

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2019 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2019 or fiscal plan year beginning <u>01/01/2019</u> and ending <u>12/31/2019</u>	
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)

Part II	Basic Plan Information —enter all requested information				
1a Name of plan <u>ATEK COMPANIES SAVINGS PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>003</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>01/01/1976</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>003</u>	1c Effective date of plan <u>01/01/1976</u>	
1b Three-digit plan number (PN) ▶	<u>003</u>				
1c Effective date of plan <u>01/01/1976</u>					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>ATEK COMPANIES, INC.</u> <u>10025 VALLEY VIEW ROAD SUITE 190</u> <u>EDEN PRAIRIE, MN 55344</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>41-0643805</u></td> </tr> <tr> <td>2c Plan Sponsor's telephone number <u>763-392-5817</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>334200</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>41-0643805</u>	2c Plan Sponsor's telephone number <u>763-392-5817</u>	2d Business code (see instructions) <u>334200</u>	
2b Employer Identification Number (EIN) <u>41-0643805</u>					
2c Plan Sponsor's telephone number <u>763-392-5817</u>					
2d Business code (see instructions) <u>334200</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/15/2020	JULIE MORK
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2019)
v. 190130

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN
5 Total number of participants at the beginning of the plan year	5 607
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	6a(1) 463 6a(2) 474 6b 1 6c 133 6d 608 6e 1 6f 609 6g 545 6h 0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2F 2G 2J 2K 3D 3H 2S 2T b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1)** ☒ **R** (Retirement Plan Information)
- (2)** ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3)** ☐ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- (1)** ☒ **H** (Financial Information)
- (2)** ☐ **I** (Financial Information – Small Plan)
- (3)** ☐ **A** (Insurance Information)
- (4)** ☒ **C** (Service Provider Information)
- (5)** ☒ **D** (DFE/Participating Plan Information)
- (6)** ☐ **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2019 Form M-1 annual report. If the plan was not required to file the 2019 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2019
		This Form is Open to Public Inspection.

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan ATEK COMPANIES SAVINGS PLAN	B Three-digit plan number (PN) ▶ 003
C Plan sponsor's name as shown on line 2a of Form 5500 ATEK COMPANIES, INC.	D Employer Identification Number (EIN) 41-0643805

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
AMERICAN FUNDS
95-1411037

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
DELAWARE INVESTMENTS
23-2693133

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
JP MORGAN
13-2624428

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
METROPOLITAN WEST FUNDS
95-4597302

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

T. ROWE PRICE

52-2264646

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

VANGUARD

23-1945930

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WELLS FARGO BANK, N.A.

94-1347393

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 37 50 62 64	SERVICE PROVIDER	128978	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection.
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For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan <u>ATEK COMPANIES SAVINGS PLAN</u>	B Three-digit plan number (PN) ►	<u>003</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>ATEK COMPANIES, INC.</u>	D Employer Identification Number (EIN) <u>41-0643805</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: <u>WF STABLE RETURN FUND F</u>		
b Name of sponsor of entity listed in (a): <u>WELLS FARGO BANK, N.A.</u>		
c EIN-PN <u>46-6933767-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>2281223</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>WF/BLACKROCK INTL EQ INDEX CIT TR</u>		
b Name of sponsor of entity listed in (a): <u>WELLS FARGO BANK, N.A.</u>		
c EIN-PN <u>52-2265229-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>1625046</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>WF/BLACKROCK LC GROWTH INDEX CIT TR</u>		
b Name of sponsor of entity listed in (a): <u>WELLS FARGO BANK, N.A.</u>		
c EIN-PN <u>52-2265232-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>67209</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>WF/BLACKROCK LC VALUE INDEX CIT TR</u>		
b Name of sponsor of entity listed in (a): <u>WELLS FARGO BANK, N.A.</u>		
c EIN-PN <u>52-2265227-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>339215</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>WF/BLACKROCK RU 2000 INDEX CIT F</u>		
b Name of sponsor of entity listed in (a): <u>WELLS FARGO BANK, N.A.</u>		
c EIN-PN <u>52-2265233-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>196936</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>WF/BLACKROCK RU 2000 INDEX CIT TR</u>		
b Name of sponsor of entity listed in (a): <u>WELLS FARGO BANK, N.A.</u>		
c EIN-PN <u>52-2265233-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>532174</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>WF/BLACKROCK S&P MIDCAP INDEX CIT F</u>		
b Name of sponsor of entity listed in (a): <u>WELLS FARGO BANK, N.A.</u>		
c EIN-PN <u>52-2265235-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>52162</u>

a Name of MTIA, CCT, PSA, or 103-12 IE: [WF/BLACKROCK S&P MIDCAP INDX CIT TR](#)

b Name of sponsor of entity listed in (a): [WELLS FARGO BANK, N.A.](#)

c EIN-PN 52-2265235-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 823193
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a Name of MTIA, CCT, PSA, or 103-12 IE: [WF/BLACKROCK S&P 500 INDEX CIT F](#)

b Name of sponsor of entity listed in (a): [WELLS FARGO BANK, N.A.](#)

c EIN-PN 94-3224211-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3459726
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a Name of MTIA, CCT, PSA, or 103-12 IE: [WF/BLACKROCK S&P 500 INDEX CIT TR](#)

b Name of sponsor of entity listed in (a): [WELLS FARGO BANK, N.A.](#)

c EIN-PN 94-3224211-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1971096
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a Name of MTIA, CCT, PSA, or 103-12 IE: [WF/BLACKROCK US AGG BD INDEX CIT TR](#)

b Name of sponsor of entity listed in (a): [WELLS FARGO BANK, N.A.](#)

c EIN-PN 20-5699010-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3097849
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a Name of MTIA, CCT, PSA, or 103-12 IE: [WF/BLACKROCK US AGG BD INDEX CIT F](#)

b Name of sponsor of entity listed in (a): [WELLS FARGO BANK, N.A.](#)

c EIN-PN 20-5699010-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 435635
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a Name of MTIA, CCT, PSA, or 103-12 IE: [WF/MFS VALUE CIT F](#)

b Name of sponsor of entity listed in (a): [WELLS FARGO BANK, N.A.](#)

c EIN-PN 45-6648640-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1401078
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a Name of MTIA, CCT, PSA, or 103-12 IE: [WF/BLACKROCK SHORT-TERM INVT FND TR](#)

b Name of sponsor of entity listed in (a): [WELLS FARGO BANK, N.A.](#)

c EIN-PN 41-6292499-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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Part II **Information on Participating Plans (to be completed by DFEs)**

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection
For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019		
A Name of plan ATEK COMPANIES SAVINGS PLAN	B Three-digit plan number (PN) ►	003
C Plan sponsor's name as shown on line 2a of Form 5500 ATEK COMPANIES, INC.	D Employer Identification Number (EIN) 41-0643805	

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)		
(2) Participant contributions.....	1b(2)		
(3) Other.....	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)		269156
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other.....	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common.....	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property).....	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans.....	1c(8)	197508	310390
(9) Value of interest in common/collective trusts	1c(9)	13059767	16282542
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities.....	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	6288119	7730587
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)		

		(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:		
(1)	Employer securities	1d(1)	
(2)	Employer real property	1d(2)	
e	Buildings and other property used in plan operation	1e	
f	Total assets (add all amounts in lines 1a through 1e)	1f	19545394 24592675
Liabilities			
g	Benefit claims payable	1g	
h	Operating payables	1h	
i	Acquisition indebtedness	1i	
j	Other liabilities	1j	
k	Total liabilities (add all amounts in lines 1g through 1j)	1k	0 0
Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	19545394 24592675

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
a	Contributions:		
(1)	Received or receivable in cash from: (A) Employers	2a(1)(A)	412687
	(B) Participants	2a(1)(B)	1146161
	(C) Others (including rollovers)	2a(1)(C)	113827
(2)	Noncash contributions	2a(2)	
(3)	Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)	1672675
b	Earnings on investments:		
(1)	Interest:		
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	5452
	(B) U.S. Government securities	2b(1)(B)	
	(C) Corporate debt instruments	2b(1)(C)	
	(D) Loans (other than to participants)	2b(1)(D)	
	(E) Participant loans	2b(1)(E)	15780
	(F) Other	2b(1)(F)	
	(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)	21232
(2)	Dividends: (A) Preferred stock	2b(2)(A)	
	(B) Common stock	2b(2)(B)	
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	82672
	(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)	82672
(3)	Rents	2b(3)	
(4)	Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)	
	(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)	0
(5)	Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	
	(B) Other	2b(5)(B)	
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)	0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		2665093
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		1655142
c Other income	2c		1289111
d Total income. Add all income amounts in column (b) and enter total	2d		7385925

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	2181146	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		2181146
f Corrective distributions (see instructions)	2f		20449
g Certain deemed distributions of participant loans (see instructions)	2g		7000
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)	130049	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		130049
j Total expenses. Add all expense amounts in column (b) and enter total	2j		2338644

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		5047281
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unmodified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: CLIFTONLARSONALLEN LLP

(2) EIN: 41-0746749

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a	X		3641
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.).....			
4d		X	
e Was this plan covered by a fidelity bond?	X		1000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?.....			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?.....			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)			
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?.....			
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____. (See instructions.)

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection.
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For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan <u>ATEK COMPANIES SAVINGS PLAN</u>	B Three-digit plan number (PN) ▶ <u>003</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ATEK COMPANIES, INC.</u>	D Employer Identification Number (EIN) <u>41-0643805</u>

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>41-6257133</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
----------------	---

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☐ N/A

Part III	Amendments
-----------------	-------------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
--	-----------------------------------	-----------------------------------	-------------------------------	-----------------------------

Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
----------------	---

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2019
v. 190130

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year

b The plan year immediately preceding the current plan year

c The second preceding plan year

14a**14b****14c**

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year

b The corresponding number for the second preceding plan year

15a**15b**

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers

16a**16b**

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

- a** Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

- b** If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

ATEK COMPANIES SAVINGS PLAN

**FINANCIAL STATEMENTS AND
SUPPLEMENTAL INFORMATION**

YEARS ENDED DECEMBER 31, 2019 AND 2018

**ATEK COMPANIES SAVINGS PLAN
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YEARS ENDED DECEMBER 31, 2019 AND 2018**

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INDEPENDENT AUDITORS' REPORT

401(k) Benefits Team
ATEK Companies Savings Plan
Eden Prairie, Minnesota

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the ATEK Companies Savings Plan (the Plan), which comprise the statements of net assets available for benefits as of December 31, 2019 and 2018, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph; however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Wells Fargo Bank, N.A., the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained a certification from the trustee as of and for the years ended December 31, 2019 and 2018, that the information provided to the Plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Emphasis of Matter – Restatement

As discussed in Note 11 to the financial statements, the 2018 financial statements have been restated to correct a misstatement of the transfers in from affiliated plan. Our opinion is not modified with respect to this matter.

Other Matter

The supplemental schedule of assets (held at end of year) and the supplemental schedule of delinquent participant contributions as of and for the year ended December 31, 2019, are required by the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on the supplemental schedules.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.



CliftonLarsonAllen LLP

Minneapolis, Minnesota
October 15, 2020

**ATEK COMPANIES SAVINGS PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
DECEMBER 31, 2019 AND 2018**

	<u>2019</u>	<u>(Restated) 2018</u>
ASSETS		
INVESTMENTS (at Fair Value)		
Interest-Bearing Cash	\$ 269,156	\$ 187,806
Mutual Funds	7,730,587	6,288,119
Collective Trust Funds	<u>16,282,542</u>	<u>14,158,565</u>
Total Investments at Fair Value	24,282,285	20,634,490
NOTES RECEIVABLE FROM PARTICIPANTS	<u>323,921</u>	<u>204,034</u>
Total Assets	24,606,206	20,838,524
LIABILITIES		
EXCESS CONTRIBUTIONS PAYABLE	<u>17,586</u>	<u>16,987</u>
NET ASSETS AVAILABLE FOR BENEFITS	<u><u>\$ 24,588,620</u></u>	<u><u>\$ 20,821,537</u></u>

See accompanying Notes to Financial Statements.

ATEK COMPANIES SAVINGS PLAN
STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
YEARS ENDED DECEMBER 31, 2019 AND 2018

	2019	(Restated) 2018
ADDITIONS:		
INVESTMENT INCOME (LOSS)		
Interest and Dividends	\$ 88,124	\$ 67,096
Net Appreciation (Depreciation) in Fair Value of Investments	4,296,270	(1,216,021)
Total Investment Income (Loss)	4,384,394	(1,148,925)
INTEREST INCOME ON NOTES RECEIVABLE FROM PARTICIPANTS	15,780	8,043
CONTRIBUTIONS		
Employee Deferrals	1,128,575	1,017,767
Employers' Match	412,687	394,277
Rollover	113,827	106,817
Total Contributions	1,655,089	1,518,861
Total Additions	6,055,263	377,979
DEDUCTIONS:		
BENEFITS PAID TO PARTICIPANTS	2,182,098	1,245,718
ADMINISTRATIVE FEES	106,082	85,864
Total Deductions	2,288,180	1,331,582
NET INCREASE (DECREASE) - PRIOR TO TRANSFERS	3,767,083	(953,603)
Transfer in from Affiliated Plan, as reported	-	5,731,293
Restatement - Transfer in from Affiliated Plan	-	1,286,604
TRANSFER IN FROM AFFILIATED PLAN, as restated	-	7,017,897
NET ASSETS AVAILABLE FOR BENEFITS:		
Beginning of Year	20,821,537	14,757,243
End of Year	\$ 24,588,620	\$ 20,821,537

See accompanying Notes to Financial Statements.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 1 DESCRIPTION OF PLAN

The following description of the ATEK Companies Savings Plan (the Plan) provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General

The Plan was established January 1, 1976 and most recently restated effective January 1, 2015. The Plan is a defined contribution plan covering all eligible employees of the following affiliated companies: ATEK Management, Inc.; Progress Casting Group, Inc.; ATEK Access Technologies, LLC; Red Mountain Ranch Corporation; ATEK Metal Technologies, LLC; and ATEK Plastics, LLC, and Solar Plastics, LLC. (collectively, the Employers). Employees of the Employers who attain age 18 are eligible to participate in the salary deferral portion of the Plan immediately coinciding with or following the date the age requirement is met. The Plan excludes collectively bargained employees and nonresident aliens. Participants are eligible for discretionary matching contributions and discretionary nonelective contributions after attaining age 18 and completion of six months of service. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended.

Effective June 1, 2018, the Company transferred the assets of Solar Plastics, Inc. 401(k) Retirement Plan into the Plan.

Contributions

The Plan includes a 401(k) salary deferral arrangement. Under this arrangement and subject to Plan provisions, participants may elect to contribute up to the maximum allowed of their eligible compensation to the Plan. The Employers automatically withhold 3% as pre-tax contributions unless the participant elects otherwise. The Plan allows participants age 50 and older to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified plans. The Employers may make a discretionary match or a discretionary nonelective contribution to the Plan. For the years ended December 31, 2019 and 2018, the Employers matched 50% of participant contributions up to 6% of the participant's eligible compensation. There were no nonelective contributions for the years ended December 31, 2019 and 2018. A participant must be employed on the last day of the Plan year and complete at least 1,000 hours of service during the year to receive an allocation of the Employers' nonelective contributions. Contributions are subject to certain limitations.

Participant Accounts

The Plan permits participants to direct the investment of their account balance under the Plan. Each participant's account is credited with the participant's contribution, and allocations of (1) the Employers' contributions, (2) Plan earnings or losses, and (3) administrative expenses. Allocations are based on participant earnings or account balances, as defined. Income is allocated daily based on the shares in the participant's account.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 1 DESCRIPTION OF PLAN (CONTINUED)

Vesting

Participants are immediately vested in their voluntary contributions and Employers' matching contributions plus actual earnings thereon. A participant's nonelective account for contributions made prior to 2007 is 10% vested after one year of service, increasing 15% every year thereafter, with 100% vesting after seven years of service or upon death, total permanent disability, attainment of age 65 or early retirement age of 55. For nonelective contributions made after December 31, 2006, the participant's nonelective account is vested as follows:

<u>Years of Service</u>	<u>Vesting Percentage</u>
0-1	- %
2	20
3	40
4	60
5	80
6	100

The Plan also recognizes past service with Air Regent Investors, Inc., Cornerstone Fuels International, Inc., Crosby Manufacturing, Inc., Datakey Electronics, Inc, and Solar Plastics, Inc.

Forfeitures

Forfeitures may first be applied to offset Plan expenses and next used to reduce the Employers' matching contributions. There were unallocated forfeitures of \$1,113 and \$859 at December 31, 2019 and 2018, respectively. There were no forfeitures used to reduce the Employers' matching contributions for the years ended December 31, 2019 and 2018. No forfeitures were used to pay Plan expenses for the years ended December 31, 2019 and 2018.

Benefit Payments

The benefit to which a participant is entitled is the benefit that can be provided from the participant's account. Participants may elect to receive the value of their account as a lump sum or periodic distributions upon termination, retirement, death, or disability. Account balances less than \$5,000 will be automatically distributed in a lump sum payment without the participants consent. If the vested balance exceeds \$1,000, the amount will be paid in a direct rollover to an Individual Retirement Account. The Plan also permits in-service distributions if attained age 59 1/2 or incurred a financial hardship or disability, as defined in the Plan.

Notes Receivable from Participants

Participants may borrow from their fund accounts a minimum of \$1,000 up to a maximum equal to the lesser of \$50,000 or 50% of their account balance. Note terms range from 1 to 5 years or up to 15 years for the purchase of a primary residence. The notes are secured by the balance in the participant's account and bear interest at a rate of prime plus 1%. Principal and interest is paid ratably through payroll deductions.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 1 DESCRIPTION OF PLAN (CONTINUED)

Plan Termination

Although it has not expressed any intent to do so, the Employers have the right to terminate the Plan at any time subject to the provisions of ERISA. In the event of Plan termination, the Plan's assets will be distributed in accordance with the Plan document. In the event of a Plan termination, the participants will become 100% vested in their accounts.

NOTE 2 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The financial statements of the Plan are prepared under the accrual basis of accounting.

Fair Value Measurements

Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, *Fair Value Measurements and Disclosures*, provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). The three levels of the fair value hierarchy are described as follows:

Level 1 – Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 – Inputs other than quoted prices included within Level 1 that are observable for the asset or liability, either directly or indirectly, such as:

- quoted prices for similar assets or liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted prices that are observable for the asset or liability;
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair market value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs. There have been no changes in the valuation methodologies used at December 31, 2019 and 2018.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 2 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosure of contingent assets and liabilities at the date of the financial statements. Actual results could differ from those estimates.

Investment Valuation and Income Recognition

The Plan's investments are stated at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Mutual Funds: Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Collective Trust Funds: Valued at the NAV of units of a bank collective trust. The NAV, as provided by the trustee is determined and published daily. The NAV is based on the fair value of the underlying investments held by the fund less liabilities. Participant transactions (purchases and sales) may occur daily.

Purchases and sales are recorded on a trade-date basis. Investment income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Administrative Expenses

The Employers, at their discretion, absorb certain administrative expenses pertaining to the Plan. If not paid by the Employers, the administrative expenses become the responsibility of the Plan.

Payment of Benefits

Benefits are recorded when paid.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent notes receivable are reclassified as distributions based upon the terms of the Plan document.

Subsequent Events

In preparing these financial statements, the Plan has evaluated events and transactions for potential recognition or disclosure through October 15, 2020, the date the financial statements were available to be issued.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 3 INVESTMENT INFORMATION CERTIFIED BY TRUSTEE

The Employers appointed Wells Fargo Bank, N.A. (Wells Fargo) as trustee of the Plan. The trustee is responsible for the Plan's investment assets and investing transactions. Wells Fargo has supplied the Plan administrator with certification as to the completeness and accuracy of notes receivable from participants and certain investment information presented in the accompanying statements of net assets available for benefits as of December 31, 2019 and 2018, statements of changes in net assets available for benefits for the years then ended, and the supplemental schedule of assets (held at end of year) as of December 31, 2019. As of December 31, 2018, there was an investment totaling \$1,286,604 that was not covered by the Wells Fargo certification (See Note 11). There was no investment income for this investment included in the statement of changes in net assets for the year ended December 31, 2018.

NOTE 4 FAIR VALUE MEASUREMENTS

The following tables set forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31.

2019				
	Level 1	Level 2	Level 3	Total
Interest-Bearing Cash	\$ 269,156	\$ -	\$ -	\$ 269,156
Mutual Funds	7,730,587	-	-	7,730,587
Collective Trust Funds	-	16,282,542	-	16,282,542
Total Investments at Fair Value	<u>\$ 7,999,743</u>	<u>\$ 16,282,542</u>	<u>\$ -</u>	<u>\$ 24,282,285</u>

2018 (As Restated)				
	Level 1	Level 2	Level 3	Total
Interest-Bearing Cash	\$ 187,806	\$ -	\$ -	\$ 187,806
Mutual Funds	6,288,119	-	-	6,288,119
Collective Trust Funds	-	14,158,565	-	14,158,565
Total Investments at Fair Value	<u>\$ 6,475,925</u>	<u>\$ 14,158,565</u>	<u>\$ -</u>	<u>\$ 20,634,490</u>

NOTE 5 RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of the investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

NOTE 6 EXCESS CONTRIBUTIONS PAYABLE

Amounts payable to participants for contributions in excess of amounts allowed by the IRS are recorded as a liability with a corresponding reduction to contributions.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 7 PLAN TAX STATUS

The Plan is placing reliance on an opinion letter dated March 31, 2014 received from the IRS on the prototype plan indicating that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code (IRC). The Plan has been amended since receiving the opinion letter. However, the Plan administrator believes that the Plan is qualified and, therefore, the related trust is exempt from taxation.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the organization has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

NOTE 8 RELATED-PARTY TRANSACTIONS

The Plan investments are managed by Wells Fargo. Wells Fargo is the trustee as defined by the Plan and, therefore, these transactions qualify as exempt party-in-interest transactions.

NOTE 9 RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following is a reconciliation of net assets available for benefits per the financial statements to Form 5500 at December 31:

	2019	2018
Net Assets Available for Benefits per Financial Statements	\$ 24,588,620	\$ 20,821,537
Less: Transfer in from Affiliated Plan Restatement	-	(1,286,604)
Less: Deemed Loan Distributions	(13,503)	(6,503)
Plus: Excess Contributions Payable	17,586	16,987
Miscellaneous Difference	(28)	(23)
Net Assets Available for Benefits per Form 5500	<u>\$ 24,592,675</u>	<u>\$ 19,545,394</u>

The following is a reconciliation of net income per the financial statements to Form 5500 for the year ended December 31, 2019:

	Amount
Net Increase per Financial Statements	\$ 3,767,083
Add: Transfer in from Affiliated Plan Restatement	1,286,604
Add: 2018 Deemed Loan Distributions	6,503
Less: 2019 Deemed Loan Distributions	(13,503)
Add: 2019 Excess Contributions Payable	17,586
Less: 2018 Excess Contributions Payable	(16,987)
Less: Miscellaneous Difference	(5)
Net Income per Form 5500	<u>\$ 5,047,281</u>

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 10 DELINQUENT CONTRIBUTIONS

The Company failed to remit employee 401(k) deferral contributions for certain payroll periods within the time frame prescribed by the Department of Labor. This is deemed a prohibited transaction in accordance with ERISA and the IRC. The Company calculated the lost earnings and corrected the prohibited transaction in 2019.

NOTE 11 RESTATEMENT

The net assets available for benefit as of and for the year ended December 31, 2018 has been restated to include the value of the Principal Stable Value Fund (Collective Trust Fund) that was acquired as part of the merger of the Solar Plastics, Inc. 401(k) Retirement Plan but not transferred into the Plan until 2019. The restatement increased transfer in from affiliated plan and the net assets available for benefits at December 31, 2018 by \$1,286,604.

ATEK COMPANIES SAVINGS PLAN
E.I.N. 41-0643805 PLAN NO. 003
SCHEDULE H, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)
DECEMBER 31, 2019

(a)	(b)	(c)	(d)	(e)
	Identity of Issue, Borrower, Lessor or Similar Party	Description of Investment Including Maturity Date, Rate of Interest, Par or Maturity Value	Cost **	Current Value
		<u>Interest Bearing Cash:</u>		
*	Wells Fargo Bank, N.A.	Short Term Investment Fund		\$ 269,156
		<u>Collective Trust Funds:</u>		
*	Wells Fargo Bank, N.A.	Stable Return Fund		2,281,223
*	Wells Fargo Bank, N.A.	Large Cap Growth Fund		67,209
*	Wells Fargo Bank, N.A.	Large Cap Value Fund		339,215
*	Wells Fargo Bank, N.A.	Russell 2000 Index Fixed Fund		196,936
*	Wells Fargo Bank, N.A.	Russell 2000 Index Total Return Fund		532,174
*	Wells Fargo Bank, N.A.	S&P 500 Index Fixed Fund		3,459,726
*	Wells Fargo Bank, N.A.	S&P 500 Index Total Return Fund		1,971,096
*	Wells Fargo Bank, N.A.	S&P Mid-Cap Fixed Fund		52,162
*	Wells Fargo Bank, N.A.	S&P Mid-Cap Total Return Fund		823,193
*	Wells Fargo Bank, N.A.	Aggregate Bond Index Fixed Fund		435,635
*	Wells Fargo Bank, N.A.	Aggregate Bond Index Total Return Fund		3,097,849
*	Wells Fargo Bank, N.A.	International Equity Index Fund		1,625,046
*	Wells Fargo Bank, N.A.	MFS Value CIT Fixed Fund		1,401,078
		<u>Mutual Funds:</u>		
	JP Morgan	Small-Cap Growth Fund		534,416
	JP Morgan	Mid Cap Growth Fund		639,100
	American Funds	Growth Fund of America		998,216
	American Funds	Europacific Growth Fund		951,100
	T. Rowe Price	Mid-Cap Growth Fund		2,672,841
	Vanguard	Total International Stock Index Fund		549,323
	Delaware	Small-Cap Value-A		360,420
	Metropolitan West	Total Return Bond Fund		1,025,171
		Participant Loans		
*		Interest Rate Range 4.25% to 8.75%	-	310,390
				<u>\$ 24,592,675</u>

* Designates party-in-interest

** Cost omitted for participant-directed investments

ATEK COMPANIES SAVINGS PLAN
E.I.N. 41-0643805 PLAN NO. 003
SCHEDULE H, LINE 4a—SCHEDULE OF DELINQUENT PARTICIPANT CONTRIBUTIONS
YEAR ENDED DECEMBER 31, 2019

Participant Contributions Transferred Late to Plan	Total that Constitute Nonexempt Prohibited Transactions			Total Fully Corrected under VFCP and PTE 2002-51
	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	
Check here if Late Participant Loan Repayments are Included ☐ Yes	\$ -	\$ 3,641	\$ -	\$ -

ATEK COMPANIES SAVINGS PLAN

**FINANCIAL STATEMENTS AND
SUPPLEMENTAL INFORMATION**

YEARS ENDED DECEMBER 31, 2019 AND 2018

**ATEK COMPANIES SAVINGS PLAN
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YEARS ENDED DECEMBER 31, 2019 AND 2018**

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INDEPENDENT AUDITORS' REPORT

401(k) Benefits Team
ATEK Companies Savings Plan
Eden Prairie, Minnesota

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the ATEK Companies Savings Plan (the Plan), which comprise the statements of net assets available for benefits as of December 31, 2019 and 2018, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph; however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Wells Fargo Bank, N.A., the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained a certification from the trustee as of and for the years ended December 31, 2019 and 2018, that the information provided to the Plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Emphasis of Matter – Restatement

As discussed in Note 11 to the financial statements, the 2018 financial statements have been restated to correct a misstatement of the transfers in from affiliated plan. Our opinion is not modified with respect to this matter.

Other Matter

The supplemental schedule of assets (held at end of year) and the supplemental schedule of delinquent participant contributions as of and for the year ended December 31, 2019, are required by the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on the supplemental schedules.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.



CliftonLarsonAllen LLP

Minneapolis, Minnesota
October 15, 2020

**ATEK COMPANIES SAVINGS PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
DECEMBER 31, 2019 AND 2018**

	<u>2019</u>	<u>(Restated) 2018</u>
ASSETS		
INVESTMENTS (at Fair Value)		
Interest-Bearing Cash	\$ 269,156	\$ 187,806
Mutual Funds	7,730,587	6,288,119
Collective Trust Funds	<u>16,282,542</u>	<u>14,158,565</u>
Total Investments at Fair Value	24,282,285	20,634,490
NOTES RECEIVABLE FROM PARTICIPANTS	<u>323,921</u>	<u>204,034</u>
Total Assets	24,606,206	20,838,524
LIABILITIES		
EXCESS CONTRIBUTIONS PAYABLE	<u>17,586</u>	<u>16,987</u>
NET ASSETS AVAILABLE FOR BENEFITS	<u><u>\$ 24,588,620</u></u>	<u><u>\$ 20,821,537</u></u>

See accompanying Notes to Financial Statements.

ATEK COMPANIES SAVINGS PLAN
STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
YEARS ENDED DECEMBER 31, 2019 AND 2018

	2019	(Restated) 2018
ADDITIONS:		
INVESTMENT INCOME (LOSS)		
Interest and Dividends	\$ 88,124	\$ 67,096
Net Appreciation (Depreciation) in Fair Value of Investments	4,296,270	(1,216,021)
Total Investment Income (Loss)	4,384,394	(1,148,925)
INTEREST INCOME ON NOTES RECEIVABLE FROM PARTICIPANTS	15,780	8,043
CONTRIBUTIONS		
Employee Deferrals	1,128,575	1,017,767
Employers' Match	412,687	394,277
Rollover	113,827	106,817
Total Contributions	1,655,089	1,518,861
Total Additions	6,055,263	377,979
DEDUCTIONS:		
BENEFITS PAID TO PARTICIPANTS	2,182,098	1,245,718
ADMINISTRATIVE FEES	106,082	85,864
Total Deductions	2,288,180	1,331,582
NET INCREASE (DECREASE) - PRIOR TO TRANSFERS	3,767,083	(953,603)
Transfer in from Affiliated Plan, as reported	-	5,731,293
Restatement - Transfer in from Affiliated Plan	-	1,286,604
TRANSFER IN FROM AFFILIATED PLAN, as restated	-	7,017,897
NET ASSETS AVAILABLE FOR BENEFITS:		
Beginning of Year	20,821,537	14,757,243
End of Year	\$ 24,588,620	\$ 20,821,537

See accompanying Notes to Financial Statements.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 1 DESCRIPTION OF PLAN

The following description of the ATEK Companies Savings Plan (the Plan) provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General

The Plan was established January 1, 1976 and most recently restated effective January 1, 2015. The Plan is a defined contribution plan covering all eligible employees of the following affiliated companies: ATEK Management, Inc.; Progress Casting Group, Inc.; ATEK Access Technologies, LLC; Red Mountain Ranch Corporation; ATEK Metal Technologies, LLC; and ATEK Plastics, LLC, and Solar Plastics, LLC. (collectively, the Employers). Employees of the Employers who attain age 18 are eligible to participate in the salary deferral portion of the Plan immediately coinciding with or following the date the age requirement is met. The Plan excludes collectively bargained employees and nonresident aliens. Participants are eligible for discretionary matching contributions and discretionary nonelective contributions after attaining age 18 and completion of six months of service. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended.

Effective June 1, 2018, the Company transferred the assets of Solar Plastics, Inc. 401(k) Retirement Plan into the Plan.

Contributions

The Plan includes a 401(k) salary deferral arrangement. Under this arrangement and subject to Plan provisions, participants may elect to contribute up to the maximum allowed of their eligible compensation to the Plan. The Employers automatically withhold 3% as pre-tax contributions unless the participant elects otherwise. The Plan allows participants age 50 and older to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified plans. The Employers may make a discretionary match or a discretionary nonelective contribution to the Plan. For the years ended December 31, 2019 and 2018, the Employers matched 50% of participant contributions up to 6% of the participant's eligible compensation. There were no nonelective contributions for the years ended December 31, 2019 and 2018. A participant must be employed on the last day of the Plan year and complete at least 1,000 hours of service during the year to receive an allocation of the Employers' nonelective contributions. Contributions are subject to certain limitations.

Participant Accounts

The Plan permits participants to direct the investment of their account balance under the Plan. Each participant's account is credited with the participant's contribution, and allocations of (1) the Employers' contributions, (2) Plan earnings or losses, and (3) administrative expenses. Allocations are based on participant earnings or account balances, as defined. Income is allocated daily based on the shares in the participant's account.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 1 DESCRIPTION OF PLAN (CONTINUED)

Vesting

Participants are immediately vested in their voluntary contributions and Employers' matching contributions plus actual earnings thereon. A participant's nonelective account for contributions made prior to 2007 is 10% vested after one year of service, increasing 15% every year thereafter, with 100% vesting after seven years of service or upon death, total permanent disability, attainment of age 65 or early retirement age of 55. For nonelective contributions made after December 31, 2006, the participant's nonelective account is vested as follows:

<u>Years of Service</u>	<u>Vesting Percentage</u>
0-1	- %
2	20
3	40
4	60
5	80
6	100

The Plan also recognizes past service with Air Regent Investors, Inc., Cornerstone Fuels International, Inc., Crosby Manufacturing, Inc., Datakey Electronics, Inc, and Solar Plastics, Inc.

Forfeitures

Forfeitures may first be applied to offset Plan expenses and next used to reduce the Employers' matching contributions. There were unallocated forfeitures of \$1,113 and \$859 at December 31, 2019 and 2018, respectively. There were no forfeitures used to reduce the Employers' matching contributions for the years ended December 31, 2019 and 2018. No forfeitures were used to pay Plan expenses for the years ended December 31, 2019 and 2018.

Benefit Payments

The benefit to which a participant is entitled is the benefit that can be provided from the participant's account. Participants may elect to receive the value of their account as a lump sum or periodic distributions upon termination, retirement, death, or disability. Account balances less than \$5,000 will be automatically distributed in a lump sum payment without the participants consent. If the vested balance exceeds \$1,000, the amount will be paid in a direct rollover to an Individual Retirement Account. The Plan also permits in-service distributions if attained age 59 1/2 or incurred a financial hardship or disability, as defined in the Plan.

Notes Receivable from Participants

Participants may borrow from their fund accounts a minimum of \$1,000 up to a maximum equal to the lesser of \$50,000 or 50% of their account balance. Note terms range from 1 to 5 years or up to 15 years for the purchase of a primary residence. The notes are secured by the balance in the participant's account and bear interest at a rate of prime plus 1%. Principal and interest is paid ratably through payroll deductions.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 1 DESCRIPTION OF PLAN (CONTINUED)

Plan Termination

Although it has not expressed any intent to do so, the Employers have the right to terminate the Plan at any time subject to the provisions of ERISA. In the event of Plan termination, the Plan's assets will be distributed in accordance with the Plan document. In the event of a Plan termination, the participants will become 100% vested in their accounts.

NOTE 2 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The financial statements of the Plan are prepared under the accrual basis of accounting.

Fair Value Measurements

Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, *Fair Value Measurements and Disclosures*, provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). The three levels of the fair value hierarchy are described as follows:

Level 1 – Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 – Inputs other than quoted prices included within Level 1 that are observable for the asset or liability, either directly or indirectly, such as:

- quoted prices for similar assets or liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted prices that are observable for the asset or liability;
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair market value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs. There have been no changes in the valuation methodologies used at December 31, 2019 and 2018.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 2 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosure of contingent assets and liabilities at the date of the financial statements. Actual results could differ from those estimates.

Investment Valuation and Income Recognition

The Plan's investments are stated at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Mutual Funds: Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Collective Trust Funds: Valued at the NAV of units of a bank collective trust. The NAV, as provided by the trustee is determined and published daily. The NAV is based on the fair value of the underlying investments held by the fund less liabilities. Participant transactions (purchases and sales) may occur daily.

Purchases and sales are recorded on a trade-date basis. Investment income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Administrative Expenses

The Employers, at their discretion, absorb certain administrative expenses pertaining to the Plan. If not paid by the Employers, the administrative expenses become the responsibility of the Plan.

Payment of Benefits

Benefits are recorded when paid.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent notes receivable are reclassified as distributions based upon the terms of the Plan document.

Subsequent Events

In preparing these financial statements, the Plan has evaluated events and transactions for potential recognition or disclosure through October 15, 2020, the date the financial statements were available to be issued.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 3 INVESTMENT INFORMATION CERTIFIED BY TRUSTEE

The Employers appointed Wells Fargo Bank, N.A. (Wells Fargo) as trustee of the Plan. The trustee is responsible for the Plan's investment assets and investing transactions. Wells Fargo has supplied the Plan administrator with certification as to the completeness and accuracy of notes receivable from participants and certain investment information presented in the accompanying statements of net assets available for benefits as of December 31, 2019 and 2018, statements of changes in net assets available for benefits for the years then ended, and the supplemental schedule of assets (held at end of year) as of December 31, 2019. As of December 31, 2018, there was an investment totaling \$1,286,604 that was not covered by the Wells Fargo certification (See Note 11). There was no investment income for this investment included in the statement of changes in net assets for the year ended December 31, 2018.

NOTE 4 FAIR VALUE MEASUREMENTS

The following tables set forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31.

	2019			
	Level 1	Level 2	Level 3	Total
Interest-Bearing Cash	\$ 269,156	\$ -	\$ -	\$ 269,156
Mutual Funds	7,730,587	-	-	7,730,587
Collective Trust Funds	-	16,282,542	-	16,282,542
Total Investments at Fair Value	<u>\$ 7,999,743</u>	<u>\$ 16,282,542</u>	<u>\$ -</u>	<u>\$ 24,282,285</u>

	2018 (As Restated)			
	Level 1	Level 2	Level 3	Total
Interest-Bearing Cash	\$ 187,806	\$ -	\$ -	\$ 187,806
Mutual Funds	6,288,119	-	-	6,288,119
Collective Trust Funds	-	14,158,565	-	14,158,565
Total Investments at Fair Value	<u>\$ 6,475,925</u>	<u>\$ 14,158,565</u>	<u>\$ -</u>	<u>\$ 20,634,490</u>

NOTE 5 RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of the investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

NOTE 6 EXCESS CONTRIBUTIONS PAYABLE

Amounts payable to participants for contributions in excess of amounts allowed by the IRS are recorded as a liability with a corresponding reduction to contributions.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 7 PLAN TAX STATUS

The Plan is placing reliance on an opinion letter dated March 31, 2014 received from the IRS on the prototype plan indicating that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code (IRC). The Plan has been amended since receiving the opinion letter. However, the Plan administrator believes that the Plan is qualified and, therefore, the related trust is exempt from taxation.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the organization has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

NOTE 8 RELATED-PARTY TRANSACTIONS

The Plan investments are managed by Wells Fargo. Wells Fargo is the trustee as defined by the Plan and, therefore, these transactions qualify as exempt party-in-interest transactions.

NOTE 9 RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following is a reconciliation of net assets available for benefits per the financial statements to Form 5500 at December 31:

	2019	2018
Net Assets Available for Benefits per Financial Statements	\$ 24,588,620	\$ 20,821,537
Less: Transfer in from Affiliated Plan Restatement	-	(1,286,604)
Less: Deemed Loan Distributions	(13,503)	(6,503)
Plus: Excess Contributions Payable	17,586	16,987
Miscellaneous Difference	(28)	(23)
Net Assets Available for Benefits per Form 5500	<u>\$ 24,592,675</u>	<u>\$ 19,545,394</u>

The following is a reconciliation of net income per the financial statements to Form 5500 for the year ended December 31, 2019:

	Amount
Net Increase per Financial Statements	\$ 3,767,083
Add: Transfer in from Affiliated Plan Restatement	1,286,604
Add: 2018 Deemed Loan Distributions	6,503
Less: 2019 Deemed Loan Distributions	(13,503)
Add: 2019 Excess Contributions Payable	17,586
Less: 2018 Excess Contributions Payable	(16,987)
Less: Miscellaneous Difference	(5)
Net Income per Form 5500	<u>\$ 5,047,281</u>

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 10 DELINQUENT CONTRIBUTIONS

The Company failed to remit employee 401(k) deferral contributions for certain payroll periods within the time frame prescribed by the Department of Labor. This is deemed a prohibited transaction in accordance with ERISA and the IRC. The Company calculated the lost earnings and corrected the prohibited transaction in 2019.

NOTE 11 RESTATEMENT

The net assets available for benefit as of and for the year ended December 31, 2018 has been restated to include the value of the Principal Stable Value Fund (Collective Trust Fund) that was acquired as part of the merger of the Solar Plastics, Inc. 401(k) Retirement Plan but not transferred into the Plan until 2019. The restatement increased transfer in from affiliated plan and the net assets available for benefits at December 31, 2018 by \$1,286,604.

ATEK COMPANIES SAVINGS PLAN
E.I.N. 41-0643805 PLAN NO. 003
SCHEDULE H, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)
DECEMBER 31, 2019

(a)	(b)	(c)	(d)	(e)
	Identity of Issue, Borrower, Lessor or Similar Party	Description of Investment Including Maturity Date, Rate of Interest, Par or Maturity Value	Cost **	Current Value
		<u>Interest Bearing Cash:</u>		
*	Wells Fargo Bank, N.A.	Short Term Investment Fund	\$	269,156
		<u>Collective Trust Funds:</u>		
*	Wells Fargo Bank, N.A.	Stable Return Fund		2,281,223
*	Wells Fargo Bank, N.A.	Large Cap Growth Fund		67,209
*	Wells Fargo Bank, N.A.	Large Cap Value Fund		339,215
*	Wells Fargo Bank, N.A.	Russell 2000 Index Fixed Fund		196,936
*	Wells Fargo Bank, N.A.	Russell 2000 Index Total Return Fund		532,174
*	Wells Fargo Bank, N.A.	S&P 500 Index Fixed Fund		3,459,726
*	Wells Fargo Bank, N.A.	S&P 500 Index Total Return Fund		1,971,096
*	Wells Fargo Bank, N.A.	S&P Mid-Cap Fixed Fund		52,162
*	Wells Fargo Bank, N.A.	S&P Mid-Cap Total Return Fund		823,193
*	Wells Fargo Bank, N.A.	Aggregate Bond Index Fixed Fund		435,635
*	Wells Fargo Bank, N.A.	Aggregate Bond Index Total Return Fund		3,097,849
*	Wells Fargo Bank, N.A.	International Equity Index Fund		1,625,046
*	Wells Fargo Bank, N.A.	MFS Value CIT Fixed Fund		1,401,078
		<u>Mutual Funds:</u>		
	JP Morgan	Small-Cap Growth Fund		534,416
	JP Morgan	Mid Cap Growth Fund		639,100
	American Funds	Growth Fund of America		998,216
	American Funds	Europacific Growth Fund		951,100
	T. Rowe Price	Mid-Cap Growth Fund		2,672,841
	Vanguard	Total International Stock Index Fund		549,323
	Delaware	Small-Cap Value-A		360,420
	Metropolitan West	Total Return Bond Fund		1,025,171
		Participant Loans		
*		Interest Rate Range 4.25% to 8.75%	-	310,390
				<u>\$ 24,592,675</u>

* Designates party-in-interest

** Cost omitted for participant-directed investments

ATEK COMPANIES SAVINGS PLAN
E.I.N. 41-0643805 PLAN NO. 003
SCHEDULE H, LINE 4a—SCHEDULE OF DELINQUENT PARTICIPANT CONTRIBUTIONS
YEAR ENDED DECEMBER 31, 2019

Participant Contributions Transferred Late to Plan	Total that Constitute Nonexempt Prohibited Transactions			Total Fully Corrected under VFCP and PTE 2002-51
	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	
Check here if Late Participant Loan Repayments are Included ☐ Yes	\$ -	\$ 3,641	\$ -	\$ -

ATEK COMPANIES SAVINGS PLAN

**FINANCIAL STATEMENTS AND
SUPPLEMENTAL INFORMATION**

YEARS ENDED DECEMBER 31, 2019 AND 2018

**ATEK COMPANIES SAVINGS PLAN
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YEARS ENDED DECEMBER 31, 2019 AND 2018**

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INDEPENDENT AUDITORS' REPORT

401(k) Benefits Team
ATEK Companies Savings Plan
Eden Prairie, Minnesota

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the ATEK Companies Savings Plan (the Plan), which comprise the statements of net assets available for benefits as of December 31, 2019 and 2018, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph; however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Wells Fargo Bank, N.A., the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained a certification from the trustee as of and for the years ended December 31, 2019 and 2018, that the information provided to the Plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Emphasis of Matter – Restatement

As discussed in Note 11 to the financial statements, the 2018 financial statements have been restated to correct a misstatement of the transfers in from affiliated plan. Our opinion is not modified with respect to this matter.

Other Matter

The supplemental schedule of assets (held at end of year) and the supplemental schedule of delinquent participant contributions as of and for the year ended December 31, 2019, are required by the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on the supplemental schedules.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.



CliftonLarsonAllen LLP

Minneapolis, Minnesota
October 15, 2020

**ATEK COMPANIES SAVINGS PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
DECEMBER 31, 2019 AND 2018**

	<u>2019</u>	<u>(Restated) 2018</u>
ASSETS		
INVESTMENTS (at Fair Value)		
Interest-Bearing Cash	\$ 269,156	\$ 187,806
Mutual Funds	7,730,587	6,288,119
Collective Trust Funds	<u>16,282,542</u>	<u>14,158,565</u>
Total Investments at Fair Value	24,282,285	20,634,490
NOTES RECEIVABLE FROM PARTICIPANTS	<u>323,921</u>	<u>204,034</u>
Total Assets	24,606,206	20,838,524
LIABILITIES		
EXCESS CONTRIBUTIONS PAYABLE	<u>17,586</u>	<u>16,987</u>
NET ASSETS AVAILABLE FOR BENEFITS	<u><u>\$ 24,588,620</u></u>	<u><u>\$ 20,821,537</u></u>

See accompanying Notes to Financial Statements.

ATEK COMPANIES SAVINGS PLAN
STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
YEARS ENDED DECEMBER 31, 2019 AND 2018

	2019	(Restated) 2018
ADDITIONS:		
INVESTMENT INCOME (LOSS)		
Interest and Dividends	\$ 88,124	\$ 67,096
Net Appreciation (Depreciation) in Fair Value of Investments	4,296,270	(1,216,021)
Total Investment Income (Loss)	4,384,394	(1,148,925)
INTEREST INCOME ON NOTES RECEIVABLE FROM PARTICIPANTS	15,780	8,043
CONTRIBUTIONS		
Employee Deferrals	1,128,575	1,017,767
Employers' Match	412,687	394,277
Rollover	113,827	106,817
Total Contributions	1,655,089	1,518,861
Total Additions	6,055,263	377,979
DEDUCTIONS:		
BENEFITS PAID TO PARTICIPANTS	2,182,098	1,245,718
ADMINISTRATIVE FEES	106,082	85,864
Total Deductions	2,288,180	1,331,582
NET INCREASE (DECREASE) - PRIOR TO TRANSFERS	3,767,083	(953,603)
Transfer in from Affiliated Plan, as reported	-	5,731,293
Restatement - Transfer in from Affiliated Plan	-	1,286,604
TRANSFER IN FROM AFFILIATED PLAN, as restated	-	7,017,897
NET ASSETS AVAILABLE FOR BENEFITS:		
Beginning of Year	20,821,537	14,757,243
End of Year	\$ 24,588,620	\$ 20,821,537

See accompanying Notes to Financial Statements.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 1 DESCRIPTION OF PLAN

The following description of the ATEK Companies Savings Plan (the Plan) provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General

The Plan was established January 1, 1976 and most recently restated effective January 1, 2015. The Plan is a defined contribution plan covering all eligible employees of the following affiliated companies: ATEK Management, Inc.; Progress Casting Group, Inc.; ATEK Access Technologies, LLC; Red Mountain Ranch Corporation; ATEK Metal Technologies, LLC; and ATEK Plastics, LLC, and Solar Plastics, LLC. (collectively, the Employers). Employees of the Employers who attain age 18 are eligible to participate in the salary deferral portion of the Plan immediately coinciding with or following the date the age requirement is met. The Plan excludes collectively bargained employees and nonresident aliens. Participants are eligible for discretionary matching contributions and discretionary nonelective contributions after attaining age 18 and completion of six months of service. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended.

Effective June 1, 2018, the Company transferred the assets of Solar Plastics, Inc. 401(k) Retirement Plan into the Plan.

Contributions

The Plan includes a 401(k) salary deferral arrangement. Under this arrangement and subject to Plan provisions, participants may elect to contribute up to the maximum allowed of their eligible compensation to the Plan. The Employers automatically withhold 3% as pre-tax contributions unless the participant elects otherwise. The Plan allows participants age 50 and older to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified plans. The Employers may make a discretionary match or a discretionary nonelective contribution to the Plan. For the years ended December 31, 2019 and 2018, the Employers matched 50% of participant contributions up to 6% of the participant's eligible compensation. There were no nonelective contributions for the years ended December 31, 2019 and 2018. A participant must be employed on the last day of the Plan year and complete at least 1,000 hours of service during the year to receive an allocation of the Employers' nonelective contributions. Contributions are subject to certain limitations.

Participant Accounts

The Plan permits participants to direct the investment of their account balance under the Plan. Each participant's account is credited with the participant's contribution, and allocations of (1) the Employers' contributions, (2) Plan earnings or losses, and (3) administrative expenses. Allocations are based on participant earnings or account balances, as defined. Income is allocated daily based on the shares in the participant's account.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 1 DESCRIPTION OF PLAN (CONTINUED)

Vesting

Participants are immediately vested in their voluntary contributions and Employers' matching contributions plus actual earnings thereon. A participant's nonelective account for contributions made prior to 2007 is 10% vested after one year of service, increasing 15% every year thereafter, with 100% vesting after seven years of service or upon death, total permanent disability, attainment of age 65 or early retirement age of 55. For nonelective contributions made after December 31, 2006, the participant's nonelective account is vested as follows:

<u>Years of Service</u>	<u>Vesting Percentage</u>
0-1	- %
2	20
3	40
4	60
5	80
6	100

The Plan also recognizes past service with Air Regent Investors, Inc., Cornerstone Fuels International, Inc., Crosby Manufacturing, Inc., Datakey Electronics, Inc, and Solar Plastics, Inc.

Forfeitures

Forfeitures may first be applied to offset Plan expenses and next used to reduce the Employers' matching contributions. There were unallocated forfeitures of \$1,113 and \$859 at December 31, 2019 and 2018, respectively. There were no forfeitures used to reduce the Employers' matching contributions for the years ended December 31, 2019 and 2018. No forfeitures were used to pay Plan expenses for the years ended December 31, 2019 and 2018.

Benefit Payments

The benefit to which a participant is entitled is the benefit that can be provided from the participant's account. Participants may elect to receive the value of their account as a lump sum or periodic distributions upon termination, retirement, death, or disability. Account balances less than \$5,000 will be automatically distributed in a lump sum payment without the participants consent. If the vested balance exceeds \$1,000, the amount will be paid in a direct rollover to an Individual Retirement Account. The Plan also permits in-service distributions if attained age 59 1/2 or incurred a financial hardship or disability, as defined in the Plan.

Notes Receivable from Participants

Participants may borrow from their fund accounts a minimum of \$1,000 up to a maximum equal to the lesser of \$50,000 or 50% of their account balance. Note terms range from 1 to 5 years or up to 15 years for the purchase of a primary residence. The notes are secured by the balance in the participant's account and bear interest at a rate of prime plus 1%. Principal and interest is paid ratably through payroll deductions.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 1 DESCRIPTION OF PLAN (CONTINUED)

Plan Termination

Although it has not expressed any intent to do so, the Employers have the right to terminate the Plan at any time subject to the provisions of ERISA. In the event of Plan termination, the Plan's assets will be distributed in accordance with the Plan document. In the event of a Plan termination, the participants will become 100% vested in their accounts.

NOTE 2 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The financial statements of the Plan are prepared under the accrual basis of accounting.

Fair Value Measurements

Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, *Fair Value Measurements and Disclosures*, provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). The three levels of the fair value hierarchy are described as follows:

Level 1 – Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 – Inputs other than quoted prices included within Level 1 that are observable for the asset or liability, either directly or indirectly, such as:

- quoted prices for similar assets or liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted prices that are observable for the asset or liability;
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair market value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs. There have been no changes in the valuation methodologies used at December 31, 2019 and 2018.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 2 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosure of contingent assets and liabilities at the date of the financial statements. Actual results could differ from those estimates.

Investment Valuation and Income Recognition

The Plan's investments are stated at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Mutual Funds: Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Collective Trust Funds: Valued at the NAV of units of a bank collective trust. The NAV, as provided by the trustee is determined and published daily. The NAV is based on the fair value of the underlying investments held by the fund less liabilities. Participant transactions (purchases and sales) may occur daily.

Purchases and sales are recorded on a trade-date basis. Investment income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Administrative Expenses

The Employers, at their discretion, absorb certain administrative expenses pertaining to the Plan. If not paid by the Employers, the administrative expenses become the responsibility of the Plan.

Payment of Benefits

Benefits are recorded when paid.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent notes receivable are reclassified as distributions based upon the terms of the Plan document.

Subsequent Events

In preparing these financial statements, the Plan has evaluated events and transactions for potential recognition or disclosure through October 15, 2020, the date the financial statements were available to be issued.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 3 INVESTMENT INFORMATION CERTIFIED BY TRUSTEE

The Employers appointed Wells Fargo Bank, N.A. (Wells Fargo) as trustee of the Plan. The trustee is responsible for the Plan's investment assets and investing transactions. Wells Fargo has supplied the Plan administrator with certification as to the completeness and accuracy of notes receivable from participants and certain investment information presented in the accompanying statements of net assets available for benefits as of December 31, 2019 and 2018, statements of changes in net assets available for benefits for the years then ended, and the supplemental schedule of assets (held at end of year) as of December 31, 2019. As of December 31, 2018, there was an investment totaling \$1,286,604 that was not covered by the Wells Fargo certification (See Note 11). There was no investment income for this investment included in the statement of changes in net assets for the year ended December 31, 2018.

NOTE 4 FAIR VALUE MEASUREMENTS

The following tables set forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31.

2019				
	Level 1	Level 2	Level 3	Total
Interest-Bearing Cash	\$ 269,156	\$ -	\$ -	\$ 269,156
Mutual Funds	7,730,587	-	-	7,730,587
Collective Trust Funds	-	16,282,542	-	16,282,542
Total Investments at Fair Value	<u>\$ 7,999,743</u>	<u>\$ 16,282,542</u>	<u>\$ -</u>	<u>\$ 24,282,285</u>

2018 (As Restated)				
	Level 1	Level 2	Level 3	Total
Interest-Bearing Cash	\$ 187,806	\$ -	\$ -	\$ 187,806
Mutual Funds	6,288,119	-	-	6,288,119
Collective Trust Funds	-	14,158,565	-	14,158,565
Total Investments at Fair Value	<u>\$ 6,475,925</u>	<u>\$ 14,158,565</u>	<u>\$ -</u>	<u>\$ 20,634,490</u>

NOTE 5 RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of the investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

NOTE 6 EXCESS CONTRIBUTIONS PAYABLE

Amounts payable to participants for contributions in excess of amounts allowed by the IRS are recorded as a liability with a corresponding reduction to contributions.

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 7 PLAN TAX STATUS

The Plan is placing reliance on an opinion letter dated March 31, 2014 received from the IRS on the prototype plan indicating that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code (IRC). The Plan has been amended since receiving the opinion letter. However, the Plan administrator believes that the Plan is qualified and, therefore, the related trust is exempt from taxation.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the organization has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

NOTE 8 RELATED-PARTY TRANSACTIONS

The Plan investments are managed by Wells Fargo. Wells Fargo is the trustee as defined by the Plan and, therefore, these transactions qualify as exempt party-in-interest transactions.

NOTE 9 RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following is a reconciliation of net assets available for benefits per the financial statements to Form 5500 at December 31:

	2019	2018
Net Assets Available for Benefits per Financial Statements	\$ 24,588,620	\$ 20,821,537
Less: Transfer in from Affiliated Plan Restatement	-	(1,286,604)
Less: Deemed Loan Distributions	(13,503)	(6,503)
Plus: Excess Contributions Payable	17,586	16,987
Miscellaneous Difference	(28)	(23)
Net Assets Available for Benefits per Form 5500	<u>\$ 24,592,675</u>	<u>\$ 19,545,394</u>

The following is a reconciliation of net income per the financial statements to Form 5500 for the year ended December 31, 2019:

	Amount
Net Increase per Financial Statements	\$ 3,767,083
Add: Transfer in from Affiliated Plan Restatement	1,286,604
Add: 2018 Deemed Loan Distributions	6,503
Less: 2019 Deemed Loan Distributions	(13,503)
Add: 2019 Excess Contributions Payable	17,586
Less: 2018 Excess Contributions Payable	(16,987)
Less: Miscellaneous Difference	(5)
Net Income per Form 5500	<u>\$ 5,047,281</u>

**ATEK COMPANIES SAVINGS PLAN
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2019 AND 2018**

NOTE 10 DELINQUENT CONTRIBUTIONS

The Company failed to remit employee 401(k) deferral contributions for certain payroll periods within the time frame prescribed by the Department of Labor. This is deemed a prohibited transaction in accordance with ERISA and the IRC. The Company calculated the lost earnings and corrected the prohibited transaction in 2019.

NOTE 11 RESTATEMENT

The net assets available for benefit as of and for the year ended December 31, 2018 has been restated to include the value of the Principal Stable Value Fund (Collective Trust Fund) that was acquired as part of the merger of the Solar Plastics, Inc. 401(k) Retirement Plan but not transferred into the Plan until 2019. The restatement increased transfer in from affiliated plan and the net assets available for benefits at December 31, 2018 by \$1,286,604.

ATEK COMPANIES SAVINGS PLAN
E.I.N. 41-0643805 PLAN NO. 003
SCHEDULE H, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)
DECEMBER 31, 2019

(a)	(b)	(c)	(d)	(e)
	Identity of Issue, Borrower, Lessor or Similar Party	Description of Investment Including Maturity Date, Rate of Interest, Par or Maturity Value	Cost **	Current Value
		<u>Interest Bearing Cash:</u>		
*	Wells Fargo Bank, N.A.	Short Term Investment Fund		\$ 269,156
		<u>Collective Trust Funds:</u>		
*	Wells Fargo Bank, N.A.	Stable Return Fund		2,281,223
*	Wells Fargo Bank, N.A.	Large Cap Growth Fund		67,209
*	Wells Fargo Bank, N.A.	Large Cap Value Fund		339,215
*	Wells Fargo Bank, N.A.	Russell 2000 Index Fixed Fund		196,936
*	Wells Fargo Bank, N.A.	Russell 2000 Index Total Return Fund		532,174
*	Wells Fargo Bank, N.A.	S&P 500 Index Fixed Fund		3,459,726
*	Wells Fargo Bank, N.A.	S&P 500 Index Total Return Fund		1,971,096
*	Wells Fargo Bank, N.A.	S&P Mid-Cap Fixed Fund		52,162
*	Wells Fargo Bank, N.A.	S&P Mid-Cap Total Return Fund		823,193
*	Wells Fargo Bank, N.A.	Aggregate Bond Index Fixed Fund		435,635
*	Wells Fargo Bank, N.A.	Aggregate Bond Index Total Return Fund		3,097,849
*	Wells Fargo Bank, N.A.	International Equity Index Fund		1,625,046
*	Wells Fargo Bank, N.A.	MFS Value CIT Fixed Fund		1,401,078
		<u>Mutual Funds:</u>		
	JP Morgan	Small-Cap Growth Fund		534,416
	JP Morgan	Mid Cap Growth Fund		639,100
	American Funds	Growth Fund of America		998,216
	American Funds	Europacific Growth Fund		951,100
	T. Rowe Price	Mid-Cap Growth Fund		2,672,841
	Vanguard	Total International Stock Index Fund		549,323
	Delaware	Small-Cap Value-A		360,420
	Metropolitan West	Total Return Bond Fund		1,025,171
		Participant Loans		
*		Interest Rate Range 4.25% to 8.75%	-	310,390
				<u><u>\$ 24,592,675</u></u>

* Designates party-in-interest

** Cost omitted for participant-directed investments

ATEK COMPANIES SAVINGS PLAN
E.I.N. 41-0643805 PLAN NO. 003
SCHEDULE H, LINE 4a—SCHEDULE OF DELINQUENT PARTICIPANT CONTRIBUTIONS
YEAR ENDED DECEMBER 31, 2019

Participant Contributions Transferred Late to Plan	Total that Constitute Nonexempt Prohibited Transactions			Total Fully Corrected under VFCP and PTE 2002-51
	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	
Check here if Late Participant Loan Repayments are Included ☐ Yes	\$ -	\$ 3,641	\$ -	\$ -