Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

A This return/report is for	Part I	Annual Report	Identification Information	1								
A This return/report is for: a one-participant plan a foreign plan a short plan year return/report (less than 12 months) DFVC program DFVC pr	For calend	lar plan year 2018 or fi	iscal plan year beginning 01/01/2	2018		and ending 1	2/31/2018					
B This return/report is	A This re	turn/report is for:										
In this return/report In a hand return/report In a hand return/report In a hand return/report In a host plan page return/report (less than 12 months)			a one-participant plan									
C Check box if filing under:	B This ret	urn/report is	the first return/report	the	the final return/report							
Part II Basic Plan Information—enter all requested information Ta Name of plan The Effective date of plan The Effective da			an amended return/report	a sh	nort plan year return	/report (less than 12 m	onths)					
Part II Basic Plan Information—enter all requested information 1a Name of plan AMERICAN FUNDS 1b Three-digit plan number (PN) 999 1c Effective date of plan 1c Plan sponsor's name (employer, if for a single-employer plan) 1c Plan sponsor's name (employer, if for a single-employer plan) 1c Plan sponsor's name (employer, if for a single-employer plan) 1c Plan sponsor's name (employer, if for a single-employer plan) 1c Plan sponsor's name (employer, if for a single-employer plan) 1c Plan sponsor's name (employer, if for a single-employer plan) 1c Plan sponsor's name (employer, if for a single-employer plan) 1c Plan sponsor's name (employer, if for a single-employer plan) 1c Plan sponsor's name (employer, if for a single-employer plan) 1c Plan sponsor's name (employer, and ZIP or foreign postal code (if foreign, see instructions) 2c Plan sponsor's telephone number (EIN) 91+973713 2c Plan sponsor's name (EIN) 1d Plan sponsor's name (EIN) 1d Plan sponsor's name employer plan sponsor 1d Plan sponsor's name employer 2d Business code (see instructions) 3d Administrator's telephone number 3d Administrator's telephone number 1d Plan sponsor's name employer 2d Business code (see instructions) 3d Administrator's telephone number 2d Administrator's telephone number 2d Administrator's telephone number 2d Plan sponsor's name employer 2d Plan sponsor's name employer 2d Plan sponsor's name 2d Pl	C Check	box if filing under:	Form 5558	aut	omatic extension	DFVC program						
18 Name of plan AMERICAN FUNDS 28 Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) STREAMBOX STREAMBOX, INC. 20 Employer identification (EIN) 91-1973713 20 Sponsor's telephone number 206-930-3796 20 Business code (see instructions) 334310 21 Business code (see instructions) 334310 32 Plan administrator's name and address Same as Plan Sponsor. 33 Plan administrator's name and address Same as Plan Sponsor. 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. 3 Sponsor's name C Plan Name 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report. 4 Description of the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. 4 Description of participants at the beginning of the plan year. 5 Total number of participants at the beginning of the plan year (only defined contribution plans complete this item). 4 Description of the plan sponsor's name, EIN, the plan name and the plan vear (only defined contribution plans complete this item). 5 Total number of participants at the beginning of the plan year (only defined contribution plans complete this item). 5 Description of the plan year (only defined contribution plans complete this item). 6 Number of participants at the end of the plan year (only defined contribution plans complete this item). 6 Number of participants at the end of the plan year (only defined contribution plans complete this item). 6 Number of participants with account belances as of the end of the plan year (only defined contribution plans complete this item). 6 Number of participants with account belances as of the end of the plan year (only defined contr				' '								
18 Name of plan AMERICAN FUNDS 28 Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) STREAMBOX STREAMBOX, INC. 20 Employer identification (EIN) 91-1973713 20 Sponsor's telephone number 206-930-3796 20 Business code (see instructions) 334310 21 Business code (see instructions) 334310 32 Plan administrator's name and address Same as Plan Sponsor. 33 Plan administrator's name and address Same as Plan Sponsor. 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. 3 Sponsor's name C Plan Name 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report. 4 Description of the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. 4 Description of participants at the beginning of the plan year. 5 Total number of participants at the beginning of the plan year (only defined contribution plans complete this item). 4 Description of the plan sponsor's name, EIN, the plan name and the plan vear (only defined contribution plans complete this item). 5 Total number of participants at the beginning of the plan year (only defined contribution plans complete this item). 5 Description of the plan year (only defined contribution plans complete this item). 6 Number of participants at the end of the plan year (only defined contribution plans complete this item). 6 Number of participants at the end of the plan year (only defined contribution plans complete this item). 6 Number of participants with account belances as of the end of the plan year (only defined contribution plans complete this item). 6 Number of participants with account belances as of the end of the plan year (only defined contr	Part II	Basic Plan Info	ormation—enter all requested in	nformatio	n							
AMERICAN FUNDS plan number (PN) 999	1a Name	of plan					1b Three	-digit				
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) STREAMBOX STREAMBOX STREAMBOX STREAMBOX STREAMBOX STREAMBOX INC. 1801 130TH AVE NE STE 200 BELLEVUE, WA 98005-2247 1801 130TH AVE NE STE 200 BELLEVUE, WA 98005-2247 3a Plan administrator's name and address Same as Plan Sponsor. 2b Administrator's telephone number 200-930-3796 2d Business code (see instructions) 334310 3c Administrator's telephone number 200-930-3796 2d Business code (see instructions) 3d Administrator's telephone number 200-930-3796 2d Business code (see instructions) 3d Administrator's telephone number 200-930-3796 2d Business code (see instructions) 3d Administrator's telephone number 200-930-3796 2d Business code (see instructions) 3d Administrator's telephone number 100 Administrator description number 100 Administrator description number 100 Administrator							plan r	umber				
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) STREAMBOX, INC. 2c Sponsor's Lelephone number 208-930-3796 2d Business code (see instructions) 3a Plan administrator's name and address							. ,		99			
Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) STREAMBOX, INC. 1801 130TH AVE NE STE 200 BELLEVUE, WA 98005-2247 BELLEVUE, WA 98005-2247 BELLEVUE, WA 98005-2247 BELLEVUE, WA 98005-2247 3a Plan administrator's name and address Same as Plan Sponsor. 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name c Plan Name 5a Total number of participants at the beginning of the plan year. 5b Total number of participants with account balances as of the end of the plan year. 5c Number of participants with account balances as of the end of the plan year. 5d(1) Total number of active participants at the beginning of the plan year. 5d(2) 4 CAUNTO Total number of participants with account balances as of the end of the plan year. 5d(1) 1 4 d(2) Total number of participants with account balances as of the end of the plan year. 5d(2) 4 e Number of participants who terminated employment during the plan year with accrued benefits that were less than 10% vested. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, I applicable, a Schedule BS or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, including, I applicable, a Schedule BS or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and completed. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable caus							•					
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) STREAMBOX, INC. 2C Sponsor's telephone number 206-930-3796 2d Business code (see instructions) 334310 3a Plan administrator's name and address Same as Plan Sponsor. 3b Administrator's EIN 3c Administrator's telephone number 3434310 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. 3 Sponsor's name c Plan Name 5a Total number of participants at the beginning of the plan year. 5b 4 b Total number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item). 4d(2) Total number of participants who terminated employment during the plan year with accrued benefits that were less than 10% vested 4 Number of participants who terminated employment during the plan year with accrued benefits that were less than 10% vested 6 Number of participants who terminated employment during the plan year with accrued benefits that were less than 10% vested 6 Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. 6 Under penalties of perity and other penalties set forth in the instructions, I declare that I have examined the return/report, and to the best of my knowledge and belief, it is true, correct, and complete. 8 Signature of plan administrator 5 Date 6 Enter name of individual signing as plan administrator				O Boyl								
2d6-930-3796 2d Business code (see instructions) 334310 3a Plan administrator's name and address Same as Plan Sponsor. 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name. EIN, the plan name and the plan number from the last return/report. a Sponsor's name c Plan Name 5a Total number of participants at the beginning of the plan year. 5b 4 b Total number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item). d(1) Total number of active participants at the beginning of the plan year with accrued benefits that were less than 10% vested e Number of participants who terminated employment during the plan year with accrued benefits that were less than 10% vested Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Signature of plan administrator Date Enter name of individual signing as plan administrator					(if foreign, see instru	uctions)	` '					
2d Business code (see instructions) 334310 3a4310 4b EIN												
3a Plan administrator's name and address Same as Plan Sponsor. 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name c Plan Name 5a Total number of participants at the beginning of the plan year	STREAMBO	X, INC.										
3b Administrator's telephone number 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name c Plan Name 5a Total number of participants at the beginning of the plan year							334310					
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name c Plan Name 5a Total number of participants at the beginning of the plan year	,			5 - ,								
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name C Plan Name 5a Total number of participants at the beginning of the plan year	3a Plan a	administrator's name a	nd address X Same as Plan Spor	nsor.			3b Administrator's EIN					
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name C Plan Name 5a Total number of participants at the beginning of the plan year			_				0					
this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name C Plan Name 5a Total number of participants at the beginning of the plan year							3C Admir	listrator's telephone	number			
this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name C Plan Name 5a Total number of participants at the beginning of the plan year												
this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name C Plan Name 5a Total number of participants at the beginning of the plan year												
a Sponsor's name c Plan Name 5a Total number of participants at the beginning of the plan year					4b EIN							
5a Total number of participants at the beginning of the plan year			•			•	4d PN					
b Total number of participants at the end of the plan year	C Plan N	C Plan Name										
b Total number of participants at the end of the plan year	5a Total	number of participants	s at the beginning of the plan year.				5a		4			
d(1) Total number of active participants at the beginning of the plan year					5b		4					
d(2) Total number of active participants at the end of the plan year				5c		4						
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	d(1) Total number of active participants at the beginning of the plan year			5d(1)		4						
than 100% vested	d(2) Total number of active participants at the end of the plan year					5d(2)		4				
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete. SIGN HERE Signature of plan administrator Date Enter name of individual signing as plan administrator						5e		0				
SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete. SIGN HERE Signature of plan administrator Date Enter name of individual signing as plan administrator SIGN HERE	Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.											
SIGN HERE Filed with authorized/valid electronic signature. 12/03/2020 JEANY PIRZIO-BIROLI Signature of plan administrator Date Enter name of individual signing as plan administrator SIGN HERE HERE	SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and											
Signature of plan administrator Date Enter name of individual signing as plan administrator SIGN HERE	SIGN				12/03/2020	JEANY PIRZIO-BIROLI						
HERE		Signature of plan a	administrator		Date	Enter name of individual signing as plan administrator						
HERE Signature of employer/plan sponsor Date Enter name of individual signing as employer or plan sponsor												
	HERE	0:			Date	Enter name of individ	ividual signing as employer or plan sponsor					

Form 5500-SF (2018) Page **2**

		SUITANCE D	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							
	,	C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year						_		
Par	t III Financial Information							`		
	Plan Assets and Liabilities		(a) Beginning o	of Voor			(b) En	nd of Year		
	Total plan assets	7a	(a) beginning (0			(D) E1	0		
	Total plan liabilities	7b		0			0			
	Net plan assets (subtract line 7b from line 7a)	7c		0			0			
	Income, Expenses, and Transfers for this Plan Year		(a) Amount			(b) Total				
а	Contributions received or receivable from: (1) Employers	8a(1)	()							
((2) Participants	8a(2)		0						
((3) Others (including rollovers)	8a(3)								
b_	Other income (loss)	8b								
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						0		
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d								
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e								
f_	Administrative service providers (salaries, fees, commissions)	8f								
	-				_					
	h Total expenses (add lines 8d, 8e, 8f, and 8g)									
	i Net income (loss) (subtract line 8h from line 8c)							0		
_	Transfers to (from) the plan (see instructions)	8j								
	Part IV Plan Characteristics									
эа	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:									
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Part	V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С				10c		X				
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.).			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?					X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10g 10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i						

Page 3-	1
	Page 3 -

Part	VI Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sche (Form 5500) and line 11a below)		В		Yes X No			
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a						
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	1 302 of	f		Yes X No			
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year							
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b Enter the minimum required contribution for this plan year								
C Enter the amount contributed by the employer to the plan for this plan year								
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)								
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No	N/A			
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	Yes No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a						
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?				Yes No			
c If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)								
13c(1) Name of plan(s): 13c(2)				EIN(s) 13c(3) PN(s)				
_								