP 3.55% DUE 03-15-2025	Dispositions	-235,000.00		229,110.15
		Acquisitions	100,000.00	-99,480.00	
SBYZQN45	TARGET CORP FIXED 3.9% DUE 11-15-2047	Dispositions	-100,000.00		97,607.00
		Acquisitions	245,000.00	-229,560.20	
SB2NPQ41	TARGET CORP NT 7 DUE 01-15-2038/01-17-2008 REG	Dispositions	-480,000.00		463,536.20
		Acquisitions	70,000.00	-92,891.40	
SBDF0C65	TELEFONICA EMISIONES S A U 4.665% 03-06-2038	Dispositions	-70,000.00		92,247.40
		Acquisitions	45,000.00	-43,024.95	
SBDF0CD2	TELEFONICA EMISIONES S A U 4.895% 03-06-2048	Dispositions	-45,000.00		42,295.50
		Acquisitions	400,000.00	-393,730.90	
SBYWMQF8	TELEFONICA EMISIONES S A U 5.213% 03-08-2047 REG	Dispositions	-400,000.00		375,044.75
		Acquisitions	80,000.00	-79,585.90	
C87971MBH5	TELUS CORP 4.6% DUE 11-16-2048	Dispositions	-745,000.00		737,672.00
		Acquisitions	165,000.00	-162,698.85	
SBG0DDF6	TEXAS INSTRS INC 4.15% DUE 05-15-2048	Dispositions	-165,000.00		162,533.25

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB441BS1	TIME WARNER CABLE 5.5% DUE 09-01-2041	Acquisitions	60,000.00	-60,423.00	
		Dispositions	-60,000.00		59,814.60
SBMTS707	TIME WARNER INC FIXED 4.65% DUE 06-01-2044	Acquisitions	115,000.00	-110,304.55	
		Dispositions	-610,000.00		592,774.50
C89114QBZ0	TORONTO DOMINION BANK MTN 3.25% DUE 06-11-2021	Acquisitions	55,000.00	-49,920.75	
		Dispositions	-55,000.00		49,343.80
SBFZ6JM2	TORONTO DOMINION BK SR MEDIUM TERM BK NTTRANCHE # TR 598 3.15% DUE 09-17-2020	Dispositions	-215,000.00		214,683.95
		Free Receipt	215,000.00		
C89114QBZ0	TORONTO DOMINION SR MEDIUM 3.25% 11/06/2021	Acquisitions	135,000.00	-134,971.65	
		Dispositions	-135,000.00		134,968.95
SBYSVHV2	TORONTO-DOMINION 2.125% DUE 04-07-2021	Acquisitions	215,000.00	-214,903.25	
		Free Delivery	-215,000.00		
SBF5RD78	TOYOTA MTR CR CORP 2.7% DUE 01-11-2023	Acquisitions	55,000.00	-53,424.80	
		Dispositions	-55,000.00		53,479.80
SBDQQM39	TOYOTA MTR CR CORP 2.95% DUE 04-13-2021	Acquisitions	300,000.00	-299,832.00	
		Dispositions	-300,000.00		291,087.00
SBD366X0	TRANSCANADA PIPELINES 4.25% DUE 05-15-2028	Acquisitions	115,000.00	-114,954.00	
		Dispositions	-115,000.00		114,558.40
SBD366Y1	TRANSCANADA 4.75% DUE 05-15-2038	Acquisitions	50,000.00	-49,805.50	
		Dispositions	-50,000.00		50,171.50
SBD366Z2	TRANSCANADA 4.875% DUE 05-15-2048	Acquisitions	275,000.00	-275,447.40	
		Dispositions	-275,000.00		279,526.50
SB287YY8	TRANSCANADA 6.2% DUE 10-15-2037	Acquisitions	490,000.00	-488,985.25	
		Dispositions	-490,000.00		504,010.04
		Acquisitions	190,000.00	-217,975.75	
		Dispositions	-190,000.00		221,578.00

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB3CHMW5	TRANSCANADA 7.25% DUE 08-15-2038	Acquisitions	35,000.00	-44,690.10	
		Dispositions	-35,000.00		44,765.35
SB3KV7R9	TRANSCANADA 7.625% DUE 01-15-2039	Acquisitions	35,000.00	-46,713.45	
		Dispositions	-35,000.00		46,289.25
SBCLBGF2	TRAVELERS COS INC 4.6% DUE 08-01-2043	Acquisitions	100,000.00	-105,076.00	
		Dispositions	-185,000.00		193,672.85
SB5MHZ97	TRAVELERS COS INC 5.35% DUE 11-01-2040	Acquisitions	90,000.00	-103,836.80	
		Dispositions	-90,000.00		102,014.10
SB60WT77	TRI-STATE 6% DUE 06-15-2040	Acquisitions	80,000.00	-94,951.20	
		Dispositions	-80,000.00		91,874.40
SBF9MY3	TRUSTEES BSTN UNIV 4.061% DUE 10-01-2048	Acquisitions	55,000.00	-55,000.00	
		Dispositions	-55,000.00		54,211.85
SBG452M8	U S BANCORP MEDIUM TERM NTS- BOOK 3.9% 04-26-2028 BEO	Acquisitions	45,000.00	-44,878.05	
		Dispositions	-45,000.00		45,057.60
SBZ7M2H4	U S BK NATL ASSN CINCINNATI OHIO MEDIUM 2.05% DUE 10-23-2020	Acquisitions	510,000.00	-500,013.80	
		Dispositions	-510,000.00		498,117.45
SBYSSD48	UNION PAC CORP FIXED 4% DUE 04-15-2047	Acquisitions	65,000.00	-62,275.85	
		Dispositions	-65,000.00		62,213.45
C907818EU8	UNION PAC CORP 3.5% DUE 06-08-2023	Acquisitions	255,000.00	-254,767.95	
		Dispositions	-255,000.00		254,321.70
SBDCWS88	UNION PAC CORP 3.799% DUE 10-01-2051	Acquisitions	205,000.00	-184,239.65	
		Dispositions	-205,000.00		182,380.30
SBGLQST3	UNION PAC CORP 3.95% DUE 09-10-2028	Acquisitions	105,000.00	-106,097.25	
		Dispositions	-105,000.00		104,953.80
SBYQGY41	UNION PAC CORP 4.05% DUE 11-15-2045	Acquisitions	105,000.00	-100,157.40	

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Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYWJVBO	UNION PAC CORP 4.1% DUE 09-15-2067	Dispositions	-105,000.00		99,659.70
		Acquisitions	295,000.00	-291,147.35	
SB8Q73Y5	UNION PAC CORP 4.25% DUE 04-15-2043	Dispositions	-295,000.00		277,212.75
		Acquisitions	240,000.00	-239,166.80	
SBDY0H4	UNION PAC CORP 4.375% DUE 09-10-2038	Dispositions	-240,000.00		234,165.60
		Acquisitions	455,000.00	-457,975.30	
SBYZGKF5	UNION PAC CORP 4.5% 09-10-2048	Dispositions	-455,000.00		464,131.85
		Acquisitions	895,000.00	-908,726.70	
C907818EX2	UNION PAC CORP 4.8% 09-10-2058	Dispositions	-895,000.00		917,583.15
		Acquisitions	195,000.00	-195,869.55	
SBYWJV98	UNION PACIFIC CORP 3.6% DUE 09-15-2037	Dispositions	-195,000.00		200,314.40
		Acquisitions	165,000.00	-169,377.45	
SBJ7B6G6	UNION PACIFIC CORP 4.821 DUE 02-01-2044 BEO	Dispositions	-320,000.00		293,136.00
		Acquisitions	65,000.00	-76,803.35	
SBYVN9D1	UNITED TECHNOLOGIES CORP 3.95% 08-16-2025	Dispositions	-65,000.00		75,066.55
		Acquisitions	25,000.00	-24,957.50	
SBYVN9F3	UNITED TECHNOLOGIES CORP 4.125% 11-16-2028	Dispositions	-25,000.00		25,070.75
		Acquisitions	290,000.00	-289,895.60	
SBYVN9N1	UNITED TECHNOLOGIES CORP 4.45% 11-16-2038	Dispositions	-290,000.00		293,004.40
		Acquisitions	210,000.00	-209,443.20	
SBG08PD3	UNITEDHEALTH GROUP FIXED 4.25% DUE 06-15-2048	Dispositions	-210,000.00		208,752.60
		Acquisitions	525,000.00	-521,899.10	
SBYZGKG6	UNITEDHEALTH GROUP 3.5% DUE 06-15-2023	Dispositions	-525,000.00		525,236.25
		Acquisitions	220,000.00	-219,890.00	
SBD9GGT0	UNITEDHEALTH GROUP 4.25% DUE 04-15-2047	Dispositions	-220,000.00		220,088.00

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYTRVP1	UNITEDHEALTH GROUP 4.75% DUE 07-15-2045	Acquisitions	125,000.00	-125,096.25	
		Dispositions	-125,000.00		126,000.00
SBF5K5Z9	UNIVERSITY CHICAGO 4.003% DUE 10-01-2053	Acquisitions	195,000.00	-210,161.60	
		Dispositions	-195,000.00		209,463.90
SBDFWYC1	UTD AIRLS INC 3.5% DUE 03-01-2030	Acquisitions	65,000.00	-65,000.00	
		Dispositions	-65,000.00		63,150.75
SBG495T8	UTD TECHNOLOGIES NT 5.7 DUE 04-15-2040	Acquisitions	145,000.00	-145,000.00	
		Dispositions	-145,000.00		140,083.19
SB8J6DZ0	UTD TECHNOLOGIES 4.5% DUE 06-01-2042	Acquisitions	60,000.00	-69,340.20	
		Dispositions	-60,000.00		68,144.40
SBYVN9P3	UTD TECHNOLOGIES 4.625% DUE 11-16-2048	Acquisitions	385,000.00	-386,994.85	
		Dispositions	-935,000.00		937,171.37
SB2RJSL0	UTD TECHNOLOGIES 6.125% DUE 07-15-2038	Acquisitions	400,000.00	-405,427.50	
		Dispositions	-400,000.00		407,499.75
SBFYCQS6	VA ELEC & PWR CO 3.8% DUE 04-01-2028	Acquisitions	80,000.00	-104,529.60	
		Dispositions	-80,000.00		94,112.80
SBXPB5G9	VA ELEC & PWR CO 4.2% DUE 05-15-2045	Acquisitions	70,000.00	-70,744.80	
		Dispositions	-70,000.00		69,916.70
SBD4G7B4	VALE OVERSEAS LTD 6.25% DUE 08-10-2026	Acquisitions	120,000.00	-122,640.00	
		Dispositions	-120,000.00		120,319.30
SB1HMKF0	VALE OVERSEAS LTD 6.875% DUE 11-21-2036	Acquisitions	80,000.00	-87,112.00	
		Dispositions	-115,000.00		126,716.00
SB4M6ZX1	VALE S A 5.625% DUE 09-11-2042	Acquisitions	290,000.00	-340,435.00	
		Dispositions	-705,000.00		831,461.75
		Acquisitions	55,000.00	-58,085.50	
		Dispositions	-395,000.00		408,597.50

** Represents party-in-interest as defined by ERISA

See accompanying independent auditor's report and notes to financial statements

Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYZGKJ9	VALERO ENERGY CORP NEW 4.35% 06-01-2028	Acquisitions	460,000.00	-460,476.00	
		Dispositions	-460,000.00		461,053.40
SBYW5464	VENTAS RLTY LTD PARTNERSHIP 4.4% 01-15-2029	Acquisitions	110,000.00	-109,949.40	
		Dispositions	-110,000.00		109,814.77
SBYZKXZ8	VERIZON COMMUNICATIONS BNDS 5.25% DUE 03-16-2037 REG	Acquisitions	45,000.00	-46,522.80	
		Dispositions	-45,000.00		47,538.90
SBSBN5N1	VERIZON COMMUNICATIONS 4.4% DUE 11-01-2034 REG	Acquisitions	270,000.00	-265,469.40	
		Dispositions	-370,000.00		357,427.40
SBYRLRK2	VERIZON 4.272% DUE 01-15-2036	Acquisitions	110,000.00	-105,283.80	
		Dispositions	-110,000.00		104,061.10
SBYM0W21	VERIZON 4.672% DUE 03-15-2055	Acquisitions	185,000.00	-169,335.90	
		Dispositions	-2,170,000.00		2,023,721.20
SBBNBGK8	VERIZON 4.75% DUE 11-01-2041	Acquisitions	55,000.00	-53,642.05	
		Dispositions	-215,000.00		210,502.20
SBXVMD62	VERIZON 4.862% DUE 08-21-2046	Acquisitions	135,000.00	-134,515.40	
		Dispositions	-135,000.00		135,393.80
SBDLJP6	VERIZON 5.012% DUE 04-15-2049	Acquisitions	95,000.00	-93,694.25	
		Dispositions	-95,000.00		93,703.65
SBVYRK47	VERIZON 5.012% DUE 08-21-2054	Acquisitions	235,000.00	-234,188.00	
		Dispositions	-1,215,000.00		1,206,429.40
SBCHWWF1	VIACOM INC NEW 4.375% DUE 03-15-2043	Acquisitions	80,000.00	-67,080.80	
		Dispositions	-315,000.00		276,236.70
C92553PAU6	VIACOM INC NEW 5.85% DUE 09-01-2043	Acquisitions	115,000.00	-117,358.10	
		Dispositions	-245,000.00		257,988.15
SBYPHGN8	VISA INC 3.15% DUE 12-14-2025	Acquisitions	180,000.00	-176,479.20	

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFMLNY0	VODAFONE GROUP PLC 3.75% DUE 01-16-2024 REG	Dispositions	-180,000.00		174,184.20
		Acquisitions	110,000.00	-109,068.30	
SBFMLP22	VODAFONE GROUP PLC 5.25% DUE 05-30-2048	Dispositions	-110,000.00		109,635.44
		Acquisitions	780,000.00	-778,981.40	
SBFMLP11	VODAFONE GROUP PLC 5% DUE 05-30-2038	Dispositions	-780,000.00		793,951.92
		Acquisitions	260,000.00	-257,027.40	
SBYVG9R4	WALGREENS BOOTS 4.65% DUE 06-01-2046	Dispositions	-260,000.00		256,807.20
		Acquisitions	65,000.00	-67,676.05	
SBG0MT44	WALMART INC 3.55% DUE 06-26-2025	Dispositions	-130,000.00		125,115.90
		Acquisitions	250,000.00	-249,280.00	
SBYZLMX2	WALMART INC 3.625% DUE 12-15-2047	Dispositions	-250,000.00		252,673.75
		Acquisitions	105,000.00	-101,440.40	
SBFYK679	WALMART INC 3.7% DUE 06-26-2028	Dispositions	-280,000.00		260,654.95
		Acquisitions	130,000.00	-131,548.30	
SBGLQSV5	WALMART INC 3.95% DUE 06-28-2038	Dispositions	-130,000.00		129,720.50
		Acquisitions	360,000.00	-357,774.30	
SBG08Q52	WALMART INC 4.05% DUE 06-29-2048 REG	Dispositions	-360,000.00		362,964.27
		Acquisitions	940,000.00	-931,167.60	
SBJ0K1P4	WALMART INC 6.5% DUE 08-15-2037	Dispositions	-940,000.00		938,347.70
		Acquisitions	75,000.00	-99,240.00	
SB5LPVC7	WARNER MEDIA LLC 6.25% DUE 03-29-2041	Dispositions	-75,000.00		98,253.00
		Acquisitions	205,000.00	-234,123.85	
SBG1ZYY7	WEC ENERGY GROUP FIXED 3.375% DUE 06-15-2021	Dispositions	-205,000.00		223,814.90
		Acquisitions	500,000.00	-499,795.00	
SB87XCR8	WELLPOINT INC 3.125% DUE 05-15-2022	Dispositions	-500,000.00		504,775.80

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBCSCZN9	WELLPOINT INC 5.1% DUE 01-15-2044	Acquisitions	125,000.00	-123,082.50	
		Dispositions	-125,000.00		122,838.75
SBF3R2P1	WELLS FARGO & CO FLTG RT 3.584% DUE 05-22-2028	Acquisitions	55,000.00	-56,641.20	
		Dispositions	-55,000.00		56,735.25
SBS9FPF1	WELLS FARGO & CO NEW MEDIUM TERM SR 4.65% 11-04-2044	Acquisitions	110,000.00	-106,989.30	
		Dispositions	-250,000.00		241,121.60
SBYM4WQ7	WELLS FARGO & CO 3.069% DUE 01-24-2023	Acquisitions	155,000.00	-149,863.30	
		Dispositions	-795,000.00		776,383.85
SBD72T35	WELLS FARGO & CO 3% DUE 04-22-2026	Acquisitions	190,000.00	-184,927.00	
		Dispositions	-190,000.00		186,525.34
SBDSFRX9	WELLS FARGO & CO 4.75% DUE 12-07-2046	Acquisitions	90,000.00	-84,230.10	
		Dispositions	-90,000.00		84,051.90
SBYQ29R7	WELLS FARGO & CO 4.9% DUE 11-17-2045	Acquisitions	155,000.00	-155,225.70	
		Dispositions	-520,000.00		530,006.70
SBG48MR2	WELLS FARGO & CO 5.375% DUE 11-02-2043	Acquisitions	50,000.00	-50,387.00	
		Dispositions	-500,000.00		515,767.90
SBJTGHH7	WELLS FARGO & CO 5.606% DUE 01-15-2044	Acquisitions	50,000.00	-58,221.50	
		Dispositions	-190,000.00		204,569.95
SBFMYW31	WELLS FARGO BK N A FLTG RT 3.325% DUE 07-23-2021	Acquisitions	45,000.00	-48,863.70	
		Dispositions	-140,000.00		156,588.70
SBFMDCQ1	WELLS FARGO BK N A 2.6% 01-15-2021	Acquisitions	510,000.00	-510,000.00	
		Dispositions	-510,000.00		509,428.80
SBFY3CZ8	WELLTOWER INC FIXED 4.25% DUE 04-15-2028	Acquisitions	510,000.00	-508,310.85	
		Dispositions	-510,000.00		502,288.75
		Acquisitions	240,000.00	-235,636.00	
		Dispositions	-240,000.00		235,360.00

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYVN9Q4	WELLTOWER INC 3.95% 09-01-2023	Acquisitions	80,000.00	-79,973.60	
		Dispositions	-80,000.00		80,514.40
SBD6R618	WELLTOWER INC 4.25% DUE 04-01-2026	Acquisitions	80,000.00	-78,595.60	
		Dispositions	-80,000.00		79,057.60
SBDDMN60	WELLTOWER INC 4.95% DUE 09-01-2048	Acquisitions	200,000.00	-199,158.00	
		Dispositions	-200,000.00		199,854.06
SBGKCBF9	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP 4.7% 09-15-2028	Acquisitions	60,000.00	-59,933.40	
		Dispositions	-60,000.00		59,094.00
C958254AJ3	WESTN GAS PARTNERS 5.3% 03-01-2048	Acquisitions	290,000.00	-286,927.10	
		Dispositions	-290,000.00		266,918.90
SBGLQSZ9	WILLIAMS PARTNERS FIXED 4.85% DUE 03-01-2048	Acquisitions	120,000.00	-119,418.00	
		Dispositions	-120,000.00		116,321.20
SBW3SWW0	WILLIAMS PARTNERS 5.1% DUE 09-15-2045	Acquisitions	40,000.00	-39,935.60	
		Dispositions	-40,000.00		40,074.40
SBG0SQL8	WILLIAMS PARTNERS 5.8 DUE 11-15-2043	Acquisitions	185,000.00	-205,460.70	
		Dispositions	-185,000.00		199,174.70
SB3RZKK8	WILLIAMS PARTNERS 6.3% DUE 04-15-2040	Acquisitions	100,000.00	-114,199.30	
		Dispositions	-100,000.00		112,531.00
SB91ZLT2	WYETH NT 5.95 DUE 04-01-2037/03-27-2007 BEO	Acquisitions	110,000.00	-134,443.25	
		Dispositions	-190,000.00		230,541.17
SBF8QNX8	ZOETIS INC FIXED 3.9% DUE 08-20-2028	Acquisitions	55,000.00	-54,896.05	
		Dispositions	-55,000.00		54,115.05
SBDTYMK7	ZOETIS INC FIXED 4.45% DUE 08-20-2048	Acquisitions	180,000.00	-178,731.00	
		Dispositions	-180,000.00		177,530.40
SBWWYN04	21ST CENTY FOX 6.4% DUE 12-15-2035	Acquisitions	55,000.00	-69,961.10	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBWX5TS6	21ST CENTY FOX 6.9% DUE 08-15-2039	Dispositions	-210,000.00		262,101.85
		Acquisitions	90,000.00	-117,812.70	
SBWXSBT0	21ST CENTY FOX 7.75% DUE 12-01-2045	Dispositions	-90,000.00		118,124.10
		Acquisitions	20,000.00	-29,069.20	
		Dispositions	-20,000.00		29,208.00

** Represents party-in-interest as defined by ERISA

See accompanying independent auditor's report and notes to financial statements

Single Transaction in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
Global Region - USD									
CF HEITMAN GLOBAL PRIME COLLECTIVE INVESTMENT TRUST, CLASS 2 CUSIP: VXC999749	1,664,828.000	28 Sep 18	9.9710			0.00	16,600,000.00	16,600,000.00	0.00
CF LEGAL & GENERAL GLOBAL CORE INFRASTRUCTURE CIT CUSIP: 1J999H616	153,661.020	28 Sep 18	108.0300			0.00	16,600,000.00	16,600,000.00	0.00
United States - USD									
** CF WILLIS TOWERS WATSON GROUP TRUST DIVERSIFIED CREDIT FUND CUSIP: 1S74999S5	3,105,589.450	28 Sep 18	10.0780			0.00	31,300,000.00	31,300,000.00	0.00
** CF WILLIS TOWERS WATSON GROUP TRUST LONG CREDIT FUND CUSIP: 5K5999Y51	2,347,479.290	1 Oct 18	9.9220			0.00	23,294,013.53	23,294,013.53	0.00
** CF WILLIS TOWERS WATSON GT SUBS REDS CLEAR DEF CUSIP: 2R2999T58	5,911,006.670	4 Oct 18	9.9130			0.00	58,600,000.00	58,600,000.00	0.00
SSGA LONG US GOVERNMENT INDEX FUND CUSIP: 3L5999T57	524,424.240	28 Sep 18	40.0390			0.00	21,000,000.00	21,000,000.00	0.00

** Represents party-in-interest as defined by ERISA See accompanying independent auditor's report and notes to financial statements

Series of Non-Security Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
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THERE ARE NO REPORTABLE TRANSACTIONS

** Represents party-in-interest as defined by ERISA See accompanying independent auditor's report and notes to financial statements

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Number of Transactions	Transaction Aggregate		Lease Rental	Expenses Incurred	Cost of Asset	Current Value of Asset on Transaction
		Acquisition Price	Disposition Price				
CF LEGAL & GENERAL GLOBAL CORE INFRASTRUCTURE CIT CUSIP: 1J999H616	Total acquisitions	1	16,600,000.00		0.00	16,600,000.00	16,600,000.00
	Total dispositions	1		7,499.25	0.00	7,505.92	7,499.25
** CF WILLIS TOWERS WATSON GROUP TRUST LONG CREDIT FUND CUSIP: 5K5999Y51	Total acquisitions	1	23,294,013.53		0.00	23,294,013.53	23,294,013.53
	Total dispositions	1		2,500,000.00	0.00	2,597,254.12	2,500,000.00
** COLTV SHORT TERM INVT FD CUSIP: 195998AI0	Total acquisitions	48	14,917,076.67		0.00	14,917,076.67	14,917,076.67
	Total dispositions	63		14,101,968.60	0.00	14,101,968.60	14,101,968.60
UNITED STATES TREAS BDS DTD 2.75% 08-15-2047 SEDOL: BF53YK6	Total acquisitions	120	8,506,237.90		0.00	8,506,237.90	8,506,237.90
	Total dispositions	88		10,123,205.50	0.00	10,183,117.78	10,123,205.50
UNITED STATES TREAS BDS DTD 2.75% 11-15-2047 SEDOL: BF92XY6	Total acquisitions	298	18,315,129.72		0.00	18,315,129.72	18,315,129.72
	Total dispositions	214		18,327,815.59	0.00	18,315,129.72	18,327,815.59
UNITED STATES TREAS BDS DTD 3.125% 05-15-2048 SEDOL: BD59D47	Total acquisitions	118	7,709,040.06		0.00	7,709,040.06	7,709,040.06
	Total dispositions	77		7,712,262.17	0.00	7,709,040.06	7,712,262.17
UNITED STATES TREAS BDS 3.0% DUE 02-15-2 048 SEDOL: BG0D0F5	Total acquisitions	260	17,112,876.00		0.00	17,112,876.00	17,112,876.00
	Total dispositions	221		17,071,144.70	0.00	17,112,876.00	17,071,144.70
UNITED STATES TREAS NTS 2.875% DUE 05-15-2028 SEDOL: BD59D36	Total acquisitions	147	12,040,230.57		0.00	12,040,230.57	12,040,230.57
	Total dispositions	92		12,026,381.51	0.00	12,040,230.57	12,026,381.51
UNITED STATES TREAS 2.75% 02-15-2028 SEDOL: BG0D0D3	Total acquisitions	119	12,202,201.85		0.00	12,202,201.85	12,202,201.85
	Total dispositions	88		12,194,875.34	0.00	12,202,201.85	12,194,875.34

** Represents party-in-interest as defined by ERISA See accompanying independent auditor's report and notes to financial statements

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID		Number of Transactions	Transaction Aggregate Acquisition Price Disposition Price		Lease Rental	Expenses Incurred	Cost of Asset	Current Value of Asset on Transaction
UNITED STATES TREASURY 2.25% 11-15-2027 SEDOL: BF92XX5	Total acquisitions	49	5,953,247.88			0.00	5,953,247.88	5,953,247.88
	Total dispositions	41		7,581,988.35		0.00	7,618,037.71	7,581,988.35

** Represents party-in-interest as defined by ERISA See accompanying independent auditor's report and notes to financial statements

Series of Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
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THERE ARE NO REPORTABLE TRANSACTIONS

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SCHEDULE SB ATTACHMENTS

Schedule SB, Line 26 Schedule of Active Participant Data as of January 1, 2018

Attained Age	Years of Credited Service																			
	Under 1		1 to 4		5 to 9		10 to 14		15 to 19		20 to 24		25 to 29		30 to 34		35 to 39		40 & Over	
	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.
Under 25	0		0		0		0		0		0		0		0		0		0	
25 - 29	0		0		0		0		0		0		0		0		0		0	
30 - 34	0		0		0		0		0		0		0		0		0		0	
35 - 39	0		0		0		1		0		0		0		0		0		0	
40 - 44	0		2		1		3		1		0		0		0		0		0	
45 - 49	0		0		2		9		6		8		0		0		0		0	
50 - 54	0		1		2		4		4		54		13		0		0		0	
55 - 59	1		1		2		3		6		59		59		25		0		0	
60 - 64	0		0		1		2		3		28		37		35		10		0	
65 - 69	0		0		0		1		0		3		7		8		2		1	
70 & Over	0		0		0		0		0		0		1		0		2		0	

Plan Name: The Gates Group Retirement Plan
 EIN / PN: 84-0857401/333
 Plan Sponsor: Gates Corporation
 Valuation Date: January 1, 2018

SCHEDULE SB ATTACHMENTS

Schedule SB, Part V Statement of Actuarial Assumptions/Methods

Economic Assumptions

Interest rate basis

- Applicable month September
- Interest rate basis Segment Rates from 4th Month Preceding Valuation Date

Interest rates

	Reflecting Corridors	Not Reflecting Corridors
■ First segment rate	3.92%	1.75%
■ Second segment rate	5.52%	3.76%
■ Third segment rate	6.29%	4.66%
■ Effective interest rate	5.53%	3.83%

Rates not reflecting stabilization are used to determine used to determine the PBGC FTAP and the PBGC 4010 FS.

Compensation increases

Annual compensation increases are assumed to occur according to the following:

Attained Age	Annual Increase at Attained Age
20	11.68%
25	9.15%
30	7.60%
35	6.69%
40	5.86%
45	5.37%
50	5.12%
55	4.91%
60	4.70%

Gates matchmaker plan account balance investment return 3.00%

Gates matchmaker plan account balance conversion basis (document number 3 only) PBGC deferred interest rate structure (5.00%, 4.25%, 4.00%) and the 1983 Group Annuity Mortality Table, male rates

Plan-related expenses \$1,899,232

Plan Name: The Gates Group Retirement Plan
EIN / PN: 84-0857401/333
Plan Sponsor: Gates Corporation
Valuation Date: January 1, 2018

SCHEDULE SB ATTACHMENTS

Lump sum conversion factor Lump sums were valued using the substitution of annuity form under Proposed IRC §1.430(d)-1(f)(4) without application of generational mortality and without recognition of the phase-in of IRC §417(e)(3) assumptions.

Future increases in maximum benefits and plan compensation limitations Accrued benefits projected to be paid in future years are limited to the maximum presently allowed under IRC Section 415. Plan compensation is limited to the maximum presently allowed under IRC Section 401(a)(17). No provision is made for future increases in the maximum annual benefit or compensation limit.

Demographic Assumptions

Inclusion date The valuation date coincident with or next following the date on which the employee becomes a participant.

New or rehired employees It was assumed there will be no new or rehired employees.

Mortality

- **Healthy** Separate rates for non-annuitants (based on RP-2000 “Employees” table without collar or amount adjustments, projected to 2033 using Scale AA and annuitants (based on RP-2000 “Healthy Annuitants” table without collar or amount adjustments, projected to 2025 using Scale AA.
- **Disabled** For funding purposes the same mortality tables as those used for healthy lives.

Plan Name: The Gates Group Retirement Plan
EIN / PN: 84-0857401/333
Plan Sponsor: Gates Corporation
Valuation Date: January 1, 2018

SCHEDULE SB ATTACHMENTS

Termination

Rates varying by age

Percentage leaving during the year	
Attained Age	
20	20.00%
25	20.00%
30	20.00%
35	20.00%
40	10.00%
45	6.25%
50	5.00%
55	2.00%
60	2.00%
65 and over	1.00%

Disability

Disabilities are assumed to occur according to the following:

Attained Age	Annual Rate Per 1,000 Participants
25	0.60
30	0.75
35	0.97
40	1.28
45	1.87
50	3.15
55	5.70
60	9.50
65	18.00

Plan Name: The Gates Group Retirement Plan
EIN / PN: 84-0857401/333
Plan Sponsor: Gates Corporation
Valuation Date: January 1, 2018

SCHEDULE SB ATTACHMENTS

Retirement

Retirements are assumed to occur according to the following:

Attained Age	Participants Eligible for Unreduced Retirement	Participants Eligible for Reduced Retirement
50	6.00%	n/a
51	6.00%	n/a
52	6.00%	n/a
53	6.00%	n/a
54	6.00%	n/a
55	6.00%	11.00%
56	10.00%	11.00%
57	10.00%	6.00%
58	10.00%	6.00%
59	10.00%	6.00%
60	10.00%	6.00%
61	10.00%	15.00%
62	25.00%	20.00%
63	20.00%	30.00%
64	20.00%	30.00%
65	30.00%	30.00%
66	40.00%	40.00%
67	40.00%	40.00%
68	40.00%	40.00%
69	40.00%	40.00%
70	100.00%	100.00%

Benefit commencement date:

- Preretirement death benefit The later of the death of the active participant or the date the participant would have attained age 65
- Deferred vested benefit Age 65 or current age if later. If participant is past age 65, 50% of participants between the ages of 65 and 70 are assumed to claim their benefit, and 25% of participants greater than the age of 70 are assumed to claim their benefit.
- Disability benefit Upon disablement

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Valuation Date January 1, 2018

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- **Retirement benefit** Active participants under Plan documents 1, 2 and 3 who have reached a minimum age of 55 years and a minimum service of 10 years or a minimum age of 50 years and a minimum service of 30 years are assumed to commence payment on the first of the month coincident or next following termination of employment. Otherwise they are assumed to commence payment on the first of the month coincident or next following the attainment of age 70.

Form of payment

For Document Numbers 1, 2, and 3, 50% of participants are assumed to elect the normal form of payment and 50% of participants are assumed to elect the lump sum option. For single participants, the normal form of payment is the life annuity with an immediate 5-year guaranteed period. For married participants, the normal form of payment is the 50% joint and survivor annuity.

For Document Numbers 5, 6, 8, 10, and 11, 50% of deferred vested participants are assumed to elect a straight-life annuity, while the other 50% are assumed to elect a lump sum.

For Document Number 7, 50% of deferred vested participants are assumed to elect a straight-life annuity with 5 years guaranteed, while the other 50% are assumed to elect a lump sum.

For Document Number 9, participants are assumed to elect a lump sum benefit rather than one of the monthly annuity payment options.

Terminated vested participants that are over the age of 65 are assumed to choose the actuarial increase from Normal Retirement Date to commencement option over the retroactive annuity starting date option.

Plan compensation

Plan compensation is eligible earnings provided by the employer for the prior year adjusted by the compensation increase rate (used for the Gates Matchmaker Plan Offset).

Timing of benefit payments

Annuity payments are payable monthly at the beginning of the month and lump sum payments are payable on date of decrement.

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Plan Sponsor:	Gates Corporation
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SCHEDULE SB ATTACHMENTS

Percent married 80% of participants. Used to value pre-retirement surviving spouse benefits and in determining the optional forms expected to be elected at commencement.

Spouse age Male spouses are assumed to be three years older than female spouses.

Methods

Valuation date First day of plan year.

Funding target Present value of accrued benefits as required by regulations under IRC §430.

Target normal cost Present value of benefits expected to accrue during the plan year plus plan-related expenses expected to be paid from plan assets during the plan year as required by regulations under IRC §430.

Actuarial value of assets Average of the fair market value of assets on the valuation date and 12 and 24 months preceding the valuation date, adjusted for contributions, benefits, administrative expenses and expected earnings of 4.000% in the prior year, and 4.375% in the second prior year (with such expected earnings limited as described in IRS Notice 2009-22). The average asset value must be within 10% of market value, including discounted contributions receivable (discounted using the effective interest rate for the prior plan year.)

The method of computing the actuarial value of assets complies with rules governing the calculation of such values under the Pension Protection Act of 2006 (PPA). These rules produce smoothed values that reflect the underlying market value of plan assets but fluctuate less than the market value. As a result, the actuarial value of assets will be lower than the market value in some years and greater in other years. However, over the long term under PPA's smoothing rules, the method has a significant bias to produce an actuarial value of assets that is below the market value of assets.

Benefits not valued All benefits described in the Plan Provisions section of this report were valued based on discussions with the plan sponsor regarding the likelihood that these benefits will be paid. Willis Towers Watson has reviewed the plan provisions with the plan sponsor and, based on that

Plan Name: The Gates Group Retirement Plan
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review, is not aware of any significant benefits required to be valued that were not.

The plan pays small benefits (with a present value up to \$5,000 in a single lump sum payment). Such lump sums are not explicitly valued; rather such participants' benefits are valued using the benefit choice assumptions described above.

Sources of Data and Other Information

Gates Corporation furnished participant data as of January 1, 2018. Information on assets, contributions and plan provisions was supplied by the plan sponsor. Data and other information were reviewed for reasonableness and consistency, but no audit was performed. Based on discussions with the plan sponsor, assumptions or estimates were made when data were not available, and the data was adjusted to reflect any significant events that occurred between the date the data was collected and the measurement date. We are not aware of any errors or omissions in the data that would have a significant effect on the results of our calculations.

Assumptions Rationale - Significant Economic Assumptions

Discount rate	The basis chosen was selected by the plan sponsor from among choices prescribed by law, all of which are based on observed market data over certain periods of time.
Gates matchmaker plan hypothetical account balance investment return	The plan credits interest to the Gates Matchmaker Plan Account Balance using actual investment return under the Gates Stable Value Fund of the Gates Matchmaker Plan. Our long term estimate of actual investment return under the Gates Stable Value Fund of the Gates Matchmaker Plan is 3.00%, based on a combination of current conditions, and future economic expectations.
Gates matchmaker plan hypothetical account balance conversion basis	For Document Number 3, the Gates Matchmaker Plan Offset is determined by converting the Gates Matchmaker Plan Hypothetical Account Balance to an annuity using the 1983 Group Annuity Mortality Table for males and the interest rate which shall be the greater of the PBGC deferred interest rate structure (5.00%, 4.25%, 4.00%) or the deferred interest rate structure published by the PBGC as of the last business day of the second month preceding the last day worked or the last day included in the member's Credited Service, whichever is later.

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The assumed annuity conversion rate is a long term estimate of the plan's conversion basis; we believe that the selected assumption does not significantly conflict with what would be reasonable.

Lump sum conversion factor As required by IRC 430, lump sum benefits are valued using "annuity substitution", so that the interest rates assumed are effectively the same as described above for the discount rate.

Plan-related expenses As required by regulations, plan-related expenses are calculated by estimating the expenses to be paid from the trust during the coming year (including, for example, expected PBGC premiums and actuarial, accounting, legal, administration and trustee fees to be paid from the trust).

Assumed return for asset smoothing The assumed return of 3.625% used for asset smoothing is the expected return on assets assumption chosen by the client for the 2018 plan year under U.S. GAAP. Although determining the expected rate of return on plan assets was not within the scope of our work, it is the client's best estimate and we determined that it does not significantly conflict with what would be reasonable based on their asset allocation.

Assumptions Rationale - Significant Demographic Assumptions

Healthy mortality Assumptions used for funding purposes are as prescribed by IRC §430(h). Note that the plan sponsor has decided, as allowed by regulation §1.430(h)(3)-1, to delay until the 2019 plan year updating the required mortality table to reflect the RP-2014 study and subsequent Society of Actuaries studies.

Disabled mortality Assumptions used for funding purposes are as prescribed by IRC §430(h). Note that the plan sponsor has decided, as allowed by regulation §1.430(h)(3)-1, to delay until the 2019 plan year updating the required mortality table to reflect the RP-2014 study and subsequent Society of Actuaries studies.

Termination Termination rates were based on an experience study conducted in 2012, with annual consideration of whether any conditions have changed that would be expected to produce different results in the future.

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Disability	Disability rates were based on an experience study conducted in 2012, with annual consideration of whether any conditions have changed that would be expected to produce different results in the future.
Retirement	Retirement rates were based on an experience study conducted in 2018 with annual consideration of whether any conditions have changed that would be expected to produce different results in the future.
Benefit commencement for deferred benefits	<p>Deferred vested participants are assumed to begin benefits based on plan sponsor expectations for the future, with periodic monitoring of gains and losses caused by commencement patterns different than assumed.</p> <p>The percentage of terminated vested participants over the age of 65 that are assumed to claim their benefits is based on plan sponsor experience and expectations for the future.</p>
Form of payment	Assumed optional form elections are based on the best estimate of future experience.
Percent married	The percentage married assumption is based on expectations for the future.
Spouse age	The spousal age difference assumption is based on expectations for the future.

Source of Prescribed Methods

Funding methods	The methods used for funding purposes as described in this document, including the method of determining plan assets, are "prescribed methods set by law", as defined in the actuarial standards of practice (ASOPs). These methods are required by IRC §430, or were selected by the plan sponsor from a range of methods permitted by IRC §430.
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Plan Name:	The Gates Group Retirement Plan
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Changes in Assumptions and Methods

Change in assumptions since prior valuation	<p>The segment interest rates used to calculate the funding target and target normal cost were updated to the current valuation date as required by IRC §430.</p> <p>The mortality table used to calculate the funding target and target normal cost was updated to include one additional year of projected mortality improvement, as required by IRC §430.</p> <p>Rates of retirement were updated based on an experience study performed in 2018.</p> <p>Assumptions for terminated vested participants over the age of 65 have been updated to reflect actuarial increases and to reflect the expected probability these participants will claim their benefit in the future.</p>
Change in methods since prior valuation	None.

Plan Name:	The Gates Group Retirement Plan
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Schedule SB, Line 22
Description of Weighted Average Retirement Age
as of January 1, 2018

See Schedule SB, Part V - Statement of Actuarial Assumptions/Methods for retirement rates. The average retirement age for Line 22 was calculated by determining the average age at retirement for those current active participants expected to reach retirement, based on all current decrements assumed.

Plan Name:	The Gates Group Retirement Plan
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Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

Single Transaction in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
Global Region - USD									
CF HEITMAN GLOBAL PRIME COLLECTIVE INVESTMENT TRUST, CLASS 2 CUSIP: VXC999749	1,664,828.000	28 Sep 18	9.9710			0.00	16,600,000.00	16,600,000.00	0.00
CF LEGAL & GENERAL GLOBAL CORE INFRASTRUCTURE CIT CUSIP: 1J999H616	153,661.020	28 Sep 18	108.0300			0.00	16,600,000.00	16,600,000.00	0.00
United States - USD									
** CF WILLIS TOWERS WATSON GROUP TRUST DIVERSIFIED CREDIT FUND CUSIP: 1S74999S5	3,105,589.450	28 Sep 18	10.0780			0.00	31,300,000.00	31,300,000.00	0.00
** CF WILLIS TOWERS WATSON GROUP TRUST LONG CREDIT FUND CUSIP: 5K5999Y51	2,347,479.290	1 Oct 18	9.9220			0.00	23,294,013.53	23,294,013.53	0.00
** CF WILLIS TOWERS WATSON GT SUBS REDS CLEAR DEF CUSIP: 2R2999T58	5,911,006.670	4 Oct 18	9.9130			0.00	58,600,000.00	58,600,000.00	0.00
SSGA LONG US GOVERNMENT INDEX FUND CUSIP: 3L5999T57	524,424.240	28 Sep 18	40.0390			0.00	21,000,000.00	21,000,000.00	0.00

** Represents party-in-interest as defined by ERISA See accompanying independent auditor's report and notes to financial statements

Series of Non-Security Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
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THERE ARE NO REPORTABLE TRANSACTIONS

** Represents party-in-interest as defined by ERISA See accompanying independent auditor's report and notes to financial statements

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Number of Transactions	Transaction Aggregate		Lease Rental	Expenses Incurred	Cost of Asset	Current Value of Asset on Transaction
		Acquisition Price	Disposition Price				
CF LEGAL & GENERAL GLOBAL CORE INFRASTRUCTURE CIT CUSIP: 1J999H616	Total acquisitions	1	16,600,000.00		0.00	16,600,000.00	16,600,000.00
	Total dispositions	1		7,499.25	0.00	7,505.92	7,499.25
** CF WILLIS TOWERS WATSON GROUP TRUST LONG CREDIT FUND CUSIP: 5K5999Y51	Total acquisitions	1	23,294,013.53		0.00	23,294,013.53	23,294,013.53
	Total dispositions	1		2,500,000.00	0.00	2,597,254.12	2,500,000.00
** COLTV SHORT TERM INVT FD CUSIP: 195998AI0	Total acquisitions	48	14,917,076.67		0.00	14,917,076.67	14,917,076.67
	Total dispositions	63		14,101,968.60	0.00	14,101,968.60	14,101,968.60
UNITED STATES TREAS BDS DTD 2.75% 08-15-2047 SEDOL: BF53YK6	Total acquisitions	120	8,506,237.90		0.00	8,506,237.90	8,506,237.90
	Total dispositions	88		10,123,205.50	0.00	10,183,117.78	10,123,205.50
UNITED STATES TREAS BDS DTD 2.75% 11-15-2047 SEDOL: BF92XY6	Total acquisitions	298	18,315,129.72		0.00	18,315,129.72	18,315,129.72
	Total dispositions	214		18,327,815.59	0.00	18,315,129.72	18,327,815.59
UNITED STATES TREAS BDS DTD 3.125% 05-15-2048 SEDOL: BD59D47	Total acquisitions	118	7,709,040.06		0.00	7,709,040.06	7,709,040.06
	Total dispositions	77		7,712,262.17	0.00	7,709,040.06	7,712,262.17
UNITED STATES TREAS BDS 3.0% DUE 02-15-2 048 SEDOL: BG0D0F5	Total acquisitions	260	17,112,876.00		0.00	17,112,876.00	17,112,876.00
	Total dispositions	221		17,071,144.70	0.00	17,112,876.00	17,071,144.70
UNITED STATES TREAS NTS 2.875% DUE 05-15-2028 SEDOL: BD59D36	Total acquisitions	147	12,040,230.57		0.00	12,040,230.57	12,040,230.57
	Total dispositions	92		12,026,381.51	0.00	12,040,230.57	12,026,381.51
UNITED STATES TREAS 2.75% 02-15-2028 SEDOL: BG0D0D3	Total acquisitions	119	12,202,201.85		0.00	12,202,201.85	12,202,201.85
	Total dispositions	88		12,194,875.34	0.00	12,202,201.85	12,194,875.34

** Represents party-in-interest as defined by ERISA See accompanying independent auditor's report and notes to financial statements

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Number of Transactions	Transaction Aggregate		Lease Rental	Expenses Incurred	Cost of Asset	Current Value of Asset on Transaction
		Acquisition Price	Disposition Price				
UNITED STATES TREASURY 2.25% 11-15-2027 SEDOL: BF92XX5	Total acquisitions	49	5,953,247.88		0.00	5,953,247.88	5,953,247.88
	Total dispositions	41		7,581,988.35	0.00	7,618,037.71	7,581,988.35

** Represents party-in-interest as defined by ERISA See accompanying independent auditor's report and notes to financial statements

Series of Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
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THERE ARE NO REPORTABLE TRANSACTIONS

** Represents party-in-interest as defined by ERISA See accompanying independent auditor's report and notes to financial statements

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2018 This Form is Open to Public Inspection
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For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

► **Round off amounts to nearest dollar.**

► **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan The Gates Group Retirement Plan	B Three-digit plan number (PN) ►	333
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF Gates Corporation	D Employer Identification Number (EIN) 84-0857401	
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B		
F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500		

Part I Basic Information			
1	Enter the valuation date: Month <u>01</u> Day <u>01</u> Year <u>2018</u>		
2	Assets:		
	a Market value	2a	251,546,047
	b Actuarial value	2b	233,039,406
3	Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target
	a For retired participants and beneficiaries receiving payment	1,351	185,270,247
	b For terminated vested participants	1,115	32,119,648
	c For active participants	408	11,744,462
	d Total	2,874	229,134,357
4	If the plan is in at-risk status, check the box and complete lines (a) and (b) ☐		
	a Funding target disregarding prescribed at-risk assumptions	4a	
	b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b	
5	Effective interest rate	5	5.53%
6	Target normal cost	6	1,899,232

Statement by Enrolled Actuary
 To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	 Thomas M. Gieck _____ Signature of actuary	9/23/2019 _____ Date 1707245 _____ Most recent enrollment number 303-391-1200 _____ Telephone number (including area code)
Thomas M. Gieck _____ Type or print name of actuary Willis Towers Watson US LLC _____ Firm name 555 17th Street, Suite 2050 _____ Denver CO 80202 _____ Address of the firm		

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice, see the Instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2018
v. 171027

Part II	Beginning of Year Carryover and Prefunding Balances
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	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year).....	33,358,317	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9 Amount remaining (line 7 minus line 8).....	33,358,317	0
10 Interest on line 9 using prior year's actual return of <u>9.36%</u>	3,122,338	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year).....		0
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>5.70%</u>		0
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
c Total available at beginning of current plan year to add to prefunding balance.....		0
d Portion of (c) to be added to prefunding balance.....		0
12 Other reductions in balances due to elections or deemed elections.....	16,802,568	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12).....	19,678,087	0

Part III	Funding Percentages
-----------------	----------------------------

14	Funding target attainment percentage	14	92.91%
15	Adjusted funding target attainment percentage.....	15	100.89%
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	109.64%
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.	17	%

Part IV	Contributions and Liquidity Shortfalls
----------------	---

18 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
			Totals ►	18(b)	0 18(c)

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years.....	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date.....	19c	0

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No

C If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 3.92 %	2nd segment: 5.52 %	3rd segment: 6.29 %	☐ N/A, full yield curve used
b Applicable month (enter code).....				21b 4
22 Weighted average retirement age				22 63
23 Mortality table(s) (see instructions)	Prior regulation:	☐ Prescribed - combined	☒ Prescribed - separate	☐ Substitute
	Current regulation:	☐ Prescribed - combined	☐ Prescribed - separate	☐ Substitute

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)	31a	1,899,232	
b Excess assets, if applicable, but not greater than line 31a	31b	0	
32 Amortization installments:	Outstanding Balance	Installment	
a Net shortfall amortization installment	0	0	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33		
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)	34	1,899,232	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	1,899,232	0	1,899,232
36 Additional cash requirement (line 34 minus line 35)	36	0	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	37	0	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	0	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0	
40 Unpaid minimum required contributions for all years	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:				
a Schedule elected	☐ 2 plus 7 years	☐ 15 years		
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008	☐ 2009	☐ 2010	☐ 2011

SCHEDULE SB ATTACHMENTS

Schedule SB – Statement by Enrolled Actuary

Plan Sponsor	Gates Corporation
EIN/PN	84-0857401/333
Plan Name	The Gates Group Retirement Plan
Valuation Date	January 1, 2018
Enrolled Actuary	Thomas M. Gieck
Enrollment Number	17-07245

The actuarial assumptions that are not mandated by IRC § 430 and regulations, represent the enrolled actuary's best estimate of anticipated experience under the plan, subject to the following conditions:

The actuarial valuation, on which the information in this Schedule SB is based, has been prepared in reliance upon the employee and financial data furnished by the plan administrator and the trustee. The enrolled actuary has not made a rigorous check of the accuracy of this information but has accepted it after reviewing it and concluding it is reasonable in relation to similar information furnished in previous years. The amounts of contributions and dates paid shown in Item 18 of Schedule SB were listed in reliance on information provided by the plan administrator and/or trustee.

SCHEDULE SB ATTACHMENTS

Schedule SB, Part V Summary of Plan Provisions

Applies to Document Numbers 1, 2 and 3

Plan Sponsor

Gates Corporation

Effective Date

Restated effective January 1, 2016 and there are no subsequent amendments that affect the plan provisions.

Participation

Each eligible employee becomes a member after completion of one year of Continuous Service and attainment of age 21. Effective January 1, 1990, any new employee who is not a member of a collective bargaining unit that is eligible for participation in the plan is excluded from the plan. Effective December 31, 2009, all future new members are excluded from the plan.

Retirement Dates

Normal: The first day of the month following the attainment of age 65.

Early: The first day of the month following the attainment of age 55 and the completion of 10 years of Continuous Service or the completion of 30 years of Continuous Service.

Late: The first day of any month subsequent to the Normal Retirement Date.

Continuous Service

For full-time employees, service is credited using the elapsed time method. For part-time employees, service is credited for each year in which the employee works at least 1,000 hours, but always at least equal to Credited Service.

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Credited Service

For full-time employees, service is credited using the elapsed time method. For part-time employees, service is credited for each year in which the employee works at least 2,080 hours, and partial years of service are credited on a prorated basis based on a total of 2,080 hours, rounded to the nearest twelfth of a year.

Credited Service was frozen as of August 14, 2008 for members in Document Number 1. Credited Service was frozen as of September 30, 2009 for members in Document Number 2 Appendix J and Appendix K. Credited Service was frozen as of December 31, 2009 for members of the Elizabethtown Polyflex location and April 10, 2011 for members of the Galesburg location in Document Number 3.

Eligible Earnings

For Document Number 2, Eligible Earnings are defined as total cash remuneration paid for services rendered to the Company, including regular pay, task incentive pay, vacation pay, sick leave pay, holiday pay, instructor's pay, funeral leave pay, military reserve training pay, commissions and annual bonuses. No Eligible Earnings after September 30, 2009 will be considered for Target Benefit calculation purposes.

Gates Matchmaker Plan Hypothetical Account Balance

For Document Numbers 2 and 3, the Gates Matchmaker Plan Hypothetical Account Balance as of December 31, 1988 with actual investment return plus the member's Company basic contributions made on and after January 1, 1989 increased with actual investment return between January 1, 1989 and June 30, 1996. Effective July 1, 1996, investment return will be equal to that of the Gates Stable Value Fund of the Gates Matchmaker Plan. The Company basic contribution is currently 3% of applicable earnings.

Gates Matchmaker Plan Offset

For Document Number 2, the Gates Matchmaker Plan Offset is determined by a factor based on age and years of Continuous Service applied to the Gates Matchmaker Plan Hypothetical Account Balance as described in the Plan document.

For Document Number 3, the Gates Matchmaker Plan Offset is determined by converting the Gates Matchmaker Plan Hypothetical Account Balance to an annuity using the 1983 Group Annuity Mortality Table for males and the interest rate which shall be the greater of the PBGC deferred interest rate structure (5.00%, 4.25%, 4.00%) or the deferred interest rate structure published by the PBGC as of the last business day of the second month preceding the last day worked or the last day included in the member's Credited Service, whichever is later.

Plan Name:	The Gates Group Retirement Plan
EIN / PN:	84-0857401/333
Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

Target Benefit

For the applicable formulas in Document Numbers 2 and 3, if the Target Benefit is greater than the Gates Matchmaker Plan Offset, the excess of the Target Benefit over the Offset is payable from the Plan.

Retirement Income

Normal

Document Number 1:

A monthly pension equal to \$33.00 multiplied by Credited Service as of August 14, 2008.

Document Number 2:

Appendix J

1 A Target Benefit equal to the greater of (a) or (b):

a The sum of (i), (ii) and (iii):

- i $1/12^{\text{th}}$ of 0.8% multiplied by the Average Eligible Earnings multiplied by Credited Service prior to January 1, 1999.
- ii $1/12^{\text{th}}$ of 0.5% multiplied by the Average Eligible Earnings in excess of \$25,000 multiplied by Credited Service prior to January 1, 1999.
- iii $1/12^{\text{th}}$ of 0.5% multiplied by the Average Eligible Earnings multiplied by Credited Service on and after January 1, 1999 and on and before September 30, 2009.

Average Eligible Earnings are determined to be the average Eligible Earnings during the 60 consecutive months of Continuous Service on and before September 30, 2009 which produce the highest average, limited to the applicable compensation limit, as indexed.

b \$28.50 multiplied by Credited Service on and before September 30, 2009.

2 The monthly benefit payable is calculated as the excess of the Target Benefit over the Gates Matchmaker Plan Offset with the excess, if any, subject to a minimum accrued benefit calculated as of December 31, 1993.

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Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

Appendix K

- 1 A Target Benefit equal to $1/12^{\text{th}}$ of 0.6% multiplied by Career Earnings.

Career Earnings are an employee's aggregate Eligible Earnings received from the Company on or after October 1, 1979 and on or before September 30, 2009.

- 2 The monthly benefit payable is calculated as the excess of the Target Benefit over the Gates Matchmaker Plan Offset with the excess, if any, subject to minimum accrued benefits calculated as of December 31, 1984 and as of December 31, 1993.

Document Number 3:

A Denver Print Shop

- 1 A Target Benefit equal to \$19.50 multiplied by Credited Service.
- 2 The monthly benefit payable is calculated as the excess of the Target Benefit over the Gates Matchmaker Plan Offset with the excess, if any subject to a minimum accrued benefit calculated as of March 31, 1989.

B Galesburg Local #685

Members may make a one-time election of either (1) or (2) below:

- 1 A monthly benefit equal to Credited Service as of April 10, 2011 multiplied by:

All amounts shown in US Dollars

In Service On or After	Monthly Rate
April 1, 2005	30.00
April 1, 2007	31.00
April 1, 2009	32.00

Members who elect this option will not be eligible to participate in the Gates Matchmaker Plan.

- 2 A monthly benefit equal to Credited Service frozen as of March 27, 1999 multiplied by \$24.00. Members who elect this option will be eligible to participate in the Gates Matchmaker Plan.

C Elizabethtown Belt and Hose Local #780

- 1 A Target Benefit equal to \$20.00 multiplied by Credited Service.
- 2 The monthly benefit payable is calculated as the excess of the Target Benefit over the Gates Matchmaker Plan Offset with the excess, if any, subject to a minimum accrued benefit calculated as of March 31, 1992.

Plan Name: The Gates Group Retirement Plan
EIN / PN: 84-0857401/333
Plan Sponsor: Gates Corporation
Valuation Date: January 1, 2018

SCHEDULE SB ATTACHMENTS

D Elizabethtown Polyflex Local #766

- 1 A Target Benefit equal to Credited Service as of December 31, 2009 with each applicable service period multiplied by the rates specified below:

All amounts shown in US Dollars

Monthly Rate	Service Period
15.00	On or before June 30, 1987
16.25	July 1, 1987 - August 31, 1988
17.00	September 1, 1988 - August 31, 1989
17.25	September 1, 1989 - August 31, 1990
18.00	September 1, 1990 - August 31, 1991
19.00	September 1, 1991 - August 31, 1992
20.00	On or after September 1, 1992

- 2 The monthly benefit payable is calculated as the excess of the Target Benefit over the Gates Matchmaker Plan Offset with the excess, if any, subject to a minimum accrued benefit calculated as of August 13, 1990.

E Los Angeles Distribution Center

A monthly benefit payable equal to Credited Service for the applicable dates multiplied by the rates specified below:

All amounts shown in US Dollars

Monthly Rate	Service Period
13.50	On or before November 16, 1986
14.50	November 17, 1986 - November 30, 1988
15.00	December 1, 1988 - November 30, 1990
15.50	December 1, 1990 - November 30, 1991
16.00	December 1, 1991 - November 30, 1992
16.50	December 1, 1992 - November 30, 1993
17.00	On or after December 1, 1993

Reduced Early

The early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Credited Service at early retirement, or an immediate benefit equal to such deferred benefit, reduced by 0.4% for each complete calendar month by which the annuity commencement date precedes age 62.

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Unreduced Early

Except for the Los Angeles Distribution Center, if a member has at least 30 years of Continuous Service, the early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Credited Service at early retirement, or an immediate benefit equal to such deferred benefit, unreduced for early commencement. For members at the Los Angeles Distribution Center, unreduced early retirement benefits require attainment of age 55 and at least 30 years of Continuous Service.

Supplemental Early

For those members retiring with at least 30 years of Continuous Service after age 55 and before age 62, a supplemental early retirement benefit is payable equal to the amount needed to bring the total benefit up to an amount specified by a combination of the member's age and service, varying by the applicable Document. This supplement is payable as a temporary life annuity to age 62 or death, if earlier. Note that members of Appendix K in Document Number 2 are not eligible for a supplement. Also note that this supplement is eliminated for all active members not eligible as of September 30, 2009 (eligibility is age 55 and 30 years of Continuous Service as of that date).

Late

A monthly pension equal to the normal retirement benefit determined as of the member's late retirement date.

Vesting

Eligibility: A member is 100% vested when he has completed at least 5 years of Continuous Service or attained age 65. All members of Document Number 2 who are actively employed as of September 30, 2009 are automatically 100% vested. All members of Document Number 3 (Elizabethtown Polyflex) who are actively employed as of December 31, 2009 are automatically 100% vested. All members of Document Number 3 (Galesburg) who are actively employed as of April 10, 2011 are automatically 100% vested.

Benefit: A monthly retirement income commencing at Normal Retirement Date equal to the accrued retirement income.

A former member of Document Number 1 who is entitled to a vested deferred retirement benefit may elect to receive an immediate retirement benefit as early as age at termination equal to the deferred benefit actuarially reduced for each complete calendar month that the annuity commencement date precedes Normal Retirement Date.

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A former member of Document Numbers 2 and 3 who is entitled to a vested deferred retirement benefit and terminated service with at least 10 years of Continuous Service may, on or after his attainment of age 55, elect to receive an immediate retirement benefit equal to the deferred benefit actuarially reduced for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date.

Disability

Disability occurring on or before September 30, 2009 (for Document Number 2), on or before December 31, 2009 (for Elizabethtown Polyflex Document Number 3), and on or before April 10, 2011 (for Galesburg Document Number 3):

Eligibility: Total and permanent disability as determined by the Committee, and after the completion of 10 years of Continuous Service. The member must also qualify for Social Security disability benefits.

Benefit: The disability retirement benefit is equal to the accrued benefit determined using Credited Service and Eligible Earnings as of the date of disability payable immediately, with no reduction for early commencement.

Disability occurring after September 30, 2009 (for Document Number 2), after December 31, 2009 (for Elizabethtown Polyflex Document Number 3), and after April 10, 2011 (for Galesburg Document Number 3):

Active members are not eligible for disability benefits.

Preretirement Surviving Spouse Benefit

Eligibility: A member dies prior to his annuity commencement date, but after the attainment of Normal Retirement Age, completion of at least 5 years of Continuous Service or otherwise eligible for a deferred vested benefit with a surviving spouse.

Benefit: The retirement benefit payable to the spouse is equal to the retirement benefit which would have been payable to such spouse under the plan's joint and 50% survivor benefit if the member had terminated on his date of death, survived until his normal retirement age under the plan, begun receiving a benefit in the form of a qualified joint and survivor annuity and died the next day. The surviving spouse may elect an earlier commencement date, but no earlier than the member's earliest early retirement date.

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If the member is covered under Document Number 2, Appendix J and dies while active after (1) the attainment of age 65, (2) the attainment of age 55 and 10 years of Continuous Service, (3) the attainment of age 50 and 20 years of Continuous Service, or (4) has at least 30 years of Continuous Service, no adjustment is made for the joint and survivor option, and the benefit will be paid as a single life annuity with a 5-year guaranteed period.

Single Sum Death Benefit

Eligibility: A member (other than a deferred vested member) covered under Document Number 1, Appendix J of Document Number 2 or Document Number 3 dies after his annuity commencement date, is currently receiving a benefit as of the date of death and is survived by a spouse to whom the member has been married for at least one year.

Benefit: The single lump sum of \$750 for employees of the Los Angeles Distribution Center and \$1,500 for all other covered employees, payable to the surviving spouse.

Form of Payment

Life annuity with an immediate 5-year guaranteed period

50%, 75% or 100% joint and survivor annuity

Lump sum

Changes in Plan Provisions Since Last Actuarial Valuation

There have been no changes in the plan provisions since the last actuarial valuation that would affect current valuation results.

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Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

Summary of principal plan provisions

Applies to Document Number 5

Plan Sponsor

Gates Corporation

Effective Date

Restated effective January 1, 2016 and there are no subsequent amendments that affect the plan provisions.

Participation

Each covered employee of Camel Tire Care Products who is a member of the collective bargaining unit becomes a member immediately upon hire. Effective April 17, 1990, future new members are excluded from the plan.

Retirement Dates

Normal: The first day of the month following the attainment of age 65 and the completion of 5 years of participation in the plan.

Early: The first day of the month following the attainment of age 55 and the completion of 10 years of Continuous Service.

Late: The first day of any month subsequent to the Normal Retirement Date.

Continuous Service

Service is credited on the basis of one year for each calendar year in which the member works at least 1,000 hours and partial years of service are credited on a prorated basis – 1/10th for each 160 hours worked.

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Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

Credited Service

Service is credited on the basis of one year for each calendar year in which the member works at least 1,280 hours and partial years of service are credited on a prorated basis based on a total of 1,280 hours.

Credited service accruals were frozen as of April 17, 1990.

Retirement Income

Normal: A monthly pension equal to \$11.00 multiplied by Credited Service.

Early: The early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Credited Service at early retirement, or an immediate benefit equal to such deferred benefit, reduced by 0.4% for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date.

Late: A monthly pension equal to the normal retirement benefit determined as of the member's late retirement date.

Vesting

Eligibility: A member is 100% vested when he has completed at least 5 years of Continuous Service or attainment of Normal Retirement Date while employed.

Benefit: A monthly retirement income commencing at his Normal Retirement Date equal to his accrued retirement income.

A former member who is entitled to a vested deferred retirement benefit and terminated service with 10 or more years of Continuous Service may, on or after his attainment of age 55, elect to receive an immediate retirement benefit equal to the deferred benefit actuarially reduced for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date.

Preretirement Surviving Spouse Benefit

Eligibility: A member dies prior to his annuity commencement date, but after the attainment of Normal Retirement Age or completion of at least 5 years of Continuous Service with a surviving spouse.

Benefit: The retirement benefit payable to the spouse is equal to the retirement benefit which would have been payable to such spouse under the plan's joint and 50% survivor benefit if the member had

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terminated on his date of death, survived until his normal retirement age under the plan, begun receiving a benefit in the form of a qualified joint and survivor annuity and died the next day. The surviving spouse may elect an earlier commencement date, but no earlier than the member's earliest early retirement date.

Form of Payment

Life annuity

Life annuity with an immediate 5-year or 10-year guaranteed period

50%, 75% or 100% joint and survivor annuity

Lump sum

Changes in Plan Provisions Since Last Actuarial Valuation

There have been no changes in the plan provisions since the last actuarial valuation that would affect current valuation results.

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Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

Summary of principal plan provisions

Applies to Document Number 6

Plan Sponsor

Gates Corporation

Effective Date

Restated effective January 1, 2016 and there are no subsequent amendments that affect the plan provisions.

Participation

Each eligible employee becomes a member after completion of 1,000 hours during either the first twelve months of employment or any succeeding plan year. Effective June 25, 1996, future new members are excluded from the plan.

Retirement Dates

Normal: The first day of the month coinciding with or next following the attainment of age 65 and the completion of 5 years of participation in the plan.

Early: The first day of the month coinciding with or next following the attainment of age 60 and the completion of 10 years of Continuous Service. Hourly employees are also eligible the first day of the month following the attainment of age 62, or age 60 with at least 30 years of Continuous Service.

Late: The first day of any month subsequent to the Normal Retirement Date.

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Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

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Continuous Service / Credited Service

Service is credited on the basis of one year for each calendar year during which the member worked at least 1,000 hours and partial years of service are credited as follows:

Hours	Year of Service
1 – 380	$\frac{1}{4}$
381 – 760	$\frac{1}{2}$
761 – 999	$\frac{3}{4}$
1,000 +	1

Credited Service accruals were frozen as of September 30, 1996.

Compensation

Total cash remuneration paid prior to September 30, 1996, including pre-tax contributions to any 401(k) or cafeteria plan.

Retirement Income

Normal:

- 1 Salaried Members: A monthly pension $[(a) + (b) - (c)]$ as follows:
 - a 1.0% multiplied by monthly Average Final Compensation multiplied by Credited Service.
 - b 0.25% multiplied by monthly Average Final Compensation in excess of $\frac{1}{12}$ th of 67.4% of the Social Security wage base (for the calendar year immediately preceding the year of determination), multiplied by the minimum of Credited Service earned after September 30, 1991 and 35 years.
 - c Any Scoville plan deferred vested benefit.

Average Final Compensation is determined to be the average Compensation prior to September 30, 1996 and during the highest 5 consecutive plan years during the longer of either (1) the 15 plan years immediately preceding the employee's retirement or termination; or (2) the plan years from the employee's attainment of age 45 to the employee's retirement or termination.

- 2 Hourly Members: A monthly pension equal to \$6.00 multiplied by Credited Service.

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Early: The early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Credited Service at early retirement, or an immediate benefit equal to such deferred benefit, reduced by 0.6% for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date. The early retirement benefit for hourly employees is unreduced at age 62 or at age 60 with at least 30 years of Continuous Service.

Late: A monthly pension equal to the normal retirement benefit determined as of the member's late retirement date.

Vesting

Eligibility: A member is 100% vested when he has completed at least 5 years of Continuous Service or attained Normal Retirement Date while employed. All active members became 100% vested at September 30, 1996.

Benefit: A monthly retirement income commencing at Normal Retirement Date equal to his accrued retirement income.

A former member who is entitled to a vested deferred retirement benefit and terminated service with 10 or more years of Continuous Service may, on or after his attainment of age 60, elect to receive an immediate retirement benefit equal to the deferred benefit reduced by 0.6% for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date.

Preretirement Surviving Spouse Benefit

Eligibility: A member dies prior to his annuity commencement date, but after the attainment of Normal Retirement Age, completion of at least 5 years of Continuous Service or otherwise eligible for a deferred vested benefit with a surviving spouse.

Benefit: The retirement benefit payable to the spouse is equal to the retirement benefit which would have been payable to such spouse under the plan's joint and 50% survivor benefit if the member had terminated on his date of death, survived until his normal retirement age under the plan, begun receiving a benefit in the form of a qualified joint and survivor annuity and died the next day. The surviving spouse may elect an earlier commencement date, but no earlier than the member's earliest early retirement date.

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Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

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Form of Payment

Life annuity

Life annuity with an immediate 10-year guaranteed period

50%, 75% or 100% joint and survivor annuity

Lump sum

Changes in Plan Provisions Since Last Actuarial Valuation

There have been no changes in the plan provisions since the last actuarial valuation that would affect current valuation results.

Plan Name:	The Gates Group Retirement Plan
EIN / PN:	84-0857401/333
Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

Summary of principal plan provisions

Applies to Document Number 7

Plan Sponsor

Gates Corporation

Effective Date

Restated effective January 1, 2016 and there are no subsequent amendments that affect the plan provisions.

Participation

Each eligible employee becomes a member after completion of one Year of Service and attainment of age 21. Effective January 1, 2003, future new members and rehired employees are excluded from the plan.

Retirement Dates

Normal: The first day of the month coincident with or next following the attainment of age 65.

Early: The first day of the month following the attainment of age 55 and the completion of 10 Years of Service. Former members under the Standard-Thomson Retirement Plan for Salaried Employees are also eligible the first day of the month following the attainment of age 60 and the completion of 5 years of Benefit Accrual Service.

Late: The first day of any month subsequent to the Normal Retirement Date.

Year of Service / Benefit Accrual Service

Service is credited on the basis of one year for each 12 months of service and partial years of service are credited on a prorated basis.

Former members in the Standard-Thomson Retirement Plan for Salaried Employees will receive Years of Service and Benefit Accrual Service credit for service credited under that plan.

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Former members in the Trico Pension Plan will receive Years of Service and Benefit Accrual Service credit for service credited under that plan.

Benefit Accrual Service was frozen as of September 30, 2009.

Earnings

Compensation received from the Company for services rendered as an eligible employee, including overtime, bonuses and other non-recurring compensation, limited to the applicable compensation limit as indexed. No Earnings after September 30, 2009 will be considered for Target Benefit calculation purposes.

Covered Compensation

Average of Social Security wage bases for the 35-year period ending with the earlier of the year Social Security full retirement age is attained and 2009.

Tomkins Automotive 401(k) Plan Hypothetical Account Balance

The Tomkins Automotive 401(k) Plan Hypothetical Account Balance is the sum of Company basic contributions made on or after January 1, 2003 plus deemed investment return under the Stable Value Fund of the Tomkins Automotive 401(k) Plan. The Company basic contribution is currently 3% of applicable earnings.

Tomkins Automotive 401(k) Plan Offset

The Tomkins Automotive 401(k) Plan Offset is determined by a factor based on age and Years of Service applied to the Tomkins Automotive 401(k) Plan Hypothetical Account Balance as described in the Plan Document.

Target Benefit

If the Target Benefit is greater than the Tomkins Automotive 401(k) Plan Offset, the excess of the Target Benefit over the Offset is payable from the plan.

Plan Name:	The Gates Group Retirement Plan
EIN / PN:	84-0857401/333
Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

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Retirement Income

Normal:

- 1 A Target Benefit equal to the sum of (a) and (b):
 - a 1.0% multiplied by Average Eligible Earnings multiplied by years of Benefit Accrual Service as of September 30, 2009.
 - b 0.5% multiplied by Average Eligible Earnings over 1/12th of Covered Compensation multiplied by years of Benefit Accrual Service as of September 30, 2009.

Average Eligible Earnings are determined to be the average of the highest 60 consecutive months of Earnings on or before September 30, 2009.

- 2 Former Trico Pension Plan members:

A Target Benefit equal to the greater of (a) or (b):

- a The sum of (i) and (ii):
 - i The member's accrued benefit under the TRICO plan as of December 31, 1995 (paid as a 5-year certain and life annuity).
 - ii The member's accrued benefit under this plan, based on years of Benefit Accrual Service on or after January 1, 1996 and prior to September 30, 2009.
 - b The member's accrued benefit under the Trico plan as of December 31, 1996.
- 3 Former members under the Standard Thomson Retirement Plan for Salaried Employees

A Target Benefit equal to the greater of (a) or (b):

- a The excess of (i) over (ii):
 - i The member's accrued benefit under the Standard-Thomson plan as of December 31, 1994.
 - ii The benefit attributable to accumulated employee contributions made under the Standard-Thomson Plan that have been withdrawn on or after January 1, 1995.
- b The sum of (i) and (ii):
 - i The member's accrued benefit under this plan.
 - ii The benefit attributable to the accumulated employee contributions made under the Standard-Thomson plan that have not been withdrawn.

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The monthly benefit payable is calculated as the excess of the Target Benefit over the Tomkins Automotive 401(k) Plan Offset with the excess, if any, subject to a minimum accrued benefit calculated as of February 28, 2003.

Early:

The early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Benefit Accrual Service at early retirement, or an immediate benefit equal to such deferred benefit, reduced by 1/3 of 1% for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date.

Former Trico Pension Plan members:

The early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Benefit Accrual Service at early retirement, or an immediate benefit equal to such deferred benefit reduced by 1/3 of 1% for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date, but not less than the member's accrued benefit as of December 31, 1996 under the Trico plan reduced by ½ of 1% for each complete calendar month by which the annuity commencement date precedes age 62.

Former members under the Standard-Thomson Retirement Plan for Salaried Employees:

The early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Benefit Accrual Service at early retirement, or an immediate benefit equal to such deferred benefit reduced by 1/3 of 1% for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date. For those members retiring at or after age 60 with 5 years of Benefit Accrual Service, but less than 10 Years of Service, such deferred benefit is reduced by 5/12 of 1% for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date.

Late:

A monthly pension equal to the normal retirement benefit determined as of the member's late retirement date.

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Valuation Date	January 1, 2018

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Vesting

Eligibility: A member is 100% vested when he has completed at least 7 Years of Service. Partial vesting occurs according to the following schedule:

3 but less than 4 years	20%
4 but less than 5 years	40%
4 but less than 5 years	60%
6 but less than 7 years	80%

Effective June 29, 2007, employees who were active members and were part of the Trico divestiture are considered 100% vested.

Effective June 18, 2008, employees who were active members and were part of the Stant divestiture are considered 100% vested.

All other members who were actively employed as of September 30, 2009 are automatically 100% vested.

Benefit: A monthly retirement income commencing at Normal Retirement Date equal to accrued retirement income.

A former member who is entitled to a vested deferred retirement benefit and terminated service with 10 or more Years of Service may, on or after his attainment of age 55, elect to receive an immediate retirement benefit equal to the deferred benefit reduced by 3/4 of 1% for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date up to 3 years and 5/12 of 1% for each complete calendar month that the annuity commencement date precedes age 62. Effective July 1, 2007, the 10 Years of Service requirement is eliminated from the preceding sentence.

Former members of the Standard-Thomson plan and Trico plan who are entitled to a vested deferred retirement benefit are also eligible for the early retirement benefits as described under the Early Retirement Income section above.

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Preretirement Surviving Spouse Benefit

Eligibility: A member dies prior to his annuity commencement date, but after the attainment of Normal Retirement Age or after attaining a vested right to a benefit with a surviving spouse.

Benefit: The retirement benefit payable to the eligible spouse is equal to the retirement benefit which would have been payable to such spouse under the plan's joint and 50% survivor benefit if the member had terminated on his date of death, survived until his normal retirement age under the plan, begun receiving a benefit in the form of a qualified joint and survivor annuity and died the next day. The spouse may elect an earlier commencement date, but not earlier than the member's earliest early retirement date.

Non-Spousal Death Benefit

Eligibility: A member without a spouse dies before his annuity commencement date, but after attaining age 55 and completing 10 Years of Service.

Benefit: The retirement benefit that would have been payable to the member if the member had retired on the day preceding his death, payable as a monthly annuity of 60 payments.

Form of Payment

Life annuity with an immediate 5-year or 10-year guaranteed period

25%, 50%, 75% or 100% joint and survivor annuity

Social Security leveling income option with leveling to either age 62 or 65

Lump sum

Former members in the Trico plan will never receive less than the December 31, 1996 accrued benefit payable in any form listed above, but using the Trico plan's actuarial basis for conversion that is in effect as of December 31, 1996.

Changes in Plan Provisions Since Last Actuarial Valuation

There have been no changes in the plan provisions since the last actuarial valuation that would affect current valuation results.

Plan Name:	The Gates Group Retirement Plan
EIN / PN:	84-0857401/333
Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

Summary of principal plan provisions

Applies to Document Number 8

Plan Sponsor

Gates Corporation

Effective Date

Restated effective January 1, 2016 and there are no subsequent amendments that affect the plan provisions.

Participation

Each eligible employee becomes a member after completion of one Year of Service. Effective March 1, 2003, future new members are excluded from the plan.

Retirement Dates

Normal: The first day of the month coincident with or next following the attainment of age 65 and the completion of 5 years of participation in the plan.

Early: The first day of the month following the attainment of age 60 and the completion of 10 Years of Service.

Late: The first day of any month subsequent to the Normal Retirement Date.

Years of Service

Service is credited on the basis of one year for each calendar year during which the member worked at least 1,000 hours.

Plan Name:	The Gates Group Retirement Plan
EIN / PN:	84-0857401/333
Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

Credited Service

Service is credited on the basis of one year for each calendar year during which the member worked at least 1,700 hours, and one-tenth of a year for each 170 hours during any calendar year the member worked less than 1,700 hours.

No service is credited after February 28, 2003.

Retirement Income

Normal: A monthly pension equal to the appropriate benefit rate listed below multiplied by the minimum of years of Credited Service as of February 28, 2003 and the appropriate service cap:

All Amounts shown in US Dollars.

Facility	Service Cap	Benefit Rate
Granite Falls, MN	28	12.50
Dixon, IL	30	12.00
Eden Prairie, MN	25	9.00
Columbia, MO	25	9.00
St. Augustine, FL	25	10.00
Vanceboro, NC	25	9.00
Spencer, IA	30	16.00

Early: The early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Credited Service at early retirement, or an immediate benefit equal to such deferred benefit, reduced by the appropriate early retirement reduction factor below for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date:

Facility	Early Retirement Reduction Factor
Granite Falls, MN	0.4%
Dixon, IL	0.5%
Eden Prairie, MN	0.6%
Columbia, MO	0.6%
St. Augustine, FL	0.6%
Vanceboro, NC	0.6%
Spencer, IA	0.6%

Plan Name: The Gates Group Retirement Plan
EIN / PN: 84-0857401/333
Plan Sponsor: Gates Corporation
Valuation Date: January 1, 2018

SCHEDULE SB ATTACHMENTS

Late: A monthly pension equal to the normal retirement benefit determined as of the member's late retirement date.

Vesting

Eligibility: A member is 100% vested when he has completed at least 5 Years of Service or attainment of Normal Retirement Date while employed. Active members at various facilities were also 100% vested at the closing of those facilities. Effective February 28, 2003, all active members were 100% vested.

Benefit: A monthly retirement income commencing at Normal Retirement Date equal to accrued retirement income.

A former member who is entitled to a vested deferred retirement benefit and terminated service with 10 or more Years of Service may, on or after attainment of age 60, elect to receive an immediate retirement benefit equal to the deferred benefit reduced using the same reduction factors as listed above under the Early Retirement Income section.

Disability

Eligibility: Total and permanent disability as determined by the Committee, and after the completion of 10 Years of Service.

Benefit: The disability retirement benefit is equal to the accrued benefit determined using years of Credited Service and the appropriate service cap and benefit rate payable immediately, with no reduction for early commencement.

Preretirement Surviving Spouse Benefit

Eligibility: A member dies prior to his annuity commencement date, but after the attainment of normal retirement age, completion of at least 5 Years of Service or eligible for a deferred vested benefit with a surviving spouse.

Benefit: The retirement benefit payable to the spouse is equal to the retirement benefit which would have been payable to such spouse under the plan's joint and 50% survivor benefit if the member had terminated on his date of death, survived until his normal retirement age under the plan, begun receiving a benefit in the form of a qualified joint and survivor annuity and died the next day. The spouse may elect an earlier commencement date, but not earlier than the member's earliest early retirement date.

Plan Name:	The Gates Group Retirement Plan
EIN / PN:	84-0857401/333
Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

Form of Payment

Life annuity

Life annuity with an immediate 10-year guarantee period

50%, 75% or 100% joint and survivor annuity

Lump sum

Changes in Plan Provisions Since Last Actuarial Valuation

There have been no changes in the plan provisions since the last actuarial valuation that would affect current valuation results.

Plan Name:	The Gates Group Retirement Plan
EIN / PN:	84-0857401/333
Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

Summary of principal plan provisions

Applies to Document Number 9

Plan Sponsor

Gates Corporation

Effective Date

Restated effective January 1, 2016 and there are no subsequent amendments that affect the plan provisions.

Participation

All salaried employees hired or rehired on or after January 1, 2003 become a member upon the later of the date of hire or the attainment of age 18. Effective September 30, 2009, future new members are excluded from the plan.

Retirement Dates

Normal: The first day of the month coinciding with or next following the attainment of age 65.

Late: The first day of any month subsequent to the Normal Retirement Date.

Year of Service

A year of service is determined using the elapsed time method.

Earnings

Compensation received from the Company for service rendered as an eligible employee including overtime, bonuses and other nonrecurring compensation, limited to the applicable compensation limit as indexed. No Earnings after September 30, 2009 will be considered for pay credit accumulations to the account balance.

Plan Name:	The Gates Group Retirement Plan
EIN / PN:	84-0857401/333
Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

Retirement Income

Normal / Late: A member's cash accumulation account balance is a nominal account balance determined as 2% of the member's Earnings credited for each plan year up through September 30, 2009 and interest credited on the account balance for each plan year from the last day of the preceding plan year to the last day of the current plan year using the lesser of the annual rate of interest on 30-year Treasury securities and an annual interest rate of 8%. For a member with an annuity commencement date during the year, interest is credited for the partial year.

A monthly retirement income commencing at Normal/Late Retirement Date equal to the actuarial equivalent of the cash accumulation account balance as of the member's annuity commencement date converted to a life annuity using the IRS Interest Rate and IRS Mortality Table as of such annuity commencement date.

Vesting

Eligibility: A member is 100% vested when he has completed at least 3 Years of Service or attained Normal Retirement Date while employed.

Effective June 29, 2007, employees who were active members and were part of the Trico divestiture are considered 100% vested.

Effective June 18, 2008, employees who were active members and were part of the Stant divestiture are considered 100% vested.

All other employees who were actively employed as of September 30, 2009 are considered 100% vested.

Benefit: A monthly retirement income commencing at Normal Retirement Date equal to the actuarial equivalent of the cash accumulation account balance as of the member's annuity commencement date projected to Normal Retirement Date using the IRS Interest Rate as of such annuity commencement date and converted to a life annuity using the IRS Interest Rate and IRS Mortality Table as of such annuity commencement date.

Preretirement Death Benefit

Eligibility: A member dies prior to his annuity commencement date, but after the attainment of normal retirement age or after attaining a vested right to a benefit.

Benefit: If the member is married, the surviving spouse may choose either (a) a lump sum equal to the cash accumulation account balance as of the annuity commencement date; or (b) the actuarial equivalent

Plan Name:	The Gates Group Retirement Plan
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Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

of the member's accrued benefit payable as of Normal Retirement Date or date of death if later, determined as of the spouse's annuity commencement date.

If the member is single, the member's beneficiary will receive a lump sum payment of the member's cash accumulation account balance determined as of the annuity commencement date.

Form of Payment

Life annuity

50% or 75% joint and survivor annuity

Lump sum

Changes in Plan Provisions Since Last Actuarial Valuation

There have been no changes in the plan provisions since the last actuarial valuation that would affect current valuation results.

Plan Name:	The Gates Group Retirement Plan
EIN / PN:	84-0857401/333
Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

Summary of principal plan provisions

Applies to Document Number 10

Plan Sponsor

Gates Corporation

Effective Date

Restated effective January 1, 2016 and there are no subsequent amendments that affect the plan provisions.

Participation

First day of the month next following the date of employment. However, due to the plant closure in Buffalo, New York, there are no longer any new employees eligible to participate in this plan.

Retirement Dates

Normal: The first day of the month coinciding with or next following the attainment of age 65.

Early: The first day of the month coinciding with or next following the attainment of age 55 and the completion of 10 Years of Credited Service.

Late: The first day of any month subsequent to the Normal Retirement Date.

Credited Service

Service before September 1, 1976: Service is credited on the elapsed time basis with one year of Credited Service for each year that the member worked.

Service after August 31, 1976 and before June 1, 1979: Service is credited on the basis of one year for each calendar year during which the member worked at least 2,000 hours, and one-tenth of a year for each 200 hours during any calendar year the member worked less than 2,000 hours.

Plan Name:	The Gates Group Retirement Plan
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Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

Service after May 31, 1979: Service is credited on the basis of one year for each calendar year during which the member worked at least 1,700 hours, and one-tenth of a year for each 170 hours during any calendar year the member worked less than 1,700 hours.

Credited Service ceased to accrue upon the closure of the Buffalo, New York plant.

Vesting Service

Service before 1976: Service is credited on the elapsed time basis with any fractional year rounded up to a full year.

Service after 1975: Service is credited on the basis of one year for each calendar year during which the member worked at least 1,000 hours. For years in which less than 1,000 hours are worked, the fractional year is rounded up to the next higher one-tenth of a year.

Retirement Income

Normal: A monthly pension equal to Credited Service as of the plant closure date multiplied by the applicable pension rate(s) specified in the Plan document, plus \$11.00.

Early: The early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Credited Service at early retirement, or an immediate benefit equal to such deferred benefit, reduced by 0.5% for each complete calendar month by which the annuity commencement date precedes age 62. The early retirement benefit is unreduced after the member has attained age 55 and has completed at least 30 years of Credited Service.

Late: A monthly pension equal to the normal retirement benefit determined as of the member's late retirement date.

Vesting

Eligibility: A member is 100% vested when he has completed at least 5 years of Vesting Service or attainment of Normal Retirement Date while employed. All members terminated due to the plant closure are considered fully vested.

Benefit: A monthly retirement income commencing at his Normal Retirement Date equal to his accrued retirement income.

Plan Name:	The Gates Group Retirement Plan
EIN / PN:	84-0857401/333
Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

A former member who is entitled to a vested deferred retirement benefit and terminated service with 10 or more years of Credited Service may, on or after his attainment of age 55, elect to receive an immediate retirement benefit equal to the deferred benefit reduced by 0.5% for each complete calendar month by which the annuity commencement date precedes age 62.

Preretirement Surviving Spouse Benefit

Eligibility: A member dies prior to his annuity commencement date, but after the attainment of normal retirement age, completion of at least 5 years of Vesting Service or otherwise eligible for a deferred vested benefit with an eligible surviving spouse.

Benefit: The retirement benefit payable to the spouse is equal to the retirement benefit which would have been payable to such spouse under the plan's joint and 50% survivor benefit if the member had terminated on his date of death, survived until his normal retirement age under the plan, begun receiving a benefit in the form of a qualified joint and survivor annuity and died the next day. The surviving spouse may elect an earlier commencement date, but not earlier than the member's earliest early retirement date.

Form of Payment

Life annuity

Life annuity with an immediate 10-year guaranteed period

50%, 75% or 100% joint and survivor annuity

Social Security leveling option with leveling to either age 62 or age 65

Lump sum

Changes in Plan Provisions Since Last Actuarial Valuation

There have been no changes in the plan provisions since the last actuarial valuation that would affect current valuation results.

Plan Name:	The Gates Group Retirement Plan
EIN / PN:	84-0857401/333
Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

Summary of principal plan provisions

Applies to Document Number 11

Plan Sponsor

Gates Corporation

Effective Date

Restated effective January 1, 2016 and there are no subsequent amendments that affect the plan provisions.

Participation

All employees who are members of USWA Local 1753. However, due to the sale of the plant employing all members of this plan, there will be no new entrants to the plan effective December 28, 2002.

Retirement Dates

Normal: First day of the month coincident or next following attainment of age 65.

Early: The first day of any month following the attainment of age 60 and the completion of 15 years of Continuous Service.

Late: The first day of any month subsequent to the Normal Retirement Date.

Continuous Service

Total service prior to termination or retirement. Continuous Service will be the same for vesting and benefit accrual purposes, except that service prior to age 18 will not count toward vesting.

Retirement Income

Normal: For retirements commencing on or after March 1, 2001, a monthly pension of \$15.00 per year of Continuous Service not in excess of 40 years.

Plan Name:	The Gates Group Retirement Plan
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Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

SCHEDULE SB ATTACHMENTS

Early: The early retirement benefit is, at the member's option, either a deferred benefit commencing at age 65 equal to the normal retirement benefit based on service at early retirement, or an immediate benefit equal to such deferred benefit, reduced by 0.5556% for each month by which the annuity commencement date precedes Normal Retirement Date.

Late: A monthly pension equal to the normal retirement benefit determined as of the member's late retirement date.

Vesting

Eligibility: A member is 100% vested when he has completed at least 5 years of Continuous Service or if he is employed on December 27, 2002.

Benefit: A monthly retirement income commencing at his Normal Retirement Date equal to his accrued retirement income, or after attaining 60, but before 65, actuarially reduced for each month that commencement of payment precedes his Normal Retirement Date.

Pre-Retirement Surviving Spouse Benefit

Eligibility: A vested member dies before his benefit commencement date and has a spouse at time of death.

Benefit: The retirement benefit payable to the spouse is equal to the retirement benefit which would have been payable to such spouse under the Plan's joint and 50% survivor benefit if the member had terminated on his date of death, survived to the earliest date he could have retired, chose a 50% joint and survivor and died the next day.

Form of Payment

Life annuity

50%, 75% or 100% joint and survivor annuity

Lump sum

Changes in Plan Provisions since Last Actuarial Valuation

There have been no changes in the plan provisions since the last actuarial valuation that would affect current valuation results.

Plan Name:	The Gates Group Retirement Plan
EIN / PN:	84-0857401/333
Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
<i>Non-Interest Bearing Cash - USD</i>			
USD - United States dollar	-0.040	-0.04	-0.04
USD - United States dollar	0.000	0.00	0.00
Total - all currencies		-0.04	-0.04
Total Non-Interest Bearing Cash - USD		-0.04	-0.04
<i>Receivables - Other - USD</i>			
Pending trade sales: United States dollar	0.000	2,428,571.26	2,428,571.26
Total - all currencies		2,428,571.26	2,428,571.26
Total Receivables - Other - USD		2,428,571.26	2,428,571.26
<i>Interest Bearing Cash - USD</i>			
USD - United States dollar	46,470.880	46,470.88	46,470.88
Total - all currencies		46,470.88	46,470.88
Total Interest Bearing Cash - USD		46,470.88	46,470.88
<i>Value of Interest in Common/Collective Trusts and Pooled Separate Accounts</i>			
Global Region - USD			
CF HEITMAN GLOBAL PRIME COLLECTIVE INVESTMENT TRUST, CLASS 2 CUSIP: VXC999749	1,664,828.000	16,600,000.00	15,489,726.19
CF LEGAL & GENERAL GLOBAL CORE INFRASTRUCTURE CIT CUSIP: 1J999H616	153,591.540	16,592,494.08	16,171,653.25
Total Global Region - USD		33,192,494.08	31,661,379.44

** Represents party-in-interest as defined by ERISA

See accompanying independent auditor's report and notes to financial statements

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
<i>Value of Interest in Common/Collective Trusts and Pooled Separate Accounts</i>			
United States - USD			
CF BLACKROCK TREAS US 15 YR KEY RATE DUR NL FD A CUSIP: 1S1469996	431,412.680	5,000,000.00	5,784,076.64
CF BLACKROCK TREAS US 5 YR KEY RATE DUR NL FD A CUSIP: 1S1459997	580,984.660	5,095,164.03	5,621,139.88
CF BLACKROCK TSY U.S. 20 YR KEY RATE DURNL FD CUSIP: 1S1479995	146,630.440	1,620,526.50	1,829,051.39
CF BLACKROCK TSY US10 YR KEY RATE DUR NLFD A CUSIP: 1S1489994	737,546.890	7,000,000.00	8,087,811.60
CF IR+M INTERMEDIATE CORPORATE COLLECTIVE FUND CUSIP: 1S33999S1	1,047,006.740	10,687,591.21	10,779,557.36
CF METLIFE SEP ACCT #377 CORE LAGB BR 107 FD CUSIP: 15499VD20	9,976.780	1,935,737.79	1,825,815.59
CF SSGA INTERMEDIATE CREDIT US GOVERNMENT INDEX FUND CUSIP: 154725NV3	419,617.260	10,400,000.00	10,629,744.43
CF WILLIS TOWERS WATSON GROUP TRUST DIVERSIFIED CREDIT FUND CUSIP: 1S74999S5	3,105,589.450	31,300,000.00	30,709,931.84
CF WILLIS TOWERS WATSON GROUP TRUST LONGCREDIT FUND CUSIP: 5K5999Y51	2,085,738.210	20,696,759.41	20,314,047.30
CF WILLIS TOWERS WATSON GT SUBS REDS CLEAR DEF CUSIP: 2R2999T58	5,911,006.670	58,600,000.00	51,103,608.17
COLTV SHORT TERM INVT FD CUSIP: 195998A10	1,904,694.020	1,904,694.02	1,904,694.02
SSGA LONG US GOVERNMENT INDEX FUND CUSIP: 3L5999T57	524,424.240	21,000,000.00	21,870,064.08
Total United States - USD		175,240,472.96	170,459,542.30
Total Value of Interest in Common/Collective Trusts and Pooled Separate Accounts		208,432,967.04	202,120,921.74

Value of Funds Held in Insurance Company General Accounts

United States - USD			
GAC METLIFE CONT.#163 RT. N/A MAT N/A CUSIP: 999501AX7	8,779.210	8,779.21	8,779.21
Total United States - USD		8,779.21	8,779.21
Total Value of Funds Held in Insurance Company Gener		8,779.21	8,779.21

** Represents party-in-interest as defined by ERISA

See accompanying independent auditor's report and notes to financial statements

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
<i>Other Liabilities</i>			
Pending trade purchases: United States dollar	0.000	-2,413,788.68	-2,413,788.68
Total - all currencies		-2,413,788.68	-2,413,788.68
Other Payables: United States dollar	0.000	-13,244.06	-13,244.06
Total - all currencies		-13,244.06	-13,244.06
Total Other Liabilities		-2,427,032.74	-2,427,032.74
<i>Unallocated Insurance Contract</i>			
United States - USD			
PRINCIPAL LIFE INSURANCE COMPANY - CREDITING INTEREST RATE OF 3.61% AT DECEMBER 31, 2018	0.000	4,091,762.00	4,041,654.00
Total - all currencies		4,091,762.00	4,041,654.00
Total Unallocated Insurance Contract		4,091,762.00	4,041,654.00
TOTAL CASH, INVESTMENTS, AND PENDING TRADE PAYABLES AND RECEIVABLES			206,219,365

** Represents party-in-interest as defined by ERISA

See accompanying independent auditor's report and notes to financial statements

Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFY0D67	\$ GEN CORP NEW 4.125% DUE 05-01-2028	Acquisitions	180,000.00	-180,676.80	
		Dispositions	-180,000.00		176,906.90
SBYVQ5V0	ABBOTT LABS 4.75% DUE 11-30-2036	Acquisitions	85,000.00	-91,521.20	
		Dispositions	-260,000.00		275,972.10
SBXDZFY1	ABBVIE INC FIXED 4.5% DUE 05-14-2035	Acquisitions	50,000.00	-49,769.50	
		Dispositions	-620,000.00		615,478.66
SBXDZFZ2	ABBVIE INC FIXED 4.7% DUE 05-14-2045	Acquisitions	100,000.00	-99,624.50	
		Dispositions	-290,000.00		283,814.05
SBXDZFY8	ABBVIE INC 2.5% 05-14-2020	Acquisitions	235,000.00	-233,197.55	
		Dispositions	-235,000.00		232,645.30
SBF2L511	ABBVIE INC 3.375% DUE 11-14-2021	Acquisitions	535,000.00	-534,079.80	
		Dispositions	-535,000.00		534,842.63
SBYP8MR7	ABBVIE INC 4.3% DUE 05-14-2036	Acquisitions	75,000.00	-72,677.25	
		Dispositions	-225,000.00		211,475.25
SBYP8MQ6	ABBVIE INC 4.45% DUE 05-14-2046	Acquisitions	160,000.00	-153,960.00	
		Dispositions	-160,000.00		147,313.60
SBGQW2K5	ABBVIE INC 4.875% DUE 11-14-2048	Acquisitions	275,000.00	-272,556.30	
		Dispositions	-275,000.00		268,886.75
SBYXJDM4	ACE INA HLDGS INC FIXED 3.15% DUE 03-15-2025	Acquisitions	75,000.00	-72,807.75	
		Dispositions	-75,000.00		72,393.75
SB14VKK5	ACE INA HLDGS INC 6.7% DUE 05-15-2036	Acquisitions	50,000.00	-64,366.50	
		Dispositions	-90,000.00		115,605.00
SBWDBND7	ACTAVIS FDG SCS 4.55% DUE 03-15-2035	Acquisitions	230,000.00	-229,179.00	
		Dispositions	-500,000.00		489,172.20
SBWDBNG0	ACTAVIS FDG SCS 4.75% DUE 03-15-2045	Acquisitions	55,000.00	-55,950.40	

** Represents party-in-interest as defined by ERISA

See accompanying independent auditor's report and notes to financial statements

Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
C007589AB0	ADVOCATE HEALTH & HOSPS CORP 4.272% 08-15-2048	Dispositions	-623,000.00		610,278.30
		Acquisitions	70,000.00	-70,000.00	
SBDBSC18	AERCAP IRELAND CAP 3.65% DUE 07-21-2027	Dispositions	-70,000.00		69,938.40
		Acquisitions	100,000.00	-97,990.00	
SBK1KRP2	AETNA INC NEW 4.75% DUE 03-15-2044	Dispositions	-100,000.00		92,257.00
		Acquisitions	135,000.00	-135,343.35	
SBF1GMM7	AIA GROUP LTD 3.9% DUE 04-06-2028	Dispositions	-200,000.00		195,772.00
		Acquisitions	90,000.00	-90,052.20	
SBF3RHG7	AIR LEASE CORP 3.625% 12-01-2027	Dispositions	-90,000.00		87,883.20
		Acquisitions	135,000.00	-124,653.80	
SBFXR1J8	AIR LEASE CORP 4.625% DUE 10-01-2028	Dispositions	-135,000.00		125,841.60
		Acquisitions	145,000.00	-143,141.10	
SBD2Z1R7	ALABAMA PWR CO 4.3% 07-15-2048	Dispositions	-145,000.00		142,340.70
		Acquisitions	40,000.00	-40,804.40	
SBDSFRM8	ALLSTATE CORP 4.2% DUE 12-15-2046	Dispositions	-40,000.00		39,677.60
		Acquisitions	105,000.00	-111,043.80	
SBYN6819	ALTRIA GROUP INC 4 DUE 01-31-2024 REG	Dispositions	-370,000.00		360,429.55
		Acquisitions	185,000.00	-189,149.55	
SB8K84P2	ALTRIA GROUP INC 4.25% DUE 08-09-2042	Dispositions	-185,000.00		187,356.90
		Acquisitions	120,000.00	-115,962.30	
SB7ZKNH1	ALTRIA GROUP INC 4.5% DUE 05-02-2043	Dispositions	-305,000.00		281,749.85
		Acquisitions	200,000.00	-202,909.75	
SBF2G3S9	AMAZON COM INC FIXED 2.8% DUE 08-22-2024	Dispositions	-465,000.00		448,627.15
		Acquisitions	50,000.00	-48,780.00	
SBF199R0	AMAZON COM INC FIXED 3.875% DUE 08-22-2037	Dispositions	-105,000.00		102,142.10

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBDGMR43	AMAZON COM INC 3.15% DUE 08-22-2027 BEO	Acquisitions	105,000.00	-104,222.15	
		Dispositions	-450,000.00		442,994.84
SBD5KBP7	AMAZON COM INC 4.05% DUE 08-22-2047	Acquisitions	40,000.00	-38,719.20	
		Dispositions	-40,000.00		38,225.60
SBFMLMY3	AMAZON COM INC 4.05% 08-22-2047	Acquisitions	345,000.00	-349,897.75	
		Dispositions	-170,000.00		169,278.60
SBD5KBM4	AMAZON COM INC 4.25% DUE 08-22-2057	Acquisitions	520,000.00	-513,073.25	
		Dispositions	-695,000.00		688,470.16
C023135AQ9	AMAZON COM INC 4.95% DUE 12-05-2044	Acquisitions	65,000.00	-63,922.95	
		Dispositions	-85,000.00		84,857.90
SBDG76K7	AMAZON COM INC 5.2% 12-03-2025	Acquisitions	100,000.00	-113,575.00	
		Dispositions	-100,000.00		111,568.90
SB82VRK5	AMER MOVIL SAB DE 4.375% DUE 07-16-2042	Acquisitions	50,000.00	-55,300.50	
		Dispositions	-145,000.00		159,992.00
SBBM3LH7	AMERICA MOVIL S A DE C V SR NT 6.375% DUE 03-01-2035 REG	Acquisitions	40,000.00	-39,230.40	
		Dispositions	-40,000.00		38,748.00
SBYZ5J44	AMERICAN EXPRESS CREDIT 2.6% 09-14-2020	Acquisitions	20,000.00	-24,887.60	
		Dispositions	-20,000.00		24,143.60
SBXSSDR4	AMERICAN INTERNATIONAL GROUP INC BNDS 3.75% DUE 07-10-2025 REG	Acquisitions	80,000.00	-79,548.80	
		Dispositions	-80,000.00		79,079.20
SBXSSDV8	AMERICAN INTERNATIONAL GROUP INC BNDS 4.8% DUE 07-10-2045 REG	Acquisitions	275,000.00	-273,132.75	
		Dispositions	-275,000.00		266,902.70
SBG84414	AMERICAN WTR CAP CORP 3.75% 09-01-2028	Acquisitions	90,000.00	-95,595.60	
		Dispositions	-90,000.00		90,038.00
		Acquisitions	70,000.00	-69,986.70	
		Dispositions	-70,000.00		69,104.00

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFM2HH4	AMERN EXPRESS CO 3.375% DUE 05-17-2021	Acquisitions	305,000.00	-304,948.15	
		Dispositions	-305,000.00		305,376.50
SBFXCMK1	AMERN EXPRESS CO 3.4% 02-27-2023	Acquisitions	65,000.00	-64,940.85	
		Dispositions	-65,000.00		64,360.70
SBYWG508	AMERN EXPRESS CO 3% 10-30-2024	Acquisitions	170,000.00	-167,637.00	
		Dispositions	-170,000.00		164,440.03
SBDFXRB4	AMERN INTL GROUP 3.9% DUE 04-01-2026	Acquisitions	50,000.00	-49,106.00	
		Dispositions	-370,000.00		370,210.05
SBFXCML2	AMERN INTL GROUP 4.2% DUE 04-01-2028	Acquisitions	40,000.00	-39,257.20	
		Dispositions	-40,000.00		39,914.40
SBP8G1N4	AMERN INTL GROUP 4.5% DUE 07-16-2044	Acquisitions	140,000.00	-134,647.40	
		Dispositions	-140,000.00		131,937.40
SBYMMP95	AMERN INTL GROUP 4.7% DUE 07-10-2035	Acquisitions	65,000.00	-64,496.25	
		Dispositions	-320,000.00		322,228.48
SBFXCMM3	AMERN INTL GROUP 4.75% DUE 04-01-2048	Acquisitions	195,000.00	-193,855.35	
		Dispositions	-195,000.00		192,439.65
SB7V7HB8	AMERN INTL GROUP 4.875% DUE 06-01-2022	Acquisitions	80,000.00	-84,144.80	
		Dispositions	-80,000.00		83,447.20
SB1CYG08	AMERN INTL GROUP 6.25% DUE 05-01-2036	Acquisitions	60,000.00	-69,603.00	
		Dispositions	-60,000.00		68,515.80
SB606MS1	AMERN INTL GROUP 8.175% DUE 05-15-2068	Acquisitions	50,000.00	-63,500.00	
		Dispositions	-182,000.00		227,682.00
C031162BZ2	AMGEN INC 4.4% 05-01-2045	Acquisitions	30,000.00	-30,096.90	
		Dispositions	-140,000.00		134,934.80
SBDR5CM4	AMGEN INC 4.563% DUE 06-15-2048	Acquisitions	85,000.00	-81,717.30	

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Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBDRVZ12	AMGEN INC 4.663% DUE 06-15-2051	Dispositions	-85,000.00		83,821.90
		Acquisitions	460,000.00	-482,734.95	
C031162BE9	AMGEN INC 4.95% DUE 10-01-2041	Dispositions	-551,000.00		546,967.80
		Acquisitions	160,000.00	-165,244.00	
SB76M525	AMGEN INC 5.15% DUE 11-15-2041	Dispositions	-495,000.00		511,047.85
		Acquisitions	45,000.00	-47,318.40	
C031162BC3	AMGEN INC 5.75% DUE 03-15-2040	Dispositions	-225,000.00		245,437.40
		Acquisitions	60,000.00	-68,919.00	
SBJMZ1V3	ANHEUSER BUSCH INBEV FIN INC 4.625 DUE 02-01-2044 REG	Dispositions	-60,000.00		66,649.20
		Acquisitions	55,000.00	-60,130.40	
SBF7MH35	ANHEUSER BUSCH INC 4.439% DUE 10-06-2048	Dispositions	-55,000.00		59,961.55
		Acquisitions	35,000.00	-34,323.45	
SBYMLCJ1	ANHEUSER-BUSCH 3.65% DUE 02-01-2026	Dispositions	-802,000.00		764,106.49
		Acquisitions	270,000.00	-265,099.20	
SBDZ7GD1	ANHEUSER-BUSCH 4.375% DUE 04-15-2038	Dispositions	-575,000.00		562,817.16
		Acquisitions	480,000.00	-475,109.90	
SBDZ78X5	ANHEUSER-BUSCH 4.6% DUE 04-15-2048	Dispositions	-480,000.00		462,888.80
		Acquisitions	990,000.00	-987,546.80	
SBYMLCL3	ANHEUSER-BUSCH 4.7% DUE 02-01-2036	Dispositions	-990,000.00		979,908.00
		Acquisitions	155,000.00	-157,370.35	
SBDZ78S0	ANHEUSER-BUSCH 4.75% DUE 04-15-2058	Dispositions	-685,000.00		703,239.81
		Acquisitions	195,000.00	-193,785.15	
SBYMLGZ5	ANHEUSER-BUSCH 4.9% DUE 02-01-2046	Dispositions	-195,000.00		193,861.20
		Acquisitions	340,000.00	-357,632.30	
SBDCLR69	ANHEUSER-BUSCH 4.95% DUE 01-15-2042	Dispositions	-1,675,000.00		1,721,805.68

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB7SBDV5	ANHEUSER-BUSCH 4% DUE 01-17-2043	Acquisitions	65,000.00	-66,859.00	
		Dispositions	-230,000.00		236,769.40
SBFYCQN1	ANHEUSER-BUSCH 4% DUE 04-13-2028	Acquisitions	75,000.00	-69,171.75	
		Dispositions	-75,000.00		66,708.75
SBFXCMQ7	ANTHEM INC 2.95% 12-01-2022	Acquisitions	215,000.00	-213,314.40	
		Dispositions	-215,000.00		215,672.50
SBFXCMS9	ANTHEM INC 3.65% 12-01-2027	Acquisitions	225,000.00	-224,718.75	
		Dispositions	-305,000.00		297,339.95
SBGB6FT2	ANTHEM INC 4.375% 12-01-2047	Acquisitions	160,000.00	-152,767.50	
		Dispositions	-485,000.00		461,344.40
SBGB6FS1	ANTHEM INC 4.55% 03-01-2048	Acquisitions	120,000.00	-113,391.60	
		Dispositions	-480,000.00		458,989.65
SBLSP6D8	ANTHEM INC 4.65% DUE 01-15-2043	Acquisitions	520,000.00	-518,361.05	
		Dispositions	-520,000.00		505,985.80
SBYZ7348	AON PLC 3.875% DUE 12-15-2025	Acquisitions	135,000.00	-134,516.85	
		Dispositions	-135,000.00		132,256.80
SBXS2QD3	AON PLC 4.75% DUE 05-15-2045	Acquisitions	95,000.00	-97,791.10	
		Dispositions	-145,000.00		144,462.75
SBXPB5R0	APPALACHIAN PWR CO 4.45% DUE 06-01-2045	Acquisitions	70,000.00	-69,368.60	
		Dispositions	-70,000.00		70,414.40
C037833DG2	APPLE INC FIXED 3.75% 11-13-2047	Acquisitions	50,000.00	-51,204.50	
		Dispositions	-50,000.00		50,236.50
C037833AZ3	APPLE INC 2.5% DUE 02-09-2025	Acquisitions	290,000.00	-277,904.40	
		Dispositions	-395,000.00		377,299.80
		Acquisitions	65,000.00	-61,486.10	
		Dispositions	-65,000.00		61,227.40

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBD3N0X9	APPLE INC 2.85% DUE 05-11-2024	Acquisitions	85,000.00	-82,981.25	
		Dispositions	-275,000.00		266,584.70
C037833BY5	APPLE INC 3.25% DUE 02-23-2026	Acquisitions	100,000.00	-98,036.00	
		Dispositions	-100,000.00		97,356.10
SBF58H35	APPLE INC 3.75% DUE 09-12-2047	Acquisitions	265,000.00	-253,963.15	
		Dispositions	-485,000.00		460,253.85
C037833AL4	APPLE INC 3.85% DUE 05-04-2043	Acquisitions	115,000.00	-111,104.40	
		Dispositions	-440,000.00		431,543.85
SBYX10K7	APPLE INC 3% DUE 11-13-2027	Acquisitions	170,000.00	-167,110.00	
		Dispositions	-170,000.00		162,557.70
SBDVPX83	APPLE INC 4.25% DUE 02-09-2047	Acquisitions	135,000.00	-138,360.15	
		Dispositions	-135,000.00		140,345.90
C037833BX7	APPLE INC 4.65% DUE 02-23-2046	Acquisitions	540,000.00	-597,788.00	
		Dispositions	-1,055,000.00		1,144,472.35
SB450GW6	APPLIED MATLS INC 5.85% DUE 06-15-2041	Acquisitions	75,000.00	-89,144.25	
		Dispositions	-75,000.00		88,961.25
SBFX84N6	ARIZONA PUB SVC CO 4.2% DUE 08-15-2048	Acquisitions	150,000.00	-149,203.20	
		Dispositions	-150,000.00		146,766.00
SBDTYM18	ASTRAZENECA PLC 4.375% 08-17-2048	Acquisitions	270,000.00	-267,800.40	
		Dispositions	-270,000.00		261,111.60
SBDTYM07	ASTRAZENECA PLC 4% DUE 01-17-2029	Acquisitions	130,000.00	-129,467.00	
		Dispositions	-130,000.00		127,756.20
SBD3HCY6	AT&T INC 4.5% DUE 03-09-2048	Acquisitions	60,000.00	-54,000.00	
		Dispositions	-1,198,000.00		1,083,529.84
SBD3HCX5	AT&T INC 4.55% DUE 03-09-2049	Acquisitions	175,000.00	-158,020.05	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBX2H2X6	AT&T INC 4.75% DUE 05-15-2046	Dispositions	-861,000.00		755,803.35
		Acquisitions	270,000.00	-255,285.90	
SBN8KPY1	AT&T INC 4.8% DUE 06-15-2044	Dispositions	-1,105,000.00		1,026,579.87
		Acquisitions	100,000.00	-94,888.35	
SBYY3Z96	AT&T INC 5.15% DUE 03-15-2042	Dispositions	-540,000.00		501,544.60
		Acquisitions	215,000.00	-204,303.30	
SBDCL4L0	AT&T INC 5.25% DUE 03-01-2037	Dispositions	-290,000.00		282,224.35
		Acquisitions	125,000.00	-126,327.00	
SB4WPQV3	AT&T INC 5.35% DUE 09-01-2040	Dispositions	-125,000.00		127,356.75
		Acquisitions	70,000.00	-69,725.60	
SBDCL4K9	AT&T INC 5.45% DUE 03-01-2047	Dispositions	-140,000.00		138,303.20
		Acquisitions	705,000.00	-730,913.55	
SBDCL4M1	AT&T INC 5.7% DUE 03-01-2057	Dispositions	-705,000.00		706,955.00
		Acquisitions	165,000.00	-174,258.60	
SBD8P267	AT&T INC 6.35% DUE 03-15-2040	Dispositions	-165,000.00		167,736.55
		Acquisitions	60,000.00	-66,986.40	
SBD8P278	AT&T INC 6.375% DUE 03-01-2041	Dispositions	-60,000.00		65,642.40
		Acquisitions	85,000.00	-93,779.15	
SBB0R386	AT&T INC 6.5% DUE 09-01-2037	Dispositions	-85,000.00		92,708.65
		Acquisitions	40,000.00	-44,934.00	
SBD20MH9	AT&T INC 6% DUE 08-15-2040	Dispositions	-40,000.00		44,586.40
		Acquisitions	190,000.00	-204,187.20	
C05348EBE8	AVALONBAY CMNTYS INC MEDIUM TERM 4.35% 04-15-2048	Dispositions	-190,000.00		201,006.70
		Acquisitions	125,000.00	-124,767.50	
SBF19282	AVALONBAY CMNTYS 3.35% DUE 05-15-2027	Dispositions	-125,000.00		125,032.55

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBXC5VY4	AVALONBAY CMNTYS 3.45% DUE 06-01-2025	Acquisitions	75,000.00	-71,445.00	
		Dispositions	-75,000.00		72,258.30
SBDCFTQ2	AVALONBAY 2.9% DUE 10-15-2026	Acquisitions	35,000.00	-34,493.55	
		Dispositions	-35,000.00		34,060.25
SBD5W7Z5	AVALONBAY 3.2% DUE 01-15-2028	Acquisitions	30,000.00	-27,820.80	
		Dispositions	-30,000.00		28,194.60
C05526DBE4	B A T CAP CORP 4.54% DUE 08-15-2047	Acquisitions	70,000.00	-64,945.30	
		Dispositions	-70,000.00		66,564.90
SB57Y827	BAKER HUGHES A GE CO LLC 5.125% DUE 09-15-2040	Acquisitions	105,000.00	-100,712.85	
		Dispositions	-620,000.00		588,693.90
SBG0PVG9	BAKER HUGHES A GE CO LLC/BAKER HUGHES 4.08% 12-15-2047	Acquisitions	40,000.00	-42,339.20	
		Dispositions	-40,000.00		41,869.20
SBYMX8C2	BANK AMER CORP BNDS 4.443% 01-20-2048	Acquisitions	205,000.00	-199,406.50	
		Dispositions	-475,000.00		428,298.00
SBYM4WN4	BANK AMER CORP FIXED 3.824% 01-20-2028	Acquisitions	50,000.00	-49,901.50	
		Dispositions	-50,000.00		49,330.50
SBFNNW71	BANK AMER CORP FIXED 3.864% 07-23-2024	Acquisitions	115,000.00	-113,522.80	
		Dispositions	-475,000.00		468,074.80
SBD9MXC0	BANK AMER CORP FIXED 3.97% 03-05-2029	Acquisitions	995,000.00	-995,348.75	
		Dispositions	-995,000.00		993,268.70
SBF8F0W3	BANK AMER CORP FLTG RT 2.369% DUE 07-21-2021	Acquisitions	620,000.00	-616,598.90	
		Dispositions	-620,000.00		608,958.80
SBYWC108	BANK AMER CORP FLTG RT 2.881% DUE 04-24-2023	Acquisitions	70,000.00	-69,188.00	
		Dispositions	-350,000.00		347,274.20
		Acquisitions	425,000.00	-418,402.30	
		Dispositions	-425,000.00		414,247.30

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Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBF8F0V2	BANK AMER CORP FLTG RT 3.593% DUE 07-21-2028	Acquisitions	275,000.00	-263,036.45	
		Dispositions	-275,000.00		261,426.10
SBYWC0C3	BANK AMER CORP FLTG RT 4.244% DUE 04-24-2038	Acquisitions	50,000.00	-50,331.00	
		Dispositions	-670,000.00		655,826.15
SBFM3F84	BANK AMER CORP FLTG RT 4.271% DUE 07-23-2029	Acquisitions	1,105,000.00	-1,107,386.05	
		Dispositions	-1,105,000.00		1,112,905.74
SBYVQ0T3	BANK AMER CORP VAR RT 3.124% DUE 01-20-2023	Acquisitions	85,000.00	-83,528.65	
		Dispositions	-85,000.00		83,380.75
SBD46DX8	BANK AMER CORP 2.738% 01-23-2022	Acquisitions	1,275,000.00	-1,275,000.00	
		Dispositions	-1,275,000.00		1,257,742.65
SBD49X71	BANK AMER CORP 3.946% DUE 01-23-2049	Acquisitions	485,000.00	-479,509.90	
		Dispositions	-485,000.00		451,047.95
SB8WR844	BANK AMER CORP 5.875% DUE 02-07-2042	Acquisitions	75,000.00	-90,444.00	
		Dispositions	-175,000.00		208,988.93
SBJ7B592	BANK AMER CORP 5% DUE 01-21-2044	Acquisitions	65,000.00	-68,060.20	
		Dispositions	-235,000.00		250,383.10
SBFN8M10	BANK OF AMERICA CORP 3.55% 03-05-2024	Acquisitions	405,000.00	-403,758.00	
		Dispositions	-405,000.00		403,428.00
SBZCGFM7	BANK OF MONTREAL MEDIUM TERM NTS 1.9% 08-27-2021	Acquisitions	570,000.00	-549,480.00	
		Dispositions	-570,000.00		547,522.80
SBFNJ8C6	BARCLAYS BK PLC 2.65% DUE 01-11-2021 REG	Acquisitions	235,000.00	-234,772.05	
		Dispositions	-235,000.00		230,739.45
SBWDQJG7	BARCLAYS PLC 3.65% DUE 03-16-2025	Acquisitions	50,000.00	-48,185.00	
		Dispositions	-50,000.00		47,024.00
SBDCL313	BARCLAYS PLC 4.338% 05-16-2024	Acquisitions	220,000.00	-217,767.00	

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Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBD72S38	BARCLAYS PLC 4.95% DUE 01-10-2047	Dispositions	-220,000.00		216,865.00
		Acquisitions	455,000.00	-468,810.50	
SBDCL2Z0	BARCLAYS PLC 4.972% 05-16-2029	Dispositions	-985,000.00		1,013,580.93
		Acquisitions	1,085,000.00	-1,085,698.75	
SBYWR2D3	BARCLAYS PLC 5.25% DUE 08-17-2045	Dispositions	-1,085,000.00		1,077,025.00
		Acquisitions	655,000.00	-726,684.50	
SB8L1XS6	BARRICK GOLD CORP 5.25% DUE 04-01-2042	Dispositions	-655,000.00		681,911.15
		Acquisitions	80,000.00	-83,205.60	
SBCRWZ96	BARRICK N AMER FIN 5.75% DUE 05-01-2043	Dispositions	-115,000.00		118,731.15
		Acquisitions	90,000.00	-99,527.80	
SBG48MX8	BARRICK PD 5.95% DUE 10-15-2039	Dispositions	-90,000.00		98,811.90
		Acquisitions	55,000.00	-61,797.45	
SBYN15Z7	BAXALTA INC 4% DUE 06-23-2025	Dispositions	-155,000.00		169,638.20
		Acquisitions	190,000.00	-189,521.20	
SBYZMHK7	BAYER US FIN II 4.375% DUE 12-15-2028	Dispositions	-190,000.00		190,868.68
		Acquisitions	180,000.00	-177,544.80	
SBD103H7	BAYER US FIN II 4.875% DUE 06-25-2048	Dispositions	-180,000.00		175,471.20
		Acquisitions	60,000.00	-60,748.20	
C0778FPAA7	BELL CANADA 4.464% 04-01-2048	Dispositions	-60,000.00		57,753.60
		Acquisitions	270,000.00	-268,768.00	
SBYW5431	BERKSHIRE HATHAWAY FIN CORP 4.2% DUE 08-15-2048 REG	Dispositions	-270,000.00		266,396.75
		Acquisitions	510,000.00	-507,840.60	
SB630666	BERKSHIRE HATHAWAY 4.4% DUE 05-15-2042	Dispositions	-510,000.00		507,999.90
		Acquisitions	50,000.00	-50,909.00	
SBFD3PF0	BHP BILLITON FIN 5% DUE 09-30-2043	Dispositions	-50,000.00		50,809.50

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB8YB6B7	BK OF AMER NA 6 DUE 10-15-2036	Acquisitions	30,000.00	-33,290.10	
		Dispositions	-120,000.00		133,931.20
SBDTYM63	BLACK HILLS CORP 4.35% DUE 05-01-2033	Acquisitions	55,000.00	-66,092.95	
		Dispositions	-55,000.00		65,091.40
C097023BY0	BOEING CO FIXED 3.55% DUE 03-01-2038	Acquisitions	135,000.00	-134,696.30	
		Dispositions	-135,000.00		130,786.65
SBZBZQ11	BOEING CO 3.65% DUE 03-01-2047	Acquisitions	260,000.00	-248,104.20	
		Dispositions	-260,000.00		244,865.40
SBD9C1J3	BRIGHTHOUSE FINL INC 3.7% 06-22-2027	Acquisitions	70,000.00	-66,895.50	
		Dispositions	-70,000.00		66,302.60
SB23XQ67	BRITISH TELECOMMUNICATIONS P L C NT STEPUP 12-15-2030 12-12-2000	Acquisitions	110,000.00	-98,210.20	
		Dispositions	-110,000.00		98,073.45
SBDQZ645	BROADCOM CORP / 3.875% 144A 01-15-2027	Acquisitions	145,000.00	-205,122.80	
		Dispositions	-145,000.00		206,162.45
SBDRTBT6	BROADCOM CORP/ CAYMN FI 3.875% 01-15-2027	Acquisitions	160,000.00	-157,294.40	
		Dispositions	-60,000.00		58,372.20
SBGB6G11	BSTN SCIENTIFIC 4% 03-01-2028	Acquisitions	325,000.00	-305,749.40	
		Dispositions	-425,000.00		399,228.85
SBYP8NL8	BURL NORTHN SANTA 3.9% DUE 08-01-2046	Acquisitions	135,000.00	-134,767.80	
		Dispositions	-135,000.00		133,508.25
SBFMN5B7	BURLINGTN N SANTA FIXED 4.05% DUE 06-15-2048	Acquisitions	110,000.00	-106,120.40	
		Dispositions	-110,000.00		103,761.90
SBG7PB06	BURLINGTON NORTHERN SANTA FE LLC 4.15% 12-15-2048	Acquisitions	220,000.00	-216,905.00	
		Dispositions	-220,000.00		216,920.80
		Acquisitions	290,000.00	-287,875.75	
		Dispositions	-290,000.00		286,888.50

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBKH0LR6	BURLINGTON NORTHN SANTA FE LLC 4.9 DUE 04-01-2044 REG	Acquisitions	70,000.00	-79,019.50	
		Dispositions	-70,000.00		75,487.30
SBQ26P46	BURLINGTON NORTHN SANTA 4.55 09-01-2044	Acquisitions	135,000.00	-142,063.30	
		Dispositions	-135,000.00		139,526.55
SB52J623	CALIFORNIA ST 7.625% 03-01-2040 BEO TAXABLE	Acquisitions	25,000.00	-36,731.75	
		Dispositions	-25,000.00		36,177.00
SBG1XJ13	CAMPBELL SOUP CO 3.65% 03-16-2018	Acquisitions	195,000.00	-194,709.45	
		Dispositions	-195,000.00		195,013.65
SBG1XJ24	CAMPBELL SOUP CO 4.15% 03-15-2028	Acquisitions	110,000.00	-109,289.40	
		Dispositions	-110,000.00		103,617.80
SBFXCMY5	CAMPBELL SOUP CO 4.8% 03-15-2048	Acquisitions	185,000.00	-184,124.95	
		Dispositions	-185,000.00		165,794.60
C136375CP5	CANADIAN NATL RY CO 3.65% 02-03-2048	Acquisitions	270,000.00	-263,974.40	
		Dispositions	-270,000.00		252,563.25
SBFXCN20	CAP 1 FINL CORP 3.2% DUE 01-30-2023	Acquisitions	25,000.00	-24,531.75	
		Dispositions	-25,000.00		24,364.75
SBFXS0V6	CAP 1 FINL CORP 3.8% DUE 01-31-2028	Acquisitions	835,000.00	-832,239.40	
		Dispositions	-835,000.00		796,567.10
SBD0P6K7	CAP 1 NATL ASSN 2.35% DUE 01-31-2020	Acquisitions	215,000.00	-212,167.25	
		Dispositions	-235,000.00		231,890.40
SB8BMVV8	CAPITAL ONE BK USA NATL ASSN GLEN ALLEN 3.375 DUE 02-15-2023 REG	Acquisitions	25,000.00	-24,388.50	
		Dispositions	-25,000.00		24,321.50
SBDFOBGR7	CAPITAL ONE NATL ASSN MCLEAN VA BNDS 2.65% DUE 08-08-2022	Acquisitions	95,000.00	-90,595.80	
		Dispositions	-95,000.00		91,709.08
SBVB2PG2	CARDINAL HLTH INC 4.5% DUE 11-15-2044	Acquisitions	90,000.00	-81,820.80	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBF47XX5	CDN NAT RES LTD FIXED 4.95% DUE 06-01-2047	Dispositions	-90,000.00		80,397.00
		Acquisitions	185,000.00	-190,083.80	
SBF47XV3	CDN NAT RES LTD 3.85% DUE 06-01-2027	Dispositions	-185,000.00		191,671.00
		Acquisitions	50,000.00	-49,008.00	
SB7YB643	CDN NAT RES LTD 6.25% DUE 03-15-2038	Dispositions	-50,000.00		48,613.00
		Acquisitions	120,000.00	-140,409.60	
SB2NNGB2	CDN NAT RES LTD 6.75% DUE 02-01-2039	Dispositions	-120,000.00		140,743.20
		Acquisitions	145,000.00	-178,565.50	
C136375CK6	CDN NATL RY CO 3.2% DUE 08-02-2046	Dispositions	-145,000.00		177,200.15
		Acquisitions	40,000.00	-34,777.20	
SB27ZWG1	CDN NATL RY CO 6.375% DUE 11-15-2037	Dispositions	-40,000.00		34,208.40
		Acquisitions	55,000.00	-70,828.45	
C13645RAY0	CDN PAC RY CO NEW FIXED 4% 06-01-2028	Dispositions	-55,000.00		69,387.45
		Acquisitions	245,000.00	-244,774.60	
SBF47XY6	CDN PAC RY CO NEW 6.125% DUE 09-15-2115	Dispositions	-245,000.00		246,579.05
		Acquisitions	20,000.00	-24,559.20	
C151020AW4	CELGENE CORP FIXED 4.35% DUE 11-15-2047	Dispositions	-55,000.00		65,684.85
		Acquisitions	95,000.00	-97,450.05	
C151020BA1	CELGENE CORP 3.25% DUE 02-20-2023	Dispositions	-500,000.00		456,696.79
		Acquisitions	70,000.00	-69,830.60	
C151020BB9	CELGENE CORP 3.9% 02-20-2028	Dispositions	-70,000.00		69,432.30
		Acquisitions	625,000.00	-618,754.05	
C151020AZ7	CELGENE CORP 4.55% 02-20-2048	Dispositions	-625,000.00		608,464.95
		Acquisitions	730,000.00	-719,395.75	
C151020AM6	CELGENE CORP 4.625% DUE 05-15-2044	Dispositions	-730,000.00		706,063.00
		Acquisitions			

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYQH7V2	CELGENE CORP 5% DUE 08-15-2045	Acquisitions	75,000.00	-71,085.75	
		Dispositions	-290,000.00		272,373.80
SBFYKKZ5	CENTERPOINT ENERGY RES CORP 4.0% 04-01-2028	Acquisitions	50,000.00	-53,010.50	
		Dispositions	-225,000.00		235,298.20
SBFYS3F0	CENTERPOINT ENERGY 3.95% 03-01-2048	Acquisitions	65,000.00	-64,962.30	
		Dispositions	-65,000.00		64,224.55
SBFY3BX9	CHARTER FIXED 5.75% DUE 04-01-2048	Acquisitions	145,000.00	-144,999.90	
		Dispositions	-145,000.00		142,553.15
SBD6JMQ1	CHARTER 4.5% DUE 02-01-2024	Acquisitions	135,000.00	-135,125.90	
		Dispositions	-135,000.00		138,899.50
SBFY3CF8	CHARTER 5.375% DUE 04-01-2038	Acquisitions	320,000.00	-319,657.60	
		Dispositions	-320,000.00		321,868.80
SBFF9P78	CHARTER 5.375% 05-01-2047	Acquisitions	230,000.00	-229,710.45	
		Dispositions	-230,000.00		223,341.40
SBZ06JT4	CHARTER 6.384% DUE 10-23-2035	Acquisitions	245,000.00	-250,909.40	
		Dispositions	-430,000.00		403,147.70
SBYQ7R23	CHARTER 6.484% DUE 10-23-2045	Acquisitions	240,000.00	-255,579.40	
		Dispositions	-240,000.00		264,843.93
SBD3JMW0	CHARTER 6.834% DUE 10-23-2055	Acquisitions	275,000.00	-302,135.35	
		Dispositions	-440,000.00		470,198.55
SB1XD1V0	CHUBB CORP 6% DUE 05-11-2037	Acquisitions	195,000.00	-218,858.25	
		Dispositions	-195,000.00		211,056.55
SBYSRKF5	CHUBB INA HOLDINGS 4.35% DUE 11-03-2045	Acquisitions	40,000.00	-48,988.00	
		Dispositions	-40,000.00		48,282.40
		Acquisitions	75,000.00	-82,905.75	
		Dispositions	-470,000.00		483,960.65

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBF58H46	CIGNA CORP FIXED 3.05% DUE 10-15-2027	Acquisitions	125,000.00	-113,468.75	
		Dispositions	-125,000.00		112,291.25
SBFMTXQ6	CITIGROUP INC FIXED 3.878% DUE 01-24-2039	Acquisitions	645,000.00	-633,368.90	
		Dispositions	-645,000.00		613,635.35
SBF347Y6	CITIGROUP INC FLTG RT 3.668% DUE 07-24-2028	Acquisitions	460,000.00	-438,986.85	
		Dispositions	-985,000.00		936,979.65
SBFZP4Y6	CITIGROUP INC FLTG RT 4.075% DUE 04-23-2029	Acquisitions	430,000.00	-427,886.25	
		Dispositions	-430,000.00		422,421.20
SBF34865	CITIGROUP INC 2.876% DUE 07-24-2023	Acquisitions	115,000.00	-112,363.20	
		Dispositions	-1,660,000.00		1,616,381.10
SBFMTXR7	CITIGROUP INC 3.142% 01-24-2023	Acquisitions	185,000.00	-185,000.00	
		Dispositions	-185,000.00		183,667.75
SBYWG4Z6	CITIGROUP INC 3.52% 10-27-2028	Acquisitions	10,000.00	-9,422.20	
		Dispositions	-315,000.00		307,994.35
SBDDXTT8	CITIGROUP INC 3.887% 01-10-2028	Acquisitions	445,000.00	-433,354.70	
		Dispositions	-1,185,000.00		1,148,931.70
SBD0MGQ4	CITIGROUP INC 4.044% 06-01-2024	Acquisitions	440,000.00	-441,943.00	
		Dispositions	-440,000.00		443,923.80
SBFMLH37	CITIGROUP INC 4.65% DUE 07-23-2048	Acquisitions	1,205,000.00	-1,215,169.80	
		Dispositions	-1,205,000.00		1,211,570.95
SBYTHPH1	CITIGROUP INC 4.65% DUE 07-30-2045	Acquisitions	160,000.00	-161,336.85	
		Dispositions	-160,000.00		160,088.00
SBDGLCL2	CITIGROUP INC 4.75% DUE 05-18-2046	Acquisitions	170,000.00	-166,838.65	
		Dispositions	-590,000.00		590,257.20
SBMH4H85	CITIGROUP INC 5.3 DUE 05-06-2044	Acquisitions	35,000.00	-38,275.30	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB8WTN90	CITIGROUP INC 5.875 DUE 01-30-2042	Dispositions	-35,000.00		37,028.95
		Acquisitions	40,000.00	-46,904.40	
SBDC7485	CITIGROUP INC 6.675% DUE 09-13-2043	Dispositions	-40,000.00		47,239.20
		Acquisitions	95,000.00	-115,029.40	
SB8G0DX3	CITIGROUP INC 8.125% DUE 07-15-2039	Dispositions	-95,000.00		116,360.75
		Acquisitions	45,000.00	-64,520.55	
C17858PAB7	CITY OF HOPE 4.378% DUE 08-15-2048	Dispositions	-45,000.00		64,813.95
		Acquisitions	125,000.00	-125,000.00	
SBFWQ3V2	COMCAST CORP NEW FIXED 3.969% DUE 11-01-2047	Dispositions	-125,000.00		121,561.25
		Acquisitions	175,000.00	-156,515.90	
SBFW31L9	COMCAST CORP NEW FIXED 3.999% DUE 11-01-2049	Dispositions	-441,000.00		392,107.59
		Acquisitions	155,000.00	-139,858.80	
SB614024	COMCAST CORP NEW SR NT 6.55 DUE 07-01-2039	Dispositions	-1,426,000.00		1,273,165.19
		Acquisitions	35,000.00	-42,360.85	
SBDFL086	COMCAST CORP NEW 2.35% DUE 01-15-2027	Dispositions	-35,000.00		42,252.00
		Acquisitions	130,000.00	-116,638.90	
SBF22PP8	COMCAST CORP NEW 3.15% DUE 02-15-2028	Dispositions	-130,000.00		115,223.94
		Acquisitions	125,000.00	-116,892.50	
SBFY1MY1	COMCAST CORP NEW 3.55% DUE 05-01-2028	Dispositions	-205,000.00		191,491.40
		Acquisitions	360,000.00	-358,282.05	
SBFY1N04	COMCAST CORP NEW 3.9% DUE 03-01-2038	Dispositions	-360,000.00		350,333.80
		Acquisitions	240,000.00	-238,836.00	
SBFWQ3S9	COMCAST CORP NEW 4.049% DUE 11-01-2052	Dispositions	-240,000.00		219,837.60
		Acquisitions	415,000.00	-370,401.25	
SBY58YR1	COMCAST CORP NEW 4.4% DUE 08-15-2035	Dispositions	-988,000.00		894,733.04
		Acquisitions			

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
C20030NBQ3	COMCAST CORP NEW 4.6% DUE 08-15-2045	Acquisitions	45,000.00	-46,500.75	
		Dispositions	-45,000.00		44,026.65
SB7TGGL0	COMCAST CORP NEW 4.65% DUE 07-15-2042	Acquisitions	155,000.00	-150,349.50	
		Dispositions	-155,000.00		150,412.65
SBJZXTF4	COMCAST CORP NEW 4.75% DUE 03-01-2044	Acquisitions	55,000.00	-52,902.30	
		Dispositions	-55,000.00		53,879.10
SBFY1YN4	COMCAST CORP NEW 4% DUE 03-01-2048	Acquisitions	50,000.00	-49,976.50	
		Dispositions	-50,000.00		49,742.50
SBF22PR0	COMCAST CORP NEW 4% DUE 08-15-2047	Acquisitions	270,000.00	-263,787.15	
		Dispositions	-270,000.00		241,484.05
SB0B7ZQ0	COMCAST CORP NEW 5.65% DUE 06-15-2035	Acquisitions	110,000.00	-103,277.60	
		Dispositions	-110,000.00		98,155.20
SB97R909	COMCAST CORP 4.5 BDS DUE 01-15-2043 REG	Acquisitions	110,000.00	-136,194.30	
		Dispositions	-110,000.00		123,654.30
SBFXKXD5	COMMONWEALTH EDISON CO 3.7% 08-15-2028	Acquisitions	75,000.00	-71,494.65	
		Dispositions	-235,000.00		226,891.10
SBFYRPY0	COMMONWEALTH EDISON CO 4.0% DUE 03-01-2048 REG	Acquisitions	50,000.00	-49,880.00	
		Dispositions	-50,000.00		49,646.50
SBFB5M35	CONCHO RES INC 3.75% DUE 10-01-2027	Acquisitions	110,000.00	-109,979.10	
		Dispositions	-110,000.00		107,976.50
SBFWS329	CONCHO RES INC 4.85% DUE 08-15-2048	Acquisitions	175,000.00	-169,598.25	
		Dispositions	-175,000.00		166,785.50
SBFB5MG8	CONCHO RES INC 4.875% DUE 10-01-2047	Acquisitions	325,000.00	-326,083.20	
		Dispositions	-325,000.00		328,336.61
		Acquisitions	230,000.00	-232,104.15	
		Dispositions	-230,000.00		232,227.90

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Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
C20605PAK7	CONCHO RESOURCES INC 4.3% 06-18-2028	Acquisitions	325,000.00	-323,337.80	
		Dispositions	-325,000.00		325,903.22
SBG48S85	CONOCO FDG CO 7.25% DUE 10-15-2031	Acquisitions	30,000.00	-38,540.40	
		Dispositions	-30,000.00		38,528.10
SBZ78LD1	CONOCOPHILLIPS CO 5.95% DUE 03-15-2046	Acquisitions	85,000.00	-107,257.25	
		Dispositions	-195,000.00		248,405.95
SB4T4431	CONOCOPHILLIPS GTD NT 6.5 DUE 02-15-2039REG	Acquisitions	225,000.00	-291,620.55	
		Dispositions	-225,000.00		294,526.68
SB8MJ1K7	CONS EDISON CO N Y 3.95% DUE 03-01-2043	Acquisitions	70,000.00	-69,188.00	
		Dispositions	-70,000.00		66,244.50
SBD3GJ31	CONS EDISON CO N Y 4.3% DUE 12-01-2056	Acquisitions	65,000.00	-64,742.60	
		Dispositions	-195,000.00		185,386.50
SBFZYKL2	CONS EDISON CO N Y 4.5% DUE 05-15-2058	Acquisitions	105,000.00	-104,515.95	
		Dispositions	-105,000.00		102,918.90
SB5M23K9	CONS EDISON CO N Y 5.7% DUE 06-15-2040	Acquisitions	35,000.00	-41,928.95	
		Dispositions	-35,000.00		40,439.70
SBYWRSV3	CONSTELLATION 2.65% DUE 11-07-2022	Acquisitions	270,000.00	-261,027.90	
		Dispositions	-270,000.00		258,408.60
SBF42ZJ0	CONSTELLATION 4.1% 02-15-2048	Acquisitions	55,000.00	-52,415.00	
		Dispositions	-55,000.00		50,861.80
SBDGKT74	CONSTELLATION 4.5% DUE 05-09-2047	Acquisitions	65,000.00	-70,224.70	
		Dispositions	-390,000.00		370,621.95
SBD2NLC6	CONSUMERS ENERGY 4.05% DUE 05-15-2048	Acquisitions	130,000.00	-128,662.30	
		Dispositions	-130,000.00		127,484.50
SBZ02873	CONTL RES INC FIXED 3.8% DUE 06-01-2024	Acquisitions	155,000.00	-150,766.80	

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Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBF19CF9	CONTL RES INC 4.375% DUE 01-15-2028	Dispositions	-155,000.00		151,253.65
		Acquisitions	100,000.00	-98,917.00	
SBYN68H5	CONTL RES INC 4.9% DUE 06-01-2044	Dispositions	-235,000.00		231,808.70
		Acquisitions	55,000.00	-53,409.95	
C21684AAB2	COOPERATIEVE CENTRALE RAIFFEISEN BOERENL5.75 DUE 12-01-2043 REG	Dispositions	-55,000.00		53,538.65
		Acquisitions	100,000.00	-113,224.00	
C21688AAD4	COOPERATIEVE CENTRALE RAIFFEISEN 2.25% 01-14-2020	Dispositions	-100,000.00		111,683.00
		Acquisitions	665,000.00	-658,680.20	
C21684AAD8	COOPERATIEVE RABOBANK U A 5.25% DUE 08-04-2045 BEO	Dispositions	-665,000.00		657,505.45
		Acquisitions	350,000.00	-387,444.90	
C21684AAA4	COOPERATIEVE RABOBANK UA 4.625% DUE 12-01-2023 REG	Dispositions	-405,000.00		427,900.75
		Acquisitions	80,000.00	-80,827.20	
SBDFM4F4	COOPERATIEVE 2.5% DUE 01-19-2021	Dispositions	-80,000.00		81,568.80
		Acquisitions	290,000.00	-285,516.60	
SBYW41H1	COOPERATIEVE 4.375% DUE 08-04-2025	Dispositions	-290,000.00		284,142.00
		Acquisitions	370,000.00	-365,076.45	
C21685WCJ4	COOPERATIEVE 5.25% DUE 05-24-2041	Dispositions	-425,000.00		420,897.10
		Acquisitions	60,000.00	-66,817.80	
SBF8QCP3	CORNING INC 4.375% 11-15-2057	Dispositions	-60,000.00		67,458.60
		Acquisitions	85,000.00	-83,795.55	
C225401AF5	CR SUISSE GROUP AG FLTG RT 3.869% DUE 01-12-2029	Dispositions	-85,000.00		74,350.35
		Acquisitions	385,000.00	-383,328.00	
C126408HK2	CSX CORP FIXED 4.3% DUE 03-01-2048	Dispositions	-385,000.00		373,912.30
		Acquisitions	860,000.00	-848,973.90	
C126408HL0	CSX CORP FIXED 4.65% DUE 03-01-2068	Dispositions	-860,000.00		835,395.45

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBD9NGW4	CSX CORP 2.6% DUE 11-01-2026	Acquisitions	130,000.00	-125,277.45	
		Dispositions	-130,000.00		124,924.80
SBF3VPY3	CSX CORP 3.8% DUE 03-01-2028	Acquisitions	140,000.00	-127,208.20	
		Dispositions	-140,000.00		127,723.40
SBH458J4	CVS CAREMARK CORP 5.3 DUE 12-05-2043	Acquisitions	110,000.00	-110,139.10	
		Dispositions	-110,000.00		109,238.80
SBD72452	CVS HEALTH CORP 2.125% DUE 06-01-2021	Acquisitions	110,000.00	-116,227.80	
		Dispositions	-320,000.00		348,202.00
SBF4JKN0	CVS HEALTH CORP 3.7% DUE 03-09-2023	Acquisitions	90,000.00	-87,824.70	
		Dispositions	-90,000.00		86,852.70
SBF4JKP2	CVS HEALTH CORP 4.1% DUE 03-25-2025	Acquisitions	945,000.00	-936,737.95	
		Dispositions	-945,000.00		942,417.35
SBF3V2P3	CVS HEALTH CORP 4.3% DUE 03-25-2028	Acquisitions	930,000.00	-927,583.00	
		Dispositions	-930,000.00		929,855.52
SBF4JK96	CVS HEALTH CORP 4.78% DUE 03-25-2038	Acquisitions	1,905,000.00	-1,885,807.90	
		Dispositions	-1,905,000.00		1,885,919.60
SBF4JKH4	CVS HEALTH CORP 5.05% DUE 03-25-2048	Acquisitions	890,000.00	-875,281.80	
		Dispositions	-890,000.00		886,124.00
SBYPFC45	CVS HEALTH CORP 5.125% DUE 07-20-2045	Acquisitions	2,420,000.00	-2,454,579.80	
		Dispositions	-2,420,000.00		2,485,952.85
SBFWZ825	DARDEN RESTAURANTS INC 4.55% 02-15-2048	Acquisitions	350,000.00	-375,418.30	
		Dispositions	-880,000.00		908,518.59
SB80L9N4	DEFAULTED PAC GAS & ELEC CO 4.45 DUE 04-15-2042	Acquisitions	255,000.00	-241,910.60	
		Dispositions	-255,000.00		241,745.65
		Acquisitions	50,000.00	-47,671.50	
		Dispositions	-50,000.00		46,862.00

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBWWC535	DELMARVA PWR & LT CO 4.15% DUE 05-15-2045	Acquisitions	40,000.00	-40,311.20	
		Dispositions	-40,000.00		38,523.60
SBYNCY2	DEVON ENERGY CORP FIXED 5% DUE 06-15-2045	Acquisitions	210,000.00	-225,646.60	
		Dispositions	-210,000.00		213,521.10
SB76V362	DEVON ENERGY CORP 3.25% DUE 05-15-2022	Acquisitions	55,000.00	-54,013.85	
		Dispositions	-55,000.00		53,914.30
SBFN08Q3	DEVON ENERGY CORP 4.75% DUE 05-15-2042	Acquisitions	175,000.00	-174,358.35	
		Dispositions	-175,000.00		166,887.00
SB3Q7W10	DEVON ENERGY CORP 5.6 DUE 07-15-2041	Acquisitions	65,000.00	-69,351.10	
		Dispositions	-65,000.00		68,411.85
SBDZSS16	DIGITAL RLTY TR LP 4.45% 07-15-2028	Acquisitions	105,000.00	-104,844.60	
		Dispositions	-105,000.00		104,668.20
C25470DAR0	DISCOVERY 3.95% DUE 03-20-2028	Acquisitions	50,000.00	-47,680.50	
		Dispositions	-50,000.00		47,959.50
SBFY8ZY3	DOLLAR TREE INC FIXED 4.2% DUE 05-15-2028	Acquisitions	200,000.00	-194,114.10	
		Dispositions	-200,000.00		193,998.00
SBFY8ZV0	DOLLAR TREE INC 3.7% DUE 05-15-2023	Acquisitions	195,000.00	-194,637.30	
		Dispositions	-195,000.00		193,734.45
SBFY8ZX2	DOLLAR TREE INC 4% DUE 05-15-2025	Acquisitions	170,000.00	-167,806.70	
		Dispositions	-170,000.00		166,209.00
SBD45B19	DTE ENERGY CO 3.7% 08-01-2023	Acquisitions	275,000.00	-274,568.25	
		Dispositions	-275,000.00		275,791.91
SBYZMHG3	DUKE ENERGY FLA 4.2% DUE 07-15-2048	Acquisitions	345,000.00	-344,666.75	
		Dispositions	-345,000.00		341,898.45
SB4NTXW4	DUKE ENERGY IND 6.45% DUE 04-01-2039	Acquisitions	70,000.00	-91,273.70	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYMXHP8	DUKE ENERGY OH INC 3.7% DUE 06-15-2046	Dispositions	-70,000.00		88,958.80
		Acquisitions	85,000.00	-78,687.05	
SB9G8B85	EASTMAN CHEM CO 4.8% DUE 09-01-2042	Dispositions	-325,000.00		296,429.25
		Acquisitions	50,000.00	-49,391.00	
S2836399	EL PASO ENERGY CORP MTN BOOKTRANCHE # TR00005 7.75 1-15-2032 BEO	Dispositions	-105,000.00		103,935.30
		Acquisitions	35,000.00	-43,306.20	
SBM6NV69	EL PASO PIPELN 4.3% DUE 05-01-2024	Dispositions	-35,000.00		43,747.55
		Acquisitions	40,000.00	-40,445.20	
SBFZYK67	ENABLE MIDSTREAM 4.95% DUE 05-15-2028	Dispositions	-40,000.00		40,335.20
		Acquisitions	50,000.00	-49,598.50	
C29250NAR6	ENBRIDGE INC 3.7% 07-15-2027	Dispositions	-50,000.00		49,308.00
		Acquisitions	140,000.00	-135,346.40	
SBDHTRM1	ENERGY TRANSFER FIXED 5.3% DUE 04-15-2047	Dispositions	-185,000.00		177,662.90
		Acquisitions	105,000.00	-100,840.95	
C29278NAF0	ENERGY TRANSFER 4.95% DUE 06-15-2028	Dispositions	-105,000.00		100,050.30
		Acquisitions	15,000.00	-14,972.85	
C29278NAD5	ENERGY TRANSFER 5.8% DUE 06-15-2038	Dispositions	-15,000.00		15,244.50
		Acquisitions	70,000.00	-69,441.40	
SBFXCN75	ENERGY TRANSFER 6% DUE 06-15-2048	Dispositions	-70,000.00		72,752.40
		Acquisitions	140,000.00	-138,460.00	
SBSM8V15	ENLINK MIDSTREAM PARTNERS LP 5.05% DUE 04-01-2045	Dispositions	-140,000.00		144,122.25
		Acquisitions	60,000.00	-53,425.80	
SBYZ5J66	ENTERPRISE PRODS FIXED 4.9% DUE 05-15-2046	Dispositions	-60,000.00		49,529.60
		Acquisitions	30,000.00	-30,395.40	
SBFY1ZL9	ENTERPRISE PRODS OPER LLC 4.25% 02-15-2048	Dispositions	-30,000.00		30,894.30

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB8D1HN7	ENTERPRISE PRODS 4.45 DUE 02-15-2043	Acquisitions	285,000.00	-284,615.25	
		Dispositions	-285,000.00		269,257.25
SB9M5X40	ENTERPRISE PRODS 4.85% DUE 03-15-2044	Acquisitions	70,000.00	-68,623.10	
		Dispositions	-70,000.00		67,413.50
SBYMYK63	EQUINOR ASA 2.75% 11-10-2021	Acquisitions	45,000.00	-46,429.20	
		Dispositions	-170,000.00		175,999.80
SBDD91X4	EVERSOURCE ENERGY 3.3% DUE 01-15-2028	Acquisitions	210,000.00	-207,864.30	
		Dispositions	-210,000.00		207,633.30
SBW9RTS6	EXXON MOBIL CORP 3.567% DUE 03-06-2045	Acquisitions	145,000.00	-144,901.40	
		Dispositions	-145,000.00		135,989.70
SBHZFZM5	FEDEX CORP BOND 5.1 DUE 01-15-2044	Acquisitions	140,000.00	-132,861.40	
		Dispositions	-140,000.00		130,636.80
SBF42ZD4	FEDEX CORP 4.05% DUE 02-15-2048	Acquisitions	115,000.00	-121,305.45	
		Dispositions	-160,000.00		166,283.20
SBV9HFG9	FEDEX CORP 4.1% DUE 02-01-2045	Acquisitions	290,000.00	-273,094.15	
		Dispositions	-290,000.00		265,497.65
SBYYPSG0	FEDEX CORP 4.4% DUE 01-15-2047	Acquisitions	60,000.00	-56,808.60	
		Dispositions	-110,000.00		107,737.30
SBD9Y0B4	FEDEX CORP 4.55% DUE 04-01-2046	Acquisitions	85,000.00	-80,785.70	
		Dispositions	-85,000.00		80,835.00
SBDC3P80	FIRSTENERGY CORP 4.85% 07-15-2047	Acquisitions	175,000.00	-174,302.35	
		Dispositions	-175,000.00		174,845.80
SBG1V081	FL PWR & LT CO 3.95% 03-01-2048	Acquisitions	35,000.00	-35,985.60	
		Dispositions	-35,000.00		35,737.45
		Acquisitions	180,000.00	-179,028.00	
		Dispositions	-180,000.00		175,638.00

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFPMGV8	FOMENTO ECONOMICO 4.375% DUE 05-10-2043	Acquisitions	250,000.00	-244,391.50	
		Dispositions	-410,000.00		401,263.16
SB94Q0Z1	FORD MTR CO DEL NT 4.75 01-15-2043	Acquisitions	100,000.00	-88,088.60	
		Dispositions	-825,000.00		709,474.65
SBYPL1V3	FORD MTR CO DEL 5.291% DUE 12-08-2046	Acquisitions	55,000.00	-51,607.60	
		Dispositions	-370,000.00		352,548.00
S2445643	FORD MTR CO DEL 7.45% DUE 07-16-2031	Acquisitions	160,000.00	-184,452.25	
		Dispositions	-325,000.00		367,128.12
SBFM0W84	FORD MTR CR CO LLC FIXED 3.815% DUE 11-02-2027	Acquisitions	190,000.00	-179,946.00	
		Dispositions	-190,000.00		176,027.40
SBYZ5MF6	FORD MTR CR CO LLC 3.219% DUE 01-09-2022	Acquisitions	35,000.00	-34,258.70	
		Dispositions	-35,000.00		34,172.25
SBD0SY80	FORD MTR CR CO LLC 4.389% DUE 01-08-2026	Acquisitions	155,000.00	-151,042.35	
		Dispositions	-155,000.00		147,240.70
SBG84447	FORD MTR CR CO LLC 4.687% 06-09-2025	Acquisitions	40,000.00	-39,979.20	
		Dispositions	-40,000.00		38,952.80
C999599GH0	FUT DEC 18 CBT 5Y T-NOTE	Free Delivery	-377.00		
		Free Receipt	15.00		
C999599GH0	FUT DEC 18 CBT 5Y T-NOTE	Free Delivery	-15.00		
		Free Receipt	377.00		
C999599GH0	FUT JUN 18 CBT UL T-BONDS	Free Delivery	-14.00		
		Free Receipt	2.00		
C999599GH0	FUT JUN 18 CBT UL T-BONDS	Free Delivery	-2.00		
		Free Receipt	14.00		
C999599GH0	FUT JUN 18 CBT ULT TNOTE	Free Delivery	-5.00		

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Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
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Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
C999599GH0	FUT JUN 18 CBT ULT TNOTE	Free Receipt	14.00		
		Free Delivery	-14.00		
C999599GH0	FUT JUN 18 10 YR T-NOTES	Free Receipt	5.00		
		Free Delivery	-59.00		
C999599GH0	FUT JUN 18 10 YR T-NOTES	Free Receipt	1.00		
		Free Delivery	-1.00		
C999599GH0	FUT MAR 18 CBT ULT TNOTE	Free Receipt	59.00		
		Free Delivery	-5.00		
C999599GH0	FUT MAR 18 CBT ULT TNOTE	Free Receipt	12.00		
		Free Delivery	-12.00		
C999599GH0	FUT MAR 18 US 2YR T-NOTE	Free Receipt	5.00		
		Free Delivery	-24.00		
C999599GH0	FUT MAR 18 US 2YR T-NOTE	Free Receipt	10.00		
		Free Delivery	-10.00		
C999599GH0	FUT MAR 18 10 YR T-NOTES	Free Receipt	24.00		
		Free Delivery	-1.00		
C999599GH0	FUT MAR 18 10 YR T-NOTES	Free Receipt	10.00		
		Free Delivery	-10.00		
C999501AX7	GAC METLIFE CONT.#163 RT. N/A MAT N/A	Free Receipt	1.00		
		Free Delivery	-10.00		
SBYNKFJ8	GE CAP INTL FDG CO 3.373% DUE 11-15-2025	Acquisitions	125,892.33	-125,892.33	
		Dispositions	-125,733.75		125,733.75
SBYNWXZ6	GE CAP INTL FDG CO 4.418% DUE 11-15-2035	Acquisitions	250,000.00	-239,926.50	
		Dispositions	-250,000.00		238,487.50
SB7XW2Z0	GEN ELEC CAP CORP MEDIUM TERM NTS BO TRANCHE # TR 00818 6.875 DUE 01-10-2039	Acquisitions	315,000.00	-312,456.30	
		Dispositions	-2,602,000.00		2,514,903.15

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Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBCZSZ30	GEN ELEC CAP CORP 6.15% DUE 08-07-2037	Acquisitions	225,000.00	-296,523.35	
		Dispositions	-225,000.00		283,693.50
SBGB6GB1	GEN MTRS FINL CO FIXED 4.15% 06-19-2023	Acquisitions	110,000.00	-134,420.50	
		Dispositions	-305,000.00		354,122.50
SBG5L0T8	GENERAL DYNAMICS CORP 2.875% 11/05/2020	Acquisitions	225,000.00	-224,667.00	
		Dispositions	-225,000.00		226,139.36
SB7T4807	GENERAL ELEC CO 4.125% DUE 10-09-2042	Acquisitions	380,000.00	-378,654.80	
		Dispositions	-380,000.00		383,397.36
SBKRVHN7	GENERAL ELEC CO 4.5% DUE 03-11-2044	Acquisitions	195,000.00	-177,246.30	
		Dispositions	-475,000.00		434,585.95
SBFY3D33	GENERAL MLS INC FIXED 4.55% DUE 04-17-2038	Acquisitions	280,000.00	-276,019.70	
		Dispositions	-325,000.00		315,396.55
SBFY3D22	GENERAL MLS INC 4.2% DUE 04-17-2028	Acquisitions	80,000.00	-79,875.20	
		Dispositions	-80,000.00		76,296.80
SBF6NPZ7	GENERAL MLS INC 4.2% DUE 04-17-2028	Acquisitions	105,000.00	-104,787.90	
		Dispositions	-105,000.00		102,879.00
SBGJNQ03	GENERAL MTRS CO 4.2% DUE 10-01-2027	Acquisitions	190,000.00	-181,748.30	
		Dispositions	-510,000.00		492,139.45
SBV54K36	GENERAL MTRS CO 5.0% 10-01-2028	Acquisitions	115,000.00	-114,959.75	
		Dispositions	-115,000.00		113,206.00
SBD93Q91	GENERAL MTRS CO 5.2% DUE 04-01-2045	Acquisitions	120,000.00	-109,662.00	
		Dispositions	-120,000.00		108,913.20
SBGLRQ81	GENERAL MTRS CO 5.4% 04-01-2048	Acquisitions	130,000.00	-124,057.50	
		Dispositions	-130,000.00		123,161.20
	GENERAL MTRS CO 5.95% DUE 04-01-2049	Acquisitions	100,000.00	-100,437.90	
		Dispositions	-100,000.00		99,573.10

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Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBP8Y7Q3	GENERAL MTRS CO 6.25% DUE 10-02-2043	Acquisitions	90,000.00	-93,457.20	
		Dispositions	-405,000.00		423,340.45
SBYXXH1	GENERAL MTRS CO 6.75% DUE 04-01-2046	Acquisitions	225,000.00	-255,935.70	
		Dispositions	-225,000.00		247,358.25
SBSNBW0	GILEAD SCIENCES 4.5% DUE 02-01-2045	Acquisitions	60,000.00	-60,892.20	
		Dispositions	-265,000.00		273,705.20
C375558BD4	GILEAD SCIENCES 4.75% DUE 03-01-2046	Acquisitions	50,000.00	-51,517.50	
		Dispositions	-50,000.00		51,337.50
SBDCL2V6	GLAXOSMITHKLINE CAP PLC 3.125% 05-14-2021	Acquisitions	265,000.00	-264,287.15	
		Dispositions	-265,000.00		264,117.55
SBF108Q5	GLAXOSMITHKLINE 3.875% DUE 05-15-2028	Acquisitions	665,000.00	-667,984.00	
		Dispositions	-665,000.00		672,231.30
SB88GFC2	GLAXOSMITHKLINE 6.375% DUE 05-15-2038	Acquisitions	225,000.00	-292,809.65	
		Dispositions	-225,000.00		288,927.00
SBG0TC57	GOLDMAN SACHS BK 3.2% DUE 06-05-2020	Acquisitions	315,000.00	-314,927.55	
		Dispositions	-315,000.00		315,604.80
SBZ9NZX2	GOLDMAN SACHS FLTG RT 2.905% DUE 07-24-2023	Acquisitions	505,000.00	-491,007.75	
		Dispositions	-670,000.00		646,729.90
SBYZKX58	GOLDMAN SACHS FLTG RT 4.017% DUE 10-31-2038	Acquisitions	275,000.00	-257,034.10	
		Dispositions	-1,610,000.00		1,499,606.80
SBD1DDX2	GOLDMAN SACHS GROUP INC 3.814% DUE 04-23-2029	Acquisitions	895,000.00	-882,683.50	
		Dispositions	-895,000.00		866,196.80
SBFXTLV6	GOLDMAN SACHS GROUP INC 4.223% 05-01-2029	Acquisitions	1,070,000.00	-1,060,071.80	
		Dispositions	-1,070,000.00		1,060,724.64
SBDT8737	GOLDMAN SACHS 2.6% DUE 12-27-2020	Acquisitions	255,000.00	-251,789.55	

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBZ12537	GOLDMAN SACHS 3.5% DUE 11-16-2026	Dispositions	-255,000.00		250,828.20
		Acquisitions	55,000.00	-52,303.35	
SBDFDPG5	GOLDMAN SACHS 3.85% DUE 01-26-2027	Dispositions	-55,000.00		52,600.35
		Acquisitions	225,000.00	-218,867.50	
SBFXTLH2	GOLDMAN SACHS 4.411% DUE 04-23-2039	Dispositions	-225,000.00		219,758.45
		Acquisitions	970,000.00	-958,959.55	
SBYNZDD3	GOLDMAN SACHS 4.75% DUE 10-21-2045	Dispositions	-970,000.00		939,206.60
		Acquisitions	95,000.00	-106,365.80	
SBP4W4X7	GOLDMAN SACHS 4.8% DUE 07-08-2044	Dispositions	-95,000.00		100,200.30
		Acquisitions	40,000.00	-40,650.00	
SBY58YT3	GOLDMAN SACHS 5.15% DUE 05-22-2045	Dispositions	-180,000.00		188,192.75
		Acquisitions	85,000.00	-96,873.45	
SB7M7YX8	GOLDMAN SACHS 6.125% DUE 02-15-2033	Dispositions	-85,000.00		89,264.40
		Acquisitions	50,000.00	-58,090.50	
SB920YP3	GOLDMAN SACHS 6.25% DUE 02-01-2041	Dispositions	-240,000.00		282,081.60
		Acquisitions	225,000.00	-268,615.00	
SB9CMD63	GOLDMAN SACHS 6.75% DUE 10-01-2037	Dispositions	-225,000.00		272,778.69
		Acquisitions	250,000.00	-325,014.25	
SBMJ71M4	GRUPO TELEVISA S A 5% DUE 05-13-2045	Dispositions	-790,000.00		964,952.71
		Acquisitions	100,000.00	-88,875.60	
SBZ1N3D6	GRUPO TELEVISA S A 6.125% DUE 01-31-2046	Dispositions	-150,000.00		140,412.50
		Acquisitions	95,000.00	-110,577.15	
SBDFXP32	HACKENSACK 4.211% 07-01-2048	Dispositions	-95,000.00		105,054.80
		Acquisitions	40,000.00	-40,000.00	
SBYNR6K7	HALLIBURTON CO 4.85% DUE 11-15-2035	Dispositions	-40,000.00		38,931.20

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB3D2F31	HALLIBURTON CO 6.7% DUE 09-15-2038	Acquisitions	105,000.00	-109,249.35	
		Dispositions	-160,000.00		166,748.80
SB63S808	HALLIBURTON CO 7.45% DUE 09-15-2039	Acquisitions	250,000.00	-305,641.40	
		Dispositions	-250,000.00		308,915.00
SBYWFTW5	HARRIS CORP DEL 4.4% DUE 06-15-2028	Acquisitions	40,000.00	-55,502.40	
		Dispositions	-145,000.00		193,296.60
SBX1DG11	HARRIS CORP DEL 4.854% DUE 04-27-2035	Acquisitions	390,000.00	-393,082.30	
		Dispositions	-390,000.00		393,345.30
SBX1DFF8	HARRIS CORP DEL 5.054% DUE 04-27-2045	Acquisitions	40,000.00	-41,535.20	
		Dispositions	-40,000.00		40,756.40
SBFM1RF9	HARTFORD FINL SVCS GROUP INC 4.4% 03-15-2018	Acquisitions	105,000.00	-111,918.20	
		Dispositions	-105,000.00		109,227.30
SB8JV032	HARTFORD FINL SVCS 4.3 DUE 04-15-2043	Acquisitions	165,000.00	-163,345.05	
		Dispositions	-165,000.00		158,083.20
SBFN0JK4	HARTFORD FINL SVCS 6.1% DUE 10-01-2041	Acquisitions	35,000.00	-33,658.10	
		Dispositions	-35,000.00		32,798.15
SBD886H5	HOME DEPOT INC 3.5% DUE 09-15-2056	Acquisitions	50,000.00	-59,370.50	
		Dispositions	-115,000.00		134,712.15
SBDGLXQ4	HOME DEPOT INC 3.9% DUE 06-15-2047	Acquisitions	195,000.00	-175,644.50	
		Dispositions	-360,000.00		313,981.90
SBYQF318	HOME DEPOT INC 4.25% DUE 04-01-2046	Acquisitions	170,000.00	-171,149.00	
		Dispositions	-240,000.00		236,644.95
SB8D60P5	HOME DEPOT INC 5.875% DUE 12-16-2036	Acquisitions	255,000.00	-260,865.55	
		Dispositions	-255,000.00		257,458.20
		Acquisitions	80,000.00	-98,608.00	
		Dispositions	-80,000.00		97,134.40

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFN0JP9	HOME DEPOT INC 5.95% DUE 04-01-2041	Acquisitions	35,000.00	-43,614.55	
		Dispositions	-35,000.00		43,446.90
SBG48WF0	HSBC BK USA N A GLOBAL MEDIUM TERM SUB BNT 5.625 DUE 08-15-2035	Acquisitions	205,000.00	-230,727.25	
		Dispositions	-355,000.00		395,609.80
SBFN0JH1	HSBC BK USA 5.875 11-01-2034	Acquisitions	40,000.00	-45,917.20	
		Dispositions	-40,000.00		45,463.60
SBFX0TH1	HSBC HLDGS PLC FLTG RT 4.292% DUE 09-12-2026	Acquisitions	500,000.00	-498,994.95	
		Dispositions	-500,000.00		494,130.00
SBD0QRM9	HSBC HLDGS PLC 3.9% DUE 05-25-2026	Acquisitions	195,000.00	-200,456.10	
		Dispositions	-360,000.00		354,467.20
SBF243H2	HSBC HLDGS PLC 4.041% 03-13-2028	Acquisitions	555,000.00	-540,686.85	
		Dispositions	-830,000.00		819,090.85
SBD36V02	HSBC HLDGS PLC 4.583% 06-19-2029	Acquisitions	740,000.00	-742,484.85	
		Dispositions	-740,000.00		745,147.85
SBKS7XY7	HSBC HLDGS PLC 5.25% DUE 03-14-2044	Acquisitions	140,000.00	-156,526.00	
		Dispositions	-140,000.00		149,644.30
SB9KBXK6	HSBC HLDGS PLC 6.5% DUE 05-02-2036	Acquisitions	60,000.00	-69,313.80	
		Dispositions	-60,000.00		70,705.80
SBFN0JF9	HSBC HLDGS PLC 6.5% DUE 09-15-2037	Acquisitions	105,000.00	-129,590.70	
		Dispositions	-465,000.00		556,601.60
SBT6SJF8	IN DEFAULT PACIFIC GAS & ELEC CO 0.0% DUE 12-31-2040	Acquisitions	140,000.00	-130,711.20	
		Dispositions	-140,000.00		128,060.80
SBDRM6Q7	IN MICH PWR CO 3.75% DUE 07-01-2047	Acquisitions	45,000.00	-43,411.50	
		Dispositions	-45,000.00		40,481.55
SBFXV4T1	INDIANA MICH PWR CO 4.25% 08-15-2048	Acquisitions	110,000.00	-109,370.80	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFZPKG0	INDIANA UNIV HEALTH INC 3.97% BNDS 11-01-2048	Dispositions	-110,000.00		109,360.75
		Acquisitions	75,000.00	-75,000.00	
SBFMFJQ6	INTEL CORP 3.734% 12-08-2047 BEO	Dispositions	-75,000.00		72,702.00
		Acquisitions	50,000.00	-46,482.50	
SBF3W9R7	INTEL CORP 4.1% DUE 05-11-2047	Dispositions	-430,000.00		405,885.33
		Acquisitions	100,000.00	-100,577.20	
SBDDQWX2	INTEL CORP 4.1% DUE 05-19-2046	Dispositions	-100,000.00		98,668.00
		Acquisitions	140,000.00	-140,107.80	
SBG48X24	INTL BUSINESS 5.6% DUE 11-30-2039	Dispositions	-140,000.00		137,852.40
		Acquisitions	45,000.00	-53,622.00	
SBYYGTQ0	INTL PAPER CO 4.4% DUE 08-15-2047	Dispositions	-45,000.00		53,649.90
		Acquisitions	50,000.00	-46,796.50	
SBFXCNB9	JOHNSON & JOHNSON 3.5% 01-15-2048	Dispositions	-405,000.00		374,468.70
		Acquisitions	100,000.00	-95,236.00	
SBD319Y7	JOHNSON & JOHNSON 3.7% DUE 03-01-2046	Dispositions	-315,000.00		289,069.20
		Acquisitions	40,000.00	-38,319.60	
SB3B2Q29	JOHNSON & JOHNSON 5.85% DUE 07-15-2038	Dispositions	-40,000.00		37,832.80
		Acquisitions	40,000.00	-51,718.00	
SBDH2HN1	JOHNSON CTLS INTL 5.125% DUE 09-14-2045	Dispositions	-40,000.00		50,528.40
		Acquisitions	95,000.00	-99,505.85	
SBG0WP24	JP MORGAN CHASE BK FLTG RT 3.086% DUE 04-26-2021	Dispositions	-95,000.00		101,671.85
		Acquisitions	270,000.00	-270,000.00	
SBDJRJGF7	JPMORGAN CHASE & CO BNDS 3.897% 01-23-2049	Dispositions	-270,000.00		269,870.40
		Acquisitions	575,000.00	-568,937.30	
SBF348D2	JPMORGAN CHASE & CO BNDS 4.032% 07-24-2048	Dispositions	-575,000.00		533,479.80
		Acquisitions			

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBZ7NF45	JPMORGAN CHASE & CO BNDS 4.26% 02-22-2048	Acquisitions	135,000.00	-136,583.25	
		Dispositions	-215,000.00		199,122.25
SBD0G1Q1	JPMORGAN CHASE & CO 3.964% DUE 11-15-2048	Acquisitions	125,000.00	-125,263.75	
		Dispositions	-1,125,000.00		1,096,912.70
SB9L4NM6	JPMORGAN CHASE & CO 5.5 DUE 10-15-2040	Acquisitions	75,000.00	-68,221.20	
		Dispositions	-465,000.00		435,461.70
SBG1CVX6	JPMORGAN CHASE & FLTG RT 3.514% DUE 06-18-2022	Acquisitions	50,000.00	-57,109.00	
		Dispositions	-170,000.00		198,555.52
SBFZP532	JPMORGAN CHASE & FLTG RT 3.559% DUE 04-23-2024	Acquisitions	690,000.00	-690,289.00	
		Dispositions	-690,000.00		690,600.30
SBFMYVQ7	JPMORGAN CHASE & 3.797% DUE 07-23-2024	Acquisitions	1,080,000.00	-1,070,686.60	
		Dispositions	-1,080,000.00		1,069,092.40
SBF348B0	JPMORGAN CHASE & 3.882% DUE 07-24-2038	Acquisitions	585,000.00	-584,520.30	
		Dispositions	-585,000.00		584,415.00
SBFZP554	JPMORGAN CHASE & 4.005% DUE 04-23-2029	Acquisitions	305,000.00	-288,822.55	
		Dispositions	-1,245,000.00		1,168,654.65
SBFMW7H4	JPMORGAN CHASE & 4.203% DUE 07-23-2029	Acquisitions	415,000.00	-413,281.95	
		Dispositions	-415,000.00		407,963.40
SB6QKFT9	JPMORGAN CHASE & 5.6% DUE 07-15-2041	Acquisitions	410,000.00	-410,000.00	
		Dispositions	-410,000.00		410,811.35
SBG0DDD4	KANSAS CITY SOUTHN 4.7% DUE 05-01-2048	Acquisitions	80,000.00	-95,811.75	
		Dispositions	-195,000.00		235,151.60
SBG0BPZ4	KELLOGG CO 4.3% DUE 05-15-2028	Acquisitions	180,000.00	-176,418.15	
		Dispositions	-180,000.00		180,077.95
		Acquisitions	315,000.00	-313,367.10	
		Dispositions	-315,000.00		317,090.45

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYMKHZ9	KEYCORP MEDIUM TERM SR NTS 2.9% DUE 09-15-2020	Acquisitions	120,000.00	-121,143.60	
		Dispositions	-120,000.00		119,197.20
SBD0XNK0	KIMCO RLTY CORP 3.8% 04-01-2027	Acquisitions	85,000.00	-81,580.45	
		Dispositions	-85,000.00		80,922.55
SBDS6CH1	KIMCO RLTY CORP 4.125% DUE 12-01-2046	Acquisitions	55,000.00	-48,373.60	
		Dispositions	-255,000.00		224,404.50
SB7W14K7	KINDER MORGAN ENERGY PARTNERS 5.0% 08-15-2042	Acquisitions	85,000.00	-81,526.90	
		Dispositions	-85,000.00		82,492.50
SBFXCNC0	KINDER MORGAN INC 5.2% 03-01-2048	Acquisitions	185,000.00	-184,554.15	
		Dispositions	-185,000.00		183,377.35
SBSWG9S2	KINDER MORGAN INC 5.3% DUE 12-01-2034	Acquisitions	45,000.00	-45,795.60	
		Dispositions	-340,000.00		350,261.20
SBCR4C13	KINDER MORGAN 4.15% DUE 02-01-2024	Acquisitions	85,000.00	-85,633.25	
		Dispositions	-85,000.00		85,916.72
C494550BW5	KINDER MORGAN 5.4% DUE 09-01-2044	Acquisitions	35,000.00	-34,480.25	
		Dispositions	-35,000.00		35,303.45
SBJZXT76	KINDER MORGAN 5.5 DUE 03-01-2044	Acquisitions	35,000.00	-35,763.70	
		Dispositions	-35,000.00		35,058.45
SB4KRMH9	KINDER MORGAN 6.5% DUE 09-01-2039	Acquisitions	40,000.00	-44,644.00	
		Dispositions	-40,000.00		44,747.60
SB3S4PJ8	KINDER MORGAN 6.55% DUE 09-15-2040	Acquisitions	125,000.00	-138,889.90	
		Dispositions	-125,000.00		142,571.25
SB1Z54W6	KINDER MORGAN 6.95% DUE 01-15-2038	Acquisitions	165,000.00	-192,603.70	
		Dispositions	-165,000.00		197,188.20
C50076QAE6	KRAFT FOODS GROUP 5% DUE 06-04-2042	Acquisitions	50,000.00	-49,829.00	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB87MC97	KRAFT FOODS GROUP 6.5% DUE 02-09-2040	Dispositions	-165,000.00		157,048.65
		Acquisitions	135,000.00	-159,785.15	
C50077LAB2	KRAFT HEINZ FOODS 4.375% DUE 06-01-2046	Dispositions	-165,000.00		185,219.10
		Acquisitions	290,000.00	-285,350.90	
C50077LAT3	KRAFT HEINZ FOODS 4.625% DUE 01-30-2029	Dispositions	-290,000.00		258,834.92
		Acquisitions	370,000.00	-367,820.70	
SBDCG0L7	KROGER CO 2.65% DUE 10-15-2026	Dispositions	-370,000.00		367,421.10
		Acquisitions	45,000.00	-40,613.40	
SBRVDCC2	KROGER CO 2.95% DUE 11-01-2021	Dispositions	-80,000.00		71,210.40
		Acquisitions	165,000.00	-162,683.40	
SBYVZCX8	KROGER CO 3.875% DUE 10-15-2046	Dispositions	-165,000.00		162,231.30
		Acquisitions	65,000.00	-55,533.40	
SBYPL1W4	LINCOLN NATL CORP 3.625% DUE 12-12-2026	Dispositions	-515,000.00		431,508.95
		Acquisitions	165,000.00	-157,926.15	
SBDDMLH7	LINCOLN NATL CORP 3.8% 03-01-2028	Dispositions	-165,000.00		159,363.60
		Acquisitions	270,000.00	-269,370.90	
SBDDMLG6	LINCOLN NATL CORP 4.35% 03-01-2048	Dispositions	-270,000.00		259,679.55
		Acquisitions	205,000.00	-202,021.90	
SBF92VX1	LLOYDS BKG GROUP FLTGT RT 2.907% DUE 11-07-2023	Dispositions	-205,000.00		190,755.45
		Acquisitions	315,000.00	-301,528.30	
SBF92VV9	LLOYDS BKG GROUP FLTGT RT 3.574% 11-07-2028	Dispositions	-315,000.00		300,099.40
		Acquisitions	95,000.00	-87,947.35	
SBG10BS5	LLOYDS BKG GROUP 0% DUE 03-22-2028	Dispositions	-95,000.00		87,380.80
		Acquisitions	250,000.00	-249,117.50	
SBFY2QT7	LLOYDS BKG GROUP 4.45% DUE 05-08-2025	Dispositions	-250,000.00		247,402.70

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBVVVQ07	LOCKHEED MARTIN CORP 2.9% 03-01-2025	Acquisitions	235,000.00	-234,859.00	
		Dispositions	-235,000.00		235,876.85
SBF38WR6	LOCKHEED MARTIN CORP 4.09% 09-15-2052	Acquisitions	130,000.00	-124,931.30	
		Dispositions	-130,000.00		124,338.50
SBDB69Y4	LOCKHEED MARTIN 2.5% DUE 11-23-2020	Acquisitions	340,000.00	-332,672.50	
		Dispositions	-340,000.00		324,100.20
SBDCRZH1	LOCKHEED MARTIN 3.55% DUE 01-15-2026	Acquisitions	110,000.00	-108,836.20	
		Dispositions	-110,000.00		108,519.40
SBVYPPC4	LOCKHEED MARTIN 3.8% DUE 03-01-2045	Acquisitions	120,000.00	-118,989.60	
		Dispositions	-120,000.00		119,568.00
SBBD6G92	LOCKHEED MARTIN 4.07% DUE 12-15-2042	Acquisitions	85,000.00	-85,938.40	
		Dispositions	-535,000.00		502,026.50
SBDB6944	LOCKHEED MARTIN 4.7% DUE 05-15-2046	Acquisitions	65,000.00	-63,596.65	
		Dispositions	-170,000.00		163,597.80
SB5N2B26	LOCKHEED MARTIN 4.85 DUE 09-15-2041	Acquisitions	205,000.00	-219,035.25	
		Dispositions	-205,000.00		219,041.85
SBYP9PL5	LOWES COS INC 2.5% DUE 04-15-2026	Acquisitions	60,000.00	-64,095.60	
		Dispositions	-60,000.00		63,796.20
SBYWPZ50	LOWES COS INC 3.1% DUE 05-03-2027	Acquisitions	250,000.00	-231,358.85	
		Dispositions	-250,000.00		230,149.20
SBD0SCD1	LOWES COS INC 3.7% DUE 04-15-2046	Acquisitions	260,000.00	-258,414.00	
		Dispositions	-260,000.00		248,171.15
SBYWC120	LOWES COS INC 4.05% DUE 05-03-2047	Acquisitions	90,000.00	-82,314.60	
		Dispositions	-210,000.00		188,892.80
		Acquisitions	400,000.00	-405,355.65	
		Dispositions	-780,000.00		766,989.80

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Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB7B2LT9	LOWES COS INC 4.65 DUE 04-15-2042	Acquisitions	45,000.00	-47,339.55	
		Dispositions	-175,000.00		193,158.80
SBFM2HB8	MAPLE ESCR 5.085% DUE 05-25-2048	Acquisitions	135,000.00	-135,000.00	
		Dispositions	-135,000.00		134,875.80
SBD41T57	MARATHON OIL CORP 4.4% DUE 07-15-2027	Acquisitions	235,000.00	-238,786.55	
		Dispositions	-235,000.00		234,443.05
SBYZSGJ7	MARATHON OIL CORP 5.2% DUE 06-01-2045	Acquisitions	100,000.00	-104,660.80	
		Dispositions	-100,000.00		107,424.00
SB27ZDL3	MARATHON OIL CORP 6.6% DUE 10-01-2037	Acquisitions	45,000.00	-53,919.90	
		Dispositions	-170,000.00		200,071.30
SBYX30Z8	MARSH & MCLENNAN COS INC 4.2% 03-01-2048	Acquisitions	135,000.00	-133,918.50	
		Dispositions	-135,000.00		130,504.25
SBYMYNL9	MARSH & MCLENNAN FIXED 3.75% DUE 03-14-2026	Acquisitions	70,000.00	-69,841.80	
		Dispositions	-70,000.00		69,320.30
SBFXHLG5	MASTERCARD INC FIXED 3.5% DUE 02-26-2028	Acquisitions	55,000.00	-54,871.30	
		Dispositions	-55,000.00		54,168.95
SBF8QNS3	MCDONALD'S CORP FIXED 4.45% DUE 09-01-2048	Acquisitions	205,000.00	-203,316.75	
		Dispositions	-205,000.00		200,340.35
SB2Q1JQ9	MCDONALDS CORP MEDIUM TERM NTS BOOK ENTRY SR NT 6.3% DUE 03-01-2038	Acquisitions	55,000.00	-67,202.85	
		Dispositions	-110,000.00		132,321.20
SBYWKW76	MCDONALDS CORP 3.7% DUE 01-30-2026	Acquisitions	80,000.00	-79,480.80	
		Dispositions	-80,000.00		79,059.20
SB4T8994	MCDONALDS CORP 4.875% DUE 07-15-2040	Acquisitions	70,000.00	-72,371.60	
		Dispositions	-225,000.00		232,377.75
SBYWKW98	MCDONALDS CORP 4.875% DUE 12-09-2045	Acquisitions	350,000.00	-368,034.20	

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFWZ8C5	MCKESSON CORP NEW FIXED 3.95% DUE 02-16-2028	Dispositions	-350,000.00		369,041.75
		Acquisitions	110,000.00	-109,972.50	
SBYMLDT8	MEDTRONIC INC 4.375% DUE 03-15-2035	Dispositions	-110,000.00		105,690.20
		Acquisitions	55,000.00	-57,442.00	
SBYMLDL0	MEDTRONIC INC 4.625% DUE 03-15-2045	Dispositions	-55,000.00		57,015.75
		Acquisitions	115,000.00	-123,284.15	
SB83ZNK8	MERRILL LYNCH & CO 6.11% DUE 01-29-2037	Dispositions	-580,000.00		615,489.04
		Acquisitions	80,000.00	-93,562.40	
SBBMT8T6	MERRILL LYNCH & CO 7.75% DUE 05-14-2038	Dispositions	-120,000.00		142,044.80
		Acquisitions	110,000.00	-150,835.30	
SB3Q5R19	METLIFE INC FIXED 10.75% DUE 08-01-2069	Dispositions	-180,000.00		245,243.00
		Acquisitions	50,000.00	-78,075.00	
SBQ1TDP3	METLIFE INC 3.6% DUE 04-10-2024	Dispositions	-125,000.00		196,326.10
		Acquisitions	240,000.00	-240,774.25	
SBYQ29M2	METLIFE INC 4.6% DUE 05-13-2046	Dispositions	-425,000.00		425,559.40
		Acquisitions	70,000.00	-77,769.30	
SBGDXK74	METLIFE INC 4.875% DUE 11-13-2043	Dispositions	-70,000.00		73,903.90
		Acquisitions	55,000.00	-59,891.70	
SB0BXDC0	METLIFE INC 5.7% DUE 06-15-2035	Dispositions	-95,000.00		99,458.35
		Acquisitions	75,000.00	-85,618.50	
SB4Z51H1	METLIFE INC 5.875% DUE 02-06-2041	Dispositions	-75,000.00		87,741.00
		Acquisitions	50,000.00	-59,533.50	
SB019VJ1	METLIFE INC 6.375% DUE 06-15-2034	Dispositions	-275,000.00		323,166.25
		Acquisitions	75,000.00	-91,347.75	
C594918AX2	MICROSOFT CORP 4.875 12-15-2043	Dispositions	-75,000.00		90,708.75

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYP2PC5	MICROSOFT CORP FIXED 4.25% DUE 02-06-2047	Acquisitions	70,000.00	-79,257.50	
		Dispositions	-70,000.00		79,175.60
C594918AR5	MICROSOFT CORP 3.5% DUE 11-15-2042	Acquisitions	75,000.00	-80,142.00	
		Dispositions	-75,000.00		79,980.75
C594918BT0	MICROSOFT CORP 3.7% DUE 08-08-2046	Acquisitions	140,000.00	-132,065.80	
		Dispositions	-225,000.00		209,763.00
C594918BD5	MICROSOFT CORP 3.75% DUE 02-12-2045	Acquisitions	115,000.00	-113,595.20	
		Dispositions	-1,060,000.00		1,025,986.93
C594918BU7	MICROSOFT CORP 3.95% DUE 08-08-2056	Acquisitions	145,000.00	-148,095.75	
		Dispositions	-300,000.00		291,188.60
SBYP2P70	MICROSOFT CORP 4.1% DUE 02-06-2037	Acquisitions	50,000.00	-52,226.00	
		Dispositions	-210,000.00		211,181.20
C594918BL7	MICROSOFT CORP 4.45% DUE 11-03-2045	Acquisitions	140,000.00	-146,638.40	
		Dispositions	-380,000.00		395,319.22
SB4LFKX4	MICROSOFT CORP 5.2% DUE 06-01-2039	Acquisitions	300,000.00	-324,850.15	
		Dispositions	-475,000.00		525,293.30
C595620AS4	MIDAMERICAN ENERGY CO 3.65% 08-01-2048	Acquisitions	200,000.00	-236,668.80	
		Dispositions	-200,000.00		233,816.00
SBDFFSG2	MIDAMERICAN ENERGY CO 3.95% 08-01-2047	Acquisitions	250,000.00	-246,966.20	
		Dispositions	-250,000.00		228,510.00
SB28XH14	MIDAMERICAN ENERGY 6.5% DUE 09-15-2037	Acquisitions	40,000.00	-41,566.00	
		Dispositions	-40,000.00		38,290.00
SBDVLN14	MITSUBISHI UFJ FINL GROUP INC 3.455% 03-02-2023	Acquisitions	55,000.00	-69,225.20	
		Dispositions	-55,000.00		67,675.85
		Acquisitions	270,000.00	-270,000.00	
		Dispositions	-270,000.00		267,291.20

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBDVLN36	mitsubishi ufj finl group inc 3.961% due 03-02-2028	Acquisitions	380,000.00	-380,000.00	
		Dispositions	-380,000.00		379,313.10
SBGB66M2	mitsubishi ufj 3.761% due 07-26-2023	Acquisitions	320,000.00	-320,441.60	
		Dispositions	-320,000.00		318,548.05
SBGB66Q6	mitsubishi ufj 4.286% due 07-26-2038	Acquisitions	170,000.00	-170,000.00	
		Dispositions	-170,000.00		167,977.00
SBF370G8	mizuho finl group 2.953% due 02-28-2022	Acquisitions	105,000.00	-104,355.30	
		Dispositions	-105,000.00		103,283.25
C60687YAS8	mizuho finl group 3.922% due 09-11-2024	Acquisitions	275,000.00	-275,000.00	
		Dispositions	-275,000.00		273,369.25
SBFN8NN9	mizuho finl group 4.018% due 03-05-2028	Acquisitions	70,000.00	-69,726.30	
		Dispositions	-70,000.00		69,986.00
SBYT4Q35	molson coors 1.45% due 07-15-2019	Acquisitions	235,000.00	-231,517.30	
		Dispositions	-235,000.00		232,290.45
SBYT4Q68	molson coors 3% due 07-15-2026	Acquisitions	115,000.00	-106,613.05	
		Dispositions	-115,000.00		104,788.00
SBYT4Q46	molson coors 4.2% due 07-15-2046	Acquisitions	65,000.00	-61,420.45	
		Dispositions	-135,000.00		120,756.65
C609207AN5	mondelez intl inc 3% due 05-07-2020	Acquisitions	340,000.00	-339,476.40	
		Dispositions	-340,000.00		339,620.60
SBGLBR57	montefiore med ctr fixed 5.246% due 11-01-2048	Acquisitions	65,000.00	-65,000.00	
		Dispositions	-65,000.00		64,376.00
C615369AN5	moody's corp 3.25% due 06-07-2021	Acquisitions	130,000.00	-129,805.00	
		Dispositions	-130,000.00		130,844.64
SBRJZZY3	morgan stanley fixed 3.7% due 10-23-2024	Acquisitions	205,000.00	-204,313.25	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBZ9P8W8	MORGAN STANLEY FORMERLY MORGAN STANLEY 3.591% 07-22-2028	Dispositions	-205,000.00		202,180.45
		Acquisitions	155,000.00	-147,135.90	
SBD1ML80	MORGAN STANLEY 3.737% DUE 04-24-2024	Dispositions	-925,000.00		882,870.36
		Acquisitions	585,000.00	-582,710.50	
C61744YAP3	MORGAN STANLEY 3.772% DUE 01-24-2029	Dispositions	-585,000.00		581,114.05
		Acquisitions	875,000.00	-871,434.25	
SBZ9P947	MORGAN STANLEY 3.971% 07-22-2038	Dispositions	-875,000.00		866,951.65
		Acquisitions	205,000.00	-195,240.25	
SBVRZ811	MORGAN STANLEY 4.3% DUE 01-27-2045	Dispositions	-980,000.00		926,766.65
		Acquisitions	235,000.00	-225,202.85	
SBYM4WR8	MORGAN STANLEY 4.375% DUE 01-22-2047	Dispositions	-235,000.00		228,207.95
		Acquisitions	260,000.00	-257,315.90	
SBD1KDW2	MORGAN STANLEY 4.457% DUE 04-22-2039	Dispositions	-815,000.00		804,972.70
		Acquisitions	800,000.00	-796,866.85	
SB98G6S2	MORGAN STANLEY 6.375% DUE 07-24-2042	Dispositions	-800,000.00		792,185.20
		Acquisitions	40,000.00	-50,157.20	
SBFX4N26	MOUNT SINAI HOSP 3.981% BNDS 07-01-2048	Dispositions	-105,000.00		134,321.30
		Acquisitions	0.00	0.00	
SBYXT874	MPLX LP FIXED 5.2% DUE 03-01-2047	Dispositions	-95,000.00		88,631.90
		Acquisitions	80,000.00	-79,680.00	
SBYZGJX6	MPLX LP 4.5% 04-15-2038	Dispositions	-80,000.00		79,620.00
		Acquisitions	335,000.00	-327,850.35	
SBYZGJY7	MPLX LP 4.7% 04-15-2048	Dispositions	-335,000.00		318,180.75
		Acquisitions	530,000.00	-519,662.70	
SB7W15J3	NBCUNIVERSAL MEDIA 4.45% DUE 01-15-2043	Dispositions	-530,000.00		496,577.80

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB56D2T0	NBCUNIVERSAL MEDIA 5.95% DUE 04-01-2041	Acquisitions	40,000.00	-40,719.60	
		Dispositions	-40,000.00		37,932.80
SBFY34F2	NESTLE HLDGS INC 3.35% DUE 09-24-2023	Acquisitions	65,000.00	-72,309.90	
		Dispositions	-65,000.00		74,166.95
SBG0QJT1	NESTLE HLDGS INC 4% DUE 09-24-2048	Acquisitions	100,000.00	-100,078.00	
		Dispositions	-100,000.00		99,491.00
SBD85LM6	NEVADA PWR CO 2.75% DUE 04-15-2020	Acquisitions	285,000.00	-281,172.45	
		Dispositions	-285,000.00		278,804.10
SBD3J3G1	NEWELL BRANDS INC 5.375% DUE 04-01-2036	Acquisitions	235,000.00	-234,948.30	
		Dispositions	-235,000.00		234,384.30
SB7J8X60	NEWMONT MNG CORP 4.875 DUE 03-15-2042 REG	Acquisitions	65,000.00	-69,807.40	
		Dispositions	-340,000.00		330,901.60
SBD20RG3	NISOURCE FIN CORP 4.375% 05-15-2047	Acquisitions	70,000.00	-68,399.10	
		Dispositions	-70,000.00		67,764.90
SB846RN1	NISOURCE FIN CORP 5.25% DUE 02-15-2043	Acquisitions	95,000.00	-91,873.65	
		Dispositions	-95,000.00		90,176.85
SB3N5358	NISOURCE FIN CORP 5.95% DUE 06-15-2041	Acquisitions	70,000.00	-77,245.70	
		Dispositions	-70,000.00		75,142.55
SBDGMQW4	NISOURCE INC 3.65% DUE 06-15-2023	Acquisitions	85,000.00	-101,218.00	
		Dispositions	-85,000.00		95,041.05
SBSJV4K7	NOBLE ENERGY INC 5.05% DUE 11-15-2044	Acquisitions	25,000.00	-24,963.50	
		Dispositions	-25,000.00		24,682.00
SB3WSZZ2	NOBLE ENERGY INC 6% DUE 03-01-2041	Acquisitions	155,000.00	-158,594.95	
		Dispositions	-155,000.00		149,181.30
		Acquisitions	95,000.00	-106,510.80	
		Dispositions	-95,000.00		101,672.80

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBD72XQ6	NORTHERN STS PWR CO MINN 3.6% 09-15-2047	Acquisitions	65,000.00	-59,713.55	
		Dispositions	-235,000.00		214,972.15
SBD96W03	NORTHN STS PWR CO 3.6% DUE 05-15-2046	Acquisitions	45,000.00	-42,524.10	
		Dispositions	-45,000.00		40,999.50
SBVFMJ22	NORTHROP GRUMMAN CORP 3.85% DUE 04-15-2045	Acquisitions	90,000.00	-83,076.30	
		Dispositions	-90,000.00		81,232.20
SBF15K86	NORTHROP GRUMMAN 2.55% DUE 10-15-2022	Acquisitions	110,000.00	-106,016.90	
		Dispositions	-110,000.00		106,484.40
SBF15KG4	NORTHROP GRUMMAN 2.93% DUE 01-15-2025	Acquisitions	130,000.00	-124,220.65	
		Dispositions	-130,000.00		123,030.70
SBYW4DC0	NORTHROP GRUMMAN 3.2% DUE 02-01-2027	Acquisitions	75,000.00	-71,586.75	
		Dispositions	-75,000.00		70,509.75
SBF15KF3	NORTHROP GRUMMAN 3.25% DUE 01-15-2028	Acquisitions	315,000.00	-299,788.20	
		Dispositions	-895,000.00		843,149.30
SBF15KD1	NORTHROP GRUMMAN 4.03% DUE 10-15-2047	Acquisitions	65,000.00	-60,693.75	
		Dispositions	-650,000.00		617,543.30
SBB9ZSW0	NORTHROP GRUMMAN 4.75% DUE 06-01-2043	Acquisitions	70,000.00	-72,494.80	
		Dispositions	-70,000.00		72,153.90
SBD3S5K6	NOVARTIS CAP CORP 4% DUE 11-20-2045	Acquisitions	45,000.00	-45,459.90	
		Dispositions	-45,000.00		44,894.25
SBDVJC33	NUCOR CORP 3.95% DUE 05-01-2028	Acquisitions	225,000.00	-224,667.00	
		Dispositions	-225,000.00		223,900.75
SBDVJC77	NUCOR CORP 4.4% DUE 05-01-2048	Acquisitions	200,000.00	-199,725.50	
		Dispositions	-200,000.00		195,522.00
C67077MAQ1	NUTRIEN LTD 6.125% DUE 01-15-2041	Acquisitions	40,000.00	-45,610.80	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
C67103HAF4	O REILLY AUTOMOTIVE INC NEW 3.6% DUE 09-01-2027	Dispositions	-125,000.00		140,086.25
		Free Receipt	85,000.00		
SBBN5YJ5	O REILLY 3.85% DUE 06-15-2023	Acquisitions	40,000.00	-38,869.20	
		Dispositions	-400,000.00		386,310.35
SBFMZD75	OCCIDENTAL PETE 4.2% DUE 03-15-2048	Acquisitions	110,000.00	-110,689.70	
		Dispositions	-110,000.00		110,084.70
SBYW5453	OKLAHOMA GAS & ELEC CO 3.8% 08-15-2028	Acquisitions	65,000.00	-64,071.15	
		Dispositions	-65,000.00		63,631.75
SB7ZBFD4	ONCOR ELEC 5.3 DUE 06-01-2042	Acquisitions	75,000.00	-74,858.25	
		Dispositions	-75,000.00		73,632.75
SBFZZ822	ONEOK INC NEW 4.55% DUE 07-15-2028	Acquisitions	35,000.00	-40,622.40	
		Dispositions	-35,000.00		40,174.05
SBFZZ811	ONEOK INC NEW 5.2% DUE 07-15-2048	Acquisitions	20,000.00	-19,945.40	
		Dispositions	-20,000.00		20,054.60
SBF4ZFF5	ORACLE CORP 3.8% 11-15-2037	Acquisitions	215,000.00	-214,023.90	
		Dispositions	-215,000.00		219,020.50
SBWTM334	ORACLE CORP 4.125% DUE 05-15-2045	Acquisitions	55,000.00	-54,013.85	
		Dispositions	-610,000.00		591,454.00
SBY7RD02	ORACLE CORP 4% DUE 07-15-2046	Acquisitions	75,000.00	-74,072.25	
		Dispositions	-275,000.00		267,720.25
SBD390R1	ORACLE CORP 4% 11-15-2047	Acquisitions	245,000.00	-242,212.70	
		Dispositions	-665,000.00		640,583.77
SB3LR141	ORACLE CORP 6.125% DUE 07-08-2039	Acquisitions	300,000.00	-290,150.30	
		Dispositions	-1,080,000.00		1,066,299.10
		Acquisitions	45,000.00	-56,685.60	
		Dispositions	-45,000.00		55,751.85

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Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB2QR213	ORACLE CORP 6.5% DUE 04-15-2038	Acquisitions	80,000.00	-104,165.60	
		Dispositions	-80,000.00		102,591.20
SBG13DC2	ORLANDO HEALTH INC 4.089% DUE 10-01-2048	Acquisitions	45,000.00	-45,000.00	
		Dispositions	-45,000.00		42,788.25
SBBMT915	PACIFIC GAS & ELEC CO INDEFAULTED 0.0% 12-31-2040	Acquisitions	65,000.00	-67,485.60	
		Dispositions	-180,000.00		192,585.30
SBGDRYG3	PACIFIC GAS & ELEC CO INDEFAULTED 0.0% 12-31-2040	Acquisitions	65,000.00	-75,454.60	
		Dispositions	-65,000.00		66,773.85
SBJZ7ZR0	PACIFIC GAS & ELEC CO INDEFAULTED 0.0% 12-31-2040	Acquisitions	50,000.00	-47,806.50	
		Dispositions	-125,000.00		126,560.75
SB7MTCC9	PACIFIC GAS & ELEC CO INDEFAULTED 0.0% 12-31-2040	Acquisitions	115,000.00	-99,608.65	
		Dispositions	-460,000.00		399,912.60
SBF2WX10	PACIFICORP 4.125% DUE 01-15-2049	Acquisitions	205,000.00	-204,383.50	
		Dispositions	-205,000.00		200,988.15
SBWSSM70	PEPSICO INC 2.75% DUE 04-30-2025	Acquisitions	70,000.00	-67,273.50	
		Dispositions	-70,000.00		67,011.00
SB7Y6VD2	PEPSICO INC 3.6% DUE 08-13-2042	Acquisitions	55,000.00	-51,976.65	
		Dispositions	-55,000.00		50,764.45
SB08R8G4	PETRO-CDA 5.95% DUE 05-15-2035	Acquisitions	60,000.00	-72,082.20	
		Dispositions	-60,000.00		69,007.80
SB8MJDB2	PFIZER INC NT 7.2 DUE 03-15-2039	Acquisitions	115,000.00	-159,591.65	
		Dispositions	-480,000.00		663,029.18
SBFY0K88	PFIZER INC 3.0% 09-15-2021	Acquisitions	185,000.00	-184,750.25	
		Dispositions	-185,000.00		184,668.85
SBYZFHL7	PFIZER INC 4.1% 09-15-2038	Acquisitions	210,000.00	-210,036.20	

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBZ3FFH8	PFIZER INC 4.125% DUE 12-15-2046	Dispositions	-210,000.00		209,737.50
		Acquisitions	185,000.00	-185,342.50	
SBYZFHM8	PFIZER INC 4.2% 09-15-2048	Dispositions	-255,000.00		256,444.45
		Acquisitions	370,000.00	-369,805.80	
SBCLYQM8	PFIZER INC 4.3% DUE 06-15-2043	Dispositions	-370,000.00		370,321.10
		Acquisitions	25,000.00	-25,462.75	
SBZ4CYN7	PFIZER INC 5.6% DUE 09-15-2040	Dispositions	-25,000.00		25,888.50
		Acquisitions	55,000.00	-64,933.00	
SBSPCBQ9	PHILLIPS 66 4.65% DUE 11-15-2034	Dispositions	-120,000.00		141,574.80
		Acquisitions	55,000.00	-56,180.30	
SBSPCC33	PHILLIPS 66 4.875% DUE 11-15-2044	Dispositions	-95,000.00		95,901.55
		Acquisitions	85,000.00	-91,250.90	
SBYM51K7	PHILLIPS 66 4.9% DUE 10-01-2046	Dispositions	-195,000.00		203,758.45
		Acquisitions	115,000.00	-112,686.20	
SB92Y2L7	PLAINS ALL AMERN 4.3% DUE 01-31-2043	Dispositions	-115,000.00		112,191.70
		Acquisitions	65,000.00	-54,844.40	
SBDVNKG4	PNC BK N A PITT PA 2.5% DUE 01-22-2021	Dispositions	-65,000.00		55,428.75
		Acquisitions	400,000.00	-397,522.95	
SBZ0WL74	PNC BK N A PITTSBURGH PA MEDIUM TERM SUB4.05% DUE 07-26-2028	Dispositions	-400,000.00		393,103.85
		Acquisitions	510,000.00	-508,811.70	
SB95XX07	POTOMAC ELEC PWR 4.15% DUE 03-15-2043	Dispositions	-510,000.00		509,692.35
		Acquisitions	125,000.00	-123,851.25	
SB9ZKD20	PPL CAP FDG INC 4.7% DUE 06-01-2043	Dispositions	-445,000.00		436,340.30
		Acquisitions	75,000.00	-79,434.00	
SBFNC7K6	PPL ELECTRIC UTILITIES CORP 4.15% 06-15-2048	Dispositions	-75,000.00		74,464.50

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBG0T5R0	PROGRESSIVE CORP 4.2% DUE 03-15-2048	Acquisitions	80,000.00	-79,551.20	
		Dispositions	-80,000.00		78,825.60
SBFNNX67	PRUDENTIAL FINANCIAL INC 4.418% 03-27-2048	Acquisitions	145,000.00	-143,800.85	
		Dispositions	-145,000.00		142,126.60
SBGHCHW1	PRUDENTIAL FINL FLTG RT 5.7% DUE 09-15-2048	Acquisitions	25,000.00	-25,206.00	
		Dispositions	-25,000.00		25,435.50
SBFNNXZP0	PRUDENTIAL FINL INC MED TRM NTS BOOK TRANCHE # TR 00028 6.625 12-01-2037	Acquisitions	65,000.00	-65,000.00	
		Dispositions	-65,000.00		64,545.00
SB1L6KD6	PRUDENTIAL FINL INC MEDIUM TERM NTS BOOKTRANCHE # TR 00015 5.7 DUE 121436	Acquisitions	75,000.00	-95,889.75	
		Dispositions	-75,000.00		91,737.75
SBFZCSL2	PRUDENTIAL FINL INC 3.935% DUE 12-07-2049	Acquisitions	75,000.00	-87,450.75	
		Dispositions	-75,000.00		87,627.00
SBG0KDC4	PRUDENTIAL FINL 3.905% DUE 12-07-2047	Acquisitions	175,000.00	-159,437.65	
		Dispositions	-423,000.00		379,786.32
SBYNMHC1	PUB SVC ENTERPRISE 2% DUE 11-15-2021	Acquisitions	50,000.00	-46,010.50	
		Dispositions	-75,000.00		67,089.00
SBF4NQX4	PUBLIC SERVICE ELECTRIC 4.05% DUE 05-01-2048	Acquisitions	260,000.00	-248,718.60	
		Dispositions	-260,000.00		247,413.40
SBG1ZYW5	PUGET SOUND ENERGY FIXED 4.223% DUE 06-15-2048	Acquisitions	145,000.00	-144,102.45	
		Dispositions	-145,000.00		143,538.40
SBYZMHJ6	PVPTL BAYER US FIN II LLC 4.625% 06-25-2038	Acquisitions	40,000.00	-40,202.00	
		Dispositions	-40,000.00		40,205.60
SBZ0X393	PVPTL HALFMOON PARENT INC 4.9% 12-15-2048	Acquisitions	260,000.00	-255,933.60	
		Dispositions	-260,000.00		247,395.20
		Acquisitions	395,000.00	-394,162.95	
		Dispositions	-395,000.00		393,345.62

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBD5KBL3	PVTPL AMAZON COM INC 2.8% 08-22-2024	Acquisitions	55,000.00	-53,114.60	
		Dispositions	-120,000.00		119,386.80
SBD5KBJ1	PVTPL AMAZON COM INC 3.875% 08-22-2037	Acquisitions	155,000.00	-153,376.70	
		Dispositions	-335,000.00		330,435.05
SBQH0Y35	PVTPL AMERICAN TRANSMISSION SYS INC SR NT 5% DUE 09-01-2044	Acquisitions	100,000.00	-115,270.00	
		Dispositions	-100,000.00		104,924.00
SBFMB9T7	PVTPL AT&T INC 5.15% 02-15-2050	Acquisitions	320,000.00	-303,789.35	
		Dispositions	-320,000.00		301,824.00
SBZ3ZWG6	PVTPL AT&T INC 5.15% 11-15-2046	Acquisitions	215,000.00	-206,974.85	
		Dispositions	-215,000.00		206,994.60
SBDVJC66	PVTPL AT&T INC 5.3% 08-15-2058	Acquisitions	115,000.00	-109,665.25	
		Dispositions	-115,000.00		108,328.85
C05526DBA2	PVTPL B A T CAP CORP 3.557% DUE 08-15-2027 BEO	Acquisitions	300,000.00	-286,229.80	
		Dispositions	-615,000.00		583,853.25
C05526DBC8	PVTPL BAT CAPITAL CORP 4.39% DUE 08-15-2037	Acquisitions	450,000.00	-428,718.90	
		Dispositions	-1,145,000.00		1,075,020.35
C07274NAS2	PVTPL BAYER US FIN II LLC 1.85% DUE 11-15-2018 BEO	Dispositions	-150,000.00		149,722.50
		Free Receipt	150,000.00		
SBYZMHM9	PVTPL BAYER US FIN II LLC 3.875% 12-15-2023	Acquisitions	310,000.00	-308,989.40	
		Dispositions	-310,000.00		308,834.40
SBYVQZP4	PVTPL BAYER US FIN II LLC 4.7% 07-15-2064	Dispositions	-390,000.00		328,294.20
		Free Receipt	390,000.00		
SBF28SR9	PVTPL BERKSHIRE HATHAWAY 3.8% 07-15-2048	Acquisitions	185,000.00	-183,477.45	
		Dispositions	-185,000.00		183,620.95
SBDF0DJ5	PVTPL BROOKLYN UNION GAS CO 4.273% 03-15-2048 BEO	Acquisitions	135,000.00	-135,000.00	

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFYS3D8	PVTPL CARGILL INC NT 3.25% 03-01-2023	Dispositions	-135,000.00		132,422.85
		Acquisitions	265,000.00	-264,671.40	
C225401AG3	PVTPL CREDIT SUISSE GROUP AG VAR DUE 06-12-2024 BEO	Dispositions	-265,000.00		263,838.20
		Acquisitions	170,000.00	-170,776.90	
SBD96MK3	PVTPL DIAMOND 1 FIN CORP/DIAMOND 2 BNDS 144A 8.35% DUE 07-15-2046	Dispositions	-170,000.00		169,379.50
		Acquisitions	220,000.00	-265,134.45	
SBZ0X3D7	PVTPL HALFMoon PARENT INC NT 144A 4.8% DUE 08-15-2038/09-17-2018 BEO	Dispositions	-220,000.00		272,468.30
		Acquisitions	535,000.00	-533,985.05	
SBZ0X3L5	PVTPL HALFMoon PARENT INC 3.4% 09-17-2021	Dispositions	-535,000.00		532,367.80
		Acquisitions	575,000.00	-575,000.00	
SBZ0X348	PVTPL HALFMoon PARENT INC 4.375% 10-15-2028	Dispositions	-575,000.00		572,130.75
		Acquisitions	315,000.00	-314,581.05	
SBFM2HD0	PVTPL MAPLE ESCROW SUBSIDIARY INC SR NT 144A 4.985 DUE 05-25-2038/05-25-2018 BEO	Dispositions	-315,000.00		312,580.80
		Acquisitions	340,000.00	-340,287.10	
SBGV62M4	PVTPL MARATHON PETE CORP 3.8% DUE 04-01-2028 BEO	Dispositions	-340,000.00		344,638.20
		Dispositions	-120,000.00		113,331.60
SBGV6398	PVTPL MARATHON PETE CORP 4.5% DUE 04-01-2048 BEO	Free Receipt	120,000.00		
		Dispositions	-95,000.00		86,114.65
SBFXHNF8	PVTPL ONCOR ELEC DELIVERY CO LLC 4.1% DUE 11-15-2048	Free Receipt	95,000.00		
		Dispositions	-95,000.00		
SBD0RRX3	PVTPL SABAL TRAIL TRANSM 4.832% DUE 05-01-2048	Acquisitions	145,000.00	-144,857.90	
		Dispositions	-145,000.00		143,367.30
SBD0RRY4	PVTPL SABAL TRAIL TRANSMISSION LLC 4.682% 05-01-2038	Acquisitions	60,000.00	-59,698.20	
		Dispositions	-60,000.00		60,865.80
SBFMYT1	PVTPL TEXAS EASTN TRANSMISSION LP 3.5% DUE 01-15-2028 BEO	Acquisitions	240,000.00	-240,171.90	
		Dispositions	-240,000.00		242,748.00

** Represents party-in-interest as defined by ERISA

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYZHSJ8	PVTPL TEXAS EASTN TRANSMISSION LP SR NT 4.15% 01-15-2048	Acquisitions	125,000.00	-124,351.25	
		Dispositions	-125,000.00		118,664.32
C902674XN5	PVTPL UBS AG LONDON BRH 4.5% DUE 06-26-2048	Acquisitions	325,000.00	-316,817.30	
		Dispositions	-325,000.00		293,444.55
SBG5PH00	PVTPL VERIZON COMMUNICATIONS INC 4.329% DUE 09-21-2028 BEO	Acquisitions	90,000.00	-89,926.20	
		Dispositions	-90,000.00		91,902.15
SBWX4CX9	QUALCOMM INC FIXED 4.65% DUE 05-20-2035	Acquisitions	940,000.00	-937,263.15	
		Dispositions	-940,000.00		945,387.05
SBF3KVF3	QUALCOMM INC 3.25% DUE 05-20-2027	Acquisitions	45,000.00	-44,450.55	
		Dispositions	-45,000.00		45,724.95
SBF3KVD1	QUALCOMM INC 4.3% DUE 05-20-2047	Acquisitions	90,000.00	-84,125.70	
		Dispositions	-90,000.00		83,783.70
C21685WDF1	RABOBANK NEDERLAND TRANCHE # TR 00078 3.95% BD DUE 11-09-2022 REG	Acquisitions	265,000.00	-257,319.60	
		Dispositions	-265,000.00		246,595.63
SBFX84Q9	RALPH LAUREN CORP 3.75% DUE 09-15-2025	Acquisitions	265,000.00	-266,635.05	
		Dispositions	-265,000.00		264,162.60
SBYMXPD2	REGENCY CTRS L P 3.6% 02-01-2027	Acquisitions	105,000.00	-104,497.05	
		Dispositions	-105,000.00		104,801.55
SBG0NNL2	REGIONS FINL CORP 3.8% DUE 08-14-2023	Acquisitions	165,000.00	-157,249.95	
		Dispositions	-385,000.00		367,231.80
SBF4NFK4	REPUBLIC SVCS INC 3.95% DUE 05-15-2028	Acquisitions	120,000.00	-119,929.20	
		Dispositions	-120,000.00		119,182.80
SBZ0W055	REYNOLDS AMERN INC FIXED 5.7% DUE 08-15-2035	Acquisitions	60,000.00	-59,161.20	
		Dispositions	-60,000.00		59,598.60
		Acquisitions	125,000.00	-138,417.85	
		Dispositions	-190,000.00		202,635.00

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBZ0HGQ3	REYNOLDS AMERN INC FIXED 5.85% DUE 08-15-2045	Acquisitions	170,000.00	-188,348.90	
		Dispositions	-315,000.00		354,812.25
SBDWGXG3	REYNOLDS AMERN INC 6.15% DUE 09-15-2043	Acquisitions	85,000.00	-102,882.30	
		Dispositions	-85,000.00		94,722.30
SBH7KDQ8	ROCKWELL COLLINS INC DEL 4.8 DUE 12-15-2043	Acquisitions	75,000.00	-76,456.65	
		Dispositions	-75,000.00		75,744.00
SBYVBMJ2	ROCKWELL COLLINS 2.8% DUE 03-15-2022	Acquisitions	45,000.00	-43,896.15	
		Dispositions	-45,000.00		43,853.85
SBYVBMG9	ROCKWELL COLLINS 4.35% DUE 04-15-2047	Acquisitions	210,000.00	-205,414.95	
		Dispositions	-255,000.00		246,877.65
C78013XKG2	ROYAL BK CDA GLOBAL MEDIUM TERM 3.2% 04-30-2021	Acquisitions	220,000.00	-219,843.80	
		Dispositions	-220,000.00		219,626.00
C79467BAR6	SALES TAX SECURITIZATION CORP ILL 3.587%01-01-2043 BEO TAXABLE	Acquisitions	55,000.00	-54,197.55	
		Dispositions	-350,000.00		314,661.00
SBG13YT6	SALES TAX SECURITIZATION CORP ILL 3.82% 01-01-2048 BEO TAXABLE	Acquisitions	230,000.00	-230,000.00	
		Dispositions	-230,000.00		210,448.85
SBFMYX38	SALESFORCE COM INC 3.25% BNDS 04-11-2023	Acquisitions	315,000.00	-313,752.60	
		Dispositions	-315,000.00		314,067.60
SBYZGK66	SALESFORCE COM INC 3.7% DUE 04-11-2028	Acquisitions	170,000.00	-169,596.00	
		Dispositions	-170,000.00		169,869.10
SBFM2H30	SAN DIEGO GAS & 4.15% DUE 05-15-2048	Acquisitions	145,000.00	-143,898.00	
		Dispositions	-145,000.00		141,061.80
SBYVZVK8	SAN JOSE CALIF REDEV AGY SUCC AGY TX ALLOCATION 3.375 08-01-2034 BEO TAXABLE	Acquisitions	75,000.00	-71,470.50	
		Dispositions	-150,000.00		139,941.00
SBF5R442	SANTANDER UK GROUP HLDGS PLC 3.823% 11-03-2028	Acquisitions	365,000.00	-339,922.95	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBF28SN5	SANTANDER UK GROUP 3.373% 01-05-2024	Dispositions	-865,000.00		809,071.25
		Acquisitions	255,000.00	-255,000.00	
SBZ5ZP43	SEMPRA ENERGY 2.4% DUE 02-01-2020	Dispositions	-255,000.00		247,089.90
		Acquisitions	105,000.00	-104,857.20	
SBFWK3C5	SEMPRA ENERGY 2.9% DUE 02-01-2023	Dispositions	-105,000.00		103,727.40
		Acquisitions	265,000.00	-263,995.65	
SBR1HR18	SEMPRA ENERGY 3.55% DUE 06-15-2024	Dispositions	-265,000.00		258,730.10
		Acquisitions	210,000.00	-208,626.60	
SBFWJJY6	SEMPRA ENERGY 3.8% DUE 02-01-2038	Dispositions	-210,000.00		204,907.50
		Acquisitions	80,000.00	-73,711.20	
SBFWJFR1	SEMPRA ENERGY 4% DUE 02-01-2048	Dispositions	-80,000.00		71,931.20
		Acquisitions	165,000.00	-162,779.10	
SB4XNLW2	SHELL INTL FIN B V GTD NT 5.5 DUE 03-25-2040	Dispositions	-165,000.00		151,955.95
		Acquisitions	80,000.00	-94,706.40	
SB7C01S1	SHELL INTL FIN B V 3.625% DUE 08-21-2042	Dispositions	-80,000.00		94,796.00
		Acquisitions	95,000.00	-86,376.85	
SBXQ9F28	SHELL INTL FIN B V 4.125% DUE 05-11-2035	Dispositions	-95,000.00		87,170.10
		Acquisitions	70,000.00	-72,345.00	
SBDB2XM8	SHELL INTL FIN B V 4% DUE 05-10-2046	Dispositions	-70,000.00		70,712.60
		Acquisitions	90,000.00	-87,026.40	
SBJ2SP09	SIMON PPTY GROUP L P 3.75 DUE 02-01-2024	Dispositions	-90,000.00		87,428.70
		Acquisitions	130,000.00	-129,389.00	
SBFMZDC0	SOUTHERN CALIF EDISON CO 4.125% 03-01-2048	Dispositions	-130,000.00		130,427.70
		Acquisitions	225,000.00	-219,035.10	
SBDVJYL5	SOUTHERN CO GAS CAP CORP 4.4% 05-30-2047	Dispositions	-225,000.00		214,944.75

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYT1540	SOUTHERN CO 4.4% DUE 07-01-2046	Acquisitions	95,000.00	-96,175.15	
		Dispositions	-95,000.00		93,742.20
SBD30007	SOUTHN CO GAS CAP 3.95% DUE 10-01-2046	Acquisitions	210,000.00	-211,281.50	
		Dispositions	-300,000.00		291,796.45
SB8FM8R9	SOUTHN COPPER CORP 5.25% DUE 11-08-2042	Acquisitions	90,000.00	-82,821.60	
		Dispositions	-130,000.00		114,037.30
SBWXV3J3	SOUTHN COPPER CORP 5.875% DUE 04-23-2045	Acquisitions	30,000.00	-33,780.00	
		Dispositions	-300,000.00		303,000.00
SB8Q7066	SOUTHN COPPER CORP 7.5% DUE 07-27-2035	Acquisitions	35,000.00	-40,089.00	
		Dispositions	-495,000.00		540,589.50
SBFNR3L4	SOUTHWESTERN ELEC PWR CO 4.1% 09-15-2028	Acquisitions	75,000.00	-91,500.00	
		Dispositions	-95,000.00		119,754.90
SBWGCKS1	SOUTHWESTN ELEC 3.9% DUE 04-01-2045	Acquisitions	195,000.00	-195,000.00	
		Dispositions	-195,000.00		193,861.20
SB2NJ7Z1	SPCL1 GEN ELEC CAP CORP 5.875% DUE 01-14-2038	Acquisitions	60,000.00	-55,610.40	
		Dispositions	-120,000.00		108,502.80
SBZ5ZP21	STANFORD HLTH CARE 3.795% DUE 11-15-2048	Acquisitions	60,000.00	-67,795.20	
		Dispositions	-432,000.00		500,292.05
SBDGLCP6	STARBUCKS CORP 2.45% DUE 06-15-2026	Acquisitions	175,000.00	-176,058.95	
		Dispositions	-175,000.00		164,393.25
SBDVLF41	STARBUCKS CORP 3.5% 03-01-2028	Acquisitions	85,000.00	-77,517.45	
		Dispositions	-85,000.00		78,095.95
SBF2RBL1	STARBUCKS CORP 3.75% 12-01-2047	Acquisitions	70,000.00	-69,766.20	
		Dispositions	-70,000.00		68,441.80
		Acquisitions	95,000.00	-82,816.35	
		Dispositions	-360,000.00		332,135.50

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFXV4Z7	STARBUCKS CORP 3.8% DUE 08-15-2025	Acquisitions	220,000.00	-219,946.10	
		Dispositions	-220,000.00		218,673.40
SBYYG2D8	STARBUCKS CORP 4.3% DUE 06-15-2045	Acquisitions	145,000.00	-139,485.85	
		Dispositions	-145,000.00		136,785.75
SBFXV4P7	STARBUCKS CORP 4.5% DUE 11-15-2048	Acquisitions	380,000.00	-375,816.65	
		Dispositions	-380,000.00		374,865.50
SBLSP520	STATOIL ASA 2.9% DUE 11-08-2020	Acquisitions	10,000.00	-9,968.20	
		Dispositions	-10,000.00		9,946.80
SB710SM5	STATOIL ASA 4.25 DUE 11-23-2041	Acquisitions	70,000.00	-76,428.80	
		Dispositions	-70,000.00		71,671.60
C863667AQ4	STRYKER CORP 3.65% 03-07-2028	Acquisitions	180,000.00	-179,924.40	
		Dispositions	-180,000.00		173,376.00
C86562MBB5	SUMITOMO MITSUI FG 3.748% 19 JUL 2023	Acquisitions	390,000.00	-390,000.00	
		Dispositions	-390,000.00		388,884.25
SBD5W818	SUNCOR ENERGY INC 4% DUE 11-15-2047	Acquisitions	60,000.00	-59,214.60	
		Dispositions	-375,000.00		352,061.25
SB01YRG5	SUNCOR ENERGY INC 5.95 DUE12-01-2034 BEO	Acquisitions	45,000.00	-53,081.55	
		Dispositions	-45,000.00		51,870.15
SBBVJ660	SUNCOR ENERGY INC 6.5% DUE 06-15-2038	Acquisitions	240,000.00	-312,381.60	
		Dispositions	-240,000.00		301,545.35
SB39RFF2	SUNCOR ENERGY INC 6.85% DUE 06-01-2039	Acquisitions	50,000.00	-63,733.00	
		Dispositions	-225,000.00		287,055.00
SBL9FKB3	SUNOCO LOGISTICS 5.3% DUE 04-01-2044	Acquisitions	160,000.00	-151,885.00	
		Dispositions	-225,000.00		215,675.06
SBF93509	SUNTRUST BANK 2.59% 01-29-2021	Acquisitions	115,000.00	-115,000.00	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFZS3K4	SUNTRUST BANK 3.689% 08-02-2024	Dispositions	-115,000.00		113,921.30
		Acquisitions	65,000.00	-64,743.60	
SBFZS821	SUNTRUST BK ATLANTA GA MEDIUM TERM SR VAR RT 08-02-2022 REG	Dispositions	-65,000.00		64,404.60
		Acquisitions	90,000.00	-90,000.00	
SBYZGKB1	SUNTRUST BKS INC 4% DUE 05-01-2025	Dispositions	-90,000.00		89,557.20
		Acquisitions	45,000.00	-44,942.40	
SBD2BV19	SYNCHRONY FINL 3.95% DUE 12-01-2027	Dispositions	-45,000.00		44,902.80
		Acquisitions	205,000.00	-195,808.85	
SBF28W66	SYSCO CORP FIXED 4.45% DUE 03-15-2048	Dispositions	-660,000.00		616,851.15
		Acquisitions	235,000.00	-233,538.30	
SBF28W55	SYSCO CORP 3.55% DUE 03-15-2025	Dispositions	-235,000.00		229,110.15
		Acquisitions	100,000.00	-99,480.00	
SBYZQN45	TARGET CORP FIXED 3.9% DUE 11-15-2047	Dispositions	-100,000.00		97,607.00
		Acquisitions	245,000.00	-229,560.20	
SB2NPQ41	TARGET CORP NT 7 DUE 01-15-2038/01-17-2008 REG	Dispositions	-480,000.00		463,536.20
		Acquisitions	70,000.00	-92,891.40	
SBDF0C65	TELEFONICA EMISIONES S A U 4.665% 03-06-2038	Dispositions	-70,000.00		92,247.40
		Acquisitions	45,000.00	-43,024.95	
SBDF0CD2	TELEFONICA EMISIONES S A U 4.895% 03-06-2048	Dispositions	-45,000.00		42,295.50
		Acquisitions	400,000.00	-393,730.90	
SBYWMQF8	TELEFONICA EMISIONES S A U 5.213% 03-08-2047 REG	Dispositions	-400,000.00		375,044.75
		Acquisitions	80,000.00	-79,585.90	
C87971MBH5	TELUS CORP 4.6% DUE 11-16-2048	Dispositions	-745,000.00		737,672.00
		Acquisitions	165,000.00	-162,698.85	
SBG0DDF6	TEXAS INSTRS INC 4.15% DUE 05-15-2048	Dispositions	-165,000.00		162,533.25

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB441BS1	TIME WARNER CABLE 5.5% DUE 09-01-2041	Acquisitions	60,000.00	-60,423.00	
		Dispositions	-60,000.00		59,814.60
SBMTS707	TIME WARNER INC FIXED 4.65% DUE 06-01-2044	Acquisitions	115,000.00	-110,304.55	
		Dispositions	-610,000.00		592,774.50
C89114QBZ0	TORONTO DOMINION BANK MTN 3.25% DUE 06-11-2021	Acquisitions	55,000.00	-49,920.75	
		Dispositions	-55,000.00		49,343.80
SBFZ6JM2	TORONTO DOMINION BK SR MEDIUM TERM BK NTTRANCHE # TR 598 3.15% DUE 09-17-2020	Dispositions	-215,000.00		214,683.95
		Free Receipt	215,000.00		
C89114QBZ0	TORONTO DOMINION SR MEDIUM 3.25% 11/06/2021	Acquisitions	135,000.00	-134,971.65	
		Dispositions	-135,000.00		134,968.95
SBYSVHV2	TORONTO-DOMINION 2.125% DUE 04-07-2021	Acquisitions	215,000.00	-214,903.25	
		Free Delivery	-215,000.00		
SBF5RD78	TOYOTA MTR CR CORP 2.7% DUE 01-11-2023	Acquisitions	55,000.00	-53,424.80	
		Dispositions	-55,000.00		53,479.80
SBDQQM39	TOYOTA MTR CR CORP 2.95% DUE 04-13-2021	Acquisitions	300,000.00	-299,832.00	
		Dispositions	-300,000.00		291,087.00
SBD366X0	TRANSCANADA PIPELINES 4.25% DUE 05-15-2028	Acquisitions	115,000.00	-114,954.00	
		Dispositions	-115,000.00		114,558.40
SBD366Y1	TRANSCANADA 4.75% DUE 05-15-2038	Acquisitions	50,000.00	-49,805.50	
		Dispositions	-50,000.00		50,171.50
SBD366Z2	TRANSCANADA 4.875% DUE 05-15-2048	Acquisitions	275,000.00	-275,447.40	
		Dispositions	-275,000.00		279,526.50
SB287YY8	TRANSCANADA 6.2% DUE 10-15-2037	Acquisitions	490,000.00	-488,985.25	
		Dispositions	-490,000.00		504,010.04
		Acquisitions	190,000.00	-217,975.75	
		Dispositions	-190,000.00		221,578.00

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB3CHMW5	TRANSCANADA 7.25% DUE 08-15-2038	Acquisitions	35,000.00	-44,690.10	
		Dispositions	-35,000.00		44,765.35
SB3KV7R9	TRANSCANADA 7.625% DUE 01-15-2039	Acquisitions	35,000.00	-46,713.45	
		Dispositions	-35,000.00		46,289.25
SBCLBGF2	TRAVELERS COS INC 4.6% DUE 08-01-2043	Acquisitions	100,000.00	-105,076.00	
		Dispositions	-185,000.00		193,672.85
SB5MHZ97	TRAVELERS COS INC 5.35% DUE 11-01-2040	Acquisitions	90,000.00	-103,836.80	
		Dispositions	-90,000.00		102,014.10
SB60WT77	TRI-STATE 6% DUE 06-15-2040	Acquisitions	80,000.00	-94,951.20	
		Dispositions	-80,000.00		91,874.40
SBF9MY3	TRUSTEES BSTN UNIV 4.061% DUE 10-01-2048	Acquisitions	55,000.00	-55,000.00	
		Dispositions	-55,000.00		54,211.85
SBG452M8	U S BANCORP MEDIUM TERM NTS- BOOK 3.9% 04-26-2028 BEO	Acquisitions	45,000.00	-44,878.05	
		Dispositions	-45,000.00		45,057.60
SBZ7M2H4	U S BK NATL ASSN CINCINNATI OHIO MEDIUM 2.05% DUE 10-23-2020	Acquisitions	510,000.00	-500,013.80	
		Dispositions	-510,000.00		498,117.45
SBYSSD48	UNION PAC CORP FIXED 4% DUE 04-15-2047	Acquisitions	65,000.00	-62,275.85	
		Dispositions	-65,000.00		62,213.45
C907818EU8	UNION PAC CORP 3.5% DUE 06-08-2023	Acquisitions	255,000.00	-254,767.95	
		Dispositions	-255,000.00		254,321.70
SBDCWS88	UNION PAC CORP 3.799% DUE 10-01-2051	Acquisitions	205,000.00	-184,239.65	
		Dispositions	-205,000.00		182,380.30
SBGLQST3	UNION PAC CORP 3.95% DUE 09-10-2028	Acquisitions	105,000.00	-106,097.25	
		Dispositions	-105,000.00		104,953.80
SBYQGY41	UNION PAC CORP 4.05% DUE 11-15-2045	Acquisitions	105,000.00	-100,157.40	

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYWJVB0	UNION PAC CORP 4.1% DUE 09-15-2067	Dispositions	-105,000.00		99,659.70
		Acquisitions	295,000.00	-291,147.35	
SB8Q73Y5	UNION PAC CORP 4.25% DUE 04-15-2043	Dispositions	-295,000.00		277,212.75
		Acquisitions	240,000.00	-239,166.80	
SBDY0H4	UNION PAC CORP 4.375% DUE 09-10-2038	Dispositions	-240,000.00		234,165.60
		Acquisitions	455,000.00	-457,975.30	
SBYZGKF5	UNION PAC CORP 4.5% 09-10-2048	Dispositions	-455,000.00		464,131.85
		Acquisitions	895,000.00	-908,726.70	
C907818EX2	UNION PAC CORP 4.8% 09-10-2058	Dispositions	-895,000.00		917,583.15
		Acquisitions	195,000.00	-195,869.55	
SBYWJV98	UNION PACIFIC CORP 3.6% DUE 09-15-2037	Dispositions	-195,000.00		200,314.40
		Acquisitions	165,000.00	-169,377.45	
SBJ7B6G6	UNION PACIFIC CORP 4.821 DUE 02-01-2044 BEO	Dispositions	-320,000.00		293,136.00
		Acquisitions	65,000.00	-76,803.35	
SBYVN9D1	UNITED TECHNOLOGIES CORP 3.95% 08-16-2025	Dispositions	-65,000.00		75,066.55
		Acquisitions	25,000.00	-24,957.50	
SBYVN9F3	UNITED TECHNOLOGIES CORP 4.125% 11-16-2028	Dispositions	-25,000.00		25,070.75
		Acquisitions	290,000.00	-289,895.60	
SBYVN9N1	UNITED TECHNOLOGIES CORP 4.45% 11-16-2038	Dispositions	-290,000.00		293,004.40
		Acquisitions	210,000.00	-209,443.20	
SBG08PD3	UNITEDHEALTH GROUP FIXED 4.25% DUE 06-15-2048	Dispositions	-210,000.00		208,752.60
		Acquisitions	525,000.00	-521,899.10	
SBYZGKG6	UNITEDHEALTH GROUP 3.5% DUE 06-15-2023	Dispositions	-525,000.00		525,236.25
		Acquisitions	220,000.00	-219,890.00	
SBD9GGT0	UNITEDHEALTH GROUP 4.25% DUE 04-15-2047	Dispositions	-220,000.00		220,088.00

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYTRVP1	UNITEDHEALTH GROUP 4.75% DUE 07-15-2045	Acquisitions	125,000.00	-125,096.25	
		Dispositions	-125,000.00		126,000.00
SBF5K5Z9	UNIVERSITY CHICAGO 4.003% DUE 10-01-2053	Acquisitions	195,000.00	-210,161.60	
		Dispositions	-195,000.00		209,463.90
SBDFWYC1	UTD AIRLS INC 3.5% DUE 03-01-2030	Acquisitions	65,000.00	-65,000.00	
		Dispositions	-65,000.00		63,150.75
SBG495T8	UTD TECHNOLOGIES NT 5.7 DUE 04-15-2040	Acquisitions	145,000.00	-145,000.00	
		Dispositions	-145,000.00		140,083.19
SB8J6DZ0	UTD TECHNOLOGIES 4.5% DUE 06-01-2042	Acquisitions	60,000.00	-69,340.20	
		Dispositions	-60,000.00		68,144.40
SBYVN9P3	UTD TECHNOLOGIES 4.625% DUE 11-16-2048	Acquisitions	385,000.00	-386,994.85	
		Dispositions	-935,000.00		937,171.37
SB2RJSL0	UTD TECHNOLOGIES 6.125% DUE 07-15-2038	Acquisitions	400,000.00	-405,427.50	
		Dispositions	-400,000.00		407,499.75
SBFYCQS6	VA ELEC & PWR CO 3.8% DUE 04-01-2028	Acquisitions	80,000.00	-104,529.60	
		Dispositions	-80,000.00		94,112.80
SBXPB5G9	VA ELEC & PWR CO 4.2% DUE 05-15-2045	Acquisitions	70,000.00	-70,744.80	
		Dispositions	-70,000.00		69,916.70
SBD4G7B4	VALE OVERSEAS LTD 6.25% DUE 08-10-2026	Acquisitions	120,000.00	-122,640.00	
		Dispositions	-120,000.00		120,319.30
SB1HMKF0	VALE OVERSEAS LTD 6.875% DUE 11-21-2036	Acquisitions	80,000.00	-87,112.00	
		Dispositions	-115,000.00		126,716.00
SB4M6ZX1	VALE S A 5.625% DUE 09-11-2042	Acquisitions	290,000.00	-340,435.00	
		Dispositions	-705,000.00		831,461.75
		Acquisitions	55,000.00	-58,085.50	
		Dispositions	-395,000.00		408,597.50

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYZGKJ9	VALERO ENERGY CORP NEW 4.35% 06-01-2028	Acquisitions	460,000.00	-460,476.00	
		Dispositions	-460,000.00		461,053.40
SBYW5464	VENTAS RLTY LTD PARTNERSHIP 4.4% 01-15-2029	Acquisitions	110,000.00	-109,949.40	
		Dispositions	-110,000.00		109,814.77
SBYZKXZ8	VERIZON COMMUNICATIONS BNDS 5.25% DUE 03-16-2037 REG	Acquisitions	45,000.00	-46,522.80	
		Dispositions	-45,000.00		47,538.90
SBSBN5N1	VERIZON COMMUNICATIONS 4.4% DUE 11-01-2034 REG	Acquisitions	270,000.00	-265,469.40	
		Dispositions	-370,000.00		357,427.40
SBYRLRK2	VERIZON 4.272% DUE 01-15-2036	Acquisitions	110,000.00	-105,283.80	
		Dispositions	-110,000.00		104,061.10
SBYM0W21	VERIZON 4.672% DUE 03-15-2055	Acquisitions	185,000.00	-169,335.90	
		Dispositions	-2,170,000.00		2,023,721.20
SBBNBGK8	VERIZON 4.75% DUE 11-01-2041	Acquisitions	55,000.00	-53,642.05	
		Dispositions	-215,000.00		210,502.20
SBXVMD62	VERIZON 4.862% DUE 08-21-2046	Acquisitions	135,000.00	-134,515.40	
		Dispositions	-135,000.00		135,393.80
SBDFLJP6	VERIZON 5.012% DUE 04-15-2049	Acquisitions	95,000.00	-93,694.25	
		Dispositions	-95,000.00		93,703.65
SBVYRK47	VERIZON 5.012% DUE 08-21-2054	Acquisitions	235,000.00	-234,188.00	
		Dispositions	-1,215,000.00		1,206,429.40
SBCHWWF1	VIACOM INC NEW 4.375% DUE 03-15-2043	Acquisitions	80,000.00	-67,080.80	
		Dispositions	-315,000.00		276,236.70
C92553PAU6	VIACOM INC NEW 5.85% DUE 09-01-2043	Acquisitions	115,000.00	-117,358.10	
		Dispositions	-245,000.00		257,988.15
SBYPHGN8	VISA INC 3.15% DUE 12-14-2025	Acquisitions	180,000.00	-176,479.20	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBFMLNY0	VODAFONE GROUP PLC 3.75% DUE 01-16-2024 REG	Dispositions	-180,000.00		174,184.20
		Acquisitions	110,000.00	-109,068.30	
SBFMLP22	VODAFONE GROUP PLC 5.25% DUE 05-30-2048	Dispositions	-110,000.00		109,635.44
		Acquisitions	780,000.00	-778,981.40	
SBFMLP11	VODAFONE GROUP PLC 5% DUE 05-30-2038	Dispositions	-780,000.00		793,951.92
		Acquisitions	260,000.00	-257,027.40	
SBYVG9R4	WALGREENS BOOTS 4.65% DUE 06-01-2046	Dispositions	-260,000.00		256,807.20
		Acquisitions	65,000.00	-67,676.05	
SBG0MT44	WALMART INC 3.55% DUE 06-26-2025	Dispositions	-130,000.00		125,115.90
		Acquisitions	250,000.00	-249,280.00	
SBYZLMX2	WALMART INC 3.625% DUE 12-15-2047	Dispositions	-250,000.00		252,673.75
		Acquisitions	105,000.00	-101,440.40	
SBFYK679	WALMART INC 3.7% DUE 06-26-2028	Dispositions	-280,000.00		260,654.95
		Acquisitions	130,000.00	-131,548.30	
SBGLQSV5	WALMART INC 3.95% DUE 06-28-2038	Dispositions	-130,000.00		129,720.50
		Acquisitions	360,000.00	-357,774.30	
SBG08Q52	WALMART INC 4.05% DUE 06-29-2048 REG	Dispositions	-360,000.00		362,964.27
		Acquisitions	940,000.00	-931,167.60	
SBJ0K1P4	WALMART INC 6.5% DUE 08-15-2037	Dispositions	-940,000.00		938,347.70
		Acquisitions	75,000.00	-99,240.00	
SB5LPVC7	WARNER MEDIA LLC 6.25% DUE 03-29-2041	Dispositions	-75,000.00		98,253.00
		Acquisitions	205,000.00	-234,123.85	
SBG1ZYY7	WEC ENERGY GROUP FIXED 3.375% DUE 06-15-2021	Dispositions	-205,000.00		223,814.90
		Acquisitions	500,000.00	-499,795.00	
SB87XCR8	WELLPOINT INC 3.125% DUE 05-15-2022	Dispositions	-500,000.00		504,775.80

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBCSCZN9	WELLPOINT INC 5.1% DUE 01-15-2044	Acquisitions	125,000.00	-123,082.50	
		Dispositions	-125,000.00		122,838.75
SBF3R2P1	WELLS FARGO & CO FLTG RT 3.584% DUE 05-22-2028	Acquisitions	55,000.00	-56,641.20	
		Dispositions	-55,000.00		56,735.25
SBS9FPF1	WELLS FARGO & CO NEW MEDIUM TERM SR 4.65% 11-04-2044	Acquisitions	110,000.00	-106,989.30	
		Dispositions	-250,000.00		241,121.60
SBYM4WQ7	WELLS FARGO & CO 3.069% DUE 01-24-2023	Acquisitions	155,000.00	-149,863.30	
		Dispositions	-795,000.00		776,383.85
SBD72T35	WELLS FARGO & CO 3% DUE 04-22-2026	Acquisitions	190,000.00	-184,927.00	
		Dispositions	-190,000.00		186,525.34
SBDSFRX9	WELLS FARGO & CO 4.75% DUE 12-07-2046	Acquisitions	90,000.00	-84,230.10	
		Dispositions	-90,000.00		84,051.90
SBYQ29R7	WELLS FARGO & CO 4.9% DUE 11-17-2045	Acquisitions	155,000.00	-155,225.70	
		Dispositions	-520,000.00		530,006.70
SBG48MR2	WELLS FARGO & CO 5.375% DUE 11-02-2043	Acquisitions	50,000.00	-50,387.00	
		Dispositions	-500,000.00		515,767.90
SBJTGHH7	WELLS FARGO & CO 5.606% DUE 01-15-2044	Acquisitions	50,000.00	-58,221.50	
		Dispositions	-190,000.00		204,569.95
SBFMYW31	WELLS FARGO BK N A FLTG RT 3.325% DUE 07-23-2021	Acquisitions	45,000.00	-48,863.70	
		Dispositions	-140,000.00		156,588.70
SBFMDCQ1	WELLS FARGO BK N A 2.6% 01-15-2021	Acquisitions	510,000.00	-510,000.00	
		Dispositions	-510,000.00		509,428.80
SBFY3CZ8	WELLTOWER INC FIXED 4.25% DUE 04-15-2028	Acquisitions	510,000.00	-508,310.85	
		Dispositions	-510,000.00		502,288.75
		Acquisitions	240,000.00	-235,636.00	
		Dispositions	-240,000.00		235,360.00

** Represents party-in-interest as defined by ERISA

See accompanying independent auditor's report and notes to financial statements

Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYVN9Q4	WELLTOWER INC 3.95% 09-01-2023	Acquisitions	80,000.00	-79,973.60	
		Dispositions	-80,000.00		80,514.40
SBD6R618	WELLTOWER INC 4.25% DUE 04-01-2026	Acquisitions	80,000.00	-78,595.60	
		Dispositions	-80,000.00		79,057.60
SBDDMN60	WELLTOWER INC 4.95% DUE 09-01-2048	Acquisitions	200,000.00	-199,158.00	
		Dispositions	-200,000.00		199,854.06
SBGKCBF9	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP 4.7% 09-15-2028	Acquisitions	60,000.00	-59,933.40	
		Dispositions	-60,000.00		59,094.00
C958254AJ3	WESTN GAS PARTNERS 5.3% 03-01-2048	Acquisitions	290,000.00	-286,927.10	
		Dispositions	-290,000.00		266,918.90
SBGLQSZ9	WILLIAMS PARTNERS FIXED 4.85% DUE 03-01-2048	Acquisitions	120,000.00	-119,418.00	
		Dispositions	-120,000.00		116,321.20
SBW3SWW0	WILLIAMS PARTNERS 5.1% DUE 09-15-2045	Acquisitions	40,000.00	-39,935.60	
		Dispositions	-40,000.00		40,074.40
SBG0SQL8	WILLIAMS PARTNERS 5.8 DUE 11-15-2043	Acquisitions	185,000.00	-205,460.70	
		Dispositions	-185,000.00		199,174.70
SB3RZKK8	WILLIAMS PARTNERS 6.3% DUE 04-15-2040	Acquisitions	100,000.00	-114,199.30	
		Dispositions	-100,000.00		112,531.00
SB91ZLT2	WYETH NT 5.95 DUE 04-01-2037/03-27-2007 BEO	Acquisitions	110,000.00	-134,443.25	
		Dispositions	-190,000.00		230,541.17
SBF8QNX8	ZOETIS INC FIXED 3.9% DUE 08-20-2028	Acquisitions	55,000.00	-54,896.05	
		Dispositions	-55,000.00		54,115.05
SBDTYMK7	ZOETIS INC FIXED 4.45% DUE 08-20-2048	Acquisitions	180,000.00	-178,731.00	
		Dispositions	-180,000.00		177,530.40
SBWWYN04	21ST CENTY FOX 6.4% DUE 12-15-2035	Acquisitions	55,000.00	-69,961.10	

** Represents party-in-interest as defined by ERISA

See accompanying independent auditor's report and notes to financial statements

Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and
Disposed of within the Plan Year)
For the Year Ended December 31, 2018
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBWX5TS6	21ST CENTY FOX 6.9% DUE 08-15-2039	Dispositions	-210,000.00		262,101.85
		Acquisitions	90,000.00	-117,812.70	
SBWXSBT0	21ST CENTY FOX 7.75% DUE 12-01-2045	Dispositions	-90,000.00		118,124.10
		Acquisitions	20,000.00	-29,069.20	
		Dispositions	-20,000.00		29,208.00

** Represents party-in-interest as defined by ERISA

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SCHEDULE SB ATTACHMENTS

Schedule SB, Line 24 Change in Actuarial Assumptions

Other than the prescribed changes in the discount rate, the following other changes in assumptions were made as part of this valuation:

Rates of retirement were updated based on an experience study performed in 2018.

Assumptions for terminated vested participants over the age of 65 have been updated to reflect actuarial increases and to reflect the expected probability these participants will claim their benefit in the future.

Plan Name:	The Gates Group Retirement Plan
EIN / PN:	84-0857401/333
Plan Sponsor:	Gates Corporation
Valuation Date	January 1, 2018