

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 <div style="text-align: center; font-size: 24pt; font-weight: bold;">2019</div> This Form is Open to Public Inspection
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Part I	Annual Report Identification Information For calendar plan year 2019 or fiscal plan year beginning <u>10/01/2019</u> and ending <u>09/30/2020</u>
A This return/report is for: <div style="display: flex; justify-content: space-between;"> <div> ☐ a multiemployer plan </div> <div> ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) </div> </div>	
<div style="display: flex; justify-content: space-between;"> <div> ☒ a single-employer plan </div> <div> ☐ a DFE (specify) ____ </div> </div>	
B This return/report is: <div style="display: flex; justify-content: space-between;"> <div> ☐ the first return/report </div> <div> ☐ the final return/report </div> </div>	
<div style="display: flex; justify-content: space-between;"> <div> ☐ an amended return/report </div> <div> ☐ a short plan year return/report (less than 12 months) </div> </div>	
C If the plan is a collectively-bargained plan, check here. ☐	
D Check box if filing under: <div style="display: flex; justify-content: space-between;"> <div> ☒ Form 5558 </div> <div> ☐ automatic extension </div> <div> ☐ the DFVC program </div> </div>	
☐ special extension (enter description)	

Part II	Basic Plan Information —enter all requested information						
1a Name of plan <u>ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>003</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>10/01/2007</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>003</u>	1c Effective date of plan <u>10/01/2007</u>			
1b Three-digit plan number (PN) ▶	<u>003</u>						
1c Effective date of plan <u>10/01/2007</u>							
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>ALLISON TRANSMISSION, INC.</u> <u>ONE ALLISON WAY</u> <u>INDIANAPOLIS, IN 46222-3271</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2">2b Employer Identification Number (EIN) <u>26-0413897</u></td> </tr> <tr> <td colspan="2">2c Plan Sponsor's telephone number <u>317-242-3561</u></td> </tr> <tr> <td colspan="2">2d Business code (see instructions) <u>336300</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>26-0413897</u>		2c Plan Sponsor's telephone number <u>317-242-3561</u>		2d Business code (see instructions) <u>336300</u>	
2b Employer Identification Number (EIN) <u>26-0413897</u>							
2c Plan Sponsor's telephone number <u>317-242-3561</u>							
2d Business code (see instructions) <u>336300</u>							

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	07/12/2021	DOUG R. BOLTON
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

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3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN
5 Total number of participants at the beginning of the plan year	5 798
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	6a(1) 356 6a(2) 263 6b 341 6c 136 6d 740 6e 17 6f 757 6g 6h 0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

1A 1C 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1)** ☒ **R** (Retirement Plan Information)
- (2)** ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3)** ☒ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- (1)** ☒ **H** (Financial Information)
- (2)** ☐ **I** (Financial Information – Small Plan)
- (3)** ☐ 0 **A** (Insurance Information)
- (4)** ☒ **C** (Service Provider Information)
- (5)** ☒ **D** (DFE/Participating Plan Information)
- (6)** ☐ **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2019 Form M-1 annual report. If the plan was not required to file the 2019 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500 or 5500-SF.	<small>OMB No. 1210-0110</small> 2019 This Form is Open to Public Inspection
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For calendar plan year 2019 or fiscal plan year beginning 10/01/2019 and ending 09/30/2020

► **Round off amounts to nearest dollar.**

► **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan <u>ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</u>	B Three-digit plan number (PN) ►	<u>003</u>
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF <u>ALLISON TRANSMISSION, INC.</u>	D Employer Identification Number (EIN) <u>26-0413897</u>	
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B		
F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500		

Part I	Basic Information		
1	Enter the valuation date: Month <u>10</u> Day <u>01</u> Year <u>2019</u>		
2	Assets:		
	a Market value	2a	<u>111462943</u>
	b Actuarial value	2b	<u>111462943</u>
3	Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target
	a For retired participants and beneficiaries receiving payment.....	<u>317</u>	<u>23613504</u>
	b For terminated vested participants.....	<u>125</u>	<u>5254574</u>
	c For active participants	<u>356</u>	<u>44350099</u>
	d Total.....	<u>798</u>	<u>73218177</u>
4	If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐		
	a Funding target disregarding prescribed at-risk assumptions	4a	
	b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor.....	4b	
5	Effective interest rate	5	<u>5.62</u> %
6	Target normal cost.....	6	<u>3594315</u>

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	<div style="border-bottom: 1px solid black; margin-bottom: 10px;"></div> <div style="text-align: center;">Signature of actuary</div> <div style="border-bottom: 1px solid black; margin-bottom: 10px;"></div> <div style="text-align: center;">Type or print name of actuary</div> <div style="border-bottom: 1px solid black; margin-bottom: 10px;"></div> <div style="text-align: center;">Firm name</div> <div style="border-bottom: 1px solid black; margin-bottom: 10px;"></div> <div style="text-align: center;">Address of the firm</div>	<div style="border-bottom: 1px solid black; margin-bottom: 10px;"></div> <div style="text-align: center;">Date</div> <div style="border-bottom: 1px solid black; margin-bottom: 10px;"></div> <div style="text-align: center;">Most recent enrollment number</div> <div style="border-bottom: 1px solid black; margin-bottom: 10px;"></div> <div style="text-align: center;">Telephone number (including area code)</div>
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DAVID L. JAKES, FSA, EA, MAAA

MERCER

325 JOHN H MCCONNELL BLVD
SUITE 350
COLUMBUS, OH 43215

07/07/2021

20-05110

614-227-5555

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice, see the Instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2019
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Part II Beginning of Year Carryover and Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9 Amount remaining (line 7 minus line 8)	0	0
10 Interest on line 9 using prior year's actual return of <u>16.13</u> %	0	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		0
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>5.80</u> %		0
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		
c Total available at beginning of current plan year to add to prefunding balance		0
d Portion of (c) to be added to prefunding balance		
12 Other reductions in balances due to elections or deemed elections	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	0

Part III Funding Percentages

14 Funding target attainment percentage	14	152.23 %
15 Adjusted funding target attainment percentage	15	152.23 %
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	149.34 %
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
Totals ▶			18(b)	0	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	0

20 Quarterly contributions and liquidity shortfalls:

- a** Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No
- b** If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No
- c** If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost**21** Discount rate:**a** Segment rates:1st segment:
3.74 %2nd segment:
5.35 %3rd segment:
6.11 %☐ N/A, full yield curve used**b** Applicable month (enter code)**21b**

0

22 Weighted average retirement age**22**

61

23 Mortality table(s) (see instructions)☐

Prescribed - combined

☒

Prescribed - separate

☐

Substitute

Part VI Miscellaneous Items**24** Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No**25** Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No**26** Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No**27** If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.**27****Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years****28** Unpaid minimum required contributions for all prior years **28** 0**29** Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a) **29** 0**30** Remaining amount of unpaid minimum required contributions (line 28 minus line 29) **30** 0**Part VIII Minimum Required Contribution For Current Year****31** Target normal cost and excess assets (see instructions):**a** Target normal cost (line 6) **31a** 3594315**b** Excess assets, if applicable, but not greater than line 31a **31b** 3594315**32** Amortization installments:**a** Net shortfall amortization installment Outstanding Balance 0 Installment 0**b** Waiver amortization installment 0 0**33** If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount **33****34** Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33) **34** 0**35** Balances elected for use to offset funding requirement Carryover balance 0 Prefunding balance 0 Total balance 0**36** Additional cash requirement (line 34 minus line 35) **36** 0**37** Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c) **37** 0**38** Present value of excess contributions for current year (see instructions)**a** Total (excess, if any, of line 37 over line 36) **38a** 0**b** Portion included in line 38a attributable to use of prefunding and funding standard carryover balances **38b****39** Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37) **39** 0**40** Unpaid minimum required contributions for all years **40** 0**Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)****41** If an election was made to use PRA 2010 funding relief for this plan:**a** Schedule elected ☐ 2 plus 7 years ☐ 15 years**b** Eligible plan year(s) for which the election in line 41a was made ☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2019
		This Form is Open to Public Inspection.

For calendar plan year 2019 or fiscal plan year beginning 10/01/2019 and ending 09/30/2020	
A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES	B Three-digit plan number (PN) ▶ 003
C Plan sponsor's name as shown on line 2a of Form 5500 ALLISON TRANSMISSION, INC.	D Employer Identification Number (EIN) 26-0413897

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation	
DIMENSIONAL FUND ADVISORS LP	
30-0447847	

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation	
EATON VANCE MANAGEMENT (EVM)	TWO INTERNATIONAL PLACE BOSTON, MA 02110

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation	
PIMCO	
33-0629048	

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation	
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

BANK OF AMERICA

94-1687665

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
49	NONE	93443	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

SLC MANAGEMENT

68-0635051

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	91369	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WELLS FARGO BANK NA

94-1347393

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 15	NONE	75097	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

CAPFINANCIAL PARTNERS, LLC

26-0058143

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	38000	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WELLS FARGO FUND MANAGEMENT, LLC

71-0828842

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

HARTFORD FUNDS MANAGEMENT GROUP

PO BOX 55022
BOSTON, MA 02205-5022

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

PRINCIPAL GLOBAL INVESTORS

711 HIGH STREET
DES MOINES, IA 50392

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

BLACKSTONE ALTERNATIVE INV ADVISORS

345 PARK AVENUE, 28TH FLOOR
NEW YORK, NY 10154

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
WELLS FARGO FUNDS MANAGEMENT, INC.	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WELLS FARGO TREASURY PLUS MONEY MKT 71-0828842	0.20% INVESTMENT MANAGEMENT FEE	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
HARTFORD FUNDS MANAGEMENT GROUP	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARTFORD INTERNATIONAL OPPS FUND PO BOX 55022 BOSTON, MA 02205-5022	0.77% INVESTMENT MANAGEMENT FEE	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
PRINCIPAL GLOBAL INVESTORS	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRINCIPAL REAL ESTATE SECURITIES 711 HIGH STREET DES MOINES, IA 50392	0.91% INVESTMENT MANAGEMENT FEE	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
BLACKSTONE ALTERNATIVE INV ADVISORS	52	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKSTONE ALTERNATIVE MULTI-STRAT 345 PARK AVENUE, 28TH FLOOR NEW YORK, NY 10154	3.01% INVESTMENT MANAGEMENT FEE

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection.
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For calendar plan year 2019 or fiscal plan year beginning 10/01/2019 and ending 09/30/2020

A Name of plan <u>ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</u>	B Three-digit plan number (PN) ►	<u>003</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>ALLISON TRANSMISSION, INC.</u>	D Employer Identification Number (EIN) <u>26-0413897</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: <u>IRT MIRRORED HOLDING</u>		
b Name of sponsor of entity listed in (a): <u>NORTHERN TRUST INVESTMENTS, INC.</u>		
c EIN-PN <u>26-0413897-001</u>	d Entity code <u>P</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>4584093</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>CASH</u>		
b Name of sponsor of entity listed in (a): <u>NORTHERN TRUST INVESTMENTS, INC.</u>		
c EIN-PN <u>26-0413897-002</u>	d Entity code <u>P</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>23</u>
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection
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For calendar plan year 2019 or fiscal plan year beginning 10/01/2019 and ending 09/30/2020		
A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES	B Three-digit plan number (PN) ►	003
C Plan sponsor's name as shown on line 2a of Form 5500 ALLISON TRANSMISSION, INC.	D Employer Identification Number (EIN) 26-0413897	

Part I	Asset and Liability Statement
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1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	0
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)	0	0
(2) Participant contributions.....	1b(2)		
(3) Other.....	1b(3)	600005	613154
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	1390099	2880908
(2) U.S. Government securities	1c(2)	28978178	24924521
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	53088156	58750095
(B) All other.....	1c(3)(B)	10691603	9453260
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common.....	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property).....	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans.....	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)	4569636	4584116
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities.....	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	11392593	11612382
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)	752673	902963

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	111462943	113721399

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	111462943	113721399
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	0	
(B) Participants	2a(1)(B)	0	
(C) Others (including rollovers)	2a(1)(C)	0	
(2) Noncash contributions	2a(2)	0	
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		0
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	13563	
(B) U.S. Government securities	2b(1)(B)	3349666	
(C) Corporate debt instruments	2b(1)(C)	2127396	
(D) Loans (other than to participants)	2b(1)(D)	0	
(E) Participant loans	2b(1)(E)	0	
(F) Other	2b(1)(F)	166065	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		5656690
(2) Dividends: (A) Preferred stock	2b(2)(A)	0	
(B) Common stock	2b(2)(B)	0	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	173241	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		173241
(3) Rents	2b(3)		0
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	86635057	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	87385078	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		-750021
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	0	
(B) Other	2b(5)(B)	5856800	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		5856800

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		828480
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		474750
c Other income	2c		18332
d Total income. Add all income amounts in column (b) and enter total	2d		12258272

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	9638325	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		9638325
f Corrective distributions (see instructions)	2f		0
g Certain deemed distributions of participant loans (see instructions)	2g		0
h Interest expense	2h		0
i Administrative expenses: (1) Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	75097	
(3) Investment advisory and management fees	2i(3)	222858	
(4) Other	2i(4)	63536	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		361491
j Total expenses. Add all expense amounts in column (b) and enter total	2j		9999816

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		2258456
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unmodified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **BKD, LLP**

(2) EIN: **44-0160260**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b		X	

		Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.).....	4d		X	
e Was this plan covered by a fidelity bond?	4e	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?.....	4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?.....	4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l Has the plan failed to provide any benefit when due under the plan?.....	4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☒ No
 If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ☒ Yes ☐ No ☐ Not determined
 If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 4288333. (See instructions.)

<div>SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Retirement Plan Information</div> <div>This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500.</div>	<div>OMB No. 1210-0110</div> <div>2019</div> <div>This Form is Open to Public Inspection.</div>
For calendar plan year 2019 or fiscal plan year beginning 10/01/2019 and ending 09/30/2020		
A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES		B Three-digit plan number (PN) ▶ 003
C Plan sponsor's name as shown on line 2a of Form 5500 ALLISON TRANSMISSION, INC.		D Employer Identification Number (EIN) 26-0413897
Part I	Distributions	
All references to distributions relate only to payments of benefits during the plan year.		
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions		1 0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 26-6103167		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year		3 41
Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)	
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ☐ Yes ☐ No ☒ N/A If the plan is a defined benefit plan, go to line 8.		
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.		
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)		6a
b Enter the amount contributed by the employer to the plan for this plan year		6b
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)		6c
If you completed line 6c, skip lines 8 and 9.		
7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ☐ Yes ☐ No ☐ N/A		
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ☐ Yes ☐ No ☒ N/A		
Part III	Amendments	
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ☐ Increase ☐ Decrease ☐ Both ☒ No		
Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.	
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ☐ Yes ☐ No		
11 a Does the ESOP hold any preferred stock? ☐ Yes ☐ No		
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ☐ Yes ☐ No		
12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ☐ Yes ☐ No		
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.		
Schedule R (Form 5500) 2019 v. 190130		

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year

b The plan year immediately preceding the current plan year

c The second preceding plan year

14a	
14b	
14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year

b The corresponding number for the second preceding plan year

15a	
15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers

16a	
16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☒ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

**Allison Transmission Retirement Program for
Salaried Employees**

EIN 26-0413897 PN 003

Independent Auditor's Report and Financial Statements

September 30, 2020 and 2019

Allison Transmission Retirement Program for Salaried Employees

September 30, 2020 and 2019

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Independent Auditor's Report

Plan Administrator
Allison Transmission Retirement Program for
Salaried Employees
Indianapolis, Indiana

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Allison Transmission Retirement Program for Salaried Employees, which comprise the statements of net assets available for benefits as of September 30, 2020 and 2019, and the related statements of changes in net assets available for benefits for the years then ended, and the statement of accumulated plan benefits as of October 1, 2019 and the related statement of changes in accumulated plan benefits for the year ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting our audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974*, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Wells Fargo Bank, N.A., the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan Administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained certifications from the trustee as of and for the years ended September 30, 2020 and 2019, that the information provided to the Plan Administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedules listed in the table of contents are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974* and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on these supplemental schedules.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974*.

BKD, LLP

Indianapolis, Indiana
July 6, 2021

Federal Employer Identification Number: 44-0160260

Allison Transmission Retirement Program for Salaried Employees

Statements of Net Assets Available for Benefits September 30, 2020 and 2019

Assets

	<u>2020</u>	<u>2019</u>
Investments , at fair value	\$ 113,108,245	\$ 110,862,938
Receivables		
Accrued interest income	<u>613,154</u>	<u>600,005</u>
Net Assets Available for Benefits	<u><u>\$ 113,721,399</u></u>	<u><u>\$ 111,462,943</u></u>

Allison Transmission Retirement Program for Salaried Employees

Statements of Changes in Net Assets Available for Benefits Years Ended September 30, 2020 and 2019

	<u>2020</u>	<u>2019</u>
Additions		
Investment Income		
Net appreciation in fair value of investments	\$ 6,410,008	\$ 11,869,917
Interest and dividends	5,840,850	4,117,906
Other income	7,415	11,123
Net investment income	<u>12,258,273</u>	<u>15,998,946</u>
Deductions		
Benefits paid to participants	9,638,325	6,676,116
Administrative expenses	297,956	291,945
Pension Benefit Guaranty Corporation premiums	63,536	61,149
Total	<u>9,999,817</u>	<u>7,029,210</u>
Net Increase	2,258,456	8,969,736
Net Assets Available for Benefits, Beginning of Year	<u>111,462,943</u>	<u>102,493,207</u>
Net Assets Available for Benefits, End of Year	<u><u>\$ 113,721,399</u></u>	<u><u>\$ 111,462,943</u></u>

Allison Transmission Retirement Program for Salaried Employees

Statement of Accumulated Plan Benefits and Changes in Accumulated Plan Benefits October 1, 2019

Statement of Accumulated Plan Benefits

	October 1, 2019
	<hr/>
Vested benefits	
Participants and beneficiaries currently receiving payments	\$ 26,650,123
Other participants	67,023,803
	<hr/>
	93,673,926
Nonvested benefits	<hr/>
	-
	<hr/>
Total actuarial present value of accumulated plan benefits	<hr/> \$ 93,673,926 <hr/>

Statement of Changes in Accumulated Plan Benefits

	Year Ended October 1, 2019
	<hr/>
Actuarial present value of accumulated plan benefits, beginning of year	\$ 83,517,262
	<hr/>
Increase during the year and period attributable to	
Benefits accumulated	6,237,209
Increase for interest due to the decrease in the discount period	3,595,546
Benefits paid	(6,676,116)
Change in actuarial assumptions (interest, mortality and certain lump sum conversion factors)	7,000,025
Net increase	<hr/> 10,156,664 <hr/>
Actuarial present value of accumulated plan benefits, end of year	<hr/> \$ 93,673,926 <hr/>

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2020 and 2019

Note 1: Description of the Plan

The following description of the Plan provides only general information. Additional information about the vesting and benefit provisions and the Pension Benefit Guaranty Corporation's (PBGC) benefit guarantee is contained in the Plan Document and *Summary Plan Description*, which are available from the Plan Administrator. Any inconsistencies between the Plan Document and the *Summary Plan Description* would be governed by the Plan Document.

General

The Plan was established October 1, 2007 (Effective Date) as a result of the spin-off of Allison Transmission, Inc. (Company) from General Motors Corporation (GM). The Plan is frozen as to participation as of the Effective Date, and no employee other than a participant on the Effective Date shall be eligible to be covered under the Plan.

The Plan defines two types of participants, Part A and Part C. Part A participants are those participants who had a hire date with GM prior to January 1, 2001, who became a salaried employee of the Company on August 7, 2007, were an active participant under the General Motors Retirement Program for Salaried Employees before August 7, 2007, and are a salaried employee immediately prior to retirement or termination. Part C participants are those participants who had a hire date with GM on or after January 1, 2001 and before January 1, 2007, were an active participant under the General Motors Retirement Program for Salaried Employees before August 7, 2007, and who, prior to January 1, 2007, accrued a benefit under Part C of the General Motors Retirement Program for Salaried Employees (GM Plan) and were not vested in such benefits as of August 7, 2007 and became a salaried employee of the Company on August 7, 2007, and are a salaried employee. It was the intent of the Company that the Plan generally contain the same provisions as the GM Plan, at the time of spin-off from GM, such that employees who transferred from GM to the Company would maintain the same benefit, in aggregate, that would have been provided had the spin-off not occurred and the employees had continued working for GM.

The Plan is a noncontributory defined-benefit retirement plan for eligible salaried employees. The Plan is subject to provisions of the *Employee Retirement Income Security Act of 1974* (ERISA). Wells Fargo Bank, N.A. (Wells Fargo) is the trustee of the Plan.

Contributions

The Company has agreed to contribute such additional amounts as are necessary to provide assets sufficient to meet the benefits to be paid to Plan participants. The Company's present intention is to make contributions in amounts sufficient to annually fund the Plan's current service cost and the initial past-service costs plus interest on them over a period of seven years. The Plan has met the minimum funding requirements established by the federal government.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2020 and 2019

Pension Benefits

The monthly benefit under the Plan for Part A participants is equal to (1) 1.25% multiplied by the employee's average monthly base salary for each year of active participation during which the Part A participant's "home country" under the Company's Global Expatriate Program is the United States, plus (2)(a) for Part A participants and Part A participants, Addendum B: 1.25% multiplied by the employee's base salary from August 7, 2007 through October 1, 2007, divided by twelve, or (b) for Part A Addendum A participants - 1.25% multiplied by the employee's base salary from August 7, 2007 through September 30, 2007 divided by the number of months worked in that period. Effective January 1, 2008, enhanced variable pay (iComp) is included in the calculation of base salary. Participants are entitled to annual pension benefits beginning at the later of (1) normal retirement age (65) or (2) the 5th anniversary of the date the participant began participation in the GM Plan.

The Plan permits the following early retirement options: (1) an employee who has attained age 55 but not age 65, and who has 10 or more years of credited service, or (2) an employee who has a hire date with GM prior to January 1, 1988, who has not attained age 55, and has 30 or more years of credited service (including service with GM). In addition, participants who are totally and permanently disabled prior to attaining age 65 and who have at least 10 years of credited service (including service with GM) are eligible for a disability pension, payable without an age reduction for early commencement. Late retirement is also allowed.

Several optional forms of benefit payments are available to participants including a life annuity, a joint and 50% survivor annuity, a joint and 65% survivor annuity, or a contingent annuity in 5% increments up to 100% of the participant's monthly basic benefit. Payments made in any form other than a life annuity are actuarially adjusted for the option selected.

Effective January 1, 2016, a Part A participant or surviving spouse who has commenced benefits under the Plan on or after January 1, 2016 may elect, in lieu of the monthly basic benefit payment, to receive a lump-sum benefit payment equal to the present actuarial value as defined by the Plan.

Cash Balance Benefits

As of October 2, 2007, an account balance was established for Part C participants, which equaled the sum of pay and interest credits from Part C of the GM Plan as of July 31, 2007, plus interest credits as of August 31, 2007 and September 30, 2007 and monthly interest credits as of the end of each month thereafter (starting on October 31, 2007). Interest credits equal the account balance at the end of the previous month times the monthly interest credit percentage (or 0.2669% monthly). Interest credits for a month are determined by applying the applicable interest credit percentage for the month to the participant's account balance as of the beginning of the month. The applicable interest credit percentage for a month during a Plan year is the monthly equivalent of the annual interest credit percentage for the Plan year.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2020 and 2019

Participants are entitled to monthly pension benefits beginning on the earlier of (1) the first day of the month on or after the participant's attainment of normal retirement age (the later of age 65 or the 5th anniversary of beginning participation in the GM Plan) or (2) the first day of the month following participant's termination of employment and the Plan Administrator's receipt of a request from the Part C participant. Late retirement is also allowed. The Plan allows for a life annuity, a joint and 50% survivor annuity, a joint and 75% survivor annuity, or a lump-sum distribution. If the value of a participant's account is at or below \$5,000, the benefit will be paid as a lump-sum. If the account value is between \$1,000 - \$5,000 and the participant does not request a direct payment or rollover, it will be automatically rolled over to an IRA.

Death Benefits

If a participant is vested and dies before benefits begin and has been married for at least one year, a participant's spouse may elect to receive (1) a monthly benefit for life with the same actuarial value as the participant's account or (2) a single lump-sum equal to the participant's vested account balance.

Vesting

A participant is vested upon the earlier of (1) age 65 (or for Part C benefits - the later of age 65 or a participant's 5th anniversary in the GM Plan) or (2) completion of three years of vesting service.

Plan Termination

In the event the Plan terminates, the net assets of the Plan will be allocated, as prescribed by ERISA and its related regulations, generally to provide the following benefits in the order indicated:

- a. Annuity benefits that former employees or their beneficiaries have been receiving for at least three years, or that employees eligible to retire for that three-year period would have been receiving if they had retired with benefits in the normal form of annuity under the Plan. The priority amount is limited to the lowest benefit that was payable (or would have been payable) during those three years. The amount is further limited to the lowest benefit that would be payable under plan provisions in effect at any time during the five years preceding plan termination.
- b. Other vested benefits insured by the Pension Benefit Guaranty Corporation (PBGC) up to the applicable limitations discussed below.
- c. Vested benefits not insured by the PBGC.
- d. All nonvested benefits.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2020 and 2019

Certain benefits under the Plan are insured by the PBGC if the Plan terminates. Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination. However, there is a statutory ceiling, which is adjusted periodically, on the amount of an individual's monthly benefit that the PBGC guarantees.

Whether all participants receive their benefits should the Plan terminate at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide for accumulated benefit obligations and may also depend on the financial condition of the Plan Sponsor and the level of benefits guaranteed by the PBGC. Any residual assets of the Plan will be distributed to the Company if all liabilities of the Plan to its participants and beneficiaries have been satisfied and the distribution does not contravene any provision of law.

Subsequent Events

Subsequent events have been evaluated through July 6, 2021, which is the date the financial statements were available to be issued.

Note 2: Summary of Significant Accounting Policies

Method of Accounting

The accompanying financial statements are prepared on the accrual method of accounting.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of net assets and changes in net assets available for benefits, disclosure of contingent assets and liabilities and the actuarial present value of accumulated plan benefits at the date of the financial statements. Actual results could differ from those estimates.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2020 and 2019

Valuation of Investments and Income Recognition

The money market fund is reported at fair value, which approximates its cost basis. Bonds and notes are stated at fair value. Securities, such as common stocks and U.S. Government securities, traded on a national securities exchange are valued at the last reported sales price on the last business day of the Plan year. Corporate bonds and notes are valued on the basis of yields currently available on comparable securities of issuers with similar credit ratings. Mutual funds are valued at the net asset value (NAV) of shares held by the Plan at year end. The fair value of the Plan's interest in the collective trusts are valued based on information reported by the investment trust advisor at year-end. Purchases and sale of securities are recorded on a trade-date basis. Interest income is recorded on an accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Payment of Benefits

Benefit payments to participants are recorded upon distribution.

Administrative Expenses

Administrative expenses may be paid by the employer or the Plan, at the employer's discretion.

Accumulated Plan Benefits

Accumulated plan benefits (see Statements of Accumulated Plan Benefits, Statements of Changes in Accumulated Plan Benefits and Note 4) are those estimated future periodic payments, including lump-sum distributions, which are attributable under the Plan's provisions to the service employees have rendered. Accumulated plan benefits include benefits expected to be paid to:

- a. Retired or terminated employees or their beneficiaries
- b. Present employees or their beneficiaries

Benefits under the Plan are based on employees' credited service. Benefits payable under all circumstances — retirement, death, disability and termination of employment — are included, to the extent they are deemed attributable to employee service rendered to the valuation date. Benefits to be provided via annuity contracts excluded from Plan assets are excluded from accumulated plan benefits.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2020 and 2019

Plan Tax Status

The Plan obtained its latest determination letter on April 16, 2014, in which the Internal Revenue Service (IRS) stated that the Plan and related trust, as then designed, were in compliance with the applicable requirements of the Internal Revenue Code and therefore not subject to tax. The Plan has been amended since receiving the determination letter. However, the Plan Administrator believes that the Plan and related trust are currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code.

Note 3: Certification of Plan Trustee

The Plan Administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, Wells Fargo, the trustee of the Plan, has certified the following information included in the accompanying financial statements and supplemental schedules is complete and accurate:

- Investments and accrued interest income as shown in the statements of net assets available for benefits as of September 30, 2020 and 2019
- Investment income as shown in the statements of changes in net assets available for benefits for the years ended September 30, 2020 and 2019
- Investment information included in the accompanying schedule of assets (held at year end) as of September 30, 2020 and schedule of reportable transactions for the year ended September 30, 2020

The Plan's independent auditors did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and supplemental schedules.

Note 4: Accumulated Plan Benefits

An actuary from Mercer determines the actuarial present value of accumulated plan benefits as of the beginning of the Plan year, which is the amount that results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements such as for death, disability, withdrawal or retirement) between the valuation date and the expected date of payment.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2020 and 2019

Significant assumptions underlying the actuarial computations are:

Assumed rate of return of investments	4.0 percent, compounded annually
Mortality basis	Pri-2012 Private Retirement Plans Mortality Tables for employees and annuitants with no adjustment for collar and projected generational mortality improvements according to MSS-2019 for healthy and disabled lives
Retirement age	Varies by age and service Age 65 for deferred vested participants Average age of retirees in pay status is age 69
Asset valuation	Market

Plan contributions and the actuarial present value of accumulated plan benefits are prepared based on certain assumptions pertaining to interest rates, inflation rates and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimation and assumption process, it is at least reasonably possible that changes in these estimates and assumptions in the near term could be material to the Plan's financial statements. The foregoing actuarial assumptions are based on the presumption that the Plan will continue. Were the Plan to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

Note 5: Disclosures About Fair Value of Assets

Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Fair value measurements must maximize the use of observable inputs and minimize the use of unobservable inputs. There is a hierarchy of three levels of inputs that may be used to measure fair value:

- Level 1** Quoted prices in active markets for identical assets or liabilities
- Level 2** Observable inputs other than Level 1 prices, such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities
- Level 3** Unobservable inputs supported by little or no market activity and are significant to the fair value of the assets or liabilities

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2020 and 2019

Recurring Measurements

The following tables present the fair value measurements of assets recognized in the accompanying statements of net assets available for benefits measured at fair value on a recurring basis and the level within the fair value hierarchy in which the fair value measurements fall at September 30, 2020 and 2019:

2020				
Fair Value Measurements Using				
	Fair Value	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Money market fund	\$ 2,880,908	\$ 2,880,908	\$ -	\$ -
U.S. Governmental securities	24,924,521	6,670,630	18,253,891	-
Bonds and notes	69,106,318	-	69,106,318	-
Mutual funds	11,612,382	11,612,382	-	-
Pooled, common and collective fund	4,584,116	-	4,584,116	-
	<u>\$ 113,108,245</u>	<u>\$ 21,163,920</u>	<u>\$ 91,944,325</u>	<u>\$ -</u>

2019				
Fair Value Measurements Using				
	Fair Value	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Money market fund	\$ 1,390,099	\$ 1,390,099	\$ -	\$ -
U.S. Governmental securities	28,978,178	5,564,083	23,414,095	-
Bonds and notes	64,532,432	-	64,532,432	-
Mutual funds	11,392,593	11,392,593	-	-
Pooled, common and collective fund	4,569,636	-	4,569,636	-
	<u>\$ 110,862,938</u>	<u>\$ 18,346,775</u>	<u>\$ 92,516,163</u>	<u>\$ -</u>

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2020 and 2019

Following is a description of the valuation methodologies and inputs used for assets measured at fair value on a recurring basis and recognized in the accompanying statements of net assets available for benefits, as well as the general classification of such assets pursuant to the valuation hierarchy. There have been no significant changes in the valuation techniques during the year ended September 30, 2020. The Plan had no liabilities measured at fair value on a recurring basis. In addition, the Plan had no assets or liabilities measured at fair value on a nonrecurring basis.

Investments

Where quoted market prices are available in an active market, securities are classified within Level 1 of the valuation hierarchy. Level 1 securities include a money market fund, certain U.S. Governmental securities and mutual funds. If quoted market prices are not available, then fair values are estimated by using quoted prices of securities with similar characteristics or independent asset pricing services and pricing models, the inputs of which are market-based or independently sourced market parameters, including, but not limited to, yield curves, interest rates, volatilities, prepayments, defaults, cumulative loss projections and cash flows. Such securities are classified in Level 2 of the valuation hierarchy. Level 2 securities included certain U.S. Governmental securities, corporate bonds and notes and a pooled, common and collective fund. In certain cases where Level 1 or Level 2 inputs are not available, securities are classified within Level 3 of the hierarchy. There are no Level 3 investments in the Plan.

Note 6: Party-in-Interest Transactions

Party-in-interest transactions include those with fiduciaries or employees of the Plan, any person who provides services to the Plan, an employer whose employees are covered by the Plan, an employee organization whose members are covered by the Plan, a person who owns 50 percent or more of such an employer or employee association, or relatives of such persons.

The Plan invests in various bonds and notes of the Plan trustee.

The Plan paid PBGC premiums totaling \$63,536 and \$61,149 during 2020 and 2019, respectively. The Plan paid \$75,097 and \$69,712 of administrative fees to the trustee during 2020 and 2019, respectively. The Plan paid \$222,859 and \$222,233 of investment management fees during 2020 and 2019, respectively. The Company provides certain administrative services at no cost to the Plan.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2020 and 2019

Note 7: Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits

The outbreak of the novel coronavirus COVID-19, which was declared a pandemic by the World Health Organization on March 11, 2020, has led to adverse impacts on the U.S. and global economies. Economic uncertainties have arisen, which have resulted in significant volatility in the investment markets and may continue to impact the value of Plan assets. The duration of these uncertainties and the ultimate financial effects cannot be reasonably estimated at this time. As a result of this situation, the Company implemented voluntary and involuntary separation programs during 2020. As a result of these programs, there was approximately 70 employees voluntarily terminated and 60 employees involuntarily terminated during 2020. Of these 130 terminations, 74 were pension eligible for this Plan. These separation programs had no impact on the status of the Plan.

Supplemental Schedules

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) September 30, 2020

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Money Market Fund			
*Wells Fargo Advantage Treasury Plus Money Market Fund	2,880,908 shares	\$ 2,880,908	\$ 2,880,908
U.S. Governmental Securities			
U.S. Treasury SEC Stripped Zero CPN 11/15/2041	4,280,000 par value	1,900,638	3,175,931
U.S. Treasury Bond Stripped Zero CPN 05/15/2044	2,080,000 par value	857,106	1,454,710
U.S. Treasury SEEC Stripped Zero CPN 05/15/2046	2,490,000 par value	1,067,229	1,673,156
U.S. Treasury SEC Stripped Zero CPN 08/15/2045	2,105,000 par value	924,805	1,437,020
U.S. Treasury SEC Stripped 08/15/2047	7,200,000 par value	2,887,076	4,711,104
U.S. Treasury Bond Stripped Zero CPN 11/15/2043	1,060,000 par value	434,933	750,194
U.S. Treasury Bond Zero CPN 11/15/2040	2,785,000 par value	1,258,074	2,112,256
U.S. Treasury Bond Zero CPN 11/15/2042	4,000,000 par value	1,692,155	2,939,520
U.S. Treasury Bond 11/15/2048	1,200,000 par value	635,076	773,220
U.S. Treasury Bond 11/15/2049	5,140,000 par value	3,328,921	3,262,975
U.S. Treasury Bond 5/15/2040 1.125%	960,000 par value	983,025	946,646
U.S. Treasury Bond 5/15/2050	1,000,000 par value	962,266	950,630
U.S. Treasury Bond 2/15/2050	195,000 par value	226,556	221,233
U.S. Treasury Bond 5/15/2040 4.375%	75,000 par value	121,559	117,926
U.S. Treasury Note 08/15/2030	400,000 par value	398,375	398,000
		17,677,794	24,924,521
Bonds and Notes			
Abbott Laboratories	150,000 par value	237,471	230,479
Abbvie Inc. .	400,000 par value	484,128	474,492
Ace INA Holdings	215,000 par value	257,816	281,742
Aflac Inc.	220,000 par value	223,650	258,179
Alibaba Group Holding 11/28/2024	445,000 par value	454,898	488,752
Allstate Corp.	445,000 par value	456,883	555,716
Amazon.com Inc. .	525,000 par value	533,752	535,510
Ameren Illinois Co.	440,000 par value	444,273	517,744
America Movil SAB DE CV	465,000 par value	485,700	482,703
American Honda Finance 07/07/2023	145,000 par value	144,954	146,193
American Honda Finance 09/08/2023	135,000 par value	134,941	135,046
American Honda Finance 09/10/2025	135,000 par value	134,993	134,990
American Intl Group	325,000 par value	336,412	359,892
American Tower Corp	455,000 par value	455,020	490,827
Ameriprise Financial Inc.	235,000 par value	236,567	258,150
Anheuser-Busch Inbev Wor	400,000 par value	464,444	463,492
Anthem, Inc.	455,000 par value	451,119	507,055
Apple, Inc.	780,000 par value	809,500	1,045,013
Applied Materials, Inc.	315,000 par value	316,399	331,046
AT&T, Inc. 06/01/2051	370,000 par value	371,765	373,200
AT&T, Inc. 02/01/2028	310,000 par value	314,102	310,586
Barker Hughes LLC/Co-Obl	160,000 par value	142,253	159,978
Banco Santander SA	440,000 par value	445,405	469,748
Bank of America Corp. 01/23/2026	460,000 par value	489,380	502,076
Bank of America Corp. 12/20/2023	933,000 par value	957,125	979,463

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued) September 30, 2020

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Berkshire Hathaway Fin	315,000 par value	\$ 326,970	\$ 409,349
BP Cap Markets America	685,000 par value	655,826	756,240
Branch Banking & Trust	390,000 par value	388,686	404,598
Burlington North Santa Fe	340,000 par value	414,475	466,582
Burlington Resor Finan	235,000 par value	304,555	340,238
Canadian Natl Railway	490,000 par value	466,328	487,280
Capital One Financial CD	820,000 par value	836,641	892,554
CIGNA Group	290,000 par value	307,855	300,765
Citi Group	870,000 par value	853,263	897,701
CNOOC Finance 2013 LTD	650,000 par value	634,995	681,180
Coca-Cola Co	420,000 par value	430,781	421,722
Comcast Corp 1/15/2033	570,000 par value	579,339	708,231
Comcast Corp 10/15/2038	795,000 par value	899,542	1,016,129
Commonwealth Edison Co 03/01/2045	465,000 par value	420,704	539,739
Commonwealth Edison Co 11/15/2049	450,000 par value	477,243	492,313
Conoco Inc.	340,000 par value	457,660	472,121
Cons Edison Co of NY 03/15/2044	580,000 par value	642,024	719,351
Consumers Energy Co.	655,000 par value	586,611	729,768
Costco Wholesale Group	195,000 par value	195,125	200,064
CVS Health Group	470,000 par value	473,652	514,580
Dowdupoint, Inc.	420,000 par value	431,441	484,117
DTE Electric Co.	510,000 par value	495,623	538,025
Duke Energy Indiana	400,000 par value	406,100	470,260
El Paso Pipeline Part Op	645,000 par value	679,914	665,872
Eli Lilly & Co.	240,000 par value	237,065	226,138
Exxon Mobil Corporation	375,000 par value	377,636	402,683
Fifth Third Bancorp	155,000 par value	171,292	171,001
Florida Power & Light	345,000 par value	428,331	481,168
General Dynamics Corp	190,000 par value	236,516	239,043
Georgia Power Company	425,000 par value	448,643	508,691
Gilead Sciences, Inc.	310,000 par value	313,906	375,503
Home Depot, Inc.	390,000 par value	407,191	521,309
Intel Corp 07/29/2025	145,000 par value	144,784	164,732
Intel Corp 12/08/2047	860,000 par value	851,120	1,027,038
Intercontinental Exchange	290,000 par value	290,612	290,052
John Deere Capital Corp.	340,000 par value	350,685	379,573
Johnson & Johnson 12/05/2033	210,000 par value	229,471	274,520
Johnson & Johnson 01/15/2048	405,000 par value	409,005	501,564
Johnson & Johnson 09/01/2040	225,000 par value	225,358	224,640
JPMorgan Chase & Co. 07/15/2025	780,000 par value	808,860	882,281
JPMorgan Chase & Co. 07/24/2038	680,000 par value	625,879	801,788
Kimberly Clark Corporation	455,000 par value	462,599	584,293
Kimco Realty Group	365,000 par value	360,828	389,922
Kinder Morgan Ener part	450,000 par value	459,378	492,413
Lloyds Banking Group PLC	350,000 par value	379,953	378,763

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued) September 30, 2020

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Loews Corp.	505,000 par value	\$ 525,474	\$ 575,821
Lowe's Cos Inc.	385,000 par value	483,179	477,616
Manuf & Traders Trust Co.	325,000 par value	328,299	363,652
Marathon Petroleum	485,000 par value	531,108	531,565
Mastercard Inc.	595,000 par value	629,267	727,637
Medtronic, Inc.	312,000 par value	343,808	416,742
Merck & Co.	240,000 par value	238,130	240,912
Microsoft Corp.	450,000 par value	488,744	620,645
MidAmerican Energy, Co.	380,000 par value	413,920	509,303
Mitsubishi UFC FIN GRP	475,000 par value	475,337	497,496
National Rural Util Coop	650,000 par value	650,000	725,056
Nevada Power Co.	435,000 par value	450,569	510,042
Oncor Electric Delivery	445,000 par value	442,468	541,503
Oracle Group 04/15/2038	320,000 par value	402,547	499,472
Oracle Group 11/15/2037	790,000 par value	942,154	926,836
PacifiCorp	330,000 par value	423,407	478,517
PepsiCo, Inc.	430,000 par value	480,339	572,352
Pfizer, Inc.	580,000 par value	616,402	737,656
PNC Bank NA	450,000 par value	456,724	526,203
PPL Electric Utilities	290,000 par value	335,298	387,339
Pres & Fellows of Harvar	280,000 par value	288,224	290,727
Prologis LP	430,000 par value	427,106	457,038
Prudential Financial, Inc.	285,000 par value	302,240	305,685
Public Services Electric	840,000 par value	840,806	983,548
Regency Energy Part/Fin	790,000 par value	827,945	840,536
Shell International Fin	240,000 par value	236,088	261,550
Simon Property Group LP	410,000 par value	413,776	439,586
Southern Cal Edison 10/01/2043	200,000 par value	211,244	230,866
Southern Cal Edison 04/01/2047	210,000 par value	210,627	225,334
Southwestern Public Serv 08/15/2041	565,000 par value	598,827	711,007
Southwestern Public Serv 11/15/2048	440,000 par value	446,244	563,675
Spectra Energy Partners	410,000 par value	432,903	456,592
State Street Corp.	440,000 par value	439,767	481,892
Statoil ASA	390,000 par value	378,359	453,788
Total Capital Intl SA	355,000 par value	355,320	393,038
Union Electric Co.	425,000 par value	407,775	468,665
United Parcel Service	465,000 par value	430,492	561,906
United Health Group 03/15/2042	315,000 par value	424,806	400,850
United Health Group 07/15/2035	255,000 par value	263,842	335,832
Ventas Realty LP	320,000 par value	323,251	343,053
Verizon Communications 11/01/2034	175,000 par value	224,515	218,169
Verizon Communications 12/03/2029	438,000 par value	439,329	524,487
Wal-Mart Stores, Inc.	360,000 par value	347,620	443,293
Walmart, Inc.	390,000 par value	497,500	508,985
Walt Disney Company	395,000 par value	413,082	385,168
*Wells Fargo & Co. 02/07/2035	400,000 par value	445,304	547,352
*Wells Fargo & Company 02/13/2023	405,000 par value	401,809	429,223

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued) September 30, 2020

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
*Wells Fargo & Company 07/22/2027	760,000 par value	\$ 803,653	\$ 870,785
Welltower Inc.	365,000 par value	368,519	394,809
Westpac Banking Corp.	410,000 par value	397,733	455,325
3M Company	420,000 par value	449,004	483,000
Bayview Opportunity Master Fun	445,000 par value	477,263	477,004
Finance Amer Structured Sec	389,505 par value	393,781	407,628
Sierra Receivables Funding Co.	63,285 par value	63,278	63,447
CIM Trust	390,000 par value	386,392	378,958
Credit Suisse Mortgage Trust	230,000 par value	225,968	174,883
Csail Commercial Mortgage Trust	550,000 par value	545,617	496,028
Fremf Mortgage Trust 12/25/2049	245,000 par value	237,822	255,077
Fremf Mortgage Trust 03/25/2053	230,000 par value	242,158	248,216
JP Morgan Chase Commercial Mor 09/15/2050	235,000 par value	228,381	235,595
JP Morgan Chase Commercial Mor 08/27/2047	460,000 par value	408,178	466,238
JP Morgan Chase Commercial Mor 10/06/2038	450,000 par value	459,229	483,894
New Residential Mortgage Loan	252,238 par value	269,895	272,162
Sfave Commercial Mortgage Secu	390,000 par value	379,397	324,987
Shops at Crystal Trust 2016-C	420,000 par value	385,481	325,496
*Wells Fargo Commercial Mortgag	95,000 par value	96,817	101,741
California St	355,000 par value	502,472	583,002
University Calif Revs	215,000 par value	269,748	319,961
Aviation Capital Group	425,000 par value	426,432	420,593
Belrose Funding Trust	230,000 par value	230,501	227,300
BNP Paribas	225,000 par value	225,637	241,304
Boston Gas Company	445,000 par value	454,986	489,642
Cameron LNG LLC	220,000 par value	220,506	242,011
Electricite De France SA	155,000 par value	166,191	190,196
Enel Finance Intl Nv	335,000 par value	330,853	373,297
Hyundai Capital America	435,000 par value	432,343	435,796
Mars, Inc.	320,000 par value	320,787	317,472
New York Life Insurance	435,000 par value	480,340	490,428
Raytheon Tech Corp.	175,000 par value	205,237	223,907
Siemens Financieringsmat	865,000 par value	888,698	971,144
Sprint Spectrum/ Spec 1	117,500 par value	118,748	118,816
		63,145,435	69,106,318
Mutual Funds			
Blackstone Alternative Multi-Strategy Fund Class I	208,275 shares	2,135,461	2,089,001
DFA Emerging Markets Core Equity Fund	51,159 shares	920,632	1,027,267
Eaton Vance Atlanta Capital Smid-Cap Fund	94,320 shares	2,718,092	3,271,974
Hartford International Opportunities Fund	189,584 shares	2,956,253	3,361,328
PIMCO Commodity Real Return Strategy Fund	192,509 shares	1,275,949	1,014,525
Principal Investors Real Estate Securities INSTL Fund	35,024 shares	776,799	848,287
		10,783,186	11,612,382
Pooled, Common and Collective Fund			
Northern Trust Investments, Inc.	377 shares	3,130,410	4,584,116
		\$ 97,617,733	\$ 113,108,245

* Parties-in-interest

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4j - Schedule of Reportable Transactions Year Ended September 30, 2020

Identity	Description	Purchase Price	Selling Price	Lease Rental	Expenses Incurred With Transaction	Cost of Asset	Current Value of Asset on Transaction Date	Net Gain (Loss)
Issuer	Wells Fargo Advantage Treasury Plus Money Market Fund							
	Purchases	\$ 45,215,304				\$ 45,215,304	\$ 45,215,304	
	Sales		\$ 43,724,495			43,724,495	43,724,495	\$ -
Issuer	U.S. Treasury Bond 05/15/2049 U.S. Governmental Securities							
	Purchases	3,764,288				3,764,288	3,764,288	
	Sales		5,841,328			5,042,890	5,841,328	798,438

Schedule SB, line 26 — Schedule of Active Participant Data

Attained age	Years of credited service										Total
	Under 1	1–4	5–9	10–14	15–19	20–24	25–29	30–34	35–39	40 & up	
Under 25											0
25–29											0
30–34											0
35–39				1	2						3
40–44				3	10	9					22
45–49				3	12	17	6				38
50–54				1	12	24	27	14	3		81
55–59				4	10	19	13	13	18	9	86
60–64				1	5	12	13	10	30	36	107
65–69					1	1	3	3	3	7	18
70 & up						1					1
Total	0	0	0	13	52	83	62	40	54	52	356

In each cell, the number is the count of active participants for each age/service combination.

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

ACTUARIAL ASSUMPTIONS FOR OCTOBER 1, 2019 FUNDING VALUATION

	10/1/2019	10/1/2019	
Discount rate sponsor elections			
• Segment rates or full yield curve	Segment Rates	Segment Rates	
• Look-back months	0	0	
	<u>Stabilized rates</u>	<u>Nonstabilized rates</u>	
• First 5 years	3.74%	2.79%	
• Next 15 years	5.35%	3.90%	
• Over 20 years	6.11%	4.35%	
	Rationale: Interest prescribed by IRS		
Mortality sponsor elections			
• Healthy participants	Section 430(h)(3) prescribed separate generational annuitant and nonannuitant mortality tables. These tables are based on the RP-2014 mortality tables backed off to 2006 and then projected with mortality improvements using scale MP-2017 in accordance with IRS regulation.		
• Disabled Participants	Section 430(h)(3) prescribed separate generational annuitant and nonannuitant mortality tables. These tables are based on the RP-2014 mortality tables backed off to 2006 and then projected with mortality improvements using scale MP-2017 in accordance with IRS regulation.		
	Rationale: Mortality prescribed by IRS		
Other economic assumptions			
• Salary increases	3% per year Rationale: Based on future expectations and discussions with the plan sponsor		

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

<ul style="list-style-type: none"> iComp amount 	<p>In any given year, iComp may or may not be paid based on the company's and each individual employee's performance. For the service cost calculation purposes, iComp has been estimated based on employee level as follows:</p> <p>Level 5 and below – 3% of base pay.</p> <p>Level 6 – 4% of base pay.</p> <p>Level 7 – 5% of base pay.</p> <p>Level 8 and above – 8% of base pay.</p> <p>It is further assumed that 25% of participants will receive an additional 10% iComp payment above the amounts listed above (or 2.50 % of total base pay).</p> <p>Rationale: Based on future expectations and discussions with the plan sponsor</p>
<ul style="list-style-type: none"> Inflation 	<p>2.20% per year</p> <p>Rationale: Based on the inflation information periodically published by Mercer Investment Consulting's <i>Capital Markets Outlook</i></p>
<ul style="list-style-type: none"> Part C account balance interest credit rate 	<p>3.25% per year</p> <p>Rationale: Based on prior July 30-Year Treasury Rate (Minimum of 3.25% per plan provisions)</p>
<ul style="list-style-type: none"> Expenses 	<p>\$360,000 added to current year normal cost</p> <p>Rationale: Expenses are assumed to be equal to the prior Plan Year administration expenses (excluding PBGC premiums) plus expected PBGC Premiums for the current Plan Year rounded to the nearest \$10,000</p>
<ul style="list-style-type: none"> Late retirement increases 	<p>Active participants over age 70 and deferred vested participants over age 65 receive late retirement increases based on Actuarial Value</p>
<ul style="list-style-type: none"> Actuarial value 	<p>Based on applicable 417(e) mortality and interest rates for the current plan year</p>

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

DEMOGRAPHIC ASSUMPTIONS				
• Withdrawal	ATI ultimate rates for salaried employees. See table of sample rates. Rationale: Based on 2019 ATI experience study using data from 2015 through 2018 and the expectation that this period will be representative of anticipated future experience			
• Disability incidence	None assumed			
• Retirement age	ATI retirement rates for salaried employees. See tables of sample rates. Rationale: Based on 2019 ATI experience study using data from 2015 through 2018 and the expectation that this period will be representative of anticipated future experience			
• Benefit commencement age for				
— Future vested deferred	65			
— Current vested deferred	65			
• Spouse assumptions	<u>Male participants</u>		<u>Female participants</u>	
— Percentage married	90%		70%	
— Spouse age difference	1 year younger		3 years older	
Form of payment (Part A Participants)	<u>Single Life</u>	<u>60% J&S</u>	<u>50% J&S</u>	<u>Lump sum</u>
• Active retirements	40% of unmarried	40% of married	N/A	60%
• Future vested deferred	40% of unmarried	40% of married	N/A	60%
• Future disabilities	N/A	N/A	N/A	N/A
• Future deaths	N/A	100% of married retirement eligible	100% of married not retirement eligible	N/A
• Current vested deferred	40% of unmarried	40% of married	N/A	60%
	Rationale: Based on ATI experience			
• Lump sum conversion	Benefits for participants electing a lump sum form of payment are valued using annuity substitution			

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

Form of payment (Part C participants)	Life annuity (account balance converted based on Actuarial Value)
Unpredictable contingent event assumptions	Not applicable

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

Table of Sample Rates

Attained Age	Withdrawal Rates
30	3.00%
35	3.00%
40	2.50%
45	2.00%
50	1.50%
55	1.00%

Attained Age	Retirement Rates
51	-
52	5.00%
53	5.00%
54	5.00%
55	5.00%
56	5.00%
57	5.00%
58	5.00%
59	5.00%
60	15.00%
61	15.00%
62	15.00%
63	15.00%
64	15.00%
65	30.00%
66	30.00%
67	30.00%
68	30.00%
69	30.00%
70+	100.00%

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

ACTUARIAL METHODS FOR FUNDING**Participant Methods**

Participants or former participants are included or excluded from the valuation as described below:

- **Participants included:** The plan sponsor provides us with data on all participants as of the valuation date.
- **Participants excluded:** No actuarial liability is included for nonvested participants who terminated prior to the valuation date. For this purpose, participants with a break in service on the valuation date are treated as terminated participants.
- **Insurance contracts:** The plan does not have any insurance contracts.

Minimum Funding Method

The funding target for minimum funding calculations is computed using the traditional unit credit method of funding. The objective under this method is to fund each participant's benefits under the plan as they accrue. Thus, the total pension to which each participant is expected to become entitled at retirement is broken down into units, each associated with a year of past or future credited service.

A detailed description of the calculation follows:

- The plan's valuation date is the beginning of the plan year.
- An individual's **funding target** is the present value of future benefits based on credited service and average pay as of the beginning of the plan year, and an individual's **target normal cost** is the present value of the benefit expected to accrue in the plan year. If multiple decrements are used, the funding target and the target normal cost for an individual are the sum of the component funding targets and target normal costs associated with the various anticipated separation dates.
- The plan's **target normal cost** is the sum of the individual target normal costs, and the plan's **funding target** is the sum of the individual funding targets for all participants under the plan.

Asset Method

The asset valuation method is the fair market value, plus any discounted receivable contributions.



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2019 Form 5500)
 Schedule H, Line 4j - Schedule of Reportable Transactions

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**REPORTABLE TRANSACTIONS - SINGLE / BY ISSUE
FOR THE PERIOD OCTOBER 1, 2019 THROUGH SEPTEMBER 30, 2020**

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
	BEGINNING MARKET VALUE			111,462,942.62			
	COMPARATIVE VALUE (5%)			5,573,147.13			

*** NO TRANSACTIONS QUALIFIED ***

**REPORTABLE TRANSACTIONS - SERIES / BY BROKER
 FOR THE PERIOD OCTOBER 1, 2019 THROUGH SEPTEMBER 30, 2020**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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BEGINNING MARKET VALUE	111,462,942.62
COMPARATIVE VALUE (5%)	5,573,147.13

BROKER: GOLDMAN SACHS

22831205	ISSUE: 912834EV6 - US TREAS SEC STRIPPED 10/11/19 S	4,595,000	0.641	0	2,159,869	2,159,869	0
22831205	ISSUE: 912810SH2 - US TREASURY BOND 2.875% 5/15/49 10/16/19 B	2,800,000	1.138	0	3,187,406-	3,187,406	
22831205	ISSUE: 912810SH2 - US TREASURY BOND 2.875% 5/15/49 10/18/19 B	75,000	1.137	0	85,248-	85,248	
22831205	ISSUE: 594918CA0 - MICROSOFT CORP 4.25% 2/06/47 10/18/19 S	80,000	1.244	0	99,504	86,888	12,616
22831205	ISSUE: 912810SA7 - US TREASURY BOND 3% 2/15/48 11/07/19 S	125,000	1.115	0	139,370	120,678	18,692
22831205	ISSUE: 912834DU9 - US TREASURY BOND 11/15/38 01/06/20 S	1,415,000	0.652	0	652,301	652,301	0
22831205	ISSUE: 912810SJ8 - US TREASURY BOND 2.25% 8/15/49 01/14/20 S	140,000	0.993	0	138,950	139,497	-547
22831205	ISSUE: 931142EC3 - WALMART INC 4.05% 6/29/48 03/03/20 B	390,000	1.276	0	497,500-	497,500	
22831205	ISSUE: 912810SH2 - US TREASURY BOND 2.875% 5/15/49 03/03/20 S	210,000	1.287	0	270,285	241,782	28,502
22831205	ISSUE: 912810SH2 - US TREASURY BOND 2.875% 5/15/49 03/03/20 S	330,000	1.294	0	426,860	379,944	46,916
22831205	ISSUE: 912810SF6 - US TREASURY BOND 3% 2/15/49 03/17/20 S	340,000	1.376	0	467,779	369,710	98,069
22831205	ISSUE: 912810SH2 - US TREASURY BOND 2.875% 5/15/49 03/30/20 S	250,000	1.390	0	347,607	287,836	59,771
22831205	ISSUE: 05723KAF7 - BAKER HUGHES LLC/CO- 4.08% 12/15/47 04/16/20 S	200,000	0.938	0	187,528	177,816	9,712
22831205	ISSUE: 912810SK5 - US TREASURY BOND 2.375% 11/15/49 04/16/20 B	105,000	1.284	0	134,876-	134,876	
22831205	ISSUE: 912810SK5 - US TREASURY BOND 2.375% 11/15/49 05/26/20 S	105,000	1.228	0	128,912	134,876	-5,964
22831205	ISSUE: 912810SH2 - US TREASURY BOND 2.875% 5/15/49 05/26/20 S	345,000	1.346	0	464,389	397,214	67,175
22831205	ISSUE: 912834JH2 - US TREASURY BOND 11/15/40 06/26/20 S	200,000	0.764	0	90,346	90,346	0
22831205	ISSUE: 912810SH2 - US TREASURY BOND 2.875% 5/15/49 07/13/20 S	500,000	1.374	0	686,836	575,672	111,164

**REPORTABLE TRANSACTIONS - SERIES / BY BROKER
 FOR THE PERIOD OCTOBER 1, 2019 THROUGH SEPTEMBER 30, 2020**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	ISSUE: 912810SH2 - US TREASURY BOND 2.875% 5/15/49 07/13/20 S	285,000	1.382	0	393,923	328,133	65,790
22831205	ISSUE: 912834JH2 - US TREASURY BOND 11/15/40 07/21/20 S	1,500,000	0.774	0	677,598	677,598	0
22831205	ISSUE: 912828ZQ6 - US TREASURY NOTE 0.625% 5/15/30 07/27/20 S	150,000	1.001	0	150,193	150,674	-480
22831205	ISSUE: 912810SH2 - US TREASURY BOND 2.875% 5/15/49 07/28/20 S	80,000	1.407	0	112,550	92,108	20,442
22831205	ISSUE: 548661DU8 - LOWE'S COS INC 4.5% 4/15/30 08/05/20 B	385,000	1.255	0	483,179-	483,179	
22831205	ISSUE: 548661DP9 - LOWE'S COS INC 3.1% 5/03/27 08/05/20 S	410,000	1.131	0	463,661	408,264	55,397
22831205	ISSUE: 912810SR0 - US TREASURY BOND 1.125% 5/15/40 08/10/20 B	960,000	1.024	0	983,025-	983,025	
22831205	ISSUE: 45866FAP9 - INTERCONTINENTALEXCH 2.65% 9/15/40 08/20/20 B	290,000	1.002	0	290,612-	290,612	
22831205	ISSUE: 037833CJ7 - APPLE INC 3.35% 2/09/27 09/11/20 S	795,000	1.146	0	911,332	806,266	105,066
SUB-TOTAL				0	14,631,639	13,939,318	692,323

BROKER: MORGAN STANLEY & CO.

22831205	ISSUE: 24422ETH2 - JOHN DEERE CAPITAL 2.65% 6/10/26 10/17/19 S	30,000	1.026	0	30,775	29,993	782
22831205	ISSUE: 03027XAX8 - AMERICAN TOWER CORP 2.75% 1/15/27 11/05/19 B	40,000	0.996	0	39,830-	39,830	
22831205	ISSUE: 26884LAE9 - EQT CORP 3% 10/01/22 01/21/20 S	50,000	0.966	0	48,313	48,571	-259
22831205	ISSUE: 681919AZ9 - OMNICON GROUP INC 3.625% 5/01/22 02/14/20 S	625,000	1.038	0	648,506	627,894	20,612
22831205	ISSUE: 023135BT2 - AMAZON.COM INC 2.5% 6/03/50 07/08/20 B	180,000	1.050	0	189,041-	189,041	
22831205	ISSUE: 912810SL3 - US TREASURY BOND 2% 2/15/50 07/08/20 S	150,000	1.152	0	172,734	171,471	1,264
22831205	ISSUE: 24422ETH2 - JOHN DEERE CAPITAL 2.65% 6/10/26 07/29/20 S	135,000	1.110	0	149,804	134,968	14,836
22831205	ISSUE: 00206RKG6 - AT&T INC 1.65% 2/01/28 08/14/20 B	135,000	1.014	0	136,824-	136,824	
22831205	ISSUE: 00287YAP4 - ABBVIE INC 3.2% 11/06/22 08/25/20 S	391,000	1.053	0	411,578	395,746	15,833
22831205	ISSUE: 61744YAQ1 - VR MORGAN STANLEY 3.737% 4/24/24 09/11/20 S	780,000	1.079	0	842,026	776,467	65,559
22831205	ISSUE: 912834VE5 - US TREASURY BOND 11/15/49 09/11/20 B	5,140,000	0.648	0	3,328,921-	3,328,921	



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2019 Form 5500)
 Schedule H, Line 4j - Schedule of Reportable Transactions

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REPORTABLE TRANSACTIONS - SERIES / BY BROKER FOR THE PERIOD OCTOBER 1, 2019 THROUGH SEPTEMBER 30, 2020					ALLISON SALARIED CONSOLIDATED ACCOUNT NUMBER 22831299		
TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
	SUB-TOTAL			0	5,998,352	5,879,726	118,627
	GRAND TOTAL			0	20,629,991	19,819,044	810,950

FOOTNOTES

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2019 THROUGH SEPTEMBER 30, 2020**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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BEGINNING MARKET VALUE	111,462,942.62
COMPARATIVE VALUE (5%)	5,573,147.13

ISSUE: VP7000061 - WF TREAS PLUS MM FD-INST #793

22831200	11/05/19 B	226	1.000	0	226-	226	
22831200	11/06/19 B	557	1.000	0	557-	557	
22831200	11/12/19 B	1,600,000	1.000	0	1,600,000-	1,600,000	
22831200	11/19/19 B	57	1.000	0	57-	57	
22831200	01/16/20 B	364	1.000	0	364-	364	
22831200	02/18/20 B	400,000	1.000	0	400,000-	400,000	
22831200	02/19/20 B	300,000	1.000	0	300,000-	300,000	
22831200	03/31/20 B	350,000	1.000	0	350,000-	350,000	
22831200	06/24/20 B	1,228,000	1.000	0	1,228,000-	1,228,000	
22831200	06/26/20 B	822,000	1.000	0	822,000-	822,000	
22831200	07/21/20 B	45,773	1.000	0	45,773-	45,773	
22831200	07/22/20 B	3,346,857	1.000	0	3,346,857-	3,346,857	
22831200	07/31/20 B	1,429	1.000	0	1,429-	1,429	
22831200	08/20/20 B	1,350,000	1.000	0	1,350,000-	1,350,000	
22831200	09/14/20 B	1,800,000	1.000	0	1,800,000-	1,800,000	
22831204	10/01/19 B	0	1.000	0	0-	0	
22831204	11/01/19 B	0	1.000	0	0-	0	
22831204	12/02/19 B	0	1.000	0	0-	0	
22831204	01/02/20 B	0	1.000	0	0-	0	
22831204	02/03/20 B	0	1.000	0	0-	0	
22831204	02/18/20 B	35,000	1.000	0	35,000-	35,000	
22831204	02/18/20 B	215,000	1.000	0	215,000-	215,000	
22831204	03/02/20 B	10	1.000	0	10-	10	
22831204	03/31/20 B	3,405,000	1.000	0	3,405,000-	3,405,000	
22831204	05/01/20 B	4	1.000	0	4-	4	
22831204	06/01/20 B	0	1.000	0	0-	0	
22831204	06/24/20 B	1,569,000	1.000	0	1,569,000-	1,569,000	
22831204	07/01/20 B	0	1.000	0	0-	0	
22831204	07/21/20 B	1,541,201	1.000	0	1,541,201-	1,541,201	
22831204	07/21/20 B	250,000	1.000	0	250,000-	250,000	
22831204	08/03/20 B	6	1.000	0	6-	6	
22831204	08/19/20 B	525,000	1.000	0	525,000-	525,000	
22831204	09/01/20 B	3	1.000	0	3-	3	
22831205	10/01/19 B	62,133	1.000	0	62,133-	62,133	
22831205	10/03/19 B	25	1.000	0	25-	25	
22831205	10/07/19 B	2,732	1.000	0	2,732-	2,732	
22831205	10/08/19 B	5,863	1.000	0	5,863-	5,863	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2019 THROUGH SEPTEMBER 30, 2020**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	10/08/19 B	1,070	1.000	0	1,070-	1,070	
22831205	10/15/19 B	45,697	1.000	0	45,697-	45,697	
22831205	10/16/19 B	2,957,055	1.000	0	2,957,055-	2,957,055	
22831205	10/18/19 B	2,719	1.000	0	2,719-	2,719	
22831205	10/21/19 B	70,994	1.000	0	70,994-	70,994	
22831205	10/21/19 B	3,437	1.000	0	3,437-	3,437	
22831205	10/22/19 B	14,036	1.000	0	14,036-	14,036	
22831205	10/24/19 B	17,950	1.000	0	17,950-	17,950	
22831205	10/25/19 B	119,216	1.000	0	119,216-	119,216	
22831205	10/25/19 B	1,840	1.000	0	1,840-	1,840	
22831205	10/29/19 B	50,792	1.000	0	50,792-	50,792	
22831205	10/30/19 B	7,639	1.000	0	7,639-	7,639	
22831205	11/01/19 B	61,014	1.000	0	61,014-	61,014	
22831205	11/06/19 B	188,371	1.000	0	188,371-	188,371	
22831205	11/07/19 B	157,893	1.000	0	157,893-	157,893	
22831205	11/07/19 B	1,106	1.000	0	1,106-	1,106	
22831205	11/12/19 B	1,584,130	1.000	0	1,584,130-	1,584,130	
22831205	11/13/19 B	26,813	1.000	0	26,813-	26,813	
22831205	11/14/19 B	6,768	1.000	0	6,768-	6,768	
22831205	11/15/19 B	128,854	1.000	0	128,854-	128,854	
22831205	11/15/19 B	838	1.000	0	838-	838	
22831205	11/18/19 B	12,917	1.000	0	12,917-	12,917	
22831205	11/18/19 B	361	1.000	0	361-	361	
22831205	11/18/19 B	1,948	1.000	0	1,948-	1,948	
22831205	11/19/19 B	744	1.000	0	744-	744	
22831205	11/20/19 B	12,848	1.000	0	12,848-	12,848	
22831205	11/21/19 B	8,181	1.000	0	8,181-	8,181	
22831205	11/25/19 B	1,056	1.000	0	1,056-	1,056	
22831205	11/27/19 B	1,112	1.000	0	1,112-	1,112	
22831205	12/02/19 B	50,588	1.000	0	50,588-	50,588	
22831205	12/03/19 B	11,270	1.000	0	11,270-	11,270	
22831205	12/05/19 B	4,594	1.000	0	4,594-	4,594	
22831205	12/06/19 B	11,507	1.000	0	11,507-	11,507	
22831205	12/06/19 B	1,070	1.000	0	1,070-	1,070	
22831205	12/10/19 B	1,789	1.000	0	1,789-	1,789	
22831205	12/12/19 B	925	1.000	0	925-	925	
22831205	12/16/19 B	57,895	1.000	0	57,895-	57,895	
22831205	12/17/19 B	2,719	1.000	0	2,719-	2,719	
22831205	12/18/19 B	61,956	1.000	0	61,956-	61,956	
22831205	12/18/19 B	350	1.000	0	350-	350	
22831205	12/20/19 B	18,155	1.000	0	18,155-	18,155	
22831205	12/20/19 B	29,375	1.000	0	29,375-	29,375	
22831205	12/26/19 B	2,051	1.000	0	2,051-	2,051	
22831205	12/27/19 B	283	1.000	0	283-	283	
22831205	12/31/19 B	1,111	1.000	0	1,111-	1,111	
22831205	01/02/20 B	278	1.000	0	278-	278	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2019 THROUGH SEPTEMBER 30, 2020**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	01/08/20 B	638	1.000	0	638-	638	
22831205	01/08/20 B	1,106	1.000	0	1,106-	1,106	
22831205	01/09/20 B	222,637	1.000	0	222,637-	222,637	
22831205	01/10/20 B	5,100	1.000	0	5,100-	5,100	
22831205	01/10/20 B	925	1.000	0	925-	925	
22831205	01/15/20 B	101,828	1.000	0	101,828-	101,828	
22831205	01/16/20 B	10,197	1.000	0	10,197-	10,197	
22831205	01/17/20 B	8,104	1.000	0	8,104-	8,104	
22831205	01/17/20 B	3,053	1.000	0	3,053-	3,053	
22831205	01/21/20 B	7,731	1.000	0	7,731-	7,731	
22831205	01/22/20 B	50,618	1.000	0	50,618-	50,618	
22831205	01/23/20 B	87,844	1.000	0	87,844-	87,844	
22831205	01/24/20 B	46,129	1.000	0	46,129-	46,129	
22831205	01/29/20 B	47,421	1.000	0	47,421-	47,421	
22831205	01/29/20 B	1,104	1.000	0	1,104-	1,104	
22831205	02/03/20 B	16,151	1.000	0	16,151-	16,151	
22831205	02/06/20 B	9,563	1.000	0	9,563-	9,563	
22831205	02/06/20 B	1,070	1.000	0	1,070-	1,070	
22831205	02/07/20 B	25,721	1.000	0	25,721-	25,721	
22831205	02/10/20 B	45,698	1.000	0	45,698-	45,698	
22831205	02/12/20 B	925	1.000	0	925-	925	
22831205	02/13/20 B	6,986	1.000	0	6,986-	6,986	
22831205	02/18/20 B	953,693	1.000	0	953,693-	953,693	
22831205	02/19/20 B	11,529	1.000	0	11,529-	11,529	
22831205	02/19/20 B	715	1.000	0	715-	715	
22831205	02/21/20 B	182,548	1.000	0	182,548-	182,548	
22831205	02/24/20 B	1,445	1.000	0	1,445-	1,445	
22831205	02/27/20 B	73,744	1.000	0	73,744-	73,744	
22831205	02/27/20 B	43,914	1.000	0	43,914-	43,914	
22831205	03/02/20 B	30,950	1.000	0	30,950-	30,950	
22831205	03/05/20 B	1,306	1.000	0	1,306-	1,306	
22831205	03/06/20 B	109,570	1.000	0	109,570-	109,570	
22831205	03/06/20 B	241,786	1.000	0	241,786-	241,786	
22831205	03/09/20 B	52,556	1.000	0	52,556-	52,556	
22831205	03/13/20 B	990,783	1.000	0	990,783-	990,783	
22831205	03/16/20 B	75,715	1.000	0	75,715-	75,715	
22831205	03/17/20 B	372,178	1.000	0	372,178-	372,178	
22831205	03/17/20 B	8,266	1.000	0	8,266-	8,266	
22831205	03/18/20 B	715	1.000	0	715-	715	
22831205	03/20/20 B	4,312	1.000	0	4,312-	4,312	
22831205	03/20/20 B	29,375	1.000	0	29,375-	29,375	
22831205	03/24/20 B	10,260	1.000	0	10,260-	10,260	
22831205	03/25/20 B	2,051	1.000	0	2,051-	2,051	
22831205	03/26/20 B	56	1.000	0	56-	56	
22831205	03/27/20 B	1,101	1.000	0	1,101-	1,101	
22831205	03/31/20 B	855,681	1.000	0	855,681-	855,681	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2019 THROUGH SEPTEMBER 30, 2020

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	04/01/20 B	55,098	1.000	0	55,098-	55,098	
22831205	04/02/20 B	22,828	1.000	0	22,828-	22,828	
22831205	04/07/20 B	7,336	1.000	0	7,336-	7,336	
22831205	04/07/20 B	1,349	1.000	0	1,349-	1,349	
22831205	04/08/20 B	1,106	1.000	0	1,106-	1,106	
22831205	04/14/20 B	10,493	1.000	0	10,493-	10,493	
22831205	04/15/20 B	68,521	1.000	0	68,521-	68,521	
22831205	04/16/20 B	237,182	1.000	0	237,182-	237,182	
22831205	04/17/20 B	21,361	1.000	0	21,361-	21,361	
22831205	04/17/20 B	2,307	1.000	0	2,307-	2,307	
22831205	04/17/20 B	744	1.000	0	744-	744	
22831205	04/20/20 B	57,273	1.000	0	57,273-	57,273	
22831205	04/23/20 B	259,580	1.000	0	259,580-	259,580	
22831205	04/24/20 B	14,574	1.000	0	14,574-	14,574	
22831205	04/27/20 B	11,959	1.000	0	11,959-	11,959	
22831205	04/27/20 B	751	1.000	0	751-	751	
22831205	04/28/20 B	1,278	1.000	0	1,278-	1,278	
22831205	04/29/20 B	1,100	1.000	0	1,100-	1,100	
22831205	04/30/20 B	325,506	1.000	0	325,506-	325,506	
22831205	05/01/20 B	48,903	1.000	0	48,903-	48,903	
22831205	05/04/20 B	30,586	1.000	0	30,586-	30,586	
22831205	05/06/20 B	6,256	1.000	0	6,256-	6,256	
22831205	05/06/20 B	751	1.000	0	751-	751	
22831205	05/07/20 B	1,426	1.000	0	1,426-	1,426	
22831205	05/07/20 B	1,306	1.000	0	1,306-	1,306	
22831205	05/07/20 B	1,070	1.000	0	1,070-	1,070	
22831205	05/12/20 B	9,750	1.000	0	9,750-	9,750	
22831205	05/13/20 B	17,988	1.000	0	17,988-	17,988	
22831205	05/13/20 B	425,185	1.000	0	425,185-	425,185	
22831205	05/14/20 B	5,843	1.000	0	5,843-	5,843	
22831205	05/15/20 B	115,849	1.000	0	115,849-	115,849	
22831205	05/15/20 B	3,067	1.000	0	3,067-	3,067	
22831205	05/18/20 B	10,951	1.000	0	10,951-	10,951	
22831205	05/20/20 B	11,459	1.000	0	11,459-	11,459	
22831205	05/26/20 B	2,699	1.000	0	2,699-	2,699	
22831205	05/28/20 B	1,098	1.000	0	1,098-	1,098	
22831205	06/01/20 B	41,802	1.000	0	41,802-	41,802	
22831205	06/02/20 B	2,475	1.000	0	2,475-	2,475	
22831205	06/03/20 B	8,795	1.000	0	8,795-	8,795	
22831205	06/05/20 B	51,638	1.000	0	51,638-	51,638	
22831205	06/05/20 B	63,636	1.000	0	63,636-	63,636	
22831205	06/05/20 B	3,115	1.000	0	3,115-	3,115	
22831205	06/08/20 B	24,831	1.000	0	24,831-	24,831	
22831205	06/08/20 B	1,106	1.000	0	1,106-	1,106	
22831205	06/10/20 B	1,789	1.000	0	1,789-	1,789	
22831205	06/11/20 B	175	1.000	0	175-	175	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2019 THROUGH SEPTEMBER 30, 2020**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	06/12/20 B	925	1.000	0	925-	925	
22831205	06/15/20 B	50,121	1.000	0	50,121-	50,121	
22831205	06/16/20 B	2,754	1.000	0	2,754-	2,754	
22831205	06/17/20 B	1,945	1.000	0	1,945-	1,945	
22831205	06/17/20 B	1,200	1.000	0	1,200-	1,200	
22831205	06/18/20 B	744	1.000	0	744-	744	
22831205	06/22/20 B	17,564	1.000	0	17,564-	17,564	
22831205	06/22/20 B	29,375	1.000	0	29,375-	29,375	
22831205	06/24/20 B	69,590	1.000	0	69,590-	69,590	
22831205	06/24/20 B	341,000	1.000	0	341,000-	341,000	
22831205	06/25/20 B	408,311	1.000	0	408,311-	408,311	
22831205	06/25/20 B	3,376	1.000	0	3,376-	3,376	
22831205	06/26/20 B	522,620	1.000	0	522,620-	522,620	
22831205	06/30/20 B	1,097	1.000	0	1,097-	1,097	
22831205	07/01/20 B	13	1.000	0	13-	13	
22831205	07/02/20 B	85,753	1.000	0	85,753-	85,753	
22831205	07/07/20 B	1,426	1.000	0	1,426-	1,426	
22831205	07/08/20 B	252,990	1.000	0	252,990-	252,990	
22831205	07/08/20 B	1,070	1.000	0	1,070-	1,070	
22831205	07/09/20 B	1,306	1.000	0	1,306-	1,306	
22831205	07/10/20 B	173,938	1.000	0	173,938-	173,938	
22831205	07/13/20 B	5,021	1.000	0	5,021-	5,021	
22831205	07/14/20 B	3,434	1.000	0	3,434-	3,434	
22831205	07/16/20 B	397,526	1.000	0	397,526-	397,526	
22831205	07/16/20 B	838	1.000	0	838-	838	
22831205	07/17/20 B	10,209	1.000	0	10,209-	10,209	
22831205	07/17/20 B	1,423	1.000	0	1,423-	1,423	
22831205	07/20/20 B	6,725	1.000	0	6,725-	6,725	
22831205	07/22/20 B	16,340	1.000	0	16,340-	16,340	
22831205	07/22/20 B	1,161,360	1.000	0	1,161,360-	1,161,360	
22831205	07/23/20 B	7,742	1.000	0	7,742-	7,742	
22831205	07/27/20 B	79,231	1.000	0	79,231-	79,231	
22831205	07/27/20 B	2,400	1.000	0	2,400-	2,400	
22831205	07/28/20 B	822,055	1.000	0	822,055-	822,055	
22831205	07/29/20 B	672	1.000	0	672-	672	
22831205	07/31/20 B	24,959	1.000	0	24,959-	24,959	
22831205	08/03/20 B	669,164	1.000	0	669,164-	669,164	
22831205	08/05/20 B	361,370	1.000	0	361,370-	361,370	
22831205	08/06/20 B	1,349	1.000	0	1,349-	1,349	
22831205	08/07/20 B	50,435	1.000	0	50,435-	50,435	
22831205	08/10/20 B	13,316	1.000	0	13,316-	13,316	
22831205	08/12/20 B	1,606,656	1.000	0	1,606,656-	1,606,656	
22831205	08/14/20 B	715,825	1.000	0	715,825-	715,825	
22831205	08/17/20 B	69,393	1.000	0	69,393-	69,393	
22831205	08/17/20 B	1,105	1.000	0	1,105-	1,105	
22831205	08/20/20 B	2,216	1.000	0	2,216-	2,216	

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ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	08/24/20 B	501,542	1.000	0	501,542-	501,542	
22831205	08/25/20 B	5,740	1.000	0	5,740-	5,740	
22831205	08/26/20 B	5,208	1.000	0	5,208-	5,208	
22831205	08/28/20 B	298,323	1.000	0	298,323-	298,323	
22831205	08/28/20 B	1,554	1.000	0	1,554-	1,554	
22831205	08/31/20 B	195,575	1.000	0	195,575-	195,575	
22831205	09/01/20 B	43,817	1.000	0	43,817-	43,817	
22831205	09/08/20 B	2,823	1.000	0	2,823-	2,823	
22831205	09/09/20 B	1,106	1.000	0	1,106-	1,106	
22831205	09/14/20 B	2,642,684	1.000	0	2,642,684-	2,642,684	
22831205	09/15/20 B	1,281,458	1.000	0	1,281,458-	1,281,458	
22831205	09/15/20 B	458,526	1.000	0	458,526-	458,526	
22831205	09/17/20 B	14,705	1.000	0	14,705-	14,705	
22831205	09/17/20 B	1,200	1.000	0	1,200-	1,200	
22831205	09/17/20 B	7,829	1.000	0	7,829-	7,829	
22831205	09/21/20 B	3,269	1.000	0	3,269-	3,269	
22831205	09/22/20 B	29,375	1.000	0	29,375-	29,375	
22831205	09/24/20 B	2,772	1.000	0	2,772-	2,772	
22831205	09/25/20 B	5,108	1.000	0	5,108-	5,108	
22831205	09/28/20 B	363,914	1.000	0	363,914-	363,914	
22831205	09/29/20 B	1,554	1.000	0	1,554-	1,554	
22831205	09/30/20 B	1,092	1.000	0	1,092-	1,092	
SUB-TOTAL OF BUYS # 243				0	45,215,304	45,215,304	
22831200	10/01/19 S	153,478	1.000	0	153,478	153,478	0
22831200	10/21/19 S	16,527	1.000	0	16,527	16,527	0
22831200	10/29/19 S	46,211	1.000	0	46,211	46,211	0
22831200	11/01/19 S	153,996	1.000	0	153,996	153,996	0
22831200	11/07/19 S	226	1.000	0	226	226	0
22831200	11/20/19 S	133,721	1.000	0	133,721	133,721	0
22831200	11/22/19 S	11	1.000	0	11	11	0
22831200	12/02/19 S	153,684	1.000	0	153,684	153,684	0
22831200	01/02/20 S	153,204	1.000	0	153,204	153,204	0
22831200	01/08/20 S	11	1.000	0	11	11	0
22831200	01/14/20 S	111	1.000	0	111	111	0
22831200	01/21/20 S	16,693	1.000	0	16,693	16,693	0
22831200	01/27/20 S	9,500	1.000	0	9,500	9,500	0
22831200	02/03/20 S	153,490	1.000	0	153,490	153,490	0
22831200	02/10/20 S	45,158	1.000	0	45,158	45,158	0
22831200	02/18/20 S	147,514	1.000	0	147,514	147,514	0
22831200	02/20/20 S	3,300	1.000	0	3,300	3,300	0
22831200	02/21/20 S	251,223	1.000	0	251,223	251,223	0
22831200	02/24/20 S	271,832	1.000	0	271,832	271,832	0
22831200	02/24/20 S	8,922	1.000	0	8,922	8,922	0
22831200	02/26/20 S	578	1.000	0	578	578	0

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ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831200	02/27/20 S	105,791	1.000	0	105,791	105,791	0
22831200	03/02/20 S	163,486	1.000	0	163,486	163,486	0
22831200	03/25/20 S	33,032	1.000	0	33,032	33,032	0
22831200	04/01/20 S	161,426	1.000	0	161,426	161,426	0
22831200	04/08/20 S	9,500	1.000	0	9,500	9,500	0
22831200	04/09/20 S	12	1.000	0	12	12	0
22831200	04/16/20 S	849	1.000	0	849	849	0
22831200	04/20/20 S	17,427	1.000	0	17,427	17,427	0
22831200	04/22/20 S	12,117	1.000	0	12,117	12,117	0
22831200	04/24/20 S	1,459	1.000	0	1,459	1,459	0
22831200	05/01/20 S	163,907	1.000	0	163,907	163,907	0
22831200	05/21/20 S	780,849	1.000	0	780,849	780,849	0
22831200	05/26/20 S	45,773	1.000	0	45,773	45,773	0
22831200	06/01/20 S	164,054	1.000	0	164,054	164,054	0
22831200	06/22/20 S	177,401	1.000	0	177,401	177,401	0
22831200	06/23/20 S	7,626	1.000	0	7,626	7,626	0
22831200	06/25/20 S	515,800	1.000	0	515,800	515,800	0
22831200	07/01/20 S	175,662	1.000	0	175,662	175,662	0
22831200	07/08/20 S	63,547	1.000	0	63,547	63,547	0
22831200	07/14/20 S	437,004	1.000	0	437,004	437,004	0
22831200	07/16/20 S	4,548	1.000	0	4,548	4,548	0
22831200	07/20/20 S	17,423	1.000	0	17,423	17,423	0
22831200	07/20/20 S	9,500	1.000	0	9,500	9,500	0
22831200	07/22/20 S	1,488	1.000	0	1,488	1,488	0
22831200	07/23/20 S	6,042	1.000	0	6,042	6,042	0
22831200	07/24/20 S	2,040,595	1.000	0	2,040,595	2,040,595	0
22831200	08/03/20 S	192,912	1.000	0	192,912	192,912	0
22831200	08/04/20 S	1,429	1.000	0	1,429	1,429	0
22831200	08/18/20 S	1,275,412	1.000	0	1,275,412	1,275,412	0
22831200	08/20/20 S	47,670	1.000	0	47,670	47,670	0
22831200	09/01/20 S	1,105,287	1.000	0	1,105,287	1,105,287	0
22831200	09/03/20 S	1,140	1.000	0	1,140	1,140	0
22831200	09/11/20 S	524,198	1.000	0	524,198	524,198	0
22831204	02/19/20 S	250,000	1.000	0	250,000	250,000	0
22831204	04/01/20 S	2,446,982	1.000	0	2,446,982	2,446,982	0
22831204	04/01/20 S	150,000	1.000	0	150,000	150,000	0
22831204	04/02/20 S	808,000	1.000	0	808,000	808,000	0
22831204	06/24/20 S	1,569,000	1.000	0	1,569,000	1,569,000	0
22831204	07/22/20 S	1,541,201	1.000	0	1,541,201	1,541,201	0
22831204	08/20/20 S	525,000	1.000	0	525,000	525,000	0
22831205	10/03/19 S	15,097	1.000	0	15,097	15,097	0
22831205	10/18/19 S	3,220,466	1.000	0	3,220,466	3,220,466	0
22831205	10/23/19 S	45,942	1.000	0	45,942	45,942	0
22831205	11/04/19 S	14,429	1.000	0	14,429	14,429	0
22831205	11/06/19 S	220,883	1.000	0	220,883	220,883	0
22831205	11/07/19 S	466,079	1.000	0	466,079	466,079	0

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ALLISON SALARIED CONSOLIDATED
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22831205	11/08/19 S	9,020	1.000	0	9,020	9,020	0
22831205	11/12/19 S	1,600,000	1.000	0	1,600,000	1,600,000	0
22831205	11/25/19 S	54,987	1.000	0	54,987	54,987	0
22831205	12/04/19 S	69,613	1.000	0	69,613	69,613	0
22831205	12/09/19 S	120,410	1.000	0	120,410	120,410	0
22831205	12/19/19 S	144,213	1.000	0	144,213	144,213	0
22831205	01/08/20 S	18,777	1.000	0	18,777	18,777	0
22831205	01/08/20 S	638	1.000	0	638	638	0
22831205	01/13/20 S	220,615	1.000	0	220,615	220,615	0
22831205	01/27/20 S	429,198	1.000	0	429,198	429,198	0
22831205	02/04/20 S	79,593	1.000	0	79,593	79,593	0
22831205	02/05/20 S	7,069	1.000	0	7,069	7,069	0
22831205	02/18/20 S	615,000	1.000	0	615,000	615,000	0
22831205	02/25/20 S	470,641	1.000	0	470,641	470,641	0
22831205	03/05/20 S	62,035	1.000	0	62,035	62,035	0
22831205	03/10/20 S	14,086	1.000	0	14,086	14,086	0
22831205	03/12/20 S	238,993	1.000	0	238,993	238,993	0
22831205	03/13/20 S	993,155	1.000	0	993,155	993,155	0
22831205	03/17/20 S	238,207	1.000	0	238,207	238,207	0
22831205	03/18/20 S	29	1.000	0	29	29	0
22831205	03/19/20 S	12,270	1.000	0	12,270	12,270	0
22831205	03/31/20 S	1,079,214	1.000	0	1,079,214	1,079,214	0
22831205	04/13/20 S	463,113	1.000	0	463,113	463,113	0
22831205	04/16/20 S	182,138	1.000	0	182,138	182,138	0
22831205	04/21/20 S	724	1.000	0	724	724	0
22831205	04/28/20 S	9,666	1.000	0	9,666	9,666	0
22831205	04/30/20 S	299,473	1.000	0	299,473	299,473	0
22831205	05/04/20 S	751	1.000	0	751	751	0
22831205	05/11/20 S	30,671	1.000	0	30,671	30,671	0
22831205	05/21/20 S	421,424	1.000	0	421,424	421,424	0
22831205	05/27/20 S	171,851	1.000	0	171,851	171,851	0
22831205	05/28/20 S	255,788	1.000	0	255,788	255,788	0
22831205	05/29/20 S	213,461	1.000	0	213,461	213,461	0
22831205	06/04/20 S	48,595	1.000	0	48,595	48,595	0
22831205	06/15/20 S	276,087	1.000	0	276,087	276,087	0
22831205	06/19/20 S	114,767	1.000	0	114,767	114,767	0
22831205	06/24/20 S	88,532	1.000	0	88,532	88,532	0
22831205	06/25/20 S	367,367	1.000	0	367,367	367,367	0
22831205	06/29/20 S	315,563	1.000	0	315,563	315,563	0
22831205	06/29/20 S	575,017	1.000	0	575,017	575,017	0
22831205	07/10/20 S	184,404	1.000	0	184,404	184,404	0
22831205	07/15/20 S	100,433	1.000	0	100,433	100,433	0
22831205	07/22/20 S	1,850,000	1.000	0	1,850,000	1,850,000	0
22831205	07/23/20 S	80,325	1.000	0	80,325	80,325	0
22831205	07/28/20 S	603,449	1.000	0	603,449	603,449	0
22831205	07/29/20 S	70,902	1.000	0	70,902	70,902	0

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22831205	07/30/20 S	84,220	1.000	0	84,220	84,220	0
22831205	08/04/20 S	122,281	1.000	0	122,281	122,281	0
22831205	08/05/20 S	29,948	1.000	0	29,948	29,948	0
22831205	08/05/20 S	299,452	1.000	0	299,452	299,452	0
22831205	08/06/20 S	73,952	1.000	0	73,952	73,952	0
22831205	08/07/20 S	9,174	1.000	0	9,174	9,174	0
22831205	08/12/20 S	1,642	1.000	0	1,642	1,642	0
22831205	08/12/20 S	644,078	1.000	0	644,078	644,078	0
22831205	08/13/20 S	471,053	1.000	0	471,053	471,053	0
22831205	08/18/20 S	214,116	1.000	0	214,116	214,116	0
22831205	08/18/20 S	136,910	1.000	0	136,910	136,910	0
22831205	08/19/20 S	279,784	1.000	0	279,784	279,784	0
22831205	08/20/20 S	177,391	1.000	0	177,391	177,391	0
22831205	08/20/20 S	575,000	1.000	0	575,000	575,000	0
22831205	08/24/20 S	462,693	1.000	0	462,693	462,693	0
22831205	08/25/20 S	458,957	1.000	0	458,957	458,957	0
22831205	08/27/20 S	54,963	1.000	0	54,963	54,963	0
22831205	08/28/20 S	162,673	1.000	0	162,673	162,673	0
22831205	08/31/20 S	299,876	1.000	0	299,876	299,876	0
22831205	09/10/20 S	33,082	1.000	0	33,082	33,082	0
22831205	09/14/20 S	1,800,000	1.000	0	1,800,000	1,800,000	0
22831205	09/16/20 S	2,475,479	1.000	0	2,475,479	2,475,479	0
22831205	09/28/20 S	398,674	1.000	0	398,674	398,674	0
SUB-TOTAL OF SALES # 136				0	43,724,497	43,724,497	0
SUB-TOTAL				0	88,939,801	88,939,801	0
ISSUE: 912810SH2 - US TREASURY BOND 2.875% 5/15/49							
22831205	10/16/19 B	2,800,000	1.138	0	3,187,406-	3,187,406	
22831205	10/18/19 B	75,000	1.137	0	85,248-	85,248	
22831205	10/22/19 B	35,000	1.130	0	39,539-	39,539	
22831205	10/23/19 B	155,000	1.135	0	175,949-	175,949	
22831205	10/25/19 B	120,000	1.126	0	135,169-	135,169	
22831205	10/25/19 B	125,000	1.128	0	140,977-	140,977	
SUB-TOTAL OF BUYS # 6				0	3,764,288	3,764,288	
22831205	11/01/19 S	165,000	1.128	0	186,115	189,972	-3,857
22831205	12/17/19 S	345,000	1.122	0	387,101	397,214	-10,113
22831205	03/03/20 S	210,000	1.287	0	270,285	241,782	28,502
22831205	03/03/20 S	330,000	1.294	0	426,860	379,944	46,916
22831205	03/30/20 S	250,000	1.390	0	347,607	287,836	59,771
22831205	05/26/20 S	345,000	1.346	0	464,389	397,214	67,175

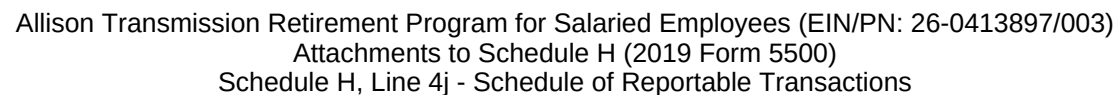
**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2019 THROUGH SEPTEMBER 30, 2020**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	06/22/20 S	100,000	1.345	0	134,531	115,134	19,397
22831205	07/13/20 S	500,000	1.374	0	686,836	575,672	111,164
22831205	07/13/20 S	285,000	1.382	0	393,923	328,133	65,790
22831205	07/28/20 S	80,000	1.407	0	112,550	92,108	20,442
22831205	08/10/20 S	695,000	1.409	0	979,163	800,185	178,978
22831205	08/26/20 S	420,000	1.346	0	565,441	483,565	81,877
22831205	08/27/20 S	655,000	1.353	0	886,527	754,131	132,396
SUB-TOTAL OF SALES # 13				0	5,841,328	5,042,890	798,439
SUB-TOTAL				0	9,605,616	8,807,178	798,439
GRAND TOTAL				0	98,545,417	97,746,979	798,439

FOOTNOTES

* = SINGLE TRANSACTION IS 5% REPORTABLE
 B = BUY TRANSACTION
 S = SELL TRANSACTION
 R = REINVESTMENT TRANSACTION



**REPORTABLE TRANSACTIONS - SINGLE / BY BROKER
FOR THE PERIOD OCTOBER 1, 2019 THROUGH SEPTEMBER 30, 2020**

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

*** NO TRANSACTIONS QUALIFIED FOR THIS REPORT ***

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <hr/> <small>Department of Labor Employee Benefits Security Administration</small> <hr/> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection
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For calendar plan year 2019 or fiscal plan year beginning 10/01/2019 and ending 09/30/2020

► **Round off amounts to nearest dollar.**

► **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan Allison Transmission Retirement Program for Salaried Employees	B Three-digit plan number (PN) ►	003
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF Allison Transmission, Inc.	D Employer Identification Number (EIN) 26-0413897	
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B		
F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500		

Part I Basic Information

1 Enter the valuation date: Month <u>10</u> Day <u>01</u> Year <u>2019</u>			
2 Assets:			
a Market value.....	2a	111,462,943	
b Actuarial value.....	2b	111,462,943	
3 Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a For retired participants and beneficiaries receiving payment.....	317	23,613,504	23,613,504
b For terminated vested participants.....	125	5,254,574	5,254,574
c For active participants.....	356	44,350,099	44,350,099
d Total.....	798	73,218,177	73,218,177
4 If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐			
a Funding target disregarding prescribed at-risk assumptions.....	4a		
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor.....	4b		
5 Effective interest rate.....	5	5.62%	
6 Target normal cost.....	6	3,594,315	

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	 Signature of actuary David L. Jakes, FSA, EA, MAAA Type or print name of actuary Mercer Firm name 325 John H McConnell Blvd Suite 350 Columbus OH 43215 Address of the firm	<u>7/2/2021</u> Date 2005110 Most recent enrollment number 614-227-5555 Telephone number (including area code)
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If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice, see the Instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2019
v. 190130

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 3.74 %	2nd segment: 5.35 %	3rd segment: 6.11 %	☐ N/A, full yield curve used
b Applicable month (enter code).....				21b 0
22 Weighted average retirement age				22 61
23 Mortality table(s) (see instructions)	☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute			

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment	☒ Yes ☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment	☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):												
a Target normal cost (line 6).....	31a	3,594,315										
b Excess assets, if applicable, but not greater than line 31a	31b	3,594,315										
32 Amortization installments:	<table border="1"> <thead> <tr> <th></th> <th>Outstanding Balance</th> <th>Installment</th> </tr> </thead> <tbody> <tr> <td>a Net shortfall amortization installment.....</td> <td>0</td> <td>0</td> </tr> <tr> <td>b Waiver amortization installment</td> <td>0</td> <td>0</td> </tr> </tbody> </table>				Outstanding Balance	Installment	a Net shortfall amortization installment.....	0	0	b Waiver amortization installment	0	0
	Outstanding Balance	Installment										
a Net shortfall amortization installment.....	0	0										
b Waiver amortization installment	0	0										
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33											
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33).....	34	0										
		Carryover balance	Prefunding balance									
35 Balances elected for use to offset funding requirement.....		0	0									
36 Additional cash requirement (line 34 minus line 35).....	36	0										
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....	37	0										
38 Present value of excess contributions for current year (see instructions)												
a Total (excess, if any, of line 37 over line 36)	38a	0										
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0										
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37).....	39	0										
40 Unpaid minimum required contributions for all years	40	0										

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:		
a Schedule elected	☐ 2 plus 7 years ☐ 15 years	
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011	

Schedule SB, line 22 — Description of Weighted Average Retirement Age

Each employee is assumed to retire in accordance with the table of retirement rates. The proportion of employees expected to retire at each potential retirement age is shown below. The average retirement age is 61.

(A) Retirement age	(B) Retirement percent	(C) Lx	(D) Number of employees expected to retire = (B) x (C)	(E) = (A) x (D)
52	5.00%	10,000	500	26,000
53	5.00%	9,500	475	25,175
54	5.00%	9,025	451	24,368
55	5.00%	8,574	429	23,578
56	5.00%	8,145	407	22,806
57	5.00%	7,738	387	22,053
58	5.00%	7,351	368	21,318
59	5.00%	6,983	349	20,601
60	15.00%	6,634	995	59,708
61	15.00%	5,639	846	51,598
62	15.00%	4,793	719	44,577
63	15.00%	4,074	611	38,501
64	15.00%	3,463	519	33,246
65	30.00%	2,944	883	57,401
66	30.00%	2,061	618	40,799
67	30.00%	1,442	433	28,992
68	30.00%	1,010	303	20,597
69	30.00%	707	212	14,630
70	100.00%	495	495	34,632
Total			10,000	610,580
Average				61.06

Schedule SB, Part V — Summary of Plan Provisions

SUMMARY OF MAJOR PLAN PROVISIONS

Effective date and plan year	Original plan: October 1, 2007 Restated plan: October 1, 2012 Plan year: October 1- September 30.
Most recent amendment	Amendment Number Three (executed April 26, 2019)
Type of Plan	Defined benefit Part A: Career Average Plan Part C: Account Balance Plan
Miscellaneous	
Maximum benefits	Annual benefits may not exceed the limits in IRC Section 415. This limit is indexed annually. For 2019, the limit is \$225,000.
Maximum compensation	Annual compensation may not exceed the limits in IRS Section 415. This limit is indexed annually. For 2019, the limit is \$280,000.
Actuarial equivalence	Based on IRC Section 417(e)(3) interest rates and mortality.

Separate descriptions follow for Part A: Career Average Plan and Part C: Account Balance Plan

Schedule SB, Part V — Summary of Plan Provisions

PLAN A: CAREER AVERAGE PLAN	
DEFINITIONS	
• Covered employees	Salaried employees who are Participants with a Length of Service date with GM prior to January 1, 2001 and who were accruing a benefit under Part D of the GM Plan immediately prior to August 7, 2007.
• Vesting service	Participants become vested after 3 years of Credited Service (including Credited Service prior to August 7, 2007 with GM).
• Credited service	Number of years (to the nearest 1/12) beginning August 7, 2007 during which an employee in regular employment receives compensation. Credited Service prior to August 7, 2007 with GM counts toward eligibility and vesting, but not benefit accrual.
• Compensation considered	Base Salary (including elective 401(k) and 401(m) deferrals and employee elections). Incentive compensation pay (iComp Program) is included effective January 1, 2008.
• Actuarial value	Calculated based on the “applicable mortality table” and the “applicable interest rate” for the third full month prior to the first day of the Plan Year preceding the determination date as described in Code Section 417(e).
NORMAL RETIREMENT	
• Eligibility	Later of age 65 or the fifth anniversary of date the employee commenced participation in the GM Salaried Retirement Program.
• Benefit	1.25% multiplied by Average Monthly Base Salary for each Plan Year.
• Pre-Effective Date Benefit	Effective as of October 2, 2007, all Part A Participants shall be entitled to a monthly benefit (in addition to the normal benefit) equal to 1.25% multiplied by the Part A Participant's Base Salary from August 7, 2007 through October 1, 2007, divided by 12.
EARLY RETIREMENT	
• Eligibility	Age 55 with 10 years of service (or 30 or more years of service and hired prior to January 1, 1988)

Schedule SB, Part V — Summary of Plan Provisions

• Benefit

Normal Retirement Benefit reduced for retirement prior to age 62 if :

1. Age 60
2. Age 55 with 85 age and service points (and hired prior to 1/1/1988)
3. 30 or more years of service (and hired prior to 1/1/1988)

Normal Retirement Benefit reduced for retirement prior to age 65 if:

4. Age 55 but not age 60 with less than 85 age and service points
5. Less than age 60 (and hired on or after 1/1/1988)

Early retirement factors are as follows:

Age	Age 60 or hired before 1/1/1988 with age 55/85 points or 30 years	Age 55 with less than 85 points or less than age 60 (hired on or after 1/1/1988)
42	21.0%	N/A
43	22.6%	N/A
44	24.3%	N/A
45	26.1%	N/A
46	28.2%	N/A
47	30.4%	N/A
48	32.8%	N/A
49	35.4%	N/A
50	38.3%	N/A
51	41.5%	N/A
52	45.0%	N/A
53	48.9%	N/A
54	53.2%	N/A
55	57.9%	46.0%
56	63.5%	49.6%
57	69.4%	53.2%
58	75.2%	56.8%
59	80.8%	60.4%
60	86.7%	64.0%
61	93.3%	71.2%
62	100.0%	78.4%

Schedule SB, Part V — Summary of Plan Provisions

	63	100.0%	85.6%
	64	100.0%	92.8%
	65	100.0%	100.0%
Early Retirement factors are prorated for intermediate ages computed on the basis of the number of complete calendar months by which the participant is under the age attained at the participant's next birthday.			

Schedule SB, Part V — Summary of Plan Provisions

DEFERRED VESTED																																													
<ul style="list-style-type: none"> Eligibility 	Vested upon termination																																												
<ul style="list-style-type: none"> Benefit 	<p>Accrued benefit as of the Termination Date is payable at the Normal Retirement Age (or a reduced benefit is payable after age 25). Early retirement factors are as follows:</p> <table border="1"> <thead> <tr> <th>Age</th><th>Percentage</th></tr> </thead> <tbody> <tr><td>25</td><td>3.5%</td></tr> <tr><td>30</td><td>5.1%</td></tr> <tr><td>35</td><td>7.5%</td></tr> <tr><td>40</td><td>11.0%</td></tr> <tr><td>45</td><td>16.4%</td></tr> <tr><td>50</td><td>24.8%</td></tr> <tr><td>51</td><td>27.0%</td></tr> <tr><td>52</td><td>29.4%</td></tr> <tr><td>53</td><td>32.1%</td></tr> <tr><td>54</td><td>35.0%</td></tr> <tr><td>55</td><td>42.8%</td></tr> <tr><td>56</td><td>46.8%</td></tr> <tr><td>57</td><td>51.2%</td></tr> <tr><td>58</td><td>55.5%</td></tr> <tr><td>59</td><td>59.6%</td></tr> <tr><td>60</td><td>64.0%</td></tr> <tr><td>61</td><td>71.2%</td></tr> <tr><td>62</td><td>78.4%</td></tr> <tr><td>63</td><td>85.6%</td></tr> <tr><td>64</td><td>92.8%</td></tr> <tr><td>65</td><td>100.0%</td></tr> </tbody> </table>	Age	Percentage	25	3.5%	30	5.1%	35	7.5%	40	11.0%	45	16.4%	50	24.8%	51	27.0%	52	29.4%	53	32.1%	54	35.0%	55	42.8%	56	46.8%	57	51.2%	58	55.5%	59	59.6%	60	64.0%	61	71.2%	62	78.4%	63	85.6%	64	92.8%	65	100.0%
Age	Percentage																																												
25	3.5%																																												
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65	100.0%																																												
	Early retirement factors are prorated for intermediate ages computed on the basis of the number of complete calendar months by which the participant is under the age attained at the participant's next birthday.																																												
DISABILITY																																													
<ul style="list-style-type: none"> Eligibility 	Disabled prior to age 65 with 10 years of service or more																																												

Schedule SB, Part V — Summary of Plan Provisions

• Benefit	The Normal Retirement Benefit as of the date of disability is payable immediately (without reduction).
PRE-RETIREMENT DEATH	
• Eligibility	Vested upon Participant's death
• Benefit prior to early retirement	50% of the deferred benefit otherwise payable under the plan is paid to the surviving spouse.
• Benefit after early but before normal retirement	65% of the benefit otherwise payable at the Participant's Early Retirement Benefit date is paid to the surviving spouse.
FORM OF BENEFITS	
• Automatic form for unmarried participants	Life Annuity
• Automatic form for married participants	<p>65% joint and survivor annuity (immediate commencements) 50% joint and survivor annuity (deferred commencements)</p> <p>Benefit is 95% of the monthly basic benefit if the spouse's age is within 5 years of the participant's age. Such percentage is increased by one half of one percent (1/2%) (up to a maximum of 100%) for each 12 months in excess of 5 years that the spouse's age exceeds the participant's age and decreased by one-half of one percent (1/2%) for each 12 months in excess of 5 years that the spouse's age is less than the participant's age.</p>
• Optional forms	<p>Contingent Annuitant annuity in any designated percentage (in 5% increments) up to 100%</p> <p>Lump sum</p>
• Optional form conversion factors	<p>Contingent Annuitant: Fixed factors based on the age difference between the participant and contingent beneficiary are used to convert the accrued benefit to the optional form</p> <p>Lump sum: Convert the accrued benefit to the optional form based on Actuarial Value</p>

Schedule SB, Part V — Summary of Plan Provisions

MISCELLANEOUS	
Addendum A enhancements	(Effective 12/1/2008)
• Eligibility	Part A Participants (named in the plan document) who, as of early 2009, had: (1) retired and terminated and requested a benefit calculation; or (2) retired or terminated and are in pay status
• Benefit	<p>Normal retirement benefit, but if the Addendum A Participant retires voluntarily with a Length of Service Date prior to January 1, 1988 with either 30 years of Credited Service or whose combined years of age and years of Credited Service (to the nearest 1/12 in each case) at retirement total 85 or more, the monthly basic benefit otherwise payable to such Employee after he attains Age 62 and One Month shall be redetermined without reduction for commencement prior to age 62.</p> <p>In addition, in lieu of the Pre-Effective Date Benefit to which participants in general are entitled, Addendum A Participants shall be entitled to a monthly benefit equal to 1.25% multiplied by the Addendum A Participant's Base Salary from August 7, 2007 through September 30, 2007, divided by the number of months worked by the Addendum A Participant during this period</p>
Addendum B enhancements	(Effective 10/1/2010)
• Eligibility	Part A Participants who, (1) retire voluntarily with a Length of Service Date on or before January 1, 1988, with 30 or more years of Credited Service or combined years of age and years of Credited Service (to the nearest 1/12th in each case) at retirement totaling 85 or more; (2) retire and begin receiving benefits on or before November 1, 2009; and (3) are not listed on Addendum A as Addendum A Participants.
• Benefit	Normal retirement benefit, but the monthly basic benefit otherwise payable to such Employee after he attains Age 62 and One Month shall be redetermined without reduction for commencement prior to age 62.

Schedule SB, Part V — Summary of Plan Provisions

**PART C: ACCOUNT
BALANCE PLAN****DEFINITIONS**

• Covered employees	A salaried employee who is a participant and whose Length of Service Date with GM is on or after January 1, 2001 and before January 1, 2007, and who, prior to January 1, 2007, accrued a benefit under Part C of the GM Plan and was not vested in such benefits as of August 7, 2007.
• Vesting service	Participants become vested after 3 years of Credited Service (including Credited Service prior to August 7, 2007 with GM).
• Notional account balance	As of October 2, 2007 an account balance equal to the sum of the participant's pay credits and interest credits earned under Part C of the GM Salaried Retirement Program as of July 31, 2007 plus interest credits as of August 31, 2007 and for each month thereafter until October 1, 2007 based on the interest credit definition in the plan, using October 1, 2006 as the first day of the Plan Year under the GM Plan for which these interest credits are being calculated.
• Pay credits	No "pay credits" shall be credited to a Part C Participant's account balance under the Plan.
• Interest credits	Each Part C Participant's account balance is credited with interest credits as of the end of each month beginning October 31, 2007. Interest credits equal the account balance as of the end of the previous month times the monthly interest credit percentage. The account balance as of the end of the previous month shall be determined after any interest credits have been credited for such previous months. The monthly interest credit percentage is equal to $[(1 + \text{the annual interest rate})^{\frac{1}{12}} - 1]$. (The annual interest rate is the greater of 3.25% or the annual rate of interest on 30-year Treasury securities for the third full month prior to the first day of the Plan Year during which interest credits are being credited.)
• Account balance after separation	If vested, a Part C Participant's account balance continues to be credited with interest credits until the end of the month preceding the benefit commencement date.
• Actuarial value	Calculated based on the "applicable mortality table" and the "applicable interest rate" for the third full month prior to the first day of the Plan Year preceding the determination date as described in Code Section 417(e).
• Normal retirement age	Later of age 65 or the fifth anniversary of date the employee commenced participation in the GM Salaried Retirement Program.

Schedule SB, Part V — Summary of Plan Provisions

Benefit Commencement	
• Eligibility	Earlier of : 1. Normal Retirement Age 2. First of the month following separation from service following a request to commence benefits.
• Benefit	Either of the following (depending on the elected form of benefit): 1. A monthly annuity benefit beginning on the benefit commencement date that has the same Actuarial Value of the vested account balance. 2. A lump sum payment as of the benefit commencement date equal to the vested account balance as of that date.
Pre-retirement death	
• Eligibility	If account is vested, then upon the Participant's death
• Benefit prior to benefit commencement (if married for at least one year at the time of death)	Either of the following (depending on the elected form of benefit): 1. A monthly annuity benefit beginning on the surviving spouse's benefit commencement date that has the same Actuarial Value of the vested account balance. 2. A lump sum payment as of the surviving spouse's benefit commencement date equal to the vested account balance as of that date.
• Benefit prior to benefit commencement (if not married or married for less than one year at the time of death)	The designated beneficiary or designated beneficiaries or estate shall receive a lump-sum payment payable as of the first day of the month following the date of death. The lump-sum payment shall equal the vested account balance as of that date.
Form of benefits	
• Automatic form for unmarried participants	Life annuity
• Automatic form for married participants	50% joint and survivor annuity
• Optional forms	75% joint and survivor annuity (for married participants) Lump sum payment
• Optional form conversion factors	Calculated based on the Actuarial Value

Schedule SB, Part V — Summary of Plan Provisions

Benefits Included or Excluded

Unless noted below, all benefits provided by the plan are included in this valuation:

- **Most recent plan amendments included:** Amendment Number Three (executed April 26, 2019).
- **Plan amendments excluded:** None
- **Late retirement increases:**
 - Active participants: The plan provides benefit suspension notices to participants who work beyond normal retirement; therefore, late retirement actuarial increases only apply to participants who defer retirement beyond age 70½. This valuation includes increases for current participants over age 70.
 - Deferred vested participants: Current deferred vested participants over normal retirement age are valued including the late retirement actuarial increase.
- **Internal Revenue Code limitations:** The limitations of Internal Revenue Code Section 415(b) and 401(a)(17) have been incorporated into our calculations.
- **IRC Section 416 rules for top-heavy plans:** We did not test whether this plan is top-heavy (when the present value of benefits for key employees equals or exceeds 60% of the present value for all participants). However, we expect that the plan is not top-heavy due to the large number of rank-and-file participants; therefore, the funding target and target normal cost do not reflect any liability for top-heavy benefit accruals.

Additional Benefits Included or Excluded

- **IRC Section 436 benefit restrictions:**
 - *Unpredictable contingent event benefits:* Not applicable.
 - *Plan amendments:* See above.
 - *Prohibited payments:* Limitations on prohibited benefits (if any) are reflected for annuity starting dates before the valuation date but are ignored for annuity starting dates on or after the valuation date.
 - *Benefit accruals:* The plan's funding target does not reflect any limitation on benefit accruals. The target normal cost does not reflect any limitation on benefit accruals.
- **Unpredictable contingent event benefits:** The plan does not have any unpredictable contingent event benefits.

PLAN PROVISION CHANGES SINCE PRIOR VALUATION

Maximum compensation amounts and maximum benefit amounts under IRS rules were updated from 2018 to 2019.

ASSET DETAIL REVALUED COST
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ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	US TREASURY BOND DTD 11/15/18 0.000 11/15/2048 CUSIP 912834UB2					
1,200,000.000	ALLISON SALARIED-RYAN LABS	52.6660	631,992.00	64.4350	773,220.00	141,228.00
1,200,000.000	TOTAL US TREASURY BOND	11/15/48	631,992.00		773,220.00	141,228.00
	US TREASURY BOND DTD 11/15/19 0.000 11/15/2049 CUSIP 912834VE5					
5,140,000.000	ALLISON SALARIED-RYAN LABS	64.7650	3,328,921.00	63.4820	3,262,974.80	65,946.20-
5,140,000.000	TOTAL US TREASURY BOND	11/15/49	3,328,921.00		3,262,974.80	65,946.20-
	US TREASURY BOND DTD 06/01/20 1.125 05/15/2040 CUSIP 912810SR0					
960,000.000	ALLISON SALARIED-RYAN LABS	102.3984	983,025.00	98.6090	946,646.40	36,378.60-
960,000.000	TOTAL US TREASURY BOND	1.125% 5/15/40	983,025.00		946,646.40	36,378.60-
	US TREASURY BOND DTD 05/15/20 1.250 05/15/2050 CUSIP 912810SN9					
1,000,000.000	ALLISON SALARIED-RYAN LABS	96.2266	962,265.63	95.0630	950,630.00	11,635.63-
1,000,000.000	TOTAL US TREASURY BOND	1.250% 5/15/50	962,265.63		950,630.00	11,635.63-
	US TREASURY BOND DTD 02/18/20 2.000 02/15/2050 CUSIP 912810SL3					
195,000.000	ALLISON SALARIED-RYAN LABS	116.1825	226,555.83	113.4530	221,233.35	5,322.48-
195,000.000	TOTAL US TREASURY BOND	2.000% 2/15/50	226,555.83		221,233.35	5,322.48-
	US TREASURY BOND DTD 05/15/10 4.375 05/15/2040 CUSIP 912810QH4					
75,000.000	ALLISON SALARIED-RYAN LABS	162.0781	121,558.59	157.2340	117,925.50	3,633.09-
75,000.000	TOTAL US TREASURY BOND	4.375% 5/15/40	121,558.59		117,925.50	3,633.09-

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	US TREASURY NOTE DTD 08/17/20 0.625 08/15/2030 CUSIP 91282CAE1					
400,000.000	ALLISON SALARIED-RYAN LABS	99.5937	398,375.00	99.5000	398,000.00	375.00-
400,000.000	TOTAL US TREASURY NOTE 0.625% 8/15/30		398,375.00		398,000.00	375.00-
	TOTAL US TREASURY NOTES AND BONDS		6,652,693.05		6,670,630.05	17,937.00
	GOVT STRIPPED AND ZERO-COUPON					
	US TREAS SEC STRIPPED ZERO CPN DTD 11/15/11 11/15/2041 CUSIP 912834KV9					
4,280,000.000	ALLISON SALARIED-RYAN LABS	62.0740	2,656,767.20	74.2040	3,175,931.20	519,164.00
4,280,000.000	TOTAL US TREAS SEC STRIPPED		2,656,767.20		3,175,931.20	519,164.00
	US TREASURY BOND STRIPPED ZERO CPN DTD 05/15/14 05/15/2044 CUSIP 912834NP9					
2,080,000.000	ALLISON SALARIED-RYAN LABS	58.1650	1,209,832.00	69.9380	1,454,710.40	244,878.40
2,080,000.000	TOTAL US TREAS SEC STRIPPED 5/15/44		1,209,832.00		1,454,710.40	244,878.40
	US TREAS SEC STRIPPED ZERO CPN DTD 05/16/16 05/15/2046 CUSIP 912834QH4					
2,490,000.000	ALLISON SALARIED-RYAN LABS	55.3730	1,378,787.70	67.1950	1,673,155.50	294,367.80
2,490,000.000	TOTAL US TREAS SEC STRIPPED 5/15/46		1,378,787.70		1,673,155.50	294,367.80
	US TREAS SEC STRIPPED ZERO CPN DTD 08/17/15 08/15/2045 CUSIP 912834PM4					
2,105,000.000	ALLISON SALARIED-RYAN LABS	56.2990	1,185,093.95	68.2670	1,437,020.35	251,926.40
2,105,000.000	TOTAL US TREAS SEC STRIPPED 8/15/45		1,185,093.95		1,437,020.35	251,926.40

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	US TREAS SEC STRIPPED DTD 08/15/17 08/15/2047 CUSIP 912834RR1					
7,200,000.000	ALLISON SALARIED-RYAN LABS	53.9990	3,887,928.00	65.4320	4,711,104.00	823,176.00
7,200,000.000	TOTAL US TREAS SEC STRIPPED	8/15/47	3,887,928.00		4,711,104.00	823,176.00
	US TREASURY BOND STRIPPED ZERO CPN DTD 11/15/13 11/15/2043 CUSIP 912834MZ8					
1,060,000.000	ALLISON SALARIED-RYAN LABS	58.7890	623,163.40	70.7730	750,193.80	127,030.40
1,060,000.000	TOTAL US TREAS SEC STRIPPED	11/15/43	623,163.40		750,193.80	127,030.40
	US TREASURY BOND ZERO CPN DTD 11/15/10 11/15/2040 CUSIP 912834JH2					
2,785,000.000	ALLISON SALARIED-RYAN LABS	63.8180	1,777,331.30	75.8440	2,112,255.40	334,924.10
2,785,000.000	TOTAL US TREASURY BOND	11/15/40	1,777,331.30		2,112,255.40	334,924.10
	US TREASURY BOND ZERO CPN DTD 11/15/12 0.000 11/15/2042 CUSIP 912834LX4					
4,000,000.000	ALLISON SALARIED-RYAN LABS	60.3720	2,414,880.00	73.4880	2,939,520.00	524,640.00
4,000,000.000	TOTAL US TREASURY BOND	11/15/42	2,414,880.00		2,939,520.00	524,640.00
	TOTAL GOVT STRIPPED AND ZERO-COUPON		15,133,783.55		18,253,890.65	3,120,107.10
	MUNICIPAL TAXABLE BONDS					
	CALIFORNIA ST BUILD AMERICA BONDS DTD 10/15/09 7.300 10/01/2039 CUSIP 13063A7D0					
355,000.000	ALLISON SALARIED-RYAN LABS	157.0970	557,694.25	164.2260	583,002.30	25,308.05
355,000.000	TOTAL CALIFORNIA ST	7.300% 10/01/39	557,694.25		583,002.30	25,308.05

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	UNIVERSITY CALIF REVS BUILD AMERICA BONDS DTD 09/30/10 5.946 05/15/2045 CUSIP 91412GDZ5					
215,000.000	ALLISON SALARIED-RYAN LABS	138.9660	298,776.90	148.8190	319,960.85	21,183.95
215,000.000	TOTAL UNIVERSITY CALIF REV 5.946% 5/15/45		298,776.90		319,960.85	21,183.95
	TOTAL MUNICIPAL TAXABLE BONDS		856,471.15		902,963.15	46,492.00
	CORPORATE BONDS					
	ABBOTT LABORATORIES DTD 03/03/09 6.000 04/01/2039 CUSIP 002824AV2					
150,000.000	ALLISON SALARIED-RYAN LABS	158.3140	237,471.00	153.6530	230,479.50	6,991.50-
150,000.000	TOTAL ABBOTT LABORATORIES 6.000% 4/01/39		237,471.00		230,479.50	6,991.50-
	ABBVIE INC DTD 09/18/18 4.250 11/14/2028 CUSIP 00287YBF5					
400,000.000	ALLISON SALARIED-RYAN LABS	121.0320	484,128.00	118.6230	474,492.00	9,636.00-
400,000.000	TOTAL ABBVIE INC 4.250% 11/14/28		484,128.00		474,492.00	9,636.00-
	ACE INA HOLDINGS DTD 11/03/15 4.350 11/03/2045 CUSIP 00440EAW7					
215,000.000	ALLISON SALARIED-RYAN LABS	125.8643	270,608.25	131.0430	281,742.45	11,134.20
215,000.000	TOTAL ACE INA HOLDINGS 4.350% 11/03/45		270,608.25		281,742.45	11,134.20
	AFLAC INC DTD 04/01/20 3.600 04/01/2030 CUSIP 001055BJ0					
220,000.000	ALLISON SALARIED-RYAN LABS	101.6590	223,649.80	117.3540	258,178.80	34,529.00
220,000.000	TOTAL AFLAC INC 3.600% 4/01/30		223,649.80		258,178.80	34,529.00

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	ALIBABA GROUP HOLDING DTD 05/28/15 3.600 11/28/2024 CUSIP 01609WAQ5					
445,000.000	ALLISON SALARIED-RYAN LABS	105.2170	468,215.65	109.8320	488,752.40	20,536.75
445,000.000	TOTAL ALIBABA GROUP HOLDIN 3.600% 11/28/24		468,215.65		488,752.40	20,536.75
	ALLSTATE CORP DTD 12/08/16 4.200 12/15/2046 CUSIP 020002BC4					
445,000.000	ALLISON SALARIED-RYAN LABS	116.1350	516,800.75	124.8800	555,716.00	38,915.25
445,000.000	TOTAL ALLSTATE CORP 4.200% 12/15/46		516,800.75		555,716.00	38,915.25
	AMAZON.COM INC DTD 06/03/20 2.500 06/03/2050 CUSIP 023135BT2					
525,000.000	ALLISON SALARIED-RYAN LABS	101.6670	533,751.60	102.0020	535,510.50	1,758.90
525,000.000	TOTAL AMAZON.COM INC 2.500% 6/03/50		533,751.60		535,510.50	1,758.90
	AMEREN ILLINOIS CO DTD 11/28/17 3.700 12/01/2047 CUSIP 02361DAR1					
440,000.000	ALLISON SALARIED-RYAN LABS	108.6240	477,945.60	117.6690	517,743.60	39,798.00
440,000.000	TOTAL AMEREN ILLINOIS CO 3.700% 12/01/47		477,945.60		517,743.60	39,798.00
	AMERICA MOVIL SAB DE CV DTD 07/16/12 3.125 07/16/2022 CUSIP 02364WBD6					
465,000.000	ALLISON SALARIED-RYAN LABS	102.5840	477,015.60	103.8070	482,702.55	5,686.95
465,000.000	TOTAL AMERICA MOVIL SAB DE 3.125% 7/16/22		477,015.60		482,702.55	5,686.95
	AMERICAN HONDA FINANCE MED TERM NOTE DTD 09/10/20 0.650 09/08/2023 CUSIP 02665WDM0					
135,000.000	ALLISON SALARIED-RYAN LABS	99.9560	134,940.60	100.0340	135,045.90	105.30
135,000.000	TOTAL AMERICAN HONDA FINAN 0.650% 9/08/23		134,940.60		135,045.90	105.30

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	AMERICAN HONDA FINANCE MED TERM NOTE DTD 07/08/20 0.875 07/07/2023 CUSIP 02665WDK4					
145,000.000	ALLISON SALARIED-RYAN LABS	99.9680	144,953.60	100.8230	146,193.35	1,239.75
145,000.000	TOTAL AMERICAN HONDA FINAN 0.875% 7/07/23		144,953.60		146,193.35	1,239.75
	AMERICAN HONDA FINANCE MED TERM NOTE DTD 09/10/20 1.000 09/10/2025 CUSIP 02665WDN8					
135,000.000	ALLISON SALARIED-RYAN LABS	99.9950	134,993.25	99.9930	134,990.55	2.70-
135,000.000	TOTAL AMERICAN HONDA FINAN 1.000% 9/10/25		134,993.25		134,990.55	2.70-
	AMERICAN INTL GROUP DTD 05/11/20 3.400 06/30/2030 CUSIP 026874DR5					
325,000.000	ALLISON SALARIED-RYAN LABS	103.5114	336,411.95	110.7360	359,892.00	23,480.05
325,000.000	TOTAL AMERICAN INTL GROUP 3.400% 6/30/30		336,411.95		359,892.00	23,480.05
	AMERICAN TOWER CORP DTD 10/03/19 2.750 01/15/2027 CUSIP 03027XAX8					
455,000.000	ALLISON SALARIED-RYAN LABS	100.0043	455,019.70	107.8740	490,826.70	35,807.00
455,000.000	TOTAL AMERICAN TOWER CORP 2.750% 1/15/27		455,019.70		490,826.70	35,807.00
	AMERIPRISE FINANCIAL INC DTD 08/11/16 2.875 09/15/2026 CUSIP 03076CAH9					
235,000.000	ALLISON SALARIED-RYAN LABS	102.2880	240,376.80	109.8510	258,149.85	17,773.05
235,000.000	TOTAL AMERIPRISE FINANCIAL 2.875% 9/15/26		240,376.80		258,149.85	17,773.05
	ANHEUSER-BUSCH INBEV WOR DTD 04/04/18 4.000 04/13/2028 CUSIP 035240AL4					
400,000.000	ALLISON SALARIED-RYAN LABS	116.1110	464,444.00	115.8730	463,492.00	952.00-
400,000.000	TOTAL ANHEUSER-BUSCH INBEV 4.000% 4/13/28		464,444.00		463,492.00	952.00-

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	ANTHEM INC DTD 11/21/17 3.650 12/01/2027 CUSIP 036752AB9					
445,000.000	ALLISON SALARIED-RYAN LABS	105.0790	467,601.55	113.9450	507,055.25	39,453.70
445,000.000	TOTAL ANTHEM INC 3.650% 12/01/27		467,601.55		507,055.25	39,453.70
	APPLE INC DTD 05/13/15 4.375 05/13/2045 CUSIP 037833BH2					
780,000.000	ALLISON SALARIED-RYAN LABS	121.0590	944,260.20	133.9760	1,045,012.80	100,752.60
780,000.000	TOTAL APPLE INC 4.375% 5/13/45		944,260.20		1,045,012.80	100,752.60
	APPLIED MATERIALS INC DTD 05/29/20 2.750 06/01/2050 CUSIP 038222AP0					
315,000.000	ALLISON SALARIED-RYAN LABS	100.4440	316,398.60	105.0940	331,046.10	14,647.50
315,000.000	TOTAL APPLIED MATERIALS IN 2.750% 6/01/50		316,398.60		331,046.10	14,647.50
	AT&T INC DTD 08/04/20 1.650 02/01/2028 CUSIP 00206RKG6					
310,000.000	ALLISON SALARIED-RYAN LABS	101.3233	314,102.35	100.1890	310,585.90	3,516.45-
310,000.000	TOTAL AT&T INC 1.650% 2/01/28		314,102.35		310,585.90	3,516.45-
	AT&T INC DTD 05/28/20 3.650 06/01/2051 CUSIP 00206RKA9					
370,000.000	ALLISON SALARIED-RYAN LABS	100.4770	371,764.90	100.8650	373,200.50	1,435.60
370,000.000	TOTAL AT&T INC 3.650% 6/01/51		371,764.90		373,200.50	1,435.60
	BAKER HUGHES LLC/CO-OBL DTD 12/11/17 4.080 12/15/2047 CUSIP 05723KAF7					
160,000.000	ALLISON SALARIED-RYAN LABS	100.6520	161,043.20	99.9860	159,977.60	1,065.60-
160,000.000	TOTAL BAKER HUGHES LLC/CO- 4.080% 12/15/47		161,043.20		159,977.60	1,065.60-

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	BANCO SANTANDER SA DTD 04/12/18 3.848 04/12/2023 CUSIP 05964HAG0					
440,000.000	ALLISON SALARIED-RYAN LABS	104.2963	458,903.90	106.7610	469,748.40	10,844.50
440,000.000	TOTAL BANCO SANTANDER SA 3.848% 4/12/23		458,903.90		469,748.40	10,844.50
	BANK OF AMERICA CORP DTD 12/20/17 3.004 12/20/2023 CUSIP 06051GHC6					
933,000.000	ALLISON SALARIED-RYAN LABS	102.1270	952,844.91	104.9800	979,463.40	26,618.49
933,000.000	TOTAL BANK OF AMERICA CORP 3.004% 12/20/23		952,844.91		979,463.40	26,618.49
	BERKSHIRE HATHAWAY FIN DTD 05/15/13 4.300 05/15/2043 CUSIP 084664BV2					
315,000.000	ALLISON SALARIED-RYAN LABS	117.8310	371,167.65	129.9520	409,348.80	38,181.15
315,000.000	TOTAL BERKSHIRE HATHAWAY 4.300% 5/15/43		371,167.65		409,348.80	38,181.15
	BP CAP MARKETS AMERICA DTD 11/04/18 3.119 05/04/2026 CUSIP 10373QAT7					
685,000.000	ALLISON SALARIED-RYAN LABS	104.2600	714,181.00	110.4000	756,240.00	42,059.00
685,000.000	TOTAL BP CAP MARKETS AMERI 3.119% 5/04/26		714,181.00		756,240.00	42,059.00
	BURLINGTN NORTH SANTA FE DTD 08/22/13 5.150 09/01/2043 CUSIP 12189LAP6					
340,000.000	ALLISON SALARIED-RYAN LABS	127.9880	435,159.20	137.2300	466,582.00	31,422.80
340,000.000	TOTAL BURLINGTN NORTH SANT 5.150% 9/01/43		435,159.20		466,582.00	31,422.80
	BURLINGTON RESOUR FINAN DTD 08/24/01 7.200 08/15/2031 CUSIP 12201PAB2					
235,000.000	ALLISON SALARIED-RYAN LABS	141.1350	331,667.25	144.7820	340,237.70	8,570.45
235,000.000	TOTAL BURLINGTON RESOUR 7.200% 8/15/31		331,667.25		340,237.70	8,570.45

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	CANADIAN NATL RAILWAY DTD 05/01/20 2.450 05/01/2050 CUSIP 136375CZ3					
490,000.000	ALLISON SALARIED-RYAN LABS	95.1690	466,328.10	99.4450	487,280.50	20,952.40
490,000.000	TOTAL CANADIAN NATL RAILWA 2.450% 5/01/50		466,328.10		487,280.50	20,952.40
	CAPITAL ONE FINANCIAL CO DTD 01/29/19 3.900 01/29/2024 CUSIP 14040HCA1					
820,000.000	ALLISON SALARIED-RYAN LABS	105.6500	866,330.00	108.8480	892,553.60	26,223.60
820,000.000	TOTAL CAPITAL ONE FINANCIA 3.900% 1/29/24		866,330.00		892,553.60	26,223.60
	CIGNA CORP DTD 03/16/20 2.400 03/15/2030 CUSIP 125523CL2					
290,000.000	ALLISON SALARIED-RYAN LABS	106.1570	307,855.30	103.7120	300,764.80	7,090.50-
290,000.000	TOTAL CIGNA CORP 2.400% 3/15/30		307,855.30		300,764.80	7,090.50-
	CNOOC FINANCE 2013 LTD DTD 05/09/13 3.000 05/09/2023 CUSIP 12625GAC8					
650,000.000	ALLISON SALARIED-RYAN LABS	101.5550	660,107.50	104.7970	681,180.50	21,073.00
650,000.000	TOTAL CNOOC FINANCE 2013 3.000% 5/09/23		660,107.50		681,180.50	21,073.00
	COCA-COLA CO/THE DTD 05/01/20 2.600 06/01/2050 CUSIP 191216CX6					
420,000.000	ALLISON SALARIED-RYAN LABS	102.5670	430,781.40	100.4100	421,722.00	9,059.40-
420,000.000	TOTAL COCA-COLA CO/THE 2.600% 6/01/50		430,781.40		421,722.00	9,059.40-
	COMCAST CORP DTD 01/14/13 4.250 01/15/2033 CUSIP 20030NBH3					
570,000.000	ALLISON SALARIED-RYAN LABS	115.0080	655,545.60	124.2510	708,230.70	52,685.10
570,000.000	TOTAL COMCAST CORP 4.250% 1/15/33		655,545.60		708,230.70	52,685.10



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ASSET DETAIL REVALUED COST
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ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	COMCAST CORP DTD 10/05/18 4.600 10/15/2038 CUSIP 20030NCL3					
795,000.000	ALLISON SALARIED-RYAN LABS	119.4625	949,727.00	127.8150	1,016,129.25	66,402.25
795,000.000	TOTAL COMCAST CORP 4.600% 10/15/38		949,727.00		1,016,129.25	66,402.25
	COMMONWEALTH EDISON CO DTD 11/12/19 3.200 11/15/2049 CUSIP 202795JQ4					
450,000.000	ALLISON SALARIED-RYAN LABS	106.0540	477,243.00	109.4030	492,313.50	15,070.50
450,000.000	TOTAL COMMONWEALTH EDISON 3.200% 11/15/49		477,243.00		492,313.50	15,070.50
	COMMONWEALTH EDISON CO DTD 03/02/15 3.700 03/01/2045 CUSIP 202795JF8					
465,000.000	ALLISON SALARIED-RYAN LABS	107.8520	501,511.80	116.0730	539,739.45	38,227.65
465,000.000	TOTAL COMMONWEALTH EDISON 3.700% 3/01/45		501,511.80		539,739.45	38,227.65
	CONOCO INC DTD 04/20/99 6.950 04/15/2029 CUSIP 208251AE8					
340,000.000	ALLISON SALARIED-RYAN LABS	134.6060	457,660.40	138.8590	472,120.60	14,460.20
340,000.000	TOTAL CONOCO INC 6.950% 4/15/29		457,660.40		472,120.60	14,460.20
	CONS EDISON CO OF NY DTD 03/06/14 4.450 03/15/2044 CUSIP 209111FD0					
580,000.000	ALLISON SALARIED-RYAN LABS	117.4719	681,336.90	124.0260	719,350.80	38,013.90
580,000.000	TOTAL CONS EDISON CO OF NY 4.450% 3/15/44		681,336.90		719,350.80	38,013.90
	CONSUMERS ENERGY CO DTD 08/10/16 3.250 08/15/2046 CUSIP 210518CZ7					
655,000.000	ALLISON SALARIED-RYAN LABS	102.3070	670,110.85	111.4150	729,768.25	59,657.40
655,000.000	TOTAL CONSUMERS ENERGY CO 3.250% 8/15/46		670,110.85		729,768.25	59,657.40



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ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	COSTCO WHOLESALE CORP DTD 04/20/20 1.750 04/20/2032 CUSIP 22160KAQ8					
195,000.000	ALLISON SALARIED-RYAN LABS	100.0640	195,124.80	102.5970	200,064.15	4,939.35
195,000.000	TOTAL COSTCO WHOLESALE COR 1.750% 4/20/32		195,124.80		200,064.15	4,939.35
	CVS HEALTH CORP DTD 08/15/19 3.000 08/15/2026 CUSIP 126650DF4					
470,000.000	ALLISON SALARIED-RYAN LABS	100.4960	472,331.20	109.4850	514,579.50	42,248.30
470,000.000	TOTAL CVS HEALTH CORP 3.000% 8/15/26		472,331.20		514,579.50	42,248.30
	DOWDUPONT INC DTD 11/28/18 4.493 11/15/2025 CUSIP 26078JAC4					
420,000.000	ALLISON SALARIED-RYAN LABS	110.6483	464,722.80	115.2660	484,117.20	19,394.40
420,000.000	TOTAL DOWDUPONT INC 4.493% 11/15/25		464,722.80		484,117.20	19,394.40
	DTE ELECTRIC CO DTD 02/26/20 2.950 03/01/2050 CUSIP 23338VAL0					
510,000.000	ALLISON SALARIED-RYAN LABS	97.1810	495,623.10	105.4950	538,024.50	42,401.40
510,000.000	TOTAL DTE ELECTRIC CO 2.950% 3/01/50		495,623.10		538,024.50	42,401.40
	DUKE ENERGY INDIANA LLC DTD 05/12/16 3.750 05/15/2046 CUSIP 26443TAA4					
400,000.000	ALLISON SALARIED-RYAN LABS	107.4330	429,732.00	117.5650	470,260.00	40,528.00
400,000.000	TOTAL DUKE ENERGY INDIANA 3.750% 5/15/46		429,732.00		470,260.00	40,528.00
	EL PASO PIPELINE PART OP DTD 09/20/11 5.000 10/01/2021 CUSIP 28370TAE9					
645,000.000	ALLISON SALARIED-RYAN LABS	104.5880	674,592.60	103.2360	665,872.20	8,720.40-
645,000.000	TOTAL EL PASO PIPELINE PAR 5.000% 10/01/21		674,592.60		665,872.20	8,720.40-



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ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	ELI LILLY & CO DTD 05/05/20 2.250 05/15/2050 CUSIP 532457BY3					
240,000.000	ALLISON SALARIED-RYAN LABS	98.7770	237,064.80	94.2240	226,137.60	10,927.20-
240,000.000	TOTAL ELI LILLY & CO 2.250% 5/15/50		237,064.80		226,137.60	10,927.20-
	EXXON MOBIL CORPORATION DTD 08/16/19 2.440 08/16/2029 CUSIP 30231GBE1					
375,000.000	ALLISON SALARIED-RYAN LABS	100.6400	377,400.00	107.3820	402,682.50	25,282.50
375,000.000	TOTAL EXXON MOBIL CORPORAT 2.440% 8/16/29		377,400.00		402,682.50	25,282.50
	FIFTH THIRD BANCORP DTD 11/20/13 4.300 01/16/2024 CUSIP 316773CP3					
155,000.000	ALLISON SALARIED-RYAN LABS	110.5110	171,292.05	110.3230	171,000.65	291.40-
155,000.000	TOTAL FIFTH THIRD BANCORP 4.300% 1/16/24		171,292.05		171,000.65	291.40-
	FLORIDA POWER & LIGHT DTD 12/09/10 5.250 02/01/2041 CUSIP 341081FD4					
345,000.000	ALLISON SALARIED-RYAN LABS	131.0170	452,008.65	139.4690	481,168.05	29,159.40
345,000.000	TOTAL FLORIDA POWER & LIGH 5.250% 2/01/41		452,008.65		481,168.05	29,159.40
	GENERAL DYNAMICS CORP DTD 03/25/20 4.250 04/01/2040 CUSIP 369550BHO					
190,000.000	ALLISON SALARIED-RYAN LABS	124.4820	236,515.80	125.8120	239,042.80	2,527.00
190,000.000	TOTAL GENERAL DYNAMICS COR 4.250% 4/01/40		236,515.80		239,042.80	2,527.00
	GEORGIA POWER COMPANY DTD 03/06/12 4.300 03/15/2042 CUSIP 373334JW2					
425,000.000	ALLISON SALARIED-RYAN LABS	109.9330	467,215.25	119.6920	508,691.00	41,475.75
425,000.000	TOTAL GEORGIA POWER COMPAN 4.300% 3/15/42		467,215.25		508,691.00	41,475.75



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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	GILEAD SCIENCES INC DTD 09/20/16 4.000 09/01/2036 CUSIP 375558BJ1					
310,000.000	ALLISON SALARIED-RYAN LABS	111.8490	346,731.90	121.1300	375,503.00	28,771.10
310,000.000	TOTAL GILEAD SCIENCES INC 4.000% 9/01/36		346,731.90		375,503.00	28,771.10
	HOME DEPOT INC DTD 12/06/18 4.500 12/06/2048 CUSIP 437076BX9					
390,000.000	ALLISON SALARIED-RYAN LABS	124.8980	487,102.20	133.6690	521,309.10	34,206.90
390,000.000	TOTAL HOME DEPOT INC 4.500% 12/06/48		487,102.20		521,309.10	34,206.90
	INTEL CORP DTD 07/29/15 3.700 07/29/2025 CUSIP 458140AS9					
145,000.000	ALLISON SALARIED-RYAN LABS	108.3590	157,120.55	113.6080	164,731.60	7,611.05
145,000.000	TOTAL INTEL CORP 3.700% 7/29/25		157,120.55		164,731.60	7,611.05
	INTEL CORP DTD 06/08/18 3.734 12/08/2047 CUSIP 458140BG4					
860,000.000	ALLISON SALARIED-RYAN LABS	111.5190	959,063.40	119.4230	1,027,037.80	67,974.40
860,000.000	TOTAL INTEL CORP 3.734% 12/08/47		959,063.40		1,027,037.80	67,974.40
	INTERCONTINENTALEXCHANGE DTD 08/20/20 2.650 09/15/2040 CUSIP 45866FAP9					
290,000.000	ALLISON SALARIED-RYAN LABS	100.2110	290,611.90	100.0180	290,052.20	559.70-
290,000.000	TOTAL INTERCONTINENTALEXCH 2.650% 9/15/40		290,611.90		290,052.20	559.70-
	JOHN DEERE CAPITAL CORP DTD 07/18/19 2.800 07/18/2029 CUSIP 24422EUY3					
340,000.000	ALLISON SALARIED-RYAN LABS	102.6770	349,101.80	111.6390	379,572.60	30,470.80
340,000.000	TOTAL JOHN DEERE CAPITAL 2.800% 7/18/29		349,101.80		379,572.60	30,470.80



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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	JOHNSON & JOHNSON DTD 08/25/20 2.100 09/01/2040 CUSIP 478160CR3					
225,000.000	ALLISON SALARIED-RYAN LABS	100.1590	225,357.75	99.8400	224,640.00	717.75-
225,000.000	TOTAL JOHNSON & JOHNSON 2.100% 9/01/40		225,357.75		224,640.00	717.75-
	JOHNSON & JOHNSON DTD 11/10/17 3.500 01/15/2048 CUSIP 478160CM4					
405,000.000	ALLISON SALARIED-RYAN LABS	109.9820	445,427.10	123.8430	501,564.15	56,137.05
405,000.000	TOTAL JOHNSON & JOHNSON 3.500% 1/15/48		445,427.10		501,564.15	56,137.05
	JOHNSON & JOHNSON DTD 12/05/13 4.375 12/05/2033 CUSIP 478160BJ2					
210,000.000	ALLISON SALARIED-RYAN LABS	118.8500	249,585.00	130.7240	274,520.40	24,935.40
210,000.000	TOTAL JOHNSON & JOHNSON 4.375% 12/05/33		249,585.00		274,520.40	24,935.40
	JPMORGAN CHASE & CO DTD 07/21/15 3.900 07/15/2025 CUSIP 46625HMN7					
780,000.000	ALLISON SALARIED-RYAN LABS	107.8170	840,972.60	113.1130	882,281.40	41,308.80
780,000.000	TOTAL JPMORGAN CHASE & CO 3.900% 7/15/25		840,972.60		882,281.40	41,308.80
	KIMBERLY-CLARK CORP DTD 05/04/17 3.900 05/04/2047 CUSIP 494368BW2					
455,000.000	ALLISON SALARIED-RYAN LABS	114.9670	523,099.85	128.4160	584,292.80	61,192.95
455,000.000	TOTAL KIMBERLY-CLARK CORP 3.900% 5/04/47		523,099.85		584,292.80	61,192.95
	KIMCO REALTY CORP DTD 08/18/16 2.800 10/01/2026 CUSIP 49446RAP4					
365,000.000	ALLISON SALARIED-RYAN LABS	100.5540	367,022.10	106.8280	389,922.20	22,900.10
365,000.000	TOTAL KIMCO REALTY CORP 2.800% 10/01/26		367,022.10		389,922.20	22,900.10

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	KINDER MORGAN ENER PART DTD 08/05/13 4.150 02/01/2024 CUSIP 494550BS4					
450,000.000	ALLISON SALARIED-RYAN LABS	102.0840	459,378.00	109.4250	492,412.50	33,034.50
450,000.000	TOTAL KINDER MORGAN ENER 4.150% 2/01/24		459,378.00		492,412.50	33,034.50
	LLOYDS BANKING GROUP PLC DTD 08/16/18 4.050 08/16/2023 CUSIP 539439AS8					
350,000.000	ALLISON SALARIED-RYAN LABS	108.5580	379,953.00	108.2180	378,763.00	1,190.00-
350,000.000	TOTAL LLOYDS BANKING GROUP 4.050% 8/16/23		379,953.00		378,763.00	1,190.00-
	LOEWS CORP DTD 03/22/16 3.750 04/01/2026 CUSIP 540424AS7					
505,000.000	ALLISON SALARIED-RYAN LABS	107.0550	540,627.75	114.0240	575,821.20	35,193.45
505,000.000	TOTAL LOEWS CORP 3.750% 4/01/26		540,627.75		575,821.20	35,193.45
	LOWE'S COS INC DTD 03/26/20 4.500 04/15/2030 CUSIP 548661DU8					
385,000.000	ALLISON SALARIED-RYAN LABS	125.5010	483,178.85	124.0560	477,615.60	5,563.25-
385,000.000	TOTAL LOWE'S COS INC 4.500% 4/15/30		483,178.85		477,615.60	5,563.25-
	MANUF & TRADERS TRUST CO DTD 08/17/17 3.400 08/17/2027 CUSIP 55279HAQ3					
325,000.000	ALLISON SALARIED-RYAN LABS	107.2670	348,617.75	111.8930	363,652.25	15,034.50
325,000.000	TOTAL MANUF & TRADERS TRUS 3.400% 8/17/27		348,617.75		363,652.25	15,034.50
	MARATHON PETROLEUM CORP DTD 10/02/18 4.750 12/15/2023 CUSIP 56585ABA9					
485,000.000	ALLISON SALARIED-RYAN LABS	109.8892	532,962.40	109.6010	531,564.85	1,397.55-
485,000.000	TOTAL MARATHON PETROLEUM 4.750% 12/15/23		532,962.40		531,564.85	1,397.55-

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
595,000.000	MASTERCARD INC DTD 05/31/19 3.650 06/01/2049 CUSIP 57636QAL8	113.2730	673,974.35	122.2920	727,637.40	53,663.05
595,000.000	ALLISON SALARIED-RYAN LABS TOTAL MASTERCARD INC 3.650% 6/01/49		673,974.35		727,637.40	53,663.05
312,000.000	MEDTRONIC INC DTD 03/15/15 4.375 03/15/2035 CUSIP 585055BT2	120.3440	375,473.28	133.5710	416,741.52	41,268.24
312,000.000	ALLISON SALARIED-RYAN LABS TOTAL MEDTRONIC INC 4.375% 3/15/35		375,473.28		416,741.52	41,268.24
240,000.000	MERCK & CO INC DTD 06/24/20 2.450 06/24/2050 CUSIP 58933YBB0	99.2210	238,130.40	100.3800	240,912.00	2,781.60
240,000.000	ALLISON SALARIED-RYAN LABS TOTAL MERCK & CO INC 2.450% 6/24/50		238,130.40		240,912.00	2,781.60
450,000.000	MICROSOFT CORP DTD 02/06/17 4.250 02/06/2047 CUSIP 594918CA0	124.0620	558,279.00	137.9210	620,644.50	62,365.50
450,000.000	ALLISON SALARIED-RYAN LABS TOTAL MICROSOFT CORP 4.250% 2/06/47		558,279.00		620,644.50	62,365.50
380,000.000	MIDAMERICAN ENERGY CO DTD 09/19/13 4.800 09/15/2043 CUSIP 595620AL9	125.2940	476,117.20	134.0270	509,302.60	33,185.40
380,000.000	ALLISON SALARIED-RYAN LABS TOTAL MIDAMERICAN ENERGY 4.800% 9/15/43		476,117.20		509,302.60	33,185.40
475,000.000	MITSUBISHI UFJ FIN GRP DTD 02/25/20 2.193 02/25/2025 CUSIP 606822BN3	100.0710	475,337.25	104.7360	497,496.00	22,158.75
475,000.000	ALLISON SALARIED-RYAN LABS TOTAL MITSUBISHI UFJ FIN 2.193% 2/25/25		475,337.25		497,496.00	22,158.75

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	NATIONAL RURAL UTIL COOP DTD 04/25/17 3.050 04/25/2027 CUSIP 637432NN1					
650,000.000	ALLISON SALARIED-RYAN LABS	104.4970	679,230.50	111.5470	725,055.50	45,825.00
650,000.000	TOTAL NATIONAL RURAL UTIL 3.050% 4/25/27		679,230.50		725,055.50	45,825.00
	NEVADA POWER CO DTD 01/30/19 3.700 05/01/2029 CUSIP 641423CC0					
435,000.000	ALLISON SALARIED-RYAN LABS	109.3730	475,772.55	117.2510	510,041.85	34,269.30
435,000.000	TOTAL NEVADA POWER CO 3.700% 5/01/29		475,772.55		510,041.85	34,269.30
	ONCOR ELECTRIC DELIVERY DTD 05/23/19 3.800 06/01/2049 CUSIP 68233JBP8					
445,000.000	ALLISON SALARIED-RYAN LABS	113.1564	503,545.98	121.6860	541,502.70	37,956.72
445,000.000	TOTAL ONCOR ELECTRIC DELIV 3.800% 6/01/49		503,545.98		541,502.70	37,956.72
	ORACLE CORP DTD 11/09/17 3.800 11/15/2037 CUSIP 68389XBP9					
790,000.000	ALLISON SALARIED-RYAN LABS	119.2600	942,154.00	117.3210	926,835.90	15,318.10-
790,000.000	TOTAL ORACLE CORP 3.800% 11/15/37		942,154.00		926,835.90	15,318.10-
	ORACLE CORP DTD 04/09/08 6.500 04/15/2038 CUSIP 68389XAE5					
320,000.000	ALLISON SALARIED-RYAN LABS	143.1520	458,086.40	156.0850	499,472.00	41,385.60
320,000.000	TOTAL ORACLE CORP 6.500% 4/15/38		458,086.40		499,472.00	41,385.60
	PACIFICORP DTD 10/03/07 6.250 10/15/2037 CUSIP 695114CG1					
330,000.000	ALLISON SALARIED-RYAN LABS	140.6790	464,240.70	145.0050	478,516.50	14,275.80
330,000.000	TOTAL PACIFICORP 6.250% 10/15/37		464,240.70		478,516.50	14,275.80

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	PEPSICO INC DTD 10/14/15 4.450 04/14/2046 CUSIP 713448DD7					
430,000.000	ALLISON SALARIED-RYAN LABS	124.5780	535,685.40	133.1050	572,351.50	36,666.10
430,000.000	TOTAL PEPSICO INC 4.450% 4/14/46		535,685.40		572,351.50	36,666.10
	PFIZER INC DTD 03/11/19 4.000 03/15/2049 CUSIP 717081EV1					
580,000.000	ALLISON SALARIED-RYAN LABS	115.7375	671,277.70	127.1820	737,655.60	66,377.90
580,000.000	TOTAL PFIZER INC 4.000% 3/15/49		671,277.70		737,655.60	66,377.90
	PNC BANK NA DTD 07/26/18 4.050 07/26/2028 CUSIP 69349LAR9					
450,000.000	ALLISON SALARIED-RYAN LABS	110.8736	498,931.00	116.9340	526,203.00	27,272.00
450,000.000	TOTAL PNC BANK NA 4.050% 7/26/28		498,931.00		526,203.00	27,272.00
	PPL ELECTRIC UTILITIES DTD 07/11/13 4.750 07/15/2043 CUSIP 69351UAR4					
290,000.000	ALLISON SALARIED-RYAN LABS	123.8390	359,133.10	133.5650	387,338.50	28,205.40
290,000.000	TOTAL PPL ELECTRIC UTILITI 4.750% 7/15/43		359,133.10		387,338.50	28,205.40
	PRES & FELLOWS OF HARVAR DTD 04/21/20 2.517 10/15/2050 CUSIP 740816AP8					
280,000.000	ALLISON SALARIED-RYAN LABS	102.9370	288,223.60	103.8310	290,726.80	2,503.20
280,000.000	TOTAL PRES & FELLOWS OF HA 2.517% 10/15/50		288,223.60		290,726.80	2,503.20
	PROLOGIS LP DTD 02/18/20 2.250 04/15/2030 CUSIP 74340XBM2					
430,000.000	ALLISON SALARIED-RYAN LABS	99.3270	427,106.10	106.2880	457,038.40	29,932.30
430,000.000	TOTAL PROLOGIS LP 2.250% 4/15/30		427,106.10		457,038.40	29,932.30

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ALLISON SALARIED CONSOLIDATED
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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	PRUDENTIAL FINANCIAL INC DTD 03/10/20 3.000 03/10/2040 CUSIP 74432QCJ2					
285,000.000	ALLISON SALARIED-RYAN LABS	106.0490	302,239.65	107.2580	305,685.30	3,445.65
285,000.000	TOTAL PRUDENTIAL FINANCIAL 3.000% 3/10/40		302,239.65		305,685.30	3,445.65
	PUBLIC SERVICE ELECTRIC MED TERM NOTE DTD 05/04/18 3.700 05/01/2028 CUSIP 74456QBU9					
840,000.000	ALLISON SALARIED-RYAN LABS	109.4190	919,119.60	117.0890	983,547.60	64,428.00
840,000.000	TOTAL PUBLIC SERVICE ELECT 3.700% 5/01/28		919,119.60		983,547.60	64,428.00
	REGENCY ENERGY PARTNERS DTD 11/01/13 4.500 11/01/2023 CUSIP 75886AAJ7					
790,000.000	ALLISON SALARIED-RYAN LABS	106.3445	840,121.50	106.3970	840,536.30	414.80
790,000.000	TOTAL REGENCY ENERGY PARTN 4.500% 11/01/23		840,121.50		840,536.30	414.80
	SHELL INTERNATIONAL FIN DTD 09/12/16 2.500 09/12/2026 CUSIP 822582BX9					
240,000.000	ALLISON SALARIED-RYAN LABS	101.6240	243,897.60	108.9790	261,549.60	17,652.00
240,000.000	TOTAL SHELL INTERNATIONAL 2.500% 9/12/26		243,897.60		261,549.60	17,652.00
	SIMON PROPERTY GROUP LP DTD 06/01/17 3.375 06/15/2027 CUSIP 828807DC8					
410,000.000	ALLISON SALARIED-RYAN LABS	105.5560	432,779.60	107.2160	439,585.60	6,806.00
410,000.000	TOTAL SIMON PROPERTY GROUP 3.375% 6/15/27		432,779.60		439,585.60	6,806.00
	SOUTHERN CAL EDISON DTD 03/24/17 4.000 04/01/2047 CUSIP 842400GG2					
210,000.000	ALLISON SALARIED-RYAN LABS	107.2420	225,208.20	107.3020	225,334.20	126.00
210,000.000	TOTAL SOUTHERN CAL EDISON 4.000% 4/01/47		225,208.20		225,334.20	126.00

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	SOUTHERN CAL EDISON DTD 10/02/13 4.650 10/01/2043 CUSIP 842400FZ1					
200,000.000	ALLISON SALARIED-RYAN LABS	115.2380	230,476.00	115.4330	230,866.00	390.00
200,000.000	TOTAL SOUTHERN CAL EDISON 4.650% 10/01/43		230,476.00		230,866.00	390.00
	SOUTHWESTERN PUBLIC SERV DTD 11/05/18 4.400 11/15/2048 CUSIP 845743BS1					
440,000.000	ALLISON SALARIED-RYAN LABS	119.5570	526,050.80	128.1080	563,675.20	37,624.40
440,000.000	TOTAL SOUTHWESTERN PUBLIC 4.400% 11/15/48		526,050.80		563,675.20	37,624.40
	SOUTHWESTERN PUBLIC SERV DTD 08/10/11 4.500 08/15/2041 CUSIP 845743BN2					
565,000.000	ALLISON SALARIED-RYAN LABS	117.6830	664,908.95	125.8420	711,007.30	46,098.35
565,000.000	TOTAL SOUTHWESTERN PUBLIC 4.500% 8/15/41		664,908.95		711,007.30	46,098.35
	SPECTRA ENERGY PARTNERS DTD 09/25/13 4.750 03/15/2024 CUSIP 84756NAD1					
410,000.000	ALLISON SALARIED-RYAN LABS	108.9370	446,641.70	111.3640	456,592.40	9,950.70
410,000.000	TOTAL SPECTRA ENERGY PARTN 4.750% 3/15/24		446,641.70		456,592.40	9,950.70
	STATE STREET CORP DTD 01/24/20 2.400 01/24/2030 CUSIP 857477BG7					
440,000.000	ALLISON SALARIED-RYAN LABS	99.9470	439,766.80	109.5210	481,892.40	42,125.60
440,000.000	TOTAL STATE STREET CORP 2.400% 1/24/30		439,766.80		481,892.40	42,125.60
	STATOIL ASA DTD 05/15/13 3.950 05/15/2043 CUSIP 85771PAL6					
390,000.000	ALLISON SALARIED-RYAN LABS	114.1420	445,153.80	116.3560	453,788.40	8,634.60
390,000.000	TOTAL STATOIL ASA 3.950% 5/15/43		445,153.80		453,788.40	8,634.60

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	TOTAL CAPITAL INTL SA DTD 07/10/19 2.829 01/10/2030 CUSIP 89153VAT6					
355,000.000	ALLISON SALARIED-RYAN LABS	102.7080	364,613.40	110.7150	393,038.25	28,424.85
355,000.000	TOTAL TOTAL CAPITAL INTL 2.829% 1/10/30		364,613.40		393,038.25	28,424.85
	UNION ELECTRIC CO DTD 06/15/17 2.950 06/15/2027 CUSIP 906548CM2					
425,000.000	ALLISON SALARIED-RYAN LABS	103.7120	440,776.00	110.2740	468,664.50	27,888.50
425,000.000	TOTAL UNION ELECTRIC CO 2.950% 6/15/27		440,776.00		468,664.50	27,888.50
	UNITED PARCEL SERVICE DTD 11/14/17 3.750 11/15/2047 CUSIP 911312BN5					
465,000.000	ALLISON SALARIED-RYAN LABS	106.6450	495,899.25	120.8400	561,906.00	66,006.75
465,000.000	TOTAL UNITED PARCEL SERVIC 3.750% 11/15/47		495,899.25		561,906.00	66,006.75
	UNITEDHEALTH GROUP INC DTD 03/08/12 4.375 03/15/2042 CUSIP 91324PBW1					
315,000.000	ALLISON SALARIED-RYAN LABS	134.8589	424,805.50	127.2540	400,850.10	23,955.40-
315,000.000	TOTAL UNITEDHEALTH GROUP 4.375% 3/15/42		424,805.50		400,850.10	23,955.40-
	UNITEDHEALTH GROUP INC DTD 07/23/15 4.625 07/15/2035 CUSIP 91324PCQ3					
255,000.000	ALLISON SALARIED-RYAN LABS	120.8490	308,164.95	131.6990	335,832.45	27,667.50
255,000.000	TOTAL UNITEDHEALTH GROUP 4.625% 7/15/35		308,164.95		335,832.45	27,667.50
	VENTAS REALTY LP DTD 04/17/14 3.750 05/01/2024 CUSIP 92277GAD9					
320,000.000	ALLISON SALARIED-RYAN LABS	105.4610	337,475.20	107.2040	343,052.80	5,577.60
320,000.000	TOTAL VENTAS REALTY LP 3.750% 5/01/24		337,475.20		343,052.80	5,577.60

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	VERIZON COMMUNICATIONS DTD 06/03/19 4.016 12/03/2029 CUSIP 92343VEU4					
438,000.000	ALLISON SALARIED-RYAN LABS	111.1330	486,762.54	119.7460	524,487.48	37,724.94
438,000.000	TOTAL VERIZON COMMUNICATIO 4.016% 12/03/29		486,762.54		524,487.48	37,724.94
	VERIZON COMMUNICATIONS DTD 10/29/14 4.400 11/01/2034 CUSIP 92343VCQ5					
175,000.000	ALLISON SALARIED-RYAN LABS	128.2940	224,514.50	124.6680	218,169.00	6,345.50-
175,000.000	TOTAL VERIZON COMMUNICATIO 4.400% 11/01/34		224,514.50		218,169.00	6,345.50-
	BANK OF AMERICA CORP DTD 01/23/18 01/23/2026 CUSIP 06051GGZ6					
460,000.000	ALLISON SALARIED-RYAN LABS	106.3870	489,380.20	109.1470	502,076.20	12,696.00
460,000.000	TOTAL VR BANK OF AMERICA 3.366% 1/23/26		489,380.20		502,076.20	12,696.00
	BRANCH BANKING & TRUST DTD 09/16/19 09/17/2029 CUSIP 07330MAC1					
390,000.000	ALLISON SALARIED-RYAN LABS	99.6630	388,685.70	103.7430	404,597.70	15,912.00
390,000.000	TOTAL VR BRANCH BANKING 2.636% 9/17/29		388,685.70		404,597.70	15,912.00
	CITIGROUP INC DTD 01/24/18 01/24/2023 CUSIP 172967LV1					
870,000.000	ALLISON SALARIED-RYAN LABS	101.8220	885,851.40	103.1840	897,700.80	11,849.40
870,000.000	TOTAL VR CITIGROUP INC 3.142% 1/24/23		885,851.40		897,700.80	11,849.40
	JPMORGAN CHASE & CO DTD 07/24/17 07/24/2038 CUSIP 46647PAJ5					
680,000.000	ALLISON SALARIED-RYAN LABS	110.4850	751,298.00	117.9100	801,788.00	50,490.00
680,000.000	TOTAL VR JPMORGAN CHASE & 3.882% 7/24/38		751,298.00		801,788.00	50,490.00

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	WAL-MART STORES INC DTD 10/20/17 3.625 12/15/2047 CUSIP 931142DW0					
360,000.000	ALLISON SALARIED-RYAN LABS	112.3320	404,395.20	123.1370	443,293.20	38,898.00
360,000.000	TOTAL WAL-MART STORES INC 3.625% 12/15/47		404,395.20		443,293.20	38,898.00
	WALMART INC DTD 06/27/18 4.050 06/29/2048 CUSIP 931142EC3					
390,000.000	ALLISON SALARIED-RYAN LABS	127.5640	497,499.60	130.5090	508,985.10	11,485.50
390,000.000	TOTAL WALMART INC 4.050% 6/29/48		497,499.60		508,985.10	11,485.50
	WALT DISNEY COMPANY/THE DTD 09/06/19 2.750 09/01/2049 CUSIP 254687FM3					
395,000.000	ALLISON SALARIED-RYAN LABS	104.5778	413,082.30	97.5110	385,168.45	27,913.85-
395,000.000	TOTAL WALT DISNEY COMPANY/ 2.750% 9/01/49		413,082.30		385,168.45	27,913.85-
	WELLS FARGO & CO DTD 02/07/05 5.375 02/07/2035 CUSIP 949746JM4					
400,000.000	ALLISON SALARIED-RYAN LABS	127.9110	511,644.00	136.8380	547,352.00	35,708.00
400,000.000	TOTAL WELLS FARGO & CO 5.375% 2/07/35		511,644.00		547,352.00	35,708.00
	WELLS FARGO & COMPANY DTD 02/13/13 3.450 02/13/2023 CUSIP 94974BFJ4					
405,000.000	ALLISON SALARIED-RYAN LABS	103.2710	418,247.55	105.9810	429,223.05	10,975.50
405,000.000	TOTAL WELLS FARGO & COMPAN 3.450% 2/13/23		418,247.55		429,223.05	10,975.50
	WELLS FARGO & COMPANY MED TERM NOTE DTD 07/22/15 4.300 07/22/2027 CUSIP 94974BGL8					
760,000.000	ALLISON SALARIED-RYAN LABS	109.5620	832,671.20	114.5770	870,785.20	38,114.00
760,000.000	TOTAL WELLS FARGO & COMPAN 4.300% 7/22/27		832,671.20		870,785.20	38,114.00

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	WELLTOWER INC DTD 02/15/19 3.625 03/15/2024 CUSIP 95040QAG9					
365,000.000	ALLISON SALARIED-RYAN LABS	104.9900	383,213.50	108.1670	394,809.55	11,596.05
365,000.000	TOTAL WELLTOWER INC 3.625% 3/15/24		383,213.50		394,809.55	11,596.05
	WESTPAC BANKING CORP DTD 05/13/16 2.850 05/13/2026 CUSIP 961214CX9					
410,000.000	ALLISON SALARIED-RYAN LABS	103.2800	423,448.00	111.0550	455,325.50	31,877.50
410,000.000	TOTAL WESTPAC BANKING CORP 2.850% 5/13/26		423,448.00		455,325.50	31,877.50
	3M COMPANY MED TERM NOTE DTD 02/22/19 3.375 03/01/2029 CUSIP 88579YBG5					
420,000.000	ALLISON SALARIED-RYAN LABS	106.9056	449,003.70	115.0000	483,000.00	33,996.30
420,000.000	TOTAL 3M COMPANY 3.375% 3/01/29		449,003.70		483,000.00	33,996.30
	TOTAL CORPORATE BONDS		55,972,390.06		58,750,095.25	2,777,705.19
	CORPORATE BACKED OBLIGATION					
	SIERRA RECEIVABLES FUNDING CO SER 2016-3A CL B *0 DAY DELAY* 144A PRIV PLCMT 2.630 10/20/2033 CUSIP 82652YAB0					
63,284.720	ALLISON SALARIED-RYAN LABS	99.6680	63,074.61	100.2560	63,446.73	372.12
63,284.720	TOTAL SIERRA RECEIVABLES 2.630% 10/20/33		63,074.61		63,446.73	372.12
	BAYVIEW OPPORTUNITY MASTER FUN SER 2017-RT2 CL B3 *0 DAY DELAY* 144A PRIV PLCMT 08/28/2057 CUSIP 07332MAF2					
445,000.000	ALLISON SALARIED-RYAN LABS	107.2500	477,262.50	107.1920	477,004.40	258.10-
445,000.000	TOTAL VR BAYVIEW OPPORTUNI 4.190% 8/28/57		477,262.50		477,004.40	258.10-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
Attachments to Schedule H (2019 Form 5500)
Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	FINANCE AMER STRUCTURED SECS TR JR2 SER 2019-JR3 SER 2019-JR3 CL A *0 DAY DELAY* 144A PRIV PLCMT 09/25/2069 CUSIP 31739LAA4					
389,504.770	ALLISON SALARIED-RYAN LABS	100.9426	393,176.10	104.6530	407,628.43	14,452.33
389,504.770	TOTAL VR FINANCE AMER STRU 1.000% 9/25/69		393,176.10		407,628.43	14,452.33
	TOTAL CORPORATE BACKED OBLIGATION		933,513.21		948,079.56	14,566.35
	MORTGAGE BACKED OBLIGATION					
	CREDIT SUISSE MORTGAGE TRUST SER 2014-USA CL D *14 DAY DELAY* 144A PRIV PLCMT 4.373 09/15/2037 CUSIP 12649AAN9					
230,000.000	ALLISON SALARIED-RYAN LABS	99.6090	229,100.70	76.0360	174,882.80	54,217.90-
230,000.000	TOTAL CREDIT SUISSE MORTG 4.3733% 9/15/37		229,100.70		174,882.80	54,217.90-
	JP MORGAN CHASE COMMERCIAL MOR SER 2017-JP7 CL C *14 DAY DELAY* DTD 07/01/17 3.807 09/15/2050 CUSIP 465968AL9					
235,000.000	ALLISON SALARIED-RYAN LABS	103.4270	243,053.45	100.2530	235,594.55	7,458.90-
235,000.000	TOTAL JP MORGAN CHASE CO 3.80685% 9/15/50		243,053.45		235,594.55	7,458.90-
	CIM TRUST SER 2018-R3 CL A2 *24 DAY DELAY* 144A PRIV PLCMT 09/25/2057 CUSIP 12553WAB1					
390,000.000	ALLISON SALARIED-RYAN LABS	99.1110	386,532.90	97.1687	378,957.93	7,574.97-
390,000.000	TOTAL VR CIM TRUST 4.000% 9/25/57		386,532.90		378,957.93	7,574.97-
	CSAIL COMMERCIAL MORTGAGE TRUS SER 2015-C3 CL B *14 DAY DELAY* DTD 08/01/15 08/15/2048 CUSIP 12635FAY0					
550,000.000	ALLISON SALARIED-RYAN LABS	105.3780	579,579.00	90.1870	496,028.50	83,550.50-
550,000.000	TOTAL VR CSAIL COMMERCIAL 4.24351% 8/15/48		579,579.00		496,028.50	83,550.50-

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	FREMF MORTGAGE TRUST SER 2016-K60 CL C *24 DAY DELAY* 144A PRIV PLCMT 12/25/2049 CUSIP 302947AG1					
245,000.000	ALLISON SALARIED-RYAN LABS	97.0703	237,822.27	104.1130	255,076.85	17,254.58
245,000.000	TOTAL VR FREMF MORTGAGE 3.65718% 12/25/49		237,822.27		255,076.85	17,254.58
	FREMF MORTGAGE TRUST SER 2020-K105 CL B *24 DAY DELAY* 144A PRIV PLCMT 03/25/2053 CUSIP 302975BE6					
230,000.000	ALLISON SALARIED-RYAN LABS	105.2860	242,157.80	107.9200	248,216.00	6,058.20
230,000.000	TOTAL VR FREMF MORTGAGE TR 3.530% 3/25/53		242,157.80		248,216.00	6,058.20
	JP MORGAN CHASE COMMERCIAL MOR SER 2016-NINE CL A *5 DAY DELAY* 144A PRIV PLCMT 10/06/2038 CUSIP 46646GAA5					
450,000.000	ALLISON SALARIED-RYAN LABS	103.1190	464,035.50	107.5320	483,894.00	19,858.50
450,000.000	TOTAL VR JP MORGAN CHASE 2.8541% 10/06/38		464,035.50		483,894.00	19,858.50
	JP MORGAN CHASE COMMERCIAL MOR SER 2015-FRR2 CL AK39 *26 DAY DELAY* 144A PRIV PLCMT 08/27/2047 CUSIP 46644KAG5					
460,000.000	ALLISON SALARIED-RYAN LABS	97.9010	450,344.60	101.3560	466,237.60	15,893.00
460,000.000	TOTAL VR JP MORGAN CHASE 2.94032% 8/27/47		450,344.60		466,237.60	15,893.00
	NEW RESIDENTIAL MORTGAGE LOAN SER 2018-2A CL B3 *24 DAY DELAY* 144A PRIV PLCMT 02/25/2058 CUSIP 64828CCL1					
252,237.910	ALLISON SALARIED-RYAN LABS	107.0000	269,894.57	107.8990	272,162.18	2,267.61
252,237.910	TOTAL VR NEW RESIDENTIAL 5.000% 2/25/58		269,894.57		272,162.18	2,267.61

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390,000.000	SFAVE COMMERCIAL MORTGAGE SECURITIES 2015-5AVE CL B *4 DAY DELAY* 144A PRIV PLCMT 01/05/2035 CUSIP 78413MAL2	105.4570	411,282.30	83.3300	324,987.00	86,295.30-
390,000.000	ALLISON SALARIED-RYAN LABS TOTAL VR SFAVE COMMERCIAL 4.38801% 1/05/35		411,282.30		324,987.00	86,295.30-
420,000.000	SHOPS AT CRYSTALS TRUST 2016-C SER 2016-CSTL CL D *4 DAY DELAY* 144A PRIV PLCMT 07/05/2036 CUSIP 82510WAL4	96.2440	404,224.80	77.4990	325,495.80	78,729.00-
420,000.000	ALLISON SALARIED-RYAN LABS TOTAL VR SHOPS AT CRYSTALS 3.7311% 7/05/36		404,224.80		325,495.80	78,729.00-
95,000.000	WELLS FARGO COMMERCIAL MORTGAGE SECURITIES 2016-LC25 CL B *14 DAY DELAY* DTD 12/01/16 4.437 12/15/2059 CUSIP 95000JBA5	110.1120	104,606.40	107.0960	101,741.20	2,865.20-
95,000.000	ALLISON SALARIED-RYAN LABS TOTAL WELLS FARGO COMMERCIAL 4.4367% 12/15/59		104,606.40		101,741.20	2,865.20-
	TOTAL MORTGAGE BACKED OBLIGATION		4,022,634.29		3,763,274.41	259,359.88-
	PRIVATE PLACEMENT					
425,000.000	AVIATION CAPITAL GROUP 144A PRIV PLCMT 3.875 05/01/2023 CUSIP 05369AAC5	103.4110	439,496.75	98.9630	420,592.75	18,904.00-
425,000.000	ALLISON SALARIED-RYAN LABS TOTAL P/P AVIATION CAPITAL 3.875% 5/01/23		439,496.75		420,592.75	18,904.00-
230,000.000	BELROSE FUNDING TRUST 144A PRIV PLCMT 2.330 08/15/2030 CUSIP 080807AA8	100.2180	230,501.40	98.8260	227,299.80	3,201.60-
230,000.000	ALLISON SALARIED-RYAN LABS TOTAL P/P BELROSE FUNDING 2.330% 8/15/30		230,501.40		227,299.80	3,201.60-

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	BOSTON GAS COMPANY 144A PRIV PLCMT 3.001 08/01/2029 CUSIP 100743AL7					
445,000.000	ALLISON SALARIED-RYAN LABS	103.0010	458,354.45	110.0320	489,642.40	31,287.95
445,000.000	TOTAL P/P BOSTON GAS COMPA 3.001% 8/01/29		458,354.45		489,642.40	31,287.95
	CAMERON LNG LLC 144A PRIV PLCMT 2.902 07/15/2031 CUSIP 133434AA8					
220,000.000	ALLISON SALARIED-RYAN LABS	100.2300	220,506.00	110.0050	242,011.00	21,505.00
220,000.000	TOTAL P/P CAMERON LNG LLC 2.902% 7/15/31		220,506.00		242,011.00	21,505.00
	ELECTRICITE DE FRANCE SA 144A PRIV PLCMT 4.950 10/13/2045 CUSIP 268317AQ7					
155,000.000	ALLISON SALARIED-RYAN LABS	117.9340	182,797.70	122.7070	190,195.85	7,398.15
155,000.000	TOTAL P/P ELECTRICITE DE 4.950% 10/13/45		182,797.70		190,195.85	7,398.15
	ENEL FINANCE INTL NV 144A PRIV PLCMT 3.500 04/06/2028 CUSIP 29278GAF5					
335,000.000	ALLISON SALARIED-RYAN LABS	102.3960	343,026.60	111.4320	373,297.20	30,270.60
335,000.000	TOTAL P/P ENEL FINANCE INT 3.500% 4/06/28		343,026.60		373,297.20	30,270.60
	HYUNDAI CAPITAL AMERICA 144A PRIV PLCMT 3.000 10/30/2020 CUSIP 44891AAD9					
435,000.000	ALLISON SALARIED-RYAN LABS	100.4750	437,066.25	100.1830	435,796.05	1,270.20-
435,000.000	TOTAL P/P HYUNDAI CAPITAL 3.000% 10/30/20		437,066.25		435,796.05	1,270.20-
	MARS INC 144A PRIV PLCMT 1.625 07/16/2032 CUSIP 571676AL9					
320,000.000	ALLISON SALARIED-RYAN LABS	100.2460	320,787.20	99.2100	317,472.00	3,315.20-
320,000.000	TOTAL P/P MARS INC 1.625% 7/16/32		320,787.20		317,472.00	3,315.20-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
Attachments to Schedule H (2019 Form 5500)
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ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2020

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	NEW YORK LIFE INSURANCE 144A PRIV PLCMT 3.750 05/15/2050 CUSIP 64952GAT5					
435,000.000	ALLISON SALARIED-RYAN LABS	110.4230	480,340.05	112.7420	490,427.70	10,087.65
435,000.000	TOTAL P/P NEW YORK LIFE IN 3.750% 5/15/50		480,340.05		490,427.70	10,087.65
	RAYTHEON TECH CORP 144A PRIV PLCMT 4.875 10/15/2040 CUSIP 75513EAX9					
175,000.000	ALLISON SALARIED-RYAN LABS	123.2990	215,773.25	127.9470	223,907.25	8,134.00
175,000.000	TOTAL P/P RAYTHEON TECH CO 4.875% 10/15/40		215,773.25		223,907.25	8,134.00
	SIEMENS FINANCIERINGSMAT 144A PRIV PLCMT 3.400 03/16/2027 CUSIP 82620KAU7					
865,000.000	ALLISON SALARIED-RYAN LABS	106.2070	918,690.55	112.2710	971,144.15	52,453.60
865,000.000	TOTAL P/P SIEMENS FINANCIE 3.400% 3/16/27		918,690.55		971,144.15	52,453.60
	SPRINT SPECTRUM / SPEC I 144A PRIV PLCMT 3.360 03/20/2023 CUSIP 85208NAA8					
117,500.000	ALLISON SALARIED-RYAN LABS	100.3750	117,940.62	101.1200	118,816.00	875.38
117,500.000	TOTAL P/P SPRINT SPECTRUM 3.360% 3/20/23		117,940.62		118,816.00	875.38
	BNP PARIBAS 144A PRIV PLCMT 01/13/2031 CUSIP 09659W2K9					
225,000.000	ALLISON SALARIED-RYAN LABS	100.2830	225,636.75	107.2460	241,303.50	15,666.75
225,000.000	TOTAL VR P/P BNP PARIBAS 3.052% 1/13/31		225,636.75		241,303.50	15,666.75
	TOTAL PRIVATE PLACEMENT		4,590,917.57		4,741,905.65	150,988.08
	TOTAL BONDS & NOTES		88,162,402.88		94,030,838.72	5,868,435.84
	MUTUAL FUNDS					
	MUTUAL FUNDS- EQUITY					

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2020

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	BLACKSTONE ALTERNATIVE MULTI-STRATEGY FUND CLASS I CUSIP 09257V201					
<u>208,275.276</u>	ALLISON SALARIED MUTUAL FUNDS	10.6104	<u>2,209,885.95</u>	10.0300	<u>2,089,001.02</u>	<u>120,884.93-</u>
208,275.276	TOTAL BLACKSTONE ALT MULTI-STRAT-I		2,209,885.95		2,089,001.02	120,884.93-
	DFA EMERGING MARKETS CORE EQUITY FUND CLASS I #5272 CUSIP 233203421					
<u>51,158.723</u>	ALLISON SALARIED MUTUAL FUNDS	18.3265	<u>937,561.15</u>	20.0800	<u>1,027,267.16</u>	<u>89,706.01</u>
51,158.723	TOTAL DFA EMERG MKTS CORE EQTY FD I #5272		937,561.15		1,027,267.16	89,706.01
	EATON VANCE ATLANTA CAPITAL SMID-CAP FUND CLASS R6 #1016 CUSIP 277902235					
<u>94,320.386</u>	ALLISON SALARIED MUTUAL FUNDS	35.5326	<u>3,351,447.42</u>	34.6900	<u>3,271,974.19</u>	<u>79,473.23-</u>
94,320.386	TOTAL EATON VANCE-ATLANTA SMID-R6 #1016		3,351,447.42		3,271,974.19	79,473.23-
	HARTFORD INTERNATIONAL OPPORTUNITIES FUND CLASS Y CUSIP 416645885					
<u>189,584.207</u>	ALLISON SALARIED MUTUAL FUNDS	15.4617	<u>2,931,300.55</u>	17.7300	<u>3,361,327.99</u>	<u>430,027.44</u>
189,584.207	TOTAL HARTFORD INTL OPPORT-Y		2,931,300.55		3,361,327.99	430,027.44
	PIMCO COMMODITY REAL RETURN STRATEGY FUND-CLASS I #45 CUSIP 722005667					
<u>192,509.470</u>	ALLISON SALARIED MUTUAL FUNDS	5.4437	<u>1,047,958.87</u>	5.2700	<u>1,014,524.91</u>	<u>33,433.96-</u>
192,509.470	TOTAL PIMCO COMMODITY REAL RET STRAT-I#45		1,047,958.87		1,014,524.91	33,433.96-
	PRINCIPAL INVESTORS REAL ESTATE SECURITIES INSTL FUND #4934 CUSIP 742530580					
<u>35,024.235</u>	ALLISON SALARIED MUTUAL FUNDS	26.1949	<u>917,455.53</u>	24.2200	<u>848,286.97</u>	<u>69,168.56-</u>
35,024.235	TOTAL PRINCIPAL INV R/E SEC-IS FUND 4934		917,455.53		848,286.97	69,168.56-
	TOTAL MUTUAL FUNDS- EQUITY		11,395,609.47		11,612,382.24	216,772.77



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ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2020

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	TOTAL MUTUAL FUNDS		11,395,609.47		11,612,382.24	216,772.77
	TOTAL ASSETS AND LIABILITIES		106,344,954.88		113,108,244.85	6,763,289.97
	PENDING TRADES		655.37-		655.37-	
	ACCRUED INCOME		613,809.08		613,809.08	
	NET ADJUSTED ASSETS		106,958,108.59		113,721,398.56	6,763,289.97

Schedule SB, line 24 — Change in Actuarial Assumptions

- Expenses were updated from \$340,000 to \$360,000.
- Actuarial value basis was updated from 2018 417(e) static mortality and July 2018 segment rates (3.15%/4.20%/4.47%) to 2019 417(e) static mortality and July 2019 segment rates (2.34%/3.38%/4.01%).