Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Parti	Annual Repor	t identification information								
For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018										
A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attack list of participating employer information in accordance with the form instruction										
		a one-participant plan								
B This reti	urn/report is	the first return/report	the final return/report							
		an amended return/report	rn/report (less than 12 mo	onths)						
C Check	box if filing under:	X Form 5558	automatic extension	ic extension DFVC program						
	special extension (enter description)									
Part II	Basic Plan Inf	ormation—enter all requested in	formation							
1a Name	of plan				1b Three-digi	it				
	STOOL & DIE, INC. 4	101K PLAN			plan numb	per				
					(PN) ▶	001				
					1c Effective of	date of plan				
						01/01/1990				
		loyer, if for a single-employer plan)	O. Povl			Identification Number				
		om, apt., suite no. and street, or P.C nce, country, and ZIP or foreign post		ructions)	(EIN) 91-1248431					
	TOOL & DIE	50, 50a.m.), a.i.a <u>-</u> .i. o. io.o.g., poo.	aa. oo oo (ao. o.g.,, oo o ao.	. 404.01.07	2c Sponsor's telephone number 509-922-4490					
				-	2d Business	code (see instructions)				
19103 E. CA					339900					
GREENACR	ES, WA 99016-9490									
					01					
	dministrator's name a				3b Administra	ator's EIN 91-1248431				
PROGRESS	TOOL & DIE	19103 E. GREENA	CATALDO CRES, WA 99016-9490	-	3c Administrator's telephone number					
		OKLENA	OKES, WA 99010-9490		509-922-4490					
						70 022 4400				
4 If the r	name and/or EIN of the	he plan sponsor or the plan name h	as changed since the last r	return/report filed for	4b EIN					
this pl	lan, enter the plan sp	onsor's name, EIN, the plan name a								
•	or's name				4d PN					
C Plan N	lame									
5a Total	number of participant	ts at the beginning of the plan year.			5a	19				
		s at the end of the plan year		T .	5b	19				
C Numb	er of participants with	n account balances as of the end of	the plan year (only defined	d contribution plans	5c	6				
complete this item)					5d(1)	19				
d(2) Total number of active participants at the end of the plan year					5d(2)	19				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	0				
Caution: A	A penalty for the late	or incomplete filing of this retur	n/report will be assessed	unless reasonable cau						
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.										
SIGN	Filed with authorize	d/valid electronic signature.	10/15/2019	ALAN BARNES	ALAN BARNES					
HERE	Signature of plan	administrator	Date	Enter name of individual signing as plan administrator						
SIGN										
HERE	Signature of empl	loyer/plan sponsor	Date	Enter name of individu	of individual signing as employer or plan sponsor					

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X	Yes No	
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)						X	Yes ∏ No	
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						⊔		
С	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes N	o Not	determined
	If "Yes" is checked, enter the My PAA confirmation number from th	e PBGC p	remium filing for this pl	lan yea	ır			(See i	nstructions.)
Pa	rt III Financial Information								
7								nd of Yea	,
a	Total plan assets	7a	` ,	12819		193162			
b	Total plan liabilities	7b							
С	Net plan assets (subtract line 7b from line 7a)							193	162
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt			(b) Total		
а	Contributions received or receivable from:								
	(1) Employers	8a(1)		0	\dashv				
	(2) Participants	8a(2)		7633	-				
	(3) Others (including rollovers)	8a(3)		4.4550	-				
	Other income (loss)	8b		14553				0.	200
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						-6	920
d	to provide benefits)	8d		11405					
е	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f		1332					
g	Other expenses								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						12	737
i	Net income (loss) (subtract line 8h from line 8c)	8i						-19	657
j	Transfers to (from) the plan (see instructions)								
Pa	Part IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 3D 2E 2J 2G 2K 2F	feature co	odes from the List of Pla	an Cha	racteri	stic Co	odes in the i	nstructions	s:
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Pla	n Char	acteris	tic Cod	des in the in	structions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amoun	<u>t</u>
а	Was there a failure to transmit to the plan any participant contribu								
	described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)			10a		X			
b	Were there any nonexempt transactions with any party-in-interest	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				X			
	Was the plan covered by a fidelity bond?				Х				
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					X			
е	Were any fees or commissions paid to any brokers, agents, or oth	ner person	s by an insurance	100					
	carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X			
f	Has the plan failed to provide any benefit when due under the plan? 10f					X			
9	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X			
_ h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)								
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes No				
11a	11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40							
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?							
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. ——————————————————————————————————							
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b	Enter the minimum required contribution for this plan year	12b						
С	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A				
Part '	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under control of the PBGC?	he	Yes 🛚 No					
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	n(s) to						
1	3c(1) Name of plan(s):	(2) EIN(s)		13c(3) PN(s)				

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OMB Nos. 1210-0110 1210-0089

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Part	Annual Report	Identification Information							
For calen	dar plan year 2018 or fi	scal plan year beginning	01/01/2018	and ending	12/31/20)18			
A This re	eturn/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
R This ro	turn/report is	, , , , , , , , , , , , , , , , , , , ,	out out the	iom instructions.)					
– 110316	idin/report is	the first return/report	the final return/report						
C 21 .		an amended return/report							
C Check	box if filing under:	X Form 5558	automatic extension		DEVC program				
		special extension (enter descr	iption)						
Part II	Basic Plan Info	rmation—enter all requested inf	ormation						
1a Name PRO	e of plan	IE, INC. 401K PLAN			1b Three-digit plan number (PN)	001			
					1c Effective dat	le of plan			
Mailin	ig address (include roon	yer, if for a single-employer plan) n, apt., suite no, and street, or P.O e, country, and ZIP or foreign posta	Box)	trusticos)	2b Employer Identification Number (EIN) 91-1248431				
PRO	GRESS TOOL & D	IE	ir code (ir loreign, see insi	ructions)	2c Sponsor's telephone number 509-922-4490				
1910	03 E. CATALDO				2d Business coo	de (see instructions)			
	ENACRES	WA 99016-9			339900				
3a Plan administrator's name and address ☐ Same as Plan Sponsor. PROGRESS TOOL & DIE					3b Administrator's EIN 91-1248431				
1910	19103 E. CATALEO								
	GREENACRES WA 99016-9490 509-922-4490								
4 If the this p	name and/or EIN of the lan, enter the plan spon	plan sponsor or the plan name ha	s changed since the last r	eturn/report filed for	4b EIN	A Company of the Comp			
this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name C Plan Name					4d PN				
5a Total	number of participants	at the beginning of the plan year			5a	10			
				1	5a 5b	19			
c Numb	 b Total number of participants at the end of the plan year c Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) 					19 6			
		ticipants at the beginning of the pla			5d(1)	19			
	d(2) Total number of active participants at the end of the plan year					19			
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5d(2) 5e	0			
SB or Sche	alties of perjury and oth	r incomplete filing of this return er penalties set forth in the instruct d signed by an enrolled actuary, as	report will be assessed	unless reasonable cau	nort including if an	plicable a Schodule			
SIGN	feller 1	Ban	10/15/2019	Alan Barnes					
HERE	Signature of plan ad	Iministrator	Date	Enter name of individu	ual signing as plan a	administrator			
SIGN									
HERE	Signature of employ	er/plan sponsor	Date	Enter name of individu	Enter name of individual signing as employer or plan spons				