Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Parti	Allilual Repor	t identification information							
For calend	For calendar plan year 2018 or fiscal plan year beginning 01/01/2019 and ending 04/25/2019								
A This re	turn/report is for:		r) (Filers checking this box must attach a accordance with the form instructions.)						
D		a one-participant plan	a foreign plan						
B This ret	urn/report is	the first return/report	X the final return/report						
		an amended return/report	oort X a short plan year return/report (less than 12 months)						
C Check	box if filing under:	Form 5558	automatic extension	extension DFVC program					
		special extension (enter desc	ription)						
Part II	Basic Plan Inf	ormation—enter all requested ir	formation						
1a Name	of plan				1b Three-digit	t			
ALPHA-TEC SYSTEMS, INC. 401(K) PLAN					plan numb				
					(PN) •	001			
					1c Effective d	•			
					09/01/2014				
		loyer, if for a single-employer plan)	2. D)		2b Employer Identification Number				
		om, apt., suite no. and street, or P.0		tructions)	(EIN) 91-1697987				
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) ALPHA-TEC SYSTEMS, INC.			in doubles,	2c Sponsor's telephone number 360-260-2779					
					2d Business of	code (see instructions)			
	HEAST CARDINAL C	COURT				621510			
#170 VANCOUVE	R, WA 98683					02.0.0			
3a Plan a	administrator's name	and address 🔀 Same as Plan Spo	nsor.		3b Administra	tor's EIN			
				-	30 Administrator de del control con constitue				
					3c Administrator's telephone number				
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.					4b EIN				
•	sor's name	onsor s name, Env, the plan name	and the plan number nom	ine last return/report.	4d PN				
C Plan Name									
•									
5a Total	number of participan	ts at the beginning of the plan year.			5a	29			
b Total number of participants at the end of the plan year					5b	0			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			-	5c	0				
d(1) Total number of active participants at the beginning of the plan year				5d(1)	29				
d(2) Total number of active participants at the end of the plan year				5d(2)	0				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	0					
		or incomplete filing of this retur			se is establishe	ed.			
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.									
SIGN		d/valid electronic signature.	11/13/2019	CHRISTOPHER WILLI	AMS				
HERE	Signature of plan	administrator	Date	Enter name of individu	ıal signing as pla	n administrator			
SIGN									
HERE	Signature of emp	loyer/plan sponsor	Date	Enter name of individu	ridual signing as employer or plan sponso				

Form 5500-SF (2018) Page **2**

	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.							No No		
С								Not determin (See instruction		
Pa	rt III Financial Information	1								
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End of Year			
a	Total plan assets	7a	14	47617				0		
<u>b</u>	Total plan liabilities	7b								
	Net plan assets (subtract line 7b from line 7a)	7c	14	147617		0				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
а	Contributions received or receivable from: (1) Employers	8a(1)		0						
	(2) Participants	8a(2)	2	22052						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b		23033						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						45085		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d								
е	Certain deemed and/or corrective distributions (see instructions)	· · · · · · · · · · · · · · · · · · ·		8121						
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					8121			
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						36964		
<u>j</u>	Transfers to (from) the plan (see instructions)	8j	-1	84581						
Pai	rt IV Plan Characteristics									
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2J 2F 2G 3D 3H 2T									
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b		Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				X				
С	Was the plan covered by a fidelity bond?			10c		X				
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		Х				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X				
h	1 If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i						

Form 5500-SF (2018)	Page 3-

Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sche (Form 5500) and line 11a below)	edule SI	3 	_ Y	'es 📗 No	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?			Y	′es 🛚 No	
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver		he date o	f the letter Year _	r ruling	
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A	
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		X Yes	No	0	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			(
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			X Yes No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to				
13c(1) Name of plan(s): 13c(2)			(s) 13c(3) PN(s)			
NATE	RACE PRODUCTS, LLC RETIREMENT PLAN 46-3817081	. ,		002		