Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2019

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
SARGENT AND LUNDY LLC SAVINGS INVESTMENT PLAN

1b Three-digit plan number (PN) ▶ 003

1c Effective date of plan 01/01/1981

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
SARGENT AND LUNDY, L.L.C.

55 EAST MONROE STREET
CHICAGO, IL 60603

2b Employer Identification Number (EIN) 36-1729848

2c Plan Sponsor's telephone number 312-269-2130

2d Business code (see instructions) 541330

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	09/02/2020	DAWN MCMILLIN
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address [X] Same as Plan Sponsor	**3b** Administrator's EIN	
	3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: **a** Sponsor's name **c** Plan Name	**4b** EIN **4d** PN	
5 Total number of participants at the beginning of the plan year	**5**	3684
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1) Total number of active participants at the beginning of the plan year	**6a(1)**	1857
a(2) Total number of active participants at the end of the plan year	**6a(2)**	2121
b Retired or separated participants receiving benefits	**6b**	305
c Other retired or separated participants entitled to future benefits	**6c**	1468
d Subtotal. Add lines **6a(2)**, **6b**, and **6c**	**6d**	3894
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	5
f Total. Add lines **6d** and **6e**	**6f**	3899
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	3801
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	18
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2E 2F 2G 2J 2K 2R 2S 2T 3F

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)		**9b** Plan benefit arrangement (check all that apply)	
(1)	[ ] Insurance	**(1)**	[ ] Insurance
(2)	[ ] Code section 412(e)(3) insurance contracts	**(2)**	[ ] Code section 412(e)(3) insurance contracts
(3)	[X] Trust	**(3)**	[X] Trust
(4)	[ ] General assets of the sponsor	**(4)**	[ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [ ] ___ **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2019 Form M-1 annual report. If the plan was not required to file the 2019 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE C (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Service Provider Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2019

This Form is Open to Public Inspection.

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan
SARGENT AND LUNDY LLC SAVINGS INVESTMENT PLAN

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500
SARGENT AND LUNDY, L.L.C.

D Employer Identification Number (EIN)
36-1729848

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

STRATEGIC ADVISORS, INC.

04-2654524

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	ADVISOR	181996	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

AON

36-3109431

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	INVESTMENT ADVISOR	61500	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
37 60 64 65 71	RECORDKEEPER	46650	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [X] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ABF BALANCED R5 - DST ASSET MANAGE 430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ABF INTL EQUITY R5 - DST ASSET MAN 430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ABF LG CAP VAL R5 - DST ASSET MANA 430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.04%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ABF SM CAP VAL R5 - DST ASSET MANA 430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALGER CAP APPREC I - UMB FUND SERV 39-1657495	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALGER MIDCAP GRTH I - UMB FUND SER 39-1657495	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALGER SM CAP GR IS I - UMB FUND SE 39-1657495	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AM CENT SM COMPANY I - AMERICAN CE 44-0619208	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMG M LS BOND I - BNY MELLON INVES P.O. BOX 9793 PROVIDENCE, RI 02940	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMG M SPECIAL EQ I - BNY MELLON IN	P.O. BOX 9793 PROVIDENCE, RI 02940	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARIEL APPREC INST - U.S. BANK GLOB	615 EAST MICHIGAN STREET MILWAUKEE, WI 53202	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARIEL FUND INST - U.S. BANK GLOBAL	615 EAST MICHIGAN STREET MILWAUKEE, WI 53202	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON ASSET INST - DST SYSTEMS INC 43-1581814	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON GROWTH INST - DST SYSTEMS IN 43-1581814	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
C&S INST REALTY SHS - BOSTON FINAN P.O. BOX 8480 BOSTON, MA 02266	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CALVERT BALANCED I - DST ASSET MAN 430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CALVERT EQUITY I - DST ASSET MANAG 430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CALVERT INTL EQ I - DST ASSET MANA 430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CALVERT MID-CAP I - DST ASSET MANA	430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CB VALUE TR I - BNY MELLON INVESTM	P.O. BOX 9793 PROVIDENCE, RI 02940	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DWS CROCI EQ DIV IS - DWS SERVICE	DEAWM SERVICE COMPANY 210 W. 10TH STREET KANSAS CITY, MO 64105	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FPA CRESCENT - UMB FUND SERVICES I 39-1657495	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FPA CRESCENT - UMB FUND SERVICES I 39-1657495	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HRDG LVNR INTL EQ IS - NORTHERN TR 50 SOUTH LASALLE STREET CHICAGO, IL 60603	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS GBL SMMDCPGR R5 - INVESCO INV 11 GREENWAY PLAZA, SUITE 100 HOUSTON, TX 77046	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
J H GLB RESEARCH I - JANUS SERVICE 43-1804048	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF ACTIVE INTL I - DST ASSET MAN 430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF CP FX INC I - DST ASSET MANAG	430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NB CORE BOND INST - DST ASSET MANA	430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NB FOCUS INST - DST ASSET MANAGER	430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NB GUARDIAN INST - DST ASSET MANAG	430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NB LG CAP VAL INST - DST ASSET MAN	430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRMCP ODYSSEY STOCK - U.S. BANCORP	P.O. BOX 701 MILWAUKEE, WI 53201	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RHJ MICRO CAP - DST SYSTEMS INC 43-1581814	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ROYCE MICRO-CAP INV - DST ASSET MA 430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.20%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TCHSTN FOCUSED I - BNY MELLON INVE P.O. BOX 9793 PROVIDENCE, RI 02940	0.07%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TCHSTN INTL SMCAP I - BNY MELLON I	P.O. BOX 9793 PROVIDENCE, RI 02940	0.07%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TCW SELECT EQUITY I - U.S. BANCORP	P.O. BOX 701 MILWAUKEE, WI 53201	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAA CRNSTN MOD AGGR - VICTORY CAP	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAA EMRG MKTS INST - VICTORY CAPI	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAA GOVT SEC INST - VICTORY CAPIT	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAA GROWTH INST - VICTORY CAPITAL	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAA INCOME INST - VICTORY CAPITAL 9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAA INCOME STK INST - VICTORY CAP 9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAA INTL INST - VICTORY CAPITAL T 9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VRS SMALL CAP GRTH Y - FIS INVESTO	4249 EASTON WAY SUITE 400 COLUMBUS, OH 43219	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WF GOVT SECS IS - DST ASSET MANAGE	430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WF OPPORTUNITY IS - DST ASSET MANA	430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.15%

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2019

This Form is Open to Public Inspection.

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan
SARGENT AND LUNDY LLC SAVINGS INVESTMENT PLAN

B Three-digit plan number (PN) ▶ 003

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
SARGENT AND LUNDY, L.L.C.

D Employer Identification Number (EIN)
36-1729848

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE:	FIDELITY SHORT INTMEDIATE COM POOL		
b Name of sponsor of entity listed in (a):	FIDELITY MANAGMENT TRUST CO		
c EIN-PN	04-3022712-096	**d** Entity code	C
e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	140157996		
a Name of MTIA, CCT, PSA, or 103-12 IE:	VANGUARD TARGET 2015		
b Name of sponsor of entity listed in (a):	VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN	90-6083984-001	**d** Entity code	C
e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	10359848		
a Name of MTIA, CCT, PSA, or 103-12 IE:	VANGUARD TARGET 2020		
b Name of sponsor of entity listed in (a):	VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN	90-6083982-001	**d** Entity code	C
e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	20621286		
a Name of MTIA, CCT, PSA, or 103-12 IE:	VANGUARD TARGET 2025		
b Name of sponsor of entity listed in (a):	VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN	90-6083980-001	**d** Entity code	C
e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	16501281		
a Name of MTIA, CCT, PSA, or 103-12 IE:	VANGUARD TARGET 2030		
b Name of sponsor of entity listed in (a):	VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN	90-6083978-001	**d** Entity code	C
e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	13798912		
a Name of MTIA, CCT, PSA, or 103-12 IE:	VANGUARD TARGET 2035		
b Name of sponsor of entity listed in (a):	VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN	90-6083976-001	**d** Entity code	C
e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	14381716		
a Name of MTIA, CCT, PSA, or 103-12 IE:	VANGUARD TARGET 2040		
b Name of sponsor of entity listed in (a):	VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN	90-6083974-001	**d** Entity code	C
e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	16419234		

a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET 2045

b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
90-6083972-001	C	27307826

a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET 2050

b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
90-6083970-001	C	39697430

a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET 2055

b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
27-6715091-001	C	13324180

a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET 2060

b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
45-3799419-001	C	5908489

a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET 2065

b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
82-6194314-001	C	341017

a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET INC

b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
90-6083967-001	C	4489213

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2019

This Form is Open to Public Inspection

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan
SARGENT AND LUNDY LLC SAVINGS INVESTMENT PLAN

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500
SARGENT AND LUNDY, L.L.C.

D Employer Identification Number (EIN)
36-1729848

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	0
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	0	0
(2) Participant contributions	1b(2)	0	0
(3) Other	1b(3)	0	120
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	13598330	13534663
(2) U.S. Government securities	1c(2)	206966	0
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	0	0
(B) All other	1c(3)(B)	77080	60806
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	0	0
(B) Common	1c(4)(B)	17108390	16401249
(5) Partnership/joint venture interests	1c(5)	0	0
(6) Real estate (other than employer real property)	1c(6)	0	0
(7) Loans (other than to participants)	1c(7)	0	0
(8) Participant loans	1c(8)	7240974	7441364
(9) Value of interest in common/collective trusts	1c(9)	297272685	323308428
(10) Value of interest in pooled separate accounts	1c(10)	0	0
(11) Value of interest in master trust investment accounts	1c(11)	0	0
(12) Value of interest in 103-12 investment entities	1c(12)	0	0
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	497614352	583744715
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)	-19880	0

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	**1d(1)**	0	0
	(2) Employer real property	**1d(2)**	0	0
e	Buildings and other property used in plan operation	**1e**	0	0
f	Total assets (add all amounts in lines 1a through 1e)	**1f**	833098897	944491345
	Liabilities			
g	Benefit claims payable	**1g**	0	0
h	Operating payables	**1h**	35895	33115
i	Acquisition indebtedness	**1i**	0	0
j	Other liabilities	**1j**	45907	3436
k	Total liabilities (add all amounts in lines 1g through1j)	**1k**	81802	36551
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	**1l**	833017095	944454794

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	**2a(1)(A)**	11500162	
	(B) Participants	**2a(1)(B)**	26114488	
	(C) Others (including rollovers)	**2a(1)(C)**	4795476	
	(2) Noncash contributions	**2a(2)**	0	
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	**2a(3)**		42410126
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	**2b(1)(A)**	221576	
	(B) U.S. Government securities	**2b(1)(B)**	198	
	(C) Corporate debt instruments	**2b(1)(C)**	2177	
	(D) Loans (other than to participants)	**2b(1)(D)**	0	
	(E) Participant loans	**2b(1)(E)**	390861	
	(F) Other	**2b(1)(F)**	0	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	**2b(1)(G)**		614812
	(2) Dividends: **(A)** Preferred stock	**2b(2)(A)**	0	
	(B) Common stock	**2b(2)(B)**	256235	
	(C) Registered investment company shares (e.g. mutual funds)	**2b(2)(C)**	25149927	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	**2b(2)(D)**		25406162
	(3) Rents	**2b(3)**		0
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	**2b(4)(A)**	62696640	
	(B) Aggregate carrying amount (see instructions)	**2b(4)(B)**	59886540	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	**2b(4)(C)**		2810100
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	**2b(5)(A)**	0	
	(B) Other	**2b(5)(B)**	751464	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	**2b(5)(C)**		751464

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		33347483
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		101733127
c Other income	2c		3834221
d Total income. Add all **income** amounts in column (b) and enter total	2d		210907495
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	98562441	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		98562441
f Corrective distributions (see instructions)	2f		14572
g Certain deemed distributions of participant loans (see instructions)	2g		32425
h Interest expense	2h		0
i Administrative expenses: (1) Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	0	
(3) Investment advisory and management fees	2i(3)	607853	
(4) Other	2i(4)	316789	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		924642
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		99534080
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		111373415
l Transfers of assets:			
(1) To this plan	2l(1)		64284
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unmodified **(2)** ☐ Qualified **(3)** ☒ Disclaimer **(4)** ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: CROWE LLP **(2)** EIN: 35-0921680

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. **(2)** ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		20000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n		X	

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ..... ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year_____. (See instructions.)

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2019

This Form is Open to Public Inspection.

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan
SARGENT AND LUNDY LLC SAVINGS INVESTMENT PLAN

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500
SARGENT AND LUNDY, L.L.C.

D Employer Identification Number (EIN)
36-1729848

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1**

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 04-6568107

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

20 **PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation_____

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN

Chicago, Illinois

FINANCIAL STATEMENTS

December 31, 2019 and 2018

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
Chicago, Illinois

FINANCIAL STATEMENTS
December 31, 2019 and 2018

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Crowe LLP
Independent Member Crowe Global

INDEPENDENT AUDITOR'S REPORT

To the Plan Administrator of the
Sargent & Lundy, L.L.C.
Savings Investment Plan
Chicago, Illinois

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Sargent & Lundy, L.L.C. Savings Investment Plan, which comprise the statements of net assets available for benefits as of December 31, 2019 and 2018, and the related statement of changes in net assets available for benefits for the year ended December 31, 2019, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Fidelity Management Trust Company, the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained certification from the trustee as of December 31, 2019 and 2018 and for the year ended December 31, 2019 that the information provided to the plan administrator by the trustee is complete and accurate.

(Continued)

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental Schedule H, Line 4i - Schedule of Assets (Held at Year End) as of December 31, 2019 is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for purposes of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.



Crowe LLP

Oak Brook, Illinois
August 28, 2020

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
December 31, 2019 and 2018

	2019	2018
ASSETS		
Investments, at fair value	$ 796,878,627	$ 680,113,233
Investments, at contract value	140,134,803	145,662,888
Total investments	937,013,430	825,776,121
Receivables		
Notes receivable from participants	7,441,364	7,240,974
Total assets	944,454,794	833,017,095
NET ASSETS AVAILABLE FOR BENEFITS	$ 944,454,794	$ 833,017,095

See accompanying notes to financial statements.

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
Year ended December 31, 2019

Additions to net assets attributed to:	
Investment income	
Net appreciation in fair value of investments	$ 139,440,313
Interest and dividend income	28,669,596
Total investment income	168,109,909
Contributions	
Employer	11,500,162
Employee	26,114,489
Rollovers	4,772,797
Total contributions	42,387,448
Other Income	64,284
Total additions	210,561,641
Deductions from net assets attributed to:	
Benefits paid to participants	98,625,157
Administrative expenses	498,785
Total deductions	99,123,942
Net increase in net assets available for benefits	111,437,699
Net assets available for benefits	
Beginning of year	833,017,095
End of year	$ 944,454,794

See accompanying notes to financial statements.

NOTE 1 - PLAN DESCRIPTION

The Sargent & Lundy, L.L.C. Savings Investment Plan ("the Plan") is a defined contribution plan covering all eligible employees, as defined, of Sargent & Lundy, L.L.C.; S&L Engineers, Ltd.; Sargent & Lundy Illinois, Incorporated (dissolved as of December 31, 2016); Sargent & Lundy Enterprises, L.L.C. (dissolved as of December 31, 2016) ; Sargent & Lundy Texas, L.L.C. (dissolved as of December 31, 2016); Sargent & Lundy International, Incorporated (collectively, "the Company"). The Plan is subject to the applicable provisions of the Employee Retirement Income Security Act of 1974 ("ERISA"), as amended. A further description of the Plan can be obtained from the plan document.

Contributions: Participants may make pretax and after-tax contributions subject to a combined maximum of the limits as defined in the plan document. Contributions are subject to the contribution limitations of the Internal Revenue Code ("IRC"). Absent an election in the first 60 days of employment, newly hired full-time employees will be automatically enrolled in the Plan at a pretax contribution rate of 6% of eligible compensation. The Plan has also adopted a contribution escalation clause which provides that, unless declined, each impacted participant who is contributing less than 10% of compensation to the Plan shall be deemed to have elected to increase his or her contribution percentage by 1% on each April 1, until the rate reaches 10% of compensation.

The Company contributes 50% of the first 6% of base compensation that an eligible participant contributes to the Plan. Additionally, the Company may make a discretionary contribution (non-matching contributions) to active eligible employees participating in the Plan. During 2019, the Company made a discretionary contribution of 3% of base compensation.

Participant Accounts: Each participant's account is credited with the participant's contribution and allocation of the Company's contribution (if eligible), investment earnings, and charged with his or her withdrawals and any applicable transaction fees. The benefit to which a participant is entitled is the participant's vested account balances.

Notes Receivable from Participants: Participants may obtain loans from plan assets based on individual account balances not to exceed the lesser of $50,000 or one half of their vested balance. Interest is charged at the U.S. prime rate, as quoted by the *Reuters News Service* as of the first day of the month in which the note is requested, plus 1 percentage point. The rate is fixed for the term of the note. Principal and interest payments over a period from 12 to 60 months are due semi-monthly for active full-time employees and monthly for terminated and temporary employees.

Vesting: Participants are immediately fully vested in participant contributions and fully vested in all employer contributions after one year of service.

Forfeited Accounts: The non-vested portion of terminated participant accounts are forfeited and may be used to reduce future company contributions, reduce plan expenses, or pay for new services.

Investment Options: Employees can choose any investment fund option offered or individual security investments (through a brokerage account option) to allocate their account balances. The Stable Value Fund, arranged through Fidelity Management Trust Company ("Fidelity") specifically for the Company, is composed of fixed income-type investments, such as individual and commingled guaranteed investment contracts, which are structured to yield a stable positive rate of return. The contributions made by each participant, as well as Company contributions, are allocated to investments based on elections made by the participant or to a default fund if no election is made.

(Continued)

NOTE 1 - PLAN DESCRIPTION (Continued)

Payment of Benefits: On termination of service, a participant may elect to remain in the Plan or choose from a number of distribution or loan options (some restrictions may apply). These options also apply to the beneficiary of a deceased participant.

Administration of the Plan and Trust: The Plan is administered by the Sargent & Lundy, L.L.C. Savings Investment Plan Committee. The trust created by the Plan is administered by the trustee, Fidelity. Trustee fees, legal expenses, and various other costs incurred in connection with the administration of the Plan may be paid by the Company or from the trust fund.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation: The accompanying financial statements are prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

Use of Estimates: The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures, and actual results could differ from those estimates.

Investment Valuation and Income Recognition: The Plan's investments, other than fully benefit-responsive investment contracts, are stated at fair value. Fair value is the price that would be received by the Plan for an asset or paid by the Plan to transfer a liability (an exit price) in an orderly transaction between market participants on the measurement date in the Plan's principal or most advantageous market for the asset or liability. Contract value is the relevant measure for the Plan's fully benefit-responsive investment contracts, because contract value is the amount plan participants generally receive when executing transactions under the terms of the contract and plan provisions. Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Notes Receivable from Participants: Notes receivable from participants are reported at their unpaid principal balance plus any accrued but unpaid interest, with no allowance for credit losses, as repayments of principal and interest are received through payroll deductions and the notes are collateralized by the participants' account balances.

Payment of Benefits: Benefits are recorded when paid. A participant who has attained the age of 59-1/2 and is fully vested in all of his account balances may irrevocably elect to withdraw in a lump sum all or any portion of the net credit balances in his accounts.

Risks and Uncertainties: The Plan provides for various investments that, in general, are exposed to various risks, such as interest rate, credit, liquidity and overall market volatility risks. Due to the level of risk and uncertainty associated with certain investments and the sensitivity of certain fair value estimates to changes in valuation assumptions, it is at least reasonably possible that changes in the fair values of investments will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits and the participants' individual account balances.

(Continued)

NOTE 3 - UNAUDITED INFORMATION – CERTIFIED INVESTMENTS AND NOTES RECEIVABLE FROM PARTICIPANTS

Substantially all information pertaining to the Plan's investments and notes receivable from participants included in the financial statements, including the associated investment income (loss) and interest earned on notes receivable from participants, was obtained or derived from information supplied to the plan administrator and certified as complete and accurate by Fidelity Management Trust Company, the trustee of the Plan. This information has not been audited by independent accountants.

NOTE 4 - FAIR VALUE MEASUREMENTS

Fair value measurements are determined by maximizing the use of observable inputs and minimizing the use of unobservable inputs when measuring fair value. The hierarchy places the highest priority on unadjusted quoted market prices in active markets for identical assets or liabilities (Level 1 measurements) and gives the lowest priority to unobservable inputs (Level 3 measurements). The three levels of inputs within the fair value hierarchy are defined as follows:

Level 1: Quoted prices (unadjusted) for identical assets or liabilities in active markets that the Plan has the ability to access as of the measurement date.

Level 2: Significant other observable inputs other than Level 1 prices such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data.

Level 3: Significant unobservable inputs that reflect the Plan's own assumptions about the assumptions that market participants would use in pricing an asset or liability.

In some cases, a valuation technique used to measure fair value may include inputs from multiple levels of the fair value hierarchy. The lowest level of significant input determines the placement of the entire fair value measurement in the hierarchy.

(Continued)

NOTE 4 - FAIR VALUE MEASUREMENTS (Continued)

The following descriptions of the valuation methods and assumptions used by the Plan to estimate the fair values of investments apply to investments held directly by the Plan.

Mutual funds: The fair values of mutual fund investments are determined by obtaining quoted prices on nationally recognized securities exchanges (Level 1 inputs).

Common stocks: The fair values of common stocks are determined by obtaining quoted prices on nationally recognized securities exchanges (Level 1 inputs).

*Collective trusts***:** The fair values of participation units held in collective trusts are based on the net asset values reported by the fund managers as of the financial statement dates and recent transaction prices. Each collective trust provides for daily redemptions by the Plan at reported net asset values per share with no advance notice requirements.

*Self-directed brokerage accounts***:** These primarily consist of mutual funds, common stocks, and interest-bearing cash, measured at fair value as described for these general investment types within this note.

Interest-bearing cash: Fair values of money market accounts and savings deposit accounts are estimated to approximate deposit account balances, payable on demand, as no discounts for credit quality or liquidity were determined to be applicable (Level 2 inputs).

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

Investments measured at fair value on a recurring basis are summarized below:

	Fair Value Measurements at December 31, 2019, Using		
	Total	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)
Mutual funds	$ 572,872,433	$ 572,872,433	$ -
Brokerage account	38,201,389	28,136,152	10,065,237
Interest bearing cash	2,654,372	-	2,654,372
Collective Trusts*	183,150,433	-	-
Total	$ 796,878,627	$ 601,008,585	$ 12,719,609

(Continued)

NOTE 4 - FAIR VALUE MEASUREMENTS (Continued)

	Total	Fair Value Measurements at December 31, 2018, Using: Quoted Prices in Active Markets for Identical Assets (Level 1)	Fair Value Measurements at December 31, 2018, Using: Significant Other Observable Inputs (Level 2)
Mutual funds	$ 488,170,045	$ 488,170,045	$ -
Brokerage account	37,088,403	27,276,798	9,811,605
Interest bearing cash	3,326,791	-	3,326,791
Collective Trusts*	151,527,994	-	-
Total	$ 680,113,233	$ 515,446,843	$ 13,138,396

* Investments measured at fair value using net asset value per share (or its equivalent) as a practical expedient have not been classified in the fair value hierarchy. The fair value amounts presented in the hierarchy tables for such investments are intended to permit reconciliation of the fair value hierarchy to the investments at fair value line item presented in the statement of net assets available for benefits.

NOTE 5 - FULLY BENEFIT-RESPONSIVE INVESTMENT CONTRACTS

The Plan holds fully benefit-responsive investment contracts that are reported at contract value, which is generally the amount a participant would receive if he or she would initiate a withdrawal or transfer from the contract under the provisions of the Plan. Contract value represents contributions made to the contract, plus earnings, less participant withdrawals and administrative expenses. The contract value of the Plan's fully benefit-responsive investment contracts, by general type, are presented below:

	2019	2018
Synthetic investment contracts	$ 140,134,803	$ 145,662,888
Total investments at contract value	$ 140,134,803	$ 145,662,888

The synthetic investment contracts held by the Plan include wrap contracts that provide a guarantee that the credit rate will not fall below 0%. Cash flow volatility (for example, timing of benefit payments) as well as asset underperformance can be passed through to the Plan through adjustments to future crediting rates. Formulas are provided in each contract that adjusts renewal crediting rates to recognize the difference between the fair value and the book value of the underlying assets.

The contracts specify certain conditions under which distributions from the contracts would be payable at amounts below contract value. Such circumstances include, but are not limited to, plan termination, plan merger, premature contract termination initiated by the employer, and certain other employer-initiated events, such as a sale of a division, office closure, or layoff that results in distributions exceeding a set amount. The contracts limit the circumstances under which the Issuers may terminate the contracts.

(Continued)

NOTE 5 - FULLY BENEFIT-RESPONSIVE INVESTMENT CONTRACTS (Continued)

Examples of circumstances which would allow the Issuer to terminate the contracts include: the Plan's loss of its qualified status, uncured material breaches of responsibilities or material and adverse changes to the provisions of the Plan. If one of these events were to occur, the Issuers could terminate the contracts at amounts less than contract value. Currently, management believes that the occurrence of an event that would cause the Plan to transact contract distributions at less than contract value is not probable.

NOTE 6 - TAX STATUS

The Internal Revenue Service has determined and informed the Company by a letter dated October 7, 2015, that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code (IRC). Although the Plan has been amended since receiving the determination letter, the plan administrator believes that the Plan is designed and currently being operated in compliance with requirement of the IRC.

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan. The plan administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2019 and 2018, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The plan administrator believes it is no longer subject to income tax examinations for years prior to 2016.

NOTE 7 - PARTY-IN-INTEREST TRANSACTIONS

Parties-in-interest are defined under the Department of Labor Regulations as any fiduciary of the Plan, any party rendering service to the Plan, the employer and certain others.

Certain plan investments are managed by Fidelity Management Trust Company, the Plan's trustee, or are shares of mutual funds offered by Fidelity Investments, an affiliate of the Plan's trustee. Accordingly, these investments qualify as party-in-interest investments. Investment management and trustee fees are paid by the Plan and these expenses are reflected in the financial statements as a reduction of the return on the Plan's investments.

Participants in the Plan are permitted to borrow funds from their vested balance as described in Note 1. These assets qualify as party-in-interest transactions. Notes receivable from participants were $7,441,364 and $7,240,974 at December 31, 2019 and 2018, respectively.

NOTE 8 - PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of plan termination, participants continue to be 100% vested in their accounts.

(Continued)

NOTE 9 - SUBSEQUENT EVENTS

Plan management has evaluated subsequent events for recognition and disclosure through August 28, 2020, which is the date the financial statements were available to be issued.

In December 2019, a novel strain of coronavirus surfaced in Wuhan, China, and has spread around the world, with resulting business and social disruption. The coronavirus was declared a Public Health Emergency of International Concern by the World Health Organization on January 30, 2020. The ultimate impact of the coronavirus on the Plan and the Company will depend on future developments, which are highly uncertain and cannot be predicted, including new information which may emerge concerning the severity of the coronavirus and the actions required to contain the coronavirus or treat is impact, among others.

SUPPLEMENTAL SCHEDULE

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds		
	Aberdeen Asset Management	ABDN Glbl Abs Ret IC	**	$ 35,627
	Archer Funds	ABF Balanced Inst	**	292,723
	Archer Funds	ABF Intl Equity Inst	**	128,579
	Archer Funds	ABF Large Cap Value Inst	**	685,702
	Archer Funds	ABF Small Cap Value Inst	**	929,559
	American Funds	AF Wash Mutl Inv R6	**	4,343,413
	Alger	Alger Cap Apprec I Fund	**	1,697,620
	Alger	Alger Midcap Growth I Fund	**	269,731
	Alger	Alger Small Cap Growth I Fund	**	589,585
	Allianz Global Investors	Alzgi Nfj Sm Cap Value R6	**	1,043,719
	American Century Investments	Am Cent Lg Co Val R6	**	289,535
	American Century Investments	Am Cent Sm Comp Inst	**	75,123
	American Century Investments	Am Cent Ultra R6	**	278,932
	AMG Funds	AMG M LS Bond I	**	215,599
	AMG Funds	Amg M Special Eq	**	133,873
	AMG Funds	Amg Ren LG CP GR Z	**	3,111,110
	AMG Funds	Amg MC Mid Cap Inst	**	189,321
	Ariel Mutual Funds	Ariel Appreciation Inst	**	256,108
	Ariel Mutual Funds	Ariel Fund Inst	**	910,674
	Artisan Funds	Artisan Intl Inst	**	6,940,429
	Artisan Funds	Artisan Mid Cap Inst	**	1,763,995
	Baron Funds	Baron Asset Inst	**	1,846,519
	Baron Funds	Baron Growth Inst	**	3,297,905
	Cohen & Steers Capital Mgmt	C&S Rlty Fund Shs	**	2,541,462
	Calvert	Calvert Balanced I	**	114,820
	Calvert	Calvert Equity I	**	10,110,170
	Calvert	Calvert Intl Eq I		9,027,940
	Calvert	Calvert Mid-Cap I	**	5,330
	Legg Mason	CB Value Tr Fi	**	457,663
	Deutsche Asset & Wealth Management U.S. Funds	Deut Core Equity R6	**	273,125
	Deutsche Asset & Wealth Management U.S. Funds	Deut Croci Eq Div IS	**	15,556,009
	Deutsche Asset & Wealth Management U.S. Funds	Deut Croci Intl R6	**	32,935
	Deutsche Asset & Wealth Management U.S. Funds	Deut Glb Sm Cap R6	**	128,936
	Deutsche Asset & Wealth Management U.S. Funds	Deut Sm Cap Growth R6	**	18,611
	Domini Social Investors	Domini Impact Eq IS	**	129,684
*	Fidelity Investments	FID Asset Manager 20% Fund	**	247,402
*	Fidelity Investments	FID Asset Manager 30% Fund	**	487,756
*	Fidelity Investments	FID Asset Manager 40% Fund	**	50,778
*	Fidelity Investments	FID Asset Manager 50% Fund	**	292,231
*	Fidelity Investments	FID Asset Manager 60% Fund	**	256,505
*	Fidelity Investments	FID Asset Manager 70% Fund	**	9,509

(Continued)

SARGENT & LUNDY, L.L.C. SAVINGS INVESTMENT PLAN
SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2019

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
*	Fidelity Investments	FID Asset Manager 85% Fund	**	$ 496,147
*	Fidelity Investments	FID Balanced Fund K	**	3,897,701
*	Fidelity Investments	FID Blue Chip Growth K6	**	5,232,475
*	Fidelity Investments	FID Blue Chip Value	**	511,548
*	Fidelity Investments	FID Canada Fund	**	1,005,563
*	Fidelity Investments	FID Cap Appreciation K	**	692,556
*	Fidelity Investments	FID Capital and Income Fund	**	4,835,231
*	Fidelity Investments	FID China Region Fund	**	1,435,232
*	Fidelity Investments	FID Contrafund Fund K6	**	9,591,741
*	Fidelity Investments	FID Convertible Sec Fund	**	405,079
*	Fidelity Investments	FID Disciplined Equity Fund K	**	25,471
*	Fidelity Investments	FID Diversified Intl Fund K6	**	11,480,974
*	Fidelity Investments	FID Dividend Growth Fund K	**	1,450,670
*	Fidelity Investments	FID EMEA	**	221,107
*	Fidelity Investments	FID Emerging Asia	**	814,976
*	Fidelity Investments	FID Emerging Mkts K	**	2,463,131
*	Fidelity Investments	FID Equity Dividend Income K	**	100,614
*	Fidelity Investments	FID Equity Income Fund K	**	324,501
*	Fidelity Investments	FID Europe Fund	**	178,761
*	Fidelity Investments	FID Export & Multi K	**	304,882
*	Fidelity Investments	FID Ext Mkt IDX PR	**	7,374,593
*	Fidelity Investments	FID Float Rt Hi Inc	**	1,003,319
*	Fidelity Investments	FID Focused High Inc Fund	**	169,620
*	Fidelity Investments	FID Focused Stock Fund	**	1,658,497
*	Fidelity Investments	FID Four in One Idx	**	180,646
*	Fidelity Investments	FID Freedom 2005 Fund	**	91,946
*	Fidelity Investments	FID Freedom 2010 Fund	**	572,906
*	Fidelity Investments	FID Freedom 2015 Fund	**	451,609
*	Fidelity Investments	FID Freedom 2020 Fund	**	1,510,622
*	Fidelity Investments	FID Freedom 2025 Fund	**	933,927
*	Fidelity Investments	FID Freedom 2030 Fund	**	1,988,403
*	Fidelity Investments	FID Freedom 2035 Fund	**	1,521,487
*	Fidelity Investments	FID Freedom 2040 Fund	**	715,767
*	Fidelity Investments	FID Freedom 2045 Fund	**	729,910
*	Fidelity Investments	FID Freedom 2050 Fund	**	1,743,856
*	Fidelity Investments	FID Freedom 2055 Fund	**	970,590
*	Fidelity Investments	FID Freedom 2060 Fund	**	125,121
*	Fidelity Investments	FID Freedom 2065 Fund	**	54
*	Fidelity Investments	FID Freedom Income Fund	**	14,763
*	Fidelity Investments	FID Fund K	**	869,861
*	Fidelity Investments	FID GNMA	**	677,316
*	Fidelity Investments	FID Govt MMKT	**	540,816
*	Fidelity Investments	FID Growth and Income Fund	**	6,220,508
*	Fidelity Investments	FID Growth COK Fund	**	6,767,755
*	Fidelity Investments	FID Growth Discovery K Fund	**	321,845
*	Fidelity Investments	FID Growth Strat K6	**	8,748,689
*	Fidelity Investments	FID High Income Fund	**	609,413

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
*	Fidelity Investments	FID Independence K Fund	**	$ 407,626
*	Fidelity Investments	FID Inflat Prot Bond Fund	**	3,234,449
*	Fidelity Investments	FID Intermed Bond Fund	**	371,204
*	Fidelity Investments	FID Intl Cap Appreciation Fund	**	4,735,091
*	Fidelity Investments	FID Intl Discovery K Fund	**	677,669
*	Fidelity Investments	FID Intl Enh Index	**	323,924
*	Fidelity Investments	FID Intl Growth	**	898,080
*	Fidelity Investments	FID Intl Index Ins	**	7,460,477
*	Fidelity Investments	FID Intl Real Estate	**	410,676
*	Fidelity Investments	FID Intl Sm Cap Opp	**	322,413
*	Fidelity Investments	FID Intl Value	**	61,555
*	Fidelity Investments	FID INTM Government Income Fund	**	752,337
*	Fidelity Investments	FID Investment Growth Bond Fund	**	228,566
*	Fidelity Investments	FID Japan Fund	**	142,734
*	Fidelity Investments	FID Japan Smaller Co Fund	**	248,582
*	Fidelity Investments	FID Large Cap Stock Fund	**	592,128
*	Fidelity Investments	FID Latin America Fund	**	947,269
*	Fidelity Investments	FID LC Core ENH Indx	**	141,442
*	Fidelity Investments	FID LC Growth ENH Indx	**	187,968
*	Fidelity Investments	FID LC Val ENH Indx	**	148,847
*	Fidelity Investments	FID Leveraged Co. Stk Fund	**	3,519,254
*	Fidelity Investments	FID Low Priced Stock K6	**	2,530,025
*	Fidelity Investments	FID Magellan K Fund	**	31,574,078
*	Fidelity Investments	FID Mega Cap Stock Fund	**	107,275
*	Fidelity Investments	FID Mid Cap ENH Indx	**	582,429
*	Fidelity Investments	FID Mid Cap Stock K Fund	**	2,644,716
*	Fidelity Investments	FID Mid Cap Value	**	307,425
*	Fidelity Investments	FID Mortgage Sec	**	147,506
*	Fidelity Investments	FID NASDAQ Comp Indx	**	1,795,921
*	Fidelity Investments	FID New Markets Inc. Fund	**	2,588,483
*	Fidelity Investments	FID New Millen	**	444,947
*	Fidelity Investments	FID Nordic Fund	**	126,509
*	Fidelity Investments	FID OTC K Fund	**	7,970,664
*	Fidelity Investments	FID Overseas Fund	**	519,289
*	Fidelity Investments	FID Pacific Basin Fund	**	419,915
*	Fidelity Investments	FID Puritan Fund K	**	1,093,580
*	Fidelity Investments	FID Real Estate Inc Fund	**	332,342
*	Fidelity Investments	FID Real Estate Invs Fund	**	1,758,126
*	Fidelity Investments	FID SEL Air Transprt Fund	**	481,682
*	Fidelity Investments	FID SEL Automotive Fund	**	304,471
*	Fidelity Investments	FID SEL Banking Fund	**	462,308
*	Fidelity Investments	FID SEL Biotech Fund	**	5,122,469
*	Fidelity Investments	FID SEL Brokerage Fund	**	209,473
*	Fidelity Investments	FID SEL Chemical Fund	**	1,084,300
*	Fidelity Investments	FID SEL Comm Equip Fund	**	62,772
*	Fidelity Investments	FID SEL Comm Serv	**	241,835

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
*	Fidelity Investments	FID SEL Computers Fund	**	$ 520,055
*	Fidelity Investments	FID SEL Cons Discr Fund	**	488,938
*	Fidelity Investments	FID SEL Cons Staples Fund	**	767,135
*	Fidelity Investments	FID SEL Constr/House Fund	**	279,682
*	Fidelity Investments	FID SEL Consumer Fin	**	13,317
*	Fidelity Investments	FID SEL Defense Fund	**	3,746,402
*	Fidelity Investments	FID SEL Energy Fund	**	1,175,363
*	Fidelity Investments	FID SEL Energy Svcs Fund	**	230,121
*	Fidelity Investments	FID SEL ENV Alt Engy Fund	**	161,458
*	Fidelity Investments	FID SEL Financial Fund	**	240,031
*	Fidelity Investments	FID SEL Gold Fund	**	3,127,252
*	Fidelity Investments	FID SEL Healthcare Fund	**	5,693,659
*	Fidelity Investments	FID SEL Hth Care Svc	**	1,465,405
*	Fidelity Investments	FID SEL Industrials	**	470,606
*	Fidelity Investments	FID SEL Insurance Fund	**	218,378
*	Fidelity Investments	FID SEL IT Services Fund	**	3,254,665
*	Fidelity Investments	FID SEL Leisure Fund	**	653,115
*	Fidelity Investments	FID SEL Materials	**	403,385
*	Fidelity Investments	FID SEL Med Eq and Sys Fund	**	5,374,194
*	Fidelity Investments	FID SEL Natural Gas Fund	**	272,192
*	Fidelity Investments	FID SEL Natural Res Fund	**	392,061
*	Fidelity Investments	FID SEL Pharmaceutical Fund	**	830,447
*	Fidelity Investments	FID SEL Retailing Fund	**	2,810,658
*	Fidelity Investments	FID SEL Semiconductor Fund	**	3,301,085
*	Fidelity Investments	FID SEL Software Fund	**	3,709,536
*	Fidelity Investments	FID SEL Technology Fund	**	3,520,660
*	Fidelity Investments	FID SEL Telecomm Fund	**	72,139
*	Fidelity Investments	FID SEL Transport Fund	**	576,798
*	Fidelity Investments	FID SEL Utilities GR Fund	**	1,518,796
*	Fidelity Investments	FID SEL Wireless Fund	**	321,636
*	Fidelity Investments	FID Sm Cap Discovery	**	1,387,602
*	Fidelity Investments	FID Sm Cap ENH Indx	**	256,267
*	Fidelity Investments	FID Small Cap Growth	**	1,344,707
*	Fidelity Investments	FID Small Cap Stock Fund K6	**	1,173,710
*	Fidelity Investments	FID Small Cap Value	**	570,837
*	Fidelity Investments	FID STK SEL ALL Cap K	**	401,815
*	Fidelity Investments	FID STK SEL SM Cap	**	3,920,729
*	Fidelity Investments	FID STKSEL LG Cap Val	**	203,773
*	Fidelity Investments	FID Strat Div & Inc	**	151,936
*	Fidelity Investments	FID Telecom & Utilities Fund	**	456,590
*	Fidelity Investments	FID Tot Mkt IDX PR	**	1,643,020
*	Fidelity Investments	FID Total Bond K6	**	8,329
*	Fidelity Investments	FID Total Intl Eq	**	4,601,277
*	Fidelity Investments	FID Trend Fund	**	445,369
*	Fidelity Investments	FID US Bond Idx IS	**	3,758,456
*	Fidelity Investments	FID Value Discov K6	**	253,539

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
*	Fidelity Investments	FID Value K Fund	**	$ 1,239,704
*	Fidelity Investments	FID Value Strategy K Fund	**	4,203,894
*	Fidelity Investments	FID Worldwide Fund	**	355,907
*	Fidelity Investments	FID Govt Income	**	1,089,671
	Fklin Templeton Investments	Fklin Mtl Glb Disc R6	**	678,146
	Fklin Templeton Investments	Fklin Mtl Shares R6	**	121,135
	Fklin Templeton Investments	Fklin Sm Mid Cap Gth R6	**	56,456
	First Pacific Advisors	FPA Crescent Fund	**	853,636
	Harding Loevner	Hrdg Lvnr Intl Eq IS	**	2,417,287
	Invesco	Invs Charter R6	**	16,200
	Invesco	Invs Divrs Divd R6	**	1,251,944
	Invesco	Invs Glb SMMDCPGR RS	**	206,037
	Invesco	Invs Grth & Inc R6	**	772,973
	Invesco	Invs MDCP Core EQ R6	**	214,013
	Invesco	Invs SMCP Grth R6	**	129,499
	Janus Funds	Janus Balanced N Fund	**	890,289
	Janus Funds	Janus Enterprise N Fund	**	2,402,644
	Janus Funds	Janus Mid Cap Val N Fund	**	1,239,769
	Janus Funds	Janus Forty N	**	1,210,746
	Janus Funds	Janus Global Research I	**	663,080
	Janus Funds	Janus Flexible Bond N Fund	**	621,874
	Janus Funds	Janus Overseas N Fund	**	389,149
	Janus Funds	Janus Research N Fund	**	620,933
	Janus Funds	Janus Small Cap Val N	**	6,143,761
	Lord Abbett	LD Abt Mid Cap Stk R6	**	358,337
	Loomis Sayles Funds	LOOMIS Sm Cap Value N Fund	**	290,801
	Morgan Stanley Investment Mgmt	MSIF Active Intl I Fund	**	38,716
	Morgan Stanley Investment Mgmt	MSIF CP FX Inc I Fund	**	9,146,839
	Morgan Stanley Investment Mgmt	MSIF Emerging Mkts IS Fund	**	202,842
	Morgan Stanley Investment Mgmt	MSIF Global Strat IS	**	34,503
	Morgan Stanley Investment Mgmt	MSIF Growth Inst	**	2,360,198
	Morgan Stanley Investment Mgmt	MSIF Intl Equity IS Fund	**	51,804
	Morgan Stanley Investment Mgmt	MSIF Discovery IS	**	2,605,278
	Morgan Stanley Investment Mgmt	MSIF Inception IS	**	209,217
	Neuberger Berman	NB Core Bond Inst	**	91,758
	Neuberger Berman	NB Focus Inst Fund	**	27,170
	Neuberger Berman	NB Genesis R6	**	1,809,312
	Neuberger Berman	NB Guardian Inst	**	2,081,038
	Neuberger Berman	NB Lg Cap Val Inst	**	667,260
	Neuberger Berman	NB MDCP Grth R6	**	121,403
	Neuberger Berman	NB Sustain EQ R6	**	25,456
	Oakmark Funds	Oakmark Eq & Inc IS	**	1,499,900
	Oakmark Funds	Oakmark Inst	**	1,052,951
	Oakmark Funds	Oakmark Select Inv	**	233,458
	PIMCO Global Advisors	PIM Glob BD Unhg I	**	773,768

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
	PIMCO Global Advisors	PIM High Yield Inst	**	$ 1,245,832
	PIMCO Global Advisors	PIM Lt U.S. Govt Inst	**	1,930,331
	PIMCO Global Advisors	PIM Tot Return Inst Fund	**	13,484,108
	Primecap	PRMCP Odyssey Stock	**	4,717,917
	Rice Hall James	RHJ Micro Cap Fund	**	32,776
	Touchstone	TCHSTN Focused I	**	784,037
	Touchstone	TCHSTN Intl Sm Cap I	**	59,809
	TCW Funds	TCW Select Equity I	**	635,755
	Templeton	Templeton Foreign R6	**	143,920
	Templeton	Templeton Growth R6	**	310,623
	Templeton	Templeton Dev Mkts R6	**	7,298,869
	Templeton	Templeton Global Bond R6	**	1,518,778
	Templeton	Templeton World R6	**	141,003
	T. Rowe Price	TRP Growth Stock Fund I	**	3,558,197
	USAA Investments	USAA Cornerstone Mod Aggr Fund	**	6,766
	USAA Investments	USAA Emerging Mkts Inst Fund	**	71,152
	USAA Investments	USAA GOVT SEC Inst	**	626,907
	USAA Investments	USAA Growth Inst Fund	**	74,052
	USAA Investments	USAA Income Inst Fund	**	8,785,345
	USAA Investments	USAA Income Stock Fund	**	52,238
	USAA Investments	USAA International Inst Fund	**	108,003
	The Vanguard Group	Vanguard Explorer Adm Fund	**	2,848,406
	The Vanguard Group	Vanguard Infl Prot Adm Fund	**	1,985,536
	The Vanguard Group	Vanguard Inst Index	**	77,786,063
	The Vanguard Group	Vanguard LS Conservative Growth Fund	**	413,739
	The Vanguard Group	Vanguard LS Growth	**	813,565
	The Vanguard Group	Vanguard LS Income	**	260,408
	The Vanguard Group	Vanguard LS Moderate Growth Fund	**	273,002
	The Vanguard Group	Vanguard Total Bond Market Inst	**	12,168,890
	The Vanguard Group	Vanguard Total Intl Stock IS	**	9,191,035
	The Vanguard Group	Vanguard Total Stock Market IS	**	31,826,323
	Victory	VRS Small Cap Grth Y	**	636,251
	Western Asset	WA Core Bond I	**	10,947,918
	Wells Fargo Advantage Funds	WF Common Stock R6	**	166,382
	Wells Fargo Advantage Funds	WF Discovery R6	**	194,842
	Wells Fargo Advantage Funds	WF Govt Security IS	**	405,293
	Wells Fargo Advantage Funds	WF Growth R6	**	9,778,862
	Wells Fargo Advantage Funds	WF Lg Cap Growth R6	**	60,982
	Wells Fargo Advantage Funds	WF Opportunity IS	**	97,360
	Total Mutual Funds			572,872,433

(Continued)

SARGENT & LUNDY, L.L.C. SAVINGS INVESTMENT PLAN
SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2019

Name of Plan Sponsor:	Sargent & Lundy, L.L.C.
Employer Identification Number:	36-1729848
Three-Digit Plan Number:	003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Collective Trusts		
	The Vanguard Group	Vanguard Target 2015	**	$ 10,359,848
	The Vanguard Group	Vanguard Target 2020	**	20,621,286
	The Vanguard Group	Vanguard Target 2025	**	16,501,281
	The Vanguard Group	Vanguard Target 2030	**	13,798,912
	The Vanguard Group	Vanguard Target 2035	**	14,381,716
	The Vanguard Group	Vanguard Target 2040	**	16,419,234
	The Vanguard Group	Vanguard Target 2045	**	27,307,826
	The Vanguard Group	Vanguard Target 2050	**	39,697,430
	The Vanguard Group	Vanguard Target 2055	**	13,324,180
	The Vanguard Group	Vanguard Target 2060	**	5,908,489
	The Vanguard Group	Vanguard Target 2065	**	341,017
	The Vanguard Group	Vanguard Target Inc	**	4,489,214
	Total Collective Trusts			183,150,433
		Brokerage Account		
*	Fidelity Mgmt. Trust Co.	Brokerage Account	**	38,201,389
		Stable Value Fund		
	Collective Trust			
*	Fidelity Mgmt. Trust Co.	Fidelity Intermediate Duration Pool	**	140,134,803
	Interest-Bearing Cash			
*	Fidelity Mgmt. Trust Co.	Short Term Investment Fund		2,654,372
				142,789,175
		Participant Loans		
*	Participant Loans	Interest rates ranging from 3.50% to 6.50% with maturity dates through July 2029	**	7,441,364
				$ 944,454,794

* Denotes party-in-interest.
** Cost information is not required for participant-directed investments and, therefore, is not included.

See Independent Auditor's Report.

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN

Chicago, Illinois

FINANCIAL STATEMENTS

December 31, 2019 and 2018

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
Chicago, Illinois

FINANCIAL STATEMENTS
December 31, 2019 and 2018

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Crowe LLP
Independent Member Crowe Global

INDEPENDENT AUDITOR'S REPORT

To the Plan Administrator of the
Sargent & Lundy, L.L.C.
Savings Investment Plan
Chicago, Illinois

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Sargent & Lundy, L.L.C. Savings Investment Plan, which comprise the statements of net assets available for benefits as of December 31, 2019 and 2018, and the related statement of changes in net assets available for benefits for the year ended December 31, 2019, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Fidelity Management Trust Company, the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained certification from the trustee as of December 31, 2019 and 2018 and for the year ended December 31, 2019 that the information provided to the plan administrator by the trustee is complete and accurate.

(Continued)

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental Schedule H, Line 4i - Schedule of Assets (Held at Year End) as of December 31, 2019 is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for purposes of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.



Crowe LLP

Oak Brook, Illinois
August 28, 2020

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
December 31, 2019 and 2018

	2019	2018
ASSETS		
Investments, at fair value	$ 796,878,627	$ 680,113,233
Investments, at contract value	140,134,803	145,662,888
Total investments	937,013,430	825,776,121
Receivables		
Notes receivable from participants	7,441,364	7,240,974
Total assets	944,454,794	833,017,095
NET ASSETS AVAILABLE FOR BENEFITS	$ 944,454,794	$ 833,017,095

See accompanying notes to financial statements.

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
Year ended December 31, 2019

Additions to net assets attributed to:	
Investment income	
Net appreciation in fair value of investments	$ 139,440,313
Interest and dividend income	28,669,596
Total investment income	168,109,909
Contributions	
Employer	11,500,162
Employee	26,114,489
Rollovers	4,772,797
Total contributions	42,387,448
Other Income	64,284
Total additions	210,561,641
Deductions from net assets attributed to:	
Benefits paid to participants	98,625,157
Administrative expenses	498,785
Total deductions	99,123,942
Net increase in net assets available for benefits	111,437,699
Net assets available for benefits	
Beginning of year	833,017,095
End of year	$ 944,454,794

See accompanying notes to financial statements.

NOTE 1 - PLAN DESCRIPTION

The Sargent & Lundy, L.L.C. Savings Investment Plan ("the Plan") is a defined contribution plan covering all eligible employees, as defined, of Sargent & Lundy, L.L.C.; S&L Engineers, Ltd.; Sargent & Lundy Illinois, Incorporated (dissolved as of December 31, 2016); Sargent & Lundy Enterprises, L.L.C. (dissolved as of December 31, 2016) ; Sargent & Lundy Texas, L.L.C. (dissolved as of December 31, 2016); Sargent & Lundy International, Incorporated (collectively, "the Company"). The Plan is subject to the applicable provisions of the Employee Retirement Income Security Act of 1974 ("ERISA"), as amended. A further description of the Plan can be obtained from the plan document.

Contributions: Participants may make pretax and after-tax contributions subject to a combined maximum of the limits as defined in the plan document. Contributions are subject to the contribution limitations of the Internal Revenue Code ("IRC"). Absent an election in the first 60 days of employment, newly hired full-time employees will be automatically enrolled in the Plan at a pretax contribution rate of 6% of eligible compensation. The Plan has also adopted a contribution escalation clause which provides that, unless declined, each impacted participant who is contributing less than 10% of compensation to the Plan shall be deemed to have elected to increase his or her contribution percentage by 1% on each April 1, until the rate reaches 10% of compensation.

The Company contributes 50% of the first 6% of base compensation that an eligible participant contributes to the Plan. Additionally, the Company may make a discretionary contribution (non-matching contributions) to active eligible employees participating in the Plan. During 2019, the Company made a discretionary contribution of 3% of base compensation.

Participant Accounts: Each participant's account is credited with the participant's contribution and allocation of the Company's contribution (if eligible), investment earnings, and charged with his or her withdrawals and any applicable transaction fees. The benefit to which a participant is entitled is the participant's vested account balances.

Notes Receivable from Participants: Participants may obtain loans from plan assets based on individual account balances not to exceed the lesser of $50,000 or one half of their vested balance. Interest is charged at the U.S. prime rate, as quoted by the *Reuters News Service* as of the first day of the month in which the note is requested, plus 1 percentage point. The rate is fixed for the term of the note. Principal and interest payments over a period from 12 to 60 months are due semi-monthly for active full-time employees and monthly for terminated and temporary employees.

Vesting: Participants are immediately fully vested in participant contributions and fully vested in all employer contributions after one year of service.

Forfeited Accounts: The non-vested portion of terminated participant accounts are forfeited and may be used to reduce future company contributions, reduce plan expenses, or pay for new services.

Investment Options: Employees can choose any investment fund option offered or individual security investments (through a brokerage account option) to allocate their account balances. The Stable Value Fund, arranged through Fidelity Management Trust Company ("Fidelity") specifically for the Company, is composed of fixed income-type investments, such as individual and commingled guaranteed investment contracts, which are structured to yield a stable positive rate of return. The contributions made by each participant, as well as Company contributions, are allocated to investments based on elections made by the participant or to a default fund if no election is made.

(Continued)

NOTE 1 - PLAN DESCRIPTION (Continued)

Payment of Benefits: On termination of service, a participant may elect to remain in the Plan or choose from a number of distribution or loan options (some restrictions may apply). These options also apply to the beneficiary of a deceased participant.

Administration of the Plan and Trust: The Plan is administered by the Sargent & Lundy, L.L.C. Savings Investment Plan Committee. The trust created by the Plan is administered by the trustee, Fidelity. Trustee fees, legal expenses, and various other costs incurred in connection with the administration of the Plan may be paid by the Company or from the trust fund.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation: The accompanying financial statements are prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

Use of Estimates: The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures, and actual results could differ from those estimates.

Investment Valuation and Income Recognition: The Plan's investments, other than fully benefit-responsive investment contracts, are stated at fair value. Fair value is the price that would be received by the Plan for an asset or paid by the Plan to transfer a liability (an exit price) in an orderly transaction between market participants on the measurement date in the Plan's principal or most advantageous market for the asset or liability. Contract value is the relevant measure for the Plan's fully benefit-responsive investment contracts, because contract value is the amount plan participants generally receive when executing transactions under the terms of the contract and plan provisions. Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Notes Receivable from Participants: Notes receivable from participants are reported at their unpaid principal balance plus any accrued but unpaid interest, with no allowance for credit losses, as repayments of principal and interest are received through payroll deductions and the notes are collateralized by the participants' account balances.

Payment of Benefits: Benefits are recorded when paid. A participant who has attained the age of 59-1/2 and is fully vested in all of his account balances may irrevocably elect to withdraw in a lump sum all or any portion of the net credit balances in his accounts.

Risks and Uncertainties: The Plan provides for various investments that, in general, are exposed to various risks, such as interest rate, credit, liquidity and overall market volatility risks. Due to the level of risk and uncertainty associated with certain investments and the sensitivity of certain fair value estimates to changes in valuation assumptions, it is at least reasonably possible that changes in the fair values of investments will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits and the participants' individual account balances.

(Continued)

NOTE 3 - UNAUDITED INFORMATION – CERTIFIED INVESTMENTS AND NOTES RECEIVABLE FROM PARTICIPANTS

Substantially all information pertaining to the Plan's investments and notes receivable from participants included in the financial statements, including the associated investment income (loss) and interest earned on notes receivable from participants, was obtained or derived from information supplied to the plan administrator and certified as complete and accurate by Fidelity Management Trust Company, the trustee of the Plan. This information has not been audited by independent accountants.

NOTE 4 - FAIR VALUE MEASUREMENTS

Fair value measurements are determined by maximizing the use of observable inputs and minimizing the use of unobservable inputs when measuring fair value. The hierarchy places the highest priority on unadjusted quoted market prices in active markets for identical assets or liabilities (Level 1 measurements) and gives the lowest priority to unobservable inputs (Level 3 measurements). The three levels of inputs within the fair value hierarchy are defined as follows:

Level 1: Quoted prices (unadjusted) for identical assets or liabilities in active markets that the Plan has the ability to access as of the measurement date.

Level 2: Significant other observable inputs other than Level 1 prices such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data.

Level 3: Significant unobservable inputs that reflect the Plan's own assumptions about the assumptions that market participants would use in pricing an asset or liability.

In some cases, a valuation technique used to measure fair value may include inputs from multiple levels of the fair value hierarchy. The lowest level of significant input determines the placement of the entire fair value measurement in the hierarchy.

(Continued)

NOTE 4 - FAIR VALUE MEASUREMENTS (Continued)

The following descriptions of the valuation methods and assumptions used by the Plan to estimate the fair values of investments apply to investments held directly by the Plan.

Mutual funds: The fair values of mutual fund investments are determined by obtaining quoted prices on nationally recognized securities exchanges (Level 1 inputs).

Common stocks: The fair values of common stocks are determined by obtaining quoted prices on nationally recognized securities exchanges (Level 1 inputs).

*Collective trusts***:** The fair values of participation units held in collective trusts are based on the net asset values reported by the fund managers as of the financial statement dates and recent transaction prices. Each collective trust provides for daily redemptions by the Plan at reported net asset values per share with no advance notice requirements.

*Self-directed brokerage accounts***:** These primarily consist of mutual funds, common stocks, and interest-bearing cash, measured at fair value as described for these general investment types within this note.

Interest-bearing cash: Fair values of money market accounts and savings deposit accounts are estimated to approximate deposit account balances, payable on demand, as no discounts for credit quality or liquidity were determined to be applicable (Level 2 inputs).

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

Investments measured at fair value on a recurring basis are summarized below:

	Fair Value Measurements at December 31, 2019, Using		
	Total	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)
Mutual funds	$ 572,872,433	$ 572,872,433	$ -
Brokerage account	38,201,389	28,136,152	10,065,237
Interest bearing cash	2,654,372	-	2,654,372
Collective Trusts*	183,150,433	-	-
Total	$ 796,878,627	$ 601,008,585	$ 12,719,609

(Continued)

NOTE 4 - FAIR VALUE MEASUREMENTS (Continued)

	Total	Fair Value Measurements at December 31, 2018, Using: Quoted Prices in Active Markets for Identical Assets (Level 1)	Fair Value Measurements at December 31, 2018, Using: Significant Other Observable Inputs (Level 2)
Mutual funds	$ 488,170,045	$ 488,170,045	$ -
Brokerage account	37,088,403	27,276,798	9,811,605
Interest bearing cash	3,326,791	-	3,326,791
Collective Trusts*	151,527,994	-	-
Total	$ 680,113,233	$ 515,446,843	$ 13,138,396

* Investments measured at fair value using net asset value per share (or its equivalent) as a practical expedient have not been classified in the fair value hierarchy. The fair value amounts presented in the hierarchy tables for such investments are intended to permit reconciliation of the fair value hierarchy to the investments at fair value line item presented in the statement of net assets available for benefits.

NOTE 5 - FULLY BENEFIT-RESPONSIVE INVESTMENT CONTRACTS

The Plan holds fully benefit-responsive investment contracts that are reported at contract value, which is generally the amount a participant would receive if he or she would initiate a withdrawal or transfer from the contract under the provisions of the Plan. Contract value represents contributions made to the contract, plus earnings, less participant withdrawals and administrative expenses. The contract value of the Plan's fully benefit-responsive investment contracts, by general type, are presented below:

	2019	2018
Synthetic investment contracts	$ 140,134,803	$ 145,662,888
Total investments at contract value	$ 140,134,803	$ 145,662,888

The synthetic investment contracts held by the Plan include wrap contracts that provide a guarantee that the credit rate will not fall below 0%. Cash flow volatility (for example, timing of benefit payments) as well as asset underperformance can be passed through to the Plan through adjustments to future crediting rates. Formulas are provided in each contract that adjusts renewal crediting rates to recognize the difference between the fair value and the book value of the underlying assets.

The contracts specify certain conditions under which distributions from the contracts would be payable at amounts below contract value. Such circumstances include, but are not limited to, plan termination, plan merger, premature contract termination initiated by the employer, and certain other employer-initiated events, such as a sale of a division, office closure, or layoff that results in distributions exceeding a set amount. The contracts limit the circumstances under which the Issuers may terminate the contracts.

(Continued)

NOTE 5 - FULLY BENEFIT-RESPONSIVE INVESTMENT CONTRACTS (Continued)

Examples of circumstances which would allow the Issuer to terminate the contracts include: the Plan's loss of its qualified status, uncured material breaches of responsibilities or material and adverse changes to the provisions of the Plan. If one of these events were to occur, the Issuers could terminate the contracts at amounts less than contract value. Currently, management believes that the occurrence of an event that would cause the Plan to transact contract distributions at less than contract value is not probable.

NOTE 6 - TAX STATUS

The Internal Revenue Service has determined and informed the Company by a letter dated October 7, 2015, that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code (IRC). Although the Plan has been amended since receiving the determination letter, the plan administrator believes that the Plan is designed and currently being operated in compliance with requirement of the IRC.

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan. The plan administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2019 and 2018, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The plan administrator believes it is no longer subject to income tax examinations for years prior to 2016.

NOTE 7 - PARTY-IN-INTEREST TRANSACTIONS

Parties-in-interest are defined under the Department of Labor Regulations as any fiduciary of the Plan, any party rendering service to the Plan, the employer and certain others.

Certain plan investments are managed by Fidelity Management Trust Company, the Plan's trustee, or are shares of mutual funds offered by Fidelity Investments, an affiliate of the Plan's trustee. Accordingly, these investments qualify as party-in-interest investments. Investment management and trustee fees are paid by the Plan and these expenses are reflected in the financial statements as a reduction of the return on the Plan's investments.

Participants in the Plan are permitted to borrow funds from their vested balance as described in Note 1. These assets qualify as party-in-interest transactions. Notes receivable from participants were $7,441,364 and $7,240,974 at December 31, 2019 and 2018, respectively.

NOTE 8 - PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of plan termination, participants continue to be 100% vested in their accounts.

(Continued)

NOTE 9 - SUBSEQUENT EVENTS

Plan management has evaluated subsequent events for recognition and disclosure through August 28, 2020, which is the date the financial statements were available to be issued.

In December 2019, a novel strain of coronavirus surfaced in Wuhan, China, and has spread around the world, with resulting business and social disruption. The coronavirus was declared a Public Health Emergency of International Concern by the World Health Organization on January 30, 2020. The ultimate impact of the coronavirus on the Plan and the Company will depend on future developments, which are highly uncertain and cannot be predicted, including new information which may emerge concerning the severity of the coronavirus and the actions required to contain the coronavirus or treat is impact, among others.

SUPPLEMENTAL SCHEDULE

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds		
	Aberdeen Asset Management	ABDN Glbl Abs Ret IC	**	$ 35,627
	Archer Funds	ABF Balanced Inst	**	292,723
	Archer Funds	ABF Intl Equity Inst	**	128,579
	Archer Funds	ABF Large Cap Value Inst	**	685,702
	Archer Funds	ABF Small Cap Value Inst	**	929,559
	American Funds	AF Wash Mutl Inv R6	**	4,343,413
	Alger	Alger Cap Apprec I Fund	**	1,697,620
	Alger	Alger Midcap Growth I Fund	**	269,731
	Alger	Alger Small Cap Growth I Fund	**	589,585
	Allianz Global Investors	Alzgi Nfj Sm Cap Value R6	**	1,043,719
	American Century Investments	Am Cent Lg Co Val R6	**	289,535
	American Century Investments	Am Cent Sm Comp Inst	**	75,123
	American Century Investments	Am Cent Ultra R6	**	278,932
	AMG Funds	AMG M LS Bond I	**	215,599
	AMG Funds	Amg M Special Eq	**	133,873
	AMG Funds	Amg Ren LG CP GR Z	**	3,111,110
	AMG Funds	Amg MC Mid Cap Inst	**	189,321
	Ariel Mutual Funds	Ariel Appreciation Inst	**	256,108
	Ariel Mutual Funds	Ariel Fund Inst	**	910,674
	Artisan Funds	Artisan Intl Inst	**	6,940,429
	Artisan Funds	Artisan Mid Cap Inst	**	1,763,995
	Baron Funds	Baron Asset Inst	**	1,846,519
	Baron Funds	Baron Growth Inst	**	3,297,905
	Cohen & Steers Capital Mgmt	C&S Rlty Fund Shs	**	2,541,462
	Calvert	Calvert Balanced I	**	114,820
	Calvert	Calvert Equity I	**	10,110,170
	Calvert	Calvert Intl Eq I		9,027,940
	Calvert	Calvert Mid-Cap I	**	5,330
	Legg Mason	CB Value Tr Fi	**	457,663
	Deutsche Asset & Wealth Management U.S. Funds	Deut Core Equity R6	**	273,125
	Deutsche Asset & Wealth Management U.S. Funds	Deut Croci Eq Div IS	**	15,556,009
	Deutsche Asset & Wealth Management U.S. Funds	Deut Croci Intl R6	**	32,935
	Deutsche Asset & Wealth Management U.S. Funds	Deut Glb Sm Cap R6	**	128,936
	Deutsche Asset & Wealth Management U.S. Funds	Deut Sm Cap Growth R6	**	18,611
	Domini Social Investors	Domini Impact Eq IS	**	129,684
*	Fidelity Investments	FID Asset Manager 20% Fund	**	247,402
*	Fidelity Investments	FID Asset Manager 30% Fund	**	487,756
*	Fidelity Investments	FID Asset Manager 40% Fund	**	50,778
*	Fidelity Investments	FID Asset Manager 50% Fund	**	292,231
*	Fidelity Investments	FID Asset Manager 60% Fund	**	256,505
*	Fidelity Investments	FID Asset Manager 70% Fund	**	9,509

(Continued)

SARGENT & LUNDY, L.L.C. SAVINGS INVESTMENT PLAN
SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2019

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
*	Fidelity Investments	FID Asset Manager 85% Fund	**	$ 496,147
*	Fidelity Investments	FID Balanced Fund K	**	3,897,701
*	Fidelity Investments	FID Blue Chip Growth K6	**	5,232,475
*	Fidelity Investments	FID Blue Chip Value	**	511,548
*	Fidelity Investments	FID Canada Fund	**	1,005,563
*	Fidelity Investments	FID Cap Appreciation K	**	692,556
*	Fidelity Investments	FID Capital and Income Fund	**	4,835,231
*	Fidelity Investments	FID China Region Fund	**	1,435,232
*	Fidelity Investments	FID Contrafund Fund K6	**	9,591,741
*	Fidelity Investments	FID Convertible Sec Fund	**	405,079
*	Fidelity Investments	FID Disciplined Equity Fund K	**	25,471
*	Fidelity Investments	FID Diversified Intl Fund K6	**	11,480,974
*	Fidelity Investments	FID Dividend Growth Fund K	**	1,450,670
*	Fidelity Investments	FID EMEA	**	221,107
*	Fidelity Investments	FID Emerging Asia	**	814,976
*	Fidelity Investments	FID Emerging Mkts K	**	2,463,131
*	Fidelity Investments	FID Equity Dividend Income K	**	100,614
*	Fidelity Investments	FID Equity Income Fund K	**	324,501
*	Fidelity Investments	FID Europe Fund	**	178,761
*	Fidelity Investments	FID Export & Multi K	**	304,882
*	Fidelity Investments	FID Ext Mkt IDX PR	**	7,374,593
*	Fidelity Investments	FID Float Rt Hi Inc	**	1,003,319
*	Fidelity Investments	FID Focused High Inc Fund	**	169,620
*	Fidelity Investments	FID Focused Stock Fund	**	1,658,497
*	Fidelity Investments	FID Four in One Idx	**	180,646
*	Fidelity Investments	FID Freedom 2005 Fund	**	91,946
*	Fidelity Investments	FID Freedom 2010 Fund	**	572,906
*	Fidelity Investments	FID Freedom 2015 Fund	**	451,609
*	Fidelity Investments	FID Freedom 2020 Fund	**	1,510,622
*	Fidelity Investments	FID Freedom 2025 Fund	**	933,927
*	Fidelity Investments	FID Freedom 2030 Fund	**	1,988,403
*	Fidelity Investments	FID Freedom 2035 Fund	**	1,521,487
*	Fidelity Investments	FID Freedom 2040 Fund	**	715,767
*	Fidelity Investments	FID Freedom 2045 Fund	**	729,910
*	Fidelity Investments	FID Freedom 2050 Fund	**	1,743,856
*	Fidelity Investments	FID Freedom 2055 Fund	**	970,590
*	Fidelity Investments	FID Freedom 2060 Fund	**	125,121
*	Fidelity Investments	FID Freedom 2065 Fund	**	54
*	Fidelity Investments	FID Freedom Income Fund	**	14,763
*	Fidelity Investments	FID Fund K	**	869,861
*	Fidelity Investments	FID GNMA	**	677,316
*	Fidelity Investments	FID Govt MMKT	**	540,816
*	Fidelity Investments	FID Growth and Income Fund	**	6,220,508
*	Fidelity Investments	FID Growth COK Fund	**	6,767,755
*	Fidelity Investments	FID Growth Discovery K Fund	**	321,845
*	Fidelity Investments	FID Growth Strat K6	**	8,748,689
*	Fidelity Investments	FID High Income Fund	**	609,413

(Continued)

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Three-Digit Plan Number: 003

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		Mutual Funds (Continued)		
*	Fidelity Investments	FID Independence K Fund	**	$ 407,626
*	Fidelity Investments	FID Inflat Prot Bond Fund	**	3,234,449
*	Fidelity Investments	FID Intermed Bond Fund	**	371,204
*	Fidelity Investments	FID Intl Cap Appreciation Fund	**	4,735,091
*	Fidelity Investments	FID Intl Discovery K Fund	**	677,669
*	Fidelity Investments	FID Intl Enh Index	**	323,924
*	Fidelity Investments	FID Intl Growth	**	898,080
*	Fidelity Investments	FID Intl Index Ins	**	7,460,477
*	Fidelity Investments	FID Intl Real Estate	**	410,676
*	Fidelity Investments	FID Intl Sm Cap Opp	**	322,413
*	Fidelity Investments	FID Intl Value	**	61,555
*	Fidelity Investments	FID INTM Government Income Fund	**	752,337
*	Fidelity Investments	FID Investment Growth Bond Fund	**	228,566
*	Fidelity Investments	FID Japan Fund	**	142,734
*	Fidelity Investments	FID Japan Smaller Co Fund	**	248,582
*	Fidelity Investments	FID Large Cap Stock Fund	**	592,128
*	Fidelity Investments	FID Latin America Fund	**	947,269
*	Fidelity Investments	FID LC Core ENH Indx	**	141,442
*	Fidelity Investments	FID LC Growth ENH Indx	**	187,968
*	Fidelity Investments	FID LC Val ENH Indx	**	148,847
*	Fidelity Investments	FID Leveraged Co. Stk Fund	**	3,519,254
*	Fidelity Investments	FID Low Priced Stock K6	**	2,530,025
*	Fidelity Investments	FID Magellan K Fund	**	31,574,078
*	Fidelity Investments	FID Mega Cap Stock Fund	**	107,275
*	Fidelity Investments	FID Mid Cap ENH Indx	**	582,429
*	Fidelity Investments	FID Mid Cap Stock K Fund	**	2,644,716
*	Fidelity Investments	FID Mid Cap Value	**	307,425
*	Fidelity Investments	FID Mortgage Sec	**	147,506
*	Fidelity Investments	FID NASDAQ Comp Indx	**	1,795,921
*	Fidelity Investments	FID New Markets Inc. Fund	**	2,588,483
*	Fidelity Investments	FID New Millen	**	444,947
*	Fidelity Investments	FID Nordic Fund	**	126,509
*	Fidelity Investments	FID OTC K Fund	**	7,970,664
*	Fidelity Investments	FID Overseas Fund	**	519,289
*	Fidelity Investments	FID Pacific Basin Fund	**	419,915
*	Fidelity Investments	FID Puritan Fund K	**	1,093,580
*	Fidelity Investments	FID Real Estate Inc Fund	**	332,342
*	Fidelity Investments	FID Real Estate Invs Fund	**	1,758,126
*	Fidelity Investments	FID SEL Air Transprt Fund	**	481,682
*	Fidelity Investments	FID SEL Automotive Fund	**	304,471
*	Fidelity Investments	FID SEL Banking Fund	**	462,308
*	Fidelity Investments	FID SEL Biotech Fund	**	5,122,469
*	Fidelity Investments	FID SEL Brokerage Fund	**	209,473
*	Fidelity Investments	FID SEL Chemical Fund	**	1,084,300
*	Fidelity Investments	FID SEL Comm Equip Fund	**	62,772
*	Fidelity Investments	FID SEL Comm Serv	**	241,835

(Continued)

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		Mutual Funds (Continued)		
*	Fidelity Investments	FID SEL Computers Fund	**	$ 520,055
*	Fidelity Investments	FID SEL Cons Discr Fund	**	488,938
*	Fidelity Investments	FID SEL Cons Staples Fund	**	767,135
*	Fidelity Investments	FID SEL Constr/House Fund	**	279,682
*	Fidelity Investments	FID SEL Consumer Fin	**	13,317
*	Fidelity Investments	FID SEL Defense Fund	**	3,746,402
*	Fidelity Investments	FID SEL Energy Fund	**	1,175,363
*	Fidelity Investments	FID SEL Energy Svcs Fund	**	230,121
*	Fidelity Investments	FID SEL ENV Alt Engy Fund	**	161,458
*	Fidelity Investments	FID SEL Financial Fund	**	240,031
*	Fidelity Investments	FID SEL Gold Fund	**	3,127,252
*	Fidelity Investments	FID SEL Healthcare Fund	**	5,693,659
*	Fidelity Investments	FID SEL Hth Care Svc	**	1,465,405
*	Fidelity Investments	FID SEL Industrials	**	470,606
*	Fidelity Investments	FID SEL Insurance Fund	**	218,378
*	Fidelity Investments	FID SEL IT Services Fund	**	3,254,665
*	Fidelity Investments	FID SEL Leisure Fund	**	653,115
*	Fidelity Investments	FID SEL Materials	**	403,385
*	Fidelity Investments	FID SEL Med Eq and Sys Fund	**	5,374,194
*	Fidelity Investments	FID SEL Natural Gas Fund	**	272,192
*	Fidelity Investments	FID SEL Natural Res Fund	**	392,061
*	Fidelity Investments	FID SEL Pharmaceutical Fund	**	830,447
*	Fidelity Investments	FID SEL Retailing Fund	**	2,810,658
*	Fidelity Investments	FID SEL Semiconductor Fund	**	3,301,085
*	Fidelity Investments	FID SEL Software Fund	**	3,709,536
*	Fidelity Investments	FID SEL Technology Fund	**	3,520,660
*	Fidelity Investments	FID SEL Telecomm Fund	**	72,139
*	Fidelity Investments	FID SEL Transport Fund	**	576,798
*	Fidelity Investments	FID SEL Utilities GR Fund	**	1,518,796
*	Fidelity Investments	FID SEL Wireless Fund	**	321,636
*	Fidelity Investments	FID Sm Cap Discovery	**	1,387,602
*	Fidelity Investments	FID Sm Cap ENH Indx	**	256,267
*	Fidelity Investments	FID Small Cap Growth	**	1,344,707
*	Fidelity Investments	FID Small Cap Stock Fund K6	**	1,173,710
*	Fidelity Investments	FID Small Cap Value	**	570,837
*	Fidelity Investments	FID STK SEL ALL Cap K	**	401,815
*	Fidelity Investments	FID STK SEL SM Cap	**	3,920,729
*	Fidelity Investments	FID STKSEL LG Cap Val	**	203,773
*	Fidelity Investments	FID Strat Div & Inc	**	151,936
*	Fidelity Investments	FID Telecom & Utilities Fund	**	456,590
*	Fidelity Investments	FID Tot Mkt IDX PR	**	1,643,020
*	Fidelity Investments	FID Total Bond K6	**	8,329
*	Fidelity Investments	FID Total Intl Eq	**	4,601,277
*	Fidelity Investments	FID Trend Fund	**	445,369
*	Fidelity Investments	FID US Bond Idx IS	**	3,758,456
*	Fidelity Investments	FID Value Discov K6	**	253,539

(Continued)

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		Mutual Funds (Continued)		
*	Fidelity Investments	FID Value K Fund	**	$ 1,239,704
*	Fidelity Investments	FID Value Strategy K Fund	**	4,203,894
*	Fidelity Investments	FID Worldwide Fund	**	355,907
*	Fidelity Investments	FID Govt Income	**	1,089,671
	Fklin Templeton Investments	Fklin Mtl Glb Disc R6	**	678,146
	Fklin Templeton Investments	Fklin Mtl Shares R6	**	121,135
	Fklin Templeton Investments	Fklin Sm Mid Cap Gth R6	**	56,456
	First Pacific Advisors	FPA Crescent Fund	**	853,636
	Harding Loevner	Hrdg Lvnr Intl Eq IS	**	2,417,287
	Invesco	Invs Charter R6	**	16,200
	Invesco	Invs Divrs Divd R6	**	1,251,944
	Invesco	Invs Glb SMMDCPGR RS	**	206,037
	Invesco	Invs Grth & Inc R6	**	772,973
	Invesco	Invs MDCP Core EQ R6	**	214,013
	Invesco	Invs SMCP Grth R6	**	129,499
	Janus Funds	Janus Balanced N Fund	**	890,289
	Janus Funds	Janus Enterprise N Fund	**	2,402,644
	Janus Funds	Janus Mid Cap Val N Fund	**	1,239,769
	Janus Funds	Janus Forty N	**	1,210,746
	Janus Funds	Janus Global Research I	**	663,080
	Janus Funds	Janus Flexible Bond N Fund	**	621,874
	Janus Funds	Janus Overseas N Fund	**	389,149
	Janus Funds	Janus Research N Fund	**	620,933
	Janus Funds	Janus Small Cap Val N	**	6,143,761
	Lord Abbett	LD Abt Mid Cap Stk R6	**	358,337
	Loomis Sayles Funds	LOOMIS Sm Cap Value N Fund	**	290,801
	Morgan Stanley Investment Mgmt	MSIF Active Intl I Fund	**	38,716
	Morgan Stanley Investment Mgmt	MSIF CP FX Inc I Fund	**	9,146,839
	Morgan Stanley Investment Mgmt	MSIF Emerging Mkts IS Fund	**	202,842
	Morgan Stanley Investment Mgmt	MSIF Global Strat IS	**	34,503
	Morgan Stanley Investment Mgmt	MSIF Growth Inst	**	2,360,198
	Morgan Stanley Investment Mgmt	MSIF Intl Equity IS Fund	**	51,804
	Morgan Stanley Investment Mgmt	MSIF Discovery IS	**	2,605,278
	Morgan Stanley Investment Mgmt	MSIF Inception IS	**	209,217
	Neuberger Berman	NB Core Bond Inst	**	91,758
	Neuberger Berman	NB Focus Inst Fund	**	27,170
	Neuberger Berman	NB Genesis R6	**	1,809,312
	Neuberger Berman	NB Guardian Inst	**	2,081,038
	Neuberger Berman	NB Lg Cap Val Inst	**	667,260
	Neuberger Berman	NB MDCP Grth R6	**	121,403
	Neuberger Berman	NB Sustain EQ R6	**	25,456
	Oakmark Funds	Oakmark Eq & Inc IS	**	1,499,900
	Oakmark Funds	Oakmark Inst	**	1,052,951
	Oakmark Funds	Oakmark Select Inv	**	233,458
	PIMCO Global Advisors	PIM Glob BD Unhg I	**	773,768

(Continued)

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		Mutual Funds (Continued)		
	PIMCO Global Advisors	PIM High Yield Inst	**	$ 1,245,832
	PIMCO Global Advisors	PIM Lt U.S. Govt Inst	**	1,930,331
	PIMCO Global Advisors	PIM Tot Return Inst Fund	**	13,484,108
	Primecap	PRMCP Odyssey Stock	**	4,717,917
	Rice Hall James	RHJ Micro Cap Fund	**	32,776
	Touchstone	TCHSTN Focused I	**	784,037
	Touchstone	TCHSTN Intl Sm Cap I	**	59,809
	TCW Funds	TCW Select Equity I	**	635,755
	Templeton	Templeton Foreign R6	**	143,920
	Templeton	Templeton Growth R6	**	310,623
	Templeton	Templeton Dev Mkts R6	**	7,298,869
	Templeton	Templeton Global Bond R6	**	1,518,778
	Templeton	Templeton World R6	**	141,003
	T. Rowe Price	TRP Growth Stock Fund I	**	3,558,197
	USAA Investments	USAA Cornerstone Mod Aggr Fund	**	6,766
	USAA Investments	USAA Emerging Mkts Inst Fund	**	71,152
	USAA Investments	USAA GOVT SEC Inst	**	626,907
	USAA Investments	USAA Growth Inst Fund	**	74,052
	USAA Investments	USAA Income Inst Fund	**	8,785,345
	USAA Investments	USAA Income Stock Fund	**	52,238
	USAA Investments	USAA International Inst Fund	**	108,003
	The Vanguard Group	Vanguard Explorer Adm Fund	**	2,848,406
	The Vanguard Group	Vanguard Infl Prot Adm Fund	**	1,985,536
	The Vanguard Group	Vanguard Inst Index	**	77,786,063
	The Vanguard Group	Vanguard LS Conservative Growth Fund	**	413,739
	The Vanguard Group	Vanguard LS Growth	**	813,565
	The Vanguard Group	Vanguard LS Income	**	260,408
	The Vanguard Group	Vanguard LS Moderate Growth Fund	**	273,002
	The Vanguard Group	Vanguard Total Bond Market Inst	**	12,168,890
	The Vanguard Group	Vanguard Total Intl Stock IS	**	9,191,035
	The Vanguard Group	Vanguard Total Stock Market IS	**	31,826,323
	Victory	VRS Small Cap Grth Y	**	636,251
	Western Asset	WA Core Bond I	**	10,947,918
	Wells Fargo Advantage Funds	WF Common Stock R6	**	166,382
	Wells Fargo Advantage Funds	WF Discovery R6	**	194,842
	Wells Fargo Advantage Funds	WF Govt Security IS	**	405,293
	Wells Fargo Advantage Funds	WF Growth R6	**	9,778,862
	Wells Fargo Advantage Funds	WF Lg Cap Growth R6	**	60,982
	Wells Fargo Advantage Funds	WF Opportunity IS	**	97,360
	Total Mutual Funds			572,872,433

(Continued)

SARGENT & LUNDY, L.L.C. SAVINGS INVESTMENT PLAN
SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2019

Name of Plan Sponsor:	Sargent & Lundy, L.L.C.
Employer Identification Number:	36-1729848
Three-Digit Plan Number:	003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Collective Trusts		
	The Vanguard Group	Vanguard Target 2015	**	$ 10,359,848
	The Vanguard Group	Vanguard Target 2020	**	20,621,286
	The Vanguard Group	Vanguard Target 2025	**	16,501,281
	The Vanguard Group	Vanguard Target 2030	**	13,798,912
	The Vanguard Group	Vanguard Target 2035	**	14,381,716
	The Vanguard Group	Vanguard Target 2040	**	16,419,234
	The Vanguard Group	Vanguard Target 2045	**	27,307,826
	The Vanguard Group	Vanguard Target 2050	**	39,697,430
	The Vanguard Group	Vanguard Target 2055	**	13,324,180
	The Vanguard Group	Vanguard Target 2060	**	5,908,489
	The Vanguard Group	Vanguard Target 2065	**	341,017
	The Vanguard Group	Vanguard Target Inc	**	4,489,214
	Total Collective Trusts			183,150,433
		Brokerage Account		
*	Fidelity Mgmt. Trust Co.	Brokerage Account	**	38,201,389
		Stable Value Fund		
	Collective Trust			
*	Fidelity Mgmt. Trust Co.	Fidelity Intermediate Duration Pool	**	140,134,803
	Interest-Bearing Cash			
*	Fidelity Mgmt. Trust Co.	Short Term Investment Fund		2,654,372
				142,789,175
		Participant Loans		
*	Participant Loans	Interest rates ranging from 3.50% to 6.50% with maturity dates through July 2029	**	7,441,364
				$ 944,454,794

* Denotes party-in-interest.
** Cost information is not required for participant-directed investments and, therefore, is not included.

See Independent Auditor's Report.