

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2019 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2019 or fiscal plan year beginning <u>01/01/2019</u> and ending <u>12/31/2019</u>	
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☒ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)

Part II	Basic Plan Information—enter all requested information				
1a Name of plan <u>VISTRA ENERGY RETIREMENT PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>002</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>01/01/1947</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>002</u>	1c Effective date of plan <u>01/01/1947</u>	
1b Three-digit plan number (PN) ▶	<u>002</u>				
1c Effective date of plan <u>01/01/1947</u>					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>VISTRA OPERATIONS COMPANY LLC</u> <u>6555 SIERRA DRIVE 3S-20D</u> <u>IRVING, TX 75039</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>36-4833461</u></td> </tr> <tr> <td>2c Plan Sponsor's telephone number <u>214-812-4600</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>551112</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>36-4833461</u>	2c Plan Sponsor's telephone number <u>214-812-4600</u>	2d Business code (see instructions) <u>551112</u>	
2b Employer Identification Number (EIN) <u>36-4833461</u>					
2c Plan Sponsor's telephone number <u>214-812-4600</u>					
2d Business code (see instructions) <u>551112</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/15/2020	BARBARA MUELLER
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2019)
v. 190130

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN
5 Total number of participants at the beginning of the plan year	5 997
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	6a(1) 467 6a(2) 385 6b 501 6c 88 6d 974 6e 0 6f 974 6g 0 6h 0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 1A 1C 1E 3F 3H b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1)** ☒ **R** (Retirement Plan Information)
- (2)** ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3)** ☒ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- (1)** ☒ **H** (Financial Information)
- (2)** ☐ **I** (Financial Information – Small Plan)
- (3)** ☐ 0 **A** (Insurance Information)
- (4)** ☒ **C** (Service Provider Information)
- (5)** ☒ **D** (DFE/Participating Plan Information)
- (6)** ☐ **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2019 Form M-1 annual report. If the plan was not required to file the 2019 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500 or 5500-SF.	<small>OMB No. 1210-0110</small> 2019 This Form is Open to Public Inspection
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For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

► **Round off amounts to nearest dollar.**

► **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan <u>VISTRA ENERGY RETIREMENT PLAN</u>	B Three-digit plan number (PN) ►	<u>002</u>
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF <u>VISTRA OPERATIONS COMPANY LLC</u>	D Employer Identification Number (EIN) <u>36-4833461</u>	
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B		
F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500		

Part I	Basic Information		
1	Enter the valuation date: Month <u>01</u> Day <u>01</u> Year <u>2019</u>		
2	Assets:		
	a Market value	2a	<u>281189917</u>
	b Actuarial value	2b	<u>281189917</u>
3	Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target
	a For retired participants and beneficiaries receiving payment.....	<u>435</u>	<u>148508055</u>
	b For terminated vested participants.....	<u>95</u>	<u>12585395</u>
	c For active participants	<u>467</u>	<u>113967521</u>
	d Total.....	<u>997</u>	<u>274802633</u>
4	If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐		
	a Funding target disregarding prescribed at-risk assumptions	4a	
	b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor.....	4b	
5	Effective interest rate	5	<u>5.52 %</u>
6	Target normal cost.....	6	<u>6224899</u>

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	Signature of actuary <u>ALLISON LOGAN</u> Type or print name of actuary <u>AON CONSULTING, INC.</u> Firm name <u>5005 LBJ FREEWAY</u> <u>SUITE 1400</u> <u>DALLAS, TX 75244</u> Address of the firm	<u>08/14/2020</u> Date <u>20-07794</u> Most recent enrollment number <u>214-989-2641</u> Telephone number (including area code)
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If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice, see the Instructions for Form 5500 or 5500-SF.

**Schedule SB (Form 5500) 2019
v. 190130**

Part II Beginning of Year Carryover and Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	41550270
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9 Amount remaining (line 7 minus line 8)	0	41550270
10 Interest on line 9 using prior year's actual return of <u>-5.47</u> %	0	-2272800
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		0
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>5.69</u> %		0
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
c Total available at beginning of current plan year to add to prefunding balance		0
d Portion of (c) to be added to prefunding balance		0
12 Other reductions in balances due to elections or deemed elections	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	39277470

Part III Funding Percentages

14 Funding target attainment percentage	14	87.94 %
15 Adjusted funding target attainment percentage	15	102.22 %
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	104.15 %
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
Totals ▶			18(b)	0	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	0

20 Quarterly contributions and liquidity shortfalls:

- a** Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No
- b** If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No
- c** If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost**21** Discount rate:**a** Segment rates:1st segment:
3.74 %2nd segment:
5.35 %3rd segment:
6.11 %☐ N/A, full yield curve used**b** Applicable month (enter code)**21b**

4

22 Weighted average retirement age**22**

61

23 Mortality table(s) (see instructions) ☐ Prescribed - combined☒ Prescribed - separate☐ Substitute**Part VI Miscellaneous Items****24** Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No**25** Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No**26** Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No**27** If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.**27****Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years****28** Unpaid minimum required contributions for all prior years **28** 0**29** Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a) **29** 0**30** Remaining amount of unpaid minimum required contributions (line 28 minus line 29) **30** 0**Part VIII Minimum Required Contribution For Current Year****31** Target normal cost and excess assets (see instructions):**a** Target normal cost (line 6) **31a** 6224899**b** Excess assets, if applicable, but not greater than line 31a **31b** 0**32** Amortization installments:**a** Net shortfall amortization installment Outstanding Balance 33148524 Installment 5386230**b** Waiver amortization installment 0 0**33** If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount **33****34** Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33) **34** 11611129

	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	0	11611129	11611129

36 Additional cash requirement (line 34 minus line 35) **36** 0**37** Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c) **37** 0**38** Present value of excess contributions for current year (see instructions)**a** Total (excess, if any, of line 37 over line 36) **38a** 0**b** Portion included in line 38a attributable to use of prefunding and funding standard carryover balances **38b** 0**39** Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37) **39** 0**40** Unpaid minimum required contributions for all years **40** 0**Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)****41** If an election was made to use PRA 2010 funding relief for this plan:**a** Schedule elected ☐ 2 plus 7 years ☐ 15 years**b** Eligible plan year(s) for which the election in line 41a was made ☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

<div>SCHEDULE C</div> <div>(Form 5500)</div> <div>Department of the Treasury Internal Revenue Service</div> <div>Department of Labor Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div>	<div>Service Provider Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div>	OMB No. 1210-0110
		2019
		This Form is Open to Public Inspection.

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019		
A Name of plan VISTRA ENERGY RETIREMENT PLAN	B Three-digit plan number (PN) ▶	002
C Plan sponsor's name as shown on line 2a of Form 5500 VISTRA OPERATIONS COMPANY LLC	D Employer Identification Number (EIN) 36-4833461	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No
- b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

AON HEWITT INVESTMENT CONSULTING

36-3109431

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16	NONE	383041	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

PACIFIC INVESTMENT MANAGEMENT CO

33-0629048

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	204561	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

NORTHERN TRUST CORPORATION

36-2723087

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21	NONE	126967	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

LEGAL & GENERAL INVESTMENT MGMT

20-8058531

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	120322	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

THE BANK OF NEW YORK MELLON

13-5160382

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 50	NONE	54888	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
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(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection.
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For calendar plan year 2019 or fiscal plan year beginning **01/01/2019** and ending **12/31/2019**

A Name of plan VISTRA ENERGY RETIREMENT PLAN	B Three-digit plan number (PN)	002
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 VISTRA OPERATIONS COMPANY LLC	D Employer Identification Number (EIN) 36-4833461	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: COLLECTIVE US GOV'T STIF 15		
b Name of sponsor of entity listed in (a): THE BANK OF NEW YORK MELLON		
c EIN-PN 13-6154008-012	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
a Name of MTIA, CCT, PSA, or 103-12 IE: NT COLLECTIVE S&P 500 INDEX FUND NL		
b Name of sponsor of entity listed in (a): NORTHERN TRUST GLOBAL ADVISORS		
c EIN-PN 45-6138589-002	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
a Name of MTIA, CCT, PSA, or 103-12 IE: NT COLLECTIVE RUSSELL 2000 INDEX NL		
b Name of sponsor of entity listed in (a): NORTHERN TRUST GLOBAL ADVISORS		
c EIN-PN 45-6138589-096	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
a Name of MTIA, CCT, PSA, or 103-12 IE: NT COLLECTIVE MSCI ACWI EX-US INDEX		
b Name of sponsor of entity listed in (a): NORTHERN TRUST GLOBAL ADVISORS		
c EIN-PN 45-6138589-103	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
a Name of MTIA, CCT, PSA, or 103-12 IE: NTGI COLTV GOVT STIF REGI STERED		
b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENT, INC		
c EIN-PN 45-6138589-068	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3392819
a Name of MTIA, CCT, PSA, or 103-12 IE: CF AHGT GLOBAL REAL ESTATE FUND		
b Name of sponsor of entity listed in (a): AON HEWITT INVESTMENT CONSULTANT, INC.		
c EIN-PN 27-2436452-005	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 20224382
a Name of MTIA, CCT, PSA, or 103-12 IE: CF AHGT GLOBAL EQUITY FUND		
b Name of sponsor of entity listed in (a): AON HEWITT INVESTMENT CONSULTANT, INC		
c EIN-PN 27-2436452-011	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 10274615

a Name of MTIA, CCT, PSA, or 103-12 IE: CF AHGT HIGH YIELD BOND PLUS FUND		
b Name of sponsor of entity listed in (a): AON HEWITT INVESTMENT CONSULTANT, INC		
c EIN-PN 27-2436452-004	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 14604109
a Name of MTIA, CCT, PSA, or 103-12 IE: CF AHGT US INTER CR BD FD		
b Name of sponsor of entity listed in (a): AON HEWITT INVESTMENT CONSULTANT, INC		
c EIN-PN 27-2436452-014	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 4107323
a Name of MTIA, CCT, PSA, or 103-12 IE: CF AHGT US LONG CR BD FD		
b Name of sponsor of entity listed in (a): AON HEWITT INVESTMENT CONSULTANT, INC		
c EIN-PN 27-2436452-015	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 181558601
a Name of MTIA, CCT, PSA, or 103-12 IE: CF AHGT 20+ YR US TREASURY STRIPS F		
b Name of sponsor of entity listed in (a): AON HEWITT INVESTMENT CONSULTANT, INC		
c EIN-PN 27-2436452-012	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 5534134
a Name of MTIA, CCT, PSA, or 103-12 IE: CF SSGA GLOBAL EQUITY EX USA INX NL		
b Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS TRUST COMPANY		
c EIN-PN 32-6528132-016	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 22167049
a Name of MTIA, CCT, PSA, or 103-12 IE: CF SSGA INTERMEDIATE US GOVT BOND		
b Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS TRUST COMPANY		
c EIN-PN 90-0337987-209	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1446280
a Name of MTIA, CCT, PSA, or 103-12 IE: CF SSGA RUSSELL LARGE CAP INX SF		
b Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS TRUST COMPANY		
c EIN-PN 90-0337987-224	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 20477071
a Name of MTIA, CCT, PSA, or 103-12 IE: CF SSGA RUSSELL SMALL CAP INX SF		
b Name of sponsor of entity listed in (a): STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 04-0025081-096	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 5482141
a Name of MTIA, CCT, PSA, or 103-12 IE: CF SSGA US LONG GOVT BOND INDX SF		
b Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS TRUST COMPANY		
c EIN-PN 90-0337987-182	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 24105932
a Name of MTIA, CCT, PSA, or 103-12 IE: ALLIANZ STRUCTURED ALPHA EMERGING		
b Name of sponsor of entity listed in (a): ALLIANZ GLOBAL INVESTORS		
c EIN-PN 81-4086378-000	d Entity code E	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 9853620

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2019 This Form is Open to Public Inspection
For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019		
A Name of plan VISTRA ENERGY RETIREMENT PLAN	B Three-digit plan number (PN) ►	002
C Plan sponsor's name as shown on line 2a of Form 5500 VISTRA OPERATIONS COMPANY LLC	D Employer Identification Number (EIN) 36-4833461	

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)		
(2) Participant contributions.....	1b(2)		
(3) Other.....	1b(3)	9620278	5301
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	270471	0
(2) U.S. Government securities	1c(2)	64769036	0
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	73253858	0
(B) All other.....	1c(3)(B)	81572585	0
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common.....	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property).....	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans.....	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)	54657987	313374456
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities.....	1c(12)	0	9853620
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	7702414	0
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)	13889615	0

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	305736244	323233377

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h	544815	297621
i Acquisition indebtedness	1i		
j Other liabilities	1j	24546359	0
k Total liabilities (add all amounts in lines 1g through 1j)	1k	25091174	297621

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	280645070	322935756
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Part II Income and Expense Statement

- 2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)		
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		0
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	20851	
(B) U.S. Government securities	2b(1)(B)	818609	
(C) Corporate debt instruments	2b(1)(C)	4163564	
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)	558677	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		5561701
(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	66702	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		66702
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	904306101	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	900660324	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		3645777
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	624382	
(B) Other	2b(5)(B)	19577514	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		20201896

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		28452999
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		497972
c Other income	2c		209821
d Total income. Add all income amounts in column (b) and enter total	2d		58636868

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	14755610	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		14755610
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)	555107	
(2) Contract administrator fees	2i(2)	3650	
(3) Investment advisory and management fees	2i(3)	325301	
(4) Other	2i(4)	706514	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		1590572
j Total expenses. Add all expense amounts in column (b) and enter total	2j		16346182

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		42290686
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☐ Yes ☒ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: MCCONNELL & JONES, LLP

(2) EIN: 76-0488832

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.).....		X	
4d		X	
e Was this plan covered by a fidelity bond?	X		20000000
4e	X		20000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?.....		X	
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?.....		X	
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	X		
4j	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?.....		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ☒ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 4246390. (See instructions.)

<div>SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Retirement Plan Information</div> <div>This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500.</div>	<div>OMB No. 1210-0110</div> <div>2019</div> <div>This Form is Open to Public Inspection.</div>
For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019		
A Name of plan VISTRA ENERGY RETIREMENT PLAN		B Three-digit plan number (PN) ▶ 002
C Plan sponsor's name as shown on line 2a of Form 5500 VISTRA OPERATIONS COMPANY LLC		D Employer Identification Number (EIN) 36-4833461
Part I	Distributions	
All references to distributions relate only to payments of benefits during the plan year.		
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions		1 0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 36-3046063		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year		3 25
Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)	
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ☐ Yes ☐ No ☒ N/A If the plan is a defined benefit plan, go to line 8.		
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month Day Year If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.		
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)		6a
b Enter the amount contributed by the employer to the plan for this plan year		6b
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)		6c
If you completed line 6c, skip lines 8 and 9.		
7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ☐ Yes ☐ No ☐ N/A		
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ☐ Yes ☐ No ☒ N/A		
Part III	Amendments	
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ☒ Increase ☐ Decrease ☐ Both ☐ No		
Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.	
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ☐ Yes ☐ No		
11 a Does the ESOP hold any preferred stock? ☐ Yes ☐ No		
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ☐ Yes ☐ No		
12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ☐ Yes ☐ No		
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.		
Schedule R (Form 5500) 2019 v. 190130		

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year

b The plan year immediately preceding the current plan year

c The second preceding plan year

14a**14b****14c**

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year

b The corresponding number for the second preceding plan year

15a**15b**

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers

16a**16b**

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

- a** Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

- b** If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

Vistra Energy Retirement Plan

Financial Statements
at December 31, 2019 and 2018 and for the
Years Ended December 31, 2019 and 2018
Supplemental Schedules at and for the
Year Ended December 31, 2019 and
Independent Auditor's Report

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Note:

The accompanying financial statements have been prepared for the purpose of filing with the Department of Labor's Form 5500. Supplemental schedules required by 29 CFR 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, other than the schedules listed above, are omitted because of the absence of the conditions under which they are required.



Independent Auditor's Report

To the Plan Administrator and
Participants of the Vistra Energy Retirement Plan

Report on the Financial Statements

We have audited the accompanying financial statements of the Vistra Energy Retirement Plan (the "Plan"), which comprise the Statements of Net Assets Available for Benefits as of December 31, 2019 and 2018, and the related Statements of Changes in Net Assets Available for Benefits for the years ended, and the related Notes to Financial Statements.

Plan Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America ("US GAAP"); this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America ("US GAAS"). Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Plan's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by Plan management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for benefits of the Plan as of December 31, 2019 and 2018, and the changes in net assets available for benefits for the years ended, in accordance with US GAAP.

Report on Supplemental Schedules

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedules listed in the table of contents as of and for the year ended December 31, 2019 are presented for the purpose of additional analysis and is not a required part of the basic financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, as amended. Such information is the responsibility of Plan management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has



been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or the financial statements themselves, and other additional procedures in accordance with US GAAS. In our opinion, the information is fairly stated in all material respects in relation to the basic financial statements taken as a whole.

McConnell & Jones LLP

Houston, Texas
October 13, 2020

VISTRA ENERGY RETIREMENT PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS DECEMBER 31, 2019 AND 2018

	December 31,	
	2019	2018
ASSETS		
INVESTMENTS, AT FAIR VALUE (Notes 3 and 4):		
Interest bearing cash	\$ —	\$ 270,471
Fixed income securities:		
United States (U.S.) Government	—	64,769,036
Corporate bonds	—	154,826,443
Partnership/joint venture interests	9,853,620	—
Real estate	20,224,382	—
Common funds:		
Mutual funds	—	7,702,414
Commingled trusts	293,150,074	54,657,987
Taxable municipal bonds	—	10,429,810
Derivative financial instruments	—	1,206,886
Other investments	—	2,252,919
Total investments	323,228,076	296,115,966
FOREIGN EXCHANGE CONTRACTS RECEIVABLE	—	5,867,467
RECEIVABLE FROM SALE OF SECURITIES	3,711	994,853
ACCRUED INTEREST AND DIVIDENDS	1,590	2,757,958
Total assets	323,233,377	305,736,244
LIABILITIES		
ACCRUED EXPENSES	297,621	544,815
PAYABLE FOR SECURITIES PURCHASED	—	18,640,867
FOREIGN EXCHANGE CONTRACTS PAYABLE	—	5,880,852
OTHER LIABILITIES	—	24,640
Total liabilities	297,621	25,091,174
NET ASSETS AVAILABLE FOR BENEFITS	\$ 322,935,756	\$ 280,645,070

See Notes to Financial Statements.

VISTRA ENERGY RETIREMENT PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS YEARS ENDED DECEMBER 31, 2019 AND 2018

	For the Year Ended December 31,	
	2019	2018
<u>ADDITIONS:</u>		
Investment income (loss):		
Net appreciation (depreciation) in fair value of investments	\$ 52,798,644	\$ (26,735,277)
Interest and dividends	5,628,403	10,102,356
Other investment income	209,821	122,782
Total investment income (loss)	58,636,868	(16,510,139)
Total additions	58,636,868	(16,510,139)
<u>DEDUCTIONS:</u>		
Benefits paid to participants	14,755,610	19,757,611
Administrative expenses (Note 2)	1,590,572	1,935,546
Total deductions	\$ 16,346,182	\$ 21,693,157
NET INCREASE (DECREASE) IN NET ASSETS AVAILABLE FOR BENEFITS	42,290,686	(38,203,296)
NET ASSETS AVAILABLE FOR BENEFITS:		
Beginning of year	280,645,070	318,848,366
End of year	\$ 322,935,756	\$ 280,645,070

See Notes to Financial Statements.

VISTRA ENERGY RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

1. THE PLAN

General

The Vistra Energy Retirement Plan (the "Plan") is a qualified noncontributory defined benefit pension plan that covers eligible active collective bargaining unit employees of a subsidiary of Vistra Energy Corp. ("Vistra") and its U.S. subsidiaries (collectively the "Company"). The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended ("ERISA").

Effective July 2, 2020, Vistra changed its name to Vistra Corp. and the Plan changed its name to Vistra Retirement Plan.

In January and February 2018, Vistra retired three power plants. Workforce reductions related to these plant retirements resulted in the Plan experiencing a partial plan termination as defined by ERISA. Under ERISA, a partial plan termination may occur if a significant percentage of the Plan's participants are terminated because of an action taken by the Plan's sponsor. If a partial plan termination occurs, full vesting is required for participants who were involuntarily terminated. All participants in the Plan who were involuntarily terminated due to the workforce reductions were fully vested in their account balances at the date of the partial plan termination.

The Bank of New York Mellon Corporation was the Plan's trustee through December 31, 2018 and held the Plan's assets at December 31, 2018. On January 1, 2019, The Northern Trust Company (the "Trustee") replaced The Bank of New York Mellon Corporation as the Plan's trustee. Aon is the Plan's administrative service provider. The Plan provisions are included in the Plan document, effective January 1, 2020, and a description of the Plan is included in a summary plan description, which has been made available to all participants in the Plan. Reference should be made to such documents for a more complete understanding of Plan benefits and operations.

Eligible employees generally become participants in the Plan upon attainment of age 21 and completion of one Year of Service (as defined in the Plan document). Under the Traditional Retirement Formula, Plan participants with five or more years of Vesting Service (as defined in the Plan document) after age 18 or who retire on or after attainment of age 65 are fully vested in the Plan and are eligible to receive monthly retirement benefits based on participant pay and Accredited Service (as defined in the Plan document). Under the Cash Balance Formula, Plan participants with three or more years of Vesting Service after the age of 18 or who retire on or after attainment of age 65 are fully vested in the Plan and are eligible to receive a lump sum payment or monthly retirement benefits based on contribution credits as determined by age, Accredited Service and participant earnings (as defined in the Plan document), plus interest credits under the Cash Balance Formula (as described below). The Plan permits early retirement beginning at age 55 with at least 15 years of Accredited Service. Some reductions in normal monthly retirement benefits occur under the Traditional Retirement Formula if participants elect to begin receiving benefits prior to reaching age 62. Certain death benefits are also provided under the Plan.

The Plan closed to new hires as of October 1, 2007. Eligible employees hired prior to October 1, 2007 generally became participants in the Plan after attainment of age 21 and completion of one Year of Service (as defined in the Plan document). All eligible employees who were hired on or after January 1, 2001, and who therefore became eligible to participate in the Plan on or after January 1, 2002, are covered under the Cash Balance Formula of the Plan. Under the Cash Balance Formula, the Company makes a monthly contribution to a hypothetical account established for each Cash Balance Formula participant. The amount of the Company contribution is equal to a percentage of the participant's eligible compensation determined on the basis of the participant's combined age and years of Accredited Service according to the following table:

Age + Years of Accredited Service	Contribution Credit
<35	3.5%
35-49	4.5%
50-64	5.5%
65+	6.5%

VISTRA ENERGY RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Participants can receive their Cash Balance Formula benefit upon retirement or when they otherwise terminate employment with the Company, provided that, if the termination of employment is for a reason other than retirement and the participant has less than ten years of Accredited Service under the Plan, the participant may not receive their benefit until the participant would have ten years of Accredited Service had they not terminated from employment or the participant attains age 65 (whichever comes first), except as explained in the paragraph immediately below. Participants may, at their election, receive their benefit earned under the Cash Balance Formula in the form of a single lump sum payment or one of the monthly annuities available under the Plan. The amount of the Cash Balance Formula benefit is based on the value of the participant's Cash Balance Formula account.

For all Plan participants who terminate employment before retirement with a benefit in the Plan that is less than or equal to \$1,000, their benefit is distributed to them in a lump sum payment upon termination of employment. If a participant's benefit is greater than \$1,000 but less than or equal to \$5,000 upon termination of employment before retirement, the participant may elect to receive their benefit in the form of a lump sum payment upon termination of employment. Otherwise, upon direction of the Vistra Retirement Plan Committee, the benefit may be transferred to an individual retirement account.

For certain military personnel who do not return to active employment due to death or disability while on active duty, the Company will make contributions to the Plan for the period during which the employee was on active duty, and in the event of death, will apply the provisions of the Plan relating to survivor benefits as if the participant were an active employee on the date of death.

Plan Termination

The Plan is subject to termination at any time by the Board of Directors of Vistra, in which event all Plan assets will be used for the benefit of participants and their beneficiaries under the Plan. In the event of liquidation, the distribution of assets shall conform to the law and shall recognize the priority of claims and interests in the payment of benefits in accordance with applicable provisions of the law. Whether a particular participant's accumulated benefits will be paid depends on both the priority of those benefits and the level of benefits guaranteed at the time by the Pension Benefit Guaranty Corporation ("PBGC"). Some benefits may be fully or partially provided for by the then-existing assets or the PBGC, while other benefits may not be provided for at all.

Contributions

The Company's contributions to the Plan to fund the benefits are based on an independent actuarial study and satisfy the minimum funding requirements of ERISA for the years 2019 and 2018. The Company made no contribution to the Plan for the 2019 plan year. The assets of the Plan attributable to the contributions made by the Company are to be used solely to provide benefits to participants of the Plan.

Party-in-Interest

The Trustee invests certain assets in NTGI Collective U.S. Government Short-Term Investment Fund, which is managed by The Northern Trust Company. Aon is the Plan's administrative service provider and the Plan invests in certain assets managed by Aon Hewitt Group Trust ("AHGT") (see Note 4). Prior to January 1, 2019, The Bank of New York Mellon Corporation invested certain assets in The Bank of New York Mellon Corporation U.S. Government Short-Term Investment Fund, which is managed by The Bank of New York Mellon Corporation. These transactions qualify as party-in-interest transactions allowable under ERISA regulations.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The financial statements of the Plan are prepared under the accrual method of accounting. Benefit payments are recorded when paid. Subsequent events have been evaluated through October 13, 2020, the date these financial statements were available to be issued.

VISTRA ENERGY RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Use of Estimates

The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of net assets available for benefits and changes therein. Actual results could differ from those estimates.

Risks and Uncertainties

The Plan holds various investment instruments. Investment securities, in general, are exposed to various risks, such as interest rate, credit, and overall market volatility. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near term and such changes could materially affect the amounts reported in the statements of net assets available for benefits.

Benefit costs are impacted by actual and actuarial estimates of employee demographics, the level of contributions made to the Plan, expected and actual earnings on Plan assets and the discount/inflation rates used in determining the projected benefit obligation. Changes made to the provisions of the Plan may also impact current and future benefit costs. Changes in general interest rates may result in increased or decreased benefit cost obligations reported in future periods. It is reasonably possible that such changes could have a material effect on the amount of benefit obligations reported in the financial statements.

COVID 19 Pandemic

In March 2020, the World Health Organization categorized the novel coronavirus (COVID-19) as a pandemic, triggering volatility in financial markets and significant economic uncertainties. This volatility and related uncertainties could have a material impact on the amounts reported in the statements of net assets available for benefits, changes in net assets available for benefits and the accumulated plan benefits. The duration of these uncertainties and the ultimate financial effects are unknown at this time. Plan management does not believe there is substantial doubt about the Plan's ability to continue as a going concern.

Investments

The investments of the Plan are presented at fair value. See Note 4 for additional information on fair value measurements.

Contributions

Contributions to the Plan are recorded in the period that the Plan's actuary determines they relate to, except that a contribution receivable is recorded to the extent that amounts due are pursuant to formal commitments as well as legal or contractual requirements in existence at the end of the Plan year.

Administrative Expenses

During the year ended December 31, 2019, the Plan paid the costs and expenses of investment transactions and the fees of investment managers engaged by the Vistra Retirement Plan Committee, which consists of certain management-level employees of the Company. The Plan also incurred trustee fees, PBGC insurance fees and relevant actuarial, audit and legal fees.

Actuarial Assumptions

The actuarial present value of accumulated plan benefits is determined by the consulting actuary by applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money and the probability of payment between the valuation date and the expected payment date. The more significant actuarial assumptions used in the actuarial valuations at January 1, 2019, include:

- use of an annual rate of interest of 5.10% for January 1, 2019;
- use of a cash balance interest crediting rate of 3.50% for January 1, 2019;
- use of the amounts-weighted aggregate rates of the Pri-2012 mortality study projected generationally from 2012 with Scale MP-2019 and the Pri-2012 contingent survivor mortality study projected generationally from 2012 with Scale MP-2019 for January 1, 2019, and

VISTRA ENERGY RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

- the assumed rates of retirement at various ages at January 1, 2019 for all Company participants are the following:

Ages	Rates Assumed
55-59	5-12%
60-61	13-15%
62-64	20-35%
65-69	35%
70 and older	100%

Derivatives

The Plan had no outstanding derivatives at December 31, 2019. The Plan had established policies and procedures for risk assessment and the approval, reporting and monitoring of derivative financial instrument activities. The Plan entered into derivative financial instruments including forward foreign exchange contracts, futures contracts, written options and interest rate and credit default swaps, as described below. The instruments' maturity periods varied depending upon the objectives for which the instruments was held. The recorded fair values of the instruments are based on the applicable forward rates at December 31, 2018.

The Plan entered into forward contracts to purchase and sell foreign currencies to manage the currency exposure associated with the Plan's foreign investments. The credit risk associated with these contracts was minimal as they were entered into with a limited number of highly rated counterparties.

The Plan entered into futures contracts, written options and purchase options to manage market risk associated with the Plan's investments and to achieve overall investment portfolio objectives. The credit risk associated with these contracts was minimal as they were traded on organized exchanges and margined daily.

The Plan used credit default swaps to manage the risk of defaults by counterparties on bond investments. The Plan used interest rate swaps and options to manage its exposure to interest rate risk.

The following table provides the aggregate fair value of assets and liabilities of the Plan's derivatives not under hedge accounting included in derivative financial instruments and foreign exchange contracts receivable/payable as reported in the statements of net assets available for benefits at December 31, 2018:

	December 31, 2018		
	Asset	Liability	Notional (a)
Foreign currency contracts	\$ 32,410	\$ 45,794	\$ 5,855,241
Futures contracts	681,290	11,284	35,033,700
Written options	—	393,509	48,750,000
Purchase options	322,959	—	8,550,000
Credit default swaps	343,113	275,993	51,800,000
Interest rate swaps	662,084	121,773	30,400,000

(a) Represents fair value of foreign currency contracts and underlying principal value for all other derivatives.

At December 31, 2019 and 2018, there were no derivatives accounted for as cash flow or fair value hedges and no amounts recognized in accumulated other comprehensive income. Accordingly, for the year ended December 31, 2019, there were no gains or losses related to derivatives recognized in, or reclassified from, other comprehensive income.

VISTRA ENERGY RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Gains and losses on derivative financial instruments are recognized as fair values change. Generally, gains and losses on the derivative financial instruments held would be offset by similar fair value gains and losses on the related securities. The following table provides changes in fair value of the Plan's derivatives not under hedge accounting included in net appreciation in fair value of investments as reported in the statement of changes in net assets available for benefits for the years ended December 31, 2019 and 2018:

	Year Ended December 31,	
	2019	2018
	<i>Gain/(Loss)</i>	
Foreign currency contracts	\$ 131,976	\$ 33,567
Futures contracts	709,723	(107,378)
Written options	490,529	234,168
Purchase options	(232,058)	(31,715)
Credit default swaps	475,307	(214,582)
Interest rate swaps	(1,471,557)	483,693

3. INVESTMENTS

The investment managers during the 2019 plan year were as follows:

- Aon Investments (Equity, Fixed Income and Real Estate),
- State Street Global Advisors ("SSGA") (Equity and Fixed Income), and
- Allianz Global Investors (Equity).

The investment managers during the 2018 plan year were as follows:

- Northern Trust Global Investors ("NTGI") (U.S. and International Equity);
- Pacific Investment Management Co. ("PIMCO") (Fixed Income), and
- Legal & General Investment Management America (Fixed Income).

Managers invest the assets under guidelines established by the Vistra Retirement Plan Committee.

The Plan invests its funds in various assets. The principal asset types are described below:

- **Fixed Income Securities** — United States government obligations and corporate obligations primarily of companies generally listed on nationally recognized exchanges;
- **Common Funds** — Equity trust fund portfolios and bank common collective trust funds;
- **Mutual Funds** — Funds operated by investment companies that provide diversification by investing in stocks, bonds, currencies, money market securities, options, futures and/or other investment options; and
- **Other Investments** — Fixed income securities of state and local governments and related entities, foreign government bonds, written options, purchase options, open futures contracts, interest rate swaps, credit default swaps and foreign exchange contracts.

VISTRA ENERGY RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Plan Investment Strategy and Asset Allocations

The investment objective for the Plan is to invest in a suitable mix of assets to meet the future benefit obligations at an acceptable level of risk, while minimizing the volatility of contributions. Fixed income securities held primarily consist of corporate bonds from a diversified range of companies, U.S. Treasuries and agency securities and money market instruments. Equity securities are held to enhance returns by participating in a wide range of investment opportunities. International equity securities are used to further diversify the equity portfolio and may include investments in both developed and emerging international markets.

The target asset allocation ranges of the Plan's investments by asset category are as follows:

Asset Category	Target Allocation Ranges
Fixed income securities	65%-75%
Global equity securities	16%-24%
Real estate	4%-8%
Credit strategies	3%-7%

Expected Long-Term Rate of Return on Assets Assumption

The Plan's strategic asset allocation is determined in conjunction with the Plan's advisors and utilizes a comprehensive Asset-Liability modeling approach to evaluate potential long-term outcomes of various investment strategies. The study incorporates long-term rate of return assumptions for each asset class based on historical and future expected asset class returns, current market conditions, rate of inflation, current prospects for economic growth, and taking into account the diversification benefits of investing in multiple asset classes and potential benefits of employing active investment management.

Asset Class	Expected Long-Term Rate of Returns
Fixed income securities	3.2 %
Global equity securities	7.5 %
Real estate	5.2 %
Credit strategies	5.5 %
Weighted average	4.4 %

Significant Concentrations of Risk

The Plans' investments are exposed to risks such as interest rate, capital market and credit risks. The Plan seeks to optimize return on investment consistent with levels of liquidity and investment risk which are prudent and reasonable, given prevailing capital market conditions and other factors specific to the Plan. While the Plan recognizes the importance of return, investments will be diversified in order to minimize the risk of large losses unless, under the circumstances, it is clearly prudent not to do so. There are also various restrictions and guidelines in place including limitations on types of investments allowed and portfolio weightings for certain investment securities to assist in the mitigation of the risk of large losses.

VISTRA ENERGY RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

4. FAIR VALUE MEASUREMENTS

Accounting standards related to the determination of fair value define fair value as the price that would be received to sell an asset, or paid to transfer a liability in an orderly transaction between willing market participants at the measurement date. The market approach uses prices and other relevant information generated by market transactions involving identical or comparable assets or liabilities. The income approach uses valuation techniques to convert future amounts to a single present value amount. The cost approach is based on the amount that currently would be required to replace the service capacity of an asset. The Plan primarily uses the market approach of using prices and other market information for identical and/or comparable assets for those investments that are measured on a recurring basis and uses valuation techniques to maximize the use of observable inputs and minimize the use of unobservable inputs.

The Plan categorizes its investments recorded at fair value based upon the following fair value hierarchy:

- Level 1 valuations use quoted prices in active markets for identical assets that are accessible at the measurement date. An active market is a market in which transactions for the asset occur with sufficient frequency and volume to provide pricing information on an ongoing basis. None of the Plan's assets were classified as Level 1 assets.
- Level 2 valuations use inputs that, in the absence of actively quoted market prices, are observable for the asset, either directly or indirectly. Level 2 inputs include: (a) quoted prices for similar assets in active markets, (b) quoted prices for identical or similar assets in markets that are not active, (c) inputs other than quoted prices that are observable for the asset such as interest rates and yield curves observable at commonly quoted intervals and (d) inputs that are derived principally from, or corroborated by, observable market data by correlation or other mathematical means. At December 31, 2019, none of the Plan's assets were classified as Level 2. At December 31, 2018, the Plan's Level 2 assets primarily consisted of:
 - U.S. Government fixed income securities that are valued using a combination of quoted prices and inputs that are either observable in the market or can be derived through observable market data;
 - corporate fixed income securities that are primarily valued using a spread scale representing credit risk through the use of data obtained from the new issue market, secondary trading and dealer quotes, and an option adjusted spread model used to adjust spreads of issues that have early redemption features, and
 - taxable municipal bonds that are primarily valued using trade data, benchmark issues, trade spreads between similar issuers, historical trading spreads, broker quotes, new issue scales and market information from third-party sources.
- Level 3 valuations use unobservable inputs for the asset. Unobservable inputs are used to the extent observable inputs are not available, thereby allowing for situations in which there is little, if any, market activity for the asset at the measurement date. None of the Plan's assets were classified as Level 3 assets.

With respect to amounts presented in the following fair value hierarchy tables, the fair value measurement of an asset (e.g. an investment) is required to fall in its entirety in one level, based on the lowest level input that is significant to the fair value measurement. Assessing the significance of a particular input to the fair value measurement in its entirety requires judgment, considering factors specific to the asset being measured.

The preceding methods may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

VISTRA ENERGY RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

At December 31, 2019 and 2018, all Plan investments measured at fair value on a recurring basis are set forth in the following table:

	December 31,	
	2019	2018
Investments categorized as Level 2 under the fair value hierarchy:		
Interest bearing cash	\$ —	\$ 270,471
Fixed income securities:		
U.S. government	—	64,769,036
Corporate bonds (a)	—	154,826,442
Taxable municipal bonds	—	10,429,810
Derivative financial instruments	—	1,206,886
Other investments	—	2,252,919
Total investments categorized as Level 2 under the fair value hierarchy	—	233,755,564
Common funds whose fair value is estimated using net asset value per share:		
Mutual funds	—	7,702,414
Commingled trusts	293,150,074	54,657,987
Partnership/joint venture interests	9,853,620	—
Real estate	20,224,382	—
Common funds whose fair value is estimated using net asset value per share	323,228,076	62,360,401
Total investments	<u>\$ 323,228,076</u>	<u>\$ 296,115,965</u>

- (a) The substantial majority of all corporate bonds are rated investment grade by a major rating agency such as Moody's Investors Services, Inc.

Net Asset Value (NAV) Per Share

In accordance with Financial Accounting Standards Board Accounting Standards Update No. 2009-12, *Investments in Certain Entities That Calculate Net Asset Value per Share (or Its Equivalent)*, the Plan's fair value disclosure includes the category, fair value, redemption frequency, and redemption notice period for those assets whose fair value is estimated using the net asset value per share, or its equivalent, for which the fair value is not readily determinable at December 31, 2019 and 2018. For the Plan, such assets include investments in common collective trust funds.

VISTRA ENERGY RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

The following table sets forth a summary of the Plan's investments for which the fair value has been estimated using the NAV of the investments.

	Fair Value Estimated Using NAV per Share					
	December 31, 2019 and 2018					
	Fair Value at December 31, 2019	Fair Value at December 31, 2018	Unfunded Commitment	Redemption Frequency	Other Redemption Restrictions	Redemption Notice Period
AHGT – U.S. Long Credit Bond	\$ 181,558,601	\$ —	None	Daily	None	15-day
SSGA – Long U.S. Government Bond Index Strategy Fund	24,105,932	—	None	Daily	None	1-day
SSGA – Global Equity ex USA Index Non-Lending Series Fund	22,167,049	—	None	Daily	None	1-day
SSGA – Russell Large Cap Index Strategy Fund	20,477,071	—	None	Daily	None	1-day
AHGT – Global Real Estate Fund	20,224,382	—	None	Daily	None	15-day
AHGT – High Yield Plus Bond Fund	14,604,109	—	None	Daily	None	15-day
AHGT – Global Equity Fund	10,274,615	—	None	Daily	None	15-day
Allianzgi Structured Alpha Global Equity 350 LLC	9,853,620	—	None	Monthly	None	30-day
AHGT – 20+ Year U.S. Treasury STRIPS Fund	5,534,134	—	None	Daily	None	15-day
SSGA – Russell Small Cap Index Strategy Fund	5,482,141	—	None	Daily	None	1-day
AHGT – U.S. Intermediate Credit Bond Fund	4,107,323	—	None	Daily	None	15-day
NTGI – Collective Government Short-Term Investment Fund	3,392,819	—	None	Daily	None	1 day
SSGA – Intermediate U.S. Government Bond Index Strategy Fund	1,446,280	—	None	Daily	None	1-day
The Bank of New York Mellon Corporation – Collective U.S. Government Short-Term Investment Fund	—	6,166,081	None	Daily	None	1-day
NTGI – Collective S&P 500 Index Fund	—	21,397,450	None	Daily	None	1-day
NTGI – MSCI All Country World Index ex-US Fund	—	21,752,293	None	Daily	None	1-day
PIMCO – Long Duration Corporate Bond Portfolio (a)	—	6,324,839	None	Daily	None	1-day
NTGI – Collective Russell 2000 Index Fund	—	5,342,163	None	Daily	None	1-day
PIMCO – Short-Term Floating NAV Portfolio II (b)	—	1,377,268	None	Daily	None	1-day
Other	—	307				
Total	\$ 323,228,076	\$ 62,360,401				

- (a) The fund seeks to maximize total return, consistent with prudent investment management, by investing under normal circumstances at least 80% of total assets in a portfolio of bonds, debt securities and other similar instruments, which may be represented by forwards or derivatives such as options, futures contracts or swap agreements, issued by U.S.- and non-U.S. public- or private-sector entities.
- (b) The fund seeks to maximize current income, consistent with preservation of capital and daily liquidity, by investing under normal circumstances at least 65% of total assets in a diversified portfolio of bonds, debt securities and other similar instruments, which may be represented by forwards, issued by U.S. and non-U.S. public or private sector entities.

VISTRA ENERGY RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

5. ACTUARIAL PRESENT VALUE OF ACCUMULATED PLAN BENEFITS

The actuarial present value of accumulated Plan benefits at January 1, 2019 is as follows:

	<u>January 1, 2019</u>
Vested benefits:	
Participants currently receiving payment	\$ 151,025,947
Participants with deferred benefits	13,106,963
Active participants	119,613,155
Total	<u>283,746,065</u>
Non-vested benefits	455,950
Total actuarial present value of accumulated benefits	<u>\$ 284,202,015</u>

Changes in the actuarial present value of accumulated Plan benefits for the year ended January 1, 2019, are as follows:

	<u>Year Ended January 1, 2019</u>
Actuarial present value of accumulated benefits at beginning of year	<u>\$ 299,763,662</u>
Increase (decrease) during the year attributable to:	
Increase for interest due to increase in the discount period	13,600,908
Benefits paid to participants	(19,757,611)
Assumption changes	(15,073,548)
Benefits accumulated	5,668,604
Net increase	<u>(15,561,647)</u>
Actuarial present value of accumulated benefits at end of year	<u>\$ 284,202,015</u>

The annual rate of interest used to discount estimated future benefit payments increased from 4.69% to 5.10% in 2018.

The valuation was performed using data as of January 1, 2019 (see Note 2). If the valuation had been performed as of December 31, 2019, results could have been materially different.

The foregoing actuarially determined amounts were calculated using assumptions based on the presumption that the Plan will continue. Were the Plan to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated benefits.

6. TAX STATUS

The Plan obtained its latest determination letter on April 29, 2016, in which the Internal Revenue Service stated that the Plan, as designed, was in compliance with the applicable requirements of the Internal Revenue Code ("IRC"). Therefore no provisions for income taxes have been included in the Plan's financial statements.

The Plan is subject to examinations by taxing jurisdictions; currently, the Plan is not under examination. The Plan has recorded no uncertain tax positions.

SUPPLEMENTAL SCHEDULES

VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

**SCHEDULE H, PART IV, LINE 4i
SUPPLEMENTAL SCHEDULE OF ASSETS (HELD AT END OF YEAR)
DECEMBER 31, 2019**

Note: Refer to the enclosed Trustee statements for the required disclosures.

VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4i SUPPLEMENTAL SCHEDULE OF ASSETS (HELD AT END OF YEAR) DECEMBER 31, 2019

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◆ Schedule of Assets Held for Investment Purposes

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
<i>Non-Interest Bearing Cash - USD</i>			
USD - United States dollar	-3.220	-3.22	-3.22
USD - United States dollar	0.000	0.00	0.00
Total - all currencies		-3.22	-3.22
Total Non-Interest Bearing Cash - USD		-3.22	-3.22
<i>Receivables - Other - USD</i>			
Pending trade sales: United States dollar	0.000	3,710.88	3,710.88
Total - all currencies		3,710.88	3,710.88
Total Receivables - Other - USD		3,710.88	3,710.88
<i>Interest Bearing Cash - USD</i>			
USD - United States dollar	0.000	0.00	0.00
Total - all currencies		0.00	0.00
Total Interest Bearing Cash - USD		0.00	0.00
<i>Partnership/Joint Venture Interests</i>			
United States - USD			
ALLIANZGI STRUCTURED ALPHA GLOBAL EQUITY350 LLC CUSIP: 9938PX995	8,900,000.000	8,900,000.00	9,853,620.00
Total United States - USD		8,900,000.00	9,853,620.00
Total Partnership/Joint Venture Interests		8,900,000.00	9,853,620.00

** All or a portion of this security participates in Securities Lending.

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4i SUPPLEMENTAL SCHEDULE OF ASSETS (HELD AT END OF YEAR) DECEMBER 31, 2019

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◆ Schedule of Assets Held for Investment Purposes

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
<i>Real Estate</i>			
United States - USD			
CF AHGT GLOBAL REAL ESTATE FUND (DYNEGY) CUSIP: 991234XX8	920,696.240	19,600,000.00	20,224,381.89
Total United States - USD		19,600,000.00	20,224,381.89
Total Real Estate		19,600,000.00	20,224,381.89
<i>Value of Interest in Common/Collective Trusts</i>			
United States - USD			
CF AHGT GLOBAL EQUITY FUND (DYNERGY) CUSIP: 6B999E862	495,711.630	9,500,000.00	10,274,614.96
CF AHGT HIGH YIELD BOND PLUS FUND (DYNERGY) CUSIP: 5X9998E61	861,955.350	14,100,000.00	14,604,109.50
CF AHGT US INTER CR BD FD CUSIP: 5F999MNH5	281,192.530	4,000,000.00	4,107,323.05
CF AHGT US LONG CR BD FD (DYNERGY) CUSIP: 5R999E868	8,263,293.280	167,130,699.66	181,558,600.96
CF AHGT 20+ YEAR US TREASURY STRIPS FD (DYNERGY) CUSIP: 2L3999O22	321,669.690	5,225,249.04	5,534,134.01
CF SIGMA ERISA LIQUIDATING POOL VAR RT 12/31/2049 DD 05/01/01 CUSIP: 57999RTN3	1,111,588.390	1,111,588.39	0.00
CF SSGA GLOBAL EQUITY EX USA INDEX NL SF CUSIP: 1099952K5	1,923,221.350	20,597,700.68	22,167,049.28
CF SSGA INTERMEDIATE US GOVT BOND INDX SF CUSIP: 2N99952M0	113,799.670	1,429,096.24	1,446,280.01
CF SSGA RUSSELL LARGE CAP INDEX SF CUSIP: 119994A19	544,197.690	18,569,657.65	20,477,070.68
CF SSGA RUSSELL SMALL CAP(R) INDX SF CUSIP: 1S99963S4	96,375.730	5,052,979.68	5,482,140.65
CF SSGA U.S. LONG GOVT BOND INDX SF CUSIP: 4D99963H8	1,181,142.240	23,298,699.03	24,105,931.98
NTGI COLTV GOVT STIF REGI STERED CUSIP: 195998BD0	3,392,819.340	3,392,819.34	3,392,819.34
Total United States - USD		273,408,489.71	293,150,074.42
Total Value of Interest in Common/Collective Trusts		273,408,489.71	293,150,074.42
Total		301,912,197.37	323,231,783.97

** All or a portion of this security participates in Securities Lending.

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4i

**SUPPLEMENTAL SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN YEAR)
YEAR ENDED DECEMBER 31, 2019**

Note: Refer to the enclosed Trustee statements for the required disclosures.

VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4i SUPPLEMENTAL SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN YEAR) YEAR ENDED DECEMBER 31, 2019

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◆ Schedule of Acquisitions & Dispositions

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB290VL2	#REORG DEFAULTED BRUCE PLAN OF 21.40506696/29279N102 1/116ESCB0 2/27/20	Dispositions	-40,651.81		37,403.02
		Free Receipt	40,651.81	-42,989.29	
SBG4R9S9	#REORG/MICROCHIP MANDATORY EXCHANGE MICROCHIP 471KAGG 05-29-2019	Free Delivery	-500,000.00		
		Free Receipt	500,000.00	-500,000.00	
SBYQH36	ABBOTT LABS 3.75% DUE 11-30-2026	Dispositions	-69,000.00		73,718.91
		Free Receipt	69,000.00	-71,074.28	
SBYVQ5V0	ABBOTT LABS 4.75% DUE 11-30-2036	Dispositions	-85,000.00		98,958.18
		Free Receipt	85,000.00	-94,131.55	
SBYQH58	ABBOTT LABS 4.9% DUE 11-30-2046	Dispositions	-505,000.00		607,784.20
		Free Receipt	505,000.00	-544,100.25	
SBXDZFY1	ABBVIE INC FIXED 4.5% DUE 05-14-2035	Dispositions	-965,000.00		992,928.48
		Free Receipt	965,000.00	-959,467.83	
SBXDZFY2	ABBVIE INC FIXED 4.7% DUE 05-14-2045	Dispositions	-85,000.00		86,599.39
		Free Receipt	85,000.00	-84,353.48	
SBYP8MS8	ABBVIE INC 3.2% DUE 05-14-2026	Dispositions	-200,000.00		202,128.33
		Free Receipt	200,000.00	-199,236.00	
SBF2M1Y9	ABBVIE INC 4.25% DUE 11-14-2028	Dispositions	-170,000.00		176,522.04
		Free Receipt	170,000.00	-168,634.10	
SBYP8MQ6	ABBVIE INC 4.45% DUE 05-14-2046	Dispositions	-95,000.00		93,185.63
		Free Receipt	95,000.00	-101,935.00	
SBGQW2K5	ABBVIE INC 4.875% DUE 11-14-2048	Dispositions	-295,000.00		303,563.20
		Free Receipt	295,000.00	-292,467.36	
SBF0P355	ACTIVISION 4.5% DUE 06-15-2047	Dispositions	-100,000.00		102,748.68
		Free Receipt	100,000.00	-98,501.00	
SBFXQYR4	AEP TEX INC FIXED 3.8% DUE 10-01-2047	Dispositions	-60,000.00		60,391.29

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4i SUPPLEMENTAL SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN YEAR) YEAR ENDED DECEMBER 31, 2019

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◆ Schedule of Acquisitions & Dispositions

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYXJFL7	AERCAP IRELAND CAP FIXED 3.75% DUE 05-15-2019	Free Receipt	60,000.00	-59,310.00	
		Dispositions	-300,000.00		300,000.00
SBFXR1J8	AIR LEASE CORP 4.625% DUE 10-01-2028	Free Receipt	300,000.00	-308,229.00	
		Dispositions	-300,000.00		319,220.55
SB4QV918	ALABAMA PWR CO 6% DUE 03-01-2039	Free Receipt	300,000.00	-296,154.00	
		Dispositions	-55,000.00		72,009.75
SBYN1609	ALEXANDRIA REAL 3.95% DUE 01-15-2027	Free Receipt	55,000.00	-69,311.20	
		Dispositions	-400,000.00		424,373.55
SBYNYSP7	ALEXANDRIA REAL 4.3% DUE 01-15-2026	Free Receipt	400,000.00	-398,404.00	
		Dispositions	-85,000.00		90,693.30
SBJML8W1	ALEXANDRIA REAL 4.85% DUE 04-15-2049	Free Receipt	85,000.00	-84,680.40	
		Acquisitions	35,000.00	-34,982.15	
SBF5BK79	ALIBABA GROUP HLDG 3.4% DUE 12-06-2027	Dispositions	-35,000.00		35,367.50
		Dispositions	-700,000.00		708,286.00
SBZ6TYT2	ALLY FINL INC 4.25% DUE 04-15-2021	Free Receipt	700,000.00	-695,772.00	
		Dispositions	-100,000.00		103,064.58
CF6828DAM6	ALTICE FRANCE S.A. TERM LOAN B13 01-13-2026	Free Receipt	100,000.00	-102,500.00	
		Dispositions	-100,000.00		97,761.25
SBD91617	ALTRIA GROUP INC 3.875% DUE 09-16-2046	Free Receipt	100,000.00	-97,500.00	
		Dispositions	-170,000.00		132,525.12
SBFZ57Y7	ALTRIA GROUP INC 4.8% DUE 02-14-2029	Free Receipt	170,000.00	-163,226.35	
		Acquisitions	400,000.00	-398,868.00	
SBFZ57Z8	ALTRIA GROUP INC 5.8% DUE 02-14-2039	Dispositions	-400,000.00		438,380.89
		Acquisitions	25,000.00	-24,941.25	
SBFZ5800	ALTRIA GROUP INC 5.95% DUE 02-14-2049	Dispositions	-25,000.00		28,169.58

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4i SUPPLEMENTAL SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN YEAR) YEAR ENDED DECEMBER 31, 2019

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◆ Schedule of Acquisitions & Dispositions

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	385,000.00	-391,245.35	
		Dispositions	-385,000.00		439,684.91
C023135BF2	AMAZON COM INC FIXED 3.875% DUE 08-22-2037				
		Dispositions	-300,000.00		325,838.57
		Free Receipt	300,000.00	-299,253.00	
C023135BJ4	AMAZON COM INC 4.05% 08-22-2047				
		Dispositions	-275,000.00		302,611.01
		Free Receipt	275,000.00	-272,967.75	
SB8C3GC6	AMER MOVIL SAB DE CV 6.125% 03-30-2040				
		Dispositions	-215,000.00		279,492.83
		Free Receipt	215,000.00	-256,143.75	
SBK7K1Z4	AMER MOVIL SAB DE 4.375% DUE 04-22-2049				
		Acquisitions	255,000.00	-253,716.55	
		Dispositions	-255,000.00		271,737.19
SBJN1CJ5	AMERICAN INTL GROUP INC 4.25% DUE 03-15-2029				
		Acquisitions	90,000.00	-89,631.10	
		Dispositions	-90,000.00		96,079.36
SB41RMD4	AMERICAN MUN PWR OHIO INC REV 5.939% 02-15-2047 BEO TAXABLE				
		Dispositions	-600,000.00		818,094.85
		Free Receipt	600,000.00	-609,251.55	
SBJN1CK6	AMERICAN TOWER CORP NEW 3.375% 05-15-2024				
		Acquisitions	300,000.00	-299,109.00	
		Dispositions	-300,000.00		309,683.31
SBDXRB4	AMERN INTL GROUP 3.9% DUE 04-01-2026				
		Dispositions	-400,000.00		419,894.35
		Free Receipt	400,000.00	-421,912.00	
SBFXCML2	AMERN INTL GROUP 4.2% DUE 04-01-2028				
		Dispositions	-90,000.00		89,655.70
		Free Receipt	90,000.00	-89,664.30	
SBP8G1N4	AMERN INTL GROUP 4.5% DUE 07-16-2044				
		Acquisitions	145,000.00	-143,738.65	
		Dispositions	-1,015,000.00		1,066,521.98
		Free Receipt	870,000.00	-846,823.99	
SBFXCMM3	AMERN INTL GROUP 4.75% DUE 04-01-2048				
		Acquisitions	65,000.00	-67,451.45	
		Dispositions	-285,000.00		306,965.04
		Free Receipt	220,000.00	-218,708.60	
SB1CYG08	AMERN INTL GROUP 6.25% DUE 05-01-2036				

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SCHEDULE H, PART IV, LINE 4i SUPPLEMENTAL SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN YEAR) YEAR ENDED DECEMBER 31, 2019

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◆ Schedule of Acquisitions & Dispositions

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-40,000.00		48,694.40
		Free Receipt	40,000.00	-49,473.71	
SBDVJL2	AMGEN INC 2.65% DUE 05-11-2022				
		Dispositions	-400,000.00		404,124.65
		Free Receipt	400,000.00	-399,144.00	
C031162BZ2	AMGEN INC 4.4% 05-01-2045				
		Dispositions	-110,000.00		114,735.26
		Free Receipt	110,000.00	-116,114.10	
SBDVZ12	AMGEN INC 4.663% DUE 06-15-2051				
		Dispositions	-385,000.00		400,663.24
		Free Receipt	385,000.00	-407,069.80	
C031162BE9	AMGEN INC 4.95% DUE 10-01-2041				
		Dispositions	-600,000.00		671,602.19
		Free Receipt	600,000.00	-596,847.92	
SBG5GXB6	ANADARKO FIN CO 7.5% DUE 05-01-2031				
		Dispositions	-570,000.00		749,070.95
		Free Receipt	570,000.00	-719,779.19	
SBNYJ9Z1	ANADARKO PETE CORP 4.5% DUE 07-15-2044				
		Dispositions	-45,000.00		45,192.52
		Free Receipt	45,000.00	-42,149.25	
SB1FGZM6	ANADARKO PETE CORP 6.45% DUE 09-15-2036				
		Dispositions	-160,000.00		189,033.22
		Free Receipt	160,000.00	-188,967.70	
SBLJQ1X5	ANDEAVOR LOGISTICS LP/CORP 5.2% 12-01-2047				
		Dispositions	-95,000.00		97,998.54
		Free Receipt	95,000.00	-94,201.05	
SBJMZ1V3	ANHEUSER BUSCH INBEV FIN INC 4.625 DUE 02-01-2044 REG				
		Dispositions	-300,000.00		315,849.11
		Free Receipt	300,000.00	-320,418.00	
SBJ555R4	ANHEUSER BUSCH INBEV WOR 5.55% 01-23-2049				
		Acquisitions	710,000.00	-719,868.50	
		Dispositions	-710,000.00		849,847.17
SBDZ7GD1	ANHEUSER-BUSCH 4.375% DUE 04-15-2038				
		Dispositions	-290,000.00		297,043.30
		Free Receipt	290,000.00	-286,383.90	
SBDZ78X5	ANHEUSER-BUSCH 4.6% DUE 04-15-2048				
		Dispositions	-435,000.00		453,751.65
		Free Receipt	435,000.00	-415,039.45	

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4i SUPPLEMENTAL SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN YEAR) YEAR ENDED DECEMBER 31, 2019

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◆ Schedule of Acquisitions & Dispositions

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBDCMR69	ANHEUSER-BUSCH 4.95% DUE 01-15-2042	Dispositions	-200,000.00		216,959.30
		Free Receipt	200,000.00	-217,566.00	
SBGB6FT2	ANTHEM INC 4.375% 12-01-2047	Dispositions	-530,000.00		538,895.80
		Free Receipt	530,000.00	-507,299.84	
SBGB6FS1	ANTHEM INC 4.55% 03-01-2048	Acquisitions	200,000.00	-204,046.00	
		Dispositions	-465,000.00		488,912.13
		Free Receipt	265,000.00	-260,574.25	
SBLNMSB6	APACHE CORP FIXED 4.25% DUE 01-15-2044	Dispositions	-65,000.00		57,612.10
		Free Receipt	65,000.00	-60,820.50	
SB7XL9Z6	APACHE CORP 4.75% 04-15-2043	Dispositions	-75,000.00		65,786.20
		Free Receipt	75,000.00	-75,671.46	
SB58CQJ3	APACHE CORP 5.1% DUE 09-01-2040	Dispositions	-20,000.00		19,662.00
		Free Receipt	20,000.00	-20,061.40	
SB434VV1	APACHE CORP 5.25% DUE 02-01-2042	Dispositions	-30,000.00		29,871.60
		Free Receipt	30,000.00	-31,955.10	
SB2425R7	APPALACHIAN PWR CO 6.7% DUE 08-15-2037	Dispositions	-500,000.00		659,505.16
		Free Receipt	500,000.00	-538,725.00	
SB2QH9T0	APPALACHIAN PWR CO 7% DUE 04-01-2038	Dispositions	-300,000.00		413,414.64
		Free Receipt	300,000.00	-340,573.27	
C037833BA7	APPLE INC 3.45% DUE 02-09-2045	Dispositions	-225,000.00		220,949.30
		Free Receipt	225,000.00	-210,813.00	
SBF56H35	APPLE INC 3.75% DUE 08-12-2047	Dispositions	-75,000.00		77,280.25
		Free Receipt	75,000.00	-74,252.25	
C037833AL4	APPLE INC 3.85% DUE 05-04-2043	Dispositions	-330,000.00		344,441.72
		Free Receipt	330,000.00	-305,871.37	
C037833CD0	APPLE INC 3.85% DUE 08-04-2046				

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EIN 36-4833461 PN 002

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Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-105,000.00		110,208.84
		Free Receipt	105,000.00	-105,756.65	
SBYXT6Z8	APPLE INC 4.5% DUE 02-23-2036				
		Dispositions	-105,000.00		122,259.41
		Free Receipt	105,000.00	-104,506.50	
C037833BX7	APPLE INC 4.65% DUE 02-23-2046				
		Dispositions	-690,000.00		801,319.99
		Free Receipt	690,000.00	-718,859.68	
C04363UAD8	ASCIANO FIN LTD 6% DUE 04-07-2023				
		Dispositions	-200,000.00		220,376.49
		Free Receipt	200,000.00	-198,970.00	
SBDTYM18	ASTRAZENECA PLC 4.375% 08-17-2048				
		Acquisitions	65,000.00	-66,175.65	
		Dispositions	-140,000.00		154,169.21
		Free Receipt	75,000.00	-74,208.00	
SBDTYM07	ASTRAZENECA PLC 4% DUE 01-17-2029				
		Acquisitions	60,000.00	-59,042.80	
		Dispositions	-135,000.00		147,339.18
		Free Receipt	75,000.00	-74,692.50	
SBK1MHJ2	AT&T INC CORP 3.8% 02-15-2027				
		Dispositions	-100,000.00		103,805.95
		Free Receipt	100,000.00	99,517.46	
SBHQHNC6	AT&T INC FIXED 4.9% DUE 08-15-2037				
		Dispositions	-100,000.00		109,678.59
		Free Receipt	100,000.00	-99,822.00	
SBGKF2T9	AT&T INC FIXED 5.15% DUE 11-15-2046				
		Acquisitions	45,000.00	-44,368.65	
		Dispositions	-185,000.00		201,593.83
		Free Receipt	140,000.00	-133,619.60	
SBBNC4H4	AT&T INC 4.35% DUE 06-15-2045				
		Dispositions	-1,000,000.00		984,553.16
		Free Receipt	1,000,000.00	-844,555.67	
SBD3HCY6	AT&T INC 4.5% DUE 03-09-2048				
		Dispositions	-299,000.00		303,101.55
		Free Receipt	299,000.00	-305,769.36	
SBX2KBF0	AT&T INC 4.5% DUE 05-15-2035				
		Dispositions	-572,000.00		594,491.05
		Free Receipt	572,000.00	-555,760.84	

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SBD3HCX5	AT&T INC 4.55% DUE 03-09-2049	Dispositions	-1,664,000.00		1,717,101.23
		Free Receipt	1,664,000.00	-1,696,327.71	
SBX2H2X6	AT&T INC 4.75% DUE 05-15-2046	Dispositions	-305,000.00		317,402.51
		Free Receipt	305,000.00	-302,933.66	
SBN8KPY1	AT&T INC 4.8% DUE 06-15-2044	Dispositions	-193,000.00		201,114.84
		Free Receipt	193,000.00	-195,474.68	
SB4WPQV3	AT&T INC 5.35% DUE 09-01-2040	Dispositions	-125,000.00		141,818.60
		Free Receipt	125,000.00	-125,162.00	
SBDCL4K9	AT&T INC 5.45% DUE 03-01-2047	Dispositions	-412,000.00		465,859.35
		Free Receipt	412,000.00	-402,159.45	
SBYMLXL0	AT&T INC 5.65% DUE 02-15-2047	Dispositions	-100,000.00		117,956.52
		Free Receipt	100,000.00	-99,766.00	
SBD8P267	AT&T INC 6.35% DUE 03-15-2040	Dispositions	-100,000.00		122,724.17
		Free Receipt	100,000.00	-118,910.00	
SBD20MH9	AT&T INC 6% DUE 08-15-2040	Dispositions	-155,000.00		184,547.37
		Free Receipt	155,000.00	-165,557.90	
SBG5J542	ATMOS ENERGY CORP FIXED 4.3% DUE 10-01-2048	Dispositions	-100,000.00		111,439.94
		Free Receipt	100,000.00	-99,499.00	
SBZ04XL8	AUTODESK INC FIXED 4.375% DUE 06-15-2025	Dispositions	-200,000.00		211,802.10
		Free Receipt	200,000.00	-199,274.00	
SB4KHL07	AVIATION CAP GROUP 7.125% DUE 10-15-2020	Dispositions	-200,000.00		214,103.28
		Free Receipt	200,000.00	-199,994.00	
C05526DBD6	B A T CAP CORP 4.39% 08-15-2037	Dispositions	-1,265,000.00		1,203,288.30
		Free Receipt	1,265,000.00	-1,285,453.18	
C05526DBF1	B A T CAP CORP 4.54% 08-15-2047	Dispositions	-320,000.00		286,676.85

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C067316AE9	BACARDI LTD 4.45% DUE 05-15-2025	Free Receipt	320,000.00	-310,330.44	
		Dispositions	-400,000.00		423,030.09
S2297781	BANC ONE CORP 8% DUE 04-29-2027	Free Receipt	400,000.00	-398,964.00	
		Dispositions	-300,000.00		397,505.57
SBYM4WN4	BANK AMER CORP FIXED 3.824% 01-20-2028	Free Receipt	300,000.00	-357,423.00	
		Dispositions	-70,000.00		73,881.47
C06051GHG7	BANK AMER CORP FIXED 3.97% 03-05-2029	Free Receipt	70,000.00	-70,360.50	
		Dispositions	-130,000.00		138,294.09
SBK1LR11	BANK AMER CORP FIXED 4.078% 04-23-2040	Free Receipt	130,000.00	-128,481.19	
		Acquisitions	530,000.00	-530,000.00	
SBD5FYQ4	BANK AMER CORP 3.248% DUE 10-21-2027	Dispositions	-530,000.00		557,632.17
		Free Receipt	535,000.00	-516,972.73	
SBF50RK8	BANK AMER CORP 3.419% 12-20-2028	Dispositions	-2,251,000.00		2,272,657.41
		Free Receipt	2,251,000.00	-2,234,809.71	
SBD49X71	BANK AMER CORP 3.946% DUE 01-23-2049	Dispositions	-15,000.00		14,507.25
		Free Receipt	15,000.00	-14,984.25	
SBZ013F3	BANK AMER CORP 4.183% DUE 11-25-2027	Dispositions	-40,000.00		42,095.36
		Free Receipt	40,000.00	-39,968.25	
C06051GHS1	BANK AMER CORP 4.33% 03-15-2050	Acquisitions	435,000.00	-435,222.60	
		Dispositions	-435,000.00		479,307.13
SBYYD0K2	BANK AMER CORP 4.45% DUE 03-03-2026	Dispositions	-70,000.00		74,659.20
		Free Receipt	70,000.00	-71,368.43	
C060505FL3	BANK AMER CORP 5.875% DUE 12-31-2049	Dispositions	-200,000.00		213,371.25
		Free Receipt	200,000.00	-200,000.00	
SBG37Y96	BANK OF AMERICA CORP 3.004% 12-20-2023				

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C06051GHM4	BANK OF AMERICA CORP 4.271% 07-23-2029	Dispositions	-298,000.00		302,191.12
		Free Receipt	298,000.00	-298,056.62	
SBD72S38	BARCLAYS PLC 4.95% DUE 01-10-2047	Dispositions	-115,000.00		125,523.35
		Free Receipt	115,000.00	-115,030.28	
C06738EBG9	BARCLAYS PLC 8.0% 08-15-2164	Acquisitions	45,000.00	-44,833.25	
		Dispositions	-45,000.00		46,998.68
SBH4P3B1	BARCLAYS PLC 8.0% 15/12/2049	Acquisitions	300,000.00	-300,000.00	
		Dispositions	-300,000.00		319,621.00
SB03Z391	BARRICK GOLD CORP 5.8% DUE 11-15-2034	Dispositions	-400,000.00		487,913.55
		Free Receipt	400,000.00	-452,445.75	
SB3D29Y0	BARRICK N AMER FIN 7.5% DUE 09-15-2038	Dispositions	-200,000.00		225,294.48
		Free Receipt	200,000.00	-206,996.00	
SBG48MX8	BARRICK PD 5.95% DUE 10-15-2039	Dispositions	-200,000.00		267,351.00
		Free Receipt	200,000.00	-244,236.00	
SB523F62	BAY AREA TOLL AUTH CALIF TOLL BRDG REV 6.263 04-01-2049 BEO	Dispositions	-60,000.00		72,792.18
		Free Receipt	60,000.00	-61,176.22	
SBF2MPF8	BECTON DICKINSON & 3.363% DUE 06-06-2024	Dispositions	-95,000.00		141,920.47
		Free Receipt	95,000.00	-127,384.86	
SBD3VQC4	BECTON DICKINSON & 3.7% DUE 06-06-2027	Dispositions	-200,000.00		206,176.48
		Free Receipt	200,000.00	-200,000.00	
SBF2KB43	BECTON DICKINSON & 4.669% DUE 06-06-2047	Dispositions	-135,000.00		140,304.53
		Free Receipt	135,000.00	-127,432.35	
SBYW5431	BERKSHIRE HATHAWAY FIN CORP 4.2% DUE 08-15-2048 REG	Dispositions	-130,000.00		144,407.07
		Free Receipt	130,000.00	-129,645.60	
		Dispositions	-410,000.00		447,487.57
		Free Receipt	410,000.00	-407,921.30	

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SBJ00PK7	BERKSHIRE HATHAWAY FIN CORP 4.25% DUE 01-15-2049	Acquisitions	25,000.00	-24,802.75	
		Dispositions	-25,000.00		25,670.50
SB96WCM8	BERKSHIRE HATHAWAY 4.3% DUE 05-15-2043	Dispositions	-270,000.00		295,123.95
		Free Receipt	270,000.00	-268,319.95	
SB630666	BERKSHIRE HATHAWAY 4.4% DUE 05-15-2042	Dispositions	-35,000.00		39,197.71
		Free Receipt	35,000.00	-38,576.65	
SBFD3PF0	BHP BILLITON FIN 5% DUE 09-30-2043	Dispositions	-95,000.00		116,547.20
		Free Receipt	95,000.00	-107,497.70	
C09062XAF0	BIOGEN INC 4.05% DUE 09-15-2025	Dispositions	-100,000.00		103,092.00
		Free Receipt	100,000.00	-99,764.00	
SBYNPNY4	BIOGEN INC 5.2% DUE 09-15-2045	Dispositions	-100,000.00		112,819.63
		Free Receipt	100,000.00	-101,243.00	
SB8YB6B7	BK OF AMER NA 6 DUE 10-15-2036	Dispositions	-275,000.00		358,437.28
		Free Receipt	275,000.00	-331,319.65	
C09681LAD2	BOC AVIATION PTE 2.75% DUE 09-18-2022	Dispositions	-700,000.00		702,866.34
		Free Receipt	700,000.00	-696,213.00	
SBK1WFH6	BOEING CO 3.6% 05-01-2034	Acquisitions	355,000.00	-353,899.50	
		Dispositions	-355,000.00		367,293.98
SBC7H609	BOEING CO 6.875% DUE 03-15-2039	Dispositions	-385,000.00		551,416.00
		Free Receipt	385,000.00	-544,624.60	
SBH05F19	BP CAP MKTS AMER 4.234% DUE 11-06-2028	Acquisitions	210,000.00	-220,426.50	
		Dispositions	-210,000.00		222,308.95
SBK62TZ7	BRISTOL MYERS 3.4% DUE 07-26-2029	Acquisitions	95,000.00	-94,216.25	
		Dispositions	-95,000.00		98,886.45
SBKBY248	BRIXMOR OPER PARTNERSHIP LP FLTG 02-01-2022	Dispositions	-100,000.00		100,543.41

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SBFX1781	BROADCOM CORP / 3.5% 01-15-2028	Free Receipt	100,000.00	-100,000.00	
		Dispositions	-400,000.00		381,769.14
SBDRT8T6	BROADCOM CORP/ CAYMN FI 3.875% 01-15-2027	Free Receipt	400,000.00	-399,820.00	
		Dispositions	-300,000.00		297,429.48
SBXNT015	BSTN SCIENTIFIC 3.85% DUE 05-15-2025	Free Receipt	300,000.00	-298,674.00	
		Dispositions	-500,000.00		531,567.43
C101137AT4	BSTN SCIENTIFIC 4.55% DUE 03-01-2039	Free Receipt	500,000.00	-499,300.00	
		Acquisitions	225,000.00	-224,852.15	
C101137AU1	BSTN SCIENTIFIC 4.7% DUE 03-01-2049	Dispositions	-225,000.00		246,610.26
		Acquisitions	200,000.00	-200,659.05	
SBK1M1T0	BSTN SCIENTIFIC 4% DUE 03-01-2029	Dispositions	-200,000.00		219,862.78
		Acquisitions	70,000.00	-69,764.80	
SBD8Q7B0	BUNGE LTD FIN CORP 3.75% DUE 09-25-2027	Dispositions	-70,000.00		75,276.12
		Free Receipt	400,000.00	-398,944.00	394,903.59
SBGPDG2	BURBANK CALIF WTR & PWR ELEC REV 6.323% 06-01-2040 BEO TAXABLE	Dispositions	-300,000.00		411,197.91
		Free Receipt	300,000.00	-300,000.00	
SB3N60C7	BURL NORTHN DEB 5.05 DUE 03-01-2041	Dispositions	-55,000.00		65,917.56
		Free Receipt	55,000.00	-59,162.00	
SBD04808	BURL NORTHN SANTA 4.15% DUE 04-01-2045	Dispositions	-125,000.00		137,165.72
		Free Receipt	125,000.00	-123,447.08	
SB8NWK5	BURL NORTHN SANTA 4.375% DUE 09-01-2042	Dispositions	-55,000.00		61,698.68
		Free Receipt	55,000.00	-53,881.45	
SB1W13G4	BURL NORTHN SANTA 6.15% DUE 05-01-2037	Dispositions	-235,000.00		313,310.04
		Free Receipt	235,000.00	-290,027.54	
SBFMN5B7	BURLINGTN N SANTA FIXED 4.05% DUE 06-15-2048				

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		Dispositions	-85,000.00		92,572.73
		Free Receipt	85,000.00	-84,783.25	
SBG7PB06	BURLINGTON NORTHERN SANTA FE LLC 4.15% 12-15-2048				
		Dispositions	-105,000.00		115,998.00
		Free Receipt	105,000.00	-104,214.60	
SBJLYQ49	CALIFORNIA ST FLTG RT 2.193% 04-01-2047 BEO TAXABLE				
		Dispositions	-700,000.00		702,846.26
		Free Receipt	700,000.00	-700,000.00	
SBF1HZN2	CALIFORNIA ST UNIV REV 6.484% 11-01-2041BEO TAXABLE				
		Dispositions	-400,000.00		567,042.36
		Free Receipt	400,000.00	-400,000.00	
SB3Y5CW7	CALIFORNIA ST 7.8% 11-01-2040 BEO TAXABLE				
		Dispositions	-75,000.00		121,197.67
		Free Receipt	75,000.00	-111,082.50	
SB41WHL2	CALIFORNIA STATE 7.55 MUN BDS DUE 04-01-2039 USD5000				
		Dispositions	-740,000.00		1,169,045.52
		Free Receipt	740,000.00	-1,072,744.29	
S9J76U9U	CALL SWO USD BNPAFRPP P 3MLIBOR / R 2.22% European 317U450S6 05/02/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		540.00
S9J66P0U	CALL SWO USD BNPAFRPP P 3MLIBOR / R 2.4% European 317U289S3 04/12/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		348.00
S9J62T3U	CALL SWO USD BNPAFRPP P 3MLIBOR / R 2.41% European 317U267S9 04/09/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		300.00
S9J4VMPU	CALL SWO USD BNPAFRPP P 3MLIBOR / R 2.5% European 317U039S6 03/05/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		380.00
S9J5CHWU	CALL SWO USD BNPAFRPP P 3MLIBOR / R 2.54% European 317U979R0 02/26/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		480.00
S9J52XLU	CALL SWO USD BOFAUS6S P 3MLIBOR / R 2.44% European 317U063S5 03/08/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		430.00
S9J54GDU	CALL SWO USD BOFAUS6S P 3MLIBOR / R 2.462% European 317U066S2 03/11/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		424.00

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S9J49V3U	CALL SWO USD BOFAUS6S P 3MLIBOR / R 2.58% European 317U949R7 02/19/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		476.00
S9J3CVKU	CALL SWO USD BOFAUS6S P 3MLIBOR / R 2.71% European 317U794R3 01/17/2019	Acquisitions	500,000.00	0.00	
		Free Delivery	-500,000.00		
S9J5X37U	CALL SWO USD CHASUS33 P 3MLIBOR / R 2.435% European 317U262S4 04/08/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		440.00
S9J5EZ1U	CALL SWO USD CHASUS33 P 3MLIBOR / R 2.475% European 317U157S2 03/21/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		400.00
S9J8NUBU	CALL SWO USD CITIUS33 P 3MLIBOR / R 2.08% European 317U745S1 06/18/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		405.00
S9J7AN6U	CALL SWO USD CITIUS33 P 3MLIBOR / R 2.23% European 317U468S6 05/07/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		435.00
S9J7KH1U	CALL SWO USD CITIUS33 P 3MLIBOR / R 2.3% European 317U520S2 05/15/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		315.00
S9J8BZ9U	CALL SWO USD CSFPG82L P 3MLIBOR / R 2.19% European 317U661S1 06/07/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		375.00
S9J7DOXU	CALL SWO USD CSFPG82L P 3MLIBOR / R 2.23% European 317U477S5 05/09/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		454.00
S9J7GPWU	CALL SWO USD CSFPG82L P 3MLIBOR / R 2.23% European 317U501S5 05/13/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		303.75
S9J79NFU	CALL SWO USD CSFPG82L P 3MLIBOR / R 2.24% European 317U466S8 05/06/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		490.00
S9J7VB1U	CALL SWO USD CSFPG82L P 3MLIBOR / R 2.24% European 317U577S4 05/24/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		370.50
S9J7CDAU	CALL SWO USD CSFPG82L P 3MLIBOR / R 2.26% European 317U472S0 05/08/2019	Acquisitions	400,000.00	0.00	

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S9J88MHU	CALL SWO USD CSFPG82L P 3MLIBOR / R 2.27% European 317U650S4 06/04/2019	Dispositions	-400,000.00		400.00
		Acquisitions	300,000.00	0.00	
S9J87VLU	CALL SWO USD CSFPG82L P 3MLIBOR / R 2.29% European 317U643S4 06/03/2019	Dispositions	-300,000.00		303.00
		Acquisitions	300,000.00	0.00	
S9J3CUXU	CALL SWO USD CSFPG82L P 3MLIBOR / R 2.52% European 317U858R6 01/28/2019	Dispositions	-300,000.00		303.00
		Acquisitions	400,000.00	0.00	
S9J3CUVU	CALL SWO USD CSFPG82L P 3MLIBOR / R 2.76% European 317U757R8 01/07/2019	Free Delivery	-400,000.00		
		Acquisitions	400,000.00	0.00	
S9J8L52U	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.05% European 317U738S0 06/17/2019	Free Delivery	-400,000.00		
		Acquisitions	400,000.00	0.00	
S9J8QDRU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.06% European 317U751S2 06/19/2019	Dispositions	-300,000.00		469.50
		Acquisitions	400,000.00	0.00	
S9J8RYPY	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.09% European 317U755S8 06/20/2019	Dispositions	-400,000.00		600.00
		Acquisitions	400,000.00	0.00	
S9J8KEWU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.1% European 317U723S7 06/14/2019	Dispositions	-400,000.00		592.00
		Acquisitions	300,000.00	0.00	
S9J8TBAU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.11% European 317U763S8 06/21/2019	Dispositions	-300,000.00		450.00
		Acquisitions	400,000.00	0.00	
S9J7FCJU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.2% European 317U492S6 05/10/2019	Dispositions	-400,000.00		574.00
		Acquisitions	400,000.00	0.00	
S9J85QEU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.2% European 317U622S9 06/03/2019	Dispositions	-400,000.00		445.00
		Acquisitions	300,000.00	0.00	
S9J84PCU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.21% European 317U616S7 05/31/2019	Dispositions	-300,000.00		369.00
		Acquisitions	300,000.00	0.00	
S9J8DELU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.21% European 317U677S3 06/10/2019	Dispositions	-300,000.00		330.00

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		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		384.00
S9J8154U	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.22% European 317U597S0 05/28/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		390.00
S9J7XRUU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.25% European 317U592S5 05/28/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		363.00
S9J6CD5U	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.38% European 317U324S0 04/15/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		315.00
S9J8BLVU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.39% European 317U309S9 04/15/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		326.25
S9J5GQXU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.43% European 317U171S4 03/22/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		444.00
S9J5HS0U	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.45% European 317U176S9 03/25/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		291.00
S9J5NTJU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.48% European 317U219S8 03/29/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		440.00
S9J3V82U	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.505% European 317U918R4 02/14/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		540.00
S9J5UJDU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.51% European 317U232S1 04/05/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		425.00
S9J46WBU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.53% European 317U946R0 02/19/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		505.00
S9J3CVGU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.65% European 317U805R0 01/19/2019	Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3CVLU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.72% European 317U764R9 01/11/2019	Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		

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S9J3CVUU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.89% European 317U724R8 01/03/2019	Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J74QWU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.16% European 317U433S8 04/30/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		390.00
S9J8GDPU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.16% European 317U702S2 06/10/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		560.00
S9J6QPAU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.18% European 317U367S8 04/24/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		480.00
S9J75ZRU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.24% European 317U438S3 05/01/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		532.00
S9J79J2U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.25% European 317U461S3 05/03/2019	Acquisitions	500,000.00	0.00	
		Dispositions	-500,000.00		627.50
S9J7QM2U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.3% European 317U563S0 05/20/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		430.00
S9J7PVZU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.32% European 317U550S5 05/17/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		466.00
S9J7MQ4U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.33% European 317U527S5 05/16/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		440.00
S9J6AL6U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.4% European 317U319S7 04/15/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		420.00
S9J5KPMU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.41% European 317U193S8 03/26/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		292.50
S9J5L70U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.44% European 317U210S7 03/27/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		400.00
S9J5B67U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.45% European 317U135S9 03/19/2019	Acquisitions	400,000.00	0.00	

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S9J51CXU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.46% European 317U060S8 03/07/2019	Dispositions	-400,000.00		372.00
		Acquisitions	500,000.00	0.00	
S9J59TCU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.48% European 317U125S1 03/15/2019	Dispositions	-500,000.00		531.25
		Acquisitions	400,000.00	0.00	
S9J4SQSU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.5% European 317U022S5 03/04/2019	Dispositions	-400,000.00		366.00
		Acquisitions	400,000.00	0.00	
S9J3L22U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.5% European 317U872R8 02/08/2019	Dispositions	-400,000.00		420.00
		Acquisitions	300,000.00	0.00	
S9J5SQLU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.51% European 317U226S9 04/04/2019	Dispositions	-300,000.00		420.00
		Acquisitions	400,000.00	0.00	
S9J4TC7U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.52% European 317U025S2 03/04/2019	Dispositions	-400,000.00		480.00
		Acquisitions	300,000.00	0.00	
S9J4N38U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.53% European 317U001S0 03/01/2019	Dispositions	-300,000.00		286.50
		Acquisitions	500,000.00	0.00	
S9J3CVVU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.85% European 317U737R3 01/04/2019	Dispositions	-500,000.00		550.00
		Acquisitions	500,000.00	0.00	
S9J7JCZU	CALL SWO USD NGFPUS33 P 3MLIBOR / R 2.29% European 317U514S0 05/13/2019	Free Delivery	-500,000.00		
		Acquisitions	300,000.00	0.00	
S9J7UPEU	CALL SWO USD NGFPUS33 P 3MLIBOR / R 2.29% European 317U572S9 05/23/2019	Dispositions	-300,000.00		315.00
		Acquisitions	400,000.00	0.00	
S9J6MPBU	CALL SWO USD NGFPUS33 P 3MLIBOR / R 2.3% European 317U361S4 04/24/2019	Dispositions	-400,000.00		472.00
		Acquisitions	400,000.00	0.00	
S9J7TAUU	CALL SWO USD NGFPUS33 P 3MLIBOR / R 2.31% European 317U565S8 05/22/2019	Dispositions	-400,000.00		440.00
		Acquisitions	400,000.00	0.00	
S9J7270U	CALL SWO USD PNBUS33CHA P 3MLIBOR / R 2.125% European 317U423S0 04/29/2019	Dispositions	-400,000.00		440.00

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		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		435.00
S9J8ARAU	CALL SWO USD PNBPU33CHA P 3MLIBOR / R 2.22% European 317U653S1 06/06/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		390.00
S9J83U4U	CALL SWO USD PNBPU33CHA P 3MLIBOR / R 2.24% European 317U607S8 05/29/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		330.00
S9J6J83U	CALL SWO USD PNBPU33CHA P 3MLIBOR / R 2.31% European 317U352S5 04/23/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		300.00
S9J6EA9U	CALL SWO USD PNBPU33CHA P 3MLIBOR / R 2.38% European 317U329S5 04/18/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		422.00
S9J64N8U	CALL SWO USD PNBPU33CHA P 3MLIBOR / R 2.425% European 317U276S8 04/11/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		301.50
S9J58BTU	CALL SWO USD PNBPU33CHA P 3MLIBOR / R 2.46% European 317U118S0 03/14/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		415.00
S9J4FKJU	CALL SWO USD PNBPU33CHA P 3MLIBOR / R 2.52% European 317U969R2 02/25/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		484.00
S9J3CXQU	CALL SWO USD PNBPU33CHA P 3MLIBOR / R 2.76% European 317U792R5 01/14/2019				
		Acquisitions	500,000.00	0.00	
		Free Delivery	-500,000.00		
S9J6HHBU	CALL SWO USD RBOSGB2RTCM P 3MLIBOR / R 2.39% European 317U337S5 04/23/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		416.00
S9J5V46U	CALL SWO USD UBSWUS33 P 3MLIBOR / R 2.475% European 317U249S2 04/08/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		480.00
S9J5Q32U	CALL SWO USD UBSWUS33 P 3MLIBOR / R 2.49% European 317U221S4 04/01/2019				
		Acquisitions	500,000.00	0.00	
		Dispositions	-500,000.00		550.00
S9J56M4U	CALL SWO USD UBSWUS33 P 3MLIBOR / R 2.51% European 317U110S8 03/13/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		404.00

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S9J4DZCU	CALL SWO USD UBSWUS33 P 3MLIBOR / R 2.57% European 317U959R4 02/25/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		520.00
S9J3EC9U	CALL SWO_CDX BARCGB33 01/16/2019 CDX.NA.IG.31 3175X0068	Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3EC5U	CALL SWO_CDX BARCGB33 02/20/2019 CDX.NA.IG.31 3175SA849	Acquisitions	300,000.00	0.00	
		Free Delivery	-300,000.00		
S9J3EC4U	CALL SWO_CDX BARCGB33 03/20/2019 CDX.NA.IG.31 3175SA898	Acquisitions	200,000.00	0.00	
		Free Delivery	-200,000.00		
S9J3ED5U	CALL SWO_CDX BNPAFRPP 01/16/2019 CDX.NA.IG.31 3175Z8275	Acquisitions	500,000.00	0.00	
		Free Delivery	-500,000.00		
S9J3EC6U	CALL SWO_CDX BNPAFRPP 02/20/2019 CDX.NA.IG.31 3175SC027	Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J4FFTU	CALL SWO_CDX BNPAFRPP 04/17/2019 CDX.NA.IG.31 317584852	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		420.00
S9J51FGU	CALL SWO_CDX BNPAFRPP 05/15/2019 CDX.NA.IG.31 317584944	Acquisitions	700,000.00	0.00	
		Dispositions	-700,000.00		717.50
S9J5WBJU	CALL SWO_CDX BNPAFRPP 05/15/2019 CDX.NA.IG.31 317586113	Acquisitions	500,000.00	0.00	
		Dispositions	-500,000.00		245.00
S9J6QSMU	CALL SWO_CDX BNPAFRPP 06/19/2019 CDX.NA.IG.32 317586766	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		255.00
S9J6Q59U	CALL SWO_CDX BNPAFRPP 06/19/2019 CDX.NA.IG.32 317586766	Acquisitions	200,000.00	0.00	
		Dispositions	-200,000.00		162.50
S9J8EJ4U	CALL SWO_CDX BNPAFRPP 09/18/2019 CDX.NA.IG.32 3175K6812	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		472.50
S9J3EB9U	CALL SWO_CDX BOFAUS6S 01/16/2019 CDX.NA.IG.31 3175SA393	Acquisitions	900,000.00	0.00	

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S9J3EB8U	CALL SWO_CDX BOFAUS6S 01/16/2019 CDX.NA.IG.31 3175SA443	Free Delivery	-900,000.00		
		Acquisitions	400,000.00	0.00	
S9J3ED2U	CALL SWO_CDX BOFAUS6S 02/20/2019 CDX.NA.IG.31 3175X0308	Free Delivery	-400,000.00		
		Acquisitions	400,000.00	0.00	
S9J3ED6U	CALL SWO_CDX BOFAUS6S 02/20/2019 CDX.NA.IG.31 317583946	Free Delivery	-400,000.00		
		Acquisitions	400,000.00	0.00	
S9J3EB4U	CALL SWO_CDX BOFAUS6S 02/20/2019 CDX.NA.IG.31 317584126	Free Delivery	-400,000.00		
		Acquisitions	200,000.00	0.00	
S9J3ED3U	CALL SWO_CDX BOFAUS6S 03/20/2019 CDX.NA.IG.31 3175X2106	Free Delivery	-200,000.00		
		Acquisitions	300,000.00	0.00	
S9J3EB1U	CALL SWO_CDX BOFAUS6S 03/20/2019 CDX.NA.IG.31 317584225	Free Delivery	-300,000.00		
		Acquisitions	500,000.00	0.00	
S9J3TXVU	CALL SWO_CDX BOFAUS6S 04/17/2019 CDX.NA.IG.31 317584738	Free Delivery	-500,000.00		
		Acquisitions	500,000.00	0.00	
S9J46URU	CALL SWO_CDX BOFAUS6S 05/15/2019 CDX.NA.IG.31 3175SC878	Dispositions	-500,000.00		512.50
		Acquisitions	300,000.00	0.00	
S9J3EC3U	CALL SWO_CDX CHASUS33 02/20/2019 CDX.NA.IG.31 3175SA708	Dispositions	-300,000.00		300.00
		Acquisitions	400,000.00	0.00	
S9J3EC7U	CALL SWO_CDX CHASUS33 03/20/2019 CDX.NA.IG.31 3175SC415	Free Delivery	-400,000.00		
		Acquisitions	300,000.00	0.00	
S9J3ED0U	CALL SWO_CDX CITIUS33 01/16/2019 CDX.NA.IG.31 3175TY846	Free Delivery	-300,000.00		
		Acquisitions	1,300,000.00	0.00	
S9J3EB3U	CALL SWO_CDX CITIUS33 01/16/2019 CDX.NA.IG.31 317583714	Free Delivery	-1,300,000.00		
		Acquisitions	400,000.00	0.00	
S9J3EC0U	CALL SWO_CDX CITIUS33 02/20/2019 CDX.NA.IG.31 3175RT328	Free Delivery	-400,000.00		

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		Acquisitions	200,000.00	0.00	
		Free Delivery	-200,000.00		
S9J3EC2U	CALL SWO_CDX CITIUS33 02/20/2019 CDX.NA.IG.31 3175SA559				
		Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3EC8U	CALL SWO_CDX CITIUS33 02/20/2019 CDX.NA.IG.31 3175SC282				
		Acquisitions	200,000.00	0.00	
		Free Delivery	-200,000.00		
S9J3ED1U	CALL SWO_CDX CITIUS33 02/20/2019 CDX.NA.IG.31 3175SC985				
		Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3ED8U	CALL SWO_CDX CITIUS33 02/20/2019 CDX.NA.IG.31 317583748				
		Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3EC1U	CALL SWO_CDX CITIUS33 03/20/2019 CDX.NA.IG.31 3175SA625				
		Acquisitions	500,000.00	0.00	
		Free Delivery	-500,000.00		
S9J3ED4U	CALL SWO_CDX CITIUS33 03/20/2019 CDX.NA.IG.31 3175X2007				
		Acquisitions	300,000.00	0.00	
		Free Delivery	-300,000.00		
S9J3EB6U	CALL SWO_CDX CITIUS33 03/20/2019 CDX.NA.IG.31 317584027				
		Acquisitions	300,000.00	0.00	
		Free Delivery	-300,000.00		
S9J4BYNU	CALL SWO_CDX CITIUS33 04/17/2019 CDX.NA.IG.31 3175RT799				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		380.00
S9J3LN5U	CALL SWO_CDX CITIUS33 04/17/2019 CDX.NA.IG.31 3175SC753				
		Acquisitions	800,000.00	0.00	
		Dispositions	-800,000.00		840.00
S9J3TZZU	CALL SWO_CDX CITIUS33 04/17/2019 CDX.NA.IG.31 3175SC803				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		425.00
S9J4950U	CALL SWO_CDX CITIUS33 04/17/2019 CDX.NA.IG.31 317584811				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		291.00
S9J4KBMU	CALL SWO_CDX CITIUS33 05/15/2019 CDX.NA.IG.31 3175K1003				
		Acquisitions	1,300,000.00	0.00	
		Dispositions	-1,300,000.00		1,274.00

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S9J52WNU	CALL SWO_CDX CITIUS33 05/15/2019 CDX.NA.IG.31 3175K1011	Acquisitions	500,000.00	0.00	
		Dispositions	-500,000.00		450.00
S9J87TLU	CALL SWO_CDX CITIUS33 08/21/2019 CDX.NA.IG.32 3175SD835	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		279.00
S9J3EB7U	CALL SWO_CDX GSILGB2X 01/16/2019 CDX.NA.IG.31 3175SA419	Acquisitions	500,000.00	0.00	
		Free Delivery	-500,000.00		
S9J3EE1U	CALL SWO_CDX GSILGB2X 02/20/2019 CDX.NA.IG.31 317584134	Acquisitions	200,000.00	0.00	
		Free Delivery	-200,000.00		
S9J44DJU	CALL SWO_CDX GSILGB2X 04/17/2019 CDX.NA.IG.31 3175JT13	Acquisitions	500,000.00	0.00	
		Dispositions	-500,000.00		445.00
S9J45QEU	CALL SWO_CDX GSILGB2X 04/17/2019 CDX.NA.IG.31 3175JT13	Acquisitions	500,000.00	0.00	
		Dispositions	-500,000.00		557.50
S9J3WCBU	CALL SWO_CDX GSILGB2X 04/17/2019 CDX.NA.IG.31 3175SC811	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		446.00
S9J4XLRU	CALL SWO_CDX GSILGB2X 05/15/2019 CDX.NA.IG.31 317584910	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		450.00
S9J8LA6U	CALL SWO_CDX GSILGB2X 08/21/2019 CDX.NA.IG.32 3175JC001	Acquisitions	200,000.00	0.00	
		Dispositions	-200,000.00		194.00
S9J8QB7U	CALL SWO_CDX GSILGB2X 09/18/2019 CDX.NA.IG.32 317587046	Acquisitions	100,000.00	0.00	
		Dispositions	-100,000.00		114.00
S9J3EE0U	CALL SWO_CDX MSCSUS33 01/16/2019 CDX.NA.IG.31 317583755	Acquisitions	500,000.00	0.00	
		Free Delivery	-500,000.00		
S9J3ED7U	CALL SWO_CDX MSCSUS33 02/20/2019 CDX.NA.IG.31 317584191	Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3ED9U	CALL SWO_CDX MSCSUS33 04/17/2019 CDX.NA.IG.31 317584423	Acquisitions	300,000.00	0.00	

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SBFXCMV2	CAMPBELL SOUP CO 3.65% 03-16-2018	Free Delivery	-300,000.00		
		Dispositions	-100,000.00		103,617.56
SBFXCMY5	CAMPBELL SOUP CO 4.8% 03-15-2048	Free Receipt	100,000.00	-97,637.00	
		Dispositions	-125,000.00		126,015.40
SBFXCN64	CAP 1 FINL CORP 3.8% DUE 01-31-2028	Free Receipt	125,000.00	-120,211.30	
		Dispositions	-155,000.00		159,938.71
SBYNQPM9	CARDINAL HLTH INC 4.9% DUE 09-15-2045	Free Receipt	155,000.00	-154,693.10	
		Dispositions	-55,000.00		53,313.68
SB88N0G2	CAROLINA PWR & LT 4.1 DUE 05-15-2042	Free Receipt	55,000.00	-55,953.15	
		Dispositions	-185,000.00		194,891.38
SBMM1RV4	CATERPILLAR INC 4.75% DUE 05-15-2064	Free Receipt	185,000.00	-183,364.00	
		Dispositions	-310,000.00		361,445.50
SBD5JWW8	CBS CORP NEW 4.6% DUE 01-15-2045	Free Receipt	310,000.00	-310,909.40	
		Dispositions	-200,000.00		206,618.31
S9B89NAU	CCP RECEIVABLE FROM UCREDIT SUISSE	Free Receipt	200,000.00	-177,534.00	
		Acquisitions	99,000.00	-99,000.00	
S9B3PJ3U	CCP DUE FROM GOLDMAN SACHS	Dispositions	-629,000.00		629,000.00
		Free Receipt	530,000.00	-530,000.00	
S9B5CCDU	CCP DUE RECEIVABLE FROM GSC	Acquisitions	458,000.00	-458,000.00	
		Dispositions	-491,000.00		491,000.00
S9B2TS7U	CCP OTC DERIVATIVE CASH COLLATERAL	Free Receipt	33,000.00	-33,000.00	
		Acquisitions	723,000.00	-723,000.00	
S9B47XY6	CDN PAC RY CO NEW 6.125% DUE 09-15-2115	Dispositions	-1,094,000.00		1,094,000.00
		Free Receipt	371,000.00	-371,000.00	
	RECEIVABLE FROM GOLDMA	Dispositions	-51,000.00		51,000.00
		Free Receipt	51,000.00	-51,000.00	

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		Dispositions	-655,000.00		879,565.64
		Free Receipt	655,000.00	-691,652.11	
C151020AW4	CELGENE CORP FIXED 4.35% DUE 11-15-2047				
		Acquisitions	35,000.00	-32,267.90	
		Dispositions	-35,000.00		38,077.95
C151020AZ7	CELGENE CORP 4.55% 02-20-2048				
		Acquisitions	275,000.00	-265,465.35	
		Dispositions	-275,000.00		310,628.21
C990082216	CF AHGT GLOBAL REAL ESTATE FUND				
		Acquisitions	920,696.24	-19,600,000.00	
		Free Delivery	-920,696.24		
SBFFFBV2	CHARTER COMMUNICATIONS OPER LLC/CHARTE 4.2% DUE 03-15-2028 BEO				
		Dispositions	-200,000.00		210,026.08
		Free Receipt	200,000.00	-199,514.00	
C16117LBS7	CHARTER COMMUNICATIONS OPERATING, LLC (AKA CCO SAFARI LLC) TL DUE 04-30-2025				
		Dispositions	-195,030.00		195,516.34
		Free Receipt	195,030.00	-194,542.42	
C161175BN3	CHARTER FIXED 5.75% DUE 04-01-2048				
		Dispositions	-235,000.00		251,317.20
		Free Receipt	235,000.00	-234,208.72	
SBZ06JT4	CHARTER 6.384% DUE 10-23-2035				
		Dispositions	-1,015,000.00		1,189,683.61
		Free Receipt	1,015,000.00	-1,022,199.80	
C12543KAN4	CHS/COMMUNITY HEALTH SYSTEMS INC TERM LOAN H DUE 01-27-2021 BEO				
		Dispositions	-188,362.82		180,815.92
		Free Receipt	188,362.82	-185,537.38	
SBYSRKF5	CHUBB INA HOLDINGS 4.35% DUE 11-03-2045				
		Dispositions	-165,000.00		189,690.12
		Free Receipt	165,000.00	-170,706.80	
SBF58H46	CIGNA CORP FIXED 3.05% DUE 10-15-2027				
		Dispositions	-400,000.00		396,668.95
		Free Receipt	400,000.00	-399,232.00	
SB808Q83	CIT GROUP INC NEW 5% DUE 08-15-2022				
		Dispositions	-100,000.00		108,166.67
		Free Receipt	100,000.00	-102,812.50	
SBCL2NF4	CIT GROUP INC 5% DUE 08-01-2023				
		Dispositions	-200,000.00		217,472.22
		Free Receipt	200,000.00	-203,375.00	

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C172967GD7	CITIGROUP INC DEP SHS REPSTG 5.95 12-29-2049	Dispositions	-250,000.00		257,905.00
		Free Receipt	250,000.00	-250,781.25	
SBFMTXQ6	CITIGROUP INC FIXED 3.878% DUE 01-24-2039	Dispositions	-255,000.00		263,521.90
		Free Receipt	255,000.00	-254,015.70	
C172967ME8	CITIGROUP INC FIXED 3.98% 03-20-2030	Acquisitions	370,000.00	-370,000.00	
		Dispositions	-370,000.00		375,363.15
SBYP7GJ4	CITIGROUP INC 3.2% DUE 10-21-2026	Dispositions	-120,000.00		117,529.95
		Free Receipt	120,000.00	-119,929.20	
SBDGKYT1	CITIGROUP INC 3.4% DUE 05-01-2026	Dispositions	-400,000.00		412,970.02
		Free Receipt	400,000.00	-399,192.00	
SBYWG4Z6	CITIGROUP INC 3.52% 10-27-2028	Dispositions	-405,000.00		411,006.22
		Free Receipt	405,000.00	-405,044.15	
SBF347Y6	CITIGROUP INC 3.668% DUE 07-24-2028	Dispositions	-165,000.00		165,294.00
		Free Receipt	165,000.00	-166,078.40	
SBDDXTT8	CITIGROUP INC 3.887% 01-10-2028	Dispositions	-80,000.00		81,367.05
		Free Receipt	80,000.00	-82,202.40	
SBSPCB69	CITIGROUP INC 4.3% DUE 11-20-2026	Dispositions	-80,000.00		84,173.60
		Free Receipt	80,000.00	-81,827.65	
SBY7RB31	CITIGROUP INC 4.45% DUE 09-29-2027	Dispositions	-250,000.00		269,590.26
		Free Receipt	250,000.00	-250,477.75	
SBZ57VQ3	CITIGROUP INC 4.6% DUE 03-09-2026	Dispositions	-210,000.00		219,821.70
		Free Receipt	210,000.00	-212,277.49	
SBDGLCL2	CITIGROUP INC 4.75% DUE 05-18-2046	Dispositions	-135,000.00		148,796.08
		Free Receipt	135,000.00	-137,547.08	
SBMH4H85	CITIGROUP INC 5.3 DUE 05-06-2044	Dispositions	-31,000.00		36,500.20

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C172967KD2	CITIGROUP INC 6.125% DUE 12-29-2049	Free Receipt	31,000.00	-35,909.16	
		Dispositions	-50,000.00		51,440.00
SBG48R11	CITIGROUP INC 6.625 DUE 06-15-2032 BEO	Free Receipt	50,000.00	-50,000.00	
		Dispositions	-70,000.00		88,795.85
SB8G0DX3	CITIGROUP INC 8.125% DUE 07-15-2039	Free Receipt	70,000.00	-86,148.40	
		Dispositions	-112,000.00		181,553.19
SBGHQ1Z4	CLECO PWR LLC 6% DUE 12-01-2040	Free Receipt	112,000.00	-169,049.44	
		Dispositions	-150,000.00		179,365.24
C12667FMF9	CMO CWALT INC 2004-16CB MTG PASSTHRU CTFCL 5-A-1 6% DUE 08-25-2034 REG	Free Receipt	150,000.00	-148,347.00	
		Dispositions	-226,504.35		241,874.45
C59020UAC9	CMO MERRILL LYNCH MTG INVS INC 2004-A1 DUE 02-25-2034	Free Receipt	226,504.35	-236,541.06	
		Dispositions	-104,584.37		106,005.14
SB7VZHG7	CMO SEQUOIA MTG TR 2004-1 2004-5 MTG PASSTHRU CTF CL A-1 VAR 05-25-2034 REG	Free Receipt	104,584.37	-103,799.96	
		Dispositions	-196,012.16		196,743.28
SBD723V1	COLUMBIA PIPELN 5.8% DUE 06-01-2045	Free Receipt	196,012.16	-192,892.36	
		Acquisitions	30,000.00	-33,550.35	
SBFWQ3V2	COMCAST CORP NEW FIXED 3.969% DUE 11-01-2047	Dispositions	-220,000.00		252,465.17
		Free Receipt	190,000.00	-201,314.67	
C20030NBS9	COMCAST CORP NEW 3.15% DUE 03-01-2026	Dispositions	-488,000.00		505,569.55
		Free Receipt	488,000.00	-496,188.64	
SBFY1N04	COMCAST CORP NEW 3.9% DUE 03-01-2038	Dispositions	-30,000.00		30,761.70
		Free Receipt	30,000.00	-29,425.50	
C20030NBM2	COMCAST CORP NEW 4.2% DUE 08-15-2034	Dispositions	-185,000.00		193,661.86
		Free Receipt	185,000.00	-184,102.75	
		Dispositions	-275,000.00		304,112.18
		Free Receipt	275,000.00	-273,398.00	

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SB92PSY5	COMCAST CORP NEW 4.25% DUE 01-15-2033	Dispositions	-45,000.00		49,904.74
		Free Receipt	45,000.00	-45,146.85	
C20030NCL3	COMCAST CORP NEW 4.6% 10-15-2038	Dispositions	-205,000.00		232,549.79
		Free Receipt	205,000.00	-203,899.95	
C20030NCN9	COMCAST CORP NEW 4.95% DUE 10-15-2058	Dispositions	-173,000.00		207,022.97
		Free Receipt	173,000.00	-173,191.33	
SB0B7ZQ0	COMCAST CORP NEW 5.65% DUE 06-15-2035	Dispositions	-170,000.00		210,383.63
		Free Receipt	170,000.00	-204,368.90	
SBGV15K8	COMCAST CORP 4.7% 10-15-2048	Acquisitions	30,000.00	-32,719.50	
		Dispositions	-1,360,000.00		1,573,008.87
		Free Receipt	1,330,000.00	-1,320,802.70	
C20337EAQ4	COMMScope INC. TLB DUE 04-06-2028 BEO	Acquisitions	100,000.00	-99,000.00	
		Dispositions	-100,000.00		100,000.00
SBYQ8HF3	COMWLTH EDISON CO 4.35% DUE 11-15-2045	Dispositions	-410,000.00		452,189.00
		Free Receipt	410,000.00	-428,868.20	
SBF2SVR0	CONAGRA BRANDS INC 5.4% 11-01-2048	Dispositions	-600,000.00		662,518.89
		Free Receipt	600,000.00	-596,988.00	
C20605PAL5	CONCHO RES INC 4.85% DUE 08-15-2048	Dispositions	-75,000.00		78,218.65
		Free Receipt	75,000.00	-74,805.00	
C20605PAK7	CONCHO RESOURCES INC 4.3% 08-18-2028	Dispositions	-40,000.00		40,302.80
		Free Receipt	40,000.00	-38,979.20	
SBG48S85	CONOCO FDG CO 7.25% DUE 10-15-2031	Dispositions	-240,000.00		330,900.90
		Free Receipt	240,000.00	-325,542.40	
SB2PJ1B3	CONOCO INC 6.95% DUE 04-15-2029	Dispositions	-330,000.00		437,793.06
		Free Receipt	330,000.00	-428,604.27	
SBSL17W8	CONOCOPHILLIPS CO 4.3% DUE 11-15-2044				

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		Dispositions	-300,000.00		328,744.44
		Free Receipt	300,000.00	-298,344.00	
SBD3GJ31	CONS EDISON CO N Y 4.3% DUE 12-01-2056				
		Dispositions	-105,000.00		111,385.17
		Free Receipt	105,000.00	-104,085.45	
S2014656	CONSTELLATION ENERGY GROUP INC 7.6 DUE 04-01-2032 BEO				
		Dispositions	-230,000.00		310,997.91
		Free Receipt	230,000.00	-302,956.00	
C21036PAZ1	CONSTELLATION 4.1% 02-15-2048				
		Dispositions	-210,000.00		202,005.71
		Free Receipt	210,000.00	-203,718.75	
SBDGKT74	CONSTELLATION 4.5% DUE 05-09-2047				
		Dispositions	-80,000.00		81,104.52
		Free Receipt	80,000.00	-79,647.20	
SBGHWB63	CONSTELLATION 4.65% DUE 11-15-2028				
		Dispositions	-55,000.00		60,743.99
		Free Receipt	55,000.00	-54,846.00	
SBGHWB74	CONSTELLATION 5.25% DUE 11-15-2048				
		Acquisitions	130,000.00	-128,952.45	
		Dispositions	-180,000.00		204,348.30
		Free Receipt	50,000.00	-49,796.00	
SBF19CF9	CONTL RES INC 4.375% DUE 01-15-2028				
		Dispositions	-435,000.00		457,449.09
		Free Receipt	435,000.00	-433,073.55	
SBCCWFC4	CONTL RES INC 4.5 DUE 04-15-2023				
		Dispositions	-100,000.00		105,423.32
		Free Receipt	100,000.00	-70,625.00	
SBYN68H5	CONTL RES INC 4.9% DUE 06-01-2044				
		Acquisitions	145,000.00	-148,028.45	
		Dispositions	-265,000.00		284,092.77
		Free Receipt	120,000.00	-116,161.68	
C21684AAF3	COOPERATIVE 3.75% DUE 07-21-2026				
		Dispositions	-400,000.00		413,436.79
		Free Receipt	400,000.00	-398,184.00	
C225401AF5	CR SUISSE GROUP AG FLTG RT 3.869% DUE 01-12-2029				
		Dispositions	-400,000.00		415,362.98
		Free Receipt	400,000.00	-400,000.00	
C225401AC2	CR SUISSE GROUP AG 4.282% DUE 01-09-2028				

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		Dispositions	-285,000.00		294,232.26
		Free Receipt	285,000.00	-284,891.03	
SBY4K810	CR SUISSE GROUP FDG GUERNSEY LTD 4.875% 05-15-2045				
		Dispositions	-40,000.00		45,906.51
		Free Receipt	40,000.00	-44,812.40	
SBYVGMD1	CR SUISSE GROUP 3.8% DUE 06-09-2023				
		Dispositions	-400,000.00		414,059.14
		Free Receipt	400,000.00	-398,856.00	
SB9444C0	CROWN CASTLE INTL 5.25% DUE 01-15-2023				
		Dispositions	-200,000.00		220,588.36
		Free Receipt	200,000.00	-209,154.00	
C12644VAC2	CSN RES S A 7.625% DUE 04-17-2026				
		Acquisitions	200,000.00	-197,348.00	
		Dispositions	-200,000.00		215,338.19
C126408HL0	CSX CORP FIXED 4.65% DUE 03-01-2068				
		Dispositions	-300,000.00		317,997.76
		Free Receipt	300,000.00	-298,839.00	
S9J7MQSU	CURRENCY OPTION CALL CITIUS33 USD/BRL 4 European 05-16-2019 31750EQG4				
		Acquisitions	180,000.00	0.00	
		Dispositions	-180,000.00		1,186.40
S9J7PRAU	CURRENCY OPTION CALL MSLNGB2X USD/BRL 4.075 European 05-20-2019 31750EQL3				
		Acquisitions	200,000.00	0.00	
		Dispositions	-200,000.00		1,409.00
S9J8NQBU	CURRENCY OPTION CALL MSLNGB2X USD/BRL 4.1585 European 06-18-2019 31750EV37				
		Acquisitions	187,000.00	0.00	
		Dispositions	-187,000.00		1,216.25
SBD72463	CVS HEALTH CORP 2.875% DUE 06-01-2026				
		Dispositions	-120,000.00		116,877.10
		Free Receipt	120,000.00	-118,761.60	
SBF3V2P3	CVS HEALTH CORP 4.3% DUE 03-25-2028				
		Acquisitions	60,000.00	-61,034.70	
		Dispositions	-245,000.00		252,475.88
		Free Receipt	185,000.00	-182,398.90	
SBF4JK96	CVS HEALTH CORP 4.78% DUE 03-25-2038				
		Dispositions	-880,000.00		909,724.36
		Free Receipt	880,000.00	-862,523.20	
SBF4JKH4	CVS HEALTH CORP 5.05% DUE 03-25-2048				
		Acquisitions	215,000.00	-217,020.65	

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		Dispositions	-1,115,000.00		1,139,975.71
		Free Receipt	900,000.00	-899,494.50	
SBYPFC45	CVS HEALTH CORP 5.125% DUE 07-20-2045				
		Dispositions	-165,000.00		174,677.93
		Free Receipt	165,000.00	-168,096.84	
SBDGKT07	DAIMLER FIN NORTH AMER LLC NT FLTG RATE 144A 05-05-2020				
		Dispositions	-400,000.00		402,569.98
		Free Receipt	400,000.00	-400,000.00	
SB06SZJ2	DAIMLERCHRYSLER N 8.5% DUE 01-18-2031				
		Dispositions	-55,000.00		79,033.90
		Free Receipt	55,000.00	-83,105.05	
SB3ZT723	DALLAS CNTY TEX HOSP DIST 5.621% 08-15-2044 BEO TAXABLE				
		Acquisitions	260,000.00	-315,894.80	
		Dispositions	-260,000.00		343,949.30
SBD24YZ3	DEFAULTED PACIFIC GAS & ELEC CO 0.0% DUE 12-31-2040				
		Dispositions	-50,000.00		37,375.00
		Free Receipt	50,000.00	-49,782.00	
SBJNYS63	DELL INTL L L C / 4% DUE 07-15-2024				
		Acquisitions	300,000.00	-298,677.00	
		Dispositions	-300,000.00		308,860.01
SB3X0DQ4	DELTA AIR LINES INC DEL 4.95% DUE 11-23-2020				
		Dispositions	-56,548.16		56,548.16
		Free Receipt	56,548.16	-56,932.62	
SB4LM820	DELTA AIR LINES 7.75% DUE 06-17-2021				
		Dispositions	-34,494.91		35,178.85
		Free Receipt	34,494.91	-35,615.99	
SBFWFZJ1	DEUTSCHE BK AG N Y FIXED 4.25% DUE 10-14-2021				
		Dispositions	-600,000.00		611,021.14
		Free Receipt	600,000.00	-598,578.00	
SB1S7195	DEUTSCHE TELEKOM STEP CPN 8.75% DUE 06-15-2030				
		Dispositions	-700,000.00		1,001,705.71
		Free Receipt	700,000.00	-853,281.18	
SBYNCYY2	DEVON ENERGY CORP FIXED 5% DUE 06-15-2045				
		Dispositions	-90,000.00		101,713.56
		Free Receipt	90,000.00	-83,921.40	
SBFN08Q3	DEVON ENERGY CORP 4.75% DUE 05-15-2042				
		Dispositions	-10,000.00		10,768.39
		Free Receipt	10,000.00	-9,087.90	

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SB3Q7W10	DEVON ENERGY CORP 5.6 DUE 07-15-2041	Acquisitions	115,000.00	-126,530.10	
		Dispositions	-115,000.00		138,185.57
SB8NXVQ4	DIAGEO CAP PLC 3.875% DUE 04-29-2043	Dispositions	-200,000.00		212,466.53
		Free Receipt	200,000.00	-189,706.80	
C25272YAE3	DIAMOND RESORTS INTERNATIONAL INC TERM LOAN DUE 09-02-2023	Dispositions	-99,498.74		95,776.94
		Free Receipt	99,498.74	-97,508.76	
SBD96MQ9	DIAMOND 1 FIN CORP 4.42% DUE 06-15-2021	Dispositions	-700,000.00		720,916.99
		Free Receipt	700,000.00	-737,039.00	
C25466AAP6	DISCOVER BANK 4.65% 09-13-2028	Dispositions	-300,000.00		329,310.12
		Free Receipt	300,000.00	-299,550.00	
C25470DAS8	DISCOVERY COMMUNICATIONS LLC 5.0% DUE 09-20-2037/09-21-2017	Dispositions	-120,000.00		124,015.65
		Free Receipt	120,000.00	-119,880.00	
SBDGS7J6	DISCOVERY COMMUNICATIONS LLC 5.2% 09-20-2047	Dispositions	-160,000.00		166,906.18
		Free Receipt	160,000.00	-160,057.76	
C25470DBG3	DISCOVERY COMMUNICATIONS LLC 5.3% 05-15-2049	Acquisitions	130,000.00	-130,155.30	
		Dispositions	-130,000.00		136,504.91
S9A3XY4L	DOMESTIC MASTER FORWARD COLLATERAL PAYABLE TO:MORGAN STANLEY	Acquisitions	250,000.00	-250,000.00	
		Free Delivery	-250,000.00		
S9A41GUL	DOMESTIC MASTER FORWARD COLLATERAL PAYABLE TO:UBS	Acquisitions	250,000.00	-250,000.00	
		Free Delivery	-250,000.00		
SBD60ZF4	DOMINION GAS HLDGS 2.8% DUE 11-15-2020	Dispositions	-400,000.00		403,440.07
		Free Receipt	400,000.00	-406,124.00	
SBGL9DP3	DOWDUPONT INC 5.319% DUE 11-15-2038	Acquisitions	45,000.00	-48,201.05	
		Dispositions	-45,000.00		52,285.88
SBGL9DS6	DOWDUPONT INC 5.419% DUE 11-15-2048	Dispositions	-580,000.00		659,980.91

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S2428707	DUKE ENERGY CORP SR NT SER A 6 DUE 12-01-2028 BEO	Free Receipt	580,000.00	-585,752.20	
		Dispositions	-45,000.00		55,156.50
SBF46ZJ2	DUKE ENERGY CORP 3.95% DUE 08-15-2047	Free Receipt	45,000.00	-54,518.10	
		Dispositions	-190,000.00		188,481.70
SBBWX683	DUKE ENERGY IND 4.9 DUE 07-15-2043	Free Receipt	190,000.00	-181,156.20	
		Dispositions	-170,000.00		200,011.94
SB4M4CP6	DUKE ENERGY IND 6.35% DUE 08-15-2038	Free Receipt	170,000.00	-188,723.60	
		Dispositions	-325,000.00		446,248.49
SB4NTXW4	DUKE ENERGY IND 6.45% DUE 04-01-2039	Free Receipt	325,000.00	-425,700.55	
		Dispositions	-45,000.00		62,549.59
SB799H27	DUKE ENERGY 4.25% DUE 12-15-2041	Free Receipt	45,000.00	-58,832.10	
		Dispositions	-45,000.00		48,961.64
SBQRRXS4	EATON CORP OHIO 4 DUE 11-02-2032	Free Receipt	45,000.00	-45,624.30	
		Dispositions	-170,000.00		186,857.19
C278642AF0	EBAY INC 4 DUE 07-15-2042	Free Receipt	170,000.00	-170,219.30	
		Dispositions	-375,000.00		346,064.81
SBYXVW12	EDF S A 4.75% DUE 10-13-2035	Free Receipt	375,000.00	-317,643.75	
		Dispositions	-135,000.00		137,276.25
C268317AQ7	EDF S A 4.95% DUE 10-13-2045	Free Receipt	135,000.00	-133,534.90	
		Dispositions	-405,000.00		438,323.52
S2836399	EL PASO ENERGY CORP MTN BOOKTRANCHE # TR00005 7.75 1-15-2032 BEO	Free Receipt	405,000.00	-403,977.78	
		Dispositions	-20,000.00		27,409.26
SB82B6J7	EL PASO PIPELN 4.7% DUE 11-01-2042	Free Receipt	20,000.00	-25,485.80	
		Dispositions	-40,000.00		40,032.61
SBYMVD32	EMERA US FIN LP 4.75% DUE 06-15-2046	Free Receipt	40,000.00	-38,286.00	

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		Dispositions	-165,000.00		176,231.18
		Free Receipt	165,000.00	-180,587.55	
SB23Y0M4	ENCANA CORP 6.625% DUE 08-15-2037				
		Dispositions	-100,000.00		124,564.00
		Free Receipt	100,000.00	-107,034.00	
SBDHTRM1	ENERGY TRANSFER FIXED 5.3% DUE 04-15-2047				
		Acquisitions	50,000.00	-47,595.05	
		Dispositions	-220,000.00		224,745.40
		Free Receipt	170,000.00	-166,827.77	
SBYQ24Q1	ENERGY TRANSFER FIXED 6.125% DUE 12-15-2045				
		Dispositions	-135,000.00		150,842.39
		Free Receipt	135,000.00	-127,388.39	
SBWBXR55	ENERGY TRANSFER 4.9% DUE 03-15-2035				
		Dispositions	-155,000.00		154,595.77
		Free Receipt	155,000.00	-143,998.65	
SB8H38T2	ENERGY TRANSFER 5.15% DUE 02-01-2043				
		Dispositions	-15,000.00		14,971.27
		Free Receipt	15,000.00	-13,649.10	
SBXVMC65	ENERGY TRANSFER 5.15% DUE 03-15-2045				
		Dispositions	-155,000.00		155,075.90
		Free Receipt	155,000.00	-141,937.07	
SBJCYMH3	ENERGY TRANSFER 5.25% DUE 04-15-2029				
		Acquisitions	80,000.00	-79,831.20	
		Dispositions	-80,000.00		83,580.80
SBDWGZW3	ENERGY TRANSFER 5.95 DUE 10-01-2043				
		Dispositions	-155,000.00		169,169.72
		Free Receipt	155,000.00	-159,768.45	
SBJCYMJ5	ENERGY TRANSFER 6.25% DUE 04-15-2049				
		Acquisitions	470,000.00	-480,023.95	
		Dispositions	-470,000.00		539,430.91
SBFXCN75	ENERGY TRANSFER 6% DUE 06-15-2048				
		Dispositions	-5,000.00		4,879.05
		Free Receipt	5,000.00	-4,945.00	
SBG48VF3	ENERGY TRANSFER 7.5% DUE 07-01-2038				
		Dispositions	-500,000.00		642,370.75
		Free Receipt	500,000.00	-568,470.86	
SBDVJS80	ENLINK MIDSTREAM 5.45% DUE 06-01-2047				
		Dispositions	-300,000.00		259,794.58

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SB410GD1	ENTERGY LA LLC 1ST MTG BD 4.44 DUE 01-15-2026	Free Receipt	300,000.00	-299,943.00	
		Dispositions	-10,000.00		10,948.91
SBJKGSC8	ENTERGY LA LLC 4.2% 04-01-2050	Free Receipt	10,000.00	-10,968.90	
		Acquisitions	100,000.00	-99,293.00	
SBY25J66	ENTERPRISE PRODS FIXED 4.9% DUE 05-15-2046	Dispositions	-100,000.00		109,464.62
		Dispositions	-5,000.00		5,594.92
SBFY1ZL9	ENTERPRISE PRODS OPER LLC 4.25% 02-15-2048	Free Receipt	5,000.00	-4,723.92	
		Dispositions	-200,000.00		202,185.37
SBF39VN8	ENTERPRISE PRODS OPER LLC 4.8% 02-01-2049	Free Receipt	200,000.00	-199,730.00	
		Acquisitions	25,000.00	-26,615.25	
SBRKFLN3	ENTERPRISE PRODS 3.75% DUE 02-15-2025	Dispositions	-550,000.00		600,746.17
		Free Receipt	525,000.00	-519,759.82	
SB9M5X40	ENTERPRISE PRODS 4.85% DUE 03-15-2044	Dispositions	-390,000.00		408,314.40
		Free Receipt	390,000.00	-390,059.40	
SBRHVCC0	ENTERPRISE PRODS 4.95% DUE 10-15-2054	Dispositions	-25,000.00		27,416.26
		Free Receipt	25,000.00	-25,650.75	
SBJT1608	ENTERPRISE PRODS 5.1% DUE 02-15-2045	Dispositions	-150,000.00		162,471.83
		Free Receipt	150,000.00	-139,913.55	
SB56MMZ3	ENTERPRISE PRODS 5.7% DUE 02-15-2042	Dispositions	-300,000.00		342,913.26
		Free Receipt	300,000.00	-307,689.00	
SB06K8Q5	ENTERPRISE PRODS 5.7% DUE 02-15-2042	Dispositions	-45,000.00		53,552.38
		Free Receipt	45,000.00	-49,393.86	
S2829429	ENTERPRISE PRODS 6.65% DUE 10-15-2034	Dispositions	-170,000.00		223,382.96
		Free Receipt	170,000.00	-207,130.80	
	ENTERPRISE PRODS 6.875% DUE 03-01-2033	Dispositions	-90,000.00		120,302.99
		Free Receipt	90,000.00	-117,355.50	

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C29373UAC5	ENVISION HEALTHCARE CORPORATION DUE 10-11-2025	Dispositions	-200,000.00		186,284.38
		Free Receipt	200,000.00	-199,500.00	
SBZ1C601	EQM MIDSTREAM 4.125% DUE 12-01-2026	Dispositions	-100,000.00		95,934.98
		Free Receipt	100,000.00	-99,191.00	
SBZ2Z1G0	EQT CORP 3.9% DUE 10-01-2027	Dispositions	-200,000.00		194,167.31
		Free Receipt	200,000.00	-199,836.00	
SBD978H5	EXELON CORP 4.95% DUE 06-15-2035	Dispositions	-205,000.00		226,163.74
		Free Receipt	205,000.00	-205,706.30	
SB715FY1	EXELON GENERATION 5.6 DUE 06-15-2042	Dispositions	-440,000.00		484,379.29
		Free Receipt	440,000.00	-460,368.80	
SBGPYWR9	EXPRESS SCRIPTS 3.4% DUE 03-01-2027	Dispositions	-100,000.00		101,514.72
		Free Receipt	100,000.00	-99,959.00	
SBGPYWT1	EXPRESS SCRIPTS 4.8% DUE 07-15-2046	Dispositions	-260,000.00		270,859.55
		Free Receipt	260,000.00	-259,887.59	
SBHZFZM5	FEDEX CORP BOND 5.1 DUE 01-15-2044	Acquisitions	115,000.00	-119,015.00	
		Dispositions	-115,000.00		124,887.78
SBF42ZD4	FEDEX CORP 4.05% DUE 02-15-2048	Acquisitions	100,000.00	-88,066.85	
		Dispositions	-100,000.00		94,188.21
SBV9HFG9	FEDEX CORP 4.1% DUE 02-01-2045	Dispositions	-50,000.00		47,502.89
		Free Receipt	50,000.00	-49,716.00	
SBYYPG0	FEDEX CORP 4.4% DUE 01-15-2047	Acquisitions	50,000.00	-47,137.00	
		Dispositions	-100,000.00		99,849.45
		Free Receipt	50,000.00	-51,861.00	
SBGK3JZ8	FEDEX CORP 4.95% 10-17-2048	Acquisitions	40,000.00	-40,461.60	
		Dispositions	-445,000.00		482,651.84
		Free Receipt	405,000.00	-400,547.40	

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SBF4NTR9	FINANCIAL RISK 6.875% SNR 15/11/2026 EUR	Dispositions	-100,000.00		113,771.02
		Free Receipt	100,000.00	-116,995.00	
SBDC3P80	FIRSTENERGY CORP 4.85% 07-15-2047	Dispositions	-90,000.00		100,378.24
		Free Receipt	90,000.00	-89,432.10	
SBGBN0F4	FISERV INC 4.4% DUE 07-01-2049	Acquisitions	250,000.00	-248,470.00	
		Dispositions	-250,000.00		254,135.87
SB8C07L3	FL PWR & LT CO 4.05% DUE 06-01-2042	Dispositions	-225,000.00		241,894.81
		Free Receipt	225,000.00	-224,849.90	
S2579467	FL PWR & LT CO 5.625% DUE 04-01-2034	Dispositions	-20,000.00		25,414.43
		Free Receipt	20,000.00	-24,533.20	
SB2O0F35	FL PWR & LT CO 5.95% DUE 02-01-2038	Dispositions	-30,000.00		40,387.02
		Free Receipt	30,000.00	-38,048.70	
S2013879	FL PWR & LT CO 5.95% DUE 10-01-2033	Dispositions	-45,000.00		58,251.14
		Free Receipt	45,000.00	-57,066.30	
SB27YLH2	FLORIDA PWR CORP 6.35% DUE 09-15-2037	Dispositions	-265,000.00		363,562.53
		Free Receipt	265,000.00	-353,134.03	
SB39XY20	FLORIDA PWR CORP 6.4% DUE 06-15-2038	Dispositions	-55,000.00		75,392.06
		Free Receipt	55,000.00	-72,873.00	
SB02FN14	FORD HLDGS INC 9.3% DUE 03-01-2030	Dispositions	-134,000.00		170,074.70
		Free Receipt	134,000.00	-191,077.98	
SBG48VR5	FORD MTR CO DEL 7.4% DUE 11-01-2046	Dispositions	-105,000.00		115,480.43
		Free Receipt	105,000.00	-138,513.82	
S2445643	FORD MTR CO DEL 7.45% DUE 07-16-2031	Dispositions	-115,000.00		135,681.68
		Free Receipt	115,000.00	-149,854.04	
SBJYMJ29	FORD MTR CR CO LLC FIXED 5.113% 05-03-2029	Acquisitions	480,000.00	-480,000.00	

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SBJP13R8	FORD MTR CR CO LLC VAR RT 2.86475% DUE 09-24-2020	Dispositions	-480,000.00		485,801.98
		Dispositions	-300,000.00		299,732.90
SBFZHS95	FORD MTR CR CO LLC 4.687% 06-09-2025	Free Receipt	300,000.00	-300,000.00	
		Dispositions	-300,000.00		306,673.07
		Free Receipt	300,000.00	-300,000.00	
C999999OA8	FUT CALL APR 19 T-NOTE OPTION 1235	Acquisitions	2.00	-1.12	
		Dispositions	-2.00		340.56
C999999OA8	FUT CALL JUL 19 CBT 5Y T-NOTE 116.75	Acquisitions	4.00	-2.24	
		Dispositions	-4.00		681.12
C999999OA8	FUT CALL JUL 19 T-NOTE OPTION 126.50	Acquisitions	3.00	-1.68	
		Dispositions	-3.00		417.09
C999999OA8	FUT CALL MAR 19 T-NOTE OPTION 1235	Acquisitions	2.00	-0.20	
		Dispositions	-2.00		249.20
C999999OA8	FUT CALL MAY 19 T-NOTE OPTION 1250	Acquisitions	1.00	-0.10	
		Dispositions	-1.00		108.13
C999999OA8	FUT PUT APR 19 T-NOTE OPTION 121.00	Acquisitions	2.00	-0.20	
		Dispositions	-2.00		309.30
C999999OA8	FUT PUT JUL 19 T-NOTE OPTION 1230	Acquisitions	3.00	-0.30	
		Dispositions	-3.00		370.20
C999999OA8	FUT PUT MAR 19 T-NOTE OPTION 120.50	Acquisitions	2.00	-0.20	
		Dispositions	-2.00		245.80
C999999OA8	FUT PUT MAY 19 T-NOTE OPTION 1230	Acquisitions	1.00	-0.10	
		Dispositions	-1.00		186.25
C999599GH0	FUT SEP 19 10 YR T-NOTES	Free Delivery	-90.00		
C999599GH0	FUT SEP 19 10 YR T-NOTES	Free Receipt	3.00	-379,500.00	

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		Free Delivery	-3.00		
		Free Receipt	90.00	-11,313,984.38	
SB78K6L3	GA PWR CO SR NT SER 2012A 4.3 DUE 03-15-2042	Dispositions	-220,000.00		228,531.37
		Free Receipt	220,000.00	-214,791.66	
SBYNWXZ6	GE CAP INTL FDG CO 4.418% DUE 11-15-2035	Dispositions	-1,182,000.00		1,155,424.56
		Free Receipt	1,182,000.00	-1,172,444.87	
SBYZ5N31	GEN MTRS FINL CO FIXED 4.3% DUE 07-13-2025	Dispositions	-100,000.00		104,475.68
		Free Receipt	100,000.00	-99,863.00	
C369604BQ5	GENERAL ELEC CO CORP 5.0% 12-29-2049	Dispositions	-600,000.00		573,375.00
		Free Receipt	600,000.00	-584,170.00	
SB7T4807	GENERAL ELEC CO 4.125% DUE 10-09-2042	Dispositions	-215,000.00		196,635.98
		Free Receipt	215,000.00	-212,874.91	
SBD93QC4	GENERAL MTRS CO 5.15% DUE 04-01-2038	Acquisitions	30,000.00	-26,620.20	
		Dispositions	-430,000.00		419,972.26
		Free Receipt	400,000.00	-399,748.00	
SBGLRQ81	GENERAL MTRS CO 5.95% DUE 04-01-2049	Acquisitions	190,000.00	-187,770.55	
		Dispositions	-190,000.00		194,755.35
SBP8Y7Q3	GENERAL MTRS CO 6.25% DUE 10-02-2043	Acquisitions	30,000.00	-30,900.00	
		Dispositions	-315,000.00		331,381.18
		Free Receipt	285,000.00	-304,521.99	
SBYXXG0	GENERAL MTRS CO 6.6% DUE 04-01-2036	Dispositions	-350,000.00		384,709.01
		Free Receipt	350,000.00	-356,451.65	
SBSNBW0	GILEAD SCIENCES 4.5% DUE 02-01-2045	Dispositions	-115,000.00		123,444.44
		Free Receipt	115,000.00	-122,121.95	
C375558BG7	GILEAD SCIENCES 4.6% DUE 09-01-2035	Dispositions	-240,000.00		268,785.96
		Free Receipt	240,000.00	-241,327.50	
C375558BD4	GILEAD SCIENCES 4.75% DUE 03-01-2046				

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		Dispositions	-295,000.00		325,572.87
		Free Receipt	295,000.00	-295,773.48	
C375558BJ1	GILEAD SCIENCES 4% DUE 09-01-2036				
		Dispositions	-75,000.00		78,694.22
		Free Receipt	75,000.00	-74,572.50	
SBF108Q5	GLAXOSMITHKLINE 3.875% DUE 05-15-2028				
		Dispositions	-130,000.00		133,059.05
		Free Receipt	130,000.00	-129,989.60	
SB90SSN5	GOLDCORP INC NEW 3.7% DUE 03-15-2023				
		Dispositions	-450,000.00		450.00
		Free Receipt	450,000.00	-447,439.50	
C38148YAA6	GOLDMAN SACHS FLTG RT 4.017% DUE 10-31-2038				
		Dispositions	-290,000.00		296,432.12
		Free Receipt	290,000.00	-285,429.50	
C38141GWZ3	GOLDMAN SACHS GROUP INC 4.223% 05-01-2029				
		Dispositions	-105,000.00		107,279.50
		Free Receipt	105,000.00	-101,977.05	
SBZ12537	GOLDMAN SACHS 3.5% DUE 11-16-2026				
		Dispositions	-295,000.00		285,383.00
		Free Receipt	295,000.00	-294,235.95	
SBF6Q761	GOLDMAN SACHS 3.691% DUE 06-05-2028				
		Dispositions	-5,000.00		4,854.20
		Free Receipt	5,000.00	-4,742.90	
SBFXTLH2	GOLDMAN SACHS 4.411% DUE 04-23-2039				
		Dispositions	-55,000.00		58,737.76
		Free Receipt	55,000.00	-53,335.97	
SBYNZDD3	GOLDMAN SACHS 4.75% DUE 10-21-2045				
		Acquisitions	150,000.00	-151,362.00	
		Dispositions	-150,000.00		168,868.50
SBP4W4X7	GOLDMAN SACHS 4.8% DUE 07-08-2044				
		Dispositions	-475,000.00		541,256.07
		Free Receipt	475,000.00	-486,983.82	
SBY58YT3	GOLDMAN SACHS 5.15% DUE 05-22-2045				
		Dispositions	-375,000.00		418,342.29
		Free Receipt	375,000.00	-375,157.42	
SB9CMD63	GOLDMAN SACHS 6.75% DUE 10-01-2037				
		Dispositions	-300,000.00		392,788.31
		Free Receipt	300,000.00	-310,665.43	

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SBCFKLB6	GRAND PARKWAY TRANSN CORP TEX SYS TOLL REV 5.184% 10-01-2042 BEO TAXABLE	Dispositions	-200,000.00		252,424.40
		Free Receipt	200,000.00	-233,216.00	
SBYNR6K7	HALLIBURTON CO 4.85% DUE 11-15-2035	Dispositions	-235,000.00		247,935.98
		Free Receipt	235,000.00	-234,934.70	
SBYNR4N6	HALLIBURTON CO 5% DUE 11-15-2045	Dispositions	-415,000.00		428,806.89
		Free Receipt	415,000.00	-412,638.73	
SB836JX6	HARTFORD FINL SVCS SR NT 6.625 DUE 03-30-2040	Dispositions	-150,000.00		188,604.57
		Free Receipt	150,000.00	-201,547.41	
SBDCT8F6	HEWLETT PACKARD STEP CPN 6.35% DUE 10-15-2045	Dispositions	-100,000.00		109,737.31
		Free Receipt	100,000.00	-99,932.00	
SBDGLXQ4	HOME DEPOT INC 3.9% DUE 06-15-2047	Dispositions	-180,000.00		190,901.80
		Free Receipt	180,000.00	-181,088.40	
SBR30BC4	HOME DEPOT INC 4.4 DUE 03-15-2045 REG	Dispositions	-300,000.00		337,280.23
		Free Receipt	300,000.00	-308,010.00	
SBDD8L53	HOME DEPOT INC 4.875 DUE 02-15-2044	Dispositions	-240,000.00		287,843.32
		Free Receipt	240,000.00	-265,437.10	
SBG48WF0	HSBC BK USA N A GLOBAL MEDIUM TERM SUB BNT 5.625 DUE 08-15-2035	Dispositions	-255,000.00		305,200.99
		Free Receipt	255,000.00	-303,794.67	
SBG48WG1	HSBC BK USA N A 7 DUE 01-15-2039	Dispositions	-980,000.00		1,242,502.66
		Free Receipt	980,000.00	-937,818.98	
SBFN0JH1	HSBC BK USA 5.875 11-01-2034	Dispositions	-90,000.00		113,449.48
		Free Receipt	90,000.00	-105,120.99	
SBJLD4R5	HSBC HLDGS PLC FIXED 3.973% 05-22-2030	Acquisitions	150,000.00	-150,000.00	
		Dispositions	-150,000.00		153,388.75
SBFN0JF9	HSBC HLDGS PLC 6.5% DUE 09-15-2037	Dispositions	-100,000.00		130,471.99

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SBG5GXL6	HSBC HLDGS PLC 7.625% DUE 05-17-2032	Free Receipt	100,000.00	-102,163.00	
		Dispositions	-100,000.00		136,824.42
SBOZJCM9	HUMANA INC 4.95% DUE 10-01-2044	Free Receipt	100,000.00	-101,124.50	
		Dispositions	-65,000.00		71,271.55
S5A8QDMU	IHO VERWALTUNGS GMBH PIK 15/05/2027 144A	Free Receipt	65,000.00	-67,358.20	
		Acquisitions	100,000.00	-109,940.99	
SBJRF873	IHS MARKIT LTD 4.25% DUE 05-01-2029	Dispositions	-100,000.00		112,638.98
		Acquisitions	200,000.00	-198,844.00	
SBYZKM62	IN DEFAULT PACIFIC GAS & ELEC CO 0.0% DUE 12-31-2040	Dispositions	-200,000.00		209,814.44
		Free Receipt	40,000.00	-37,035.60	
SB8FPMT8	IN DEFAULT PAC GAS & ELEC CO NO-INCOME 0% DUE 12-31-2040	Dispositions	-400,000.00		342,706.25
		Free Receipt	400,000.00	-437,711.80	
SB3N1HV0	IN DEFAULT PACIFIC GAS & ELEC CO 0.0% DUE 12-31-2040 REG	Dispositions	-100,000.00		96,000.10
		Free Receipt	100,000.00	-95,393.75	
SBFXV4T1	INDIANA MICH PWR CO 4.25% 08-15-2048	Dispositions	-185,000.00		198,684.62
		Free Receipt	185,000.00	-186,341.80	
SBFMFJQ6	INTEL CORP 3.734% 12-08-2047 BEO	Dispositions	-201,000.00		204,058.75
		Free Receipt	201,000.00	-200,587.64	
SBF3W9R7	INTEL CORP 4.1% DUE 05-11-2047	Dispositions	-200,000.00		214,917.84
		Free Receipt	200,000.00	-198,838.00	
C458140AN0	INTEL CORP 4% DUE 12-15-2032	Dispositions	-175,000.00		193,499.47
		Free Receipt	175,000.00	-173,496.75	
SBF4Q3X2	INTERCONTINENTAL 4.25% DUE 08-21-2048	Dispositions	-175,000.00		182,496.15
		Free Receipt	175,000.00	-173,754.00	
SBF037N5	INTERNATIONAL PAPER CO 4.35% DUE 08-15-2048				

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		Acquisitions	35,000.00	-32,927.55	
		Dispositions	-35,000.00		31,942.40
SBF5HW37	INTERPUBLIC GROUP COS INC 5.4% 10-01-2048				
		Dispositions	-200,000.00		217,144.44
SBYYGTQ0	INTL PAPER CO 4.4% DUE 08-15-2047	Free Receipt	200,000.00	-198,878.00	
		Acquisitions	60,000.00	-56,592.75	
SBXT7CG2	INTL PAPER CO 5.15% DUE 05-15-2046	Dispositions	-60,000.00		58,144.85
		Dispositions	-130,000.00		122,634.15
		Free Receipt	130,000.00	-138,057.92	
SBDG0907	JOHNSON CTLS INTL PLC SR NT STEP UP 07-02-2064 12-28-2016				
		Dispositions	-80,000.00		76,640.55
		Free Receipt	80,000.00	-75,331.20	
SBDRJGF7	JPMORGAN CHASE & CO BNDS 3.897% 01-23-2049				
		Dispositions	-260,000.00		268,336.80
		Free Receipt	260,000.00	-260,000.00	
SBF348D2	JPMORGAN CHASE & CO BNDS 4.032% 07-24-2048				
		Dispositions	-185,000.00		194,673.54
		Free Receipt	185,000.00	-186,233.78	
SBZ7NF45	JPMORGAN CHASE & CO BNDS 4.26% 02-22-2048				
		Dispositions	-70,000.00		76,412.90
		Free Receipt	70,000.00	-71,255.90	
SB90J106	JPMORGAN CHASE & CO FORMERLY J P MORGAN NT 6.4 DUE 05-15-2038 BEO				
		Dispositions	-1,100,000.00		1,491,607.80
		Free Receipt	1,100,000.00	-1,090,595.95	
SBD7F8X7	JPMORGAN CHASE & CO 3.782% DUE 02-01-2028 BEO				
		Dispositions	-320,000.00		337,738.80
		Free Receipt	320,000.00	-323,224.00	
SBD0G1Q1	JPMORGAN CHASE & CO 3.984% DUE 11-15-2048				
		Acquisitions	425,000.00	-413,295.50	
		Dispositions	-595,000.00		620,237.14
		Free Receipt	170,000.00	-169,117.00	
SB7FRRL4	JPMORGAN CHASE & CO 5.4 SNR NTS DUE 01-06-2042 USD1000				
		Dispositions	-195,000.00		245,348.36
		Free Receipt	195,000.00	-224,781.49	
SB9L4NM6	JPMORGAN CHASE & CO 5.5 DUE 10-15-2040				
		Dispositions	-85,000.00		106,706.52

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SBYMJ596	JPMORGAN CHASE & 3.625% DUE 12-01-2027	Free Receipt	85,000.00	-101,482.05	
		Dispositions	-140,000.00		142,665.60
SBF348B0	JPMORGAN CHASE & 3.882% DUE 07-24-2038	Free Receipt	140,000.00	-139,757.80	
		Dispositions	-25,000.00		25,979.50
SBF0YLL4	KAISER FNDTN HOSPS 4.15% DUE 05-01-2047	Free Receipt	25,000.00	-23,029.88	
		Dispositions	-90,000.00		99,466.60
SB6QFCS2	KENTUCKY UTILITIES 5.125 11-1-2040	Free Receipt	90,000.00	-89,145.90	
		Dispositions	-440,000.00		533,984.77
SBZ9P8B7	KINDER MORGAN INC FLTG RT 3.11125% DUE 01-15-2023	Free Receipt	440,000.00	-503,803.85	
		Dispositions	-500,000.00		509,623.93
SBFXCNC0	KINDER MORGAN INC 5.2% 03-01-2048	Free Receipt	500,000.00	-500,000.00	
		Acquisitions	10,000.00	-10,574.30	
SBSXNP73	KINDER MORGAN INC 5.55% DUE 06-01-2045	Dispositions	-10,000.00		10,978.33
		Dispositions	-155,000.00		173,674.78
SBCR4C13	KINDER MORGAN 4.15% DUE 02-01-2024	Free Receipt	155,000.00	-164,471.08	
		Dispositions	-1,290,000.00		1,352,203.80
SB1Z54W6	KINDER MORGAN 6.95% DUE 01-15-2038	Free Receipt	1,290,000.00	-1,253,158.80	
		Dispositions	-320,000.00		411,392.99
S2187310	KINDER MORGAN 7.3% DUE 08-15-2033	Free Receipt	320,000.00	-353,004.68	
		Dispositions	-100,000.00		131,836.42
SB87MC97	KRAFT FOODS GROUP 6.5% DUE 02-09-2040	Free Receipt	100,000.00	-91,504.00	
		Acquisitions	135,000.00	-146,124.55	
SBDF0M32	KRAFT HEINZ FOODS CO FLTG RT DUE 02-10-2021 REG	Dispositions	-135,000.00		156,699.95
		Dispositions	-300,000.00		300,873.57
C50077LAB2	KRAFT HEINZ FOODS 4.375% DUE 06-01-2046	Free Receipt	300,000.00	-300,000.00	

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		Dispositions	-410,000.00		377,732.90
		Free Receipt	410,000.00	-403,547.40	
C50077LAT3	KRAFT HEINZ FOODS 4.625% DUE 01-30-2029				
		Dispositions	-300,000.00		325,896.80
		Free Receipt	300,000.00	-298,233.00	
C50077LAM8	KRAFT HEINZ FOODS 5.2% DUE 07-15-2045				
		Acquisitions	40,000.00	-38,218.60	
		Dispositions	-40,000.00		41,080.97
SBZ4V7B3	KRAFT HEINZ FOODS 5% DUE 07-15-2035				
		Acquisitions	20,000.00	-19,882.40	
		Dispositions	-310,000.00		320,667.55
		Free Receipt	290,000.00	-291,884.20	
SBJ1STR5	KROGER CO 5.4% DUE 01-15-2049				
		Acquisitions	210,000.00	-218,267.15	
		Dispositions	-210,000.00		229,162.71
SBJ1G5H1	LAZARD GROUP LLC 4.375% 03-11-202				
		Acquisitions	100,000.00	-99,241.00	
		Dispositions	-100,000.00		105,742.71
SBJJDP30	LILLY ELI & CO 3.95% 03-15-2049				
		Acquisitions	130,000.00	-129,270.70	
		Dispositions	-130,000.00		128,295.35
SBJKCM46	LILLY ELI & CO 4.15% 03-15-2059				
		Acquisitions	120,000.00	-119,253.60	
		Dispositions	-120,000.00		129,620.95
SBDB6BN7	LOCKHEED MARTIN 4.5% DUE 05-15-2036				
		Dispositions	-70,000.00		79,621.96
		Free Receipt	70,000.00	-69,287.20	
SB5N2B26	LOCKHEED MARTIN 4.85 DUE 09-15-2041				
		Dispositions	-55,000.00		63,566.76
		Free Receipt	55,000.00	-59,613.85	
SB4LSB85	LOS ANGELES CALIF CMNTY COLLEGE DIST 6.75% 08-01-2049 BEO TAXABLE				
		Dispositions	-375,000.00		598,594.69
		Free Receipt	375,000.00	-528,669.37	
SB513PH4	LOS ANGELES CALIF UNI SCH DIST 5.75% 07-01-2034 BEO TAXABLE				
		Dispositions	-250,000.00		319,268.19
		Free Receipt	250,000.00	-300,077.50	
SBHNKG30	LOS ANGELES CALIF WASTEWTR SYS REV 5.713% 06-01-2039 BEO TAXABLE				
		Dispositions	-400,000.00		524,731.99

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SB4LZW83	LOS ANGELES CNTY CALIF PUB WKS FING AUTHLEASE REV 7.618% 08-01-2040 BEO TAXABLE	Free Receipt	400,000.00	-400,000.00	
		Dispositions	-500,000.00		791,080.19
SBJY1722	LOWES COS INC FIXED 4.55% 04-05-2049	Free Receipt	500,000.00	-500,000.00	
		Acquisitions	330,000.00	-328,607.40	
SBD0SCD1	LOWES COS INC 3.7% DUE 04-15-2046	Dispositions	-330,000.00		353,885.04
		Dispositions	-200,000.00		186,293.98
SBYWC120	LOWES COS INC 4.05% DUE 05-03-2047	Free Receipt	200,000.00	-198,888.00	
		Dispositions	-120,000.00		116,154.00
SBMYN08	LOWES COS INC 4.375% DUE 09-15-2045	Free Receipt	120,000.00	-118,783.20	
		Dispositions	-155,000.00		158,683.18
SBK3NZG6	LYB INTL FIN B V 4.875% DUE 03-15-2044	Free Receipt	155,000.00	-154,404.75	
		Acquisitions	75,000.00	-74,416.50	
SBLSP7X5	LYB INTL FIN B V 5.25% DUE 07-15-2043	Dispositions	-75,000.00		78,714.67
		Acquisitions	35,000.00	-36,341.90	
SBBM2HB8	MAPLE ESCR 5.085% DUE 05-25-2048	Dispositions	-35,000.00		38,490.11
		Dispositions	-85,000.00		81,546.60
SBD41T57	MARATHON OIL CORP 4.4% DUE 07-15-2027	Free Receipt	200,000.00	-200,000.00	
		Dispositions	-45,000.00		47,546.23
SBYZSGJ7	MARATHON OIL CORP 5.2% DUE 06-01-2045	Free Receipt	45,000.00	-45,308.47	
		Acquisitions	160,000.00	-157,284.80	
SB27ZDL3	MARATHON OIL CORP 6.6% DUE 10-01-2037	Dispositions	-500,000.00		540,858.68
		Free Receipt	340,000.00	-344,832.82	
SBBMT8K7	MARATHON OIL CORP 6.8% DUE 03-15-2032	Dispositions	-60,000.00		72,609.82
		Free Receipt	60,000.00	-73,154.60	
		Dispositions	-75,000.00		91,056.75
		Free Receipt	75,000.00	-89,141.14	

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SBYVDJ53	MARSH & MCLENNAN COS INC SR NT 3.5 DUE 06-03-2024	Dispositions	-100,000.00		103,766.80
		Free Receipt	100,000.00	-102,127.00	
SBJ2NYK7	MARSH & MCLENNAN 4.375% DUE 03-15-2029	Acquisitions	300,000.00	-299,895.00	
		Dispositions	-300,000.00		333,910.14
SB56YM37	MASSACHUSETTS ST TRANSN FD REV 5.731% 06-01-2040 BEO TAXABLE	Dispositions	-325,000.00		423,037.68
		Free Receipt	325,000.00	-403,227.50	
SBY3R500	MCDONALDS CORP FIXED 4.6% DUE 05-26-2045	Dispositions	-260,000.00		282,137.51
		Free Receipt	260,000.00	-295,376.90	
SBYMLD78	MEDTRONIC INC 4.375% DUE 03-15-2035	Dispositions	-291,000.00		331,483.96
		Free Receipt	291,000.00	-290,558.62	
SBK0NS71	MEDTRONIC INC 4.625 DUE 03-15-2044	Dispositions	-600,000.00		671,508.00
		Free Receipt	600,000.00	-637,218.00	
SBYMLDL0	MEDTRONIC INC 4.625% DUE 03-15-2045	Acquisitions	55,000.00	-61,645.65	
		Dispositions	-350,000.00		400,072.01
		Free Receipt	295,000.00	-299,323.96	
SBVG7BV9	MERCK & CO INC NEW 3.7% DUE 02-10-2045	Dispositions	-105,000.00		109,436.29
		Free Receipt	105,000.00	-102,811.25	
SB736C41	MERCK & CO INC 3.6 DUE 09-15-2042	Dispositions	-70,000.00		71,387.68
		Free Receipt	70,000.00	-63,576.30	
SBW9JZD9	METLIFE INC 4.05% DUE 03-01-2045	Dispositions	-360,000.00		377,059.48
		Free Receipt	360,000.00	-358,815.15	
SB1L8RP3	METLIFE INC 6.4% DUE 12-15-2066	Dispositions	-300,000.00		339,480.00
		Free Receipt	300,000.00	-309,000.00	
SB4QSHD7	METROPOLITAN TRANSN AUTH N Y DEDICATED TAX FD 6.089% 11-15-2040 BEO TAXABLE	Dispositions	-100,000.00		134,763.64
		Free Receipt	100,000.00	-100,000.00	
C59318PAC1	MGM RESORTS INTERNATIONAL TERM LOAN DUE 12-21-2023				

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		Acquisitions	100,000.00	-99,375.00	
		Dispositions	-100,000.00		99,250.00
SBG0QLR3	MGM RESORTS INTL FIXED 5.75% DUE 06-15-2025	Dispositions	-100,000.00		102,125.00
		Free Receipt	100,000.00	-100,000.00	
SBKBQ1G9	MICROCHIP TECH INC FIXED 3.922% DUE 06-01-2021	Dispositions	-500,000.00		508,066.75
		Free Receipt	500,000.00	500,000.00	
C594918BS2	MICROSOFT CORP 3.45% DUE 08-08-2036	Dispositions	-320,000.00		336,716.68
		Free Receipt	320,000.00	-318,469.80	
C594918BC7	MICROSOFT CORP 3.5% DUE 02-12-2035	Dispositions	-330,000.00		351,295.16
		Free Receipt	330,000.00	-325,529.95	
C594918BT0	MICROSOFT CORP 3.7% DUE 08-08-2046	Dispositions	-465,000.00		498,168.41
		Free Receipt	465,000.00	-467,038.05	
C594918BD5	MICROSOFT CORP 3.75% DUE 02-12-2045	Dispositions	-70,000.00		75,235.25
		Free Receipt	70,000.00	-73,052.81	
C594918BU7	MICROSOFT CORP 3.95% DUE 08-08-2056	Dispositions	-280,000.00		307,656.56
		Free Receipt	280,000.00	-273,014.00	
SBYP2P70	MICROSOFT CORP 4.1% DUE 02-06-2037	Dispositions	-570,000.00		648,423.60
		Free Receipt	570,000.00	-583,649.83	
C594918AJ3	MICROSOFT CORP 4.5 DUE 10-01-2040	Dispositions	-115,000.00		136,209.84
		Free Receipt	115,000.00	-119,791.35	
C594918BE3	MICROSOFT CORP 4% DUE 02-12-2055	Dispositions	-165,000.00		183,058.38
		Free Receipt	165,000.00	-158,487.50	
SB1FS7D7	MIDAMERICAN ENERGY CO MEDIUM TERM NTS BOOK ENTRY MTN 5.8% DUE 10-15-2036	Dispositions	-30,000.00		38,283.25
		Free Receipt	30,000.00	-36,989.60	
SBJ1STS6	MIDAMERICAN ENERGY CO 4.25% 07-15-2049	Acquisitions	260,000.00	-258,414.00	
		Dispositions	-260,000.00		291,857.17

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SBJLV5R6	03-07-2039 MITSUBISHI UFJ FIXED 4.153% DUE	Acquisitions	135,000.00	-135,000.00	
		Dispositions	-135,000.00		147,464.33
SBYT4Q68	MOLSON COORS 3% DUE 07-15-2026	Dispositions	-195,000.00		180,591.45
		Free Receipt	195,000.00	-194,697.75	
C615369AQ8	12-17-2048 BEO MOODY'S CORPORATION 4.875%	Dispositions	-100,000.00		114,572.43
		Free Receipt	100,000.00	-98,328.00	
SBYV6G28	MORGAN STANLEY 3.125% DUE 07-27-2026	Dispositions	-80,000.00		77,826.90
		Free Receipt	80,000.00	-79,456.00	
SBYM4WS9	MORGAN STANLEY 3.625% DUE 01-20-2027	Dispositions	-5,000.00		5,048.85
		Free Receipt	5,000.00	-4,936.45	
C61744YAQ1	MORGAN STANLEY 3.737% DUE 04-24-2024	Dispositions	-300,000.00		313,576.35
		Free Receipt	300,000.00	-300,000.00	
SBZ9P947	MORGAN STANLEY 3.971% 07-22-2038	Dispositions	-195,000.00		202,830.57
		Free Receipt	195,000.00	-195,000.00	
SBVRZ811	MORGAN STANLEY 4.3% DUE 01-27-2045	Dispositions	-110,000.00		119,045.49
		Free Receipt	110,000.00	-108,781.73	
SBQSC344	MORGAN STANLEY 4.35 DUE 09-08-2026	Dispositions	-100,000.00		108,221.00
		Free Receipt	100,000.00	-102,395.72	
C6174468G7	01-23-2030 MORGAN STANLEY 4.431%	Acquisitions	180,000.00	-180,000.00	
		Dispositions	-180,000.00		181,657.10
SBYTCKF9	MORGAN STANLEY 4% DUE 07-23-2025	Dispositions	-120,000.00		124,616.40
		Free Receipt	120,000.00	-121,122.04	
SBBPV6G2	MORGAN STANLEY 5.5% DUE 07-24-2020	Dispositions	-400,000.00		422,384.09
		Free Receipt	400,000.00	-408,436.00	
SB98G6S2	MORGAN STANLEY 6.375% DUE 07-24-2042	Dispositions	-160,000.00		221,279.81

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SBYXT874	MPLX LP FIXED 5.2% DUE 03-01-2047	Free Receipt	160,000.00	-203,067.87	
		Dispositions	-110,000.00		115,848.87
SBYZGJX6	MPLX LP 4.5% 04-15-2038	Free Receipt	110,000.00	-109,675.01	
		Dispositions	-235,000.00		231,299.07
SBYZGJW5	MPLX LP 4% 03-15-2028	Free Receipt	235,000.00	-231,533.12	
		Dispositions	-200,000.00		208,181.63
SBF6M0J4	MPLX LP 5.5% DUE 02-15-2049	Free Receipt	200,000.00	-199,102.00	
		Dispositions	-220,000.00		240,278.75
SBFXQN99	NATIONAL RETAIL PPTYS INC 3.5% 10-15-2027	Free Receipt	220,000.00	-214,018.55	
		Dispositions	-300,000.00		306,372.52
SBWKNGT3	NAVIENT CORP 5.875% DUE 03-25-2021	Free Receipt	300,000.00	-298,779.00	
		Dispositions	-100,000.00		105,452.43
SB7W15J3	NBCUNIVERSAL MEDIA 4.45% DUE 01-15-2043	Free Receipt	100,000.00	-99,379.00	
		Dispositions	-55,000.00		60,667.41
SBG0QJT1	NESTLE HLDGS INC 4% DUE 09-24-2048	Free Receipt	55,000.00	-56,204.50	
		Dispositions	-135,000.00		147,368.29
SB3YD6H4	NEW JERSEY ST TPK AUTH TPK REV 7.414% 01-01-2040 BEO TAXABLE	Free Receipt	135,000.00	-133,186.95	
		Dispositions	-190,000.00		292,958.94
SB4XB7Y0	NEW YORK ST DORM AUTH ST PERS INCOME TAXREV 5.289% 03-15-2033 BEO TAXABLE	Free Receipt	190,000.00	-272,748.80	
		Dispositions	-400,000.00		486,433.90
SB40VJC5	NEW YORK ST URBAN DEV CORP REV 5.77% 03-15-2039 BEO TAXABLE	Free Receipt	400,000.00	-400,000.00	
		Dispositions	-400,000.00		492,223.00
SB06N1P4	NEWMONT GOLDCORP 5.875% DUE 04-01-2035	Free Receipt	400,000.00	-400,000.00	
		Dispositions	-255,000.00		303,753.30
SB7J8X60	NEWMONT MNG CORP 4.875 DUE 03-15-2042 REG	Free Receipt	255,000.00	-246,261.06	

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		Dispositions	-100,000.00		110,808.32
		Free Receipt	100,000.00	-75,462.00	
SBG490N7	NEWMONT MNG CORP 6.25% DUE 10-01-2039				
		Dispositions	-205,000.00		254,418.61
		Free Receipt	205,000.00	-208,226.37	
SBD20RG3	NISOURCE FIN CORP 4.375% 05-15-2047				
		Dispositions	-250,000.00		258,691.91
		Free Receipt	250,000.00	-249,795.00	
SBD0NMR0	NOBLE ENERGY INC 4.95% DUE 08-15-2047				
		Dispositions	-235,000.00		238,459.93
		Free Receipt	235,000.00	-240,444.44	
SBSJV4K7	NOBLE ENERGY INC 5.05% DUE 11-15-2044				
		Acquisitions	35,000.00	-32,940.95	
		Dispositions	-240,000.00		245,876.06
		Free Receipt	205,000.00	-193,799.77	
SBLSP519	NOBLE ENERGY INC 5.25% DUE 11-15-2043				
		Dispositions	-35,000.00		36,662.50
		Free Receipt	35,000.00	-37,064.30	
SBGDLQK3	NORFOLK SOUTHN FIXED 3.942% DUE 11-01-2047				
		Dispositions	-55,000.00		56,321.15
		Free Receipt	55,000.00	-55,861.75	
SBGDLQC5	NORFOLK SOUTHN FIXED 4.05% DUE 08-15-2052				
		Dispositions	-398,000.00		409,961.19
		Free Receipt	398,000.00	-405,828.65	
SBYV2RZ6	NORFOLK SOUTHN 4.65% DUE 01-15-2046				
		Dispositions	-45,000.00		51,212.23
		Free Receipt	45,000.00	-44,319.54	
SBD5H1Q9	NORFOLK SOUTHN 5.1% DUE 08-01-2118				
		Dispositions	-260,000.00		289,536.95
		Free Receipt	260,000.00	-259,495.60	
SB8KCXG8	NORTHN STS PWR CO 3.4 DUE 08-15-2042				
		Dispositions	-155,000.00		152,759.29
		Free Receipt	155,000.00	-136,574.63	
SBF15KD1	NORTHROP GRUMMAN 4.03% DUE 10-15-2047				
		Dispositions	-835,000.00		863,421.93
		Free Receipt	835,000.00	-833,458.06	
SBB9ZSW0	NORTHROP GRUMMAN 4.75% DUE 06-01-2043				
		Dispositions	-425,000.00		478,614.57

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SBF52DS4	NORTHWELL HEALTHCARE INC 4.26% 11-01-2047	Free Receipt	425,000.00	-446,190.69	
		Dispositions	-70,000.00		74,362.53
SBK1PN26	NOVARTIS CAP CORP 4.4% DUE 05-06-2044	Free Receipt	70,000.00	-70,000.00	
		Dispositions	-375,000.00		433,281.11
SBJH0CT8	NUTRIEN LTD 5.0% 04-01-2049	Free Receipt	375,000.00	-391,031.29	
		Acquisitions	80,000.00	-84,049.30	
SB1Z7WW8	NV PWR CO NV PWR CO 6.75 DUE 07-01-2037 BEO	Dispositions	-80,000.00		83,263.20
		Dispositions	-15,000.00		20,897.22
SB68QYC1	NV PWR CO 5.45 DUE 05-15-2041	Free Receipt	15,000.00	-20,042.85	
		Dispositions	-70,000.00		83,456.81
SB3NRLF0	NY NY CTY MUN WTR FIN AUTH WTR & SWR REVBLD AMER BDS 5.724 6-15-2042 REG TXBLE	Free Receipt	70,000.00	-82,354.60	
		Dispositions	-350,000.00		476,007.00
SB5M1JL9	OGLETHORPE PWR 5.375% DUE 11-01-2040	Free Receipt	350,000.00	-430,304.00	
		Dispositions	-425,000.00		498,562.07
SBD606N9	OLD REP INTL CORP 3.875% DUE 08-26-2026	Free Receipt	425,000.00	-478,500.25	
		Dispositions	-100,000.00		103,691.70
SBGJWTV2	ONCOR ELEC FIXED 3.8% DUE 09-30-2047	Free Receipt	100,000.00	-98,232.00	
		Dispositions	-810,000.00		843,800.64
SBDDR194	ONEOK INC NEW 5.2% DUE 07-15-2048	Free Receipt	810,000.00	-808,841.70	
		Acquisitions	300,000.00	-297,258.00	
SB2830T3	ONEOK PARTNERS L P 6.85% DUE 10-15-2037	Dispositions	-300,000.00		335,822.31
		Dispositions	-100,000.00		121,113.76
SBF4ZFF5	ORACLE CORP 3.8% 11-15-2037	Free Receipt	100,000.00	-122,277.00	
		Dispositions	-150,000.00		155,875.16
SBWTM334	ORACLE CORP 4.125% DUE 05-15-2045	Free Receipt	150,000.00	-149,436.00	

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		Dispositions	-305,000.00		322,747.81
		Free Receipt	305,000.00	-299,370.15	
SBP4W534	ORACLE CORP 4.3% DUE 07-08-2034	Dispositions	-455,000.00		513,567.16
		Free Receipt	455,000.00	-456,343.50	
SBY7RD02	ORACLE CORP 4% DUE 07-15-2046	Acquisitions	40,000.00	-40,693.25	
		Dispositions	-65,000.00		68,214.44
		Free Receipt	25,000.00	-24,689.75	
SB0390R1	ORACLE CORP 4% 11-15-2047	Dispositions	-235,000.00		237,972.28
		Free Receipt	235,000.00	-233,897.85	
SB3LR141	ORACLE CORP 6.125% DUE 07-08-2039	Dispositions	-45,000.00		57,652.20
		Free Receipt	45,000.00	-58,443.75	
SB92PK27	ORANGE 5.375% DUE 01-13-2042	Dispositions	-165,000.00		178,618.20
		Free Receipt	165,000.00	-176,684.73	
S9A32GLL	OTC DERIVATIVE CASH COLL PAY TO CITIBANK	Acquisitions	260,000.00	-260,000.00	
		Free Delivery	-260,000.00		
S9A32HGU	OTC DERIVATIVE CASH COLL RECEIVABLE FROMHBC	Dispositions	-20,000.00		20,000.00
		Free Receipt	20,000.00	-20,000.00	
S9A32HLU	OTC DERIVATIVE CASH COLL RECEIVABLE FROMRBC	Dispositions	-20,000.00		20,000.00
		Free Receipt	20,000.00	-20,000.00	
S9A32HHL	OTC DERIVATIVE CASH COLLATERAL PAYABLE TO JP MORGAN CHASE BANK NA	Acquisitions	160,000.00	-160,000.00	
		Dispositions	-60,000.00		60,000.00
		Free Delivery	-100,000.00		
S9CXRZAL	OTC DERIVATIVE CASH COLLATERAL PAYABLE TO NOMURA GLOBAL , 31 DEC 2050 CGNGFIUS2	Acquisitions	10,000.00	-10,000.00	
		Free Delivery	-10,000.00		
SB3KTPK2	PACIFICORP 6% DUE 01-15-2039	Dispositions	-410,000.00		542,834.34
		Free Receipt	410,000.00	-514,147.50	
CC8000CAB9	PANTHER BF AGGREGATOR 2 LP TERM LOAN 04-30-2026 BEO				

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		Acquisitions	100,000.00	-99,000.00	
		Dispositions	-100,000.00		99,625.00
SBD3VCR1	PEPSICO INC 3.45% DUE 10-08-2046	Dispositions	-120,000.00		121,490.99
		Free Receipt	120,000.00	-118,416.51	
SB7Y6VD2	PEPSICO INC 3.6% DUE 08-13-2042	Dispositions	-260,000.00		254,356.50
		Free Receipt	260,000.00	-234,103.48	
SBGSDJH2	PETROBRAS GLOBAL FINANCE 5.999% 01-27-2025	Dispositions	-817,000.00		885,951.46
		Free Receipt	817,000.00	-815,063.71	
SBGSDJG1	PETROBRAS GLOBAL FINANCE 5.299% 01-27-2025	Dispositions	-100,000.00		108,163.76
		Free Receipt	100,000.00	-100,000.00	
SBYNCHF4	PETROBRAS GLOBAL 6.125% DUE 01-17-2022	Dispositions	-102,000.00		111,966.60
		Free Receipt	102,000.00	-102,000.00	
SBKX5BP3	PETROBRAS GLOBAL 7.25% DUE 03-17-2044	Dispositions	-25,000.00		28,280.37
		Free Receipt	25,000.00	-23,244.00	
SBFY0KF5	PFIZER INC 4.2% 09-15-2048	Dispositions	-200,000.00		224,996.52
		Free Receipt	200,000.00	-199,524.00	
SBMMB3K5	PFIZER INC 4.4% DUE 05-15-2044	Dispositions	-200,000.00		225,678.28
		Free Receipt	200,000.00	-206,226.00	
SBJ1G678	PFIZER INC 4% DUE 03-15-2049	Acquisitions	360,000.00	-354,121.20	
		Dispositions	-360,000.00		387,605.05
SBZ3FFJ0	PFIZER INC 4% DUE 12-15-2036	Dispositions	-190,000.00		203,167.78
		Free Receipt	190,000.00	-189,086.10	
SB90G0K0	PHILIP MORRIS INTERNATIONAL INC 3.875 BDS DUE 08-21-2042 USD1000	Dispositions	-555,000.00		529,337.56
		Free Receipt	555,000.00	-512,196.60	
SBGM0MQ9	PHILIP MORRIS INTL INC 4.875 DUE 11-15-2043	Dispositions	-220,000.00		246,155.19
		Free Receipt	220,000.00	-235,495.70	

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SB83KB65	PHILIP MORRIS INTL 4.125% DUE 03-04-2043	Dispositions	-140,000.00		139,857.79
		Free Receipt	140,000.00	-134,425.81	
SBSMTK33	PHILIP MORRIS INTL 4.25% DUE 11-10-2044	Dispositions	-450,000.00		464,833.29
		Free Receipt	450,000.00	-437,719.50	
SBSPCB09	PHILLIPS 66 4.65% DUE 11-15-2034	Dispositions	-100,000.00		109,853.48
		Free Receipt	100,000.00	-97,193.00	
SBSPCC33	PHILLIPS 66 4.875% DUE 11-15-2044	Dispositions	-135,000.00		146,277.10
		Free Receipt	135,000.00	-129,472.85	
S2141082	PIONEER NAT RES CO 7.2% DUE 01-15-2028	Dispositions	-100,000.00		129,095.54
		Free Receipt	100,000.00	-125,500.00	
SB019B35	PLACER DOME INC 6.45% DUE 10-15-2035	Dispositions	-60,000.00		73,101.58
		Free Receipt	60,000.00	-63,940.20	
SB6Y16K2	PLAINS ALL AMERN PIPELINE L P / PAA FIN 5.15 DUE 06-01-2042 REG	Dispositions	-300,000.00		302,799.99
		Free Receipt	300,000.00	-272,070.00	
SB92Y2L7	PLAINS ALL AMERN 4.3% DUE 01-31-2043	Dispositions	-100,000.00		91,009.05
		Free Receipt	100,000.00	-66,309.19	
SB8FPO52	PORT AUTH N Y & N J 4.458% 10-01-2062 BEO TAXABLE	Dispositions	-285,000.00		340,785.38
		Free Receipt	285,000.00	-295,513.65	
SB95XX07	POTOMAC ELEC PWR 4.15% DUE 03-15-2043	Dispositions	-155,000.00		165,643.69
		Free Receipt	155,000.00	-154,486.65	
SB3D2YJ0	POTOMAC ELEC PWR 6.5% DUE 11-15-2037	Dispositions	-55,000.00		74,767.90
		Free Receipt	55,000.00	-74,107.65	
C74432OCE3	PRUDENTIAL FINL FIXED 4.35% DUE 02-25-2050	Acquisitions	245,000.00	-244,050.10	
		Dispositions	-245,000.00		265,372.57
SBFZCSL2	PRUDENTIAL FINL INC 3.935% DUE 12-07-2049	Acquisitions	35,000.00	-34,254.85	

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		Dispositions	-85,000.00		86,629.16
		Free Receipt	50,000.00	-46,072.50	
SBG0KDC4	PRUDENTIAL FINL 3.905% DUE 12-07-2047				
		Dispositions	-266,000.00		271,502.69
		Free Receipt	266,000.00	-273,307.02	
SB8NVT19	PUBLIC SVC CO COLO 3.95% DUE 03-15-2043				
		Dispositions	-410,000.00		418,213.40
		Free Receipt	410,000.00	-402,321.80	
SB23YNB4	PUBLIC SVC CO COLO 6.25% DUE 09-01-2037				
		Dispositions	-140,000.00		189,803.92
		Free Receipt	140,000.00	-184,179.20	
C999999OA8	PUT JUL 19 CBT 5Y T-NOTE 115.25				
		Acquisitions	4.00	-0.40	
		Dispositions	-4.00		556.12
S9J7699U	PUT SWO USD BNPAFRPP P 2.45% / R 3MLIBOR European 317U451S5 05/02/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		480.00
S9J66E9U	PUT SWO USD BNPAFRPP P 2.6% / R 3MLIBOR European 317U288S4 04/12/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		225.00
S9J62X5U	PUT SWO USD BNPAFRPP P 2.61% / R 3MLIBOR European 317U266S0 04/09/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		300.00
S9J4VNEU	PUT SWO USD BNPAFRPP P 2.71% / R 3MLIBOR European 317U038S7 03/05/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		370.00
S9J4KN9U	PUT SWO USD BNPAFRPP P 2.78% / R 3MLIBOR European 317U978R1 02/26/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		480.00
S9J52YVU	PUT SWO USD BOFAUS6S P 2.64% / R 3MLIBOR European 317U064S4 03/08/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		430.00
S9J54EDU	PUT SWO USD BOFAUS6S P 2.661% / R 3MLIBOR European 317U067S1 03/11/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		392.00
S9J3CVJU	PUT SWO USD BOFAUS6S P 2.94% / R 3MLIBOR European 317U795R2 01/17/2019				
		Acquisitions	500,000.00	0.00	
		Free Delivery	-500,000.00		

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S9J61BTU	PUT SWO USD CHASUS33 P 2.635% / R 3MLIBOR European 317U261S5 04/08/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		364.00
S9J6E44U	PUT SWO USD CHASUS33 P 2.675% / R 3MLIBOR European 317U156S3 03/21/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		420.00
S9J8NWGU	PUT SWO USD CITIUS33 P 2.33% / R 3MLIBOR European 317U746S0 06/18/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		405.00
S9J7AP8U	PUT SWO USD CITIUS33 P 2.46% / R 3MLIBOR European 317U469S5 05/07/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		435.00
S9J7KM6U	PUT SWO USD CITIUS33 P 2.52% / R 3MLIBOR European 317U519S5 05/15/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		300.00
S9J8B41U	PUT SWO USD CSFPGB2L P 2.41% / R 3MLIBOR European 317U662S0 06/07/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		375.00
S9J7DSL	PUT SWO USD CSFPGB2L P 2.45% / R 3MLIBOR European 317U478S4 05/09/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		400.00
S9J7GRZU	PUT SWO USD CSFPGB2L P 2.45% / R 3MLIBOR European 317U502S4 05/13/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		285.00
S9J7VJ4U	PUT SWO USD CSFPGB2L P 2.46% / R 3MLIBOR European 317U578S3 05/24/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		360.00
S9J79STU	PUT SWO USD CSFPGB2L P 2.47% / R 3MLIBOR European 317U467S7 05/06/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		440.00
S9J7CDJU	PUT SWO USD CSFPGB2L P 2.48% / R 3MLIBOR European 317U471S1 05/08/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		400.00
S9J88NGU	PUT SWO USD CSFPGB2L P 2.48% / R 3MLIBOR European 317U651S3 06/04/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		300.00
S9J87QRU	PUT SWO USD CSFPGB2L P 2.51% / R 3MLIBOR European 317U642S5 06/03/2019	Acquisitions	300,000.00	0.00	

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S9J3CUUU	PUT SWO USD CSFPGB2L P 2.78% / R 3MLIBOR European 317U857R7 01/28/2019	Dispositions	-300,000.00		300.00
		Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3CUZU	PUT SWO USD CSFPGB2L P 2.99% / R 3MLIBOR European 317U756R9 01/07/2019	Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3CVNU	PUT SWO USD DEUTGB2L P 3.23% / R 3MLIBOR European 317U129L2 02/19/2019	Dispositions	-800,000.00		0.00
		Free Receipt	800,000.00	-37,866.67	
S9J8LP1U	PUT SWO USD GSCMUS33 P 2.3% / R 3MLIBOR European 317U737S1 06/17/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		360.00
S9J8QFLU	PUT SWO USD GSCMUS33 P 2.31% / R 3MLIBOR European 317U752S1 06/19/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		582.00
S9J8RB0U	PUT SWO USD GSCMUS33 P 2.33% / R 3MLIBOR European 317U756S7 06/20/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		544.00
S9J8KGPU	PUT SWO USD GSCMUS33 P 2.34% / R 3MLIBOR European 317U724S6 06/14/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		412.50
S9J8TDKU	PUT SWO USD GSCMUS33 P 2.35% / R 3MLIBOR European 317U764S7 06/21/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		532.00
S9J7E83U	PUT SWO USD GSCMUS33 P 2.42% / R 3MLIBOR European 317U493S5 05/10/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		420.00
S9J85SYU	PUT SWO USD GSCMUS33 P 2.42% / R 3MLIBOR European 317U623S8 06/03/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		330.00
S9J84MLU	PUT SWO USD GSCMUS33 P 2.43% / R 3MLIBOR European 317U615S8 05/31/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		346.50
S9J8DHSU	PUT SWO USD GSCMUS33 P 2.43% / R 3MLIBOR European 317U678S2 06/10/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		405.00
S9J82ABU	PUT SWO USD GSCMUS33 P 2.44% / R 3MLIBOR European 317U596S1 05/28/2019				

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		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		318.75
S9J7XTLU	PUT SWO USD GSCMUS33 P 2.47% / R 3MLIBOR European 317U591S6 05/28/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		336.00
S9J6CG9U	PUT SWO USD GSCMUS33 P 2.58% / R 3MLIBOR European 317U323S1 04/15/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		292.50
S9J6BXSU	PUT SWO USD GSCMUS33 P 2.59% / R 3MLIBOR European 317U310S6 04/15/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		300.00
S9J5GNVU	PUT SWO USD GSCMUS33 P 2.63% / R 3MLIBOR European 317U170S5 03/22/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		371.00
S9J5HR4U	PUT SWO USD GSCMUS33 P 2.65% / R 3MLIBOR European 317U177S8 03/25/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		300.00
S9J5NQKU	PUT SWO USD GSCMUS33 P 2.68% / R 3MLIBOR European 317U220S5 03/29/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		360.00
S9J5UKVU	PUT SWO USD GSCMUS33 P 2.72% / R 3MLIBOR European 317U231S2 04/05/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		420.00
S9J3V74U	PUT SWO USD GSCMUS33 P 2.745% / R 3MLIBOR European 317U917R5 02/14/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		500.00
S9J46XUU	PUT SWO USD GSCMUS33 P 2.76% / R 3MLIBOR European 317U945R1 02/19/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		440.00
S9J3CVHU	PUT SWO USD GSCMUS33 P 2.89% / R 3MLIBOR European 317U804R1 01/18/2019				
		Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3CVMU	PUT SWO USD GSCMUS33 P 2.96% / R 3MLIBOR European 317U763R0 01/11/2019				
		Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3CVWU	PUT SWO USD GSCMUS33 P 3.1% / R 3MLIBOR European 317U723R9 01/03/2019				
		Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		

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S9J8GACU	PUT SWO USD MSCSUS33 P 2.39% / R 3MLIBOR European 317U701S3 06/10/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		480.00
S9J74NJU	PUT SWO USD MSCSUS33 P 2.41% / R 3MLIBOR European 317U432S9 04/30/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		424.50
S9J6QRCU	PUT SWO USD MSCSUS33 P 2.42% / R 3MLIBOR European 317U366S9 04/24/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		474.00
S9J75D8U	PUT SWO USD MSCSUS33 P 2.47% / R 3MLIBOR European 317U437S4 05/01/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		480.00
S9J79C2U	PUT SWO USD MSCSUS33 P 2.48% / R 3MLIBOR European 317U460S4 05/03/2019	Acquisitions	500,000.00	0.00	
		Dispositions	-500,000.00		625.00
S9J7QK0U	PUT SWO USD MSCSUS33 P 2.52% / R 3MLIBOR European 317U562S1 05/20/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		430.00
S9J7PTSU	PUT SWO USD MSCSUS33 P 2.54% / R 3MLIBOR European 317U549S9 05/17/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		420.00
S9J7MT7U	PUT SWO USD MSCSUS33 P 2.55% / R 3MLIBOR European 317U526S6 05/16/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		400.00
S9J6AQ4U	PUT SWO USD MSCSUS33 P 2.6% / R 3MLIBOR European 317U318S8 04/15/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		372.00
S9J5KQYU	PUT SWO USD MSCSUS33 P 2.61% / R 3MLIBOR European 317U192S9 03/26/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		296.25
S9J5L18U	PUT SWO USD MSCSUS33 P 2.64% / R 3MLIBOR European 317U209S0 03/27/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		390.00
S9J5CADU	PUT SWO USD MSCSUS33 P 2.65% / R 3MLIBOR European 317U134S0 03/19/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		372.00
S9J51EGU	PUT SWO USD MSCSUS33 P 2.66% / R 3MLIBOR European 317U059S1 03/07/2019	Acquisitions	500,000.00	0.00	

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S9J59ULU	PUT SWO USD MSCSUS33 P 2.68% / R 3MLIBOR European 317U124S2 03/15/2019	Dispositions	-500,000.00		512.50
		Acquisitions	400,000.00	0.00	
S9J4SQTU	PUT SWO USD MSCSUS33 P 2.71% / R 3MLIBOR European 317U023S4 03/04/2019	Dispositions	-400,000.00		366.00
		Acquisitions	400,000.00	0.00	
S9J5SUCU	PUT SWO USD MSCSUS33 P 2.71% / R 3MLIBOR European 317U225S0 04/04/2019	Dispositions	-400,000.00		400.00
		Acquisitions	400,000.00	0.00	
S9J4TD1U	PUT SWO USD MSCSUS33 P 2.73% / R 3MLIBOR European 317U024S3 03/04/2019	Dispositions	-400,000.00		430.00
		Acquisitions	300,000.00	0.00	
S9J3LS8U	PUT SWO USD MSCSUS33 P 2.75% / R 3MLIBOR European 317U871R9 02/08/2019	Dispositions	-300,000.00		300.00
		Acquisitions	300,000.00	0.00	
S9J4N39U	PUT SWO USD MSCSUS33 P 2.76% / R 3MLIBOR European 317U000S1 03/01/2019	Dispositions	-300,000.00		420.00
		Acquisitions	500,000.00	0.00	
S9J3CVPU	PUT SWO USD MSCSUS33 P 3.07% / R 3MLIBOR European 317U738R2 01/04/2019	Dispositions	-500,000.00		505.00
		Acquisitions	500,000.00	0.00	
S9J6MQCU	PUT SWO USD NGFPUS33 P 2.5% / R 3MLIBOR European 317U360S5 04/24/2019	Free Delivery	-500,000.00		
		Acquisitions	400,000.00	0.00	
S9J7JENU	PUT SWO USD NGFPUS33 P 2.51% / R 3MLIBOR European 317U513S1 05/13/2019	Dispositions	-400,000.00		424.00
		Acquisitions	300,000.00	0.00	
S9J7ULQU	PUT SWO USD NGFPUS33 P 2.51% / R 3MLIBOR European 317U571S0 05/23/2019	Dispositions	-300,000.00		315.00
		Acquisitions	400,000.00	0.00	
S9J7TCYU	PUT SWO USD NGFPUS33 P 2.53% / R 3MLIBOR European 317U564S9 05/22/2019	Dispositions	-400,000.00		440.00
		Acquisitions	400,000.00	0.00	
S9J7272U	PUT SWO USD PNBUS33CHA P 2.365% / R 3MLIBOR European 317U422S1 04/29/2019	Dispositions	-400,000.00		430.00
		Acquisitions	300,000.00	0.00	
S9J8ANRU	PUT SWO USD PNBUS33CHA P 2.44% / R 3MLIBOR European 317U654S0 06/06/2019	Dispositions	-300,000.00		411.00

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		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		303.00
S9J83P5U	PUT SWO USD PNBPU33CHA P 2.46% / R 3MLIBOR European 317U608S7 05/29/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		304.50
S9J6J24U	PUT SWO USD PNBPU33CHA P 2.51% / R 3MLIBOR European 317U351S6 04/23/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		271.50
S9J6EG7U	PUT SWO USD PNBPU33CHA P 2.58% / R 3MLIBOR European 317U330S2 04/18/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		400.00
S9J84N0U	PUT SWO USD PNBPU33CHA P 2.625% / R 3MLIBOR European 317U275S9 04/11/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		300.00
S9J58DBU	PUT SWO USD PNBPU33CHA P 2.66% / R 3MLIBOR European 317U117S1 03/14/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		415.00
S9J4FJVU	PUT SWO USD PNBPU33CHA P 2.76% / R 3MLIBOR European 317U968R3 02/25/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		480.00
S9J6HJLU	PUT SWO USD RBOSGB2RTCM P 2.59% / R 3MLIBOR European 317U336S6 04/23/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		416.00
S9J5V78U	PUT SWO USD UBSWUS33 P 2.675% / R 3MLIBOR European 317U248S3 04/08/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		370.00
S9J5QZ0U	PUT SWO USD UBSWUS33 P 2.69% / R 3MLIBOR European 317U222S3 04/01/2019	Acquisitions	500,000.00	0.00	
		Dispositions	-500,000.00		506.25
S9J56P7U	PUT SWO USD UBSWUS33 P 2.71% / R 3MLIBOR European 317U109S1 03/13/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		400.00
S9J4DZQU	PUT SWO USD UBSWUS33 P 2.81% / R 3MLIBOR European 317U958R5 02/25/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		490.00
SBF15KL9	PVPTL FARMERS INS EXCHANGE 4.747% DUE 11-01-2057 BEO	Dispositions	-300,000.00		290,519.59
		Free Receipt	300,000.00	-300,000.00	

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SBZ0X393	PVPTL HALFMOON PARENT INC 4.9% 12-15-2048	Acquisitions	190,000.00	-192,152.90	
		Dispositions	-465,000.00		490,247.17
		Free Receipt	275,000.00	-272,903.20	
C09659W2G8	PVT PL BNP PARIBAS SR NON PFD 4.705% 01-10-2025	Acquisitions	300,000.00	-300,000.00	
		Dispositions	-300,000.00		327,273.03
SBHxD2H5	PVTPL FOX CORP 5.476% 01-25-2039	Acquisitions	95,000.00	-95,000.00	
		Dispositions	-95,000.00		111,444.22
SBD1R3F6	PVTPL ANHEUSER-BUSCH COS LLC/ANHEU 3.65% DUE 02-01-2026	Dispositions	-190,000.00		184,286.70
		Free Receipt	190,000.00	-180,127.60	
SBD30T55	PVTPL ASSD MATLS LLC/AMH NEW FIN INC SR SECD NT 9% DUE 01-01-2024	Dispositions	-300,000.00		299,475.00
		Free Receipt	300,000.00	-317,250.00	
C09659W2F0	PVTPL BNP PARIBAS SR NON PFD MED 4.4% 08-14-2028	Dispositions	-200,000.00		217,696.78
		Free Receipt	200,000.00	-192,646.00	
SBGLROH0	PVTPL BPCE SR NON PFD 4.625% 09-12-2028	Dispositions	-300,000.00		333,051.96
		Free Receipt	300,000.00	-298,245.00	
SBK62TP7	PVTPL BRISTOL MYERS SQUIBB CO 4.25% 10-26-2049	Acquisitions	445,000.00	-441,253.10	
		Dispositions	-445,000.00		478,355.25
SBG31GK3	PVTPL CARLYLE FIN L L C SR NT 144A 5.65%DUE 09-15-2048	Dispositions	-300,000.00		322,601.37
		Free Receipt	300,000.00	-299,742.00	
SBD6JMG1	PVTPL CHS/CMNTY HEALTH SYS INC SR SECD NT 8.625% 01-15-2024 BEO	Dispositions	-100,000.00		104,434.38
		Free Receipt	100,000.00	-99,457.00	
SBYXVTG6	PVTPL CMO SFAVE COML SER 2015-5AVE CL A-1 3.872% 01-05-2043	Dispositions	-25,000.00		25,841.80
		Free Receipt	25,000.00	-24,085.94	
SB84GLJ5	PVTPL CORPORACION NACIONAL DEL COBRE DE CHILE NT 4.25 DUE 07-17-2042 BEO	Dispositions	-220,000.00		230,476.79
		Free Receipt	220,000.00	-192,245.17	
C21987BAY4	PVTPL CORPORACION NACIONAL DEL COBRE DE CHILE 4.375% 02-05-2049				

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		Acquisitions	60,000.00	-57,204.00	
		Dispositions	-60,000.00		63,423.67
SB4VLLP1	PVTPL CVS HEALTH CORP PASSTHRU CTF 7.507 DUE 01-10-2032	Dispositions	-319,557.79		382,407.50
		Free Receipt	319,557.79	-379,077.93	
SBGWBD25	PVTPL DAE FDG LLC 5.25% DUE 11-15-2021	Dispositions	-300,000.00		312,596.25
		Free Receipt	300,000.00	-300,000.00	
SBD96MK3	PVTPL DIAMOND 1 FIN CORP/DIAMOND 2 BNDS 144A 8.35% DUE 07-15-2046	Dispositions	-200,000.00		245,009.70
		Free Receipt	200,000.00	-214,421.30	
SBJYLT26	PVTPL DISNEY WALT CO SR NT 6.15% DUE 02-15-2041	Dispositions	-420,000.00		583,856.36
		Free Receipt	300,000.00	-298,455.00	
C268317AK0	PVTPL EDF S A NT 4.875 DUE 01-22-2044	Dispositions	-25,000.00		26,994.75
		Free Receipt	25,000.00	-26,431.75	
C268317AL8	PVTPL EDF S A NT 6 DUE 01-22-2114 BEO	Dispositions	-250,000.00		291,417.50
		Free Receipt	250,000.00	-268,319.25	
SBNM42J3	PVTPL ENDO FIN LLC / ENDO FINCO INC 5.875% DUE 01-15-2023	Dispositions	-100,000.00		84,000.00
		Free Receipt	100,000.00	-84,500.00	
SBHxD2F3	PVTPL FOX CORP 5.576% 01-25-2049	Acquisitions	100,000.00	-100,000.00	
		Dispositions	-100,000.00		120,813.14
SBD35F63	PVTPL GOODMAN US FIN THREE LLC 3.7% DUE 03-15-2028	Dispositions	-100,000.00		100,855.43
		Free Receipt	100,000.00	-99,631.00	
SBZ0X3D7	PVTPL HALFMOON PARENT INC NT 144A 4.8% DUE 08-15-2038/09-17-2018 BEO	Acquisitions	100,000.00	-99,996.00	
		Dispositions	-380,000.00		401,427.77
		Free Receipt	280,000.00	-279,549.20	
SBZ0X348	PVTPL HALFMOON PARENT INC 4.375% 10-15-2028	Dispositions	-170,000.00		176,264.20
		Free Receipt	170,000.00	-169,773.90	
SB3VJFD4	PVTPL HEINZ H J FIN CO GTD NT 144A 7.125% DUE 08-01-2039 BEO	Dispositions	-125,000.00		155,262.62

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SBF4PGP2	PVTPL JELD-WEN INC SR NT 4.875% 12-15-2027	Free Receipt	125,000.00	-135,536.99	
		Dispositions	-100,000.00		96,621.88
SB87FCF2	PVTPL LIBERTY MUT GROUP INC SR NT 6.5 DUE 05-01-2042 BEO	Free Receipt	100,000.00	-86,750.00	
		Dispositions	-335,000.00		434,863.31
SBFM2HD0	PVTPL MAPLE ESCROW SUBSIDIARY INC SR NT 144A 4.985 DUE 05-25-2038/05-25-2018 BEO	Free Receipt	335,000.00	-429,674.95	
		Dispositions	-30,000.00		29,520.00
SBHND6D9	PVTPL MARS INC DEL 3.875% 04-01-2039	Free Receipt	90,000.00	-90,000.00	
		Acquisitions	80,000.00	-79,900.00	
SBHND6F1	PVTPL MARS INC 3.6% DUE 04-01-2034 BEO	Dispositions	-80,000.00		83,508.66
		Acquisitions	80,000.00	-79,705.60	
SBD5JMR3	PVTPL MEIJI YASUDA LIFE 5.1% DUE 04-26-2048	Dispositions	-80,000.00		83,926.27
		Free Receipt	-400,000.00		432,286.67
SBGMTT05	PVTPL MONONGAHELA PWR 5.4 DUE 12-15-2043 BEO	Free Receipt	400,000.00	-400,000.00	
		Dispositions	-355,000.00		438,843.10
SBG0QJS0	PVTPL NESTLE HLDGS INC 3.9% 09-24-2038	Free Receipt	355,000.00	-403,842.03	
		Dispositions	-305,000.00		327,881.06
SBJK3LN1	PVTPL NEWMONT GOLDCORP CORP 3.7% 03-15-2023	Free Receipt	305,000.00	-301,739.55	
		Dispositions	-450,000.00		469,581.71
C70014LAB6	PVTPL PARK AEROSPACE HLDGS LTD 144A 5.5% DUE 02-15-2024 BEO	Free Receipt	450,000.00	447,004.10	
		Dispositions	-300,000.00		327,527.50
C70014LAA8	PVTPL PARK AEROSPACE HLDGS 5.25% 08-15-2022	Free Receipt	300,000.00	-302,750.00	
		Dispositions	-100,000.00		107,081.25
SBDR0DC6	PVTPL PRIME SEC ONE MS INC 1ST PRIORITY SR SECD 4.875 DUE 07-15-2032 BEO	Free Receipt	100,000.00	-99,500.00	
		Dispositions	-200,000.00		174,056.25
SBF3VY24	PVTPL QUICKEN LNS INC 5.25% 01-15-2028	Free Receipt	200,000.00	-150,500.00	

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		Dispositions	-200,000.00		201,387.50
		Free Receipt	200,000.00	-183,750.00	
SBD0RRX3	PVTPL SABAL TRAIL TRANSM 4.832% DUE 05-01-2048				
		Dispositions	-150,000.00		158,762.42
		Free Receipt	150,000.00	-149,827.60	
SBD0RRY4	PVTPL SABAL TRAIL TRANSMISSION LLC 4.682% 05-01-2038				
		Dispositions	-190,000.00		200,399.00
		Free Receipt	190,000.00	-190,164.40	
C80281LAA3	PVTPL SANTANDER UK GROUP HLDGS PLC SUB NT 4.75% DUE 09-15-2025 BEO				
		Dispositions	-300,000.00		313,653.75
		Free Receipt	300,000.00	-299,784.00	
C80414L2F1	PVTPL SAUDI ARABIAN OIL CO FIXED 4.375% 04-18-2049				
		Acquisitions	105,000.00	-103,480.65	
		Dispositions	-105,000.00		104,276.33
SB9MV4B2	PVTPL SAUDI ELECTRICITY GLOBAL SUKUK CO 2 BD 5.06 DUE 04-08-2043				
		Dispositions	-200,000.00		214,123.64
		Free Receipt	200,000.00	-200,000.00	
C80413MAB0	PVTPL SAUDI ELECTRICITY GLOBAL SUKUK CO 3 5.5 DUE 04-08-2044				
		Dispositions	-100,000.00		112,036.11
		Free Receipt	100,000.00	-100,000.00	
SBF2CLS3	PVTPL SOUTHERN NAT GAS CO L L C 03-15-2047				
		Dispositions	-100,000.00		104,692.55
		Free Receipt	100,000.00	-101,594.00	
C87164KAE4	PVTPL SYNGENTA FIN N V GTD SR NT 3.933% 04-23-2021 BEO				
		Dispositions	-500,000.00		511,657.48
		Free Receipt	500,000.00	-500,000.00	
SBQVC9R6	PVTPL TEACHERS INS & 4.9% DUE 09-15-2044				
		Dispositions	-220,000.00		257,524.41
		Free Receipt	220,000.00	-221,624.80	
SBYZHSJ8	PVTPL TEXAS EASTN TRANSMISSION LP SR NT 4.15% 01-15-2048				
		Dispositions	-145,000.00		147,568.23
		Free Receipt	145,000.00	-143,719.65	
C902674XN5	PVTPL UBS AG LONDON BRH 4.5% DUE 06-26-2048				
		Dispositions	-145,000.00		171,137.57
		Free Receipt	145,000.00	-144,385.20	
C90352JAC7	PVTPL UBS GROUP FDG SWITZ AG SR 4.253% DUE 03-23-2028				
		Dispositions	-210,000.00		221,770.12
		Free Receipt	210,000.00	-210,749.78	

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SBZ1LW00	PVTPL UTD OVERSEAS BK 3.2% DUE 04-23-2021	Dispositions	-700,000.00		714,343.45
		Free Receipt	700,000.00	-899,167.00	
C92890HAD4	PVTPL WEA FIN LLC / 4.75% DUE 09-17-2044	Dispositions	-290,000.00		298,755.05
		Free Receipt	290,000.00	-287,781.58	
C980236AP8	PVTPL WOODSIDE FIN LTD GTD NT 3.7% 03-15-2028	Dispositions	-500,000.00		502,262.50
		Free Receipt	500,000.00	-499,655.00	
C98417EAR1	PVTPL XSTRATA FIN CDA LTD GTD NT 5.3 DUE10-25-2042 BEO	Dispositions	-250,000.00		261,101.46
		Free Receipt	250,000.00	-248,365.00	
C747525AJ2	QUALCOMM INC FIXED 4.65% DUE 05-20-2035	Dispositions	-275,000.00		303,460.87
		Free Receipt	275,000.00	-273,795.50	
SB8P3TR9	QVC INC 5.125% DUE 07-02-2022	Dispositions	-600,000.00		637,695.08
		Free Receipt	600,000.00	-596,538.00	
C21685WDF1	RABOBANK NEDERLAND TRANCHE # TR 00078 3.95% BD DUE 11-09-2022 REG	Dispositions	-500,000.00		521,190.31
		Free Receipt	500,000.00	-499,345.00	
C31740MAC5	REFINITIV US HOLDINGS INC. (FKA INITIAL DOLLAR TERM 10-01-2025 BEO	Dispositions	-400,000.00		392,518.75
		Free Receipt	400,000.00	-399,000.00	
SBYXJZ95	REGENCY CTRS L P 4.4% DUE 02-01-2047	Acquisitions	45,000.00	-43,598.70	
		Dispositions	-45,000.00		47,628.38
C75884RAY9	REGENCY CTRS L P 4.65% DUE 03-15-2049	Acquisitions	225,000.00	-231,082.75	
		Dispositions	-225,000.00		248,757.84
C75915TAD4	REGIONALCARE HOSPITAL PARTNERS , INC. TERM LOAN B DUE 11-16-2025	Acquisitions	100,000.00	-98,500.00	
		Dispositions	-100,000.00		99,875.31
SBZ0PJX5	REYNOLDS AMERN INC FIXED 4.45% DUE 06-12-2025	Dispositions	-300,000.00		316,481.41
		Free Receipt	300,000.00	-304,272.00	
SBZ0HGQ3	REYNOLDS AMERN INC FIXED 5.85% DUE 08-15-2045	Dispositions	-260,000.00		279,028.13

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SBDWGXG3	REYNOLDS AMERN INC 6.15% DUE 09-15-2043	Free Receipt	260,000.00	-308,223.05	
		Dispositions	-95,000.00		104,851.64
SB8NWT2	RIO TINTO FIN USA 4.125 DUE 08-21-2042	Free Receipt	95,000.00	-115,659.65	
		Dispositions	-105,000.00		115,039.67
SBJZD8R9	ROGERS 5% DUE 03-15-2044	Free Receipt	105,000.00	-102,525.75	
		Dispositions	-100,000.00		113,774.90
SB3CDXJ7	ROGERS 7.5% DUE 08-15-2038	Free Receipt	100,000.00	-102,808.54	
		Dispositions	-200,000.00		285,844.01
S2535812	ROHM & HAAS CO 7.85% DUE 07-15-2029	Free Receipt	200,000.00	-233,344.00	
		Dispositions	-570,000.00		749,269.01
SBF19XW3	ROYAL BK SCOTLAND FLTG RT 5.076% DUE 01-27-2030	Free Receipt	570,000.00	-772,938.78	
		Acquisitions	200,000.00	-207,298.45	
C780099CK1	ROYAL BK SCOTLAND GROUP PLC PERPETUAL VAR RT DUE 12-29-2049 REG	Dispositions	-285,000.00		284,926.76
		Free Receipt	65,000.00	-64,166.30	
SBJS2H4	ROYAL BK SCOTLAND 4.445% DUE 05-08-2030	Dispositions	-200,000.00		219,233.33
		Free Receipt	200,000.00	-193,000.00	
SBD398X3	ROYAL BK SCOTLAND 4.8% DUE 04-05-2028	Acquisitions	200,000.00	-200,000.00	
		Dispositions	-200,000.00		206,938.44
SB8K3FX2	RPM INTL INC 3.45% DUE 11-15-2022	Dispositions	-350,000.00		375,137.65
		Free Receipt	350,000.00	-349,366.50	
SBMOXLY7	S CAROLINA ELEC & 4.5% DUE 06-01-2064	Dispositions	-600,000.00		612,541.02
		Free Receipt	600,000.00	-599,226.00	
SBY58YK4	S CAROLINA ELEC & 5.1% DUE 06-01-2065	Dispositions	-120,000.00		125,234.40
		Free Receipt	120,000.00	-118,026.95	
		Dispositions	-25,000.00		31,053.01
		Free Receipt	25,000.00	-25,259.72	

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SB50QD81	SAN FRANCISCO CALIF CY & CNTY PUB UTILS COMMN WTR REV 6 11-01-2040 BEO TAXABLE	Dispositions	-200,000.00		264,066.67
		Free Receipt	200,000.00	-205,626.00	
C80007RAF2	SANDS CHINA LTD FIXED 5.125% DUE 08-08-2025	Dispositions	-200,000.00		217,122.22
		Free Receipt	200,000.00	196,920.00	
SBD0F6R4	SANDS CHINA LTD 5.125% SNR 08/08/2025 USD	Free Delivery	-200,000.00		
		Free Receipt	200,000.00	-196,920.00	
SBFWJJY6	SEMPRA ENERGY 3.8% DUE 02-01-2038	Dispositions	-135,000.00		129,665.01
		Free Receipt	135,000.00	-133,539.30	
SBFWJFR1	SEMPRA ENERGY 4% DUE 02-01-2048	Dispositions	-55,000.00		52,612.71
		Free Receipt	55,000.00	-54,259.70	
C81733HAB2	SEQUA CORPORATION TL SENIOR SECURED FIRST LIEN DUE 11-28-2021 BEO	Dispositions	-197,000.00		194,052.50
		Free Receipt	197,000.00	-198,970.00	
SBXQ9DY6	SHELL INTERNATIONAL FIN 4.375% DUE 05-11-2045	Dispositions	-500,000.00		569,219.18
		Free Receipt	500,000.00	-499,572.50	
SB4XNLW2	SHELL INTL FIN B V GTD NT 5.5 DUE 03-25-2040	Dispositions	-55,000.00		69,651.45
		Free Receipt	55,000.00	-69,146.00	
SB7C01S1	SHELL INTL FIN B V 3.625% DUE 08-21-2042	Dispositions	-35,000.00		35,354.81
		Free Receipt	35,000.00	-31,635.45	
SBD5NF87	SHELL INTL FIN B V 3.75% DUE 09-12-2046	Dispositions	-60,000.00		62,442.74
		Free Receipt	60,000.00	-57,466.62	
SBXQ9F28	SHELL INTL FIN B V 4.125% DUE 05-11-2035	Dispositions	-490,000.00		536,127.31
		Free Receipt	490,000.00	-476,972.40	
SBDB2XM8	SHELL INTL FIN B V 4% DUE 05-10-2046	Dispositions	-225,000.00		224,795.66
		Free Receipt	225,000.00	-224,637.04	
SBQR7XM8	SIMON PPTY GROUP L 4.25% DUE 10-01-2044	Dispositions	-45,000.00		46,372.63

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SB7RRL54	SIMON PPTY GROUP L 4.75% DUE 03-15-2042	Free Receipt	45,000.00	-44,488.20	
		Dispositions	-215,000.00		248,716.23
SBFMZDC0	SOUTHERN CALIF EDISON CO 4.125% 03-01-2048	Free Receipt	215,000.00	-229,741.44	
		Dispositions	-150,000.00		143,023.50
SBYT1540	SOUTHERN CO 4.4% DUE 07-01-2046	Free Receipt	150,000.00	-144,798.75	
		Dispositions	-300,000.00		314,303.97
SBWXV3J3	SOUTHN COPPER CORP 5.875% DUE 04-23-2045	Free Receipt	300,000.00	-298,446.00	
		Acquisitions	125,000.00	-140,034.10	
SB414JX4	SPCL1 AMERN AIRLS PASS 5.25% DUE 07-31-2022	Dispositions	-210,000.00		245,830.92
		Free Receipt	85,000.00	-84,926.81	
SBWC4XS2	SPECTRA ENERGY 4.5% DUE 03-15-2045	Dispositions	-43,253.86		45,302.88
		Free Receipt	43,253.86	-43,253.85	
C85172FAL3	SPRINGLEAF FIN 5.625% DUE 03-15-2023	Dispositions	-350,000.00		363,266.06
		Free Receipt	350,000.00	-347,319.00	
S5684067	SPRINT CAP CORP 6.9% DUE 05-01-2019	Dispositions	-400,000.00		433,187.50
		Free Receipt	400,000.00	-400,000.00	
SBDR0D93	SPRINT SPECTRUM CO 3.36% DUE 09-20-2021	Dispositions	-300,000.00		300,000.00
		Free Receipt	300,000.00	-323,250.00	
C78466DBD5	SS&C TECHNOLOGIES HOLDINGS INC. TERM LOAN 04-16-2025	Dispositions	-137,500.00		137,612.31
		Free Receipt	137,500.00	-137,541.25	
C78466DBE3	SS&C TECHNOLOGIES HOLDINGS, INC. TERM LOAN B4 SENIOR SECURED DUE 02-28-2025	Dispositions	-88,783.40		88,783.40
		Free Receipt	88,783.40	-88,561.45	
SB710SM5	STATOIL ASA 4.25 DUE 11-23-2041	Dispositions	-33,676.76		33,676.76
		Free Receipt	33,676.76	-33,592.58	
		Dispositions	-400,000.00		440,940.13
		Free Receipt	400,000.00	-407,192.00	

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SB9B2M01	SUNCOR ENERGY INC 7.15% DUE 02-01-2032	Dispositions	-220,000.00		295,718.97
		Free Receipt	220,000.00	-298,727.00	
SBD35XM5	SUNOCO LOGISTICS PARTNERS 5.4% 10-01-2047	Acquisitions	20,000.00	-20,119.30	
		Dispositions	-270,000.00		282,662.89
		Free Receipt	250,000.00	-236,275.04	
SBSN4Q84	SUNOCO LOGISTICS 5.35% DUE 05-15-2045	Dispositions	-480,000.00		488,228.39
		Free Receipt	480,000.00	-455,044.10	
S9J3BRHU	SWO_CDX BOFAUS6S 03/20/2019 ITRAXX_CDX_IG_CDSI_G_30 317584472	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		381.00
C875127BF8	TAMPA ELEC CO 4.45% DUE 06-15-2049	Dispositions	-200,000.00		215,204.37
		Free Receipt	200,000.00	-198,978.00	
SB9K8J87	TARGET CORP 4% DUE 07-01-2042	Dispositions	-255,000.00		274,903.30
		Free Receipt	255,000.00	-246,793.83	
SBOVC9Q5	TEACHERS INS & FLTG RT 4.375% DUE 09-15-2054	Dispositions	-500,000.00		532,617.17
		Free Receipt	500,000.00	-499,080.00	
SBF19248	TEACHERS INS & 4.27% DUE 05-15-2047	Dispositions	-790,000.00		842,883.80
		Free Receipt	790,000.00	-786,861.05	
SBDFOC65	TELEFONICA EMISIONES S A U 4.885% 03-06-2038	Dispositions	-400,000.00		406,606.09
		Free Receipt	400,000.00	-400,000.00	
SBYWMQF8	TELEFONICA EMISIONES S A U 5.213% 03-08-2047 REG	Dispositions	-310,000.00		318,242.19
		Free Receipt	310,000.00	-310,000.00	
SBFYF0R2	TEVA PHARM FINANCE 3.25% SNR 15/04/22 EUR1000	Dispositions	-400,000.00		442,631.55
		Free Receipt	400,000.00	-496,100.00	
SBD3GT31	TEVA 3.15% DUE 10-01-2026	Dispositions	-300,000.00		232,428.75
		Free Receipt	300,000.00	-299,202.00	
SBG0DDF6	TEXAS INSTRS INC 4.15% DUE 05-15-2048				

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		Dispositions	-500,000.00		556,382.16
		Free Receipt	500,000.00	-497,780.00	
SB4R23P2	TEXAS ST 4.681% 04-01-2040 BEO TAXABLE				
		Dispositions	-450,000.00		534,207.12
		Free Receipt	450,000.00	-501,448.50	
SBRB34M6	THOMSON REUTERS 3.85% DUE 09-29-2024				
		Dispositions	-92,000.00		95,419.15
		Free Receipt	92,000.00	-91,508.72	
SB8N2417	TIME WARNER CABLE 4.5% DUE 09-15-2042				
		Dispositions	-155,000.00		142,819.70
		Free Receipt	155,000.00	-144,298.15	
SB6B8W80	TIME WARNER CABLE 5.875% DUE 11-15-2040				
		Dispositions	-130,000.00		139,397.15
		Free Receipt	130,000.00	-143,281.30	
SB62X8Y8	TIME WARNER CABLE 6.75% DUE 06-15-2039				
		Dispositions	-175,000.00		200,634.65
		Free Receipt	175,000.00	-208,000.55	
SB3B14R7	TIME WARNER CABLE 7.3% DUE 07-01-2038				
		Dispositions	-115,000.00		139,937.21
		Free Receipt	115,000.00	-145,726.25	
S2727266	TIME WARNER ENTMT 8.375% DUE 07-15-2033				
		Dispositions	-250,000.00		339,788.37
		Free Receipt	250,000.00	-267,933.06	
S2298278	TN GAS PIPELN CO 7% DUE 10-15-2028				
		Dispositions	-250,000.00		311,210.82
		Free Receipt	250,000.00	-296,457.06	
SBF5GVD7	TOBACCO SETTLEMENT FIN AUTH WEST VA AST BACKED 7.467 06-01-2047 BEO TAXABLE				
		Dispositions	-380,000.00		385,031.42
		Free Receipt	385,000.00	-366,231.25	
SBGOX9L8	TRANSCANADA FIXED 5.1% DUE 03-15-2049				
		Acquisitions	20,000.00	-21,593.80	
		Dispositions	-220,000.00		254,797.57
		Free Receipt	200,000.00	-199,456.00	
SBLSP672	TRANSCANADA 4.625% DUE 03-01-2034				
		Dispositions	-195,000.00		211,410.45
		Free Receipt	195,000.00	-200,708.24	
SBD366Y1	TRANSCANADA 4.75% DUE 05-15-2038				
		Dispositions	-170,000.00		181,479.88

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SBD366Z2	TRANSCANADA 4.875% DUE 05-15-2048	Free Receipt	170,000.00	-170,649.05	
		Dispositions	-170,000.00		177,264.91
SB96NB24	TRANSCONTINENTAL GAS PIPE LINE CO LLC 4.45 DUE 08-01-2042 REG	Free Receipt	170,000.00	-168,566.90	
		Dispositions	-60,000.00		61,729.07
SB29V1L5	TRANSOCEAN INC 6.8% DUE 03-15-2038	Free Receipt	60,000.00	-48,804.00	
		Dispositions	-200,000.00		152,740.00
S2780775	TRANSOCEAN SEDCO FOREX INC 7.5 DUE 04-15-2031 BEO	Free Receipt	200,000.00	-155,500.00	
		Dispositions	-100,000.00		85,687.50
SBDFFCW6	TSY INFL IX N/B US GVT NATIONAL 0.375%01-15-2027	Free Receipt	100,000.00	-107,953.00	
		Dispositions	-40,000.00		42,210.71
SBYZ6DQ7	TSY INFL IX N/B 0.375% 07-15-2027	Free Receipt	40,000.00	-41,702.04	
		Dispositions	-1,223,000.00		1,276,596.53
SBFZBGW6	TSY INFL IX N/B 1% TIPS 02-15-2048	Free Receipt	1,223,000.00	-1,251,160.63	
		Dispositions	-200,000.00		218,163.77
SBG49383	TX EASTN TRANSM 7% DUE 07-15-2032	Free Receipt	200,000.00	-191,273.21	
		Dispositions	-100,000.00		131,061.51
SBFYKL18	TYSON FOODS INC 5.1% 09-28-2048	Free Receipt	100,000.00	-130,040.00	
		Acquisitions	140,000.00	-135,405.20	
SB2PPTS4	UNILEVER CAP CORP 5.9% DUE 11-15-2032	Dispositions	-140,000.00		157,224.10
		Dispositions	-225,000.00		295,632.39
SBLBWJ37	UNION ELEC CO 3.5% DUE 04-15-2024	Free Receipt	225,000.00	-292,727.85	
		Dispositions	-285,000.00		298,087.20
SB759FZ0	UNION ELEC CO 3.9 DUE 09-15-2042	Free Receipt	285,000.00	-292,271.95	
		Dispositions	-285,000.00		298,749.66
SBDWCWS8	UNION PAC CORP 3.789% DUE 10-01-2051	Free Receipt	285,000.00	-272,282.30	

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		Dispositions	-302,000.00		300,592.70
		Free Receipt	302,000.00	-322,312.52	
SBGLC062	UNION PAC CORP 4.3% 03-01-2049				
		Acquisitions	210,000.00	-208,523.70	
		Dispositions	-210,000.00		230,561.63
SBYZGKC2	UNION PAC CORP 4.375% DUE 09-10-2038				
		Dispositions	-150,000.00		164,951.71
		Free Receipt	150,000.00	-149,773.50	
SBYZGKF5	UNION PAC CORP 4.5% 09-10-2048				
		Acquisitions	135,000.00	-136,102.95	
		Dispositions	-365,000.00		410,439.30
		Free Receipt	230,000.00	-229,767.70	
SBF0SHC9	UNITED STATES OF AMER BDS INDX LNKD 0.875% 02-15-2047				
		Dispositions	-400,000.00		431,536.24
		Free Receipt	400,000.00	-418,228.27	
SBJB9TW3	UNITED STATES OF AMER TREAS NOTES INFL IDX T-BOND .875% 01-15-2029				
		Acquisitions	100,000.00	-103,522.70	
		Dispositions	-100,000.00		105,399.61
SBK0HZL6	UNITED STATES TREAS BDS 1.375 02-15-2044 REG				
		Dispositions	-425,000.00		529,455.34
		Free Receipt	425,000.00	-504,745.97	
SBYVN9F3	UNITED TECHNOLOGIES CORP 4.125% 11-16-2028				
		Dispositions	-285,000.00		311,628.61
		Free Receipt	285,000.00	-284,897.40	
SBYVN9N1	UNITED TECHNOLOGIES CORP 4.45% 11-16-2038				
		Acquisitions	110,000.00	-109,796.50	
		Dispositions	-110,000.00		121,401.47
SBG08PD3	UNITEDHEALTH GROUP FIXED 4.25% DUE 06-15-2048				
		Dispositions	-380,000.00		410,900.38
		Free Receipt	380,000.00	-377,385.60	
SBHSSQ40	UNITEDHEALTH GROUP INC 4.45% DUE 12-15-2048 REG				
		Dispositions	-160,000.00		177,308.89
		Free Receipt	160,000.00	-160,739.00	
SBYZLN95	UNITEDHEALTH GROUP 3.75% 10-15-2047				
		Dispositions	-170,000.00		168,245.90
		Free Receipt	170,000.00	-168,429.20	
SBYZGKH7	UNITEDHEALTH GROUP 3.85% DUE 06-15-2028				
		Dispositions	-40,000.00		43,065.09

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SBBNBGG4	UNITEDHEALTH GROUP 3.95 DUE 10-15-2042	Free Receipt	40,000.00	-39,931.20	
		Dispositions	-75,000.00		77,793.67
SBZ0X5W0	UNITEDHEALTH GROUP 4.2% DUE 01-15-2047	Free Receipt	75,000.00	-70,637.43	
		Dispositions	-10,000.00		10,894.09
SB97GZH5	UNITEDHEALTH GROUP 4.25% DUE 03-15-2043	Free Receipt	10,000.00	-9,830.60	
		Dispositions	-5,000.00		5,448.26
SBYTRVR3	UNITEDHEALTH GROUP 4.625% DUE 07-15-2035	Free Receipt	5,000.00	-5,024.80	
		Dispositions	-140,000.00		161,212.64
SBYTRVP1	UNITEDHEALTH GROUP 4.75% DUE 07-15-2045	Free Receipt	140,000.00	-142,181.20	
		Dispositions	-15,000.00		17,738.60
SB55H840	UNITEDHEALTH GROUP 5.7% DUE 10-15-2040	Free Receipt	15,000.00	-16,972.93	
		Dispositions	-130,000.00		165,366.19
SB40HSK4	UNIV SOUTHN CAL 5.25% DUE 10-01-2111	Free Receipt	130,000.00	-155,424.00	
		Dispositions	-310,000.00		419,949.71
SB3YRRJ5	UNIVERSITY CALIF REVS 5.77% 05-15-2043 BEO TAXABLE	Free Receipt	310,000.00	-368,777.40	
		Dispositions	-250,000.00		329,695.21
SBJ161V7	UNIVERSITY CALIF REVS 5.946% 05-15-2045 BEO TAXABLE	Free Receipt	250,000.00	-308,642.50	
		Dispositions	-300,000.00		396,258.00
SBFWDL86	UNIVERSITY CALIF REVS 6.298% 05-15-2050 BEO TAXABLE	Free Receipt	300,000.00	-373,179.00	
		Dispositions	-200,000.00		253,478.13
SB82LWF5	US AIRWAYS GROUP INC 5.9 DUE 10-01-2024	Free Receipt	200,000.00	-200,000.00	
		Dispositions	-32,751.22		36,344.92
C902973AZ9	US BANCORP DEL FLTG RT 5.3% DUE 12-31-2049	Free Receipt	32,751.22	-35,084.73	
		Dispositions	-100,000.00		104,765.83
SBG495T8	UTD TECHNOLOGIES NT 5.7 DUE 04-15-2040	Free Receipt	100,000.00	-100,000.00	

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		Dispositions	-365,000.00		461,502.79
		Free Receipt	365,000.00	-442,966.15	
SB8J6DZ0	UTD TECHNOLOGIES 4.5% DUE 06-01-2042				
		Acquisitions	115,000.00	-118,303.55	
		Dispositions	-575,000.00		640,156.19
		Free Receipt	480,000.00	-477,840.75	
SBYVN9P3	UTD TECHNOLOGIES 4.625% DUE 11-16-2048				
		Dispositions	-170,000.00		179,055.63
		Free Receipt	170,000.00	-166,678.85	
SB1HMKF0	VALE OVERSEAS LTD 6.875% DUE 11-21-2036				
		Dispositions	-48,000.00		57,854.50
		Free Receipt	48,000.00	-54,432.00	
C92346NAB5	VERIFONE SYSTEMS INC SEN SEC TL 08-20-2025				
		Dispositions	-100,000.00		98,880.63
		Free Receipt	100,000.00	-99,500.00	
SBY2KXZ8	VERIZON COMMUNICATIONS BNDS 5.25% DUE 03-16-2037 REG				
		Dispositions	-405,000.00		483,641.62
		Free Receipt	405,000.00	-401,881.50	
SBYRLSF4	VERIZON COMMUNICATIONS 4.522% 09-15-2048				
		Acquisitions	85,000.00	-87,240.60	
		Dispositions	-1,311,000.00		1,454,155.32
		Free Receipt	1,226,000.00	-1,132,278.96	
SBDD8108	VERIZON FIXED 3.376% DUE 02-15-2025				
		Dispositions	-23,000.00		24,239.50
		Free Receipt	173,000.00	-170,579.72	
SBYRLRK2	VERIZON 4.272% DUE 01-15-2036				
		Dispositions	-190,000.00		203,663.65
		Free Receipt	190,000.00	-174,205.86	
SBF22PM5	VERIZON 4.5% DUE 08-10-2033				
		Dispositions	-155,000.00		165,868.60
		Free Receipt	155,000.00	-154,562.90	
SBYM0W21	VERIZON 4.672% DUE 03-15-2055				
		Acquisitions	160,000.00	-170,432.00	
		Dispositions	-1,186,000.00		1,312,887.70
		Free Receipt	1,026,000.00	-950,336.18	
SBDFLJN4	VERIZON 4.812% DUE 03-15-2039				
		Dispositions	-86,000.00		98,726.45
		Free Receipt	86,000.00	-79,619.30	

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◆ Schedule of Acquisitions & Dispositions

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBXVMD62	VERIZON 4.862% DUE 09-21-2046	Dispositions	-783,000.00		919,244.67
		Free Receipt	783,000.00	-827,253.92	
SBDLJP6	VERIZON 5.012% DUE 04-15-2049	Acquisitions	140,000.00	-152,613.60	
		Dispositions	-140,000.00		165,303.57
SBVYRK47	VERIZON 5.012% DUE 09-21-2054	Dispositions	-577,000.00		656,424.54
		Free Receipt	577,000.00	-566,538.87	
SBCHWWF1	VIACOM INC NEW 4.375% DUE 03-15-2043	Acquisitions	65,000.00	-58,929.00	
		Dispositions	-65,000.00		62,952.17
C92553PAU6	VIACOM INC NEW 5.85% DUE 09-01-2043	Acquisitions	110,000.00	-116,004.45	
		Dispositions	-605,000.00		705,705.96
		Free Receipt	495,000.00	-530,233.77	
C925524AX8	VIACOM INC SR NT 6.875% DUE 04-30-2036/10-18-2006	Dispositions	-65,000.00		80,419.79
		Free Receipt	65,000.00	-76,031.15	
SBYPHG16	VISA INC 4.15% DUE 12-14-2035	Dispositions	-300,000.00		337,999.85
		Free Receipt	300,000.00	-299,595.00	
SBYPHFN1	VISA INC 4.3% DUE 12-14-2045	Dispositions	-435,000.00		505,433.44
		Free Receipt	435,000.00	-437,514.45	
SBDT5LB4	VMWARE INC 2.95% DUE 08-21-2022	Dispositions	-400,000.00		404,622.85
		Free Receipt	400,000.00	-383,340.00	
SBFMLP22	VODAFONE GROUP PLC 5.25% DUE 05-30-2048	Dispositions	-150,000.00		144,796.20
		Free Receipt	150,000.00	-149,783.27	
SBFMLP11	VODAFONE GROUP PLC 5% DUE 05-30-2038	Dispositions	-75,000.00		78,539.19
		Free Receipt	75,000.00	-73,578.00	
SBGQS6B2	VOLKSWAGEN GROUP AMER FIN LLC 4.25% 11-13-2023	Dispositions	-400,000.00		421,465.95
		Free Receipt	400,000.00	-397,636.00	
SBGPMBN2	VOLKSWAGEN GROUP 4.75% DUE 11-13-2028				

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4i SUPPLEMENTAL SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN YEAR) YEAR ENDED DECEMBER 31, 2019

5500 Supplemental Schedules

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Account number VISTRA
Account Name VISTRA RETIREMENT

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◆ Schedule of Acquisitions & Dispositions

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-310,000.00		310,596.90
		Free Receipt	310,000.00	-306,528.00	
SBH3Q4W3	VULCAN MATERIALS CO 4.7% DUE 03-01-2048 BEO				
		Dispositions	-245,000.00		241,622.35
		Free Receipt	245,000.00	-244,723.15	
SB7XGYR8	WACHOVIA BK NATL ASSN MEDIUM TERM SUB BKTRANCHE # SB 00009 6.6 DUE 01-15-2038				
		Dispositions	-800,000.00		1,121,620.04
		Free Receipt	800,000.00	-698,954.13	
SB1PB082	WACHOVIA BK NATL ASSN MTN SUB BKTRANCHE # SB 00007 5.85 DUE 02-01-2037 BEO				
		Dispositions	-200,000.00		257,081.48
		Free Receipt	200,000.00	-196,094.00	
C931427AQ1	WALGREENS BOOTS 3.45% DUE 06-01-2026				
		Dispositions	-100,000.00		100,126.36
		Free Receipt	100,000.00	-99,748.00	
SBGLQ5V5	WALMART INC 3.95% DUE 06-28-2038				
		Dispositions	-210,000.00		231,911.94
		Free Receipt	210,000.00	-207,822.30	
SBG08Q52	WALMART INC 4.05% DUE 06-29-2048 REG				
		Dispositions	-250,000.00		281,966.13
		Free Receipt	250,000.00	-246,747.50	
SBDDN1V4	WARNER MEDIA LLC 3.8% DUE 02-15-2027				
		Dispositions	-100,000.00		100.00
		Free Receipt	100,000.00	-99,615.00	
SBVYS567	WASTE MANAGEMENT INC 4.1% DUE 03-01-2045REG				
		Dispositions	-450,000.00		483,697.11
		Free Receipt	450,000.00	-449,073.00	
SBCSCZN9	WELLPOINT INC 5.1% DUE 01-15-2044				
		Dispositions	-125,000.00		142,268.37
		Free Receipt	125,000.00	-144,999.80	
SBS9FPF1	WELLS FARGO & CO NEW MEDIUM TERM SR 4.65% 11-04-2044				
		Dispositions	-235,000.00		254,518.27
		Free Receipt	235,000.00	-232,703.62	
SBX2H0V0	WELLS FARGO & CO 3.9% DUE 05-01-2045				
		Dispositions	-10,000.00		9,906.30
		Free Receipt	10,000.00	-9,304.14	
SBJ60RD8	WELLS FARGO & CO 4.15% DUE 01-24-2029				
		Acquisitions	135,000.00	-134,759.70	
		Dispositions	-135,000.00		144,246.45

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4i SUPPLEMENTAL SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN YEAR) YEAR ENDED DECEMBER 31, 2019

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◆ Schedule of Acquisitions & Dispositions

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBD39X50	WELLS FARGO & CO 4.4% DUE 06-14-2046	Dispositions	-430,000.00		453,528.37
		Free Receipt	430,000.00	-426,874.45	
SBDSFRX9	WELLS FARGO & CO 4.75% DUE 12-07-2046	Dispositions	-485,000.00		540,205.23
		Free Receipt	485,000.00	-496,154.52	
SBYQ29R7	WELLS FARGO & CO 4.9% DUE 11-17-2045	Dispositions	-450,000.00		510,138.17
		Free Receipt	450,000.00	-450,896.46	
SBJTGH7	WELLS FARGO & CO 5.606% DUE 01-15-2044	Dispositions	-115,000.00		141,725.78
		Free Receipt	115,000.00	-129,176.24	
SB1CL665	WELLS FARGO BK 5.95% DUE 08-26-2036	Dispositions	-350,000.00		447,801.99
		Free Receipt	350,000.00	-323,891.50	
SBFY3CZ8	WELLTOWER INC FIXED 4.25% DUE 04-15-2028	Dispositions	-100,000.00		107,031.71
		Free Receipt	100,000.00	-98,948.00	
SBFXKXC4	WESTERN GAS PARTNERS LP 4.75% 08-15-2028	Dispositions	-100,000.00		102,089.44
		Free Receipt	100,000.00	-99,818.00	
SBGKCBH1	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP 4.40% DUE 03-15-2024	Dispositions	-200,000.00		211,795.85
		Free Receipt	200,000.00	-199,610.00	
SBB0RG32	WEYERHAEUSER CO DEB 7.375 DUE 03-15-2032 BEO	Dispositions	-100,000.00		140,740.41
		Free Receipt	100,000.00	-139,757.00	
SBJJQDP9	WEYERHAEUSER CO 4% DUE 11-15-2029	Acquisitions	300,000.00	-298,386.00	
		Dispositions	-300,000.00		318,324.26
SBNJZZV2	WILLIAMS COS INC 5.75% DUE 06-24-2044	Dispositions	-60,000.00		67,033.01
		Free Receipt	60,000.00	-64,218.00	
S2830807	WILLIAMS COS INC 7.5% DUE 01-15-2031	Dispositions	-50,000.00		64,025.47
		Free Receipt	50,000.00	-60,278.10	
S2744146	WILLIAMS COS INC 8.75% DUE 03-15-2032	Dispositions	-341,000.00		488,139.48

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4i SUPPLEMENTAL SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN YEAR) YEAR ENDED DECEMBER 31, 2019

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Account number VISTRA
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◆ Schedule of Acquisitions & Dispositions

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBGLOSZ9	WILLIAMS PARTNERS FIXED 4.85% DUE 03-01-2048	Free Receipt	341,000.00	-428,278.95	
		Acquisitions	15,000.00	-14,847.45	
		Dispositions	-160,000.00		160,622.01
		Free Receipt	145,000.00	-142,460.45	
SBNKVH91	WILLIAMS PARTNERS 4.9% DUE 01-15-2045	Dispositions	-115,000.00		118,059.60
		Free Receipt	115,000.00	-114,728.15	
SBW3SWW0	WILLIAMS PARTNERS 5.1% DUE 09-15-2045	Dispositions	-260,000.00		269,070.77
		Free Receipt	260,000.00	-263,034.36	
SBG0SQL8	WILLIAMS PARTNERS 5.8 DUE 11-15-2043	Dispositions	-85,000.00		94,073.95
		Free Receipt	85,000.00	-93,263.70	
SB3RZK8	WILLIAMS PARTNERS 6.3% DUE 04-15-2040	Dispositions	-390,000.00		467,057.75
		Free Receipt	390,000.00	-443,748.20	
SBF92959	WIND TRE SPA FRN GTD SNR SEC 01/2024 EUR	Dispositions	-300,000.00		332,313.28
		Free Receipt	300,000.00	-352,830.00	
SBW9H1X5	WOODSIDE FIN LTD 3.65% DUE 03-05-2025	Dispositions	-575,000.00		592,493.11
		Free Receipt	575,000.00	-574,666.50	
SBYX48Q8	WYNDHAM WORLDWIDE FIXED 5.1% DUE 10-01-2025	Dispositions	-100,000.00		110,589.03
		Free Receipt	100,000.00	-102,875.00	
SBWC4ZB9	ZIMMER BIOMET 3.55% DUE 04-01-2025	Dispositions	-575,000.00		594,555.39
		Free Receipt	575,000.00	-573,648.75	
SBG3PT39	ZOETIS INC 3.25 DUE 02-01-2023 REG	Dispositions	-200,000.00		206,354.95
		Free Receipt	200,000.00	-199,780.00	

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

**SCHEDULE H, PART IV, LINE 4j
SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS
YEAR ENDED DECEMBER 31, 2019**

Note: Refer to the enclosed Trustee statements for the required disclosures.

VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

5500 Supplemental Schedules

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◆ 5% Report - Part A

Single Transaction in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
International Region - USD									
CF SSGA MSCI ACWI EX-US NL (ZVG9) FD CUSIP: 114477QW5	1,004,086.530	6 Jun 19	20.7130			0.00	20,800,000.00	20,800,000.00	0.00
CF SSGA MSCI ACWI EX-US NL (ZVG9) FD CUSIP: 114477QW5	-977,862.550	21 Jun 19		21.4760		0.00	20,256,761.18	21,000,000.00	743,238.82
MFB NT COLLECTIVE MSCI ACWI EX-US INDEX FUND-NON LENDING CUSIP: 003999323	-144,928.330	6 Jun 19		163.9470		0.00	18,561,395.22	23,760,567.05	5,199,171.83
United States - USD									
CF AHGT GLOBAL REAL ESTATE FUND CUSIP: 990082216	897,289.460	24 Jun 19	0.0000			0.00	19,100,000.00	19,100,000.00	0.00
CF AHGT HIGH YIELD BOND PLUS FUND CUSIP: 990082208	861,955.350	24 Jun 19	16.3580			0.00	14,100,000.00	14,100,000.00	0.00
CF AHGT US LONG CR BD FD CUSIP: 990082232	8,058,689.120	21 Jun 19	20.2210			0.00	162,955,332.89	162,955,332.89	0.00
CF SSGA GLOBAL EQUITY EX USA INDEX NL SF CUSIP: 1099952K5	2,013,369.390	21 Jun 19	10.7100			0.00	21,563,186.19	21,563,186.19	0.00
CF SSGA RUSSELL LARGE CAP INDEX SF CUSIP: 119994A19	544,211.720	21 Jun 19	34.1230			0.00	18,570,136.40	18,570,136.40	0.00
CF SSGA RUSSELL 1000 (R) INDX NL FUND (C MCQ) CUSIP: 1B99WERT0	436,851.890	6 Jun 19	40.9730			0.00	17,900,000.00	17,900,000.00	0.00
CF SSGA RUSSELL 1000 (R) INDX NL FUND (C MCQ) CUSIP: 1B99WERT0	-436,851.890	21 Jun 19		42.5090		0.00	17,900,000.00	18,570,136.40	670,136.40
CF SSGA U.S.LONG GOVT BOND INDX SF CUSIP: 4D99963H8	1,157,008.020	20 Jun 19	19.7060			0.00	22,800,000.00	22,800,000.00	0.00
MFB NT COLLECTIVE S&P500 INDEX FUND-NON LENDING CUSIP: 658991310	-2,445.310	6 Jun 19		10,016.7120		0.00	12,823,095.85	24,494,086.19	11,670,970.54

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

5500 Supplemental Schedules

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Account number VISTRA
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◆ 5% Report - Part A

Single Transaction in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
NTGI COLTV GOVT STIF REGI STERED CUSIP: 195998BD0	32,001,557.170	19 Jun 19	1.0000			0.00	32,001,557.17	32,001,557.17	0.00
NTGI COLTV GOVT STIF REGI STERED CUSIP: 195998BD0	53,000,000.000	20 Jun 19	1.0000			0.00	53,000,000.00	53,000,000.00	0.00
NTGI COLTV GOVT STIF REGI STERED CUSIP: 195998BD0	-26,791,043.300	21 Jun 19		1.0000		0.00	26,791,043.30	26,791,043.30	0.00
NTGI COLTV GOVT STIF REGI STERED CUSIP: 195998BD0	-44,200,000.000	25 Jun 19		1.0000		0.00	44,200,000.00	44,200,000.00	0.00

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

5500 Supplemental Schedules

1 JAN 19 - 31 DEC 19

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◆ 5% Report - Part B

Series of Non-Security Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
BANK OF AMERICA, N.A									
Cash and Cash Equivalents									
Argentine peso - ARS									
FX DEAL TRANSACTED ON 14 JAN 2019 USD / ARS EX.RATE 0.0269336 WITH BANK OF	-4,980,734.790	14 Jan 19				0.00	-134,149.28	-134,287.81	-138.53
FX DEAL TRANSACTED ON 15 APR 2019 ARS / USD EX.RATE 44.0 WITH BANK OF AMERICA,	4,136,000.000	15 Apr 19				0.00	94,000.00	93,332.57	-667.43
FX DEAL TRANSACTED ON 29 MAY 2019 USD / ARS EX.RATE 0.022488 WITH BANK OF	-4,136,000.000	29 May 19				0.00	-93,010.50	-93,332.57	-322.07
Euro - EUR									
FX DEAL TRANSACTED ON 01 FEB 2019 EUR / USD EX.RATE 0.8727722 WITH BANK OF	23,000.000	1 Feb 19				0.00	26,352.81	26,376.40	23.59
FX DEAL TRANSACTED ON 20 MAY 2019 EUR / USD EX.RATE 0.8949122 WITH BANK OF	115,000.000	20 May 19				0.00	128,504.22	128,817.26	313.04
FX DEAL TRANSACTED ON 20 MAY 2019 USD / EUR EX.RATE 1.1161292 WITH BANK OF	-115,000.000	20 May 19				0.00	-128,354.86	-128,397.51	-42.65
FX DEAL TRANSACTED ON 25 MAR 2019 EUR / USD EX.RATE 0.8840678 WITH BANK OF	15,000.000	25 Mar 19				0.00	16,967.03	16,983.75	16.72
FX DEAL TRANSACTED ON 28 MAR 2019 EUR / USD EX.RATE 0.8895216 WITH BANK OF	1,380,000.000	28 Mar 19				0.00	1,553,141.70	1,548,498.12	-4,643.58
FX DEAL TRANSACTED ON 28 MAR 2019 USD / EUR EX.RATE 1.128389 WITH BANK OF	-1,380,000.000	28 Mar 19				0.00	-1,557,176.82	-1,543,323.09	13,853.73
FX DEAL TRANSACTED ON 31 JAN 2019 EUR / USD EX.RATE 0.8699435 WITH BANK OF	1,363,000.000	31 Jan 19				0.00	1,566,768.50	1,563,088.45	-3,680.05
FX DEAL TRANSACTED ON 31 JAN 2019 USD / EUR EX.RATE 1.15221 WITH BANK OF	-1,363,000.000	31 Jan 19				0.00	-1,570,462.23	-1,552,116.38	18,345.85

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

5500 Supplemental Schedules

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Account number VISTRA
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◆ 5% Report - Part B

Series of Non-Security Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
BANK OF AMERICA, N.A									
New Taiwan dollar - TWD									
FX DEAL TRANSACTED ON 14 JUN 2019 TWD / USD EX.RATE 31.3914 WITH BANK OF	3,845,000.000	14 Jun 19				0.00	122,485.78	123,337.99	852.21
FX DEAL TRANSACTED ON 14 JUN 2019 USD / TWD EX.RATE 0.03173 WITH BANK OF	-3,845,000.000	14 Jun 19				0.00	-122,001.91	-121,972.50	29.41
FX DEAL TRANSACTED ON 21 MAY 2019 TWD / USD EX.RATE 31.5 WITH BANK OF AMERICA,	3,845,000.000	21 May 19				0.00	122,063.49	121,972.50	-90.99
FX DEAL TRANSACTED ON 21 MAY 2019 USD / TWD EX.RATE 0.0318857 WITH BANK OF	-3,845,000.000	21 May 19				0.00	-122,600.60	-123,337.99	-737.39
United States dollar - USD									
FX DEAL TRANSACTED ON 01 FEB 2019 EUR / USD EX.RATE 0.8727722 WITH BANK OF	-26,352.810	1 Feb 19				0.00	-26,352.81	-26,352.81	0.00
FX DEAL TRANSACTED ON 14 JAN 2019 USD / ARS EX.RATE 0.0269336 WITH BANK OF	134,149.280	14 Jan 19				0.00	134,149.28	134,149.28	0.00
FX DEAL TRANSACTED ON 14 JUN 2019 TWD / USD EX.RATE 31.3914 WITH BANK OF	-122,485.780	14 Jun 19				0.00	-122,485.78	-122,485.78	0.00
FX DEAL TRANSACTED ON 14 JUN 2019 USD / TWD EX.RATE 0.03173 WITH BANK OF	122,001.910	14 Jun 19				0.00	122,001.91	122,001.91	0.00
FX DEAL TRANSACTED ON 15 APR 2019 ARS / USD EX.RATE 44.0 WITH BANK OF AMERICA,	-94,000.000	15 Apr 19				0.00	-94,000.00	-94,000.00	0.00
FX DEAL TRANSACTED ON 20 MAY 2019 EUR / USD EX.RATE 0.8949122 WITH BANK OF	-128,504.220	20 May 19				0.00	-128,504.22	-128,504.22	0.00
FX DEAL TRANSACTED ON 20 MAY 2019 USD / EUR EX.RATE 1.1161292 WITH BANK OF	128,354.860	20 May 19				0.00	128,354.86	128,354.86	0.00
FX DEAL TRANSACTED ON 21 MAY 2019 TWD / USD EX.RATE 31.5 WITH BANK OF AMERICA,	-122,063.490	21 May 19				0.00	-122,063.49	-122,063.49	0.00
FX DEAL TRANSACTED ON 21 MAY 2019 USD / TWD EX.RATE 0.0318857 WITH BANK OF	122,600.600	21 May 19				0.00	122,600.60	122,600.60	0.00

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

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◆ 5% Report - Part B

Series of Non-Security Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
BANK OF AMERICA, N.A									
FX DEAL TRANSACTED ON 25 MAR 2019 EUR / USD EX.RATE 0.8840678 WITH BANK OF	-16,967.030	25 Mar 19				0.00	-16,967.03	-16,967.03	0.00
FX DEAL TRANSACTED ON 28 MAR 2019 EUR / USD EX.RATE 0.8885216 WITH BANK OF	-1,553,141.700	28 Mar 19				0.00	-1,553,141.70	-1,553,141.70	0.00
FX DEAL TRANSACTED ON 28 MAR 2019 USD / EUR EX.RATE 1.128389 WITH BANK OF	1,557,176.820	28 Mar 19				0.00	1,557,176.82	1,557,176.82	0.00
FX DEAL TRANSACTED ON 29 MAY 2019 USD / ARS EX.RATE 0.022488 WITH BANK OF	93,010.500	29 May 19				0.00	93,010.50	93,010.50	0.00
FX DEAL TRANSACTED ON 31 JAN 2019 EUR / USD EX.RATE 0.8699435 WITH BANK OF	-1,566,768.500	31 Jan 19				0.00	-1,566,768.50	-1,566,768.50	0.00
FX DEAL TRANSACTED ON 31 JAN 2019 USD / EUR EX.RATE 1.15221 WITH BANK OF	1,570,462.230	31 Jan 19				0.00	1,570,462.23	1,570,462.23	0.00
Total BANK OF AMERICA, N.A							.000.00	23,111.86	23,111.86

JPMORGAN CHASE BANK, N.A.

Cash and Cash Equivalents

Euro - EUR

FX DEAL TRANSACTED ON 07 JAN 2019 EUR / USD EX.RATE 0.8723786 WITH JPMORGAN	1,363,000.000	7 Jan 19				0.00	1,562,395.04	1,570,380.53	7,985.49
FX DEAL TRANSACTED ON 07 JAN 2019 USD / EUR EX.RATE 1.1485994 WITH JPMORGAN	-1,363,000.000	7 Jan 19				0.00	-1,565,540.98	-1,563,088.45	2,452.53
FX DEAL TRANSACTED ON 10 APR 2019 EUR / USD EX.RATE 0.8870165 WITH JPMORGAN	193,000.000	10 Apr 19				0.00	217,583.32	217,144.32	-439.00
FX DEAL TRANSACTED ON 10 APR 2019 USD / EUR EX.RATE 1.1294765 WITH JPMORGAN	-193,000.000	10 Apr 19				0.00	-217,988.96	-215,841.56	2,147.40
FX DEAL TRANSACTED ON 14 MAR 2019 EUR / USD EX.RATE 0.8848677 WITH JPMORGAN	17,000.000	14 Mar 19				0.00	19,211.91	19,217.65	5.74

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

Northern Trust

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

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◆ 5% Report - Part B

Series of Non-Security Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
JPMORGAN CHASE BANK, N.A.									
FX DEAL TRANSACTED ON 14 MAR 2019 USD / EUR EX.RATE 1.1318549 WITH JPMORGAN	-17,000.000	14 Mar 19				0.00	-19,241.53	-19,075.70	165.83
FX DEAL TRANSACTED ON 28 FEB 2019 EUR / USD EX.RATE 0.8782021 WITH JPMORGAN	1,363,000.000	28 Feb 19				0.00	1,552,034.47	1,552,116.38	81.91
FX DEAL TRANSACTED ON 28 FEB 2019 USD / EUR EX.RATE 1.1414709 WITH JPMORGAN	-1,363,000.000	28 Feb 19				0.00	-1,555,824.84	-1,529,422.42	26,402.42
Mexican peso - MXN									
FX DEAL TRANSACTED ON 20 MAR 2019 MXN / USD EX.RATE 19.0897 WITH JPMORGAN CHASE	7,035,360.760	20 Mar 19				0.00	368,542.24	367,712.99	-829.25
FX DEAL TRANSACTED ON 20 MAR 2019 USD / MXN EX.RATE 0.0526316 WITH JPMORGAN	-7,035,360.760	20 Mar 19				0.00	-370,282.15	-374,769.52	-4,487.37
United States dollar - USD									
FX DEAL TRANSACTED ON 07 JAN 2019 EUR / USD EX.RATE 0.8723786 WITH JPMORGAN	-1,562,395.040	7 Jan 19				0.00	-1,562,395.04	-1,562,395.04	0.00
FX DEAL TRANSACTED ON 07 JAN 2019 USD / EUR EX.RATE 1.1495994 WITH JPMORGAN	1,565,540.980	7 Jan 19				0.00	1,565,540.98	1,565,540.98	0.00
FX DEAL TRANSACTED ON 10 APR 2019 EUR / USD EX.RATE 0.8870165 WITH JPMORGAN	-217,583.320	10 Apr 19				0.00	-217,583.32	-217,583.32	0.00
FX DEAL TRANSACTED ON 10 APR 2019 USD / EUR EX.RATE 1.1294765 WITH JPMORGAN	217,988.960	10 Apr 19				0.00	217,988.96	217,988.96	0.00
FX DEAL TRANSACTED ON 14 MAR 2019 EUR / USD EX.RATE 0.8849677 WITH JPMORGAN	-19,211.910	14 Mar 19				0.00	-19,211.91	-19,211.91	0.00
FX DEAL TRANSACTED ON 14 MAR 2019 USD / EUR EX.RATE 1.1318549 WITH JPMORGAN	19,241.530	14 Mar 19				0.00	19,241.53	19,241.53	0.00
FX DEAL TRANSACTED ON 20 MAR 2019 MXN / USD EX.RATE 19.0897 WITH JPMORGAN CHASE	-368,542.240	20 Mar 19				0.00	-368,542.24	-368,542.24	0.00

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

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Account Name VISTRA RETIREMENT

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◆ 5% Report - Part B

Series of Non-Security Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
<i>JPMORGAN CHASE BANK, N.A.</i>									
FX DEAL TRANSACTED ON 20 MAR 2019 USD / MXN EX.RATE 0.0526316 WITH JPMORGAN	370,282.150	20 Mar 19				0.00	370,282.15	370,282.15	0.00
FX DEAL TRANSACTED ON 28 FEB 2019 EUR / USD EX.RATE 0.8782021 WITH JPMORGAN	-1,552,034.470	28 Feb 19				0.00	-1,552,034.47	-1,552,034.47	0.00
FX DEAL TRANSACTED ON 28 FEB 2019 USD / EUR EX.RATE 1.1414709 WITH JPMORGAN	1,555,824.840	28 Feb 19				0.00	1,555,824.84	1,555,824.84	0.00
Total JPMORGAN CHASE BANK, N.A.							.000.00	33,485.70	33,485.70
<i>STATE STREET BANK AND TRUST CO.</i>									
Cash and Cash Equivalents									
Euro - EUR									
FX DEAL TRANSACTED ON 03 JUN 2019 EUR / USD EX.RATE 0.8926651 WITH STATE STREET	1,469,000.000	3 Jun 19				0.00	1,645,634.03	1,645,500.50	-133.53
FX DEAL TRANSACTED ON 03 JUN 2019 USD / EUR EX.RATE 1.1209604 WITH STATE STREET	-1,469,000.000	3 Jun 19				0.00	-1,646,690.83	-1,662,100.13	-15,409.30
FX DEAL TRANSACTED ON 05 JUN 2019 EUR / USD EX.RATE 0.8879489 WITH STATE STREET	99,000.000	5 Jun 19				0.00	111,492.90	111,493.81	0.91
FX DEAL TRANSACTED ON 05 JUN 2019 USD / EUR EX.RATE 1.1281799 WITH STATE STREET	-99,000.000	5 Jun 19				0.00	-111,689.81	-112,548.15	-858.34
FX DEAL TRANSACTED ON 11 JUN 2019 EUR / USD EX.RATE 0.883347 WITH STATE STREET	1,469,000.000	11 Jun 19				0.00	1,662,993.06	1,662,100.13	-892.93
FX DEAL TRANSACTED ON 11 JUN 2019 USD / EUR EX.RATE 1.1335324 WITH STATE STREET	-1,469,000.000	11 Jun 19				0.00	-1,665,159.10	-1,670,032.69	-4,873.59
FX DEAL TRANSACTED ON 13 JUN 2019 EUR / USD EX.RATE 0.8861876 WITH STATE STREET	1,568,000.000	13 Jun 19				0.00	1,769,377.14	1,782,580.84	13,203.70
FX DEAL TRANSACTED ON 13 JUN 2019 USD / EUR EX.RATE 0.886855 WITH STATE STREET	11,000.000	13 Jun 19				0.00	12,403.38	12,347.50	-55.88

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

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◆ 5% Report - Part B

Series of Non-Security Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
STATE STREET BANK AND TRUST CO.									
FX DEAL TRANSACTED ON 13 JUN 2019 USD / EUR EX.RATE 1.1275968 WITH STATE STREET	-1,568,000.000	13 Jun 19				0.00	-1,768,071.78	-1,753,886.54	14,185.24
Mexican peso - MXN									
FX DEAL TRANSACTED ON 13 JUN 2019 USD / MXN EX.RATE 0.0522189 WITH STATE STREET	-175,361.360	13 Jun 19				0.00	-9,157.17	-9,159.88	-2.71
United States dollar - USD									
FX DEAL TRANSACTED ON 03 JUN 2019 EUR / USD EX.RATE 0.8926651 WITH STATE STREET	-1,645,634.030	3 Jun 19				0.00	-1,645,634.03	-1,645,634.03	0.00
FX DEAL TRANSACTED ON 03 JUN 2019 USD / EUR EX.RATE 1.1209604 WITH STATE STREET	1,646,690.830	3 Jun 19				0.00	1,646,690.83	1,646,690.83	0.00
FX DEAL TRANSACTED ON 05 JUN 2019 EUR / USD EX.RATE 0.8879489 WITH STATE STREET	-111,492.900	5 Jun 19				0.00	-111,492.90	-111,492.90	0.00
FX DEAL TRANSACTED ON 05 JUN 2019 USD / EUR EX.RATE 1.1281799 WITH STATE STREET	111,689.810	5 Jun 19				0.00	111,689.81	111,689.81	0.00
FX DEAL TRANSACTED ON 11 JUN 2019 EUR / USD EX.RATE 0.883347 WITH STATE STREET	-1,662,993.060	11 Jun 19				0.00	-1,662,993.06	-1,662,993.06	0.00
FX DEAL TRANSACTED ON 11 JUN 2019 USD / EUR EX.RATE 1.1335324 WITH STATE STREET	1,665,159.100	11 Jun 19				0.00	1,665,159.10	1,665,159.10	0.00
FX DEAL TRANSACTED ON 13 JUN 2019 EUR / USD EX.RATE 0.8961876 WITH STATE STREET	-1,769,377.140	13 Jun 19				0.00	-1,769,377.14	-1,769,377.14	0.00
FX DEAL TRANSACTED ON 13 JUN 2019 EUR / USD EX.RATE 0.886955 WITH STATE STREET	-12,403.380	13 Jun 19				0.00	-12,403.38	-12,403.38	0.00
FX DEAL TRANSACTED ON 13 JUN 2019 USD / EUR EX.RATE 1.1275968 WITH STATE STREET	1,768,071.780	13 Jun 19				0.00	1,768,071.78	1,768,071.78	0.00
FX DEAL TRANSACTED ON 13 JUN 2019 USD / MXN EX.RATE 0.0522189 WITH STATE STREET	9,157.170	13 Jun 19				0.00	9,157.17	9,157.17	0.00
Total STATE STREET BANK AND TRUST CO.							.000.00	5,163.57	5,163.57

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

5500 Supplemental Schedules

1 JAN 19 - 31 DEC 19

Account number VISTRA
Account Name VISTRA RETIREMENT

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◆ 5% Report - Part C Summary

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Number of Transactions	Transaction Aggregate Acquisition Price Disposition Price	Lease Rental	Expenses Incurred	Cost of Asset	Current Value of Asset on Transaction
8&&US TREAS BDS 4.375 DUE 02-15-2038 REG SEDOL: BD0CMD3	Total acquisitions	11	30,274,568.77		0.00	30,274,568.77
	Total dispositions	11		34,365,001.57	0.00	34,365,001.57
CF AHGT GLOBAL REAL ESTATE FUND CUSIP: 990082216	Total acquisitions	2	19,600,000.00		0.00	19,600,000.00
CF AHGT US LONG CR BD FD CUSIP: 990082232	Total acquisitions	2	164,130,699.66		0.00	164,130,699.66
CF SSGA GLOBAL EQUITY EX USA INDEX NL SF CUSIP: 1099952K5	Total acquisitions	1	21,563,186.19		0.00	21,563,186.19
	Total dispositions	3		1,001,763.56	0.00	965,485.51
CF SSGA MSCI ACWI EX-US NL (ZVG9) FD CUSIP: 114477QW5	Total acquisitions	1	20,800,000.00		0.00	20,800,000.00
	Total dispositions	2		21,563,186.19	0.00	20,800,000.00
CF SSGA RUSSELL LARGE CAP INDEX SF CUSIP: 119994A19	Total acquisitions	1	18,570,136.40		0.00	18,570,136.40
	Total dispositions	2		517.77	0.00	478.75
CF SSGA RUSSELL 1000 (R) INDX NL FUND (C MCO) CUSIP: 1B99WERT0	Total acquisitions	1	17,900,000.00		0.00	17,900,000.00
	Total dispositions	1		18,570,136.40	0.00	17,900,000.00
CF SSGA U.S.LONG GOVT BOND INDX SF CUSIP: 4D99963H8	Total acquisitions	2	23,300,000.00		0.00	23,300,000.00
	Total dispositions	2		1,349.08	0.00	1,300.97
MFO PIMCO SHORT TERM FLOATING NAV II CUSIP: 72201P613	Total acquisitions	45	9,904,278.35		0.00	9,904,278.35
	Total dispositions	35		11,281,843.33	0.00	11,281,834.70
NTGI COLTV GOVT STIF REGI STERED CUSIP: 195998BD0	Total acquisitions	41	119,180,844.08		0.00	119,180,844.08
	Total dispositions	64		115,788,024.74	0.00	115,788,024.74
UNITED STATES OF AMER TREAS BOND 3.125% 11-15-2028 SEDOL: BGRW840	Total acquisitions	24	11,528,331.15		0.00	11,528,027.33

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

Northern Trust

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

5500 Supplemental Schedules

1 JAN 19 - 31 DEC 19

Account number VISTRA
Account Name VISTRA RETIREMENT

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◆ 5% Report - Part C Summary

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Number of Transactions	Transaction Aggregate Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost of Asset	Current Value of Asset on Transaction
	Total dispositions	28	11,573,240.01		0.00	11,562,677.33	11,573,240.01
UNITED STATES T-BOND 2.875% 05-15-2049 SEDOL: BK1WFFY3	Total acquisitions	17	17,540,525.68		0.00	17,540,525.68	17,540,525.68
	Total dispositions	9	13,777,772.50		0.00	13,622,596.15	13,777,772.50
UNITED STATES TREAS BDS DTD 00206 4.5% DUE 05-15-2038 REG SEDOL: BSTJVV1	Total acquisitions	4	12,823,271.60		0.00	12,823,271.60	12,823,271.60
	Total dispositions	5	21,029,604.49		0.00	20,267,502.49	21,029,604.49
UNITED STATES TREAS BDS TBOND FIXED 3% 02-15-2049 SEDOL: BJ7G9G2	Total acquisitions	119	274,498,457.91		0.00	274,498,457.91	274,498,457.91
	Total dispositions	72	266,237,349.90		0.00	265,575,367.44	266,237,349.90
UNITED STATES TREAS BDS 3.0% DUE 08-15-2048 SEDOL: BFZLQN7	Total acquisitions	111	85,653,171.54		0.00	85,653,171.54	85,653,171.54
	Total dispositions	112	89,272,671.73		0.00	89,073,263.24	89,272,671.73
UNITED STATES TREAS NTS 2.625% DUE 02-15-2029 REG SEDOL: BJ7G9F1	Total acquisitions	67	22,023,660.24		0.00	22,023,442.74	22,023,660.24
	Total dispositions	41	22,063,035.47		0.00	22,023,442.74	22,063,035.47
UNITED STATES TREAS 2.25% DUE 02-15-2027 REG SEDOL: BYPG9T2	Total acquisitions	10	41,582,061.13		0.00	41,582,061.13	41,582,061.13
	Total dispositions	14	42,286,105.18		0.00	41,809,924.92	42,286,105.18
US TREASURY N/B 2.375% 05-15-2029 SEDOL: BK1WFFV0	Total acquisitions	38	21,976,687.63		0.00	21,976,687.63	21,976,687.63
	Total dispositions	19	21,088,848.05		0.00	21,020,945.79	21,088,848.05
WI TREASURY SEC 3.375% DUE 11-15-2048 REG SEDOL: BGRW851	Total acquisitions	179	86,236,113.79		0.00	86,236,113.79	86,236,113.79
	Total dispositions	173	86,419,369.76		0.00	86,236,113.79	86,419,369.76

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

**SCHEDULE H, PART IV, LINE 4j
SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS
YEAR ENDED DECEMBER 31, 2019*****5500 Supplemental Schedules***

1 JAN 19 - 31 DEC 19

Account number VISTRA
Account Name VISTRA RETIREMENT

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◆ **5% Report - Part D***Series of Transactions with Same Party in Excess of 5%*

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
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THERE ARE NO REPORTABLE TRANSACTIONS

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

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Schedule SB Attachment (Form 5500)—2019 Plan Year
 Vistra Energy Retirement Plan
 EIN: 36-4833461 PN: 002

Schedule SB, line 26—Schedule of Active Participant Data as of January 1, 2019

Attained Age	Number of Participants									
	Years of Credited Service									
	<1	1-4	5-9	10-14	15-19	20-24	25-29	30-34	35-39	40+
<25										
25-29										
30-34				5						
35-39				7	2					
40-44				16	5					
45-49				8	6	3				
50-54				13	9	12	4	5		
55-59				10	23	14	9	129		
60-64				10	12	7	2	23	106	
65-69				3	3	2	1	3	12	1
70+									2	

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Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Schedule SB, Part V—Statement of Actuarial Assumptions/Methods

Interest Rates for Minimum Funding Purposes	Based on segment rates with a four-month lookback (as of September 2018), each adjusted as needed to fall within the 25-year average interest rate stabilization corridor.
1st Segment Rate	3.74%.
2nd Segment Rate	5.35%.
3rd Segment Rate	6.11%.
Interest Rates for Maximum Tax Purposes	Based on segment rates with a four-month lookback (as of September 2018), without regard to interest rate stabilization.
1st Segment Rate	2.28%.
2nd Segment Rate	3.81%.
3rd Segment Rate	4.46%.
Pay Increase Rates	See Table A.
Cash Balance Interest Crediting Rate	3.50%.
Mortality Rates	2019 static mortality table for annuitants and non-annuitants per §1.430(h)(3)-1(e).
Retirement Rates	See Table B.
Withdrawal Rates	See Table C.
Disability Rates	See Table D.
Payment Form Traditional Participants	85% Joint and 100% survivor annuity. 15% Single life annuity.
Cash Balance Participants	100% Lump sum.

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Benefit Commencement Age

Active Participants

Retirement Eligible

Immediate.

Not Retirement Eligible

Traditional Participants

Age 61 if at least 15 years of service, otherwise 65.

Cash Balance Participants

Once the 10-year service requirement is or would have been met.

LTD Participants

Age 65.

Terminated Vested Participants

Traditional Participants

Age 61 if at least 15 years of service; otherwise 65.

Cash Balance Participants

Age 60 or, if later, once the 10-year service requirement is or would have been met.

Decrement Timing

Beginning of year decrements.

Surviving Spouse Benefit

80% of males and 80% of females have an eligible spouse; males are three years older than their spouses.

Benefit and Compensation Limits

Projected benefits and compensation are limited by the current IRC section 415 maximum benefit of \$225,000 and the IRC section 401(a)(17) compensation limit of \$280,000.

Valuation of Plan Assets

Fair market value.

Trust Expenses Included in Target Normal Cost

\$1,503,833. Expenses are equal to the actual prior year administrative expenses plus current year PBGC premiums.

Actuarial Method

Standard unit credit cost method.

Valuation Date

January 1, 2019.

Schedule SB Attachment (Form 5500)—2019 Plan Year
 Vistra Energy Retirement Plan
 EIN: 36-4833461 PN: 002

Table A

Pay Increase Rates

Age	Traditional Participants	Cash Balance Participants	Age	Traditional Participants	Cash Balance Participants
20	3.00%	9.50%	45	3.00%	5.70%
21	3.00%	9.50%	46	3.00%	5.60%
22	3.00%	9.50%	47	3.00%	5.50%
23	3.00%	18.30%	48	3.00%	5.50%
24	3.00%	16.60%	49	3.00%	5.50%
25	3.00%	9.50%	50	3.00%	5.50%
26	3.00%	9.50%	51	3.00%	5.50%
27	3.00%	9.50%	52	3.00%	5.50%
28	3.00%	9.50%	53	3.00%	5.30%
29	3.00%	9.50%	54	3.00%	5.10%
30	3.00%	9.50%	55	3.00%	4.90%
31	3.00%	9.50%	56	3.00%	4.70%
32	3.00%	9.50%	57	3.00%	4.50%
33	3.00%	8.90%	58	3.00%	4.40%
34	3.00%	8.30%	59	3.00%	4.30%
35	3.00%	7.70%	60	3.00%	4.20%
36	3.00%	7.10%	61	3.00%	4.10%
37	3.00%	6.50%	62	3.00%	4.00%
38	3.00%	6.40%	63	3.00%	4.00%
39	3.00%	6.30%	64	3.00%	4.00%
40	3.00%	6.20%	65+	3.00%	4.00%
41	3.00%	6.10%			
42	3.00%	6.00%			
43	3.00%	5.90%			
44	3.00%	5.80%			

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Table B

Retirement Rates

Age	Rate
55	10.00%
56	5.00%
57	6.00%
58	8.00%
59	12.00%
60	13.00%
61	15.00%
62	35.00%
63	20.00%
64	25.00%
65	35.00%
66	35.00%
67	35.00%
68	35.00%
69	35.00%
70+	100.00%

Schedule SB Attachment (Form 5500)—2019 Plan Year
 Vistra Energy Retirement Plan
 EIN: 36-4833461 PN: 002

Table C

Withdrawal Rates

Age	Rate	Age	Rate
15	7.20%	40	4.90%
16	7.20%	41	4.70%
17	7.20%	42	4.50%
18	7.20%	43	4.20%
19	7.20%	44	3.90%
20	7.20%	45	3.60%
21	7.10%	46	3.30%
22	7.00%	47	3.00%
23	6.90%	48	2.90%
24	6.80%	49	2.80%
25	6.70%	50	2.70%
26	6.60%	51	2.60%
27	6.50%	52	2.50%
28	6.40%	53	2.30%
29	6.30%	54	2.10%
30	6.20%	55	1.90%
31	6.10%	56	1.70%
32	6.00%	57	1.50%
33	5.90%	58	1.20%
34	5.80%	59	0.90%
35	5.70%	60	0.60%
36	5.60%	61	0.03%
37	5.50%	62	0.00%
38	5.30%	63	0.00%
39	5.10%	64	0.00%
		65+	0.00%

Schedule SB Attachment (Form 5500)—2019 Plan Year
 Vistra Energy Retirement Plan
 EIN: 36-4833461 PN: 002

Table D

Disability Rates

Age	Rate	Age	Rate
15	0.0000%	40	0.1821%
16	0.0000%	41	0.1974%
17	0.0000%	42	0.2129%
18	0.0000%	43	0.2302%
19	0.0000%	44	0.2512%
20	0.0200%	45	0.2773%
21	0.0210%	46	0.3071%
22	0.0220%	47	0.3380%
23	0.0250%	48	0.3737%
24	0.0285%	49	0.4202%
25	0.0325%	50	0.4758%
26	0.0370%	51	0.5370%
27	0.0420%	52	0.6080%
28	0.0486%	53	0.6868%
29	0.0567%	54	0.7759%
30	0.0658%	55	0.8700%
31	0.0756%	56	0.9672%
32	0.0858%	57	1.0700%
33	0.0965%	58	1.1693%
34	0.1076%	59	1.2500%
35	0.1190%	60	1.3750%
36	0.1305%	61	1.6570%
37	0.1420%	62	1.7820%
38	0.1541%	63	1.8400%
39	0.1673%	64	1.8620%
		65	1.8750%
		66+	0.0000%

VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

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◆ 5% Report - Part A

Single Transaction in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
International Region - USD									
CF SSGA MSCI ACWI EX-US NL (ZVG9) FD CUSIP: 114477QW5	1,004,086.530	6 Jun 19	20.7130			0.00	20,800,000.00	20,800,000.00	0.00
CF SSGA MSCI ACWI EX-US NL (ZVG9) FD CUSIP: 114477QW5	-977,862.550	21 Jun 19		21.4760		0.00	20,256,761.18	21,000,000.00	743,238.82
MFB NT COLLECTIVE MSCI ACWI EX-US INDEX FUND-NON LENDING CUSIP: 003999323	-144,928.330	6 Jun 19		163.9470		0.00	18,561,395.22	23,760,567.05	5,199,171.83
United States - USD									
CF AHGT GLOBAL REAL ESTATE FUND CUSIP: 990082216	897,289.460	24 Jun 19	0.0000			0.00	19,100,000.00	19,100,000.00	0.00
CF AHGT HIGH YIELD BOND PLUS FUND CUSIP: 990082208	861,955.350	24 Jun 19	16.3580			0.00	14,100,000.00	14,100,000.00	0.00
CF AHGT US LONG CR BD FD CUSIP: 990082232	8,058,689.120	21 Jun 19	20.2210			0.00	162,955,332.89	162,955,332.89	0.00
CF SSGA GLOBAL EQUITY EX USA INDEX NL SF CUSIP: 1099952K5	2,013,369.390	21 Jun 19	10.7100			0.00	21,563,186.19	21,563,186.19	0.00
CF SSGA RUSSELL LARGE CAP INDEX SF CUSIP: 119994A19	544,211.720	21 Jun 19	34.1230			0.00	18,570,136.40	18,570,136.40	0.00
CF SSGA RUSSELL 1000 (R) INDX NL FUND (C MCQ) CUSIP: 1B99WERT0	436,851.890	6 Jun 19	40.9730			0.00	17,900,000.00	17,900,000.00	0.00
CF SSGA RUSSELL 1000 (R) INDX NL FUND (C MCQ) CUSIP: 1B99WERT0	-436,851.890	21 Jun 19		42.5090		0.00	17,900,000.00	18,570,136.40	670,136.40
CF SSGA U.S.LONG GOVT BOND INDX SF CUSIP: 4D99963H8	1,157,008.020	20 Jun 19	19.7060			0.00	22,800,000.00	22,800,000.00	0.00
MFB NT COLLECTIVE S&P500 INDEX FUND-NON LENDING CUSIP: 658991310	-2,445.310	6 Jun 19		10,016.7120		0.00	12,823,095.65	24,494,066.19	11,670,970.54

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

Northern Trust

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

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Account number VISTRA
Account Name VISTRA RETIREMENT

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◆ 5% Report - Part A

Single Transaction in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
NTGI COLTV GOVT STIF REGI STERED CUSIP: 195998BD0	32,001,557.170	19 Jun 19	1.0000			0.00	32,001,557.17	32,001,557.17	0.00
NTGI COLTV GOVT STIF REGI STERED CUSIP: 195998BD0	53,000,000.000	20 Jun 19	1.0000			0.00	53,000,000.00	53,000,000.00	0.00
NTGI COLTV GOVT STIF REGI STERED CUSIP: 195998BD0	-26,791,043.300	21 Jun 19		1.0000		0.00	26,791,043.30	26,791,043.30	0.00
NTGI COLTV GOVT STIF REGI STERED CUSIP: 195998BD0	-44,200,000.000	25 Jun 19		1.0000		0.00	44,200,000.00	44,200,000.00	0.00

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

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Account Name VISTRA RETIREMENT

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◆ 5% Report - Part B

Series of Non-Security Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
BANK OF AMERICA, N.A									
Cash and Cash Equivalents									
Argentine peso - ARS									
FX DEAL TRANSACTED ON 14 JAN 2019 USD / ARS EX.RATE 0.0269336 WITH BANK OF	-4,980,734.790	14 Jan 19				0.00	-134,149.28	-134,287.81	-138.53
FX DEAL TRANSACTED ON 15 APR 2019 ARS / USD EX.RATE 44.0 WITH BANK OF AMERICA,	4,136,000.000	15 Apr 19				0.00	94,000.00	93,332.57	-667.43
FX DEAL TRANSACTED ON 29 MAY 2019 USD / ARS EX.RATE 0.022488 WITH BANK OF	-4,136,000.000	29 May 19				0.00	-93,010.50	-93,332.57	-322.07
Euro - EUR									
FX DEAL TRANSACTED ON 01 FEB 2019 EUR / USD EX.RATE 0.8727722 WITH BANK OF	23,000.000	1 Feb 19				0.00	26,352.81	26,376.40	23.59
FX DEAL TRANSACTED ON 20 MAY 2019 EUR / USD EX.RATE 0.8949122 WITH BANK OF	115,000.000	20 May 19				0.00	128,504.22	128,817.26	313.04
FX DEAL TRANSACTED ON 20 MAY 2019 USD / EUR EX.RATE 1.1161292 WITH BANK OF	-115,000.000	20 May 19				0.00	-128,354.86	-128,397.51	-42.65
FX DEAL TRANSACTED ON 25 MAR 2019 EUR / USD EX.RATE 0.8840678 WITH BANK OF	15,000.000	25 Mar 19				0.00	16,967.03	16,983.75	16.72
FX DEAL TRANSACTED ON 28 MAR 2019 EUR / USD EX.RATE 0.8895216 WITH BANK OF	1,380,000.000	28 Mar 19				0.00	1,553,141.70	1,548,498.12	-4,643.58
FX DEAL TRANSACTED ON 28 MAR 2019 USD / EUR EX.RATE 1.128389 WITH BANK OF	-1,380,000.000	28 Mar 19				0.00	-1,557,176.82	-1,543,323.09	13,853.73
FX DEAL TRANSACTED ON 31 JAN 2019 EUR / USD EX.RATE 0.8699435 WITH BANK OF	1,363,000.000	31 Jan 19				0.00	1,566,768.50	1,563,088.45	-3,680.05
FX DEAL TRANSACTED ON 31 JAN 2019 USD / EUR EX.RATE 1.15221 WITH BANK OF	-1,363,000.000	31 Jan 19				0.00	-1,570,462.23	-1,552,116.38	18,345.85

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

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Account Name VISTRA RETIREMENT

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◆ 5% Report - Part B

Series of Non-Security Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
BANK OF AMERICA, N.A									
New Taiwan dollar - TWD									
FX DEAL TRANSACTED ON 14 JUN 2019 TWD / USD EX.RATE 31.3914 WITH BANK OF	3,845,000.000	14 Jun 19				0.00	122,485.78	123,337.99	852.21
FX DEAL TRANSACTED ON 14 JUN 2019 USD / TWD EX.RATE 0.03173 WITH BANK OF	-3,845,000.000	14 Jun 19				0.00	-122,001.91	-121,972.50	29.41
FX DEAL TRANSACTED ON 21 MAY 2019 TWD / USD EX.RATE 31.5 WITH BANK OF AMERICA,	3,845,000.000	21 May 19				0.00	122,063.49	121,972.50	-90.99
FX DEAL TRANSACTED ON 21 MAY 2019 USD / TWD EX.RATE 0.0318857 WITH BANK OF	-3,845,000.000	21 May 19				0.00	-122,600.60	-123,337.99	-737.39
United States dollar - USD									
FX DEAL TRANSACTED ON 01 FEB 2019 EUR / USD EX.RATE 0.8727722 WITH BANK OF	-26,352.810	1 Feb 19				0.00	-26,352.81	-26,352.81	0.00
FX DEAL TRANSACTED ON 14 JAN 2019 USD / ARS EX.RATE 0.0269336 WITH BANK OF	134,149.280	14 Jan 19				0.00	134,149.28	134,149.28	0.00
FX DEAL TRANSACTED ON 14 JUN 2019 TWD / USD EX.RATE 31.3914 WITH BANK OF	-122,485.780	14 Jun 19				0.00	-122,485.78	-122,485.78	0.00
FX DEAL TRANSACTED ON 14 JUN 2019 USD / TWD EX.RATE 0.03173 WITH BANK OF	122,001.910	14 Jun 19				0.00	122,001.91	122,001.91	0.00
FX DEAL TRANSACTED ON 15 APR 2019 ARS / USD EX.RATE 44.0 WITH BANK OF AMERICA,	-94,000.000	15 Apr 19				0.00	-94,000.00	-94,000.00	0.00
FX DEAL TRANSACTED ON 20 MAY 2019 EUR / USD EX.RATE 0.8949122 WITH BANK OF	-128,504.220	20 May 19				0.00	-128,504.22	-128,504.22	0.00
FX DEAL TRANSACTED ON 20 MAY 2019 USD / EUR EX.RATE 1.1161292 WITH BANK OF	128,354.860	20 May 19				0.00	128,354.86	128,354.86	0.00
FX DEAL TRANSACTED ON 21 MAY 2019 TWD / USD EX.RATE 31.5 WITH BANK OF AMERICA,	-122,063.490	21 May 19				0.00	-122,063.49	-122,063.49	0.00
FX DEAL TRANSACTED ON 21 MAY 2019 USD / TWD EX.RATE 0.0318857 WITH BANK OF	122,600.600	21 May 19				0.00	122,600.60	122,600.60	0.00

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

Northern Trust

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

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Account Name VISTRA RETIREMENT

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◆ 5% Report - Part B

Series of Non-Security Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
BANK OF AMERICA, N.A									
FX DEAL TRANSACTED ON 25 MAR 2019 EUR / USD EX.RATE 0.8840678 WITH BANK OF	-16,967.030	25 Mar 19				0.00	-16,967.03	-16,967.03	0.00
FX DEAL TRANSACTED ON 28 MAR 2019 EUR / USD EX.RATE 0.8885216 WITH BANK OF	-1,553,141.700	28 Mar 19				0.00	-1,553,141.70	-1,553,141.70	0.00
FX DEAL TRANSACTED ON 28 MAR 2019 USD / EUR EX.RATE 1.128389 WITH BANK OF	1,557,176.820	28 Mar 19				0.00	1,557,176.82	1,557,176.82	0.00
FX DEAL TRANSACTED ON 29 MAY 2019 USD / ARS EX.RATE 0.022488 WITH BANK OF	93,010.500	29 May 19				0.00	93,010.50	93,010.50	0.00
FX DEAL TRANSACTED ON 31 JAN 2019 EUR / USD EX.RATE 0.8699435 WITH BANK OF	-1,566,768.500	31 Jan 19				0.00	-1,566,768.50	-1,566,768.50	0.00
FX DEAL TRANSACTED ON 31 JAN 2019 USD / EUR EX.RATE 1.15221 WITH BANK OF	1,570,462.230	31 Jan 19				0.00	1,570,462.23	1,570,462.23	0.00
Total BANK OF AMERICA, N.A							.000.00	23,111.86	23,111.86

JPMORGAN CHASE BANK, N.A.

Cash and Cash Equivalents

Euro - EUR

FX DEAL TRANSACTED ON 07 JAN 2019 EUR / USD EX.RATE 0.8723786 WITH JPMORGAN	1,363,000.000	7 Jan 19				0.00	1,562,395.04	1,570,380.53	7,985.49
FX DEAL TRANSACTED ON 07 JAN 2019 USD / EUR EX.RATE 1.1485994 WITH JPMORGAN	-1,363,000.000	7 Jan 19				0.00	-1,565,540.98	-1,563,088.45	2,452.53
FX DEAL TRANSACTED ON 10 APR 2019 EUR / USD EX.RATE 0.8870165 WITH JPMORGAN	193,000.000	10 Apr 19				0.00	217,583.32	217,144.32	-439.00
FX DEAL TRANSACTED ON 10 APR 2019 USD / EUR EX.RATE 1.1294765 WITH JPMORGAN	-193,000.000	10 Apr 19				0.00	-217,988.96	-215,841.56	2,147.40
FX DEAL TRANSACTED ON 14 MAR 2019 EUR / USD EX.RATE 0.8848677 WITH JPMORGAN	17,000.000	14 Mar 19				0.00	19,211.91	19,217.65	5.74

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

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◆ 5% Report - Part B

Series of Non-Security Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
JPMORGAN CHASE BANK, N.A.									
FX DEAL TRANSACTED ON 14 MAR 2019 USD / EUR EX.RATE 1.1318549 WITH JPMORGAN	-17,000.000	14 Mar 19				0.00	-19,241.53	-19,075.70	165.83
FX DEAL TRANSACTED ON 28 FEB 2019 EUR / USD EX.RATE 0.8782021 WITH JPMORGAN	1,363,000.000	28 Feb 19				0.00	1,552,034.47	1,552,116.38	81.91
FX DEAL TRANSACTED ON 28 FEB 2019 USD / EUR EX.RATE 1.1414709 WITH JPMORGAN	-1,363,000.000	28 Feb 19				0.00	-1,555,824.84	-1,529,422.42	26,402.42
Mexican peso - MXN									
FX DEAL TRANSACTED ON 20 MAR 2019 MXN / USD EX.RATE 19.0897 WITH JPMORGAN CHASE	7,035,360.760	20 Mar 19				0.00	368,542.24	367,712.99	-829.25
FX DEAL TRANSACTED ON 20 MAR 2019 USD / MXN EX.RATE 0.0526316 WITH JPMORGAN	-7,035,360.760	20 Mar 19				0.00	-370,282.15	-374,769.52	-4,487.37
United States dollar - USD									
FX DEAL TRANSACTED ON 07 JAN 2019 EUR / USD EX.RATE 0.8723786 WITH JPMORGAN	-1,562,395.040	7 Jan 19				0.00	-1,562,395.04	-1,562,395.04	0.00
FX DEAL TRANSACTED ON 07 JAN 2019 USD / EUR EX.RATE 1.1495994 WITH JPMORGAN	1,565,540.980	7 Jan 19				0.00	1,565,540.98	1,565,540.98	0.00
FX DEAL TRANSACTED ON 10 APR 2019 EUR / USD EX.RATE 0.8870165 WITH JPMORGAN	-217,583.320	10 Apr 19				0.00	-217,583.32	-217,583.32	0.00
FX DEAL TRANSACTED ON 10 APR 2019 USD / EUR EX.RATE 1.1294765 WITH JPMORGAN	217,988.960	10 Apr 19				0.00	217,988.96	217,988.96	0.00
FX DEAL TRANSACTED ON 14 MAR 2019 EUR / USD EX.RATE 0.8849677 WITH JPMORGAN	-19,211.910	14 Mar 19				0.00	-19,211.91	-19,211.91	0.00
FX DEAL TRANSACTED ON 14 MAR 2019 USD / EUR EX.RATE 1.1318549 WITH JPMORGAN	19,241.530	14 Mar 19				0.00	19,241.53	19,241.53	0.00
FX DEAL TRANSACTED ON 20 MAR 2019 MXN / USD EX.RATE 19.0897 WITH JPMORGAN CHASE	-368,542.240	20 Mar 19				0.00	-368,542.24	-368,542.24	0.00

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

Northern Trust

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

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◆ 5% Report - Part B

Series of Non-Security Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
<i>JPMORGAN CHASE BANK, N.A.</i>									
FX DEAL TRANSACTED ON 20 MAR 2019 USD / MXN EX.RATE 0.0526316 WITH JPMORGAN	370,282.150	20 Mar 19				0.00	370,282.15	370,282.15	0.00
FX DEAL TRANSACTED ON 28 FEB 2019 EUR / USD EX.RATE 0.8782021 WITH JPMORGAN	-1,552,034.470	28 Feb 19				0.00	-1,552,034.47	-1,552,034.47	0.00
FX DEAL TRANSACTED ON 28 FEB 2019 USD / EUR EX.RATE 1.1414709 WITH JPMORGAN	1,555,824.840	28 Feb 19				0.00	1,555,824.84	1,555,824.84	0.00
Total JPMORGAN CHASE BANK, N.A.							.000.00	33,485.70	33,485.70
<i>STATE STREET BANK AND TRUST CO.</i>									
Cash and Cash Equivalents									
Euro - EUR									
FX DEAL TRANSACTED ON 03 JUN 2019 EUR / USD EX.RATE 0.8926651 WITH STATE STREET	1,469,000.000	3 Jun 19				0.00	1,645,634.03	1,645,500.50	-133.53
FX DEAL TRANSACTED ON 03 JUN 2019 USD / EUR EX.RATE 1.1209604 WITH STATE STREET	-1,469,000.000	3 Jun 19				0.00	-1,646,690.83	-1,662,100.13	-15,409.30
FX DEAL TRANSACTED ON 05 JUN 2019 EUR / USD EX.RATE 0.8879489 WITH STATE STREET	99,000.000	5 Jun 19				0.00	111,492.90	111,493.81	0.91
FX DEAL TRANSACTED ON 05 JUN 2019 USD / EUR EX.RATE 1.1281799 WITH STATE STREET	-99,000.000	5 Jun 19				0.00	-111,689.81	-112,548.15	-858.34
FX DEAL TRANSACTED ON 11 JUN 2019 EUR / USD EX.RATE 0.883347 WITH STATE STREET	1,469,000.000	11 Jun 19				0.00	1,662,993.06	1,662,100.13	-892.93
FX DEAL TRANSACTED ON 11 JUN 2019 USD / EUR EX.RATE 1.1335324 WITH STATE STREET	-1,469,000.000	11 Jun 19				0.00	-1,665,159.10	-1,670,032.69	-4,873.59
FX DEAL TRANSACTED ON 13 JUN 2019 EUR / USD EX.RATE 0.8861876 WITH STATE STREET	1,568,000.000	13 Jun 19				0.00	1,769,377.14	1,782,580.84	13,203.70
FX DEAL TRANSACTED ON 13 JUN 2019 USD / EUR EX.RATE 0.886855 WITH STATE STREET	11,000.000	13 Jun 19				0.00	12,403.38	12,347.50	-55.88

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

Northern Trust

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

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◆ 5% Report - Part B

Series of Non-Security Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
STATE STREET BANK AND TRUST CO.									
FX DEAL TRANSACTED ON 13 JUN 2019 USD / EUR EX.RATE 1.1275968 WITH STATE STREET	-1,568,000.000	13 Jun 19				0.00	-1,768,071.78	-1,753,886.54	14,185.24
Mexican peso - MXN									
FX DEAL TRANSACTED ON 13 JUN 2019 USD / MXN EX.RATE 0.0522189 WITH STATE STREET	-175,361.360	13 Jun 19				0.00	-9,157.17	-9,159.88	-2.71
United States dollar - USD									
FX DEAL TRANSACTED ON 03 JUN 2019 EUR / USD EX.RATE 0.8926651 WITH STATE STREET	-1,645,634.030	3 Jun 19				0.00	-1,645,634.03	-1,645,634.03	0.00
FX DEAL TRANSACTED ON 03 JUN 2019 USD / EUR EX.RATE 1.1209604 WITH STATE STREET	1,646,690.830	3 Jun 19				0.00	1,646,690.83	1,646,690.83	0.00
FX DEAL TRANSACTED ON 05 JUN 2019 EUR / USD EX.RATE 0.8879489 WITH STATE STREET	-111,492.900	5 Jun 19				0.00	-111,492.90	-111,492.90	0.00
FX DEAL TRANSACTED ON 05 JUN 2019 USD / EUR EX.RATE 1.1281799 WITH STATE STREET	111,689.810	5 Jun 19				0.00	111,689.81	111,689.81	0.00
FX DEAL TRANSACTED ON 11 JUN 2019 EUR / USD EX.RATE 0.883347 WITH STATE STREET	-1,662,993.060	11 Jun 19				0.00	-1,662,993.06	-1,662,993.06	0.00
FX DEAL TRANSACTED ON 11 JUN 2019 USD / EUR EX.RATE 1.1335324 WITH STATE STREET	1,665,159.100	11 Jun 19				0.00	1,665,159.10	1,665,159.10	0.00
FX DEAL TRANSACTED ON 13 JUN 2019 EUR / USD EX.RATE 0.8961876 WITH STATE STREET	-1,769,377.140	13 Jun 19				0.00	-1,769,377.14	-1,769,377.14	0.00
FX DEAL TRANSACTED ON 13 JUN 2019 EUR / USD EX.RATE 0.896955 WITH STATE STREET	-12,403.380	13 Jun 19				0.00	-12,403.38	-12,403.38	0.00
FX DEAL TRANSACTED ON 13 JUN 2019 USD / EUR EX.RATE 1.1275968 WITH STATE STREET	1,768,071.780	13 Jun 19				0.00	1,768,071.78	1,768,071.78	0.00
FX DEAL TRANSACTED ON 13 JUN 2019 USD / MXN EX.RATE 0.0522189 WITH STATE STREET	9,157.170	13 Jun 19				0.00	9,157.17	9,157.17	0.00
Total STATE STREET BANK AND TRUST CO.							.000.00	5,163.57	5,163.57

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

Northern Trust

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

5500 Supplemental Schedules

1 JAN 19 - 31 DEC 19

Account number VISTRA
Account Name VISTRA RETIREMENT

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◆ 5% Report - Part C Summary

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Number of Transactions	Transaction Aggregate Acquisition Price Disposition Price	Lease Rental	Expenses Incurred	Cost of Asset	Current Value of Asset on Transaction
8&&US TREAS BDS 4.375 DUE 02-15-2038 REG SEDOL: BD0CMD3	Total acquisitions	11	30,274,568.77		0.00	30,274,568.77
	Total dispositions	11	34,365,001.57		0.00	34,365,001.57
CF AHGT GLOBAL REAL ESTATE FUND CUSIP: 990082216	Total acquisitions	2	19,600,000.00		0.00	19,600,000.00
CF AHGT US LONG CR BD FD CUSIP: 990082232	Total acquisitions	2	164,130,699.66		0.00	164,130,699.66
CF SSGA GLOBAL EQUITY EX USA INDEX NL SF CUSIP: 1099952K5	Total acquisitions	1	21,563,186.19		0.00	21,563,186.19
	Total dispositions	3	1,001,763.56		0.00	965,485.51
CF SSGA MSCI ACWI EX-US NL (ZVG9) FD CUSIP: 114477QW5	Total acquisitions	1	20,800,000.00		0.00	20,800,000.00
	Total dispositions	2	21,563,186.19		0.00	20,800,000.00
CF SSGA RUSSELL LARGE CAP INDEX SF CUSIP: 119994A19	Total acquisitions	1	18,570,136.40		0.00	18,570,136.40
	Total dispositions	2	517.77		0.00	478.75
CF SSGA RUSSELL 1000 (R) INDX NL FUND (C MCO) CUSIP: 1B99WERT0	Total acquisitions	1	17,900,000.00		0.00	17,900,000.00
	Total dispositions	1	18,570,136.40		0.00	17,900,000.00
CF SSGA U.S.LONG GOVT BOND INDX SF CUSIP: 4D99963H8	Total acquisitions	2	23,300,000.00		0.00	23,300,000.00
	Total dispositions	2	1,349.08		0.00	1,300.97
MFO PIMCO SHORT TERM FLOATING NAV II CUSIP: 72201P613	Total acquisitions	45	9,904,278.35		0.00	9,904,278.35
	Total dispositions	35	11,281,843.33		0.00	11,281,834.70
NTGI COLTV GOVT STIF REGI STERED CUSIP: 195998BD0	Total acquisitions	41	119,180,844.08		0.00	119,180,844.08
	Total dispositions	64	115,788,024.74		0.00	115,788,024.74
UNITED STATES OF AMER TREAS BOND 3.125% 11-15-2028 SEDOL: BGRW840	Total acquisitions	24	11,528,331.15		0.00	11,528,027.33

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

Northern Trust

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4j SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS YEAR ENDED DECEMBER 31, 2019

5500 Supplemental Schedules

1 JAN 19 - 31 DEC 19

Account number VISTRA
Account Name VISTRA RETIREMENT

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◆ 5% Report - Part C Summary

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Number of Transactions	Transaction Aggregate		Lease Rental	Expenses Incurred	Cost of Asset	Current Value of Asset on Transaction
		Acquisition Price	Disposition Price				
UNITED STATES T-BOND 2.875% 05-15-2049 SEDOL: BK1WFFY3	Total dispositions		11,573,240.01		0.00	11,562,677.33	11,573,240.01
	Total acquisitions	17,540,525.68			0.00	17,540,525.68	17,540,525.68
UNITED STATES TREAS BDS DTD 00206 4.5% DUE 05-15-2038 REG SEDOL: BSTJVV1	Total dispositions		13,777,772.50		0.00	13,622,596.15	13,777,772.50
	Total acquisitions	12,823,271.60			0.00	12,823,271.60	12,823,271.60
UNITED STATES TREAS BDS TBOND FIXED 3% 02-15-2049 SEDOL: BJ7G9G2	Total dispositions		21,029,604.49		0.00	20,267,502.49	21,029,604.49
	Total acquisitions	274,498,457.91			0.00	274,498,457.91	274,498,457.91
UNITED STATES TREAS BDS 3.0% DUE 08-15-2048 SEDOL: BFZLQN7	Total dispositions		266,237,349.90		0.00	265,575,367.44	266,237,349.90
	Total acquisitions	85,653,171.54			0.00	85,653,171.54	85,653,171.54
UNITED STATES TREAS NTS 2.625% DUE 02-15-2029 REG SEDOL: BJ7G9F1	Total dispositions		89,272,671.73		0.00	89,073,263.24	89,272,671.73
	Total acquisitions	22,023,660.24			0.00	22,023,442.74	22,023,660.24
UNITED STATES TREAS 2.25% DUE 02-15-2027 REG SEDOL: BYPG9T2	Total dispositions		22,063,035.47		0.00	22,023,442.74	22,063,035.47
	Total acquisitions	41,582,061.13			0.00	41,582,061.13	41,582,061.13
US TREASURY N/B 2.375% 05-15-2029 SEDOL: BK1WFFV0	Total dispositions		42,286,105.18		0.00	41,809,924.92	42,286,105.18
	Total acquisitions	21,976,687.63			0.00	21,976,687.63	21,976,687.63
WI TREASURY SEC 3.375% DUE 11-15-2048 REG SEDOL: BGRW851	Total dispositions		21,088,848.05		0.00	21,020,945.79	21,088,848.05
	Total acquisitions	86,236,113.79			0.00	86,236,113.79	86,236,113.79
	Total dispositions		86,419,369.76		0.00	86,236,113.79	86,419,369.76

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

Northern Trust

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VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

**SCHEDULE H, PART IV, LINE 4j
SUPPLEMENTAL SCHEDULE OF REPORTABLE TRANSACTIONS
YEAR ENDED DECEMBER 31, 2019*****5500 Supplemental Schedules***

1 JAN 19 - 31 DEC 19

Account number VISTRA
Account Name VISTRA RETIREMENT

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◆ **5% Report - Part D***Series of Transactions with Same Party in Excess of 5%*

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
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THERE ARE NO REPORTABLE TRANSACTIONS

NOTE: TRANSACTIONS ARE BASED ON THE 2018-12-31 VALUE (INCLUDING ACCRUALS) OF 280,645,070.00

Northern Trust**- Dol**

Generated by Northern Trust from reviewed periodic data on 16 Mar 20

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection
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For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan Vistra Energy Retirement Plan	B Three-digit plan number (PN) ▶	002
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF Vistra Operations Company LLC	D Employer Identification Number (EIN) 36-4833461	

E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500
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Part I	Basic Information
---------------	--------------------------

1	Enter the valuation date: Month <u>01</u> Day <u>01</u> Year <u>2019</u>		
2	Assets:		
	a Market value.....	2a	281,189,917
	b Actuarial value	2b	281,189,917
3	Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target
	a For retired participants and beneficiaries receiving payment	435	148,508,055
	b For terminated vested participants	95	12,585,395
	c For active participants	467	113,709,183
	d Total	997	274,802,633
4	If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐		
	a Funding target disregarding prescribed at-risk assumptions.....	4a	
	b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b	
5	Effective interest rate.....	5	5.52%
6	Target normal cost	6	6,224,899

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	<div style="text-align: center;"> Signature of actuary </div>	<div style="text-align: center;"> <u>08/14/2020</u> Date </div>
	<div style="text-align: center;"> Allison Logan Type or print name of actuary </div>	<div style="text-align: center;"> <u>2007794</u> Most recent enrollment number </div>
	<div style="text-align: center;"> Aon Consulting, Inc. Firm name </div>	<div style="text-align: center;"> <u>214-989-2641</u> Telephone number (including area code) </div>
	<div style="text-align: center;"> 5005 LBJ Freeway Suite 1400 Dallas TX 75244 Address of the firm </div>	

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice, see the Instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2019
v. 190130

Part II Beginning of Year Carryover and Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year).....	0	41,550,270
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9 Amount remaining (line 7 minus line 8).....	0	41,550,270
10 Interest on line 9 using prior year's actual return of <u>-5.47%</u>	0	-2,272,800
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year).....		0
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>5.69%</u>		0
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
c Total available at beginning of current plan year to add to prefunding balance		0
d Portion of (c) to be added to prefunding balance.....		0
12 Other reductions in balances due to elections or deemed elections	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d - line 12).....	0	39,277,470

Part III Funding Percentages

14 Funding target attainment percentage	14	87.94%
15 Adjusted funding target attainment percentage.....	15	102.22%
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	104.15%
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.	17	%

Part IV Contributions and Liquidity Shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
Totals ▶			18(b)	0	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years.....	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date.....	19c	0

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year

(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 3.74 %	2nd segment: 5.35 %	3rd segment: 6.11 %	☐ N/A, full yield curve used
b Applicable month (enter code).....				21b 4
22 Weighted average retirement age				22 61
23 Mortality table(s) (see instructions)	☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute			

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6).....	31a	6,224,899	
b Excess assets, if applicable, but not greater than line 31a	31b	0	
32 Amortization installments:	Outstanding Balance	Installment	
a Net shortfall amortization installment.....	33,148,524	5,386,230	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33		
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)....	34	11,611,129	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement.....	0	11,611,129	11,611,129
36 Additional cash requirement (line 34 minus line 35).....	36	0	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....	37	0	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	0	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37).....	39	0	
40 Unpaid minimum required contributions for all years	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:	
a Schedule elected	☐ 2 plus 7 years ☐ 15 years
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

Schedule SB Attachment (Form 5500)—2019 Plan Year
 Vistra Energy Retirement Plan
 EIN: 36-4833461 PN: 002

Schedule SB, line 22—Description of Weighted Average Retirement Age

The average retirement age shown in line 22 has been calculated by assuming the following retirement rates and no decrements other than retirement for this calculation. All retirements are assumed to occur at beginning of year.

(a) Age	(b) Rate	(c) Weight	(d) Product (a) × (b) × (c)
55	10.00%	1.0000	5.50
56	5.00%	0.9000	2.52
57	6.00%	0.8550	2.92
58	8.00%	0.8037	3.73
59	12.00%	0.7394	5.23
60	13.00%	0.6507	5.08
61	15.00%	0.5661	5.18
62	35.00%	0.4812	10.44
63	20.00%	0.3128	3.94
64	25.00%	0.2502	4.00
65	35.00%	0.1877	4.27
66	35.00%	0.1220	2.82
67	35.00%	0.0793	1.86
68	35.00%	0.0515	1.23
69	35.00%	0.0335	0.81
70	100.00%	0.0218	1.52
Weighted Average			61.05

Schedule SB Attachment (Form 5500)—2019 Plan Year
 Vistra Energy Retirement Plan
 EIN: 36-4833461 PN: 002

Schedule SB, line 26—Schedule of Active Participant Data as of January 1, 2019

Attained Age	Number of Participants									
	Years of Credited Service									
	<1	1-4	5-9	10-14	15-19	20-24	25-29	30-34	35-39	40+
<25										
25-29										
30-34				5						
35-39				7	2					
40-44				16	5					
45-49				8	6	3				
50-54				13	9	12	4	5		
55-59				10	23	14	9	129		
60-64				10	12	7	2	23	106	
65-69				3	3	2	1	3	12	1
70+									2	

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Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Schedule SB, line 32—Schedule of Amortization Bases

Type of Base	Present Value of Installment	Date Established	Years Remaining	Amortization Installment
Shortfall	\$ 33,148,524	January 1, 2019	7	\$ 5,386,230

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Schedule SB, Part V—Statement of Actuarial Assumptions/Methods

Interest Rates for Minimum Funding Purposes	Based on segment rates with a four-month lookback (as of September 2018), each adjusted as needed to fall within the 25-year average interest rate stabilization corridor.
1st Segment Rate	3.74%.
2nd Segment Rate	5.35%.
3rd Segment Rate	6.11%.
Interest Rates for Maximum Tax Purposes	Based on segment rates with a four-month lookback (as of September 2018), without regard to interest rate stabilization.
1st Segment Rate	2.28%.
2nd Segment Rate	3.81%.
3rd Segment Rate	4.46%.
Pay Increase Rates	See Table A.
Cash Balance Interest Crediting Rate	3.50%.
Mortality Rates	2019 static mortality table for annuitants and non-annuitants per §1.430(h)(3)-1(e).
Retirement Rates	See Table B.
Withdrawal Rates	See Table C.
Disability Rates	See Table D.
Payment Form Traditional Participants	85% Joint and 100% survivor annuity. 15% Single life annuity.
Cash Balance Participants	100% Lump sum.

Schedule SB Attachment (Form 5500)—2019 Plan Year
 Vistra Energy Retirement Plan
 EIN: 36-4833461 PN: 002

Benefit Commencement Age	
Active Participants	
Retirement Eligible	Immediate.
Not Retirement Eligible	
Traditional Participants	Age 61 if at least 15 years of service, otherwise 65.
Cash Balance Participants	Once the 10-year service requirement is or would have been met.
LTD Participants	Age 65.
Terminated Vested Participants	
Traditional Participants	Age 61 if at least 15 years of service; otherwise 65.
Cash Balance Participants	Age 60 or, if later, once the 10-year service requirement is or would have been met.
Decrement Timing	Beginning of year decrements.
Surviving Spouse Benefit	80% of males and 80% of females have an eligible spouse; males are three years older than their spouses.
Benefit and Compensation Limits	Projected benefits and compensation are limited by the current IRC section 415 maximum benefit of \$225,000 and the IRC section 401(a)(17) compensation limit of \$280,000.
Valuation of Plan Assets	Fair market value.
Trust Expenses Included in Target Normal Cost	\$1,503,833. Expenses are equal to the actual prior year administrative expenses plus current year PBGC premiums.
Actuarial Method	Standard unit credit cost method.
Valuation Date	January 1, 2019.

Schedule SB Attachment (Form 5500)—2019 Plan Year
 Vistra Energy Retirement Plan
 EIN: 36-4833461 PN: 002

Table A

Pay Increase Rates

Age	Traditional Participants	Cash Balance Participants	Age	Traditional Participants	Cash Balance Participants
20	3.00%	9.50%	45	3.00%	5.70%
21	3.00%	9.50%	46	3.00%	5.60%
22	3.00%	9.50%	47	3.00%	5.50%
23	3.00%	18.30%	48	3.00%	5.50%
24	3.00%	16.60%	49	3.00%	5.50%
25	3.00%	9.50%	50	3.00%	5.50%
26	3.00%	9.50%	51	3.00%	5.50%
27	3.00%	9.50%	52	3.00%	5.50%
28	3.00%	9.50%	53	3.00%	5.30%
29	3.00%	9.50%	54	3.00%	5.10%
30	3.00%	9.50%	55	3.00%	4.90%
31	3.00%	9.50%	56	3.00%	4.70%
32	3.00%	9.50%	57	3.00%	4.50%
33	3.00%	8.90%	58	3.00%	4.40%
34	3.00%	8.30%	59	3.00%	4.30%
35	3.00%	7.70%	60	3.00%	4.20%
36	3.00%	7.10%	61	3.00%	4.10%
37	3.00%	6.50%	62	3.00%	4.00%
38	3.00%	6.40%	63	3.00%	4.00%
39	3.00%	6.30%	64	3.00%	4.00%
40	3.00%	6.20%	65+	3.00%	4.00%
41	3.00%	6.10%			
42	3.00%	6.00%			
43	3.00%	5.90%			
44	3.00%	5.80%			

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Table B

Retirement Rates

Age	Rate
55	10.00%
56	5.00%
57	6.00%
58	8.00%
59	12.00%
60	13.00%
61	15.00%
62	35.00%
63	20.00%
64	25.00%
65	35.00%
66	35.00%
67	35.00%
68	35.00%
69	35.00%
70+	100.00%

Schedule SB Attachment (Form 5500)—2019 Plan Year
 Vistra Energy Retirement Plan
 EIN: 36-4833461 PN: 002

Table C

Withdrawal Rates

Age	Rate	Age	Rate
15	7.20%	40	4.90%
16	7.20%	41	4.70%
17	7.20%	42	4.50%
18	7.20%	43	4.20%
19	7.20%	44	3.90%
20	7.20%	45	3.60%
21	7.10%	46	3.30%
22	7.00%	47	3.00%
23	6.90%	48	2.90%
24	6.80%	49	2.80%
25	6.70%	50	2.70%
26	6.60%	51	2.60%
27	6.50%	52	2.50%
28	6.40%	53	2.30%
29	6.30%	54	2.10%
30	6.20%	55	1.90%
31	6.10%	56	1.70%
32	6.00%	57	1.50%
33	5.90%	58	1.20%
34	5.80%	59	0.90%
35	5.70%	60	0.60%
36	5.60%	61	0.03%
37	5.50%	62	0.00%
38	5.30%	63	0.00%
39	5.10%	64	0.00%
		65+	0.00%

Schedule SB Attachment (Form 5500)—2019 Plan Year
 Vistra Energy Retirement Plan
 EIN: 36-4833461 PN: 002

Table D

Disability Rates

Age	Rate	Age	Rate
15	0.0000%	40	0.1821%
16	0.0000%	41	0.1974%
17	0.0000%	42	0.2129%
18	0.0000%	43	0.2302%
19	0.0000%	44	0.2512%
20	0.0200%	45	0.2773%
21	0.0210%	46	0.3071%
22	0.0220%	47	0.3380%
23	0.0250%	48	0.3737%
24	0.0285%	49	0.4202%
25	0.0325%	50	0.4758%
26	0.0370%	51	0.5370%
27	0.0420%	52	0.6080%
28	0.0486%	53	0.6868%
29	0.0567%	54	0.7759%
30	0.0658%	55	0.8700%
31	0.0756%	56	0.9672%
32	0.0858%	57	1.0700%
33	0.0965%	58	1.1693%
34	0.1076%	59	1.2500%
35	0.1190%	60	1.3750%
36	0.1305%	61	1.6570%
37	0.1420%	62	1.7820%
38	0.1541%	63	1.8400%
39	0.1673%	64	1.8620%
		65	1.8750%
		66+	0.0000%

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Schedule SB, Part V—Summary of Plan Provisions

Plan Provisions

Effective Date	January 1, 1947; amended and restated January 1, 2017.
Participation	<p>Completion of at least one year of service and attainment of age 21.</p> <p>Employees (other than employees of Oncor Electric Delivery), who are first hired or rehired more than twelve months following a severance from service on or after October 1, 2007, shall not be eligible to participate in the plan or to accrue any additional benefit.</p> <p>Effective October 1, 2012, active, non-bargaining unit employees of Luminant, TXU Energy, and Energy Future Holdings Corp. were spun off to the EFH Active Retirement Plan.</p> <p>Effective October 1, 2012, the following plan participants were spun off to the Oncor Retirement Plan: 1) active employees of Oncor; 2) retirees and beneficiaries receiving benefits from this plan as of that date; 3) other former employees and beneficiaries with vested accrued benefits from this plan on that date who had not gone into pay status; and, 4) alternate payees under qualified domestic relations orders in effect under this plan as of October 1, 2012, other than qualified domestic relations orders relating to employees who, as of October 1, 2012, are bargaining unit employees of Luminant.</p> <p>Participants in this plan on October 1, 2012, are active, bargaining unit employees of Luminant, as of that date, and alternate payees of these employees.</p>
Applicable Benefit Formula	<p>Employees hired before January 1, 2001 were allowed a one-time choice between their then current pension benefit (generally final average pay), and a new cash balance benefit. For those electing the Cash Balance Plan an opening balance equal to the value of their Traditional benefit was determined. All hires after December 31, 2000 automatically enter the cash balance formula. In addition certain employees were already covered by a “Prior Cash Balance Formula” and certain employees, acquired as a result of various acquisitions have special minimum benefit provisions. The most notable of those special provisions pertain to former Enserch employees and those are summarized later in this material.</p>

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Normal Retirement
Eligibility

Attainment of age 65.

Current Vistra Energy Formulas
Traditional Final Average Pay
(Pre—January 1, 2001 hires)

Monthly amount equal to the sum of (A) and (B):

- (A) $1.3\% \times (\text{average annual earnings not in excess of } \$7,800) \times \text{accredited service}; \text{ and}$
- (B) $1.5\% \times (\text{average annual earnings in excess of } \$7,800) \times \text{accredited service}.$

Prior Cash Balance Formula

Cash balance account is equal to the sum of (A) and (B):

- (A) Pay-based credits equal to a percentage of earnings for each month as an eligible employee based on the following table:

Years of Accredited Service	Percentage
0, but less than 5	2.30%
5, but less than 10	3.15%
10, but less than 15	3.80%
15, but less than 20	4.60%
20, but less than 25	5.55%
25 or more	6.75%

- (B) Interest credits at the end of each month based on the account balance as of the end of the prior month. The interest rate applied each month is the monthly average yield on the published rate on 30-year Treasury bonds for the 12 months ending November 30 of the previous plan (calendar) year.

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Current Cash Balance Formula

Cash balance account is equal to the sum of (A) and (B):

- (A) Pay-based credits equal to a percentage of earnings plus incentive pay for each month as an eligible employee based on the following table:

Age Plus Years of Accredited Service	Percentage
Less than 35	3.50%
35, but less than 50	4.50%
50, but less than 65	5.50%
65 or more	6.50%

- (B) Interest credits at the end of each month based on the account balance as of the end of the prior month. The interest rate applied each month is the monthly average yield on the published rate on 30-year Treasury bonds for the 12 months ending November 30 of the previous plan (calendar) year.

Early Retirement
Eligibility

Attainment of age 55 and 15 years of accredited service.

Benefits

The participant's accrued benefit at the date of early retirement is payable at the normal retirement age. The participant may elect an earlier commencement with early retirement reduction based on the participant's age at the annuity start date.

The early commencement benefit under the traditional final average pay formula shall be the accrued benefit under this formula reduced by $\frac{1}{3}\%$ for each month the commencement date precedes the first day of the month coincident with or following their sixty-second birthday.

The benefit under the cash balance formula will be the account balance if the employee has 10 years of accredited service or more. This benefit can be received as either a lump sum or an actuarially equivalent annuity.

Late Retirement
Eligibility

Actively employed after normal retirement eligibility.

Benefits

The accrued benefit payable beginning on the first day of the month following late retirement is the greater of the accrued benefit calculated at termination under the applicable formula and the accrued benefit calculated at normal retirement age increased actuarially to the late retirement date.

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Vested Terminations

Eligibility

Traditional Formula

Five years of vesting service and termination of employment for reasons other than disability and death.

Cash Balance Formula

Three years of vesting service and termination of employment for reasons other than disability and death.

Benefits

The benefit under the final average pay formula shall be the accrued benefit payable at age 65. If the employee has at least 15 years of accredited service at termination, the benefit can commence as early as age 55 with the same reduction as for early retirement.

The benefit under the cash balance formula will be the account balance or an actuarially equivalent annuity that can commence immediately, provided the employee had at least 10 years of accredited service at termination. For other participants commencement is delayed until the date that they would have had 10 years of accredited service had they not terminated.

Disability Retirement
Eligibility

Participant must be eligible for benefits under the company's formal long-term disability plan.

Benefits

Service for purposes of accredited service will continue. However, average annual earnings at the time of disability will be used to calculate retirement benefits.

Qualified Preretirement Survivor Annuity
Eligibility

A surviving spouse is eligible for the Qualified Preretirement Survivor Annuity (QPSA) if all of the following are satisfied:

- The participant was partially or fully vested.
- The participant's death occurred before the benefit commencement date of the first pension payment to the participant.
- For traditional final average pay participants the participant and spouse were married for not less than one year at the time of death.

Benefits

The benefit payable to the surviving spouse under the final average pay plan is the greater of (A) and (B) until remarriage of the surviving spouse and the benefit under (B) thereafter:

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

- (A) Two-thirds of the normal retirement benefit based on average annual earnings and years of accredited service at the time of the participant's death.
- (B) The retirement benefit payable as if the employee had terminated on the date of death and elected to receive the 100% joint and survivor annuity option at the earliest possible date.

The benefit payable to the surviving spouse or designated beneficiary under the Cash Balance Plan is the vested account balance in the form of a lump sum or a monthly annuity.

Postretirement Death
Eligibility

If a participant dies after having started receiving retirement benefits, the survivor may be eligible for a portion of the participant's benefit, depending on the form of payment originally elected and the period of time over which payments have been made.

Benefits

Benefit amounts are based on the form of payment the participant was receiving.

Normal Form of Payment

Single life annuity for single participants. 100% qualified joint and survivor annuity for married participants.

Optional Forms of Payment

Actuarially equivalent amounts may be paid in any of the following forms:

- Single life annuity
- 50% joint and survivor annuity
- 100% joint and survivor annuity
- 10-year certain and life annuity
- Social Security adjustment
- Lump sum (only if less than \$5,000 for traditional participants)

Employee Contributions

No employee contributions are required or allowed.

Pay Limits

The Enserch plan incorporates prospective EGTRRA Limits. Pre-EGTRRA Limits are calculated under the Extended Wear-Away GATT approach.

Ad Hoc Enhancements

From time to time, the plan has sponsored ad hoc enhancements including severance benefits, early retirement windows, and ad hoc retiree cost-of-living increases. None of these has consistently shown a pattern of entitlement to include their valuation for future retirees.

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Definitions

Accredited Service

Accredited service is used to determine the amount of a participant's benefit under the plan.

Accredited service is years and complete months of participation, up to a maximum of 40 years.

Vesting Service

Vesting service is used to determine a participant's eligibility to receive benefits under the plan.

Vesting service is years and complete months of service beginning no earlier than age 18.

Earnings

Annual remuneration, exclusive of overtime earnings or any special, incentive or other extra compensation. Includes amounts contributed to a salary reduction arrangement. Earnings for cash balance participants shall include cash commissions and incentive compensation.

However, in no event will earnings exceed the statutory dollar limitation, adjusted for changes in the cost of living, as provided in section 401(a)(17) of the Code.

Final Average Earnings

Final average earnings will be calculated as one-third of the sum of the participant's earnings during the participant's highest three years of earnings.

Plan Changes Since the Prior Year

The funding and plan reporting valuations do not reflect any plan changes.

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Other Information to Fully and Fairly Disclose the Actuarial Position of the Plan

Due to software limitations with the electronic filing process, information filed electronically cannot be controlled by the Enrolled Actuary. The values on the signed Schedule SB will govern to the extent there are any differences in the entries filed electronically and the actual data contained on the signed Schedule SB.

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Schedule SB, line 22—Description of Weighted Average Retirement Age

The average retirement age shown in line 22 has been calculated by assuming the following retirement rates and no decrements other than retirement for this calculation. All retirements are assumed to occur at beginning of year.

(a) Age	(b) Rate	(c) Weight	(d) Product (a) × (b) × (c)
55	10.00%	1.0000	5.50
56	5.00%	0.9000	2.52
57	6.00%	0.8550	2.92
58	8.00%	0.8037	3.73
59	12.00%	0.7394	5.23
60	13.00%	0.6507	5.08
61	15.00%	0.5661	5.18
62	35.00%	0.4812	10.44
63	20.00%	0.3128	3.94
64	25.00%	0.2502	4.00
65	35.00%	0.1877	4.27
66	35.00%	0.1220	2.82
67	35.00%	0.0793	1.86
68	35.00%	0.0515	1.23
69	35.00%	0.0335	0.81
70	100.00%	0.0218	1.52
Weighted Average			61.05

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Schedule SB, Part V—Summary of Plan Provisions

Plan Provisions

Effective Date January 1, 1947; amended and restated January 1, 2017.

Participation Completion of at least one year of service and attainment of age 21.

Employees (other than employees of Oncor Electric Delivery), who are first hired or rehired more than twelve months following a severance from service on or after October 1, 2007, shall not be eligible to participate in the plan or to accrue any additional benefit.

Effective October 1, 2012, active, non-bargaining unit employees of Luminant, TXU Energy, and Energy Future Holdings Corp. were spun off to the EFH Active Retirement Plan.

Effective October 1, 2012, the following plan participants were spun off to the Oncor Retirement Plan: 1) active employees of Oncor; 2) retirees and beneficiaries receiving benefits from this plan as of that date; 3) other former employees and beneficiaries with vested accrued benefits from this plan on that date who had not gone into pay status; and, 4) alternate payees under qualified domestic relations orders in effect under this plan as of October 1, 2012, other than qualified domestic relations orders relating to employees who, as of October 1, 2012, are bargaining unit employees of Luminant.

Participants in this plan on October 1, 2012, are active, bargaining unit employees of Luminant, as of that date, and alternate payees of these employees.

Applicable Benefit Formula

Employees hired before January 1, 2001 were allowed a one-time choice between their then current pension benefit (generally final average pay), and a new cash balance benefit. For those electing the Cash Balance Plan an opening balance equal to the value of their Traditional benefit was determined. All hires after December 31, 2000 automatically enter the cash balance formula. In addition certain employees were already covered by a "Prior Cash Balance Formula" and certain employees, acquired as a result of various acquisitions have special minimum benefit provisions. The most notable of those special provisions pertain to former Enserch employees and those are summarized later in this material.

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Normal Retirement
Eligibility

Attainment of age 65.

Current Vistra Energy Formulas
Traditional Final Average Pay
(Pre—January 1, 2001 hires)

Monthly amount equal to the sum of (A) and (B):

- (A) $1.3\% \times (\text{average annual earnings not in excess of } \$7,800) \times \text{accredited service}; \text{ and}$
- (B) $1.5\% \times (\text{average annual earnings in excess of } \$7,800) \times \text{accredited service}.$

Prior Cash Balance Formula

Cash balance account is equal to the sum of (A) and (B):

- (A) Pay-based credits equal to a percentage of earnings for each month as an eligible employee based on the following table:

Years of Accredited Service	Percentage
0, but less than 5	2.30%
5, but less than 10	3.15%
10, but less than 15	3.80%
15, but less than 20	4.60%
20, but less than 25	5.55%
25 or more	6.75%

- (B) Interest credits at the end of each month based on the account balance as of the end of the prior month. The interest rate applied each month is the monthly average yield on the published rate on 30-year Treasury bonds for the 12 months ending November 30 of the previous plan (calendar) year.

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Current Cash Balance Formula

Cash balance account is equal to the sum of (A) and (B):

- (A) Pay-based credits equal to a percentage of earnings plus incentive pay for each month as an eligible employee based on the following table:

Age Plus Years of Accredited Service	Percentage
Less than 35	3.50%
35, but less than 50	4.50%
50, but less than 65	5.50%
65 or more	6.50%

- (B) Interest credits at the end of each month based on the account balance as of the end of the prior month. The interest rate applied each month is the monthly average yield on the published rate on 30-year Treasury bonds for the 12 months ending November 30 of the previous plan (calendar) year.

Early Retirement
Eligibility

Attainment of age 55 and 15 years of accredited service.

Benefits

The participant's accrued benefit at the date of early retirement is payable at the normal retirement age. The participant may elect an earlier commencement with early retirement reduction based on the participant's age at the annuity start date.

The early commencement benefit under the traditional final average pay formula shall be the accrued benefit under this formula reduced by $\frac{1}{3}\%$ for each month the commencement date precedes the first day of the month coincident with or following their sixty-second birthday.

The benefit under the cash balance formula will be the account balance if the employee has 10 years of accredited service or more. This benefit can be received as either a lump sum or an actuarially equivalent annuity.

Late Retirement
Eligibility

Actively employed after normal retirement eligibility.

Benefits

The accrued benefit payable beginning on the first day of the month following late retirement is the greater of the accrued benefit calculated at termination under the applicable formula and the accrued benefit calculated at normal retirement age increased actuarially to the late retirement date.

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Vested Terminations

Eligibility

Traditional Formula

Five years of vesting service and termination of employment for reasons other than disability and death.

Cash Balance Formula

Three years of vesting service and termination of employment for reasons other than disability and death.

Benefits

The benefit under the final average pay formula shall be the accrued benefit payable at age 65. If the employee has at least 15 years of accredited service at termination, the benefit can commence as early as age 55 with the same reduction as for early retirement.

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Disability Retirement
Eligibility

Participant must be eligible for benefits under the company's formal long-term disability plan.

Benefits

Service for purposes of accredited service will continue. However, average annual earnings at the time of disability will be used to calculate retirement benefits.

Qualified Preretirement Survivor Annuity
Eligibility

A surviving spouse is eligible for the Qualified Preretirement Survivor Annuity (QPSA) if all of the following are satisfied:

- The participant was partially or fully vested.
- The participant's death occurred before the benefit commencement date of the first pension payment to the participant.
- For traditional final average pay participants the participant and spouse were married for not less than one year at the time of death.

Benefits

The benefit payable to the surviving spouse under the final average pay plan is the greater of (A) and (B) until remarriage of the surviving spouse and the benefit under (B) thereafter:

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

- (A) Two-thirds of the normal retirement benefit based on average annual earnings and years of accredited service at the time of the participant's death.
- (B) The retirement benefit payable as if the employee had terminated on the date of death and elected to receive the 100% joint and survivor annuity option at the earliest possible date.

The benefit payable to the surviving spouse or designated beneficiary under the Cash Balance Plan is the vested account balance in the form of a lump sum or a monthly annuity.

Postretirement Death
Eligibility

If a participant dies after having started receiving retirement benefits, the survivor may be eligible for a portion of the participant's benefit, depending on the form of payment originally elected and the period of time over which payments have been made.

Benefits

Benefit amounts are based on the form of payment the participant was receiving.

Normal Form of Payment

Single life annuity for single participants. 100% qualified joint and survivor annuity for married participants.

Optional Forms of Payment

Actuarially equivalent amounts may be paid in any of the following forms:

- Single life annuity
- 50% joint and survivor annuity
- 100% joint and survivor annuity
- 10-year certain and life annuity
- Social Security adjustment
- Lump sum (only if less than \$5,000 for traditional participants)

Employee Contributions

No employee contributions are required or allowed.

Pay Limits

The Enserch plan incorporates prospective EGTRRA Limits. Pre-EGTRRA Limits are calculated under the Extended Wear-Away GATT approach.

Ad Hoc Enhancements

From time to time, the plan has sponsored ad hoc enhancements including severance benefits, early retirement windows, and ad hoc retiree cost-of-living increases. None of these has consistently shown a pattern of entitlement to include their valuation for future retirees.

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Definitions

Accredited Service

Accredited service is used to determine the amount of a participant's benefit under the plan.

Accredited service is years and complete months of participation, up to a maximum of 40 years.

Vesting Service

Vesting service is used to determine a participant's eligibility to receive benefits under the plan.

Vesting service is years and complete months of service beginning no earlier than age 18.

Earnings

Annual remuneration, exclusive of overtime earnings or any special, incentive or other extra compensation. Includes amounts contributed to a salary reduction arrangement. Earnings for cash balance participants shall include cash commissions and incentive compensation.

However, in no event will earnings exceed the statutory dollar limitation, adjusted for changes in the cost of living, as provided in section 401(a)(17) of the Code.

Final Average Earnings

Final average earnings will be calculated as one-third of the sum of the participant's earnings during the participant's highest three years of earnings.

Plan Changes Since the Prior Year

The funding and plan reporting valuations do not reflect any plan changes.

Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Other Information to Fully and Fairly Disclose the Actuarial Position of the Plan

Due to software limitations with the electronic filing process, information filed electronically cannot be controlled by the Enrolled Actuary. The values on the signed Schedule SB will govern to the extent there are any differences in the entries filed electronically and the actual data contained on the signed Schedule SB.

VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4i SUPPLEMENTAL SCHEDULE OF ASSETS (HELD AT END OF YEAR) DECEMBER 31, 2019

5500 Supplemental Schedules

31 DEC 19

Account number VISTRA
Account Name VISTRA RETIREMENT

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◆ Schedule of Assets Held for Investment Purposes

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
<i>Non-Interest Bearing Cash - USD</i>			
USD - United States dollar	-3.220	-3.22	-3.22
USD - United States dollar	0.000	0.00	0.00
Total - all currencies		-3.22	-3.22
Total Non-Interest Bearing Cash - USD		-3.22	-3.22
<i>Receivables - Other - USD</i>			
Pending trade sales: United States dollar	0.000	3,710.88	3,710.88
Total - all currencies		3,710.88	3,710.88
Total Receivables - Other - USD		3,710.88	3,710.88
<i>Interest Bearing Cash - USD</i>			
USD - United States dollar	0.000	0.00	0.00
Total - all currencies		0.00	0.00
Total Interest Bearing Cash - USD		0.00	0.00
<i>Partnership/Joint Venture Interests</i>			
United States - USD			
ALLIANZGI STRUCTURED ALPHA GLOBAL EQUITY350 LLC CUSIP: 9938PX995	8,900,000.000	8,900,000.00	9,853,620.00
Total United States - USD		8,900,000.00	9,853,620.00
Total Partnership/Joint Venture Interests		8,900,000.00	9,853,620.00

** All or a portion of this security participates in Securities Lending.

Northern Trust - Dol

Generated by Northern Trust from reviewed periodic data on 16 Mar 20

VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4i SUPPLEMENTAL SCHEDULE OF ASSETS (HELD AT END OF YEAR) DECEMBER 31, 2019

5500 Supplemental Schedules

31 DEC 19

Account number VISTRA
Account Name VISTRA RETIREMENT

Page 192 of 215

◆ Schedule of Assets Held for Investment Purposes

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
<i>Real Estate</i>			
United States - USD			
CF AHGT GLOBAL REAL ESTATE FUND (DYNEGY) CUSIP: 991234XX8	920,696.240	19,600,000.00	20,224,381.89
Total United States - USD		19,600,000.00	20,224,381.89
Total Real Estate		19,600,000.00	20,224,381.89
<i>Value of Interest in Common/Collective Trusts</i>			
United States - USD			
CF AHGT GLOBAL EQUITY FUND (DYNERGY) CUSIP: 6B999E862	495,711.630	9,500,000.00	10,274,614.96
CF AHGT HIGH YIELD BOND PLUS FUND (DYNERGY) CUSIP: 5X9998E61	861,955.350	14,100,000.00	14,604,109.50
CF AHGT US INTER CR BD FD CUSIP: 5F999MNH5	281,192.530	4,000,000.00	4,107,323.05
CF AHGT US LONG CR BD FD (DYNERGY) CUSIP: 5R999E868	8,263,293.280	167,130,699.66	181,558,600.96
CF AHGT 20+ YEAR US TREASURY STRIPS FD (DYNERGY) CUSIP: 2L3999O22	321,669.690	5,225,249.04	5,534,134.01
CF SIGMA ERISA LIQUIDATING POOL VAR RT 12/31/2049 DD 05/01/01 CUSIP: 57999RTN3	1,111,588.390	1,111,588.39	0.00
CF SSGA GLOBAL EQUITY EX USA INDEX NL SF CUSIP: 1099952K5	1,923,221.350	20,597,700.68	22,167,049.28
CF SSGA INTERMEDIATE US GOVT BOND INDX SF CUSIP: 2N99952M0	113,799.670	1,429,096.24	1,446,280.01
CF SSGA RUSSELL LARGE CAP INDEX SF CUSIP: 119994A19	544,197.690	18,569,657.65	20,477,070.68
CF SSGA RUSSELL SMALL CAP(R) INDX SF CUSIP: 1S99963S4	96,375.730	5,052,979.68	5,482,140.65
CF SSGA U.S. LONG GOVT BOND INDX SF CUSIP: 4D99963H8	1,181,142.240	23,298,699.03	24,105,931.98
NTGI COLTV GOVT STIF REGI STERED CUSIP: 195998BD0	3,392,819.340	3,392,819.34	3,392,819.34
Total United States - USD		273,408,489.71	293,150,074.42
Total Value of Interest in Common/Collective Trusts		273,408,489.71	293,150,074.42
Total		301,812,197.37	323,231,783.97

** All or a portion of this security participates in Securities Lending.

Northern Trust - Dol

Generated by Northern Trust from reviewed periodic data on 16 Mar 20

VISTRA ENERGY RETIREMENT PLAN

EIN 36-4833461 PN 002

SCHEDULE H, PART IV, LINE 4i SUPPLEMENTAL SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED OF WITHIN YEAR) YEAR ENDED DECEMBER 31, 2019

5500 Supplemental Schedules

01 JAN 19 - 31 DEC 19

Account number VISTRA
Account Name VISTRA RETIREMENT

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◆ Schedule of Acquisitions & Dispositions

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB290VL2	#REORG DEFAULTED BRUCE PLAN OF 21.40506696/29279N102 1/116ESCB0 2/27/20	Dispositions	-40,651.81		37,403.02
		Free Receipt	40,651.81	-42,989.29	
SBG4R9S9	#REORG/MICROCHIP MANDATORY EXCHANGE MICROCHIP 471KAGG 05-29-2019	Free Delivery	-500,000.00		
		Free Receipt	500,000.00	-500,000.00	
SBYQHX36	ABBOTT LABS 3.75% DUE 11-30-2026	Dispositions	-69,000.00		73,718.91
		Free Receipt	69,000.00	-71,074.28	
SBYVQ5V0	ABBOTT LABS 4.75% DUE 11-30-2036	Dispositions	-85,000.00		98,958.18
		Free Receipt	85,000.00	-94,131.55	
SBYQHX58	ABBOTT LABS 4.9% DUE 11-30-2046	Dispositions	-505,000.00		607,784.20
		Free Receipt	505,000.00	-544,100.25	
SBXDZFY1	ABBVIE INC FIXED 4.5% DUE 05-14-2035	Dispositions	-965,000.00		992,928.48
		Free Receipt	965,000.00	-959,467.83	
SBXDZFY2	ABBVIE INC FIXED 4.7% DUE 05-14-2045	Dispositions	-85,000.00		86,599.39
		Free Receipt	85,000.00	-84,353.48	
SBYP8MS8	ABBVIE INC 3.2% DUE 05-14-2026	Dispositions	-200,000.00		202,128.33
		Free Receipt	200,000.00	-199,236.00	
SBF2M1Y9	ABBVIE INC 4.25% DUE 11-14-2028	Dispositions	-170,000.00		176,522.04
		Free Receipt	170,000.00	-168,634.10	
SBYP8MQ6	ABBVIE INC 4.45% DUE 05-14-2046	Dispositions	-95,000.00		93,185.63
		Free Receipt	95,000.00	-101,935.00	
SBGQW2K5	ABBVIE INC 4.875% DUE 11-14-2048	Dispositions	-295,000.00		303,563.20
		Free Receipt	295,000.00	-292,467.36	
SBF0P355	ACTIVISION 4.5% DUE 06-15-2047	Dispositions	-100,000.00		102,748.68
		Free Receipt	100,000.00	-98,501.00	
SBFXQYR4	AEP TEX INC FIXED 3.8% DUE 10-01-2047	Dispositions	-60,000.00		60,391.29

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SBYXJFL7	AERCAP IRELAND CAP FIXED 3.75% DUE 05-15-2019	Free Receipt	60,000.00	-59,310.00	
		Dispositions	-300,000.00		300,000.00
SBFXR1J8	AIR LEASE CORP 4.625% DUE 10-01-2028	Free Receipt	300,000.00	-308,229.00	
		Dispositions	-300,000.00		319,220.55
SB4QV918	ALABAMA PWR CO 6% DUE 03-01-2039	Free Receipt	300,000.00	-296,154.00	
		Dispositions	-55,000.00		72,009.75
SBYN1609	ALEXANDRIA REAL 3.95% DUE 01-15-2027	Free Receipt	55,000.00	-69,311.20	
		Dispositions	-400,000.00		424,373.55
SBYNYSP7	ALEXANDRIA REAL 4.3% DUE 01-15-2026	Free Receipt	400,000.00	-398,404.00	
		Dispositions	-85,000.00		90,693.30
SBJML8W1	ALEXANDRIA REAL 4.85% DUE 04-15-2049	Free Receipt	85,000.00	-84,680.40	
		Acquisitions	35,000.00	-34,982.15	
SBF5BK79	ALIBABA GROUP HLDG 3.4% DUE 12-06-2027	Dispositions	-35,000.00		35,367.50
		Dispositions	-700,000.00		708,286.00
SBZ6TYT2	ALLY FINL INC 4.25% DUE 04-15-2021	Free Receipt	700,000.00	-695,772.00	
		Dispositions	-100,000.00		103,064.58
CF6828DAM6	ALTICE FRANCE S.A. TERM LOAN B13 01-13-2026	Free Receipt	100,000.00	-102,500.00	
		Dispositions	-100,000.00		97,761.25
SBD91617	ALTRIA GROUP INC 3.875% DUE 09-16-2046	Free Receipt	100,000.00	-97,500.00	
		Dispositions	-170,000.00		132,525.12
SBFZ57Y7	ALTRIA GROUP INC 4.8% DUE 02-14-2029	Free Receipt	170,000.00	-163,226.35	
		Acquisitions	400,000.00	-398,868.00	
SBFZ57Z8	ALTRIA GROUP INC 5.8% DUE 02-14-2039	Dispositions	-400,000.00		438,380.89
		Acquisitions	25,000.00	-24,941.25	
SBFZ5800	ALTRIA GROUP INC 5.95% DUE 02-14-2049	Dispositions	-25,000.00		28,169.58

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		Acquisitions	385,000.00	-391,245.35	
		Dispositions	-385,000.00		439,684.91
C023135BF2	AMAZON COM INC FIXED 3.875% DUE 08-22-2037				
		Dispositions	-300,000.00		325,838.57
		Free Receipt	300,000.00	-299,253.00	
C023135BJ4	AMAZON COM INC 4.05% 08-22-2047				
		Dispositions	-275,000.00		302,611.01
		Free Receipt	275,000.00	-272,967.75	
SB8C3GC6	AMER MOVIL SAB DE CV 6.125% 03-30-2040				
		Dispositions	-215,000.00		279,492.83
		Free Receipt	215,000.00	-256,143.75	
SBK7K1Z4	AMER MOVIL SAB DE 4.375% DUE 04-22-2049				
		Acquisitions	255,000.00	-253,716.55	
		Dispositions	-255,000.00		271,737.19
SBJN1CJ5	AMERICAN INTL GROUP INC 4.25% DUE 03-15-2029				
		Acquisitions	90,000.00	-89,631.10	
		Dispositions	-90,000.00		96,079.36
SB41RMD4	AMERICAN MUN PWR OHIO INC REV 5.939% 02-15-2047 BEO TAXABLE				
		Dispositions	-600,000.00		818,094.85
		Free Receipt	600,000.00	-609,251.55	
SBJN1CK6	AMERICAN TOWER CORP NEW 3.375% 05-15-2024				
		Acquisitions	300,000.00	-299,109.00	
		Dispositions	-300,000.00		309,683.31
SBDXRB4	AMERN INTL GROUP 3.9% DUE 04-01-2026				
		Dispositions	-400,000.00		419,894.35
		Free Receipt	400,000.00	-421,912.00	
SBFXCML2	AMERN INTL GROUP 4.2% DUE 04-01-2028				
		Dispositions	-90,000.00		89,655.70
		Free Receipt	90,000.00	-89,664.30	
SBP8G1N4	AMERN INTL GROUP 4.5% DUE 07-16-2044				
		Acquisitions	145,000.00	-143,738.65	
		Dispositions	-1,015,000.00		1,066,521.98
		Free Receipt	870,000.00	-846,823.99	
SBFXCMM3	AMERN INTL GROUP 4.75% DUE 04-01-2048				
		Acquisitions	65,000.00	-67,451.45	
		Dispositions	-285,000.00		306,965.04
		Free Receipt	220,000.00	-218,708.60	
SB1CYG08	AMERN INTL GROUP 6.25% DUE 05-01-2036				

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		Dispositions	-40,000.00		48,694.40
		Free Receipt	40,000.00	-49,473.71	
SBDVJL2	AMGEN INC 2.65% DUE 05-11-2022				
		Dispositions	-400,000.00		404,124.65
		Free Receipt	400,000.00	-399,144.00	
C031162BZ2	AMGEN INC 4.4% 05-01-2045				
		Dispositions	-110,000.00		114,735.26
		Free Receipt	110,000.00	-116,114.10	
SBDVZ12	AMGEN INC 4.663% DUE 06-15-2051				
		Dispositions	-385,000.00		400,663.24
		Free Receipt	385,000.00	-407,069.80	
C031162BE9	AMGEN INC 4.95% DUE 10-01-2041				
		Dispositions	-600,000.00		671,602.19
		Free Receipt	600,000.00	-596,847.92	
SBG5GX86	ANADARKO FIN CO 7.5% DUE 05-01-2031				
		Dispositions	-570,000.00		749,070.95
		Free Receipt	570,000.00	-719,779.19	
SBNYJ9Z1	ANADARKO PETE CORP 4.5% DUE 07-15-2044				
		Dispositions	-45,000.00		45,192.52
		Free Receipt	45,000.00	-42,149.25	
SB1FGZM6	ANADARKO PETE CORP 6.45% DUE 09-15-2036				
		Dispositions	-160,000.00		189,033.22
		Free Receipt	160,000.00	-188,967.70	
SBLJQ1X5	ANDEAVOR LOGISTICS LP/CORP 5.2% 12-01-2047				
		Dispositions	-95,000.00		97,998.54
		Free Receipt	95,000.00	-94,201.05	
SBJMZ1V3	ANHEUSER BUSCH INBEV FIN INC 4.625 DUE 02-01-2044 REG				
		Dispositions	-300,000.00		315,849.11
		Free Receipt	300,000.00	-320,418.00	
SBJ555R4	ANHEUSER BUSCH INBEV WOR 5.55% 01-23-2049				
		Acquisitions	710,000.00	-719,868.50	
		Dispositions	-710,000.00		849,847.17
SBDZ7GD1	ANHEUSER-BUSCH 4.375% DUE 04-15-2038				
		Dispositions	-290,000.00		297,043.30
		Free Receipt	290,000.00	-286,383.90	
SBDZ78X5	ANHEUSER-BUSCH 4.6% DUE 04-15-2048				
		Dispositions	-435,000.00		453,751.65
		Free Receipt	435,000.00	-415,039.45	

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SBDCMR69	ANHEUSER-BUSCH 4.95% DUE 01-15-2042	Dispositions	-200,000.00		216,959.30
		Free Receipt	200,000.00	-217,566.00	
SBGB6FT2	ANTHEM INC 4.375% 12-01-2047	Dispositions	-530,000.00		538,895.80
		Free Receipt	530,000.00	-507,299.84	
SBGB6FS1	ANTHEM INC 4.55% 03-01-2048	Acquisitions	200,000.00	-204,046.00	
		Dispositions	-465,000.00		488,912.13
		Free Receipt	265,000.00	-260,574.25	
SBLNMSB6	APACHE CORP FIXED 4.25% DUE 01-15-2044	Dispositions	-65,000.00		57,612.10
		Free Receipt	65,000.00	-60,820.50	
SB7XL9Z6	APACHE CORP 4.75% 04-15-2043	Dispositions	-75,000.00		65,786.20
		Free Receipt	75,000.00	-75,671.46	
SB58CQJ3	APACHE CORP 5.1% DUE 09-01-2040	Dispositions	-20,000.00		19,662.00
		Free Receipt	20,000.00	-20,061.40	
SB434VV1	APACHE CORP 5.25% DUE 02-01-2042	Dispositions	-30,000.00		29,871.60
		Free Receipt	30,000.00	-31,955.10	
SB2425R7	APPALACHIAN PWR CO 6.7% DUE 08-15-2037	Dispositions	-500,000.00		659,505.16
		Free Receipt	500,000.00	-538,725.00	
SB2QH9T0	APPALACHIAN PWR CO 7% DUE 04-01-2038	Dispositions	-300,000.00		413,414.64
		Free Receipt	300,000.00	-340,573.27	
C037833BA7	APPLE INC 3.45% DUE 02-09-2045	Dispositions	-225,000.00		220,949.30
		Free Receipt	225,000.00	-210,813.00	
SBF56H35	APPLE INC 3.75% DUE 08-12-2047	Dispositions	-75,000.00		77,280.25
		Free Receipt	75,000.00	-74,252.25	
C037833AL4	APPLE INC 3.85% DUE 05-04-2043	Dispositions	-330,000.00		344,441.72
		Free Receipt	330,000.00	-305,871.37	
C037833CD0	APPLE INC 3.85% DUE 08-04-2046				

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		Dispositions	-105,000.00		110,208.84
		Free Receipt	105,000.00	-105,756.65	
SBYXT6Z8	APPLE INC 4.5% DUE 02-23-2036				
		Dispositions	-105,000.00		122,259.41
		Free Receipt	105,000.00	-104,506.50	
C037833BX7	APPLE INC 4.65% DUE 02-23-2046				
		Dispositions	-690,000.00		801,319.99
		Free Receipt	690,000.00	-718,859.68	
C04363UAD8	ASCIANO FIN LTD 6% DUE 04-07-2023				
		Dispositions	-200,000.00		220,376.49
		Free Receipt	200,000.00	-198,970.00	
SBDTYM18	ASTRAZENECA PLC 4.375% 08-17-2048				
		Acquisitions	65,000.00	-66,175.65	
		Dispositions	-140,000.00		154,169.21
		Free Receipt	75,000.00	-74,208.00	
SBDTYM07	ASTRAZENECA PLC 4% DUE 01-17-2029				
		Acquisitions	60,000.00	-59,042.80	
		Dispositions	-135,000.00		147,339.18
		Free Receipt	75,000.00	-74,692.50	
SBK1MHJ2	AT&T INC CORP 3.8% 02-15-2027				
		Dispositions	-100,000.00		103,805.95
		Free Receipt	100,000.00	99,517.46	
SBHQHNC6	AT&T INC FIXED 4.9% DUE 08-15-2037				
		Dispositions	-100,000.00		109,678.59
		Free Receipt	100,000.00	-99,822.00	
SBGKF2T9	AT&T INC FIXED 5.15% DUE 11-15-2046				
		Acquisitions	45,000.00	-44,368.65	
		Dispositions	-185,000.00		201,593.83
		Free Receipt	140,000.00	-133,619.60	
SBBNC4H4	AT&T INC 4.35% DUE 06-15-2045				
		Dispositions	-1,000,000.00		984,553.16
		Free Receipt	1,000,000.00	-844,555.67	
SBD3HCY6	AT&T INC 4.5% DUE 03-09-2048				
		Dispositions	-299,000.00		303,101.55
		Free Receipt	299,000.00	-305,769.36	
SBX2KBF0	AT&T INC 4.5% DUE 05-15-2035				
		Dispositions	-572,000.00		594,491.05
		Free Receipt	572,000.00	-555,760.84	

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SBD3HCX5	AT&T INC 4.55% DUE 03-09-2049	Dispositions	-1,664,000.00		1,717,101.23
		Free Receipt	1,664,000.00	-1,696,327.71	
SBX2H2X6	AT&T INC 4.75% DUE 05-15-2046	Dispositions	-305,000.00		317,402.51
		Free Receipt	305,000.00	-302,933.66	
SBN8KPY1	AT&T INC 4.8% DUE 06-15-2044	Dispositions	-193,000.00		201,114.84
		Free Receipt	193,000.00	-195,474.68	
SB4WPQV3	AT&T INC 5.35% DUE 09-01-2040	Dispositions	-125,000.00		141,818.60
		Free Receipt	125,000.00	-125,162.00	
SBDCL4K9	AT&T INC 5.45% DUE 03-01-2047	Dispositions	-412,000.00		465,859.35
		Free Receipt	412,000.00	-402,159.45	
SBYMLXL0	AT&T INC 5.65% DUE 02-15-2047	Dispositions	-100,000.00		117,956.52
		Free Receipt	100,000.00	-99,766.00	
SBD8P267	AT&T INC 6.35% DUE 03-15-2040	Dispositions	-100,000.00		122,724.17
		Free Receipt	100,000.00	-118,910.00	
SBD20MH9	AT&T INC 6% DUE 08-15-2040	Dispositions	-155,000.00		184,547.37
		Free Receipt	155,000.00	-165,557.90	
SBG5J542	ATMOS ENERGY CORP FIXED 4.3% DUE 10-01-2048	Dispositions	-100,000.00		111,439.94
		Free Receipt	100,000.00	-99,499.00	
SBZ04XL8	AUTODESK INC FIXED 4.375% DUE 06-15-2025	Dispositions	-200,000.00		211,802.10
		Free Receipt	200,000.00	-199,274.00	
SB4KHL07	AVIATION CAP GROUP 7.125% DUE 10-15-2020	Dispositions	-200,000.00		214,103.28
		Free Receipt	200,000.00	-199,994.00	
C05526DBD6	B A T CAP CORP 4.39% 08-15-2037	Dispositions	-1,265,000.00		1,203,288.30
		Free Receipt	1,265,000.00	-1,285,453.18	
C05526DBF1	B A T CAP CORP 4.54% 08-15-2047	Dispositions	-320,000.00		286,676.85

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C067316AE9	BACARDI LTD 4.45% DUE 05-15-2025	Free Receipt	320,000.00	-310,330.44	
		Dispositions	-400,000.00		423,030.09
S2297781	BANC ONE CORP 8% DUE 04-29-2027	Free Receipt	400,000.00	-398,964.00	
		Dispositions	-300,000.00		397,505.57
SBYM4WN4	BANK AMER CORP FIXED 3.824% 01-20-2028	Free Receipt	300,000.00	-357,423.00	
		Dispositions	-70,000.00		73,881.47
C06051GHG7	BANK AMER CORP FIXED 3.97% 03-05-2029	Free Receipt	70,000.00	-70,360.50	
		Dispositions	-130,000.00		138,294.09
SBK1LR11	BANK AMER CORP FIXED 4.078% 04-23-2040	Free Receipt	130,000.00	-128,481.19	
		Acquisitions	530,000.00	-530,000.00	
SBD5FYQ4	BANK AMER CORP 3.248% DUE 10-21-2027	Dispositions	-530,000.00		557,632.17
		Free Receipt	535,000.00	-516,972.73	542,651.40
SBF50RK8	BANK AMER CORP 3.419% 12-20-2028	Dispositions	-2,251,000.00		2,272,657.41
		Free Receipt	2,251,000.00	-2,234,809.71	
SBD49X71	BANK AMER CORP 3.946% DUE 01-23-2049	Dispositions	-15,000.00		14,507.25
		Free Receipt	15,000.00	-14,984.25	
SBZ013F3	BANK AMER CORP 4.183% DUE 11-25-2027	Dispositions	-40,000.00		42,095.36
		Free Receipt	40,000.00	-39,968.25	
C06051GHS1	BANK AMER CORP 4.33% 03-15-2050	Acquisitions	435,000.00	-435,222.60	
		Dispositions	-435,000.00		479,307.13
SBYYD0K2	BANK AMER CORP 4.45% DUE 03-03-2026	Dispositions	-70,000.00		74,659.20
		Free Receipt	70,000.00	-71,368.43	
C060505FL3	BANK AMER CORP 5.875% DUE 12-31-2049	Dispositions	-200,000.00		213,371.25
		Free Receipt	200,000.00	-200,000.00	
SBG37Y96	BANK OF AMERICA CORP 3.004% 12-20-2023				

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C06051GHM4	BANK OF AMERICA CORP 4.271% 07-23-2029	Dispositions	-298,000.00		302,191.12
		Free Receipt	298,000.00	-298,056.62	
SBD72S38	BARCLAYS PLC 4.95% DUE 01-10-2047	Dispositions	-115,000.00		125,523.35
		Free Receipt	115,000.00	-115,030.28	
C06738EBG9	BARCLAYS PLC 8.0% 08-15-2164	Acquisitions	45,000.00	-44,833.25	
		Dispositions	-45,000.00		46,998.68
SBH4P3B1	BARCLAYS PLC 8.0% 15/12/2049	Acquisitions	300,000.00	-300,000.00	
		Dispositions	-300,000.00		319,621.00
SB03Z391	BARRICK GOLD CORP 5.8% DUE 11-15-2034	Dispositions	-400,000.00		487,913.55
		Free Receipt	400,000.00	-452,445.75	
SB3D29Y0	BARRICK N AMER FIN 7.5% DUE 09-15-2038	Dispositions	-200,000.00		225,294.48
		Free Receipt	200,000.00	-206,996.00	
SBG48MX8	BARRICK PD 5.95% DUE 10-15-2039	Dispositions	-200,000.00		267,351.00
		Free Receipt	200,000.00	-244,236.00	
SB523F62	BAY AREA TOLL AUTH CALIF TOLL BRDG REV 6.263 04-01-2049 BEO	Dispositions	-60,000.00		72,792.18
		Free Receipt	60,000.00	-61,176.22	
SBF2MPF8	BECTON DICKINSON & 3.363% DUE 06-06-2024	Dispositions	-95,000.00		141,920.47
		Free Receipt	95,000.00	-127,384.86	
SBD3VQC4	BECTON DICKINSON & 3.7% DUE 06-06-2027	Dispositions	-200,000.00		206,176.48
		Free Receipt	200,000.00	-200,000.00	
SBF2KB43	BECTON DICKINSON & 4.669% DUE 06-06-2047	Dispositions	-135,000.00		140,304.53
		Free Receipt	135,000.00	-127,432.35	
SBYW5431	BERKSHIRE HATHAWAY FIN CORP 4.2% DUE 08-15-2048 REG	Dispositions	-130,000.00		144,407.07
		Free Receipt	130,000.00	-129,645.60	
		Dispositions	-410,000.00		447,487.57
		Free Receipt	410,000.00	-407,921.30	

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SBJ00PK7	BERKSHIRE HATHAWAY FIN CORP 4.25% DUE 01-15-2049	Acquisitions	25,000.00	-24,802.75	
		Dispositions	-25,000.00		25,670.50
SB96WCM8	BERKSHIRE HATHAWAY 4.3% DUE 05-15-2043	Dispositions	-270,000.00		295,123.95
		Free Receipt	270,000.00	-268,319.95	
SB630666	BERKSHIRE HATHAWAY 4.4% DUE 05-15-2042	Dispositions	-35,000.00		39,197.71
		Free Receipt	35,000.00	-38,576.65	
SBFD3PF0	BHP BILLITON FIN 5% DUE 09-30-2043	Dispositions	-95,000.00		116,547.20
		Free Receipt	95,000.00	-107,497.70	
C09062XAF0	BIOGEN INC 4.05% DUE 09-15-2025	Dispositions	-100,000.00		103,092.00
		Free Receipt	100,000.00	-99,764.00	
SBYNPNY4	BIOGEN INC 5.2% DUE 09-15-2045	Dispositions	-100,000.00		112,819.63
		Free Receipt	100,000.00	-101,243.00	
SB8YB6B7	BK OF AMER NA 6 DUE 10-15-2036	Dispositions	-275,000.00		358,437.28
		Free Receipt	275,000.00	-331,319.65	
C09681LAD2	BOC AVIATION PTE 2.75% DUE 09-18-2022	Dispositions	-700,000.00		702,866.34
		Free Receipt	700,000.00	-696,213.00	
SBK1WFH6	BOEING CO 3.6% 05-01-2034	Acquisitions	355,000.00	-353,899.50	
		Dispositions	-355,000.00		367,293.98
SBC7H609	BOEING CO 6.875% DUE 03-15-2039	Dispositions	-385,000.00		551,416.00
		Free Receipt	385,000.00	-544,624.60	
SBH05F19	BP CAP MKTS AMER 4.234% DUE 11-06-2028	Acquisitions	210,000.00	-220,426.50	
		Dispositions	-210,000.00		222,308.95
SBK62TZ7	BRISTOL MYERS 3.4% DUE 07-26-2029	Acquisitions	95,000.00	-94,216.25	
		Dispositions	-95,000.00		98,886.45
SBKBY248	BRIXMOR OPER PARTNERSHIP LP FLTG 02-01-2022	Dispositions	-100,000.00		100,543.41

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SBFX1781	BROADCOM CORP / 3.5% 01-15-2028	Free Receipt	100,000.00	-100,000.00	
		Dispositions	-400,000.00		381,769.14
SBDRT8T6	BROADCOM CORP/ CAYMN FI 3.875% 01-15-2027	Free Receipt	400,000.00	-399,820.00	
		Dispositions	-300,000.00		297,429.48
SBXNT015	BSTN SCIENTIFIC 3.85% DUE 05-15-2025	Free Receipt	300,000.00	-298,674.00	
		Dispositions	-500,000.00		531,567.43
C101137AT4	BSTN SCIENTIFIC 4.55% DUE 03-01-2039	Free Receipt	500,000.00	-499,300.00	
		Acquisitions	225,000.00	-224,852.15	
C101137AU1	BSTN SCIENTIFIC 4.7% DUE 03-01-2049	Dispositions	-225,000.00		246,610.26
		Acquisitions	200,000.00	-200,659.05	
SBK1M1T0	BSTN SCIENTIFIC 4% DUE 03-01-2029	Dispositions	-200,000.00		219,862.78
		Acquisitions	70,000.00	-69,764.80	
SBD8Q7B0	BUNGE LTD FIN CORP 3.75% DUE 09-25-2027	Dispositions	-70,000.00		75,276.12
		Free Receipt	400,000.00	-398,944.00	394,903.59
SBGPDG2	BURBANK CALIF WTR & PWR ELEC REV 6.323% 06-01-2040 BEO TAXABLE	Dispositions	-300,000.00		411,197.91
		Free Receipt	300,000.00	-300,000.00	
SB3N60C7	BURL NORTHN DEB 5.05 DUE 03-01-2041	Dispositions	-55,000.00		65,917.56
		Free Receipt	55,000.00	-59,162.00	
SBD04808	BURL NORTHN SANTA 4.15% DUE 04-01-2045	Dispositions	-125,000.00		137,165.72
		Free Receipt	125,000.00	-123,447.08	
SB8NWK5	BURL NORTHN SANTA 4.375% DUE 09-01-2042	Dispositions	-55,000.00		61,698.68
		Free Receipt	55,000.00	-53,881.45	
SB1W13G4	BURL NORTHN SANTA 6.15% DUE 05-01-2037	Dispositions	-235,000.00		313,310.04
		Free Receipt	235,000.00	-290,027.54	
SBFMN5B7	BURLINGTN N SANTA FIXED 4.05% DUE 06-15-2048				

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		Dispositions	-85,000.00		92,572.73
		Free Receipt	85,000.00	-84,783.25	
SBG7PB06	BURLINGTON NORTHERN SANTA FE LLC 4.15% 12-15-2048				
		Dispositions	-105,000.00		115,998.00
		Free Receipt	105,000.00	-104,214.60	
SBJLYQ49	CALIFORNIA ST FLTG RT 2.193% 04-01-2047 BEO TAXABLE				
		Dispositions	-700,000.00		702,846.26
		Free Receipt	700,000.00	-700,000.00	
SBF1HZN2	CALIFORNIA ST UNIV REV 6.484% 11-01-2041BEO TAXABLE				
		Dispositions	-400,000.00		567,042.36
		Free Receipt	400,000.00	-400,000.00	
SB3Y5CW7	CALIFORNIA ST 7.8% 11-01-2040 BEO TAXABLE				
		Dispositions	-75,000.00		121,197.67
		Free Receipt	75,000.00	-111,082.50	
SB41WHL2	CALIFORNIA STATE 7.55 MUN BDS DUE 04-01-2039 USD5000				
		Dispositions	-740,000.00		1,169,045.52
		Free Receipt	740,000.00	-1,072,744.29	
S9J76U9U	CALL SWO USD BNPAFRPP P 3MLIBOR / R 2.22% European 317U450S6 05/02/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		540.00
S9J66P0U	CALL SWO USD BNPAFRPP P 3MLIBOR / R 2.4% European 317U289S3 04/12/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		348.00
S9J62T3U	CALL SWO USD BNPAFRPP P 3MLIBOR / R 2.41% European 317U267S9 04/09/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		300.00
S9J4VMPU	CALL SWO USD BNPAFRPP P 3MLIBOR / R 2.5% European 317U039S6 03/05/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		380.00
S9J5CHWU	CALL SWO USD BNPAFRPP P 3MLIBOR / R 2.54% European 317U979R0 02/26/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		480.00
S9J52XLU	CALL SWO USD BOFAUS6S P 3MLIBOR / R 2.44% European 317U063S5 03/08/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		430.00
S9J54GDU	CALL SWO USD BOFAUS6S P 3MLIBOR / R 2.462% European 317U066S2 03/11/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		424.00

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S9J49V3U	CALL SWO USD BOFAUS6S P 3MLIBOR / R 2.58% European 317U949R7 02/19/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		476.00
S9J3CVKU	CALL SWO USD BOFAUS6S P 3MLIBOR / R 2.71% European 317U794R3 01/17/2019	Acquisitions	500,000.00	0.00	
		Free Delivery	-500,000.00		
S9J5X37U	CALL SWO USD CHASUS33 P 3MLIBOR / R 2.435% European 317U262S4 04/08/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		440.00
S9J5EZ1U	CALL SWO USD CHASUS33 P 3MLIBOR / R 2.475% European 317U157S2 03/21/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		400.00
S9J8NUBU	CALL SWO USD CITIUS33 P 3MLIBOR / R 2.08% European 317U745S1 06/18/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		405.00
S9J7AN6U	CALL SWO USD CITIUS33 P 3MLIBOR / R 2.23% European 317U468S6 05/07/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		435.00
S9J7KH1U	CALL SWO USD CITIUS33 P 3MLIBOR / R 2.3% European 317U520S2 05/15/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		315.00
S9J8BZ9U	CALL SWO USD CSFPGB2L P 3MLIBOR / R 2.19% European 317U661S1 06/07/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		375.00
S9J7DOXU	CALL SWO USD CSFPGB2L P 3MLIBOR / R 2.23% European 317U477S5 05/09/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		454.00
S9J7GPWU	CALL SWO USD CSFPGB2L P 3MLIBOR / R 2.23% European 317U501S5 05/13/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		303.75
S9J79NFU	CALL SWO USD CSFPGB2L P 3MLIBOR / R 2.24% European 317U466S8 05/06/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		490.00
S9J7VB1U	CALL SWO USD CSFPGB2L P 3MLIBOR / R 2.24% European 317U577S4 05/24/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		370.50
S9J7CDAU	CALL SWO USD CSFPGB2L P 3MLIBOR / R 2.26% European 317U472S0 05/08/2019	Acquisitions	400,000.00	0.00	

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S9J88MHU	CALL SWO USD CSFPG82L P 3MLIBOR / R 2.27% European 317U650S4 06/04/2019	Dispositions	-400,000.00		400.00
		Acquisitions	300,000.00	0.00	
S9J87VLU	CALL SWO USD CSFPG82L P 3MLIBOR / R 2.29% European 317U643S4 06/03/2019	Dispositions	-300,000.00		303.00
		Acquisitions	300,000.00	0.00	
S9J3CUXU	CALL SWO USD CSFPG82L P 3MLIBOR / R 2.52% European 317U858R6 01/28/2019	Dispositions	-300,000.00		303.00
		Acquisitions	400,000.00	0.00	
S9J3CUVU	CALL SWO USD CSFPG82L P 3MLIBOR / R 2.76% European 317U757R8 01/07/2019	Free Delivery	-400,000.00		
		Acquisitions	400,000.00	0.00	
S9J8L52U	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.05% European 317U738S0 06/17/2019	Free Delivery	-400,000.00		
		Acquisitions	400,000.00	0.00	
S9J8QDRU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.06% European 317U751S2 06/19/2019	Dispositions	-300,000.00		469.50
		Acquisitions	400,000.00	0.00	
S9J8RYPY	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.09% European 317U755S8 06/20/2019	Dispositions	-400,000.00		600.00
		Acquisitions	400,000.00	0.00	
S9J8KEWU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.1% European 317U723S7 06/14/2019	Dispositions	-400,000.00		592.00
		Acquisitions	300,000.00	0.00	
S9J8TBAU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.11% European 317U763S8 06/21/2019	Dispositions	-300,000.00		450.00
		Acquisitions	400,000.00	0.00	
S9J7FCJU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.2% European 317U492S6 05/10/2019	Dispositions	-400,000.00		574.00
		Acquisitions	400,000.00	0.00	
S9J85QEU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.2% European 317U622S9 06/03/2019	Dispositions	-400,000.00		445.00
		Acquisitions	300,000.00	0.00	
S9J84PCU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.21% European 317U616S7 05/31/2019	Dispositions	-300,000.00		369.00
		Acquisitions	300,000.00	0.00	
S9J8DELU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.21% European 317U677S3 06/10/2019	Dispositions	-300,000.00		330.00

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		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		384.00
S9J8154U	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.22% European 317U597S0 05/28/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		390.00
S9J7XRUU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.25% European 317U592S5 05/28/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		363.00
S9J6CD5U	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.38% European 317U324S0 04/15/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		315.00
S9J8BLVU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.39% European 317U309S9 04/15/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		326.25
S9J5GQXU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.43% European 317U171S4 03/22/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		444.00
S9J5HS0U	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.45% European 317U176S9 03/25/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		291.00
S9J5NTJU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.48% European 317U219S8 03/29/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		440.00
S9J3V82U	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.505% European 317U918R4 02/14/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		540.00
S9J5UJDU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.51% European 317U232S1 04/05/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		425.00
S9J46WBU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.53% European 317U946R0 02/19/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		505.00
S9J3CVGU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.65% European 317U805R0 01/19/2019	Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3CVLU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.72% European 317U764R9 01/11/2019	Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		

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S9J3CVUU	CALL SWO USD GSCMUS33 P 3MLIBOR / R 2.89% European 317U724R8 01/03/2019	Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J74QWU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.16% European 317U433S8 04/30/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		390.00
S9J8GDPU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.16% European 317U702S2 06/10/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		560.00
S9J6QPAU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.18% European 317U367S8 04/24/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		480.00
S9J75ZRU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.24% European 317U438S3 05/01/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		532.00
S9J79J2U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.25% European 317U461S3 05/03/2019	Acquisitions	500,000.00	0.00	
		Dispositions	-500,000.00		627.50
S9J7QM2U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.3% European 317U563S0 05/20/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		430.00
S9J7PVZU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.32% European 317U550S5 05/17/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		466.00
S9J7MQ4U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.33% European 317U527S5 05/16/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		440.00
S9J6AL6U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.4% European 317U319S7 04/15/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		420.00
S9J5KPMU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.41% European 317U193S8 03/26/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		292.50
S9J5L70U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.44% European 317U210S7 03/27/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		400.00
S9J5B67U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.45% European 317U135S9 03/19/2019	Acquisitions	400,000.00	0.00	

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S9J51CXU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.46% European 317U060S8 03/07/2019	Dispositions	-400,000.00		372.00
		Acquisitions	500,000.00	0.00	
S9J59TCU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.48% European 317U125S1 03/15/2019	Dispositions	-500,000.00		531.25
		Acquisitions	400,000.00	0.00	
S9J4SQSU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.5% European 317U022S5 03/04/2019	Dispositions	-400,000.00		366.00
		Acquisitions	400,000.00	0.00	
S9J3L22U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.5% European 317U872R8 02/08/2019	Dispositions	-400,000.00		420.00
		Acquisitions	300,000.00	0.00	
S9J5SQLU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.51% European 317U226S9 04/04/2019	Dispositions	-300,000.00		420.00
		Acquisitions	400,000.00	0.00	
S9J4TC7U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.52% European 317U025S2 03/04/2019	Dispositions	-400,000.00		480.00
		Acquisitions	300,000.00	0.00	
S9J4N38U	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.53% European 317U001S0 03/01/2019	Dispositions	-300,000.00		286.50
		Acquisitions	500,000.00	0.00	
S9J3CVVU	CALL SWO USD MSCSUS33 P 3MLIBOR / R 2.85% European 317U737R3 01/04/2019	Dispositions	-500,000.00		550.00
		Acquisitions	500,000.00	0.00	
S9J7JCZU	CALL SWO USD NGFPUS33 P 3MLIBOR / R 2.29% European 317U514S0 05/13/2019	Free Delivery	-500,000.00		
		Acquisitions	300,000.00	0.00	
S9J7UPEU	CALL SWO USD NGFPUS33 P 3MLIBOR / R 2.29% European 317U572S9 05/23/2019	Dispositions	-300,000.00		315.00
		Acquisitions	400,000.00	0.00	
S9J6MPBU	CALL SWO USD NGFPUS33 P 3MLIBOR / R 2.3% European 317U361S4 04/24/2019	Dispositions	-400,000.00		472.00
		Acquisitions	400,000.00	0.00	
S9J7TAUU	CALL SWO USD NGFPUS33 P 3MLIBOR / R 2.31% European 317U565S8 05/22/2019	Dispositions	-400,000.00		440.00
		Acquisitions	400,000.00	0.00	
S9J7270U	CALL SWO USD PNBUS33CHA P 3MLIBOR / R 2.125% European 317U423S0 04/29/2019	Dispositions	-400,000.00		440.00

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		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		435.00
S9J8ARAU	CALL SWO USD PNBPU33CHA P 3MLIBOR / R 2.22% European 317U653S1 06/06/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		390.00
S9J83U4U	CALL SWO USD PNBPU33CHA P 3MLIBOR / R 2.24% European 317U607S8 05/29/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		330.00
S9J6J83U	CALL SWO USD PNBPU33CHA P 3MLIBOR / R 2.31% European 317U352S5 04/23/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		300.00
S9J6EA9U	CALL SWO USD PNBPU33CHA P 3MLIBOR / R 2.38% European 317U329S5 04/18/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		422.00
S9J64N8U	CALL SWO USD PNBPU33CHA P 3MLIBOR / R 2.425% European 317U276S8 04/11/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		301.50
S9J58BTU	CALL SWO USD PNBPU33CHA P 3MLIBOR / R 2.46% European 317U118S0 03/14/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		415.00
S9J4FKJU	CALL SWO USD PNBPU33CHA P 3MLIBOR / R 2.52% European 317U969R2 02/25/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		484.00
S9J3CXQU	CALL SWO USD PNBPU33CHA P 3MLIBOR / R 2.76% European 317U792R5 01/14/2019				
		Acquisitions	500,000.00	0.00	
		Free Delivery	-500,000.00		
S9J6HHBU	CALL SWO USD RBOSGB2RTCM P 3MLIBOR / R 2.39% European 317U337S5 04/23/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		416.00
S9J5V46U	CALL SWO USD UBSWUS33 P 3MLIBOR / R 2.475% European 317U249S2 04/08/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		480.00
S9J5Q32U	CALL SWO USD UBSWUS33 P 3MLIBOR / R 2.49% European 317U221S4 04/01/2019				
		Acquisitions	500,000.00	0.00	
		Dispositions	-500,000.00		550.00
S9J56M4U	CALL SWO USD UBSWUS33 P 3MLIBOR / R 2.51% European 317U110S8 03/13/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		404.00

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S9J4DZCU	CALL SWO USD UBSWUS33 P 3MLIBOR / R 2.57% European 317U959R4 02/25/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		520.00
S9J3EC9U	CALL SWO_CD X BARCGB33 01/16/2019 CDX.NA.IG.31 3175X0068	Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3EC5U	CALL SWO_CD X BARCGB33 02/20/2019 CDX.NA.IG.31 3175SA849	Acquisitions	300,000.00	0.00	
		Free Delivery	-300,000.00		
S9J3EC4U	CALL SWO_CD X BARCGB33 03/20/2019 CDX.NA.IG.31 3175SA898	Acquisitions	200,000.00	0.00	
		Free Delivery	-200,000.00		
S9J3ED5U	CALL SWO_CD X BNPAFRPP 01/16/2019 CDX.NA.IG.31 3175Z8275	Acquisitions	500,000.00	0.00	
		Free Delivery	-500,000.00		
S9J3EC6U	CALL SWO_CD X BNPAFRPP 02/20/2019 CDX.NA.IG.31 3175SC027	Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J4FFTU	CALL SWO_CD X BNPAFRPP 04/17/2019 CDX.NA.IG.31 317584852	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		420.00
S9J51FGU	CALL SWO_CD X BNPAFRPP 05/15/2019 CDX.NA.IG.31 317584944	Acquisitions	700,000.00	0.00	
		Dispositions	-700,000.00		717.50
S9J5WBJU	CALL SWO_CD X BNPAFRPP 05/15/2019 CDX.NA.IG.31 317586113	Acquisitions	500,000.00	0.00	
		Dispositions	-500,000.00		245.00
S9J6QSMU	CALL SWO_CD X BNPAFRPP 06/19/2019 CDX.NA.IG.32 317586766	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		255.00
S9J6Q59U	CALL SWO_CD X BNPAFRPP 06/19/2019 CDX.NA.IG.32 317586766	Acquisitions	200,000.00	0.00	
		Dispositions	-200,000.00		162.50
S9J8EJ4U	CALL SWO_CD X BNPAFRPP 09/18/2019 CDX.NA.IG.32 3175K6812	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		472.50
S9J3EB9U	CALL SWO_CD X BOFAUS6S 01/16/2019 CDX.NA.IG.31 3175SA393	Acquisitions	900,000.00	0.00	

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S9J3EB8U	CALL SWO_CDX BOFAUS6S 01/16/2019 CDX.NA.IG.31 3175SA443	Free Delivery	-900,000.00		
		Acquisitions	400,000.00	0.00	
S9J3ED2U	CALL SWO_CDX BOFAUS6S 02/20/2019 CDX.NA.IG.31 3175X0308	Free Delivery	-400,000.00		
		Acquisitions	400,000.00	0.00	
S9J3ED6U	CALL SWO_CDX BOFAUS6S 02/20/2019 CDX.NA.IG.31 317583946	Free Delivery	-400,000.00		
		Acquisitions	400,000.00	0.00	
S9J3EB4U	CALL SWO_CDX BOFAUS6S 02/20/2019 CDX.NA.IG.31 317584126	Free Delivery	-400,000.00		
		Acquisitions	200,000.00	0.00	
S9J3ED3U	CALL SWO_CDX BOFAUS6S 03/20/2019 CDX.NA.IG.31 3175X2106	Free Delivery	-200,000.00		
		Acquisitions	300,000.00	0.00	
S9J3EB1U	CALL SWO_CDX BOFAUS6S 03/20/2019 CDX.NA.IG.31 317584225	Free Delivery	-300,000.00		
		Acquisitions	500,000.00	0.00	
S9J3TXVU	CALL SWO_CDX BOFAUS6S 04/17/2019 CDX.NA.IG.31 317584738	Free Delivery	-500,000.00		
		Acquisitions	500,000.00	0.00	
S9J46URU	CALL SWO_CDX BOFAUS6S 05/15/2019 CDX.NA.IG.31 3175SC878	Dispositions	-500,000.00		512.50
		Acquisitions	300,000.00	0.00	
S9J3EC3U	CALL SWO_CDX CHASUS33 02/20/2019 CDX.NA.IG.31 3175SA708	Dispositions	-300,000.00		300.00
		Acquisitions	400,000.00	0.00	
S9J3EC7U	CALL SWO_CDX CHASUS33 03/20/2019 CDX.NA.IG.31 3175SC415	Free Delivery	-400,000.00		
		Acquisitions	300,000.00	0.00	
S9J3ED0U	CALL SWO_CDX CITIUS33 01/16/2019 CDX.NA.IG.31 3175TY846	Free Delivery	-300,000.00		
		Acquisitions	1,300,000.00	0.00	
S9J3EB3U	CALL SWO_CDX CITIUS33 01/16/2019 CDX.NA.IG.31 317583714	Free Delivery	-1,300,000.00		
		Acquisitions	400,000.00	0.00	
S9J3EC0U	CALL SWO_CDX CITIUS33 02/20/2019 CDX.NA.IG.31 3175RT328	Free Delivery	-400,000.00		

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		Acquisitions	200,000.00	0.00	
		Free Delivery	-200,000.00		
S9J3EC2U	CALL SWO_CDX CITIUS33 02/20/2019 CDX.NA.IG.31 3175SA559				
		Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3EC8U	CALL SWO_CDX CITIUS33 02/20/2019 CDX.NA.IG.31 3175SC282				
		Acquisitions	200,000.00	0.00	
		Free Delivery	-200,000.00		
S9J3ED1U	CALL SWO_CDX CITIUS33 02/20/2019 CDX.NA.IG.31 3175SC985				
		Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3ED8U	CALL SWO_CDX CITIUS33 02/20/2019 CDX.NA.IG.31 317583748				
		Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3EC1U	CALL SWO_CDX CITIUS33 03/20/2019 CDX.NA.IG.31 3175SA625				
		Acquisitions	500,000.00	0.00	
		Free Delivery	-500,000.00		
S9J3ED4U	CALL SWO_CDX CITIUS33 03/20/2019 CDX.NA.IG.31 3175X2007				
		Acquisitions	300,000.00	0.00	
		Free Delivery	-300,000.00		
S9J3EB6U	CALL SWO_CDX CITIUS33 03/20/2019 CDX.NA.IG.31 317584027				
		Acquisitions	300,000.00	0.00	
		Free Delivery	-300,000.00		
S9J4BYNU	CALL SWO_CDX CITIUS33 04/17/2019 CDX.NA.IG.31 3175RT799				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		380.00
S9J3LN5U	CALL SWO_CDX CITIUS33 04/17/2019 CDX.NA.IG.31 3175SC753				
		Acquisitions	800,000.00	0.00	
		Dispositions	-800,000.00		840.00
S9J3TZZU	CALL SWO_CDX CITIUS33 04/17/2019 CDX.NA.IG.31 3175SC803				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		425.00
S9J4950U	CALL SWO_CDX CITIUS33 04/17/2019 CDX.NA.IG.31 317584811				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		291.00
S9J4KBMU	CALL SWO_CDX CITIUS33 05/15/2019 CDX.NA.IG.31 3175K1003				
		Acquisitions	1,300,000.00	0.00	
		Dispositions	-1,300,000.00		1,274.00

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S9J52WNU	CALL SWO_CDX CITIUS33 05/15/2019 CDX.NA.IG.31 3175K1011	Acquisitions	500,000.00	0.00	
		Dispositions	-500,000.00		450.00
S9J87TLU	CALL SWO_CDX CITIUS33 08/21/2019 CDX.NA.IG.32 3175SD835	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		279.00
S9J3EB7U	CALL SWO_CDX GSILGB2X 01/16/2019 CDX.NA.IG.31 3175SA419	Acquisitions	500,000.00	0.00	
		Free Delivery	-500,000.00		
S9J3EE1U	CALL SWO_CDX GSILGB2X 02/20/2019 CDX.NA.IG.31 317584134	Acquisitions	200,000.00	0.00	
		Free Delivery	-200,000.00		
S9J44DJU	CALL SWO_CDX GSILGB2X 04/17/2019 CDX.NA.IG.31 3175JT13	Acquisitions	500,000.00	0.00	
		Dispositions	-500,000.00		445.00
S9J45QEU	CALL SWO_CDX GSILGB2X 04/17/2019 CDX.NA.IG.31 3175JT13	Acquisitions	500,000.00	0.00	
		Dispositions	-500,000.00		557.50
S9J3WCBU	CALL SWO_CDX GSILGB2X 04/17/2019 CDX.NA.IG.31 3175SC811	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		446.00
S9J4XLRU	CALL SWO_CDX GSILGB2X 05/15/2019 CDX.NA.IG.31 317584910	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		450.00
S9J8LA6U	CALL SWO_CDX GSILGB2X 08/21/2019 CDX.NA.IG.32 3175JC001	Acquisitions	200,000.00	0.00	
		Dispositions	-200,000.00		194.00
S9J8QB7U	CALL SWO_CDX GSILGB2X 09/18/2019 CDX.NA.IG.32 317587046	Acquisitions	100,000.00	0.00	
		Dispositions	-100,000.00		114.00
S9J3EE0U	CALL SWO_CDX MSCSUS33 01/16/2019 CDX.NA.IG.31 317583755	Acquisitions	500,000.00	0.00	
		Free Delivery	-500,000.00		
S9J3ED7U	CALL SWO_CDX MSCSUS33 02/20/2019 CDX.NA.IG.31 317584191	Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3ED9U	CALL SWO_CDX MSCSUS33 04/17/2019 CDX.NA.IG.31 317584423	Acquisitions	300,000.00	0.00	

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SBFXCMV2	CAMPBELL SOUP CO 3.65% 03-16-2018	Free Delivery	-300,000.00		
		Dispositions	-100,000.00		103,617.56
SBFXCMY5	CAMPBELL SOUP CO 4.8% 03-15-2048	Free Receipt	100,000.00	-97,637.00	
		Dispositions	-125,000.00		126,015.40
SBFXCN64	CAP 1 FINL CORP 3.8% DUE 01-31-2028	Free Receipt	125,000.00	-120,211.30	
		Dispositions	-155,000.00		159,938.71
SBYNQPM9	CARDINAL HLTH INC 4.9% DUE 09-15-2045	Free Receipt	155,000.00	-154,693.10	
		Dispositions	-55,000.00		53,313.68
SB88N0G2	CAROLINA PWR & LT 4.1 DUE 05-15-2042	Free Receipt	55,000.00	-55,953.15	
		Dispositions	-185,000.00		194,891.38
SBMM1RV4	CATERPILLAR INC 4.75% DUE 05-15-2064	Free Receipt	185,000.00	-183,364.00	
		Dispositions	-310,000.00		361,445.50
SBD5JWW8	CBS CORP NEW 4.6% DUE 01-15-2045	Free Receipt	310,000.00	-310,909.40	
		Dispositions	-200,000.00		206,618.31
S9B89NAU	CCP RECEIVABLE FROM UCREDIT SUISSE	Free Receipt	200,000.00	-177,534.00	
		Acquisitions	99,000.00	-99,000.00	
S9B3PJ3U	CCP DUE FROM GOLDMAN SACHS	Dispositions	-629,000.00		629,000.00
		Free Receipt	530,000.00	-530,000.00	
S9B5CCDU	CCP DUE RECEIVABLE FROM GSC	Acquisitions	458,000.00	-458,000.00	
		Dispositions	-491,000.00		491,000.00
S9B2TS7U	CCP OTC DERIVATIVE CASH COLLATERAL	Free Receipt	33,000.00	-33,000.00	
		Acquisitions	723,000.00	-723,000.00	
S9B47XY6	CDN PAC RY CO NEW 6.125% DUE 09-15-2115	Dispositions	-1,094,000.00		1,094,000.00
		Free Receipt	371,000.00	-371,000.00	
	RECEIVABLE FROM GOLDMA	Dispositions	-51,000.00		51,000.00
		Free Receipt	51,000.00	-51,000.00	

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		Dispositions	-655,000.00		879,565.64
		Free Receipt	655,000.00	-691,652.11	
C151020AW4	CELGENE CORP FIXED 4.35% DUE 11-15-2047				
		Acquisitions	35,000.00	-32,267.90	
		Dispositions	-35,000.00		38,077.95
C151020AZ7	CELGENE CORP 4.55% 02-20-2048				
		Acquisitions	275,000.00	-265,465.35	
		Dispositions	-275,000.00		310,628.21
C990082216	CF AHGT GLOBAL REAL ESTATE FUND				
		Acquisitions	920,696.24	-19,600,000.00	
		Free Delivery	-920,696.24		
SBFFFBV2	CHARTER COMMUNICATIONS OPER LLC/CHARTE 4.2% DUE 03-15-2028 BEO				
		Dispositions	-200,000.00		210,026.08
		Free Receipt	200,000.00	-199,514.00	
C16117LBS7	CHARTER COMMUNICATIONS OPERATING, LLC (AKA CCO SAFARI LLC) TL DUE 04-30-2025				
		Dispositions	-195,030.00		195,516.34
		Free Receipt	195,030.00	-194,542.42	
C161175BN3	CHARTER FIXED 5.75% DUE 04-01-2048				
		Dispositions	-235,000.00		251,317.20
		Free Receipt	235,000.00	-234,208.72	
SBZ06JT4	CHARTER 6.384% DUE 10-23-2035				
		Dispositions	-1,015,000.00		1,189,683.61
		Free Receipt	1,015,000.00	-1,022,199.80	
C12543KAN4	CHS/COMMUNITY HEALTH SYSTEMS INC TERM LOAN H DUE 01-27-2021 BEO				
		Dispositions	-188,362.82		180,815.92
		Free Receipt	188,362.82	-185,537.38	
SBYSRKF5	CHUBB INA HOLDINGS 4.35% DUE 11-03-2045				
		Dispositions	-165,000.00		189,690.12
		Free Receipt	165,000.00	-170,706.80	
SBF58H46	CIGNA CORP FIXED 3.05% DUE 10-15-2027				
		Dispositions	-400,000.00		396,668.95
		Free Receipt	400,000.00	-399,232.00	
SB808Q83	CIT GROUP INC NEW 5% DUE 08-15-2022				
		Dispositions	-100,000.00		108,166.67
		Free Receipt	100,000.00	-102,812.50	
SBCL2NF4	CIT GROUP INC 5% DUE 08-01-2023				
		Dispositions	-200,000.00		217,472.22
		Free Receipt	200,000.00	-203,375.00	

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C172967GD7	CITIGROUP INC DEP SHS REPSTG 5.95 12-29-2049	Dispositions	-250,000.00		257,905.00
		Free Receipt	250,000.00	-250,781.25	
SBFMTXQ6	CITIGROUP INC FIXED 3.878% DUE 01-24-2039	Dispositions	-255,000.00		263,521.90
		Free Receipt	255,000.00	-254,015.70	
C172967ME8	CITIGROUP INC FIXED 3.98% 03-20-2030	Acquisitions	370,000.00	-370,000.00	
		Dispositions	-370,000.00		375,363.15
SBYP7GJ4	CITIGROUP INC 3.2% DUE 10-21-2026	Dispositions	-120,000.00		117,529.95
		Free Receipt	120,000.00	-119,929.20	
SBDGKYT1	CITIGROUP INC 3.4% DUE 05-01-2026	Dispositions	-400,000.00		412,970.02
		Free Receipt	400,000.00	-399,192.00	
SBYWG4Z6	CITIGROUP INC 3.52% 10-27-2028	Dispositions	-405,000.00		411,006.22
		Free Receipt	405,000.00	-405,044.15	
SBF347Y6	CITIGROUP INC 3.668% DUE 07-24-2028	Dispositions	-165,000.00		165,294.00
		Free Receipt	165,000.00	-166,078.40	
SBDDXTT8	CITIGROUP INC 3.887% 01-10-2028	Dispositions	-80,000.00		81,367.05
		Free Receipt	80,000.00	-82,202.40	
SBSPCB69	CITIGROUP INC 4.3% DUE 11-20-2026	Dispositions	-80,000.00		84,173.60
		Free Receipt	80,000.00	-81,827.65	
SBY7RB31	CITIGROUP INC 4.45% DUE 09-29-2027	Dispositions	-250,000.00		269,590.26
		Free Receipt	250,000.00	-250,477.75	
SBZ57VQ3	CITIGROUP INC 4.6% DUE 03-09-2026	Dispositions	-210,000.00		219,821.70
		Free Receipt	210,000.00	-212,277.49	
SBDGLCL2	CITIGROUP INC 4.75% DUE 05-18-2046	Dispositions	-135,000.00		148,796.08
		Free Receipt	135,000.00	-137,547.08	
SBMH4H85	CITIGROUP INC 5.3 DUE 05-06-2044	Dispositions	-31,000.00		36,500.20

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C172967KD2	CITIGROUP INC 6.125% DUE 12-29-2049	Free Receipt	31,000.00	-35,909.16	
		Dispositions	-50,000.00		51,440.00
SBG48R11	CITIGROUP INC 6.625 DUE 06-15-2032 BEO	Free Receipt	50,000.00	-50,000.00	
		Dispositions	-70,000.00		88,795.85
SB8G0DX3	CITIGROUP INC 8.125% DUE 07-15-2039	Free Receipt	70,000.00	-86,148.40	
		Dispositions	-112,000.00		181,553.19
SBGHQ1Z4	CLECO PWR LLC 6% DUE 12-01-2040	Free Receipt	112,000.00	-169,049.44	
		Dispositions	-150,000.00		179,365.24
C12667FMF9	CMO CWALT INC 2004-16CB MTG PASSTHRU CTFCL 5-A-1 6% DUE 08-25-2034 REG	Free Receipt	150,000.00	-148,347.00	
		Dispositions	-226,504.35		241,874.45
C59020UAC9	CMO MERRILL LYNCH MTG INVS INC 2004-A1 DUE 02-25-2034	Free Receipt	226,504.35	-236,541.06	
		Dispositions	-104,584.37		106,005.14
SB7VZHG7	CMO SEQUOIA MTG TR 2004-1 2004-5 MTG PASSTHRU CTF CL A-1 VAR 05-25-2034 REG	Free Receipt	104,584.37	-103,799.96	
		Dispositions	-196,012.16		196,743.28
SBD723V1	COLUMBIA PIPELN 5.8% DUE 06-01-2045	Free Receipt	196,012.16	-192,892.36	
		Acquisitions	30,000.00	-33,550.35	
SBFWQ3V2	COMCAST CORP NEW FIXED 3.969% DUE 11-01-2047	Dispositions	-220,000.00		252,465.17
		Free Receipt	190,000.00	-201,314.67	
C20030NBS9	COMCAST CORP NEW 3.15% DUE 03-01-2026	Dispositions	-488,000.00		505,569.55
		Free Receipt	488,000.00	-496,188.64	
SBFY1N04	COMCAST CORP NEW 3.9% DUE 03-01-2038	Dispositions	-30,000.00		30,761.70
		Free Receipt	30,000.00	-29,425.50	
C20030NBM2	COMCAST CORP NEW 4.2% DUE 08-15-2034	Dispositions	-185,000.00		193,661.86
		Free Receipt	185,000.00	-184,102.75	
		Dispositions	-275,000.00		304,112.18
		Free Receipt	275,000.00	-273,398.00	

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SB92PSY5	COMCAST CORP NEW 4.25% DUE 01-15-2033	Dispositions	-45,000.00		49,904.74
		Free Receipt	45,000.00	-45,146.85	
C20030NCL3	COMCAST CORP NEW 4.6% 10-15-2038	Dispositions	-205,000.00		232,549.79
		Free Receipt	205,000.00	-203,899.95	
C20030NCN9	COMCAST CORP NEW 4.95% DUE 10-15-2058	Dispositions	-173,000.00		207,022.97
		Free Receipt	173,000.00	-173,191.33	
SB0B7ZQ0	COMCAST CORP NEW 5.65% DUE 06-15-2035	Dispositions	-170,000.00		210,383.63
		Free Receipt	170,000.00	-204,368.90	
SBGV15K8	COMCAST CORP 4.7% 10-15-2048	Acquisitions	30,000.00	-32,719.50	
		Dispositions	-1,360,000.00		1,573,008.87
		Free Receipt	1,330,000.00	-1,320,802.70	
C20337EAQ4	COMMScope INC. TLB DUE 04-06-2028 BEO	Acquisitions	100,000.00	-99,000.00	
		Dispositions	-100,000.00		100,000.00
SBYQ8HF3	COMWLTH EDISON CO 4.35% DUE 11-15-2045	Dispositions	-410,000.00		452,189.00
		Free Receipt	410,000.00	-428,868.20	
SBF2SVR0	CONAGRA BRANDS INC 5.4% 11-01-2048	Dispositions	-600,000.00		662,518.89
		Free Receipt	600,000.00	-596,988.00	
C20605PAL5	CONCHO RES INC 4.85% DUE 08-15-2048	Dispositions	-75,000.00		78,218.65
		Free Receipt	75,000.00	-74,805.00	
C20605PAK7	CONCHO RESOURCES INC 4.3% 08-18-2028	Dispositions	-40,000.00		40,302.80
		Free Receipt	40,000.00	-38,979.20	
SBG48S85	CONOCO FDG CO 7.25% DUE 10-15-2031	Dispositions	-240,000.00		330,900.90
		Free Receipt	240,000.00	-325,542.40	
SB2PJ1B3	CONOCO INC 6.95% DUE 04-15-2029	Dispositions	-330,000.00		437,793.06
		Free Receipt	330,000.00	-428,604.27	
SBSL17W8	CONOCOPHILLIPS CO 4.3% DUE 11-15-2044				

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		Dispositions	-300,000.00		328,744.44
		Free Receipt	300,000.00	-298,344.00	
SBD3GJ31	CONS EDISON CO N Y 4.3% DUE 12-01-2056				
		Dispositions	-105,000.00		111,385.17
		Free Receipt	105,000.00	-104,085.45	
S2014656	CONSTELLATION ENERGY GROUP INC 7.6 DUE 04-01-2032 BEO				
		Dispositions	-230,000.00		310,997.91
		Free Receipt	230,000.00	-302,956.00	
C21036PAZ1	CONSTELLATION 4.1% 02-15-2048				
		Dispositions	-210,000.00		202,005.71
		Free Receipt	210,000.00	-203,718.75	
SBDGKT74	CONSTELLATION 4.5% DUE 05-09-2047				
		Dispositions	-80,000.00		81,104.52
		Free Receipt	80,000.00	-79,647.20	
SBGHWB63	CONSTELLATION 4.65% DUE 11-15-2028				
		Dispositions	-55,000.00		60,743.99
		Free Receipt	55,000.00	-54,846.00	
SBGHWB74	CONSTELLATION 5.25% DUE 11-15-2048				
		Acquisitions	130,000.00	-128,952.45	
		Dispositions	-180,000.00		204,348.30
		Free Receipt	50,000.00	-49,796.00	
SBF19CF9	CONTL RES INC 4.375% DUE 01-15-2028				
		Dispositions	-435,000.00		457,449.09
		Free Receipt	435,000.00	-433,073.55	
SBCCWFC4	CONTL RES INC 4.5 DUE 04-15-2023				
		Dispositions	-100,000.00		105,423.32
		Free Receipt	100,000.00	-70,625.00	
SBYN68H5	CONTL RES INC 4.9% DUE 06-01-2044				
		Acquisitions	145,000.00	-148,028.45	
		Dispositions	-265,000.00		284,092.77
		Free Receipt	120,000.00	-116,161.68	
C21684AAF3	COOPERATIVE 3.75% DUE 07-21-2026				
		Dispositions	-400,000.00		413,436.79
		Free Receipt	400,000.00	-398,184.00	
C225401AF5	CR SUISSE GROUP AG FLTG RT 3.869% DUE 01-12-2029				
		Dispositions	-400,000.00		415,362.98
		Free Receipt	400,000.00	-400,000.00	
C225401AC2	CR SUISSE GROUP AG 4.282% DUE 01-09-2028				

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		Dispositions	-285,000.00		294,232.26
		Free Receipt	285,000.00	-284,891.03	
SBY4K810	CR SUISSE GROUP FDG GUERNSEY LTD 4.875% 05-15-2045				
		Dispositions	-40,000.00		45,906.51
		Free Receipt	40,000.00	-44,812.40	
SBYVGMD1	CR SUISSE GROUP 3.8% DUE 06-09-2023				
		Dispositions	-400,000.00		414,059.14
		Free Receipt	400,000.00	-398,856.00	
SB9444C0	CROWN CASTLE INTL 5.25% DUE 01-15-2023				
		Dispositions	-200,000.00		220,588.36
		Free Receipt	200,000.00	-209,154.00	
C12644VAC2	CSN RES S A 7.625% DUE 04-17-2026				
		Acquisitions	200,000.00	-197,348.00	
		Dispositions	-200,000.00		215,338.19
C126408HL0	CSX CORP FIXED 4.65% DUE 03-01-2068				
		Dispositions	-300,000.00		317,997.76
		Free Receipt	300,000.00	-298,839.00	
S9J7MQSU	CURRENCY OPTION CALL CITIUS33 USD/BRL 4 European 05-16-2019 31750EQG4				
		Acquisitions	180,000.00	0.00	
		Dispositions	-180,000.00		1,186.40
S9J7PRAU	CURRENCY OPTION CALL MSLNGB2X USD/BRL 4.075 European 05-20-2019 31750EQL3				
		Acquisitions	200,000.00	0.00	
		Dispositions	-200,000.00		1,409.00
S9J8NQBU	CURRENCY OPTION CALL MSLNGB2X USD/BRL 4.1585 European 06-18-2019 31750EV37				
		Acquisitions	187,000.00	0.00	
		Dispositions	-187,000.00		1,216.25
SBD72463	CVS HEALTH CORP 2.875% DUE 06-01-2026				
		Dispositions	-120,000.00		116,877.10
		Free Receipt	120,000.00	-118,761.60	
SBF3V2P3	CVS HEALTH CORP 4.3% DUE 03-25-2028				
		Acquisitions	60,000.00	-61,034.70	
		Dispositions	-245,000.00		252,475.88
		Free Receipt	185,000.00	-182,398.90	
SBF4JK96	CVS HEALTH CORP 4.78% DUE 03-25-2038				
		Dispositions	-880,000.00		909,724.36
		Free Receipt	880,000.00	-862,523.20	
SBF4JKH4	CVS HEALTH CORP 5.05% DUE 03-25-2048				
		Acquisitions	215,000.00	-217,020.65	

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		Dispositions	-1,115,000.00		1,139,975.71
		Free Receipt	900,000.00	-899,494.50	
SBYPFC45	CVS HEALTH CORP 5.125% DUE 07-20-2045				
		Dispositions	-165,000.00		174,677.93
		Free Receipt	165,000.00	-168,096.84	
SBDGKT07	DAIMLER FIN NORTH AMER LLC NT FLTG RATE 144A 05-05-2020				
		Dispositions	-400,000.00		402,569.98
		Free Receipt	400,000.00	-400,000.00	
SB085ZJ2	DAIMLERCHRYSLER N 8.5% DUE 01-18-2031				
		Dispositions	-55,000.00		79,033.90
		Free Receipt	55,000.00	-83,105.05	
SB3ZT723	DALLAS CNTY TEX HOSP DIST 5.621% 08-15-2044 BEO TAXABLE				
		Acquisitions	260,000.00	-315,894.80	
		Dispositions	-260,000.00		343,949.30
SBD24YZ3	DEFAULTED PACIFIC GAS & ELEC CO 0.0% DUE 12-31-2040				
		Dispositions	-50,000.00		37,375.00
		Free Receipt	50,000.00	-49,782.00	
SBJNYS63	DELL INTL L L C / 4% DUE 07-15-2024				
		Acquisitions	300,000.00	-298,677.00	
		Dispositions	-300,000.00		308,860.01
SB3X0DQ4	DELTA AIR LINES INC DEL 4.95% DUE 11-23-2020				
		Dispositions	-56,548.16		56,548.16
		Free Receipt	56,548.16	-56,932.62	
SB4LM820	DELTA AIR LINES 7.75% DUE 06-17-2021				
		Dispositions	-34,494.91		35,178.85
		Free Receipt	34,494.91	-35,615.99	
SBFWFZJ1	DEUTSCHE BK AG N Y FIXED 4.25% DUE 10-14-2021				
		Dispositions	-600,000.00		611,021.14
		Free Receipt	600,000.00	-598,578.00	
SB1S7195	DEUTSCHE TELEKOM STEP CPN 8.75% DUE 06-15-2030				
		Dispositions	-700,000.00		1,001,705.71
		Free Receipt	700,000.00	-853,281.18	
SBYNCYY2	DEVON ENERGY CORP FIXED 5% DUE 06-15-2045				
		Dispositions	-90,000.00		101,713.56
		Free Receipt	90,000.00	-83,921.40	
SBFN08Q3	DEVON ENERGY CORP 4.75% DUE 05-15-2042				
		Dispositions	-10,000.00		10,768.39
		Free Receipt	10,000.00	-9,087.90	

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SB3Q7W10	DEVON ENERGY CORP 5.6 DUE 07-15-2041	Acquisitions	115,000.00	-126,530.10	
		Dispositions	-115,000.00		138,185.57
SB8NXVQ4	DIAGEO CAP PLC 3.875% DUE 04-29-2043	Dispositions	-200,000.00		212,466.53
		Free Receipt	200,000.00	-189,706.80	
C25272YAE3	DIAMOND RESORTS INTERNATIONAL INC TERM LOAN DUE 09-02-2023	Dispositions	-99,498.74		95,776.94
		Free Receipt	99,498.74	-97,508.76	
SBD96MQ9	DIAMOND 1 FIN CORP 4.42% DUE 06-15-2021	Dispositions	-700,000.00		720,916.99
		Free Receipt	700,000.00	-737,039.00	
C25466AAP6	DISCOVER BANK 4.65% 09-13-2028	Dispositions	-300,000.00		329,310.12
		Free Receipt	300,000.00	-299,550.00	
C25470DAS8	DISCOVERY COMMUNICATIONS LLC 5.0% DUE 09-20-2037/09-21-2017	Dispositions	-120,000.00		124,015.65
		Free Receipt	120,000.00	-119,880.00	
SBDGS7J6	DISCOVERY COMMUNICATIONS LLC 5.2% 09-20-2047	Dispositions	-160,000.00		166,906.18
		Free Receipt	160,000.00	-160,057.76	
C25470DBG3	DISCOVERY COMMUNICATIONS LLC 5.3% 05-15-2049	Acquisitions	130,000.00	-130,155.30	
		Dispositions	-130,000.00		136,504.91
S9A3XY4L	DOMESTIC MASTER FORWARD COLLATERAL PAYABLE TO:MORGAN STANLEY	Acquisitions	250,000.00	-250,000.00	
		Free Delivery	-250,000.00		
S9A41GUL	DOMESTIC MASTER FORWARD COLLATERAL PAYABLE TO:UBS	Acquisitions	250,000.00	-250,000.00	
		Free Delivery	-250,000.00		
SBD60ZF4	DOMINION GAS HLDGS 2.8% DUE 11-15-2020	Dispositions	-400,000.00		403,440.07
		Free Receipt	400,000.00	-406,124.00	
SBGL9DP3	DOWDUPONT INC 5.319% DUE 11-15-2038	Acquisitions	45,000.00	-48,201.05	
		Dispositions	-45,000.00		52,285.88
SBGL9DS6	DOWDUPONT INC 5.419% DUE 11-15-2048	Dispositions	-580,000.00		659,980.91

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S2428707	DUKE ENERGY CORP SR NT SER A 6 DUE 12-01-2028 BEO	Free Receipt	580,000.00	-585,752.20	
		Dispositions	-45,000.00		55,156.50
SBF46ZJ2	DUKE ENERGY CORP 3.95% DUE 08-15-2047	Free Receipt	45,000.00	-54,518.10	
		Dispositions	-190,000.00		188,481.70
SBBWX683	DUKE ENERGY IND 4.9 DUE 07-15-2043	Free Receipt	190,000.00	-181,156.20	
		Dispositions	-170,000.00		200,011.94
SB4M4CP6	DUKE ENERGY IND 6.35% DUE 08-15-2038	Free Receipt	170,000.00	-188,723.60	
		Dispositions	-325,000.00		446,248.49
SB4NTXW4	DUKE ENERGY IND 6.45% DUE 04-01-2039	Free Receipt	325,000.00	-425,700.55	
		Dispositions	-45,000.00		62,549.59
SB799H27	DUKE ENERGY 4.25% DUE 12-15-2041	Free Receipt	45,000.00	-58,832.10	
		Dispositions	-45,000.00		48,961.64
SBQRRXS4	EATON CORP OHIO 4 DUE 11-02-2032	Free Receipt	45,000.00	-45,624.30	
		Dispositions	-170,000.00		186,857.19
C278642AF0	EBAY INC 4 DUE 07-15-2042	Free Receipt	170,000.00	-170,219.30	
		Dispositions	-375,000.00		346,064.81
SBYXVW12	EDF S A 4.75% DUE 10-13-2035	Free Receipt	375,000.00	-317,643.75	
		Dispositions	-135,000.00		137,276.25
C268317AQ7	EDF S A 4.95% DUE 10-13-2045	Free Receipt	135,000.00	-133,534.90	
		Dispositions	-405,000.00		438,323.52
S2836399	EL PASO ENERGY CORP MTN BOOKTRANCHE # TR00005 7.75 1-15-2032 BEO	Free Receipt	405,000.00	-403,977.78	
		Dispositions	-20,000.00		27,409.26
SB82B6J7	EL PASO PIPELN 4.7% DUE 11-01-2042	Free Receipt	20,000.00	-25,485.80	
		Dispositions	-40,000.00		40,032.61
SBYMVD32	EMERA US FIN LP 4.75% DUE 06-15-2046	Free Receipt	40,000.00	-38,286.00	

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		Dispositions	-165,000.00		176,231.18
		Free Receipt	165,000.00	-180,587.55	
SB23Y0M4	ENCANA CORP 6.625% DUE 08-15-2037				
		Dispositions	-100,000.00		124,564.00
		Free Receipt	100,000.00	-107,034.00	
SBDHTRM1	ENERGY TRANSFER FIXED 5.3% DUE 04-15-2047				
		Acquisitions	50,000.00	-47,595.05	
		Dispositions	-220,000.00		224,745.40
		Free Receipt	170,000.00	-166,827.77	
SBYQ24Q1	ENERGY TRANSFER FIXED 6.125% DUE 12-15-2045				
		Dispositions	-135,000.00		150,842.39
		Free Receipt	135,000.00	-127,388.39	
SBWBXR55	ENERGY TRANSFER 4.9% DUE 03-15-2035				
		Dispositions	-155,000.00		154,595.77
		Free Receipt	155,000.00	-143,998.65	
SB8H38T2	ENERGY TRANSFER 5.15% DUE 02-01-2043				
		Dispositions	-15,000.00		14,971.27
		Free Receipt	15,000.00	-13,649.10	
SBXVMC65	ENERGY TRANSFER 5.15% DUE 03-15-2045				
		Dispositions	-155,000.00		155,075.90
		Free Receipt	155,000.00	-141,937.07	
SBJCYMH3	ENERGY TRANSFER 5.25% DUE 04-15-2029				
		Acquisitions	80,000.00	-79,831.20	
		Dispositions	-80,000.00		83,580.80
SBDWGZW3	ENERGY TRANSFER 5.95 DUE 10-01-2043				
		Dispositions	-155,000.00		169,169.72
		Free Receipt	155,000.00	-159,768.45	
SBJCYMJ5	ENERGY TRANSFER 6.25% DUE 04-15-2049				
		Acquisitions	470,000.00	-480,023.95	
		Dispositions	-470,000.00		539,430.91
SBFXCN75	ENERGY TRANSFER 6% DUE 06-15-2048				
		Dispositions	-5,000.00		4,879.05
		Free Receipt	5,000.00	-4,945.00	
SBG48VF3	ENERGY TRANSFER 7.5% DUE 07-01-2038				
		Dispositions	-500,000.00		642,370.75
		Free Receipt	500,000.00	-568,470.86	
SBDVJS80	ENLINK MIDSTREAM 5.45% DUE 06-01-2047				
		Dispositions	-300,000.00		259,794.58

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SB410GD1	ENTERGY LA LLC 1ST MTG BD 4.44 DUE 01-15-2026	Free Receipt	300,000.00	-299,943.00	
		Dispositions	-10,000.00		10,948.91
SBJKGSC8	ENTERGY LA LLC 4.2% 04-01-2050	Free Receipt	10,000.00	-10,968.90	
		Acquisitions	100,000.00	-99,293.00	
SBY25J66	ENTERPRISE PRODS FIXED 4.9% DUE 05-15-2046	Dispositions	-100,000.00		109,464.62
		Dispositions	-5,000.00		5,594.92
SBFY1ZL9	ENTERPRISE PRODS OPER LLC 4.25% 02-15-2048	Free Receipt	5,000.00	-4,723.92	
		Dispositions	-200,000.00		202,185.37
SBF39VN8	ENTERPRISE PRODS OPER LLC 4.8% 02-01-2049	Free Receipt	200,000.00	-199,730.00	
		Acquisitions	25,000.00	-26,615.25	
SBRKFLN3	ENTERPRISE PRODS 3.75% DUE 02-15-2025	Dispositions	-550,000.00		600,746.17
		Free Receipt	525,000.00	-519,759.82	
SB9M5X40	ENTERPRISE PRODS 4.85% DUE 03-15-2044	Dispositions	-390,000.00		408,314.40
		Free Receipt	390,000.00	-390,059.40	
SBRHVCC0	ENTERPRISE PRODS 4.95% DUE 10-15-2054	Dispositions	-25,000.00		27,416.26
		Free Receipt	25,000.00	-25,650.75	
SBJT1608	ENTERPRISE PRODS 5.1% DUE 02-15-2045	Dispositions	-150,000.00		162,471.83
		Free Receipt	150,000.00	-139,913.55	
SB56MMZ3	ENTERPRISE PRODS 5.7% DUE 02-15-2042	Dispositions	-300,000.00		342,913.26
		Free Receipt	300,000.00	-307,689.00	
SB06K8Q5	ENTERPRISE PRODS 5.7% DUE 02-15-2042	Dispositions	-45,000.00		53,552.38
		Free Receipt	45,000.00	-49,393.86	
S2829429	ENTERPRISE PRODS 6.65% DUE 10-15-2034	Dispositions	-170,000.00		223,382.96
		Free Receipt	170,000.00	-207,130.80	
	ENTERPRISE PRODS 6.875% DUE 03-01-2033	Dispositions	-90,000.00		120,302.99
		Free Receipt	90,000.00	-117,355.50	

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C29373UAC5	ENVISION HEALTHCARE CORPORATION DUE 10-11-2025	Dispositions	-200,000.00		186,284.38
		Free Receipt	200,000.00	-199,500.00	
SBZ1C601	EQM MIDSTREAM 4.125% DUE 12-01-2026	Dispositions	-100,000.00		95,934.98
		Free Receipt	100,000.00	-99,191.00	
SBZ2Z1G0	EQT CORP 3.9% DUE 10-01-2027	Dispositions	-200,000.00		194,167.31
		Free Receipt	200,000.00	-199,836.00	
SBD978H5	EXELON CORP 4.95% DUE 06-15-2035	Dispositions	-205,000.00		226,163.74
		Free Receipt	205,000.00	-205,706.30	
SB715FY1	EXELON GENERATION 5.6 DUE 06-15-2042	Dispositions	-440,000.00		484,379.29
		Free Receipt	440,000.00	-460,368.80	
SBGPYWR9	EXPRESS SCRIPTS 3.4% DUE 03-01-2027	Dispositions	-100,000.00		101,514.72
		Free Receipt	100,000.00	-99,959.00	
SBGPYWT1	EXPRESS SCRIPTS 4.8% DUE 07-15-2046	Dispositions	-260,000.00		270,859.55
		Free Receipt	260,000.00	-259,887.59	
SBHZFZM5	FEDEX CORP BOND 5.1 DUE 01-15-2044	Acquisitions	115,000.00	-119,015.00	
		Dispositions	-115,000.00		124,887.78
SBF42ZD4	FEDEX CORP 4.05% DUE 02-15-2048	Acquisitions	100,000.00	-88,066.85	
		Dispositions	-100,000.00		94,188.21
SBV9HFG9	FEDEX CORP 4.1% DUE 02-01-2045	Dispositions	-50,000.00		47,502.89
		Free Receipt	50,000.00	-49,716.00	
SBYYPG0	FEDEX CORP 4.4% DUE 01-15-2047	Acquisitions	50,000.00	-47,137.00	
		Dispositions	-100,000.00		99,849.45
		Free Receipt	50,000.00	-51,861.00	
SBGK3JZ8	FEDEX CORP 4.95% 10-17-2048	Acquisitions	40,000.00	-40,461.60	
		Dispositions	-445,000.00		482,651.84
		Free Receipt	405,000.00	-400,547.40	

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SBF4NTR9	FINANCIAL RISK 6.875% SNR 15/11/2026 EUR	Dispositions	-100,000.00		113,771.02
		Free Receipt	100,000.00	-116,995.00	
SBDC3P80	FIRSTENERGY CORP 4.85% 07-15-2047	Dispositions	-90,000.00		100,378.24
		Free Receipt	90,000.00	-89,432.10	
SBGBN0F4	FISERV INC 4.4% DUE 07-01-2049	Acquisitions	250,000.00	-248,470.00	
		Dispositions	-250,000.00		254,135.87
SB8C07L3	FL PWR & LT CO 4.05% DUE 06-01-2042	Dispositions	-225,000.00		241,894.81
		Free Receipt	225,000.00	-224,849.90	
S2579467	FL PWR & LT CO 5.625% DUE 04-01-2034	Dispositions	-20,000.00		25,414.43
		Free Receipt	20,000.00	-24,533.20	
SB2O0F35	FL PWR & LT CO 5.95% DUE 02-01-2038	Dispositions	-30,000.00		40,387.02
		Free Receipt	30,000.00	-38,048.70	
S2013879	FL PWR & LT CO 5.95% DUE 10-01-2033	Dispositions	-45,000.00		58,251.14
		Free Receipt	45,000.00	-57,066.30	
SB27YLH2	FLORIDA PWR CORP 6.35% DUE 09-15-2037	Dispositions	-265,000.00		363,562.53
		Free Receipt	265,000.00	-353,134.03	
SB39XY20	FLORIDA PWR CORP 6.4% DUE 06-15-2038	Dispositions	-55,000.00		75,392.06
		Free Receipt	55,000.00	-72,873.00	
SB02FN14	FORD HLDGS INC 9.3% DUE 03-01-2030	Dispositions	-134,000.00		170,074.70
		Free Receipt	134,000.00	-191,077.98	
SBG48VR5	FORD MTR CO DEL 7.4% DUE 11-01-2046	Dispositions	-105,000.00		115,480.43
		Free Receipt	105,000.00	-138,513.82	
S2445643	FORD MTR CO DEL 7.45% DUE 07-16-2031	Dispositions	-115,000.00		135,681.68
		Free Receipt	115,000.00	-149,854.04	
SBJYMJ29	FORD MTR CR CO LLC FIXED 5.113% 05-03-2029	Acquisitions	480,000.00	-480,000.00	

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SBJP13R8	FORD MTR CR CO LLC VAR RT 2.86475% DUE 09-24-2020	Dispositions	-480,000.00		485,801.98
		Dispositions	-300,000.00		299,732.90
SBFZHS95	FORD MTR CR CO LLC 4.687% 06-09-2025	Free Receipt	300,000.00	-300,000.00	
		Dispositions	-300,000.00		306,673.07
		Free Receipt	300,000.00	-300,000.00	
C999999OA8	FUT CALL APR 19 T-NOTE OPTION 1235	Acquisitions	2.00	-1.12	
		Dispositions	-2.00		340.56
C999999OA8	FUT CALL JUL 19 CBT 5Y T-NOTE 116.75	Acquisitions	4.00	-2.24	
		Dispositions	-4.00		681.12
C999999OA8	FUT CALL JUL 19 T-NOTE OPTION 126.50	Acquisitions	3.00	-1.68	
		Dispositions	-3.00		417.09
C999999OA8	FUT CALL MAR 19 T-NOTE OPTION 1235	Acquisitions	2.00	-0.20	
		Dispositions	-2.00		249.20
C999999OA8	FUT CALL MAY 19 T-NOTE OPTION 1250	Acquisitions	1.00	-0.10	
		Dispositions	-1.00		108.13
C999999OA8	FUT PUT APR 19 T-NOTE OPTION 121.00	Acquisitions	2.00	-0.20	
		Dispositions	-2.00		309.30
C999999OA8	FUT PUT JUL 19 T-NOTE OPTION 1230	Acquisitions	3.00	-0.30	
		Dispositions	-3.00		370.20
C999999OA8	FUT PUT MAR 19 T-NOTE OPTION 120.50	Acquisitions	2.00	-0.20	
		Dispositions	-2.00		245.80
C999999OA8	FUT PUT MAY 19 T-NOTE OPTION 1230	Acquisitions	1.00	-0.10	
		Dispositions	-1.00		186.25
C999599GH0	FUT SEP 19 10 YR T-NOTES	Free Delivery	-90.00		
C999599GH0	FUT SEP 19 10 YR T-NOTES	Free Receipt	3.00	-379,500.00	

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		Free Delivery	-3.00		
		Free Receipt	90.00	-11,313,984.38	
SB78K6L3	GA PWR CO SR NT SER 2012A 4.3 DUE 03-15-2042	Dispositions	-220,000.00		228,531.37
		Free Receipt	220,000.00	-214,791.66	
SBYNWXZ6	GE CAP INTL FDG CO 4.418% DUE 11-15-2035	Dispositions	-1,182,000.00		1,155,424.56
		Free Receipt	1,182,000.00	-1,172,444.87	
SBYZ5N31	GEN MTRS FINL CO FIXED 4.3% DUE 07-13-2025	Dispositions	-100,000.00		104,475.68
		Free Receipt	100,000.00	-99,863.00	
C369604BQ5	GENERAL ELEC CO CORP 5.0% 12-29-2049	Dispositions	-600,000.00		573,375.00
		Free Receipt	600,000.00	-584,170.00	
SB7T4807	GENERAL ELEC CO 4.125% DUE 10-09-2042	Dispositions	-215,000.00		196,635.98
		Free Receipt	215,000.00	-212,874.91	
SBD93QC4	GENERAL MTRS CO 5.15% DUE 04-01-2038	Acquisitions	30,000.00	-26,620.20	
		Dispositions	-430,000.00		419,972.26
		Free Receipt	400,000.00	-399,748.00	
SBGLRQ81	GENERAL MTRS CO 5.95% DUE 04-01-2049	Acquisitions	190,000.00	-187,770.55	
		Dispositions	-190,000.00		194,755.35
SBP8Y7Q3	GENERAL MTRS CO 6.25% DUE 10-02-2043	Acquisitions	30,000.00	-30,900.00	
		Dispositions	-315,000.00		331,381.18
		Free Receipt	285,000.00	-304,521.99	
SBYXXG0	GENERAL MTRS CO 6.6% DUE 04-01-2036	Dispositions	-350,000.00		384,709.01
		Free Receipt	350,000.00	-356,451.65	
SBSNBW0	GILEAD SCIENCES 4.5% DUE 02-01-2045	Dispositions	-115,000.00		123,444.44
		Free Receipt	115,000.00	-122,121.95	
C375558BG7	GILEAD SCIENCES 4.6% DUE 09-01-2035	Dispositions	-240,000.00		268,785.96
		Free Receipt	240,000.00	-241,327.50	
C375558BD4	GILEAD SCIENCES 4.75% DUE 03-01-2046				

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		Dispositions	-295,000.00		325,572.87
		Free Receipt	295,000.00	-295,773.48	
C375558BJ1	GILEAD SCIENCES 4% DUE 09-01-2036				
		Dispositions	-75,000.00		78,694.22
		Free Receipt	75,000.00	-74,572.50	
SBF108Q5	GLAXOSMITHKLINE 3.875% DUE 05-15-2028				
		Dispositions	-130,000.00		133,059.05
		Free Receipt	130,000.00	-129,989.60	
SB90SSN5	GOLDCORP INC NEW 3.7% DUE 03-15-2023				
		Dispositions	-450,000.00		450.00
		Free Receipt	450,000.00	-447,439.50	
C38148YAA6	GOLDMAN SACHS FLTG RT 4.017% DUE 10-31-2038				
		Dispositions	-290,000.00		296,432.12
		Free Receipt	290,000.00	-285,429.50	
C38141GWZ3	GOLDMAN SACHS GROUP INC 4.223% 05-01-2029				
		Dispositions	-105,000.00		107,279.50
		Free Receipt	105,000.00	-101,977.05	
SBZ12537	GOLDMAN SACHS 3.5% DUE 11-16-2026				
		Dispositions	-295,000.00		285,383.00
		Free Receipt	295,000.00	-294,235.95	
SBF6Q761	GOLDMAN SACHS 3.691% DUE 06-05-2028				
		Dispositions	-5,000.00		4,854.20
		Free Receipt	5,000.00	-4,742.90	
SBFXTLH2	GOLDMAN SACHS 4.411% DUE 04-23-2039				
		Dispositions	-55,000.00		58,737.76
		Free Receipt	55,000.00	-53,335.97	
SBYNZDD3	GOLDMAN SACHS 4.75% DUE 10-21-2045				
		Acquisitions	150,000.00	-151,362.00	
		Dispositions	-150,000.00		168,868.50
SBP4W4X7	GOLDMAN SACHS 4.8% DUE 07-08-2044				
		Dispositions	-475,000.00		541,256.07
		Free Receipt	475,000.00	-486,983.82	
SBY58YT3	GOLDMAN SACHS 5.15% DUE 05-22-2045				
		Dispositions	-375,000.00		418,342.29
		Free Receipt	375,000.00	-375,157.42	
SB9CMD63	GOLDMAN SACHS 6.75% DUE 10-01-2037				
		Dispositions	-300,000.00		392,788.31
		Free Receipt	300,000.00	-310,665.43	

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SBCFKLB6	GRAND PARKWAY TRANSN CORP TEX SYS TOLL REV 5.184% 10-01-2042 BEO TAXABLE	Dispositions	-200,000.00		252,424.40
		Free Receipt	200,000.00	-233,216.00	
SBYNR6K7	HALLIBURTON CO 4.85% DUE 11-15-2035	Dispositions	-235,000.00		247,935.98
		Free Receipt	235,000.00	-234,934.70	
SBYNR4N6	HALLIBURTON CO 5% DUE 11-15-2045	Dispositions	-415,000.00		428,806.89
		Free Receipt	415,000.00	-412,638.73	
SB836JX6	HARTFORD FINL SVCS SR NT 6.625 DUE 03-30-2040	Dispositions	-150,000.00		188,604.57
		Free Receipt	150,000.00	-201,547.41	
SBDCT8F6	HEWLETT PACKARD STEP CPN 6.35% DUE 10-15-2045	Dispositions	-100,000.00		109,737.31
		Free Receipt	100,000.00	-99,932.00	
SBDGLXQ4	HOME DEPOT INC 3.9% DUE 06-15-2047	Dispositions	-180,000.00		190,901.80
		Free Receipt	180,000.00	-181,088.40	
SBR30BC4	HOME DEPOT INC 4.4 DUE 03-15-2045 REG	Dispositions	-300,000.00		337,280.23
		Free Receipt	300,000.00	-308,010.00	
SBDD8L53	HOME DEPOT INC 4.875 DUE 02-15-2044	Dispositions	-240,000.00		287,843.32
		Free Receipt	240,000.00	-265,437.10	
SBG48WF0	HSBC BK USA N A GLOBAL MEDIUM TERM SUB BNT 5.625 DUE 08-15-2035	Dispositions	-255,000.00		305,200.99
		Free Receipt	255,000.00	-303,794.67	
SBG48WG1	HSBC BK USA N A 7 DUE 01-15-2039	Dispositions	-980,000.00		1,242,502.66
		Free Receipt	980,000.00	-937,818.98	
SBFN0JH1	HSBC BK USA 5.875 11-01-2034	Dispositions	-90,000.00		113,449.48
		Free Receipt	90,000.00	-105,120.99	
SBJLD4R5	HSBC HLDGS PLC FIXED 3.973% 05-22-2030	Acquisitions	150,000.00	-150,000.00	
		Dispositions	-150,000.00		153,388.75
SBFN0JF9	HSBC HLDGS PLC 6.5% DUE 09-15-2037	Dispositions	-100,000.00		130,471.99

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VISTRA ENERGY RETIREMENT PLAN

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Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBG5GXL6	HSBC HLDGS PLC 7.625% DUE 05-17-2032	Free Receipt	100,000.00	-102,163.00	
		Dispositions	-100,000.00		136,824.42
SBOZJCM9	HUMANA INC 4.95% DUE 10-01-2044	Free Receipt	100,000.00	-101,124.50	
		Dispositions	-65,000.00		71,271.55
S5A8QDMU	IHO VERWALTUNGS GMBH PIK 15/05/2027 144A	Free Receipt	65,000.00	-67,358.20	
		Acquisitions	100,000.00	-109,940.99	
SBJRF873	IHS MARKIT LTD 4.25% DUE 05-01-2029	Dispositions	-100,000.00		112,638.98
		Acquisitions	200,000.00	-198,844.00	
SBYZKM62	IN DEFAULT PACIFIC GAS & ELEC CO 0.0% DUE 12-31-2040	Dispositions	-200,000.00		209,814.44
		Free Receipt	40,000.00	-37,035.60	
SB8FPMT8	IN DEFAULT PAC GAS & ELEC CO NO-INCOME 0% DUE 12-31-2040	Dispositions	-400,000.00		342,706.25
		Free Receipt	400,000.00	-437,711.80	
SB3N1HV0	IN DEFAULT PACIFIC GAS & ELEC CO 0.0% DUE 12-31-2040 REG	Dispositions	-100,000.00		96,000.10
		Free Receipt	100,000.00	-95,393.75	
SBFXV4T1	INDIANA MICH PWR CO 4.25% 08-15-2048	Dispositions	-185,000.00		198,684.62
		Free Receipt	185,000.00	-186,341.80	
SBFMFJQ6	INTEL CORP 3.734% 12-08-2047 BEO	Dispositions	-201,000.00		204,058.75
		Free Receipt	201,000.00	-200,587.64	
SBF3W9R7	INTEL CORP 4.1% DUE 05-11-2047	Dispositions	-200,000.00		214,917.84
		Free Receipt	200,000.00	-198,838.00	
C458140AN0	INTEL CORP 4% DUE 12-15-2032	Dispositions	-175,000.00		193,499.47
		Free Receipt	175,000.00	-173,496.75	
SBF4Q3X2	INTERCONTINENTAL 4.25% DUE 08-21-2048	Dispositions	-175,000.00		182,496.15
		Free Receipt	175,000.00	-173,754.00	
SBF037N5	INTERNATIONAL PAPER CO 4.35% DUE 08-15-2048				

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		Acquisitions	35,000.00	-32,927.55	
		Dispositions	-35,000.00		31,942.40
SBF5HW37	INTERPUBLIC GROUP COS INC 5.4% 10-01-2048				
		Dispositions	-200,000.00		217,144.44
SBYYGTQ0	INTL PAPER CO 4.4% DUE 08-15-2047	Free Receipt	200,000.00	-198,878.00	
		Acquisitions	60,000.00	-56,592.75	
SBXT7CG2	INTL PAPER CO 5.15% DUE 05-15-2046	Dispositions	-60,000.00		58,144.85
		Dispositions	-130,000.00		122,634.15
		Free Receipt	130,000.00	-138,057.92	
SBDG0907	JOHNSON CTLS INTL PLC SR NT STEP UP 07-02-2064 12-28-2016				
		Dispositions	-80,000.00		76,640.55
		Free Receipt	80,000.00	-75,331.20	
SBDRJGF7	JPMORGAN CHASE & CO BNDS 3.897% 01-23-2049				
		Dispositions	-260,000.00		268,336.80
		Free Receipt	260,000.00	-260,000.00	
SBF348D2	JPMORGAN CHASE & CO BNDS 4.032% 07-24-2048				
		Dispositions	-185,000.00		194,673.54
		Free Receipt	185,000.00	-186,233.78	
SBZ7NF45	JPMORGAN CHASE & CO BNDS 4.26% 02-22-2048				
		Dispositions	-70,000.00		76,412.90
		Free Receipt	70,000.00	-71,255.90	
SB90J106	JPMORGAN CHASE & CO FORMERLY J P MORGAN NT 6.4 DUE 05-15-2038 BEO				
		Dispositions	-1,100,000.00		1,491,607.80
		Free Receipt	1,100,000.00	-1,090,595.95	
SBD7F8X7	JPMORGAN CHASE & CO 3.782% DUE 02-01-2028 BEO				
		Dispositions	-320,000.00		337,738.80
		Free Receipt	320,000.00	-323,224.00	
SBD0G1Q1	JPMORGAN CHASE & CO 3.984% DUE 11-15-2048				
		Acquisitions	425,000.00	-413,295.50	
		Dispositions	-595,000.00		620,237.14
		Free Receipt	170,000.00	-169,117.00	
SB7FRRL4	JPMORGAN CHASE & CO 5.4 SNR NTS DUE 01-06-2042 USD1000				
		Dispositions	-195,000.00		245,348.36
		Free Receipt	195,000.00	-224,781.49	
SB9L4NM6	JPMORGAN CHASE & CO 5.5 DUE 10-15-2040				
		Dispositions	-85,000.00		106,706.52

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SBYMJ596	JPMORGAN CHASE & 3.625% DUE 12-01-2027	Free Receipt	85,000.00	-101,482.05	
		Dispositions	-140,000.00		142,665.60
SBF348B0	JPMORGAN CHASE & 3.882% DUE 07-24-2038	Free Receipt	140,000.00	-139,757.80	
		Dispositions	-25,000.00		25,979.50
SBF0YLL4	KAISER FNDTN HOSPS 4.15% DUE 05-01-2047	Free Receipt	25,000.00	-23,029.88	
		Dispositions	-90,000.00		99,466.60
SB6QFCS2	KENTUCKY UTILITIES 5.125 11-1-2040	Free Receipt	90,000.00	-89,145.90	
		Dispositions	-440,000.00		533,984.77
SBZ9P8B7	KINDER MORGAN INC FLTGT RT 3.11125% DUE 01-15-2023	Free Receipt	440,000.00	-503,803.85	
		Dispositions	-500,000.00		509,623.93
SBFXCNC0	KINDER MORGAN INC 5.2% 03-01-2048	Free Receipt	500,000.00	-500,000.00	
		Acquisitions	10,000.00	-10,574.30	
SBSXNP73	KINDER MORGAN INC 5.55% DUE 06-01-2045	Dispositions	-10,000.00		10,978.33
		Free Receipt	155,000.00	-164,471.08	
SBCR4C13	KINDER MORGAN 4.15% DUE 02-01-2024	Dispositions	-1,290,000.00		1,352,203.80
		Free Receipt	1,290,000.00	-1,253,158.80	
SB1Z54W6	KINDER MORGAN 6.95% DUE 01-15-2038	Dispositions	-320,000.00		411,392.99
		Free Receipt	320,000.00	-353,004.68	
S2187310	KINDER MORGAN 7.3% DUE 08-15-2033	Dispositions	-100,000.00		131,836.42
		Free Receipt	100,000.00	-91,504.00	
SB87MC97	KRAFT FOODS GROUP 6.5% DUE 02-09-2040	Acquisitions	135,000.00	-146,124.55	
		Dispositions	-135,000.00		156,699.95
SBDF0M32	KRAFT HEINZ FOODS CO FLTGT RT DUE 02-10-2021 REG	Dispositions	-300,000.00		300,873.57
		Free Receipt	300,000.00	-300,000.00	
C50077LAB2	KRAFT HEINZ FOODS 4.375% DUE 06-01-2046				

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		Dispositions	-410,000.00		377,732.90
		Free Receipt	410,000.00	-403,547.40	
C50077LAT3	KRAFT HEINZ FOODS 4.625% DUE 01-30-2029				
		Dispositions	-300,000.00		325,896.80
		Free Receipt	300,000.00	-298,233.00	
C50077LAM8	KRAFT HEINZ FOODS 5.2% DUE 07-15-2045				
		Acquisitions	40,000.00	-38,218.60	
		Dispositions	-40,000.00		41,080.97
SBZ4V7B3	KRAFT HEINZ FOODS 5% DUE 07-15-2035				
		Acquisitions	20,000.00	-19,882.40	
		Dispositions	-310,000.00		320,667.55
		Free Receipt	290,000.00	-291,884.20	
SBJ1STR5	KROGER CO 5.4% DUE 01-15-2049				
		Acquisitions	210,000.00	-218,267.15	
		Dispositions	-210,000.00		229,162.71
SBJ1G5H1	LAZARD GROUP LLC 4.375% 03-11-202				
		Acquisitions	100,000.00	-99,241.00	
		Dispositions	-100,000.00		105,742.71
SBJJDP30	LILLY ELI & CO 3.95% 03-15-2049				
		Acquisitions	130,000.00	-129,270.70	
		Dispositions	-130,000.00		128,295.35
SBJKCM46	LILLY ELI & CO 4.15% 03-15-2059				
		Acquisitions	120,000.00	-119,253.60	
		Dispositions	-120,000.00		129,620.95
SBDB6BN7	LOCKHEED MARTIN 4.5% DUE 05-15-2036				
		Dispositions	-70,000.00		79,621.96
		Free Receipt	70,000.00	-69,287.20	
SB5N2B26	LOCKHEED MARTIN 4.85 DUE 09-15-2041				
		Dispositions	-55,000.00		63,566.76
		Free Receipt	55,000.00	-59,613.85	
SB4LSB85	LOS ANGELES CALIF CMNTY COLLEGE DIST 6.75% 08-01-2049 BEO TAXABLE				
		Dispositions	-375,000.00		598,594.69
		Free Receipt	375,000.00	-528,669.37	
SB513PH4	LOS ANGELES CALIF UNI SCH DIST 5.75% 07-01-2034 BEO TAXABLE				
		Dispositions	-250,000.00		319,268.19
		Free Receipt	250,000.00	-300,077.50	
SBHNKG30	LOS ANGELES CALIF WASTEWTR SYS REV 5.713% 06-01-2039 BEO TAXABLE				
		Dispositions	-400,000.00		524,731.99

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SB4LZW83	LOS ANGELES CNTY CALIF PUB WKS FING AUTHLEASE REV 7.618% 08-01-2040 BEO TAXABLE	Free Receipt	400,000.00	-400,000.00	
		Dispositions	-500,000.00		791,080.19
SBJY1722	LOWES COS INC FIXED 4.55% 04-05-2049	Free Receipt	500,000.00	-500,000.00	
		Acquisitions	330,000.00	-328,607.40	
SBD0SCD1	LOWES COS INC 3.7% DUE 04-15-2046	Dispositions	-330,000.00		353,885.04
		Dispositions	-200,000.00		186,293.98
SBYWC120	LOWES COS INC 4.05% DUE 05-03-2047	Free Receipt	200,000.00	-198,888.00	
		Dispositions	-120,000.00		116,154.00
SBMYN08	LOWES COS INC 4.375% DUE 09-15-2045	Free Receipt	120,000.00	-118,783.20	
		Dispositions	-155,000.00		158,683.18
SBK3NZG6	LYB INTL FIN B V 4.875% DUE 03-15-2044	Free Receipt	155,000.00	-154,404.75	
		Acquisitions	75,000.00	-74,416.50	
SBLSP7X5	LYB INTL FIN B V 5.25% DUE 07-15-2043	Dispositions	-75,000.00		78,714.67
		Acquisitions	35,000.00	-36,341.90	
SBBM2HB8	MAPLE ESCR 5.085% DUE 05-25-2048	Dispositions	-35,000.00		38,490.11
		Dispositions	-85,000.00		81,546.60
SBD41T57	MARATHON OIL CORP 4.4% DUE 07-15-2027	Free Receipt	200,000.00	-200,000.00	
		Dispositions	-45,000.00		47,546.23
SBYZSGJ7	MARATHON OIL CORP 5.2% DUE 06-01-2045	Free Receipt	45,000.00	-45,308.47	
		Acquisitions	160,000.00	-157,284.80	
SB27ZDL3	MARATHON OIL CORP 6.6% DUE 10-01-2037	Dispositions	-500,000.00		540,858.68
		Free Receipt	340,000.00	-344,832.82	
SBBMT8K7	MARATHON OIL CORP 6.8% DUE 03-15-2032	Dispositions	-60,000.00		72,609.82
		Free Receipt	60,000.00	-73,154.60	
		Dispositions	-75,000.00		91,056.75
		Free Receipt	75,000.00	-89,141.14	

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SBYVDJ53	MARSH & MCLENNAN COS INC SR NT 3.5 DUE 06-03-2024	Dispositions	-100,000.00		103,766.80
		Free Receipt	100,000.00	-102,127.00	
SBJ2NYK7	MARSH & MCLENNAN 4.375% DUE 03-15-2029	Acquisitions	300,000.00	-299,895.00	
		Dispositions	-300,000.00		333,910.14
SB56YM37	MASSACHUSETTS ST TRANSN FD REV 5.731% 06-01-2040 BEO TAXABLE	Dispositions	-325,000.00		423,037.68
		Free Receipt	325,000.00	-403,227.50	
SBY3R500	MCDONALDS CORP FIXED 4.6% DUE 05-26-2045	Dispositions	-260,000.00		282,137.51
		Free Receipt	260,000.00	-295,376.90	
SBYMLD78	MEDTRONIC INC 4.375% DUE 03-15-2035	Dispositions	-291,000.00		331,483.96
		Free Receipt	291,000.00	-290,558.62	
SBK0NS71	MEDTRONIC INC 4.625 DUE 03-15-2044	Dispositions	-600,000.00		671,508.00
		Free Receipt	600,000.00	-637,218.00	
SBYMLDL0	MEDTRONIC INC 4.625% DUE 03-15-2045	Acquisitions	55,000.00	-61,645.65	
		Dispositions	-350,000.00		400,072.01
		Free Receipt	295,000.00	-299,323.96	
SBVG7BV9	MERCK & CO INC NEW 3.7% DUE 02-10-2045	Dispositions	-105,000.00		109,436.29
		Free Receipt	105,000.00	-102,811.25	
SB736C41	MERCK & CO INC 3.6 DUE 09-15-2042	Dispositions	-70,000.00		71,387.68
		Free Receipt	70,000.00	-63,576.30	
SBW9JZD9	METLIFE INC 4.05% DUE 03-01-2045	Dispositions	-360,000.00		377,059.48
		Free Receipt	360,000.00	-358,815.15	
SB1L8RP3	METLIFE INC 6.4% DUE 12-15-2066	Dispositions	-300,000.00		339,480.00
		Free Receipt	300,000.00	-309,000.00	
SB4QSHD7	METROPOLITAN TRANSN AUTH N Y DEDICATED TAX FD 6.089% 11-15-2040 BEO TAXABLE	Dispositions	-100,000.00		134,763.64
		Free Receipt	100,000.00	-100,000.00	
C59318PAC1	MGM RESORTS INTERNATIONAL TERM LOAN DUE 12-21-2023				

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		Acquisitions	100,000.00	-99,375.00	
		Dispositions	-100,000.00		99,250.00
SBG0QLR3	MGM RESORTS INTL FIXED 5.75% DUE 06-15-2025	Dispositions	-100,000.00		102,125.00
		Free Receipt	100,000.00	-100,000.00	
SBKBQ1G9	MICROCHIP TECH INC FIXED 3.922% DUE 06-01-2021	Dispositions	-500,000.00		508,066.75
		Free Receipt	500,000.00	500,000.00	
C594918BS2	MICROSOFT CORP 3.45% DUE 08-08-2036	Dispositions	-320,000.00		336,716.68
		Free Receipt	320,000.00	-318,469.80	
C594918BC7	MICROSOFT CORP 3.5% DUE 02-12-2035	Dispositions	-330,000.00		351,295.16
		Free Receipt	330,000.00	-325,529.95	
C594918BT0	MICROSOFT CORP 3.7% DUE 08-08-2046	Dispositions	-465,000.00		498,168.41
		Free Receipt	465,000.00	-467,038.05	
C594918BD5	MICROSOFT CORP 3.75% DUE 02-12-2045	Dispositions	-70,000.00		75,235.25
		Free Receipt	70,000.00	-73,052.81	
C594918BU7	MICROSOFT CORP 3.95% DUE 08-08-2056	Dispositions	-280,000.00		307,656.56
		Free Receipt	280,000.00	-273,014.00	
SBYP2P70	MICROSOFT CORP 4.1% DUE 02-06-2037	Dispositions	-570,000.00		648,423.60
		Free Receipt	570,000.00	-583,649.83	
C594918AJ3	MICROSOFT CORP 4.5 DUE 10-01-2040	Dispositions	-115,000.00		136,209.84
		Free Receipt	115,000.00	-119,791.35	
C594918BE3	MICROSOFT CORP 4% DUE 02-12-2055	Dispositions	-165,000.00		183,058.38
		Free Receipt	165,000.00	-158,487.50	
SB1FS7D7	MIDAMERICAN ENERGY CO MEDIUM TERM NTS BOOK ENTRY MTN 5.8% DUE 10-15-2036	Dispositions	-30,000.00		38,283.25
		Free Receipt	30,000.00	-36,989.60	
SBJ1STS6	MIDAMERICAN ENERGY CO 4.25% 07-15-2049	Acquisitions	260,000.00	-258,414.00	
		Dispositions	-260,000.00		291,857.17

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SBJLV5R6	03-07-2039 MITSUBISHI UFJ FIXED 4.153% DUE	Acquisitions	135,000.00	-135,000.00	
		Dispositions	-135,000.00		147,464.33
SBYT4Q68	MOLSON COORS 3% DUE 07-15-2026	Dispositions	-195,000.00		180,591.45
		Free Receipt	195,000.00	-194,697.75	
C615369AQ8	12-17-2048 BEO MOODY'S CORPORATION 4.875%	Dispositions	-100,000.00		114,572.43
		Free Receipt	100,000.00	-98,328.00	
SBYV6G28	MORGAN STANLEY 3.125% DUE 07-27-2026	Dispositions	-80,000.00		77,826.90
		Free Receipt	80,000.00	-79,456.00	
SBYM4WS9	MORGAN STANLEY 3.625% DUE 01-20-2027	Dispositions	-5,000.00		5,048.85
		Free Receipt	5,000.00	-4,936.45	
C61744YAQ1	MORGAN STANLEY 3.737% DUE 04-24-2024	Dispositions	-300,000.00		313,576.35
		Free Receipt	300,000.00	-300,000.00	
SBZ9P947	MORGAN STANLEY 3.971% 07-22-2038	Dispositions	-195,000.00		202,830.57
		Free Receipt	195,000.00	-195,000.00	
SBVRZ811	MORGAN STANLEY 4.3% DUE 01-27-2045	Dispositions	-110,000.00		119,045.49
		Free Receipt	110,000.00	-108,781.73	
SBQSC344	MORGAN STANLEY 4.35 DUE 09-08-2026	Dispositions	-100,000.00		108,221.00
		Free Receipt	100,000.00	-102,395.72	
C6174468G7	01-23-2030 MORGAN STANLEY 4.431%	Acquisitions	180,000.00	-180,000.00	
		Dispositions	-180,000.00		181,657.10
SBYTCKF9	MORGAN STANLEY 4% DUE 07-23-2025	Dispositions	-120,000.00		124,616.40
		Free Receipt	120,000.00	-121,122.04	
SBBPV6G2	MORGAN STANLEY 5.5% DUE 07-24-2020	Dispositions	-400,000.00		422,384.09
		Free Receipt	400,000.00	-408,436.00	
SB98G6S2	MORGAN STANLEY 6.375% DUE 07-24-2042	Dispositions	-160,000.00		221,279.81

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SBYXT874	MPLX LP FIXED 5.2% DUE 03-01-2047	Free Receipt	160,000.00	-203,067.87	
		Dispositions	-110,000.00		115,848.87
SBYZGJX6	MPLX LP 4.5% 04-15-2038	Free Receipt	110,000.00	-109,675.01	
		Dispositions	-235,000.00		231,299.07
SBYZGJW5	MPLX LP 4% 03-15-2028	Free Receipt	235,000.00	-231,533.12	
		Dispositions	-200,000.00		208,181.63
SBF6M0J4	MPLX LP 5.5% DUE 02-15-2049	Free Receipt	200,000.00	-199,102.00	
		Dispositions	-220,000.00		240,278.75
SBFXQN99	NATIONAL RETAIL PPTYS INC 3.5% 10-15-2027	Free Receipt	220,000.00	-214,018.55	
		Dispositions	-300,000.00		306,372.52
SBWKNGT3	NAVIENT CORP 5.875% DUE 03-25-2021	Free Receipt	300,000.00	-298,779.00	
		Dispositions	-100,000.00		105,452.43
SB7W15J3	NBCUNIVERSAL MEDIA 4.45% DUE 01-15-2043	Free Receipt	100,000.00	-99,379.00	
		Dispositions	-55,000.00		60,667.41
SBG0QJT1	NESTLE HLDGS INC 4% DUE 09-24-2048	Free Receipt	55,000.00	-56,204.50	
		Dispositions	-135,000.00		147,368.29
SB3YD6H4	NEW JERSEY ST TPK AUTH TPK REV 7.414% 01-01-2040 BEO TAXABLE	Free Receipt	135,000.00	-133,186.95	
		Dispositions	-190,000.00		292,958.94
SB4XB7Y0	NEW YORK ST DORM AUTH ST PERS INCOME TAXREV 5.289% 03-15-2033 BEO TAXABLE	Free Receipt	190,000.00	-272,748.80	
		Dispositions	-400,000.00		486,433.90
SB40VJC5	NEW YORK ST URBAN DEV CORP REV 5.77% 03-15-2039 BEO TAXABLE	Free Receipt	400,000.00	-400,000.00	
		Dispositions	-400,000.00		492,223.00
SB06N1P4	NEWMONT GOLDCORP 5.875% DUE 04-01-2035	Free Receipt	400,000.00	-400,000.00	
		Dispositions	-255,000.00		303,753.30
SB7J8X60	NEWMONT MNG CORP 4.875 DUE 03-15-2042 REG	Free Receipt	255,000.00	-246,261.06	

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		Dispositions	-100,000.00		110,808.32
		Free Receipt	100,000.00	-75,462.00	
SBG490N7	NEWMONT MNG CORP 6.25% DUE 10-01-2039				
		Dispositions	-205,000.00		254,418.61
		Free Receipt	205,000.00	-208,226.37	
SBD20RG3	NISOURCE FIN CORP 4.375% 05-15-2047				
		Dispositions	-250,000.00		258,691.91
		Free Receipt	250,000.00	-249,795.00	
SBD0NMR0	NOBLE ENERGY INC 4.95% DUE 08-15-2047				
		Dispositions	-235,000.00		238,459.93
		Free Receipt	235,000.00	-240,444.44	
SBSJV4K7	NOBLE ENERGY INC 5.05% DUE 11-15-2044				
		Acquisitions	35,000.00	-32,940.95	
		Dispositions	-240,000.00		245,876.06
		Free Receipt	205,000.00	-193,799.77	
SBLSP519	NOBLE ENERGY INC 5.25% DUE 11-15-2043				
		Dispositions	-35,000.00		36,662.50
		Free Receipt	35,000.00	-37,064.30	
SBGDLQK3	NORFOLK SOUTHN FIXED 3.942% DUE 11-01-2047				
		Dispositions	-55,000.00		56,321.15
		Free Receipt	55,000.00	-55,861.75	
SBGDLQC5	NORFOLK SOUTHN FIXED 4.05% DUE 08-15-2052				
		Dispositions	-398,000.00		409,961.19
		Free Receipt	398,000.00	-405,828.65	
SBYV2RZ6	NORFOLK SOUTHN 4.65% DUE 01-15-2046				
		Dispositions	-45,000.00		51,212.23
		Free Receipt	45,000.00	-44,319.54	
SBD5H1Q9	NORFOLK SOUTHN 5.1% DUE 08-01-2118				
		Dispositions	-260,000.00		289,536.95
		Free Receipt	260,000.00	-259,495.60	
SB8KCXG8	NORTHN STS PWR CO 3.4 DUE 08-15-2042				
		Dispositions	-155,000.00		152,759.29
		Free Receipt	155,000.00	-136,574.63	
SBF15KD1	NORTHROP GRUMMAN 4.03% DUE 10-15-2047				
		Dispositions	-835,000.00		863,421.93
		Free Receipt	835,000.00	-833,458.06	
SBB9ZSW0	NORTHROP GRUMMAN 4.75% DUE 06-01-2043				
		Dispositions	-425,000.00		478,614.57

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SBF52DS4	NORTHWELL HEALTHCARE INC 4.26% 11-01-2047	Free Receipt	425,000.00	-446,190.69	
		Dispositions	-70,000.00		74,362.53
SBK1PN26	NOVARTIS CAP CORP 4.4% DUE 05-06-2044	Free Receipt	70,000.00	-70,000.00	
		Dispositions	-375,000.00		433,281.11
SBJH0CT8	NUTRIEN LTD 5.0% 04-01-2049	Free Receipt	375,000.00	-391,031.29	
		Acquisitions	80,000.00	-84,049.30	
SB1Z7WW8	NV PWR CO NV PWR CO 6.75 DUE 07-01-2037 BEO	Dispositions	-80,000.00		83,263.20
		Dispositions	-15,000.00		20,897.22
SB68QYC1	NV PWR CO 5.45 DUE 05-15-2041	Free Receipt	15,000.00	-20,042.85	
		Dispositions	-70,000.00		83,456.81
SB3NRLF0	NY NY CTY MUN WTR FIN AUTH WTR & SWR REVBLD AMER BDS 5.724 6-15-2042 REG TXBLE	Free Receipt	70,000.00	-82,354.60	
		Dispositions	-350,000.00		476,007.00
SB5M1JL9	OGLETHORPE PWR 5.375% DUE 11-01-2040	Free Receipt	350,000.00	-430,304.00	
		Dispositions	-425,000.00		498,562.07
SBD606N9	OLD REP INTL CORP 3.875% DUE 08-26-2026	Free Receipt	425,000.00	-478,500.25	
		Dispositions	-100,000.00		103,691.70
SBGJWTV2	ONCOR ELEC FIXED 3.8% DUE 09-30-2047	Free Receipt	100,000.00	-98,232.00	
		Dispositions	-810,000.00		843,800.64
SBDDR194	ONEOK INC NEW 5.2% DUE 07-15-2048	Free Receipt	810,000.00	-808,841.70	
		Acquisitions	300,000.00	-297,258.00	
SB2830T3	ONEOK PARTNERS L P 6.85% DUE 10-15-2037	Dispositions	-300,000.00		335,822.31
		Dispositions	-100,000.00		121,113.76
SBF4ZFF5	ORACLE CORP 3.8% 11-15-2037	Free Receipt	100,000.00	-122,277.00	
		Dispositions	-150,000.00		155,875.16
SBWTM334	ORACLE CORP 4.125% DUE 05-15-2045	Free Receipt	150,000.00	-149,436.00	

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		Dispositions	-305,000.00		322,747.81
		Free Receipt	305,000.00	-299,370.15	
SBP4W534	ORACLE CORP 4.3% DUE 07-08-2034	Dispositions	-455,000.00		513,567.16
		Free Receipt	455,000.00	-456,343.50	
SBY7RD02	ORACLE CORP 4% DUE 07-15-2046	Acquisitions	40,000.00	-40,693.25	
		Dispositions	-65,000.00		68,214.44
		Free Receipt	25,000.00	-24,689.75	
SB0390R1	ORACLE CORP 4% 11-15-2047	Dispositions	-235,000.00		237,972.28
		Free Receipt	235,000.00	-233,897.85	
SB3LR141	ORACLE CORP 6.125% DUE 07-08-2039	Dispositions	-45,000.00		57,652.20
		Free Receipt	45,000.00	-58,443.75	
SB92PK27	ORANGE 5.375% DUE 01-13-2042	Dispositions	-165,000.00		178,618.20
		Free Receipt	165,000.00	-176,684.73	
S9A32GLL	OTC DERIVATIVE CASH COLL PAY TO CITIBANK	Acquisitions	260,000.00	-260,000.00	
		Free Delivery	-260,000.00		
S9A32HGU	OTC DERIVATIVE CASH COLL RECEIVABLE FROMHBC	Dispositions	-20,000.00		20,000.00
		Free Receipt	20,000.00	-20,000.00	
S9A32HLU	OTC DERIVATIVE CASH COLL RECEIVABLE FROMRBC	Dispositions	-20,000.00		20,000.00
		Free Receipt	20,000.00	-20,000.00	
S9A32HHL	OTC DERIVATIVE CASH COLLATERAL PAYABLE TO JP MORGAN CHASE BANK NA	Acquisitions	160,000.00	-160,000.00	
		Dispositions	-60,000.00		60,000.00
		Free Delivery	-100,000.00		
S9CXRZAL	OTC DERIVATIVE CASH COLLATERAL PAYABLE TO NOMURA GLOBAL , 31 DEC 2050 CGNGFIUS2	Acquisitions	10,000.00	-10,000.00	
		Free Delivery	-10,000.00		
SB3KTPK2	PACIFICORP 6% DUE 01-15-2039	Dispositions	-410,000.00		542,834.34
		Free Receipt	410,000.00	-514,147.50	
CC8000CAB9	PANTHER BF AGGREGATOR 2 LP TERM LOAN 04-30-2026 BEO				

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		Acquisitions	100,000.00	-99,000.00	
		Dispositions	-100,000.00		99,625.00
SBD3VCR1	PEPSICO INC 3.45% DUE 10-08-2046	Dispositions	-120,000.00		121,490.99
		Free Receipt	120,000.00	-118,416.51	
SB7Y6VD2	PEPSICO INC 3.6% DUE 08-13-2042	Dispositions	-260,000.00		254,356.50
		Free Receipt	260,000.00	-234,103.48	
SBGSDJH2	PETROBRAS GLOBAL FINANCE 5.999% 01-27-2025	Dispositions	-817,000.00		885,951.46
		Free Receipt	817,000.00	-815,063.71	
SBGSDJG1	PETROBRAS GLOBAL FINANCE 5.299% 01-27-2025	Dispositions	-100,000.00		108,163.76
		Free Receipt	100,000.00	-100,000.00	
SBYNCHF4	PETROBRAS GLOBAL 6.125% DUE 01-17-2022	Dispositions	-102,000.00		111,966.60
		Free Receipt	102,000.00	-102,000.00	
SBKX5BP3	PETROBRAS GLOBAL 7.25% DUE 03-17-2044	Dispositions	-25,000.00		28,280.37
		Free Receipt	25,000.00	-23,244.00	
SBFY0KF5	PFIZER INC 4.2% 09-15-2048	Dispositions	-200,000.00		224,996.52
		Free Receipt	200,000.00	-199,524.00	
SBMMB3K5	PFIZER INC 4.4% DUE 05-15-2044	Dispositions	-200,000.00		225,678.28
		Free Receipt	200,000.00	-206,226.00	
SBJ1G678	PFIZER INC 4% DUE 03-15-2049	Acquisitions	360,000.00	-354,121.20	
		Dispositions	-360,000.00		387,605.05
SBZ3FFJ0	PFIZER INC 4% DUE 12-15-2036	Dispositions	-190,000.00		203,167.78
		Free Receipt	190,000.00	-189,086.10	
SB90G0K0	PHILIP MORRIS INTERNATIONAL INC 3.875 BDS DUE 08-21-2042 USD1000	Dispositions	-555,000.00		529,337.56
		Free Receipt	555,000.00	-512,196.60	
SBGM0MQ9	PHILIP MORRIS INTL INC 4.875 DUE 11-15-2043	Dispositions	-220,000.00		246,155.19
		Free Receipt	220,000.00	-235,495.70	

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SB83KB65	PHILIP MORRIS INTL 4.125% DUE 03-04-2043	Dispositions	-140,000.00		139,857.79
		Free Receipt	140,000.00	-134,425.81	
SBSMTK33	PHILIP MORRIS INTL 4.25% DUE 11-10-2044	Dispositions	-450,000.00		464,833.29
		Free Receipt	450,000.00	-437,719.50	
SBSPCB09	PHILLIPS 66 4.65% DUE 11-15-2034	Dispositions	-100,000.00		109,853.48
		Free Receipt	100,000.00	-97,193.00	
SBSPCC33	PHILLIPS 66 4.875% DUE 11-15-2044	Dispositions	-135,000.00		146,277.10
		Free Receipt	135,000.00	-129,472.85	
S2141082	PIONEER NAT RES CO 7.2% DUE 01-15-2028	Dispositions	-100,000.00		129,095.54
		Free Receipt	100,000.00	-125,500.00	
SB019B35	PLACER DOME INC 6.45% DUE 10-15-2035	Dispositions	-60,000.00		73,101.58
		Free Receipt	60,000.00	-63,940.20	
SB6Y16K2	PLAINS ALL AMERN PIPELINE L P / PAA FIN 5.15 DUE 06-01-2042 REG	Dispositions	-300,000.00		302,799.99
		Free Receipt	300,000.00	-272,070.00	
SB92Y2L7	PLAINS ALL AMERN 4.3% DUE 01-31-2043	Dispositions	-100,000.00		91,009.05
		Free Receipt	100,000.00	-66,309.19	
SB8FPO52	PORT AUTH N Y & N J 4.458% 10-01-2062 BEO TAXABLE	Dispositions	-285,000.00		340,785.38
		Free Receipt	285,000.00	-295,513.85	
SB95XX07	POTOMAC ELEC PWR 4.15% DUE 03-15-2043	Dispositions	-155,000.00		165,643.69
		Free Receipt	155,000.00	-154,486.65	
SB3D2YJ0	POTOMAC ELEC PWR 6.5% DUE 11-15-2037	Dispositions	-55,000.00		74,767.90
		Free Receipt	55,000.00	-74,107.65	
C74432OCE3	PRUDENTIAL FINL FIXED 4.35% DUE 02-25-2050	Acquisitions	245,000.00	-244,050.10	
		Dispositions	-245,000.00		265,372.57
SBFZCSL2	PRUDENTIAL FINL INC 3.935% DUE 12-07-2049	Acquisitions	35,000.00	-34,254.85	

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		Dispositions	-85,000.00		86,629.16
		Free Receipt	50,000.00	-46,072.50	
SBG0KDC4	PRUDENTIAL FINL 3.905% DUE 12-07-2047				
		Dispositions	-266,000.00		271,502.69
		Free Receipt	266,000.00	-273,307.02	
SB8NVT19	PUBLIC SVC CO COLO 3.95% DUE 03-15-2043				
		Dispositions	-410,000.00		418,213.40
		Free Receipt	410,000.00	-402,321.80	
SB23YNB4	PUBLIC SVC CO COLO 6.25% DUE 09-01-2037				
		Dispositions	-140,000.00		189,803.92
		Free Receipt	140,000.00	-184,179.20	
C999999OA8	PUT JUL 19 CBT 5Y T-NOTE 115.25				
		Acquisitions	4.00	-0.40	
		Dispositions	-4.00		556.12
S9J7699U	PUT SWO USD BNPAFRPP P 2.45% / R 3MLIBOR European 317U451S5 05/02/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		480.00
S9J66E9U	PUT SWO USD BNPAFRPP P 2.6% / R 3MLIBOR European 317U288S4 04/12/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		225.00
S9J62X5U	PUT SWO USD BNPAFRPP P 2.61% / R 3MLIBOR European 317U266S0 04/09/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		300.00
S9J4VNEU	PUT SWO USD BNPAFRPP P 2.71% / R 3MLIBOR European 317U038S7 03/05/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		370.00
S9J4KN9U	PUT SWO USD BNPAFRPP P 2.78% / R 3MLIBOR European 317U978R1 02/26/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		480.00
S9J52YVU	PUT SWO USD BOFAUS6S P 2.64% / R 3MLIBOR European 317U064S4 03/08/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		430.00
S9J54EDU	PUT SWO USD BOFAUS6S P 2.661% / R 3MLIBOR European 317U067S1 03/11/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		392.00
S9J3CVJU	PUT SWO USD BOFAUS6S P 2.94% / R 3MLIBOR European 317U795R2 01/17/2019				
		Acquisitions	500,000.00	0.00	
		Free Delivery	-500,000.00		

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S9J61BTU	PUT SWO USD CHASUS33 P 2.635% / R 3MLIBOR European 317U261S5 04/09/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		364.00
S9J6E44U	PUT SWO USD CHASUS33 P 2.675% / R 3MLIBOR European 317U156S3 03/21/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		420.00
S9J8NWGU	PUT SWO USD CITIUS33 P 2.33% / R 3MLIBOR European 317U746S0 06/18/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		405.00
S9J7AP8U	PUT SWO USD CITIUS33 P 2.46% / R 3MLIBOR European 317U469S5 05/07/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		435.00
S9J7KM6U	PUT SWO USD CITIUS33 P 2.52% / R 3MLIBOR European 317U519S5 05/15/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		300.00
S9J8B41U	PUT SWO USD CSFPGB2L P 2.41% / R 3MLIBOR European 317U662S0 06/07/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		375.00
S9J7DSL	PUT SWO USD CSFPGB2L P 2.45% / R 3MLIBOR European 317U478S4 05/09/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		400.00
S9J7GRZU	PUT SWO USD CSFPGB2L P 2.45% / R 3MLIBOR European 317U502S4 05/13/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		285.00
S9J7VJ4U	PUT SWO USD CSFPGB2L P 2.46% / R 3MLIBOR European 317U578S3 05/24/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		360.00
S9J79STU	PUT SWO USD CSFPGB2L P 2.47% / R 3MLIBOR European 317U467S7 05/06/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		440.00
S9J7CDJU	PUT SWO USD CSFPGB2L P 2.48% / R 3MLIBOR European 317U471S1 05/08/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		400.00
S9J88NGU	PUT SWO USD CSFPGB2L P 2.48% / R 3MLIBOR European 317U651S3 06/04/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		300.00
S9J87QRU	PUT SWO USD CSFPGB2L P 2.51% / R 3MLIBOR European 317U642S5 06/03/2019	Acquisitions	300,000.00	0.00	

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S9J3CUUU	PUT SWO USD CSFPGB2L P 2.78% / R 3MLIBOR European 317U857R7 01/28/2019	Dispositions	-300,000.00		300.00
		Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3CUZU	PUT SWO USD CSFPGB2L P 2.99% / R 3MLIBOR European 317U756R9 01/07/2019	Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3CVNU	PUT SWO USD DEUTGB2L P 3.23% / R 3MLIBOR European 317U129L2 02/19/2019	Dispositions	-800,000.00		0.00
		Free Receipt	800,000.00	-37,866.67	
S9J8LP1U	PUT SWO USD GSCMUS33 P 2.3% / R 3MLIBOR European 317U737S1 06/17/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		360.00
S9J8QFLU	PUT SWO USD GSCMUS33 P 2.31% / R 3MLIBOR European 317U752S1 06/19/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		582.00
S9J8RB0U	PUT SWO USD GSCMUS33 P 2.33% / R 3MLIBOR European 317U756S7 06/20/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		544.00
S9J8KGPU	PUT SWO USD GSCMUS33 P 2.34% / R 3MLIBOR European 317U724S6 06/14/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		412.50
S9J8TDKU	PUT SWO USD GSCMUS33 P 2.35% / R 3MLIBOR European 317U764S7 06/21/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		532.00
S9J7E83U	PUT SWO USD GSCMUS33 P 2.42% / R 3MLIBOR European 317U493S5 05/10/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		420.00
S9J85SYU	PUT SWO USD GSCMUS33 P 2.42% / R 3MLIBOR European 317U623S8 06/03/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		330.00
S9J84MLU	PUT SWO USD GSCMUS33 P 2.43% / R 3MLIBOR European 317U615S8 05/31/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		346.50
S9J8DHSU	PUT SWO USD GSCMUS33 P 2.43% / R 3MLIBOR European 317U678S2 06/10/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		405.00
S9J82ABU	PUT SWO USD GSCMUS33 P 2.44% / R 3MLIBOR European 317U596S1 05/28/2019				

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		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		318.75
S9J7XTLU	PUT SWO USD GSCMUS33 P 2.47% / R 3MLIBOR European 317U591S6 05/28/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		336.00
S9J6CG9U	PUT SWO USD GSCMUS33 P 2.58% / R 3MLIBOR European 317U323S1 04/15/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		292.50
S9J6BXSU	PUT SWO USD GSCMUS33 P 2.59% / R 3MLIBOR European 317U310S6 04/15/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		300.00
S9J5GNVU	PUT SWO USD GSCMUS33 P 2.63% / R 3MLIBOR European 317U170S5 03/22/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		371.00
S9J5HR4U	PUT SWO USD GSCMUS33 P 2.65% / R 3MLIBOR European 317U177S8 03/25/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		300.00
S9J5NQKU	PUT SWO USD GSCMUS33 P 2.68% / R 3MLIBOR European 317U220S5 03/29/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		360.00
S9J5UKVU	PUT SWO USD GSCMUS33 P 2.72% / R 3MLIBOR European 317U231S2 04/05/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		420.00
S9J3V74U	PUT SWO USD GSCMUS33 P 2.745% / R 3MLIBOR European 317U917R5 02/14/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		500.00
S9J46XUU	PUT SWO USD GSCMUS33 P 2.76% / R 3MLIBOR European 317U945R1 02/19/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		440.00
S9J3CVHU	PUT SWO USD GSCMUS33 P 2.89% / R 3MLIBOR European 317U804R1 01/18/2019				
		Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3CVMU	PUT SWO USD GSCMUS33 P 2.96% / R 3MLIBOR European 317U763R0 01/11/2019				
		Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		
S9J3CVWU	PUT SWO USD GSCMUS33 P 3.1% / R 3MLIBOR European 317U723R9 01/03/2019				
		Acquisitions	400,000.00	0.00	
		Free Delivery	-400,000.00		

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S9J8GACU	PUT SWO USD MSCSUS33 P 2.39% / R 3MLIBOR European 317U701S3 06/10/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		480.00
S9J74NJU	PUT SWO USD MSCSUS33 P 2.41% / R 3MLIBOR European 317U432S9 04/30/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		424.50
S9J6QRCU	PUT SWO USD MSCSUS33 P 2.42% / R 3MLIBOR European 317U366S9 04/24/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		474.00
S9J75D8U	PUT SWO USD MSCSUS33 P 2.47% / R 3MLIBOR European 317U437S4 05/01/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		480.00
S9J79C2U	PUT SWO USD MSCSUS33 P 2.48% / R 3MLIBOR European 317U460S4 05/03/2019	Acquisitions	500,000.00	0.00	
		Dispositions	-500,000.00		625.00
S9J7QK0U	PUT SWO USD MSCSUS33 P 2.52% / R 3MLIBOR European 317U562S1 05/20/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		430.00
S9J7PTSU	PUT SWO USD MSCSUS33 P 2.54% / R 3MLIBOR European 317U549S9 05/17/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		420.00
S9J7MT7U	PUT SWO USD MSCSUS33 P 2.55% / R 3MLIBOR European 317U526S6 05/16/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		400.00
S9J6AQ4U	PUT SWO USD MSCSUS33 P 2.6% / R 3MLIBOR European 317U318S8 04/15/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		372.00
S9J5KQYU	PUT SWO USD MSCSUS33 P 2.61% / R 3MLIBOR European 317U192S9 03/26/2019	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		296.25
S9J5L18U	PUT SWO USD MSCSUS33 P 2.64% / R 3MLIBOR European 317U209S0 03/27/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		390.00
S9J5CADU	PUT SWO USD MSCSUS33 P 2.65% / R 3MLIBOR European 317U134S0 03/19/2019	Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		372.00
S9J51EGU	PUT SWO USD MSCSUS33 P 2.66% / R 3MLIBOR European 317U059S1 03/07/2019	Acquisitions	500,000.00	0.00	

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S9J59ULU	PUT SWO USD MSCSUS33 P 2.68% / R 3MLIBOR European 317U124S2 03/15/2019	Dispositions	-500,000.00		512.50
		Acquisitions	400,000.00	0.00	
S9J4SQTU	PUT SWO USD MSCSUS33 P 2.71% / R 3MLIBOR European 317U023S4 03/04/2019	Dispositions	-400,000.00		366.00
		Acquisitions	400,000.00	0.00	
S9J5SUCU	PUT SWO USD MSCSUS33 P 2.71% / R 3MLIBOR European 317U225S0 04/04/2019	Dispositions	-400,000.00		400.00
		Acquisitions	400,000.00	0.00	
S9J4TD1U	PUT SWO USD MSCSUS33 P 2.73% / R 3MLIBOR European 317U024S3 03/04/2019	Dispositions	-400,000.00		430.00
		Acquisitions	300,000.00	0.00	
S9J3LS8U	PUT SWO USD MSCSUS33 P 2.75% / R 3MLIBOR European 317U871R9 02/08/2019	Dispositions	-300,000.00		300.00
		Acquisitions	300,000.00	0.00	
S9J4N39U	PUT SWO USD MSCSUS33 P 2.76% / R 3MLIBOR European 317U000S1 03/01/2019	Dispositions	-300,000.00		420.00
		Acquisitions	500,000.00	0.00	
S9J3CVPU	PUT SWO USD MSCSUS33 P 3.07% / R 3MLIBOR European 317U738R2 01/04/2019	Dispositions	-500,000.00		505.00
		Acquisitions	500,000.00	0.00	
S9J6MQCU	PUT SWO USD NGFPUS33 P 2.5% / R 3MLIBOR European 317U360S5 04/24/2019	Free Delivery	-500,000.00		
		Acquisitions	400,000.00	0.00	
S9J7JENU	PUT SWO USD NGFPUS33 P 2.51% / R 3MLIBOR European 317U513S1 05/13/2019	Dispositions	-400,000.00		424.00
		Acquisitions	300,000.00	0.00	
S9J7ULQU	PUT SWO USD NGFPUS33 P 2.51% / R 3MLIBOR European 317U571S0 05/23/2019	Dispositions	-300,000.00		315.00
		Acquisitions	400,000.00	0.00	
S9J7TCYU	PUT SWO USD NGFPUS33 P 2.53% / R 3MLIBOR European 317U564S9 05/22/2019	Dispositions	-400,000.00		440.00
		Acquisitions	400,000.00	0.00	
S9J7272U	PUT SWO USD PNBUS33CHA P 2.365% / R 3MLIBOR European 317U422S1 04/29/2019	Dispositions	-400,000.00		430.00
		Acquisitions	300,000.00	0.00	
S9J8ANRU	PUT SWO USD PNBUS33CHA P 2.44% / R 3MLIBOR European 317U654S0 06/06/2019	Dispositions	-300,000.00		411.00

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		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		303.00
S9J83P5U	PUT SWO USD PNBPU33CHA P 2.46% / R 3MLIBOR European 317U608S7 05/29/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		304.50
S9J6J24U	PUT SWO USD PNBPU33CHA P 2.51% / R 3MLIBOR European 317U351S6 04/23/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		271.50
S9J6EG7U	PUT SWO USD PNBPU33CHA P 2.58% / R 3MLIBOR European 317U330S2 04/18/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		400.00
S9J84N0U	PUT SWO USD PNBPU33CHA P 2.625% / R 3MLIBOR European 317U275S9 04/11/2019				
		Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		300.00
S9J58DBU	PUT SWO USD PNBPU33CHA P 2.66% / R 3MLIBOR European 317U117S1 03/14/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		415.00
S9J4FJVU	PUT SWO USD PNBPU33CHA P 2.76% / R 3MLIBOR European 317U968R3 02/25/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		480.00
S9J6HJLU	PUT SWO USD RBOSGB2RTCM P 2.59% / R 3MLIBOR European 317U336S6 04/23/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		416.00
S9J5V78U	PUT SWO USD UBSWUS33 P 2.675% / R 3MLIBOR European 317U248S3 04/08/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		370.00
S9J5QZ0U	PUT SWO USD UBSWUS33 P 2.69% / R 3MLIBOR European 317U222S3 04/01/2019				
		Acquisitions	500,000.00	0.00	
		Dispositions	-500,000.00		506.25
S9J56P7U	PUT SWO USD UBSWUS33 P 2.71% / R 3MLIBOR European 317U109S1 03/13/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		400.00
S9J4DZQU	PUT SWO USD UBSWUS33 P 2.81% / R 3MLIBOR European 317U958R5 02/25/2019				
		Acquisitions	400,000.00	0.00	
		Dispositions	-400,000.00		490.00
SBF15KL9	PVPTL FARMERS INS EXCHANGE 4.747% DUE 11-01-2057 BEO				
		Dispositions	-300,000.00		290,519.59
		Free Receipt	300,000.00	-300,000.00	

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SBZ0X393	PVPTL HALFMOON PARENT INC 4.9% 12-15-2048	Acquisitions	190,000.00	-192,152.90	
		Dispositions	-465,000.00		490,247.17
		Free Receipt	275,000.00	-272,903.20	
C09659W2G8	PVT PL BNP PARIBAS SR NON PFD 4.705% 01-10-2025	Acquisitions	300,000.00	-300,000.00	
		Dispositions	-300,000.00		327,273.03
SBHxD2H5	PVTPL FOX CORP 5.476% 01-25-2039	Acquisitions	95,000.00	-95,000.00	
		Dispositions	-95,000.00		111,444.22
SBD1R3F6	PVTPL ANHEUSER-BUSCH COS LLC/ANHEU 3.65% DUE 02-01-2026	Dispositions	-190,000.00		184,286.70
		Free Receipt	190,000.00	-180,127.60	
SBD30T55	PVTPL ASSD MATLS LLC/AMH NEW FIN INC SR SECD NT 9% DUE 01-01-2024	Dispositions	-300,000.00		299,475.00
		Free Receipt	300,000.00	-317,250.00	
C09659W2F0	PVTPL BNP PARIBAS SR NON PFD MED 4.4% 08-14-2028	Dispositions	-200,000.00		217,696.78
		Free Receipt	200,000.00	-192,646.00	
SBGLROH0	PVTPL BPCE SR NON PFD 4.625% 09-12-2028	Dispositions	-300,000.00		333,051.96
		Free Receipt	300,000.00	-298,245.00	
SBK62TP7	PVTPL BRISTOL MYERS SQUIBB CO 4.25% 10-26-2049	Acquisitions	445,000.00	-441,253.10	
		Dispositions	-445,000.00		478,355.25
SBG31GK3	PVTPL CARLYLE FIN L L C SR NT 144A 5.65%DUE 09-15-2048	Dispositions	-300,000.00		322,601.37
		Free Receipt	300,000.00	-299,742.00	
SBD6JMG1	PVTPL CHS/CMNTY HEALTH SYS INC SR SECD NT 8.625% 01-15-2024 BEO	Dispositions	-100,000.00		104,434.38
		Free Receipt	100,000.00	-99,457.00	
SBYXVTG6	PVTPL CMO SFAVE COML SER 2015-5AVE CL A-1 3.872% 01-05-2043	Dispositions	-25,000.00		25,841.80
		Free Receipt	25,000.00	-24,085.94	
SB84GLJ5	PVTPL CORPORACION NACIONAL DEL COBRE DE CHILE NT 4.25 DUE 07-17-2042 BEO	Dispositions	-220,000.00		230,476.79
		Free Receipt	220,000.00	-192,245.17	
C21987BAY4	PVTPL CORPORACION NACIONAL DEL COBRE DE CHILE 4.375% 02-05-2049				

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		Acquisitions	60,000.00	-57,204.00	
		Dispositions	-60,000.00		63,423.67
SB4VLLP1	PVTPL CVS HEALTH CORP PASSTHRU CTF 7.507 DUE 01-10-2032	Dispositions	-319,557.79		382,407.50
		Free Receipt	319,557.79	-379,077.93	
SBGWBD25	PVTPL DAE FDG LLC 5.25% DUE 11-15-2021	Dispositions	-300,000.00		312,596.25
		Free Receipt	300,000.00	-300,000.00	
SBD96MK3	PVTPL DIAMOND 1 FIN CORP/DIAMOND 2 BNDS 144A 8.35% DUE 07-15-2046	Dispositions	-200,000.00		245,009.70
		Free Receipt	200,000.00	-214,421.30	
SBJYLT26	PVTPL DISNEY WALT CO SR NT 6.15% DUE 02-15-2041	Dispositions	-420,000.00		583,856.36
		Free Receipt	300,000.00	-298,455.00	
C268317AK0	PVTPL EDF S A NT 4.875 DUE 01-22-2044	Dispositions	-25,000.00		26,994.75
		Free Receipt	25,000.00	-26,431.75	
C268317AL8	PVTPL EDF S A NT 6 DUE 01-22-2114 BEO	Dispositions	-250,000.00		291,417.50
		Free Receipt	250,000.00	-268,319.25	
SBNM42J3	PVTPL ENDO FIN LLC / ENDO FINCO INC 5.875% DUE 01-15-2023	Dispositions	-100,000.00		84,000.00
		Free Receipt	100,000.00	-84,500.00	
SBHxD2F3	PVTPL FOX CORP 5.576% 01-25-2049	Acquisitions	100,000.00	-100,000.00	
		Dispositions	-100,000.00		120,813.14
SBD35F83	PVTPL GOODMAN US FIN THREE LLC 3.7% DUE 03-15-2028	Dispositions	-100,000.00		100,855.43
		Free Receipt	100,000.00	-99,631.00	
SBZ0X3D7	PVTPL HALFMOON PARENT INC NT 144A 4.8% DUE 08-15-2038/09-17-2018 BEO	Acquisitions	100,000.00	-99,996.00	
		Dispositions	-380,000.00		401,427.77
		Free Receipt	280,000.00	-279,549.20	
SBZ0X348	PVTPL HALFMOON PARENT INC 4.375% 10-15-2028	Dispositions	-170,000.00		176,264.20
		Free Receipt	170,000.00	-169,773.90	
SB3VJFD4	PVTPL HEINZ H J FIN CO GTD NT 144A 7.125% DUE 08-01-2039 BEO	Dispositions	-125,000.00		155,262.62

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VISTRA ENERGY RETIREMENT PLAN

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SBF4PGP2	PVTPL JELD-WEN INC SR NT 4.875% 12-15-2027	Free Receipt	125,000.00	-135,536.99	
		Dispositions	-100,000.00		96,621.88
SB87FCF2	PVTPL LIBERTY MUT GROUP INC SR NT 6.5 DUE 05-01-2042 BEO	Free Receipt	100,000.00	-86,750.00	
		Dispositions	-335,000.00		434,863.31
SBFM2HD0	PVTPL MAPLE ESCROW SUBSIDIARY INC SR NT 144A 4.985 DUE 05-25-2038/05-25-2018 BEO	Free Receipt	335,000.00	-429,674.95	
		Dispositions	-30,000.00		29,520.00
SBHND6D9	PVTPL MARS INC DEL 3.875% 04-01-2039	Free Receipt	90,000.00	-90,000.00	
		Acquisitions	80,000.00	-79,900.00	
SBHND6F1	PVTPL MARS INC 3.6% DUE 04-01-2034 BEO	Dispositions	-80,000.00		83,508.66
		Acquisitions	80,000.00	-79,705.60	
SBD5JMR3	PVTPL MEIJI YASUDA LIFE 5.1% DUE 04-26-2048	Dispositions	-80,000.00		83,926.27
		Free Receipt	-400,000.00		432,286.67
SBGMTT05	PVTPL MONONGAHELA PWR 5.4 DUE 12-15-2043 BEO	Free Receipt	400,000.00	-400,000.00	
		Dispositions	-355,000.00		438,843.10
SBG0QJS0	PVTPL NESTLE HLDGS INC 3.9% 09-24-2038	Free Receipt	355,000.00	-403,842.03	
		Dispositions	-305,000.00		327,881.06
SBJK3LN1	PVTPL NEWMONT GOLDCORP CORP 3.7% 03-15-2023	Free Receipt	305,000.00	-301,739.55	
		Dispositions	-450,000.00		469,581.71
C70014LAB6	PVTPL PARK AEROSPACE HLDGS LTD 144A 5.5% DUE 02-15-2024 BEO	Free Receipt	450,000.00	447,004.10	
		Dispositions	-300,000.00		327,527.50
C70014LAA8	PVTPL PARK AEROSPACE HLDGS 5.25% 08-15-2022	Free Receipt	300,000.00	-302,750.00	
		Dispositions	-100,000.00		107,081.25
SBDR0DC6	PVTPL PRIME SEC ONE MS INC 1ST PRIORITY SR SECD 4.875 DUE 07-15-2032 BEO	Free Receipt	100,000.00	-99,500.00	
		Dispositions	-200,000.00		174,056.25
SBF3VY24	PVTPL QUICKEN LNS INC 5.25% 01-15-2028	Free Receipt	200,000.00	-150,500.00	

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		Dispositions	-200,000.00		201,387.50
		Free Receipt	200,000.00	-183,750.00	
SBD0RRX3	PVTPL SABAL TRAIL TRANSM 4.832% DUE 05-01-2048				
		Dispositions	-150,000.00		158,762.42
		Free Receipt	150,000.00	-149,827.60	
SBD0RRY4	PVTPL SABAL TRAIL TRANSMISSION LLC 4.682% 05-01-2038				
		Dispositions	-190,000.00		200,399.00
		Free Receipt	190,000.00	-190,164.40	
C80281LAA3	PVTPL SANTANDER UK GROUP HLDGS PLC SUB NT 4.75% DUE 09-15-2025 BEO				
		Dispositions	-300,000.00		313,653.75
		Free Receipt	300,000.00	-299,784.00	
C80414L2F1	PVTPL SAUDI ARABIAN OIL CO FIXED 4.375% 04-18-2049				
		Acquisitions	105,000.00	-103,480.65	
		Dispositions	-105,000.00		104,276.33
SB9MV4B2	PVTPL SAUDI ELECTRICITY GLOBAL SUKUK CO 2 BD 5.06 DUE 04-08-2043				
		Dispositions	-200,000.00		214,123.64
		Free Receipt	200,000.00	-200,000.00	
C80413MAB0	PVTPL SAUDI ELECTRICITY GLOBAL SUKUK CO 3 5.5 DUE 04-08-2044				
		Dispositions	-100,000.00		112,036.11
		Free Receipt	100,000.00	-100,000.00	
SBF2CLS3	PVTPL SOUTHERN NAT GAS CO L L C 03-15-2047				
		Dispositions	-100,000.00		104,692.55
		Free Receipt	100,000.00	-101,594.00	
C87164KAE4	PVTPL SYNGENTA FIN N V GTD SR NT 3.933% 04-23-2021 BEO				
		Dispositions	-500,000.00		511,657.48
		Free Receipt	500,000.00	-500,000.00	
SBQVC9R6	PVTPL TEACHERS INS & 4.9% DUE 09-15-2044				
		Dispositions	-220,000.00		257,524.41
		Free Receipt	220,000.00	-221,624.80	
SBYZHSJ8	PVTPL TEXAS EASTN TRANSMISSION LP SR NT 4.15% 01-15-2048				
		Dispositions	-145,000.00		147,568.23
		Free Receipt	145,000.00	-143,719.65	
C902674XN5	PVTPL UBS AG LONDON BRH 4.5% DUE 06-26-2048				
		Dispositions	-145,000.00		171,137.57
		Free Receipt	145,000.00	-144,385.20	
C90352JAC7	PVTPL UBS GROUP FDG SWITZ AG SR 4.253% DUE 03-23-2028				
		Dispositions	-210,000.00		221,770.12
		Free Receipt	210,000.00	-210,749.78	

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SBZ1LW00	PVTPL UTD OVERSEAS BK 3.2% DUE 04-23-2021	Dispositions	-700,000.00		714,343.45
		Free Receipt	700,000.00	-899,167.00	
C92890HAD4	PVTPL WEA FIN LLC / 4.75% DUE 09-17-2044	Dispositions	-290,000.00		298,755.05
		Free Receipt	290,000.00	-287,781.58	
C980236AP8	PVTPL WOODSIDE FIN LTD GTD NT 3.7% 03-15-2028	Dispositions	-500,000.00		502,262.50
		Free Receipt	500,000.00	-499,655.00	
C98417EAR1	PVTPL XSTRATA FIN CDA LTD GTD NT 5.3 DUE10-25-2042 BEO	Dispositions	-250,000.00		261,101.46
		Free Receipt	250,000.00	-248,365.00	
C747525AJ2	QUALCOMM INC FIXED 4.65% DUE 05-20-2035	Dispositions	-275,000.00		303,460.87
		Free Receipt	275,000.00	-273,795.50	
SB8P3TR9	QVC INC 5.125% DUE 07-02-2022	Dispositions	-600,000.00		637,695.08
		Free Receipt	600,000.00	-596,538.00	
C21685WDF1	RABOBANK NEDERLAND TRANCHE # TR 00078 3.95% BD DUE 11-09-2022 REG	Dispositions	-500,000.00		521,190.31
		Free Receipt	500,000.00	-499,345.00	
C31740MAC5	REFINITIV US HOLDINGS INC. (FKA INITIAL DOLLAR TERM 10-01-2025 BEO	Dispositions	-400,000.00		392,518.75
		Free Receipt	400,000.00	-399,000.00	
SBYXJZ95	REGENCY CTRS L P 4.4% DUE 02-01-2047	Acquisitions	45,000.00	-43,598.70	
		Dispositions	-45,000.00		47,628.38
C75884RAY9	REGENCY CTRS L P 4.65% DUE 03-15-2049	Acquisitions	225,000.00	-231,082.75	
		Dispositions	-225,000.00		248,757.84
C75915TAD4	REGIONALCARE HOSPITAL PARTNERS , INC. TERM LOAN B DUE 11-16-2025	Acquisitions	100,000.00	-98,500.00	
		Dispositions	-100,000.00		99,875.31
SBZ0PJX5	REYNOLDS AMERN INC FIXED 4.45% DUE 06-12-2025	Dispositions	-300,000.00		316,481.41
		Free Receipt	300,000.00	-304,272.00	
SBZ0HGO3	REYNOLDS AMERN INC FIXED 5.85% DUE 08-15-2045	Dispositions	-260,000.00		279,028.13

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SBDWGXG3	REYNOLDS AMERN INC 6.15% DUE 09-15-2043	Free Receipt	260,000.00	-308,223.05	
		Dispositions	-95,000.00		104,851.64
SB8NWT2	RIO TINTO FIN USA 4.125 DUE 08-21-2042	Free Receipt	95,000.00	-115,659.65	
		Dispositions	-105,000.00		115,039.67
SBJZD8R9	ROGERS 5% DUE 03-15-2044	Free Receipt	105,000.00	-102,525.75	
		Dispositions	-100,000.00		113,774.90
SB3CDXJ7	ROGERS 7.5% DUE 08-15-2038	Free Receipt	100,000.00	-102,808.54	
		Dispositions	-200,000.00		285,844.01
S2535812	ROHM & HAAS CO 7.85% DUE 07-15-2029	Free Receipt	200,000.00	-233,344.00	
		Dispositions	-570,000.00		749,269.01
SBF19XW3	ROYAL BK SCOTLAND FLTGT RT 5.076% DUE 01-27-2030	Free Receipt	570,000.00	-772,938.78	
		Acquisitions	200,000.00	-207,298.45	
C780099CK1	ROYAL BK SCOTLAND GROUP PLC PERPETUAL VAR RT DUE 12-29-2049 REG	Dispositions	-285,000.00		284,926.76
		Free Receipt	65,000.00	-64,166.30	
SBJXS2H4	ROYAL BK SCOTLAND 4.445% DUE 05-08-2030	Dispositions	-200,000.00		219,233.33
		Free Receipt	200,000.00	-193,000.00	
SBD398X3	ROYAL BK SCOTLAND 4.8% DUE 04-05-2028	Acquisitions	200,000.00	-200,000.00	
		Dispositions	-200,000.00		206,938.44
SB8K3FX2	RPM INTL INC 3.45% DUE 11-15-2022	Dispositions	-350,000.00		375,137.65
		Free Receipt	350,000.00	-349,366.50	
SBMOXLY7	S CAROLINA ELEC & 4.5% DUE 06-01-2064	Dispositions	-600,000.00		612,541.02
		Free Receipt	600,000.00	-599,226.00	
SBY58YK4	S CAROLINA ELEC & 5.1% DUE 06-01-2065	Dispositions	-120,000.00		125,234.40
		Free Receipt	120,000.00	-118,026.95	
		Dispositions	-25,000.00		31,053.01
		Free Receipt	25,000.00	-25,259.72	

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SB50QD81	SAN FRANCISCO CALIF CY & CNTY PUB UTILS COMMN WTR REV 6 11-01-2040 BEO TAXABLE	Dispositions	-200,000.00		264,066.67
		Free Receipt	200,000.00	-205,626.00	
C80007RAF2	SANDS CHINA LTD FIXED 5.125% DUE 08-08-2025	Dispositions	-200,000.00		217,122.22
		Free Receipt	200,000.00	196,920.00	
SBD0F6R4	SANDS CHINA LTD 5.125% SNR 08/08/2025 USD	Free Delivery	-200,000.00		
		Free Receipt	200,000.00	-196,920.00	
SBFWJJY6	SEMPRA ENERGY 3.8% DUE 02-01-2038	Dispositions	-135,000.00		129,665.01
		Free Receipt	135,000.00	-133,539.30	
SBFWJFR1	SEMPRA ENERGY 4% DUE 02-01-2048	Dispositions	-55,000.00		52,612.71
		Free Receipt	55,000.00	-54,259.70	
C81733HAB2	SEQUA CORPORATION TL SENIOR SECURED FIRST LIEN DUE 11-28-2021 BEO	Dispositions	-197,000.00		194,052.50
		Free Receipt	197,000.00	-198,970.00	
SBXQ9DY6	SHELL INTERNATIONAL FIN 4.375% DUE 05-11-2045	Dispositions	-500,000.00		569,219.18
		Free Receipt	500,000.00	-499,572.50	
SB4XNLW2	SHELL INTL FIN B V GTD NT 5.5 DUE 03-25-2040	Dispositions	-55,000.00		69,651.45
		Free Receipt	55,000.00	-69,146.00	
SB7C01S1	SHELL INTL FIN B V 3.625% DUE 08-21-2042	Dispositions	-35,000.00		35,354.81
		Free Receipt	35,000.00	-31,635.45	
SBD5NF87	SHELL INTL FIN B V 3.75% DUE 09-12-2046	Dispositions	-60,000.00		62,442.74
		Free Receipt	60,000.00	-57,466.62	
SBXQ9F28	SHELL INTL FIN B V 4.125% DUE 05-11-2035	Dispositions	-490,000.00		536,127.31
		Free Receipt	490,000.00	-476,972.40	
SBD82XM8	SHELL INTL FIN B V 4% DUE 05-10-2046	Dispositions	-225,000.00		224,795.66
		Free Receipt	225,000.00	-224,637.04	
SBQR7XM8	SIMON PPTY GROUP L 4.25% DUE 10-01-2044	Dispositions	-45,000.00		46,372.63

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SB7RRL54	SIMON PPTY GROUP L 4.75% DUE 03-15-2042	Free Receipt	45,000.00	-44,488.20	
		Dispositions	-215,000.00		248,716.23
SBFMZDC0	SOUTHERN CALIF EDISON CO 4.125% 03-01-2048	Free Receipt	215,000.00	-229,741.44	
		Dispositions	-150,000.00		143,023.50
SBYT1540	SOUTHERN CO 4.4% DUE 07-01-2046	Free Receipt	150,000.00	-144,798.75	
		Dispositions	-300,000.00		314,303.97
SBWXV3J3	SOUTHN COPPER CORP 5.875% DUE 04-23-2045	Free Receipt	300,000.00	-298,446.00	
		Acquisitions	125,000.00	-140,034.10	
SB414JX4	SPCL1 AMERN AIRLS PASS 5.25% DUE 07-31-2022	Dispositions	-210,000.00		245,830.92
		Free Receipt	85,000.00	-84,926.81	
SBWC4XS2	SPECTRA ENERGY 4.5% DUE 03-15-2045	Dispositions	-43,253.86		45,302.88
		Free Receipt	43,253.86	-43,253.85	
C85172FAL3	SPRINGLEAF FIN 5.625% DUE 03-15-2023	Dispositions	-350,000.00		363,266.06
		Free Receipt	350,000.00	-347,319.00	
S5684067	SPRINT CAP CORP 6.9% DUE 05-01-2019	Dispositions	-400,000.00		433,187.50
		Free Receipt	400,000.00	-400,000.00	
SBDR0D93	SPRINT SPECTRUM CO 3.36% DUE 09-20-2021	Dispositions	-300,000.00		300,000.00
		Free Receipt	300,000.00	-323,250.00	
C78466DBD5	SS&C TECHNOLOGIES HOLDINGS INC. TERM LOAN 04-16-2025	Dispositions	-137,500.00		137,612.31
		Free Receipt	137,500.00	-137,541.25	
C78466DBE3	SS&C TECHNOLOGIES HOLDINGS, INC. TERM LOAN B4 SENIOR SECURED DUE 02-28-2025	Dispositions	-88,783.40		88,783.40
		Free Receipt	88,783.40	-88,561.45	
SB710SM5	STATOIL ASA 4.25 DUE 11-23-2041	Dispositions	-33,676.76		33,676.76
		Free Receipt	33,676.76	-33,592.58	
		Dispositions	-400,000.00		440,940.13
		Free Receipt	400,000.00	-407,192.00	

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SB9B2M01	SUNCOR ENERGY INC 7.15% DUE 02-01-2032	Dispositions	-220,000.00		295,718.97
		Free Receipt	220,000.00	-298,727.00	
SBD35XM5	SUNOCO LOGISTICS PARTNERS 5.4% 10-01-2047	Acquisitions	20,000.00	-20,119.30	
		Dispositions	-270,000.00		282,662.89
		Free Receipt	250,000.00	-236,275.04	
SBSN4Q84	SUNOCO LOGISTICS 5.35% DUE 05-15-2045	Dispositions	-480,000.00		488,228.39
		Free Receipt	480,000.00	-455,044.10	
S9J3BRHU	SWO_CDX BOFAUS6S 03/20/2019 ITRAXX_CDX_IG_CDSI_G_30 317584472	Acquisitions	300,000.00	0.00	
		Dispositions	-300,000.00		381.00
C875127BF8	TAMPA ELEC CO 4.45% DUE 06-15-2049	Dispositions	-200,000.00		215,204.37
		Free Receipt	200,000.00	-198,978.00	
SB9K8J87	TARGET CORP 4% DUE 07-01-2042	Dispositions	-255,000.00		274,903.30
		Free Receipt	255,000.00	-246,793.83	
SBOVC9Q5	TEACHERS INS & FLTG RT 4.375% DUE 09-15-2054	Dispositions	-500,000.00		532,617.17
		Free Receipt	500,000.00	-499,080.00	
SBF19248	TEACHERS INS & 4.27% DUE 05-15-2047	Dispositions	-790,000.00		842,883.80
		Free Receipt	790,000.00	-786,861.05	
SBDFOC65	TELEFONICA EMISIONES S A U 4.885% 03-06-2038	Dispositions	-400,000.00		406,606.09
		Free Receipt	400,000.00	-400,000.00	
SBYWMQF8	TELEFONICA EMISIONES S A U 5.213% 03-08-2047 REG	Dispositions	-310,000.00		318,242.19
		Free Receipt	310,000.00	-310,000.00	
SBFYFOR2	TEVA PHARM FINANCE 3.25% SNR 15/04/22 EUR1000	Dispositions	-400,000.00		442,631.55
		Free Receipt	400,000.00	-496,100.00	
SBD3GT31	TEVA 3.15% DUE 10-01-2026	Dispositions	-300,000.00		232,428.75
		Free Receipt	300,000.00	-299,202.00	
SBG0DDF6	TEXAS INSTRS INC 4.15% DUE 05-15-2048				

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		Dispositions	-500,000.00		556,382.16
		Free Receipt	500,000.00	-497,780.00	
SB4R23P2	TEXAS ST 4.681% 04-01-2040 BEO TAXABLE				
		Dispositions	-450,000.00		534,207.12
		Free Receipt	450,000.00	-501,448.50	
SBRB34M6	THOMSON REUTERS 3.85% DUE 09-29-2024				
		Dispositions	-92,000.00		95,419.15
		Free Receipt	92,000.00	-91,508.72	
SB8N2417	TIME WARNER CABLE 4.5% DUE 09-15-2042				
		Dispositions	-155,000.00		142,819.70
		Free Receipt	155,000.00	-144,298.15	
SB6B8W80	TIME WARNER CABLE 5.875% DUE 11-15-2040				
		Dispositions	-130,000.00		139,397.15
		Free Receipt	130,000.00	-143,281.30	
SB62X8Y8	TIME WARNER CABLE 6.75% DUE 06-15-2039				
		Dispositions	-175,000.00		200,634.65
		Free Receipt	175,000.00	-208,000.55	
SB3B14R7	TIME WARNER CABLE 7.3% DUE 07-01-2038				
		Dispositions	-115,000.00		139,937.21
		Free Receipt	115,000.00	-145,726.25	
S2727266	TIME WARNER ENTMT 8.375% DUE 07-15-2033				
		Dispositions	-250,000.00		339,788.37
		Free Receipt	250,000.00	-267,933.06	
S2298278	TN GAS PIPELN CO 7% DUE 10-15-2028				
		Dispositions	-250,000.00		311,210.82
		Free Receipt	250,000.00	-296,457.06	
SBF5GVD7	TOBACCO SETTLEMENT FIN AUTH WEST VA AST BACKED 7.467 06-01-2047 BEO TAXABLE				
		Dispositions	-380,000.00		385,031.42
		Free Receipt	385,000.00	-366,231.25	
SBGOX9L8	TRANSCANADA FIXED 5.1% DUE 03-15-2049				
		Acquisitions	20,000.00	-21,593.80	
		Dispositions	-220,000.00		254,797.57
		Free Receipt	200,000.00	-199,456.00	
SBLSP672	TRANSCANADA 4.625% DUE 03-01-2034				
		Dispositions	-195,000.00		211,410.45
		Free Receipt	195,000.00	-200,708.24	
SBD366Y1	TRANSCANADA 4.75% DUE 05-15-2038				
		Dispositions	-170,000.00		181,479.88

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SBD366Z2	TRANSCANADA 4.875% DUE 05-15-2048	Free Receipt	170,000.00	-170,649.05	
		Dispositions	-170,000.00		177,264.91
SB96NB24	TRANSCONTINENTAL GAS PIPE LINE CO LLC 4.45 DUE 08-01-2042 REG	Free Receipt	170,000.00	-168,566.90	
		Dispositions	-60,000.00		61,729.07
SB29V1L5	TRANSOCEAN INC 6.8% DUE 03-15-2038	Free Receipt	60,000.00	-48,804.00	
		Dispositions	-200,000.00		152,740.00
S2780775	TRANSOCEAN SEDCO FOREX INC 7.5 DUE 04-15-2031 BEO	Free Receipt	200,000.00	-155,500.00	
		Dispositions	-100,000.00		85,687.50
SBDFFCW6	TSY INFL IX N/B US GVT NATIONAL 0.375%01-15-2027	Free Receipt	100,000.00	-107,953.00	
		Dispositions	-40,000.00		42,210.71
SBYZ6DQ7	TSY INFL IX N/B 0.375% 07-15-2027	Free Receipt	40,000.00	-41,702.04	
		Dispositions	-1,223,000.00		1,276,596.53
SBFZBGW6	TSY INFL IX N/B 1% TIPS 02-15-2048	Free Receipt	1,223,000.00	-1,251,160.63	
		Dispositions	-200,000.00		218,163.77
SBG49383	TX EASTN TRANSM 7% DUE 07-15-2032	Free Receipt	200,000.00	-191,273.21	
		Dispositions	-100,000.00		131,061.51
SBFYKL18	TYSON FOODS INC 5.1% 09-28-2048	Free Receipt	100,000.00	-130,040.00	
		Acquisitions	140,000.00	-135,405.20	
SB2PPTS4	UNILEVER CAP CORP 5.9% DUE 11-15-2032	Dispositions	-140,000.00		157,224.10
		Dispositions	-225,000.00		295,632.39
SBLBWJ37	UNION ELEC CO 3.5% DUE 04-15-2024	Free Receipt	225,000.00	-292,727.85	
		Dispositions	-285,000.00		298,087.20
SB759FZ0	UNION ELEC CO 3.9 DUE 09-15-2042	Free Receipt	285,000.00	-292,271.95	
		Dispositions	-285,000.00		298,749.66
SBDWCWS8	UNION PAC CORP 3.789% DUE 10-01-2051	Free Receipt	285,000.00	-272,282.30	

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		Dispositions	-302,000.00		300,592.70
		Free Receipt	302,000.00	-322,312.52	
SBGLC062	UNION PAC CORP 4.3% 03-01-2049				
		Acquisitions	210,000.00	-208,523.70	
		Dispositions	-210,000.00		230,561.63
SBYZGKC2	UNION PAC CORP 4.375% DUE 09-10-2038				
		Dispositions	-150,000.00		164,951.71
		Free Receipt	150,000.00	-149,773.50	
SBYZGKF5	UNION PAC CORP 4.5% 09-10-2048				
		Acquisitions	135,000.00	-136,102.95	
		Dispositions	-365,000.00		410,439.30
		Free Receipt	230,000.00	-229,767.70	
SBF0SHC9	UNITED STATES OF AMER BDS INDX LNKD 0.875% 02-15-2047				
		Dispositions	-400,000.00		431,536.24
		Free Receipt	400,000.00	-418,228.27	
SBJB9TW3	UNITED STATES OF AMER TREAS NOTES INFL IDX T-BOND .875% 01-15-2029				
		Acquisitions	100,000.00	-103,522.70	
		Dispositions	-100,000.00		105,399.61
SBK0HZL6	UNITED STATES TREAS BDS 1.375 02-15-2044 REG				
		Dispositions	-425,000.00		529,455.34
		Free Receipt	425,000.00	-504,745.97	
SBYVN9F3	UNITED TECHNOLOGIES CORP 4.125% 11-16-2028				
		Dispositions	-285,000.00		311,628.61
		Free Receipt	285,000.00	-284,897.40	
SBYVN9N1	UNITED TECHNOLOGIES CORP 4.45% 11-16-2038				
		Acquisitions	110,000.00	-109,796.50	
		Dispositions	-110,000.00		121,401.47
SBG08PD3	UNITEDHEALTH GROUP FIXED 4.25% DUE 06-15-2048				
		Dispositions	-380,000.00		410,900.38
		Free Receipt	380,000.00	-377,385.60	
SBHSSQ40	UNITEDHEALTH GROUP INC 4.45% DUE 12-15-2048 REG				
		Dispositions	-160,000.00		177,308.89
		Free Receipt	160,000.00	-160,739.00	
SBYZLN95	UNITEDHEALTH GROUP 3.75% 10-15-2047				
		Dispositions	-170,000.00		168,245.90
		Free Receipt	170,000.00	-168,429.20	
SBYZGKH7	UNITEDHEALTH GROUP 3.85% DUE 06-15-2028				
		Dispositions	-40,000.00		43,065.09

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SBBNBGG4	UNITEDHEALTH GROUP 3.95 DUE 10-15-2042	Free Receipt	40,000.00	-39,931.20	
		Dispositions	-75,000.00		77,793.67
SBZ0X5W0	UNITEDHEALTH GROUP 4.2% DUE 01-15-2047	Free Receipt	75,000.00	-70,637.43	
		Dispositions	-10,000.00		10,894.09
SB97GZH5	UNITEDHEALTH GROUP 4.25% DUE 03-15-2043	Free Receipt	10,000.00	-9,830.60	
		Dispositions	-5,000.00		5,448.26
SBYTRVR3	UNITEDHEALTH GROUP 4.625% DUE 07-15-2035	Free Receipt	5,000.00	-5,024.80	
		Dispositions	-140,000.00		161,212.64
SBYTRVP1	UNITEDHEALTH GROUP 4.75% DUE 07-15-2045	Free Receipt	140,000.00	-142,181.20	
		Dispositions	-15,000.00		17,738.60
SB55H840	UNITEDHEALTH GROUP 5.7% DUE 10-15-2040	Free Receipt	15,000.00	-16,972.93	
		Dispositions	-130,000.00		165,366.19
SB40HSK4	UNIV SOUTHN CAL 5.25% DUE 10-01-2111	Free Receipt	130,000.00	-155,424.00	
		Dispositions	-310,000.00		419,949.71
SB3YRRJ5	UNIVERSITY CALIF REVS 5.77% 05-15-2043 BEO TAXABLE	Free Receipt	310,000.00	-368,777.40	
		Dispositions	-250,000.00		329,695.21
SBJ161V7	UNIVERSITY CALIF REVS 5.946% 05-15-2045 BEO TAXABLE	Free Receipt	250,000.00	-308,642.50	
		Dispositions	-300,000.00		396,258.00
SBFWDL86	UNIVERSITY CALIF REVS 6.298% 05-15-2050 BEO TAXABLE	Free Receipt	300,000.00	-373,179.00	
		Dispositions	-200,000.00		253,478.13
SB82LWF5	US AIRWAYS GROUP INC 5.9 DUE 10-01-2024	Free Receipt	200,000.00	-200,000.00	
		Dispositions	-32,751.22		36,344.92
C902973AZ9	US BANCORP DEL FLTG RT 5.3% DUE 12-31-2049	Free Receipt	32,751.22	-35,084.73	
		Dispositions	-100,000.00		104,765.83
SBG495T8	UTD TECHNOLOGIES NT 5.7 DUE 04-15-2040	Free Receipt	100,000.00	-100,000.00	

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		Dispositions	-365,000.00		461,502.79
		Free Receipt	365,000.00	-442,966.15	
SB8J6DZ0	UTD TECHNOLOGIES 4.5% DUE 06-01-2042				
		Acquisitions	115,000.00	-118,303.55	
		Dispositions	-575,000.00		640,156.19
		Free Receipt	480,000.00	-477,840.75	
SBYVN9P3	UTD TECHNOLOGIES 4.625% DUE 11-16-2048				
		Dispositions	-170,000.00		179,055.63
		Free Receipt	170,000.00	-166,678.85	
SB1HMKF0	VALE OVERSEAS LTD 6.875% DUE 11-21-2036				
		Dispositions	-48,000.00		57,854.50
		Free Receipt	48,000.00	-54,432.00	
C92346NAB5	VERIFONE SYSTEMS INC SEN SEC TL 08-20-2025				
		Dispositions	-100,000.00		98,880.63
		Free Receipt	100,000.00	-99,500.00	
SBYZKXZ8	VERIZON COMMUNICATIONS BNDS 5.25% DUE 03-16-2037 REG				
		Dispositions	-405,000.00		483,641.62
		Free Receipt	405,000.00	-401,881.50	
SBYRLSF4	VERIZON COMMUNICATIONS 4.522% 09-15-2048				
		Acquisitions	85,000.00	-87,240.60	
		Dispositions	-1,311,000.00		1,454,155.32
		Free Receipt	1,226,000.00	-1,132,278.96	
SBDD8108	VERIZON FIXED 3.376% DUE 02-15-2025				
		Dispositions	-23,000.00		24,239.50
		Free Receipt	173,000.00	-170,579.72	
SBYRLRK2	VERIZON 4.272% DUE 01-15-2036				
		Dispositions	-190,000.00		203,663.65
		Free Receipt	190,000.00	-174,205.86	
SBF22PM5	VERIZON 4.5% DUE 08-10-2033				
		Dispositions	-155,000.00		165,868.60
		Free Receipt	155,000.00	-154,562.90	
SBYM0W21	VERIZON 4.672% DUE 03-15-2055				
		Acquisitions	160,000.00	-170,432.00	
		Dispositions	-1,186,000.00		1,312,887.70
		Free Receipt	1,026,000.00	-950,336.18	
SBDFLJN4	VERIZON 4.812% DUE 03-15-2039				
		Dispositions	-86,000.00		98,726.45
		Free Receipt	86,000.00	-79,619.30	

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SBXVMD62	VERIZON 4.862% DUE 09-21-2046	Dispositions	-783,000.00		919,244.67
		Free Receipt	783,000.00	-827,253.92	
SBDLJP6	VERIZON 5.012% DUE 04-15-2049	Acquisitions	140,000.00	-152,613.60	
		Dispositions	-140,000.00		165,303.57
SBVYRK47	VERIZON 5.012% DUE 09-21-2054	Dispositions	-577,000.00		656,424.54
		Free Receipt	577,000.00	-566,538.87	
SBCHWWF1	VIACOM INC NEW 4.375% DUE 03-15-2043	Acquisitions	65,000.00	-58,929.00	
		Dispositions	-65,000.00		62,952.17
C92553PAU6	VIACOM INC NEW 5.85% DUE 09-01-2043	Acquisitions	110,000.00	-116,004.45	
		Dispositions	-605,000.00		705,705.96
		Free Receipt	495,000.00	-530,233.77	
C925524AX8	VIACOM INC SR NT 6.875% DUE 04-30-2036/10-18-2006	Dispositions	-65,000.00		80,419.79
		Free Receipt	65,000.00	-76,031.15	
SBYPHG16	VISA INC 4.15% DUE 12-14-2035	Dispositions	-300,000.00		337,999.85
		Free Receipt	300,000.00	-299,595.00	
SBYPHFN1	VISA INC 4.3% DUE 12-14-2045	Dispositions	-435,000.00		505,433.44
		Free Receipt	435,000.00	-437,514.45	
SBDT5LB4	VMWARE INC 2.95% DUE 08-21-2022	Dispositions	-400,000.00		404,622.85
		Free Receipt	400,000.00	-383,340.00	
SBFMLP22	VODAFONE GROUP PLC 5.25% DUE 05-30-2048	Dispositions	-150,000.00		144,796.20
		Free Receipt	150,000.00	-149,783.27	
SBFMLP11	VODAFONE GROUP PLC 5% DUE 05-30-2038	Dispositions	-75,000.00		78,539.19
		Free Receipt	75,000.00	-73,578.00	
SBGQS6B2	VOLKSWAGEN GROUP AMER FIN LLC 4.25% 11-13-2023	Dispositions	-400,000.00		421,465.95
		Free Receipt	400,000.00	-397,636.00	
SBGPMBN2	VOLKSWAGEN GROUP 4.75% DUE 11-13-2028				

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		Dispositions	-310,000.00		310,596.90
		Free Receipt	310,000.00	-306,528.00	
SBH3Q4W3	VULCAN MATERIALS CO 4.7% DUE 03-01-2048 BEO				
		Dispositions	-245,000.00		241,622.35
		Free Receipt	245,000.00	-244,723.15	
SB7XGYR8	WACHOVIA BK NATL ASSN MEDIUM TERM SUB BKTRANCHE # SB 00009 6.6 DUE 01-15-2038				
		Dispositions	-800,000.00		1,121,620.04
		Free Receipt	800,000.00	-698,954.13	
SB1PB082	WACHOVIA BK NATL ASSN MTN SUB BKTRANCHE # SB 00007 5.85 DUE 02-01-2037 BEO				
		Dispositions	-200,000.00		257,081.48
		Free Receipt	200,000.00	-196,094.00	
C931427AQ1	WALGREENS BOOTS 3.45% DUE 06-01-2026				
		Dispositions	-100,000.00		100,126.36
		Free Receipt	100,000.00	-99,748.00	
SBGLQ5V5	WALMART INC 3.95% DUE 06-28-2038				
		Dispositions	-210,000.00		231,911.94
		Free Receipt	210,000.00	-207,822.30	
SBG08Q52	WALMART INC 4.05% DUE 06-29-2048 REG				
		Dispositions	-250,000.00		281,966.13
		Free Receipt	250,000.00	-246,747.50	
SBDDN1V4	WARNER MEDIA LLC 3.8% DUE 02-15-2027				
		Dispositions	-100,000.00		100.00
		Free Receipt	100,000.00	-99,615.00	
SBVY567	WASTE MANAGEMENT INC 4.1% DUE 03-01-2045REG				
		Dispositions	-450,000.00		483,697.11
		Free Receipt	450,000.00	-449,073.00	
SBCSCZN9	WELLPOINT INC 5.1% DUE 01-15-2044				
		Dispositions	-125,000.00		142,268.37
		Free Receipt	125,000.00	-144,999.80	
SBS9FPF1	WELLS FARGO & CO NEW MEDIUM TERM SR 4.65% 11-04-2044				
		Dispositions	-235,000.00		254,518.27
		Free Receipt	235,000.00	-232,703.62	
SBX2H0V0	WELLS FARGO & CO 3.9% DUE 05-01-2045				
		Dispositions	-10,000.00		9,906.30
		Free Receipt	10,000.00	-9,304.14	
SBJ60RD8	WELLS FARGO & CO 4.15% DUE 01-24-2029				
		Acquisitions	135,000.00	-134,759.70	
		Dispositions	-135,000.00		144,246.45

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SBD39X50	WELLS FARGO & CO 4.4% DUE 06-14-2046	Dispositions	-430,000.00		453,528.37
		Free Receipt	430,000.00	-426,874.45	
SBDSFRX9	WELLS FARGO & CO 4.75% DUE 12-07-2046	Dispositions	-485,000.00		540,205.23
		Free Receipt	485,000.00	-496,154.52	
SBYQ29R7	WELLS FARGO & CO 4.9% DUE 11-17-2045	Dispositions	-450,000.00		510,138.17
		Free Receipt	450,000.00	-450,896.46	
SBJTGHH7	WELLS FARGO & CO 5.606% DUE 01-15-2044	Dispositions	-115,000.00		141,725.78
		Free Receipt	115,000.00	-129,176.24	
SB1CL665	WELLS FARGO BK 5.95% DUE 08-26-2036	Dispositions	-350,000.00		447,801.99
		Free Receipt	350,000.00	-323,891.50	
SBFY3CZ8	WELLTOWER INC FIXED 4.25% DUE 04-15-2028	Dispositions	-100,000.00		107,031.71
		Free Receipt	100,000.00	-98,948.00	
SBFXKXC4	WESTERN GAS PARTNERS LP 4.75% 08-15-2028	Dispositions	-100,000.00		102,089.44
		Free Receipt	100,000.00	-99,818.00	
SBGKCBH1	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP 4.40% DUE 03-15-2024	Dispositions	-200,000.00		211,795.85
		Free Receipt	200,000.00	-199,610.00	
SBB0RG32	WEYERHAEUSER CO DEB 7.375 DUE 03-15-2032 BEO	Dispositions	-100,000.00		140,740.41
		Free Receipt	100,000.00	-139,757.00	
SBJJDQ9	WEYERHAEUSER CO 4% DUE 11-15-2029	Acquisitions	300,000.00	-298,386.00	
		Dispositions	-300,000.00		318,324.26
SBNJZZV2	WILLIAMS COS INC 5.75% DUE 06-24-2044	Dispositions	-60,000.00		67,033.01
		Free Receipt	60,000.00	-64,218.00	
S2830807	WILLIAMS COS INC 7.5% DUE 01-15-2031	Dispositions	-50,000.00		64,025.47
		Free Receipt	50,000.00	-60,278.10	
S2744146	WILLIAMS COS INC 8.75% DUE 03-15-2032	Dispositions	-341,000.00		488,139.48

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SBGLOSZ9	WILLIAMS PARTNERS FIXED 4.85% DUE 03-01-2048	Free Receipt	341,000.00	-428,278.95	
		Acquisitions	15,000.00	-14,847.45	
		Dispositions	-160,000.00		160,622.01
		Free Receipt	145,000.00	-142,460.45	
SBNKVH91	WILLIAMS PARTNERS 4.9% DUE 01-15-2045	Dispositions	-115,000.00		118,059.60
		Free Receipt	115,000.00	-114,728.15	
SBW3SWW0	WILLIAMS PARTNERS 5.1% DUE 09-15-2045	Dispositions	-260,000.00		269,070.77
		Free Receipt	260,000.00	-263,034.36	
SBG0SQL8	WILLIAMS PARTNERS 5.8 DUE 11-15-2043	Dispositions	-85,000.00		94,073.95
		Free Receipt	85,000.00	-93,263.70	
SB3RZK8	WILLIAMS PARTNERS 6.3% DUE 04-15-2040	Dispositions	-390,000.00		467,057.75
		Free Receipt	390,000.00	-443,748.20	
SBF92959	WIND TRE SPA FRN GTD SNR SEC 01/2024 EUR	Dispositions	-300,000.00		332,313.28
		Free Receipt	300,000.00	-352,830.00	
SBW9H1X5	WOODSIDE FIN LTD 3.65% DUE 03-05-2025	Dispositions	-575,000.00		592,493.11
		Free Receipt	575,000.00	-574,666.50	
SBYX48Q8	WYNDHAM WORLDWIDE FIXED 5.1% DUE 10-01-2025	Dispositions	-100,000.00		110,589.03
		Free Receipt	100,000.00	-102,875.00	
SBWC4ZB9	ZIMMER BIOMET 3.55% DUE 04-01-2025	Dispositions	-575,000.00		594,555.39
		Free Receipt	575,000.00	-573,648.75	
SBG3PT39	ZOETIS INC 3.25 DUE 02-01-2023 REG	Dispositions	-200,000.00		206,354.95
		Free Receipt	200,000.00	-199,780.00	

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Schedule SB Attachment (Form 5500)—2019 Plan Year
Vistra Energy Retirement Plan
EIN: 36-4833461 PN: 002

Schedule SB, line 32—Schedule of Amortization Bases

Type of Base	Present Value of Installment	Date Established	Years Remaining	Amortization Installment
Shortfall	\$ 33,148,524	January 1, 2019	7	\$ 5,386,230