Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2018

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2018 or fiscal plan year beginning 06/01/2018 and ending 05/31/2019

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 01/01/1966

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
PAUL HASTINGS LLP

515 SOUTH FLOWER STREET, FLOOR 25
LOS ANGELES, CA 90071-2228

2b Employer Identification Number (EIN)
95-2209675

2c Plan Sponsor's telephone number
213-683-6000

2d Business code (see instructions)
541110

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	03/16/2020	CHRISTIE SMITH
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a	Plan administrator's name and address [X] Same as Plan Sponsor	**3b**	Administrator's EIN
		3c	Administrator's telephone number
4	If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: **a** Sponsor's name **c** Plan Name	**4b**	EIN
		4d	PN

5	Total number of participants at the beginning of the plan year	**5**	2636
6	Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1)	Total number of active participants at the beginning of the plan year	**6a(1)**	1612
a(2)	Total number of active participants at the end of the plan year	**6a(2)**	1568
b	Retired or separated participants receiving benefits	**6b**	72
c	Other retired or separated participants entitled to future benefits	**6c**	1057
d	Subtotal. Add lines **6a(2)**, **6b**, and **6c**	**6d**	2697
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	0
f	Total. Add lines **6d** and **6e**.	**6f**	2697
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	2467
h	Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	0
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2A 2E 2F 2G 2J 2R 2T 3B 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)		**9b** Plan benefit arrangement (check all that apply)	
(1)	[ ] Insurance	**(1)**	[ ] Insurance
(2)	[ ] Code section 412(e)(3) insurance contracts	**(2)**	[ ] Code section 412(e)(3) insurance contracts
(3)	[X] Trust	**(3)**	[X] Trust
(4)	[ ] General assets of the sponsor	**(4)**	[ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [ ] 0 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2018 Form M-1 annual report. If the plan was not required to file the 2018 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2018** **This Form is Open to Public Inspection.**

For calendar plan year 2018 or fiscal plan year beginning 06/01/2018 and ending 05/31/2019

A Name of plan
PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
PAUL HASTINGS LLP

D Employer Identification Number (EIN)
95-2209675

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ONEAMERICA RETIREMENT SERVICES

46-5378846

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 37 38 64 65	RECORDKEEPER	519250	Yes [X] No [ ]	Yes [X] No [ ]	221503	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

MORGAN STANLEY

36-3145972

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	147537	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

MERRILL LYNCH

13-3266276

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	101053	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

HALBERT HARGROVE RUSSELL

33-0301336

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	91489	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

WELLS FARGO ADVISORS

1515 W. 22ND STREET
SUITE 700
OAKBROOK, IL 60523

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	30583	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

MONOGRAPH WEALTH ADVISORS

830 S SUPULVEDA BLVD.
SUITE 202
EL SEGUNDO, CA 90245

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	27833	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

CITY NATIONAL BANK

36-3145972

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	25707	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

SANDFORD BERNSTEIN

1999 AVENUE OF THE STARS
21ST FLOOR
LOS ANGELES, CA 90067

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	23702	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

PALO CAPITAL

888 SAN CLEMENTE DRIVE
SUITE 150
NEWPORT BEACH, CA 92660

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	17817	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

RBC WEALTH MANAGEMENT

345 CALIFORNIA STREET
SUITE 2900
SAN FRANCISCO, CA 94104

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	17483	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

NORTHWESTERN MUTUAL SEC/PERSHING

515 S. FLOWER STREET
OMAHA, NE 68103

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	14196	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

LPL FINANCIAL

20-3717839

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	12838	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

TORTOISE INVESTMENT MGMT/SCHWAB

2 WESTCHESTER PARK DRIVE
SUITE 215
WHITE PLAINS, NY 10604

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	12494	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

PERIGON WEALTH MANAGEMENT, LLC

201 MISSION STREET
SUITE 1825
SAN FRANCISCO, CA 94105

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	11222	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

GV FINANCIAL ADVISORS

1100 ABERNATHY ROAD, STE 500
ATLANTA, GA 30328

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	9004	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

PONDEROSA WEALTH MANAGEMENT

1129 IRON SPRINGS ROAD
SUITE 201
PRESCOTT, AZ 86305

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	7862	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

STONNINGTON INVESTMENT ADVISORS

20-0572663

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	7063	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

LEE-WAY FINANCIAL

6659 HWY. 98
WEST HATTIESBURG, MS 39402

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	5034	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

CHELSEA MANAGEMENT CO

444 SOUTH FLOWER STREET
SUITE 2340
LOS ANGELES, CA 90071

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 33 51	INVESTMENT ADVISOR	0	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

UBS FINANCIAL SERVICES

13-2638166

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	0	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

MATRIX TRUST COMPANY

75-3182674

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
18 19 21 25 49 50 62	TRUSTEE	0	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [X] No [ ]

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
MATRIX TRUST COMPANY	18 19 21 25 49 50 62	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MATRIX TRUST COMPANY 75-3182674	SEE ATTACHMENT 'MATRIX TRUST COMPANY SCHEDULE C DISCLOSURES'

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
MSCS FINANCIAL SERVICES	50 59 60 61 63 68	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSCS FINANCIAL SERVICES 33-1151291	SEE ATTACHMENT 'MATRIX TRUST COMPANY SCHEDULE C DISCLOSURES'

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
TD AMERITRADE, INC.	59 60 63 68	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TD AMERITRADE, INC. 82-0543156	SEE ATTACHMENT 'TDA PH SCHEDULE C DISCLOSURES'

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2018

This Form is Open to Public Inspection.

For calendar plan year 2018 or fiscal plan year beginning 06/01/2018 and ending 05/31/2019

A Name of plan
PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
PAUL HASTINGS LLP

D Employer Identification Number (EIN)
95-2209675

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: PRUDENTIAL STABLE VALUE FUND

b Name of sponsor of entity listed in (a): PRUDENTIAL TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
23-6991310-126	C	80305571

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2018

This Form is Open to Public Inspection

For calendar plan year 2018 or fiscal plan year beginning 06/01/2018 and ending 05/31/2019

A Name of plan
PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
PAUL HASTINGS LLP

D Employer Identification Number (EIN)
95-2209675

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	193525	1193909
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	13886543	14066800
(2) Participant contributions	1b(2)	0	0
(3) Other	1b(3)	0	0
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	6858712	8951743
(2) U.S. Government securities	1c(2)	103017	254448
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	264931	196709
(B) All other	1c(3)(B)	71035	318787
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	364224	426469
(B) Common	1c(4)(B)	21590798	18941294
(5) Partnership/joint venture interests	1c(5)	3749372	5874840
(6) Real estate (other than employer real property)	1c(6)	0	0
(7) Loans (other than to participants)	1c(7)	0	0
(8) Participant loans	1c(8)	3206678	2999942
(9) Value of interest in common/collective trusts	1c(9)	76225952	80305571
(10) Value of interest in pooled separate accounts	1c(10)	0	0
(11) Value of interest in master trust investment accounts	1c(11)	0	0
(12) Value of interest in 103-12 investment entities	1c(12)	0	0
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	528756864	546932921
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	0	0
(15) Other	1c(15)	5455	-9471

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)	0	0
	(2) Employer real property	1d(2)	0	0
e	Buildings and other property used in plan operation	1e	0	0
f	Total assets (add all amounts in lines 1a through 1e)	1f	655277106	680453962
	Liabilities			
g	Benefit claims payable	1g	0	0
h	Operating payables	1h	0	0
i	Acquisition indebtedness	1i	0	0
j	Other liabilities	1j	0	2135
k	Total liabilities (add all amounts in lines 1g through1j)	1k	0	2135
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	655277106	680451827

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	27541662	
	(B) Participants	2a(1)(B)	16801880	
	(C) Others (including rollovers)	2a(1)(C)	9198821	
	(2) Noncash contributions	2a(2)	0	
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		53542363
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	263538	
	(B) U.S. Government securities	2b(1)(B)	5456	
	(C) Corporate debt instruments	2b(1)(C)	15406	
	(D) Loans (other than to participants)	2b(1)(D)	0	
	(E) Participant loans	2b(1)(E)	177030	
	(F) Other	2b(1)(F)	175383	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		636813
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)	0	
	(B) Common stock	2b(2)(B)	375175	
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	24003153	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		24378328
	(3) Rents	2b(3)		0
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	23486107	
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)	23179482	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		306625
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)	0	
	(B) Other	2b(5)(B)	1133884	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		1133884

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		1932795
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		-12900904
c Other income	2c		666516
d Total income. Add all **income** amounts in column (b) and enter total	2d		69696420
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	43436192	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		43436192
f Corrective distributions (see instructions)	2f		0
g Certain deemed distributions of participant loans (see instructions)	2g		0
h Interest expense	2h		0
i Administrative expenses: (1) Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	519200	
(3) Investment advisory and management fees	2i(3)	571189	
(4) Other	2i(4)	-4882	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		1085507
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		44521699
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		25174721
l Transfers of assets:			
(1) To this plan	2l(1)		0
(2) From this plan	2l(2)		0

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: MEIR & MEIR **(2)** EIN: 95-4359536

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		500000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ..... ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year_____. (See instructions.)

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2018

This Form is Open to Public Inspection.

For calendar plan year 2018 or fiscal plan year beginning 06/01/2018 and ending 05/31/2019

A Name of plan
PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
PAUL HASTINGS LLP

D Employer Identification Number (EIN)
95-2209675

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 75-3182674

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

Paul Hastings LLP Defined Contribution Retirement Plan

Financial Statements and Supplemental Schedule

As of May 31, 2019 and 2018 and for the Year Ended May 31, 2019

Paul Hastings LLP Defined Contribution Retirement Plan

Contents

Financial Statements and Supplemental Schedule

As of May 31, 2019 and 2018, and for the Year ended May 31, 2019

Report of Independent Auditors 1-2

Financial Statements

Statements of Net Assets Available for Benefits (Modified Cash Basis) 3
Statement of Changes in Net Assets Available for Benefits (Modified Cash Basis) 4

Notes to Financial Statements 5-18

Supplemental Schedule

Schedule I – Form 5500 – Schedule H – Line 4i – Schedule of Assets (Held at End of Year) 19

Note: Schedules other than that listed above have been omitted because the information is otherwise disclosed, not applicable or not required by 29 CFR 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, as amended.

MEIR & MEIR LLP
CERTIFIED PUBLIC ACCOUNTANTS

www.meirandmeir.com
139 SOUTH B EVER LY DR, SUITE 204
BEVERLY HILLS, CA 90212
310 274 7541 TEL
310 274 1015 FAX

Report of Independent Auditors

To the Plan Administrative Committee of
the Paul Hastings LLP Defined Contribution Retirement Plan

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the Paul Hastings LLP Defined Contribution Retirement Plan, which comprise the statements of net assets available for benefits as of May 31, 2019 and 2018, and the related statement of changes in net assets available for benefits for the year ended May 31, 2019, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting our audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 10 which was certified by Matrix Trust Company, the trustee of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the trustee as of and for the years ended May 31, 2019 and 2018, that the information provided to the plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter- Supplemental Schedule

The supplemental schedule of Schedule H, line 4i – Schedule of Assets (Held at End of Year) as of May 31, 2019 is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule referred to above.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Other Matter- Basis of Accounting

As discussed in Note 2, these financial statements and supplemental schedule were prepared on a modified cash basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles.

Meir and Meir, LLP

Beverly Hills, California
March 8, 2020

Paul Hastings LLP Defined Contribution Retirement Plan

Statements of Net Assets Available for Benefits (Modified Cash Basis)

As of May 31,		**2019**		2018
Assets				
Investments, at fair value	**$**	**582,801,126**	$	561,902,648
Investment, at contract value		**80,305,571**		76,225,952
Receivables:				
Employer's contribution		**14,066,800**		13,886,543
Notes receivable from participants		**2,999,942**		3,206,678
		17,066,742		17,093,221
Net assets available for benefits	**$**	**680,173,439**	$	655,221,821

See accompanying notes.

Paul Hastings LLP Defined Contribution Retirement Plan

Statement of Changes in Net Assets Available for Benefits (Modified Cash Basis)

Year ended May 31,		**2019**
Additions		
Additions to net assets attributed to:		
Investment income:		
Net appreciation (depreciation) in fair value of investments	**$**	**(9,084,187)**
Interest		**284,400**
Dividends		**24,378,328**
Partnership distributions		**175,383**
Total investment income		**15,753,924**
Interest income on notes receivable from participants		**177,030**
Contributions:		
Participants		**16,801,880**
Employer		**27,541,662**
Rollovers		**9,198,821**
Total contributions		**53,542,363**
Total additions		**69,473,317**
Deductions		
Deductions from net assets attributed to:		
Benefits paid to participants		**43,436,192**
Administrative expenses		**1,085,507**
Total deductions		**44,521,699**
Net increase		**24,951,618**
Net assets available for benefits:		
Beginning of year		**655,221,821**
End of year	**$**	**680,173,439**

See accompanying notes.

Paul Hastings LLP Defined Contribution Retirement Plan

Notes to Financial Statements

1. Description Of Plan

The following description of the Paul Hastings LLP Defined Contribution Retirement Plan ("Plan"), provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General

The Plan is a defined contribution plan covering substantially all full-time employees and partners of Paul Hastings LLP ("Company"). It is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA). The Administrative Committee oversees governance of the Plan. The Plan's Investment Committee determines the appropriateness of the Plan's investment offerings, monitors investment performance, and reports to the Plan's Administrative Committee.

Contributions

The maximum annual employee contribution to the Plan is $19,000 for the calendar year 2019, under the Internal Revenue Code Section 402(g), subject to the limitations in the statutory anti-discrimination test contained in Code Section 401(k), statutory limitations with regard to annual deferrals and catch up deferrals, and any other limitations in the Plan or established by the plan administrator. Employees who are at least 50 years old on or before December 31, 2019 are able to make an additional contribution of $6,000.

Employees are eligible for an employer contribution if they have at least two years of service and are employed at the plan year-end. The employer contributes 8% of each eligible participant's salary plus 5.7% of the amount of the participant's salary, if any, which exceeds the social security wage base of $132,900 for the plan year ended May 31, 2019. Contributions to the Plan for partners are specified by the Company and are withheld from the partners' compensation.

All non-attorney employees who have been participants for at least 5 years and all attorney-employees who are otherwise eligible for the Plan are required to make automatic contributions. Automatic contributions begin on the first day of the month following completion of 5 years of participation for eligible non-attorneys or following commencement of employment for eligible attorney-employees. The automatic contributions rate for non-attorneys is 5% of compensation; the automatic contributions rate for attorneys is 8% of compensation.

1. Description Of Plan (Continued)

Participant Accounts

Each participant's account is credited with the participant's contribution and allocations of (a) the Company's contribution and, (b) Plan earnings, and charged with an allocation of any administrative expenses paid by the Plan. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting

Participants are immediately vested in their voluntary contributions, Company's contributions, plus actual earnings thereon.

Notes Receivable from Participants

Participants may borrow from their fund account a minimum of $1,000 up to a maximum equal to the lesser of $50,000 or 50% of their account balance. Loan transactions are treated as a transfer to (from) the investment fund from (to) the participant loan fund. Loan terms range up to five years. The loans are secured by the balance in the participant's account and bear interest at rates commensurate with local prevailing rates as determined periodically by the plan administrator. Principal and interest are paid ratably through payroll deductions.

Payment of Benefits

On termination of service due to death, disability or retirement, a participant may elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account, or to delay the distribution till the age of 65. In such case, at the age of 65 there would be an automatic distribution. Hardship withdrawals are also available. For termination of service due to other reasons, a participant may receive the value of the vested interest in his or her account as a lump-sum distribution.

Plan Administration

The Plan is administered by the Company. The plan administrator controls and manages the operation and administration of the Plan.

1. Description Of Plan (Continued)

Matrix Trust Company ("Matrix") is the trustee of the Plan and OneAmerica Retirement Services LLC ("OARS") is the recordkeeper.

Eligibility

All U.S. employees or partners are eligible to participate in the Plan upon becoming employees or partners of the Company.

Investment Options

Upon enrollment in the Plan, a participant may direct the investment of the Plan accounts in any of fourteen pool funds provided by the Company, or in a self-directed fund through the self-directed option. The fourteen pool funds and the self-directed fund are as follows:

Stable Value Fund (Prudential Capital Preservation Fund) – Promises a fixed rate of return that is announced before the beginning of the calendar quarter to which the rate applies.

Intermediate Term Bond Fund (Metropolitan West Total Return Bond Fund Class M) – Seeks to maximize long-term total return. The fund will maintain an average duration between 2 and 8 years. Investments can include government and corporate debt securities, mortgage-backed and asset-backed securities, money market instruments, and derivatives.

Inflation-Protected Bond Fund (BlackRock Inflation Protected Bond Fund Institutional) – Seeks to maximize real return, consistent with preservation of capital and prudent investment management.

High Yield Bond Fund (Principal High Yield Fund Institutional Class) – Seeks to provide a high level of current income and invests at least 80% of its net assets, plus any borrowings for investment purposes, in below investment grade bonds.

Balanced Fund (Janus Balanced Fund Class T) – Seeks to provide high current income and capital appreciation in up markets and preserve capital in down markets by investing in dividend paying stocks, bonds, preferred stocks and convertible securitites.

Large Value Fund (Putnam Equity Income Fund Class Y) – Seeks capital growth and current income. Focuses on large companies

1. Description Of Plan (Continued)

whose stocks are priced below their long-term potential, and where there may be a catalyst for positive change.

Large Blend Fund (Vanguard Institutional Index Fund Institutional Shares) – Seeks to track the performance of a benchmark index that measures the investment return of large-capitalization stocks. The fund employs an indexing investment approach designed to track the performance of the Standard & Poor's 500 index.

Large Growth Fund (Pioneer Fundamental Growth Fund Class Y) – Seeks long-term growth by investing primarily in the equity securities of U.S. companies that management believes have better than average growth potential.

Small/ Mid Value Fund (AB Discovery Value Fund Class 1) – Seeks long-term growth of capital by investing in small to medium sized companies believed to be undervalued.

Small/ Mid-Growth Fund (AB Discovery Growth Fund Class 1) – Seeks unexpected long-term growth potential from small- and mid-cap stocks.

Small/ Mid-Growth Fund (Eaton Vance Atlanta Capital SMID-Cap Fund Class A) – Seeks companies that are in strong financial condition and that management believes are attractively valued relative to earnings and cash flow per share.

Foreign Large Growth Fund (American Funds EuroPacific Growth Fund Class R-6) – Seeks to provide long-term growth of capital by investing in companies based outside the U.S.

Diversified Emerging Mkts Fund (Oppenheimer Developing Markets Fund Class Y) – Seeks long term capital appreciation investing in equity securities located in countries with emerging securities markets believed to have growth potential.

Real Estate Fund (Vanguard REIT Index Fund Institutional Shares) – Seeks to provide a high level of income and moderate long-term capital appreciation by tracking the performance of a benchmark index that measures the performance of publicly traded equity REITs.

1. Description Of Plan (Continued)

Self-Directed Fund – Funds are invested in investments directed by participants, including stocks, bonds, life insurance, and other financial assets.

2. Summary of Significant Accounting Policies

Basis of Accounting

These financial statements are prepared on the modified cash basis of accounting except for the investments which are adjusted to fair value (see Note 3), the accrual of Plan contributions, and accrued interest on notes receivable from participants; consequently, certain revenue is recognized when received rather than when earned, and expenditures are recognized when paid rather than when the obligation is incurred. Accordingly, the financial statements are not intended to present financial position and results of operations in conformity with generally accepted accounting principles.

Investments held by a defined contribution plan are required to be reported at fair value, except for fully benefit-responsive investment contracts. Contract value is the relevant measure for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants normally would receive if they were to initiate permitted transactions under the terms of the plan.

Use of Estimates

The preparation of financial statements in conformity with the modified cash basis of accounting requires the plan administrator to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosures of contingent assets and liabilities. Accordingly, actual results may differ from those estimates.

Investment Valuation and Income Recognition

Investments are reported at fair value, except for fully benefit-responsive investment contracts, which are reported at contract value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The plan administrator determines the Plan's valuation policies. See Note 3 for discussion of fair value measurements.

2. Summary of Significant Accounting Policies (Continued)

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. Related fees are charged directly to the borrowing participant's account and are included in administrative expenses when incurred. As of May 31, 2019, and 2018, no allowance for credit losses has been recorded. If a participant does not make loan repayments and the plan administrator considers the participant loan to be in default, the loan balance is reduced, and the delinquent participant note receivable is recorded as a benefit payment based on the terms of the Plan document.

Payment of Benefits

Benefits are recorded when paid.

Expenses

Certain expenses of maintaining the Plan are paid by the Company and are therefore excluded from these financial statements (see Note 5). Investment-related expenses are included in net appreciation in fair value of investments. Fees for the administration of notes receivable from participants are included in administrative expenses and charged directly to the participant's account.

Net Appreciation (Depreciation) in Fair Value of Investments

Net appreciation (depreciation) in fair value of investments is based on the fair value of the assets at the beginning of the Plan year, or at the time of purchase during the Plan year, and the related fair value on the day investment are sold with respect to realized appreciation (depreciation), or on the last day of the year with respect to unrealized appreciation (depreciation).

2. Summary of Significant Accounting Policies (Continued)

Realized and unrealized appreciation (depreciation) is recorded in the accompanying statement of changes in net assets available for benefits as net appreciation (depreciation) in fair value of investments.

Date of Management's Review of Subsequent Events

Subsequent events were evaluated through March 8, 2020, which is the date the financial statements were available to be issued.

3. Fair Value Measurements

The Plan's investments are reported at fair value in the accompanying statements of net assets available for benefits. The methods used to measure fair value may produce an amount that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The fair value measurement accounting literature establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. This hierarchy consists of three broad levels: Level 1 inputs consist of unadjusted quoted prices in active markets for identical assets and have the highest priority, Level 2 inputs consist of observable inputs other than quoted prices for identical assets, and Level 3 inputs are unobservable and have the lowest priority. The Plan uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments. When available, the Plan measures fair value using Level 1 inputs because they generally provide the most reliable evidence of fair value. Level 2 inputs are used for investments for which Level 1 inputs were not available. Level 3 inputs would only be used if Level 1 or Level 2 inputs were not available.

Level 1 Fair Value Measurements

Cash equivalents primarily consist of money market mutual funds. These assets are priced at par, based on publicly traded prices.

The fair value of mutual funds is based on quoted net asset values of the shares as reported by the fund. The mutual funds held by the Plan

3. Fair Value Measurements (Continued)

are open-end mutual funds registered with the U.S. Securities and Exchange Commission. The funds must publish their daily net asset value and transact at that price. The mutual funds held by the Plan are considered to be actively traded.

The fair values of exchange traded products, publicly traded preferred stocks, publicly traded common stocks, and publicly traded partnerships are based on the closing price reported on the active market where the individual securities are traded.

Level 2 Fair Value Measurements

The fair values of U.S. government securities, corporate obligations, and notes, mortgages, and contracts are based on the bid price of similar instruments in the market, as determined by the asset class.

Level 3 Fair Value Measurements

Closely held common or preferred stocks are valued based on a statement provided by the Company or a third-party evaluation.

The fair value of partnerships is based on the capital account balance as provided by the partnership to the Plan.

For those assets with fair value measured using Level 3 inputs, the Plan administrator determines the fair value measurement policies and procedures in consultation with the Plan custodian. Those policies and procedures are reassessed at least annually to determine if the current valuation techniques are still appropriate. At that time, the unobservable inputs used in the fair value measurement are evaluated and adjusted, as necessary, based on current market conditions and other third-party information.

Quantitative Information about Significant Unobservable Inputs Used in Level 3 Fair Value Measurements

The following tables represents the significant unobservable inputs and the ranges of values for those inputs used to measure fair value of the Plan's Level 3 financial instruments using the income approach:

3. Fair Values Measurement (Continued)

As of May 31, 2019

Type	Valuation Techniques	Significant Unobservable Input	Range	Weighted Average
Partnerships	Capital Acct. Balance	Capital Acct. Balance	N/A	N/A
Closely Held Common Stocks	Price of most recent sale	Price of most recent sale	N/A	N/A
Closely Held Preferred Stocks	Price of most recent sale	Price of most recent sale	N/A	N/A

As of May 31, 2018

Type	Valuation Techniques	Significant Unobservable Input	Range	Weighted Average
Partnerships	Capital Acct. Balance	Capital Acct. Balance	N/A	N/A
Closely Held Common Stocks	Price of most recent sale	Price of most recent sale	N/A	N/A
Closely Held Preferred Stocks	Price of most recent sale	Price of most recent sale	N/A	N/A

The following tables set forth, by level within the fair value hierarchy, the Plan's investments at fair value:

	Fair Value Measurements at the End of the Reporting Period Using:			
As of May 31, 2019	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	Fair Value
Cash equivalents	$ 10,256,164	$ -	$ -	$ 10,256,164
Mutual funds	525,188,410	-	-	525,188,410
Exchange traded products	21,665,778	-	-	21,665,778
Preferred stocks	-	-	471,962	471,962
Common stocks	18,682,892	-	217,274	18,900,166
Partnerships	137,246	-	5,411,454	5,548,700
U.S. government securities	-	223,590	-	223,590
Corporate obligations	-	515,497	-	515,497
Notes, mortgages, and contracts	-	30,859	-	30,859
Total investment assets at fair value	$ 575,930,490	$ 769,946	$ 6,100,690	$ 582,801,126

3. Fair Value Measurements (Continued)

As of May 31, 2018	(Level 1)	(Level 2)	(Level 3)	Fair Value
Cash equivalents	$ 7,122,636	$ -	$ -	$ 7,122,636
Mutual funds	510,391,677	-	-	510,391,677
Exchange traded products	18,294,787	-	-	18,294,787
Preferred stocks	-	-	347,440	347,440
Common stocks	20,951,705	-	229,701	21,181,406
Partnerships	141,103	-	3,984,614	4,125,717
U.S. government securities	-	59,795	-	59,795
Corporate obligations	-	345,155	-	345,155
Notes, mortgages, and contracts	-	34,035	-	34,035
Total investment assets at fair value	$ 556,901,908	$ 438,985	$ 4,561,755	$ 561,902,648

The following tables reconcile the beginning and ending balances of the Level 3 fair value measurements:

As of May 31, 2019	**Common Stocks**	**Partnerships**	**Preferred Stocks**	**Total**
Beginning balance	**$ 229,701**	**$ 3,984,614**	**$ 347,440**	**$ 4,561,755**
Total gains or losses (realized and unrealized) included in changes in net assets available for benefits	**(4,552)**	**794,842**	**49,522**	**839,812**
Purchases	**-**	**1,429,362**	**75,000**	**1,504,362**
Sales	**(7,875)**	**(797,364)**	**-**	**(805,239)**
Ending balance	**217,274**	**5,411,454**	**471,962**	**6,100,690**
Total gains or losses for the year included in changes in net assets available for benefits attributable to the change in unrealized gains or losses relating to investments still held at the reporting date	**$ (4,552)**	**$ 687,612**	**$ 49,522**	**$ 732,582**

As of May 31, 2018	**Common Stocks**	**Partnerships**	**Preferred Stocks**	**Total**
Beginning balance	$ 235,850	$ 3,205,217	$ 329,225	$ 3,770,292
Total gains or losses (realized and unrealized) included in changes in net assets available for benefits	(6,149)	392,802	(16,784)	369,869
Purchases	-	1,228,178	34,999	1,263,177
Sales	-	(841,583)	-	(841,583)
Ending balance	229,701	3,984,614	347,440	4,561,755
Total gains or losses for the year included in changes in net assets available for benefits attributable to the change in unrealized gains or losses relating to investments still held at the reporting date	$ (6,149)	$ 83,223	$ (16,784)	$ 60,290

3. Fair Value Measurements (Continued)

Gains and losses (realized and unrealized) included in changes in net assets available for benefits for the year ended May 31, 2019 are reported in net appreciation in fair value of investments.

The Plan's policy is to recognize transfers between Level 1 and Level 2 and into and out of Level 3 at the end of the reporting period. For the years ended May 31, 2019 and 2018, there were no significant transfers between Level 1 and Level 2 or into or out of Level 3.

4. Guaranteed Investment Contract with Insurance Company

On February 8, 2005, the Plan invested an initial deposit of approximately $25,000,000 in a guaranteed investment contract with Prudential Retirement Insurance and Annuity Company ("Prudential"), which maintains the contributions in the Plan's designated trust account. The assets of this account are invested in the Prudential Core Conservative Fund (formerly the Prudential Enhanced Bonds Fund) ("Fund"), that is a part of the Prudential Trust Company Collective Trust. The fund invests primarily in corporate bonds, commercial mortgage-backed securities, U.S. government mortgage-backed securities, U.S. government treasury and agency obligations and money market funds.

Because the guaranteed investment contract meets the criteria to be considered fully benefit-responsive, contract value is the relevant measurement attribute for that portion of the net assets available for benefits attributable to the guaranteed investment contract. The guaranteed investment contract is presented on the face of the statements of net assets available for benefits at contract value. Contract value, as reported to the Plan by Prudential, represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

There are no reserves against contract value for credit risk of the contract issuer or otherwise. The contract value of the investment contract was $80,305,571 and $76,225,952 at May 31, 2019 and 2018 respectively. The crediting interest rate is based on an agreed-upon formula with the issuer, but cannot be less than 0.00%. Such interest rates are reviewed on a quarterly basis for resetting.

Certain events limit the Plan's ability to transact at contract value with Prudential. Such events include the following: (a) amendments to the

4. Guaranteed Investment Contract With Insurance Company (Continued)

plan documents (including complete or partial plan termination or merger with another plan), (b) changes to the plan's prohibition on competing investment options or deletion of equity wash provisions, (c) bankruptcy of the plan sponsor or other plan sponsor events (for example, divestitures or spin-offs of a subsidiary) that cause a significant withdrawal from the plan, or (d) the failure of the trust to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA. Furthermore, certain events would allow the issuer to terminate the contract with the Plan and settle at an amount different from contract value. Examples of such events include (a) an uncured breach of the Plan's investment guidelines, (b) a material amendment to the contract without the issuer's consent, (c) a violation of a material obligation under the contract, or (d) a material misrepresentation. The Plan administrator does not believe that any events that would limit the Plan's ability to transact at contract value with Plan participants or the issuer are probable of occurring.

The guaranteed investment contract does not permit the insurance company to terminate the agreement prior to the scheduled maturity date.

5. Related Party Transactions

The Company provides to the Plan certain accounting and administrative services for which no fees are charged. As the Company is the Plan sponsor, these transactions qualify as party-in-interest transactions.

These party-in-interest transactions are exempt from the prohibited transaction rules of ERISA.

6. Plan Termination

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants would become 100% vested in their accounts.

7. Tax Status

The Internal Revenue Service ("IRS") has determined and informed the Company by a letter dated October 3, 2016, that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code ("IRC"). The plan administrator and the plan's tax counsel believe that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC

7. Tax Status (Continued)

and therefore believe that the Plan is qualified and the related trust is tax-exempt.

Accounting principles generally accepted in the United States of America require the plan administrator to evaluate tax positions taken by the Plan and recognize a tax liability for any uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan is subject to routine audits by tax authorities; however, there are currently no audits for any tax periods in progress.

8. Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

The Plan is required to disclose significant concentrations of credit risk regardless of the degree of such risk. At May 31, 2019 and 2018, the financial instruments, which potentially subject the Plan to a concentration of credit risk, consisted principally of cash, investments and notes receivable from participants.

9. Reconciliation of Financial Statements to Schedule H of Form 5500

The following is a reconciliation of net assets available for benefits per the financial statements to Schedule H of Form 5500:

As of May 31,	**2019**	2018
Net assets available for benefits per the financial statements	**$ 680,173,439**	$ 655,221,821
Adjustments: Assets' values updated after year end	**278,388**	55,285
Net assets available for benefits per Form 5500	**$ 680,451,827**	$ 655,277,106

The following is a reconciliation of increase in net assets per the financial statements to increase in net assets per Form 5500:

Year ended May 31,	**2019**
Increase in net assets per the financial statements	**$ 24,951,618**
Adjustments: Assets values' updated after year-end: May 31, 2019	**278,388**
Adjustments: Assets values' updated after year-end: May 31, 2018	**(55,285)**
Increase in net assets available for benefits per Form 5500	**$ 25,174,721**

9. Reconciliation of Financial Statements to Schedule H of Form 5500 (Continued)

The fair values of certain investments were updated after the year end. Form 5500 was prepared based on the values that were available at year-end, while the financial statements were prepared based on the updated values.

Certain balances included on Schedule H Part I and II on the Annual Return/Report of Employee Benefits Plan (Form 5500) have been reclassified for purposes of presentation in the financial statements.

10. Trustee's Certification

The investment balance and related investment information included in the financial statements, accompanying notes and supplemental schedule are derived from statements which have been certified by City National Bank and Matrix Trust Company as complete and accurate in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

11. Plan Amendments

Effective January 19, 2018, the Plan was amended and restated to liberalize distributions by permitting installment distributions to commence slightly later than the Plan previously had permitted. Effective June 1, 2018, the Plan was amended and restated to authorize after-tax contributions for the purpose of making an In-Plan Roth Conversion of them, and to make several other minor changes.

Paul Hastings LLP Defined Contribution Retirement Plan

Form 5500 – Schedule H – Line 4i – Schedule of Assets (Held at End of Year) as of May 31, 2019

EIN: 95-2209675
Plan number: 001

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Par or Maturity Value	Current Value

See attached Schedule of Assets Held for Investment Purposes as provided by the trustee.

* Represents a party-in-interest

Matrix Trust Company
FASB ASC Topic 820 Report
As of May 31, 2019
Paul Hastings LLP Defined Contribution Retirement Plan

Shares	Security Type2	Security Name	Statement Market Value
54.00	ADR	Adidas Ag-Spon ADR	$7,714.71
352.00	ADR	Aia Group Ltd Spon ADR	$13,263.36
62.00	ADR	Air Liquide Adr France Adr	$1,540.70
138.00	ADR	Alfa Laval AB-Unspon Adr	$2,829.00
488.00	ADR	Alibaba Group Holding-Sp ADR	$72,838.88
363.00	ADR	Allianz SE - ADR	$8,051.34
641.00	ADR	Ambev SA ADR	$2,865.27
800.00	ADR	Anglogold Ltd Adr	$10,632.00
17.00	ADR	Anheuser Busch Inbev	$1,383.80
31.00	ADR	Asml Holding Nv	$5,829.55
143.00	ADR	Aspen Pharmacare Hl Unsp ADR	$963.28
346.00	ADR	Assa Abloy Ab - Unsp ADR	$3,356.20
189.00	ADR	Atlas Copco Ab-Spons Adr A	$5,093.55
97.00	ADR	Baidu Inc	$10,670.00
1,821.00	ADR	Banco Bilbao Vizcaya ADR	$9,888.03
500.00	ADR	BHP Limited	$25,955.00
88.00	ADR	BMW Sponsored ADR	$2,027.53
200.00	ADR	Bp Plc Spons Adr	$8,144.00
62.00	ADR	China Mobile Ltd ADR	$2,716.84
111.00	ADR	Chr Hansen Holding -Spon Adr	$2,894.89
23.00	ADR	Chugai Pharmaceutic-Unsp ADR	$3,052.68
800.00	ADR	Compania De Minas Buen Adr Ser B	$12,136.00
429.00	ADR	Credit Suisse Group Spon Adr	$4,834.83
34.00	ADR	CSL Ltd Sponsored ADR	$2,417.74
20.00	ADR	Dassault Sys S.A.-ADR	$2,966.40
151.00	ADR	Dbs Group Hldgs Ltd. Spon Adr	$10,645.50
59.00	ADR	Diageo Plc Sposored Adr New	$9,915.54
151.00	ADR	Epiroc Ab-Unsp ADR	$1,408.83
57.00	ADR	Essilor Intl - ADR	$3,274.65
145.00	ADR	Fanuc Ltd Unsp ADR	$2,437.45
38.00	ADR	Fomento Econom Spon Adr Mex Units	$3,536.28
209.00	ADR	Fuchs Petrolub Se-Pref ADR	$2,027.30
266.00	ADR	Genmab A/S -Sp ADR	$4,628.40
200.00	ADR	Glaxosmithkline Plc Ads	$7,728.00
270.00	ADR	Grifols Sa ADR	$4,749.30
78.00	ADR	HDFC Bank Ltd Adr	$9,684.48
59.00	ADR	Hexagon AB Unsp ADR	$2,729.05
86.00	ADR	Hsbc Hldgs PLC	$3,506.22
272.00	ADR	Icici Bank Ltd - Spon Adr	$3,264.00
445.00	ADR	Infineon Technologies	$7,907.65
253.00	ADR	ITAU Unibanco Banco Multi ADR	$2,251.70
100.00	ADR	JD.com Inc-ADR	$2,576.00
70.00	ADR	JGC Corp-Unsponsored ADR	$1,855.00
536.00	ADR	Kimberly Clark De Mex Spon Adr	$4,830.97
103.00	ADR	Komatsu Ltd Sponsored Adr New	$2,264.97
45.00	ADR	Kubota Corp ADR	$3,420.45
123.00	ADR	L Oreal Co Adr	$6,581.73
284.00	ADR	London Stock Exchg-Unsp ADR	$4,799.60
142.00	ADR	Lonza Group Ag Unspon Adr	$4,351.59
70.00	ADR	Lvmh Moet Hennessy Adr	$5,284.30

Shares	Security Type2	Security Name	Statement Market Value
1,241.00	ADR	Mitsubishi UFJ Financial ADR	$5,696.19
53.00	ADR	Naspers Ltd-N Shs Spon ADR	$2,383.41
35.00	ADR	Nestle SA Sponsored ADR Registered (NSRGY)	$3,470.60
157.00	ADR	Nidec Corp Sponsored ADR	$4,874.85
101.00	ADR	Nintendo Ltd Adr New Japan	$4,457.64
121.00	ADR	Novartis Ag Sponsored Adr	$10,362.44
167.00	ADR	Novo-Nordisk As	$7,882.40
41.00	ADR	Novozymes A/S ADR	$1,926.59
296.00	ADR	Pandora A/S Sponsored ADR	$2,741.85
88.00	ADR	Park24 Co Ltd-Spn ADR	$1,653.43
516.00	ADR	Ping An Insurance ADR	$11,478.42
20.00	ADR	Posco	$994.60
147.00	ADR	Prudential Corp Adr	$5,868.24
434.00	ADR	Roche Hldg Ltd -Spons Adr	$14,269.92
487.00	ADR	Royal Dutch Shell PLC - ADR B	$30,612.82
100.00	ADR	Sap Aktiengesellschaft Adr	$12,308.00
253.00	ADR	Seven & I Holdings Unspn ADR	$4,242.81
82.00	ADR	SGS Soc Gen Surveillance ADR	$2,072.96
2,888.00	ADR	Sibanye Gold - Spon ADR	$11,176.56
101.00	ADR	Siemens AG ADR	$5,732.76
82.00	ADR	Softbank Corp Unspon ADR	$3,804.80
80.00	ADR	Sonova Holding-Unspon ADR	$3,560.40
172.00	ADR	Sony Corp Adr New	$8,256.00
280.00	ADR	Symrise AG ADR	$6,537.97
90.00	ADR	Sysmex Corp-Unspon Adr	$3,100.50
312.00	ADR	Taiwan Semiconductor	$11,965.20
93.00	ADR	Tal Education Group ADR	$3,200.13
22.00	ADR	Temenos Group Ag Sp ADR	$3,837.02
199.00	ADR	Tencent Holdings Ltd ADR	$8,310.24
1,943.00	ADR	Teva Pharmaceutical Industries LTD ADR	$16,806.95
410.00	ADR	Toray Industries ADR	$5,598.55
300.00	ADR	Toyota Motor Corp. Sp Adr Rep2com	$35,274.00
339.00	ADR	Unicharm Corp-Spn ADR	$2,037.39
280.00	ADR	Unilever N V - Ny Shares	$16,833.60
87.00	ADR	Unilever Plc Spon Adr New	$5,299.17
	Cash Equivalent	Ameritrade Cash Account	$1,942,654.12
	Cash Equivalent	Bernstein Cash	$17,290.61
	Cash Equivalent	Cash	$83,304.50
	Cash Equivalent	City National Rochdale Government Money Market Fund Svc (cnix.x)	$1,676,013.39
	Cash Equivalent	CNB Cash	($1,959.14)
	Cash Equivalent	Dain Rauscher Cash	$128.49
	Cash Equivalent	Funds-in-transfer Account	($2,173.82)
6,347.00	Cash Equivalent	ISA Bank Of China	$6,347.00
	Cash Equivalent	Merrill Lynch Bank Advantage	$1,112,778.00
	Cash Equivalent	Merrill Lynch Cash Account	$12,104.20
	Cash Equivalent	Pershing Cash Account	($176.02)
	Cash Equivalent	Pershing Money Market Account	$28,155.81
106,301.84	Cash Equivalent	RBC Bank Deposit Program	$106,301.84
	Cash Equivalent	Schwab Cash Account	$1,848.24
	Cash Equivalent	Schwab Money Market Fund	$742,492.72
	Cash Equivalent	TD Asset Management Money Market Portfolio	$4,443,753.64
	Cash Equivalent	Wells Fargo Bank Cash Account	$7,314.71
2,341,498.99	Collective Fund	Prudential Stable Value Fund	$80,305,570.89
714.00	Common Stock	3-D Systems Corp	$5,776.26
243.40	Common Stock	3m Co.	$38,882.35

Shares	Security Type2	Security Name	Statement Market Value
500.00	Common Stock	4Licensing Corp (Delisted 2/7/2017)	$0.00
221.00	Common Stock	Abbott Laboratories	$16,824.73
221.00	Common Stock	Abbvie Inc	$16,952.91
189.00	Common Stock	Acadia Healthcare Co Inc	$6,089.58
325.00	Common Stock	Accenture PLC	$57,872.75
1,000.00	Common Stock	Acorda Therapeutics Inc	$9,290.00
99.00	Common Stock	Activision Blizzard Inc	$4,293.63
50.00	Common Stock	Actua Corp (delisted 04/20/18)	$0.00
318.00	Common Stock	Adobe Inc	$86,146.20
800.00	Common Stock	Agnico-Eagle Mines Ltd F	$34,872.00
13,000.00	Common Stock	Ak Steel Holding Corp.	$22,360.00
91.00	Common Stock	Akamai Technologies	$6,857.76
58.00	Common Stock	Albemarle Corp	$3,671.40
138.00	Common Stock	Alcon Inc	$8,028.84
327.00	Common Stock	Align Technology Inc.	$92,982.45
53.00	Common Stock	Allergan plc	$6,461.23
120.00	Common Stock	Alliance Data Sys Corp	$16,500.00
286.00	Common Stock	Alphabet Inc CL A	$316,459.00
730.00	Common Stock	Alphabet Inc Cl C	$805,649.90
620.00	Common Stock	Altria Group Inc	$30,417.20
435.00	Common Stock	Amazon Com Inc	$772,155.45
27.00	Common Stock	Amdocs Ltd	$1,604.34
273.00	Common Stock	American Electric Power Co	$23,510.76
15.00	Common Stock	American Financial Group	$1,473.00
313.00	Common Stock	American Water Works Co Inc	$35,375.26
199.00	Common Stock	Americold Realty Trust	$6,228.70
65.00	Common Stock	Ameriprise Financial Inc	$8,984.95
47.00	Common Stock	Amgen Inc	$7,834.90
320.00	Common Stock	Amphenol Cl A	$27,840.00
66.00	Common Stock	Ansys Inc.	$11,847.00
233.00	Common Stock	Anthem Inc	$64,769.34
8,508.62	Common Stock	Apple Inc	$1,489,604.80
27.00	Common Stock	Applied Industrial Tech Inc	$1,466.91
21.00	Common Stock	Aptargroup Inc	$2,378.67
85.00	Common Stock	Aptiv PLC	$5,443.40
97.00	Common Stock	Aspen Technology Inc	$11,020.17
6,645.88	Common Stock	AT&T Inc	$203,230.92
18.00	Common Stock	Atmos Energy Corp	$1,832.40
2,000.00	Common Stock	Aurora Cannabis Inc	$15,180.00
558.00	Common Stock	Autodesk Inc	$89,787.78
408.00	Common Stock	Automatic Data Processing	$65,328.96
200.00	Common Stock	AutoWeb Inc	$694.00
25.00	Common Stock	Autozone Inc.	$25,677.75
15.00	Common Stock	Avery Dennison Corp	$1,560.90
160.00	Common Stock	Ball Corp	$9,822.40
14,598.02	Common Stock	Bank Of America Corp	$388,307.31
18.00	Common Stock	Bank Of Hawaii Corp	$1,361.88
455.00	Common Stock	Bank Of Nova Scotia	$23,068.50
890.00	Common Stock	Barrick Gold Corp	$11,053.80
2,600.00	Common Stock	Bausch Health Cos Inc	$53,898.00
85.00	Common Stock	Becton Dickinson & Co	$19,842.40
1,677.00	Common Stock	Berkshire Hathaway Inc Cl B	$331,073.34
30.00	Common Stock	Big Lots Inc	$828.00
95.00	Common Stock	Biogen Inc	$20,832.55
1,100.00	Common Stock	Biomarin Pharmaceutical	$90,464.00

Shares	Security Type2	Security Name	Statement Market Value
1,017.00	Common Stock	Blackberry Ltd	$7,983.45
57.00	Common Stock	Blackrock 2001 Term Tr Inc	$23,686.92
2,400.00	Common Stock	Blackrock 2022 Global Income	$22,080.00
35.00	Common Stock	Blucora Inc	$1,083.95
149.00	Common Stock	Boeing Company	$50,899.89
62.00	Common Stock	Bok Financial Corp	$4,645.66
9.00	Common Stock	Booking Holdings Inc	$14,905.98
725.00	Common Stock	Booz Allen Hamilton Holding	$45,798.25
91.00	Common Stock	Boston Scientific	$3,495.31
200.00	Common Stock	Bottomline Tech Del	$8,736.00
100.00	Common Stock	Box Inc - Class A	$1,849.00
200.00	Common Stock	Bristol-Myers Squibb Co	$9,074.00
87.00	Common Stock	Broadcom Ltd	$21,892.68
53.00	Common Stock	Brown & Brown Inc	$1,673.21
263.00	Common Stock	C H Robinson Worldwide Inc	$20,942.69
578.00	Common Stock	Cabot Oil & Gas Corp. Class A	$14,461.56
12.00	Common Stock	California Resources Corp	$194.40
132.00	Common Stock	Cambrex Corp (acquired 12/04/19)	$5,253.60
618.00	Common Stock	Cameco Corp	$6,235.62
72.00	Common Stock	Canadian Natl Ry Co	$6,378.48
29.00	Common Stock	Canadian Pacific Railway	$6,362.31
400.00	Common Stock	Canopy Growth Corp	$16,104.00
114.00	Common Stock	Capital One Financial Corp Com	$9,789.18
10.00	Common Stock	Cboe Global Markets Inc	$1,085.40
341.00	Common Stock	CBRE Group Inc	$15,583.70
426.00	Common Stock	CBS Corp Cl B (viac 12/05/19)	$20,567.28
34.00	Common Stock	CDK Global Inc	$1,645.60
101.00	Common Stock	CDW Corp/DE	$9,942.44
880.00	Common Stock	Celgene Corp (bmy 11/21/19)	$82,535.20
562.00	Common Stock	Centene Corp	$32,455.50
3.00	Common Stock	Charter Communications New Cl A	$1,130.40
185.00	Common Stock	Check Point Software Techs Ltd	$20,401.80
38.00	Common Stock	Cheesecake Factory Inc	$1,643.50
106.00	Common Stock	Chemed Corp	$34,761.64
746.00	Common Stock	Chevron Corp	$84,932.10
20.00	Common Stock	Chipotle Mexican Grill - Cl A	$13,199.40
21.00	Common Stock	Choice Hotels Intl Inc	$1,728.09
403.00	Common Stock	Chubb Ltd	$58,866.21
100.00	Common Stock	Cigna Corp	$14,802.00
40.00	Common Stock	Cimarex Energy Co	$2,287.60
15.00	Common Stock	Cincinnatti Financial Corp	$1,473.60
178.00	Common Stock	Cintas Corp	$39,485.74
652.00	Common Stock	Cirrus Logic Inc	$24,365.24
839.00	Common Stock	Cisco Systems Inc	$43,653.17
814.64	Common Stock	Citigroup Inc	$50,629.69
418.00	Common Stock	Clorox Co.	$62,202.58
205.00	Common Stock	CME Group Inc	$39,384.60
335.00	Common Stock	Cms Energy Corp	$18,796.85
558.00	Common Stock	Coca-Cola Company	$27,414.54
236.00	Common Stock	Cognizant Tech Solutns Cl. A	$14,615.48
30.00	Common Stock	Cohen & Steers Inc	$1,536.60
9.00	Common Stock	Coherent Inc	$990.27
1,073.00	Common Stock	Colgate-Palmolive	$74,702.26
3,714.00	Common Stock	Comcast Corp Cl A	$152,274.00
25.00	Common Stock	Commerce Bancshares	$1,433.25

Shares	Security Type2	Security Name	Statement Market Value
145.00	Common Stock	Conagra Brands Inc	$3,881.65
1,658.00	Common Stock	Conocophillips	$97,755.68
143.00	Common Stock	Constellation Brands Cl. A	$25,232.35
7.00	Common Stock	Cooper Cos Inc.	$2,084.53
500.00	Common Stock	Corning Inc	$14,420.00
1.00	Common Stock	Costar Group Inc	$509.64
223.00	Common Stock	Costco Wholesale Corp-New	$53,426.34
1,500.00	Common Stock	Cronos Group Inc	$21,120.00
50.00	Common Stock	Cullen Frost Bankers	$4,563.50
157.00	Common Stock	Cummins Inc	$23,669.32
697.00	Common Stock	CVS Health Corp	$36,501.89
4,500.00	Common Stock	Cypress Semiconductor Corp	$80,190.00
709.00	Common Stock	D R Horton Inc.	$30,316.84
486.00	Common Stock	Danaher Corp Del	$64,156.86
19,000.00	Common Stock	Dean Foods Co New (dfodq 11/13/19)	$20,900.00
7.00	Common Stock	Deere & Co.	$981.19
409.00	Common Stock	Delta Air Lines Inc	$21,063.50
27.00	Common Stock	Dentsply Sirona Inc	$1,454.49
1,000.00	Common Stock	Deutsche Bank Ag Ord	$6,770.00
1,000.00	Common Stock	Dexcom Inc	$121,300.00
938.00	Common Stock	Disney Walt Co	$123,853.52
283.00	Common Stock	Dollar General Corp	$36,020.24
15.00	Common Stock	Dominion Energy Inc	$1,127.70
55.00	Common Stock	Donaldson Inc	$2,609.75
20.00	Common Stock	Dorman Products Inc	$1,633.20
241.00	Common Stock	Dover Corp	$21,547.81
526.00	Common Stock	Dow Inc	$24,595.76
23.00	Common Stock	Dril-Quip Inc	$948.75
461.60	Common Stock	Duke Energy Corp	$39,517.49
17.00	Common Stock	Dunkin' Brands Group Inc	$1,261.74
1,581.25	Common Stock	DuPont de Nemours Inc	$48,259.66
171.00	Common Stock	East West Bancorp Inc	$7,305.12
1,000.00	Common Stock	Eastman Kodak Co	$2,330.00
44.00	Common Stock	Eaton Vance Corp	$1,681.68
106.00	Common Stock	Ebay Inc	$3,808.58
82.00	Common Stock	Ecolab Inc	$15,095.38
356.00	Common Stock	Edwards Lifesciences	$60,769.20
280.00	Common Stock	Electronic Arts	$26,062.40
132.00	Common Stock	Eli Lilly & Company	$15,304.08
392.00	Common Stock	Emcor Group Inc	$31,579.52
300.00	Common Stock	Emerson Electric Co	$18,072.00
89.00	Common Stock	Enbridge Inc	$3,281.43
195.00	Common Stock	Encompass Health Corp	$11,489.40
334.00	Common Stock	Eog Resources Inc	$27,347.92
457.54	Common Stock	Equifax Inc.	$55,316.34
83.00	Common Stock	Everest Re Group Ltd	$20,555.78
897.00	Common Stock	Exact Sciences Corp	$92,956.11
28.00	Common Stock	Expeditors Intl Wash	$1,948.52
388.00	Common Stock	Exxon-Mobil Corporation	$27,458.76
164.00	Common Stock	F5 Networks Inc.	$21,661.12
1,034.00	Common Stock	Facebook Inc-A	$183,503.98
9.00	Common Stock	Factset Resh System Inc.	$2,503.80
143.00	Common Stock	Fidelity National Information	$17,202.90
47.00	Common Stock	First Hawaiian Inc	$1,169.83
2,014.00	Common Stock	First Majestic Silver Corp	$12,245.12

Shares	Security Type2	Security Name	Statement Market Value
52.00	Common Stock	Five Below	$6,693.96
70.00	Common Stock	Flowers Foods Inc	$1,565.90
584.00	Common Stock	FNF Group	$22,513.20
996.00	Common Stock	Ford Motor Co New	$9,481.92
118.00	Common Stock	Fortive Corp	$8,985.70
1,500.00	Common Stock	Fortuna Silver Mines Inc	$3,885.00
80.00	Common Stock	Franco Nevada Corp	$6,175.20
250.00	Common Stock	Freeport-Mcmoran Inc	$2,427.50
20.00	Common Stock	Freightcar America Inc	$120.60
5.00	Common Stock	Garrett Motion Inc	$76.90
14,234.96	Common Stock	General Electric Co	$134,378.01
937.00	Common Stock	General Motors Co	$31,239.58
1,540.00	Common Stock	Gentex Corp	$32,894.40
36.00	Common Stock	Geospace Technologies Corp	$450.36
299.00	Common Stock	Gilead Sciences Inc	$18,612.75
10,500.00	Common Stock	GoGo Inc	$51,345.00
422.60	Common Stock	Goldman Sachs Group Inc	$77,120.64
207.00	Common Stock	Granite Construction Inc	$8,319.33
95.00	Common Stock	Grubhub Inc	$6,189.25
840.00	Common Stock	Halliburton Company	$17,883.60
271.00	Common Stock	Hanesbrands Inc	$4,024.35
40.00	Common Stock	Helios And Matheson Analytic Inc	$0.11
699.00	Common Stock	Henry Jack & Assoc Inc	$91,722.78
26.00	Common Stock	Henry Schein Inc	$1,675.96
25.00	Common Stock	Hexcel Corp New	$1,819.75
1,000.00	Common Stock	Hexo Corp	$6,420.00
1,500.00	Common Stock	Hillenbrand Inc	$55,845.00
182.00	Common Stock	Hilton Worldwide Holdings Inc	$16,278.11
595.00	Common Stock	Home Depot Incorporated	$112,960.75
494.95	Common Stock	Honeywell International	$81,324.74
23.00	Common Stock	Hubbell Inc B	$2,634.42
88.00	Common Stock	Hunt Jb Trans Svc Inc	$7,492.32
123.00	Common Stock	Huntington Ingalls Industries	$25,229.76
22.00	Common Stock	Hurco Companies Inc	$771.32
675.00	Common Stock	Huron Consulting Group Inc	$33,230.25
9.00	Common Stock	Idex Corp	$1,374.39
33.00	Common Stock	Idexx Laboratories Inc	$8,242.41
1,300.00	Common Stock	IDT Corp	$8,398.00
149.00	Common Stock	Illinois Tool Works Inc.	$20,806.36
28.00	Common Stock	Illumina Inc	$8,593.48
268.00	Common Stock	Ingersoll Rand PLC	$31,715.12
200.00	Common Stock	Innovative Industrial Proper	$16,806.00
9,350.23	Common Stock	Intel Corporation	$411,784.31
56.00	Common Stock	International Paper	$2,322.32
94.00	Common Stock	Interpublic Group Co	$1,994.68
217.26	Common Stock	Intl Business Machines Inc	$27,589.21
16.00	Common Stock	Int'l Flavors & Fragrances	$2,166.72
55.00	Common Stock	Intuit	$13,466.75
75.00	Common Stock	Intuitive Surgical	$34,863.75
14.00	Common Stock	IPG Photonics Corp	$1,752.38
2,000.00	Common Stock	Jet Blue Awys Corp	$34,460.00
1,511.96	Common Stock	Johnson & Johnson	$198,293.69
275.00	Common Stock	Johnson Controls International PLC	$10,593.00
12.00	Common Stock	Jones Lang Lasalle Inc	$1,493.40
2,358.77	Common Stock	JPMorgan Chase & Co	$249,934.95

Shares	Security Type2	Security Name	Statement Market Value
59.00	Common Stock	Juniper Networks Inc	$1,451.99
298.00	Common Stock	Kao Corp	$4,633.90
206.00	Common Stock	Kimberly Clark	$26,345.34
900.00	Common Stock	Kinder Morgan Inc	$17,955.00
335.00	Common Stock	Kroger Co	$7,641.35
7.00	Common Stock	Lancaster Colony Corp.	$1,006.81
23.00	Common Stock	Lear Corp	$2,737.69
600.00	Common Stock	Leggett & Platt Inc	$21,306.00
163.00	Common Stock	Lennar Corp	$8,094.58
3.00	Common Stock	Lennar Corp - B	$118.53
20.00	Common Stock	Lincoln Electric Cl A	$1,518.80
189.00	Common Stock	Linde PLC	$34,123.95
50.00	Common Stock	Lockheed Martin Corp	$16,927.00
1,243.00	Common Stock	Louisianna Pacific	$28,365.26
50.00	Common Stock	Lyft Inc A	$2,881.00
794.00	Common Stock	Magna Int'l Inc.	$34,094.36
35.00	Common Stock	Manhattan Assoc. Inc.	$2,291.45
406.00	Common Stock	Manulife Financial Corporation	$6,816.74
100.00	Common Stock	Marathon Oil Corp	$1,315.00
50.00	Common Stock	Markel Corp.	$52,943.50
200.00	Common Stock	Marriot Vacations World	$17,980.00
171.00	Common Stock	Marriott International Inc	$21,347.64
50.00	Common Stock	Martin Marietta Materials	$10,525.00
326.00	Common Stock	Marvell Technology Group LTD	$7,269.80
37.00	Common Stock	Masco Corp	$1,292.04
21.00	Common Stock	Masimo Corporation	$2,745.54
2,301.00	Common Stock	Mastercard Inc A	$578,678.49
433.00	Common Stock	McClatchy Co CL A	$1,008.89
14.00	Common Stock	Mccormick & Co Inc	$2,184.56
293.00	Common Stock	Mcdonalds Corp	$58,093.11
80,000.00	Common Stock	Medinah Minerals Inc	$157.60
109.00	Common Stock	Medtronic PLC	$10,091.22
3,711.00	Common Stock	Merck & Company Inc	$293,948.31
52.00	Common Stock	Metlife Inc	$2,402.92
14.00	Common Stock	Mettler Toledo Intl	$10,123.26
129.00	Common Stock	Microchip Technology	$10,323.87
395.00	Common Stock	Micron Tech. Inc.	$12,880.95
2,902.41	Common Stock	Microsoft Corporation	$358,970.19
73.00	Common Stock	Middleby Corp	$9,524.31
194.00	Common Stock	Mondelez International Inc	$9,864.90
58.00	Common Stock	Monolithic Power Sys Inc	$6,754.10
161.73	Common Stock	Morgan Stanley	$6,580.92
184.00	Common Stock	Morningstar Inc	$25,767.36
6.00	Common Stock	Murphy Oil Corp	$149.10
1.00	Common Stock	Murphy USA Inc	$80.26
294.00	Common Stock	National Oilwell Varco Inc	$6,129.90
322.00	Common Stock	Netapp Inc	$19,062.40
36.00	Common Stock	Netgear Inc	$907.20
30.00	Common Stock	New Jersey Resources Corp	$1,423.50
27.00	Common Stock	New Relic Inc	$2,708.64
700.00	Common Stock	New York Community Bancorp	$6,951.00
332.00	Common Stock	Newmont Goldcorp Corp	$10,985.88
357.00	Common Stock	Nextera Energy Inc	$70,760.97
250.00	Common Stock	Nike Inc.	$19,285.00
729.00	Common Stock	Nisource Inc	$20,302.65

Shares	Security Type2	Security Name	Statement Market Value
83.00	Common Stock	Nordson Corp	$10,426.46
91.00	Common Stock	Norfolk Southern Co.	$17,757.74
263.00	Common Stock	Northern Trust Corp	$22,491.76
78.00	Common Stock	Northrop Grumman Corp	$23,653.50
284.00	Common Stock	Nvidia Corp	$38,470.64
10.00	Common Stock	Nvr Inc	$32,015.70
192.00	Common Stock	NXP Semiconductors Nv	$16,926.72
450.00	Common Stock	Oasis Petroleum Corp	$2,340.00
1,300.00	Common Stock	Occidental Petroleum Corp	$64,701.00
60.00	Common Stock	Okta Inc	$6,793.20
25.00	Common Stock	One Gas Inc	$2,189.00
1,000.00	Common Stock	Opko Health Inc	$1,790.00
558.45	Common Stock	Oracle Corp	$28,257.32
113.00	Common Stock	Oshkosh Corp	$8,044.47
230.00	Common Stock	Osisko Gold Royalties Ltd	$2,398.90
100.00	Common Stock	Osmotica Pharmaceuticals PLC	$240.00
825.00	Common Stock	Owens Ill Inc (oi 12/27/19)	$13,200.00
1,500.00	Common Stock	Oxford Industries	$106,860.00
600.00	Common Stock	Pan American Silver Cap	$6,522.00
62.00	Common Stock	Parker Hannifin Corp	$9,443.84
333.00	Common Stock	Paychex Inc	$28,568.07
1,500.00	Common Stock	Paypal Holdings Inc	$164,625.00
980.00	Common Stock	Pepsico Inc	$125,440.00
23.00	Common Stock	PerkinElmer Inc	$1,985.82
2,051.00	Common Stock	Pfizer Incorporated	$85,157.52
416.00	Common Stock	Philip Morris International	$32,086.08
24.00	Common Stock	Phillips 66	$1,939.20
15.00	Common Stock	PJT Partners Inc A	$553.50
243.00	Common Stock	Pnc Financial Svc Group	$30,924.18
13.00	Common Stock	Polaris Inc	$1,038.44
24.00	Common Stock	PPG Industries Inc	$2,511.60
66.00	Common Stock	PRA Group Inc	$1,822.26
500.00	Common Stock	Pretium Resources Inc	$4,265.00
2,730.00	Common Stock	PRGX Global Inc	$17,826.90
8,768.81	Common Stock	Procter & Gamble Co	$902,398.65
268.00	Common Stock	Progressive Corp	$21,247.04
2,000.00	Common Stock	Providence Service Corp	$129,360.00
63.00	Common Stock	Prudential Finl Inc	$5,819.94
200.00	Common Stock	Public Service Enterprise Group Inc.	$11,752.00
1,102.00	Common Stock	Pulte Group Inc	$34,162.00
62.05	Common Stock	Qualcomm	$4,146.05
483.00	Common Stock	Raytheon Co	$84,283.50
272.00	Common Stock	Reliance Steel & Aluminum	$22,649.44
9.00	Common Stock	Resideo Technologies Inc	$177.12
11.00	Common Stock	Resmed Inc	$1,255.32
90.00	Common Stock	Roper Technologies Inc	$30,952.80
206.00	Common Stock	Ross Stores Inc	$19,155.94
83.00	Common Stock	Royal Gold Inc	$7,303.17
40.00	Common Stock	Rpm International Inc	$2,140.80
2,045.00	Common Stock	Salesforce.Com Inc	$309,633.45
118.00	Common Stock	Schlumberger Ltd	$4,093.42
100.00	Common Stock	Scotts Miracle-Gro Co Cl A	$8,953.00
46.00	Common Stock	Sei Investments Co	$2,311.50
30.00	Common Stock	Sensata Technologies Holding	$1,280.70
17.00	Common Stock	Signature Bank	$1,947.35

Shares	Security Type2	Security Name	Statement Market Value
41.00	Common Stock	Silgan Holding Inc	$1,188.59
147.00	Common Stock	Smith A O	$5,953.50
1,000.00	Common Stock	Snap Inc - A	$11,890.00
163.00	Common Stock	Snap On Inc	$25,414.96
130.77	Common Stock	Southern Company	$6,995.98
86.00	Common Stock	Southern Copper Corp	$2,900.78
1,000.00	Common Stock	Southwest Airlines Company	$47,600.00
40.00	Common Stock	Spotify Technology Sa	$5,023.20
261.00	Common Stock	Sprint Corporation	$1,793.07
2,700.00	Common Stock	Square Inc - A	$167,265.00
400.00	Common Stock	SSR Mining Inc	$4,672.00
15.00	Common Stock	Steris PLC	$2,005.20
200.00	Common Stock	Stoneco Ltd-A	$5,040.00
32.00	Common Stock	Stratasys LTD	$701.12
215.00	Common Stock	Stryker Corporation	$39,396.60
11.00	Common Stock	Suncor Energy Inc	$338.80
465.00	Common Stock	Suntrust Banks (tfc 12/09/19)	$27,904.65
8.00	Common Stock	SVB Financial Group	$1,611.20
1,371.00	Common Stock	Synchrony Financial	$46,106.73
46.00	Common Stock	Sysco Corp	$3,165.72
100.00	Common Stock	Target Corp	$8,045.00
14.00	Common Stock	Tech Data Corp	$1,269.10
34.00	Common Stock	Teradata Corp Del	$1,167.56
516.00	Common Stock	Tesla Inc	$95,542.56
19.00	Common Stock	Texas Capital Bancshares Inc	$1,088.70
596.00	Common Stock	Texas Instruments Inc	$62,168.76
21.00	Common Stock	Texas Roadhouse Inc Cl A	$1,076.46
548.00	Common Stock	The Bank of New York Mellon Corp	$23,394.12
46.00	Common Stock	The Kraft Heinz Co	$1,271.90
209.00	Common Stock	Thermo Fisher Scientific Inc	$55,798.82
36.00	Common Stock	Tiffany & Co New	$3,207.96
630.00	Common Stock	Tjx Companies	$31,682.70
147.00	Common Stock	T-Mobile US Inc	$10,795.68
25.00	Common Stock	Tootsie Roll	$949.00
12.00	Common Stock	Travelers Cos Inc	$1,746.84
100.00	Common Stock	Trinet Group Inc	$6,338.00
383.00	Common Stock	Twitter Inc	$13,956.52
35.00	Common Stock	Tyler Technologies Inc	$7,467.25
29.00	Common Stock	Ulta Salon Cosmetics & Fragrance Inc	$9,668.02
22.00	Common Stock	Umb Financial Corp	$1,358.28
252.00	Common Stock	Under Armor Inc - Cl A	$5,745.60
253.00	Common Stock	Under Armor Inc - CL C	$5,118.18
13.00	Common Stock	Unifirst	$2,064.14
198.00	Common Stock	Union Pacific Corp.	$33,022.44
647.00	Common Stock	United Parcel Service	$60,119.24
408.00	Common Stock	Unitedhealth Group Inc	$98,654.40
50.00	Common Stock	Universal Technical Institute	$169.50
874.10	Common Stock	US Bancorp - Del	$43,879.77
496.00	Common Stock	US Foods Holding Corp	$17,141.76
100.00	Common Stock	Vail Resorts Inc.	$21,511.00
8.00	Common Stock	Valmont Industries	$904.88
12.00	Common Stock	Varian Medical Systems	$1,515.12
87.00	Common Stock	Verisk Analytics Inc CL A	$12,180.00
7,744.00	Common Stock	Verizon Communications	$420,886.40
60.00	Common Stock	Vertex Pharmaceuticals	$9,970.80

Shares	Security Type2	Security Name	Statement Market Value
500.00	Common Stock	Viacom Inc Class B (viac 12/05/19)	$14,515.00
2,703.96	Common Stock	Visa Inc Class A Shares	$436,230.03
121.00	Common Stock	VMWare Inc Class A	$21,414.58
21.00	Common Stock	Wabtec	$1,309.98
99.00	Common Stock	Walgreens Boots Alliance Inc	$4,884.66
1,198.00	Common Stock	Walmart Inc	$121,525.12
253.00	Common Stock	Waste Management Inc	$27,665.55
11.00	Common Stock	Waters Corporation	$2,207.81
42.00	Common Stock	WEC Energy Group Inc	$3,383.10
3,173.72	Common Stock	Wells Fargo & Co New	$140,818.13
333.00	Common Stock	Welltower Inc	$27,046.26
64.00	Common Stock	West Pharmaceutical Services	$7,334.40
129.00	Common Stock	Westlake Chemical Corp	$7,390.41
800.00	Common Stock	Wheaton Precious Metals Corp	$17,680.00
1,637.00	Common Stock	Williams Co.	$43,184.06
25.00	Common Stock	Williams Sonoma Inc	$1,462.50
386.00	Common Stock	Wyndham Hotels & Resorts Inc	$20,589.24
500.00	Common Stock	Wynn Resorts Ltd	$53,665.00
318.00	Common Stock	Xerox Corp (xrx 08/01/19)	$9,733.98
503.00	Common Stock	Xilinx Inc	$51,461.93
2,000.00	Common Stock	XPO Logistics Inc	$104,180.00
1,498.00	Common Stock	Yamana Gold Inc	$2,981.02
66.00	Common Stock	Yandex NV A	$2,370.72
625.00	Common Stock	Zoetis Inc	$63,156.25
200.00	Common Stock	Zscaler Inc	$13,726.00
10.00	ETP	Agfiq US Market Neutral Anti (btal 11/18/19)	$227.15
2,184.00	ETP	Alerian Mlp ETF	$21,053.76
400.00	ETP	Altaba Inc (delisted 10/07/19)	$23,680.00
3,494.00	ETP	Cohen & Steers Qty Ry	$46,767.19
350.00	ETP	Drxn Daily S&P Biotech Bull	$13,331.50
2,085.00	ETP	Energy Select Sector Spdr	$122,535.45
861.57	ETP	ETFMG Prime Cyber Security ETF	$32,920.40
1,809.00	ETP	Fidelity Health Care ETF	$76,249.35
1,000.00	ETP	Fidelity Real Estate Etf	$25,970.00
2,163.11	ETP	Financial Select Sector Spdr	$56,262.54
4.00	ETP	First Trust Cloud Computing ETF	$225.24
994.79	ETP	First Trust Dorsey Wright Fo	$28,262.07
1,007.24	ETP	First Trust Dw Focus 5 Intl	$19,066.96
66.13	ETP	First Trust Financial Alphad	$1,984.41
52.11	ETP	First Trust Materials Alphad	$1,799.29
5.00	ETP	First Trust Nasdaq Transport	$110.35
4.00	ETP	First Trust Nasdq 100 Tech I	$311.40
10.00	ETP	First Trust Technology Alpha	$616.50
10.00	ETP	First Trust Utilities Alphad	$278.70
40.07	ETP	First Trust Water Index ETF	$2,002.20
527.09	ETP	Ft-Preferred Secur & Inc Etf	$10,083.31
305.98	ETP	Global X Lithium & Battery Tech ETF	$7,533.15
571.00	ETP	Hartford Multifactor Develop	$15,662.47
339.00	ETP	Health Care Select Sector Spdr	$29,587.92
1,001.21	ETP	Invesco Ftse Rafi Us 1000 Et	$109,222.00
691.81	ETP	Invesco Ftse Rafi Us 1500 Sm	$83,009.68
4.00	ETP	Invesco Growth Multi Asset A	$55.56
220.58	ETP	Invesco Qqq Trust Series 1	$38,370.06
1,091.00	ETP	Invesco russell 1000 R Dyn	$30,613.46
1,340.76	ETP	Invesco S&P 500 Equal Weight	$135,000.72

Shares	Security Type2	Security Name	Statement Market Value
5,139.00	ETP	Invesco S&P 500 Pure Value E	$309,110.85
2,350.00	ETP	Invesco S&P 500 Quality Etf	$73,155.50
752.00	ETP	Invesco S&P Ultra Dividend	$26,289.92
1,150.00	ETP	Ishares 0-5 Yr Inv Grd Corp	$58,224.50
2,696.00	ETP	iShares 1-3 Year Treasury Bond	$227,973.76
166.00	ETP	iShares 20+ Year Treasury Bond	$21,883.78
1,167.00	ETP	iShares 3-7 Year Treasury Bond	$145,758.30
46.21	ETP	iShares Core Growth Allocation ETF	$2,038.10
1,088.00	ETP	Ishares Core MSCI EAFE ETF	$64,616.32
703.00	ETP	Ishares Core Msci Emerging	$34,531.36
150.09	ETP	Ishares Core Msci Europe	$6,749.46
3,275.00	ETP	Ishares Core S&P 500 ETF	$908,157.50
858.00	ETP	Ishares Core S&P Mid-Cap ETF	$155,418.12
4,389.00	ETP	Ishares Core S&P Small-Cap ETF	$321,143.13
5,292.20	ETP	Ishares Core U.S. Aggregate Bond ETF	$584,259.32
1,110.00	ETP	Ishares Core US REIT ETF	$57,364.80
716.00	ETP	Ishares Edge MSCI Min Vol Emerging Markets	$40,883.60
5.00	ETP	Ishares Edge MSCI USA Momentum Factor	$560.95
49.55	ETP	Ishares Global Reit Etf	$1,310.60
6,330.00	ETP	Ishares Gold Trust	$79,188.30
172.00	ETP	iShares MSCI BRIC ETF	$6,923.00
7,304.27	ETP	iShares MSCI EAFE ETF	$463,090.53
668.00	ETP	iShares MSCI EAFE Small-Cap ETF	$37,374.60
5,240.00	ETP	iShares MSCI EAFE Value ETF	$246,175.20
472.67	ETP	iShares MSCI Emerging Markets	$19,242.19
343.00	ETP	Ishares Msci World ETF	$29,758.68
3,622.80	ETP	iShares Russell 1000 ETF	$554,832.43
1,595.00	ETP	iShares Russell 1000 Growth ETF	$236,379.00
1,430.00	ETP	iShares Russell 1000 Value ETF	$170,985.10
100.27	ETP	iShares Russell 2000 ETF	$14,625.82
303.00	ETP	iShares Russell 2000 Growth ETF	$56,833.71
943.11	ETP	iShares Russell 2000 Value ETF	$107,646.69
2,144.00	ETP	iShares Russell Mid-Cap ETF	$112,624.32
150.00	ETP	Ishares S&P 50 ETF	$5,868.00
2,199.00	ETP	iShares S&P Small-Cap 600 Value	$304,671.45
1,400.00	ETP	Ishares Short Maturity Bond	$70,434.00
594.00	ETP	iShares TIPS Bond ETF	$68,470.38
10.00	ETP	iShares US Treasury Bond ETF	$256.50
12,000.00	ETP	Mfam Small-Cap Growth ETF	$267,240.00
10,000.00	ETP	Motley Fool 100 Index Etf	$208,900.00
382.00	ETP	Nuveen Enhanced Yield US Aggregate Bond ETF	$9,240.58
1,626.00	ETP	Nuveen Taxable Municipal Income Fund	$34,113.48
640.00	ETP	Pacer Trendpilot US Large Cap	$18,310.40
34.00	ETP	Proshares Ultrapro QQQ	$1,707.48
10.00	ETP	Proshares Vix Mid-Term Fut	$228.80
269.00	ETP	Proshrs Rsl 2000 Dvd Grw Etf	$15,378.73
2,480.00	ETP	Schwab Emerging Markets Equi	$61,925.60
10,405.00	ETP	Schwab Intermediate-Term Us	$568,008.95
1,930.00	ETP	Schwab Intl Equity ETF	$58,922.90
300.00	ETP	Schwab Short-Term US Treas	$15,135.00
1,184.00	ETP	Schwab US REIT ETF	$52,273.60
150.00	ETP	Schwab US Small Cap	$10,065.00
925.00	ETP	Schwab US TIPS ETF	$51,818.50
430.00	ETP	Spdr Blackstone/Gso Sen Loan	$19,848.80
600.00	ETP	SPDR Dow Jones Emerging Small Cap	$25,896.00

Shares	Security Type2	Security Name	Statement Market Value
741.00	ETP	SPDR Dow Jones Industrial Average ETF Trust	$183,931.02
2,330.00	ETP	SPDR GOLD TRUST GOLD SHS	$287,358.90
10.00	ETP	Spdr Portfolio S&P 500 High Dividend ETF	$358.50
138.48	ETP	Spdr Portfolio Small Cap ETF	$3,981.21
2,547.25	ETP	SPDR S&P 500 ETF Trust	$701,180.68
4,993.00	ETP	Spdr S&P Dividend	$478,678.91
10.00	ETP	Spdr S&P Emerging Markets Div	$312.50
147.00	ETP	SPDR S&P International Small Cap	$4,283.58
6.00	ETP	Spdr S&P Midcap 400 EFT Trust	$1,980.78
10.00	ETP	SPDR S&P Semiconductor	$736.30
10.00	ETP	Spdr S&P Software & Ser Etf	$924.40
10.00	ETP	SPDR SSGA US Large Cap Low Volatility Index ETF	$1,027.80
1,000.00	ETP	Sprott Physical Silver Trust	$5,240.00
40.00	ETP	VanEck Vectors Global Alt Energy (smog 07/09/19)	$2,367.60
1,698.00	ETP	VanEck Vectors Gold Miners	$36,659.82
2,000.00	ETP	VanEck Vectors Jr Gold Miners ETF	$59,060.00
675.00	ETP	Vanguard Dividend Apprec ETF	$73,203.75
100.00	ETP	Vanguard Energy ETF	$7,877.00
425.00	ETP	Vanguard FTSE All WO X US SC	$42,959.00
1,000.00	ETP	Vanguard FTSE All-World Ex-US	$48,720.00
8,209.63	ETP	Vanguard FTSE Developed Markets ETF	$327,071.58
3,643.73	ETP	Vanguard FTSE Emerging Market	$148,081.35
210.00	ETP	Vanguard FTSE European ETF	$11,035.50
2,267.00	ETP	Vanguard Glbl Ex-Us Real Est	$130,261.82
4,001.00	ETP	Vanguard Growth ETF	$613,753.40
1,300.00	ETP	Vanguard High Dividend Yield	$107,289.00
750.00	ETP	Vanguard Int Div App Indx Fd	$48,300.00
6,861.00	ETP	Vanguard Intermediate Term C	$604,248.27
9,633.00	ETP	Vanguard Intermediate Term Treasury ETF	$630,768.84
920.00	ETP	Vanguard Mid-Cap ETF	$144,090.40
2,331.00	ETP	Vanguard Real Estate ETF	$202,540.59
1,611.00	ETP	Vanguard Russell 1000 Growth	$245,355.30
967.00	ETP	Vanguard S&P 500 ETF	$244,525.29
23,640.00	ETP	Vanguard S/T Corp Bond Etf	$1,894,036.80
10,848.00	ETP	Vanguard Short Term Bond ETF	$869,250.24
37,504.00	ETP	Vanguard Short Term Treasury ETF	$2,279,493.12
750.00	ETP	Vanguard Short-Term Tips	$36,885.00
1,437.38	ETP	Vanguard Small- Cap ETF	$211,180.31
200.00	ETP	Vanguard Small -Cap Gr ETF	$34,756.00
511.85	ETP	Vanguard Small Cap Value ETF	$62,895.76
87.00	ETP	Vanguard Total Bond Market	$7,154.88
400.00	ETP	Vanguard Total Corporate Bnd	$33,916.00
7,896.00	ETP	Vanguard Total Intl Stock Et	$398,353.20
809.30	ETP	Vanguard Total Stock Market ETF	$113,860.70
13,016.00	ETP	Vanguard Value ETF	$1,356,397.36
10.00	ETP	Wisdomtree Bbg Usd Bullish	$276.38
3,581.57	ETP	Wisdomtree EM Small Cap	$160,884.30
10.00	ETP	Wisdomtree Emrg Mkts Debt	$338.30
19,930.00	ETP	Wisdomtree Floating Rate Tre	$500,043.70
3,879.00	ETP	Wisdomtree Intl S/C Dvd Fund	$248,139.63
221.85	ETP	Wisdomtree JAP s/c DVD Fund	$14,324.60
10.00	ETP	Wisdomtree Mgd Futures Strat Fund	$379.90
158.20	ETP	WisdomTree US MidCap Dividend	$5,362.98
100.00	ETP	WisdomTree US SmallCap Dividend	$2,557.00
30.00	ETP	Wisdomtree US Smallcap Fund	$1,004.10

Shares	Security Type2	Security Name	Statement Market Value
1,515.00	ETP	Xtrackers MSCI EAFE Hedged Equity ETF	$46,631.70
263.00	ETP	Xtrackers Usd High Yield Cor	$12,876.48
7,232.52	Mutual Fund	AB Discovery Growth Advisor	$80,859.56
1,312,409.66	Mutual Fund	AB Discovery Value Advisor	$24,843,914.79
19,086.23	Mutual Fund	AB Global Bond A	$161,660.36
116,223.51	Mutual Fund	AB Global Bond Advisor	$983,250.88
8,147.74	Mutual Fund	AB Global Real Estate Investment II I	$93,210.18
2,597.58	Mutual Fund	AB International Value R	$29,872.18
583.67	Mutual Fund	AB Large Cap Growth Advisor	$32,160.22
78,781.24	Mutual Fund	AB Real Ast-1	$642,067.10
8,718.62	Mutual Fund	AB Small Cap Core Advisor	$89,627.36
1,956.56	Mutual Fund	AB Value Advisor	$26,844.06
50,334.21	Mutual Fund	AB Wealth Appreciation Strategy Advisor	$777,160.23
1,583.75	Mutual Fund	Aberdeen Emerging Markets Instl	$23,075.28
525.24	Mutual Fund	ABR Dynamic Blend Equity & Volatil Instl	$5,016.03
25,469.95	Mutual Fund	Acuitas US Microcap-Inst	$244,002.10
360.51	Mutual Fund	Akre Focus Instl	$15,206.23
439.65	Mutual Fund	Alger Small Cap Focus Z	$9,210.71
425.10	Mutual Fund	Alger Spectra Z	$9,118.48
772.75	Mutual Fund	Amana Participation Investor	$7,704.27
10,751.22	Mutual Fund	American Beacon Sound Point FlRtIn Instl	$107,297.13
204.92	Mutual Fund	American Century Adapt Equity-Inv (liquidated 12/12/19)	$1,922.13
2,846.66	Mutual Fund	American Century Ultra® Inv	$129,010.45
18,347.20	Mutual Fund	American Funds American Balanced A	$483,632.22
3,934.69	Mutual Fund	American Funds Capital Income Bldr A	$233,366.58
2,856.87	Mutual Fund	American Funds Capital World Bond A	$56,794.50
541.97	Mutual Fund	American Funds Capital World Gr&Inc R2	$24,768.07
8,794.59	Mutual Fund	American Funds Europacific Growth A	$436,563.25
1,942.28	Mutual Fund	American Funds Europacific Growth F2	$96,143.01
1,239,922.81	Mutual Fund	American Funds Europacific Growth R5	$61,450,574.31
2,496.16	Mutual Fund	American Funds Fundamental Invs R5	$140,608.86
12,834.34	Mutual Fund	American Funds Growth Fund of Amer A	$606,679.20
439.59	Mutual Fund	American Funds Growth Fund of Amer F2	$20,726.62
1,220.26	Mutual Fund	American Funds Growth Fund of Amer R2	$54,277.25
3,792.97	Mutual Fund	American Funds Growth Fund of Amer R5	$179,217.97
20,378.39	Mutual Fund	American Funds Income Fund of Amer A	$442,211.13
42.74	Mutual Fund	American Funds Income Fund of Amer C	$914.12
6,940.58	Mutual Fund	American Funds Intl Gr and Inc A	$218,420.12
14,471.89	Mutual Fund	American Funds Invmt Co of Amer A	$524,316.65
1,077.95	Mutual Fund	American Funds New Perspective F3	$45,133.77
31.48	Mutual Fund	American Funds New World F1	$1,984.25
2,421.42	Mutual Fund	American Funds New World F2	$153,275.76
2,829.58	Mutual Fund	American Funds SMALLCAP World R5	$157,069.82
13,294.16	Mutual Fund	American Funds Washington Mutual A	$587,601.92
2,804.19	Mutual Fund	American Funds Washington Mutual F2	$123,860.98
827.81	Mutual Fund	Angel Oak Multi-Strategy Income Instl	$9,163.83
43,965.38	Mutual Fund	AQR Managed Futures Strategy HV R6	$351,283.35
11,427.89	Mutual Fund	AQR Managed Futures Strategy I	$97,479.89
1,789.63	Mutual Fund	Arbitrage I	$23,820.03
23,840.41	Mutual Fund	Baird Aggregate Bond Inst	$261,052.53
2,802.24	Mutual Fund	Baron Growth Fund Ins	$217,313.87
5,744.10	Mutual Fund	Bernstein Emerg Mkts Val	$146,761.78
140,313.30	Mutual Fund	Bernstein Intermediate Duration	$1,860,554.33
40,765.89	Mutual Fund	Bernstein International Portfolio	$623,310.47
9,538.25	Mutual Fund	BlackRock High Yield Bond Instl	$71,441.50

Shares	Security Type2	Security Name	Statement Market Value
36,754.00	Mutual Fund	Blackrock Liq Fds Cash Rv	$36,754.00
15,392.22	Mutual Fund	BlackRock Strategic Income Opps Instl	$150,535.93
1,557.30	Mutual Fund	Calamos Convertible A	$25,960.21
3,161.74	Mutual Fund	Calamos Market Neutral Income I	$41,229.08
3,676.39	Mutual Fund	Calvert Emerging Markets Equity I	$56,763.43
221.81	Mutual Fund	Carillon Scout Mid Cap I	$3,850.59
836.70	Mutual Fund	Catalyst/Millburn Hedge Strategy I	$25,837.39
11,367.86	Mutual Fund	City National Rochdale Fxd Inc Opps N	$280,217.72
306,683.67	Mutual Fund	City National Rochdale Govt MMkt Svc	$306,683.67
25,110.75	Mutual Fund	City National Rochdale Hi-Yld Bond Svc (liquidated 9/30/19)	$193,101.64
4,102.56	Mutual Fund	Cohen & Steers Low Duration Pref & Inc I	$40,615.34
3,681.79	Mutual Fund	Cohen & Steers Preferred Sec & Inc I	$49,851.49
1,117.10	Mutual Fund	Cohen & Steers Realty Shares	$76,990.19
737.23	Mutual Fund	Columbia Balanced Inst2	$29,577.71
1,055.25	Mutual Fund	Columbia Contrarian Core R	$25,136.08
265.17	Mutual Fund	Conestoga Small Cap Institutional	$14,820.52
9,759.69	Mutual Fund	Davis NY Venture A	$263,901.94
825.30	Mutual Fund	Delaware Emerging Markets Instl	$14,203.33
1,376.14	Mutual Fund	Delaware Emerging Markets R	$23,710.86
2,366,676.03	Mutual Fund	Delaware Value® Inst	$47,996,189.89
7,413.41	Mutual Fund	DFA Commodity Strategy Institutional	$39,513.49
18,189.63	Mutual Fund	DFA Emerging Markets Core Equity I	$362,701.30
467.25	Mutual Fund	DFA Emerging Markets I	$12,452.19
2,861.60	Mutual Fund	DFA Emerging Markets Small Cap I	$55,972.80
583.87	Mutual Fund	DFA Emerging Markets Value I	$16,032.99
23,556.12	Mutual Fund	DFA Five-Year Global Fixed-Income I	$254,877.18
5,975.56	Mutual Fund	DFA Global Real Estate Securities Port	$68,778.73
74,551.71	Mutual Fund	DFA International Core Equity I	$925,932.24
22,802.52	Mutual Fund	DFA International Small Cap Value I	$392,887.39
1,152.45	Mutual Fund	DFA International Small Company I	$19,603.21
1,302.23	Mutual Fund	DFA International Value I	$21,421.63
10,698.41	Mutual Fund	DFA Short-Duration Real Return Instl	$106,021.21
27,914.64	Mutual Fund	DFA Short-Term Extended Quality I	$301,757.28
5,994.01	Mutual Fund	DFA Targeted Credit Institutional	$60,179.82
20,733.30	Mutual Fund	DFA US Core Equity 2 I	$428,557.39
4,592.78	Mutual Fund	DFA US Large Cap Value I	$155,281.86
3,709.03	Mutual Fund	DFA US Micro Cap I	$71,806.88
4,002.37	Mutual Fund	DFA US Small Cap I	$126,514.98
12,637.64	Mutual Fund	DFA US Small Cap Value I	$388,354.68
41,895.27	Mutual Fund	DFA US Vector Equity I	$719,341.72
4,021.84	Mutual Fund	Diamond Hill Long-Short I	$102,999.40
468.22	Mutual Fund	Diamond Hill Small Cap I	$13,840.49
9,844.15	Mutual Fund	Dodge & Cox Income	$135,947.71
1,733.23	Mutual Fund	Dodge & Cox International Stock	$67,596.01
1,229.11	Mutual Fund	Dodge & Cox Stock	$218,657.96
3,379.59	Mutual Fund	DoubleLine Low Duration Bond I	$33,829.71
695.27	Mutual Fund	Doubleline Sh Enh Cape-I	$10,053.63
22,791.50	Mutual Fund	DoubleLine Total Return Bond I	$242,273.62
87.44	Mutual Fund	Dreyfus Small Cap Stock Index Inv (diss.x 6/03/19)	$2,339.10
528,488.84	Mutual Fund	Eaton Vance Atlanta Capital SMID-Cap A	$16,716,101.85
3,624.01	Mutual Fund	Eaton Vance Atlanta Capital SMID-Cap I	$127,637.49
8,046.04	Mutual Fund	Eaton Vance Floating-Rate Advantage I	$86,414.42
63,780.82	Mutual Fund	Elements Emerging Markets Portfolio M	$602,728.78
18,780.85	Mutual Fund	Elements International Portfolio M	$182,925.44
27,030.37	Mutual Fund	Elements International Small Cap Portfolio M	$257,599.38

Shares	Security Type2	Security Name	Statement Market Value
43,737.63	Mutual Fund	Elements U.S. Portfolio M	$501,233.22
24,099.05	Mutual Fund	Elements U.S. Small Cap Portfolio M	$242,918.40
3,563.38	Mutual Fund	Federated Instl High Yield Bond Instl	$34,315.33
3,284.39	Mutual Fund	Federated Treas Oblig-AS	$3,284.39
1,014.14	Mutual Fund	Fidelity Advisor New Markets Income Fund Class I	$15,120.83
9,170.27	Mutual Fund	Fidelity Advisor® International Growth I	$123,890.28
13,749.59	Mutual Fund	Fidelity Advisor® Limited Term Bond I	$158,807.78
4,364.08	Mutual Fund	Fidelity Advisor® New Insights I	$134,544.46
4,573.03	Mutual Fund	Fidelity Asset Manager® 70%	$96,445.18
37,285.47	Mutual Fund	Fidelity® Conservative Income Bond Instl	$374,346.16
37,262.54	Mutual Fund	Fidelity® Contrafund®	$460,564.94
2,764.24	Mutual Fund	Fidelity® Emerging Markets Idx Instl Prm	$27,421.29
272,045.90	Mutual Fund	Fidelity® Government Cash Reserves	$272,045.90
45,405.22	Mutual Fund	Fidelity® Government MMkt	$45,405.22
4,600.75	Mutual Fund	Fidelity® Inflation-Prot Bd Idx InstlPrm	$45,915.44
802,288.75	Mutual Fund	Fidelity® Inv MM Fds Money Market Instl	$802,288.75
1,285.07	Mutual Fund	Fidelity® Long-Term Trs Bd Idx Instl Prm	$17,643.98
3,447.71	Mutual Fund	Fidelity® Low-Priced Stock	$159,560.02
300,000.00	Mutual Fund	Fidelity® Money Market Premium	$300,000.00
6,538.21	Mutual Fund	Fidelity® New Millennium	$227,202.90
4,095.50	Mutual Fund	Fidelity® Select Biotechnology	$76,340.19
8,442.94	Mutual Fund	Fidelity® Select Semiconductors	$74,551.19
6,180.53	Mutual Fund	Fidelity® Select Software & IT Svcs Port	$108,468.30
7,741.10	Mutual Fund	Fidelity® Shrt-Term Trs Bd Idx Instl Prm	$81,049.31
492.53	Mutual Fund	Fidelity® Small Cap Index Instl Prem	$9,279.17
1,287.83	Mutual Fund	Fiera Capital Emerging Markets Instl	$55,840.31
5,234.50	Mutual Fund	Fiera Capital Small/Mid-Cap Growth Instl	$85,584.08
310.16	Mutual Fund	First Eagle Global I	$16,993.89
6,639.18	Mutual Fund	First Eagle Overseas I	$155,622.29
2,076.47	Mutual Fund	FPA Crescent	$66,634.05
49.73	Mutual Fund	Franklin Small Cap Growth C	$796.19
72.20	Mutual Fund	Goldman Sachs Small/Mid Cap Growth C	$1,140.09
351.37	Mutual Fund	Guggenheim Macro Opportunities Instl	$9,149.54
2,186.37	Mutual Fund	Harbor International Institutional	$79,277.88
150.68	Mutual Fund	Hartford Equity Income C	$2,758.86
4,183.30	Mutual Fund	Hotchkis & Wiley High Yield I	$48,317.15
7,659.53	Mutual Fund	Invesco Oppenheimer Developing Markets Fund R6	$312,815.21
580,938.48	Mutual Fund	Invesco Oppenheimer Developing Markets Fund Y	$23,719,718.30
29.95	Mutual Fund	Invesco Oppenheimer Global Opportunities Fund C	$1,480.83
61,546.06	Mutual Fund	Invesco Oppenheimer International Bond Fund Y	$334,195.12
12,455.60	Mutual Fund	Invesco Oppenheimer SteelPath MLP Select 40 Fund Y	$95,783.56
10,943.68	Mutual Fund	Invesco Small CapValue Y	$142,924.42
3,990.51	Mutual Fund	IVA Worldwide I	$66,202.58
400.95	Mutual Fund	James Alpha Global Real Estate Invsmts I	$7,441.69
1,773.09	Mutual Fund	Janus Henderson Balanced I	$59,203.44
460.77	Mutual Fund	Janus Henderson Global Life Sciences I	$24,978.18
37.83	Mutual Fund	Janus Henderson Global Life Sciences T	$2,038.01
1,262.78	Mutual Fund	Janus Henderson Global Select T	$17,375.78
2,196.64	Mutual Fund	Janus Henderson Research T	$103,418.00
1,577.59	Mutual Fund	Jensen Quality Growth I	$75,424.72
681.88	Mutual Fund	John Hancock International Growth I	$17,694.86
117.38	Mutual Fund	JPMorgan Equity Income Fund A	$1,973.07
7,220.47	Mutual Fund	JPMorgan Strategic Income Opports I	$82,963.14
39,239.07	Mutual Fund	JPMorgan US Government MMkt Investor	$39,239.07
14,711.66	Mutual Fund	Loomis Sayles Bond Instl	$196,842.04

Shares	Security Type2	Security Name	Statement Market Value
11,429.39	Mutual Fund	Lord Abbett Floating Rate A	$101,721.61
42,212.85	Mutual Fund	Lord Abbett Short Duration Income I	$177,293.97
8,097.91	Mutual Fund	Lord Abbett Short Duration Income R2	$34,011.22
2,725.21	Mutual Fund	Marsico Focus	$47,745.63
676.53	Mutual Fund	Matthews Asian Growth & Income Instl	$10,060.05
5,045.01	Mutual Fund	Matthews Pacific Tiger Investor	$138,384.54
2,606.90	Mutual Fund	Merger Investor	$43,457.06
7,329,542.68	Mutual Fund	Metropolitan West Total Return Bd Admin	$79,159,060.94
30,101.55	Mutual Fund	Metropolitan West Total Return Bd I	$324,795.67
1,251.88	Mutual Fund	MFS® Value R2	$48,472.75
3,674.14	Mutual Fund	Natixis Vaughan Nelson Value Opp Y	$73,666.51
30,351.39	Mutual Fund	Neuberger Berman Long Short Instl	$424,616.00
34.65	Mutual Fund	Northern Global Sustainability Index	$473.67
1,168,750.77	Mutual Fund	Northern Inst Divs Assets Sh	$1,168,750.77
954.03	Mutual Fund	Nuveen Small Cap Value R3	$19,452.57
3,092.49	Mutual Fund	Oakmark International Investor	$66,210.30
13,667.00	Mutual Fund	Osterweis Strategic Income	$151,430.36
5,911.06	Mutual Fund	Parnassus Mid-Cap	$194,414.80
13,224.30	Mutual Fund	Pax Global Environmental Mrkts Instl	$196,248.67
2,039.95	Mutual Fund	PGIM Global Total Return Z	$13,871.69
636.86	Mutual Fund	PGIM Total Return Bond Z	$9,266.37
20,251.25	Mutual Fund	PIMCO All Asset All Authority I2	$165,047.69
50,813.83	Mutual Fund	PIMCO All Asset All Authority Inst	$414,132.74
4,826.42	Mutual Fund	PIMCO Commodity Real Ret Strat A	$27,269.24
45,207.01	Mutual Fund	PIMCO Emerg Mkts Full Spectrum Bd Instl	$326,846.65
13,568.48	Mutual Fund	PIMCO High Yield Instl	$118,317.15
6,018.14	Mutual Fund	PIMCO Income I2	$72,518.54
16,497.46	Mutual Fund	PIMCO Income Instl	$198,794.36
3,697,063.71	Mutual Fund	Pioneer Fundamental Growth Y	$89,173,176.71
9,016.33	Mutual Fund	Predex-I	$237,399.86
290.47	Mutual Fund	PRIMECAP Odyssey Aggressive Growth	$12,167.58
61.92	Mutual Fund	PRIMECAP Odyssey Stock	$1,893.51
35,813.32	Mutual Fund	Principal Global Multi-Strategy Instl	$376,756.16
2,766,092.35	Mutual Fund	Principal High Yield A	$19,611,594.79
55,339.08	Mutual Fund	Principal High Yield Inst	$389,587.15
462.35	Mutual Fund	ProFunds UltraBear Inv	$10,407.60
633.48	Mutual Fund	Putnam Capital Spectrum R	$17,705.74
6,899.49	Mutual Fund	Putnam Diversified Income R	$46,778.53
681.34	Mutual Fund	Putnam Ultra Short Duration Income-Y	$6,854.25
4,392.29	Mutual Fund	RBC Emerging Markets Equity I	$51,565.46
26,399.83	Mutual Fund	RiskPro® 30+ R	$249,478.43
7,359.91	Mutual Fund	RiskPro® Aggressive 30+ R	$65,208.82
15,534.47	Mutual Fund	RiskPro® PFG Aggr 30+ R	$144,004.56
19,284.16	Mutual Fund	RiskPro® PFG Equity 30+ R	$196,505.59
9,984.60	Mutual Fund	Russell Inv Global Real Estate Secs S	$342,571.69
27,214.94	Mutual Fund	Russell Inv Strategic Call Overwrtg S	$361,414.36
57,292.71	Mutual Fund	Russell Multifactor International Equity Fund-Y	$515,634.37
82,748.29	Mutual Fund	Russell Multifactor US Equity Fund-Y	$991,324.45
117.70	Mutual Fund	S&P Smallcap Index	$2,338.74
2,268.54	Mutual Fund	Sanford C Bern Overlay A-1	$28,583.63
1,821.88	Mutual Fund	Sanford C Bern Overlay B-1	$19,403.05
9,792.71	Mutual Fund	Schwab® S&P 500 Index	$415,406.63
179.20	Mutual Fund	Shelton Nasdaq-100 Index Direct	$3,125.30
914.82	Mutual Fund	Shenkman Capital Short Duration Hi Inc-F	$9,102.41
1,234.98	Mutual Fund	Smead Value I1	$55,117.25

Shares	Security Type2	Security Name	Statement Market Value
1,172.30	Mutual Fund	SSgA S&P 500 Index N	$40,819.63
977.72	Mutual Fund	Sterling Capital Equity Income Instl	$19,309.97
40,944.58	Mutual Fund	Stone Ridge All Asset Variance Risk Premium Fund	$367,682.36
47,166.39	Mutual Fund	Stone Ridge Alternative Lending Risk Premium Fund I	$481,097.13
32,499.78	Mutual Fund	Stone Ridge High Yield Reinsurance Risk Premium Fund Class I	$295,422.95
81,436.10	Mutual Fund	Stone Ridge Reinsurance Risk Premium Interval Fund	$662,889.89
39,705.80	Mutual Fund	Stone Ridge US L/C Var Rsk-I	$395,866.85
27.28	Mutual Fund	T. Rowe Price Blue Chip Growth	$2,978.43
89.00	Mutual Fund	T. Rowe Price Ext Mktidx	$2,378.08
195.31	Mutual Fund	T. Rowe Price Overseas Stock	$1,919.93
43.41	Mutual Fund	T. Rowe Price Small-Cap Value	$1,911.43
1,381.50	Mutual Fund	Templeton Global Bond A	$15,472.80
6,087.10	Mutual Fund	Templeton Global Bond Adv	$67,871.19
2,922.86	Mutual Fund	Templeton Global Total Return Adv	$33,759.07
1,166.33	Mutual Fund	Thornburg Investment Income Builder R3	$24,073.07
5,079.02	Mutual Fund	Thornburg Limited Term Income R3	$68,516.01
713.29	Mutual Fund	Tortoise MLP & Pipeline Instl	$8,994.60
139.11	Mutual Fund	Transamerica Asset Allocation Growth C	$1,635.87
5,362.83	Mutual Fund	Tweedy, Browne Global Value	$142,544.05
160.35	Mutual Fund	USAA NASDAQ-100 Index	$3,203.77
11,935.36	Mutual Fund	Vanguard 500 Index Admiral	$3,041,727.52
152.20	Mutual Fund	Vanguard 500 Index Investor	$38,782.34
766,681.39	Mutual Fund	Vanguard Balanced Index I	$27,301,524.44
11,345.14	Mutual Fund	Vanguard Developed Markets Index Inv	$112,316.93
4,064.85	Mutual Fund	Vanguard Dividend Growth Inv	$113,653.07
993.38	Mutual Fund	Vanguard Emerging Mkts Stock Idx Adm	$33,486.81
53.24	Mutual Fund	Vanguard Energy Adm	$4,567.65
948.43	Mutual Fund	Vanguard Equity-Income Adm	$67,718.04
28,880.17	Mutual Fund	Vanguard GNMA Adm	$301,509.02
3,630.22	Mutual Fund	Vanguard Health Care Inv	$660,263.50
13,204.23	Mutual Fund	Vanguard High-Yield Corporate Adm	$75,660.21
640.19	Mutual Fund	Vanguard Inflation-Protected Secs Adm	$16,484.92
3,160.67	Mutual Fund	Vanguard Inflation-Protected Secs Inv	$41,468.02
269,702.57	Mutual Fund	Vanguard Institutional Index I	$67,369,005.71
6,370.33	Mutual Fund	Vanguard Large Cap Index Investor	$325,460.26
701.68	Mutual Fund	Vanguard Mid Cap Index Admiral	$136,125.73
1,482.82	Mutual Fund	Vanguard Mid Cap Index Investor	$63,405.51
2,586,086.39	Mutual Fund	Vanguard Prime Money Market Investor	$2,586,086.39
508.52	Mutual Fund	Vanguard Real Estate Index Admiral	$62,614.07
992,343.46	Mutual Fund	Vanguard Real Estate Index Institutional	$18,914,066.25
1,092.75	Mutual Fund	Vanguard Real Estate Index Investor	$31,536.85
7,828.45	Mutual Fund	Vanguard Short-Term Bond Index Inv	$82,198.74
6,021.03	Mutual Fund	Vanguard Short-Term Investment-Grade Adm	$64,123.97
8,346.57	Mutual Fund	Vanguard Short-Term Investment-Grade Inv	$88,890.93
17,345.97	Mutual Fund	Vanguard Shrt-Term Infl-Prot Sec Idx Adm	$427,578.11
994.31	Mutual Fund	Vanguard Small Cap Index Adm	$69,969.52
817.95	Mutual Fund	Vanguard Small Cap Index Inv	$57,534.74
578.94	Mutual Fund	Vanguard Tax-Managed Small Cap Adm	$34,087.69
138,441.10	Mutual Fund	Vanguard Total Bond Market Index Adm	$1,499,317.13
17,660.07	Mutual Fund	Vanguard Total Bond Market Index Inv	$191,258.57
2,014.16	Mutual Fund	Vanguard Total Intl Bd Idx Investor	$22,699.57
1,553.46	Mutual Fund	Versus Capital Multi-Mgr Real Est Inc I	$43,745.29
1,660.76	Mutual Fund	Versus Capital Real Assets	$41,485.86
4,729.70	Mutual Fund	Voya Floating Rate R	$45,405.11
1,070.88	Mutual Fund	Voya Global Bond A	$10,259.02

Shares	Security Type2	Security Name	Statement Market Value
403.85	Mutual Fund	Walthausen Small Cap Val-Ins	$7,224.89
9,885.73	Mutual Fund	Western Asset Core Bond I	$125,944.17
176.82	Mutual Fund	Western Asset Core Plus Bond Fund Class A	$2,066.98
10,945.11	Mutual Fund	Western Asset Core Plus Bond I	$128,057.79
3,036.65	Mutual Fund	Western Asset Core Plus Bond R	$35,468.11
1,001.00	Partnership Shares	Blackstone Group LP (bx 07/01/19)	$37,887.85
800.00	Partnership Shares	Brookfield Renewable Energy Partners LP	$25,752.00
950.00	Partnership Shares	Cheniere Energy Partners LP	$39,653.00
26,422.76	Partnership Shares	Crescent Development Opportunity Fund	$26,422.76
270.00	Partnership Shares	Enterprise Products Pptns LP	$7,530.30
40.00	REIT	American Campus Communities	$1,852.80
98.00	REIT	American Tower REIT Inc	$20,459.46
2,000.00	REIT	Annaly Capital Management Inc	$17,620.00
18.00	REIT	Camden Ppty Tr	$1,860.48
18.00	REIT	Coresite Realty Corp	$2,100.96
566.00	REIT	CubeSmart	$19,085.52
3,448.50	REIT	Digital Realty Trust Inc	$405,956.83
45.00	REIT	Equinix Inc	$21,860.55
56.00	REIT	Equity Lifestyle Properties REIT	$6,812.96
106.00	REIT	Essex Property Trust Inc	$30,924.44
1,000.00	REIT	Hannon Armstrong Sustainable	$25,910.00
53.00	REIT	Healthcare Trust Of America	$1,525.87
20.00	REIT	Highwoods Pptys Inc	$877.20
1,000.00	REIT	Host Hotels & Resorts Inc	$18,110.00
20.00	REIT	Lamar Advertising Co A	$1,564.20
3,367.00	REIT	Lexington Realty Trust	$30,875.39
298.00	REIT	Mid America Apt Community	$34,025.64
187.00	REIT	Outfront Media Inc	$4,609.55
267.00	REIT	Park Hotels & Resorts Inc	$7,374.54
482.32	REIT	Prologis Inc	$35,532.37
360.00	REIT	Regency Centers Corp	$23,745.60
17.00	REIT	Ryman Hospitality Properties	$1,359.15
1,000.00	REIT	Starwood Property Trust Inc	$22,050.00
48.00	REIT	Sun Community Inc.	$6,060.96
75.92	REIT	Ventas Inc	$4,881.46
110.00	REIT	W P Carey Inc	$9,131.10
236.00	REIT	Weyerhaeuser Co	$5,380.80
12,000.00	Bond	Anheuser-Busch Inbev Fin	$11,975.26
15,000.00	Bond	Apple Inc	$15,601.80
2,000.00	Bond	FNMA Note	$2,841.30
3,000.00	Bond	General Electric Capital Corp	$3,295.53
2,000.00	Bond	Goldman Sachs Group Inc	$2,085.56
8,000.00	Bond	Kimberly-Clark Corp	$7,942.80
12,000.00	Bond	Masco Corp	$12,142.56
13,000.00	Bond	Raymond James Financial	$13,133.50
13,000.00	Bond	TJX Cos Inc	$12,650.17
2,000.00	Bond	US Treasury Note/Bond	$2,103.75
5,000.00	Bond	US Treasury Note/Bond	$4,938.28
13,000.00	Bond	Verizon Communications	$14,368.64
12,000.00	Bond	Wellpoint Inc	$12,278.16
15,000.00	Bond	Whirlpool Corp	$15,624.15
(10.00)	Call Options	Dexcom Inc @125 6/21/19	($3,627.20)
5.00	Call Options	ETF Managers Tr ETF Mg Altr Hrvst @25 1/15/21	$4,461.85
10.00	Call Options	L Brands Inc @30 1/17/20	$819.60

Shares	Security Type2	Security Name	Statement Market Value
40,000.00	Certificate of Deposit	BNY Mellon Na	$39,999.60
40,000.00	Certificate of Deposit	BNY Mellon NA	$39,987.20
10,000.00	Corporate Bond	21St Century Fox America	$12,184.80
12,000.00	Corporate Bond	AFLAC Inc	$12,529.99
13,000.00	Corporate Bond	Air Lease Corp Sr Glbl 3%	$12,904.06
12,000.00	Corporate Bond	American Tower Corp	$12,720.88
12,000.00	Corporate Bond	Apple Inc	$12,219.24
15,000.00	Corporate Bond	Arrow Electronics Inc	$15,171.00
12,000.00	Corporate Bond	Beazer Homes USA	$12,417.24
12,000.00	Corporate Bond	Biogen Inc	$12,034.80
3,000.00	Corporate Bond	BP Capital Markets PLC	$3,006.92
10,000.00	Corporate Bond	Burlingtn North Santa Fe	$10,185.24
12,000.00	Corporate Bond	Capital One Financial Co	$12,141.96
13,000.00	Corporate Bond	CBS Broadcasting Inc	$15,019.81
4,000.00	Corporate Bond	Citigroup Inc	$4,051.91
12,000.00	Corporate Bond	City National Corp	$12,413.04
15,000.00	Corporate Bond	Comcast Corp	$15,572.25
2,000.00	Corporate Bond	Enterprise Products Oper	$2,323.02
12,000.00	Corporate Bond	Express Scripts Holding	$12,577.32
12,000.00	Corporate Bond	Gilead Sciences Inc	$12,395.84
15,000.00	Corporate Bond	Glaxosmithkline Cap Inc	$15,124.95
15,000.00	Corporate Bond	Goldman Sachs Group Inc	$15,079.35
11,000.00	Corporate Bond	Hospitality Prop Trust	$11,367.40
13,000.00	Corporate Bond	Jefferies Group LLC	$13,176.28
3,000.00	Corporate Bond	JPmorgan Chase & Co	$3,060.48
13,000.00	Corporate Bond	Kraft Heinz Foods Co	$12,277.25
10,000.00	Corporate Bond	Kroger Co/The	$10,171.00
10,000.00	Corporate Bond	Lincoln National Corp	$10,366.50
12,000.00	Corporate Bond	Micron Technology Inc	$12,298.80
3,000.00	Corporate Bond	Microsoft Corp	$3,399.78
12,000.00	Corporate Bond	Nasdaq Inc	$12,368.04
12,000.00	Corporate Bond	Owens Corning Inc	$12,409.92
13,000.00	Corporate Bond	Seagate Hdd Cayman	$12,420.98
4,000.00	Corporate Bond	Shell International Fin	$3,999.92
10,000.00	Corporate Bond	Zurich Reins Centre Holdings Senior Note	$11,471.30
4,000.00	DOMESTIC MTN	Bank Of America Corp	$4,220.25
12,000.00	DOMESTIC MTN	Bank Of NY Mellon Corp	$12,289.78
9,000.00	DOMESTIC MTN	FHLMC Note	$8,990.91
4,000.00	DOMESTIC MTN	Wells Fargo & Company	$4,060.24
13,000.00	DOMESTIC MTN	Wells Fargo & Company	$12,967.37
50,000.00	Government Bond	Federal Home Loan Note	$50,789.00
9,000.00	Government Bond	FFCB Note	$8,921.88
12,000.00	Government Bond	FFCB Note	$11,983.56
12,000.00	Government Bond	FFCB Note	$11,954.04
15,000.00	Government Bond	FFCB Note	$15,000.45
15,000.00	Government Bond	FNMA Note	$14,872.05
27,424.92	Government Bond	US Treasury Inflation Indexed Bond	$27,238.32
7,000.00	Government Bond	US Treasury Note/Bond	$7,600.47
26,181.44	Government Note	US Treasury Inflation Indexed Bond	$26,068.94
4,000.00	Government Note	US Treasury Note/Bond	$4,020.63
3,846.53	MBS 30yr	Fannie Mae Bm1285	$4,050.05
8,060.58	MBS 30yr	Fannie Mae Ma3210	$8,242.45
4,325.43	MBS 30yr	Freddie Mac G08669	$4,489.19
3,286.83	MBS 30yr	Freddie Mac G08737	$3,312.70

Shares	Security Type2	Security Name	Statement Market Value
10,395.75	MBS 30yr	Freddie Mac G60440	$10,764.17
26,265.96	Note	US Treasury Inflation Indexed Bond	$26,265.96
(5.00)	Put Option	Apple Inc @175 6/21/19	($2,462.25)
(5.00)	Put Option	L Brands Inc @30 1/17/20	($4,286.05)
(5.00)	Put Option	Nvidia Corp @140 6/21/19	($3,900.20)
(3.00)	Put Option	Tesla Inc @170 6/07/19	($476.70)
1.00	Warrant	Credibility Capital Inc. Series A-4 Preferred Stock Warrant	$0.00
1.00	Warrant	Terabit Radio'S Inc Wts 12/16/18	$1.00
40.57	Common Stock	Credibility Capital Inc	$49,999.98
332,460.00	Common Stock	EarnUp, Inc	$11,037.67
1,038.00	Common Stock	Nortel Networks (delisted 10/14/15)	$0.00
167.00	Common Stock	Spot Mobile International (delisted 02/21/17)	$0.00
2,500.00	Limited Partnership	26 Brix LLC	$25,000.00
196,836.57	Limited Partnership	737 E Fifth St, LLC	$196,836.57
75,000.00	Limited Partnership	765 Cerritos LLC	$75,000.00
426.04	Limited Partnership	A&Q Multi-Strategy Fund	$436,678.12
84,369.74	Limited Partnership	Advanced Group 16-111, LP	$84,369.74
100,000.00	Limited Partnership	Advanced Group 18-116, L.P. A-2 Shares	$100,000.00
1.00	Limited Partnership	Bear Stearns Venture Partners L P	$11,686.00
61,111.11	Limited Partnership	BMC 233 Clayton GP LLC	$61,111.11
2,501.00	Limited Partnership	California Community LLC Cl A LP	$0.00
522,705.00	Limited Partnership	Canyon Value Realization Fund, LP	$522,705.00
44,010.36	Limited Partnership	Credibility Capital SB I, LLC	$44,010.36
340,905.96	Limited Partnership	Demeter Capital Group LP	$2,010,635.03
75,000.00	Limited Partnership	DIVersified Pacific Opp Fund I LLC	$70,320.00
25,000.00	Limited Partnership	Fenway Summer LLC	$25,000.00
1.93	Limited Partnership	Krg Capital Fund IV LP	$10,010.21
11,421.59	Limited Partnership	Madison Avenue Opportunities Fund, LLC	$11,421.59
23,726.16	Limited Partnership	Madison Avenue Opportunities, LLC	$23,726.16
100,000.00	Limited Partnership	Morrissey CFL Holdings LLC	$100,000.00
100,000.00	Limited Partnership	No IFS! LLC	$100,000.00
125,000.00	Limited Partnership	NRE Avalon Place Investors LLC	$125,000.00
150,000.00	Limited Partnership	NRE Red River Investors, LLC	$150,000.00
10,416.00	Limited Partnership	NYCA Investment Fund III L.P.	$10,416.00
100,000.00	Limited Partnership	P Portfolio Holdings LLC	$100,000.00
149,215.00	Limited Partnership	Rosebud International Holdings LLC	$68,302.50
163,594.78	Limited Partnership	Rosebud RLH II LLC	$129,966.24
161,744.22	Limited Partnership	Rosebud Rlh III LLC	$218,396.00
122,625.00	Limited Partnership	Rosebud Rlh LLC	$613.00
221,901.00	Limited Partnership	Rutherford CFL Holdings, LLC	$221,901.00
5,000.00	Limited Partnership	Seven Hills Group LLC	$0.00
23,099.00	Limited Partnership	Star Mountain Diversified Credit income Fund III (Offshore) LP	$23,099.00
1.00	Limited Partnership	Stone Ridge Holdings Group LP Class B	$26,427.62
6.50	Limited Partnership	Tennenbaum Opportunities Fd V LLC	$37,469.69
277,922.89	Limited Partnership	Tennenbaum Opportunities Fund Vi LLC	$135,575.22
202,433.30	Limited Partnership	Tennenbaum Special Situations Fund IX, LLC	$180,829.06
65,749.99	Limited Partnership	Westerly Partners LP	$170,729.06
200,880.00	Limited Partnership	Westside Capital Partners LLC	$200,880.00
29,480.00	Limited Partnership	Westside Sacramento Investors LLC	$29,480.00
50,000.00	Preferred Stock	Bookingpal, Inc. Series A Preferred	$158,005.00
10,802.00	Preferred Stock	Boostit, Inc. Series Seed Preferred Stock	$3,996.74
37.50	Preferred Stock	Credibility Capital Series A-4 Preferred Stock	$75,000.00
32.97	Preferred Stock	Credibility Capital, Inc. Series A-1 Preferred Stock	$58,383.41
1,020.00	Preferred Stock	Hello Digit, Inc. Preferred Stock	$14,086.20
5,564.00	Preferred Stock	Mercury Technologies, Inc. Series Seed Preferred Stock	$9,999.45

Shares	Security Type2	Security Name		Statement Market Value
5,646.00	Preferred Stock	Scratch Services Inc Series A Preferred Stock		$19,998.70
13,760.00	Preferred Stock	Sipree, Inc. Series Seed 3 Preferred Stock		$42,895.42
38,208.00	Preferred Stock	Terabit Radios, Inc Ser A Pfd		$19,104.00
25,000.00	Preferred Stock	Venture Studio Inc. Series Seed Preferred		$25,000.00
7,600.00	REIT	American Realty Capital New York City REIT		$153,976.00
	Loan	Master Participant Loan		$2,999,942.21
			TOTAL	**$666,385,027.39**

Important 2018 Form 5500 Schedule C Disclosures

May 2019

Matrix Trust Company's Role in Assisting Plan Sponsors in Completing Schedule C

Plan sponsors are responsible for completing the annual Form 5500 filing and are tasked with identifying and reviewing the reportable Schedule C compensation paid to plan service providers. The information contained in this communication is being provided to assist in the completion of Schedule C for Form 5500 filing for the 2018 plan year (which would be filed during 2019).

It is important for TPAs and plan sponsors to understand that Matrix Trust Company ("**Matrix Trust**") can only provide information related to its compensation as a service provider. Matrix Trust can provide activity related to payments made to other service providers only to the extent that the compensation flows through either the trust, the custodial account, or a fund service fee account. Investment product disclosures, annual reports and prospectuses (as applicable) should be reviewed for other components of reportable compensation under Schedule C.

Where compensation can be classified as Eligible Indirect Compensation ("**EIC**"), Matrix Trust has provided the appropriate disclosures in this document rather than a set dollar amount for each plan, as permitted under guidance issued by the U.S. Department of Labor.

A. REPORTING REQUIREMENTS

Plan sponsors of retirement plans with over 100 participants must identify persons who indirectly or directly received $5,000 or more in compensation during the plan year for services rendered to the Plan on Schedule C of Form 5500.

B. DIRECT COMPENSATION

Direct compensation paid to Matrix Trust by your plan is reported on your account statement or other reports provided by Matrix Trust, for example, a check register. These reports enable you to determine any payments that may have been made directly from plan assets.

To the extent Matrix Trust fees are asset based, fees will be calculated utilizing a daily average balance for the invoice period.

To the extent that Matrix Trust fees are paid by the plan sponsor and not reimbursed by plan assets, the fees do not represent direct or indirect compensation paid to Matrix Trust for purposes of 408(b)(2).

C. INDIRECT COMPENSATION

Matrix Trust, or an affiliate, may have received indirect compensation from sources other than your plan during the past calendar year. Matrix Trust is providing a description of the formula used to calculate or determine indirect compensation so this compensation can be reported as "Eligible Indirect Compensation" paid to Matrix Trust or an affiliate on Schedule C of the Form 5500 for the 2018 plan year, if compensation paid meets the reporting thresholds.

Matrix Trust may have received indirect compensation in connection with services:

- in the form of "float" income; and
- through its affiliate's Mutual Fund Fee collection process as described below with respect to Fund Service Fee Services.

Float Income

Non-interest bearing general or omnibus accounts are maintained at JPMorgan Chase Bank, N.A., M&T Bank, and TD Bank, N.A. in order to facilitate transactions of retirement plans. Matrix Trust may receive earnings for the period that the funds are held in these accounts. The earnings credited on these amounts are known as "float".

Float earnings are considered eligible indirect compensation for Schedule C of Form 5500 purposes and indirect compensation under ERISA Section 408(b)(2). Information with respect to the determination of float earnings is incorporated on page 4 of this document.

Fund Service Fee Services (if elected and to the extent applicable)

Where Matrix Trust has been so authorized, Matrix Trust through its affiliate and registered broker dealer, MSCS Financial Services Division of Broadridge Business Process Outsourcing, LLC ("**MSCS Financial**"), may receive fees from certain investment companies, mutual funds, stable value funds, guaranteed investment contracts, guaranteed annuity contracts and similar investment vehicles (the "**Fund(s)**") in the form of 12b-1 fees or firm concessions (i.e., finders fees), or in the form of shareholder servicing, sub-transfer agent and sub-accounting fees ("**Mutual Fund Fees**"). In accordance with the Fund Service Fee Collection Addendum, Matrix Trust may deposit an amount equal to a percentage (or percentages) of the Mutual Fund Fees collected to a Fund Service Fee Account for the administrative services provided to the plan ("**Fund Service Fees**"). The Fund Service Fees will remain in the Fund Service Fee Account until Matrix Trust is instructed to disburse it.

Fund Service Fees are generated only to the extent the following are all true:

- the plan has selected its investment options from those that are available on the Matrix Trust platform;
- the plan has holdings in investment options which generate Mutual Fund Fees; and
- the plan Sponsor or Authorized Person has authorized Matrix Trust, through its affiliate, to collect the Mutual Fund Fees.

If the plan is trading on Matrix Trust's trading platform and Mutual Fund Fees are available for the plan investment options selected for the plan, available Mutual Fund Fees are identified on the plan fund list in the Sub-TA and 12b-1 columns for each investment option listed and is paid by the investment provider offering the fund.

In accordance with an intercompany agreement between MSCS Financial and Matrix Trust, MSCS Financial pays to Matrix Trust the Fund Service Fees, which Matrix Trust, in turn, pays out in accordance with the Fund Service Fee Collection Addendum. MSCS Financial retains 0% of Mutual Fund Fees collected for its services.

In addition, payments to parties other than MSCS Financial or Matrix Trust from the related Fund Service Fee Account statement may be reportable as direct or indirect compensation paid to those parties.

D. ADDITIONAL POTENTIAL INDIRECT COMPENSATION RELATED TO CERTAIN BROKERAGE ACCOUNTS

Where the named fiduciary of the plan and/or its authorized representative(s) has directed Matrix Trust to establish a TD Ameritrade Brokerage Account, Matrix Trust will receive indirect compensation paid by TD Ameritrade in consideration of certain services rendered by Matrix Trust, including account reconciliation services, account set-up and maintenance, related money movement of cash between the plan core accounts and brokerage firm for contribution and disbursement activity and the performance of related administrative tasks. For these services, Matrix Trust receives quarterly, in arrears, an amount equal to 1.5 basis points of the value of plan assets in TD Ameritrade brokerage account(s) during such quarter, based on the average of the plan assets held in the brokerage account(s) on the last business day of each month in the applicable quarter. These payments are made in arrears.

E. ADDITIONAL POTENTIAL INDIRECT COMPENSATION RELATED TO MODELTOOL(K)IT™SERVICES

Where the named Fiduciary of the plan and/or its authorized representative(s) has entered into an agreement to subscribe to ModelTool(k)it™ ("**MTK**"), a total fee of .875 basis points charged quarterly in arrears shall apply to the market value of assets covered under the MTK agreement. Of that total fee, Envestnet Retirement Services ("**ERS**") receives at least .6875 basis points as its compensation for providing services detailed in the MTK agreement. ERS is a subcontractor retained by Matrix Settlement & Clearance Services, LLC ("**MSCS**"). MSCS, an affiliate of Matrix Trust, shall receive no more than .1875 basis points of the total MTK fee as its compensation for making MTK available as a service on its trading platform. Additionally, in the event any of the investments covered by the MTK agreement generate Mutual Fund Fees, Matrix Trust's affiliate MSCS Financial will collect such Mutual Fund Fees and, in accordance with the MTK agreement, Matrix Trust will credit Fund Service Fees to the MTK portfolio in an amount equal to 90% of Mutual Fund Fees collected. MSCS Financial is compensated by the collection of Mutual Fund Fees and may retain an amount equal to 10% of such Mutual Fund Fees collected. (Beginning July 1, 2019, Matrix Trust will credit Fund Service Fees to the MTK portfolio in an amount equal to 100% of Mutual Fund Fees collected. Also beginning July 1, 2019, while MSCS Financial is compensated by the collection of Mutual Fund Fees, it will no longer retain an amount equal to 100% of Mutual Fund Fees collected)

F. ADDITIONAL POTENTIAL INDIRECT COMPENSATION RELATED TO LEVEL COMPENSATION SERVICES

Where the named Fiduciary of the plan has engaged an Investment Advisor whose firm subscribes to Matrix Trust Level Compensation Services, **MSCS Financial,** an affiliate of Matrix Trust Company, shall serve as Broker of Record. MSCS Financial will retain up to 2 basis points of any 12b-1 fees or firm concessions (*i.e.,* finders fees) and shareholder servicing ("**Level Compensation Fees**") it collects from the Funds on behalf of the Investment Advisor for its administrative services in collecting and distribution Level Compensation Fees to Investment Advisor. MSCS Financial compensation will be deducted from Level Compensation Fees as received by the Funds.

G. ETF TRADING SERVICES

Where the named Fiduciary of the plan and/or its authorized representative(s) have directed Matrix Trust to establish an Exchange Traded Fund ("**ETF**") on the MSCS trading platform as an investment option within the plan, a subcontractor to Matrix Trust and MSCS Financial, Virtu Americas LLC, receives $0.01 per share per trade as compensation for its trading services in providing ETF trades priced as of market close.

H. TREASURY MANAGEMENT SERVICES

Where the named Fiduciary of the plan and/or its authorized representative(s) has elected to utilize certain services to assist in the resolution of participant related stale dated checks, a subcontractor to Matrix Trust and MSCS, Risk Compliance Performance Solutions, LLC ("**RCPS**"), receives $40 per check as direct compensation which is deducted directly from the participant related stale dated check. The compensation to RCPS is for its services which include conducting a search, corresponding to solicit resolution and distributing funds to the participants of the stale dated checks. Please note that in the event RCPS services are elected, any float earnings to Matrix Trust related to the period when a distribution check is requested and the distribution check is presented for payment shall cease.

I. NON-MONETARY COMPENSATION

Matrix Trust and MSCS Financial maintain policies that place limits on the circumstances under which gifts, travel and entertainment may be accepted by employees. **Other than for modest gifts given or received in the normal course of business, employees are not permitted to receive gifts from clients and vendors.** Under the 408(b)(2) regulation, a service provider's acceptance of these non-monetary items may involve the receipt of indirect compensation from a plan where the value attributable to the plan, on a pro rata basis, exceeds $250 over the term of the plan's contract with the service provider. In light of the policies, Matrix Trust does not anticipate that the value of any such non-monetary items will approach the $250 threshold with respect to the plan.

J. COMPLETING SCHEDULE C

You will need the following information to complete Schedule C:

Matrix Trust Company 717 17th Street, Suite 1300 Denver, CO 80202 Employer Identification Number: 75-3182674	MSCS Financial Services Division of Broadridge Business Process Outsourcing, LLC 717 17th Street, Suite 1300 Denver, CO 80202 Employer Identification Number: 33-1151291

For **Direct Compensation**, you will be required to provide the Matrix Trust address or Employer Identification Number.

For **Indirect Compensation**, Matrix Trust provided you with a formula so that you can report this arrangement as **Eligible Indirect Compensation**, and you will be required to enter the MSCS Financial and Matrix Trust names and Employer Identification Numbers or addresses.

If you have any questions regarding this information, please contact your Matrix Trust Company Relationship Manager.

These materials and any attachments do not constitute tax or legal advice. The recipient is encouraged to consult with an attorney or tax professional and not rely on these materials as tax advice or attempt to apply them to specific situations.

MATRIX TRUST COMPANY
FLOAT DISCLOSURE FOR EMPLOYEE BENEFIT PLANS

I. ADDITIONAL COMPENSATION ATTRIBUTABLE TO FLOAT

Matrix Trust Company ("**Matrix Trust**) may derive compensation from the use of cash held on behalf of client plans pending investment, distribution or other processing. This compensation is referred to as "float." The disclosures contained in the following paragraphs have been prepared in accordance with U.S. Department of Labor guidance contained in Field Assistance Bulletin 2002-3 concerning service provider float disclosure obligations to employee benefit plan customers.

In connection with Matrix Trust's provision of services to plan customers, Matrix Trust maintains various banking arrangements to facilitate movements of cash as necessary to process plan customer transactions, including arrangements with one or more banks. Under these arrangements, cash may be held in general or "omnibus" bank accounts established by or at the direction of Matrix Trust, pending investment, trade settlement, or the presentment of distribution checks for payment. These accounts generate float earnings for Matrix Trust. The proportionate share of those earnings attributable to the funds of a particular plan constitutes compensation that is paid by the Plan and retained by Matrix Trust in connection with Matrix Trust's services and is in addition to any other fees or compensation payable under the service arrangement.

As of the date of this disclosure, JPMorgan Chase Bank, N.A. provides all of the banking arrangements described above with the exception of certain banking arrangements provided by M&T Bank or TD Bank, N.A. JPMorgan Chase Bank, N.A., M&T Bank, and TD Bank, N.A. are unaffiliated institutions to Matrix Trust.

The paragraphs below describe the specific circumstances under which float will be earned and retained, the time frames applicable to float earnings periods, and a general description of the rate of float earnings.

II. CIRCUMSTANCES AND TIME FRAMES DURING WHICH FLOAT MAY BE EARNED

1. Contributions

Plans direct cash contributions to Matrix Trust through a demand deposit account Matrix Trust maintains for that purpose. Matrix Trust credits the amount of a plan's cash contribution to the plan's Matrix Trust account ("**Client Account**") on the business day it is received. If the Client Account uses a cash sweep, the cash contribution is swept from the demand deposit account and invested on the plan's behalf on the next business day. Matrix Trust earns float on the cash contribution between the business day of deposit and the next business day. A "business day" is a day on which the New York Stock Exchange is open for business.

If a Client Account does not use a cash sweep, Matrix Trust earns float on the cash contribution from the business day of deposit until Matrix Trust receives investment instructions from the plan and the investment transaction settles. Upon Matrix Trust's receipt of investment instructions in good order, settlement of mutual fund trades generally occurs within one business day and settlement of individual securities trades (i.e., stocks and bonds) generally occurs within three business days.

2. Purchases of Securities for the Client Account

When Matrix Trust receives instructions, in good order and in accordance with prescribed procedures, to purchase a security for a Client Account, Matrix Trust places the purchase trade order that same business day if the instructions are received prior to Matrix Trust trading cut-off times. If instructions are received after the Matrix Trust trading cut-off times, the purchase trade order is placed on the next business day.

When Matrix Trust settles a purchase trade order for a Client Account, Client Account assets required to pay for the purchase are transferred to a demand deposit account maintained by Matrix Trust on the trade settlement date. When a purchase trade order is cancelled or rejected, the funds previously set aside to pay for the purchase are re-credited to the Client Account and either invested through the cash sweep, if applicable, or retained in the deposit account pending other investment instructions, as described above.

3. Sales of Securities for the Client Account

When Matrix Trust receives instructions, in good order and in accordance with prescribed procedures, to sell a security for a Client Account, Matrix Trust places the sale trade order that same business day if the instructions are received prior to

Matrix Trust trading cut-off times. If instructions are received after the Matrix Trust trading cut-off times, the sale trade order will be placed on the next business day.

When Matrix Trust places or settles a sale trade for a Client Account, the Client Account receives the sales proceeds on the trade settlement date. If the Client Account does not use a sweep, Matrix Trust will earn float from the date Matrix Trust receives the sale settlement proceeds until Matrix Trust receives instructions to reinvest the sale proceeds as described above.

4. Payments from Client Accounts

Matrix Trust may earn float when it issues checks on behalf of plans including checks for (i) distributions to participants and/or beneficiaries, (ii) participant loan distributions, or (iii) fees paid to plan service providers. Matrix Trust does not earn float when payments or distributions are made by direct deposit (ACH) or by a federal funds wire transfer.

Matrix Trust will mail a distribution check to a plan participant or beneficiary on the payable date (the date printed on the check). On the same day, Matrix Trust debits the Client Account in the amount of the check. Matrix Trust will earn float on the amount of the check from the date the check is issued until the date the check is presented and paid.

Where Matrix Trust has been directed to establish periodic or recurring distribution checks, such payments are typically mailed to plan participants and beneficiaries prior to the payable date (for example, periodic payments are mailed six business days prior to the payable date). Matrix Trust debits the Client Account in the amount of the checks on the payable date. Matrix Trust is able to mail the checks before assets are withdrawn from the Client Account and sold because periodic distributions are of a predictable amount (generally a set dollar amount each payment cycle). Matrix Trust will only earn float on the amount of the check from the date the check is payable until the date check is presented and paid.

On a periodic basis, Matrix Trust will notify clients of outstanding periodic and lump sum distribution checks that Matrix Trust has issued. If an originally-issued check is reported lost or missing, Matrix Trust will re-issue the check upon receipt of direction from the client and/or other authorized party to instruct on the account. If the participant or beneficiary does not negotiate the check within a reasonable time, Matrix Trust reserves the right to re-credit (redeposit) the payment to the Client Account and to invest these funds at the direction of a Client Account fiduciary, or to disburse the funds as directed or otherwise in accordance with applicable law.

III. RATE ON FLOAT EARNINGS

The rate at which Matrix Trust earns float over the time periods described above is generally comparable to the effective Federal Funds Rate as reported in the Wall Street Journal over the applicable time frame. This compensation varies, but a reasonable estimate based on a blend of rates is from 1.42% to 2.46%.

SCHEDULE H, LINE 4i, SCHEDULE OF ASSETS (HELD AT END OF YEAR)*

MAY 31, 2019

*See attached financial statements with auditor's report.