Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2019

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
ECONOLITE GROUP, INC. 401K PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 11/29/1982

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
ECONOLITE GROUP, INC.

1250 N. TUSTIN AVE.
ANAHEIM, CA 92807

2b Employer Identification Number (EIN)
33-0019158

2c Plan Sponsor's telephone number
714-575-5501

2d Business code (see instructions)
335900

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/09/2020	JOHN W. TRACEY
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a	Plan administrator's name and address [X] Same as Plan Sponsor	**3b**	Administrator's EIN
		3c	Administrator's telephone number
4	If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:	**4b**	EIN
a	Sponsor's name	**4d**	PN
c	Plan Name		

5	Total number of participants at the beginning of the plan year	**5**	399
6	Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1)	Total number of active participants at the beginning of the plan year	**6a(1)**	302
a(2)	Total number of active participants at the end of the plan year	**6a(2)**	310
b	Retired or separated participants receiving benefits	**6b**	0
c	Other retired or separated participants entitled to future benefits	**6c**	75
d	Subtotal. Add lines **6a(2)**, **6b**, and **6c**	**6d**	385
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	0
f	Total. Add lines **6d** and **6e**.	**6f**	385
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	281
h	Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	17
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2E 2F 2G 2J 2T 3D 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)		**9b** Plan benefit arrangement (check all that apply)	
(1) [X]	Insurance	**(1)** [X]	Insurance
(2) [ ]	Code section 412(e)(3) insurance contracts	**(2)** [ ]	Code section 412(e)(3) insurance contracts
(3) [X]	Trust	**(3)** [X]	Trust
(4) [ ]	General assets of the sponsor	**(4)** [ ]	General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [X] 1 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2019 Form M-1 annual report. If the plan was not required to file the 2019 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2019

This Form is Open to Public Inspection

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan
ECONOLITE GROUP, INC. 401K PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
ECONOLITE GROUP, INC.

D Employer Identification Number (EIN)
33-0019158

Part I **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
VOYA RETIREMENT INSURANCE AND ANNUITY COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
71-0294708	86509	ZH9737	385	01/01/2019	12/31/2019

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
52358	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

DOYLE MICHAEL B
137 HACIENDA DR
BELVEDERE TIBURON, CA 94920

(b) Amount of sales and base commissions paid	Fees and other commissions paid		
	(c) Amount	(d) Purpose	(e) Organization code
52358			3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		
	(c) Amount	(d) Purpose	(e) Organization code

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	1956867
5	Current value of plan's interest under this contract in separate accounts at year end	5	23343879

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	6d	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☒ other ▶ GROUP PENSION FUNDING

b	Balance at the end of the previous year			7b	1986884
c	Additions: (1) Contributions deposited during the year	7c(1)	154757		
	(2) Dividends and credits	7c(2)			
	(3) Interest credited during the year	7c(3)	40417		
	(4) Transferred from separate account	7c(4)	388022		
	(5) Other (specify below)	7c(5)	8598		
	▶ *				
	(6) Total additions			7c(6)	591794
d	Total of balance and additions (add lines **7b** and **7c(6)**)			7d	2578678
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	656203		
	(2) Administration charge made by carrier	7e(2)	15477		
	(3) Transferred to separate account	7e(3)			
	(4) Other (specify below)	7e(4)	6964		
	▶ *				
	(5) Total deductions			7e(5)	678644
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			7f	1900034

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

a ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance

e ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug

i ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract

m ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount			**10b**	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2019** **This Form is Open to Public Inspection.**

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan
ECONOLITE GROUP, INC. 401K PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
ECONOLITE GROUP, INC.

D Employer Identification Number (EIN)
33-0019158

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

VOYA RETIREMENT INSURANCE & ANNUITY

71-0294708

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

JENKINS BOWLER, LLP

95-2131722

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
99	SERVICE PROVIDER	13389	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

VOYA RETIREMENT INSURANCE & ANNUITY

71-0294708

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 64	SERVICE PROVIDER	9841	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

MORNINGSTAR INVESTMENT MANGEMENT LL

36-4317381

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26	SERVICE PROVIDER	6241	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

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(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2019

This Form is Open to Public Inspection.

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan
ECONOLITE GROUP, INC. 401K PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
ECONOLITE GROUP, INC.

D Employer Identification Number (EIN)
33-0019158

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: VARIABLE ANNUITY ACCOUNT D

b Name of sponsor of entity listed in (a): VOYA RETIREMENT INSURANCE & ANNUITY CO

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
71-0294708-000	P	23491956

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2019

This Form is Open to Public Inspection

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan
ECONOLITE GROUP, INC. 401K PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
ECONOLITE GROUP, INC.

D Employer Identification Number (EIN)
33-0019158

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)		
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	442447	485190
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	18904922	23491956
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	1986884	1900034
(15) Other	1c(15)		

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)		
	(2) Employer real property	1d(2)		
e	Buildings and other property used in plan operation	1e		
f	Total assets (add all amounts in lines 1a through 1e)	1f	21334253	25877180
	Liabilities			
g	Benefit claims payable	1g		
h	Operating payables	1h		
i	Acquisition indebtedness	1i		
j	Other liabilities	1j		
k	Total liabilities (add all amounts in lines 1g through1j)	1k	0	0
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	21334253	25877180

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	187589	
	(B) Participants	2a(1)(B)	1691860	
	(C) Others (including rollovers)	2a(1)(C)	239359	
	(2) Noncash contributions	2a(2)		
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		2118808
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
	(B) U.S. Government securities	2b(1)(B)		
	(C) Corporate debt instruments	2b(1)(C)		
	(D) Loans (other than to participants)	2b(1)(D)		
	(E) Participant loans	2b(1)(E)	25267	
	(F) Other	2b(1)(F)	40417	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		65684
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
	(B) Common stock	2b(2)(B)		
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)		
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		0
	(3) Rents	2b(3)		
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)		
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		0
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
	(B) Other	2b(5)(B)		
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		4850434
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		
c Other income	2c		144
d Total income. Add all **income** amounts in column (b) and enter total	2d		7035070
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	2461682	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		2461682
f Corrective distributions (see instructions)	2f		990
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)	29471	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		29471
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		2492143
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		4542927
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unmodified **(2)** ☐ Qualified **(3)** ☒ Disclaimer **(4)** ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: JENKINS BOWLER LLP **(2)** EIN: 95-2131722

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. **(2)** ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		1000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ..... ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year_____. (See instructions.)

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2019

This Form is Open to Public Inspection.

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan
ECONOLITE GROUP, INC. 401K PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
ECONOLITE GROUP, INC.

D Employer Identification Number (EIN)
33-0019158

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1**

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 71-0294708

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

20 **PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation_____

Econolite Group, Inc. 401(k) Plan

Financial Statements
Years Ended December 31, 2019 and 2018
(with Independent Auditors' Report Thereon)

Econolite Group, Inc. 401(k) Plan

Financial Statements
December 31, 2019 and 2018
(with Independent Auditors' Report Thereon)

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JENKINS BOWLER LLP
CERTIFIED PUBLIC ACCOUNTANTS

626 SOUTH LAKE AVENUE
PASADENA, CALIFORNIA 91106-3982
(626) 792-2179
FAX (626) 792-2411

INDEPENDENT AUDITORS' REPORT

Administrative Committee of
Econolite Group, Inc. 401(k) Plan
Anaheim, California

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Econolite Group, Inc. 401(k) Plan (the Plan), which comprise the statements of net assets available for benefits as of December 31, 2019 and 2018, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 7, which was certified by Voya Institutional Trust Company, the trustee of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executed investment transactions. The plan administrator has obtained a certification from the trustees as of and for the years ended December 31, 2019 and 2018, that the information provided to the plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental Schedule of Assets Held for Investment Purposes at End of Year is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule referred to above.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee and agent on behalf of the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Jenkins Bowler LLP

CERTIFIED PUBLIC ACCOUNTANTS
Pasadena, California

October 6, 2020

Econolite Group, Inc. 401(k) Plan
Statements of Net Assets Available for Benefits
For the Years Ended December 31, 2019 and 2018

	2019	2018
Assets		
Investments, at fair value		
Mutual funds	$ 23,479,141	$ 18,890,611
Money market fund	12,815	14,311
Total investments, at fair value	23,491,956	18,904,922
Investments, at contract value		
Fully benefit-responsive investment contract	1,900,034	1,986,884
Total investments, at contract value	1,900,034	1,986,884
Total investments	25,391,990	20,891,806
Receivables		
Notes receivable from participants	485,190	442,447
Total receivables	485,190	442,447
Total assets	25,877,180	21,334,253
Total liabilities	-	-
Net assets available for benefits	$ 25,877,180	$ 21,334,253

See independent auditors' report and notes to financial statements.

Econolite Group, Inc. 401(k) Plan
Statements of Changes in Net Assets Available for Benefits
For the Years Ended December 31, 2019 and 2018

	2019	2018
Additions to net assets attributed to:		
Investment income		
Interest and dividends	$ 40,417	$ 20,864
Net appreciation (depreciation) in fair value of investments	4,850,434	(1,306,537)
Total investment income (loss)	4,890,851	(1,285,673)
Interest income on notes receivable from participants	25,267	18,394
Contributions		
Participants - pre-tax deferrals	1,547,897	1,599,387
Participants - roth deferrals	143,963	111,983
Participants - rollovers	239,359	310,435
Employer - matching	187,589	204,336
Total contributions	2,118,808	2,226,141
Other income (loss)	144	(315)
Total additions to net assets	7,035,070	958,547
Deductions from net assets attibuted to:		
Benefits paid to participants	2,461,682	3,609,163
Corrective distributions	990	867
Administrative expenses	29,471	17,042
Total deductions from net assets	2,492,143	3,627,072
Change in net assets available for benefits	4,542,927	(2,668,525)
Net assets available for benefits, beginning of year	21,334,253	24,002,778
Net assets available for benefits, end of year	$ 25,877,180	$ 21,334,253

See independent auditors' report and notes to financial statements.

Note 1 – Description of Plan

The following description of the Econolite Group, Inc. ("the Company") 401(k) Plan ("the Plan") provides only general information. Participants include eligible employees of the Company and certain of its wholly owned subsidiaries, namely, Econolite Control Products, Inc., CAVita LLC, and Econolite Systems, Inc.

Participants should refer to the Plan document for a more complete description of the Plan's provisions.

a. General

The Plan is a defined contribution plan with a 401(k) feature under which eligible employees, as defined in the Plan document, may make contributions through salary reductions and receive discretionary employer match and profit sharing contributions. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

b. Plan Trustee and Recordkeeper

Voya Institutional Trust Company ("Voya") is the trustee of the Plan.

Voya Retirement Insurance and Annuity Company ("VRIAC") is the Plan's recordkeeper, and was appointed by the board of directors of the Company to invest, manage and control the Plan's assets. Among other duties, it is the responsibility of the recordkeeper to pay benefits as directed by the administrative committee and maintain records of the Plan's receipts and disbursements. Voya and VRIAC are parties-in-interest for the years ended December 31, 2019 and 2018, due to the investment of Plan assets in various funds managed by other Voya-related entities.

c. Administrator

As provided in the Plan document, the Company appointed certain members of its senior management to act as Administrators for the Plan.

d. Participation

Employees are eligible to make salary reductions for pre-tax and Roth contributions to the Plan upon reaching the age of 18 years. Employees are eligible to receive discretionary employer matching contributions from the Plan upon reaching the age of 18 years. Employees are eligible to receive employer discretionary profit sharing contributions upon reaching the age of 18 years and completion of one year of service. A year of service is defined as a 12 consecutive-month period during which an employee completes 1,000 hours of service. A participant ceases to participate in the Plan as of the first day on which he/she has a one-year break in service. A one-year break in service is defined as a 12-month period, measured from the employee's hire date or an anniversary thereof, in which an employee has less than 500 hours of service.

Employees are participants in the Plan for the 401(k) deferral and discretionary employer matching contributions on the first day of the month coinciding with or following the eligibility date. A Plan year is defined as a calendar year.

An employee is a participant in the Plan for purposes of profit sharing contributions on the next quarter following the eligibility date.

Note 1 – Description of Plan (Continued)

e. Participant Accounts

Each participant's account is maintained separately within the Plan and is adjusted to reflect the participant's salary reduction contributions, allocations of the Company's profit sharing and employer matching contributions, investment income or loss, forfeitures of terminated participants' nonvested accounts, withdrawals, and administrative expenses. Profit sharing contributions are allocated based on the proportion that each participant's compensation (as defined in the Plan document) for the year bears to the total compensation of all participants for that year. Employer matching contributions are allocated based on a uniform percentage of each participant's salary deferrals. Forfeitures are allocated on the same basis as the employer profit sharing contributions in the year following the determination of the forfeitures. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

f. Contributions

Participants may make pre-tax and Roth deferral contributions each year as defined in the Plan document and subject to certain Internal Revenue Code ("IRC") limitations. Participants who have attained the age of 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also transfer or rollover amounts representing distributions from other qualified defined benefit or contribution plans. Participants direct the investment of their contributions into various investment options offered by the Plan.

The employer may make discretionary profit sharing contributions to the Plan. The maximum amount of employer contributions to a Participant's account is limited to the amount allowable as a deduction for tax purposes. The employer's contributions will be allocated to the participants at the end of a plan year. During the years ended December 31, 2019 and 2018, the Company made no discretionary profit sharing contributions to the Plan.

The employer may make discretionary employer matching contributions to the Plan. The discretionary matching contribution is equal to a uniform percentage, determined semi-annually, of a participant's salary deferrals. For the years ended December 31, 2019 and 2018, the matching contribution was 30% of a participant's salary deferrals, not to exceed 4% of gross pay. The employer's matching contributions were allocated to the participants throughout the plan year. During the year ended December 31, 2019, the Company made $201,390 of employer matching contributions to the Plan. Forfeitures totaling $13,801 were used in 2019 to reduce the employer matching contributions made to the Plan, which resulted in employer matching contributions to the Plan to total $187,589 on Form 5500. During the year ended December 31, 2018, the Company made $338,748 of employer matching contributions to the Plan, which includes the payment of the employer contributions receivable balance of $48,123 as of December 31, 2017. Forfeitures totaling $86,289 were used in 2018 to reduce the employer matching contributions made to the Plan.

g. Investment Options

Investments in the Plan are directed by participants to selected investment funds available under the Plan.

h. Vesting

Participants are immediately vested in their salary reduction contributions plus actual earnings thereon. Participants vest in the Company's discretionary profit sharing contributions plus actual earnings thereon at a rate of 20% per year and are fully vested after five years of service. Participants vest in the Company's discretionary employer matching contributions plus actual earnings thereon at a rate of 25% per full year of service and are fully vested after four years of service.

Note 1 – Description of Plan (Continued)

i. Notes Receivable from Participants

Participants may borrow from their accounts, a minimum of $1,000 and a maximum amount equal to the lesser of $50,000 or 50% of their vested account balance. Loan terms range from one to five years, except for loans to purchase a primary residence, which may be offered over a term up to 30 years. The loans are secured by the balance in the participant's account and bear interest at 1% above the prime rate. The interest rate is fixed for the duration of the loan. Participants may only have one loan outstanding at any given time. Principal and interest is paid ratably through weekly payroll deductions. Loans generally become due and payable immediately upon termination of the participant's employment.

j. Withdrawals

The IRC places certain restrictions on withdrawals in order to retain the special tax-saving benefits associated with the Plan. The Plan allows withdrawals at retirement, disability, and separation of service or upon death, as defined by the Plan. Other withdrawals are available only in cases of immediate and heavy financial hardship subject to IRC regulations.

k. Payment of Benefits

On termination of service due to death, disability, retirement or other reasons, a participant may elect to receive a lump-sum amount equal to the value of the participant's interest in his or her account, an annuity, or annual installments. Participants may also elect to rollover their account to another tax deferred account or remain in the Plan until they are legally required to begin taking distributions. With the plan administrator's approval, participants may withdraw all or part of their aggregate contributions in the event of financial hardship.

l. Forfeitures

Forfeitures result from non-vested participant balances remaining in the Plan for terminated employees. Forfeitures may be used to add to or reduce discretionary employer profit sharing contributions, employer matching contributions, or to pay Plan-related fees.

m. Termination of the Plan

Although the Company has not expressed any intent to do so, the Company has the right at any time to discontinue its contributions, or terminate the Plan subject to the provisions of ERISA. However, in the event of permanent discontinuance of contributions or termination of the Plan, all participants shall become fully vested and the net assets of the Plan will be allocated to the participants in accordance with the terms of the Plan.

Note 2 – Summary of Significant Accounting Principles

A summary of the significant accounting policies applied in the preparation of the accompanying financial statements is as follows:

a. Basis of Presentation

The financial statements of the Plan are prepared in conformity with U.S. generally accepted accounting principles, which requires management to make estimates and assumptions that affect the reported amounts and disclosures in the financial statements. Actual results could differ from those estimates.

Investment contracts held by a defined contribution plan are required to be reported at fair value, except for fully benefit-responsive investment contracts. Contract value is the relevant measure for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants normally would receive if they were to initiate permitted transactions under the terms of the Plan.

Note 2 – Summary of Significant Accounting Principles (Continued)

b. Benefit Claims Payable

The classification of "Benefits paid to participants" in the Statements of Changes in Net Assets Available for Benefits includes only distributions paid in the current year. Amounts allocated to participants who have retired or separated from service or otherwise became eligible to withdraw assets were approximately $2,462,000 and $3,610,000 for the years ended December 31, 2019 and 2018, respectively.

c. Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income generated on notes receivable is recorded when earned and administrative expenses associated with notes receivable are expensed when incurred. Delinquent participant loans are reclassified as distributions based on the terms of the Plan document. Plan management believes that notes receivables from participants are collectible. Therefore, a provision for doubtful accounts has not been recorded as of December 31, 2019 and 2018.

d. Investment Valuation and Income Recognition

The Plan's investments are reported at fair value, except for fully benefit-responsive investment contracts, which are reported at contract value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Administrative Committee determine the Plan's valuation policies utilizing information provided by the investment advisers, custodians, and insurance company. See note 3 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on ex-dividend date. Net appreciation and depreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

The Plan provides for investments in various securities. Investment securities, in general, are exposed to various risks, such as interest rate, credit and overall market volatility risks. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the Statements of Net Assets Available for Benefits.

e. Payment of Benefits

Benefits are recorded when paid.

f. Excess Contributions Payable

Amounts payable to participants for contributions in excess of amounts allowed by the IRS are recorded as a liability with a corresponding reduction to contributions.

g. Expenses

Certain expenses of maintaining the Plan are paid by the Plan, unless otherwise paid by the Company. Expenses that are paid by the Company are excluded from these financial statements. The Company elected to pay all administrative expenses of the Plan. Participants were charged only with special transaction charges. Investment related expense are included in the net appreciation (depreciation) of fair value of investments.

Note 2 – Summary of Significant Accounting Principles (Continued)

h. Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the plan administrator to make estimates and assumptions that affect reported amounts of assets and liabilities and changes therein, and disclosures of contingent assets and liabilities. Actual results could differ from those estimates.

Note 3 – Fair Value Measurements

The Plan's investments are reported at fair value in the accompanying Statements of Net Assets Available for Benefits. The methods used to measure fair value may produce an amount that may not be indicative of net realizable value or reflective of future values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

FASB Accounting Standards Codification ("ASC"), Fair Value Measurements, establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements).

The three levels of fair value hierarchy under FASB ASC, Fair Value Measurements are described as follows:

Level 1 - Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 - Inputs to the valuation methodology are observable other than level 1 prices, such as quoted prices for similar assets and liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities.

Level 3 - Inputs to the valuation measurement are unobservable, supported by little or no market data, and are significant to the fair value of the assets and liabilities.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2019 and 2018.

Money market funds and mutual funds: Valued at the number of shares of the underlying fund multiplied by the closing net asset value (NAV) per share quoted by that fund and are classified within Level 1 of the valuation hierarchy.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

Note 3 – Fair Value Measurements (Continued)

The following table sets forth, by level within the fair value hierarchy, the Plan's investments at fair value as of December 31, 2019:

	2019		
	Quoted market prices in active markets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)
Investments, at fair value			
Registered investment companies			
Money market	$ 12,815	$ -	$ -
Asset allocation	1,558,016	-	-
Fixed income	2,382,757	-	-
Balanced	1,565,863	-	-
Equity securities			
Domestic			
Large cap growth	4,840,113	-	-
Large cap value	5,544,965	-	-
Small/Mid/Specialty	4,754,653	-	-
International	2,832,774	-	-
Total	$ 23,491,956	$ -	$ -

The following table sets forth, by level within the fair value hierarchy, the Plan's investments at fair value as of December 31, 2018:

	2018		
	Quoted market prices in active markets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)
Investments, at fair value			
Registered investment companies			
Money market	$ 14,311	$ -	$ -
Asset allocation	920,496	-	-
Fixed income	1,661,232	-	-
Balanced	1,311,059	-	-
Equity securities			
Domestic			
Large cap growth	4,413,513	-	-
Large cap value	4,327,326	-	-
Small/Mid/Specialty	3,787,028	-	-
International	2,469,957	-	-
Total	$ 18,904,922	$ -	$ -

As of December 31, 2019 and 2018, the Plan had no assets measured at fair value on a non-recurring basis and no liabilities measured at fair value on a recurring or non-recurring basis.

There were no reclassifications in or out of level I, 2 or 3 during the years ended December 31, 2019 and 2018. Investments can be redeemed immediately and without a redemption notification period. As of December 31, 2019 and 2018, there were no unfunded commitments associated with any of these investments.

Note 4 – Fully Benefit-Responsive Investment Contract

The Plan has a benefit-responsive investment contract with VRIAC. VRIAC maintained the contributions in a general account that was credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The contract issuer is contractually obligated to repay the principal and a specified interest rate that is guaranteed to the Plan. The crediting rate is based on a formula established by the contract issuer but may not be less than 1.00%. The crediting rate is reviewed on a quarterly basis for resetting. The contract does not permit the insurance company to terminate the agreement prior to the scheduled maturity date.

This contract meets the fully benefit-responsive investment contract criteria and therefore is reported at contract value. Contract value is the relevant measure for fully benefit-responsive investment contracts because this is the amount received by participants if they were to initiate permitted transactions under the terms of the Plan. Contract value, as reported to the Plan by Voya, represents contributions made under the contract, plus earnings, less participant withdrawals, and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

The Plan's ability to receive amounts due is dependent on the issuer's ability to meet its financial obligations. The issuer's ability to meet its contractual obligations may be affected by future economic and regulatory developments.

Certain events might limit the ability of the Plan to transact at contract value with the issuer. Such events include (1) amendments to the Plan documents (including complete or partial Plan termination or merger with another plan), (2) changes to the Plan's prohibition on competing investment options or deletion of equity wash provisions, (3) bankruptcy of the Plan sponsor or other Plan sponsor events (for example, divestitures or spin-offs of a subsidiary) that cause a significant withdrawal from the Plan, (4) the failure of the trust to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA, or (5) premature termination of the contract. No events are probable of occurring that might limit the ability of the Plan to transact at contract value with the contract issuers and that also would limit the ability of the Plan to transact at contract value with the participants.

In addition, certain events allow the issuer to terminate the contract with the Plan and settle at an amount different from contract value. Such events include (1) an uncured violation of the Plan's investment guidelines, (2) a breach of material obligation under the contract, (3) a material misrepresentation, or (4) a material amendment to the agreement without the consent of the issuer.

Note 5 – Tax Status

The Plan obtained its latest determination letter from the Internal Revenue Service on June 5, 2002, in which the Internal Revenue Service stated that the Plan, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The plan has been amended since receiving the determination letter. However, the plan administrator believes that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code and, therefore, believe that the Plan is qualified, and the related trust is tax-exempt.

U.S. generally accepted accounting principles require plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The plan administrator has considered the tax positions taken by the Plan, and believes that all tax positions are more likely than not to be sustained upon examination.

Note 5 – Tax Status (Continued)

Interest and penalties associated with the Plan's tax positions are considered administrative expenses. No such interest or penalties have been recorded in these financial statements.

The Plan is subject to routine audits by taxing jurisdictions. However, there are currently no audits in progress for any tax periods.

Note 6 – Forfeitures

The unallocated forfeited non-vested accounts amounted to $12,829 and $14,322 at December 31, 2019 and 2018, respectively. These accounts will be used to reduce future employer matching contributions. In 2019, employer matching contributions were reduced by $13,801 from forfeited nonvested accounts. In 2018, employer matching contributions were reduced by $86,289 from forfeited nonvested accounts.

Note 7 – Certified Information

During the year, the Plan Administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, the following data included in the accompanying financial statements has been certified as complete and accurate by Voya as of December 31, 2019 and 2018, and for the years ended December 31, 2019 and 2018:

a. Investments, as shown in the Statements of Net Assets Available for Benefits as of December 31, 2019 and 2018.

b. Investment income, as shown in the Statements of Changes in Net Assets Available for Benefits for the years ended December 31, 2019 and December 31, 2018.

The Plan's independent certified public accountants did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements.

Note 8 – Risk and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the Statements of Net Assets Available for Benefits.

Note 9 – Concentration of Market and Credit Risk

The Plan's exposure to credit loss in the event of nonperformance of investments is limited to the carrying value of such instruments. The Plan's concentration of credit risk and market risk is dictated by the Plan's provisions as well as those of ERISA and the participants' investment preferences. Due to the level of risk associated with certain investments, it is at least reasonably possible that changes in risks in the near term could materially affect participant account balances and the amounts reported in the financial statements.

Note 10 – Party-In-Interest Transactions

Certain Plan investments are shares of registered investment companies managed by the Trustee of the Plan. These transactions qualify as party-in-interest transactions. Fees paid by the Plan for the investment management services are included in net appreciation (depreciation) in fair value of investments.

Note 11 – Reconciliation of Financial Statements to Schedule H of Form 5500

The financial statements are prepared on the accrual basis, in accordance with U.S. generally accepted accounting principles, as of and for the years ended December 31, 2019 and 2018. However, Schedule H of Form 5500 was prepared on the cash basis as of and for the years ended December 31, 2019, and 2018. Therefore, certain amounts reported on the financial statements do not agree with those reported on Schedule H of Form 5500.

The following is a reconciliation of employer discretionary contributions per the financial statements to Schedule H of Form 5500:

	2019	2018
Employer matching contributions	$ 201,390	$ 290,625
Forfeiture applied to employer matching contribution	(13,801)	(86,289)
Employer matching contributions per the financial statements	187,589	204,336
Employer contributions receivable, end of year	-	-
Employer contributions receivable, prior year	-	48,123
Employer matching contributions per Schedule H of Form 5500	$ 187,589	$ 252,459

The following is a reconciliation of employee contributions per the financial statements to Schedule H of Form 5500:

	2019	2018
Employee contributions per the financial statements	$ 1,691,860	$ 1,711,370
Rounding	-	(1)
Employee contributions per Schedule H of Form 5500	$ 1,691,860	$ 1,711,369

Note 12 – Subsequent Events

On January 30, 2020, the World Health Organization ("WHO") announced a global health emergency due to a new strain of coronavirus ("COVID-19"). In March 2020, the WHO classified the COVID-19 outbreak as a pandemic. The extent of COVID-19's effect on the Plan's financial performance will depend on future developments, including the duration, spread and intensity of the pandemic along with the heightened market risk and volatility associated with the pandemic, all of which are uncertain and difficult to predict considering the rapidly evolving landscape. In response to the uncertainty, in Spring 2020 the Company has temporarily suspended the discretionary employer matching contributions to the Plan. There were no discretionary employer matching contributions receivable as of December 31, 2019.

The Plan has evaluated subsequent events through October 6, 2020, the date the financial statements were available to be issued.

Supplementary Information

ECONOLITE GROUP, INC 401(K) PLAN
Supplemental Schedule
EIN 33-0019158
Plan 001
Schedule H, Line 1d, Schedule of Assets Held for Investment Purposes at End of Year
December 31, 2019

[a]	[b] Identity of issuer, borrower, lessor or similar party	[c] Description of investment	[d] Cost	[e] Current Value
*	AB Global Bond Fund R	Mutual Funds	**	325,517
*	AmBcn Small Cap Value Fund Adv	Mutual Funds	**	622,897
*	American Funds EuroPacific Fd R3	Mutual Funds	**	1,504,898
*	American Funds Income Fd R3	Mutual Funds	**	1,565,863
*	American Funds New World R3	Mutual Funds	**	1,327,876
*	ClrBrg Small Cap Gr Fd R	Mutual Funds	**	367,680
*	Eaton Vance-Alt Cp SMID-Cp F R	Mutual Funds	**	1,754,070
*	MFS Aggressive Growth Alloc Fd R2	Mutual Funds	**	130,874
*	MFS Conservative Allocation Fd R2	Mutual Funds	**	3,614
*	MFS Growth Allocation	Mutual Funds	**	132,887
*	MFS Moderate Allocation Fd R2	Mutual Funds	**	49,275
*	MFS Value Fund - Class R2	Mutual Funds	**	2,571,727
*	PIMCO Real Return Fd R	Mutual Funds	**	274,786
*	T Rowe Price Retirement 2005 Fd R	Mutual Funds	**	1,197
*	T Rowe Price Retirement 2010 Fd R	Mutual Funds	**	1,200
*	T Rowe Price Retirement 2015 Fd R	Mutual Funds	**	1,204
*	T Rowe Price Retirement 2020 Fd R	Mutual Funds	**	203,535
*	T Rowe Price Retirement 2025 Fd R	Mutual Funds	**	127,335
*	T Rowe Price Retirement 2030 Fd R	Mutual Funds	**	24,061
*	T Rowe Price Retirement 2035 Fd R	Mutual Funds	**	81,049
*	T Rowe Price Retirement 2040 Fd R	Mutual Funds	**	427,921
*	T Rowe Price Retirement 2045 Fd R	Mutual Funds	**	98,139
*	T Rowe Price Retirement 2050 Fd R	Mutual Funds	**	75,264
*	T Rowe Price Retirement 2055 Fd R	Mutual Funds	**	90,681
*	T Rowe Price Retirement 2060 Fd R	Mutual Funds	**	109,780
*	Virtus Crdx MC Vi Eq Fd A	Mutual Funds	**	370,445
*	Voya Clarion Real Estate Port S2	Mutual Funds	**	910,806
*	Voya Intermediate Bond Prt S2	Mutual Funds	**	1,782,454
*	Voya JPM Sm Cp Core Eq Port S2	Mutual Funds	**	478,915
*	Voya Russel Mid Cap Index Port S2	Mutual Funds	**	249,840
*	Voya US Stock Index Port S2	Mutual Funds	**	2,973,238
*	VY TRwPr Grw Eqty Pt Srv2	Mutual Funds	**	4,840,113
*	Voya Govt Money Mkt Fnd A	Money Market Fund	**	12,815
	Notes receivable from participants	Interest at 4.25% to 6.50%; Maturing at various dates between 2019 and 2048		485,190
				$ 23,977,146

Notes:

* Party-in-interest

** Cost information for investments is not required as the Plan's investments are participant-directed.

Attachment to 2019 Form 5500

Schedule H, line 4i - Schedule of Assets

(Held at End of Year)

Econolite Group, Inc. 401k Plan

EIN#33-0019158

Plan#001

As Of December 31, 2019

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investments including maturity date, rate of interest, collateral, par, or maturity date	(d)Cost	(e) Current Value
	AB Global Bond Fund R	Registered Investment Company		$325,517
	AmBcn Small Cap Value Fund Adv	Registered Investment Company		$622,897
	American Funds EuroPacific R3	Registered Investment Company		$1,504,898
	American Funds Income Fnd R3	Registered Investment Company		$1,565,863
	American Funds New World R3	Registered Investment Company		$1,327,876
	Eaton Vance-Atl Cp SMID-Cp F R	Registered Investment Company		$1,754,070
	Legg Msn ClrBrg SmCp Grw Fnd R	Registered Investment Company		$367,680
	MFS Aggressive Grw Alloc Fd R2	Registered Investment Company		$130,874
	MFS Conservative Alloc Fnd R2	Registered Investment Company		$3,614
	MFS Growth Allocation Fund R2	Registered Investment Company		$132,887
	MFS Moderate Allocation Fd R2	Registered Investment Company		$49,276
	MFS Value Fund - Class R2	Registered Investment Company		$2,571,727
	PIMCO Real Return Fund R	Registered Investment Company		$274,786
	TRwPr Retirement 2005 Fund R	Registered Investment Company		$1,197
	TRwPr Retirement 2010 Fund R	Registered Investment Company		$1,200
	TRwPr Retirement 2015 Fund R	Registered Investment Company		$1,204
	TRwPr Retirement 2020 Fund R	Registered Investment Company		$203,535
	TRwPr Retirement 2025 Fund R	Registered Investment Company		$127,335
	TRwPr Retirement 2030 Fund R	Registered Investment Company		$24,061
	TRwPr Retirement 2035 Fund R	Registered Investment Company		$81,049
	TRwPr Retirement 2040 Fund R	Registered Investment Company		$427,921
	TRwPr Retirement 2045 Fund R	Registered Investment Company		$98,139
	TRwPr Retirement 2050 Fund R	Registered Investment Company		$75,264
	TRwPr Retirement 2055 Fund R	Registered Investment Company		$90,681
	TRwPr Retirement 2060 Fund R	Registered Investment Company		$109,780
	Virtus Crdx MC Vl Eq Fd A	Registered Investment Company		$370,445
*	Voya Fixed Account (4062)	Insurance Company General Account		$1,900,034
*	Voya Gv Mny Mkt F A (Hld Acct)	Registered Investment Company		$12,815
*	Voya Intermediate Bond Prt S2	Registered Investment Company		$1,782,454
*	Voya Russell MdCp Indx Prt S2	Registered Investment Company		$249,840
*	Voya US Stock Index Port Srv2	Registered Investment Company		$2,973,239
*	VY Clarion Rl Estate Prt Srv2	Registered Investment Company		$910,806
*	VY JPM SmCp Cr Eq Port Srv2	Registered Investment Company		$478,915
*	VY TRwPr Grw Eqty Pt Srv2	Registered Investment Company		$4,840,113
		Participant Loan Rates 4.25%-6.50%		$485,190
	TOTAL			$25,877,180

* denotes party-in-interest

Column (d) is not required as the Plan's investments are totally participant directed.

Econolite Group, Inc. 401(k) Plan

Financial Statements
Years Ended December 31, 2019 and 2018
(with Independent Auditors' Report Thereon)

Econolite Group, Inc. 401(k) Plan

Financial Statements
December 31, 2019 and 2018
(with Independent Auditors' Report Thereon)

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JENKINS BOWLER LLP
CERTIFIED PUBLIC ACCOUNTANTS

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INDEPENDENT AUDITORS' REPORT

Administrative Committee of
Econolite Group, Inc. 401(k) Plan
Anaheim, California

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Econolite Group, Inc. 401(k) Plan (the Plan), which comprise the statements of net assets available for benefits as of December 31, 2019 and 2018, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 7, which was certified by Voya Institutional Trust Company, the trustee of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executed investment transactions. The plan administrator has obtained a certification from the trustees as of and for the years ended December 31, 2019 and 2018, that the information provided to the plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental Schedule of Assets Held for Investment Purposes at End of Year is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule referred to above.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee and agent on behalf of the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Jenkins Bowler LLP

CERTIFIED PUBLIC ACCOUNTANTS
Pasadena, California

October 6, 2020

Econolite Group, Inc. 401(k) Plan
Statements of Net Assets Available for Benefits
For the Years Ended December 31, 2019 and 2018

	2019	2018
Assets		
Investments, at fair value		
Mutual funds	$ 23,479,141	$ 18,890,611
Money market fund	12,815	14,311
Total investments, at fair value	23,491,956	18,904,922
Investments, at contract value		
Fully benefit-responsive investment contract	1,900,034	1,986,884
Total investments, at contract value	1,900,034	1,986,884
Total investments	25,391,990	20,891,806
Receivables		
Notes receivable from participants	485,190	442,447
Total receivables	485,190	442,447
Total assets	25,877,180	21,334,253
Total liabilities	-	-
Net assets available for benefits	$ 25,877,180	$ 21,334,253

See independent auditors' report and notes to financial statements.

Econolite Group, Inc. 401(k) Plan
Statements of Changes in Net Assets Available for Benefits
For the Years Ended December 31, 2019 and 2018

	2019	2018
Additions to net assets attributed to:		
Investment income		
Interest and dividends	$ 40,417	$ 20,864
Net appreciation (depreciation) in fair value of investments	4,850,434	(1,306,537)
Total investment income (loss)	4,890,851	(1,285,673)
Interest income on notes receivable from participants	25,267	18,394
Contributions		
Participants - pre-tax deferrals	1,547,897	1,599,387
Participants - roth deferrals	143,963	111,983
Participants - rollovers	239,359	310,435
Employer - matching	187,589	204,336
Total contributions	2,118,808	2,226,141
Other income (loss)	144	(315)
Total additions to net assets	7,035,070	958,547
Deductions from net assets attibuted to:		
Benefits paid to participants	2,461,682	3,609,163
Corrective distributions	990	867
Administrative expenses	29,471	17,042
Total deductions from net assets	2,492,143	3,627,072
Change in net assets available for benefits	4,542,927	(2,668,525)
Net assets available for benefits, beginning of year	21,334,253	24,002,778
Net assets available for benefits, end of year	$ 25,877,180	$ 21,334,253

See independent auditors' report and notes to financial statements.

Note 1 – Description of Plan

The following description of the Econolite Group, Inc. ("the Company") 401(k) Plan ("the Plan") provides only general information. Participants include eligible employees of the Company and certain of its wholly owned subsidiaries, namely, Econolite Control Products, Inc., CAVita LLC, and Econolite Systems, Inc.

Participants should refer to the Plan document for a more complete description of the Plan's provisions.

a. General

The Plan is a defined contribution plan with a 401(k) feature under which eligible employees, as defined in the Plan document, may make contributions through salary reductions and receive discretionary employer match and profit sharing contributions. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

b. Plan Trustee and Recordkeeper

Voya Institutional Trust Company ("Voya") is the trustee of the Plan.

Voya Retirement Insurance and Annuity Company ("VRIAC") is the Plan's recordkeeper, and was appointed by the board of directors of the Company to invest, manage and control the Plan's assets. Among other duties, it is the responsibility of the recordkeeper to pay benefits as directed by the administrative committee and maintain records of the Plan's receipts and disbursements. Voya and VRIAC are parties-in-interest for the years ended December 31, 2019 and 2018, due to the investment of Plan assets in various funds managed by other Voya-related entities.

c. Administrator

As provided in the Plan document, the Company appointed certain members of its senior management to act as Administrators for the Plan.

d. Participation

Employees are eligible to make salary reductions for pre-tax and Roth contributions to the Plan upon reaching the age of 18 years. Employees are eligible to receive discretionary employer matching contributions from the Plan upon reaching the age of 18 years. Employees are eligible to receive employer discretionary profit sharing contributions upon reaching the age of 18 years and completion of one year of service. A year of service is defined as a 12 consecutive-month period during which an employee completes 1,000 hours of service. A participant ceases to participate in the Plan as of the first day on which he/she has a one-year break in service. A one-year break in service is defined as a 12-month period, measured from the employee's hire date or an anniversary thereof, in which an employee has less than 500 hours of service.

Employees are participants in the Plan for the 401(k) deferral and discretionary employer matching contributions on the first day of the month coinciding with or following the eligibility date. A Plan year is defined as a calendar year.

An employee is a participant in the Plan for purposes of profit sharing contributions on the next quarter following the eligibility date.

Note 1 – Description of Plan (Continued)

e. Participant Accounts

Each participant's account is maintained separately within the Plan and is adjusted to reflect the participant's salary reduction contributions, allocations of the Company's profit sharing and employer matching contributions, investment income or loss, forfeitures of terminated participants' nonvested accounts, withdrawals, and administrative expenses. Profit sharing contributions are allocated based on the proportion that each participant's compensation (as defined in the Plan document) for the year bears to the total compensation of all participants for that year. Employer matching contributions are allocated based on a uniform percentage of each participant's salary deferrals. Forfeitures are allocated on the same basis as the employer profit sharing contributions in the year following the determination of the forfeitures. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

f. Contributions

Participants may make pre-tax and Roth deferral contributions each year as defined in the Plan document and subject to certain Internal Revenue Code ("IRC") limitations. Participants who have attained the age of 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also transfer or rollover amounts representing distributions from other qualified defined benefit or contribution plans. Participants direct the investment of their contributions into various investment options offered by the Plan.

The employer may make discretionary profit sharing contributions to the Plan. The maximum amount of employer contributions to a Participant's account is limited to the amount allowable as a deduction for tax purposes. The employer's contributions will be allocated to the participants at the end of a plan year. During the years ended December 31, 2019 and 2018, the Company made no discretionary profit sharing contributions to the Plan.

The employer may make discretionary employer matching contributions to the Plan. The discretionary matching contribution is equal to a uniform percentage, determined semi-annually, of a participant's salary deferrals. For the years ended December 31, 2019 and 2018, the matching contribution was 30% of a participant's salary deferrals, not to exceed 4% of gross pay. The employer's matching contributions were allocated to the participants throughout the plan year. During the year ended December 31, 2019, the Company made $201,390 of employer matching contributions to the Plan. Forfeitures totaling $13,801 were used in 2019 to reduce the employer matching contributions made to the Plan, which resulted in employer matching contributions to the Plan to total $187,589 on Form 5500. During the year ended December 31, 2018, the Company made $338,748 of employer matching contributions to the Plan, which includes the payment of the employer contributions receivable balance of $48,123 as of December 31, 2017. Forfeitures totaling $86,289 were used in 2018 to reduce the employer matching contributions made to the Plan.

g. Investment Options

Investments in the Plan are directed by participants to selected investment funds available under the Plan.

h. Vesting

Participants are immediately vested in their salary reduction contributions plus actual earnings thereon. Participants vest in the Company's discretionary profit sharing contributions plus actual earnings thereon at a rate of 20% per year and are fully vested after five years of service. Participants vest in the Company's discretionary employer matching contributions plus actual earnings thereon at a rate of 25% per full year of service and are fully vested after four years of service.

Note 1 – Description of Plan (Continued)

i. Notes Receivable from Participants

Participants may borrow from their accounts, a minimum of $1,000 and a maximum amount equal to the lesser of $50,000 or 50% of their vested account balance. Loan terms range from one to five years, except for loans to purchase a primary residence, which may be offered over a term up to 30 years. The loans are secured by the balance in the participant's account and bear interest at 1% above the prime rate. The interest rate is fixed for the duration of the loan. Participants may only have one loan outstanding at any given time. Principal and interest is paid ratably through weekly payroll deductions. Loans generally become due and payable immediately upon termination of the participant's employment.

j. Withdrawals

The IRC places certain restrictions on withdrawals in order to retain the special tax-saving benefits associated with the Plan. The Plan allows withdrawals at retirement, disability, and separation of service or upon death, as defined by the Plan. Other withdrawals are available only in cases of immediate and heavy financial hardship subject to IRC regulations.

k. Payment of Benefits

On termination of service due to death, disability, retirement or other reasons, a participant may elect to receive a lump-sum amount equal to the value of the participant's interest in his or her account, an annuity, or annual installments. Participants may also elect to rollover their account to another tax deferred account or remain in the Plan until they are legally required to begin taking distributions. With the plan administrator's approval, participants may withdraw all or part of their aggregate contributions in the event of financial hardship.

l. Forfeitures

Forfeitures result from non-vested participant balances remaining in the Plan for terminated employees. Forfeitures may be used to add to or reduce discretionary employer profit sharing contributions, employer matching contributions, or to pay Plan-related fees.

m. Termination of the Plan

Although the Company has not expressed any intent to do so, the Company has the right at any time to discontinue its contributions, or terminate the Plan subject to the provisions of ERISA. However, in the event of permanent discontinuance of contributions or termination of the Plan, all participants shall become fully vested and the net assets of the Plan will be allocated to the participants in accordance with the terms of the Plan.

Note 2 – Summary of Significant Accounting Principles

A summary of the significant accounting policies applied in the preparation of the accompanying financial statements is as follows:

a. Basis of Presentation

The financial statements of the Plan are prepared in conformity with U.S. generally accepted accounting principles, which requires management to make estimates and assumptions that affect the reported amounts and disclosures in the financial statements. Actual results could differ from those estimates.

Investment contracts held by a defined contribution plan are required to be reported at fair value, except for fully benefit-responsive investment contracts. Contract value is the relevant measure for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants normally would receive if they were to initiate permitted transactions under the terms of the Plan.

Note 2 – Summary of Significant Accounting Principles (Continued)

b. Benefit Claims Payable

The classification of "Benefits paid to participants" in the Statements of Changes in Net Assets Available for Benefits includes only distributions paid in the current year. Amounts allocated to participants who have retired or separated from service or otherwise became eligible to withdraw assets were approximately $2,462,000 and $3,610,000 for the years ended December 31, 2019 and 2018, respectively.

c. Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income generated on notes receivable is recorded when earned and administrative expenses associated with notes receivable are expensed when incurred. Delinquent participant loans are reclassified as distributions based on the terms of the Plan document. Plan management believes that notes receivables from participants are collectible. Therefore, a provision for doubtful accounts has not been recorded as of December 31, 2019 and 2018.

d. Investment Valuation and Income Recognition

The Plan's investments are reported at fair value, except for fully benefit-responsive investment contracts, which are reported at contract value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Administrative Committee determine the Plan's valuation policies utilizing information provided by the investment advisers, custodians, and insurance company. See note 3 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on ex-dividend date. Net appreciation and depreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

The Plan provides for investments in various securities. Investment securities, in general, are exposed to various risks, such as interest rate, credit and overall market volatility risks. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the Statements of Net Assets Available for Benefits.

e. Payment of Benefits

Benefits are recorded when paid.

f. Excess Contributions Payable

Amounts payable to participants for contributions in excess of amounts allowed by the IRS are recorded as a liability with a corresponding reduction to contributions.

g. Expenses

Certain expenses of maintaining the Plan are paid by the Plan, unless otherwise paid by the Company. Expenses that are paid by the Company are excluded from these financial statements. The Company elected to pay all administrative expenses of the Plan. Participants were charged only with special transaction charges. Investment related expense are included in the net appreciation (depreciation) of fair value of investments.

Note 2 – Summary of Significant Accounting Principles (Continued)

h. Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the plan administrator to make estimates and assumptions that affect reported amounts of assets and liabilities and changes therein, and disclosures of contingent assets and liabilities. Actual results could differ from those estimates.

Note 3 – Fair Value Measurements

The Plan's investments are reported at fair value in the accompanying Statements of Net Assets Available for Benefits. The methods used to measure fair value may produce an amount that may not be indicative of net realizable value or reflective of future values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

FASB Accounting Standards Codification ("ASC"), Fair Value Measurements, establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements).

The three levels of fair value hierarchy under FASB ASC, Fair Value Measurements are described as follows:

Level 1 - Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 - Inputs to the valuation methodology are observable other than level 1 prices, such as quoted prices for similar assets and liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities.

Level 3 - Inputs to the valuation measurement are unobservable, supported by little or no market data, and are significant to the fair value of the assets and liabilities.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2019 and 2018.

Money market funds and mutual funds: Valued at the number of shares of the underlying fund multiplied by the closing net asset value (NAV) per share quoted by that fund and are classified within Level 1 of the valuation hierarchy.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

Note 3 – Fair Value Measurements (Continued)

The following table sets forth, by level within the fair value hierarchy, the Plan's investments at fair value as of December 31, 2019:

	2019		
	Quoted market prices in active markets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)
Investments, at fair value			
Registered investment companies			
Money market	$ 12,815	$ -	$ -
Asset allocation	1,558,016	-	-
Fixed income	2,382,757	-	-
Balanced	1,565,863	-	-
Equity securities			
Domestic			
Large cap growth	4,840,113	-	-
Large cap value	5,544,965	-	-
Small/Mid/Specialty	4,754,653	-	-
International	2,832,774	-	-
Total	$ 23,491,956	$ -	$ -

The following table sets forth, by level within the fair value hierarchy, the Plan's investments at fair value as of December 31, 2018:

	2018		
	Quoted market prices in active markets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)
Investments, at fair value			
Registered investment companies			
Money market	$ 14,311	$ -	$ -
Asset allocation	920,496	-	-
Fixed income	1,661,232	-	-
Balanced	1,311,059	-	-
Equity securities			
Domestic			
Large cap growth	4,413,513	-	-
Large cap value	4,327,326	-	-
Small/Mid/Specialty	3,787,028	-	-
International	2,469,957	-	-
Total	$ 18,904,922	$ -	$ -

As of December 31, 2019 and 2018, the Plan had no assets measured at fair value on a non-recurring basis and no liabilities measured at fair value on a recurring or non-recurring basis.

There were no reclassifications in or out of level I, 2 or 3 during the years ended December 31, 2019 and 2018. Investments can be redeemed immediately and without a redemption notification period. As of December 31, 2019 and 2018, there were no unfunded commitments associated with any of these investments.

Note 4 – Fully Benefit-Responsive Investment Contract

The Plan has a benefit-responsive investment contract with VRIAC. VRIAC maintained the contributions in a general account that was credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The contract issuer is contractually obligated to repay the principal and a specified interest rate that is guaranteed to the Plan. The crediting rate is based on a formula established by the contract issuer but may not be less than 1.00%. The crediting rate is reviewed on a quarterly basis for resetting. The contract does not permit the insurance company to terminate the agreement prior to the scheduled maturity date.

This contract meets the fully benefit-responsive investment contract criteria and therefore is reported at contract value. Contract value is the relevant measure for fully benefit-responsive investment contracts because this is the amount received by participants if they were to initiate permitted transactions under the terms of the Plan. Contract value, as reported to the Plan by Voya, represents contributions made under the contract, plus earnings, less participant withdrawals, and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

The Plan's ability to receive amounts due is dependent on the issuer's ability to meet its financial obligations. The issuer's ability to meet its contractual obligations may be affected by future economic and regulatory developments.

Certain events might limit the ability of the Plan to transact at contract value with the issuer. Such events include (1) amendments to the Plan documents (including complete or partial Plan termination or merger with another plan), (2) changes to the Plan's prohibition on competing investment options or deletion of equity wash provisions, (3) bankruptcy of the Plan sponsor or other Plan sponsor events (for example, divestitures or spin-offs of a subsidiary) that cause a significant withdrawal from the Plan, (4) the failure of the trust to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA, or (5) premature termination of the contract. No events are probable of occurring that might limit the ability of the Plan to transact at contract value with the contract issuers and that also would limit the ability of the Plan to transact at contract value with the participants.

In addition, certain events allow the issuer to terminate the contract with the Plan and settle at an amount different from contract value. Such events include (1) an uncured violation of the Plan's investment guidelines, (2) a breach of material obligation under the contract, (3) a material misrepresentation, or (4) a material amendment to the agreement without the consent of the issuer.

Note 5 – Tax Status

The Plan obtained its latest determination letter from the Internal Revenue Service on June 5, 2002, in which the Internal Revenue Service stated that the Plan, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The plan has been amended since receiving the determination letter. However, the plan administrator believes that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code and, therefore, believe that the Plan is qualified, and the related trust is tax-exempt.

U.S. generally accepted accounting principles require plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The plan administrator has considered the tax positions taken by the Plan, and believes that all tax positions are more likely than not to be sustained upon examination.

Note 5 – Tax Status (Continued)

Interest and penalties associated with the Plan's tax positions are considered administrative expenses. No such interest or penalties have been recorded in these financial statements.

The Plan is subject to routine audits by taxing jurisdictions. However, there are currently no audits in progress for any tax periods.

Note 6 – Forfeitures

The unallocated forfeited non-vested accounts amounted to $12,829 and $14,322 at December 31, 2019 and 2018, respectively. These accounts will be used to reduce future employer matching contributions. In 2019, employer matching contributions were reduced by $13,801 from forfeited nonvested accounts. In 2018, employer matching contributions were reduced by $86,289 from forfeited nonvested accounts.

Note 7 – Certified Information

During the year, the Plan Administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, the following data included in the accompanying financial statements has been certified as complete and accurate by Voya as of December 31, 2019 and 2018, and for the years ended December 31, 2019 and 2018:

a. Investments, as shown in the Statements of Net Assets Available for Benefits as of December 31, 2019 and 2018.

b. Investment income, as shown in the Statements of Changes in Net Assets Available for Benefits for the years ended December 31, 2019 and December 31, 2018.

The Plan's independent certified public accountants did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements.

Note 8 – Risk and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the Statements of Net Assets Available for Benefits.

Note 9 – Concentration of Market and Credit Risk

The Plan's exposure to credit loss in the event of nonperformance of investments is limited to the carrying value of such instruments. The Plan's concentration of credit risk and market risk is dictated by the Plan's provisions as well as those of ERISA and the participants' investment preferences. Due to the level of risk associated with certain investments, it is at least reasonably possible that changes in risks in the near term could materially affect participant account balances and the amounts reported in the financial statements.

Note 10 – Party-In-Interest Transactions

Certain Plan investments are shares of registered investment companies managed by the Trustee of the Plan. These transactions qualify as party-in-interest transactions. Fees paid by the Plan for the investment management services are included in net appreciation (depreciation) in fair value of investments.

Note 11 – Reconciliation of Financial Statements to Schedule H of Form 5500

The financial statements are prepared on the accrual basis, in accordance with U.S. generally accepted accounting principles, as of and for the years ended December 31, 2019 and 2018. However, Schedule H of Form 5500 was prepared on the cash basis as of and for the years ended December 31, 2019, and 2018. Therefore, certain amounts reported on the financial statements do not agree with those reported on Schedule H of Form 5500.

The following is a reconciliation of employer discretionary contributions per the financial statements to Schedule H of Form 5500:

	2019	2018
Employer matching contributions	$ 201,390	$ 290,625
Forfeiture applied to employer matching contribution	(13,801)	(86,289)
Employer matching contributions per the financial statements	187,589	204,336
Employer contributions receivable, end of year	-	-
Employer contributions receivable, prior year	-	48,123
Employer matching contributions per Schedule H of Form 5500	$ 187,589	$ 252,459

The following is a reconciliation of employee contributions per the financial statements to Schedule H of Form 5500:

	2019	2018
Employee contributions per the financial statements	$ 1,691,860	$ 1,711,370
Rounding	-	(1)
Employee contributions per Schedule H of Form 5500	$ 1,691,860	$ 1,711,369

Note 12 – Subsequent Events

On January 30, 2020, the World Health Organization ("WHO") announced a global health emergency due to a new strain of coronavirus ("COVID-19"). In March 2020, the WHO classified the COVID-19 outbreak as a pandemic. The extent of COVID-19's effect on the Plan's financial performance will depend on future developments, including the duration, spread and intensity of the pandemic along with the heightened market risk and volatility associated with the pandemic, all of which are uncertain and difficult to predict considering the rapidly evolving landscape. In response to the uncertainty, in Spring 2020 the Company has temporarily suspended the discretionary employer matching contributions to the Plan. There were no discretionary employer matching contributions receivable as of December 31, 2019.

The Plan has evaluated subsequent events through October 6, 2020, the date the financial statements were available to be issued.

Supplementary Information

ECONOLITE GROUP, INC 401(K) PLAN
Supplemental Schedule
EIN 33-0019158
Plan 001
Schedule H, Line 1d, Schedule of Assets Held for Investment Purposes at End of Year
December 31, 2019

[a]	[b] Identity of issuer, borrower, lessor or similar party	[c] Description of investment	[d] Cost	[e] Current Value
*	AB Global Bond Fund R	Mutual Funds	**	325,517
*	AmBcn Small Cap Value Fund Adv	Mutual Funds	**	622,897
*	American Funds EuroPacific Fd R3	Mutual Funds	**	1,504,898
*	American Funds Income Fd R3	Mutual Funds	**	1,565,863
*	American Funds New World R3	Mutual Funds	**	1,327,876
*	ClrBrg Small Cap Gr Fd R	Mutual Funds	**	367,680
*	Eaton Vance-Alt Cp SMID-Cp F R	Mutual Funds	**	1,754,070
*	MFS Aggressive Growth Alloc Fd R2	Mutual Funds	**	130,874
*	MFS Conservative Allocation Fd R2	Mutual Funds	**	3,614
*	MFS Growth Allocation	Mutual Funds	**	132,887
*	MFS Moderate Allocation Fd R2	Mutual Funds	**	49,275
*	MFS Value Fund - Class R2	Mutual Funds	**	2,571,727
*	PIMCO Real Return Fd R	Mutual Funds	**	274,786
*	T Rowe Price Retirement 2005 Fd R	Mutual Funds	**	1,197
*	T Rowe Price Retirement 2010 Fd R	Mutual Funds	**	1,200
*	T Rowe Price Retirement 2015 Fd R	Mutual Funds	**	1,204
*	T Rowe Price Retirement 2020 Fd R	Mutual Funds	**	203,535
*	T Rowe Price Retirement 2025 Fd R	Mutual Funds	**	127,335
*	T Rowe Price Retirement 2030 Fd R	Mutual Funds	**	24,061
*	T Rowe Price Retirement 2035 Fd R	Mutual Funds	**	81,049
*	T Rowe Price Retirement 2040 Fd R	Mutual Funds	**	427,921
*	T Rowe Price Retirement 2045 Fd R	Mutual Funds	**	98,139
*	T Rowe Price Retirement 2050 Fd R	Mutual Funds	**	75,264
*	T Rowe Price Retirement 2055 Fd R	Mutual Funds	**	90,681
*	T Rowe Price Retirement 2060 Fd R	Mutual Funds	**	109,780
*	Virtus Crdx MC Vi Eq Fd A	Mutual Funds	**	370,445
*	Voya Clarion Real Estate Port S2	Mutual Funds	**	910,806
*	Voya Intermediate Bond Prt S2	Mutual Funds	**	1,782,454
*	Voya JPM Sm Cp Core Eq Port S2	Mutual Funds	**	478,915
*	Voya Russel Mid Cap Index Port S2	Mutual Funds	**	249,840
*	Voya US Stock Index Port S2	Mutual Funds	**	2,973,238
*	VY TRwPr Grw Eqty Pt Srv2	Mutual Funds	**	4,840,113
*	Voya Govt Money Mkt Fnd A	Money Market Fund	**	12,815
	Notes receivable from participants	Interest at 4.25% to 6.50%; Maturing at various dates between 2019 and 2048		485,190
				$ 23,977,146

Notes:

* Party-in-interest

** Cost information for investments is not required as the Plan's investments are participant-directed.