Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2018

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report
- [X] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [X]

D Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
ROCKWELL COLLINS PENSION PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 12/06/1996

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
ROCKWELL COLLINS, INC.

4 FARM SPRINGS ROAD
FARMINGTON, CT 06032

2b Employer Identification Number (EIN)
52-2314475

2c Plan Sponsor's telephone number
860-728-7000

2d Business code (see instructions)
335900

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/15/2019	ROSA BARONE
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/15/2019	ROSA BARONE
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a	Plan administrator's name and address [X] Same as Plan Sponsor	**3b**	Administrator's EIN
		3c	Administrator's telephone number
4	If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: **a** Sponsor's name **c** Plan Name	**4b**	EIN
		4d	PN
5	Total number of participants at the beginning of the plan year	**5**	27566
6	Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1)	Total number of active participants at the beginning of the plan year	**6a(1)**	7965
a(2)	Total number of active participants at the end of the plan year	**6a(2)**	0
b	Retired or separated participants receiving benefits	**6b**	0
c	Other retired or separated participants entitled to future benefits	**6c**	0
d	Subtotal. Add lines **6a(2)**, **6b**, and **6c**	**6d**	0
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	0
f	Total. Add lines **6d** and **6e**.	**6f**	0
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	
h	Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	0
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

1A 1C 1I 3F 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)		**9b** Plan benefit arrangement (check all that apply)	
(1)	[X] Insurance	**(1)**	[X] Insurance
(2)	[ ] Code section 412(e)(3) insurance contracts	**(2)**	[ ] Code section 412(e)(3) insurance contracts
(3)	[X] Trust	**(3)**	[X] Trust
(4)	[ ] General assets of the sponsor	**(4)**	[ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [X] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [X] 3 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2018 Form M-1 annual report. If the plan was not required to file the 2018 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2018

This Form is Open to Public Inspection

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A Name of plan
ROCKWELL COLLINS PENSION PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
ROCKWELL COLLINS, INC.

D Employer Identification Number (EIN)
52-2314475

Part I **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
PRUDENTIAL RETIREMENT INSURANCE AND ANNUITY COMPANY

(b) EIN	**(c)** NAIC code	**(d)** Contract or identification number	**(e)** Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	**(g)** To
06-1050034	93629	016729B	0	01/01/2018	12/31/2018

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	**(b)** Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	
5	Current value of plan's interest under this contract in separate accounts at year end	5	

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	6d	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☒ other ▶ GUARANTEED GENERAL ACCOUNT CONTRACT

b	Balance at the end of the previous year			7b	1585116
c	Additions: (1) Contributions deposited during the year	7c(1)			
	(2) Dividends and credits	7c(2)			
	(3) Interest credited during the year	7c(3)	47310		
	(4) Transferred from separate account	7c(4)			
	(5) Other (specify below)	7c(5)			
	▶				
	(6) Total additions			7c(6)	47310
d	Total of balance and additions (add lines **7b** and **7c(6)**)			7d	1632426
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	183022		
	(2) Administration charge made by carrier	7e(2)			
	(3) Transferred to separate account	7e(3)			
	(4) Other (specify below)	7e(4)	1449404		
	▶ CONTRACT EXPENSE CHARGE, ELECTIVE SERVICE CHARGE, AND TANSFERS OUT				
	(5) Total deductions			7e(5)	1632426
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			7f	0

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- **a** ☐ Health (other than dental or vision)
- **b** ☐ Dental
- **c** ☐ Vision
- **d** ☐ Life insurance
- **e** ☐ Temporary disability (accident and sickness)
- **f** ☐ Long-term disability
- **g** ☐ Supplemental unemployment
- **h** ☐ Prescription drug
- **i** ☐ Stop loss (large deductible)
- **j** ☐ HMO contract
- **k** ☐ PPO contract
- **l** ☐ Indemnity contract
- **m** ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	0
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	0
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.			**10b**	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2018

This Form is Open to Public Inspection

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A Name of plan
ROCKWELL COLLINS PENSION PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
ROCKWELL COLLINS, INC.

D Employer Identification Number (EIN)
52-2314475

Part I **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
PRUDENTIAL RETIREMENT INSURANCE AND ANNUITY COMPANY

(b) EIN	**(c)** NAIC code	**(d)** Contract or identification number	**(e)** Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	**(g)** To
06-1050034	93629	016729A	0	01/01/2018	12/31/2018

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	**(b)** Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

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(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

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(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	
5	Current value of plan's interest under this contract in separate accounts at year end	5	

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	6d	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☒ other ▶ GUARANTEED GENERAL ACCOUNT CONTRACT

b	Balance at the end of the previous year			7b	949777
c	Additions: (1) Contributions deposited during the year	7c(1)			
	(2) Dividends and credits	7c(2)			
	(3) Interest credited during the year	7c(3)	29804		
	(4) Transferred from separate account	7c(4)			
	(5) Other (specify below)	7c(5)			
	▶				
	(6) Total additions			7c(6)	29804
d	Total of balance and additions (add lines **7b** and **7c(6)**)			7d	979581
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	20127		
	(2) Administration charge made by carrier	7e(2)			
	(3) Transferred to separate account	7e(3)			
	(4) Other (specify below)	7e(4)	959454		
	▶ CONTRACT EXPENSE CHARGE, ELECTIVE SERVICE CHARGE, AND TRANSFERS OUT				
	(5) Total deductions			7e(5)	979581
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			7f	0

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- **a** ☐ Health (other than dental or vision)
- **b** ☐ Dental
- **c** ☐ Vision
- **d** ☐ Life insurance
- **e** ☐ Temporary disability (accident and sickness)
- **f** ☐ Long-term disability
- **g** ☐ Supplemental unemployment
- **h** ☐ Prescription drug
- **i** ☐ Stop loss (large deductible)
- **j** ☐ HMO contract
- **k** ☐ PPO contract
- **l** ☐ Indemnity contract
- **m** ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	0
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	0
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.			**10b**	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2018

This Form is Open to Public Inspection

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A Name of plan
ROCKWELL COLLINS PENSION PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
ROCKWELL COLLINS, INC.

D Employer Identification Number (EIN)
52-2314475

Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions

Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
THE PRUDENTIAL INSURANCE COMPANY OF AMERICA

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
22-1211670	68241	GA-48070	0	01/01/2018	12/31/2018

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

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	(c) Amount	**(d)** Purpose	

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	(c) Amount	**(d)** Purpose	

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(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	**4**	
5	Current value of plan's interest under this contract in separate accounts at year end	**5**	

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	**6b**	-727881
c	Premiums due but unpaid at the end of the year	**6c**	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	**6d**	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year			**7b**	0
c	Additions: (1) Contributions deposited during the year	**7c(1)**			
	(2) Dividends and credits	**7c(2)**			
	(3) Interest credited during the year	**7c(3)**			
	(4) Transferred from separate account	**7c(4)**			
	(5) Other (specify below)	**7c(5)**			
	▶				
	(6) Total additions			**7c(6)**	0
d	Total of balance and additions (add lines **7b** and **7c(6)**).			**7d**	0
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	**7e(1)**			
	(2) Administration charge made by carrier	**7e(2)**			
	(3) Transferred to separate account	**7e(3)**			
	(4) Other (specify below)	**7e(4)**			
	▶				
	(5) Total deductions			**7e(5)**	0
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			**7f**	0

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- **a** ☐ Health (other than dental or vision)
- **b** ☐ Dental
- **c** ☐ Vision
- **d** ☐ Life insurance
- **e** ☐ Temporary disability (accident and sickness)
- **f** ☐ Long-term disability
- **g** ☐ Supplemental unemployment
- **h** ☐ Prescription drug
- **i** ☐ Stop loss (large deductible)
- **j** ☐ HMO contract
- **k** ☐ PPO contract
- **l** ☐ Indemnity contract
- **m** ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	0
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	0
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.			**10b**	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE SB (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Single-Employer Defined Benefit Plan Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500 or 5500-SF.**

OMB No. 1210-0110

2018

This Form is Open to Public Inspection

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of $1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan
ROCKWELL COLLINS PENSION PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF
ROCKWELL COLLINS, INC.

D Employer Identification Number (EIN)
52-2314475

E Type of plan: [X] Single [ ] Multiple-A [ ] Multiple-B

F Prior year plan size: [ ] 100 or fewer [ ] 101-500 [X] More than 500

Part I Basic Information

1 Enter the valuation date: Month 01 Day 01 Year 2018

2 Assets:

a Market value	**2a**	3602482180
b Actuarial value	**2b**	3602482180

3 Funding target/participant count breakdown

	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a For retired participants and beneficiaries receiving payment	12850	2084307723	2084307723
b For terminated vested participants	6475	295534033	295534033
c For active participants	8284	553147380	571589740
d Total	27609	2932989136	2951431496

4 If the plan is in at-risk status, check the box and complete lines (a) and (b) [ ]

a Funding target disregarding prescribed at-risk assumptions	**4a**	
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	**4b**	
5 Effective interest rate	**5**	5.63 %
6 Target normal cost	**6**	8129895

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE

Signature of actuary	Date: 07/30/2019
STEVEN J VERGULDI	17-07161
Type or print name of actuary	Most recent enrollment number
WILLIS TOWERS WATSON US LLC	703-258-8036
Firm name	Telephone number (including area code)
800 NORTH GLEBE ROAD FLOOR 10 ARLINGTON, VA 22203	
Address of the firm	

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions [ ]

Part II Beginning of Year Carryover and Prefunding Balances

		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	193403868
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	22000000
9	Amount remaining (line 7 minus line 8)	0	171403868
10	Interest on line 9 using prior year's actual return of 19.73 %	0	33817983
11	Prior year's excess contributions to be added to prefunding balance:		
	a Present value of excess contributions (line 38a from prior year)		397028861
	b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of 5.84%		21901685
	b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		4340600
	c Total available at beginning of current plan year to add to prefunding balance		423271146
	d Portion of (c) to be added to prefunding balance		423271146
12	Other reductions in balances due to elections or deemed elections	0	0
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	628492997

Part III Funding Percentages

14	Funding target attainment percentage	14	100.76%
15	Adjusted funding target attainment percentage	15	121.32%
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	91.32%
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.	17	%

Part IV Contributions and Liquidity Shortfalls

18 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
			Totals ► 18(b)	0	18(c) 0

19	Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:		
	a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
	b Contributions made to avoid restrictions adjusted to valuation date	19b	0
	c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	0

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? [X] Yes [ ] No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? [X] Yes [ ] No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year

(1) 1st	(2) 2nd	(3) 3rd	(4) 4th
0	0	0	0

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:

a Segment rates:	1st segment: 3.92%	2nd segment: 5.52%	3rd segment: 6.29%	☐ N/A, full yield curve used
b Applicable month (enter code)			**21b**	0
22 Weighted average retirement age			**22**	63

23 Mortality table(s) (see instructions)

Prior regulation:	☐ Prescribed - combined	☒ Prescribed - separate	☐ Substitute	
Current regulation:	☐ Prescribed - combined	☐ Prescribed - separate	☐ Substitute	

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No

25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No

26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No

27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	**27**	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	**28**	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	**29**	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	**30**	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):

a Target normal cost (line 6)	**31a**	8129895
b Excess assets, if applicable, but not greater than line 31a	**31b**	8129895

32 Amortization installments:

	Outstanding Balance	Installment
a Net shortfall amortization installment	0	0
b Waiver amortization installment	0	0

33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	**33**	
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)	**34**	0

	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	0	10780000	10780000

36 Additional cash requirement (line 34 minus line 35)	**36**	0
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	**37**	0

38 Present value of excess contributions for current year (see instructions)

a Total (excess, if any, of line 37 over line 36)	**38a**	0
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	**38b**	0
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	**39**	0
40 Unpaid minimum required contributions for all years	**40**	0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:

a Schedule elected ☐ 2 plus 7 years ☒ 15 years

b Eligible plan year(s) for which the election in line 41a was made ☐ 2008 ☒ 2009 ☒ 2010 ☐ 2011

SCHEDULE C (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Service Provider Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2018

This Form is Open to Public Inspection.

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A Name of plan
ROCKWELL COLLINS PENSION PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
ROCKWELL COLLINS, INC.

D Employer Identification Number (EIN)
52-2314475

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions). . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HOTCHKIS & WILEY CAPITAL MANAGEMENT

95-4871957

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

CAUSEWAY CAPITAL MANAGEMENT LLC

95-4861680

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

BNY MELLON

13-5160382

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

LAZARD ASSET MANAGEMENT

05-0530199

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

STANDARD LIFE INVESTMENTS

98-0631992

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

WELLS CAPITAL MANAGEMENT

95-3692822

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

VICTORY CAPITAL MANAGEMENT

13-2700161

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WELLS CAPITAL MANAGEMENT

95-3692822

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68	NONE	853117	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

EPOCH INVESTMENT PARTNERS

20-1003862

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	809484	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

VICTORY CAPITAL MANAGEMENT

13-2700161

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68 99	NONE	763379	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [ ] No [X]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

STANDARD LIFE INVESTMENTS

98-0631992

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68	NONE	690783	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

PRIMECAP MANAGEMENT COMPANY

95-3868081

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	607552	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

LAZARD ASSET MANAGEMENT

05-0530199

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68	NONE	568155	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [ ] No [X]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

PARAMETRIC PORTFOLIO ASSOCIATES LLC

20-0292745

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	494117	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

LOOMIS SAYLES & COMPANY, L.P.

04-3200030

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	447516	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

MELLON CAPITAL MANAGEMENT

25-6078093

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 19 71 21	NONE	440779	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

HOTCHKIS & WILEY CAPTIAL MANAGEMENT

95-4871957

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68	NONE	427544	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

JPMORGAN INVESTMENT MANAGEMENT INC

13-3200244

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	347258	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

WILLIS TOWERS WATSON

53-0181291

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 50 49	NONE	314078	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

STATE STREET GLOBAL ADVISORS

81-4017137

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 28 51	NONE	247063	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

STANDISH MELLON ASSET MGT LLC

25-1890416

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	233396	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

NEPC

26-1429809

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16 70 50	NONE	142500	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

BNY MELLON ASSET SERVICING

13-5160382

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 50 62 64 99	NONE	194931	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

CAUSEWAY CAPITAL MANAGEMENT

95-4861680

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 56 68	NONE	125941	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

NISA INVESTMENT ADVISORS

48-1140940

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	121816	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ALLEGIS GLOBAL SOLUTIONS

52-2358435

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
49 50	NONE	73810	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

GRANT THORNTON LLP

36-6055558

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 50	NONE	37687	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

SEYFARTH SHAW

36-2152202

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29 50	NONE	37316	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WELLS FARGO BANK

94-2984230

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
65 50	NONE	19308	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

CEDAR GRAPHICS

42-1280051

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
36 99 50	NONE	18194	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

PRICEWATERHOUSECOOPERS LLC

13-4008324

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 50	NONE	6090	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2018

This Form is Open to Public Inspection.

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A Name of plan
ROCKWELL COLLINS PENSION PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
ROCKWELL COLLINS, INC.

D Employer Identification Number (EIN)
52-2314475

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: SLI GL AB RTN STRAT MASTER FD LTD

b Name of sponsor of entity listed in (a): STANDARD LIFE INVESTMENTS CORPORATE FUNDS LIMITED

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
98-0631992-001	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE: 5-20 YR US HIGH QUALITY CORP BD NL

b Name of sponsor of entity listed in (a): STATE STREET BANK AND TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
90-0337987-297	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE: JPMCB EXTENDED DURATION FUND

b Name of sponsor of entity listed in (a): JP MORGAN CHASE BANK, N.A.

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
26-0356040-001	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE: PARAMETRIC DEFENSIVE EQUITY FD LLC

b Name of sponsor of entity listed in (a): PARAMETRIC PORTFOLIO ASSOCIATES LLC

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
45-2531297-001	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE: LONG US TREASURY INDEX NL FD

b Name of sponsor of entity listed in (a): STATE STREET BANK AND TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
04-0025081-479	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE: 20 YR US HIGH QUALITY CORP BD NL FD

b Name of sponsor of entity listed in (a): STATE STREET BANK AND TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
90-0337987-296	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE: BNYM MCM DYNAMIC US EQUITY FUND

b Name of sponsor of entity listed in (a): THE BANK OF NEW YORK MELLON

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
25-6078093-309	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE: LAZARD EM MKTS SM CAP EQ TRUST

b Name of sponsor of entity listed in (a): LAZARD ASSET MGMT LLC

c EIN-PN 45-3626181-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2018

This Form is Open to Public Inspection

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A Name of plan
ROCKWELL COLLINS PENSION PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
ROCKWELL COLLINS, INC.

D Employer Identification Number (EIN)
52-2314475

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	283227	0
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	385478000	0
(2) Participant contributions	1b(2)		
(3) Other	1b(3)	27290617	0
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	6339625	0
(2) U.S. Government securities	1c(2)	72597035	0
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	513352685	0
(B) All other	1c(3)(B)	327347390	0
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	18978847	0
(B) Common	1c(4)(B)	941155820	0
(5) Partnership/joint venture interests	1c(5)	29968	0
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)	963427182	0
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	354632085	0
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	2534893	0
(15) Other	1c(15)	302244002	0

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)		
	(2) Employer real property	1d(2)		
e	Buildings and other property used in plan operation	1e		
f	Total assets (add all amounts in lines 1a through 1e)	1f	3915691376	0
	Liabilities			
g	Benefit claims payable	1g		
h	Operating payables	1h	2384008	0
i	Acquisition indebtedness	1i	2102160	0
j	Other liabilities	1j	296983331	0
k	Total liabilities (add all amounts in lines 1g through1j)	1k	301469499	0
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	3614221877	0

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)		
	(B) Participants	2a(1)(B)		
	(C) Others (including rollovers)	2a(1)(C)		
	(2) Noncash contributions	2a(2)		
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		0
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	733397	
	(B) U.S. Government securities	2b(1)(B)	7523639	
	(C) Corporate debt instruments	2b(1)(C)	33730527	
	(D) Loans (other than to participants)	2b(1)(D)		
	(E) Participant loans	2b(1)(E)		
	(F) Other	2b(1)(F)	288259	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		42275822
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)	287716	
	(B) Common stock	2b(2)(B)	17501833	
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	4165835	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		21955384
	(3) Rents	2b(3)		
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	3411175935	
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)	3493189918	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		-82013983
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
	(B) Other	2b(5)(B)	-93186800	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		-93186800

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		-54089955
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		-42733042
c Other income	2c		2491120
d Total income. Add all **income** amounts in column (b) and enter total	2d		-205301454
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	205343092	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		205343092
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: **(1)** Professional fees	2i(1)	395170	
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)	7177902	
(4) Other	2i(4)	2414845	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		9987917
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		215331009
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		-420632463
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		3193589414

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: GRANT THORNTON LLP **(2)** EIN: 36-6055558

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		25000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i		X	
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j	X		
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k	X		
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m			
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)
UNITED TECHNOLOGIES CORPORATION LEGACY RETIREMENT PLAN	06-0570975	040

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ☒ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 4162960. (See instructions.)

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2018

This Form is Open to Public Inspection.

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A Name of plan
ROCKWELL COLLINS PENSION PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
ROCKWELL COLLINS, INC.

D Employer Identification Number (EIN)
52-2314475

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 23-2871599

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3** 77

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☒ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☒ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☒ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: 34.0 % Investment-Grade Debt: 55.0 % High-Yield Debt: 6.0 % Real Estate: 0.0 % Other: 5.0 %

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☒ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☒ Modified duration ☐ Other (specify):

Financial Statements and Report of Independent Certified Public Accountants

Rockwell Collins Pension Plan

December 31, 2018 and 2017

EIN#: 52-2314475, Plan #: 001

Contents

	Page
Report of Independent Certified Public Accountants	3
Financial Statements	
Statements of Net Assets Available for Benefits	5
Statements of Changes in Net Assets Available for Benefits	6
Notes to Financial Statements	7
Supplemental Information	
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)(Acquired and Disposed of Within the Year)	18
Form 5500, Schedule H, Part IV, Line 4j - Schedule of Reportable Transactions	45

GRANT THORNTON LLP
201 South College Street
Suite 2500
Charlotte, NC 28244

D +1 704 632 3500
F +1 704 334 7701

REPORT OF INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS

Trustees
Rockwell Collins Pension Plan

Report on the financial statements

We were engaged to audit the accompanying financial statements of Rockwell Collins Pension Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2018 and 2017, and the related statements of changes in net assets available for benefits for the years ended December 31, 2018 and 2017, and the related notes to the financial statements.

Management's responsibility for the financial statements
Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's responsibility
Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for disclaimer of opinion
As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the certified information described in Note 8, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan administrator that the certifying entity met the requirements of 29 CFR 2520.103-8. The Plan administrator obtained certifications from this entity as of December 31, 2018 and 2017, and for the years ended December 31, 2018 and 2017, stating that the certified information provided to the Plan administrator is complete and accurate.

Disclaimer of opinion
Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Grant Thornton LLP is the U.S. member firm of Grant Thornton International Ltd (GTIL). GTIL and each of its member firms are separate legal entities and are not a worldwide partnership.

Supplementary information
The supplemental schedules of assets (held at end of year) (acquired and disposed of within the year) and reportable transactions for the year ended December 31, 2018 are presented for purposes of additional analysis and are not a required part of the financial statements, but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules.

Report on form and content in compliance with DOL rules and regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the certified information described in Note 8, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Grant Thornton LLP

Charlotte, North Carolina
October 11, 2019

Rockwell Collins Pension Plan

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

December 31,

	2018	2017
Assets		
Non-interest bearing cash	$ -	$ 283,227
Investments, at fair value	-	3,205,701,176
	-	3,205,984,403
Receivables		
Company contributions	-	385,478,000
Cost claim settlement	-	13,121,902
Sales of security	-	2,030,534
Accrued interest and dividends	-	12,138,181
Total receivables	-	412,768,617
Collateral held under securities lending agreement	-	296,938,356
Total assets	-	3,915,691,376
Liabilities		
Liability return collateral held under securities lending agreement	-	296,938,356
Purchase securities	-	2,102,160
Accrued administrative expense	-	2,384,008
Other	-	44,975
Total liabilities	-	301,469,499
NET ASSETS AVAILABLE FOR BENEFITS	$ -	$ 3,614,221,877

The accompanying notes are an integral part of these statements.

Rockwell Collins Pension Plan

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

For the years ended December 31,

	2018	2017
Additions		
Investment income (loss)		
Interest and dividends	$ 66,701,971	$ 64,127,594
Net appreciation (depreciation) in fair value of investments	(274,494,545)	490,965,259
Other income	2,491,120	2,562,894
Net investment income (loss)	(205,301,454)	557,655,747
Employer contributions	-	440,478,000
Cost claim settlement	-	13,121,902
	-	453,599,902
Deductions		
Benefits paid to participants or beneficiaries	205,343,092	208,506,698
Administrative and investment expenses	9,987,917	26,837,618
Group annuity purchase	-	102,800,000
Total deductions	215,331,009	338,144,316
NET INCREASE (DECREASE) BEFORE TRANSFER	(420,632,463)	673,111,333
Transfer to United Technologies Corporation Legacy Plan	(3,193,589,414)	-
NET INCREASE (DECREASE) AFTER TRANSFER	(3,614,221,877)	673,111,333
NET ASSETS AVAILABLE FOR BENEFITS		
Beginning of year	3,614,221,877	2,941,110,544
End of year	$ -	$ 3,614,221,877

The accompanying notes are an integral part of these statements.

NOTE 1 - DESCRIPTION OF PLAN

This brief description of the Rockwell Collins Pension Plan (the Plan or RCPP) is provided for general information purposes only. Participants should refer to the Plan document for more complete information.

General

The Plan is a defined benefit plan sponsored by Rockwell Collins, Inc. through November 26, 2018. On November 26, 2018, Rockwell Collins, Inc. was acquired by United Technologies Corporation (UTC) and Plan sponsorship transferred to UTC. The Bank of New York Mellon (Trustee) serves as the trustee of the Plan. The Trustee, along with several investment managers, manages the Plan's investment assets. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended (ERISA).

Effective December 31, 2018, the Plan merged into the United Technologies Corporation Legacy Plan. The transaction is reported in the Statement of Changes in Net Assets Available for Benefits for the year ended December 31, 2018 as a transfer out, in the amount of $3,193,589,414.

Pension Benefits

The Plan consists of various sub-plans, which represent individual plans that have merged into the Plan over time. Generally, participants become fully vested after five years of service with no partial vesting. The Plan provides for normal retirement benefits upon reaching age 65, with certain sub-plans providing these benefits at age 62. The Plan also has provisions for early retirement, disability retirement, and death benefits and provides benefits upon meeting certain other conditions. Joint and survivor or single life annuities provide the typical form of payment. Other optional forms of payment are also available.

The various sub-plans use various benefit formulas. The amount of a participant's benefit depends on the specific sub-plan provisions where he or she earned the benefit. Pension benefits are generally calculated using formulas that primarily consider the participant's years of credited service, annual earnings, final pay and other factors as stated in the Plan documents for each sub-plan.

The benefit formulas for the largest sub-plans are listed below. The majority of the other sub-plans have benefit formulas based upon years of credited service, as defined by the sub-plans, multiplied by a benefit unit. Additionally, the Plan includes one sub-plan offering a cash balance component.

The normal retirement benefit for the Certain Salaried Plan and the Electronics Salaried Plan as defined in the Plan documents is the greater of (1) the Career Earnings Formula, (2) the Alternate Benefit Formula or (3) the Minimum Benefit Formula. Under each benefit computation, the retirement benefit is a percentage of earnings or average annual earnings multiplied or adjusted by years of credited service.

The normal retirement benefit for the Collins Production Plan is based on a two-part formula. Benefits accrued through April 30, 1992 are based on a final pay formula using earnings and credited service through that date. Benefits accrued after April 30, 1992 are based on a benefit unit that varies by labor grade and union multiplied by credited service earned after that date.

The Plan sponsor amended the Plan in June 2003 to discontinue benefit accruals for services rendered after September 30, 2006 for all new and existing salaried and hourly employees not covered by collective bargaining agreements.

In July 2017, an agreement to purchase a group annuity contract was entered into by the Plan, which transferred approximately $103 million of Plan assets to an insurance company. The agreement transferred the pension liability and administrative responsibilities to the insurance company for nearly 3,800 participants receiving monthly pension benefits of approximately $300 or less, or who were participants in certain frozen sub-plans.

On May 31, 2018, the Plan sponsor received $13.1 million from the U.S. government as a settlement for claimed pension costs related to a segment closing. The proceeds from this settlement were deposited into the Plan's trust.

In May 2018, agreements were reached between the Plan sponsor and the International Brotherhood of Electrical Workers locals 1362 (IBEW 1362), and 1634 (IBEW 1634), as well as the International Union of Electronic, Electrical, Salaried, Machine and Furniture Workers - Communications Workers of America local 86787 (IUE-CWA 86787). All three agreements close participation in the defined benefit plan to employees hired, rehired or transferred into each respective bargaining unit on or after May 5, 2018. Instead, these employees will receive a 62.5% employer match on the first 8% of eligible compensation contributed to the separate defined contribution plan plus an employer non-elective contribution of 3% of eligible pay.

In October 2018, agreements were reached between the Plan sponsor and the Airline Divisions of the Brotherhood of Teamsters, Chauffeurs, Warehousemen and Helpers of America (IMS Teamsters). All agreements close participation in the defined benefit plan to employees hired, rehired or transferred into each bargaining unit on or after October 1, 2018. Instead, these employees will receive a 62.5% employer match on the first 8% of eligible compensation contributed to the separate defined contribution plan plus an employer non-elective contribution of 3% of eligible pay.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America (U.S. GAAP).

Use of Estimates

The preparation of financial statements in conformity with U.S. GAAP requires the Plan's management to make estimates and assumptions that affect the reported amounts of assets, liabilities and changes therein, disclosure of contingent assets and liabilities and the actuarial present value of accumulated plan benefits and changes therein at the date of the financial statements. Actual results could differ from those estimates.

Risks and Uncertainties

The Plan invests in various investment securities. Investment securities, in general, are exposed to various risks, such as interest rate, credit risk and overall market volatility. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur and that such changes could materially affect the amounts reported in the financial statements.

Plan contributions are made and the actuarial present value of accumulated plan benefits are reported based on certain assumptions pertaining to interest rates, inflation rates, and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonably possible that changes in these estimates and assumptions in the near term would be material to the financial statements.

Investment Valuation and Income Recognition

Investments of the Plan are presented at fair value. If available, investments are valued at quoted market prices on the measurement date. Common stock and registered investment companies are valued at the closing price reported on the active market on which the individual securities are traded. Preferred stock is valued by the Trustee's third-party vendor using a multi-dimensional relation model and exchange-traded quotes. If prices of fixed income securities are not readily available or do not accurately reflect fair value, then fixed income securities are valued based on a matrix pricing model in which all significant inputs are observable or can be derived from or corroborated by observable market data such as interest rates, yield curves, volatilities, credit risk and prepayment speeds. In instances where quoted market prices are not available, securities are stated at fair value as determined by independent investment brokerage firms. Collective trust funds are stated at net asset value as determined by the issuer of the collective trust funds based on the fair value of the underlying investments, which are generally readily available. Interest-bearing cash is cash denominated in foreign currencies and measured in U.S. dollars. Other investments mainly consist of municipal and sovereign bonds and are priced using the same method as fixed income securities.

The fair values of collective trusts are based on net asset values (NAVs). The NAVs, as obtained from the audited financial statements of the collective trusts at year end, are used as a practical expedient to estimate fair value. Each NAV is based on underlying investments held by each collective trust. The strategy of the funds is to approximate as closely as possible, the performance of a custom benchmark index over the long term, before expenses, while providing participants the ability to purchase and redeem units on an "as of" basis. As of December 31, 2018 and 2017, the funds had no unfunded commitments. Funds may be redeemed immediately and no other redemption restrictions exist for these funds.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded as earned. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold, as well as held, during the year.

Repurchase agreements are recorded as collateralized financing transactions. The Plan receives collateral with a market value equal to or in excess of the principal amount loaned under the resale agreements. On a daily basis, the Plan manages its risk by calculating the market value of each position and comparing it to the contract amounts, with any difference to be settled by the counterparty. Repurchase agreements are presented as gross in the Plan's statements of net assets available for benefits. As of December 31, 2018 and 2017, amounts related to the repurchase agreement were $0 and $1,644,016, respectively.

Administrative Expenses

Expenses incurred in the administration of the Plan are paid by the Plan, except to the extent that the Plan sponsor elects to pay the expenses at its discretion.

Payment of Benefits

Benefit payments to participants or beneficiaries are recorded upon distribution.

NOTE 3 - FUNDING POLICY

Contributions to provide benefits under the Plan are made solely by the Plan sponsor. The Plan sponsor's policy is to fund the Plan as required by governmental agencies and to consider discretionary contributions as conditions warrant. The Plan sponsor met the minimum funding requirements for both 2018 and 2017.

NOTE 4 - ACCUMULATED PLAN BENEFITS

Accumulated plan benefits are those future benefit payments attributable under the Plan's provisions to service that employees have rendered, and shall be paid (1) to retirees or terminated vested employees who retire, (2) to the beneficiaries of such retirees or terminated employees and (3) covered present employees or their beneficiaries. The actuarial present value of accumulated plan benefits have been determined by the Plan's independent actuary, and is that amount which results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements for death, disability, withdrawal, or retirement) between the benefit information date and the expected payment dates.

The actuarial present value of the Plan's accumulated plan benefits (rounded to the nearest thousand) as of December 31, 2017, the most recent valuation date, is as follows:

Vested benefits:	
Participants and/or beneficiaries currently receiving benefit payments	$ 1,959,339,000
Other participants	742,530,000
Total vested benefits	2,701,869,000
Nonvested benefits	16,025,000
Total actuarial present value of accumulated plan benefits	$ 2,717,894,000

The change in the actuarial present value of the Plan's accumulated plan benefits (rounded to the nearest thousand) for the year ended December 31, 2017 is as follows:

Actuarial present value of accumulated plan benefits at January 1, 2017	$ 2,824,535,000
Increase (decrease) during the year attributable to:	
Benefit payments	(208,507,000)
Annuity purchase premium	(102,029,000)
Benefits accumulated	4,049,000
Assumption change – mortality	(19,003,000)
Actuarial losses	32,515,000
Increase for interest due to decrease in the discount period	186,334,000
Net decrease	(106,641,000)
Actuarial present value of accumulated plan benefits at December 31, 2017	$ 2,717,894,000

The significant actuarial assumptions used in determining the Plan's accumulated plan benefits as of December 31, 2017 are as follows:

Discount rate:	7.0% compounded annually
Mortality:	RP-2014 table (sex distinct), adjusted for Plan experience, and the MP-2016 improvement scale
Retirement:	Weighted average retirement age - 63

The foregoing actuarial assumptions are based on the presumption that the Plan will continue. If the Plan were to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated Plan benefits. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonably possible that certain changes in these estimates and assumptions could be material to the financial statements.

In connection with the Plan merger discussed in Note 1, the actuarial present value of accumulated plan benefits transferred to the United Technologies Corporation Legacy Plan as of December 31, 2018.

NOTE 5 - DERIVATIVE FINANCIAL INSTRUMENTS

Derivative financial instruments are used by portfolio investment managers primarily to hedge the currency risk when transactions are settled in currencies where a liquidity issue may change the value of the settlement. Only the investment managers dedicated to the international investments are permitted to use foreign currency exchange contracts to hedge these exposures. Leveraging of the Plan's assets and speculation are prohibited. The Plan also uses futures contracts to manage market risk associated with the Plan's fixed income investments.

U.S. Treasury Futures Contracts

The Plan utilizes U.S. Treasury futures contracts to extend the duration of certain fixed income investments in order to match the profile of the Plan's accumulated plan benefit liability. The terms of these contracts may extend for either 5, 10 or 30 years. The contracts are traded on the Chicago Board of Trade. The credit risk associated with these contracts is minimal as they are entered into with a highly-rated counterparty.

Upon entering into a futures contract, the Plan is required to deposit either in cash or securities an amount equal to a certain percentage of the nominal value of the contract. Subsequent payments are then made or received by the Plan, depending on the daily fluctuation in the value of the underlying contracts. Securities on deposit as of December 31, 2018 and 2017 totaled $0 and $383,961, respectively. These investments were held by the Plan's brokers as performance security on futures contracts.

The futures contracts are classified in the statements of net assets available for benefits as investments, at fair value. Notional amounts of outstanding future contracts with a long position were $0 and those with a short position were $0 as of December 31, 2018. Notional amounts of outstanding future contracts with a long position were $24,800,000 and those with a short position were $21,400,000 as of December 31, 2017. The number of futures contracts was 0 and 462 as of December 31, 2018 and 2017, respectively.

Fair Value of Derivative Instruments

Fair values of derivative instruments in the statements of net assets available for benefits as of December 31, 2018 and 2017 are as follows:

		2018		2017	
	Classification	Asset Derivatives	Liability Derivatives	Assets Derivatives	Liability Derivatives
U.S. Treasury futures contracts	Investments at fair value	$ -	$ -	$ 67,616	$ -
Total		$ -	$ -	$ 67,616	$ -

The effect of derivative instruments on the statements of changes in net assets available for benefits for the years ended December 31, 2018 and 2017 is as follows:

	Location of Gain	2018	2017
U.S. Treasury futures contracts	Net appreciation in fair value of investments	$ -	$ 718,796
		$ -	$ 718,796

NOTE 6 - TAX STATUS

The Internal Revenue Service (IRS) has determined and informed the Plan sponsor by a letter dated June 29, 2018 that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code (IRC). The Plan sponsor believes the Plan is currently designed and being operated in compliance with the applicable requirements of the IRC, except for noncompliance with certain requirements. On May 13, 2016, the Plan sponsor filed an application (the Application) for a compliance statement from the IRS under its VCP, with respect to this noncompliance. At the time of the Application, the Plan sponsor had amended and restated the Plan and submitted the Plan to the IRS for a new determination letter. The Plan sponsor believes that by taking these corrective actions, the Plan's trust will continue to be tax-exempt. As a result, no provision for income taxes has been included in the Plan's financial statements.

U.S. GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more-likely-than-not would not be sustained upon examination by the IRS. The Plan sponsor has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2018, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions and, currently there are no examinations in progress.

NOTE 7 - DEPARTMENT OF LABOR EXAMINATION

Currently the Department of Labor is conducting an examination on the Plan. The years under examination are 2014 through 2016. The Plan is complying with all the Department of Labor requests and awaits the resolution of the examination.

NOTE 8 - INFORMATION CERTIFIED BY THE TRUSTEE

The Plan sponsor has elected a limited scope audit as permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, information regarding the Plan investments at fair value and net investment income (loss) as of and for the years ended December 31, 2018 and 2017, included in the Plan's financial statements and supplemental schedules, were prepared by or derived from information prepared by the Trustee, and furnished to the Plan administrator. The Plan administrator has certifications from the trustee that such information is complete and accurate.

The Plan's independent accountants did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and supplemental schedules.

NOTE 9 - FAIR VALUE MEASUREMENT

Financial Accounting Standards Board (FASB), Accounting Standards Codification (ASC) 820, Fair Value Measurement, establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level I measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy under ASC 820 are described below:

Level 1 - Inputs to the valuation methodology are unadjusted quoted prices for identical instruments in active markets.

Level 2 - Inputs to the valuation methodology include quoted prices for similar instruments in active markets: quoted prices for identical or similar instruments in inactive markets, and model-derived valuations whose significant inputs are observable.

Level 3 - Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The instruments fair value measurement level within the fair value hierarchy is based upon the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

There have been no changes in the methodologies used as of December 31, 2018 and 2017. A description of the valuation methodologies used for assets measured at fair value is included in Note 2 to the financial statements. The methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values.

Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain instruments could result in a different fair value measurement at the reporting date.

Due to the merger discussed in Note 1, there were no assets held by the Plan at December 31, 2018. The following table sets forth by level, within the fair value hierarchy, the Plan's investments at fair value as of December 31, 2017:

	Fair Value Measurements at December 31, 2017, using				
	Quoted prices in active markets (Level 1)	Significant other observable inputs (Level 2)	Significant observable inputs (Level 3)	NAV as a practical expedient	Total
Interest-bearing cash	$ -	$ 6,339,625	$ -	$ -	$ 6,339,625
U.S. government securities	49,874,781	22,722,254	-	-	72,597,035
Corporate debt	-	840,700,075	-	-	840,700,075
Preferred stock	12,405,924	6,572,923	-	-	18,978,847
Common stock	941,155,820	-	-	-	941,155,820
Partnership	-	-	29,968	-	29,968
Registered investment companies	354,632,085	-	-	-	354,632,085
Insurance company general account contracts	-	-	2,534,893	-	2,534,893
Other	-	5,305,646	-	-	5,305,646
Total assets in fair value hierarchy	1,358,068,610	881,640,523	2,564,861	-	2,242,273,994
Investments measured at net asset value	-	-	-	963,427,182	963,427,182
Total investments at fair value	$ 1,358,068,610	$ 881,640,523	$ 2,564,861	$ 963,427,182	$ 3,205,701,176

Changes in economic conditions or model-based valuation techniques may require the transfer of financial instruments from one fair value level to another. In such instances, the transfer is reported at the beginning of the reporting period. Management evaluated the significance of transfers between levels based upon the nature of the financial instrument and size of the transfer relative to total net assets available for benefits. There were no investments held by the Plan that were transferred between levels during the years ended December 31, 2018 and 2017.

A summary of the Plan's investments with a reported NAV for the years ended December 31, 2018 and 2017, is as follows:

	Fair Value Estimated Using Net Asset Value per Share	
	2018	2017
SSGA 20+year US high quality bond	$ -	$ 229,901,899
BNY Mellon MCM dynamic U.S. equity fund	-	188,263,428
Parametric defensive equity	-	177,291,792
Standard life investments GBL	-	121,008,138
Lazard emerging markets small cap equity trust fund	-	93,124,378
SSGA 5-20 year US high quality	-	83,545,108
JPMCB extended duration fund	-	35,472,018
SSGA long US Treasury index NL	-	34,820,421
Total	$ -	$ 963,427,182

NOTE 10 - SECURITIES HELD UNDER LENDING AGREEMENT

The Plan participates in a securities lending program through its Trustee. Under this program, the Plan's investment securities may be loaned to investment brokers for a fee. Securities so loaned are fully collateralized by cash, letters of credit and securities issued or guaranteed by the U.S. government, its agencies and instrumentalities. At December 31, 2018 and 2017, $0 and $288,820,042, respectively, of the Plan's securities were on loan under the Trustee's securities lending program. The fair value of the collateral received as a percentage of the total current value of the securities on loan by the Plan was approximately 0 percent and 103% for the years ended December 31, 2018 and 2017, respectively, and income from the program was $0 and $1,131,671 for the years ended December 31, 2018 and 2017, respectively.

NOTE 11 - RELATED-PARTY TRANSACTIONS

The following are the Plan investments managed by the Trustee. Transactions with these parties qualify as exempt party-in-interest transactions:

- BNY Mellon Asset Servicing
- Standish Mellon Asset Management LLC
- BNY Mellon Cash Investment Strategies

Fees paid by the Plan for investment management services provided by the Trustee, totaled $1.4 million and $1.3 million for the years ended December 31, 2018 and 2017, respectively.

NOTE 12 - PLAN TERMINATION

Prior to the Plan’s merger (see Note 1), the Plan sponsor had the right under the Plan, in certain circumstances, to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event that the Plan had terminated, the net assets of the Plan would have been allocated for payment of Plan benefits to the participants in an order of priority determined in accordance with ERISA, applicable regulations thereunder, and the Plan document.

Certain benefits under the Plan are insured by the Pension Benefit Guaranty Corporation (PBGC) if the Plan terminates. The PBGC guarantees most vested normal age retirement benefits, early retirement benefits, and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination, subject to a statutory ceiling based on an individual's monthly benefit.

Whether all participants receive their benefits should the Plan be terminated at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide those benefits, the priority of those benefits to be paid and the level and type of benefits guaranteed by the PBGC at that time. Some benefits may be fully or partially provided for by the then existing assets and the PBGC guaranty while other benefits may not be provided for at all.

NOTE 13 - SUBSEQUENT EVENTS

Management has evaluated all subsequent events and transactions through October 11, 2019, the date the financial statements were available to be issued.

SUPPLEMENTAL INFORMATION

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
ANZ NEW ZEALAND DISC	03/08/2019	$ (987,546)	$ 987,970
AT&T INC	144A 5.150% 02/15/2050 DD 02/14/18	(1,656,331)	1,677,214
AIRBUS SE	144A 3.150% 04/10/2027 DD 04/10/17	(218,249)	217,923
ALABAMA POWER CO	3.700% 12/01/2047 DD 11/08/17	(257,666)	245,261
ALBERTSON'S	5/17 B5 TL	(1,411,514)	1,396,296
AMAZON.COM INC	4.950% 12/05/2044 DD 12/05/14	(596,648)	593,171
AMAZON.COM INC	3.150% 08/22/2027 DD 02/22/18	(953,845)	935,758
AMAZON.COM INC	144A 3.875% 08/22/2037 DD 08/22/17	(250,764)	248,597
AMERICAN BATH	5/18 COV-LITE TL	(1,119,657)	1,122,456
AMERICAN INTERNATIONAL GROUP I	VAR RT 04/01/2048 DD 03/26/18	(480,000)	456,480
AMGEN INC	4.950% 10/01/2041 DD 09/16/10	(293,017)	293,149
ANDEAVOR	3.800% 04/01/2028 DD 12/21/17	(210,505)	209,447
ANGLO AMERICAN CAPITAL PL	144A 4.500% 03/15/2028 DD 03/15/18	(1,513,099)	1,452,106
ANHEUSER-BUSCH COS LLC	144A 4.700% 02/01/2036 DD 08/01/18	(3,371,722)	3,282,558
ARGENTINE REPUBLIC GOVERNMENT	5.875% 01/11/2028 DD 01/11/18	(1,428,245)	1,343,213
ARISTOCRAT LEISURE	5/18 TLB 0.000% 10/19/2024 DD 05/02/18	(390,318)	389,831
ASHTEAD CAPITAL INC	144A 4.375% 08/15/2027 DD 08/09/17	(756,779)	731,777
7/17 2ND LIEN COV-LITE TLB2	ASURION	(703,238)	708,525
2/18 COV-LITE TLB 0.000% 11/03/2023 DD 02/20/18	ASURION	(239,446)	237,949
ATLANTIC ASSET DISC	09/14/2018	(1,190,447)	1,194,097
ATLANTIC ASSET DISC	03/22/2019	(878,559)	880,032
AUSTRALIA & NZ BK DISC	12/17/2018	(987,984)	994,670
AVALONBAY COMMUNITIES INC	4.350% 04/15/2048 DD 03/26/18	(706,560)	739,881
BAT CAPITAL CORP	144A 3.557% 08/15/2027 DD 08/15/17	(786,332)	794,171
BAT CAPITAL CORP	144A 4.390% 08/15/2037 DD 08/15/17	(615,487)	621,504
BPCE DISC	01/15/2019	(987,513)	992,461
BNP PARIBAS SA	144A 4.375% 09/28/2025 DD 09/28/15	(937,003)	903,272
BANCO DO BRASIL SA/CAYMAN	144A 4.625% 01/15/2025 DD 10/23/17	(1,416,600)	1,355,040
BANK OF AMERICA CORP	VAR RT 01/23/2049 DD 01/23/18	(1,253,718)	1,231,544
BANK OF AMERICA CORP	VAR RT 02/05/2026 DD 02/05/18	(3,600,000)	3,542,040
BANK OF AMERICA CORP	VAR RT 03/05/2024 DD 03/05/18	(2,378,208)	2,372,592
BANK TOKYO-MITSUB DISC	08/01/2018	(1,851,649)	1,853,638
BARTON CAP CORP DISC	06/21/2018	(1,317,282)	1,317,805
BAYER US FINANCE II LLC	144A 4.250% 12/15/2025 DD 06/25/18	(369,301)	374,355
BAYER US FINANCE II LLC	144A 4.375% 12/15/2028 DD 06/25/18	(948,038)	966,470
BAYER US FINANCE II LLC	144A 4.625% 06/25/2038 DD 06/25/18	(703,817)	721,921
BEDFORD ROW FDG DISC	07/25/2018	(1,124,161)	1,124,248
BEDFORD ROW FDG DISC	02/20/2019	(1,507,688)	1,511,766
5/18 COV-LITE TLT	BERRY PLASTICS	(500,000)	499,375
BNP PARIBAS DISC	08/15/2018	(1,138,140)	1,136,852
BNP PARIBAS DISC	02/20/2019	(1,185,600)	1,185,007
BOEING CO/THE	3.625% 03/01/2048 DD 02/23/18	(488,076)	492,183
BRUIN E&P PARTNERS LLC	144A 8.875% 08/01/2023 DD 07/26/18	(636,325)	610,138
CME GROUP INC	4.150% 06/15/2048 DD 06/21/18	(413,095)	412,253
CME GROUP INC	3.750% 06/15/2028 DD 06/21/18	(394,937)	395,557
CPG INTERNATIONAL	5/17 0.000% 05/03/2024 DD 04/27/17	(1,401,773)	1,393,172
CSX CORP	4.500% 08/01/2054 DD 07/21/14	(97,704)	93,664
CSX CORP	4.300% 03/01/2048 DD 02/20/18	(636,242)	622,998
CSX CORP	4.650% 03/01/2068 DD 02/20/18	(144,425)	137,982
CALFRAC HOLDINGS LP	144A 8.500% 06/15/2026 DD 05/30/18	(1,300,000)	1,184,512
CALIFORNIA RESOURCES CORP	144A 8.000% 12/15/2022 DD 12/15/15	(775,550)	749,275
CELGENE CORP	4.625% 05/15/2044 DD 05/15/14	(183,627)	176,930
CELGENE CORP	4.550% 02/20/2048 DD 02/20/18	(320,441)	307,024
CHESAPEAKE ENERGY CORP	144A 8.000% 01/15/2025 DD 12/20/16	(489,862)	478,313
CINTAS CORP NO 2	3.700% 04/01/2027 DD 03/14/17	(946,408)	953,720
CITIGROUP INC	VAR RT 04/23/2029 DD 04/23/18	(553,157)	550,810

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
IHEARTCOMMUNICATIONS INC	9.000% 12/15/2019 DD 06/15/13	(84,975)	78,925
COCA COLA CO DISC	02/15/2019	(1,284,074)	1,287,614
COLLATERALIZED CP DISC	07/18/2018	(663,566)	664,190
COLUMBUS MCKINNON	2/18 TLB	(391,809)	395,255
COMCAST CORP	3.400% 07/15/2046 DD 07/19/16	(284,207)	280,602
COMCAST CORP	4.000% 03/01/2048 DD 02/08/18	(650,511)	622,723
COMCAST CORP	4.150% 10/15/2028 DD 10/05/18	(199,900)	200,046
COMWLTH BK AUST DISC	01/25/2019	(943,799)	943,939
COTY	3/18 (USD) TLB 0.000% 03/28/2025 DD 03/19/18	(2,682,922)	2,615,361
CREDIT SUISSE GROUPAG	144A VAR RT 12/31/2049 DD 09/12/18	(982,575)	939,263
CREDIT SUISSE FST DISC	08/20/2018	(1,157,247)	1,159,080
DBS BANK LTD DISC	07/13/2018	(1,612,758)	1,613,457
DBS BANK LTD DISC	09/13/2018	(1,193,039)	1,199,475
DBS BANK LTD DISC	01/17/2019	(1,442,162)	1,448,836
DBS BANK LTD DISC	03/13/2019	(888,750)	890,820
DNB NOR BANK DISC	09/17/2018	(1,146,528)	1,145,925
DNB NOR BANK DISC	03/01/2019	(1,086,377)	1,087,907
DNB NOR BANK DISC	03/15/2019	(981,625)	987,633
DTZ/CUSHMAN	8/18 COV-LITE TLB	(485,000)	486,828
DANSKE CORP DISC	08/13/2018	(639,973)	639,863
DOLLAR GENERAL CORP	4.125% 05/01/2028 DD 04/10/18	(599,394)	588,329
ELECTRICITE DE FRANCE SA	144A 5.000% 09/21/2048 DD 09/21/18	(936,552)	901,233
ELECTRICITE DE FRANCE SA	144A 4.500% 09/21/2028 DD 09/21/18	(953,304)	951,480
EP ENERGY LLC / EVEREST A	144A 9.375% 05/01/2024 DD 01/03/18	(264,570)	215,429
ENBRIDGE INC	VAR RT 03/01/2078 DD 03/01/18	(350,000)	344,750
ENERGEN CORP		(566,318)	651,567
EXPERA SPECIALTY	10/16 TLB 0.000% 10/31/2023 DD 10/19/16	(775,582)	787,333
FAIRWAY FIN CORP DISC	06/11/2018	(1,193,591)	1,193,840
FAIRWAY FIN CORP DISC	09/20/2018	(1,192,509)	1,198,500
FEDERATION DES DISC	04/20/2018	(1,497,818)	1,498,160
FEDERATION DES DISC	08/15/2018	(1,591,140)	1,595,446
FEDERATION DES DISC	10/17/2018	(1,491,413)	1,496,747
FEDERATION DES DISC	01/25/2019	(938,987)	939,086
FIRST QUANTUM MINERALS LT	144A 7.250% 05/15/2022 DD 05/13/14	(641,850)	640,332
FIRST QUANTUM MINERALS LT	144A 7.250% 04/01/2023 DD 03/22/17	(878,181)	792,759
FIRST QUANTUM MINERALS LT	144A 6.500% 03/01/2024 DD 02/27/18	(531,006)	474,986
GFL ENVIRONMENTAL INC	144A 5.375% 03/01/2023 DD 02/26/18	(945,000)	868,038
GTT	5/18 (USD) COV-LITE TL	(1,704,144)	1,674,052
GLOBALLOGIC	7/18 TLB 0.000% 07/25/2025 DD 07/18/18	(332,265)	337,274
GLOBALLOGIC	7/18 DELAYED TL 0.000% 07/25/2025 DD 07/18/18	(47,466)	48,182
GOLDMAN SACHS GROUP INC/THE	VAR RT 09/29/2025 DD 09/29/17	(2,110,570)	2,098,800
GOLDMAN SACHS GROUP INC/THE	VAR RT 05/01/2029 DD 04/23/18	(388,820)	389,512
GOTHAM FDG CORP DISC	06/13/2018	(1,441,916)	1,442,522
GRAFTECH	2/18 COV-LITE TLB 0.000% 01/31/2025 DD 01/23/18	(941,643)	926,398
HSBC HOLDINGS PLC	VAR RT 12/31/2049 DD 03/23/18	(1,260,000)	1,260,000
HALFMOON PARENT INC	144A 4.900% 12/15/2048 DD 09/17/18	(533,796)	529,966
HARSCO	11/17 TLB 0.000% 12/06/2024 DD 11/29/17	(198,004)	198,499
KRAFT HEINZ FOODS CO	144A 7.125% 08/01/2039 DD 07/29/09	(733,995)	709,729
HOUSTON TX	3.961% 03/01/2047 DD 12/22/17	(1,512,398)	1,518,713
HUB INTERNATIONAL	4/18 (USD) 0.000% 04/18/2025 DD 04/16/18	(613,285)	595,609
HUB INTERNATIONAL LTD	144A 7.000% 05/01/2026 DD 04/25/18	(830,000)	800,077
INFRAESTRUCTURA ENERGETIC	144A 4.875% 01/14/2048 DD 12/14/17	(1,079,179)	1,053,788
ITAU UNIBANCO HOLDING SA	144A VAR RT 12/31/2049 DD 03/19/18	(1,890,000)	1,797,863
JBS USA	1/18 TL 0.000% 10/30/2022 DD 01/18/18	(941,643)	927,500
JPMORGAN CHASE & CO	VAR RT 03/01/2025 DD 06/01/17	(1,943,040)	1,931,540
JPMORGAN CHASE & CO	VAR RT 07/23/2029 DD 07/23/18	(3,689,061)	3,663,765

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
KANSAS CITY SOUTHERN	4.700% 05/01/2048 DD 05/03/18	(106,679)	110,011
KELLOGG CO	4.300% 05/15/2028 DD 05/14/18	(249,115)	246,725
BOWLMOR AMF	6/18 TLB	(247,583)	248,513
KRATON POLYMERS	3/18 (USD) TLB	(155,806)	156,193
LBM	2/18 TL	(610,200)	580,415
LIFE TIME FITNESS	1/17 TL	(698,900)	679,680
LEVEL 3	2/17 TLB	(1,428,563)	1,416,512
LIBERTY ST FDG DISC	07/16/2018	(512,006)	511,974
LLOYDS BK PLC DISC	08/13/2018	(1,138,040)	1,138,996
LMA SA & LMAAMER DISC	09/21/2018	(1,203,916)	1,205,540
LMA SA & LMAAMER DISC	12/12/2018	(1,234,500)	1,241,524
LMA SA & LMAAMER DISC	03/18/2019	(888,489)	890,025
LONESTAR RESOURCES AMERIC	144A 11.250% 01/01/2023 DD 01/04/18	(760,988)	768,950
MEG ENERGY CORP	144A 6.375% 01/30/2023 DD 07/19/12	(1,883,425)	1,867,450
MEG ENERGY CORP	144A 7.000% 03/31/2024 DD 10/01/13	(253,050)	256,250
MACQUARIE BK LTD DISC	05/21/2018	(1,094,445)	1,094,488
MANHATTAN AST FDG DISC	06/14/2018	(1,323,010)	1,323,084
MANHATTAN AST FDG DISC	01/22/2019	(991,766)	991,944
MAPLE ESCROW SUBSIDIARY I	144A 5.085% 05/25/2048 DD 05/25/18	(1,115,000)	1,099,775
MARVELL TECHNOLOGY GROUP LTD	4.875% 06/22/2028 DD 06/22/18	(949,772)	938,154
MCDERMOTT	0.000% 04/04/2025 DD 03/06/18	(1,863,980)	1,831,873
MCDONALD'S CORP	3.800% 04/01/2028 DD 03/16/18	(958,954)	969,168
MEG ENERGY	1/17 COV-LITE TLB 0.000% 12/31/2023 DD 01/17/17	(178,307)	178,752
METHANEX CORP	5.650% 12/01/2044 DD 11/18/14	(583,195)	570,486
METLIFE INC	VAR RT 12/31/2049 DD 03/22/18	(945,000)	940,748
METLIFE SHORT DISC	09/24/2018	(1,389,238)	1,398,115
METLIFE SHORT DISC	01/28/2019	(893,938)	894,199
METROPOLITAN LIFE GLOBAL	144A 3.000% 09/19/2027 DD 09/19/17	(1,735,632)	1,692,054
MILLICOM INTERNATIONAL CE	144A 6.625% 10/15/2026 DD 10/16/18	(867,935)	866,295
MONSANTO CO	3.600% 07/15/2042 DD 07/12/12	(539,620)	526,344
MORGAN STANLEY	VAR RT 01/24/2029 DD 01/23/18	(147,073)	147,076
MUFG BANK LTD NY DISC	02/20/2019	(1,086,450)	1,088,038
MYLAN INC	144A 5.200% 04/15/2048 DD 04/09/18	(149,523)	150,878
NORTH AMERICAN BANCARD	12/17 0.000% 07/01/2024 DD 12/11/17	(247,506)	249,683
NRW BANK DISC	07/30/2018	(1,708,954)	1,708,248
NATIONALAUST BK DISC	10/22/2018	(837,477)	838,222
NATIONALAUST BK DISC	04/01/2019	(946,411)	948,501
NATIONAL BK CANADA DISC	07/16/2018	(614,171)	615,359
NATIXIS NY BRH DISC	08/16/2018	(989,542)	990,556
NATIXIS NY BRH DISC	03/15/2019	(1,078,873)	1,086,140
CABLEVISION SYSTEMS	3/17 TLB 0.000% 07/17/2025 DD 03/09/17	(1,416,429)	1,409,338
NESTLE HOLDINGS INC	144A 4.000% 09/24/2048 DD 09/24/18	(823,021)	818,064
NEUSTAR	2/18 COV-LITE TLB1 0.000% 01/08/2020 DD 02/22/18	(47,124)	47,183
NEUSTAR	2/18 COV-LITE TLB2 0.000% 08/08/2024 DD 02/22/18	(119,100)	119,547
NEW JERSEY ST TURNPIKE AUTH	7.102% 01/01/2041 DD 12/15/10	(124,191)	122,609
NEWELL BRANDS INC	5.375% 04/01/2036 DD 03/30/16	(879,672)	855,495
NIEUW AMSTERDAM DISC	04/16/2018	(667,199)	668,251
NIEUW AMSTERDAM DISC	08/13/2018	(1,143,227)	1,144,020
NIEUW AMSTERDAM DISC	01/25/2019	(940,721)	941,929
NORDEA BANK AB DISC	07/24/2018	(1,059,752)	1,060,019
NUCOR CORP	3.950% 05/01/2028 DD 04/26/18	(412,859)	409,248
OGLETHORPE POWER CORP	4.250% 04/01/2046 DD 04/21/16	(547,538)	528,834
OMAN GOVERNMENT INTERNATI	144A 4.125% 01/17/2023 DD 01/17/18	(945,750)	936,585
ORBCOMM INC	144A 8.000% 04/01/2024 DD 04/10/17	(852,100)	850,500
OVERSEA-CHINESE DISC	07/11/2018	(1,439,311)	1,439,723
OVERSEA-CHINESE DISC	02/20/2019	(976,304)	989,407

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
OVERSEA-CHINESE DISC	03/12/2019	(890,976)	890,955
PERTAMINA PERSERO PT	144A 4.300% 05/20/2023 DD 05/20/13	(1,406,082)	1,361,116
PALO ALTO NETWORKS INC	144A 0.750% 07/01/2023 DD 07/12/18	(1,440,000)	1,451,639
PARKLAND FUEL CORP	144A 6.000% 04/01/2026 DD 03/23/18	(965,150)	943,425
PEPSICO INC	4.250% 10/22/2044 DD 10/22/14	(1,620,079)	1,610,530
PETROBRAS GLOBAL FINANCE	144A 5.999% 01/27/2028 DD 09/27/17	(2,955,610)	2,878,783
PETROLEOS MEXICANOS	144A 5.350% 02/12/2028 DD 02/12/18	(1,896,300)	1,826,478
PLAINS ALLAMERICAN PIPELINE L	VAR RT 12/31/2049 DD 10/10/17	(951,863)	916,598
POLARIS INTERMEDIATE CORP	144A 8.500% 12/01/2022 DD 11/21/17	(578,368)	554,613
PRUDENTIAL FINANCIAL INC	VAR RT 09/15/2048 DD 09/18/18	(935,000)	876,563
PRUDENTIAL FINANCIAL INC	3.878% 03/27/2028 DD 03/27/18	(1,798,182)	1,778,382
PRUDENTIAL FINANCIAL INC	4.418% 03/27/2048 DD 03/27/18	(1,020,000)	976,099
INDONESIAASAHAN ALUMINIU	144A 6.530% 11/15/2028 DD 11/15/18	(390,136)	409,500
INDONESIAASAHAN ALUMINIU	144A 6.757% 11/15/2048 DD 11/15/18	(606,366)	633,713
QATAR GOVERNMENT INTERNAT	144A 5.103% 04/23/2048 DD 04/23/18	(1,135,000)	1,166,213
REALOGY	1/18 TLB	(284,308)	287,165
URUGUAY GOVERNMENT INTERNATION	4.975% 04/20/2055 DD 04/20/18	(824,254)	819,135
SS&C TECHNOLOGIES	4/18 COV- 0.000% 04/16/2025 DD 02/16/18	(1,223,702)	1,184,178
SS&C TECHNOLOGIES	4/18 COV- 0.000% 04/16/2025 DD 02/16/18	(466,080)	451,027
SABAL TRAIL TRANSMISSION	144A 4.682% 05/01/2038 DD 04/30/18	(376,376)	372,536
SAUDI GOVERNMENT INTERNAT	144A 3.625% 03/04/2028 DD 10/04/17	(1,442,805)	1,428,838
SAUDI GOVERNMENT INTERNAT	144A 5.000% 04/17/2049 DD 04/17/18	(430,434)	432,080
MICRO FOCUS	6/17 (SEATTLE 0.000% 06/21/2024 DD 04/06/17	(433,336)	432,250
SHELF DRILLING HOLDINGS L	144A 8.250% 02/15/2025 DD 02/07/18	(462,963)	419,738
SKANDINAVISKA ENS DISC	07/18/2018	(812,313)	814,151
SOCIETE GENERALE SA	144A 4.750% 09/14/2028 DD 09/14/18	(1,441,243)	1,415,825
SOCIETE GENERALE DISC	05/31/2018	(1,122,683)	1,123,791
SOCIETE GENERALE DISC	08/31/2018	(1,185,699)	1,187,014
STANDARD CHARTERED PLC	144A VAR RT 03/15/2033 DD 03/15/18	(1,125,726)	1,105,617
STARBIRD FDG CORP DISC	09/12/2018	(958,051)	959,007
STARBUCKS CORP	3.750% 12/01/2047 DD 11/22/17	(253,558)	256,737
STILLWATER MINING CO	144A 7.125% 06/27/2025 DD 06/27/17	(958,068)	978,875
STRYKER CORP	3.650% 03/07/2028 DD 03/07/18	(291,664)	287,032
SUMITOMO MTSU BKG DISC	07/23/2018	(1,089,547)	1,090,031
SUMITOMO MTSU BKG DISC	09/04/2018	(1,230,176)	1,234,808
SUMITOMO MTSU BKG DISC	02/05/2019	(938,217)	941,081
SUMITOMO MTSU BKG DISC	03/05/2019	(888,869)	889,098
SUMITOMO TR & BKG DISC	06/21/2018	(1,436,045)	1,436,654
SUMITOMO TR & BKG DISC	08/15/2018	(1,391,914)	1,397,032
SUMITOMO TR & BKG DISC	08/16/2018	(1,187,571)	1,187,987
SUMITOMO TR & BKG DISC	09/27/2018	(1,191,992)	1,199,387
SUMITOMO TR & BKG DISC	02/19/2019	(941,654)	941,949
SUTTER HEALTH	4.091% 08/15/2048 DD 04/04/18	(1,041,012)	995,999
SVENSKA HDLSBK DISC	07/23/2018	(1,785,874)	1,790,920
SVENSKA HDLSBK DISC	01/18/2019	(1,482,025)	1,487,799
SWEDBANK (FOR AB) DISC	08/27/2018	(1,186,775)	1,187,505
SWEDBANK (FOR AB) DISC	02/25/2019	(1,087,020)	1,086,512
SWEDBANK (FOR AB) DISC	03/19/2019	(888,857)	890,306
SYSCO CORP	4.450% 03/15/2048 DD 03/19/18	(293,956)	288,475
TRU TAJ LLC / TRU TAJ FIN	144A 11.000% 01/22/2019 DD 09/22/17	(800,586)	828,240
TTM TECHNOLOGIES	9/17 COV-LITE TL	(1,254,831)	1,186,810
TEVA PHARMACEUTICAL FINAN	144A 6.000% 04/15/2024 DD 03/14/18	(1,900,000)	1,902,469
TEVA PHARMACEUTICAL FINAN	144A 6.750% 03/01/2028 DD 03/14/18	(675,000)	683,438
TIME WARNER CABLE LLC	6.550% 05/01/2037 DD 04/09/07	(666,144)	653,737
TIME WARNER CABLE LLC	5.875% 11/15/2040 DD 11/15/10	(334,848)	326,788
TRAVELERS COS INC/THE	4.050% 03/07/2048 DD 03/07/18	(1,415,937)	1,374,883

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
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For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

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TURKCELL ILETISIM HIZMETL	144A 5.800% 04/11/2028 DD 04/11/18	(1,012,013)	1,009,918
TURKEY GOVERNMENT INTERNATIONA	7.375% 02/05/2025 DD 01/24/05	(956,160)	951,888
EXPORT CREDIT BANK OF TUR	144A 6.125% 05/03/2024 DD 05/03/18	(496,715)	478,000
UBS AG/LONDON	144A 4.500% 06/26/2048 DD 06/26/18	(562,604)	588,304
US SILICA	4/18 COV-LITE TLB 0.000% 12/31/2021 DD 04/04/18	(1,697,893)	1,493,122
UBER	3/18 TL	(2,148,860)	2,156,017
UNIFIN FINANCIERA SAB DE	144A 7.250% 09/27/2023 DD 09/27/16	(1,003,375)	934,957
UNIFIN FINANCIERA SAB DE	144A 7.000% 01/15/2025 DD 05/15/17	(487,633)	457,025
UNITED OVERSEAS DISC	05/03/2018	(1,792,002)	1,793,280
UNITED OVERSEAS DISC	08/24/2018	(1,623,513)	1,623,075
UNITED OVERSEAS DISC	09/10/2018	(992,147)	999,725
UNITED OVERSEAS DISC	03/11/2019	(691,377)	693,199
UNITED OVERSEAS DISC	03/20/2019	(888,580)	888,409
VIACOM INC	5.850% 09/01/2043 DD 08/19/13	(129,067)	121,642
VINE OIL & GAS LP / VINE	144A 9.750% 04/15/2023 DD 10/03/18	(919,163)	839,550
EVOQUA WATER	12/17 COV-LITE TL	(849,839)	849,839
NATIONSTAR MORTGAGE HOLDI	144A 9.125% 07/15/2026 DD 07/13/18	(868,294)	845,454
WESTERN GAS PARTNERS LP	5.300% 03/01/2048 DD 03/02/18	(718,975)	707,734
WHITING PETROLEUM CORP	144A 6.625% 01/15/2026 DD 12/27/17	(568,568)	561,400
IMG WORLDWIDE	5/18 TLB	(729,416)	711,135
WYNDHAM HOTELS	5/18 COV-LITE TLB	(795,000)	795,000
YPF SA	144A 6.950% 07/21/2027 DD 07/21/17	(1,432,688)	1,301,800
YINGDE GASES INVESTMENT L	144A 6.250% 01/19/2023 DD 01/19/18	(974,175)	936,600
HCA	3/18 B9 TL	(409,093)	412,673
RODAN & FIELDS	5/18 TLB	(1,504,001)	1,430,646
LUMENTUM	8/18 COV-LITE TLB	(577,752)	571,960
ENBRIDGE/GRIZZLYACQUISITIONS	9/18 COV-LITE TLB	(252,386)	250,172
US 10YR ULTRA FUTURE	(CBT) EXP JUN 18	-	21,491
US 10YR ULTRA FUTURE	(CBT) EXP SEP 18	-	(31,595)
US 10YR ULTRA FUTURE	(CBT) EXP DEC 18	-	(143,105)
US LONG BOND FUTURE	(CBT) EXP JUN 18	-	304,690
US LONG BOND FUTURE	(CBT) EXP SEP 18	-	(28,599)
US LONG BOND FUTURE	(CBT) EXP DEC 18	-	(27,819)
US 10YR NOTE FUTURE	(CBT) EXP JUN 18	-	(39,547)
US 10YR NOTE FUTURE	(CBT) EXP DEC 18	-	(112,115)
US 5YR TREAS NTS FUTURE	(CBT) EXP JUN 18	-	(15,833)
US 5YR NOTE FUTURE	(CBT) EXP DEC 18	-	(3,420)
US ULTRA BOND	(CBT) EXP JUN 18	-	97,975
US ULTRA BOND	(CBT) EXP SEP 18	-	18,751
US ULTRA BOND	(CBT) EXP DEC 18	-	15,391
BNP PARIBAS TRI REPO	2.190% 11/13/2018 DD 11/09/18	(271,703)	271,703
J P MORGAN CHASE TRI REPO	2.190% 11/13/2018 DD 11/09/18	(2,135,489)	2,135,489
SOCIETE GENERALE TRI REPO	2.190% 11/13/2018 DD 11/09/18	(1,201,769)	1,201,769
MIZUHO REPO ATRI REPO	2.190% 11/13/2018 DD 11/09/18	(269,495)	269,495
RBC CAPITAL MAR TRI REPO	2.190% 11/13/2018 DD 11/09/18	(35,800)	35,800
CREDIT AGRICOLE TRI REPO	2.190% 11/09/2018 DD 11/08/18	(2,012,123)	2,012,123
BARCLAYS BANK PLC TRI REPO	2.200% 11/09/2018 DD 11/08/18	(3,941,633)	3,941,633
DEUTSCHE BK FINL TRI REPO	2.200% 11/09/2018 DD 11/08/18	(2,033,756)	2,033,756
CITIBANK NY ST TRI REPO	2.200% 11/09/2018 DD 11/08/18	(471,895)	471,895
MIZUHO REPO ATRI REPO	2.190% 11/09/2018 DD 11/08/18	(359,280)	359,280
MERRILL LYNCH TRI REPO	2.200% 11/09/2018 DD 11/08/18	(411,850)	411,850
BNP PARIBAS TRI REPO	2.170% 11/14/2018 DD 11/13/18	(483,935)	483,935
J P MORGAN CHASE TRI REPO	2.170% 11/14/2018 DD 11/13/18	(4,269,851)	4,269,851
SOCIETE GENERALE TRI REPO	2.170% 11/14/2018 DD 11/13/18	(2,332,120)	2,332,120
MIZUHO REPO ATRI REPO	2.180% 11/14/2018 DD 11/13/18	(583,746)	583,746
CREDIT AGRICOLE TRI REPO	2.170% 11/14/2018 DD 11/13/18	(2,077,129)	2,077,129

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
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BARCLAYS BANK PLC TRI REPO	2.170% 11/14/2018 DD 11/13/18	(3,569,208)	3,569,208
CREDIT AGRICOLE TRI REPO	2.190% 11/13/2018 DD 11/09/18	(1,059,965)	1,059,965
MERRILL LYNCH TRI REPO	2.190% 11/13/2018 DD 11/09/18	(310,069)	310,069
DEUTSCHE BK FINL TRI REPO	2.190% 11/13/2018 DD 11/09/18	(953,166)	953,166
CITIBANK NY ST TRI REPO	2.190% 11/13/2018 DD 11/09/18	(293,976)	293,976
BARCLAYS BANK PLC TRI REPO	2.190% 11/13/2018 DD 11/09/18	(1,759,803)	1,759,803
SOCIETE GENERALE TRI REPO	2.170% 11/15/2018 DD 11/14/18	(2,191,255)	2,191,255
MIZUHO REPO ATRI REPO	2.170% 11/15/2018 DD 11/14/18	(510,965)	510,965
CREDIT AGRICOLE TRI REPO	2.170% 11/15/2018 DD 11/14/18	(1,865,985)	1,865,985
BARCLAYS BANK PLC TRI REPO	2.170% 11/15/2018 DD 11/14/18	(3,447,933)	3,447,933
CITIGROUP GLOBAL TRI REPO	2.170% 11/15/2018 DD 11/14/18	(223,949)	223,949
MERRILL LYNCH TRI REPO	2.170% 11/14/2018 DD 11/13/18	(577,216)	577,216
DEUTSCHE BK FINL TRI REPO	2.170% 11/14/2018 DD 11/13/18	(1,855,036)	1,855,036
CITIBANK NY ST TRI REPO	2.170% 11/14/2018 DD 11/13/18	(569,706)	569,706
BNP PARIBAS TRI REPO	2.170% 11/15/2018 DD 11/14/18	(511,271)	511,271
J P MORGAN CHASE TRI REPO	2.170% 11/15/2018 DD 11/14/18	(4,258,002)	4,258,002
CREDIT AGRICOLE TRI REPO	2.250% 11/16/2018 DD 11/15/18	(949,132)	949,132
BARCLAYS BANK PLC TRI REPO	2.260% 11/16/2018 DD 11/15/18	(2,030,282)	2,030,282
MERRILL LYNCH TRI REPO	2.250% 11/16/2018 DD 11/15/18	(208,447)	208,447
CITIBANK NY ST TRI REPO	2.260% 11/16/2018 DD 11/15/18	(221,760)	221,760
DEUTSCHE BK FINL TRI REPO	2.260% 11/16/2018 DD 11/15/18	(943,640)	943,640
MERRILL LYNCH TRI REPO	2.170% 11/15/2018 DD 11/14/18	(590,156)	590,156
CITIBANK NY ST TRI REPO	2.170% 11/15/2018 DD 11/14/18	(568,725)	568,725
DEUTSCHE BK FINL TRI REPO	2.170% 11/15/2018 DD 11/14/18	(1,887,147)	1,887,147
DEUTSCHE BK FINL TRI REPO	2.200% 11/15/2018 DD 11/14/18	(332,669)	332,669
BNP PARIBAS TRI REPO	2.260% 11/16/2018 DD 11/15/18	(175,798)	175,798
J P MORGAN CHASE TRI REPO	2.250% 11/16/2018 DD 11/15/18	(1,969,709)	1,969,709
SOCIETE GENERALE TRI REPO	2.250% 11/16/2018 DD 11/15/18	(988,033)	988,033
MIZUHO REPO ATRI REPO	2.250% 11/16/2018 DD 11/15/18	(178,852)	178,852
BNP PARIBAS TRI REPO	2.260% 11/19/2018 DD 11/16/18	(246,343)	246,343
SOCIETE GENERALE TRI REPO	2.250% 11/19/2018 DD 11/16/18	(1,569,122)	1,569,122
J P MORGAN CHASE TRI REPO	2.250% 11/19/2018 DD 11/16/18	(3,067,181)	3,067,181
MIZUHO REPO ATRI REPO	2.260% 11/19/2018 DD 11/16/18	(267,289)	267,289
BARCLAYS BANK PLC TRI REPO	2.250% 11/19/2018 DD 11/16/18	(3,229,152)	3,229,152
CREDIT AGRICOLE TRI REPO	2.250% 11/19/2018 DD 11/16/18	(1,522,838)	1,522,838
MERRILL LYNCH TRI REPO	2.250% 11/19/2018 DD 11/16/18	(308,988)	308,988
DEUTSCHE BK FINL TRI REPO	2.250% 11/19/2018 DD 11/16/18	(1,432,866)	1,432,866
CITIBANK NY ST TRI REPO	2.250% 11/19/2018 DD 11/16/18	(321,850)	321,850
BNP PARIBAS TRI REPO	2.200% 11/21/2018 DD 11/20/18	(407,736)	407,736
BNP PARIBAS TRI REPO	2.240% 11/20/2018 DD 11/19/18	(124,718)	124,718
J P MORGAN CHASE TRI REPO	2.220% 11/20/2018 DD 11/19/18	(300,158)	300,158
SOCIETE GENERALE TRI REPO	2.220% 11/20/2018 DD 11/19/18	(166,542)	166,542
RBC CAPITAL MAR TRI REPO	2.220% 11/20/2018 DD 11/19/18	(42,161)	42,161
CREDIT AGRICOLE TRI REPO	2.220% 11/20/2018 DD 11/19/18	(209,010)	209,010
BARCLAYS BANK PLC TRI REPO	2.220% 11/20/2018 DD 11/19/18	(295,573)	295,573
DEUTSCHE BK FINL TRI REPO	2.220% 11/20/2018 DD 11/19/18	(154,255)	154,255
BNP PARIBAS TRI REPO	2.170% 11/23/2018 DD 11/21/18	(438,453)	438,453
J P MORGAN CHASE TRI REPO	2.170% 11/23/2018 DD 11/21/18	(3,410,022)	3,410,022
SOCIETE GENERALE TRI REPO	2.180% 11/21/2018 DD 11/20/18	(1,385,362)	1,385,362
J P MORGAN CHASE TRI REPO	2.180% 11/21/2018 DD 11/20/18	(3,003,103)	3,003,103
MIZUHO REPO ATRI REPO	2.180% 11/21/2018 DD 11/20/18	(346,597)	346,597
CREDIT AGRICOLE TRI REPO	2.180% 11/21/2018 DD 11/20/18	(2,208,841)	2,208,841
BARCLAYS BANK PLC TRI REPO	2.180% 11/21/2018 DD 11/20/18	(3,075,482)	3,075,482
MERRILL LYNCH TRI REPO	2.180% 11/21/2018 DD 11/20/18	(393,957)	393,957
DEUTSCHE BK FINL TRI REPO	2.180% 11/21/2018 DD 11/20/18	(1,956,000)	1,956,000
CITIBANK NY ST TRI REPO	2.180% 11/21/2018 DD 11/20/18	(405,070)	405,070

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
BNP PARIBAS TRI REPO	2.220% 11/26/2018 DD 11/23/18	(586,804)	586,804
J P MORGAN CHASE TRI REPO	2.220% 11/26/2018 DD 11/23/18	(6,959,483)	6,959,483
SOCIETE GENERALE TRI REPO	2.220% 11/26/2018 DD 11/23/18	(3,005,299)	3,005,299
MIZUHO REPO ATRI REPO	2.240% 11/26/2018 DD 11/23/18	(527,949)	527,949
RBC CAPITAL MAR TRI REPO	2.230% 11/26/2018 DD 11/23/18	(53,730)	53,730
CREDIT AGRICOLE TRI REPO	2.220% 11/26/2018 DD 11/23/18	(1,918,194)	1,918,194
SOCIETE GENERALE TRI REPO	2.170% 11/23/2018 DD 11/21/18	(1,658,323)	1,658,323
MIZUHO REPO ATRI REPO	2.170% 11/23/2018 DD 11/21/18	(405,639)	405,639
CREDIT AGRICOLE TRI REPO	2.170% 11/23/2018 DD 11/21/18	(1,658,906)	1,658,906
BARCLAYS BANK PLC TRI REPO	2.170% 11/23/2018 DD 11/21/18	(2,672,624)	2,672,624
MERRILL LYNCH TRI REPO	2.170% 11/23/2018 DD 11/21/18	(467,425)	467,425
DEUTSCHE BK FINL TRI REPO	2.180% 11/23/2018 DD 11/21/18	(2,856,521)	2,856,521
CITIBANK NY ST TRI REPO	2.170% 11/23/2018 DD 11/21/18	(531,095)	531,095
SOCIETE GENERALE TRI REPO	2.180% 11/27/2018 DD 11/26/18	(2,154,711)	2,154,711
MIZUHO REPO ATRI REPO	2.180% 11/27/2018 DD 11/26/18	(477,635)	477,635
CREDIT AGRICOLE TRI REPO	2.180% 11/27/2018 DD 11/26/18	(2,040,557)	2,040,557
BARCLAYS BANK PLC TRI REPO	2.180% 11/27/2018 DD 11/26/18	(5,057,197)	5,057,197
MERRILL LYNCH TRI REPO	2.180% 11/27/2018 DD 11/26/18	(540,365)	540,365
DEUTSCHE BK FINL TRI REPO	2.180% 11/27/2018 DD 11/26/18	(4,851,789)	4,851,789
BARCLAYS BANK PLC TRI REPO	2.220% 11/26/2018 DD 11/23/18	(5,908,654)	5,908,654
MERRILL LYNCH TRI REPO	2.220% 11/26/2018 DD 11/23/18	(597,270)	597,270
DEUTSCHE BK FINL TRI REPO	2.230% 11/26/2018 DD 11/23/18	(3,548,320)	3,548,320
CITIBANK NY ST TRI REPO	2.230% 11/26/2018 DD 11/23/18	(626,344)	626,344
BNP PARIBAS TRI REPO	2.180% 11/27/2018 DD 11/26/18	(416,388)	416,388
J P MORGAN CHASE TRI REPO	2.180% 11/27/2018 DD 11/26/18	(6,507,457)	6,507,457
DEUTSCHE BK FINL TRI REPO	2.170% 11/28/2018 DD 11/27/18	(3,320,087)	3,320,087
CITIBANK NY ST TRI REPO	2.170% 11/28/2018 DD 11/27/18	(582,143)	582,143
CITIBANK NY ST TRI REPO	2.180% 11/27/2018 DD 11/26/18	(623,522)	623,522
BNP PARIBAS TRI REPO	2.180% 11/28/2018 DD 11/27/18	(440,828)	440,828
J P MORGAN CHASE TRI REPO	2.170% 11/28/2018 DD 11/27/18	(6,553,095)	6,553,095
SOCIETE GENERALE TRI REPO	2.170% 11/28/2018 DD 11/27/18	(2,817,493)	2,817,493
MIZUHO REPO ATRI REPO	2.180% 11/28/2018 DD 11/27/18	(452,559)	452,559
CREDIT AGRICOLE TRI REPO	2.170% 11/28/2018 DD 11/27/18	(1,867,804)	1,867,804
BARCLAYS BANK PLC TRI REPO	2.170% 11/28/2018 DD 11/27/18	(6,197,401)	6,197,401
MERRILL LYNCH TRI REPO	2.170% 11/28/2018 DD 11/27/18	(511,528)	511,528
BARCLAYS BANK PLC TRI REPO	2.140% 09/28/2018 DD 09/27/18	(119,068)	119,068
BNP PARIBAS TRI REPO	2.150% 09/28/2018 DD 09/27/18	(111,360)	111,360
J P MORGAN CHASE TRI REPO	2.150% 09/28/2018 DD 09/27/18	(207,454)	207,454
BARCLAYS BANK PLC TRI REPO	2.240% 10/01/2018 DD 09/28/18	(228,557)	228,557
BARCLAYS BANK PLC TRI REPO	2.200% 10/02/2018 DD 10/01/18	(104,352)	104,352
DEUTSCHE BK FINL TRI REPO	2.220% 10/02/2018 DD 10/01/18	(125,423)	125,423
BARCLAYS BANK PLC TRI REPO	2.180% 10/03/2018 DD 10/02/18	(142,475)	142,475
DEUTSCHE BK FINL TRI REPO	2.190% 10/03/2018 DD 10/02/18	(155,812)	155,812
BNP PARIBAS TRI REPO	2.170% 10/05/2018 DD 10/04/18	(119,895)	119,895
BARCLAYS BANK PLC TRI REPO	2.160% 10/05/2018 DD 10/04/18	(148,257)	148,257
BNP PARIBAS TRI REPO	2.140% 10/09/2018 DD 10/05/18	(156,184)	156,184
J P MORGAN CHASE TRI REPO	2.140% 10/09/2018 DD 10/05/18	(371,889)	371,889
SOCIETE GENERALE TRI REPO	2.140% 10/09/2018 DD 10/05/18	(170,810)	170,810
CREDIT AGRICOLE TRI REPO	2.140% 10/09/2018 DD 10/05/18	(124,652)	124,652
BARCLAYS BANK PLC TRI REPO	2.140% 10/09/2018 DD 10/05/18	(143,121)	143,121
DEUTSCHE BK FINL TRI REPO	2.140% 10/09/2018 DD 10/05/18	(271,593)	271,593
J P MORGAN CHASE TRI REPO	2.130% 10/10/2018 DD 10/09/18	(170,489)	170,489
CITIGROUP GLOBAL TRI REPO	2.130% 10/10/2018 DD 10/09/18	(110,978)	110,978
CITIBANK NY ST TRI REPO	2.130% 10/10/2018 DD 10/09/18	(175,328)	175,328
DEUTSCHE BK FINL TRI REPO	2.140% 10/10/2018 DD 10/09/18	(125,170)	125,170
J P MORGAN CHASE TRI REPO	2.160% 10/12/2018 DD 10/11/18	(116,270)	116,270

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
SOCIETE GENERALE TRI REPO	2.160% 10/12/2018 DD 10/11/18	(103,371)	103,371
CITIBANK NY ST TRI REPO	2.150% 10/12/2018 DD 10/11/18	(125,109)	125,109
J P MORGAN CHASE TRI REPO	2.140% 10/11/2018 DD 10/10/18	(164,352)	164,352
CREDIT AGRICOLE TRI REPO	2.140% 10/11/2018 DD 10/10/18	(109,676)	109,676
CITIBANK NY ST TRI REPO	2.140% 10/11/2018 DD 10/10/18	(111,285)	111,285
DEUTSCHE BK FINL TRI REPO	2.140% 10/11/2018 DD 10/10/18	(122,523)	122,523
CREDIT AGRICOLE TRI REPO	2.160% 10/15/2018 DD 10/12/18	(132,301)	132,301
CITIGROUP GLOBAL TRI REPO	2.150% 10/12/2018 DD 10/11/18	(103,794)	103,794
CITIBANK NY ST TRI REPO	2.150% 10/24/2018 DD 10/23/18	(221,755)	221,755
CITIBANK NY ST TRI REPO	2.160% 10/25/2018 DD 10/24/18	(127,474)	127,474
DEUTSCHE BK FINL TRI REPO	2.180% 10/25/2018 DD 10/24/18	(227,779)	227,779
BNP PARIBAS TRI REPO	2.160% 10/25/2018 DD 10/24/18	(73,660)	73,660
J P MORGAN CHASE TRI REPO	2.160% 10/25/2018 DD 10/24/18	(511,122)	511,122
SOCIETE GENERALE TRI REPO	2.170% 10/25/2018 DD 10/24/18	(280,196)	280,196
CREDIT AGRICOLE TRI REPO	2.160% 10/25/2018 DD 10/24/18	(104,836)	104,836
BARCLAYS BANK PLC TRI REPO	2.160% 10/25/2018 DD 10/24/18	(105,823)	105,823
BNP PARIBAS TRI REPO	2.170% 10/26/2018 DD 10/25/18	(115,481)	115,481
CITIBANK NY ST TRI REPO	2.160% 10/26/2018 DD 10/25/18	(202,782)	202,782
BARCLAYS BANK PLC TRI REPO	2.170% 10/29/2018 DD 10/26/18	(120,638)	120,638
DEUTSCHE BK FINL TRI REPO	2.170% 10/29/2018 DD 10/26/18	(217,718)	217,718
BNP PARIBAS TRI REPO	2.170% 10/29/2018 DD 10/26/18	(223,482)	223,482
J P MORGAN CHASE TRI REPO	2.170% 10/29/2018 DD 10/26/18	(342,046)	342,046
SOCIETE GENERALE TRI REPO	2.170% 10/29/2018 DD 10/26/18	(171,044)	171,044
CREDIT AGRICOLE TRI REPO	2.170% 10/29/2018 DD 10/26/18	(149,524)	149,524
BNP PARIBAS TRI REPO	2.170% 10/31/2018 DD 10/30/18	(688,334)	688,334
J P MORGAN CHASE TRI REPO	2.170% 10/31/2018 DD 10/30/18	(8,193,281)	8,193,281
SOCIETE GENERALE TRI REPO	2.170% 10/31/2018 DD 10/30/18	(3,565,314)	3,565,314
BNP PARIBAS TRI REPO	2.170% 10/30/2018 DD 10/29/18	(2,199,882)	2,199,882
J P MORGAN CHASE TRI REPO	2.170% 10/30/2018 DD 10/29/18	(16,447,067)	16,447,067
SOCIETE GENERALE TRI REPO	2.170% 10/30/2018 DD 10/29/18	(8,169,873)	8,169,873
MIZUHO REPO ATRI REPO	2.170% 10/30/2018 DD 10/29/18	(2,221,480)	2,221,480
CREDIT AGRICOLE TRI REPO	2.170% 10/30/2018 DD 10/29/18	(8,116,307)	8,116,307
CITIGROUP GLOBAL TRI REPO	2.170% 10/30/2018 DD 10/29/18	(887,119)	887,119
BARCLAYS BANK PLC TRI REPO	2.170% 10/30/2018 DD 10/29/18	(7,285,865)	7,285,865
MERRILL LYNCH TRI REPO	2.170% 10/30/2018 DD 10/29/18	(2,655,785)	2,655,785
CITIBANK NY ST TRI REPO	2.170% 10/30/2018 DD 10/29/18	(2,786,043)	2,786,043
DEUTSCHE BK FINL TRI REPO	2.170% 10/30/2018 DD 10/29/18	(10,911,712)	10,911,712
BARCLAYS BANK PLC TRI REPO	2.150% 10/30/2018 DD 10/29/18	(1,379,357)	1,379,357
MIZUHO REPO ATRI REPO	2.200% 11/01/2018 DD 10/31/18	(597,268)	597,268
BNP PARIBAS TRI REPO	2.200% 11/01/2018 DD 10/31/18	(521,086)	521,086
MERRILL LYNCH TRI REPO	2.190% 11/01/2018 DD 10/31/18	(715,727)	715,727
J P MORGAN CHASE TRI REPO	2.190% 11/01/2018 DD 10/31/18	(7,202,149)	7,202,149
CREDIT AGRICOLE TRI REPO	2.190% 11/01/2018 DD 10/31/18	(3,200,980)	3,200,980
MIZUHO REPO ATRI REPO	2.170% 10/31/2018 DD 10/30/18	(813,893)	813,893
CREDIT AGRICOLE TRI REPO	2.170% 10/31/2018 DD 10/30/18	(3,486,500)	3,486,500
BARCLAYS BANK PLC TRI REPO	2.170% 10/31/2018 DD 10/30/18	(3,947,009)	3,947,009
DEUTSCHE BK FINL TRI REPO	2.170% 10/31/2018 DD 10/30/18	(4,563,064)	4,563,064
MERRILL LYNCH TRI REPO	2.170% 10/31/2018 DD 10/30/18	(972,885)	972,885
CITIBANK NY ST TRI REPO	2.170% 10/31/2018 DD 10/30/18	(1,104,216)	1,104,216
BARCLAYS BANK PLC TRI REPO	2.190% 11/01/2018 DD 10/31/18	(4,014,926)	4,014,926
J P MORGAN CHASE TRI REPO	2.200% 11/02/2018 DD 11/01/18	(4,340,665)	4,340,665
CREDIT AGRICOLE TRI REPO	2.200% 11/02/2018 DD 11/01/18	(2,008,646)	2,008,646
DEUTSCHE BK FINL TRI REPO	2.200% 11/02/2018 DD 11/01/18	(1,343,369)	1,343,369
RBC CAPITAL MAR TRI REPO	2.200% 11/02/2018 DD 11/01/18	(102,367)	102,367
SOCIETE GENERALE TRI REPO	2.200% 11/02/2018 DD 11/01/18	(1,841,162)	1,841,162
CITIBANK NY ST TRI REPO	2.210% 11/02/2018 DD 11/01/18	(410,638)	410,638

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
DEUTSCHE BK FINL TRI REPO	2.200% 11/01/2018 DD 10/31/18	(2,147,009)	2,147,009
SOCIETE GENERALE TRI REPO	2.190% 11/01/2018 DD 10/31/18	(3,217,150)	3,217,150
CITIBANK NY ST TRI REPO	2.200% 11/01/2018 DD 10/31/18	(801,559)	801,559
BARCLAYS BANK PLC TRI REPO	2.200% 11/02/2018 DD 11/01/18	(2,414,732)	2,414,732
MIZUHO REPO ATRI REPO	2.200% 11/02/2018 DD 11/01/18	(349,587)	349,587
BNP PARIBAS TRI REPO	2.200% 11/02/2018 DD 11/01/18	(367,902)	367,902
MERRILL LYNCH TRI REPO	2.200% 11/02/2018 DD 11/01/18	(413,597)	413,597
CITIGROUP GLOBAL TRI REPO	2.240% 11/05/2018 DD 11/02/18	(223,514)	223,514
MIZUHO REPO ATRI REPO	2.250% 11/05/2018 DD 11/02/18	(1,169,565)	1,169,565
MERRILL LYNCH TRI REPO	2.240% 11/05/2018 DD 11/02/18	(1,383,006)	1,383,006
BNP PARIBAS TRI REPO	2.240% 11/05/2018 DD 11/02/18	(1,146,304)	1,146,304
J P MORGAN CHASE TRI REPO	2.240% 11/05/2018 DD 11/02/18	(12,748,278)	12,748,278
SOCIETE GENERALE TRI REPO	2.240% 11/05/2018 DD 11/02/18	(6,296,987)	6,296,987
CREDIT AGRICOLE TRI REPO	2.230% 11/05/2018 DD 11/02/18	(3,939,374)	3,939,374
BARCLAYS BANK PLC TRI REPO	2.240% 11/05/2018 DD 11/02/18	(7,661,073)	7,661,073
DEUTSCHE BK FINL TRI REPO	2.240% 11/05/2018 DD 11/02/18	(3,904,627)	3,904,627
CITIBANK NY ST TRI REPO	2.240% 11/05/2018 DD 11/02/18	(1,412,969)	1,412,969
DEUTSCHE BK FINL TRI REPO	2.140% 11/05/2018 DD 11/02/18	(120,628)	120,628
BNP PARIBAS TRI REPO	2.230% 11/06/2018 DD 11/05/18	(1,770,586)	1,770,586
J P MORGAN CHASE TRI REPO	2.230% 11/06/2018 DD 11/05/18	(18,776,434)	18,776,434
SOCIETE GENERALE TRI REPO	2.230% 11/06/2018 DD 11/05/18	(7,976,139)	7,976,139
MIZUHO REPO ATRI REPO	2.230% 11/06/2018 DD 11/05/18	(1,788,838)	1,788,838
CREDIT AGRICOLE TRI REPO	2.230% 11/06/2018 DD 11/05/18	(5,233,067)	5,233,067
BARCLAYS BANK PLC TRI REPO	2.230% 11/06/2018 DD 11/05/18	(16,022,021)	16,022,021
MERRILL LYNCH TRI REPO	2.230% 11/06/2018 DD 11/05/18	(2,150,192)	2,150,192
DEUTSCHE BK FINL TRI REPO	2.240% 11/06/2018 DD 11/05/18	(8,660,537)	8,660,537
CITIBANK NY ST TRI REPO	2.230% 11/06/2018 DD 11/05/18	(2,302,041)	2,302,041
BNP PARIBAS TRI REPO	2.220% 11/07/2018 DD 11/06/18	(418,303)	418,303
J P MORGAN CHASE TRI REPO	2.210% 11/07/2018 DD 11/06/18	(6,254,230)	6,254,230
SOCIETE GENERALE TRI REPO	2.220% 11/07/2018 DD 11/06/18	(3,841,564)	3,841,564
CREDIT AGRICOLE TRI REPO	2.210% 11/07/2018 DD 11/06/18	(3,802,206)	3,802,206
MIZUHO REPO ATRI REPO	2.210% 11/07/2018 DD 11/06/18	(428,721)	428,721
BARCLAYS BANK PLC TRI REPO	2.210% 11/07/2018 DD 11/06/18	(4,562,515)	4,562,515
MERRILL LYNCH TRI REPO	2.220% 11/07/2018 DD 11/06/18	(513,417)	513,417
DEUTSCHE BK FINL TRI REPO	2.210% 11/07/2018 DD 11/06/18	(2,494,844)	2,494,844
CITIBANK NY ST TRI REPO	2.220% 11/07/2018 DD 11/06/18	(552,579)	552,579
BNP PARIBAS TRI REPO	2.200% 11/09/2018 DD 11/08/18	(329,123)	329,123
J P MORGAN CHASE TRI REPO	2.190% 11/09/2018 DD 11/08/18	(4,120,914)	4,120,914
SOCIETE GENERALE TRI REPO	2.190% 11/09/2018 DD 11/08/18	(1,513,083)	1,513,083
BNP PARIBAS TRI REPO	2.160% 11/08/2018 DD 11/07/18	(339,223)	339,223
J P MORGAN CHASE TRI REPO	2.160% 11/08/2018 DD 11/07/18	(5,802,238)	5,802,238
SOCIETE GENERALE TRI REPO	2.180% 11/08/2018 DD 11/07/18	(1,545,028)	1,545,028
MIZUHO REPO ATRI REPO	2.170% 11/08/2018 DD 11/07/18	(375,876)	375,876
CREDIT AGRICOLE TRI REPO	2.160% 11/08/2018 DD 11/07/18	(3,321,889)	3,321,889
BARCLAYS BANK PLC TRI REPO	2.160% 11/08/2018 DD 11/07/18	(4,724,772)	4,724,772
MERRILL LYNCH TRI REPO	2.160% 11/08/2018 DD 11/07/18	(448,306)	448,306
DEUTSCHE BK FINL TRI REPO	2.170% 11/08/2018 DD 11/07/18	(2,601,137)	2,601,137
CITIBANK NY ST TRI REPO	2.160% 11/08/2018 DD 11/07/18	(514,466)	514,466
BNP PARIBAS TRI REPO	2.190% 11/29/2018 DD 11/28/18	(600,554)	600,554
J P MORGAN CHASE TRI REPO	2.190% 11/29/2018 DD 11/28/18	(6,594,963)	6,594,963
SOCIETE GENERALE TRI REPO	2.180% 11/29/2018 DD 11/28/18	(3,096,234)	3,096,234
MIZUHO REPO ATRI REPO	2.190% 11/29/2018 DD 11/28/18	(571,259)	571,259
CREDIT AGRICOLE TRI REPO	2.190% 11/29/2018 DD 11/28/18	(3,131,947)	3,131,947
BARCLAYS BANK PLC TRI REPO	2.190% 11/29/2018 DD 11/28/18	(6,234,184)	6,234,184
MERRILL LYNCH TRI REPO	2.180% 11/29/2018 DD 11/28/18	(650,555)	650,555
DEUTSCHE BK FINL TRI REPO	2.190% 11/29/2018 DD 11/28/18	(2,285,435)	2,285,435

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
CITIBANK NY ST TRI REPO	2.190% 11/29/2018 DD 11/28/18	(718,710)	718,710
MERRILL LYNCH TRI REPO	2.270% 12/03/2018 DD 11/30/18	(431,805)	431,805
DEUTSCHE BK FINL TRI REPO	2.290% 12/03/2018 DD 11/30/18	(573,952)	573,952
CITIBANK NY ST TRI REPO	2.270% 12/03/2018 DD 11/30/18	(343,091)	343,091
BNP PARIBAS TRI REPO	2.230% 11/30/2018 DD 11/29/18	(446,543)	446,543
SOCIETE GENERALE TRI REPO	2.220% 11/30/2018 DD 11/29/18	(2,556,917)	2,556,917
MIZUHO REPO ATRI REPO	2.230% 11/30/2018 DD 11/29/18	(502,043)	502,043
CREDIT AGRICOLE TRI REPO	2.230% 11/30/2018 DD 11/29/18	(1,656,068)	1,656,068
CITIBANK NY ST TRI REPO	2.230% 11/30/2018 DD 11/29/18	(572,886)	572,886
MERRILL LYNCH TRI REPO	2.230% 11/30/2018 DD 11/29/18	(561,473)	561,473
J P MORGAN CHASE TRI REPO	2.220% 11/30/2018 DD 11/29/18	(5,306,337)	5,306,337
BARCLAYS BANK PLC TRI REPO	2.230% 11/30/2018 DD 11/29/18	(4,141,270)	4,141,270
DEUTSCHE BK FINL TRI REPO	2.230% 11/30/2018 DD 11/29/18	(2,938,494)	2,938,494
J P MORGAN CHASE TRI REPO	2.220% 12/04/2018 DD 12/03/18	(3,041,283)	3,041,283
SOCIETE GENERALE TRI REPO	2.220% 12/04/2018 DD 12/03/18	(587,561)	587,561
MIZUHO REPO ATRI REPO	2.220% 12/04/2018 DD 12/03/18	(194,168)	194,168
BNP PARIBAS TRI REPO	2.280% 12/03/2018 DD 11/30/18	(258,259)	258,259
J P MORGAN CHASE TRI REPO	2.270% 12/03/2018 DD 11/30/18	(2,819,593)	2,819,593
MIZUHO REPO ATRI REPO	2.280% 12/03/2018 DD 11/30/18	(287,329)	287,329
CREDIT AGRICOLE TRI REPO	2.270% 12/03/2018 DD 11/30/18	(1,247,125)	1,247,125
BARCLAYS BANK PLC TRI REPO	2.270% 12/03/2018 DD 11/30/18	(2,163,568)	2,163,568
SOCIETE GENERALE TRI REPO	2.220% 12/06/2018 DD 12/04/18	(186,353)	186,353
MIZUHO REPO ATRI REPO	2.230% 12/06/2018 DD 12/04/18	(170,408)	170,408
CREDIT AGRICOLE TRI REPO	2.220% 12/06/2018 DD 12/04/18	(1,125,110)	1,125,110
BARCLAYS BANK PLC TRI REPO	2.220% 12/06/2018 DD 12/04/18	(4,228,825)	4,228,825
MERRILL LYNCH TRI REPO	2.220% 12/06/2018 DD 12/04/18	(189,608)	189,608
CITIBANK NY ST TRI REPO	2.220% 12/06/2018 DD 12/04/18	(155,932)	155,932
DEUTSCHE BK FINL TRI REPO	2.230% 12/06/2018 DD 12/04/18	(1,694,050)	1,694,050
DEUTSCHE BK FINL TRI REPO	2.250% 12/06/2018 DD 12/04/18	(1,200,939)	1,200,939
BARCLAYS BANK PLC TRI REPO	2.220% 12/04/2018 DD 12/03/18	(3,727,928)	3,727,928
MERRILL LYNCH TRI REPO	2.220% 12/04/2018 DD 12/03/18	(221,582)	221,582
DEUTSCHE BK FINL TRI REPO	2.230% 12/04/2018 DD 12/03/18	(1,360,048)	1,360,048
CREDIT AGRICOLE TRI REPO	2.220% 12/04/2018 DD 12/03/18	(881,278)	881,278
BNP PARIBAS TRI REPO	2.230% 12/06/2018 DD 12/04/18	(138,597)	138,597
J P MORGAN CHASE TRI REPO	2.220% 12/06/2018 DD 12/04/18	(3,718,549)	3,718,549
SOCIETE GENERALE TRI REPO	2.310% 12/07/2018 DD 12/06/18	(247,915)	247,915
BARCLAYS BANK PLC TRI REPO	2.320% 12/07/2018 DD 12/06/18	(2,164,025)	2,164,025
MERRILL LYNCH TRI REPO	2.310% 12/07/2018 DD 12/06/18	(291,234)	291,234
DEUTSCHE BK FINL TRI REPO	2.300% 12/07/2018 DD 12/06/18	(832,452)	832,452
DEUTSCHE BK FINL TRI REPO	2.400% 12/07/2018 DD 12/06/18	(571,724)	571,724
BNP PARIBAS TRI REPO	2.300% 12/07/2018 DD 12/06/18	(182,293)	182,293
MIZUHO REPO ATRI REPO	2.310% 12/07/2018 DD 12/06/18	(211,924)	211,924
CITIBANK NY ST TRI REPO	2.310% 12/07/2018 DD 12/06/18	(223,703)	223,703
J P MORGAN CHASE TRI REPO	2.310% 12/07/2018 DD 12/06/18	(1,777,906)	1,777,906
CREDIT AGRICOLE TRI REPO	2.310% 12/07/2018 DD 12/06/18	(606,553)	606,553
MERRILL LYNCH TRI REPO	2.210% 12/11/2018 DD 12/10/18	(1,927,253)	1,927,253
DEUTSCHE BK FINL TRI REPO	2.210% 12/11/2018 DD 12/10/18	(7,163,235)	7,163,235
DEUTSCHE BK FINL TRI REPO	2.100% 12/11/2018 DD 12/10/18	(50,000,000)	50,000,000
BNP PARIBAS TRI REPO	2.200% 12/11/2018 DD 12/10/18	(1,375,651)	1,375,651
MIZUHO REPO ATRI REPO	2.210% 12/11/2018 DD 12/10/18	(1,643,588)	1,643,588
CITIGROUP GLOBAL TRI REPO	2.210% 12/11/2018 DD 12/10/18	(147,371)	147,371
CITIBANK NY ST TRI REPO	2.210% 12/11/2018 DD 12/10/18	(1,890,086)	1,890,086
J P MORGAN CHASE TRI REPO	2.210% 12/11/2018 DD 12/10/18	(8,927,435)	8,927,435
SOCIETE GENERALE TRI REPO	2.200% 12/11/2018 DD 12/10/18	(1,930,446)	1,930,446
CREDIT AGRICOLE TRI REPO	2.210% 12/11/2018 DD 12/10/18	(5,312,132)	5,312,132
BARCLAYS BANK PLC TRI REPO	2.200% 12/11/2018 DD 12/10/18	(12,561,350)	12,561,350

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
DEUTSCHE BK FINL TRI REPO	2.150% 12/12/2018 DD 12/11/18	(931,563)	931,563
CREDIT AGRICOLE TRI REPO	2.180% 12/12/2018 DD 12/11/18	(320,263)	320,263
BNP PARIBAS TRI REPO	2.170% 12/12/2018 DD 12/11/18	(1,432,697)	1,432,697
SOCIETE GENERALE TRI REPO	2.160% 12/12/2018 DD 12/11/18	(2,784,891)	2,784,891
J P MORGAN CHASE TRI REPO	2.160% 12/12/2018 DD 12/11/18	(5,702,048)	5,702,048
MIZUHO REPO ATRI REPO	2.170% 12/12/2018 DD 12/11/18	(1,597,043)	1,597,043
CREDIT AGRICOLE TRI REPO	2.160% 12/12/2018 DD 12/11/18	(5,566,258)	5,566,258
BARCLAYS BANK PLC TRI REPO	2.170% 12/12/2018 DD 12/11/18	(7,931,048)	7,931,048
CITIGROUP GLOBAL TRI REPO	2.160% 12/12/2018 DD 12/11/18	(847,172)	847,172
MERRILL LYNCH TRI REPO	2.160% 12/12/2018 DD 12/11/18	(1,819,500)	1,819,500
DEUTSCHE BK FINL TRI REPO	2.160% 12/12/2018 DD 12/11/18	(50,000,000)	50,000,000
CITIBANK NY ST TRI REPO	2.160% 12/12/2018 DD 12/11/18	(1,669,903)	1,669,903
BNP PARIBAS TRI REPO	2.180% 12/14/2018 DD 12/13/18	(3,137,003)	3,137,003
BNP PARIBAS TRI REPO	2.170% 12/13/2018 DD 12/12/18	(2,239,228)	2,239,228
J P MORGAN CHASE TRI REPO	2.170% 12/13/2018 DD 12/12/18	(9,609,867)	9,609,867
SOCIETE GENERALE TRI REPO	2.170% 12/13/2018 DD 12/12/18	(4,394,246)	4,394,246
RBC CAPITAL MAR TRI REPO	2.170% 12/13/2018 DD 12/12/18	(261,842)	261,842
MIZUHO REPO ATRI REPO	2.180% 12/13/2018 DD 12/12/18	(2,437,904)	2,437,904
CREDIT AGRICOLE TRI REPO	2.180% 12/13/2018 DD 12/12/18	(10,712,069)	10,712,069
BARCLAYS BANK PLC TRI REPO	2.170% 12/13/2018 DD 12/12/18	(11,135,405)	11,135,405
CITIGROUP GLOBAL TRI REPO	2.170% 12/13/2018 DD 12/12/18	(909,447)	909,447
MERRILL LYNCH TRI REPO	2.170% 12/13/2018 DD 12/12/18	(2,836,576)	2,836,576
DEUTSCHE BK FINL TRI REPO	2.180% 12/13/2018 DD 12/12/18	(50,000,000)	50,000,000
CITIBANK NY ST TRI REPO	2.170% 12/13/2018 DD 12/12/18	(2,471,370)	2,471,370
J P MORGAN CHASE TRI REPO	2.190% 12/17/2018 DD 12/14/18	(9,379,602)	9,379,602
BNP PARIBAS TRI REPO	2.200% 12/17/2018 DD 12/14/18	(1,289,234)	1,289,234
MIZUHO REPO ATRI REPO	2.180% 12/17/2018 DD 12/14/18	(1,476,572)	1,476,572
SOCIETE GENERALE TRI REPO	2.180% 12/17/2018 DD 12/14/18	(2,874,117)	2,874,117
J P MORGAN CHASE TRI REPO	2.170% 12/14/2018 DD 12/13/18	(13,659,103)	13,659,103
SOCIETE GENERALE TRI REPO	2.170% 12/14/2018 DD 12/13/18	(6,259,315)	6,259,315
MIZUHO REPO ATRI REPO	2.170% 12/14/2018 DD 12/13/18	(3,469,105)	3,469,105
RBC CAPITAL MAR TRI REPO	2.180% 12/14/2018 DD 12/13/18	(174,934)	174,934
CREDIT AGRICOLE TRI REPO	2.180% 12/14/2018 DD 12/13/18	(15,007,732)	15,007,732
BARCLAYS BANK PLC TRI REPO	2.180% 12/14/2018 DD 12/13/18	(15,520,840)	15,520,840
CITIGROUP GLOBAL TRI REPO	2.180% 12/14/2018 DD 12/13/18	(1,584,666)	1,584,666
MERRILL LYNCH TRI REPO	2.180% 12/14/2018 DD 12/13/18	(4,096,369)	4,096,369
DEUTSCHE BK FINL TRI REPO	2.180% 12/14/2018 DD 12/13/18	(50,000,000)	50,000,000
CITIBANK NY ST TRI REPO	2.180% 12/14/2018 DD 12/13/18	(3,728,294)	3,728,294
DEUTSCHE BK FINL TRI REPO	2.190% 12/14/2018 DD 12/13/18	(1,160,902)	1,160,902
J P MORGAN CHASE TRI REPO	2.280% 12/18/2018 DD 12/17/18	(4,392,044)	4,392,044
MIZUHO REPO ATRI REPO	2.290% 12/18/2018 DD 12/17/18	(681,858)	681,858
SOCIETE GENERALE TRI REPO	2.280% 12/18/2018 DD 12/17/18	(1,206,123)	1,206,123
RBC CAPITAL MAR TRI REPO	2.280% 12/18/2018 DD 12/17/18	(29,862)	29,862
CREDIT AGRICOLE TRI REPO	2.280% 12/18/2018 DD 12/17/18	(4,034,198)	4,034,198
BARCLAYS BANK PLC TRI REPO	2.280% 12/18/2018 DD 12/17/18	(2,446,574)	2,446,574
CITIGROUP GLOBAL TRI REPO	2.280% 12/18/2018 DD 12/17/18	(239,460)	239,460
CREDIT AGRICOLE TRI REPO	2.190% 12/17/2018 DD 12/14/18	(10,525,632)	10,525,632
BARCLAYS BANK PLC TRI REPO	2.200% 12/17/2018 DD 12/14/18	(7,694,033)	7,694,033
CITIGROUP GLOBAL TRI REPO	2.190% 12/17/2018 DD 12/14/18	(347,263)	347,263
MERRILL LYNCH TRI REPO	2.190% 12/17/2018 DD 12/14/18	(1,812,810)	1,812,810
DEUTSCHE BK FINL TRI REPO	2.200% 12/17/2018 DD 12/14/18	(8,926,900)	8,926,900
CITIBANK NY ST TRI REPO	2.190% 12/17/2018 DD 12/14/18	(1,760,672)	1,760,672
BNP PARIBAS TRI REPO	2.280% 12/18/2018 DD 12/17/18	(588,906)	588,906
BARCLAYS BANK PLC TRI REPO	2.300% 12/19/2018 DD 12/18/18	(212,768)	212,768
DEUTSCHE BK FINL TRI REPO	2.330% 12/19/2018 DD 12/18/18	(248,241)	248,241
MERRILL LYNCH TRI REPO	2.290% 12/18/2018 DD 12/17/18	(796,622)	796,622

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
DEUTSCHE BK FINL TRI REPO	2.290% 12/18/2018 DD 12/17/18	(2,819,533)	2,819,533
CITIBANK NY ST TRI REPO	2.280% 12/18/2018 DD 12/17/18	(697,617)	697,617
BNP PARIBAS TRI REPO	2.300% 12/19/2018 DD 12/18/18	(111,689)	111,689
SOCIETE GENERALE TRI REPO	2.300% 12/19/2018 DD 12/18/18	(124,417)	124,417
J P MORGAN CHASE TRI REPO	2.310% 12/19/2018 DD 12/18/18	(425,948)	425,948
CREDIT AGRICOLE TRI REPO	2.300% 12/19/2018 DD 12/18/18	(809,411)	809,411
BNP PARIBAS TRI REPO	2.290% 12/20/2018 DD 12/19/18	(3,602,498)	3,602,498
J P MORGAN CHASE TRI REPO	2.300% 12/20/2018 DD 12/19/18	(28,622,741)	28,622,741
SOCIETE GENERALE TRI REPO	2.290% 12/20/2018 DD 12/19/18	(6,796,909)	6,796,909
MIZUHO REPO ATRI REPO	2.300% 12/20/2018 DD 12/19/18	(3,915,629)	3,915,629
RBC CAPITAL MAR TRI REPO	2.290% 12/20/2018 DD 12/19/18	(472,261)	472,261
CREDIT AGRICOLE TRI REPO	2.290% 12/20/2018 DD 12/19/18	(30,509,606)	30,509,606
BARCLAYS BANK PLC TRI REPO	2.300% 12/20/2018 DD 12/19/18	(22,505,088)	22,505,088
CITIGROUP GLOBAL TRI REPO	2.300% 12/20/2018 DD 12/19/18	(1,609,453)	1,609,453
MERRILL LYNCH TRI REPO	2.290% 12/20/2018 DD 12/19/18	(4,568,242)	4,568,242
DEUTSCHE BK FINL TRI REPO	2.300% 12/20/2018 DD 12/19/18	(22,632,520)	22,632,520
CITIBANK NY ST TRI REPO	2.300% 12/20/2018 DD 12/19/18	(4,485,343)	4,485,343
J P MORGAN CHASE TRI REPO	2.390% 12/21/2018 DD 12/20/18	(4,241,654)	4,241,654
BNP PARIBAS TRI REPO	2.390% 12/21/2018 DD 12/20/18	(459,400)	459,400
SOCIETE GENERALE TRI REPO	2.400% 12/21/2018 DD 12/20/18	(807,847)	807,847
MIZUHO REPO ATRI REPO	2.400% 12/21/2018 DD 12/20/18	(452,337)	452,337
CREDIT AGRICOLE TRI REPO	2.390% 12/21/2018 DD 12/20/18	(4,019,600)	4,019,600
BARCLAYS BANK PLC TRI REPO	2.380% 12/21/2018 DD 12/20/18	(3,275,998)	3,275,998
CITIGROUP GLOBAL TRI REPO	2.400% 12/21/2018 DD 12/20/18	(133,150)	133,150
CITIBANK NY ST TRI REPO	2.400% 12/21/2018 DD 12/20/18	(476,017)	476,017
MERRILL LYNCH TRI REPO	2.390% 12/21/2018 DD 12/20/18	(515,161)	515,161
DEUTSCHE BK FINL TRI REPO	2.410% 12/21/2018 DD 12/20/18	(3,255,879)	3,255,879
BNP PARIBAS TRI REPO	2.410% 12/26/2018 DD 12/24/18	(250,374)	250,374
SOCIETE GENERALE TRI REPO	2.400% 12/26/2018 DD 12/24/18	(691,248)	691,248
J P MORGAN CHASE TRI REPO	2.400% 12/26/2018 DD 12/24/18	(22,917,022)	22,917,022
BNP PARIBAS TRI REPO	2.390% 12/24/2018 DD 12/21/18	(654,030)	654,030
J P MORGAN CHASE TRI REPO	2.390% 12/24/2018 DD 12/21/18	(5,383,534)	5,383,534
SOCIETE GENERALE TRI REPO	2.380% 12/24/2018 DD 12/21/18	(1,217,417)	1,217,417
MIZUHO REPO ATRI REPO	2.390% 12/24/2018 DD 12/21/18	(665,277)	665,277
CREDIT AGRICOLE TRI REPO	2.390% 12/24/2018 DD 12/21/18	(5,831,500)	5,831,500
BARCLAYS BANK PLC TRI REPO	2.390% 12/24/2018 DD 12/21/18	(4,552,595)	4,552,595
CITIGROUP GLOBAL TRI REPO	2.390% 12/24/2018 DD 12/21/18	(218,249)	218,249
MERRILL LYNCH TRI REPO	2.380% 12/24/2018 DD 12/21/18	(761,480)	761,480
DEUTSCHE BK FINL TRI REPO	2.400% 12/24/2018 DD 12/21/18	(4,580,295)	4,580,295
CITIBANK NY ST TRI REPO	2.390% 12/24/2018 DD 12/21/18	(719,858)	719,858
J P MORGAN CHASE TRI REPO	2.430% 12/27/2018 DD 12/26/18	(15,806,006)	15,806,006
SOCIETE GENERALE TRI REPO	2.420% 12/27/2018 DD 12/26/18	(1,401,187)	1,401,187
CREDIT AGRICOLE TRI REPO	2.430% 12/27/2018 DD 12/26/18	(4,111,692)	4,111,692
BARCLAYS BANK PLC TRI REPO	2.430% 12/27/2018 DD 12/26/18	(6,381,643)	6,381,643
CITIGROUP GLOBAL TRI REPO	2.430% 12/27/2018 DD 12/26/18	(131,612)	131,612
CREDIT AGRICOLE TRI REPO	2.400% 12/26/2018 DD 12/24/18	(21,972,188)	21,972,188
MERRILL LYNCH TRI REPO	2.400% 12/26/2018 DD 12/24/18	(396,492)	396,492
BARCLAYS BANK PLC TRI REPO	2.400% 12/26/2018 DD 12/24/18	(9,538,080)	9,538,080
DEUTSCHE BK FINL TRI REPO	2.410% 12/26/2018 DD 12/24/18	(9,394,995)	9,394,995
CITIBANK NY ST TRI REPO	2.400% 12/26/2018 DD 12/24/18	(446,924)	446,924
BNP PARIBAS TRI REPO	2.420% 12/27/2018 DD 12/26/18	(709,894)	709,894
MERRILL LYNCH TRI REPO	2.420% 12/28/2018 DD 12/27/18	(503,909)	503,909
DEUTSCHE BK FINL TRI REPO	2.440% 12/28/2018 DD 12/27/18	(2,432,229)	2,432,229
CITIBANK NY ST TRI REPO	2.430% 12/28/2018 DD 12/27/18	(424,509)	424,509
MERRILL LYNCH TRI REPO	2.430% 12/27/2018 DD 12/26/18	(825,428)	825,428
DEUTSCHE BK FINL TRI REPO	2.440% 12/27/2018 DD 12/26/18	(6,571,698)	6,571,698

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
CITIBANK NY ST TRI REPO	2.430% 12/27/2018 DD 12/26/18	(922,248)	922,248
BNP PARIBAS TRI REPO	2.420% 12/28/2018 DD 12/27/18	(327,451)	327,451
J P MORGAN CHASE TRI REPO	2.430% 12/28/2018 DD 12/27/18	(6,015,205)	6,015,205
SOCIETE GENERALE TRI REPO	2.420% 12/28/2018 DD 12/27/18	(738,905)	738,905
CREDIT AGRICOLE TRI REPO	2.430% 12/28/2018 DD 12/27/18	(685,680)	685,680
BARCLAYS BANK PLC TRI REPO	2.430% 12/28/2018 DD 12/27/18	(2,408,916)	2,408,916
CITIBANK NY ST TRI REPO	2.450% 12/31/2018 DD 12/28/18	(408,150)	408,150
BNP PARIBAS TRI REPO	2.450% 12/31/2018 DD 12/28/18	(367,872)	367,872
J P MORGAN CHASE TRI REPO	2.450% 12/31/2018 DD 12/28/18	(20,165,224)	20,165,224
SOCIETE GENERALE TRI REPO	2.440% 12/31/2018 DD 12/28/18	(711,515)	711,515
CREDIT AGRICOLE TRI REPO	2.440% 12/31/2018 DD 12/28/18	(143,069)	143,069
BARCLAYS BANK PLC TRI REPO	2.450% 12/31/2018 DD 12/28/18	(7,119,690)	7,119,690
CITIGROUP GLOBAL TRI REPO	2.450% 12/31/2018 DD 12/28/18	(171,478)	171,478
MERRILL LYNCH TRI REPO	2.450% 12/31/2018 DD 12/28/18	(413,343)	413,343
DEUTSCHE BK FINL TRI REPO	2.460% 12/31/2018 DD 12/28/18	(9,172,779)	9,172,779
J P MORGAN CHASE TRI REPO	1.320% 01/03/2018 DD 01/02/18	(567,783)	567,783
BARCLAYS BANK PLC TRI REPO	1.340% 01/03/2018 DD 01/02/18	(180,895)	180,895
J P MORGAN CHASE TRI REPO	1.320% 01/04/2018 DD 01/03/18	(486,354)	486,354
BARCLAYS BANK PLC TRI REPO	1.300% 01/08/2018 DD 01/05/18	(115,295)	115,295
J P MORGAN CHASE TRI REPO	1.300% 01/08/2018 DD 01/05/18	(196,929)	196,929
BARCLAYS BANK PLC TRI REPO	1.270% 01/10/2018 DD 01/09/18	(117,078)	117,078
CITIBANK NY ST TRI REPO	1.370% 03/07/2018 DD 03/06/18	(116,146)	116,146
BARCLAYS BANK PLC TRI REPO	1.380% 03/07/2018 DD 03/06/18	(7,217)	7,217
SOCIETE GENERALE TRI REPO	1.390% 03/09/2018 DD 03/08/18	(2,188,738)	2,188,738
J P MORGAN CHASE TRI REPO	1.380% 03/09/2018 DD 03/08/18	(3,891,712)	3,891,712
MERRILL LYNCH TRI REPO	1.410% 03/09/2018 DD 03/08/18	(245,732)	245,732
CITIBANK NY ST TRI REPO	1.410% 03/09/2018 DD 03/08/18	(176,568)	176,568
MIZUHO REPO ATRI REPO	1.430% 03/09/2018 DD 03/08/18	(244,984)	244,984
BARCLAYS BANK PLC TRI REPO	1.410% 03/09/2018 DD 03/08/18	(1,468,683)	1,468,683
DEUTSCHE BK FINL TRI REPO	1.420% 03/09/2018 DD 03/08/18	(1,682,789)	1,682,789
BNP PARIBAS TRI REPO	1.410% 03/09/2018 DD 03/08/18	(206,848)	206,848
CREDIT AGRICOLE TRI REPO	1.400% 03/09/2018 DD 03/08/18	(2,861,561)	2,861,561
MERRILL LYNCH TRI REPO	1.400% 03/12/2018 DD 03/09/18	(177,573)	177,573
CITIBANK NY ST TRI REPO	1.400% 03/12/2018 DD 03/09/18	(137,532)	137,532
MIZUHO REPO ATRI REPO	1.410% 03/12/2018 DD 03/09/18	(165,514)	165,514
BARCLAYS BANK PLC TRI REPO	1.400% 03/12/2018 DD 03/09/18	(1,245,711)	1,245,711
DEUTSCHE BK FINL TRI REPO	1.410% 03/12/2018 DD 03/09/18	(818,379)	818,379
BNP PARIBAS TRI REPO	1.400% 03/12/2018 DD 03/09/18	(140,427)	140,427
CREDIT AGRICOLE TRI REPO	1.400% 03/12/2018 DD 03/09/18	(1,565,824)	1,565,824
SOCIETE GENERALE TRI REPO	1.390% 03/12/2018 DD 03/09/18	(1,213,258)	1,213,258
J P MORGAN CHASE TRI REPO	1.380% 03/12/2018 DD 03/09/18	(2,016,504)	2,016,504
DEUTSCHE BK FINL TRI REPO	1.410% 03/13/2018 DD 03/12/18	(1,109,308)	1,109,308
BNP PARIBAS TRI REPO	1.400% 03/13/2018 DD 03/12/18	(124,829)	124,829
CREDIT AGRICOLE TRI REPO	1.400% 03/13/2018 DD 03/12/18	(1,872,591)	1,872,591
SOCIETE GENERALE TRI REPO	1.380% 03/13/2018 DD 03/12/18	(539,086)	539,086
J P MORGAN CHASE TRI REPO	1.380% 03/13/2018 DD 03/12/18	(1,898,531)	1,898,531
MERRILL LYNCH TRI REPO	1.400% 03/13/2018 DD 03/12/18	(156,171)	156,171
CITIBANK NY ST TRI REPO	1.390% 03/13/2018 DD 03/12/18	(118,372)	118,372
MIZUHO REPO ATRI REPO	1.410% 03/13/2018 DD 03/12/18	(145,908)	145,908
BARCLAYS BANK PLC TRI REPO	1.400% 03/13/2018 DD 03/12/18	(2,103,720)	2,103,720
BNP PARIBAS TRI REPO	1.400% 03/15/2018 DD 03/14/18	(109,705)	109,705
MIZUHO REPO ATRI REPO	1.410% 03/14/2018 DD 03/13/18	(152,407)	152,407
BNP PARIBAS TRI REPO	1.400% 03/14/2018 DD 03/13/18	(171,928)	171,928
SOCIETE GENERALE TRI REPO	1.390% 03/14/2018 DD 03/13/18	(677,802)	677,802
J P MORGAN CHASE TRI REPO	1.380% 03/14/2018 DD 03/13/18	(2,583,337)	2,583,337
MERRILL LYNCH TRI REPO	1.400% 03/14/2018 DD 03/13/18	(192,399)	192,399

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
CITIBANK NY ST TRI REPO	1.390% 03/14/2018 DD 03/13/18	(163,040)	163,040
BARCLAYS BANK PLC TRI REPO	1.400% 03/14/2018 DD 03/13/18	(3,097,922)	3,097,922
DEUTSCHE BK FINL TRI REPO	1.410% 03/14/2018 DD 03/13/18	(1,955,594)	1,955,594
CREDIT AGRICOLE TRI REPO	1.390% 03/14/2018 DD 03/13/18	(2,624,622)	2,624,622
SOCIETE GENERALE TRI REPO	1.460% 03/16/2018 DD 03/15/18	(305,432)	305,432
J P MORGAN CHASE TRI REPO	1.480% 03/16/2018 DD 03/15/18	(2,499,450)	2,499,450
BANK OF MONTREAL TRI REPO	1.400% 03/15/2018 DD 03/14/18	(86,878)	86,878
SOCIETE GENERALE TRI REPO	1.390% 03/15/2018 DD 03/14/18	(436,371)	436,371
J P MORGAN CHASE TRI REPO	1.390% 03/15/2018 DD 03/14/18	(2,342,788)	2,342,788
CITIBANK NY ST TRI REPO	1.400% 03/15/2018 DD 03/14/18	(105,196)	105,196
BARCLAYS BANK PLC TRI REPO	1.410% 03/15/2018 DD 03/14/18	(2,105,863)	2,105,863
DEUTSCHE BK FINL TRI REPO	1.420% 03/15/2018 DD 03/14/18	(1,105,982)	1,105,982
CREDIT AGRICOLE TRI REPO	1.400% 03/15/2018 DD 03/14/18	(1,525,711)	1,525,711
MERRILL LYNCH TRI REPO	1.410% 03/15/2018 DD 03/14/18	(121,197)	121,197
J P MORGAN CHASE TRI REPO	1.450% 03/19/2018 DD 03/16/18	(528,576)	528,576
DEUTSCHE BK FINL TRI REPO	1.460% 03/19/2018 DD 03/16/18	(492,289)	492,289
BARCLAYS BANK PLC TRI REPO	1.470% 03/19/2018 DD 03/16/18	(208,656)	208,656
BARCLAYS BANK PLC TRI REPO	1.500% 03/16/2018 DD 03/15/18	(2,009,199)	2,009,199
BNP PARIBAS TRI REPO	1.480% 03/16/2018 DD 03/15/18	(106,643)	106,643
DEUTSCHE BK FINL TRI REPO	1.500% 03/16/2018 DD 03/15/18	(2,404,673)	2,404,673
CREDIT AGRICOLE TRI REPO	1.460% 03/16/2018 DD 03/15/18	(1,313,999)	1,313,999
BANK OF MONTREAL TRI REPO	1.470% 03/16/2018 DD 03/15/18	(152,075)	152,075
SOCIETE GENERALE TRI REPO	1.460% 03/19/2018 DD 03/16/18	(220,417)	220,417
J P MORGAN CHASE TRI REPO	1.440% 03/20/2018 DD 03/19/18	(324,345)	324,345
BARCLAYS BANK PLC TRI REPO	1.450% 03/20/2018 DD 03/19/18	(126,810)	126,810
DEUTSCHE BK FINL TRI REPO	1.450% 03/20/2018 DD 03/19/18	(299,961)	299,961
CREDIT AGRICOLE TRI REPO	1.450% 03/20/2018 DD 03/19/18	(239,345)	239,345
BANK OF MONTREAL TRI REPO	1.430% 03/19/2018 DD 03/16/18	(185,985)	185,985
CREDIT AGRICOLE TRI REPO	1.430% 03/19/2018 DD 03/16/18	(205,507)	205,507
SOCIETE GENERALE TRI REPO	1.440% 03/20/2018 DD 03/19/18	(136,938)	136,938
DEUTSCHE BK FINL TRI REPO	1.470% 03/21/2018 DD 03/20/18	(200,854)	200,854
CREDIT AGRICOLE TRI REPO	1.450% 03/21/2018 DD 03/20/18	(125,062)	125,062
J P MORGAN CHASE TRI REPO	1.450% 03/21/2018 DD 03/20/18	(212,236)	212,236
BARCLAYS BANK PLC TRI REPO	1.470% 03/21/2018 DD 03/20/18	(221,373)	221,373
SOCIETE GENERALE TRI REPO	1.470% 03/22/2018 DD 03/21/18	(119,261)	119,261
J P MORGAN CHASE TRI REPO	1.450% 03/22/2018 DD 03/21/18	(275,220)	275,220
CITIBANK NY ST TRI REPO	1.470% 03/22/2018 DD 03/21/18	(46,338)	46,338
BARCLAYS BANK PLC TRI REPO	1.450% 03/22/2018 DD 03/21/18	(111,674)	111,674
DEUTSCHE BK FINL TRI REPO	1.470% 03/22/2018 DD 03/21/18	(262,625)	262,625
CREDIT AGRICOLE TRI REPO	1.460% 03/22/2018 DD 03/21/18	(161,661)	161,661
J P MORGAN CHASE TRI REPO	1.270% 01/16/2018 DD 01/12/18	(228,451)	228,451
BARCLAYS BANK PLC TRI REPO	1.300% 01/17/2018 DD 01/16/18	(143,557)	143,557
SOCIETE GENERALE TRI REPO	1.300% 01/17/2018 DD 01/16/18	(134,378)	134,378
J P MORGAN CHASE TRI REPO	1.280% 01/17/2018 DD 01/16/18	(457,628)	457,628
BARCLAYS BANK PLC TRI REPO	1.330% 01/17/2018 DD 01/16/18	(145,221)	145,221
CITIBANK NY ST TRI REPO	1.290% 01/16/2018 DD 01/12/18	(143,675)	143,675
DEUTSCHE BK FINL TRI REPO	1.300% 01/16/2018 DD 01/12/18	(106,257)	106,257
DEUTSCHE BK FINL TRI REPO	1.310% 01/17/2018 DD 01/16/18	(227,523)	227,523
CITIBANK NY ST TRI REPO	1.300% 01/18/2018 DD 01/17/18	(162,334)	162,334
CREDIT AGRICOLE TRI REPO	1.280% 01/23/2018 DD 01/22/18	(82,793)	82,793
J P MORGAN CHASE TRI REPO	1.260% 01/23/2018 DD 01/22/18	(144,803)	144,803
BARCLAYS BANK PLC TRI REPO	1.280% 01/23/2018 DD 01/22/18	(155,505)	155,505
BNP PARIBAS TRI REPO	1.300% 01/25/2018 DD 01/24/18	(65,794)	65,794
CREDIT AGRICOLE TRI REPO	1.300% 01/25/2018 DD 01/24/18	(123,510)	123,510
SOCIETE GENERALE TRI REPO	1.300% 01/25/2018 DD 01/24/18	(398,085)	398,085
J P MORGAN CHASE TRI REPO	1.280% 01/25/2018 DD 01/24/18	(1,300,026)	1,300,026

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
DEUTSCHE BK FINL TRI REPO	1.330% 01/25/2018 DD 01/24/18	(374,986)	374,986
BARCLAYS BANK PLC TRI REPO	1.300% 01/25/2018 DD 01/24/18	(250,409)	250,409
J P MORGAN CHASE TRI REPO	1.280% 01/29/2018 DD 01/26/18	(156,887)	156,887
CITIBANK NY ST TRI REPO	1.290% 01/29/2018 DD 01/26/18	(117,123)	117,123
DEUTSCHE BK FINL TRI REPO	1.320% 01/29/2018 DD 01/26/18	(122,335)	122,335
CREDIT AGRICOLE TRI REPO	1.290% 01/31/2018 DD 01/30/18	(511,608)	511,608
SOCIETE GENERALE TRI REPO	1.280% 01/31/2018 DD 01/30/18	(474,927)	474,927
BARCLAYS BANK PLC TRI REPO	1.290% 01/31/2018 DD 01/30/18	(430,622)	430,622
J P MORGAN CHASE TRI REPO	1.280% 01/31/2018 DD 01/30/18	(687,655)	687,655
SOCIETE GENERALE TRI REPO	1.300% 02/02/2018 DD 02/01/18	(354,586)	354,586
J P MORGAN CHASE TRI REPO	1.280% 02/02/2018 DD 02/01/18	(598,920)	598,920
BARCLAYS BANK PLC TRI REPO	1.290% 02/02/2018 DD 02/01/18	(150,183)	150,183
DEUTSCHE BK FINL TRI REPO	1.290% 02/02/2018 DD 02/01/18	(147,668)	147,668
BARCLAYS BANK PLC TRI REPO	1.350% 02/01/2018 DD 01/31/18	(573,038)	573,038
J P MORGAN CHASE TRI REPO	1.300% 02/01/2018 DD 01/31/18	(1,137,384)	1,137,384
CREDIT AGRICOLE TRI REPO	1.320% 02/01/2018 DD 01/31/18	(452,303)	452,303
DEUTSCHE BK FINL TRI REPO	1.310% 02/01/2018 DD 01/31/18	(365,725)	365,725
SOCIETE GENERALE TRI REPO	1.320% 02/01/2018 DD 01/31/18	(799,467)	799,467
CREDIT AGRICOLE TRI REPO	1.300% 02/02/2018 DD 02/01/18	(135,534)	135,534
SOCIETE GENERALE TRI REPO	1.290% 02/06/2018 DD 02/05/18	(265,261)	265,261
J P MORGAN CHASE TRI REPO	1.280% 02/06/2018 DD 02/05/18	(1,466,802)	1,466,802
BARCLAYS BANK PLC TRI REPO	1.290% 02/06/2018 DD 02/05/18	(541,404)	541,404
SOCIETE GENERALE TRI REPO	1.260% 02/08/2018 DD 02/07/18	(308,506)	308,506
J P MORGAN CHASE TRI REPO	1.260% 02/07/2018 DD 02/06/18	(390,063)	390,063
BARCLAYS BANK PLC TRI REPO	1.270% 02/07/2018 DD 02/06/18	(189,482)	189,482
DEUTSCHE BK FINL TRI REPO	1.270% 02/07/2018 DD 02/06/18	(102,832)	102,832
J P MORGAN CHASE TRI REPO	1.280% 02/09/2018 DD 02/08/18	(237,645)	237,645
CITIBANK NY ST TRI REPO	1.290% 02/09/2018 DD 02/08/18	(185,568)	185,568
BARCLAYS BANK PLC TRI REPO	1.300% 02/09/2018 DD 02/08/18	(117,172)	117,172
J P MORGAN CHASE TRI REPO	1.260% 02/08/2018 DD 02/07/18	(878,264)	878,264
CITIBANK NY ST TRI REPO	1.270% 02/08/2018 DD 02/07/18	(117,206)	117,206
MIZUHO REPO ATRI REPO	1.280% 02/08/2018 DD 02/07/18	(102,670)	102,670
BARCLAYS BANK PLC TRI REPO	1.270% 02/08/2018 DD 02/07/18	(394,959)	394,959
DEUTSCHE BK FINL TRI REPO	1.270% 02/08/2018 DD 02/07/18	(148,726)	148,726
BARCLAYS BANK PLC TRI REPO	1.300% 02/12/2018 DD 02/09/18	(353,849)	353,849
DEUTSCHE BK FINL TRI REPO	1.300% 02/12/2018 DD 02/09/18	(218,917)	218,917
CREDIT AGRICOLE TRI REPO	1.290% 02/12/2018 DD 02/09/18	(147,882)	147,882
SOCIETE GENERALE TRI REPO	1.290% 02/12/2018 DD 02/09/18	(399,801)	399,801
J P MORGAN CHASE TRI REPO	1.280% 02/12/2018 DD 02/09/18	(908,240)	908,240
MERRILL LYNCH TRI REPO	1.290% 02/12/2018 DD 02/09/18	(158,907)	158,907
CITIBANK NY ST TRI REPO	1.290% 02/12/2018 DD 02/09/18	(194,004)	194,004
SOCIETE GENERALE TRI REPO	1.300% 02/14/2018 DD 02/13/18	(146,615)	146,615
J P MORGAN CHASE TRI REPO	1.280% 02/14/2018 DD 02/13/18	(385,850)	385,850
CITIBANK NY ST TRI REPO	1.270% 02/15/2018 DD 02/14/18	(133,233)	133,233
BARCLAYS BANK PLC TRI REPO	1.280% 02/15/2018 DD 02/14/18	(145,100)	145,100
DEUTSCHE BK FINL TRI REPO	1.260% 02/15/2018 DD 02/14/18	(237,275)	237,275
CITIBANK NY ST TRI REPO	1.290% 02/14/2018 DD 02/13/18	(120,771)	120,771
DEUTSCHE BK FINL TRI REPO	1.310% 02/14/2018 DD 02/13/18	(163,496)	163,496
BARCLAYS BANK PLC TRI REPO	1.300% 02/14/2018 DD 02/13/18	(126,608)	126,608
SOCIETE GENERALE TRI REPO	1.280% 02/15/2018 DD 02/14/18	(170,729)	170,729
J P MORGAN CHASE TRI REPO	1.270% 02/15/2018 DD 02/14/18	(414,222)	414,222
CREDIT AGRICOLE TRI REPO	1.310% 02/16/2018 DD 02/15/18	(95,075)	95,075
J P MORGAN CHASE TRI REPO	1.320% 02/16/2018 DD 02/15/18	(133,321)	133,321
BARCLAYS BANK PLC TRI REPO	1.350% 02/16/2018 DD 02/15/18	(140,200)	140,200
J P MORGAN CHASE TRI REPO	1.310% 02/21/2018 DD 02/20/18	(132,272)	132,272
CITIBANK NY ST TRI REPO	1.320% 02/21/2018 DD 02/20/18	(127,165)	127,165

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
BARCLAYS BANK PLC TRI REPO	1.320% 02/21/2018 DD 02/20/18	(125,550)	125,550
CITIBANK NY ST TRI REPO	1.330% 02/20/2018 DD 02/16/18	(156,578)	156,578
BARCLAYS BANK PLC TRI REPO	1.300% 02/22/2018 DD 02/21/18	(132,680)	132,680
MERRILL LYNCH TRI REPO	1.310% 02/22/2018 DD 02/21/18	(118,385)	118,385
J P MORGAN CHASE TRI REPO	1.310% 02/26/2018 DD 02/23/18	(623,759)	623,759
SOCIETE GENERALE TRI REPO	1.310% 02/26/2018 DD 02/23/18	(232,466)	232,466
CITIBANK NY ST TRI REPO	1.330% 02/26/2018 DD 02/23/18	(113,379)	113,379
BARCLAYS BANK PLC TRI REPO	1.330% 02/26/2018 DD 02/23/18	(181,720)	181,720
DEUTSCHE BK FINL TRI REPO	1.350% 02/26/2018 DD 02/23/18	(193,115)	193,115
CREDIT AGRICOLE TRI REPO	1.340% 02/26/2018 DD 02/23/18	(270,842)	270,842
BARCLAYS BANK PLC TRI REPO	1.320% 02/27/2018 DD 02/26/18	(126,551)	126,551
SOCIETE GENERALE TRI REPO	1.310% 02/27/2018 DD 02/26/18	(123,371)	123,371
J P MORGAN CHASE TRI REPO	1.310% 02/27/2018 DD 02/26/18	(357,417)	357,417
CREDIT AGRICOLE TRI REPO	1.320% 02/28/2018 DD 02/27/18	(127,549)	127,549
CREDIT AGRICOLE TRI REPO	1.320% 02/27/2018 DD 02/26/18	(235,781)	235,781
J P MORGAN CHASE TRI REPO	1.320% 02/28/2018 DD 02/27/18	(205,993)	205,993
BARCLAYS BANK PLC TRI REPO	1.330% 02/28/2018 DD 02/27/18	(142,364)	142,364
CREDIT AGRICOLE TRI REPO	1.680% 05/10/2018 DD 05/09/18	(123,596)	123,596
SOCIETE GENERALE TRI REPO	1.670% 05/10/2018 DD 05/09/18	(942,432)	942,432
SOCIETE GENERALE TRI REPO	1.670% 05/09/2018 DD 05/08/18	(962,635)	962,635
MERRILL LYNCH TRI REPO	1.680% 05/09/2018 DD 05/08/18	(365,035)	365,035
CITIBANK NY ST TRI REPO	1.680% 05/09/2018 DD 05/08/18	(159,258)	159,258
BARCLAYS BANK PLC TRI REPO	1.680% 05/09/2018 DD 05/08/18	(369,385)	369,385
DEUTSCHE BK FINL TRI REPO	1.680% 05/09/2018 DD 05/08/18	(295,336)	295,336
CREDIT AGRICOLE TRI REPO	1.670% 05/09/2018 DD 05/08/18	(665,181)	665,181
RBS SECURITIES TRI REPO	1.670% 05/09/2018 DD 05/08/18	(4,651,834)	4,651,834
MIZUHO REPO ATRI REPO	1.690% 05/09/2018 DD 05/08/18	(360,289)	360,289
BNP PARIBAS TRI REPO	1.670% 05/09/2018 DD 05/08/18	(306,998)	306,998
SOCIETE GENERALE TRI REPO	1.680% 05/11/2018 DD 05/10/18	(427,712)	427,712
MERRILL LYNCH TRI REPO	1.690% 05/11/2018 DD 05/10/18	(148,207)	148,207
CITIBANK NY ST TRI REPO	1.690% 05/11/2018 DD 05/10/18	(192,044)	192,044
MIZUHO REPO ATRI REPO	1.690% 05/11/2018 DD 05/10/18	(147,169)	147,169
MERRILL LYNCH TRI REPO	1.680% 05/10/2018 DD 05/09/18	(363,777)	363,777
CITIBANK NY ST TRI REPO	1.680% 05/10/2018 DD 05/09/18	(202,801)	202,801
MIZUHO REPO ATRI REPO	1.690% 05/10/2018 DD 05/09/18	(361,211)	361,211
BARCLAYS BANK PLC TRI REPO	1.680% 05/10/2018 DD 05/09/18	(323,859)	323,859
DEUTSCHE BK FINL TRI REPO	1.680% 05/10/2018 DD 05/09/18	(283,959)	283,959
BNP PARIBAS TRI REPO	1.680% 05/10/2018 DD 05/09/18	(289,820)	289,820
CREDIT AGRICOLE TRI REPO	1.670% 05/10/2018 DD 05/09/18	(522,663)	522,663
RBS SECURITIES TRI REPO	1.670% 05/10/2018 DD 05/09/18	(4,379,627)	4,379,627
CITIBANK NY ST TRI REPO	1.700% 05/14/2018 DD 05/11/18	(125,726)	125,726
BARCLAYS BANK PLC TRI REPO	1.690% 05/14/2018 DD 05/11/18	(161,400)	161,400
DEUTSCHE BK FINL TRI REPO	1.700% 05/14/2018 DD 05/11/18	(127,229)	127,229
CREDIT AGRICOLE TRI REPO	1.690% 05/14/2018 DD 05/11/18	(200,464)	200,464
RBS SECURITIES TRI REPO	1.690% 05/14/2018 DD 05/11/18	(1,367,529)	1,367,529
MIZUHO REPO ATRI REPO	1.700% 05/14/2018 DD 05/11/18	(110,659)	110,659
BARCLAYS BANK PLC TRI REPO	1.690% 05/11/2018 DD 05/10/18	(308,854)	308,854
DEUTSCHE BK FINL TRI REPO	1.690% 05/11/2018 DD 05/10/18	(182,243)	182,243
CREDIT AGRICOLE TRI REPO	1.690% 05/11/2018 DD 05/10/18	(369,922)	369,922
RBS SECURITIES TRI REPO	1.680% 05/11/2018 DD 05/10/18	(2,251,498)	2,251,498
SOCIETE GENERALE TRI REPO	1.690% 05/14/2018 DD 05/11/18	(243,262)	243,262
SOCIETE GENERALE TRI REPO	1.690% 05/15/2018 DD 05/14/18	(114,291)	114,291
CITIBANK NY ST TRI REPO	1.700% 05/15/2018 DD 05/14/18	(103,427)	103,427
BARCLAYS BANK PLC TRI REPO	1.700% 05/15/2018 DD 05/14/18	(130,808)	130,808
DEUTSCHE BK FINL TRI REPO	1.700% 05/15/2018 DD 05/14/18	(101,800)	101,800
RBS SECURITIES TRI REPO	1.690% 05/15/2018 DD 05/14/18	(683,324)	683,324

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
SOCIETE GENERALE TRI REPO	1.740% 05/16/2018 DD 05/15/18	(201,773)	201,773
CITIBANK NY ST TRI REPO	1.730% 05/16/2018 DD 05/15/18	(149,677)	149,677
BARCLAYS BANK PLC TRI REPO	1.730% 05/16/2018 DD 05/15/18	(110,884)	110,884
DEUTSCHE BK FINL TRI REPO	1.740% 05/16/2018 DD 05/15/18	(114,528)	114,528
CREDIT AGRICOLE TRI REPO	1.730% 05/16/2018 DD 05/15/18	(200,235)	200,235
RBS SECURITIES TRI REPO	1.730% 05/16/2018 DD 05/15/18	(1,219,945)	1,219,945
RBS SECURITIES TRI REPO	1.700% 05/18/2018 DD 05/17/18	(596,227)	596,227
CREDIT AGRICOLE TRI REPO	1.650% 05/18/2018 DD 05/17/18	(181,166)	181,166
SOCIETE GENERALE TRI REPO	1.700% 05/18/2018 DD 05/17/18	(124,563)	124,563
CITIBANK NY ST TRI REPO	1.710% 05/18/2018 DD 05/17/18	(135,502)	135,502
CREDIT AGRICOLE TRI REPO	1.700% 05/18/2018 DD 05/17/18	(191,165)	191,165
SOCIETE GENERALE TRI REPO	1.660% 05/22/2018 DD 05/21/18	(229,217)	229,217
MERRILL LYNCH TRI REPO	1.660% 05/22/2018 DD 05/21/18	(52,972)	52,972
CITIBANK NY ST TRI REPO	1.660% 05/22/2018 DD 05/21/18	(130,618)	130,618
SOCIETE GENERALE TRI REPO	1.690% 05/21/2018 DD 05/18/18	(132,677)	132,677
CITIBANK NY ST TRI REPO	1.690% 05/21/2018 DD 05/18/18	(210,769)	210,769
BARCLAYS BANK PLC TRI REPO	1.690% 05/21/2018 DD 05/18/18	(148,567)	148,567
CREDIT AGRICOLE TRI REPO	1.680% 05/21/2018 DD 05/18/18	(206,771)	206,771
RBS SECURITIES TRI REPO	1.680% 05/21/2018 DD 05/18/18	(700,899)	700,899
BARCLAYS BANK PLC TRI REPO	1.650% 05/22/2018 DD 05/21/18	(352,187)	352,187
DEUTSCHE BK FINL TRI REPO	1.650% 05/22/2018 DD 05/21/18	(165,752)	165,752
BNP PARIBAS TRI REPO	1.650% 05/22/2018 DD 05/21/18	(124,560)	124,560
CREDIT AGRICOLE TRI REPO	1.650% 05/22/2018 DD 05/21/18	(425,114)	425,114
RBS SECURITIES TRI REPO	1.650% 05/22/2018 DD 05/21/18	(1,379,183)	1,379,183
SOCIETE GENERALE TRI REPO	1.630% 05/24/2018 DD 05/23/18	(607,775)	607,775
MERRILL LYNCH TRI REPO	1.630% 05/24/2018 DD 05/23/18	(194,192)	194,192
MIZUHO REPO ATRI REPO	1.630% 05/24/2018 DD 05/23/18	(182,274)	182,274
CITIBANK NY ST TRI REPO	1.630% 05/24/2018 DD 05/23/18	(174,315)	174,315
BARCLAYS BANK PLC TRI REPO	1.630% 05/24/2018 DD 05/23/18	(982,717)	982,717
DEUTSCHE BK FINL TRI REPO	1.620% 05/24/2018 DD 05/23/18	(505,890)	505,890
BNP PARIBAS TRI REPO	1.630% 05/24/2018 DD 05/23/18	(171,196)	171,196
CREDIT AGRICOLE TRI REPO	1.630% 05/24/2018 DD 05/23/18	(1,158,743)	1,158,743
RBS SECURITIES TRI REPO	1.620% 05/24/2018 DD 05/23/18	(3,439,919)	3,439,919
SOCIETE GENERALE TRI REPO	1.680% 05/29/2018 DD 05/25/18	(450,008)	450,008
CITIBANK NY ST TRI REPO	1.700% 05/29/2018 DD 05/25/18	(164,749)	164,749
MIZUHO REPO ATRI REPO	1.700% 05/29/2018 DD 05/25/18	(106,850)	106,850
SOCIETE GENERALE TRI REPO	1.700% 05/25/2018 DD 05/24/18	(403,783)	403,783
CITIBANK NY ST TRI REPO	1.710% 05/25/2018 DD 05/24/18	(181,501)	181,501
MIZUHO REPO ATRI REPO	1.720% 05/25/2018 DD 05/24/18	(108,588)	108,588
BARCLAYS BANK PLC TRI REPO	1.710% 05/25/2018 DD 05/24/18	(1,080,368)	1,080,368
DEUTSCHE BK FINL TRI REPO	1.710% 05/25/2018 DD 05/24/18	(452,482)	452,482
RBS SECURITIES TRI REPO	1.700% 05/25/2018 DD 05/24/18	(9,767,844)	9,767,844
CREDIT AGRICOLE TRI REPO	1.700% 05/25/2018 DD 05/24/18	(986,488)	986,488
SOCIETE GENERALE TRI REPO	1.660% 03/26/2018 DD 03/23/18	(134,370)	134,370
J P MORGAN CHASE TRI REPO	1.670% 03/26/2018 DD 03/23/18	(163,947)	163,947
SOCIETE GENERALE TRI REPO	1.630% 03/23/2018 DD 03/22/18	(325,129)	325,129
J P MORGAN CHASE TRI REPO	1.620% 03/23/2018 DD 03/22/18	(750,732)	750,732
BARCLAYS BANK PLC TRI REPO	1.630% 03/23/2018 DD 03/22/18	(318,810)	318,810
DEUTSCHE BK FINL TRI REPO	1.650% 03/23/2018 DD 03/22/18	(699,876)	699,876
RBS SECURITIES TRI REPO	1.620% 03/23/2018 DD 03/22/18	(152,940)	152,940
CREDIT AGRICOLE TRI REPO	1.620% 03/23/2018 DD 03/22/18	(431,341)	431,341
RBS SECURITIES TRI REPO	1.670% 03/26/2018 DD 03/23/18	(363,923)	363,923
RBS SECURITIES TRI REPO	1.680% 03/27/2018 DD 03/26/18	(292,063)	292,063
J P MORGAN CHASE TRI REPO	1.680% 03/28/2018 DD 03/27/18	(165,725)	165,725
RBS SECURITIES TRI REPO	1.680% 03/28/2018 DD 03/27/18	(901,622)	901,622
MERRILL LYNCH TRI REPO	1.700% 03/28/2018 DD 03/27/18	(113,846)	113,846

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
RBS SECURITIES TRI REPO	1.750% 04/02/2018 DD 03/29/18	(3,102,815)	3,102,815
J P MORGAN CHASE TRI REPO	1.680% 03/29/2018 DD 03/28/18	(314,211)	314,211
BANK OF MONTREAL TRI REPO	1.670% 03/29/2018 DD 03/28/18	(116,362)	116,362
RBS SECURITIES TRI REPO	1.680% 03/29/2018 DD 03/28/18	(4,914,301)	4,914,301
RBS SECURITIES TRI REPO	1.750% 04/03/2018 DD 04/02/18	(643,199)	643,199
RBS SECURITIES TRI REPO	1.680% 04/05/2018 DD 04/04/18	(195,930)	195,930
RBS SECURITIES TRI REPO	1.800% 04/04/2018 DD 04/03/18	(589,842)	589,842
BARCLAYS BANK PLC TRI REPO	1.700% 04/06/2018 DD 04/05/18	(48,569)	48,569
RBS SECURITIES TRI REPO	1.680% 04/06/2018 DD 04/05/18	(240,577)	240,577
SOCIETE GENERALE TRI REPO	1.700% 04/12/2018 DD 04/11/18	(140,280)	140,280
SOCIETE GENERALE TRI REPO	1.700% 04/11/2018 DD 04/10/18	(100,084)	100,084
RBS SECURITIES TRI REPO	1.690% 04/11/2018 DD 04/10/18	(214,578)	214,578
BARCLAYS BANK PLC TRI REPO	1.670% 04/13/2018 DD 04/12/18	(107,570)	107,570
RBS SECURITIES TRI REPO	1.690% 04/12/2018 DD 04/11/18	(279,506)	279,506
RBS SECURITIES TRI REPO	1.660% 04/16/2018 DD 04/13/18	(229,756)	229,756
CREDIT AGRICOLE TRI REPO	1.670% 04/13/2018 DD 04/12/18	(114,460)	114,460
BANK OF MONTREAL TRI REPO	1.650% 04/13/2018 DD 04/12/18	(165,295)	165,295
RBS SECURITIES TRI REPO	1.660% 04/13/2018 DD 04/12/18	(541,815)	541,815
BANK OF MONTREAL TRI REPO	1.650% 04/16/2018 DD 04/13/18	(168,992)	168,992
CREDIT AGRICOLE TRI REPO	1.700% 04/17/2018 DD 04/16/18	(121,320)	121,320
BANK OF MONTREAL TRI REPO	1.690% 04/17/2018 DD 04/16/18	(140,095)	140,095
RBS SECURITIES TRI REPO	1.690% 04/17/2018 DD 04/16/18	(552,276)	552,276
BARCLAYS BANK PLC TRI REPO	1.700% 04/17/2018 DD 04/16/18	(122,449)	122,449
CITIBANK NY ST TRI REPO	1.700% 04/18/2018 DD 04/17/18	(120,984)	120,984
RBS SECURITIES TRI REPO	1.690% 04/18/2018 DD 04/17/18	(135,820)	135,820
CREDIT AGRICOLE TRI REPO	1.700% 04/18/2018 DD 04/17/18	(6,644)	6,644
SOCIETE GENERALE TRI REPO	1.670% 04/20/2018 DD 04/19/18	(274,506)	274,506
CREDIT AGRICOLE TRI REPO	1.680% 04/19/2018 DD 04/18/18	(74,017)	74,017
CITIBANK NY ST TRI REPO	1.670% 04/23/2018 DD 04/20/18	(165,751)	165,751
CITIBANK NY ST TRI REPO	1.670% 04/20/2018 DD 04/19/18	(167,497)	167,497
BARCLAYS BANK PLC TRI REPO	1.660% 04/20/2018 DD 04/19/18	(354,190)	354,190
DEUTSCHE BK FINL TRI REPO	1.660% 04/20/2018 DD 04/19/18	(202,849)	202,849
CREDIT AGRICOLE TRI REPO	1.650% 04/20/2018 DD 04/19/18	(582,227)	582,227
RBS SECURITIES TRI REPO	1.660% 04/20/2018 DD 04/19/18	(1,903,297)	1,903,297
BNP PARIBAS TRI REPO	1.670% 04/20/2018 DD 04/19/18	(148,024)	148,024
CREDIT AGRICOLE TRI REPO	1.660% 04/24/2018 DD 04/23/18	(15,123)	15,123
BANK OF MONTREAL TRI REPO	1.650% 04/24/2018 DD 04/23/18	(142,614)	142,614
RBS SECURITIES TRI REPO	1.660% 04/23/2018 DD 04/20/18	(252,864)	252,864
SOCIETE GENERALE TRI REPO	1.670% 04/26/2018 DD 04/25/18	(894,974)	894,974
MERRILL LYNCH TRI REPO	1.660% 04/26/2018 DD 04/25/18	(329,413)	329,413
CITIBANK NY ST TRI REPO	1.670% 04/26/2018 DD 04/25/18	(290,229)	290,229
BARCLAYS BANK PLC TRI REPO	1.680% 04/25/2018 DD 04/24/18	(1)	1
MERRILL LYNCH TRI REPO	1.670% 04/27/2018 DD 04/26/18	(240,881)	240,881
CITIBANK NY ST TRI REPO	1.670% 04/27/2018 DD 04/26/18	(199,467)	199,467
MIZUHO REPO ATRI REPO	1.670% 04/27/2018 DD 04/26/18	(226,512)	226,512
BARCLAYS BANK PLC TRI REPO	1.670% 04/27/2018 DD 04/26/18	(332,110)	332,110
DEUTSCHE BK FINL TRI REPO	1.680% 04/27/2018 DD 04/26/18	(150,960)	150,960
BNP PARIBAS TRI REPO	1.670% 04/27/2018 DD 04/26/18	(173,424)	173,424
MIZUHO REPO ATRI REPO	1.670% 04/26/2018 DD 04/25/18	(315,473)	315,473
DEUTSCHE BK FINL TRI REPO	1.670% 04/26/2018 DD 04/25/18	(221,347)	221,347
BARCLAYS BANK PLC TRI REPO	1.670% 04/26/2018 DD 04/25/18	(443,152)	443,152
BNP PARIBAS TRI REPO	1.660% 04/26/2018 DD 04/25/18	(255,489)	255,489
CREDIT AGRICOLE TRI REPO	1.660% 04/26/2018 DD 04/25/18	(718,882)	718,882
CREDIT AGRICOLE TRI REPO	1.670% 04/26/2018 DD 04/25/18	(753,059)	753,059
RBS SECURITIES TRI REPO	1.660% 04/26/2018 DD 04/25/18	(9,024,525)	9,024,525
SOCIETE GENERALE TRI REPO	1.670% 04/27/2018 DD 04/26/18	(633,734)	633,734

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
DEUTSCHE BK FINL TRI REPO	1.680% 04/30/2018 DD 04/27/18	(110,694)	110,694
BNP PARIBAS TRI REPO	1.680% 04/30/2018 DD 04/27/18	(158,572)	158,572
CREDIT AGRICOLE TRI REPO	1.670% 04/30/2018 DD 04/27/18	(400,896)	400,896
RBS SECURITIES TRI REPO	1.670% 04/30/2018 DD 04/27/18	(5,848,225)	5,848,225
CREDIT AGRICOLE TRI REPO	1.670% 04/27/2018 DD 04/26/18	(633,095)	633,095
RBS SECURITIES TRI REPO	1.670% 04/27/2018 DD 04/26/18	(6,888,035)	6,888,035
SOCIETE GENERALE TRI REPO	1.670% 04/30/2018 DD 04/27/18	(517,301)	517,301
MERRILL LYNCH TRI REPO	1.670% 04/30/2018 DD 04/27/18	(184,175)	184,175
CITIBANK NY ST TRI REPO	1.670% 04/30/2018 DD 04/27/18	(136,913)	136,913
MIZUHO REPO ATRI REPO	1.670% 04/30/2018 DD 04/27/18	(176,106)	176,106
BARCLAYS BANK PLC TRI REPO	1.680% 04/30/2018 DD 04/27/18	(248,760)	248,760
RBS SECURITIES TRI REPO	1.700% 05/01/2018 DD 04/30/18	(4,806,598)	4,806,598
CREDIT AGRICOLE TRI REPO	1.690% 05/01/2018 DD 04/30/18	(119,397)	119,397
SOCIETE GENERALE TRI REPO	1.720% 05/01/2018 DD 04/30/18	(526,972)	526,972
CITIBANK NY ST TRI REPO	1.700% 05/01/2018 DD 04/30/18	(201,773)	201,773
MERRILL LYNCH TRI REPO	1.710% 05/01/2018 DD 04/30/18	(202,227)	202,227
MIZUHO REPO ATRI REPO	1.730% 05/01/2018 DD 04/30/18	(187,631)	187,631
BARCLAYS BANK PLC TRI REPO	1.710% 05/01/2018 DD 04/30/18	(266,918)	266,918
BNP PARIBAS TRI REPO	1.710% 05/01/2018 DD 04/30/18	(149,713)	149,713
CREDIT AGRICOLE TRI REPO	1.700% 05/01/2018 DD 04/30/18	(530,248)	530,248
SOCIETE GENERALE TRI REPO	1.690% 05/03/2018 DD 05/02/18	(919,435)	919,435
SOCIETE GENERALE TRI REPO	1.700% 05/02/2018 DD 05/01/18	(895,131)	895,131
CITIGROUP GLOBAL TRI REPO	1.700% 05/02/2018 DD 05/01/18	(135,738)	135,738
MERRILL LYNCH TRI REPO	1.700% 05/02/2018 DD 05/01/18	(384,448)	384,448
CITIBANK NY ST TRI REPO	1.700% 05/02/2018 DD 05/01/18	(417,088)	417,088
MIZUHO REPO ATRI REPO	1.710% 05/02/2018 DD 05/01/18	(369,810)	369,810
BARCLAYS BANK PLC TRI REPO	1.700% 05/02/2018 DD 05/01/18	(101,944)	101,944
BNP PARIBAS TRI REPO	1.700% 05/02/2018 DD 05/01/18	(324,528)	324,528
CREDIT AGRICOLE TRI REPO	1.690% 05/02/2018 DD 05/01/18	(558,206)	558,206
BANK OF MONTREAL TRI REPO	1.690% 05/02/2018 DD 05/01/18	(141,766)	141,766
RBS SECURITIES TRI REPO	1.690% 05/02/2018 DD 05/01/18	(7,056,789)	7,056,789
SOCIETE GENERALE TRI REPO	1.690% 05/04/2018 DD 05/03/18	(562,277)	562,277
MERRILL LYNCH TRI REPO	1.690% 05/04/2018 DD 05/03/18	(265,383)	265,383
CITIBANK NY ST TRI REPO	1.690% 05/04/2018 DD 05/03/18	(294,948)	294,948
BARCLAYS BANK PLC TRI REPO	1.700% 05/04/2018 DD 05/03/18	(241,364)	241,364
CREDIT AGRICOLE TRI REPO	1.690% 05/04/2018 DD 05/03/18	(282,251)	282,251
MERRILL LYNCH TRI REPO	1.690% 05/03/2018 DD 05/02/18	(452,520)	452,520
CITIBANK NY ST TRI REPO	1.690% 05/03/2018 DD 05/02/18	(314,800)	314,800
BARCLAYS BANK PLC TRI REPO	1.700% 05/03/2018 DD 05/02/18	(458,454)	458,454
CREDIT AGRICOLE TRI REPO	1.690% 05/03/2018 DD 05/02/18	(613,309)	613,309
BANK OF MONTREAL TRI REPO	1.690% 05/03/2018 DD 05/02/18	(115,121)	115,121
RBS SECURITIES TRI REPO	1.690% 05/03/2018 DD 05/02/18	(6,579,716)	6,579,716
MIZUHO REPO ATRI REPO	1.710% 05/03/2018 DD 05/02/18	(399,739)	399,739
BNP PARIBAS TRI REPO	1.690% 05/03/2018 DD 05/02/18	(331,946)	331,946
RBS SECURITIES TRI REPO	1.680% 05/07/2018 DD 05/04/18	(1,532,004)	1,532,004
MERRILL LYNCH TRI REPO	1.670% 05/07/2018 DD 05/04/18	(112,541)	112,541
MIZUHO REPO ATRI REPO	1.690% 05/07/2018 DD 05/04/18	(109,994)	109,994
RBS SECURITIES TRI REPO	1.690% 05/04/2018 DD 05/03/18	(4,043,345)	4,043,345
MIZUHO REPO ATRI REPO	1.710% 05/04/2018 DD 05/03/18	(258,014)	258,014
BNP PARIBAS TRI REPO	1.700% 05/04/2018 DD 05/03/18	(204,779)	204,779
SOCIETE GENERALE TRI REPO	1.670% 05/07/2018 DD 05/04/18	(243,522)	243,522
CITIBANK NY ST TRI REPO	1.690% 05/07/2018 DD 05/04/18	(120,607)	120,607
BARCLAYS BANK PLC TRI REPO	1.680% 05/07/2018 DD 05/04/18	(131,564)	131,564
CREDIT AGRICOLE TRI REPO	1.670% 05/07/2018 DD 05/04/18	(157,920)	157,920
SOCIETE GENERALE TRI REPO	1.670% 05/08/2018 DD 05/07/18	(879,989)	879,989
CITIBANK NY ST TRI REPO	1.680% 05/08/2018 DD 05/07/18	(269,440)	269,440

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
MERRILL LYNCH TRI REPO	1.670% 05/08/2018 DD 05/07/18	(335,684)	335,684
MIZUHO REPO ATRI REPO	1.680% 05/08/2018 DD 05/07/18	(329,953)	329,953
BARCLAYS BANK PLC TRI REPO	1.670% 05/08/2018 DD 05/07/18	(297,910)	297,910
BNP PARIBAS TRI REPO	1.660% 05/08/2018 DD 05/07/18	(312,265)	312,265
CREDIT AGRICOLE TRI REPO	1.670% 05/08/2018 DD 05/07/18	(608,088)	608,088
BANK OF MONTREAL TRI REPO	1.660% 05/08/2018 DD 05/07/18	(135,349)	135,349
RBS SECURITIES TRI REPO	1.670% 05/08/2018 DD 05/07/18	(5,296,297)	5,296,297
BARCLAYS BANK PLC TRI REPO	1.880% 07/16/2018 DD 07/13/18	(1,031,152)	1,031,152
DEUTSCHE BK FINL TRI REPO	1.890% 07/16/2018 DD 07/13/18	(509,446)	509,446
BNP PARIBAS TRI REPO	1.880% 07/16/2018 DD 07/13/18	(109,002)	109,002
SOCIETE GENERALE TRI REPO	1.860% 07/16/2018 DD 07/13/18	(910,566)	910,566
J P MORGAN CHASE TRI REPO	1.870% 07/16/2018 DD 07/13/18	(1,843,567)	1,843,567
RBS SECURITIES TRI REPO	1.860% 07/13/2018 DD 07/12/18	(25,592,559)	25,592,559
SOCIETE GENERALE TRI REPO	1.860% 07/13/2018 DD 07/12/18	(5,980,875)	5,980,875
CREDIT AGRICOLE TRI REPO	1.870% 07/16/2018 DD 07/13/18	(753,764)	753,764
MERRILL LYNCH TRI REPO	1.870% 07/16/2018 DD 07/13/18	(109,201)	109,201
CITIBANK NY ST TRI REPO	1.870% 07/16/2018 DD 07/13/18	(122,522)	122,522
CREDIT AGRICOLE TRI REPO	1.920% 07/17/2018 DD 07/16/18	(224,442)	224,442
CITIBANK NY ST TRI REPO	1.920% 07/17/2018 DD 07/16/18	(171,453)	171,453
BARCLAYS BANK PLC TRI REPO	1.920% 07/17/2018 DD 07/16/18	(651,248)	651,248
DEUTSCHE BK FINL TRI REPO	1.930% 07/17/2018 DD 07/16/18	(794,054)	794,054
J P MORGAN CHASE TRI REPO	1.920% 07/17/2018 DD 07/16/18	(1,315,669)	1,315,669
SOCIETE GENERALE TRI REPO	1.920% 07/17/2018 DD 07/16/18	(502,735)	502,735
CITIBANK NY ST TRI REPO	1.870% 07/19/2018 DD 07/18/18	(179,145)	179,145
BARCLAYS BANK PLC TRI REPO	1.870% 07/19/2018 DD 07/18/18	(380,694)	380,694
DEUTSCHE BK FINL TRI REPO	1.870% 07/19/2018 DD 07/18/18	(413,592)	413,592
J P MORGAN CHASE TRI REPO	1.870% 07/19/2018 DD 07/18/18	(625,539)	625,539
SOCIETE GENERALE TRI REPO	1.880% 07/19/2018 DD 07/18/18	(250,605)	250,605
CREDIT AGRICOLE TRI REPO	1.900% 07/18/2018 DD 07/17/18	(132,777)	132,777
BARCLAYS BANK PLC TRI REPO	1.910% 07/18/2018 DD 07/17/18	(360,685)	360,685
DEUTSCHE BK FINL TRI REPO	1.910% 07/18/2018 DD 07/17/18	(446,595)	446,595
BANK OF MONTREAL TRI REPO	1.890% 07/18/2018 DD 07/17/18	(127,441)	127,441
J P MORGAN CHASE TRI REPO	1.900% 07/18/2018 DD 07/17/18	(700,110)	700,110
SOCIETE GENERALE TRI REPO	1.900% 07/18/2018 DD 07/17/18	(290,794)	290,794
BARCLAYS BANK PLC TRI REPO	1.880% 07/20/2018 DD 07/19/18	(722,851)	722,851
DEUTSCHE BK FINL TRI REPO	1.890% 07/20/2018 DD 07/19/18	(829,617)	829,617
J P MORGAN CHASE TRI REPO	1.880% 07/20/2018 DD 07/19/18	(1,231,727)	1,231,727
SOCIETE GENERALE TRI REPO	1.880% 07/20/2018 DD 07/19/18	(527,919)	527,919
CREDIT AGRICOLE TRI REPO	1.870% 07/20/2018 DD 07/19/18	(246,823)	246,823
CITIBANK NY ST TRI REPO	1.880% 07/20/2018 DD 07/19/18	(207,158)	207,158
CREDIT AGRICOLE TRI REPO	1.870% 07/23/2018 DD 07/20/18	(258,127)	258,127
CREDIT AGRICOLE TRI REPO	1.860% 07/23/2018 DD 07/20/18	(202,837)	202,837
BARCLAYS BANK PLC TRI REPO	1.860% 07/23/2018 DD 07/20/18	(910,997)	910,997
DEUTSCHE BK FINL TRI REPO	1.870% 07/23/2018 DD 07/20/18	(728,650)	728,650
SOCIETE GENERALE TRI REPO	1.870% 07/23/2018 DD 07/20/18	(285,497)	285,497
J P MORGAN CHASE TRI REPO	1.860% 07/23/2018 DD 07/20/18	(1,111,817)	1,111,817
CREDIT AGRICOLE TRI REPO	1.860% 07/24/2018 DD 07/23/18	(410,189)	410,189
MERRILL LYNCH TRI REPO	1.860% 07/24/2018 DD 07/23/18	(142,664)	142,664
CITIBANK NY ST TRI REPO	1.860% 07/24/2018 DD 07/23/18	(136,462)	136,462
MIZUHO REPO ATRI REPO	1.860% 07/24/2018 DD 07/23/18	(119,643)	119,643
BARCLAYS BANK PLC TRI REPO	1.860% 07/24/2018 DD 07/23/18	(1,481,705)	1,481,705
DEUTSCHE BK FINL TRI REPO	1.870% 07/24/2018 DD 07/23/18	(1,283,623)	1,283,623
BNP PARIBAS TRI REPO	1.860% 07/24/2018 DD 07/23/18	(134,211)	134,211
J P MORGAN CHASE TRI REPO	1.860% 07/24/2018 DD 07/23/18	(1,931,375)	1,931,375
SOCIETE GENERALE TRI REPO	1.860% 07/24/2018 DD 07/23/18	(549,053)	549,053
CREDIT AGRICOLE TRI REPO	1.890% 07/25/2018 DD 07/24/18	(265,296)	265,296

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
MERRILL LYNCH TRI REPO	1.890% 07/25/2018 DD 07/24/18	(206,790)	206,790
CITIBANK NY ST TRI REPO	1.890% 07/25/2018 DD 07/24/18	(165,801)	165,801
MIZUHO REPO ATRI REPO	1.910% 07/25/2018 DD 07/24/18	(175,174)	175,174
BARCLAYS BANK PLC TRI REPO	1.900% 07/25/2018 DD 07/24/18	(3,494,255)	3,494,255
DEUTSCHE BK FINL TRI REPO	1.890% 07/25/2018 DD 07/24/18	(1,007,745)	1,007,745
BNP PARIBAS TRI REPO	1.890% 07/25/2018 DD 07/24/18	(167,279)	167,279
J P MORGAN CHASE TRI REPO	1.890% 07/25/2018 DD 07/24/18	(5,215,320)	5,215,320
SOCIETE GENERALE TRI REPO	1.890% 07/25/2018 DD 07/24/18	(963,637)	963,637
MERRILL LYNCH TRI REPO	1.890% 07/27/2018 DD 07/26/18	(167,554)	167,554
CITIBANK NY ST TRI REPO	1.890% 07/27/2018 DD 07/26/18	(143,587)	143,587
CREDIT AGRICOLE TRI REPO	1.860% 07/26/2018 DD 07/25/18	(238,775)	238,775
MERRILL LYNCH TRI REPO	1.860% 07/26/2018 DD 07/25/18	(212,649)	212,649
CITIBANK NY ST TRI REPO	1.860% 07/26/2018 DD 07/25/18	(178,592)	178,592
MIZUHO REPO ATRI REPO	1.860% 07/26/2018 DD 07/25/18	(163,144)	163,144
BARCLAYS BANK PLC TRI REPO	1.860% 07/26/2018 DD 07/25/18	(2,775,090)	2,775,090
DEUTSCHE BK FINL TRI REPO	1.860% 07/26/2018 DD 07/25/18	(1,016,147)	1,016,147
BNP PARIBAS TRI REPO	1.860% 07/26/2018 DD 07/25/18	(312,214)	312,214
J P MORGAN CHASE TRI REPO	1.860% 07/26/2018 DD 07/25/18	(5,083,260)	5,083,260
SOCIETE GENERALE TRI REPO	1.860% 07/26/2018 DD 07/25/18	(1,662,365)	1,662,365
CREDIT AGRICOLE TRI REPO	1.870% 07/30/2018 DD 07/27/18	(321,377)	321,377
CITIBANK NY ST TRI REPO	1.860% 07/30/2018 DD 07/27/18	(199,270)	199,270
BARCLAYS BANK PLC TRI REPO	1.870% 07/30/2018 DD 07/27/18	(2,956,782)	2,956,782
BNP PARIBAS TRI REPO	1.870% 07/30/2018 DD 07/27/18	(199,089)	199,089
BARCLAYS BANK PLC TRI REPO	1.890% 07/27/2018 DD 07/26/18	(2,747,586)	2,747,586
BNP PARIBAS TRI REPO	1.890% 07/27/2018 DD 07/26/18	(124,706)	124,706
DEUTSCHE BK FINL TRI REPO	1.900% 07/27/2018 DD 07/26/18	(1,021,966)	1,021,966
BANK OF MONTREAL TRI REPO	1.880% 07/27/2018 DD 07/26/18	(113,325)	113,325
J P MORGAN CHASE TRI REPO	1.890% 07/27/2018 DD 07/26/18	(4,857,618)	4,857,618
SOCIETE GENERALE TRI REPO	1.890% 07/27/2018 DD 07/26/18	(1,600,674)	1,600,674
CREDIT AGRICOLE TRI REPO	1.890% 07/27/2018 DD 07/26/18	(188,122)	188,122
MERRILL LYNCH TRI REPO	1.860% 07/31/2018 DD 07/30/18	(321,407)	321,407
CITIBANK NY ST TRI REPO	1.860% 07/31/2018 DD 07/30/18	(229,999)	229,999
MIZUHO REPO ATRI REPO	1.850% 07/31/2018 DD 07/30/18	(110,678)	110,678
BARCLAYS BANK PLC TRI REPO	1.860% 07/31/2018 DD 07/30/18	(2,664,646)	2,664,646
DEUTSCHE BK FINL TRI REPO	1.870% 07/31/2018 DD 07/30/18	(526,610)	526,610
BNP PARIBAS TRI REPO	1.870% 07/31/2018 DD 07/30/18	(211,163)	211,163
J P MORGAN CHASE TRI REPO	1.870% 07/30/2018 DD 07/27/18	(4,743,491)	4,743,491
SOCIETE GENERALE TRI REPO	1.870% 07/30/2018 DD 07/27/18	(939,939)	939,939
RBC CAPITAL MAR TRI REPO	1.870% 07/30/2018 DD 07/27/18	(115,050)	115,050
MERRILL LYNCH TRI REPO	1.870% 07/30/2018 DD 07/27/18	(242,343)	242,343
DEUTSCHE BK FINL TRI REPO	1.870% 07/30/2018 DD 07/27/18	(1,232,637)	1,232,637
CREDIT AGRICOLE TRI REPO	1.860% 07/31/2018 DD 07/30/18	(466,733)	466,733
RBS SECURITIES TRI REPO	1.690% 05/30/2018 DD 05/29/18	(7,535,519)	7,535,519
CREDIT AGRICOLE TRI REPO	1.700% 05/30/2018 DD 05/29/18	(796,641)	796,641
DEUTSCHE BK FINL TRI REPO	1.710% 05/30/2018 DD 05/29/18	(338,948)	338,948
SOCIETE GENERALE TRI REPO	1.690% 05/30/2018 DD 05/29/18	(287,193)	287,193
CITIBANK NY ST TRI REPO	1.700% 05/30/2018 DD 05/29/18	(154,429)	154,429
BARCLAYS BANK PLC TRI REPO	1.700% 05/29/2018 DD 05/25/18	(1,063,215)	1,063,215
DEUTSCHE BK FINL TRI REPO	1.690% 05/29/2018 DD 05/25/18	(428,221)	428,221
CREDIT AGRICOLE TRI REPO	1.690% 05/29/2018 DD 05/25/18	(967,080)	967,080
RBS SECURITIES TRI REPO	1.690% 05/29/2018 DD 05/25/18	(8,195,620)	8,195,620
BARCLAYS BANK PLC TRI REPO	1.700% 05/30/2018 DD 05/29/18	(879,091)	879,091
CITIBANK NY ST TRI REPO	1.700% 05/31/2018 DD 05/30/18	(151,606)	151,606
BARCLAYS BANK PLC TRI REPO	1.700% 05/31/2018 DD 05/30/18	(1,798,346)	1,798,346
RBS SECURITIES TRI REPO	1.690% 05/31/2018 DD 05/30/18	(12,293,364)	12,293,364
MIZUHO REPO ATRI REPO	1.710% 05/31/2018 DD 05/30/18	(175,247)	175,247

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
BNP PARIBAS TRI REPO	1.700% 05/31/2018 DD 05/30/18	(145,782)	145,782
MERRILL LYNCH TRI REPO	1.700% 05/31/2018 DD 05/30/18	(184,334)	184,334
DEUTSCHE BK FINL TRI REPO	1.710% 05/31/2018 DD 05/30/18	(1,153,037)	1,153,037
CREDIT AGRICOLE TRI REPO	1.700% 05/31/2018 DD 05/30/18	(1,752,751)	1,752,751
SOCIETE GENERALE TRI REPO	1.690% 05/31/2018 DD 05/30/18	(525,568)	525,568
BARCLAYS BANK PLC TRI REPO	1.770% 06/04/2018 DD 06/01/18	(2,015,322)	2,015,322
MIZUHO REPO ATRI REPO	1.780% 06/01/2018 DD 05/31/18	(212,997)	212,997
BARCLAYS BANK PLC TRI REPO	1.780% 06/01/2018 DD 05/31/18	(1,525,441)	1,525,441
RBS SECURITIES TRI REPO	1.770% 06/01/2018 DD 05/31/18	(7,381,056)	7,381,056
BNP PARIBAS TRI REPO	1.780% 06/01/2018 DD 05/31/18	(201,760)	201,760
MERRILL LYNCH TRI REPO	1.780% 06/01/2018 DD 05/31/18	(225,097)	225,097
CITIGROUP GLOBAL TRI REPO	1.780% 06/01/2018 DD 05/31/18	(115,831)	115,831
CREDIT AGRICOLE TRI REPO	1.770% 06/01/2018 DD 05/31/18	(1,219,696)	1,219,696
SOCIETE GENERALE TRI REPO	1.780% 06/01/2018 DD 05/31/18	(587,566)	587,566
CITIBANK NY ST TRI REPO	1.780% 06/01/2018 DD 05/31/18	(294,290)	294,290
SOCIETE GENERALE TRI REPO	1.750% 06/05/2018 DD 06/04/18	(589,646)	589,646
MERRILL LYNCH TRI REPO	1.760% 06/05/2018 DD 06/04/18	(203,744)	203,744
CITIBANK NY ST TRI REPO	1.760% 06/05/2018 DD 06/04/18	(217,641)	217,641
MIZUHO REPO ATRI REPO	1.760% 06/05/2018 DD 06/04/18	(196,479)	196,479
BARCLAYS BANK PLC TRI REPO	1.760% 06/05/2018 DD 06/04/18	(1,729,180)	1,729,180
RBS SECURITIES TRI REPO	1.760% 06/04/2018 DD 06/01/18	(6,737,638)	6,737,638
BNP PARIBAS TRI REPO	1.770% 06/04/2018 DD 06/01/18	(229,312)	229,312
CREDIT AGRICOLE TRI REPO	1.760% 06/04/2018 DD 06/01/18	(686,987)	686,987
DEUTSCHE BK FINL TRI REPO	1.780% 06/04/2018 DD 06/01/18	(121,618)	121,618
SOCIETE GENERALE TRI REPO	1.770% 06/04/2018 DD 06/01/18	(704,498)	704,498
CITIBANK NY ST TRI REPO	1.770% 06/04/2018 DD 06/01/18	(270,532)	270,532
MIZUHO REPO ATRI REPO	1.770% 06/04/2018 DD 06/01/18	(323,775)	323,775
MERRILL LYNCH TRI REPO	1.770% 06/04/2018 DD 06/01/18	(274,025)	274,025
MERRILL LYNCH TRI REPO	1.720% 06/06/2018 DD 06/05/18	(226,529)	226,529
MIZUHO REPO ATRI REPO	1.720% 06/06/2018 DD 06/05/18	(215,360)	215,360
CITIBANK NY ST TRI REPO	1.720% 06/06/2018 DD 06/05/18	(209,853)	209,853
CREDIT AGRICOLE TRI REPO	1.720% 06/06/2018 DD 06/05/18	(571,918)	571,918
BARCLAYS BANK PLC TRI REPO	1.720% 06/06/2018 DD 06/05/18	(1,767,393)	1,767,393
BNP PARIBAS TRI REPO	1.750% 06/05/2018 DD 06/04/18	(186,629)	186,629
CREDIT AGRICOLE TRI REPO	1.750% 06/05/2018 DD 06/04/18	(567,933)	567,933
BANK OF MONTREAL TRI REPO	1.760% 06/05/2018 DD 06/04/18	(105,985)	105,985
RBS SECURITIES TRI REPO	1.760% 06/05/2018 DD 06/04/18	(5,976,054)	5,976,054
DEUTSCHE BK FINL TRI REPO	1.720% 06/06/2018 DD 06/05/18	(124,107)	124,107
BNP PARIBAS TRI REPO	1.720% 06/06/2018 DD 06/05/18	(179,629)	179,629
RBS SECURITIES TRI REPO	1.710% 06/06/2018 DD 06/05/18	(5,592,157)	5,592,157
SOCIETE GENERALE TRI REPO	1.710% 06/06/2018 DD 06/05/18	(554,048)	554,048
RBS SECURITIES TRI REPO	1.690% 06/07/2018 DD 06/06/18	(5,040,773)	5,040,773
SOCIETE GENERALE TRI REPO	1.690% 06/07/2018 DD 06/06/18	(618,338)	618,338
MERRILL LYNCH TRI REPO	1.700% 06/07/2018 DD 06/06/18	(222,966)	222,966
CITIBANK NY ST TRI REPO	1.700% 06/07/2018 DD 06/06/18	(223,814)	223,814
MIZUHO REPO ATRI REPO	1.700% 06/07/2018 DD 06/06/18	(209,644)	209,644
BARCLAYS BANK PLC TRI REPO	1.690% 06/07/2018 DD 06/06/18	(1,629,818)	1,629,818
DEUTSCHE BK FINL TRI REPO	1.690% 06/07/2018 DD 06/06/18	(104,880)	104,880
BNP PARIBAS TRI REPO	1.710% 06/07/2018 DD 06/06/18	(182,277)	182,277
CREDIT AGRICOLE TRI REPO	1.690% 06/07/2018 DD 06/06/18	(555,960)	555,960
RBS SECURITIES TRI REPO	1.670% 06/11/2018 DD 06/08/18	(2,485,341)	2,485,341
SOCIETE GENERALE TRI REPO	1.690% 06/08/2018 DD 06/07/18	(209,009)	209,009
CITIBANK NY ST TRI REPO	1.690% 06/08/2018 DD 06/07/18	(137,197)	137,197
BARCLAYS BANK PLC TRI REPO	1.690% 06/08/2018 DD 06/07/18	(435,028)	435,028
DEUTSCHE BK FINL TRI REPO	1.690% 06/08/2018 DD 06/07/18	(92,891)	92,891
BNP PARIBAS TRI REPO	1.690% 06/08/2018 DD 06/07/18	(119,527)	119,527

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
CREDIT AGRICOLE TRI REPO	1.690% 06/08/2018 DD 06/07/18	(205,084)	205,084
RBS SECURITIES TRI REPO	1.690% 06/08/2018 DD 06/07/18	(1,580,241)	1,580,241
MERRILL LYNCH TRI REPO	1.660% 06/12/2018 DD 06/11/18	(143,435)	143,435
MIZUHO REPO ATRI REPO	1.660% 06/12/2018 DD 06/11/18	(135,221)	135,221
BARCLAYS BANK PLC TRI REPO	1.660% 06/12/2018 DD 06/11/18	(854,396)	854,396
DEUTSCHE BK FINL TRI REPO	1.650% 06/12/2018 DD 06/11/18	(116,783)	116,783
BNP PARIBAS TRI REPO	1.660% 06/12/2018 DD 06/11/18	(120,108)	120,108
CREDIT AGRICOLE TRI REPO	1.650% 06/12/2018 DD 06/11/18	(501,865)	501,865
SOCIETE GENERALE TRI REPO	1.670% 06/11/2018 DD 06/08/18	(320,504)	320,504
MERRILL LYNCH TRI REPO	1.670% 06/11/2018 DD 06/08/18	(116,572)	116,572
CITIBANK NY ST TRI REPO	1.670% 06/11/2018 DD 06/08/18	(127,168)	127,168
MIZUHO REPO ATRI REPO	1.670% 06/11/2018 DD 06/08/18	(110,178)	110,178
BARCLAYS BANK PLC TRI REPO	1.660% 06/11/2018 DD 06/08/18	(676,009)	676,009
DEUTSCHE BK FINL TRI REPO	1.670% 06/11/2018 DD 06/08/18	(140,829)	140,829
CREDIT AGRICOLE TRI REPO	1.670% 06/11/2018 DD 06/08/18	(354,798)	354,798
CREDIT AGRICOLE TRI REPO	1.640% 06/13/2018 DD 06/12/18	(404,542)	404,542
RBS SECURITIES TRI REPO	1.640% 06/13/2018 DD 06/12/18	(2,835,538)	2,835,538
SOCIETE GENERALE TRI REPO	1.650% 06/13/2018 DD 06/12/18	(357,813)	357,813
CITIGROUP GLOBAL TRI REPO	1.650% 06/13/2018 DD 06/12/18	(110,444)	110,444
RBS SECURITIES TRI REPO	1.650% 06/12/2018 DD 06/11/18	(3,034,699)	3,034,699
SOCIETE GENERALE TRI REPO	1.650% 06/12/2018 DD 06/11/18	(373,479)	373,479
CITIGROUP GLOBAL TRI REPO	1.660% 06/12/2018 DD 06/11/18	(116,161)	116,161
MERRILL LYNCH TRI REPO	1.650% 06/13/2018 DD 06/12/18	(140,850)	140,850
MIZUHO REPO ATRI REPO	1.650% 06/13/2018 DD 06/12/18	(135,103)	135,103
BARCLAYS BANK PLC TRI REPO	1.640% 06/13/2018 DD 06/12/18	(770,659)	770,659
DEUTSCHE BK FINL TRI REPO	1.640% 06/13/2018 DD 06/12/18	(118,045)	118,045
BNP PARIBAS TRI REPO	1.650% 06/13/2018 DD 06/12/18	(115,510)	115,510
CITIBANK NY ST TRI REPO	1.680% 06/14/2018 DD 06/13/18	(144,421)	144,421
MIZUHO REPO ATRI REPO	1.700% 06/14/2018 DD 06/13/18	(108,007)	108,007
BARCLAYS BANK PLC TRI REPO	1.680% 06/14/2018 DD 06/13/18	(449,777)	449,777
DEUTSCHE BK FINL TRI REPO	1.680% 06/14/2018 DD 06/13/18	(146,515)	146,515
CREDIT AGRICOLE TRI REPO	1.680% 06/14/2018 DD 06/13/18	(253,240)	253,240
RBS SECURITIES TRI REPO	1.670% 06/14/2018 DD 06/13/18	(1,617,387)	1,617,387
SOCIETE GENERALE TRI REPO	1.670% 06/14/2018 DD 06/13/18	(202,474)	202,474
BARCLAYS BANK PLC TRI REPO	1.870% 06/15/2018 DD 06/14/18	(196,764)	196,764
DEUTSCHE BK FINL TRI REPO	1.870% 06/15/2018 DD 06/14/18	(130,586)	130,586
CREDIT AGRICOLE TRI REPO	1.870% 06/15/2018 DD 06/14/18	(135,547)	135,547
RBS SECURITIES TRI REPO	1.870% 06/15/2018 DD 06/14/18	(775,335)	775,335
CITIGROUP GLOBAL TRI REPO	1.870% 06/15/2018 DD 06/14/18	(109,628)	109,628
CREDIT AGRICOLE TRI REPO	1.850% 06/19/2018 DD 06/18/18	(157,157)	157,157
BANK OF MONTREAL TRI REPO	1.840% 06/19/2018 DD 06/18/18	(56,807)	56,807
RBS SECURITIES TRI REPO	1.850% 06/19/2018 DD 06/18/18	(418,917)	418,917
CITIBANK NY ST TRI REPO	1.860% 06/19/2018 DD 06/18/18	(100,723)	100,723
BARCLAYS BANK PLC TRI REPO	1.850% 06/19/2018 DD 06/18/18	(155,203)	155,203
CITIBANK NY ST TRI REPO	1.830% 06/21/2018 DD 06/20/18	(184,298)	184,298
BNP PARIBAS TRI REPO	1.830% 06/21/2018 DD 06/20/18	(103,010)	103,010
RBS SECURITIES TRI REPO	1.830% 06/21/2018 DD 06/20/18	(258,178)	258,178
CITIBANK NY ST TRI REPO	1.900% 06/25/2018 DD 06/22/18	(185,677)	185,677
BARCLAYS BANK PLC TRI REPO	1.900% 06/25/2018 DD 06/22/18	(361,824)	361,824
CREDIT AGRICOLE TRI REPO	1.890% 06/25/2018 DD 06/22/18	(141,897)	141,897
RBS SECURITIES TRI REPO	1.890% 06/25/2018 DD 06/22/18	(4,202,552)	4,202,552
SOCIETE GENERALE TRI REPO	1.900% 06/25/2018 DD 06/22/18	(143,404)	143,404
BARCLAYS BANK PLC TRI REPO	1.890% 06/27/2018 DD 06/26/18	(312,853)	312,853
CREDIT AGRICOLE TRI REPO	1.880% 06/27/2018 DD 06/26/18	(171,689)	171,689
BARCLAYS BANK PLC TRI REPO	1.890% 06/26/2018 DD 06/25/18	(320,375)	320,375
DEUTSCHE BK FINL TRI REPO	1.880% 06/26/2018 DD 06/25/18	(118,041)	118,041

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
BANK OF MONTREAL TRI REPO	1.880% 06/26/2018 DD 06/25/18	(173,755)	173,755
RBS SECURITIES TRI REPO	1.880% 06/26/2018 DD 06/25/18	(3,496,284)	3,496,284
SOCIETE GENERALE TRI REPO	1.880% 06/26/2018 DD 06/25/18	(124,824)	124,824
RBS SECURITIES TRI REPO	1.880% 06/27/2018 DD 06/26/18	(3,006,603)	3,006,603
SOCIETE GENERALE TRI REPO	1.880% 06/27/2018 DD 06/26/18	(194,169)	194,169
RBS SECURITIES TRI REPO	1.880% 06/28/2018 DD 06/27/18	(1,654,583)	1,654,583
BARCLAYS BANK PLC TRI REPO	1.900% 06/29/2018 DD 06/28/18	(136,359)	136,359
RBS SECURITIES TRI REPO	1.900% 06/29/2018 DD 06/28/18	(9,315,732)	9,315,732
SOCIETE GENERALE TRI REPO	1.890% 06/29/2018 DD 06/28/18	(171,408)	171,408
RBS SECURITIES TRI REPO	2.000% 07/03/2018 DD 07/02/18	(667,053)	667,053
SOCIETE GENERALE TRI REPO	2.000% 07/03/2018 DD 07/02/18	(113,549)	113,549
RBC CAPITAL MAR TRI REPO	1.970% 07/05/2018 DD 07/03/18	(52,860)	52,860
RBS SECURITIES TRI REPO	1.980% 07/05/2018 DD 07/03/18	(284,035)	284,035
BANK OF MONTREAL TRI REPO	1.880% 07/09/2018 DD 07/06/18	(100,422)	100,422
BARCLAYS BANK PLC TRI REPO	1.900% 07/09/2018 DD 07/06/18	(118,039)	118,039
RBS SECURITIES TRI REPO	1.900% 07/09/2018 DD 07/06/18	(450,904)	450,904
CITIBANK NY ST TRI REPO	1.860% 07/12/2018 DD 07/11/18	(185,670)	185,670
BARCLAYS BANK PLC TRI REPO	1.860% 07/11/2018 DD 07/10/18	(123,912)	123,912
DEUTSCHE BK FINL TRI REPO	1.880% 07/11/2018 DD 07/10/18	(139,879)	139,879
BANK OF MONTREAL TRI REPO	1.850% 07/11/2018 DD 07/10/18	(103,673)	103,673
RBS SECURITIES TRI REPO	1.870% 07/11/2018 DD 07/10/18	(454,931)	454,931
CREDIT AGRICOLE TRI REPO	1.860% 07/13/2018 DD 07/12/18	(5,972,955)	5,972,955
MERRILL LYNCH TRI REPO	1.870% 07/13/2018 DD 07/12/18	(563,190)	563,190
CITIBANK NY ST TRI REPO	1.870% 07/13/2018 DD 07/12/18	(686,126)	686,126
MIZUHO REPO ATRI REPO	1.870% 07/13/2018 DD 07/12/18	(100,862)	100,862
BARCLAYS BANK PLC TRI REPO	1.870% 07/13/2018 DD 07/12/18	(5,523,306)	5,523,306
BNP PARIBAS TRI REPO	1.870% 07/13/2018 DD 07/12/18	(526,761)	526,761
DEUTSCHE BK FINL TRI REPO	1.880% 07/13/2018 DD 07/12/18	(3,675,217)	3,675,217
RBS SECURITIES TRI REPO	1.860% 07/12/2018 DD 07/11/18	(258,445)	258,445
BARCLAYS BANK PLC TRI REPO	1.910% 09/11/2018 DD 09/10/18	(124,455)	124,455
CITIBANK NY ST TRI REPO	1.910% 09/11/2018 DD 09/10/18	(174,730)	174,730
CITIBANK NY ST TRI REPO	1.920% 09/13/2018 DD 09/12/18	(179,598)	179,598
CITIBANK NY ST TRI REPO	1.900% 09/14/2018 DD 09/13/18	(188,223)	188,223
CITIBANK NY ST TRI REPO	1.910% 09/17/2018 DD 09/14/18	(213,363)	213,363
CITIBANK NY ST TRI REPO	1.970% 09/18/2018 DD 09/17/18	(123,996)	123,996
BNP PARIBAS TRI REPO	1.970% 09/18/2018 DD 09/17/18	(129,378)	129,378
CREDIT AGRICOLE TRI REPO	1.900% 09/21/2018 DD 09/20/18	(117,555)	117,555
BARCLAYS BANK PLC TRI REPO	1.900% 09/21/2018 DD 09/20/18	(152,003)	152,003
CITIGROUP GLOBAL TRI REPO	1.900% 09/21/2018 DD 09/20/18	(161,613)	161,613
J P MORGAN CHASE TRI REPO	1.900% 09/21/2018 DD 09/20/18	(129,326)	129,326
J P MORGAN CHASE TRI REPO	1.900% 09/24/2018 DD 09/21/18	(136,851)	136,851
SOCIETE GENERALE TRI REPO	1.900% 09/24/2018 DD 09/21/18	(101,568)	101,568
CREDIT AGRICOLE TRI REPO	1.900% 09/24/2018 DD 09/21/18	(105,662)	105,662
BARCLAYS BANK PLC TRI REPO	1.900% 09/24/2018 DD 09/21/18	(143,772)	143,772
CITIGROUP GLOBAL TRI REPO	1.900% 09/24/2018 DD 09/21/18	(120,438)	120,438
BNP PARIBAS TRI REPO	1.910% 09/26/2018 DD 09/25/18	(1)	1
CITIBANK NY ST TRI REPO	1.910% 08/01/2018 DD 07/31/18	(165,729)	165,729
BARCLAYS BANK PLC TRI REPO	1.910% 08/01/2018 DD 07/31/18	(1,594,045)	1,594,045
DEUTSCHE BK FINL TRI REPO	1.910% 08/01/2018 DD 07/31/18	(227,004)	227,004
J P MORGAN CHASE TRI REPO	1.910% 08/01/2018 DD 07/31/18	(2,688,003)	2,688,003
J P MORGAN CHASE TRI REPO	1.850% 07/31/2018 DD 07/30/18	(4,197,678)	4,197,678
SOCIETE GENERALE TRI REPO	1.850% 07/31/2018 DD 07/30/18	(828,200)	828,200
MERRILL LYNCH TRI REPO	1.910% 08/01/2018 DD 07/31/18	(171,637)	171,637
SOCIETE GENERALE TRI REPO	1.900% 08/01/2018 DD 07/31/18	(275,406)	275,406
J P MORGAN CHASE TRI REPO	1.850% 08/02/2018 DD 08/01/18	(3,425,936)	3,425,936
SOCIETE GENERALE TRI REPO	1.850% 08/02/2018 DD 08/01/18	(763,975)	763,975

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
CREDIT AGRICOLE TRI REPO	1.850% 08/02/2018 DD 08/01/18	(138,795)	138,795
MERRILL LYNCH TRI REPO	1.860% 08/02/2018 DD 08/01/18	(315,290)	315,290
CITIBANK NY ST TRI REPO	1.860% 08/02/2018 DD 08/01/18	(113,935)	113,935
BARCLAYS BANK PLC TRI REPO	1.850% 08/02/2018 DD 08/01/18	(1,062,216)	1,062,216
DEUTSCHE BK FINL TRI REPO	1.870% 08/02/2018 DD 08/01/18	(454,627)	454,627
BNP PARIBAS TRI REPO	1.860% 08/02/2018 DD 08/01/18	(110,223)	110,223
SOCIETE GENERALE TRI REPO	1.890% 08/03/2018 DD 08/02/18	(252,894)	252,894
MERRILL LYNCH TRI REPO	1.890% 08/03/2018 DD 08/02/18	(63,475)	63,475
CITIBANK NY ST TRI REPO	1.900% 08/03/2018 DD 08/02/18	(130,875)	130,875
DEUTSCHE BK FINL TRI REPO	1.900% 08/03/2018 DD 08/02/18	(179,087)	179,087
J P MORGAN CHASE TRI REPO	1.890% 08/03/2018 DD 08/02/18	(938,772)	938,772
BARCLAYS BANK PLC TRI REPO	1.900% 08/03/2018 DD 08/02/18	(306,268)	306,268
SOCIETE GENERALE TRI REPO	1.840% 08/07/2018 DD 08/06/18	(277,897)	277,897
SOCIETE GENERALE TRI REPO	1.840% 08/06/2018 DD 08/03/18	(409,874)	409,874
MERRILL LYNCH TRI REPO	1.830% 08/06/2018 DD 08/03/18	(112,733)	112,733
CITIBANK NY ST TRI REPO	1.830% 08/06/2018 DD 08/03/18	(168,742)	168,742
BARCLAYS BANK PLC TRI REPO	1.830% 08/06/2018 DD 08/03/18	(478,391)	478,391
DEUTSCHE BK FINL TRI REPO	1.820% 08/06/2018 DD 08/03/18	(208,570)	208,570
J P MORGAN CHASE TRI REPO	1.830% 08/06/2018 DD 08/03/18	(1,395,773)	1,395,773
SOCIETE GENERALE TRI REPO	1.850% 08/08/2018 DD 08/07/18	(283,915)	283,915
CREDIT AGRICOLE TRI REPO	1.860% 08/08/2018 DD 08/07/18	(199,728)	199,728
MIZUHO REPO ATRI REPO	1.850% 08/08/2018 DD 08/07/18	(117,534)	117,534
CITIBANK NY ST TRI REPO	1.830% 08/07/2018 DD 08/06/18	(160,451)	160,451
BARCLAYS BANK PLC TRI REPO	1.840% 08/07/2018 DD 08/06/18	(309,561)	309,561
DEUTSCHE BK FINL TRI REPO	1.840% 08/07/2018 DD 08/06/18	(178,881)	178,881
J P MORGAN CHASE TRI REPO	1.840% 08/07/2018 DD 08/06/18	(968,728)	968,728
CREDIT AGRICOLE TRI REPO	1.870% 08/09/2018 DD 08/08/18	(258,569)	258,569
BARCLAYS BANK PLC TRI REPO	1.870% 08/09/2018 DD 08/08/18	(243,076)	243,076
DEUTSCHE BK FINL TRI REPO	1.880% 08/09/2018 DD 08/08/18	(164,446)	164,446
DEUTSCHE BK FINL TRI REPO	1.870% 08/08/2018 DD 08/07/18	(182,021)	182,021
BARCLAYS BANK PLC TRI REPO	1.850% 08/08/2018 DD 08/07/18	(322,680)	322,680
J P MORGAN CHASE TRI REPO	1.860% 08/08/2018 DD 08/07/18	(928,121)	928,121
SOCIETE GENERALE TRI REPO	1.870% 08/09/2018 DD 08/08/18	(256,428)	256,428
CITIBANK NY ST TRI REPO	1.900% 08/10/2018 DD 08/09/18	(156,130)	156,130
BARCLAYS BANK PLC TRI REPO	1.900% 08/10/2018 DD 08/09/18	(547,390)	547,390
DEUTSCHE BK FINL TRI REPO	1.910% 08/10/2018 DD 08/09/18	(211,399)	211,399
J P MORGAN CHASE TRI REPO	1.900% 08/10/2018 DD 08/09/18	(1,154,548)	1,154,548
J P MORGAN CHASE TRI REPO	1.870% 08/09/2018 DD 08/08/18	(903,021)	903,021
BARCLAYS BANK PLC TRI REPO	1.850% 08/09/2018 DD 08/08/18	(169,648)	169,648
SOCIETE GENERALE TRI REPO	1.890% 08/10/2018 DD 08/09/18	(239,780)	239,780
CREDIT AGRICOLE TRI REPO	1.900% 08/10/2018 DD 08/09/18	(508,513)	508,513
BARCLAYS BANK PLC TRI REPO	1.870% 08/13/2018 DD 08/10/18	(839,391)	839,391
DEUTSCHE BK FINL TRI REPO	1.870% 08/13/2018 DD 08/10/18	(345,019)	345,019
BNP PARIBAS TRI REPO	1.870% 08/13/2018 DD 08/10/18	(118,015)	118,015
J P MORGAN CHASE TRI REPO	1.870% 08/13/2018 DD 08/10/18	(1,623,299)	1,623,299
SOCIETE GENERALE TRI REPO	1.870% 08/13/2018 DD 08/10/18	(421,646)	421,646
CREDIT AGRICOLE TRI REPO	1.870% 08/13/2018 DD 08/10/18	(716,195)	716,195
MERRILL LYNCH TRI REPO	1.870% 08/13/2018 DD 08/10/18	(130,534)	130,534
CITIBANK NY ST TRI REPO	1.880% 08/13/2018 DD 08/10/18	(124,874)	124,874
J P MORGAN CHASE TRI REPO	1.890% 08/14/2018 DD 08/13/18	(357,001)	357,001
RBC CAPITAL MAR TRI REPO	1.890% 08/14/2018 DD 08/13/18	(158,065)	158,065
CREDIT AGRICOLE TRI REPO	1.890% 08/14/2018 DD 08/13/18	(136,552)	136,552
BARCLAYS BANK PLC TRI REPO	1.890% 08/14/2018 DD 08/13/18	(184,119)	184,119
DEUTSCHE BK FINL TRI REPO	1.900% 08/14/2018 DD 08/13/18	(120,773)	120,773
SOCIETE GENERALE TRI REPO	1.900% 08/15/2018 DD 08/14/18	(139,706)	139,706
RBC CAPITAL MAR TRI REPO	1.910% 08/15/2018 DD 08/14/18	(53,170)	53,170

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
CREDIT AGRICOLE TRI REPO	1.900% 08/15/2018 DD 08/14/18	(212,629)	212,629
MIZUHO REPO ATRI REPO	1.910% 08/15/2018 DD 08/14/18	(107,802)	107,802
BARCLAYS BANK PLC TRI REPO	1.910% 08/15/2018 DD 08/14/18	(288,103)	288,103
DEUTSCHE BK FINL TRI REPO	1.910% 08/15/2018 DD 08/14/18	(119,395)	119,395
J P MORGAN CHASE TRI REPO	1.900% 08/15/2018 DD 08/14/18	(562,193)	562,193
SOCIETE GENERALE TRI REPO	1.960% 08/16/2018 DD 08/15/18	(280,010)	280,010
CREDIT AGRICOLE TRI REPO	1.960% 08/16/2018 DD 08/15/18	(638,185)	638,185
CITIBANK NY ST TRI REPO	1.960% 08/16/2018 DD 08/15/18	(130,264)	130,264
BARCLAYS BANK PLC TRI REPO	1.970% 08/16/2018 DD 08/15/18	(569,627)	569,627
DEUTSCHE BK FINL TRI REPO	1.980% 08/16/2018 DD 08/15/18	(225,601)	225,601
J P MORGAN CHASE TRI REPO	1.960% 08/16/2018 DD 08/15/18	(1,094,830)	1,094,830
RBC CAPITAL MAR TRI REPO	1.890% 08/20/2018 DD 08/17/18	(98,312)	98,312
CITIBANK NY ST TRI REPO	1.890% 08/21/2018 DD 08/20/18	(121,536)	121,536
BARCLAYS BANK PLC TRI REPO	1.880% 08/21/2018 DD 08/20/18	(407,262)	407,262
DEUTSCHE BK FINL TRI REPO	1.890% 08/21/2018 DD 08/20/18	(234,369)	234,369
J P MORGAN CHASE TRI REPO	1.880% 08/21/2018 DD 08/20/18	(810,006)	810,006
CREDIT AGRICOLE TRI REPO	1.890% 08/20/2018 DD 08/17/18	(124,075)	124,075
SOCIETE GENERALE TRI REPO	1.880% 08/21/2018 DD 08/20/18	(257,606)	257,606
CREDIT AGRICOLE TRI REPO	1.890% 08/21/2018 DD 08/20/18	(639,452)	639,452
CREDIT AGRICOLE TRI REPO	1.890% 08/22/2018 DD 08/21/18	(557,017)	557,017
CITIGROUP GLOBAL TRI REPO	1.880% 08/22/2018 DD 08/21/18	(125,458)	125,458
BARCLAYS BANK PLC TRI REPO	1.880% 08/22/2018 DD 08/21/18	(348,399)	348,399
DEUTSCHE BK FINL TRI REPO	1.890% 08/22/2018 DD 08/21/18	(208,471)	208,471
J P MORGAN CHASE TRI REPO	1.880% 08/22/2018 DD 08/21/18	(705,441)	705,441
SOCIETE GENERALE TRI REPO	1.880% 08/22/2018 DD 08/21/18	(219,493)	219,493
BARCLAYS BANK PLC TRI REPO	1.890% 08/23/2018 DD 08/22/18	(111,809)	111,809
DEUTSCHE BK FINL TRI REPO	1.900% 08/23/2018 DD 08/22/18	(153,126)	153,126
J P MORGAN CHASE TRI REPO	1.890% 08/23/2018 DD 08/22/18	(377,870)	377,870
SOCIETE GENERALE TRI REPO	1.880% 08/23/2018 DD 08/22/18	(151,214)	151,214
CREDIT AGRICOLE TRI REPO	1.890% 08/23/2018 DD 08/22/18	(182,471)	182,471
CITIBANK NY ST TRI REPO	1.890% 08/23/2018 DD 08/22/18	(128,766)	128,766
BARCLAYS BANK PLC TRI REPO	1.940% 08/27/2018 DD 08/24/18	(128,367)	128,367
DEUTSCHE BK FINL TRI REPO	1.950% 08/27/2018 DD 08/24/18	(140,730)	140,730
BNP PARIBAS TRI REPO	1.940% 08/27/2018 DD 08/24/18	(110,783)	110,783
J P MORGAN CHASE TRI REPO	1.940% 08/27/2018 DD 08/24/18	(471,795)	471,795
BNP PARIBAS TRI REPO	1.920% 08/24/2018 DD 08/23/18	(118,906)	118,906
DEUTSCHE BK FINL TRI REPO	1.950% 08/28/2018 DD 08/27/18	(121,349)	121,349
BNP PARIBAS TRI REPO	1.950% 08/28/2018 DD 08/27/18	(101,743)	101,743
J P MORGAN CHASE TRI REPO	1.940% 08/28/2018 DD 08/27/18	(446,928)	446,928
SOCIETE GENERALE TRI REPO	1.940% 08/28/2018 DD 08/27/18	(239,220)	239,220
SOCIETE GENERALE TRI REPO	1.940% 08/27/2018 DD 08/24/18	(259,106)	259,106
CREDIT AGRICOLE TRI REPO	1.940% 08/27/2018 DD 08/24/18	(234,792)	234,792
BARCLAYS BANK PLC TRI REPO	1.940% 08/28/2018 DD 08/27/18	(118,026)	118,026
CREDIT AGRICOLE TRI REPO	1.940% 08/29/2018 DD 08/28/18	(146,984)	146,984
CREDIT AGRICOLE TRI REPO	1.940% 08/28/2018 DD 08/27/18	(317,256)	317,256
BNP PARIBAS TRI REPO	1.950% 08/29/2018 DD 08/28/18	(133,719)	133,719
J P MORGAN CHASE TRI REPO	1.940% 08/29/2018 DD 08/28/18	(213,314)	213,314
SOCIETE GENERALE TRI REPO	1.940% 08/29/2018 DD 08/28/18	(177,148)	177,148
BARCLAYS BANK PLC TRI REPO	1.920% 08/30/2018 DD 08/29/18	(130,556)	130,556
BNP PARIBAS TRI REPO	1.920% 08/30/2018 DD 08/29/18	(116,247)	116,247
CITIGROUP GLOBAL TRI REPO	1.920% 08/30/2018 DD 08/29/18	(49,320)	49,320
DEUTSCHE BK FINL TRI REPO	1.930% 08/31/2018 DD 08/30/18	(188,380)	188,380
BNP PARIBAS TRI REPO	1.920% 08/31/2018 DD 08/30/18	(142,461)	142,461
J P MORGAN CHASE TRI REPO	1.920% 08/31/2018 DD 08/30/18	(645,343)	645,343
SOCIETE GENERALE TRI REPO	1.920% 08/31/2018 DD 08/30/18	(389,597)	389,597
CREDIT AGRICOLE TRI REPO	1.920% 08/31/2018 DD 08/30/18	(372,547)	372,547

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
MERRILL LYNCH TRI REPO	1.920% 08/31/2018 DD 08/30/18	(59,953)	59,953
BARCLAYS BANK PLC TRI REPO	1.930% 08/31/2018 DD 08/30/18	(183,215)	183,215
BNP PARIBAS TRI REPO	1.930% 09/05/2018 DD 09/04/18	(109,987)	109,987
J P MORGAN CHASE TRI REPO	1.930% 09/05/2018 DD 09/04/18	(312,915)	312,915
SOCIETE GENERALE TRI REPO	1.930% 09/05/2018 DD 09/04/18	(168,297)	168,297
CREDIT AGRICOLE TRI REPO	1.930% 09/05/2018 DD 09/04/18	(161,926)	161,926
SOCIETE GENERALE TRI REPO	1.920% 09/06/2018 DD 09/05/18	(146,862)	146,862
CITIBANK NY ST TRI REPO	1.930% 09/05/2018 DD 09/04/18	(105,876)	105,876
BARCLAYS BANK PLC TRI REPO	1.930% 09/06/2018 DD 09/05/18	(121,252)	121,252
BNP PARIBAS TRI REPO	1.920% 09/06/2018 DD 09/05/18	(203,912)	203,912
J P MORGAN CHASE TRI REPO	1.930% 09/06/2018 DD 09/05/18	(249,016)	249,016
DEUTSCHE BK FINL TRI REPO	1.910% 09/07/2018 DD 09/06/18	(106,954)	106,954
BNP PARIBAS TRI REPO	1.910% 09/07/2018 DD 09/06/18	(187,067)	187,067
J P MORGAN CHASE TRI REPO	1.910% 09/07/2018 DD 09/06/18	(203,751)	203,751
SOCIETE GENERALE TRI REPO	1.910% 09/07/2018 DD 09/06/18	(117,015)	117,015
BARCLAYS BANK PLC TRI REPO	1.910% 09/10/2018 DD 09/07/18	(139,928)	139,928
CITIBANK NY ST TRI REPO	1.910% 09/10/2018 DD 09/07/18	(198,205)	198,205
J P MORGAN CHASE TRI REPO	1.920% 09/10/2018 DD 09/07/18	(139,370)	139,370
EURO-BUND FUTURE	(EUX) EXP SEP 18	-	13,299
EURO-BUND FUTURE	(EUX) EXP DEC 18	-	87,773
EURO-BTP FUTURE	(EUX) EXP SEP 18	-	(64,997)
EURO-BTP FUTURE	(EUX) EXP DEC 18	-	(5,922)
EL.EN. SPA		(1,118,181)	590,018
CIRSA FINANCE INTERNATION REGS	6.250% 12/20/2023	(518,864)	503,804
TAV HAVALIMANLARI HOLDING AS		(703,308)	654,353
ROLLS-ROYCE HOLDINGS PLC PFD		(17,678)	17,571
ROLLS ROYCE HLDGS C SHS ENTITLEMENT	(JULY 2018)	(19,695)	18,693
DIGNITY PLC		(1,177,262)	1,083,265
NIPPON CERAMIC CO LTD		(719,204)	645,487
MERCARI INC		(63,355)	98,082
FINETEX ENE INC		(615,475)	10,560
INDONESIA TREASURY BOND	7.000% 05/15/2027	(471,813)	450,015
INDONESIA TREASURY BOND	6.125% 05/15/2028	(1,436,320)	1,386,191
AMEX GBT	8/18 COV-LITE TLB 0.000% 07/20/2025 DD 07/27/18	(235,225)	236,994
RUSSIAN FEDERAL BOND	OFZ 8.150% 02/03/2027	(969,442)	979,810
ARGENTINA POM POLITICA MONETAR	VAR RT 06/21/2020	(1,902,846)	1,732,684
QUALICORP CONSULTORIA	E CORRET	(660,426)	395,449
MOVIDA PARTICIPACOES SA		(808,634)	542,529
BANCO ABC BRASIL SA RTS		-	4,073
MEXICAN BONOS	8.000% 12/07/2023	(479,134)	470,076
MEXICAN BONOS	7.500% 06/03/2027	(2,368,506)	2,299,390
MEXICAN BONOS	8.000% 11/07/2047	(1,871,565)	1,794,327
MEXICAN BONOS	5.750% 03/05/2026	(963,959)	982,838
AKZONOBEL SPECIALTY CHEMICALS	0.000% 09/19/2025 DD 09/06/18	(388,050)	384,150
VODAFONEZIGGO	1/17 (USD) TLE	(1,409,558)	1,391,679
CCP_CDX. BP UL CDX.NA.HY.30_V1 PAY	500BPS 2023 JUN 20	(342,182)	341,489
CCP_CDX. BP UL CDX.NA.HY.31_V1 PAY	500BPS 2023 DEC 20	(0)	0
CCP_CDX._BP UL CDX.NA.HY.31 CM PAY	500BPS 2023 DEC 20	1,715,755	(1,627,235)
CCP_CDX. SP UL CDX-NAHYS31V1-5 REC	500BPS 2023 DEC 20	(1,461,521)	1,613,127
CCP_CDX._SP UL CDX-NAHYS31V1-5 REC	500BPS 2023 DEC 20	0	(0)
CCP_CDX._BP UL CDX.NA.HY.30_V1 PAY	500BPS 2023 JUN 20	590,750	(619,375)
CCP_CDX._BP UL CDX-NAHYS30V1-5 PAY	500BPS 2023 JUN 20	2,211,971	(2,252,018)
CDX. BP UL CDX.EM.29_V1 P	100BPS 2023 JUN 20	(3,019,271)	2,955,038
CDX._BP UL CDX.EM.29_V1 P	100BPS 2023 JUN 20	0	(0)
CCP_CDX. BP UL CDX.NA.HY.30_V1 PAY	500BPS 2023 JUN 20	(0)	0
CCP_CDX. BP UL CDX.NA.HY.30_V1 PAY	500BPS 2023 JUN 20	(0)	0

Rockwell Collins Pension Plan

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For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

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CCP_CDX._BP UL CDX.NA.HY.30_V1 PAY	500BPS 2023 JUN 20	621,670	(675,820)
BAHRAIN GOVERNMENT INTERN REGS	6.125% 08/01/2023	(393,000)	405,000
PRIME BLOOM HOLDINGS LTD REGS	7.500% 12/19/2019	(514,250)	515,625
UKRAINE GOVERNMENT INTERN REGS	7.375% 09/25/2032	(949,200)	946,400
TELENET FINANCE LUXEMBOUR	144A 5.500% 03/01/2028	(770,000)	732,000
DEUTSCHE BANK AG REGS	VAR RT 12/31/2049	(755,750)	689,000
PROVINCIA DE BUENOS AIRES	144A 7.875% 06/15/2027	(934,738)	886,050
COUNTRY GARDEN HOLDINGS C REGS	4.750% 09/28/2023	(950,013)	935,980
SAUDI GOVERNMENT INTERNAT REGS	4.625% 10/04/2047	(475,410)	482,300
AP MOLLER	MAERSK A/S	(241,748)	272,409
KONE OYJ		(42,025)	43,299
BOLIDEN AB		(7,106)	14,903
ACADEMEDIAAB		(784,362)	558,619

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4j - SCHEDULE OF REPORTABLE TRANSACTIONS

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

CATEGORY (i) - SINGLE TRANSACTION IN EXCESS OF 5% OF PLAN ASSETS

Identity of Party Involved	Description of Asset	No. of Transactions	Selling Price	Cost of Asset	Net Gain (Loss)
NL FUND	20+YEAR US HIGH QUALITY BOND NL FUND	1	$ 226,530,294	$168,422,040	$ 58,108,254

There were no category (ii) or (iv) reportable transactions during the year ended December 31, 2018

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4j - SCHEDULE OF REPORTABLE TRANSACTIONS

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

CATEGORY (iii) - SERIES OF TRANSACTIONS IN EXCESS OF 5% OF PLAN ASSET

Identity of Party Involved	Description of Asset	No. of Transactions	Purchase Price	Selling Price	Cost of Asset	Net Gain (Loss)
US TREASURY	US TREASURY BOND 2.750%	212	$ -	$ 109,110,123	$ 110,640,283	$ (1,530,160)
US TREASURY	US TREASURY BOND 2.750%	127	93,310,340	-	93,310,340	-
US TREASURY	US TREASURY BOND 3.000%	1	-	2,080,924	2,087,563	(6,639)
US TREASURY	US TREASURY BOND 3.000%	280	112,624,761	-	112,624,761	-
US TREASURY	US TREASURY BOND 3.000%	300	-	110,570,333	110,537,199	33,134
US TREASURY	US TREASURY NOTE 1.500%	16	195,567,562	-	195,567,562	-
US TREASURY	US TREASURY NOTE 1.500%	7	-	16,823,883	16,808,820	15,063
THE BANK OF NEW YORK MELLON	BNY MELLON CASH RESERVE 0.100%	243	269,702,427	-	269,702,427	-
THE BANK OF NEW YORK MELLON	BNY MELLON CASH RESERVE 0.100%	255	-	270,783,974	270,783,974	-
NL FUND	20+YEAR US HIGH QUALITY BOND NL FUND	10	18,560,000	-	18,560,000	-
NL FUND	20+YEAR US HIGH QUALITY BOND NL FUND	3	-	227,720,294	169,279,781	58,440,513

There were no category (ii) or (iv) reportable transactions during the year ended December 31, 2018

SCHEDULE SB ATTACHMENTS

Schedule SB, Line 26

Schedule of Active Participant Data as of January 1, 2018[1]

Number and average plan compensation limited by IRC §401(a)(17) distributed by attained age and attained years of credited service

	Attained Years of Credited Service																					
	Under 1		1-4		5-9		10-14		15-19		20-24		25-29		30-34		35-39		40 & Over			
Attained Age	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	Total	Avg. Accrued Benefit
Under 25	18	5,479	14	7,770																	32	6,481
25-29	22	10,619	44	11,209	9	5,222	4	5,151													79	10,056
30-34	81	8,135	104	10,249	36	12,580	33	9,928	1	5,761											255	9,847
35-39	117	9,555	353	9,335	191	9,100	66	8,941	36	12,835											763	9,441
40-44	72	7,549	195	7,264	393	8,999	102	7,898	50	9,216	34	10,022									846	8,397
45-49	80	6,949	174	9,022	336	8,681	186	8,840	117	9,012	37	9,118	11	8,466							941	8,684
50-54	65	9,244	173	8,314	354	8,681	217	8,368	350	7,691	205	8,259	52	7,164	26	6,618					1,442	8,223
55-59	73	7,961	160	7,281	400	9,296	179	8,050	269	7,158	306	9,531	215	7,899	50	6,824	21	6,621			1,673	8,324
60-64	34	9,813	85	7,975	218	8,627	122	8,773	153	9,512	111	8,908	199	9,400	62	11,122	21	8,967	61	10,030	1,066	9,162
65-69	8	8,997	26	9,829	56	7,417	44	8,613	27	10,751	29	12,781	19	13,793	21	11,633	7	11,770	24	10,872	261	10,086
70 & Over	3	22,505	4	17,214	15	6,964	2	15,660	6	7,019	4	7,371	2	8,846	6	7,908	3	5,781	7	10,471	52	9,606
Total	573	8,488	1,332	8,675	2,008	8,906	955	8,509	1,009	8,313	726	9,196	498	8,663	165	9,058	52	8,213	92	10,283	7,410	8,728

[1] Counts include participants who are active as of the valuation date and do not include participants on leave/layoff or transferred employees.
Note: Plan is primarily pay-related. All pay related benefits are frozen. Accrued benefits for all active participants are shown in lieu of pay.

Plan Name: Rockwell Collins Pension Plan
EIN / PN: 52-2314475 / 001
Plan Sponsor: Rockwell Collins
Valuation Date: January 1, 2018

SCHEDULE SB ATTACHMENTS

Schedule SB, Part V
Statement of Actuarial Assumptions/Methods

Economic Assumptions

Interest rate basis:

▪ Applicable month	January
▪ Interest rate basis	3-Segment Rates

Interest rates:

	Reflecting Corridors	Not Reflecting Corridors
▪ First segment rate:	3.92%	1.81%
▪ Second segment rate:	5.52%	3.68%
▪ Third segment rate:	6.29%	4.53%
▪ Effective interest rate	5.63%	

Annual rates of increase

▪ Compensation:	N/A
▪ Future Social Security wage bases	N/A
▪ Statutory limits on compensation	N/A

Administrative expenses — Assumed administrative expenses are equal to $2,500,000, plus the expected PBGC variable rate premium for 2018.

SCHEDULE SB ATTACHMENTS

Demographic Assumptions

New or rehired employees

It was assumed there will be no new or rehired employees.

Mortality (ERISA)

- Healthy: For all participants: separate rates for non-annuitants (based on RP-2000 "Employees" table without collar or amount adjustments, projected to 2033 using Scale AA) and annuitants (based on RP-2000 "Healthy Annuitants" table without collar or amount adjustments, projected to 2025 using Scale AA).
- Disabled: For all participants: separate rates for non-annuitants (based on RP-2000 "Employees" table without collar or amount adjustments, projected to 2033 using Scale AA) and annuitants (based on RP-2000 "Healthy Annuitants" table without collar or amount adjustments, projected to 2025 using Scale AA).

Termination

Rates varying by age, service and sub-plan.

Representative Termination Rates

Percentage leaving during the year				
Vesting Service	Salaried Sub-Plans	Collins Radio Production	Coralville	Electronics Offsite
		Select Rates		
0 to 1	N/A	0.050	0.300	N/A
1 to 2	N/A	0.050	0.300	N/A
2 to 3	N/A	0.050	0.100	N/A
3 to 4	N/A	N/A	0.075	N/A
4 to 5	N/A	N/A	0.050	N/A

Representative Termination Rates

Percentage leaving during the year				
Attained Age	Salaried Sub-Plans	Collins Radio Production	Coralville	Electronics Offsite
		Ultimate Rates		
20	0.150	0.050	0.050	0.050
25	0.150	0.050	0.040	0.040
30	0.075	0.020	0.030	0.030
35	0.050	0.020	0.030	0.030
40	0.040	0.020	0.020	0.020
45	0.040	0.020	0.020	0.020
50	0.040	0.020	0.020	0.020
55	-	-	-	-

SCHEDULE SB ATTACHMENTS

Representative Termination Rates

Percentage leaving during the year		
	ARINC Sub-Plans	
	Males	Females
Attained Age		
20	0.190	0.246
25	0.104	0.141
30	0.064	0.090
35	0.050	0.074
40	0.039	0.059
45	0.028	0.043
50	0.017	0.025
55	0.003	0.004

Disability

The rates at which participants become disabled varies by age and sub-plan. We assume no disability for ARINC sub-plans. See sample rates below for all other sub-plans:

Percentage becoming disabled during the year	
Collins Radio Production Employees Sub-Plan	
Age	Rate
20	0.00043
30	0.00097
40	0.00219
50	0.00608
60	-

Percentage becoming disabled during the year	
Certain Salaried Employees Sub-Plan Coralville Hourly Employees Sub-Plan Electronics Offsite Hourly Employees Sub-Plan Electronics salaried Employees Sub-Plan Kaiser Aerospace Employees Sub-Plan	
Age	Rates
20	0.00043
30	0.00097
40	0.00219
50	0.00608
60	0.01596

SCHEDULE SB ATTACHMENTS

Retirement

Rates varying by age, service and sub-plan.

For purposes of determining the Funding Target and Target Normal Cost (both disregarding at-risk assumptions), the rates at which participants retire by age, service and sub-plan are shown below.

Percentage retiring during the year			
Aerospace Salaried Employees Sub-Plan			
Age	10 Years of Service	20 Years of Service	30 Years of Service
55	0.074	0.060	0.078
60	0.094	0.164	0.167
62	0.108	0.093	0.096
65	0.223	0.209	0.212
70	1.000	1.000	1.000

Percentage retiring during the year		
Certain Salaried Employees Sub-Plan Electronics Salaried Employees Sub-Plan Kaiser Aerospace Employees Sub-Plan		
	Age plus Years of Service	
Age	<85	85+
55	0.020	0.075
60	0.050	0.150
62	0.125	0.200
65	0.150	N/A
66-69	0.120	N/A
70	1.000	1.000

Percentage retiring during the year		
Collins Radio Production Employees Sub-Plan		
	Years of Service	
Age	<30	30+
55	0.040	0.070
60	0.040	0.100
62	0.100	0.250
65	0.200	0.400
66-67	0.200	0.400
68-69	0.200	0.500
70	1.000	1.000

SCHEDULE SB ATTACHMENTS

ercentage retiring during the year	
Electronics Offsite and Coralville Hourly Employees Sub-Plan	
Age	
55	0.020
60	0.020
62	0.120
65-69	0.200
70	1.000

Percentage retiring during the year	
ARINC Employees Sub-Plan	
Age	
55	0.120
60	0.050
62	0.300
65	0.250
66-69	0.150
70	1.000

Benefit commencement date:

- Preretirement death benefit: The later of the death of the active participant or the date the participant would have attained age 55

- Deferred vested benefit: For participants in the Certain Salaried, Electronics Salaried, Kaiser Salaried, Coralville, and Electronics Offsite sub-plans, 10% are assumed to retire at the earliest retirement eligibility and 90% are assumed to retire at age 62. In the Collins Production sub-plan, 60% of participants with 10 or more years of vested service are assumed to retire at the earliest eligibility, the remaining 40% are assumed to retire at age 62. Those participants with less than 10 years of vesting service are assumed to retire at age 65.

  For future terminated vested in the ARINC sub plans:
  - Annuity payments: age 62
  - Lump sum payments: age 65

  For current terminated vested in the ARINC sub plans:
  - Annuity payments: age 62
  - Lump sum payments: immediate payment in year following termination, otherwise follow active retirement decrements

- Disability benefit — Upon disablement
- Retirement benefit — Upon termination of employment

Percent married

For the ARINC sub-plan we assume 85% of males and 75% of females are married:

For the remaining sub-plans, It is assumed that 85% of employees are married. For the Certain Salaried Employees Sub-Plan, Electronics salaried Employees Sub-Plan, and Kaiser Aerospace Employees Sub-Plan we assume 65% of employees elect survivor benefits. In the case of terminated vested employees, those in the Aerospace Hourly Sub-Plan, Aerospace Salaried Sub-Plan, Kaiser UAW Sub-Plan, and the Salaried Sub-Plans we assume 65% of employees elect survivor benefits. For the Kenton Hourly Sub-Plan we assume 85% of employees elect survivor benefits. For all other sub-plans we assume no survivor benefits are elected.

Form of Payment (excluding ARINC)

Active employees upon retirement:

Certain Salaried Employees Sub-Plan, Electronics salaried Employees Sub-Plan, and Kaiser Aerospace Employees Sub-Plan: 65% of employees are assumed to elect survivor benefits at retirement.

Other sub-plans: no survivor benefits are assumed to be elected

Terminated vested employees upon commencement of benefits:

Aerospace Hourly Sub-Plan, Aerospace Salaried Sub-Plan, Kaiser UAW Sub-Plan, and the Salaried Sub-Plans: 65% are assumed to elect survivor benefits upon commencement.

Kenton Hourly Sub-Plan: 85% are assumed to elect survivor benefits upon commencement.

Other sub-plans: no survivor benefits are assumed to be elected

SCHEDULE SB ATTACHMENTS

Form of Payment: (ARINC)

Active employees upon retirement:

90% of Salaried participants are assumed to elect a lump sum and 10% are assumed to elect an annuity (life annuity if single and 100% J&S if married).

100% of Union participants are assumed to elect an annuity (life annuity if single and 100% J&S if married).

Future vested deferred:

90% of Salaried participants are assumed to elect a lump sum and 10% are assumed to elect an annuity (life annuity if single and 100% J&S if married).

100% of Union participants are assumed to elect an annuity (life annuity if single and 100% J&S if married).

Future deaths:

100% of Salaried participants are assumed to elect a lump sum.

100% of Union participants are assumed to elect an annuity (life annuity if single and 100% J&S if married).

Current vested deferred:

100% of Salaried participants eligible for a lump sum are assumed to elect a lump sum. 100% of other Salaried participants and Union participants elect a single life annuity.

Spouse age

Wife three years younger than husband.

At-risk assumptions

For at-risk calculations, all participants eligible to elect benefits during the current and subsequent ten plan years are assumed to commence benefits at the earliest possible date under the plan, but not before the end of the current plan year, except in accordance with the regular valuation assumptions. In addition, all participants (not just those eligible to begin benefits within the next 11 years) are assumed to elect the most valuable form of benefit under the plan, which is usually, depending on the sub-plan, the 50% or 60% joint and survivor form of payment.

Timing of benefit payments

Annuity payments are payable monthly at the beginning of the month.

SCHEDULE SB ATTACHMENTS

Methods

Valuation date	First day of plan year.
Funding target	Present value of accrued benefits as required by regulations under IRC §430.
Target normal cost	Present value of benefits expected to accrue during the plan year plus plan-related expenses expected to be paid from plan assets during the plan year as required by regulations under IRC §430.
Actuarial value of assets [for determining minimum required contributions]	The actuarial value of assets is equal to the market value of assets as of the valuation date plus the discounted present value of contributions made after the valuation date for the 2017 plan year, discounted using the effective interest rate for the 2017 plan year.
Benefits not valued	All benefits described in the Plan Provisions section of this report were valued. Willis Towers Watson has reviewed the plan provisions with Rockwell Collins and, based on that review, is not aware of any significant benefits required to be valued that were not.

Assumptions Rationale - Significant Economic Assumptions

Discount rate	The basis chosen was selected by the plan sponsor from among choices prescribed by law, all of which are based on observed market data over certain periods of time.
Administrative Expenses	Expected Administrative expenses for the plan are based on the expected VRP plus historical actual administrative expenses and input from Rockwell Collins on expected future expenses.

SCHEDULE SB ATTACHMENTS

Assumptions Rationale - Significant Demographic Assumptions

Mortality (ERISA)	Assumptions used for funding purposes are as prescribed by IRC §430(h). Note that the plan sponsor has decided, as allowed by regulation §1.430(h)(3)-1, to delay until the 2019 plan year updating the required mortality table to reflect the RP-2014 study and subsequent Society of Actuaries studies.
Termination	Termination rates were based on an experience study conducted in 2014, with annual consideration of whether any conditions have changed that would be expected to produce different results in the future.
Disability	Disability rates are based on past experience, with annual consideration of whether any conditions have changed that would be expected to produce different results in the future.
Retirement for Active and Terminated Vested Participants	Retirement rates were based on an experience study conducted in 2014, with annual consideration of whether any conditions have changed that would be expected to produce different results in the future.
Benefit commencement date for deferred preretirement death benefit	Surviving spouses are assumed to begin benefits at the earliest permitted commencement date because ERISA requires benefits to start then unless the spouse elects to defer. If the spouse elects to defer, actuarial increases from the earliest commencement date must be given, so that a later commencement date is expected to be of approximately equal value, and experience indicates that most spouses do take the benefit as soon as it is available.
Form of payment	The percentage of retiring participants assumed to take joint and survivor annuities, and the assumed survivor percentages, are based on past experience, with annual consideration of whether any conditions have changed that would be expected to produce different results.
Percent married	The assumed percentage married is based on past experience, with annual consideration of whether any conditions have changed that would be expected to produce different results.
Spouse age	The assumed age difference for spouses is based on past experience, with annual consideration of whether any conditions have changed that would be expected to produce different results.

SCHEDULE SB ATTACHMENTS

Source of Prescribed Methods	
Funding methods	The methods used for funding purposes as described in Appendix A, including the method of determining plan assets, are “prescribed methods set by law”, as defined in the actuarial standards of practice (ASOPs). These methods are required by IRC §430, or were selected by the plan sponsor from a range of methods permitted by IRC §430.

Source of Participant Data	
Participant Data	Participant data was supplied by Rockwell Collins as of the census date. Rockwell Collins provided additional census data for terminated vested participants who are impacted or will potentially be impacted by a correction to the benefit amounts payable. These corrections have been reflected in this valuation. Data and other information were reviewed for reasonableness and consistency, but no audit was performed. Based on discussions with the plan sponsor, assumptions or estimates were made when data were not available, and the data was adjusted to reflect any significant events that occurred between the date the data was collected and the measurement date

Changes in Assumptions and Methods	
Change in assumptions since prior valuation	The segment interest rates used to calculate the funding target and target normal cost were updated to the current valuation date as required by IRC 430. The mortality table used to calculate the funding target and target normal cost was updated to include one additional year of projected mortality improvement, as required by IRC 430. Rockwell Collins executed an annuity purchase for certain terminated vested participants and annuitants in August 2017. Impacted participants were removed from the census data used for this valuation
Change in methods since prior valuation	No changes.

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4j - SCHEDULE OF REPORTABLE TRANSACTIONS

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

CATEGORY (i) - SINGLE TRANSACTION IN EXCESS OF 5% OF PLAN ASSETS

Identity of Party Involved	Description of Asset	No. of Transactions	Selling Price	Cost of Asset	Net Gain (Loss)
NL FUND	20+YEAR US HIGH QUALITY BOND NL FUND	1	$ 226,530,294	$168,422,040	$ 58,108,254

There were no category (ii) or (iv) reportable transactions during the year ended December 31, 2018

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4j - SCHEDULE OF REPORTABLE TRANSACTIONS

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

CATEGORY (iii) - SERIES OF TRANSACTIONS IN EXCESS OF 5% OF PLAN ASSET

Identity of Party Involved	Description of Asset	No. of Transactions	Purchase Price	Selling Price	Cost of Asset	Net Gain (Loss)
US TREASURY	US TREASURY BOND 2.750%	212	$ -	$ 109,110,123	$ 110,640,283	$ (1,530,160)
US TREASURY	US TREASURY BOND 2.750%	127	93,310,340	-	93,310,340	-
US TREASURY	US TREASURY BOND 3.000%	1	-	2,080,924	2,087,563	(6,639)
US TREASURY	US TREASURY BOND 3.000%	280	112,624,761	-	112,624,761	-
US TREASURY	US TREASURY BOND 3.000%	300	-	110,570,333	110,537,199	33,134
US TREASURY	US TREASURY NOTE 1.500%	16	195,567,562	-	195,567,562	-
US TREASURY	US TREASURY NOTE 1.500%	7	-	16,823,883	16,808,820	15,063
THE BANK OF NEW YORK MELLON	BNY MELLON CASH RESERVE 0.100%	243	269,702,427	-	269,702,427	-
THE BANK OF NEW YORK MELLON	BNY MELLON CASH RESERVE 0.100%	255	-	270,783,974	270,783,974	-
NL FUND	20+YEAR US HIGH QUALITY BOND NL FUND	10	18,560,000	-	18,560,000	-
NL FUND	20+YEAR US HIGH QUALITY BOND NL FUND	3	-	227,720,294	169,279,781	58,440,513

There were no category (ii) or (iv) reportable transactions during the year ended December 31, 2018

SCHEDULE SB (Form 5500)	Single-Employer Defined Benefit Plan Actuarial Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	2018 This Form is Open to Public Inspection

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of $1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan	B Three-digit plan number (PN) ▶
ROCKWELL COLLINS PENSION PLAN	001
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF	**D** Employer Identification Number (EIN)
ROCKWELL COLLINS, INC.	52-2314475

E Type of plan: [X] Single [ ] Multiple-A [ ] Multiple-B

F Prior year plan size: [ ] 100 or fewer [ ] 101-500 [X] More than 500

Part I Basic Information

1 Enter the valuation date: Month 01 Day 01 Year 2018

2 Assets:

a Market value	2a	3,602,482,180
b Actuarial value	2b	3,602,482,180

3 Funding target/participant count breakdown

	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a For retired participants and beneficiaries receiving payment	12,850	2,084,307,723	2,084,307,723
b For terminated vested participants	6,475	295,534,033	295,534,033
c For active participants	8,284	553,147,380	571,589,740
d Total	27,609	2,932,989,136	2,951,431,496

4 If the plan is in at-risk status, check the box and complete lines (a) and (b) [ ]

a Funding target disregarding prescribed at-risk assumptions	4a	
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b	
5 Effective interest rate	5	5.63%
6 Target normal cost	6	8,129,895

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE

Steven Verguldi — Signature of actuary

7/30/19 — Date

Steven J Verguldi — Type or print name of actuary

1707161 — Most recent enrollment number

Willis Towers Watson US LLC — Firm name

703-258-8036 — Telephone number (including area code)

800 North Glebe Road
Floor 10
Arlington VA 22203
Address of the firm

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions [ ]

Part II Beginning of Year Carryover and Prefunding Balances

		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	193,403,868
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	22,000,000
9	Amount remaining (line 7 minus line 8)	0	171,403,868
10	Interest on line 9 using prior year's actual return of 19.73%	0	33,817,983
11	Prior year's excess contributions to be added to prefunding balance:		
	a Present value of excess contributions (line 38a from prior year)		397,028,861
	b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of 5.84%		21,901,685
	b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		4,340,600
	c Total available at beginning of current plan year to add to prefunding balance		423,271,146
	d Portion of (c) to be added to prefunding balance		423,271,146
12	Other reductions in balances due to elections or deemed elections	0	0
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	628,492,997

Part III Funding Percentages

14	Funding target attainment percentage	14	100.76%
15	Adjusted funding target attainment percentage	15	121.32%
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	91.32%
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.	17	%

Part IV Contributions and Liquidity Shortfalls

18 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
			Totals ► 18(b)	0	18(c) 0

19	Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:		
	a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
	b Contributions made to avoid restrictions adjusted to valuation date	19b	0
	c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	0

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? [X] Yes [ ] No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? [X] Yes [ ] No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year

(1) 1st	(2) 2nd	(3) 3rd	(4) 4th
0	0	0	0

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:

a Segment rates:

1st segment:	2nd segment:	3rd segment:	☐ N/A, full yield curve used
3.92 %	5.52 %	6.29%	

b Applicable month (enter code) — **21b**: 0

22 Weighted average retirement age — **22**: 63

23 Mortality table(s) (see instructions)

Prior regulation: ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute

Current regulation: ☐ Prescribed - combined ☐ Prescribed - separate ☐ Substitute

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No

25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No

26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No

27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	**27**	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	**28**	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	**29**	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	**30**	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):

a Target normal cost (line 6)	**31a**	8,129,895
b Excess assets, if applicable, but not greater than line 31a	**31b**	8,129,895

32 Amortization installments:

	Outstanding Balance	Installment
a Net shortfall amortization installment	0	0
b Waiver amortization installment	0	0

33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	**33**	
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)	**34**	0

	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	0	10,780,000	10,780,000

36 Additional cash requirement (line 34 minus line 35)	**36**	0
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	**37**	0

38 Present value of excess contributions for current year (see instructions)

a Total (excess, if any, of line 37 over line 36)	**38a**	0
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	**38b**	0
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	**39**	0
40 Unpaid minimum required contributions for all years	**40**	0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:

a Schedule elected ☐ 2 plus 7 years ☒ 15 years

b Eligible plan year(s) for which the election in line 41a was made ☐ 2008 ☒ 2009 ☒ 2010 ☐ 2011

SCHEDULE SB ATTACHMENTS

Schedule SB, Line 22
Description of Weighted Average Retirement Age
as of January 1, 2018

Sub-Plan Name	Active Participant Counts at 1/1/2018	Weighted Average Retirement Age
Certain Salaried	46	63.408
Electronics Salaried	4,729	63.169
Collins Production	971	63.503
Coralville	524	65.000
Kaiser Ret Plan	157	64.427
Offsite Electronics	442	64.742
TOTAL	6,869	63.487

Weighted Average Retirement Age is determined using the actual active population (excluding participants in leave, layoff or transfer status as of January 1, 2018) and the Plan's retirement decrement assumptions.

SCHEDULE SB ATTACHMENTS

Schedule SB – Statement by Enrolled Actuary

Plan Sponsor	Rockwell Collins
EIN/PN	52-2314475/001
Plan Name	Rockwell Collins Pension Plan
Valuation Date	January 1, 2018
Enrolled Actuary	Steven Verguldi
Enrollment Number	17-07161

The actuarial assumptions that are not mandated by IRC § 430 and regulations, represent the enrolled actuary's best estimate of anticipated experience under the plan, subject to the following conditions:

The actuarial valuation, on which the information in this Schedule SB is based, has been prepared in reliance upon the employee and financial data furnished by the plan administrator and the trustee. The enrolled actuary has not made a rigorous check of the accuracy of this information but has accepted it after reviewing it and concluding it is reasonable in relation to similar information furnished in previous years. The amounts of contributions and dates paid shown in Item 18 of Schedule SB were listed in reliance on information provided by the plan administrator and/or trustee.

SCHEDULE SB ATTACHMENTS

Schedule SB, Part V
Summary of Plan Provisions

Plan Benefits Valued

The valuation is based on benefit provisions in effect on the valuation date. Benefit accruals were frozen effective December 31, 2015.

1. Active Employees:
   a. Salaried Employees - (excluding ARINC)

      Entitled to vested, accrued benefit as of September 30, 2006. Accrue eligibility service toward early retirement benefits. Employees rehired after September 30, 2006 who terminated non-vested prior to the freeze can continue to earn eligibility service.

   b. Salaried Employees - (ARINC)

      Entitled to cash balance with pay contributions frozen as of April 1, 2006. Interest credit continue to accrue through date of distribution.

   c. Hourly Employees - Accrue benefits under one of the sub-plans, descriptions of which are attached.

2. Inactive Participants: Entitled to vested, accrued benefit determined as of the date of separation.

Changes from Prior Valuation

None

SCHEDULE SB ATTACHMENTS

ARINC Employees Sub-Plan

Outline of Benefit Provisions – Salaried

Effective Date of plan Merger	December 31, 2015.
Most recent plan ammendments Included	Effective from December 31, 2016
Covered employees	All employees excluding any individual covered by a collective bargaining agreement of ARINC Incorporated. The plan is closed to new entrants effective April 1, 2006.
Participation date	The earlier of the first anniversary of date of hire following the completion of 1,000 Hours of Service or the first day of the Plan Year after completion of 1,000 Hours of Service in a consecutive 12-month period.
Employee contributions	Rockwell Collins pays the entire cost of the Plan. Some employees may have residual employee contribution balances from when the plan was contributory prior to July 1, 1977.
Vesting service	A participant earns 1 year of vesting service for each plan year he works at least 1,000 hours.
Credited service	Years and months with the employer.
Pensionable earnings	Base pay, bonuses, overtime, and lump sums in lieu of base salary.
Average rate of earnings	The participant's highest average Rate of Earnings on January 1st of any of three consecutive years during the last ten years before the participant's termination of employment. Rate of Earnings for this purpose is the rate of annual basic compensation with the Employer on the January 1 preceding the determination date, excluding overtime payments, commissions, bonuses, and any other additional compensation. Only applicable for three individuals valued under Appendix A of the plan document.
Primary Social Security benefit	Not applicable.
Covered Compensation	An average of the Social Security wage bases for the 35 years through the year the participant attains Social Security retirement age.
Accrued benefit	A participant's accrued benefit based on the cash balance benefit plus the basic pension additions. For participants valued under Appendix A of the plan document, the accrued benefit is the benefit determined in the same manner as the

normal retirement benefit and based on the participant's years of credited service and final average earnings at the date the accrued benefit is being calculated.

ARINC Employees Sub-Plan (Salaried)

Cash Balance Benefits

A participant's account balance at any time shall be the sum of (a), (b), (c) and (d) below:

Initial Balance - the actuarial equivalent of the participant's accrued benefit under the prior plan formula, expressed as a lump sum on January 1, 1999 or as determined under the rehire or transfer (from Union) provision.

Interest Accruals - for each plan year starting January 1, 1999, each participant's Account Balance shall increase at the average yield on five-year United States Treasury notes for the month of November immediately preceding the plan year, with a minimum of 4%.

(c) Principal Accruals - each year, Principal Accruals will be added to the participant's Account Balance. The Principal Accrual will be a percentage of the participant's Annual Earnings determined by the following table:

Age of Beginning of Plan Year	Accrual
Before Age 25	3.0%
25-29	3.6%
30-34	4.6%
35-40	5.9%
40-44	7.4%
45-49	9.2%
50-54	11.5%
55-59	14.0%
60+	19.0%

Effective April 1, 2006, Principal Accruals will no longer be added to the participant's Account Balance.

(d) Transition Benefits: Employees who were active as of January 1, 1999 may have been eligible to receive Transition Benefits. These Transition Benefits will be credited over a maximum of three years depending upon the employee's age at January 1, 1999. The last Transition Benefit was credited on January 1, 2001.

SCHEDULE SB ATTACHMENTS

Normal retirement	
Eligibility	Age 65
Benefit	The benefit is the actuarial equivalent of the account balance payable in the normal form. There are three active participants under Appendix A who receive a benefit based on final average earnings.

SCHEDULE SB ATTACHMENTS

ARINC Employees Sub-Plan (Salaried)

Early retirement	
Eligibility	Age 55 and termination of employment after completion of five or more years of Vesting Service.
Benefit	In lieu of a Deferred Vested Benefit, a participant may choose to receive their cash balance or to receive an actuarially equivalent immediate annuity.
Postponed retirement	
Eligibility	Over age 65
Benefit	The benefit is the Accrued Benefit determined as of the postponed retirement date.
Deferred vested	
Eligibility	Termination of employment before attaining age 65 but after completing three years of Vesting Service (or involuntary termination within six months of full vesting).
Benefit	A lump sum payable immediately or a deferred lump sum payable at Normal Retirement with Interest Accruals continuing until benefit commencement, but Principal Accruals ceasing at termination. An actuarially equivalent immediate or deferred annuity is also available.
Disability	
Eligibility	Five years of Vesting Service and terminates employment due to Disability. Disability is total and permanent disability as defined in the ARINC Long Term Disability Plan.
Benefit	The disability retirement benefit is computed as if a participant had remained active, continued to accrue the basic pension addition, and, if disabled prior to April 1, 2006, continued to receive Principal Accruals and Interest Accruals under the current cash balance plan, assuming compensation is equal to the greater of (1) the participant's full annual rate of base pay (excluding bonus) as of the date immediately preceding the date of the Disability, or (2) the participant's Compensation during the last Plan Year ending immediately prior to the date of Disability. The benefit will be a Normal or Early Retirement Benefit (whichever applies) based on the person's Age at the date benefits are to commence.

SCHEDULE SB ATTACHMENTS

Pre-retirement death	
Eligibility	Three years of Vesting Service.
	ARINC Employees Sub-Plan (Salaried)
Benefit	Upon the death of a Vested participant, the participant's account balance shall be paid to his beneficiary.
Form of benefits	
Automatic form for unmarried participants	Single life annuity.
Automatic form for married participants	100% joint and survivor annuity.
Optional forms	A married participant must have the consent of their spouse to elect any form other than (d) with the spouse as contingent annuitant. (a) Reduced benefit payable for life with 50% continuation paid to a contingent annuitant after the participant's death; may include a guaranteed payout option. (b) Reduced benefit payable for life with 66 2/3% continuation paid to a contingent annuitant after the participant's death; may include a guaranteed payout option. (c) Reduced benefit payable for life with 75% continuation paid to a contingent annuitant after the participant's death; may include a guaranteed payout option. (d) Reduced benefit payable for life with 100% continuation paid to a contingent annuitant after the participant's death; may include a guaranteed payout option. (e) Reduced benefit payable for life, with the provision that if death occurs before 120 monthly payments have been made, the remainder of the 120 monthly payments shall be paid to a contingent annuitant. (f) Life annuity (g) Account Balance in one lump sum.
Optional form conversion factors	For annuities: based on 1983 GAM 70% male / 30% female and an interest rate of 7.00%. For lump sums: based on 417(e)(3) interest and mortality.

SCHEDULE SB ATTACHMENTS

Cost of Living Adjustments	Salaried employees hired prior to March 31, 1986 and eligible prior to December 31, 1998 who retire receive a COLA each year: COLA is equal to January increase in CPI-U. Annual limit for each participant is lesser of (a) 5%, and (b) [service prior to 111/1988 (up to 25 years) * 5%] plus [service after 1/1/1988 (up to 25 years total service) * 3%] divided by total service (up to 25 years). Lifetime limit for each participant is 200% of original benefit.

Basic Pension Addition Benefits[1]

Normal retirement

Eligibility	Age 65 and five years of Vesting Service.
Benefit	Annual benefit equal to the maximum of: (a) $2,587.05 multiplied by Credited Service at Retirement or Termination, divided by the greater of 25 or your projected years of Credited Service at age 65. (b) The amount of the accrued benefit under the basic pension addition benefit program as of December 31, 1998 payable at age 65. Effective December 31, 2015 future accruals were frozen.

Early retirement

Eligibility	Age 55 and five years of Vesting Service or age 55 and involuntary termination of employment within six months of completion of 5 years of Vesting Service.
Benefit	Accrued pension addition benefit (based on Credited Service at retirement) reduced 7% per year for each year commencement precedes age 62, when applicable.
Pre-Medicare supplements	If the sum of the early retiree's age and Credited Service is 70 or more, a Pre-Medicare supplement equal to the Basic Pension Addition is payable, in addition to the Basic Pension Addition, until the earlier of the retiree's attainment of age 65 or death. If the early retiree and his spouse are both below age 65, an additional Pre-Medicare supplement equal to the Basic Pension Addition is payable until the earliest of (1) the retiree's age 65, (2) (2) the retiree's death, (3) the spouse's age 65, or (4) the spouse's death.

[1] This section describes all basic pension addition benefits. Total retirement income will consist of the sum of the applicable regular pension benefit and basis pension addition benefits.

SCHEDULE SB ATTACHMENTS

	Effective December 31, 2015, future accruals are frozen and the 70 point requirement is eliminated.
Deferred vested Eligibility	Three years of Vesting Service.
Benefit	Accrued normal retirement pension addition benefit (based on Credited Service to the date of termination) payable at age 65. Benefit is payable as early as age 55 but is reduced 7% per year for each year commencement precedes age 62, when applicable.
Pre-retirement death Eligibility	Three years of Vesting Service
Benefit	Accrued normal retirement pension addition benefit payable immediately, reduced by 7% per year for each year commencement precedes age 62, when applicable.

Other Plan Provisions

Late retirement increases	Active participants: The plan provides benefit suspension notices to participants who work beyond normal retirement; therefore, late retirement actuarial increases only apply to participants who defer retirement beyond age 70 ½. This valuation does not include increases for current active participants over age 70 ½ as the effect on the valuation would be insignificant. Deferred vested participants: Current deferred vested participants over normal retirement age are valued including the late retirement actuarial increase.
Maximum compensation	Compensation for any 12-month period used to determine accrued benefits may not exceed the limits in IRC Section 401(a)(17) for the calendar year in which the 12-month period begins. This limit is indexed annually. For 2017, the limit is $270,000.
Maximum benefits	Annual benefits may not exceed the limits in IRC Section 415. This limit is indexed annually. For 2018, the limit is $220,000.

SCHEDULE SB ATTACHMENTS

ARINC Employees Sub-Plan

Outline of Benefit Provisions – Union

Effective Date of plan Merger	December 31, 2015.
Status of the plan	The plan has ongoing benefit accruals and new employees are eligible to participate in the plan once they satisfy the participation requirements.
Covered employees	Any employee who is a member of a collective bargaining unit represented by the International Brotherhood of Teamsters, Chauffeurs, Warehousemen, and Helpers of America, which has negotiated with the Employer for coverage of an employee under the Plan.
Participation	The earlier of the first anniversary of date of hire following the completion of 1,000 Hours of Service or the first day of the Plan Year after completion of 1,000 Hours of Service in a consecutive 12-month period.
Employee contributions	Rockwell Collins pays the entire cost of the Plan. Some employees may have residual employee contribution balances from when the plan was contributory prior to March 18, 1980.
Vesting service	A participant earns vesting service on an elapsed time basis.
Credited service	Years and months with the employer.
Pensionable earnings	Basic hourly rate of earnings on January 1 multiplied by 2,080 hours.
Final average earnings	The participant's average annual compensation received during the five (5) highest consecutive years out of the ten (10) years prior to termination of employment which produce the highest average. If the participant has less than five (5) years of service, the average annual compensation during all years of employment.
Primary Social Security benefit	Not applicable
Covered Compensation	Not applicable
Accrued benefit	A participant's accrued benefit is the benefit determined in the same manner as the normal retirement benefit and based on the participant's years of credited service and

final average earnings at the date the accrued benefit is being calculated. Total retirement income will consist of the sum of the applicable regular pension benefit and basis pension addition benefits.

Normal retirement

Eligibility — Age 65 and five years of Vesting Service.

Benefit — Maximum of:

(a) $1,185 multiplied by Credited Service, and (for certain electing members)

(b) $282 multiplied by Credited Service plus $348 for each

$1,000 of Final Average Earnings in excess of $12,000. There is a 30-year cap on service if hired after January 1, 1987.

Early retirement

Eligibility — Age 55 and five years of Vesting Service.

Benefit — Accrued normal retirement benefit (based on Credited Service and compensation to the date of retirement) reduced according to the Early Retirement factor table provided, if commencement precedes age 62.

Age	Factor
62	1.00
61	0.97
60	0.93
59	0.89
58	0.85
57	0.81
56	0.77
55	0.73

Postponed retirement

Eligibility — Over age 65.

Benefit — The benefit is calculated in the same manner as the normal retirement benefit but with pay and service through the participant's actual retirement date.

SCHEDULE SB ATTACHMENTS

Deferred vested	
Eligibility	Five years of Vesting Service.
Benefit	Accrued normal retirement benefit (based on Credited Service and compensation to the date of termination) payable at age 65. Benefit is payable as early as age 55 but is reduced according to the Early Retirement factor table provided above, if commencement precedes age 62.
Pre-retirement death	
Eligibility	Five years of Vesting Service.
Benefit	100% of the reduced benefit the participant would have received had he separated from employment the date of his death, survived to age 65 and commenced benefits in a 100% joint and survivor form. Benefits may commence to the spouse as early as the date the participant would have attained age 55, but reduced for early retirement.
Form of benefits	
Automatic form for unmarried participants	Single life annuity.
Automatic form for married participants	100% joint and survivor annuity.
Optional forms	A married participant must have the consent of their spouse to elect any form other than (d) with the spouse as contingent annuitant. (a) Reduced benefit payable for life with 50% continuation paid to a contingent annuitant after the participant's death, may include a guaranteed payout option. (b) Reduced benefit payable for life with 75% continuation paid to a contingent annuitant after the participant's death, may include a guaranteed payout option. (c) Reduced benefit payable for life with 100% continuation paid to a contingent annuitant after the participant’s death, may include a guaranteed payout option. (d) Reduced benefit payable for life, with the provision that if death occurs before 120 monthly payments have

	been made, the remainder of the 120 monthly payments shall be paid to a contingent annuitant.
	(e) Social Security Level Income Option.
	(f) Lump sum payments for values under $10,000.
Optional form conversion factors	For annuities: based on 1983 GAM 70% male / 30% female and an interest rate of 7.00%.
	For lump sums: based on 417(e)(3) interest and mortality

Basic Pension Addition Benefits[1]

Normal retirement	
Eligibility	Age 65 and five years of Vesting Service.
Benefit	Annual benefit equal to:
	$44.99 per year of Credited Service up to 15 years, plus
	$191.22 per year of Credited Service over 15 years up to 25 years.
Early retirement	
Eligibility	Age 55 and five years of Vesting Service
Benefit	Accrued pension addition benefit (based on Credited Service at retirement) reduced 5% per year for each year commencement precedes age 65.
Pre-Medicare supplements	If the sum of the early retiree's age and Credited Service is 70 or more, a Pre-Medicare supplement equal to the Basic Pension Addition is payable, in addition to the Basic Pension Addition, until the earlier of the retiree's attainment of age 65 or death. If the early retiree and his spouse are both below age 65, an additional Pre-Medicare supplement equal to the Basic Pension Addition is payable until the earliest of (1) the retiree's age 65, (2) the retiree's death, (3) the spouse's age 65, or (4) the spouse's death.
Deferred vested	
Eligibility	Five years of Vesting Service.
Benefit	Accrued normal retirement pension addition benefit (based on Credited Service to the date of termination) payable at age 65. Benefit is payable as early as age 55

[1] This section describes all basic pension addition benefits. Total retirement income will consist of the sum of the applicable regular pension benefit and basis pension addition benefits.

	but is reduced 5% per year for each year commencement precedes age 65.
Eligibility	Five years of Vesting Service
Benefit	Accrued normal retirement pension addition benefit payable under the same terms as the preretirement death benefit for the regular pension. Reduced by 5% per year for each year commencement precedes age 65, when applicable.

Other Plan Provisions

Late retirement increases	Active participants: The plan provides benefit suspension notices to participants who work beyond normal retirement; therefore, late retirement actuarial increases only apply to participants who defer retirement beyond age 70½. This valuation does not include increases for current active participants over age 70½ as the effect on the valuation would be insignificant.
Maximum compensation	Compensation for any 12-month period used to determine accrued benefits may not exceed the limits in IRC Section 401(a)(17) for the calendar year in which the 12-month period begins. This limit is indexed annually. For 2017, the limit is $270,000.
Maximum benefits	Annual benefits may not exceed the limits in IRC Section 415. This limit is indexed annually. For 2018, the limit is $220,000.

SCHEDULE SB ATTACHMENTS

Certain Salaried Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
   a. Sub-Plan - December 31, 1940.

   b. Latest Amendment - October 31, 2005.
   Benefits frozen as of September 30, 2006.

2. Definitions:
   a. Eligible Employee - Employees on certain salaried payrolls are covered by the sub-plan.

   b. Earnings - Total salary or wages for the year (not in excess of $220,000 for 2006, indexed thereafter) including overtime, accrued bonuses, commissions and elective deferrals of bonus, but excluding expense reimbursement, foreign service premium, performance awards, relocation payments, tuition payments and similar items. Earnings after September 30, 2006 are not used in determining the benefit.

   c. Average Annual Earnings - Highest amount that can be determined by averaging the Earnings for any 5 consecutive calendar years within the 10 calendar years immediately preceding the date of determination.

   d. Social Security Earnings Limit - The lesser of Average Annual Earnings, or the average of the Social Security wage bases during the 35 calendar years ending with the calendar year before the year in which employee reaches Social Security retirement age, assuming no change in the wage base after the calendar year of determination.

   e. Offset Percentage -

Year of Birth	With Pre-1993 Service	No Pre-1993 Service
1937 & earlier	.455%	.480%
1938 thru 1954	.425%	.455%
1955 & later	.400%	.430%

SCHEDULE SB ATTACHMENTS

Certain Salaried Employees Sub-Plan

3. Retirement Dates:

a. Normal - First day of the month coincident with or next following the date employee reaches age 65.

b. Regular Early - First day of any month after employee reaches age 55, but before age 65.

c. 55/Rule of 85 - First day of any month after employee with pre-1993 service reaches age 55 (but before age 62) with 85 "points" (i.e., the sum of age and service equals 85 or more). An employee with no pre-1993 service is not eligible.

d. Late - First day of any month after employee reaches normal retirement date.

e. Disability - On total and permanent disability and entitlement to disability benefits under the LTD plan.

4. Retirement Benefits:

a. Normal - Annual benefit equal to the greatest of:

Career-Average ("Tack-On") Benefit: Benefit accrued December 31, 1993 plus, for each year of service thereafter through September 30, 2006, 1-1/2% of Earnings.

Alternate Benefit: For an employee with pre-1993 service, the annual benefit equals i. minus ii.:

i. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30,2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, benefit is determined as if the employee remained in covered employment until age 62 at the average annual earnings on the date of determination, and is multiplied by the ratio of actual service to service projected to age 62.

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)

a. Normal (Cont'd) -

ii. The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, the offset is determined as if employee remained in covered employment until the earlier of age 62 or 85 "points", and is multiplied by the ratio of actual service to service projected to earlier of age 62 or 85 "points".

For an employee with no pre-1993 service, the annual benefit equals 1-1/2% of Average Annual Earnings minus Offset Percentage of the Social Security Earnings Limit, per year of credited service earned prior to September 30, 2006 (maximum 35 years).

Minimum Benefit - 1-1/2% of Average Annual Earnings up to a maximum of $28,000 per year of credited service earned prior to September 30, 2006 (no maximum).

b. Regular Early - Benefit determined as for normal retirement, based on service and earnings to earlier of early retirement date and September 30, 2006; payable immediately with reduction of 1/2% for each month that benefit commencement precedes the following un-reduced retirement ages:

Benefit Attributable To	With Pre-1993 Service	No Pre-1993 Service
Pre-2003 Service	60	62
Post-2002 Service	62	62

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)

b. Regular Early (Cont'd) -

Supplemental Benefit: If employee is at least age 60 and has 15 years of service or an employee is at least age 55 and has 30 years of service, a supplement of $325 per month is payable until the earliest of age 62, eligibility for Social Security benefits, or death. If employee attained eligibility for supplemental benefit after September 30, 2006, the monthly supplement is multiplied by the ratio of service at September 30, 2006 to service at the date that eligibility for the supplemental benefit was attained.

c. 55/Rule of 85 -

PRE-2003 RETIREMENTS

Lifetime Benefit: Greater of:

i. Regular early retirement benefit.

ii. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

minus

The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years).

Benefit Prior to Age 62: Greater of:

iii. Regular early retirement benefit including any supplement.

iv. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years).

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
c. 55/Rule of 55 (Cont'd) -

POST-2002 RETIREMENTS

Lifetime Benefit: Greater of:

i. Regular early retirement benefit.

ii. Sum of (A) plus (B):

(A) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and attainment of 85 "points", with the net result multiplied by the ratio of credited service at 12/31/2002 to such projected service.

(B) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)

c. 55/Rule of 55 (Cont'd) -

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years),

minus

the amount determined in (A) above, with the net result reduced by 1/2% for each month that benefit commencement precedes age 62.

Benefit Prior to Age 62: Greater of:

iii. Regular early retirement benefit including any supplement.

iv. Sum of (A) plus (B):

(A) 2-2/3% of Average Annual Earnings per year of service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and the attainment of 85 points, the result multiplied by the ratio of credited service at 12/31/2002 to such projected service.

(B) Amount determined in ii.(B) above.

d. Late - Benefit determined as for normal retirement, based on service and earnings to earlier of actual retirement date and September 30, 2006.

SCHEDULE SB ATTACHMENTS

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)

e. Disability - Benefit determined as for normal retirement, based on service and earnings to earlier of date of disability and September 30, 2006; payable at normal retirement date. If employee recovers before normal retirement date and returns to work, period of disability is counted in determining vesting service and credited service.

5. Termination Benefits: On termination of employment after 5 years of service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and earnings to earlier of date of determination and September 30, 2006. The benefit is payable without reduction beginning at age 62, or with reduction beginning as early as age 55. If employee dies before benefits commence, the surviving spouse will receive a benefit (commencing when the employee would have attained age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died.

6. Death Benefits Before Retirement:

a. Lump Sum - On death of employee, a lump-sum death benefit equal to one year's base salary at earlier of date of death and September 30, 2006, or death benefit accrued as of December 31, 1971 if greater, is payable to the employee's designated beneficiary.

b. Survivor Benefit - In lieu of the lump-sum benefit in 6.a. above, the surviving spouse is entitled to the following annual benefit:

i. On death after age 55, the greater of 25% of the Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced 1-1/2% for each year that the spouse is more than 5 years younger than the employee) or the survivor benefit the spouse would have received if the employee had retired and elected the joint and survivor annuity in 7.c. below.

SCHEDULE SB ATTACHMENTS

Certain Salaried Employees Sub-Plan

6. Death Benefits Before Retirement: (Cont'd)

b. Survivor Benefit - (Cont'd)

ii On death after 10 years of service and prior to age 55, 25% of the Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced by 1-1/2% for each year that the spouse is more than 5 years younger than the employee).

iii. On death after 5 years but less than 10 years of service and prior to age 55, the survivor benefit the spouse would have received if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died, with payments commencing when employee would have attained age 55.

7. Death Benefits After Retirement:

a. Lump Sum - None.

b. Normal Form for Single Participants - Single life annuity.

c. Normal Form for Married Participants - Joint and survivor annuity providing an income of 95% of the benefit otherwise payable during the employee's lifetime and, after his death, 60% of the reduced benefit during the remaining lifetime of the surviving spouse.

d. Optional Forms - Surviving spouse option for 90%/75% or 85%/100%; joint annuitant option for 50%, 75% or 100% with approximate actuarial reduction.

8. Employee Contributions: None.

SCHEDULE SB ATTACHMENTS

Collins Radio Production Employees Sub-Plan

Outline of Benefit Provisions

1.	Effective Date:		
	a.	Sub-Plan -	January 1, 1947.
	b.	Latest Amendment -	May 1, 2008.
2.	Eligibility:		Bargaining unit employees of Collins Radio.
3.	Retirement Dates:		
	a.	Normal -	First day of the month following the employee's 65th birthday.
	b.	Early -	First day of any month after the employee reaches age 55 and completes 10 years of vesting service, but before age 65.
	c.	Late -	First day of any month after normal retirement date.
	d.	Disability -	On total and permanent disability at any age after 10 years of vesting service.
4.	Retirement Benefits:		
	a.	Normal -	Monthly benefit equals the post-4/30/92 benefit unit times post-4/30/92 service (maximum 30 years), plus the pre-5/1/92 benefit unit times total service (maximum 30 years) in excess of post-4/30/92 service, plus a lifetime supplement of $50. 12/31/92 is used instead of 4/30/92 for any employee eligible to retire before 1/1/93.

SCHEDULE SB ATTACHMENTS

Collins Radio Production Employees Sub-Plan

4. Retirement Benefits: (Cont'd)

a. Normal (Cont'd) -

Post-4/30/92 benefit unit:

Labor Grade	Cedar Rapids IBEW 1362	Cedar Rapids IBT 238	Cedar Rapids IBEW 1429	Dallas IUE 787
1	$44.00			$44.00
2	48.00			45.75
3	49.50			47.25
4	51.75			49.75
5	54.75		$52.00	52.75
6	57.75	$48.00		56.50
7	60.75	49.50	47.00	60.00
8	64.00	51.75		63.75
9	66.50	53.25		67.25
10	69.00	54.75		69.00
11		57.75		
12		60.75		

Pre-5/1/92 benefit unit: 102% of the greater of $18 or 1/12 the sum of $44.20 plus 1.9% of the highest average W-2 pay for 3 consecutive calendar years out of the 10 calendar years preceding the earlier of the date of termination or 5/1/92. Pre-5/1/92 benefit unit further increased by 6% for retirements between 5/1/2003 and 3/31/2010 and 3% for retirements on 4/1/2010 and thereafter.

b. Early - Benefit determined as for normal retirement, based on credited service and labor grade at early retirement date, payable immediately with subsidized reduction from age 62. Lifetime supplement payable without reduction.

c. Late - Greater of:
i) Benefit determined as for normal retirement, based on credited service and labor grade at actual retirement date and
ii) Benefit determined based on credited service and labor grade at normal retirement date, actuarially increased to account for payment at actual retirement date

d. Disability - Benefit determined as for normal retirement, based on credited service and labor grade at date of disability, payable immediately with subsidized reduction from age 62. Lifetime supplement payable without reduction.

SCHEDULE SB ATTACHMENTS

Collins Radio Production Employees Sub-Plan

5.	Termination Benefits:	On termination of employment after 5 years of vesting service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and labor grade at date of termination. The deferred vested benefit is payable without reduction beginning at age 65 or with subsidized reduction from age 62 at any time after age 55 if the employee had at least 10 years of vesting service at termination. Lifetime supplement payable without reduction.
6.	Death Benefits Before Retirement:	Upon death after 1 year of marriage and 5 years of vesting service, the surviving spouse will receive 50% of the benefit which would have been payable to the employee if the employee had retired on the first day of the month in which the employee died and had taken the joint and survivor annuity. If death occurs prior to the employee's earliest retirement date, the benefit will commence when the employee would have been eligible to retire and will be determined as if the employee had survived to that date and then died.
7.	Death Benefits After Retirement:	
	a. Normal Form for Single Participants -	Single life annuity.
	b. Normal Form for Married Participants -	A married employee who does not elect a straight life annuity will automatically receive a benefit payable during the employee's lifetime consisting of the lifetime supplement plus 88% of the balance of the otherwise determined retirement benefit. On the employee's death, 50% of the reduced income will continue to the surviving spouse for the spouse's remaining lifetime. The employee's percentage is reduced 1/2% for each year that the employee's age exceeds the spouse's age, or increased 1/2% for each year that the spouse's age exceeds the employee's age (maximum increase is 10%).
	c. Optional Forms -	Actuarially equivalent joint and survivor annuity for 50%, 75% or 100% or Social Security leveling option.
8.	Employee Contributions:	None.

SCHEDULE SB ATTACHMENTS

Coralville Hourly Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:	
a. Sub-Plan -	April 1, 1986.
b. Latest Amendment -	May 1, 2008.
2. Eligibility:	Hourly payroll employees of the Coralville, Iowa Plant are covered by the sub-plan.
3. Retirement Dates:	
a. Normal -	First day of the month following the employee's 65th birthday.
b. Early -	First day of any month after the employee reaches age 55 and completes 5 years of vesting service, but before normal retirement age.
c. Late -	First day of any month after employee reaches normal retirement date.
d. Disability -	None.
4. Retirement Benefits:	
a. Normal -	Monthly benefit equals $32.00 per year of credited service (maximum 30 years).
b. Early -	Monthly benefit determined as for normal retirement, based on service credited to early retirement date, payable immediately with reduction of 1/2% for each month by which actual retirement precedes normal retirement date.
c. Late -	Benefit determined as for normal retirement, based on employee's credited service to actual retirement date.
d. Disability -	Benefit determined as for normal retirement, based on credited service and labor grade at date of disability, payable immediately with subsidized reduction from age 62. Lifetime supplement payable without reduction.

SCHEDULE SB ATTACHMENTS

Coralville Hourly Employees Sub-Plan

5. Termination Benefits:	On termination of employment after 5 years of vesting service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on his service credited to his date of termination. The deferred vested benefit is payable without reduction at or after age 65, or beginning at any time after age 55 with reduction of 1/2% for each month by which benefit commencement precedes age 65. If the employee dies after one year of marriage and before benefits commence, his surviving spouse will receive a benefit (commencing at age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with an actuarially reduced joint and 50% survivor annuity, and then died.
6. Death Benefits Before Retirement:	Upon death after one year of marriage and 5 years of vesting service, the surviving spouse will receive 50% of the benefit which would have been payable to the employee if he had retired on the first day of the month in which he died and had taken the joint and survivor annuity. If death occurs prior to age 55 and after 5 years of vesting service, the benefit will commence at age 55 and will be determined as if the employee had survived to age 55 and then died. If death occurs prior to age 65 with less than 5 years of vesting service, the benefit will commence at age 65 and will be determined as if the employee had survived to age 65 and then died.
7. Death Benefits After Retirement:	
a. Normal Form for Single Participants -	Single life annuity.
b. Normal Form for Married Participants -	Reduced joint and survivor annuity providing 50% of the employee's reduced benefit to the spouse after his death. Reduction is 10%, plus 1/24% for each month employee's age exceeds spouse's age by more than 60 months, or minus 1/24% for each month spouse's age exceeds employee's age by more than 60 months. Not effective during first year of marriage.
8. Employee Contributions:	None.

SCHEDULE SB ATTACHMENTS

Electronics Salaried Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
   a. Sub-Plan - January 1, 1947.

   b. Latest Amendment - October 31, 2005. Benefits frozen as of September 30, 2006.

2. Definitions:
   a. Eligible Employee - Salaried employees at certain locations of Electronics Operations are covered by the sub-plan.

   b. Earnings - Total salary or wages for the year (not in excess of $220,000 for 2006, indexed thereafter) including overtime, accrued bonuses, commissions and elective deferrals of bonus, but excluding expense reimbursement, foreign service premium, performance awards, relocation payments, tuition payments and similar items. Earnings after September 30, 2006 are not used in determining the benefit.

   c. Average Annual Earnings - Highest amount that can be determined by averaging the Earnings for any 5 consecutive calendar years within the 10 calendar years immediately preceding the date of determination.

   d. Social Security Earnings Limit - The lesser of Average Annual Earnings, or the average of the Social Security wage bases during the 35 calendar years ending with the calendar year before the year in which employee reaches Social Security retirement age, assuming no change in the wage base after the calendar year of determination.

   e. Offset Percentage -

Year of Birth	With Pre-1993 Service	No Pre-1993 Service
1937 & earlier	.455%	.480%
1938 thru 1954	.425%	.455%
1955 & later	.400%	.430%

SCHEDULE SB ATTACHMENTS

Electronics Salaried Employees Sub-Plan

3. Retirement Dates:

a. Normal - First day of the month coincident with or next following the date employee reaches age 65.

b. Regular Early - First day of any month after employee reaches age 55, but before age 65.

c. 55/Rule of 85 - First day of any month after employee with pre-1993 service reaches age 55 (but before age 62) with 85 "points" (i.e., the sum of age and service equals 85 or more). An employee with no pre-1993 service is not eligible.

d. Late - First day of any month after employee reaches normal retirement date.

e. Disability - On total and permanent disability and entitlement to disability benefits under the LTD plan.

4. Retirement Benefits:

a. Normal - Annual benefit equal to the greatest of:

Career-Average ("Tack-On") Benefit: Benefit accrued December 31, 1993 plus, for each year of service thereafter through September 30, 2006, 1-1/2% of Earnings.

Alternate Benefit: For an employee with pre-1993 service, the annual benefit equals i. minus ii.:

i. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, benefit is determined as if the employee remained in covered employment until age 62 at the average annual earnings on the date of determination, and is multiplied by the ratio of actual service to service projected to age 62.

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)

a. Normal (Cont'd) - ii The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, the offset is determined as if employee remained in covered employment until the earlier of age 62 or 85 "points", and is multiplied by the ratio of actual service to service projected to earlier of age 62 or 85 "points".

For an employee with no pre-1993 service, the annual benefit equals 1-1/2% of Average Annual Earnings minus Offset Percentage of the Social Security Earnings Limit, per year of credited service earned prior to September 30, 2006 (maximum 35 years).

Minimum Benefit - 1-1/2% of Average Annual Earnings up to a maximum of $28,000 per year of credited service earned prior to September 30, 2006 (no maximum).

b. Regular Early - Benefit determined as for normal retirement, based on service and earnings to earlier of early retirement date and September 30, 2006; payable immediately with reduction of 1/2% for each month that benefit commencement precedes the following unreduced retirement ages:

Benefit Attributable To	With Pre-1993 Service	No Pre-1993 Service
Pre-2003 Service	60	62
Post-2002 Service	62	62

Supplemental Benefit: If employee is at least age 60 and has 15 years of service or an employee is at least age 55 and has 30 years of service, a supplement of $325 per month is payable until the earliest of age 62, eligibility for Social Security benefits, or death. If employee attained eligibility for supplemental benefit after September 30, 2006, the monthly supplement is multiplied by the ratio of service at September 30, 2006 to service at the date that eligibility for the supplemental benefit was attained.

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
   c. 55/Rule of 85 -

PRE-2003 RETIREMENTS

Lifetime Benefit: Greater of:

i. Regular early retirement benefit.

ii. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

minus

The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years).

Benefit Prior to Age 62: Greater of:

iii. Regular early retirement benefit including any supplement.

iv. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years).

POST-2002 RETIREMENTS

Lifetime Benefit: Greater of:

i. Regular early retirement benefit.

ii. Sum of (A) plus (B):

(A) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
   c. 55/Rule of 85 -

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and attainment of 85 "points", with the net result multiplied by the ratio of credited service at 12/31/2002 to such projected service.

(B) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years),

minus

the amount determined in (A) above, with the net result reduced by 1/2% for each month that benefit commencement precedes age 62.

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)

c. 55/Rule of 85 (Cont'd) - Benefit Prior to Age 62: Greater of:

iii. Regular early retirement benefit including any supplement.

iv. Sum of (A) plus (B):

(A) 2-2/3% of Average Annual Earnings per year of service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and the attainment of 85 points, the result multiplied by the ratio of credited service at 12/31/2002 to such projected service.

(B) Amount determined in ii.(B) above.

d. Late - Benefit determined as for normal retirement, based on service and earnings to earlier of actual retirement date and September 30, 2006.

e. Disability - Benefit determined as for normal retirement, based on service and earnings to earlier of date of disability and September 30, 2006; payable at normal retirement date. If employee recovers before normal retirement date and returns to work, period of disability is counted in determining vesting service and credited service.

5. Termination Benefits: On termination of employment after 5 years of service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and earnings to earlier of date of termination and September 30, 2006. The benefit is payable without reduction beginning at age 62, or with reduction beginning as early as age 55. If employee dies before benefits commence, the surviving spouse will receive a benefit (commencing when the employee would have attained age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died.

SCHEDULE SB ATTACHMENTS

Electronics Salaried Employees Sub-Plan

6. Death Benefits Before Retirement:

a. Lump Sum - On death of employee, a lump-sum death benefit equal to one year's base salary at earlier of date of death and September 30, 2006 is payable to the employee's designated beneficiary.

b. Survivor Benefit - In lieu of the lump-sum benefit in 6.a. above, the surviving spouse is entitled to the following annual benefit:

i. On death after age 55, 25% of Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced 1-1/2% for each year that the spouse is more than 5 years younger than the employee).

ii. On death after 5 years but less than 10 years of service and prior to age 55, the survivor benefit the spouse would have received if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died, with payments commencing when employee would have attained age 55.

7. Death Benefits After Retirement:

a. Lump Sum - None.

b. Normal Form for Single Participants - Single life annuity.

c. Normal Form for Married Participants - Joint and survivor annuity providing an income of 95% of the benefit otherwise payable during the employee's lifetime and, after his death, 60% of the reduced benefit during the remaining lifetime of the surviving spouse.

d. Optional Forms - Surviving spouse option for 90%/75% or 85%/100%; joint annuitant option for 50%, 75% or 100% with approximate actuarial reduction.

8. Employee Contributions: None.

SCHEDULE SB ATTACHMENTS

Kaiser Aerospace Retirement Plan

Outline of Benefit Provisions

1. Effective Date:
   a. Plan - January 1, 1976.

   b. Latest Amendment - October 31, 2004. Benefits frozen as of September 30, 2006.

2. Definitions:
   a. Eligible Employee - Salaried employees of the Kaiser Aerospace operations acquired by Rockwell Collins are covered by the plan.

   b. Earnings - Total salary or wages for the year (not in excess of $220,000 for 2006, indexed thereafter) including overtime, accrued bonuses, commissions and elective deferrals of bonus, but excluding expense reimbursement, foreign service premium, performance awards, relocation payments, tuition payments and similar items. Earnings after September 30, 2006 are not used in determining the benefit.

   c. Average Annual Earnings - Highest amount that can be determined by averaging the Earnings for any 5 consecutive calendar years within the 10 calendar years immediately preceding the date of determination.

   d. Social Security Earnings Limit - The lesser of Average Annual Earnings, or the average of the Social Security wage bases during the 35 calendar years ending with the calendar year before the year in which employee reaches Social Security retirement age, assuming no change in the wage base after the calendar year of determination.

   e. Offset Percentage -

Year of Birth	
1937 & earlier	.480%
1938 thru 1954	.455%
1955 & later	.430%

3. Retirement Dates:
   a. Normal - First day of the month coincident with or next following the date employee reaches age 65.

SCHEDULE SB ATTACHMENTS

Kaiser Aerospace Retirement Plan

3. Retirement Dates: (Cont'd)

b. Early -	First day of any month after employee reaches age 55, but before age 65.
c. Late -	First day of any month after employee reaches normal retirement date.
d. Disability -	None.

4. Retirement Benefits:

a. Normal -	Annual benefit equal to the greatest of: Career-Average ("Tack-On") Benefit: Benefit accrued under the prior formula as of 12/31/2000 plus, for each year of service thereafter through September 30, 2006, 1-1/2% of Earnings. 1-1/2% of Average Annual Earnings minus Offset Percentage of the Social Security Earnings Limit, per year of credited service earned prior to September 30, 2006 (maximum 35 years). Minimum Benefit - 1-1/2% of Average Annual Earnings up to a maximum of $28,000 per year of credited service, earned prior to September 30, 2006 (no maximum).
b. Early -	Benefit determined as for normal retirement, based on service and earnings to earlier of early retirement date and September 30, 2006; payable immediately with reduction of 1/2% for each month that benefit commencement precedes age 62. Supplemental Benefit: If employee is at least age 60 and has 15 years of service or an employee is at least age 55 and has 30 years of service, a supplement of $325 per month is payable until the earliest of age 62, eligibility for Social Security benefits, or death. If employee attained eligibility for supplemental benefit after September 30, 2006, the monthly supplement is multiplied by the ratio of service at September 30, 2006 to service at the date that eligibility for the supplemental benefit was attained.
c. Late -	Benefit determined as for normal retirement, based on service and earnings to earlier of actual retirement date and September 30, 2006.
d. Disability -	None.

SCHEDULE SB ATTACHMENTS

Kaiser Aerospace Retirement Plan

5. Termination Benefits:

On termination of employment after 5 years of service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and earnings to earlier of date of termination and September 30, 2006. The benefit is payable without reduction beginning at age 62, or with reduction beginning as early as age 55. If employee dies before benefits commence, the surviving spouse will receive a benefit (commencing when the employee would have attained age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died.

6. Death Benefits Before Retirement:

a. Lump Sum -

On death of employee, a lump-sum death benefit equal to one year's base salary at earlier of date of death and September 30, 2006 is payable to the employee's designated beneficiary.

b. Survivor Benefit -

In lieu of the lump-sum benefit in 6.a. above, the surviving spouse is entitled to the following annual benefit:

i. On death after age 55, 25% of Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced 1-1/2% for each year that the spouse is more than 5 years younger than the employee).

ii. On death after 5 years but less than 10 years of service and prior to age 55, the survivor benefit the spouse would have received if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died, with payments commencing when employee would have attained age 55.

7. Death Benefits After Retirement:

a. Lump Sum -

None.

b. Normal Form for Single Participants -

Single life annuity.

c. Normal Form for Married Participants -

Joint and survivor annuity providing an income of 95% of the benefit otherwise payable during the employee's lifetime and, after his death, 60% of the reduced benefit during the remaining lifetime of the surviving spouse.

SCHEDULE SB ATTACHMENTS

	d. Optional Forms -	Surviving spouse option for 90%/75% or 85%/100%; joint annuitant option for 50%, 75% or 100% with approximate actuarial reduction.
8.	Employee Contributions:	None.

SCHEDULE SB ATTACHMENTS

Off-Site Electronics Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
   a. Plan Merger - December 31, 1993.

   b. Latest Amendment - October 31, 2005. Benefits frozen as of September 30, 2006.

2. Eligibility: Employees entitled to benefits under the following former retirement plans:

   Bellevue, Iowa Hourly Payroll
   Decorah, Iowa Hourly Payroll
   Manchester, Iowa Hourly Payroll
   Mason City, Iowa Hourly Payroll
   Sumner, Iowa Hourly Payroll

3. Retirement Dates:
   a. Normal - First day of the month following the employee's 65th birthday.

   b. Early - First day of any month after the employee reaches age 55 and completes 10 years of vesting service, but before normal retirement age.

   c. Late - First day of any month following normal retirement date.

   d. Disability - On total and permanent disability after 10 years of vesting service.

SCHEDULE SB ATTACHMENTS

Off-Site Electronics Sub-Plan

4. Retirement Benefits:

a. Normal - Monthly benefit equals the following benefit unit for each year of credited service earned prior to September 30, 2006 (maximum 30 years):

Labor Grade	Bellevue Decorah Manchester	Mason City Sumner
A	$18.00	$12.00
B	19.00	13.00
C	20.00	14.00

b. Early - Monthly benefit determined as for normal retirement, based on service credited to earlier of early retirement date and September 30, 2006, payable immediately with reduction of 1/2% for each month by which actual retirement precedes normal retirement date.

c. Late - Benefit determined as for normal retirement, based on employee's credited service to earlier of his actual retirement date and September 30, 2006.

d. Disability - Monthly benefit determined as for normal retirement, based on service credited to earlier of date of disability and September 30, 2006, payable without reduction after 6 months of disability.

5. Termination Benefits: On termination of employment after 5 years of vesting service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on his service credited to earlier of his date of termination and September 30, 2006. The deferred vested benefit is payable without reduction at or after age 65, or beginning at any time after age 55 with reduction of 1/2% for each month by which benefit commencement precedes age 65. If the employee dies after one year of marriage and before benefits commence, his surviving spouse will receive a benefit (commencing at age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with an actuarially reduced joint and 50% survivor annuity, and then died.

SCHEDULE SB ATTACHMENTS

Off-Site Electronics Sub-Plan

6. Death Benefits Before Retirement:	Upon death after one year of marriage and 5 years of vesting service, the surviving spouse will receive 50% of the benefit which would have been payable to the employee if he had retired on the first day of the month in which he died and had taken the joint and survivor annuity. If death occurs prior to age 55 and after 10 years of vesting service, the benefit will commence at age 55 and will be determined as if the employee had survived to age 55 and then died. If death occurs prior to age 65 with less than 10 years of vesting service, the benefit will commence at age 65 and will be determined as if the employee had survived to age 65 and then died.
7. Death Benefits After Retirement:	
a. Lump Sum -	$1,000 payable to the beneficiary of an early retiree and, if the retiree is not covered by group insurance, to the beneficiary of a disabled, late or normal retiree. The beneficiary of a terminated vested retiree will not receive the lump sum. This benefit will be eliminated for active employees as of October 1, 2006 and retirees as of January 1, 2007.
b. Normal Form for Single Participants -	Single life annuity.
c. Normal Form for Married Participants -	Reduced joint and survivor annuity providing 50% of the employee's reduced benefit to the spouse after his death. Reduction is 10%, plus 1/24% for each month employee's age exceeds spouse's age by more than 60 months, or minus 1/24% for each month spouse's age exceeds employee's age by more than 60 months. Not effective during first year of marriage.
8. Employee Contributions:	None.

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
ANZ NEW ZEALAND DISC	03/08/2019	$ (987,546)	$ 987,970
AT&T INC	144A 5.150% 02/15/2050 DD 02/14/18	(1,656,331)	1,677,214
AIRBUS SE	144A 3.150% 04/10/2027 DD 04/10/17	(218,249)	217,923
ALABAMA POWER CO	3.700% 12/01/2047 DD 11/08/17	(257,666)	245,261
ALBERTSON'S	5/17 B5 TL	(1,411,514)	1,396,296
AMAZON.COM INC	4.950% 12/05/2044 DD 12/05/14	(596,648)	593,171
AMAZON.COM INC	3.150% 08/22/2027 DD 02/22/18	(953,845)	935,758
AMAZON.COM INC	144A 3.875% 08/22/2037 DD 08/22/17	(250,764)	248,597
AMERICAN BATH	5/18 COV-LITE TL	(1,119,657)	1,122,456
AMERICAN INTERNATIONAL GROUP I	VAR RT 04/01/2048 DD 03/26/18	(480,000)	456,480
AMGEN INC	4.950% 10/01/2041 DD 09/16/10	(293,017)	293,149
ANDEAVOR	3.800% 04/01/2028 DD 12/21/17	(210,505)	209,447
ANGLO AMERICAN CAPITAL PL	144A 4.500% 03/15/2028 DD 03/15/18	(1,513,099)	1,452,106
ANHEUSER-BUSCH COS LLC	144A 4.700% 02/01/2036 DD 08/01/18	(3,371,722)	3,282,558
ARGENTINE REPUBLIC GOVERNMENT	5.875% 01/11/2028 DD 01/11/18	(1,428,245)	1,343,213
ARISTOCRAT LEISURE	5/18 TLB 0.000% 10/19/2024 DD 05/02/18	(390,318)	389,831
ASHTEAD CAPITAL INC	144A 4.375% 08/15/2027 DD 08/09/17	(756,779)	731,777
7/17 2ND LIEN COV-LITE TLB2	ASURION	(703,238)	708,525
2/18 COV-LITE TLB 0.000% 11/03/2023 DD 02/20/18	ASURION	(239,446)	237,949
ATLANTIC ASSET DISC	09/14/2018	(1,190,447)	1,194,097
ATLANTIC ASSET DISC	03/22/2019	(878,559)	880,032
AUSTRALIA & NZ BK DISC	12/17/2018	(987,984)	994,670
AVALONBAY COMMUNITIES INC	4.350% 04/15/2048 DD 03/26/18	(706,560)	739,881
BAT CAPITAL CORP	144A 3.557% 08/15/2027 DD 08/15/17	(786,332)	794,171
BAT CAPITAL CORP	144A 4.390% 08/15/2037 DD 08/15/17	(615,487)	621,504
BPCE DISC	01/15/2019	(987,513)	992,461
BNP PARIBAS SA	144A 4.375% 09/28/2025 DD 09/28/15	(937,003)	903,272
BANCO DO BRASIL SA/CAYMAN	144A 4.625% 01/15/2025 DD 10/23/17	(1,416,600)	1,355,040
BANK OF AMERICA CORP	VAR RT 01/23/2049 DD 01/23/18	(1,253,718)	1,231,544
BANK OF AMERICA CORP	VAR RT 02/05/2026 DD 02/05/18	(3,600,000)	3,542,040
BANK OF AMERICA CORP	VAR RT 03/05/2024 DD 03/05/18	(2,378,208)	2,372,592
BANK TOKYO-MITSUB DISC	08/01/2018	(1,851,649)	1,853,638
BARTON CAP CORP DISC	06/21/2018	(1,317,282)	1,317,805
BAYER US FINANCE II LLC	144A 4.250% 12/15/2025 DD 06/25/18	(369,301)	374,355
BAYER US FINANCE II LLC	144A 4.375% 12/15/2028 DD 06/25/18	(948,038)	966,470
BAYER US FINANCE II LLC	144A 4.625% 06/25/2038 DD 06/25/18	(703,817)	721,921
BEDFORD ROW FDG DISC	07/25/2018	(1,124,161)	1,124,248
BEDFORD ROW FDG DISC	02/20/2019	(1,507,688)	1,511,766
5/18 COV-LITE TLT	BERRY PLASTICS	(500,000)	499,375
BNP PARIBAS DISC	08/15/2018	(1,138,140)	1,136,852
BNP PARIBAS DISC	02/20/2019	(1,185,600)	1,185,007
BOEING CO/THE	3.625% 03/01/2048 DD 02/23/18	(488,076)	492,183
BRUIN E&P PARTNERS LLC	144A 8.875% 08/01/2023 DD 07/26/18	(636,325)	610,138
CME GROUP INC	4.150% 06/15/2048 DD 06/21/18	(413,095)	412,253
CME GROUP INC	3.750% 06/15/2028 DD 06/21/18	(394,937)	395,557
CPG INTERNATIONAL	5/17 0.000% 05/03/2024 DD 04/27/17	(1,401,773)	1,393,172
CSX CORP	4.500% 08/01/2054 DD 07/21/14	(97,704)	93,664
CSX CORP	4.300% 03/01/2048 DD 02/20/18	(636,242)	622,998
CSX CORP	4.650% 03/01/2068 DD 02/20/18	(144,425)	137,982
CALFRAC HOLDINGS LP	144A 8.500% 06/15/2026 DD 05/30/18	(1,300,000)	1,184,512
CALIFORNIA RESOURCES CORP	144A 8.000% 12/15/2022 DD 12/15/15	(775,550)	749,275
CELGENE CORP	4.625% 05/15/2044 DD 05/15/14	(183,627)	176,930
CELGENE CORP	4.550% 02/20/2048 DD 02/20/18	(320,441)	307,024
CHESAPEAKE ENERGY CORP	144A 8.000% 01/15/2025 DD 12/20/16	(489,862)	478,313
CINTAS CORP NO 2	3.700% 04/01/2027 DD 03/14/17	(946,408)	953,720
CITIGROUP INC	VAR RT 04/23/2029 DD 04/23/18	(553,157)	550,810

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
IHEARTCOMMUNICATIONS INC	9.000% 12/15/2019 DD 06/15/13	(84,975)	78,925
COCA COLA CO DISC	02/15/2019	(1,284,074)	1,287,614
COLLATERALIZED CP DISC	07/18/2018	(663,566)	664,190
COLUMBUS MCKINNON	2/18 TLB	(391,809)	395,255
COMCAST CORP	3.400% 07/15/2046 DD 07/19/16	(284,207)	280,602
COMCAST CORP	4.000% 03/01/2048 DD 02/08/18	(650,511)	622,723
COMCAST CORP	4.150% 10/15/2028 DD 10/05/18	(199,900)	200,046
COMWLTH BK AUST DISC	01/25/2019	(943,799)	943,939
COTY	3/18 (USD) TLB 0.000% 03/28/2025 DD 03/19/18	(2,682,922)	2,615,361
CREDIT SUISSE GROUPAG	144A VAR RT 12/31/2049 DD 09/12/18	(982,575)	939,263
CREDIT SUISSE FST DISC	08/20/2018	(1,157,247)	1,159,080
DBS BANK LTD DISC	07/13/2018	(1,612,758)	1,613,457
DBS BANK LTD DISC	09/13/2018	(1,193,039)	1,199,475
DBS BANK LTD DISC	01/17/2019	(1,442,162)	1,448,836
DBS BANK LTD DISC	03/13/2019	(888,750)	890,820
DNB NOR BANK DISC	09/17/2018	(1,146,528)	1,145,925
DNB NOR BANK DISC	03/01/2019	(1,086,377)	1,087,907
DNB NOR BANK DISC	03/15/2019	(981,625)	987,633
DTZ/CUSHMAN	8/18 COV-LITE TLB	(485,000)	486,828
DANSKE CORP DISC	08/13/2018	(639,973)	639,863
DOLLAR GENERAL CORP	4.125% 05/01/2028 DD 04/10/18	(599,394)	588,329
ELECTRICITE DE FRANCE SA	144A 5.000% 09/21/2048 DD 09/21/18	(936,552)	901,233
ELECTRICITE DE FRANCE SA	144A 4.500% 09/21/2028 DD 09/21/18	(953,304)	951,480
EP ENERGY LLC / EVEREST A	144A 9.375% 05/01/2024 DD 01/03/18	(264,570)	215,429
ENBRIDGE INC	VAR RT 03/01/2078 DD 03/01/18	(350,000)	344,750
ENERGEN CORP		(566,318)	651,567
EXPERA SPECIALTY	10/16 TLB 0.000% 10/31/2023 DD 10/19/16	(775,582)	787,333
FAIRWAY FIN CORP DISC	06/11/2018	(1,193,591)	1,193,840
FAIRWAY FIN CORP DISC	09/20/2018	(1,192,509)	1,198,500
FEDERATION DES DISC	04/20/2018	(1,497,818)	1,498,160
FEDERATION DES DISC	08/15/2018	(1,591,140)	1,595,446
FEDERATION DES DISC	10/17/2018	(1,491,413)	1,496,747
FEDERATION DES DISC	01/25/2019	(938,987)	939,086
FIRST QUANTUM MINERALS LT	144A 7.250% 05/15/2022 DD 05/13/14	(641,850)	640,332
FIRST QUANTUM MINERALS LT	144A 7.250% 04/01/2023 DD 03/22/17	(878,181)	792,759
FIRST QUANTUM MINERALS LT	144A 6.500% 03/01/2024 DD 02/27/18	(531,006)	474,986
GFL ENVIRONMENTAL INC	144A 5.375% 03/01/2023 DD 02/26/18	(945,000)	868,038
GTT	5/18 (USD) COV-LITE TL	(1,704,144)	1,674,052
GLOBALLOGIC	7/18 TLB 0.000% 07/25/2025 DD 07/18/18	(332,265)	337,274
GLOBALLOGIC	7/18 DELAYED TL 0.000% 07/25/2025 DD 07/18/18	(47,466)	48,182
GOLDMAN SACHS GROUP INC/THE	VAR RT 09/29/2025 DD 09/29/17	(2,110,570)	2,098,800
GOLDMAN SACHS GROUP INC/THE	VAR RT 05/01/2029 DD 04/23/18	(388,820)	389,512
GOTHAM FDG CORP DISC	06/13/2018	(1,441,916)	1,442,522
GRAFTECH	2/18 COV-LITE TLB 0.000% 01/31/2025 DD 01/23/18	(941,643)	926,398
HSBC HOLDINGS PLC	VAR RT 12/31/2049 DD 03/23/18	(1,260,000)	1,260,000
HALFMOON PARENT INC	144A 4.900% 12/15/2048 DD 09/17/18	(533,796)	529,966
HARSCO	11/17 TLB 0.000% 12/06/2024 DD 11/29/17	(198,004)	198,499
KRAFT HEINZ FOODS CO	144A 7.125% 08/01/2039 DD 07/29/09	(733,995)	709,729
HOUSTON TX	3.961% 03/01/2047 DD 12/22/17	(1,512,398)	1,518,713
HUB INTERNATIONAL	4/18 (USD) 0.000% 04/18/2025 DD 04/16/18	(613,285)	595,609
HUB INTERNATIONAL LTD	144A 7.000% 05/01/2026 DD 04/25/18	(830,000)	800,077
INFRAESTRUCTURA ENERGETIC	144A 4.875% 01/14/2048 DD 12/14/17	(1,079,179)	1,053,788
ITAU UNIBANCO HOLDING SA	144A VAR RT 12/31/2049 DD 03/19/18	(1,890,000)	1,797,863
JBS USA	1/18 TL 0.000% 10/30/2022 DD 01/18/18	(941,643)	927,500
JPMORGAN CHASE & CO	VAR RT 03/01/2025 DD 06/01/17	(1,943,040)	1,931,540
JPMORGAN CHASE & CO	VAR RT 07/23/2029 DD 07/23/18	(3,689,061)	3,663,765

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

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KANSAS CITY SOUTHERN	4.700% 05/01/2048 DD 05/03/18	(106,679)	110,011
KELLOGG CO	4.300% 05/15/2028 DD 05/14/18	(249,115)	246,725
BOWLMOR AMF	6/18 TLB	(247,583)	248,513
KRATON POLYMERS	3/18 (USD) TLB	(155,806)	156,193
LBM	2/18 TL	(610,200)	580,415
LIFE TIME FITNESS	1/17 TL	(698,900)	679,680
LEVEL 3	2/17 TLB	(1,428,563)	1,416,512
LIBERTY ST FDG DISC	07/16/2018	(512,006)	511,974
LLOYDS BK PLC DISC	08/13/2018	(1,138,040)	1,138,996
LMA SA & LMAAMER DISC	09/21/2018	(1,203,916)	1,205,540
LMA SA & LMAAMER DISC	12/12/2018	(1,234,500)	1,241,524
LMA SA & LMAAMER DISC	03/18/2019	(888,489)	890,025
LONESTAR RESOURCES AMERIC	144A 11.250% 01/01/2023 DD 01/04/18	(760,988)	768,950
MEG ENERGY CORP	144A 6.375% 01/30/2023 DD 07/19/12	(1,883,425)	1,867,450
MEG ENERGY CORP	144A 7.000% 03/31/2024 DD 10/01/13	(253,050)	256,250
MACQUARIE BK LTD DISC	05/21/2018	(1,094,445)	1,094,488
MANHATTAN AST FDG DISC	06/14/2018	(1,323,010)	1,323,084
MANHATTAN AST FDG DISC	01/22/2019	(991,766)	991,944
MAPLE ESCROW SUBSIDIARY I	144A 5.085% 05/25/2048 DD 05/25/18	(1,115,000)	1,099,775
MARVELL TECHNOLOGY GROUP LTD	4.875% 06/22/2028 DD 06/22/18	(949,772)	938,154
MCDERMOTT	0.000% 04/04/2025 DD 03/06/18	(1,863,980)	1,831,873
MCDONALD'S CORP	3.800% 04/01/2028 DD 03/16/18	(958,954)	969,168
MEG ENERGY	1/17 COV-LITE TLB 0.000% 12/31/2023 DD 01/17/17	(178,307)	178,752
METHANEX CORP	5.650% 12/01/2044 DD 11/18/14	(583,195)	570,486
METLIFE INC	VAR RT 12/31/2049 DD 03/22/18	(945,000)	940,748
METLIFE SHORT DISC	09/24/2018	(1,389,238)	1,398,115
METLIFE SHORT DISC	01/28/2019	(893,938)	894,199
METROPOLITAN LIFE GLOBAL	144A 3.000% 09/19/2027 DD 09/19/17	(1,735,632)	1,692,054
MILLICOM INTERNATIONAL CE	144A 6.625% 10/15/2026 DD 10/16/18	(867,935)	866,295
MONSANTO CO	3.600% 07/15/2042 DD 07/12/12	(539,620)	526,344
MORGAN STANLEY	VAR RT 01/24/2029 DD 01/23/18	(147,073)	147,076
MUFG BANK LTD NY DISC	02/20/2019	(1,086,450)	1,088,038
MYLAN INC	144A 5.200% 04/15/2048 DD 04/09/18	(149,523)	150,878
NORTH AMERICAN BANCARD	12/17 0.000% 07/01/2024 DD 12/11/17	(247,506)	249,683
NRW BANK DISC	07/30/2018	(1,708,954)	1,708,248
NATIONALAUST BK DISC	10/22/2018	(837,477)	838,222
NATIONALAUST BK DISC	04/01/2019	(946,411)	948,501
NATIONAL BK CANADA DISC	07/16/2018	(614,171)	615,359
NATIXIS NY BRH DISC	08/16/2018	(989,542)	990,556
NATIXIS NY BRH DISC	03/15/2019	(1,078,873)	1,086,140
CABLEVISION SYSTEMS	3/17 TLB 0.000% 07/17/2025 DD 03/09/17	(1,416,429)	1,409,338
NESTLE HOLDINGS INC	144A 4.000% 09/24/2048 DD 09/24/18	(823,021)	818,064
NEUSTAR	2/18 COV-LITE TLB1 0.000% 01/08/2020 DD 02/22/18	(47,124)	47,183
NEUSTAR	2/18 COV-LITE TLB2 0.000% 08/08/2024 DD 02/22/18	(119,100)	119,547
NEW JERSEY ST TURNPIKE AUTH	7.102% 01/01/2041 DD 12/15/10	(124,191)	122,609
NEWELL BRANDS INC	5.375% 04/01/2036 DD 03/30/16	(879,672)	855,495
NIEUW AMSTERDAM DISC	04/16/2018	(667,199)	668,251
NIEUW AMSTERDAM DISC	08/13/2018	(1,143,227)	1,144,020
NIEUW AMSTERDAM DISC	01/25/2019	(940,721)	941,929
NORDEA BANK AB DISC	07/24/2018	(1,059,752)	1,060,019
NUCOR CORP	3.950% 05/01/2028 DD 04/26/18	(412,859)	409,248
OGLETHORPE POWER CORP	4.250% 04/01/2046 DD 04/21/16	(547,538)	528,834
OMAN GOVERNMENT INTERNATI	144A 4.125% 01/17/2023 DD 01/17/18	(945,750)	936,585
ORBCOMM INC	144A 8.000% 04/01/2024 DD 04/10/17	(852,100)	850,500
OVERSEA-CHINESE DISC	07/11/2018	(1,439,311)	1,439,723
OVERSEA-CHINESE DISC	02/20/2019	(976,304)	989,407

Rockwell Collins Pension Plan

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For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

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OVERSEA-CHINESE DISC	03/12/2019	(890,976)	890,955
PERTAMINA PERSERO PT	144A 4.300% 05/20/2023 DD 05/20/13	(1,406,082)	1,361,116
PALO ALTO NETWORKS INC	144A 0.750% 07/01/2023 DD 07/12/18	(1,440,000)	1,451,639
PARKLAND FUEL CORP	144A 6.000% 04/01/2026 DD 03/23/18	(965,150)	943,425
PEPSICO INC	4.250% 10/22/2044 DD 10/22/14	(1,620,079)	1,610,530
PETROBRAS GLOBAL FINANCE	144A 5.999% 01/27/2028 DD 09/27/17	(2,955,610)	2,878,783
PETROLEOS MEXICANOS	144A 5.350% 02/12/2028 DD 02/12/18	(1,896,300)	1,826,478
PLAINS ALLAMERICAN PIPELINE L	VAR RT 12/31/2049 DD 10/10/17	(951,863)	916,598
POLARIS INTERMEDIATE CORP	144A 8.500% 12/01/2022 DD 11/21/17	(578,368)	554,613
PRUDENTIAL FINANCIAL INC	VAR RT 09/15/2048 DD 09/18/18	(935,000)	876,563
PRUDENTIAL FINANCIAL INC	3.878% 03/27/2028 DD 03/27/18	(1,798,182)	1,778,382
PRUDENTIAL FINANCIAL INC	4.418% 03/27/2048 DD 03/27/18	(1,020,000)	976,099
INDONESIAASAHAN ALUMINIU	144A 6.530% 11/15/2028 DD 11/15/18	(390,136)	409,500
INDONESIAASAHAN ALUMINIU	144A 6.757% 11/15/2048 DD 11/15/18	(606,366)	633,713
QATAR GOVERNMENT INTERNAT	144A 5.103% 04/23/2048 DD 04/23/18	(1,135,000)	1,166,213
REALOGY	1/18 TLB	(284,308)	287,165
URUGUAY GOVERNMENT INTERNATION	4.975% 04/20/2055 DD 04/20/18	(824,254)	819,135
SS&C TECHNOLOGIES	4/18 COV- 0.000% 04/16/2025 DD 02/16/18	(1,223,702)	1,184,178
SS&C TECHNOLOGIES	4/18 COV- 0.000% 04/16/2025 DD 02/16/18	(466,080)	451,027
SABAL TRAIL TRANSMISSION	144A 4.682% 05/01/2038 DD 04/30/18	(376,376)	372,536
SAUDI GOVERNMENT INTERNAT	144A 3.625% 03/04/2028 DD 10/04/17	(1,442,805)	1,428,838
SAUDI GOVERNMENT INTERNAT	144A 5.000% 04/17/2049 DD 04/17/18	(430,434)	432,080
MICRO FOCUS	6/17 (SEATTLE 0.000% 06/21/2024 DD 04/06/17	(433,336)	432,250
SHELF DRILLING HOLDINGS L	144A 8.250% 02/15/2025 DD 02/07/18	(462,963)	419,738
SKANDINAVISKA ENS DISC	07/18/2018	(812,313)	814,151
SOCIETE GENERALE SA	144A 4.750% 09/14/2028 DD 09/14/18	(1,441,243)	1,415,825
SOCIETE GENERALE DISC	05/31/2018	(1,122,683)	1,123,791
SOCIETE GENERALE DISC	08/31/2018	(1,185,699)	1,187,014
STANDARD CHARTERED PLC	144A VAR RT 03/15/2033 DD 03/15/18	(1,125,726)	1,105,617
STARBIRD FDG CORP DISC	09/12/2018	(958,051)	959,007
STARBUCKS CORP	3.750% 12/01/2047 DD 11/22/17	(253,558)	256,737
STILLWATER MINING CO	144A 7.125% 06/27/2025 DD 06/27/17	(958,068)	978,875
STRYKER CORP	3.650% 03/07/2028 DD 03/07/18	(291,664)	287,032
SUMITOMO MTSU BKG DISC	07/23/2018	(1,089,547)	1,090,031
SUMITOMO MTSU BKG DISC	09/04/2018	(1,230,176)	1,234,808
SUMITOMO MTSU BKG DISC	02/05/2019	(938,217)	941,081
SUMITOMO MTSU BKG DISC	03/05/2019	(888,869)	889,098
SUMITOMO TR & BKG DISC	06/21/2018	(1,436,045)	1,436,654
SUMITOMO TR & BKG DISC	08/15/2018	(1,391,914)	1,397,032
SUMITOMO TR & BKG DISC	08/16/2018	(1,187,571)	1,187,987
SUMITOMO TR & BKG DISC	09/27/2018	(1,191,992)	1,199,387
SUMITOMO TR & BKG DISC	02/19/2019	(941,654)	941,949
SUTTER HEALTH	4.091% 08/15/2048 DD 04/04/18	(1,041,012)	995,999
SVENSKA HDLSBK DISC	07/23/2018	(1,785,874)	1,790,920
SVENSKA HDLSBK DISC	01/18/2019	(1,482,025)	1,487,799
SWEDBANK (FOR AB) DISC	08/27/2018	(1,186,775)	1,187,505
SWEDBANK (FOR AB) DISC	02/25/2019	(1,087,020)	1,086,512
SWEDBANK (FOR AB) DISC	03/19/2019	(888,857)	890,306
SYSCO CORP	4.450% 03/15/2048 DD 03/19/18	(293,956)	288,475
TRU TAJ LLC / TRU TAJ FIN	144A 11.000% 01/22/2019 DD 09/22/17	(800,586)	828,240
TTM TECHNOLOGIES	9/17 COV-LITE TL	(1,254,831)	1,186,810
TEVA PHARMACEUTICAL FINAN	144A 6.000% 04/15/2024 DD 03/14/18	(1,900,000)	1,902,469
TEVA PHARMACEUTICAL FINAN	144A 6.750% 03/01/2028 DD 03/14/18	(675,000)	683,438
TIME WARNER CABLE LLC	6.550% 05/01/2037 DD 04/09/07	(666,144)	653,737
TIME WARNER CABLE LLC	5.875% 11/15/2040 DD 11/15/10	(334,848)	326,788
TRAVELERS COS INC/THE	4.050% 03/07/2048 DD 03/07/18	(1,415,937)	1,374,883

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
TURKCELL ILETISIM HIZMETL	144A 5.800% 04/11/2028 DD 04/11/18	(1,012,013)	1,009,918
TURKEY GOVERNMENT INTERNATIONA	7.375% 02/05/2025 DD 01/24/05	(956,160)	951,888
EXPORT CREDIT BANK OF TUR	144A 6.125% 05/03/2024 DD 05/03/18	(496,715)	478,000
UBS AG/LONDON	144A 4.500% 06/26/2048 DD 06/26/18	(562,604)	588,304
US SILICA	4/18 COV-LITE TLB 0.000% 12/31/2021 DD 04/04/18	(1,697,893)	1,493,122
UBER	3/18 TL	(2,148,860)	2,156,017
UNIFIN FINANCIERA SAB DE	144A 7.250% 09/27/2023 DD 09/27/16	(1,003,375)	934,957
UNIFIN FINANCIERA SAB DE	144A 7.000% 01/15/2025 DD 05/15/17	(487,633)	457,025
UNITED OVERSEAS DISC	05/03/2018	(1,792,002)	1,793,280
UNITED OVERSEAS DISC	08/24/2018	(1,623,513)	1,623,075
UNITED OVERSEAS DISC	09/10/2018	(992,147)	999,725
UNITED OVERSEAS DISC	03/11/2019	(691,377)	693,199
UNITED OVERSEAS DISC	03/20/2019	(888,580)	888,409
VIACOM INC	5.850% 09/01/2043 DD 08/19/13	(129,067)	121,642
VINE OIL & GAS LP / VINE	144A 9.750% 04/15/2023 DD 10/03/18	(919,163)	839,550
EVOQUA WATER	12/17 COV-LITE TL	(849,839)	849,839
NATIONSTAR MORTGAGE HOLDI	144A 9.125% 07/15/2026 DD 07/13/18	(868,294)	845,454
WESTERN GAS PARTNERS LP	5.300% 03/01/2048 DD 03/02/18	(718,975)	707,734
WHITING PETROLEUM CORP	144A 6.625% 01/15/2026 DD 12/27/17	(568,568)	561,400
IMG WORLDWIDE	5/18 TLB	(729,416)	711,135
WYNDHAM HOTELS	5/18 COV-LITE TLB	(795,000)	795,000
YPF SA	144A 6.950% 07/21/2027 DD 07/21/17	(1,432,688)	1,301,800
YINGDE GASES INVESTMENT L	144A 6.250% 01/19/2023 DD 01/19/18	(974,175)	936,600
HCA	3/18 B9 TL	(409,093)	412,673
RODAN & FIELDS	5/18 TLB	(1,504,001)	1,430,646
LUMENTUM	8/18 COV-LITE TLB	(577,752)	571,960
ENBRIDGE/GRIZZLYACQUISITIONS	9/18 COV-LITE TLB	(252,386)	250,172
US 10YR ULTRA FUTURE	(CBT) EXP JUN 18	-	21,491
US 10YR ULTRA FUTURE	(CBT) EXP SEP 18	-	(31,595)
US 10YR ULTRA FUTURE	(CBT) EXP DEC 18	-	(143,105)
US LONG BOND FUTURE	(CBT) EXP JUN 18	-	304,690
US LONG BOND FUTURE	(CBT) EXP SEP 18	-	(28,599)
US LONG BOND FUTURE	(CBT) EXP DEC 18	-	(27,819)
US 10YR NOTE FUTURE	(CBT) EXP JUN 18	-	(39,547)
US 10YR NOTE FUTURE	(CBT) EXP DEC 18	-	(112,115)
US 5YR TREAS NTS FUTURE	(CBT) EXP JUN 18	-	(15,833)
US 5YR NOTE FUTURE	(CBT) EXP DEC 18	-	(3,420)
US ULTRA BOND	(CBT) EXP JUN 18	-	97,975
US ULTRA BOND	(CBT) EXP SEP 18	-	18,751
US ULTRA BOND	(CBT) EXP DEC 18	-	15,391
BNP PARIBAS TRI REPO	2.190% 11/13/2018 DD 11/09/18	(271,703)	271,703
J P MORGAN CHASE TRI REPO	2.190% 11/13/2018 DD 11/09/18	(2,135,489)	2,135,489
SOCIETE GENERALE TRI REPO	2.190% 11/13/2018 DD 11/09/18	(1,201,769)	1,201,769
MIZUHO REPO ATRI REPO	2.190% 11/13/2018 DD 11/09/18	(269,495)	269,495
RBC CAPITAL MAR TRI REPO	2.190% 11/13/2018 DD 11/09/18	(35,800)	35,800
CREDIT AGRICOLE TRI REPO	2.190% 11/09/2018 DD 11/08/18	(2,012,123)	2,012,123
BARCLAYS BANK PLC TRI REPO	2.200% 11/09/2018 DD 11/08/18	(3,941,633)	3,941,633
DEUTSCHE BK FINL TRI REPO	2.200% 11/09/2018 DD 11/08/18	(2,033,756)	2,033,756
CITIBANK NY ST TRI REPO	2.200% 11/09/2018 DD 11/08/18	(471,895)	471,895
MIZUHO REPO ATRI REPO	2.190% 11/09/2018 DD 11/08/18	(359,280)	359,280
MERRILL LYNCH TRI REPO	2.200% 11/09/2018 DD 11/08/18	(411,850)	411,850
BNP PARIBAS TRI REPO	2.170% 11/14/2018 DD 11/13/18	(483,935)	483,935
J P MORGAN CHASE TRI REPO	2.170% 11/14/2018 DD 11/13/18	(4,269,851)	4,269,851
SOCIETE GENERALE TRI REPO	2.170% 11/14/2018 DD 11/13/18	(2,332,120)	2,332,120
MIZUHO REPO ATRI REPO	2.180% 11/14/2018 DD 11/13/18	(583,746)	583,746
CREDIT AGRICOLE TRI REPO	2.170% 11/14/2018 DD 11/13/18	(2,077,129)	2,077,129

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
BARCLAYS BANK PLC TRI REPO	2.170% 11/14/2018 DD 11/13/18	(3,569,208)	3,569,208
CREDIT AGRICOLE TRI REPO	2.190% 11/13/2018 DD 11/09/18	(1,059,965)	1,059,965
MERRILL LYNCH TRI REPO	2.190% 11/13/2018 DD 11/09/18	(310,069)	310,069
DEUTSCHE BK FINL TRI REPO	2.190% 11/13/2018 DD 11/09/18	(953,166)	953,166
CITIBANK NY ST TRI REPO	2.190% 11/13/2018 DD 11/09/18	(293,976)	293,976
BARCLAYS BANK PLC TRI REPO	2.190% 11/13/2018 DD 11/09/18	(1,759,803)	1,759,803
SOCIETE GENERALE TRI REPO	2.170% 11/15/2018 DD 11/14/18	(2,191,255)	2,191,255
MIZUHO REPO ATRI REPO	2.170% 11/15/2018 DD 11/14/18	(510,965)	510,965
CREDIT AGRICOLE TRI REPO	2.170% 11/15/2018 DD 11/14/18	(1,865,985)	1,865,985
BARCLAYS BANK PLC TRI REPO	2.170% 11/15/2018 DD 11/14/18	(3,447,933)	3,447,933
CITIGROUP GLOBAL TRI REPO	2.170% 11/15/2018 DD 11/14/18	(223,949)	223,949
MERRILL LYNCH TRI REPO	2.170% 11/14/2018 DD 11/13/18	(577,216)	577,216
DEUTSCHE BK FINL TRI REPO	2.170% 11/14/2018 DD 11/13/18	(1,855,036)	1,855,036
CITIBANK NY ST TRI REPO	2.170% 11/14/2018 DD 11/13/18	(569,706)	569,706
BNP PARIBAS TRI REPO	2.170% 11/15/2018 DD 11/14/18	(511,271)	511,271
J P MORGAN CHASE TRI REPO	2.170% 11/15/2018 DD 11/14/18	(4,258,002)	4,258,002
CREDIT AGRICOLE TRI REPO	2.250% 11/16/2018 DD 11/15/18	(949,132)	949,132
BARCLAYS BANK PLC TRI REPO	2.260% 11/16/2018 DD 11/15/18	(2,030,282)	2,030,282
MERRILL LYNCH TRI REPO	2.250% 11/16/2018 DD 11/15/18	(208,447)	208,447
CITIBANK NY ST TRI REPO	2.260% 11/16/2018 DD 11/15/18	(221,760)	221,760
DEUTSCHE BK FINL TRI REPO	2.260% 11/16/2018 DD 11/15/18	(943,640)	943,640
MERRILL LYNCH TRI REPO	2.170% 11/15/2018 DD 11/14/18	(590,156)	590,156
CITIBANK NY ST TRI REPO	2.170% 11/15/2018 DD 11/14/18	(568,725)	568,725
DEUTSCHE BK FINL TRI REPO	2.170% 11/15/2018 DD 11/14/18	(1,887,147)	1,887,147
DEUTSCHE BK FINL TRI REPO	2.200% 11/15/2018 DD 11/14/18	(332,669)	332,669
BNP PARIBAS TRI REPO	2.260% 11/16/2018 DD 11/15/18	(175,798)	175,798
J P MORGAN CHASE TRI REPO	2.250% 11/16/2018 DD 11/15/18	(1,969,709)	1,969,709
SOCIETE GENERALE TRI REPO	2.250% 11/16/2018 DD 11/15/18	(988,033)	988,033
MIZUHO REPO ATRI REPO	2.250% 11/16/2018 DD 11/15/18	(178,852)	178,852
BNP PARIBAS TRI REPO	2.260% 11/19/2018 DD 11/16/18	(246,343)	246,343
SOCIETE GENERALE TRI REPO	2.250% 11/19/2018 DD 11/16/18	(1,569,122)	1,569,122
J P MORGAN CHASE TRI REPO	2.250% 11/19/2018 DD 11/16/18	(3,067,181)	3,067,181
MIZUHO REPO ATRI REPO	2.260% 11/19/2018 DD 11/16/18	(267,289)	267,289
BARCLAYS BANK PLC TRI REPO	2.250% 11/19/2018 DD 11/16/18	(3,229,152)	3,229,152
CREDIT AGRICOLE TRI REPO	2.250% 11/19/2018 DD 11/16/18	(1,522,838)	1,522,838
MERRILL LYNCH TRI REPO	2.250% 11/19/2018 DD 11/16/18	(308,988)	308,988
DEUTSCHE BK FINL TRI REPO	2.250% 11/19/2018 DD 11/16/18	(1,432,866)	1,432,866
CITIBANK NY ST TRI REPO	2.250% 11/19/2018 DD 11/16/18	(321,850)	321,850
BNP PARIBAS TRI REPO	2.200% 11/21/2018 DD 11/20/18	(407,736)	407,736
BNP PARIBAS TRI REPO	2.240% 11/20/2018 DD 11/19/18	(124,718)	124,718
J P MORGAN CHASE TRI REPO	2.220% 11/20/2018 DD 11/19/18	(300,158)	300,158
SOCIETE GENERALE TRI REPO	2.220% 11/20/2018 DD 11/19/18	(166,542)	166,542
RBC CAPITAL MAR TRI REPO	2.220% 11/20/2018 DD 11/19/18	(42,161)	42,161
CREDIT AGRICOLE TRI REPO	2.220% 11/20/2018 DD 11/19/18	(209,010)	209,010
BARCLAYS BANK PLC TRI REPO	2.220% 11/20/2018 DD 11/19/18	(295,573)	295,573
DEUTSCHE BK FINL TRI REPO	2.220% 11/20/2018 DD 11/19/18	(154,255)	154,255
BNP PARIBAS TRI REPO	2.170% 11/23/2018 DD 11/21/18	(438,453)	438,453
J P MORGAN CHASE TRI REPO	2.170% 11/23/2018 DD 11/21/18	(3,410,022)	3,410,022
SOCIETE GENERALE TRI REPO	2.180% 11/21/2018 DD 11/20/18	(1,385,362)	1,385,362
J P MORGAN CHASE TRI REPO	2.180% 11/21/2018 DD 11/20/18	(3,003,103)	3,003,103
MIZUHO REPO ATRI REPO	2.180% 11/21/2018 DD 11/20/18	(346,597)	346,597
CREDIT AGRICOLE TRI REPO	2.180% 11/21/2018 DD 11/20/18	(2,208,841)	2,208,841
BARCLAYS BANK PLC TRI REPO	2.180% 11/21/2018 DD 11/20/18	(3,075,482)	3,075,482
MERRILL LYNCH TRI REPO	2.180% 11/21/2018 DD 11/20/18	(393,957)	393,957
DEUTSCHE BK FINL TRI REPO	2.180% 11/21/2018 DD 11/20/18	(1,956,000)	1,956,000
CITIBANK NY ST TRI REPO	2.180% 11/21/2018 DD 11/20/18	(405,070)	405,070

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
BNP PARIBAS TRI REPO	2.220% 11/26/2018 DD 11/23/18	(586,804)	586,804
J P MORGAN CHASE TRI REPO	2.220% 11/26/2018 DD 11/23/18	(6,959,483)	6,959,483
SOCIETE GENERALE TRI REPO	2.220% 11/26/2018 DD 11/23/18	(3,005,299)	3,005,299
MIZUHO REPO ATRI REPO	2.240% 11/26/2018 DD 11/23/18	(527,949)	527,949
RBC CAPITAL MAR TRI REPO	2.230% 11/26/2018 DD 11/23/18	(53,730)	53,730
CREDIT AGRICOLE TRI REPO	2.220% 11/26/2018 DD 11/23/18	(1,918,194)	1,918,194
SOCIETE GENERALE TRI REPO	2.170% 11/23/2018 DD 11/21/18	(1,658,323)	1,658,323
MIZUHO REPO ATRI REPO	2.170% 11/23/2018 DD 11/21/18	(405,639)	405,639
CREDIT AGRICOLE TRI REPO	2.170% 11/23/2018 DD 11/21/18	(1,658,906)	1,658,906
BARCLAYS BANK PLC TRI REPO	2.170% 11/23/2018 DD 11/21/18	(2,672,624)	2,672,624
MERRILL LYNCH TRI REPO	2.170% 11/23/2018 DD 11/21/18	(467,425)	467,425
DEUTSCHE BK FINL TRI REPO	2.180% 11/23/2018 DD 11/21/18	(2,856,521)	2,856,521
CITIBANK NY ST TRI REPO	2.170% 11/23/2018 DD 11/21/18	(531,095)	531,095
SOCIETE GENERALE TRI REPO	2.180% 11/27/2018 DD 11/26/18	(2,154,711)	2,154,711
MIZUHO REPO ATRI REPO	2.180% 11/27/2018 DD 11/26/18	(477,635)	477,635
CREDIT AGRICOLE TRI REPO	2.180% 11/27/2018 DD 11/26/18	(2,040,557)	2,040,557
BARCLAYS BANK PLC TRI REPO	2.180% 11/27/2018 DD 11/26/18	(5,057,197)	5,057,197
MERRILL LYNCH TRI REPO	2.180% 11/27/2018 DD 11/26/18	(540,365)	540,365
DEUTSCHE BK FINL TRI REPO	2.180% 11/27/2018 DD 11/26/18	(4,851,789)	4,851,789
BARCLAYS BANK PLC TRI REPO	2.220% 11/26/2018 DD 11/23/18	(5,908,654)	5,908,654
MERRILL LYNCH TRI REPO	2.220% 11/26/2018 DD 11/23/18	(597,270)	597,270
DEUTSCHE BK FINL TRI REPO	2.230% 11/26/2018 DD 11/23/18	(3,548,320)	3,548,320
CITIBANK NY ST TRI REPO	2.230% 11/26/2018 DD 11/23/18	(626,344)	626,344
BNP PARIBAS TRI REPO	2.180% 11/27/2018 DD 11/26/18	(416,388)	416,388
J P MORGAN CHASE TRI REPO	2.180% 11/27/2018 DD 11/26/18	(6,507,457)	6,507,457
DEUTSCHE BK FINL TRI REPO	2.170% 11/28/2018 DD 11/27/18	(3,320,087)	3,320,087
CITIBANK NY ST TRI REPO	2.170% 11/28/2018 DD 11/27/18	(582,143)	582,143
CITIBANK NY ST TRI REPO	2.180% 11/27/2018 DD 11/26/18	(623,522)	623,522
BNP PARIBAS TRI REPO	2.180% 11/28/2018 DD 11/27/18	(440,828)	440,828
J P MORGAN CHASE TRI REPO	2.170% 11/28/2018 DD 11/27/18	(6,553,095)	6,553,095
SOCIETE GENERALE TRI REPO	2.170% 11/28/2018 DD 11/27/18	(2,817,493)	2,817,493
MIZUHO REPO ATRI REPO	2.180% 11/28/2018 DD 11/27/18	(452,559)	452,559
CREDIT AGRICOLE TRI REPO	2.170% 11/28/2018 DD 11/27/18	(1,867,804)	1,867,804
BARCLAYS BANK PLC TRI REPO	2.170% 11/28/2018 DD 11/27/18	(6,197,401)	6,197,401
MERRILL LYNCH TRI REPO	2.170% 11/28/2018 DD 11/27/18	(511,528)	511,528
BARCLAYS BANK PLC TRI REPO	2.140% 09/28/2018 DD 09/27/18	(119,068)	119,068
BNP PARIBAS TRI REPO	2.150% 09/28/2018 DD 09/27/18	(111,360)	111,360
J P MORGAN CHASE TRI REPO	2.150% 09/28/2018 DD 09/27/18	(207,454)	207,454
BARCLAYS BANK PLC TRI REPO	2.240% 10/01/2018 DD 09/28/18	(228,557)	228,557
BARCLAYS BANK PLC TRI REPO	2.200% 10/02/2018 DD 10/01/18	(104,352)	104,352
DEUTSCHE BK FINL TRI REPO	2.220% 10/02/2018 DD 10/01/18	(125,423)	125,423
BARCLAYS BANK PLC TRI REPO	2.180% 10/03/2018 DD 10/02/18	(142,475)	142,475
DEUTSCHE BK FINL TRI REPO	2.190% 10/03/2018 DD 10/02/18	(155,812)	155,812
BNP PARIBAS TRI REPO	2.170% 10/05/2018 DD 10/04/18	(119,895)	119,895
BARCLAYS BANK PLC TRI REPO	2.160% 10/05/2018 DD 10/04/18	(148,257)	148,257
BNP PARIBAS TRI REPO	2.140% 10/09/2018 DD 10/05/18	(156,184)	156,184
J P MORGAN CHASE TRI REPO	2.140% 10/09/2018 DD 10/05/18	(371,889)	371,889
SOCIETE GENERALE TRI REPO	2.140% 10/09/2018 DD 10/05/18	(170,810)	170,810
CREDIT AGRICOLE TRI REPO	2.140% 10/09/2018 DD 10/05/18	(124,652)	124,652
BARCLAYS BANK PLC TRI REPO	2.140% 10/09/2018 DD 10/05/18	(143,121)	143,121
DEUTSCHE BK FINL TRI REPO	2.140% 10/09/2018 DD 10/05/18	(271,593)	271,593
J P MORGAN CHASE TRI REPO	2.130% 10/10/2018 DD 10/09/18	(170,489)	170,489
CITIGROUP GLOBAL TRI REPO	2.130% 10/10/2018 DD 10/09/18	(110,978)	110,978
CITIBANK NY ST TRI REPO	2.130% 10/10/2018 DD 10/09/18	(175,328)	175,328
DEUTSCHE BK FINL TRI REPO	2.140% 10/10/2018 DD 10/09/18	(125,170)	125,170
J P MORGAN CHASE TRI REPO	2.160% 10/12/2018 DD 10/11/18	(116,270)	116,270

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
SOCIETE GENERALE TRI REPO	2.160% 10/12/2018 DD 10/11/18	(103,371)	103,371
CITIBANK NY ST TRI REPO	2.150% 10/12/2018 DD 10/11/18	(125,109)	125,109
J P MORGAN CHASE TRI REPO	2.140% 10/11/2018 DD 10/10/18	(164,352)	164,352
CREDIT AGRICOLE TRI REPO	2.140% 10/11/2018 DD 10/10/18	(109,676)	109,676
CITIBANK NY ST TRI REPO	2.140% 10/11/2018 DD 10/10/18	(111,285)	111,285
DEUTSCHE BK FINL TRI REPO	2.140% 10/11/2018 DD 10/10/18	(122,523)	122,523
CREDIT AGRICOLE TRI REPO	2.160% 10/15/2018 DD 10/12/18	(132,301)	132,301
CITIGROUP GLOBAL TRI REPO	2.150% 10/12/2018 DD 10/11/18	(103,794)	103,794
CITIBANK NY ST TRI REPO	2.150% 10/24/2018 DD 10/23/18	(221,755)	221,755
CITIBANK NY ST TRI REPO	2.160% 10/25/2018 DD 10/24/18	(127,474)	127,474
DEUTSCHE BK FINL TRI REPO	2.180% 10/25/2018 DD 10/24/18	(227,779)	227,779
BNP PARIBAS TRI REPO	2.160% 10/25/2018 DD 10/24/18	(73,660)	73,660
J P MORGAN CHASE TRI REPO	2.160% 10/25/2018 DD 10/24/18	(511,122)	511,122
SOCIETE GENERALE TRI REPO	2.170% 10/25/2018 DD 10/24/18	(280,196)	280,196
CREDIT AGRICOLE TRI REPO	2.160% 10/25/2018 DD 10/24/18	(104,836)	104,836
BARCLAYS BANK PLC TRI REPO	2.160% 10/25/2018 DD 10/24/18	(105,823)	105,823
BNP PARIBAS TRI REPO	2.170% 10/26/2018 DD 10/25/18	(115,481)	115,481
CITIBANK NY ST TRI REPO	2.160% 10/26/2018 DD 10/25/18	(202,782)	202,782
BARCLAYS BANK PLC TRI REPO	2.170% 10/29/2018 DD 10/26/18	(120,638)	120,638
DEUTSCHE BK FINL TRI REPO	2.170% 10/29/2018 DD 10/26/18	(217,718)	217,718
BNP PARIBAS TRI REPO	2.170% 10/29/2018 DD 10/26/18	(223,482)	223,482
J P MORGAN CHASE TRI REPO	2.170% 10/29/2018 DD 10/26/18	(342,046)	342,046
SOCIETE GENERALE TRI REPO	2.170% 10/29/2018 DD 10/26/18	(171,044)	171,044
CREDIT AGRICOLE TRI REPO	2.170% 10/29/2018 DD 10/26/18	(149,524)	149,524
BNP PARIBAS TRI REPO	2.170% 10/31/2018 DD 10/30/18	(688,334)	688,334
J P MORGAN CHASE TRI REPO	2.170% 10/31/2018 DD 10/30/18	(8,193,281)	8,193,281
SOCIETE GENERALE TRI REPO	2.170% 10/31/2018 DD 10/30/18	(3,565,314)	3,565,314
BNP PARIBAS TRI REPO	2.170% 10/30/2018 DD 10/29/18	(2,199,882)	2,199,882
J P MORGAN CHASE TRI REPO	2.170% 10/30/2018 DD 10/29/18	(16,447,067)	16,447,067
SOCIETE GENERALE TRI REPO	2.170% 10/30/2018 DD 10/29/18	(8,169,873)	8,169,873
MIZUHO REPO ATRI REPO	2.170% 10/30/2018 DD 10/29/18	(2,221,480)	2,221,480
CREDIT AGRICOLE TRI REPO	2.170% 10/30/2018 DD 10/29/18	(8,116,307)	8,116,307
CITIGROUP GLOBAL TRI REPO	2.170% 10/30/2018 DD 10/29/18	(887,119)	887,119
BARCLAYS BANK PLC TRI REPO	2.170% 10/30/2018 DD 10/29/18	(7,285,865)	7,285,865
MERRILL LYNCH TRI REPO	2.170% 10/30/2018 DD 10/29/18	(2,655,785)	2,655,785
CITIBANK NY ST TRI REPO	2.170% 10/30/2018 DD 10/29/18	(2,786,043)	2,786,043
DEUTSCHE BK FINL TRI REPO	2.170% 10/30/2018 DD 10/29/18	(10,911,712)	10,911,712
BARCLAYS BANK PLC TRI REPO	2.150% 10/30/2018 DD 10/29/18	(1,379,357)	1,379,357
MIZUHO REPO ATRI REPO	2.200% 11/01/2018 DD 10/31/18	(597,268)	597,268
BNP PARIBAS TRI REPO	2.200% 11/01/2018 DD 10/31/18	(521,086)	521,086
MERRILL LYNCH TRI REPO	2.190% 11/01/2018 DD 10/31/18	(715,727)	715,727
J P MORGAN CHASE TRI REPO	2.190% 11/01/2018 DD 10/31/18	(7,202,149)	7,202,149
CREDIT AGRICOLE TRI REPO	2.190% 11/01/2018 DD 10/31/18	(3,200,980)	3,200,980
MIZUHO REPO ATRI REPO	2.170% 10/31/2018 DD 10/30/18	(813,893)	813,893
CREDIT AGRICOLE TRI REPO	2.170% 10/31/2018 DD 10/30/18	(3,486,500)	3,486,500
BARCLAYS BANK PLC TRI REPO	2.170% 10/31/2018 DD 10/30/18	(3,947,009)	3,947,009
DEUTSCHE BK FINL TRI REPO	2.170% 10/31/2018 DD 10/30/18	(4,563,064)	4,563,064
MERRILL LYNCH TRI REPO	2.170% 10/31/2018 DD 10/30/18	(972,885)	972,885
CITIBANK NY ST TRI REPO	2.170% 10/31/2018 DD 10/30/18	(1,104,216)	1,104,216
BARCLAYS BANK PLC TRI REPO	2.190% 11/01/2018 DD 10/31/18	(4,014,926)	4,014,926
J P MORGAN CHASE TRI REPO	2.200% 11/02/2018 DD 11/01/18	(4,340,665)	4,340,665
CREDIT AGRICOLE TRI REPO	2.200% 11/02/2018 DD 11/01/18	(2,008,646)	2,008,646
DEUTSCHE BK FINL TRI REPO	2.200% 11/02/2018 DD 11/01/18	(1,343,369)	1,343,369
RBC CAPITAL MAR TRI REPO	2.200% 11/02/2018 DD 11/01/18	(102,367)	102,367
SOCIETE GENERALE TRI REPO	2.200% 11/02/2018 DD 11/01/18	(1,841,162)	1,841,162
CITIBANK NY ST TRI REPO	2.210% 11/02/2018 DD 11/01/18	(410,638)	410,638

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
DEUTSCHE BK FINL TRI REPO	2.200% 11/01/2018 DD 10/31/18	(2,147,009)	2,147,009
SOCIETE GENERALE TRI REPO	2.190% 11/01/2018 DD 10/31/18	(3,217,150)	3,217,150
CITIBANK NY ST TRI REPO	2.200% 11/01/2018 DD 10/31/18	(801,559)	801,559
BARCLAYS BANK PLC TRI REPO	2.200% 11/02/2018 DD 11/01/18	(2,414,732)	2,414,732
MIZUHO REPO ATRI REPO	2.200% 11/02/2018 DD 11/01/18	(349,587)	349,587
BNP PARIBAS TRI REPO	2.200% 11/02/2018 DD 11/01/18	(367,902)	367,902
MERRILL LYNCH TRI REPO	2.200% 11/02/2018 DD 11/01/18	(413,597)	413,597
CITIGROUP GLOBAL TRI REPO	2.240% 11/05/2018 DD 11/02/18	(223,514)	223,514
MIZUHO REPO ATRI REPO	2.250% 11/05/2018 DD 11/02/18	(1,169,565)	1,169,565
MERRILL LYNCH TRI REPO	2.240% 11/05/2018 DD 11/02/18	(1,383,006)	1,383,006
BNP PARIBAS TRI REPO	2.240% 11/05/2018 DD 11/02/18	(1,146,304)	1,146,304
J P MORGAN CHASE TRI REPO	2.240% 11/05/2018 DD 11/02/18	(12,748,278)	12,748,278
SOCIETE GENERALE TRI REPO	2.240% 11/05/2018 DD 11/02/18	(6,296,987)	6,296,987
CREDIT AGRICOLE TRI REPO	2.230% 11/05/2018 DD 11/02/18	(3,939,374)	3,939,374
BARCLAYS BANK PLC TRI REPO	2.240% 11/05/2018 DD 11/02/18	(7,661,073)	7,661,073
DEUTSCHE BK FINL TRI REPO	2.240% 11/05/2018 DD 11/02/18	(3,904,627)	3,904,627
CITIBANK NY ST TRI REPO	2.240% 11/05/2018 DD 11/02/18	(1,412,969)	1,412,969
DEUTSCHE BK FINL TRI REPO	2.140% 11/05/2018 DD 11/02/18	(120,628)	120,628
BNP PARIBAS TRI REPO	2.230% 11/06/2018 DD 11/05/18	(1,770,586)	1,770,586
J P MORGAN CHASE TRI REPO	2.230% 11/06/2018 DD 11/05/18	(18,776,434)	18,776,434
SOCIETE GENERALE TRI REPO	2.230% 11/06/2018 DD 11/05/18	(7,976,139)	7,976,139
MIZUHO REPO ATRI REPO	2.230% 11/06/2018 DD 11/05/18	(1,788,838)	1,788,838
CREDIT AGRICOLE TRI REPO	2.230% 11/06/2018 DD 11/05/18	(5,233,067)	5,233,067
BARCLAYS BANK PLC TRI REPO	2.230% 11/06/2018 DD 11/05/18	(16,022,021)	16,022,021
MERRILL LYNCH TRI REPO	2.230% 11/06/2018 DD 11/05/18	(2,150,192)	2,150,192
DEUTSCHE BK FINL TRI REPO	2.240% 11/06/2018 DD 11/05/18	(8,660,537)	8,660,537
CITIBANK NY ST TRI REPO	2.230% 11/06/2018 DD 11/05/18	(2,302,041)	2,302,041
BNP PARIBAS TRI REPO	2.220% 11/07/2018 DD 11/06/18	(418,303)	418,303
J P MORGAN CHASE TRI REPO	2.210% 11/07/2018 DD 11/06/18	(6,254,230)	6,254,230
SOCIETE GENERALE TRI REPO	2.220% 11/07/2018 DD 11/06/18	(3,841,564)	3,841,564
CREDIT AGRICOLE TRI REPO	2.210% 11/07/2018 DD 11/06/18	(3,802,206)	3,802,206
MIZUHO REPO ATRI REPO	2.210% 11/07/2018 DD 11/06/18	(428,721)	428,721
BARCLAYS BANK PLC TRI REPO	2.210% 11/07/2018 DD 11/06/18	(4,562,515)	4,562,515
MERRILL LYNCH TRI REPO	2.220% 11/07/2018 DD 11/06/18	(513,417)	513,417
DEUTSCHE BK FINL TRI REPO	2.210% 11/07/2018 DD 11/06/18	(2,494,844)	2,494,844
CITIBANK NY ST TRI REPO	2.220% 11/07/2018 DD 11/06/18	(552,579)	552,579
BNP PARIBAS TRI REPO	2.200% 11/09/2018 DD 11/08/18	(329,123)	329,123
J P MORGAN CHASE TRI REPO	2.190% 11/09/2018 DD 11/08/18	(4,120,914)	4,120,914
SOCIETE GENERALE TRI REPO	2.190% 11/09/2018 DD 11/08/18	(1,513,083)	1,513,083
BNP PARIBAS TRI REPO	2.160% 11/08/2018 DD 11/07/18	(339,223)	339,223
J P MORGAN CHASE TRI REPO	2.160% 11/08/2018 DD 11/07/18	(5,802,238)	5,802,238
SOCIETE GENERALE TRI REPO	2.180% 11/08/2018 DD 11/07/18	(1,545,028)	1,545,028
MIZUHO REPO ATRI REPO	2.170% 11/08/2018 DD 11/07/18	(375,876)	375,876
CREDIT AGRICOLE TRI REPO	2.160% 11/08/2018 DD 11/07/18	(3,321,889)	3,321,889
BARCLAYS BANK PLC TRI REPO	2.160% 11/08/2018 DD 11/07/18	(4,724,772)	4,724,772
MERRILL LYNCH TRI REPO	2.160% 11/08/2018 DD 11/07/18	(448,306)	448,306
DEUTSCHE BK FINL TRI REPO	2.170% 11/08/2018 DD 11/07/18	(2,601,137)	2,601,137
CITIBANK NY ST TRI REPO	2.160% 11/08/2018 DD 11/07/18	(514,466)	514,466
BNP PARIBAS TRI REPO	2.190% 11/29/2018 DD 11/28/18	(600,554)	600,554
J P MORGAN CHASE TRI REPO	2.190% 11/29/2018 DD 11/28/18	(6,594,963)	6,594,963
SOCIETE GENERALE TRI REPO	2.180% 11/29/2018 DD 11/28/18	(3,096,234)	3,096,234
MIZUHO REPO ATRI REPO	2.190% 11/29/2018 DD 11/28/18	(571,259)	571,259
CREDIT AGRICOLE TRI REPO	2.190% 11/29/2018 DD 11/28/18	(3,131,947)	3,131,947
BARCLAYS BANK PLC TRI REPO	2.190% 11/29/2018 DD 11/28/18	(6,234,184)	6,234,184
MERRILL LYNCH TRI REPO	2.180% 11/29/2018 DD 11/28/18	(650,555)	650,555
DEUTSCHE BK FINL TRI REPO	2.190% 11/29/2018 DD 11/28/18	(2,285,435)	2,285,435

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
CITIBANK NY ST TRI REPO	2.190% 11/29/2018 DD 11/28/18	(718,710)	718,710
MERRILL LYNCH TRI REPO	2.270% 12/03/2018 DD 11/30/18	(431,805)	431,805
DEUTSCHE BK FINL TRI REPO	2.290% 12/03/2018 DD 11/30/18	(573,952)	573,952
CITIBANK NY ST TRI REPO	2.270% 12/03/2018 DD 11/30/18	(343,091)	343,091
BNP PARIBAS TRI REPO	2.230% 11/30/2018 DD 11/29/18	(446,543)	446,543
SOCIETE GENERALE TRI REPO	2.220% 11/30/2018 DD 11/29/18	(2,556,917)	2,556,917
MIZUHO REPO ATRI REPO	2.230% 11/30/2018 DD 11/29/18	(502,043)	502,043
CREDIT AGRICOLE TRI REPO	2.230% 11/30/2018 DD 11/29/18	(1,656,068)	1,656,068
CITIBANK NY ST TRI REPO	2.230% 11/30/2018 DD 11/29/18	(572,886)	572,886
MERRILL LYNCH TRI REPO	2.230% 11/30/2018 DD 11/29/18	(561,473)	561,473
J P MORGAN CHASE TRI REPO	2.220% 11/30/2018 DD 11/29/18	(5,306,337)	5,306,337
BARCLAYS BANK PLC TRI REPO	2.230% 11/30/2018 DD 11/29/18	(4,141,270)	4,141,270
DEUTSCHE BK FINL TRI REPO	2.230% 11/30/2018 DD 11/29/18	(2,938,494)	2,938,494
J P MORGAN CHASE TRI REPO	2.220% 12/04/2018 DD 12/03/18	(3,041,283)	3,041,283
SOCIETE GENERALE TRI REPO	2.220% 12/04/2018 DD 12/03/18	(587,561)	587,561
MIZUHO REPO ATRI REPO	2.220% 12/04/2018 DD 12/03/18	(194,168)	194,168
BNP PARIBAS TRI REPO	2.280% 12/03/2018 DD 11/30/18	(258,259)	258,259
J P MORGAN CHASE TRI REPO	2.270% 12/03/2018 DD 11/30/18	(2,819,593)	2,819,593
MIZUHO REPO ATRI REPO	2.280% 12/03/2018 DD 11/30/18	(287,329)	287,329
CREDIT AGRICOLE TRI REPO	2.270% 12/03/2018 DD 11/30/18	(1,247,125)	1,247,125
BARCLAYS BANK PLC TRI REPO	2.270% 12/03/2018 DD 11/30/18	(2,163,568)	2,163,568
SOCIETE GENERALE TRI REPO	2.220% 12/06/2018 DD 12/04/18	(186,353)	186,353
MIZUHO REPO ATRI REPO	2.230% 12/06/2018 DD 12/04/18	(170,408)	170,408
CREDIT AGRICOLE TRI REPO	2.220% 12/06/2018 DD 12/04/18	(1,125,110)	1,125,110
BARCLAYS BANK PLC TRI REPO	2.220% 12/06/2018 DD 12/04/18	(4,228,825)	4,228,825
MERRILL LYNCH TRI REPO	2.220% 12/06/2018 DD 12/04/18	(189,608)	189,608
CITIBANK NY ST TRI REPO	2.220% 12/06/2018 DD 12/04/18	(155,932)	155,932
DEUTSCHE BK FINL TRI REPO	2.230% 12/06/2018 DD 12/04/18	(1,694,050)	1,694,050
DEUTSCHE BK FINL TRI REPO	2.250% 12/06/2018 DD 12/04/18	(1,200,939)	1,200,939
BARCLAYS BANK PLC TRI REPO	2.220% 12/04/2018 DD 12/03/18	(3,727,928)	3,727,928
MERRILL LYNCH TRI REPO	2.220% 12/04/2018 DD 12/03/18	(221,582)	221,582
DEUTSCHE BK FINL TRI REPO	2.230% 12/04/2018 DD 12/03/18	(1,360,048)	1,360,048
CREDIT AGRICOLE TRI REPO	2.220% 12/04/2018 DD 12/03/18	(881,278)	881,278
BNP PARIBAS TRI REPO	2.230% 12/06/2018 DD 12/04/18	(138,597)	138,597
J P MORGAN CHASE TRI REPO	2.220% 12/06/2018 DD 12/04/18	(3,718,549)	3,718,549
SOCIETE GENERALE TRI REPO	2.310% 12/07/2018 DD 12/06/18	(247,915)	247,915
BARCLAYS BANK PLC TRI REPO	2.320% 12/07/2018 DD 12/06/18	(2,164,025)	2,164,025
MERRILL LYNCH TRI REPO	2.310% 12/07/2018 DD 12/06/18	(291,234)	291,234
DEUTSCHE BK FINL TRI REPO	2.300% 12/07/2018 DD 12/06/18	(832,452)	832,452
DEUTSCHE BK FINL TRI REPO	2.400% 12/07/2018 DD 12/06/18	(571,724)	571,724
BNP PARIBAS TRI REPO	2.300% 12/07/2018 DD 12/06/18	(182,293)	182,293
MIZUHO REPO ATRI REPO	2.310% 12/07/2018 DD 12/06/18	(211,924)	211,924
CITIBANK NY ST TRI REPO	2.310% 12/07/2018 DD 12/06/18	(223,703)	223,703
J P MORGAN CHASE TRI REPO	2.310% 12/07/2018 DD 12/06/18	(1,777,906)	1,777,906
CREDIT AGRICOLE TRI REPO	2.310% 12/07/2018 DD 12/06/18	(606,553)	606,553
MERRILL LYNCH TRI REPO	2.210% 12/11/2018 DD 12/10/18	(1,927,253)	1,927,253
DEUTSCHE BK FINL TRI REPO	2.210% 12/11/2018 DD 12/10/18	(7,163,235)	7,163,235
DEUTSCHE BK FINL TRI REPO	2.100% 12/11/2018 DD 12/10/18	(50,000,000)	50,000,000
BNP PARIBAS TRI REPO	2.200% 12/11/2018 DD 12/10/18	(1,375,651)	1,375,651
MIZUHO REPO ATRI REPO	2.210% 12/11/2018 DD 12/10/18	(1,643,588)	1,643,588
CITIGROUP GLOBAL TRI REPO	2.210% 12/11/2018 DD 12/10/18	(147,371)	147,371
CITIBANK NY ST TRI REPO	2.210% 12/11/2018 DD 12/10/18	(1,890,086)	1,890,086
J P MORGAN CHASE TRI REPO	2.210% 12/11/2018 DD 12/10/18	(8,927,435)	8,927,435
SOCIETE GENERALE TRI REPO	2.200% 12/11/2018 DD 12/10/18	(1,930,446)	1,930,446
CREDIT AGRICOLE TRI REPO	2.210% 12/11/2018 DD 12/10/18	(5,312,132)	5,312,132
BARCLAYS BANK PLC TRI REPO	2.200% 12/11/2018 DD 12/10/18	(12,561,350)	12,561,350

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
DEUTSCHE BK FINL TRI REPO	2.150% 12/12/2018 DD 12/11/18	(931,563)	931,563
CREDIT AGRICOLE TRI REPO	2.180% 12/12/2018 DD 12/11/18	(320,263)	320,263
BNP PARIBAS TRI REPO	2.170% 12/12/2018 DD 12/11/18	(1,432,697)	1,432,697
SOCIETE GENERALE TRI REPO	2.160% 12/12/2018 DD 12/11/18	(2,784,891)	2,784,891
J P MORGAN CHASE TRI REPO	2.160% 12/12/2018 DD 12/11/18	(5,702,048)	5,702,048
MIZUHO REPO ATRI REPO	2.170% 12/12/2018 DD 12/11/18	(1,597,043)	1,597,043
CREDIT AGRICOLE TRI REPO	2.160% 12/12/2018 DD 12/11/18	(5,566,258)	5,566,258
BARCLAYS BANK PLC TRI REPO	2.170% 12/12/2018 DD 12/11/18	(7,931,048)	7,931,048
CITIGROUP GLOBAL TRI REPO	2.160% 12/12/2018 DD 12/11/18	(847,172)	847,172
MERRILL LYNCH TRI REPO	2.160% 12/12/2018 DD 12/11/18	(1,819,500)	1,819,500
DEUTSCHE BK FINL TRI REPO	2.160% 12/12/2018 DD 12/11/18	(50,000,000)	50,000,000
CITIBANK NY ST TRI REPO	2.160% 12/12/2018 DD 12/11/18	(1,669,903)	1,669,903
BNP PARIBAS TRI REPO	2.180% 12/14/2018 DD 12/13/18	(3,137,003)	3,137,003
BNP PARIBAS TRI REPO	2.170% 12/13/2018 DD 12/12/18	(2,239,228)	2,239,228
J P MORGAN CHASE TRI REPO	2.170% 12/13/2018 DD 12/12/18	(9,609,867)	9,609,867
SOCIETE GENERALE TRI REPO	2.170% 12/13/2018 DD 12/12/18	(4,394,246)	4,394,246
RBC CAPITAL MAR TRI REPO	2.170% 12/13/2018 DD 12/12/18	(261,842)	261,842
MIZUHO REPO ATRI REPO	2.180% 12/13/2018 DD 12/12/18	(2,437,904)	2,437,904
CREDIT AGRICOLE TRI REPO	2.180% 12/13/2018 DD 12/12/18	(10,712,069)	10,712,069
BARCLAYS BANK PLC TRI REPO	2.170% 12/13/2018 DD 12/12/18	(11,135,405)	11,135,405
CITIGROUP GLOBAL TRI REPO	2.170% 12/13/2018 DD 12/12/18	(909,447)	909,447
MERRILL LYNCH TRI REPO	2.170% 12/13/2018 DD 12/12/18	(2,836,576)	2,836,576
DEUTSCHE BK FINL TRI REPO	2.180% 12/13/2018 DD 12/12/18	(50,000,000)	50,000,000
CITIBANK NY ST TRI REPO	2.170% 12/13/2018 DD 12/12/18	(2,471,370)	2,471,370
J P MORGAN CHASE TRI REPO	2.190% 12/17/2018 DD 12/14/18	(9,379,602)	9,379,602
BNP PARIBAS TRI REPO	2.200% 12/17/2018 DD 12/14/18	(1,289,234)	1,289,234
MIZUHO REPO ATRI REPO	2.180% 12/17/2018 DD 12/14/18	(1,476,572)	1,476,572
SOCIETE GENERALE TRI REPO	2.180% 12/17/2018 DD 12/14/18	(2,874,117)	2,874,117
J P MORGAN CHASE TRI REPO	2.170% 12/14/2018 DD 12/13/18	(13,659,103)	13,659,103
SOCIETE GENERALE TRI REPO	2.170% 12/14/2018 DD 12/13/18	(6,259,315)	6,259,315
MIZUHO REPO ATRI REPO	2.170% 12/14/2018 DD 12/13/18	(3,469,105)	3,469,105
RBC CAPITAL MAR TRI REPO	2.180% 12/14/2018 DD 12/13/18	(174,934)	174,934
CREDIT AGRICOLE TRI REPO	2.180% 12/14/2018 DD 12/13/18	(15,007,732)	15,007,732
BARCLAYS BANK PLC TRI REPO	2.180% 12/14/2018 DD 12/13/18	(15,520,840)	15,520,840
CITIGROUP GLOBAL TRI REPO	2.180% 12/14/2018 DD 12/13/18	(1,584,666)	1,584,666
MERRILL LYNCH TRI REPO	2.180% 12/14/2018 DD 12/13/18	(4,096,369)	4,096,369
DEUTSCHE BK FINL TRI REPO	2.180% 12/14/2018 DD 12/13/18	(50,000,000)	50,000,000
CITIBANK NY ST TRI REPO	2.180% 12/14/2018 DD 12/13/18	(3,728,294)	3,728,294
DEUTSCHE BK FINL TRI REPO	2.190% 12/14/2018 DD 12/13/18	(1,160,902)	1,160,902
J P MORGAN CHASE TRI REPO	2.280% 12/18/2018 DD 12/17/18	(4,392,044)	4,392,044
MIZUHO REPO ATRI REPO	2.290% 12/18/2018 DD 12/17/18	(681,858)	681,858
SOCIETE GENERALE TRI REPO	2.280% 12/18/2018 DD 12/17/18	(1,206,123)	1,206,123
RBC CAPITAL MAR TRI REPO	2.280% 12/18/2018 DD 12/17/18	(29,862)	29,862
CREDIT AGRICOLE TRI REPO	2.280% 12/18/2018 DD 12/17/18	(4,034,198)	4,034,198
BARCLAYS BANK PLC TRI REPO	2.280% 12/18/2018 DD 12/17/18	(2,446,574)	2,446,574
CITIGROUP GLOBAL TRI REPO	2.280% 12/18/2018 DD 12/17/18	(239,460)	239,460
CREDIT AGRICOLE TRI REPO	2.190% 12/17/2018 DD 12/14/18	(10,525,632)	10,525,632
BARCLAYS BANK PLC TRI REPO	2.200% 12/17/2018 DD 12/14/18	(7,694,033)	7,694,033
CITIGROUP GLOBAL TRI REPO	2.190% 12/17/2018 DD 12/14/18	(347,263)	347,263
MERRILL LYNCH TRI REPO	2.190% 12/17/2018 DD 12/14/18	(1,812,810)	1,812,810
DEUTSCHE BK FINL TRI REPO	2.200% 12/17/2018 DD 12/14/18	(8,926,900)	8,926,900
CITIBANK NY ST TRI REPO	2.190% 12/17/2018 DD 12/14/18	(1,760,672)	1,760,672
BNP PARIBAS TRI REPO	2.280% 12/18/2018 DD 12/17/18	(588,906)	588,906
BARCLAYS BANK PLC TRI REPO	2.300% 12/19/2018 DD 12/18/18	(212,768)	212,768
DEUTSCHE BK FINL TRI REPO	2.330% 12/19/2018 DD 12/18/18	(248,241)	248,241
MERRILL LYNCH TRI REPO	2.290% 12/18/2018 DD 12/17/18	(796,622)	796,622

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
DEUTSCHE BK FINL TRI REPO	2.290% 12/18/2018 DD 12/17/18	(2,819,533)	2,819,533
CITIBANK NY ST TRI REPO	2.280% 12/18/2018 DD 12/17/18	(697,617)	697,617
BNP PARIBAS TRI REPO	2.300% 12/19/2018 DD 12/18/18	(111,689)	111,689
SOCIETE GENERALE TRI REPO	2.300% 12/19/2018 DD 12/18/18	(124,417)	124,417
J P MORGAN CHASE TRI REPO	2.310% 12/19/2018 DD 12/18/18	(425,948)	425,948
CREDIT AGRICOLE TRI REPO	2.300% 12/19/2018 DD 12/18/18	(809,411)	809,411
BNP PARIBAS TRI REPO	2.290% 12/20/2018 DD 12/19/18	(3,602,498)	3,602,498
J P MORGAN CHASE TRI REPO	2.300% 12/20/2018 DD 12/19/18	(28,622,741)	28,622,741
SOCIETE GENERALE TRI REPO	2.290% 12/20/2018 DD 12/19/18	(6,796,909)	6,796,909
MIZUHO REPO ATRI REPO	2.300% 12/20/2018 DD 12/19/18	(3,915,629)	3,915,629
RBC CAPITAL MAR TRI REPO	2.290% 12/20/2018 DD 12/19/18	(472,261)	472,261
CREDIT AGRICOLE TRI REPO	2.290% 12/20/2018 DD 12/19/18	(30,509,606)	30,509,606
BARCLAYS BANK PLC TRI REPO	2.300% 12/20/2018 DD 12/19/18	(22,505,088)	22,505,088
CITIGROUP GLOBAL TRI REPO	2.300% 12/20/2018 DD 12/19/18	(1,609,453)	1,609,453
MERRILL LYNCH TRI REPO	2.290% 12/20/2018 DD 12/19/18	(4,568,242)	4,568,242
DEUTSCHE BK FINL TRI REPO	2.300% 12/20/2018 DD 12/19/18	(22,632,520)	22,632,520
CITIBANK NY ST TRI REPO	2.300% 12/20/2018 DD 12/19/18	(4,485,343)	4,485,343
J P MORGAN CHASE TRI REPO	2.390% 12/21/2018 DD 12/20/18	(4,241,654)	4,241,654
BNP PARIBAS TRI REPO	2.390% 12/21/2018 DD 12/20/18	(459,400)	459,400
SOCIETE GENERALE TRI REPO	2.400% 12/21/2018 DD 12/20/18	(807,847)	807,847
MIZUHO REPO ATRI REPO	2.400% 12/21/2018 DD 12/20/18	(452,337)	452,337
CREDIT AGRICOLE TRI REPO	2.390% 12/21/2018 DD 12/20/18	(4,019,600)	4,019,600
BARCLAYS BANK PLC TRI REPO	2.380% 12/21/2018 DD 12/20/18	(3,275,998)	3,275,998
CITIGROUP GLOBAL TRI REPO	2.400% 12/21/2018 DD 12/20/18	(133,150)	133,150
CITIBANK NY ST TRI REPO	2.400% 12/21/2018 DD 12/20/18	(476,017)	476,017
MERRILL LYNCH TRI REPO	2.390% 12/21/2018 DD 12/20/18	(515,161)	515,161
DEUTSCHE BK FINL TRI REPO	2.410% 12/21/2018 DD 12/20/18	(3,255,879)	3,255,879
BNP PARIBAS TRI REPO	2.410% 12/26/2018 DD 12/24/18	(250,374)	250,374
SOCIETE GENERALE TRI REPO	2.400% 12/26/2018 DD 12/24/18	(691,248)	691,248
J P MORGAN CHASE TRI REPO	2.400% 12/26/2018 DD 12/24/18	(22,917,022)	22,917,022
BNP PARIBAS TRI REPO	2.390% 12/24/2018 DD 12/21/18	(654,030)	654,030
J P MORGAN CHASE TRI REPO	2.390% 12/24/2018 DD 12/21/18	(5,383,534)	5,383,534
SOCIETE GENERALE TRI REPO	2.380% 12/24/2018 DD 12/21/18	(1,217,417)	1,217,417
MIZUHO REPO ATRI REPO	2.390% 12/24/2018 DD 12/21/18	(665,277)	665,277
CREDIT AGRICOLE TRI REPO	2.390% 12/24/2018 DD 12/21/18	(5,831,500)	5,831,500
BARCLAYS BANK PLC TRI REPO	2.390% 12/24/2018 DD 12/21/18	(4,552,595)	4,552,595
CITIGROUP GLOBAL TRI REPO	2.390% 12/24/2018 DD 12/21/18	(218,249)	218,249
MERRILL LYNCH TRI REPO	2.380% 12/24/2018 DD 12/21/18	(761,480)	761,480
DEUTSCHE BK FINL TRI REPO	2.400% 12/24/2018 DD 12/21/18	(4,580,295)	4,580,295
CITIBANK NY ST TRI REPO	2.390% 12/24/2018 DD 12/21/18	(719,858)	719,858
J P MORGAN CHASE TRI REPO	2.430% 12/27/2018 DD 12/26/18	(15,806,006)	15,806,006
SOCIETE GENERALE TRI REPO	2.420% 12/27/2018 DD 12/26/18	(1,401,187)	1,401,187
CREDIT AGRICOLE TRI REPO	2.430% 12/27/2018 DD 12/26/18	(4,111,692)	4,111,692
BARCLAYS BANK PLC TRI REPO	2.430% 12/27/2018 DD 12/26/18	(6,381,643)	6,381,643
CITIGROUP GLOBAL TRI REPO	2.430% 12/27/2018 DD 12/26/18	(131,612)	131,612
CREDIT AGRICOLE TRI REPO	2.400% 12/26/2018 DD 12/24/18	(21,972,188)	21,972,188
MERRILL LYNCH TRI REPO	2.400% 12/26/2018 DD 12/24/18	(396,492)	396,492
BARCLAYS BANK PLC TRI REPO	2.400% 12/26/2018 DD 12/24/18	(9,538,080)	9,538,080
DEUTSCHE BK FINL TRI REPO	2.410% 12/26/2018 DD 12/24/18	(9,394,995)	9,394,995
CITIBANK NY ST TRI REPO	2.400% 12/26/2018 DD 12/24/18	(446,924)	446,924
BNP PARIBAS TRI REPO	2.420% 12/27/2018 DD 12/26/18	(709,894)	709,894
MERRILL LYNCH TRI REPO	2.420% 12/28/2018 DD 12/27/18	(503,909)	503,909
DEUTSCHE BK FINL TRI REPO	2.440% 12/28/2018 DD 12/27/18	(2,432,229)	2,432,229
CITIBANK NY ST TRI REPO	2.430% 12/28/2018 DD 12/27/18	(424,509)	424,509
MERRILL LYNCH TRI REPO	2.430% 12/27/2018 DD 12/26/18	(825,428)	825,428
DEUTSCHE BK FINL TRI REPO	2.440% 12/27/2018 DD 12/26/18	(6,571,698)	6,571,698

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
CITIBANK NY ST TRI REPO	2.430% 12/27/2018 DD 12/26/18	(922,248)	922,248
BNP PARIBAS TRI REPO	2.420% 12/28/2018 DD 12/27/18	(327,451)	327,451
J P MORGAN CHASE TRI REPO	2.430% 12/28/2018 DD 12/27/18	(6,015,205)	6,015,205
SOCIETE GENERALE TRI REPO	2.420% 12/28/2018 DD 12/27/18	(738,905)	738,905
CREDIT AGRICOLE TRI REPO	2.430% 12/28/2018 DD 12/27/18	(685,680)	685,680
BARCLAYS BANK PLC TRI REPO	2.430% 12/28/2018 DD 12/27/18	(2,408,916)	2,408,916
CITIBANK NY ST TRI REPO	2.450% 12/31/2018 DD 12/28/18	(408,150)	408,150
BNP PARIBAS TRI REPO	2.450% 12/31/2018 DD 12/28/18	(367,872)	367,872
J P MORGAN CHASE TRI REPO	2.450% 12/31/2018 DD 12/28/18	(20,165,224)	20,165,224
SOCIETE GENERALE TRI REPO	2.440% 12/31/2018 DD 12/28/18	(711,515)	711,515
CREDIT AGRICOLE TRI REPO	2.440% 12/31/2018 DD 12/28/18	(143,069)	143,069
BARCLAYS BANK PLC TRI REPO	2.450% 12/31/2018 DD 12/28/18	(7,119,690)	7,119,690
CITIGROUP GLOBAL TRI REPO	2.450% 12/31/2018 DD 12/28/18	(171,478)	171,478
MERRILL LYNCH TRI REPO	2.450% 12/31/2018 DD 12/28/18	(413,343)	413,343
DEUTSCHE BK FINL TRI REPO	2.460% 12/31/2018 DD 12/28/18	(9,172,779)	9,172,779
J P MORGAN CHASE TRI REPO	1.320% 01/03/2018 DD 01/02/18	(567,783)	567,783
BARCLAYS BANK PLC TRI REPO	1.340% 01/03/2018 DD 01/02/18	(180,895)	180,895
J P MORGAN CHASE TRI REPO	1.320% 01/04/2018 DD 01/03/18	(486,354)	486,354
BARCLAYS BANK PLC TRI REPO	1.300% 01/08/2018 DD 01/05/18	(115,295)	115,295
J P MORGAN CHASE TRI REPO	1.300% 01/08/2018 DD 01/05/18	(196,929)	196,929
BARCLAYS BANK PLC TRI REPO	1.270% 01/10/2018 DD 01/09/18	(117,078)	117,078
CITIBANK NY ST TRI REPO	1.370% 03/07/2018 DD 03/06/18	(116,146)	116,146
BARCLAYS BANK PLC TRI REPO	1.380% 03/07/2018 DD 03/06/18	(7,217)	7,217
SOCIETE GENERALE TRI REPO	1.390% 03/09/2018 DD 03/08/18	(2,188,738)	2,188,738
J P MORGAN CHASE TRI REPO	1.380% 03/09/2018 DD 03/08/18	(3,891,712)	3,891,712
MERRILL LYNCH TRI REPO	1.410% 03/09/2018 DD 03/08/18	(245,732)	245,732
CITIBANK NY ST TRI REPO	1.410% 03/09/2018 DD 03/08/18	(176,568)	176,568
MIZUHO REPO ATRI REPO	1.430% 03/09/2018 DD 03/08/18	(244,984)	244,984
BARCLAYS BANK PLC TRI REPO	1.410% 03/09/2018 DD 03/08/18	(1,468,683)	1,468,683
DEUTSCHE BK FINL TRI REPO	1.420% 03/09/2018 DD 03/08/18	(1,682,789)	1,682,789
BNP PARIBAS TRI REPO	1.410% 03/09/2018 DD 03/08/18	(206,848)	206,848
CREDIT AGRICOLE TRI REPO	1.400% 03/09/2018 DD 03/08/18	(2,861,561)	2,861,561
MERRILL LYNCH TRI REPO	1.400% 03/12/2018 DD 03/09/18	(177,573)	177,573
CITIBANK NY ST TRI REPO	1.400% 03/12/2018 DD 03/09/18	(137,532)	137,532
MIZUHO REPO ATRI REPO	1.410% 03/12/2018 DD 03/09/18	(165,514)	165,514
BARCLAYS BANK PLC TRI REPO	1.400% 03/12/2018 DD 03/09/18	(1,245,711)	1,245,711
DEUTSCHE BK FINL TRI REPO	1.410% 03/12/2018 DD 03/09/18	(818,379)	818,379
BNP PARIBAS TRI REPO	1.400% 03/12/2018 DD 03/09/18	(140,427)	140,427
CREDIT AGRICOLE TRI REPO	1.400% 03/12/2018 DD 03/09/18	(1,565,824)	1,565,824
SOCIETE GENERALE TRI REPO	1.390% 03/12/2018 DD 03/09/18	(1,213,258)	1,213,258
J P MORGAN CHASE TRI REPO	1.380% 03/12/2018 DD 03/09/18	(2,016,504)	2,016,504
DEUTSCHE BK FINL TRI REPO	1.410% 03/13/2018 DD 03/12/18	(1,109,308)	1,109,308
BNP PARIBAS TRI REPO	1.400% 03/13/2018 DD 03/12/18	(124,829)	124,829
CREDIT AGRICOLE TRI REPO	1.400% 03/13/2018 DD 03/12/18	(1,872,591)	1,872,591
SOCIETE GENERALE TRI REPO	1.380% 03/13/2018 DD 03/12/18	(539,086)	539,086
J P MORGAN CHASE TRI REPO	1.380% 03/13/2018 DD 03/12/18	(1,898,531)	1,898,531
MERRILL LYNCH TRI REPO	1.400% 03/13/2018 DD 03/12/18	(156,171)	156,171
CITIBANK NY ST TRI REPO	1.390% 03/13/2018 DD 03/12/18	(118,372)	118,372
MIZUHO REPO ATRI REPO	1.410% 03/13/2018 DD 03/12/18	(145,908)	145,908
BARCLAYS BANK PLC TRI REPO	1.400% 03/13/2018 DD 03/12/18	(2,103,720)	2,103,720
BNP PARIBAS TRI REPO	1.400% 03/15/2018 DD 03/14/18	(109,705)	109,705
MIZUHO REPO ATRI REPO	1.410% 03/14/2018 DD 03/13/18	(152,407)	152,407
BNP PARIBAS TRI REPO	1.400% 03/14/2018 DD 03/13/18	(171,928)	171,928
SOCIETE GENERALE TRI REPO	1.390% 03/14/2018 DD 03/13/18	(677,802)	677,802
J P MORGAN CHASE TRI REPO	1.380% 03/14/2018 DD 03/13/18	(2,583,337)	2,583,337
MERRILL LYNCH TRI REPO	1.400% 03/14/2018 DD 03/13/18	(192,399)	192,399

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
CITIBANK NY ST TRI REPO	1.390% 03/14/2018 DD 03/13/18	(163,040)	163,040
BARCLAYS BANK PLC TRI REPO	1.400% 03/14/2018 DD 03/13/18	(3,097,922)	3,097,922
DEUTSCHE BK FINL TRI REPO	1.410% 03/14/2018 DD 03/13/18	(1,955,594)	1,955,594
CREDIT AGRICOLE TRI REPO	1.390% 03/14/2018 DD 03/13/18	(2,624,622)	2,624,622
SOCIETE GENERALE TRI REPO	1.460% 03/16/2018 DD 03/15/18	(305,432)	305,432
J P MORGAN CHASE TRI REPO	1.480% 03/16/2018 DD 03/15/18	(2,499,450)	2,499,450
BANK OF MONTREAL TRI REPO	1.400% 03/15/2018 DD 03/14/18	(86,878)	86,878
SOCIETE GENERALE TRI REPO	1.390% 03/15/2018 DD 03/14/18	(436,371)	436,371
J P MORGAN CHASE TRI REPO	1.390% 03/15/2018 DD 03/14/18	(2,342,788)	2,342,788
CITIBANK NY ST TRI REPO	1.400% 03/15/2018 DD 03/14/18	(105,196)	105,196
BARCLAYS BANK PLC TRI REPO	1.410% 03/15/2018 DD 03/14/18	(2,105,863)	2,105,863
DEUTSCHE BK FINL TRI REPO	1.420% 03/15/2018 DD 03/14/18	(1,105,982)	1,105,982
CREDIT AGRICOLE TRI REPO	1.400% 03/15/2018 DD 03/14/18	(1,525,711)	1,525,711
MERRILL LYNCH TRI REPO	1.410% 03/15/2018 DD 03/14/18	(121,197)	121,197
J P MORGAN CHASE TRI REPO	1.450% 03/19/2018 DD 03/16/18	(528,576)	528,576
DEUTSCHE BK FINL TRI REPO	1.460% 03/19/2018 DD 03/16/18	(492,289)	492,289
BARCLAYS BANK PLC TRI REPO	1.470% 03/19/2018 DD 03/16/18	(208,656)	208,656
BARCLAYS BANK PLC TRI REPO	1.500% 03/16/2018 DD 03/15/18	(2,009,199)	2,009,199
BNP PARIBAS TRI REPO	1.480% 03/16/2018 DD 03/15/18	(106,643)	106,643
DEUTSCHE BK FINL TRI REPO	1.500% 03/16/2018 DD 03/15/18	(2,404,673)	2,404,673
CREDIT AGRICOLE TRI REPO	1.460% 03/16/2018 DD 03/15/18	(1,313,999)	1,313,999
BANK OF MONTREAL TRI REPO	1.470% 03/16/2018 DD 03/15/18	(152,075)	152,075
SOCIETE GENERALE TRI REPO	1.460% 03/19/2018 DD 03/16/18	(220,417)	220,417
J P MORGAN CHASE TRI REPO	1.440% 03/20/2018 DD 03/19/18	(324,345)	324,345
BARCLAYS BANK PLC TRI REPO	1.450% 03/20/2018 DD 03/19/18	(126,810)	126,810
DEUTSCHE BK FINL TRI REPO	1.450% 03/20/2018 DD 03/19/18	(299,961)	299,961
CREDIT AGRICOLE TRI REPO	1.450% 03/20/2018 DD 03/19/18	(239,345)	239,345
BANK OF MONTREAL TRI REPO	1.430% 03/19/2018 DD 03/16/18	(185,985)	185,985
CREDIT AGRICOLE TRI REPO	1.430% 03/19/2018 DD 03/16/18	(205,507)	205,507
SOCIETE GENERALE TRI REPO	1.440% 03/20/2018 DD 03/19/18	(136,938)	136,938
DEUTSCHE BK FINL TRI REPO	1.470% 03/21/2018 DD 03/20/18	(200,854)	200,854
CREDIT AGRICOLE TRI REPO	1.450% 03/21/2018 DD 03/20/18	(125,062)	125,062
J P MORGAN CHASE TRI REPO	1.450% 03/21/2018 DD 03/20/18	(212,236)	212,236
BARCLAYS BANK PLC TRI REPO	1.470% 03/21/2018 DD 03/20/18	(221,373)	221,373
SOCIETE GENERALE TRI REPO	1.470% 03/22/2018 DD 03/21/18	(119,261)	119,261
J P MORGAN CHASE TRI REPO	1.450% 03/22/2018 DD 03/21/18	(275,220)	275,220
CITIBANK NY ST TRI REPO	1.470% 03/22/2018 DD 03/21/18	(46,338)	46,338
BARCLAYS BANK PLC TRI REPO	1.450% 03/22/2018 DD 03/21/18	(111,674)	111,674
DEUTSCHE BK FINL TRI REPO	1.470% 03/22/2018 DD 03/21/18	(262,625)	262,625
CREDIT AGRICOLE TRI REPO	1.460% 03/22/2018 DD 03/21/18	(161,661)	161,661
J P MORGAN CHASE TRI REPO	1.270% 01/16/2018 DD 01/12/18	(228,451)	228,451
BARCLAYS BANK PLC TRI REPO	1.300% 01/17/2018 DD 01/16/18	(143,557)	143,557
SOCIETE GENERALE TRI REPO	1.300% 01/17/2018 DD 01/16/18	(134,378)	134,378
J P MORGAN CHASE TRI REPO	1.280% 01/17/2018 DD 01/16/18	(457,628)	457,628
BARCLAYS BANK PLC TRI REPO	1.330% 01/17/2018 DD 01/16/18	(145,221)	145,221
CITIBANK NY ST TRI REPO	1.290% 01/16/2018 DD 01/12/18	(143,675)	143,675
DEUTSCHE BK FINL TRI REPO	1.300% 01/16/2018 DD 01/12/18	(106,257)	106,257
DEUTSCHE BK FINL TRI REPO	1.310% 01/17/2018 DD 01/16/18	(227,523)	227,523
CITIBANK NY ST TRI REPO	1.300% 01/18/2018 DD 01/17/18	(162,334)	162,334
CREDIT AGRICOLE TRI REPO	1.280% 01/23/2018 DD 01/22/18	(82,793)	82,793
J P MORGAN CHASE TRI REPO	1.260% 01/23/2018 DD 01/22/18	(144,803)	144,803
BARCLAYS BANK PLC TRI REPO	1.280% 01/23/2018 DD 01/22/18	(155,505)	155,505
BNP PARIBAS TRI REPO	1.300% 01/25/2018 DD 01/24/18	(65,794)	65,794
CREDIT AGRICOLE TRI REPO	1.300% 01/25/2018 DD 01/24/18	(123,510)	123,510
SOCIETE GENERALE TRI REPO	1.300% 01/25/2018 DD 01/24/18	(398,085)	398,085
J P MORGAN CHASE TRI REPO	1.280% 01/25/2018 DD 01/24/18	(1,300,026)	1,300,026

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
DEUTSCHE BK FINL TRI REPO	1.330% 01/25/2018 DD 01/24/18	(374,986)	374,986
BARCLAYS BANK PLC TRI REPO	1.300% 01/25/2018 DD 01/24/18	(250,409)	250,409
J P MORGAN CHASE TRI REPO	1.280% 01/29/2018 DD 01/26/18	(156,887)	156,887
CITIBANK NY ST TRI REPO	1.290% 01/29/2018 DD 01/26/18	(117,123)	117,123
DEUTSCHE BK FINL TRI REPO	1.320% 01/29/2018 DD 01/26/18	(122,335)	122,335
CREDIT AGRICOLE TRI REPO	1.290% 01/31/2018 DD 01/30/18	(511,608)	511,608
SOCIETE GENERALE TRI REPO	1.280% 01/31/2018 DD 01/30/18	(474,927)	474,927
BARCLAYS BANK PLC TRI REPO	1.290% 01/31/2018 DD 01/30/18	(430,622)	430,622
J P MORGAN CHASE TRI REPO	1.280% 01/31/2018 DD 01/30/18	(687,655)	687,655
SOCIETE GENERALE TRI REPO	1.300% 02/02/2018 DD 02/01/18	(354,586)	354,586
J P MORGAN CHASE TRI REPO	1.280% 02/02/2018 DD 02/01/18	(598,920)	598,920
BARCLAYS BANK PLC TRI REPO	1.290% 02/02/2018 DD 02/01/18	(150,183)	150,183
DEUTSCHE BK FINL TRI REPO	1.290% 02/02/2018 DD 02/01/18	(147,668)	147,668
BARCLAYS BANK PLC TRI REPO	1.350% 02/01/2018 DD 01/31/18	(573,038)	573,038
J P MORGAN CHASE TRI REPO	1.300% 02/01/2018 DD 01/31/18	(1,137,384)	1,137,384
CREDIT AGRICOLE TRI REPO	1.320% 02/01/2018 DD 01/31/18	(452,303)	452,303
DEUTSCHE BK FINL TRI REPO	1.310% 02/01/2018 DD 01/31/18	(365,725)	365,725
SOCIETE GENERALE TRI REPO	1.320% 02/01/2018 DD 01/31/18	(799,467)	799,467
CREDIT AGRICOLE TRI REPO	1.300% 02/02/2018 DD 02/01/18	(135,534)	135,534
SOCIETE GENERALE TRI REPO	1.290% 02/06/2018 DD 02/05/18	(265,261)	265,261
J P MORGAN CHASE TRI REPO	1.280% 02/06/2018 DD 02/05/18	(1,466,802)	1,466,802
BARCLAYS BANK PLC TRI REPO	1.290% 02/06/2018 DD 02/05/18	(541,404)	541,404
SOCIETE GENERALE TRI REPO	1.260% 02/08/2018 DD 02/07/18	(308,506)	308,506
J P MORGAN CHASE TRI REPO	1.260% 02/07/2018 DD 02/06/18	(390,063)	390,063
BARCLAYS BANK PLC TRI REPO	1.270% 02/07/2018 DD 02/06/18	(189,482)	189,482
DEUTSCHE BK FINL TRI REPO	1.270% 02/07/2018 DD 02/06/18	(102,832)	102,832
J P MORGAN CHASE TRI REPO	1.280% 02/09/2018 DD 02/08/18	(237,645)	237,645
CITIBANK NY ST TRI REPO	1.290% 02/09/2018 DD 02/08/18	(185,568)	185,568
BARCLAYS BANK PLC TRI REPO	1.300% 02/09/2018 DD 02/08/18	(117,172)	117,172
J P MORGAN CHASE TRI REPO	1.260% 02/08/2018 DD 02/07/18	(878,264)	878,264
CITIBANK NY ST TRI REPO	1.270% 02/08/2018 DD 02/07/18	(117,206)	117,206
MIZUHO REPO ATRI REPO	1.280% 02/08/2018 DD 02/07/18	(102,670)	102,670
BARCLAYS BANK PLC TRI REPO	1.270% 02/08/2018 DD 02/07/18	(394,959)	394,959
DEUTSCHE BK FINL TRI REPO	1.270% 02/08/2018 DD 02/07/18	(148,726)	148,726
BARCLAYS BANK PLC TRI REPO	1.300% 02/12/2018 DD 02/09/18	(353,849)	353,849
DEUTSCHE BK FINL TRI REPO	1.300% 02/12/2018 DD 02/09/18	(218,917)	218,917
CREDIT AGRICOLE TRI REPO	1.290% 02/12/2018 DD 02/09/18	(147,882)	147,882
SOCIETE GENERALE TRI REPO	1.290% 02/12/2018 DD 02/09/18	(399,801)	399,801
J P MORGAN CHASE TRI REPO	1.280% 02/12/2018 DD 02/09/18	(908,240)	908,240
MERRILL LYNCH TRI REPO	1.290% 02/12/2018 DD 02/09/18	(158,907)	158,907
CITIBANK NY ST TRI REPO	1.290% 02/12/2018 DD 02/09/18	(194,004)	194,004
SOCIETE GENERALE TRI REPO	1.300% 02/14/2018 DD 02/13/18	(146,615)	146,615
J P MORGAN CHASE TRI REPO	1.280% 02/14/2018 DD 02/13/18	(385,850)	385,850
CITIBANK NY ST TRI REPO	1.270% 02/15/2018 DD 02/14/18	(133,233)	133,233
BARCLAYS BANK PLC TRI REPO	1.280% 02/15/2018 DD 02/14/18	(145,100)	145,100
DEUTSCHE BK FINL TRI REPO	1.260% 02/15/2018 DD 02/14/18	(237,275)	237,275
CITIBANK NY ST TRI REPO	1.290% 02/14/2018 DD 02/13/18	(120,771)	120,771
DEUTSCHE BK FINL TRI REPO	1.310% 02/14/2018 DD 02/13/18	(163,496)	163,496
BARCLAYS BANK PLC TRI REPO	1.300% 02/14/2018 DD 02/13/18	(126,608)	126,608
SOCIETE GENERALE TRI REPO	1.280% 02/15/2018 DD 02/14/18	(170,729)	170,729
J P MORGAN CHASE TRI REPO	1.270% 02/15/2018 DD 02/14/18	(414,222)	414,222
CREDIT AGRICOLE TRI REPO	1.310% 02/16/2018 DD 02/15/18	(95,075)	95,075
J P MORGAN CHASE TRI REPO	1.320% 02/16/2018 DD 02/15/18	(133,321)	133,321
BARCLAYS BANK PLC TRI REPO	1.350% 02/16/2018 DD 02/15/18	(140,200)	140,200
J P MORGAN CHASE TRI REPO	1.310% 02/21/2018 DD 02/20/18	(132,272)	132,272
CITIBANK NY ST TRI REPO	1.320% 02/21/2018 DD 02/20/18	(127,165)	127,165

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
BARCLAYS BANK PLC TRI REPO	1.320% 02/21/2018 DD 02/20/18	(125,550)	125,550
CITIBANK NY ST TRI REPO	1.330% 02/20/2018 DD 02/16/18	(156,578)	156,578
BARCLAYS BANK PLC TRI REPO	1.300% 02/22/2018 DD 02/21/18	(132,680)	132,680
MERRILL LYNCH TRI REPO	1.310% 02/22/2018 DD 02/21/18	(118,385)	118,385
J P MORGAN CHASE TRI REPO	1.310% 02/26/2018 DD 02/23/18	(623,759)	623,759
SOCIETE GENERALE TRI REPO	1.310% 02/26/2018 DD 02/23/18	(232,466)	232,466
CITIBANK NY ST TRI REPO	1.330% 02/26/2018 DD 02/23/18	(113,379)	113,379
BARCLAYS BANK PLC TRI REPO	1.330% 02/26/2018 DD 02/23/18	(181,720)	181,720
DEUTSCHE BK FINL TRI REPO	1.350% 02/26/2018 DD 02/23/18	(193,115)	193,115
CREDIT AGRICOLE TRI REPO	1.340% 02/26/2018 DD 02/23/18	(270,842)	270,842
BARCLAYS BANK PLC TRI REPO	1.320% 02/27/2018 DD 02/26/18	(126,551)	126,551
SOCIETE GENERALE TRI REPO	1.310% 02/27/2018 DD 02/26/18	(123,371)	123,371
J P MORGAN CHASE TRI REPO	1.310% 02/27/2018 DD 02/26/18	(357,417)	357,417
CREDIT AGRICOLE TRI REPO	1.320% 02/28/2018 DD 02/27/18	(127,549)	127,549
CREDIT AGRICOLE TRI REPO	1.320% 02/27/2018 DD 02/26/18	(235,781)	235,781
J P MORGAN CHASE TRI REPO	1.320% 02/28/2018 DD 02/27/18	(205,993)	205,993
BARCLAYS BANK PLC TRI REPO	1.330% 02/28/2018 DD 02/27/18	(142,364)	142,364
CREDIT AGRICOLE TRI REPO	1.680% 05/10/2018 DD 05/09/18	(123,596)	123,596
SOCIETE GENERALE TRI REPO	1.670% 05/10/2018 DD 05/09/18	(942,432)	942,432
SOCIETE GENERALE TRI REPO	1.670% 05/09/2018 DD 05/08/18	(962,635)	962,635
MERRILL LYNCH TRI REPO	1.680% 05/09/2018 DD 05/08/18	(365,035)	365,035
CITIBANK NY ST TRI REPO	1.680% 05/09/2018 DD 05/08/18	(159,258)	159,258
BARCLAYS BANK PLC TRI REPO	1.680% 05/09/2018 DD 05/08/18	(369,385)	369,385
DEUTSCHE BK FINL TRI REPO	1.680% 05/09/2018 DD 05/08/18	(295,336)	295,336
CREDIT AGRICOLE TRI REPO	1.670% 05/09/2018 DD 05/08/18	(665,181)	665,181
RBS SECURITIES TRI REPO	1.670% 05/09/2018 DD 05/08/18	(4,651,834)	4,651,834
MIZUHO REPO ATRI REPO	1.690% 05/09/2018 DD 05/08/18	(360,289)	360,289
BNP PARIBAS TRI REPO	1.670% 05/09/2018 DD 05/08/18	(306,998)	306,998
SOCIETE GENERALE TRI REPO	1.680% 05/11/2018 DD 05/10/18	(427,712)	427,712
MERRILL LYNCH TRI REPO	1.690% 05/11/2018 DD 05/10/18	(148,207)	148,207
CITIBANK NY ST TRI REPO	1.690% 05/11/2018 DD 05/10/18	(192,044)	192,044
MIZUHO REPO ATRI REPO	1.690% 05/11/2018 DD 05/10/18	(147,169)	147,169
MERRILL LYNCH TRI REPO	1.680% 05/10/2018 DD 05/09/18	(363,777)	363,777
CITIBANK NY ST TRI REPO	1.680% 05/10/2018 DD 05/09/18	(202,801)	202,801
MIZUHO REPO ATRI REPO	1.690% 05/10/2018 DD 05/09/18	(361,211)	361,211
BARCLAYS BANK PLC TRI REPO	1.680% 05/10/2018 DD 05/09/18	(323,859)	323,859
DEUTSCHE BK FINL TRI REPO	1.680% 05/10/2018 DD 05/09/18	(283,959)	283,959
BNP PARIBAS TRI REPO	1.680% 05/10/2018 DD 05/09/18	(289,820)	289,820
CREDIT AGRICOLE TRI REPO	1.670% 05/10/2018 DD 05/09/18	(522,663)	522,663
RBS SECURITIES TRI REPO	1.670% 05/10/2018 DD 05/09/18	(4,379,627)	4,379,627
CITIBANK NY ST TRI REPO	1.700% 05/14/2018 DD 05/11/18	(125,726)	125,726
BARCLAYS BANK PLC TRI REPO	1.690% 05/14/2018 DD 05/11/18	(161,400)	161,400
DEUTSCHE BK FINL TRI REPO	1.700% 05/14/2018 DD 05/11/18	(127,229)	127,229
CREDIT AGRICOLE TRI REPO	1.690% 05/14/2018 DD 05/11/18	(200,464)	200,464
RBS SECURITIES TRI REPO	1.690% 05/14/2018 DD 05/11/18	(1,367,529)	1,367,529
MIZUHO REPO ATRI REPO	1.700% 05/14/2018 DD 05/11/18	(110,659)	110,659
BARCLAYS BANK PLC TRI REPO	1.690% 05/11/2018 DD 05/10/18	(308,854)	308,854
DEUTSCHE BK FINL TRI REPO	1.690% 05/11/2018 DD 05/10/18	(182,243)	182,243
CREDIT AGRICOLE TRI REPO	1.690% 05/11/2018 DD 05/10/18	(369,922)	369,922
RBS SECURITIES TRI REPO	1.680% 05/11/2018 DD 05/10/18	(2,251,498)	2,251,498
SOCIETE GENERALE TRI REPO	1.690% 05/14/2018 DD 05/11/18	(243,262)	243,262
SOCIETE GENERALE TRI REPO	1.690% 05/15/2018 DD 05/14/18	(114,291)	114,291
CITIBANK NY ST TRI REPO	1.700% 05/15/2018 DD 05/14/18	(103,427)	103,427
BARCLAYS BANK PLC TRI REPO	1.700% 05/15/2018 DD 05/14/18	(130,808)	130,808
DEUTSCHE BK FINL TRI REPO	1.700% 05/15/2018 DD 05/14/18	(101,800)	101,800
RBS SECURITIES TRI REPO	1.690% 05/15/2018 DD 05/14/18	(683,324)	683,324

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
SOCIETE GENERALE TRI REPO	1.740% 05/16/2018 DD 05/15/18	(201,773)	201,773
CITIBANK NY ST TRI REPO	1.730% 05/16/2018 DD 05/15/18	(149,677)	149,677
BARCLAYS BANK PLC TRI REPO	1.730% 05/16/2018 DD 05/15/18	(110,884)	110,884
DEUTSCHE BK FINL TRI REPO	1.740% 05/16/2018 DD 05/15/18	(114,528)	114,528
CREDIT AGRICOLE TRI REPO	1.730% 05/16/2018 DD 05/15/18	(200,235)	200,235
RBS SECURITIES TRI REPO	1.730% 05/16/2018 DD 05/15/18	(1,219,945)	1,219,945
RBS SECURITIES TRI REPO	1.700% 05/18/2018 DD 05/17/18	(596,227)	596,227
CREDIT AGRICOLE TRI REPO	1.650% 05/18/2018 DD 05/17/18	(181,166)	181,166
SOCIETE GENERALE TRI REPO	1.700% 05/18/2018 DD 05/17/18	(124,563)	124,563
CITIBANK NY ST TRI REPO	1.710% 05/18/2018 DD 05/17/18	(135,502)	135,502
CREDIT AGRICOLE TRI REPO	1.700% 05/18/2018 DD 05/17/18	(191,165)	191,165
SOCIETE GENERALE TRI REPO	1.660% 05/22/2018 DD 05/21/18	(229,217)	229,217
MERRILL LYNCH TRI REPO	1.660% 05/22/2018 DD 05/21/18	(52,972)	52,972
CITIBANK NY ST TRI REPO	1.660% 05/22/2018 DD 05/21/18	(130,618)	130,618
SOCIETE GENERALE TRI REPO	1.690% 05/21/2018 DD 05/18/18	(132,677)	132,677
CITIBANK NY ST TRI REPO	1.690% 05/21/2018 DD 05/18/18	(210,769)	210,769
BARCLAYS BANK PLC TRI REPO	1.690% 05/21/2018 DD 05/18/18	(148,567)	148,567
CREDIT AGRICOLE TRI REPO	1.680% 05/21/2018 DD 05/18/18	(206,771)	206,771
RBS SECURITIES TRI REPO	1.680% 05/21/2018 DD 05/18/18	(700,899)	700,899
BARCLAYS BANK PLC TRI REPO	1.650% 05/22/2018 DD 05/21/18	(352,187)	352,187
DEUTSCHE BK FINL TRI REPO	1.650% 05/22/2018 DD 05/21/18	(165,752)	165,752
BNP PARIBAS TRI REPO	1.650% 05/22/2018 DD 05/21/18	(124,560)	124,560
CREDIT AGRICOLE TRI REPO	1.650% 05/22/2018 DD 05/21/18	(425,114)	425,114
RBS SECURITIES TRI REPO	1.650% 05/22/2018 DD 05/21/18	(1,379,183)	1,379,183
SOCIETE GENERALE TRI REPO	1.630% 05/24/2018 DD 05/23/18	(607,775)	607,775
MERRILL LYNCH TRI REPO	1.630% 05/24/2018 DD 05/23/18	(194,192)	194,192
MIZUHO REPO ATRI REPO	1.630% 05/24/2018 DD 05/23/18	(182,274)	182,274
CITIBANK NY ST TRI REPO	1.630% 05/24/2018 DD 05/23/18	(174,315)	174,315
BARCLAYS BANK PLC TRI REPO	1.630% 05/24/2018 DD 05/23/18	(982,717)	982,717
DEUTSCHE BK FINL TRI REPO	1.620% 05/24/2018 DD 05/23/18	(505,890)	505,890
BNP PARIBAS TRI REPO	1.630% 05/24/2018 DD 05/23/18	(171,196)	171,196
CREDIT AGRICOLE TRI REPO	1.630% 05/24/2018 DD 05/23/18	(1,158,743)	1,158,743
RBS SECURITIES TRI REPO	1.620% 05/24/2018 DD 05/23/18	(3,439,919)	3,439,919
SOCIETE GENERALE TRI REPO	1.680% 05/29/2018 DD 05/25/18	(450,008)	450,008
CITIBANK NY ST TRI REPO	1.700% 05/29/2018 DD 05/25/18	(164,749)	164,749
MIZUHO REPO ATRI REPO	1.700% 05/29/2018 DD 05/25/18	(106,850)	106,850
SOCIETE GENERALE TRI REPO	1.700% 05/25/2018 DD 05/24/18	(403,783)	403,783
CITIBANK NY ST TRI REPO	1.710% 05/25/2018 DD 05/24/18	(181,501)	181,501
MIZUHO REPO ATRI REPO	1.720% 05/25/2018 DD 05/24/18	(108,588)	108,588
BARCLAYS BANK PLC TRI REPO	1.710% 05/25/2018 DD 05/24/18	(1,080,368)	1,080,368
DEUTSCHE BK FINL TRI REPO	1.710% 05/25/2018 DD 05/24/18	(452,482)	452,482
RBS SECURITIES TRI REPO	1.700% 05/25/2018 DD 05/24/18	(9,767,844)	9,767,844
CREDIT AGRICOLE TRI REPO	1.700% 05/25/2018 DD 05/24/18	(986,488)	986,488
SOCIETE GENERALE TRI REPO	1.660% 03/26/2018 DD 03/23/18	(134,370)	134,370
J P MORGAN CHASE TRI REPO	1.670% 03/26/2018 DD 03/23/18	(163,947)	163,947
SOCIETE GENERALE TRI REPO	1.630% 03/23/2018 DD 03/22/18	(325,129)	325,129
J P MORGAN CHASE TRI REPO	1.620% 03/23/2018 DD 03/22/18	(750,732)	750,732
BARCLAYS BANK PLC TRI REPO	1.630% 03/23/2018 DD 03/22/18	(318,810)	318,810
DEUTSCHE BK FINL TRI REPO	1.650% 03/23/2018 DD 03/22/18	(699,876)	699,876
RBS SECURITIES TRI REPO	1.620% 03/23/2018 DD 03/22/18	(152,940)	152,940
CREDIT AGRICOLE TRI REPO	1.620% 03/23/2018 DD 03/22/18	(431,341)	431,341
RBS SECURITIES TRI REPO	1.670% 03/26/2018 DD 03/23/18	(363,923)	363,923
RBS SECURITIES TRI REPO	1.680% 03/27/2018 DD 03/26/18	(292,063)	292,063
J P MORGAN CHASE TRI REPO	1.680% 03/28/2018 DD 03/27/18	(165,725)	165,725
RBS SECURITIES TRI REPO	1.680% 03/28/2018 DD 03/27/18	(901,622)	901,622
MERRILL LYNCH TRI REPO	1.700% 03/28/2018 DD 03/27/18	(113,846)	113,846

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
RBS SECURITIES TRI REPO	1.750% 04/02/2018 DD 03/29/18	(3,102,815)	3,102,815
J P MORGAN CHASE TRI REPO	1.680% 03/29/2018 DD 03/28/18	(314,211)	314,211
BANK OF MONTREAL TRI REPO	1.670% 03/29/2018 DD 03/28/18	(116,362)	116,362
RBS SECURITIES TRI REPO	1.680% 03/29/2018 DD 03/28/18	(4,914,301)	4,914,301
RBS SECURITIES TRI REPO	1.750% 04/03/2018 DD 04/02/18	(643,199)	643,199
RBS SECURITIES TRI REPO	1.680% 04/05/2018 DD 04/04/18	(195,930)	195,930
RBS SECURITIES TRI REPO	1.800% 04/04/2018 DD 04/03/18	(589,842)	589,842
BARCLAYS BANK PLC TRI REPO	1.700% 04/06/2018 DD 04/05/18	(48,569)	48,569
RBS SECURITIES TRI REPO	1.680% 04/06/2018 DD 04/05/18	(240,577)	240,577
SOCIETE GENERALE TRI REPO	1.700% 04/12/2018 DD 04/11/18	(140,280)	140,280
SOCIETE GENERALE TRI REPO	1.700% 04/11/2018 DD 04/10/18	(100,084)	100,084
RBS SECURITIES TRI REPO	1.690% 04/11/2018 DD 04/10/18	(214,578)	214,578
BARCLAYS BANK PLC TRI REPO	1.670% 04/13/2018 DD 04/12/18	(107,570)	107,570
RBS SECURITIES TRI REPO	1.690% 04/12/2018 DD 04/11/18	(279,506)	279,506
RBS SECURITIES TRI REPO	1.660% 04/16/2018 DD 04/13/18	(229,756)	229,756
CREDIT AGRICOLE TRI REPO	1.670% 04/13/2018 DD 04/12/18	(114,460)	114,460
BANK OF MONTREAL TRI REPO	1.650% 04/13/2018 DD 04/12/18	(165,295)	165,295
RBS SECURITIES TRI REPO	1.660% 04/13/2018 DD 04/12/18	(541,815)	541,815
BANK OF MONTREAL TRI REPO	1.650% 04/16/2018 DD 04/13/18	(168,992)	168,992
CREDIT AGRICOLE TRI REPO	1.700% 04/17/2018 DD 04/16/18	(121,320)	121,320
BANK OF MONTREAL TRI REPO	1.690% 04/17/2018 DD 04/16/18	(140,095)	140,095
RBS SECURITIES TRI REPO	1.690% 04/17/2018 DD 04/16/18	(552,276)	552,276
BARCLAYS BANK PLC TRI REPO	1.700% 04/17/2018 DD 04/16/18	(122,449)	122,449
CITIBANK NY ST TRI REPO	1.700% 04/18/2018 DD 04/17/18	(120,984)	120,984
RBS SECURITIES TRI REPO	1.690% 04/18/2018 DD 04/17/18	(135,820)	135,820
CREDIT AGRICOLE TRI REPO	1.700% 04/18/2018 DD 04/17/18	(6,644)	6,644
SOCIETE GENERALE TRI REPO	1.670% 04/20/2018 DD 04/19/18	(274,506)	274,506
CREDIT AGRICOLE TRI REPO	1.680% 04/19/2018 DD 04/18/18	(74,017)	74,017
CITIBANK NY ST TRI REPO	1.670% 04/23/2018 DD 04/20/18	(165,751)	165,751
CITIBANK NY ST TRI REPO	1.670% 04/20/2018 DD 04/19/18	(167,497)	167,497
BARCLAYS BANK PLC TRI REPO	1.660% 04/20/2018 DD 04/19/18	(354,190)	354,190
DEUTSCHE BK FINL TRI REPO	1.660% 04/20/2018 DD 04/19/18	(202,849)	202,849
CREDIT AGRICOLE TRI REPO	1.650% 04/20/2018 DD 04/19/18	(582,227)	582,227
RBS SECURITIES TRI REPO	1.660% 04/20/2018 DD 04/19/18	(1,903,297)	1,903,297
BNP PARIBAS TRI REPO	1.670% 04/20/2018 DD 04/19/18	(148,024)	148,024
CREDIT AGRICOLE TRI REPO	1.660% 04/24/2018 DD 04/23/18	(15,123)	15,123
BANK OF MONTREAL TRI REPO	1.650% 04/24/2018 DD 04/23/18	(142,614)	142,614
RBS SECURITIES TRI REPO	1.660% 04/23/2018 DD 04/20/18	(252,864)	252,864
SOCIETE GENERALE TRI REPO	1.670% 04/26/2018 DD 04/25/18	(894,974)	894,974
MERRILL LYNCH TRI REPO	1.660% 04/26/2018 DD 04/25/18	(329,413)	329,413
CITIBANK NY ST TRI REPO	1.670% 04/26/2018 DD 04/25/18	(290,229)	290,229
BARCLAYS BANK PLC TRI REPO	1.680% 04/25/2018 DD 04/24/18	(1)	1
MERRILL LYNCH TRI REPO	1.670% 04/27/2018 DD 04/26/18	(240,881)	240,881
CITIBANK NY ST TRI REPO	1.670% 04/27/2018 DD 04/26/18	(199,467)	199,467
MIZUHO REPO ATRI REPO	1.670% 04/27/2018 DD 04/26/18	(226,512)	226,512
BARCLAYS BANK PLC TRI REPO	1.670% 04/27/2018 DD 04/26/18	(332,110)	332,110
DEUTSCHE BK FINL TRI REPO	1.680% 04/27/2018 DD 04/26/18	(150,960)	150,960
BNP PARIBAS TRI REPO	1.670% 04/27/2018 DD 04/26/18	(173,424)	173,424
MIZUHO REPO ATRI REPO	1.670% 04/26/2018 DD 04/25/18	(315,473)	315,473
DEUTSCHE BK FINL TRI REPO	1.670% 04/26/2018 DD 04/25/18	(221,347)	221,347
BARCLAYS BANK PLC TRI REPO	1.670% 04/26/2018 DD 04/25/18	(443,152)	443,152
BNP PARIBAS TRI REPO	1.660% 04/26/2018 DD 04/25/18	(255,489)	255,489
CREDIT AGRICOLE TRI REPO	1.660% 04/26/2018 DD 04/25/18	(718,882)	718,882
CREDIT AGRICOLE TRI REPO	1.670% 04/26/2018 DD 04/25/18	(753,059)	753,059
RBS SECURITIES TRI REPO	1.660% 04/26/2018 DD 04/25/18	(9,024,525)	9,024,525
SOCIETE GENERALE TRI REPO	1.670% 04/27/2018 DD 04/26/18	(633,734)	633,734

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
DEUTSCHE BK FINL TRI REPO	1.680% 04/30/2018 DD 04/27/18	(110,694)	110,694
BNP PARIBAS TRI REPO	1.680% 04/30/2018 DD 04/27/18	(158,572)	158,572
CREDIT AGRICOLE TRI REPO	1.670% 04/30/2018 DD 04/27/18	(400,896)	400,896
RBS SECURITIES TRI REPO	1.670% 04/30/2018 DD 04/27/18	(5,848,225)	5,848,225
CREDIT AGRICOLE TRI REPO	1.670% 04/27/2018 DD 04/26/18	(633,095)	633,095
RBS SECURITIES TRI REPO	1.670% 04/27/2018 DD 04/26/18	(6,888,035)	6,888,035
SOCIETE GENERALE TRI REPO	1.670% 04/30/2018 DD 04/27/18	(517,301)	517,301
MERRILL LYNCH TRI REPO	1.670% 04/30/2018 DD 04/27/18	(184,175)	184,175
CITIBANK NY ST TRI REPO	1.670% 04/30/2018 DD 04/27/18	(136,913)	136,913
MIZUHO REPO ATRI REPO	1.670% 04/30/2018 DD 04/27/18	(176,106)	176,106
BARCLAYS BANK PLC TRI REPO	1.680% 04/30/2018 DD 04/27/18	(248,760)	248,760
RBS SECURITIES TRI REPO	1.700% 05/01/2018 DD 04/30/18	(4,806,598)	4,806,598
CREDIT AGRICOLE TRI REPO	1.690% 05/01/2018 DD 04/30/18	(119,397)	119,397
SOCIETE GENERALE TRI REPO	1.720% 05/01/2018 DD 04/30/18	(526,972)	526,972
CITIBANK NY ST TRI REPO	1.700% 05/01/2018 DD 04/30/18	(201,773)	201,773
MERRILL LYNCH TRI REPO	1.710% 05/01/2018 DD 04/30/18	(202,227)	202,227
MIZUHO REPO ATRI REPO	1.730% 05/01/2018 DD 04/30/18	(187,631)	187,631
BARCLAYS BANK PLC TRI REPO	1.710% 05/01/2018 DD 04/30/18	(266,918)	266,918
BNP PARIBAS TRI REPO	1.710% 05/01/2018 DD 04/30/18	(149,713)	149,713
CREDIT AGRICOLE TRI REPO	1.700% 05/01/2018 DD 04/30/18	(530,248)	530,248
SOCIETE GENERALE TRI REPO	1.690% 05/03/2018 DD 05/02/18	(919,435)	919,435
SOCIETE GENERALE TRI REPO	1.700% 05/02/2018 DD 05/01/18	(895,131)	895,131
CITIGROUP GLOBAL TRI REPO	1.700% 05/02/2018 DD 05/01/18	(135,738)	135,738
MERRILL LYNCH TRI REPO	1.700% 05/02/2018 DD 05/01/18	(384,448)	384,448
CITIBANK NY ST TRI REPO	1.700% 05/02/2018 DD 05/01/18	(417,088)	417,088
MIZUHO REPO ATRI REPO	1.710% 05/02/2018 DD 05/01/18	(369,810)	369,810
BARCLAYS BANK PLC TRI REPO	1.700% 05/02/2018 DD 05/01/18	(101,944)	101,944
BNP PARIBAS TRI REPO	1.700% 05/02/2018 DD 05/01/18	(324,528)	324,528
CREDIT AGRICOLE TRI REPO	1.690% 05/02/2018 DD 05/01/18	(558,206)	558,206
BANK OF MONTREAL TRI REPO	1.690% 05/02/2018 DD 05/01/18	(141,766)	141,766
RBS SECURITIES TRI REPO	1.690% 05/02/2018 DD 05/01/18	(7,056,789)	7,056,789
SOCIETE GENERALE TRI REPO	1.690% 05/04/2018 DD 05/03/18	(562,277)	562,277
MERRILL LYNCH TRI REPO	1.690% 05/04/2018 DD 05/03/18	(265,383)	265,383
CITIBANK NY ST TRI REPO	1.690% 05/04/2018 DD 05/03/18	(294,948)	294,948
BARCLAYS BANK PLC TRI REPO	1.700% 05/04/2018 DD 05/03/18	(241,364)	241,364
CREDIT AGRICOLE TRI REPO	1.690% 05/04/2018 DD 05/03/18	(282,251)	282,251
MERRILL LYNCH TRI REPO	1.690% 05/03/2018 DD 05/02/18	(452,520)	452,520
CITIBANK NY ST TRI REPO	1.690% 05/03/2018 DD 05/02/18	(314,800)	314,800
BARCLAYS BANK PLC TRI REPO	1.700% 05/03/2018 DD 05/02/18	(458,454)	458,454
CREDIT AGRICOLE TRI REPO	1.690% 05/03/2018 DD 05/02/18	(613,309)	613,309
BANK OF MONTREAL TRI REPO	1.690% 05/03/2018 DD 05/02/18	(115,121)	115,121
RBS SECURITIES TRI REPO	1.690% 05/03/2018 DD 05/02/18	(6,579,716)	6,579,716
MIZUHO REPO ATRI REPO	1.710% 05/03/2018 DD 05/02/18	(399,739)	399,739
BNP PARIBAS TRI REPO	1.690% 05/03/2018 DD 05/02/18	(331,946)	331,946
RBS SECURITIES TRI REPO	1.680% 05/07/2018 DD 05/04/18	(1,532,004)	1,532,004
MERRILL LYNCH TRI REPO	1.670% 05/07/2018 DD 05/04/18	(112,541)	112,541
MIZUHO REPO ATRI REPO	1.690% 05/07/2018 DD 05/04/18	(109,994)	109,994
RBS SECURITIES TRI REPO	1.690% 05/04/2018 DD 05/03/18	(4,043,345)	4,043,345
MIZUHO REPO ATRI REPO	1.710% 05/04/2018 DD 05/03/18	(258,014)	258,014
BNP PARIBAS TRI REPO	1.700% 05/04/2018 DD 05/03/18	(204,779)	204,779
SOCIETE GENERALE TRI REPO	1.670% 05/07/2018 DD 05/04/18	(243,522)	243,522
CITIBANK NY ST TRI REPO	1.690% 05/07/2018 DD 05/04/18	(120,607)	120,607
BARCLAYS BANK PLC TRI REPO	1.680% 05/07/2018 DD 05/04/18	(131,564)	131,564
CREDIT AGRICOLE TRI REPO	1.670% 05/07/2018 DD 05/04/18	(157,920)	157,920
SOCIETE GENERALE TRI REPO	1.670% 05/08/2018 DD 05/07/18	(879,989)	879,989
CITIBANK NY ST TRI REPO	1.680% 05/08/2018 DD 05/07/18	(269,440)	269,440

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
MERRILL LYNCH TRI REPO	1.670% 05/08/2018 DD 05/07/18	(335,684)	335,684
MIZUHO REPO ATRI REPO	1.680% 05/08/2018 DD 05/07/18	(329,953)	329,953
BARCLAYS BANK PLC TRI REPO	1.670% 05/08/2018 DD 05/07/18	(297,910)	297,910
BNP PARIBAS TRI REPO	1.660% 05/08/2018 DD 05/07/18	(312,265)	312,265
CREDIT AGRICOLE TRI REPO	1.670% 05/08/2018 DD 05/07/18	(608,088)	608,088
BANK OF MONTREAL TRI REPO	1.660% 05/08/2018 DD 05/07/18	(135,349)	135,349
RBS SECURITIES TRI REPO	1.670% 05/08/2018 DD 05/07/18	(5,296,297)	5,296,297
BARCLAYS BANK PLC TRI REPO	1.880% 07/16/2018 DD 07/13/18	(1,031,152)	1,031,152
DEUTSCHE BK FINL TRI REPO	1.890% 07/16/2018 DD 07/13/18	(509,446)	509,446
BNP PARIBAS TRI REPO	1.880% 07/16/2018 DD 07/13/18	(109,002)	109,002
SOCIETE GENERALE TRI REPO	1.860% 07/16/2018 DD 07/13/18	(910,566)	910,566
J P MORGAN CHASE TRI REPO	1.870% 07/16/2018 DD 07/13/18	(1,843,567)	1,843,567
RBS SECURITIES TRI REPO	1.860% 07/13/2018 DD 07/12/18	(25,592,559)	25,592,559
SOCIETE GENERALE TRI REPO	1.860% 07/13/2018 DD 07/12/18	(5,980,875)	5,980,875
CREDIT AGRICOLE TRI REPO	1.870% 07/16/2018 DD 07/13/18	(753,764)	753,764
MERRILL LYNCH TRI REPO	1.870% 07/16/2018 DD 07/13/18	(109,201)	109,201
CITIBANK NY ST TRI REPO	1.870% 07/16/2018 DD 07/13/18	(122,522)	122,522
CREDIT AGRICOLE TRI REPO	1.920% 07/17/2018 DD 07/16/18	(224,442)	224,442
CITIBANK NY ST TRI REPO	1.920% 07/17/2018 DD 07/16/18	(171,453)	171,453
BARCLAYS BANK PLC TRI REPO	1.920% 07/17/2018 DD 07/16/18	(651,248)	651,248
DEUTSCHE BK FINL TRI REPO	1.930% 07/17/2018 DD 07/16/18	(794,054)	794,054
J P MORGAN CHASE TRI REPO	1.920% 07/17/2018 DD 07/16/18	(1,315,669)	1,315,669
SOCIETE GENERALE TRI REPO	1.920% 07/17/2018 DD 07/16/18	(502,735)	502,735
CITIBANK NY ST TRI REPO	1.870% 07/19/2018 DD 07/18/18	(179,145)	179,145
BARCLAYS BANK PLC TRI REPO	1.870% 07/19/2018 DD 07/18/18	(380,694)	380,694
DEUTSCHE BK FINL TRI REPO	1.870% 07/19/2018 DD 07/18/18	(413,592)	413,592
J P MORGAN CHASE TRI REPO	1.870% 07/19/2018 DD 07/18/18	(625,539)	625,539
SOCIETE GENERALE TRI REPO	1.880% 07/19/2018 DD 07/18/18	(250,605)	250,605
CREDIT AGRICOLE TRI REPO	1.900% 07/18/2018 DD 07/17/18	(132,777)	132,777
BARCLAYS BANK PLC TRI REPO	1.910% 07/18/2018 DD 07/17/18	(360,685)	360,685
DEUTSCHE BK FINL TRI REPO	1.910% 07/18/2018 DD 07/17/18	(446,595)	446,595
BANK OF MONTREAL TRI REPO	1.890% 07/18/2018 DD 07/17/18	(127,441)	127,441
J P MORGAN CHASE TRI REPO	1.900% 07/18/2018 DD 07/17/18	(700,110)	700,110
SOCIETE GENERALE TRI REPO	1.900% 07/18/2018 DD 07/17/18	(290,794)	290,794
BARCLAYS BANK PLC TRI REPO	1.880% 07/20/2018 DD 07/19/18	(722,851)	722,851
DEUTSCHE BK FINL TRI REPO	1.890% 07/20/2018 DD 07/19/18	(829,617)	829,617
J P MORGAN CHASE TRI REPO	1.880% 07/20/2018 DD 07/19/18	(1,231,727)	1,231,727
SOCIETE GENERALE TRI REPO	1.880% 07/20/2018 DD 07/19/18	(527,919)	527,919
CREDIT AGRICOLE TRI REPO	1.870% 07/20/2018 DD 07/19/18	(246,823)	246,823
CITIBANK NY ST TRI REPO	1.880% 07/20/2018 DD 07/19/18	(207,158)	207,158
CREDIT AGRICOLE TRI REPO	1.870% 07/23/2018 DD 07/20/18	(258,127)	258,127
CREDIT AGRICOLE TRI REPO	1.860% 07/23/2018 DD 07/20/18	(202,837)	202,837
BARCLAYS BANK PLC TRI REPO	1.860% 07/23/2018 DD 07/20/18	(910,997)	910,997
DEUTSCHE BK FINL TRI REPO	1.870% 07/23/2018 DD 07/20/18	(728,650)	728,650
SOCIETE GENERALE TRI REPO	1.870% 07/23/2018 DD 07/20/18	(285,497)	285,497
J P MORGAN CHASE TRI REPO	1.860% 07/23/2018 DD 07/20/18	(1,111,817)	1,111,817
CREDIT AGRICOLE TRI REPO	1.860% 07/24/2018 DD 07/23/18	(410,189)	410,189
MERRILL LYNCH TRI REPO	1.860% 07/24/2018 DD 07/23/18	(142,664)	142,664
CITIBANK NY ST TRI REPO	1.860% 07/24/2018 DD 07/23/18	(136,462)	136,462
MIZUHO REPO ATRI REPO	1.860% 07/24/2018 DD 07/23/18	(119,643)	119,643
BARCLAYS BANK PLC TRI REPO	1.860% 07/24/2018 DD 07/23/18	(1,481,705)	1,481,705
DEUTSCHE BK FINL TRI REPO	1.870% 07/24/2018 DD 07/23/18	(1,283,623)	1,283,623
BNP PARIBAS TRI REPO	1.860% 07/24/2018 DD 07/23/18	(134,211)	134,211
J P MORGAN CHASE TRI REPO	1.860% 07/24/2018 DD 07/23/18	(1,931,375)	1,931,375
SOCIETE GENERALE TRI REPO	1.860% 07/24/2018 DD 07/23/18	(549,053)	549,053
CREDIT AGRICOLE TRI REPO	1.890% 07/25/2018 DD 07/24/18	(265,296)	265,296

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
MERRILL LYNCH TRI REPO	1.890% 07/25/2018 DD 07/24/18	(206,790)	206,790
CITIBANK NY ST TRI REPO	1.890% 07/25/2018 DD 07/24/18	(165,801)	165,801
MIZUHO REPO ATRI REPO	1.910% 07/25/2018 DD 07/24/18	(175,174)	175,174
BARCLAYS BANK PLC TRI REPO	1.900% 07/25/2018 DD 07/24/18	(3,494,255)	3,494,255
DEUTSCHE BK FINL TRI REPO	1.890% 07/25/2018 DD 07/24/18	(1,007,745)	1,007,745
BNP PARIBAS TRI REPO	1.890% 07/25/2018 DD 07/24/18	(167,279)	167,279
J P MORGAN CHASE TRI REPO	1.890% 07/25/2018 DD 07/24/18	(5,215,320)	5,215,320
SOCIETE GENERALE TRI REPO	1.890% 07/25/2018 DD 07/24/18	(963,637)	963,637
MERRILL LYNCH TRI REPO	1.890% 07/27/2018 DD 07/26/18	(167,554)	167,554
CITIBANK NY ST TRI REPO	1.890% 07/27/2018 DD 07/26/18	(143,587)	143,587
CREDIT AGRICOLE TRI REPO	1.860% 07/26/2018 DD 07/25/18	(238,775)	238,775
MERRILL LYNCH TRI REPO	1.860% 07/26/2018 DD 07/25/18	(212,649)	212,649
CITIBANK NY ST TRI REPO	1.860% 07/26/2018 DD 07/25/18	(178,592)	178,592
MIZUHO REPO ATRI REPO	1.860% 07/26/2018 DD 07/25/18	(163,144)	163,144
BARCLAYS BANK PLC TRI REPO	1.860% 07/26/2018 DD 07/25/18	(2,775,090)	2,775,090
DEUTSCHE BK FINL TRI REPO	1.860% 07/26/2018 DD 07/25/18	(1,016,147)	1,016,147
BNP PARIBAS TRI REPO	1.860% 07/26/2018 DD 07/25/18	(312,214)	312,214
J P MORGAN CHASE TRI REPO	1.860% 07/26/2018 DD 07/25/18	(5,083,260)	5,083,260
SOCIETE GENERALE TRI REPO	1.860% 07/26/2018 DD 07/25/18	(1,662,365)	1,662,365
CREDIT AGRICOLE TRI REPO	1.870% 07/30/2018 DD 07/27/18	(321,377)	321,377
CITIBANK NY ST TRI REPO	1.860% 07/30/2018 DD 07/27/18	(199,270)	199,270
BARCLAYS BANK PLC TRI REPO	1.870% 07/30/2018 DD 07/27/18	(2,956,782)	2,956,782
BNP PARIBAS TRI REPO	1.870% 07/30/2018 DD 07/27/18	(199,089)	199,089
BARCLAYS BANK PLC TRI REPO	1.890% 07/27/2018 DD 07/26/18	(2,747,586)	2,747,586
BNP PARIBAS TRI REPO	1.890% 07/27/2018 DD 07/26/18	(124,706)	124,706
DEUTSCHE BK FINL TRI REPO	1.900% 07/27/2018 DD 07/26/18	(1,021,966)	1,021,966
BANK OF MONTREAL TRI REPO	1.880% 07/27/2018 DD 07/26/18	(113,325)	113,325
J P MORGAN CHASE TRI REPO	1.890% 07/27/2018 DD 07/26/18	(4,857,618)	4,857,618
SOCIETE GENERALE TRI REPO	1.890% 07/27/2018 DD 07/26/18	(1,600,674)	1,600,674
CREDIT AGRICOLE TRI REPO	1.890% 07/27/2018 DD 07/26/18	(188,122)	188,122
MERRILL LYNCH TRI REPO	1.860% 07/31/2018 DD 07/30/18	(321,407)	321,407
CITIBANK NY ST TRI REPO	1.860% 07/31/2018 DD 07/30/18	(229,999)	229,999
MIZUHO REPO ATRI REPO	1.850% 07/31/2018 DD 07/30/18	(110,678)	110,678
BARCLAYS BANK PLC TRI REPO	1.860% 07/31/2018 DD 07/30/18	(2,664,646)	2,664,646
DEUTSCHE BK FINL TRI REPO	1.870% 07/31/2018 DD 07/30/18	(526,610)	526,610
BNP PARIBAS TRI REPO	1.870% 07/31/2018 DD 07/30/18	(211,163)	211,163
J P MORGAN CHASE TRI REPO	1.870% 07/30/2018 DD 07/27/18	(4,743,491)	4,743,491
SOCIETE GENERALE TRI REPO	1.870% 07/30/2018 DD 07/27/18	(939,939)	939,939
RBC CAPITAL MAR TRI REPO	1.870% 07/30/2018 DD 07/27/18	(115,050)	115,050
MERRILL LYNCH TRI REPO	1.870% 07/30/2018 DD 07/27/18	(242,343)	242,343
DEUTSCHE BK FINL TRI REPO	1.870% 07/30/2018 DD 07/27/18	(1,232,637)	1,232,637
CREDIT AGRICOLE TRI REPO	1.860% 07/31/2018 DD 07/30/18	(466,733)	466,733
RBS SECURITIES TRI REPO	1.690% 05/30/2018 DD 05/29/18	(7,535,519)	7,535,519
CREDIT AGRICOLE TRI REPO	1.700% 05/30/2018 DD 05/29/18	(796,641)	796,641
DEUTSCHE BK FINL TRI REPO	1.710% 05/30/2018 DD 05/29/18	(338,948)	338,948
SOCIETE GENERALE TRI REPO	1.690% 05/30/2018 DD 05/29/18	(287,193)	287,193
CITIBANK NY ST TRI REPO	1.700% 05/30/2018 DD 05/29/18	(154,429)	154,429
BARCLAYS BANK PLC TRI REPO	1.700% 05/29/2018 DD 05/25/18	(1,063,215)	1,063,215
DEUTSCHE BK FINL TRI REPO	1.690% 05/29/2018 DD 05/25/18	(428,221)	428,221
CREDIT AGRICOLE TRI REPO	1.690% 05/29/2018 DD 05/25/18	(967,080)	967,080
RBS SECURITIES TRI REPO	1.690% 05/29/2018 DD 05/25/18	(8,195,620)	8,195,620
BARCLAYS BANK PLC TRI REPO	1.700% 05/30/2018 DD 05/29/18	(879,091)	879,091
CITIBANK NY ST TRI REPO	1.700% 05/31/2018 DD 05/30/18	(151,606)	151,606
BARCLAYS BANK PLC TRI REPO	1.700% 05/31/2018 DD 05/30/18	(1,798,346)	1,798,346
RBS SECURITIES TRI REPO	1.690% 05/31/2018 DD 05/30/18	(12,293,364)	12,293,364
MIZUHO REPO ATRI REPO	1.710% 05/31/2018 DD 05/30/18	(175,247)	175,247

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
BNP PARIBAS TRI REPO	1.700% 05/31/2018 DD 05/30/18	(145,782)	145,782
MERRILL LYNCH TRI REPO	1.700% 05/31/2018 DD 05/30/18	(184,334)	184,334
DEUTSCHE BK FINL TRI REPO	1.710% 05/31/2018 DD 05/30/18	(1,153,037)	1,153,037
CREDIT AGRICOLE TRI REPO	1.700% 05/31/2018 DD 05/30/18	(1,752,751)	1,752,751
SOCIETE GENERALE TRI REPO	1.690% 05/31/2018 DD 05/30/18	(525,568)	525,568
BARCLAYS BANK PLC TRI REPO	1.770% 06/04/2018 DD 06/01/18	(2,015,322)	2,015,322
MIZUHO REPO ATRI REPO	1.780% 06/01/2018 DD 05/31/18	(212,997)	212,997
BARCLAYS BANK PLC TRI REPO	1.780% 06/01/2018 DD 05/31/18	(1,525,441)	1,525,441
RBS SECURITIES TRI REPO	1.770% 06/01/2018 DD 05/31/18	(7,381,056)	7,381,056
BNP PARIBAS TRI REPO	1.780% 06/01/2018 DD 05/31/18	(201,760)	201,760
MERRILL LYNCH TRI REPO	1.780% 06/01/2018 DD 05/31/18	(225,097)	225,097
CITIGROUP GLOBAL TRI REPO	1.780% 06/01/2018 DD 05/31/18	(115,831)	115,831
CREDIT AGRICOLE TRI REPO	1.770% 06/01/2018 DD 05/31/18	(1,219,696)	1,219,696
SOCIETE GENERALE TRI REPO	1.780% 06/01/2018 DD 05/31/18	(587,566)	587,566
CITIBANK NY ST TRI REPO	1.780% 06/01/2018 DD 05/31/18	(294,290)	294,290
SOCIETE GENERALE TRI REPO	1.750% 06/05/2018 DD 06/04/18	(589,646)	589,646
MERRILL LYNCH TRI REPO	1.760% 06/05/2018 DD 06/04/18	(203,744)	203,744
CITIBANK NY ST TRI REPO	1.760% 06/05/2018 DD 06/04/18	(217,641)	217,641
MIZUHO REPO ATRI REPO	1.760% 06/05/2018 DD 06/04/18	(196,479)	196,479
BARCLAYS BANK PLC TRI REPO	1.760% 06/05/2018 DD 06/04/18	(1,729,180)	1,729,180
RBS SECURITIES TRI REPO	1.760% 06/04/2018 DD 06/01/18	(6,737,638)	6,737,638
BNP PARIBAS TRI REPO	1.770% 06/04/2018 DD 06/01/18	(229,312)	229,312
CREDIT AGRICOLE TRI REPO	1.760% 06/04/2018 DD 06/01/18	(686,987)	686,987
DEUTSCHE BK FINL TRI REPO	1.780% 06/04/2018 DD 06/01/18	(121,618)	121,618
SOCIETE GENERALE TRI REPO	1.770% 06/04/2018 DD 06/01/18	(704,498)	704,498
CITIBANK NY ST TRI REPO	1.770% 06/04/2018 DD 06/01/18	(270,532)	270,532
MIZUHO REPO ATRI REPO	1.770% 06/04/2018 DD 06/01/18	(323,775)	323,775
MERRILL LYNCH TRI REPO	1.770% 06/04/2018 DD 06/01/18	(274,025)	274,025
MERRILL LYNCH TRI REPO	1.720% 06/06/2018 DD 06/05/18	(226,529)	226,529
MIZUHO REPO ATRI REPO	1.720% 06/06/2018 DD 06/05/18	(215,360)	215,360
CITIBANK NY ST TRI REPO	1.720% 06/06/2018 DD 06/05/18	(209,853)	209,853
CREDIT AGRICOLE TRI REPO	1.720% 06/06/2018 DD 06/05/18	(571,918)	571,918
BARCLAYS BANK PLC TRI REPO	1.720% 06/06/2018 DD 06/05/18	(1,767,393)	1,767,393
BNP PARIBAS TRI REPO	1.750% 06/05/2018 DD 06/04/18	(186,629)	186,629
CREDIT AGRICOLE TRI REPO	1.750% 06/05/2018 DD 06/04/18	(567,933)	567,933
BANK OF MONTREAL TRI REPO	1.760% 06/05/2018 DD 06/04/18	(105,985)	105,985
RBS SECURITIES TRI REPO	1.760% 06/05/2018 DD 06/04/18	(5,976,054)	5,976,054
DEUTSCHE BK FINL TRI REPO	1.720% 06/06/2018 DD 06/05/18	(124,107)	124,107
BNP PARIBAS TRI REPO	1.720% 06/06/2018 DD 06/05/18	(179,629)	179,629
RBS SECURITIES TRI REPO	1.710% 06/06/2018 DD 06/05/18	(5,592,157)	5,592,157
SOCIETE GENERALE TRI REPO	1.710% 06/06/2018 DD 06/05/18	(554,048)	554,048
RBS SECURITIES TRI REPO	1.690% 06/07/2018 DD 06/06/18	(5,040,773)	5,040,773
SOCIETE GENERALE TRI REPO	1.690% 06/07/2018 DD 06/06/18	(618,338)	618,338
MERRILL LYNCH TRI REPO	1.700% 06/07/2018 DD 06/06/18	(222,966)	222,966
CITIBANK NY ST TRI REPO	1.700% 06/07/2018 DD 06/06/18	(223,814)	223,814
MIZUHO REPO ATRI REPO	1.700% 06/07/2018 DD 06/06/18	(209,644)	209,644
BARCLAYS BANK PLC TRI REPO	1.690% 06/07/2018 DD 06/06/18	(1,629,818)	1,629,818
DEUTSCHE BK FINL TRI REPO	1.690% 06/07/2018 DD 06/06/18	(104,880)	104,880
BNP PARIBAS TRI REPO	1.710% 06/07/2018 DD 06/06/18	(182,277)	182,277
CREDIT AGRICOLE TRI REPO	1.690% 06/07/2018 DD 06/06/18	(555,960)	555,960
RBS SECURITIES TRI REPO	1.670% 06/11/2018 DD 06/08/18	(2,485,341)	2,485,341
SOCIETE GENERALE TRI REPO	1.690% 06/08/2018 DD 06/07/18	(209,009)	209,009
CITIBANK NY ST TRI REPO	1.690% 06/08/2018 DD 06/07/18	(137,197)	137,197
BARCLAYS BANK PLC TRI REPO	1.690% 06/08/2018 DD 06/07/18	(435,028)	435,028
DEUTSCHE BK FINL TRI REPO	1.690% 06/08/2018 DD 06/07/18	(92,891)	92,891
BNP PARIBAS TRI REPO	1.690% 06/08/2018 DD 06/07/18	(119,527)	119,527

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
CREDIT AGRICOLE TRI REPO	1.690% 06/08/2018 DD 06/07/18	(205,084)	205,084
RBS SECURITIES TRI REPO	1.690% 06/08/2018 DD 06/07/18	(1,580,241)	1,580,241
MERRILL LYNCH TRI REPO	1.660% 06/12/2018 DD 06/11/18	(143,435)	143,435
MIZUHO REPO ATRI REPO	1.660% 06/12/2018 DD 06/11/18	(135,221)	135,221
BARCLAYS BANK PLC TRI REPO	1.660% 06/12/2018 DD 06/11/18	(854,396)	854,396
DEUTSCHE BK FINL TRI REPO	1.650% 06/12/2018 DD 06/11/18	(116,783)	116,783
BNP PARIBAS TRI REPO	1.660% 06/12/2018 DD 06/11/18	(120,108)	120,108
CREDIT AGRICOLE TRI REPO	1.650% 06/12/2018 DD 06/11/18	(501,865)	501,865
SOCIETE GENERALE TRI REPO	1.670% 06/11/2018 DD 06/08/18	(320,504)	320,504
MERRILL LYNCH TRI REPO	1.670% 06/11/2018 DD 06/08/18	(116,572)	116,572
CITIBANK NY ST TRI REPO	1.670% 06/11/2018 DD 06/08/18	(127,168)	127,168
MIZUHO REPO ATRI REPO	1.670% 06/11/2018 DD 06/08/18	(110,178)	110,178
BARCLAYS BANK PLC TRI REPO	1.660% 06/11/2018 DD 06/08/18	(676,009)	676,009
DEUTSCHE BK FINL TRI REPO	1.670% 06/11/2018 DD 06/08/18	(140,829)	140,829
CREDIT AGRICOLE TRI REPO	1.670% 06/11/2018 DD 06/08/18	(354,798)	354,798
CREDIT AGRICOLE TRI REPO	1.640% 06/13/2018 DD 06/12/18	(404,542)	404,542
RBS SECURITIES TRI REPO	1.640% 06/13/2018 DD 06/12/18	(2,835,538)	2,835,538
SOCIETE GENERALE TRI REPO	1.650% 06/13/2018 DD 06/12/18	(357,813)	357,813
CITIGROUP GLOBAL TRI REPO	1.650% 06/13/2018 DD 06/12/18	(110,444)	110,444
RBS SECURITIES TRI REPO	1.650% 06/12/2018 DD 06/11/18	(3,034,699)	3,034,699
SOCIETE GENERALE TRI REPO	1.650% 06/12/2018 DD 06/11/18	(373,479)	373,479
CITIGROUP GLOBAL TRI REPO	1.660% 06/12/2018 DD 06/11/18	(116,161)	116,161
MERRILL LYNCH TRI REPO	1.650% 06/13/2018 DD 06/12/18	(140,850)	140,850
MIZUHO REPO ATRI REPO	1.650% 06/13/2018 DD 06/12/18	(135,103)	135,103
BARCLAYS BANK PLC TRI REPO	1.640% 06/13/2018 DD 06/12/18	(770,659)	770,659
DEUTSCHE BK FINL TRI REPO	1.640% 06/13/2018 DD 06/12/18	(118,045)	118,045
BNP PARIBAS TRI REPO	1.650% 06/13/2018 DD 06/12/18	(115,510)	115,510
CITIBANK NY ST TRI REPO	1.680% 06/14/2018 DD 06/13/18	(144,421)	144,421
MIZUHO REPO ATRI REPO	1.700% 06/14/2018 DD 06/13/18	(108,007)	108,007
BARCLAYS BANK PLC TRI REPO	1.680% 06/14/2018 DD 06/13/18	(449,777)	449,777
DEUTSCHE BK FINL TRI REPO	1.680% 06/14/2018 DD 06/13/18	(146,515)	146,515
CREDIT AGRICOLE TRI REPO	1.680% 06/14/2018 DD 06/13/18	(253,240)	253,240
RBS SECURITIES TRI REPO	1.670% 06/14/2018 DD 06/13/18	(1,617,387)	1,617,387
SOCIETE GENERALE TRI REPO	1.670% 06/14/2018 DD 06/13/18	(202,474)	202,474
BARCLAYS BANK PLC TRI REPO	1.870% 06/15/2018 DD 06/14/18	(196,764)	196,764
DEUTSCHE BK FINL TRI REPO	1.870% 06/15/2018 DD 06/14/18	(130,586)	130,586
CREDIT AGRICOLE TRI REPO	1.870% 06/15/2018 DD 06/14/18	(135,547)	135,547
RBS SECURITIES TRI REPO	1.870% 06/15/2018 DD 06/14/18	(775,335)	775,335
CITIGROUP GLOBAL TRI REPO	1.870% 06/15/2018 DD 06/14/18	(109,628)	109,628
CREDIT AGRICOLE TRI REPO	1.850% 06/19/2018 DD 06/18/18	(157,157)	157,157
BANK OF MONTREAL TRI REPO	1.840% 06/19/2018 DD 06/18/18	(56,807)	56,807
RBS SECURITIES TRI REPO	1.850% 06/19/2018 DD 06/18/18	(418,917)	418,917
CITIBANK NY ST TRI REPO	1.860% 06/19/2018 DD 06/18/18	(100,723)	100,723
BARCLAYS BANK PLC TRI REPO	1.850% 06/19/2018 DD 06/18/18	(155,203)	155,203
CITIBANK NY ST TRI REPO	1.830% 06/21/2018 DD 06/20/18	(184,298)	184,298
BNP PARIBAS TRI REPO	1.830% 06/21/2018 DD 06/20/18	(103,010)	103,010
RBS SECURITIES TRI REPO	1.830% 06/21/2018 DD 06/20/18	(258,178)	258,178
CITIBANK NY ST TRI REPO	1.900% 06/25/2018 DD 06/22/18	(185,677)	185,677
BARCLAYS BANK PLC TRI REPO	1.900% 06/25/2018 DD 06/22/18	(361,824)	361,824
CREDIT AGRICOLE TRI REPO	1.890% 06/25/2018 DD 06/22/18	(141,897)	141,897
RBS SECURITIES TRI REPO	1.890% 06/25/2018 DD 06/22/18	(4,202,552)	4,202,552
SOCIETE GENERALE TRI REPO	1.900% 06/25/2018 DD 06/22/18	(143,404)	143,404
BARCLAYS BANK PLC TRI REPO	1.890% 06/27/2018 DD 06/26/18	(312,853)	312,853
CREDIT AGRICOLE TRI REPO	1.880% 06/27/2018 DD 06/26/18	(171,689)	171,689
BARCLAYS BANK PLC TRI REPO	1.890% 06/26/2018 DD 06/25/18	(320,375)	320,375
DEUTSCHE BK FINL TRI REPO	1.880% 06/26/2018 DD 06/25/18	(118,041)	118,041

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
BANK OF MONTREAL TRI REPO	1.880% 06/26/2018 DD 06/25/18	(173,755)	173,755
RBS SECURITIES TRI REPO	1.880% 06/26/2018 DD 06/25/18	(3,496,284)	3,496,284
SOCIETE GENERALE TRI REPO	1.880% 06/26/2018 DD 06/25/18	(124,824)	124,824
RBS SECURITIES TRI REPO	1.880% 06/27/2018 DD 06/26/18	(3,006,603)	3,006,603
SOCIETE GENERALE TRI REPO	1.880% 06/27/2018 DD 06/26/18	(194,169)	194,169
RBS SECURITIES TRI REPO	1.880% 06/28/2018 DD 06/27/18	(1,654,583)	1,654,583
BARCLAYS BANK PLC TRI REPO	1.900% 06/29/2018 DD 06/28/18	(136,359)	136,359
RBS SECURITIES TRI REPO	1.900% 06/29/2018 DD 06/28/18	(9,315,732)	9,315,732
SOCIETE GENERALE TRI REPO	1.890% 06/29/2018 DD 06/28/18	(171,408)	171,408
RBS SECURITIES TRI REPO	2.000% 07/03/2018 DD 07/02/18	(667,053)	667,053
SOCIETE GENERALE TRI REPO	2.000% 07/03/2018 DD 07/02/18	(113,549)	113,549
RBC CAPITAL MAR TRI REPO	1.970% 07/05/2018 DD 07/03/18	(52,860)	52,860
RBS SECURITIES TRI REPO	1.980% 07/05/2018 DD 07/03/18	(284,035)	284,035
BANK OF MONTREAL TRI REPO	1.880% 07/09/2018 DD 07/06/18	(100,422)	100,422
BARCLAYS BANK PLC TRI REPO	1.900% 07/09/2018 DD 07/06/18	(118,039)	118,039
RBS SECURITIES TRI REPO	1.900% 07/09/2018 DD 07/06/18	(450,904)	450,904
CITIBANK NY ST TRI REPO	1.860% 07/12/2018 DD 07/11/18	(185,670)	185,670
BARCLAYS BANK PLC TRI REPO	1.860% 07/11/2018 DD 07/10/18	(123,912)	123,912
DEUTSCHE BK FINL TRI REPO	1.880% 07/11/2018 DD 07/10/18	(139,879)	139,879
BANK OF MONTREAL TRI REPO	1.850% 07/11/2018 DD 07/10/18	(103,673)	103,673
RBS SECURITIES TRI REPO	1.870% 07/11/2018 DD 07/10/18	(454,931)	454,931
CREDIT AGRICOLE TRI REPO	1.860% 07/13/2018 DD 07/12/18	(5,972,955)	5,972,955
MERRILL LYNCH TRI REPO	1.870% 07/13/2018 DD 07/12/18	(563,190)	563,190
CITIBANK NY ST TRI REPO	1.870% 07/13/2018 DD 07/12/18	(686,126)	686,126
MIZUHO REPO ATRI REPO	1.870% 07/13/2018 DD 07/12/18	(100,862)	100,862
BARCLAYS BANK PLC TRI REPO	1.870% 07/13/2018 DD 07/12/18	(5,523,306)	5,523,306
BNP PARIBAS TRI REPO	1.870% 07/13/2018 DD 07/12/18	(526,761)	526,761
DEUTSCHE BK FINL TRI REPO	1.880% 07/13/2018 DD 07/12/18	(3,675,217)	3,675,217
RBS SECURITIES TRI REPO	1.860% 07/12/2018 DD 07/11/18	(258,445)	258,445
BARCLAYS BANK PLC TRI REPO	1.910% 09/11/2018 DD 09/10/18	(124,455)	124,455
CITIBANK NY ST TRI REPO	1.910% 09/11/2018 DD 09/10/18	(174,730)	174,730
CITIBANK NY ST TRI REPO	1.920% 09/13/2018 DD 09/12/18	(179,598)	179,598
CITIBANK NY ST TRI REPO	1.900% 09/14/2018 DD 09/13/18	(188,223)	188,223
CITIBANK NY ST TRI REPO	1.910% 09/17/2018 DD 09/14/18	(213,363)	213,363
CITIBANK NY ST TRI REPO	1.970% 09/18/2018 DD 09/17/18	(123,996)	123,996
BNP PARIBAS TRI REPO	1.970% 09/18/2018 DD 09/17/18	(129,378)	129,378
CREDIT AGRICOLE TRI REPO	1.900% 09/21/2018 DD 09/20/18	(117,555)	117,555
BARCLAYS BANK PLC TRI REPO	1.900% 09/21/2018 DD 09/20/18	(152,003)	152,003
CITIGROUP GLOBAL TRI REPO	1.900% 09/21/2018 DD 09/20/18	(161,613)	161,613
J P MORGAN CHASE TRI REPO	1.900% 09/21/2018 DD 09/20/18	(129,326)	129,326
J P MORGAN CHASE TRI REPO	1.900% 09/24/2018 DD 09/21/18	(136,851)	136,851
SOCIETE GENERALE TRI REPO	1.900% 09/24/2018 DD 09/21/18	(101,568)	101,568
CREDIT AGRICOLE TRI REPO	1.900% 09/24/2018 DD 09/21/18	(105,662)	105,662
BARCLAYS BANK PLC TRI REPO	1.900% 09/24/2018 DD 09/21/18	(143,772)	143,772
CITIGROUP GLOBAL TRI REPO	1.900% 09/24/2018 DD 09/21/18	(120,438)	120,438
BNP PARIBAS TRI REPO	1.910% 09/26/2018 DD 09/25/18	(1)	1
CITIBANK NY ST TRI REPO	1.910% 08/01/2018 DD 07/31/18	(165,729)	165,729
BARCLAYS BANK PLC TRI REPO	1.910% 08/01/2018 DD 07/31/18	(1,594,045)	1,594,045
DEUTSCHE BK FINL TRI REPO	1.910% 08/01/2018 DD 07/31/18	(227,004)	227,004
J P MORGAN CHASE TRI REPO	1.910% 08/01/2018 DD 07/31/18	(2,688,003)	2,688,003
J P MORGAN CHASE TRI REPO	1.850% 07/31/2018 DD 07/30/18	(4,197,678)	4,197,678
SOCIETE GENERALE TRI REPO	1.850% 07/31/2018 DD 07/30/18	(828,200)	828,200
MERRILL LYNCH TRI REPO	1.910% 08/01/2018 DD 07/31/18	(171,637)	171,637
SOCIETE GENERALE TRI REPO	1.900% 08/01/2018 DD 07/31/18	(275,406)	275,406
J P MORGAN CHASE TRI REPO	1.850% 08/02/2018 DD 08/01/18	(3,425,936)	3,425,936
SOCIETE GENERALE TRI REPO	1.850% 08/02/2018 DD 08/01/18	(763,975)	763,975

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
CREDIT AGRICOLE TRI REPO	1.850% 08/02/2018 DD 08/01/18	(138,795)	138,795
MERRILL LYNCH TRI REPO	1.860% 08/02/2018 DD 08/01/18	(315,290)	315,290
CITIBANK NY ST TRI REPO	1.860% 08/02/2018 DD 08/01/18	(113,935)	113,935
BARCLAYS BANK PLC TRI REPO	1.850% 08/02/2018 DD 08/01/18	(1,062,216)	1,062,216
DEUTSCHE BK FINL TRI REPO	1.870% 08/02/2018 DD 08/01/18	(454,627)	454,627
BNP PARIBAS TRI REPO	1.860% 08/02/2018 DD 08/01/18	(110,223)	110,223
SOCIETE GENERALE TRI REPO	1.890% 08/03/2018 DD 08/02/18	(252,894)	252,894
MERRILL LYNCH TRI REPO	1.890% 08/03/2018 DD 08/02/18	(63,475)	63,475
CITIBANK NY ST TRI REPO	1.900% 08/03/2018 DD 08/02/18	(130,875)	130,875
DEUTSCHE BK FINL TRI REPO	1.900% 08/03/2018 DD 08/02/18	(179,087)	179,087
J P MORGAN CHASE TRI REPO	1.890% 08/03/2018 DD 08/02/18	(938,772)	938,772
BARCLAYS BANK PLC TRI REPO	1.900% 08/03/2018 DD 08/02/18	(306,268)	306,268
SOCIETE GENERALE TRI REPO	1.840% 08/07/2018 DD 08/06/18	(277,897)	277,897
SOCIETE GENERALE TRI REPO	1.840% 08/06/2018 DD 08/03/18	(409,874)	409,874
MERRILL LYNCH TRI REPO	1.830% 08/06/2018 DD 08/03/18	(112,733)	112,733
CITIBANK NY ST TRI REPO	1.830% 08/06/2018 DD 08/03/18	(168,742)	168,742
BARCLAYS BANK PLC TRI REPO	1.830% 08/06/2018 DD 08/03/18	(478,391)	478,391
DEUTSCHE BK FINL TRI REPO	1.820% 08/06/2018 DD 08/03/18	(208,570)	208,570
J P MORGAN CHASE TRI REPO	1.830% 08/06/2018 DD 08/03/18	(1,395,773)	1,395,773
SOCIETE GENERALE TRI REPO	1.850% 08/08/2018 DD 08/07/18	(283,915)	283,915
CREDIT AGRICOLE TRI REPO	1.860% 08/08/2018 DD 08/07/18	(199,728)	199,728
MIZUHO REPO ATRI REPO	1.850% 08/08/2018 DD 08/07/18	(117,534)	117,534
CITIBANK NY ST TRI REPO	1.830% 08/07/2018 DD 08/06/18	(160,451)	160,451
BARCLAYS BANK PLC TRI REPO	1.840% 08/07/2018 DD 08/06/18	(309,561)	309,561
DEUTSCHE BK FINL TRI REPO	1.840% 08/07/2018 DD 08/06/18	(178,881)	178,881
J P MORGAN CHASE TRI REPO	1.840% 08/07/2018 DD 08/06/18	(968,728)	968,728
CREDIT AGRICOLE TRI REPO	1.870% 08/09/2018 DD 08/08/18	(258,569)	258,569
BARCLAYS BANK PLC TRI REPO	1.870% 08/09/2018 DD 08/08/18	(243,076)	243,076
DEUTSCHE BK FINL TRI REPO	1.880% 08/09/2018 DD 08/08/18	(164,446)	164,446
DEUTSCHE BK FINL TRI REPO	1.870% 08/08/2018 DD 08/07/18	(182,021)	182,021
BARCLAYS BANK PLC TRI REPO	1.850% 08/08/2018 DD 08/07/18	(322,680)	322,680
J P MORGAN CHASE TRI REPO	1.860% 08/08/2018 DD 08/07/18	(928,121)	928,121
SOCIETE GENERALE TRI REPO	1.870% 08/09/2018 DD 08/08/18	(256,428)	256,428
CITIBANK NY ST TRI REPO	1.900% 08/10/2018 DD 08/09/18	(156,130)	156,130
BARCLAYS BANK PLC TRI REPO	1.900% 08/10/2018 DD 08/09/18	(547,390)	547,390
DEUTSCHE BK FINL TRI REPO	1.910% 08/10/2018 DD 08/09/18	(211,399)	211,399
J P MORGAN CHASE TRI REPO	1.900% 08/10/2018 DD 08/09/18	(1,154,548)	1,154,548
J P MORGAN CHASE TRI REPO	1.870% 08/09/2018 DD 08/08/18	(903,021)	903,021
BARCLAYS BANK PLC TRI REPO	1.850% 08/09/2018 DD 08/08/18	(169,648)	169,648
SOCIETE GENERALE TRI REPO	1.890% 08/10/2018 DD 08/09/18	(239,780)	239,780
CREDIT AGRICOLE TRI REPO	1.900% 08/10/2018 DD 08/09/18	(508,513)	508,513
BARCLAYS BANK PLC TRI REPO	1.870% 08/13/2018 DD 08/10/18	(839,391)	839,391
DEUTSCHE BK FINL TRI REPO	1.870% 08/13/2018 DD 08/10/18	(345,019)	345,019
BNP PARIBAS TRI REPO	1.870% 08/13/2018 DD 08/10/18	(118,015)	118,015
J P MORGAN CHASE TRI REPO	1.870% 08/13/2018 DD 08/10/18	(1,623,299)	1,623,299
SOCIETE GENERALE TRI REPO	1.870% 08/13/2018 DD 08/10/18	(421,646)	421,646
CREDIT AGRICOLE TRI REPO	1.870% 08/13/2018 DD 08/10/18	(716,195)	716,195
MERRILL LYNCH TRI REPO	1.870% 08/13/2018 DD 08/10/18	(130,534)	130,534
CITIBANK NY ST TRI REPO	1.880% 08/13/2018 DD 08/10/18	(124,874)	124,874
J P MORGAN CHASE TRI REPO	1.890% 08/14/2018 DD 08/13/18	(357,001)	357,001
RBC CAPITAL MAR TRI REPO	1.890% 08/14/2018 DD 08/13/18	(158,065)	158,065
CREDIT AGRICOLE TRI REPO	1.890% 08/14/2018 DD 08/13/18	(136,552)	136,552
BARCLAYS BANK PLC TRI REPO	1.890% 08/14/2018 DD 08/13/18	(184,119)	184,119
DEUTSCHE BK FINL TRI REPO	1.900% 08/14/2018 DD 08/13/18	(120,773)	120,773
SOCIETE GENERALE TRI REPO	1.900% 08/15/2018 DD 08/14/18	(139,706)	139,706
RBC CAPITAL MAR TRI REPO	1.910% 08/15/2018 DD 08/14/18	(53,170)	53,170

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
CREDIT AGRICOLE TRI REPO	1.900% 08/15/2018 DD 08/14/18	(212,629)	212,629
MIZUHO REPO ATRI REPO	1.910% 08/15/2018 DD 08/14/18	(107,802)	107,802
BARCLAYS BANK PLC TRI REPO	1.910% 08/15/2018 DD 08/14/18	(288,103)	288,103
DEUTSCHE BK FINL TRI REPO	1.910% 08/15/2018 DD 08/14/18	(119,395)	119,395
J P MORGAN CHASE TRI REPO	1.900% 08/15/2018 DD 08/14/18	(562,193)	562,193
SOCIETE GENERALE TRI REPO	1.960% 08/16/2018 DD 08/15/18	(280,010)	280,010
CREDIT AGRICOLE TRI REPO	1.960% 08/16/2018 DD 08/15/18	(638,185)	638,185
CITIBANK NY ST TRI REPO	1.960% 08/16/2018 DD 08/15/18	(130,264)	130,264
BARCLAYS BANK PLC TRI REPO	1.970% 08/16/2018 DD 08/15/18	(569,627)	569,627
DEUTSCHE BK FINL TRI REPO	1.980% 08/16/2018 DD 08/15/18	(225,601)	225,601
J P MORGAN CHASE TRI REPO	1.960% 08/16/2018 DD 08/15/18	(1,094,830)	1,094,830
RBC CAPITAL MAR TRI REPO	1.890% 08/20/2018 DD 08/17/18	(98,312)	98,312
CITIBANK NY ST TRI REPO	1.890% 08/21/2018 DD 08/20/18	(121,536)	121,536
BARCLAYS BANK PLC TRI REPO	1.880% 08/21/2018 DD 08/20/18	(407,262)	407,262
DEUTSCHE BK FINL TRI REPO	1.890% 08/21/2018 DD 08/20/18	(234,369)	234,369
J P MORGAN CHASE TRI REPO	1.880% 08/21/2018 DD 08/20/18	(810,006)	810,006
CREDIT AGRICOLE TRI REPO	1.890% 08/20/2018 DD 08/17/18	(124,075)	124,075
SOCIETE GENERALE TRI REPO	1.880% 08/21/2018 DD 08/20/18	(257,606)	257,606
CREDIT AGRICOLE TRI REPO	1.890% 08/21/2018 DD 08/20/18	(639,452)	639,452
CREDIT AGRICOLE TRI REPO	1.890% 08/22/2018 DD 08/21/18	(557,017)	557,017
CITIGROUP GLOBAL TRI REPO	1.880% 08/22/2018 DD 08/21/18	(125,458)	125,458
BARCLAYS BANK PLC TRI REPO	1.880% 08/22/2018 DD 08/21/18	(348,399)	348,399
DEUTSCHE BK FINL TRI REPO	1.890% 08/22/2018 DD 08/21/18	(208,471)	208,471
J P MORGAN CHASE TRI REPO	1.880% 08/22/2018 DD 08/21/18	(705,441)	705,441
SOCIETE GENERALE TRI REPO	1.880% 08/22/2018 DD 08/21/18	(219,493)	219,493
BARCLAYS BANK PLC TRI REPO	1.890% 08/23/2018 DD 08/22/18	(111,809)	111,809
DEUTSCHE BK FINL TRI REPO	1.900% 08/23/2018 DD 08/22/18	(153,126)	153,126
J P MORGAN CHASE TRI REPO	1.890% 08/23/2018 DD 08/22/18	(377,870)	377,870
SOCIETE GENERALE TRI REPO	1.880% 08/23/2018 DD 08/22/18	(151,214)	151,214
CREDIT AGRICOLE TRI REPO	1.890% 08/23/2018 DD 08/22/18	(182,471)	182,471
CITIBANK NY ST TRI REPO	1.890% 08/23/2018 DD 08/22/18	(128,766)	128,766
BARCLAYS BANK PLC TRI REPO	1.940% 08/27/2018 DD 08/24/18	(128,367)	128,367
DEUTSCHE BK FINL TRI REPO	1.950% 08/27/2018 DD 08/24/18	(140,730)	140,730
BNP PARIBAS TRI REPO	1.940% 08/27/2018 DD 08/24/18	(110,783)	110,783
J P MORGAN CHASE TRI REPO	1.940% 08/27/2018 DD 08/24/18	(471,795)	471,795
BNP PARIBAS TRI REPO	1.920% 08/24/2018 DD 08/23/18	(118,906)	118,906
DEUTSCHE BK FINL TRI REPO	1.950% 08/28/2018 DD 08/27/18	(121,349)	121,349
BNP PARIBAS TRI REPO	1.950% 08/28/2018 DD 08/27/18	(101,743)	101,743
J P MORGAN CHASE TRI REPO	1.940% 08/28/2018 DD 08/27/18	(446,928)	446,928
SOCIETE GENERALE TRI REPO	1.940% 08/28/2018 DD 08/27/18	(239,220)	239,220
SOCIETE GENERALE TRI REPO	1.940% 08/27/2018 DD 08/24/18	(259,106)	259,106
CREDIT AGRICOLE TRI REPO	1.940% 08/27/2018 DD 08/24/18	(234,792)	234,792
BARCLAYS BANK PLC TRI REPO	1.940% 08/28/2018 DD 08/27/18	(118,026)	118,026
CREDIT AGRICOLE TRI REPO	1.940% 08/29/2018 DD 08/28/18	(146,984)	146,984
CREDIT AGRICOLE TRI REPO	1.940% 08/28/2018 DD 08/27/18	(317,256)	317,256
BNP PARIBAS TRI REPO	1.950% 08/29/2018 DD 08/28/18	(133,719)	133,719
J P MORGAN CHASE TRI REPO	1.940% 08/29/2018 DD 08/28/18	(213,314)	213,314
SOCIETE GENERALE TRI REPO	1.940% 08/29/2018 DD 08/28/18	(177,148)	177,148
BARCLAYS BANK PLC TRI REPO	1.920% 08/30/2018 DD 08/29/18	(130,556)	130,556
BNP PARIBAS TRI REPO	1.920% 08/30/2018 DD 08/29/18	(116,247)	116,247
CITIGROUP GLOBAL TRI REPO	1.920% 08/30/2018 DD 08/29/18	(49,320)	49,320
DEUTSCHE BK FINL TRI REPO	1.930% 08/31/2018 DD 08/30/18	(188,380)	188,380
BNP PARIBAS TRI REPO	1.920% 08/31/2018 DD 08/30/18	(142,461)	142,461
J P MORGAN CHASE TRI REPO	1.920% 08/31/2018 DD 08/30/18	(645,343)	645,343
SOCIETE GENERALE TRI REPO	1.920% 08/31/2018 DD 08/30/18	(389,597)	389,597
CREDIT AGRICOLE TRI REPO	1.920% 08/31/2018 DD 08/30/18	(372,547)	372,547

Rockwell Collins Pension Plan

FORM 5500, SCHEDULE H, PART IV, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
MERRILL LYNCH TRI REPO	1.920% 08/31/2018 DD 08/30/18	(59,953)	59,953
BARCLAYS BANK PLC TRI REPO	1.930% 08/31/2018 DD 08/30/18	(183,215)	183,215
BNP PARIBAS TRI REPO	1.930% 09/05/2018 DD 09/04/18	(109,987)	109,987
J P MORGAN CHASE TRI REPO	1.930% 09/05/2018 DD 09/04/18	(312,915)	312,915
SOCIETE GENERALE TRI REPO	1.930% 09/05/2018 DD 09/04/18	(168,297)	168,297
CREDIT AGRICOLE TRI REPO	1.930% 09/05/2018 DD 09/04/18	(161,926)	161,926
SOCIETE GENERALE TRI REPO	1.920% 09/06/2018 DD 09/05/18	(146,862)	146,862
CITIBANK NY ST TRI REPO	1.930% 09/05/2018 DD 09/04/18	(105,876)	105,876
BARCLAYS BANK PLC TRI REPO	1.930% 09/06/2018 DD 09/05/18	(121,252)	121,252
BNP PARIBAS TRI REPO	1.920% 09/06/2018 DD 09/05/18	(203,912)	203,912
J P MORGAN CHASE TRI REPO	1.930% 09/06/2018 DD 09/05/18	(249,016)	249,016
DEUTSCHE BK FINL TRI REPO	1.910% 09/07/2018 DD 09/06/18	(106,954)	106,954
BNP PARIBAS TRI REPO	1.910% 09/07/2018 DD 09/06/18	(187,067)	187,067
J P MORGAN CHASE TRI REPO	1.910% 09/07/2018 DD 09/06/18	(203,751)	203,751
SOCIETE GENERALE TRI REPO	1.910% 09/07/2018 DD 09/06/18	(117,015)	117,015
BARCLAYS BANK PLC TRI REPO	1.910% 09/10/2018 DD 09/07/18	(139,928)	139,928
CITIBANK NY ST TRI REPO	1.910% 09/10/2018 DD 09/07/18	(198,205)	198,205
J P MORGAN CHASE TRI REPO	1.920% 09/10/2018 DD 09/07/18	(139,370)	139,370
EURO-BUND FUTURE	(EUX) EXP SEP 18	-	13,299
EURO-BUND FUTURE	(EUX) EXP DEC 18	-	87,773
EURO-BTP FUTURE	(EUX) EXP SEP 18	-	(64,997)
EURO-BTP FUTURE	(EUX) EXP DEC 18	-	(5,922)
EL.EN. SPA		(1,118,181)	590,018
CIRSA FINANCE INTERNATION REGS	6.250% 12/20/2023	(518,864)	503,804
TAV HAVALIMANLARI HOLDING AS		(703,308)	654,353
ROLLS-ROYCE HOLDINGS PLC PFD		(17,678)	17,571
ROLLS ROYCE HLDGS C SHS ENTITLEMENT	(JULY 2018)	(19,695)	18,693
DIGNITY PLC		(1,177,262)	1,083,265
NIPPON CERAMIC CO LTD		(719,204)	645,487
MERCARI INC		(63,355)	98,082
FINETEX ENE INC		(615,475)	10,560
INDONESIA TREASURY BOND	7.000% 05/15/2027	(471,813)	450,015
INDONESIA TREASURY BOND	6.125% 05/15/2028	(1,436,320)	1,386,191
AMEX GBT	8/18 COV-LITE TLB 0.000% 07/20/2025 DD 07/27/18	(235,225)	236,994
RUSSIAN FEDERAL BOND	OFZ 8.150% 02/03/2027	(969,442)	979,810
ARGENTINA POM POLITICA MONETAR	VAR RT 06/21/2020	(1,902,846)	1,732,684
QUALICORP CONSULTORIA	E CORRET	(660,426)	395,449
MOVIDA PARTICIPACOES SA		(808,634)	542,529
BANCO ABC BRASIL SA RTS		-	4,073
MEXICAN BONOS	8.000% 12/07/2023	(479,134)	470,076
MEXICAN BONOS	7.500% 06/03/2027	(2,368,506)	2,299,390
MEXICAN BONOS	8.000% 11/07/2047	(1,871,565)	1,794,327
MEXICAN BONOS	5.750% 03/05/2026	(963,959)	982,838
AKZONOBEL SPECIALTY CHEMICALS	0.000% 09/19/2025 DD 09/06/18	(388,050)	384,150
VODAFONEZIGGO	1/17 (USD) TLE	(1,409,558)	1,391,679
CCP_CDX. BP UL CDX.NA.HY.30_V1 PAY	500BPS 2023 JUN 20	(342,182)	341,489
CCP_CDX. BP UL CDX.NA.HY.31_V1 PAY	500BPS 2023 DEC 20	(0)	0
CCP_CDX._BP UL CDX.NA.HY.31 CM PAY	500BPS 2023 DEC 20	1,715,755	(1,627,235)
CCP_CDX. SP UL CDX-NAHYS31V1-5 REC	500BPS 2023 DEC 20	(1,461,521)	1,613,127
CCP_CDX._SP UL CDX-NAHYS31V1-5 REC	500BPS 2023 DEC 20	0	(0)
CCP_CDX._BP UL CDX.NA.HY.30_V1 PAY	500BPS 2023 JUN 20	590,750	(619,375)
CCP_CDX._BP UL CDX-NAHYS30V1-5 PAY	500BPS 2023 JUN 20	2,211,971	(2,252,018)
CDX. BP UL CDX.EM.29_V1 P	100BPS 2023 JUN 20	(3,019,271)	2,955,038
CDX._BP UL CDX.EM.29_V1 P	100BPS 2023 JUN 20	0	(0)
CCP_CDX. BP UL CDX.NA.HY.30_V1 PAY	500BPS 2023 JUN 20	(0)	0
CCP_CDX. BP UL CDX.NA.HY.30_V1 PAY	500BPS 2023 JUN 20	(0)	0

Rockwell Collins Pension Plan

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(ACQUIRED AND DISPOSED OF WITHIN THE YEAR)

For the year ended December 31, 2018

EMPLOYER ID NO: 52-2314475, PLAN #: 001

Identity of Issuer, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost of Acquisitions	Proceeds of Dispositions
CCP_CDX._BP UL CDX.NA.HY.30_V1 PAY	500BPS 2023 JUN 20	621,670	(675,820)
BAHRAIN GOVERNMENT INTERN REGS	6.125% 08/01/2023	(393,000)	405,000
PRIME BLOOM HOLDINGS LTD REGS	7.500% 12/19/2019	(514,250)	515,625
UKRAINE GOVERNMENT INTERN REGS	7.375% 09/25/2032	(949,200)	946,400
TELENET FINANCE LUXEMBOUR	144A 5.500% 03/01/2028	(770,000)	732,000
DEUTSCHE BANK AG REGS	VAR RT 12/31/2049	(755,750)	689,000
PROVINCIA DE BUENOS AIRES	144A 7.875% 06/15/2027	(934,738)	886,050
COUNTRY GARDEN HOLDINGS C REGS	4.750% 09/28/2023	(950,013)	935,980
SAUDI GOVERNMENT INTERNAT REGS	4.625% 10/04/2047	(475,410)	482,300
AP MOLLER	MAERSK A/S	(241,748)	272,409
KONE OYJ		(42,025)	43,299
BOLIDEN AB		(7,106)	14,903
ACADEMEDIAAB		(784,362)	558,619

SCHEDULE SB ATTACHMENTS

Schedule SB, Line 24
Change in Actuarial Assumptions

Change in assumptions since prior valuation	The segment interest rates used to calculate the funding target and target normal cost were updated to the current valuation date as required by IRC 430. The mortality table used to calculate the funding target and target normal cost was updated to include one additional year of projected mortality improvement, as required by IRC 430. Assumptions used for funding purposes are as prescribed by IRC §430(h). Note that the plan sponsor has decided, as allowed by regulation §1.430(h)(3)-1, to delay until the 2019 plan year updating the required mortality table to reflect the RP-2014 study and subsequent Society of Actuaries studies.