

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ► Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2016 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information		
For calendar plan year 2016 or fiscal plan year beginning <u>10/01/2016</u> and ending <u>09/30/2017</u>			
A This return/report is for:	☐ a multiemployer plan	☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)	
	☒ a single-employer plan	☐ a DFE (specify) _____	
B This return/report is:	☐ the first return/report	☐ the final return/report	
	☒ an amended return/report	☐ a short plan year return/report (less than 12 months)	
C If the plan is a collectively-bargained plan, check here.	☐		
D Check box if filing under:	☒ Form 5558	☐ automatic extension	☐ the DFVC program
	☐ special extension (enter description)		

Part II	Basic Plan Information —enter all requested information		
1a Name of plan	<u>PHARMACHEM LABORATORIES, INC. EMPLOYEE STOCK OWNERSHIP PLAN</u>		1b Three-digit plan number (PN) ► <u>002</u>
			1c Effective date of plan <u>10/01/1998</u>
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>PHARMACHEM LABORATORIES, INC.</u> <u>265 HARRISON AVENUE</u> <u>KEARNEY, NJ 07032</u>			2b Employer Identification Number (EIN) <u>22-1625213</u>
			2c Plan Sponsor's telephone number <u>201-246-1000</u>
			2d Business code (see instructions) <u>311900</u>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	07/16/2020	DENISE A. BRADY
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address (include room or suite number)			Preparer's telephone number

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2016)
v. 160205

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN
	3c Administrator's telephone number
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
5 Total number of participants at the beginning of the plan year	5 314
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).	
a(1) Total number of active participants at the beginning of the plan year.....	6a(1) 230
a(2) Total number of active participants at the end of the plan year	6a(2) 217
b Retired or separated participants receiving benefits.....	6b 18
c Other retired or separated participants entitled to future benefits	6c 75
d Subtotal. Add lines 6a(2) , 6b , and 6c	6d 310
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	6e 4
f Total. Add lines 6d and 6e	6f 314
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	6g 314
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	6h 0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2I 2P 3I	
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ <u>0</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2016 Form M-1 annual report. If the plan was not required to file the 2016 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2016
		This Form is Open to Public Inspection.

For calendar plan year 2016 or fiscal plan year beginning 10/01/2016 and ending 09/30/2017	
A Name of plan PHARMACHEM LABORATORIES, INC. EMPLOYEE STOCK OWNERSHIP PLAN	B Three-digit plan number (PN) ▶ 002
C Plan sponsor's name as shown on line 2a of Form 5500 PHARMACHEM LABORATORIES, INC.	D Employer Identification Number (EIN) 22-1625213

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WILMINGTON TRUST

51-0099033

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
24 27	NONE	52053	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110
		2016
		This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 10/01/2016 and ending 09/30/2017		
A Name of plan PHARMACHEM LABORATORIES, INC. EMPLOYEE STOCK OWNERSHIP PLAN	B Three-digit plan number (PN) ►	002
C Plan sponsor's name as shown on line 2a of Form 5500 PHARMACHEM LABORATORIES, INC.	D Employer Identification Number (EIN) 22-1625213	

Part I	Asset and Liability Statement
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1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	69150
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)		
(2) Participant contributions	1b(2)		
(3) Other.....	1b(3)	0	437654
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	257215	71198326
(2) U.S. Government securities	1c(2)	1716862	0
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other.....	1c(3)(B)	1257490	64471732
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common.....	1c(4)(B)	1783702	0
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans.....	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities.....	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	2530544	65929660
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other	1c(15)	29952	0

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)	95626449	0
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	103202214	202106522

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	103202214	202106522
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Part II Income and Expense Statement

- 2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)		
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		0
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	845	
(B) U.S. Government securities	2b(1)(B)	29741	
(C) Corporate debt instruments	2b(1)(C)	86423	
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)	1457	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		118466
(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)	36740	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	552213	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		588953
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	104017976	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	46477961	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		57540015
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	-49167	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		-49167

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		62465
c Other income.....	2c		40695629
d Total income. Add all income amounts in column (b) and enter total.....	2d		98956361

Expenses**e** Benefit payment and payments to provide benefits:

(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)		
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		0
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)		
(2) Contract administrator fees.....	2i(2)		
(3) Investment advisory and management fees.....	2i(3)		
(4) Other	2i(4)	52053	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		52053
j Total expenses. Add all expense amounts in column (b) and enter total	2j		52053

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		98904308
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unqualified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☐ Yes ☒ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: BDO USA, LLP

(2) EIN: 13-5381590

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b		X	

		Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e Was this plan covered by a fidelity bond?	4e	X		1000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l Has the plan failed to provide any benefit when due under the plan?	4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			
o Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?	4o			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year (See instructions.)

Part V Trust Information

6a Name of trust	6b Trust's EIN
6c Name of trustee or custodian	6d Trustee's or custodian's telephone number

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2016 This Form is Open to Public Inspection.
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For calendar plan year 2016 or fiscal plan year beginning 10/01/2016 and ending 09/30/2017

A Name of plan <u>PHARMACHEM LABORATORIES, INC. EMPLOYEE STOCK OWNERSHIP PLAN</u>	B Three-digit plan number (PN) ▶ <u>002</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>PHARMACHEM LABORATORIES, INC.</u>	D Employer Identification Number (EIN) <u>22-1625213</u>

Part I	Distributions
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All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>23-3060382</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
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4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☐ N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
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Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☒ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☒ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☒ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2016
v. 160205

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14	Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:		
	a The current year	14a	
	b The plan year immediately preceding the current plan year	14b	
	c The second preceding plan year	14c	
15	Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:		
	a The corresponding number for the plan year immediately preceding the current plan year	15a	
	b The corresponding number for the second preceding plan year	15b	
16	Information with respect to any employers who withdrew from the plan during the preceding plan year:		
	a Enter the number of employers who withdrew during the preceding plan year	16a	
	b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	
17	If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐		

Part VI	Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans
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18	If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment	☐
19	If the total number of participants is 1,000 or more, complete lines (a) through (c)	
	a Enter the percentage of plan assets held as: Stock: <u>0.0</u> % Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%	
	b Provide the average duration of the combined investment-grade and high-yield debt: ☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more	
	c What duration measure was used to calculate line 19(b)? ☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____	

Part VII	IRS Compliance Questions
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20a Is the plan a 401(k) plan? If "No," skip b	☐ Yes ☐ No
20b How did the plan satisfy the nondiscrimination requirements for employee deferrals under section 401(k)(3) for the plan year? Check all that apply:	☐ Design-based safe harbor ☐ "Prior year" ADP test ☐ "Current year" ADP test ☐ N/A
21a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:	☐ Ratio percentage test ☐ Average benefit test ☐ N/A
21b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?	☐ Yes ☐ No
22a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter / / and the serial number .	
22b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter / / .	



Pharmachem Laboratories, Inc. Employee Stock Ownership Plan

**Financial Statements
and Supplemental Schedules
As of September 30, 2017 and 2016
and for the Year Ended September 30, 2017**

Pharmachem Laboratories, Inc. Employee Stock Ownership Plan

Contents

Independent Auditor's Report	3-4
Financial Statements	
Statements of Net Assets Available for Benefits as of September 30, 2017 and 2016	6
Statement of Changes in Net Assets Available for Benefits for the Years Ended September 30, 2017	7
Notes to Financial Statements	8-14
Supplemental Schedules	
Schedule of Assets (Held at End of Year) as of September 30, 2017	16-17
Schedule of Reportable Transactions for the Year Ended September 30, 2017	18-152



Independent Auditor's Report

The Trustees
Pharmachem Laboratories, Inc.
Employee Stock Ownership Plan
Kearny, New Jersey

We have audited the accompanying financial statements of the Pharmachem Laboratories, Inc. Employee Stock Ownership Plan (the "Plan"), which comprise the statements of net assets available for benefits as of September 30, 2017 and 2016, and the related statement of changes in net assets available for benefits for the year ended September 30, 2017, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.



Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for benefits of the Plan as of September 30, 2017 and 2016, and the changes in net assets available for benefits for the year ended September 30, 2017, in accordance with accounting principles generally accepted in the United States of America.

Report on Supplementary Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental Schedule of Assets (Held at End of Year) and Schedule of Reportable Transactions as of and for the year ended September 30, 2017, are presented for the purpose of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Such information is the responsibility of the Plan's management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

BDO USA, LLP

July 16, 2020

Financial Statements

**Pharmachem Laboratories, Inc.
Employee Stock Ownership Plan**

Statements of Net Assets Available for Benefits

<i>September 30,</i>	2017	2016
Assets		
Non-Interest Cash	\$ 69,150	\$ -
Investments, at fair value	201,599,718	103,202,214
Accrued Interest	437,654	-
Total Assets	202,106,522	103,202,214
Net Assets Available for Benefits	\$ 202,106,522	\$ 103,202,214

See accompanying notes to financial statements.

**Pharmachem Laboratories, Inc.
Employee Stock Ownership Plan**

Statement of Changes in Net Assets Available for Benefits

September 30, 2017

Additions

Investment income:

Net appreciation in fair value of investments	\$ 98,248,942
Dividends	588,953
Interest	118,466

Total Additions	98,956,361
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Deductions

Administrative expenses	52,053
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Total Deductions	52,053
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Net Increase	98,904,308
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Net Assets Available for Benefits, beginning of year	103,202,214
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Net Assets Available for Benefits, end of year	\$ 202,106,522
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See accompanying notes to financial statements.

Pharmachem Laboratories, Inc. Employee Stock Ownership Plan

Notes to Financial Statements

1. Plan Description

The following description of the Pharmachem Laboratories, Inc. Employee Stock Ownership Plan (the “Plan”) is provided for general information purposes only. Participants should refer to the Plan agreement for complete description of the Plan’s provisions.

General

Pharmachem Laboratories, Inc. (the “Company”) established the Plan on October 1, 1998. The Plan operates as an employee stock ownership plan (“ESOP”), and is designed to comply with Section 4975(e)(7) and the regulations thereunder of the Internal Revenue Code of 1986, as amended (the “Code”), and is subject to the applicable provisions of the Employee Retirement Income Security Act of 1974, as amended (“ERISA”).

The Plan is administered by a Plan Committee (the “Committee”) appointed by the Company’s Board of Directors (the “Board”). Wilmington Trust is the Plan’s Trustee.

Effective March 15, 2012, the Company froze participation and contributions to the ESOP. Specifically, the Company amended the ESOP to provide that (i) no further contributions will be made to the ESOP by the Company; and (ii) no new employees or rehired employees shall be permitted to commence or resume participation in the ESOP.

On April 14, 2017, the Company and the Company Shareholders, which includes the Plan, entered into a stock purchase agreement with Ashland LLC for all of the issued and outstanding shares of Company’s common stock. The Plan owned 157.29 shares at the time of the stock purchase agreement. The sale of the common stock closed on May 17, 2017 and the Plan received \$191,830,917. The stock purchase agreement was amended after the close for residual shares of the Company’s common stock and the Plan received an additional \$1,889,021 on September 5, 2017.

Effective May 17, 2017, the Plan was amended to become a profit sharing plan and ceased to be an ESOP and all distributions from the Plan shall be in cash. In addition, as soon as administratively feasible following the close of the stock purchase agreement, the Plan shall offer each participant the opportunity to elect a partial in-service distribution up to fifty percent (50%) of the value of the participant’s account balance. See Note 9 for additional information.

Eligibility

Prior to March 15, 2012, nonunion employees of the Company and its participating subsidiaries were generally eligible to participate in the Plan after one year of service and attainment of 21 years of age, providing they worked at least 1,000 hours during such Plan year and were employed on the last working day of the Plan year. Participants who did not have at least 1,000 hours of service during such Plan year or were not employed on the last working day of the Plan year were generally not eligible for an allocation of Company contributions for such year.

Participating subsidiaries include American Ingredients, Inc., Avoca, Inc., and MPT Delivery Systems, Inc.

Pharmachem Laboratories, Inc. Employee Stock Ownership Plan

Notes to Financial Statements

Vesting and Forfeitures

The Company amended the ESOP to provide that each participant's ESOP account shall be 100% vested and non-forfeitable as of September 30, 2011.

Participant Accounts

The Plan is a defined contribution plan under which a separate individual account is established for each participant. Each participant's account is credited as of the last day of each Plan year with an allocation of Plan earnings and administrative expenses. Allocations are based on the participant's account balance, relative to the total of all of the participants' account balances.

Concentrations

As of September 30, 2017 and 2016, the Plan's assets are invested 0% and 93%, respectively, in the Company's common stock. See the *General* section for the stock purchase agreement resulting in the sale of the Company's common stock.

Payment of Benefits

Distributions from the Plan will be made when a participant retires, dies (in which case, payment shall be made to his or her beneficiary or, if none, his or her legal representatives), or otherwise terminates employment with the Company and its participating subsidiaries. Distributions are made in cash or, if a trustee elects, in the form of Company common shares, plus cash for any fractional share.

Under the provisions of the Plan, the Company is obligated to repurchase participant shares that have been allocated under the terms of the Plan from participants withdrawing from the Plan (subject to certain provisions).

Due to the stock purchase agreement entered into during 2017, there were no distributions during the year ended September 30, 2017. See the *General* section for the stock purchase agreement. After the close of the stock purchase agreement, the Plan was amended to allow all distributions from the Plan to be made in cash.

Diversification

Prior to the stock purchase agreement, diversification was offered to participants close to retirement so that they may have the opportunity to move part of the value of their investment in Company stock into investments which are more diversified. Participants who are at least age 55 with at least 10 years of participation in the Plan may elect to diversify a portion of their account. Diversification was offered to each eligible participant over a six-year period. In each of the first five years, a participant may diversify up to 25 percent of the shares allocated to his or her account, less any shares previously diversified. In the sixth year, the percentage changes to 50 percent. The election to diversify is made subsequent to year-end based upon the shares of employer stock in the participant's account at year-end.

Due to the stock purchase agreement entered into during 2017, there were no diversifications during the year ended September 30, 2017. See the *General* section for the stock purchase agreement.

Pharmachem Laboratories, Inc. Employee Stock Ownership Plan

Notes to Financial Statements

Voting Rights

Prior to the stock purchase agreement, all Company stock held by the trust shall be voted by the trustee in accordance with instructions from the Committee. Notwithstanding the foregoing, participants and/or beneficiaries shall be entitled to direct the voting of any voting shares of Company stock allocated to their Company stock accounts with respect to any vote required for the approval or disapproval of any corporate merger or consolidation, recapitalization, reclassification, liquidation, dissolution, sale of substantially all the assets of a trade or business, or other similar transactions prescribed by regulation.

Put Option

Prior to the stock purchase agreement, under federal income tax regulations, the employer stock that is held by the Plan and its participants and is not readily tradable on an established market, or is subject to trading limitations, includes a put option. The put option is a right to demand that the Company buy any shares of its stock distributed to participants for which there is no market. The put price is representative of the fair market value of the stock. The Company can pay for the purchase with interest over a period of five years. The purpose of the put option is to ensure that the participant has the ability to ultimately obtain cash.

Administrative Costs and Expenses

As provided in the Plan agreement, administrative expenses may be paid by either by the Plan or by the Company. Administrative expenses represent fees paid for trustee and investment advisory services.

Certain administrative functions are performed by officers or employees of the Company or its subsidiaries. No such officer or employee receives compensation from the Plan.

2. Summary of Accounting Policies

Basis of Accounting

The financial statements of the Plan are prepared on the accrual basis of accounting.

Use of Estimates

The preparation of financial statements in accordance with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosure of contingent assets and liabilities. Actual results may differ from those estimates.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains or losses on investments bought or sold as well as held during the year.

Pharmachem Laboratories, Inc. Employee Stock Ownership Plan

Notes to Financial Statements

Benefit Payments

Benefit payments are recorded when paid.

3. Tax Status

The Plan's latest determination letter from the Internal Revenue Service (IRS), dated May 28, 2015, stating that the Plan is qualified under the Code and therefore, the related trust is exempt from taxation. Once qualified, the Plan is required to operate in conformity with the Code to maintain its qualification. The Plan has been amended since receiving the determination letter. As of the date of the financial statements, the Plan has not applied for an updated determination letter. However, the Plan administrator believes that the Plan is currently designed and being operated in compliance with the applicable requirements of the Code. Therefore, the Plan administrator believes that the Plan was qualified and the related trust was tax exempt as of the financial statement date.

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

4. Fair Value Measurements

The framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). The three levels of the fair value hierarchy are as follows:

Level 1 - Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 - Inputs to the valuation methodology include quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; inputs other than quoted prices that are observable for the asset or liability; and inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 - Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at September 30, 2017 and 2016. The Plan's policy is to recognize transfers into and out of Level 3 as of the date of the event or change in

Pharmachem Laboratories, Inc. Employee Stock Ownership Plan

Notes to Financial Statements

circumstances that caused the transfer. For the years ended September 30, 2017 and 2016, there were no transfers into or out of Level 3.

Mutual funds: Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the SEC. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Common stocks (Non-Employer): Valued at the closing price reported on the active market on which the individual securities are traded.

Common stocks (Employer): Valued at estimated fair value based upon an independent appraisal. This appraisal was based upon a combination of the market and income valuation techniques consistent with prior years. The appraiser took into account historical and projected cash flow and net income, return on assets, return on equity, market comparables, and fair value of Company assets and liabilities. Plan management has concluded that a market participant would also recognize a discount for lack of marketability.

The valuation process involves the Trustee's selection of an independent appraiser. The Trustee accumulates the data for the appraiser from the audited financial statements of the Company. The appraiser prepares a preliminary report which Plan management, along with the ESOP Trustee, reviews in detail, discusses, and approves.

Cash and Cash Equivalents: Valued at cost plus accrued interest.

Corporate and foreign bonds: Valued using pricing models maximizing the use of observable inputs for similar securities. This includes basing value on yields currently available on comparable securities of issuers with similar credit ratings.

U.S. government bonds and U.S. agency obligations: Valued using pricing models maximizing the use of observable inputs for similar securities.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of September 30, 2017:

	Assets at Fair Value			
	Level 1	Level 2	Level 3	Total
Mutual funds	\$ 65,929,660	\$ -	\$ -	\$ 65,929,660
Cash and cash equivalents	71,198,326	-	-	71,198,326
Corporate bonds	-	64,471,732	-	64,471,732
Total Assets at Fair Value	\$ 137,127,986	\$ 64,471,732	\$ -	\$ 201,599,718

Pharmachem Laboratories, Inc. Employee Stock Ownership Plan

Notes to Financial Statements

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of September 30, 2016:

	Assets at Fair Value			
	Level 1	Level 2	Level 3	Total
Mutual Funds	\$ 2,530,544	\$ -	\$ -	\$ 2,530,544
Common Stock	1,783,702	-	95,626,449	97,410,151
Cash and cash equivalents	257,215	-	-	257,215
Corporate bonds	-	1,257,490	-	1,257,490
Foreign bonds	-	29,952	-	29,952
U.S. government bonds and U.S. agency obligations	-	1,716,862	-	1,716,862
Total Assets at Fair Value	\$ 4,571,461	\$ 3,004,304	\$ 95,626,449	\$ 103,202,214

The following table sets forth a summary of changes in the fair value of the Plan's Level 3 assets for the year ended September 30, 2017.

	Common Stock
Balance , beginning of year	\$ 95,626,449
Distributed	(193,719,938)
Realized gains (losses)	98,093,489
Balance , end of year	\$ -

5. Investment

The Plan's investment in Company's common stock at September 30, 2016 is presented in the following table. As a result of the stock purchase agreement, which closed during the September 30, 2017 year end, the Plan did not have any investments in the Company's common stock at September 30, 2017.

September 30, 2016

Number of shares	157.29
Cost	\$ 8,532,983
Fair value	\$ 95,626,449

6. Plan Termination

Although it has not expressed any intent to do so, the Company has the right to terminate the plan subject to the provisions of ERISA.

Pharmachem Laboratories, Inc. Employee Stock Ownership Plan

Notes to Financial Statements

7. Risks and Uncertainties

The Plan invests in various investment securities including Company stock (prior to the stock purchase agreement), which are exposed to various risks such as interest rate, market, credit risk, as well as valuation assumptions based on earnings, cash flows and/or other such techniques. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

8. Related Party and Party-in-Interest Transactions

Prior to the stock purchase agreement, the Plan invested in Company common stock. This is a related party and therefore, any transactions would qualify as party-in-interest transactions. The Plan has a number of service providers. Such providers are parties-in-interest under ERISA.

9. Subsequent Events

Effective October 1, 2017, the Plan name was changed to Pharmachem Laboratories, Inc. Profit Sharing Plan and the Plan year end was changed from September 30th to December 31st. The Plan was amended to add an immediate and lump sum distribution option for participants who terminated employment, regardless of reason, prior to July 1, 2017. For active participants, an in-service distribution option was added to the Plan.

The assets of the Plan were transferred into the Ashland LLC Master Trust on January 10, 2018.

Subsequent events have been evaluated through July 16, 2020, the date the financial statements were available to be issued.

Supplemental Schedules

Pharmachem Laboratories, Inc. Employee Stock Ownership Plan

Schedule of Assets (Held at End of Year) as of September 30, 2017 Employer Identification Number 22-1625213 - Plan Number 002

(a)	(b)	(c)	(d)	(e)
Identity of Issue, Borrower, Lessor or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost	Current Value	
Corporate Bonds				
ABBVIE INC	330,000; 1.800%; Due 05-14-18	\$ 330,525	\$ 330,459	
ACTAVIS FUNDING SCS	1,250,000; 2.350%; Due 3-12-18	1,253,963	1,253,637	
AIG GLOBAL FNDG MTN	1,250,000; 1.650%; Due 12-15-17	1,250,838	1,250,637	
AMERICAN EXPRESS CO	1,250,000; 1.550%; Due 05-22-18	1,249,913	1,249,837	
AMGEN INC	1,250,000; 5.700%; Due 02-01-19	1,315,825	1,313,012	
ASTRAZENECA PLC	1,250,000; 1.750%; Due 11-16-18	1,250,000	1,249,675	
AT&T INC	1,250,000; 5.500%; Due 02-01-18	1,268,025	1,265,875	
BANK NOVA SCOTIA	1,095,000; 2.050%; Due 10-30-18	1,100,858	1,099,489	
BANK OF AMER CORP	1,250,000; 1.950%; Due 05-12-18	1,252,600	1,251,787	
BANK OF MONTREAL MTN	1,250,000; 1.350%; Due 08-28-18	1,247,150	1,246,987	
BERKSHIRE HATHAWAY	1,250,000; 1.700%; Due 03-15-19	1,253,363	1,252,425	
BNP PARIBAS MTN	1,250,000; 2.700%; Due 08-20-18	1,262,025	1,261,187	
BP CAPITAL PLC	1,250,000; 1.375; Due 05-10-18	1,249,225	1,249,225	
CAPITAL ONE NA	1,250,000; 2.350%; Due 08-17-18	1,256,850	1,254,750	
CATERPILLAR FINL MTN	1,250,000; 1.800%; Due 11-13-18	1,252,475	1,251,575	
CHEVRON CORP	1,250,000; 1.790%; Due 11-16-18	1,253,713	1,252,350	
CISCO SYSTEMS	1,250,000; 2.125; Due 03-01-19	1,259,388	1,259,437	
CITIGROUP INC	1,250,000; 1.700%; Due 04-27-18	1,250,488	1,249,887	
CMWLTH BK AUSTRALIA	1,250,000; 2.250%; Due 03-13-19	1,260,100	1,257,637	
COMCAST CORP	500,000; 5.875%; Due 02-15-18	508,650	508,000	
CREDIT SUISSE	1,250,000; 1.700%; Due 04-27-18	1,251,400	1,250,988	
CVS HEALTH CORP	1,250,000; 1.900%; Due 07-20-18	1,253,700	1,253,000	
DUKE ENERGY PROG V-Q	1,000,000; 1.516%; Due 11-20-17	1,000,360	1,000,320	
EATON CORP	1,118,000; 1.500%; Due 11-02-17	1,118,011	1,117,866	
ENTERPRISE PRODUCTS	1,250,000; 6.650%; Due 04-15-18	1,285,313	1,282,463	
FORD MOTOR CREDIT	1,250,000; 2.240%; Due 06-15-18	1,254,975	1,254,200	
GEN MOTORS FINL CO	600,000; 2.400%; Due 04-10-18	602,238	601,914	
GENERAL DYNAMICS	550,000; 1.000%; Due 11-15-17	549,791	549,758	
GENERAL ELECTRIC CO	1,250,000; 5.250%; Due 12-06-17	1,260,538	1,258,725	
GILEAD SCIENCES	1,250,000; 1.850%; Due 09-04-18	1,253,600	1,253,075	
GOLDMAN SACHS GP	1,375,000; 2.900%; Due 07-19-18	1,388,489	1,387,554	
HSBC USA INC	1,250,000; 2.625%; Due 09-24-18	1,262,988	1,261,888	
JOHN DEERE CAP MTN	1,250,000; 1.550%; Due 12-15-17	1,250,625	1,250,450	
JPMORGAN CHASE & CO	1,250,000; 1.800%; Due 01-25-18	1,251,613	1,250,962	
KROGER CO/THE	1,250,000; 2.000%; Due 01-15-19	1,254,500	1,252,175	
MCDONALD'S CORP MTN	1,250,000; 2.100%; Due 12-07-18	1,257,537	1,255,888	
MEDTRONIC INC	1,250,000; 1.500%; Due 03-15-18	1,250,275	1,250,025	
MET LIFE GLOBAL FNDG	1,250,000; 1.500%; Due 01-10-18	1,250,262	1,249,913	
NATL AUS BANK/NY MTN	1,250,000; 1.875%; Due 07-23-18	1,254,100	1,252,863	
NORSK HYDRO A/S	1,250,000; 6.700%; Due 01-15-18	1,271,387	1,269,775	
ONTARIO PROVINCE	1,250,000; 1.200%; Due 02-14-18	1,249,212	1,248,575	
PNC BANK NA	1,250,000; 1.600%; Due 06-01-18	1,250,862	1,250,763	
ROYAL BNK CANADA MTN	1,250,000; 2.000%; Due 12-10-18	1,255,275	1,253,563	
SOUTHERN POWER	1,250,000; 1.500%; Due 06-01-18	1,249,512	1,249,100	
SUMITOMO MITSUI BK	1,250,000; 1.762%; Due 10-19-18	1,251,425	1,249,963	
SYSCO CORP	1,250,000; 5.250%; Due 02-12-18	1,268,412	1,266,500	
TORONTO DOM BANK MTN	1,250,000; 1.450%; Due 09-06-18	1,249,012	1,248,150	
TOTAL CAPITAL INTL	1,200,000; 2.125%; Due 01-10-19	1,208,208	1,207,680	
TOYOTA MOTOR MTN	1,405,000; 2.000%; Due 10-24-18	1,413,458	1,411,730	
UBS AG STAMFORD MTN	1,250,000; 1.800%; Due 03-26-18	1,252,275	1,252,025	
UNION PACIFIC RR	1,250,000; 2.250%; Due 02-15-19	1,261,962	1,259,425	

**Pharmachem Laboratories, Inc.
Employee Stock Ownership Plan**

**Schedule of Assets (Held at End of Year) as of September 30, 2017
Employer Identification Number 22-1625213 - Plan Number 002**

(a)	(b)	(c)	(d)	(e)
Identity of Issue, Borrower, Lessor or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost	Current Value	
UNITEDHEALTH GRP INC	1,250,000; 1.700%; Due 02-15-19	\$ 1,251,500	\$ 1,249,213	
WAL-MART STORES INC	1,250,000; 1.950%; Due 12-15-18	1,257,987	1,257,050	
WELLS FARGO CO	1,250,000; 2.150%; Due 01-15-19	1,257,112	1,256,325	
Total Corporate Bonds		\$ 64,523,888	\$ 64,471,732	
Cash and Cash Equivalents				
AMERICAN HONDA FINANCE DCP 43024		\$ 1,248,816	\$ 1,249,475	
BANK OF TOKYO-MITSUBISI DCP 43042		1,247,865	1,248,750	
CREDIT AGRICOLE CRP&IN DCP 43028		1,248,545	1,249,313	
FEDERATED GOV'T OBLIGATIONS CL PRM		66,186,217	66,186,217	
PACCAR FINANCIAL CORP. DCP 43021		1,248,901	1,249,588	
UBS BANK USA DEPOSIT ACCOUNT		3,571	3,571	
UBS MONEY SER LIQUID ASSETS		162	162	
WILMINGTON US GOVT MONEY MKT CL SLCT		11,250	11,250	
Total Cash and Cash Equivalents		\$ 71,195,327	\$ 71,198,326	
Mutual Funds				
FEDERATED SHORT-TERM INC-Y		\$ 33,000,000	\$ 32,929,660	
PUTNAM SHORT DUR INCOME-Y		33,000,000	33,000,000	
Total Mutual Funds		\$ 66,000,000	\$ 65,929,660	



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
BEGINNING MARKET VALUE			\$107,202,214.00				
COMPARATIVE VALUE (5%)			\$5,360.110.70				
CATEGORY 1 - SINGLE TRANSACTION EXCEEDS 5% OF VALUE							
ISSUE: 31420C787 - FEDERATED SHORT-TERM INC-Y							
130006-009	09/06/17 B	3,516,999	8.530	0	30,000,000-*	30,000,000	
ISSUE: 31420C787 - FEDERATED SHORT-TERM INC-Y							
130006-009	09/22/17 B	352,526	8.510	0	3,000,000-*	3,000,000	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-009	09/06/17 B	100,000,000	1.000	0	100,000,000-*	100,000,000	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-009	09/07/17 S	60,000,000	1.000	0	60,000,000 *	60,000,000	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-009	09/14/17 B	24,067,063	1.000	0	24,067,063-*	24,067,063	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-009	09/20/17 B	7,957,416	1.000	0	7,957,416-*	7,957,416	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-009	09/25/17 S	6,000,000	1.000	0	6,000,000 *	6,000,000	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-010	09/14/17 B	70,000,000	1.000	0	70,000,000-*	70,000,000	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-010	09/15/17 S	4,994,126	1.000	0	4,994,126 *	4,994,126	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-010	09/18/17 S	21,529,328	1.000	0	21,529,328 *	21,529,328	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-010	09/19/17 S	8,829,841	1.000	0	8,829,841 *	8,829,841	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-010	09/20/17 S	24,449,086	1.000	0	24,449,086 *	24,449,086	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-010	09/21/17 S	5,208,131	1.000	0	5,208,131 *	5,208,131	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-010	09/22/17 S	3,999,556	1.000	0	3,999,556 *	3,999,556	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 74676P698 - PUTNAM SHORT DUR INCOME-Y							
130006-009 09/06/17 B	2,979,146		10.070	0	30,000,000-*	30,000,000	
ISSUE: 74676P698 - PUTNAM SHORT DUR INCOME-Y							
130006-009 09/22/17 B	297,915		10.070	0	3,000,000-*	3,000,000	
ISSUE: 97181C704 - WILMINGTON US GOVT MONEY MKT CL SLCT							
130006-000 05/17/17 B	191,830,917		1.000	0	191,830,917-*	191,830,917	
ISSUE: 97181C704 - WILMINGTON US GOVT MONEY MKT CL SLCT							
130006-000 09/06/17 S	100,000,000		1.000	0	100,000,000 *	100,000,000	0
ISSUE: 97181C704 - WILMINGTON US GOVT MONEY MKT CL SLCT							
130006-000 09/14/17 S	94,067,063		1.000	0	94,067,063 *	94,067,063	0
ISSUE: 97181C704 - WILMINGTON US GOVT MONEY MKT CL SLCT							
130006-010 09/14/17 B	70,000,000		1.000	0	70,000,000-*	70,000,000	
ISSUE: 97181C704 - WILMINGTON US GOVT MONEY MKT CL SLCT							
130006-010 09/14/17 S	70,000,000		1.000	0	70,000,000 *	70,000,000	0
ISSUE: 99T792GA4 - UBS AG DEPOSIT							
130006-002 09/11/17 B	3,890,994		0.010	3,852,084	3,890,994-*	3,890,994	
ISSUE: 99T792GA4 - UBS AG DEPOSIT							
130006-002 09/20/17 S	7,707,508		0.010	0	7,707,508 *	7,707,508	0
GRAND TOTAL			3,852,084		940,531,029	940,531,029	0

continued



WILMINGTON
TRUST

REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
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CATEGORY 2 - SERIES OF TRANSACTIONS WITH SAME BROKER EXCEEDS 5% OF VALUE

130006-010	ISSUE: 06051GFR5 - BANK OF AMER CORP 1.95% 5/12/18 09/14/17 B	1,250,000	1.002	0	1,252,600-	1,252,600
130006-010	ISSUE: 14042E5V8 - CAPITAL ONE NA 2.35% 8/17/18 09/14/17 B	1,250,000	1.006	0	1,256,850-	1,256,850

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 38147MAA3 - GOLDMAN SACHS GP 2.9% 7/19/18							
130006-010 09/14/17 B	1,375,000		1.010	0	1,388,489-		1,388,489
ISSUE: 126650CH1 - CVS HEALTH CORP 1.9% 7/20/18							
130006-010 09/15/17 B	1,250,000		1.003	0	1,253,700-		1,253,700
ISSUE: 22546QAV9 - CREDIT SUISSE 1.7% 4/27/18							
130006-010 09/15/17 B	1,250,000		1.001	0	1,251,400-		1,251,400
ISSUE: 90261XHH8 - UBS AG STAMFORD MTN 1.8% 3/26/18							
130006-010 09/15/17 B	1,250,000		1.002	0	1,252,275-		1,252,275
SUB-TOTAL				0	7,655,314	7,655,314	0
BROKER: WELLS FARGO SECURITIES LLC							
ISSUE: 369604BC6 - GENERAL ELECTRIC CO 5.25% 12/06/17							
130006-010 09/14/17 B	1,250,000		1.008	0	1,260,538-		1,260,538
ISSUE: 69353REM6 - PNC BANK NA 1.6% 6/01/18							
130006-010 09/14/17 B	1,250,000		1.001	0	1,250,863-		1,250,863
ISSUE: 94974BFQ8 - WELLS FARGO CO 2.15% 1/15/19							
130006-010 09/14/17 B	1,250,000		1.006	0	1,257,113-		1,257,113
ISSUE: 59217HAF4 - MET LIFE GLOBAL FNDG 1.5% 1/10/18							
130006-010 09/18/17 B	1,250,000		1.000	0	1,250,263-		1,250,263
ISSUE: 26442RAC5 - DUKE ENERGY PROG V-Q 1.516% 11/20/17							
130006-010 09/20/17 B	1,000,000		1.000	0	1,000,360-		1,000,360
ISSUE: 278062AB0 - EATON CORP 1.5% 11/02/17							
130006-010 09/20/17 B	1,118,000		1.000	0	1,118,011-		1,118,011
SUB-TOTAL				0	7,137,148	7,137,148	0
BROKER: MORGAN STANLEY & CO LLC							
ISSUE: 05565QCE6 - BP CAPITAL PLC 1.375% 5/10/18							
130006-010 09/14/17 B	1,250,000		0.999	0	1,249,225-		1,249,225
ISSUE: 06367TJW1 - BANK OF MONTREAL MTN 1.35% 8/28/18							
130006-010 09/14/17 B	1,250,000		0.998	0	1,247,150-		1,247,150
ISSUE: 00206RAJ1 - AT&T INC 5.5% 2/01/18							
130006-010 09/18/17 B	1,250,000		1.014	0	1,268,025-		1,268,025

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 046353AH1 - ASTRAZENECA PLC 1.75% 11/16/18							
130006-010	09/18/17 B	1,250,000	1.000	0	1,250,000-	1,250,000	
ISSUE: 843646AK6 - SOUTHERN POWER 1.5% 6/01/18							
130006-010	09/18/17 B	1,250,000	1.000	0	1,249,513-	1,249,513	
SUB-TOTAL				0	6,263,913	6,263,913	0
BROKER: PERSHING LLC							
ISSUE: 06538BY31 - BANK OF TOKYO-MITSUBIS DCP 11/03/17							
130006-010	09/14/17 B	1,250,000	0.998	0	1,247,865-	1,247,865	
ISSUE: 172967JN2 - CITIGROUP INC 1.7% 4/27/18							
130006-010	09/14/17 B	1,250,000	1.000	0	1,250,488-	1,250,488	
ISSUE: 345397XH1 - FORD MOTOR CREDIT 2.24% 6/15/18							
130006-010	09/14/17 B	1,250,000	1.004	0	1,254,975-	1,254,975	
ISSUE: 89114QBN7 - TORONTO DOM BANK MTN 1.45% 9/06/18							
130006-010	09/14/17 B	1,250,000	0.999	0	1,249,013-	1,249,013	
ISSUE: 91324PCT7 - UNITEDHEALTH GRP INC 1.7% 2/15/19							
130006-010	09/14/17 B	1,250,000	1.001	0	1,251,500-	1,251,500	
ISSUE: 064159CU8 - BANK NOVA SCOTIA 2.05% 10/30/18							
130006-010	09/18/17 B	1,095,000	1.005	0	1,100,858-	1,100,858	
ISSUE: 20271RAF7 - CMWLTH BK AUSTRALIA 2.25% 3/13/19							
130006-010	09/18/17 B	1,250,000	1.008	0	1,260,100-	1,260,100	
ISSUE: 58013MEW0 - MCDONALD'S CORP MTN 2.1% 12/07/18							
130006-010	09/18/17 B	1,250,000	1.006	0	1,257,538-	1,257,538	
ISSUE: 63254AAK4 - NATL AUS BANK/NY MTN 1.875% 7/23/18							
130006-010	09/18/17 B	1,250,000	1.003	0	1,254,100-	1,254,100	
ISSUE: 865622CC6 - SUMITOMO MITSUI BK 1.762% 10/19/18							
130006-010	09/18/17 B	1,250,000	1.001	0	1,251,425-	1,251,425	
ISSUE: 907818DW5 - UNION PACIFIC RR 2.25% 2/15/19							
130006-010	09/18/17 B	1,250,000	1.010	0	1,261,963-	1,261,963	
ISSUE: 871829AL1 - SYSCO CORP 5.25% 2/12/18							
130006-010	09/19/17 B	1,250,000	1.015	0	1,268,413-	1,268,413	
ISSUE: 20030NAR2 - COMCAST CORP 5.875% 2/15/18							
130006-010	09/21/17 B	500,000	1.017	0	508,650-	508,650	
SUB-TOTAL				0	15,416,888	15,416,888	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
BROKER: US BANCORP INVESTMENTS INC							
130006-010	09/14/17 B	1,250,000	1.000	0	1,250,625-	1,250,625	
130006-010	09/15/17 B	1,250,000	1.001	0	1,250,838-	1,250,838	
130006-010	09/18/17 B	1,250,000	1.053	0	1,315,825-	1,315,825	
130006-010	09/18/17 B	1,250,000	1.008	0	1,259,388-	1,259,388	
130006-010	09/19/17 B	1,250,000	1.003	0	1,253,363-	1,253,363	
SUB-TOTAL				0	6,330,039	6,330,039	0

BROKER: BARCLAYS CAPITAL INC FIXED INC							
130006-010	09/14/17 B	1,250,000	0.999	0	1,248,816-	1,248,816	
130006-010	09/14/17 B	1,250,000	0.999	0	1,248,901-	1,248,901	
130006-010	09/18/17 B	1,250,000	1.010	0	1,262,988-	1,262,988	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 37045XAV8 - GEN MOTORS FINL CO 2.4% 4/10/18							
130006-010	09/20/17 B	600,000	1.004	0	602,238-	602,238	
ISSUE: 931142DJ9 - WAL-MART STORES INC 1.95% 12/15/18							
130006-010	09/20/17 B	1,250,000	1.006	0	1,257,988-	1,257,988	
SUB-TOTAL				0	5,620,931	5,620,931	0
BROKER: EXTERNAL BROKER							
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008	10/03/16 B	198	7.610	0	1,509-	1,509	
ISSUE: 63938C108 - NAVIENT CORP							
130006-007	10/03/16 B	214	14.388	0	3,079-	3,079	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003	10/03/16 B	858	0.010	849	858-	858	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	10/03/16 B	485	0.010	480	485-	485	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	10/03/16 B	436	0.010	431	436-	436	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	10/04/16 B	13,124	0.010	12,992	13,124-	13,124	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002	10/04/16 B	790	0.010	782	790-	790	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003	10/04/16 B	1,821	0.010	1,803	1,821-	1,821	
ISSUE: 08579W103 - BERRY PLASTICS G							
130006-007	10/05/16 B	145	43.423	0	6,296-	6,296	
ISSUE: 29084Q100 - EMCOR GROUP INC							
130006-007	10/05/16 B	72	60.337	0	4,344-	4,344	
ISSUE: 913903100 - UNVL HEALTH SERVICES INC CL B							
130006-007	10/05/16 S	66	120.614	0	7,961	8,133	-172
ISSUE: 29084Q100 - EMCOR GROUP INC							
130006-007	10/06/16 B	14	59.839	0	838-	838	
ISSUE: 830566105 - SKECHERS USA							
130006-007	10/06/16 S	5	22.762	0	114	115	-1
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	10/06/16 S	3,079	0.010	0	3,079	3,079	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	10/06/16 B	0	0.010	0	0-	0	
130006-003	10/06/16 B	0	0.010	0	0-	0	
130006-004	10/06/16 B	0	0.010	0	0-	0	
130006-004	10/07/16 B	90,000	0.010	89,100	90,000-	90,000	
130006-002	10/10/16 B	493	86.720	0	42,753-	42,753	
130006-007	10/10/16 B	55	51.705	0	2,844-	2,844	
130006-007	10/10/16 B	19	59.485	0	1,130-	1,130	
130006-007	10/10/16 S	2	23.225	0	46	46	1
130006-002	10/10/16 S	458	83.338	0	38,169	38,440	-271
130006-007	10/11/16 B	142	43.015	0	6,108-	6,108	
130006-007	10/12/16 B	126	28.237	0	3,558-	3,558	
130006-007	10/12/16 B	1,772	0.010	1,754	1,772-	1,772	
130006-007	10/13/16 B	169	53.836	0	9,098-	9,098	
130006-007	10/13/16 B	145	28.156	0	4,083-	4,083	
130006-007	10/13/16 S	3,928	0.010	0	3,928	3,928	0
130006-002	10/13/16 S	3,905	0.010	0	3,905	3,905	0
130006-006	10/13/16 S	6,803	0.010	0	6,803	6,803	0
130006-004	10/13/16 B	90,000	1.000	0	89,984-	89,984	
130006-007	10/14/16 S	6,081	0.010	0	6,081	6,081	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/14/16 S	89,984	0.010	0	89,984	89,984	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 10/17/16 S	3,543	0.010	0	3,543	3,543	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 10/18/16 S	13,181	0.010	0	13,181	13,181	0
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/18/16 B	210	0.010	208	210-	210	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/18/16 B	2,846	0.010	2,817	2,846-	2,846	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/18/16 B	5,084	0.010	5,033	5,084-	5,084	
130006-007	ISSUE: 040413106 - ARISTA NETWORKS INC 10/20/16 B	27	81.867	0	2,210-	2,210	
130006-007	ISSUE: 315616102 - F5 NETWORKS INC 10/20/16 S	91	118.314	0	10,767	11,342	-576
130006-007	ISSUE: 59408Q106 - MICHAELS COS INC/THE 10/20/16 B	62	23.937	0	1,484-	1,484	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/20/16 B	2,698	0.010	2,671	2,698-	2,698	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/20/16 S	2,698	0.010	0	2,698	2,698	0
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/20/16 B	5,624	0.010	5,568	5,624-	5,624	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/20/16 S	5,624	0.010	0	5,624	5,624	0
130006-008	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/20/16 B	264	0.010	261	264-	264	
130006-007	ISSUE: 911363109 - UNITED RENTALS INC COM 10/20/16 B	42	79.253	0	3,329-	3,329	
130006-007	ISSUE: 59408Q106 - MICHAELS COS INC/THE 10/21/16 B	42	24.015	0	1,009-	1,009	
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 10/24/16 S	228	7.670	0	1,748	1,735	14
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 10/24/16 B	23	0.010	22	23-	23	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/24/16 B	235	0.010	232	235-	235	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	10/24/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,903	0.010	0	2,903	2,903	0
130006-003	10/24/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,231	0.010	0	2,231	2,231	0
130006-004	10/24/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,026	0.010	0	2,026	2,026	0
130006-006	10/24/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,042	0.010	0	2,042	2,042	0
130006-008	10/24/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 264	0.010	0	264	264	0
130006-003	10/24/16 S	ISSUE: 912828B74 - U.S. TREASURY NOTES 0.625% 2/15/17 18,000	1.001	0	18,013	18,017	-5
130006-003	10/24/16 S	ISSUE: 912828C32 - U.S. TREASURY NOTES 0.75% 3/15/17 18,000	1.001	0	18,021	18,024	-3
130006-006	10/25/16 S	ISSUE: 345370CQ1 - FORD MOTOR CO 4.75% 1/15/43 26,000	1.006	0	26,170	26,936	-766
130006-006	10/25/16 B	ISSUE: 375558BD4 - GILEAD SCIENCES 4.75% 3/01/46 26,000	1.114	0	28,955-	28,955	
130006-007	10/25/16 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 1,572	0.010	0	1,572	1,572	0
130006-007	10/25/16 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 10	0.010	10	10-	10	
130006-007	10/26/16 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 2,735	0.010	2,707	2,735-	2,735	
130006-004	10/26/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 9,888	0.010	9,789	9,888-	9,888	
130006-006	10/26/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,248	0.010	2,225	2,248-	2,248	
130006-003	10/27/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,939	0.010	0	2,939	2,939	0
130006-006	10/28/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,628	0.010	0	2,628	2,628	0
130006-007	10/31/16 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 70	0.010	69	70-	70	
130006-008	11/01/16 B	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 206	7.580	0	1,565-	1,565	
130006-002	11/01/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 23	0.010	22	23-	23	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	11/01/16 B	158	0.010	156	158-	158	
130006-003	11/02/16 B	1,863	0.010	1,844	1,863-	1,863	
130006-007	11/03/16 B	61	40.017	0	2,441-	2,441	
130006-007	11/04/16 B	46	39.816	0	1,832-	1,832	
130006-006	11/04/16 B	1,000	1.135	0	1,135-	1,135	
130006-002	11/04/16 B	210	0.010	207	210-	210	
130006-002	11/04/16 B	0	0.010	0	0-	0	
130006-004	11/04/16 B	30,446	0.010	30,142	30,446-	30,446	
130006-004	11/04/16 B	0	0.010	0	0-	0	
130006-006	11/04/16 B	0	0.010	0	0-	0	
130006-003	11/04/16 S	59,000	1.001	0	59,064	59,078	-14
130006-003	11/04/16 S	63,000	1.000	0	62,997	62,986	11
130006-003	11/04/16 B	123,000	0.999	0	122,885-	122,885	
130006-007	11/07/16 S	251	37.626	0	9,444	9,463	-19
130006-007	11/08/16 B	69	85.467	0	5,897-	5,897	
130006-007	11/08/16 B	154	38.533	0	5,934-	5,934	
130006-007	11/08/16 S	2,441	0.010	0	2,441	2,441	0
130006-006	11/08/16 B	326	0.010	323	326-	326	
130006-004	11/09/16 B	28,000	1.022	0	28,626-	28,626	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 11/09/16 S	1,832	0.010	0	1,832	1,832	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 11/09/16 S	771	0.010	0	771	771	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 11/09/16 S	1,148	0.010	0	1,148	1,148	0
ISSUE: 827048109 - SILGAN HOLDINGS INCORPORATED						
130006-007 11/14/16 S	151	51.338	0	7,752	7,639	113
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 11/14/16 S	2,359	0.010	0	2,359	2,359	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 11/14/16 B	90,000	0.010	89,100	90,000-	90,000	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 11/14/16 S	4,881	0.010	0	4,881	4,881	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 11/15/16 S	28,803	0.010	0	28,803	28,803	0
ISSUE: 912810RG5 - U.S. TREASURY BONDS 3.375% 5/15/44						
130006-006 11/15/16 B	3,000	1.081	0	3,243-	3,243	
ISSUE: 912810RM2 - U.S. TREASURY BONDS 3% 5/15/45						
130006-006 11/15/16 B	2,000	1.004	0	2,008-	2,008	
ISSUE: 912828M56 - U.S. TREASURY NOTES 2.25% 11/15/25						
130006-004 11/15/16 S	70,000	1.003	0	70,213	73,894	-3,681
ISSUE: 912828T42 - U.S. TREASURY NOTES 0.75% 9/30/18						
130006-004 11/15/16 B	58,000	0.995	0	57,728-	57,728	
ISSUE: 912828T67 - U.S. TREASURY NOTES 1.25% 10/31/21						
130006-004 11/15/16 B	103,000	0.981	0	101,012-	101,012	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 11/16/16 B	804	0.010	796	804-	804	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 11/16/16 S	85,933	0.010	0	85,933	85,933	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 11/16/16 S	500	0.010	0	500	500	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 11/17/16 B	21	0.010	21	21-	21	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 11/17/16 B	745	0.010	738	745-	745	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 11/17/16 B	2,215	0.010	2,193	2,215-	2,215	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	11/17/16 B	1,000	1.006	0	1,006-	1,006	
130006-007	11/18/16 B	7,752	0.010	7,674	7,752-	7,752	
130006-006	11/18/16 S	2,047	0.010	0	2,047	2,047	0
130006-002	11/22/16 B	306	0.010	303	306-	306	
130006-004	11/22/16 B	813	0.010	804	813-	813	
130006-007	11/23/16 B	17	0.010	17	17-	17	
130006-007	11/23/16 B	6	0.010	6	6-	6	
130006-007	11/25/16 B	79	0.010	78	79-	79	
130006-007	11/28/16 S	27	108.536	0	2,930	3,280	-349
130006-007	11/28/16 S	63	22.466	0	1,415	1,717	-302
130006-007	11/28/16 B	51	31.869	0	1,625-	1,625	
130006-007	11/28/16 B	91	34.877	0	3,174-	3,174	
130006-007	11/28/16 B	1	0.010	1	1-	1	
130006-004	11/28/16 B	917	0.010	908	917-	917	
130006-006	11/28/16 B	2,215	0.010	2,193	2,215-	2,215	
130006-007	11/29/16 S	35	106.325	0	3,721	4,252	-530
130006-007	11/29/16 S	250	22.063	0	5,516	6,815	-1,299
130006-007	11/29/16 B	200	32.370	0	6,474-	6,474	
130006-007	11/29/16 B	11	0.010	11	11-	11	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	11/30/16 B	55	0.010	54	55-	55	
130006-004	11/30/16 B	139	0.010	137	139-	139	
130006-007	12/01/16 B	66	45.373	0	2,995-	2,995	
130006-008	12/01/16 B	201	7.560	0	1,523-	1,523	
130006-007	12/01/16 B	91	51.785	0	4,712-	4,712	
130006-007	12/01/16 B	279	16.925	0	4,722-	4,722	
130006-007	12/01/16 S	453	0.010	0	453	453	0
130006-003	12/01/16 B	63	0.010	62	63-	63	
130006-008	12/02/16 B	31	31.520	0	993-	993	
130006-007	12/02/16 B	45	0.010	45	45-	45	
130006-002	12/02/16 B	321	0.010	318	321-	321	
130006-003	12/02/16 B	1,721	0.010	1,704	1,721-	1,721	
130006-004	12/02/16 B	11,163	0.010	11,052	11,163-	11,163	
130006-007	12/05/16 B	2,791	0.010	2,763	2,791-	2,791	
130006-004	12/05/16 B	388	0.010	384	388-	388	
130006-007	12/06/16 S	12,429	0.010	0	12,429	12,429	0
130006-002	12/06/16 B	413	0.010	409	413-	413	
130006-002	12/06/16 B	0	0.010	0	0-	0	
130006-004	12/06/16 B	0	0.010	0	0-	0	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 12/07/16 S	50	0.010	0	50	50	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 12/07/16 S	50	0.010	0	50	50	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 12/07/16 S	50	0.010	0	50	50	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 12/07/16 S	50	0.010	0	50	50	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-005 12/07/16 S	2	0.010	0	2	2	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 12/07/16 S	50	0.010	0	50	50	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 12/08/16 B	21	0.010	21	21-	21	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 12/08/16 B	360	0.010	356	360-	360	
ISSUE: 912810RG5 - U.S. TREASURY BONDS 3.375% 5/15/44						
130006-006 12/08/16 S	36,000	1.054	0	37,929	43,507	-5,577
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 12/09/16 B	290	0.010	287	290-	290	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 12/12/16 B	589	0.010	583	589-	589	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 12/12/16 B	38,010	0.010	37,630	38,010-	38,010	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 12/13/16 B	57	0.010	56	57-	57	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 12/13/16 B	547	0.010	541	547-	547	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 12/13/16 S	38,851	0.010	0	38,851	38,851	0
ISSUE: 317609667 - JEFFERIES ASSET MGMT COMODITY ST ALL						
130006-008 12/14/16 B	30	7.690	0	230-	230	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 12/14/16 B	63	0.010	62	63-	63	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 12/14/16 B	377	0.010	373	377-	377	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 12/15/16 B	11	0.010	11	11-	11	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-008	12/16/16 B	26	43.669	0	1,131-	1,131	
ISSUE: 412295305 - HARDING LOEVNER EMRG MKTS FD CL-ADV							
130006-002	12/16/16 B	1,415	0.010	1,401	1,415-	1,415	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	12/16/16 B	1,612	0.010	1,596	1,612-	1,612	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	12/16/16 B	3,289	0.010	3,256	3,289-	3,289	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	12/19/16 B	135	0.010	133	135-	135	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	12/19/16 B	16,995	0.010	16,825	16,995-	16,995	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002	12/20/16 B	294	0.010	291	294-	294	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	12/20/16 B	308	0.010	304	308-	308	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002	12/21/16 B	391	0.010	387	391-	391	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	12/21/16 B	167	0.010	166	167-	167	
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008	12/22/16 B	155	7.630	0	1,183-	1,183	
ISSUE: 31359MGK3 - FNMA 6.625% 11/15/30							
130006-006	12/22/16 B	1,000	1.379	0	1,379-	1,379	
ISSUE: 912810RM2 - U.S. TREASURY BONDS 3% 5/15/45							
130006-006	12/22/16 B	2,000	0.974	0	1,947-	1,947	
ISSUE: 912828F21 - U.S. TREASURY NOTES 2.125% 9/30/21							
130006-006	12/22/16 B	2,000	1.004	0	2,007-	2,007	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	12/23/16 B	6	0.010	6	6-	6	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	12/23/16 S	7,735	0.010	0	7,735	7,735	0
ISSUE: 20030NBB6 - COMCAST CORP 6.4% 3/01/40							
130006-006	12/27/16 B	2,000	1.295	0	2,589-	2,589	
ISSUE: 375558BD4 - GILEAD SCIENCES 4.75% 3/01/46							
130006-006	12/27/16 B	2,000	1.026	0	2,052-	2,052	
ISSUE: 46625HHS2 - JPMORGAN CHASE MTN 4.4% 7/22/20							
130006-006	12/27/16 B	2,000	1.059	0	2,118-	2,118	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/28/16 B	610	0.010	604	610-	610	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/28/16 B	1,609	0.010	1,593	1,609-	1,609	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 12/30/16 B	67	0.010	66	67-	67	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/30/16 B	1,764	0.010	1,746	1,764-	1,764	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/30/16 S	6,872	0.010	0	6,872	6,872	0
130006-002	ISSUE: G0084W101 - ADIENT PLC 01/03/17 S	79	58.649	0	4,633	3,680	953
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 01/03/17 B	199	7.640	0	1,519-	1,519	
130006-002	ISSUE: 09247X101 - BLACKROCK INC 01/03/17 B	113	385.750	0	43,590-	43,590	
130006-002	ISSUE: 25746U109 - DOMINION RESOURCES INC 01/03/17 S	558	76.449	0	42,659	41,443	1,216
130006-006	ISSUE: 52470G734 - LEGG MASON PART SMASH-EC 01/03/17 B	375	9.100	0	3,409-	3,409	
130006-006	ISSUE: 52470G759 - LEGG MASON PART SMASH-M 01/03/17 B	189	10.610	0	2,010-	2,010	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 01/03/17 B	2	0.010	2	2-	2	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 01/03/17 B	0	0.010	0	0-	0	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 01/03/17 B	1	0.010	0	1-	1	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 01/03/17 B	0	0.010	0	0-	0	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 01/03/17 S	5,419	0.010	0	5,419	5,419	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 01/04/17 B	48	0.010	47	48-	48	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 01/04/17 B	2,405	0.010	2,381	2,405-	2,405	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 01/05/17 B	49	0.010	48	49-	49	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	01/06/17 B	0	0.010	0	0-	0	
130006-004	01/06/17 B	0	0.010	0	0-	0	
130006-002	01/09/17 B	4,158	0.010	4,116	4,158-	4,158	
130006-002	01/10/17 B	219	42.760	0	9,364-	9,364	
130006-002	01/10/17 B	373	115.300	0	43,007-	43,007	
130006-007	01/10/17 S	9	156.536	0	1,409	1,490	-81
130006-002	01/10/17 B	71	116.705	0	8,286-	8,286	
130006-002	01/10/17 S	59	138.842	0	8,192	7,218	974
130006-004	01/10/17 B	514	0.010	509	514-	514	
130006-002	01/10/17 S	540	85.698	0	46,277	45,322	955
130006-007	01/11/17 S	31	156.388	0	4,848	5,133	-285
130006-003	01/12/17 B	160	0.010	158	160-	160	
130006-007	01/13/17 B	27	0.010	27	27-	27	
130006-002	01/13/17 S	6,189	0.010	0	6,189	6,189	0
130006-004	01/13/17 S	10,882	0.010	0	10,882	10,882	0
130006-007	01/17/17 B	1,409	0.010	1,395	1,409-	1,409	
130006-002	01/17/17 B	169	0.010	167	169-	169	
130006-006	01/17/17 S	3,615	0.010	0	3,615	3,615	0
130006-007	01/18/17 B	25	124.410	0	3,110-	3,110	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 01/18/17 B	4,889		0.010	4,840		4,889-	4,889
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 01/18/17 B	3,546		0.010	3,510		3,546-	3,546
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 01/18/17 B	3,501		0.010	3,466		3,501-	3,501
ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC							
130006-007 01/19/17 B	39		124.250	0		4,846-	4,846
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 01/19/17 B	18		0.010	18		18-	18
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 01/20/17 B	1,151		0.010	1,140		1,151-	1,151
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 01/23/17 S	3,103		0.010	0		3,103	3,103
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 01/23/17 B	235		0.010	232		235-	235
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008 01/24/17 S	255		7.690	0		1,958	1,938
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 01/24/17 S	4,846		0.010	0		4,846	4,846
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 01/24/17 S	3,008		0.010	0		3,008	3,008
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 01/24/17 B	190		0.010	188		190-	190
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 01/24/17 S	2,180		0.010	0		2,180	2,180
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 01/24/17 S	1,946		0.010	0		1,946	1,946
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 01/24/17 B	1,517		0.010	1,502		1,517-	1,517
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 01/24/17 S	1,957		0.010	0		1,957	1,957
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 01/25/17 S	1,520		0.010	0		1,520	1,520
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 01/25/17 B	6		0.010	6		6-	6
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 01/26/17 B	8,081		0.010	8,000		8,081-	8,081

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	01/26/17 B	2,151	0.010	2,129	2,151-	2,151
130006-007	01/30/17 B	85	0.010	84	85-	85
130006-002	01/31/17 S	488	84.208	0	41,094	42,593
130006-002	01/31/17 B	1,403	31.010	0	43,507-	43,507
130006-007	01/31/17 B	8	0.010	8	8-	8
130006-004	01/31/17 B	38	0.010	38	38-	38
130006-007	02/01/17 B	57	51.455	0	2,933-	2,933
130006-008	02/01/17 B	211	7.700	0	1,628-	1,628
130006-007	02/01/17 B	27	103.433	0	2,793-	2,793
130006-007	02/01/17 S	193	4.713	0	910	7,879
130006-007	02/01/17 S	134	48.276	0	6,469	7,406
130006-004	02/01/17 B	4,000	0.010	3,960	4,000-	4,000
130006-003	02/02/17 B	1,718	0.010	1,700	1,718-	1,718
130006-004	02/02/17 B	1,777	0.010	1,759	1,777-	1,777
130006-002	02/03/17 S	2,167	0.010	0	2,167	2,167
130006-003	02/03/17 S	82,000	1.001	0	82,118	82,289
130006-003	02/03/17 S	20,000	1.000	0	20,005	20,004
130006-003	02/03/17 B	107,000	0.999	0	106,892-	106,892
130006-002	02/06/17 B	0	0.010	0	0-	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	02/06/17 B	0	0.010	0	0-	0	
130006-004	02/06/17 B	1	0.010	1	1-	1	
130006-007	02/07/17 S	32	25.089	0	803	766	36
130006-007	02/07/17 B	13	97.728	0	1,270-	1,270	
130006-007	02/07/17 B	1,653	0.010	1,636	1,653-	1,653	
130006-004	02/07/17 B	322	0.010	319	322-	322	
130006-007	02/08/17 S	151	24.917	0	3,762	3,616	146
130006-007	02/08/17 B	32	97.409	0	3,117-	3,117	
130006-003	02/08/17 S	4,682	0.010	0	4,682	4,682	0
130006-002	02/09/17 S	16	117.078	0	1,873	1,955	-81
130006-007	02/09/17 S	32	25.142	0	805	766	38
130006-007	02/09/17 S	7	16.833	0	118	127	-9
130006-007	02/09/17 S	8	85.919	0	687	619	68
130006-002	02/09/17 S	133	31.503	0	4,190	4,159	31
130006-002	02/09/17 B	5	41.390	0	207-	207	
130006-002	02/09/17 B	64	75.690	0	4,844-	4,844	
130006-002	02/09/17 S	21	131.847	0	2,769	2,639	130
130006-007	02/09/17 S	3	117.207	0	352	337	15
130006-007	02/09/17 S	25	19.700	0	492	485	8

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 007973100 - ADVANCED ENERGY INDUSTRIES							
130006-007 02/09/17 S	8	61.909		0	495	379	117
ISSUE: 008252108 - AFFILIATED MANAGERS GROUP							
130006-007 02/09/17 S	2	163.405		0	327	289	37
ISSUE: 016255101 - ALIGN TECHNOLOGY INCORPORATED							
130006-007 02/09/17 S	12	96.398		0	1,157	1,125	32
ISSUE: 040413106 - ARISTA NETWORKS INC							
130006-007 02/09/17 S	13	97.818		0	1,272	1,096	176
ISSUE: 052800109 - AUTOLIV INC							
130006-007 02/09/17 S	6	104.818		0	629	641	-12
ISSUE: 063904106 - BANK OF THE OZARKS INC							
130006-007 02/09/17 S	11	54.629		0	601	422	179
ISSUE: 073302101 - BE AEROSPACE INC							
130006-007 02/09/17 S	7	61.800		0	433	362	71
ISSUE: 075896100 - BED BATH & BEYOND INC COM							
130006-007 02/09/17 S	20	40.329		0	807	875	-69
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008 02/09/17 S	2,578	7.730		0	19,928	19,619	309
ISSUE: 09247X101 - BLACKROCK INC							
130006-002 02/09/17 S	2	378.340		0	757	772	-15
ISSUE: 100557107 - BOSTON BEER INCORPORATED CLASS A							
130006-007 02/09/17 S	4	162.248		0	649	621	28
ISSUE: 110448107 - BRITISH AMERICAN TOBACCO-SP ADR							
130006-002 02/09/17 S	3	125.997		0	378	383	-5
ISSUE: 11133B409 - BROADSOFT INC							
130006-007 02/09/17 S	12	43.099		0	517	559	-41
ISSUE: 11133T103 - BROADRIDGE FINANCIAL SOLUTIONS INC							
130006-007 02/09/17 S	8	67.609		0	541	542	-1
ISSUE: 122017106 - BURLINGTON STORES INC							
130006-007 02/09/17 S	11	86.058		0	947	891	55
ISSUE: 12504L109 - CBRE GROUP INC							
130006-007 02/09/17 S	30	31.449		0	943	839	104
ISSUE: 12650T104 - CSRA INC							
130006-007 02/09/17 S	19	30.770		0	585	613	-28
ISSUE: 126650100 - CVS HEALTH CORPORATION							
130006-002 02/09/17 B	50	77.420		0	3,871-	3,871	
ISSUE: 146229109 - CARTER HOLDINGS							
130006-007 02/09/17 S	6	81.388		0	488	520	-32

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 15135B101 - CENTENE CORPORATION						
130006-007 02/09/17 S	12	69.548	0	835	804	31
ISSUE: 16411R208 - CHENIERE ENERGY INC						
130006-007 02/09/17 S	10	48.569	0	486	436	50
ISSUE: 166764100 - CHEVRON CORP						
130006-002 02/09/17 B	2	112.250	0	225-	225	
ISSUE: 16949N109 - CHINA LODGING GROUP SPONS ADR						
130006-007 02/09/17 S	5	55.438	0	277	225	52
ISSUE: 17243V102 - CINEMARK HOLDINGS INC						
130006-007 02/09/17 S	1	41.730	0	42	40	2
ISSUE: 191216100 - COCA COLA CO COM						
130006-002 02/09/17 B	45	41.290	0	1,858-	1,858	
ISSUE: 194162103 - COLGATE PALMOLIVE CO COM						
130006-002 02/09/17 B	62	66.585	0	4,128-	4,128	
ISSUE: 224399105 - CRANE CO						
130006-002 02/09/17 S	101	73.164	0	7,390	6,364	1,026
ISSUE: 238337109 - DAVE & BUSTER'S ENTERTAINMENT						
130006-007 02/09/17 S	13	57.119	0	743	509	233
ISSUE: 252131107 - DEXCOM INC						
130006-007 02/09/17 S	8	79.520	0	636	701	-65
ISSUE: 25243Q205 - DIAGEO PLC SPONSORED ADR						
130006-002 02/09/17 B	1	114.310	0	114-	114	
ISSUE: 25278X109 - DIAMONDBACK ENERGY INC						
130006-007 02/09/17 S	8	103.688	0	830	772	57
ISSUE: 25659T107 - DOLBY LABORATORIES INC CL A						
130006-007 02/09/17 S	10	48.619	0	486	543	-57
ISSUE: 265504100 - DUNKIN' BRANDS GROUP INC						
130006-007 02/09/17 S	19	54.190	0	1,030	987	42
ISSUE: 26969P108 - EAGLE MATERIALS INC COM W RIGHTS						
130006-007 02/09/17 S	5	104.818	0	524	453	71
ISSUE: 29084Q100 - EMCOR GROUP INC						
130006-007 02/09/17 S	4	68.718	0	275	240	34
ISSUE: 29362U104 - ENTEGRIS INC						
130006-007 02/09/17 S	32	20.010	0	640	557	83
ISSUE: 317609667 - JEFFERIES ASSET MGMT COMODITY ST ALL						
130006-008 02/09/17 S	1,267	7.700	0	9,757	9,339	418
ISSUE: 33616C100 - FIRST REPUBLIC BANK/SAN FRAN						
130006-007 02/09/17 S	11	94.828	0	1,043	848	195

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	02/09/17 S	18	71.518	0	1,287	1,219	68
130006-007	02/09/17 S	17	38.121	0	648	628	20
130006-007	02/09/17 S	6	100.670	0	604	531	73
130006-007	02/09/17 S	5	78.450	0	392	384	8
130006-007	02/09/17 S	4	54.218	0	217	240	-23
130006-007	02/09/17 S	13	43.379	0	564	416	148
130006-008	02/09/17 S	309	47.290	0	14,596	14,396	200
130006-007	02/09/17 S	11	51.405	0	565	535	31
130006-002	02/09/17 S	11	138.669	0	1,525	1,415	110
130006-007	02/09/17 S	8	77.070	0	617	500	117
130006-007	02/09/17 S	5	142.126	0	711	564	147
130006-007	02/09/17 S	10	33.004	0	330	290	40
130006-002	02/09/17 S	51	35.449	0	1,808	1,925	-117
130006-007	02/09/17 S	6	38.138	0	229	212	17
130006-007	02/09/17 B	4	46.370	0	185-	185	
130006-007	02/09/17 B	7	22.170	0	155-	155	
130006-007	02/09/17 B	22	99.491	0	2,189-	2,189	
130006-002	02/09/17 S	3	258.003	0	774	719	55
130006-007	02/09/17 S	7	49.260	0	345	403	-59

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	02/09/17 S	47	70.529	0	3,315	3,161	154
130006-002	02/09/17 S	23	124.627	0	2,866	2,653	213
130006-007	02/09/17 S	29	55.099	0	1,598	1,617	-19
130006-007	02/09/17 S	6	192.255	0	1,154	1,110	44
130006-007	02/09/17 S	24	20.680	0	496	579	-82
130006-002	02/09/17 S	87	64.059	0	5,573	5,011	562
130006-007	02/09/17 S	6	138.653	0	832	742	90
130006-007	02/09/17 S	18	18.959	0	341	210	131
130006-007	02/09/17 S	35	15.460	0	541	505	36
130006-002	02/09/17 B	3	124.710	0	374-	374	
130006-007	02/09/17 S	6	114.753	0	689	598	91
130006-002	02/09/17 S	91	45.504	0	4,141	4,721	-580
130006-007	02/09/17 S	6	45.493	0	273	323	-50
130006-002	02/09/17 B	46	75.140	0	3,456-	3,456	
130006-007	02/09/17 S	10	90.468	0	905	686	219
130006-008	02/09/17 S	411	34.050	0	14,004	13,925	79
130006-007	02/09/17 S	11	56.849	0	625	570	56
130006-002	02/09/17 B	3	106.140	0	318-	318	
130006-007	02/09/17 S	5	66.848	0	334	315	19

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	02/09/17 S	8	115.809	0	926	960	-34
130006-007	02/09/17 S	8	56.110	0	449	386	63
130006-007	02/09/17 S	16	58.654	0	938	959	-20
130006-007	02/09/17 S	12	41.243	0	495	462	33
130006-007	02/09/17 S	3	43.597	0	131	162	-31
130006-007	02/09/17 S	3	126.947	0	381	373	8
130006-002	02/09/17 S	31	150.338	0	4,660	3,793	868
130006-007	02/09/17 S	30	22.320	0	670	845	-176
130006-007	02/09/17 S	12	62.808	0	754	704	50
130006-002	02/09/17 S	72	31.569	0	2,273	2,233	40
130006-007	02/09/17 S	33	17.840	0	589	559	30
130006-007	02/09/17 S	18	25.504	0	459	445	14
130006-007	02/09/17 S	18	32.539	0	586	558	28
130006-002	02/09/17 S	54	75.288	0	4,066	3,790	276
130006-007	02/09/17 S	12	53.590	0	643	566	77
130006-007	02/09/17 S	38	31.684	0	1,204	1,071	133
130006-002	02/09/17 S	69	107.368	0	7,408	6,730	679
130006-007	02/09/17 S	10	125.468	0	1,255	788	467
130006-002	02/09/17 S	20	110.189	0	2,204	2,032	172

continued



**WILMINGTON
TRUST**

REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 918204108 - V F CORP						
130006-002 02/09/17 B	151	49.536	0	7,480-	7,480	
ISSUE: 921908844 - VANGUARD DIVIDEND APPRECIATION ETF						
130006-002 02/09/17 S	70	87.628	0	6,134	5,875	259
ISSUE: 922475108 - VEEVA SYSTEMS INC						
130006-007 02/09/17 S	8	44.210	0	354	330	23
ISSUE: 929740108 - WABTEC CORP COM						
130006-007 02/09/17 S	7	86.179	0	603	572	32
ISSUE: 969904101 - WILLIAM SONOMA INC COM						
130006-007 02/09/17 S	20	47.640	0	953	1,022	-69
ISSUE: 98310W108 - WYNDHAM WORLDWIDE CORP						
130006-007 02/09/17 S	7	81.669	0	572	471	100
ISSUE: 98419M100 - XYLEM INC-W/I						
130006-007 02/09/17 S	9	47.560	0	428	472	-44
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 02/10/17 S	439	0.010	0	439	439	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 02/10/17 S	5,323	0.010	0	5,323	5,323	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 02/13/17 B	58,285	0.010	57,702	58,285-	58,285	
ISSUE: 092480300 - BLACKROCK BD ALL TGT SH-S						
130006-003 02/14/17 B	2,342	9.530	0	22,319-	22,319	
ISSUE: 3137EADL0 - FHLMC 1% 9/29/17						
130006-003 02/14/17 B	2,000	1.002	0	2,003-	2,003	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 02/14/17 B	645	0.010	639	645-	645	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 02/14/17 B	306	0.010	303	306-	306	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 02/14/17 S	15,501	0.010	0	15,501	15,501	0
ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18						
130006-003 02/14/17 B	6,000	0.999	0	5,994-	5,994	
ISSUE: 912828Q52 - U.S. TREASURY NOTES 0.875% 4/15/19						
130006-003 02/14/17 B	2,000	0.990	0	1,981-	1,981	
ISSUE: 912828T83 - U.S. TREASURY NOTES 0.75% 10/31/18						
130006-003 02/14/17 B	5,000	0.993	0	4,963-	4,963	
ISSUE: 912828UR9 - U.S. TREASURY NOTES 0.75% 2/28/18						
130006-003 02/14/17 B	2,000	0.998	0	1,996-	1,996	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	ISSUE: 912828V56 - U.S. TREASURY NOTES 1.125% 1/31/19 02/14/17 B	4,000	0.998	0		3,990-	3,990
130006-003	ISSUE: 9128282C3 - U.S. TREASURY NOTES 0.75% 8/31/18 02/14/17 B	2,000	0.995	0		1,989-	1,989
130006-006	ISSUE: 3135G0YM9 - FNMA 1.875% 9/18/18 02/15/17 B	2,000	1.011	0		2,022-	2,022
130006-006	ISSUE: 3137EACA5 - FHLMC 3.75% 3/27/19 02/15/17 B	2,000	1.050	0		2,100-	2,100
130006-006	ISSUE: 52470G734 - WESTERN ASSET SMASH SERIES EC FUND 02/15/17 B	1,169	9.190	0		10,741-	10,741
130006-006	ISSUE: 52470G742 - WESTERN ASSET SMASH SERIES C FUND 02/15/17 B	310	9.680	0		3,004-	3,004
130006-006	ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND 02/15/17 B	1,252	10.670	0		13,363-	13,363
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 02/15/17 S	1,113	0.010	0		1,113	1,113
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/15/17 B	14,465	0.010	14,320		14,465-	14,465
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/15/17 B	231	0.010	229		231-	231
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/15/17 B	61,902	0.010	61,283		61,902-	61,902
130006-006	ISSUE: 912828F21 - U.S. TREASURY NOTES 2.125% 9/30/21 02/15/17 B	1,000	1.006	0		1,006-	1,006
130006-006	ISSUE: 36962G3P7 - GEN ELEC CAP CRP MTN 5.875% 1/14/38 02/16/17 B	1,000	1.268	0		1,268-	1,268
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 02/16/17 B	21	0.010	21		21-	21
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/16/17 B	441	0.010	437		441-	441
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/16/17 B	4,260	0.010	4,217		4,260-	4,260
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/16/17 S	29,610	0.010	0		29,610	29,610
130006-006	ISSUE: 912810RM2 - U.S. TREASURY BONDS 3% 5/15/45 02/16/17 B	1,000	0.989	0		989-	989
130006-006	ISSUE: 912828F21 - U.S. TREASURY NOTES 2.125% 9/30/21 02/16/17 B	1,000	1.010	0		1,009-	1,009

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 92343VBR4 - VERIZON COMM INC 5.15% 9/15/23							
130006-006 02/16/17 B	2,000	1.100		0	2,200-	2,200	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 02/17/17 S	22,978	0.010		0	22,978	22,978	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 02/17/17 S	2,015	0.010		0	2,015	2,015	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 02/21/17 B	17	0.010		17	17-	17	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 02/21/17 B	2,256	0.010		2,233	2,256-	2,256	
ISSUE: 092480300 - BLACKROCK BD ALL TGT SH-S							
130006-003 02/22/17 B	1,566	9.530		0	14,924-	14,924	
ISSUE: 459058DL4 - INT BK RECON & DEV 1.875% 3/15/19							
130006-003 02/22/17 B	2,000	1.008		0	2,016-	2,016	
ISSUE: 500769GR9 - KFW 1.875% 6/30/20							
130006-003 02/22/17 B	2,000	1.001		0	2,001-	2,001	
ISSUE: 500769HC1 - KFW 1.25% 9/30/19							
130006-003 02/22/17 B	2,000	0.990		0	1,980-	1,980	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 02/22/17 B	5	0.010		5	5-	5	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 02/22/17 S	3,520	0.010		0	3,520	3,520	0
ISSUE: 912828L32 - U.S. TREASURY NOTES 1.375% 8/31/20							
130006-003 02/22/17 B	6,000	0.991		0	5,947-	5,947	
ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18							
130006-003 02/22/17 B	5,000	0.999		0	4,995-	4,995	
ISSUE: 912828Q52 - U.S. TREASURY NOTES 0.875% 4/15/19							
130006-003 02/22/17 B	1,000	0.992		0	992-	992	
ISSUE: 912828T83 - U.S. TREASURY NOTES 0.75% 10/31/18							
130006-003 02/22/17 B	2,000	0.994		0	1,987-	1,987	
ISSUE: 912828UR9 - U.S. TREASURY NOTES 0.75% 2/28/18							
130006-003 02/22/17 B	2,000	0.998		0	1,997-	1,997	
ISSUE: 912828V56 - U.S. TREASURY NOTES 1.125% 1/31/19							
130006-003 02/22/17 B	2,000	0.999		0	1,997-	1,997	
ISSUE: 912828C3 - U.S. TREASURY NOTES 0.75% 8/31/18							
130006-003 02/22/17 B	2,000	0.995		0	1,990-	1,990	
ISSUE: 94974BGB0 - WELLS FARGO CO MTN 1.4% 9/08/17							
130006-003 02/22/17 B	2,000	1.001		0	2,002-	2,002	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	02/23/17 S	86	62.725	0	5,394	4,443	952
130006-007	02/23/17 B	156	42.572	0	6,641-	6,641	
130006-006	02/23/17 B	219	9.190	0	2,010-	2,010	
130006-007	02/23/17 S	22	55.159	0	1,214	1,318	-105
130006-003	02/23/17 S	14,924	0.010	0	14,924	14,924	0
130006-006	02/23/17 B	2,000	1.031	0	2,062-	2,062	
130006-007	02/24/17 B	62	41.680	0	2,584-	2,584	
130006-007	02/24/17 S	14	54.662	0	765	839	-73
130006-007	02/24/17 B	7	0.010	7	7-	7	
130006-004	02/24/17 B	452	0.010	447	452-	452	
130006-006	02/24/17 S	2,010	0.010	0	2,010	2,010	0
130006-007	02/27/17 S	17	55.862	0	950	1,018	-69
130006-007	02/27/17 B	81	0.010	80	81-	81	
130006-003	02/27/17 S	28,034	0.010	0	28,034	28,034	0
130006-007	02/28/17 S	25	54.651	0	1,366	1,498	-131
130006-007	02/28/17 S	33	0.010	0	33	33	0
130006-004	02/28/17 B	498	0.010	493	498-	498	
130006-006	02/28/17 S	692	0.010	0	692	692	0
130006-008	03/01/17 B	183	7.780	0	1,424-	1,424	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 03/01/17 S	1,819	0.010	0		1,819	1,819	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 03/01/17 B	1,319	0.010	1,306		1,319-	1,319	
ISSUE: 20030NBB6 - COMCAST CORP 6.4% 3/01/40							
130006-006 03/02/17 B	2,000	1.280	0		2,560-	2,560	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 03/02/17 B	321	0.010	318		321-	321	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 03/02/17 B	1,811	0.010	1,793		1,811-	1,811	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 03/02/17 B	1,227	0.010	1,214		1,227-	1,227	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 03/02/17 B	1,369	0.010	1,355		1,369-	1,369	
ISSUE: 912828UR9 - U.S. TREASURY NOTES 0.75% 2/28/18							
130006-003 03/02/17 S	67,000	0.997	0		66,814	67,012	-198
ISSUE: 912828W30 - U.S. TREASURY NOTES 1.125% 2/28/19							
130006-003 03/02/17 B	68,000	0.996	0		67,737-	67,737	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 03/03/17 B	1,018	0.010	1,007		1,018-	1,018	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 03/06/17 B	1,366	0.010	1,353		1,366-	1,366	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 03/06/17 B	376	0.010	372		376-	376	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 03/06/17 B	0	0.010	0		0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 03/06/17 B	0	0.010	0		0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 03/06/17 B	1	0.010	1		1-	1	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 03/06/17 B	420	0.010	416		420-	420	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 03/06/17 B	0	0.010	0		0-	0	
ISSUE: 084670BL1 - BERKSHIRE HATHAWAY 2.1% 8/14/19							
130006-004 03/07/17 B	4,000	1.008	0		4,034-	4,034	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 03/07/17 S	914	0.010	0		914	914	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/07/17 B	288	0.010	285		288-	288
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/07/17 S	2,562	0.010	0		2,562	2,562 0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/08/17 B	26	0.010	26		26-	26
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/08/17 S	15,164	0.010	0		15,164	15,164 0
130006-004	ISSUE: 693304AL1 - PECO ENERGY CO 5.35% 3/01/18 03/09/17 S	26,000	1.036	0		26,940	27,513 -574
130006-004	ISSUE: 74456QBR6 - PUBLIC SERVICE MTN 2.25% 9/15/26 03/09/17 B	28,000	0.920	0		25,766-	25,766
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/09/17 B	216	0.010	214		216-	216
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/09/17 B	245	0.010	243		245-	245
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/10/17 B	446	0.010	441		446-	446
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/10/17 S	4,040	0.010	0		4,040	4,040 0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/13/17 B	870	0.010	861		870-	870
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/13/17 S	9,295	0.010	0		9,295	9,295 0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/15/17 B	11	0.010	10		11-	11
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/15/17 B	908	0.010	899		908-	908
130006-004	ISSUE: 05531FAN3 - BB&T CORPORATION MTN 2.05% 6/19/18 03/16/17 S	30,000	1.003	0		30,092	30,306 -214
130006-004	ISSUE: 05531FAV5 - BB&T CORPORATION MTN 2.05% 5/10/21 03/16/17 B	30,000	0.981	0		29,441-	29,441
130006-006	ISSUE: 29379VAV5 - ENTERPRISE PRODUCTS 5.7% 2/15/42 03/16/17 B	1,000	1.119	0		1,119-	1,119
130006-006	ISSUE: 375558BD4 - GILEAD SCIENCES 4.75% 3/01/46 03/16/17 B	2,000	1.010	0		2,021-	2,021
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/16/17 B	114	0.010	113		114-	114

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 03/16/17 B	1,279	0.010	1,266	1,279-	1,279	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 03/16/17 B	310	0.010	306	310-	310	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 03/16/17 B	2,795	0.010	2,767	2,795-	2,795	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 03/16/17 B	2,723	0.010	2,696	2,723-	2,723	
ISSUE: 94974BFC9 - WELLS FARGO CO MTN 3.5% 3/08/22						
130006-006 03/16/17 B	1,000	1.031	0	1,031-	1,031	
ISSUE: 3137EADL0 - FHLMC 1% 9/29/17						
130006-003 03/17/17 S	49,000	1.000	0	49,005	49,150	-145
ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18						
130006-003 03/17/17 S	41,000	0.998	0	40,904	41,077	-173
ISSUE: 912828W30 - U.S. TREASURY NOTES 1.125% 2/28/19						
130006-003 03/17/17 B	96,000	0.996	0	95,651-	95,651	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 03/20/17 B	81	0.010	80	81-	81	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 03/20/17 B	2,165	0.010	2,144	2,165-	2,165	
ISSUE: N22717107 - CORE LABORATORIES N V						
130006-007 03/21/17 S	34	109.167	0	3,712	3,819	-108
ISSUE: 008252108 - AFFILIATED MANAGERS GROUP						
130006-007 03/21/17 S	32	159.447	0	5,102	4,630	472
ISSUE: 040413106 - ARISTA NETWORKS INC						
130006-007 03/21/17 B	22	129.458	0	2,848-	2,848	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 03/21/17 B	42	0.010	42	42-	42	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 03/21/17 B	357	0.010	354	357-	357	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 03/21/17 S	3,630	0.010	0	3,630	3,630	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 03/22/17 S	5,401	0.010	0	5,401	5,401	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 03/22/17 B	584	0.010	579	584-	584	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 03/23/17 B	53	0.010	52	53-	53	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 03/24/17 B	547	0.010	542	547-	547	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 03/24/17 B	662	0.010	656	662-	662	
ISSUE: 0258M0EB1 - AMERICAN EXPRESS MTN 2.25% 5/05/21						
130006-006 03/27/17 B	2,000	0.991	0	1,983-	1,983	
ISSUE: 46625HHS2 - JPMORGAN CHASE MTN 4.4% 7/22/20						
130006-006 03/27/17 B	2,000	1.067	0	2,134-	2,134	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 03/27/17 B	6,012	0.010	5,951	6,012-	6,012	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 03/27/17 B	7	0.010	7	7-	7	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 03/27/17 B	535	0.010	530	535-	535	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 03/28/17 B	489	0.010	485	489-	489	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 03/28/17 B	2,086	0.010	2,065	2,086-	2,086	
ISSUE: 40171V100 - GUIDEWIRE SOFTWARE INC						
130006-007 03/29/17 B	16	56.648	0	906-	906	
ISSUE: 45841N107 - INTERACTIVE BROKERS GROUP INC-CL A						
130006-007 03/29/17 S	62	34.595	0	2,145	2,187	-42
ISSUE: 562750109 - MANHATTAN ASSOCS INC						
130006-007 03/29/17 S	82	50.570	0	4,147	4,725	-578
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 03/29/17 B	14,737	0.010	14,590	14,737-	14,737	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 03/29/17 S	14,701	0.010	0	14,701	14,701	0
ISSUE: 930427109 - WAGeworks INC						
130006-007 03/29/17 B	41	71.625	0	2,937-	2,937	
ISSUE: 375558BD4 - GILEAD SCIENCES 4.75% 3/01/46						
130006-006 03/30/17 S	30,000	1.016	0	30,464	33,028	-2,564
ISSUE: 40171V100 - GUIDEWIRE SOFTWARE INC						
130006-007 03/30/17 B	17	56.430	0	959-	959	
ISSUE: 45841N107 - INTERACTIVE BROKERS GROUP INC-CL A						
130006-007 03/30/17 S	43	34.584	0	1,487	1,517	-29
ISSUE: 562750109 - MANHATTAN ASSOCS INC						
130006-007 03/30/17 S	9	50.968	0	459	519	-60

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 03/30/17 S	4,151		0.010	0	4,151	4,151	0
ISSUE: 912810RQ3 - U.S. TREASURY BONDS 2.5% 2/15/46							
130006-006 03/30/17 B	33,000		0.896	0	29,561-	29,561	
ISSUE: 930427109 - WAGeworks INC							
130006-007 03/30/17 B	25		72.118	0	1,803-	1,803	
ISSUE: 05565QDB1 - BP CAPITAL MARKETS 3.119% 5/04/26							
130006-006 03/31/17 B	31,000		0.982	0	30,426-	30,426	
ISSUE: 40171V100 - GUIDEWIRE SOFTWARE INC							
130006-007 03/31/17 B	24		56.555	0	1,357-	1,357	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 03/31/17 B	128		0.010	127	128-	128	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 03/31/17 B	535		0.010	530	535-	535	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 03/31/17 B	229		0.010	227	229-	229	
ISSUE: 912828F21 - U.S. TREASURY NOTES 2.125% 9/30/21							
130006-006 03/31/17 S	30,000		1.010	0	30,307	31,241	-934
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008 04/03/17 B	195		7.740	0	1,508-	1,508	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 04/03/17 B	726		0.010	719	726-	726	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 04/03/17 B	218		0.010	215	218-	218	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 04/03/17 B	478		0.010	473	478-	478	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 04/04/17 B	1,713		0.010	1,696	1,713-	1,713	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 04/04/17 B	379		0.010	375	379-	379	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 04/04/17 B	1,724		0.010	1,707	1,724-	1,724	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 04/04/17 B	30,312		0.010	30,009	30,312-	30,312	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 04/05/17 S	1,357		0.010	0	1,357	1,357	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 04/05/17 B	612		0.010	606	612-	612	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	04/05/17 S	29,907	0.010	0	29,907	29,907	0
130006-004	04/06/17 S	14,000	1.028	0	14,392	14,616	-224
130006-004	04/06/17 B	13,000	1.052	0	13,671-	13,671	
130006-002	04/06/17 B	0	0.010	0	0-	0	
130006-003	04/06/17 B	0	0.010	0	0-	0	
130006-004	04/06/17 B	1	0.010	1	1-	1	
130006-006	04/06/17 B	0	0.010	0	0-	0	
130006-002	04/07/17 B	204	0.010	202	204-	204	
130006-002	04/12/17 B	432	0.010	428	432-	432	
130006-004	04/12/17 B	582	0.010	577	582-	582	
130006-006	04/12/17 S	1,958	0.010	0	1,958	1,958	0
130006-007	04/13/17 B	28	0.010	28	28-	28	
130006-007	04/17/17 S	97	38.348	0	3,720	4,245	-525
130006-007	04/17/17 B	26	74.689	0	1,942-	1,942	
130006-007	04/17/17 S	66	89.576	0	5,912	5,089	823
130006-007	04/17/17 B	292	24.087	0	7,033-	7,033	
130006-002	04/17/17 B	191	0.010	189	191-	191	
130006-007	04/17/17 B	114	45.973	0	5,241-	5,241	
130006-007	04/18/17 S	91	38.379	0	3,493	3,982	-490

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 29977A105 - EVERCORE PARTNERS INC CL A							
130006-007 04/18/17 B	33	75.157		0		2,480-	2,480
ISSUE: 52470G734 - WESTERN ASSET SMASH SERIES EC FUND							
130006-006 04/18/17 B	110	9.240		0		1,020-	1,020
ISSUE: 52470G742 - WESTERN ASSET SMASH SERIES C FUND							
130006-006 04/18/17 B	105	9.720		0		1,024-	1,024
ISSUE: 745867101 - PULTE GROUP INC							
130006-007 04/18/17 B	95	24.067		0		2,286-	2,286
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 04/18/17 B	14	0.010		14		14-	14
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 04/18/17 B	223	0.010		221		223-	223
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 04/18/17 S	44	0.010		0		44	44 0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 04/18/17 B	2,952	0.010		2,923		2,952-	2,952
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 04/18/17 B	2,589	0.010		2,564		2,589-	2,589
ISSUE: 957638109 - WESTERN ALLIANCE							
130006-007 04/18/17 B	19	45.495		0		864-	864
ISSUE: 29977A105 - EVERCORE PARTNERS INC CL A							
130006-007 04/19/17 B	23	76.628		0		1,762-	1,762
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 04/19/17 B	80	0.010		79		80-	80
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 04/19/17 B	57	0.010		57		57-	57
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 04/19/17 B	67	0.010		66		67-	67
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 04/19/17 S	3,103	0.010		0		3,103	3,103 0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-008 04/19/17 B	69	0.010		69		69-	69
ISSUE: 3135G0YM9 - FNMA 1.875% 9/18/18							
130006-006 04/20/17 B	2,000	1.010		0		2,020-	2,020
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 04/20/17 S	4,584	0.010		0		4,584	4,584 0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 04/20/17 B	2,457	0.010		2,433		2,457-	2,457

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	ISSUE: 912828J27 - U.S. TREASURY NOTES 2% 2/15/25 04/20/17 B	6,000	0.989	0	5,936-	5,936	
130006-004	ISSUE: 912828T67 - U.S. TREASURY NOTES 1.25% 10/31/21 04/20/17 B	8,000	0.980	0	7,836-	7,836	
130006-004	ISSUE: 912828VB3 - U.S. TREASURY BONDS 1.75% 5/15/23 04/20/17 B	6,000	0.988	0	5,929-	5,929	
130006-004	ISSUE: 912828A7 - U.S. TREASURY NOTES 1.5% 8/15/26 04/20/17 B	10,000	0.938	0	9,381-	9,381	
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 04/21/17 S	243	7.740	0	1,883	1,852	31
130006-007	ISSUE: 15135B101 - CENTENE CORPORATION 04/21/17 S	102	70.833	0	7,225	6,830	395
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 04/21/17 S	2,138	0.010	0	2,138	2,138	0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/21/17 S	1,563	0.010	0	1,563	1,563	0
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/21/17 S	2,344	0.010	0	2,344	2,344	0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/21/17 S	29,224	0.010	0	29,224	29,224	0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/21/17 S	1,978	0.010	0	1,978	1,978	0
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/21/17 S	2,024	0.010	0	2,024	2,024	0
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/21/17 S	2,092	0.010	0	2,092	2,092	0
130006-008	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/21/17 S	69	0.010	0	69	69	0
130006-007	ISSUE: 15135B101 - CENTENE CORPORATION 04/24/17 S	45	71.845	0	3,233	3,013	220
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 04/24/17 S	3,302	0.010	0	3,302	3,302	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 04/24/17 B	11	0.010	11	11-	11	
130006-004	ISSUE: 69349LAD0 - PNC BANK NA MTN 6% 12/07/17 04/26/17 S	12,000	1.025	0	12,304	12,625	-320
130006-004	ISSUE: 69349LAK4 - PNC BANK NA 2.95% 1/30/23 04/26/17 B	12,000	1.010	0	12,115-	12,115	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/26/17 B	9,243	0.010	9,151		9,243-	9,243
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/26/17 B	1,370	0.010	1,356		1,370-	1,370
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 04/27/17 B	7,225	0.010	7,153		7,225-	7,225
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 04/28/17 B	3,328	0.010	3,295		3,328-	3,328
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 05/01/17 B	192	7.780	0		1,490-	1,490
130006-004	ISSUE: 69353REJ3 - PNC BANK NA 1.5% 2/23/18 05/01/17 S	13,000	1.000	0		12,998	13,040
130006-004	ISSUE: 69353RFB9 - PNC BANK NA 2.625% 2/17/22 05/01/17 B	12,000	1.009	0		12,112-	12,112
130006-007	ISSUE: 44930G107 - ICU MEDICAL INC 05/02/17 B	8	155.334	0		1,243-	1,243
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/02/17 B	272	0.010	269		272-	272
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/02/17 B	2,109	0.010	2,088		2,109-	2,109
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/02/17 B	1,188	0.010	1,177		1,188-	1,188
130006-007	ISSUE: 44930G107 - ICU MEDICAL INC 05/03/17 B	5	154.744	0		774-	774
130006-007	ISSUE: 44930G107 - ICU MEDICAL INC 05/04/17 B	7	154.967	0		1,085-	1,085
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/04/17 B	0	0.010	0		0-	0
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/04/17 B	0	0.010	0		0-	0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/04/17 B	1	0.010	0		1-	1
130006-007	ISSUE: 881569107 - TESARO INC 05/05/17 B	27	144.582	0		3,904-	3,904
130006-007	ISSUE: 896239100 - TRIMBLE INCORPORATED 05/05/17 B	90	35.954	0		3,236-	3,236
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/05/17 S	1,243	0.010	0		1,243	1,243

0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/05/17 B	858	0.010	849	858-	858
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/05/17 B	483	0.010	479	483-	483
130006-007	ISSUE: 44930G107 - ICU MEDICAL INC 05/08/17 B	12	156.506	0	1,878-	1,878
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/08/17 S	774	0.010	0	774	774 0
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/08/17 B	349	0.010	345	349-	349
130006-007	ISSUE: 881569107 - TESARO INC 05/09/17 B	9	137.560	0	1,238-	1,238
130006-007	ISSUE: 896239100 - TRIMBLE INCORPORATED 05/09/17 B	39	35.925	0	1,401-	1,401
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/09/17 S	1,085	0.010	0	1,085	1,085 0
130006-007	ISSUE: G01767105 - ALKERMES PLC 05/10/17 B	57	57.533	0	3,279-	3,279
130006-007	ISSUE: 45168D104 - IDEXX LABS COMMON 05/10/17 S	55	163.156	0	8,974	6,200 2,773
130006-004	ISSUE: 69351UAP8 - PPL ELECTRIC UTILS 3% 9/15/21 05/10/17 B	25,000	1.027	0	25,678-	25,678
130006-007	ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC 05/10/17 B	37	125.170	0	4,631-	4,631
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/10/17 S	4,493	0.010	0	4,493	4,493 0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/10/17 B	1,014	0.010	1,004	1,014-	1,014
130006-007	ISSUE: G01767105 - ALKERMES PLC 05/11/17 B	113	57.086	0	6,451-	6,451
130006-007	ISSUE: 45168D104 - IDEXX LABS COMMON 05/11/17 S	29	162.596	0	4,715	3,269 1,446
130006-007	ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC 05/11/17 B	6	124.522	0	747-	747
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/11/17 S	1,878	0.010	0	1,878	1,878 0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/11/17 B	308	0.010	304	308-	308

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 05/12/17 B	17	0.010		17	17-	17
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 05/15/17 B	4,280	0.010		4,237	4,280-	4,280
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/15/17 S	25,803	0.010		0	25,803	25,803 0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 05/16/17 S	1,420	0.010		0	1,420	1,420 0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 05/16/17 B	1,082	0.010		1,071	1,082-	1,082
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/16/17 B	2,111	0.010		2,090	2,111-	2,111
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 05/16/17 B	3,260	0.010		3,227	3,260-	3,260
ISSUE: 3137EACA5 - FHLMC 3.75% 3/27/19						
130006-006 05/17/17 B	2,000	1.045		0	2,090-	2,090
ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND						
130006-006 05/17/17 B	134	10.920		0	1,466-	1,466
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 05/17/17 B	20	0.010		19	20-	20
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/17/17 B	745	0.010		738	745-	745
ISSUE: 86787EAM9 - SUNTRUST BANK MTN 7.25% 3/15/18						
130006-004 05/18/17 S	18,000	0.010		0	18,803	19,405 -602
ISSUE: 86787EAN7 - SUNTRUST BANK 2.75% 5/01/23						
130006-004 05/18/17 B	18,000	1.005		0	18,091-	18,091
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 05/18/17 S	1,497	0.010		0	1,497	1,497 0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/19/17 S	15,729	0.010		0	15,729	15,729 0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 05/19/17 S	2,878	0.010		0	2,878	2,878 0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/23/17 B	1,053	0.010		1,042	1,053-	1,053
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 05/24/17 B	10	0.010		10	10-	10
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/24/17 B	928	0.010		919	928-	928

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/25/17 S	2	0.010	0		2	0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/26/17 B	622	0.010	615		622-	622
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/26/17 B	1,274	0.010	1,261		1,274-	1,274
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/30/17 B	93	0.010	92		93-	93
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/31/17 B	25	0.010	25		25-	25
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/31/17 B	139	0.010	137		139-	139
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 06/01/17 B	193	7.800	0		1,505-	1,505
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/02/17 B	43	0.010	43		43-	43
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/02/17 B	322	0.010	319		322-	322
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/02/17 B	1,656	0.010	1,640		1,656-	1,656
130006-003	ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18 06/02/17 S	120,000	0.998	0	119,700		120,224 -524
130006-003	ISSUE: 912828XS4 - U.S. TREASURY NOTES 1.25% 5/31/19 06/02/17 B	120,000	1.000	0	119,935-		119,935
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/05/17 B	389	0.010	385		389-	389
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/05/17 B	388	0.010	384		388-	388
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/06/17 B	0	0.010	0		0-	0
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/06/17 B	0	0.010	0		0-	0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/06/17 B	0	0.010	0		0-	0
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/07/17 S	68	0.010	0	68		68 0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/09/17 B	256	0.010	253		256-	256

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	06/12/17 S	ISSUE: G51502105 - JOHNSON CONTROLS INTERNATIONAL PLC 1,019	41.879	0	42,675	46,563	-3,888
130006-002	06/12/17 B	ISSUE: 478160104 - JOHNSON & JOHNSON 348	131.694	0	45,829-	45,829	
130006-004	06/12/17 S	ISSUE: 59156RAR9 - METLIFE INC 6.817% 8/15/18 27,000	1.059	0	28,584	29,651	-1,067
130006-004	06/12/17 B	ISSUE: 59156RBB3 - METLIFE INC 4.368% 9/15/23 28,000	1.099	0	30,786-	30,786	
130006-007	06/12/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 89	0.010	88	89-	89	
130006-002	06/12/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 190	0.010	188	190-	190	
130006-007	06/13/17 B	ISSUE: 16949N109 - CHINA LODGING GROUP SPONS ADR 16	80.827	0	1,293-	1,293	
130006-007	06/13/17 B	ISSUE: 400110102 - GRUBHUB INC 91	43.514	0	3,960-	3,960	
130006-007	06/13/17 S	ISSUE: 48562P103 - KAPSTONE PAPER & PACKAGING CORP 162	21.823	0	3,535	3,078	457
130006-007	06/13/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 60	0.010	60	60-	60	
130006-002	06/13/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,213	0.010	1,201	1,213-	1,213	
130006-007	06/14/17 B	ISSUE: 400110102 - GRUBHUB INC 98	44.076	0	4,319-	4,319	
130006-007	06/14/17 S	ISSUE: 48562P103 - KAPSTONE PAPER & PACKAGING CORP 68	21.381	0	1,454	1,292	162
130006-007	06/14/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 26	0.010	26	26-	26	
130006-007	06/15/17 S	ISSUE: 48562P103 - KAPSTONE PAPER & PACKAGING CORP 51	21.314	0	1,087	969	118
130006-007	06/15/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 53	0.010	52	53-	53	
130006-002	06/15/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,154	0.010	0	3,154	3,154	0
130006-004	06/15/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,588	0.010	0	1,588	1,588	0
130006-007	06/16/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 1,687	0.010	0	1,687	1,687	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	06/16/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	888	0.010	879	888-	888
130006-004	06/16/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	1,706	0.010	1,689	1,706-	1,706
130006-006	06/16/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	2,835	0.010	2,806	2,835-	2,835
130006-007	06/19/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS	2,756	0.010	0	2,756	2,756 0
130006-002	06/20/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	675	0.010	668	675-	675
130006-006	06/20/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	5,107	0.010	5,056	5,107-	5,107
130006-007	06/21/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS	1,099	0.010	1,088	1,099-	1,099
130006-004	06/21/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	17,167	0.010	16,996	17,167-	17,167
130006-007	06/22/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS	23	0.010	23	23-	23
130006-006	06/23/17 B	ISSUE: 52470G742 - WESTERN ASSET SMASH SERIES C FUND	225	9.740	0	2,189-	2,189
130006-006	06/23/17 B	ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND	185	10.950	0	2,022-	2,022
130006-007	06/23/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS	31	0.010	30	31-	31
130006-007	06/26/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS	1	0.010	1	1-	1
130006-007	06/26/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS	11	0.010	11	11-	11
130006-002	06/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	574	0.010	568	574-	574
130006-006	06/26/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	4,211	0.010	0	4,211	4,211 0
130006-002	06/27/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	241	0.010	239	241-	241
130006-004	06/27/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	744	0.010	737	744-	744
130006-006	06/27/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	1,264	0.010	1,251	1,264-	1,264

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/28/17 B	746	0.010	739	746-	746
130006-006	ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND 06/29/17 B	105	10.880	0	1,146-	1,146
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/30/17 B	67	0.010	66	67-	67
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/30/17 B	556	0.010	551	556-	556
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/30/17 B	309	0.010	306	309-	309
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 07/03/17 B	185	7.770	0	1,441-	1,441
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 07/03/17 S	1,146	0.010	0	1,146	1,146 0
130006-007	ISSUE: G4617B105 - HORIZON PHARMA PLC 07/05/17 S	170	12.459	0	2,118	3,082 -964
130006-007	ISSUE: 016255101 - ALIGN TECHNOLOGY INCORPORATED 07/05/17 S	19	151.998	0	2,888	1,781 1,107
130006-007	ISSUE: 040413106 - ARISTA NETWORKS INC 07/05/17 S	21	148.052	0	3,109	1,944 1,165
130006-007	ISSUE: 238337109 - DAVE & BUSTER'S ENTERTAINMENT 07/05/17 S	17	65.282	0	1,110	666 444
130006-007	ISSUE: 29084Q100 - EMCOR GROUP INC 07/05/17 B	7	66.881	0	468-	468
130006-007	ISSUE: 44930G107 - ICU MEDICAL INC 07/05/17 B	8	176.178	0	1,409-	1,409
130006-007	ISSUE: 58733R102 - MERCADOLIBRE INC 07/05/17 S	18	249.613	0	4,493	3,329 1,164
130006-007	ISSUE: 745867101 - PULTE GROUP INC 07/05/17 B	140	24.687	0	3,456-	3,456
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 07/05/17 B	65	0.010	64	65-	65
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 07/05/17 B	379	0.010	375	379-	379
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 07/05/17 B	1,603	0.010	1,587	1,603-	1,603
130006-007	ISSUE: G4617B105 - HORIZON PHARMA PLC 07/06/17 S	30	12.280	0	368	544 -176

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 016255101 - ALIGN TECHNOLOGY INCORPORATED							
130006-007 07/06/17 S	27	150.239		0	4,056	2,531	1,525
ISSUE: 040413106 - ARISTA NETWORKS INC							
130006-007 07/06/17 S	11	144.799		0	1,593	1,018	574
ISSUE: 238337109 - DAVE & BUSTER'S ENTERTAINMENT							
130006-007 07/06/17 S	37	64.860		0	2,400	1,450	950
ISSUE: 29084Q100 - EMCOR GROUP INC							
130006-007 07/06/17 B	38	66.938		0	2,544-	2,544	
ISSUE: 44930G107 - ICU MEDICAL INC							
130006-007 07/06/17 B	8	174.228		0	1,394-	1,394	
ISSUE: 58733R102 - MERCADOLIBRE INC							
130006-007 07/06/17 S	23	248.079		0	5,706	4,254	1,452
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/06/17 B	35	0.010		34	35-	35	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 07/07/17 B	2	0.010		2	2-	2	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 07/07/17 B	2	0.010		2	2-	2	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 07/07/17 B	3	0.010		3	3-	3	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 07/07/17 B	1	0.010		1	1-	1	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 07/10/17 B	349	0.010		345	349-	349	
ISSUE: 06406HDB2 - BANK OF NY MELL MTN 1.6% 5/22/18							
130006-004 07/11/17 B	17,000	1.001		0	17,020-	17,020	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/11/17 B	8,384	0.010		8,300	8,384-	8,384	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 07/11/17 B	165	0.010		163	165-	165	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/12/17 B	10,186	0.010		10,084	10,186-	10,186	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 07/12/17 B	160	0.010		158	160-	160	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 07/12/17 S	3,090	0.010		0	3,090	3,090	0
ISSUE: 912810RQ3 - U.S. TREASURY BONDS 2.5% 2/15/46							
130006-006 07/12/17 B	1,000	0.921		0	921-	921	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 07/13/17 B	23	0.010	23	23-	23	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 07/13/17 S	931	0.010	0	931	931	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 07/14/17 S	17,060	0.010	0	17,060	17,060	0
ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND						
130006-006 07/17/17 B	135	10.870	0	1,465-	1,465	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 07/18/17 B	14	0.010	14	14-	14	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 07/18/17 B	3,519	0.010	3,484	3,519-	3,519	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 07/18/17 B	2,247	0.010	2,224	2,247-	2,247	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 07/20/17 B	2,506	0.010	2,481	2,506-	2,506	
ISSUE: G0750C108 - AXALTA COATING SYSTEMS LTD						
130006-007 07/24/17 B	155	32.189	0	4,989-	4,989	
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST						
130006-008 07/24/17 S	257	7.830	0	2,011	1,956	55
ISSUE: 122017106 - BURLINGTON STORES INC						
130006-007 07/24/17 S	108	84.792	0	9,158	8,750	407
ISSUE: 43283X105 - HILTON GRAND VACATIONS INC						
130006-007 07/24/17 B	17	35.888	0	610-	610	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 07/24/17 B	32	0.010	31	32-	32	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 07/24/17 B	226	0.010	224	226-	226	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 07/24/17 S	3,268	0.010	0	3,268	3,268	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 07/24/17 S	2,187	0.010	0	2,187	2,187	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 07/24/17 S	2,012	0.010	0	2,012	2,012	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 07/24/17 B	525	0.010	520	525-	525	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 07/24/17 S	1,088	0.010	0	1,088	1,088	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	ISSUE: 969904101 - WILLIAM SONOMA INC COM 07/24/17 S	96	44.545	0	4,276	4,904	-627
130006-007	ISSUE: 146229109 - CARTER HOLDINGS 07/25/17 S	78	88.626	0	6,913	6,763	149
130006-007	ISSUE: 224399105 - CRANE CO 07/25/17 B	79	79.364	0	6,270-	6,270	
130006-007	ISSUE: 40416M105 - HD SUPPLY HOLDINGS INC 07/25/17 S	246	32.167	0	7,913	7,867	46
130006-007	ISSUE: 43283X105 - HILTON GRAND VACATIONS INC 07/25/17 B	76	36.340	0	2,762-	2,762	
130006-007	ISSUE: 45245E109 - IMAX CORPORATION 07/25/17 S	170	21.359	0	3,631	4,925	-1,294
130006-007	ISSUE: 59408Q106 - MICHAELS COS INC/THE 07/25/17 S	262	19.566	0	5,126	6,318	-1,192
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 07/25/17 S	1,632	0.010	0	1,632	1,632	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 07/25/17 B	16	0.010	16	16-	16	
130006-007	ISSUE: 90385D107 - THE ULTIMATE SOFTWARE GROUP INC 07/25/17 B	29	230.420	0	6,682-	6,682	
130006-007	ISSUE: 969904101 - WILLIAM SONOMA INC COM 07/25/17 S	70	44.932	0	3,145	3,576	-430
130006-007	ISSUE: 217204106 - COPART INC 07/26/17 B	73	31.809	0	2,322-	2,322	
130006-007	ISSUE: 25754A201 - DOMINO'S PIZZA INC 07/26/17 B	52	190.886	0	9,926-	9,926	
130006-007	ISSUE: 43283X105 - HILTON GRAND VACATIONS INC 07/26/17 B	43	36.612	0	1,574-	1,574	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 07/26/17 B	8,131	0.010	8,050	8,131-	8,131	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 07/26/17 B	1,783	0.010	1,766	1,783-	1,783	
130006-007	ISSUE: 91879Q109 - VAIL RESORTS INC 07/26/17 B	27	212.704	0	5,743-	5,743	
130006-007	ISSUE: 217204106 - COPART INC 07/27/17 B	72	31.811	0	2,290-	2,290	
130006-004	ISSUE: 46625HHL7 - JPMORGAN CHASE & CO 6.3% 4/23/19 07/27/17 B	20,000	1.076	0	21,512-	21,512	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 48126EAA5 - JPMORGAN CHASE 2% 8/15/17							
130006-004 07/27/17 S	22,000	1.000	0		22,004	22,126	-121
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 07/27/17 B	204	0.010	202		204-	204	
ISSUE: 912828F21 - U.S. TREASURY NOTES 2.125% 9/30/21							
130006-006 07/27/17 B	1,000	1.016	0		1,016-	1,016	
ISSUE: 91879Q109 - VAIL RESORTS INC							
130006-007 07/27/17 B	12	212.061	0		2,545-	2,545	
ISSUE: 217204106 - COPART INC							
130006-007 07/28/17 B	76	31.850	0		2,421-	2,421	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/28/17 B	7,835	0.010	7,756		7,835-	7,835	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 07/28/17 S	1,022	0.010	0		1,022	1,022	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/31/17 S	6,648	0.010	0		6,648	6,648	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 07/31/17 B	177	0.010	175		177-	177	
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008 08/01/17 B	188	7.850	0		1,473-	1,473	
ISSUE: 217204106 - COPART INC							
130006-007 08/01/17 B	93	31.640	0		2,943-	2,943	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/01/17 S	4,835	0.010	0		4,835	4,835	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 08/01/17 B	636	0.010	629		636-	636	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/02/17 S	2,421	0.010	0		2,421	2,421	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 08/02/17 B	1,532	0.010	1,517		1,532-	1,532	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 08/02/17 B	2,129	0.010	2,108		2,129-	2,129	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 08/02/17 S	1,040	0.010	0		1,040	1,040	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/03/17 B	16	0.010	16		16-	16	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/04/17 S	2,943	0.010	0		2,943	2,943	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/04/17 B	272	0.010	269		272-	272
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/04/17 B	4	0.010	4		4-	4
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/04/17 B	3	0.010	3		3-	3
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/04/17 B	5	0.010	5		5-	5
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/04/17 B	1	0.010	1		1-	1
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/08/17 B	322	0.010	319		322-	322
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/14/17 S	2,911	0.010	0	2,911		2,911 0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/15/17 B	279	0.010	276		279-	279
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/15/17 B	273	0.010	270		273-	273
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 08/16/17 B	20	0.010	19		20-	20
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/16/17 B	477	0.010	472		477-	477
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/16/17 B	3,155	0.010	3,123		3,155-	3,155
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/16/17 B	3,822	0.010	3,784		3,822-	3,822
130006-007	ISSUE: 344849104 - FOOT LOCKER INC 08/18/17 S	206	34.423	0	7,091		13,950 -6,859
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/18/17 B	158	0.010	156		158-	158
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/18/17 B	2,124	0.010	2,103		2,124-	2,124
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 08/21/17 B	7,814	7.770	0	60,715		60,715
130006-008	ISSUE: 317609667 - JEFFERIES ASSET MGMT COMODITY ST ALL 08/21/17 B	1,730	7.110	0	12,297		12,297
130006-008	ISSUE: 412295305 - HARDING LOEVNER EMRG MKTS FD CL-ADV 08/21/17 S	637	55.080	0	35,083		29,709 5,375

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-008	08/21/17 S	946	40.100	0	37,929	32,025	5,904
130006-007	08/23/17 S	7	146.413	0	1,025	1,087	-62
130006-007	08/23/17 B	2	749.605	0	1,499-	1,499	
130006-007	08/23/17 S	38	36.107	0	1,372	2,055	-683
130006-007	08/23/17 S	189	17.932	0	3,389	5,326	-1,937
130006-007	08/23/17 B	42	64.391	0	2,704-	2,704	
130006-007	08/24/17 S	14	145.025	0	2,030	2,174	-143
130006-007	08/24/17 B	2	741.115	0	1,482-	1,482	
130006-007	08/24/17 S	87	32.949	0	2,867	3,474	-607
130006-007	08/24/17 S	79	43.774	0	3,458	4,253	-795
130006-007	08/24/17 S	51	36.079	0	1,840	2,759	-919
130006-007	08/24/17 S	181	18.213	0	3,297	5,101	-1,804
130006-007	08/24/17 B	7,091	0.010	7,020	7,091-	7,091	
130006-004	08/24/17 B	354	0.010	351	354-	354	
130006-007	08/25/17 S	9	146.722	0	1,321	1,397	-77
130006-007	08/25/17 B	3	740.487	0	2,221-	2,221	
130006-007	08/25/17 S	19	33.306	0	633	759	-126
130006-007	08/25/17 S	84	44.817	0	3,765	4,522	-758
130006-007	08/25/17 B	20	0.010	20	20-	20	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	08/28/17 B	2	742.870	0	1,486-	1,486	
130006-007	08/28/17 B	83	0.010	82	83-	83	
130006-004	08/28/17 B	867	0.010	858	867-	867	
130006-006	08/28/17 B	1,414	0.010	1,399	1,414-	1,414	
130006-006	08/29/17 S	31,000	1.004	0	31,117	31,428	-310
130006-006	08/29/17 B	8,000	1.073	0	8,582-	8,582	
130006-006	08/29/17 B	23,000	1.016	0	23,378-	23,378	
130006-007	08/29/17 B	1,595	0.010	1,579	1,595-	1,595	
130006-004	08/30/17 S	25,000	1.032	0	25,799	26,785	-985
130006-004	08/30/17 B	26,000	1.002	0	26,046-	26,046	
130006-007	08/30/17 B	12,062	0.010	11,941	12,062-	12,062	
130006-007	08/31/17 B	2,011	0.010	1,991	2,011-	2,011	
130006-008	09/01/17 B	194	7.800	0	1,511-	1,511	
130006-003	09/01/17 B	1,991	0.010	1,971	1,991-	1,991	
130006-006	09/01/17 S	741	0.010	0	741	741	0
130006-002	09/05/17 B	712	0.010	704	712-	712	
130006-003	09/05/17 B	1,792	0.010	1,775	1,792-	1,792	
130006-004	09/05/17 B	457	0.010	453	457-	457	
130006-006	09/05/17 B	768	0.010	760	768-	768	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	09/06/17 S	170	50.019	0	8,503	9,730	-1,227
130006-007	09/06/17 S	155	29.063	0	4,505	4,989	-485
130006-002	09/06/17 S	359	130.808	0	46,960	43,859	3,101
130006-007	09/06/17 S	114	113.062	0	12,889	8,820	4,069
130006-002	09/06/17 S	1,342	32.562	0	43,699	41,964	1,734
130006-002	09/06/17 S	555	79.741	0	44,256	47,267	-3,010
130006-002	09/06/17 S	319	137.981	0	44,016	40,082	3,934
130006-004	09/06/17 S	26,000	1.015	0	26,390	27,394	-1,004
130006-007	09/06/17 S	269	22.643	0	6,091	5,213	878
130006-007	09/06/17 S	119	73.160	0	8,706	5,631	3,075
130006-007	09/06/17 S	86	176.686	0	15,195	8,063	7,132
130006-004	09/06/17 S	20,000	1.091	0	21,811	22,913	-1,102
130006-004	09/06/17 S	20,000	1.105	0	22,099	23,273	-1,174
130006-007	09/06/17 S	88	172.886	0	15,214	8,147	7,067
130006-007	09/06/17 S	72	108.788	0	7,833	7,690	143
130006-004	09/06/17 S	30,000	1.002	0	30,076	29,441	635
130006-006	09/06/17 S	31,000	1.011	0	31,353	30,426	927
130006-003	09/06/17 S	16,000	1.001	0	16,023	16,074	-51
130006-006	09/06/17 S	29,000	1.071	0	31,070	31,357	-287

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 063904106 - BANK OF THE OZARKS						
130006-007 09/06/17 S	126	41.693	0	5,253	4,838	415
ISSUE: 06406HCL1 - BANK OF NY MELLO MTN 2.1% 8/01/18						
130006-004 09/06/17 S	10,000	1.004	0	10,043	10,150	-107
ISSUE: 06406HDB2 - BANK OF NY MELL MTN 1.6% 5/22/18						
130006-004 09/06/17 S	17,000	1.000	0	17,009	17,020	-11
ISSUE: 084670BL1 - BERKSHIRE HATHAWAY 2.1% 8/14/19						
130006-004 09/06/17 S	26,000	1.009	0	26,238	26,526	-288
ISSUE: 08579W103 - BERRY GLOBAL GROUP, INC.						
130006-007 09/06/17 S	202	55.942	0	11,300	9,229	2,071
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST						
130006-008 09/06/17 S	47,817	7.810	0	373,451	365,385	8,066
ISSUE: 09247X101 - BLACKROCK INC						
130006-002 09/06/17 S	111	415.451	0	46,115	42,818	3,297
ISSUE: 092480300 - BLACKROCK BD ALL TGT SH-S						
130006-003 09/06/17 S	72,143	9.550	0	688,966	690,935	-1,969
ISSUE: 099724106 - BORG WARNER INC COM						
130006-007 09/06/17 S	218	46.233	0	10,079	9,225	853
ISSUE: 110448107 - BRITISH AMERICAN TOBACCO-SP ADR						
130006-002 09/06/17 S	670	62.709	0	42,015	42,763	-748
ISSUE: 11133B409 - BROADSOFT INC						
130006-007 09/06/17 S	167	48.951	0	8,175	7,774	401
ISSUE: 11133T103 - BROADRIDGE FINANCIAL SOLUTIONS INC						
130006-007 09/06/17 S	139	78.523	0	10,915	9,423	1,492
ISSUE: 12504L109 - CBRE GROUP INC						
130006-007 09/06/17 S	347	35.823	0	12,431	9,709	2,721
ISSUE: 12650T104 - CSRA INC						
130006-007 09/06/17 S	232	32.681	0	7,582	7,486	96
ISSUE: 126650100 - CVS HEALTH CORPORATION						
130006-002 09/06/17 S	543	79.564	0	43,203	46,624	-3,421
ISSUE: 12685J105 - CABLE ONE INC						
130006-007 09/06/17 S	9	750.182	0	6,752	6,689	63
ISSUE: 16411R208 - CHENIERE ENERGY INC						
130006-007 09/06/17 S	146	43.680	0	6,377	6,366	12
ISSUE: 166764100 - CHEVRON CORP						
130006-002 09/06/17 S	375	111.131	0	41,674	43,231	-1,557
ISSUE: 16949N109 - CHINA LODGING GROUP SPONS ADR						
130006-007 09/06/17 S	121	112.438	0	13,605	6,028	7,577

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	09/06/17 S	23,000	1.013	0	23,296	23,378	-82
ISSUE: 172967LP4 - CITIGROUP INC V-Q 3.668% 7/24/28							
130006-002	09/06/17 S	1,025	45.872	0	47,019	43,332	3,688
ISSUE: 191216100 - COCA COLA CO COM							
130006-002	09/06/17 S	632	71.338	0	45,086	46,388	-1,302
ISSUE: 194162103 - COLGATE PALMOLIVE CO COM							
130006-006	09/06/17 S	24,000	1.336	0	32,065	33,190	-1,125
ISSUE: 20030NBB6 - COMCAST CORP 6.4% 3/01/40							
130006-004	09/06/17 S	31,000	1.008	0	31,242	31,658	-416
ISSUE: 200339DW6 - COMERICA BANK 2.5% 6/02/20							
130006-007	09/06/17 S	314	32.513	0	10,209	9,975	234
ISSUE: 217204106 - COPART INC							
130006-002	09/06/17 S	575	73.640	0	42,343	36,231	6,112
ISSUE: 224399105 - CRANE CO							
130006-007	09/06/17 S	79	72.690	0	5,742	6,270	-527
ISSUE: 224399105 - CRANE CO							
130006-007	09/06/17 S	75	51.879	0	3,891	2,939	952
ISSUE: 238337109 - DAVE & BUSTER'S ENTERTAINMENT							
130006-007	09/06/17 S	120	75.412	0	9,049	10,519	-1,470
ISSUE: 252131107 - DEXCOM INC							
130006-002	09/06/17 S	369	134.272	0	49,546	42,817	6,729
ISSUE: 25243Q205 - DIAGEO PLC SPONSORED ADR							
130006-007	09/06/17 S	79	92.519	0	7,309	7,627	-318
ISSUE: 25278X109 - DIAMONDBACK ENERGY INC							
130006-004	09/06/17 S	15,000	1.005	0	15,075	15,252	-178
ISSUE: 25468PDA1 - WALT DISNEY CO MTN 1.85% 5/30/19							
130006-007	09/06/17 S	140	50.852	0	7,119	7,601	-481
ISSUE: 25659T107 - DOLBY LABORATORIES INC CL A							
130006-007	09/06/17 S	52	181.956	0	9,462	9,926	-464
ISSUE: 25754A201 - DOMINO'S PIZZA INC							
130006-004	09/06/17 S	30,000	1.051	0	31,522	32,315	-793
ISSUE: 263901AC4 - DUKE ENERGY INDIANA 3.75% 7/15/20							
130006-007	09/06/17 S	164	52.242	0	8,568	8,523	45
ISSUE: 265504100 - DUNKIN' BRANDS GROUP INC							
130006-007	09/06/17 S	91	101.150	0	9,205	8,237	967
ISSUE: 26969P108 - EAGLE MATERIALS INC COM W RIGHTS							
130006-007	09/06/17 S	146	65.613	0	9,579	9,084	496
ISSUE: 29084Q100 - EMCOR GROUP INC							

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS	
130006-007	ISSUE: 29362U104 - ENTEGRIS INC 09/06/17 S 427		26.470	0	11,303	7,438	3,864
130006-006	ISSUE: 29379VAV5 - ENTERPRISE PRODUCTS 5.7% 2/15/42 09/06/17 S 14,000		1.177	0	16,481	15,855	625
130006-007	ISSUE: 29977A105 - EVERCORE INC 09/06/17 S 82		71.698	0	5,879	6,185	-305
130006-004	ISSUE: 30231GAJ1 - EXXON MOBIL CORP 2.397% 3/06/22 09/06/17 S 24,000		1.015	0	24,364	24,603	-239
130006-006	ISSUE: 3135G0YM9 - FNMA 1.875% 9/18/18 09/06/17 S 61,000		1.006	0	61,367	62,205	-838
130006-006	ISSUE: 31359MGK3 - FNMA 6.625% 11/15/30 09/06/17 S 11,000		1.452	0	15,972	16,586	-614
130006-006	ISSUE: 3137EACA5 - FHLMC 3.75% 3/27/19 09/06/17 S 37,000		1.038	0	38,386	39,463	-1,077
130006-008	ISSUE: 317609667 - JEFFERIES ASSET MGMT COMODITY ST ALL 09/06/17 S 31,264		7.412	0	231,719	229,972	1,747
130006-007	ISSUE: 34959E109 - FORTINET INC 09/06/17 S 256		37.273	0	9,542	9,454	88
130006-007	ISSUE: 366651107 - GARTNER INC 09/06/17 S 72		121.445	0	8,744	6,368	2,376
130006-006	ISSUE: 36962G3P7 - GEN ELEC CAP CRP MTN 5.875% 1/14/38 09/06/17 S 18,000		1.299	0	23,379	24,036	-657
130006-004	ISSUE: 36962G7M0 - GENERAL ELEC CAP MTN 2.2% 1/09/20 09/06/17 S 15,000		1.012	0	15,177	15,378	-201
130006-007	ISSUE: 37940X102 - GLOBAL PAYMENTS INC 09/06/17 S 104		95.075	0	9,888	7,983	1,905
130006-003	ISSUE: 38141GRC0 - GOLDMAN SACHS GP 2.375% 1/22/18 09/06/17 S 16,000		1.002	0	16,040	16,177	-137
130006-006	ISSUE: 38141GVM3 - GOLDMAN SACHS MTN 4% 3/03/24 09/06/17 S 21,000		1.060	0	22,251	22,550	-298
130006-007	ISSUE: 400110102 - GRUBHUB INC 09/06/17 S 189		56.692	0	10,715	8,279	2,436
130006-007	ISSUE: 40171V100 - GUIDEWIRE SOFTWARE INC 09/06/17 S 111		73.852	0	8,198	6,462	1,736
130006-008	ISSUE: 412295305 - HARDING LOEVNER EMRG MKTS FD CL-ADV 09/06/17 S 3,377		56.440	0	190,597	157,510	33,087
130006-007	ISSUE: 419879101 - HAWAIIAN HOLDINGS INC 09/06/17 S 155		42.374	0	6,568	7,533	-965

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	09/06/17 S	ISSUE: 43283X105 - HILTON GRAND VACATIONS INC 136	35.772	0	4,865	4,946 -81
130006-002	09/06/17 S	ISSUE: 437076102 - HOME DEPOT INC COM 303	155.270	0	47,047	38,990 8,057
130006-004	09/06/17 S	ISSUE: 438516BA3 - HONEYWELL INTL 4.25% 3/01/21 25,000	1.079	0	26,962	27,892 -930
130006-007	09/06/17 S	ISSUE: 44919P508 - IAC/INTERACTIVECORP 116	115.480	0	13,396	7,247 6,149
130006-007	09/06/17 S	ISSUE: 44930G107 - ICU MEDICAL INC 48	174.006	0	8,352	7,782 570
130006-002	09/06/17 S	ISSUE: 458140100 - INTEL CORP COM 1,183	35.663	0	42,189	44,658 -2,469
130006-007	09/06/17 S	ISSUE: 462222100 - IONIS PHARMACEUTICALS INC 163	55.477	0	9,043	6,011 3,031
130006-003	09/06/17 S	ISSUE: 46623EKD0 - JPMORGAN CHASE MTN 1.7% 3/01/18 16,000	1.000	0	16,005	16,049 -44
130006-004	09/06/17 S	ISSUE: 46625HHL7 - JPMORGAN CHASE & CO 6.3% 4/23/19 20,000	1.071	0	21,429	21,512 -83
130006-006	09/06/17 S	ISSUE: 46625HHS2 - JPMORGAN CHASE MTN 4.4% 7/22/20 29,000	1.068	0	30,960	31,491 -531
130006-004	09/06/17 S	ISSUE: 478160BT0 - JOHNSON & JOHNSON 2.05% 3/01/23 26,000	1.003	0	26,068	26,046 22
130006-002	09/06/17 S	ISSUE: 478160104 - JOHNSON & JOHNSON 348	130.405	0	45,381	45,829 -448
130006-003	09/06/17 S	ISSUE: 500769GR9 - KFW 1.875% 6/30/20 33,000	1.009	0	33,283	33,651 -368
130006-003	09/06/17 S	ISSUE: 500769HC1 - KFW 1.25% 9/30/19 41,000	0.995	0	40,806	40,980 -175
130006-006	09/06/17 S	ISSUE: 52470G734 - WESTERN ASSET SMASH SERIES EC FUND 32,302	9.830	0	317,532	304,742 12,790
130006-006	09/06/17 S	ISSUE: 52470G742 - WESTERN ASSET SMASH SERIES C FUND 12,032	9.820	0	118,154	117,398 755
130006-006	09/06/17 S	ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND 31,671	11.040	0	349,645	347,248 2,398
130006-007	09/06/17 S	ISSUE: 536797103 - LITHIA MOTORS INC CL-A 67	108.129	0	7,245	6,576 668
130006-002	09/06/17 S	ISSUE: 539830109 - LOCKHEED MARTIN CORPORATION COM 163	301.553	0	49,153	39,074 10,079

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	09/06/17 S	ISSUE: 571748102 - MARSH & MCLENNAN COS INC COM 597	78.712	0	46,991	40,148	6,843
130006-002	09/06/17 S	ISSUE: 580135101 - MCDONALD'S CORPORATION 338	158.521	0	53,580	38,992	14,589
130006-007	09/06/17 S	ISSUE: 58471A105 - MEDIDATA SOLUTIONS INC 121	72.883	0	8,819	6,747	2,072
130006-007	09/06/17 S	ISSUE: 58733R102 - MERCADOLIBRE INC 52	256.764	0	13,352	9,618	3,733
130006-004	09/06/17 S	ISSUE: 59156RBB3 - METLIFE INC 4.368% 9/15/23 28,000	1.098	0	30,752	30,786	-34
130006-002	09/06/17 S	ISSUE: 594918104 - MICROSOFT CORP 656	73.683	0	48,336	37,786	10,551
130006-007	09/06/17 S	ISSUE: 596278101 - MIDDLEBY CORPORATION 79	120.767	0	9,541	9,766	-225
130006-007	09/06/17 S	ISSUE: 60877T100 - MOMENTA PHARMACEUTICALS INC 313	16.760	0	5,246	3,659	1,587
130006-004	09/06/17 S	ISSUE: 637432MU6 - NATIONAL RURAL UTIL 2.35% 6/15/20 15,000	1.011	0	15,170	15,342	-172
130006-007	09/06/17 S	ISSUE: 63938C108 - NAVIENT CORP 505	13.840	0	6,989	7,291	-302
130006-002	09/06/17 S	ISSUE: 65339F101 - NEXTERA ENERGY INC 338	149.484	0	50,525	41,351	9,174
130006-007	09/06/17 S	ISSUE: 655663102 - NORDSON CORPORATION 96	109.718	0	10,533	9,564	968
130006-002	09/06/17 S	ISSUE: 655664100 - NORDSTROM INC COM 927	46.826	0	43,408	48,093	-4,685
130006-004	09/06/17 S	ISSUE: 665859AM6 - NORTHERN TRUST CORP 3.375% 8/23/21 21,000	1.046	0	21,976	22,541	-565
130006-002	09/06/17 S	ISSUE: 66987V109 - NOVARTIS AG ADR 560	83.821	0	46,940	44,042	2,898
130006-007	09/06/17 S	ISSUE: 679580100 - OLD DOMINION FREIGHT LINE INC 116	100.020	0	11,602	7,959	3,644
130006-008	09/06/17 S	ISSUE: 683974505 - OPPENHEIMER DEV MARKETS FD CLASS Y 4,638	41.060	0	190,444	157,038	33,406
130006-007	09/06/17 S	ISSUE: 690742101 - OWENS CORNING INC 80	75.238	0	6,019	4,143	1,876
130006-004	09/06/17 S	ISSUE: 69349LAK4 - PNC BANK NA 2.95% 1/30/23 12,000	1.021	0	12,253	12,115	137

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 69351UAP8 - PPL ELECTRIC UTILS 3% 9/15/21						
130006-004 09/06/17 S	25,000	1.030	0	25,749	25,678	71
ISSUE: 69353RFB9 - PNC BANK NA 2.625% 2/17/22						
130006-004 09/06/17 S	12,000	1.018	0	12,219	12,112	107
ISSUE: 713448108 - PEPSICO INC COM						
130006-002 09/06/17 S	397	115.898	0	46,012	43,174	2,838
ISSUE: 739276103 - POWER INTEGRATIONS INC						
130006-007 09/06/17 S	107	72.962	0	7,807	6,744	1,063
ISSUE: 74005P104 - PRAXAIR INC COM						
130006-002 09/06/17 S	363	131.034	0	47,565	43,575	3,990
ISSUE: 74112D101 - PRESTIGE BRANDS HOLDINGS INC						
130006-007 09/06/17 S	94	49.339	0	4,638	4,537	100
ISSUE: 742718DY2 - PROCTER & GAMBLE CO 2.3% 2/06/22						
130006-004 09/06/17 S	28,000	1.019	0	28,537	28,626	-88
ISSUE: 74432QCB9 - PRUDENTIAL FINL MTN 2.35% 8/15/19						
130006-004 09/06/17 S	32,000	1.009	0	32,302	32,650	-348
ISSUE: 744448CB5 - PUBLIC SERV CO 5.8% 8/01/18						
130006-004 09/06/17 S	33,000	1.036	0	34,193	35,656	-1,462
ISSUE: 74456QBR6 - PUBLIC SERVICE MTN 2.25% 9/15/26						
130006-004 09/06/17 S	28,000	0.960	0	26,865	25,766	1,100
ISSUE: 745867101 - PULTE GROUP INC						
130006-007 09/06/17 S	527	25.692	0	13,540	12,776	764
ISSUE: 74978Q105 - RSP PERMIAN INC						
130006-007 09/06/17 S	142	31.043	0	4,408	5,472	-1,064
ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC						
130006-007 09/06/17 S	104	130.053	0	13,526	12,961	564
ISSUE: 773903109 - ROCKWELL AUTOMATION INC.						
130006-002 09/06/17 S	281	163.874	0	46,049	34,378	11,671
ISSUE: 848637104 - SPLUNK INC						
130006-007 09/06/17 S	147	67.869	0	9,977	8,626	1,351
ISSUE: 857477AM5 - STATE STREET CORP 3.7% 11/20/23						
130006-004 09/06/17 S	13,000	1.077	0	14,008	13,671	337
ISSUE: 867224107 - SUNCOR ENERGY INC						
130006-002 09/06/17 S	1,331	32.242	0	42,914	41,274	1,639
ISSUE: 86787EAN7 - SUNTRUST BANK 2.75% 5/01/23						
130006-004 09/06/17 S	18,000	1.007	0	18,122	18,091	30
ISSUE: 868157108 - SUPERIOR ENERGY SERVICES INC COM						
130006-007 09/06/17 S	246	9.360	0	2,303	4,164	-1,861

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 868459108 - SUPERNUS PHARMACEUTICALS INC							
130006-007 09/06/17 S	236	46.890		0	11,066	5,836	5,230
ISSUE: 87612EAV8 - TARGET CORP 3.875% 7/15/20							
130006-004 09/06/17 S	30,000	1.058		0	31,731	32,827	-1,096
ISSUE: 88076W103 - TERADATA CORP							
130006-007 09/06/17 S	174	31.019		0	5,397	5,394	3
ISSUE: 881569107 - TESARO INC							
130006-007 09/06/17 S	36	132.499		0	4,770	5,142	-372
ISSUE: 882508104 - TEXAS INSTRUMENTS INC							
130006-002 09/06/17 S	558	81.897		0	45,699	39,160	6,538
ISSUE: 891906109 - TOTAL SYSTEMS SERVICES INC							
130006-007 09/06/17 S	190	69.302		0	13,167	8,959	4,209
ISSUE: 896239100 - TRIMBLE INCORPORATED							
130006-007 09/06/17 S	362	38.653		0	13,992	11,206	2,786
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 09/06/17 B	29	0.010		29	29-	29	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 09/06/17 B	420	0.010		416	420-	420	
ISSUE: 90385D107 - THE ULTIMATE SOFTWARE GROUP INC							
130006-007 09/06/17 S	29	194.977		0	5,654	6,682	-1,028
ISSUE: 907818108 - UNION PACIFIC CORP COM							
130006-002 09/06/17 S	391	105.999		0	41,445	38,134	3,311
ISSUE: 91086QBD9 - UNITED MEXICAN MTN 3.5% 1/21/21							
130006-006 09/06/17 S	30,000	1.048		0	31,425	31,826	-401
ISSUE: 911312AM8 - UNITED PARCEL 3.125% 1/15/21							
130006-004 09/06/17 S	25,000	1.043		0	26,084	26,702	-619
ISSUE: 911363109 - UNITED RENTALS INC COM							
130006-007 09/06/17 S	111	120.946		0	13,425	8,742	4,683
ISSUE: 912810RM2 - U.S. TREASURY BONDS 3% 5/15/45							
130006-006 09/06/17 S	31,000	1.057		0	32,757	34,455	-1,698
ISSUE: 912810RQ3 - U.S. TREASURY BONDS 2.5% 2/15/46							
130006-006 09/06/17 S	34,000	0.955		0	32,469	30,481	1,987
ISSUE: 912828F21 - U.S. TREASURY NOTES 2.125% 9/30/21							
130006-006 09/06/17 S	16,000	1.021		0	16,332	16,636	-304
ISSUE: 912828J27 - U.S. TREASURY NOTES 2% 2/15/25							
130006-004 09/06/17 S	110,000	1.002		0	110,262	113,633	-3,371
ISSUE: 912828L32 - U.S. TREASURY NOTES 1.375% 8/31/20							
130006-003 09/06/17 S	109,000	0.999		0	108,902	110,211	-1,309

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18 09/06/17 S 46,000	0.998	0	45,925	46,086	-161
130006-003	ISSUE: 912828Q52 - U.S. TREASURY NOTES 0.875% 4/15/19 09/06/17 S 51,000	0.994	0	50,669	51,004	-335
130006-004	ISSUE: 912828T42 - U.S. TREASURY NOTES 0.75% 9/30/18 09/06/17 S 58,000	0.995	0	57,692	57,728	-36
130006-004	ISSUE: 912828T67 - U.S. TREASURY NOTES 1.25% 10/31/21 09/06/17 S 111,000	0.986	0	109,452	108,849	603
130006-003	ISSUE: 912828T83 - U.S. TREASURY NOTES 0.75% 10/31/18 09/06/17 S 130,000	0.994	0	129,243	129,835	-592
130006-004	ISSUE: 912828VB3 - U.S. TREASURY BONDS 1.75% 5/15/23 09/06/17 S 84,000	0.998	0	83,846	85,681	-1,835
130006-003	ISSUE: 912828V56 - U.S. TREASURY NOTES 1.125% 1/31/19 09/06/17 S 113,000	0.998	0	112,793	112,879	-86
130006-003	ISSUE: 912828W30 - U.S. TREASURY NOTES 1.125% 2/28/19 09/06/17 S 164,000	0.998	0	163,673	163,389	285
130006-003	ISSUE: 912828XS4 - U.S. TREASURY NOTES 1.25% 5/31/19 09/06/17 S 120,000	1.000	0	119,944	119,935	9
130006-004	ISSUE: 912828A7 - U.S. TREASURY NOTES 1.5% 8/15/26 09/06/17 S 78,000	0.954	0	74,389	76,720	-2,330
130006-003	ISSUE: 912828C3 - U.S. TREASURY NOTES 0.75% 8/31/18 09/06/17 S 85,000	0.995	0	84,598	84,964	-366
130006-004	ISSUE: 913017BR9 - UNITED TECH CORP 4.5% 4/15/20 09/06/17 S 17,000	1.065	0	18,100	18,792	-692
130006-004	ISSUE: 913017CG2 - UNITED TECH CORP 1.95% 11/01/21 09/06/17 S 11,000	0.991	0	10,903	10,839	64
130006-002	ISSUE: 913017109 - UNITED TECHNOLOGIES CORP COM 09/06/17 S 381	109.990	0	41,906	38,710	3,197
130006-002	ISSUE: 918204108 - V F CORP 09/06/17 S 851	63.969	0	54,437	46,715	7,723
130006-007	ISSUE: 91879Q109 - VAIL RESORTS INC 09/06/17 S 39	226.265	0	8,824	8,288	537
130006-002	ISSUE: 921908844 - VANGUARD DIVIDEND APPRECIATION ETF 09/06/17 S 1,441	92.590	0	133,422	120,943	12,479
130006-007	ISSUE: 922475108 - VEEVA SYSTEMS INC 09/06/17 S 150	58.209	0	8,731	7,163	1,569
130006-006	ISSUE: 92343VBR4 - VERIZON COMM INC 5.15% 9/15/23 09/06/17 S 27,000	1.119	0	30,204	31,323	-1,119

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	09/06/17 S	103	69.946	0	7,204	8,410	-1,206
130006-007	09/06/17 S	66	58.800	0	3,881	4,740	-859
130006-006	09/06/17 S	15,000	1.046	0	15,689	15,885	-196
130006-006	09/06/17 B	15,000	1.026	0	15,389-	15,389	
130006-007	09/06/17 S	133	47.189	0	6,276	6,105	171
130006-007	09/06/17 S	106	97.675	0	10,354	7,137	3,217
130006-007	09/06/17 S	129	62.006	0	7,999	6,766	1,233
130006-007	09/07/17 B	53	0.010	52	53-	53	
130006-002	09/07/17 B	4	0.010	4	4-	4	
130006-003	09/07/17 B	4	0.010	4	4-	4	
130006-004	09/07/17 B	288	0.010	285	288-	288	
130006-004	09/07/17 B	7	0.010	7	7-	7	
130006-006	09/07/17 B	2	0.010	2	2-	2	
130006-003	09/08/17 B	1,316,665	0.010	1,303,499	1,316,665-	1,316,665	
130006-004	09/08/17 B	234,354	0.010	232,010	234,354-	234,354	
130006-006	09/08/17 B	781,782	0.010	773,965	781,782-	781,782	
130006-007	09/08/17 B	43	0.010	43	43-	43	
130006-002	09/08/17 B	223,655	0.010	221,418	223,655-	223,655	
130006-003	09/08/17 B	222,568	0.010	220,342	222,568-	222,568	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	09/08/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 249,622	0.010	0	249,622	249,622	0
130006-004	09/08/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 202,638	0.010	200,612	202,638-	202,638	
130006-006	09/08/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 235,841	0.010	233,482	235,841-	235,841	
130006-008	09/08/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 527	0.010	521	527-	527	
130006-008	09/08/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 527	0.010	0	527	527	0
130006-002	09/08/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 762,557	0.010	754,931	762,557-	762,557	
130006-002	09/11/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 3,890,994	0.010	3,852,084	3,890,994-*	3,890,994	
130006-003	09/11/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 1,316,665	0.010	0	1,316,665	1,316,665	0
130006-004	09/11/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 740,712	0.010	733,305	740,712-	740,712	
130006-004	09/11/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 7	0.010	7	7-	7	
130006-006	09/11/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 274,699	0.010	271,952	274,699-	274,699	
130006-006	09/11/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 2	0.010	2	2-	2	
130006-007	09/11/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 42,880	0.010	0	42,880	42,880	0
130006-002	09/11/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 4	0.010	0	4	4	0
130006-004	09/11/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 7	0.010	0	7	7	0
130006-006	09/11/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2	0.010	0	2	2	0
130006-006	09/11/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 250,000	0.010	0	250,000	250,000	0
130006-002	09/11/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 4	0.010	4	4-	4	
130006-004	09/12/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 108,167	0.010	107,085	108,167-	108,167	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	09/12/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 1,056,483	0.010	0	1,056,483	1,056,483	0
130006-003	09/12/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 568	0.010	563	568-	568	
130006-008	09/12/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 385	0.010	381	385-	385	
130006-002	09/12/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 1,582,436	0.010	1,566,611	1,582,436-	1,582,436	
130006-007	09/13/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 60	0.010	60	60-	60	
130006-003	09/13/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 950	0.010	0	950	950	0
130006-006	09/13/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 446	0.010	441	446-	446	
130006-006	09/13/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 446	0.010	0	446	446	0
130006-008	09/13/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 385	0.010	0	385	385	0
130006-002	09/13/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 635	0.010	629	635-	635	
130006-007	09/14/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 584	0.010	0	584	584	0
130006-002	09/14/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 2,365	0.010	2,341	2,365-	2,365	
130006-004	09/15/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 1,083,239	0.010	0	1,083,239	1,083,239	0
130006-004	09/15/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 247,954	0.010	0	247,954	247,954	0
130006-002	09/15/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 526	0.010	520	526-	526	
130006-004	09/15/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 1,083,239	0.010	1,072,407	1,083,239-	1,083,239	
130006-004	09/15/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 110,909	0.010	109,800	110,909-	110,909	
130006-004	09/15/17 S	ISSUE: 99T792GA4 - UBS AG DEPOSIT 1,194,149	0.010	0	1,194,149	1,194,149	0
130006-007	09/18/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 111	0.010	110	111-	111	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS	
130006-003	09/18/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 310	0.010	306		310-	310	
130006-006	09/18/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,701	0.010	3,664		3,701-	3,701	
130006-002	09/18/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 1,461,506	0.010	1,446,891		1,461,506-	1,461,506	
130006-003	09/19/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 310	0.010	0		310	310	0
130006-004	09/19/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,046	0.010	0		2,046	2,046	0
130006-006	09/19/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,701	0.010	0		3,701	3,701	0
130006-002	09/19/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 318	0.010	315		318-	318	
130006-002	09/20/17 S	ISSUE: 99T792GA4 - UBS AG DEPOSIT 7,707,508	0.010	0		7,707,508 *	7,707,508	0
130006-007	09/20/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 111	0.010	0		111	111	0
130006-002	09/20/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 250,000	0.010	0		250,000	250,000	0
130006-002	09/20/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 6,168	0.010	6,107		6,168-	6,168	
130006-007	09/21/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 35	0.010	34		35-	35	
130006-003	09/25/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 110	0.010	108		110-	110	
130006-006	09/25/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 94	0.010	93		94-	94	
130006-007	09/25/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 18	0.010	18		18-	18	
130006-002	09/25/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 574	0.010	568		574-	574	
130006-002	09/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 258	0.010	256		258-	258	
130006-004	09/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 740	0.010	732		740-	740	
130006-006	09/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,443	0.010	1,428		1,443-	1,443	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-003 09/27/17 S	110		0.010	0		110	110 0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 09/29/17 B	15		0.010	15		15-	15
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 09/29/17 B	556		0.010	551		556-	556
SUB-TOTAL			\$13938651		39,096,858	38,830,828	266,025
GRAND TOTAL			\$13938651		87,521,091	87,255,061	266,025

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
CATEGORY 3 - SERIES OF TRANSACTIONS IN SAME SECURITY EXCEEDS 5% OF VALUE							
ISSUE: 31420C787 - FEDERATED SHORT-TERM INC-Y							
130006-009	09/06/17 B	3,516,999	8.530	0	30,000,000-*	30,000,000	
130006-009	09/22/17 B	352,526	8.510	0	3,000,000-*	3,000,000	
SUB-TOTAL OF BUYS # 2				0	33,000,000	33,000,000	
SUB-TOTAL				0	33,000,000	33,000,000	0
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-009	09/06/17 B	100,000,000	1.000	0	100,000,000-*	100,000,000	
130006-009	09/06/17 B	1	1.000	0	1-	1	
130006-009	09/14/17 B	24,067,063	1.000	0	24,067,063-*	24,067,063	
130006-009	09/20/17 B	7,957,416	1.000	0	7,957,416-*	7,957,416	
130006-010	09/14/17 B	70,000,000	1.000	0	70,000,000-*	70,000,000	
SUB-TOTAL OF BUYS # 5				0	202,024,480	202,024,480	
130006-009	09/07/17 S	60,000,000	1.000	0	60,000,000 *	60,000,000	0
130006-009	09/07/17 S	1	1.000	0	1	1	0
130006-009	09/25/17 S	6,000,000	1.000	0	6,000,000 *	6,000,000	0
130006-010	09/15/17 S	4,994,126	1.000	0	4,994,126 *	4,994,126	0
130006-010	09/18/17 S	21,529,328	1.000	0	21,529,328 *	21,529,328	0
130006-010	09/19/17 S	8,829,841	1.000	0	8,829,841 *	8,829,841	0
130006-010	09/20/17 S	24,449,086	1.000	0	24,449,086 *	24,449,086	0
130006-010	09/21/17 S	5,208,131	1.000	0	5,208,131 *	5,208,131	0
130006-010	09/22/17 S	3,999,556	1.000	0	3,999,556 *	3,999,556	0
130006-010	09/25/17 S	828,194	1.000	0	828,194	828,194	0
SUB-TOTAL OF SALES # 10				0	135,838,263	135,838,263	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
SUB-TOTAL				0	337,862,743	337,862,743	0
ISSUE: 74676P698 - PUTNAM SHORT DUR INCOME-Y							
130006-009	09/06/17 B	2,979,146	10.070	0	30,000,000-*	30,000,000	
130006-009	09/22/17 B	297,915	10.070	0	3,000,000-*	3,000,000	
SUB-TOTAL OF BUYS # 2				0	33,000,000	33,000,000	
SUB-TOTAL				0	33,000,000	33,000,000	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-003	09/08/17 B	1,316,665	0.010	1,303,499	1,316,665-	1,316,665	
130006-003	09/25/17 B	110	0.010	108	110-	110	
130006-004	09/08/17 B	234,354	0.010	232,010	234,354-	234,354	
130006-004	09/11/17 B	740,712	0.010	733,305	740,712-	740,712	
130006-004	09/11/17 B	7	0.010	7	7-	7	
130006-004	09/12/17 B	108,167	0.010	107,085	108,167-	108,167	
130006-006	09/08/17 B	781,782	0.010	773,965	781,782-	781,782	
130006-006	09/11/17 B	274,699	0.010	271,952	274,699-	274,699	
130006-006	09/11/17 B	2	0.010	2	2-	2	
130006-006	09/25/17 B	94	0.010	93	94-	94	
130006-007	10/04/16 B	13,124	0.010	12,992	13,124-	13,124	
130006-007	10/12/16 B	1,772	0.010	1,754	1,772-	1,772	
130006-007	10/24/16 B	23	0.010	22	23-	23	
130006-007	10/25/16 B	10	0.010	10	10-	10	
130006-007	10/26/16 B	2,735	0.010	2,707	2,735-	2,735	
130006-007	10/31/16 B	70	0.010	69	70-	70	
130006-007	11/17/16 B	21	0.010	21	21-	21	
130006-007	11/18/16 B	7,752	0.010	7,674	7,752-	7,752	
130006-007	11/23/16 B	17	0.010	17	17-	17	
130006-007	11/23/16 B	6	0.010	6	6-	6	
130006-007	11/25/16 B	79	0.010	78	79-	79	
130006-007	11/28/16 B	1	0.010	1	1-	1	
130006-007	11/29/16 B	11	0.010	11	11-	11	
130006-007	11/30/16 B	55	0.010	54	55-	55	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	12/02/16 B	45	0.010	45	45-	45
130006-007	12/05/16 B	2,791	0.010	2,763	2,791-	2,791
130006-007	12/08/16 B	21	0.010	21	21-	21
130006-007	12/13/16 B	57	0.010	56	57-	57
130006-007	12/14/16 B	63	0.010	62	63-	63
130006-007	12/15/16 B	11	0.010	11	11-	11
130006-007	12/19/16 B	135	0.010	133	135-	135
130006-007	12/23/16 B	6	0.010	6	6-	6
130006-007	12/30/16 B	67	0.010	66	67-	67
130006-007	01/03/17 B	2	0.010	2	2-	2
130006-007	01/04/17 B	48	0.010	47	48-	48
130006-007	01/05/17 B	49	0.010	48	49-	49
130006-007	01/13/17 B	27	0.010	27	27-	27
130006-007	01/17/17 B	1,409	0.010	1,395	1,409-	1,409
130006-007	01/18/17 B	4,889	0.010	4,840	4,889-	4,889
130006-007	01/19/17 B	18	0.010	18	18-	18
130006-007	01/25/17 B	6	0.010	6	6-	6
130006-007	01/30/17 B	85	0.010	84	85-	85
130006-007	01/31/17 B	8	0.010	8	8-	8
130006-007	02/07/17 B	1,653	0.010	1,636	1,653-	1,653
130006-007	02/14/17 B	645	0.010	639	645-	645
130006-007	02/16/17 B	21	0.010	21	21-	21
130006-007	02/21/17 B	17	0.010	17	17-	17
130006-007	02/22/17 B	5	0.010	5	5-	5
130006-007	02/24/17 B	7	0.010	7	7-	7
130006-007	02/27/17 B	81	0.010	80	81-	81
130006-007	03/03/17 B	1,018	0.010	1,007	1,018-	1,018
130006-007	03/06/17 B	1,366	0.010	1,353	1,366-	1,366
130006-007	03/08/17 B	26	0.010	26	26-	26
130006-007	03/15/17 B	11	0.010	10	11-	11
130006-007	03/16/17 B	114	0.010	113	114-	114
130006-007	03/20/17 B	81	0.010	80	81-	81
130006-007	03/21/17 B	42	0.010	42	42-	42
130006-007	03/23/17 B	53	0.010	52	53-	53
130006-007	03/27/17 B	6,012	0.010	5,951	6,012-	6,012
130006-007	03/27/17 B	7	0.010	7	7-	7
130006-007	03/31/17 B	128	0.010	127	128-	128
130006-007	04/04/17 B	1,713	0.010	1,696	1,713-	1,713
130006-007	04/13/17 B	28	0.010	28	28-	28

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	04/18/17 B	14	0.010	14	14-	14
130006-007	04/19/17 B	80	0.010	79	80-	80
130006-007	04/24/17 B	11	0.010	11	11-	11
130006-007	04/27/17 B	7,225	0.010	7,153	7,225-	7,225
130006-007	04/28/17 B	3,328	0.010	3,295	3,328-	3,328
130006-007	05/12/17 B	17	0.010	17	17-	17
130006-007	05/15/17 B	4,280	0.010	4,237	4,280-	4,280
130006-007	05/17/17 B	20	0.010	19	20-	20
130006-007	05/24/17 B	10	0.010	10	10-	10
130006-007	05/30/17 B	93	0.010	92	93-	93
130006-007	05/31/17 B	25	0.010	25	25-	25
130006-007	06/02/17 B	43	0.010	43	43-	43
130006-007	06/12/17 B	89	0.010	88	89-	89
130006-007	06/13/17 B	60	0.010	60	60-	60
130006-007	06/14/17 B	26	0.010	26	26-	26
130006-007	06/15/17 B	53	0.010	52	53-	53
130006-007	06/21/17 B	1,099	0.010	1,088	1,099-	1,099
130006-007	06/22/17 B	23	0.010	23	23-	23
130006-007	06/23/17 B	31	0.010	30	31-	31
130006-007	06/26/17 B	1	0.010	1	1-	1
130006-007	06/26/17 B	11	0.010	11	11-	11
130006-007	06/30/17 B	67	0.010	66	67-	67
130006-007	07/05/17 B	65	0.010	64	65-	65
130006-007	07/06/17 B	35	0.010	34	35-	35
130006-007	07/11/17 B	8,384	0.010	8,300	8,384-	8,384
130006-007	07/12/17 B	10,186	0.010	10,084	10,186-	10,186
130006-007	07/13/17 B	23	0.010	23	23-	23
130006-007	07/18/17 B	14	0.010	14	14-	14
130006-007	07/24/17 B	32	0.010	31	32-	32
130006-007	07/25/17 B	16	0.010	16	16-	16
130006-007	07/28/17 B	7,835	0.010	7,756	7,835-	7,835
130006-007	08/03/17 B	16	0.010	16	16-	16
130006-007	08/16/17 B	20	0.010	19	20-	20
130006-007	08/24/17 B	7,091	0.010	7,020	7,091-	7,091
130006-007	08/25/17 B	20	0.010	20	20-	20
130006-007	08/28/17 B	83	0.010	82	83-	83
130006-007	08/29/17 B	1,595	0.010	1,579	1,595-	1,595
130006-007	08/30/17 B	12,062	0.010	11,941	12,062-	12,062
130006-007	08/31/17 B	2,011	0.010	1,991	2,011-	2,011

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	09/06/17 B	29	0.010	29	29-	29	
130006-007	09/07/17 B	53	0.010	52	53-	53	
130006-007	09/08/17 B	43	0.010	43	43-	43	
130006-007	09/13/17 B	60	0.010	60	60-	60	
130006-007	09/18/17 B	111	0.010	110	111-	111	
130006-007	09/21/17 B	35	0.010	34	35-	35	
130006-007	09/25/17 B	18	0.010	18	18-	18	
130006-007	09/29/17 B	15	0.010	15	15-	15	
SUB-TOTAL OF BUYS		# 110		3,535,768	3,571,491	3,571,491	
130006-003	09/11/17 S	1,316,665	0.010	0	1,316,665	1,316,665	0
130006-003	09/27/17 S	110	0.010	0	110	110	0
130006-004	09/15/17 S	1,083,239	0.010	0	1,083,239	1,083,239	0
130006-006	09/12/17 S	1,056,483	0.010	0	1,056,483	1,056,483	0
130006-007	10/06/16 S	3,079	0.010	0	3,079	3,079	0
130006-007	10/13/16 S	3,928	0.010	0	3,928	3,928	0
130006-007	10/14/16 S	6,081	0.010	0	6,081	6,081	0
130006-007	10/17/16 S	3,543	0.010	0	3,543	3,543	0
130006-007	10/18/16 S	13,181	0.010	0	13,181	13,181	0
130006-007	10/25/16 S	1,572	0.010	0	1,572	1,572	0
130006-007	11/08/16 S	2,441	0.010	0	2,441	2,441	0
130006-007	11/09/16 S	1,832	0.010	0	1,832	1,832	0
130006-007	11/14/16 S	2,359	0.010	0	2,359	2,359	0
130006-007	12/01/16 S	453	0.010	0	453	453	0
130006-007	12/06/16 S	12,429	0.010	0	12,429	12,429	0
130006-007	12/07/16 S	50	0.010	0	50	50	0
130006-007	01/23/17 S	3,103	0.010	0	3,103	3,103	0
130006-007	01/24/17 S	4,846	0.010	0	4,846	4,846	0
130006-007	01/25/17 S	1,520	0.010	0	1,520	1,520	0
130006-007	02/10/17 S	439	0.010	0	439	439	0
130006-007	02/15/17 S	1,113	0.010	0	1,113	1,113	0
130006-007	02/28/17 S	33	0.010	0	33	33	0
130006-007	03/01/17 S	1,819	0.010	0	1,819	1,819	0
130006-007	04/05/17 S	1,357	0.010	0	1,357	1,357	0
130006-007	04/20/17 S	4,584	0.010	0	4,584	4,584	0
130006-007	04/21/17 S	2,138	0.010	0	2,138	2,138	0
130006-007	04/24/17 S	3,302	0.010	0	3,302	3,302	0
130006-007	05/05/17 S	1,243	0.010	0	1,243	1,243	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	05/08/17 S	774	0.010	0	774	774	0
130006-007	05/09/17 S	1,085	0.010	0	1,085	1,085	0
130006-007	05/10/17 S	4,493	0.010	0	4,493	4,493	0
130006-007	05/11/17 S	1,878	0.010	0	1,878	1,878	0
130006-007	05/16/17 S	1,420	0.010	0	1,420	1,420	0
130006-007	05/25/17 S	2	0.010	0	2	2	0
130006-007	06/16/17 S	1,687	0.010	0	1,687	1,687	0
130006-007	06/19/17 S	2,756	0.010	0	2,756	2,756	0
130006-007	07/25/17 S	1,632	0.010	0	1,632	1,632	0
130006-007	07/31/17 S	6,648	0.010	0	6,648	6,648	0
130006-007	08/01/17 S	4,835	0.010	0	4,835	4,835	0
130006-007	08/02/17 S	2,421	0.010	0	2,421	2,421	0
130006-007	08/04/17 S	2,943	0.010	0	2,943	2,943	0
130006-007	09/11/17 S	42,880	0.010	0	42,880	42,880	0
130006-007	09/14/17 S	584	0.010	0	584	584	0
130006-007	09/20/17 S	111	0.010	0	111	111	0
SUB-TOTAL OF SALES # 44				0	3,609,091	3,609,091	0
SUB-TOTAL				3,535,768	7,180,582	7,180,582	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 97181C704 - WILMINGTON US GOVT MONEY MKT CL SLCT							
130006-000	10/03/16 B	1	1.000	0	1-	1	
130006-000	11/01/16 B	1	1.000	0	1-	1	
130006-000	11/09/16 B	23,138	1.000	0	23,138-	23,138	
130006-000	12/01/16 B	1	1.000	0	1-	1	
130006-000	01/03/17 B	1	1.000	0	1-	1	
130006-000	02/01/17 B	2	1.000	0	2-	2	
130006-000	03/01/17 B	2	1.000	0	2-	2	
130006-000	04/03/17 B	3	1.000	0	3-	3	
130006-000	05/01/17 B	4	1.000	0	4-	4	
130006-000	05/17/17 B	191,830,917	1.000	0	191,830,917-*	191,830,917	
130006-000	06/01/17 B	34,483	1.000	0	34,483-	34,483	
130006-000	07/03/17 B	83,796	1.000	0	83,796-	83,796	
130006-000	08/01/17 B	102,881	1.000	0	102,881-	102,881	
130006-000	09/01/17 B	111,503	1.000	0	111,503-	111,503	
130006-000	09/05/17 B	1,889,021	1.000	0	1,889,021-	1,889,021	
130006-010	09/06/17 B	1	1.000	0	1-	1	
130006-010	09/14/17 B	70,000,000	1.000	0	70,000,000-*	70,000,000	
130006-010	09/26/17 B	11,250	1.000	0	11,250-	11,250	
SUB-TOTAL OF BUYS # 18				0	264,087,005	264,087,005	
130006-000	11/10/16 S	23,138	1.000	0	23,138	23,138	0
130006-000	09/06/17 S	100,000,000	1.000	0	100,000,000 *	100,000,000	0
130006-000	09/14/17 S	94,067,063	1.000	0	94,067,063 *	94,067,063	0
130006-010	09/07/17 S	1	1.000	0	1	1	0
130006-010	09/14/17 S	70,000,000	1.000	0	70,000,000 *	70,000,000	0
SUB-TOTAL OF SALES # 5				0	264,090,202	264,090,202	0
SUB-TOTAL				0	528,177,207	528,177,207	0

ISSUE: 99T792GA4 - UBS AG DEPOSIT

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	09/08/17 B	762,557	0.010	754,931	762,557-	762,557	
130006-002	09/11/17 B	3,890,994	0.010	3,852,084	3,890,994-*	3,890,994	
130006-002	09/11/17 B	4	0.010	4	4-	4	
130006-002	09/12/17 B	1,582,436	0.010	1,566,611	1,582,436-	1,582,436	
130006-002	09/13/17 B	635	0.010	629	635-	635	
130006-002	09/14/17 B	2,365	0.010	2,341	2,365-	2,365	
130006-002	09/15/17 B	526	0.010	520	526-	526	
130006-002	09/18/17 B	1,461,506	0.010	1,446,891	1,461,506-	1,461,506	
130006-002	09/19/17 B	318	0.010	315	318-	318	
130006-002	09/20/17 B	6,168	0.010	6,107	6,168-	6,168	
130006-004	09/15/17 B	1,083,239	0.010	1,072,407	1,083,239-	1,083,239	
130006-004	09/15/17 B	110,909	0.010	109,800	110,909-	110,909	
SUB-TOTAL OF BUYS # 12			8,812,640		8,901,657	8,901,657	
130006-002	09/20/17 S	7,707,508	0.010	0	7,707,508 *	7,707,508	0
130006-004	09/15/17 S	1,194,149	0.010	0	1,194,149	1,194,149	0
SUB-TOTAL OF SALES # 2			0		8,901,657	8,901,657	0
SUB-TOTAL			8,812,640		17,803,314	17,803,314	0
GRAND TOTAL			\$13938651		957,023,846	957,023,846	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
CATEGORY 4 - SINGLE TRANSACTION WITH ONE BROKER EXCEEDS 5% OF VALUE							
BROKER: EXTERNAL BROKER							
130006-008	10/03/16 B	198	7.610	0	1,509-	1,509	
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-007	10/03/16 B	214	14.388	0	3,079-	3,079	
ISSUE: 63938C108 - NAVIENT CORP							
130006-003	10/03/16 B	858	0.010	849	858-	858	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	10/03/16 B	485	0.010	480	485-	485	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	10/03/16 B	436	0.010	431	436-	436	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	10/04/16 B	13,124	0.010	12,992	13,124-	13,124	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002	10/04/16 B	790	0.010	782	790-	790	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003	10/04/16 B	1,821	0.010	1,803	1,821-	1,821	
ISSUE: 08579W103 - BERRY PLASTICS G							
130006-007	10/05/16 B	145	43.423	0	6,296-	6,296	
ISSUE: 29084Q100 - EMCOR GROUP INC							
130006-007	10/05/16 B	72	60.337	0	4,344-	4,344	
ISSUE: 913903100 - UNVL HEALTH SERVICES INC CL B							
130006-007	10/05/16 S	66	120.614	0	7,961	8,133	-172
ISSUE: 29084Q100 - EMCOR GROUP INC							
130006-007	10/06/16 B	14	59.839	0	838-	838	
ISSUE: 830566105 - SKECHERS USA							
130006-007	10/06/16 S	5	22.762	0	114	115	-1
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	10/06/16 S	3,079	0.010	0	3,079	3,079	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002	10/06/16 B	0	0.010	0	0-	0	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	10/06/16 B	0	0.010	0	0-	0	
130006-004	10/06/16 B	0	0.010	0	0-	0	
130006-004	10/07/16 B	90,000	0.010	89,100	90,000-	90,000	
130006-002	10/10/16 B	493	86.720	0	42,753-	42,753	
130006-007	10/10/16 B	55	51.705	0	2,844-	2,844	
130006-007	10/10/16 B	19	59.485	0	1,130-	1,130	
130006-007	10/10/16 S	2	23.225	0	46	46	1
130006-002	10/10/16 S	458	83.338	0	38,169	38,440	-271
130006-007	10/11/16 B	142	43.015	0	6,108-	6,108	
130006-007	10/12/16 B	126	28.237	0	3,558-	3,558	
130006-007	10/12/16 B	1,772	0.010	1,754	1,772-	1,772	
130006-007	10/13/16 B	169	53.836	0	9,098-	9,098	
130006-007	10/13/16 B	145	28.156	0	4,083-	4,083	
130006-007	10/13/16 S	3,928	0.010	0	3,928	3,928	0
130006-002	10/13/16 S	3,905	0.010	0	3,905	3,905	0
130006-006	10/13/16 S	6,803	0.010	0	6,803	6,803	0
130006-004	10/13/16 B	90,000	1.000	0	89,984-	89,984	
130006-007	10/14/16 S	6,081	0.010	0	6,081	6,081	0
130006-004	10/14/16 S	89,984	0.010	0	89,984	89,984	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 10/17/16 S	3,543	0.010		0	3,543	3,543	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 10/18/16 S	13,181	0.010		0	13,181	13,181	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 10/18/16 B	210	0.010		208	210-	210	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 10/18/16 B	2,846	0.010		2,817	2,846-	2,846	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 10/18/16 B	5,084	0.010		5,033	5,084-	5,084	
ISSUE: 040413106 - ARISTA NETWORKS INC							
130006-007 10/20/16 B	27	81.867		0	2,210-	2,210	
ISSUE: 315616102 - F5 NETWORKS INC							
130006-007 10/20/16 S	91	118.314		0	10,767	11,342	-576
ISSUE: 59408Q106 - MICHAELS COS INC/THE							
130006-007 10/20/16 B	62	23.937		0	1,484-	1,484	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 10/20/16 B	2,698	0.010		2,671	2,698-	2,698	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 10/20/16 B	5,624	0.010		5,568	5,624-	5,624	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-008 10/20/16 B	264	0.010		261	264-	264	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 10/20/16 S	2,698	0.010		0	2,698	2,698	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 10/20/16 S	5,624	0.010		0	5,624	5,624	0
ISSUE: 911363109 - UNITED RENTALS INC COM							
130006-007 10/20/16 B	42	79.253		0	3,329-	3,329	
ISSUE: 59408Q106 - MICHAELS COS INC/THE							
130006-007 10/21/16 B	42	24.015		0	1,009-	1,009	
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008 10/24/16 S	228	7.670		0	1,748	1,735	14
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 10/24/16 B	23	0.010		22	23-	23	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 10/24/16 B	235	0.010		232	235-	235	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 10/24/16 S	2,903	0.010		0	2,903	2,903	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	10/24/16 S	2,231	0.010	0	2,231	2,231	0
130006-004	10/24/16 S	2,026	0.010	0	2,026	2,026	0
130006-006	10/24/16 S	2,042	0.010	0	2,042	2,042	0
130006-008	10/24/16 S	264	0.010	0	264	264	0
130006-003	10/24/16 S	18,000	1.001	0	18,013	18,017	-5
130006-003	10/24/16 S	18,000	1.001	0	18,021	18,024	-3
130006-006	10/25/16 S	26,000	1.006	0	26,170	26,936	-766
130006-006	10/25/16 B	26,000	1.114	0	28,955-	28,955	
130006-007	10/25/16 B	10	0.010	10	10-	10	
130006-007	10/25/16 S	1,572	0.010	0	1,572	1,572	0
130006-007	10/26/16 B	2,735	0.010	2,707	2,735-	2,735	
130006-004	10/26/16 B	9,888	0.010	9,789	9,888-	9,888	
130006-006	10/26/16 B	2,248	0.010	2,225	2,248-	2,248	
130006-003	10/27/16 S	2,939	0.010	0	2,939	2,939	0
130006-006	10/28/16 S	2,628	0.010	0	2,628	2,628	0
130006-007	10/31/16 B	70	0.010	69	70-	70	
130006-008	11/01/16 B	206	7.580	0	1,565-	1,565	
130006-002	11/01/16 B	23	0.010	22	23-	23	
130006-003	11/01/16 B	158	0.010	156	158-	158	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 11/02/16 B	1,863	0.010	1,844	1,863-	1,863	
ISSUE: 17243V102 - CINEMARK HOLDINGS INC						
130006-007 11/03/16 B	61	40.017	0	2,441-	2,441	
ISSUE: 17243V102 - CINEMARK HOLDINGS INC						
130006-007 11/04/16 B	46	39.816	0	1,832-	1,832	
ISSUE: 29379VAV5 - ENTERPRISE PRODUCTS 5.7% 2/15/42						
130006-006 11/04/16 B	1,000	1.135	0	1,135-	1,135	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 11/04/16 B	210	0.010	207	210-	210	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 11/04/16 B	0	0.010	0	0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 11/04/16 B	30,446	0.010	30,142	30,446-	30,446	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 11/04/16 B	0	0.010	0	0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 11/04/16 B	0	0.010	0	0-	0	
ISSUE: 912828C32 - U.S. TREASURY NOTES 0.75% 3/15/17						
130006-003 11/04/16 S	59,000	1.001	0	59,064	59,078	-14
ISSUE: 912828K66 - U.S. TREASURY NOTES 0.5% 4/30/17						
130006-003 11/04/16 S	63,000	1.000	0	62,997	62,986	11
ISSUE: 912828T83 - U.S. TREASURY NOTES 0.75% 10/31/18						
130006-003 11/04/16 B	123,000	0.999	0	122,885-	122,885	
ISSUE: G66721104 - NORWEGIAN CRUISE LINE HOLDINGS						
130006-007 11/07/16 S	251	37.626	0	9,444	9,463	-19
ISSUE: 26969P108 - EAGLE MATERIALS INC COM W RIGHTS						
130006-007 11/08/16 B	69	85.467	0	5,897-	5,897	
ISSUE: 74978Q105 - RSP PERMIAN INC						
130006-007 11/08/16 B	154	38.533	0	5,934-	5,934	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 11/08/16 S	2,441	0.010	0	2,441	2,441	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 11/08/16 B	326	0.010	323	326-	326	
ISSUE: 742718DY2 - PROCTER & GAMBLE CO 2.3% 2/06/22						
130006-004 11/09/16 B	28,000	1.022	0	28,626-	28,626	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 11/09/16 S	1,832	0.010	0	1,832	1,832	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	11/09/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 771	0.010	0	771	771	0
130006-006	11/09/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,148	0.010	0	1,148	1,148	0
130006-007	11/14/16 S	ISSUE: 827048109 - SILGAN HOLDINGS INCORPORATED 151	51.338	0	7,752	7,639	113
130006-007	11/14/16 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 2,359	0.010	0	2,359	2,359	0
130006-004	11/14/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 90,000	0.010	89,100	90,000-	90,000	
130006-006	11/14/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 4,881	0.010	0	4,881	4,881	0
130006-004	11/15/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 28,803	0.010	0	28,803	28,803	0
130006-006	11/15/16 B	ISSUE: 912810RG5 - U.S. TREASURY BONDS 3.375% 5/15/44 3,000	1.081	0	3,243-	3,243	
130006-006	11/15/16 B	ISSUE: 912810RM2 - U.S. TREASURY BONDS 3% 5/15/45 2,000	1.004	0	2,008-	2,008	
130006-004	11/15/16 S	ISSUE: 912828M56 - U.S. TREASURY NOTES 2.25% 11/15/25 70,000	1.003	0	70,213	73,894	-3,681
130006-004	11/15/16 B	ISSUE: 912828T42 - U.S. TREASURY NOTES 0.75% 9/30/18 58,000	0.995	0	57,728-	57,728	
130006-004	11/15/16 B	ISSUE: 912828T67 - U.S. TREASURY NOTES 1.25% 10/31/21 103,000	0.981	0	101,012-	101,012	
130006-002	11/16/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 804	0.010	796	804-	804	
130006-004	11/16/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 85,933	0.010	0	85,933	85,933	0
130006-006	11/16/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 500	0.010	0	500	500	0
130006-007	11/17/16 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 21	0.010	21	21-	21	
130006-004	11/17/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 745	0.010	738	745-	745	
130006-006	11/17/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,215	0.010	2,193	2,215-	2,215	
130006-006	11/17/16 B	ISSUE: 912810RM2 - U.S. TREASURY BONDS 3% 5/15/45 1,000	1.006	0	1,006-	1,006	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 11/18/16 B	7,752	0.010		7,674		7,752-	7,752
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 11/18/16 S	2,047	0.010		0		2,047	2,047 0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 11/22/16 B	306	0.010		303		306-	306
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 11/22/16 B	813	0.010		804		813-	813
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 11/23/16 B	17	0.010		17		17-	17
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 11/23/16 B	6	0.010		6		6-	6
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 11/25/16 B	79	0.010		78		79-	79
ISSUE: G50871105 - JAZZ PHARMACEUTICALS PLC							
130006-007 11/28/16 S	27	108.536		0		2,930	3,280 -349
ISSUE: 009728106 - AKORN INC							
130006-007 11/28/16 S	63	22.466		0		1,415	1,717 -302
ISSUE: 12650T104 - CSRA INC							
130006-007 11/28/16 B	51	31.869		0		1,625-	1,625
ISSUE: 683745103 - OPHTHOTECH CORP							
130006-007 11/28/16 B	91	34.877		0		3,174-	3,174
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 11/28/16 B	1	0.010		1		1-	1
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 11/28/16 B	917	0.010		908		917-	917
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 11/28/16 B	2,215	0.010		2,193		2,215-	2,215
ISSUE: G50871105 - JAZZ PHARMACEUTICALS PLC							
130006-007 11/29/16 S	35	106.325		0		3,721	4,252 -530
ISSUE: 009728106 - AKORN INC							
130006-007 11/29/16 S	250	22.063		0		5,516	6,815 -1,299
ISSUE: 12650T104 - CSRA INC							
130006-007 11/29/16 B	200	32.370		0		6,474-	6,474
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 11/29/16 B	11	0.010		11		11-	11
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 11/30/16 B	55	0.010		54		55-	55

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	11/30/16 B	139	0.010	137	139-	139	
130006-007	12/01/16 B	66	45.373	0	2,995-	2,995	
130006-008	12/01/16 B	201	7.560	0	1,523-	1,523	
130006-007	12/01/16 B	91	51.785	0	4,712-	4,712	
130006-007	12/01/16 B	279	16.925	0	4,722-	4,722	
130006-007	12/01/16 S	453	0.010	0	453	453	0
130006-003	12/01/16 B	63	0.010	62	63-	63	
130006-008	12/02/16 B	31	31.520	0	993-	993	
130006-007	12/02/16 B	45	0.010	45	45-	45	
130006-002	12/02/16 B	321	0.010	318	321-	321	
130006-003	12/02/16 B	1,721	0.010	1,704	1,721-	1,721	
130006-004	12/02/16 B	11,163	0.010	11,052	11,163-	11,163	
130006-007	12/05/16 B	2,791	0.010	2,763	2,791-	2,791	
130006-004	12/05/16 B	388	0.010	384	388-	388	
130006-007	12/06/16 S	12,429	0.010	0	12,429	12,429	0
130006-002	12/06/16 B	413	0.010	409	413-	413	
130006-002	12/06/16 B	0	0.010	0	0-	0	
130006-004	12/06/16 B	0	0.010	0	0-	0	
130006-007	12/07/16 S	50	0.010	0	50	50	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/07/16 S	50	0.010	0		50	50	0
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/07/16 S	50	0.010	0		50	50	0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/07/16 S	50	0.010	0		50	50	0
130006-005	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/07/16 S	2	0.010	0		2	2	0
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/07/16 S	50	0.010	0		50	50	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 12/08/16 B	21	0.010	21		21-	21	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/08/16 B	360	0.010	356		360-	360	
130006-006	ISSUE: 912810RG5 - U.S. TREASURY BONDS 3.375% 5/15/44 12/08/16 S	36,000	1.054	0		37,929	43,507	-5,577
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/09/16 B	290	0.010	287		290-	290	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/12/16 B	589	0.010	583		589-	589	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/12/16 B	38,010	0.010	37,630		38,010-	38,010	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 12/13/16 B	57	0.010	56		57-	57	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/13/16 B	547	0.010	541		547-	547	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/13/16 S	38,851	0.010	0		38,851	38,851	0
130006-008	ISSUE: 317609667 - JEFFERIES ASSET MGMT COMODITY ST ALL 12/14/16 B	30	7.690	0		230-	230	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 12/14/16 B	63	0.010	62		63-	63	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/14/16 B	377	0.010	373		377-	377	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 12/15/16 B	11	0.010	11		11-	11	
130006-008	ISSUE: 412295305 - HARDING LOEVNER EMRG MKTS FD CL-ADV 12/16/16 B	26	43.669	0		1,131-	1,131	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	12/16/16 B	1,415	0.010	1,401	1,415-	1,415	
130006-004	12/16/16 B	1,612	0.010	1,596	1,612-	1,612	
130006-006	12/16/16 B	3,289	0.010	3,256	3,289-	3,289	
130006-007	12/19/16 B	135	0.010	133	135-	135	
130006-006	12/19/16 B	16,995	0.010	16,825	16,995-	16,995	
130006-002	12/20/16 B	294	0.010	291	294-	294	
130006-004	12/20/16 B	308	0.010	304	308-	308	
130006-002	12/21/16 B	391	0.010	387	391-	391	
130006-004	12/21/16 B	167	0.010	166	167-	167	
130006-008	12/22/16 B	155	7.630	0	1,183-	1,183	
130006-006	12/22/16 B	1,000	1.379	0	1,379-	1,379	
130006-006	12/22/16 B	2,000	0.974	0	1,947-	1,947	
130006-006	12/22/16 B	2,000	1.004	0	2,007-	2,007	
130006-007	12/23/16 B	6	0.010	6	6-	6	
130006-006	12/23/16 S	7,735	0.010	0	7,735	7,735	0
130006-006	12/27/16 B	2,000	1.295	0	2,589-	2,589	
130006-006	12/27/16 B	2,000	1.026	0	2,052-	2,052	
130006-006	12/27/16 B	2,000	1.059	0	2,118-	2,118	
130006-004	12/28/16 B	610	0.010	604	610-	610	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 12/28/16 B	1,609	0.010	1,593	1,609-	1,609	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 12/30/16 B	67	0.010	66	67-	67	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 12/30/16 B	1,764	0.010	1,746	1,764-	1,764	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 12/30/16 S	6,872	0.010	0	6,872	6,872	0
ISSUE: G0084W101 - ADIENT PLC						
130006-002 01/03/17 S	79	58.649	0	4,633	3,680	953
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST						
130006-008 01/03/17 B	199	7.640	0	1,519-	1,519	
ISSUE: 09247X101 - BLACKROCK INC						
130006-002 01/03/17 B	113	385.750	0	43,590-	43,590	
ISSUE: 25746U109 - DOMINION RESOURCES INC						
130006-002 01/03/17 S	558	76.449	0	42,659	41,443	1,216
ISSUE: 52470G734 - LEGG MASON PART SMASH-EC						
130006-006 01/03/17 B	375	9.100	0	3,409-	3,409	
ISSUE: 52470G759 - LEGG MASON PART SMASH-M						
130006-006 01/03/17 B	189	10.610	0	2,010-	2,010	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 01/03/17 B	2	0.010	2	2-	2	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 01/03/17 B	0	0.010	0	0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 01/03/17 B	1	0.010	0	1-	1	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 01/03/17 B	0	0.010	0	0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 01/03/17 S	5,419	0.010	0	5,419	5,419	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 01/04/17 B	48	0.010	47	48-	48	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 01/04/17 B	2,405	0.010	2,381	2,405-	2,405	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 01/05/17 B	49	0.010	48	49-	49	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 01/06/17 B	0	0.010	0	0-	0	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 01/06/17 B	0	0.010	0		0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 01/09/17 B	4,158	0.010	4,116		4,158-	4,158	
ISSUE: G51502105 - JOHNSON CONTROLS INTERNATIONAL PLC							
130006-002 01/10/17 B	219	42.760	0		9,364-	9,364	
ISSUE: 166764100 - CHEVRON CORP							
130006-002 01/10/17 B	373	115.300	0		43,007-	43,007	
ISSUE: 57060D108 - MARKETAXESS HOLDINGS INC							
130006-007 01/10/17 S	9	156.536	0		1,409	1,490	-81
ISSUE: 74005P104 - PRAXAIR INC COM							
130006-002 01/10/17 B	71	116.705	0		8,286-	8,286	
ISSUE: 773903109 - ROCKWELL AUTOMATION INC.							
130006-002 01/10/17 S	59	138.842	0		8,192	7,218	974
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 01/10/17 B	514	0.010	509		514-	514	
ISSUE: 921908844 - VANGUARD DIVIDEND APPRECIATION ETF							
130006-002 01/10/17 S	540	85.698	0		46,277	45,322	955
ISSUE: 57060D108 - MARKETAXESS HOLDINGS INC							
130006-007 01/11/17 S	31	156.388	0		4,848	5,133	-285
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 01/12/17 B	160	0.010	158		160-	160	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 01/13/17 B	27	0.010	27		27-	27	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 01/13/17 S	6,189	0.010	0		6,189	6,189	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 01/13/17 S	10,882	0.010	0		10,882	10,882	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 01/17/17 B	1,409	0.010	1,395		1,409-	1,409	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 01/17/17 B	169	0.010	167		169-	169	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 01/17/17 S	3,615	0.010	0		3,615	3,615	0
ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC							
130006-007 01/18/17 B	25	124.410	0		3,110-	3,110	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 01/18/17 B	4,889	0.010	4,840		4,889-	4,889	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	01/18/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,546	0.010	3,510	3,546-	3,546
130006-006	01/18/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,501	0.010	3,466	3,501-	3,501
130006-007	01/19/17 B	ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC 39	124.250	0	4,846-	4,846
130006-007	01/19/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 18	0.010	18	18-	18
130006-006	01/20/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,151	0.010	1,140	1,151-	1,151
130006-007	01/23/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 3,103	0.010	0	3,103	3,103
130006-002	01/23/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 235	0.010	232	235-	235
130006-008	01/24/17 S	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 255	7.690	0	1,958	1,938
130006-007	01/24/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 4,846	0.010	0	4,846	4,846
130006-003	01/24/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 190	0.010	188	190-	190
130006-006	01/24/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,517	0.010	1,502	1,517-	1,517
130006-002	01/24/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,008	0.010	0	3,008	3,008
130006-003	01/24/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,180	0.010	0	2,180	2,180
130006-004	01/24/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,946	0.010	0	1,946	1,946
130006-006	01/24/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,957	0.010	0	1,957	1,957
130006-007	01/25/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 6	0.010	6	6-	6
130006-007	01/25/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 1,520	0.010	0	1,520	1,520
130006-004	01/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 8,081	0.010	8,000	8,081-	8,081
130006-006	01/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,151	0.010	2,129	2,151-	2,151

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 01/30/17 B	85	0.010	84	85-	85
130006-002	ISSUE: 30231G102 - EXXON MOBIL CORP 01/31/17 S	488	84.208	0	41,094	42,593 -1,499
130006-002	ISSUE: 867224107 - SUNCOR ENERGY INC 01/31/17 B	1,403	31.010	0	43,507-	43,507
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 01/31/17 B	8	0.010	8	8-	8
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 01/31/17 B	38	0.010	38	38-	38
130006-007	ISSUE: 08579W103 - BERRY PLASTICS G 02/01/17 B	57	51.455	0	2,933-	2,933
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 02/01/17 B	211	7.700	0	1,628-	1,628
130006-007	ISSUE: 26969P108 - EAGLE MATERIALS INC COM W RIGHTS 02/01/17 B	27	103.433	0	2,793-	2,793
130006-007	ISSUE: 683745103 - OPHTHOTECH CORP 02/01/17 S	193	4.713	0	910	7,879 -6,969
130006-007	ISSUE: 87336U105 - TABLEAU SOFTWARE INC 02/01/17 S	134	48.276	0	6,469	7,406 -937
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/01/17 B	4,000	0.010	3,960	4,000-	4,000
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/02/17 B	1,718	0.010	1,700	1,718-	1,718
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/02/17 B	1,777	0.010	1,759	1,777-	1,777
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/03/17 S	2,167	0.010	0	2,167	2,167 0
130006-003	ISSUE: 912828N55 - U.S. TREASURY NOTES 1% 12/31/17 02/03/17 S	82,000	1.001	0	82,118	82,289 -170
130006-003	ISSUE: 912828SY7 - U.S. TREASURY NOTES 0.625% 5/31/17 02/03/17 S	20,000	1.000	0	20,005	20,004 1
130006-003	ISSUE: 912828V56 - U.S. TREASURY NOTES 1.125% 1/31/19 02/03/17 B	107,000	0.999	0	106,892-	106,892
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/06/17 B	0	0.010	0	0-	0
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/06/17 B	0	0.010	0	0-	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	02/06/17 B	1	0.010	1	1-	1	
130006-007	02/07/17 S	32	25.089	0	803	766	36
130006-007	02/07/17 B	13	97.728	0	1,270-	1,270	
130006-007	02/07/17 B	1,653	0.010	1,636	1,653-	1,653	
130006-004	02/07/17 B	322	0.010	319	322-	322	
130006-007	02/08/17 S	151	24.917	0	3,762	3,616	146
130006-007	02/08/17 B	32	97.409	0	3,117-	3,117	
130006-003	02/08/17 S	4,682	0.010	0	4,682	4,682	0
130006-002	02/09/17 S	16	117.078	0	1,873	1,955	-81
130006-007	02/09/17 S	32	25.142	0	805	766	38
130006-007	02/09/17 S	7	16.833	0	118	127	-9
130006-007	02/09/17 S	8	85.919	0	687	619	68
130006-002	02/09/17 S	133	31.503	0	4,190	4,159	31
130006-002	02/09/17 B	5	41.390	0	207-	207	
130006-002	02/09/17 B	64	75.690	0	4,844-	4,844	
130006-002	02/09/17 S	21	131.847	0	2,769	2,639	130
130006-007	02/09/17 S	3	117.207	0	352	337	15
130006-007	02/09/17 S	25	19.700	0	492	485	8
130006-007	02/09/17 S	8	61.909	0	495	379	117

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	02/09/17 S	2	163.405	0	327	289	37
130006-007	02/09/17 S	12	96.398	0	1,157	1,125	32
130006-007	02/09/17 S	13	97.818	0	1,272	1,096	176
130006-007	02/09/17 S	6	104.818	0	629	641	-12
130006-007	02/09/17 S	11	54.629	0	601	422	179
130006-007	02/09/17 S	7	61.800	0	433	362	71
130006-007	02/09/17 S	20	40.329	0	807	875	-69
130006-008	02/09/17 S	2,578	7.730	0	19,928	19,619	309
130006-002	02/09/17 S	2	378.340	0	757	772	-15
130006-007	02/09/17 S	4	162.248	0	649	621	28
130006-002	02/09/17 S	3	125.997	0	378	383	-5
130006-007	02/09/17 S	12	43.099	0	517	559	-41
130006-007	02/09/17 S	8	67.609	0	541	542	-1
130006-007	02/09/17 S	11	86.058	0	947	891	55
130006-007	02/09/17 S	30	31.449	0	943	839	104
130006-007	02/09/17 S	19	30.770	0	585	613	-28
130006-002	02/09/17 B	50	77.420	0	3,871-	3,871	
130006-007	02/09/17 S	6	81.388	0	488	520	-32
130006-007	02/09/17 S	12	69.548	0	835	804	31

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 16411R208 - CHENIERE ENERGY INC						
130006-007 02/09/17 S	10	48.569	0	486	436	50
ISSUE: 166764100 - CHEVRON CORP						
130006-002 02/09/17 B	2	112.250	0	225-	225	
ISSUE: 16949N109 - CHINA LODGING GROUP SPONS ADR						
130006-007 02/09/17 S	5	55.438	0	277	225	52
ISSUE: 17243V102 - CINEMARK HOLDINGS INC						
130006-007 02/09/17 S	1	41.730	0	42	40	2
ISSUE: 191216100 - COCA COLA CO COM						
130006-002 02/09/17 B	45	41.290	0	1,858-	1,858	
ISSUE: 194162103 - COLGATE PALMOLIVE CO COM						
130006-002 02/09/17 B	62	66.585	0	4,128-	4,128	
ISSUE: 224399105 - CRANE CO						
130006-002 02/09/17 S	101	73.164	0	7,390	6,364	1,026
ISSUE: 238337109 - DAVE & BUSTER'S ENTERTAINMENT						
130006-007 02/09/17 S	13	57.119	0	743	509	233
ISSUE: 252131107 - DEXCOM INC						
130006-007 02/09/17 S	8	79.520	0	636	701	-65
ISSUE: 25243Q205 - DIAGEO PLC SPONSORED ADR						
130006-002 02/09/17 B	1	114.310	0	114-	114	
ISSUE: 25278X109 - DIAMONDBACK ENERGY INC						
130006-007 02/09/17 S	8	103.688	0	830	772	57
ISSUE: 25659T107 - DOLBY LABORATORIES INC CL A						
130006-007 02/09/17 S	10	48.619	0	486	543	-57
ISSUE: 265504100 - DUNKIN' BRANDS GROUP INC						
130006-007 02/09/17 S	19	54.190	0	1,030	987	42
ISSUE: 26969P108 - EAGLE MATERIALS INC COM W RIGHTS						
130006-007 02/09/17 S	5	104.818	0	524	453	71
ISSUE: 29084Q100 - EMCOR GROUP INC						
130006-007 02/09/17 S	4	68.718	0	275	240	34
ISSUE: 29362U104 - ENTEGRIS INC						
130006-007 02/09/17 S	32	20.010	0	640	557	83
ISSUE: 317609667 - JEFFERIES ASSET MGMT COMODITY ST ALL						
130006-008 02/09/17 S	1,267	7.700	0	9,757	9,339	418
ISSUE: 33616C100 - FIRST REPUBLIC BANK/SAN FRAN						
130006-007 02/09/17 S	11	94.828	0	1,043	848	195
ISSUE: 344849104 - FOOT LOCKER INC						
130006-007 02/09/17 S	18	71.518	0	1,287	1,219	68

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 34959E109 - FORTINET INC						
130006-007 02/09/17 S	17	38.121	0	648	628	20
ISSUE: 366651107 - GARTNER INC						
130006-007 02/09/17 S	6	100.670	0	604	531	73
ISSUE: 37940X102 - GLOBAL PAYMENTS INC						
130006-007 02/09/17 S	5	78.450	0	392	384	8
ISSUE: 40171V100 - GUIDEWIRE SOFTWARE INC						
130006-007 02/09/17 S	4	54.218	0	217	240	-23
ISSUE: 40416M105 - HD SUPPLY HOLDINGS INC						
130006-007 02/09/17 S	13	43.379	0	564	416	148
ISSUE: 412295305 - HARDING LOEVNER EMRG MKTS FD CL-ADV						
130006-008 02/09/17 S	309	47.290	0	14,596	14,396	200
ISSUE: 419879101 - HAWAIIAN HOLDINGS INC						
130006-007 02/09/17 S	11	51.405	0	565	535	31
ISSUE: 437076102 - HOME DEPOT INC COM						
130006-002 02/09/17 S	11	138.669	0	1,525	1,415	110
ISSUE: 44919P508 - IAC/INTERACTIVECORP						
130006-007 02/09/17 S	8	77.070	0	617	500	117
ISSUE: 45168D104 - IDEXX LABS COMMON						
130006-007 02/09/17 S	5	142.126	0	711	564	147
ISSUE: 45245E109 - IMAX CORPORATION						
130006-007 02/09/17 S	10	33.004	0	330	290	40
ISSUE: 458140100 - INTEL CORP COM						
130006-002 02/09/17 S	51	35.449	0	1,808	1,925	-117
ISSUE: 45841N107 - INTERACTIVE BROKERS GROUP INC-CL A						
130006-007 02/09/17 S	6	38.138	0	229	212	17
ISSUE: 462222100 - IONIS PHARMACEUTICALS INC						
130006-007 02/09/17 B	4	46.370	0	185-	185	
ISSUE: 48562P103 - KAPSTONE PAPER & PACKAGING CORP						
130006-007 02/09/17 B	7	22.170	0	155-	155	
ISSUE: 536797103 - LITHIA MOTORS INC CL-A						
130006-007 02/09/17 B	22	99.491	0	2,189-	2,189	
ISSUE: 539830109 - LOCKHEED MARTIN CORPORATION COM						
130006-002 02/09/17 S	3	258.003	0	774	719	55
ISSUE: 562750109 - MANHATTAN ASSOCS INC						
130006-007 02/09/17 S	7	49.260	0	345	403	-59
ISSUE: 571748102 - MARSH & MCLENNAN COS INC COM						
130006-002 02/09/17 S	47	70.529	0	3,315	3,161	154

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	02/09/17 S	23	124.627	0	2,866	2,653	213
130006-007	02/09/17 S	29	55.099	0	1,598	1,617	-19
130006-007	02/09/17 S	6	192.255	0	1,154	1,110	44
130006-007	02/09/17 S	24	20.680	0	496	579	-82
130006-002	02/09/17 S	87	64.059	0	5,573	5,011	562
130006-007	02/09/17 S	6	138.653	0	832	742	90
130006-007	02/09/17 S	18	18.959	0	341	210	131
130006-007	02/09/17 S	35	15.460	0	541	505	36
130006-002	02/09/17 B	3	124.710	0	374-	374	
130006-007	02/09/17 S	6	114.753	0	689	598	91
130006-002	02/09/17 S	91	45.504	0	4,141	4,721	-580
130006-007	02/09/17 S	6	45.493	0	273	323	-50
130006-002	02/09/17 B	46	75.140	0	3,456-	3,456	
130006-007	02/09/17 S	10	90.468	0	905	686	219
130006-008	02/09/17 S	411	34.050	0	14,004	13,925	79
130006-007	02/09/17 S	11	56.849	0	625	570	56
130006-002	02/09/17 B	3	106.140	0	318-	318	
130006-007	02/09/17 S	5	66.848	0	334	315	19
130006-002	02/09/17 S	8	115.809	0	926	960	-34

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 74112D101 - PRESTIGE BRANDS HOLDINGS INC						
130006-007 02/09/17 S	8	56.110	0	449	386	63
ISSUE: 743713109 - PROTO LABS INC						
130006-007 02/09/17 S	16	58.654	0	938	959	-20
ISSUE: 74978Q105 - RSP PERMIAN INC						
130006-007 02/09/17 S	12	41.243	0	495	462	33
ISSUE: 750469207 - RADIUS HEALTH INC						
130006-007 02/09/17 S	3	43.597	0	131	162	-31
ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC						
130006-007 02/09/17 S	3	126.947	0	381	373	8
ISSUE: 773903109 - ROCKWELL AUTOMATION INC.						
130006-002 02/09/17 S	31	150.338	0	4,660	3,793	868
ISSUE: 78573M104 - SABRE CORP						
130006-007 02/09/17 S	30	22.320	0	670	845	-176
ISSUE: 848637104 - SPLUNK INC						
130006-007 02/09/17 S	12	62.808	0	754	704	50
ISSUE: 867224107 - SUNCOR ENERGY INC						
130006-002 02/09/17 S	72	31.569	0	2,273	2,233	40
ISSUE: 868157108 - SUPERIOR ENERGY SERVICES INC COM						
130006-007 02/09/17 S	33	17.840	0	589	559	30
ISSUE: 868459108 - SUPERNUS PHARMACEUTICALS INC						
130006-007 02/09/17 S	18	25.504	0	459	445	14
ISSUE: 88076W103 - TERADATA CORP						
130006-007 02/09/17 S	18	32.539	0	586	558	28
ISSUE: 882508104 - TEXAS INSTRUMENTS INC						
130006-002 02/09/17 S	54	75.288	0	4,066	3,790	276
ISSUE: 891906109 - TOTAL SYSTEMS SERVICES INC						
130006-007 02/09/17 S	12	53.590	0	643	566	77
ISSUE: 896239100 - TRIMBLE INCORPORATED						
130006-007 02/09/17 S	38	31.684	0	1,204	1,071	133
ISSUE: 907818108 - UNION PACIFIC CORP COM						
130006-002 02/09/17 S	69	107.368	0	7,408	6,730	679
ISSUE: 911363109 - UNITED RENTALS INC COM						
130006-007 02/09/17 S	10	125.468	0	1,255	788	467
ISSUE: 913017109 - UNITED TECHNOLOGIES CORP COM						
130006-002 02/09/17 S	20	110.189	0	2,204	2,032	172
ISSUE: 918204108 - V F CORP						
130006-002 02/09/17 B	151	49.536	0	7,480-	7,480	

continued



**WILMINGTON
TRUST**

REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 921908844 - VANGUARD DIVIDEND APPRECIATION ETF						
130006-002 02/09/17 S	70	87.628	0	6,134	5,875	259
ISSUE: 922475108 - VEEVA SYSTEMS INC						
130006-007 02/09/17 S	8	44.210	0	354	330	23
ISSUE: 929740108 - WABTEC CORP COM						
130006-007 02/09/17 S	7	86.179	0	603	572	32
ISSUE: 969904101 - WILLIAM SONOMA INC COM						
130006-007 02/09/17 S	20	47.640	0	953	1,022	-69
ISSUE: 98310W108 - WYNDHAM WORLDWIDE CORP						
130006-007 02/09/17 S	7	81.669	0	572	471	100
ISSUE: 98419M100 - XYLEM INC-W/I						
130006-007 02/09/17 S	9	47.560	0	428	472	-44
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 02/10/17 S	439	0.010	0	439	439	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 02/10/17 S	5,323	0.010	0	5,323	5,323	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 02/13/17 B	58,285	0.010	57,702	58,285-	58,285	0
ISSUE: 092480300 - BLACKROCK BD ALL TGT SH-S						
130006-003 02/14/17 B	2,342	9.530	0	22,319-	22,319	
ISSUE: 3137EADL0 - FHLMC 1% 9/29/17						
130006-003 02/14/17 B	2,000	1.002	0	2,003-	2,003	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 02/14/17 B	645	0.010	639	645-	645	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 02/14/17 B	306	0.010	303	306-	306	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 02/14/17 S	15,501	0.010	0	15,501	15,501	0
ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18						
130006-003 02/14/17 B	6,000	0.999	0	5,994-	5,994	
ISSUE: 912828Q52 - U.S. TREASURY NOTES 0.875% 4/15/19						
130006-003 02/14/17 B	2,000	0.990	0	1,981-	1,981	
ISSUE: 912828T83 - U.S. TREASURY NOTES 0.75% 10/31/18						
130006-003 02/14/17 B	5,000	0.993	0	4,963-	4,963	
ISSUE: 912828UR9 - U.S. TREASURY NOTES 0.75% 2/28/18						
130006-003 02/14/17 B	2,000	0.998	0	1,996-	1,996	
ISSUE: 912828V56 - U.S. TREASURY NOTES 1.125% 1/31/19						
130006-003 02/14/17 B	4,000	0.998	0	3,990-	3,990	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	02/14/17 B	2,000	0.995	0	1,989-	1,989	
130006-006	02/15/17 B	2,000	1.011	0	2,022-	2,022	
130006-006	02/15/17 B	2,000	1.050	0	2,100-	2,100	
130006-006	02/15/17 B	1,169	9.190	0	10,741-	10,741	
130006-006	02/15/17 B	310	9.680	0	3,004-	3,004	
130006-006	02/15/17 B	1,252	10.670	0	13,363-	13,363	
130006-007	02/15/17 S	1,113	0.010	0	1,113	1,113	0
130006-003	02/15/17 B	14,465	0.010	14,320	14,465-	14,465	
130006-004	02/15/17 B	231	0.010	229	231-	231	
130006-006	02/15/17 B	61,902	0.010	61,283	61,902-	61,902	
130006-006	02/15/17 B	1,000	1.006	0	1,006-	1,006	
130006-006	02/16/17 B	1,000	1.268	0	1,268-	1,268	
130006-007	02/16/17 B	21	0.010	21	21-	21	
130006-002	02/16/17 B	441	0.010	437	441-	441	
130006-004	02/16/17 B	4,260	0.010	4,217	4,260-	4,260	
130006-006	02/16/17 S	29,610	0.010	0	29,610	29,610	0
130006-006	02/16/17 B	1,000	0.989	0	989-	989	
130006-006	02/16/17 B	1,000	1.010	0	1,009-	1,009	
130006-006	02/16/17 B	2,000	1.100	0	2,200-	2,200	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	02/17/17 S	22,978	0.010	0	22,978	22,978	0
130006-006	02/17/17 S	2,015	0.010	0	2,015	2,015	0
130006-007	02/21/17 B	17	0.010	17	17-	17	
130006-006	02/21/17 B	2,256	0.010	2,233	2,256-	2,256	
130006-003	02/22/17 B	1,566	9.530	0	14,924-	14,924	
130006-003	02/22/17 B	2,000	1.008	0	2,016-	2,016	
130006-003	02/22/17 B	2,000	1.001	0	2,001-	2,001	
130006-003	02/22/17 B	2,000	0.990	0	1,980-	1,980	
130006-007	02/22/17 B	5	0.010	5	5-	5	
130006-006	02/22/17 S	3,520	0.010	0	3,520	3,520	0
130006-003	02/22/17 B	6,000	0.991	0	5,947-	5,947	
130006-003	02/22/17 B	5,000	0.999	0	4,995-	4,995	
130006-003	02/22/17 B	1,000	0.992	0	992-	992	
130006-003	02/22/17 B	2,000	0.994	0	1,987-	1,987	
130006-003	02/22/17 B	2,000	0.998	0	1,997-	1,997	
130006-003	02/22/17 B	2,000	0.999	0	1,997-	1,997	
130006-003	02/22/17 B	2,000	0.995	0	1,990-	1,990	
130006-003	02/22/17 B	2,000	1.001	0	2,002-	2,002	
130006-007	02/23/17 S	86	62.725	0	5,394	4,443	952

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 099724106 - BORG WARNER INC COM							
130006-007 02/23/17 B	156	42.572	0		6,641-	6,641	
ISSUE: 52470G734 - WESTERN ASSET SMASH SERIES EC FUND							
130006-006 02/23/17 B	219	9.190	0		2,010-	2,010	
ISSUE: 743713109 - PROTO LABS INC							
130006-007 02/23/17 S	22	55.159	0		1,214	1,318	-105
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 02/23/17 S	14,924	0.010	0		14,924	14,924	0
ISSUE: 91086QBD9 - UNITED MEXICAN MTN 3.5% 1/21/21							
130006-006 02/23/17 B	2,000	1.031	0		2,062-	2,062	
ISSUE: 099724106 - BORG WARNER INC COM							
130006-007 02/24/17 B	62	41.680	0		2,584-	2,584	
ISSUE: 743713109 - PROTO LABS INC							
130006-007 02/24/17 S	14	54.662	0		765	839	-73
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 02/24/17 B	7	0.010	7		7-	7	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 02/24/17 B	452	0.010	447		452-	452	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 02/24/17 S	2,010	0.010	0		2,010	2,010	0
ISSUE: 743713109 - PROTO LABS INC							
130006-007 02/27/17 S	17	55.862	0		950	1,018	-69
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 02/27/17 B	81	0.010	80		81-	81	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 02/27/17 S	28,034	0.010	0		28,034	28,034	0
ISSUE: 743713109 - PROTO LABS INC							
130006-007 02/28/17 S	25	54.651	0		1,366	1,498	-131
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 02/28/17 S	33	0.010	0		33	33	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 02/28/17 B	498	0.010	493		498-	498	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 02/28/17 S	692	0.010	0		692	692	0
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008 03/01/17 B	183	7.780	0		1,424-	1,424	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 03/01/17 S	1,819	0.010	0		1,819	1,819	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003	03/01/17 B	1,319	0.010	1,306		1,319-	1,319
ISSUE: 20030NBB6 - COMCAST CORP 6.4% 3/01/40							
130006-006	03/02/17 B	2,000	1.280	0		2,560-	2,560
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002	03/02/17 B	321	0.010	318		321-	321
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003	03/02/17 B	1,811	0.010	1,793		1,811-	1,811
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	03/02/17 B	1,227	0.010	1,214		1,227-	1,227
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	03/02/17 B	1,369	0.010	1,355		1,369-	1,369
ISSUE: 912828UR9 - U.S. TREASURY NOTES 0.75% 2/28/18							
130006-003	03/02/17 S	67,000	0.997	0		66,814	67,012
ISSUE: 912828W30 - U.S. TREASURY NOTES 1.125% 2/28/19							
130006-003	03/02/17 B	68,000	0.996	0		67,737-	67,737
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	03/03/17 B	1,018	0.010	1,007		1,018-	1,018
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	03/06/17 B	1,366	0.010	1,353		1,366-	1,366
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002	03/06/17 B	376	0.010	372		376-	376
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002	03/06/17 B	0	0.010	0		0-	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003	03/06/17 B	0	0.010	0		0-	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	03/06/17 B	1	0.010	1		1-	1
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	03/06/17 B	420	0.010	416		420-	420
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	03/06/17 B	0	0.010	0		0-	0
ISSUE: 084670BL1 - BERKSHIRE HATHAWAY 2.1% 8/14/19							
130006-004	03/07/17 B	4,000	1.008	0		4,034-	4,034
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	03/07/17 B	288	0.010	285		288-	288
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003	03/07/17 S	914	0.010	0		914	914

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/07/17 S	2,562	0.010	0	2,562	2,562	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/08/17 B	26	0.010	26	26-	26	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/08/17 S	15,164	0.010	0	15,164	15,164	0
130006-004	ISSUE: 693304AL1 - PECO ENERGY CO 5.35% 3/01/18 03/09/17 S	26,000	1.036	0	26,940	27,513	-574
130006-004	ISSUE: 74456QBR6 - PUBLIC SERVICE MTN 2.25% 9/15/26 03/09/17 B	28,000	0.920	0	25,766-	25,766	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/09/17 B	216	0.010	214	216-	216	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/09/17 B	245	0.010	243	245-	245	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/10/17 B	446	0.010	441	446-	446	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/10/17 S	4,040	0.010	0	4,040	4,040	0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/13/17 B	870	0.010	861	870-	870	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/13/17 S	9,295	0.010	0	9,295	9,295	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/15/17 B	11	0.010	10	11-	11	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/15/17 B	908	0.010	899	908-	908	
130006-004	ISSUE: 05531FAN3 - BB&T CORPORATION MTN 2.05% 6/19/18 03/16/17 S	30,000	1.003	0	30,092	30,306	-214
130006-004	ISSUE: 05531FAV5 - BB&T CORPORATION MTN 2.05% 5/10/21 03/16/17 B	30,000	0.981	0	29,441-	29,441	
130006-006	ISSUE: 29379VAV5 - ENTERPRISE PRODUCTS 5.7% 2/15/42 03/16/17 B	1,000	1.119	0	1,119-	1,119	
130006-006	ISSUE: 375558BD4 - GILEAD SCIENCES 4.75% 3/01/46 03/16/17 B	2,000	1.010	0	2,021-	2,021	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/16/17 B	114	0.010	113	114-	114	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/16/17 B	1,279	0.010	1,266	1,279-	1,279	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/16/17 B	310	0.010	306	310-	310
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/16/17 B	2,795	0.010	2,767	2,795-	2,795
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/16/17 B	2,723	0.010	2,696	2,723-	2,723
130006-006	ISSUE: 94974BFC9 - WELLS FARGO CO MTN 3.5% 3/08/22 03/16/17 B	1,000	1.031	0	1,031-	1,031
130006-003	ISSUE: 3137EADL0 - FHLMC 1% 9/29/17 03/17/17 S	49,000	1.000	0	49,005	49,150 -145
130006-003	ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18 03/17/17 S	41,000	0.998	0	40,904	41,077 -173
130006-003	ISSUE: 912828W30 - U.S. TREASURY NOTES 1.125% 2/28/19 03/17/17 B	96,000	0.996	0	95,651-	95,651
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/20/17 B	81	0.010	80	81-	81
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/20/17 B	2,165	0.010	2,144	2,165-	2,165
130006-007	ISSUE: N22717107 - CORE LABORATORIES N V 03/21/17 S	34	109.167	0	3,712	3,819 -108
130006-007	ISSUE: 008252108 - AFFILIATED MANAGERS GROUP 03/21/17 S	32	159.447	0	5,102	4,630 472
130006-007	ISSUE: 040413106 - ARISTA NETWORKS INC 03/21/17 B	22	129.458	0	2,848-	2,848
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/21/17 B	42	0.010	42	42-	42
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/21/17 B	357	0.010	354	357-	357
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/21/17 S	3,630	0.010	0	3,630	3,630 0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/22/17 B	584	0.010	579	584-	584
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/22/17 S	5,401	0.010	0	5,401	5,401 0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/23/17 B	53	0.010	52	53-	53
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/24/17 B	547	0.010	542	547-	547

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	03/24/17 B	662	0.010	656	662-	662	
130006-006	03/27/17 B	2,000	0.991	0	1,983-	1,983	
130006-006	03/27/17 B	2,000	1.067	0	2,134-	2,134	
130006-007	03/27/17 B	6,012	0.010	5,951	6,012-	6,012	
130006-007	03/27/17 B	7	0.010	7	7-	7	
130006-002	03/27/17 B	535	0.010	530	535-	535	
130006-004	03/28/17 B	489	0.010	485	489-	489	
130006-006	03/28/17 B	2,086	0.010	2,065	2,086-	2,086	
130006-007	03/29/17 B	16	56.648	0	906-	906	
130006-007	03/29/17 S	62	34.595	0	2,145	2,187	-42
130006-007	03/29/17 S	82	50.570	0	4,147	4,725	-578
130006-006	03/29/17 B	14,737	0.010	14,590	14,737-	14,737	
130006-006	03/29/17 S	14,701	0.010	0	14,701	14,701	0
130006-007	03/29/17 B	41	71.625	0	2,937-	2,937	
130006-006	03/30/17 S	30,000	1.016	0	30,464	33,028	-2,564
130006-007	03/30/17 B	17	56.430	0	959-	959	
130006-007	03/30/17 S	43	34.584	0	1,487	1,517	-29
130006-007	03/30/17 S	9	50.968	0	459	519	-60
130006-006	03/30/17 S	4,151	0.010	0	4,151	4,151	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	ISSUE: 912810RQ3 - U.S. TREASURY BONDS 2.5% 2/15/46 03/30/17 B 33,000	0.896	0		29,561-	29,561	
130006-007	ISSUE: 930427109 - WAGEWORKS INC 03/30/17 B 25	72.118	0		1,803-	1,803	
130006-006	ISSUE: 05565QDB1 - BP CAPITAL MARKETS 3.119% 5/04/26 03/31/17 B 31,000	0.982	0		30,426-	30,426	
130006-007	ISSUE: 40171V100 - GUIDEWIRE SOFTWARE INC 03/31/17 B 24	56.555	0		1,357-	1,357	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/31/17 B 128	0.010	127		128-	128	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/31/17 B 535	0.010	530		535-	535	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/31/17 B 229	0.010	227		229-	229	
130006-006	ISSUE: 912828F21 - U.S. TREASURY NOTES 2.125% 9/30/21 03/31/17 S 30,000	1.010	0		30,307	31,241	-934
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 04/03/17 B 195	7.740	0		1,508-	1,508	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/03/17 B 726	0.010	719		726-	726	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/03/17 B 218	0.010	215		218-	218	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/03/17 B 478	0.010	473		478-	478	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 04/04/17 B 1,713	0.010	1,696		1,713-	1,713	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/04/17 B 379	0.010	375		379-	379	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/04/17 B 1,724	0.010	1,707		1,724-	1,724	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/04/17 B 30,312	0.010	30,009		30,312-	30,312	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 04/05/17 S 1,357	0.010	0		1,357	1,357	0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/05/17 B 612	0.010	606		612-	612	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/05/17 S 29,907	0.010	0		29,907	29,907	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	ISSUE: 857477AF0 - STATE STREET CORP 4.956% 3/15/18 04/06/17 S	14,000	1.028	0	14,392	14,616	-224
130006-004	ISSUE: 857477AM5 - STATE STREET CORP 3.7% 11/20/23 04/06/17 B	13,000	1.052	0	13,671-	13,671	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/06/17 B	0	0.010	0	0-	0	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/06/17 B	0	0.010	0	0-	0	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/06/17 B	1	0.010	1	1-	1	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/06/17 B	0	0.010	0	0-	0	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/07/17 B	204	0.010	202	204-	204	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/12/17 B	432	0.010	428	432-	432	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/12/17 B	582	0.010	577	582-	582	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/12/17 S	1,958	0.010	0	1,958	1,958	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 04/13/17 B	28	0.010	28	28-	28	
130006-007	ISSUE: 075896100 - BED BATH & BEYOND INC COM 04/17/17 S	97	38.348	0	3,720	4,245	-525
130006-007	ISSUE: 29977A105 - EVERCORE PARTNERS INC CL A 04/17/17 B	26	74.689	0	1,942-	1,942	
130006-007	ISSUE: 33616C100 - FIRST REPUBLIC BANK/SAN FRAN 04/17/17 S	66	89.576	0	5,912	5,089	823
130006-007	ISSUE: 745867101 - PULTE GROUP INC 04/17/17 B	292	24.087	0	7,033-	7,033	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/17/17 B	191	0.010	189	191-	191	
130006-007	ISSUE: 957638109 - WESTERN ALLIANCE 04/17/17 B	114	45.973	0	5,241-	5,241	
130006-007	ISSUE: 075896100 - BED BATH & BEYOND INC COM 04/18/17 S	91	38.379	0	3,493	3,982	-490
130006-007	ISSUE: 29977A105 - EVERCORE PARTNERS INC CL A 04/18/17 B	33	75.157	0	2,480-	2,480	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	04/18/17 B	110	9.240	0	1,020-	1,020	
ISSUE: 52470G734 - WESTERN ASSET SMASH SERIES EC FUND							
130006-006	04/18/17 B	105	9.720	0	1,024-	1,024	
ISSUE: 52470G742 - WESTERN ASSET SMASH SERIES C FUND							
130006-007	04/18/17 B	95	24.067	0	2,286-	2,286	
ISSUE: 745867101 - PULTE GROUP INC							
130006-007	04/18/17 B	14	0.010	14	14-	14	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-003	04/18/17 B	223	0.010	221	223-	223	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	04/18/17 B	2,952	0.010	2,923	2,952-	2,952	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	04/18/17 B	2,589	0.010	2,564	2,589-	2,589	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003	04/18/17 S	44	0.010	0	44	44	0
ISSUE: 957638109 - WESTERN ALLIANCE							
130006-007	04/18/17 B	19	45.495	0	864-	864	
ISSUE: 29977A105 - EVERCORE PARTNERS INC CL A							
130006-007	04/19/17 B	23	76.628	0	1,762-	1,762	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	04/19/17 B	80	0.010	79	80-	80	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002	04/19/17 B	57	0.010	57	57-	57	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	04/19/17 B	67	0.010	66	67-	67	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-008	04/19/17 B	69	0.010	69	69-	69	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	04/19/17 S	3,103	0.010	0	3,103	3,103	0
ISSUE: 3135G0YM9 - FNMA 1.875% 9/18/18							
130006-006	04/20/17 B	2,000	1.010	0	2,020-	2,020	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	04/20/17 S	4,584	0.010	0	4,584	4,584	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	04/20/17 B	2,457	0.010	2,433	2,457-	2,457	
ISSUE: 912828J27 - U.S. TREASURY NOTES 2% 2/15/25							
130006-004	04/20/17 B	6,000	0.989	0	5,936-	5,936	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	04/20/17 B	8,000	0.980	0	7,836-	7,836	
ISSUE: 912828T67 - U.S. TREASURY NOTES 1.25% 10/31/21							
130006-004	04/20/17 B	6,000	0.988	0	5,929-	5,929	
ISSUE: 912828VB3 - U.S. TREASURY BONDS 1.75% 5/15/23							
130006-004	04/20/17 B	10,000	0.938	0	9,381-	9,381	
ISSUE: 9128282A7 - U.S. TREASURY NOTES 1.5% 8/15/26							
130006-008	04/21/17 S	243	7.740	0	1,883	1,852	31
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-007	04/21/17 S	102	70.833	0	7,225	6,830	395
ISSUE: 15135B101 - CENTENE CORPORATION							
130006-007	04/21/17 S	2,138	0.010	0	2,138	2,138	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-002	04/21/17 S	1,563	0.010	0	1,563	1,563	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003	04/21/17 S	2,344	0.010	0	2,344	2,344	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	04/21/17 S	29,224	0.010	0	29,224	29,224	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	04/21/17 S	1,978	0.010	0	1,978	1,978	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	04/21/17 S	2,024	0.010	0	2,024	2,024	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	04/21/17 S	2,092	0.010	0	2,092	2,092	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-008	04/21/17 S	69	0.010	0	69	69	0
ISSUE: 15135B101 - CENTENE CORPORATION							
130006-007	04/24/17 S	45	71.845	0	3,233	3,013	220
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	04/24/17 B	11	0.010	11	11-	11	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	04/24/17 S	3,302	0.010	0	3,302	3,302	0
ISSUE: 69349LAD0 - PNC BANK NA MTN 6% 12/07/17							
130006-004	04/26/17 S	12,000	1.025	0	12,304	12,625	-320
ISSUE: 69349LAK4 - PNC BANK NA 2.95% 1/30/23							
130006-004	04/26/17 B	12,000	1.010	0	12,115-	12,115	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	04/26/17 B	9,243	0.010	9,151	9,243-	9,243	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	04/26/17 B	1,370	0.010	1,356	1,370-	1,370	
130006-007	04/27/17 B	7,225	0.010	7,153	7,225-	7,225	
130006-007	04/28/17 B	3,328	0.010	3,295	3,328-	3,328	
130006-008	05/01/17 B	192	7.780	0	1,490-	1,490	
130006-004	05/01/17 S	13,000	1.000	0	12,998	13,040	-42
130006-004	05/01/17 B	12,000	1.009	0	12,112-	12,112	
130006-007	05/02/17 B	8	155.334	0	1,243-	1,243	
130006-002	05/02/17 B	272	0.010	269	272-	272	
130006-003	05/02/17 B	2,109	0.010	2,088	2,109-	2,109	
130006-004	05/02/17 B	1,188	0.010	1,177	1,188-	1,188	
130006-007	05/03/17 B	5	154.744	0	774-	774	
130006-007	05/04/17 B	7	154.967	0	1,085-	1,085	
130006-002	05/04/17 B	0	0.010	0	0-	0	
130006-003	05/04/17 B	0	0.010	0	0-	0	
130006-004	05/04/17 B	1	0.010	0	1-	1	
130006-007	05/05/17 B	27	144.582	0	3,904-	3,904	
130006-007	05/05/17 B	90	35.954	0	3,236-	3,236	
130006-007	05/05/17 S	1,243	0.010	0	1,243	1,243	0
130006-004	05/05/17 B	858	0.010	849	858-	858	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/05/17 B	483	0.010	479		483-	483
130006-007	ISSUE: 44930G107 - ICU MEDICAL INC 05/08/17 B	12	156.506	0		1,878-	1,878
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/08/17 S	774	0.010	0		774	774 0
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/08/17 B	349	0.010	345		349-	349
130006-007	ISSUE: 881569107 - TESARO INC 05/09/17 B	9	137.560	0		1,238-	1,238
130006-007	ISSUE: 896239100 - TRIMBLE INCORPORATED 05/09/17 B	39	35.925	0		1,401-	1,401
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/09/17 S	1,085	0.010	0		1,085	1,085 0
130006-007	ISSUE: G01767105 - ALKERMES PLC 05/10/17 B	57	57.533	0		3,279-	3,279
130006-007	ISSUE: 45168D104 - IDEXX LABS COMMON 05/10/17 S	55	163.156	0		8,974	6,200 2,773
130006-004	ISSUE: 69351UAP8 - PPL ELECTRIC UTILS 3% 9/15/21 05/10/17 B	25,000	1.027	0		25,678-	25,678
130006-007	ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC 05/10/17 B	37	125.170	0		4,631-	4,631
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/10/17 S	4,493	0.010	0		4,493	4,493 0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/10/17 B	1,014	0.010	1,004		1,014-	1,014
130006-007	ISSUE: G01767105 - ALKERMES PLC 05/11/17 B	113	57.086	0		6,451-	6,451
130006-007	ISSUE: 45168D104 - IDEXX LABS COMMON 05/11/17 S	29	162.596	0		4,715	3,269 1,446
130006-007	ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC 05/11/17 B	6	124.522	0		747-	747
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/11/17 S	1,878	0.010	0		1,878	1,878 0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/11/17 B	308	0.010	304		308-	308
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/12/17 B	17	0.010	17		17-	17

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 05/15/17 B	4,280	0.010	4,237	4,280-	4,280	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/15/17 S	25,803	0.010	0	25,803	25,803	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 05/16/17 S	1,420	0.010	0	1,420	1,420	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 05/16/17 B	1,082	0.010	1,071	1,082-	1,082	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/16/17 B	2,111	0.010	2,090	2,111-	2,111	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 05/16/17 B	3,260	0.010	3,227	3,260-	3,260	
ISSUE: 3137EACA5 - FHLMC 3.75% 3/27/19						
130006-006 05/17/17 B	2,000	1.045	0	2,090-	2,090	
ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND						
130006-006 05/17/17 B	134	10.920	0	1,466-	1,466	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 05/17/17 B	20	0.010	19	20-	20	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/17/17 B	745	0.010	738	745-	745	
ISSUE: 86787EAM9 - SUNTRUST BANK MTN 7.25% 3/15/18						
130006-004 05/18/17 S	18,000	0.010	0	18,803	19,405	-602
ISSUE: 86787EAN7 - SUNTRUST BANK 2.75% 5/01/23						
130006-004 05/18/17 B	18,000	1.005	0	18,091-	18,091	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 05/18/17 S	1,497	0.010	0	1,497	1,497	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/19/17 S	15,729	0.010	0	15,729	15,729	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 05/19/17 S	2,878	0.010	0	2,878	2,878	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/23/17 B	1,053	0.010	1,042	1,053-	1,053	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 05/24/17 B	10	0.010	10	10-	10	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/24/17 B	928	0.010	919	928-	928	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 05/25/17 S	2	0.010	0	2	2	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/26/17 B	622	0.010	615		622-	622
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/26/17 B	1,274	0.010	1,261		1,274-	1,274
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/30/17 B	93	0.010	92		93-	93
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/31/17 B	25	0.010	25		25-	25
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/31/17 B	139	0.010	137		139-	139
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 06/01/17 B	193	7.800	0		1,505-	1,505
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/02/17 B	43	0.010	43		43-	43
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/02/17 B	322	0.010	319		322-	322
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/02/17 B	1,656	0.010	1,640		1,656-	1,656
130006-003	ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18 06/02/17 S	120,000	0.998	0		119,700	120,224
130006-003	ISSUE: 912828XS4 - U.S. TREASURY NOTES 1.25% 5/31/19 06/02/17 B	120,000	1.000	0		119,935-	119,935
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/05/17 B	389	0.010	385		389-	389
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/05/17 B	388	0.010	384		388-	388
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/06/17 B	0	0.010	0		0-	0
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/06/17 B	0	0.010	0		0-	0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/06/17 B	0	0.010	0		0-	0
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/07/17 S	68	0.010	0		68	68
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/09/17 B	256	0.010	253		256-	256
130006-002	ISSUE: G51502105 - JOHNSON CONTROLS INTERNATIONAL PLC 06/12/17 S	1,019	41.879	0		42,675	46,563

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continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 478160104 - JOHNSON & JOHNSON							
130006-002 06/12/17 B	348	131.694		0	45,829-	45,829	
ISSUE: 59156RAR9 - METLIFE INC 6.817% 8/15/18							
130006-004 06/12/17 S	27,000	1.059		0	28,584	29,651	-1,067
ISSUE: 59156RBB3 - METLIFE INC 4.368% 9/15/23							
130006-004 06/12/17 B	28,000	1.099		0	30,786-	30,786	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 06/12/17 B	89	0.010		88	89-	89	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 06/12/17 B	190	0.010		188	190-	190	
ISSUE: 16949N109 - CHINA LODGING GROUP SPONS ADR							
130006-007 06/13/17 B	16	80.827		0	1,293-	1,293	
ISSUE: 400110102 - GRUBHUB INC							
130006-007 06/13/17 B	91	43.514		0	3,960-	3,960	
ISSUE: 48562P103 - KAPSTONE PAPER & PACKAGING CORP							
130006-007 06/13/17 S	162	21.823		0	3,535	3,078	457
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 06/13/17 B	60	0.010		60	60-	60	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 06/13/17 B	1,213	0.010		1,201	1,213-	1,213	
ISSUE: 400110102 - GRUBHUB INC							
130006-007 06/14/17 B	98	44.076		0	4,319-	4,319	
ISSUE: 48562P103 - KAPSTONE PAPER & PACKAGING CORP							
130006-007 06/14/17 S	68	21.381		0	1,454	1,292	162
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 06/14/17 B	26	0.010		26	26-	26	
ISSUE: 48562P103 - KAPSTONE PAPER & PACKAGING CORP							
130006-007 06/15/17 S	51	21.314		0	1,087	969	118
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 06/15/17 B	53	0.010		52	53-	53	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 06/15/17 S	3,154	0.010		0	3,154	3,154	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 06/15/17 S	1,588	0.010		0	1,588	1,588	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 06/16/17 S	1,687	0.010		0	1,687	1,687	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 06/16/17 B	888	0.010		879	888-	888	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 06/16/17 B	1,706	0.010	1,689		1,706-	1,706	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 06/16/17 B	2,835	0.010	2,806		2,835-	2,835	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 06/19/17 S	2,756	0.010	0		2,756	2,756	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 06/20/17 B	675	0.010	668		675-	675	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 06/20/17 B	5,107	0.010	5,056		5,107-	5,107	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 06/21/17 B	1,099	0.010	1,088		1,099-	1,099	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 06/21/17 B	17,167	0.010	16,996		17,167-	17,167	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 06/22/17 B	23	0.010	23		23-	23	
ISSUE: 52470G742 - WESTERN ASSET SMASH SERIES C FUND							
130006-006 06/23/17 B	225	9.740	0		2,189-	2,189	
ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND							
130006-006 06/23/17 B	185	10.950	0		2,022-	2,022	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 06/23/17 B	31	0.010	30		31-	31	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 06/26/17 B	1	0.010	1		1-	1	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 06/26/17 B	11	0.010	11		11-	11	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 06/26/17 B	574	0.010	568		574-	574	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 06/26/17 S	4,211	0.010	0		4,211	4,211	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 06/27/17 B	241	0.010	239		241-	241	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 06/27/17 B	744	0.010	737		744-	744	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 06/27/17 B	1,264	0.010	1,251		1,264-	1,264	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 06/28/17 B	746	0.010	739		746-	746	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	06/29/17 B	105	10.880	0	1,146-	1,146	
130006-007	06/30/17 B	67	0.010	66	67-	67	
130006-002	06/30/17 B	556	0.010	551	556-	556	
130006-003	06/30/17 B	309	0.010	306	309-	309	
130006-008	07/03/17 B	185	7.770	0	1,441-	1,441	
130006-006	07/03/17 S	1,146	0.010	0	1,146	1,146	0
130006-007	07/05/17 S	170	12.459	0	2,118	3,082	-964
130006-007	07/05/17 S	19	151.998	0	2,888	1,781	1,107
130006-007	07/05/17 S	21	148.052	0	3,109	1,944	1,165
130006-007	07/05/17 S	17	65.282	0	1,110	666	444
130006-007	07/05/17 B	7	66.881	0	468-	468	
130006-007	07/05/17 B	8	176.178	0	1,409-	1,409	
130006-007	07/05/17 S	18	249.613	0	4,493	3,329	1,164
130006-007	07/05/17 B	140	24.687	0	3,456-	3,456	
130006-007	07/05/17 B	65	0.010	64	65-	65	
130006-002	07/05/17 B	379	0.010	375	379-	379	
130006-003	07/05/17 B	1,603	0.010	1,587	1,603-	1,603	
130006-007	07/06/17 S	30	12.280	0	368	544	-176
130006-007	07/06/17 S	27	150.239	0	4,056	2,531	1,525

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 040413106 - ARISTA NETWORKS INC							
130006-007 07/06/17 S	11	144.799		0	1,593	1,018	574
ISSUE: 238337109 - DAVE & BUSTER'S ENTERTAINMENT							
130006-007 07/06/17 S	37	64.860		0	2,400	1,450	950
ISSUE: 29084Q100 - EMCOR GROUP INC							
130006-007 07/06/17 B	38	66.938		0	2,544-	2,544	
ISSUE: 44930G107 - ICU MEDICAL INC							
130006-007 07/06/17 B	8	174.228		0	1,394-	1,394	
ISSUE: 58733R102 - MERCADOLIBRE INC							
130006-007 07/06/17 S	23	248.079		0	5,706	4,254	1,452
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/06/17 B	35	0.010		34	35-	35	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 07/07/17 B	2	0.010		2	2-	2	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 07/07/17 B	2	0.010		2	2-	2	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 07/07/17 B	3	0.010		3	3-	3	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 07/07/17 B	1	0.010		1	1-	1	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 07/10/17 B	349	0.010		345	349-	349	
ISSUE: 06406HDB2 - BANK OF NY MELL MTN 1.6% 5/22/18							
130006-004 07/11/17 B	17,000	1.001		0	17,020-	17,020	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/11/17 B	8,384	0.010		8,300	8,384-	8,384	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 07/11/17 B	165	0.010		163	165-	165	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/12/17 B	10,186	0.010		10,084	10,186-	10,186	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 07/12/17 B	160	0.010		158	160-	160	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 07/12/17 S	3,090	0.010		0	3,090	3,090	0
ISSUE: 912810RQ3 - U.S. TREASURY BONDS 2.5% 2/15/46							
130006-006 07/12/17 B	1,000	0.921		0	921-	921	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/13/17 B	23	0.010		23	23-	23	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	07/13/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 931	0.010	0	931	931	0
130006-004	07/14/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 17,060	0.010	0	17,060	17,060	0
130006-006	07/17/17 B	ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND 135	10.870	0	1,465-	1,465	
130006-007	07/18/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 14	0.010	14	14-	14	
130006-004	07/18/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,519	0.010	3,484	3,519-	3,519	
130006-006	07/18/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,247	0.010	2,224	2,247-	2,247	
130006-006	07/20/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,506	0.010	2,481	2,506-	2,506	
130006-007	07/24/17 B	ISSUE: G0750C108 - AXALTA COATING SYSTEMS LTD 155	32.189	0	4,989-	4,989	
130006-008	07/24/17 S	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 257	7.830	0	2,011	1,956	55
130006-007	07/24/17 S	ISSUE: 122017106 - BURLINGTON STORES INC 108	84.792	0	9,158	8,750	407
130006-007	07/24/17 B	ISSUE: 43283X105 - HILTON GRAND VACATIONS INC 17	35.888	0	610-	610	
130006-007	07/24/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 32	0.010	31	32-	32	
130006-002	07/24/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 226	0.010	224	226-	226	
130006-006	07/24/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 525	0.010	520	525-	525	
130006-002	07/24/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,268	0.010	0	3,268	3,268	0
130006-003	07/24/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,187	0.010	0	2,187	2,187	0
130006-004	07/24/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,012	0.010	0	2,012	2,012	0
130006-006	07/24/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,088	0.010	0	1,088	1,088	0
130006-007	07/24/17 S	ISSUE: 969904101 - WILLIAM SONOMA INC COM 96	44.545	0	4,276	4,904	-627

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 146229109 - CARTER HOLDINGS							
130006-007 07/25/17 S	78	88.626		0	6,913	6,763	149
ISSUE: 224399105 - CRANE CO							
130006-007 07/25/17 B	79	79.364		0	6,270-	6,270	
ISSUE: 40416M105 - HD SUPPLY HOLDINGS INC							
130006-007 07/25/17 S	246	32.167		0	7,913	7,867	46
ISSUE: 43283X105 - HILTON GRAND VACATIONS INC							
130006-007 07/25/17 B	76	36.340		0	2,762-	2,762	
ISSUE: 45245E109 - IMAX CORPORATION							
130006-007 07/25/17 S	170	21.359		0	3,631	4,925	-1,294
ISSUE: 59408Q106 - MICHAELS COS INC/THE							
130006-007 07/25/17 S	262	19.566		0	5,126	6,318	-1,192
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/25/17 B	16	0.010		16	16-	16	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/25/17 S	1,632	0.010		0	1,632	1,632	0
ISSUE: 90385D107 - THE ULTIMATE SOFTWARE GROUP INC							
130006-007 07/25/17 B	29	230.420		0	6,682-	6,682	
ISSUE: 969904101 - WILLIAM SONOMA INC COM							
130006-007 07/25/17 S	70	44.932		0	3,145	3,576	-430
ISSUE: 217204106 - COPART INC							
130006-007 07/26/17 B	73	31.809		0	2,322-	2,322	
ISSUE: 25754A201 - DOMINO'S PIZZA INC							
130006-007 07/26/17 B	52	190.886		0	9,926-	9,926	
ISSUE: 43283X105 - HILTON GRAND VACATIONS INC							
130006-007 07/26/17 B	43	36.612		0	1,574-	1,574	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 07/26/17 B	8,131	0.010		8,050	8,131-	8,131	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 07/26/17 B	1,783	0.010		1,766	1,783-	1,783	
ISSUE: 91879Q109 - VAIL RESORTS INC							
130006-007 07/26/17 B	27	212.704		0	5,743-	5,743	
ISSUE: 217204106 - COPART INC							
130006-007 07/27/17 B	72	31.811		0	2,290-	2,290	
ISSUE: 46625HHL7 - JPMORGAN CHASE & CO 6.3% 4/23/19							
130006-004 07/27/17 B	20,000	1.076		0	21,512-	21,512	
ISSUE: 48126EAA5 - JPMORGAN CHASE 2% 8/15/17							
130006-004 07/27/17 S	22,000	1.000		0	22,004	22,126	-121

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	07/27/17 B	204	0.010	202	204-	204
130006-006	07/27/17 B	1,000	1.016	0	1,016-	1,016
130006-007	07/27/17 B	12	212.061	0	2,545-	2,545
130006-007	07/28/17 B	76	31.850	0	2,421-	2,421
130006-007	07/28/17 B	7,835	0.010	7,756	7,835-	7,835
130006-006	07/28/17 S	1,022	0.010	0	1,022	1,022
130006-007	07/31/17 S	6,648	0.010	0	6,648	6,648
130006-004	07/31/17 B	177	0.010	175	177-	177
130006-008	08/01/17 B	188	7.850	0	1,473-	1,473
130006-007	08/01/17 B	93	31.640	0	2,943-	2,943
130006-007	08/01/17 S	4,835	0.010	0	4,835	4,835
130006-003	08/01/17 B	636	0.010	629	636-	636
130006-007	08/02/17 S	2,421	0.010	0	2,421	2,421
130006-003	08/02/17 B	1,532	0.010	1,517	1,532-	1,532
130006-004	08/02/17 B	2,129	0.010	2,108	2,129-	2,129
130006-006	08/02/17 S	1,040	0.010	0	1,040	1,040
130006-007	08/03/17 B	16	0.010	16	16-	16
130006-007	08/04/17 S	2,943	0.010	0	2,943	2,943
130006-002	08/04/17 B	272	0.010	269	272-	272

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 08/04/17 B	4	0.010		4		4-	4
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 08/04/17 B	3	0.010		3		3-	3
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 08/04/17 B	5	0.010		5		5-	5
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 08/04/17 B	1	0.010		1		1-	1
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 08/08/17 B	322	0.010		319		322-	322
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 08/14/17 S	2,911	0.010		0	2,911		2,911 0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 08/15/17 B	279	0.010		276		279-	279
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 08/15/17 B	273	0.010		270		273-	273
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/16/17 B	20	0.010		19		20-	20
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 08/16/17 B	477	0.010		472		477-	477
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 08/16/17 B	3,155	0.010		3,123		3,155-	3,155
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 08/16/17 B	3,822	0.010		3,784		3,822-	3,822
ISSUE: 344849104 - FOOT LOCKER INC							
130006-007 08/18/17 S	206	34.423		0	7,091		13,950 -6,859
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 08/18/17 B	158	0.010		156		158-	158
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 08/18/17 B	2,124	0.010		2,103		2,124-	2,124
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008 08/21/17 B	7,814	7.770		0	60,715-		60,715
ISSUE: 317609667 - JEFFERIES ASSET MGMT COMODITY ST ALL							
130006-008 08/21/17 B	1,730	7.110		0	12,297-		12,297
ISSUE: 412295305 - HARDING LOEVNER EMRG MKTS FD CL-ADV							
130006-008 08/21/17 S	637	55.080		0	35,083		29,709 5,375
ISSUE: 683974505 - OPPENHEIMER DEV MARKETS FD CLASS Y							
130006-008 08/21/17 S	946	40.100		0	37,929		32,025 5,904

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	ISSUE: 100557107 - BOSTON BEER INCORPORATED CLASS A 08/23/17 S	7	146.413	0	1,025	1,087	-62
130006-007	ISSUE: 12685J105 - CABLE ONE INC 08/23/17 B	2	749.605	0	1,499-	1,499	
130006-007	ISSUE: 750469207 - RADIUS HEALTH INC 08/23/17 S	38	36.107	0	1,372	2,055	-683
130006-007	ISSUE: 78573M104 - SABRE CORP 08/23/17 S	189	17.932	0	3,389	5,326	-1,937
130006-007	ISSUE: 922475108 - VEEVA SYSTEMS INC 08/23/17 B	42	64.391	0	2,704-	2,704	
130006-007	ISSUE: 100557107 - BOSTON BEER INCORPORATED CLASS A 08/24/17 S	14	145.025	0	2,030	2,174	-143
130006-007	ISSUE: 12685J105 - CABLE ONE INC 08/24/17 B	2	741.115	0	1,482-	1,482	
130006-007	ISSUE: 17243V102 - CINEMARK HOLDINGS INC 08/24/17 S	87	32.949	0	2,867	3,474	-607
130006-007	ISSUE: 655664100 - NORDSTROM INC COM 08/24/17 S	79	43.774	0	3,458	4,253	-795
130006-007	ISSUE: 750469207 - RADIUS HEALTH INC 08/24/17 S	51	36.079	0	1,840	2,759	-919
130006-007	ISSUE: 78573M104 - SABRE CORP 08/24/17 S	181	18.213	0	3,297	5,101	-1,804
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 08/24/17 B	7,091	0.010	7,020	7,091-	7,091	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/24/17 B	354	0.010	351	354-	354	
130006-007	ISSUE: 100557107 - BOSTON BEER INCORPORATED CLASS A 08/25/17 S	9	146.722	0	1,321	1,397	-77
130006-007	ISSUE: 12685J105 - CABLE ONE INC 08/25/17 B	3	740.487	0	2,221-	2,221	
130006-007	ISSUE: 17243V102 - CINEMARK HOLDINGS INC 08/25/17 S	19	33.306	0	633	759	-126
130006-007	ISSUE: 655664100 - NORDSTROM INC COM 08/25/17 S	84	44.817	0	3,765	4,522	-758
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 08/25/17 B	20	0.010	20	20-	20	
130006-007	ISSUE: 12685J105 - CABLE ONE INC 08/28/17 B	2	742.870	0	1,486-	1,486	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/28/17 B	83		0.010	82		83-	83
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 08/28/17 B	867		0.010	858		867-	867
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 08/28/17 B	1,414		0.010	1,399		1,414-	1,414
ISSUE: 0258M0EB1 - AMERICAN EXPRESS MTN 2.25% 5/05/21							
130006-006 08/29/17 S	31,000		1.004	0		31,117	31,428 -310
ISSUE: 06051GFB0 - BANK OF AMER CRP MTN 4.125% 1/22/24							
130006-006 08/29/17 B	8,000		1.073	0		8,582-	8,582
ISSUE: 172967LP4 - CITIGROUP INC V-Q 3.668% 7/24/28							
130006-006 08/29/17 B	23,000		1.016	0		23,378-	23,378
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/29/17 B	1,595		0.010	1,579		1,595-	1,595
ISSUE: 478160AU8 - JOHNSON & JOHNSON 5.15% 7/15/18							
130006-004 08/30/17 S	25,000		1.032	0		25,799	26,785 -985
ISSUE: 478160BT0 - JOHNSON & JOHNSON 2.05% 3/01/23							
130006-004 08/30/17 B	26,000		1.002	0		26,046-	26,046
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/30/17 B	12,062		0.010	11,941		12,062-	12,062
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/31/17 B	2,011		0.010	1,991		2,011-	2,011
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008 09/01/17 B	194		7.800	0		1,511-	1,511
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 09/01/17 B	1,991		0.010	1,971		1,991-	1,991
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 09/01/17 S	741		0.010	0		741	741 0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 09/05/17 B	712		0.010	704		712-	712
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 09/05/17 B	1,792		0.010	1,775		1,792-	1,792
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 09/05/17 B	457		0.010	453		457-	457
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 09/05/17 B	768		0.010	760		768-	768
ISSUE: G01767105 - ALKERMES PLC							
130006-007 09/06/17 S	170		50.019	0		8,503	9,730 -1,227

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	09/06/17 S	ISSUE: G0750C108 - AXALTA COATING SYSTEMS LTD 155	29.063	0	4,505	4,989	-485
130006-002	09/06/17 S	ISSUE: G1151C101 - ACCENTURE PLC- CL A 359	130.808	0	46,960	43,859	3,101
130006-007	09/06/17 S	ISSUE: G4705A100 - ICON PLC 114	113.062	0	12,889	8,820	4,069
130006-002	09/06/17 S	ISSUE: G491BT108 - INVESCO LIMITED 1,342	32.562	0	43,699	41,964	1,734
130006-002	09/06/17 S	ISSUE: G5960L103 - MEDTRONIC PLC 555	79.741	0	44,256	47,267	-3,010
130006-002	09/06/17 S	ISSUE: H1467J104 - CHUBB LTD 319	137.981	0	44,016	40,082	3,934
130006-004	09/06/17 S	ISSUE: 00206RAJ1 - AT&T INC 5.5% 2/01/18 26,000	1.015	0	26,390	27,394	-1,004
130006-007	09/06/17 S	ISSUE: 004498101 - ACI WORLDWIDE INC 269	22.643	0	6,091	5,213	878
130006-007	09/06/17 S	ISSUE: 007973100 - ADVANCED ENERGY INDUSTRIES 119	73.160	0	8,706	5,631	3,075
130006-007	09/06/17 S	ISSUE: 016255101 - ALIGN TECHNOLOGY INCORPORATED 86	176.686	0	15,195	8,063	7,132
130006-004	09/06/17 S	ISSUE: 020002AX9 - ALLSTATE CORP 7.45% 5/16/19 20,000	1.091	0	21,811	22,913	-1,102
130006-004	09/06/17 S	ISSUE: 025816BB4 - AMERICAN EXPRESS CO 8.125% 5/20/19 20,000	1.105	0	22,099	23,273	-1,174
130006-007	09/06/17 S	ISSUE: 040413106 - ARISTA NETWORKS INC 88	172.886	0	15,214	8,147	7,067
130006-007	09/06/17 S	ISSUE: 052800109 - AUTOLIV INC 72	108.788	0	7,833	7,690	143
130006-004	09/06/17 S	ISSUE: 05531FAV5 - BB&T CORPORATION MTN 2.05% 5/10/21 30,000	1.002	0	30,076	29,441	635
130006-006	09/06/17 S	ISSUE: 05565QDB1 - BP CAPITAL MARKETS 3.119% 5/04/26 31,000	1.011	0	31,353	30,426	927
130006-003	09/06/17 S	ISSUE: 06051GET2 - BANK OF AMER CRP MTN 2% 1/11/18 16,000	1.001	0	16,023	16,074	-51
130006-006	09/06/17 S	ISSUE: 06051GFB0 - BANK OF AMER CRP MTN 4.125% 1/22/24 29,000	1.071	0	31,070	31,357	-287
130006-007	09/06/17 S	ISSUE: 063904106 - BANK OF THE OZARKS 126	41.693	0	5,253	4,838	415

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	09/06/17 S	ISSUE: 06406HCL1 - BANK OF NY MELLO MTN 2.1% 8/01/18 10,000	1.004	0	10,043	10,150	-107
130006-004	09/06/17 S	ISSUE: 06406HDB2 - BANK OF NY MELL MTN 1.6% 5/22/18 17,000	1.000	0	17,009	17,020	-11
130006-004	09/06/17 S	ISSUE: 084670BL1 - BERKSHIRE HATHAWAY 2.1% 8/14/19 26,000	1.009	0	26,238	26,526	-288
130006-007	09/06/17 S	ISSUE: 08579W103 - BERRY GLOBAL GROUP, INC. 202	55.942	0	11,300	9,229	2,071
130006-008	09/06/17 S	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 47,817	7.810	0	373,451	365,385	8,066
130006-002	09/06/17 S	ISSUE: 09247X101 - BLACKROCK INC 111	415.451	0	46,115	42,818	3,297
130006-003	09/06/17 S	ISSUE: 092480300 - BLACKROCK BD ALL TGT SH-S 72,143	9.550	0	688,966	690,935	-1,969
130006-007	09/06/17 S	ISSUE: 099724106 - BORG WARNER INC COM 218	46.233	0	10,079	9,225	853
130006-002	09/06/17 S	ISSUE: 110448107 - BRITISH AMERICAN TOBACCO-SP ADR 670	62.709	0	42,015	42,763	-748
130006-007	09/06/17 S	ISSUE: 11133B409 - BROADSOFT INC 167	48.951	0	8,175	7,774	401
130006-007	09/06/17 S	ISSUE: 11133T103 - BROADRIDGE FINANCIAL SOLUTIONS INC 139	78.523	0	10,915	9,423	1,492
130006-007	09/06/17 S	ISSUE: 12504L109 - CBRE GROUP INC 347	35.823	0	12,431	9,709	2,721
130006-007	09/06/17 S	ISSUE: 12650T104 - CSRA INC 232	32.681	0	7,582	7,486	96
130006-002	09/06/17 S	ISSUE: 126650100 - CVS HEALTH CORPORATION 543	79.564	0	43,203	46,624	-3,421
130006-007	09/06/17 S	ISSUE: 12685J105 - CABLE ONE INC 9	750.182	0	6,752	6,689	63
130006-007	09/06/17 S	ISSUE: 16411R208 - CHENIERE ENERGY INC 146	43.680	0	6,377	6,366	12
130006-002	09/06/17 S	ISSUE: 166764100 - CHEVRON CORP 375	111.131	0	41,674	43,231	-1,557
130006-007	09/06/17 S	ISSUE: 16949N109 - CHINA LODGING GROUP SPONS ADR 121	112.438	0	13,605	6,028	7,577
130006-006	09/06/17 S	ISSUE: 172967LP4 - CITIGROUP INC V-Q 3.668% 7/24/28 23,000	1.013	0	23,296	23,378	-82

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	09/06/17 S	ISSUE: 191216100 - COCA COLA CO COM 1,025	45.872	0	47,019	43,332	3,688
130006-002	09/06/17 S	ISSUE: 194162103 - COLGATE PALMOLIVE CO COM 632	71.338	0	45,086	46,388	-1,302
130006-006	09/06/17 S	ISSUE: 20030NBB6 - COMCAST CORP 6.4% 3/01/40 24,000	1.336	0	32,065	33,190	-1,125
130006-004	09/06/17 S	ISSUE: 200339DW6 - COMERICA BANK 2.5% 6/02/20 31,000	1.008	0	31,242	31,658	-416
130006-007	09/06/17 S	ISSUE: 217204106 - COPART INC 314	32.513	0	10,209	9,975	234
130006-002	09/06/17 S	ISSUE: 224399105 - CRANE CO 575	73.640	0	42,343	36,231	6,112
130006-007	09/06/17 S	ISSUE: 224399105 - CRANE CO 79	72.690	0	5,742	6,270	-527
130006-007	09/06/17 S	ISSUE: 238337109 - DAVE & BUSTER'S ENTERTAINMENT 75	51.879	0	3,891	2,939	952
130006-007	09/06/17 S	ISSUE: 252131107 - DEXCOM INC 120	75.412	0	9,049	10,519	-1,470
130006-002	09/06/17 S	ISSUE: 25243Q205 - DIAGEO PLC SPONSORED ADR 369	134.272	0	49,546	42,817	6,729
130006-007	09/06/17 S	ISSUE: 25278X109 - DIAMONDBACK ENERGY INC 79	92.519	0	7,309	7,627	-318
130006-004	09/06/17 S	ISSUE: 25468PDA1 - WALT DISNEY CO MTN 1.85% 5/30/19 15,000	1.005	0	15,075	15,252	-178
130006-007	09/06/17 S	ISSUE: 25659T107 - DOLBY LABORATORIES INC CL A 140	50.852	0	7,119	7,601	-481
130006-007	09/06/17 S	ISSUE: 25754A201 - DOMINO'S PIZZA INC 52	181.956	0	9,462	9,926	-464
130006-004	09/06/17 S	ISSUE: 263901AC4 - DUKE ENERGY INDIANA 3.75% 7/15/20 30,000	1.051	0	31,522	32,315	-793
130006-007	09/06/17 S	ISSUE: 265504100 - DUNKIN' BRANDS GROUP INC 164	52.242	0	8,568	8,523	45
130006-007	09/06/17 S	ISSUE: 26969P108 - EAGLE MATERIALS INC COM W RIGHTS 91	101.150	0	9,205	8,237	967
130006-007	09/06/17 S	ISSUE: 29084Q100 - EMCOR GROUP INC 146	65.613	0	9,579	9,084	496
130006-007	09/06/17 S	ISSUE: 29362U104 - ENTEGRIS INC 427	26.470	0	11,303	7,438	3,864

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	09/06/17 S	14,000	1.177	0	16,481	15,855	625
ISSUE: 29379VAV5 - ENTERPRISE PRODUCTS 5.7% 2/15/42							
130006-007	09/06/17 S	82	71.698	0	5,879	6,185	-305
ISSUE: 29977A105 - EVERCORE INC							
130006-004	09/06/17 S	24,000	1.015	0	24,364	24,603	-239
ISSUE: 30231GAJ1 - EXXON MOBIL CORP 2.397% 3/06/22							
130006-006	09/06/17 S	61,000	1.006	0	61,367	62,205	-838
ISSUE: 3135G0YM9 - FNMA 1.875% 9/18/18							
130006-006	09/06/17 S	11,000	1.452	0	15,972	16,586	-614
ISSUE: 31359MGK3 - FNMA 6.625% 11/15/30							
130006-006	09/06/17 S	37,000	1.038	0	38,386	39,463	-1,077
ISSUE: 3137EACA5 - FHLMC 3.75% 3/27/19							
130006-008	09/06/17 S	31,264	7.412	0	231,719	229,972	1,747
ISSUE: 317609667 - JEFFERIES ASSET MGMT COMODITY ST ALL							
130006-007	09/06/17 S	256	37.273	0	9,542	9,454	88
ISSUE: 34959E109 - FORTINET INC							
130006-007	09/06/17 S	72	121.445	0	8,744	6,368	2,376
ISSUE: 366651107 - GARTNER INC							
130006-006	09/06/17 S	18,000	1.299	0	23,379	24,036	-657
ISSUE: 36962G3P7 - GEN ELEC CAP CRP MTN 5.875% 1/14/38							
130006-004	09/06/17 S	15,000	1.012	0	15,177	15,378	-201
ISSUE: 36962G7M0 - GENERAL ELEC CAP MTN 2.2% 1/09/20							
130006-007	09/06/17 S	104	95.075	0	9,888	7,983	1,905
ISSUE: 37940X102 - GLOBAL PAYMENTS INC							
130006-003	09/06/17 S	16,000	1.002	0	16,040	16,177	-137
ISSUE: 38141GRC0 - GOLDMAN SACHS GP 2.375% 1/22/18							
130006-006	09/06/17 S	21,000	1.060	0	22,251	22,550	-298
ISSUE: 38141GVM3 - GOLDMAN SACHS MTN 4% 3/03/24							
130006-007	09/06/17 S	189	56.692	0	10,715	8,279	2,436
ISSUE: 400110102 - GRUBHUB INC							
130006-007	09/06/17 S	111	73.852	0	8,198	6,462	1,736
ISSUE: 40171V100 - GUIDEWIRE SOFTWARE INC							
130006-008	09/06/17 S	3,377	56.440	0	190,597	157,510	33,087
ISSUE: 412295305 - HARDING LOEVNER EMRG MKTS FD CL-ADV							
130006-007	09/06/17 S	155	42.374	0	6,568	7,533	-965
ISSUE: 419879101 - HAWAIIAN HOLDINGS INC							
130006-007	09/06/17 S	136	35.772	0	4,865	4,946	-81
ISSUE: 43283X105 - HILTON GRAND VACATIONS INC							

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 437076102 - HOME DEPOT INC COM						
130006-002 09/06/17 S	303	155.270	0	47,047	38,990	8,057
ISSUE: 438516BA3 - HONEYWELL INTL 4.25% 3/01/21						
130006-004 09/06/17 S	25,000	1.079	0	26,962	27,892	-930
ISSUE: 44919P508 - IAC/INTERACTIVECORP						
130006-007 09/06/17 S	116	115.480	0	13,396	7,247	6,149
ISSUE: 44930G107 - ICU MEDICAL INC						
130006-007 09/06/17 S	48	174.006	0	8,352	7,782	570
ISSUE: 458140100 - INTEL CORP COM						
130006-002 09/06/17 S	1,183	35.663	0	42,189	44,658	-2,469
ISSUE: 462222100 - IONIS PHARMACEUTICALS INC						
130006-007 09/06/17 S	163	55.477	0	9,043	6,011	3,031
ISSUE: 46623EKD0 - JPMORGAN CHASE MTN 1.7% 3/01/18						
130006-003 09/06/17 S	16,000	1.000	0	16,005	16,049	-44
ISSUE: 46625HHL7 - JPMORGAN CHASE & CO 6.3% 4/23/19						
130006-004 09/06/17 S	20,000	1.071	0	21,429	21,512	-83
ISSUE: 46625HHS2 - JPMORGAN CHASE MTN 4.4% 7/22/20						
130006-006 09/06/17 S	29,000	1.068	0	30,960	31,491	-531
ISSUE: 478160BT0 - JOHNSON & JOHNSON 2.05% 3/01/23						
130006-004 09/06/17 S	26,000	1.003	0	26,068	26,046	22
ISSUE: 478160104 - JOHNSON & JOHNSON						
130006-002 09/06/17 S	348	130.405	0	45,381	45,829	-448
ISSUE: 500769GR9 - KFW 1.875% 6/30/20						
130006-003 09/06/17 S	33,000	1.009	0	33,283	33,651	-368
ISSUE: 500769HC1 - KFW 1.25% 9/30/19						
130006-003 09/06/17 S	41,000	0.995	0	40,806	40,980	-175
ISSUE: 52470G734 - WESTERN ASSET SMASH SERIES EC FUND						
130006-006 09/06/17 S	32,302	9.830	0	317,532	304,742	12,790
ISSUE: 52470G742 - WESTERN ASSET SMASH SERIES C FUND						
130006-006 09/06/17 S	12,032	9.820	0	118,154	117,398	755
ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND						
130006-006 09/06/17 S	31,671	11.040	0	349,645	347,248	2,398
ISSUE: 536797103 - LITHIA MOTORS INC CL-A						
130006-007 09/06/17 S	67	108.129	0	7,245	6,576	668
ISSUE: 539830109 - LOCKHEED MARTIN CORPORATION COM						
130006-002 09/06/17 S	163	301.553	0	49,153	39,074	10,079
ISSUE: 571748102 - MARSH & MCLENNAN COS INC COM						
130006-002 09/06/17 S	597	78.712	0	46,991	40,148	6,843

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	09/06/17 S	ISSUE: 580135101 - MCDONALD'S CORPORATION 338	158.521	0	53,580	38,992	14,589
130006-007	09/06/17 S	ISSUE: 58471A105 - MEDIDATA SOLUTIONS INC 121	72.883	0	8,819	6,747	2,072
130006-007	09/06/17 S	ISSUE: 58733R102 - MERCADOLIBRE INC 52	256.764	0	13,352	9,618	3,733
130006-004	09/06/17 S	ISSUE: 59156RBB3 - METLIFE INC 4.368% 9/15/23 28,000	1.098	0	30,752	30,786	-34
130006-002	09/06/17 S	ISSUE: 594918104 - MICROSOFT CORP 656	73.683	0	48,336	37,786	10,551
130006-007	09/06/17 S	ISSUE: 596278101 - MIDDLEBY CORPORATION 79	120.767	0	9,541	9,766	-225
130006-007	09/06/17 S	ISSUE: 60877T100 - MOMENTA PHARMACEUTICALS INC 313	16.760	0	5,246	3,659	1,587
130006-004	09/06/17 S	ISSUE: 637432MU6 - NATIONAL RURAL UTIL 2.35% 6/15/20 15,000	1.011	0	15,170	15,342	-172
130006-007	09/06/17 S	ISSUE: 63938C108 - NAVIENT CORP 505	13.840	0	6,989	7,291	-302
130006-002	09/06/17 S	ISSUE: 65339F101 - NEXTERA ENERGY INC 338	149.484	0	50,525	41,351	9,174
130006-007	09/06/17 S	ISSUE: 655663102 - NORDSON CORPORATION 96	109.718	0	10,533	9,564	968
130006-002	09/06/17 S	ISSUE: 655664100 - NORDSTROM INC COM 927	46.826	0	43,408	48,093	-4,685
130006-004	09/06/17 S	ISSUE: 665859AM6 - NORTHERN TRUST CORP 3.375% 8/23/21 21,000	1.046	0	21,976	22,541	-565
130006-002	09/06/17 S	ISSUE: 66987V109 - NOVARTIS AG ADR 560	83.821	0	46,940	44,042	2,898
130006-007	09/06/17 S	ISSUE: 679580100 - OLD DOMINION FREIGHT LINE INC 116	100.020	0	11,602	7,959	3,644
130006-008	09/06/17 S	ISSUE: 683974505 - OPPENHEIMER DEV MARKETS FD CLASS Y 4,638	41.060	0	190,444	157,038	33,406
130006-007	09/06/17 S	ISSUE: 690742101 - OWENS CORNING INC 80	75.238	0	6,019	4,143	1,876
130006-004	09/06/17 S	ISSUE: 69349LAK4 - PNC BANK NA 2.95% 1/30/23 12,000	1.021	0	12,253	12,115	137
130006-004	09/06/17 S	ISSUE: 69351UAP8 - PPL ELECTRIC UTILS 3% 9/15/21 25,000	1.030	0	25,749	25,678	71

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 69353RFB9 - PNC BANK NA 2.625% 2/17/22							
130006-004	09/06/17 S	12,000	1.018	0	12,219	12,112	107
ISSUE: 713448108 - PEPSICO INC COM							
130006-002	09/06/17 S	397	115.898	0	46,012	43,174	2,838
ISSUE: 739276103 - POWER INTEGRATIONS INC							
130006-007	09/06/17 S	107	72.962	0	7,807	6,744	1,063
ISSUE: 74005P104 - PRAXAIR INC COM							
130006-002	09/06/17 S	363	131.034	0	47,565	43,575	3,990
ISSUE: 74112D101 - PRESTIGE BRANDS HOLDINGS INC							
130006-007	09/06/17 S	94	49.339	0	4,638	4,537	100
ISSUE: 742718DY2 - PROCTER & GAMBLE CO 2.3% 2/06/22							
130006-004	09/06/17 S	28,000	1.019	0	28,537	28,626	-88
ISSUE: 74432QCB9 - PRUDENTIAL FINL MTN 2.35% 8/15/19							
130006-004	09/06/17 S	32,000	1.009	0	32,302	32,650	-348
ISSUE: 744448CB5 - PUBLIC SERV CO 5.8% 8/01/18							
130006-004	09/06/17 S	33,000	1.036	0	34,193	35,656	-1,462
ISSUE: 74456QBR6 - PUBLIC SERVICE MTN 2.25% 9/15/26							
130006-004	09/06/17 S	28,000	0.960	0	26,865	25,766	1,100
ISSUE: 745867101 - PULTE GROUP INC							
130006-007	09/06/17 S	527	25.692	0	13,540	12,776	764
ISSUE: 74978Q105 - RSP PERMIAN INC							
130006-007	09/06/17 S	142	31.043	0	4,408	5,472	-1,064
ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC							
130006-007	09/06/17 S	104	130.053	0	13,526	12,961	564
ISSUE: 773903109 - ROCKWELL AUTOMATION INC.							
130006-002	09/06/17 S	281	163.874	0	46,049	34,378	11,671
ISSUE: 848637104 - SPLUNK INC							
130006-007	09/06/17 S	147	67.869	0	9,977	8,626	1,351
ISSUE: 857477AM5 - STATE STREET CORP 3.7% 11/20/23							
130006-004	09/06/17 S	13,000	1.077	0	14,008	13,671	337
ISSUE: 867224107 - SUNCOR ENERGY INC							
130006-002	09/06/17 S	1,331	32.242	0	42,914	41,274	1,639
ISSUE: 86787EAN7 - SUNTRUST BANK 2.75% 5/01/23							
130006-004	09/06/17 S	18,000	1.007	0	18,122	18,091	30
ISSUE: 868157108 - SUPERIOR ENERGY SERVICES INC COM							
130006-007	09/06/17 S	246	9.360	0	2,303	4,164	-1,861
ISSUE: 868459108 - SUPERNUS PHARMACEUTICALS INC							
130006-007	09/06/17 S	236	46.890	0	11,066	5,836	5,230

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 87612EAV8 - TARGET CORP 3.875% 7/15/20						
130006-004 09/06/17 S	30,000	1.058	0	31,731	32,827	-1,096
ISSUE: 88076W103 - TERADATA CORP						
130006-007 09/06/17 S	174	31.019	0	5,397	5,394	3
ISSUE: 881569107 - TESARO INC						
130006-007 09/06/17 S	36	132.499	0	4,770	5,142	-372
ISSUE: 882508104 - TEXAS INSTRUMENTS INC						
130006-002 09/06/17 S	558	81.897	0	45,699	39,160	6,538
ISSUE: 891906109 - TOTAL SYSTEMS SERVICES INC						
130006-007 09/06/17 S	190	69.302	0	13,167	8,959	4,209
ISSUE: 896239100 - TRIMBLE INCORPORATED						
130006-007 09/06/17 S	362	38.653	0	13,992	11,206	2,786
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 09/06/17 B	29	0.010	29	29-	29	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 09/06/17 B	420	0.010	416	420-	420	
ISSUE: 90385D107 - THE ULTIMATE SOFTWARE GROUP INC						
130006-007 09/06/17 S	29	194.977	0	5,654	6,682	-1,028
ISSUE: 907818108 - UNION PACIFIC CORP COM						
130006-002 09/06/17 S	391	105.999	0	41,445	38,134	3,311
ISSUE: 91086QBD9 - UNITED MEXICAN MTN 3.5% 1/21/21						
130006-006 09/06/17 S	30,000	1.048	0	31,425	31,826	-401
ISSUE: 911312AM8 - UNITED PARCEL 3.125% 1/15/21						
130006-004 09/06/17 S	25,000	1.043	0	26,084	26,702	-619
ISSUE: 911363109 - UNITED RENTALS INC COM						
130006-007 09/06/17 S	111	120.946	0	13,425	8,742	4,683
ISSUE: 912810RM2 - U.S. TREASURY BONDS 3% 5/15/45						
130006-006 09/06/17 S	31,000	1.057	0	32,757	34,455	-1,698
ISSUE: 912810RQ3 - U.S. TREASURY BONDS 2.5% 2/15/46						
130006-006 09/06/17 S	34,000	0.955	0	32,469	30,481	1,987
ISSUE: 912828F21 - U.S. TREASURY NOTES 2.125% 9/30/21						
130006-006 09/06/17 S	16,000	1.021	0	16,332	16,636	-304
ISSUE: 912828J27 - U.S. TREASURY NOTES 2% 2/15/25						
130006-004 09/06/17 S	110,000	1.002	0	110,262	113,633	-3,371
ISSUE: 912828L32 - U.S. TREASURY NOTES 1.375% 8/31/20						
130006-003 09/06/17 S	109,000	0.999	0	108,902	110,211	-1,309
ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18						
130006-003 09/06/17 S	46,000	0.998	0	45,925	46,086	-161

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	ISSUE: 912828Q52 - U.S. TREASURY NOTES 0.875% 4/15/19 09/06/17 S 51,000	0.994	0	50,669	51,004	-335
130006-004	ISSUE: 912828T42 - U.S. TREASURY NOTES 0.75% 9/30/18 09/06/17 S 58,000	0.995	0	57,692	57,728	-36
130006-004	ISSUE: 912828T67 - U.S. TREASURY NOTES 1.25% 10/31/21 09/06/17 S 111,000	0.986	0	109,452	108,849	603
130006-003	ISSUE: 912828T83 - U.S. TREASURY NOTES 0.75% 10/31/18 09/06/17 S 130,000	0.994	0	129,243	129,835	-592
130006-004	ISSUE: 912828VB3 - U.S. TREASURY BONDS 1.75% 5/15/23 09/06/17 S 84,000	0.998	0	83,846	85,681	-1,835
130006-003	ISSUE: 912828V56 - U.S. TREASURY NOTES 1.125% 1/31/19 09/06/17 S 113,000	0.998	0	112,793	112,879	-86
130006-003	ISSUE: 912828W30 - U.S. TREASURY NOTES 1.125% 2/28/19 09/06/17 S 164,000	0.998	0	163,673	163,389	285
130006-003	ISSUE: 912828XS4 - U.S. TREASURY NOTES 1.25% 5/31/19 09/06/17 S 120,000	1.000	0	119,944	119,935	9
130006-004	ISSUE: 912828A7 - U.S. TREASURY NOTES 1.5% 8/15/26 09/06/17 S 78,000	0.954	0	74,389	76,720	-2,330
130006-003	ISSUE: 9128282C3 - U.S. TREASURY NOTES 0.75% 8/31/18 09/06/17 S 85,000	0.995	0	84,598	84,964	-366
130006-004	ISSUE: 913017BR9 - UNITED TECH CORP 4.5% 4/15/20 09/06/17 S 17,000	1.065	0	18,100	18,792	-692
130006-004	ISSUE: 913017CG2 - UNITED TECH CORP 1.95% 11/01/21 09/06/17 S 11,000	0.991	0	10,903	10,839	64
130006-002	ISSUE: 913017109 - UNITED TECHNOLOGIES CORP COM 09/06/17 S 381	109.990	0	41,906	38,710	3,197
130006-002	ISSUE: 918204108 - V F CORP 09/06/17 S 851	63.969	0	54,437	46,715	7,723
130006-007	ISSUE: 91879Q109 - VAIL RESORTS INC 09/06/17 S 39	226.265	0	8,824	8,288	537
130006-002	ISSUE: 921908844 - VANGUARD DIVIDEND APPRECIATION ETF 09/06/17 S 1,441	92.590	0	133,422	120,943	12,479
130006-007	ISSUE: 922475108 - VEEVA SYSTEMS INC 09/06/17 S 150	58.209	0	8,731	7,163	1,569
130006-006	ISSUE: 92343VBR4 - VERIZON COMM INC 5.15% 9/15/23 09/06/17 S 27,000	1.119	0	30,204	31,323	-1,119
130006-007	ISSUE: 929740108 - WABTEC CORP COM 09/06/17 S 103	69.946	0	7,204	8,410	-1,206

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	09/06/17 S	66	58.800	0	3,881	4,740	-859
130006-006	09/06/17 S	15,000	1.046	0	15,689	15,885	-196
130006-006	09/06/17 B	15,000	1.026	0	15,389-	15,389	
130006-007	09/06/17 S	133	47.189	0	6,276	6,105	171
130006-007	09/06/17 S	106	97.675	0	10,354	7,137	3,217
130006-007	09/06/17 S	129	62.006	0	7,999	6,766	1,233
130006-007	09/07/17 B	53	0.010	52	53-	53	
130006-002	09/07/17 B	4	0.010	4	4-	4	
130006-003	09/07/17 B	4	0.010	4	4-	4	
130006-004	09/07/17 B	288	0.010	285	288-	288	
130006-004	09/07/17 B	7	0.010	7	7-	7	
130006-006	09/07/17 B	2	0.010	2	2-	2	
130006-003	09/08/17 B	1,316,665	0.010	1,303,499	1,316,665-	1,316,665	
130006-004	09/08/17 B	234,354	0.010	232,010	234,354-	234,354	
130006-006	09/08/17 B	781,782	0.010	773,965	781,782-	781,782	
130006-007	09/08/17 B	43	0.010	43	43-	43	
130006-002	09/08/17 B	223,655	0.010	221,418	223,655-	223,655	
130006-003	09/08/17 B	222,568	0.010	220,342	222,568-	222,568	
130006-004	09/08/17 B	202,638	0.010	200,612	202,638-	202,638	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 09/08/17 B	235,841	0.010	233,482	235,841-	235,841	
130006-008	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 09/08/17 B	527	0.010	521	527-	527	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 09/08/17 S	249,622	0.010	0	249,622	249,622	0
130006-008	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 09/08/17 S	527	0.010	0	527	527	0
130006-002	ISSUE: 99T792GA4 - UBS AG DEPOSIT 09/08/17 B	762,557	0.010	754,931	762,557-	762,557	
130006-004	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 09/11/17 B	740,712	0.010	733,305	740,712-	740,712	
130006-004	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 09/11/17 B	7	0.010	7	7-	7	
130006-006	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 09/11/17 B	274,699	0.010	271,952	274,699-	274,699	
130006-006	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 09/11/17 B	2	0.010	2	2-	2	
130006-003	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 09/11/17 S	1,316,665	0.010	0	1,316,665	1,316,665	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 09/11/17 S	42,880	0.010	0	42,880	42,880	0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 09/11/17 S	4	0.010	0	4	4	0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 09/11/17 S	7	0.010	0	7	7	0
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 09/11/17 S	2	0.010	0	2	2	0
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 09/11/17 S	250,000	0.010	0	250,000	250,000	0
130006-002	ISSUE: 99T792GA4 - UBS AG DEPOSIT 09/11/17 B	3,890,994	0.010	3,852,084	3,890,994-*	3,890,994	
130006-002	ISSUE: 99T792GA4 - UBS AG DEPOSIT 09/11/17 B	4	0.010	4	4-	4	
130006-004	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 09/12/17 B	108,167	0.010	107,085	108,167-	108,167	
130006-006	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 09/12/17 S	1,056,483	0.010	0	1,056,483	1,056,483	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	09/12/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 568	0.010	563		568-	568
130006-008	09/12/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 385	0.010	381		385-	385
130006-002	09/12/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 1,582,436	0.010	1,566,611		1,582,436-	1,582,436
130006-007	09/13/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 60	0.010	60		60-	60
130006-006	09/13/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 446	0.010	441		446-	446
130006-003	09/13/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 950	0.010	0		950	950
130006-006	09/13/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 446	0.010	0		446	446
130006-008	09/13/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 385	0.010	0		385	385
130006-002	09/13/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 635	0.010	629		635-	635
130006-007	09/14/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 584	0.010	0		584	584
130006-002	09/14/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 2,365	0.010	2,341		2,365-	2,365
130006-004	09/15/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 1,083,239	0.010	0		1,083,239	1,083,239
130006-004	09/15/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 247,954	0.010	0		247,954	247,954
130006-002	09/15/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 526	0.010	520		526-	526
130006-004	09/15/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 1,083,239	0.010	1,072,407		1,083,239-	1,083,239
130006-004	09/15/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 110,909	0.010	109,800		110,909-	110,909
130006-004	09/15/17 S	ISSUE: 99T792GA4 - UBS AG DEPOSIT 1,194,149	0.010	0		1,194,149	1,194,149
130006-007	09/18/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 111	0.010	110		111-	111
130006-003	09/18/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 310	0.010	306		310-	310

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	09/18/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,701	0.010	3,664	3,701-	3,701	
130006-002	09/18/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 1,461,506	0.010	1,446,891	1,461,506-	1,461,506	
130006-003	09/19/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 310	0.010	0	310	310	0
130006-004	09/19/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,046	0.010	0	2,046	2,046	0
130006-006	09/19/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,701	0.010	0	3,701	3,701	0
130006-002	09/19/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 318	0.010	315	318-	318	
130006-007	09/20/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 111	0.010	0	111	111	0
130006-002	09/20/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 250,000	0.010	0	250,000	250,000	0
130006-002	09/20/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 6,168	0.010	6,107	6,168-	6,168	
130006-002	09/20/17 S	ISSUE: 99T792GA4 - UBS AG DEPOSIT 7,707,508	0.010	0	7,707,508 *	7,707,508	0
130006-007	09/21/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 35	0.010	34	35-	35	
130006-003	09/25/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 110	0.010	108	110-	110	
130006-006	09/25/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 94	0.010	93	94-	94	
130006-007	09/25/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 18	0.010	18	18-	18	
130006-002	09/25/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 574	0.010	568	574-	574	
130006-002	09/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 258	0.010	256	258-	258	
130006-004	09/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 740	0.010	732	740-	740	
130006-006	09/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,443	0.010	1,428	1,443-	1,443	
130006-003	09/27/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 110	0.010	0	110	110	0

continued



WILMINGTON
TRUST

REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 09/29/17 B	15	0.010		15		15-	15
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 09/29/17 B	556	0.010		551		556-	556
SUB-TOTAL			\$13938651		39,096,858	38,830,828	266,025
GRAND TOTAL			\$13938651		39,096,858	38,830,828	266,025

Form 5500Department of the Treasury
Internal Revenue ServiceDepartment of Labor
Employee Benefits Security
Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**OMB Nos. 1210-0110
1210-0089**2016****This Form is Open to Public Inspection****Part I Annual Report Identification Information**For calendar plan year 2016 or fiscal plan year beginning 10/01/2016 and ending 09/30/2017

- A** This return/report is for: ☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
☒ a single-employer plan ☐ a DFE (specify) _____
- B** This return/report is: ☐ the first return/report ☐ the final return/report
☒ an amended return/report ☐ a short plan year return/report (less than 12 months)
- C** If the plan is a collectively-bargained plan, check here. ☐
- D** Check box if filing under: ☒ Form 5558 ☐ automatic extension ☐ the DFVC program
☐ special extension (enter description) _____

Part II Basic Plan Information—enter all requested information

1a Name of plan PHARMACHEM LABORATORIES, INC. EMPLOYEE STOCK OWNERSHIP PLAN	1b Three-digit plan number (PN) ▶ 002
	1c Effective date of plan 10/01/1998
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) PHARMACHEM LABORATORIES, INC. 265 HARRISON AVENUE KEARNEY NJ 07032	2b Employer Identification Number (EIN) 22-1625213 2c Plan Sponsor's telephone number 201-246-1000 2d Business code (see instructions) 311900

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	<i>Denise A. Brady</i>	<u>7/16/2020</u>	Denise A. Brady
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address (include room or suite number)			Preparer's telephone number

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
5 Total number of participants at the beginning of the plan year	5 314
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	<div style="background-color: #cccccc; height: 20px; width: 100%;"></div> 6a(1) 230 6a(2) 217 6b 18 6c 75 6d 310 6e 4 6f 314 6g 314 6h 0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2I 2P 3I	
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
BEGINNING MARKET VALUE			\$107,202,214.00				
COMPARATIVE VALUE (5%)			\$5,360.110.70				
CATEGORY 1 - SINGLE TRANSACTION EXCEEDS 5% OF VALUE							
ISSUE: 31420C787 - FEDERATED SHORT-TERM INC-Y							
130006-009	09/06/17 B	3,516,999	8.530	0	30,000,000-*	30,000,000	
ISSUE: 31420C787 - FEDERATED SHORT-TERM INC-Y							
130006-009	09/22/17 B	352,526	8.510	0	3,000,000-*	3,000,000	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-009	09/06/17 B	100,000,000	1.000	0	100,000,000-*	100,000,000	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-009	09/07/17 S	60,000,000	1.000	0	60,000,000 *	60,000,000	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-009	09/14/17 B	24,067,063	1.000	0	24,067,063-*	24,067,063	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-009	09/20/17 B	7,957,416	1.000	0	7,957,416-*	7,957,416	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-009	09/25/17 S	6,000,000	1.000	0	6,000,000 *	6,000,000	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-010	09/14/17 B	70,000,000	1.000	0	70,000,000-*	70,000,000	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-010	09/15/17 S	4,994,126	1.000	0	4,994,126 *	4,994,126	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-010	09/18/17 S	21,529,328	1.000	0	21,529,328 *	21,529,328	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-010	09/19/17 S	8,829,841	1.000	0	8,829,841 *	8,829,841	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-010	09/20/17 S	24,449,086	1.000	0	24,449,086 *	24,449,086	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-010	09/21/17 S	5,208,131	1.000	0	5,208,131 *	5,208,131	
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-010	09/22/17 S	3,999,556	1.000	0	3,999,556 *	3,999,556	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 74676P698 - PUTNAM SHORT DUR INCOME-Y							
130006-009 09/06/17 B	2,979,146		10.070	0	30,000,000-*	30,000,000	
ISSUE: 74676P698 - PUTNAM SHORT DUR INCOME-Y							
130006-009 09/22/17 B	297,915		10.070	0	3,000,000-*	3,000,000	
ISSUE: 97181C704 - WILMINGTON US GOVT MONEY MKT CL SLCT							
130006-000 05/17/17 B	191,830,917		1.000	0	191,830,917-*	191,830,917	
ISSUE: 97181C704 - WILMINGTON US GOVT MONEY MKT CL SLCT							
130006-000 09/06/17 S	100,000,000		1.000	0	100,000,000 *	100,000,000	0
ISSUE: 97181C704 - WILMINGTON US GOVT MONEY MKT CL SLCT							
130006-000 09/14/17 S	94,067,063		1.000	0	94,067,063 *	94,067,063	0
ISSUE: 97181C704 - WILMINGTON US GOVT MONEY MKT CL SLCT							
130006-010 09/14/17 B	70,000,000		1.000	0	70,000,000-*	70,000,000	
ISSUE: 97181C704 - WILMINGTON US GOVT MONEY MKT CL SLCT							
130006-010 09/14/17 S	70,000,000		1.000	0	70,000,000 *	70,000,000	0
ISSUE: 99T792GA4 - UBS AG DEPOSIT							
130006-002 09/11/17 B	3,890,994		0.010	3,852,084	3,890,994-*	3,890,994	
ISSUE: 99T792GA4 - UBS AG DEPOSIT							
130006-002 09/20/17 S	7,707,508		0.010	0	7,707,508 *	7,707,508	0
GRAND TOTAL			3,852,084		940,531,029	940,531,029	0

continued



WILMINGTON
TRUST

REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
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CATEGORY 2 - SERIES OF TRANSACTIONS WITH SAME BROKER EXCEEDS 5% OF VALUE

130006-010	ISSUE: 06051GFR5 - BANK OF AMER CORP 1.95% 5/12/18 09/14/17 B	1,250,000	1.002	0	1,252,600-	1,252,600
130006-010	ISSUE: 14042E5V8 - CAPITAL ONE NA 2.35% 8/17/18 09/14/17 B	1,250,000	1.006	0	1,256,850-	1,256,850

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 38147MAA3 - GOLDMAN SACHS GP 2.9% 7/19/18							
130006-010 09/14/17 B	1,375,000		1.010	0	1,388,489-		1,388,489
ISSUE: 126650CH1 - CVS HEALTH CORP 1.9% 7/20/18							
130006-010 09/15/17 B	1,250,000		1.003	0	1,253,700-		1,253,700
ISSUE: 22546QAV9 - CREDIT SUISSE 1.7% 4/27/18							
130006-010 09/15/17 B	1,250,000		1.001	0	1,251,400-		1,251,400
ISSUE: 90261XHH8 - UBS AG STAMFORD MTN 1.8% 3/26/18							
130006-010 09/15/17 B	1,250,000		1.002	0	1,252,275-		1,252,275
SUB-TOTAL				0	7,655,314	7,655,314	0
BROKER: WELLS FARGO SECURITIES LLC							
ISSUE: 369604BC6 - GENERAL ELECTRIC CO 5.25% 12/06/17							
130006-010 09/14/17 B	1,250,000		1.008	0	1,260,538-		1,260,538
ISSUE: 69353REM6 - PNC BANK NA 1.6% 6/01/18							
130006-010 09/14/17 B	1,250,000		1.001	0	1,250,863-		1,250,863
ISSUE: 94974BFQ8 - WELLS FARGO CO 2.15% 1/15/19							
130006-010 09/14/17 B	1,250,000		1.006	0	1,257,113-		1,257,113
ISSUE: 59217HAF4 - MET LIFE GLOBAL FNDG 1.5% 1/10/18							
130006-010 09/18/17 B	1,250,000		1.000	0	1,250,263-		1,250,263
ISSUE: 26442RAC5 - DUKE ENERGY PROG V-Q 1.516% 11/20/17							
130006-010 09/20/17 B	1,000,000		1.000	0	1,000,360-		1,000,360
ISSUE: 278062AB0 - EATON CORP 1.5% 11/02/17							
130006-010 09/20/17 B	1,118,000		1.000	0	1,118,011-		1,118,011
SUB-TOTAL				0	7,137,148	7,137,148	0
BROKER: MORGAN STANLEY & CO LLC							
ISSUE: 05565QCE6 - BP CAPITAL PLC 1.375% 5/10/18							
130006-010 09/14/17 B	1,250,000		0.999	0	1,249,225-		1,249,225
ISSUE: 06367TJW1 - BANK OF MONTREAL MTN 1.35% 8/28/18							
130006-010 09/14/17 B	1,250,000		0.998	0	1,247,150-		1,247,150
ISSUE: 00206RAJ1 - AT&T INC 5.5% 2/01/18							
130006-010 09/18/17 B	1,250,000		1.014	0	1,268,025-		1,268,025

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 046353AH1 - ASTRAZENECA PLC 1.75% 11/16/18							
130006-010	09/18/17 B	1,250,000	1.000	0	1,250,000-	1,250,000	
ISSUE: 843646AK6 - SOUTHERN POWER 1.5% 6/01/18							
130006-010	09/18/17 B	1,250,000	1.000	0	1,249,513-	1,249,513	
SUB-TOTAL				0	6,263,913	6,263,913	0
BROKER: PERSHING LLC							
ISSUE: 06538BY31 - BANK OF TOKYO-MITSUBIS DCP 11/03/17							
130006-010	09/14/17 B	1,250,000	0.998	0	1,247,865-	1,247,865	
ISSUE: 172967JN2 - CITIGROUP INC 1.7% 4/27/18							
130006-010	09/14/17 B	1,250,000	1.000	0	1,250,488-	1,250,488	
ISSUE: 345397XH1 - FORD MOTOR CREDIT 2.24% 6/15/18							
130006-010	09/14/17 B	1,250,000	1.004	0	1,254,975-	1,254,975	
ISSUE: 89114QBN7 - TORONTO DOM BANK MTN 1.45% 9/06/18							
130006-010	09/14/17 B	1,250,000	0.999	0	1,249,013-	1,249,013	
ISSUE: 91324PCT7 - UNITEDHEALTH GRP INC 1.7% 2/15/19							
130006-010	09/14/17 B	1,250,000	1.001	0	1,251,500-	1,251,500	
ISSUE: 064159CU8 - BANK NOVA SCOTIA 2.05% 10/30/18							
130006-010	09/18/17 B	1,095,000	1.005	0	1,100,858-	1,100,858	
ISSUE: 20271RAF7 - CMWLTH BK AUSTRALIA 2.25% 3/13/19							
130006-010	09/18/17 B	1,250,000	1.008	0	1,260,100-	1,260,100	
ISSUE: 58013MEW0 - MCDONALD'S CORP MTN 2.1% 12/07/18							
130006-010	09/18/17 B	1,250,000	1.006	0	1,257,538-	1,257,538	
ISSUE: 63254AAK4 - NATL AUS BANK/NY MTN 1.875% 7/23/18							
130006-010	09/18/17 B	1,250,000	1.003	0	1,254,100-	1,254,100	
ISSUE: 865622CC6 - SUMITOMO MITSUI BK 1.762% 10/19/18							
130006-010	09/18/17 B	1,250,000	1.001	0	1,251,425-	1,251,425	
ISSUE: 907818DW5 - UNION PACIFIC RR 2.25% 2/15/19							
130006-010	09/18/17 B	1,250,000	1.010	0	1,261,963-	1,261,963	
ISSUE: 871829AL1 - SYSCO CORP 5.25% 2/12/18							
130006-010	09/19/17 B	1,250,000	1.015	0	1,268,413-	1,268,413	
ISSUE: 20030NAR2 - COMCAST CORP 5.875% 2/15/18							
130006-010	09/21/17 B	500,000	1.017	0	508,650-	508,650	
SUB-TOTAL				0	15,416,888	15,416,888	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
BROKER: US BANCORP INVESTMENTS INC							
ISSUE: 24422ESR1 - JOHN DEERE CAP MTN 1.55% 12/15/17							
130006-010	09/14/17 B	1,250,000	1.000	0	1,250,625-	1,250,625	
ISSUE: 00138EAA2 - AIG GLOBAL FNDG MTN 1.65% 12/15/17							
130006-010	09/15/17 B	1,250,000	1.001	0	1,250,838-	1,250,838	
ISSUE: 031162AZ3 - AMGEN INC 5.7% 2/01/19							
130006-010	09/18/17 B	1,250,000	1.053	0	1,315,825-	1,315,825	
ISSUE: 17275RAR3 - CISCO SYSTEMS 2.125% 3/01/19							
130006-010	09/18/17 B	1,250,000	1.008	0	1,259,388-	1,259,388	
ISSUE: 084664CG4 - BERKSHIRE HATHAWAY 1.7% 3/15/19							
130006-010	09/19/17 B	1,250,000	1.003	0	1,253,363-	1,253,363	
SUB-TOTAL				0	6,330,039	6,330,039	0

BROKER: BARCLAYS CAPITAL INC FIXED INC							
ISSUE: 02665JXG0 - AMERICAN HONDA FINANCE DCP 10/16/17							
130006-010	09/14/17 B	1,250,000	0.999	0	1,248,816-	1,248,816	
ISSUE: 69372AXD9 - PACCAR FINANCIAL CORP. DCP 10/13/17							
130006-010	09/14/17 B	1,250,000	0.999	0	1,248,901-	1,248,901	
ISSUE: 40428HPJ5 - HSBC USA INC 2.625% 9/24/18							
130006-010	09/18/17 B	1,250,000	1.010	0	1,262,988-	1,262,988	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 37045XAV8 - GEN MOTORS FINL CO 2.4% 4/10/18							
130006-010	09/20/17 B	600,000	1.004	0	602,238-	602,238	
ISSUE: 931142DJ9 - WAL-MART STORES INC 1.95% 12/15/18							
130006-010	09/20/17 B	1,250,000	1.006	0	1,257,988-	1,257,988	
SUB-TOTAL				0	5,620,931	5,620,931	0
BROKER: EXTERNAL BROKER							
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008	10/03/16 B	198	7.610	0	1,509-	1,509	
ISSUE: 63938C108 - NAVIENT CORP							
130006-007	10/03/16 B	214	14.388	0	3,079-	3,079	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003	10/03/16 B	858	0.010	849	858-	858	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	10/03/16 B	485	0.010	480	485-	485	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	10/03/16 B	436	0.010	431	436-	436	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	10/04/16 B	13,124	0.010	12,992	13,124-	13,124	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002	10/04/16 B	790	0.010	782	790-	790	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003	10/04/16 B	1,821	0.010	1,803	1,821-	1,821	
ISSUE: 08579W103 - BERRY PLASTICS G							
130006-007	10/05/16 B	145	43.423	0	6,296-	6,296	
ISSUE: 29084Q100 - EMCOR GROUP INC							
130006-007	10/05/16 B	72	60.337	0	4,344-	4,344	
ISSUE: 913903100 - UNVL HEALTH SERVICES INC CL B							
130006-007	10/05/16 S	66	120.614	0	7,961	8,133	-172
ISSUE: 29084Q100 - EMCOR GROUP INC							
130006-007	10/06/16 B	14	59.839	0	838-	838	
ISSUE: 830566105 - SKECHERS USA							
130006-007	10/06/16 S	5	22.762	0	114	115	-1
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	10/06/16 S	3,079	0.010	0	3,079	3,079	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	10/06/16 B	0	0.010	0	0-	0	
130006-003	10/06/16 B	0	0.010	0	0-	0	
130006-004	10/06/16 B	0	0.010	0	0-	0	
130006-004	10/07/16 B	90,000	0.010	89,100	90,000-	90,000	
130006-002	10/10/16 B	493	86.720	0	42,753-	42,753	
130006-007	10/10/16 B	55	51.705	0	2,844-	2,844	
130006-007	10/10/16 B	19	59.485	0	1,130-	1,130	
130006-007	10/10/16 S	2	23.225	0	46	46	1
130006-002	10/10/16 S	458	83.338	0	38,169	38,440	-271
130006-007	10/11/16 B	142	43.015	0	6,108-	6,108	
130006-007	10/12/16 B	126	28.237	0	3,558-	3,558	
130006-007	10/12/16 B	1,772	0.010	1,754	1,772-	1,772	
130006-007	10/13/16 B	169	53.836	0	9,098-	9,098	
130006-007	10/13/16 B	145	28.156	0	4,083-	4,083	
130006-007	10/13/16 S	3,928	0.010	0	3,928	3,928	0
130006-002	10/13/16 S	3,905	0.010	0	3,905	3,905	0
130006-006	10/13/16 S	6,803	0.010	0	6,803	6,803	0
130006-004	10/13/16 B	90,000	1.000	0	89,984-	89,984	
130006-007	10/14/16 S	6,081	0.010	0	6,081	6,081	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/14/16 S	89,984	0.010	0	89,984	89,984	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 10/17/16 S	3,543	0.010	0	3,543	3,543	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 10/18/16 S	13,181	0.010	0	13,181	13,181	0
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/18/16 B	210	0.010	208	210-	210	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/18/16 B	2,846	0.010	2,817	2,846-	2,846	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/18/16 B	5,084	0.010	5,033	5,084-	5,084	
130006-007	ISSUE: 040413106 - ARISTA NETWORKS INC 10/20/16 B	27	81.867	0	2,210-	2,210	
130006-007	ISSUE: 315616102 - F5 NETWORKS INC 10/20/16 S	91	118.314	0	10,767	11,342	-576
130006-007	ISSUE: 59408Q106 - MICHAELS COS INC/THE 10/20/16 B	62	23.937	0	1,484-	1,484	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/20/16 B	2,698	0.010	2,671	2,698-	2,698	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/20/16 S	2,698	0.010	0	2,698	2,698	0
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/20/16 B	5,624	0.010	5,568	5,624-	5,624	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/20/16 S	5,624	0.010	0	5,624	5,624	0
130006-008	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/20/16 B	264	0.010	261	264-	264	
130006-007	ISSUE: 911363109 - UNITED RENTALS INC COM 10/20/16 B	42	79.253	0	3,329-	3,329	
130006-007	ISSUE: 59408Q106 - MICHAELS COS INC/THE 10/21/16 B	42	24.015	0	1,009-	1,009	
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 10/24/16 S	228	7.670	0	1,748	1,735	14
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 10/24/16 B	23	0.010	22	23-	23	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 10/24/16 B	235	0.010	232	235-	235	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 10/24/16 S	2,903	0.010	0		2,903	2,903	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 10/24/16 S	2,231	0.010	0		2,231	2,231	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 10/24/16 S	2,026	0.010	0		2,026	2,026	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 10/24/16 S	2,042	0.010	0		2,042	2,042	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-008 10/24/16 S	264	0.010	0		264	264	0
ISSUE: 912828B74 - U.S. TREASURY NOTES 0.625% 2/15/17							
130006-003 10/24/16 S	18,000	1.001	0		18,013	18,017	-5
ISSUE: 912828C32 - U.S. TREASURY NOTES 0.75% 3/15/17							
130006-003 10/24/16 S	18,000	1.001	0		18,021	18,024	-3
ISSUE: 345370CQ1 - FORD MOTOR CO 4.75% 1/15/43							
130006-006 10/25/16 S	26,000	1.006	0		26,170	26,936	-766
ISSUE: 375558BD4 - GILEAD SCIENCES 4.75% 3/01/46							
130006-006 10/25/16 B	26,000	1.114	0		28,955-	28,955	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 10/25/16 S	1,572	0.010	0		1,572	1,572	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 10/25/16 B	10	0.010	10		10-	10	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 10/26/16 B	2,735	0.010	2,707		2,735-	2,735	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 10/26/16 B	9,888	0.010	9,789		9,888-	9,888	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 10/26/16 B	2,248	0.010	2,225		2,248-	2,248	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 10/27/16 S	2,939	0.010	0		2,939	2,939	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 10/28/16 S	2,628	0.010	0		2,628	2,628	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 10/31/16 B	70	0.010	69		70-	70	
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008 11/01/16 B	206	7.580	0		1,565-	1,565	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 11/01/16 B	23	0.010	22		23-	23	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	11/01/16 B	158	0.010	156	158-	158	
130006-003	11/02/16 B	1,863	0.010	1,844	1,863-	1,863	
130006-007	11/03/16 B	61	40.017	0	2,441-	2,441	
130006-007	11/04/16 B	46	39.816	0	1,832-	1,832	
130006-006	11/04/16 B	1,000	1.135	0	1,135-	1,135	
130006-002	11/04/16 B	210	0.010	207	210-	210	
130006-002	11/04/16 B	0	0.010	0	0-	0	
130006-004	11/04/16 B	30,446	0.010	30,142	30,446-	30,446	
130006-004	11/04/16 B	0	0.010	0	0-	0	
130006-006	11/04/16 B	0	0.010	0	0-	0	
130006-003	11/04/16 S	59,000	1.001	0	59,064	59,078	-14
130006-003	11/04/16 S	63,000	1.000	0	62,997	62,986	11
130006-003	11/04/16 B	123,000	0.999	0	122,885-	122,885	
130006-007	11/07/16 S	251	37.626	0	9,444	9,463	-19
130006-007	11/08/16 B	69	85.467	0	5,897-	5,897	
130006-007	11/08/16 B	154	38.533	0	5,934-	5,934	
130006-007	11/08/16 S	2,441	0.010	0	2,441	2,441	0
130006-006	11/08/16 B	326	0.010	323	326-	326	
130006-004	11/09/16 B	28,000	1.022	0	28,626-	28,626	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 11/09/16 S	1,832	0.010	0	1,832	1,832	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 11/09/16 S	771	0.010	0	771	771	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 11/09/16 S	1,148	0.010	0	1,148	1,148	0
ISSUE: 827048109 - SILGAN HOLDINGS INCORPORATED						
130006-007 11/14/16 S	151	51.338	0	7,752	7,639	113
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 11/14/16 S	2,359	0.010	0	2,359	2,359	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 11/14/16 B	90,000	0.010	89,100	90,000-	90,000	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 11/14/16 S	4,881	0.010	0	4,881	4,881	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 11/15/16 S	28,803	0.010	0	28,803	28,803	0
ISSUE: 912810RG5 - U.S. TREASURY BONDS 3.375% 5/15/44						
130006-006 11/15/16 B	3,000	1.081	0	3,243-	3,243	
ISSUE: 912810RM2 - U.S. TREASURY BONDS 3% 5/15/45						
130006-006 11/15/16 B	2,000	1.004	0	2,008-	2,008	
ISSUE: 912828M56 - U.S. TREASURY NOTES 2.25% 11/15/25						
130006-004 11/15/16 S	70,000	1.003	0	70,213	73,894	-3,681
ISSUE: 912828T42 - U.S. TREASURY NOTES 0.75% 9/30/18						
130006-004 11/15/16 B	58,000	0.995	0	57,728-	57,728	
ISSUE: 912828T67 - U.S. TREASURY NOTES 1.25% 10/31/21						
130006-004 11/15/16 B	103,000	0.981	0	101,012-	101,012	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 11/16/16 B	804	0.010	796	804-	804	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 11/16/16 S	85,933	0.010	0	85,933	85,933	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 11/16/16 S	500	0.010	0	500	500	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 11/17/16 B	21	0.010	21	21-	21	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 11/17/16 B	745	0.010	738	745-	745	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 11/17/16 B	2,215	0.010	2,193	2,215-	2,215	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	11/17/16 B	1,000	1.006	0	1,006-	1,006	
130006-007	11/18/16 B	7,752	0.010	7,674	7,752-	7,752	
130006-006	11/18/16 S	2,047	0.010	0	2,047	2,047	0
130006-002	11/22/16 B	306	0.010	303	306-	306	
130006-004	11/22/16 B	813	0.010	804	813-	813	
130006-007	11/23/16 B	17	0.010	17	17-	17	
130006-007	11/23/16 B	6	0.010	6	6-	6	
130006-007	11/25/16 B	79	0.010	78	79-	79	
130006-007	11/28/16 S	27	108.536	0	2,930	3,280	-349
130006-007	11/28/16 S	63	22.466	0	1,415	1,717	-302
130006-007	11/28/16 B	51	31.869	0	1,625-	1,625	
130006-007	11/28/16 B	91	34.877	0	3,174-	3,174	
130006-007	11/28/16 B	1	0.010	1	1-	1	
130006-004	11/28/16 B	917	0.010	908	917-	917	
130006-006	11/28/16 B	2,215	0.010	2,193	2,215-	2,215	
130006-007	11/29/16 S	35	106.325	0	3,721	4,252	-530
130006-007	11/29/16 S	250	22.063	0	5,516	6,815	-1,299
130006-007	11/29/16 B	200	32.370	0	6,474-	6,474	
130006-007	11/29/16 B	11	0.010	11	11-	11	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	11/30/16 B	55	0.010	54	55-	55	
130006-004	11/30/16 B	139	0.010	137	139-	139	
130006-007	12/01/16 B	66	45.373	0	2,995-	2,995	
130006-008	12/01/16 B	201	7.560	0	1,523-	1,523	
130006-007	12/01/16 B	91	51.785	0	4,712-	4,712	
130006-007	12/01/16 B	279	16.925	0	4,722-	4,722	
130006-007	12/01/16 S	453	0.010	0	453	453	0
130006-003	12/01/16 B	63	0.010	62	63-	63	
130006-008	12/02/16 B	31	31.520	0	993-	993	
130006-007	12/02/16 B	45	0.010	45	45-	45	
130006-002	12/02/16 B	321	0.010	318	321-	321	
130006-003	12/02/16 B	1,721	0.010	1,704	1,721-	1,721	
130006-004	12/02/16 B	11,163	0.010	11,052	11,163-	11,163	
130006-007	12/05/16 B	2,791	0.010	2,763	2,791-	2,791	
130006-004	12/05/16 B	388	0.010	384	388-	388	
130006-007	12/06/16 S	12,429	0.010	0	12,429	12,429	0
130006-002	12/06/16 B	413	0.010	409	413-	413	
130006-002	12/06/16 B	0	0.010	0	0-	0	
130006-004	12/06/16 B	0	0.010	0	0-	0	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 12/07/16 S	50	0.010	0	50	50	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 12/07/16 S	50	0.010	0	50	50	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 12/07/16 S	50	0.010	0	50	50	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 12/07/16 S	50	0.010	0	50	50	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-005 12/07/16 S	2	0.010	0	2	2	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 12/07/16 S	50	0.010	0	50	50	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 12/08/16 B	21	0.010	21	21-	21	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 12/08/16 B	360	0.010	356	360-	360	
ISSUE: 912810RG5 - U.S. TREASURY BONDS 3.375% 5/15/44						
130006-006 12/08/16 S	36,000	1.054	0	37,929	43,507	-5,577
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 12/09/16 B	290	0.010	287	290-	290	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 12/12/16 B	589	0.010	583	589-	589	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 12/12/16 B	38,010	0.010	37,630	38,010-	38,010	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 12/13/16 B	57	0.010	56	57-	57	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 12/13/16 B	547	0.010	541	547-	547	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 12/13/16 S	38,851	0.010	0	38,851	38,851	0
ISSUE: 317609667 - JEFFERIES ASSET MGMT COMODITY ST ALL						
130006-008 12/14/16 B	30	7.690	0	230-	230	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 12/14/16 B	63	0.010	62	63-	63	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 12/14/16 B	377	0.010	373	377-	377	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 12/15/16 B	11	0.010	11	11-	11	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-008	12/16/16 B	26	43.669	0	1,131-	1,131	
ISSUE: 412295305 - HARDING LOEVNER EMRG MKTS FD CL-ADV							
130006-002	12/16/16 B	1,415	0.010	1,401	1,415-	1,415	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	12/16/16 B	1,612	0.010	1,596	1,612-	1,612	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	12/16/16 B	3,289	0.010	3,256	3,289-	3,289	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	12/19/16 B	135	0.010	133	135-	135	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	12/19/16 B	16,995	0.010	16,825	16,995-	16,995	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002	12/20/16 B	294	0.010	291	294-	294	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	12/20/16 B	308	0.010	304	308-	308	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002	12/21/16 B	391	0.010	387	391-	391	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	12/21/16 B	167	0.010	166	167-	167	
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008	12/22/16 B	155	7.630	0	1,183-	1,183	
ISSUE: 31359MGK3 - FNMA 6.625% 11/15/30							
130006-006	12/22/16 B	1,000	1.379	0	1,379-	1,379	
ISSUE: 912810RM2 - U.S. TREASURY BONDS 3% 5/15/45							
130006-006	12/22/16 B	2,000	0.974	0	1,947-	1,947	
ISSUE: 912828F21 - U.S. TREASURY NOTES 2.125% 9/30/21							
130006-006	12/22/16 B	2,000	1.004	0	2,007-	2,007	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	12/23/16 B	6	0.010	6	6-	6	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	12/23/16 S	7,735	0.010	0	7,735	7,735	0
ISSUE: 20030NBB6 - COMCAST CORP 6.4% 3/01/40							
130006-006	12/27/16 B	2,000	1.295	0	2,589-	2,589	
ISSUE: 375558BD4 - GILEAD SCIENCES 4.75% 3/01/46							
130006-006	12/27/16 B	2,000	1.026	0	2,052-	2,052	
ISSUE: 46625HHS2 - JPMORGAN CHASE MTN 4.4% 7/22/20							
130006-006	12/27/16 B	2,000	1.059	0	2,118-	2,118	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/28/16 B	610	0.010	604	610-	610	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/28/16 B	1,609	0.010	1,593	1,609-	1,609	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 12/30/16 B	67	0.010	66	67-	67	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/30/16 B	1,764	0.010	1,746	1,764-	1,764	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/30/16 S	6,872	0.010	0	6,872	6,872	0
130006-002	ISSUE: G0084W101 - ADIENT PLC 01/03/17 S	79	58.649	0	4,633	3,680	953
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 01/03/17 B	199	7.640	0	1,519-	1,519	
130006-002	ISSUE: 09247X101 - BLACKROCK INC 01/03/17 B	113	385.750	0	43,590-	43,590	
130006-002	ISSUE: 25746U109 - DOMINION RESOURCES INC 01/03/17 S	558	76.449	0	42,659	41,443	1,216
130006-006	ISSUE: 52470G734 - LEGG MASON PART SMASH-EC 01/03/17 B	375	9.100	0	3,409-	3,409	
130006-006	ISSUE: 52470G759 - LEGG MASON PART SMASH-M 01/03/17 B	189	10.610	0	2,010-	2,010	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 01/03/17 B	2	0.010	2	2-	2	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 01/03/17 B	0	0.010	0	0-	0	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 01/03/17 B	1	0.010	0	1-	1	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 01/03/17 B	0	0.010	0	0-	0	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 01/03/17 S	5,419	0.010	0	5,419	5,419	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 01/04/17 B	48	0.010	47	48-	48	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 01/04/17 B	2,405	0.010	2,381	2,405-	2,405	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 01/05/17 B	49	0.010	48	49-	49	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	01/06/17 B	0	0.010	0	0-	0	
130006-004	01/06/17 B	0	0.010	0	0-	0	
130006-002	01/09/17 B	4,158	0.010	4,116	4,158-	4,158	
130006-002	01/10/17 B	219	42.760	0	9,364-	9,364	
130006-002	01/10/17 B	373	115.300	0	43,007-	43,007	
130006-007	01/10/17 S	9	156.536	0	1,409	1,490	-81
130006-002	01/10/17 B	71	116.705	0	8,286-	8,286	
130006-002	01/10/17 S	59	138.842	0	8,192	7,218	974
130006-004	01/10/17 B	514	0.010	509	514-	514	
130006-002	01/10/17 S	540	85.698	0	46,277	45,322	955
130006-007	01/11/17 S	31	156.388	0	4,848	5,133	-285
130006-003	01/12/17 B	160	0.010	158	160-	160	
130006-007	01/13/17 B	27	0.010	27	27-	27	
130006-002	01/13/17 S	6,189	0.010	0	6,189	6,189	0
130006-004	01/13/17 S	10,882	0.010	0	10,882	10,882	0
130006-007	01/17/17 B	1,409	0.010	1,395	1,409-	1,409	
130006-002	01/17/17 B	169	0.010	167	169-	169	
130006-006	01/17/17 S	3,615	0.010	0	3,615	3,615	0
130006-007	01/18/17 B	25	124.410	0	3,110-	3,110	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 01/18/17 B	4,889	0.010	4,840	4,889-	4,889	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 01/18/17 B	3,546	0.010	3,510	3,546-	3,546	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 01/18/17 B	3,501	0.010	3,466	3,501-	3,501	
ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC						
130006-007 01/19/17 B	39	124.250	0	4,846-	4,846	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 01/19/17 B	18	0.010	18	18-	18	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 01/20/17 B	1,151	0.010	1,140	1,151-	1,151	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 01/23/17 S	3,103	0.010	0	3,103	3,103	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 01/23/17 B	235	0.010	232	235-	235	
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST						
130006-008 01/24/17 S	255	7.690	0	1,958	1,938	20
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 01/24/17 S	4,846	0.010	0	4,846	4,846	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 01/24/17 S	3,008	0.010	0	3,008	3,008	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 01/24/17 B	190	0.010	188	190-	190	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 01/24/17 S	2,180	0.010	0	2,180	2,180	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 01/24/17 S	1,946	0.010	0	1,946	1,946	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 01/24/17 B	1,517	0.010	1,502	1,517-	1,517	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 01/24/17 S	1,957	0.010	0	1,957	1,957	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 01/25/17 S	1,520	0.010	0	1,520	1,520	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 01/25/17 B	6	0.010	6	6-	6	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 01/26/17 B	8,081	0.010	8,000	8,081-	8,081	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	01/26/17 B	2,151	0.010	2,129	2,151-	2,151
130006-007	01/30/17 B	85	0.010	84	85-	85
130006-002	01/31/17 S	488	84.208	0	41,094	42,593 -1,499
130006-002	01/31/17 B	1,403	31.010	0	43,507-	43,507
130006-007	01/31/17 B	8	0.010	8	8-	8
130006-004	01/31/17 B	38	0.010	38	38-	38
130006-007	02/01/17 B	57	51.455	0	2,933-	2,933
130006-008	02/01/17 B	211	7.700	0	1,628-	1,628
130006-007	02/01/17 B	27	103.433	0	2,793-	2,793
130006-007	02/01/17 S	193	4.713	0	910	7,879 -6,969
130006-007	02/01/17 S	134	48.276	0	6,469	7,406 -937
130006-004	02/01/17 B	4,000	0.010	3,960	4,000-	4,000
130006-003	02/02/17 B	1,718	0.010	1,700	1,718-	1,718
130006-004	02/02/17 B	1,777	0.010	1,759	1,777-	1,777
130006-002	02/03/17 S	2,167	0.010	0	2,167	2,167 0
130006-003	02/03/17 S	82,000	1.001	0	82,118	82,289 -170
130006-003	02/03/17 S	20,000	1.000	0	20,005	20,004 1
130006-003	02/03/17 B	107,000	0.999	0	106,892-	106,892
130006-002	02/06/17 B	0	0.010	0	0-	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	02/06/17 B	0	0.010	0	0-	0	
130006-004	02/06/17 B	1	0.010	1	1-	1	
130006-007	02/07/17 S	32	25.089	0	803	766	36
130006-007	02/07/17 B	13	97.728	0	1,270-	1,270	
130006-007	02/07/17 B	1,653	0.010	1,636	1,653-	1,653	
130006-004	02/07/17 B	322	0.010	319	322-	322	
130006-007	02/08/17 S	151	24.917	0	3,762	3,616	146
130006-007	02/08/17 B	32	97.409	0	3,117-	3,117	
130006-003	02/08/17 S	4,682	0.010	0	4,682	4,682	0
130006-002	02/09/17 S	16	117.078	0	1,873	1,955	-81
130006-007	02/09/17 S	32	25.142	0	805	766	38
130006-007	02/09/17 S	7	16.833	0	118	127	-9
130006-007	02/09/17 S	8	85.919	0	687	619	68
130006-002	02/09/17 S	133	31.503	0	4,190	4,159	31
130006-002	02/09/17 B	5	41.390	0	207-	207	
130006-002	02/09/17 B	64	75.690	0	4,844-	4,844	
130006-002	02/09/17 S	21	131.847	0	2,769	2,639	130
130006-007	02/09/17 S	3	117.207	0	352	337	15
130006-007	02/09/17 S	25	19.700	0	492	485	8

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 007973100 - ADVANCED ENERGY INDUSTRIES							
130006-007 02/09/17 S	8	61.909		0	495	379	117
ISSUE: 008252108 - AFFILIATED MANAGERS GROUP							
130006-007 02/09/17 S	2	163.405		0	327	289	37
ISSUE: 016255101 - ALIGN TECHNOLOGY INCORPORATED							
130006-007 02/09/17 S	12	96.398		0	1,157	1,125	32
ISSUE: 040413106 - ARISTA NETWORKS INC							
130006-007 02/09/17 S	13	97.818		0	1,272	1,096	176
ISSUE: 052800109 - AUTOLIV INC							
130006-007 02/09/17 S	6	104.818		0	629	641	-12
ISSUE: 063904106 - BANK OF THE OZARKS INC							
130006-007 02/09/17 S	11	54.629		0	601	422	179
ISSUE: 073302101 - BE AEROSPACE INC							
130006-007 02/09/17 S	7	61.800		0	433	362	71
ISSUE: 075896100 - BED BATH & BEYOND INC COM							
130006-007 02/09/17 S	20	40.329		0	807	875	-69
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008 02/09/17 S	2,578	7.730		0	19,928	19,619	309
ISSUE: 09247X101 - BLACKROCK INC							
130006-002 02/09/17 S	2	378.340		0	757	772	-15
ISSUE: 100557107 - BOSTON BEER INCORPORATED CLASS A							
130006-007 02/09/17 S	4	162.248		0	649	621	28
ISSUE: 110448107 - BRITISH AMERICAN TOBACCO-SP ADR							
130006-002 02/09/17 S	3	125.997		0	378	383	-5
ISSUE: 11133B409 - BROADSOFT INC							
130006-007 02/09/17 S	12	43.099		0	517	559	-41
ISSUE: 11133T103 - BROADRIDGE FINANCIAL SOLUTIONS INC							
130006-007 02/09/17 S	8	67.609		0	541	542	-1
ISSUE: 122017106 - BURLINGTON STORES INC							
130006-007 02/09/17 S	11	86.058		0	947	891	55
ISSUE: 12504L109 - CBRE GROUP INC							
130006-007 02/09/17 S	30	31.449		0	943	839	104
ISSUE: 12650T104 - CSRA INC							
130006-007 02/09/17 S	19	30.770		0	585	613	-28
ISSUE: 126650100 - CVS HEALTH CORPORATION							
130006-002 02/09/17 B	50	77.420		0	3,871-	3,871	
ISSUE: 146229109 - CARTER HOLDINGS							
130006-007 02/09/17 S	6	81.388		0	488	520	-32

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 15135B101 - CENTENE CORPORATION						
130006-007 02/09/17 S	12	69.548	0	835	804	31
ISSUE: 16411R208 - CHENIERE ENERGY INC						
130006-007 02/09/17 S	10	48.569	0	486	436	50
ISSUE: 166764100 - CHEVRON CORP						
130006-002 02/09/17 B	2	112.250	0	225-	225	
ISSUE: 16949N109 - CHINA LODGING GROUP SPONS ADR						
130006-007 02/09/17 S	5	55.438	0	277	225	52
ISSUE: 17243V102 - CINEMARK HOLDINGS INC						
130006-007 02/09/17 S	1	41.730	0	42	40	2
ISSUE: 191216100 - COCA COLA CO COM						
130006-002 02/09/17 B	45	41.290	0	1,858-	1,858	
ISSUE: 194162103 - COLGATE PALMOLIVE CO COM						
130006-002 02/09/17 B	62	66.585	0	4,128-	4,128	
ISSUE: 224399105 - CRANE CO						
130006-002 02/09/17 S	101	73.164	0	7,390	6,364	1,026
ISSUE: 238337109 - DAVE & BUSTER'S ENTERTAINMENT						
130006-007 02/09/17 S	13	57.119	0	743	509	233
ISSUE: 252131107 - DEXCOM INC						
130006-007 02/09/17 S	8	79.520	0	636	701	-65
ISSUE: 25243Q205 - DIAGEO PLC SPONSORED ADR						
130006-002 02/09/17 B	1	114.310	0	114-	114	
ISSUE: 25278X109 - DIAMONDBACK ENERGY INC						
130006-007 02/09/17 S	8	103.688	0	830	772	57
ISSUE: 25659T107 - DOLBY LABORATORIES INC CL A						
130006-007 02/09/17 S	10	48.619	0	486	543	-57
ISSUE: 265504100 - DUNKIN' BRANDS GROUP INC						
130006-007 02/09/17 S	19	54.190	0	1,030	987	42
ISSUE: 26969P108 - EAGLE MATERIALS INC COM W RIGHTS						
130006-007 02/09/17 S	5	104.818	0	524	453	71
ISSUE: 29084Q100 - EMCOR GROUP INC						
130006-007 02/09/17 S	4	68.718	0	275	240	34
ISSUE: 29362U104 - ENTEGRIS INC						
130006-007 02/09/17 S	32	20.010	0	640	557	83
ISSUE: 317609667 - JEFFERIES ASSET MGMT COMODITY ST ALL						
130006-008 02/09/17 S	1,267	7.700	0	9,757	9,339	418
ISSUE: 33616C100 - FIRST REPUBLIC BANK/SAN FRAN						
130006-007 02/09/17 S	11	94.828	0	1,043	848	195

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	02/09/17 S	18	71.518	0	1,287	1,219	68
130006-007	02/09/17 S	17	38.121	0	648	628	20
130006-007	02/09/17 S	6	100.670	0	604	531	73
130006-007	02/09/17 S	5	78.450	0	392	384	8
130006-007	02/09/17 S	4	54.218	0	217	240	-23
130006-007	02/09/17 S	13	43.379	0	564	416	148
130006-008	02/09/17 S	309	47.290	0	14,596	14,396	200
130006-007	02/09/17 S	11	51.405	0	565	535	31
130006-002	02/09/17 S	11	138.669	0	1,525	1,415	110
130006-007	02/09/17 S	8	77.070	0	617	500	117
130006-007	02/09/17 S	5	142.126	0	711	564	147
130006-007	02/09/17 S	10	33.004	0	330	290	40
130006-002	02/09/17 S	51	35.449	0	1,808	1,925	-117
130006-007	02/09/17 S	6	38.138	0	229	212	17
130006-007	02/09/17 B	4	46.370	0	185-	185	
130006-007	02/09/17 B	7	22.170	0	155-	155	
130006-007	02/09/17 B	22	99.491	0	2,189-	2,189	
130006-002	02/09/17 S	3	258.003	0	774	719	55
130006-007	02/09/17 S	7	49.260	0	345	403	-59

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	02/09/17 S	47	70.529	0	3,315	3,161	154
130006-002	02/09/17 S	23	124.627	0	2,866	2,653	213
130006-007	02/09/17 S	29	55.099	0	1,598	1,617	-19
130006-007	02/09/17 S	6	192.255	0	1,154	1,110	44
130006-007	02/09/17 S	24	20.680	0	496	579	-82
130006-002	02/09/17 S	87	64.059	0	5,573	5,011	562
130006-007	02/09/17 S	6	138.653	0	832	742	90
130006-007	02/09/17 S	18	18.959	0	341	210	131
130006-007	02/09/17 S	35	15.460	0	541	505	36
130006-002	02/09/17 B	3	124.710	0	374-	374	
130006-007	02/09/17 S	6	114.753	0	689	598	91
130006-002	02/09/17 S	91	45.504	0	4,141	4,721	-580
130006-007	02/09/17 S	6	45.493	0	273	323	-50
130006-002	02/09/17 B	46	75.140	0	3,456-	3,456	
130006-007	02/09/17 S	10	90.468	0	905	686	219
130006-008	02/09/17 S	411	34.050	0	14,004	13,925	79
130006-007	02/09/17 S	11	56.849	0	625	570	56
130006-002	02/09/17 B	3	106.140	0	318-	318	
130006-007	02/09/17 S	5	66.848	0	334	315	19

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	02/09/17 S	8	115.809	0	926	960	-34
ISSUE: 74005P104 - PRAXAIR INC COM							
130006-007	02/09/17 S	8	56.110	0	449	386	63
ISSUE: 74112D101 - PRESTIGE BRANDS HOLDINGS INC							
130006-007	02/09/17 S	16	58.654	0	938	959	-20
ISSUE: 743713109 - PROTO LABS INC							
130006-007	02/09/17 S	12	41.243	0	495	462	33
ISSUE: 74978Q105 - RSP PERMIAN INC							
130006-007	02/09/17 S	3	43.597	0	131	162	-31
ISSUE: 750469207 - RADIUS HEALTH INC							
130006-007	02/09/17 S	3	126.947	0	381	373	8
ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC							
130006-002	02/09/17 S	31	150.338	0	4,660	3,793	868
ISSUE: 773903109 - ROCKWELL AUTOMATION INC.							
130006-007	02/09/17 S	30	22.320	0	670	845	-176
ISSUE: 78573M104 - SABRE CORP							
130006-007	02/09/17 S	12	62.808	0	754	704	50
ISSUE: 848637104 - SPLUNK INC							
130006-002	02/09/17 S	72	31.569	0	2,273	2,233	40
ISSUE: 867224107 - SUNCOR ENERGY INC							
130006-007	02/09/17 S	33	17.840	0	589	559	30
ISSUE: 868157108 - SUPERIOR ENERGY SERVICES INC COM							
130006-007	02/09/17 S	18	25.504	0	459	445	14
ISSUE: 868459108 - SUPERNUS PHARMACEUTICALS INC							
130006-007	02/09/17 S	18	32.539	0	586	558	28
ISSUE: 88076W103 - TERADATA CORP							
130006-002	02/09/17 S	54	75.288	0	4,066	3,790	276
ISSUE: 882508104 - TEXAS INSTRUMENTS INC							
130006-007	02/09/17 S	12	53.590	0	643	566	77
ISSUE: 891906109 - TOTAL SYSTEMS SERVICES INC							
130006-007	02/09/17 S	38	31.684	0	1,204	1,071	133
ISSUE: 896239100 - TRIMBLE INCORPORATED							
130006-002	02/09/17 S	69	107.368	0	7,408	6,730	679
ISSUE: 907818108 - UNION PACIFIC CORP COM							
130006-007	02/09/17 S	10	125.468	0	1,255	788	467
ISSUE: 911363109 - UNITED RENTALS INC COM							
130006-002	02/09/17 S	20	110.189	0	2,204	2,032	172
ISSUE: 913017109 - UNITED TECHNOLOGIES CORP COM							

continued



**WILMINGTON
TRUST**

REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 918204108 - V F CORP						
130006-002 02/09/17 B	151	49.536	0	7,480-	7,480	
ISSUE: 921908844 - VANGUARD DIVIDEND APPRECIATION ETF						
130006-002 02/09/17 S	70	87.628	0	6,134	5,875	259
ISSUE: 922475108 - VEEVA SYSTEMS INC						
130006-007 02/09/17 S	8	44.210	0	354	330	23
ISSUE: 929740108 - WABTEC CORP COM						
130006-007 02/09/17 S	7	86.179	0	603	572	32
ISSUE: 969904101 - WILLIAM SONOMA INC COM						
130006-007 02/09/17 S	20	47.640	0	953	1,022	-69
ISSUE: 98310W108 - WYNDHAM WORLDWIDE CORP						
130006-007 02/09/17 S	7	81.669	0	572	471	100
ISSUE: 98419M100 - XYLEM INC-W/I						
130006-007 02/09/17 S	9	47.560	0	428	472	-44
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 02/10/17 S	439	0.010	0	439	439	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 02/10/17 S	5,323	0.010	0	5,323	5,323	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 02/13/17 B	58,285	0.010	57,702	58,285-	58,285	
ISSUE: 092480300 - BLACKROCK BD ALL TGT SH-S						
130006-003 02/14/17 B	2,342	9.530	0	22,319-	22,319	
ISSUE: 3137EADL0 - FHLMC 1% 9/29/17						
130006-003 02/14/17 B	2,000	1.002	0	2,003-	2,003	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 02/14/17 B	645	0.010	639	645-	645	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 02/14/17 B	306	0.010	303	306-	306	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 02/14/17 S	15,501	0.010	0	15,501	15,501	0
ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18						
130006-003 02/14/17 B	6,000	0.999	0	5,994-	5,994	
ISSUE: 912828Q52 - U.S. TREASURY NOTES 0.875% 4/15/19						
130006-003 02/14/17 B	2,000	0.990	0	1,981-	1,981	
ISSUE: 912828T83 - U.S. TREASURY NOTES 0.75% 10/31/18						
130006-003 02/14/17 B	5,000	0.993	0	4,963-	4,963	
ISSUE: 912828UR9 - U.S. TREASURY NOTES 0.75% 2/28/18						
130006-003 02/14/17 B	2,000	0.998	0	1,996-	1,996	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	02/14/17 B	4,000	0.998	0	3,990-	3,990	
130006-003	02/14/17 B	2,000	0.995	0	1,989-	1,989	
130006-006	02/15/17 B	2,000	1.011	0	2,022-	2,022	
130006-006	02/15/17 B	2,000	1.050	0	2,100-	2,100	
130006-006	02/15/17 B	1,169	9.190	0	10,741-	10,741	
130006-006	02/15/17 B	310	9.680	0	3,004-	3,004	
130006-006	02/15/17 B	1,252	10.670	0	13,363-	13,363	
130006-007	02/15/17 S	1,113	0.010	0	1,113	1,113	0
130006-003	02/15/17 B	14,465	0.010	14,320	14,465-	14,465	
130006-004	02/15/17 B	231	0.010	229	231-	231	
130006-006	02/15/17 B	61,902	0.010	61,283	61,902-	61,902	
130006-006	02/15/17 B	1,000	1.006	0	1,006-	1,006	
130006-006	02/16/17 B	1,000	1.268	0	1,268-	1,268	
130006-007	02/16/17 B	21	0.010	21	21-	21	
130006-002	02/16/17 B	441	0.010	437	441-	441	
130006-004	02/16/17 B	4,260	0.010	4,217	4,260-	4,260	
130006-006	02/16/17 S	29,610	0.010	0	29,610	29,610	0
130006-006	02/16/17 B	1,000	0.989	0	989-	989	
130006-006	02/16/17 B	1,000	1.010	0	1,009-	1,009	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 92343VBR4 - VERIZON COMM INC 5.15% 9/15/23							
130006-006 02/16/17 B	2,000	1.100		0	2,200-	2,200	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 02/17/17 S	22,978	0.010		0	22,978	22,978	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 02/17/17 S	2,015	0.010		0	2,015	2,015	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 02/21/17 B	17	0.010		17	17-	17	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 02/21/17 B	2,256	0.010		2,233	2,256-	2,256	
ISSUE: 092480300 - BLACKROCK BD ALL TGT SH-S							
130006-003 02/22/17 B	1,566	9.530		0	14,924-	14,924	
ISSUE: 459058DL4 - INT BK RECON & DEV 1.875% 3/15/19							
130006-003 02/22/17 B	2,000	1.008		0	2,016-	2,016	
ISSUE: 500769GR9 - KFW 1.875% 6/30/20							
130006-003 02/22/17 B	2,000	1.001		0	2,001-	2,001	
ISSUE: 500769HC1 - KFW 1.25% 9/30/19							
130006-003 02/22/17 B	2,000	0.990		0	1,980-	1,980	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 02/22/17 B	5	0.010		5	5-	5	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 02/22/17 S	3,520	0.010		0	3,520	3,520	0
ISSUE: 912828L32 - U.S. TREASURY NOTES 1.375% 8/31/20							
130006-003 02/22/17 B	6,000	0.991		0	5,947-	5,947	
ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18							
130006-003 02/22/17 B	5,000	0.999		0	4,995-	4,995	
ISSUE: 912828Q52 - U.S. TREASURY NOTES 0.875% 4/15/19							
130006-003 02/22/17 B	1,000	0.992		0	992-	992	
ISSUE: 912828T83 - U.S. TREASURY NOTES 0.75% 10/31/18							
130006-003 02/22/17 B	2,000	0.994		0	1,987-	1,987	
ISSUE: 912828UR9 - U.S. TREASURY NOTES 0.75% 2/28/18							
130006-003 02/22/17 B	2,000	0.998		0	1,997-	1,997	
ISSUE: 912828V56 - U.S. TREASURY NOTES 1.125% 1/31/19							
130006-003 02/22/17 B	2,000	0.999		0	1,997-	1,997	
ISSUE: 912828C3 - U.S. TREASURY NOTES 0.75% 8/31/18							
130006-003 02/22/17 B	2,000	0.995		0	1,990-	1,990	
ISSUE: 94974BGB0 - WELLS FARGO CO MTN 1.4% 9/08/17							
130006-003 02/22/17 B	2,000	1.001		0	2,002-	2,002	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	02/23/17 S	86	62.725	0	5,394	4,443	952
130006-007	02/23/17 B	156	42.572	0	6,641-	6,641	
130006-006	02/23/17 B	219	9.190	0	2,010-	2,010	
130006-007	02/23/17 S	22	55.159	0	1,214	1,318	-105
130006-003	02/23/17 S	14,924	0.010	0	14,924	14,924	0
130006-006	02/23/17 B	2,000	1.031	0	2,062-	2,062	
130006-007	02/24/17 B	62	41.680	0	2,584-	2,584	
130006-007	02/24/17 S	14	54.662	0	765	839	-73
130006-007	02/24/17 B	7	0.010	7	7-	7	
130006-004	02/24/17 B	452	0.010	447	452-	452	
130006-006	02/24/17 S	2,010	0.010	0	2,010	2,010	0
130006-007	02/27/17 S	17	55.862	0	950	1,018	-69
130006-007	02/27/17 B	81	0.010	80	81-	81	
130006-003	02/27/17 S	28,034	0.010	0	28,034	28,034	0
130006-007	02/28/17 S	25	54.651	0	1,366	1,498	-131
130006-007	02/28/17 S	33	0.010	0	33	33	0
130006-004	02/28/17 B	498	0.010	493	498-	498	
130006-006	02/28/17 S	692	0.010	0	692	692	0
130006-008	03/01/17 B	183	7.780	0	1,424-	1,424	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 03/01/17 S	1,819	0.010	0		1,819	1,819	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 03/01/17 B	1,319	0.010	1,306		1,319-	1,319	
ISSUE: 20030NBB6 - COMCAST CORP 6.4% 3/01/40							
130006-006 03/02/17 B	2,000	1.280	0		2,560-	2,560	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 03/02/17 B	321	0.010	318		321-	321	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 03/02/17 B	1,811	0.010	1,793		1,811-	1,811	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 03/02/17 B	1,227	0.010	1,214		1,227-	1,227	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 03/02/17 B	1,369	0.010	1,355		1,369-	1,369	
ISSUE: 912828UR9 - U.S. TREASURY NOTES 0.75% 2/28/18							
130006-003 03/02/17 S	67,000	0.997	0		66,814	67,012	-198
ISSUE: 912828W30 - U.S. TREASURY NOTES 1.125% 2/28/19							
130006-003 03/02/17 B	68,000	0.996	0		67,737-	67,737	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 03/03/17 B	1,018	0.010	1,007		1,018-	1,018	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 03/06/17 B	1,366	0.010	1,353		1,366-	1,366	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 03/06/17 B	376	0.010	372		376-	376	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 03/06/17 B	0	0.010	0		0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 03/06/17 B	0	0.010	0		0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 03/06/17 B	1	0.010	1		1-	1	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 03/06/17 B	420	0.010	416		420-	420	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 03/06/17 B	0	0.010	0		0-	0	
ISSUE: 084670BL1 - BERKSHIRE HATHAWAY 2.1% 8/14/19							
130006-004 03/07/17 B	4,000	1.008	0		4,034-	4,034	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 03/07/17 S	914	0.010	0		914	914	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/07/17 B	288	0.010	285		288-	288
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/07/17 S	2,562	0.010	0		2,562	2,562 0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/08/17 B	26	0.010	26		26-	26
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/08/17 S	15,164	0.010	0		15,164	15,164 0
130006-004	ISSUE: 693304AL1 - PECO ENERGY CO 5.35% 3/01/18 03/09/17 S	26,000	1.036	0		26,940	27,513 -574
130006-004	ISSUE: 74456QBR6 - PUBLIC SERVICE MTN 2.25% 9/15/26 03/09/17 B	28,000	0.920	0		25,766-	25,766
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/09/17 B	216	0.010	214		216-	216
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/09/17 B	245	0.010	243		245-	245
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/10/17 B	446	0.010	441		446-	446
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/10/17 S	4,040	0.010	0		4,040	4,040 0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/13/17 B	870	0.010	861		870-	870
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/13/17 S	9,295	0.010	0		9,295	9,295 0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/15/17 B	11	0.010	10		11-	11
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/15/17 B	908	0.010	899		908-	908
130006-004	ISSUE: 05531FAN3 - BB&T CORPORATION MTN 2.05% 6/19/18 03/16/17 S	30,000	1.003	0		30,092	30,306 -214
130006-004	ISSUE: 05531FAV5 - BB&T CORPORATION MTN 2.05% 5/10/21 03/16/17 B	30,000	0.981	0		29,441-	29,441
130006-006	ISSUE: 29379VAV5 - ENTERPRISE PRODUCTS 5.7% 2/15/42 03/16/17 B	1,000	1.119	0		1,119-	1,119
130006-006	ISSUE: 375558BD4 - GILEAD SCIENCES 4.75% 3/01/46 03/16/17 B	2,000	1.010	0		2,021-	2,021
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/16/17 B	114	0.010	113		114-	114

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/16/17 B	1,279	0.010	1,266	1,279-	1,279	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/16/17 B	310	0.010	306	310-	310	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/16/17 B	2,795	0.010	2,767	2,795-	2,795	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/16/17 B	2,723	0.010	2,696	2,723-	2,723	
130006-006	ISSUE: 94974BFC9 - WELLS FARGO CO MTN 3.5% 3/08/22 03/16/17 B	1,000	1.031	0	1,031-	1,031	
130006-003	ISSUE: 3137EADL0 - FHLMC 1% 9/29/17 03/17/17 S	49,000	1.000	0	49,005	49,150	-145
130006-003	ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18 03/17/17 S	41,000	0.998	0	40,904	41,077	-173
130006-003	ISSUE: 912828W30 - U.S. TREASURY NOTES 1.125% 2/28/19 03/17/17 B	96,000	0.996	0	95,651-	95,651	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/20/17 B	81	0.010	80	81-	81	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/20/17 B	2,165	0.010	2,144	2,165-	2,165	
130006-007	ISSUE: N22717107 - CORE LABORATORIES N V 03/21/17 S	34	109.167	0	3,712	3,819	-108
130006-007	ISSUE: 008252108 - AFFILIATED MANAGERS GROUP 03/21/17 S	32	159.447	0	5,102	4,630	472
130006-007	ISSUE: 040413106 - ARISTA NETWORKS INC 03/21/17 B	22	129.458	0	2,848-	2,848	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/21/17 B	42	0.010	42	42-	42	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/21/17 B	357	0.010	354	357-	357	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/21/17 S	3,630	0.010	0	3,630	3,630	0
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/22/17 S	5,401	0.010	0	5,401	5,401	0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/22/17 B	584	0.010	579	584-	584	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/23/17 B	53	0.010	52	53-	53	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/24/17 B	547	0.010	542	547-	547
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/24/17 B	662	0.010	656	662-	662
130006-006	ISSUE: 0258M0EB1 - AMERICAN EXPRESS MTN 2.25% 5/05/21 03/27/17 B	2,000	0.991	0	1,983-	1,983
130006-006	ISSUE: 46625HHS2 - JPMORGAN CHASE MTN 4.4% 7/22/20 03/27/17 B	2,000	1.067	0	2,134-	2,134
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/27/17 B	6,012	0.010	5,951	6,012-	6,012
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/27/17 B	7	0.010	7	7-	7
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/27/17 B	535	0.010	530	535-	535
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/28/17 B	489	0.010	485	489-	489
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/28/17 B	2,086	0.010	2,065	2,086-	2,086
130006-007	ISSUE: 40171V100 - GUIDEWIRE SOFTWARE INC 03/29/17 B	16	56.648	0	906-	906
130006-007	ISSUE: 45841N107 - INTERACTIVE BROKERS GROUP INC-CL A 03/29/17 S	62	34.595	0	2,145	2,187 -42
130006-007	ISSUE: 562750109 - MANHATTAN ASSOCS INC 03/29/17 S	82	50.570	0	4,147	4,725 -578
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/29/17 B	14,737	0.010	14,590	14,737-	14,737
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/29/17 S	14,701	0.010	0	14,701	14,701 0
130006-007	ISSUE: 930427109 - WAGeworks INC 03/29/17 B	41	71.625	0	2,937-	2,937
130006-006	ISSUE: 375558BD4 - GILEAD SCIENCES 4.75% 3/01/46 03/30/17 S	30,000	1.016	0	30,464	33,028 -2,564
130006-007	ISSUE: 40171V100 - GUIDEWIRE SOFTWARE INC 03/30/17 B	17	56.430	0	959-	959
130006-007	ISSUE: 45841N107 - INTERACTIVE BROKERS GROUP INC-CL A 03/30/17 S	43	34.584	0	1,487	1,517 -29
130006-007	ISSUE: 562750109 - MANHATTAN ASSOCS INC 03/30/17 S	9	50.968	0	459	519 -60

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 03/30/17 S	4,151		0.010	0	4,151	4,151	0
ISSUE: 912810RQ3 - U.S. TREASURY BONDS 2.5% 2/15/46							
130006-006 03/30/17 B	33,000		0.896	0	29,561-	29,561	
ISSUE: 930427109 - WAGeworks INC							
130006-007 03/30/17 B	25		72.118	0	1,803-	1,803	
ISSUE: 05565QDB1 - BP CAPITAL MARKETS 3.119% 5/04/26							
130006-006 03/31/17 B	31,000		0.982	0	30,426-	30,426	
ISSUE: 40171V100 - GUIDEWIRE SOFTWARE INC							
130006-007 03/31/17 B	24		56.555	0	1,357-	1,357	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 03/31/17 B	128		0.010	127	128-	128	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 03/31/17 B	535		0.010	530	535-	535	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 03/31/17 B	229		0.010	227	229-	229	
ISSUE: 912828F21 - U.S. TREASURY NOTES 2.125% 9/30/21							
130006-006 03/31/17 S	30,000		1.010	0	30,307	31,241	-934
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008 04/03/17 B	195		7.740	0	1,508-	1,508	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 04/03/17 B	726		0.010	719	726-	726	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 04/03/17 B	218		0.010	215	218-	218	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 04/03/17 B	478		0.010	473	478-	478	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 04/04/17 B	1,713		0.010	1,696	1,713-	1,713	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 04/04/17 B	379		0.010	375	379-	379	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 04/04/17 B	1,724		0.010	1,707	1,724-	1,724	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 04/04/17 B	30,312		0.010	30,009	30,312-	30,312	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 04/05/17 S	1,357		0.010	0	1,357	1,357	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 04/05/17 B	612		0.010	606	612-	612	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 04/05/17 S	29,907	0.010	0		29,907	29,907	0
ISSUE: 857477AF0 - STATE STREET CORP 4.956% 3/15/18							
130006-004 04/06/17 S	14,000	1.028	0		14,392	14,616	-224
ISSUE: 857477AM5 - STATE STREET CORP 3.7% 11/20/23							
130006-004 04/06/17 B	13,000	1.052	0		13,671-	13,671	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 04/06/17 B	0	0.010	0		0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 04/06/17 B	0	0.010	0		0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 04/06/17 B	1	0.010	1		1-	1	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 04/06/17 B	0	0.010	0		0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 04/07/17 B	204	0.010	202		204-	204	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 04/12/17 B	432	0.010	428		432-	432	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 04/12/17 B	582	0.010	577		582-	582	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 04/12/17 S	1,958	0.010	0		1,958	1,958	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 04/13/17 B	28	0.010	28		28-	28	
ISSUE: 075896100 - BED BATH & BEYOND INC COM							
130006-007 04/17/17 S	97	38.348	0		3,720	4,245	-525
ISSUE: 29977A105 - EVERCORE PARTNERS INC CL A							
130006-007 04/17/17 B	26	74.689	0		1,942-	1,942	
ISSUE: 33616C100 - FIRST REPUBLIC BANK/SAN FRAN							
130006-007 04/17/17 S	66	89.576	0		5,912	5,089	823
ISSUE: 745867101 - PULTE GROUP INC							
130006-007 04/17/17 B	292	24.087	0		7,033-	7,033	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 04/17/17 B	191	0.010	189		191-	191	
ISSUE: 957638109 - WESTERN ALLIANCE							
130006-007 04/17/17 B	114	45.973	0		5,241-	5,241	
ISSUE: 075896100 - BED BATH & BEYOND INC COM							
130006-007 04/18/17 S	91	38.379	0		3,493	3,982	-490

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 29977A105 - EVERCORE PARTNERS INC CL A							
130006-007 04/18/17 B	33	75.157		0		2,480-	2,480
ISSUE: 52470G734 - WESTERN ASSET SMASH SERIES EC FUND							
130006-006 04/18/17 B	110	9.240		0		1,020-	1,020
ISSUE: 52470G742 - WESTERN ASSET SMASH SERIES C FUND							
130006-006 04/18/17 B	105	9.720		0		1,024-	1,024
ISSUE: 745867101 - PULTE GROUP INC							
130006-007 04/18/17 B	95	24.067		0		2,286-	2,286
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 04/18/17 B	14	0.010		14		14-	14
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 04/18/17 B	223	0.010		221		223-	223
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 04/18/17 S	44	0.010		0		44	44 0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 04/18/17 B	2,952	0.010		2,923		2,952-	2,952
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 04/18/17 B	2,589	0.010		2,564		2,589-	2,589
ISSUE: 957638109 - WESTERN ALLIANCE							
130006-007 04/18/17 B	19	45.495		0		864-	864
ISSUE: 29977A105 - EVERCORE PARTNERS INC CL A							
130006-007 04/19/17 B	23	76.628		0		1,762-	1,762
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 04/19/17 B	80	0.010		79		80-	80
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 04/19/17 B	57	0.010		57		57-	57
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 04/19/17 B	67	0.010		66		67-	67
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 04/19/17 S	3,103	0.010		0		3,103	3,103 0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-008 04/19/17 B	69	0.010		69		69-	69
ISSUE: 3135G0YM9 - FNMA 1.875% 9/18/18							
130006-006 04/20/17 B	2,000	1.010		0		2,020-	2,020
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 04/20/17 S	4,584	0.010		0		4,584	4,584 0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 04/20/17 B	2,457	0.010		2,433		2,457-	2,457

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	ISSUE: 912828J27 - U.S. TREASURY NOTES 2% 2/15/25 04/20/17 B	6,000	0.989	0	5,936-	5,936	
130006-004	ISSUE: 912828T67 - U.S. TREASURY NOTES 1.25% 10/31/21 04/20/17 B	8,000	0.980	0	7,836-	7,836	
130006-004	ISSUE: 912828VB3 - U.S. TREASURY BONDS 1.75% 5/15/23 04/20/17 B	6,000	0.988	0	5,929-	5,929	
130006-004	ISSUE: 9128282A7 - U.S. TREASURY NOTES 1.5% 8/15/26 04/20/17 B	10,000	0.938	0	9,381-	9,381	
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 04/21/17 S	243	7.740	0	1,883	1,852	31
130006-007	ISSUE: 15135B101 - CENTENE CORPORATION 04/21/17 S	102	70.833	0	7,225	6,830	395
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 04/21/17 S	2,138	0.010	0	2,138	2,138	0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/21/17 S	1,563	0.010	0	1,563	1,563	0
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/21/17 S	2,344	0.010	0	2,344	2,344	0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/21/17 S	29,224	0.010	0	29,224	29,224	0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/21/17 S	1,978	0.010	0	1,978	1,978	0
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/21/17 S	2,024	0.010	0	2,024	2,024	0
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/21/17 S	2,092	0.010	0	2,092	2,092	0
130006-008	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/21/17 S	69	0.010	0	69	69	0
130006-007	ISSUE: 15135B101 - CENTENE CORPORATION 04/24/17 S	45	71.845	0	3,233	3,013	220
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 04/24/17 S	3,302	0.010	0	3,302	3,302	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 04/24/17 B	11	0.010	11	11-	11	
130006-004	ISSUE: 69349LAD0 - PNC BANK NA MTN 6% 12/07/17 04/26/17 S	12,000	1.025	0	12,304	12,625	-320
130006-004	ISSUE: 69349LAK4 - PNC BANK NA 2.95% 1/30/23 04/26/17 B	12,000	1.010	0	12,115-	12,115	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 04/26/17 B	9,243	0.010	9,151		9,243-	9,243	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 04/26/17 B	1,370	0.010	1,356		1,370-	1,370	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 04/27/17 B	7,225	0.010	7,153		7,225-	7,225	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 04/28/17 B	3,328	0.010	3,295		3,328-	3,328	
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008 05/01/17 B	192	7.780	0		1,490-	1,490	
ISSUE: 69353REJ3 - PNC BANK NA 1.5% 2/23/18							
130006-004 05/01/17 S	13,000	1.000	0		12,998	13,040	-4
ISSUE: 69353RFB9 - PNC BANK NA 2.625% 2/17/22							
130006-004 05/01/17 B	12,000	1.009	0		12,112-	12,112	
ISSUE: 44930G107 - ICU MEDICAL INC							
130006-007 05/02/17 B	8	155.334	0		1,243-	1,243	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 05/02/17 B	272	0.010	269		272-	272	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 05/02/17 B	2,109	0.010	2,088		2,109-	2,109	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 05/02/17 B	1,188	0.010	1,177		1,188-	1,188	
ISSUE: 44930G107 - ICU MEDICAL INC							
130006-007 05/03/17 B	5	154.744	0		774-	774	
ISSUE: 44930G107 - ICU MEDICAL INC							
130006-007 05/04/17 B	7	154.967	0		1,085-	1,085	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 05/04/17 B	0	0.010	0		0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 05/04/17 B	0	0.010	0		0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 05/04/17 B	1	0.010	0		1-	1	
ISSUE: 881569107 - TESARO INC							
130006-007 05/05/17 B	27	144.582	0		3,904-	3,904	
ISSUE: 896239100 - TRIMBLE INCORPORATED							
130006-007 05/05/17 B	90	35.954	0		3,236-	3,236	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 05/05/17 S	1,243	0.010	0		1,243	1,243	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/05/17 B	858	0.010	849	858-	858
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/05/17 B	483	0.010	479	483-	483
130006-007	ISSUE: 44930G107 - ICU MEDICAL INC 05/08/17 B	12	156.506	0	1,878-	1,878
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/08/17 S	774	0.010	0	774	774 0
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/08/17 B	349	0.010	345	349-	349
130006-007	ISSUE: 881569107 - TESARO INC 05/09/17 B	9	137.560	0	1,238-	1,238
130006-007	ISSUE: 896239100 - TRIMBLE INCORPORATED 05/09/17 B	39	35.925	0	1,401-	1,401
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/09/17 S	1,085	0.010	0	1,085	1,085 0
130006-007	ISSUE: G01767105 - ALKERMES PLC 05/10/17 B	57	57.533	0	3,279-	3,279
130006-007	ISSUE: 45168D104 - IDEXX LABS COMMON 05/10/17 S	55	163.156	0	8,974	6,200 2,773
130006-004	ISSUE: 69351UAP8 - PPL ELECTRIC UTILS 3% 9/15/21 05/10/17 B	25,000	1.027	0	25,678-	25,678
130006-007	ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC 05/10/17 B	37	125.170	0	4,631-	4,631
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/10/17 S	4,493	0.010	0	4,493	4,493 0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/10/17 B	1,014	0.010	1,004	1,014-	1,014
130006-007	ISSUE: G01767105 - ALKERMES PLC 05/11/17 B	113	57.086	0	6,451-	6,451
130006-007	ISSUE: 45168D104 - IDEXX LABS COMMON 05/11/17 S	29	162.596	0	4,715	3,269 1,446
130006-007	ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC 05/11/17 B	6	124.522	0	747-	747
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/11/17 S	1,878	0.010	0	1,878	1,878 0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/11/17 B	308	0.010	304	308-	308

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/12/17 B	17	0.010	17	17-	17
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/15/17 B	4,280	0.010	4,237	4,280-	4,280
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/15/17 S	25,803	0.010	0	25,803	25,803 0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/16/17 S	1,420	0.010	0	1,420	1,420 0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/16/17 B	1,082	0.010	1,071	1,082-	1,082
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/16/17 B	2,111	0.010	2,090	2,111-	2,111
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/16/17 B	3,260	0.010	3,227	3,260-	3,260
130006-006	ISSUE: 3137EACA5 - FHLMC 3.75% 3/27/19 05/17/17 B	2,000	1.045	0	2,090-	2,090
130006-006	ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND 05/17/17 B	134	10.920	0	1,466-	1,466
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/17/17 B	20	0.010	19	20-	20
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/17/17 B	745	0.010	738	745-	745
130006-004	ISSUE: 86787EAM9 - SUNTRUST BANK MTN 7.25% 3/15/18 05/18/17 S	18,000	0.010	0	18,803	19,405 -602
130006-004	ISSUE: 86787EAN7 - SUNTRUST BANK 2.75% 5/01/23 05/18/17 B	18,000	1.005	0	18,091-	18,091
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/18/17 S	1,497	0.010	0	1,497	1,497 0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/19/17 S	15,729	0.010	0	15,729	15,729 0
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/19/17 S	2,878	0.010	0	2,878	2,878 0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/23/17 B	1,053	0.010	1,042	1,053-	1,053
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/24/17 B	10	0.010	10	10-	10
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/24/17 B	928	0.010	919	928-	928

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/25/17 S	2	0.010	0		2	2	0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/26/17 B	622	0.010	615		622-	622	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/26/17 B	1,274	0.010	1,261		1,274-	1,274	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/30/17 B	93	0.010	92		93-	93	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/31/17 B	25	0.010	25		25-	25	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/31/17 B	139	0.010	137		139-	139	
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 06/01/17 B	193	7.800	0		1,505-	1,505	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/02/17 B	43	0.010	43		43-	43	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/02/17 B	322	0.010	319		322-	322	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/02/17 B	1,656	0.010	1,640		1,656-	1,656	
130006-003	ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18 06/02/17 S	120,000	0.998	0		119,700	120,224	-524
130006-003	ISSUE: 912828XS4 - U.S. TREASURY NOTES 1.25% 5/31/19 06/02/17 B	120,000	1.000	0		119,935-	119,935	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/05/17 B	389	0.010	385		389-	389	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/05/17 B	388	0.010	384		388-	388	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/06/17 B	0	0.010	0		0-	0	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/06/17 B	0	0.010	0		0-	0	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/06/17 B	0	0.010	0		0-	0	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/07/17 S	68	0.010	0		68	68	0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/09/17 B	256	0.010	253		256-	256	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	ISSUE: G51502105 - JOHNSON CONTROLS INTERNATIONAL PLC 06/12/17 S	1,019	41.879	0	42,675	46,563	-3,888
130006-002	ISSUE: 478160104 - JOHNSON & JOHNSON 06/12/17 B	348	131.694	0	45,829-	45,829	
130006-004	ISSUE: 59156RAR9 - METLIFE INC 6.817% 8/15/18 06/12/17 S	27,000	1.059	0	28,584	29,651	-1,067
130006-004	ISSUE: 59156RBB3 - METLIFE INC 4.368% 9/15/23 06/12/17 B	28,000	1.099	0	30,786-	30,786	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/12/17 B	89	0.010	88	89-	89	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/12/17 B	190	0.010	188	190-	190	
130006-007	ISSUE: 16949N109 - CHINA LODGING GROUP SPONS ADR 06/13/17 B	16	80.827	0	1,293-	1,293	
130006-007	ISSUE: 400110102 - GRUBHUB INC 06/13/17 B	91	43.514	0	3,960-	3,960	
130006-007	ISSUE: 48562P103 - KAPSTONE PAPER & PACKAGING CORP 06/13/17 S	162	21.823	0	3,535	3,078	457
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/13/17 B	60	0.010	60	60-	60	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/13/17 B	1,213	0.010	1,201	1,213-	1,213	
130006-007	ISSUE: 400110102 - GRUBHUB INC 06/14/17 B	98	44.076	0	4,319-	4,319	
130006-007	ISSUE: 48562P103 - KAPSTONE PAPER & PACKAGING CORP 06/14/17 S	68	21.381	0	1,454	1,292	162
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/14/17 B	26	0.010	26	26-	26	
130006-007	ISSUE: 48562P103 - KAPSTONE PAPER & PACKAGING CORP 06/15/17 S	51	21.314	0	1,087	969	118
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/15/17 B	53	0.010	52	53-	53	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/15/17 S	3,154	0.010	0	3,154	3,154	0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/15/17 S	1,588	0.010	0	1,588	1,588	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/16/17 S	1,687	0.010	0	1,687	1,687	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	06/16/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	888	0.010	879	888-	888
130006-004	06/16/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	1,706	0.010	1,689	1,706-	1,706
130006-006	06/16/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	2,835	0.010	2,806	2,835-	2,835
130006-007	06/19/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS	2,756	0.010	0	2,756	2,756 0
130006-002	06/20/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	675	0.010	668	675-	675
130006-006	06/20/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	5,107	0.010	5,056	5,107-	5,107
130006-007	06/21/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS	1,099	0.010	1,088	1,099-	1,099
130006-004	06/21/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	17,167	0.010	16,996	17,167-	17,167
130006-007	06/22/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS	23	0.010	23	23-	23
130006-006	06/23/17 B	ISSUE: 52470G742 - WESTERN ASSET SMASH SERIES C FUND	225	9.740	0	2,189-	2,189
130006-006	06/23/17 B	ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND	185	10.950	0	2,022-	2,022
130006-007	06/23/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS	31	0.010	30	31-	31
130006-007	06/26/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS	1	0.010	1	1-	1
130006-007	06/26/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS	11	0.010	11	11-	11
130006-002	06/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	574	0.010	568	574-	574
130006-006	06/26/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	4,211	0.010	0	4,211	4,211 0
130006-002	06/27/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	241	0.010	239	241-	241
130006-004	06/27/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	744	0.010	737	744-	744
130006-006	06/27/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT	1,264	0.010	1,251	1,264-	1,264

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/28/17 B	746	0.010	739	746-	746
130006-006	ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND 06/29/17 B	105	10.880	0	1,146-	1,146
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/30/17 B	67	0.010	66	67-	67
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/30/17 B	556	0.010	551	556-	556
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/30/17 B	309	0.010	306	309-	309
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 07/03/17 B	185	7.770	0	1,441-	1,441
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 07/03/17 S	1,146	0.010	0	1,146	1,146 0
130006-007	ISSUE: G4617B105 - HORIZON PHARMA PLC 07/05/17 S	170	12.459	0	2,118	3,082 -964
130006-007	ISSUE: 016255101 - ALIGN TECHNOLOGY INCORPORATED 07/05/17 S	19	151.998	0	2,888	1,781 1,107
130006-007	ISSUE: 040413106 - ARISTA NETWORKS INC 07/05/17 S	21	148.052	0	3,109	1,944 1,165
130006-007	ISSUE: 238337109 - DAVE & BUSTER'S ENTERTAINMENT 07/05/17 S	17	65.282	0	1,110	666 444
130006-007	ISSUE: 29084Q100 - EMCOR GROUP INC 07/05/17 B	7	66.881	0	468-	468
130006-007	ISSUE: 44930G107 - ICU MEDICAL INC 07/05/17 B	8	176.178	0	1,409-	1,409
130006-007	ISSUE: 58733R102 - MERCADOLIBRE INC 07/05/17 S	18	249.613	0	4,493	3,329 1,164
130006-007	ISSUE: 745867101 - PULTE GROUP INC 07/05/17 B	140	24.687	0	3,456-	3,456
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 07/05/17 B	65	0.010	64	65-	65
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 07/05/17 B	379	0.010	375	379-	379
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 07/05/17 B	1,603	0.010	1,587	1,603-	1,603
130006-007	ISSUE: G4617B105 - HORIZON PHARMA PLC 07/06/17 S	30	12.280	0	368	544 -176

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 016255101 - ALIGN TECHNOLOGY INCORPORATED							
130006-007 07/06/17 S	27	150.239		0	4,056	2,531	1,525
ISSUE: 040413106 - ARISTA NETWORKS INC							
130006-007 07/06/17 S	11	144.799		0	1,593	1,018	574
ISSUE: 238337109 - DAVE & BUSTER'S ENTERTAINMENT							
130006-007 07/06/17 S	37	64.860		0	2,400	1,450	950
ISSUE: 29084Q100 - EMCOR GROUP INC							
130006-007 07/06/17 B	38	66.938		0	2,544-	2,544	
ISSUE: 44930G107 - ICU MEDICAL INC							
130006-007 07/06/17 B	8	174.228		0	1,394-	1,394	
ISSUE: 58733R102 - MERCADOLIBRE INC							
130006-007 07/06/17 S	23	248.079		0	5,706	4,254	1,452
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/06/17 B	35	0.010		34	35-	35	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 07/07/17 B	2	0.010		2	2-	2	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 07/07/17 B	2	0.010		2	2-	2	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 07/07/17 B	3	0.010		3	3-	3	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 07/07/17 B	1	0.010		1	1-	1	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 07/10/17 B	349	0.010		345	349-	349	
ISSUE: 06406HDB2 - BANK OF NY MELL MTN 1.6% 5/22/18							
130006-004 07/11/17 B	17,000	1.001		0	17,020-	17,020	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/11/17 B	8,384	0.010		8,300	8,384-	8,384	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 07/11/17 B	165	0.010		163	165-	165	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/12/17 B	10,186	0.010		10,084	10,186-	10,186	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 07/12/17 B	160	0.010		158	160-	160	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 07/12/17 S	3,090	0.010		0	3,090	3,090	0
ISSUE: 912810RQ3 - U.S. TREASURY BONDS 2.5% 2/15/46							
130006-006 07/12/17 B	1,000	0.921		0	921-	921	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 07/13/17 B	23	0.010	23	23-	23	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 07/13/17 S	931	0.010	0	931	931	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 07/14/17 S	17,060	0.010	0	17,060	17,060	0
ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND						
130006-006 07/17/17 B	135	10.870	0	1,465-	1,465	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 07/18/17 B	14	0.010	14	14-	14	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 07/18/17 B	3,519	0.010	3,484	3,519-	3,519	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 07/18/17 B	2,247	0.010	2,224	2,247-	2,247	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 07/20/17 B	2,506	0.010	2,481	2,506-	2,506	
ISSUE: G0750C108 - AXALTA COATING SYSTEMS LTD						
130006-007 07/24/17 B	155	32.189	0	4,989-	4,989	
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST						
130006-008 07/24/17 S	257	7.830	0	2,011	1,956	55
ISSUE: 122017106 - BURLINGTON STORES INC						
130006-007 07/24/17 S	108	84.792	0	9,158	8,750	407
ISSUE: 43283X105 - HILTON GRAND VACATIONS INC						
130006-007 07/24/17 B	17	35.888	0	610-	610	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 07/24/17 B	32	0.010	31	32-	32	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 07/24/17 B	226	0.010	224	226-	226	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 07/24/17 S	3,268	0.010	0	3,268	3,268	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 07/24/17 S	2,187	0.010	0	2,187	2,187	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 07/24/17 S	2,012	0.010	0	2,012	2,012	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 07/24/17 B	525	0.010	520	525-	525	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 07/24/17 S	1,088	0.010	0	1,088	1,088	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	ISSUE: 969904101 - WILLIAM SONOMA INC COM 07/24/17 S	96	44.545	0	4,276	4,904	-627
130006-007	ISSUE: 146229109 - CARTER HOLDINGS 07/25/17 S	78	88.626	0	6,913	6,763	149
130006-007	ISSUE: 224399105 - CRANE CO 07/25/17 B	79	79.364	0	6,270-	6,270	
130006-007	ISSUE: 40416M105 - HD SUPPLY HOLDINGS INC 07/25/17 S	246	32.167	0	7,913	7,867	46
130006-007	ISSUE: 43283X105 - HILTON GRAND VACATIONS INC 07/25/17 B	76	36.340	0	2,762-	2,762	
130006-007	ISSUE: 45245E109 - IMAX CORPORATION 07/25/17 S	170	21.359	0	3,631	4,925	-1,294
130006-007	ISSUE: 59408Q106 - MICHAELS COS INC/THE 07/25/17 S	262	19.566	0	5,126	6,318	-1,192
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 07/25/17 S	1,632	0.010	0	1,632	1,632	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 07/25/17 B	16	0.010	16	16-	16	
130006-007	ISSUE: 90385D107 - THE ULTIMATE SOFTWARE GROUP INC 07/25/17 B	29	230.420	0	6,682-	6,682	
130006-007	ISSUE: 969904101 - WILLIAM SONOMA INC COM 07/25/17 S	70	44.932	0	3,145	3,576	-430
130006-007	ISSUE: 217204106 - COPART INC 07/26/17 B	73	31.809	0	2,322-	2,322	
130006-007	ISSUE: 25754A201 - DOMINO'S PIZZA INC 07/26/17 B	52	190.886	0	9,926-	9,926	
130006-007	ISSUE: 43283X105 - HILTON GRAND VACATIONS INC 07/26/17 B	43	36.612	0	1,574-	1,574	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 07/26/17 B	8,131	0.010	8,050	8,131-	8,131	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 07/26/17 B	1,783	0.010	1,766	1,783-	1,783	
130006-007	ISSUE: 91879Q109 - VAIL RESORTS INC 07/26/17 B	27	212.704	0	5,743-	5,743	
130006-007	ISSUE: 217204106 - COPART INC 07/27/17 B	72	31.811	0	2,290-	2,290	
130006-004	ISSUE: 46625HHL7 - JPMORGAN CHASE & CO 6.3% 4/23/19 07/27/17 B	20,000	1.076	0	21,512-	21,512	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 48126EAA5 - JPMORGAN CHASE 2% 8/15/17							
130006-004 07/27/17 S	22,000		1.000	0	22,004	22,126	-121
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 07/27/17 B	204		0.010	202	204-	204	
ISSUE: 912828F21 - U.S. TREASURY NOTES 2.125% 9/30/21							
130006-006 07/27/17 B	1,000		1.016	0	1,016-	1,016	
ISSUE: 91879Q109 - VAIL RESORTS INC							
130006-007 07/27/17 B	12		212.061	0	2,545-	2,545	
ISSUE: 217204106 - COPART INC							
130006-007 07/28/17 B	76		31.850	0	2,421-	2,421	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/28/17 B	7,835		0.010	7,756	7,835-	7,835	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 07/28/17 S	1,022		0.010	0	1,022	1,022	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/31/17 S	6,648		0.010	0	6,648	6,648	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 07/31/17 B	177		0.010	175	177-	177	
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008 08/01/17 B	188		7.850	0	1,473-	1,473	
ISSUE: 217204106 - COPART INC							
130006-007 08/01/17 B	93		31.640	0	2,943-	2,943	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/01/17 S	4,835		0.010	0	4,835	4,835	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 08/01/17 B	636		0.010	629	636-	636	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/02/17 S	2,421		0.010	0	2,421	2,421	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 08/02/17 B	1,532		0.010	1,517	1,532-	1,532	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 08/02/17 B	2,129		0.010	2,108	2,129-	2,129	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 08/02/17 S	1,040		0.010	0	1,040	1,040	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/03/17 B	16		0.010	16	16-	16	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/04/17 S	2,943		0.010	0	2,943	2,943	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/04/17 B	272	0.010	269		272-	272
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/04/17 B	4	0.010	4		4-	4
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/04/17 B	3	0.010	3		3-	3
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/04/17 B	5	0.010	5		5-	5
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/04/17 B	1	0.010	1		1-	1
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/08/17 B	322	0.010	319		322-	322
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/14/17 S	2,911	0.010	0	2,911		2,911 0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/15/17 B	279	0.010	276		279-	279
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/15/17 B	273	0.010	270		273-	273
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 08/16/17 B	20	0.010	19		20-	20
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/16/17 B	477	0.010	472		477-	477
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/16/17 B	3,155	0.010	3,123		3,155-	3,155
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/16/17 B	3,822	0.010	3,784		3,822-	3,822
130006-007	ISSUE: 344849104 - FOOT LOCKER INC 08/18/17 S	206	34.423	0	7,091		13,950 -6,859
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/18/17 B	158	0.010	156		158-	158
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/18/17 B	2,124	0.010	2,103		2,124-	2,124
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 08/21/17 B	7,814	7.770	0	60,715		60,715
130006-008	ISSUE: 317609667 - JEFFERIES ASSET MGMT COMODITY ST ALL 08/21/17 B	1,730	7.110	0	12,297		12,297
130006-008	ISSUE: 412295305 - HARDING LOEVNER EMRG MKTS FD CL-ADV 08/21/17 S	637	55.080	0	35,083		29,709 5,375

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-008	08/21/17 S	946	40.100	0	37,929	32,025	5,904
ISSUE: 683974505 - OPPENHEIMER DEV MARKETS FD CLASS Y							
130006-007	08/23/17 S	7	146.413	0	1,025	1,087	-62
ISSUE: 100557107 - BOSTON BEER INCORPORATED CLASS A							
130006-007	08/23/17 B	2	749.605	0	1,499-	1,499	
ISSUE: 12685J105 - CABLE ONE INC							
130006-007	08/23/17 S	38	36.107	0	1,372	2,055	-683
ISSUE: 750469207 - RADIUS HEALTH INC							
130006-007	08/23/17 S	189	17.932	0	3,389	5,326	-1,937
ISSUE: 78573M104 - SABRE CORP							
130006-007	08/23/17 B	42	64.391	0	2,704-	2,704	
ISSUE: 922475108 - VEEVA SYSTEMS INC							
130006-007	08/24/17 S	14	145.025	0	2,030	2,174	-143
ISSUE: 100557107 - BOSTON BEER INCORPORATED CLASS A							
130006-007	08/24/17 B	2	741.115	0	1,482-	1,482	
ISSUE: 12685J105 - CABLE ONE INC							
130006-007	08/24/17 S	87	32.949	0	2,867	3,474	-607
ISSUE: 17243V102 - CINEMARK HOLDINGS INC							
130006-007	08/24/17 S	79	43.774	0	3,458	4,253	-795
ISSUE: 655664100 - NORDSTROM INC COM							
130006-007	08/24/17 S	51	36.079	0	1,840	2,759	-919
ISSUE: 750469207 - RADIUS HEALTH INC							
130006-007	08/24/17 S	181	18.213	0	3,297	5,101	-1,804
ISSUE: 78573M104 - SABRE CORP							
130006-007	08/24/17 B	7,091	0.010	7,020	7,091-	7,091	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-004	08/24/17 B	354	0.010	351	354-	354	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-007	08/25/17 S	9	146.722	0	1,321	1,397	-77
ISSUE: 100557107 - BOSTON BEER INCORPORATED CLASS A							
130006-007	08/25/17 B	3	740.487	0	2,221-	2,221	
ISSUE: 12685J105 - CABLE ONE INC							
130006-007	08/25/17 S	19	33.306	0	633	759	-126
ISSUE: 17243V102 - CINEMARK HOLDINGS INC							
130006-007	08/25/17 S	84	44.817	0	3,765	4,522	-758
ISSUE: 655664100 - NORDSTROM INC COM							
130006-007	08/25/17 B	20	0.010	20	20-	20	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	ISSUE: 12685J105 - CABLE ONE INC 08/28/17 B	2	742.870	0		1,486-	1,486
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 08/28/17 B	83	0.010	82		83-	83
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/28/17 B	867	0.010	858		867-	867
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/28/17 B	1,414	0.010	1,399		1,414-	1,414
130006-006	ISSUE: 0258M0EB1 - AMERICAN EXPRESS MTN 2.25% 5/05/21 08/29/17 S	31,000	1.004	0		31,117	31,428 -310
130006-006	ISSUE: 06051GFB0 - BANK OF AMER CRP MTN 4.125% 1/22/24 08/29/17 B	8,000	1.073	0		8,582-	8,582
130006-006	ISSUE: 172967LP4 - CITIGROUP INC V-Q 3.668% 7/24/28 08/29/17 B	23,000	1.016	0		23,378-	23,378
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 08/29/17 B	1,595	0.010	1,579		1,595-	1,595
130006-004	ISSUE: 478160AU8 - JOHNSON & JOHNSON 5.15% 7/15/18 08/30/17 S	25,000	1.032	0		25,799	26,785 -985
130006-004	ISSUE: 478160BT0 - JOHNSON & JOHNSON 2.05% 3/01/23 08/30/17 B	26,000	1.002	0		26,046-	26,046
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 08/30/17 B	12,062	0.010	11,941		12,062-	12,062
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 08/31/17 B	2,011	0.010	1,991		2,011-	2,011
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 09/01/17 B	194	7.800	0		1,511-	1,511
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 09/01/17 B	1,991	0.010	1,971		1,991-	1,991
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 09/01/17 S	741	0.010	0		741	741 0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 09/05/17 B	712	0.010	704		712-	712
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 09/05/17 B	1,792	0.010	1,775		1,792-	1,792
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 09/05/17 B	457	0.010	453		457-	457
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 09/05/17 B	768	0.010	760		768-	768

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	09/06/17 S	170	50.019	0	8,503	9,730	-1,227
130006-007	09/06/17 S	155	29.063	0	4,505	4,989	-485
130006-002	09/06/17 S	359	130.808	0	46,960	43,859	3,101
130006-007	09/06/17 S	114	113.062	0	12,889	8,820	4,069
130006-002	09/06/17 S	1,342	32.562	0	43,699	41,964	1,734
130006-002	09/06/17 S	555	79.741	0	44,256	47,267	-3,010
130006-002	09/06/17 S	319	137.981	0	44,016	40,082	3,934
130006-004	09/06/17 S	26,000	1.015	0	26,390	27,394	-1,004
130006-007	09/06/17 S	269	22.643	0	6,091	5,213	878
130006-007	09/06/17 S	119	73.160	0	8,706	5,631	3,075
130006-007	09/06/17 S	86	176.686	0	15,195	8,063	7,132
130006-004	09/06/17 S	20,000	1.091	0	21,811	22,913	-1,102
130006-004	09/06/17 S	20,000	1.105	0	22,099	23,273	-1,174
130006-007	09/06/17 S	88	172.886	0	15,214	8,147	7,067
130006-007	09/06/17 S	72	108.788	0	7,833	7,690	143
130006-004	09/06/17 S	30,000	1.002	0	30,076	29,441	635
130006-006	09/06/17 S	31,000	1.011	0	31,353	30,426	927
130006-003	09/06/17 S	16,000	1.001	0	16,023	16,074	-51
130006-006	09/06/17 S	29,000	1.071	0	31,070	31,357	-287

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 063904106 - BANK OF THE OZARKS						
130006-007 09/06/17 S	126	41.693	0	5,253	4,838	415
ISSUE: 06406HCL1 - BANK OF NY MELLO MTN 2.1% 8/01/18						
130006-004 09/06/17 S	10,000	1.004	0	10,043	10,150	-107
ISSUE: 06406HDB2 - BANK OF NY MELL MTN 1.6% 5/22/18						
130006-004 09/06/17 S	17,000	1.000	0	17,009	17,020	-11
ISSUE: 084670BL1 - BERKSHIRE HATHAWAY 2.1% 8/14/19						
130006-004 09/06/17 S	26,000	1.009	0	26,238	26,526	-288
ISSUE: 08579W103 - BERRY GLOBAL GROUP, INC.						
130006-007 09/06/17 S	202	55.942	0	11,300	9,229	2,071
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST						
130006-008 09/06/17 S	47,817	7.810	0	373,451	365,385	8,066
ISSUE: 09247X101 - BLACKROCK INC						
130006-002 09/06/17 S	111	415.451	0	46,115	42,818	3,297
ISSUE: 092480300 - BLACKROCK BD ALL TGT SH-S						
130006-003 09/06/17 S	72,143	9.550	0	688,966	690,935	-1,969
ISSUE: 099724106 - BORG WARNER INC COM						
130006-007 09/06/17 S	218	46.233	0	10,079	9,225	853
ISSUE: 110448107 - BRITISH AMERICAN TOBACCO-SP ADR						
130006-002 09/06/17 S	670	62.709	0	42,015	42,763	-748
ISSUE: 11133B409 - BROADSOFT INC						
130006-007 09/06/17 S	167	48.951	0	8,175	7,774	401
ISSUE: 11133T103 - BROADRIDGE FINANCIAL SOLUTIONS INC						
130006-007 09/06/17 S	139	78.523	0	10,915	9,423	1,492
ISSUE: 12504L109 - CBRE GROUP INC						
130006-007 09/06/17 S	347	35.823	0	12,431	9,709	2,721
ISSUE: 12650T104 - CSRA INC						
130006-007 09/06/17 S	232	32.681	0	7,582	7,486	96
ISSUE: 126650100 - CVS HEALTH CORPORATION						
130006-002 09/06/17 S	543	79.564	0	43,203	46,624	-3,421
ISSUE: 12685J105 - CABLE ONE INC						
130006-007 09/06/17 S	9	750.182	0	6,752	6,689	63
ISSUE: 16411R208 - CHENIERE ENERGY INC						
130006-007 09/06/17 S	146	43.680	0	6,377	6,366	12
ISSUE: 166764100 - CHEVRON CORP						
130006-002 09/06/17 S	375	111.131	0	41,674	43,231	-1,557
ISSUE: 16949N109 - CHINA LODGING GROUP SPONS ADR						
130006-007 09/06/17 S	121	112.438	0	13,605	6,028	7,577

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	09/06/17 S	23,000	1.013	0	23,296	23,378	-82
ISSUE: 172967LP4 - CITIGROUP INC V-Q 3.668% 7/24/28							
130006-002	09/06/17 S	1,025	45.872	0	47,019	43,332	3,688
ISSUE: 191216100 - COCA COLA CO COM							
130006-002	09/06/17 S	632	71.338	0	45,086	46,388	-1,302
ISSUE: 194162103 - COLGATE PALMOLIVE CO COM							
130006-006	09/06/17 S	24,000	1.336	0	32,065	33,190	-1,125
ISSUE: 20030NBB6 - COMCAST CORP 6.4% 3/01/40							
130006-004	09/06/17 S	31,000	1.008	0	31,242	31,658	-416
ISSUE: 200339DW6 - COMERICA BANK 2.5% 6/02/20							
130006-007	09/06/17 S	314	32.513	0	10,209	9,975	234
ISSUE: 217204106 - COPART INC							
130006-002	09/06/17 S	575	73.640	0	42,343	36,231	6,112
ISSUE: 224399105 - CRANE CO							
130006-007	09/06/17 S	79	72.690	0	5,742	6,270	-527
ISSUE: 224399105 - CRANE CO							
130006-007	09/06/17 S	75	51.879	0	3,891	2,939	952
ISSUE: 238337109 - DAVE & BUSTER'S ENTERTAINMENT							
130006-007	09/06/17 S	120	75.412	0	9,049	10,519	-1,470
ISSUE: 252131107 - DEXCOM INC							
130006-002	09/06/17 S	369	134.272	0	49,546	42,817	6,729
ISSUE: 25243Q205 - DIAGEO PLC SPONSORED ADR							
130006-007	09/06/17 S	79	92.519	0	7,309	7,627	-318
ISSUE: 25278X109 - DIAMONDBACK ENERGY INC							
130006-004	09/06/17 S	15,000	1.005	0	15,075	15,252	-178
ISSUE: 25468PDA1 - WALT DISNEY CO MTN 1.85% 5/30/19							
130006-007	09/06/17 S	140	50.852	0	7,119	7,601	-481
ISSUE: 25659T107 - DOLBY LABORATORIES INC CL A							
130006-007	09/06/17 S	52	181.956	0	9,462	9,926	-464
ISSUE: 25754A201 - DOMINO'S PIZZA INC							
130006-004	09/06/17 S	30,000	1.051	0	31,522	32,315	-793
ISSUE: 263901AC4 - DUKE ENERGY INDIANA 3.75% 7/15/20							
130006-007	09/06/17 S	164	52.242	0	8,568	8,523	45
ISSUE: 265504100 - DUNKIN' BRANDS GROUP INC							
130006-007	09/06/17 S	91	101.150	0	9,205	8,237	967
ISSUE: 26969P108 - EAGLE MATERIALS INC COM W RIGHTS							
130006-007	09/06/17 S	146	65.613	0	9,579	9,084	496
ISSUE: 29084Q100 - EMCOR GROUP INC							

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS	
130006-007	ISSUE: 29362U104 - ENTEGRIS INC 09/06/17 S 427		26.470	0	11,303	7,438	3,864
130006-006	ISSUE: 29379VAV5 - ENTERPRISE PRODUCTS 5.7% 2/15/42 09/06/17 S 14,000		1.177	0	16,481	15,855	625
130006-007	ISSUE: 29977A105 - EVERCORE INC 09/06/17 S 82		71.698	0	5,879	6,185	-305
130006-004	ISSUE: 30231GAJ1 - EXXON MOBIL CORP 2.397% 3/06/22 09/06/17 S 24,000		1.015	0	24,364	24,603	-239
130006-006	ISSUE: 3135G0YM9 - FNMA 1.875% 9/18/18 09/06/17 S 61,000		1.006	0	61,367	62,205	-838
130006-006	ISSUE: 31359MGK3 - FNMA 6.625% 11/15/30 09/06/17 S 11,000		1.452	0	15,972	16,586	-614
130006-006	ISSUE: 3137EACA5 - FHLMC 3.75% 3/27/19 09/06/17 S 37,000		1.038	0	38,386	39,463	-1,077
130006-008	ISSUE: 317609667 - JEFFERIES ASSET MGMT COMODITY ST ALL 09/06/17 S 31,264		7.412	0	231,719	229,972	1,747
130006-007	ISSUE: 34959E109 - FORTINET INC 09/06/17 S 256		37.273	0	9,542	9,454	88
130006-007	ISSUE: 366651107 - GARTNER INC 09/06/17 S 72		121.445	0	8,744	6,368	2,376
130006-006	ISSUE: 36962G3P7 - GEN ELEC CAP CRP MTN 5.875% 1/14/38 09/06/17 S 18,000		1.299	0	23,379	24,036	-657
130006-004	ISSUE: 36962G7M0 - GENERAL ELEC CAP MTN 2.2% 1/09/20 09/06/17 S 15,000		1.012	0	15,177	15,378	-201
130006-007	ISSUE: 37940X102 - GLOBAL PAYMENTS INC 09/06/17 S 104		95.075	0	9,888	7,983	1,905
130006-003	ISSUE: 38141GRC0 - GOLDMAN SACHS GP 2.375% 1/22/18 09/06/17 S 16,000		1.002	0	16,040	16,177	-137
130006-006	ISSUE: 38141GVM3 - GOLDMAN SACHS MTN 4% 3/03/24 09/06/17 S 21,000		1.060	0	22,251	22,550	-298
130006-007	ISSUE: 400110102 - GRUBHUB INC 09/06/17 S 189		56.692	0	10,715	8,279	2,436
130006-007	ISSUE: 40171V100 - GUIDEWIRE SOFTWARE INC 09/06/17 S 111		73.852	0	8,198	6,462	1,736
130006-008	ISSUE: 412295305 - HARDING LOEVNER EMRG MKTS FD CL-ADV 09/06/17 S 3,377		56.440	0	190,597	157,510	33,087
130006-007	ISSUE: 419879101 - HAWAIIAN HOLDINGS INC 09/06/17 S 155		42.374	0	6,568	7,533	-965

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	09/06/17 S	ISSUE: 43283X105 - HILTON GRAND VACATIONS INC 136	35.772	0	4,865	4,946 -81
130006-002	09/06/17 S	ISSUE: 437076102 - HOME DEPOT INC COM 303	155.270	0	47,047	38,990 8,057
130006-004	09/06/17 S	ISSUE: 438516BA3 - HONEYWELL INTL 4.25% 3/01/21 25,000	1.079	0	26,962	27,892 -930
130006-007	09/06/17 S	ISSUE: 44919P508 - IAC/INTERACTIVECORP 116	115.480	0	13,396	7,247 6,149
130006-007	09/06/17 S	ISSUE: 44930G107 - ICU MEDICAL INC 48	174.006	0	8,352	7,782 570
130006-002	09/06/17 S	ISSUE: 458140100 - INTEL CORP COM 1,183	35.663	0	42,189	44,658 -2,469
130006-007	09/06/17 S	ISSUE: 462222100 - IONIS PHARMACEUTICALS INC 163	55.477	0	9,043	6,011 3,031
130006-003	09/06/17 S	ISSUE: 46623EKD0 - JPMORGAN CHASE MTN 1.7% 3/01/18 16,000	1.000	0	16,005	16,049 -44
130006-004	09/06/17 S	ISSUE: 46625HHL7 - JPMORGAN CHASE & CO 6.3% 4/23/19 20,000	1.071	0	21,429	21,512 -83
130006-006	09/06/17 S	ISSUE: 46625HHS2 - JPMORGAN CHASE MTN 4.4% 7/22/20 29,000	1.068	0	30,960	31,491 -531
130006-004	09/06/17 S	ISSUE: 478160BT0 - JOHNSON & JOHNSON 2.05% 3/01/23 26,000	1.003	0	26,068	26,046 22
130006-002	09/06/17 S	ISSUE: 478160104 - JOHNSON & JOHNSON 348	130.405	0	45,381	45,829 -448
130006-003	09/06/17 S	ISSUE: 500769GR9 - KFW 1.875% 6/30/20 33,000	1.009	0	33,283	33,651 -368
130006-003	09/06/17 S	ISSUE: 500769HC1 - KFW 1.25% 9/30/19 41,000	0.995	0	40,806	40,980 -175
130006-006	09/06/17 S	ISSUE: 52470G734 - WESTERN ASSET SMASH SERIES EC FUND 32,302	9.830	0	317,532	304,742 12,790
130006-006	09/06/17 S	ISSUE: 52470G742 - WESTERN ASSET SMASH SERIES C FUND 12,032	9.820	0	118,154	117,398 755
130006-006	09/06/17 S	ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND 31,671	11.040	0	349,645	347,248 2,398
130006-007	09/06/17 S	ISSUE: 536797103 - LITHIA MOTORS INC CL-A 67	108.129	0	7,245	6,576 668
130006-002	09/06/17 S	ISSUE: 539830109 - LOCKHEED MARTIN CORPORATION COM 163	301.553	0	49,153	39,074 10,079

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	09/06/17 S	ISSUE: 571748102 - MARSH & MCLENNAN COS INC COM 597	78.712	0	46,991	40,148	6,843
130006-002	09/06/17 S	ISSUE: 580135101 - MCDONALD'S CORPORATION 338	158.521	0	53,580	38,992	14,589
130006-007	09/06/17 S	ISSUE: 58471A105 - MEDIDATA SOLUTIONS INC 121	72.883	0	8,819	6,747	2,072
130006-007	09/06/17 S	ISSUE: 58733R102 - MERCADOLIBRE INC 52	256.764	0	13,352	9,618	3,733
130006-004	09/06/17 S	ISSUE: 59156RBB3 - METLIFE INC 4.368% 9/15/23 28,000	1.098	0	30,752	30,786	-34
130006-002	09/06/17 S	ISSUE: 594918104 - MICROSOFT CORP 656	73.683	0	48,336	37,786	10,551
130006-007	09/06/17 S	ISSUE: 596278101 - MIDDLEBY CORPORATION 79	120.767	0	9,541	9,766	-225
130006-007	09/06/17 S	ISSUE: 60877T100 - MOMENTA PHARMACEUTICALS INC 313	16.760	0	5,246	3,659	1,587
130006-004	09/06/17 S	ISSUE: 637432MU6 - NATIONAL RURAL UTIL 2.35% 6/15/20 15,000	1.011	0	15,170	15,342	-172
130006-007	09/06/17 S	ISSUE: 63938C108 - NAVIENT CORP 505	13.840	0	6,989	7,291	-302
130006-002	09/06/17 S	ISSUE: 65339F101 - NEXTERA ENERGY INC 338	149.484	0	50,525	41,351	9,174
130006-007	09/06/17 S	ISSUE: 655663102 - NORDSON CORPORATION 96	109.718	0	10,533	9,564	968
130006-002	09/06/17 S	ISSUE: 655664100 - NORDSTROM INC COM 927	46.826	0	43,408	48,093	-4,685
130006-004	09/06/17 S	ISSUE: 665859AM6 - NORTHERN TRUST CORP 3.375% 8/23/21 21,000	1.046	0	21,976	22,541	-565
130006-002	09/06/17 S	ISSUE: 66987V109 - NOVARTIS AG ADR 560	83.821	0	46,940	44,042	2,898
130006-007	09/06/17 S	ISSUE: 679580100 - OLD DOMINION FREIGHT LINE INC 116	100.020	0	11,602	7,959	3,644
130006-008	09/06/17 S	ISSUE: 683974505 - OPPENHEIMER DEV MARKETS FD CLASS Y 4,638	41.060	0	190,444	157,038	33,406
130006-007	09/06/17 S	ISSUE: 690742101 - OWENS CORNING INC 80	75.238	0	6,019	4,143	1,876
130006-004	09/06/17 S	ISSUE: 69349LAK4 - PNC BANK NA 2.95% 1/30/23 12,000	1.021	0	12,253	12,115	137

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 69351UAP8 - PPL ELECTRIC UTILS 3% 9/15/21						
130006-004 09/06/17 S	25,000	1.030	0	25,749	25,678	71
ISSUE: 69353RFB9 - PNC BANK NA 2.625% 2/17/22						
130006-004 09/06/17 S	12,000	1.018	0	12,219	12,112	107
ISSUE: 713448108 - PEPSICO INC COM						
130006-002 09/06/17 S	397	115.898	0	46,012	43,174	2,838
ISSUE: 739276103 - POWER INTEGRATIONS INC						
130006-007 09/06/17 S	107	72.962	0	7,807	6,744	1,063
ISSUE: 74005P104 - PRAXAIR INC COM						
130006-002 09/06/17 S	363	131.034	0	47,565	43,575	3,990
ISSUE: 74112D101 - PRESTIGE BRANDS HOLDINGS INC						
130006-007 09/06/17 S	94	49.339	0	4,638	4,537	100
ISSUE: 742718DY2 - PROCTER & GAMBLE CO 2.3% 2/06/22						
130006-004 09/06/17 S	28,000	1.019	0	28,537	28,626	-88
ISSUE: 74432QCB9 - PRUDENTIAL FINL MTN 2.35% 8/15/19						
130006-004 09/06/17 S	32,000	1.009	0	32,302	32,650	-348
ISSUE: 744448CB5 - PUBLIC SERV CO 5.8% 8/01/18						
130006-004 09/06/17 S	33,000	1.036	0	34,193	35,656	-1,462
ISSUE: 74456QBR6 - PUBLIC SERVICE MTN 2.25% 9/15/26						
130006-004 09/06/17 S	28,000	0.960	0	26,865	25,766	1,100
ISSUE: 745867101 - PULTE GROUP INC						
130006-007 09/06/17 S	527	25.692	0	13,540	12,776	764
ISSUE: 74978Q105 - RSP PERMIAN INC						
130006-007 09/06/17 S	142	31.043	0	4,408	5,472	-1,064
ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC						
130006-007 09/06/17 S	104	130.053	0	13,526	12,961	564
ISSUE: 773903109 - ROCKWELL AUTOMATION INC.						
130006-002 09/06/17 S	281	163.874	0	46,049	34,378	11,671
ISSUE: 848637104 - SPLUNK INC						
130006-007 09/06/17 S	147	67.869	0	9,977	8,626	1,351
ISSUE: 857477AM5 - STATE STREET CORP 3.7% 11/20/23						
130006-004 09/06/17 S	13,000	1.077	0	14,008	13,671	337
ISSUE: 867224107 - SUNCOR ENERGY INC						
130006-002 09/06/17 S	1,331	32.242	0	42,914	41,274	1,639
ISSUE: 86787EAN7 - SUNTRUST BANK 2.75% 5/01/23						
130006-004 09/06/17 S	18,000	1.007	0	18,122	18,091	30
ISSUE: 868157108 - SUPERIOR ENERGY SERVICES INC COM						
130006-007 09/06/17 S	246	9.360	0	2,303	4,164	-1,861

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 868459108 - SUPERNUS PHARMACEUTICALS INC						
130006-007 09/06/17 S	236	46.890	0	11,066	5,836	5,230
ISSUE: 87612EAV8 - TARGET CORP 3.875% 7/15/20						
130006-004 09/06/17 S	30,000	1.058	0	31,731	32,827	-1,096
ISSUE: 88076W103 - TERADATA CORP						
130006-007 09/06/17 S	174	31.019	0	5,397	5,394	3
ISSUE: 881569107 - TESARO INC						
130006-007 09/06/17 S	36	132.499	0	4,770	5,142	-372
ISSUE: 882508104 - TEXAS INSTRUMENTS INC						
130006-002 09/06/17 S	558	81.897	0	45,699	39,160	6,538
ISSUE: 891906109 - TOTAL SYSTEMS SERVICES INC						
130006-007 09/06/17 S	190	69.302	0	13,167	8,959	4,209
ISSUE: 896239100 - TRIMBLE INCORPORATED						
130006-007 09/06/17 S	362	38.653	0	13,992	11,206	2,786
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 09/06/17 B	29	0.010	29	29-	29	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 09/06/17 B	420	0.010	416	420-	420	
ISSUE: 90385D107 - THE ULTIMATE SOFTWARE GROUP INC						
130006-007 09/06/17 S	29	194.977	0	5,654	6,682	-1,028
ISSUE: 907818108 - UNION PACIFIC CORP COM						
130006-002 09/06/17 S	391	105.999	0	41,445	38,134	3,311
ISSUE: 91086QBD9 - UNITED MEXICAN MTN 3.5% 1/21/21						
130006-006 09/06/17 S	30,000	1.048	0	31,425	31,826	-401
ISSUE: 911312AM8 - UNITED PARCEL 3.125% 1/15/21						
130006-004 09/06/17 S	25,000	1.043	0	26,084	26,702	-619
ISSUE: 911363109 - UNITED RENTALS INC COM						
130006-007 09/06/17 S	111	120.946	0	13,425	8,742	4,683
ISSUE: 912810RM2 - U.S. TREASURY BONDS 3% 5/15/45						
130006-006 09/06/17 S	31,000	1.057	0	32,757	34,455	-1,698
ISSUE: 912810RQ3 - U.S. TREASURY BONDS 2.5% 2/15/46						
130006-006 09/06/17 S	34,000	0.955	0	32,469	30,481	1,987
ISSUE: 912828F21 - U.S. TREASURY NOTES 2.125% 9/30/21						
130006-006 09/06/17 S	16,000	1.021	0	16,332	16,636	-304
ISSUE: 912828J27 - U.S. TREASURY NOTES 2% 2/15/25						
130006-004 09/06/17 S	110,000	1.002	0	110,262	113,633	-3,371
ISSUE: 912828L32 - U.S. TREASURY NOTES 1.375% 8/31/20						
130006-003 09/06/17 S	109,000	0.999	0	108,902	110,211	-1,309

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18 09/06/17 S 46,000	0.998	0	45,925	46,086	-161
130006-003	ISSUE: 912828Q52 - U.S. TREASURY NOTES 0.875% 4/15/19 09/06/17 S 51,000	0.994	0	50,669	51,004	-335
130006-004	ISSUE: 912828T42 - U.S. TREASURY NOTES 0.75% 9/30/18 09/06/17 S 58,000	0.995	0	57,692	57,728	-36
130006-004	ISSUE: 912828T67 - U.S. TREASURY NOTES 1.25% 10/31/21 09/06/17 S 111,000	0.986	0	109,452	108,849	603
130006-003	ISSUE: 912828T83 - U.S. TREASURY NOTES 0.75% 10/31/18 09/06/17 S 130,000	0.994	0	129,243	129,835	-592
130006-004	ISSUE: 912828VB3 - U.S. TREASURY BONDS 1.75% 5/15/23 09/06/17 S 84,000	0.998	0	83,846	85,681	-1,835
130006-003	ISSUE: 912828V56 - U.S. TREASURY NOTES 1.125% 1/31/19 09/06/17 S 113,000	0.998	0	112,793	112,879	-86
130006-003	ISSUE: 912828W30 - U.S. TREASURY NOTES 1.125% 2/28/19 09/06/17 S 164,000	0.998	0	163,673	163,389	285
130006-003	ISSUE: 912828XS4 - U.S. TREASURY NOTES 1.25% 5/31/19 09/06/17 S 120,000	1.000	0	119,944	119,935	9
130006-004	ISSUE: 912828A7 - U.S. TREASURY NOTES 1.5% 8/15/26 09/06/17 S 78,000	0.954	0	74,389	76,720	-2,330
130006-003	ISSUE: 912828C3 - U.S. TREASURY NOTES 0.75% 8/31/18 09/06/17 S 85,000	0.995	0	84,598	84,964	-366
130006-004	ISSUE: 913017BR9 - UNITED TECH CORP 4.5% 4/15/20 09/06/17 S 17,000	1.065	0	18,100	18,792	-692
130006-004	ISSUE: 913017CG2 - UNITED TECH CORP 1.95% 11/01/21 09/06/17 S 11,000	0.991	0	10,903	10,839	64
130006-002	ISSUE: 913017109 - UNITED TECHNOLOGIES CORP COM 09/06/17 S 381	109.990	0	41,906	38,710	3,197
130006-002	ISSUE: 918204108 - V F CORP 09/06/17 S 851	63.969	0	54,437	46,715	7,723
130006-007	ISSUE: 91879Q109 - VAIL RESORTS INC 09/06/17 S 39	226.265	0	8,824	8,288	537
130006-002	ISSUE: 921908844 - VANGUARD DIVIDEND APPRECIATION ETF 09/06/17 S 1,441	92.590	0	133,422	120,943	12,479
130006-007	ISSUE: 922475108 - VEEVA SYSTEMS INC 09/06/17 S 150	58.209	0	8,731	7,163	1,569
130006-006	ISSUE: 92343VBR4 - VERIZON COMM INC 5.15% 9/15/23 09/06/17 S 27,000	1.119	0	30,204	31,323	-1,119

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	09/06/17 S	ISSUE: 929740108 - WABTEC CORP COM 103	69.946	0	7,204	8,410	-1,206
130006-007	09/06/17 S	ISSUE: 930427109 - WAGEWORKS INC 66	58.800	0	3,881	4,740	-859
130006-006	09/06/17 S	ISSUE: 94974BFC9 - WELLS FARGO CO MTN 3.5% 3/08/22 15,000	1.046	0	15,689	15,885	-196
130006-006	09/06/17 B	ISSUE: 95000U2A0 - WELLS FARGO MTN V-Q 3.584% 5/22/28 15,000	1.026	0	15,389-	15,389	
130006-007	09/06/17 S	ISSUE: 957638109 - WESTERN ALLIANCE 133	47.189	0	6,276	6,105	171
130006-007	09/06/17 S	ISSUE: 98310W108 - WYNDHAM WORLDWIDE CORP 106	97.675	0	10,354	7,137	3,217
130006-007	09/06/17 S	ISSUE: 98419M100 - XYLEM INC-W/I 129	62.006	0	7,999	6,766	1,233
130006-007	09/07/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 53	0.010	52	53-	53	
130006-002	09/07/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 4	0.010	4	4-	4	
130006-003	09/07/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 4	0.010	4	4-	4	
130006-004	09/07/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 288	0.010	285	288-	288	
130006-004	09/07/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 7	0.010	7	7-	7	
130006-006	09/07/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2	0.010	2	2-	2	
130006-003	09/08/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 1,316,665	0.010	1,303,499	1,316,665-	1,316,665	
130006-004	09/08/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 234,354	0.010	232,010	234,354-	234,354	
130006-006	09/08/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 781,782	0.010	773,965	781,782-	781,782	
130006-007	09/08/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 43	0.010	43	43-	43	
130006-002	09/08/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 223,655	0.010	221,418	223,655-	223,655	
130006-003	09/08/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 222,568	0.010	220,342	222,568-	222,568	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	09/08/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 249,622	0.010	0	249,622	249,622	0
130006-004	09/08/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 202,638	0.010	200,612	202,638-	202,638	
130006-006	09/08/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 235,841	0.010	233,482	235,841-	235,841	
130006-008	09/08/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 527	0.010	521	527-	527	
130006-008	09/08/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 527	0.010	0	527	527	0
130006-002	09/08/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 762,557	0.010	754,931	762,557-	762,557	
130006-002	09/11/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 3,890,994	0.010	3,852,084	3,890,994-*	3,890,994	
130006-003	09/11/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 1,316,665	0.010	0	1,316,665	1,316,665	0
130006-004	09/11/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 740,712	0.010	733,305	740,712-	740,712	
130006-004	09/11/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 7	0.010	7	7-	7	
130006-006	09/11/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 274,699	0.010	271,952	274,699-	274,699	
130006-006	09/11/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 2	0.010	2	2-	2	
130006-007	09/11/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 42,880	0.010	0	42,880	42,880	0
130006-002	09/11/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 4	0.010	0	4	4	0
130006-004	09/11/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 7	0.010	0	7	7	0
130006-006	09/11/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2	0.010	0	2	2	0
130006-006	09/11/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 250,000	0.010	0	250,000	250,000	0
130006-002	09/11/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 4	0.010	4	4-	4	
130006-004	09/12/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 108,167	0.010	107,085	108,167-	108,167	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	09/12/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 1,056,483	0.010	0	1,056,483	1,056,483	0
130006-003	09/12/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 568	0.010	563	568-	568	
130006-008	09/12/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 385	0.010	381	385-	385	
130006-002	09/12/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 1,582,436	0.010	1,566,611	1,582,436-	1,582,436	
130006-007	09/13/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 60	0.010	60	60-	60	
130006-003	09/13/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 950	0.010	0	950	950	0
130006-006	09/13/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 446	0.010	441	446-	446	
130006-006	09/13/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 446	0.010	0	446	446	0
130006-008	09/13/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 385	0.010	0	385	385	0
130006-002	09/13/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 635	0.010	629	635-	635	
130006-007	09/14/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 584	0.010	0	584	584	0
130006-002	09/14/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 2,365	0.010	2,341	2,365-	2,365	
130006-004	09/15/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 1,083,239	0.010	0	1,083,239	1,083,239	0
130006-004	09/15/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 247,954	0.010	0	247,954	247,954	0
130006-002	09/15/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 526	0.010	520	526-	526	
130006-004	09/15/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 1,083,239	0.010	1,072,407	1,083,239-	1,083,239	
130006-004	09/15/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 110,909	0.010	109,800	110,909-	110,909	
130006-004	09/15/17 S	ISSUE: 99T792GA4 - UBS AG DEPOSIT 1,194,149	0.010	0	1,194,149	1,194,149	0
130006-007	09/18/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 111	0.010	110	111-	111	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS	
130006-003	09/18/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 310	0.010	306		310-	310	
130006-006	09/18/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,701	0.010	3,664		3,701-	3,701	
130006-002	09/18/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 1,461,506	0.010	1,446,891		1,461,506-	1,461,506	
130006-003	09/19/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 310	0.010	0		310	310	0
130006-004	09/19/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,046	0.010	0		2,046	2,046	0
130006-006	09/19/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,701	0.010	0		3,701	3,701	0
130006-002	09/19/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 318	0.010	315		318-	318	
130006-002	09/20/17 S	ISSUE: 99T792GA4 - UBS AG DEPOSIT 7,707,508	0.010	0		7,707,508 *	7,707,508	0
130006-007	09/20/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 111	0.010	0		111	111	0
130006-002	09/20/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 250,000	0.010	0		250,000	250,000	0
130006-002	09/20/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 6,168	0.010	6,107		6,168-	6,168	
130006-007	09/21/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 35	0.010	34		35-	35	
130006-003	09/25/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 110	0.010	108		110-	110	
130006-006	09/25/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 94	0.010	93		94-	94	
130006-007	09/25/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 18	0.010	18		18-	18	
130006-002	09/25/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 574	0.010	568		574-	574	
130006-002	09/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 258	0.010	256		258-	258	
130006-004	09/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 740	0.010	732		740-	740	
130006-006	09/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,443	0.010	1,428		1,443-	1,443	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	09/27/17 S	110	0.010	0	110	110	0
130006-007	09/29/17 B	15	0.010	15	15-	15	
130006-002	09/29/17 B	556	0.010	551	556-	556	
SUB-TOTAL				\$13938651	39,096,858	38,830,828	266,025
GRAND TOTAL				\$13938651	87,521,091	87,255,061	266,025

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
CATEGORY 3 - SERIES OF TRANSACTIONS IN SAME SECURITY EXCEEDS 5% OF VALUE							
ISSUE: 31420C787 - FEDERATED SHORT-TERM INC-Y							
130006-009	09/06/17 B	3,516,999	8.530	0	30,000,000-*	30,000,000	
130006-009	09/22/17 B	352,526	8.510	0	3,000,000-*	3,000,000	
SUB-TOTAL OF BUYS # 2				0	33,000,000	33,000,000	
SUB-TOTAL				0	33,000,000	33,000,000	0
ISSUE: 608919718 - FEDERATED GOV'T OBLIGATIONS CL PRM							
130006-009	09/06/17 B	100,000,000	1.000	0	100,000,000-*	100,000,000	
130006-009	09/06/17 B	1	1.000	0	1-	1	
130006-009	09/14/17 B	24,067,063	1.000	0	24,067,063-*	24,067,063	
130006-009	09/20/17 B	7,957,416	1.000	0	7,957,416-*	7,957,416	
130006-010	09/14/17 B	70,000,000	1.000	0	70,000,000-*	70,000,000	
SUB-TOTAL OF BUYS # 5				0	202,024,480	202,024,480	
130006-009	09/07/17 S	60,000,000	1.000	0	60,000,000 *	60,000,000	0
130006-009	09/07/17 S	1	1.000	0	1	1	0
130006-009	09/25/17 S	6,000,000	1.000	0	6,000,000 *	6,000,000	0
130006-010	09/15/17 S	4,994,126	1.000	0	4,994,126 *	4,994,126	0
130006-010	09/18/17 S	21,529,328	1.000	0	21,529,328 *	21,529,328	0
130006-010	09/19/17 S	8,829,841	1.000	0	8,829,841 *	8,829,841	0
130006-010	09/20/17 S	24,449,086	1.000	0	24,449,086 *	24,449,086	0
130006-010	09/21/17 S	5,208,131	1.000	0	5,208,131 *	5,208,131	0
130006-010	09/22/17 S	3,999,556	1.000	0	3,999,556 *	3,999,556	0
130006-010	09/25/17 S	828,194	1.000	0	828,194	828,194	0
SUB-TOTAL OF SALES # 10				0	135,838,263	135,838,263	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
SUB-TOTAL				0	337,862,743	337,862,743	0
ISSUE: 74676P698 - PUTNAM SHORT DUR INCOME-Y							
130006-009	09/06/17 B	2,979,146	10.070	0	30,000,000-*	30,000,000	
130006-009	09/22/17 B	297,915	10.070	0	3,000,000-*	3,000,000	
SUB-TOTAL OF BUYS # 2				0	33,000,000	33,000,000	
SUB-TOTAL				0	33,000,000	33,000,000	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-003	09/08/17 B	1,316,665	0.010	1,303,499	1,316,665-	1,316,665	
130006-003	09/25/17 B	110	0.010	108	110-	110	
130006-004	09/08/17 B	234,354	0.010	232,010	234,354-	234,354	
130006-004	09/11/17 B	740,712	0.010	733,305	740,712-	740,712	
130006-004	09/11/17 B	7	0.010	7	7-	7	
130006-004	09/12/17 B	108,167	0.010	107,085	108,167-	108,167	
130006-006	09/08/17 B	781,782	0.010	773,965	781,782-	781,782	
130006-006	09/11/17 B	274,699	0.010	271,952	274,699-	274,699	
130006-006	09/11/17 B	2	0.010	2	2-	2	
130006-006	09/25/17 B	94	0.010	93	94-	94	
130006-007	10/04/16 B	13,124	0.010	12,992	13,124-	13,124	
130006-007	10/12/16 B	1,772	0.010	1,754	1,772-	1,772	
130006-007	10/24/16 B	23	0.010	22	23-	23	
130006-007	10/25/16 B	10	0.010	10	10-	10	
130006-007	10/26/16 B	2,735	0.010	2,707	2,735-	2,735	
130006-007	10/31/16 B	70	0.010	69	70-	70	
130006-007	11/17/16 B	21	0.010	21	21-	21	
130006-007	11/18/16 B	7,752	0.010	7,674	7,752-	7,752	
130006-007	11/23/16 B	17	0.010	17	17-	17	
130006-007	11/23/16 B	6	0.010	6	6-	6	
130006-007	11/25/16 B	79	0.010	78	79-	79	
130006-007	11/28/16 B	1	0.010	1	1-	1	
130006-007	11/29/16 B	11	0.010	11	11-	11	
130006-007	11/30/16 B	55	0.010	54	55-	55	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	12/02/16 B	45	0.010	45	45-	45
130006-007	12/05/16 B	2,791	0.010	2,763	2,791-	2,791
130006-007	12/08/16 B	21	0.010	21	21-	21
130006-007	12/13/16 B	57	0.010	56	57-	57
130006-007	12/14/16 B	63	0.010	62	63-	63
130006-007	12/15/16 B	11	0.010	11	11-	11
130006-007	12/19/16 B	135	0.010	133	135-	135
130006-007	12/23/16 B	6	0.010	6	6-	6
130006-007	12/30/16 B	67	0.010	66	67-	67
130006-007	01/03/17 B	2	0.010	2	2-	2
130006-007	01/04/17 B	48	0.010	47	48-	48
130006-007	01/05/17 B	49	0.010	48	49-	49
130006-007	01/13/17 B	27	0.010	27	27-	27
130006-007	01/17/17 B	1,409	0.010	1,395	1,409-	1,409
130006-007	01/18/17 B	4,889	0.010	4,840	4,889-	4,889
130006-007	01/19/17 B	18	0.010	18	18-	18
130006-007	01/25/17 B	6	0.010	6	6-	6
130006-007	01/30/17 B	85	0.010	84	85-	85
130006-007	01/31/17 B	8	0.010	8	8-	8
130006-007	02/07/17 B	1,653	0.010	1,636	1,653-	1,653
130006-007	02/14/17 B	645	0.010	639	645-	645
130006-007	02/16/17 B	21	0.010	21	21-	21
130006-007	02/21/17 B	17	0.010	17	17-	17
130006-007	02/22/17 B	5	0.010	5	5-	5
130006-007	02/24/17 B	7	0.010	7	7-	7
130006-007	02/27/17 B	81	0.010	80	81-	81
130006-007	03/03/17 B	1,018	0.010	1,007	1,018-	1,018
130006-007	03/06/17 B	1,366	0.010	1,353	1,366-	1,366
130006-007	03/08/17 B	26	0.010	26	26-	26
130006-007	03/15/17 B	11	0.010	10	11-	11
130006-007	03/16/17 B	114	0.010	113	114-	114
130006-007	03/20/17 B	81	0.010	80	81-	81
130006-007	03/21/17 B	42	0.010	42	42-	42
130006-007	03/23/17 B	53	0.010	52	53-	53
130006-007	03/27/17 B	6,012	0.010	5,951	6,012-	6,012
130006-007	03/27/17 B	7	0.010	7	7-	7
130006-007	03/31/17 B	128	0.010	127	128-	128
130006-007	04/04/17 B	1,713	0.010	1,696	1,713-	1,713
130006-007	04/13/17 B	28	0.010	28	28-	28

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	04/18/17 B	14	0.010	14	14-	14
130006-007	04/19/17 B	80	0.010	79	80-	80
130006-007	04/24/17 B	11	0.010	11	11-	11
130006-007	04/27/17 B	7,225	0.010	7,153	7,225-	7,225
130006-007	04/28/17 B	3,328	0.010	3,295	3,328-	3,328
130006-007	05/12/17 B	17	0.010	17	17-	17
130006-007	05/15/17 B	4,280	0.010	4,237	4,280-	4,280
130006-007	05/17/17 B	20	0.010	19	20-	20
130006-007	05/24/17 B	10	0.010	10	10-	10
130006-007	05/30/17 B	93	0.010	92	93-	93
130006-007	05/31/17 B	25	0.010	25	25-	25
130006-007	06/02/17 B	43	0.010	43	43-	43
130006-007	06/12/17 B	89	0.010	88	89-	89
130006-007	06/13/17 B	60	0.010	60	60-	60
130006-007	06/14/17 B	26	0.010	26	26-	26
130006-007	06/15/17 B	53	0.010	52	53-	53
130006-007	06/21/17 B	1,099	0.010	1,088	1,099-	1,099
130006-007	06/22/17 B	23	0.010	23	23-	23
130006-007	06/23/17 B	31	0.010	30	31-	31
130006-007	06/26/17 B	1	0.010	1	1-	1
130006-007	06/26/17 B	11	0.010	11	11-	11
130006-007	06/30/17 B	67	0.010	66	67-	67
130006-007	07/05/17 B	65	0.010	64	65-	65
130006-007	07/06/17 B	35	0.010	34	35-	35
130006-007	07/11/17 B	8,384	0.010	8,300	8,384-	8,384
130006-007	07/12/17 B	10,186	0.010	10,084	10,186-	10,186
130006-007	07/13/17 B	23	0.010	23	23-	23
130006-007	07/18/17 B	14	0.010	14	14-	14
130006-007	07/24/17 B	32	0.010	31	32-	32
130006-007	07/25/17 B	16	0.010	16	16-	16
130006-007	07/28/17 B	7,835	0.010	7,756	7,835-	7,835
130006-007	08/03/17 B	16	0.010	16	16-	16
130006-007	08/16/17 B	20	0.010	19	20-	20
130006-007	08/24/17 B	7,091	0.010	7,020	7,091-	7,091
130006-007	08/25/17 B	20	0.010	20	20-	20
130006-007	08/28/17 B	83	0.010	82	83-	83
130006-007	08/29/17 B	1,595	0.010	1,579	1,595-	1,595
130006-007	08/30/17 B	12,062	0.010	11,941	12,062-	12,062
130006-007	08/31/17 B	2,011	0.010	1,991	2,011-	2,011

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	09/06/17 B	29	0.010	29	29-	29	
130006-007	09/07/17 B	53	0.010	52	53-	53	
130006-007	09/08/17 B	43	0.010	43	43-	43	
130006-007	09/13/17 B	60	0.010	60	60-	60	
130006-007	09/18/17 B	111	0.010	110	111-	111	
130006-007	09/21/17 B	35	0.010	34	35-	35	
130006-007	09/25/17 B	18	0.010	18	18-	18	
130006-007	09/29/17 B	15	0.010	15	15-	15	
SUB-TOTAL OF BUYS		# 110		3,535,768	3,571,491	3,571,491	
130006-003	09/11/17 S	1,316,665	0.010	0	1,316,665	1,316,665	0
130006-003	09/27/17 S	110	0.010	0	110	110	0
130006-004	09/15/17 S	1,083,239	0.010	0	1,083,239	1,083,239	0
130006-006	09/12/17 S	1,056,483	0.010	0	1,056,483	1,056,483	0
130006-007	10/06/16 S	3,079	0.010	0	3,079	3,079	0
130006-007	10/13/16 S	3,928	0.010	0	3,928	3,928	0
130006-007	10/14/16 S	6,081	0.010	0	6,081	6,081	0
130006-007	10/17/16 S	3,543	0.010	0	3,543	3,543	0
130006-007	10/18/16 S	13,181	0.010	0	13,181	13,181	0
130006-007	10/25/16 S	1,572	0.010	0	1,572	1,572	0
130006-007	11/08/16 S	2,441	0.010	0	2,441	2,441	0
130006-007	11/09/16 S	1,832	0.010	0	1,832	1,832	0
130006-007	11/14/16 S	2,359	0.010	0	2,359	2,359	0
130006-007	12/01/16 S	453	0.010	0	453	453	0
130006-007	12/06/16 S	12,429	0.010	0	12,429	12,429	0
130006-007	12/07/16 S	50	0.010	0	50	50	0
130006-007	01/23/17 S	3,103	0.010	0	3,103	3,103	0
130006-007	01/24/17 S	4,846	0.010	0	4,846	4,846	0
130006-007	01/25/17 S	1,520	0.010	0	1,520	1,520	0
130006-007	02/10/17 S	439	0.010	0	439	439	0
130006-007	02/15/17 S	1,113	0.010	0	1,113	1,113	0
130006-007	02/28/17 S	33	0.010	0	33	33	0
130006-007	03/01/17 S	1,819	0.010	0	1,819	1,819	0
130006-007	04/05/17 S	1,357	0.010	0	1,357	1,357	0
130006-007	04/20/17 S	4,584	0.010	0	4,584	4,584	0
130006-007	04/21/17 S	2,138	0.010	0	2,138	2,138	0
130006-007	04/24/17 S	3,302	0.010	0	3,302	3,302	0
130006-007	05/05/17 S	1,243	0.010	0	1,243	1,243	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	05/08/17 S	774	0.010	0	774	774	0
130006-007	05/09/17 S	1,085	0.010	0	1,085	1,085	0
130006-007	05/10/17 S	4,493	0.010	0	4,493	4,493	0
130006-007	05/11/17 S	1,878	0.010	0	1,878	1,878	0
130006-007	05/16/17 S	1,420	0.010	0	1,420	1,420	0
130006-007	05/25/17 S	2	0.010	0	2	2	0
130006-007	06/16/17 S	1,687	0.010	0	1,687	1,687	0
130006-007	06/19/17 S	2,756	0.010	0	2,756	2,756	0
130006-007	07/25/17 S	1,632	0.010	0	1,632	1,632	0
130006-007	07/31/17 S	6,648	0.010	0	6,648	6,648	0
130006-007	08/01/17 S	4,835	0.010	0	4,835	4,835	0
130006-007	08/02/17 S	2,421	0.010	0	2,421	2,421	0
130006-007	08/04/17 S	2,943	0.010	0	2,943	2,943	0
130006-007	09/11/17 S	42,880	0.010	0	42,880	42,880	0
130006-007	09/14/17 S	584	0.010	0	584	584	0
130006-007	09/20/17 S	111	0.010	0	111	111	0
SUB-TOTAL OF SALES # 44				0	3,609,091	3,609,091	0
SUB-TOTAL				3,535,768	7,180,582	7,180,582	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 97181C704 - WILMINGTON US GOVT MONEY MKT CL SLCT							
130006-000	10/03/16 B	1	1.000	0	1-	1	
130006-000	11/01/16 B	1	1.000	0	1-	1	
130006-000	11/09/16 B	23,138	1.000	0	23,138-	23,138	
130006-000	12/01/16 B	1	1.000	0	1-	1	
130006-000	01/03/17 B	1	1.000	0	1-	1	
130006-000	02/01/17 B	2	1.000	0	2-	2	
130006-000	03/01/17 B	2	1.000	0	2-	2	
130006-000	04/03/17 B	3	1.000	0	3-	3	
130006-000	05/01/17 B	4	1.000	0	4-	4	
130006-000	05/17/17 B	191,830,917	1.000	0	191,830,917-*	191,830,917	
130006-000	06/01/17 B	34,483	1.000	0	34,483-	34,483	
130006-000	07/03/17 B	83,796	1.000	0	83,796-	83,796	
130006-000	08/01/17 B	102,881	1.000	0	102,881-	102,881	
130006-000	09/01/17 B	111,503	1.000	0	111,503-	111,503	
130006-000	09/05/17 B	1,889,021	1.000	0	1,889,021-	1,889,021	
130006-010	09/06/17 B	1	1.000	0	1-	1	
130006-010	09/14/17 B	70,000,000	1.000	0	70,000,000-*	70,000,000	
130006-010	09/26/17 B	11,250	1.000	0	11,250-	11,250	
SUB-TOTAL OF BUYS # 18				0	264,087,005	264,087,005	
130006-000	11/10/16 S	23,138	1.000	0	23,138	23,138	0
130006-000	09/06/17 S	100,000,000	1.000	0	100,000,000 *	100,000,000	0
130006-000	09/14/17 S	94,067,063	1.000	0	94,067,063 *	94,067,063	0
130006-010	09/07/17 S	1	1.000	0	1	1	0
130006-010	09/14/17 S	70,000,000	1.000	0	70,000,000 *	70,000,000	0
SUB-TOTAL OF SALES # 5				0	264,090,202	264,090,202	0
SUB-TOTAL				0	528,177,207	528,177,207	0

ISSUE: 99T792GA4 - UBS AG DEPOSIT

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	09/08/17 B	762,557	0.010	754,931	762,557-	762,557	
130006-002	09/11/17 B	3,890,994	0.010	3,852,084	3,890,994-*	3,890,994	
130006-002	09/11/17 B	4	0.010	4	4-	4	
130006-002	09/12/17 B	1,582,436	0.010	1,566,611	1,582,436-	1,582,436	
130006-002	09/13/17 B	635	0.010	629	635-	635	
130006-002	09/14/17 B	2,365	0.010	2,341	2,365-	2,365	
130006-002	09/15/17 B	526	0.010	520	526-	526	
130006-002	09/18/17 B	1,461,506	0.010	1,446,891	1,461,506-	1,461,506	
130006-002	09/19/17 B	318	0.010	315	318-	318	
130006-002	09/20/17 B	6,168	0.010	6,107	6,168-	6,168	
130006-004	09/15/17 B	1,083,239	0.010	1,072,407	1,083,239-	1,083,239	
130006-004	09/15/17 B	110,909	0.010	109,800	110,909-	110,909	
SUB-TOTAL OF BUYS # 12			8,812,640		8,901,657	8,901,657	
130006-002	09/20/17 S	7,707,508	0.010	0	7,707,508 *	7,707,508	0
130006-004	09/15/17 S	1,194,149	0.010	0	1,194,149	1,194,149	0
SUB-TOTAL OF SALES # 2			0		8,901,657	8,901,657	0
SUB-TOTAL			8,812,640		17,803,314	17,803,314	0
GRAND TOTAL			\$13938651		957,023,846	957,023,846	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
CATEGORY 4 - SINGLE TRANSACTION WITH ONE BROKER EXCEEDS 5% OF VALUE							
BROKER: EXTERNAL BROKER							
130006-008	10/03/16 B	198	7.610	0	1,509-	1,509	
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-007	10/03/16 B	214	14.388	0	3,079-	3,079	
ISSUE: 63938C108 - NAVIENT CORP							
130006-003	10/03/16 B	858	0.010	849	858-	858	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	10/03/16 B	485	0.010	480	485-	485	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	10/03/16 B	436	0.010	431	436-	436	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	10/04/16 B	13,124	0.010	12,992	13,124-	13,124	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002	10/04/16 B	790	0.010	782	790-	790	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003	10/04/16 B	1,821	0.010	1,803	1,821-	1,821	
ISSUE: 08579W103 - BERRY PLASTICS G							
130006-007	10/05/16 B	145	43.423	0	6,296-	6,296	
ISSUE: 29084Q100 - EMCOR GROUP INC							
130006-007	10/05/16 B	72	60.337	0	4,344-	4,344	
ISSUE: 913903100 - UNVL HEALTH SERVICES INC CL B							
130006-007	10/05/16 S	66	120.614	0	7,961	8,133	-172
ISSUE: 29084Q100 - EMCOR GROUP INC							
130006-007	10/06/16 B	14	59.839	0	838-	838	
ISSUE: 830566105 - SKECHERS USA							
130006-007	10/06/16 S	5	22.762	0	114	115	-1
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	10/06/16 S	3,079	0.010	0	3,079	3,079	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002	10/06/16 B	0	0.010	0	0-	0	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	10/06/16 B	0	0.010	0	0-	0	
130006-004	10/06/16 B	0	0.010	0	0-	0	
130006-004	10/07/16 B	90,000	0.010	89,100	90,000-	90,000	
130006-002	10/10/16 B	493	86.720	0	42,753-	42,753	
130006-007	10/10/16 B	55	51.705	0	2,844-	2,844	
130006-007	10/10/16 B	19	59.485	0	1,130-	1,130	
130006-007	10/10/16 S	2	23.225	0	46	46	1
130006-002	10/10/16 S	458	83.338	0	38,169	38,440	-271
130006-007	10/11/16 B	142	43.015	0	6,108-	6,108	
130006-007	10/12/16 B	126	28.237	0	3,558-	3,558	
130006-007	10/12/16 B	1,772	0.010	1,754	1,772-	1,772	
130006-007	10/13/16 B	169	53.836	0	9,098-	9,098	
130006-007	10/13/16 B	145	28.156	0	4,083-	4,083	
130006-007	10/13/16 S	3,928	0.010	0	3,928	3,928	0
130006-002	10/13/16 S	3,905	0.010	0	3,905	3,905	0
130006-006	10/13/16 S	6,803	0.010	0	6,803	6,803	0
130006-004	10/13/16 B	90,000	1.000	0	89,984-	89,984	
130006-007	10/14/16 S	6,081	0.010	0	6,081	6,081	0
130006-004	10/14/16 S	89,984	0.010	0	89,984	89,984	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	10/17/16 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 3,543	0.010	0	3,543	3,543	0
130006-007	10/18/16 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 13,181	0.010	0	13,181	13,181	0
130006-003	10/18/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 210	0.010	208	210-	210	
130006-004	10/18/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,846	0.010	2,817	2,846-	2,846	
130006-006	10/18/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 5,084	0.010	5,033	5,084-	5,084	
130006-007	10/20/16 B	ISSUE: 040413106 - ARISTA NETWORKS INC 27	81.867	0	2,210-	2,210	
130006-007	10/20/16 S	ISSUE: 315616102 - F5 NETWORKS INC 91	118.314	0	10,767	11,342	-576
130006-007	10/20/16 B	ISSUE: 59408Q106 - MICHAELS COS INC/THE 62	23.937	0	1,484-	1,484	
130006-004	10/20/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,698	0.010	2,671	2,698-	2,698	
130006-006	10/20/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 5,624	0.010	5,568	5,624-	5,624	
130006-008	10/20/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 264	0.010	261	264-	264	
130006-004	10/20/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,698	0.010	0	2,698	2,698	0
130006-006	10/20/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 5,624	0.010	0	5,624	5,624	0
130006-007	10/20/16 B	ISSUE: 911363109 - UNITED RENTALS INC COM 42	79.253	0	3,329-	3,329	
130006-007	10/21/16 B	ISSUE: 59408Q106 - MICHAELS COS INC/THE 42	24.015	0	1,009-	1,009	
130006-008	10/24/16 S	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 228	7.670	0	1,748	1,735	14
130006-007	10/24/16 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 23	0.010	22	23-	23	
130006-002	10/24/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 235	0.010	232	235-	235	
130006-002	10/24/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,903	0.010	0	2,903	2,903	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 10/24/16 S	2,231	0.010	0	2,231	2,231	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 10/24/16 S	2,026	0.010	0	2,026	2,026	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 10/24/16 S	2,042	0.010	0	2,042	2,042	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-008 10/24/16 S	264	0.010	0	264	264	0
ISSUE: 912828B74 - U.S. TREASURY NOTES 0.625% 2/15/17						
130006-003 10/24/16 S	18,000	1.001	0	18,013	18,017	-5
ISSUE: 912828C32 - U.S. TREASURY NOTES 0.75% 3/15/17						
130006-003 10/24/16 S	18,000	1.001	0	18,021	18,024	-3
ISSUE: 345370CQ1 - FORD MOTOR CO 4.75% 1/15/43						
130006-006 10/25/16 S	26,000	1.006	0	26,170	26,936	-766
ISSUE: 375558BD4 - GILEAD SCIENCES 4.75% 3/01/46						
130006-006 10/25/16 B	26,000	1.114	0	28,955-	28,955	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 10/25/16 B	10	0.010	10	10-	10	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 10/25/16 S	1,572	0.010	0	1,572	1,572	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 10/26/16 B	2,735	0.010	2,707	2,735-	2,735	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 10/26/16 B	9,888	0.010	9,789	9,888-	9,888	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 10/26/16 B	2,248	0.010	2,225	2,248-	2,248	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 10/27/16 S	2,939	0.010	0	2,939	2,939	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 10/28/16 S	2,628	0.010	0	2,628	2,628	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 10/31/16 B	70	0.010	69	70-	70	
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST						
130006-008 11/01/16 B	206	7.580	0	1,565-	1,565	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 11/01/16 B	23	0.010	22	23-	23	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 11/01/16 B	158	0.010	156	158-	158	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 11/02/16 B	1,863	0.010	1,844	1,863-	1,863	
ISSUE: 17243V102 - CINEMARK HOLDINGS INC						
130006-007 11/03/16 B	61	40.017	0	2,441-	2,441	
ISSUE: 17243V102 - CINEMARK HOLDINGS INC						
130006-007 11/04/16 B	46	39.816	0	1,832-	1,832	
ISSUE: 29379VAV5 - ENTERPRISE PRODUCTS 5.7% 2/15/42						
130006-006 11/04/16 B	1,000	1.135	0	1,135-	1,135	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 11/04/16 B	210	0.010	207	210-	210	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 11/04/16 B	0	0.010	0	0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 11/04/16 B	30,446	0.010	30,142	30,446-	30,446	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 11/04/16 B	0	0.010	0	0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 11/04/16 B	0	0.010	0	0-	0	
ISSUE: 912828C32 - U.S. TREASURY NOTES 0.75% 3/15/17						
130006-003 11/04/16 S	59,000	1.001	0	59,064	59,078	-14
ISSUE: 912828K66 - U.S. TREASURY NOTES 0.5% 4/30/17						
130006-003 11/04/16 S	63,000	1.000	0	62,997	62,986	11
ISSUE: 912828T83 - U.S. TREASURY NOTES 0.75% 10/31/18						
130006-003 11/04/16 B	123,000	0.999	0	122,885-	122,885	
ISSUE: G66721104 - NORWEGIAN CRUISE LINE HOLDINGS						
130006-007 11/07/16 S	251	37.626	0	9,444	9,463	-19
ISSUE: 26969P108 - EAGLE MATERIALS INC COM W RIGHTS						
130006-007 11/08/16 B	69	85.467	0	5,897-	5,897	
ISSUE: 74978Q105 - RSP PERMIAN INC						
130006-007 11/08/16 B	154	38.533	0	5,934-	5,934	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 11/08/16 S	2,441	0.010	0	2,441	2,441	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 11/08/16 B	326	0.010	323	326-	326	
ISSUE: 742718DY2 - PROCTER & GAMBLE CO 2.3% 2/06/22						
130006-004 11/09/16 B	28,000	1.022	0	28,626-	28,626	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 11/09/16 S	1,832	0.010	0	1,832	1,832	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	11/09/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 771	0.010	0	771	771	0
130006-006	11/09/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,148	0.010	0	1,148	1,148	0
130006-007	11/14/16 S	ISSUE: 827048109 - SILGAN HOLDINGS INCORPORATED 151	51.338	0	7,752	7,639	113
130006-007	11/14/16 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 2,359	0.010	0	2,359	2,359	0
130006-004	11/14/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 90,000	0.010	89,100	90,000-	90,000	
130006-006	11/14/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 4,881	0.010	0	4,881	4,881	0
130006-004	11/15/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 28,803	0.010	0	28,803	28,803	0
130006-006	11/15/16 B	ISSUE: 912810RG5 - U.S. TREASURY BONDS 3.375% 5/15/44 3,000	1.081	0	3,243-	3,243	
130006-006	11/15/16 B	ISSUE: 912810RM2 - U.S. TREASURY BONDS 3% 5/15/45 2,000	1.004	0	2,008-	2,008	
130006-004	11/15/16 S	ISSUE: 912828M56 - U.S. TREASURY NOTES 2.25% 11/15/25 70,000	1.003	0	70,213	73,894	-3,681
130006-004	11/15/16 B	ISSUE: 912828T42 - U.S. TREASURY NOTES 0.75% 9/30/18 58,000	0.995	0	57,728-	57,728	
130006-004	11/15/16 B	ISSUE: 912828T67 - U.S. TREASURY NOTES 1.25% 10/31/21 103,000	0.981	0	101,012-	101,012	
130006-002	11/16/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 804	0.010	796	804-	804	
130006-004	11/16/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 85,933	0.010	0	85,933	85,933	0
130006-006	11/16/16 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 500	0.010	0	500	500	0
130006-007	11/17/16 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 21	0.010	21	21-	21	
130006-004	11/17/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 745	0.010	738	745-	745	
130006-006	11/17/16 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,215	0.010	2,193	2,215-	2,215	
130006-006	11/17/16 B	ISSUE: 912810RM2 - U.S. TREASURY BONDS 3% 5/15/45 1,000	1.006	0	1,006-	1,006	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 11/18/16 B	7,752	0.010		7,674		7,752-	7,752
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 11/18/16 S	2,047	0.010		0		2,047	2,047 0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 11/22/16 B	306	0.010		303		306-	306
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 11/22/16 B	813	0.010		804		813-	813
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 11/23/16 B	17	0.010		17		17-	17
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 11/23/16 B	6	0.010		6		6-	6
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 11/25/16 B	79	0.010		78		79-	79
ISSUE: G50871105 - JAZZ PHARMACEUTICALS PLC							
130006-007 11/28/16 S	27	108.536		0		2,930	3,280 -349
ISSUE: 009728106 - AKORN INC							
130006-007 11/28/16 S	63	22.466		0		1,415	1,717 -302
ISSUE: 12650T104 - CSRA INC							
130006-007 11/28/16 B	51	31.869		0		1,625-	1,625
ISSUE: 683745103 - OPTHOTEC CORP							
130006-007 11/28/16 B	91	34.877		0		3,174-	3,174
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 11/28/16 B	1	0.010		1		1-	1
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 11/28/16 B	917	0.010		908		917-	917
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 11/28/16 B	2,215	0.010		2,193		2,215-	2,215
ISSUE: G50871105 - JAZZ PHARMACEUTICALS PLC							
130006-007 11/29/16 S	35	106.325		0		3,721	4,252 -530
ISSUE: 009728106 - AKORN INC							
130006-007 11/29/16 S	250	22.063		0		5,516	6,815 -1,299
ISSUE: 12650T104 - CSRA INC							
130006-007 11/29/16 B	200	32.370		0		6,474-	6,474
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 11/29/16 B	11	0.010		11		11-	11
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 11/30/16 B	55	0.010		54		55-	55

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	11/30/16 B	139	0.010	137	139-	139	
130006-007	12/01/16 B	66	45.373	0	2,995-	2,995	
130006-008	12/01/16 B	201	7.560	0	1,523-	1,523	
130006-007	12/01/16 B	91	51.785	0	4,712-	4,712	
130006-007	12/01/16 B	279	16.925	0	4,722-	4,722	
130006-007	12/01/16 S	453	0.010	0	453	453	0
130006-003	12/01/16 B	63	0.010	62	63-	63	
130006-008	12/02/16 B	31	31.520	0	993-	993	
130006-007	12/02/16 B	45	0.010	45	45-	45	
130006-002	12/02/16 B	321	0.010	318	321-	321	
130006-003	12/02/16 B	1,721	0.010	1,704	1,721-	1,721	
130006-004	12/02/16 B	11,163	0.010	11,052	11,163-	11,163	
130006-007	12/05/16 B	2,791	0.010	2,763	2,791-	2,791	
130006-004	12/05/16 B	388	0.010	384	388-	388	
130006-007	12/06/16 S	12,429	0.010	0	12,429	12,429	0
130006-002	12/06/16 B	413	0.010	409	413-	413	
130006-002	12/06/16 B	0	0.010	0	0-	0	
130006-004	12/06/16 B	0	0.010	0	0-	0	
130006-007	12/07/16 S	50	0.010	0	50	50	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/07/16 S	50	0.010	0		50	50	0
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/07/16 S	50	0.010	0		50	50	0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/07/16 S	50	0.010	0		50	50	0
130006-005	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/07/16 S	2	0.010	0		2	2	0
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/07/16 S	50	0.010	0		50	50	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 12/08/16 B	21	0.010	21		21-	21	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/08/16 B	360	0.010	356		360-	360	
130006-006	ISSUE: 912810RG5 - U.S. TREASURY BONDS 3.375% 5/15/44 12/08/16 S	36,000	1.054	0		37,929	43,507	-5,577
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/09/16 B	290	0.010	287		290-	290	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/12/16 B	589	0.010	583		589-	589	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/12/16 B	38,010	0.010	37,630		38,010-	38,010	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 12/13/16 B	57	0.010	56		57-	57	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/13/16 B	547	0.010	541		547-	547	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/13/16 S	38,851	0.010	0		38,851	38,851	0
130006-008	ISSUE: 317609667 - JEFFERIES ASSET MGMT COMODITY ST ALL 12/14/16 B	30	7.690	0		230-	230	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 12/14/16 B	63	0.010	62		63-	63	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 12/14/16 B	377	0.010	373		377-	377	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 12/15/16 B	11	0.010	11		11-	11	
130006-008	ISSUE: 412295305 - HARDING LOEVNER EMRG MKTS FD CL-ADV 12/16/16 B	26	43.669	0		1,131-	1,131	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	12/16/16 B	1,415	0.010	1,401	1,415-	1,415	
130006-004	12/16/16 B	1,612	0.010	1,596	1,612-	1,612	
130006-006	12/16/16 B	3,289	0.010	3,256	3,289-	3,289	
130006-007	12/19/16 B	135	0.010	133	135-	135	
130006-006	12/19/16 B	16,995	0.010	16,825	16,995-	16,995	
130006-002	12/20/16 B	294	0.010	291	294-	294	
130006-004	12/20/16 B	308	0.010	304	308-	308	
130006-002	12/21/16 B	391	0.010	387	391-	391	
130006-004	12/21/16 B	167	0.010	166	167-	167	
130006-008	12/22/16 B	155	7.630	0	1,183-	1,183	
130006-006	12/22/16 B	1,000	1.379	0	1,379-	1,379	
130006-006	12/22/16 B	2,000	0.974	0	1,947-	1,947	
130006-006	12/22/16 B	2,000	1.004	0	2,007-	2,007	
130006-007	12/23/16 B	6	0.010	6	6-	6	
130006-006	12/23/16 S	7,735	0.010	0	7,735	7,735	0
130006-006	12/27/16 B	2,000	1.295	0	2,589-	2,589	
130006-006	12/27/16 B	2,000	1.026	0	2,052-	2,052	
130006-006	12/27/16 B	2,000	1.059	0	2,118-	2,118	
130006-004	12/28/16 B	610	0.010	604	610-	610	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 12/28/16 B	1,609	0.010	1,593	1,609-	1,609	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 12/30/16 B	67	0.010	66	67-	67	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 12/30/16 B	1,764	0.010	1,746	1,764-	1,764	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 12/30/16 S	6,872	0.010	0	6,872	6,872	0
ISSUE: G0084W101 - ADIENT PLC						
130006-002 01/03/17 S	79	58.649	0	4,633	3,680	953
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST						
130006-008 01/03/17 B	199	7.640	0	1,519-	1,519	
ISSUE: 09247X101 - BLACKROCK INC						
130006-002 01/03/17 B	113	385.750	0	43,590-	43,590	
ISSUE: 25746U109 - DOMINION RESOURCES INC						
130006-002 01/03/17 S	558	76.449	0	42,659	41,443	1,216
ISSUE: 52470G734 - LEGG MASON PART SMASH-EC						
130006-006 01/03/17 B	375	9.100	0	3,409-	3,409	
ISSUE: 52470G759 - LEGG MASON PART SMASH-M						
130006-006 01/03/17 B	189	10.610	0	2,010-	2,010	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 01/03/17 B	2	0.010	2	2-	2	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 01/03/17 B	0	0.010	0	0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 01/03/17 B	1	0.010	0	1-	1	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 01/03/17 B	0	0.010	0	0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 01/03/17 S	5,419	0.010	0	5,419	5,419	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 01/04/17 B	48	0.010	47	48-	48	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 01/04/17 B	2,405	0.010	2,381	2,405-	2,405	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 01/05/17 B	49	0.010	48	49-	49	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 01/06/17 B	0	0.010	0	0-	0	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 01/06/17 B	0	0.010	0		0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 01/09/17 B	4,158	0.010	4,116		4,158-	4,158	
ISSUE: G51502105 - JOHNSON CONTROLS INTERNATIONAL PLC							
130006-002 01/10/17 B	219	42.760	0		9,364-	9,364	
ISSUE: 166764100 - CHEVRON CORP							
130006-002 01/10/17 B	373	115.300	0		43,007-	43,007	
ISSUE: 57060D108 - MARKETAXESS HOLDINGS INC							
130006-007 01/10/17 S	9	156.536	0		1,409	1,490	-81
ISSUE: 74005P104 - PRAXAIR INC COM							
130006-002 01/10/17 B	71	116.705	0		8,286-	8,286	
ISSUE: 773903109 - ROCKWELL AUTOMATION INC.							
130006-002 01/10/17 S	59	138.842	0		8,192	7,218	974
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 01/10/17 B	514	0.010	509		514-	514	
ISSUE: 921908844 - VANGUARD DIVIDEND APPRECIATION ETF							
130006-002 01/10/17 S	540	85.698	0		46,277	45,322	955
ISSUE: 57060D108 - MARKETAXESS HOLDINGS INC							
130006-007 01/11/17 S	31	156.388	0		4,848	5,133	-285
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 01/12/17 B	160	0.010	158		160-	160	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 01/13/17 B	27	0.010	27		27-	27	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 01/13/17 S	6,189	0.010	0		6,189	6,189	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 01/13/17 S	10,882	0.010	0		10,882	10,882	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 01/17/17 B	1,409	0.010	1,395		1,409-	1,409	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 01/17/17 B	169	0.010	167		169-	169	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 01/17/17 S	3,615	0.010	0		3,615	3,615	0
ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC							
130006-007 01/18/17 B	25	124.410	0		3,110-	3,110	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 01/18/17 B	4,889	0.010	4,840		4,889-	4,889	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	01/18/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,546	0.010	3,510	3,546-	3,546
130006-006	01/18/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,501	0.010	3,466	3,501-	3,501
130006-007	01/19/17 B	ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC 39	124.250	0	4,846-	4,846
130006-007	01/19/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 18	0.010	18	18-	18
130006-006	01/20/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,151	0.010	1,140	1,151-	1,151
130006-007	01/23/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 3,103	0.010	0	3,103	3,103
130006-002	01/23/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 235	0.010	232	235-	235
130006-008	01/24/17 S	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 255	7.690	0	1,958	1,938
130006-007	01/24/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 4,846	0.010	0	4,846	4,846
130006-003	01/24/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 190	0.010	188	190-	190
130006-006	01/24/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,517	0.010	1,502	1,517-	1,517
130006-002	01/24/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,008	0.010	0	3,008	3,008
130006-003	01/24/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,180	0.010	0	2,180	2,180
130006-004	01/24/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,946	0.010	0	1,946	1,946
130006-006	01/24/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,957	0.010	0	1,957	1,957
130006-007	01/25/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 6	0.010	6	6-	6
130006-007	01/25/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 1,520	0.010	0	1,520	1,520
130006-004	01/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 8,081	0.010	8,000	8,081-	8,081
130006-006	01/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,151	0.010	2,129	2,151-	2,151

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 01/30/17 B	85	0.010	84	85-	85
130006-002	ISSUE: 30231G102 - EXXON MOBIL CORP 01/31/17 S	488	84.208	0	41,094	42,593 -1,499
130006-002	ISSUE: 867224107 - SUNCOR ENERGY INC 01/31/17 B	1,403	31.010	0	43,507-	43,507
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 01/31/17 B	8	0.010	8	8-	8
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 01/31/17 B	38	0.010	38	38-	38
130006-007	ISSUE: 08579W103 - BERRY PLASTICS G 02/01/17 B	57	51.455	0	2,933-	2,933
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 02/01/17 B	211	7.700	0	1,628-	1,628
130006-007	ISSUE: 26969P108 - EAGLE MATERIALS INC COM W RIGHTS 02/01/17 B	27	103.433	0	2,793-	2,793
130006-007	ISSUE: 683745103 - OPHTHOTECH CORP 02/01/17 S	193	4.713	0	910	7,879 -6,969
130006-007	ISSUE: 87336U105 - TABLEAU SOFTWARE INC 02/01/17 S	134	48.276	0	6,469	7,406 -937
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/01/17 B	4,000	0.010	3,960	4,000-	4,000
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/02/17 B	1,718	0.010	1,700	1,718-	1,718
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/02/17 B	1,777	0.010	1,759	1,777-	1,777
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/03/17 S	2,167	0.010	0	2,167	2,167 0
130006-003	ISSUE: 912828N55 - U.S. TREASURY NOTES 1% 12/31/17 02/03/17 S	82,000	1.001	0	82,118	82,289 -170
130006-003	ISSUE: 912828SY7 - U.S. TREASURY NOTES 0.625% 5/31/17 02/03/17 S	20,000	1.000	0	20,005	20,004 1
130006-003	ISSUE: 912828V56 - U.S. TREASURY NOTES 1.125% 1/31/19 02/03/17 B	107,000	0.999	0	106,892-	106,892
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/06/17 B	0	0.010	0	0-	0
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 02/06/17 B	0	0.010	0	0-	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	02/06/17 B	1	0.010	1	1-	1	
130006-007	02/07/17 S	32	25.089	0	803	766	36
130006-007	02/07/17 B	13	97.728	0	1,270-	1,270	
130006-007	02/07/17 B	1,653	0.010	1,636	1,653-	1,653	
130006-004	02/07/17 B	322	0.010	319	322-	322	
130006-007	02/08/17 S	151	24.917	0	3,762	3,616	146
130006-007	02/08/17 B	32	97.409	0	3,117-	3,117	
130006-003	02/08/17 S	4,682	0.010	0	4,682	4,682	0
130006-002	02/09/17 S	16	117.078	0	1,873	1,955	-81
130006-007	02/09/17 S	32	25.142	0	805	766	38
130006-007	02/09/17 S	7	16.833	0	118	127	-9
130006-007	02/09/17 S	8	85.919	0	687	619	68
130006-002	02/09/17 S	133	31.503	0	4,190	4,159	31
130006-002	02/09/17 B	5	41.390	0	207-	207	
130006-002	02/09/17 B	64	75.690	0	4,844-	4,844	
130006-002	02/09/17 S	21	131.847	0	2,769	2,639	130
130006-007	02/09/17 S	3	117.207	0	352	337	15
130006-007	02/09/17 S	25	19.700	0	492	485	8
130006-007	02/09/17 S	8	61.909	0	495	379	117

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	02/09/17 S	2	163.405	0	327	289	37
130006-007	02/09/17 S	12	96.398	0	1,157	1,125	32
130006-007	02/09/17 S	13	97.818	0	1,272	1,096	176
130006-007	02/09/17 S	6	104.818	0	629	641	-12
130006-007	02/09/17 S	11	54.629	0	601	422	179
130006-007	02/09/17 S	7	61.800	0	433	362	71
130006-007	02/09/17 S	20	40.329	0	807	875	-69
130006-008	02/09/17 S	2,578	7.730	0	19,928	19,619	309
130006-002	02/09/17 S	2	378.340	0	757	772	-15
130006-007	02/09/17 S	4	162.248	0	649	621	28
130006-002	02/09/17 S	3	125.997	0	378	383	-5
130006-007	02/09/17 S	12	43.099	0	517	559	-41
130006-007	02/09/17 S	8	67.609	0	541	542	-1
130006-007	02/09/17 S	11	86.058	0	947	891	55
130006-007	02/09/17 S	30	31.449	0	943	839	104
130006-007	02/09/17 S	19	30.770	0	585	613	-28
130006-002	02/09/17 B	50	77.420	0	3,871-	3,871	
130006-007	02/09/17 S	6	81.388	0	488	520	-32
130006-007	02/09/17 S	12	69.548	0	835	804	31

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	02/09/17 S	10	48.569	0	486	436	50
ISSUE: 16411R208 - CHENIERE ENERGY INC							
130006-002	02/09/17 B	2	112.250	0	225-	225	
ISSUE: 166764100 - CHEVRON CORP							
130006-007	02/09/17 S	5	55.438	0	277	225	52
ISSUE: 16949N109 - CHINA LODGING GROUP SPONS ADR							
130006-007	02/09/17 S	1	41.730	0	42	40	2
ISSUE: 17243V102 - CINEMARK HOLDINGS INC							
130006-002	02/09/17 B	45	41.290	0	1,858-	1,858	
ISSUE: 191216100 - COCA COLA CO COM							
130006-002	02/09/17 B	62	66.585	0	4,128-	4,128	
ISSUE: 194162103 - COLGATE PALMOLIVE CO COM							
130006-002	02/09/17 S	101	73.164	0	7,390	6,364	1,026
ISSUE: 224399105 - CRANE CO							
130006-007	02/09/17 S	13	57.119	0	743	509	233
ISSUE: 238337109 - DAVE & BUSTER'S ENTERTAINMENT							
130006-007	02/09/17 S	8	79.520	0	636	701	-65
ISSUE: 252131107 - DEXCOM INC							
130006-002	02/09/17 B	1	114.310	0	114-	114	
ISSUE: 25243Q205 - DIAGEO PLC SPONSORED ADR							
130006-007	02/09/17 S	8	103.688	0	830	772	57
ISSUE: 25278X109 - DIAMONDBACK ENERGY INC							
130006-007	02/09/17 S	10	48.619	0	486	543	-57
ISSUE: 25659T107 - DOLBY LABORATORIES INC CL A							
130006-007	02/09/17 S	19	54.190	0	1,030	987	42
ISSUE: 265504100 - DUNKIN' BRANDS GROUP INC							
130006-007	02/09/17 S	5	104.818	0	524	453	71
ISSUE: 26969P108 - EAGLE MATERIALS INC COM W RIGHTS							
130006-007	02/09/17 S	4	68.718	0	275	240	34
ISSUE: 29084Q100 - EMCOR GROUP INC							
130006-007	02/09/17 S	32	20.010	0	640	557	83
ISSUE: 29362U104 - ENTEGRIS INC							
130006-008	02/09/17 S	1,267	7.700	0	9,757	9,339	418
ISSUE: 317609667 - JEFFERIES ASSET MGMT COMODITY ST ALL							
130006-007	02/09/17 S	11	94.828	0	1,043	848	195
ISSUE: 33616C100 - FIRST REPUBLIC BANK/SAN FRAN							
130006-007	02/09/17 S	18	71.518	0	1,287	1,219	68
ISSUE: 344849104 - FOOT LOCKER INC							

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 34959E109 - FORTINET INC						
130006-007 02/09/17 S	17	38.121	0	648	628	20
ISSUE: 366651107 - GARTNER INC						
130006-007 02/09/17 S	6	100.670	0	604	531	73
ISSUE: 37940X102 - GLOBAL PAYMENTS INC						
130006-007 02/09/17 S	5	78.450	0	392	384	8
ISSUE: 40171V100 - GUIDEWIRE SOFTWARE INC						
130006-007 02/09/17 S	4	54.218	0	217	240	-23
ISSUE: 40416M105 - HD SUPPLY HOLDINGS INC						
130006-007 02/09/17 S	13	43.379	0	564	416	148
ISSUE: 412295305 - HARDING LOEVNER EMRG MKTS FD CL-ADV						
130006-008 02/09/17 S	309	47.290	0	14,596	14,396	200
ISSUE: 419879101 - HAWAIIAN HOLDINGS INC						
130006-007 02/09/17 S	11	51.405	0	565	535	31
ISSUE: 437076102 - HOME DEPOT INC COM						
130006-002 02/09/17 S	11	138.669	0	1,525	1,415	110
ISSUE: 44919P508 - IAC/INTERACTIVECORP						
130006-007 02/09/17 S	8	77.070	0	617	500	117
ISSUE: 45168D104 - IDEXX LABS COMMON						
130006-007 02/09/17 S	5	142.126	0	711	564	147
ISSUE: 45245E109 - IMAX CORPORATION						
130006-007 02/09/17 S	10	33.004	0	330	290	40
ISSUE: 458140100 - INTEL CORP COM						
130006-002 02/09/17 S	51	35.449	0	1,808	1,925	-117
ISSUE: 45841N107 - INTERACTIVE BROKERS GROUP INC-CL A						
130006-007 02/09/17 S	6	38.138	0	229	212	17
ISSUE: 462222100 - IONIS PHARMACEUTICALS INC						
130006-007 02/09/17 B	4	46.370	0	185-	185	
ISSUE: 48562P103 - KAPSTONE PAPER & PACKAGING CORP						
130006-007 02/09/17 B	7	22.170	0	155-	155	
ISSUE: 536797103 - LITHIA MOTORS INC CL-A						
130006-007 02/09/17 B	22	99.491	0	2,189-	2,189	
ISSUE: 539830109 - LOCKHEED MARTIN CORPORATION COM						
130006-002 02/09/17 S	3	258.003	0	774	719	55
ISSUE: 562750109 - MANHATTAN ASSOCS INC						
130006-007 02/09/17 S	7	49.260	0	345	403	-59
ISSUE: 571748102 - MARSH & MCLENNAN COS INC COM						
130006-002 02/09/17 S	47	70.529	0	3,315	3,161	154

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	02/09/17 S	23	124.627	0	2,866	2,653	213
130006-007	02/09/17 S	29	55.099	0	1,598	1,617	-19
130006-007	02/09/17 S	6	192.255	0	1,154	1,110	44
130006-007	02/09/17 S	24	20.680	0	496	579	-82
130006-002	02/09/17 S	87	64.059	0	5,573	5,011	562
130006-007	02/09/17 S	6	138.653	0	832	742	90
130006-007	02/09/17 S	18	18.959	0	341	210	131
130006-007	02/09/17 S	35	15.460	0	541	505	36
130006-002	02/09/17 B	3	124.710	0	374-	374	
130006-007	02/09/17 S	6	114.753	0	689	598	91
130006-002	02/09/17 S	91	45.504	0	4,141	4,721	-580
130006-007	02/09/17 S	6	45.493	0	273	323	-50
130006-002	02/09/17 B	46	75.140	0	3,456-	3,456	
130006-007	02/09/17 S	10	90.468	0	905	686	219
130006-008	02/09/17 S	411	34.050	0	14,004	13,925	79
130006-007	02/09/17 S	11	56.849	0	625	570	56
130006-002	02/09/17 B	3	106.140	0	318-	318	
130006-007	02/09/17 S	5	66.848	0	334	315	19
130006-002	02/09/17 S	8	115.809	0	926	960	-34

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 74112D101 - PRESTIGE BRANDS HOLDINGS INC						
130006-007 02/09/17 S	8	56.110	0	449	386	63
ISSUE: 743713109 - PROTO LABS INC						
130006-007 02/09/17 S	16	58.654	0	938	959	-20
ISSUE: 74978Q105 - RSP PERMIAN INC						
130006-007 02/09/17 S	12	41.243	0	495	462	33
ISSUE: 750469207 - RADIUS HEALTH INC						
130006-007 02/09/17 S	3	43.597	0	131	162	-31
ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC						
130006-007 02/09/17 S	3	126.947	0	381	373	8
ISSUE: 773903109 - ROCKWELL AUTOMATION INC.						
130006-002 02/09/17 S	31	150.338	0	4,660	3,793	868
ISSUE: 78573M104 - SABRE CORP						
130006-007 02/09/17 S	30	22.320	0	670	845	-176
ISSUE: 848637104 - SPLUNK INC						
130006-007 02/09/17 S	12	62.808	0	754	704	50
ISSUE: 867224107 - SUNCOR ENERGY INC						
130006-002 02/09/17 S	72	31.569	0	2,273	2,233	40
ISSUE: 868157108 - SUPERIOR ENERGY SERVICES INC COM						
130006-007 02/09/17 S	33	17.840	0	589	559	30
ISSUE: 868459108 - SUPERNUS PHARMACEUTICALS INC						
130006-007 02/09/17 S	18	25.504	0	459	445	14
ISSUE: 88076W103 - TERADATA CORP						
130006-007 02/09/17 S	18	32.539	0	586	558	28
ISSUE: 882508104 - TEXAS INSTRUMENTS INC						
130006-002 02/09/17 S	54	75.288	0	4,066	3,790	276
ISSUE: 891906109 - TOTAL SYSTEMS SERVICES INC						
130006-007 02/09/17 S	12	53.590	0	643	566	77
ISSUE: 896239100 - TRIMBLE INCORPORATED						
130006-007 02/09/17 S	38	31.684	0	1,204	1,071	133
ISSUE: 907818108 - UNION PACIFIC CORP COM						
130006-002 02/09/17 S	69	107.368	0	7,408	6,730	679
ISSUE: 911363109 - UNITED RENTALS INC COM						
130006-007 02/09/17 S	10	125.468	0	1,255	788	467
ISSUE: 913017109 - UNITED TECHNOLOGIES CORP COM						
130006-002 02/09/17 S	20	110.189	0	2,204	2,032	172
ISSUE: 918204108 - V F CORP						
130006-002 02/09/17 B	151	49.536	0	7,480-	7,480	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 921908844 - VANGUARD DIVIDEND APPRECIATION ETF						
130006-002 02/09/17 S	70	87.628	0	6,134	5,875	259
ISSUE: 922475108 - VEEVA SYSTEMS INC						
130006-007 02/09/17 S	8	44.210	0	354	330	23
ISSUE: 929740108 - WABTEC CORP COM						
130006-007 02/09/17 S	7	86.179	0	603	572	32
ISSUE: 969904101 - WILLIAM SONOMA INC COM						
130006-007 02/09/17 S	20	47.640	0	953	1,022	-69
ISSUE: 98310W108 - WYNDHAM WORLDWIDE CORP						
130006-007 02/09/17 S	7	81.669	0	572	471	100
ISSUE: 98419M100 - XYLEM INC-W/I						
130006-007 02/09/17 S	9	47.560	0	428	472	-44
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 02/10/17 S	439	0.010	0	439	439	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 02/10/17 S	5,323	0.010	0	5,323	5,323	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 02/13/17 B	58,285	0.010	57,702	58,285-	58,285	0
ISSUE: 092480300 - BLACKROCK BD ALL TGT SH-S						
130006-003 02/14/17 B	2,342	9.530	0	22,319-	22,319	
ISSUE: 3137EADL0 - FHLMC 1% 9/29/17						
130006-003 02/14/17 B	2,000	1.002	0	2,003-	2,003	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 02/14/17 B	645	0.010	639	645-	645	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 02/14/17 B	306	0.010	303	306-	306	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 02/14/17 S	15,501	0.010	0	15,501	15,501	0
ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18						
130006-003 02/14/17 B	6,000	0.999	0	5,994-	5,994	
ISSUE: 912828Q52 - U.S. TREASURY NOTES 0.875% 4/15/19						
130006-003 02/14/17 B	2,000	0.990	0	1,981-	1,981	
ISSUE: 912828T83 - U.S. TREASURY NOTES 0.75% 10/31/18						
130006-003 02/14/17 B	5,000	0.993	0	4,963-	4,963	
ISSUE: 912828UR9 - U.S. TREASURY NOTES 0.75% 2/28/18						
130006-003 02/14/17 B	2,000	0.998	0	1,996-	1,996	
ISSUE: 912828V56 - U.S. TREASURY NOTES 1.125% 1/31/19						
130006-003 02/14/17 B	4,000	0.998	0	3,990-	3,990	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	02/14/17 B	2,000	0.995	0	1,989-	1,989	
130006-006	02/15/17 B	2,000	1.011	0	2,022-	2,022	
130006-006	02/15/17 B	2,000	1.050	0	2,100-	2,100	
130006-006	02/15/17 B	1,169	9.190	0	10,741-	10,741	
130006-006	02/15/17 B	310	9.680	0	3,004-	3,004	
130006-006	02/15/17 B	1,252	10.670	0	13,363-	13,363	
130006-007	02/15/17 S	1,113	0.010	0	1,113	1,113	0
130006-003	02/15/17 B	14,465	0.010	14,320	14,465-	14,465	
130006-004	02/15/17 B	231	0.010	229	231-	231	
130006-006	02/15/17 B	61,902	0.010	61,283	61,902-	61,902	
130006-006	02/15/17 B	1,000	1.006	0	1,006-	1,006	
130006-006	02/16/17 B	1,000	1.268	0	1,268-	1,268	
130006-007	02/16/17 B	21	0.010	21	21-	21	
130006-002	02/16/17 B	441	0.010	437	441-	441	
130006-004	02/16/17 B	4,260	0.010	4,217	4,260-	4,260	
130006-006	02/16/17 S	29,610	0.010	0	29,610	29,610	0
130006-006	02/16/17 B	1,000	0.989	0	989-	989	
130006-006	02/16/17 B	1,000	1.010	0	1,009-	1,009	
130006-006	02/16/17 B	2,000	1.100	0	2,200-	2,200	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	02/17/17 S	22,978	0.010	0	22,978	22,978	0
130006-006	02/17/17 S	2,015	0.010	0	2,015	2,015	0
130006-007	02/21/17 B	17	0.010	17	17-	17	
130006-006	02/21/17 B	2,256	0.010	2,233	2,256-	2,256	
130006-003	02/22/17 B	1,566	9.530	0	14,924-	14,924	
130006-003	02/22/17 B	2,000	1.008	0	2,016-	2,016	
130006-003	02/22/17 B	2,000	1.001	0	2,001-	2,001	
130006-003	02/22/17 B	2,000	0.990	0	1,980-	1,980	
130006-007	02/22/17 B	5	0.010	5	5-	5	
130006-006	02/22/17 S	3,520	0.010	0	3,520	3,520	0
130006-003	02/22/17 B	6,000	0.991	0	5,947-	5,947	
130006-003	02/22/17 B	5,000	0.999	0	4,995-	4,995	
130006-003	02/22/17 B	1,000	0.992	0	992-	992	
130006-003	02/22/17 B	2,000	0.994	0	1,987-	1,987	
130006-003	02/22/17 B	2,000	0.998	0	1,997-	1,997	
130006-003	02/22/17 B	2,000	0.999	0	1,997-	1,997	
130006-003	02/22/17 B	2,000	0.995	0	1,990-	1,990	
130006-003	02/22/17 B	2,000	1.001	0	2,002-	2,002	
130006-007	02/23/17 S	86	62.725	0	5,394	4,443	952

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 099724106 - BORG WARNER INC COM							
130006-007 02/23/17 B	156	42.572	0		6,641-	6,641	
ISSUE: 52470G734 - WESTERN ASSET SMASH SERIES EC FUND							
130006-006 02/23/17 B	219	9.190	0		2,010-	2,010	
ISSUE: 743713109 - PROTO LABS INC							
130006-007 02/23/17 S	22	55.159	0		1,214	1,318	-105
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 02/23/17 S	14,924	0.010	0		14,924	14,924	0
ISSUE: 91086QBD9 - UNITED MEXICAN MTN 3.5% 1/21/21							
130006-006 02/23/17 B	2,000	1.031	0		2,062-	2,062	
ISSUE: 099724106 - BORG WARNER INC COM							
130006-007 02/24/17 B	62	41.680	0		2,584-	2,584	
ISSUE: 743713109 - PROTO LABS INC							
130006-007 02/24/17 S	14	54.662	0		765	839	-73
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 02/24/17 B	7	0.010	7		7-	7	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 02/24/17 B	452	0.010	447		452-	452	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 02/24/17 S	2,010	0.010	0		2,010	2,010	0
ISSUE: 743713109 - PROTO LABS INC							
130006-007 02/27/17 S	17	55.862	0		950	1,018	-69
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 02/27/17 B	81	0.010	80		81-	81	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 02/27/17 S	28,034	0.010	0		28,034	28,034	0
ISSUE: 743713109 - PROTO LABS INC							
130006-007 02/28/17 S	25	54.651	0		1,366	1,498	-131
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 02/28/17 S	33	0.010	0		33	33	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 02/28/17 B	498	0.010	493		498-	498	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 02/28/17 S	692	0.010	0		692	692	0
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008 03/01/17 B	183	7.780	0		1,424-	1,424	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 03/01/17 S	1,819	0.010	0		1,819	1,819	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 03/01/17 B	1,319	0.010	1,306	1,319-	1,319	
ISSUE: 20030NBB6 - COMCAST CORP 6.4% 3/01/40						
130006-006 03/02/17 B	2,000	1.280	0	2,560-	2,560	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 03/02/17 B	321	0.010	318	321-	321	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 03/02/17 B	1,811	0.010	1,793	1,811-	1,811	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 03/02/17 B	1,227	0.010	1,214	1,227-	1,227	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 03/02/17 B	1,369	0.010	1,355	1,369-	1,369	
ISSUE: 912828UR9 - U.S. TREASURY NOTES 0.75% 2/28/18						
130006-003 03/02/17 S	67,000	0.997	0	66,814	67,012	-198
ISSUE: 912828W30 - U.S. TREASURY NOTES 1.125% 2/28/19						
130006-003 03/02/17 B	68,000	0.996	0	67,737-	67,737	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 03/03/17 B	1,018	0.010	1,007	1,018-	1,018	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 03/06/17 B	1,366	0.010	1,353	1,366-	1,366	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 03/06/17 B	376	0.010	372	376-	376	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 03/06/17 B	0	0.010	0	0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 03/06/17 B	0	0.010	0	0-	0	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 03/06/17 B	1	0.010	1	1-	1	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 03/06/17 B	420	0.010	416	420-	420	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 03/06/17 B	0	0.010	0	0-	0	
ISSUE: 084670BL1 - BERKSHIRE HATHAWAY 2.1% 8/14/19						
130006-004 03/07/17 B	4,000	1.008	0	4,034-	4,034	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 03/07/17 B	288	0.010	285	288-	288	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-003 03/07/17 S	914	0.010	0	914	914	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/07/17 S	2,562	0.010	0	2,562	2,562	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/08/17 B	26	0.010	26	26-	26	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/08/17 S	15,164	0.010	0	15,164	15,164	0
130006-004	ISSUE: 693304AL1 - PECO ENERGY CO 5.35% 3/01/18 03/09/17 S	26,000	1.036	0	26,940	27,513	-574
130006-004	ISSUE: 74456QBR6 - PUBLIC SERVICE MTN 2.25% 9/15/26 03/09/17 B	28,000	0.920	0	25,766-	25,766	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/09/17 B	216	0.010	214	216-	216	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/09/17 B	245	0.010	243	245-	245	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/10/17 B	446	0.010	441	446-	446	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/10/17 S	4,040	0.010	0	4,040	4,040	0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/13/17 B	870	0.010	861	870-	870	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/13/17 S	9,295	0.010	0	9,295	9,295	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/15/17 B	11	0.010	10	11-	11	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/15/17 B	908	0.010	899	908-	908	
130006-004	ISSUE: 05531FAN3 - BB&T CORPORATION MTN 2.05% 6/19/18 03/16/17 S	30,000	1.003	0	30,092	30,306	-214
130006-004	ISSUE: 05531FAV5 - BB&T CORPORATION MTN 2.05% 5/10/21 03/16/17 B	30,000	0.981	0	29,441-	29,441	
130006-006	ISSUE: 29379VAV5 - ENTERPRISE PRODUCTS 5.7% 2/15/42 03/16/17 B	1,000	1.119	0	1,119-	1,119	
130006-006	ISSUE: 375558BD4 - GILEAD SCIENCES 4.75% 3/01/46 03/16/17 B	2,000	1.010	0	2,021-	2,021	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/16/17 B	114	0.010	113	114-	114	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/16/17 B	1,279	0.010	1,266	1,279-	1,279	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/16/17 B	310	0.010	306	310-	310
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/16/17 B	2,795	0.010	2,767	2,795-	2,795
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/16/17 B	2,723	0.010	2,696	2,723-	2,723
130006-006	ISSUE: 94974BFC9 - WELLS FARGO CO MTN 3.5% 3/08/22 03/16/17 B	1,000	1.031	0	1,031-	1,031
130006-003	ISSUE: 3137EADL0 - FHLMC 1% 9/29/17 03/17/17 S	49,000	1.000	0	49,005	49,150 -145
130006-003	ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18 03/17/17 S	41,000	0.998	0	40,904	41,077 -173
130006-003	ISSUE: 912828W30 - U.S. TREASURY NOTES 1.125% 2/28/19 03/17/17 B	96,000	0.996	0	95,651-	95,651
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/20/17 B	81	0.010	80	81-	81
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/20/17 B	2,165	0.010	2,144	2,165-	2,165
130006-007	ISSUE: N22717107 - CORE LABORATORIES N V 03/21/17 S	34	109.167	0	3,712	3,819 -108
130006-007	ISSUE: 008252108 - AFFILIATED MANAGERS GROUP 03/21/17 S	32	159.447	0	5,102	4,630 472
130006-007	ISSUE: 040413106 - ARISTA NETWORKS INC 03/21/17 B	22	129.458	0	2,848-	2,848
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/21/17 B	42	0.010	42	42-	42
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/21/17 B	357	0.010	354	357-	357
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/21/17 S	3,630	0.010	0	3,630	3,630 0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/22/17 B	584	0.010	579	584-	584
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/22/17 S	5,401	0.010	0	5,401	5,401 0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/23/17 B	53	0.010	52	53-	53
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/24/17 B	547	0.010	542	547-	547

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/24/17 B 662	0.010	656	662-	662	
130006-006	ISSUE: 0258M0EB1 - AMERICAN EXPRESS MTN 2.25% 5/05/21 03/27/17 B 2,000	0.991	0	1,983-	1,983	
130006-006	ISSUE: 46625HHS2 - JPMORGAN CHASE MTN 4.4% 7/22/20 03/27/17 B 2,000	1.067	0	2,134-	2,134	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/27/17 B 6,012	0.010	5,951	6,012-	6,012	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/27/17 B 7	0.010	7	7-	7	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/27/17 B 535	0.010	530	535-	535	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/28/17 B 489	0.010	485	489-	489	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/28/17 B 2,086	0.010	2,065	2,086-	2,086	
130006-007	ISSUE: 40171V100 - GUIDEWIRE SOFTWARE INC 03/29/17 B 16	56.648	0	906-	906	
130006-007	ISSUE: 45841N107 - INTERACTIVE BROKERS GROUP INC-CL A 03/29/17 S 62	34.595	0	2,145	2,187	-42
130006-007	ISSUE: 562750109 - MANHATTAN ASSOCS INC 03/29/17 S 82	50.570	0	4,147	4,725	-578
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/29/17 B 14,737	0.010	14,590	14,737-	14,737	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/29/17 S 14,701	0.010	0	14,701	14,701	0
130006-007	ISSUE: 930427109 - WAGEWORKS INC 03/29/17 B 41	71.625	0	2,937-	2,937	
130006-006	ISSUE: 375558BD4 - GILEAD SCIENCES 4.75% 3/01/46 03/30/17 S 30,000	1.016	0	30,464	33,028	-2,564
130006-007	ISSUE: 40171V100 - GUIDEWIRE SOFTWARE INC 03/30/17 B 17	56.430	0	959-	959	
130006-007	ISSUE: 45841N107 - INTERACTIVE BROKERS GROUP INC-CL A 03/30/17 S 43	34.584	0	1,487	1,517	-29
130006-007	ISSUE: 562750109 - MANHATTAN ASSOCS INC 03/30/17 S 9	50.968	0	459	519	-60
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/30/17 S 4,151	0.010	0	4,151	4,151	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	ISSUE: 912810RQ3 - U.S. TREASURY BONDS 2.5% 2/15/46 03/30/17 B 33,000	0.896	0		29,561-	29,561	
130006-007	ISSUE: 930427109 - WAGEWORKS INC 03/30/17 B 25	72.118	0		1,803-	1,803	
130006-006	ISSUE: 05565QDB1 - BP CAPITAL MARKETS 3.119% 5/04/26 03/31/17 B 31,000	0.982	0		30,426-	30,426	
130006-007	ISSUE: 40171V100 - GUIDEWIRE SOFTWARE INC 03/31/17 B 24	56.555	0		1,357-	1,357	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 03/31/17 B 128	0.010	127		128-	128	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/31/17 B 535	0.010	530		535-	535	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 03/31/17 B 229	0.010	227		229-	229	
130006-006	ISSUE: 912828F21 - U.S. TREASURY NOTES 2.125% 9/30/21 03/31/17 S 30,000	1.010	0		30,307	31,241	-934
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 04/03/17 B 195	7.740	0		1,508-	1,508	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/03/17 B 726	0.010	719		726-	726	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/03/17 B 218	0.010	215		218-	218	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/03/17 B 478	0.010	473		478-	478	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 04/04/17 B 1,713	0.010	1,696		1,713-	1,713	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/04/17 B 379	0.010	375		379-	379	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/04/17 B 1,724	0.010	1,707		1,724-	1,724	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/04/17 B 30,312	0.010	30,009		30,312-	30,312	
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 04/05/17 S 1,357	0.010	0		1,357	1,357	0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/05/17 B 612	0.010	606		612-	612	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/05/17 S 29,907	0.010	0		29,907	29,907	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	ISSUE: 857477AF0 - STATE STREET CORP 4.956% 3/15/18 04/06/17 S	14,000	1.028	0	14,392	14,616	-224
130006-004	ISSUE: 857477AM5 - STATE STREET CORP 3.7% 11/20/23 04/06/17 B	13,000	1.052	0	13,671-	13,671	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/06/17 B	0	0.010	0	0-	0	
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/06/17 B	0	0.010	0	0-	0	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/06/17 B	1	0.010	1	1-	1	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/06/17 B	0	0.010	0	0-	0	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/07/17 B	204	0.010	202	204-	204	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/12/17 B	432	0.010	428	432-	432	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/12/17 B	582	0.010	577	582-	582	
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/12/17 S	1,958	0.010	0	1,958	1,958	0
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 04/13/17 B	28	0.010	28	28-	28	
130006-007	ISSUE: 075896100 - BED BATH & BEYOND INC COM 04/17/17 S	97	38.348	0	3,720	4,245	-525
130006-007	ISSUE: 29977A105 - EVERCORE PARTNERS INC CL A 04/17/17 B	26	74.689	0	1,942-	1,942	
130006-007	ISSUE: 33616C100 - FIRST REPUBLIC BANK/SAN FRAN 04/17/17 S	66	89.576	0	5,912	5,089	823
130006-007	ISSUE: 745867101 - PULTE GROUP INC 04/17/17 B	292	24.087	0	7,033-	7,033	
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 04/17/17 B	191	0.010	189	191-	191	
130006-007	ISSUE: 957638109 - WESTERN ALLIANCE 04/17/17 B	114	45.973	0	5,241-	5,241	
130006-007	ISSUE: 075896100 - BED BATH & BEYOND INC COM 04/18/17 S	91	38.379	0	3,493	3,982	-490
130006-007	ISSUE: 29977A105 - EVERCORE PARTNERS INC CL A 04/18/17 B	33	75.157	0	2,480-	2,480	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	04/18/17 B	110	9.240	0	1,020-	1,020	
ISSUE: 52470G734 - WESTERN ASSET SMASH SERIES EC FUND							
130006-006	04/18/17 B	105	9.720	0	1,024-	1,024	
ISSUE: 52470G742 - WESTERN ASSET SMASH SERIES C FUND							
130006-007	04/18/17 B	95	24.067	0	2,286-	2,286	
ISSUE: 745867101 - PULTE GROUP INC							
130006-007	04/18/17 B	14	0.010	14	14-	14	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-003	04/18/17 B	223	0.010	221	223-	223	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	04/18/17 B	2,952	0.010	2,923	2,952-	2,952	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	04/18/17 B	2,589	0.010	2,564	2,589-	2,589	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003	04/18/17 S	44	0.010	0	44	44	0
ISSUE: 957638109 - WESTERN ALLIANCE							
130006-007	04/18/17 B	19	45.495	0	864-	864	
ISSUE: 29977A105 - EVERCORE PARTNERS INC CL A							
130006-007	04/19/17 B	23	76.628	0	1,762-	1,762	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	04/19/17 B	80	0.010	79	80-	80	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002	04/19/17 B	57	0.010	57	57-	57	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004	04/19/17 B	67	0.010	66	67-	67	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-008	04/19/17 B	69	0.010	69	69-	69	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	04/19/17 S	3,103	0.010	0	3,103	3,103	0
ISSUE: 3135G0YM9 - FNMA 1.875% 9/18/18							
130006-006	04/20/17 B	2,000	1.010	0	2,020-	2,020	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007	04/20/17 S	4,584	0.010	0	4,584	4,584	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006	04/20/17 B	2,457	0.010	2,433	2,457-	2,457	
ISSUE: 912828J27 - U.S. TREASURY NOTES 2% 2/15/25							
130006-004	04/20/17 B	6,000	0.989	0	5,936-	5,936	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	04/20/17 B	8,000	0.980	0	7,836-	7,836	
130006-004	04/20/17 B	6,000	0.988	0	5,929-	5,929	
130006-004	04/20/17 B	10,000	0.938	0	9,381-	9,381	
130006-008	04/21/17 S	243	7.740	0	1,883	1,852	31
130006-007	04/21/17 S	102	70.833	0	7,225	6,830	395
130006-007	04/21/17 S	2,138	0.010	0	2,138	2,138	0
130006-002	04/21/17 S	1,563	0.010	0	1,563	1,563	0
130006-003	04/21/17 S	2,344	0.010	0	2,344	2,344	0
130006-004	04/21/17 S	29,224	0.010	0	29,224	29,224	0
130006-004	04/21/17 S	1,978	0.010	0	1,978	1,978	0
130006-006	04/21/17 S	2,024	0.010	0	2,024	2,024	0
130006-006	04/21/17 S	2,092	0.010	0	2,092	2,092	0
130006-008	04/21/17 S	69	0.010	0	69	69	0
130006-007	04/24/17 S	45	71.845	0	3,233	3,013	220
130006-007	04/24/17 B	11	0.010	11	11-	11	
130006-007	04/24/17 S	3,302	0.010	0	3,302	3,302	0
130006-004	04/26/17 S	12,000	1.025	0	12,304	12,625	-320
130006-004	04/26/17 B	12,000	1.010	0	12,115-	12,115	
130006-004	04/26/17 B	9,243	0.010	9,151	9,243-	9,243	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	04/26/17 B	1,370	0.010	1,356	1,370-	1,370	
130006-007	04/27/17 B	7,225	0.010	7,153	7,225-	7,225	
130006-007	04/28/17 B	3,328	0.010	3,295	3,328-	3,328	
130006-008	05/01/17 B	192	7.780	0	1,490-	1,490	
130006-004	05/01/17 S	13,000	1.000	0	12,998	13,040	-42
130006-004	05/01/17 B	12,000	1.009	0	12,112-	12,112	
130006-007	05/02/17 B	8	155.334	0	1,243-	1,243	
130006-002	05/02/17 B	272	0.010	269	272-	272	
130006-003	05/02/17 B	2,109	0.010	2,088	2,109-	2,109	
130006-004	05/02/17 B	1,188	0.010	1,177	1,188-	1,188	
130006-007	05/03/17 B	5	154.744	0	774-	774	
130006-007	05/04/17 B	7	154.967	0	1,085-	1,085	
130006-002	05/04/17 B	0	0.010	0	0-	0	
130006-003	05/04/17 B	0	0.010	0	0-	0	
130006-004	05/04/17 B	1	0.010	0	1-	1	
130006-007	05/05/17 B	27	144.582	0	3,904-	3,904	
130006-007	05/05/17 B	90	35.954	0	3,236-	3,236	
130006-007	05/05/17 S	1,243	0.010	0	1,243	1,243	0
130006-004	05/05/17 B	858	0.010	849	858-	858	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/05/17 B	483	0.010	479		483-	483
130006-007	ISSUE: 44930G107 - ICU MEDICAL INC 05/08/17 B	12	156.506	0		1,878-	1,878
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/08/17 S	774	0.010	0		774	774 0
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/08/17 B	349	0.010	345		349-	349
130006-007	ISSUE: 881569107 - TESARO INC 05/09/17 B	9	137.560	0		1,238-	1,238
130006-007	ISSUE: 896239100 - TRIMBLE INCORPORATED 05/09/17 B	39	35.925	0		1,401-	1,401
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/09/17 S	1,085	0.010	0		1,085	1,085 0
130006-007	ISSUE: G01767105 - ALKERMES PLC 05/10/17 B	57	57.533	0		3,279-	3,279
130006-007	ISSUE: 45168D104 - IDEXX LABS COMMON 05/10/17 S	55	163.156	0		8,974	6,200 2,773
130006-004	ISSUE: 69351UAP8 - PPL ELECTRIC UTILS 3% 9/15/21 05/10/17 B	25,000	1.027	0		25,678-	25,678
130006-007	ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC 05/10/17 B	37	125.170	0		4,631-	4,631
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/10/17 S	4,493	0.010	0		4,493	4,493 0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/10/17 B	1,014	0.010	1,004		1,014-	1,014
130006-007	ISSUE: G01767105 - ALKERMES PLC 05/11/17 B	113	57.086	0		6,451-	6,451
130006-007	ISSUE: 45168D104 - IDEXX LABS COMMON 05/11/17 S	29	162.596	0		4,715	3,269 1,446
130006-007	ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC 05/11/17 B	6	124.522	0		747-	747
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/11/17 S	1,878	0.010	0		1,878	1,878 0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/11/17 B	308	0.010	304		308-	308
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/12/17 B	17	0.010	17		17-	17

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 05/15/17 B	4,280	0.010	4,237	4,280-	4,280	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/15/17 S	25,803	0.010	0	25,803	25,803	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 05/16/17 S	1,420	0.010	0	1,420	1,420	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-002 05/16/17 B	1,082	0.010	1,071	1,082-	1,082	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/16/17 B	2,111	0.010	2,090	2,111-	2,111	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 05/16/17 B	3,260	0.010	3,227	3,260-	3,260	
ISSUE: 3137EACA5 - FHLMC 3.75% 3/27/19						
130006-006 05/17/17 B	2,000	1.045	0	2,090-	2,090	
ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND						
130006-006 05/17/17 B	134	10.920	0	1,466-	1,466	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 05/17/17 B	20	0.010	19	20-	20	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/17/17 B	745	0.010	738	745-	745	
ISSUE: 86787EAM9 - SUNTRUST BANK MTN 7.25% 3/15/18						
130006-004 05/18/17 S	18,000	0.010	0	18,803	19,405	-602
ISSUE: 86787EAN7 - SUNTRUST BANK 2.75% 5/01/23						
130006-004 05/18/17 B	18,000	1.005	0	18,091-	18,091	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 05/18/17 S	1,497	0.010	0	1,497	1,497	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/19/17 S	15,729	0.010	0	15,729	15,729	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 05/19/17 S	2,878	0.010	0	2,878	2,878	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/23/17 B	1,053	0.010	1,042	1,053-	1,053	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 05/24/17 B	10	0.010	10	10-	10	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-004 05/24/17 B	928	0.010	919	928-	928	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 05/25/17 S	2	0.010	0	2	2	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/26/17 B	622	0.010	615		622-	622
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/26/17 B	1,274	0.010	1,261		1,274-	1,274
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/30/17 B	93	0.010	92		93-	93
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 05/31/17 B	25	0.010	25		25-	25
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 05/31/17 B	139	0.010	137		139-	139
130006-008	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 06/01/17 B	193	7.800	0		1,505-	1,505
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/02/17 B	43	0.010	43		43-	43
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/02/17 B	322	0.010	319		322-	322
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/02/17 B	1,656	0.010	1,640		1,656-	1,656
130006-003	ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18 06/02/17 S	120,000	0.998	0		119,700	120,224
130006-003	ISSUE: 912828XS4 - U.S. TREASURY NOTES 1.25% 5/31/19 06/02/17 B	120,000	1.000	0		119,935-	119,935
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/05/17 B	389	0.010	385		389-	389
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/05/17 B	388	0.010	384		388-	388
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/06/17 B	0	0.010	0		0-	0
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/06/17 B	0	0.010	0		0-	0
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/06/17 B	0	0.010	0		0-	0
130006-003	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/07/17 S	68	0.010	0		68	68
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/09/17 B	256	0.010	253		256-	256
130006-002	ISSUE: G51502105 - JOHNSON CONTROLS INTERNATIONAL PLC 06/12/17 S	1,019	41.879	0		42,675	46,563

-3,888

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 478160104 - JOHNSON & JOHNSON							
130006-002 06/12/17 B	348	131.694		0	45,829-	45,829	
ISSUE: 59156RAR9 - METLIFE INC 6.817% 8/15/18							
130006-004 06/12/17 S	27,000	1.059		0	28,584	29,651	-1,067
ISSUE: 59156RBB3 - METLIFE INC 4.368% 9/15/23							
130006-004 06/12/17 B	28,000	1.099		0	30,786-	30,786	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 06/12/17 B	89	0.010		88	89-	89	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 06/12/17 B	190	0.010		188	190-	190	
ISSUE: 16949N109 - CHINA LODGING GROUP SPONS ADR							
130006-007 06/13/17 B	16	80.827		0	1,293-	1,293	
ISSUE: 400110102 - GRUBHUB INC							
130006-007 06/13/17 B	91	43.514		0	3,960-	3,960	
ISSUE: 48562P103 - KAPSTONE PAPER & PACKAGING CORP							
130006-007 06/13/17 S	162	21.823		0	3,535	3,078	457
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 06/13/17 B	60	0.010		60	60-	60	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 06/13/17 B	1,213	0.010		1,201	1,213-	1,213	
ISSUE: 400110102 - GRUBHUB INC							
130006-007 06/14/17 B	98	44.076		0	4,319-	4,319	
ISSUE: 48562P103 - KAPSTONE PAPER & PACKAGING CORP							
130006-007 06/14/17 S	68	21.381		0	1,454	1,292	162
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 06/14/17 B	26	0.010		26	26-	26	
ISSUE: 48562P103 - KAPSTONE PAPER & PACKAGING CORP							
130006-007 06/15/17 S	51	21.314		0	1,087	969	118
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 06/15/17 B	53	0.010		52	53-	53	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 06/15/17 S	3,154	0.010		0	3,154	3,154	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 06/15/17 S	1,588	0.010		0	1,588	1,588	0
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 06/16/17 S	1,687	0.010		0	1,687	1,687	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 06/16/17 B	888	0.010		879	888-	888	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/16/17 B	1,706	0.010	1,689		1,706-	1,706
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/16/17 B	2,835	0.010	2,806		2,835-	2,835
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/19/17 S	2,756	0.010	0		2,756	2,756 0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/20/17 B	675	0.010	668		675-	675
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/20/17 B	5,107	0.010	5,056		5,107-	5,107
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/21/17 B	1,099	0.010	1,088		1,099-	1,099
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/21/17 B	17,167	0.010	16,996		17,167-	17,167
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/22/17 B	23	0.010	23		23-	23
130006-006	ISSUE: 52470G742 - WESTERN ASSET SMASH SERIES C FUND 06/23/17 B	225	9.740	0		2,189-	2,189
130006-006	ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND 06/23/17 B	185	10.950	0		2,022-	2,022
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/23/17 B	31	0.010	30		31-	31
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/26/17 B	1	0.010	1		1-	1
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 06/26/17 B	11	0.010	11		11-	11
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/26/17 B	574	0.010	568		574-	574
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/26/17 S	4,211	0.010	0		4,211	4,211 0
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/27/17 B	241	0.010	239		241-	241
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/27/17 B	744	0.010	737		744-	744
130006-006	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/27/17 B	1,264	0.010	1,251		1,264-	1,264
130006-002	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 06/28/17 B	746	0.010	739		746-	746

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	06/29/17 B	105	10.880	0	1,146-	1,146	
ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND							
130006-007	06/30/17 B	67	0.010	66	67-	67	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-002	06/30/17 B	556	0.010	551	556-	556	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003	06/30/17 B	309	0.010	306	309-	309	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-008	07/03/17 B	185	7.770	0	1,441-	1,441	
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-006	07/03/17 S	1,146	0.010	0	1,146	1,146	0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-007	07/05/17 S	170	12.459	0	2,118	3,082	-964
ISSUE: G4617B105 - HORIZON PHARMA PLC							
130006-007	07/05/17 S	19	151.998	0	2,888	1,781	1,107
ISSUE: 016255101 - ALIGN TECHNOLOGY INCORPORATED							
130006-007	07/05/17 S	21	148.052	0	3,109	1,944	1,165
ISSUE: 040413106 - ARISTA NETWORKS INC							
130006-007	07/05/17 S	17	65.282	0	1,110	666	444
ISSUE: 238337109 - DAVE & BUSTER'S ENTERTAINMENT							
130006-007	07/05/17 B	7	66.881	0	468-	468	
ISSUE: 29084Q100 - EMCOR GROUP INC							
130006-007	07/05/17 B	8	176.178	0	1,409-	1,409	
ISSUE: 44930G107 - ICU MEDICAL INC							
130006-007	07/05/17 S	18	249.613	0	4,493	3,329	1,164
ISSUE: 58733R102 - MERCADOLIBRE INC							
130006-007	07/05/17 B	140	24.687	0	3,456-	3,456	
ISSUE: 745867101 - PULTE GROUP INC							
130006-007	07/05/17 B	65	0.010	64	65-	65	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-002	07/05/17 B	379	0.010	375	379-	379	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003	07/05/17 B	1,603	0.010	1,587	1,603-	1,603	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-007	07/06/17 S	30	12.280	0	368	544	-176
ISSUE: G4617B105 - HORIZON PHARMA PLC							
130006-007	07/06/17 S	27	150.239	0	4,056	2,531	1,525
ISSUE: 016255101 - ALIGN TECHNOLOGY INCORPORATED							

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 040413106 - ARISTA NETWORKS INC							
130006-007 07/06/17 S	11	144.799		0	1,593	1,018	574
ISSUE: 238337109 - DAVE & BUSTER'S ENTERTAINMENT							
130006-007 07/06/17 S	37	64.860		0	2,400	1,450	950
ISSUE: 29084Q100 - EMCOR GROUP INC							
130006-007 07/06/17 B	38	66.938		0	2,544-	2,544	
ISSUE: 44930G107 - ICU MEDICAL INC							
130006-007 07/06/17 B	8	174.228		0	1,394-	1,394	
ISSUE: 58733R102 - MERCADOLIBRE INC							
130006-007 07/06/17 S	23	248.079		0	5,706	4,254	1,452
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/06/17 B	35	0.010		34	35-	35	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 07/07/17 B	2	0.010		2	2-	2	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 07/07/17 B	2	0.010		2	2-	2	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 07/07/17 B	3	0.010		3	3-	3	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 07/07/17 B	1	0.010		1	1-	1	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 07/10/17 B	349	0.010		345	349-	349	
ISSUE: 06406HDB2 - BANK OF NY MELL MTN 1.6% 5/22/18							
130006-004 07/11/17 B	17,000	1.001		0	17,020-	17,020	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/11/17 B	8,384	0.010		8,300	8,384-	8,384	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 07/11/17 B	165	0.010		163	165-	165	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/12/17 B	10,186	0.010		10,084	10,186-	10,186	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 07/12/17 B	160	0.010		158	160-	160	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 07/12/17 S	3,090	0.010		0	3,090	3,090	0
ISSUE: 912810RQ3 - U.S. TREASURY BONDS 2.5% 2/15/46							
130006-006 07/12/17 B	1,000	0.921		0	921-	921	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/13/17 B	23	0.010		23	23-	23	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	07/13/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 931	0.010	0	931	931	0
130006-004	07/14/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 17,060	0.010	0	17,060	17,060	0
130006-006	07/17/17 B	ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND 135	10.870	0	1,465-	1,465	
130006-007	07/18/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 14	0.010	14	14-	14	
130006-004	07/18/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,519	0.010	3,484	3,519-	3,519	
130006-006	07/18/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,247	0.010	2,224	2,247-	2,247	
130006-006	07/20/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,506	0.010	2,481	2,506-	2,506	
130006-007	07/24/17 B	ISSUE: G0750C108 - AXALTA COATING SYSTEMS LTD 155	32.189	0	4,989-	4,989	
130006-008	07/24/17 S	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 257	7.830	0	2,011	1,956	55
130006-007	07/24/17 S	ISSUE: 122017106 - BURLINGTON STORES INC 108	84.792	0	9,158	8,750	407
130006-007	07/24/17 B	ISSUE: 43283X105 - HILTON GRAND VACATIONS INC 17	35.888	0	610-	610	
130006-007	07/24/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 32	0.010	31	32-	32	
130006-002	07/24/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 226	0.010	224	226-	226	
130006-006	07/24/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 525	0.010	520	525-	525	
130006-002	07/24/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,268	0.010	0	3,268	3,268	0
130006-003	07/24/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,187	0.010	0	2,187	2,187	0
130006-004	07/24/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,012	0.010	0	2,012	2,012	0
130006-006	07/24/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,088	0.010	0	1,088	1,088	0
130006-007	07/24/17 S	ISSUE: 969904101 - WILLIAM SONOMA INC COM 96	44.545	0	4,276	4,904	-627

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 146229109 - CARTER HOLDINGS							
130006-007 07/25/17 S	78	88.626		0	6,913	6,763	149
ISSUE: 224399105 - CRANE CO							
130006-007 07/25/17 B	79	79.364		0	6,270-	6,270	
ISSUE: 40416M105 - HD SUPPLY HOLDINGS INC							
130006-007 07/25/17 S	246	32.167		0	7,913	7,867	46
ISSUE: 43283X105 - HILTON GRAND VACATIONS INC							
130006-007 07/25/17 B	76	36.340		0	2,762-	2,762	
ISSUE: 45245E109 - IMAX CORPORATION							
130006-007 07/25/17 S	170	21.359		0	3,631	4,925	-1,294
ISSUE: 59408Q106 - MICHAELS COS INC/THE							
130006-007 07/25/17 S	262	19.566		0	5,126	6,318	-1,192
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/25/17 B	16	0.010		16	16-	16	
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 07/25/17 S	1,632	0.010		0	1,632	1,632	0
ISSUE: 90385D107 - THE ULTIMATE SOFTWARE GROUP INC							
130006-007 07/25/17 B	29	230.420		0	6,682-	6,682	
ISSUE: 969904101 - WILLIAM SONOMA INC COM							
130006-007 07/25/17 S	70	44.932		0	3,145	3,576	-430
ISSUE: 217204106 - COPART INC							
130006-007 07/26/17 B	73	31.809		0	2,322-	2,322	
ISSUE: 25754A201 - DOMINO'S PIZZA INC							
130006-007 07/26/17 B	52	190.886		0	9,926-	9,926	
ISSUE: 43283X105 - HILTON GRAND VACATIONS INC							
130006-007 07/26/17 B	43	36.612		0	1,574-	1,574	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 07/26/17 B	8,131	0.010		8,050	8,131-	8,131	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 07/26/17 B	1,783	0.010		1,766	1,783-	1,783	
ISSUE: 91879Q109 - VAIL RESORTS INC							
130006-007 07/26/17 B	27	212.704		0	5,743-	5,743	
ISSUE: 217204106 - COPART INC							
130006-007 07/27/17 B	72	31.811		0	2,290-	2,290	
ISSUE: 46625HHL7 - JPMORGAN CHASE & CO 6.3% 4/23/19							
130006-004 07/27/17 B	20,000	1.076		0	21,512-	21,512	
ISSUE: 48126EAA5 - JPMORGAN CHASE 2% 8/15/17							
130006-004 07/27/17 S	22,000	1.000		0	22,004	22,126	-121

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS	
130006-002	07/27/17 B	204	0.010	202	204-	204	
130006-006	07/27/17 B	1,000	1.016	0	1,016-	1,016	
130006-007	07/27/17 B	12	212.061	0	2,545-	2,545	
130006-007	07/28/17 B	76	31.850	0	2,421-	2,421	
130006-007	07/28/17 B	7,835	0.010	7,756	7,835-	7,835	
130006-006	07/28/17 S	1,022	0.010	0	1,022	1,022	0
130006-007	07/31/17 S	6,648	0.010	0	6,648	6,648	0
130006-004	07/31/17 B	177	0.010	175	177-	177	
130006-008	08/01/17 B	188	7.850	0	1,473-	1,473	
130006-007	08/01/17 B	93	31.640	0	2,943-	2,943	
130006-007	08/01/17 S	4,835	0.010	0	4,835	4,835	0
130006-003	08/01/17 B	636	0.010	629	636-	636	
130006-007	08/02/17 S	2,421	0.010	0	2,421	2,421	0
130006-003	08/02/17 B	1,532	0.010	1,517	1,532-	1,532	
130006-004	08/02/17 B	2,129	0.010	2,108	2,129-	2,129	
130006-006	08/02/17 S	1,040	0.010	0	1,040	1,040	0
130006-007	08/03/17 B	16	0.010	16	16-	16	
130006-007	08/04/17 S	2,943	0.010	0	2,943	2,943	0
130006-002	08/04/17 B	272	0.010	269	272-	272	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 08/04/17 B	4	0.010		4		4-	4
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 08/04/17 B	3	0.010		3		3-	3
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 08/04/17 B	5	0.010		5		5-	5
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 08/04/17 B	1	0.010		1		1-	1
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 08/08/17 B	322	0.010		319		322-	322
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 08/14/17 S	2,911	0.010		0	2,911		2,911 0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 08/15/17 B	279	0.010		276		279-	279
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 08/15/17 B	273	0.010		270		273-	273
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/16/17 B	20	0.010		19		20-	20
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 08/16/17 B	477	0.010		472		477-	477
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 08/16/17 B	3,155	0.010		3,123		3,155-	3,155
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 08/16/17 B	3,822	0.010		3,784		3,822-	3,822
ISSUE: 344849104 - FOOT LOCKER INC							
130006-007 08/18/17 S	206	34.423		0	7,091		13,950 -6,859
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 08/18/17 B	158	0.010		156		158-	158
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 08/18/17 B	2,124	0.010		2,103		2,124-	2,124
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008 08/21/17 B	7,814	7.770		0	60,715-		60,715
ISSUE: 317609667 - JEFFERIES ASSET MGMT COMODITY ST ALL							
130006-008 08/21/17 B	1,730	7.110		0	12,297-		12,297
ISSUE: 412295305 - HARDING LOEVNER EMRG MKTS FD CL-ADV							
130006-008 08/21/17 S	637	55.080		0	35,083		29,709 5,375
ISSUE: 683974505 - OPPENHEIMER DEV MARKETS FD CLASS Y							
130006-008 08/21/17 S	946	40.100		0	37,929		32,025 5,904

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	ISSUE: 100557107 - BOSTON BEER INCORPORATED CLASS A 08/23/17 S	7	146.413	0	1,025	1,087	-62
130006-007	ISSUE: 12685J105 - CABLE ONE INC 08/23/17 B	2	749.605	0	1,499-	1,499	
130006-007	ISSUE: 750469207 - RADIUS HEALTH INC 08/23/17 S	38	36.107	0	1,372	2,055	-683
130006-007	ISSUE: 78573M104 - SABRE CORP 08/23/17 S	189	17.932	0	3,389	5,326	-1,937
130006-007	ISSUE: 922475108 - VEEVA SYSTEMS INC 08/23/17 B	42	64.391	0	2,704-	2,704	
130006-007	ISSUE: 100557107 - BOSTON BEER INCORPORATED CLASS A 08/24/17 S	14	145.025	0	2,030	2,174	-143
130006-007	ISSUE: 12685J105 - CABLE ONE INC 08/24/17 B	2	741.115	0	1,482-	1,482	
130006-007	ISSUE: 17243V102 - CINEMARK HOLDINGS INC 08/24/17 S	87	32.949	0	2,867	3,474	-607
130006-007	ISSUE: 655664100 - NORDSTROM INC COM 08/24/17 S	79	43.774	0	3,458	4,253	-795
130006-007	ISSUE: 750469207 - RADIUS HEALTH INC 08/24/17 S	51	36.079	0	1,840	2,759	-919
130006-007	ISSUE: 78573M104 - SABRE CORP 08/24/17 S	181	18.213	0	3,297	5,101	-1,804
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 08/24/17 B	7,091	0.010	7,020	7,091-	7,091	
130006-004	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 08/24/17 B	354	0.010	351	354-	354	
130006-007	ISSUE: 100557107 - BOSTON BEER INCORPORATED CLASS A 08/25/17 S	9	146.722	0	1,321	1,397	-77
130006-007	ISSUE: 12685J105 - CABLE ONE INC 08/25/17 B	3	740.487	0	2,221-	2,221	
130006-007	ISSUE: 17243V102 - CINEMARK HOLDINGS INC 08/25/17 S	19	33.306	0	633	759	-126
130006-007	ISSUE: 655664100 - NORDSTROM INC COM 08/25/17 S	84	44.817	0	3,765	4,522	-758
130006-007	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 08/25/17 B	20	0.010	20	20-	20	
130006-007	ISSUE: 12685J105 - CABLE ONE INC 08/28/17 B	2	742.870	0	1,486-	1,486	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/28/17 B	83		0.010	82		83-	83
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 08/28/17 B	867		0.010	858		867-	867
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 08/28/17 B	1,414		0.010	1,399		1,414-	1,414
ISSUE: 0258M0EB1 - AMERICAN EXPRESS MTN 2.25% 5/05/21							
130006-006 08/29/17 S	31,000		1.004	0		31,117	31,428 -310
ISSUE: 06051GFB0 - BANK OF AMER CRP MTN 4.125% 1/22/24							
130006-006 08/29/17 B	8,000		1.073	0		8,582-	8,582
ISSUE: 172967LP4 - CITIGROUP INC V-Q 3.668% 7/24/28							
130006-006 08/29/17 B	23,000		1.016	0		23,378-	23,378
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/29/17 B	1,595		0.010	1,579		1,595-	1,595
ISSUE: 478160AU8 - JOHNSON & JOHNSON 5.15% 7/15/18							
130006-004 08/30/17 S	25,000		1.032	0		25,799	26,785 -985
ISSUE: 478160BT0 - JOHNSON & JOHNSON 2.05% 3/01/23							
130006-004 08/30/17 B	26,000		1.002	0		26,046-	26,046
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/30/17 B	12,062		0.010	11,941		12,062-	12,062
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 08/31/17 B	2,011		0.010	1,991		2,011-	2,011
ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST							
130006-008 09/01/17 B	194		7.800	0		1,511-	1,511
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 09/01/17 B	1,991		0.010	1,971		1,991-	1,991
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 09/01/17 S	741		0.010	0		741	741 0
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 09/05/17 B	712		0.010	704		712-	712
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-003 09/05/17 B	1,792		0.010	1,775		1,792-	1,792
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-004 09/05/17 B	457		0.010	453		457-	457
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-006 09/05/17 B	768		0.010	760		768-	768
ISSUE: G01767105 - ALKERMES PLC							
130006-007 09/06/17 S	170		50.019	0		8,503	9,730 -1,227

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	09/06/17 S	ISSUE: G0750C108 - AXALTA COATING SYSTEMS LTD 155	29.063	0	4,505	4,989	-485
130006-002	09/06/17 S	ISSUE: G1151C101 - ACCENTURE PLC- CL A 359	130.808	0	46,960	43,859	3,101
130006-007	09/06/17 S	ISSUE: G4705A100 - ICON PLC 114	113.062	0	12,889	8,820	4,069
130006-002	09/06/17 S	ISSUE: G491BT108 - INVESCO LIMITED 1,342	32.562	0	43,699	41,964	1,734
130006-002	09/06/17 S	ISSUE: G5960L103 - MEDTRONIC PLC 555	79.741	0	44,256	47,267	-3,010
130006-002	09/06/17 S	ISSUE: H1467J104 - CHUBB LTD 319	137.981	0	44,016	40,082	3,934
130006-004	09/06/17 S	ISSUE: 00206RAJ1 - AT&T INC 5.5% 2/01/18 26,000	1.015	0	26,390	27,394	-1,004
130006-007	09/06/17 S	ISSUE: 004498101 - ACI WORLDWIDE INC 269	22.643	0	6,091	5,213	878
130006-007	09/06/17 S	ISSUE: 007973100 - ADVANCED ENERGY INDUSTRIES 119	73.160	0	8,706	5,631	3,075
130006-007	09/06/17 S	ISSUE: 016255101 - ALIGN TECHNOLOGY INCORPORATED 86	176.686	0	15,195	8,063	7,132
130006-004	09/06/17 S	ISSUE: 020002AX9 - ALLSTATE CORP 7.45% 5/16/19 20,000	1.091	0	21,811	22,913	-1,102
130006-004	09/06/17 S	ISSUE: 025816BB4 - AMERICAN EXPRESS CO 8.125% 5/20/19 20,000	1.105	0	22,099	23,273	-1,174
130006-007	09/06/17 S	ISSUE: 040413106 - ARISTA NETWORKS INC 88	172.886	0	15,214	8,147	7,067
130006-007	09/06/17 S	ISSUE: 052800109 - AUTOLIV INC 72	108.788	0	7,833	7,690	143
130006-004	09/06/17 S	ISSUE: 05531FAV5 - BB&T CORPORATION MTN 2.05% 5/10/21 30,000	1.002	0	30,076	29,441	635
130006-006	09/06/17 S	ISSUE: 05565QDB1 - BP CAPITAL MARKETS 3.119% 5/04/26 31,000	1.011	0	31,353	30,426	927
130006-003	09/06/17 S	ISSUE: 06051GET2 - BANK OF AMER CRP MTN 2% 1/11/18 16,000	1.001	0	16,023	16,074	-51
130006-006	09/06/17 S	ISSUE: 06051GFB0 - BANK OF AMER CRP MTN 4.125% 1/22/24 29,000	1.071	0	31,070	31,357	-287
130006-007	09/06/17 S	ISSUE: 063904106 - BANK OF THE OZARKS 126	41.693	0	5,253	4,838	415

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-004	09/06/17 S	ISSUE: 06406HCL1 - BANK OF NY MELLO MTN 2.1% 8/01/18 10,000	1.004	0	10,043	10,150	-107
130006-004	09/06/17 S	ISSUE: 06406HDB2 - BANK OF NY MELL MTN 1.6% 5/22/18 17,000	1.000	0	17,009	17,020	-11
130006-004	09/06/17 S	ISSUE: 084670BL1 - BERKSHIRE HATHAWAY 2.1% 8/14/19 26,000	1.009	0	26,238	26,526	-288
130006-007	09/06/17 S	ISSUE: 08579W103 - BERRY GLOBAL GROUP, INC. 202	55.942	0	11,300	9,229	2,071
130006-008	09/06/17 S	ISSUE: 091929638 - BLACKROCK HIGH YIELD BOND - INST 47,817	7.810	0	373,451	365,385	8,066
130006-002	09/06/17 S	ISSUE: 09247X101 - BLACKROCK INC 111	415.451	0	46,115	42,818	3,297
130006-003	09/06/17 S	ISSUE: 092480300 - BLACKROCK BD ALL TGT SH-S 72,143	9.550	0	688,966	690,935	-1,969
130006-007	09/06/17 S	ISSUE: 099724106 - BORG WARNER INC COM 218	46.233	0	10,079	9,225	853
130006-002	09/06/17 S	ISSUE: 110448107 - BRITISH AMERICAN TOBACCO-SP ADR 670	62.709	0	42,015	42,763	-748
130006-007	09/06/17 S	ISSUE: 11133B409 - BROADSOFT INC 167	48.951	0	8,175	7,774	401
130006-007	09/06/17 S	ISSUE: 11133T103 - BROADRIDGE FINANCIAL SOLUTIONS INC 139	78.523	0	10,915	9,423	1,492
130006-007	09/06/17 S	ISSUE: 12504L109 - CBRE GROUP INC 347	35.823	0	12,431	9,709	2,721
130006-007	09/06/17 S	ISSUE: 12650T104 - CSRA INC 232	32.681	0	7,582	7,486	96
130006-002	09/06/17 S	ISSUE: 126650100 - CVS HEALTH CORPORATION 543	79.564	0	43,203	46,624	-3,421
130006-007	09/06/17 S	ISSUE: 12685J105 - CABLE ONE INC 9	750.182	0	6,752	6,689	63
130006-007	09/06/17 S	ISSUE: 16411R208 - CHENIERE ENERGY INC 146	43.680	0	6,377	6,366	12
130006-002	09/06/17 S	ISSUE: 166764100 - CHEVRON CORP 375	111.131	0	41,674	43,231	-1,557
130006-007	09/06/17 S	ISSUE: 16949N109 - CHINA LODGING GROUP SPONS ADR 121	112.438	0	13,605	6,028	7,577
130006-006	09/06/17 S	ISSUE: 172967LP4 - CITIGROUP INC V-Q 3.668% 7/24/28 23,000	1.013	0	23,296	23,378	-82

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	09/06/17 S	ISSUE: 191216100 - COCA COLA CO COM 1,025	45.872	0	47,019	43,332	3,688
130006-002	09/06/17 S	ISSUE: 194162103 - COLGATE PALMOLIVE CO COM 632	71.338	0	45,086	46,388	-1,302
130006-006	09/06/17 S	ISSUE: 20030NBB6 - COMCAST CORP 6.4% 3/01/40 24,000	1.336	0	32,065	33,190	-1,125
130006-004	09/06/17 S	ISSUE: 200339DW6 - COMERICA BANK 2.5% 6/02/20 31,000	1.008	0	31,242	31,658	-416
130006-007	09/06/17 S	ISSUE: 217204106 - COPART INC 314	32.513	0	10,209	9,975	234
130006-002	09/06/17 S	ISSUE: 224399105 - CRANE CO 575	73.640	0	42,343	36,231	6,112
130006-007	09/06/17 S	ISSUE: 224399105 - CRANE CO 79	72.690	0	5,742	6,270	-527
130006-007	09/06/17 S	ISSUE: 238337109 - DAVE & BUSTER'S ENTERTAINMENT 75	51.879	0	3,891	2,939	952
130006-007	09/06/17 S	ISSUE: 252131107 - DEXCOM INC 120	75.412	0	9,049	10,519	-1,470
130006-002	09/06/17 S	ISSUE: 25243Q205 - DIAGEO PLC SPONSORED ADR 369	134.272	0	49,546	42,817	6,729
130006-007	09/06/17 S	ISSUE: 25278X109 - DIAMONDBACK ENERGY INC 79	92.519	0	7,309	7,627	-318
130006-004	09/06/17 S	ISSUE: 25468PDA1 - WALT DISNEY CO MTN 1.85% 5/30/19 15,000	1.005	0	15,075	15,252	-178
130006-007	09/06/17 S	ISSUE: 25659T107 - DOLBY LABORATORIES INC CL A 140	50.852	0	7,119	7,601	-481
130006-007	09/06/17 S	ISSUE: 25754A201 - DOMINO'S PIZZA INC 52	181.956	0	9,462	9,926	-464
130006-004	09/06/17 S	ISSUE: 263901AC4 - DUKE ENERGY INDIANA 3.75% 7/15/20 30,000	1.051	0	31,522	32,315	-793
130006-007	09/06/17 S	ISSUE: 265504100 - DUNKIN' BRANDS GROUP INC 164	52.242	0	8,568	8,523	45
130006-007	09/06/17 S	ISSUE: 26969P108 - EAGLE MATERIALS INC COM W RIGHTS 91	101.150	0	9,205	8,237	967
130006-007	09/06/17 S	ISSUE: 29084Q100 - EMCOR GROUP INC 146	65.613	0	9,579	9,084	496
130006-007	09/06/17 S	ISSUE: 29362U104 - ENTEGRIS INC 427	26.470	0	11,303	7,438	3,864

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	09/06/17 S	14,000	1.177	0	16,481	15,855	625
ISSUE: 29379VAV5 - ENTERPRISE PRODUCTS 5.7% 2/15/42							
130006-007	09/06/17 S	82	71.698	0	5,879	6,185	-305
ISSUE: 29977A105 - EVERCORE INC							
130006-004	09/06/17 S	24,000	1.015	0	24,364	24,603	-239
ISSUE: 30231GAJ1 - EXXON MOBIL CORP 2.397% 3/06/22							
130006-006	09/06/17 S	61,000	1.006	0	61,367	62,205	-838
ISSUE: 3135G0YM9 - FNMA 1.875% 9/18/18							
130006-006	09/06/17 S	11,000	1.452	0	15,972	16,586	-614
ISSUE: 31359MGK3 - FNMA 6.625% 11/15/30							
130006-006	09/06/17 S	37,000	1.038	0	38,386	39,463	-1,077
ISSUE: 3137EACA5 - FHLMC 3.75% 3/27/19							
130006-008	09/06/17 S	31,264	7.412	0	231,719	229,972	1,747
ISSUE: 317609667 - JEFFERIES ASSET MGMT COMODITY ST ALL							
130006-007	09/06/17 S	256	37.273	0	9,542	9,454	88
ISSUE: 34959E109 - FORTINET INC							
130006-007	09/06/17 S	72	121.445	0	8,744	6,368	2,376
ISSUE: 366651107 - GARTNER INC							
130006-006	09/06/17 S	18,000	1.299	0	23,379	24,036	-657
ISSUE: 36962G3P7 - GEN ELEC CAP CRP MTN 5.875% 1/14/38							
130006-004	09/06/17 S	15,000	1.012	0	15,177	15,378	-201
ISSUE: 36962G7M0 - GENERAL ELEC CAP MTN 2.2% 1/09/20							
130006-007	09/06/17 S	104	95.075	0	9,888	7,983	1,905
ISSUE: 37940X102 - GLOBAL PAYMENTS INC							
130006-003	09/06/17 S	16,000	1.002	0	16,040	16,177	-137
ISSUE: 38141GRC0 - GOLDMAN SACHS GP 2.375% 1/22/18							
130006-006	09/06/17 S	21,000	1.060	0	22,251	22,550	-298
ISSUE: 38141GVM3 - GOLDMAN SACHS MTN 4% 3/03/24							
130006-007	09/06/17 S	189	56.692	0	10,715	8,279	2,436
ISSUE: 400110102 - GRUBHUB INC							
130006-007	09/06/17 S	111	73.852	0	8,198	6,462	1,736
ISSUE: 40171V100 - GUIDEWIRE SOFTWARE INC							
130006-008	09/06/17 S	3,377	56.440	0	190,597	157,510	33,087
ISSUE: 412295305 - HARDING LOEVNER EMRG MKTS FD CL-ADV							
130006-007	09/06/17 S	155	42.374	0	6,568	7,533	-965
ISSUE: 419879101 - HAWAIIAN HOLDINGS INC							
130006-007	09/06/17 S	136	35.772	0	4,865	4,946	-81
ISSUE: 43283X105 - HILTON GRAND VACATIONS INC							

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 437076102 - HOME DEPOT INC COM						
130006-002 09/06/17 S	303	155.270	0	47,047	38,990	8,057
ISSUE: 438516BA3 - HONEYWELL INTL 4.25% 3/01/21						
130006-004 09/06/17 S	25,000	1.079	0	26,962	27,892	-930
ISSUE: 44919P508 - IAC/INTERACTIVECORP						
130006-007 09/06/17 S	116	115.480	0	13,396	7,247	6,149
ISSUE: 44930G107 - ICU MEDICAL INC						
130006-007 09/06/17 S	48	174.006	0	8,352	7,782	570
ISSUE: 458140100 - INTEL CORP COM						
130006-002 09/06/17 S	1,183	35.663	0	42,189	44,658	-2,469
ISSUE: 462222100 - IONIS PHARMACEUTICALS INC						
130006-007 09/06/17 S	163	55.477	0	9,043	6,011	3,031
ISSUE: 46623EKD0 - JPMORGAN CHASE MTN 1.7% 3/01/18						
130006-003 09/06/17 S	16,000	1.000	0	16,005	16,049	-44
ISSUE: 46625HHL7 - JPMORGAN CHASE & CO 6.3% 4/23/19						
130006-004 09/06/17 S	20,000	1.071	0	21,429	21,512	-83
ISSUE: 46625HHS2 - JPMORGAN CHASE MTN 4.4% 7/22/20						
130006-006 09/06/17 S	29,000	1.068	0	30,960	31,491	-531
ISSUE: 478160BT0 - JOHNSON & JOHNSON 2.05% 3/01/23						
130006-004 09/06/17 S	26,000	1.003	0	26,068	26,046	22
ISSUE: 478160104 - JOHNSON & JOHNSON						
130006-002 09/06/17 S	348	130.405	0	45,381	45,829	-448
ISSUE: 500769GR9 - KFW 1.875% 6/30/20						
130006-003 09/06/17 S	33,000	1.009	0	33,283	33,651	-368
ISSUE: 500769HC1 - KFW 1.25% 9/30/19						
130006-003 09/06/17 S	41,000	0.995	0	40,806	40,980	-175
ISSUE: 52470G734 - WESTERN ASSET SMASH SERIES EC FUND						
130006-006 09/06/17 S	32,302	9.830	0	317,532	304,742	12,790
ISSUE: 52470G742 - WESTERN ASSET SMASH SERIES C FUND						
130006-006 09/06/17 S	12,032	9.820	0	118,154	117,398	755
ISSUE: 52470G759 - WESTERN ASSET SMASH SERIES M FUND						
130006-006 09/06/17 S	31,671	11.040	0	349,645	347,248	2,398
ISSUE: 536797103 - LITHIA MOTORS INC CL-A						
130006-007 09/06/17 S	67	108.129	0	7,245	6,576	668
ISSUE: 539830109 - LOCKHEED MARTIN CORPORATION COM						
130006-002 09/06/17 S	163	301.553	0	49,153	39,074	10,079
ISSUE: 571748102 - MARSH & MCLENNAN COS INC COM						
130006-002 09/06/17 S	597	78.712	0	46,991	40,148	6,843

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-002	09/06/17 S	ISSUE: 580135101 - MCDONALD'S CORPORATION 338	158.521	0	53,580	38,992	14,589
130006-007	09/06/17 S	ISSUE: 58471A105 - MEDIDATA SOLUTIONS INC 121	72.883	0	8,819	6,747	2,072
130006-007	09/06/17 S	ISSUE: 58733R102 - MERCADOLIBRE INC 52	256.764	0	13,352	9,618	3,733
130006-004	09/06/17 S	ISSUE: 59156RBB3 - METLIFE INC 4.368% 9/15/23 28,000	1.098	0	30,752	30,786	-34
130006-002	09/06/17 S	ISSUE: 594918104 - MICROSOFT CORP 656	73.683	0	48,336	37,786	10,551
130006-007	09/06/17 S	ISSUE: 596278101 - MIDDLEBY CORPORATION 79	120.767	0	9,541	9,766	-225
130006-007	09/06/17 S	ISSUE: 60877T100 - MOMENTA PHARMACEUTICALS INC 313	16.760	0	5,246	3,659	1,587
130006-004	09/06/17 S	ISSUE: 637432MU6 - NATIONAL RURAL UTIL 2.35% 6/15/20 15,000	1.011	0	15,170	15,342	-172
130006-007	09/06/17 S	ISSUE: 63938C108 - NAVIENT CORP 505	13.840	0	6,989	7,291	-302
130006-002	09/06/17 S	ISSUE: 65339F101 - NEXTERA ENERGY INC 338	149.484	0	50,525	41,351	9,174
130006-007	09/06/17 S	ISSUE: 655663102 - NORDSON CORPORATION 96	109.718	0	10,533	9,564	968
130006-002	09/06/17 S	ISSUE: 655664100 - NORDSTROM INC COM 927	46.826	0	43,408	48,093	-4,685
130006-004	09/06/17 S	ISSUE: 665859AM6 - NORTHERN TRUST CORP 3.375% 8/23/21 21,000	1.046	0	21,976	22,541	-565
130006-002	09/06/17 S	ISSUE: 66987V109 - NOVARTIS AG ADR 560	83.821	0	46,940	44,042	2,898
130006-007	09/06/17 S	ISSUE: 679580100 - OLD DOMINION FREIGHT LINE INC 116	100.020	0	11,602	7,959	3,644
130006-008	09/06/17 S	ISSUE: 683974505 - OPPENHEIMER DEV MARKETS FD CLASS Y 4,638	41.060	0	190,444	157,038	33,406
130006-007	09/06/17 S	ISSUE: 690742101 - OWENS CORNING INC 80	75.238	0	6,019	4,143	1,876
130006-004	09/06/17 S	ISSUE: 69349LAK4 - PNC BANK NA 2.95% 1/30/23 12,000	1.021	0	12,253	12,115	137
130006-004	09/06/17 S	ISSUE: 69351UAP8 - PPL ELECTRIC UTILS 3% 9/15/21 25,000	1.030	0	25,749	25,678	71

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 69353RFB9 - PNC BANK NA 2.625% 2/17/22							
130006-004	09/06/17 S	12,000	1.018	0	12,219	12,112	107
ISSUE: 713448108 - PEPSICO INC COM							
130006-002	09/06/17 S	397	115.898	0	46,012	43,174	2,838
ISSUE: 739276103 - POWER INTEGRATIONS INC							
130006-007	09/06/17 S	107	72.962	0	7,807	6,744	1,063
ISSUE: 74005P104 - PRAXAIR INC COM							
130006-002	09/06/17 S	363	131.034	0	47,565	43,575	3,990
ISSUE: 74112D101 - PRESTIGE BRANDS HOLDINGS INC							
130006-007	09/06/17 S	94	49.339	0	4,638	4,537	100
ISSUE: 742718DY2 - PROCTER & GAMBLE CO 2.3% 2/06/22							
130006-004	09/06/17 S	28,000	1.019	0	28,537	28,626	-88
ISSUE: 74432QCB9 - PRUDENTIAL FINL MTN 2.35% 8/15/19							
130006-004	09/06/17 S	32,000	1.009	0	32,302	32,650	-348
ISSUE: 744448CB5 - PUBLIC SERV CO 5.8% 8/01/18							
130006-004	09/06/17 S	33,000	1.036	0	34,193	35,656	-1,462
ISSUE: 74456QBR6 - PUBLIC SERVICE MTN 2.25% 9/15/26							
130006-004	09/06/17 S	28,000	0.960	0	26,865	25,766	1,100
ISSUE: 745867101 - PULTE GROUP INC							
130006-007	09/06/17 S	527	25.692	0	13,540	12,776	764
ISSUE: 74978Q105 - RSP PERMIAN INC							
130006-007	09/06/17 S	142	31.043	0	4,408	5,472	-1,064
ISSUE: 759351604 - REINSURANCE GROUP OF AMERICA INC							
130006-007	09/06/17 S	104	130.053	0	13,526	12,961	564
ISSUE: 773903109 - ROCKWELL AUTOMATION INC.							
130006-002	09/06/17 S	281	163.874	0	46,049	34,378	11,671
ISSUE: 848637104 - SPLUNK INC							
130006-007	09/06/17 S	147	67.869	0	9,977	8,626	1,351
ISSUE: 857477AM5 - STATE STREET CORP 3.7% 11/20/23							
130006-004	09/06/17 S	13,000	1.077	0	14,008	13,671	337
ISSUE: 867224107 - SUNCOR ENERGY INC							
130006-002	09/06/17 S	1,331	32.242	0	42,914	41,274	1,639
ISSUE: 86787EAN7 - SUNTRUST BANK 2.75% 5/01/23							
130006-004	09/06/17 S	18,000	1.007	0	18,122	18,091	30
ISSUE: 868157108 - SUPERIOR ENERGY SERVICES INC COM							
130006-007	09/06/17 S	246	9.360	0	2,303	4,164	-1,861
ISSUE: 868459108 - SUPERNUS PHARMACEUTICALS INC							
130006-007	09/06/17 S	236	46.890	0	11,066	5,836	5,230

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH EX	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 87612EAV8 - TARGET CORP 3.875% 7/15/20						
130006-004 09/06/17 S	30,000	1.058	0	31,731	32,827	-1,096
ISSUE: 88076W103 - TERADATA CORP						
130006-007 09/06/17 S	174	31.019	0	5,397	5,394	3
ISSUE: 881569107 - TESARO INC						
130006-007 09/06/17 S	36	132.499	0	4,770	5,142	-372
ISSUE: 882508104 - TEXAS INSTRUMENTS INC						
130006-002 09/06/17 S	558	81.897	0	45,699	39,160	6,538
ISSUE: 891906109 - TOTAL SYSTEMS SERVICES INC						
130006-007 09/06/17 S	190	69.302	0	13,167	8,959	4,209
ISSUE: 896239100 - TRIMBLE INCORPORATED						
130006-007 09/06/17 S	362	38.653	0	13,992	11,206	2,786
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS						
130006-007 09/06/17 B	29	0.010	29	29-	29	
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT						
130006-006 09/06/17 B	420	0.010	416	420-	420	
ISSUE: 90385D107 - THE ULTIMATE SOFTWARE GROUP INC						
130006-007 09/06/17 S	29	194.977	0	5,654	6,682	-1,028
ISSUE: 907818108 - UNION PACIFIC CORP COM						
130006-002 09/06/17 S	391	105.999	0	41,445	38,134	3,311
ISSUE: 91086QBD9 - UNITED MEXICAN MTN 3.5% 1/21/21						
130006-006 09/06/17 S	30,000	1.048	0	31,425	31,826	-401
ISSUE: 911312AM8 - UNITED PARCEL 3.125% 1/15/21						
130006-004 09/06/17 S	25,000	1.043	0	26,084	26,702	-619
ISSUE: 911363109 - UNITED RENTALS INC COM						
130006-007 09/06/17 S	111	120.946	0	13,425	8,742	4,683
ISSUE: 912810RM2 - U.S. TREASURY BONDS 3% 5/15/45						
130006-006 09/06/17 S	31,000	1.057	0	32,757	34,455	-1,698
ISSUE: 912810RQ3 - U.S. TREASURY BONDS 2.5% 2/15/46						
130006-006 09/06/17 S	34,000	0.955	0	32,469	30,481	1,987
ISSUE: 912828F21 - U.S. TREASURY NOTES 2.125% 9/30/21						
130006-006 09/06/17 S	16,000	1.021	0	16,332	16,636	-304
ISSUE: 912828J27 - U.S. TREASURY NOTES 2% 2/15/25						
130006-004 09/06/17 S	110,000	1.002	0	110,262	113,633	-3,371
ISSUE: 912828L32 - U.S. TREASURY NOTES 1.375% 8/31/20						
130006-003 09/06/17 S	109,000	0.999	0	108,902	110,211	-1,309
ISSUE: 912828Q45 - U.S. TREASURY NOTES 0.875% 3/31/18						
130006-003 09/06/17 S	46,000	0.998	0	45,925	46,086	-161

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	09/06/17 S	ISSUE: 912828Q52 - U.S. TREASURY NOTES 0.875% 4/15/19 51,000	0.994	0	50,669	51,004	-335
130006-004	09/06/17 S	ISSUE: 912828T42 - U.S. TREASURY NOTES 0.75% 9/30/18 58,000	0.995	0	57,692	57,728	-36
130006-004	09/06/17 S	ISSUE: 912828T67 - U.S. TREASURY NOTES 1.25% 10/31/21 111,000	0.986	0	109,452	108,849	603
130006-003	09/06/17 S	ISSUE: 912828T83 - U.S. TREASURY NOTES 0.75% 10/31/18 130,000	0.994	0	129,243	129,835	-592
130006-004	09/06/17 S	ISSUE: 912828VB3 - U.S. TREASURY BONDS 1.75% 5/15/23 84,000	0.998	0	83,846	85,681	-1,835
130006-003	09/06/17 S	ISSUE: 912828V56 - U.S. TREASURY NOTES 1.125% 1/31/19 113,000	0.998	0	112,793	112,879	-86
130006-003	09/06/17 S	ISSUE: 912828W30 - U.S. TREASURY NOTES 1.125% 2/28/19 164,000	0.998	0	163,673	163,389	285
130006-003	09/06/17 S	ISSUE: 912828XS4 - U.S. TREASURY NOTES 1.25% 5/31/19 120,000	1.000	0	119,944	119,935	9
130006-004	09/06/17 S	ISSUE: 912828A7 - U.S. TREASURY NOTES 1.5% 8/15/26 78,000	0.954	0	74,389	76,720	-2,330
130006-003	09/06/17 S	ISSUE: 912828C3 - U.S. TREASURY NOTES 0.75% 8/31/18 85,000	0.995	0	84,598	84,964	-366
130006-004	09/06/17 S	ISSUE: 913017BR9 - UNITED TECH CORP 4.5% 4/15/20 17,000	1.065	0	18,100	18,792	-692
130006-004	09/06/17 S	ISSUE: 913017CG2 - UNITED TECH CORP 1.95% 11/01/21 11,000	0.991	0	10,903	10,839	64
130006-002	09/06/17 S	ISSUE: 913017I09 - UNITED TECHNOLOGIES CORP COM 381	109.990	0	41,906	38,710	3,197
130006-002	09/06/17 S	ISSUE: 918204I08 - V F CORP 851	63.969	0	54,437	46,715	7,723
130006-007	09/06/17 S	ISSUE: 91879QI09 - VAIL RESORTS INC 39	226.265	0	8,824	8,288	537
130006-002	09/06/17 S	ISSUE: 921908844 - VANGUARD DIVIDEND APPRECIATION ETF 1,441	92.590	0	133,422	120,943	12,479
130006-007	09/06/17 S	ISSUE: 922475I08 - VEEVA SYSTEMS INC 150	58.209	0	8,731	7,163	1,569
130006-006	09/06/17 S	ISSUE: 92343VBR4 - VERIZON COMM INC 5.15% 9/15/23 27,000	1.119	0	30,204	31,323	-1,119
130006-007	09/06/17 S	ISSUE: 929740I08 - WABTEC CORP COM 103	69.946	0	7,204	8,410	-1,206

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-007	09/06/17 S	66	58.800	0	3,881	4,740	-859
130006-006	09/06/17 S	15,000	1.046	0	15,689	15,885	-196
130006-006	09/06/17 B	15,000	1.026	0	15,389-	15,389	
130006-007	09/06/17 S	133	47.189	0	6,276	6,105	171
130006-007	09/06/17 S	106	97.675	0	10,354	7,137	3,217
130006-007	09/06/17 S	129	62.006	0	7,999	6,766	1,233
130006-007	09/07/17 B	53	0.010	52	53-	53	
130006-002	09/07/17 B	4	0.010	4	4-	4	
130006-003	09/07/17 B	4	0.010	4	4-	4	
130006-004	09/07/17 B	288	0.010	285	288-	288	
130006-004	09/07/17 B	7	0.010	7	7-	7	
130006-006	09/07/17 B	2	0.010	2	2-	2	
130006-003	09/08/17 B	1,316,665	0.010	1,303,499	1,316,665-	1,316,665	
130006-004	09/08/17 B	234,354	0.010	232,010	234,354-	234,354	
130006-006	09/08/17 B	781,782	0.010	773,965	781,782-	781,782	
130006-007	09/08/17 B	43	0.010	43	43-	43	
130006-002	09/08/17 B	223,655	0.010	221,418	223,655-	223,655	
130006-003	09/08/17 B	222,568	0.010	220,342	222,568-	222,568	
130006-004	09/08/17 B	202,638	0.010	200,612	202,638-	202,638	

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	09/08/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 235,841	0.010	233,482	235,841-	235,841	
130006-008	09/08/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 527	0.010	521	527-	527	
130006-003	09/08/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 249,622	0.010	0	249,622	249,622	0
130006-008	09/08/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 527	0.010	0	527	527	0
130006-002	09/08/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 762,557	0.010	754,931	762,557-	762,557	
130006-004	09/11/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 740,712	0.010	733,305	740,712-	740,712	
130006-004	09/11/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 7	0.010	7	7-	7	
130006-006	09/11/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 274,699	0.010	271,952	274,699-	274,699	
130006-006	09/11/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 2	0.010	2	2-	2	
130006-003	09/11/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 1,316,665	0.010	0	1,316,665	1,316,665	0
130006-007	09/11/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 42,880	0.010	0	42,880	42,880	0
130006-002	09/11/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 4	0.010	0	4	4	0
130006-004	09/11/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 7	0.010	0	7	7	0
130006-006	09/11/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2	0.010	0	2	2	0
130006-006	09/11/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 250,000	0.010	0	250,000	250,000	0
130006-002	09/11/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 3,890,994	0.010	3,852,084	3,890,994-*	3,890,994	
130006-002	09/11/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 4	0.010	4	4-	4	
130006-004	09/12/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 108,167	0.010	107,085	108,167-	108,167	
130006-006	09/12/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 1,056,483	0.010	0	1,056,483	1,056,483	0

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-003	09/12/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 568	0.010	563		568-	568
130006-008	09/12/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 385	0.010	381		385-	385
130006-002	09/12/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 1,582,436	0.010	1,566,611		1,582,436-	1,582,436
130006-007	09/13/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 60	0.010	60		60-	60
130006-006	09/13/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 446	0.010	441		446-	446
130006-003	09/13/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 950	0.010	0		950	950
130006-006	09/13/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 446	0.010	0		446	446
130006-008	09/13/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 385	0.010	0		385	385
130006-002	09/13/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 635	0.010	629		635-	635
130006-007	09/14/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 584	0.010	0		584	584
130006-002	09/14/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 2,365	0.010	2,341		2,365-	2,365
130006-004	09/15/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 1,083,239	0.010	0		1,083,239	1,083,239
130006-004	09/15/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 247,954	0.010	0		247,954	247,954
130006-002	09/15/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 526	0.010	520		526-	526
130006-004	09/15/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 1,083,239	0.010	1,072,407		1,083,239-	1,083,239
130006-004	09/15/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 110,909	0.010	109,800		110,909-	110,909
130006-004	09/15/17 S	ISSUE: 99T792GA4 - UBS AG DEPOSIT 1,194,149	0.010	0		1,194,149	1,194,149
130006-007	09/18/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 111	0.010	110		111-	111
130006-003	09/18/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 310	0.010	306		310-	310

continued



REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
130006-006	09/18/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,701	0.010	3,664	3,701-	3,701	
130006-002	09/18/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 1,461,506	0.010	1,446,891	1,461,506-	1,461,506	
130006-003	09/19/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 310	0.010	0	310	310	0
130006-004	09/19/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 2,046	0.010	0	2,046	2,046	0
130006-006	09/19/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 3,701	0.010	0	3,701	3,701	0
130006-002	09/19/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 318	0.010	315	318-	318	
130006-007	09/20/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 111	0.010	0	111	111	0
130006-002	09/20/17 S	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 250,000	0.010	0	250,000	250,000	0
130006-002	09/20/17 B	ISSUE: 99T792GA4 - UBS AG DEPOSIT 6,168	0.010	6,107	6,168-	6,168	
130006-002	09/20/17 S	ISSUE: 99T792GA4 - UBS AG DEPOSIT 7,707,508	0.010	0	7,707,508 *	7,707,508	0
130006-007	09/21/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 35	0.010	34	35-	35	
130006-003	09/25/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 110	0.010	108	110-	110	
130006-006	09/25/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 94	0.010	93	94-	94	
130006-007	09/25/17 B	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 18	0.010	18	18-	18	
130006-002	09/25/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 574	0.010	568	574-	574	
130006-002	09/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 258	0.010	256	258-	258	
130006-004	09/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 740	0.010	732	740-	740	
130006-006	09/26/17 B	ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT 1,443	0.010	1,428	1,443-	1,443	
130006-003	09/27/17 S	ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS 110	0.010	0	110	110	0

continued



WILMINGTON
TRUST

REPORTABLE TRANSACTIONS WORKSHEET

Pharmachem Laboratories, Inc
Employee Stock Ownership Plan
Schedule of Reportable Transactions
For the Year Ended September 30, 2017
Employer Identification Number 22-1625213
Plan Number 002

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL EX	CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ISSUE: 90262Y307 - UBS MONEY SER LIQUID ASSETS							
130006-007 09/29/17 B	15	0.010		15		15-	15
ISSUE: 90299A934 - UBS BANK USA DEPOSIT ACCOUNT							
130006-002 09/29/17 B	556	0.010		551		556-	556
SUB-TOTAL			\$13938651		39,096,858	38,830,828	266,025
GRAND TOTAL			\$13938651		39,096,858	38,830,828	266,025

Pharmachem Laboratories, Inc. Employee Stock Ownership Plan

Schedule of Assets (Held at End of Year) as of September 30, 2017 Employer Identification Number 22-1625213 - Plan Number 002

(a)	(b)	(c)	(d)	(e)
Identity of Issue, Borrower, Lessor or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost	Current Value	
Corporate Bonds				
ABBVIE INC	330,000; 1.800%; Due 05-14-18	\$ 330,525	\$ 330,459	
ACTAVIS FUNDING SCS	1,250,000; 2.350%; Due 3-12-18	1,253,963	1,253,637	
AIG GLOBAL FNDG MTN	1,250,000; 1.650%; Due 12-15-17	1,250,838	1,250,637	
AMERICAN EXPRESS CO	1,250,000; 1.550%; Due 05-22-18	1,249,913	1,249,837	
AMGEN INC	1,250,000; 5.700%; Due 02-01-19	1,315,825	1,313,012	
ASTRAZENECA PLC	1,250,000; 1.750%; Due 11-16-18	1,250,000	1,249,675	
AT&T INC	1,250,000; 5.500%; Due 02-01-18	1,268,025	1,265,875	
BANK NOVA SCOTIA	1,095,000; 2.050%; Due 10-30-18	1,100,858	1,099,489	
BANK OF AMER CORP	1,250,000; 1.950%; Due 05-12-18	1,252,600	1,251,787	
BANK OF MONTREAL MTN	1,250,000; 1.350%; Due 08-28-18	1,247,150	1,246,987	
BERKSHIRE HATHAWAY	1,250,000; 1.700%; Due 03-15-19	1,253,363	1,252,425	
BNP PARIBAS MTN	1,250,000; 2.700%; Due 08-20-18	1,262,025	1,261,187	
BP CAPITAL PLC	1,250,000; 1.375; Due 05-10-18	1,249,225	1,249,225	
CAPITAL ONE NA	1,250,000; 2.350%; Due 08-17-18	1,256,850	1,254,750	
CATERPILLAR FINL MTN	1,250,000; 1.800%; Due 11-13-18	1,252,475	1,251,575	
CHEVRON CORP	1,250,000; 1.790%; Due 11-16-18	1,253,713	1,252,350	
CISCO SYSTEMS	1,250,000; 2.125; Due 03-01-19	1,259,388	1,259,437	
CITIGROUP INC	1,250,000; 1.700%; Due 04-27-18	1,250,488	1,249,887	
CMWLTH BK AUSTRALIA	1,250,000; 2.250%; Due 03-13-19	1,260,100	1,257,637	
COMCAST CORP	500,000; 5.875%; Due 02-15-18	508,650	508,000	
CREDIT SUISSE	1,250,000; 1.700%; Due 04-27-18	1,251,400	1,250,988	
CVS HEALTH CORP	1,250,000; 1.900%; Due 07-20-18	1,253,700	1,253,000	
DUKE ENERGY PROG V-Q	1,000,000; 1.516%; Due 11-20-17	1,000,360	1,000,320	
EATON CORP	1,118,000; 1.500%; Due 11-02-17	1,118,011	1,117,866	
ENTERPRISE PRODUCTS	1,250,000; 6.650%; Due 04-15-18	1,285,313	1,282,463	
FORD MOTOR CREDIT	1,250,000; 2.240%; Due 06-15-18	1,254,975	1,254,200	
GEN MOTORS FINL CO	600,000; 2.400%; Due 04-10-18	602,238	601,914	
GENERAL DYNAMICS	550,000; 1.000%; Due 11-15-17	549,791	549,758	
GENERAL ELECTRIC CO	1,250,000; 5.250%; Due 12-06-17	1,260,538	1,258,725	
GILEAD SCIENCES	1,250,000; 1.850%; Due 09-04-18	1,253,600	1,253,075	
GOLDMAN SACHS GP	1,375,000; 2.900%; Due 07-19-18	1,388,489	1,387,554	
HSBC USA INC	1,250,000; 2.625%; Due 09-24-18	1,262,988	1,261,888	
JOHN DEERE CAP MTN	1,250,000; 1.550%; Due 12-15-17	1,250,625	1,250,450	
JPMORGAN CHASE & CO	1,250,000; 1.800%; Due 01-25-18	1,251,613	1,250,962	
KROGER CO/THE	1,250,000; 2.000%; Due 01-15-19	1,254,500	1,252,175	
MCDONALD'S CORP MTN	1,250,000; 2.100%; Due 12-07-18	1,257,537	1,255,888	
MEDTRONIC INC	1,250,000; 1.500%; Due 03-15-18	1,250,275	1,250,025	
MET LIFE GLOBAL FNDG	1,250,000; 1.500%; Due 01-10-18	1,250,262	1,249,913	
NATL AUS BANK/NY MTN	1,250,000; 1.875%; Due 07-23-18	1,254,100	1,252,863	
NORSK HYDRO A/S	1,250,000; 6.700%; Due 01-15-18	1,271,387	1,269,775	
ONTARIO PROVINCE	1,250,000; 1.200%; Due 02-14-18	1,249,212	1,248,575	
PNC BANK NA	1,250,000; 1.600%; Due 06-01-18	1,250,862	1,250,763	
ROYAL BNK CANADA MTN	1,250,000; 2.000%; Due 12-10-18	1,255,275	1,253,563	
SOUTHERN POWER	1,250,000; 1.500%; Due 06-01-18	1,249,512	1,249,100	
SUMITOMO MITSUI BK	1,250,000; 1.762%; Due 10-19-18	1,251,425	1,249,963	
SYSCO CORP	1,250,000; 5.250%; Due 02-12-18	1,268,412	1,266,500	
TORONTO DOM BANK MTN	1,250,000; 1.450%; Due 09-06-18	1,249,012	1,248,150	
TOTAL CAPITAL INTL	1,200,000; 2.125%; Due 01-10-19	1,208,208	1,207,680	
TOYOTA MOTOR MTN	1,405,000; 2.000%; Due 10-24-18	1,413,458	1,411,730	
UBS AG STAMFORD MTN	1,250,000; 1.800%; Due 03-26-18	1,252,275	1,252,025	
UNION PACIFIC RR	1,250,000; 2.250%; Due 02-15-19	1,261,962	1,259,425	

**Pharmachem Laboratories, Inc.
Employee Stock Ownership Plan**

**Schedule of Assets (Held at End of Year) as of September 30, 2017
Employer Identification Number 22-1625213 - Plan Number 002**

(a)	(b)	(c)	(d)	(e)
Identity of Issue, Borrower, Lessor or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost	Current Value	
UNITEDHEALTH GRP INC	1,250,000; 1.700%; Due 02-15-19	\$ 1,251,500	\$ 1,249,213	
WAL-MART STORES INC	1,250,000; 1.950%; Due 12-15-18	1,257,987	1,257,050	
WELLS FARGO CO	1,250,000; 2.150%; Due 01-15-19	1,257,112	1,256,325	
Total Corporate Bonds		\$ 64,523,888	\$ 64,471,732	
Cash and Cash Equivalents				
AMERICAN HONDA FINANCE DCP 43024		\$ 1,248,816	\$ 1,249,475	
BANK OF TOKYO-MITSUBISI DCP 43042		1,247,865	1,248,750	
CREDIT AGRICOLE CRP&IN DCP 43028		1,248,545	1,249,313	
FEDERATED GOV'T OBLIGATIONS CL PRM		66,186,217	66,186,217	
PACCAR FINANCIAL CORP. DCP 43021		1,248,901	1,249,588	
UBS BANK USA DEPOSIT ACCOUNT		3,571	3,571	
UBS MONEY SER LIQUID ASSETS		162	162	
WILMINGTON US GOVT MONEY MKT CL SLCT		11,250	11,250	
Total Cash and Cash Equivalents		\$ 71,195,327	\$ 71,198,326	
Mutual Funds				
FEDERATED SHORT-TERM INC-Y		\$ 33,000,000	\$ 32,929,660	
PUTNAM SHORT DUR INCOME-Y		33,000,000	33,000,000	
Total Mutual Funds		\$ 66,000,000	\$ 65,929,660	