

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2019 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2019 or fiscal plan year beginning <u>01/01/2019</u> and ending <u>12/31/2019</u>	
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☒ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☒
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)

Part II	Basic Plan Information —enter all requested information				
1a Name of plan <u>FIRSTENERGY CORP. SAVINGS PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>002</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>01/01/1983</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>002</u>	1c Effective date of plan <u>01/01/1983</u>	
1b Three-digit plan number (PN) ▶	<u>002</u>				
1c Effective date of plan <u>01/01/1983</u>					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>FIRSTENERGY CORP.</u> <u>76 SOUTH MAIN STREET</u> <u>AKRON, OH 44308</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>34-1843785</u></td> </tr> <tr> <td>2c Plan Sponsor's telephone number <u>330-384-5100</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>221100</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>34-1843785</u>	2c Plan Sponsor's telephone number <u>330-384-5100</u>	2d Business code (see instructions) <u>221100</u>	
2b Employer Identification Number (EIN) <u>34-1843785</u>					
2c Plan Sponsor's telephone number <u>330-384-5100</u>					
2d Business code (see instructions) <u>221100</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	09/21/2020	CHRISTINE L WALKER
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2019)
v. 190130

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN
5 Total number of participants at the beginning of the plan year	5 20049
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	 6a(1) 16063 6a(2) 14578 6b 952 6c 3901 6d 19431 6e 38 6f 19469 6g 19349 6h 0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2F 2G 2H 2J 2K 2R 2T 3F 3H b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	
9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1)** ☒ **R** (Retirement Plan Information)
- (2)** ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3)** ☐ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- (1)** ☒ **H** (Financial Information)
- (2)** ☐ **I** (Financial Information – Small Plan)
- (3)** ☒ 1 **A** (Insurance Information)
- (4)** ☒ **C** (Service Provider Information)
- (5)** ☒ **D** (DFE/Participating Plan Information)
- (6)** ☐ **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2019 Form M-1 annual report. If the plan was not required to file the 2019 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2019 This Form is Open to Public Inspection
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For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019	
A Name of plan FIRSTENERGY CORP. SAVINGS PLAN	B Three-digit plan number (PN) ▶ 002
C Plan sponsor's name as shown on line 2a of Form 5500 FIRSTENERGY CORP.	D Employer Identification Number (EIN) 34-1843785

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier VOYA RETIREMENT INSURANCE AND ANNUITY CO.

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
71-0294708	86509	060333		01/01/2019	12/31/2019

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid 0	(b) Total amount of fees paid 0
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3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).
(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

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(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end **4** 0**5** Current value of plan's interest under this contract in separate accounts at year end **5** 0**6** Contracts With Allocated Funds:**a** State the basis of premium rates ▶**b** Premiums paid to carrier **6b****c** Premiums due but unpaid at the end of the year **6c****d** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. **6d**
Specify nature of costs ▶**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity(3) ☐ other (specify) ▶**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee(3) ☒ guaranteed investment (4) ☐ other ▶**b** Balance at the end of the previous year **7b** 201591962**c** Additions: (1) Contributions deposited during the year **7c(1)**(2) Dividends and credits **7c(2)**(3) Interest credited during the year **7c(3)** 4962959(4) Transferred from separate account **7c(4)**(5) Other (specify below) **7c(5)** 6234382▶ **BEG BALANCE ADJUSTMENT**(6) Total additions **7c(6)** 11197341**d** Total of balance and additions (add lines **7b** and **7c(6)**) **7d** 212789303**e** Deductions:(1) Disbursed from fund to pay benefits or purchase annuities during year **7e(1)** 45381099(2) Administration charge made by carrier **7e(2)**(3) Transferred to separate account **7e(3)**(4) Other (specify below) **7e(4)** 11836(5) Total deductions **7e(5)** 45392935**f** Balance at the end of the current year (subtract line **7e(5)** from line **7d**) **7f** 167396368

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received.....	9a(1)		
(2) Increase (decrease) in amount due but unpaid.....	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3)).....		9a(4)	0
b Benefit charges (1) Claims paid.....	9b(1)		
(2) Increase (decrease) in claim reserves.....	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	0
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees.....	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses.....	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies.....	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention.....		9c(1)(H)	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.).....		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves.....		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.....	10b	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2019
		This Form is Open to Public Inspection.

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019	
A Name of plan FIRSTENERGY CORP. SAVINGS PLAN	B Three-digit plan number (PN) ▶ 002
C Plan sponsor's name as shown on line 2a of Form 5500 FIRSTENERGY CORP.	D Employer Identification Number (EIN) 34-1843785

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL
04-2647786

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

STRATEGIC ADVISORS, INC.

04-2654524

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	ADVISOR	1289522	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ARTISAN PARTNERS LIMITED PARTNERSHI

875 E. WISCONSIN AVE.
SUITE 800
MILWAUKEE, WI 53202

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16	OTHER SERVICES	548282	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

PIMCO

33-0629048

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16	CONSULTANT	444191	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
37 60 64 65 71	RECORDKEEPER	435266	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

TCW

865 SOUTH FIGUEROA STREET
SUITE1800
LOS ANGELES, CA 90017

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16	CONSULTANT	237566	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

BLACKROCK

40 EAST 52ND STREET
NEW YORK, NY 10022

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16	CONSULTANT	97734	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

CAPTRUST

4208 SIX FORKS ROAD
SUITE 1700
RALEIGH, NC 27609

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16	CONSULTANT	41878	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

CORPORATE ELECTION SERVICES

POST OFFICE BOX 125
PITTSBURGH, PA 15230

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16	CONSULTANT	34075	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

MELLON INVESTMENTS CORPORATION

P.O. BOX 371509
PITTSBURGH, PA 15251

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16	CONSULTANT	24979	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
YCG ENHANCED FUND SERVICE/REGULAR 400 CITY CENTER OSHKOSH, WI 54901	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO EMERGING GROWTH CL A 525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO ULTRA SHRT TRM INC CLAS 525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO ENTERPRISE CLASS A 525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO ABSOLUTERETURN ADMIN CL 525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO PRECIOUS METALS CL A 525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO DIVERSIFCAPITAL BUILDER 525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO DIVERSIFINC BUILDER CL 525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO SPECIAL SMALL CAP VALUE 525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO SPECIALIZED TECH A 525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105		0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WEITZ VALUE 1125 SOUTH 103RD STREET OMAHA, NE 68124		0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN WASHINGTON MUTUAL INVESTOR 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321		0.37%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN WASHNTN MUTUAL INVESTRS CL 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH GLOBAL OPPORTUNITIES 505 WAKARA WAY SUITE 300 RETAIL SALT LAKE CITY, UT 84108	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH ULTRA GROWTH 505 WAKARA WAY SUITE 300 SALT LAKE CITY, UT 84108	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VOYA RUSSIA CLASS A 7337 E. DOUBLETREE RANCH RD SCOTTSDALE, AZ 85258	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VOYA SECURITIZED CRD FUND CLASS A 7337 E. DOUBLETREE RANCH RD SCOTTSDALE, AZ 85258	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VOYA STRATEGIC INC OPPORTUNITIES CL 7337 E. DOUBLETREE RANCH RD SCOTTSDALE, AZ 85258	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VOYA REAL ESTATE CLASS C 7337 E. DOUBLETREE RANCH RD SCOTTSDALE, AZ 85258	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS KAR SMALL CAP GROWTH CL A 101 MUNSON ST. STE. 3 GREENFIELD, MA 01301	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS KAR SMALL CAP GROWTH FUND CL 101 MUNSON ST. STE. 3 GREENFIELD, MA 01301	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VICTORY RS SMALL CAPGROWTH FUND CL 4900 TIEDEMAN RD 4TH FLOOR BROOKLYN, OH 44114	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VICTORY RS MID CAP GROWTH FUND CL A 4900 TIEDEMAN RD 4TH FLOOR BROOKLYN, OH 44114	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VICTORY RS SELECT GROWTH FUND CL A 4900 TIEDEMAN RD 4TH FLOOR BROOKLYN, OH 44114	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY TRIVALENT INTL SMALL CAP A 4900 TIEDEMAN RD 4TH FLOOR BROOKLYN, OH 44114	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY MUNDER MULTICAP FD CL A 4900 TIEDEMAN RD 4TH FLOOR BROOKLYN, OH 44114	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VAN ECK INTERNATN'L INVESTORS GOLD 666 3RD AVENUE 9TH FL NEW YORK, NY 10017	0.38%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VALUE LINE SMALL CAPOPPORTUNITIES I 7 TIMES SQUARE SUITE 1606 NEW YORK, NY 10036	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GOLD AND PRECIOUS METALS FUND 3 CANAL PLAZA SUITE 600 PORTLAND, ME 04101	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WORLD PRECIOUS MINERALS FUND 3 CANAL PLAZA SUITE 600 PORTLAND, ME 04101	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
USAMUTUALS VICE FUND INVESTOR 615 EAST MICHIGAN STREET MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
USAA INTERMEDIATE TERM BOND ADVISER 9800 FREDERICKSBURG RD. SAN ANTONIO, TX 78288	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
USAA SCIENCE & TECHNOLOGY ADVISER 9800 FREDERICKSBURG RD. SAN ANTONIO, TX 78288	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
USAA GROWTH & TAX STRATEGY RETAIL 9800 FREDERICKSBURG RD. SAN ANTONIO, TX 78288	0.10%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TOUCHSTONE SMALL CAP VALUE FUND CLA 303 BROADWAY SUITE 1100 CINCINNATI, OH 45202-4203	0.10%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TOUCHSTONE ULTRA SHRDUR FIX INC CL 303 BROADWAY SUITE 1100 CINCINNATI, OH 45202-4203	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TOUCHSTONE FOCUSED CLASS Y 303 BROADWAY SUITE 1100 CINCINNATI, OH 45202-4203	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TOUCHSTONE LARGE CAP FUND CL Y 303 BROADWAY SUITE 1100 CINCINNATI, OH 45202-4203	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TOCQUEVILLE GOLD FUND 40 W 57TH STREET 19TH FL NEW YORK, NY 10019	\$16.00	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TOCQUEVILLE FUND 40 W 57TH STREET 19TH FL NEW YORK, NY 10019	\$16.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TIMOTHY PLAN ISRAEL COMMON VALUES C 80 ARKAY DRIVE SUITE 110 HAUPPAUGE, NY 11788	0.08%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TIMOTHY PLAN ISRAEL COMMON VALUES C 80 ARKAY DRIVE SUITE 110 HAUPPAUGE, NY 11788	0.08%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TIMOTHY PLAN EMERGING MRKTS CL C 80 ARKAY DRIVE SUITE 110 HAUPPAUGE, NY 11788	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TIMOTHY SMALL CAP VALUE CLASS A 80 ARKAY DRIVE SUITE 110 HAUPPAUGE, NY 11788	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TIMOTHY PLAN GROWTH & INCOME CL A 80 ARKAY DRIVE SUITE 110 HAUPPAUGE, NY 11788	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THRIVENT MID CAP STOCK FUND CL S 4321 N. BALLARD ROAD APPLETON, WI 54919-0001	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THORNBURG GLOBAL OPPORTUNITIES FD A 2300 NORTH RIDGETOP RD SANTA FE, NM 87506	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THOMPSON LARGE CAP FUND 918 DEMING WAY 3RD FLOOR MADISON, WI 53717	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THOMPSON BOND FUND 918 DEMING WAY 3RD FLOOR MADISON, WI 53717	0.25%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THIRD AVENUE REAL ESTATE VALUE INST 622 THIRD AVENUE 32 FLOOR NEW YORK, NY 10017	0.35%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TANAKA GROWTH FUND CLASS R 369 LEXINGTON AVE FL 20 NEW YORK, NY 10017	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TCW HIGH YIELD BOND CLASS N 865 SOUTH FIGUERA STREET 22ND FLOOR LOS ANGELES, CA 90017	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
SOUND SHORE FD INC 8 SOUND SHORE DRIVE SUITE 180 GREENWICH, CT 06830	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
SMEAD VALUE FUND INVESTOR CL SHARES 1290 BROADWAY SUITE 1100 DENVER, CO 80203	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN SMALL CAP WORLD CLASS A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SHELTON NASDAQ 100 INDEX P.O. BOX 87 DENVER, CO 80201-0087	0.15%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SELECTED AMERICAN SHARES CL S 2949 E. ELVIRA RD. TUCSON, AZ 85706	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SEGALL BRYANT HAMILL QLTY HIGH YLD 370 17TH STREET SUITE 5000 DENVER, CO 80202	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SEGALL BRYANT HAMILL PLUS BOND FD R 370 17TH STREET SUITE 5000 DENVER, CO 80202	0.30%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AVE MARIA RISING DIVIDEND FUND 801 W. ANN ARBOR TRAIL SUITE 244 PLYMOUTH, MI 48170	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AVE MARIA VALUE FUND 801 W. ANN ARBOR TRAIL SUITE 244 PLYMOUTH, MI 48170	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
STATE STREET S&P INDEX FUND ONE LINCOLN ST. BOSTON, MA 02111-2900	0.05%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RYDEX BIOTECHNOLOGY INV CLASS 9601 BLACKWELL RD. STE. 500 ROCKVILLE, MD 20850	0.40%

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL		60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RYDEX INVERSE GOVT LONG BOND STRAT 9601 BLACKWELL RD. STE. 500 ROCKVILLE, MD 20850		0.40%	

(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL		60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RYDEX NASDAQ 100 INV CLASS 9601 BLACKWELL RD. STE. 500 ROCKVILLE, MD 20850		0.40%	

(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL		60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RYDEX INVERSE NASDAQ 100 STRATEGY I 9601 BLACKWELL RD. STE. 500 ROCKVILLE, MD 20850		0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RYDEX NASDAQ 100 2X STRATEGY CL H 9601 BLACKWELL RD. STE. 500 ROCKVILLE, MD 20850	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RYDEX INVERSE S&P 500 STRATEGY INV 9601 BLACKWELL RD. STE. 500 ROCKVILLE, MD 20850	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RYDEX PRECIOUS METALS INVESTOR CL 9601 BLACKWELL RD. STE. 500 ROCKVILLE, MD 20850	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ROYCE TOTAL RETURN FD INVESTMENT CL 745 FIFTH AVENUE SUITE 2400 NEW YORK, NY 10151	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ROYCE OPPORTUNITY FUND INVEST CLASS 745 FIFTH AVENUE SUITE 2400 NEW YORK, NY 10151	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ROYCE SPECIAL EQUITYINVESTMENT CL 745 FIFTH AVENUE SUITE 2400 NEW YORK, NY 10151	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE TAX EFFICIENT EQUITY F 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE MID CAP VALUE 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE SMALL CAP VALUE FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE SCIENCE & TECHNOLOGY 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE PERSONAL STRAT GRWTH 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE SMALL CAP STOCK 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE NEW INCOME 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE LATIN AMERICA FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE EMERGING MKTS STOCK 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE GLOBAL STOCK 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE OVERSEAS STOCK FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE GLOBAL HIGH INCOME BON 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE NEW ASIA 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE INTL DISCOVERY FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE INTERNATIONAL BOND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE NEW HORIZONS FD INC 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE MID CAP GROWTH 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE BLUE CHIP GROWTH INC 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE U.S EQUITY RESEARCH FD 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE CAPITALAPPRECIATION AD 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE CAP APPRECIATION 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE EQUITY INCOME 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE DIVIDEND GROWTH 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MOTLEY FOOL GLOBAL OPPORTUNITIES IN 2000 DUKE STREET SUITE 175 ALEXANDRIA, VA 22314	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MOTLEY FOOL SMALL MID CAP GROWTH IN 2000 DUKE STREET SUITE 175 ALEXANDRIA, VA 22314	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PUTNAM GROWTH OPPORTUNITIES CL A ONE POST OFFICE SQUARE MAILZONE G3C BOSTON, MA 02109	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PUTNAM INCOME FUND CLASS A ONE POST OFFICE SQUARE MAILZONE G3C BOSTON, MA 02109	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PUTNAM MULTI CAP CORE FUND CL A ONE POST OFFICE SQUARE MAILZONE G3C BOSTON, MA 02109	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PUTNAM EQUITY SPECTRUM FUND CL A ONE POST OFFICE SQUARE MAILZONE G3C BOSTON, MA 02109	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PUTNAM MORTGAGE OPPOR FD CL A ONE POST OFFICE SQUARE MAILZONE G3C BOSTON, MA 02109	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PUTNAM EQUITY INCOMECLASS A ONE POST OFFICE SQUARE MAILZONE G3C BOSTON, MA 02109	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PGIM JENNISON UTILITY CL C 655 BROAD STREET NEWARK, NJ 07102	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PGIM HIGH YIELD CL A 655 BROAD STREET NEWARK, NJ 07102	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ULTRA EMERGING MRKTSPRO FD INVT CL 7501 WISCONSIN AVE SUITE 1000 EAST BETHESDA, MD 20814	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CONGRESS SMALL CAP GROWTH INSTL CL TWO SEAPORT LANE BOSTON, MA 02210	0.10%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CONGRESS MID CAP GROWTH RETAIL TWO SEAPORT LANE BOSTON, MA 02210	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HODGES SMALL CAP FUND RETAIL 2905 MAPLE AVENUE DALLAS, TX 75201	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AKRE FOCUS FUND RETAIL 777 E. WISCONSIN AVE. 4TH FL MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRINCIPAL BLUE CHIP FUND CL A 620 COOLIDGE DRIVE SUITE 300 FOLSOM, CA 95630	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRINCIPAL HIGH YIELDCL A 620 COOLIDGE DRIVE SUITE 300 FOLSOM, CA 95630	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRINCIPAL HIGH YIELD CL C 620 COOLIDGE DRIVE SUITE 300 FOLSOM, CA 95630	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRINCIPAL MID CAP FUND CLASS C 620 COOLIDGE DRIVE SUITE 300 FOLSOM, CA 95630	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRINCIPAL MID CAP INSTL 620 COOLIDGE DRIVE SUITE 300 FOLSOM, CA 95630	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRIMECAP ODYSSEY STO CK FUND 2020 E. FINANCIAL WAY SUITE 100 GLENDORA, CA 91741	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRIMECAP ODYSSEY AGGRESSIVE GRWTH F 2020 E. FINANCIAL WAY SUITE 100 GLENDORA, CA 91741	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRIMECAP ODYSSEY GROWTH FUND 2020 E. FINANCIAL WAY SUITE 100 GLENDORA, CA 91741	0.08%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE RETIREMENT 2025 FD 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE RETIREMENT 2035 FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE RETIRE 2050 FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE RET 2030 FD 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE GLBL MULTI SECTOR BOND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE GLOBAL TECHNOLOGY 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE HEALTH SCIENCES 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE GROWTH STOCK 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE COMM & TECHNOLOGY INVE 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIONEER STRATEGIC INCOME CLASS C 60 STATE STREET 17TH FL. BOSTON, MA 02109	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIONEER SELECT MID CAP GROWTH CLASS 60 STATE STREET 17TH FL. BOSTON, MA 02109	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIONEER HIGH YIELD CLASS A 60 STATE STREET 17TH FL. BOSTON, MA 02109	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIONEER FUNDAMENTAL GROWTH FD CL C 60 STATE STREET 17TH FL. BOSTON, MA 02109	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO PREFERRED AND CAPITAL SECS CL 1633 BROADWAY NEW YORK, NY 10019	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO INCOME CL I3 1633 BROADWAY NEW YORK, NY 10019	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO STOCKSPUS SMALL FUND A 1633 BROADWAY NEW YORK, NY 10019	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO INCOME FUND CL A 1633 BROADWAY NEW YORK, NY 10019	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO INCOME FUND CL C 1633 BROADWAY NEW YORK, NY 10019	0.20%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO DIVERSIFIED INCOME FUND CL A 1633 BROADWAY NEW YORK, NY 10019	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO INVESTMENT GR CREDIT BOND FUN 1633 BROADWAY NEW YORK, NY 10019	0.40%	

Part I	Service Provider Information (continued)
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3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PERMANENT PORTFOLIO	600 MONTGOMERY ST. SUITE 4100 SAN FRANCISCO, CA 94111-2702	0.38%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PARNASSUS CORE EQUITY INVESTOR	ONE MARKET STREET STEUART TOWER SUI SAN FRANCISCO, CA 94105	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PARNASSUS MID CAP	ONE MARKET STREET STEUART TOWER SUI SAN FRANCISCO, CA 94105	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PARNASSUS ENDEAVOR FUND ONE MARKET STREET STEUART TOWER SUI SAN FRANCISCO, CA 94105	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO INTL BD FD (U.S. DOLLAR HEDGE) 1633 BROADWAY NEW YORK, NY 10019	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO SHORT TERM CLASS A 1633 BROADWAY NEW YORK, NY 10019	0.38%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO TOTAL RETURN CLASS A 1633 BROADWAY NEW YORK, NY 10019	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OBERWEIS INTL OPPORTUNITIES FUND 3333 WARRENVILLE ROAD STE. 500 LISLE, IL 60532	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACK OAK EMERGING TECHNOLOGY FUND 225 PICTORIA DRIVE SUITE 450 CINCINNATI, OH 45246	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RED OAK TECHNOLOGY SELECT 225 PICTORIA DRIVE SUITE 450 CINCINNATI, OH 45246	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIN OAK EQUITY FUND 225 PICTORIA DRIVE SUITE 450 CINCINNATI, OH 45246	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NUVEEN NWQ FLEXIBLE INCOME FUND CL 333 WEST WACKER DRIVE CHICAGO, IL 60606	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NUVEEN PREFERRED SECS AND INC CL I 333 WEST WACKER DRIVE CHICAGO, IL 60606	0.07%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NUVEEN SYMPHONY HIGH YIELD INCOME I 333 WEST WACKER DRIVE CHICAGO, IL 60606	0.07%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RISKPRO PFG GLOBAL 30 FD CL R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RISKPRO DYNAMIC 0 10FUND CL R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RISKPRO DYNAMIC 15 25 FUND CL R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RISKPRO DYNAMIC 20 30 FUND CL R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RISKPRO PFG BALANCED 20 30 CL R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RISKPRO TACTICAL 0 30 FD CL R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RISKPRO PFG 0 15 FD CLASS R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RISKPRO ALTERNATIVE 0 15 FUND CL R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RISKPRO PFG EQUITY 30 FUND CL R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RISKPRO AGGRESSIVE 30 FUND CL R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RISKPRO 30 FUND CL R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RISKPRO PFG 30 FUND CL R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RISKPRO PFG AGGRESSIVE 30 FD R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HCM TACTICAL GROWTH FUND INVESTOR 1145 HEMBREE ROAD ROSWELL, GA 30076	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HCM DIVIDEND SECTOR PLUS FUND INVES 1145 HEMBREE ROAD ROSWELL, GA 30076	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ABSOLUTE CAPITAL ASSET ALLOCATOR IN 101 PENNSYLVANIA BLVD PITTSBURGH, PA 15228	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ABSOLUTE CAPITAL DEFENDER FD INVEST 101 PENNSYLVANIA BLVD PITTSBURGH, PA 15228	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HCM INCOME PLUS FUNDINVESTOR 1145 HEMBREE ROAD ROSWELL, GA 30076	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BTS TACTICAL FIXED INCOME FUND CLAS 420 BEDFORD ST. SUITE 340 LEXINGTON, MA 02420	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALTEGRIS FUTURES EVOLUTION CL I 1200 PROSPECT STREET SUITE 400 LAJOLLA, CA 92037	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN NEW WORLD FUND CLASS A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN NEW PERSPECTIVE CLASS A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NEUBERGER BERMAN GENESIS TRUST CLAS 1290 AVENUE OF THE AMERICAS 22ND F NEW YORK, NY 10104-0002	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ASG MANAGED FUTURES STRATEGY FUND CL 399 BOYLSTON STREET 8TH FLOOR BOSTON, MA 02116	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ASG TACTICAL U.S. MARKET FUND CL A 399 BOYLSTON STREET 8TH FLOOR BOSTON, MA 02116	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FRANKLIN MUTUAL EUROPEAN CLASS Z 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	\$16.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FRANKLIN MUTUAL BEACON CLASS Z 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	\$16.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EVENTIDE HEALTHCARE AND LIFE SCIENC 80 ARKAY SUITE 110 HAUPPAUGE, NY 11788	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EVENTIDE GILEAD FUND CLASS N 80 ARKAY SUITE 110 HAUPPAUGE, NY 11788	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MORGAN STANLEY INSIGHT C 522 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10036	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF FRONTIER MARKETS PORT CL A 522 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10036	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY GLOBAL OPPORTUNITY A 522 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10036	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY INSIGHT A 522 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10036	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY GROWTH PORTFOLIO I 522 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10036	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MORGAN STANLEY GROWTH PORTFOLIO A 522 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10036	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MORGAN STA INST INC. INTL EQUITY CL 522 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10036	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MORGAN STANLEY CORE PLUS FIXED INC 522 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10036	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MORGAN STANLEY DISCOVERY PORT CL I 522 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10036	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MORGAN STANLEY CORPORATE BOND CL A 522 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10036	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MORGAN STANLEY MORTGAGE SEC TR CL A 522 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10036	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
METROPOLITAN WEST LOW DURATION M 865 SOUTH FIGUEROA ST. #1400 LOS ANGELES, CA 90017	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
METROPOLITAN WEST TOT RETURN BOND C 865 SOUTH FIGUEROA ST. #1400 LOS ANGELES, CA 90017	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MATTHEWS INDIA FUND 4 EMBARCADERO CENTER SUITE 550 SAN FRANCISCO, CA 94111	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MATTHEWS CHINA FUND 4 EMBARCADERO CENTER SUITE 550 SAN FRANCISCO, CA 94111	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MATTHEWS ASIAN GROWTH & INCOME FUND 4 EMBARCADERO CENTER SUITE 550 SAN FRANCISCO, CA 94111	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MARSICO GLOBAL FUND 235 WEST GALENA STREET MILWAUKEE, WI 53212	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MARSICO GROWTH FUND 235 WEST GALENA STREET MILWAUKEE, WI 53212	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MARSICO FOCUS 235 WEST GALENA STREET MILWAUKEE, WI 53212	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MFS MID CAP GROWTH CLASS A 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MFS GROWTH FUND CLASS A 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MFS NEW DISCOVERY CLASS A 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MFS VALUE FUND CL R2 111 HUNTINGTON AVE BOSTON, MA 02199-7632	\$11.00	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS DIVERSIFIED INCOME FD CL C	111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.08%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS INTERNATIONAL DIVERSIFICATION C	111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.08%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LORD ABBETT HIGH YIELD CLASS A	90 HUDSON STREET 10TH FLOOR JERSEY CITY, NJ 07302	0.37%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LORD ABBETT BOND DEBENTURE CLASS A 90 HUDSON STREET 10TH FLOOR JERSEY CITY, NJ 07302	0.37%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LORD ABBETT EMERG MRKTS CORP DEBT A 90 HUDSON STREET 10TH FLOOR JERSEY CITY, NJ 07302	0.37%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LOOMIS SAYLES BOND RETAIL SHARES 399 BOYLSTON STREET 8TH FL BOSTON, MA 02116	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LOOMIS SAYLES GLOBAL BOND RETAIL 399 BOYLSTON STREET 8TH FL BOSTON, MA 02116	0.35%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LOOMIS SAYLES STRATEGIC INC Y 399 BOYLSTON STREET 8TH FLOOR BOSTON, MA 02116	0.05%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LONGLEAF PARTNERS 6410 POPLAR AVENUE SUITE 900 MEMPHIS, TN 38119	\$20.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CLEARBRIDGE SELECT FUND CL A 620 8TH AVENUE 49TH FL. NEW YORK, NY 10018	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CLEARBRIDGE LARGE CAP GROWTH A 620 8TH AVENUE 49TH FL. NEW YORK, NY 10018	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BRANDYWINEGLOBAL GBLB OPPOR BD CL I 620 8TH AVENUE 49TH FL. NEW YORK, NY 10018	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LAZARD EMERGING MKTSEQUITY OPEN CL 30 ROCKEFELLER PLAZA 57TH FL. NEW YORK, NY 10112	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LAZARD INTL STRAT EQUITY PORT OPEN 30 ROCKEFELLER PLAZA 57TH FL. NEW YORK, NY 10112	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LAZARD GLOBAL LISTEDINFRASTRUCTURE 30 ROCKEFELLER PLAZA 57TH FL. NEW YORK, NY 10112	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
KINETICS PARADIGM FUND 470 PARK AVENUE SOUTH NEW YORK, NY 10016	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN INVESTOR GROWTH & INCOME C 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN LIMITED DURATION BOND FD A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN SMALL CAP GROWTH CLASS A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN LARGE CAP GROWTH CLASS A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN TOTAL RETURN FUND CL A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.38%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN VALUE ADV FD CLASS L 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.08%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN US LG CAP CORE PLUS CLASS 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JP MORGAN SMALL CAP BLEND CL A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN US EQUITY FUND CLASS A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN EMERGING MARKETS EQUITY CL 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JOHN HANCOCK DISCPLDVALUE MID CAP C 601 CONGRESS ST. 9TH FL. BOSTON, MA 02210	\$19.00	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JOHN HANCOCK INTL GROWTH CL A 601 CONGRESS ST. 9TH FL. BOSTON, MA 02210	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JENSEN QUALITY GROWTH FD CL J 811 E. WISCONSIN AVE 8TH FLOOR MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON DEVELOPED WORLD BD 151 DETROIT ST. DENVER, CO 80206	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS HENDERSON ENTERPRISE C 151 DETROIT ST. DENVER, CO 80206	\$14.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS HENDERSON FORTY T 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS HENDERSON BALANCED T 151 DETROIT ST. DENVER, CO 80206	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS HENDERSON RESEARCH T 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS HENDERSON ENTERPRISE T 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS HENDERSON HIGH YIELD T 151 DETROIT ST. DENVER, CO 80206	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON GL LIFE SCIENCES T 151 DETROIT ST. DENVER, CO 80206	0.35%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON GLOBAL TECHNOLOGY T 151 DETROIT ST. DENVER, CO 80206	0.35%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON CONTRARIAN T 151 DETROIT ST. DENVER, CO 80206	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS HENDERSON GLOBAL SELECT T 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS HENDERSON SMALL CAP VALUE T 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS HENDERSON TRITON T 151 DETROIT ST. DENVER, CO 80206	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS HENDERSON VENTURE T 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS HENDERSON GLOBAL RESEACH T 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS HENDERSON GROWTH & INCOME T 151 DETROIT ST. DENVER, CO 80206	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JAMES BALANCED GOLDEN RAINBOW FUND 1290 BROADWAY ST. SUITE 1100 DENVER, CO 80203	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN INCOME FUNDCLASS A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN INVESTMENT CO OF AMERICA C 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN INCOME FUND OF AMERICA CLA 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HENNESSY FOCUS FUND INVESTOR CLASS 7250 REDWOOD BLVD. STE 200 NOVATO, CA 94945	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HENNESSY CORNERSTONE MID CAP 30 INS 7250 REDWOOD BLVD. STE 200 NOVATO, CA 94945	0.12%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HENNESSY CORNERSTONEGROWTH INV CL 7250 REDWOOD BLVD. STE 200 NOVATO, CA 94945	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THE HARTFORD BALANCED INCOME CL I 100 MATSONFORD RD STE 300 RADNOR, PA 19087	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARTFORD BALANCED INCOME FUND CL R5 100 MATSONFORD RD STE 300 RADNOR, PA 19087	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARTFORD BALANCED INCOME FD CL C 100 MATSONFORD RD STE 300 RADNOR, PA 19087	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARTFORD CAPITAL APPRECIATION CL A 100 MATSONFORD RD STE 300 RADNOR, PA 19087	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OAKMARK GLOBAL FUND INVESTOR CLASS 111 SOUTH WACKER DR. CHICAGO, IL 60606	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OAKMARK EQUITY & INCOME INVESTOR CL 111 SOUTH WACKER DR. CHICAGO, IL 60606	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OAKMARK INTL INVESTOR CL 111 SOUTH WACKER DR. CHICAGO, IL 60606	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OAKMARK FUND INVESTOR CLASS 111 SOUTH WACKER DR. CHICAGO, IL 60606	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARDING LOEVNER EMERG MRKTS PORT AD PO BOX 4766 CHICAGO, IL 60680	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARBOR CAP APP INV 111 S. WACKER DR 34TH FLOOR CHICAGO, IL 60606	0.35%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARBOR INTL INV 111 S. WACKER DR 34TH FLOOR CHICAGO, IL 60606	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARBOR CAP APP INST 111 S. WACKER DR 34TH FLOOR CHICAGO, IL 60606	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARBOR INTL INST 111 S. WACKER DR 34TH FLOOR CHICAGO, IL 60606	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARBOR BOND INST 111 S. WACKER DR 34TH FLOOR CHICAGO, IL 60606	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JOHN HANCOCK INVST GRADE BOND CL A 601 CONGRESS ST. 9TH FL. BOSTON, MA 02210	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GUINNESS ATKINSON GLOBAL INNOVATORS 2220 E. ROUTE 66 SUITE 226 GLEN DORA, CA 91740	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GUGGENHEIM TOTAL RETURN BOND CL P 9601 BLACKWELL RD. STE. 500 ROCKVILLE, MD 20850	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN GROWTH FUND OF AMERICA CLAS 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN GROWTH FUND OF AMERICA CLAS 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GLENMEDE LARGE CAP GROWTH 1650 MARKET ST. SUITE 1200 PHILADELPHIA, PA 19103	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GLENMEDE TOTAL MARKET PORTFOLIO 1650 MARKET ST. SUITE 1200 PHILADELPHIA, PA 19103	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GABELLI UTILITIES 401 THEODORE FREMD. AVE. RYE, NY 10580	0.35%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GABELLI EQUITY INCOME FUND AAA 401 THEODORE FREMD. AVE. RYE, NY 10580	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GABELLI GOLD FUND CLAA	401 THEODORE FREMD. AVE. RYE, NY 10580	0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GABELLI ASSET FUND AAA	401 THEODORE FREMD. AVE. RYE, NY 10580	0.35%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FUND X SUSTAINABLE IMPACT FUND	101 MONTGOMERY STREET SUITE 2400 SAN FRANCISCO, CA 94104	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FUNDX AGGRESSIVE UPGRADER FUND 101 MONTGOMERY STREET SUITE 2400 SAN FRANCISCO, CA 94104	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FUND*X UPGRADER FUND 101 MONTGOMERY STREET SUITE 2400 SAN FRANCISCO, CA 94104	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
POLEN GROWTH FUND RETAIL SHARES 1825 NW CORPORATE BLVD SUITE 300 BOCA RATON, FL 33431	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN FUNDAMENTALINVESTORS CLASS 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FRANKLIN FOUNDING FDALLOCATION CL 100 FOUNTAIN PARKWAY A ST. PETERSBURG, FL 33716	\$16.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FRANKLIN STRATEGIC INCOME CLASS A 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	\$16.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FRANKLIN SMALL MID CAP GROWTH A 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	\$16.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FRANKLIN TOTAL RETURN CL A 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	\$16.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FRANKLIN INCOME CLASS A1 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	\$16.00	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FRANKLIN DYNATECH FUND A 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	\$16.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
POLARIS GLOBAL VALUE THREE CANAL PLAZA SUITE 600 PORTLAND, ME 04101	0.12%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
SALIENT SELECT INCOME FUND INVESTOR 101 CALIFORNIA ST. SUITE 1600 SAN FRANCISCO, CA 94111	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JP MORGAN MID CAP VALUE FUND CLASS 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FIRSTHAND TECHNOLOGYOPPORTUNITIES F 150 ALMADEN BLVD. SUITE 1250 SAN JOSE, CA 95113	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED GLOBAL TOTAL RETURN BOND 4000 ERICSSON DRIVE WARRENDALE, PA 15086-7515	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED HIGH INCOME BOND CLASS A 4000 ERICSSON DRIVE WARRENDALE, PA 15086-7515	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED KAUFMANN CLASS R 4000 ERICSSON DRIVE WARRENDALE, PA 15086-7515	\$12.00	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED KAUFMANN SMALL CAP FUND C 4000 ERICSSON DRIVE WARRENDALE, PA 15086-7515	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FMI INTERNATIONAL	777 EAST WISCONSIN AVENUE MK WI T4M MILWAUKEE, WI 53202	0.40%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FMI LARGE CAP FUND	777 EAST WISCONSIN AVENUE MK WI T4M MILWAUKEE, WI 53202	0.40%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FPA CRESCENT FUND	11601 WILSHIRE BLVD SUITE 1200 LOS ANGELES, CA 90025	\$6.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN EUROPACIFICGROWTH CLASS 3500 WISEMAN BLVD A SAN ANTONIO, TX 78251-4321	\$18.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EATON VANCE GLOBAL MACRO ABSLTE TWO INTERNATIONAL PLACE RT BOSTON, MA 02110	\$16.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EATON VANCE EMRG MARKETS LOCAL TWO INTERNATIONAL PLACE INC BOSTON, MA 02110	\$16.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EATON VANCE FLOATINGRATE ADVANTAGE TWO INTERNATIONAL PLACE BOSTON, MA 02110	\$16.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EATON VANCE CORE PLUS BOND FUND A TWO INTERNATIONAL PLACE BOSTON, MA 02110	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PARAMETRIC COMMODITYSTRATEGIC INV C TWO INTERNATIONAL PLACE BOSTON, MA 02110	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE SHILLER ENHANCED CAP CL 777 EAST WISCONSIN AVENUE MILWAUKEE, WI 53202	0.06%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE TOTAL RT BOND FD CL N 777 EAST WISCONSIN AVENUE MILWAUKEE, WI 53202	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE TOTAL RETURN BOND FD CL 777 EAST WISCONSIN AVENUE MILWAUKEE, WI 53202	0.06%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA WORLD EX US CORE EQUITY PORTFOL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DELAWARE HEALTHCARE FUND INSTL 2005 MARKET STREET PHILADELPHIA, PA 19103	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DAVIS GLOBAL FUND CL C 2949 EAST ELVIRA ROAD SUITE 101 TUCSON, AZ 85756	0.08%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DAVIS INTERNATIONAL FUND CLASS C 2949 EAST ELVIRA ROAD SUITE 101 TUCSON, AZ 85756	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DAVIS NEW YORK VENTURE CLASS A 2949 EAST ELVIRA ROAD SUITE 101 TUCSON, AZ 85756	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA LARGE CAP INTL PORTFOLIO INSTL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA REAL ESTATE SEC PRTF INSTL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA US SMALL CAP VALUE PRTF INSTL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA INT'L SMALL CAP VALUE 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA INTERNATIONAL SMALL COMPANY POR 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA EMERGING MARKETS SMALL CAP 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DIMENSIONAL EMERGING MKTS VAL PRTE 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA US CORE EQUITY I 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA INTL REAL ESTATE SEC PORTFOLIO 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CREDIT SUISSE STRATEGIC INCOME A ONE MADISON AVENUE 10TH FLOOR NEW YORK, NY 10010	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CONESTOGA SMID CAP FUND INVESTORS C 225 PICTORIA DRIVE SUITE 450 CINCINNATI, OH 45246	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CONESTOGA SMALL CAP INVESTOR CLASS 225 PICTORIA DRIVE SUITE 450 CINCINNATI, OH 45246	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA SELIGMAN COM AND INFO CL A 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA GLBL ENERGY&NATRAL RESOURC 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA BALANCED FUND CL A 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA DIVIDEND INCOME CL A 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA LARGE CAP INDEX CLASS A 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.10%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA LARGE CAP GROWTH FD III CL 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA LARGE CAP GROWTH FD III CL 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA INCOME OPPORTUNITIES CL I 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA ACORN CLASS I 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COHEN & STEERS REALTY SHARES 280 PARK AVENUE 10TH FLOOR NEW YORK, NY 10017	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CLIPPER 2949 EAST ELVIRA ROAD SUITE 101 TUCSON, AZ 85706	0.35%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CAUSEWAY GLOBAL ABSOLUTE RTN INVST P. O. BOX 1100 OAKS, PA 19456	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CARILLON SCOUT INTERNATIONAL CL I P. O. BOX 33022 ST PETERSBURG, FL 33733-8022	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CAPITAL WORLD GRTH & INC A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CAPITAL WORLD BOND CLASS A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CAPITAL INCOME BUILDER CL 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CALVERT ULTRA SHORT DURATION INCOME 4550 MONTGOMERY AVENUESUITE 1000 N BETHESDA, MD 20814	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CALAMOS GROWTH CLASSA 2020 CALAMOS COURT NAPERVILLE, IL 60563	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BUFFALO SMALL CAP GROWTH 615 E. MICHIGAN STREET MILWAUKEE, WI 53202	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BUFFALO DISCOVERY FUND 615 E. MICHIGAN STREET MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BROWN CAPITAL MGT SMALL COMPANY INV 1290 BROADWAY STE 1100 DENVER, CO 80203	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BROWN ADVISORY SMALLCAP FUNDMNTL VA 777 E. WISCONSIN AVE 4TH FLOOR MILWAUKEE, WI 53202	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BROWN ADVISORY SUSTAIN GROWTH ADV	777 E. WISCONSIN AVE 4TH FLOOR MILWAUKEE, WI 53202	0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BRIDGEWAY AGGRESSIVEINVESTOR 1	20 GREENWAY PLAZA STE 450 HOUSTON, TX 77046	0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN BOND FUND OF AMERICA CLASS	3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BNY MELLON SMALLCAP STOCK INDEX INV 144 GLENN CURTISS BLVD 8TH FLOOR UNIONDALE, NY 11556	0.35%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WILLIAM BLAIR INT'L GROWTH CLASS N 222 WEST ADAMS STREET 12TH FLOOR CHICAGO, IL 60606	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WILLIAM BLAIR SMALL MID CAP GROWTH 222 WEST ADAMS STREET 12TH FLOOR CHICAGO, IL 60606	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK CREDIT STRATEGIES INCM CL 40 EAST 52ND STREET NEW YORK, NY 10022	0.15%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK LOW DURATION BOND INSTL CL 40 EAST 52ND STREET NEW YORK, NY 10022	0.08%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK STRATEGIC INC OPP PORT CL 40 EAST 52ND STREET NEW YORK, NY 10022	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKROCK STRATEGIC INCOME OPPTS I 40 EAST 52ND STREET NEW YORK, NY 10022	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKROCK MULTI ASSET INCOME PORT A 40 EAST 52ND STREET NEW YORK, NY 10022	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKROCK HEALTH SCIENCES OPP PRT A 40 EAST 52ND STREET NEW YORK, NY 10022	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK TECHNOLOGY OPPORTUNITIES 40 EAST 52ND STREET NEW YORK, NY 10022	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK TECHNOLOGY OPPORTUNITIES C 40 EAST 52ND STREET NEW YORK, NY 10022	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK MID CAP GROWTH EQUITY CL 40 EAST 52ND STREET NEW YORK, NY 10022	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON EMERGING MARKETS FUND RETAIL 767 5TH AVENUE 49TH FLOOR NEW YORK, NY 10153	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON REAL ESTATE FUND RETAIL 767 5TH AVENUE 49TH FLOOR NEW YORK, NY 10153	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON INTERNATIONAL GROWTH FUND 767 5TH AVENUE 49TH FLOOR NEW YORK, NY 10153	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON PARTNERS FUND 767 5TH AVENUE 49TH FLOOR NEW YORK, NY 10153	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON DISCOVERY FUNDRETAIL SHARES 767 5TH AVENUE 49TH FLOOR NEW YORK, NY 10153	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON SMALL CAP FD 767 5TH AVENUE 49TH FLOOR NEW YORK, NY 10153	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BAIRD CORE PLUS BOND FD INVESTOR CL 777 E. WISCONSIN AVE 18TH FL MILWAUKEE, WI 53202	0.27%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BNY MELLON DYNAMIC TOTAL RETURN A 144 GLENN CURTISS BLVD 8TH FLOOR UNIONDALE, NY 11556	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BNY MELLON ACTIVE MIDCAP A 144 GLENN CURTISS BLVD 8TH FLOOR UNIONDALE, NY 11556	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BNY MELLON CORE PLUS CL A 144 GLENN CURTISS BLVD 8TH FLOOR UNIONDALE, NY 11556	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN GLOBAL OPPORTUNITIES INV 875 EAST WISCONSIN AVENUE SUITE 800 MILWAUKEE, WI 53202	0.39%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN HIGH INCOME FUND INVESTOR 875 EAST WISCONSIN AVENUE SUITE 800 MILWAUKEE, WI 53202	0.39%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN MID CAP VALUE 875 EAST WISCONSIN AVENUE SUITE 800 MILWAUKEE, WI 53202	0.39%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN DEVELOPING WORLD FD INVESTO 875 EAST WISCONSIN AVENUE SUITE 800 MILWAUKEE, WI 53202	0.39%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN MID CAP 875 EAST WISCONSIN AVENUE SUITE 800 MILWAUKEE, WI 53202	0.39%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARTISAN INTERNATL INVESTOR CLASS 875 EAST WISCONSIN AVENUE SUITE 800 MILWAUKEE, WI 53202	0.39%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARROW MANAGED FUTURES STRATEGY C 6100 CHEVY CHASE DR STE 100 LAUREL, MD 20707	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ANCORA DIVIDEND VALUE EQUITY I 6060 PARKLAND BLVD. CLEVELAND, OH 44122	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN MUTUAL FUNDCLASS A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN HIGH INCOMETRUST CLASS A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN FUNDS TAX ADV GRWTH & INCM 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN FUNDS GROWTH PORT F1 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN DEVELOPING WORLD GRWTH & I 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN US GOV'T SECURITIES CLASS 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00

Part I	Service Provider Information (continued)
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3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY ULTRA INVESTOR CLA	4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.35%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY GROWTH INVESTOR CL	4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.35%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY SHORT TERM GOVT IN	4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.25%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY CHGAL GOLD INV CL 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.35%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY REAL ESTATE INV CL 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.35%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY SMALL CAP VALUE IN 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY MIDCAP VALUE INV S 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY VALUE INV CL 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY EQUITY INCOME INV 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMER CENTURY ZERO COUPON 2025 INVT 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.25%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMER CENTURY ZERO COUPON 2020 INVT 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.25%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY HIGH YIELD INV FD 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.30%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN BEACON THE LONDON CO INC E 220 EAST LAS COLINAS BLVD SUITE 12 IRVING, TX 75039	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN BEACON SIM HIGH YLD OPPS I 220 EAST LAS COLINAS BLVD SUITE 12 IRVING, TX 75039	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMER BEACON BRDGWAY LARGE CAP VAL I 220 EAST LAS COLINAS BLVD SUITE 12 IRVING, TX 75039	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN BALANCED CLASS F1 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN BALANCED CLASS A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN AMCAP CLASS A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	\$18.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMANA MUTUAL FUND TRUST GROWTH 1300 NORTH STATE STREET BELLINGHAM, WA 98225	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMANA MUTUAL FUND TRUST INCOME 1300 NORTH STATE STREET BELLINGHAM, WA 98225	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALLIANZGI TECHNOLOGY CL A 1633 BROADWAY NEW YORK, NY 10019	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALLIANZGI TECHNOLOGY INST 1633 BROADWAY NEW YORK, NY 10019	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALLIANZGI HEALTH SCIENCES CLASS A 1633 BROADWAY NEW YORK, NY 10019	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AB SELECT US LONG SHORT PORT ADVISO 8000 IH 10 WEST SUITE 1400 14TH F SAN ANTONIO, TX 78230	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AB SMALL CAP GROWTH PORT CLASS A 8000 IH 10 WEST SUITE 1400 14TH F SAN ANTONIO, TX 78230	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AB MUNI INCOME ARIZONA CL A 8000 IH 10 WEST SUITE 1400 14TH F SAN ANTONIO, TX 78230	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO OPPENHEIMER GLOBAL OPPORT A 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO OPPENHEIMER GLOBAL OPPORT R 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	\$10.00	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO OPPENHEIMER INTL SMALL MID 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO MULTI ASSET INCOME FD CL A 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HUBER CAPITAL SMALL CAP VALUE INVES 2321 ROSECRANS AVE. SUITE 3245 EL SEGUNDO, CA 90245	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SEMPER MBS TOTAL RETURN INVESTOR 52 VANDERBILT AVE. SUITE 401 NEW YORK, NY 10017	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LSV SMALL CAP VALUE FUND INVESTOR ONE FREEDOM VALLEY DRIVE OAKS, PA 19456	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CHAMPLAIN MID CAP ADVISOR SHARE ONE FREEDOM VALLEY DRIVE OAKS, PA 19456	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORONE CLS FLEXIBLE INC CL N 17605 WRIGHT STREET OMAHA, NE 68130	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORONE CLS GLOBLAGGRESSIVE EQUI 17605 WRIGHT STREET OMAHA, NE 68130	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORONE CLS SHELTER CL N 17605 WRIGHT STREET OMAHA, NE 68130	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORONE CLS GROWTH AND INC CL N 17605 WRIGHT STREET OMAHA, NE 68130	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORONE CLS GLOBLDIVERFIED EQUIT 17605 WRIGHT STREET OMAHA, NE 68130	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ABERDEEN U.S. SMALL CAP EQUITY INST 1735 MARKET STREET 32ND FLOOR PHILADELPHIA, PA 19103	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMG MANAGERS LMCG SMCAP GROWTH CLAS 600 STEAMBOAT ROAD SUITE 300 GREENWICH, CT 06830	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMG YACKTMAN FOCUSED N 600 STEAMBOAT ROAD SUITE 300 GREENWICH, CT 06830	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMG MANAGERS CENTER SQUARE REAL EST 600 STEAMBOAT ROAD SUITE 300 GREENWICH, CT 06830	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO OPPENHEIMER DISC MID CAP GR 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO OPPENHEIMER DEVELOPING MARK 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO OPPENHEIMER DEVELOPING MARK 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO OPPENHEIMER GOLD & SPECIAL 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO OPPENHEIMER STEELPATH MLP A 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO OPPENHEIMER STEELPATH ALP P 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO TECHNOLOGY INV CLASS 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO CORPORATE BOND FUND CL A 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO HEALTH CARE INVESTOR CL 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.40%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO OPPENHEIMER SENIOR FLOAT RA 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.10%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection.
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For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan <u>FIRSTENERGY CORP. SAVINGS PLAN</u>	B Three-digit plan number (PN) ►	<u>002</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>FIRSTENERGY CORP.</u>	D Employer Identification Number (EIN) <u>34-1843785</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: <u>BTC R2000 GROWTH F</u>		
b Name of sponsor of entity listed in (a): <u>BLACKROCK INST. TRUST COMPANY, N.A.</u>		
c EIN-PN <u>94-3357211-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>12699886</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>EXTENDED EQUITY MKT</u>		
b Name of sponsor of entity listed in (a): <u>BLACKROCK INST. TRUST COMPANY, N.A.</u>		
c EIN-PN <u>94-3270116-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>121308065</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>BLK RK LIFE PATH 2065</u>		
b Name of sponsor of entity listed in (a): <u>BLACKROCK INST. TRUST COMPANY, N.A.</u>		
c EIN-PN <u>84-1837050-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>259609</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>BLK RK ACWI EX-US IMI</u>		
b Name of sponsor of entity listed in (a): <u>BLACKROCK INST. TRUST COMPANY, N.A.</u>		
c EIN-PN <u>45-4552994-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>24650550</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>BTC US DEBT INDEX M</u>		
b Name of sponsor of entity listed in (a): <u>BLACKROCK INST. TRUST COMPANY, N.A.</u>		
c EIN-PN <u>45-4395662-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>29817379</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>MELLON MKT CMPLTION</u>		
b Name of sponsor of entity listed in (a): <u>MELLON INSTITUTIONAL FUNDS</u>		
c EIN-PN <u>25-9078093-155</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>0</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>BLK RK LIFE PATH RET</u>		
b Name of sponsor of entity listed in (a): <u>BLACKROCK INST. TRUST COMPANY, N.A.</u>		
c EIN-PN <u>33-6371107-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>199423086</u>

a Name of MTIA, CCT, PSA, or 103-12 IE: BLK RK LIFE PATH 2025		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 33-6371109-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 167085365
a Name of MTIA, CCT, PSA, or 103-12 IE: BLK RK LIFE PATH 2055		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 27-4255853-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 50042387
a Name of MTIA, CCT, PSA, or 103-12 IE: BLK RK LIFE PATH 2060		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 47-1908988-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 15746275
a Name of MTIA, CCT, PSA, or 103-12 IE: BLK RK EQUITY INDEX		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 45-4365907-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 856408456
a Name of MTIA, CCT, PSA, or 103-12 IE: BLK RK LIFE PATH 2035		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 33-6371111-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 70388539
a Name of MTIA, CCT, PSA, or 103-12 IE: BLK RK LIFE PATH 2030		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 33-6371110-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 119047195
a Name of MTIA, CCT, PSA, or 103-12 IE: BLK RK LIFE PATH 2050		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 33-6371114-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 65530166
a Name of MTIA, CCT, PSA, or 103-12 IE: BLK RK LIFE PATH 2020		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 33-6371108-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
a Name of MTIA, CCT, PSA, or 103-12 IE: BLK RK LIFE PATH 2040		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 33-6371112-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 48546154
a Name of MTIA, CCT, PSA, or 103-12 IE: BLK RK LIFE PATH 2045		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 33-6371113-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 53541568

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection
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For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019		
A Name of plan FIRSTENERGY CORP. SAVINGS PLAN	B Three-digit plan number (PN) ►	002
C Plan sponsor's name as shown on line 2a of Form 5500 FIRSTENERGY CORP.	D Employer Identification Number (EIN) 34-1843785	

Part I	Asset and Liability Statement
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1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	21910	16569
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)	0	0
(2) Participant contributions.....	1b(2)	0	0
(3) Other.....	1b(3)	3523100	58818809
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	18528907	107254153
(2) U.S. Government securities	1c(2)	730472	146340855
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	0	28377789
(B) All other.....	1c(3)(B)	364546	45839209
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	166763	77316
(B) Common.....	1c(4)(B)	46237267	253021573
(5) Partnership/joint venture interests	1c(5)	0	0
(6) Real estate (other than employer real property).....	1c(6)	0	0
(7) Loans (other than to participants)	1c(7)	0	0
(8) Participant loans.....	1c(8)	60318749	61682151
(9) Value of interest in common/collective trusts	1c(9)	1678447227	1834494680
(10) Value of interest in pooled separate accounts	1c(10)	0	0
(11) Value of interest in master trust investment accounts	1c(11)	0	0
(12) Value of interest in 103-12 investment entities.....	1c(12)	0	0
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	802836151	801769176
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	201591962	426026729
(15) Other.....	1c(15)	519757371	5358524

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)	414230234	528965705
(2) Employer real property	1d(2)	0	0
e Buildings and other property used in plan operation	1e	0	0
f Total assets (add all amounts in lines 1a through 1e)	1f	3746754659	4298043238

Liabilities

g Benefit claims payable	1g	0	0
h Operating payables	1h	27983270	26445304
i Acquisition indebtedness	1i	0	0
j Other liabilities	1j	0	0
k Total liabilities (add all amounts in lines 1g through 1j)	1k	27983270	26445304

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	3718771389	4271597934
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	49664890	
(B) Participants	2a(1)(B)	154439731	
(C) Others (including rollovers)	2a(1)(C)	14056204	
(2) Noncash contributions	2a(2)	0	218160825
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	862860	
(B) U.S. Government securities	2b(1)(B)	3145858	
(C) Corporate debt instruments	2b(1)(C)	8438835	
(D) Loans (other than to participants)	2b(1)(D)	0	
(E) Participant loans	2b(1)(E)	3100381	
(F) Other	2b(1)(F)	7910309	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		23458243
(2) Dividends: (A) Preferred stock	2b(2)(A)	4993	
(B) Common stock	2b(2)(B)	17940975	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	31335400	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		49281368
(3) Rents	2b(3)		0
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	1311800665	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	1251176516	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		60624149
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	0	
(B) Other	2b(5)(B)	105455945	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		105455945

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		474067310
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		109027846
c Other income	2c		17174041
d Total income. Add all income amounts in column (b) and enter total	2d		1057249727

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	497383616	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		497383616
f Corrective distributions (see instructions)	2f		0
g Certain deemed distributions of participant loans (see instructions)	2g		411791
h Interest expense	2h		0
i Administrative expenses: (1) Professional fees	2i(1)	86101	
(2) Contract administrator fees	2i(2)	0	
(3) Investment advisory and management fees	2i(3)	4864288	
(4) Other	2i(4)	1677386	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		6627775
j Total expenses. Add all expense amounts in column (b) and enter total	2j		504423182

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		552826545
l Transfers of assets:			
(1) To this plan	2l(1)		0
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☐ Yes ☒ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **BOBER, MARKEY, FEDOROVICH & COMPANY**

(2) EIN: **34-1523030**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.).....			
4d		X	
e Was this plan covered by a fidelity bond?	X		25000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?.....			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?.....			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)			
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?.....			
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n		X	

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____. (See instructions.)

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection.
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For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan <u>FIRSTENERGY CORP. SAVINGS PLAN</u>	B Three-digit plan number (PN) ▶ <u>002</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>FIRSTENERGY CORP.</u>	D Employer Identification Number (EIN) <u>34-1843785</u>

Part I	Distributions
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All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions	1	
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>04-6568107</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
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4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☐ N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box	☐ Increase	☐ Decrease	☐ Both	☐ No
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Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2019
v. 190130

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year

b The plan year immediately preceding the current plan year

c The second preceding plan year

14a**14b****14c**

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year

b The corresponding number for the second preceding plan year

15a**15b**

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers

16a**16b**

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

- a** Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

- b** If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2019
#34-1843785 Plan 002

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value	Cost (\$)	Current Value (\$)
*	FIRSTENERGY COMMON STOCK FUND	FirstEnergy common stock	**	\$ 530,406,742
*	FIDELITY PURITAN FUND	Balanced fund	**	149,691,585
	ACWI EX US - M	International stocks	**	24,650,550
	DFA US SMALL CAP VALUE PORTFOLIO	Small cap value stocks	**	88,649,452
	PIMCO REAL RETURN FUND INSTITUTIONAL CLASS	Bonds	**	29,560,570
	AMERICAN FUNDS EUROPACIFIC GROWTH FUND	International stocks	**	219,127,472
	BLACKROCK LIFEPATH INDEX RETIREMENT FUND L	Blend of stocks, fixed income	**	199,423,086
	BLACKROCK LIFEPATH INDEX 2020 FUND L	Blend of stocks, fixed income	**	1,569
	BLACKROCK LIFEPATH INDEX 2025 FUND L	Blend of stocks, fixed income	**	167,085,365
	BLACKROCK LIFEPATH INDEX 2030 FUND L	Blend of stocks, fixed income	**	119,047,195
	BLACKROCK LIFEPATH INDEX 2035 FUND L	Blend of stocks, fixed income	**	70,388,539
	BLACKROCK LIFEPATH INDEX 2040 FUND L	Blend of stocks, fixed income	**	48,546,154
	BLACKROCK LIFEPATH INDEX 2045 FUND L	Blend of stocks, fixed income	**	53,541,569
	BLACKROCK LIFEPATH INDEX 2050 FUND L	Blend of stocks, fixed income	**	65,530,166
	BLACKROCK LIFEPATH INDEX 2055 FUND L	Blend of stocks, fixed income	**	50,042,387
	BLACKROCK LIFEPATH INDEX 2060 FUND L	Blend of stocks, fixed income	**	15,746,275
	BLACKROCK LIFEPATH INDEX 2065 FUND L	Blend of stocks, fixed income	**	259,609
	DODGE & COX INTERNATIONAL FUND	International stocks	**	37,268,719
	SELF MANAGED BROKERAGE ACCOUNTS	Equities, fixed income	**	154,243,335
	US DEBT INDEX FUND M MUTUAL FUND	Bonds	**	29,817,379
	SMALL CAP GROWTH EQUITY	Small cap growth stocks	**	12,699,886
	MARKET COMPLETION INDEX	Blend of large, mid cap and small cap stocks	**	121,308,065
	MID CAP VALUE - I	Mid cap value stocks	**	124,073,894
	EQUITY INDEX - M	Large cap stocks	**	856,408,456
*	CAPITAL PRESERVATION FUND			
	STATE STREET STIF	Money market fund	**	76,336,361
	ABBVIE INC SR UNSEC	CORPORATE - 3.20%, 2026	**	207,845
	ABU DHABI GOVT	GOVT- NON US - 2.50%, 2022	**	2,236,224
	AIA GROUP LTD SR UNSEC 144A	CASH EQUIVALENT - 2.43%, 2021	**	2,202,793
	AIG GLOBAL FUNDING SEC 144A	CASH EQUIVALENT - 2.41%, 2021	**	1,205,011
	AMERICAN EXPRESS	CASH EQUIVALENT - 2.65%, 2020	**	401,365
	APPLE INC SR UNSEC	CORPORATE - 1.70%, 2022	**	1,804,204
	AT&T INC SR UNSEC	CORPORATE - 3.20%, 2022	**	1,029,488
	AT&T INC SR UNSEC	CORPORATE - 4.10%, 2028	**	2,119,256
	AVIATION CAPITAL GROUP SR UNSEC 144A	CORPORATE - 2.61%, 2021	**	1,904,873
	BANK 2018-BN12 ASB	MORTGAGE - 4.17%, 2061	**	1,317,730
	BANK 2019-BN21 ASB	MORTGAGE - 2.81%, 2052	**	1,535,753

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2019
#34-1843785 Plan 002

(a)	(b)	(c)	(d)	(e)
Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value	Cost	Current Value	
BANK OF AMERICA CORP SR UNSEC	CORPORATE - 3.00%, 2023	**	3,576,872	
BANQUE FED CRED MUTUEL SR UNSEC 144A	CORPORATE - 2.93%, 2023	**	1,831,214	
BARCLAYS PLC SR UNSEC	CORPORATE - 3.20%, 2021	**	714,609	
BAT CAPITAL CORP SR UNSEC	CORPORATE - 3.22%, 2024	**	205,947	
BAT INTL FINANCE PLC 144A	CORPORATE - 3.25%, 2022	**	1,125,979	
BMARK 2019-B14 ASB	MORTGAGE - 2.96%, 2062	**	1,447,335	
BNP PARIBAS 144A	CORPORATE - 2.82%, 2025	**	1,825,635	
CANADIAN IMPERIAL BANK SR UNSEC SOFR	CORPORATE - 2.34%, 2023	**	1,707,174	
CASH COLLATERAL FUT SAL USD	CASH EQUIVALENT - 1.45%, 2060	**	43,911	
CASH COLLATERAL LCH FOB USD	CASH EQUIVALENT - 1.45%, 2060	**	51,233	
CGCMT 2013-GC11 AAB	MORTGAGE - 2.69%, 2046	**	521,491	
CGCMT 2015-GC29 AAB WM24 WC4.173211878	MORTGAGE - 2.98%, 2048	**	1,530,238	
COMM 2013-CR11 ASB WM23 WC5.205219817	MORTGAGE - 3.66%, 2050	**	1,165,648	
COMM 2014-CR19 ASB WM14	MORTGAGE - 3.50%, 2047	**	1,745,293	
COMM 2014-UBS6 ASB WM14	MORTGAGE - 3.39%, 2047	**	1,846,467	
COMM 2015-CR23 A3 WM15	MORTGAGE - 3.23%, 2048	**	1,803,069	
COMM 2015-CR23 ASB WM15	MORTGAGE - 3.26%, 2048	**	1,235,229	
COMM 2015-DC1 ASB WM15	MORTGAGE - 3.14%, 2048	**	1,683,383	
COMM 2015-LC19 ASB	MORTGAGE - 3.04%, 2048	**	1,328,745	
COMM 2015-LC21 ASB	MORTGAGE - 3.42%, 2048	**	2,274,298	
COMM 2019-GC44 ASB	MORTGAGE - 2.87%, 2057	**	1,535,403	
COOPERATIEVE RABOBANK UA SR UNSEC 144A	CORPORATE - 2.81%, 2023	**	2,011,153	
CRED SUIS GP FUN LTD SR UNSEC	CORPORATE - 3.80%, 2023	**	367,077	
CREDIT SUISSE NEW YORK SR UNSEC	CORPORATE - 3.00%, 2021	**	2,045,959	
CSAIL 2015-C1 ASB WM15	MORTGAGE - 3.35%, 2050	**	1,081,645	
CSAIL 2017-CX9 ASB	MORTGAGE - 3.26%, 2050	**	1,039,270	
DAIMLER FINANCE NA LLC SR UNSEC 144A	CASH EQUIVALENT - 3.10%, 2020	**	1,508,792	
DAIMLER FINANCE NA LLC SR UNSEC 144A	CASH EQUIVALENT - 2.20%, 2020	**	1,603,705	
DEUTSCHE BANK NY SR UNSEC	CORPORATE - 4.25%, 2021	**	1,860,168	
DEXIA CREDIT LOCAL	CASH EQUIVALENT - 2.25%, 2020	**	2,813,120	
DISCOVER FINANCIAL SVS SR UNSEC	CORPORATE - 3.20%, 2021	**	3,072,780	
EL PASO PIPELINE PART OP SR UNSEC	CORPORATE - 4.30%, 2024	**	1,609,616	
ENBRIDGE INC SR UNSEC	CORPORATE - 2.90%, 2022	**	615,542	
ENBRIDGE INC SR UNSEC	CORPORATE - 2.59%, 2020	**	2,104,888	
EUROPEAN INVESTMENT BANK SR UNSEC	CORPORATE - 2.00%, 2021	**	2,769,939	
FED HOME LN BK BD	CORPORATE - 3.38%, 2023	**	2,659,417	
FED HOME LN BK GLBL BD	CORPORATE - 1.50%, 2024	**	3,285,405	
FED HOME LN MTGE GLBL NT	CASH EQUIVALENT - 1.63%, 2020	**	3,006,381	

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2019
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(a)	(b)	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d)	(e)
Identity of issue, borrower, lessor or similar party			Cost	Current Value
FHA 221D4 P4/02 GREY 54 NCP		MORTGAGE - 7.43%, 2023	**	117
FHLMC GOLD 30YR #Q3-1614		MORTGAGE - 3.00%, 2045	**	2,096,401
FHLMC GOLD 30YR #Q3-3509		MORTGAGE - 3.00%, 2045	**	542,144
FHLMC GOLD 30YR GNT #G0-8687		MORTGAGE - 3.50%, 2046	**	5,344,039
FHLMC GOLD 30YR GNT #G0-8693		MORTGAGE - 3.50%, 2046	**	137,957
FHLMC GOLD 30YR GNT #G0-8823		MORTGAGE - 3.50%, 2048	**	4,115,966
FHLMC GOLD 30YR GNT #G0-8841		MORTGAGE - 3.50%, 2048	**	1,140,251
FHLMC GOLD 30YR GNT #G0-8857		MORTGAGE - 4.00%, 2049	**	4,723,315
FHMS K065 A1 WM27 WC4.3550		MORTGAGE - 2.86%, 2026	**	1,352,641
FHMS K070 A1		MORTGAGE - 3.03%, 2027	**	3,919,417
FHR 2666 OD PAC WM23 WC5.84		MORTGAGE - 5.50%, 2023	**	260,611
FHR 2739 PG PAC WM33 WC5.552		MORTGAGE - 5.00%, 2034	**	588,511
FHR 2950 FN 1MLIB+45		CASH EQUIVALENT - 2.19%, 2035	**	142,735
FHR 3185 GT SEQ WM26 WC6.43		MORTGAGE - 6.00%, 2026	**	116,322
FHR 3225 HF 1MLIB+51BP		CASH EQUIVALENT - 2.25%, 2036	**	184,951
FHR 3311 FN 1MLIB+30BP		CASH EQUIVALENT - 2.04%, 2037	**	61,154
FHR 3318 FL 1MLIB+40		CASH EQUIVALENT - 2.14%, 2037	**	176,176
FHR 3339 FL 1MLIB+58		CASH EQUIVALENT - 2.32%, 2037	**	128,193
FHR 3355 BF 1MLIB+70		CASH EQUIVALENT - 2.44%, 2037	**	214,326
FHR 3368 AF 1MLIB+72		CASH EQUIVALENT - 2.46%, 2037	**	29,219
FHR 3666 FC 1MLIB+73		CASH EQUIVALENT - 2.47%, 2040	**	87,785
FHR 3699 FD VA 1MLIB+60		CASH EQUIVALENT - 2.34%, 2040	**	265,251
FHR 3740 DF 1MLIB+48		CASH EQUIVALENT - 2.22%, 2040	**	487,718
FHR 3743 FA 1MLIB+60		CASH EQUIVALENT - 2.34%, 2040	**	740,071
FHR 3747 WF VA 1MLIB+48		CASH EQUIVALENT - 2.22%, 2040	**	699,068
FHR 3751 FG 1MLIB+50		CASH EQUIVALENT - 2.24%, 2050	**	90,888
FHR 3843 FE 1MLIB+55BP		CASH EQUIVALENT - 2.29%, 2041	**	910,137
FHR 3843 FG 1MLIB+55BP		CASH EQUIVALENT - 2.29%, 2041	**	910,137
FHR 3977 FB 1MLIB+52BP		CASH EQUIVALENT - 2.26%, 2041	**	1,087,407
FHR 3984 DF 1M LIBOR +55BP		CASH EQUIVALENT - 2.29%, 2042	**	1,294,161
FHR 4400 FA 1MLIB + 40 BP		CASH EQUIVALENT - 2.14%, 2041	**	648,732
FHR 4736 CD WM39 WC4.9483		MORTGAGE - 3.00%, 2046	**	1,079,898
FIN FUT EURO\$ CME (WHT)		FUTURE - 0.00%, 2020	**	(1,500)
FL ST BRD OF ADMIN		MUNICIPALS - 2.64%, 2021	**	1,120,823
FN ARM AL7090 H15T1Y+221.8 9.471		CASH EQUIVALENT - 4.44%, 2037	**	536,211
FNA 2017-M8 A1 WM27 WC4.598		MORTGAGE - 2.65%, 2027	**	1,470,815
FNMA GLBL NT		CORPORATE - 1.63%, 2024	**	5,686,188
FNMA GLBL NT		CORPORATE - 2.38%, 2023	**	2,262,316
FNMA NT		CASH EQUIVALENT - 1.50%, 2020	**	8,320,198

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
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(a)	(b)	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d)	(e)
Identity of issue, borrower, lessor or similar party			Cost	Current Value
	FNMA PASS THRU 20YR #254916	CASH EQUIVALENT - 5.50%, 2023	**	91,050
	FNMA PASS THRU 20YR #255075	CASH EQUIVALENT - 5.50%, 2024	**	103,019
	FNMA PASS THRU 20YR #AL6722	CASH EQUIVALENT - 5.00%, 2030	**	1,470,906
	FNMA PASS THRU 30YR #848210	CASH EQUIVALENT - 5.50%, 2035	**	191,036
	FNMA PASS THRU 30YR #884863	MORTGAGE - 5.50%, 2036	**	140,424
	FNMA PASS THRU 30YR #890365	CASH EQUIVALENT - 5.50%, 2041	**	168,204
	FNMA PASS THRU 30YR #938504	CASH EQUIVALENT - 5.50%, 2037	**	70,400
	FNMA PASS THRU 30YR #BK8819	MORTGAGE - 4.00%, 2048	**	3,570,407
	FNMA PASS THRU 30YR #BK8893	MORTGAGE - 4.00%, 2048	**	5,593,790
	FNMA PASS THRU 30YR #BN3956	MORTGAGE - 4.00%, 2049	**	6,716,605
	FNMA PASS THRU 30YR #MA3833	MORTGAGE - 2.50%, 2049	**	11,488,139
	FNMA PASS THRU 30YR #MA3871	MORTGAGE - 3.00%, 2049	**	14,895,312
	FNMA PASS THRU 30YR #SD8001	MORTGAGE - 3.50%, 2049	**	26,695,288
	FNMA PASS THRU 30YR #ZT1951	MORTGAGE - 3.50%, 2049	**	13,625,440
	FNMA TBA 2.5% JAN 30YR	MORTGAGE - 2.50%, 2050	**	(9,449)
	FNMA TBA 3.0% FEB 15YR	MORTGAGE - 3.00%, 2035	**	3,809
	FNMA TBA 3.0% FEB 30YR	MORTGAGE - 3.00%, 2050	**	17,093
	FNMA TBA 3.0% JAN 15YR	MORTGAGE - 3.00%, 2035	**	6,242
	FNMA TBA 3.0% JAN 30YR	MORTGAGE - 3.00%, 2050	**	(2,264)
	FNMA TBA 3.5% FEB 15YR	MORTGAGE - 3.50%, 2035	**	2,523
	FNMA TBA 3.5% JAN 15YR	MORTGAGE - 3.50%, 2035	**	1,039
	FNR 2005-13 FA 1MLIB+45	CASH EQUIVALENT - 2.24%, 2035	**	433,066
	FNR 2005-29 JB WM33 WC7.0709	MORTGAGE - 4.50%, 2035	**	356
	FNR 2005-68 PC WM34 WC6.55	CASH EQUIVALENT - 5.50%, 2035	**	626
	FNR 2006-129 FM 1MLIB+25	CASH EQUIVALENT - 2.04%, 2037	**	57,141
	FNR 2007-109 GF 1MLIB+68	CASH EQUIVALENT - 2.47%, 2037	**	492,045
	FNR 2007-25 FB 1MLIB+33BP	CASH EQUIVALENT - 2.12%, 2037	**	30,966
	FNR 2007-27 FA 1MLIB+31BP	CASH EQUIVALENT - 2.10%, 2037	**	18,413
	FNR 2007-38 FC 1MLIB+42	CASH EQUIVALENT - 2.21%, 2037	**	116,806
	FNR 2007-70 FA 1MLIB+35BP	CASH EQUIVALENT - 2.14%, 2037	**	89,861
	FNR 2008-12 FA 1MLIB+67BP FRN	CASH EQUIVALENT - 2.46%, 2038	**	740,897
	FNR 2010-111 FC 1MLIB+52	CASH EQUIVALENT - 2.31%, 2040	**	103,162
	FNR 2010-115 FM 1MLIB+50	CASH EQUIVALENT - 2.29%, 2040	**	739,041
	FNR 2010-117 FE 1MLIB+40BP	CASH EQUIVALENT - 2.19%, 2040	**	64,662
	FNR 2010-135 LF 1MLIB+45	CASH EQUIVALENT - 2.24%, 2040	**	334,028
	FNR 2010-2 GF 1MLIB+55BP	CASH EQUIVALENT - 2.34%, 2049	**	166,747
	FNR 2015-79 FE 1MLIB+25BP	CASH EQUIVALENT - 2.04%, 2045	**	1,540,753
	FNR 2015-87 BF 1MLIB+30BP	CASH EQUIVALENT - 2.09%, 2045	**	969,957
	FNR 2016-11 CF 1MLIB+35BP	CASH EQUIVALENT - 2.14%, 2046	**	1,770,111

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
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(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value	Cost	Current Value
	FNR 2016-40 AF 1MLIB+45BP	CASH EQUIVALENT - 2.14%, 2046	**	1,685,368
	FNR 2016-64 KF WM38 WC3.3381	CASH EQUIVALENT - 2.16%, 2046	**	1,665,877
	FNR 2016-82 FM 1MLIB+40BP	CASH EQUIVALENT - 2.09%, 2046	**	2,270,566
	FNR 2016-87 AF 1MLIB+40BP	CASH EQUIVALENT - 2.09%, 2046	**	2,527,630
	FNR 2019-25 FA 1MLIB+45BP	CASH EQUIVALENT - 2.24%, 2049	**	1,110,380
	GE CAPITAL INTL FUNDING SR UNSEC	CORPORATE - 2.34%, 2020	**	3,952,018
	GENERAL MOTORS FINL CO SR UNSEC	CORPORATE - 3.55%, 2021	**	613,988
	GNMA I TBA 4.0% JAN 30YR	MORTGAGE - 4.00%, 2050	**	(19,453)
	GNMA II MULTPL SGL 30YR #MA3105M	MORTGAGE - 3.50%, 2045	**	4,472,425
	GNMA II TBA 4.0% FEB 30YR JMBO	MORTGAGE - 4.00%, 2050	**	1,181
	GNMA II TBA 4.0% JAN 30YR JMBO	MORTGAGE - 4.00%, 2050	**	(14,109)
	GNR 2019-23 FL 1MLIB+45BP	CASH EQUIVALENT - 2.21%, 2049	**	8,400,365
	GOLDMAN SACHS GROUP INC SR UNSEC	CORPORATE - 3.69%, 2028	**	4,339,328
	GOLDMAN SACHS GROUP INC SR UNSEC	CORPORATE - 4.00%, 2024	**	965,675
	GSMS 2015-GC32 A3 WM15	MORTGAGE - 3.50%, 2048	**	950,684
	GSMS 2019-GSA1 AAB	MORTGAGE - 2.99%, 2052	**	2,054,996
	HARLEY-DAVIDSON FINL SER 144A SR UNSEC	CORPORATE - 2.85%, 2021	**	1,813,084
	HSBC HOLDINGS PLC SR UNSEC	CORPORATE - 3.60%, 2023	**	1,359,478
	HSBC HOLDINGS PLC SR UNSEC	CORPORATE - 3.95%, 2024	**	2,110,158
	IMPERIAL BRANDS FIN PLC SR UNSEC 144A	CORPORATE - 3.13%, 2024	**	1,221,493
	IMPERIAL BRANDS FINANCE	CORPORATE - 3.50%, 2023	**	824,869
	IND & COMM BK CHINA/NY SR UNSEC	CASH EQUIVALENT - 2.65%, 2020	**	1,706,855
	ING GROEP NV SR UNSEC	CORPORATE - 4.10%, 2023	**	1,389,849
	IRS USD 2.00000 06/20/18-5Y LCH	INTEREST RATE SWAP - 2.00%, 2023	**	(12,372)
	JACKSON NATL LIFE GLOBAL SEC 144A	CORPORATE - 3.30%, 2021	**	1,532,035
	JPMBB 2013-C17 ASB WM23 WC4.9082	MORTGAGE - 3.71%, 2047	**	1,165,505
	JPMBB 2014-C22 ASB WM24 WC4.580566404	MORTGAGE - 3.50%, 2047	**	1,650,724
	JPMBB 2015-C27 ASB	MORTGAGE - 3.02%, 2048	**	1,634,673
	JPMORGAN CHASE & CO SR UNSEC	CORPORATE - 3.56%, 2024	**	941,416
	JPMORGAN CHASE & CO SR UNSEC	CORPORATE - 3.78%, 2028	**	3,044,218
	JPMORGAN CHASE & CO UNSEC	CORPORATE - 3.90%, 2025	**	1,853,027
	JPN BANK FOR INT'L COOP	CASH EQUIVALENT - 2.13%, 2020	**	3,118,650
	KINDER MORGAN ENER PART	CORPORATE - 3.95%, 2022	**	2,177,283
	KOREA EXPRESSWAY CORP SR UNSEC 144A	CORPORATE - 3.63%, 2021	**	721,200
	KRAFT HEINZ FOODS CO	CORPORATE - 2.80%, 2020	**	159,387
	LLOYDS BANKING GROUP PLC SR UNSEC	CORPORATE - 3.57%, 2028	**	1,572,711
	LLOYDS BANKING GROUP PLC SR UNSEC	CORPORATE - 2.91%, 2023	**	1,933,780
	LOS ANGELES CO REDEV	MUNICIPALS - 2.00%, 2023	**	1,897,904

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	MBALT 2019-A A2	CASH EQUIVALENT - 3.01%, 2021	**	1,295,907
	METLIFE INC SNR S* ICE	CREDIT DEFAULT SWAP - 1.00%, 2024	**	63,504
	MITSUBISHI UFJ FIN GRP SR UNSEC	CORPORATE - 2.62%, 2022	**	2,040,193
	MIZUHO FINANCIAL GROUP SR UNSEC	CORPORATE - 3.92%, 2024	**	3,489,156
	MSBAM 2013-C13 ASB WM23 WC4.922172434	MORTGAGE - 3.56%, 2046	**	927,275
	MSBAM 2014-C16 A4 WM23 C4.78111	MORTGAGE - 3.60%, 2047	**	2,143,946
	MSBAM 2014-C18 A2 WM14	CASH EQUIVALENT - 3.19%, 2047	**	105,721
	MSBAM 2015-C20 ASB WM24 WC4.486757058	MORTGAGE - 3.07%, 2048	**	1,226,746
	NA DEVELOPMENT BANK GLBL SR UNSECURED	CASH EQUIVALENT - 4.38%, 2020	**	4,855,100
	NEW YORK LIFE GLOBAL FDG SEC 144A	CASH EQUIVALENT - 2.23%, 2022	**	2,009,315
	NEWMONT CORP SR UNSEC	CORPORATE - 3.63%, 2021	**	714,490
	NISSAN MOTOR ACCEPTANCE SR UNSEC 144A	CORPORATE - 2.15%, 2020	**	400,395
	NISSAN MOTOR ACCEPTANCE SR UNSEC 144A	CORPORATE - 2.25%, 2020	**	2,010,650
	NYC TRANS FIN AUTH-B2	MUNICIPALS - 3.25%, 2023	**	1,877,435
	OVERSEA-CHINESE BANKING SR UNSEC 144A	CASH EQUIVALENT - 2.35%, 2021	**	802,707
	PENSKE TRUCK LEASING/PTL SR UNSEC 144A	CORPORATE - 3.05%, 2020	**	1,612,097
	PRIVATE EXPORT FUNDING SR UNSEC 144A	CORPORATE - 2.65%, 2021	**	2,437,169
	PRUDENTIAL FINANCIAL INC SNR S* ICE	CREDIT DEFAULT SWAP - 1.00%, 2021	**	20,908
	RABOBANK NEDERLAND UTREC	CORPORATE - 3.88%, 2022	**	1,574,471
	REYNOLDS AMERICAN INC SR UNSEC	CORPORATE - 4.45%, 2025	**	215,772
	ROYAL BK SCOTLND GRP PLC SR UNSEC	CORPORATE - 3.88%, 2023	**	1,054,661
	SAN JOSE REDEV-A-T	MUNICIPALS - 3.13%, 2028	**	834,899
	SANTANDER HOLDINGS USA SR UNSEC	CORPORATE - 4.50%, 2025	**	1,199,859
	SANTANDER UK GROUP HLDGS SR UNSEC	CORPORATE - 2.88%, 2021	**	1,524,688
	SANTANDER UK PLC SR UNSEC	CORPORATE - 2.88%, 2024	**	1,023,975
	SAUDI INTERNATIONAL BOND	GOVT- NON US - 2.88%, 2023	**	3,072,431
	SINOPEC GRP DEV 2018 SR UNSEC 144A	CORPORATE - 2.50%, 2024	**	502,810
	SLCLT 2005-1 A3 3MLIB+10BP	CASH EQUIVALENT - 2.01%, 2025	**	113,918
	SLCLT 2005-2 A3 3MLIB+11BP	CASH EQUIVALENT - 2.00%, 2027	**	411,621
	SLCLT 2006-1 A5 3MLIB+11BP	CASH EQUIVALENT - 2.00%, 2027	**	581,206
	SLCLT 2006-2 A5 3MLIB+10BP	CASH EQUIVALENT - 1.99%, 2026	**	396,158
	SLMA 2004-3 A5 3MLIB+17	CASH EQUIVALENT - 2.11%, 2023	**	37,472
	SLMA 2005-3 A5	CASH EQUIVALENT - 2.03%, 2024	**	125,097
	SLMA 2005-4 A3	CASH EQUIVALENT - 2.06%, 2027	**	746,644

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	SLMA 2005-7 A4 3MLIB+15BP	CASH EQUIVALENT - 2.09%, 2029	**	681,308
	SLMA 2005-8 A4 3MLIB	CASH EQUIVALENT - 2.49%, 2028	**	68,081
	SLMA 2006-6 A3 3MLIB+11BP	CASH EQUIVALENT - 2.05%, 2025	**	14,253
	SLMA 2007-1 A5 3MLIB+9	CASH EQUIVALENT - 2.03%, 2026	**	385,043
	STATE OF QATAR SR UNSEC 144A	GOVT- NON US - 3.88%, 2023	**	1,273,134
	STIF FUND (USD) (FIDELITY)	CASH EQUIVALENT - 1.78%, 2030	**	509,386
	SUMITOMO MITSUI FINL GRP SR UNSEC	CORPORATE - 2.44%, 2021	**	3,031,993
	SUMITOMO MITSUI FINL GRP SR UNSEC	CORPORATE - 2.45%, 2024	**	1,006,481
	SUNTORY HOLDINGS LTD SR UNSEC 144A	CORPORATE - 2.25%, 2024	**	1,695,635
	TECH DATA C	CORPORATE - 3.70%, 2022	**	720,751
	TENN VALLEY AUTHORITY SR UNSEC	CASH EQUIVALENT - 2.25%, 2020	**	502,349
	U S TREASURY BOND	US TREASURY - 2.88%, 2049	**	2,104,059
	U S TREASURY NOTE	CASH EQUIVALENT - 2.00%, 2020	**	67,304
	U S TREASURY NOTE	CASH EQUIVALENT - 2.00%, 2020	**	1,941,770
	U S TREASURY NOTE	US TREASURY - 1.75%, 2021	**	13,383,916
	U S TREASURY NOTE	US TREASURY - 1.50%, 2021	**	13,807,932
	U S TREASURY NOTE	US TREASURY - 2.13%, 2022	**	6,131,359
	U S TREASURY NOTE	US TREASURY - 1.63%, 2021	**	2,002,702
	U S TREASURY NOTE	US TREASURY - 1.63%, 2029	**	2,543,537
	U S TREASURY NOTE	US TREASURY - 2.13%, 2021	**	12,863,214
	U S TREASURY REPO	CASH EQUIVALENT - 1.55%, 2020	**	3,300,072
	UBS GROUP FUNDING SWITZE SR UNSEC 144A	CORPORATE - 2.86%, 2023	**	3,577,041
	UBSBB 2013-C5 AAB WM22 WC4.120420506	MORTGAGE - 2.69%, 2046	**	769,446
	UBSCM 2017-C7 ASB	MORTGAGE - 3.59%, 2050	**	1,688,215
	UNIV OF PITTSBURGH PA	MUNICIPALS - 2.32%, 2021	**	3,036,572
	UT ST-BABS-B	MUNICIPALS - 3.54%, 2025	**	2,860,693
	VM CASH CCP ICE FOB USD	CASH EQUIVALENT - 0.01%, 2060	**	69,739
	VM CASH CCP LCH FOB USD	CASH EQUIVALENT - 0.01%, 2060	**	43,963
	VM CASH FUT DOM SAL USD	CASH EQUIVALENT - 1.45%, 2060	**	178,650
	VOLKSWAGEN GROUP AMERICA SR UNSEC 144A	CORPORATE - 3.88%, 2020	**	1,019,099
	WELLS FARGO & COMPANY SR UNSEC	CORPORATE - 3.00%, 2026	**	1,703,528
	WELLS FARGO & COMPANY SR UNSEC	CORPORATE - 3.55%, 2025	**	3,086,643
	WFCM 2015-C26 ASB WM15	MORTGAGE - 2.99%, 2048	**	1,531,853
	WFCM 2015-C29 ASB WM15	MORTGAGE - 3.40%, 2048	**	1,655,981
	WFCM 2015-C30 ASB WM25 WC4.53	MORTGAGE - 3.41%, 2058	**	1,760,251
	WFCM 2016-C35 ASB WM16 WC0.0	MORTGAGE - 2.79%, 2048	**	1,478,708
	WFCM 2017-C42 ASB	MORTGAGE - 3.49%, 2050	**	1,580,226

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Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value	Cost	Current Value	
WISCONSIN-A-REF-TXBL	MUNICIPALS - 1.90%, 2022	**	1,910,798	
Capital Preservation Fund Total			506,660,261	
ARTISAN MIDCAP INVESTOR FUND				
BBH STIF FUND	MONEY MARKET FUND	**	6,918,100	
ADVANCED MICRO DEVICES IN	COMMON STOCKS	**	5,969,734	
AGILENT TECH INC	COMMON STOCKS	**	2,489,005	
ALEXION PHARMACEUTICALS INC	COMMON STOCKS	**	1,992,880	
APTIV PLC	COMMON STOCKS	**	3,266,493	
ARGENX SE SPONSORED ADR	COMMON STOCKS	**	2,601,708	
ARISTA NETWORKS INC	COMMON STOCKS	**	2,914,315	
ASCENDIS PHARMA AS SPON A	COMMON STOCKS	**	3,866,423	
ATLASSIAN CORP PLC CLS A	COMMON STOCKS	**	7,406,325	
BEYOND MEAT INC	COMMON STOCKS	**	408,316	
BOSTON SCIENTIFIC CORP	COMMON STOCKS	**	6,493,909	
BROADRIDGE FIN SOL	COMMON STOCKS	**	2,376,045	
BURLINGTON STORES INC	COMMON STOCKS	**	3,089,350	
CANADA GOOSE HLDGS INC	COMMON STOCKS	**	1,523,928	
CATALENT INC	COMMON STOCKS	**	3,716,025	
CENTENE CORP	COMMON STOCKS	**	2,146,570	
CERIDIAN HCM HLDG INC	COMMON STOCKS	**	3,365,151	
CHIPOTLE MEXICAN GRILL IN	COMMON STOCKS	**	1,735,329	
CINTAS CORP	COMMON STOCKS	**	2,041,241	
CMS ENERGY CORP	COMMON STOCKS	**	1,007,577	
COGNEX CORP	COMMON STOCKS	**	2,728,924	
CREE INC	COMMON STOCKS	**	1,909,779	
DEXCOM INC	COMMON STOCKS	**	4,888,620	
EDWARDS LIFESCIENCES CORP	COMMON STOCKS	**	2,171,930	
EXACT SCIENCES CORP	COMMON STOCKS	**	3,808,234	
FIDELITY NATL INFORM SVCS	COMMON STOCKS	**	7,260,776	
FIRST REPUBLIC BANK	COMMON STOCKS	**	1,940,744	
FIRST SOLAR INC	COMMON STOCKS	**	2,201,131	
FORTIVE CORP	COMMON STOCKS	**	3,563,670	
GENMAB AS SP ADR	COMMON STOCKS	**	4,138,843	
GLOBAL PAYMENTS INC	COMMON STOCKS	**	12,454,791	
GUIDEWIRE SOFTWARE INC	COMMON STOCKS	**	6,009,688	

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Identity of issue, borrower, lessor or similar party				
HASBRO INC		COMMON STOCKS	**	2,540,871
HUBSPOT INC		COMMON STOCKS	**	3,412,822
IHS MARKIT LTD		COMMON STOCKS	**	7,014,633
INGERSOLL RAND INC		COMMON STOCKS	**	2,420,073
L3HARRIS TECHNOLOGIES INC		COMMON STOCKS	**	6,287,715
LULULEMON ATHLETICA INC		COMMON STOCKS	**	2,231,909
MASCO CORPORATION		COMMON STOCKS	**	2,122,166
MOTOROLA SOLUTIONS INC		COMMON STOCKS	**	4,622,301
MSCI INC		COMMON STOCKS	**	1,596,069
NEW YORK TIMES CO CL A		COMMON STOCKS	**	3,057,372
OLLIES BARGAIN OUTLET HLD		COMMON STOCKS	**	1,960,998
PAGSEGURO DIGITAL LTD CL		COMMON STOCKS	**	2,461,877
PROGRESSIVE CORP OHIO		COMMON STOCKS	**	1,239,389
ROPER TECH INC		COMMON STOCKS	**	4,467,903
SAGE THERAPEUTICS INC		COMMON STOCKS	**	648,772
SAREPTA THERAPEUTICS INC		COMMON STOCKS	**	1,243,171
SKYWORKS SOLUTIONS INC		COMMON STOCKS	**	2,667,942
SPOTIFY TECH SA		COMMON STOCKS	**	1,515,839
TAKE-TWO INTERACTV SOFTWR		COMMON STOCKS	**	2,109,959
TRADE DESK INC		COMMON STOCKS	**	1,317,864
TRADEWEB MARKETS INC A		COMMON STOCKS	**	797,591
TRANSUNION		COMMON STOCKS	**	3,557,695
TRIMBLE INC		COMMON STOCKS	**	2,867,188
TRIP.COM GROUP LTD ADR		COMMON STOCKS	**	1,353,540
VEEVA SYS INC CL A		COMMON STOCKS	**	6,103,097
VERISK ANALYTICS INC		COMMON STOCKS	**	808,079
WAYFAIR INC		COMMON STOCKS	**	1,073,324
WELLCARE HEALTH PLANS INC		COMMON STOCKS	**	1,796,342
WEST PHARMACEUTICAL SVCS		COMMON STOCKS	**	3,363,634
ZOOM VIDEO COMM INC CL A		COMMON STOCKS	**	3,094,663
ZSCALER INC		COMMON STOCKS	**	1,961,184
ZYNGA INC		COMMON STOCKS	**	4,405,530
Artisan Midcap Investor Fund Total				204,527,066
METWEST TOTAL RETURN FUND				
BBH STIF FUND		MONEY MARKET FUND	**	506,667
ABBVIE INC		CORPORATE DEBT - 4.25%, 2049	**	636,728
ABBVIE INC		CORPORATE DEBT - 4.40%, 2042	**	215,833

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	ABBVIE INC	CORPORATE DEBT - 4.45%, 2046	**	236,014
	ACE SEC CORP HOME EQUITY LN TR	ASSET BACKED SECURITIES - Variable , 2034	**	882,733
	ACTAVIS FUNDING SCS	CORPORATE DEBT - 3.80%, 2025	**	209,985
	ACTAVIS FUNDING SCS	CORPORATE DEBT - 4.55%, 2035	**	190,890
	AERCAP IRELAND CAP LTD	CORPORATE DEBT - 4.50%, 2021	**	356,017
	AERCAP IRELAND CAP LTD	CORPORATE DEBT - 3.95%, 2022	**	573,242
	AHM 2004-4 4A 6ML+200	MTGE BACKED SECURITIES - Variable , 2045	**	308,906
	AIR LEASE CORP	CORPORATE DEBT - 4.75%, 2020	**	406,619
	AJAX MORTGAGE LOAN TRUST 2019-D AI	ASSET BACKED SECURITIES - 2.96%, 2065	**	1,150,477
	ALIMENTATION COUCHE-TARD INC	CORPORATE DEBT - 3.55%, 2027	**	488,039
	AMERICA WEST	ASSET BACKED SECURITIES - Variable , 2022	**	300,564
	AMERICAN AIRLS 2013-1A	ASSET BACKED SECURITIES - 4.00%, 2027	**	244,001
	AMERICAN CAMPUS CMNTYS OP PRTNRSH	CORPORATE DEBT - 3.35%, 2040	**	403,308
	AMGEN INC	CORPORATE DEBT - 4.40%, 2045	**	342,381
	ANHEUSER-BUSCH COS LLC	CORPORATE DEBT - 4.70%, 2036	**	158,009
	ANHEUSER-BUSCH COS LLC	CORPORATE DEBT - 4.90%, 2046	**	141,917
	AT&T INC	CORPORATE DEBT - 4.30%, 2030	**	277,742
	AT&T INC	CORPORATE DEBT - 4.30%, 2042	**	256,409
	AT&T INC	CORPORATE DEBT - 4.75%, 2046	**	146,698
	AT&T INC	CORPORATE DEBT - 4.80%, 2044	**	244,622
	AT&T INC	CORPORATE DEBT - 4.85%, 2039	**	138,080
	AT&T INC	CORPORATE DEBT - 5.25%, 2037	**	786,839
	BACARDI LTD	CORPORATE DEBT - 4.70%, 2028	**	240,033
	BAMLL COMMERCIAL MORTGAGE	MTGE BACKED SECURITIES - Variable , 2038	**	354,424
	BANK NEW YORK MELLON CORP	CORPORATE DEBT - 2.26%, 2023	**	608,973
	BANK OF AMERICA CORP	CORPORATE DEBT - 2.74%, 2022	**	604,475
	BANK OF AMERICA CORP	CORPORATE DEBT - 3.00%, 2023	**	498,736
	BANK OF AMERICA CORP	CORPORATE DEBT - 4.27%, 2029	**	666,050
	BAT CAPITAL CORP	CORPORATE DEBT - 4.39%, 2037	**	252,632
	BAT CAPITAL CORP	CORPORATE DEBT - 4.54%, 2047	**	496,380
	BAYER US FIN II LLC	CORPORATE DEBT - 4.38%, 2028	**	774,022
	BAYER US FIN II LLC	CORPORATE DEBT - 4.63%, 2038	**	341,591
	BAYER US FIN II LLC	CORPORATE DEBT - 4.88%, 2048	**	571,550
	BCAP LLC TR 2008-IND2 1ML+I65	MTGE BACKED SECURITIES - Variable , 2038	**	211,754
	BEAR STEARNS ARM TR CSTR	MTGE BACKED SECURITIES - Variable , 2033	**	406,486

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	BECTON DICKINSON & CO	CORPORATE DEBT - Variable , 2020	**	225,111
	BROADCOM CORP	CORPORATE DEBT - 3.00%, 2022	**	745,590
	BX TR 2019-OCII	MTGE BACKED SECURITIES - 3.20%, 2041	**	133,709
	CALI MTG TR 2019-101C	MTGE BACKED SECURITIES - 3.96%, 2039	**	251,111
	CAMPBELL SOUP CO FRN	CORPORATE DEBT - Variable , 2020	**	350,104
	CANADIAN NATL RESOURCES LTD	CORPORATE DEBT - 3.85%, 2027	**	78,858
	CHARTER COMM OPER LLC	CORPORATE DEBT - 4.91%, 2025	**	220,151
	CHARTER COMM OPER LLC	CORPORATE DEBT - 3.75%, 2028	**	170,949
	CHARTER COMM OPER LLC	CORPORATE DEBT - 5.75%, 2048	**	116,467
	CIGNA CORP	CORPORATE DEBT - 3.05%, 2027	**	359,598
	CIGNA CORP	CORPORATE DEBT - 4.13%, 2025	**	542,047
	CITIGROUP INC	CORPORATE DEBT - Variable , 2023	**	408,141
	CITIGROUP MORTGAGE LOAN TRUST 2015-6 2AI	MTGE BACKED SECURITIES - Variable , 2035	**	157,941
	CLMT 2016-CL NE A CSTR 144A	MTGE BACKED SECURITIES - Variable , 2031	**	283,951
	COLLEGIATE FDG SVCS ED LN TR	ASSET BACKED SECURITIES - Variable , 2035	**	1,058,150
	COLT FUNDING LLC 2019-4 AI	MTGE BACKED SECURITIES - 2.58%, 2049	**	1,299,703
	COMM 2013-300P MTG TR	MTGE BACKED SECURITIES - 3.55%, 2036	**	216,998
	COMM 2016-787S A CSTR	MTGE BACKED SECURITIES - 4.35%, 2030	**	225,370
	CONTL AIR INC	CORPORATE DEBT - 5.98%, 2023	**	95,299
	COX COMMUNICATIONS INC	CORPORATE DEBT - 3.15%, 2024	**	359,609
	CPT MORTGAGE TR 2019	MTGE BACKED SECURITIES - 2.87%, 2039	**	246,096
	CSMC 2018-RPL9 TR	MTGE BACKED SECURITIES - 3.85%, 2057	**	868,032
	CURRENCY CONTRACT - USD	FORWARD CURRENCY CONTRACT	**	1,356,459
	CURRENCY CONTRACT - USD	FORWARD CURRENCY CONTRACT	**	(1,335,858)
	CURRENCY CONTRACT - USD	FORWARD CURRENCY CONTRACT	**	1,312,637
	CURRENCY CONTRACT - USD	FORWARD CURRENCY CONTRACT	**	(1,289,284)
	CURRENCY CONTRACT - USD	FORWARD CURRENCY CONTRACT	**	1,345,140
	CURRENCY CONTRACT - USD	FORWARD CURRENCY CONTRACT	**	(1,336,312)
	CVS HEALTH CORP	CORPORATE DEBT - 5.05%, 2048	**	915,648
	CVS HEALTH CORP	CORPORATE DEBT - 5.13%, 2045	**	337,148
	CYRUSONE LP	CORPORATE DEBT - 2.90%, 2024	**	542,074
	DAIMLER FIN NORTH AMER LLC	CORPORATE DEBT - 2.20%, 2021	**	269,418

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	DAIMLER FIN NORTH AMER LLC	CORPORATE DEBT - 2.00%, 2021	**	400,541
	DC OFFICE TRUST 2019	MTGE BACKED SECURITIES - 2.97%, 2045	**	268,671
	DEEPHAVEN RESIDENTIAL MTG TR AI 2019	MTGE BACKED SECURITIES - 2.96%, 2059	**	1,076,868
	DRYDEN 71 CLO LTD	ASSET BACKED SECURITIES - Variable , 2029	**	434,784
	DUKE ENERGY CAROLINAS LLC	CORPORATE DEBT - 3.75%, 2045	**	752,949
	DUKE ENERGY PROGRESS LLC	CORPORATE DEBT - 4.20%, 2045	**	567,758
	DUQUESNE LT HLD	CORPORATE DEBT - 5.90%, 2021	**	850,085
	ENERGY TRANSFER PARTNERS MLP	CORPORATE DEBT - 5.15%, 2045	**	367,512
	EQT CORP	CORPORATE DEBT - 3.90%, 2027	**	374,134
	FARMERS EXCHANGE CAP III	CORPORATE DEBT - Variable , 2054	**	829,054
	FEDERAL HOME LN MTG MLT CTF GT STEP	MTGE BACKED SECURITIES - Variable , 2053	**	659,164
	FEDERAL HOME LN MTG MLT CTF GT K-1511 A2	MTGE BACKED SECURITIES - 3.47%, 2031	**	1,181,165
	FEDERAL NAT MTG ASN GTD REM PA	MTGE BACKED SECURITIES - 4.00%, 2047	**	503,002
	FEDERAL NAT MTG ASN GTD REM PA 1ML+50	ASSET BACKED SECURITIES - Variable , 2050	**	1,373,917
	FEDERAL NATL MTG AS GTD RE PTT PA	MTGE BACKED SECURITIES - 3.50%, 2047	**	913,694
	DERAL NATL MTG AS GTD RE PTT CT	MTGE BACKED SECURITIES - 3.00%, 2048	**	829,291
	FEDERAL NATL MTG AS GTD RE PTT 2018-55 P	MTGE BACKED SECURITIES - 3.50%, 2047	**	205,095
	FEDERAL NATL MTG AS GTD RE PTT	MTGE BACKED SECURITIES - 3.00%, 2049	**	473,221
	FHLG 15YR	MTGE BACKED SECURITIES - 2.50%, 2032	**	220,765
	FHLG 15YR	MTGE BACKED SECURITIES - 2.50%, 2032	**	337,560
	FHLG 15YR	MTGE BACKED SECURITIES - 2.50%, 2031	**	247,040
	FHLG 15YR	MTGE BACKED SECURITIES - 3.00%, 2032	**	132,987
	FHLG 15YR	MTGE BACKED SECURITIES - 3.00%, 2032	**	271,855
	FHLG 15YR	MTGE BACKED SECURITIES - 3.00%, 2033	**	70,203
	FHLG 15YR	MTGE BACKED SECURITIES - 3.50%, 2034	**	683,487
	FHLG 15YR	MTGE BACKED SECURITIES - 3.50%, 2033	**	803,374

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(a)	(b)	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d)	(e)
Identity of issue, borrower, lessor or similar party			Cost	Current Value
FHLG 15YR		MTGE BACKED SECURITIES - 3.50%, 2033	**	246,792
FHLG 20YR		MTGE BACKED SECURITIES - 3.00%, 2038	**	433,882
FHLG 30YR		MTGE BACKED SECURITIES - 3.00%, 2048	**	280,726
FHLG 30YR		MTGE BACKED SECURITIES - 3.00%, 2017	**	1,537,933
FHLG 30YR		MTGE BACKED SECURITIES - 3.00%, 2046	**	65,023
FHLG 30YR		MTGE BACKED SECURITIES - 3.00%, 2046	**	1,168,430
FHLG 30YR		MTGE BACKED SECURITIES - 3.00%, 2046	**	641,238
FHLG 30YR		MTGE BACKED SECURITIES - 3.00%, 2046	**	761,810
FHLG 30YR		MTGE BACKED SECURITIES - 3.00%, 2046	**	862,578
FHLG 30YR		MTGE BACKED SECURITIES - 3.00%, 2046	**	295,001
FHLG 30YR		MTGE BACKED SECURITIES - 3.00%, 2047	**	280,261
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2048	**	868,128
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2048	**	1,725,630
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2048	**	2,261,553
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2048	**	811,010
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2048	**	1,903,578
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2045	**	1,359,793
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2047	**	1,571,678
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2048	**	164,379
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2046	**	911,602
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2046	**	1,099,578
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2048	**	309,861
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2047	**	125,086

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Identity of issue, borrower, lessor or similar party			Cost	Current Value
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2046	**	402,365
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2047	**	561,912
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2047	**	191,718
FHLG 30YR		MTGE BACKED SECURITIES - 4.00%, 2045	**	689,599
FHLG 30YR		MTGE BACKED SECURITIES - 4.00%, 2049	**	960,764
FHLG 30YR		MTGE BACKED SECURITIES - 4.00%, 2048	**	1,824,473
FHLG 30YR		MTGE BACKED SECURITIES - 4.00%, 2047	**	196,444
FHLG 30YR		MTGE BACKED SECURITIES - 4.00%, 2048	**	146,167
FHLG 30YR		MTGE BACKED SECURITIES - 4.00%, 2045	**	1,137,620
FHLG 30YR		MTGE BACKED SECURITIES - 5.00%, 2048	**	219,655
FHLG 30YR		MTGE BACKED SECURITIES - 5.00%, 2048	**	416,863
FHLG 30YR		MTGE BACKED SECURITIES - 5.00%, 2048	**	65,353
FNMA 14YR		MTGE BACKED SECURITIES - 3.17%, 2031	**	492,376
FNMA 15YR		MTGE BACKED SECURITIES - 2.50%, 2035	**	4,448,378
FNMA 15YR		MTGE BACKED SECURITIES - 2.50%, 2034	**	2,565,310
FNMA 15YR		MTGE BACKED SECURITIES - 3.00%, 2032	**	255,465
FNMA 30YR		MTGE BACKED SECURITIES - 2.85%, 2022	**	360,365
FNMA 30YR		MTGE BACKED SECURITIES - 3.00%, 2047	**	319,374
FNMA 30YR		MTGE BACKED SECURITIES - 3.00%, 2049	**	1,928,105
FNMA 30YR		MTGE BACKED SECURITIES - 3.00%, 2049	**	1,330,067
FNMA 30YR		MTGE BACKED SECURITIES - 3.00%, 2046	**	1,207,909
FNMA 30YR		MTGE BACKED SECURITIES - 3.12%, 2027	**	435,680
FNMA 30YR		MTGE BACKED SECURITIES - 3.21%, 2037	**	588,858

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Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value		Cost	Current Value
FNMA 30YR	MTGE BACKED SECURITIES - 3.29%, 2032		**	347,556
FNMA 30YR	MTGE BACKED SECURITIES - 3.32%, 2027		**	480,468
FNMA 30YR	MTGE BACKED SECURITIES - 3.39%, 2029		**	334,272
FNMA 30YR	MTGE BACKED SECURITIES - 3.45%, 2034		**	461,531
FNMA 30YR	MTGE BACKED SECURITIES - 3.50%, 2048		**	40,346
FNMA 30YR	MTGE BACKED SECURITIES - 3.50%, 2048		**	935,050
FNMA 30YR	MTGE BACKED SECURITIES - 3.50%, 2047		**	347,817
FNMA 30YR	MTGE BACKED SECURITIES - 3.50%, 2045		**	660,773
FNMA 30YR	MTGE BACKED SECURITIES - 3.58%, 2020		**	6,680
FNMA 30YR	MTGE BACKED SECURITIES - 3.99%, 2033		**	1,208,766
FNMA 30YR	MTGE BACKED SECURITIES - 4.00%, 2047		**	422,345
FNMA 30YR	MTGE BACKED SECURITIES - 4.00%, 2047		**	345,882
FNMA 30YR	MTGE BACKED SECURITIES - 4.00%, 2047		**	839,170
FNMA 30YR	MTGE BACKED SECURITIES - 4.00%, 2043		**	306,261
FNMA 30YR	MTGE BACKED SECURITIES - 4.50%, 2047		**	3,141,504
FORD MTR CR CO LLC	CORPORATE DEBT - 2.43%, 2020		**	324,387
FORD MTR CR CO LLC	CORPORATE DEBT - 2.43%, 2020		**	139,914
FORD MTR CR CO LLC	CORPORATE DEBT - 3.81%, 2021		**	162,920
FORD MTR CR CO LLC	CORPORATE DEBT - 5.60%, 2022		**	684,761
FORD MTR CR CO LLC	COMMERCIAL PAPER		**	758,230
FORD MTR CR CO LLC	CORPORATE DEBT - Variable , 2022		**	59,560
FORD MTR CR CO LLC	CORPORATE DEBT - Variable , 2021		**	189,114
FORD MTR CR CO LLC	CORPORATE DEBT - Variable , 2021		**	356,911
FORD MTR CR LLC	CORPORATE DEBT - 5.75%, 2021		**	1,171,446
FORD MTR CR LLC	CORPORATE DEBT - 5.88%, 2021		**	130,833
FREDDIE MAC REMICS 2018-4846 PA	MTGE BACKED SECURITIES - 4.00%, 2047		**	498,719
GE CAP CORP	CORPORATE DEBT - 5.88%, 2038		**	67,752
GE CAP INTL FDG CO 144A	CORPORATE DEBT - 4.42%, 2035		**	1,751,556
GE CAP INTL FDG CO	CORPORATE DEBT - 2.34%, 2020		**	1,326,000

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	Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value	Cost	Current Value
	GE CAP MTN	CORPORATE DEBT - 6.75%, 2032	**	256,539
	GEN ELEC CAP	CORPORATE DEBT - 4.63%, 2021	**	414,022
	GEN MTRS FINL CO INC	CORPORATE DEBT - 4.20%, 2021	**	404,270
	GLP CAP LP / GLP FING II	CORPORATE DEBT - 5.38%, 2026	**	276,350
	GLP CAP LP / GLP FING II	CORPORATE DEBT - 5.30%, 2029	**	671,974
	GLP CAP LP / GLP FING II	CORPORATE DEBT - 4.00%, 2030	**	199,037
	GNII 30YR	MTGE BACKED SECURITIES - 3.00%, 2049	**	1,325,550
	GNII 30YR	MTGE BACKED SECURITIES - 3.50%, 2049	**	214,896
	GNII II	MTGE BACKED SECURITIES - 3.00%, 2050	**	3,852,038
	GNII II	MTGE BACKED SECURITIES - 3.00%, 2047	**	1,073,757
	GNII II	MTGE BACKED SECURITIES - 3.00%, 2047	**	1,024,921
	GNII II	MTGE BACKED SECURITIES - 3.00%, 2046	**	936,815
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2048	**	1,380,150
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2046	**	466,257
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2046	**	507,236
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2047	**	857,102
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2046	**	127,311
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2046	**	480,972
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2047	**	283,271
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2046	**	207,208
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2047	**	2,102,425
	GNII II	MTGE BACKED SECURITIES - 4.00%, 2048	**	838,494
	GNII II	MTGE BACKED SECURITIES - 4.00%, 2048	**	742,043
	GNII II	MTGE BACKED SECURITIES - 4.00%, 2048	**	420,309
	GNII II	MTGE BACKED SECURITIES - 4.00%, 2047	**	412,907

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Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value		Cost	Current Value
GNII II	MTGE BACKED SECURITIES - 4.00%, 2047		**	137,919
GNII II	MTGE BACKED SECURITIES - 4.50%, 2047		**	1,000,937
GNII II	MTGE BACKED SECURITIES - 4.50%, 2048		**	630,984
GNII II	MTGE BACKED SECURITIES - 5.00%, 2047		**	158,626
GNII II	MTGE BACKED SECURITIES - 5.00%, 2046		**	473,324
GNII II	MTGE BACKED SECURITIES - 5.00%, 2044		**	352,805
GOLDENTREE LOAN OPPORTUNITIES IX LTD 3ML	ASSET BACKED SECURITIES - Variable , 2029		**	549,764
GOLDMAN SACHS GROUP INC	CORPORATE DEBT - Variable , 2025		**	367,200
GOVERNMENT NAT MTG AS REMIC PT	MTGE BACKED SECURITIES - 2.63%, 2039		**	1,164,105
GOVERNMENT NATL MTG ASN MLFY R	MTGE BACKED SECURITIES - 3.50%, 2048		**	387,507
GS MTG BACKED SECS TR 2018-RPLI AIA	MTGE BACKED SECURITIES - 3.75%, 2057		**	899,721
GSAA 05-6 MI	ASSET BACKED SECURITIES - Variable , 2035		**	993,123
GSINC	CORPORATE DEBT - 5.25%, 2021		**	288,540
GSMS 2012-ALOH	MTGE BACKED SECURITIES - 3.55%, 2034		**	189,138
HCA INC	CORPORATE DEBT - 5.13%, 2039		**	253,832
HCA INC	CORPORATE DEBT - 5.25%, 2049		**	390,131
HCP INC	CORPORATE DEBT - 4.20%, 2024		**	828,122
HEALTHCARE REALTY TRUST INC	CORPORATE DEBT - 3.75%, 2023		**	206,394
HEALTHCARE REALTY TRUST INC	CORPORATE DEBT - 3.88%, 2025		**	236,590
HIGHER EDUCATION FUNDING I	ASSET BACKED SECURITIES - Variable , 2034		**	1,002,248
HUDSON YDS 2019-30HY MTG	MTGE BACKED SECURITIES - 3.23%, 2029		**	227,665
HUDSON YDS 2019-55HY MTG	MTGE BACKED SECURITIES - Variable , 2041		**	269,424
HUMANA INC	CORPORATE DEBT - 3.95%, 2040		**	391,701
HVMLT 2005-9 2A1A	MTGE BACKED SECURITIES - Variable , 2035		**	620,424
IHS MARKIT LTD	CORPORATE DEBT - 4.75%, 2028		**	361,974
INDIANA MICHIGAN POWER CO	CORPORATE DEBT - 4.55%, 2020		**	349,936
INTERNATIONA TR	CORPORATE DEBT - 4.63%, 2043		**	688,565

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Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value		Cost	Current Value
INTL FLAVS & FRAG INC	CORPORATE DEBT - 5.00%, 2049		**	356,581
GOVERNMENT OF JAPAN	FOREIGN OBLIGATIONS - 0.00%, 2020		**	1,334,649
GOVERNMENT OF JAPAN	FOREIGN OBLIGATIONS - 0.00%, 2020		**	1,334,597
GOVERNMENT OF JAPAN	FOREIGN OBLIGATIONS - 0.00%, 2020		**	1,288,540
JP MORGAN CHASE COML MTG SECS TR 2013-CI	MTGE BACKED SECURITIES - 3.40%, 2039		**	224,862
	MTGE BACKED SECURITIES - Variable , 2038		**	223,343
JPMORGAN CHASE & CO	CORPORATE DEBT - 4.23%, 2024		**	580,866
KINDER MORGAN INC DEL	CORPORATE DEBT - 5.50%, 2045		**	179,310
KRAFT FOODS GROUP INC	CORPORATE DEBT - 5.00%, 2042		**	341,087
KRAFT HEINZ FOODS CO	CORPORATE DEBT - 4.37%, 2046		**	805,981
KRAFT HEINZ FOODS CO	CORPORATE DEBT - 4.87%, 2049		**	489,343
KRAFT HEINZ FOODS CO	CORPORATE DEBT - 5.20%, 2045		**	81,226
KROGER CO	CORPORATE DEBT - 5.40%, 2049		**	152,251
LA CA USD	MUNICIPAL BONDS - 5.98%, 2027		**	636,479
LCM XIII LP ARR 144A	ASSET BACKED SECURITIES - Variable , 2027		**	648,090
LCM XIX LTD PARTNERSHIP/LLC	ASSET BACKED SECURITIES - Variable , 2027		**	324,972
LEVEL 3 FINANCING INC	CORPORATE DEBT - 3.40%, 2027		**	402,812
LLOYDS BANKING GROUP PLC	CORPORATE DEBT - 2.86%, 2023		**	563,052
LLOYDS BANKING GROUP PLC	CORPORATE DEBT - 2.91%, 2023		**	404,866
MADISON PK FDG XXX LTD	ASSET BACKED SECURITIES - Variable , 2029		**	272,034
MET WEST:FLT RT INC	MUTUAL FUNDS		**	4,008,223
MET WEST:HIGH YLD BD	MUTUAL FUNDS		**	3,382,818
MHL 05-2 1A1	MTGE BACKED SECURITIES - Variable , 2035		**	237,117
MLCC 2004-A A1	MTGE BACKED SECURITIES - Variable , 2029		**	342,719
MORGAN STANLEY CAPITAL I INC	ASSET BACKED SECURITIES - Variable , 2036		**	967,211
MORGAN STANLEY RESECURITIZATION TR 2015	MTGE BACKED SECURITIES - Variable , 2046		**	312,192
	MTGE BACKED SECURITIES - Variable , 2035		**	705,718
NATIONWIDE MUTUAL INSURANCE CO	CORPORATE DEBT - Variable , 2024		**	399,463
NAVIENT STUDENT LN TR 2015-2	ASSET BACKED SECURITIES - Variable , 2040		**	1,133,757

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Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value		Cost	Current Value
NEW YORK ST DORM AUTH ST PERS IT REV BUI	MUNICIPAL BONDS - 5.29%, 2033		**	606,950
NOBLE ENERGY INC 5.25 11/	CORPORATE DEBT - 5.25%, 2043		**	84,286
NSTR 2006-B AV4 1ML+28 9/	ASSET BACKED SECURITIES - Variable , 2036		**	420,794
NYU HOSPS CTR 4.784 07/01	CORPORATE DEBT - 4.78%, 2044		**	591,671
OCTAGON INVT PARTNERS 25	ASSET BACKED SECURITIES - Variable , 2026		**	578,865
ONE BRYANT PK TR 2019-OBP	MTGE BACKED SECURITIES - 2.52%, 2054		**	326,139
PARK AEROSPACE HLDGS LTD	CORPORATE DEBT - 5.25%, 2022		**	229,061
PARK AEROSPACE HLDGS LTD	CORPORATE DEBT - 5.50%, 2024		**	208,603
PARK AEROSPACE HLDGS LTD	CORPORATE DEBT - 4.50%, 2023		**	104,700
PEMEX PROJ FDG MASTER TR	CORPORATE DEBT - 6.63%, 2035		**	112,530
PETROLEOS MEXICANOS	CORPORATE DEBT - 6.50%, 2029		**	273,894
PETROLEOS MEXICANOS	CORPORATE DEBT - 6.75%, 2047		**	595,163
PETROLEOS MEXICANOS	CORPORATE DEBT - 7.69%, 2050		**	312,277
PHEAA STUDENT LOAN TRUST 2015-1	ASSET BACKED SECURITIES - Variable , 2041		**	565,775
PLAINS ALL AMER PIPELINE	CORPORATE DEBT - 4.65%, 2025		**	374,650
POTOMAC ELECTRIC POWER CO	CORPORATE DEBT - 3.60%, 2024		**	526,517
RAMP SER 2006 EFC2 TR	ASSET BACKED SECURITIES - Variable , 2036		**	1,371,859
RAYMOND JAMES FIN INC	CORPORATE DEBT - 4.95%, 2046		**	268,136
RBSCF 2013-GSP A CSTR	MTGE BACKED SECURITIES - Variable , 2032		**	219,055
REYNOLDS AMERICAN INC	CORPORATE DEBT - 5.85%, 2045		**	309,068
ROCKIES EXPRESS PIPELINE LLC	CORPORATE DEBT - 4.95%, 2029		**	298,724
SABINE PASS LIQUEFACTION	CORPORATE DEBT - 5.75%, 2024		**	363,438
SANTANDER UK GROUP HLDGS	CORPORATE DEBT - 2.88%, 2021		**	353,261
SANTANDER UK GROUP HLDGS	CORPORATE DEBT - Variable , 2024		**	368,643
SEQUOIA MTG TR	MTGE BACKED SECURITIES - Variable , 2034		**	652,727
SL GREEN OPER PARTNERSHIP	CORPORATE DEBT - 3.25%, 2022		**	256,034
SLC STUDENT LN TR 2008-1	ASSET BACKED SECURITIES - Variable , 2032		**	1,240,204
SLM STUDENT LN TR 2008	ASSET BACKED SECURITIES - Variable , 2083		**	385,889
SLM STUDENT LN TR 2008 5	ASSET BACKED SECURITIES - Variable , 2073		**	392,924
SLM STUDENT LN TR 2008-2	ASSET BACKED SECURITIES - Variable , 2083		**	185,700

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Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value		Cost	Current Value
SLM STUDENT LN TR 2008-4	ASSET BACKED SECURITIES - Variable , 2073		**	238,771
SLM STUDENT LN TR 2008-8	ASSET BACKED SECURITIES - Variable , 2075		**	227,673
SLM STUDENT LN TR 2012-1	ASSET BACKED SECURITIES - Variable , 2028		**	441,402
SLM STUDENT LN TR 2012-7	ASSET BACKED SECURITIES - Variable , 2026		**	445,356
SLMA 2007-7 A4	ASSET BACKED SECURITIES - Variable , 2022		**	443,121
SLMA 2008-6 A4	ASSET BACKED SECURITIES - Variable , 2023		**	341,040
SLMA 2008-9 A	ASSET BACKED SECURITIES - Variable , 2023		**	758,925
SPECTRA ENERGY PARTNERS LP	CORPORATE DEBT - 3.50%, 2025		**	209,151
SPRINT SPEC	CORPORATE DEBT - 3.36%, 2023		**	425,945
SPRINT SPECTRUM CO LLC	CORPORATE DEBT - 4.74%, 2029		**	492,426
STRUCTURED ASSET MTG INVS II INC CSTR	MTGE BACKED SECURITIES - Variable , 2034		**	341,617
SUNOCO LOGISTICS PRTNR OP	CORPORATE DEBT - 5.40%, 2047		**	271,089
SWAP COLLATERAL USD	CASH COLLATERAL		**	50,634
TC PIPELINES MLP	CORPORATE DEBT - 4.38%, 2025		**	585,154
TCW EMERGING MKT INCM LP	MUTUAL FUNDS		**	1,988,169
TCW IRS 1YR/2YR	INTEREST RATE SWAPS (IRS)		**	11,902,957
TCW IRS 1YR/5YR	INTEREST RATE SWAPS (IRS)		**	5,564,225
TCW IRS 1YR/5YR	INTEREST RATE SWAPS (IRS)		**	4,692,536
TCW IRS 1YR/5YR	INTEREST RATE SWAPS (IRS)		**	2,180,500
TIME WARNER CABLE INC	CORPORATE DEBT - 5.50%, 2041		**	222,878
TOWD PT MTG TR 2017-5 A1	MTGE BACKED SECURITIES - Variable , 2057		**	576,048
TPMT 17-1 A1	MTGE BACKED SECURITIES - 2.75%, 2056		**	730,441
TPMT 2016-3	MTGE BACKED SECURITIES - 2.25%, 2056		**	656,654
UMBS 30YR	MTGE BACKED SECURITIES - 2.50%, 2050		**	1,309,698
UMBS 30YR	MTGE BACKED SECURITIES - 3.00%, 2050		**	1,140,829
UMBS 30YR	MTGE BACKED SECURITIES - 5.00%, 2050		**	213,813
UNITED HEALTH GROUP INC 4	CORPORATE DEBT - 4.75%, 2045		**	460,414
UNITED STATES TREASURY BO	GOVERNMENT OBLIGATIONS - 2.38%, 2049		**	9,631,522
US 2YR NOTE (CBT) FUT MAR	FUTURES		**	14,007,500

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Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value	Cost	Current Value	
US 5YR NOTE (CBT) FUT MAR	FUTURES	**	27,280,156	
US TREASURY BILLS	GOV'T OBLIGATIONS - 0.00%, 2020	**	232,262	
US TREASURY NOTES	GOV'T OBLIGATIONS - 1.50%, 2024	**	991	
US TREASURY NOTES	GOV'T OBLIGATIONS - 1.50%, 2024	**	24,614,780	
US TREASURY NOTES	GOV'T OBLIGATIONS - 1.75%, 2029	**	3,823,310	
US TREASURY NOTES TII	GOV'T OBLIGATIONS - 0.13%, 2024	**	2,199,466	
US TREASURY NOTES TII	GOV'T OBLIGATIONS - 0.13%, 2024	**	414,338	
US TREASURY NOTES TII	GOV'T OBLIGATIONS - 0.25%, 2029	**	2,945,732	
US TREASURY NOTES TII	GOV'T OBLIGATIONS - 1.00%, 2049	**	3,132,456	
VENTAS RLTY LTD PARTNERSH	CORPORATE DEBT - 3.85%, 2027	**	316,500	
VNDO 2012-6AVE A	MTGE BACKED SECURITIES - 3.00%, 2030	**	244,262	
VODAFONE GROUP PLC	CORPORATE DEBT - 4.88%, 2049	**	826,604	
WALGREENS BOOTS ALLIANCE INC	CORPORATE DEBT - 3.45%, 2026	**	176,885	
WALGREENS BOOTS ALLIANCE INC	CORPORATE DEBT - 4.80%, 2044	**	152,466	
WAMU 05-AR2 2A1A	MTGE BACKED SECURITIES - Variable , 2045	**	449,482	
WAMU 2005-ARI AIA	MTGE BACKED SECURITIES - Variable , 2045	**	429,208	
WAMU MTG PASS THROUGH CTFS	MTGE BACKED SECURITIES - Variable , 2045	**	479,122	
WELLS FARGO & CO NEW 2.40	CORPORATE DEBT - 2.05%, 2025	**	460,000	
WELLS FARGO BK NA SF CA MTN BE	CORPORATE DEBT - Variable , 2022	**	1,647,450	
WELLTOWER INC	CORPORATE DEBT - 3.75%, 2023	**	829,112	
WFCM 2012-LC5 A3	MTGE BACKED SECURITIES - 2.92%, 2045	**	428,688	
WILLIAMS PARTNERS L P NEW	CORPORATE DEBT - 2.92%, 2045	**	166,804	
WRKCO INC	CORPORATE DEBT - 4.90%, 2029	**	159,137	
ZIMMER BIOMET HLDGS INC 3	CORPORATE DEBT - Variable , 2021	**	350,033	
Total Metwest Total Return Fund			298,836,932	
Total Investments			4,177,542,278	
*Notes receivable from participants	Loans receivable with repayment interest of 4.25% to 9.75%	\$ —	61,682,151	
			\$4,239,224,429	

* Parties-in-interest

** Information is not required pursuant to Form 5500 instructions

FIRSTENERGY CORP. SAVINGS PLAN
REPORT ON AUDITS OF FINANCIAL STATEMENTS AND
SUPPLEMENTARY INFORMATION

December 31, 2019 and 2018

**FirstEnergy Corp. Savings Plan
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All other schedules of additional financial information are omitted as they are not applicable or are not required based on the disclosure requirements of the Employee Retirement Income Security Act of 1974 and applicable regulations issued by the United States Department of Labor.

INDEPENDENT AUDITORS' REPORT

To the Participants and Savings Plan Committee of the
FirstEnergy Corp. Savings Plan
Akron, Ohio

We have audited the accompanying financial statements of FirstEnergy Corp. Savings Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2019 and 2018, and the related statement of change in net assets available for benefits for the year ended December 31, 2019, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Plan's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for benefits of the Plan as of December 31, 2019 and 2018, and the changes in net assets available for benefits for the year ended December 31, 2019, in accordance with accounting principles generally accepted in the United States of America.

Report on Supplemental Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedule of Schedule H, Line 4i – Schedule of Assets (Held at End of Year) as of December 31, 2019, is presented for the purpose of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Such information is the responsibility of the Plan's management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.



BOBER, MARKEY, FEDOROVICH & COMPANY
Cleveland, Ohio

June 24, 2020

FirstEnergy Corp. Savings Plan**Statements of Net Assets Available for Benefits**

	As of December 31,	
	2019	2018
Assets		
Investments:		
Investments, at fair value (see Note 5)	\$ 3,670,882,017	\$ 3,172,777,320
Investments, at contract value (see Note 6)	502,317,046	518,933,112
Total investments	4,173,199,063	3,691,710,432
Receivables:		
Accrued interest and dividends	2,147,616	2,712,270
Notes receivable from participants	61,682,151	60,318,749
Due from brokers	56,671,193	810,830
Total receivables	120,500,960	63,841,849
Total assets	4,293,700,023	3,755,552,281
Liabilities		
Administrative expenses payable	1,154,702	1,405,818
Due to brokers	25,290,602	26,577,452
Total liabilities	26,445,304	27,983,270
Net assets available for benefits	\$ 4,267,254,719	\$ 3,727,569,011

The accompanying notes are an integral part of these financial statements.

FirstEnergy Corp. Savings Plan**Statement of Changes in Net Assets Available for Benefits**

	For the Year Ended December 31, 2019
Additions:	
Contributions:	
Employer	\$ 49,664,890
Participant	168,495,935
Total contributions	<u>218,160,825</u>
Investment Income:	
Interest and dividends	69,639,230
Net change in fair value of investments	753,208,454
Total investment income	<u>822,847,684</u>
Interest income on notes receivable from participants	<u>3,100,381</u>
Deductions:	
Distributions to participants	(497,795,407)
Administrative expenses	(6,627,775)
Total deductions	<u>(504,423,182)</u>
Increase in net assets available for benefits	539,685,708
Net assets available for benefits, beginning of year	<u>3,727,569,011</u>
Net assets available for benefits, end of year	<u><u>\$ 4,267,254,719</u></u>

The accompanying notes are an integral part of these financial statements.

FirstEnergy Corp. Savings Plan

Notes to Financial Statements

1. Description of the Plan

The following brief description of the FirstEnergy Corp. Savings Plan (Plan) is provided for general information purposes only. Participants should refer to the Plan documents for more complete information.

General

The Plan is a defined contribution plan and was established to provide a systematic savings program for eligible employees and to supplement such savings with employer contributions. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended (ERISA) and the Internal Revenue Code of 1986, as amended (IRC).

Administration

FirstEnergy Corp. (FirstEnergy) is the sponsor of the Plan. The FirstEnergy Savings Plan Committee is the administrator of the Plan and has responsibility for the day-to-day administration of the Plan. Record keeping with respect to individual participant accounts is maintained by Fidelity Investments (Fidelity). As of December 31, 2018 and March 2019, plan assets are held in trust under an arrangement with State Street Bank & Trust Company (State Street). Beginning April 2019 plan assets are held in trust under an arrangement with Fidelity.

Participation

Generally, all full-time and part-time employees of FirstEnergy's subsidiaries and participating employers not represented by a collective bargaining union are eligible to participate in the Plan. Full-time and part-time employees represented by a labor union may participate to the extent permitted by their respective collective bargaining agreement.

Effective January 1, 2018, except as further noted, new employees who do not make an affirmative election are deemed to have made an election to contribute 6% of eligible earnings for each payroll period increasing by 1% each April until the employee contribution rate reaches 10%. Certain union participants are automatically enrolled at 2%, Utility Workers Union of America (UWUA) Local 180 is without escalation and International Brotherhood of Electrical Workers (IBEW) Local 777 has an increase of 1% per year up to 4%. A new employee who has not made an affirmative election as to the investment of his or her account shall have his or her account invested in the age appropriate LifePath Portfolio Fund made available to Plan participants. A LifePath Portfolio Fund is an investment alternative that provides a mixture of fixed income and equity investments that are matched to an individual's age and assumed retirement date. A participant may change any automatic contribution at any time.

Contributions

Subject to IRC limitations, the Plan allows each participant to contribute 1% to 75% (22% for participants represented by UWUA Local 180) of their eligible earnings to the Plan through payroll deductions. Participant contributions may be made as before-tax, after-tax or Roth 401(k) contributions (UWUA Local 180 and IBEW Local 777 are not eligible to elect Roth 401(k) contributions). During any calendar year in which a participant attains age 50 or older, he or she may elect to make additional pre-tax contributions and/or Roth contributions, called "catch-up" contributions. In order to be eligible to make catch-up contributions, the participant must anticipate that his or her pre-tax contributions and/or Roth contributions to the Plan will reach the applicable annual IRC limit on that type of contribution.

FirstEnergy makes a matching contribution of 50% on the first 6% of eligible compensation contributed by an employee, except for IBEW Local 777 and UWUA Local 180 participants who receive a matching contribution of 80% and 60%, respectively, on the first 4% of eligible contributions. All employer matching contributions are invested in FirstEnergy common stock, except for certain IBEW Local 777 and UWUA Local 180 participants whose matching contributions are invested in cash. Catch-up contributions are not eligible for matching contributions. The number of shares of FirstEnergy common stock contributed to each participant is based on the market price of FirstEnergy common stock as of the end of each pay period. FirstEnergy matching contributions are non-cash contributions made by issuing shares of common stock. Plan participants may diversify matching contributions held in FirstEnergy common stock at any time, subject to certain limitations.

FirstEnergy Corp. Savings Plan

Notes to Financial Statements

Participant Accounts

Individual accounts are maintained for each Plan participant. Each participant's account is credited with the participant's contributions, employer matching contributions and allocated Plan earnings. Participants are vested in the entire value of their account at all times.

Investments

Participants direct the investment of their contributions and account balances into various investment options including ten LifePath Funds that include a diversified mix of asset classes based on expected retirement dates, sixteen Plan investment options with different objectives covering a range of asset classes and risk levels and a self-managed brokerage account that offers participants the ability to invest in any security listed on the New York Stock Exchange (NYSE), the NYSE MKT LLC (formerly known as the American Stock Exchange or NYSE Amex LLC) or the NASDAQ and a wide range of mutual funds.

The Plan's investment options include a fund of shares of FirstEnergy common stock, which together with a small portion of cash maintained for liquidity purposes, are recorded on a unit basis. FirstEnergy's common shares are traded on the NYSE and participation units in the FirstEnergy Common Stock Fund are valued at the NYSE closing price on the last business day of the plan year. The valuation per share of FirstEnergy's common stock was \$48.60 and \$37.55 at December 31, 2019 and 2018, respectively. The valuation per unit of the FirstEnergy Common Stock Fund was \$59.29 and \$45.84 at December 31, 2019 and 2018, respectively, due to cash maintained in the fund for liquidity purposes.

Notes Receivable from Participants

Participants may borrow up to 50% of their total account balance, excluding their Roth 401(k) balance, not exceeding \$50,000, including loans outstanding and the highest unpaid loan balance over the previous 12 months. The interest rate for new loans is adjusted each quarter to the prime rate plus 1% based on the prime rate on approximately the 15th day of the last month of the preceding quarter. Effective April 1, 2019, the interest rate for new loans will be equal to the prime rate that is published by Reuters on the last day of the month before the start of the calendar quarter in which the loan is approved, plus one percent (1%). The range of interest rates on outstanding loan balances during the year ended December 31, 2019 was 4.25% to 9.75%. Participants may have up to two loans outstanding at one time. The minimum loan amount is \$1,000 and must be repaid within 6 to 60 months. If the loan is for the purchase of a principal residence, the loan repayment period can be extended up to 30 years. Principal repayments and interest are credited to the participant's account when made, generally through payroll deduction.

Payment of Benefits

Upon termination of service due to disability, retirement or any other reason, a participant may elect to receive the full value or a portion of his or her account, defer payment to a later date (not beyond age 70-1/2) or receive installment payments. In the event of a participant's death, the participant's spouse may elect to withdraw all or a portion of the former participant's account or keep the funds in the Plan in accordance with provisions of the Plan, all other beneficiaries must withdraw or rollover the former participant's account within 180 days.

Subsequent Events

Management evaluates events and transactions occurring subsequent to the date of the financial statements that affect recognition or disclosure to the financial statements.

On March 31, 2018, FirstEnergy Solutions Corp, including its subsidiaries and FirstEnergy Nuclear Operating Company (FES Debtors) announced that, in order to facilitate an orderly financial restructuring, they filed voluntary petitions under Chapter 11 of the United States Bankruptcy Code with the Bankruptcy Court. On February 27, 2020, the FES Debtors effectuated their plan of reorganization and emerged from bankruptcy. Employees of the FES Debtors exited from the Plan on February 27, 2020.

On March 11, 2020, the World Health Organization declared the outbreak of COVID-19 (novel coronavirus) as a pandemic. The rapidly developing pandemic has generated significant uncertainty in the global economy and volatility in financial markets. The COVID-19 pandemic has affected and may continue to affect the market price of common stock and other Plan assets. Due to the ongoing economic uncertainty and volatility caused by COVID-19, the resulting financial impact to the Plan cannot be reasonably estimated. Following this declaration, the U.S. Federal government passed the "Coronavirus Aid, Relief, and Economic Security (CARES) Act" on March 27, 2020. The CARES Act, among other things, allows eligible plan participants to request penalty-free distributions of up to \$100,000 before December 31, 2020 for qualifying reasons associated with the COVID-19 pandemic and permits suspension of loan payments due for up to one year. The Plan has implemented the majority of the allowable changes.

2. Summary of Significant Accounting Policies

The accompanying financial statements have been prepared on the accrual basis of accounting. Benefits are recorded when paid. The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America (GAAP) requires management to make estimates and assumptions that affect reported amounts and disclosures. Actual results may differ from these estimates.

The Plan's investments are stated at fair value. Fair value reflects the price that would be received for an asset or paid to transfer a liability in the principal or most advantageous market for the asset or liability in an orderly transaction between willing market participants on the measurement date. See Note 5 for a discussion of fair value measurements. Contract value is the relevant measurement attribute for that portion of the net assets available for benefits of the Plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan.

Notes receivable from participants represent participant loans and are measured at their unpaid principal balance plus any accrued interest. Delinquent participant loans are reclassified as distributions based upon the terms of the Plan document. Repayments of principal and interest are recognized in the period in which payroll deductions are processed.

Investment income consists of interest and dividend income. The net change in the fair value of investments consists of realized and unrealized gains and losses on those investments. Dividend income is accrued on the ex-dividend date. Purchases and sales of securities are recorded on a trade-date basis. Realized gains and losses from security transactions are reported based on historical cost.

Expenses for the administration of the Plan are generally paid by the Plan.

3. Recent Accounting Pronouncements

There were no recent accounting pronouncements not yet adopted which have a material impact on the Plan.

4. Plan Termination

Although FirstEnergy has not expressed any intent to do so, it reserves the right to discontinue or terminate the Plan at any time. If the Plan were terminated, in whole or in part, participants would be entitled to withdraw the full value of their accounts, to the extent allowed by law.

5. Investments and Fair Value Measurements

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the Statements of Net Assets Available for Benefits.

FirstEnergy Corp. Savings Plan

Notes to Financial Statements

Authoritative accounting guidance establishes a fair value hierarchy that prioritizes the inputs used to measure fair value. This hierarchy gives the highest priority to Level 1 measurements and the lowest priority to Level 3 measurements.

The three levels of the fair value hierarchy are as follows:

Level 1 – Quoted prices for identical instruments in active markets.

Level 2 – Quoted prices for similar instruments in active markets;
– Quoted prices for identical or similar instruments in markets that are not active; and
– Model-derived valuations for which all significant inputs are observable market data.

Models are primarily industry-standard models that consider various assumptions, including quoted forward prices for commodities, time value, volatility factors and current market and contractual prices for the underlying instruments, as well as other relevant economic measures.

Level 3 – Valuation inputs are unobservable and significant to the fair value measurement.

The Plan did not hold any Level 3 investments as of December 31, 2019 or 2018, or during the year ended December 31, 2019.

There were no changes in valuation methodologies for assets measured at fair value during 2019.

The following tables set forth, by level within the fair value hierarchy, the fair value of the Plan's investments as of December 31, 2019 and 2018:

	December 31, 2019		
	Level 1	Level 2	Total
Common Collective Trusts	\$ —	\$ 1,834,496,249	\$ 1,834,496,249
FirstEnergy Common Stock Fund			
FirstEnergy Common Stock	528,965,705	—	528,965,705
Cash and Cash Equivalents	—	1,441,037	1,441,037
Total FirstEnergy Common Stock Fund	528,965,705	1,441,037	530,406,742
Registered Investment Companies	852,898,759	298,836,932	1,151,735,691
Self Managed Brokerage Accounts ¹	—	154,243,335	154,243,335
Total Investments at Fair Value ²	<u>\$ 1,381,864,464</u>	<u>\$ 2,289,017,553</u>	<u>\$ 3,670,882,017</u>

¹ The brokerage account investments are directed by participants.

² Values do not include investments at contract value.

FirstEnergy Corp. Savings Plan

Notes to Financial Statements

	December 31, 2018		
	Level 1	Level 2	Total
Cash and Cash Equivalents	\$ —	\$ 4,449,514	\$ 4,449,514
Common Collective Trusts	—	1,625,724,515	1,625,724,515
FirstEnergy Common Stock Fund			
FirstEnergy Common Stock	414,230,234	—	414,230,234
Cash and Cash Equivalents	—	2,578,099	2,578,099
Total FirstEnergy Common Stock Fund	414,230,234	2,578,099	416,808,333
Registered Investment Companies	649,212,234	350,972,292	1,000,184,526
Self Managed Brokerage Accounts ¹	—	125,610,432	125,610,432
Total Investments at fair value ²	<u>\$ 1,063,442,468</u>	<u>\$ 2,109,334,852</u>	<u>\$ 3,172,777,320</u>

¹ The brokerage account investments are directed by participants.

² Values do not include investments at contract value.

6. Fully Benefit-Responsive Investment Contracts

The Plan has an interest in synthetic guaranteed investment contracts (GICs) as part of the Capital Preservation Fund, which is managed by PIMCO.

In accordance with authoritative guidance for defined contribution plans, investment contracts are generally measured at contract value rather than fair value to the extent they are fully benefit-responsive. Contract value represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants transact with their investment in the Capital Preservation Fund at contract value as determined by the insurers and banks. No valuation reserve in relation to the contract value was deemed necessary. The Statement of Changes in Net Assets Available for Benefits and the Statements of Net Assets Available for Benefits present the Synthetic GICs on a contract value basis.

A synthetic investment contract includes a wrapper contract, which is an agreement for the wrap issuer, such as a bank or insurance company, to make payments to the Plan in certain circumstances. The wrapper contract typically includes certain conditions and limitations on the underlying assets owned by the Plan.

The synthetic investment contracts held by the Plan include wrapper contracts that provide a guarantee that the credit rate will not fall below zero percent. Cash flow volatility (for example, timing of benefit payments) as well as asset underperformance can be passed through to the Plan through adjustments to future contract crediting rates. Formulas are provided in each contract that adjusts renewal crediting rates to recognize the difference between the fair value and the book value of the underlying assets. Crediting rates are reviewed monthly for resetting.

Certain events limit the ability of the Plan to transact with the issuer at contract value. These events include closings (location, subsidiary, division), layoffs, Plan termination, bankruptcy or reorganization, corporate merger, early retirement incentive programs or similar events. The Plan Administrator does not believe any such events, of the magnitude that would limit the Plan's ability to transact at contract value, are probable. The GICs do not permit the issuers to terminate the contracts at an amount other than contract value.

FirstEnergy Corp. Savings Plan

Notes to Financial Statements

The following represents the disaggregation of contract value between types of investment contracts held by the Plan at December 31, 2019 and 2018:

	<u>2019</u>	<u>2018</u>
Short-term investment fund	\$ 76,336,361	\$ 24,951,959
Synthetic GICs	425,980,685	493,981,153
Total Investments at contract value	<u>\$ 502,317,046</u>	<u>\$ 518,933,112</u>

The synthetic GICs are presented on Schedule H, line 4i - Schedule of Assets (Held at End of Year) at fair value as a requirement of the Form 5500. The fair value of the synthetic GICs represents the total fair value of the underlying assets plus the wrap value, which is calculated by using the discounted cash flows of the difference between the current wrap fee and the market indication wrap fee.

7. Tax Considerations

The Plan is exempt from federal, state and local income taxes. The Plan obtained its latest favorable determination letter on October 27, 2016, in which the Internal Revenue Service stated that the Plan, as then designed, was in compliance with the applicable requirements of the IRC. The Plan has been amended since receiving the determination letter. However, the Plan Administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the IRC.

The federal, state and local income tax treatments of distributions from the Plan depend upon when they are made and their form. The withdrawal of the principal amount of a participant's after-tax contribution is not, however, subject to tax. For tax years beginning after December 31, 1986, the Tax Reform Act of 1986 requires that an additional tax of 10% be applied to participant withdrawals from the Plan prior to death, disability, attainment of age 59-1/2 or under certain other limited circumstances. In the case of withdrawal by a participant employed by FirstEnergy prior to the attainment of age 59-1/2, the excess of the value of the withdrawal over the total amount of the participant's after-tax contributions, is taxable at ordinary income tax rates. The value of FirstEnergy's common stock withdrawn is considered to be its fair value on the date it is withdrawn.

In the case of a distribution that qualifies as a lump-sum distribution upon a participant's termination of employment with FirstEnergy or after attaining the age of 59-1/2, only the excess of the value of the lump sum distribution over the amount of the participant's after-tax contributions to the Plan (less withdrawals) is taxable at ordinary income tax rates. In determining the value of the lump-sum distribution, the FirstEnergy common stock distributed in-kind or in cash is measured at fair value on the date it is withdrawn.

The Plan is subject to audits by taxing jurisdictions. GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more than likely would not be sustained upon examination by taxing authorities. The Plan Administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2019 and 2018, there are no uncertain positions taken or expected to be taken that would require recognition of a liability or disclosure in the financial statements.

8. Party-In-Interest Transactions

Certain Plan investments are shares of mutual funds managed by State Street Global Advisors (through March 2019). State Street, a related company, is the trustee as defined by the Plan and, therefore, these transactions qualify as party-in-interest transactions.

Certain Plan investments are shares of mutual funds managed by Fidelity Investments (beginning April 2019). Fidelity, a related company, is the trustee as defined by the Plan and, therefore, these transactions qualify as party-in-interest transactions.

PIMCO is the fund manager of the Capital Preservation Fund and invests in certain investments managed by PIMCO and therefore, these transactions qualify as party-in-interest transactions.

FirstEnergy Corp. Savings Plan

Notes to Financial Statements

Certain Plan investments are shares of FirstEnergy common stock with sales and purchases of the stock commencing on an as-needed basis. The investments and transactions qualify as party-in-interest transactions.

Additionally, the Plan uses the services of other fund managers that are paid directly by the Plan.

All of the above transactions are exempt from the prohibited transaction rules of ERISA.

9. Reconciliation of Financial Statements to Form 5500

The following is a reconciliation of net assets available for benefits, investment income and interest income on notes receivable per the financial statements to Form 5500 as of December 31, 2019 and 2018, and for the year ended December 31, 2019:

	<u>2019</u>	<u>2018</u>
Net assets available for benefits per the financial statements	\$ 4,267,254,719	\$ 3,727,569,011
Adjustment from contract value to fair value for fully-benefit responsive investment contracts	<u>4,343,215</u>	<u>(8,797,622)</u>
Net assets available for benefits per Form 5500	<u><u>\$ 4,271,597,934</u></u>	<u><u>\$ 3,718,771,389</u></u>
Total investment income and interest income on notes receivable from participants per the financial statements	\$ 825,948,065	
Adjustment from contract value to fair value for fully-benefit responsive investment contracts	<u>13,140,837</u>	
Investment income per Form 5500	<u><u>\$ 839,088,902</u></u>	

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2019
#34-1843785 Plan 002

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value	Cost (\$)	Current Value (\$)
*	FIRSTENERGY COMMON STOCK FUND	FirstEnergy common stock	**	\$ 530,406,742
*	FIDELITY PURITAN FUND	Balanced fund	**	149,691,585
	ACWI EX US - M	International stocks	**	24,650,550
	DFA US SMALL CAP VALUE PORTFOLIO	Small cap value stocks	**	88,649,452
	PIMCO REAL RETURN FUND INSTITUTIONAL CLASS	Bonds	**	29,560,570
	AMERICAN FUNDS EUROPACIFIC GROWTH FUND	International stocks	**	219,127,472
	BLACKROCK LIFEPATH INDEX RETIREMENT FUND L	Blend of stocks, fixed income	**	199,423,086
	BLACKROCK LIFEPATH INDEX 2020 FUND L	Blend of stocks, fixed income	**	1,569
	BLACKROCK LIFEPATH INDEX 2025 FUND L	Blend of stocks, fixed income	**	167,085,365
	BLACKROCK LIFEPATH INDEX 2030 FUND L	Blend of stocks, fixed income	**	119,047,195
	BLACKROCK LIFEPATH INDEX 2035 FUND L	Blend of stocks, fixed income	**	70,388,539
	BLACKROCK LIFEPATH INDEX 2040 FUND L	Blend of stocks, fixed income	**	48,546,154
	BLACKROCK LIFEPATH INDEX 2045 FUND L	Blend of stocks, fixed income	**	53,541,569
	BLACKROCK LIFEPATH INDEX 2050 FUND L	Blend of stocks, fixed income	**	65,530,166
	BLACKROCK LIFEPATH INDEX 2055 FUND L	Blend of stocks, fixed income	**	50,042,387
	BLACKROCK LIFEPATH INDEX 2060 FUND L	Blend of stocks, fixed income	**	15,746,275
	BLACKROCK LIFEPATH INDEX 2065 FUND L	Blend of stocks, fixed income	**	259,609
	DODGE & COX INTERNATIONAL FUND	International stocks	**	37,268,719
	SELF MANAGED BROKERAGE ACCOUNTS	Equities, fixed income	**	154,243,335
	US DEBT INDEX FUND M MUTUAL FUND	Bonds	**	29,817,379
	SMALL CAP GROWTH EQUITY	Small cap growth stocks	**	12,699,886
	MARKET COMPLETION INDEX	Blend of large, mid cap and small cap stocks	**	121,308,065
	MID CAP VALUE - I	Mid cap value stocks	**	124,073,894
	EQUITY INDEX - M	Large cap stocks	**	856,408,456
*	CAPITAL PRESERVATION FUND			
	STATE STREET STIF	Money market fund	**	76,336,361
	ABBVIE INC SR UNSEC	CORPORATE - 3.20%, 2026	**	207,845
	ABU DHABI GOVT	GOVT- NON US - 2.50%, 2022	**	2,236,224
	AIA GROUP LTD SR UNSEC 144A	CASH EQUIVALENT - 2.43%, 2021	**	2,202,793
	AIG GLOBAL FUNDING SEC 144A	CASH EQUIVALENT - 2.41%, 2021	**	1,205,011
	AMERICAN EXPRESS	CASH EQUIVALENT - 2.65%, 2020	**	401,365
	APPLE INC SR UNSEC	CORPORATE - 1.70%, 2022	**	1,804,204
	AT&T INC SR UNSEC	CORPORATE - 3.20%, 2022	**	1,029,488
	AT&T INC SR UNSEC	CORPORATE - 4.10%, 2028	**	2,119,256
	AVIATION CAPITAL GROUP SR UNSEC 144A	CORPORATE - 2.61%, 2021	**	1,904,873
	BANK 2018-BN12 ASB	MORTGAGE - 4.17%, 2061	**	1,317,730
	BANK 2019-BN21 ASB	MORTGAGE - 2.81%, 2052	**	1,535,753

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BANK OF AMERICA CORP SR UNSEC		CORPORATE - 3.00%, 2023	**	3,576,872
BANQUE FED CRED MUTUEL SR UNSEC 144A		CORPORATE - 2.93%, 2023	**	1,831,214
BARCLAYS PLC SR UNSEC		CORPORATE - 3.20%, 2021	**	714,609
BAT CAPITAL CORP SR UNSEC		CORPORATE - 3.22%, 2024	**	205,947
BAT INTL FINANCE PLC 144A		CORPORATE - 3.25%, 2022	**	1,125,979
BMARK 2019-B14 ASB		MORTGAGE - 2.96%, 2062	**	1,447,335
BNP PARIBAS 144A		CORPORATE - 2.82%, 2025	**	1,825,635
CANADIAN IMPERIAL BANK SR UNSEC SOFR		CORPORATE - 2.34%, 2023	**	1,707,174
CASH COLLATERAL FUT SAL USD		CASH EQUIVALENT - 1.45%, 2060	**	43,911
CASH COLLATERAL LCH FOB USD		CASH EQUIVALENT - 1.45%, 2060	**	51,233
CGCMT 2013-GC11 AAB		MORTGAGE - 2.69%, 2046	**	521,491
CGCMT 2015-GC29 AAB WM24 WC4.173211878		MORTGAGE - 2.98%, 2048	**	1,530,238
COMM 2013-CR11 ASB WM23 WC5.205219817		MORTGAGE - 3.66%, 2050	**	1,165,648
COMM 2014-CR19 ASB WM14		MORTGAGE - 3.50%, 2047	**	1,745,293
COMM 2014-UBS6 ASB WM14		MORTGAGE - 3.39%, 2047	**	1,846,467
COMM 2015-CR23 A3 WM15		MORTGAGE - 3.23%, 2048	**	1,803,069
COMM 2015-CR23 ASB WM15		MORTGAGE - 3.26%, 2048	**	1,235,229
COMM 2015-DC1 ASB WM15		MORTGAGE - 3.14%, 2048	**	1,683,383
COMM 2015-LC19 ASB		MORTGAGE - 3.04%, 2048	**	1,328,745
COMM 2015-LC21 ASB		MORTGAGE - 3.42%, 2048	**	2,274,298
COMM 2019-GC44 ASB		MORTGAGE - 2.87%, 2057	**	1,535,403
COOPERATIEVE RABOBANK UA SR UNSEC 144A		CORPORATE - 2.81%, 2023	**	2,011,153
CRED SUIS GP FUN LTD SR UNSEC		CORPORATE - 3.80%, 2023	**	367,077
CREDIT SUISSE NEW YORK SR UNSEC		CORPORATE - 3.00%, 2021	**	2,045,959
CSAIL 2015-C1 ASB WM15		MORTGAGE - 3.35%, 2050	**	1,081,645
CSAIL 2017-CX9 ASB		MORTGAGE - 3.26%, 2050	**	1,039,270
DAIMLER FINANCE NA LLC SR UNSEC 144A		CASH EQUIVALENT - 3.10%, 2020	**	1,508,792
DAIMLER FINANCE NA LLC SR UNSEC 144A		CASH EQUIVALENT - 2.20%, 2020	**	1,603,705
DEUTSCHE BANK NY SR UNSEC		CORPORATE - 4.25%, 2021	**	1,860,168
DEXIA CREDIT LOCAL		CASH EQUIVALENT - 2.25%, 2020	**	2,813,120
DISCOVER FINANCIAL SVS SR UNSEC		CORPORATE - 3.20%, 2021	**	3,072,780
EL PASO PIPELINE PART OP SR UNSEC		CORPORATE - 4.30%, 2024	**	1,609,616
ENBRIDGE INC SR UNSEC		CORPORATE - 2.90%, 2022	**	615,542
ENBRIDGE INC SR UNSEC		CORPORATE - 2.59%, 2020	**	2,104,888
EUROPEAN INVESTMENT BANK SR UNSEC		CORPORATE - 2.00%, 2021	**	2,769,939
FED HOME LN BK BD		CORPORATE - 3.38%, 2023	**	2,659,417
FED HOME LN BK GLBL BD		CORPORATE - 1.50%, 2024	**	3,285,405
FED HOME LN MTGE GLBL NT		CASH EQUIVALENT - 1.63%, 2020	**	3,006,381

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	FHA 221D4 P4/02 GREY 54 NCP	MORTGAGE - 7.43%, 2023	**	117
	FHLMC GOLD 30YR #Q3-1614	MORTGAGE - 3.00%, 2045	**	2,096,401
	FHLMC GOLD 30YR #Q3-3509	MORTGAGE - 3.00%, 2045	**	542,144
	FHLMC GOLD 30YR GNT #G0-8687	MORTGAGE - 3.50%, 2046	**	5,344,039
	FHLMC GOLD 30YR GNT #G0-8693	MORTGAGE - 3.50%, 2046	**	137,957
	FHLMC GOLD 30YR GNT #G0-8823	MORTGAGE - 3.50%, 2048	**	4,115,966
	FHLMC GOLD 30YR GNT #G0-8841	MORTGAGE - 3.50%, 2048	**	1,140,251
	FHLMC GOLD 30YR GNT #G0-8857	MORTGAGE - 4.00%, 2049	**	4,723,315
	FHMS K065 A1 WM27 WC4.3550	MORTGAGE - 2.86%, 2026	**	1,352,641
	FHMS K070 A1	MORTGAGE - 3.03%, 2027	**	3,919,417
	FHR 2666 OD PAC WM23 WC5.84	MORTGAGE - 5.50%, 2023	**	260,611
	FHR 2739 PG PAC WM33 WC5.552	MORTGAGE - 5.00%, 2034	**	588,511
	FHR 2950 FN 1MLIB+45	CASH EQUIVALENT - 2.19%, 2035	**	142,735
	FHR 3185 GT SEQ WM26 WC6.43	MORTGAGE - 6.00%, 2026	**	116,322
	FHR 3225 HF 1MLIB+51BP	CASH EQUIVALENT - 2.25%, 2036	**	184,951
	FHR 3311 FN 1MLIB+30BP	CASH EQUIVALENT - 2.04%, 2037	**	61,154
	FHR 3318 FL 1MLIB+40	CASH EQUIVALENT - 2.14%, 2037	**	176,176
	FHR 3339 FL 1MLIB+58	CASH EQUIVALENT - 2.32%, 2037	**	128,193
	FHR 3355 BF 1MLIB+70	CASH EQUIVALENT - 2.44%, 2037	**	214,326
	FHR 3368 AF 1MLIB+72	CASH EQUIVALENT - 2.46%, 2037	**	29,219
	FHR 3666 FC 1MLIB+73	CASH EQUIVALENT - 2.47%, 2040	**	87,785
	FHR 3699 FD VA 1MLIB+60	CASH EQUIVALENT - 2.34%, 2040	**	265,251
	FHR 3740 DF 1MLIB+48	CASH EQUIVALENT - 2.22%, 2040	**	487,718
	FHR 3743 FA 1MLIB+60	CASH EQUIVALENT - 2.34%, 2040	**	740,071
	FHR 3747 WF VA 1MLIB+48	CASH EQUIVALENT - 2.22%, 2040	**	699,068
	FHR 3751 FG 1MLIB+50	CASH EQUIVALENT - 2.24%, 2050	**	90,888
	FHR 3843 FE 1MLIB+55BP	CASH EQUIVALENT - 2.29%, 2041	**	910,137
	FHR 3843 FG 1MLIB+55BP	CASH EQUIVALENT - 2.29%, 2041	**	910,137
	FHR 3977 FB 1MLIB+52BP	CASH EQUIVALENT - 2.26%, 2041	**	1,087,407
	FHR 3984 DF 1M LIBOR +55BP	CASH EQUIVALENT - 2.29%, 2042	**	1,294,161
	FHR 4400 FA 1MLIB + 40 BP	CASH EQUIVALENT - 2.14%, 2041	**	648,732
	FHR 4736 CD WM39 WC4.9483	MORTGAGE - 3.00%, 2046	**	1,079,898
	FIN FUT EURO\$ CME (WHT)	FUTURE - 0.00%, 2020	**	(1,500)
	FL ST BRD OF ADMIN	MUNICIPALS - 2.64%, 2021	**	1,120,823
	FN ARM AL7090 H15T1Y+221.8 9.471	CASH EQUIVALENT - 4.44%, 2037	**	536,211
	FNA 2017-M8 A1 WM27 WC4.598	MORTGAGE - 2.65%, 2027	**	1,470,815
	FNMA GLBL NT	CORPORATE - 1.63%, 2024	**	5,686,188
	FNMA GLBL NT	CORPORATE - 2.38%, 2023	**	2,262,316
	FNMA NT	CASH EQUIVALENT - 1.50%, 2020	**	8,320,198

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	FNMA PASS THRU 20YR #254916	CASH EQUIVALENT - 5.50%, 2023	**	91,050
	FNMA PASS THRU 20YR #255075	CASH EQUIVALENT - 5.50%, 2024	**	103,019
	FNMA PASS THRU 20YR #AL6722	CASH EQUIVALENT - 5.00%, 2030	**	1,470,906
	FNMA PASS THRU 30YR #848210	CASH EQUIVALENT - 5.50%, 2035	**	191,036
	FNMA PASS THRU 30YR #884863	MORTGAGE - 5.50%, 2036	**	140,424
	FNMA PASS THRU 30YR #890365	CASH EQUIVALENT - 5.50%, 2041	**	168,204
	FNMA PASS THRU 30YR #938504	CASH EQUIVALENT - 5.50%, 2037	**	70,400
	FNMA PASS THRU 30YR #BK8819	MORTGAGE - 4.00%, 2048	**	3,570,407
	FNMA PASS THRU 30YR #BK8893	MORTGAGE - 4.00%, 2048	**	5,593,790
	FNMA PASS THRU 30YR #BN3956	MORTGAGE - 4.00%, 2049	**	6,716,605
	FNMA PASS THRU 30YR #MA3833	MORTGAGE - 2.50%, 2049	**	11,488,139
	FNMA PASS THRU 30YR #MA3871	MORTGAGE - 3.00%, 2049	**	14,895,312
	FNMA PASS THRU 30YR #SD8001	MORTGAGE - 3.50%, 2049	**	26,695,288
	FNMA PASS THRU 30YR #ZT1951	MORTGAGE - 3.50%, 2049	**	13,625,440
	FNMA TBA 2.5% JAN 30YR	MORTGAGE - 2.50%, 2050	**	(9,449)
	FNMA TBA 3.0% FEB 15YR	MORTGAGE - 3.00%, 2035	**	3,809
	FNMA TBA 3.0% FEB 30YR	MORTGAGE - 3.00%, 2050	**	17,093
	FNMA TBA 3.0% JAN 15YR	MORTGAGE - 3.00%, 2035	**	6,242
	FNMA TBA 3.0% JAN 30YR	MORTGAGE - 3.00%, 2050	**	(2,264)
	FNMA TBA 3.5% FEB 15YR	MORTGAGE - 3.50%, 2035	**	2,523
	FNMA TBA 3.5% JAN 15YR	MORTGAGE - 3.50%, 2035	**	1,039
	FNR 2005-13 FA 1MLIB+45	CASH EQUIVALENT - 2.24%, 2035	**	433,066
	FNR 2005-29 JB WM33 WC7.0709	MORTGAGE - 4.50%, 2035	**	356
	FNR 2005-68 PC WM34 WC6.55	CASH EQUIVALENT - 5.50%, 2035	**	626
	FNR 2006-129 FM 1MLIB+25	CASH EQUIVALENT - 2.04%, 2037	**	57,141
	FNR 2007-109 GF 1MLIB+68	CASH EQUIVALENT - 2.47%, 2037	**	492,045
	FNR 2007-25 FB 1MLIB+33BP	CASH EQUIVALENT - 2.12%, 2037	**	30,966
	FNR 2007-27 FA 1MLIB+31BP	CASH EQUIVALENT - 2.10%, 2037	**	18,413
	FNR 2007-38 FC 1MLIB+42	CASH EQUIVALENT - 2.21%, 2037	**	116,806
	FNR 2007-70 FA 1MLIB+35BP	CASH EQUIVALENT - 2.14%, 2037	**	89,861
	FNR 2008-12 FA 1MLIB+67BP FRN	CASH EQUIVALENT - 2.46%, 2038	**	740,897
	FNR 2010-111 FC 1MLIB+52	CASH EQUIVALENT - 2.31%, 2040	**	103,162
	FNR 2010-115 FM 1MLIB+50	CASH EQUIVALENT - 2.29%, 2040	**	739,041
	FNR 2010-117 FE 1MLIB+40BP	CASH EQUIVALENT - 2.19%, 2040	**	64,662
	FNR 2010-135 LF 1MLIB+45	CASH EQUIVALENT - 2.24%, 2040	**	334,028
	FNR 2010-2 GF 1MLIB+55BP	CASH EQUIVALENT - 2.34%, 2049	**	166,747
	FNR 2015-79 FE 1MLIB+25BP	CASH EQUIVALENT - 2.04%, 2045	**	1,540,753
	FNR 2015-87 BF 1MLIB+30BP	CASH EQUIVALENT - 2.09%, 2045	**	969,957
	FNR 2016-11 CF 1MLIB+35BP	CASH EQUIVALENT - 2.14%, 2046	**	1,770,111

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	FNR 2016-40 AF 1MLIB+45BP	CASH EQUIVALENT - 2.14%, 2046	**	1,685,368
	FNR 2016-64 KF WM38 WC3.3381	CASH EQUIVALENT - 2.16%, 2046	**	1,665,877
	FNR 2016-82 FM 1MLIB+40BP	CASH EQUIVALENT - 2.09%, 2046	**	2,270,566
	FNR 2016-87 AF 1MLIB+40BP	CASH EQUIVALENT - 2.09%, 2046	**	2,527,630
	FNR 2019-25 FA 1MLIB+45BP	CASH EQUIVALENT - 2.24%, 2049	**	1,110,380
	GE CAPITAL INTL FUNDING SR UNSEC	CORPORATE - 2.34%, 2020	**	3,952,018
	GENERAL MOTORS FINL CO SR UNSEC	CORPORATE - 3.55%, 2021	**	613,988
	GNMA I TBA 4.0% JAN 30YR	MORTGAGE - 4.00%, 2050	**	(19,453)
	GNMA II MULTPL SGL 30YR #MA3105M	MORTGAGE - 3.50%, 2045	**	4,472,425
	GNMA II TBA 4.0% FEB 30YR JMBO	MORTGAGE - 4.00%, 2050	**	1,181
	GNMA II TBA 4.0% JAN 30YR JMBO	MORTGAGE - 4.00%, 2050	**	(14,109)
	GNR 2019-23 FL 1MLIB+45BP	CASH EQUIVALENT - 2.21%, 2049	**	8,400,365
	GOLDMAN SACHS GROUP INC SR UNSEC	CORPORATE - 3.69%, 2028	**	4,339,328
	GOLDMAN SACHS GROUP INC SR UNSEC	CORPORATE - 4.00%, 2024	**	965,675
	GSMS 2015-GC32 A3 WM15	MORTGAGE - 3.50%, 2048	**	950,684
	GSMS 2019-GSA1 AAB	MORTGAGE - 2.99%, 2052	**	2,054,996
	HARLEY-DAVIDSON FINL SER 144A SR UNSEC	CORPORATE - 2.85%, 2021	**	1,813,084
	HSBC HOLDINGS PLC SR UNSEC	CORPORATE - 3.60%, 2023	**	1,359,478
	HSBC HOLDINGS PLC SR UNSEC	CORPORATE - 3.95%, 2024	**	2,110,158
	IMPERIAL BRANDS FIN PLC SR UNSEC 144A	CORPORATE - 3.13%, 2024	**	1,221,493
	IMPERIAL BRANDS FINANCE	CORPORATE - 3.50%, 2023	**	824,869
	IND & COMM BK CHINA/NY SR UNSEC	CASH EQUIVALENT - 2.65%, 2020	**	1,706,855
	ING GROEP NV SR UNSEC	CORPORATE - 4.10%, 2023	**	1,389,849
	IRS USD 2.00000 06/20/18-5Y LCH	INTEREST RATE SWAP - 2.00%, 2023	**	(12,372)
	JACKSON NATL LIFE GLOBAL SEC 144A	CORPORATE - 3.30%, 2021	**	1,532,035
	JPMBB 2013-C17 ASB WM23 WC4.9082	MORTGAGE - 3.71%, 2047	**	1,165,505
	JPMBB 2014-C22 ASB WM24 WC4.580566404	MORTGAGE - 3.50%, 2047	**	1,650,724
	JPMBB 2015-C27 ASB	MORTGAGE - 3.02%, 2048	**	1,634,673
	JPMORGAN CHASE & CO SR UNSEC	CORPORATE - 3.56%, 2024	**	941,416
	JPMORGAN CHASE & CO SR UNSEC	CORPORATE - 3.78%, 2028	**	3,044,218
	JPMORGAN CHASE & CO UNSEC	CORPORATE - 3.90%, 2025	**	1,853,027
	JPN BANK FOR INT'L COOP	CASH EQUIVALENT - 2.13%, 2020	**	3,118,650
	KINDER MORGAN ENER PART	CORPORATE - 3.95%, 2022	**	2,177,283
	KOREA EXPRESSWAY CORP SR UNSEC 144A	CORPORATE - 3.63%, 2021	**	721,200
	KRAFT HEINZ FOODS CO	CORPORATE - 2.80%, 2020	**	159,387
	LLOYDS BANKING GROUP PLC SR UNSEC	CORPORATE - 3.57%, 2028	**	1,572,711
	LLOYDS BANKING GROUP PLC SR UNSEC	CORPORATE - 2.91%, 2023	**	1,933,780
	LOS ANGELES CO REDEV	MUNICIPALS - 2.00%, 2023	**	1,897,904

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	MBALT 2019-AA2	CASH EQUIVALENT - 3.01%, 2021	**	1,295,907
	METLIFE INC SNR S* ICE	CREDIT DEFAULT SWAP - 1.00%, 2024	**	63,504
	MITSUBISHI UFJ FIN GRP SR UNSEC	CORPORATE - 2.62%, 2022	**	2,040,193
	MIZUHO FINANCIAL GROUP SR UNSEC	CORPORATE - 3.92%, 2024	**	3,489,156
	MSBAM 2013-C13 ASB WM23 WC4.922172434	MORTGAGE - 3.56%, 2046	**	927,275
	MSBAM 2014-C16 A4 WM23 C4.78111	MORTGAGE - 3.60%, 2047	**	2,143,946
	MSBAM 2014-C18 A2 WM14	CASH EQUIVALENT - 3.19%, 2047	**	105,721
	MSBAM 2015-C20 ASB WM24 WC4.486757058	MORTGAGE - 3.07%, 2048	**	1,226,746
	NA DEVELOPMENT BANK GLBL SR UNSECURED	CASH EQUIVALENT - 4.38%, 2020	**	4,855,100
	NEW YORK LIFE GLOBAL FDG SEC 144A	CASH EQUIVALENT - 2.23%, 2022	**	2,009,315
	NEWMONT CORP SR UNSEC	CORPORATE - 3.63%, 2021	**	714,490
	NISSAN MOTOR ACCEPTANCE SR UNSEC 144A	CORPORATE - 2.15%, 2020	**	400,395
	NISSAN MOTOR ACCEPTANCE SR UNSEC 144A	CORPORATE - 2.25%, 2020	**	2,010,650
	NYC TRANS FIN AUTH-B2	MUNICIPALS - 3.25%, 2023	**	1,877,435
	OVERSEA-CHINESE BANKING SR UNSEC 144A	CASH EQUIVALENT - 2.35%, 2021	**	802,707
	PENSKE TRUCK LEASING/PTL SR UNSEC 144A	CORPORATE - 3.05%, 2020	**	1,612,097
	PRIVATE EXPORT FUNDING SR UNSEC 144A	CORPORATE - 2.65%, 2021	**	2,437,169
	PRUDENTIAL FINANCIAL INC SNR S* ICE	CREDIT DEFAULT SWAP - 1.00%, 2021	**	20,908
	RABOBANK NEDERLAND UTREC	CORPORATE - 3.88%, 2022	**	1,574,471
	REYNOLDS AMERICAN INC SR UNSEC	CORPORATE - 4.45%, 2025	**	215,772
	ROYAL BK SCOTLND GRP PLC SR UNSEC	CORPORATE - 3.88%, 2023	**	1,054,661
	SAN JOSE REDEV-A-T	MUNICIPALS - 3.13%, 2028	**	834,899
	SANTANDER HOLDINGS USA SR UNSEC	CORPORATE - 4.50%, 2025	**	1,199,859
	SANTANDER UK GROUP HLDGS SR UNSEC	CORPORATE - 2.88%, 2021	**	1,524,688
	SANTANDER UK PLC SR UNSEC	CORPORATE - 2.88%, 2024	**	1,023,975
	SAUDI INTERNATIONAL BOND	GOVT- NON US - 2.88%, 2023	**	3,072,431
	SINOPEC GRP DEV 2018 SR UNSEC 144A	CORPORATE - 2.50%, 2024	**	502,810
	SLCLT 2005-1 A3 3MLIB+10BP	CASH EQUIVALENT - 2.01%, 2025	**	113,918
	SLCLT 2005-2 A3 3MLIB+11BP	CASH EQUIVALENT - 2.00%, 2027	**	411,621
	SLCLT 2006-1 A5 3MLIB+11BP	CASH EQUIVALENT - 2.00%, 2027	**	581,206
	SLCLT 2006-2 A5 3MLIB+10BP	CASH EQUIVALENT - 1.99%, 2026	**	396,158
	SLMA 2004-3 A5 3MLIB+17	CASH EQUIVALENT - 2.11%, 2023	**	37,472
	SLMA 2005-3 A5	CASH EQUIVALENT - 2.03%, 2024	**	125,097
	SLMA 2005-4 A3	CASH EQUIVALENT - 2.06%, 2027	**	746,644

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	SLMA 2005-7 A4 3MLIB+15BP	CASH EQUIVALENT - 2.09%, 2029	**	681,308
	SLMA 2005-8 A4 3MLIB	CASH EQUIVALENT - 2.49%, 2028	**	68,081
	SLMA 2006-6 A3 3MLIB+11BP	CASH EQUIVALENT - 2.05%, 2025	**	14,253
	SLMA 2007-1 A5 3MLIB+9	CASH EQUIVALENT - 2.03%, 2026	**	385,043
	STATE OF QATAR SR UNSEC 144A	GOVT- NON US - 3.88%, 2023	**	1,273,134
	STIF FUND (USD) (FIDELITY)	CASH EQUIVALENT - 1.78%, 2030	**	509,386
	SUMITOMO MITSUI FINL GRP SR UNSEC	CORPORATE - 2.44%, 2021	**	3,031,993
	SUMITOMO MITSUI FINL GRP SR UNSEC	CORPORATE - 2.45%, 2024	**	1,006,481
	SUNTORY HOLDINGS LTD SR UNSEC 144A	CORPORATE - 2.25%, 2024	**	1,695,635
	TECH DATA C	CORPORATE - 3.70%, 2022	**	720,751
	TENN VALLEY AUTHORITY SR UNSEC	CASH EQUIVALENT - 2.25%, 2020	**	502,349
	U S TREASURY BOND	US TREASURY - 2.88%, 2049	**	2,104,059
	U S TREASURY NOTE	CASH EQUIVALENT - 2.00%, 2020	**	67,304
	U S TREASURY NOTE	CASH EQUIVALENT - 2.00%, 2020	**	1,941,770
	U S TREASURY NOTE	US TREASURY - 1.75%, 2021	**	13,383,916
	U S TREASURY NOTE	US TREASURY - 1.50%, 2021	**	13,807,932
	U S TREASURY NOTE	US TREASURY - 2.13%, 2022	**	6,131,359
	U S TREASURY NOTE	US TREASURY - 1.63%, 2021	**	2,002,702
	U S TREASURY NOTE	US TREASURY - 1.63%, 2029	**	2,543,537
	U S TREASURY NOTE	US TREASURY - 2.13%, 2021	**	12,863,214
	U S TREASURY REPO	CASH EQUIVALENT - 1.55%, 2020	**	3,300,072
	UBS GROUP FUNDING SWITZE SR UNSEC 144A	CORPORATE - 2.86%, 2023	**	3,577,041
	UBSBB 2013-C5 AAB WM22 WC4.120420506	MORTGAGE - 2.69%, 2046	**	769,446
	UBSCM 2017-C7 ASB	MORTGAGE - 3.59%, 2050	**	1,688,215
	UNIV OF PITTSBURGH PA	MUNICIPALS - 2.32%, 2021	**	3,036,572
	UT ST-BABS-B	MUNICIPALS - 3.54%, 2025	**	2,860,693
	VM CASH CCP ICE FOB USD	CASH EQUIVALENT - 0.01%, 2060	**	69,739
	VM CASH CCP LCH FOB USD	CASH EQUIVALENT - 0.01%, 2060	**	43,963
	VM CASH FUT DOM SAL USD	CASH EQUIVALENT - 1.45%, 2060	**	178,650
	VOLKSWAGEN GROUP AMERICA SR UNSEC 144A	CORPORATE - 3.88%, 2020	**	1,019,099
	WELLS FARGO & COMPANY SR UNSEC	CORPORATE - 3.00%, 2026	**	1,703,528
	WELLS FARGO & COMPANY SR UNSEC	CORPORATE - 3.55%, 2025	**	3,086,643
	WFCM 2015-C26 ASB WM15	MORTGAGE - 2.99%, 2048	**	1,531,853
	WFCM 2015-C29 ASB WM15	MORTGAGE - 3.40%, 2048	**	1,655,981
	WFCM 2015-C30 ASB WM25 WC4.53	MORTGAGE - 3.41%, 2058	**	1,760,251
	WFCM 2016-C35 ASB WM16 WC0.0	MORTGAGE - 2.79%, 2048	**	1,478,708
	WFCM 2017-C42 ASB	MORTGAGE - 3.49%, 2050	**	1,580,226

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(a)	(b)	(c)	(d)	(e)
		Description of investment, including maturity date, rate of interest, collateral, par or maturity value	Cost	Current Value
Identity of issue, borrower, lessor or similar party				
WISCONSIN-A-REF-TXBL		MUNICIPALS - 1.90%, 2022	**	1,910,798
Capital Preservation Fund Total				<u>506,660,261</u>
ARTISAN MIDCAP INVESTOR FUND				
BBH STIF FUND		MONEY MARKET FUND	**	6,918,100
ADVANCED MICRO DEVICES IN		COMMON STOCKS	**	5,969,734
AGILENT TECH INC		COMMON STOCKS	**	2,489,005
ALEXION PHARMACEUTICALS INC		COMMON STOCKS	**	1,992,880
APTIV PLC		COMMON STOCKS	**	3,266,493
ARGENX SE SPONSORED ADR		COMMON STOCKS	**	2,601,708
ARISTA NETWORKS INC		COMMON STOCKS	**	2,914,315
ASCENDIS PHARMA AS SPON A		COMMON STOCKS	**	3,866,423
ATLASSIAN CORP PLC CLS A		COMMON STOCKS	**	7,406,325
BEYOND MEAT INC		COMMON STOCKS	**	408,316
BOSTON SCIENTIFIC CORP		COMMON STOCKS	**	6,493,909
BROADRIDGE FIN SOL		COMMON STOCKS	**	2,376,045
BURLINGTON STORES INC		COMMON STOCKS	**	3,089,350
CANADA GOOSE HLDGS INC		COMMON STOCKS	**	1,523,928
CATALENT INC		COMMON STOCKS	**	3,716,025
CENTENE CORP		COMMON STOCKS	**	2,146,570
CERIDIAN HCM HLDG INC		COMMON STOCKS	**	3,365,151
CHIPOTLE MEXICAN GRILL IN		COMMON STOCKS	**	1,735,329
CINTAS CORP		COMMON STOCKS	**	2,041,241
CMS ENERGY CORP		COMMON STOCKS	**	1,007,577
COGNEX CORP		COMMON STOCKS	**	2,728,924
CREE INC		COMMON STOCKS	**	1,909,779
DEXCOM INC		COMMON STOCKS	**	4,888,620
EDWARDS LIFESCIENCES CORP		COMMON STOCKS	**	2,171,930
EXACT SCIENCES CORP		COMMON STOCKS	**	3,808,234
FIDELITY NATL INFORM SVCS		COMMON STOCKS	**	7,260,776
FIRST REPUBLIC BANK		COMMON STOCKS	**	1,940,744
FIRST SOLAR INC		COMMON STOCKS	**	2,201,131
FORTIVE CORP		COMMON STOCKS	**	3,563,670
GENMAB AS SP ADR		COMMON STOCKS	**	4,138,843
GLOBAL PAYMENTS INC		COMMON STOCKS	**	12,454,791
GUIDEWIRE SOFTWARE INC		COMMON STOCKS	**	6,009,688

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Identity of issue, borrower, lessor or similar party				
HASBRO INC		COMMON STOCKS	**	2,540,871
HUBSPOT INC		COMMON STOCKS	**	3,412,822
IHS MARKIT LTD		COMMON STOCKS	**	7,014,633
INGERSOLL RAND INC		COMMON STOCKS	**	2,420,073
L3HARRIS TECHNOLOGIES INC		COMMON STOCKS	**	6,287,715
LULULEMON ATHLETICA INC		COMMON STOCKS	**	2,231,909
MASCO CORPORATION		COMMON STOCKS	**	2,122,166
MOTOROLA SOLUTIONS INC		COMMON STOCKS	**	4,622,301
MSCI INC		COMMON STOCKS	**	1,596,069
NEW YORK TIMES CO CL A		COMMON STOCKS	**	3,057,372
OLLIES BARGAIN OUTLET HLD		COMMON STOCKS	**	1,960,998
PAGSEGURO DIGITAL LTD CL		COMMON STOCKS	**	2,461,877
PROGRESSIVE CORP OHIO		COMMON STOCKS	**	1,239,389
ROPER TECH INC		COMMON STOCKS	**	4,467,903
SAGE THERAPEUTICS INC		COMMON STOCKS	**	648,772
SAREPTA THERAPEUTICS INC		COMMON STOCKS	**	1,243,171
SKYWORKS SOLUTIONS INC		COMMON STOCKS	**	2,667,942
SPOTIFY TECH SA		COMMON STOCKS	**	1,515,839
TAKE-TWO INTERACTV SOFTWR		COMMON STOCKS	**	2,109,959
TRADE DESK INC		COMMON STOCKS	**	1,317,864
TRADEWEB MARKETS INC A		COMMON STOCKS	**	797,591
TRANSUNION		COMMON STOCKS	**	3,557,695
TRIMBLE INC		COMMON STOCKS	**	2,867,188
TRIP.COM GROUP LTD ADR		COMMON STOCKS	**	1,353,540
VEEVA SYS INC CL A		COMMON STOCKS	**	6,103,097
VERISK ANALYTICS INC		COMMON STOCKS	**	808,079
WAYFAIR INC		COMMON STOCKS	**	1,073,324
WELLCARE HEALTH PLANS INC		COMMON STOCKS	**	1,796,342
WEST PHARMACEUTICAL SVCS		COMMON STOCKS	**	3,363,634
ZOOM VIDEO COMM INC CL A		COMMON STOCKS	**	3,094,663
ZSCALER INC		COMMON STOCKS	**	1,961,184
ZYNGA INC		COMMON STOCKS	**	4,405,530
Artisan Midcap Investor Fund Total				204,527,066
METWEST TOTAL RETURN FUND				
BBH STIF FUND		MONEY MARKET FUND	**	506,667
ABBVIE INC		CORPORATE DEBT - 4.25%, 2049	**	636,728
ABBVIE INC		CORPORATE DEBT - 4.40%, 2042	**	215,833

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Identity of issue, borrower, lessor or similar party		Description of investment, including maturity date, rate of interest, collateral, par or maturity value	Cost	Current Value
	ABBVIE INC	CORPORATE DEBT - 4.45%, 2046	**	236,014
	ACE SEC CORP HOME EQUITY LN TR	ASSET BACKED SECURITIES - Variable , 2034	**	882,733
	ACTAVIS FUNDING SCS	CORPORATE DEBT - 3.80%, 2025	**	209,985
	ACTAVIS FUNDING SCS	CORPORATE DEBT - 4.55%, 2035	**	190,890
	AERCAP IRELAND CAP LTD	CORPORATE DEBT - 4.50%, 2021	**	356,017
	AERCAP IRELAND CAP LTD	CORPORATE DEBT - 3.95%, 2022	**	573,242
	AHM 2004-4 4A 6ML+200	MTGE BACKED SECURITIES - Variable , 2045	**	308,906
	AIR LEASE CORP	CORPORATE DEBT - 4.75%, 2020	**	406,619
	AJAX MORTGAGE LOAN TRUST 2019-D AI	ASSET BACKED SECURITIES - 2.96%, 2065	**	1,150,477
	ALIMENTATION COUCHE-TARD INC	CORPORATE DEBT - 3.55%, 2027	**	488,039
	AMERICA WEST	ASSET BACKED SECURITIES - Variable , 2022	**	300,564
	AMERICAN AIRLS 2013-1A	ASSET BACKED SECURITIES - 4.00%, 2027	**	244,001
	AMERICAN CAMPUS CMNTYS OP PRTNRSH	CORPORATE DEBT - 3.35%, 2040	**	403,308
	AMGEN INC	CORPORATE DEBT - 4.40%, 2045	**	342,381
	ANHEUSER-BUSCH COS LLC	CORPORATE DEBT - 4.70%, 2036	**	158,009
	ANHEUSER-BUSCH COS LLC	CORPORATE DEBT - 4.90%, 2046	**	141,917
	AT&T INC	CORPORATE DEBT - 4.30%, 2030	**	277,742
	AT&T INC	CORPORATE DEBT - 4.30%, 2042	**	256,409
	AT&T INC	CORPORATE DEBT - 4.75%, 2046	**	146,698
	AT&T INC	CORPORATE DEBT - 4.80%, 2044	**	244,622
	AT&T INC	CORPORATE DEBT - 4.85%, 2039	**	138,080
	AT&T INC	CORPORATE DEBT - 5.25%, 2037	**	786,839
	BACARDI LTD	CORPORATE DEBT - 4.70%, 2028	**	240,033
	BAMLL COMMERCIAL MORTGAGE	MTGE BACKED SECURITIES - Variable , 2038	**	354,424
	BANK NEW YORK MELLON CORP	CORPORATE DEBT - 2.26%, 2023	**	608,973
	BANK OF AMERICA CORP	CORPORATE DEBT - 2.74%, 2022	**	604,475
	BANK OF AMERICA CORP	CORPORATE DEBT - 3.00%, 2023	**	498,736
	BANK OF AMERICA CORP	CORPORATE DEBT - 4.27%, 2029	**	666,050
	BAT CAPITAL CORP	CORPORATE DEBT - 4.39%, 2037	**	252,632
	BAT CAPITAL CORP	CORPORATE DEBT - 4.54%, 2047	**	496,380
	BAYER US FIN II LLC	CORPORATE DEBT - 4.38%, 2028	**	774,022
	BAYER US FIN II LLC	CORPORATE DEBT - 4.63%, 2038	**	341,591
	BAYER US FIN II LLC	CORPORATE DEBT - 4.88%, 2048	**	571,550
	BCAP LLC TR 2008-IND2 1ML+I65	MTGE BACKED SECURITIES - Variable , 2038	**	211,754
	BEAR STEARNS ARM TR CSTR	MTGE BACKED SECURITIES - Variable , 2033	**	406,486

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Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value	Cost	Current Value	
BECTON DICKINSON & CO	CORPORATE DEBT - Variable , 2020	**	225,111	
BROADCOM CORP	CORPORATE DEBT - 3.00%, 2022	**	745,590	
BX TR 2019-OCII	MTGE BACKED SECURITIES - 3.20%, 2041	**	133,709	
CALI MTG TR 2019-101C	MTGE BACKED SECURITIES - 3.96%, 2039	**	251,111	
CAMPBELL SOUP CO FRN	CORPORATE DEBT - Variable , 2020	**	350,104	
CANADIAN NATL RESOURCES LTD	CORPORATE DEBT - 3.85%, 2027	**	78,858	
CHARTER COMM OPER LLC	CORPORATE DEBT - 4.91%, 2025	**	220,151	
CHARTER COMM OPER LLC	CORPORATE DEBT - 3.75%, 2028	**	170,949	
CHARTER COMM OPER LLC	CORPORATE DEBT - 5.75%, 2048	**	116,467	
CIGNA CORP	CORPORATE DEBT - 3.05%, 2027	**	359,598	
CIGNA CORP	CORPORATE DEBT - 4.13%, 2025	**	542,047	
CITIGROUP INC	CORPORATE DEBT - Variable , 2023	**	408,141	
CITIGROUP MORTGAGE LOAN TRUST 2015-6 2AI	MTGE BACKED SECURITIES - Variable , 2035	**	157,941	
CLMT 2016-CL NE A CSTR 144A	MTGE BACKED SECURITIES - Variable , 2031	**	283,951	
COLLEGIATE FDG SVCS ED LN TR	ASSET BACKED SECURITIES - Variable , 2035	**	1,058,150	
COLT FUNDING LLC 2019-4 AI	MTGE BACKED SECURITIES - 2.58%, 2049	**	1,299,703	
COMM 2013-300P MTG TR	MTGE BACKED SECURITIES - 3.55%, 2036	**	216,998	
COMM 2016-787S A CSTR	MTGE BACKED SECURITIES - 4.35%, 2030	**	225,370	
CONTL AIR INC	CORPORATE DEBT - 5.98%, 2023	**	95,299	
COX COMMUNICATIONS INC	CORPORATE DEBT - 3.15%, 2024	**	359,609	
CPT MORTGAGE TR 2019	MTGE BACKED SECURITIES - 2.87%, 2039	**	246,096	
CSMC 2018-RPL9 TR	MTGE BACKED SECURITIES - 3.85%, 2057	**	868,032	
CURRENCY CONTRACT - USD	FORWARD CURRENCY CONTRACT	**	1,356,459	
CURRENCY CONTRACT - USD	FORWARD CURRENCY CONTRACT	**	(1,335,858)	
CURRENCY CONTRACT - USD	FORWARD CURRENCY CONTRACT	**	1,312,637	
CURRENCY CONTRACT - USD	FORWARD CURRENCY CONTRACT	**	(1,289,284)	
CURRENCY CONTRACT - USD	FORWARD CURRENCY CONTRACT	**	1,345,140	
CURRENCY CONTRACT - USD	FORWARD CURRENCY CONTRACT	**	(1,336,312)	
CVS HEALTH CORP	CORPORATE DEBT - 5.05%, 2048	**	915,648	
CVS HEALTH CORP	CORPORATE DEBT - 5.13%, 2045	**	337,148	
CYRUSONE LP	CORPORATE DEBT - 2.90%, 2024	**	542,074	
DAIMLER FIN NORTH AMER LLC	CORPORATE DEBT - 2.20%, 2021	**	269,418	

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Identity of issue, borrower, lessor or similar party		Description of investment, including maturity date, rate of interest, collateral, par or maturity value	Cost	Current Value
	DAIMLER FIN NORTH AMER LLC	CORPORATE DEBT - 2.00%, 2021	**	400,541
	DC OFFICE TRUST 2019	MTGE BACKED SECURITIES - 2.97%, 2045	**	268,671
	DEEPHAVEN RESIDENTIAL MTG TR AI 2019	MTGE BACKED SECURITIES - 2.96%, 2059	**	1,076,868
	DRYDEN 71 CLO LTD	ASSET BACKED SECURITIES - Variable , 2029	**	434,784
	DUKE ENERGY CAROLINAS LLC	CORPORATE DEBT - 3.75%, 2045	**	752,949
	DUKE ENERGY PROGRESS LLC	CORPORATE DEBT - 4.20%, 2045	**	567,758
	DUQUESNE LT HLD	CORPORATE DEBT - 5.90%, 2021	**	850,085
	ENERGY TRANSFER PARTNERS MLP	CORPORATE DEBT - 5.15%, 2045	**	367,512
	EQT CORP	CORPORATE DEBT - 3.90%, 2027	**	374,134
	FARMERS EXCHANGE CAP III	CORPORATE DEBT - Variable , 2054	**	829,054
	FEDERAL HOME LN MTG MLT CTF GT STEP	MTGE BACKED SECURITIES - Variable , 2053	**	659,164
	FEDERAL HOME LN MTG MLT CTF GT K-1511 A2	MTGE BACKED SECURITIES - 3.47%, 2031	**	1,181,165
	FEDERAL NAT MTG ASN GTD REM PA	MTGE BACKED SECURITIES - 4.00%, 2047	**	503,002
	FEDERAL NAT MTG ASN GTD REM PA 1ML+50	ASSET BACKED SECURITIES - Variable , 2050	**	1,373,917
	FEDERAL NATL MTG AS GTD RE PTT PA	MTGE BACKED SECURITIES - 3.50%, 2047	**	913,694
	DERAL NATL MTG AS GTD RE PTT CT	MTGE BACKED SECURITIES - 3.00%, 2048	**	829,291
	FEDERAL NATL MTG AS GTD RE PTT 2018-55 P	MTGE BACKED SECURITIES - 3.50%, 2047	**	205,095
	FEDERAL NATL MTG AS GTD RE PTT	MTGE BACKED SECURITIES - 3.00%, 2049	**	473,221
	FHLG 15YR	MTGE BACKED SECURITIES - 2.50%, 2032	**	220,765
	FHLG 15YR	MTGE BACKED SECURITIES - 2.50%, 2032	**	337,560
	FHLG 15YR	MTGE BACKED SECURITIES - 2.50%, 2031	**	247,040
	FHLG 15YR	MTGE BACKED SECURITIES - 3.00%, 2032	**	132,987
	FHLG 15YR	MTGE BACKED SECURITIES - 3.00%, 2032	**	271,855
	FHLG 15YR	MTGE BACKED SECURITIES - 3.00%, 2033	**	70,203
	FHLG 15YR	MTGE BACKED SECURITIES - 3.50%, 2034	**	683,487
	FHLG 15YR	MTGE BACKED SECURITIES - 3.50%, 2033	**	803,374

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	FHLG 15YR	MTGE BACKED SECURITIES - 3.50%, 2033	**	246,792
	FHLG 20YR	MTGE BACKED SECURITIES - 3.00%, 2038	**	433,882
	FHLG 30YR	MTGE BACKED SECURITIES - 3.00%, 2048	**	280,726
	FHLG 30YR	MTGE BACKED SECURITIES - 3.00%, 2017	**	1,537,933
	FHLG 30YR	MTGE BACKED SECURITIES - 3.00%, 2046	**	65,023
	FHLG 30YR	MTGE BACKED SECURITIES - 3.00%, 2046	**	1,168,430
	FHLG 30YR	MTGE BACKED SECURITIES - 3.00%, 2046	**	641,238
	FHLG 30YR	MTGE BACKED SECURITIES - 3.00%, 2046	**	761,810
	FHLG 30YR	MTGE BACKED SECURITIES - 3.00%, 2046	**	862,578
	FHLG 30YR	MTGE BACKED SECURITIES - 3.00%, 2046	**	295,001
	FHLG 30YR	MTGE BACKED SECURITIES - 3.00%, 2047	**	280,261
	FHLG 30YR	MTGE BACKED SECURITIES - 3.50%, 2048	**	868,128
	FHLG 30YR	MTGE BACKED SECURITIES - 3.50%, 2048	**	1,725,630
	FHLG 30YR	MTGE BACKED SECURITIES - 3.50%, 2048	**	2,261,553
	FHLG 30YR	MTGE BACKED SECURITIES - 3.50%, 2048	**	811,010
	FHLG 30YR	MTGE BACKED SECURITIES - 3.50%, 2048	**	1,903,578
	FHLG 30YR	MTGE BACKED SECURITIES - 3.50%, 2045	**	1,359,793
	FHLG 30YR	MTGE BACKED SECURITIES - 3.50%, 2047	**	1,571,678
	FHLG 30YR	MTGE BACKED SECURITIES - 3.50%, 2048	**	164,379
	FHLG 30YR	MTGE BACKED SECURITIES - 3.50%, 2046	**	911,602
	FHLG 30YR	MTGE BACKED SECURITIES - 3.50%, 2046	**	1,099,578
	FHLG 30YR	MTGE BACKED SECURITIES - 3.50%, 2048	**	309,861
	FHLG 30YR	MTGE BACKED SECURITIES - 3.50%, 2047	**	125,086

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Identity of issue, borrower, lessor or similar party			Cost	Current Value
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2046	**	402,365
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2047	**	561,912
FHLG 30YR		MTGE BACKED SECURITIES - 3.50%, 2047	**	191,718
FHLG 30YR		MTGE BACKED SECURITIES - 4.00%, 2045	**	689,599
FHLG 30YR		MTGE BACKED SECURITIES - 4.00%, 2049	**	960,764
FHLG 30YR		MTGE BACKED SECURITIES - 4.00%, 2048	**	1,824,473
FHLG 30YR		MTGE BACKED SECURITIES - 4.00%, 2047	**	196,444
FHLG 30YR		MTGE BACKED SECURITIES - 4.00%, 2048	**	146,167
FHLG 30YR		MTGE BACKED SECURITIES - 4.00%, 2045	**	1,137,620
FHLG 30YR		MTGE BACKED SECURITIES - 5.00%, 2048	**	219,655
FHLG 30YR		MTGE BACKED SECURITIES - 5.00%, 2048	**	416,863
FHLG 30YR		MTGE BACKED SECURITIES - 5.00%, 2048	**	65,353
FNMA 14YR		MTGE BACKED SECURITIES - 3.17%, 2031	**	492,376
FNMA 15YR		MTGE BACKED SECURITIES - 2.50%, 2035	**	4,448,378
FNMA 15YR		MTGE BACKED SECURITIES - 2.50%, 2034	**	2,565,310
FNMA 15YR		MTGE BACKED SECURITIES - 3.00%, 2032	**	255,465
FNMA 30YR		MTGE BACKED SECURITIES - 2.85%, 2022	**	360,365
FNMA 30YR		MTGE BACKED SECURITIES - 3.00%, 2047	**	319,374
FNMA 30YR		MTGE BACKED SECURITIES - 3.00%, 2049	**	1,928,105
FNMA 30YR		MTGE BACKED SECURITIES - 3.00%, 2049	**	1,330,067
FNMA 30YR		MTGE BACKED SECURITIES - 3.00%, 2046	**	1,207,909
FNMA 30YR		MTGE BACKED SECURITIES - 3.12%, 2027	**	435,680
FNMA 30YR		MTGE BACKED SECURITIES - 3.21%, 2037	**	588,858

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FNMA 30YR	MTGE BACKED SECURITIES - 3.29%, 2032		**	347,556
FNMA 30YR	MTGE BACKED SECURITIES - 3.32%, 2027		**	480,468
FNMA 30YR	MTGE BACKED SECURITIES - 3.39%, 2029		**	334,272
FNMA 30YR	MTGE BACKED SECURITIES - 3.45%, 2034		**	461,531
FNMA 30YR	MTGE BACKED SECURITIES - 3.50%, 2048		**	40,346
FNMA 30YR	MTGE BACKED SECURITIES - 3.50%, 2048		**	935,050
FNMA 30YR	MTGE BACKED SECURITIES - 3.50%, 2047		**	347,817
FNMA 30YR	MTGE BACKED SECURITIES - 3.50%, 2045		**	660,773
FNMA 30YR	MTGE BACKED SECURITIES - 3.58%, 2020		**	6,680
FNMA 30YR	MTGE BACKED SECURITIES - 3.99%, 2033		**	1,208,766
FNMA 30YR	MTGE BACKED SECURITIES - 4.00%, 2047		**	422,345
FNMA 30YR	MTGE BACKED SECURITIES - 4.00%, 2047		**	345,882
FNMA 30YR	MTGE BACKED SECURITIES - 4.00%, 2047		**	839,170
FNMA 30YR	MTGE BACKED SECURITIES - 4.00%, 2043		**	306,261
FNMA 30YR	MTGE BACKED SECURITIES - 4.50%, 2047		**	3,141,504
FORD MTR CR CO LLC	CORPORATE DEBT - 2.43%, 2020		**	324,387
FORD MTR CR CO LLC	CORPORATE DEBT - 2.43%, 2020		**	139,914
FORD MTR CR CO LLC	CORPORATE DEBT - 3.81%, 2021		**	162,920
FORD MTR CR CO LLC	CORPORATE DEBT - 5.60%, 2022		**	684,761
FORD MTR CR CO LLC	COMMERCIAL PAPER		**	758,230
FORD MTR CR CO LLC	CORPORATE DEBT - Variable , 2022		**	59,560
FORD MTR CR CO LLC	CORPORATE DEBT - Variable , 2021		**	189,114
FORD MTR CR CO LLC	CORPORATE DEBT - Variable , 2021		**	356,911
FORD MTR CR LLC	CORPORATE DEBT - 5.75%, 2021		**	1,171,446
FORD MTR CR LLC	CORPORATE DEBT - 5.88%, 2021		**	130,833
FREDDIE MAC REMICS 2018-4846 PA	MTGE BACKED SECURITIES - 4.00%, 2047		**	498,719
GE CAP CORP	CORPORATE DEBT - 5.88%, 2038		**	67,752
GE CAP INTL FDG CO 144A	CORPORATE DEBT - 4.42%, 2035		**	1,751,556
GE CAP INTL FDG CO	CORPORATE DEBT - 2.34%, 2020		**	1,326,000

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(a)	(b)	(c)	(d)	(e)
Identity of issue, borrower, lessor or similar party		Description of investment, including maturity date, rate of interest, collateral, par or maturity value	Cost	Current Value
	GE CAP MTN	CORPORATE DEBT - 6.75%, 2032	**	256,539
	GEN ELEC CAP	CORPORATE DEBT - 4.63%, 2021	**	414,022
	GEN MTRS FINL CO INC	CORPORATE DEBT - 4.20%, 2021	**	404,270
	GLP CAP LP / GLP FING II	CORPORATE DEBT - 5.38%, 2026	**	276,350
	GLP CAP LP / GLP FING II	CORPORATE DEBT - 5.30%, 2029	**	671,974
	GLP CAP LP / GLP FING II	CORPORATE DEBT - 4.00%, 2030	**	199,037
	GNII 30YR	MTGE BACKED SECURITIES - 3.00%, 2049	**	1,325,550
	GNII 30YR	MTGE BACKED SECURITIES - 3.50%, 2049	**	214,896
	GNII II	MTGE BACKED SECURITIES - 3.00%, 2050	**	3,852,038
	GNII II	MTGE BACKED SECURITIES - 3.00%, 2047	**	1,073,757
	GNII II	MTGE BACKED SECURITIES - 3.00%, 2047	**	1,024,921
	GNII II	MTGE BACKED SECURITIES - 3.00%, 2046	**	936,815
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2048	**	1,380,150
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2046	**	466,257
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2046	**	507,236
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2047	**	857,102
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2046	**	127,311
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2046	**	480,972
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2047	**	283,271
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2046	**	207,208
	GNII II	MTGE BACKED SECURITIES - 3.50%, 2047	**	2,102,425
	GNII II	MTGE BACKED SECURITIES - 4.00%, 2048	**	838,494
	GNII II	MTGE BACKED SECURITIES - 4.00%, 2048	**	742,043
	GNII II	MTGE BACKED SECURITIES - 4.00%, 2048	**	420,309
	GNII II	MTGE BACKED SECURITIES - 4.00%, 2047	**	412,907

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GNII II	MTGE BACKED SECURITIES - 4.00%, 2047		**	137,919
GNII II	MTGE BACKED SECURITIES - 4.50%, 2047		**	1,000,937
GNII II	MTGE BACKED SECURITIES - 4.50%, 2048		**	630,984
GNII II	MTGE BACKED SECURITIES - 5.00%, 2047		**	158,626
GNII II	MTGE BACKED SECURITIES - 5.00%, 2046		**	473,324
GNII II	MTGE BACKED SECURITIES - 5.00%, 2044		**	352,805
GOLDENTREE LOAN OPPORTUNITIES IX LTD 3ML	ASSET BACKED SECURITIES - Variable , 2029		**	549,764
GOLDMAN SACHS GROUP INC	CORPORATE DEBT - Variable , 2025		**	367,200
GOVERNMENT NAT MTG AS REMIC PT	MTGE BACKED SECURITIES - 2.63%, 2039		**	1,164,105
GOVERNMENT NATL MTG ASN MLFY R	MTGE BACKED SECURITIES - 3.50%, 2048		**	387,507
GS MTG BACKED SECS TR 2018-RPLI AIA	MTGE BACKED SECURITIES - 3.75%, 2057		**	899,721
GSAA 05-6 MI	ASSET BACKED SECURITIES - Variable , 2035		**	993,123
GSINC	CORPORATE DEBT - 5.25%, 2021		**	288,540
GSMS 2012-ALOH	MTGE BACKED SECURITIES - 3.55%, 2034		**	189,138
HCA INC	CORPORATE DEBT - 5.13%, 2039		**	253,832
HCA INC	CORPORATE DEBT - 5.25%, 2049		**	390,131
HCP INC	CORPORATE DEBT - 4.20%, 2024		**	828,122
HEALTHCARE REALTY TRUST INC	CORPORATE DEBT - 3.75%, 2023		**	206,394
HEALTHCARE REALTY TRUST INC	CORPORATE DEBT - 3.88%, 2025		**	236,590
HIGHER EDUCATION FUNDING I	ASSET BACKED SECURITIES - Variable , 2034		**	1,002,248
HUDSON YDS 2019-30HY MTG	MTGE BACKED SECURITIES - 3.23%, 2029		**	227,665
HUDSON YDS 2019-55HY MTG	MTGE BACKED SECURITIES - Variable , 2041		**	269,424
HUMANA INC	CORPORATE DEBT - 3.95%, 2040		**	391,701
HVMLT 2005-9 2A1A	MTGE BACKED SECURITIES - Variable , 2035		**	620,424
IHS MARKIT LTD	CORPORATE DEBT - 4.75%, 2028		**	361,974
INDIANA MICHIGAN POWER CO	CORPORATE DEBT - 4.55%, 2020		**	349,936
INTERNATIONA TR	CORPORATE DEBT - 4.63%, 2043		**	688,565

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Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value		Cost	Current Value
INTL FLAVS & FRAG INC	CORPORATE DEBT - 5.00%, 2049		**	356,581
GOVERNMENT OF JAPAN	FOREIGN OBLIGATIONS - 0.00%, 2020		**	1,334,649
GOVERNMENT OF JAPAN	FOREIGN OBLIGATIONS - 0.00%, 2020		**	1,334,597
GOVERNMENT OF JAPAN	FOREIGN OBLIGATIONS - 0.00%, 2020		**	1,288,540
JP MORGAN CHASE COML MTG SECS TR 2013-CI	MTGE BACKED SECURITIES - 3.40%, 2039		**	224,862
JP MORGAN CM SECURITIES TRUST 2016- NINE	MTGE BACKED SECURITIES - Variable , 2038		**	223,343
JPMORGAN CHASE & CO	CORPORATE DEBT - 4.23%, 2024		**	580,866
KINDER MORGAN INC DEL	CORPORATE DEBT - 5.50%, 2045		**	179,310
KRAFT FOODS GROUP INC	CORPORATE DEBT - 5.00%, 2042		**	341,087
KRAFT HEINZ FOODS CO	CORPORATE DEBT - 4.37%, 2046		**	805,981
KRAFT HEINZ FOODS CO	CORPORATE DEBT - 4.87%, 2049		**	489,343
KRAFT HEINZ FOODS CO	CORPORATE DEBT - 5.20%, 2045		**	81,226
KROGER CO	CORPORATE DEBT - 5.40%, 2049		**	152,251
LA CA USD	MUNICIPAL BONDS - 5.98%, 2027		**	636,479
LCM XIII LP ARR 144A	ASSET BACKED SECURITIES - Variable , 2027		**	648,090
LCM XIX LTD PARTNERSHIP/LLC	ASSET BACKED SECURITIES - Variable , 2027		**	324,972
LEVEL 3 FINANCING INC	CORPORATE DEBT - 3.40%, 2027		**	402,812
LLOYDS BANKING GROUP PLC	CORPORATE DEBT - 2.86%, 2023		**	563,052
LLOYDS BANKING GROUP PLC	CORPORATE DEBT - 2.91%, 2023		**	404,866
MADISON PK FDG XXX LTD	ASSET BACKED SECURITIES - Variable , 2029		**	272,034
MET WEST:FLT RT INC	MUTUAL FUNDS		**	4,008,223
MET WEST:HIGH YLD BD	MUTUAL FUNDS		**	3,382,818
MHL 05-2 1A1	MTGE BACKED SECURITIES - Variable , 2035		**	237,117
MLCC 2004-A A1	MTGE BACKED SECURITIES - Variable , 2029		**	342,719
MORGAN STANLEY CAPITAL I INC	ASSET BACKED SECURITIES - Variable , 2036		**	967,211
MORGAN STANLEY RESECURITIZATION TR 2015	MTGE BACKED SECURITIES - Variable , 2046		**	312,192
MORTGAGEIT TR 2005-5 AI STEP	MTGE BACKED SECURITIES - Variable , 2035		**	705,718
NATIONWIDE MUTUAL INSURANCE CO	CORPORATE DEBT - Variable , 2024		**	399,463
NAVIENT STUDENT LN TR 2015-2	ASSET BACKED SECURITIES - Variable , 2040		**	1,133,757

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Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value		Cost	Current Value
NEW YORK ST DORM AUTH ST PERS IT REV BUI	MUNICIPAL BONDS - 5.29%, 2033		**	606,950
NOBLE ENERGY INC 5.25 11/	CORPORATE DEBT - 5.25%, 2043		**	84,286
NSTR 2006-B AV4 1ML+28 9/	ASSET BACKED SECURITIES - Variable , 2036		**	420,794
NYU HOSPS CTR 4.784 07/01	CORPORATE DEBT - 4.78%, 2044		**	591,671
OCTAGON INVT PARTNERS 25	ASSET BACKED SECURITIES - Variable , 2026		**	578,865
ONE BRYANT PK TR 2019-OBP	MTGE BACKED SECURITIES - 2.52%, 2054		**	326,139
PARK AEROSPACE HLDGS LTD	CORPORATE DEBT - 5.25%, 2022		**	229,061
PARK AEROSPACE HLDGS LTD	CORPORATE DEBT - 5.50%, 2024		**	208,603
PARK AEROSPACE HLDGS LTD	CORPORATE DEBT - 4.50%, 2023		**	104,700
PEMEX PROJ FDG MASTER TR	CORPORATE DEBT - 6.63%, 2035		**	112,530
PETROLEOS MEXICANOS	CORPORATE DEBT - 6.50%, 2029		**	273,894
PETROLEOS MEXICANOS	CORPORATE DEBT - 6.75%, 2047		**	595,163
PETROLEOS MEXICANOS	CORPORATE DEBT - 7.69%, 2050		**	312,277
PHEAA STUDENT LOAN TRUST 2015-1	ASSET BACKED SECURITIES - Variable , 2041		**	565,775
PLAINS ALL AMER PIPELINE	CORPORATE DEBT - 4.65%, 2025		**	374,650
POTOMAC ELECTRIC POWER CO	CORPORATE DEBT - 3.60%, 2024		**	526,517
RAMP SER 2006 EFC2 TR	ASSET BACKED SECURITIES - Variable , 2036		**	1,371,859
RAYMOND JAMES FIN INC	CORPORATE DEBT - 4.95%, 2046		**	268,136
RBSCF 2013-GSP A CSTR	MTGE BACKED SECURITIES - Variable , 2032		**	219,055
REYNOLDS AMERICAN INC	CORPORATE DEBT - 5.85%, 2045		**	309,068
ROCKIES EXPRESS PIPELINE LLC	CORPORATE DEBT - 4.95%, 2029		**	298,724
SABINE PASS LIQUEFACTION	CORPORATE DEBT - 5.75%, 2024		**	363,438
SANTANDER UK GROUP HLDGS	CORPORATE DEBT - 2.88%, 2021		**	353,261
SANTANDER UK GROUP HLDGS	CORPORATE DEBT - Variable , 2024		**	368,643
SEQUOIA MTG TR	MTGE BACKED SECURITIES - Variable , 2034		**	652,727
SL GREEN OPER PARTNERSHIP	CORPORATE DEBT - 3.25%, 2022		**	256,034
SLC STUDENT LN TR 2008-1	ASSET BACKED SECURITIES - Variable , 2032		**	1,240,204
SLM STUDENT LN TR 2008	ASSET BACKED SECURITIES - Variable , 2083		**	385,889
SLM STUDENT LN TR 2008 5	ASSET BACKED SECURITIES - Variable , 2073		**	392,924
SLM STUDENT LN TR 2008-2	ASSET BACKED SECURITIES - Variable , 2083		**	185,700

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SLM STUDENT LN TR 2008-4	ASSET BACKED SECURITIES - Variable , 2073		**	238,771
SLM STUDENT LN TR 2008-8	ASSET BACKED SECURITIES - Variable , 2075		**	227,673
SLM STUDENT LN TR 2012-1	ASSET BACKED SECURITIES - Variable , 2028		**	441,402
SLM STUDENT LN TR 2012-7	ASSET BACKED SECURITIES - Variable , 2026		**	445,356
SLMA 2007-7 A4	ASSET BACKED SECURITIES - Variable , 2022		**	443,121
SLMA 2008-6 A4	ASSET BACKED SECURITIES - Variable , 2023		**	341,040
SLMA 2008-9 A	ASSET BACKED SECURITIES - Variable , 2023		**	758,925
SPECTRA ENERGY PARTNERS LP	CORPORATE DEBT - 3.50%, 2025		**	209,151
SPRINT SPEC	CORPORATE DEBT - 3.36%, 2023		**	425,945
SPRINT SPECTRUM CO LLC	CORPORATE DEBT - 4.74%, 2029		**	492,426
STRUCTURED ASSET MTG INVS II INC CSTR	MTGE BACKED SECURITIES - Variable , 2034		**	341,617
SUNOCO LOGISTICS PRTNR OP	CORPORATE DEBT - 5.40%, 2047		**	271,089
SWAP COLLATERAL USD	CASH COLLATERAL		**	50,634
TC PIPELINES MLP	CORPORATE DEBT - 4.38%, 2025		**	585,154
TCW EMERGING MKT INCM LP	MUTUAL FUNDS		**	1,988,169
TCW IRS 1YR/2YR	INTEREST RATE SWAPS (IRS)		**	11,902,957
TCW IRS 1YR/5YR	INTEREST RATE SWAPS (IRS)		**	5,564,225
TCW IRS 1YR/5YR	INTEREST RATE SWAPS (IRS)		**	4,692,536
TCW IRS 1YR/5YR	INTEREST RATE SWAPS (IRS)		**	2,180,500
TIME WARNER CABLE INC	CORPORATE DEBT - 5.50%, 2041		**	222,878
TOWD PT MTG TR 2017-5 A1	MTGE BACKED SECURITIES - Variable , 2057		**	576,048
TPMT 17-1 A1	MTGE BACKED SECURITIES - 2.75%, 2056		**	730,441
TPMT 2016-3	MTGE BACKED SECURITIES - 2.25%, 2056		**	656,654
UMBS 30YR	MTGE BACKED SECURITIES - 2.50%, 2050		**	1,309,698
UMBS 30YR	MTGE BACKED SECURITIES - 3.00%, 2050		**	1,140,829
UMBS 30YR	MTGE BACKED SECURITIES - 5.00%, 2050		**	213,813
UNITED HEALTH GROUP INC 4	CORPORATE DEBT - 4.75%, 2045		**	460,414
UNITED STATES TREASURY BO	GOVERNMENT OBLIGATIONS - 2.38%, 2049		**	9,631,522
US 2YR NOTE (CBT) FUT MAR	FUTURES		**	14,007,500

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US 5YR NOTE (CBT) FUT MAR	FUTURES	**	27,280,156	
US TREASURY BILLS	GOV'T OBLIGATIONS - 0.00%, 2020	**	232,262	
US TREASURY NOTES	GOV'T OBLIGATIONS - 1.50%, 2024	**	991	
US TREASURY NOTES	GOV'T OBLIGATIONS - 1.50%, 2024	**	24,614,780	
US TREASURY NOTES	GOV'T OBLIGATIONS - 1.75%, 2029	**	3,823,310	
US TREASURY NOTES TII	GOV'T OBLIGATIONS - 0.13%, 2024	**	2,199,466	
US TREASURY NOTES TII	GOV'T OBLIGATIONS - 0.13%, 2024	**	414,338	
US TREASURY NOTES TII	GOV'T OBLIGATIONS - 0.25%, 2029	**	2,945,732	
US TREASURY NOTES TII	GOV'T OBLIGATIONS - 1.00%, 2049	**	3,132,456	
VENTAS RLTY LTD PARTNERSH	CORPORATE DEBT - 3.85%, 2027	**	316,500	
VNDO 2012-6AVE A	MTGE BACKED SECURITIES - 3.00%, 2030	**	244,262	
VODAFONE GROUP PLC	CORPORATE DEBT - 4.88%, 2049	**	826,604	
WALGREENS BOOTS ALLIANCE INC	CORPORATE DEBT - 3.45%, 2026	**	176,885	
WALGREENS BOOTS ALLIANCE INC	CORPORATE DEBT - 4.80%, 2044	**	152,466	
WAMU 05-AR2 2A1A	MTGE BACKED SECURITIES - Variable , 2045	**	449,482	
WAMU 2005-ARI AIA	MTGE BACKED SECURITIES - Variable , 2045	**	429,208	
WAMU MTG PASS THROUGH CTFS	MTGE BACKED SECURITIES - Variable , 2045	**	479,122	
WELLS FARGO & CO NEW 2.40	CORPORATE DEBT - 2.05%, 2025	**	460,000	
WELLS FARGO BK NA SF CA MTN BE	CORPORATE DEBT - Variable , 2022	**	1,647,450	
WELLTOWER INC	CORPORATE DEBT - 3.75%, 2023	**	829,112	
WFCM 2012-LC5 A3	MTGE BACKED SECURITIES - 2.92%, 2045	**	428,688	
WILLIAMS PARTNERS L P NEW	CORPORATE DEBT - 2.92%, 2045	**	166,804	
WRKCO INC	CORPORATE DEBT - 4.90%, 2029	**	159,137	
ZIMMER BIOMET HLDGS INC 3	CORPORATE DEBT - Variable , 2021	**	350,033	
Total Metwest Total Return Fund			298,836,932	
Total Investments			4,177,542,278	
*Notes receivable from participants	Loans receivable with repayment interest of 4.25% to 9.75%	\$ —	61,682,151	
			\$4,239,224,429	

* Parties-in-interest

** Information is not required pursuant to Form 5500 instructions