

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2019 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information For calendar plan year 2019 or fiscal plan year beginning <u>01/01/2019</u> and ending <u>12/31/2019</u>
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here. ▶	☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)

Part II	Basic Plan Information —enter all requested information		
1a Name of plan <u>J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN</u>	1b Three-digit plan number (PN) ▶	<u>001</u>	
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>THE J.N. PHILLIPS COMPANY, INC.</u> <u>KAREN HAMILTON</u> <u>7400 SAFELITE WAY</u> <u>COLUMBUS, OH 43235</u>	1c Effective date of plan <u>01/01/1985</u>	2b Employer Identification Number (EIN) <u>04-2220769</u>	2c Plan Sponsor's telephone number <u>781-938-3410</u>
		2d Business code (see instructions) <u>811120</u>	

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	<u>10/15/2020</u>	<u>KAREN HAMILTON</u>
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2019)
v. 190130

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN	
		3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN	
5 Total number of participants at the beginning of the plan year		5	828
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).			
a(1) Total number of active participants at the beginning of the plan year		6a(1)	602
a(2) Total number of active participants at the end of the plan year		6a(2)	610
b Retired or separated participants receiving benefits.....		6b	1
c Other retired or separated participants entitled to future benefits		6c	239
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d	850
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e	0
f Total. Add lines 6d and 6e		6f	850
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	761
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	144
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2F 2G 2J 2K 2T 3D 3H			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2019 Form M-1 annual report. If the plan was not required to file the 2019 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2019
		This Form is Open to Public Inspection.

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019		
A Name of plan J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 THE J.N. PHILLIPS COMPANY, INC.	D Employer Identification Number (EIN) 04-2220769	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL
04-2647786

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
37 60 64 65	RECORDKEEPER	7650	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

KESTRA INVESTMENT SERVICES LLC

35-2552359

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
55	ADVISOR	0	Yes ☒ No ☐	Yes ☐ No ☒	37828	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AB DISC GRTH A - ALLIANCEBERNSTEIN 18, RUE EUGNE RUPPERT LUXEMBOURG, LU L-245 LU	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ABF SM CAP VALUE A - DST ASSET MAN 430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AF TRGT DATE 2010 R3 - AMERICAN FU 95-2566717	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AF TRGT DATE 2015 R3 - AMERICAN FU 95-2566717	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AF TRGT DATE 2020 R3 - AMERICAN FU 95-2566717	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AF TRGT DATE 2025 R3 - AMERICAN FU 95-2566717	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AF TRGT DATE 2030 R3 - AMERICAN FU 95-2566717	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AF TRGT DATE 2035 R3 - AMERICAN FU 95-2566717	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AF TRGT DATE 2040 R3 - AMERICAN FU 95-2566717	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AF TRGT DATE 2045 R3 - AMERICAN FU 95-2566717	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AF TRGT DATE 2050 R3 - AMERICAN FU 95-2566717	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AF TRGT DATE 2055 R3 - AMERICAN FU 95-2566717	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AF TRGT DATE 2060 R3 - AMERICAN FU 95-2566717	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
I O GLOBAL A - INVESCO INVESTMENT 11 GREENWAY PLAZA, SUITE 100 HOUSTON, TX 77046	0.25%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS DIVRS DIVIDND A - INVESCO INV 11 GREENWAY PLAZA, SUITE 100 HOUSTON, TX 77046	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
J H TRITON S - JANUS SERVICES LLC 43-1804048	0.25%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPM CORE BOND A - DST ASSET MANAGE 430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.25%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPM US RSH ENH EQ A - DST ASSET MA 430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105	0.25%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY S ESTB VAL A - FIS INVESTO 4249 EASTON WAY SUITE 400 COLUMBUS, OH 43219	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
KESTRA INVESTMENT SERVICES LLC	55	37828
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NATIONAL FINANCIAL SERVICES LLC 04-3523567		
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	RSM US LLP	b EIN:	42-0714325
c Position:	AUDITOR		
d Address:	80 CITY SQUARE BOSTON, MA 02129	e Telephone:	617-241-1164

Explanation: RSM WAS THE AUDITOR IN PLACE WITH JN PHILLIPS AND WE ACQUIRED JN PHILLIPS IN 2019 AND MOVED THE AUDIT PROCESS TO OUR AUDITORS - REA & ASSOCIATES

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection
For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019		
A Name of plan J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 THE J.N. PHILLIPS COMPANY, INC.	D Employer Identification Number (EIN) 04-2220769	

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	0
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)	0	0
(2) Participant contributions.....	1b(2)	0	0
(3) Other.....	1b(3)	0	0
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	800272	812581
(2) U.S. Government securities	1c(2)	0	0
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	0	0
(B) All other.....	1c(3)(B)	0	0
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	0	0
(B) Common.....	1c(4)(B)	0	0
(5) Partnership/joint venture interests	1c(5)	0	0
(6) Real estate (other than employer real property).....	1c(6)	0	0
(7) Loans (other than to participants)	1c(7)	0	0
(8) Participant loans.....	1c(8)	476469	614293
(9) Value of interest in common/collective trusts	1c(9)	0	0
(10) Value of interest in pooled separate accounts	1c(10)	0	0
(11) Value of interest in master trust investment accounts	1c(11)	0	0
(12) Value of interest in 103-12 investment entities.....	1c(12)	0	0
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	16011105	20026494
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)	0	0

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)	0	0
(2) Employer real property	1d(2)	0	0
e Buildings and other property used in plan operation	1e	0	0
f Total assets (add all amounts in lines 1a through 1e)	1f	17287846	21453368

Liabilities

g Benefit claims payable	1g	0	0
h Operating payables	1h	0	0
i Acquisition indebtedness	1i	0	0
j Other liabilities	1j	24900	22965
k Total liabilities (add all amounts in lines 1g through 1j)	1k	24900	22965

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	17262946	21430403
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	204655	
(B) Participants	2a(1)(B)	1568472	
(C) Others (including rollovers)	2a(1)(C)	125096	
(2) Noncash contributions	2a(2)	0	1898223
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	12220	
(B) U.S. Government securities	2b(1)(B)	0	
(C) Corporate debt instruments	2b(1)(C)	0	
(D) Loans (other than to participants)	2b(1)(D)	0	
(E) Participant loans	2b(1)(E)	24187	
(F) Other	2b(1)(F)	0	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		36407
(2) Dividends: (A) Preferred stock	2b(2)(A)	0	845681
(B) Common stock	2b(2)(B)	0	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	845681	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		845681
(3) Rents	2b(3)		0
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	0	0
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	0	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	0	0
(B) Other	2b(5)(B)	0	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		0
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		3328619
c Other income	2c		0
d Total income. Add all income amounts in column (b) and enter total	2d		6108930

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	1915614	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		1915614
f Corrective distributions (see instructions)	2f		0
g Certain deemed distributions of participant loans (see instructions)	2g		18210
h Interest expense	2h		0
i Administrative expenses: (1) Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	0	
(3) Investment advisory and management fees	2i(3)	0	
(4) Other	2i(4)	7649	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		7649
j Total expenses. Add all expense amounts in column (b) and enter total	2j		1941473

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		4167457
l Transfers of assets:			
(1) To this plan	2l(1)		0
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unmodified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: REA & ASSOCIATES INC.

(2) EIN: 34-1310124

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a	X		38903
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)		X	
4d		X	
e Was this plan covered by a fidelity bond?	X		1000000
4e	X		1000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)		X	
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		X	
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.		X	
4n		X	

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____. (See instructions.)

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection.
For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019		
A Name of plan J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN		B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 THE J.N. PHILLIPS COMPANY, INC.		D Employer Identification Number (EIN) 04-2220769
Part I	Distributions	
All references to distributions relate only to payments of benefits during the plan year.		
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions		1
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 04-6568107		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year		3
Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)	
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ☐ Yes ☐ No ☐ N/A If the plan is a defined benefit plan, go to line 8.		
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month Day Year If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.		
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)		6a
b Enter the amount contributed by the employer to the plan for this plan year		6b
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)		6c
If you completed line 6c, skip lines 8 and 9.		
7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ☐ Yes ☐ No ☐ N/A		
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ☐ Yes ☐ No ☐ N/A		
Part III	Amendments	
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ☐ Increase ☐ Decrease ☐ Both ☐ No		
Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.	
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ☐ Yes ☐ No		
11 a Does the ESOP hold any preferred stock? ☐ Yes ☐ No		
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ☐ Yes ☐ No		
12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ☐ Yes ☐ No		
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.		
Schedule R (Form 5500) 2019 v. 190130		

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year

b The plan year immediately preceding the current plan year

c The second preceding plan year

14a**14b****14c**

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year

b The corresponding number for the second preceding plan year

15a**15b**

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers

16a**16b**

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

- a** Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

- b** If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

J.N. Phillips Glass Co.

Profit Sharing Plan

Audited Financial Statements

As of March 13, 2020 and December 31, 2019
and for the Period from January 1 through March 13, 2020
and for the Year Ended December 31, 2019



Rea & associates

www.reacpa.com

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October 14, 2020

To the Plan Committee and Participants of the
J.N. Phillips Glass Co. Profit Sharing Plan

INDEPENDENT AUDITOR'S REPORT

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the J.N. Phillips Glass Co. Profit Sharing Plan (the "Plan"), which comprise the statements of net assets available for benefits as of March 13, 2020 and December 31, 2019, the related statement of changes in net assets available for benefits for the period from January 1 through March 13, 2020 and the year ended December 31, 2019, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified or provided by Fidelity Management Trust Company, the trustee of the Plan, except for comparing this information with the related information included in the financial statements. We have been informed by the Plan Administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained certifications from the trustee as of and for the period from January 1 through March 13, 2020 and the year ended December 31, 2019, that the information provided to the Plan Administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter – Supplemental Schedules

The supplemental schedules, the Schedules of Delinquent Participant Contributions for the period from January 1 through March 13, 2020 and for the year ended December 31, 2019, and the Schedule of Assets Held for Investment Purposes at End of Year as of December 31, 2019, are required by the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purposes of additional analysis and are not a required part of the financial statements. These supplemental schedules are the responsibility of the Plan's management. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified or provided by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Rea & Associates, Inc.

Rea & Associates, Inc.
Dublin, Ohio

J.N. PHILLIPS GLASS COMPANY PROFIT SHARING PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
AS OF MARCH 13, 2020 AND DECEMBER 31, 2019

	March 13, 2020	December 31, 2019
ASSETS:		
INVESTMENTS, at fair value:		
Mutual funds	\$ -	\$ 20,026,494
Money market fund	-	812,581
Total investments, at fair value	-	20,839,075
PLAN RECEIVABLES:		
Notes receivable from participants	-	620,203
Total plan receivables	-	620,203
Total assets	-	21,459,278
LIABILITIES:		
Excess contributions payable	-	(22,965)
Total liabilities	-	(22,965)
Net assets available for benefits	\$ -	\$ 21,436,313

The accompanying notes are an integral part of these financial statements.

J.N. PHILLIPS GLASS COMPANY PROFIT SHARING PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE PERIOD FROM JANUARY 1 - MARCH 13, 2020 AND YEAR ENDED DECEMBER 31, 2019

	Period January 1-March 13, 2020	Year Ended December 31, 2019
ADDITIONS TO NET ASSETS:		
Investment (loss) income:		
Interest and dividends	\$ 17,339	\$ 857,901
Net (depreciation) appreciation in fair value of mutual funds	(2,130,332)	3,328,619
Total investment (loss) income	(2,112,993)	4,186,520
Contributions:		
Employer	62,186	204,655
Participants	-	1,568,472
Rollover	-	125,096
Total contributions	62,186	1,898,223
Interest income on notes receivable from participants	4,966	24,187
Total additions	(2,045,841)	6,108,930
DEDUCTIONS FROM NET ASSETS:		
Benefits paid to participants	5,850,631	1,967,871
Administrative expenses	-	7,649
Total deductions	5,850,631	1,975,520
ASSETS TRANSFERRED OUT OF THE PLAN	(13,539,841)	-
NET (DECREASE) INCREASE IN NET ASSETS AVAILABLE FOR BENEFITS	(21,436,313)	4,133,410
NET ASSETS AVAILABLE FOR BENEFITS, beginning of year	21,436,313	17,302,903
NET ASSETS AVAILABLE FOR BENEFITS, end of year	\$ -	\$ 21,436,313

The accompanying notes are an integral part of these financial statements.

J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN

NOTES TO THE FINANCIAL STATEMENTS

NOTE 1: DESCRIPTION OF RETIREMENT PLAN

The following description of J.N. Phillips Glass Company Profit Sharing Plan (the Plan) provides only general information. Participants should refer to the plan agreement for a more complete description of the Plan's provisions. The plan sponsor is J.N. Phillips Company, Inc. (J.N. Phillips). The Plan is available to eligible employees of J.N. Phillips, as well as those of Windshield Centers, Inc., Teleglass National, Inc., Strategic Claims Services, Inc., Techna Glass, Inc., and TGSC, Inc., (collectively the Company) each of whom are owned by TruRoad Holdings, Inc. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

General

The Plan is a defined contribution plan established for the benefit of the employees of the Company. The Plan and the trust of which it is a part are intended to satisfy all of the requirements for a qualified retirement plan under the appropriate provisions of the Internal Revenue Code (IRC) and similar state tax laws. The Plan was originally established on January 1, 1985 and the plan document was amended and restated on February 5, 2015, at which time the Plan adopted the most current volume submitter document from its trustee.

On August 19, 2019, TruRoad Holding, Inc. was acquired by Safelite Group. Effective October 18, 2019 participants ceased contributions to the J.N. Phillips Glass Profit Sharing Plan. Effective March 13, 2020 employees covered under the Plan were merged into the Safelite Group Associates' Retirement Savings Plan (Safelite Plan). All assets of the plan were transferred to the Safelite Plan.

Eligibility

Employees of the Company who have reached 21 years of age and have completed eleven months of service are eligible to participate in the Plan. Once a participant reaches the service period requirement, they will automatically be enrolled with a 4% deferral on the first day of the first quarter following their eligibility unless they elect otherwise. Effective August 1, 2018, the Plan was amended to reduce the eligibility period from eleven months to six months, and to allow for monthly rather than quarterly enrollment.

Contributions

Active participants may elect to contribute up to 60% of eligible pretax compensation and bonuses, as defined in the Plan, up to a maximum defined by the IRC (\$19,500 in 2020 and \$19,000 in 2019.) Participants may also contribute amounts representing distributions from other qualified defined benefit or contribution plans (rollover contributions).

Participants who have attained age 50 before the end of a calendar year, may elect to defer additional amounts (called "catch-up contributions") to the Plan as of January 1st of that year. The additional amounts may be deferred regardless of any other limitations on the amount that these participants defer to the Plan. The maximum catch-up contribution that can be made in 2020 is \$6,500 and 2019 is \$6,000.

Under the provisions of the Plan, the Company may make a matching contribution to the Plan on behalf of the participants. The Company's contribution percentage is equal to 25% of the elective participant contribution up to 4% of eligible compensation. Contributions are subject to certain limitations.

J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN

NOTES TO THE FINANCIAL STATEMENTS

NOTE 1: DESCRIPTION OF RETIREMENT PLAN (CONTINUED)

Contributions (Continued)

The Company may also make discretionary profit sharing contributions to the Plan. Discretionary contributions made to the participants' accounts are generally allocated in the ratio that the participant's eligible compensation bears to the total eligible compensation paid to all eligible participants. The Company made no discretionary profit sharing contributions for the period from January 1 through March 13, 2020 or the year ended December 31, 2019.

Participant Accounts

Each participant's account is credited with the participant's contributions, the Company's matching contribution and allocations of discretionary profit sharing contributions, if any, and Plan earnings/losses thereon, and are charged with an allocation of administrative expenses. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting

Participants are fully vested in their contributions and earnings thereon. The portion of the participants' accounts attributable to the Company's matching contributions and discretionary profit sharing contributions plus actual earnings thereon become 20% vested after one year of credited service, as defined, and continues to vest at the rate of 20% for each successive year of service until 100% vested after five years of service. Upon reaching the early retirement age of 55, the participant immediately becomes fully vested regardless of length of service.

Participants' Investment Options

Participants must direct their salary contributions to selected investments as made available and determined by the Plan Administrator. The Plan currently offers a variety of mutual funds and a money market fund as investment options for participants. Participants may change their investment options at any time throughout the year via direct phone or online access to Fidelity Management Trust Company, the trustee and third party administrator of the Plan.

Payment of Benefits

Upon termination of service due to death, disability, retirement or termination, a participant may elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account, or installment distribution in equal amounts over a period of time. However, if a participant's balance is \$5,000 or less, the Plan Administrator will direct the trustee to distribute a lump sum distribution without consent. In-service withdrawals are also permitted for certain financial hardships or when a participant attains age 59 ½.

Forfeitures

Forfeitures represent the non-vested portion of terminated participants' account balances. These accounts may be used first to reduce costs of administration of the Plan and second to reduce Company matching contributions. Amounts forfeited during the period from January 1 through March 13, 2020 and the year ended December 31, 2019 were \$115 and \$42,501, respectively. In the period from January 1 through March 13, 2020, \$8,868 was used to reduce company match contributions. In the year ended December 31, 2019, \$19,974 was used to reduce company matching contributions. As of March 13, 2020 and December 31, 2019, forfeited non-vested accounts totaled \$0 and \$50,209, respectively. Forfeitures of \$59,303 were transferred to the Safelite Plan on March 13, 2020.

J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN

NOTES TO THE FINANCIAL STATEMENTS

NOTE 1: DESCRIPTION OF RETIREMENT PLAN (CONTINUED)

Notes Receivable from Participants

Participants may borrow from their fund accounts a minimum of \$1,000 up to a maximum equal to the lesser of \$50,000 or 50% of their vested account balance. Loan terms cannot exceed five years or, if a participant requests a loan for the acquisition of a principal residence, the loan may have a term of up to ten years, except in the case of loans merged in from Techna Glass, Inc. 401(k) Plan where the loan may have a term up to 30 years. The loans are secured by the vested balance in the participants' accounts and at December 31, 2019, bore interest at rates ranging from 4.25% to 6.00%, which represent fixed rates determined by the Plan Administrator at the time the loan was originated based upon the prevailing interest rates charged by persons in the business of lending money for loans. Principal and interest is paid ratably through payroll deductions. In the case of termination of employment, the entire outstanding loan balance is immediately due and payable.

NOTE 2: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The accompanying financial statements have been prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America (GAAP).

Use of Estimates

The preparation of financial statements in conformity with GAAP requires the Plan Administrator to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net (depreciation) appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. Related fees are recorded as administrative expenses and are expensed when they are incurred. No allowance for credit losses has been recorded as of December 31, 2019. If a participant ceases to make loan repayments and the Plan Administrator deems the participant loan to be in default, the participant loan balance is reduced and a benefit payment is recorded.

Payment of Benefits

Benefits are recorded when paid.

J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN

NOTES TO THE FINANCIAL STATEMENTS

NOTE 2: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Administrative Expenses

Expenses of maintaining the Plan, including participant recordkeeping and investment management fees, are paid by the Company or from forfeitures. Certain administrative charges are paid by participants, including fees related to loans and distributions.

Income Taxes

GAAP requires Plan management to evaluate tax positions taken by the Plan, and recognize a tax liability (or asset) if the Plan has taken any uncertain tax positions that more likely than not would not be sustained upon examination by a tax authority. Management evaluated the Plan's tax positions and concluded that the Plan had maintained its tax-exempt status and had taken no uncertain tax positions that require adjustment to the financial statements. Therefore, no provision or liability for income taxes has been included in the financial statements.

Subsequent Events

Subsequent events have been evaluated through October 14, 2020, which is the date the financial statements were available to be issued. There were no events or transactions discovered during the evaluation that require recognition or disclosure in the financial statements.

NOTE 3: INFORMATION PREPARED AND CERTIFIED BY FIDELITY MANAGEMENT TRUST COMPANY

Certain information related to investments and notes receivable from participants disclosed in the accompanying financial statements and supplemental schedules, including investments and notes receivable from participants held at March 13, 2020, and December 31, 2019, and net (depreciation) appreciation in fair value of investments, interest and dividends, for the period from January 1 through March 13, 2020 and for the year ended December 31, 2019, was obtained or derived from information supplied to the plan administrator and certified as complete and accurate by Fidelity Management Trust Company, the trustee of the Plan.

NOTE 4: FAIR VALUE MEASUREMENTS

Generally accepted accounting principles include a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy are described below:

Level 1 Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in inactive markets;
- Inputs other than quoted prices that are observable for the asset or liability;

J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN

NOTES TO THE FINANCIAL STATEMENTS

NOTE 4: FAIR VALUE MEASUREMENTS (CONTINUED)

- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at March 13, 2020 and December 31, 2019.

Mutual funds and money market fund: Valued at the daily closing price as reported by the fund. Mutual funds and the money market fund held by the plan are open-end mutual funds and money market funds that are registered with the U.S. Securities and Exchange Commission. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The mutual funds and money market fund held by the Plan are deemed to be actively traded.

The preceding method described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

No assets were held at March 13, 2020. Assets measured at fair value as of December 31, 2019 are comprised of Level 1 mutual funds totaling \$20,026,494 and a money market fund totaling \$812,581.

NOTE 5: PARTY IN INTEREST TRANSACTIONS

Certain plan investments are managed by Fidelity Management Trust Company, the trustee and recordkeeper of the Plan, and therefore, these transactions qualify as party-in-interest transactions as that term is defined in Section 3(14) of ERISA.

Fees incurred by the Plan for investment management services are included in net appreciation in fair value of the investment, as they are paid through revenue sharing, rather than a direct payment. The Company pays directly any other fees related to the Plan's operations. In addition, the Plan's investments include loans to participants that are secured by the balances in the participants' account, which also constitute party-in-interest transactions.

J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN

NOTES TO THE FINANCIAL STATEMENTS

NOTE 5: PARTY IN INTEREST TRANSACTIONS (CONTINUED)

As indicated in Note 1, all assets of the Plan transferred to the Safelite Plan upon the acquisition of the Company by Safelite Group.

NOTE 6: TAX STATUS

The Plan has adopted a volume submitter defined contribution plan sponsored by Fidelity Management and Research Company. The volume submitter plan has received a favorable opinion letter from the Internal Revenue Service dated March 31, 2014. The Plan Administrator believes the Plan is being operated in compliance with the approved document and applicable requirements of the Internal Revenue Code and is exempt from Federal income taxes. Therefore, no provision for income taxes has been included in the Plan's financial statements.

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The Plan Administrator has analyzed the tax positions taken by the Plan and has concluded that, as of March 13, 2020 and December 31, 2019, there are no uncertain positions taken or expected to be taken that would require recognition of a liability or disclosure in the financial statements.

NOTE 7: NONEXEMPT TRANSACTIONS

During the plan year ended December 31, 2018, the Company inadvertently failed to deposit \$274 of participant contributions and loan repayments within the required timeframe stated by the United States Department of Labor regulations. The Company deposited lost earnings to correct this failure in March 2020. The Company will file Form 5330 and pay applicable excise tax to the IRS. The correction and any excise taxes, if applicable, will be paid from the Company's assets and not from assets of the Plan.

Prior to the 2018 Techna Glass, Inc. 401(k) Plan merger of assets, it was determined that during the plan years ended December 31, 2018, 2017 and 2016, the Company inadvertently failed to deposit \$2,773, \$303 and \$35,553, respectively, of participant contributions and loan repayments within the required timeframe stated by the United States Department of Labor regulations. The Company deposited lost earnings to correct this failure in March 2020. The Company will file Form 5330 and pay applicable excise tax to the IRS. The correction and any excise taxes, if applicable, will be paid from the Company's assets and not from assets of the Plan.

NOTE 8: RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following is a reconciliation of net assets transferred from this plan per the financial statements to Form 5500 for the period from January 1 through March 13, 2020:

Net assets transferred from this plan per the financial statements	\$ (13,539,841)
Change in deemed distributed loans	5,910
Net increase in net assets available for benefits per the Form 5500	<u>\$ (13,533,931)</u>

J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN

NOTES TO THE FINANCIAL STATEMENTS

NOTE 8: RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500 (CONTINUED)

The following is a reconciliation of net decrease in net assets available for benefits per the financial statements to Form 5500 for the period from January 1 through March 13, 2020:

Net decrease in net assets available for benefits per the financial statements	\$ (21,459,278)
Change in deemed distributed loans	<u>5,910</u>
Net increase in net assets available for benefits per the Form 5500	<u>\$ (21,453,368)</u>

The following is a reconciliation of net assets available for benefits per the financial statements to the Form 5500 as of December 31, 2019:

Net assets available for plan benefits per the financial statements	\$ 21,436,313
Deemed distributed loans reported on Form 5500	<u>(5,910)</u>
Net assets per Form 5500	<u>\$ 21,430,403</u>

The following is a reconciliation of notes receivable from participants per the financial statements to Form 5500 for the year ended December 31, 2019:

Notes receivable from participants per the financial statements	\$ 620,203
Change in deemed distributed loans	<u>(5,910)</u>
Notes receivable from participants per the Form 5500	<u>\$ 614,293</u>

The following is a reconciliation of net increase in net assets available for benefits per the financial statements to Form 5500 for the year ended December 31, 2019:

Net increase in net assets available for benefits per the financial statements	\$ 4,133,410
Change in deemed distributed loans reported on Form 5500	<u>34,047</u>
Net increase in net assets available for benefits per the Form 5500	<u>\$ 4,167,457</u>

J.N. PHILLIPS GLASS COMPANY PROFIT SHARING PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4a,
SCHEDULE OF DELINQUENT CONTRIBUTIONS
PERIOD FROM JANUARY 1 - MARCH 13, 2020

EIN: 04-2220769

Plan Number: 001

Participant Contributions	Total that Constitute Nonexempt Prohibited Transactions				
	Check if Late Participant Loan Repayments	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	Total Fully Corrected Under VFCP and PTE 2002-51
Amount Withheld					
\$ 163		\$ -	\$ 163	\$ -	\$ -
102		-	102	-	-
9		-	9	-	-
173		-	173	-	-
2,600		-	2,600	-	-
150		-	150	-	-
3		-	3	-	-
150		-	150	-	-
5,110		-	5,110	-	-
5,241		-	5,241	-	-
5,231		-	5,231	-	-
1,259	✓	-	1,259	-	-
4,502		-	4,502	-	-
1,392	✓	-	1,392	-	-
5,574		-	5,574	-	-
1,080	✓	-	1,080	-	-
5,230		-	5,230	-	-
295	✓	-	295	-	-
136	✓	-	136	-	-
75		-	75	-	-
33		-	33	-	-
383		-	383	-	-
7		-	7	-	-
5		-	5	-	-
<u>\$ 38,903</u>		<u>\$ -</u>	<u>\$ 38,903</u>	<u>\$ -</u>	<u>\$ -</u>

See accompanying independent auditor's report.

J.N. PHILLIPS GLASS COMPANY PROFIT SHARING PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4a,
SCHEDULE OF DELINQUENT CONTRIBUTIONS
YEAR ENDED DECEMBER 31, 2019

EIN: 04-2220769

Plan Number: 001

Participant Contributions	Total that Constitute Nonexempt Prohibited Transactions				
	Check if Late Participant Loan Repayments	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	Total Fully Corrected Under VFCP and PTE 2002-51
Amount Withheld					
\$ 163		\$ 163	\$ -	\$ -	\$ -
102		102	-	-	-
9		9	-	-	-
173		173	-	-	-
2,600		2,600	-	-	-
150		150	-	-	-
3		3	-	-	-
150		150	-	-	-
5,110		5,110	-	-	-
5,241		5,241	-	-	-
5,231		5,231	-	-	-
1,259	✓	1,259	-	-	-
4,502		4,502	-	-	-
1,392	✓	1,392	-	-	-
5,574		5,574	-	-	-
1,080	✓	1,080	-	-	-
5,230		5,230	-	-	-
295	✓	295	-	-	-
136	✓	136	-	-	-
75		75	-	-	-
33		33	-	-	-
383		383	-	-	-
7		7	-	-	-
5		5	-	-	-
<u>\$ 38,903</u>		<u>\$ 38,903</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

See accompanying independent auditor's report.

J.N. PHILLIPS GLASS COMPANY PROFIT SHARING PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i,
SCHEDULE OF ASSETS HELD FOR INVESTMENT
PURPOSES AT END OF YEAR
AS OF DECEMBER 31, 2019
(UNAUDITED)

EIN: 04-2220769

Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost	Current Value
*	Fidelity 500 Index Fund	Mutual fund	**	\$ 3,151,440
*	Fidelity Advisor New Insights Fund - Class A	Mutual fund	**	2,308,056
	JPM US Research Enhanced Eq Class - A	Mutual fund	**	1,745,679
	Invesco Oppenheimer Global - Class A	Mutual fund	**	1,441,892
*	Fidelity Advisor Balanced Fund - Class A	Mutual fund	**	1,135,287
	AB Discovery Growth Fund Class A	Mutual fund	**	1,033,720
	Invesco Diversified Dividend Class A	Mutual fund	**	1,029,241
	American Funds Target Retirement Date 2040	Mutual fund	**	847,879
*	Fidelity Treasury Money Market Fund: Daily Money C	Money market fund	**	812,581
	American Funds Target Retirement Date 2035	Mutual fund	**	747,533
	Janus Henderson Triton Fund Class S	Mutual fund	**	721,419
	American Funds Target Retirement Date 2050	Mutual fund	**	603,643
	American Funds Target Retirement Date 2030	Mutual fund	**	574,498
	American Funds Target Retirement Date 2045	Mutual fund	**	492,116
	JPM Core Bond Fund Class A	Mutual fund	**	482,294
*	Fidelity Small Cap Index Fund	Mutual fund	**	442,249
*	Fidelity Advisor Government Income Fund - Class A	Mutual fund	**	421,285
	American Funds Target Retirement Date 2055	Mutual fund	**	397,711
*	Fidelity Advisor Strategic Income Fund - Class A	Mutual fund	**	359,227
*	Fidelity Mid Cap Index Fund	Mutual fund	**	347,141
	American Funds Target Retirement Date 2015	Mutual fund	**	347,046
	American Funds Target Retirement Date 2010	Mutual fund	**	325,370
	American Funds Target Retirement Date 2025	Mutual fund	**	293,402
	American Funds Target Retirement Date 2060	Mutual fund	**	287,055
*	Fidelity Advisor Internation Discover Fund - Class A	Mutual fund	**	218,120
	American Funds Target Retirement Date 2020	Mutual fund	**	166,911
	Victory Sycamore Established Value Fund Class A	Mutual fund	**	88,181
	American Beacon Fund Small Cap Value	Mutual fund	**	18,099
		Subtotal		20,839,075
*	Participant Loans Receivable	Interest ranging from 4.25% to 6.00% and maturing at various dates through 2044, secured by balance in participant account)		614,293
		TOTAL		<u>\$ 21,453,368</u>

* - Party-in-interest

** - Cost information has been excluded in accordance with Form 5500 instructions as all investments are participant directed.

Note: This schedule is based on information which has been certified as complete and accurate by Fidelity Management Trust Company, the trustee of the Plan.

See accompanying independent auditor's report.

J.N. Phillips Glass Co.

Profit Sharing Plan

Audited Financial Statements

As of March 13, 2020 and December 31, 2019
and for the Period from January 1 through March 13, 2020
and for the Year Ended December 31, 2019



Rea & associates

www.reacpa.com

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October 14, 2020

To the Plan Committee and Participants of the
J.N. Phillips Glass Co. Profit Sharing Plan

INDEPENDENT AUDITOR'S REPORT

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the J.N. Phillips Glass Co. Profit Sharing Plan (the "Plan"), which comprise the statements of net assets available for benefits as of March 13, 2020 and December 31, 2019, the related statement of changes in net assets available for benefits for the period from January 1 through March 13, 2020 and the year ended December 31, 2019, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified or provided by Fidelity Management Trust Company, the trustee of the Plan, except for comparing this information with the related information included in the financial statements. We have been informed by the Plan Administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained certifications from the trustee as of and for the period from January 1 through March 13, 2020 and the year ended December 31, 2019, that the information provided to the Plan Administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter – Supplemental Schedules

The supplemental schedules, the Schedules of Delinquent Participant Contributions for the period from January 1 through March 13, 2020 and for the year ended December 31, 2019, and the Schedule of Assets Held for Investment Purposes at End of Year as of December 31, 2019, are required by the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purposes of additional analysis and are not a required part of the financial statements. These supplemental schedules are the responsibility of the Plan's management. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified or provided by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Rea & Associates, Inc.

Rea & Associates, Inc.
Dublin, Ohio

J.N. PHILLIPS GLASS COMPANY PROFIT SHARING PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
AS OF MARCH 13, 2020 AND DECEMBER 31, 2019

	March 13, 2020	December 31, 2019
ASSETS:		
INVESTMENTS, at fair value:		
Mutual funds	\$ -	\$ 20,026,494
Money market fund	-	812,581
Total investments, at fair value	-	20,839,075
PLAN RECEIVABLES:		
Notes receivable from participants	-	620,203
Total plan receivables	-	620,203
Total assets	-	21,459,278
LIABILITIES:		
Excess contributions payable	-	(22,965)
Total liabilities	-	(22,965)
Net assets available for benefits	\$ -	\$ 21,436,313

The accompanying notes are an integral part of these financial statements.

J.N. PHILLIPS GLASS COMPANY PROFIT SHARING PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE PERIOD FROM JANUARY 1 - MARCH 13, 2020 AND YEAR ENDED DECEMBER 31, 2019

	Period January 1-March 13, 2020	Year Ended December 31, 2019
ADDITIONS TO NET ASSETS:		
Investment (loss) income:		
Interest and dividends	\$ 17,339	\$ 857,901
Net (depreciation) appreciation in fair value of mutual funds	(2,130,332)	3,328,619
Total investment (loss) income	(2,112,993)	4,186,520
Contributions:		
Employer	62,186	204,655
Participants	-	1,568,472
Rollover	-	125,096
Total contributions	62,186	1,898,223
Interest income on notes receivable from participants	4,966	24,187
Total additions	(2,045,841)	6,108,930
DEDUCTIONS FROM NET ASSETS:		
Benefits paid to participants	5,850,631	1,967,871
Administrative expenses	-	7,649
Total deductions	5,850,631	1,975,520
ASSETS TRANSFERRED OUT OF THE PLAN	(13,539,841)	-
NET (DECREASE) INCREASE IN NET ASSETS AVAILABLE FOR BENEFITS	(21,436,313)	4,133,410
NET ASSETS AVAILABLE FOR BENEFITS, beginning of year	21,436,313	17,302,903
NET ASSETS AVAILABLE FOR BENEFITS, end of year	\$ -	\$ 21,436,313

The accompanying notes are an integral part of these financial statements.

J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN

NOTES TO THE FINANCIAL STATEMENTS

NOTE 1: DESCRIPTION OF RETIREMENT PLAN

The following description of J.N. Phillips Glass Company Profit Sharing Plan (the Plan) provides only general information. Participants should refer to the plan agreement for a more complete description of the Plan's provisions. The plan sponsor is J.N. Phillips Company, Inc. (J.N. Phillips). The Plan is available to eligible employees of J.N. Phillips, as well as those of Windshield Centers, Inc., Teleglass National, Inc., Strategic Claims Services, Inc., Techna Glass, Inc., and TGSC, Inc., (collectively the Company) each of whom are owned by TruRoad Holdings, Inc. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

General

The Plan is a defined contribution plan established for the benefit of the employees of the Company. The Plan and the trust of which it is a part are intended to satisfy all of the requirements for a qualified retirement plan under the appropriate provisions of the Internal Revenue Code (IRC) and similar state tax laws. The Plan was originally established on January 1, 1985 and the plan document was amended and restated on February 5, 2015, at which time the Plan adopted the most current volume submitter document from its trustee.

On August 19, 2019, TruRoad Holding, Inc. was acquired by Safelite Group. Effective October 18, 2019 participants ceased contributions to the J.N. Phillips Glass Profit Sharing Plan. Effective March 13, 2020 employees covered under the Plan were merged into the Safelite Group Associates' Retirement Savings Plan (Safelite Plan). All assets of the plan were transferred to the Safelite Plan.

Eligibility

Employees of the Company who have reached 21 years of age and have completed eleven months of service are eligible to participate in the Plan. Once a participant reaches the service period requirement, they will automatically be enrolled with a 4% deferral on the first day of the first quarter following their eligibility unless they elect otherwise. Effective August 1, 2018, the Plan was amended to reduce the eligibility period from eleven months to six months, and to allow for monthly rather than quarterly enrollment.

Contributions

Active participants may elect to contribute up to 60% of eligible pretax compensation and bonuses, as defined in the Plan, up to a maximum defined by the IRC (\$19,500 in 2020 and \$19,000 in 2019.) Participants may also contribute amounts representing distributions from other qualified defined benefit or contribution plans (rollover contributions).

Participants who have attained age 50 before the end of a calendar year, may elect to defer additional amounts (called "catch-up contributions") to the Plan as of January 1st of that year. The additional amounts may be deferred regardless of any other limitations on the amount that these participants defer to the Plan. The maximum catch-up contribution that can be made in 2020 is \$6,500 and 2019 is \$6,000.

Under the provisions of the Plan, the Company may make a matching contribution to the Plan on behalf of the participants. The Company's contribution percentage is equal to 25% of the elective participant contribution up to 4% of eligible compensation. Contributions are subject to certain limitations.

J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN

NOTES TO THE FINANCIAL STATEMENTS

NOTE 1: DESCRIPTION OF RETIREMENT PLAN (CONTINUED)

Contributions (Continued)

The Company may also make discretionary profit sharing contributions to the Plan. Discretionary contributions made to the participants' accounts are generally allocated in the ratio that the participant's eligible compensation bears to the total eligible compensation paid to all eligible participants. The Company made no discretionary profit sharing contributions for the period from January 1 through March 13, 2020 or the year ended December 31, 2019.

Participant Accounts

Each participant's account is credited with the participant's contributions, the Company's matching contribution and allocations of discretionary profit sharing contributions, if any, and Plan earnings/losses thereon, and are charged with an allocation of administrative expenses. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting

Participants are fully vested in their contributions and earnings thereon. The portion of the participants' accounts attributable to the Company's matching contributions and discretionary profit sharing contributions plus actual earnings thereon become 20% vested after one year of credited service, as defined, and continues to vest at the rate of 20% for each successive year of service until 100% vested after five years of service. Upon reaching the early retirement age of 55, the participant immediately becomes fully vested regardless of length of service.

Participants' Investment Options

Participants must direct their salary contributions to selected investments as made available and determined by the Plan Administrator. The Plan currently offers a variety of mutual funds and a money market fund as investment options for participants. Participants may change their investment options at any time throughout the year via direct phone or online access to Fidelity Management Trust Company, the trustee and third party administrator of the Plan.

Payment of Benefits

Upon termination of service due to death, disability, retirement or termination, a participant may elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account, or installment distribution in equal amounts over a period of time. However, if a participant's balance is \$5,000 or less, the Plan Administrator will direct the trustee to distribute a lump sum distribution without consent. In-service withdrawals are also permitted for certain financial hardships or when a participant attains age 59 ½.

Forfeitures

Forfeitures represent the non-vested portion of terminated participants' account balances. These accounts may be used first to reduce costs of administration of the Plan and second to reduce Company matching contributions. Amounts forfeited during the period from January 1 through March 13, 2020 and the year ended December 31, 2019 were \$115 and \$42,501, respectively. In the period from January 1 through March 13, 2020, \$8,868 was used to reduce company match contributions. In the year ended December 31, 2019, \$19,974 was used to reduce company matching contributions. As of March 13, 2020 and December 31, 2019, forfeited non-vested accounts totaled \$0 and \$50,209, respectively. Forfeitures of \$59,303 were transferred to the Safelite Plan on March 13, 2020.

J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN

NOTES TO THE FINANCIAL STATEMENTS

NOTE 1: DESCRIPTION OF RETIREMENT PLAN (CONTINUED)

Notes Receivable from Participants

Participants may borrow from their fund accounts a minimum of \$1,000 up to a maximum equal to the lesser of \$50,000 or 50% of their vested account balance. Loan terms cannot exceed five years or, if a participant requests a loan for the acquisition of a principal residence, the loan may have a term of up to ten years, except in the case of loans merged in from Techna Glass, Inc. 401(k) Plan where the loan may have a term up to 30 years. The loans are secured by the vested balance in the participants' accounts and at December 31, 2019, bore interest at rates ranging from 4.25% to 6.00%, which represent fixed rates determined by the Plan Administrator at the time the loan was originated based upon the prevailing interest rates charged by persons in the business of lending money for loans. Principal and interest is paid ratably through payroll deductions. In the case of termination of employment, the entire outstanding loan balance is immediately due and payable.

NOTE 2: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The accompanying financial statements have been prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America (GAAP).

Use of Estimates

The preparation of financial statements in conformity with GAAP requires the Plan Administrator to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net (depreciation) appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. Related fees are recorded as administrative expenses and are expensed when they are incurred. No allowance for credit losses has been recorded as of December 31, 2019. If a participant ceases to make loan repayments and the Plan Administrator deems the participant loan to be in default, the participant loan balance is reduced and a benefit payment is recorded.

Payment of Benefits

Benefits are recorded when paid.

J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN

NOTES TO THE FINANCIAL STATEMENTS

NOTE 2: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Administrative Expenses

Expenses of maintaining the Plan, including participant recordkeeping and investment management fees, are paid by the Company or from forfeitures. Certain administrative charges are paid by participants, including fees related to loans and distributions.

Income Taxes

GAAP requires Plan management to evaluate tax positions taken by the Plan, and recognize a tax liability (or asset) if the Plan has taken any uncertain tax positions that more likely than not would not be sustained upon examination by a tax authority. Management evaluated the Plan's tax positions and concluded that the Plan had maintained its tax-exempt status and had taken no uncertain tax positions that require adjustment to the financial statements. Therefore, no provision or liability for income taxes has been included in the financial statements.

Subsequent Events

Subsequent events have been evaluated through October 14, 2020, which is the date the financial statements were available to be issued. There were no events or transactions discovered during the evaluation that require recognition or disclosure in the financial statements.

NOTE 3: INFORMATION PREPARED AND CERTIFIED BY FIDELITY MANAGEMENT TRUST COMPANY

Certain information related to investments and notes receivable from participants disclosed in the accompanying financial statements and supplemental schedules, including investments and notes receivable from participants held at March 13, 2020, and December 31, 2019, and net (depreciation) appreciation in fair value of investments, interest and dividends, for the period from January 1 through March 13, 2020 and for the year ended December 31, 2019, was obtained or derived from information supplied to the plan administrator and certified as complete and accurate by Fidelity Management Trust Company, the trustee of the Plan.

NOTE 4: FAIR VALUE MEASUREMENTS

Generally accepted accounting principles include a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy are described below:

Level 1 Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in inactive markets;
- Inputs other than quoted prices that are observable for the asset or liability;

J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN

NOTES TO THE FINANCIAL STATEMENTS

NOTE 4: FAIR VALUE MEASUREMENTS (CONTINUED)

- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at March 13, 2020 and December 31, 2019.

Mutual funds and money market fund: Valued at the daily closing price as reported by the fund. Mutual funds and the money market fund held by the plan are open-end mutual funds and money market funds that are registered with the U.S. Securities and Exchange Commission. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The mutual funds and money market fund held by the Plan are deemed to be actively traded.

The preceding method described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

No assets were held at March 13, 2020. Assets measured at fair value as of December 31, 2019 are comprised of Level 1 mutual funds totaling \$20,026,494 and a money market fund totaling \$812,581.

NOTE 5: PARTY IN INTEREST TRANSACTIONS

Certain plan investments are managed by Fidelity Management Trust Company, the trustee and recordkeeper of the Plan, and therefore, these transactions qualify as party-in-interest transactions as that term is defined in Section 3(14) of ERISA.

Fees incurred by the Plan for investment management services are included in net appreciation in fair value of the investment, as they are paid through revenue sharing, rather than a direct payment. The Company pays directly any other fees related to the Plan's operations. In addition, the Plan's investments include loans to participants that are secured by the balances in the participants' account, which also constitute party-in-interest transactions.

J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN

NOTES TO THE FINANCIAL STATEMENTS

NOTE 5: PARTY IN INTEREST TRANSACTIONS (CONTINUED)

As indicated in Note 1, all assets of the Plan transferred to the Safelite Plan upon the acquisition of the Company by Safelite Group.

NOTE 6: TAX STATUS

The Plan has adopted a volume submitter defined contribution plan sponsored by Fidelity Management and Research Company. The volume submitter plan has received a favorable opinion letter from the Internal Revenue Service dated March 31, 2014. The Plan Administrator believes the Plan is being operated in compliance with the approved document and applicable requirements of the Internal Revenue Code and is exempt from Federal income taxes. Therefore, no provision for income taxes has been included in the Plan's financial statements.

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The Plan Administrator has analyzed the tax positions taken by the Plan and has concluded that, as of March 13, 2020 and December 31, 2019, there are no uncertain positions taken or expected to be taken that would require recognition of a liability or disclosure in the financial statements.

NOTE 7: NONEXEMPT TRANSACTIONS

During the plan year ended December 31, 2018, the Company inadvertently failed to deposit \$274 of participant contributions and loan repayments within the required timeframe stated by the United States Department of Labor regulations. The Company deposited lost earnings to correct this failure in March 2020. The Company will file Form 5330 and pay applicable excise tax to the IRS. The correction and any excise taxes, if applicable, will be paid from the Company's assets and not from assets of the Plan.

Prior to the 2018 Techna Glass, Inc. 401(k) Plan merger of assets, it was determined that during the plan years ended December 31, 2018, 2017 and 2016, the Company inadvertently failed to deposit \$2,773, \$303 and \$35,553, respectively, of participant contributions and loan repayments within the required timeframe stated by the United States Department of Labor regulations. The Company deposited lost earnings to correct this failure in March 2020. The Company will file Form 5330 and pay applicable excise tax to the IRS. The correction and any excise taxes, if applicable, will be paid from the Company's assets and not from assets of the Plan.

NOTE 8: RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following is a reconciliation of net assets transferred from this plan per the financial statements to Form 5500 for the period from January 1 through March 13, 2020:

Net assets transferred from this plan per the financial statements	\$ (13,539,841)
Change in deemed distributed loans	5,910
Net increase in net assets available for benefits per the Form 5500	<u>\$ (13,533,931)</u>

J.N. PHILLIPS GLASS CO. PROFIT SHARING PLAN

NOTES TO THE FINANCIAL STATEMENTS

NOTE 8: RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500 (CONTINUED)

The following is a reconciliation of net decrease in net assets available for benefits per the financial statements to Form 5500 for the period from January 1 through March 13, 2020:

Net decrease in net assets available for benefits per the financial statements	\$ (21,459,278)
Change in deemed distributed loans	<u>5,910</u>
Net increase in net assets available for benefits per the Form 5500	<u>\$ (21,453,368)</u>

The following is a reconciliation of net assets available for benefits per the financial statements to the Form 5500 as of December 31, 2019:

Net assets available for plan benefits per the financial statements	\$ 21,436,313
Deemed distributed loans reported on Form 5500	<u>(5,910)</u>
Net assets per Form 5500	<u>\$ 21,430,403</u>

The following is a reconciliation of notes receivable from participants per the financial statements to Form 5500 for the year ended December 31, 2019:

Notes receivable from participants per the financial statements	\$ 620,203
Change in deemed distributed loans	<u>(5,910)</u>
Notes receivable from participants per the Form 5500	<u>\$ 614,293</u>

The following is a reconciliation of net increase in net assets available for benefits per the financial statements to Form 5500 for the year ended December 31, 2019:

Net increase in net assets available for benefits per the financial statements	\$ 4,133,410
Change in deemed distributed loans reported on Form 5500	<u>34,047</u>
Net increase in net assets available for benefits per the Form 5500	<u>\$ 4,167,457</u>

J.N. PHILLIPS GLASS COMPANY PROFIT SHARING PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4a,
SCHEDULE OF DELINQUENT CONTRIBUTIONS
PERIOD FROM JANUARY 1 - MARCH 13, 2020

EIN: 04-2220769

Plan Number: 001

Participant Contributions	Total that Constitute Nonexempt Prohibited Transactions				
	Check if Late Participant Loan Repayments	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	Total Fully Corrected Under VFCP and PTE 2002-51
Amount Withheld					
\$ 163		\$ -	\$ 163	\$ -	\$ -
102		-	102	-	-
9		-	9	-	-
173		-	173	-	-
2,600		-	2,600	-	-
150		-	150	-	-
3		-	3	-	-
150		-	150	-	-
5,110		-	5,110	-	-
5,241		-	5,241	-	-
5,231		-	5,231	-	-
1,259	✓	-	1,259	-	-
4,502		-	4,502	-	-
1,392	✓	-	1,392	-	-
5,574		-	5,574	-	-
1,080	✓	-	1,080	-	-
5,230		-	5,230	-	-
295	✓	-	295	-	-
136	✓	-	136	-	-
75		-	75	-	-
33		-	33	-	-
383		-	383	-	-
7		-	7	-	-
5		-	5	-	-
<u>\$ 38,903</u>		<u>\$ -</u>	<u>\$ 38,903</u>	<u>\$ -</u>	<u>\$ -</u>

See accompanying independent auditor's report.

J.N. PHILLIPS GLASS COMPANY PROFIT SHARING PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4a,
SCHEDULE OF DELINQUENT CONTRIBUTIONS
YEAR ENDED DECEMBER 31, 2019

EIN: 04-2220769

Plan Number: 001

Participant Contributions	Total that Constitute Nonexempt Prohibited Transactions				
	Check if Late Participant Loan Repayments	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	Total Fully Corrected Under VFCP and PTE 2002-51
Amount Withheld					
\$ 163		\$ 163	\$ -	\$ -	\$ -
102		102	-	-	-
9		9	-	-	-
173		173	-	-	-
2,600		2,600	-	-	-
150		150	-	-	-
3		3	-	-	-
150		150	-	-	-
5,110		5,110	-	-	-
5,241		5,241	-	-	-
5,231		5,231	-	-	-
1,259	✓	1,259	-	-	-
4,502		4,502	-	-	-
1,392	✓	1,392	-	-	-
5,574		5,574	-	-	-
1,080	✓	1,080	-	-	-
5,230		5,230	-	-	-
295	✓	295	-	-	-
136	✓	136	-	-	-
75		75	-	-	-
33		33	-	-	-
383		383	-	-	-
7		7	-	-	-
5		5	-	-	-
<u>\$ 38,903</u>		<u>\$ 38,903</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

See accompanying independent auditor's report.

J.N. PHILLIPS GLASS COMPANY PROFIT SHARING PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i,
SCHEDULE OF ASSETS HELD FOR INVESTMENT
PURPOSES AT END OF YEAR
AS OF DECEMBER 31, 2019
(UNAUDITED)

EIN: 04-2220769

Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost	Current Value
*	Fidelity 500 Index Fund	Mutual fund	**	\$ 3,151,440
*	Fidelity Advisor New Insights Fund - Class A	Mutual fund	**	2,308,056
	JPM US Research Enhanced Eq Class - A	Mutual fund	**	1,745,679
	Invesco Oppenheimer Global - Class A	Mutual fund	**	1,441,892
*	Fidelity Advisor Balanced Fund - Class A	Mutual fund	**	1,135,287
	AB Discovery Growth Fund Class A	Mutual fund	**	1,033,720
	Invesco Diversified Dividend Class A	Mutual fund	**	1,029,241
	American Funds Target Retirement Date 2040	Mutual fund	**	847,879
*	Fidelity Treasury Money Market Fund: Daily Money C	Money market fund	**	812,581
	American Funds Target Retirement Date 2035	Mutual fund	**	747,533
	Janus Henderson Triton Fund Class S	Mutual fund	**	721,419
	American Funds Target Retirement Date 2050	Mutual fund	**	603,643
	American Funds Target Retirement Date 2030	Mutual fund	**	574,498
	American Funds Target Retirement Date 2045	Mutual fund	**	492,116
	JPM Core Bond Fund Class A	Mutual fund	**	482,294
*	Fidelity Small Cap Index Fund	Mutual fund	**	442,249
*	Fidelity Advisor Government Income Fund - Class A	Mutual fund	**	421,285
	American Funds Target Retirement Date 2055	Mutual fund	**	397,711
*	Fidelity Advisor Strategic Income Fund - Class A	Mutual fund	**	359,227
*	Fidelity Mid Cap Index Fund	Mutual fund	**	347,141
	American Funds Target Retirement Date 2015	Mutual fund	**	347,046
	American Funds Target Retirement Date 2010	Mutual fund	**	325,370
	American Funds Target Retirement Date 2025	Mutual fund	**	293,402
	American Funds Target Retirement Date 2060	Mutual fund	**	287,055
*	Fidelity Advisor Internation Discover Fund - Class A	Mutual fund	**	218,120
	American Funds Target Retirement Date 2020	Mutual fund	**	166,911
	Victory Sycamore Established Value Fund Class A	Mutual fund	**	88,181
	American Beacon Fund Small Cap Value	Mutual fund	**	18,099
		Subtotal		20,839,075
*	Participant Loans Receivable	Interest ranging from 4.25% to 6.00% and maturing at various dates through 2044, secured by balance in participant account)		614,293
		TOTAL		<u>\$ 21,453,368</u>

* - Party-in-interest

** - Cost information has been excluded in accordance with Form 5500 instructions as all investments are participant directed.

Note: This schedule is based on information which has been certified as complete and accurate by Fidelity Management Trust Company, the trustee of the Plan.

See accompanying independent auditor's report.