| For | m 5500-SF Short Form Annual Return/Report of Small Emp | | | | oyee | OMB Nos. 1210 1210 | 0-0110 0-0089 | | |
|--|--|---|-----------------------------|---|---|------------------------------|------------------|--|--|
| | rtment of the Treasury nal Revenue Service | Benefit Plan This form is required to be filed under sections 104 and 4065 of the Employee Re | | | etirement | 2018 | | | |
| Department of Labor Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of th Employee Benefits Security Administration Revenue Code (the Code). | | | | | | This Form is Open | | | |
| Pension Be | enefit Guaranty Corporation | Complete all entries in activity | cordance with the instr | uctions to the Form 55 | 00-SF. | Public Inspection | n | | |
| Part I | | dentification Information | | | | | | | |
| For calenda | ar plan year 2018 or fis | cal plan year beginning 07/01/20 | | | 6/30/2019 | | | | |
| A This return/report is for: | | | | | | - | | | |
| | <i>i</i> | a one-participant plan | a foreign plan | | | | | | |
| B This retu | urn/report is | the first return/report the final return/report | | | | | | | |
| | | an amended return/report | a short plan year return | a short plan year return/report (less than 12 months) | | | | | |
| C Check b | box if filing under: | X Form 5558 | automatic extension | | DFVC program | | | | |
| | | special extension (enter descrip | otion) | | | | | | |
| Part II | Basic Plan Infor | mation—enter all requested info | rmation | | | | | | |
| 1a Name | | | | | 1b Thre | 0 | | | |
| SEATTLE S | YMPHONY ADMINISTI | RATIVE STAFF PENSION PLAN | | | plan (PN) | number 002 | | | |
| | | | | | () | tive date of plan | | | |
| | | (an if for a single analysis alon) | | | | 07/01/1973 | | | |
| Mailing | address (include room | rer, if for a single-employer plan) n, apt., suite no. and street, or P.O. | | | 2b Employer Identification Number (EIN) 91-0667412 | | | | |
| | town, state or province | e, country, and ZIP or foreign postal RA | code (if foreign, see instr | uctions) | () | C Sponsor's telephone number | | | |
| | | | | | 2d Business code (see instructions) | | | | |
| PO BOX 219 | | | | | 711100 | | | | |
| SEATTLE, W | IA 98111 | | | | | | | | |
| 3a Plan a | dministrator's name and | d address 🛛 Same as Plan Spons | or. | | 3b Administrator's EIN | | | | |
| | | | | | 0 | | <u> </u> | | |
| | | | | | 3C Admi | nistrator's telephone nu | Imber | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | plan sponsor or the plan name has | | | 4b EIN | | | | |
| • | an, enter the plan spon or's name | sor's name, EIN, the plan name an | d the plan number from th | he last return/report. | 4d PN | | | | |
| C Plan N | | | | | | | | | |
| | | | | | | | | | |
| 5a Total r | number of participants a | at the beginning of the plan year | | | 5a | | 63 | | |
| | | at the end of the plan year | | | 5b | | 63 | | |
| C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) | | | | | 5c | 26 | | | |
| d(1) Total number of active participants at the beginning of the plan year | | | | | 5d(1) | 51 | | | |
| d(2) Total number of active participants at the end of the plan year | | | | | 5d(2) | | 49 | | |
| Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested | | | | | 5e | | 0 | | |
| Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. | | | | | | | | | |
| Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete. | | | | | | | | | |
| SIGN | | valid electronic signature. | | | | 3 | | | |
| HERE | Signature of plan ac | Iministrator | Date | Enter name of individu | ual signing | as plan administrator | | | |
| SIGN | 5 | | | | | | | | |
| HERE | Signature of employ | ver/plan sponsor | Date | Enter name of individu | vidual signing as employer or plan sponsor | | | | |
| L | | | | | aa siyiliiliy | | 01301 | | |

For Paperwork Reduction Act Notice, see the Instructions for Form 5500-SF.

Form 5500-SF (2018) v.171027

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| 6a | Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) | | | | | | | |
|----|---|-------|-----------------------|-----------------|--|--|--|--|
| b | under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) | | | | | | | |
| c | If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year (See instructions.) | | | | | | | |
| Pa | rt III Financial Information | | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning of Year | (b) End of Year | | | | |
| а | Total plan assets | 7a | 1282264 | 1361808 | | | | |
| b | Total plan liabilities | 7b | | | | | | |
| С | Net plan assets (subtract line 7b from line 7a) | 7c | 1282264 | 1361808 | | | | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | (b) Total | | | | |
| а | Contributions received or receivable from: (1) Employers | 8a(1) | 17295 | | | | | |
| | (2) Participants | 8a(2) | | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | | | | | |
| b | Other income (loss) | 8b | 69988 | | | | | |
| C | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | 87283 | | | | |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | 6552 | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | | | | | |

8f

8g

8h

8i

8j

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part V Compliance Questions

3D

2Ť

Part IV | Plan Characteristics

2C 2F

Administrative service providers (salaries, fees, commissions) ...

g Other expenses

h Total expenses (add lines 8d, 8e, 8f, and 8g)

i Net income (loss) (subtract line 8h from line 8c)

Transfers to (from) the plan (see instructions).....

f

j

9a

b

2G

| 10 | During the plan year: | | | | Amount | | |
|----|---|-----|---|---|---------|--|--|
| а | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | 10a | | Х | | | |
| b | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) | 10b | | Х | | | |
| С | Was the plan covered by a fidelity bond? | 10c | X | | 1000000 | | |
| d | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | 10d | | Х | | | |
| е | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.). | 10e | | X | | | |
| f | Has the plan failed to provide any benefit when due under the plan? | 10f | | Х | | | |
| g | Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) | 10g | Х | | 25580 | | |
| h | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | 10h | | Х | | | |
| i | If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | 10i | | | | | |

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| Part | t VI Pension Funding Compliance | | | | | | | |
|--|---|--------|--------|------------|-------------------|---------------|--------|--|
| 11 | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and co (Form 5500) and line 11a below) | | | | | Yes | s 🗙 No | |
| 11a | a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 | | 11a | | | | | |
| 12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA? | | | | | × | Ye | s 🗌 No | |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | |
| а | a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instr granting the waiver | | | | of the let Yea | | uling | |
| lf | f you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13 | 3. | | - | | | | |
| b | 9 Enter the minimum required contribution for this plan year | | 12b | | | | 17295 | |
| C Enter the amount contributed by the employer to the plan for this plan year | | | | | 17295 | | | |
| d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | | | | | 0 | | | |
| e | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | X | Yes | No | | N/A | |
| Part | t VII Plan Terminations and Transfers of Assets | | | | | | | |
| 13a | a Has a resolution to terminate the plan been adopted in any plan year? | | | Yes | s X | No | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | | 13a | | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough control of the PBGC? | | | . Yes 🗙 No | | | | |
| С | | |) to | | | | | |
| 1 | 13c(1) Name of plan(s): | 13c(2) | EIN(s) | | 130 | :(3) F | N(s) | |
| | | | | | | | | |