Form 5500-SF

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500-SF.**

OMB Nos. 1210-0110
1210-0089

2018

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018

A This return/report is for:
- [X] a single-employer plan
- [ ] a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [ ] a one-participant plan
- [ ] a foreign plan

B This return/report is
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] DFVC program
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
NEXUS DISPOSAL 401(K) PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 01/01/2014

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
NEXUS DISPOSAL LLC

6131 THOMAS ROAD
HOUSTON, TX 77041

2b Employer Identification Number (EIN) 32-0037599

2c Sponsor's telephone number 713-996-9090

2d Business code (see instructions) 562000

3a Plan administrator's name and address [X] Same as Plan Sponsor.

3b Administrator's EIN

3c Administrator's telephone number

4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.
a Sponsor's name
c Plan Name

4b EIN

4d PN

5a Total number of participants at the beginning of the plan year	**5a**	72
b Total number of participants at the end of the plan year	**5b**	70
c Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**5c**	19
d(1) Total number of active participants at the beginning of the plan year	**5d(1)**	70
d(2) Total number of active participants at the end of the plan year	**5d(2)**	69
e Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	**5e**	1

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	12/06/2019	BEATRIZ FLORES
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor

6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) [X] Yes [ ] No

b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) [X] Yes [ ] No

If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.

c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? [ ] Yes [ ] No [ ] Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year_____. (See instructions.)

Part III Financial Information

7 Plan Assets and Liabilities		(a) Beginning of Year	(b) End of Year
a Total plan assets	7a	104844	148746
b Total plan liabilities	7b		
c Net plan assets (subtract line 7b from line 7a)	7c	104844	148746
8 Income, Expenses, and Transfers for this Plan Year		**(a) Amount**	**(b) Total**
a Contributions received or receivable from: (1) Employers	8a(1)	34993	
(2) Participants	8a(2)	44741	
(3) Others (including rollovers)	8a(3)		
b Other income (loss)	8b	-8867	
c Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		70867
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	22648	
e Certain deemed and/or corrective distributions (see instructions)	8e		
f Administrative service providers (salaries, fees, commissions)	8f	4317	
g Other expenses	8g		
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h		26965
i Net income (loss) (subtract line 8h from line 8c)	8i		43902
j Transfers to (from) the plan (see instructions)	8j		

Part IV Plan Characteristics

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:
2E 2F 2G 2J 2K 2T 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part V Compliance Questions

10 During the plan year:		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a	X		4
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X	
c Was the plan covered by a fidelity bond?	10c	X		11000
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X	
e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X	
f Has the plan failed to provide any benefit when due under the plan?	10f		X	
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	10g		X	
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X	
i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i			

Part VI Pension Funding Compliance

11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)	☐ Yes ☐ No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	**11a**
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)	☐ Yes ☒ No
a	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month _____ Day _____ Year _____	

If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.

b	Enter the minimum required contribution for this plan year	**12b**	
c	Enter the amount contributed by the employer to the plan for this plan year	**12c**	
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	**12d**	
e	Will the minimum funding amount reported on line 12d be met by the funding deadline?		☐ Yes ☐ No ☐ N/A

Part VII Plan Terminations and Transfers of Assets

13a	Has a resolution to terminate the plan been adopted in any plan year?		☐ Yes ☒ No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	**13a**	
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		☐ Yes ☒ No

c If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s):	**13c(2)** EIN(s)	**13c(3)** PN(s)

P.O. Box 41188 Houston, TX 77241-1188 • Phone: 713.996.9090 Fax: 713.996.9595 • www.nexusdisposal.com

12/02/2019

RE: **5500 FILING 2018**

To Whom It May Concern:

I am writing to request an abatement of DOL and IRS penalties for late filing of the 2018 Form 5500.

We ask for a waiver of the fees and feel there was reasonable cause for such delay in filing. As a small business Nexus Disposal only has one Human Resources representative. Our HR Manager was terminated on 7/19/19 due to serious mishandling of an office incident which was terroristic in nature. Upon my return from summer vacation on 08/12/19, I took up to cleaning the HR desk and found compliance tasks incomplete and stacks of papers to file.

I took up the challenge to clean up that position while still managing my responsibilities as comptroller. I posted the open position on Indeed.com on 09/14/19. Attached is a copy of our Nexus portal showing a summary of the Indeed post. To-date I still have not been able to fill the position due to the small pool of available bilingual candidates.

Hurricane Imelda hit Houston on 09/19/19 causing our office to flood and thrust upper management and myself into full force remediation for the next 30 days. My tax deadlines and interviews all postponed. November was catch-up month for all my accounting responsibilities and I resumed with interviews for the HR position. As I am reaching year-end and things have begun to settle, my priority is our company compliance.

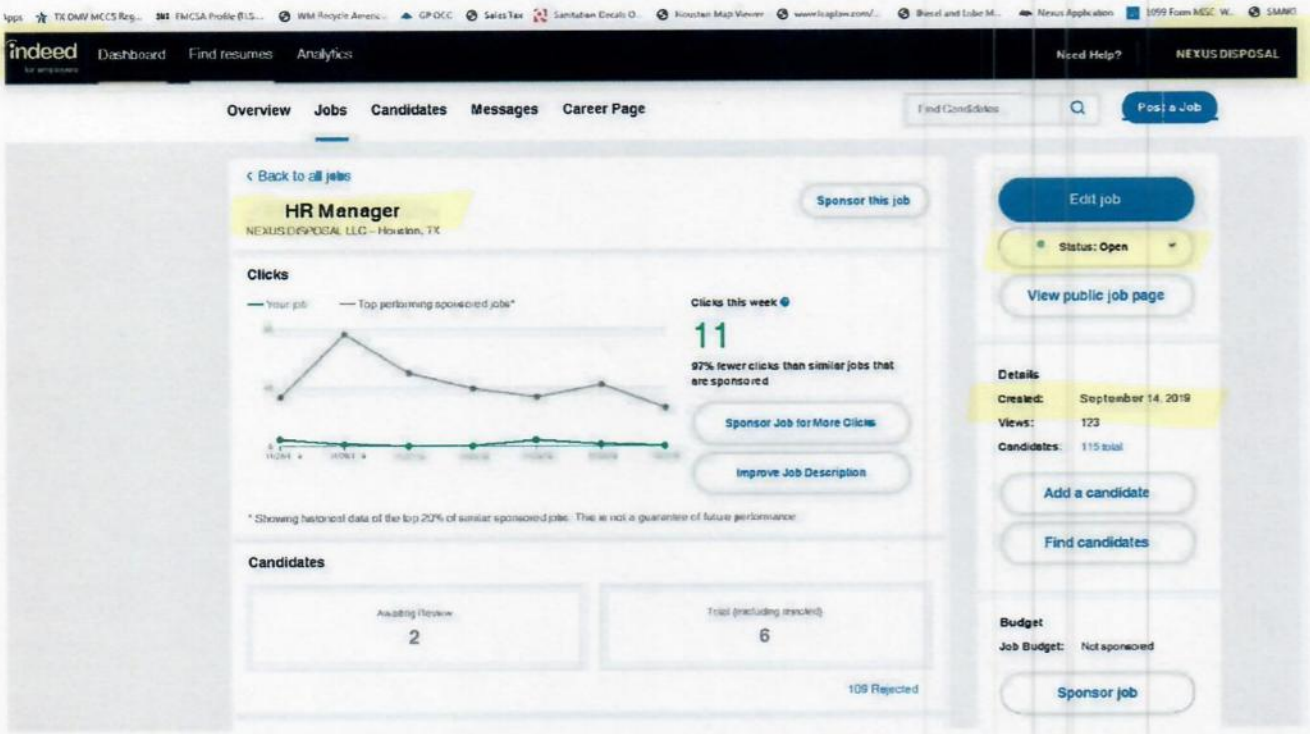
Please accept this statement of reasonable cause for late filing so that any penalties or fines may be waived in consideration of the difficult circumstances above. I may be reached at 713-990-9090 for further questions or concerns.

Sincerely,

Beatriz Flores

Beatriz Flores, Comptroller
Nexus Disposal LLC

Don't Trash Texas Call Nexus!


indeed
Dashboard
Find resumes
Analytics
Need Help?
NEXUS DISPOSAL
Overview
Jobs
Candidates
Messages
Career Page
Post a Job
Back to all jobs
HR Manager
NEXUS DISPOSAL LLC – Houston, TX
Sponsor this job
Edit job
Status: Open
View public job page
Clicks
Your job
Top performing sponsored jobs*
Clicks this week
11
97% fewer clicks than similar jobs that are sponsored
Sponsor Job for More Clicks
Improve Job Description
* Showing historical data of the top 20% of similar sponsored jobs. This is not a guarantee of future performance
Details
Created: September 14, 2019
Views: 123
Candidates: 115 total
Add a candidate
Find candidates
Candidates
Awaiting Review
2
Total (including rejected)
6
109 Rejected
Budget
Job Budget: Not sponsored
Sponsor job