Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Repor	t identification information							
For calenda	For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018								
A This ret	urn/report is for:	a single-employer plan a multiple-employer plan (not multiemployer) a multiple-employer plan (not multiemployer)			· ·				
		a one-participant plan	a foreign plan						
B This retu	urn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year retu	urn/report (less than 12 m	onths)				
C Check b	oox if filing under:	X Form 5558	automatic extension	1	DFVC progra	am			
D (!!		special extension (enter desc	1 /						
Part II		ormation—enter all requested in	formation		41				
1a Name of plan MEDBRIDGE, INC. 401(K) PLAN					1b Three-dig plan numl (PN) ▶				
						date of plan 01/01/2016			
		loyer, if for a single-employer plan)) Box)		2b Employer Identification Number				
Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) MEDBRIDGE, INC.					(EIN) 46-3675059 2c Sponsor's telephone number 206-216-5003				
					2d Business code (see instructions)				
2100 WESTLAKE AVENUE NORTH, #107 SEATTLE, WA 98109					611000				
3a Plan administrator's name and address ☐ Same as Plan Sponsor.					3b Administrator's EIN				
					3c Administra	ator's telephone number			
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.				4b EIN 4d PN					
a Sponsor's name									
C Plan N	lame								
5a Total number of participants at the beginning of the plan year				5a 107					
b Total number of participants at the end of the plan year				5b	113				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				5c 65					
d(1) Total number of active participants at the beginning of the plan year					5d(1)	90			
d(2) Total number of active participants at the end of the plan year					5d(2)	102			
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e	1				
Caution: A	penalty for the late	or incomplete filing of this retur	n/report will be assesse	d unless reasonable cau	use is establish	ed.			
Under pena SB or Sche	alties of perjury and o	other penalties set forth in the instru and signed by an enrolled actuary, a	ctions, I declare that I have	e examined this return/re	port, including, if	applicable, a Schedule			
SIGN		d/valid electronic signature.	10/14/2019	THOMAS HARTNETT	HOMAS HARTNETT				
HERE	Signature of plan	administrator	Date	Enter name of individ	an administrator				
SIGN		d/valid electronic signature.	10/14/2019		THOMAS HARTNETT				
HERE	Signature of empl	lover/nlan sponsor	Date	Enter name of individ	nnlover or plan sponsor				

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.							X Yes No	
	If the plan is a defined benefit plan, is it covered under the PBGC in If "Yes" is checked, enter the My PAA confirmation number from the	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes No	Not determined . (See instructions.)	
Pa	rt III Financial Information		Ī						
7	Plan Assets and Liabilities		(a) Beginning o	(a) Beginning of Year			(b) End of Year		
a	Total plan assets	7a	57	575111			854111		
<u>b</u>	Total plan liabilities	7b							
<u>C</u>	Net plan assets (subtract line 7b from line 7a)	7c	57	575111				854111	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total		
a	Contributions received or receivable from: (1) Employers								
	(2) Participants	8a(2)	35	53982					
	(3) Others (including rollovers)	8a(3)	7	76313					
<u>b</u>	Other income (loss)	8b	3-	-82303					
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						356581	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	(67855					
е	Certain deemed and/or corrective distributions (see instructions) \dots	8e		0					
f	Administrative service providers (salaries, fees, commissions)	8f		9726					
g	Other expenses								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					77581		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						279000	
j	Transfers to (from) the plan (see instructions)	8j		0					
Pai	Part IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature co	odes from the List of Pla	an Cha	racteri	stic Co	des in the ins	tructions:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan	n Chara	cteris	tic Cod	les in the instr	ructions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction			10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X			
С	C Was the plan covered by a fidelity bond?			10c	X			1000000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X			
f	f Has the plan failed to provide any benefit when due under the plan?					X			
9	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		Χ			
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i					

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)		В	Yes 🛚 N	Ю	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes 🛛 N	Ю	
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver.	d enter t Day		of the letter ruling Year		
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A		
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s 🔀 No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?) 		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	s) to				
13c(1) Name of plan(s): 13c() 13c(3) PN(s)		