Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	Identification Information	1					
For calend	lar plan year 2018 or fis	scal plan year beginning 01/01/2	2018	and ending 1	2/31/2018			
A This re	turn/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)					
		a one-participant plan	a foreign plan					
B This ret	urn/report is	the first return/report	the final return/repor	port				
		x an amended return/report	a short plan year ret	urn/report (less than 12 m	ionths)			
C Check	box if filing under:	Form 5558	automatic extension	ı	DFVC progr	ram		
		special extension (enter desc	• •					
Part II	Basic Plan Info	rmation —enter all requested in	formation					
1a Name of plan SEEQ 401(K) PLAN					1b Three-di plan nun (PN) ▶	-		
					1c Effective	e date of plan 06/22/2017		
		oyer, if for a single-employer plan)	2. Rev)		2b Employer Identification Number			
		m, apt., suite no. and street, or P.C e. country, and ZIP or foreign post		structions)	(EIN) 45-4986143			
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) SEEQ CORPORATION					2c Sponsor's telephone number 206-801-9339			
					2d Business code (see instructions)			
1301 2ND A SUITE 2850					541519			
SEATTLE, V								
3a Plan a	administrator's name ar	nd address Same as Plan Spor	nsor.		3b Administrator's EIN			
GUIDELINE,	, INC.		ELAWARE ST		47-4474775			
		#202 SAN MAT	ΓΕΟ, CA 94403		3c Administrator's telephone number 888-228-3491			
						300-220-3491		
		e plan sponsor or the plan name hansor's name, EIN, the plan name a			4b EIN			
	sor's name	neer e name, 2m, ale plan name e	and the plan namber nen	r tilo last retailiriopert.	4d PN			
C Plan N	Name							
					5a	36		
5a Total number of participants at the beginning of the plan year						75		
 Total number of participants at the end of the plan year Number of participants with account balances as of the end of the plan year (only defined contribution plans 								
complete this item)					5c	41		
d(1) Total number of active participants at the beginning of the plan year					5d(1)	36		
d(2) Total number of active participants at the end of the plan year					5d(2)	75		
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e 0			
		or incomplete filing of this return						
SB or Sche		her penalties set forth in the instru nd signed by an enrolled actuary, a plete.						
SIGN HERE	Filed with authorized	/valid electronic signature.	05/18/2020	CAROL HO				
	Signature of plan a	dministrator	Date	Enter name of individ	dual signing as plan administrator			
SIGN								
HERE	Signature of emplo	yer/plan sponsor	Date	Enter name of individ	lual signing as e	employer or plan sponsor		

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C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?	630908 0 630908		
7 Plan Assets and Liabilities 7a 109186 a Total plan assets 75 109186 b Total plan liabilities 7b 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	630908 0 630908		
a Total plan assets	630908 0 630908		
b Total plan liabilities	0 630908 rotal		
C Net plan assets (subtract line 7b from line 7a)	630908 Potal		
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers	otal		
a Contributions received or receivable from: (1) Employers			
(1) Employers	534530		
(3) Others (including rollovers)	534530		
b Other income (loss)	534530		
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	534530		
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	534530		
to provide benefits)			
f Administrative service providers (salaries, fees, commissions)			
g Other expenses (add lines 8d, 8e, 8f, and 8g)			
h Total expenses (add lines 8d, 8e, 8f, and 8g)			
i Net income (loss) (subtract line 8h from line 8c)			
j Transfers to (from) the plan (see instructions)	12808		
Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits.	521722		
9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instruction List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instruction of the plan provides welfare benefits.			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instru			
	ructions:		
Part V Compliance Questions	uctions:		
<u>'</u>			
10 During the plan year: Yes No A	Amount		
Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 10a	18427		
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	0		
C Was the plan covered by a fidelity bond?	60000000		
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	0		
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	0		
f Has the plan failed to provide any benefit when due under the plan?	0		
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)		В	Yes 🛚 N	Ю	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes 🛛 N	Ю	
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver.	d enter t Day		of the letter ruling Year		
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b Enter the minimum required contribution for this plan year						
C Enter the amount contributed by the employer to the plan for this plan year						
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A		
Part VII Plan Terminations and Transfers of Assets						
13a Has a resolution to terminate the plan been adopted in any plan year?				s 🔀 No		
If "Yes," enter the amount of any plan assets that reverted to the employer this year						
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?				Yes X No		
C If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):				13c(3) PN(s)		