## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

| Part I  | Annual Report                                    | Identification Information  |  |   |  |   |  |  |
|---|--|---|--|---|--|---|--|--|
| For calend  | ar plan year 2018 or fi                          | scal plan year beginning 01/01/20   | 18   | and ending 1  | 2/31/2018                                |   |  |  |
| A This ret  | turn/report is for:                              | a single-employer plan  | a multiple-employer plants of participating em | an (not multiemployer) (<br>nployer information in ac |  |   |  |  |
| <b>B</b> This return/report is  |  | a one-participant plan  | a foreign plan                                 |   |  |   |  |  |
| <b>B</b> This return/report is  |  | the first return/report   | the final return/report                        |   |  |   |  |  |
| •   |  | an amended return/report  | a short plan year return                       | n/report (less than 12 m                              | ionths)                                  |   |  |  |
| C Check   | box if filing under:                             | Form 5558   | automatic extension                            |   | DFVC program                             |   |  |  |
| Dowt II   | Dania Dian Info                                  | special extension (enter descrip  | <u> </u>                                       |   |  |   |  |  |
| Part II   |  | rmation—enter all requested info  | rmation  |   | 4h Than a state                          | 1                                       |  |  |
| 1a Name   | •  | 2 404(K) PROFIT SHARING DI ANI  |  |   | <b>1b</b> Three-digit plan number        |   |  |  |
| RONCO COMMUNICATIONS, INC. 401(K) PROFIT SHARING PLAN   |  |   |  |   | (PN)                                     | 001                                     |  |  |
|   |  |   |  |   | 1c Effective date of plan                |   |  |  |
|   |  |   |  |   | 10/01/1988                               |   |  |  |
| Mailing   | g address (include roo                           | yer, if for a single-employer plan)<br>m, apt., suite no. and street, or P.O.   |  |   | <b>2b</b> Employer Ident (EIN) 62-1      | fication Number 350036                  |  |  |
| City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)  RONCO COMMUNICATIONS, INC.   |  |   |  | ructions)   |  | Sponsor's telephone number 336-665-7212 |  |  |
|   |  |   |  |   | 2d Business code                         | (see instructions)                      |  |  |
| 595 SHERID  | AN DR  |   |  |   | 517000                                   |   |  |  |
| TONAWAND  | OA, NY 14150-7850                                |   |  |   |  |   |  |  |
| 3a Plan a   | dministrator's name ar                           | nd address X Same as Plan Spons   | nor .  |   | <b>3b</b> Administrator's                | EIN                                     |  |  |
| Ja Flall a  | ummstrator s name ar                             | id address M Same as Flam Spons   | SOI.   |   | 3D Administrators                        | LIIN                                    |  |  |
|   |  |   |  |   | <b>3c</b> Administrator's                | telephone number                        |  |  |
|   |  |   |  |   |  |   |  |  |
|   |  |   |  |   |  |   |  |  |
|   |  | e plan sponsor or the plan name has   |  |   | 4b EIN                                   |   |  |  |
| this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. <b>a</b> Sponsor's name |  |   |  | 4d PN   |  |   |  |  |
| C Plan N  | lame   |   |  |   |  |   |  |  |
| <b>5a</b> Total i   | number of participants                           | at the heginning of the plan year   |  |   | 5a                                       | 22                                      |  |  |
|   |  |   |  | 17  |  |   |  |  |
| Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)      |  |   |  | 5c  | 16                                       |   |  |  |
|   | ,  | rticipants at the beginning of the pla  |  |   | 5d(1)                                    | 14                                      |  |  |
| d(2) Total number of active participants at the end of the plan year  |  |   | 5d(2)  | 11  |  |   |  |  |
|   |  | terminated employment during the  |  |   | 5e                                       | 2                                       |  |  |
|   |  | or incomplete filing of this return/  |  |   | •  |   |  |  |
| Under pena<br>SB or Sche  | alties of perjury and ot<br>edule MB completed a | her penalties set forth in the instruct<br>nd signed by an enrolled actuary, as | ions, I declare that I have                    | examined this return/re                               | port, including, if appli                |   |  |  |
| SIGN  | Filed with authorized                            | valid electronic signature.   | 10/14/2019                                     | MARK SCHWEIZER  |  |   |  |  |
| HERE  | Signature of plan a                              | dministrator  | Date   | Enter name of individ                                 | individual signing as plan administrator |   |  |  |
|   |  |   |  | MARK SCHWEIZER  |  |   |  |  |

Date

**HERE** 

Enter name of individual signing as employer or plan sponsor

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|  | Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)  Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) |              |                      |         |   | _       |         |           |     |
|--|---|--------------|----------------------|---------|---|---------|---------|-----------|-----|
| If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.  C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No No |   |              |                      |         |   |         |         |           |     |
| Pa   | rt III Financial Information  |              |                      |         |   |         |         |           |     |
| 7  | Plan Assets and Liabilities   |              | (a) Beginning (      | of Year |   |         | (b) En  | d of Year |     |
| а  | Total plan assets   | 7a           | 246                  | 60818   |   | 2472442 |         |           |     |
| b  | Total plan liabilities  | 7b           |                      | 0       |   | 0       |         |           |     |
| С  | Net plan assets (subtract line 7b from line 7a)   | 7c           | 246                  | 60818   |   | 2472442 |         |           |     |
| 8  | Income, Expenses, and Transfers for this Plan Year  |              | (a) Amoun            | t       |   | (b) -   |         | (b) Total |     |
| а  | Contributions received or receivable from: (1) Employers  | 8a(1)        | ,                    | 10961   |   |         |         |           |     |
|  | (2) Participants  | 8a(2)        | 8                    | 33484   |   |         |         |           |     |
|  | (3) Others (including rollovers)  | 8a(3)        |                      | 0       |   |         |         |           |     |
| b  | Other income (loss)   | 8b           | -17                  | 74196   |   |         |         |           |     |
| С  | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)  | 8c           |                      |         |   | -79751  |         |           |     |
| d  | Benefits paid (including direct rollovers and insurance premiums to provide benefits)   | 8d           | 4                    | 48826   |   |         |         |           |     |
| е  | Certain deemed and/or corrective distributions (see instructions)   | 8e           |                      | 0       |   |         |         |           |     |
| f  | Administrative service providers (salaries, fees, commissions)  | 8f           |                      | 570     |   |         |         |           |     |
| g  | Other expenses  | 8g           |                      | 0       |   |         |         |           |     |
| <u>h</u>   | Total expenses (add lines 8d, 8e, 8f, and 8g)   | 8h           |                      |         |   | 49396   |         |           |     |
| <u>i</u>   | Net income (loss) (subtract line 8h from line 8c)   |              |                      |         |   |         | -129147 |           |     |
| j  | Transfers to (from) the plan (see instructions)   | 8j           | 14                   | 40771   |   |         |         |           |     |
| Pai  | t IV Plan Characteristics   |              |                      |         |   |         |         |           |     |
| 9a   |   |              |                      |         |   |         |         |           |     |
| b  | b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:   |              |                      |         |   |         |         |           |     |
| Par  | t V Compliance Questions  |              |                      |         |   |         |         |           |     |
| 10   | 10 During the plan year: Yes No Amount  |              |                      |         |   |         |         |           |     |
| а  | Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)   | oluntary F   | iduciary Correction  | 10a     | X |         |         |           | 133 |
| b  | Were there any nonexempt transactions with any party-in-interest reported on line 10a.)   | ? (Do not    | include transactions | 10b     |   | X       |         |           | 0   |
| С  | C Was the plan covered by a fidelity bond?  |              |                      | 10c     | X |         |         | 5000      | 200 |
| d  | Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?   |              |                      | 10d     |   | X       |         |           |     |
| е  | Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)  | ne or all of | the benefits under   | 10e     |   | X       |         |           |     |
| f  | f Has the plan failed to provide any benefit when due under the plan?   |              |                      | 10f     |   | X       |         |           |     |
| g  | g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)   |              |                      | 10g     | X |         |         | 87        | 721 |
| h  | h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)   |              |                      | 10h     |   | X       |         |           |     |
| i  | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10   |              |                      | 10i     |   |         |         |           |     |

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|---------------------|------------------|
|                     |                  |

| Part   | VI Pension Funding Compliance  |                 |     |                           |
|--------|--|-----------------|-----|---------------------------|
| 11     | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)   |                 |     | Yes No                    |
| 11a    | Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40   | 11a             |     |                           |
| 12     | Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?  |                 | f   | Yes X No                  |
|        | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)  |                 |     |                           |
| а      | If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver   | and enter<br>Da |     | of the letter ruling Year |
| lf y   | you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.   |                 |     |                           |
| b      | Enter the minimum required contribution for this plan year   | 12b             |     |                           |
| С      | Enter the amount contributed by the employer to the plan for this plan year  | 12c             |     |                           |
| d      | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)  | 12d             |     |                           |
| е      | Will the minimum funding amount reported on line 12d be met by the funding deadline?   |                 | Yes | No N/A                    |
| Part ' | VII Plan Terminations and Transfers of Assets  |                 |     |                           |
| 13a    | Has a resolution to terminate the plan been adopted in any plan year?  |                 | Yes | s X No                    |
|        | If "Yes," enter the amount of any plan assets that reverted to the employer this year  | 13a             |     |                           |
| b      | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under control of the PBGC?  | he              |     | Yes X No                  |
| С      | If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.) | n(s) to         |     |                           |
| 1      | <b>3c(1)</b> Name of plan(s):  | (2) EIN(s)      |     | <b>13c(3)</b> PN(s)       |
|        |  |                 |     |                           |