## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I		: Identification Informatior	1						
For calend	lar plan year 2017 or fi	iscal plan year beginning 01/01/	2017	and ending 1	2/31/2017				
A This re	turn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
		a one-participant plan	a foreign plan						
<b>B</b> This ret	urn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year return/report (less than 12 months)						
C Check	box if filing under:	X Form 5558	automatic extension	ı	DFVC progra	am			
		special extension (enter desc	' '						
Part II	Basic Plan Info	ormation—enter all requested in	nformation						
1a Name SECTION 4	•	PLAN FOR ENACT, INC.			1b Three-dig plan num (PN) ▶				
					1c Effective	date of plan 01/01/2005			
		oyer, if for a single-employer plan)			<b>2b</b> Employer	Identification Number			
	`	om, apt., suite no. and street, or P.0 ce, country, and ZIP or foreign pos	,	structions)	(EIN) 13-3422660				
ENACT, INC		76, 00 a.m.), a.m. = 0. 1010.g. p00			<b>2c</b> Sponsor's telephone number 212-741-6591				
					2d Business	code (see instructions)			
630 9TH AV NEW YORK	ENUE SUITE 305 , NY 10036				711100				
3a Plan a	administrator's name a	nd address X Same as Plan Spo	onsor.		<b>3b</b> Administr	ator's EIN			
					<b>3c</b> Administr	rator's telephone number			
						·			
4 If the	name and/or EIN of th	e plan sponsor or the plan name h	as changed since the last	return/report filed for	4b EIN				
this p	lan, enter the plan spo	onsor's name, EIN, the plan name							
a Sponsor's name C Plan Name									
O Hairi	vario								
5a Total number of participants at the beginning of the plan year				. 5a					
		s at the end of the plan year			. 5b	9			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c	9			
d(1) Total number of active participants at the beginning of the plan year					5d(1)	d(1) 0			
d(2) Total number of active participants at the end of the plan year				5d(2)	0				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				<b>5e</b> 0					
Caution: A	A penalty for the late	or incomplete filing of this retur	n/report will be assesse	d unless reasonable ca					
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.									
SIGN		d/valid electronic signature.	10/16/2019	GREGORY R. COX					
HERE	Signature of plan a	administrator	Date	Enter name of individ	dual signing as plan administrator				
SIGN									
HERE	Signature of emplo	over/plan sponsor	Date	Enter name of individ	vidual signing as employer or plan sponsor				

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b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X Yes		
Ū	If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year							. (See instructions.)	
Pa	t III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (	of Year			(b) End	of Year	
а	Total plan assets	. 7a	15	156865			176621		
b	Total plan liabilities	. 7b		0			0		
С	Net plan assets (subtract line 7b from line 7a)	. 7c	15	156865			176621		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount		(b) Total			
<u>а</u>	Contributions received or receivable from: (1) Employers			0					
	(2) Participants	. 8a(2)		0					
	(3) Others (including rollovers)	. 8a(3)		0					
<u>b</u>	Other income (loss)	. 8b	•	19936					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c				19936		19936	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d	0						
е	Certain deemed and/or corrective distributions (see instructions)	. 8e		0					
f	Administrative service providers (salaries, fees, commissions)	. 8f		180					
g	Other expenses	. 8g		0					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h					180		
i	Net income (loss) (subtract line 8h from line 8c)	. 8i				19756			
j	Transfers to (from) the plan (see instructions)	- 8j		0					
Pai	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2F 2G 2L	feature co	des from the List of Pl	an Cha	racteri	stic Co	des in the inst	ructions:	
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	es from the List of Pla	n Chara	acterist	ic Code	es in the instr	uctions:	
Par	V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributes described in 29 CFR 2510.3-102? (See instructions and DOL's \Program)	oluntary F	iduciary Correction	10a		X			
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X			
С	Was the plan covered by a fidelity bond?			10c	X			30000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X		00000	
е	• Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X			
_ f	f Has the plan failed to provide any benefit when due under the plan?					X			
	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X			6747	
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X			
i	If 10h was answered "Yes," check the box if you either provided t exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)					
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?  (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)	1 302 of		Yes X No		
<b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiverMonth Day Year						
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A		
Part VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X No		
<b>c</b> If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s): 13c(2)				<b>13c(3)</b> PN(s)		