# Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

**2020**

**This Form is Open to Public Inspection**

## Part I Annual Report Identification Information

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

**A** This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

**B** This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

**C** If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

**D** Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

## Part II Basic Plan Information—enter all requested information

**1a** Name of plan
ARGO DATA RESOURCE CORPORATION 401(K) PLAN

**1b** Three-digit plan number (PN) ▶ 001

**1c** Effective date of plan 01/01/1987

**2a** Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
ARGO DATA RESOURCE CORPORATION

1500 NORTH GREENVILLE AVE
STE 500
RICHARDSON, TX 75081

**2b** Employer Identification Number (EIN)
75-2300387

**2c** Plan Sponsor's telephone number
972-866-3431

**2d** Business code (see instructions)
541511

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| | | | |
|---|---|---|---|
| **SIGN HERE** | Filed with authorized/valid electronic signature. | 06/17/2021 | MELISSA KIRKHAM |
| | **Signature of plan administrator** | Date | Enter name of individual signing as plan administrator |
| **SIGN HERE** | | | |
| | **Signature of employer/plan sponsor** | Date | Enter name of individual signing as employer or plan sponsor |
| **SIGN HERE** | | | |
| | **Signature of DFE** | Date | Enter name of individual signing as DFE |

**3a** Plan administrator's name and address [X] Same as Plan Sponsor

**3b** Administrator's EIN

**3c** Administrator's telephone number

**4** If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:

**a** Sponsor's name

**c** Plan Name

**4b** EIN

**4d** PN

| | | |
|---|---|---|
| **5** Total number of participants at the beginning of the plan year | **5** | 459 |
| **6** Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**). | | |
| **a(1)** Total number of active participants at the beginning of the plan year | **6a(1)** | 236 |
| **a(2)** Total number of active participants at the end of the plan year | **6a(2)** | 247 |
| **b** Retired or separated participants receiving benefits | **6b** | 0 |
| **c** Other retired or separated participants entitled to future benefits | **6c** | 216 |
| **d** Subtotal. Add lines **6a(2)**, **6b**, and **6c** | **6d** | 463 |
| **e** Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. | **6e** | 0 |
| **f** Total. Add lines **6d** and **6e** | **6f** | 463 |
| **g** Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) | **6g** | 448 |
| **h** Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested | **6h** | 23 |
| **7** Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) | **7** | |

**8a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2E 2F 2G 2J 2K 2S 2T 3D 2R

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

**9a** Plan funding arrangement (check all that apply)

- **(1)** [ ] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

**9b** Plan benefit arrangement (check all that apply)

- **(1)** [ ] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

**10** Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

**a Pension Schedules**

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

**b General Schedules**

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [ ] 0 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [ ] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

## Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

**11a** If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

**11b** Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

**11c** Enter the Receipt Confirmation Code for the 2020 Form M-1 annual report. If the plan was not required to file the 2020 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

| SCHEDULE C (Form 5500) | Service Provider Information | OMB No. 1210-0110 |
|---|---|---|
| Department of the Treasury<br>Internal Revenue Service<br>Department of Labor<br>Employee Benefits Security Administration<br>Pension Benefit Guaranty Corporation | This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).<br>▶ **File as an attachment to Form 5500.** | **2020**<br>**This Form is Open to Public Inspection.** |

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

**A** Name of plan
ARGO DATA RESOURCE CORPORATION 401(K) PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
ARGO DATA RESOURCE CORPORATION

**D** Employer Identification Number (EIN)
75-2300387

## Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

### 1 Information on Persons Receiving Only Eligible Indirect Compensation

**a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

**b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 37 60 64 65 | RECORDKEEPER | 50674 | Yes [X] No [ ] | Yes [X] No [ ] | 0 | Yes [X] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes [ ] No [ ] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes [ ] No [ ] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

| **(b)** Service Code(s) | **(c)** Relationship to employer, employee organization, or person known to be a party-in-interest | **(d)** Enter direct compensation paid by the plan. If none, enter -0-. | **(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | **(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | **(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | **(h)** Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes ☐ No ☐ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

| **(b)** Service Code(s) | **(c)** Relationship to employer, employee organization, or person known to be a party-in-interest | **(d)** Enter direct compensation paid by the plan. If none, enter -0-. | **(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | **(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | **(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | **(h)** Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes ☐ No ☐ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

| **(b)** Service Code(s) | **(c)** Relationship to employer, employee organization, or person known to be a party-in-interest | **(d)** Enter direct compensation paid by the plan. If none, enter -0-. | **(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | **(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | **(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | **(h)** Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes ☐ No ☐ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| FIDELITY INVESTMENTS INSTITUTIONAL | 60 | 0 |

| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---|---|
| ABF SM CAP VAL R5 - DST ASSET MANA 430 W 7TH STREET STE 219432 KANSAS CITY, MO 64105 | 0.04% |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| FIDELITY INVESTMENTS INSTITUTIONAL | 60 | 0 |

| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---|---|
| AM CENT MDCPVAL INV - AMERICAN CEN<br>44-0619208 | 0.35% |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| FIDELITY INVESTMENTS INSTITUTIONAL | 60 | 0 |

| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---|---|
| BAIRD CORE PLUS INST - US BANCORP<br>39-0281260 | 0.02% |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| FIDELITY INVESTMENTS INSTITUTIONAL | 60 | 0 |

| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---|---|
| DAVIS INTL Y - DST ASSET MANAGER S<br>430 W 7TH STREET STE 219432<br>KANSAS CITY, MO 64105 | 0.15% |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| FIDELITY INVESTMENTS INSTITUTIONAL | 60 | 0 |

| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---|---|
| IVY INTL CORE EQ I - WI SERVICES C<br>P.O. BOX 29217<br>SHAWNEE MISSION, KS 66201 | 0.10% |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| FIDELITY INVESTMENTS INSTITUTIONAL | 60 | 0 |

| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---|---|
| TRP GLOBAL TECH - T. ROWE PRICE SE<br>52-2269240 | 0.15% |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| FIDELITY INVESTMENTS INSTITUTIONAL | 60 | 0 |

| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---|---|
| TRP GROWTH STOCK - T. ROWE PRICE S<br><br>52-2269240 | 0.15% |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| | | |

| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---|---|
| | |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| | | |

| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---|---|
| | |

## Part II Service Providers Who Fail or Refuse to Provide Information

**4** Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **Part III** | **Termination Information on Accountants and Enrolled Actuaries (see instructions)**<br>(complete as many entries as needed) |
|---|---|

**a** Name:

**b** EIN:

**c** Position:

**d** Address:

**e** Telephone:

Explanation:

**a** Name:

**b** EIN:

**c** Position:

**d** Address:

**e** Telephone:

Explanation:

**a** Name:

**b** EIN:

**c** Position:

**d** Address:

**e** Telephone:

Explanation:

**a** Name:

**b** EIN:

**c** Position:

**d** Address:

**e** Telephone:

Explanation:

**a** Name:

**b** EIN:

**c** Position:

**d** Address:

**e** Telephone:

Explanation:

# SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

**2020**

**This Form is Open to Public Inspection**

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

**A** Name of plan
ARGO DATA RESOURCE CORPORATION 401(K) PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
ARGO DATA RESOURCE CORPORATION

**D** Employer Identification Number (EIN)
75-2300387

## Part I Asset and Liability Statement

**1** Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

| Assets | | (a) Beginning of Year | (b) End of Year |
|---|---|---|---|
| **a** Total noninterest-bearing cash | 1a | 0 | 0 |
| **b** Receivables (less allowance for doubtful accounts): | | | |
| **(1)** Employer contributions | 1b(1) | 0 | 0 |
| **(2)** Participant contributions | 1b(2) | 0 | 0 |
| **(3)** Other | 1b(3) | 0 | 0 |
| **c** General investments: | | | |
| **(1)** Interest-bearing cash (include money market accounts & certificates of deposit) | 1c(1) | 1570840 | 2467554 |
| **(2)** U.S. Government securities | 1c(2) | 5000 | 5172 |
| **(3)** Corporate debt instruments (other than employer securities): | | | |
| **(A)** Preferred | 1c(3)(A) | 0 | 0 |
| **(B)** All other | 1c(3)(B) | 0 | 0 |
| **(4)** Corporate stocks (other than employer securities): | | | |
| **(A)** Preferred | 1c(4)(A) | 0 | 0 |
| **(B)** Common | 1c(4)(B) | 610139 | 1276669 |
| **(5)** Partnership/joint venture interests | 1c(5) | 0 | 0 |
| **(6)** Real estate (other than employer real property) | 1c(6) | 0 | 0 |
| **(7)** Loans (other than to participants) | 1c(7) | 0 | 0 |
| **(8)** Participant loans | 1c(8) | 391372 | 468189 |
| **(9)** Value of interest in common/collective trusts | 1c(9) | 0 | 0 |
| **(10)** Value of interest in pooled separate accounts | 1c(10) | 0 | 0 |
| **(11)** Value of interest in master trust investment accounts | 1c(11) | 0 | 0 |
| **(12)** Value of interest in 103-12 investment entities | 1c(12) | 0 | 0 |
| **(13)** Value of interest in registered investment companies (e.g., mutual funds) | 1c(13) | 36910201 | 43436287 |
| **(14)** Value of funds held in insurance company general account (unallocated contracts) | 1c(14) | 0 | 0 |
| **(15)** Other | 1c(15) | 0 | 0 |

| | | | **(a)** Beginning of Year | **(b)** End of Year |
|---|---|---|---|---|
| **1d** | Employer-related investments: | | | |
| | **(1)** Employer securities | **1d(1)** | 0 | 0 |
| | **(2)** Employer real property | **1d(2)** | 0 | 0 |
| **e** | Buildings and other property used in plan operation | **1e** | 0 | 0 |
| **f** | Total assets (add all amounts in lines 1a through 1e) | **1f** | 39487552 | 47653871 |
| | **Liabilities** | | | |
| **g** | Benefit claims payable | **1g** | 0 | 0 |
| **h** | Operating payables | **1h** | 0 | 0 |
| **i** | Acquisition indebtedness | **1i** | 0 | 0 |
| **j** | Other liabilities | **1j** | 0 | 0 |
| **k** | Total liabilities (add all amounts in lines 1g through1j) | **1k** | 0 | 0 |
| | **Net Assets** | | | |
| **l** | Net assets (subtract line 1k from line 1f) | **1l** | 39487552 | 47653871 |

## Part II Income and Expense Statement

**2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

| | **Income** | | **(a)** Amount | **(b)** Total |
|---|---|---|---|---|
| **a** | **Contributions:** | | | |
| | **(1)** Received or receivable in cash from: **(A)** Employers | **2a(1)(A)** | 433225 | |
| | **(B)** Participants | **2a(1)(B)** | 2063115 | |
| | **(C)** Others (including rollovers) | **2a(1)(C)** | 943591 | |
| | **(2)** Noncash contributions | **2a(2)** | 0 | |
| | **(3)** Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)** | **2a(3)** | | 3439931 |
| **b** | **Earnings on investments:** | | | |
| | **(1)** Interest: | | | |
| | **(A)** Interest-bearing cash (including money market accounts and certificates of deposit) | **2b(1)(A)** | 4944 | |
| | **(B)** U.S. Government securities | **2b(1)(B)** | 81 | |
| | **(C)** Corporate debt instruments | **2b(1)(C)** | 0 | |
| | **(D)** Loans (other than to participants) | **2b(1)(D)** | 0 | |
| | **(E)** Participant loans | **2b(1)(E)** | 25014 | |
| | **(F)** Other | **2b(1)(F)** | 0 | |
| | **(G)** Total interest. Add lines **2b(1)(A)** through **(F)** | **2b(1)(G)** | | 30039 |
| | **(2)** Dividends: **(A)** Preferred stock | **2b(2)(A)** | 0 | |
| | **(B)** Common stock | **2b(2)(B)** | 8508 | |
| | **(C)** Registered investment company shares (e.g. mutual funds) | **2b(2)(C)** | 1228776 | |
| | **(D)** Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)** | **2b(2)(D)** | | 1237284 |
| | **(3)** Rents | **2b(3)** | | 0 |
| | **(4)** Net gain (loss) on sale of assets: **(A)** Aggregate proceeds | **2b(4)(A)** | 1247397 | |
| | **(B)** Aggregate carrying amount (see instructions) | **2b(4)(B)** | 1042986 | |
| | **(C)** Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result | **2b(4)(C)** | | 204411 |
| | **(5)** Unrealized appreciation (depreciation) of assets: **(A)** Real estate | **2b(5)(A)** | 0 | |
| | **(B)** Other | **2b(5)(B)** | 325291 | |
| | **(C)** Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)** | **2b(5)(C)** | | 325291 |

| | | (a) Amount | (b) Total |
|---|---|---|---|
| **(6)** Net investment gain (loss) from common/collective trusts | 2b(6) | | 0 |
| **(7)** Net investment gain (loss) from pooled separate accounts | 2b(7) | | 0 |
| **(8)** Net investment gain (loss) from master trust investment accounts | 2b(8) | | 0 |
| **(9)** Net investment gain (loss) from 103-12 investment entities | 2b(9) | | 0 |
| **(10)** Net investment gain (loss) from registered investment companies (e.g., mutual funds) | 2b(10) | | 5939632 |
| **c** Other income | 2c | | 0 |
| **d** Total income. Add all **income** amounts in column (b) and enter total | 2d | | 11176588 |
| **Expenses** | | | |
| **e** Benefit payment and payments to provide benefits: | | | |
| **(1)** Directly to participants or beneficiaries, including direct rollovers | 2e(1) | 2959595 | |
| **(2)** To insurance carriers for the provision of benefits | 2e(2) | 0 | |
| **(3)** Other | 2e(3) | 0 | |
| **(4)** Total benefit payments. Add lines **2e(1)** through **(3)** | 2e(4) | | 2959595 |
| **f** Corrective distributions (see instructions) | 2f | | 0 |
| **g** Certain deemed distributions of participant loans (see instructions) | 2g | | 0 |
| **h** Interest expense | 2h | | 0 |
| **i** Administrative expenses: **(1)** Professional fees | 2i(1) | 0 | |
| **(2)** Contract administrator fees | 2i(2) | 0 | |
| **(3)** Investment advisory and management fees | 2i(3) | 0 | |
| **(4)** Other | 2i(4) | 50674 | |
| **(5)** Total administrative expenses. Add lines **2i(1)** through **(4)** | 2i(5) | | 50674 |
| **j** Total expenses. Add all **expense** amounts in column (b) and enter total | 2j | | 3010269 |
| **Net Income and Reconciliation** | | | |
| **k** Net income (loss). Subtract line **2j** from line **2d** | 2k | | 8166319 |
| **l** Transfers of assets: | | | |
| **(1)** To this plan | 2l(1) | | 0 |
| **(2)** From this plan | 2l(2) | | 0 |

## Part III Accountant's Opinion

**3** Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

**a** The attached opinion of an independent qualified public accountant for this plan is (see instructions):

**(1)** [ ] Unmodified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

**b** Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

**(1)** [X] DOL Regulation 2520.103-8 **(2)** [ ] DOL Regulation 2520.103-12(d) **(3)** [ ] neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

**c** Enter the name and EIN of the accountant (or accounting firm) below:

**(1)** Name: MALNORY, MCNEAL & COMPANY, PC **(2)** EIN: 75-2510320

**d** The opinion of an independent qualified public accountant is **not attached** because:

**(1)** [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

## Part IV Compliance Questions

**4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

| | | Yes | No | Amount |
|---|---|---|---|---|
| **a** Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.) | 4a | | X | |

| | | | Yes | No | Amount |
|---|---|---|---|---|---|
| **b** | Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.) | **4b** | | X | |
| **c** | Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) | **4c** | | X | |
| **d** | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.) | **4d** | | X | |
| **e** | Was this plan covered by a fidelity bond? | **4e** | X | | 500000 |
| **f** | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | **4f** | | X | |
| **g** | Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? | **4g** | | X | |
| **h** | Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser? | **4h** | | X | |
| **i** | Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.) | **4i** | X | | |
| **j** | Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.) | **4j** | | X | |
| **k** | Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | **4k** | | X | |
| **l** | Has the plan failed to provide any benefit when due under the plan? | **4l** | | X | |
| **m** | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | **4m** | | X | |
| **n** | If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | **4n** | | X | |

**5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No

If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

**5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

| **5b(1)** Name of plan(s) | **5b(2)** EIN(s) | **5b(3)** PN(s) |
|---|---|---|
| | | |
| | | |
| | | |
| | | |

**5c** Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

# SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

**2020**

**This Form is Open to Public Inspection.**

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

**A** Name of plan
ARGO DATA RESOURCE CORPORATION 401(K) PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
ARGO DATA RESOURCE CORPORATION

**D** Employer Identification Number (EIN)
75-2300387

## Part I Distributions

**All references to distributions relate only to payments of benefits during the plan year.**

**1** Total value of distributions paid in property other than in cash or the forms of property specified in the instructions ..... | **1** |

**2** Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 04-6568107

**Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.**

**3** Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year ..... | **3** |

## Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

**4** Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

**If the plan is a defined benefit plan, go to line 8.**

**5** If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

**If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.**

**6 a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) ..... | **6a** |

**b** Enter the amount contributed by the employer to the plan for this plan year ..... | **6b** |

**c** Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) ..... | **6c** |

**If you completed line 6c, skip lines 8 and 9.**

**7** Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

**8** If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

## Part III Amendments

**9** If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

## Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

**10** Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

**11 a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

**b** If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

**12** Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

## Part V Additional Information for Multiemployer Defined Benefit Pension Plans

**13** Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

**14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

| | | |
|---|---|---|
| **a** The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment) | **14a** | |
| **b** The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment) | **14b** | |
| **c** The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment) | **14c** | |

**15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

| | | |
|---|---|---|
| **a** The corresponding number for the plan year immediately preceding the current plan year | **15a** | |
| **b** The corresponding number for the second preceding plan year | **15b** | |

**16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

| | | |
|---|---|---|
| **a** Enter the number of employers who withdrew during the preceding plan year | **16a** | |
| **b** If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers | **16b** | |

**17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

## Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

**18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

**19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

**a** Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

**b** Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

**c** What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

**20** **PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

**a** Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

**b** If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation_____

# ARGO DATA RESOURCE CORPORATION
# 401 (k) PLAN

## FINANCIAL STATEMENTS
## AND INDEPENDENT AUDITORS' REPORT

## DECEMBER 31, 2020 AND 2019

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*OTHER SCHEDULES REQUIRED BY 29CFR 2520.103-10 OF THE DEPARTMENT OF LABOR'S RULES AND REGULATIONS FOR REPORTING AND DISCLOSURE UNDER EMPLOYEE RETIREMENT INCOME SECURITY ACT OF 1974 HAS BEEN OMITTED BECAUSE THEY ARE NOT APPLICABLE.

# Malnory, McNeal & Company, PC
Certified Public Accountants

Mark W. Malnory, CPA
Johnna W. McNeal, CPA
Les S. Malnory, CPA
E.J. Musharbash, CPA
Beverly Smith, CPA
James R. Smith, CPA

Members of
American Institute of Certified Public Accountants
Texas Society of Certified Public Accountants
AICPA Governmental Audit Quality Center

Elizabeth Hamm, CPA
Matthew Doyle, CPA
Yvonne Yu, CPA
Imran Zamani, CPA


## Independent Auditors' Report

Board of Trustees
ARGO Data Resource Corporation 401 (k) Plan

**Report on the Financial Statements**

We were engaged to audit the accompanying financial statements of the ARGO Data Resource Corporation 401(k) Plan, which comprise the statements of net assets available for benefits (modified cash basis) as of December 31, 2020 and 2019, and the related statements of changes in net assets available for benefits (modified cash basis) for the years then ended, and the related notes to the financial statements.

**Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with the modified cash basis of accounting described in Note 2; this includes determining that the modified cash basis of accounting is an acceptable basis for the preparation of the financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

**Auditor's Responsibility**

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

**Basis for Disclaimer of Opinion**

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 8, which was certified by Fidelity Management Trust Company, the trustee of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedule. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the trustee as of and for the years ended December 31, 2020 and 2019, that the information provided to the plan administrator by the trustee is complete and accurate.



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1711 Clarksville Street Paris, Texas 75460 Telephone: 903-784-6700 Facsimile: 903-761-6934
www.MalnoryMcNeal.com

**Disclaimer of Opinion**

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

**Basis of Accounting**

We draw attention to Note 2 of the financial statements, which describes the basis of accounting. The financial statements and supplemental schedule were prepared on a modified cash basis of accounting, which is a basis of accounting other than accounting principles generally accepted in the United States of America. Our opinion is not modified with respect to that matter.

**Other Matter**

The supplemental schedule of Assets Held for Investment as of December 31, 2020, is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule referred to above.

**Report on Form and Content in Compliance With DOL Rules and Regulations**

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.



Dallas, Texas
June 16, 2021

ARGO Data Resource Corporation 401 (k) Plan
Statements of Net Assets Available for Benefits
(modified cash basis)
December 31,

| | 2020 | 2019 |
|---|---|---|
| ASSETS | | |
| Investments, at fair value | $ 47,185,682 | $ 39,096,180 |
| Notes receivable from participants | 468,189 | 391,372 |
| Total assets | 47,653,871 | 39,487,552 |
| LIABILITIES | | |
| Deferred credits-forfeiture account | 3,521 | 838 |
| Total liabilities | 3,521 | 838 |
| NET ASSETS AVAILABLE FOR BENEFITS | $ 47,650,350 | $ 39,486,714 |


The accompanying notes are an integral part of these statements.

ARGO Data Resource Corporation 401 (k) Plan
Statements of Changes in Net Assets Available for Benefits
(modified cash basis)
Years Ended December 31,

| | 2020 | 2019 |
|---|---|---|
| ADDITIONS | | |
| Investment income: | | |
| Interest and dividends | $ 1,242,309 | $ 1,066,517 |
| Net investment gains(losses) | 6,469,334 | 6,745,304 |
| Total investment income (loss) | 7,711,643 | 7,811,821 |
| Interest income on notes receivable from participants | 25,014 | 20,184 |
| Contributions: | | |
| Employer match contribution | 433,225 | 330,806 |
| Employee elective deferrals | 2,063,115 | 1,854,880 |
| Employee rollover | 943,591 | 50,182 |
| Total contributions | 3,439,931 | 2,235,868 |
| Total additions (losses) | 11,176,588 | 10,067,873 |
| DEDUCTIONS | | |
| Benefit payments | 2,959,595 | 5,192,431 |
| Transfer to (from) forfeiture account, net | 2,683 | 53 |
| Trustee expenses and fees | 50,674 | 39,904 |
| Total deductions | 3,012,952 | 5,232,388 |
| NET INCREASE (DECREASE) | 8,163,636 | 4,835,485 |
| NET ASSETS AVAILABLE FOR BENEFITS - beginning of year | 39,486,714 | 34,651,229 |
| NET ASSETS AVAILABLE FOR BENEFITS - end of year | $ 47,650,350 | $ 39,486,714 |


The accompanying notes are an integral part of these statements.

## 1. DESCRIPTION OF THE PLAN

The following description of the ARGO Data Resource Corporation 401 (k) Plan ("Plan") provides only general information. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

### General

The Plan is a defined contribution plan covering substantially all employees of the Company who have completed one month of service and are 21 years of age or older. The Plan was established in accordance with Section 401(k) of the Internal Revenue Code ("IRC") and is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").

### Administration

Under the terms of a trust agreement with Fidelity Management Trust Company ("FMTC") and ARGO Data Resource Corporation 401 (k) Plan, Fidelity Investments Institutional Operations Company, Inc. ("FIIOC") is involved in the processing and record-keeping of Plan investment contributions and distributions. FMTC manages the Plan interest in the registered investment company. Accordingly, FMTC has been granted certain authority concerning investment and management powers.

Reasonable cost and expenses incurred in the administration of the plan may be charged to the Plan. In addition, ARGO Data Resource Corporation ("Company") may pay certain administrative expenses directly.

### Contributions

Participants may make regular contributions of up to 60% of pretax annual compensation, and may make additional contributions with approval from the employer of up to 100% of their effectively available compensation as defined in the Plan, not to exceed dollar amounts as set by federal regulations. Participants may also contribute amounts representing distributions from other qualified defined benefit or contribution plans. Participants direct the investment of their contributions into various investment options offered by the Plan. The Plan currently offers a broad range of investment alternatives for participants. The Company makes non-discretionary matching contributions on per paycheck basis in an amount equal to 50% of the first 6% of eligible compensation that a participant contributes to the Plan. Additional profit-sharing amounts may be contributed at the option of the Company. In addition, according to the plan document, participants shall be permitted to irrevocably designate a portion or all of the deferral contributions made as Roth 401(k) contributions that are includable in the participant’s gross income at the time of deferral. Contributions are subject to certain limitations by law up to the maximum contributions under Section 402(g) of the IRC.

1. DESCRIPTION OF THE PLAN (continued)

On January 3, 2012, the Plan filed an adoption agreement amendment to increase the automatic enrollment contribution percentage from 3% to 6%.

Vesting

Participant contributions, plus the earnings thereon, vest immediately. Participants become fully vested (20% per year beginning with year 2) in matching contributions and the earnings thereon upon the completion of 6 years of service, upon termination of the plan, upon attainment of normal retirement age, or upon death or disability. Forfeitures of the employer's contributions due to Participants withdrawing from the plan prior to full vesting may be used to reduce the employer's future contributions.

Loans

Participants may borrow from their fund accounts a minimum of $1,000 up to a maximum of $50,000 or 50% of their Participant's vested account balance. Loans are secured by the balance in the participant's account and bear interest at rates as determined by the Plan administrator. Loans must be repaid within 5 years (may exceed 5 years for a primary residence loan) through payroll deductions.

Distributions

The participant or beneficiary may elect to receive a distribution(s) upon retirement, termination (elective or due to disability), death, or in the event of financial hardship. The Trustee pays any benefit provided by the Plan directly to the participant or in the form of a direct rollover to another qualified plan or an IRA. All distribution(s) are made in lump-sum amounts up to the value of the funds allocated to the account of the participant, or substantially equal amounts over a period of time. Special rules apply to a distribution due to financial hardship.

Forfeited Accounts

At December 31, 2020 and 2019, forfeited non-vested accounts totaled $3,521 and $838, respectively. These accounts may be used to pay administrative expenses and to reduce future Company's contributions. During the years ended December 31, 2020 and 2019 Company contributions were reduced by $158,599 and $253,493, respectively, from forfeited nonvested accounts. Administrative expenses for the years ended December 31, 2020 and 2019 of $0 and $0, respectively, were paid from forfeited non-vested accounts.

## 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

### Plan Termination

The Company expects to continue the Plan indefinitely, but the Company's 401(k) Plan Committee reserves the right to terminate the Plan at any time and for any reason. Upon termination of the Plan, all benefits shall be fully vested, and each Participant will become 100% vested in their accounts.

The Trustee in a single lump sum payment shall make payment of such amounts to each Participant in accordance with plan provisions until the Trustee has distributed all assets.

The financial statements of the Plan are presented on the modified cash basis of accounting, which is a comprehensive accounting basis other than generally accepted accounting principles.

### Valuation of Investments

The Trustee uses quoted market prices to value all investments. Shares of mutual funds are valued at quoted market prices, which represent the net asset value of shares held by the Plan at year-end. Gains and losses on securities transactions are recorded on a current value basis. Unrealized gains and losses are calculated as current value of investments at the end of the plan year less current value at the beginning of the Plan year or acquisition cost if acquired during the Plan year.

### Use of Estimates

The preparation of financial statements in conformity with the accounting method described above requires the Plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Actual results could differ from those estimates.

## 3. FAIR VALUE MEASUREMENTS

The Plan's investments are reported at fair value in the accompanying statement of net assets available for benefit.

| December 31, 2020 | Fair Value | Fair Value Measurements Using: Quoted Prices in Active Markets for Identical Assets (Level 1) |
|---|---|---|
| Cash-money market reserves | $ 2,467,554 | $ 2,467,554 |
| Mutual funds, common stock | 44,718,128 | 44,718,128 |
| Total | $ 47,185,682 | $ 47,185,682 |

3. FAIR VALUE MEASUREMENTS (Continued)

| December 31, 2019 | Fair Value | Fair Value Measurements Using: Quoted Prices in Active Markets for Identical Assets (Level 1) |
|---|---|---|
| Cash-money market reserves | $ 1,570,840 | $ 1,570,840 |
| Mutual funds, common stock | 37,525,340 | 37,525,340 |
| Total | $ 39,096,180 | $ 39,096,180 |

FASB ASC 820-10 (formerly SFAS No. 157, Fair Value Measurements) establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. This hierarchy consists of three broad levels: Level 1 inputs consist of unadjusted quoted prices in active markets for identical assets and have the highest priority, and Level 3 inputs have the lowest priority. The Plan uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments. When available, the Plan measures fair value using Level 1 inputs because they generally provide the most reliable evidence of fair value. No Level 2 inputs were available to the Plan, and Level 3 inputs were only used when Level 1 or Level 2 inputs were not available.

Level 1 Fair Value Measurements

The fair value of mutual funds is valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the SEC. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded. The fair values of common stock are valued at the closing price reported on the active market on which the individual securities are traded based on quoted market prices.

Gains and losses (realized and unrealized) included in changes in net assets available for benefits for the year ended December 31, 2020 are reported in net investment gains (losses).

4. NOTES RECEIVABLE FROM PARTICIPANTS

| | December 31, 2020 Participant Loans | December 31, 2019 Participant Loans |
|---|---|---|
| Beginning balance | $ 391,372 | $ 365,536 |
| Total gains or losses (realized and unrealized) included in changes in net assets available for benefit | - | - |
| Purchases, sales, issuances, and settlements (net) | 76,817 | 25,836 |
| Ending balance | $ 468,189 | $ 391,372 |

5. INVESTMENTS

The following presents investments that are 5% or more of the Plan's net assets.
*party in interest

| | 2020 | 2019 |
|---|---|---|
| Mutual Funds: | | |
| *Fidelity 500 Index | $ 4,541,944 | $ 4,322,567 |
| TRP Growth Stock Fund | 5,004,291 | 3,917,593 |
| American Funds Target Date 2030 R6 | - | 2,721,936 |
| American Funds Target Date 2035 R6 | 2,725,008 | - |
| Vanguard Small-Cap Growth Index Fund | 2,915,472 | 2,292,038 |

6. RECONCILATION OF FINANCIAL STATEMENTS SCHEDULE H OF FORM 5500

The following is a reconciliation of assets available for benefits per the financial statements at December 31, 2020 and 2019, to Schedule H of Form 5500:

| | 2020 | 2019 |
|---|---|---|
| Net assets available for benefits per the financial statements | $ 47,650,350 | $ 39,486,714 |
| Forfeiture Account | 3,521 | 838 |
| Net assets available for benefits per Schedule H to the Form 5500 | $ 47,653,871 | $ 39,487,552 |

7. TAX STATUS OF THE PLAN

The Plan has adopted The Chase Retirement Solutions Retirement Plan (Profit Sharing/401(k) Plan), A Fidelity Prototype Plan of the Fidelity Management & Research Company.

The employer has not requested an individual determination letter from the Internal Revenue Service with respect to the Plan's qualifications, as it is not a requirement.

Management believes that the Plan is qualified under section 401(a) of the IRC and applicable sections of ERISA, and therefore the Trust is exempt from taxation under section 501(a). Accordingly, employee contributions, employer contributions, and earnings of the Plan are not taxable to the participants until distributed. Management is unaware of any variations in the operation of the Plan from the terms of the Plan documents, as amended, which would subject the Plan to disqualification. Management intends to maintain the Plan's qualification under the IRC and ERISA. Management has adopted all plan amendments as required by legislation. The Plan has complied with fidelity bonding requirements of ERISA.

The Plan is no longer subject to U.S. federal or state and local income tax examinations by tax authorities for years before 2017.

8. FINANCIAL DATA CERTIFIED BY THE TRUSTEE

The Plan administrator has elected the method of compliance permitted by Section 2520.103-8 of the Department of Labor Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, the Plan administrator has accepted the certification of FMTC that the unaudited financial information related to investments and investment income used in the preparation of the Plan's financial statements and Schedule of Assets Held for Investment is complete and accurate.

9. COVID-19

The COVID-19 pandemic sweeping across the country has resulted in mandatory closure of many businesses resulting in layoffs of much of the workforce. The economic effects of those closures have not adversely affected the plan sponsor.

10. SUBSEQUENT EVENTS

The plan administrator has evaluated subsequent events through June 16, 2021, the date the financial statements were available to be issued.

Supplemental Schedule

ARGO Data Resource Corporation 401 (k) Plan
Schedule H (form 5500, Line 4i) - Assets Held for Investment
Sponsor EIN 75-2300387; PN 001
December 31, 2020

| (a) | (b) Identity of issue, borrower, lessor, or similar party | ( c) Description of Investments | (d) Cost | (e) Fair Value |
|---|---|---|---|---|
| | Brokerage Link | Common Stocks | ** | $ 2,012,091 |
| | Brokerage Link | Cash | ** | 561,909 |
| * | Fidelity | Government Income Fund | ** | 68,791 |
| * | Fidelity | Government Money Market Fund | ** | 1,717,288 |
| * | Fidelity | US Bond Index | ** | 1,155,680 |
| * | Fidelity | 500 Index | ** | 4,541,944 |
| * | Fidelity | Mid Cap Index | ** | 352,435 |
| * | Fidelity | Small Cap Index | ** | 113,564 |
| * | Fidelity | Total International Index | ** | 1,389,675 |
| | T. Rowe Price | TRP Global Technology Fund | ** | 2,027,479 |
| | T. Rowe Price | TRP Growth Stock Fund | ** | 5,004,291 |
| | American Funds | Target date 2020 R6 | ** | 464,773 |
| | American Funds | Target date 2030 R6 | ** | 1,831,323 |
| | American Funds | Target date 2040 R6 | ** | 2,052,798 |
| | American Funds | Target date 2050 R6 | ** | 2,248,332 |
| | American Funds | Target date 2015 R6 | ** | 1,611,999 |
| | American Funds | Target date 2025 R6 | ** | 1,437,681 |
| | American Funds | Target date 2035 R6 | ** | 2,725,008 |
| | American Funds | Target date 2045 R6 | ** | 2,214,030 |
| | American Funds | Target date 2055 R6 | ** | 998,233 |
| | Baird | Baird Core Plus Bond Fund Class Inst | ** | 1,457,053 |
| | Morgan Stanley | MS Insight IS | ** | 769,443 |
| | American Century | Am Cent Mid-Cap Investment | ** | 516,219 |
| | American Beacon Funds | Beacon Small Cap Value Fund Class Inst | ** | 794,805 |
| | Wells Fargo | Advantage Emerging Markets Equity R6 | ** | 316,786 |
| | Pioneer | Pioneer Strategy Income Fund Class K | ** | 776,567 |
| | Davis | Davis International Y | ** | 451,410 |
| | Vanguard | Vanguard Mid Cap Growth Index Fund Admiral Shares | ** | 1,674,946 |
| | American Funds | AF Balanced R6 | ** | 1,168,011 |
| | JP Morgan | Equity Income R6 | ** | 1,395,294 |
| | American Funds | Target date 2060 R6 | ** | 420,352 |
| | Vanguard | Vanguard Small-Cap Growth Index Fund Admiral Shares | ** | 2,915,472 |
| | | Total mutual funds | | $ 47,185,682 |
| | Participant Loan Balances at Estimated Market Prices | | | |
| | Term: 1 - 10 years | Interest rates: 4.25 - 10.50% | | |
| | Maturity: Monthly until paid in full | | | |
| | Collateral: Participant account balances | | -0- | 468,189 |
| | Total assets held for investment | | | $ 47,653,871 |

*Column (a) indicates each identified entity known to be party-in-interest
**Column (d) amounts have not been included, as it is not necessary to disclose the cost of participant directed investments


See accountants' report

# ARGO DATA RESOURCE CORPORATION
# 401 (k) PLAN

## FINANCIAL STATEMENTS
## AND INDEPENDENT AUDITORS' REPORT

## DECEMBER 31, 2020 AND 2019

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| Statements of Changes in Net Assets Available for Benefits - Modified Cash Basis | 4 |
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*OTHER SCHEDULES REQUIRED BY 29CFR 2520.103-10 OF THE DEPARTMENT OF LABOR'S RULES AND REGULATIONS FOR REPORTING AND DISCLOSURE UNDER EMPLOYEE RETIREMENT INCOME SECURITY ACT OF 1974 HAS BEEN OMITTED BECAUSE THEY ARE NOT APPLICABLE.

# Malnory, McNeal & Company, PC
Certified Public Accountants

Mark W. Malnory, CPA
Johnna W. McNeal, CPA
Les S. Malnory, CPA
E.J. Musharbash, CPA
Beverly Smith, CPA
James R. Smith, CPA

Members of
American Institute of Certified Public Accountants
Texas Society of Certified Public Accountants
AICPA Governmental Audit Quality Center

Elizabeth Hamm, CPA
Matthew Doyle, CPA
Yvonne Yu, CPA
Imran Zamani, CPA


## Independent Auditors' Report

Board of Trustees
ARGO Data Resource Corporation 401 (k) Plan

**Report on the Financial Statements**

We were engaged to audit the accompanying financial statements of the ARGO Data Resource Corporation 401(k) Plan, which comprise the statements of net assets available for benefits (modified cash basis) as of December 31, 2020 and 2019, and the related statements of changes in net assets available for benefits (modified cash basis) for the years then ended, and the related notes to the financial statements.

**Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with the modified cash basis of accounting described in Note 2; this includes determining that the modified cash basis of accounting is an acceptable basis for the preparation of the financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

**Auditor's Responsibility**

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

**Basis for Disclaimer of Opinion**

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 8, which was certified by Fidelity Management Trust Company, the trustee of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedule. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the trustee as of and for the years ended December 31, 2020 and 2019, that the information provided to the plan administrator by the trustee is complete and accurate.



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**Disclaimer of Opinion**

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

**Basis of Accounting**

We draw attention to Note 2 of the financial statements, which describes the basis of accounting. The financial statements and supplemental schedule were prepared on a modified cash basis of accounting, which is a basis of accounting other than accounting principles generally accepted in the United States of America. Our opinion is not modified with respect to that matter.

**Other Matter**

The supplemental schedule of Assets Held for Investment as of December 31, 2020, is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule referred to above.

**Report on Form and Content in Compliance With DOL Rules and Regulations**

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.



Dallas, Texas
June 16, 2021

ARGO Data Resource Corporation 401 (k) Plan
Statements of Net Assets Available for Benefits
(modified cash basis)
December 31,

| | 2020 | 2019 |
|---|---|---|
| ASSETS | | |
| Investments, at fair value | $ 47,185,682 | $ 39,096,180 |
| Notes receivable from participants | 468,189 | 391,372 |
| Total assets | 47,653,871 | 39,487,552 |
| LIABILITIES | | |
| Deferred credits-forfeiture account | 3,521 | 838 |
| Total liabilities | 3,521 | 838 |
| NET ASSETS AVAILABLE FOR BENEFITS | $ 47,650,350 | $ 39,486,714 |


The accompanying notes are an integral part of these statements.

ARGO Data Resource Corporation 401 (k) Plan
Statements of Changes in Net Assets Available for Benefits
(modified cash basis)
Years Ended December 31,

| | 2020 | 2019 |
|---|---|---|
| ADDITIONS | | |
| Investment income: | | |
| Interest and dividends | $ 1,242,309 | $ 1,066,517 |
| Net investment gains(losses) | 6,469,334 | 6,745,304 |
| Total investment income (loss) | 7,711,643 | 7,811,821 |
| Interest income on notes receivable from participants | 25,014 | 20,184 |
| Contributions: | | |
| Employer match contribution | 433,225 | 330,806 |
| Employee elective deferrals | 2,063,115 | 1,854,880 |
| Employee rollover | 943,591 | 50,182 |
| Total contributions | 3,439,931 | 2,235,868 |
| Total additions (losses) | 11,176,588 | 10,067,873 |
| DEDUCTIONS | | |
| Benefit payments | 2,959,595 | 5,192,431 |
| Transfer to (from) forfeiture account, net | 2,683 | 53 |
| Trustee expenses and fees | 50,674 | 39,904 |
| Total deductions | 3,012,952 | 5,232,388 |
| NET INCREASE (DECREASE) | 8,163,636 | 4,835,485 |
| NET ASSETS AVAILABLE FOR BENEFITS - beginning of year | 39,486,714 | 34,651,229 |
| NET ASSETS AVAILABLE FOR BENEFITS - end of year | $ 47,650,350 | $ 39,486,714 |


The accompanying notes are an integral part of these statements.

## 1. DESCRIPTION OF THE PLAN

The following description of the ARGO Data Resource Corporation 401 (k) Plan ("Plan") provides only general information. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

### General

The Plan is a defined contribution plan covering substantially all employees of the Company who have completed one month of service and are 21 years of age or older. The Plan was established in accordance with Section 401(k) of the Internal Revenue Code ("IRC") and is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").

### Administration

Under the terms of a trust agreement with Fidelity Management Trust Company ("FMTC") and ARGO Data Resource Corporation 401 (k) Plan, Fidelity Investments Institutional Operations Company, Inc. ("FIIOC") is involved in the processing and record-keeping of Plan investment contributions and distributions. FMTC manages the Plan interest in the registered investment company. Accordingly, FMTC has been granted certain authority concerning investment and management powers.

Reasonable cost and expenses incurred in the administration of the plan may be charged to the Plan. In addition, ARGO Data Resource Corporation ("Company") may pay certain administrative expenses directly.

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Participants may make regular contributions of up to 60% of pretax annual compensation, and may make additional contributions with approval from the employer of up to 100% of their effectively available compensation as defined in the Plan, not to exceed dollar amounts as set by federal regulations. Participants may also contribute amounts representing distributions from other qualified defined benefit or contribution plans. Participants direct the investment of their contributions into various investment options offered by the Plan. The Plan currently offers a broad range of investment alternatives for participants. The Company makes non-discretionary matching contributions on per paycheck basis in an amount equal to 50% of the first 6% of eligible compensation that a participant contributes to the Plan. Additional profit-sharing amounts may be contributed at the option of the Company. In addition, according to the plan document, participants shall be permitted to irrevocably designate a portion or all of the deferral contributions made as Roth 401(k) contributions that are includable in the participant’s gross income at the time of deferral. Contributions are subject to certain limitations by law up to the maximum contributions under Section 402(g) of the IRC.

1. DESCRIPTION OF THE PLAN (continued)

On January 3, 2012, the Plan filed an adoption agreement amendment to increase the automatic enrollment contribution percentage from 3% to 6%.

Vesting

Participant contributions, plus the earnings thereon, vest immediately. Participants become fully vested (20% per year beginning with year 2) in matching contributions and the earnings thereon upon the completion of 6 years of service, upon termination of the plan, upon attainment of normal retirement age, or upon death or disability. Forfeitures of the employer's contributions due to Participants withdrawing from the plan prior to full vesting may be used to reduce the employer's future contributions.

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Participants may borrow from their fund accounts a minimum of $1,000 up to a maximum of $50,000 or 50% of their Participant's vested account balance. Loans are secured by the balance in the participant's account and bear interest at rates as determined by the Plan administrator. Loans must be repaid within 5 years (may exceed 5 years for a primary residence loan) through payroll deductions.

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Forfeited Accounts

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The Company expects to continue the Plan indefinitely, but the Company's 401(k) Plan Committee reserves the right to terminate the Plan at any time and for any reason. Upon termination of the Plan, all benefits shall be fully vested, and each Participant will become 100% vested in their accounts.

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### Valuation of Investments

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### Use of Estimates

The preparation of financial statements in conformity with the accounting method described above requires the Plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Actual results could differ from those estimates.

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| Mutual funds, common stock | 44,718,128 | 44,718,128 |
| Total | $ 47,185,682 | $ 47,185,682 |

3. FAIR VALUE MEASUREMENTS (Continued)

| December 31, 2019 | Fair Value | Fair Value Measurements Using: Quoted Prices in Active Markets for Identical Assets (Level 1) |
|---|---|---|
| Cash-money market reserves | $ 1,570,840 | $ 1,570,840 |
| Mutual funds, common stock | 37,525,340 | 37,525,340 |
| Total | $ 39,096,180 | $ 39,096,180 |

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Level 1 Fair Value Measurements

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Gains and losses (realized and unrealized) included in changes in net assets available for benefits for the year ended December 31, 2020 are reported in net investment gains (losses).

4. NOTES RECEIVABLE FROM PARTICIPANTS

| | December 31, 2020 Participant Loans | December 31, 2019 Participant Loans |
|---|---|---|
| Beginning balance | $ 391,372 | $ 365,536 |
| Total gains or losses (realized and unrealized) included in changes in net assets available for benefit | - | - |
| Purchases, sales, issuances, and settlements (net) | 76,817 | 25,836 |
| Ending balance | $ 468,189 | $ 391,372 |

5. INVESTMENTS

The following presents investments that are 5% or more of the Plan's net assets.
*party in interest

| | 2020 | 2019 |
|---|---|---|
| Mutual Funds: | | |
| *Fidelity 500 Index | $ 4,541,944 | $ 4,322,567 |
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| American Funds Target Date 2030 R6 | - | 2,721,936 |
| American Funds Target Date 2035 R6 | 2,725,008 | - |
| Vanguard Small-Cap Growth Index Fund | 2,915,472 | 2,292,038 |

6. RECONCILATION OF FINANCIAL STATEMENTS SCHEDULE H OF FORM 5500

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| | 2020 | 2019 |
|---|---|---|
| Net assets available for benefits per the financial statements | $ 47,650,350 | $ 39,486,714 |
| Forfeiture Account | 3,521 | 838 |
| Net assets available for benefits per Schedule H to the Form 5500 | $ 47,653,871 | $ 39,487,552 |

7. TAX STATUS OF THE PLAN

The Plan has adopted The Chase Retirement Solutions Retirement Plan (Profit Sharing/401(k) Plan), A Fidelity Prototype Plan of the Fidelity Management & Research Company.

The employer has not requested an individual determination letter from the Internal Revenue Service with respect to the Plan's qualifications, as it is not a requirement.

Management believes that the Plan is qualified under section 401(a) of the IRC and applicable sections of ERISA, and therefore the Trust is exempt from taxation under section 501(a). Accordingly, employee contributions, employer contributions, and earnings of the Plan are not taxable to the participants until distributed. Management is unaware of any variations in the operation of the Plan from the terms of the Plan documents, as amended, which would subject the Plan to disqualification. Management intends to maintain the Plan's qualification under the IRC and ERISA. Management has adopted all plan amendments as required by legislation. The Plan has complied with fidelity bonding requirements of ERISA.

The Plan is no longer subject to U.S. federal or state and local income tax examinations by tax authorities for years before 2017.

8. FINANCIAL DATA CERTIFIED BY THE TRUSTEE

The Plan administrator has elected the method of compliance permitted by Section 2520.103-8 of the Department of Labor Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, the Plan administrator has accepted the certification of FMTC that the unaudited financial information related to investments and investment income used in the preparation of the Plan's financial statements and Schedule of Assets Held for Investment is complete and accurate.

9. COVID-19

The COVID-19 pandemic sweeping across the country has resulted in mandatory closure of many businesses resulting in layoffs of much of the workforce. The economic effects of those closures have not adversely affected the plan sponsor.

10. SUBSEQUENT EVENTS

The plan administrator has evaluated subsequent events through June 16, 2021, the date the financial statements were available to be issued.

Supplemental Schedule

ARGO Data Resource Corporation 401 (k) Plan
Schedule H (form 5500, Line 4i) - Assets Held for Investment
Sponsor EIN 75-2300387; PN 001
December 31, 2020

| (a) | (b) Identity of issue, borrower, lessor, or similar party | ( c) Description of Investments | (d) Cost | (e) Fair Value |
|---|---|---|---|---|
| | Brokerage Link | Common Stocks | ** | $ 2,012,091 |
| | Brokerage Link | Cash | ** | 561,909 |
| * | Fidelity | Government Income Fund | ** | 68,791 |
| * | Fidelity | Government Money Market Fund | ** | 1,717,288 |
| * | Fidelity | US Bond Index | ** | 1,155,680 |
| * | Fidelity | 500 Index | ** | 4,541,944 |
| * | Fidelity | Mid Cap Index | ** | 352,435 |
| * | Fidelity | Small Cap Index | ** | 113,564 |
| * | Fidelity | Total International Index | ** | 1,389,675 |
| | T. Rowe Price | TRP Global Technology Fund | ** | 2,027,479 |
| | T. Rowe Price | TRP Growth Stock Fund | ** | 5,004,291 |
| | American Funds | Target date 2020 R6 | ** | 464,773 |
| | American Funds | Target date 2030 R6 | ** | 1,831,323 |
| | American Funds | Target date 2040 R6 | ** | 2,052,798 |
| | American Funds | Target date 2050 R6 | ** | 2,248,332 |
| | American Funds | Target date 2015 R6 | ** | 1,611,999 |
| | American Funds | Target date 2025 R6 | ** | 1,437,681 |
| | American Funds | Target date 2035 R6 | ** | 2,725,008 |
| | American Funds | Target date 2045 R6 | ** | 2,214,030 |
| | American Funds | Target date 2055 R6 | ** | 998,233 |
| | Baird | Baird Core Plus Bond Fund Class Inst | ** | 1,457,053 |
| | Morgan Stanley | MS Insight IS | ** | 769,443 |
| | American Century | Am Cent Mid-Cap Investment | ** | 516,219 |
| | American Beacon Funds | Beacon Small Cap Value Fund Class Inst | ** | 794,805 |
| | Wells Fargo | Advantage Emerging Markets Equity R6 | ** | 316,786 |
| | Pioneer | Pioneer Strategy Income Fund Class K | ** | 776,567 |
| | Davis | Davis International Y | ** | 451,410 |
| | Vanguard | Vanguard Mid Cap Growth Index Fund Admiral Shares | ** | 1,674,946 |
| | American Funds | AF Balanced R6 | ** | 1,168,011 |
| | JP Morgan | Equity Income R6 | ** | 1,395,294 |
| | American Funds | Target date 2060 R6 | ** | 420,352 |
| | Vanguard | Vanguard Small-Cap Growth Index Fund Admiral Shares | ** | 2,915,472 |
| | | Total mutual funds | | $ 47,185,682 |
| | Participant Loan Balances at Estimated Market Prices | | | |
| | Term: 1 - 10 years | Interest rates: 4.25 - 10.50% | | |
| | Maturity: Monthly until paid in full | | | |
| | Collateral: Participant account balances | | -0- | 468,189 |
| | Total assets held for investment | | | $ 47,653,871 |

*Column (a) indicates each identified entity known to be party-in-interest
**Column (d) amounts have not been included, as it is not necessary to disclose the cost of participant directed investments


See accountants' report