

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 2018 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information For calendar plan year 2018 or fiscal plan year beginning <u>10/01/2018</u> and ending <u>09/30/2019</u>
A This return/report is for: <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> ☐ a multiemployer plan </div> <div> ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> ☒ a single-employer plan </div> <div> ☐ a DFE (specify) ____ </div> </div>	
B This return/report is: <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> ☐ the first return/report </div> <div> ☐ the final return/report </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> ☐ an amended return/report </div> <div> ☐ a short plan year return/report (less than 12 months) </div> </div>	
C If the plan is a collectively-bargained plan, check here. ▶ ☐	
D Check box if filing under: <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> ☒ Form 5558 </div> <div> ☐ automatic extension </div> <div> ☐ the DFVC program </div> </div> <div style="margin-top: 5px;"> ☐ special extension (enter description) </div>	

Part II	Basic Plan Information—enter all requested information				
1a Name of plan <u>ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>003</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>10/01/2007</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>003</u>	1c Effective date of plan <u>10/01/2007</u>	
1b Three-digit plan number (PN) ▶	<u>003</u>				
1c Effective date of plan <u>10/01/2007</u>					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>ALLISON TRANSMISSION, INC.</u> <u>ONE ALLISON WAY</u> <u>INDIANAPOLIS, IN 46222-3271</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>26-0413897</u></td> </tr> <tr> <td>2c Plan Sponsor's telephone number <u>317-242-3561</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>336300</u></td> </tr> <tr> <td style="height: 40px;"></td> </tr> </table>	2b Employer Identification Number (EIN) <u>26-0413897</u>	2c Plan Sponsor's telephone number <u>317-242-3561</u>	2d Business code (see instructions) <u>336300</u>	
2b Employer Identification Number (EIN) <u>26-0413897</u>					
2c Plan Sponsor's telephone number <u>317-242-3561</u>					
2d Business code (see instructions) <u>336300</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	07/10/2020	DOUG R. BOLTON
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2018)
v. 171027

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN
5 Total number of participants at the beginning of the plan year	5 822
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	6a(1) 395 6a(2) 356 6b 307 6c 123 6d 786 6e 12 6f 798 6g 6h 0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

1A 1C 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1)** ☒ **R** (Retirement Plan Information)
- (2)** ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3)** ☒ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- (1)** ☒ **H** (Financial Information)
- (2)** ☐ **I** (Financial Information – Small Plan)
- (3)** ☐ 0 **A** (Insurance Information)
- (4)** ☒ **C** (Service Provider Information)
- (5)** ☒ **D** (DFE/Participating Plan Information)
- (6)** ☐ **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2018 Form M-1 annual report. If the plan was not required to file the 2018 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2018 This Form is Open to Public Inspection
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For calendar plan year 2018 or fiscal plan year beginning 10/01/2018 and ending 09/30/2019

▶ **Round off amounts to nearest dollar.**
▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan <u>ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</u>	B Three-digit plan number (PN) ▶ <u>003</u>
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF <u>ALLISON TRANSMISSION, INC.</u>	D Employer Identification Number (EIN) <u>26-0413897</u>
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500

Part I	Basic Information
1 Enter the valuation date: Month <u>10</u> Day <u>01</u> Year <u>2018</u>	
2 Assets:	
a Market value.....	2a <u>102493207</u>
b Actuarial value	2b <u>102493207</u>
3 Funding target/participant count breakdown	
a For retired participants and beneficiaries receiving payment	(1) Number of participants <u>302</u> (2) Vested Funding Target <u>20557848</u> (3) Total Funding Target <u>20557848</u>
b For terminated vested participants	<u>125</u> <u>5381532</u> <u>5381532</u>
c For active participants	<u>395</u> <u>42653951</u> <u>42689970</u>
d Total	<u>822</u> <u>68593331</u> <u>68629350</u>
4 If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐	
a Funding target disregarding prescribed at-risk assumptions	4a
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b
5 Effective interest rate	5 <u>5.80 %</u>
6 Target normal cost	6 <u>3702004</u>

Statement by Enrolled Actuary
To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	
Signature of actuary <u>DAVID L. JAKES, FSA, EA, MAAA</u>	<u>07/06/2020</u> Date
Type or print name of actuary <u>MERCER</u>	<u>20-05110</u> Most recent enrollment number
Firm name <u>325 JOHN H MCCONNELL BLVD SUITE 350 COLUMBUS, OH 43215</u>	<u>614-227-5555</u> Telephone number (including area code)
Address of the firm	

Part II Beginning of Year Carryover and Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)		
9 Amount remaining (line 7 minus line 8)	0	0
10 Interest on line 9 using prior year's actual return of <u>-0.58</u> %	0	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		0
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>6.00</u> %		0
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
c Total available at beginning of current plan year to add to prefunding balance		0
d Portion of (c) to be added to prefunding balance		0
12 Other reductions in balances due to elections or deemed elections	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	0

Part III Funding Percentages

14 Funding target attainment percentage	14	149.34%
15 Adjusted funding target attainment percentage	15	149.34%
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	177.66%
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.	17	%

Part IV Contributions and Liquidity Shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
Totals ▶			18(b)	0	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	0

20 Quarterly contributions and liquidity shortfalls:

- a** Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No
- b** If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No
- c** If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 3.92%	2nd segment: 5.52%	3rd segment: 6.29%	☐ N/A, full yield curve used
b Applicable month (enter code)				21b 0
22 Weighted average retirement age				22 61
23 Mortality table(s) (see instructions)	Prior regulation:	☐ Prescribed - combined	☐ Prescribed - separate	☐ Substitute
	Current regulation:	☐ Prescribed - combined	☒ Prescribed - separate	☐ Substitute

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☒ Yes	☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes	☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.	☒ Yes	☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	27	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)	31a	3702004	
b Excess assets, if applicable, but not greater than line 31a	31b	3702004	
32 Amortization installments:			
a Net shortfall amortization installment	0	0	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33		
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)	34	0	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	0	0	0
36 Additional cash requirement (line 34 minus line 35)	36	0	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	37	0	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	0	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b		
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0	
40 Unpaid minimum required contributions for all years	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:				
a Schedule elected	☐ 2 plus 7 years	☐ 15 years		
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008	☐ 2009	☐ 2010	☐ 2011

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2018
		This Form is Open to Public Inspection.

For calendar plan year 2018 or fiscal plan year beginning 10/01/2018 and ending 09/30/2019

A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES	B Three-digit plan number (PN) ▶ 003
C Plan sponsor's name as shown on line 2a of Form 5500 ALLISON TRANSMISSION, INC.	D Employer Identification Number (EIN) 26-0413897

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
DIMENSIONAL FUND ADVISORS LP
30-0447847

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
EATON VANCE MANAGEMENT
TWO INTERNATIONAL PLACE BOSTON, MA 02110

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
PIMCO
33-0629048

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

RYAN LABS, INC.

47-3503928

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 49 18	NONE	174401	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WELLS FARGO BANK NA

94-1347393

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 15	NONE	69712	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

CAPFINANCIAL PARTNERS, LLC

26-0058143

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	47791	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WELLS FARGO FUNDS MANAGEMENT, LLC

71-0828842

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

HARTFORD FUNDS MANAGEMENT GROUP

PO BOX 55022
BOSTON, MA 02205-5022

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

PRINCIPAL GLOBAL INVESTORS

711 HIGH STREET
DES MOINES, IA 50392

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

BLACKSTONE ALTERNATIVE INV ADVISORS

345 PARK AVENUE
28TH FLOOR
NEW YORK, NY 10154

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
WELLS FARGO FUNDS MANAGEMENT, INC.	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WELLS FARGO TREASURY PLUS MONEY MKT 71-0828842	0.20% INVESTMENT MANAGEMENT FEE	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
HARTFORD FUNDS MANAGEMENT GROUP	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARTFORD INTERNATIONAL OPPS FUND PO BOX 55022 BOSTON, MA 02205-5022	0.79% INVESTMENT MANAGEMENT FEE	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
PRINCIPAL GLOBAL INVESTORS	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRINCIPAL REAL ESTATE SECURITIES 711 HIGH STREET DEA MOINES, IA 50392	0.88% INVESTMENT MANAGEMENT FEE	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
BLACKSTONE ALTERNATIVE INV ADVISORS	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKSTONE ALTERNATIVE MULTI-STRAT 345 PARK AVENUE NEW YORK, NY 10154	2.40% INVESTMENT MANAGEMENT FEE	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2018 This Form is Open to Public Inspection.
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For calendar plan year 2018 or fiscal plan year beginning 10/01/2018 and ending 09/30/2019

A Name of plan <u>ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</u>	B Three-digit plan number (PN) ►	<u>003</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>ALLISON TRANSMISSION, INC.</u>	D Employer Identification Number (EIN) <u>26-0413897</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: IRT MIRRORED HOLDING

b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC.

c EIN-PN <u>26-0413897-001</u>	d Entity code <u>P</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>4569613</u>
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a Name of MTIA, CCT, PSA, or 103-12 IE: CASH

b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC.

c EIN-PN <u>26-0413897-002</u>	d Entity code <u>P</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>23</u>
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2018 This Form is Open to Public Inspection
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For calendar plan year 2018 or fiscal plan year beginning 10/01/2018 and ending 09/30/2019		
A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES	B Three-digit plan number (PN) ►	003
C Plan sponsor's name as shown on line 2a of Form 5500 ALLISON TRANSMISSION, INC.	D Employer Identification Number (EIN) 26-0413897	

Part I	Asset and Liability Statement
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1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	0
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)	0	0
(2) Participant contributions	1b(2)		
(3) Other.....	1b(3)	558512	600005
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	2492613	1390099
(2) U.S. Government securities	1c(2)	26517031	28978178
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	46378714	53088156
(B) All other.....	1c(3)(B)	10646954	10691603
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common.....	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property).....	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans.....	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)	4383148	4569636
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities.....	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	11516235	11392593
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other	1c(15)	0	752673

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	102493207	111462943

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	102493207	111462943
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	0	
(B) Participants	2a(1)(B)	0	
(C) Others (including rollovers)	2a(1)(C)	0	
(2) Noncash contributions	2a(2)	0	
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		0
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	42165	
(B) U.S. Government securities	2b(1)(B)	1713057	
(C) Corporate debt instruments	2b(1)(C)	2044577	
(D) Loans (other than to participants)	2b(1)(D)	0	
(E) Participant loans	2b(1)(E)	0	
(F) Other	2b(1)(F)	167344	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		3967143
(2) Dividends: (A) Preferred stock	2b(2)(A)	0	
(B) Common stock	2b(2)(B)	0	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	150763	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		150763
(3) Rents	2b(3)		0
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	55427433	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	55337510	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		89923
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	0	
(B) Other	2b(5)(B)	11365911	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		11365911

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		186488
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		227595
c Other income.....	2c		11123
d Total income. Add all income amounts in column (b) and enter total.....	2d		15998946

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	6676116	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		6676116
f Corrective distributions (see instructions)	2f		0
g Certain deemed distributions of participant loans (see instructions)	2g		0
h Interest expense.....	2h		0
i Administrative expenses: (1) Professional fees.....	2i(1)	0	
(2) Contract administrator fees.....	2i(2)	69712	
(3) Investment advisory and management fees.....	2i(3)	222233	
(4) Other	2i(4)	61149	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		353094
j Total expenses. Add all expense amounts in column (b) and enter total	2j		7029210

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		8969736
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **BKD, LLP**

(2) EIN: **44-0160260**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b		X	

		Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e Was this plan covered by a fidelity bond?	4e	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l Has the plan failed to provide any benefit when due under the plan?	4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
 If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ☒ Yes ☐ No ☐ Not determined
 If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 4269463. (See instructions.)

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2018 This Form is Open to Public Inspection.
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For calendar plan year 2018 or fiscal plan year beginning 10/01/2018 and ending 09/30/2019

A Name of plan <u>ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</u>	B Three-digit plan number (PN) ▶ <u>003</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ALLISON TRANSMISSION, INC.</u>	D Employer Identification Number (EIN) <u>26-0413897</u>

Part I	Distributions
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All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>26-6103167</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	<u>23</u>

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
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4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☒ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☒ N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☒ No
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Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2018
v. 171027

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year

b The plan year immediately preceding the current plan year

c The second preceding plan year

14a**14b****14c**

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year

b The corresponding number for the second preceding plan year

15a**15b**

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers

16a**16b**

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: _____ % Investment-Grade Debt: _____ % High-Yield Debt: _____ % Real Estate: _____ % Other: _____ %

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

**Allison Transmission Retirement Program for
Salaried Employees**

EIN 26-0413897 PN 003

Independent Auditor's Report and Financial Statements

September 30, 2019 and 2018

**Allison Transmission Retirement Program for
Salaried Employees**
September 30, 2019 and 2018

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Independent Auditor's Report

Plan Administrator
Allison Transmission Retirement Program for
Salaried Employees
Indianapolis, Indiana

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Allison Transmission Retirement Program for Salaried Employees, which comprise the statements of net assets available for benefits as of September 30, 2019 and 2018, and the related statements of changes in net assets available for benefits for the years then ended, and the statement of accumulated plan benefits as of October 1, 2018 and the related statement of changes in accumulated plan benefits for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting our audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974*, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Wells Fargo Bank, N.A., the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan Administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained certifications from the trustee as of and for the years ended September 30, 2019 and 2018, that the information provided to the Plan Administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedules listed in the table of contents are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974* and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on these supplemental schedules.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974*.

BKD, LLP

Indianapolis, Indiana
July 9, 2020

Federal Employer Identification Number: 44-0160260

Allison Transmission Retirement Program for Salaried Employees

Statements of Net Assets Available for Benefits September 30, 2019 and 2018

Assets

	<u>2019</u>	<u>2018</u>
Investments , at fair value	\$ 110,862,938	\$ 101,934,695
Receivables		
Accrued interest income	<u>600,005</u>	<u>558,512</u>
Net Assets Available for Benefits	<u><u>\$ 111,462,943</u></u>	<u><u>\$ 102,493,207</u></u>

Allison Transmission Retirement Program for Salaried Employees

Statements of Changes in Net Assets Available for Benefits Years Ended September 30, 2019 and 2018

	<u>2019</u>	<u>2018</u>
Additions		
Investment Income (Loss)		
Net appreciation (depreciation) in fair value of investments	\$ 11,869,917	\$ (3,124,053)
Interest and dividends	4,117,906	2,555,303
Other income	11,123	12,723
Net investment income (loss)	<u>15,998,946</u>	<u>(556,027)</u>
Deductions		
Benefits paid to participants	6,676,116	3,967,142
Administrative expenses	291,945	280,696
Pension Benefit Guaranty Corporation premiums	61,149	57,753
Total	<u>7,029,210</u>	<u>4,305,591</u>
Net Increase (Decrease)	8,969,736	(4,861,618)
Net Assets Available for Benefits, Beginning of Year	<u>102,493,207</u>	<u>107,354,825</u>
Net Assets Available for Benefits, End of Year	<u><u>\$ 111,462,943</u></u>	<u><u>\$ 102,493,207</u></u>

Allison Transmission Retirement Program for Salaried Employees

Statement of Accumulated Plan Benefits and Changes in Accumulated Plan Benefits October 1, 2018

Statement of Accumulated Plan Benefits

	October 1, 2018
	<hr/>
Vested benefits	
Participants and beneficiaries currently receiving payments	\$ 22,707,970
Other participants	60,762,484
	<hr/>
	83,470,454
Nonvested benefits	46,808
	<hr/>
Total actuarial present value of accumulated plan benefits	<hr/> \$ 83,517,262 <hr/>

Statement of Changes in Accumulated Plan Benefits

	Year Ended October 1, 2018
	<hr/>
Actuarial present value of accumulated plan benefits, beginning of year	\$ 79,294,236
	<hr/>
Increase during the year and period attributable to	
Benefits accumulated	5,954,050
Increase for interest due to the decrease in the discount period	3,471,542
Benefits paid	(3,967,142)
Change in actuarial assumptions (mortality, updated experience study and form of payment assumptions)	<hr/>
	(1,235,424)
Net increase	<hr/> 4,223,026 <hr/>
Actuarial present value of accumulated plan benefits, end of year	<hr/> \$ 83,517,262 <hr/>

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2019 and 2018

Note 1: Description of the Plan

The following description of the Plan provides only general information. Additional information about the vesting and benefit provisions and the Pension Benefit Guaranty Corporation's (PBGC) benefit guarantee is contained in the Plan Document and *Summary Plan Description*, which are available from the Plan Administrator. Any inconsistencies between the Plan Document and the *Summary Plan Description* would be governed by the Plan Document.

General

The Plan was established October 1, 2007 (Effective Date) as a result of the spin-off of Allison Transmission, Inc. (Company) from General Motors Corporation (GM). The Plan is frozen as to participation as of the Effective Date, and no employee other than a participant on the Effective Date shall be eligible to be covered under the Plan.

The Plan defines two types of participants, Part A and Part C. Part A participants are those participants who had a hire date with GM prior to January 1, 2001, who became a salaried employee of the Company on August 7, 2007, were an active participant under the General Motors Retirement Program for Salaried Employees before August 7, 2007, and are a salaried employee immediately prior to retirement or termination. Part C participants are those participants who had a hire date with GM on or after January 1, 2001 and before January 1, 2007, were an active participant under the General Motors Retirement Program for Salaried Employees before August 7, 2007, and who, prior to January 1, 2007, accrued a benefit under Part C of the General Motors Retirement Program for Salaried Employees (GM Plan) and were not vested in such benefits as of August 7, 2007 and became a salaried employee of the Company on August 7, 2007, and are a salaried employee. It was the intent of the Company that the Plan generally contain the same provisions as the GM Plan, at the time of spin-off from GM, such that employees who transferred from GM to the Company would maintain the same benefit, in aggregate, that would have been provided had the spin-off not occurred and the employees had continued working for GM.

The Plan is a noncontributory defined-benefit retirement plan for eligible salaried employees. The Plan is subject to provisions of the *Employee Retirement Income Security Act of 1974* (ERISA). Wells Fargo Bank, N.A. (Wells Fargo) is the trustee of the Plan.

Contributions

The Company has agreed to contribute such additional amounts as are necessary to provide assets sufficient to meet the benefits to be paid to Plan participants. The Company's present intention is to make contributions in amounts sufficient to annually fund the Plan's current service cost and the initial past-service costs plus interest on them over a period of seven years. The Plan has met the minimum funding requirements established by the federal government.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2019 and 2018

Pension Benefits

The monthly benefit under the Plan for Part A participants is equal to (1) 1.25% multiplied by the employee's average monthly base salary for each year of active participation during which the Part A participant's "home country" under the Company's Global Expatriate Program is the United States, plus (2)(a) for Part A participants and Part A participants, Addendum B: 1.25% multiplied by the employee's base salary from August 7, 2007 through October 1, 2007, divided by twelve, or (b) for Part A Addendum A participants - 1.25% multiplied by the employee's base salary from August 7, 2007 through September 30, 2007 divided by the number of months worked in that period. Effective January 1, 2008, enhanced variable pay (iComp) is included in the calculation of base salary. Participants are entitled to annual pension benefits beginning at the later of (1) normal retirement age (65) or (2) the 5th anniversary of the date the participant began participation in the GM Plan.

The Plan permits the following early retirement options: (1) an employee who has attained age 55 but not age 65, and who has 10 or more years of credited service, or (2) an employee who has a hire date with GM prior to January 1, 1988, who has not attained age 55, and has 30 or more years of credited service (including service with GM). In addition, participants who are totally and permanently disabled prior to attaining age 65 and who have at least 10 years of credited service (including service with GM) are eligible for a disability pension, payable without an age reduction for early commencement. Late retirement is also allowed.

Several optional forms of benefit payments are available to participants including a life annuity, a joint and 50% survivor annuity, a joint and 65% survivor annuity, or a contingent annuity in 5% increments up to 100% of the participant's monthly basic benefit. Payments made in any form other than a life annuity are actuarially adjusted for the option selected.

Effective January 1, 2016, a Part A participant or surviving spouse who has commenced benefits under the Plan on or after January 1, 2016 may elect, in lieu of the monthly basic benefit payment, to receive a lump-sum benefit payment equal to the present actuarial value as defined by the Plan.

Cash Balance Benefits

As of October 2, 2007, an account balance was established for Part C participants which equaled the sum of pay and interest credits from Part C of the GM Plan as of July 31, 2007, plus interest credits as of August 31, 2007 and September 30, 2007 and monthly interest credits as of the end of each month thereafter (starting on October 31, 2007). Interest credits equal the account balance at the end of the previous month times the monthly interest credit percentage (or 0.2669% monthly). Interest credits for a month are determined by applying the applicable interest credit percentage for the month to the participant's account balance as of the beginning of the month. The applicable interest credit percentage for a month during a Plan year is the monthly equivalent of the annual interest credit percentage for the Plan year.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2019 and 2018

Participants are entitled to monthly pension benefits beginning on the earlier of (1) the first day of the month on or after the participant's attainment of normal retirement age (the later of age 65 or the 5th anniversary of beginning participation in the GM Plan) or (2) the first day of the month following participant's termination of employment and the Plan Administrator's receipt of a request from the Part C participant. Late retirement is also allowed. The Plan allows for a life annuity, a joint and 50% survivor annuity, a joint and 75% survivor annuity, or a lump-sum distribution. If the value of a participant's account is at or below \$5,000, the benefit will be paid as a lump-sum. If the account value is between \$1,000 - \$5,000 and the participant does not request a direct payment or rollover, it will be automatically rolled over to an IRA.

Death Benefits

If a participant is vested and dies before benefits begin and has been married for at least one year, a participant's spouse may elect to receive (1) a monthly benefit for life with the same actuarial value as the participant's account or (2) a single lump-sum equal to the participant's vested account balance.

Vesting

A participant is vested upon the earlier of (1) age 65 (or for Part C benefits - the later of age 65 or a participant's 5th anniversary in the GM Plan) or (2) completion of three years of vesting service.

Plan Termination

In the event the Plan terminates, the net assets of the Plan will be allocated, as prescribed by ERISA and its related regulations, generally to provide the following benefits in the order indicated:

- a. Annuity benefits that former employees or their beneficiaries have been receiving for at least three years, or that employees eligible to retire for that three-year period would have been receiving if they had retired with benefits in the normal form of annuity under the Plan. The priority amount is limited to the lowest benefit that was payable (or would have been payable) during those three years. The amount is further limited to the lowest benefit that would be payable under plan provisions in effect at any time during the five years preceding plan termination.
- b. Other vested benefits insured by the Pension Benefit Guaranty Corporation (PBGC) up to the applicable limitations discussed below.
- c. Vested benefits not insured by the PBGC.
- d. All nonvested benefits.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2019 and 2018

Certain benefits under the Plan are insured by the PBGC if the Plan terminates. Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination. However, there is a statutory ceiling, which is adjusted periodically, on the amount of an individual's monthly benefit that the PBGC guarantees.

Whether all participants receive their benefits should the Plan terminate at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide for accumulated benefit obligations and may also depend on the financial condition of the Plan Sponsor and the level of benefits guaranteed by the PBGC. Any residual assets of the Plan will be distributed to the Company if all liabilities of the Plan to its participants and beneficiaries have been satisfied and the distribution does not contravene any provision of law.

Subsequent Events

As a result of the spread of the COVID-19 coronavirus, there has been significant volatility in the investment markets both nationally and globally since the Plan's year end which may have impacted the value of certain investments held by the Plan. The duration of the impact from COVID-19 and the ultimate financial effects cannot be reasonably estimated at this time. As a result of this situation, the Company implemented voluntary and involuntary separation programs during 2020. As a result of these programs, there have been approximately 70 employees voluntarily terminated and 60 employees involuntarily terminated during 2020. Of these 130 terminations, 74 were pension eligible for this Plan. The impact of these separation programs on the Plan is being reviewed.

Subsequent events have been evaluated through July 9, 2020, which is the date the financial statements were available to be issued.

Note 2: Summary of Significant Accounting Policies

Method of Accounting

The accompanying financial statements are prepared on the accrual method of accounting.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of net assets and changes in net assets available for benefits, disclosure of contingent assets and liabilities and the actuarial present value of accumulated plan benefits at the date of the financial statements. Actual results could differ from those estimates.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2019 and 2018

Valuation of Investments and Income Recognition

The money market fund is reported at fair value, which approximates its cost basis. Bonds and notes are stated at fair value. Securities, such as common stocks and U.S. Government securities, traded on a national securities exchange are valued at the last reported sales price on the last business day of the Plan year. Corporate bonds and notes are valued on the basis of yields currently available on comparable securities of issuers with similar credit ratings. Mutual funds are valued at the net asset value (NAV) of shares held by the Plan at year end. The fair value of the Plan's interest in the collective trusts are valued based on information reported by the investment trust advisor at year-end. Purchases and sale of securities are recorded on a trade-date basis. Interest income is recorded on an accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Payment of Benefits

Benefit payments to participants are recorded upon distribution.

Administrative Expenses

Administrative expenses may be paid by the employer or the Plan, at the employer's discretion.

Accumulated Plan Benefits

Accumulated plan benefits (see Statements of Accumulated Plan Benefits, Statements of Changes in Accumulated Plan Benefits and Note 4) are those estimated future periodic payments, including lump-sum distributions, which are attributable under the Plan's provisions to the service employees have rendered. Accumulated plan benefits include benefits expected to be paid to:

- a. Retired or terminated employees or their beneficiaries
- b. Present employees or their beneficiaries

Benefits under the Plan are based on employees' credited service. Benefits payable under all circumstances — retirement, death, disability and termination of employment — are included, to the extent they are deemed attributable to employee service rendered to the valuation date. Benefits to be provided via annuity contracts excluded from Plan assets are excluded from accumulated plan benefits.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2019 and 2018

Plan Tax Status

The Plan obtained its latest determination letter on April 16, 2014, in which the Internal Revenue Service (IRS) stated that the Plan and related trust, as then designed, were in compliance with the applicable requirements of the Internal Revenue Code and therefore not subject to tax. The Plan has been amended since receiving the determination letter. However, the Plan Administrator believes that the Plan and related trust are currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code.

Note 3: Certification of Plan Trustee

The Plan Administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, Wells Fargo, the trustee of the Plan, has certified the following information included in the accompanying financial statements and supplemental schedules is complete and accurate:

- Investments and accrued interest income as shown in the statements of net assets available for benefits as of September 30, 2019 and 2018
- Investment income (loss) as shown in the statements of changes in net assets available for benefits for the years ended September 30, 2019 and 2018
- Investment information included in the accompanying schedule of assets (held at year end) as of September 30, 2019 and schedule of reportable transactions for the year ended September 30, 2019

The Plan's independent auditors did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and supplemental schedules.

Note 4: Accumulated Plan Benefits

An actuary from Mercer determines the actuarial present value of accumulated plan benefits as of the beginning of the Plan year, which is the amount that results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements such as for death, disability, withdrawal or retirement) between the valuation date and the expected date of payment.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2019 and 2018

Significant assumptions underlying the actuarial computations are:

Assumed rate of return of investments	4.5 percent, compounded annually
Mortality basis	Mercer MRP-2006 Mortality Table for employees and annuitants with no adjustment for collar and projected generational mortality improvements according to MSS-2017 for healthy and disabled lives
Retirement age	Varies by age and service Age 65 for deferred vested participants Average age of retirees in pay status is age 68
Asset valuation	Market

Plan contributions and the actuarial present value of accumulated plan benefits are prepared based on certain assumptions pertaining to interest rates, inflation rates and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimation and assumption process, it is at least reasonably possible that changes in these estimates and assumptions in the near term could be material to the Plan's financial statements. The foregoing actuarial assumptions are based on the presumption that the Plan will continue. Were the Plan to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

Note 5: Disclosures About Fair Value of Assets

Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Fair value measurements must maximize the use of observable inputs and minimize the use of unobservable inputs. There is a hierarchy of three levels of inputs that may be used to measure fair value:

- Level 1** Quoted prices in active markets for identical assets or liabilities
- Level 2** Observable inputs other than Level 1 prices, such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities
- Level 3** Unobservable inputs supported by little or no market activity and are significant to the fair value of the assets or liabilities

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2019 and 2018

Recurring Measurements

The following tables present the fair value measurements of assets recognized in the accompanying statements of net assets available for benefits measured at fair value on a recurring basis and the level within the fair value hierarchy in which the fair value measurements fall at September 30, 2019 and 2018:

2019				
Fair Value Measurements Using				
	Fair Value	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Money market fund	\$ 1,390,099	\$ 1,390,099	\$ -	\$ -
Pooled, common and collective fund	4,569,636	-	4,569,636	-
Bonds and notes	64,532,432	-	64,532,432	-
U.S. Governmental securities	28,978,178	5,564,083	23,414,095	-
Mutual funds	11,392,593	11,392,593	-	-
	<u>\$ 110,862,938</u>	<u>\$ 18,346,775</u>	<u>\$ 92,516,163</u>	<u>\$ -</u>

2018				
Fair Value Measurements Using				
	Fair Value	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Money market fund	\$ 2,492,613	\$ 2,492,613	\$ -	\$ -
Pooled, common and collective fund	4,383,148	-	4,383,148	-
Bonds and notes	57,025,668	-	57,025,668	-
U.S. Governmental securities	26,517,031	4,000,246	22,516,785	-
Mutual funds	11,516,235	11,516,235	-	-
	<u>\$ 101,934,695</u>	<u>\$ 18,009,094</u>	<u>\$ 83,925,601</u>	<u>\$ -</u>

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2019 and 2018

Following is a description of the valuation methodologies and inputs used for assets measured at fair value on a recurring basis and recognized in the accompanying statements of net assets available for benefits, as well as the general classification of such assets pursuant to the valuation hierarchy. There have been no significant changes in the valuation techniques during the year ended September 30, 2019. The Plan had no liabilities measured at fair value on a recurring basis. In addition, the Plan had no assets or liabilities measured at fair value on a nonrecurring basis.

Investments

Where quoted market prices are available in an active market, securities are classified within Level 1 of the valuation hierarchy. Level 1 securities include a money market fund, certain U.S. Governmental securities and mutual funds. If quoted market prices are not available, then fair values are estimated by using quoted prices of securities with similar characteristics or independent asset pricing services and pricing models, the inputs of which are market-based or independently sourced market parameters, including, but not limited to, yield curves, interest rates, volatilities, prepayments, defaults, cumulative loss projections and cash flows. Such securities are classified in Level 2 of the valuation hierarchy. Level 2 securities included certain U.S. Governmental securities, corporate bonds and notes and a pooled, common and collective fund. In certain cases where Level 1 or Level 2 inputs are not available, securities are classified within Level 3 of the hierarchy. There are no Level 3 investments in the Plan.

Note 6: Party-in-Interest Transactions

Party-in-interest transactions include those with fiduciaries or employees of the Plan, any person who provides services to the Plan, an employer whose employees are covered by the Plan, an employee organization whose members are covered by the Plan, a person who owns 50 percent or more of such an employer or employee association, or relatives of such persons.

The Plan invests in various bonds and notes of the Plan trustee.

The Plan paid PBGC premiums totaling \$61,149 and \$57,753 during 2019 and 2018, respectively. The Plan paid \$69,712 and \$63,719 of administrative fees to the trustee during 2019 and 2018, respectively. The Plan paid \$222,233 and \$216,977 of investment management fees during 2019 and 2018, respectively. The Company provides certain administrative services at no cost to the Plan.

Note 7: Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

Supplemental Schedules

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) September 30, 2019

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Money Market Fund			
*Wells Fargo Advantage Treasury Plus Money Market Fund	1,390,099 shares	\$ 1,390,099	\$ 1,390,099
U.S. Governmental Securities			
U.S. Treasury Bond/Note Stripped Zero CPN 11/15/2039	4,595,000 par value	2,159,869	3,012,850
U.S. Treasury SEC Stripped Zero CPN 11/15/2041	4,280,000 par value	1,900,638	2,656,767
U.S. Treasury Bond Stripped Zero CPN 05/15/2044	3,500,000 par value	1,442,245	2,035,775
U.S. Treasury SEEC Stripped Zero CPN 05/15/2046	3,925,000 par value	1,682,279	2,173,390
U.S. Treasury SEC Stripped Zero CPN 08/15/2045	2,105,000 par value	924,805	1,185,094
U.S. Treasury SEC Stripped 08/15/2047	7,200,000 par value	2,887,076	3,887,928
U.S. Treasury Bond Stripped Zero CPN 11/15/2043	3,800,000 par value	1,559,195	2,233,982
U.S. Treasury Bond Zero CPN 11/15/2038	1,415,000 par value	652,301	951,191
U.S. Treasury Bond Zero CPN 11/15/2040	4,485,000 par value	2,026,019	2,862,237
U.S. Treasury Bond Zero CPN 11/15/2042	4,000,000 par value	1,692,155	2,414,880
U.S. Treasury Bond 11/15/2048	1,200,000 par value	635,076	631,992
U.S. Treasury Bond 05/15/2049	1,070,000 par value	1,278,602	1,247,930
U.S. Treasury Bond 02/15/2048	125,000 par value	120,678	148,414
U.S. Treasury Bond 02/15/2049	1,780,000 par value	1,935,539	2,122,312
U.S. Treasury Bond 11/15/2048	180,000 par value	192,615	229,353
U.S. Treasury Note 08/15/2029	1,000,000 par value	1,006,836	995,390
U.S. Treasury Note 05/31/2024	185,000 par value	186,680	188,693
		<u>22,282,608</u>	<u>28,978,178</u>
Bonds and Notes			
Abbvie Inc.	391,000 par value	395,746	401,049
Ace INA Holdings	280,000 par value	311,064	343,627
Alibaba Group Holding 11/28/2024	445,000 par value	454,898	468,216
Allstate Corp.	445,000 par value	456,883	516,801
Amazon.com Inc.	250,000 par value	245,260	287,633
Ameren Illinois Co.	440,000 par value	444,273	477,946
America Movil SAB DE CV	465,000 par value	485,700	477,016
American Express Co.	85,000 par value	84,903	88,896
Ameriprise Financial, Inc.	235,000 par value	236,567	240,377
Amgen Inc.	300,000 par value	303,093	310,359
Anthem Inc.	445,000 par value	451,119	467,602
Apple, Inc. 02/09/2027	795,000 par value	806,266	849,950
Apple, Inc. 05/13/2045	780,000 par value	809,500	944,260
AT&T, Inc. 05/15/2025	855,000 par value	819,447	892,064
Baker Hughes LLC/CO-OBL	490,000 par value	435,649	493,195
Banco Santander SA	260,000 par value	257,444	270,943
Bank of America Corp.	933,000 par value	957,125	952,845
Berkshire Hathaway	315,000 par value	326,970	371,168
BP Capital Markets PLC 05/04/2026	685,000 par value	655,826	714,181
Burlington North Santa Fe	340,000 par value	414,475	435,159
Burlington Resour Finan	235,000 par value	304,555	331,667
California ST	290,000 par value	398,675	453,896
Capital One Financial Co.	820,000 par value	836,641	866,330

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued) September 30, 2019

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Charles Schwab Corp.	425,000 par value	\$ 424,715	\$ 458,226
CIM Trust	390,000 par value	386,392	386,533
Citigroup Inc.	870,000 par value	853,263	885,851
Chrysler Capital Auto	390,000 par value	389,931	396,182
CNOOC Finance 2013 LTD	650,000 par value	634,995	660,107
Comcast, Corp. 01/15/2027	870,000 par value	817,215	866,311
Comcast, Corp. 01/15/2033	570,000 par value	579,339	655,546
Comcast, Corp. 10/15/2038	395,000 par value	421,682	471,867
Commonwealth Edison, Co.	465,000 par value	420,704	501,512
Cons Edison Co. of NY	235,000 par value	235,362	274,675
Consumers Energy Co.	655,000 par value	586,611	670,111
Credit Suisse Mortgage	230,000 par value	225,968	229,101
Csail Commercial Mortgage	550,000 par value	545,617	579,579
CVS Health Corp.	470,000 par value	473,652	472,331
Dow DuPont, Inc.	360,000 par value	364,558	397,840
Duke Energy Corp. 12/01/2026	420,000 par value	412,717	435,149
Duke Energy Corp. 05/15/2046	400,000 par value	406,100	429,732
El Paso Pipeline Part Op	645,000 par value	679,914	674,593
EQT Corp.	410,000 par value	398,282	394,686
Exxon Mobil Corporate	375,000 par value	377,636	377,400
Finance Amer Stru	410,000 par value	414,592	414,592
Florida Power & Light Co. 02/01/2041	345,000 par value	428,331	452,009
Ford Motor Credit Co., LLC 11/02/2020	575,000 par value	560,591	571,630
Ford Motor Credit Co., LLC 09/08/2024	460,000 par value	441,361	453,077
Georgia Power Company	425,000 par value	448,643	467,215
Gilead Sciences, Inc.	310,000 par value	313,906	346,732
Goldman Sachs Group, Inc. 01/24/2022	670,000 par value	742,263	721,851
Govt Natl Mtg Assn 01/16/2049	310,601 par value	298,372	312,903
Govt Natl Mtg Assn 03/16/2052	294,110 par value	281,335	294,237
Govt Natl Mtg Assn 04/17/2050	200,000 par value	175,430	196,896
Govt Natl Mtg Assn 12/16/2056	270,000 par value	249,381	266,965
Govt Natl Mtg Assn 12/16/2056	330,000 par value	295,711	324,707
Govt Natl Mtg Assn 11/16/2060	402,611 par value	371,534	407,418
GS Mortgage Securities	370,000 par value	381,097	385,714
Hertz Vehicle Finance	340,000 par value	330,756	341,200
Home Depot	390,000 par value	407,191	487,102
Intel Corp. 07/29/2025	170,000 par value	169,747	184,210
Intel Corp. 12/08/2047	860,000 par value	851,120	959,063
John Deere Capital Corp. 06/10/2026	165,000 par value	164,961	169,874
John Deere Capital Corp. 07/18/2029	340,000 par value	350,685	349,102
Johnson & Johnson 01/15/2048	405,000 par value	409,005	445,427
Johnson & Johnson 12/05/2033	210,000 par value	229,471	249,585
JPMorgan Chase Commercial Mor 09/15/2050	235,000 par value	228,381	243,053
JPMorgan Chase & Co. 09/23/2022	405,000 par value	403,817	418,608
JPMorgan Chase & Co. 07/15/2025	780,000 par value	808,860	840,973
JPMorgan Chase & Co. 07/24/2038	680,000 par value	625,879	751,298

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued) September 30, 2019

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
JPMorgan Chase Commercial Mor 10/06/2038	450,000 par value	\$ 459,229	\$ 464,036
JPMorgan Chase Commercial Mor 08/27/2047	460,000 par value	408,178	450,345
KeyCorp	285,000 par value	316,381	296,896
Kimberly-Clark Corp.	455,000 par value	462,599	523,100
Kimco Realty Corp.	365,000 par value	360,828	367,022
Loews Corp.	505,000 par value	525,474	540,628
Lowe's Companies, Inc. 05/03/2027	410,000 par value	408,264	423,210
Manuf & Traders Trust Co.	325,000 par value	328,299	348,618
Marathon Petroleum	445,000 par value	477,009	482,700
Mastercard Inc.	595,000 par value	629,267	673,974
Medtronic ,Inc.	312,000 par value	343,808	375,473
Microsoft Corp. 02/06/2047	795,000 par value	863,448	986,293
Microsoft Corp. 11/03/2025	415,000 par value	419,719	441,261
MidAmerican Energy, Co. 09/15/2043	380,000 par value	413,920	476,117
Morgan Stanley	780,000 par value	776,467	814,601
National Rural Util Coop	650,000 par value	650,000	679,230
Nevada Power Co.	435,000 par value	450,569	475,773
Omnicom Group, Inc.	625,000 par value	627,894	647,544
Oracle Group 04/15/2038	320,000 par value	402,547	458,086
Aviation Capital Group	425,000 par value	426,432	439,497
Boston Gas Company	445,000 par value	454,986	458,354
Electricite De France SA	155,000 par value	166,191	182,798
Enel Finance Intl	335,000 par value	330,853	343,027
Hyundai Capital America	435,000 par value	432,343	437,066
Met Life Glob Funding	340,000 par value	334,413	349,568
NXP BV/NXP Funding	120,000 par value	121,224	123,124
Oncor Electric Delivery	445,000 par value	442,468	498,912
Siemens Financierings	865,000 par value	888,698	918,691
Sprint Spectrum	235,000 par value	237,497	235,881
PacifiCorp	330,000 par value	423,407	464,241
PepsiCo, Inc.	430,000 par value	480,339	535,685
Pfizer, Inc.	415,000 par value	424,167	479,043
PNC Bank NA	425,000 par value	426,802	469,009
PPL Capital Funding	430,000 par value	448,180	449,397
PPL Electric Utilities	290,000 par value	335,298	359,133
Public Services Electric	840,000 par value	840,806	919,120
Qualcomm, Inc.	585,000 par value	580,052	610,857
Raytheon Company	175,000 par value	205,237	220,663
Regency Energy Part/Fin	490,000 par value	506,660	518,836
Sfave Commercial Mortgage	390,000 par value	379,397	411,282
Shell International Fin	240,000 par value	236,088	243,898
Shire Acq Inv Ireland DA	855,000 par value	831,124	858,959
Shops at Crystals	420,000 par value	385,481	404,225
Sierra Receivables	91,417 par value	91,406	91,113
Simon Property Group LP	410,000 par value	413,776	432,780
Southern Cal Edison 10/01/2043	400,000 par value	422,488	460,952
Southern Cal Edison 04/01/2047	210,000 par value	210,627	225,208

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued) September 30, 2019

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Southwestern Public Serv 11/15/2048	440,000 par value	\$ 446,244	\$ 526,051
Southwestern Public Serv 08/15/2041	565,000 par value	598,827	664,909
Spectra Energy Partners	410,000 par value	432,903	446,642
Statoil ASA	390,000 par value	378,359	445,154
Toronto-Dominion Bank	455,000 par value	454,381	479,807
Total Capital Intl	355,000 par value	355,320	364,613
Union Electric Co.	425,000 par value	407,775	440,776
Union Pacific Corp.	435,000 par value	434,983	468,486
United Parcel Service	465,000 par value	430,492	495,899
United Health Group, Inc.	255,000 par value	263,842	308,165
University Calif Rev	215,000 par value	269,748	298,777
Ventas Realty LP	320,000 par value	323,251	337,475
Verizon Communications	438,000 par value	439,329	486,763
Wal-Mart Stores, Inc.	360,000 par value	347,620	404,395
*Wells Fargo & Company 02/07/2035	400,000 par value	445,304	511,644
*Wells Fargo & Company 02/13/2023	405,000 par value	401,809	418,247
*Wells Fargo & Company 03/08/2022	675,000 par value	693,608	696,512
*Wells Fargo & Company 07/22/2027	760,000 par value	803,653	832,671
*Wells Fargo Commercial Mortgage	95,000 par value	96,817	104,606
Welltower Inc.	365,000 par value	368,519	383,213
Westpac Banking Corp.	410,000 par value	397,733	423,448
		60,500,009	64,532,432
Mutual Funds			
Blackstone Alternative Multi-Strategy Fund Class I	195,808 shares	2,039,303	2,122,562
DFA Emerging Markets Core Equity Fund	45,863 shares	883,815	908,079
Eaton Vance Atlanta Capital Smid-Cap Fund	85,134 shares	2,457,073	3,314,255
Hartford International Opportunities Fund	178,781 shares	2,903,034	2,869,438
PIMCO Commodity Real Return Strategy Fund	160,835 shares	1,200,493	910,328
Principal Investors Real Estate Securities INSTL Fund	45,251 shares	1,013,689	1,267,931
		10,497,407	11,392,593
Pooled, Common and Collective Fund			
Northern Trust Investments, Inc.	433 shares	3,492,023	4,569,636
		\$ 98,162,146	\$ 110,862,938

* Parties-in-interest

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4j - Schedule of Reportable Transactions
Year Ended September 30, 2019

Identity	Description	Purchase Price	Selling Price	Lease Rental	Expenses Incurred With Transaction	Cost of Asset	Current Value of Asset on Transaction Date	Net Gain (Loss)
Issuer	Wells Fargo Advantage Treasury Plus Money Market Fund							
	Purchases	\$ 22,559,163				\$ 22,559,163	\$ 22,559,163	
	Sales		\$ 23,661,677			23,661,677	23,661,677	\$ -

Schedule SB, line 26 — Schedule of Active Participant Data

ATTAINED AGE	YEARS OF CREDITED SERVICE										
	UNDER 1	1–4	5–9	10–14	15–19	20–24	25–29	30–34	35–39	40 & UP	TOTAL
Under 25											0
25–29											0
30–34											0
35–39				2	2						4
40–44				4	19	4					27
45–49				2	26	15	10	1			54
50–54				1	19	13	32	11	1		77
55–59				4	18	16	20	7	31	9	105
60–64			1		4	8	19	9	31	32	104
65–69					4	1	8	1	3	6	23
70 & up						1					1
Total	0	0	1	13	92	58	89	29	66	47	395

In each cell, the number is the count of active participants for each age/service combination.

*Schedule SB, Part V — Statement of Actuarial Assumptions/Methods***ACTUARIAL ASSUMPTIONS FOR OCTOBER 1, 2018**

ECONOMIC ASSUMPTIONS	10/1/2018	10/1/2018	
Discount rate sponsor elections			
• Segment rates or full yield curve	Segment Rates	Segment Rates	
• Look-back months	0	0	
	<u>Stabilized rates</u>	<u>Nonstabilized rates</u>	
• First 5 years	3.92%	2.35%	
• Next 15 years	5.52%	3.85%	
• Over 20 years	6.29%	4.47%	
	Rationale: Interest prescribed by IRS		
Mortality sponsor elections			
• Healthy participants	Section 430(h)(3) prescribed separate generational annuitant and nonannuitant mortality tables. These tables are based on the RP-2014 mortality tables backed off to 2006 and then projected with mortality improvements using scale MP-2016 in accordance with IRS regulation.		
• Disabled Participants	Section 430(h)(3) prescribed separate generational annuitant and nonannuitant mortality tables. These tables are based on the RP-2014 mortality tables backed off to 2006 and then projected with mortality improvements using scale MP-2016 in accordance with IRS regulation.		
	Rationale: Mortality prescribed by IRS		
Other economic assumptions			
• Salary increases (base pay)	3.00% per year Rationale: Based on future expectations and discussions with the plan sponsor		

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

• iComp amount	<p>In any given year, iComp may or may not be paid based on the company's and each individual employee's performance. For the service cost calculation purposes, iComp has been estimated based on employee level as follows:</p> <p>Level 5 and below – 3.00% of base pay.</p> <p>Level 6 – 4.00% of base pay.</p> <p>Level 7 – 5.00% of base pay.</p> <p>Level 8 and above – 8.00% of base pay.</p> <p>It is further assumed that 25.% of participants will receive an additional 10% iComp payment above the amounts listed above (or 2.50 % of total base pay).</p> <p>Rationale: Based on future expectations and discussions with the plan sponsor</p>
• Inflation	<p>2.20% per year</p> <p>Rationale: Based on the inflation information periodically published by Mercer Investment Consulting's <i>Capital Markets Outlook</i></p>
• Part C account balance interest credit rate	<p>3.25% per year</p> <p>Rationale: Based on prior July 30-Year Treasury Rate (Minimum of 3.25% per plan provisions)</p>
• Expenses	<p>\$340,000 added to current year normal cost</p> <p>Rationale: Expenses are assumed to be equal to the prior Plan Year administration expenses (excluding PBGC premiums) plus expected PBGC Premiums for the current Plan Year rounded to the nearest \$10,000</p>
• Late retirement increases	<p>Active participants over age 70 and deferred vested participants over age 65 receive late retirement increases based on Actuarial Value</p>
• Actuarial value	<p>Based on applicable 417(e) mortality and interest rates for the current plan year</p>

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

DEMOGRAPHIC ASSUMPTIONS		
• Withdrawal	ATI ultimate rates for salaried employees. See table of sample rates. Rationale: Based on 2019 ATI experience study using data from 2015 through 2018 and the expectation that this period will be representative of anticipated future experience	
• Disability incidence	None assumed	
• Retirement age	ATI retirement rates for salaried employees. See tables of sample rates. Rationale: Based on 2019 ATI experience study using data from 2015 through 2018 and the expectation that this period will be representative of anticipated future experience	
• Benefit commencement age for		
– Future vested deferred	65	
– Current vested deferred	65	
• Spouse assumptions	<u>Male participants</u>	<u>Female participants</u>
– Percentage married	90%	70%
– Spouse age difference	1 year younger	3 years older

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

Form of payment (Part A Participants)	<u>Single Life</u>	<u>60% J&S</u>	<u>50% J&S</u>	<u>Lump sum</u>
• Active retirements	40% of unmarried	40% of married	N/A	60%
• Future vested deferred	40% of unmarried	40% of married	N/A	60%
• Future disabilities	N/A	N/A	N/A	N/A
• Future deaths	N/A	100% of married retirement eligible	100% of married not retirement eligible	N/A
• Current vested deferred	40% of unmarried	40% of married	N/A	60%
	Rationale: Based on ATI experience			
• Lump sum conversion	Benefits for participants electing a lump sum form of payment are valued using annuity substitution			
Form of payment (Part C participants)	Life annuity (account balance converted based on Actuarial Value)			
Unpredictable contingent event assumptions	Not applicable			

*Schedule SB, Part V — Statement of Actuarial Assumptions/Methods***Table of Sample Rates**

Attained age	Withdrawal rates
30	3.00%
35	3.00%
40	2.50%
45	2.00%
50	1.50%
55	1.00%

Attained age	Retirement rates
51	-
52	5.00%
53	5.00%
54	5.00%
55	5.00%
56	5.00%
57	5.00%
58	5.00%
59	5.00%
60	15.00%
61	15.00%
62	15.00%
63	15.00%
64	15.00%
65	30.00%
66	30.00%
67	30.00%
68	30.00%
69	30.00%
70+	100.00%

*Schedule SB, Part V — Statement of Actuarial Assumptions/Methods***ACTUARIAL METHODS FOR FUNDING****Participant Methods**

Participants or former participants are included or excluded from the valuation as described below:

- **Participants included:** The plan sponsor provides us with data on all participants as of the valuation date.
- **Participants excluded:** No actuarial liability is included for nonvested participants who terminated prior to the valuation date. For this purpose, participants with a break in service on the valuation date are treated as terminated participants.
- **Insurance contracts:** The plan does not have any insurance contracts.

Minimum Funding Method

The funding target for minimum funding calculations is computed using the traditional unit credit method of funding. The objective under this method is to fund each participant's benefits under the plan as they accrue. Thus, the total pension to which each participant is expected to become entitled at retirement is broken down into units, each associated with a year of past or future credited service.

A detailed description of the calculation follows:

- The plan's valuation date is the beginning of the plan year.
- An individual's **funding target** is the present value of future benefits based on credited service and average pay as of the beginning of the plan year, and an individual's **target normal cost** is the present value of the benefit expected to accrue in the plan year. If multiple decrements are used, the funding target and the target normal cost for an individual are the sum of the component funding targets and target normal costs associated with the various anticipated separation dates.
- The plan's **target normal cost** is the sum of the individual target normal costs, and the plan's **funding target** is the sum of the individual funding targets for all participants under the plan.

Asset Method

The asset valuation method is the fair market value, plus any discounted receivable contributions.



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2018 Form 5500)
 Schedule H, Line 4j - Schedule of Reportable Transactions

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REPORTABLE TRANSACTIONS - SINGLE / BY ISSUE
FOR THE PERIOD OCTOBER 1, 2018 THROUGH SEPTEMBER 30, 2019

**ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
		BEGINNING MARKET VALUE		102,493,207.04			
		COMPARATIVE VALUE (5%)		5,124,660.35			

*** NO TRANSACTIONS QUALIFIED ***

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
 FOR THE PERIOD OCTOBER 1, 2018 THROUGH SEPTEMBER 30, 2019

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
BEGINNING MARKET VALUE			102,493,207.04				
COMPARATIVE VALUE (5%)			5,124,660.35				
BROKER: CREDIT SUISSE SECURITIES (USA)							
22831205	ISSUE: 9128284N7 - US TREASURY NOTE 2.875% 5/15/28 12/17/18 S	500,000	1.002	0	500,742	492,945	7,798
22831205	ISSUE: 912810SA7 - US TREASURY BOND 3% 2/15/48 01/04/19 S	190,000	1.004	0	190,661	183,430	7,230
22831205	ISSUE: 912810SD1 - US TREASURY BOND 3% 8/15/48 01/04/19 S	90,000	1.004	0	90,362	87,320	3,043
22831205	ISSUE: 9128284N7 - US TREASURY NOTE 2.875% 5/15/28 01/15/19 S	575,000	1.014	0	583,063	566,886	16,177
22831205	ISSUE: 9128285G1 - US TREASURY NOTE 2.875% 10/31/20 01/15/19 S	245,000	1.006	0	246,388	246,818	-431
22831205	ISSUE: 9128285M8 - US TREASURY NOTE 3.125% 11/15/28 01/15/19 S	120,000	1.036	0	124,266	124,386	-120
22831205	ISSUE: 912810SA7 - US TREASURY BOND 3% 2/15/48 01/23/19 S	235,000	0.985	0	231,503	226,874	4,628
22831205	ISSUE: 126650CX6 - CVS HEALTH CORP 4.3% 3/25/28 02/15/19 S	245,000	1.008	0	246,923	240,617	6,306
22831205	ISSUE: 9128286B1 - US TREASURY NOTE 2.625% 2/15/29 02/15/19 B	205,000	0.996	0	204,135-	204,135	
22831205	ISSUE: 025816CC1 - AMERICAN EXPRESS CO 3.4% 2/22/24 02/19/19 B	85,000	0.999	0	84,903-	84,903	
22831205	ISSUE: 912810SD1 - US TREASURY BOND 3% 8/15/48 03/14/19 S	300,000	0.992	0	297,633	297,227	406
22831205	ISSUE: 9128286B1 - US TREASURY NOTE 2.625% 2/15/29 03/18/19 B	25,000	1.002	0	25,059-	25,059	
22831205	ISSUE: 654106AF0 - NIKE INC 2.375% 11/01/26 03/18/19 S	35,000	0.955	0	33,433	32,664	768
22831205	ISSUE: 38141GGS7 - GOLDMAN SACHS GROUP 5.75% 1/24/22 04/01/19 B	320,000	1.072	0	343,088-	343,088	
22831205	ISSUE: 9128286B1 - US TREASURY NOTE 2.625% 2/15/29 04/10/19 S	400,000	1.013	0	405,172	399,458	5,714
22831205	ISSUE: 882508BD5 - TEXAS INSTRUMENTS IN 4.15% 5/15/48 07/23/19 S	190,000	1.143	0	217,269	190,239	27,030
22831205	ISSUE: 912810SF6 - US TREASURY BOND 3% 2/15/49 07/23/19 B	175,000	1.080	0	189,000-	189,000	
22831205	ISSUE: 882508BD5 - TEXAS INSTRUMENTS IN 4.15% 5/15/48 07/25/19 S	120,000	1.144	0	137,243	120,151	17,092

**REPORTABLE TRANSACTIONS - SERIES / BY BROKER
 FOR THE PERIOD OCTOBER 1, 2018 THROUGH SEPTEMBER 30, 2019**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	ISSUE: 912810SF6 - US TREASURY BOND 3% 2/15/49 07/25/19 B	110,000	1.080	0	118,817-	118,817	
22831205	ISSUE: 594918CA0 - MICROSOFT CORP 4.25% 2/06/47 08/06/19 B	50,000	1.211	0	60,536-	60,536	
22831205	ISSUE: 9128286X3 - US TREASURY NOTE 2.125% 5/31/26 08/14/19 S	115,000	1.038	0	119,402	115,930	3,472
22831205	ISSUE: 912828Y87 - US TREASURY NOTE 1.75% 7/31/24 08/16/19 B	500,000	1.015	0	507,656-	507,656	
22831205	ISSUE: 912828YB0 - US TREASURY NOTE 1.625% 8/15/29 08/16/19 B	1,000,000	1.007	0	1,006,836-	1,006,836	
SUB-TOTAL				0	5,964,090	5,864,975	99,114

BROKER: GOLDMAN SACHS

22831205	ISSUE: 912810SC3 - US TREASURY BOND 3.125% 5/15/48 10/09/18 B	350,000	0.952	0	333,320-	333,320	
22831205	ISSUE: 912810SD1 - US TREASURY BOND 3% 8/15/48 11/14/18 B	55,000	0.927	0	50,959-	50,959	
22831205	ISSUE: 912810SD1 - US TREASURY BOND 3% 8/15/48 01/15/19 S	215,000	0.984	0	211,548	214,236	-2,688
22831205	ISSUE: 912810SE9 - US TREASURY BOND 3.375% 11/15/48 02/26/19 B	585,000	1.070	0	625,881-	625,881	
22831205	ISSUE: 369604BH5 - GENERAL ELECTRIC CO 4.5% 3/11/44 02/27/19 S	60,000	0.891	0	53,472	65,335	-11,863
22831205	ISSUE: 912810SE9 - US TREASURY BOND 3.375% 11/15/48 02/27/19 B	35,000	1.063	0	37,220-	37,220	
22831205	ISSUE: 912810SE9 - US TREASURY BOND 3.375% 11/15/48 02/27/19 B	85,000	1.063	0	90,336-	90,336	
22831205	ISSUE: 548661DN4 - LOWE'S COS INC 3.7% 4/15/46 02/27/19 S	125,000	0.846	0	105,740	121,039	-15,299
22831205	ISSUE: 912810SE9 - US TREASURY BOND 3.375% 11/15/48 03/13/19 B	260,000	1.075	0	279,429-	279,429	
22831205	ISSUE: 842400GG2 - SOUTHERN CAL EDISON 4% 4/01/47 03/13/19 S	375,000	0.890	0	333,623	376,120	-42,498
22831205	ISSUE: 03522AAE0 - P/P ANHEUSER-BUSCH 4.7% 2/01/36 03/26/19 S	485,000	0.994	0	482,294	454,135	28,159
22831205	ISSUE: 717081EV1 - PFIZER INC 4% 3/15/49 04/04/19 B	135,000	1.019	0	137,610-	137,610	
22831205	ISSUE: 912810SD1 - US TREASURY BOND 3% 8/15/48 04/10/19 S	95,000	1.019	0	96,766	94,122	2,645
22831205	ISSUE: 912810SF6 - US TREASURY BOND 3% 2/15/49 06/17/19 B	1,300,000	1.087	0	1,412,836-	1,412,836	
22831205	ISSUE: 912833Y38 - US TREAS SEC STRIPPED 11/15/36 06/17/19 S	2,600,000	0.652	0	1,293,214	1,293,214	0

REPORTABLE TRANSACTIONS - SERIES / BY BROKER FOR THE PERIOD OCTOBER 1, 2018 THROUGH SEPTEMBER 30, 2019					ALLISON SALARIED CONSOLIDATED ACCOUNT NUMBER 22831299		
TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	ISSUE: 9128334W7 - U S TREASURY SEC STRIPPED 8/15/33 08/06/19 B	395,000	0.759	0	299,667-	299,667	
22831205	ISSUE: 912810SH2 - US TREASURY BOND 2.875% 5/15/49 08/16/19 B	1,000,000	1.195	0	1,194,766-	1,194,766	
22831205	ISSUE: 912834DU9 - US TREASURY BOND 11/15/38 08/16/19 S	1,510,000	0.683	0	696,095	696,095	0
22831205	ISSUE: 36257UAL1 - GS MORTGAGE SECURIT 3.0005% 9/01/52 09/16/19 B	370,000	1.030	0	381,097-	381,097	
22831205	ISSUE: 912834DU9 - US TREASURY BOND 11/15/38 09/24/19 S	250,000	0.672	0	115,248	115,248	0
SUB-TOTAL				0	8,231,121	8,272,665	-41,544
BROKER: CITIGROUP GBL MKTS/SALOMON BRO							
22831205	ISSUE: 38380MWS6 - GOVT NATL MTG ASSN 3% 11/16/60 11/02/18 B	510,000	0.923	0	470,634-	470,634	
22831205	ISSUE: 694308GE1 - PACIFIC GAS & ELECTR 6.05% 3/01/34 11/15/18 S	85,000	0.918	0	77,988	110,022	-32,035
22831205	ISSUE: 594918BZ6 - MICROSOFT CORP 4.1% 2/06/37 11/15/18 B	470,000	0.995	0	467,824-	467,824	
22831205	ISSUE: 26078JAC4 - DOWDUPONT INC 4.493% 11/15/25 11/29/18 B	120,000	1.010	0	121,145-	121,145	
22831205	ISSUE: 912810SD1 - US TREASURY BOND 3% 8/15/48 12/04/18 B	205,000	0.960	0	196,864-	196,864	
22831205	ISSUE: 209111FG3 - CONSOLIDATED EDISON 4.5% 12/01/45 12/04/18 S	205,000	0.993	0	203,479	218,956	-15,478
22831205	ISSUE: 44891AAD9 - P/P HYUNDAI CAPITAL 3% 10/30/20 12/10/18 B	185,000	0.984	0	182,088-	182,088	
22831205	ISSUE: 9128285M8 - US TREASURY NOTE 3.125% 11/15/28 01/11/19 B	80,000	1.037	0	82,981-	82,981	
22831205	ISSUE: 594918BJ2 - MICROSOFT CORP 3.125% 11/03/25 02/07/19 B	415,000	1.011	0	419,719-	419,719	
22831205	ISSUE: 369604BH5 - GENERAL ELECTRIC CO 4.5% 3/11/44 02/25/19 S	130,000	0.893	0	116,117	141,559	-25,442
22831205	ISSUE: 912810SE9 - US TREASURY BOND 3.375% 11/15/48 02/25/19 B	80,000	1.069	0	85,500-	85,500	
22831205	ISSUE: 20030NCL3 - COMCAST CORP 4.6% 10/15/38 03/26/19 B	395,000	1.067	0	421,682-	421,682	
22831205	ISSUE: 9128286B1 - US TREASURY NOTE 2.625% 2/15/29 03/29/19 B	120,000	1.017	0	122,081-	122,081	
22831205	ISSUE: 654106AF0 - NIKE INC 2.375% 11/01/26 03/29/19 S	160,000	0.965	0	154,362	149,323	5,038
22831205	ISSUE: 12189LAP6 - BURLINGTN NORTH SANT 5.15% 9/01/43 05/10/19 B	70,000	1.170	0	81,887-	81,887	

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
 FOR THE PERIOD OCTOBER 1, 2018 THROUGH SEPTEMBER 30, 2019

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	ISSUE: 38380MWS6 - GOVT NATL MTG ASSN 3% 11/16/60 05/22/19 S	104,631	0.965	0	100,961	96,555	4,406
22831205	ISSUE: 912810SF6 - US TREASURY BOND 3% 2/15/49 06/27/19 B	160,000	1.097	0	175,525-	175,525	
22831205	ISSUE: 548661DN4 - LOWE'S COS INC 3.7% 4/15/46 06/27/19 S	225,000	0.935	0	210,371	217,870	-7,499
22831205	ISSUE: 9128286T2 - US TREASURY NOTE 2.375% 5/15/29 07/10/19 B	305,000	1.027	0	313,340-	313,340	
22831205	ISSUE: 17275RBL5 - CISCO SYSTEMS INC 2.5% 9/20/26 07/10/19 S	430,000	1.006	0	432,567	424,052	8,515
22831205	ISSUE: 9128286T2 - US TREASURY NOTE 2.375% 5/15/29 07/16/19 B	405,000	1.022	0	413,986-	413,986	
22831205	ISSUE: 404201AA5 - HSBC BK USA N A GLOB 5.875% 11/01/34 07/16/19 S	270,000	1.257	0	339,379	318,114	21,265
22831205	ISSUE: 49446RAP4 - KIMCO REALTY CORP 2.8% 10/01/26 07/31/19 B	365,000	0.989	0	360,828-	360,828	
22831205	ISSUE: 57636QAL8 - MASTERCARD INC 3.65% 6/01/49 08/06/19 B	105,000	1.109	0	116,453-	116,453	
22831205	ISSUE: 57636QAL8 - MASTERCARD INC 3.65% 6/01/49 08/07/19 B	120,000	1.125	0	134,958-	134,958	
22831205	ISSUE: 126650DF4 - CVS HEALTH CORP 3% 8/15/26 08/15/19 B	470,000	1.008	0	473,652-	473,652	
22831205	ISSUE: 912828Y87 - US TREASURY NOTE 1.75% 7/31/24 09/20/19 S	415,000	1.004	0	416,783	421,355	-4,571
SUB-TOTAL				0	6,693,154	6,738,953	-45,800
GRAND TOTAL				0	20,888,365	20,876,593	11,770

FOOTNOTES

* = SINGLE TRANSACTION IS 5% REPORTABLE
 B = BUY TRANSACTION
 S = SELL TRANSACTION
 R = REINVESTMENT TRANSACTION

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2018 THROUGH SEPTEMBER 30, 2019

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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BEGINNING MARKET VALUE	102,493,207.04
COMPARATIVE VALUE (5%)	5,124,660.35

ISSUE: VP7000061 - WF TREAS PLUS MM FD-INST #793

22831200	01/04/19 B	592	1.000	0	592-	592	
22831200	01/17/19 B	1,330,000	1.000	0	1,330,000-	1,330,000	
22831200	03/11/19 B	1	1.000	0	1-	1	
22831200	04/12/19 B	502,000	1.000	0	502,000-	502,000	
22831200	04/15/19 B	1,766,000	1.000	0	1,766,000-	1,766,000	
22831200	06/25/19 B	2,400,000	1.000	0	2,400,000-	2,400,000	
22831200	08/07/19 B	321	1.000	0	321-	321	
22831204	10/01/18 B	0	1.000	0	0-	0	
22831204	11/01/18 B	0	1.000	0	0-	0	
22831204	12/03/18 B	0	1.000	0	0-	0	
22831204	01/02/19 B	0	1.000	0	0-	0	
22831204	02/01/19 B	0	1.000	0	0-	0	
22831204	03/01/19 B	0	1.000	0	0-	0	
22831204	04/01/19 B	0	1.000	0	0-	0	
22831204	04/12/19 B	502,000	1.000	0	502,000-	502,000	
22831204	05/01/19 B	0	1.000	0	0-	0	
22831204	06/03/19 B	0	1.000	0	0-	0	
22831204	07/01/19 B	0	1.000	0	0-	0	
22831204	08/01/19 B	0	1.000	0	0-	0	
22831204	09/03/19 B	0	1.000	0	0-	0	
22831205	10/01/18 B	49,876	1.000	0	49,876-	49,876	
22831205	10/03/18 B	445	1.000	0	445-	445	
22831205	10/05/18 B	1,426	1.000	0	1,426-	1,426	
22831205	10/09/18 B	1,306	1.000	0	1,306-	1,306	
22831205	10/09/18 B	1,070	1.000	0	1,070-	1,070	
22831205	10/10/18 B	5,863	1.000	0	5,863-	5,863	
22831205	10/15/18 B	44,458	1.000	0	44,458-	44,458	
22831205	10/16/18 B	26,863	1.000	0	26,863-	26,863	
22831205	10/17/18 B	838	1.000	0	838-	838	
22831205	10/17/18 B	3,300	1.000	0	3,300-	3,300	
22831205	10/18/18 B	1,026	1.000	0	1,026-	1,026	
22831205	10/19/18 B	47,258	1.000	0	47,258-	47,258	
22831205	10/22/18 B	155	1.000	0	155-	155	
22831205	10/24/18 B	14,574	1.000	0	14,574-	14,574	
22831205	10/25/18 B	14,132	1.000	0	14,132-	14,132	
22831205	10/25/18 B	1,300	1.000	0	1,300-	1,300	
22831205	10/30/18 B	3,750	1.000	0	3,750-	3,750	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2018 THROUGH SEPTEMBER 30, 2019

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	11/01/18 B	63,146	1.000	0	63,146-	63,146	
22831205	11/02/18 B	6,736	1.000	0	6,736-	6,736	
22831205	11/05/18 B	42,317	1.000	0	42,317-	42,317	
22831205	11/06/18 B	16,939	1.000	0	16,939-	16,939	
22831205	11/06/18 B	327,047	1.000	0	327,047-	327,047	
22831205	11/07/18 B	2,823	1.000	0	2,823-	2,823	
22831205	11/08/18 B	1,106	1.000	0	1,106-	1,106	
22831205	11/09/18 B	56,733	1.000	0	56,733-	56,733	
22831205	11/13/18 B	18,609	1.000	0	18,609-	18,609	
22831205	11/14/18 B	1,160	1.000	0	1,160-	1,160	
22831205	11/15/18 B	82,484	1.000	0	82,484-	82,484	
22831205	11/15/18 B	1,552	1.000	0	1,552-	1,552	
22831205	11/16/18 B	85,302	1.000	0	85,302-	85,302	
22831205	11/16/18 B	838	1.000	0	838-	838	
22831205	11/19/18 B	1,948	1.000	0	1,948-	1,948	
22831205	11/20/18 B	36,188	1.000	0	36,188-	36,188	
22831205	11/26/18 B	6,413	1.000	0	6,413-	6,413	
22831205	11/29/18 B	8,010	1.000	0	8,010-	8,010	
22831205	12/06/18 B	234,076	1.000	0	234,076-	234,076	
22831205	12/07/18 B	1,426	1.000	0	1,426-	1,426	
22831205	12/07/18 B	2,376	1.000	0	2,376-	2,376	
22831205	12/10/18 B	10,094	1.000	0	10,094-	10,094	
22831205	12/12/18 B	113,578	1.000	0	113,578-	113,578	
22831205	12/13/18 B	1,446	1.000	0	1,446-	1,446	
22831205	12/17/18 B	60,074	1.000	0	60,074-	60,074	
22831205	12/17/18 B	1,514	1.000	0	1,514-	1,514	
22831205	12/17/18 B	2,720	1.000	0	2,720-	2,720	
22831205	12/18/18 B	115,693	1.000	0	115,693-	115,693	
22831205	12/19/18 B	3,174	1.000	0	3,174-	3,174	
22831205	12/20/18 B	29,487	1.000	0	29,487-	29,487	
22831205	12/20/18 B	29,375	1.000	0	29,375-	29,375	
22831205	12/26/18 B	4,971	1.000	0	4,971-	4,971	
22831205	12/26/18 B	1,300	1.000	0	1,300-	1,300	
22831205	12/31/18 B	95	1.000	0	95-	95	
22831205	01/02/19 B	363	1.000	0	363-	363	
22831205	01/04/19 B	244,597	1.000	0	244,597-	244,597	
22831205	01/08/19 B	1,306	1.000	0	1,306-	1,306	
22831205	01/09/19 B	27,300	1.000	0	27,300-	27,300	
22831205	01/14/19 B	125,126	1.000	0	125,126-	125,126	
22831205	01/15/19 B	64,740	1.000	0	64,740-	64,740	
22831205	01/16/19 B	10,501	1.000	0	10,501-	10,501	
22831205	01/16/19 B	283,891	1.000	0	283,891-	283,891	
22831205	01/16/19 B	1,172,936	1.000	0	1,172,936-	1,172,936	
22831205	01/17/19 B	7,266	1.000	0	7,266-	7,266	
22831205	01/18/19 B	3,787	1.000	0	3,787-	3,787	
22831205	01/22/19 B	17,366	1.000	0	17,366-	17,366	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2018 THROUGH SEPTEMBER 30, 2019

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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22831205	01/22/19 B	4,749	1.000	0	4,749-	4,749	
22831205	01/23/19 B	7,963	1.000	0	7,963-	7,963	
22831205	01/24/19 B	382,727	1.000	0	382,727-	382,727	
22831205	01/25/19 B	253,264	1.000	0	253,264-	253,264	
22831205	01/25/19 B	1,300	1.000	0	1,300-	1,300	
22831205	01/28/19 B	15,576	1.000	0	15,576-	15,576	
22831205	01/29/19 B	124,597	1.000	0	124,597-	124,597	
22831205	01/30/19 B	180,548	1.000	0	180,548-	180,548	
22831205	02/01/19 B	269,149	1.000	0	269,149-	269,149	
22831205	02/04/19 B	72,524	1.000	0	72,524-	72,524	
22831205	02/06/19 B	15,831	1.000	0	15,831-	15,831	
22831205	02/07/19 B	12,176	1.000	0	12,176-	12,176	
22831205	02/08/19 B	2,376	1.000	0	2,376-	2,376	
22831205	02/11/19 B	214,805	1.000	0	214,805-	214,805	
22831205	02/11/19 B	422,361	1.000	0	422,361-	422,361	
22831205	02/14/19 B	194,745	1.000	0	194,745-	194,745	
22831205	02/19/19 B	21,333	1.000	0	21,333-	21,333	
22831205	02/19/19 B	8,449	1.000	0	8,449-	8,449	
22831205	02/20/19 B	50,962	1.000	0	50,962-	50,962	
22831205	02/25/19 B	751	1.000	0	751-	751	
22831205	02/25/19 B	6,271	1.000	0	6,271-	6,271	
22831205	02/26/19 B	438,412	1.000	0	438,412-	438,412	
22831205	02/27/19 B	43,051	1.000	0	43,051-	43,051	
22831205	03/01/19 B	133,284	1.000	0	133,284-	133,284	
22831205	03/06/19 B	87	1.000	0	87-	87	
22831205	03/07/19 B	164,838	1.000	0	164,838-	164,838	
22831205	03/07/19 B	1,306	1.000	0	1,306-	1,306	
22831205	03/08/19 B	20,240	1.000	0	20,240-	20,240	
22831205	03/08/19 B	1,070	1.000	0	1,070-	1,070	
22831205	03/12/19 B	5,709	1.000	0	5,709-	5,709	
22831205	03/12/19 B	204,309	1.000	0	204,309-	204,309	
22831205	03/15/19 B	97,026	1.000	0	97,026-	97,026	
22831205	03/15/19 B	5,275	1.000	0	5,275-	5,275	
22831205	03/19/19 B	536,621	1.000	0	536,621-	536,621	
22831205	03/20/19 B	33,409	1.000	0	33,409-	33,409	
22831205	03/22/19 B	43,322	1.000	0	43,322-	43,322	
22831205	03/25/19 B	18,648	1.000	0	18,648-	18,648	
22831205	03/26/19 B	10,260	1.000	0	10,260-	10,260	
22831205	03/27/19 B	1,123	1.000	0	1,123-	1,123	
22831205	03/28/19 B	35,603	1.000	0	35,603-	35,603	
22831205	04/01/19 B	53,633	1.000	0	53,633-	53,633	
22831205	04/02/19 B	33,474	1.000	0	33,474-	33,474	
22831205	04/04/19 B	30,505	1.000	0	30,505-	30,505	
22831205	04/05/19 B	2,823	1.000	0	2,823-	2,823	
22831205	04/08/19 B	48,680	1.000	0	48,680-	48,680	
22831205	04/08/19 B	1,106	1.000	0	1,106-	1,106	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
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ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	04/09/19 B	5,863	1.000	0	5,863-	5,863	
22831205	04/10/19 B	358,912	1.000	0	358,912-	358,912	
22831205	04/12/19 B	762,674	1.000	0	762,674-	762,674	
22831205	04/12/19 B	406,796	1.000	0	406,796-	406,796	
22831205	04/15/19 B	55,828	1.000	0	55,828-	55,828	
22831205	04/16/19 B	25,018	1.000	0	25,018-	25,018	
22831205	04/17/19 B	838	1.000	0	838-	838	
22831205	04/17/19 B	3,419	1.000	0	3,419-	3,419	
22831205	04/18/19 B	1,026	1.000	0	1,026-	1,026	
22831205	04/22/19 B	4,293	1.000	0	4,293-	4,293	
22831205	04/24/19 B	14,574	1.000	0	14,574-	14,574	
22831205	04/25/19 B	14,804	1.000	0	14,804-	14,804	
22831205	04/29/19 B	188,266	1.000	0	188,266-	188,266	
22831205	04/29/19 B	1,122	1.000	0	1,122-	1,122	
22831205	04/30/19 B	6,525	1.000	0	6,525-	6,525	
22831205	05/01/19 B	356,931	1.000	0	356,931-	356,931	
22831205	05/02/19 B	6,736	1.000	0	6,736-	6,736	
22831205	05/03/19 B	20,778	1.000	0	20,778-	20,778	
22831205	05/07/19 B	1,306	1.000	0	1,306-	1,306	
22831205	05/08/19 B	1,070	1.000	0	1,070-	1,070	
22831205	05/10/19 B	9,750	1.000	0	9,750-	9,750	
22831205	05/13/19 B	17,063	1.000	0	17,063-	17,063	
22831205	05/13/19 B	231,819	1.000	0	231,819-	231,819	
22831205	05/15/19 B	85,234	1.000	0	85,234-	85,234	
22831205	05/17/19 B	1,416	1.000	0	1,416-	1,416	
22831205	05/20/19 B	13,690	1.000	0	13,690-	13,690	
22831205	05/20/19 B	1,026	1.000	0	1,026-	1,026	
22831205	05/21/19 B	2,876	1.000	0	2,876-	2,876	
22831205	05/28/19 B	3,551	1.000	0	3,551-	3,551	
22831205	05/29/19 B	8,010	1.000	0	8,010-	8,010	
22831205	05/29/19 B	101,196	1.000	0	101,196-	101,196	
22831205	05/30/19 B	1,120	1.000	0	1,120-	1,120	
22831205	06/03/19 B	27,988	1.000	0	27,988-	27,988	
22831205	06/04/19 B	2,475	1.000	0	2,475-	2,475	
22831205	06/05/19 B	4,594	1.000	0	4,594-	4,594	
22831205	06/06/19 B	8,775	1.000	0	8,775-	8,775	
22831205	06/07/19 B	12,652	1.000	0	12,652-	12,652	
22831205	06/10/19 B	19,547	1.000	0	19,547-	19,547	
22831205	06/10/19 B	98	1.000	0	98-	98	
22831205	06/17/19 B	63,234	1.000	0	63,234-	63,234	
22831205	06/17/19 B	362	1.000	0	362-	362	
22831205	06/17/19 B	2,786	1.000	0	2,786-	2,786	
22831205	06/18/19 B	1,026	1.000	0	1,026-	1,026	
22831205	06/19/19 B	269,603	1.000	0	269,603-	269,603	
22831205	06/20/19 B	20,203	1.000	0	20,203-	20,203	
22831205	06/20/19 B	29,375	1.000	0	29,375-	29,375	

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ALLISON SALARIED CONSOLIDATED
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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	06/25/19 B	2,874	1.000	0	2,874-	2,874	
22831205	06/25/19 B	1,845,386	1.000	0	1,845,386-	1,845,386	
22831205	06/27/19 B	1,119	1.000	0	1,119-	1,119	
22831205	06/27/19 B	116,219	1.000	0	116,219-	116,219	
22831205	07/01/19 B	35,843	1.000	0	35,843-	35,843	
22831205	07/02/19 B	3,715	1.000	0	3,715-	3,715	
22831205	07/03/19 B	266,921	1.000	0	266,921-	266,921	
22831205	07/05/19 B	39,271	1.000	0	39,271-	39,271	
22831205	07/08/19 B	2,732	1.000	0	2,732-	2,732	
22831205	07/09/19 B	1,070	1.000	0	1,070-	1,070	
22831205	07/10/19 B	5,100	1.000	0	5,100-	5,100	
22831205	07/12/19 B	120,323	1.000	0	120,323-	120,323	
22831205	07/12/19 B	144,898	1.000	0	144,898-	144,898	
22831205	07/15/19 B	68,101	1.000	0	68,101-	68,101	
22831205	07/16/19 B	5,974	1.000	0	5,974-	5,974	
22831205	07/17/19 B	10,701	1.000	0	10,701-	10,701	
22831205	07/17/19 B	350	1.000	0	350-	350	
22831205	07/22/19 B	24,303	1.000	0	24,303-	24,303	
22831205	07/22/19 B	3,784	1.000	0	3,784-	3,784	
22831205	07/24/19 B	46,129	1.000	0	46,129-	46,129	
22831205	07/25/19 B	29,029	1.000	0	29,029-	29,029	
22831205	07/25/19 B	1,300	1.000	0	1,300-	1,300	
22831205	07/26/19 B	8,606	1.000	0	8,606-	8,606	
22831205	07/29/19 B	1,118	1.000	0	1,118-	1,118	
22831205	07/29/19 B	2,635	1.000	0	2,635-	2,635	
22831205	08/01/19 B	10,391	1.000	0	10,391-	10,391	
22831205	08/06/19 B	15,831	1.000	0	15,831-	15,831	
22831205	08/07/19 B	13,573	1.000	0	13,573-	13,573	
22831205	08/08/19 B	23,835	1.000	0	23,835-	23,835	
22831205	08/09/19 B	711,981	1.000	0	711,981-	711,981	
22831205	08/13/19 B	6,986	1.000	0	6,986-	6,986	
22831205	08/15/19 B	53,072	1.000	0	53,072-	53,072	
22831205	08/16/19 B	1,106	1.000	0	1,106-	1,106	
22831205	08/20/19 B	107,590	1.000	0	107,590-	107,590	
22831205	08/20/19 B	3,499	1.000	0	3,499-	3,499	
22831205	08/22/19 B	6,289	1.000	0	6,289-	6,289	
22831205	08/26/19 B	751	1.000	0	751-	751	
22831205	08/26/19 B	1,300	1.000	0	1,300-	1,300	
22831205	08/28/19 B	1,116	1.000	0	1,116-	1,116	
22831205	09/03/19 B	24,545	1.000	0	24,545-	24,545	
22831205	09/03/19 B	1,013	1.000	0	1,013-	1,013	
22831205	09/09/19 B	21,713	1.000	0	21,713-	21,713	
22831205	09/09/19 B	1,106	1.000	0	1,106-	1,106	
22831205	09/10/19 B	1,349	1.000	0	1,349-	1,349	
22831205	09/13/19 B	3,000	1.000	0	3,000-	3,000	
22831205	09/16/19 B	66,003	1.000	0	66,003-	66,003	

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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	09/17/19 B	14,705	1.000	0	14,705-	14,705	
22831205	09/17/19 B	1,200	1.000	0	1,200-	1,200	
22831205	09/17/19 B	2,692	1.000	0	2,692-	2,692	
22831205	09/18/19 B	379,999	1.000	0	379,999-	379,999	
22831205	09/18/19 B	1,026	1.000	0	1,026-	1,026	
22831205	09/20/19 B	5,474	1.000	0	5,474-	5,474	
22831205	09/20/19 B	29,375	1.000	0	29,375-	29,375	
22831205	09/23/19 B	6,581	1.000	0	6,581-	6,581	
22831205	09/24/19 B	383,639	1.000	0	383,639-	383,639	
22831205	09/24/19 B	417,869	1.000	0	417,869-	417,869	
22831205	09/25/19 B	2,051	1.000	0	2,051-	2,051	
SUB-TOTAL OF BUYS # 232				0	22,559,163	22,559,163	
22831200	10/01/18 S	130,989	1.000	0	130,989	130,989	0
22831200	10/01/18 S	9,481	1.000	0	9,481	9,481	0
22831200	10/03/18 S	5,216	1.000	0	5,216	5,216	0
22831200	10/05/18 S	10	1.000	0	10	10	0
22831200	10/22/18 S	15,116	1.000	0	15,116	15,116	0
22831200	10/23/18 S	42,631	1.000	0	42,631	42,631	0
22831200	10/25/18 S	93	1.000	0	93	93	0
22831200	10/30/18 S	212,927	1.000	0	212,927	212,927	0
22831200	11/01/18 S	133,012	1.000	0	133,012	133,012	0
22831200	12/03/18 S	135,411	1.000	0	135,411	135,411	0
22831200	12/14/18 S	11	1.000	0	11	11	0
22831200	01/02/19 S	643,502	1.000	0	643,502	643,502	0
22831200	01/02/19 S	9,428	1.000	0	9,428	9,428	0
22831200	01/08/19 S	592	1.000	0	592	592	0
22831200	01/22/19 S	15,122	1.000	0	15,122	15,122	0
22831200	02/01/19 S	436,021	1.000	0	436,021	436,021	0
22831200	02/06/19 S	43,121	1.000	0	43,121	43,121	0
22831200	02/15/19 S	190,577	1.000	0	190,577	190,577	0
22831200	02/19/19 S	2,658	1.000	0	2,658	2,658	0
22831200	02/21/19 S	438,038	1.000	0	438,038	438,038	0
22831200	02/22/19 S	230,586	1.000	0	230,586	230,586	0
22831200	03/01/19 S	139,181	1.000	0	139,181	139,181	0
22831200	03/07/19 S	52,003	1.000	0	52,003	52,003	0
22831200	03/11/19 S	9,432	1.000	0	9,432	9,432	0
22831200	03/20/19 S	4,094	1.000	0	4,094	4,094	0
22831200	03/26/19 S	9	1.000	0	9	9	0
22831200	04/01/19 S	142,640	1.000	0	142,640	142,640	0
22831200	04/11/19 S	3,323	1.000	0	3,323	3,323	0
22831200	04/15/19 S	502,386	1.000	0	502,386	502,386	0
22831200	04/22/19 S	16,749	1.000	0	16,749	16,749	0
22831200	05/01/19 S	553,471	1.000	0	553,471	553,471	0
22831200	05/06/19 S	2,558	1.000	0	2,558	2,558	0

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22831200	05/07/19 S	44,327	1.000	0	44,327	44,327	0
22831200	05/08/19 S	2,190	1.000	0	2,190	2,190	0
22831200	05/22/19 S	254,024	1.000	0	254,024	254,024	0
22831200	06/03/19 S	146,058	1.000	0	146,058	146,058	0
22831200	06/11/19 S	9,684	1.000	0	9,684	9,684	0
22831200	06/21/19 S	2,767	1.000	0	2,767	2,767	0
22831200	06/26/19 S	1,173,345	1.000	0	1,173,345	1,173,345	0
22831200	07/01/19 S	149,023	1.000	0	149,023	149,023	0
22831200	07/09/19 S	2,607	1.000	0	2,607	2,607	0
22831200	07/12/19 S	60,828	1.000	0	60,828	60,828	0
22831200	07/15/19 S	11	1.000	0	11	11	0
22831200	07/18/19 S	1,559	1.000	0	1,559	1,559	0
22831200	07/19/19 S	297,376	1.000	0	297,376	297,376	0
22831200	07/22/19 S	15,843	1.000	0	15,843	15,843	0
22831200	07/25/19 S	369	1.000	0	369	369	0
22831200	07/25/19 S	44,323	1.000	0	44,323	44,323	0
22831200	07/31/19 S	321	1.000	0	321	321	0
22831200	08/01/19 S	151,190	1.000	0	151,190	151,190	0
22831200	08/05/19 S	2,388	1.000	0	2,388	2,388	0
22831200	08/14/19 S	217,539	1.000	0	217,539	217,539	0
22831200	08/28/19 S	9,766	1.000	0	9,766	9,766	0
22831200	09/03/19 S	152,934	1.000	0	152,934	152,934	0
22831200	09/20/19 S	134,437	1.000	0	134,437	134,437	0
22831204	04/12/19 S	502,000	1.000	0	502,000	502,000	0
22831205	10/11/18 S	337,749	1.000	0	337,749	337,749	0
22831205	10/22/18 S	260,908	1.000	0	260,908	260,908	0
22831205	10/31/18 S	9,151	1.000	0	9,151	9,151	0
22831205	11/13/18 S	67,998	1.000	0	67,998	67,998	0
22831205	11/16/18 S	14,117	1.000	0	14,117	14,117	0
22831205	11/19/18 S	159,596	1.000	0	159,596	159,596	0
22831205	11/21/18 S	22,607	1.000	0	22,607	22,607	0
22831205	11/30/18 S	471,867	1.000	0	471,867	471,867	0
22831205	12/03/18 S	40,191	1.000	0	40,191	40,191	0
22831205	12/06/18 S	243,652	1.000	0	243,652	243,652	0
22831205	12/17/18 S	53,915	1.000	0	53,915	53,915	0
22831205	12/18/18 S	34,318	1.000	0	34,318	34,318	0
22831205	12/21/18 S	67,147	1.000	0	67,147	67,147	0
22831205	01/07/19 S	3,291	1.000	0	3,291	3,291	0
22831205	01/08/19 S	21,793	1.000	0	21,793	21,793	0
22831205	01/10/19 S	88,943	1.000	0	88,943	88,943	0
22831205	01/11/19 S	5,028	1.000	0	5,028	5,028	0
22831205	01/17/19 S	1,329,650	1.000	0	1,329,650	1,329,650	0
22831205	01/18/19 S	408,817	1.000	0	408,817	408,817	0
22831205	01/24/19 S	409,531	1.000	0	409,531	409,531	0
22831205	01/25/19 S	405,378	1.000	0	405,378	405,378	0
22831205	02/13/19 S	85,822	1.000	0	85,822	85,822	0

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22831205	02/15/19 S	43,741	1.000	0	43,741	43,741	0
22831205	02/15/19 S	86,186	1.000	0	86,186	86,186	0
22831205	02/22/19 S	76,572	1.000	0	76,572	76,572	0
22831205	02/25/19 S	261,140	1.000	0	261,140	261,140	0
22831205	02/26/19 S	442,433	1.000	0	442,433	442,433	0
22831205	02/27/19 S	377	1.000	0	377	377	0
22831205	02/28/19 S	631,608	1.000	0	631,608	631,608	0
22831205	03/04/19 S	435,772	1.000	0	435,772	435,772	0
22831205	03/13/19 S	35,830	1.000	0	35,830	35,830	0
22831205	03/15/19 S	55,999	1.000	0	55,999	55,999	0
22831205	03/18/19 S	116,978	1.000	0	116,978	116,978	0
22831205	03/21/19 S	340,467	1.000	0	340,467	340,467	0
22831205	04/03/19 S	346,615	1.000	0	346,615	346,615	0
22831205	04/04/19 S	35,145	1.000	0	35,145	35,145	0
22831205	04/11/19 S	342,909	1.000	0	342,909	342,909	0
22831205	04/15/19 S	1,766,000	1.000	0	1,766,000	1,766,000	0
22831205	05/01/19 S	279,128	1.000	0	279,128	279,128	0
22831205	05/06/19 S	62,431	1.000	0	62,431	62,431	0
22831205	05/07/19 S	102,354	1.000	0	102,354	102,354	0
22831205	05/14/19 S	306,175	1.000	0	306,175	306,175	0
22831205	05/16/19 S	11,112	1.000	0	11,112	11,112	0
22831205	05/17/19 S	49,315	1.000	0	49,315	49,315	0
22831205	05/23/19 S	48,043	1.000	0	48,043	48,043	0
22831205	06/07/19 S	114,503	1.000	0	114,503	114,503	0
22831205	06/11/19 S	8,112	1.000	0	8,112	8,112	0
22831205	06/19/19 S	4,286	1.000	0	4,286	4,286	0
22831205	06/25/19 S	2,400,000	1.000	0	2,400,000	2,400,000	0
22831205	07/11/19 S	355,347	1.000	0	355,347	355,347	0
22831205	07/18/19 S	70,350	1.000	0	70,350	70,350	0
22831205	07/29/19 S	413,298	1.000	0	413,298	413,298	0
22831205	08/02/19 S	111,186	1.000	0	111,186	111,186	0
22831205	08/08/19 S	115,359	1.000	0	115,359	115,359	0
22831205	08/08/19 S	245,593	1.000	0	245,593	245,593	0
22831205	08/16/19 S	26,984	1.000	0	26,984	26,984	0
22831205	08/19/19 S	44,067	1.000	0	44,067	44,067	0
22831205	08/20/19 S	206,213	1.000	0	206,213	206,213	0
22831205	09/19/19 S	456,841	1.000	0	456,841	456,841	0
22831205	09/26/19 S	381,073	1.000	0	381,073	381,073	0
22831205	09/27/19 S	795,376	1.000	0	795,376	795,376	0
SUB-TOTAL OF SALES # 117				0	23,661,684	23,661,684	0
SUB-TOTAL				0	46,220,847	46,220,847	0



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
Attachments to Schedule H (2018 Form 5500)
Schedule H, Line 4j - Schedule of Reportable Transactions

PAGE 712

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD OCTOBER 1, 2018 THROUGH SEPTEMBER 30, 2019

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
				0	46,220,847	46,220,847	0
GRAND TOTAL							

F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2018 This Form is Open to Public Inspection
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For calendar plan year 2018 or fiscal plan year beginning 10/01/2018 and ending 09/30/2019

► **Round off amounts to nearest dollar.**

► **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES	B Three-digit plan number (PN) ►	003
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF ALLISON TRANSMISSION, INC.	D Employer Identification Number (EIN) 26-0413897	
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B		
F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500		

Part I Basic Information			
1 Enter the valuation date: Month <u>10</u> Day <u>01</u> Year <u>2018</u>			
2 Assets:			
a Market value.....	2a	102,493,207	
b Actuarial value.....	2b	102,493,207	
3 Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a For retired participants and beneficiaries receiving payment.....	302	20,557,848	20,557,848
b For terminated vested participants.....	125	5,381,532	5,381,532
c For active participants.....	395	42,653,951	42,689,970
d Total.....	822	68,593,331	68,629,350
4 If the plan is in at-risk status, check the box and complete lines (a) and (b) ☐			
a Funding target disregarding prescribed at-risk assumptions.....	4a		
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor.....	4b		
5 Effective interest rate.....	5	5.80%	
6 Target normal cost.....	6	3,702,004	

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	<div style="text-align: center;"> Signature of actuary DAVID L. JAKES, FSA, EA, MAAA Type or print name of actuary MERCER Firm name 325 JOHN H MCCONNELL BLVD SUITE 350 COLUMBUS OH 43215 Address of the firm </div>	<div style="text-align: center;"> <u>7/6/2020</u> Date 2005110 Most recent enrollment number 614-227-5555 Telephone number (including area code) </div>
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If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice, see the Instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2018
v. 171027

Part II Beginning of Year Carryover and Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year).....	0	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)		
9 Amount remaining (line 7 minus line 8).....	0	0
10 Interest on line 9 using prior year's actual return of <u>-0.58%</u>	0	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year).....		0
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>6.00%</u>		0
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return.....		0
c Total available at beginning of current plan year to add to prefunding balance.....		0
d Portion of (c) to be added to prefunding balance.....		0
12 Other reductions in balances due to elections or deemed elections.....	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d - line 12).....	0	0

Part III Funding Percentages

14 Funding target attainment percentage	14	149.34%
15 Adjusted funding target attainment percentage.....	15	149.34%
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	177.66%
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.	17	%

Part IV Contributions and Liquidity Shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
Totals ▶			18(b)	0	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years.....	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date.....	19c	0

20 Quarterly contributions and liquidity shortfalls:

- a** Did the plan have a "funding shortfall" for the prior year?..... ☐ Yes ☒ No
- b** If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner?..... ☐ Yes ☐ No
- c** If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year

(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:			
a Segment rates:	1st segment: 3.92 %	2nd segment: 5.52 %	3rd segment: 6.29 %
			☐ N/A, full yield curve used
b Applicable month (enter code).....			21b 0
22 Weighted average retirement age			22 61
23 Mortality table(s) (see instructions)		Prior regulation:	☐ Prescribed - combined ☐ Prescribed - separate ☐ Substitute
		Current regulation:	☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.	27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6).....		31a	3,702,004
b Excess assets, if applicable, but not greater than line 31a		31b	3,702,004
32 Amortization installments:	Outstanding Balance	Installment	
a Net shortfall amortization installment.....	0	0	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount		33	
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33).....		34	0
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement.....	0	0	0
36 Additional cash requirement (line 34 minus line 35).....		36	0
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....		37	0
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)		38a	0
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances		38b	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37).....		39	0
40 Unpaid minimum required contributions for all years		40	0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:	
a Schedule elected	☐ 2 plus 7 years ☐ 15 years
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

Schedule SB, line 22 — Description of Weighted Average Retirement Age

Each employee is assumed to retire in accordance with the table of retirement rates. The proportion of employees expected to retire at each potential retirement age is shown below. The average retirement age is 61.

(A)	(B)	(C)	(D)	(E)
Retirement age	Retirement Percent	Lx	Number of employees expected to retire = (B) x (C)	= (A) x (D)
52	5.00%	10,000	500	26,000
53	5.00%	9,500	475	25,175
54	5.00%	9,025	451	24,368
55	5.00%	8,574	429	23,578
56	5.00%	8,145	407	22,806
57	5.00%	7,738	387	22,053
58	5.00%	7,351	368	21,318
59	5.00%	6,983	349	20,601
60	15.00%	6,634	995	59,708
61	15.00%	5,639	846	51,598
62	15.00%	4,793	719	44,577
63	15.00%	4,074	611	38,501
64	15.00%	3,463	519	33,246
65	30.00%	2,944	883	57,401
66	30.00%	2,061	618	40,799
67	30.00%	1,442	433	28,992
68	30.00%	1,010	303	20,597
69	30.00%	707	212	14,630
70	100.00%	495	495	34,632
Total			10,000	610,580
Average				61.06

*Schedule SB, Part V — Summary of Plan Provisions***SUMMARY OF MAJOR PLAN PROVISIONS**

Effective date and plan year	Original plan: October 1, 2007 Restated plan: October 1, 2012 Plan year: October 1- September 30.
Most recent amendment	Amendment Number Two (executed November 16, 2015)
Type of Plan	Defined benefit Part A: Career Average Plan Part C: Account Balance Plan
Miscellaneous	
Maximum benefits	Annual benefits may not exceed the limits in IRC Section 415. This limit is indexed annually. For 2018, the limit is \$220,000.
Maximum compensation	Annual compensation may not exceed the limits in IRS Section 415. This limit is indexed annually. For 2018, the limit is \$275,000.
Actuarial equivalence	Based on IRC Section 417(e)(3) interest rates and mortality.
Separate descriptions follow for Part A: Career Average Plan and Part C: Account Balance Plan	

Schedule SB, Part V — Summary of Plan Provisions

PLAN A: CAREER AVERAGE PLAN

DEFINITIONS

- | | |
|---------------------------|---|
| • Covered employees | Salaried employees who are Participants with a Length of Service date with GM prior to January 1, 2001 and who were accruing a benefit under Part D of the GM Plan immediately prior to August 7, 2007. |
| • Vesting service | Participants become vested after 3 years of Credited Service (including Credited Service prior to August 7, 2007 with GM). |
| • Credited service | Number of years (to the nearest 1/12) beginning August 7, 2007 during which an employee in regular employment receives compensation. Credited Service prior to August 7, 2007 with GM counts toward eligibility and vesting, but not benefit accrual. |
| • Compensation considered | Base Salary (including elective 401(k) and 401(m) deferrals and employee elections). Incentive compensation pay (iComp Program) is included effective January 1, 2008. |
| • Actuarial value | Calculated based on the “applicable mortality table” and the “applicable interest rate” for the third full month prior to the first day of the Plan Year preceding the determination date as described in Code Section 417(e). |

NORMAL RETIREMENT

- | | |
|------------------------------|---|
| • Eligibility | Later of age 65 or the fifth anniversary of date the employee commenced participation in the GM Salaried Retirement Program. |
| • Benefit | 1.25% multiplied by Average Monthly Base Salary for each Plan Year. |
| • Pre-Effective Date Benefit | Effective as of October 2, 2007, all Part A Participants shall be entitled to a monthly benefit (in addition to the normal benefit) equal to 1.25% multiplied by the Part A Participant’s Base Salary from August 7, 2007 through October 1, 2007, divided by 12. |

EARLY RETIREMENT

- | | |
|---------------|--|
| • Eligibility | Age 55 with 10 years of service
(or 30 or more years of service and hired prior to January 1, 1988) |
|---------------|--|

Schedule SB, Part V — Summary of Plan Provisions

• Benefit

Normal Retirement Benefit reduced for retirement prior to age 62 if
 :

1. Age 60
2. Age 55 with 85 age and service points (and hired prior to 1/1/1988)
3. 30 or more years of service (and hired prior to 1/1/1988)

Normal Retirement Benefit reduced for retirement prior to age 65 if:

4. Age 55 but not age 60 with less than 85 age and service points
5. Less than age 60 (and hired on or after 1/1/1988)

Early retirement factors are as follows:

Age	Age 60 or hired before 1/1/1988 with age 55/85 points or 30 years	Age 55 with less than 85 points or less than age 60 (hired on or after 1/1/1988)
42	21.0%	N/A
43	22.6%	N/A
44	24.3%	N/A
45	26.1%	N/A
46	28.2%	N/A
47	30.4%	N/A
48	32.8%	N/A
49	35.4%	N/A
50	38.3%	N/A
51	41.5%	N/A
52	45.0%	N/A
53	48.9%	N/A
54	53.2%	N/A
55	57.9%	46.0%
56	63.5%	49.6%
57	69.4%	53.2%
58	75.2%	56.8%
59	80.8%	60.4%
60	86.7%	64.0%

Schedule SB, Part V — Summary of Plan Provisions

	61	93.3%	71.2%
	62	100.0%	78.4%
	63	100.0%	85.6%
	64	100.0%	92.8%
	65	100.0%	100.0%
Early Retirement factors are prorated for intermediate ages computed on the basis of the number of complete calendar months by which the participant is under the age attained at the participant's next birthday.			

Schedule SB, Part V — Summary of Plan Provisions

DEFERRED VESTED																																													
<ul style="list-style-type: none"> Eligibility 	Vested upon termination																																												
<ul style="list-style-type: none"> Benefit 	<p>Accrued benefit as of the Termination Date is payable at the Normal Retirement Age (or a reduced benefit is payable after age 25). Early retirement factors are as follows:</p> <table border="1"> <thead> <tr> <th>Age</th><th>Percentage</th></tr> </thead> <tbody> <tr><td>25</td><td>3.5%</td></tr> <tr><td>30</td><td>5.1%</td></tr> <tr><td>35</td><td>7.5%</td></tr> <tr><td>40</td><td>11.0%</td></tr> <tr><td>45</td><td>16.4%</td></tr> <tr><td>50</td><td>24.8%</td></tr> <tr><td>51</td><td>27.0%</td></tr> <tr><td>52</td><td>29.4%</td></tr> <tr><td>53</td><td>32.1%</td></tr> <tr><td>54</td><td>35.0%</td></tr> <tr><td>55</td><td>42.8%</td></tr> <tr><td>56</td><td>46.8%</td></tr> <tr><td>57</td><td>51.2%</td></tr> <tr><td>58</td><td>55.5%</td></tr> <tr><td>59</td><td>59.6%</td></tr> <tr><td>60</td><td>64.0%</td></tr> <tr><td>61</td><td>71.2%</td></tr> <tr><td>62</td><td>78.4%</td></tr> <tr><td>63</td><td>85.6%</td></tr> <tr><td>64</td><td>92.8%</td></tr> <tr><td>65</td><td>100.0%</td></tr> </tbody> </table>	Age	Percentage	25	3.5%	30	5.1%	35	7.5%	40	11.0%	45	16.4%	50	24.8%	51	27.0%	52	29.4%	53	32.1%	54	35.0%	55	42.8%	56	46.8%	57	51.2%	58	55.5%	59	59.6%	60	64.0%	61	71.2%	62	78.4%	63	85.6%	64	92.8%	65	100.0%
Age	Percentage																																												
25	3.5%																																												
30	5.1%																																												
35	7.5%																																												
40	11.0%																																												
45	16.4%																																												
50	24.8%																																												
51	27.0%																																												
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54	35.0%																																												
55	42.8%																																												
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57	51.2%																																												
58	55.5%																																												
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62	78.4%																																												
63	85.6%																																												
64	92.8%																																												
65	100.0%																																												
	<p>Early retirement factors are prorated for intermediate ages computed on the basis of the number of complete calendar months by which the participant is under the age attained at the participant's next birthday.</p>																																												

Schedule SB, Part V — Summary of Plan Provisions

DISABILITY	
• Eligibility	Disabled prior to age 65 with 10 years of service or more
• Benefit	The Normal Retirement Benefit as of the date of disability is payable immediately (without reduction).
PRE-RETIREMENT DEATH	
• Eligibility	Vested upon Participant's death
• Benefit prior to early retirement	50% of the deferred benefit otherwise payable under the plan is paid to the surviving spouse.
• Benefit after early but before normal retirement	65% of the benefit otherwise payable at the Participant's Early Retirement Benefit date is paid to the surviving spouse.
FORM OF BENEFITS	
• Automatic form for unmarried participants	Life Annuity
• Automatic form for married participants	<p>65% joint and survivor annuity (immediate commencements) 50% joint and survivor annuity (deferred commencements)</p> <p>Benefit is 95% of the monthly basic benefit if the spouse's age is within 5 years of the participant's age. Such percentage is increased by one half of one percent (1/2%) (up to a maximum of 100%) for each 12 months in excess of 5 years that the spouse's age exceeds the participant's age and decreased by one-half of one percent (1/2%) for each 12 months in excess of 5 years that the spouse's age is less than the participant's age.</p>
• Optional forms	<p>Contingent Annuitant annuity in any designated percentage (in 5% increments) up to 100%</p> <p>Lump sum</p>
• Optional form conversion factors	<p>Contingent Annuitant: Fixed factors based on the age difference between the participant and contingent beneficiary are used to convert the accrued benefit to the optional form</p> <p>Lump sum: Convert the accrued benefit to the optional form based on Actuarial Value</p>

Schedule SB, Part V — Summary of Plan Provisions

MISCELLANEOUS	
Addendum A enhancements	(Effective 12/1/2008)
• Eligibility	Part A Participants (named in the plan document) who, as of early 2009, had: (1) retired and terminated and requested a benefit calculation; or (2) retired or terminated and are in pay status
• Benefit	<p>Normal retirement benefit, but if the Addendum A Participant retires voluntarily with a Length of Service Date prior to January 1, 1988 with either 30 years of Credited Service or whose combined years of age and years of Credited Service (to the nearest 1/12 in each case) at retirement total 85 or more, the monthly basic benefit otherwise payable to such Employee after he attains Age 62 and One Month shall be redetermined without reduction for commencement prior to age 62.</p> <p>In addition, in lieu of the Pre-Effective Date Benefit to which participants in general are entitled, Addendum A Participants shall be entitled to a monthly benefit equal to 1.25% multiplied by the Addendum A Participant's Base Salary from August 7, 2007 through September 30, 2007, divided by the number of months worked by the Addendum A Participant during this period</p>
Addendum B enhancements	(Effective 10/1/2010)
• Eligibility	Part A Participants who, (1) retire voluntarily with a Length of Service Date on or before January 1, 1988, with 30 or more years of Credited Service or combined years of age and years of Credited Service (to the nearest 1/12th in each case) at retirement totaling 85 or more; (2) retire and begin receiving benefits on or before November 1, 2009; and (3) are not listed on Addendum A as Addendum A Participants.
• Benefit	Normal retirement benefit, but the monthly basic benefit otherwise payable to such Employee after he attains Age 62 and One Month shall be redetermined without reduction for commencement prior to age 62.

Schedule SB, Part V — Summary of Plan Provisions

PART C: ACCOUNT BALANCE PLAN

DEFINITIONS

• Covered employees	A salaried employee who is a participant and whose Length of Service Date with GM is on or after January 1, 2001 and before January 1, 2007, and who, prior to January 1, 2007, accrued a benefit under Part C of the GM Plan and was not vested in such benefits as of August 7, 2007.
• Vesting service	Participants become vested after 3 years of Credited Service (including Credited Service prior to August 7, 2007 with GM).
• Notional account balance	As of October 2, 2007 an account balance equal to the sum of the participant's pay credits and interest credits earned under Part C of the GM Salaried Retirement Program as of July 31, 2007 plus interest credits as of August 31, 2007 and for each month thereafter until October 1, 2007 based on the interest credit definition in the plan, using October 1, 2006 as the first day of the Plan Year under the GM Plan for which these interest credits are
• Pay credits	No "pay credits" shall be credited to a Part C Participant's account balance under the Plan.
• Interest credits	Each Part C Participant's account balance is credited with interest credits as of the end of each month beginning October 31, 2007. Interest credits equal the account balance as of the end of the previous month times the monthly interest credit percentage. The account balance as of the end of the previous month shall be determined after any interest credits have been credited for such previous months. The monthly interest credit percentage is equal to $[(1 + \text{the annual interest rate})^{\text{raised to the } 1/12\text{th power}}] - 1$. (The annual interest rate is the greater of 3.25% or the annual rate of interest on 30-year Treasury securities for the third full month prior to the first day of the Plan Year during which interest credits are being credited.)
• Account balance after separation	If vested, a Part C Participant's account balance continues to be credited with interest credits until the end of the month preceding the benefit commencement date.
• Actuarial value	Calculated based on the "applicable mortality table" and the "applicable interest rate" for the third full month prior to the first day of the Plan Year preceding the determination date as described in Code Section 417(e).
• Normal retirement age	Later of age 65 or the fifth anniversary of date the employee commenced participation in the GM Salaried Retirement Program.

Schedule SB, Part V — Summary of Plan Provisions

Benefit Commencement	
• Eligibility	Earlier of : 1. Normal Retirement Age 2. First of the month following separation from service following a request to commence benefits.
• Benefit	Either of the following (depending on the elected form of benefit): 1. A monthly annuity benefit beginning on the benefit commencement date that has the same Actuarial Value of the vested account balance. 2. A lump sum payment as of the benefit commencement date equal to the vested account balance as of that date.
Pre-retirement death	
• Eligibility	If account is vested, then upon the Participant's death
• Benefit prior to benefit commencement (if married for at least one year at the time of death)	Either of the following (depending on the elected form of benefit): 1. A monthly annuity benefit beginning on the surviving spouse's benefit commencement date that has the same Actuarial Value of the vested account balance. 2. A lump sum payment as of the surviving spouse's benefit commencement date equal to the vested account balance as of that date.
• Benefit prior to benefit commencement (if not married or married for less than one year at the time of death)	The designated beneficiary or designated beneficiaries or estate shall receive a lump-sum payment payable as of the first day of the month following the date of death. The lump-sum payment shall equal the vested account balance as of that date.
Form of benefits	
• Automatic form for unmarried participants	Life annuity
• Automatic form for married participants	50% joint and survivor annuity
• Optional forms	75% joint and survivor annuity (for married participants) Lump sum payment
• Optional form conversion factors	Calculated based on the Actuarial Value

*Schedule SB, Part V — Summary of Plan Provisions***Benefits Included or Excluded**

Unless noted below, all benefits provided by the plan, as restated and amended through the second amendment, are included in this valuation:

- **Most recent plan amendments included:** Amendment Number Two (executed November 16, 2015).
- **Plan amendments excluded:** None
- **Late retirement increases:**
 - Active participants: The plan provides benefit suspension notices to participants who work beyond normal retirement; therefore, late retirement actuarial increases only apply to participants who defer retirement beyond age 70½. This valuation includes increases for current participants over age 70.
 - Deferred vested participants: Current deferred vested participants over normal retirement age are valued including the late retirement actuarial increase.
- **Internal Revenue Code limitations:** The limitations of Internal Revenue Code Section 415(b) and 401(a)(17) have been incorporated into our calculations.
- **IRC Section 416 rules for top-heavy plans:** We did not test whether this plan is top-heavy (when the present value of benefits for key employees equals or exceeds 60% of the present value for all participants). However, we expect that the plan is not top-heavy due to the large number of rank-and-file participants; therefore, the funding target and target normal cost do not reflect any liability for top-heavy benefit accruals.

Additional Benefits Included or Excluded

- **IRC Section 436 benefit restrictions:**
 - *Unpredictable contingent event benefits:* Not applicable.
 - *Plan amendments:* See above.
 - *Prohibited payments:* Limitations on prohibited benefits (if any) are reflected for annuity starting dates before the valuation date but are ignored for annuity starting dates on or after the valuation date.
 - *Benefit accruals:* The plan's funding target does not reflect any limitation on benefit accruals. The target normal cost does not reflect any limitation on benefit accruals.
- **Unpredictable contingent event benefits:** The plan does not have any unpredictable contingent event benefits.

Plan Provision Changes Since Prior Valuation

Maximum compensation amounts and maximum benefits amounts under IRS rules were updated from 2017 to 2018.

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	US TREASURY BOND DTD 11/15/18 0.000 11/15/2048 CUSIP 912834UB2					
<u>1,200,000.000</u>	ALLISON SALARIED-RYAN LABS	52.9230	<u>635,076.00</u>	52.6660	<u>631,992.00</u>	<u>3,084.00-</u>
1,200,000.000	TOTAL US TREASURY BOND	11/15/48	635,076.00		631,992.00	3,084.00-
	US TREASURY BOND DTD 05/15/19 2.875 05/15/2049 CUSIP 912810SH2					
<u>1,070,000.000</u>	ALLISON SALARIED-RYAN LABS	119.4955	<u>1,278,601.57</u>	116.6290	<u>1,247,930.30</u>	<u>30,671.27-</u>
1,070,000.000	TOTAL US TREASURY BOND	2.875% 5/15/49	1,278,601.57		1,247,930.30	30,671.27-
	US TREASURY BOND DTD 02/15/18 3.000 02/15/2048 CUSIP 912810SA7					
<u>125,000.000</u>	ALLISON SALARIED-RYAN LABS	96.2380	<u>120,297.50</u>	118.7310	<u>148,413.75</u>	<u>28,116.25</u>
125,000.000	TOTAL US TREASURY BOND	3.000% 2/15/48	120,297.50		148,413.75	28,116.25
	US TREASURY BOND DTD 02/15/19 3.000 02/15/2049 CUSIP 912810SF6					
<u>1,780,000.000</u>	ALLISON SALARIED-RYAN LABS	108.7382	<u>1,935,539.19</u>	119.2310	<u>2,122,311.80</u>	<u>186,772.61</u>
1,780,000.000	TOTAL US TREASURY BOND	3.000% 2/15/49	1,935,539.19		2,122,311.80	186,772.61
	US TREASURY BOND DTD 11/15/18 3.375 11/15/2048 CUSIP 912810SE9					
<u>180,000.000</u>	ALLISON SALARIED-RYAN LABS	107.0085	<u>192,615.35</u>	127.4180	<u>229,352.40</u>	<u>36,737.05</u>
180,000.000	TOTAL US TREASURY BOND	3.375% 11/15/48	192,615.35		229,352.40	36,737.05
	US TREASURY NOTE DTD 08/15/19 1.625 08/15/2029 CUSIP 912828YB0					
<u>1,000,000.000</u>	ALLISON SALARIED-RYAN LABS	100.6836	<u>1,006,835.93</u>	99.5390	<u>995,390.00</u>	<u>11,445.93-</u>
1,000,000.000	TOTAL US TREASURY NOTE	1.625% 8/15/29	1,006,835.93		995,390.00	11,445.93-

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	US TREASURY NOTE DTD 05/31/17 2.000 05/31/2024 CUSIP 912828XT2					
185,000.000	ALLISON SALARIED-RYAN LABS	100.9081	186,679.96	101.9960	188,692.60	2,012.64
185,000.000	TOTAL US TREASURY NOTE 2.000% 5/31/24		186,679.96		188,692.60	2,012.64
	TOTAL US TREASURY NOTES AND BONDS		5,355,645.50		5,564,082.85	208,437.35
	GOVT STRIPPED AND ZERO-COUPON					
	US TREASURY BOND/NOTE STRIPPED ZERO DTD 11/16/09 11/15/2039 CUSIP 912834EV6					
4,595,000.000	ALLISON SALARIED-RYAN LABS	50.6170	2,325,851.15	65.5680	3,012,849.60	686,998.45
4,280,000.000	ALLISON SALARIED-RYAN LABS	47.3420	2,026,237.60	62.0740	2,656,767.20	630,529.60
8,875,000.000	TOTAL US TREAS SEC STRIPPED		4,352,088.75		5,669,616.80	1,317,528.05
	US TREASURY BOND STRIPPED ZERO CPN DTD 05/15/14 05/15/2044 CUSIP 912834NP9					
3,500,000.000	ALLISON SALARIED-RYAN LABS	43.6850	1,528,975.00	58.1650	2,035,775.00	506,800.00
3,500,000.000	TOTAL US TREAS SEC STRIPPED 5/15/44		1,528,975.00		2,035,775.00	506,800.00
	US TREAS SEC STRIPPED ZERO CPN DTD 05/16/16 05/15/2046 CUSIP 912834QH4					
3,925,000.000	ALLISON SALARIED-RYAN LABS	41.0160	1,609,878.00	55.3730	2,173,390.25	563,512.25
3,925,000.000	TOTAL US TREAS SEC STRIPPED 5/15/46		1,609,878.00		2,173,390.25	563,512.25
	US TREAS SEC STRIPPED ZERO CPN DTD 08/17/15 08/15/2045 CUSIP 912834PM4					
2,105,000.000	ALLISON SALARIED-RYAN LABS	41.9950	883,994.75	56.2990	1,185,093.95	301,099.20
2,105,000.000	TOTAL US TREAS SEC STRIPPED 8/15/45		883,994.75		1,185,093.95	301,099.20

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	US TREAS SEC STRIPPED DTD 08/15/17 08/15/2047 CUSIP 912834RR1					
7,200,000.000	ALLISON SALARIED-RYAN LABS	39.3800	2,835,360.00	53.9990	3,887,928.00	1,052,568.00
7,200,000.000	TOTAL US TREAS SEC STRIPPED	8/15/47	2,835,360.00		3,887,928.00	1,052,568.00
	US TREASURY BOND STRIPPED ZERO CPN DTD 11/15/13 11/15/2043 CUSIP 912834MZ8					
3,800,000.000	ALLISON SALARIED-RYAN LABS	44.3430	1,685,034.00	58.7890	2,233,982.00	548,948.00
3,800,000.000	TOTAL US TREAS SEC STRIPPED	11/15/43	1,685,034.00		2,233,982.00	548,948.00
	US TREASURY BOND ZERO CPN DTD 05/15/09 0.000 11/15/2038 CUSIP 912834DU9					
1,415,000.000	ALLISON SALARIED-RYAN LABS	52.3060	740,129.90	67.2220	951,191.30	211,061.40
1,415,000.000	TOTAL US TREASURY BOND	11/15/38	740,129.90		951,191.30	211,061.40
	US TREASURY BOND ZERO CPN DTD 11/15/10 11/15/2040 CUSIP 912834JH2					
4,485,000.000	ALLISON SALARIED-RYAN LABS	48.9330	2,194,645.05	63.8180	2,862,237.30	667,592.25
4,485,000.000	TOTAL US TREASURY BOND	11/15/40	2,194,645.05		2,862,237.30	667,592.25
	US TREASURY BOND ZERO CPN DTD 11/15/12 0.000 11/15/2042 CUSIP 912834LX4					
4,000,000.000	ALLISON SALARIED-RYAN LABS	45.8080	1,832,320.00	60.3720	2,414,880.00	582,560.00
4,000,000.000	TOTAL US TREASURY BOND	11/15/42	1,832,320.00		2,414,880.00	582,560.00
	TOTAL GOVT STRIPPED AND ZERO-COUPON MUNICIPAL TAXABLE BONDS		17,662,425.45		23,414,094.60	5,751,669.15

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	CALIFORNIA ST BUILD AMERICA BONDS DTD 10/15/09 7.300 10/01/2039 CUSIP 13063A7D0					
290,000.000	ALLISON SALARIED-RYAN LABS	137.4740	398,674.60	156.5160	453,896.40	55,221.80
290,000.000	TOTAL CALIFORNIA ST 7.300% 10/01/39		398,674.60		453,896.40	55,221.80
	UNIVERSITY CALIF REVS BUILD AMERICA BONDS DTD 09/30/10 5.946 05/15/2045 CUSIP 91412GDZ5					
215,000.000	ALLISON SALARIED-RYAN LABS	125.4644	269,748.45	138.9660	298,776.90	29,028.45
215,000.000	TOTAL UNIVERSITY CALIF REV 5.946% 5/15/45		269,748.45		298,776.90	29,028.45
	TOTAL MUNICIPAL TAXABLE BONDS		668,423.05		752,673.30	84,250.25
	CORPORATE BONDS					
	ABBVIE INC DTD 05/14/15 3.200 11/06/2022 CUSIP 00287YAP4					
391,000.000	ALLISON SALARIED-RYAN LABS	98.2890	384,309.99	102.5700	401,048.70	16,738.71
391,000.000	TOTAL ABBVIE INC 3.200% 11/06/22		384,309.99		401,048.70	16,738.71
	ACE INA HOLDINGS DTD 11/03/15 4.350 11/03/2045 CUSIP 00440EAW7					
280,000.000	ALLISON SALARIED-RYAN LABS	102.1240	285,947.20	122.7240	343,627.20	57,680.00
280,000.000	TOTAL ACE INA HOLDINGS 4.350% 11/03/45		285,947.20		343,627.20	57,680.00
	ALIBABA GROUP HOLDING DTD 05/28/15 3.600 11/28/2024 CUSIP 01609WAQ5					
445,000.000	ALLISON SALARIED-RYAN LABS	98.3270	437,555.15	105.2170	468,215.65	30,660.50
445,000.000	TOTAL ALIBABA GROUP HOLDIN 3.600% 11/28/24		437,555.15		468,215.65	30,660.50

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	ALLSTATE CORP DTD 12/08/16 4.200 12/15/2046 CUSIP 020002BC4					
445,000.000	ALLISON SALARIED-RYAN LABS	97.1460	432,299.70	116.1350	516,800.75	84,501.05
445,000.000	TOTAL ALLSTATE CORP 4.200% 12/15/46		432,299.70		516,800.75	84,501.05
	AMAZON.COM INC DTD 02/22/18 3.875 08/22/2037 CUSIP 023135BF2					
250,000.000	ALLISON SALARIED-RYAN LABS	97.9050	244,762.50	115.0530	287,632.50	42,870.00
250,000.000	TOTAL AMAZON.COM INC 3.875% 8/22/37		244,762.50		287,632.50	42,870.00
	AMEREN ILLINOIS CO DTD 11/28/17 3.700 12/01/2047 CUSIP 02361DAR1					
440,000.000	ALLISON SALARIED-RYAN LABS	92.9020	408,768.80	108.6240	477,945.60	69,176.80
440,000.000	TOTAL AMEREN ILLINOIS CO 3.700% 12/01/47		408,768.80		477,945.60	69,176.80
	AMERICA MOVIL SAB DE CV DTD 07/16/12 3.125 07/16/2022 CUSIP 02364WBD6					
465,000.000	ALLISON SALARIED-RYAN LABS	97.9190	455,323.35	102.5840	477,015.60	21,692.25
465,000.000	TOTAL AMERICA MOVIL SAB DE 3.125% 7/16/22		455,323.35		477,015.60	21,692.25
	AMERICAN EXPRESS CO DTD 02/22/19 3.400 02/22/2024 CUSIP 025816CC1					
85,000.000	ALLISON SALARIED-RYAN LABS	99.8860	84,903.10	104.5840	88,896.40	3,993.30
85,000.000	TOTAL AMERICAN EXPRESS CO 3.400% 2/22/24		84,903.10		88,896.40	3,993.30
	AMERIPRISE FINANCIAL INC DTD 08/11/16 2.875 09/15/2026 CUSIP 03076CAH9					
235,000.000	ALLISON SALARIED-RYAN LABS	92.7820	218,037.70	102.2880	240,376.80	22,339.10
235,000.000	TOTAL AMERIPRISE FINANCIAL 2.875% 9/15/26		218,037.70		240,376.80	22,339.10

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	AMGEN INC DTD 05/15/12 3.625 05/15/2022 CUSIP 031162BN9					
300,000.000	ALLISON SALARIED-RYAN LABS	100.5200	301,560.00	103.4530	310,359.00	8,799.00
300,000.000	TOTAL AMGEN INC 3.625% 5/15/22		301,560.00		310,359.00	8,799.00
	ANTHEM INC DTD 11/21/17 3.650 12/01/2027 CUSIP 036752AB9					
445,000.000	ALLISON SALARIED-RYAN LABS	94.9990	422,745.55	105.0790	467,601.55	44,856.00
445,000.000	TOTAL ANTHEM INC 3.650% 12/01/27		422,745.55		467,601.55	44,856.00
	APPLE INC DTD 02/09/17 3.350 02/09/2027 CUSIP 037833CJ7					
795,000.000	ALLISON SALARIED-RYAN LABS	97.8220	777,684.90	106.9120	849,950.40	72,265.50
795,000.000	TOTAL APPLE INC 3.350% 2/09/27		777,684.90		849,950.40	72,265.50
	APPLE INC DTD 05/13/15 4.375 05/13/2045 CUSIP 037833BH2					
780,000.000	ALLISON SALARIED-RYAN LABS	105.4971	822,877.50	121.0590	944,260.20	121,382.70
780,000.000	TOTAL APPLE INC 4.375% 5/13/45		822,877.50		944,260.20	121,382.70
	AT&T INC DTD 05/04/15 3.400 05/15/2025 CUSIP 00206RCN0					
855,000.000	ALLISON SALARIED-RYAN LABS	95.4503	816,100.35	104.3350	892,064.25	75,963.90
855,000.000	TOTAL AT&T INC 3.400% 5/15/25		816,100.35		892,064.25	75,963.90
	BAKER HUGHES LLC/CO-OBL DTD 12/11/17 4.080 12/15/2047 CUSIP 05723KAF7					
490,000.000	ALLISON SALARIED-RYAN LABS	90.7510	444,679.90	100.6520	493,194.80	48,514.90
490,000.000	TOTAL BAKER HUGHES LLC/CO- 4.080% 12/15/47		444,679.90		493,194.80	48,514.90

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	BANCO SANTANDER SA DTD 04/12/18 3.848 04/12/2023 CUSIP 05964HAG0					
260,000.000	ALLISON SALARIED-RYAN LABS	99.0170	257,444.20	104.2090	270,943.40	13,499.20
260,000.000	TOTAL BANCO SANTANDER SA 3.848% 4/12/23		257,444.20		270,943.40	13,499.20
	BANK OF AMERICA CORP DTD 12/20/17 3.004 12/20/2023 CUSIP 06051GHC6					
933,000.000	ALLISON SALARIED-RYAN LABS	97.0440	905,420.52	102.1270	952,844.91	47,424.39
933,000.000	TOTAL BANK OF AMERICA CORP 3.004% 12/20/23		905,420.52		952,844.91	47,424.39
	BERKSHIRE HATHAWAY FIN DTD 05/15/13 4.300 05/15/2043 CUSIP 084664BV2					
315,000.000	ALLISON SALARIED-RYAN LABS	101.0720	318,376.80	117.8310	371,167.65	52,790.85
315,000.000	TOTAL BERKSHIRE HATHAWAY 4.300% 5/15/43		318,376.80		371,167.65	52,790.85
	BP CAP MARKETS AMERICA DTD 11/04/18 3.119 05/04/2026 CUSIP 10373QAT7					
685,000.000	ALLISON SALARIED-RYAN LABS	92.9710	636,851.35	104.2600	714,181.00	77,329.65
685,000.000	TOTAL BP CAP MARKETS AMERI 3.119% 5/04/26		636,851.35		714,181.00	77,329.65
	BURLINGTN NORTH SANTA FE DTD 08/22/13 5.150 09/01/2043 CUSIP 12189LAP6					
340,000.000	ALLISON SALARIED-RYAN LABS	113.1271	384,632.30	127.9880	435,159.20	50,526.90
340,000.000	TOTAL BURLINGTN NORTH SANT 5.150% 9/01/43		384,632.30		435,159.20	50,526.90
	BURLINGTON RESOUR FINAN DTD 08/24/01 7.200 08/15/2031 CUSIP 12201PAB2					
235,000.000	ALLISON SALARIED-RYAN LABS	127.2830	299,115.05	141.1350	331,667.25	32,552.20
235,000.000	TOTAL BURLINGTON RESOUR 7.200% 8/15/31		299,115.05		331,667.25	32,552.20

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	CAPITAL ONE FINANCIAL CO DTD 01/29/19 3.900 01/29/2024 CUSIP 14040HCA1					
820,000.000	ALLISON SALARIED-RYAN LABS	102.0294	836,641.00	105.6500	866,330.00	29,689.00
820,000.000	TOTAL CAPITAL ONE FINANCIAL 3.900% 1/29/24		836,641.00		866,330.00	29,689.00
	CHARLES SCHWAB CORP DTD 05/22/18 3.850 05/21/2025 CUSIP 808513AX3					
425,000.000	ALLISON SALARIED-RYAN LABS	100.7070	428,004.75	107.8180	458,226.50	30,221.75
425,000.000	TOTAL CHARLES SCHWAB CORP 3.850% 5/21/25		428,004.75		458,226.50	30,221.75
	CNOOC FINANCE 2013 LTD DTD 05/09/13 3.000 05/09/2023 CUSIP 12625GAC8					
650,000.000	ALLISON SALARIED-RYAN LABS	95.8300	622,895.00	101.5550	660,107.50	37,212.50
650,000.000	TOTAL CNOOC FINANCE 2013 3.000% 5/09/23		622,895.00		660,107.50	37,212.50
	COMCAST CORP DTD 07/19/16 2.350 01/15/2027 CUSIP 20030NBW0					
870,000.000	ALLISON SALARIED-RYAN LABS	87.9920	765,530.40	99.5760	866,311.20	100,780.80
870,000.000	TOTAL COMCAST CORP 2.350% 1/15/27		765,530.40		866,311.20	100,780.80
	COMCAST CORP DTD 01/14/13 4.250 01/15/2033 CUSIP 20030NBH3					
570,000.000	ALLISON SALARIED-RYAN LABS	98.0320	558,782.40	115.0080	655,545.60	96,763.20
570,000.000	TOTAL COMCAST CORP 4.250% 1/15/33		558,782.40		655,545.60	96,763.20
	COMCAST CORP DTD 10/05/18 4.600 10/15/2038 CUSIP 20030NCL3					
395,000.000	ALLISON SALARIED-RYAN LABS	106.7550	421,682.25	119.4600	471,867.00	50,184.75
395,000.000	TOTAL COMCAST CORP 4.600% 10/15/38		421,682.25		471,867.00	50,184.75

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	COMMONWEALTH EDISON CO DTD 03/02/15 3.700 03/01/2045 CUSIP 202795JF8					
465,000.000	ALLISON SALARIED-RYAN LABS	91.9010	427,339.65	107.8520	501,511.80	74,172.15
465,000.000	TOTAL COMMONWEALTH EDISON 3.700% 3/01/45		427,339.65		501,511.80	74,172.15
	CONS EDISON CO OF NY DTD 03/06/14 4.450 03/15/2044 CUSIP 209111FD0					
235,000.000	ALLISON SALARIED-RYAN LABS	102.3660	240,560.10	116.8830	274,675.05	34,114.95
235,000.000	TOTAL CONS EDISON CO OF NY 4.450% 3/15/44		240,560.10		274,675.05	34,114.95
	CONSUMERS ENERGY CO DTD 08/10/16 3.250 08/15/2046 CUSIP 210518CZ7					
655,000.000	ALLISON SALARIED-RYAN LABS	85.5060	560,064.30	102.3070	670,110.85	110,046.55
655,000.000	TOTAL CONSUMERS ENERGY CO 3.250% 8/15/46		560,064.30		670,110.85	110,046.55
	CVS HEALTH CORP DTD 08/15/19 3.000 08/15/2026 CUSIP 126650DF4					
470,000.000	ALLISON SALARIED-RYAN LABS	100.7770	473,651.90	100.4960	472,331.20	1,320.70-
470,000.000	TOTAL CVS HEALTH CORP 3.000% 8/15/26		473,651.90		472,331.20	1,320.70-
	DOWDUPONT INC DTD 11/28/18 4.493 11/15/2025 CUSIP 26078JAC4					
360,000.000	ALLISON SALARIED-RYAN LABS	101.2660	364,557.60	110.5110	397,839.60	33,282.00
360,000.000	TOTAL DOWDUPONT INC 4.493% 11/15/25		364,557.60		397,839.60	33,282.00
	DUKE ENERGY CAROLINAS DTD 11/17/16 2.950 12/01/2026 CUSIP 26442CAS3					
420,000.000	ALLISON SALARIED-RYAN LABS	94.9640	398,848.80	103.6070	435,149.40	36,300.60
420,000.000	TOTAL DUKE ENERGY CAROLINA 2.950% 12/01/26		398,848.80		435,149.40	36,300.60

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	DUKE ENERGY INDIANA LLC DTD 05/12/16 3.750 05/15/2046 CUSIP 26443TAA4					
400,000.000	ALLISON SALARIED-RYAN LABS	91.4450	365,780.00	107.4330	429,732.00	63,952.00
400,000.000	TOTAL DUKE ENERGY INDIANA 3.750% 5/15/46		365,780.00		429,732.00	63,952.00
	EL PASO PIPELINE PART OP DTD 09/20/11 5.000 10/01/2021 CUSIP 28370TAE9					
645,000.000	ALLISON SALARIED-RYAN LABS	103.8980	670,142.10	104.5880	674,592.60	4,450.50
645,000.000	TOTAL EL PASO PIPELINE PAR 5.000% 10/01/21		670,142.10		674,592.60	4,450.50
	EQT CORP DTD 10/04/17 3.000 10/01/2022 CUSIP 26884LAE9					
410,000.000	ALLISON SALARIED-RYAN LABS	96.9220	397,380.20	96.2650	394,686.50	2,693.70-
410,000.000	TOTAL EQT CORP 3.000% 10/01/22		397,380.20		394,686.50	2,693.70-
	EXXON MOBIL CORPORATION DTD 08/16/19 2.440 08/16/2029 CUSIP 30231GBE1					
375,000.000	ALLISON SALARIED-RYAN LABS	100.7030	377,636.25	100.6400	377,400.00	236.25-
375,000.000	TOTAL EXXON MOBIL CORPORAT 2.440% 8/16/29		377,636.25		377,400.00	236.25-
	FLORIDA POWER & LIGHT DTD 12/09/10 5.250 02/01/2041 CUSIP 341081FD4					
345,000.000	ALLISON SALARIED-RYAN LABS	114.4350	394,800.75	131.0170	452,008.65	57,207.90
345,000.000	TOTAL FLORIDA POWER & LIGH 5.250% 2/01/41		394,800.75		452,008.65	57,207.90
	FORD MOTOR CREDIT CO LLC DTD 11/02/17 2.343 11/02/2020 CUSIP 345397YS6					
575,000.000	ALLISON SALARIED-RYAN LABS	97.2000	558,900.00	99.4140	571,630.50	12,730.50
575,000.000	TOTAL FORD MOTOR CREDIT CO 2.343% 11/02/20		558,900.00		571,630.50	12,730.50

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	FORD MOTOR CREDIT CO LLC DTD 09/08/14 3.664 09/08/2024 CUSIP 345397WW9					
460,000.000	ALLISON SALARIED-RYAN LABS	93.5150	430,169.00	98.4950	453,077.00	22,908.00
460,000.000	TOTAL FORD MOTOR CREDIT CO 3.664% 9/08/24		430,169.00		453,077.00	22,908.00
	GEORGIA POWER COMPANY DTD 03/06/12 4.300 03/15/2042 CUSIP 373334JW2					
425,000.000	ALLISON SALARIED-RYAN LABS	94.9660	403,605.50	109.9330	467,215.25	63,609.75
425,000.000	TOTAL GEORGIA POWER COMPAN 4.300% 3/15/42		403,605.50		467,215.25	63,609.75
	GILEAD SCIENCES INC DTD 09/20/16 4.000 09/01/2036 CUSIP 375558BJ1					
310,000.000	ALLISON SALARIED-RYAN LABS	96.3380	298,647.80	111.8490	346,731.90	48,084.10
310,000.000	TOTAL GILEAD SCIENCES INC 4.000% 9/01/36		298,647.80		346,731.90	48,084.10
	GOLDMAN SACHS GROUP INC DTD 01/24/12 5.750 01/24/2022 CUSIP 38141GGS7					
670,000.000	ALLISON SALARIED-RYAN LABS	106.7846	715,456.50	107.7390	721,851.30	6,394.80
670,000.000	TOTAL GOLDMAN SACHS GROUP 5.750% 1/24/22		715,456.50		721,851.30	6,394.80
	HOME DEPOT INC DTD 12/06/18 4.500 12/06/2048 CUSIP 437076BX9					
390,000.000	ALLISON SALARIED-RYAN LABS	104.4080	407,191.20	124.8980	487,102.20	79,911.00
390,000.000	TOTAL HOME DEPOT INC 4.500% 12/06/48		407,191.20		487,102.20	79,911.00
	INTEL CORP DTD 07/29/15 3.700 07/29/2025 CUSIP 458140AS9					
170,000.000	ALLISON SALARIED-RYAN LABS	100.6700	171,139.00	108.3590	184,210.30	13,071.30
170,000.000	TOTAL INTEL CORP 3.700% 7/29/25		171,139.00		184,210.30	13,071.30

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	INTEL CORP DTD 06/08/18 3.734 12/08/2047 CUSIP 458140BG4					
860,000.000	ALLISON SALARIED-RYAN LABS	96.0902	826,375.80	111.5190	959,063.40	132,687.60
860,000.000	TOTAL INTEL CORP 3.734% 12/08/47		826,375.80		959,063.40	132,687.60
	JOHN DEERE CAPITAL CORP MED TERM NOTE DTD 06/10/16 2.650 06/10/2026 CUSIP 24422ETH2					
165,000.000	ALLISON SALARIED-RYAN LABS	92.8410	153,187.65	102.9540	169,874.10	16,686.45
165,000.000	TOTAL JOHN DEERE CAPITAL 2.650% 6/10/26		153,187.65		169,874.10	16,686.45
	JOHN DEERE CAPITAL CORP DTD 07/18/19 2.800 07/18/2029 CUSIP 24422EUY3					
340,000.000	ALLISON SALARIED-RYAN LABS	103.1427	350,685.20	102.6770	349,101.80	1,583.40-
340,000.000	TOTAL JOHN DEERE CAPITAL 2.800% 7/18/29		350,685.20		349,101.80	1,583.40-
	JOHNSON & JOHNSON DTD 11/10/17 3.500 01/15/2048 CUSIP 478160CM4					
405,000.000	ALLISON SALARIED-RYAN LABS	91.6240	371,077.20	109.9820	445,427.10	74,349.90
405,000.000	TOTAL JOHNSON & JOHNSON 3.500% 1/15/48		371,077.20		445,427.10	74,349.90
	JOHNSON & JOHNSON DTD 12/05/13 4.375 12/05/2033 CUSIP 478160BJ2					
210,000.000	ALLISON SALARIED-RYAN LABS	106.4240	223,490.40	118.8500	249,585.00	26,094.60
210,000.000	TOTAL JOHNSON & JOHNSON 4.375% 12/05/33		223,490.40		249,585.00	26,094.60
	JPMORGAN CHASE & CO DTD 09/24/12 3.250 09/23/2022 CUSIP 46625HJE1					
405,000.000	ALLISON SALARIED-RYAN LABS	99.1160	401,419.80	103.3600	418,608.00	17,188.20
405,000.000	TOTAL JPMORGAN CHASE & CO 3.250% 9/23/22		401,419.80		418,608.00	17,188.20

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	JPMORGAN CHASE & CO DTD 07/21/15 3.900 07/15/2025 CUSIP 46625HMN7					
780,000.000	ALLISON SALARIED-RYAN LABS	99.9650	779,727.00	107.8170	840,972.60	61,245.60
780,000.000	TOTAL JPMORGAN CHASE & CO 3.900% 7/15/25		779,727.00		840,972.60	61,245.60
	KEYCORP MED TERM NOTE DTD 03/24/11 5.100 03/24/2021 CUSIP 49326EED1					
285,000.000	ALLISON SALARIED-RYAN LABS	104.0290	296,482.65	104.1740	296,895.90	413.25
285,000.000	TOTAL KEYCORP 5.100% 3/24/21		296,482.65		296,895.90	413.25
	KIMBERLY-CLARK CORP DTD 05/04/17 3.900 05/04/2047 CUSIP 494368BW2					
455,000.000	ALLISON SALARIED-RYAN LABS	95.7480	435,653.40	114.9670	523,099.85	87,446.45
455,000.000	TOTAL KIMBERLY-CLARK CORP 3.900% 5/04/47		435,653.40		523,099.85	87,446.45
	KIMCO REALTY CORP DTD 08/18/16 2.800 10/01/2026 CUSIP 49446RAP4					
365,000.000	ALLISON SALARIED-RYAN LABS	98.8570	360,828.05	100.5540	367,022.10	6,194.05
365,000.000	TOTAL KIMCO REALTY CORP 2.800% 10/01/26		360,828.05		367,022.10	6,194.05
	LOEWS CORP DTD 03/22/16 3.750 04/01/2026 CUSIP 540424AS7					
505,000.000	ALLISON SALARIED-RYAN LABS	101.3641	511,888.60	107.0550	540,627.75	28,739.15
505,000.000	TOTAL LOEWS CORP 3.750% 4/01/26		511,888.60		540,627.75	28,739.15
	LOWE'S COS INC DTD 05/03/17 3.100 05/03/2027 CUSIP 548661DP9					
410,000.000	ALLISON SALARIED-RYAN LABS	95.4340	391,279.40	103.2220	423,210.20	31,930.80
410,000.000	TOTAL LOWE'S COS INC 3.100% 5/03/27		391,279.40		423,210.20	31,930.80

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	MANUF & TRADERS TRUST CO DTD 08/17/17 3.400 08/17/2027 CUSIP 55279HAQ3					
325,000.000	ALLISON SALARIED-RYAN LABS	96.0680	312,221.00	107.2670	348,617.75	36,396.75
325,000.000	TOTAL MANUF & TRADERS TRUS 3.400% 8/17/27		312,221.00		348,617.75	36,396.75
	MARATHON PETROLEUM CORP DTD 10/02/18 4.750 12/15/2023 CUSIP 56585ABA9					
445,000.000	ALLISON SALARIED-RYAN LABS	105.9290	471,384.05	108.4720	482,700.40	11,316.35
445,000.000	TOTAL MARATHON PETROLEUM 4.750% 12/15/23		471,384.05		482,700.40	11,316.35
	MASTERCARD INC DTD 05/31/19 3.650 06/01/2049 CUSIP 57636QAL8					
595,000.000	ALLISON SALARIED-RYAN LABS	105.7592	629,267.30	113.2730	673,974.35	44,707.05
595,000.000	TOTAL MASTERCARD INC 3.650% 6/01/49		629,267.30		673,974.35	44,707.05
	MEDTRONIC INC DTD 03/15/15 4.375 03/15/2035 CUSIP 585055BT2					
312,000.000	ALLISON SALARIED-RYAN LABS	103.4170	322,661.04	120.3440	375,473.28	52,812.24
312,000.000	TOTAL MEDTRONIC INC 4.375% 3/15/35		322,661.04		375,473.28	52,812.24
	MICROSOFT CORP DTD 11/03/15 3.125 11/03/2025 CUSIP 594918BJ2					
415,000.000	ALLISON SALARIED-RYAN LABS	101.1370	419,718.55	106.3280	441,261.20	21,542.65
415,000.000	TOTAL MICROSOFT CORP 3.125% 11/03/25		419,718.55		441,261.20	21,542.65
	MICROSOFT CORP DTD 02/06/17 4.250 02/06/2047 CUSIP 594918CA0					
795,000.000	ALLISON SALARIED-RYAN LABS	106.0127	842,800.90	124.0620	986,292.90	143,492.00
795,000.000	TOTAL MICROSOFT CORP 4.250% 2/06/47		842,800.90		986,292.90	143,492.00

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	MIDAMERICAN ENERGY CO DTD 09/19/13 4.800 09/15/2043 CUSIP 595620AL9					
380,000.000	ALLISON SALARIED-RYAN LABS	108.2570	411,376.60	125.2940	476,117.20	64,740.60
380,000.000	TOTAL MIDAMERICAN ENERGY 4.800% 9/15/43		411,376.60		476,117.20	64,740.60
	NATIONAL RURAL UTIL COOP DTD 04/25/17 3.050 04/25/2027 CUSIP 637432NN1					
650,000.000	ALLISON SALARIED-RYAN LABS	94.4960	614,224.00	104.4970	679,230.50	65,006.50
650,000.000	TOTAL NATIONAL RURAL UTIL 3.050% 4/25/27		614,224.00		679,230.50	65,006.50
	NEVADA POWER CO DTD 01/30/19 3.700 05/01/2029 CUSIP 641423CC0					
435,000.000	ALLISON SALARIED-RYAN LABS	103.5790	450,568.65	109.3730	475,772.55	25,203.90
435,000.000	TOTAL NEVADA POWER CO 3.700% 5/01/29		450,568.65		475,772.55	25,203.90
	OMNICOM GROUP INC DTD 04/23/12 3.625 05/01/2022 CUSIP 681919AZ9					
625,000.000	ALLISON SALARIED-RYAN LABS	99.2258	620,161.35	103.6070	647,543.75	27,382.40
625,000.000	TOTAL OMNICOM GROUP INC 3.625% 5/01/22		620,161.35		647,543.75	27,382.40
	ORACLE CORP DTD 04/09/08 6.500 04/15/2038 CUSIP 68389XAE5					
320,000.000	ALLISON SALARIED-RYAN LABS	128.3930	410,857.60	143.1520	458,086.40	47,228.80
320,000.000	TOTAL ORACLE CORP 6.500% 4/15/38		410,857.60		458,086.40	47,228.80
	PACIFICORP DTD 10/03/07 6.250 10/15/2037 CUSIP 695114CG1					
330,000.000	ALLISON SALARIED-RYAN LABS	126.0680	416,024.40	140.6790	464,240.70	48,216.30
330,000.000	TOTAL PACIFICORP 6.250% 10/15/37		416,024.40		464,240.70	48,216.30

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	PEPSICO INC DTD 10/14/15 4.450 04/14/2046 CUSIP 713448DD7					
430,000.000	ALLISON SALARIED-RYAN LABS	105.4080	453,254.40	124.5780	535,685.40	82,431.00
430,000.000	TOTAL PEPSICO INC 4.450% 4/14/46		453,254.40		535,685.40	82,431.00
	PFIZER INC DTD 03/11/19 4.000 03/15/2049 CUSIP 717081EV1					
415,000.000	ALLISON SALARIED-RYAN LABS	102.2090	424,167.15	115.4320	479,042.80	54,875.65
415,000.000	TOTAL PFIZER INC 4.000% 3/15/49		424,167.15		479,042.80	54,875.65
	PNC BANK NA DTD 07/26/18 4.050 07/26/2028 CUSIP 69349LAR9					
425,000.000	ALLISON SALARIED-RYAN LABS	100.0790	425,335.75	110.3550	469,008.75	43,673.00
425,000.000	TOTAL PNC BANK NA 4.050% 7/26/28		425,335.75		469,008.75	43,673.00
	PPL CAPITAL FUNDING INC DTD 06/14/12 4.200 06/15/2022 CUSIP 69352PAD5					
430,000.000	ALLISON SALARIED-RYAN LABS	104.2280	448,180.40	104.5110	449,397.30	1,216.90
430,000.000	TOTAL PPL CAPITAL FUNDING 4.200% 6/15/22		448,180.40		449,397.30	1,216.90
	PPL ELECTRIC UTILITIES DTD 07/11/13 4.750 07/15/2043 CUSIP 69351UAR4					
290,000.000	ALLISON SALARIED-RYAN LABS	106.5620	309,029.80	123.8390	359,133.10	50,103.30
290,000.000	TOTAL PPL ELECTRIC UTILITI 4.750% 7/15/43		309,029.80		359,133.10	50,103.30
	PUBLIC SERVICE ELECTRIC MED TERM NOTE DTD 05/04/18 3.700 05/01/2028 CUSIP 74456QBU9					
840,000.000	ALLISON SALARIED-RYAN LABS	99.3670	834,682.80	109.4190	919,119.60	84,436.80
840,000.000	TOTAL PUBLIC SERVICE ELECT 3.700% 5/01/28		834,682.80		919,119.60	84,436.80

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	QUALCOMM INC DTD 05/26/17 3.250 05/20/2027 CUSIP 747525AU7					
585,000.000	ALLISON SALARIED-RYAN LABS	94.0239	550,040.05	104.4200	610,857.00	60,816.95
585,000.000	TOTAL QUALCOMM INC 3.250% 5/20/27		550,040.05		610,857.00	60,816.95
	RAYTHEON COMPANY DTD 10/20/10 4.875 10/15/2040 CUSIP 755111BU4					
175,000.000	ALLISON SALARIED-RYAN LABS	111.6600	195,405.00	126.0930	220,662.75	25,257.75
175,000.000	TOTAL RAYTHEON COMPANY 4.875% 10/15/40		195,405.00		220,662.75	25,257.75
	REGENCY ENERGY PARTNERS DTD 11/01/13 4.500 11/01/2023 CUSIP 75886AAJ7					
490,000.000	ALLISON SALARIED-RYAN LABS	101.3580	496,654.20	105.8850	518,836.50	22,182.30
490,000.000	TOTAL REGENCY ENERGY PARTN 4.500% 11/01/23		496,654.20		518,836.50	22,182.30
	SHELL INTERNATIONAL FIN DTD 09/12/16 2.500 09/12/2026 CUSIP 822582BX9					
240,000.000	ALLISON SALARIED-RYAN LABS	92.2610	221,426.40	101.6240	243,897.60	22,471.20
240,000.000	TOTAL SHELL INTERNATIONAL 2.500% 9/12/26		221,426.40		243,897.60	22,471.20
	SHIRE ACQ INV IRELAND DA DTD 09/23/16 2.400 09/23/2021 CUSIP 82481LAB5					
855,000.000	ALLISON SALARIED-RYAN LABS	96.4173	824,368.20	100.4630	858,958.65	34,590.45
855,000.000	TOTAL SHIRE ACQ INV IRELAN 2.400% 9/23/21		824,368.20		858,958.65	34,590.45
	SIMON PROPERTY GROUP LP DTD 06/01/17 3.375 06/15/2027 CUSIP 828807DC8					
410,000.000	ALLISON SALARIED-RYAN LABS	95.8170	392,849.70	105.5560	432,779.60	39,929.90
410,000.000	TOTAL SIMON PROPERTY GROUP 3.375% 6/15/27		392,849.70		432,779.60	39,929.90

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	SOUTHERN CAL EDISON DTD 03/24/17 4.000 04/01/2047 CUSIP 842400GG2					
210,000.000	ALLISON SALARIED-RYAN LABS	93.9300	197,253.00	107.2420	225,208.20	27,955.20
210,000.000	TOTAL SOUTHERN CAL EDISON 4.000% 4/01/47		197,253.00		225,208.20	27,955.20
	SOUTHERN CAL EDISON DTD 10/02/13 4.650 10/01/2043 CUSIP 842400FZ1					
400,000.000	ALLISON SALARIED-RYAN LABS	103.8490	415,396.00	115.2380	460,952.00	45,556.00
400,000.000	TOTAL SOUTHERN CAL EDISON 4.650% 10/01/43		415,396.00		460,952.00	45,556.00
	SOUTHWESTERN PUBLIC SERV DTD 11/05/18 4.400 11/15/2048 CUSIP 845743BS1					
440,000.000	ALLISON SALARIED-RYAN LABS	101.4190	446,243.60	119.5570	526,050.80	79,807.20
440,000.000	TOTAL SOUTHWESTERN PUBLIC 4.400% 11/15/48		446,243.60		526,050.80	79,807.20
	SOUTHWESTERN PUBLIC SERV DTD 08/10/11 4.500 08/15/2041 CUSIP 845743BN2					
565,000.000	ALLISON SALARIED-RYAN LABS	102.6080	579,735.20	117.6830	664,908.95	85,173.75
565,000.000	TOTAL SOUTHWESTERN PUBLIC 4.500% 8/15/41		579,735.20		664,908.95	85,173.75
	SPECTRA ENERGY PARTNERS DTD 09/25/13 4.750 03/15/2024 CUSIP 84756NAD1					
410,000.000	ALLISON SALARIED-RYAN LABS	105.5860	432,902.60	108.9370	446,641.70	13,739.10
410,000.000	TOTAL SPECTRA ENERGY PARTN 4.750% 3/15/24		432,902.60		446,641.70	13,739.10
	STATOIL ASA DTD 05/15/13 3.950 05/15/2043 CUSIP 85771PAL6					
390,000.000	ALLISON SALARIED-RYAN LABS	97.4170	379,926.30	114.1420	445,153.80	65,227.50
390,000.000	TOTAL STATOIL ASA 3.950% 5/15/43		379,926.30		445,153.80	65,227.50

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2019

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	TORONTO-DOMINION BANK DTD 07/19/18 3.500 07/19/2023 CUSIP 89114QC48					
455,000.000	ALLISON SALARIED-RYAN LABS	99.8150	454,158.25	105.4520	479,806.60	25,648.35
455,000.000	TOTAL TORONTO-DOMINION BAN 3.500% 7/19/23		454,158.25		479,806.60	25,648.35
	TOTAL CAPITAL INTL SA DTD 07/10/19 2.829 01/10/2030 CUSIP 89153VAT6					
355,000.000	ALLISON SALARIED-RYAN LABS	100.0900	355,319.50	102.7080	364,613.40	9,293.90
355,000.000	TOTAL TOTAL CAPITAL INTL 2.829% 1/10/30		355,319.50		364,613.40	9,293.90
	UNION ELECTRIC CO DTD 06/15/17 2.950 06/15/2027 CUSIP 906548CM2					
425,000.000	ALLISON SALARIED-RYAN LABS	93.6130	397,855.25	103.7120	440,776.00	42,920.75
425,000.000	TOTAL UNION ELECTRIC CO 2.950% 6/15/27		397,855.25		440,776.00	42,920.75
	UNION PACIFIC CORP DTD 06/08/18 3.750 07/15/2025 CUSIP 907818ES3					
435,000.000	ALLISON SALARIED-RYAN LABS	100.0060	435,026.10	107.6980	468,486.30	33,460.20
435,000.000	TOTAL UNION PACIFIC CORP 3.750% 7/15/25		435,026.10		468,486.30	33,460.20
	UNITED PARCEL SERVICE DTD 11/14/17 3.750 11/15/2047 CUSIP 911312BN5					
465,000.000	ALLISON SALARIED-RYAN LABS	92.5790	430,492.35	106.6450	495,899.25	65,406.90
465,000.000	TOTAL UNITED PARCEL SERVIC 3.750% 11/15/47		430,492.35		495,899.25	65,406.90
	UNITEDHEALTH GROUP INC DTD 07/23/15 4.625 07/15/2035 CUSIP 91324PCQ3					
255,000.000	ALLISON SALARIED-RYAN LABS	106.4490	271,444.95	120.8490	308,164.95	36,720.00
255,000.000	TOTAL UNITEDHEALTH GROUP 4.625% 7/15/35		271,444.95		308,164.95	36,720.00

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2019

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	VENTAS REALTY LP DTD 04/17/14 3.750 05/01/2024 CUSIP 92277GAD9					
320,000.000	ALLISON SALARIED-RYAN LABS	98.1310	314,019.20	105.4610	337,475.20	23,456.00
320,000.000	TOTAL VENTAS REALTY LP 3.750% 5/01/24		314,019.20		337,475.20	23,456.00
	VERIZON COMMUNICATIONS DTD 06/03/19 4.016 12/03/2029 CUSIP 92343VEU4					
438,000.000	ALLISON SALARIED-RYAN LABS	111.0930	486,587.34	111.1330	486,762.54	175.20
438,000.000	TOTAL VERIZON COMMUNICATIO 4.016% 12/03/29		486,587.34		486,762.54	175.20
	CITIGROUP INC DTD 01/24/18 01/24/2023 CUSIP 172967LV1					
870,000.000	ALLISON SALARIED-RYAN LABS	98.0760	853,261.20	101.8220	885,851.40	32,590.20
870,000.000	TOTAL VR CITIGROUP INC 3.142% 1/24/23		853,261.20		885,851.40	32,590.20
	JPMORGAN CHASE & CO DTD 07/24/17 07/24/2038 CUSIP 46647PAJ5					
680,000.000	ALLISON SALARIED-RYAN LABS	93.3990	635,113.20	110.4850	751,298.00	116,184.80
680,000.000	TOTAL VR JPMORGAN CHASE & 3.882% 7/24/38		635,113.20		751,298.00	116,184.80
	MORGAN STANLEY DTD 04/24/18 04/24/2024 CUSIP 61744YAQ1					
780,000.000	ALLISON SALARIED-RYAN LABS	99.1510	773,377.80	104.4360	814,600.80	41,223.00
780,000.000	TOTAL VR MORGAN STANLEY 3.737% 4/24/24		773,377.80		814,600.80	41,223.00
	WAL-MART STORES INC DTD 10/20/17 3.625 12/15/2047 CUSIP 931142DW0					
360,000.000	ALLISON SALARIED-RYAN LABS	93.5880	336,916.80	112.3320	404,395.20	67,478.40
360,000.000	TOTAL WAL-MART STORES INC 3.625% 12/15/47		336,916.80		404,395.20	67,478.40

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2019

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	WELLS FARGO & CO DTD 02/07/05 5.375 02/07/2035 CUSIP 949746JM4					
400,000.000	ALLISON SALARIED-RYAN LABS	111.7880	447,152.00	127.9110	511,644.00	64,492.00
400,000.000	TOTAL WELLS FARGO & CO 5.375% 2/07/35		447,152.00		511,644.00	64,492.00
	WELLS FARGO & COMPANY DTD 02/13/13 3.450 02/13/2023 CUSIP 94974BFJ4					
405,000.000	ALLISON SALARIED-RYAN LABS	98.2290	397,827.45	103.2710	418,247.55	20,420.10
405,000.000	TOTAL WELLS FARGO & COMPAN 3.450% 2/13/23		397,827.45		418,247.55	20,420.10
	WELLS FARGO & COMPANY DTD 03/08/12 3.500 03/08/2022 CUSIP 94974BFC9					
675,000.000	ALLISON SALARIED-RYAN LABS	99.8560	674,028.00	103.1870	696,512.25	22,484.25
675,000.000	TOTAL WELLS FARGO & COMPAN 3.500% 3/08/22		674,028.00		696,512.25	22,484.25
	WELLS FARGO & COMPANY MED TERM NOTE DTD 07/22/15 4.300 07/22/2027 CUSIP 94974BGL8					
760,000.000	ALLISON SALARIED-RYAN LABS	99.6210	757,119.60	109.5620	832,671.20	75,551.60
760,000.000	TOTAL WELLS FARGO & COMPAN 4.300% 7/22/27		757,119.60		832,671.20	75,551.60
	WELLTOWER INC DTD 02/15/19 3.625 03/15/2024 CUSIP 95040QAG9					
365,000.000	ALLISON SALARIED-RYAN LABS	100.9640	368,518.60	104.9900	383,213.50	14,694.90
365,000.000	TOTAL WELLTOWER INC 3.625% 3/15/24		368,518.60		383,213.50	14,694.90
	WESTPAC BANKING CORP DTD 05/13/16 2.850 05/13/2026 CUSIP 961214CX9					
410,000.000	ALLISON SALARIED-RYAN LABS	97.0080	397,732.80	103.2800	423,448.00	25,715.20
410,000.000	TOTAL WESTPAC BANKING CORP 2.850% 5/13/26		397,732.80		423,448.00	25,715.20
	TOTAL CORPORATE BONDS		48,464,963.89		53,088,156.13	4,623,192.24

ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2019ALLISON SALARIED CONSOLIDATED
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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
CORPORATE BACKED OBLIGATION						
	CHRYSLER CAPITAL AUTO RECEIVAB SER 2016-BA CL D *0 DAY DELAY* 144A PRIV PLCMT 3.510 09/15/2023 CUSIP 17119BAG2					
390,000.000	ALLISON SALARIED-RYAN LABS	98.8530	385,526.70	101.5850	396,181.50	10,654.80
390,000.000	TOTAL CHRYSLER CAPITAL AUT 3.510% 9/15/23		385,526.70		396,181.50	10,654.80
	HERTZ VEHICLE FINANCING LLC SER 2016-4A CL A *0 DAY DELAY* 144A PRIV PLCMT 2.650 07/15/2022 CUSIP 42806DBC2					
340,000.000	ALLISON SALARIED-RYAN LABS	97.2812	330,756.25	100.3530	341,200.20	10,443.95
340,000.000	TOTAL HERTZ VEHICLE FINANC 2.650% 7/15/22		330,756.25		341,200.20	10,443.95
	SIERRA RECEIVABLES FUNDING CO SER 2016-3A CL B *0 DAY DELAY* 144A PRIV PLCMT 2.630 10/20/2033 CUSIP 82652YAB0					
91,416.720	ALLISON SALARIED-RYAN LABS	96.8290	88,517.91	99.6680	91,113.22	2,595.31
91,416.720	TOTAL SIERRA RECEIVABLES 2.630% 10/20/33		88,517.91		91,113.22	2,595.31
	FINANCE AMER STRUCTURED SECS TR JR2 SER 2019-JR3 SER 2019-JR3 CL A *0 DAY DELAY* 144A PRIV PLCMT 09/25/2069 CUSIP 31739LAA4					
410,000.000	ALLISON SALARIED-RYAN LABS	101.1201	414,592.41	101.1201	414,592.41	0.00
410,000.000	TOTAL VR FINANCE AMER STRU 1.000% 9/25/69		414,592.41		414,592.41	0.00
	TOTAL CORPORATE BACKED OBLIGATION		1,219,393.27		1,243,087.33	23,694.06
MORTGAGE BACKED OBLIGATION						

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2019

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	CREDIT SUISSE MORTGAGE TRUST SER 2014-USA CL D *14 DAY DELAY* 144A PRIV PLCMT 4.373 09/15/2037 CUSIP 12649AAN9					
230,000.000	ALLISON SALARIED-RYAN LABS	94.8830	218,230.90	99.6090	229,100.70	10,869.80
230,000.000	TOTAL CREDIT SUISSE MORTG 4.3733% 9/15/37		218,230.90		229,100.70	10,869.80
	GOVT NATL MTG ASSN SER 2018-47 CL CA *15 DAY DELAY* DTD 03/01/18 2.500 01/16/2049 CUSIP 38380JVK1					
310,601.450	ALLISON SALARIED-RYAN LABS	95.7060	297,264.22	100.7410	312,903.01	15,638.79
310,601.450	TOTAL GOVT NATL MTG ASSN 2.500% 1/16/49		297,264.22		312,903.01	15,638.79
	GOVT NATL MTG ASSN SER 2018-26 CL AD *15 DAY DELAY* DTD 02/01/18 2.500 03/16/2052 CUSIP 38380JPZ5					
294,110.290	ALLISON SALARIED-RYAN LABS	95.2020	279,998.88	100.0430	294,236.76	14,237.88
294,110.290	TOTAL GOVT NATL MTG ASSN 2.500% 3/16/52		279,998.88		294,236.76	14,237.88
	GOVT NATL MTG ASSN SER 2017-44 CL B *15 DAY DELAY* DTD 03/01/17 2.600 04/17/2050 CUSIP 38379RPQ0					
200,000.000	ALLISON SALARIED-RYAN LABS	85.3690	170,738.00	98.4480	196,896.00	26,158.00
200,000.000	TOTAL GOVT NATL MTG ASSN 2.600% 4/17/50		170,738.00		196,896.00	26,158.00
	GOVT NATL MTG ASSN SER 2017-106 CL AE *15 DAY DELAY* DTD 07/01/17 2.600 12/16/2056 CUSIP 38379RT37					
270,000.000	ALLISON SALARIED-RYAN LABS	86.5560	233,701.20	98.8760	266,965.20	33,264.00
330,000.000	ALLISON SALARIED-RYAN LABS	83.7030	276,219.90	98.3960	324,706.80	48,486.90
600,000.000	TOTAL GOVT NATL MTG ASSN 2.600% 12/16/56		509,921.10		591,672.00	81,750.90

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2019**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	GOVT NATL MTG ASSN SER 2018-157 CL AM *15 DAY DELAY* DTD 11/01/18 3.000 11/16/2060 CUSIP 38380MWS6					
<u>402,610.770</u>	ALLISON SALARIED-RYAN LABS	92.2812	<u>371,534.25</u>	101.1940	<u>407,417.94</u>	<u>35,883.69</u>
402,610.770	TOTAL GOVT NATL MTG ASSN 3.000% 11/16/60		371,534.25		407,417.94	35,883.69
	GS MORTGAGE SECURITIES TRUST SER 2019-GC42 CL A4 *9 DAY DELAY* DTD 09/01/19 3.001 09/01/2052 CUSIP 36257UAL1					
<u>370,000.000</u>	ALLISON SALARIED-RYAN LABS	102.9992	<u>381,097.04</u>	104.2470	<u>385,713.90</u>	<u>4,616.86</u>
370,000.000	TOTAL GS MORTGAGE SECURIT 3.0005% 9/01/52		381,097.04		385,713.90	4,616.86
	JP MORGAN CHASE COMMERCIAL MOR SER 2017-JP7 CL C *14 DAY DELAY* DTD 07/01/17 3.807 09/15/2050 CUSIP 465968AL9					
<u>235,000.000</u>	ALLISON SALARIED-RYAN LABS	94.3680	<u>221,764.80</u>	103.4270	<u>243,053.45</u>	<u>21,288.65</u>
235,000.000	TOTAL JP MORGAN CHASE CO 3.80685% 9/15/50		221,764.80		243,053.45	21,288.65
	CIM TRUST SER 2018-R3 CL A2 *24 DAY DELAY* 144A PRIV PLCMT 09/25/2057 CUSIP 12553WAB1					
<u>390,000.000</u>	ALLISON SALARIED-RYAN LABS	99.0749	<u>386,392.11</u>	99.1110	<u>386,532.90</u>	<u>140.79</u>
390,000.000	TOTAL VR CIM TRUST 4.000% 9/25/57		386,392.11		386,532.90	140.79
	CSAIL COMMERCIAL MORTGAGE TRUS SER 2015-C3 CL B *14 DAY DELAY* DTD 08/01/15 08/15/2048 CUSIP 12635FAY0					
<u>550,000.000</u>	ALLISON SALARIED-RYAN LABS	99.1900	<u>545,545.00</u>	105.3780	<u>579,579.00</u>	<u>34,034.00</u>
550,000.000	TOTAL VR CSAIL COMMERCIA 4.2496% 8/15/48		545,545.00		579,579.00	34,034.00



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
Attachments to Schedule H (2018 Form 5500)
Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

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ASSET DETAIL REVALUED COST
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ALLISON SALARIED CONSOLIDATED
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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	JP MORGAN CHASE COMMERCIAL MOR SER 2016-NINE CL A *5 DAY DELAY* 144A PRIV PLCMT 10/06/2038 CUSIP 46646GAA5					
<u>450,000.000</u>	ALLISON SALARIED-RYAN LABS	93.7100	<u>421,695.00</u>	103.1190	<u>464,035.50</u>	<u>42,340.50</u>
450,000.000	TOTAL VR JP MORGAN CHASE 2.8541% 10/06/38		421,695.00		464,035.50	42,340.50
	JP MORGAN CHASE COMMERCIAL MOR SER 2015-FRR2 CL AK39 *26 DAY DELAY* 144A PRIV PLCMT 08/27/2047 CUSIP 46644KAG5					
<u>460,000.000</u>	ALLISON SALARIED-RYAN LABS	88.7344	<u>408,178.13</u>	97.9010	<u>450,344.60</u>	<u>42,166.47</u>
460,000.000	TOTAL VR JP MORGAN CHASE 2.94032% 8/27/47		408,178.13		450,344.60	42,166.47
	SFAVE COMMERCIAL MORTGAGE SECU SER 2015-5AVE CL B *4 DAY DELAY* 144A PRIV PLCMT 01/05/2035 CUSIP 78413MAL2					
<u>390,000.000</u>	ALLISON SALARIED-RYAN LABS	93.8720	<u>366,100.80</u>	105.4570	<u>411,282.30</u>	<u>45,181.50</u>
390,000.000	TOTAL VR SFAVE COMMERCIA 4.38801% 1/05/35		366,100.80		411,282.30	45,181.50
	SHOPS AT CRYSTALS TRUST 2016-C SER 2016-CSTL CL D *4 DAY DELAY* 144A PRIV PLCMT 07/05/2036 CUSIP 82510WAL4					
<u>420,000.000</u>	ALLISON SALARIED-RYAN LABS	89.9200	<u>377,664.00</u>	96.2440	<u>404,224.80</u>	<u>26,560.80</u>
420,000.000	TOTAL VR SHOPS AT CRYSTA 3.7311% 7/05/36		377,664.00		404,224.80	26,560.80
	WELLS FARGO COMMERCIAL MORTGAG SER 2016-LC25 CL B *14 DAY DELAY* DTD 12/01/16 4.437 12/15/2059 CUSIP 95000JBA5					
<u>95,000.000</u>	ALLISON SALARIED-RYAN LABS	101.3120	<u>96,246.40</u>	110.1120	<u>104,606.40</u>	<u>8,360.00</u>
95,000.000	TOTAL WELLS FARGO COMMERC 4.4367% 12/15/59		96,246.40		104,606.40	8,360.00
	TOTAL MORTGAGE BACKED OBLIGATION		5,052,370.63		5,461,599.26	409,228.63
	PRIVATE PLACEMENT					

ASSET DETAIL REVALUED COST
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ALLISON SALARIED CONSOLIDATED
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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	AVIATION CAPITAL GROUP 144A PRIV PLCMT 3.875 05/01/2023 CUSIP 05369AAC5					
425,000.000	ALLISON SALARIED-RYAN LABS	98.9490	420,533.25	103.4110	439,496.75	18,963.50
425,000.000	TOTAL P/P AVIATION CAPITAL 3.875% 5/01/23		420,533.25		439,496.75	18,963.50
	BOSTON GAS COMPANY 144A PRIV PLCMT 3.001 08/01/2029 CUSIP 100743AL7					
445,000.000	ALLISON SALARIED-RYAN LABS	102.2440	454,985.80	103.0010	458,354.45	3,368.65
445,000.000	TOTAL P/P BOSTON GAS COMPA 3.001% 8/01/29		454,985.80		458,354.45	3,368.65
	ELECTRICITE DE FRANCE SA 144A PRIV PLCMT 4.950 10/13/2045 CUSIP 268317AQ7					
155,000.000	ALLISON SALARIED-RYAN LABS	97.9640	151,844.20	117.9340	182,797.70	30,953.50
155,000.000	TOTAL P/P ELECTRICITE DE 4.950% 10/13/45		151,844.20		182,797.70	30,953.50
	ENEL FINANCE INTL NV 144A PRIV PLCMT 3.500 04/06/2028 CUSIP 29278GAF5					
335,000.000	ALLISON SALARIED-RYAN LABS	88.0240	294,880.40	102.3960	343,026.60	48,146.20
335,000.000	TOTAL P/P ENEL FINANCE INT 3.500% 4/06/28		294,880.40		343,026.60	48,146.20
	HYUNDAI CAPITAL AMERICA 144A PRIV PLCMT 3.000 10/30/2020 CUSIP 44891AAD9					
435,000.000	ALLISON SALARIED-RYAN LABS	98.4369	428,200.60	100.4750	437,066.25	8,865.65
435,000.000	TOTAL P/P HYUNDAI CAPITAL 3.000% 10/30/20		428,200.60		437,066.25	8,865.65
	MET LIFE GLOB FUNDING I 144A PRIV PLCMT 3.000 01/10/2023 CUSIP 59217GAX7					
340,000.000	ALLISON SALARIED-RYAN LABS	97.9530	333,040.20	102.8140	349,567.60	16,527.40
340,000.000	TOTAL P/P MET LIFE GLOB FU 3.000% 1/10/23		333,040.20		349,567.60	16,527.40

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2019

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	NXP BV/NXP FUNDING LLC 144A PRIV PLCMT 4.125 06/01/2021 CUSIP 62947QAU2					
120,000.000	ALLISON SALARIED-RYAN LABS	101.0199	121,223.90	102.6030	123,123.60	1,899.70
120,000.000	TOTAL P/P NXP BV/NXP FUNDI 4.125% 6/01/21		121,223.90		123,123.60	1,899.70
	ONCOR ELECTRIC DELIVERY 144A PRIV PLCMT 3.800 06/01/2049 CUSIP 68233JBN3					
445,000.000	ALLISON SALARIED-RYAN LABS	99.4310	442,467.95	112.1150	498,911.75	56,443.80
445,000.000	TOTAL P/P ONCOR ELECTRIC 3.800% 6/01/49		442,467.95		498,911.75	56,443.80
	SIEMENS FINANCIERINGSMAT 144A PRIV PLCMT 3.400 03/16/2027 CUSIP 82620KAU7					
865,000.000	ALLISON SALARIED-RYAN LABS	98.4757	851,815.00	106.2070	918,690.55	66,875.55
865,000.000	TOTAL P/P SIEMENS FINANCIE 3.400% 3/16/27		851,815.00		918,690.55	66,875.55
	SPRINT SPECTRUM / SPEC I 144A PRIV PLCMT 3.360 03/20/2023 CUSIP 85208NAA8					
235,000.000	ALLISON SALARIED-RYAN LABS	99.6250	234,118.76	100.3750	235,881.25	1,762.49
235,000.000	TOTAL P/P SPRINT SPECTRUM 3.360% 3/20/23		234,118.76		235,881.25	1,762.49
	TOTAL PRIVATE PLACEMENT		3,733,110.06		3,986,916.50	253,806.44
	TOTAL BONDS & NOTES		82,156,331.85		93,510,609.97	11,354,278.12
	MUTUAL FUNDS					
	MUTUAL FUNDS- EQUITY					
	BLACKSTONE ALTERNATIVE MULTI-STRATEGY FUND CLASS I CUSIP 09257V201					
195,808.344	ALLISON SALARIED MUTUAL FUNDS	10.8414	2,122,844.51	10.8400	2,122,562.45	282.06-
195,808.344	TOTAL BLACKSTONE ALT MULTI-STRAT-I		2,122,844.51		2,122,562.45	282.06-

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2019

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	DFA EMERGING MARKETS CORE EQUITY FUND CLASS I #5272 CUSIP 233203421					
45,862.573	ALLISON SALARIED MUTUAL FUNDS	20.6903	948,912.57	19.8000	908,078.95	40,833.62-
45,862.573	TOTAL DFA EMERG MKTS CORE EQTY FD I #5272		948,912.57		908,078.95	40,833.62-
	EATON VANCE ATLANTA CAPITAL SMID-CAP FUND CLASS R6 #1016 CUSIP 277902235					
85,133.693	ALLISON SALARIED MUTUAL FUNDS	37.8474	3,222,087.35	38.9300	3,314,254.67	92,167.32
85,133.693	TOTAL EATON VANCE-ATLANTA SMID-R6 #1016		3,222,087.35		3,314,254.67	92,167.32
	HARTFORD INTERNATIONAL OPPORTUNITIES FUND CLASS Y CUSIP 416645885					
178,781.167	ALLISON SALARIED MUTUAL FUNDS	16.9290	3,026,580.79	16.0500	2,869,437.73	157,143.06-
178,781.167	TOTAL HARTFORD INTL OPPORT-Y		3,026,580.79		2,869,437.73	157,143.06-
	PIMCO COMMODITY REAL RETURN STRATEGY FUND-CLASS I #45 CUSIP 722005667					
160,835.310	ALLISON SALARIED MUTUAL FUNDS	6.3658	1,023,849.46	5.6600	910,327.85	113,521.61-
160,835.310	TOTAL PIMCO COMMODITY REAL RET STRAT-I#45		1,023,849.46		910,327.85	113,521.61-
	PRINCIPAL INVESTORS REAL ESTATE SECURITIES INSTL FUND #4934 CUSIP 742530580					
45,250.934	ALLISON SALARIED MUTUAL FUNDS	23.9512	1,083,813.35	28.0200	1,267,931.17	184,117.82
45,250.934	TOTAL PRINCIPAL INV R/E SEC-IS FUND 4934		1,083,813.35		1,267,931.17	184,117.82
	TOTAL MUTUAL FUNDS- EQUITY		11,428,088.03		11,392,592.82	35,495.21-
	TOTAL MUTUAL FUNDS		11,428,088.03		11,392,592.82	35,495.21-
	TOTAL ASSETS AND LIABILITIES		99,357,666.91		110,862,938.19	11,505,271.28



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
Attachments to Schedule H (2018 Form 5500)
Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

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ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2019

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	ACCRUED INCOME		600,004.43		600,004.43	
	NET ADJUSTED ASSETS		99,957,671.34		111,462,942.62	11,505,271.28

Schedule SB, line 24 — Change in Actuarial Assumptions

- Expenses were updated from \$290,000 to \$340,000.
- Actuarial value basis was updated from 2017 417(e) static mortality and October 2017 segment rates (2.05%/3.61%/4.27%) to 2018 417(e) static mortality and July 2018 segment rates (3.15%/4.20%/4.47%).
- Form of payment assumption for current and future vested deferred participants retiring was updated from 75% lump sum to 60% lump sum.
- Withdrawal rates, retirement rates, and spouse assumptions were updated based on the 2019 ATI experience study using data from 2015 through 2018.