Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	_	Identification Information	1						
For calend	dar plan year 2018 or fis	scal plan year beginning 01/01/2	2018	and ending 12	2/31/2018				
A This re	a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must a list of participating employer information in accordance with the form instruc								
		a one-participant plan	a foreign plan						
B This ret	turn/report is	the first return/report	t						
		an amended return/report	a short plan year retu	urn/report (less than 12 m	ort (less than 12 months)				
C Check	box if filing under:	Form 5558	automatic extension	i e	DFVC progr	am am			
	_	special extension (enter desc	1 /						
Part II	Basic Plan Info	rmation—enter all requested in	formation						
1a Name NUTECH E	e of plan LECTRIC OF CNY INC	401(K) PLAN			1b Three-di plan num (PN) ▶	=			
					1c Effective	e date of plan 06/08/2007			
		yer, if for a single-employer plan)			2b Employe	r Identification Number			
		m, apt., suite no. and street, or P.C e. country, and ZIP or foreign post		structions)	(EIN)	16-1457202			
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) NUTECH ELECTRIC OF CNY INC						2c Sponsor's telephone number 315-434-9953			
						2d Business code (see instructions)			
767 TAFT AVE					111100				
STRACUSE	E, NY 13206-1040								
3a Plan a	administrator's name ar	nd address 🛛 Same as Plan Spo	nsor.		3b Administ	rator's EIN			
					20 A duna in in in i				
					3C Administ	rator's telephone number			
		e plan sponsor or the plan name h nsor's name, EIN, the plan name a			4b EIN				
	sor's name	• •	•	·	4d PN				
C Plan Name									
5a Total number of participants at the beginning of the plan year					5a				
b Total number of participants at the end of the plan year				5b					
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item).				ed contribution plans	5c 7				
d(1) Total number of active participants at the beginning of the plan year					5d(1) 6				
d(2) Total number of active participants at the end of the plan year					5d(2)				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e 0					
Caution:	A penalty for the late	or incomplete filing of this retur	n/report will be assesse	d unless reasonable cau					
SB or Sch		her penalties set forth in the instru nd signed by an enrolled actuary, a plete.							
SIGN	Filed with authorized	/valid electronic signature.	10/14/2019	KEITH LIEBMANN					
HERE	Signature of plan a	dministrator	Date	Enter name of individ	ual signing as p	lan administrator			
SIGN									
HERE	Signature of emplo	yer/plan sponsor	Date	Enter name of individ	ual signing as e	employer or plan sponsor			

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		Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X	Yes	No	
If you answerd "No" to either line & aor line &b, the plan cannot use Form \$500-\$F and must instead use Form \$500. If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?	b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)						X	Yes	No	
Part III Financial Information Financial Information		· · · · · · · · · · · · · · · · · · ·						⊔			
Part III Financial Information 7 Plan Assets and Liabilities 8 7a 168610 150896 1 Total plan inabilities 7b from line 7a) 7b 1 168610 150896 2 Note plan assets (subtract line 7b from line 7a) 7c 168610 150896 3 Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total a Contributions received or receivable from: (1) Employers 8a(1) 6215 (2) Participants 8a(2) 1423 (3) Others (including rollovers) 8a(3) 9b 1-15234 (b) Other income (loss) C Total income (loss) 8a(1), 8a(2), 8a(3), and 8b) 8c 1-15234 C Total income (loss) 8a(1), 8a(2), 8a(2)	С	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	rogram (see ERISA se	ection 4	021)?		Yes N	o 🗌 No	t detern	nined
7 Plan Assets and Liabilities		If "Yes" is checked, enter the My PAA confirmation number from th	e PBGC p	remium filing for this pl	lan yea	ır			(See	instructi	ions.)
7 Plan Assets and Liabilities	Pa	rt III Financial Information									
a Total plan assets	7			(a) Beginning (of Year			(b) E	nd of Yea	r	
b Total plan liabilities	a		7a	` , , ,				()			
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers (2) Participants. (3) Others (including rollovers). 8a(2) (3) Others (including rollovers). 8b 1423 (3) Others (including rollovers). 8b 1524 (C) Total income (add lines 8a(1), 8a(2), 8a(3), and 8b). 8c 7596 (d) Benefits paid (including direct rollovers and insurance premiums to provide benefits). 8c 8439 (e) Certain deemed and/or corrective distributions (see instructions). 8c 8d 8439 (e) Certain deemed and/or corrective distributions (see instructions). 8c 1679 (g) Other expenses. 8c 9c 1679 (g) Other expenses (add lines 8d, 8e, 8f, and 8g). 8c 10118 (i) Net income (loss) (subtract line 8h from line 8c). 8c 8d 10118 (i) Net income (loss) (subtract line 8h from line 8c). 8c 8d 10118 (i) Net income (loss) (subtract line 8h from line 8c). 8c 8d 10118 (i) Transfers to (from) the plan (see instructions). 8c 8d 10118 (i) Net income (loss) (subtract line 8h from line 8c). 8c 8d 1679 (g) If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 8c 2F 2G 2J 2K 3D 2K 3D 3D 3D 3D 3D 3D 3D 3											
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers	С	Net plan assets (subtract line 7b from line 7a)	7c	16	68610			150896			
a Contributions received or receivable from: (1) Employers (2) Participants. 8a(2) 1423 (3) Others (including rollovers). 8a(3) b Other income (loss). 8a(3) c Total income (eadd lines 8a(1), 8a(2), 8a(3), and 8b). 8b -15234 c Total income (eadd lines 8a(1), 8a(2), 8a(3), and 8b). 8c -7596 d Benefits paid (including direct rollovers and insurance premiums to provide benefits). 8d 8439 e Certain deemed and/or corrective distributions (see instructions) 8d 8439 e Certain deemed and/or corrective distributions (see instructions) 8f 1679 g Other expenses 8g 9 h Total expenses (add lines 8d, 8e, 8f, and 8g) 8h 10118 i Net income (loss) (subtract line 8h from line 8c) 8 i Net income (loss) (subtract line 8h from line 8c) 8 i Net income (loss) (subtract line 8h from line 8c) 8 i Net income (loss) (subtract line 8h from line 8c) 8 if the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2a If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: 2b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: 2b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: 2c 2F 2G 2J 2K 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: 2c 2F 2G 2J 2K 3D b If the plan pace: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program). b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a). c Was the plan covered by a fidelity bond? d Did the	8			(a) Amoun	ıt		(b) Total				
(2) Participants	а	Contributions received or receivable from:		, ,				Ì			
(3) Others (including rollovers)		(1) Employers	` '								
b Other income (loss)					1423						
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		, , , , , , , , , , , , , , , , , , , ,									
d Benefits paid (including direct rollovers and insurance premiums to provide benefits). 8d 8439 e Certain deemed and/or corrective distributions (see instructions). 8d 1679 g Other expenses. g Other expenses. 8d 1679 g Other expenses. 8d 1679 g Other expenses. 8d 10118 i Net income (loss) (subtract line 8h from line 8c)		\ /			15234	_					
e Certain deemed and/or corrective distributions (see instructions)			8c				-7596				
f Administrative service providers (salaries, fees, commissions)		. , .	8d		8439						
g Other expenses	е	Certain deemed and/or corrective distributions (see instructions)	8e								
h Total expenses (add lines 8d, 8e, 8f, and 8g)	f	Administrative service providers (salaries, fees, commissions)	8f		1679						
i Net income (loss) (subtract line 8h from line 8c)	g	Other expenses	8g								
Part IV Plan Characteristics	h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				10118				
Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) C Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions). 10a X 10b X 10c X d Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) 10a X 10b X 10c X 10c X 10d X	<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i				-17714			714	
If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E	j	Transfers to (from) the plan (see instructions)	8j								
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions	Pa	rt IV Plan Characteristics									
Part V Compliance Questions	9a		feature co	des from the List of Pla	an Cha	racteri	stic Co	odes in the i	nstruction	s:	
Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) f Has the plan failed to provide any benefit when due under the plan? g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) 10h X	b										
10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program). b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.). c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.). f Has the plan failed to provide any benefit when due under the plan? g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.). 10											
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	Par	t V Compliance Questions									
described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)		<u> </u>				Yes	No		Amoun	ıt	
Program)	а										
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					10a		X				
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) f Has the plan failed to provide any benefit when due under the plan? g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) i If 10h was answered "Yes," check the box if you either provided the required notice or one of the	b	Were there any nonexempt transactions with any party-in-interest	t? (Do not	include transactions	10b		X				
by fraud or dishonesty?	C	Was the plan covered by a fidelity bond?			10c		X				
carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	C				10d		X				
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	e	carrier, insurance service, or other organization that provides some	ne or all of	the benefits under	10e		X				
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) 10h X i If 10h was answered "Yes," check the box if you either provided the required notice or one of the	f	Has the plan failed to provide any benefit when due under the pla	n?		10f		X				
2520.101-3.)	g				10g		X				
	_ h	· · · · · · · · · · · · · · · · · · ·	•		10h		X				
	i				10i						

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Part	VI Pension Funding Compliance			
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a		
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?		f	Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver	and enter Da		of the letter ruling Year
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year	12b		
С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part '	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under control of the PBGC?	he		Yes X No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	n(s) to		
1	3c(1) Name of plan(s):	(2) EIN(s)		13c(3) PN(s)