

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 <div style="text-align: center; font-size: 1.5em; font-weight: bold;">2019</div> This Form is Open to Public Inspection
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Part I	Annual Report Identification Information For calendar plan year 2019 or fiscal plan year beginning <u>01/01/2019</u> and ending <u>12/31/2019</u>
A This return/report is for:	☐ a multiemployer plan ☒ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☐ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here. ☐	
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description) _____

Part II	Basic Plan Information —enter all requested information		
1a Name of plan <u>HERITAGE GROUP RETIREMENT SAVINGS PLAN</u>	1b Three-digit plan number (PN) ▶	<u>002</u>	
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>ASPHALT MATERIALS, INC.</u> <u>5400 WEST 86TH STREET</u> <u>INDIANAPOLIS, IN 46268</u>		1c Effective date of plan <u>06/26/1978</u>	2b Employer Identification Number (EIN) <u>35-1002095</u>
		2c Plan Sponsor's telephone number <u>317-872-6010</u>	2d Business code (see instructions) <u>324190</u>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/14/2020	R. DOUGLAS FELTON
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2019)
v. 190130

3a Plan administrator's name and address ☐ Same as Plan Sponsor THE HERITAGE GROUP RETIREMENT COMMITTEE 5400 WEST 86TH STREET INDIANAPOLIS, IN 46268	3b Administrator's EIN 35-1994696 3c Administrator's telephone number 317-872-6010
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name HERITAGE GROUP RETIREMENT SAVINGS PLAN XV	4b EIN 4d PN 002
5 Total number of participants at the beginning of the plan year	5 3056
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	6a(1) 2308 6a(2) 3005 6b 35 6c 523 6d 3563 6e 12 6f 3575 6g 3066 6h 10
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2F 2G 2J 2K 2S b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1)** ☐ **R** (Retirement Plan Information)
- (2)** ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3)** ☐ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- (1)** ☒ **H** (Financial Information)
- (2)** ☐ **I** (Financial Information – Small Plan)
- (3)** ☐ **A** (Insurance Information)
- (4)** ☒ **C** (Service Provider Information)
- (5)** ☒ **D** (DFE/Participating Plan Information)
- (6)** ☐ **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2019 Form M-1 annual report. If the plan was not required to file the 2019 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2019
		This Form is Open to Public Inspection.

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019		
A Name of plan HERITAGE GROUP RETIREMENT SAVINGS PLAN	B Three-digit plan number (PN) ▶	002
C Plan sponsor's name as shown on line 2a of Form 5500 ASPHALT MATERIALS, INC.	D Employer Identification Number (EIN) 35-1002095	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

THE VANGUARD GROUP INC

PO BOX 2900
VALLEY FORGE, PA 19482

23-1945930

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
37 26	TPA/CUSTODIAN	68450	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

GREAT-WEST LIFE & ANNUITY INS CO

8515 EAST ORCHARD ROAD
GREENWOOD VILLAGE, OH 80111

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
64	RECORDKEEPER	34569	Yes ☒ No ☐	Yes ☒ No ☐		Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection.
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For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan <u>HERITAGE GROUP RETIREMENT SAVINGS PLAN</u>	B Three-digit plan number (PN) ►	<u>002</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>ASPHALT MATERIALS, INC.</u>	D Employer Identification Number (EIN) <u>35-1002095</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: PUTNAM STABLE VALUE FUND

b Name of sponsor of entity listed in (a): PUTNAM FIDUCIARY TRUST COMPANY, LLC

c EIN-PN <u>04-3159710-202</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>9875768</u>
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
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103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
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103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
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103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2019 This Form is Open to Public Inspection
For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019		
A Name of plan HERITAGE GROUP RETIREMENT SAVINGS PLAN	B Three-digit plan number (PN) ►	002
C Plan sponsor's name as shown on line 2a of Form 5500 ASPHALT MATERIALS, INC.	D Employer Identification Number (EIN) 35-1002095	

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)	3228722	3671882
(2) Participant contributions.....	1b(2)	299117	300882
(3) Other.....	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other.....	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common.....	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property).....	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans.....	1c(8)	5381290	6187160
(9) Value of interest in common/collective trusts	1c(9)	11330534	9875768
(10) Value of interest in pooled separate accounts	1c(10)		0
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities.....	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	336444196	417692600
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)		

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	356683859	437728292

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k		

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	356683859	437728292
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Part II Income and Expense Statement

- 2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	13548231	
(B) Participants	2a(1)(B)	17698876	
(C) Others (including rollovers)	2a(1)(C)	2553701	
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		33800808
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)	305710	
(F) Other	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		305710
(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	14889387	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		14889387
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		240431
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		65831402
c Other income	2c		48987
d Total income. Add all income amounts in column (b) and enter total	2d		115116725

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	37250270	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		37250270
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		71768
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)	87672	
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)		
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		87672
j Total expenses. Add all expense amounts in column (b) and enter total	2j		37409710

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		77707015
l Transfers of assets:			
(1) To this plan	2l(1)		3337418
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unmodified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☐ Yes ☒ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **PILE CPAS**

(2) EIN: **35-0865680**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.).....			
4d		X	
e Was this plan covered by a fidelity bond?	X		5000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?.....			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?.....			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)			
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?.....			
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	X		
4m	X		
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	X		
4n	X		

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

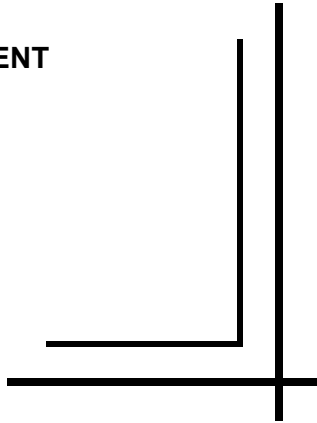
5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____. (See instructions.)

**HERITAGE GROUP RETIREMENT
SAVINGS PLAN**

FINANCIAL REPORT

December 31, 2019



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INDEPENDENT AUDITOR'S REPORT

To The Heritage Group Retirement
Committee and Participants
Heritage Group Retirement Savings Plan
Indianapolis, Indiana

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Heritage Group Retirement Savings Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2019 and 2018, the related statements of changes in net assets available for benefits for the years ended December 31, 2019 and 2018, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 6, which was certified or provided by Great-West Trust Company and Vanguard Fiduciary Trust Company, the trustees of the Plan, except for comparing this information with the related information included in the financial statements. We have been informed by the Plan Administrator that Great-West Trust Company held the Plan's assets and executed investment transactions from September 10, 2019 to December 31, 2019 and that Vanguard Fiduciary Trust Company held the Plan's investment assets and executed investment transactions from January 1, 2018 to September 9, 2019. The Plan Administrator has obtained certifications from the trustees as of December 31, 2019 and 2018, and for the years ended December 31, 2019 and 2018, that the information provided to the Plan Administrator by the trustees is complete and accurate.

INDEPENDENT AUDITOR'S REPORT - Continued

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedules of reporting requirements under Department of Labor's rules as of and for the year ended December 31, 2019 are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purposes of additional analysis and are not a required part of the financial statements. These supplemental schedules are the responsibility of the Plan's management. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified or provided by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Pile CPAs

Indianapolis, Indiana
October 14, 2020

HERITAGE GROUP RETIREMENT SAVINGS PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

December 31, 2019 and 2018

	<u>2019</u>	<u>2018</u>
	<u>ASSETS</u>	
Investments, at fair value	\$ 427,568,368	\$ 347,774,730
Receivables:		
Employer contributions	3,671,882	3,228,722
Participant contributions	300,882	299,117
Notes from participants	<u>6,258,928</u>	<u>5,381,290</u>
Total receivables	<u>10,231,692</u>	<u>8,909,129</u>
NET ASSETS AVAILABLE FOR BENEFITS	\$ 437,800,060	\$ 356,683,859

See Notes to Financial Statements.

HERITAGE GROUP RETIREMENT SAVINGS PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

Years ended December 31, 2019 and 2018

	<u>2019</u>	<u>2018</u>
<u>ADDITIONS</u>		
Additions to net assets attributed to:		
Investment income (loss):		
Net appreciation (depreciation) in fair value of investments - participant directed	\$ 65,831,402	\$ (33,329,112)
Interest and dividends - participant directed	15,129,818	16,722,822
Other additions	48,987	15,442
Net investment income (loss)	<u>81,010,207</u>	<u>(16,590,848)</u>
Interest income on notes receivable from participants	<u>305,710</u>	<u>253,889</u>
Contributions:		
Employer	13,548,231	12,291,970
Participants	17,698,876	15,417,099
Rollovers	2,553,701	1,742,216
	<u>33,800,808</u>	<u>29,451,285</u>
Total additions, net	<u>115,116,725</u>	<u>13,114,326</u>
<u>DEDUCTIONS</u>		
Deductions from net assets attributed to:		
Benefits paid to participants	37,250,270	26,097,538
Other	87,672	64,768
Total deductions	<u>37,337,942</u>	<u>26,162,306</u>
Net increase (decrease)	<u>77,778,783</u>	<u>(13,047,980)</u>
<u>NET ASSETS AVAILABLE FOR BENEFITS</u>		
Beginning of year	<u>356,683,859</u>	<u>369,731,839</u>
Plan transfers:		
Transfer of assets from plan	<u>3,337,418</u>	<u>-</u>
End of year	<u>\$ 437,800,060</u>	<u>\$ 356,683,859</u>

See Notes to Financial Statements.

HERITAGE GROUP RETIREMENT SAVINGS PLAN

NOTES TO FINANCIAL STATEMENTS

December 31, 2019

NOTE 1 DESCRIPTION OF PLAN

The following description of Heritage Group Retirement Savings Plan (the "Plan") provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General

The Plan is a defined contribution plan covering all nonunion employees and employees covered by a collective bargaining agreement that specifically provides for participation in this Plan of the Companies. It is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").

As of December 31, 2019, the following companies have elected to be participating Companies in the Plan:

Asphalt Materials, Inc.	Milestone Contractors, L.P.
Bituminous Materials and Supply, L.P.	Monument Chemical Houston, LLC
Emulsicoat, Inc.	Monument Chemicals, LLC
Heritage Aggregates, LLC	Monument Chemical Kentucky, LLC
Heritage Environmental Services, LLC	Pavement Maintenance Systems, LLC
Heritage Interactive Services, LLC	Real Estate Recovery Capital, LLC
Heritage Transport, LLC	U.S. Aggregates, Inc.
Laketon Refining Corporation	Western States Asphalt, LLC
Legacy Resources Co., L.P.	

Contributions

Participants may contribute to the Plan up to 70% of their compensation, subject to limits imposed by Federal tax law. Participants are automatically enrolled into the Plan to have salary deferrals equal to 3% for employees hired prior to January 1, 2016 and 5% if hired on or after January 1, 2016 of compensation and will automatically increase by 1% each year thereafter, unless the participant elects a different percentage. The Company will make contributions based on the matching percentage determined before the first day of each Plan year by the Board of Directors. Additional amounts may be contributed at the option of the Board of Directors at the end of the Plan year and allocated to participants' accounts based upon annual compensation.

Participant Accounts

Each participant's account is credited with the participant's contributions, the Company's contributions, and an allocation of Plan earnings. Allocations are based on a participant's compensation or account value, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting

Participants are immediately vested in their voluntary and Company matching contributions plus actual earnings thereon. Vesting in the Company's additional contributions portion of their accounts plus earnings thereon is based on years of continuous service. Participants are 100% vested after three years of credited service.

HERITAGE GROUP RETIREMENT SAVINGS PLAN

NOTES TO FINANCIAL STATEMENTS

December 31, 2019

NOTE 1 DESCRIPTION OF PLAN - continued

Investment Options

All Plan investments are participant directed, and investment account options available include various funds. Each participant has the option of directing his contributions into any of the separate investment accounts and may change the allocation daily.

Payment of Benefits

Upon termination of employment, death, disability, or retirement, the participant may elect to receive a lump sum distribution as soon as administratively feasible following the date on which a distribution is requested or is otherwise payable. A participant who has reached 59 1/2 may elect to receive a portion of their vested account balance. Other distributions may be made as required by Federal and state law.

Participant Loans (Notes Receivable from Participants)

Participants may borrow from their fund accounts the lesser of \$50,000, one-half of the vested amount of all the participant's accounts. Loan transactions are treated as a transfer to (from) the investment fund from (to) the Loan Fund. Loan terms range from 1 to 5 years. The loans are secured by the balance in the participant's account and bear interest at the prime rate plus 1% at either January 1 or July 1, immediately before the date of the loan. An administrative fee is charged for establishing and maintaining the loan. Principal and interest is paid ratably through payroll deductions.

Forfeited Accounts

At December 31, 2019 and 2018, forfeited nonvested accounts totaled \$550,483 and \$134,249, respectively. These accounts will be used to reduce future Company contributions. Plan contributions for 2019 and 2018 of \$263,000 and \$0 have been paid by applying forfeited nonvested account balances.

Plan Amendments

Effective January, 1, 2019, the Plan was renamed the Heritage Group Retirement Savings Plan.

Effective January 1, 2019, the Plan was amended to adjust the definition of Plan compensation. Additionally, an employee, other than an Intern/CO-Op or Temporary Employee, who becomes an active participant on or after January 1, 2019 will automatically be enrolled into the Plan as soon as practicable after his first day of employment. An employee, other than an Intern/CO-Op or Temporary Employee, who becomes an active participant on or after January 1, 2019 who is automatically enrolled, may withdrawal salary deferrals within the first 90 days after the date of the first salary deferral contribution if they so elect.

Effective September 9, 2019, the Plan was amended and restated to appoint Great-West Trust Company, dba Empower Retirement as the Plan Trustee. In addition, The Trustee, at the discretion of the Committee (and in accordance with the election of a Participant), may enter into an agreement with the trustee of any other plan described in Code section 401(a) to transfer as an "elective transfer" to the other plan or to receive as an "elective transfer" into this Plan, all or a portion of the account of the electing Participant. The account need not be distributable at the time of transfer and protected benefits do not carry over to the transferee plan.

HERITAGE GROUP RETIREMENT SAVINGS PLAN

NOTES TO FINANCIAL STATEMENTS

December 31, 2019

NOTE 1 DESCRIPTION OF PLAN - continued

Plan Amendments - continued

The Plan was amended, effective January 1, 2018 to align the Plan definition of "Disability" with the Heritage Group Disability Benefits Plan maintained by the Company. In addition, the Plan was amended so that a Participant must have exhausted all administrative reviews and appeals under the Plan before he has the right to bring an action under ERISA section 502(A) of an adverse determination and no legal action may be brought by a Participant after the expiration of one year from the time of the adverse determination.

NOTE 2 SIGNIFICANT ACCOUNTING POLICIES

A. Basis of Accounting

The financial statements of the Plan are prepared under the accrual method of accounting.

B. Estimates

The preparation of financial statements in conformity with U.S. generally accepted accounting principles requires the Plan Administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

C. Concentration of Credit Risk

The Plan invests in various investment securities, which are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the participants' account balances and the amounts reported in the statements of net assets available for benefits.

D. Investment Valuation and Income Recognition

The investments of the Plan are stated at fair value. See Note 7 for further information regarding valuation of the Plan's investments. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Security transactions are accounted for on the date securities are purchased or sold (trade date). Dividend income is recorded on the ex-dividend date. Interest income is recognized when earned. Capital gain distributions are included in dividend income. Net gains and losses from security transactions are computed using the average cost method based on the beginning market value.

E. Notes Receivable from Participants

Participant notes receivable are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent loans are reclassified as distributions based upon the terms of the plan document.

F. Payment of Benefits

Benefits are recorded when paid.

HERITAGE GROUP RETIREMENT SAVINGS PLAN

NOTES TO FINANCIAL STATEMENTS

December 31, 2019

NOTE 2 SIGNIFICANT ACCOUNTING POLICIES - continued

G. Plan Expenses

Investment advisory fees for portfolio management were paid directly from fund earnings through September 8, 2020 and were included in the fund expense ratio. Effective September 9, 2020, participant accounts are charged a quarterly administrative flat fee. All other expenses including administrative costs, legal fees, auditing fees, and other costs were paid by the participating Company.

H. Reclassifications

Certain prior year balances have been reclassified to conform with current year classifications and presentations.

I. Evaluation of Subsequent Events

The Plan has evaluated subsequent events through October 14, 2020, which is the date the financial statements were available to be issued.

On January 30, 2020, the World Health Organization declared the coronavirus (COVID-19) outbreak a "Public Health Emergency of International Concern" and on March 10, 2020, declared it to be a pandemic. Actions taken around the world to help mitigate the spread of the coronavirus include restrictions on travel, and quarantines in certain areas, and forced closures of certain types of public places and businesses. The coronavirus and actions taken to mitigate it have had and are expected to continue to have an adverse impact on the economies and financial markets of many countries. It is unknown how long these conditions will last and what the complete financial effect will be to the Plan. It is reasonably possible that the Plan is vulnerable to the risk of a near-term severe impact and that estimates made in the financial statements (including the Plan's investment valuations) have been, or will be, materially and adversely impacted in the near term as a result of these conditions.

NOTE 3 PLAN TERMINATION

While the Company has not made a resolution to do so, the Company has the right, by action of the Directors, to terminate the Plan subject to the provisions of the ERISA. In the event of Plan termination, amounts credited to the participants' accounts are fully vested and nonforfeitable.

NOTE 4 PARTIES IN INTEREST

There were no known prohibited transactions with known parties in interest as defined in ERISA Section 3(14) and regulations thereunder, including those transactions set forth in ERISA Sections 406 and 407(a) and Internal Revenue Code Section 4975(c).

The Company provides certain accounting, administrative and investment management services to the Plan for which it receives no compensation.

Notes receivable from participants are permitted party-in-interest transactions.

HERITAGE GROUP RETIREMENT SAVINGS PLAN

NOTES TO FINANCIAL STATEMENTS

December 31, 2019

NOTE 5 INCOME TAX STATUS

The Plan has adopted a prototype plan document whose sponsor has received an opinion letter from the Internal Revenue Service ("IRS") dated March 31, 2014, stating that the prototype plan document was designed in accordance with the applicable sections of the IRC. The Plan has been amended since receiving the determination letter. The Plan Administrator believes that the Plan is designed, and is currently being operated in compliance with the applicable requirements of the IRC. Therefore, they believe that the Plan was qualified and the related trust is tax-exempt.

Management has evaluated the Plan's tax positions and concluded that the Plan had maintained its tax exempt status and had taken no uncertain tax positions that require adjustment to the financial statements. Therefore, no provision or liability for income taxes has been included in the financial statements.

NOTE 6 INFORMATION PREPARED AND CERTIFIED BY PLAN TRUSTEE

The following is a summary of the Plan's asset information as of December 31, 2019 and 2018, and for the years ended December 31, 2019 and 2018, included throughout the Plan's financial statements and supplemental schedules, that was prepared by or derived from information provided by the trustee and furnished to the Plan Administrator. The Plan Administrator has obtained certifications from the trustee that information provided to the Plan Administrator by the trustee related to the following assets is complete and accurate. Accordingly, as permitted by 29 CFR 2520.103-8 of the DOL's Rules and Regulations for Reporting and Disclosure under ERISA, the Plan Administrator instructed the Plan's independent auditors not to perform any auditing procedures with respect to information which appears throughout the financial statements and supplemental schedules related to the following assets:

	<u>2019</u>	<u>2018</u>
Investments, at fair value	\$ 427,568,368	\$ 347,774,730
Participant notes receivable	6,258,928	5,381,290
Investment income (loss):		
Total interest and dividends	\$ 15,435,528	\$ 16,976,711
Net appreciation (depreciation)	65,831,402	(33,329,112)
Total interest on participant notes receivable	305,710	253,889

The difference between certain information summarized above and in the accompanying financial statements and schedule is attributable to adjustments made to the Plan's financial statements to reflect the receivable for both participant and Company contributions.

HERITAGE GROUP RETIREMENT SAVINGS PLAN

NOTES TO FINANCIAL STATEMENTS

December 31, 2019

NOTE 7 FAIR VALUE MEASUREMENTS

Financial Accounting Standards Board ASC 820, Fair Value Measurements, establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

- Level 1** Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.
- Level 2** Inputs to the valuation methodology include:
- Quoted prices for similar assets or liabilities in active markets;
 - Quoted prices for identical or similar assets or liabilities in inactive markets;
 - Inputs other than quoted prices that are observable for the asset or liability;
 - Inputs that are derived principally from or corroborated by observable market data by correlation or other means.
- If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.
- Level 3** Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2019.

Mutual funds: Valued at the closing price reported on the active market on which the individual securities are traded.

Common Collective Trust: Valued at fair value based on the values of the underlying mutual funds or The net asset value ("NAV"), as provided by the trustee, is used as a practical expedient to estimate fair value. The NAV is based on the fair value of the underlying investments held by the fund less its liabilities. In accordance with ASC 820-10, certain investments that are measured at fair value using the net asset value per share (or its equivalent) practical expedient have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the amounts presented in the statement of financial position.

HERITAGE GROUP RETIREMENT SAVINGS PLAN

NOTES TO FINANCIAL STATEMENTS

December 31, 2019

NOTE 7 FAIR VALUE MEASUREMENTS - continued

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, with the fair value hierarchy, the Plan's assets at fair value as of December 31, 2019:

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Total</u>
Mutual Funds	\$ 417,692,600	\$ -	\$ -	\$ 417,692,600
Common collective trusts measured at NAV				\$ 9,875,768
Total assets at fair value	\$ 417,692,600	\$ -	\$ -	\$ 427,568,368

The following table sets forth by level, with the fair value hierarchy, the Plan's assets at fair value as of December 31, 2018:

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Total</u>
Mutual Funds	\$ 323,470,821	\$ 12,973,375	\$ -	\$ 336,444,196
Common Collective Trust	-	11,330,534	-	11,330,534
Total assets at fair value	\$ 323,470,821	\$ 24,303,909	\$ -	\$ 347,774,730

Changes in Fair Value Levels

The availability of observable market data is monitored to assess the appropriate classification of financial instruments within the fair value hierarchy. Changes in economic conditions or model-based valuation techniques may require the transfer of financial instruments from one fair value level to another. In such instances, the transfer is reported at the beginning of the reporting period.

We evaluated the significance of transfers between levels based upon the nature of the financial instrument and size of the transfer relative to total net assets available for benefits. For the year ended December 31, 2018 there were no significant transfers in or out of Levels 1, 2, or 3.

HERITAGE GROUP RETIREMENT SAVINGS PLAN

NOTES TO FINANCIAL STATEMENTS

December 31, 2019

NOTE 8 RECONCILIATION TO FORM 5500

The following is a reconciliation of net assets available for benefits per the financial statements to net assets per Form 5500 at December 31, 2019 and 2018:

	<u>2019</u>	<u>2018</u>
Net Assets Available for benefits per the financial statements	\$ 437,800,060	\$ 356,683,859
Loans deemed distributed	<u>(71,768)</u>	<u>-</u>
Net Assets Available for benefits per Form 5500	<u>\$ 437,728,292</u>	<u>\$ 356,683,859</u>

The following is a reconciliation of net increase (decrease) per the financial statements to net income per Form 5500 at December 31, 2019 and 2018:

	<u>2019</u>	<u>2018</u>
Net Increase (Decrease) per the financial statements	\$ 77,778,783	\$ (13,047,980)
Change in loans deemed distributed	<u>(71,768)</u>	<u>-</u>
Net Increase (Decrease) per the Form 5500	<u>\$ 77,707,015</u>	<u>\$ (13,047,980)</u>

NOTE 9 CHANGE IN PLAN TRUSTEE

Effective September 10, 2019, Great-West Trust Company, dba Empower Retirement became the trustee and custodian and recordkeeper, replacing Vanguard Fiduciary Trust Company.

NOTE10 PLAN MERGER

Western States Asphalt 401(k) Plan merged into the Plan in March, 2019, transferring in assets of \$3,337,418.

NOTE 11 SUBSEQUENT PLAN UPDATES

Effective January 1, 2020, the Plan was amended to modify the employer matching contributions for certain participating employers as \$1.17 for each \$1.00 of the participant's salary deferrals, up to 6% of the participants annual Plan compensation; \$1.00 for each \$1.00 of the participant's salary deferrals, up to 5.5% of the participants annual Plan compensation for other participating employers; \$1.00 for each \$1.00 of the participant's salary deferrals, up to 5% of the participants annual Plan compensation for other participating employers.

HERITAGE GROUP RETIREMENT SAVINGS PLAN

NOTES TO FINANCIAL STATEMENTS

December 31, 2019

NOTE 11 SUBSEQUENT PLAN UPDATES - continued

In March 2020, the CARES Act was signed into law and the Plan adopted certain special relief provisions of the CARES Act resulting from the COVID-19 pandemic. The provisions included:

In-service Coronavirus Related Distribution (CRD) allowable for any Plan participant affected by COVID-19, up to \$100,000 and made between January 1, 2020 and December 31, 2020. 10% early withdrawal penalty is waived for any CRD and may be repaid to the Plan (or to any other tax qualified plan) within three years as a rollover contribution.

Allowing delayed due dates of loan repayments for individuals affected by COVID-19 for up to one year for any repayments between March 27, 2020 and December 31, 2020. Following the suspension period, the loan will be re-amortized for an additional period of up to one year and include accrued interest incurred during the suspension. In addition, the maximum amount of a loan taken under the CARES Act provisions is increased to \$100,000 if made by September 23, 2020.

On December 20, 2019 the SECURE Act was signed into law. One of the provisions was an automatic adoption to amend the Plan's required minimum distribution. The Secure act stated if you reached the age of 70 1/2 in 2019 the prior rule applies and you must take your first RMD by April 1, 2020. If you reach age 70 1/2 in 2020 or later you must take your first RMD by April 1 of the year after you reach 72. However, required minimum distributions were waived for 2020 under the CARES Act.

Additionally, the following plans merged into the Plan in 2020:

Henry G. Meigs, LLC 401(k) Plan
Meigs Transport LLC 401(k) Plan
Monument Chemical Bayport 401(k) Plan
ProTack, LLC 401(k) Plan
Rineco Chemical Industries, Inc. 401(k) Plan
Tri-State Asphalt, LLC 401(k) Profit Sharing Plan

HERITAGE GROUP RETIREMENT SAVINGS PLAN

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SCHEDULE OF ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR

Year Ended December 31, 2019

ATTACHMENT TO 2019 FORM 5500, SCHEDULE H, LINE 4(i):

<u>(a)</u>	<u>(b) Identity Of Issue</u>	<u>(c) Investment Type</u>	<u>(d) Cost</u>	<u>(e) Current Value</u>
	VANGUARD TARGET RETIREMENT INC IN: Mutual Fund		**	\$ 4,204,234
	VANGUARD INSTL TRGT RETIRE 2015 INS Mutual Fund		**	5,555,253
	VANGUARD INSTL TRGT RETIRE 2020 INS Mutual Fund		**	11,542,894
	VANGUARD INSTL TRGT RETIRE 2025 INS Mutual Fund		**	22,103,843
	VANGUARD INSTL TRGT RETIRE 2030 INS Mutual Fund		**	15,534,417
	VANGUARD INSTL TRGT RETIRE 2035 INS Mutual Fund		**	21,775,142
	VANGUARD INSTL TRGT RETIRE 2040 INS Mutual Fund		**	16,793,639
	VANGUARD INSTL TRGT RETIRE 2045 INS Mutual Fund		**	17,322,296
	VANGUARD INSTL TRGT RETIRE 2050 INS Mutual Fund		**	11,080,958
	VANGUARD INSTL TRGT RETIRE 2055 INS Mutual Fund		**	7,208,496
	VANGUARD INSTL TRGT RETIRE 2060 INS Mutual Fund		**	2,867,447
	VANGUARD INSTL TRGT RETIRE 2065 INS Mutual Fund		**	172,950
	FIRST EAGLE GLOBAL A Mutual Fund		**	1,272,946
	OAKMARK INTERNATIONAL I Mutual Fund		**	1,845,724
	INVESCO OPPENHEIMER DEVELOPING M. Mutual Fund		**	1,052,246
	VANGUARD INTERNATIONAL GROWTH AC Mutual Fund		**	23,368,053
	VANGUARD REAL ESTATE INDEX ADMIRA Mutual Fund		**	371,083
	DELAWARE SMALL CAP VALUE INSTL Mutual Fund		**	1,727,115
	VANGUARD EXPLORER ADM Mutual Fund		**	9,935,451
	VANGUARD SMALL CAP GROWTH INDEX Mutual Fund		**	3,574,375
	VANGUARD MID CAP INDEX ADM Mutual Fund		**	1,825,640
	VANGUARD 500 INDEX ADMIRAL Mutual Fund		**	67,309,288
	VANGUARD PRIMECAP ADM Mutual Fund		**	86,686,775
	VANGUARD WINDSOR II FUND - ADMIRAL Mutual Fund		**	14,467,562
	VANGUARD WELLINGTON ADM Mutual Fund		**	33,421,485
	VANGUARD TOTAL BOND MARKET INDEX Mutual Fund		**	22,840,099
	VANGUARD FEDERAL MONEY MARKET IN Mutual Fund		**	11,833,189
	Putnam Stable Value Fund Common/Collective Trust		**	<u>9,875,768</u>
	Investments as reported on Statement of Net Assets			427,568,368
* Participant Loans	Participant Notes Receivable, 4.25% - 6.5%, varying maturities			<u>6,258,928</u>
	Investments as reported on Schedule H, Line 4i			<u>\$ 433,827,296</u>

* Party in Interest

** Cost omitted for participant directed investments

HERITAGE GROUP RETIREMENT SAVINGS PLAN

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SCHEDULE OF REPORTING REQUIREMENTS UNDER DEPARTMENT OF LABOR'S RULES

Year Ended December 31, 2019

No activity to report in current period for the following:

Schedule of Assets Held for Investment Purposes Which Were Both Acquired and Disposed
Within the Plan Year

Schedule of Reportable Transactions

Schedule of Loans or Fixed Income Obligations in Default or Classified as Uncollectible

Schedule of Leases In Default or Classified as Uncollectible

There were no nonexempt transactions to report for the year ended December 31, 2019.

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SCHEDULE OF ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR

Year Ended December 31, 2019

ATTACHMENT TO 2019 FORM 5500, SCHEDULE H, LINE 4(i):

<u>(a)</u>	<u>(b) Identity Of Issue</u>	<u>(c) Investment Type</u>	<u>(d) Cost</u>	<u>(e) Current Value</u>
	VANGUARD TARGET RETIREMENT INC IN	Mutual Fund	**	\$ 4,204,234
	VANGUARD INSTL TRGT RETIRE 2015 INS	Mutual Fund	**	5,555,253
	VANGUARD INSTL TRGT RETIRE 2020 INS	Mutual Fund	**	11,542,894
	VANGUARD INSTL TRGT RETIRE 2025 INS	Mutual Fund	**	22,103,843
	VANGUARD INSTL TRGT RETIRE 2030 INS	Mutual Fund	**	15,534,417
	VANGUARD INSTL TRGT RETIRE 2035 INS	Mutual Fund	**	21,775,142
	VANGUARD INSTL TRGT RETIRE 2040 INS	Mutual Fund	**	16,793,639
	VANGUARD INSTL TRGT RETIRE 2045 INS	Mutual Fund	**	17,322,296
	VANGUARD INSTL TRGT RETIRE 2050 INS	Mutual Fund	**	11,080,958
	VANGUARD INSTL TRGT RETIRE 2055 INS	Mutual Fund	**	7,208,496
	VANGUARD INSTL TRGT RETIRE 2060 INS	Mutual Fund	**	2,867,447
	VANGUARD INSTL TRGT RETIRE 2065 INS	Mutual Fund	**	172,950
	FIRST EAGLE GLOBAL A	Mutual Fund	**	1,272,946
	OAKMARK INTERNATIONAL I	Mutual Fund	**	1,845,724
	INVESCO OPPENHEIMER DEVELOPING M	Mutual Fund	**	1,052,246
	VANGUARD INTERNATIONAL GROWTH AC	Mutual Fund	**	23,368,053
	VANGUARD REAL ESTATE INDEX ADMIRA	Mutual Fund	**	371,083
	DELAWARE SMALL CAP VALUE INSTL	Mutual Fund	**	1,727,115
	VANGUARD EXPLORER ADM	Mutual Fund	**	9,935,451
	VANGUARD SMALL CAP GROWTH INDEX	Mutual Fund	**	3,574,375
	VANGUARD MID CAP INDEX ADM	Mutual Fund	**	1,825,640
	VANGUARD 500 INDEX ADMIRAL	Mutual Fund	**	67,309,288
	VANGUARD PRIMECAP ADM	Mutual Fund	**	86,686,775
	VANGUARD WINDSOR II FUND - ADMIRAL	Mutual Fund	**	14,467,562
	VANGUARD WELLINGTON ADM	Mutual Fund	**	33,421,485
	VANGUARD TOTAL BOND MARKET INDEX	Mutual Fund	**	22,840,099
	VANGUARD FEDERAL MONEY MARKET IN	Mutual Fund	**	11,833,189
	Putnam Stable Value Fund	Common/Collective Trust	**	9,875,768
		Investments as reported on Statement of Net Assets		427,568,368
* Participant Loans		Participant Notes Receivable, 4.25% - 6.5%, varying maturities		6,258,928
		Investments as reported on Schedule H, Line 4i		<u>\$ 433,827,296</u>
* Party in Interest				
** Cost omitted for participant directed investments				

HERITAGE GROUP RETIREMENT SAVINGS PLAN
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SCHEDULE OF PARTICIPATING EMPLOYERS

As of December 31, 2019 the following companies have elected to be participating Company's in the Plan:

Asphalt Materials, Inc.
Bituminous Materials and Supply, L.P.
Emulsicoat, Inc.
Heritage Aggregates, LLC
Heritage Environmental Services, LLC
Heritage Interactive Services, LLC
Heritage Transport, LLC
Laketon Refining Corporation
Legacy Resources Co., L.P.

Milestone Contractors, L.P.
Monument Chemical Houston, LLC
Monument Chemicals, LLC
Monument Chemical Kentucky, LLC
Pavement Maintenance Systems, LLC
Real Estate Recovery Capital, LLC
U.S. Aggregates, Inc.
Western States Asphalt, LLC