

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 <div style="text-align: center; font-size: 1.5em; font-weight: bold;">2019</div> This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2019 or fiscal plan year beginning <u>10/01/2019</u> and ending <u>09/30/2020</u>	
A This return/report is for:	☒ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☐ a single-employer plan ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☒
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)

Part II	Basic Plan Information —enter all requested information				
1a Name of plan <u>SOUND RETIREMENT TRUST</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>10/01/1965</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>001</u>	1c Effective date of plan <u>10/01/1965</u>	
1b Three-digit plan number (PN) ▶	<u>001</u>				
1c Effective date of plan <u>10/01/1965</u>					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>BOARD OF TRUSTEES, SOUND RETIREMENT TRUST</u> <u>11724 NE 195TH STREET, SUITE 300</u> <u>BOTHELL, WA 98011-3145</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>91-6069306</u></td> </tr> <tr> <td>2c Plan Sponsor's telephone number <u>206-282-4100</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>445110</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>91-6069306</u>	2c Plan Sponsor's telephone number <u>206-282-4100</u>	2d Business code (see instructions) <u>445110</u>	
2b Employer Identification Number (EIN) <u>91-6069306</u>					
2c Plan Sponsor's telephone number <u>206-282-4100</u>					
2d Business code (see instructions) <u>445110</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	07/08/2021	SCOTT KLITZKE POWERS
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	07/14/2021	JOE MIZRAHI
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

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3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN
5 Total number of participants at the beginning of the plan year	5 168282
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	<div style="background-color: #cccccc; height: 20px; width: 100%;"></div> 6a(1) 105445 6a(2) 109607 6b 20352 6c 42078 6d 172037 6e 1574 6f 173611 6g 6h
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7 88

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

1A

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1)** ☒ **R** (Retirement Plan Information)
- (2)** ☒ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3)** ☐ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- (1)** ☒ **H** (Financial Information)
- (2)** ☐ **I** (Financial Information – Small Plan)
- (3)** ☐ **A** (Insurance Information)
- (4)** ☒ **C** (Service Provider Information)
- (5)** ☒ **D** (DFE/Participating Plan Information)
- (6)** ☒ **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2019 Form M-1 annual report. If the plan was not required to file the 2019 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE MB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection
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For calendar plan year 2019 or fiscal plan year beginning 10/01/2019 and ending 09/30/2020

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan <u>SOUND RETIREMENT TRUST</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF <u>BOARD OF TRUSTEES, SOUND RETIREMENT TRUST</u>	D Employer Identification Number (EIN) <u>91-6069306</u>

E Type of plan: (1) ☒ Multiemployer Defined Benefit (2) ☐ Money Purchase (see instructions)

1a Enter the valuation date: Month 10 Day 01 Year 2019

b Assets

(1) Current value of assets.....	1b(1)	<u>2358885695</u>
(2) Actuarial value of assets for funding standard account.....	1b(2)	<u>2358885695</u>
c (1) Accrued liability for plan using immediate gain methods	1c(1)	<u>3405144916</u>
(2) Information for plans using spread gain methods:		
(a) Unfunded liability for methods with bases	1c(2)(a)	
(b) Accrued liability under entry age normal method.....	1c(2)(b)	
(c) Normal cost under entry age normal method	1c(2)(c)	
(3) Accrued liability under unit credit cost method	1c(3)	<u>3405144916</u>
d Information on current liabilities of the plan:		
(1) Amount excluded from current liability attributable to pre-participation service (see instructions)	1d(1)	
(2) "RPA '94" information:		
(a) Current liability.....	1d(2)(a)	<u>5614700667</u>
(b) Expected increase in current liability due to benefits accruing during the plan year	1d(2)(b)	<u>55713578</u>
(c) Expected release from "RPA '94" current liability for the plan year	1d(2)(c)	<u>189305248</u>
(3) Expected plan disbursements for the plan year	1d(3)	<u>198833233</u>

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	<u>07/12/2021</u>
Signature of actuary	Date
<u>PAUL L. GRAF, JOSHUA A. C. DAVIS</u>	<u>20-05627</u>
Type or print name of actuary	Most recent enrollment number
<u>RAEL & LETSON/CHEIRON INC.</u>	<u>206-445-1852</u>
Firm name	Telephone number (including area code)
<u>999 THIRD AVE. SUITE 1350, SEATTLE, WA 98104</u>	
Address of the firm	

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

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Schedule MB (Form 5500) 2019
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2 Operational information as of beginning of this plan year:

a Current value of assets (see instructions)	2a	2358885695
b "RPA '94" current liability/participant count breakdown:	(1) Number of participants	(2) Current liability
(1) For retired participants and beneficiaries receiving payment	21052	2496371492
(2) For terminated vested participants	41562	1851811553
(3) For active participants:		
(a) Non-vested benefits.....		56283798
(b) Vested benefits.....		1210233824
(c) Total active	38054	1266517622
(4) Total	100668	5614700667
c If the percentage resulting from dividing line 2a by line 2b(4), column (2), is less than 70%, enter such percentage	2c	42.01 %

3 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
11/15/2019	7484391		05/15/2020	20992903	
12/15/2019	7781121		06/15/2020	23530254	
01/15/2020	7926671		07/15/2020	20403986	
02/15/2020	19790797		08/15/2020	21674162	
03/15/2020	19174337		09/15/2020	22241553	
04/15/2020	20040142		10/15/2020	13985255	
Totals ▶			3(b)	205025572	3(c)

4 Information on plan status:

a Funded percentage for monitoring plan's status (line 1b(2) divided by line 1c(3))	4a	69.3 %
b Enter code to indicate plan's status (see instructions for attachment of supporting evidence of plan's status). If entered code is "N," go to line 5	4b	C
c Is the plan making the scheduled progress under any applicable funding improvement or rehabilitation plan?		☒ Yes ☐ No
d If the plan is in critical status or critical and declining status, were any benefits reduced (see instructions)?		☒ Yes ☐ No
e If line d is "Yes," enter the reduction in liability resulting from the reduction in benefits (see instructions), measured as of the valuation date	4e	4769410
f If the rehabilitation plan projects emergence from critical status or critical and declining status, enter the plan year in which it is projected to emerge. If the rehabilitation plan is based on forestalling possible insolvency, enter the plan year in which insolvency is expected and check here ☐	4f	2023

5 Actuarial cost method used as the basis for this plan year's funding standard account computations (check all that apply):

- a** ☐ Attained age normal
b ☐ Entry age normal
c ☒ Accrued benefit (unit credit)
d ☐ Aggregate
e ☐ Frozen initial liability
f ☐ Individual level premium
g ☐ Individual aggregate
h ☐ Shortfall
i ☐ Other (specify):

j If box h is checked, enter period of use of shortfall method	5j	
k Has a change been made in funding method for this plan year?		☐ Yes ☒ No
l If line k is "Yes," was the change made pursuant to Revenue Procedure 2000-40 or other automatic approval?		☐ Yes ☐ No
m If line k is "Yes," and line l is "No," enter the date (MM-DD-YYYY) of the ruling letter (individual or class) approving the change in funding method	5m	

6 Checklist of certain actuarial assumptions:

a Interest rate for "RPA '94" current liability.....	6a		3.02 %
	Pre-retirement		Post-retirement
b Rates specified in insurance or annuity contracts.....	☐ Yes ☐ No ☒ N/A		☐ Yes ☐ No ☒ N/A
c Mortality table code for valuation purposes:			
(1) Males	6c(1)	A	A
(2) Females	6c(2)	A	A
d Valuation liability interest rate	6d	6.50 %	6.50 %
e Expense loading	6e	28.3 % ☐ N/A	% ☒ N/A
f Salary scale	6f	% ☒ N/A	
g Estimated investment return on actuarial value of assets for year ending on the valuation date	6g	2.9 %	
h Estimated investment return on current value of assets for year ending on the valuation date	6h	7.4 %	

7 New amortization bases established in the current plan year:

(1) Type of base	(2) Initial balance	(3) Amortization Charge/Credit
1	89946249	8982191
3	-4769410	-476282

8 Miscellaneous information:

a If a waiver of a funding deficiency has been approved for this plan year, enter the date (MM-DD-YYYY) of the ruling letter granting the approval.....	8a	
b(1) Is the plan required to provide a projection of expected benefit payments? (See the instructions.) If "Yes," attach a schedule.....	☒ Yes ☐ No	
b(2) Is the plan required to provide a Schedule of Active Participant Data? (See the instructions.) If "Yes," attach a schedule.....	☒ Yes ☐ No	
c Are any of the plan's amortization bases operating under an extension of time under section 412(e) (as in effect prior to 2008) or section 431(d) of the Code?.....	☒ Yes ☐ No	
d If line c is "Yes," provide the following additional information:		
(1) Was an extension granted automatic approval under section 431(d)(1) of the Code?.....	☒ Yes ☐ No	
(2) If line 8d(1) is "Yes," enter the number of years by which the amortization period was extended	8d(2)	5
(3) Was an extension approved by the Internal Revenue Service under section 412(e) (as in effect prior to 2008) or 431(d)(2) of the Code?.....	☐ Yes ☒ No	
(4) If line 8d(3) is "Yes," enter number of years by which the amortization period was extended (not including the number of years in line (2)).....	8d(4)	
(5) If line 8d(3) is "Yes," enter the date of the ruling letter approving the extension	8d(5)	
(6) If line 8d(3) is "Yes," is the amortization base eligible for amortization using interest rates applicable under section 6621(b) of the Code for years beginning after 2007?	☐ Yes ☐ No	
e If box 5h is checked or line 8c is "Yes," enter the difference between the minimum required contribution for the year and the minimum that would have been required without using the shortfall method or extending the amortization base(s).....	8e	258216884

9 Funding standard account statement for this plan year:**Charges to funding standard account:**

a Prior year funding deficiency, if any	9a	0
b Employer's normal cost for plan year as of valuation date.....	9b	31730023
c Amortization charges as of valuation date:	Outstanding balance	
(1) All bases except funding waivers and certain bases for which the amortization period has been extended	9c(1)	1522286000
(2) Funding waivers	9c(2)	
(3) Certain bases for which the amortization period has been extended	9c(3)	
d Interest as applicable on lines 9a, 9b, and 9c.....	9d	14169291
e Total charges. Add lines 9a through 9d.....	9e	232158380

Credits to funding standard account:

f Prior year credit balance, if any.....	9f	165097157
g Employer contributions. Total from column (b) of line 3.....	9g	205025572
	Outstanding balance	
h Amortization credits as of valuation date.....	9h	310929622
i Interest as applicable to end of plan year on lines 9f, 9g, and 9h.....	9i	18794768
j Full funding limitation (FFL) and credits:		
(1) ERISA FFL (accrued liability FFL).....	9j(1)	1323887017
(2) "RPA '94" override (90% current liability FFL).....	9j(2)	2775505195
(3) FFL credit.....	9j(3)	0
k (1) Waived funding deficiency.....	9k(1)	0
(2) Other credits.....	9k(2)	0
l Total credits. Add lines 9f through 9i, 9j(3), 9k(1), and 9k(2).....	9l	440895244
m Credit balance: If line 9l is greater than line 9e, enter the difference.....	9m	208736864
n Funding deficiency: If line 9e is greater than line 9l, enter the difference.....	9n	
9o Current year's accumulated reconciliation account:		
(1) Due to waived funding deficiency accumulated prior to the 2019 plan year.....	9o(1)	0
(2) Due to amortization bases extended and amortized using the interest rate under section 6621(b) of the Code:		
(a) Reconciliation outstanding balance as of valuation date.....	9o(2)(a)	0
(b) Reconciliation amount (line 9c(3) balance minus line 9o(2)(a)).....	9o(2)(b)	0
(3) Total as of valuation date.....	9o(3)	0
10 Contribution necessary to avoid an accumulated funding deficiency. (See instructions.).....	10	0
11 Has a change been made in the actuarial assumptions for the current plan year? If "Yes," see instructions.....		☒ Yes ☐ No

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2019
		This Form is Open to Public Inspection.

For calendar plan year 2019 or fiscal plan year beginning 10/01/2019 and ending 09/30/2020

A Name of plan SOUND RETIREMENT TRUST	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 BOARD OF TRUSTEES, SOUND RETIREMENT TRUST	D Employer Identification Number (EIN) 91-6069306

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
WP GLOBAL PARTNERS, INC.
20-2847722

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
BRIDGEWATER ASSOCIATES, LP
ONE GLENDINNING PLACE WESTPORT, CT 06880

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
OAK HILL ADVISORS LP
13-4077194

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
AMERICAN SECURITIES PARTNERS V
26-2113348

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PEGASUS PARTNERS V, LP

45-1566282

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

TPG GROWTH II, LP

45-1476686

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PLATINUM EQUITY ADVISORS, LLC

20-0080603

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ENERGY CAPITAL PARTNERS MGMT., LP

20-2755010

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

APOLLO ANRP ADVISORS LP

26-3354710

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

CVC CAPITAL PARTNERS VI, LIMITED

27 ESPLANADE
ST. HELIER, JERSEY CHANNEL ISLANDS JE11SG JE

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

TPG GROWTH III (A) LP

47-2304661

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

AMERICAN SECURITIES PARTNERS VI, LP

27-3798431

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

AMERICAN SECURITIES PARTNERS VII LP

47-1836594

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ANACAP CREDIT OPPORTUNITIES III, LP

98-1239747

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ROARK CAPITAL MANGAGEMENT, LLC

06-1735341

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HIG CAPITAL, LLC

65-0863927

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

WCM INVESTMENT MANAGEMENT, LLC

95-3046237

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

AQR CAPITAL MANAGEMENT LLC

13-3987414

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

DODGE & COX

94-1441976

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PROVIDENCE EQUITY PARTNERS LLC

20-8146702

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

WHITE OAK GLOBAL ADVISORS, LLC

26-0340395

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

SELENE RES MORT OPP(CAYMAN)FD,II,LP

650 5TH AVENUE, SUITE 1801
NEW YORK, NY 10019

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

CAPITALA PRIVATE ADVISORS, LLC

81-1406626

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

CASTLELAKE LP

20-3223857

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

CENTRE LANE CREDIT PARTNERS II, LP

82-1055230

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HPS MEZZANINE MGMT III, LLC

30-0895367

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

STERLING UNITED PROPERTIES LP

47-3143852

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

CVC CAPITAL PARTNERS VII LIMITED

27 ESPLANADE
ST. HELIER, JERSEY CHANNEL ISLANDS JE11SG JE

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ANGELO, GORDON & CO

13-3478879

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ARES SPECIAL OPPORTUNITIES FUND

98-1463109

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

SENTINEL REAL ESTATE FUND, LP

27-2876245

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PCCP CREDIT IX, LP

36-4850485

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

AVENUE CAPITAL MGMT II, LP

52-2258514

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

TURNBRIDGE CAPITAL GP 1, LLC

46-5712799

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HARK CAYMAN FEEDER II LP

98-1385192

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

INVESCO TRUST COMPANY

46-3793325

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

BALANCE POINT CAPITAL PARTNERS III

32-0536303

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

OAKTREE CAPITAL MANAGEMENT, LP

26-0189082

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

BENEFIT STREET PARTNERS DEBT FUND I

98-1341291

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

US REAL ESTATE INVESTMENT FUND LLC

04-2895544

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

MESIROW FINANCIAL REVF, LP

81-5208055

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

BLACKSTONE ALTERNATIVE CREDIT ADVIS

01-0899018

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

HAMILTON LANE ADVISORS, LLC

23-2962336

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 52	NONE	1698381	Yes ☒ No ☐	Yes ☐ No ☒	657085	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

ZENITH AMERICAN SOLUTIONS, INC

52-1590516

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 12 13 36 50	NONE	1985872	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

LOOMIS SAYLES & COMPANY, LP

04-3200030

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	1153011	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

PIMCO

33-0629048

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 52	NONE	942604	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

INDUSTRY FUNDS MANAGEMENT PTY LTD

98-0569684

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	925282	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

CHEIRON, INC.

13-4215617

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 50 17	NONE	837236	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

VERUS ADVISORY, INC.

91-1320111

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
17 50 27	NONE	558000	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

PANAGORA ASSET MANAGEMENT

04-3063840

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68 71	NONE	377152	Yes ☒ No ☐	Yes ☐ No ☒	70604	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

RAEL & LETSON, INC.

94-1701048

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 17 50	NONE	416233	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

BLACKSTONE ENERGY MGMT ASSOC. LLC

45-2341035

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 99	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	319786	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

SEYFARTH SHAW LLP

36-2152202

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29 50	NONE	294442	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

RYAN ALM ADVISERS, LLC

20-2865003

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 51	NONE	247155	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

STEPSTONE GROUP REAL ESTATE LP

38-3930662

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	202683	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

BLACKSTONE MGMT ASSOC. VI LLC

26-1971725

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 99	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	156289	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

US BANK NA

31-0841368

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 50 60 62	NONE	145809	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

LINDQUIST LLP

52-2385296

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 50	NONE	134148	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

HAMILTON LANE SECONDARY FD II GP

26-2316056

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 99	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	80991	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

SLEVIN & HART, P.C.

52-1708613

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29 50	NONE	111593	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MIDOCEAN US ADVISOR, LP

43-1994382

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	110345	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

PARAMETRIC PORTFOLIO ASSOCIATES LLC

20-0292745

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	105627	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

BLACKSTONE MGMT ASSOC. VII, LLC

36-4799238

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 99	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	94299	Yes ☐ No ☒

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

BLACKSTONE ENERGY MGMT ASSOC II LLC

37-1762494

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 99	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	85492	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

BARLOW, COUGHRAN, MORALES, JOSEPHSO

91-0889948

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29 50	NONE	63574	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

STATE STREET BANK & TRUST CO.

81-4017137

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 28 50 99	NONE	39967	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ABRACADABRA PRINTING, INC.

91-1677862

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
36 50	NONE	33088	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

KAYE-SMITH ENTERPRISES, INC.

93-0523003

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
36 50	NONE	32972	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WELLS FARGO BANK, NA

94-1347393

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
18 50	NONE	26685	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

KELSO & COMPANY, LP

94-2680741

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 70 99	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	24699	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

IRON MOUNTAIN

04-3038590

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
49 50	NONE	12895	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

SERVICE PRINTING CO., INC

91-0830372

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
36 50	NONE	7868	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ONSITE MAIL, INC.

91-1928931

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
36 50	NONE	6741	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
INDUSTRY FUNDS MANAGEMENT PTY LTD	52	925282
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
IFM GLBL INFRASTRUCTURE FND 98-0569684	INVESTMENT MANAGEMENT FEES	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
PANAGORA ASSET MANAGEMENT	68 71	70604
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RISK PARITY MULTI ASSET GROU 04-3183235	SOFT DOLLAR AND BROKER COMMISSIONS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
BLACKSTONE MGMT ASSOC. VI LLC	52 99	156289
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKSTONE MGMT PARTNERS LLC 26-0288589	CARRIED INTEREST AND MGMT FEES	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
BLACKSTONE ENERGY MGMT ASSOC II LLC	52 99	85492

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKSTONE MGMT PARTNERS LLC 26-0288589	MANAGEMENT FEES

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
HAMILTON LANE SECONDARY FD II GP	52 99	80991

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HAMILTON LN SCNDRY FD II LP 23-2316138	INVESTMENT MANAGEMENT FEES AND CARRIED INTEREST

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
KELSO & COMPANY, LP	52 70 99	24699

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
KELSO INVESTMENT ASSOCIATES 45-4395015	INVESTMENT MANAGEMENT CONSULTING AND ADVISORY FEES

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
BLACKSTONE MGMT ASSOC. VII, LLC	52 99	94299
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKSTONE MGMT PARTNERS LLC 26-0288590	MANAGEMENT FEES	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
HAMILTON LANE ADVISORS, LLC	52	242116
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HAMILTON LN SEC FD III-A, LP 80-0781232	INVESTMENT MANAGEMENT FEES	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
HAMILTON LANE ADVISORS, LLC	52	144969
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HAMILTON LN SEC FUND IV-A,LP 47-4512595	INVESTMENT MANAGEMENT FEES	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
HAMILTON LANE ADVISORS, LLC	52	270000
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HAMILTON LN CO-INV FEEDER FN ONE PRESIDENTIAL BLVD., 4TH FLOOR BALA CYNWYD, PA 19004		INVESTMENT MANAGEMENT FEES
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MIDOCEAN US ADVISOR, LP	52	110345
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MIDOCEAN PARTNERS V LP 245 PARK AVENUE, 38TH FLOOR NEW YORK, NY 10022		INVESTMENT MANAGEMENT FEES
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
BLACKSTONE ENERGY MGMT ASSOC. LLC	52 99	319786
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKSTONE MGMT PARTNERS LLC 26-0288589		MANAGEMENT FEES

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	FIONA E. LISTON	b EIN:	13-4215617
c Position:	ENROLLED ACTUARY		
d Address:	8300 GREENSBORO DRIVE, SUITE 800 MCLEAN, VA 22102	e Telephone:	703-893-1456

Explanation: TRANSFER OF RESPONSIBILITIES WITHIN CHEIRON, INC.

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection.
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For calendar plan year 2019 or fiscal plan year beginning 10/01/2019 and ending 09/30/2020

A Name of plan <u>SOUND RETIREMENT TRUST</u>	B Three-digit plan number (PN) ►	<u>001</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>BOARD OF TRUSTEES, SOUND RETIREMENT TRUST</u>	D Employer Identification Number (EIN) <u>91-6069306</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: <u>ALL WEATHER PORTFOLIO LIMITED</u>		
b Name of sponsor of entity listed in (a): <u>ALL WEATHER PORTFOLIO LIMITED</u>		
c EIN-PN <u>98-0501379-001</u>	d Entity code <u>E</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>92246998</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>PANAGORA GROUP TRUST RISK PARTY</u>		
b Name of sponsor of entity listed in (a): <u>PANAGORA ASSET MGMT INC</u>		
c EIN-PN <u>04-3183235-006</u>	d Entity code <u>E</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>102145952</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>INVESCO BALANCED-RISK COMMODITY TRU</u>		
b Name of sponsor of entity listed in (a): <u>INVESCO TRUST COMPANY</u>		
c EIN-PN <u>27-1980766-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>65635606</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>SSGA LONG US TREASURY INDEX SL FUND</u>		
b Name of sponsor of entity listed in (a): <u>STATE STREET GA TRUST CO</u>		
c EIN-PN <u>04-0025081-472</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>93592134</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>SS RUSSELL 2000(R) INDEX SL FUND</u>		
b Name of sponsor of entity listed in (a): <u>STATE STREET GA TRUST CO</u>		
c EIN-PN <u>04-0025081-012</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>0</u>
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

<div>SCHEDULE G (Form 5500) Department of Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration</div>	<div>Financial Transaction Schedules</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>► File as an attachment to Form 5500.</div>	<div>OMB No. 1210-0110</div> <div>2019</div> <div>This Form is Open to Public Inspection.</div>
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For calendar plan year 2019 or fiscal plan year beginning 10/01/2019 and ending 09/30/2020		
A Name of plan SOUND RETIREMENT TRUST		B Three-digit plan number (PN) ► 001
C Plan sponsor's name as shown on line 2a of Form 5500 BOARD OF TRUSTEES, SOUND RETIREMENT TRUST		D Employer Identification Number (EIN) 91-6069306

Part I	Schedule of Loans or Fixed Income Obligations in Default or Classified as Uncollectible Complete as many entries as needed to report all loans or fixed income obligations in default or classified as uncollectible. Check box (a) if obligor is known to be a party in interest. Attach Overdue Loan Explanation for each loan listed. See Instructions.
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(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items
☐	ICG FDG LLC 161 INVERNESS DRIVE WEST ENGLEWOOD, CO 80112	ICG FDG LLC: TERMS: PFD EXCH 6.75% REG-REORGANIZATION WAS APPROVED BY THE BANKRUPTCY COURT IN 1/2003

		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest
2916250			2916250	2916250	

(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items
☐	LEHMAN BROTHERS HOLDINGS 745 7TH AVENUE NEW YORK, NY 10019	LEHMAN BROTHERS HOLDINGS: TERMS: 7.25% CV PFD P-THE COMPANY FILED CHAPTER 11 BANKRUPTCY IN SEPT. 2008

		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest
2339786			2339786	2339786	

(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items
☐	LEHMAN BROTHERS HOLDINGS 745 7TH AVENUE NEW YORK, NY 10019	LEHMAN BROTHERS HOLDINGS: TERMS: 8.75% CV PFD Q-THE COMPANY FILED CHAPTER 11 BANKRUPTCY IN SEPT. 2008

		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest
2200948			2200948	2200948	

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

Part II Schedule of Leases in Default or Classified as Uncollectible

Complete as many entries as needed to report all leases in default or classified as uncollectible. Check box (a) if lessor or lessee is known to be a party in interest. Attach Overdue Lease Explanation for each lease listed. (See instructions)

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

Part III Nonexempt Transactions

Complete as many entries as needed to report all nonexempt transactions. **Caution:** If a nonexempt prohibited transaction occurred with respect to a disqualified person, file Form 5330 with the IRS to pay the excise tax on the transaction.

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
(i) Current value of asset	(j) Net gain (or loss) on each transaction		

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
(i) Current value of asset	(j) Net gain (or loss) on each transaction		

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
(i) Current value of asset	(j) Net gain (or loss) on each transaction		

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
(i) Current value of asset	(j) Net gain (or loss) on each transaction		

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
(i) Current value of asset	(j) Net gain (or loss) on each transaction		

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset
(i) Current value of asset	(j) Net gain (or loss) on each transaction		

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2019 This Form is Open to Public Inspection
For calendar plan year 2019 or fiscal plan year beginning 10/01/2019 and ending 09/30/2020		
A Name of plan SOUND RETIREMENT TRUST	B Three-digit plan number (PN) ► 001	
C Plan sponsor's name as shown on line 2a of Form 5500 BOARD OF TRUSTEES, SOUND RETIREMENT TRUST	D Employer Identification Number (EIN) 91-6069306	

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	20226216	66171506
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)	15928161	21150245
(2) Participant contributions.....	1b(2)		
(3) Other.....	1b(3)	510554534	45841728
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	72314903	236357725
(2) U.S. Government securities	1c(2)	323925813	332933411
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other.....	1c(3)(B)	403189800	308663326
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common.....	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)	824985797	868641842
(6) Real estate (other than employer real property).....	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans.....	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)	216574153	159227740
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities.....	1c(12)	253744689	194392950
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	144982744	183094967
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)	127963773	238603156

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	2914390583	2655078596

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h	1076639	1246779
i Acquisition indebtedness	1i		
j Other liabilities	1j	546204971	72449214
k Total liabilities (add all amounts in lines 1g through 1j)	1k	547281610	73695993

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	2367108973	2581382603
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	203967284	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		203967284
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	748427	
(B) U.S. Government securities	2b(1)(B)	7295014	
(C) Corporate debt instruments	2b(1)(C)	13017479	
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)	31231711	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		52292631
(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	11709450	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		11709450
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	3135515475	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	3086740719	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		48774756
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	81619452	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		81619452

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		18114354
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		8648261
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		-12430627
c Other income	2c		288196
d Total income. Add all income amounts in column (b) and enter total	2d		412983757

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	175604780	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		175604780
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)	1877059	
(2) Contract administrator fees	2i(2)	1940825	
(3) Investment advisory and management fees	2i(3)	15639208	
(4) Other	2i(4)	3648255	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		23105347
j Total expenses. Add all expense amounts in column (b) and enter total	2j		198710127

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		214273630
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☐ Yes ☒ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: LINDQUIST LLP

(2) EIN: 52-2385296

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b	X		7456984

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.).....		X	
4d		X	
e Was this plan covered by a fidelity bond?	X		3000000
4e	X		3000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?.....	X		913089777
4g	X		913089777
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?.....		X	
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	X		
4j	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?.....		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ☒ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 4286507. (See instructions.)

<div>SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Retirement Plan Information</div> <div>This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500.</div>	<div>OMB No. 1210-0110</div> <div>2019</div> <div>This Form is Open to Public Inspection.</div>
For calendar plan year 2019 or fiscal plan year beginning 10/01/2019 and ending 09/30/2020		
A Name of plan SOUND RETIREMENT TRUST		B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 BOARD OF TRUSTEES, SOUND RETIREMENT TRUST		D Employer Identification Number (EIN) 91-6069306
Part I	Distributions	
All references to distributions relate only to payments of benefits during the plan year.		
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions		1
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 91-6069306		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year		3 24
Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)	
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?		☐ Yes ☒ No ☐ N/A
If the plan is a defined benefit plan, go to line 8.		
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month Day Year		
If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.		
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)		6a
b Enter the amount contributed by the employer to the plan for this plan year		6b
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)		6c
If you completed line 6c, skip lines 8 and 9.		
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?		☐ Yes ☐ No ☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?		☐ Yes ☐ No ☒ N/A
Part III	Amendments	
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.		☐ Increase ☒ Decrease ☐ Both ☐ No
Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.	
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?		☐ Yes ☐ No
11 a Does the ESOP hold any preferred stock?		☐ Yes ☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)		☐ Yes ☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?		☐ Yes ☐ No
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.		
Schedule R (Form 5500) 2019 v. 190130		

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer **ALBERTSONS INC.**

b EIN **20-4057706**

c Dollar amount contributed by employer **15827024**

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☒ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☒ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☒ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer **SAFEWAY STORES, INC.**

b EIN **94-3019135**

c Dollar amount contributed by employer **103971462**

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☒ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☒ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☒ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer **KROGER DBA FRED MEYER**

b EIN **91-1826443**

c Dollar amount contributed by employer **17632064**

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☒ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☒ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☒ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	14a	3012
b The plan year immediately preceding the current plan year	14b	2994
c The second preceding plan year	14c	2794

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	1.02
b The corresponding number for the second preceding plan year	15b	1.04

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	6
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	6673399

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: 26.4 % Investment-Grade Debt: 14.6 % High-Yield Debt: 8.9 % Real Estate: 6.6 % Other: 43.5 %

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☒ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate line 19(b)?

☒ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

- a** Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

- b** If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

SOUND RETIREMENT TRUST

FINANCIAL STATEMENTS

SEPTEMBER 30, 2020

SOUND RETIREMENT TRUST

FINANCIAL STATEMENTS WITH ADDITIONAL INFORMATION SEPTEMBER 30, 2020 AND 2019

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INDEPENDENT AUDITORS' REPORT

To the Participants and Trustees of
Sound Retirement Trust

REPORT ON THE FINANCIAL STATEMENTS

We have audited the accompanying financial statements of Sound Retirement Trust (the Plan), which comprise the statements of net assets available for benefits as of September 30, 2020 and 2019; the related statements of changes in net assets available for benefits for the years then ended; and the related notes to the financial statements.

MANAGEMENT'S RESPONSIBILITY FOR THE FINANCIAL STATEMENTS

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America, which includes the design, implementation and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

AUDITORS' RESPONSIBILITY

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risk of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditors consider internal control relevant to the Plan's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but

To the Participants and Trustees of
Sound Retirement Trust
Page two

not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

OPINION

In our opinion, the financial statements referred to above present fairly, in all material respects, information regarding Sound Retirement Trust's net assets available for benefits as of September 30, 2020, and changes therein for the year then ended and its financial status as of September 30, 2019, and changes therein for the year then ended in accordance with accounting principles generally accepted in the United States of America.

A handwritten signature in black ink, appearing to read "Lindquist LLP", is written in a cursive, stylized script.

July 14, 2021

SOUND RETIREMENT TRUST

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS SEPTEMBER 30, 2020 AND 2019

	<u>2020</u>	<u>2019</u>
ASSETS		
INVESTMENTS - at fair value		
Cash and cash equivalents	\$ 236,357,725	\$ 72,314,903
U.S. Government and Government Agency obligations	332,933,411	323,925,813
Corporate debt securities	308,663,326	403,189,800
Foreign government obligations	123,775,433	12,960,891
Mutual funds	183,094,967	144,982,744
Common/collective trusts	159,227,740	216,574,153
Limited partnerships and other privately held investments	949,846,584	887,553,510
103-12 investment entities	194,392,950	253,744,689
Real estate investment trust	33,622,981	52,435,169
Total investments	<u>2,521,915,117</u>	<u>2,367,681,672</u>
RECEIVABLES		
Employer contributions	13,985,255	7,704,883
Employer withdrawal liability receivable - net	7,164,990	8,223,278
Accrued interest and dividends	4,442,338	4,565,103
Due from broker for securities sold	31,744,448	-
Variation margin receivable	8,015,067	2,793,833
Forward foreign currency contracts	26,750	46,424,105
Swaps receivable from counterparties	867,835	455,597,248
Total receivables	<u>66,246,683</u>	<u>525,308,450</u>
CASH	<u>66,171,506</u>	<u>20,226,216</u>
OTHER ASSETS		
Prepaid expenses and pension benefits	745,290	1,174,245
Total assets	<u>2,655,078,596</u>	<u>2,914,390,583</u>
LIABILITIES AND NET ASSETS		
LIABILITIES		
Accounts payable and accrued expenses	1,246,779	1,076,639
Due to broker for securities purchased	49,233,937	43,011,033
Options written	62,012	364,257
Variation margin payable	6,642,020	5,784,219
Forward foreign currency contracts	15,821,237	46,424,105
Swaps payable to counterparties	690,008	450,621,357
Total liabilities	<u>73,695,993</u>	<u>547,281,610</u>
NET ASSETS AVAILABLE FOR BENEFITS	<u>\$ 2,581,382,603</u>	<u>\$ 2,367,108,973</u>

See accompanying notes to financial statements.

SOUND RETIREMENT TRUST

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS YEARS ENDED SEPTEMBER 30, 2020 AND 2019

	<u>2020</u>	<u>2019</u>
ADDITIONS		
Investment income		
Net appreciation in fair value of investments	\$ 144,625,424	\$ 145,293,829
Interest, dividends and other investment income	64,102,853	34,338,365
Other	288,196	498,363
	<u>209,016,473</u>	<u>180,130,557</u>
Less investment expenses		
Investment manager fees	(14,834,053)	(12,784,532)
Investment advisor fees	(805,155)	(838,370)
Investment income - net	<u>193,377,265</u>	<u>166,507,655</u>
Employer contributions	130,867,245	28,522,027
Supplemental employer contributions	70,602,118	57,650,998
Employer withdrawal liability contributions	2,497,921	450,368
Total additions	<u>397,344,549</u>	<u>253,131,048</u>
DEDUCTIONS		
Benefits paid directly to participants	175,604,780	170,066,429
Administrative expenses	7,466,139	6,755,830
Total deductions	<u>183,070,919</u>	<u>176,822,259</u>
NET CHANGE	214,273,630	76,308,789
NET ASSETS AVAILABLE FOR BENEFITS		
Beginning of year	<u>2,367,108,973</u>	<u>2,290,800,184</u>
End of year	<u>\$ 2,581,382,603</u>	<u>\$ 2,367,108,973</u>

See accompanying notes to financial statements.

SOUND RETIREMENT TRUST

NOTES TO FINANCIAL STATEMENTS SEPTEMBER 30, 2020 AND 2019

NOTE 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Method of Accounting - The accompanying financial statements are prepared using the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

Investment Valuation, Transactions and Income Recognition -

General - Investments are carried at fair value, which is determined, presented and disclosed in accordance with Financial Accounting Standards Board Accounting Standards Codification (FASB ASC) 820, *Fair Value Measurements and Disclosures*. Under FASB ASC 820, fair value is defined as the price that would be received to sell an asset or paid to transfer a liability (i.e., the “exit price”) in an orderly transaction between market participants at the measurement date.

FASB ASC 820 establishes a fair value hierarchy for inputs used in measuring fair value that maximizes the use of observable inputs and minimizes the use of unobservable inputs by requiring that the most observable inputs be used when available. Observable inputs are those that market participants would use in pricing the asset or liability based on market data obtained from sources independent of the Plan. Unobservable inputs reflect the Plan's assumptions about inputs that market participants would use in pricing the investments developed based on the best information available in the circumstances. The fair value hierarchy is categorized into three levels, based on the inputs, as follows:

Level 1 - Valuations based on quoted prices in active markets for identical assets or liabilities that the Plan has the ability to access.

Level 2 - Valuations based on quoted prices in markets that are not active, quoted prices for similar investments in active markets or model-based valuations for which all significant assumptions are observable and can be corroborated by observable market data.

NOTE 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONT'D)

Level 3 - Valuations based on unobservable inputs that are supported by little or no market activity and are significant to the overall fair value measurement. Values are determined using proprietary pricing models, discounted cash flow models that include the investment entities' own judgments and estimations, or some other pricing method using unobservable inputs.

Inputs and Valuation Methods - In determining fair value, FASB ASC 820 allows various valuation approaches. The specific methods used for each of the Plan's investment classes are presented below.

Cash and cash equivalents: The value of temporary cash held in short-term investment funds is based on quoted prices for similar assets in active markets (Level 2).

U.S. Government and Government Agency obligations and foreign government obligations: The fair value of U.S. Government and Government Agency obligations and foreign government obligations is generally based on quoted market prices in active markets (Level 1). When quoted prices are not available, valuation is determined using a market-based model in which valuation consideration is given to yield or price of comparable securities, coupon rate, maturity, credit quality and dealer-provided prices (Level 2).

Corporate debt securities: The fair value of corporate debt securities that are not traded in active markets is generally determined based on a model that uses inputs such as interest-rate yield curves, which are similar to the valued obligations in terms of issuer, maturity and seniority (Level 2).

Mutual funds: The fair value of mutual funds is generally based on quoted market prices in active markets (Level 1). When quoted prices are not available, valuation is determined from dealer-provided prices based on a model where consideration is given to recent transactions and comparable securities (Level 2).

Common/collective trusts and 103-12 investment entities: The common/collective trusts and 103-12 investment entities are valued based on the net asset value per unit of the fund as reported each valuation date. Net asset value is being used as a practical expedient to estimate fair value.

Limited partnerships and other privately held investments: The limited partnerships and other privately held investments are valued using net asset value, which approximates fair value. Net asset value is being used as a practical expedient to estimate fair value.

NOTE 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONT'D)

Real estate investment trust: The Plan's investment in RREEF America REIT II (RREEF), a real estate investment trust, is a pooled account consisting of a managed real estate portfolio composed of multi-family, industrial, retail and office properties in metropolitan areas within the continental United States. Net asset value is being used as a practical expedient to estimate fair value.

Futures contracts and options: Futures contracts and options are valued at their fair value as determined in good faith in accordance with the procedures adopted by the investment manager. Such procedures include obtaining quotes from pricing agents and utilizing pricing models based on available market data (Level 2).

Swap agreements: Swap agreements are recorded on the contract date. Amounts payable or receivable under the swap agreements are included on the statements of net assets available for benefits as a receivable from or payable to the swap counterparty. Because no market quotations are readily available, swap agreements are valued at estimated fair value, as determined by the investment manager, utilizing pricing models based on available market data (Level 2).

Valuation Methods, Consistency - The valuation techniques used in the accompanying financial statements have been consistently applied.

Transactions and Income Recognition - Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Contributions Receivable - Employer contributions due and not paid prior to the year-end are recorded as employer contributions receivable. An allowance for uncollectible accounts is considered unnecessary and is not provided. Contributions due as a result of payroll compliance examinations have not been recorded as a receivable because collectability is uncertain. Therefore, delinquent contributions are recorded when received.

Withdrawal Contributions Income and Receivable - Withdrawal contributions income is recognized when the withdrawal liability amount has been assessed. An allowance for uncollectible accounts was not deemed necessary for the years ended September 30, 2020 and 2019. However, during the years ended September 30, 2020 and 2019, certain prior-year receivables were reduced by approximately \$702,700 and \$3,162,000, respectively, as a result of lesser amounts owed due to settlement agreements.

NOTE 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONT'D)

Actuarial Present Value of Accumulated Plan Benefits - Accumulated Plan benefits are those future periodic payments, including lump-sum distributions, that are attributable under the Plan's provisions to the participants' accrued benefits. Accumulated Plan benefits include benefits expected to be paid to (a) retired or terminated employees or their beneficiaries, (b) beneficiaries of participants who have died, and (c) presently active participants or their beneficiaries.

Estimates - The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires Plan management to make estimates and assumptions that affect the reported amount and requires disclosure in the financial statements. Actual results could vary from the estimates that were used.

Risks and Uncertainties - The actuarial present value of accumulated Plan benefits is calculated based on certain assumptions pertaining to interest rates, participant demographics and other assumptions, all of which are subject to change. It is possible that changes in these assumptions in the near term would be material to the disclosure to the financial statements of the actuarial present value of accumulated Plan benefits.

The Plan invests in corporate debt securities, limited partnerships, a real estate investment trust, futures, swaps and other investment securities. Such investments are exposed to various risks, such as interest rate, market and credit. Due to the level of risk associated with certain investments and the level of uncertainty with respect to changes in the value of investments, it is possible that changes in risks in the near term could materially affect the amounts reported in the statements of net assets available for benefits and the statements of changes in net assets available for benefits.

NOTE 2. DESCRIPTION OF THE PLAN

The Plan became effective October 1, 1965, as a result of collective bargaining between the Retail Clerks International Association, Local Union No. 1105 and Food Industry, Inc. The Plan was revised April 1, 2009, and restated effective October 1, 2014. The following description of the Plan provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General - The Plan is a defined benefit pension plan covering eligible employees under the collective bargaining agreement. It is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended.

NOTE 2. DESCRIPTION OF THE PLAN (CONT'D)

Vesting - Participants are entitled to a vested benefit if they have five or more years of credited future service and/or credited past service (provided they have at least one year of credited future service).

Pension Benefits - Participants will be eligible for a normal retirement benefit when they have obtained age 65 and have earned five years of credited service or have reached their fifth anniversary of participation (without a permanent break in service) under the Plan. Participants are entitled to a monthly benefit for each year of credited past service (as defined in the Plan) plus a percentage of the contributions made on the participants' behalf (representing future service). The Plan permits early retirement at ages 55–65 when participants have earned five years of credited service (without a break in service) of which at least one year is credited future service. The amount of the early retirement pension is reduced from the amount of the regular pension, as stated in the Plan. Participants may elect to receive their benefits through various options.

Death and Disability Benefits - A death benefit is payable to the surviving spouse of a deceased participant if he or she has earned at least five years of credited service (without a permanent break in service) of which at least one year is credited future service. Active employees under age 65 who become totally and permanently disabled are eligible to receive a disability pension if they have earned at least five years of credited service (without a permanent break in service) of which at least one year is credited future service. The credited future service must have been earned in the two Plan years just prior to the Plan year in which the participant becomes disabled. In addition, the participant must not be receiving an early retirement pension in order to receive a disability pension.

NOTE 3. PRIORITIES UPON TERMINATION

It is the intent of the Trustees to continue the Plan in full force and effect; however, the right to discontinue the Plan is reserved to the Trustees. Termination shall not permit any part of the Plan assets to be used for, or diverted to, purposes other than the exclusive benefit of the pensioners, beneficiaries and participants. In the event of termination, the net assets of the Plan will be allocated to pay benefits in priorities as prescribed by ERISA and its related regulations.

Whether or not a particular participant will receive full benefits should the Plan terminate at some future time will depend on the sufficiency of the Plan's net assets at that time and the priority of those benefits.

NOTE 3. PRIORITIES UPON TERMINATION (CONT'D)

In addition, certain benefits under the Plan are insured by the Pension Benefit Guaranty Corporation (PBGC) if the Plan terminates. Generally, the PBGC guarantees most vested normal-age retirement benefits, early retirement benefits, and certain disability and survivor's pensions. The PBGC does not guarantee all types of benefits, and the amount of any individual participant's benefit protection is subject to certain limitations, particularly with respect to benefit increases as a result of Plan amendments in effect for less than five years. Some benefits may be fully or partially provided, while other benefits may not be provided at all.

NOTE 4. TAX STATUS

The Plan obtained its latest determination letter, dated July 8, 2015, in which the Internal Revenue Service stated that the Plan, as then designed, was in compliance with the applicable requirements under Section 401(a) of the Internal Revenue Code and was, therefore, exempt from federal income taxes under the provisions of Section 501(a). The Plan's administrator believes that, to the best of its knowledge, the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code.

Accounting principles generally accepted in the United States of America require management to evaluate the tax positions taken by the Plan and to recognize a tax liability if the Plan has taken an uncertain position that, more likely than not, would not be sustained upon examination by the Internal Revenue Service. The Plan administrator has analyzed the tax positions taken by the Plan and has concluded that, as of September 30, 2020, there are no uncertain positions taken, or expected to be taken, that would require recognition of a liability or disclosure in the financial statements. The Plan is subject to routine audits by the taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan's administrator believes the Plan is no longer subject to income tax examinations for the fiscal years prior to 2017.

NOTE 5. ACTUARIAL INFORMATION

The actuarial valuation of the Plan was made by Rael & Letson and Cheiron, the consulting actuaries, as of October 1, 2019. Information in the report included the following:

Actuarial present value of accumulated Plan benefits	
Vested benefits	
Participants and beneficiaries currently receiving benefits	\$ 1,821,673,682
Other participants	1,613,539,517
Total vested benefits	<u>3,435,213,199</u>
Non-vested benefits	<u>38,034,615</u>
Total actuarial present value of accumulated Plan benefits	<u>\$ 3,473,247,814</u>

As reported by the actuaries, the changes in the actuarial present value of accumulated Plan benefits for the year ended October 1, 2019, were as follows:

Actuarial present value of accumulated Plan benefits at beginning of year	<u>\$ 3,115,444,639</u>
Increase (decrease) during the year attributable to:	
Benefits accumulated and actuarial experience	54,454,418
Increase for interest	196,847,645
Benefits paid and expenses	(176,822,259)
Changes in assumptions	288,188,170
Plan amendments	(4,864,799)
Net increase	<u>357,803,175</u>
Actuarial present value of accumulated Plan benefits at end of year	<u>\$ 3,473,247,814</u>

The computations of the actuarial present value of accumulated Plan benefits were made as of October 1, 2019. Had the valuation been made as of September 30, 2019, there would be no material differences. No amendments were adopted on October 1, 2019.

NOTE 5. ACTUARIAL INFORMATION (CONT'D)

The unit credit cost method was used for valuation of liabilities. The significant actuarial assumptions used are as follows:

Mortality:

Healthy: RPH-2014 healthy annuitant mortality table for males and females with Blue Collar adjustments, backed down to 2006, then projected to 2015 valuation date with MP-2015 projection scale and projected fully generationally using 75.0% of the ultimate rates of MP-2015 from 2015.

Disabled: RPH-2014 disabled annuitant mortality table for males and females backed down to 2006, then projected to 2015 valuation date with MP-2015 projection scale and projected fully generationally using 75.0% of the ultimate rates of MP-2015 from 2015.

Investment rate of return: 6.50% compounded annually, net of investment expenses.

Retirement age: Retail Clerks and Retail Drug employees:

Retirement <u>Age</u>	Rate of <u>Retirement</u>
55	6.5%
56-59	5.5
60	7.5
61	12.0
62	17.0
63	14.0
64	17.5
65	30.0
66	27.5
67-69	20.0
70-74	60.0
75 and older	100.0

Washington Meat employees who are eligible for Rule of 85 Pension:

Retirement <u>Age</u>	Rate of <u>Retirement</u>
50-56	35.0%
57-62	25.0

Washington Meat employees who are not eligible for Rule of 85 Pension:

Retirement <u>Age</u>	Rate of <u>Retirement</u>
55-60	8.0%
61-64	15.0
65 and older	100.0

NOTE 5. ACTUARIAL INFORMATION (CONT'D)

Inactive members who were Washington Meat employees with vested rights are assumed to retire at age 60.

Expenses: Administrative expenses are estimated for each year based on the expense level in the prior year. For 2019 and 2018, expenses were assumed to be \$7,000,000 and \$6,119,000, payable at the beginning of the year.

Investment expenses are assumed to be covered by the rate of return on investments.

As of October 1, 2018, it was assumed that 94% of the active liability of Retail Clerks and Retail Drug and of Washington Meat participants were covered under the Preferred Schedule of the September 12, 2018, Rehabilitation Plan. The remaining 6% of active liability reflects the Previous Preferred Schedule of the Rehabilitation Plan (June 22, 2016). As of October 1, 2019, the portion of active participants covered under bargaining agreements that have adopted the Preferred Schedule of the June 22, 2016, Rehabilitation Plan increased from 94% to 100%. The effect of this change was a decrease in liabilities of the Plan of approximately \$4.8 million.

Plan Amendments - Adoption of the Amended Rehabilitation Plan: Effective October 1, 2016, 750 hours is required to earn a year of credited service, and a break in service occurs if a participant completes no more than 500 hours in a Plan year. Participants not earning one year of credited service no longer have early retirement as an option unless the participant earns one year of credited service in a subsequent year, in which case the early retirement benefit is unsubsidized. Terminated vested participants no longer have early retirement as an option unless the participant earns sufficient additional credited service in a subsequent year.

For benefits that commence on the later of January 1, 2017, and the date a participant's bargaining unit adopts the 2016 Rehabilitation Plan, the post-retirement COLA (Cost of Living Adjustment) for pre-August 31, 2003, benefit accruals and unreduced early retirement benefits at age 55 and 30 years of service are eliminated. Actuarial reductions are also increased for all participants becoming disabled on or after the later of January 1, 2017, and the date a participant's bargaining unit adopts the 2016 Rehabilitation Plan.

Effective September 23, 2019, the Rehabilitation Plan was updated to implement benefit reductions for any new terminated vested participants as of October 1, 2019, whose bargaining parties have not yet adopted the preferred schedules. In addition, an updated Rehabilitation Plan was adopted December 5, 2020, to reflect increased contributions under the 2019 bargaining agreement for the major employers.

NOTE 5. ACTUARIAL INFORMATION (CONT'D)

The above actuarial assumptions are based on the presumption that the Plan will continue. Pension benefits in excess of the present assets of the Plan are dependent upon contributions received under collective bargaining agreements with employers and on income from investments.

Because information on the accumulated Plan benefits at September 30, 2020, and the changes therein for the year then ended are not included above, these financial statements do not purport to present a complete presentation of the financial status of the Plan as of September 30, 2020, and the changes in its financial status for the year then ended, but only present the net assets available for benefits and the changes therein as of and for the year ended September 30, 2020. The complete financial status is presented as of September 30, 2019.

NOTE 6. PENSION PROTECTION ACT OF 2006

As of October 1, 2019 and 2018, the Plan was certified by its actuaries to be in critical status within the meaning of the Pension Protection Act of 2006 (the PPA). Under the PPA, if a pension plan enters critical status, the Trustees of the Plan are required to adopt a rehabilitation plan and establish steps and benchmarks to improve the Plan's funding status. On December 16, 2010, the Trustees adopted a rehabilitation plan (which consists of a preferred schedule and a default schedule) and have since made updates to the rehabilitation plan designed to emerge from critical status by October 1, 2023. The rehabilitation plan requires specific contribution rate increases while not increasing current benefit formulas and benefit reductions allowed by the PPA, including: the elimination of subsidized early retirement benefits; post-retirement cost-of-living adjustments that were payable on pre-August 2003 accruals will not be provided; certain pre-retirement death benefits; and the elimination of the five-year certain guarantee form of benefit for single participants.

The version of the rehabilitation plan updated in June 2016 reflected the merger of the Washington Meat Industry Pension Trust (the Washington Meat plan) into the Plan, effective June 30, 2014, and the transfer of liabilities and assets related to certain current and former participants of the Washington Meat plan to the UFCW Consolidated Pension Plan, effective July 1, 2014. Effective September 23, 2019, the Rehabilitation Plan was updated to implement benefit reductions for any new terminated vested participants as of October 1, 2019, whose bargaining parties have not yet adopted the preferred schedules. In addition, an updated Rehabilitation Plan was adopted December 5, 2020, to reflect increased contributions under the 2019 bargaining agreement for the major employers.

NOTE 7. FUNDING POLICY

The funding policy of the Plan is to maintain a balance such that Plan resources will fund Plan obligations. Plan resources include accumulated Plan assets plus expected future contributions and investment income. Plan obligations include benefit payments to current and future retirees and beneficiaries and expected expenses from Plan assets.

The bargaining agreement presently calls for contributions by participating employers for covered employees. Contributions received by the Plan are deposited in a trust account from which they are invested on behalf of the Plan. Effective October 30, 2019, employer contributions of approximately \$100 million were required to be contributed to the Plan under the 2019 bargaining agreement for the major employers. Total redirected contributions were to total approximately \$100 million. For the year ended September 30, 2020, these redirected contributions were approximately \$100,276,000. Any benefits provided by the Plan are paid directly from net assets available for Plan benefits.

The Plan's actuaries have advised that the minimum funding requirements of ERISA are being met as of October 1, 2020 and 2019.

NOTE 8. INVESTMENTS AT FAIR VALUE HIERARCHY

Assets and liabilities measured at fair value on a recurring basis, based on their fair value hierarchy at September 30, 2020 and 2019, are as follows:

Description	September 30, 2020	Fair Value Measurements at Reporting Date Using:	
		Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)
Investments in the fair value hierarchy			
Cash and cash equivalents	\$ 236,357,725	\$ -	\$ 236,357,725
U.S. Government and Government Agency obligations	332,933,411	328,350,088	4,583,323
Corporate debt securities	308,663,326	-	308,663,326
Foreign government obligations	123,775,433	-	123,775,433
Mutual funds	183,094,967	43,923,882	139,171,085
Total investments in the fair value hierarchy	<u>1,184,824,862</u>	<u>\$ 372,273,970</u>	<u>\$ 812,550,892</u>
Investments measured at net asset value			
Common/collective trusts	159,227,740		
Limited partnerships and other privately held investments	949,846,584		
103-12 investment entities	194,392,950		
Real estate investment trust	33,622,981		
Total investments measured at net asset value	<u>1,337,090,255</u>		
Total investments at fair value	<u>\$ 2,521,915,117</u>		
Derivative assets in the fair value hierarchy			
Forward foreign currency contracts	\$ 26,750	\$ -	\$ 26,750
Swaps receivable from counterparties	867,835	-	867,835
Total derivative assets in the fair value hierarchy	<u>\$ 894,585</u>	<u>\$ -</u>	<u>\$ 894,585</u>
Derivative liabilities in the fair value hierarchy			
Options written	\$ (62,012)	\$ -	\$ (62,012)
Forward foreign currency contracts	(15,821,237)	-	(15,821,237)
Swaps payable to counterparties	(690,008)	-	(690,008)
Total derivative liabilities in the fair value hierarchy	<u>\$ (16,573,257)</u>	<u>\$ -</u>	<u>\$ (16,573,257)</u>

NOTE 8. INVESTMENTS AT FAIR VALUE HIERARCHY (CONT'D)

Description	September 30, 2019	Fair Value Measurements at Reporting Date Using:	
		Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)
Investments in the fair value hierarchy			
Cash and cash equivalents	\$ 72,314,903	\$ -	\$ 72,314,903
U.S. Government and Government Agency obligations	323,925,813	317,175,585	6,750,228
Corporate debt securities	403,189,800	-	403,189,800
Foreign government obligations	12,960,891	-	12,960,891
Mutual funds	144,982,744	17,380,384	127,602,360
Total investments in the fair value hierarchy	<u>957,374,151</u>	<u>\$ 334,555,969</u>	<u>\$ 622,818,182</u>
Investments measured at net asset value			
Common/collective trusts	216,574,153		
Limited partnerships and other privately held investments	887,553,510		
103-12 investment entities	253,744,689		
Real estate investment trust	52,435,169		
Total investments measured at net asset value	<u>1,410,307,521</u>		
Total investments at fair value	<u>\$ 2,367,681,672</u>		
Derivative assets in the fair value hierarchy			
Forward foreign currency contracts	\$ 46,424,105	\$ -	\$ 46,424,105
Swaps receivable from counterparties	455,597,228	-	455,597,228
Total derivative assets in the fair value hierarchy	<u>\$ 502,021,333</u>	<u>\$ -</u>	<u>\$ 502,021,333</u>
Derivative liabilities in the fair value hierarchy			
Options written	\$ (364,257)	\$ -	\$ (364,257)
Forward foreign currency contracts	(46,424,105)	-	(46,424,105)
Swaps payable to counterparties	(450,621,357)	-	(450,621,357)
Total derivative liabilities in the fair value hierarchy	<u>\$ (497,409,719)</u>	<u>\$ -</u>	<u>\$ (497,409,719)</u>

NOTE 9. FINANCIAL INSTRUMENTS

As part of the total investment strategy, and to meet the primary objectives established by the Trustees, several of the Plan's investment managers utilize derivative financial instruments.

Risks associated with derivatives vary widely, but generally may be categorized as market risk and credit risk. Market risk is defined as that risk associated with fluctuations in market prices. Credit risk is defined as that risk associated with an entity not paying.

Some of the Plan's investment managers enter into options, futures, swaps and forward-currency-exchange contracts. An option is a contract that grants the right, but not the obligation, to exercise a specific purchase or sales transaction at a stated exercise price.

NOTE 9. FINANCIAL INSTRUMENTS (CONT'D)

A futures contract is a standardized agreement between two parties to buy and sell an asset at a set price on a future date. The Plan enters into financial futures contracts for the purpose of hedging its existing portfolio securities, or securities the Plan intends to purchase, against fluctuations in fair value caused by changes in prevailing interest rates or as substitutes for cash securities permitted under the relative account guidelines. Upon entering into a financial futures contract, the Plan is required to pledge to the broker an amount in cash, United States government securities or other assets equal to a certain percentage of the contract amounts (initial margin deposit).

Subsequent payments, known as "variation margin," are made or received by the Plan each day, depending on the daily fluctuations in the fair value of the underlying security. The Plan recognizes an unrealized gain or loss equal to the daily variation margin. Should market conditions move unexpectedly, the Plan may not achieve the anticipated benefits of the financial futures contracts and may realize a loss. When the contract is closed, the Plan recognizes a realized gain or loss equal to the difference between the value of the contract at the time it was opened and the value at the time it was closed. The use of futures transactions involves the risk of imperfect correlation in movements in the price of futures contracts, interest rates, and the underlying hedged assets.

Credit default swaps (CDS) entered into by the Plan typically represent the exchange by a counterparty with the Plan of a commitment to provide credit protection for a commitment to receive interest at a fixed rate based on the potential risk of default of the relevant underlying issuer. Receiving credit protection from a counterparty tends to decrease the Plan's exposure to the underlying instrument held by the Plan. Such contracts may have a term of one to seven years, but typically require periodic interim settlement in cash. CDS are marked to market daily based on prices obtained from independent broker/dealers, and changes in value, as well as the accrual of the periodic coupon income, are recorded as unrealized appreciation or depreciation. Gains and losses on CDS agreements are realized upon termination of the swap contract and the periodic payments.

The Plan has entered into interest rate swap (IRS) agreements. Through such transactions, the parties agree to pay, on specific dates, either a fixed or floating rate of interest on the contract amount. Risks in these transactions involve both the risk of counterparty non-performance under the terms of the contract and the risk associated with changes in the market value of the swaps.

The Plan entered into certain total return swap (TRS) agreements. In a TRS, the counterparties swap an asset or pool of assets in exchange for periodic cash flows. The TRS is structured such that the total return of cash flows plus capital appreciation (depreciation) is exchanged.

NOTE 9. FINANCIAL INSTRUMENTS (CONT'D)

The Plan has also entered into foreign-currency-forward contracts to protect its investments in foreign securities from price declines caused by changes in currency exchange rates. A foreign-currency-forward contract is a commitment to purchase or sell a foreign currency at a future date at a negotiated forward rate. The gain or loss arising from the difference between the value of the original contracts and the value upon closing such contracts is included in net realized gain or loss on foreign currency transactions. Fluctuations in the value of open foreign-currency-forward contracts are recorded as unrealized gains and losses.

At September 30, 2020 and 2019, total open contracts and unrealized gain (loss) by category were as follows:

			September 30, 2020		
	No. of Contracts	Expiration Date	Value upon Entering Contract	Value at Year-End	Unrealized Gain (Loss)
<u>Futures</u>					
Euro-Bund EUX 10-year	88	Dec - 20	\$ 18,282,185	\$ 18,009,285	\$ (272,900)
Euro-Bobl EUX 5-year	52	Dec - 20	8,397,657	8,242,373	(155,284)
MSCI EAFE	186	Dec - 20	17,653,875	17,234,760	(419,115)
10-year U.S. Treasury Notes	(350)	Dec - 20	(48,707,449)	(48,835,938)	(128,489)
2-year U.S. Treasury Notes	(530)	Dec - 20	(58,529,805)	(58,554,648)	(24,843)
S&P 500 E Mini	121	Dec - 20	20,147,939	20,279,600	131,661
MSCI Emg Mkt	146	Dec - 20	7,968,955	7,946,050	(22,905)
Russell 2000 E-Mini	67	Dec - 20	4,989,334	5,039,740	50,406
30-year U.S. Treasury Notes	20	Dec - 20	3,529,976	3,525,625	(4,351)
Euro-EUX BTP	(31)	Dec - 20	(5,360,289)	(5,364,853)	(4,564)
5-year U.S. Treasury Notes	302	Dec - 20	38,009,533	38,061,438	51,905
Euro-Buxl EUX 30-year	(17)	Dec - 20	(4,406,548)	(4,439,139)	(32,591)
Euro-Schatz 2-year	(571)	Dec - 20	(76,679,735)	(75,187,524)	1,492,211
30-year US Ultra Bond	31	Dec - 20	6,926,078	6,876,188	(49,890)
10-year US Ultra Bond	(120)	Dec - 20	(19,217,328)	(19,190,625)	26,703
Total futures			<u>\$ (86,995,622)</u>	<u>\$ (86,357,668)</u>	<u>\$ 637,954</u>
<u>Options</u>					
Interest rate swaps - US	(18,800,000)	Various thru Mar - 21	(19,838)	(22,955)	(3,117)
Interest rate swaps - Euro	(13,800,000)	Various thru Mar - 21	(42,174)	(23,767)	18,407
Total options			<u>\$ (62,012)</u>	<u>\$ (46,722)</u>	<u>\$ 15,290</u>
<u>Swaps</u>					
Interest rate swaps - receive	(3,950,000)		29,197	889,356	860,159
Interest rate swaps - pay	(21,250,000)		217,429	(472,579)	(690,008)
Credit default swaps - receive	(200,000)		(7,808)	(132)	7,676
Total swaps			<u>\$ 238,818</u>	<u>\$ 416,645</u>	<u>\$ 177,827</u>
<u>Forward-Currency Contracts</u>					
FX contracts - Long	4		\$ 26,750	\$ 26,424	(326)
FX contracts - Short	(12)		(15,821,237)	(15,825,792)	(4,555)
Total forward-currency contracts			<u>\$ (15,794,487)</u>	<u>\$ (15,799,368)</u>	<u>\$ (4,881)</u>

NOTE 9. FINANCIAL INSTRUMENTS (CONT'D)

			September 30, 2019		
	No. of Contracts	Expiration Date	Value upon Entering Contract	Value at Year-End	Unrealized Gain (Loss)
<u>Futures</u>					
Euro-Bund EUX 10-year	92	Dec - 19	\$ 17,986,929	\$ 17,476,994	\$ (509,935)
Euro-Bobl EUX 5-year	1	Dec - 19	150,090	147,886	(2,204)
MSCI EAFE	267	Dec - 19	25,478,787	25,343,640	(135,147)
10-year U.S. Treasury Notes	347	Dec - 19	45,667,458	45,218,438	(449,020)
S&P 500 E Mini	189	Dec - 19	28,385,093	28,146,825	(238,268)
MSCI Emg Mkt	188	Dec - 19	9,583,478	9,417,860	(165,618)
Russell 2000 E-Mini	93	Dec - 19	7,269,091	7,091,250	(177,841)
Euro Short BTP	136	Dec - 19	16,901,074	16,708,229	(192,845)
5-year U.S. Treasury Notes	(54)	Dec - 19	(6,468,609)	(6,434,016)	34,593
Euro-Buxl EUX 30-year	(3)	Dec - 19	(734,778)	(711,355)	23,423
Euro Oat EUX 10-year	(93)	Dec - 19	(17,719,128)	(17,267,490)	451,638
Euro-Schatz 2-year	(612)	Dec - 19	(76,171,865)	(74,946,836)	1,225,029
Pound Sterling - Gilt	(73)	Dec - 19	(11,961,312)	(12,075,944)	(114,632)
US Ultra Bond	(70)	Dec - 19	(13,906,616)	(13,433,438)	473,178
Total futures			<u>\$ 24,459,692</u>	<u>\$ 24,682,043</u>	<u>\$ 222,351</u>
<u>Options</u>					
Interest rate swaps - purchased calls	84,300,000	Mar - 20	\$ 119,813	\$ 119,785	\$ (28)
Interest rate swaps - written calls	(17,460,031)	Various thru Mar - 20	(146,472)	(164,885)	(18,413)
Interest rate swaps - written puts	(3,700,031)	Various thru Nov - 19	(32,968)	(16,997)	15,971
Euro - written calls	(2,900,000)	Various thru Jan - 20	(47,217)	(62,932)	(15,715)
Euro - written puts	(800,000)	Jan - 20	(1,050)	(784)	266
Total options			<u>\$ (107,894)</u>	<u>\$ (125,813)</u>	<u>\$ (17,919)</u>
<u>Swaps</u>					
Total return swap - receive	35,802		\$ 325,496,464	\$ 332,484,478	\$ 6,988,014
Total return swap - pay	(325,504,500)		(325,504,500)	(325,504,500)	-
Interest rate swaps - receive	87,825,000		92,659,977	91,311,950	(1,348,027)
Interest rate swaps - pay	(87,825,000)		(91,746,492)	(92,641,597)	(895,105)
Credit default swaps - receive	31,800,000		31,113,777	31,800,820	687,043
Credit default swaps - pay	(31,800,000)		(31,800,000)	(32,475,260)	(675,260)
Total swaps			<u>\$ 219,226</u>	<u>\$ 4,975,891</u>	<u>\$ 4,756,665</u>
<u>Forward-Currency Contracts</u>					
FX contracts - Long	4		\$ 16,124,699	\$ 16,098,216	\$ (26,483)
FX contracts - Short	(4)		(16,124,699)	(16,098,216)	26,483
FX contracts - Long	9		30,292,976	30,325,889	32,913
FX contracts - Short	(9)		(30,292,976)	(30,325,889)	(32,913)
Total forward-currency contracts			<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

At September 30, 2020 and 2019, the Plan pledged U.S. Treasury bills and other government agency securities with a market value of approximately \$12,799,000 and \$4,929,000, respectively, to cover initial margin requirements on open futures contracts.

NOTE 10. WITHDRAWAL LIABILITY

The Plan complies with the provisions of the Multiemployer Pension Plan Amendment Act of 1980 (MPPAA), which requires imposition of withdrawal liability on a contributing employer that partially or totally withdraws from the Plan. Under the provisions of MPPAA, a portion of the Plan's unfunded vested liability would be allocated to a withdrawing employer. The Plan's actuaries have advised the Plan that, as of September 30, 2020 and 2019, the Plan's unfunded present value of vested benefits for withdrawal liability purposes totaled approximately \$2,234,327,000 and \$2,161,360,000, respectively.

For the years ended September 30, 2020 and 2019, employers who have withdrawn from the Plan have been assessed a withdrawal liability totaling approximately \$6,028,000 and \$6,058,000, respectively. Due to the financial condition of some withdrawing employers, it is likely that some of the withdrawn employers assessed withdrawal liability will file for bankruptcy, become insolvent, or otherwise default on their withdrawal liability payment obligations. Generally accepted accounting principles state that the amounts assessed against employers withdrawing from the Plan may be recorded as a receivable, net of an allowance for uncollectible amounts. Because estimating uncollectibility of withdrawal liability is based on a number of different factors, the withdrawal liability that will actually be received may vary significantly. In accordance with the Plan's accounting policy, the Plan has recorded a receivable totaling \$7,164,990 and \$8,223,278, as of September 30, 2020 and 2019, respectively. During the years ended September 30, 2020 and 2019, the Plan recorded \$2,497,921 and \$450,368, respectively, in withdrawal liability revenue.

NOTE 11. MAJOR EMPLOYERS

During the years ended September 30, 2020 and 2019, respectively, approximately 68% and 73% of contributions was received from three employers.

NOTE 12. RELATED PARTIES

The Plan is related through common trustees and shares expenses with the Sound Health and Wellness Trust and the Sound Health and Wellness Retiree Trust.

The third-party administrator of the Plan is also a contributing employer. Contributions by the third-party administrator totaled \$1,527,197 and \$571,507, respectively, for the years ended September 30, 2020 and 2019.

NOTE 13. INVESTMENTS IN CERTAIN ENTITIES THAT CALCULATE NET ASSET VALUE

The following is a summary of the Plan's commitments and investments in certain entities that calculate net asset value, as of September 30, 2020 and 2019.

Investment Type	Fair Value at September 30,			Redemption Frequency (if currently eligible)	Redemption Notice Period	Remaining Commitment September 30,	
	2020	2019				2020	2019
Common/collective trusts							
Index	\$ 93,592,134	\$ 139,030,447	{a}	Daily	1–2 days	\$ -	\$ -
Commodities	65,635,606	77,543,706	{a}	Daily	1–3 days	-	-
Total common/collective trusts	159,227,740	216,574,153					
Limited partnerships							
Private equity	304,351,467	290,497,375	{b}	Not redeemable	Not redeemable	105,356,000	138,790,000
Private equity	169,075,035	153,928,041	{c}	Monthly	10 days	-	-
Infrastructure	42,284,310	41,444,967	{d}	Quarterly	90 days	-	-
Infrastructure	39,481,208	57,147,409	{d}	Semi-annually	90 days	-	-
Private credit	211,180,335	187,197,072	{e}	Not redeemable	Not redeemable	149,785,000	164,707,000
Real estate	51,741,792	51,135,927	{f}	Upon cash availability	Up to 27 months	-	-
Real estate	50,527,695	43,635,006	{g}	Not redeemable	Not redeemable	26,124,000	9,609,000
Total limited partnerships	868,641,842	824,985,797					
Other privately held investments							
Multi-asset	70,379,788	51,837,542	{h}	Monthly	15 days	-	-
Real estate	10,824,954	10,730,171	{i}	Quarterly	90 days	-	-
Total other privately held investments	81,204,742	62,567,713					
103-12 investment entities							
Multi-asset	194,392,950	253,744,689	{a}	Semi-Monthly–Monthly	5 days	-	-
Real estate investment trust	33,622,981	52,435,169	{j}	Quarterly	45 days	-	-
	\$ 1,337,090,255	\$ 1,410,307,521					

{a} This investment is a direct filing entity with the Department of Labor; therefore, information regarding the investment's strategy is not disclosed.

{b} This class of investments consists of limited partnerships that are considered private equity investments. The managers in this class utilize various investment strategies in order to achieve superior returns and capital appreciation. Investments may be made directly by the limited partnership or indirectly through a fund-of-funds investment structure. Strategies utilized by these managers include privately negotiated instruments, investments in middle-market business, investments in communications and energy-related companies, companies that manufacture goods or provide services, natural resources, venture capital opportunities, and domestic and international equity and equity-related investments. The limited partnerships in this category have terms that range from July 2019 through April 2029, with possible extensions based on the limited partnership agreements.

NOTE 13. INVESTMENTS IN CERTAIN ENTITIES THAT CALCULATE NET ASSET VALUE
(CONT'D)

- {c} This class of investments consists of one limited partnership for which the investment objective is to invest in high-quality international securities with a high Return of Capital (ROC) and a low debt-to-equity ratio.
- {d} This class of investments consists of two limited partnerships that invest in domestic and international infrastructure-related projects. One of the partnerships maintains a diversified portfolio of global infrastructure investments in the target sub-sectors, such as energy, transportation, water, communication and social infrastructure, with varied maturities such that the fund will achieve returns of 10% per annum over rolling three-year periods. The other partnership invests in a broad range of infrastructure and infrastructure-related assets located primarily in member countries of the Organization for Economic Co-operation and Development (OECD) with a primary focus on the United States, Canada, Western Europe and Australia. These assets may include, without limitation: (a) toll roads, bridges and tunnels, (b) oil and gas pipelines, (c) electricity transmission and facilities, (d) contracted power-generation assets, (e) communication assets, (f) water distribution and waste water collection and processing assets, (g) railway lines and rapid rail links, (h) seaports, and (i) airports.
- {e} This class of investments consists of limited partnerships that invest in various types of credit securities directly or indirectly through other investment vehicles. The strategies used by the limited partnerships include investments in distressed debt, bank loans, bonds and notes, securities related to companies experiencing financial stress and other collateralized obligations. The limited partnerships in this category have terms that range from December 2019 through December 2029, with possible extensions based on the limited partnership agreements.
- {f} This class of investments consists of a limited partnership that seeks to generate current income and long-term capital appreciation through the ownership and management of a geographically diversified portfolio of multi-family rental properties.
- {g} This class of investments consists of limited partnerships, organized for the purpose of investing in a diversified portfolio of income-producing properties, principally office, industrial, multifamily retail properties and grocery-anchored shopping centers. The limited partnerships in this category have terms that range from August 2021 through December 2026, with possible extensions based on the limited partnership agreements.
- {h} This class of investments consists of a limited partnership for which the objective is to seek to efficiently deliver exposure to a broadly diversified set of global risk premia covering equities, government bonds, commodities and credit while incorporating an active tactical overlay. This includes exposures to global developed and emerging stocks, developed government bonds, high-

NOTE 13. INVESTMENTS IN CERTAIN ENTITIES THAT CALCULATE NET ASSET VALUE
(CONT'D)

yield and investment-grade credit spreads, mortgage spreads and commodities, among other exposures.

- {i} This class of investments consists of a single limited liability company organized as an open-end commingled real estate investment fund and is intended to have an indefinite term. The objective is to make investments in real estate assets; owning, managing, supervising and disposing of such investments through its subsidiaries.
- {j} This investment class consists of a single real estate investment trust that invests in a portfolio of low-risk equity investments in income-producing real estate to generate attractive, predictable investment returns, while maximizing the total return to shareholders through cash dividends and appreciation in the value of the REIT shares.

NOTE 14. SUBSEQUENT EVENTS

Subsequent events were evaluated through the date on which the financial statements were available to be issued. This date is approximately the same as the independent auditors' report date.

ADDITIONAL INFORMATION



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INDEPENDENT AUDITORS' REPORT ON ADDITIONAL INFORMATION

To the Participants and Trustees of
Sound Retirement Trust

We have audited the financial statements of Sound Retirement Trust (the Plan) as of and for the years ended September 30, 2020 and 2019, and have issued our report thereon dated July 14, 2021, which contained an unmodified opinion on those financial statements. Our audits were performed for the purpose of forming an opinion on the financial statements taken as a whole. The accompanying information, which appears on page 26, Schedule G - Transaction Schedules (IRS Form 5500), and Schedule H - Financial Schedules (IRS Form 5500), is presented for the purpose of additional analysis and is not a required part of the basic financial statements. Schedule G - Transaction Schedules (IRS Form 5500) and Schedule H - Financial Schedules (IRS Form 5500) represent supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Such information is the responsibility of management and was derived from, and relates directly to, the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated, in all material respects, in relation to the financial statements taken as a whole.

Lindquist LLP

July 14, 2021

SOUND RETIREMENT TRUST

SCHEDULES OF ADMINISTRATIVE EXPENSES YEARS ENDED SEPTEMBER 30, 2020 AND 2019

	<u>2020</u>	<u>2019</u>
Administration fees	\$ 1,940,825	\$ 1,913,672
Actuarial and consulting fees	1,253,469	772,728
Audit fee	71,944	92,004
Bank fees	26,685	43,031
Insurance	283,887	278,197
Legal and collection fees	469,609	455,049
Office, printing and postage	413,816	265,597
Payroll examination fees	62,204	104,873
PBGC insurance	2,923,867	2,793,246
Trustee and meeting expenses	19,833	37,433
Total administrative expenses	<u>\$ 7,466,139</u>	<u>\$ 6,755,830</u>

Sound Retirement Trust
 EIN: 91-6069306
 FYE: September 30, 2020

Plan Number: 001

Schedule of Assets Held for Investment Purposes, Form 5500, Schedule H, Line 4i

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity date	(d) Cost	(e) Current value
	First AM Govt Ob Fd CL Z	Cash Equivalents	236,357,725	236,357,725
	See Attached	US Government Securities	312,328,289	332,933,411
	See Attached	Corporate Bonds	308,757,834	308,663,326
	See Attached	Foreign Bonds	124,509,897	123,775,433
	See Attached	Preferred Stock	7,456,984	-
	D&C International Stock Index Fund	Mutual Fund	165,363,204	139,190,564
	PIMCO Short Term Fund	Mutual Fund	12,819,306	12,819,306
	PIMCO Mortgage Portfolio	Mutual Fund	17,118,112	17,640,563
	PIMCO Asset Backed	Mutual Fund	13,029,524	13,444,534
	All Weather Portfolio Limited	103-12 Investment Entity	49,006,251	92,246,998
	Panagora Group Trust	103-12 Investment Entity	63,598,448	102,145,952
	State Street Russell 2000(R) Index SL Fund	Common/commingled fund	64,306,297	93,592,134
	Invesco Balanced-Risk Commodity CI C	Common/commingled fund	69,200,701	65,635,606
	AQR Global Risk Premium Tactical Offshore Fund II, Ltd.	Other Private Equity	63,789,192	70,379,788
	RREEF America REIT II	Real Estate Investment Trust	25,028,372	33,622,981
	AG Europe Realty Fund II, LP	Limited Partnership	7,575,993	9,260,211
	AG Europe Realty Fund III, LP	Limited Partnership	2,497,062	2,578,670
	American Securities Partners V LP	Limited Partnership	575,444	38,142
	American Securities Partners VI LP	Limited Partnership	722,548	2,862,682
	American Securities Partners VII LP	Limited Partnership	11,984,456	12,127,634
	AnaCap Credit Opportunities III, LP	Limited Partnership	4,454,964	6,352,787
	AnaCap Credit Opportunities IV, LP	Limited Partnership	570,491	528,931
	Apollo European Principal Finance Fund III, LP	Limited Partnership	14,391,176	14,270,216
	Apollo Hybrid Value Fund, LP	Limited Partnership	2,839,692	3,039,113
	Apollo Investment Fund IX, LP	Limited Partnership	2,147,342	2,410,665
	Apollo Natural Resource Partners LP	Limited Partnership	3,167,754	808,653
	Ares Special Opportunities Fund, LP	Limited Partnership	2,245,162	2,409,435
	Ascribe Opportunities Fund III, LP	Limited Partnership	4,040,833	1,843,281
	Ascribe Opportunities Fund IV, LP	Limited Partnership	28,191	40,307
	Aurora Equity Partners V, LP	Limited Partnership	4,221,167	6,954,420
	Avenue Energy Opportunities Fund II, LP	Limited Partnership	12,446,223	12,565,233
	Balance Point Capital Partners III, LP	Limited Partnership	9,205,988	9,049,568
	BCP Energy Services Fund-A, LP	Limited Partnership	1,820,992	6,128,942
	BCP Special Opportunities Fund II, LP	Limited Partnership	972,636	972,636
	BDC III A LP	Limited Partnership	2,763,635	5,803,282
	Benefit Street Partners Debt Fund IV (Non-US) LP	Limited Partnership	13,327,704	12,963,774
	Blackstone Capital Partners VI LP	Limited Partnership	2,100,155	3,261,005
	Blackstone Capital Partners VII LP	Limited Partnership	7,290,196	10,744,342
	Blackstone Energy Partners II LP	Limited Partnership	8,603,774	7,298,960
	Blackstone Energy Partners LP	Limited Partnership	4,027,281	2,454,268
	Blackstone Tactical Opportunities Fund, LP	Limited Partnership	1,662,712	1,813,511
	Capitala Private Credit V, LP	Limited Partnership	2,951,814	3,091,089
	CapitalSouth Partners Florida Sidecar Fund II, LP	Limited Partnership	2,853,379	2,607,381
	Castlelake Aviation III Stable Yield, LP	Limited Partnership	17,768,849	14,776,180
	Castlelake Aviation IV Stable Yield, LP	Limited Partnership	385,881	442,411
	Castlelake Income Opportunities, LP	Limited Partnership	1,858,693	2,071,746
	Castlelake V, LP	Limited Partnership	16,075,266	18,328,517
	Centre Lane Credit Partners II, LP	Limited Partnership	17,396,121	18,379,500
	Comvest Credit Partners V International, LP	Limited Partnership	338,800	336,027
	CVC Capital Partners VI, LP	Limited Partnership	3,294,384	4,434,763
	CVC Capital Partners VII, LP	Limited Partnership	4,625,287	5,844,394
	EnCap Flatrock Midstream Fund III-C, LP	Limited Partnership	4,107,042	3,870,416
	Energy Capital Partners III-B, LP	Limited Partnership	6,680,292	8,787,374
	Gilde Buy-Out Fund IV LP	Limited Partnership	(1,052,171)	1,120,635
	Gores Capital Partners III LP	Limited Partnership	924,077	323,390
	GSO Capital Opportunities Feeder Fund III LP	Limited Partnership	10,962,451	10,733,965
	Hark Capital II, LP	Limited Partnership	10,056,059	9,911,468

Sound Retirement Trust
 EIN: 91-6069306
 FYE: September 30, 2020

Plan Number: 001

Schedule of Assets Held for Investment Purposes, Form 5500, Schedule H, Line 4i

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity date	(d) Cost	(e) Current value
	H.I.G. Capital Partners V LP	Limited Partnership	3,907,331	8,410,659
	H.I.G. Middle Market LBO Fund II, LP	Limited Partnership	2,222,310	4,242,670
	Hamilton Lane Secondary Fund II LP	Limited Partnership	516,492	1,147,126
	Hamilton Lane Secondary Fund III-A LP	Limited Partnership	7,047,123	10,256,874
	Hamilton Lane Secondary Feeder Fund IV-A, LP	Limited Partnership	9,659,121	14,108,983
	Hamilton Lane Co-investment Feeder Fund IV, LP	Limited Partnership	18,066,032	22,936,267
	Hamilton Lane Venture Capital Offshore Fund LP (Series 2010)	Limited Partnership	2,937,450	4,909,602
	Hamilton Lane Venture Capital Offshore Fund LP (Series 2011)	Limited Partnership	4,734,520	8,857,566
	Hamilton Lane Venture Capital Offshore Fund LP (Series 2012)	Limited Partnership	3,683,378	6,334,913
	Hamilton Lane Venture Capital Offshore Fund LP (Series 2013)	Limited Partnership	3,945,298	8,948,425
	Hamilton Lane Venture Capital Offshore Fund LP (Series 2014)	Limited Partnership	4,979,073	9,337,555
	Hamilton Lane Venture Capital Offshore Fund LP (Series 2016)	Limited Partnership	5,956,421	9,138,868
	Hamilton Lane Venture Capital Offshore Fund LP (Series 2017)	Limited Partnership	3,344,474	5,035,520
	ICG North American Private Debt Fund II, LP	Limited Partnership	1,314,613	1,333,648
	IFM Global Infrastructure (US) Fund LP	Limited Partnership	37,116,634	42,284,310
	JP Morgan Infrastructure Investment Fund LP	Limited Partnership	41,534,907	39,481,208
	Kelso Investment Associates IX, LP	Limited Partnership	6,183,195	7,588,550
	KPS Special Situations Fund III (Supplemental) LP	Limited Partnership	(1,567,016)	42,479
	KPS Special Situations Fund IV, LP	Limited Partnership	13,758,395	13,445,872
	Linden Capital Partners II-A LP	Limited Partnership	998,070	3,300,225
	Linden Capital Partners III-A LP	Limited Partnership	3,015,064	7,260,199
	Mesirow Financial Real Estate Value Fund III	Limited Partnership	4,280,041	4,396,260
	MidOcean Partners V, LP	Limited Partnership	2,772,821	3,816,861
	Oaktree Special Situations Fund II, LP	Limited Partnership	974,693	1,207,042
	Offshore Mezzanine Partners III Institutional, LP	Limited Partnership	17,569,015	17,299,052
	Offshore Mezzanine Partners 2019, LP	Limited Partnership	1,715,946	1,779,690
	OHA Strategic Credit Fund II (Offshore) LP	Limited Partnership	12,742,022	12,742,023
	Owl Rock Capital Corp., LP	Limited Partnership	11,841,457	9,554,390
	PCCP Credit IX, LP	Limited Partnership	4,109,535	4,019,856
	Pegasus Partners V LP	Limited Partnership	1,346,356	4,177,967
	Platinum Equity Capital Partners III, LP	Limited Partnership	4,004,265	3,809,599
	Platinum Equity Capital Partners IV, LP	Limited Partnership	7,521,602	10,042,535
	Providence Equity VII LP	Limited Partnership	3,521,837	5,848,759
	Realty Associates Fund XI UTP LP	Limited Partnership	17,435,206	21,134,240
	Roark Capital Partners II Sidecar, LP	Limited Partnership	1,399,523	2,061,806
	Roark Capital Partners III LP	Limited Partnership	2,624,226	7,138,779
	Roark Capital Partners IV LP	Limited Partnership	7,025,736	8,113,618
	Roark Capital Partners V LP	Limited Partnership	2,206,219	3,094,762
	Secondary Investment SPV-6, LP	Limited Partnership	983,557	438,686
	Selene Residential Mortgage Opportunity Fund II LP	Limited Partnership	3,445,041	4,698,412
	Sentinel Real Estate Fund, LP	Limited Partnership	48,355,378	51,741,792
	Sterling United Properties I LP	Limited Partnership	9,712,857	9,138,458
	Thomas H. Lee Parallel Fund VII, LP	Limited Partnership	3,589,091	8,885,515
	TPG Growth II LP	Limited Partnership	1,171,527	1,526,482
	TPG Growth III LP	Limited Partnership	4,992,811	6,392,033
	Turnbridge Capital Partners I, LP	Limited Partnership	5,868,650	4,195,102
	US Real Estate Investment Fund, LP	Limited Partnership	10,094,499	10,824,954
	WCM Focused International Growth Fund, LP	Limited Partnership	85,603,643	169,075,035
	White Oak Pinnacle Fund, LP	Limited Partnership	19,682,911	15,600,316
	WP Global COREalpha Private Equity Partners II LP	Limited Partnership	3,177,879	4,671,329
			2,230,701,160	2,521,915,117

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US Government Issues

F H L M C Gd G30341 6.000% 8/01/26 Standard & Poors Rating: N/A Moody's Rating: N/A 3128CULW4 Asset Minor Code 24 ACCOUNT 19-520722	30,107.680	33,576.69 111.5220	30,742.78	2,833.91 - 362.05	150.54	5.38
F H L M C Gd A41559 6.000% 1/01/36 Standard & Poors Rating: N/A Moody's Rating: N/A 3128K1WU1 Asset Minor Code 24 ACCOUNT 19-520722	135,667.520	159,413.41 117.5030	147,867.00	11,546.41 6,019.72	678.34	5.11

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Chicago II Ser B* 7.750% 1/01/25 Standard & Poors Rating: N/A Moody's Rating: N/A 167486C98 Asset Minor Code 39 ACCOUNT 19-520722	83,000.000	107,680.05 129.7350	81,062.78	26,617.27 2,532.33	1,608.13	5.97
Chicago II 7.750% 1/01/42 Standard & Poors Rating: BBB+ Moody's Rating: N/A 167486D22 Asset Minor Code 39 ACCOUNT 19-520722	17,000.000	18,381.93 108.1290	16,603.22	1,778.71 - 1,058.25	329.38	7.17
Illinois ST Muni 6.832% 2/01/35 Standard & Poors Rating: A Moody's Rating: A1 452024GT3 Asset Minor Code 39 ACCOUNT 19-520722	300,000.000	416,196.00 138.7320	300,000.00	116,196.00 21,561.00	3,416.00	4.92
Illinois ST Build 7.350% 7/01/35 Standard & Poors Rating: BBB- Moody's Rating: Baa3 452152GS4 Asset Minor Code 39 ACCOUNT 19-520722	350,000.000	396,532.50 113.2950	380,363.00	16,169.50 - 26,337.50	6,431.25	6.49

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F H L M C Gd A69654 6.000% 12/01/37 Standard & Poors Rating: N/A Moody's Rating: N/A 3128L1WP1 Asset Minor Code 24 ACCOUNT 19-520722	79,386.150	92,869.89 116.9850	86,524.69	6,345.20 1,357.38	396.93	5.13
F H L M C Gd A71518 6.000% 1/01/38 Standard & Poors Rating: N/A Moody's Rating: N/A 3128L4VK7 Asset Minor Code 24 ACCOUNT 19-520722	110,211.150	126,058.41 114.3790	120,121.54	5,936.87 3,402.78	551.06	5.25
F H L M C Gd G08249 6.000% 2/01/38 Standard & Poors Rating: N/A Moody's Rating: N/A 3128MJH37 Asset Minor Code 24 ACCOUNT 19-520722	16,161.240	18,913.82 117.0320	17,614.50	1,299.32 140.31	80.82	5.13
F H L M C Gd G02462 6.000% 12/01/36 Standard & Poors Rating: N/A Moody's Rating: N/A 3128M4B77 Asset Minor Code 24 ACCOUNT 19-520722	8,295.180	9,753.56 117.5810	9,041.12	712.44 114.17	41.49	5.10
F H L M C Gd G02794 6.000% 5/01/37 Standard & Poors Rating: N/A Moody's Rating: N/A 3128M4NK5 Asset Minor Code 24 ACCOUNT 19-520722	32,455.150	38,419.76 118.3780	35,373.56	3,046.20 813.36	162.28	5.07
F H L M C Gd G03120 6.000% 8/01/37 Standard & Poors Rating: N/A Moody's Rating: N/A 3128M4YR8 Asset Minor Code 24 ACCOUNT 19-520722	2,963.260	3,497.60 118.0320	3,229.73	267.87 67.33	14.82	5.08

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F H L M C Gd G03772 6.000% 1/01/38 Standard & Poors Rating: N/A Moody's Rating: N/A 3128M5QZ6 Asset Minor Code 24 ACCOUNT 19-520722	1,402.930	1,654.64 117.9420	1,529.07	125.57 28.73	7.01	5.09
F H L M C Gd G04583 6.000% 8/01/38 Standard & Poors Rating: N/A Moody's Rating: N/A 3128M6M88 Asset Minor Code 24 ACCOUNT 19-520722	8,052.560	9,422.30 117.0100	8,776.69	645.61 70.48	40.26	5.13
F H L M C Gd G04656 6.000% 9/01/38 Standard & Poors Rating: N/A Moody's Rating: N/A 3128M6QH4 Asset Minor Code 24 ACCOUNT 19-520722	65,582.020	76,829.99 117.1510	71,479.29	5,350.70 960.90	327.91	5.12
F H L M C Gd G04715 6.000% 6/01/38 Standard & Poors Rating: N/A Moody's Rating: N/A 3128M6SC3 Asset Minor Code 24 ACCOUNT 19-520722	93,869.760	110,859.25 118.0990	102,310.70	8,548.55 2,027.50	469.35	5.08
F H L M C Gd G05940 6.000% 4/01/40 Standard & Poors Rating: N/A Moody's Rating: N/A 3128M74Z6 Asset Minor Code 24 ACCOUNT 19-520722	55,836.150	65,199.87 116.7700	60,857.06	4,342.81 430.39	279.18	5.14
F H L M C Gd G05956 5.500% 7/01/38 Standard & Poors Rating: N/A Moody's Rating: N/A 3128M75R3 Asset Minor Code 24 ACCOUNT 19-520722	14,857.600	17,253.69 116.1270	15,985.87	1,267.82 420.97	44.92	4.74

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F H L M C Gd G06409 6.000% 11/01/39 Standard & Poors Rating: N/A Moody's Rating: N/A 3128M8N26 Asset Minor Code 24 ACCOUNT 19-520722	150,784.830	177,977.37 118.0340	164,343.70	13,633.67 10,916.01	753.92	5.08
F H L M C Gtd Remic 9.500% 11/01/21 31282YAC3 Asset Minor Code 30 ACCOUNT 19-520722	62.220	1.87 3.0071	120.29	- 118.42 227.79	.29	316.04
F H L M C #845355 3.737% 5/01/23 Standard & Poors Rating: N/A Moody's Rating: N/A 31288U5Q0 Asset Minor Code 24 ACCOUNT 19-520722	2,368.800	2,391.68 100.9660	2,424.22	- 32.54 - 8.38	6.70	3.70
F H L M C Gd A32441 6.000% 4/01/35 Standard & Poors Rating: N/A Moody's Rating: N/A 31297MWA2 Asset Minor Code 24 ACCOUNT 19-520722	25,789.900	29,017.76 112.5160	28,108.98	908.78 342.46	128.95	5.33
F N M A Gtd Remic 7.00% 10/25/21 Standard & Poors Rating: N/A Moody's Rating: N/A 31358J6H9 Asset Minor Code 30 ACCOUNT 19-520722	2,027.480	2,041.45 100.6890	1,402.84	638.61 - 2,179.45	11.83	6.95
F N M A Gtd Remic 9.000% 2/25/22 Standard & Poors Rating: N/A Moody's Rating: N/A 31358LVN3 Asset Minor Code 30 ACCOUNT 19-520722	65.430	1.31 2.0070	63.90	- 62.59 151.82	.49	449.62

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F N M A Gtd Remic 9.000% 5/25/22 Standard & Poors Rating: N/A Moody's Rating: N/A 31358NCS9 Asset Minor Code 30 ACCOUNT 19-520722	86.000	2.85 3.3100	74.35	- 71.50 160.76	.65	271.58
F N M A Gtd Remic 7.500% 11/20/26 Standard & Poors Rating: N/A Moody's Rating: N/A 31359KV87 Asset Minor Code 30 ACCOUNT 19-520722	29,573.480	33,829.10 114.3900	23,219.07	10,610.03 - 1,700.51	184.83	6.56
F N M A #020085 5.079% 6/01/24 Standard & Poors Rating: N/A Moody's Rating: N/A 31360YJ62 Asset Minor Code 24 ACCOUNT 19-520722	74.420	75.02 100.8120	79.05	- 4.03 .83	.31	5.04
F N M A #064731 3.910% 12/01/27 Standard & Poors Rating: N/A Moody's Rating: N/A 31362L4Q0 Asset Minor Code 24 ACCOUNT 19-520722	8,634.270	8,749.45 101.3340	8,699.05	50.40 101.30	28.28	3.86
F N M A #223535 5.705% 12/01/30 Standard & Poors Rating: N/A Moody's Rating: N/A 31369XHL4 Asset Minor Code 24 ACCOUNT 19-520722	2,900.520	2,945.97 101.5670	2,922.25	23.72 - 86.32	13.79	5.62
F H L M C Mltcl Mtg 5.000% 7/15/42 Standard & Poors Rating: N/A Moody's Rating: N/A 3137ASN42 Asset Minor Code 30 ACCOUNT 19-520722	670,352.670	955,989.94 142.6100	766,502.25	189,487.69 83,570.94	2,793.12	3.51

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F H L M C Mltcl 0.461% 7/15/48 Standard & Poors Rating: N/A Moody's Rating: N/A 3137FHUR2 Asset Minor Code 30 ACCOUNT 19-520722	500,932.190	501,818.84 100.1770	495,922.86	5,895.98 - 1,670.91	102.64	0.45
F N M A #323919 2.476% 8/01/29 Standard & Poors Rating: N/A Moody's Rating: N/A 31374TYQ6 Asset Minor Code 24 ACCOUNT 19-520722	8,026.410	8,113.98 101.0910	7,705.47	408.51 - 331.03	16.56	2.45
F N M A #A11319 4.500% 10/01/41 Standard & Poors Rating: N/A Moody's Rating: N/A 3138EHPD8 Asset Minor Code 24 ACCOUNT 19-520722	22,411.090	24,895.14 111.0840	23,964.11	931.03 1,641.40	84.04	4.05
F N M A Gtd Remic 4.41397% 5/25/35 Standard & Poors Rating: N/A Moody's Rating: N/A 31394VL73 Asset Minor Code 30 ACCOUNT 19-520722	4,674.810	4,765.78 101.9460	4,600.31	165.47 - 221.20	12.63	2.96
F H L M C Mltcl Mt 2.08333% 2/25/45 Standard & Poors Rating: N/A Moody's Rating: N/A 31395M2F5 Asset Minor Code 30 ACCOUNT 19-520722	10,619.920	10,787.40 101.5770	10,614.93	172.47 36.40	20.98	2.05
F N M A #735805 2.559% 7/01/35 Standard & Poors Rating: N/A Moody's Rating: N/A 31402RNW5 Asset Minor Code 24 ACCOUNT 19-520722	27,143.410	28,156.40 103.7320	27,889.54	266.86 - 836.68	58.25	2.47

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F N M A #776739 4.500% 5/01/34 Standard & Poors Rating: N/A Moody's Rating: N/A 31404R4Y0 Asset Minor Code 24 ACCOUNT 19-520722	21,453.680	23,269.52 108.4640	23,112.98	156.54 59.15	80.45	4.15
F N M A #808172 4.500% 3/01/35 Standard & Poors Rating: N/A Moody's Rating: N/A 31406E2D5 Asset Minor Code 24 ACCOUNT 19-520722	22,499.390	24,773.85 110.1090	24,232.53	541.32 402.71	84.37	4.09
F N M A #828850 2.309% 6/01/35 Standard & Poors Rating: N/A Moody's Rating: N/A 31407EZP1 Asset Minor Code 24 ACCOUNT 19-520722	151,283.880	152,536.51 100.8280	149,516.93	3,019.58 - 4,441.16	315.17	2.29
F N M A #878132 5.500% 4/01/21 Standard & Poors Rating: N/A Moody's Rating: N/A 31409TSV1 Asset Minor Code 24 ACCOUNT 19-520722	97.820	98.00 100.1830	97.39	.61 - 3.12	.45	5.49
F N M A #908575 5.500% 1/01/22 Standard & Poors Rating: N/A Moody's Rating: N/A 31411HMY3 Asset Minor Code 24 ACCOUNT 19-520722	798.720	804.34 100.7030	795.22	9.12 - 2.19	3.66	5.46
F N M A #928134 6.000% 3/01/27 Standard & Poors Rating: N/A Moody's Rating: N/A 31412LEF3 Asset Minor Code 24 ACCOUNT 19-520722	22,782.320	25,394.31 111.4650	23,084.92	2,309.39 54.64	113.91	5.38

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F N M A #956528 5.500% 10/01/22 Standard & Poors Rating: N/A Moody's Rating: N/A 31413VD95 Asset Minor Code 24 ACCOUNT 19-520722	.000	.00 100.0000	.00	.00 - 7.03	.00	0.00
F N M A #Ac2996 4.500% 9/01/24 Standard & Poors Rating: N/A Moody's Rating: N/A 31417MKJ1 Asset Minor Code 24 ACCOUNT 19-520722	31,938.570	33,938.24 106.2610	34,393.83	- 455.59 1,003.98	119.77	4.23
F N M A #Ac5613 4.500% 3/01/40 Standard & Poors Rating: N/A Moody's Rating: N/A 31417SGX2 Asset Minor Code 24 ACCOUNT 19-520722	78,576.680	88,182.68 112.2250	84,654.09	3,528.59 2,879.92	294.66	4.01
F N M A #Ma0115 4.500% 7/01/29 Standard & Poors Rating: N/A Moody's Rating: N/A 31417YDV6 Asset Minor Code 24 ACCOUNT 19-520722	39,912.280	43,497.20 108.9820	42,986.78	510.42 1,193.29	149.67	4.13
G N M A II #008135 3.000% 2/20/23 Standard & Poors Rating: N/A Moody's Rating: N/A 36202KBC8 Asset Minor Code 24 ACCOUNT 19-520722	8,641.110	8,739.88 101.1430	8,701.37	38.51 59.69	21.60	2.97
G N M A II #008595 3.000% 2/20/25 Standard & Poors Rating: N/A Moody's Rating: N/A 36202KRQ0 Asset Minor Code 24 ACCOUNT 19-520722	3,124.320	3,220.27 103.0710	3,154.62	65.65 1.50	7.81	2.91

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G N M A I I #008685 2.250% 8/20/25 Standard & Poors Rating: N/A Moody's Rating: N/A 36202KUJ2 Asset Minor Code 24 ACCOUNT 19-520722	5,330.120	5,353.68 100.4420	5,415.88	- 62.20 - 29.79	14.44	2.24
G N M A I I #008830 3.000% 3/20/26 Standard & Poors Rating: N/A Moody's Rating: N/A 36202KY33 Asset Minor Code 24 ACCOUNT 19-520722	8,433.460	8,644.80 102.5060	8,392.74	252.06 - 120.45	21.08	2.93
G N M A #305014 8.500% 6/15/21 Standard & Poors Rating: N/A Moody's Rating: N/A 36223DYK6 Asset Minor Code 24 ACCOUNT 19-520722	.000	.00 100.0000	.00	.00 1.54	.00	0.00
G N M A I I #080031 3.000% 1/20/27 Standard & Poors Rating: N/A Moody's Rating: N/A 36225CA97 Asset Minor Code 24 ACCOUNT 19-520722	619.530	641.41 103.5310	647.15	- 5.74 4.16	2.40	2.90
G N M A I I #80133 3.125% 11/20/27 Standard & Poors Rating: N/A Moody's Rating: N/A 36225CEF9 Asset Minor Code 24 ACCOUNT 19-520722	10,358.480	10,741.85 103.7010	10,364.04	377.81 - 3.71	26.98	3.01
G N M A #675306 5.000% 4/15/38 Standard & Poors Rating: N/A Moody's Rating: N/A 36295NGP6 Asset Minor Code 24 ACCOUNT 19-520722	3,962.420	4,481.02 113.0880	4,304.79	176.23 33.68	16.51	4.42

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G N M A #677098 5.000% 7/15/38 Standard & Poors Rating: N/A Moody's Rating: N/A 36295QGF1 Asset Minor Code 24 ACCOUNT 19-520722	9,541.350	10,815.69 113.3560	10,292.73	522.96 46.98	39.76	4.41
G N M A #697642 5.000% 10/15/38 Standard & Poors Rating: N/A Moody's Rating: N/A 36296QBK4 Asset Minor Code 24 ACCOUNT 19-520722	141,138.030	159,295.44 112.8650	152,252.65	7,042.79 - 237.83	588.08	4.43
G N M A Gtd Remic 4.000% 8/20/39 Standard & Poors Rating: N/A Moody's Rating: N/A 38378CAK3 Asset Minor Code 30 ACCOUNT 19-520722	.000	.00 100.0000	.00	.00 4,099.60	.00	0.00
G N M A Gtd Remic 2.266% 8/20/68 Standard & Poors Rating: N/A Moody's Rating: N/A 38380LJY0 Asset Minor Code 30 ACCOUNT 19-520722	408,147.350	403,812.83 98.9380	402,925.74	887.09 4,246.09	.00	0.61
G N M A Gtd Remic 0.52363% 8/20/47 Standard & Poors Rating: N/A Moody's Rating: N/A 38380VEU1 Asset Minor Code 30 ACCOUNT 19-520722	81,613.670	81,008.10 99.2580	81,677.42	- 669.32 - 140.46	34.13	0.50
G N M A Gtd Remic 0.556% 2/20/49 Standard & Poors Rating: N/A Moody's Rating: N/A 38381RCM9 Asset Minor Code 30 ACCOUNT 19-520722	.000	.00 100.0720	.00	.00 - 1,315.86	.00	0.00



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U S Treas Bd Strip 2/15/21 Standard & Poors Rating: N/A Moody's Rating: N/A 912803AV5 Asset Minor Code 23 ACCOUNT 19-520723	6,806,000.000	6,801,644.16 .9994	6,802,679.61	- 1,035.45 - 1,035.45	.00	0.00
U S Treasury I P S 2.500% 1/15/29 Standard & Poors Rating: N/A Moody's Rating: Aaa 912810PZ5 Asset Minor Code 30 ACCOUNT 19-520722	.000	.00 130.6670	.00	.00 - 10,810.76	.00	0.00
U S Treasury I P S 2.125% 2/15/40 Standard & Poors Rating: N/A Moody's Rating: Aaa 912810QF8 Asset Minor Code 30 ACCOUNT 19-520722	23,343,349.320	35,807,530.69 153.3950	31,049,348.99	4,758,181.70 2,599,814.13	63,353.72	1.39
U S Treasury I P S 2.125% 2/15/41 Standard & Poors Rating: N/A Moody's Rating: Aaa 912810QP6 Asset Minor Code 30 ACCOUNT 19-520722	37,736,424.000	58,683,158.23 155.5080	57,071,063.97	1,612,094.26 - 840,853.07	102,416.45	1.37
U S Treasury I P S 0.750% 2/15/42 Standard & Poors Rating: N/A Moody's Rating: Aaa 912810QV3 Asset Minor Code 30 ACCOUNT 19-520722	64,149,988.500	80,806,533.01 125.9650	75,845,532.94	4,961,000.07 4,625,326.83	54,414.88	0.60
U S Treasury I P S 0.625% 2/15/43 Standard & Poors Rating: N/A Moody's Rating: Aaa 912810RA8 Asset Minor Code 30 ACCOUNT 19-520722	25,859,371.500	31,908,137.09 123.3910	27,837,771.57	4,070,365.52 2,698,680.30	20,641.82	0.51

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U S Treasury I P S 1.375% 2/15/44 Standard & Poors Rating: N/A Moody's Rating: Aaa 912810RF7 Asset Minor Code 30 ACCOUNT 19-520722	.000	.00 142.4540	.00	.00 - 369,169.78	.00	0.00
U S Treasury I P S 0.750% 2/15/45 Standard & Poors Rating: N/A Moody's Rating: Aaa 912810RL4 Asset Minor Code 30 ACCOUNT 19-520722	13,971,524.000	17,811,457.66 127.4840	15,761,616.48	2,049,841.18 383,925.72	13,456.18	0.59
U S Treasury I P S 1.000% 2/15/46 Standard & Poors Rating: N/A Moody's Rating: Aaa 912810RR1 Asset Minor Code 30 ACCOUNT 19-520722	6,428,780.400	8,686,310.93 135.1160	6,542,885.91	2,143,425.02 - 1,171,331.85	8,255.54	0.74
U S Treasury I P S 0.875% 2/15/47 Standard & Poors Rating: N/A Moody's Rating: Aaa 912810RW0 Asset Minor Code 30 ACCOUNT 19-520722	22,010,921.800	29,324,710.90 133.2280	29,068,548.17	256,162.73 256,162.73	24,732.22	0.66
U S Treasury I P S 1.000% 2/15/48 Standard & Poors Rating: N/A Moody's Rating: Aaa 912810SB5 Asset Minor Code 30 ACCOUNT 19-520722	9,980,035.000	13,786,520.15 138.1410	13,738,234.56	48,285.59 - 557,692.40	12,815.89	0.72
U S Treasury I P S 1.000% 2/15/49 Standard & Poors Rating: N/A Moody's Rating: Aaa 912810SG4 Asset Minor Code 30 ACCOUNT 19-520722	12,479,600.400	17,440,366.36 139.7510	17,300,969.84	139,396.52 91,073.91	.00	0.72

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DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	BOOK VALUE	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ENDING ACCRUAL	YIELD ON MARKET
U S Treasury I P S 0.250% 2/15/50 Standard & Poors Rating: N/A Moody's Rating: Aaa 912810SM1 Asset Minor Code 30 ACCOUNT 19-520722	12,727,437.900	15,043,577.05 118.1980	14,953,659.93	89,917.12 89,393.37	2,047.68	0.21
U S Treasury Nt 2.000% 11/30/20 Standard & Poors Rating: N/A Moody's Rating: Aaa 912828A42 Asset Minor Code 21 ACCOUNT 19-520723	5,849,000.000	5,867,131.90 100.3100	5,880,758.24	- 13,626.34 - 13,626.34	39,312.95	1.99
U S Treasury Nt 2.375% 12/31/20 Standard & Poors Rating: N/A Moody's Rating: Aaa 912828A83 Asset Minor Code 21 ACCOUNT 19-520723	3,772,000.000	3,793,010.04 100.5570	3,803,531.56	- 10,521.52 - 10,521.52	22,763.40	2.36
U S Treasury Nt 1.625% 11/30/20 Standard & Poors Rating: N/A Moody's Rating: Aaa 912828M98 Asset Minor Code 21 ACCOUNT 19-520722	.000	.00 100.2480	.00	.00 - 1,313,477.46	.00	0.00
U S Treasury I P S 0.625% 1/15/26 Standard & Poors Rating: N/A Moody's Rating: Aaa 912828N71 Asset Minor Code 30 ACCOUNT 19-520722	.000	.00 110.2480	.00	.00 - 4,258.28	.00	0.00
U S Treasury Nt 2.625% 11/15/20 Standard & Poors Rating: N/A Moody's Rating: WR 912828PC8 Asset Minor Code 21 ACCOUNT 19-520723	1,910,000.000	1,915,863.70 100.3070	1,921,713.67	- 5,849.97 - 5,849.97	18,937.81	2.62

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U S Treasury I P S 1.125% 1/15/21 Standard & Poors Rating: N/A Moody's Rating: Aaa 912828PP9 Asset Minor Code 30 ACCOUNT 19-520722	.000	.00 100.6290	.00	.00 - 24,814.08	.00	0.00
U S Treasury I P S 0.125% 7/15/26 Standard & Poors Rating: N/A Moody's Rating: Aaa 912828S50 Asset Minor Code 30 ACCOUNT 19-520722	621,431.250	674,134.83 108.4810	620,829.95	53,304.88 53,639.63	164.84	0.12
U S Treasury I P S 0.375% 1/15/27 Standard & Poors Rating: N/A Moody's Rating: Aaa 912828V49 Asset Minor Code 30 ACCOUNT 19-520722	.000	.00 110.0430	.00	.00 - 3,980.95	.00	0.00
U S Treasury I P S 0.750% 7/15/28 Standard & Poors Rating: N/A Moody's Rating: Aaa 912828Y38 Asset Minor Code 30 ACCOUNT 19-520722	.000	.00 115.2370	.00	.00 21,543.26	.00	0.00
U S Treasury I P S 0.125% 1/15/30 Standard & Poors Rating: N/A Moody's Rating: Aaa 912828Z37 Asset Minor Code 30 ACCOUNT 19-520722	.000	.00 110.5470	.00	.00 - 242.12	.00	0.00
U S Treasury I P S 0.375% 7/15/27 Standard & Poors Rating: N/A Moody's Rating: Aaa 912828L3 Asset Minor Code 30 ACCOUNT 19-520722	.000	.00 111.1970	.00	.00 - 19,840.81	.00	0.00

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U S Treasury I P 0.85874% 1/15/29 Standard & Poors Rating: N/A Moody's Rating: Aaa 9128285W6 Asset Minor Code 30 ACCOUNT 19-520722	.000	.00 116.5200	.00	.00 - 9,466.92	.00	0.00
U S Treasury I P S 0.250% 7/15/29 Standard & Poors Rating: N/A Moody's Rating: Aaa 9128287D6 Asset Minor Code 30 ACCOUNT 19-520722	.000	.00 111.8890	.00	.00 11,291.54	.00	0.00
Total US Government Issues	262,631,476.450	332,933,411.24	312,328,289.27	20,966,490.97 7,109,402.61	437,752.80	0.88

Corporate Issues

Towd Point Fm 1.85493% 10/20/51 BJVNYL9 Asset Minor Code 31 ACCOUNT 19-520722	838,707.970	1,089,590.10 129.9129	1,108,771.43	- 19,181.33 5,141.53	5,023.62	1.91
AT&T Inc 4.450% 5/15/21 Standard & Poors Rating: N/R Moody's Rating: WR 00206RAX0 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 103.5490	.00	.00 168,720.00	.00	0.00
Att Inc 1.00707% 6/01/21 Standard & Poors Rating: BBB Moody's Rating: Baa2 00206RFZ0 Asset Minor Code 28 ACCOUNT 19-520722	.000	.00 100.4460	.00	.00 - 2,552.00	.00	0.00

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Abbott Laboratories 2.900% 11/30/21 Standard & Poors Rating: N/R Moody's Rating: WR 002824BD1 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 101.9230	.00	.00 - 1,427.10	.00	0.00
Air Lease Corp 3.000% 9/15/23 Standard & Poors Rating: BBB Moody's Rating: N/A 00912XAT1 Asset Minor Code 28 ACCOUNT 19-520723	6,985,000.000	7,109,542.55 101.7830	6,871,773.15	237,769.40 - 10,128.25	9,313.33	2.95
Albertsons Cos L P 3.500% 2/15/23 Standard & Poors Rating: Bb- Moody's Rating: B1 013092AD3 Asset Minor Code 28 ACCOUNT 19-520723	2,846,000.000	2,893,670.50 101.6750	2,828,212.50	65,458.00 65,458.00	12,727.94	3.44
Alcoa Inc 5.870% 2/23/22 Standard & Poors Rating: Bb+ Moody's Rating: Ba3 013817AQ4 Asset Minor Code 28 ACCOUNT 19-520723	5,440,000.000	5,753,888.00 105.7700	6,032,700.00	- 278,812.00 - 53,692.80	33,706.84	5.55
Ally Financial Inc 4.250% 4/15/21 Standard & Poors Rating: BBB- Moody's Rating: N/A 02005NBG4 Asset Minor Code 28 ACCOUNT 19-520723	5,840,000.000	5,939,572.00 101.7050	6,073,600.00	- 134,028.00 - 53,436.00	114,447.78	4.18
American Airlines 4.625% 3/01/20 Standard & Poors Rating: N/R Moody's Rating: WR 02376RAB8 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 97,662.50	.00	0.00

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American Home Mtg 2.26515% 2/25/45 Standard & Poors Rating: AAA Moody's Rating: WR 02660TCS0 Asset Minor Code 31 ACCOUNT 19-520722	15,172.060	15,182.83 100.0710	15,375.32	- 192.49 - 16.01	29.98	2.26
Areit 2.67863% 4/15/37 Standard & Poors Rating: N/A Moody's Rating: N/A 04002RAA8 Asset Minor Code 31 ACCOUNT 19-520722	500,000.000	505,029.50 101.0059	499,375.00	5,654.50 5,654.50	1,197.81	2.65
Aviation Capital Mtn 6.750% 4/06/21 Standard & Poors Rating: BBB- Moody's Rating: Baa2 05367AAD5 Asset Minor Code 28 ACCOUNT 19-520723	1,820,000.000	1,855,271.60 101.9380	1,944,142.20	- 88,870.60 - 64,828.40	59,718.75	6.62
Axa Equitable 4.350% 4/20/28 Standard & Poors Rating: BBB+ Moody's Rating: Baa2 054561AJ4 Asset Minor Code 28 ACCOUNT 19-520722	100,000.000	113,883.00 113.8830	97,376.00	16,507.00 6,447.00	1,945.42	3.82
Ball Corp 4.375% 12/15/20 Standard & Poors Rating: N/R Moody's Rating: WR 058498AU0 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 102.0000	.00	.00 197,400.00	.00	0.00
The Bancorp 1.30774% 9/15/36 Standard & Poors Rating: N/A Moody's Rating: Aaa 05973BAA5 Asset Minor Code 31 ACCOUNT 19-520722	1,124,520.250	1,109,777.79 98.6890	1,124,520.25	- 14,742.46 - 15,533.25	625.87	1.33

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Bc America Funding 3.6309% 5/25/35 Standard & Poors Rating: BBB- Moody's Rating: N/A 06051GDM8 Asset Minor Code 31 ACCOUNT 19-520722	20,883.340	21,104.29 101.0580	20,956.59	147.70 - 320.25	63.97	3.59
Bank Of America Mtn 5.625% 7/01/20 Standard & Poors Rating: N/R Moody's Rating: WR 06051GEC9 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 306,221.20	.00	0.00
Bear Stearns Asset 0.94927% 10/27/32 Standard & Poors Rating: AAA Moody's Rating: A2 07384YBM7 Asset Minor Code 31 ACCOUNT 19-520722	61,157.690	59,845.86 97.8550	61,089.05	- 1,243.19 70.51	11.20	0.97
Bear Stearns Alt 0.58928% 4/25/35 Standard & Poors Rating: AAA Moody's Rating: Aaa 07386HSP7 Asset Minor Code 31 ACCOUNT 19-520722	43,764.580	43,741.82 99.9480	43,682.52	59.30 - 228.86	5.07	0.59
Bear Stearns 3.39594% 5/25/35 Standard & Poors Rating: Ccc Moody's Rating: WR 07386HSY8 Asset Minor Code 31 ACCOUNT 19-520722	82,718.040	81,949.59 99.0710	85,854.13	- 3,904.54 - 1,557.28	241.39	3.43
Bear Stearns Alt 3.21277% 9/25/35 Standard & Poors Rating: N/R Moody's Rating: WR 07386HVS7 Asset Minor Code 31 ACCOUNT 19-520722	18,207.090	14,241.40 78.2190	18,081.96	- 3,840.56 - 495.41	49.22	4.11

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Bear Stearns Arm 3.58651% 2/25/36 Standard & Poors Rating: N/R Moody's Rating: WR 07387AFZ3 Asset Minor Code 31 ACCOUNT 19-520722	14,268.030	13,572.04 95.1220	11,889.87	1,682.17 - 269.08	42.91	3.77
Becton Dickinson 3.125% 11/08/21 Standard & Poors Rating: BBB Moody's Rating: Ba1 075887BA6 Asset Minor Code 28 ACCOUNT 19-520723	5,940,000.000	6,105,369.60 102.7840	6,060,299.05	45,070.55 59,934.60	73,734.37	3.04
Bella Vista 0.79547% 1/22/45 Standard & Poors Rating: AA Moody's Rating: WR 07820QBL8 Asset Minor Code 31 ACCOUNT 19-520722	77,329.080	75,404.36 97.5110	73,655.94	1,748.42 - 1,902.48	14.64	0.82
Bp Cap Markets 4.500% 10/01/20 Standard & Poors Rating: N/R Moody's Rating: WR 10373QAF7 Asset Minor Code 28 ACCOUNT 19-520723	1,070,000.000	1,070,000.00 100.0000	1,093,090.60	- 23,090.60 - 22,363.00	24,075.00	4.50
Brixmor Operating 3.875% 8/15/22 Standard & Poors Rating: BBB- Moody's Rating: Baa3 11120VAB9 Asset Minor Code 28 ACCOUNT 19-520723	5,055,000.000	5,241,024.00 103.6800	5,193,085.75	47,938.25 27,010.55	25,029.27	3.74
Broadcom Crp Caymn 2.375% 1/15/20 Standard & Poors Rating: N/R Moody's Rating: WR 11134LAB5 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 14,112.15	.00	0.00

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Broadcom Inc 3.125% 10/15/22 Standard & Poors Rating: BBB- Moody's Rating: Baa3 11135FAC5 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 104.5930	.00	.00 127,331.64	.00	0.00
Broadcom Inc Sr Gbl 3.125% 10/15/22 Standard & Poors Rating: BBB- Moody's Rating: Baa3 11135FAX9 Asset Minor Code 28 ACCOUNT 19-520723	4,851,000.000	5,076,814.05 104.6550	4,940,653.59	136,160.46 3,007.62	69,901.56	2.99
Bunge Ltd Finance 3.500% 11/24/20 Standard & Poors Rating: BBB Moody's Rating: WR 120568AW0 Asset Minor Code 28 ACCOUNT 19-520723	1,235,000.000	1,240,409.30 100.4380	1,249,924.60	- 9,515.30 - 9,515.30	15,248.82	3.48
Cco Hldgs LLC 4.000% 3/01/23 Standard & Poors Rating: Bb Moody's Rating: B1 1248EPBZ5 Asset Minor Code 28 ACCOUNT 19-520723	5,440,000.000	5,505,932.80 101.2120	5,358,400.00	147,532.80 - 36,067.20	18,133.33	3.95
Cf Industries Inc 3.450% 6/01/23 Standard & Poors Rating: Bb+ Moody's Rating: Ba2 12527GAC7 Asset Minor Code 28 ACCOUNT 19-520723	3,500,000.000	3,578,750.00 102.2500	3,399,375.00	179,375.00 .00	40,250.00	3.37
Cim Trust 1.14925% 2/25/49 Standard & Poors Rating: N/A Moody's Rating: Aaa 12555DAB1 Asset Minor Code 31 ACCOUNT 19-520722	313,705.990	313,367.19 99.8920	313,081.04	286.15 279.88	62.02	1.15



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Cit Group Inc 4.125% 3/09/21 Standard & Poors Rating: Bb+ Moody's Rating: Ba1 125581GV4 Asset Minor Code 28 ACCOUNT 19-520723	1,080,000.000	1,080,000.00 100.0000	1,107,000.00	- 27,000.00 - 20,250.00	2,722.50	4.13
Cit Group Inc 4.750% 2/16/24 Standard & Poors Rating: Bb+ Moody's Rating: Ba1 125581GY8 Asset Minor Code 28 ACCOUNT 19-520723	4,861,000.000	5,003,767.57 102.9370	5,112,355.00	- 108,587.43 - 108,587.43	28,862.19	4.61
CNH Industrial Cap 3.875% 10/15/21 Standard & Poors Rating: BBB Moody's Rating: Baa3 12592BAG9 Asset Minor Code 28 ACCOUNT 19-520723	5,885,000.000	6,045,130.85 102.7210	6,024,768.75	20,362.10 17,831.55	105,153.51	3.77
Cvs Health Corp 3.700% 3/09/23 Standard & Poors Rating: BBB Moody's Rating: Baa2 126650CV0 Asset Minor Code 28 ACCOUNT 19-520723	2,850,000.000	3,054,031.50 107.1590	2,976,796.50	77,235.00 86,241.00	6,444.17	3.45
Cablevision Sys 5.875% 9/15/22 Standard & Poors Rating: B Moody's Rating: B3 12686CBB4 Asset Minor Code 28 ACCOUNT 19-520723	5,110,000.000	5,403,825.00 105.7500	5,346,075.00	57,750.00 - 46,200.00	13,342.78	5.56
Capital One Finl 4.750% 7/15/21 Standard & Poors Rating: BBB Moody's Rating: Baa1 14040HAY1 Asset Minor Code 28 ACCOUNT 19-520723	1,000,000.000	1,034,680.00 103.4680	1,083,860.00	- 49,180.00 - 6,670.00	10,027.78	4.59

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Capital One Natl 2.950% 7/23/21 Standard & Poors Rating: BBB+ Moody's Rating: Baa1 14042E3Y4 Asset Minor Code 28 ACCOUNT 19-520723	1,000,000.000	1,019,500.00 101.9500	1,014,110.00	5,390.00 6,420.00	5,572.22	2.89
Centurylink Inc 5.625% 4/01/20 Standard & Poors Rating: N/R Moody's Rating: WR 156700AW6 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 82,942.48	.00	0.00
Charter Comm Opt LLC 3.579% 7/23/20 Standard & Poors Rating: N/R Moody's Rating: WR 161175AX2 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 40,162.50	.00	0.00
Citigroup Mortgage 5.500% 7/25/34 Standard & Poors Rating: N/A Moody's Rating: WR 17307GFP8 Asset Minor Code 31 ACCOUNT 19-520722	160,118.760	170,801.88 106.6720	173,544.70	- 2,742.82 2,874.55	733.88	5.16
Citigroup Mortgage 3.00067% 8/25/35 Standard & Poors Rating: BBB+ Moody's Rating: Ba1 17307GWE4 Asset Minor Code 31 ACCOUNT 19-520722	34,239.890	34,643.58 101.1790	34,197.07	446.51 - 1,042.42	86.27	2.97
Citigroup Mtg Loan 3.53671% 10/25/35 Standard & Poors Rating: A- Moody's Rating: N/A 17307GW79 Asset Minor Code 31 ACCOUNT 19-520722	7,265.070	7,195.18 99.0380	7,286.70	- 91.52 - 392.27	13.93	3.57

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Citigroup Commerci 2.16897% 2/15/39 Standard & Poors Rating: AAA Moody's Rating: N/A 17328TAA3 Asset Minor Code 31 ACCOUNT 19-520722	781,309.860	771,707.56 98.7710	770,352.61	1,354.95 1,354.95	757.84	2.20
Comcast Corp 3.450% 10/01/21 Standard & Poors Rating: N/R Moody's Rating: WR 20030NCQ2 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 103.1230	.00	.00 778.75	.00	0.00
Commercial Metals Co 4.875% 5/15/23 Standard & Poors Rating: Bb+ Moody's Rating: Ba2 201723AK9 Asset Minor Code 28 ACCOUNT 19-520723	2,348,000.000	2,441,920.00 104.0000	2,321,085.00	120,835.00 11,740.00	43,242.33	4.69
Crown Amer Cap Corp 4.500% 1/15/23 Standard & Poors Rating: Bb- Moody's Rating: Ba3 228189AB2 Asset Minor Code 28 ACCOUNT 19-520723	2,115,000.000	2,194,312.50 103.7500	2,157,300.00	37,012.50 37,012.50	20,092.50	4.34
Dcp Midstream 5.350% 3/15/20 Standard & Poors Rating: N/R Moody's Rating: WR 23311RAD8 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 27,187.50	.00	0.00
Dcp Midstream Oper 3.875% 3/15/23 Standard & Poors Rating: Bb+ Moody's Rating: Ba2 23311VAD9 Asset Minor Code 28 ACCOUNT 19-520723	3,075,000.000	3,028,875.00 98.5000	3,051,937.50	- 23,062.50 - 92,250.00	5,295.83	3.93



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DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	BOOK VALUE	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ENDING ACCRUAL	YIELD ON MARKET
Daimler Finance Na 3.350% 5/04/21 Standard & Poors Rating: BBB+ Moody's Rating: A3 233851DJ0 Asset Minor Code 28 ACCOUNT 19-520722	250,000.000	253,990.00 101.5960	252,947.50	1,042.50 37.50	3,419.79	3.30
Delta Fdg Home Equ 1.0329% 9/15/29 Standard & Poors Rating: B+ Moody's Rating: Ba2 24763LFX3 Asset Minor Code 31 ACCOUNT 19-520722	11,511.580	11,255.45 97.7750	11,902.98	- 647.53 - 177.82	4.09	1.06
Dish Dbs Corp 5.125% 5/01/20 Standard & Poors Rating: N/R Moody's Rating: WR 25470XAQ8 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 84,375.00	.00	0.00
Emc Corp 3.375% 6/01/23 Standard & Poors Rating: Bb- Moody's Rating: WR 268648AN2 Asset Minor Code 28 ACCOUNT 19-520723	4,805,000.000	4,925,125.00 102.5000	4,727,300.00	197,825.00 42,432.40	54,056.25	3.29
Emc Corp 2.650% 6/01/20 Standard & Poors Rating: N/R Moody's Rating: WR 268648AQ5 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 - 5,975.00	.00	0.00
Eqt Corp 4.875% 11/15/21 Standard & Poors Rating: Bb Moody's Rating: Ba3 26884LAB5 Asset Minor Code 28 ACCOUNT 19-520723	4,400,000.000	4,445,628.00 101.0370	4,387,183.30	58,444.70 58,444.70	81,033.33	4.82

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Eqt Midstream 4.000% 8/01/24 Standard & Poors Rating: Bb- Moody's Rating: Ba3 26885BAA8 Asset Minor Code 28 ACCOUNT 19-520723	5,737,000.000	5,615,031.38 97.8740	5,823,055.00	- 208,023.62 - 208,023.62	38,246.67	4.09
Eqt Midstream 4.750% 7/15/23 Standard & Poors Rating: Bb- Moody's Rating: Ba3 26885BAD2 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 99.8050	.00	.00 166,875.45	.00	0.00
Enable Midstream 3.900% 5/15/24 Standard & Poors Rating: BBB- Moody's Rating: Baa3 292480AH3 Asset Minor Code 28 ACCOUNT 19-520723	6,183,000.000	6,087,967.29 98.4630	6,081,598.80	6,368.49 6,368.49	91,096.20	3.96
Energy Tran Ptr 4.650% 6/01/21 Standard & Poors Rating: BBB- Moody's Rating: Baa3 29273RAN9 Asset Minor Code 28 ACCOUNT 19-520723	1,700,000.000	1,726,656.00 101.5680	1,736,975.00	- 10,319.00 - 10,319.00	26,350.00	4.58
Energy Transfer 7.500% 10/15/20 Standard & Poors Rating: N/R Moody's Rating: WR 29278NAK9 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 103.7390	.00	.00 112,082.50	.00	0.00
Expedia Inc 4.500% 8/15/24 Standard & Poors Rating: BBB- Moody's Rating: Baa3 30212PAJ4 Asset Minor Code 28 ACCOUNT 19-520723	1,148,000.000	1,222,929.96 106.5270	1,212,586.48	10,343.48 10,343.48	6,601.00	4.22

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Ford Motor Cred 5.750% 2/01/21 Standard & Poors Rating: Bb+ Moody's Rating: Ba2 345397VR1 Asset Minor Code 28 ACCOUNT 19-520723	1,000,000.000	1,006,250.00 100.6250	1,106,120.00	- 99,870.00 - 26,000.00	9,583.33	5.71
Ford Motor Cred 5.875% 8/02/21 Standard & Poors Rating: Bb+ Moody's Rating: Ba2 345397VU4 Asset Minor Code 28 ACCOUNT 19-520723	4,345,000.000	4,426,468.75 101.8750	4,853,625.70	- 427,156.95 - 122,659.35	41,835.71	5.77
Freeport McMoran Inc 3.875% 3/15/23 Standard & Poors Rating: Bb Moody's Rating: Ba1 35671DAZ8 Asset Minor Code 28 ACCOUNT 19-520723	2,427,000.000	2,500,319.67 103.0210	2,427,000.00	73,319.67 73,319.67	4,179.83	3.76
Freeport McMoran Inc 4.000% 11/14/21 Standard & Poors Rating: N/R Moody's Rating: WR 35671DBH7 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.5000	.00	.00 - 200,037.50	.00	0.00
Glp Capital LP 4.875% 11/01/20 Standard & Poors Rating: N/R Moody's Rating: WR 361841AD1 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 101.2380	.00	.00 316,903.85	.00	0.00
Gsamp Trust 0.21924% 12/25/36 Standard & Poors Rating: Cc Moody's Rating: Ca 3622MAAB7 Asset Minor Code 31 ACCOUNT 19-520722	33,153.730	19,614.74 59.1630	33,153.40	- 13,538.66 1,143.92	1.79	0.37

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Gs Mtg Secs Corp 3.37767% 9/25/35 Standard & Poors Rating: AA+ Moody's Rating: N/A 362341RX9 Asset Minor Code 31 ACCOUNT 19-520722	83,482.580	84,719.79 101.4820	83,915.74	804.05 - 1,451.21	256.10	3.33
Gsr Mtg Loan Trust 2.90538% 11/25/35 Standard & Poors Rating: Ccc Moody's Rating: N/A 362341XG9 Asset Minor Code 31 ACCOUNT 19-520722	16,045.210	15,765.38 98.2560	15,147.69	617.69 - 942.90	44.80	2.96
Gen Elec Cap Crp Mtn 5.500% 1/08/20 Standard & Poors Rating: N/R Moody's Rating: WR 36962G4J0 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 36,385.90	.00	0.00
General Motors Finl 4.375% 9/25/21 Standard & Poors Rating: BBB Moody's Rating: Baa3 37045XAQ9 Asset Minor Code 28 ACCOUNT 19-520723	1,470,000.000	1,516,554.90 103.1670	1,553,790.00	- 37,235.10 - 4,042.50	1,071.88	4.24
General Motors Finl 3.200% 7/06/21 Standard & Poors Rating: BBB Moody's Rating: Baa3 37045XBM7 Asset Minor Code 28 ACCOUNT 19-520723	4,385,000.000	4,451,345.05 101.5130	4,437,576.15	13,768.90 11,751.80	33,131.11	3.15
General Motors Finl 3.950% 4/13/24 Standard & Poors Rating: BBB Moody's Rating: Baa3 37045XBW5 Asset Minor Code 28 ACCOUNT 19-520723	402,000.000	425,336.10 105.8050	431,370.12	- 6,034.02 - 6,034.02	7,410.20	3.73

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Goldman Sachs Gp Mtn 5.375% 3/15/20 Standard & Poors Rating: N/R Moody's Rating: WR 38141EA58 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 23,320.00	.00	0.00
Goldman Sachs Group 5.250% 7/27/21 Standard & Poors Rating: BBB+ Moody's Rating: A3 38141GGQ1 Asset Minor Code 28 ACCOUNT 19-520723	1,085,000.000	1,128,454.25 104.0050	1,197,340.90	- 68,886.65 - 11,772.25	10,126.67	5.05
Goldman Sachs 2.750% 9/15/20 Standard & Poors Rating: N/R Moody's Rating: WR 38141GVP6 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 8,360.00	.00	0.00
Goldman Sachs 2.908% 6/05/23 Standard & Poors Rating: BBB+ Moody's Rating: A3 38141GWJ9 Asset Minor Code 28 ACCOUNT 19-520722	400,000.000	414,300.00 103.5750	386,608.00	27,692.00 8,380.00	3,748.09	2.81
Goldman Sachs 1.41861% 11/15/21 Standard & Poors Rating: BBB+ Moody's Rating: A3 38145GAF7 Asset Minor Code 28 ACCOUNT 19-520722	100,000.000	100,123.00 100.1230	100,000.00	123.00 - 100,716.00	230.53	1.42
Goldman Sachs Grou 3.094% 4/23/20 Standard & Poors Rating: N/R Moody's Rating: WR 38148LAB2 Asset Minor Code 28 ACCOUNT 19-520722	.000	.00 100.0000	.00	.00 - 100,325.00	.00	0.00

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Goodyear Tire Rubber 5.125% 11/15/23 Standard & Poors Rating: B+ Moody's Rating: B2 382550BE0 Asset Minor Code 28 ACCOUNT 19-520723	6,620,000.000	6,620,000.00 100.0000	6,661,375.00	- 41,375.00 - 49,650.00	128,170.56	5.13
Group 1 Automotive 5.000% 6/01/22 Standard & Poors Rating: N/R Moody's Rating: WR 398905AK5 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 - 14,500.00	.00	0.00
Gulfport Energy Corp 6.625% 5/01/23 Standard & Poors Rating: N/R Moody's Rating: WR 402635AE6 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 62.5000	.00	.00 460,000.00	.00	0.00
Hca Inc 5.875% 5/01/23 Standard & Poors Rating: Bb- Moody's Rating: Ba2 404121AG0 Asset Minor Code 28 ACCOUNT 19-520723	4,735,000.000	5,154,284.25 108.8550	5,243,736.50	- 89,452.25 - 89,452.25	115,908.85	5.40
Hsi Asset Loan 0.43459% 12/25/36 Standard & Poors Rating: Cc Moody's Rating: Ca 40431KAA8 Asset Minor Code 31 ACCOUNT 19-520722	57,375.560	21,174.45 36.9050	57,375.56	- 36,201.11 - 2,759.19	5.03	1.18
Hp Enterprise Co 2.250% 4/01/23 Standard & Poors Rating: BBB Moody's Rating: Baa2 42824CBE8 Asset Minor Code 28 ACCOUNT 19-520723	3,715,000.000	3,831,205.20 103.1280	3,854,609.70	- 23,404.50 - 23,404.50	41,793.75	2.18

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Hewlett Packard 1.450% 4/01/24 Standard & Poors Rating: BBB Moody's Rating: Baa2 42824CBJ7 Asset Minor Code 28 ACCOUNT 19-520723	2,210,000.000	2,235,326.60 101.1460	2,229,823.70	5,502.90 5,502.90	6,587.03	1.43
Hospitality Prop 4.500% 6/15/23 Standard & Poors Rating: Bb- Moody's Rating: Ba2 44106MAR3 Asset Minor Code 28 ACCOUNT 19-520723	6,477,000.000	6,351,864.36 98.0680	6,296,478.75	55,385.61 55,385.61	85,820.25	4.59
Jabil Circuit Inc 4.700% 9/15/22 Standard & Poors Rating: BBB- Moody's Rating: Baa3 466313AG8 Asset Minor Code 28 ACCOUNT 19-520723	1,400,000.000	1,500,954.00 107.2110	1,443,190.00	57,764.00 57,764.00	2,924.44	4.38
Jpmorgan Chase Co 0.91788% 6/18/22 Standard & Poors Rating: A- Moody's Rating: A2 46647PAT3 Asset Minor Code 28 ACCOUNT 19-520722	.000	.00 100.3020	.00	.00 - 866.00	.00	0.00
Jpmorgan Chase Co 1.1455% 7/23/24 Standard & Poors Rating: A- Moody's Rating: A2 46647PAW6 Asset Minor Code 28 ACCOUNT 19-520722	200,000.000	201,636.00 100.8180	200,000.00	1,636.00 8.00	620.47	1.14
Kb Home 7.000% 12/15/21 Standard & Poors Rating: Bb Moody's Rating: Ba3 48666KAT6 Asset Minor Code 28 ACCOUNT 19-520723	1,425,000.000	1,492,687.50 104.7500	1,499,812.50	- 7,125.00 - 7,125.00	29,370.83	6.68

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Kinder Morgan En 5.800% 3/01/21 Standard & Poors Rating: BBB Moody's Rating: Baa2 494550BC9 Asset Minor Code 28 ACCOUNT 19-520723	1,000,000.000	1,021,780.00 102.1780	1,110,824.00	- 89,044.00 - 21,340.00	4,833.33	5.68
Kinder Morgan En 5.300% 9/15/20 Standard & Poors Rating: N/R Moody's Rating: WR 494550BE5 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 103,065.90	.00	0.00
Lkq Corp 4.750% 5/15/23 Standard & Poors Rating: N/R Moody's Rating: WR 501889AB5 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 101.5830	.00	.00 - 18,750.00	.00	0.00
Ladder Cap Fin Llp 5.250% 3/15/22 Standard & Poors Rating: B+ Moody's Rating: Ba2 505742AF3 Asset Minor Code 28 ACCOUNT 19-520723	6,284,000.000	6,160,205.20 98.0300	6,324,000.00	- 163,794.80 - 163,794.80	14,662.67	5.36
Lennar Corp 4.750% 11/15/22 Standard & Poors Rating: Bb+ Moody's Rating: Baa3 526057BN3 Asset Minor Code 28 ACCOUNT 19-520723	5,780,000.000	6,011,200.00 104.0000	6,047,968.75	- 36,768.75 - 76,353.80	103,718.89	4.57
Long Beach Mtg Ln 0.70923% 10/25/34 Standard & Poors Rating: AAA Moody's Rating: Aaa 542514HN7 Asset Minor Code 31 ACCOUNT 19-520722	12,369.120	11,730.25 94.8350	12,622.45	- 892.20 - 445.54	1.41	0.75



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DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	BOOK VALUE	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ENDING ACCRUAL	YIELD ON MARKET
Mgm Resorts Intl 7.750% 3/15/22 Standard & Poors Rating: Bb- Moody's Rating: Ba3 552953BX8 Asset Minor Code 28 ACCOUNT 19-520723	5,090,000.000	5,365,114.50 105.4050	6,019,827.50	- 654,713.00 - 342,048.00	17,532.22	7.35
Mplx LP 4.500% 7/15/23 Standard & Poors Rating: BBB Moody's Rating: Baa2 55336VAE0 Asset Minor Code 28 ACCOUNT 19-520723	3,605,000.000	3,908,324.70 108.4140	3,864,030.50	44,294.20 44,294.20	34,247.50	4.15
Marathon Oil Corp 2.800% 11/01/22 Standard & Poors Rating: BBB- Moody's Rating: Baa3 565849AK2 Asset Minor Code 28 ACCOUNT 19-520723	6,175,000.000	6,325,423.00 102.4360	6,053,537.75	271,885.25 56,624.75	72,041.67	2.73
Marriott Intl Inc Sr 3.600% 4/15/24 Standard & Poors Rating: BBB- Moody's Rating: Baa3 571900BB4 Asset Minor Code 28 ACCOUNT 19-520723	3,222,000.000	3,320,786.52 103.0660	3,291,820.74	28,965.78 28,965.78	53,485.20	3.49
Marriott Intl 3.250% 9/15/22 Standard & Poors Rating: BBB- Moody's Rating: Baa3 571903AK9 Asset Minor Code 28 ACCOUNT 19-520723	2,277,000.000	2,306,805.93 101.3090	2,231,460.00	75,345.93 75,345.93	3,289.00	3.21
Mastr Alternative 6.500% 12/25/34 Standard & Poors Rating: A+ Moody's Rating: N/A 576434YE8 Asset Minor Code 31 ACCOUNT 19-520722	168,376.360	175,904.47 104.4710	188,825.68	- 12,921.21 - 7,718.88	912.04	6.22

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Merrill Lynch 0.60922% 3/25/30 Standard & Poors Rating: A- Moody's Rating: WR 59020UTB1 Asset Minor Code 31 ACCOUNT 19-520722	18,803.490	18,313.47 97.3940	17,487.29	826.18 - 409.57	1.54	0.63
Merrill Lynch 0.90926% 8/25/35 Standard & Poors Rating: AA Moody's Rating: Aaa 59020UZJ7 Asset Minor Code 31 ACCOUNT 19-520722	15,656.230	15,659.99 100.0240	14,618.99	1,041.00 - 1,302.48	3.40	0.91
Met Life Glob Mtn 3.450% 10/09/21 Standard & Poors Rating: AA- Moody's Rating: Aa3 59217GCR8 Asset Minor Code 28 ACCOUNT 19-520723	875,000.000	902,343.75 103.1250	901,477.50	866.25 866.25	14,422.92	3.35
Microchip Technology 4.333% 6/01/23 Standard & Poors Rating: N/R Moody's Rating: Baa3 595017AP9 Asset Minor Code 28 ACCOUNT 19-520723	6,705,000.000	7,215,988.05 107.6210	6,726,858.30	489,129.75 160,987.05	96,842.55	4.03
Midwest Connector 3.900% 4/01/24 Standard & Poors Rating: A- Moody's Rating: Baa2 59833CAA0 Asset Minor Code 28 ACCOUNT 19-520723	750,000.000	756,645.00 100.8860	755,407.50	1,237.50 1,237.50	14,625.00	3.87
Morgan Stanley Cap 0.83507% 1/25/35 Standard & Poors Rating: B+ Moody's Rating: B1 61744CNC6 Asset Minor Code 31 ACCOUNT 19-520722	267,638.710	257,131.21 96.0740	258,940.46	- 1,809.25 - 5,976.38	38.49	0.87

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Morgan Stanley Mtn 5.500% 7/24/20 Standard & Poors Rating: N/R Moody's Rating: WR 6174467P8 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 323,522.10	.00	0.00
Morgan Stanley Mtg 0.46926% 1/25/35 Standard & Poors Rating: A Moody's Rating: Baa1 61748HGR6 Asset Minor Code 31 ACCOUNT 19-520722	1,970.110	1,898.40 96.3600	1,813.74	84.66 - 42.12	.39	0.49
Mylan Inc 3.125% 1/15/23 Standard & Poors Rating: BBB- Moody's Rating: Baa3 628530AV9 Asset Minor Code 28 ACCOUNT 19-520723	3,725,000.000	3,918,476.50 105.1940	3,580,023.00	338,453.50 143,747.75	24,574.65	2.97
Mylan Inc 4.200% 11/29/23 Standard & Poors Rating: BBB- Moody's Rating: Baa3 628530BD8 Asset Minor Code 28 ACCOUNT 19-520723	3,235,000.000	3,538,863.55 109.3930	3,227,106.60	311,756.95 133,896.65	46,044.83	3.84
Navient Corp 5.875% 3/25/21 Standard & Poors Rating: B+ Moody's Rating: Ba3 63938CAC2 Asset Minor Code 28 ACCOUNT 19-520723	6,435,000.000	6,475,218.75 100.6250	6,609,180.00	- 133,961.25 - 179,858.25	6,300.94	5.84
Newfield Exploration 5.750% 1/30/22 Standard & Poors Rating: BBB- Moody's Rating: Ba1 651290AP3 Asset Minor Code 28 ACCOUNT 19-520723	5,580,000.000	5,577,600.60 99.9570	5,978,100.00	- 400,499.40 - 349,196.40	54,366.25	5.75

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DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	BOOK VALUE	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ENDING ACCRUAL	YIELD ON MARKET
Nextera Energy 2.800% 1/15/23 Standard & Poors Rating: BBB+ Moody's Rating: Baa1 65339KAW0 Asset Minor Code 28 ACCOUNT 19-520722	100,000.000	104,972.00 104.9720	100,326.00	4,646.00 3,093.00	591.11	2.67
Nextera Energy 4.250% 7/15/24 Standard & Poors Rating: Bb Moody's Rating: Ba1 65342QAK8 Asset Minor Code 28 ACCOUNT 19-520723	1,148,000.000	1,197,019.60 104.2700	1,231,230.00	- 34,210.40 - 34,210.40	10,300.11	4.08
Nielsen Finance LLC 5.000% 4/15/22 Standard & Poors Rating: Bb- Moody's Rating: B2 65409QBB7 Asset Minor Code 28 ACCOUNT 19-520723	1,820,000.000	1,824,550.00 100.2500	1,813,175.00	11,375.00 - 6,825.00	41,961.11	4.99
Nissan Motor 2.650% 7/13/22 Standard & Poors Rating: BBB- Moody's Rating: Baa3 654740AZ2 Asset Minor Code 28 ACCOUNT 19-520722	100,000.000	101,042.00 101.0420	99,991.00	1,051.00 737.00	574.17	2.62
Nissan Motor 2.95338% 7/13/22 Standard & Poors Rating: BBB- Moody's Rating: Baa3 654740BA6 Asset Minor Code 28 ACCOUNT 19-520722	.000	.00 99.9560	.00	.00 189.00	.00	0.00
Nissan Motor 2.600% 9/28/22 Standard & Poors Rating: BBB- Moody's Rating: Baa3 654740BD0 Asset Minor Code 28 ACCOUNT 19-520722	100,000.000	101,006.00 101.0060	100,145.00	861.00 861.00	21.67	2.57

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Nissan Motor 3.650% 9/21/21 Standard & Poors Rating: BBB- Moody's Rating: Baa3 654740BJ7 Asset Minor Code 28 ACCOUNT 19-520723	3,160,000.000	3,222,726.00 101.9850	3,228,603.60	- 5,877.60 - 5,877.60	3,203.89	3.58
Nustar Logistics 4.800% 9/01/20 Standard & Poors Rating: N/R Moody's Rating: WR 67059TAB1 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 49,215.00	.00	0.00
Owens Brockway 5.000% 1/15/22 Standard & Poors Rating: N/R Moody's Rating: WR 690872AA4 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.2500	.00	.00 - 10,456.25	.00	0.00
Residential 0.00001% 6/20/70 Standard & Poors Rating: AAA 7GB3655 Asset Minor Code 31 ACCOUNT 19-520722	500,000.000	650,266.00 130.0532	637,225.16	13,040.84 13,040.84	.01	0.00
Qep Resources Inc 5.375% 10/01/22 Standard & Poors Rating: B+ Moody's Rating: B3 74733VAB6 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 82.0000	.00	.00 59,505.75	.00	0.00
Qwest Corp 6.750% 12/01/21 Standard & Poors Rating: BBB- Moody's Rating: Ba2 74913GAX3 Asset Minor Code 28 ACCOUNT 19-520723	4,797,000.000	5,065,871.85 105.6050	5,080,975.00	- 15,103.15 - 15,103.15	107,932.50	6.39

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DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	BOOK VALUE	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ENDING ACCRUAL	YIELD ON MARKET
Ryland Acceptance 894.985% 1/20/21 Standard & Poors Rating: N/R Moody's Rating: Aaa 783760VB4 Asset Minor Code 31 Date Last Priced: 09/03/20 ACCOUNT 19-520722	.010	.00 .0000 @	9.57	- 9.57 - .99	.01	0.00
Sabine Pass Liquef 5.625% 2/01/21 Standard & Poors Rating: N/R Moody's Rating: WR 785592AE6 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 101.9190	.00	.00 248,104.95	.00	0.00
Sabre Gbl Inc 5.250% 11/15/23 Standard & Poors Rating: B Moody's Rating: Ba3 78573NAB8 Asset Minor Code 28 ACCOUNT 19-520723	1,331,000.000	1,301,052.50 97.7500	1,261,122.50	39,930.00 39,930.00	26,398.17	5.37
Santander Holdings 3.700% 3/28/22 Standard & Poors Rating: BBB+ Moody's Rating: Baa3 80282KAT3 Asset Minor Code 28 ACCOUNT 19-520723	5,945,000.000	6,163,062.60 103.6680	6,024,425.20	138,637.40 55,883.00	1,833.04	3.57
Securitized Asset 0.86979% 12/25/36 Standard & Poors Rating: D Moody's Rating: Ca 81377JAB9 Asset Minor Code 31 ACCOUNT 19-520722	60,104.860	19,225.14 31.9860	60,104.86	- 40,879.72 73.56	9.34	2.72



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DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	BOOK VALUE	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ENDING ACCRUAL	YIELD ON MARKET
Select Income Reit 4.150% 2/01/22 Standard & Poors Rating: BBB- Moody's Rating: Baa3 81618TAD2 Asset Minor Code 28 ACCOUNT 19-520723	5,855,000.000	5,899,556.55 100.7610	5,951,724.60	- 52,168.05 - 82,204.20	40,497.08	4.12
Sequoia Mtg Tr 0.7757% 5/20/34 Standard & Poors Rating: AA Moody's Rating: A2 81744FAZ0 Asset Minor Code 31 ACCOUNT 19-520722	58,221.180	57,113.81 98.0980	56,383.56	730.25 - 654.55	.00	0.79
Southern Copper Corp 3.500% 11/08/22 Standard & Poors Rating: BBB+ Moody's Rating: Baa2 84265VAF2 Asset Minor Code 28 ACCOUNT 19-520723	3,210,000.000	3,370,243.20 104.9920	3,282,225.00	88,018.20 59,352.90	44,627.92	3.33
Southwestern Energy 4.100% 3/15/22 Standard & Poors Rating: Bb- Moody's Rating: Ba3 845467AH2 Asset Minor Code 28 ACCOUNT 19-520723	6,425,000.000	6,344,687.50 98.7500	5,943,125.00	401,562.50 144,562.50	11,707.78	4.15
Springleaf Finance 6.125% 3/15/24 Standard & Poors Rating: Bb- Moody's Rating: Ba3 85172FAP4 Asset Minor Code 28 ACCOUNT 19-520723	5,639,000.000	5,906,852.50 104.7500	6,175,503.75	- 268,651.25 - 268,651.25	15,350.61	5.85
Sprint Nextel Corp 11.500% 11/15/21 Standard & Poors Rating: Bb Moody's Rating: B1 852061AM2 Asset Minor Code 28 ACCOUNT 19-520723	4,700,000.000	5,154,490.00 109.6700	5,280,000.00	- 125,510.00 - 125,510.00	204,188.89	10.49



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Sprint Corp 7.125% 6/15/24 Standard & Poors Rating: Bb Moody's Rating: B1 85207UAH8 Asset Minor Code 28 ACCOUNT 19-520723	780,000.000	897,475.80 115.0610	913,972.80	- 16,497.00 - 16,497.00	16,363.75	6.19
Structured 0.44922% 10/25/35 Standard & Poors Rating: AA+ Moody's Rating: Aaa 863579YU6 Asset Minor Code 31 ACCOUNT 19-520722	128,479.150	127,336.97 99.1110	126,391.36	945.61 - 2,677.75	20.38	0.45
Structured Asset 1.27425% 6/25/33 Standard & Poors Rating: AAA Moody's Rating: WR 86358EBW5 Asset Minor Code 31 ACCOUNT 19-520722	123,084.460	122,955.22 99.8950	121,007.42	1,947.80 - 1,704.82	27.56	1.28
Structured Asset 0.64726% 7/19/35 Standard & Poors Rating: AA+ Moody's Rating: WR 86359LPE3 Asset Minor Code 31 ACCOUNT 19-520722	172,228.140	161,930.62 94.0210	160,710.39	1,220.23 - 10,982.33	37.97	0.69
Structured Asset 0.40924% 3/25/37 Standard & Poors Rating: N/R Moody's Rating: WR 86363DAH4 Asset Minor Code 31 ACCOUNT 19-520722	107,400.710	52,064.64 48.4770	107,400.71	- 55,336.07 - 7,320.12	5.54	0.84
Sunoco Logistics 4.250% 10/01/24 Standard & Poors Rating: BBB- Moody's Rating: Baa3 86765BAN9 Asset Minor Code 28 ACCOUNT 19-520723	398,000.000	417,915.92 105.0040	422,086.96	- 4,171.04 - 4,171.04	8,457.50	4.05

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Synchrony Financial 3.750% 8/15/21 Standard & Poors Rating: BBB- Moody's Rating: N/A 87165BAC7 Asset Minor Code 28 ACCOUNT 19-520723	500,000.000	510,040.00 102.0080	510,000.00	40.00 40.00	2,395.83	3.68
Synchrony Financial 4.250% 8/15/24 Standard & Poors Rating: BBB- Moody's Rating: N/A 87165BAD5 Asset Minor Code 28 ACCOUNT 19-520723	2,074,000.000	2,253,712.10 108.6650	2,210,821.78	42,890.32 42,890.32	11,262.97	3.91
Tiaa Asset Mgmt Fin 4.125% 11/01/24 Standard & Poors Rating: A Moody's Rating: Baa1 87246YAC0 Asset Minor Code 28 ACCOUNT 19-520722	250,000.000	281,935.00 112.7740	250,172.50	31,762.50 12,107.50	4,296.88	3.66
T Mobile USA Inc 4.000% 4/15/22 Standard & Poors Rating: Bb Moody's Rating: Ba3 87264AAR6 Asset Minor Code 28 ACCOUNT 19-520723	5,585,000.000	5,752,605.85 103.0010	5,829,343.75	- 76,737.90 55.85	103,012.22	3.88
Targa Resources 4.250% 11/15/23 Standard & Poors Rating: Bb Moody's Rating: Ba3 87612BAP7 Asset Minor Code 28 ACCOUNT 19-520723	6,805,000.000	6,736,950.00 99.0000	6,724,450.00	12,500.00 - 127,593.75	109,258.06	4.29
Time Warner Cable 5.000% 2/01/20 Standard & Poors Rating: N/R Moody's Rating: WR 88732JAW8 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 132,463.50	.00	0.00

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Time Warner Cable 4.000% 9/01/21 Standard & Poors Rating: BBB- Moody's Rating: Ba1 88732JBA5 Asset Minor Code 28 ACCOUNT 19-520723	1,572,000.000	1,606,049.52 102.1660	1,612,966.32	- 6,916.80 - 6,916.80	5,240.00	3.92
Ubs Commercial 3.5042% 12/15/50 Standard & Poors Rating: N/A Moody's Rating: Aaa 90276UAU5 Asset Minor Code 31 ACCOUNT 19-520722	300,000.000	332,346.00 110.7820	308,999.97	23,346.03 15,249.00	905.25	3.16
United Continental 6.000% 12/01/20 Standard & Poors Rating: B Moody's Rating: Ba3 910047AG4 Asset Minor Code 28 ACCOUNT 19-520723	1,190,000.000	1,192,975.00 100.2500	1,237,600.00	- 44,625.00 - 34,510.00	23,800.00	5.99
Vendee Mortgage Trus 6.500% 3/15/29 Standard & Poors Rating: N/A Moody's Rating: N/A 911760LY0 Asset Minor Code 31 ACCOUNT 19-520722	113,517.910	130,899.77 115.3120	114,272.44	16,627.33 1,379.60	614.89	5.64
United Tech Corp 4.500% 4/15/20 Standard & Poors Rating: N/R Moody's Rating: WR 913017BR9 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0020	.00	.00 52,612.00	.00	0.00
Vmware Inc 2.300% 8/21/20 Standard & Poors Rating: N/R Moody's Rating: WR 928563AA3 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.1020	.00	.00 4,329.60	.00	0.00

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Volkswagen Group 2.400% 5/22/20 Standard & Poors Rating: N/R Moody's Rating: WR 928668AM4 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 - 57,322.20	.00	0.00
Volkswagen Group 3.875% 11/13/20 Standard & Poors Rating: N/R Moody's Rating: WR 928668AN2 Asset Minor Code 28 ACCOUNT 19-520722	300,000.000	301,158.00 100.3860	303,960.00	- 2,802.00 - 3,486.00	4,456.25	3.86
Wamu Mtg Pass Thro 0.75337% 10/25/45 Standard & Poors Rating: AA Moody's Rating: Baa2 92922F4M7 Asset Minor Code 31 ACCOUNT 19-520722	17,858.580	17,611.60 98.6170	17,861.79	- 250.19 82.22	3.09	0.76
Anthem Inc 4.350% 8/15/20 Standard & Poors Rating: N/R Moody's Rating: WR 94973VAS6 Asset Minor Code 28 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 236,856.20	.00	0.00
Wells Fargo Mtn 2.625% 7/22/22 Standard & Poors Rating: BBB+ Moody's Rating: A2 95000U2B8 Asset Minor Code 28 ACCOUNT 19-520722	300,000.000	311,040.00 103.6800	288,420.00	22,620.00 7,422.00	1,509.37	2.53
Western Gas 5.375% 6/01/21 Standard & Poors Rating: Bb Moody's Rating: Ba2 958254AA2 Asset Minor Code 28 ACCOUNT 19-520723	5,265,000.000	5,304,487.50 100.7500	5,309,325.00	- 4,837.50 - 4,837.50	94,331.25	5.33

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Wyndham Worldwide 3.900% 3/01/23 Standard & Poors Rating: Bb- Moody's Rating: Ba3 98310WAL2 Asset Minor Code 28 ACCOUNT 19-520723	6,950,000.000	6,811,000.00 98.0000	6,771,250.00	39,750.00 - 243,250.00	22,587.50	3.98
Xerox Corp 4.500% 5/15/21 Standard & Poors Rating: Bb Moody's Rating: Ba1 984121CD3 Asset Minor Code 28 ACCOUNT 19-520723	3,130,000.000	3,168,968.50 101.2450	3,176,950.00	- 7,981.50 - 39,281.50	53,210.00	4.44
Yum Brands Inc 3.875% 11/01/23 Standard & Poors Rating: B+ Moody's Rating: B1 988498AJ0 Asset Minor Code 28 ACCOUNT 19-520723	2,570,000.000	2,659,950.00 103.5000	2,595,700.00	64,250.00 64,250.00	41,494.79	3.74
Zf Na Capital 4.500% 4/29/22 Standard & Poors Rating: Bb+ Moody's Rating: Ba1 98877DAB1 Asset Minor Code 28 ACCOUNT 19-520723	5,710,000.000	5,838,475.00 102.2500	6,056,712.50	- 218,237.50 - 57,556.80	108,490.00	4.40
Total Corporate Issues	300,872,645.380	308,663,325.96	308,757,834.08	- 94,508.12 1,384,227.66	3,254,499.77	4.35
Foreign Issues						
Saudi Mtn 4.500% 4/17/30 BFNRZX0 Asset Minor Code 34 ACCOUNT 19-520722	300,000.000	358,500.00 119.5000	298,296.00	60,204.00 67,710.00	6,150.00	3.77

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Actavis Funding Scs 3.000% 3/12/20 Standard & Poors Rating: N/R Moody's Rating: WR 00507UAP6 Asset Minor Code 35 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 2,680.95	.00	0.00
Aercap Ireland Cap 4.500% 5/15/21 Standard & Poors Rating: BBB Moody's Rating: Baa3 00772BAF8 Asset Minor Code 35 ACCOUNT 19-520723	4,180,000.000	4,259,629.00 101.9050	4,458,365.90	- 198,736.90 - 57,809.40	71,060.00	4.42
Aercap Ireland Cap 5.000% 10/01/21 Standard & Poors Rating: BBB Moody's Rating: Baa3 00772BAM3 Asset Minor Code 35 ACCOUNT 19-520723	1,555,000.000	1,602,785.15 103.0730	1,694,138.70	- 91,353.55 - 27,243.60	38,875.00	4.85
Aircastle Ltd 7.625% 4/15/20 Standard & Poors Rating: N/R Moody's Rating: WR 00928QAG6 Asset Minor Code 35 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 105,461.50	.00	0.00
Aircastle Ltd 5.125% 3/15/21 Standard & Poors Rating: BBB- Moody's Rating: Baa3 00928QAM3 Asset Minor Code 35 ACCOUNT 19-520723	4,185,000.000	4,246,142.85 101.4610	4,498,037.50	- 251,894.65 - 78,594.30	9,532.50	5.05
Aircastle Ltd 4.400% 9/25/23 Standard & Poors Rating: BBB- Moody's Rating: Baa3 00928QAR2 Asset Minor Code 35 ACCOUNT 19-520723	1,235,000.000	1,221,723.75 98.9250	1,225,379.35	- 3,655.60 - 87,647.95	905.67	4.45

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America Movil Sab De 5.000% 3/30/20 Standard & Poors Rating: N/R Moody's Rating: WR 02364WAV7 Asset Minor Code 35 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 19,951.68	.00	0.00
Astrazeneca Plc 2.375% 11/16/20 Standard & Poors Rating: N/R Moody's Rating: WR 046353AK4 Asset Minor Code 35 ACCOUNT 19-520723	5,780,000.000	5,794,507.80 100.2510	5,824,284.40	- 29,776.60 - 29,776.60	51,478.13	2.37
Bnp Paribas Mtn 5.000% 1/15/21 Standard & Poors Rating: A+ Moody's Rating: Aa3 05567LT31 Asset Minor Code 35 ACCOUNT 19-520723	5,497,000.000	5,571,044.59 101.3470	5,860,241.76	- 289,197.17 - 108,895.57	58,023.89	4.93
Barclays Plc 2.875% 6/08/20 Standard & Poors Rating: N/R Moody's Rating: WR 06738EAG0 Asset Minor Code 35 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 - 1,828.95	.00	0.00
Barclays Plc 3.200% 8/10/21 Standard & Poors Rating: BBB Moody's Rating: Baa2 06738EAQ8 Asset Minor Code 35 ACCOUNT 19-520722	400,000.000	409,224.00 102.3060	399,744.00	9,480.00 4,236.00	1,813.33	3.13
Barclays Inc 1.67858% 5/16/24 Standard & Poors Rating: BBB Moody's Rating: Baa2 06738EBC8 Asset Minor Code 35 ACCOUNT 19-520722	200,000.000	200,544.00 100.2720	200,000.00	544.00 - 914.00	446.31	1.67

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Barclays Bk Plc 5.140% 10/14/20 Standard & Poors Rating: N/R Moody's Rating: WR 06739GBP3 Asset Minor Code 35 ACCOUNT 19-520723	4,065,000.000	4,070,731.65 100.1410	4,373,005.05	- 302,273.40 - 88,535.70	96,925.41	5.13
Braskem Finance 6.450% 2/03/24 Standard & Poors Rating: Bb+ Moody's Rating: Ba1 10553YAF2 Asset Minor Code 35 ACCOUNT 19-520723	5,735,000.000	6,122,112.50 106.7500	6,124,980.00	- 2,867.50 - 2,867.50	59,596.21	6.04
Commerzbank Ag 8.125% 9/19/23 Standard & Poors Rating: Bb+ Moody's Rating: Baa3 20259BAA9 Asset Minor Code 35 ACCOUNT 19-520723	4,585,000.000	5,203,883.30 113.4980	5,031,808.25	172,075.05 172,075.05	12,417.71	7.16
Corporacion Geo Sa Dflt 3/27/22 Standard & Poors Rating: N/R Moody's Rating: WR 21986VAC9 Asset Minor Code 35 ACCOUNT 19-520723	200,000.000	20.00 .0010	200,000.00	- 199,980.00 .00	.00	0.10
Credit Suisse 1.59226% 6/12/24 Standard & Poors Rating: BBB+ Moody's Rating: Baa2 225401AH1 Asset Minor Code 35 ACCOUNT 19-520722	500,000.000	504,075.00 100.8150	500,000.00	4,075.00 - 1,915.00	420.18	1.58
Cred Suis Gp Fun Ltd 2.750% 3/26/20 Standard & Poors Rating: N/R Moody's Rating: WR 225433AD3 Asset Minor Code 35 ACCOUNT 19-520723	.000	.00 100.0000	.00	.00 27,526.40	.00	0.00



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Cred Suis Gp Fun Ltd 3.450% 4/16/21 Standard & Poors Rating: BBB+ Moody's Rating: Baa2 225433AM3 Asset Minor Code 35 ACCOUNT 19-520723	2,030,000.000	2,064,002.50 101.6750	2,087,276.60	- 23,274.10 - 162.40	31,710.29	3.39
Danske Bank A S 1.37838% 9/12/23 Standard & Poors Rating: BBB+ Moody's Rating: Baa3 23636AAQ4 Asset Minor Code 35 ACCOUNT 19-520722	300,000.000	297,645.00 99.2150	300,000.00	- 2,355.00 - 684.00	218.24	1.39
Enel Finance Intl Nv 4.250% 9/14/23 Standard & Poors Rating: BBB+ Moody's Rating: Baa2 29278GAH1 Asset Minor Code 35 ACCOUNT 19-520723	6,625,000.000	7,250,863.75 109.4470	6,783,271.25	467,592.50 242,143.75	13,296.01	3.88
Fmg Resources Aug 5.125% 3/15/23 Standard & Poors Rating: Bb+ Moody's Rating: Ba1 30251GAY3 Asset Minor Code 35 ACCOUNT 19-520723	4,945,000.000	5,160,107.50 104.3500	5,100,712.50	59,395.00 59,395.00	11,263.61	4.91
Hsbc Hldg 1.734% 1/05/22 Standard & Poors Rating: A- Moody's Rating: A2 404280BG3 Asset Minor Code 35 ACCOUNT 19-520722	.000	.00 101.3750	.00	.00 - 204,063.00	.00	0.00
Hsbc Holdings Plc 2.29175% 5/18/21 Standard & Poors Rating: N/R Moody's Rating: WR 404280BQ1 Asset Minor Code 35 ACCOUNT 19-520722	.000	.00 100.0000	.00	.00 - 200,245.00	.00	0.00

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Ing Bank Nv 5.800% 9/25/23 Standard & Poors Rating: BBB+ Moody's Rating: Baa2 449786AY8 Asset Minor Code 35 ACCOUNT 19-520723	1,875,000.000	2,105,081.25 112.2710	1,998,281.25	106,800.00 26,737.50	1,812.50	5.17
Intesa Sanpaolo 6.500% 2/24/21 Standard & Poors Rating: BBB Moody's Rating: Baa1 46115HAD9 Asset Minor Code 35 ACCOUNT 19-520723	1,195,000.000	1,219,772.35 102.0730	1,252,861.90	- 33,089.55 - 29,420.90	7,983.26	6.37
Intesa Sanpaolo Spa 5.017% 6/26/24 Standard & Poors Rating: Bb+ Moody's Rating: Ba1 46115HAT4 Asset Minor Code 35 ACCOUNT 19-520723	4,700,000.000	4,939,371.00 105.0930	4,990,677.80	- 51,306.80 - 51,306.80	62,224.74	4.77
Nxp Bv Nxp Funding 4.125% 6/01/21 Standard & Poors Rating: BBB Moody's Rating: WR 62947QAU2 Asset Minor Code 35 ACCOUNT 19-520723	.000	.00 102.2990	.00	.00 - 19,153.00	.00	0.00
Nationwide Bldg Mtn 3.766% 3/08/24 Standard & Poors Rating: BBB+ Moody's Rating: Baa2 63861VAA5 Asset Minor Code 35 ACCOUNT 19-520722	500,000.000	531,330.00 106.2660	491,331.00	39,999.00 14,310.00	1,203.03	3.54
Nationwide Bldg Mtn 4.363% 8/01/24 Standard & Poors Rating: BBB+ Moody's Rating: Baa2 63861VAC1 Asset Minor Code 35 ACCOUNT 19-520722	200,000.000	216,842.00 108.4210	200,000.00	16,842.00 5,576.00	1,454.33	4.02

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SOUND RETIREMENT TR - CONSOLIDATED
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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	BOOK VALUE	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ENDING ACCRUAL	YIELD ON MARKET
Park Aerospace 5.250% 8/15/22 Standard & Poors Rating: BBB- Moody's Rating: Baa3 70014LAA8 Asset Minor Code 35 ACCOUNT 19-520723	5,660,000.000	5,682,923.00 100.4050	6,002,075.00	- 319,152.00 - 328,563.00	37,969.17	5.23
Pernod Ricard Sa 4.450% 1/15/22 Standard & Poors Rating: BBB+ Moody's Rating: WR 714264AD0 Asset Minor Code 35 ACCOUNT 19-520723	1,700,000.000	1,784,218.00 104.9540	1,777,911.00	6,307.00 6,307.00	15,970.56	4.24
Quebec Province 3.500% 7/29/20 Standard & Poors Rating: N/R Moody's Rating: WR 748148RU9 Asset Minor Code 34 ACCOUNT 19-520722	.000	.00 100.0000	.00	.00 19,194.00	.00	0.00
Royal Bk Scotlnd Mtn 6.100% 6/10/23 Standard & Poors Rating: Bb+ Moody's Rating: Baa3 780097AY7 Asset Minor Code 35 ACCOUNT 19-520723	3,310,000.000	3,660,198.00 110.5800	3,439,090.00	221,108.00 33,861.30	62,255.58	5.52
Royal Bk Scotlnd 1.84688% 6/25/24 Standard & Poors Rating: BBB Moody's Rating: Baa2 780097BH3 Asset Minor Code 35 ACCOUNT 19-520722	200,000.000	200,920.00 100.4600	200,000.00	920.00 - 980.00	61.56	1.84
Royal Caribbean 5.250% 11/15/22 Standard & Poors Rating: B+ Moody's Rating: B2 780153AU6 Asset Minor Code 35 ACCOUNT 19-520723	5,455,000.000	4,874,042.50 89.3500	6,055,050.00	- 1,181,007.50 - 1,038,359.25	108,190.83	5.88



ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	BOOK VALUE	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ENDING ACCRUAL	YIELD ON MARKET
Santander Uk Group 2.875% 8/05/21 Standard & Poors Rating: BBB Moody's Rating: Baa1 80281LAE5 Asset Minor Code 35 ACCOUNT 19-520723	1,530,000.000	1,560,768.30 102.0110	1,542,240.00	18,528.30 16,508.70	6,842.50	2.82
Santander Uk Group 4.796% 11/15/24 Standard & Poors Rating: BBB Moody's Rating: Baa1 80281LAJ4 Asset Minor Code 35 ACCOUNT 19-520722	300,000.000	330,600.00 110.2000	300,000.00	30,600.00 7,788.00	5,435.47	4.35
Sensata Tech Bv 4.875% 10/15/23 Standard & Poors Rating: Bb+ Moody's Rating: Ba3 81725WAG8 Asset Minor Code 35 ACCOUNT 19-520723	5,135,000.000	5,417,425.00 105.5000	5,224,862.50	192,562.50 192,562.50	115,430.52	4.62
Seven Generations 6.750% 5/01/23 Standard & Poors Rating: Bb- Moody's Rating: Ba3 81783QAB1 Asset Minor Code 33 ACCOUNT 19-520723	2,457,000.000	2,399,407.92 97.6560	2,530,710.00	- 131,302.08 - 128,230.83	69,103.13	6.91
Shire Acq Inv 2.400% 9/23/21 Standard & Poors Rating: BBB+ Moody's Rating: Baa2 82481LAB5 Asset Minor Code 35 ACCOUNT 19-520723	1,381,000.000	1,405,954.67 101.8070	1,382,726.25	23,228.42 6,502.37	736.53	2.36
Telecom Italia Spa 5.303% 5/30/24 Standard & Poors Rating: Bb+ Moody's Rating: Ba1 87927YAA0 Asset Minor Code 35 ACCOUNT 19-520723	3,905,000.000	4,227,162.50 108.2500	4,344,312.50	- 117,150.00 - 117,150.00	69,602.61	4.90

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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	BOOK VALUE	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ENDING ACCRUAL	YIELD ON MARKET
Buoni Poliennali 1.400% 5/26/25 BMGB0W2 Asset Minor Code 30 ACCOUNT 19-520722	7,196,746.000	8,813,127.38 122.4599	8,194,111.09	619,016.29 619,016.29	42,113.90	1.36
France Govt Of 0.100% 3/01/26 BMY72S2 Asset Minor Code 30 ACCOUNT 19-520722	2,698,077.000	3,334,435.65 123.5856	3,411,912.14	- 77,476.49 - 77,476.49	1,870.06	0.10
France 0.250% 7/25/24 B9JBH16 Asset Minor Code 30 ACCOUNT 19-520722	1,919,160.000	2,361,003.70 123.0228	2,380,413.08	- 19,409.38 - 19,409.38	1,057.39	0.24

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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	BOOK VALUE	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ENDING ACCRUAL	YIELD ON MARKET
Teva Pharm Fin 2.950% 12/18/22 Standard & Poors Rating: Bb- Moody's Rating: Ba2 88165FAG7 Asset Minor Code 35 ACCOUNT 19-520723	7,195,000.000	6,994,475.35 97.2130	6,709,337.50	285,137.85 159,225.35	60,727.80	3.03
Unicredit Spa 7.830% 12/04/23 Standard & Poors Rating: BBB- Moody's Rating: Baa2 904678AG4 Asset Minor Code 35 ACCOUNT 19-520722	1,400,000.000	1,639,092.00 117.0780	1,400,000.00	239,092.00 4,606.00	35,626.50	6.69
Unicredit Spa 6.572% 1/14/22 Standard & Poors Rating: BBB- Moody's Rating: Baa2 904678AJ8 Asset Minor Code 35 ACCOUNT 19-520723	2,943,000.000	3,123,170.46 106.1220	3,136,973.13	- 13,802.67 - 13,802.67	41,369.10	6.19
Vale Overseas 4.375% 1/11/22 Standard & Poors Rating: N/R Moody's Rating: WR 91911TAM5 Asset Minor Code 35 ACCOUNT 19-520723	.000	.00 104.7510	.00	.00 - 24,637.50	.00	0.00
Videotron Ltd 5.000% 7/15/22 Standard & Poors Rating: Bb+ Moody's Rating: Ba1 92658TAQ1 Asset Minor Code 35 ACCOUNT 19-520723	974,000.000	1,016,612.50 104.3750	1,008,090.00	8,522.50 8,522.50	10,281.11	4.79
Wpp Finance 2010 3.625% 9/07/22 Standard & Poors Rating: BBB Moody's Rating: Baa2 92936MAC1 Asset Minor Code 35 ACCOUNT 19-520723	1,203,000.000	1,262,584.59 104.9530	1,211,300.70	51,283.89 51,283.89	2,907.25	3.45

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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	BOOK VALUE	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ENDING ACCRUAL	YIELD ON MARKET
Willis Grp Hldgs 5.750% 3/15/21 Standard & Poors Rating: BBB Moody's Rating: Baa3 97063PAB0 Asset Minor Code 35 ACCOUNT 19-520723	330,000.000	337,372.20 102.2340	366,088.80	- 28,716.60 - 7,306.20	843.33	5.62
Total Foreign Issues	105,865,000.000	123,775,432.93	124,509,896.59	- 1,256,594.66 - 1,395,927.68	1,182,163.90	4.75

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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	BOOK VALUE	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ENDING ACCRUAL	YIELD ON MARKET
Domestic Preferred Stocks						
Esc Lehman Brth Hld 525ESCB16 Asset Minor Code 91 ACCOUNT 19-520727	4,500.000	.00 .0000	2,339,786.02	- 2,339,786.02 - 2,339,785.02	.00	0.00
Lehman Brothers Inc 525ESC810 Asset Minor Code 91 ACCOUNT 19-520727	4,400.000	.00 .0000	2,200,947.50	- 2,200,947.50 - 2,200,946.50	.00	0.00

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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	BOOK VALUE	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ENDING ACCRUAL	YIELD ON MARKET
Icg Funding LLC Dflt 44924Q400 Asset Minor Code 47 Date Last Priced: 09/23/20 ACCOUNT 19-520727	205,000.000	.00 .0000 @	2,916,250.00	- 2,916,250.00 - 205,000.00	.00	0.00
Total Domestic Preferred Stocks	213,900.000	.00	7,456,983.52	- 7,456,983.52 - 213,900.00	.00	0.00

Attachment to: 2019 Schedule MB (Form 5500), Line 8b(2)
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

Schedule MB, Line 8b(2) – Schedule of Active Participant Data

DISTRIBUTION OF ACTIVE PARTICIPANTS BY AGE AND (FOR 2019 SCHEDULE MB)											
Age Group	Years Of Credited Service										
	< 1	1 - 4	5 - 9	10 - 14	15 - 19	20 - 24	25 - 29	30 - 34	35 - 39	40 +	Total
Under 25	1,592	5,856	403	0	0	0	0	0	0	0	7,851
25 - 29	366	2,898	1,419	248	0	0	0	0	0	0	4,931
30 - 34	235	1,764	971	909	171	1	0	0	0	0	4,051
35 - 39	168	1,212	553	601	553	165	0	0	0	0	3,252
40 - 44	143	943	431	412	352	414	138	0	0	0	2,833
45 - 49	105	859	388	364	360	363	362	179	1	0	2,981
50 - 54	109	895	423	441	384	360	293	351	110	0	3,366
55 - 59	121	950	457	470	448	469	349	286	197	86	3,833
60 - 64	80	718	388	346	383	370	258	191	91	154	2,979
65 - 69	33	307	192	193	151	123	77	53	23	63	1,215
70 and Over	27	174	55	37	18	16	7	4	2	4	344
Unknown	26	392	0	0	0	0	0	0	0	0	418
Total	3,005	16,968	5,680	4,021	2,820	2,281	1,484	1,064	424	307	38,054

Attachment to: 2019 Schedule MB (Form 5500), Line 4b
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

Schedule MB, Line 4b – Illustration Supporting Actuarial Certification of Status

Please see the attached copy of the PPA Certification letter dated December 29, 2019 showing the plan is in Critical status for this plan year due to the Plan being certified in Critical status for the immediately preceding plan year and the plan is projected to have an accumulated funding deficiency as of October 1, 2026.

Note: This PPA Certification is the Prior year certification.

Attachment to: 2019 Schedule MB (Form 5500), Line 4b
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

FOR PLAN YEAR COMMENCING OCTOBER 1, 2019

**ANNUAL CERTIFICATION OF PLAN STATUS UNDER SECTION 432(b) OF THE
INTERNAL REVENUE CODE, (SEC. 305(b) OF THE EMPLOYEE RETIREMENT
INCOME SECURITY ACT OF 1974)**

FOR

SOUND RETIREMENT TRUST

**EIN: 91-6069306
PN: 001**

Plan Year 10/1/2019

**Fund Contact
Board of Trustees, Sound Retirement Trust
c/o Zenith Administrators
11724 NE 195th Street, Suite 300
Bothell, WA 98011-3145
(206) 282-4100 or (800) 426-5980**

December 29, 2019



Attachment to: 2019 Schedule MB (Form 5500), Line 4b
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001



Classic Values, Innovative Advice

Board of Trustees of the
Sound Retirement Trust
c/o Zenith Administrators
11724 NE 195th Street, Suite 300
Bothell, Washington 98011-3145

December 29, 2019
EIN: 91-6069306
PN: 001
Tel: (206) 282-4100

Re: Annual Certification of Plan Status under Internal Revenue Code §432(b) and Employee Retirement Income Security Act of 1974 §305(b) for the Sound Retirement Trust

Dear Board of Trustees:

CERTIFICATION

As required by Section 432(b)(3) of the Internal Revenue Code ("Code") and Section 305(b)(3) of the Employee Retirement Income Security Act of 1974 ("ERISA"), we certify, for the plan year beginning October 1, 2019, that the Trust is in critical status as that term is defined in Section 432(b) of the Code and Section 305(b) of ERISA as amended by the Multiemployer Pension Reform Act of 2014. In addition, the Trust is not in critical and declining status.

This certification is complete and has been prepared in accordance with the requirements of Section 432 of the Code and Section 305 of ERISA, and generally recognized and accepted actuarial principles and practices and our understanding of the Code of Professional Conduct and applicable Actuarial Standards of Practice set out by the Actuarial Standards Board as well as applicable laws and regulations. Furthermore, as credentialed actuaries, we meet the Qualification Standards of the American Academy of Actuaries to render the opinion contained herein. This certification does not address any contractual or legal issues. We are not attorneys, and our firms do not provide any legal services or advice.

The Rehabilitation Period began on October 1, 2013 and ends September 30, 2023. As shown in Appendix III, the Trust is certified as making scheduled progress in meeting the requirements of its Rehabilitation Plan.

This certification was prepared solely for the Board of Trustees and the Secretary of Treasury. It only certifies the condition of the Trust under Code Section 432 as added by the Pension Protection Act of 2006 and amended by the Multiemployer Pension Reform Act of 2014 and should be used only for that purpose. Other users of this certification are not intended users as defined in the Actuarial Standards of Practice, and Cheiron and Rael & Letson assume no duty or liability to any other users.

In preparing this certification, we have relied on information supplied by the Board of Trustees and the Trust administrator. This information includes, but is not limited to, plan provisions, employee data, financial information, and expectations of future industry activity including the Board's expectations of generally level contributory hours. We performed an informal examination of the obvious characteristics of the data for reasonableness and consistency in

Attachment to: 2019 Schedule MB (Form 5500), Line 4b
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

Board of Trustees
December 29, 2019
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accordance with Actuarial Standards of Practice No. 23. The material presented is based on the same fund provisions, actuarial assumptions, and data used in preparing the October 1, 2018 Actuarial Valuation of the Fund, unless otherwise noted.

Future certifications may differ significantly from the current results presented in this certification due to such factors as the following: plan experience differing from that anticipated by the assumptions; changes in assumptions; and, changes in plan provisions or applicable law.

The attached appendices show the results for the statutory tests and describe the methodologies and assumptions used to perform the tests. Please contact the undersigned with any questions.

Sincerely,



Fiona Liston, FSA, MAAA, EA
Enrolled Actuary (No. 17-04267)
Cheiron



Paul Graf, ASA, MAAA, EA
Enrolled Actuary (No. 17-05627)
Rael & Letson

Attachments: Appendix I: Tests of Fund Status
Appendix II: Detail for Actuarial Certification
Appendix III: Scheduled Progress
Appendix IV: Methodology and Assumptions

cc: Secretary of the Treasury



Attachment to: 2019 Schedule MB (Form 5500), Line 4b
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

APPENDIX I – TESTS OF FUND STATUS

Critical Status – The Trust will be certified as critical if it meets both of the following tests: **Condition Met?**

- | | | |
|---|--|---|
| 1 | The Trust was in critical status for the immediately preceding plan year. | <div style="border: 1px solid black; padding: 5px; width: 100px; margin: 0 auto;">YES</div> |
| 2 | The Trust is projected to have an accumulated funding deficiency for the plan year or any of the 9 succeeding plan years, without regard to the use of the shortfall funding method but taking into account any extensions of the amortization periods under section 431(d) of the Code. | <div style="border: 1px solid black; padding: 5px; width: 100px; margin: 0 auto;">YES</div> |

Critical and Declining Status – The Trust will be certified as critical and declining if it meets test 3.

- | | | |
|---|---|--|
| 3 | The Trust is in critical status and projected to become insolvent within the current or the next 14 (19 if the Fund's number of inactives is more than twice the number of actives or if the funding percentage is below 80%) plan years. | <div style="border: 1px solid black; padding: 5px; width: 100px; margin: 0 auto;">NO</div> |
|---|---|--|

The Fund is certified to be in critical status for 2019. In addition, the Fund is not in critical and declining status.

Attachment to: 2019 Schedule MB (Form 5500), Line 4b
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

APPENDIX II – DETAIL FOR ACTUARIAL CERTIFICATION

A. PROJECTIONS

1. Funding Standard Account Credit Balance (used in Test 2)

<u>Date</u>	<u>Credit Balance</u>	adjusted with interest to end of year		
		<u>Charges</u>	<u>Credits</u>	<u>Contributions</u>
10/1/2018	\$ 217,791,865	\$ 220,559,885	\$ 64,549,397	\$ 89,363,096
10/1/2019	165,300,944	221,207,658	54,849,060	203,713,975
10/1/2020	213,400,882	228,970,137	54,849,060	110,554,792
10/1/2021	163,705,654	226,804,713	54,818,081	118,219,219
10/1/2022	120,579,109	216,927,560	54,670,650	121,395,790
10/1/2023	87,555,631	212,786,019	46,337,524	121,395,790
10/1/2024	48,194,041	205,122,769	45,378,001	121,395,790
10/1/2025	12,977,676	203,015,952	22,504,855	121,356,143
10/1/2026	(45,333,730)			

The projected funding standard account is based on the methods and assumptions set out in Appendix IV. In addition, the projection of future contributions is based on the Trustees' estimate of future industry activity multiplied by the contribution rates contained in the current collective bargaining agreements under which the Trust is maintained. The 2019 Rehabilitation Plan includes provision for additional contributions to be made for a period of time by those who participate in both the Sound Retirement Trust and the Sound Health and Wellness Trust. The bargaining parties implemented the re-direction of \$100 million which is included for certification purposes.

2. Funding Standard Account Credit Balance (used in Scheduled Progress)

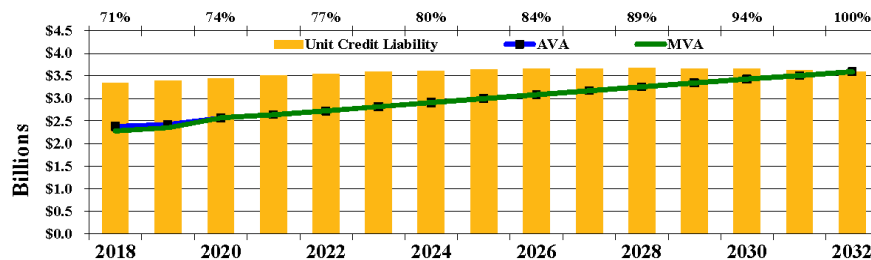
<u>Date</u>	<u>Credit Balance</u>	adjusted with interest to end of year		
		<u>Charges</u>	<u>Credits</u>	<u>Contributions</u>
10/1/2018	\$ 217,791,865	\$ 220,559,885	\$ 64,549,397	\$ 89,363,096
10/1/2019	165,300,944	221,207,658	54,849,060	260,992,295
10/1/2020	270,679,201	228,970,137	54,849,060	113,286,232
10/1/2021	227,438,505	226,804,713	54,818,081	124,416,132
10/1/2022	194,651,507	216,927,560	54,670,650	136,062,863
10/1/2023	181,109,808	212,786,020	46,337,524	141,985,017
10/1/2024	168,418,467	205,122,770	45,378,001	143,873,147
10/1/2025	163,494,046	203,015,952	22,504,855	145,721,629
10/1/2026	139,331,690	201,933,120	14,850,461	147,604,266
10/1/2027	108,909,857	200,630,949	14,597,425	149,492,396
10/1/2028	79,447,869	188,455,670	13,315,612	151,379,009
10/1/2029	60,850,931	166,635,971	11,852,633	151,778,745
10/1/2030	61,801,648	149,618,658	11,692,136	151,776,461
10/1/2031	79,668,694	129,587,067	4,183,476	151,775,932
10/1/2032	111,219,500	101,248,109	1,027,209	151,775,932
10/1/2033	170,003,799	69,459,409	940,467	151,767,618
10/1/2034	264,302,722	75,215,689	671,942	151,737,802
10/1/2035	358,676,454	79,320,831	671,942	151,723,177
10/1/2036	455,064,711			

Attachment to: 2019 Schedule MB (Form 5500), Line 4b
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
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APPENDIX II – DETAIL FOR ACTUARIAL CERTIFICATION

The projected funding standard account is based on the methods and assumptions set out in Appendix IV. In addition, the projection of future contributions is based on the Trustees' estimate of future industry activity and the assumption that all of the projected contribution increases in the Rehabilitation Plan will be reflected in future bargaining agreements. In the projections for testing the sufficiency of the Rehabilitation Plan, the full \$155 million re-direction from the Health and Welfare Trust contributions is assumed to occur in the plan year ending 2020.

3. Solvency Projection (used in Test 3)



Projected benefit payments and contributions reflect projected changes in industry activity provided by the Trustees and the assumption that all of the projected contribution increases in the Rehabilitation Plan will be reflected in future bargaining agreements. The projections use the assumptions set out in Appendix IV.

Given the assumed rate of return of 6.50%, the projected investment earnings and contributions are expected to be greater than the projected benefits and expenses for the foreseeable future.

B. OTHER INFORMATION

1. Prior Year Status (used in Test 1)

For the plan year beginning October 1, 2018, the Trust was certified as being in critical status as that term is defined in Section 432(b) of the Code and Section 305(b) of ERISA.

2. Support Ratio and Funding Level (used in Test 3)

As of the October 1, 2018 valuation, there were 38,306 actives and 61,330 inactive or a support ratio of 1.60. Also, the estimated funding level as of October 1, 2019 was 71.33%. This means insolvency would have to occur within the next 19 years for the Trust to be in critical and declining status.

Attachment to: 2019 Schedule MB (Form 5500), Line 4b
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

APPENDIX III – SCHEDULED PROGRESS

On December 16, 2010, the Trust was certified as being in critical status for the plan year beginning October 1, 2010. A Rehabilitation Plan was originally adopted on December 14, 2010. The Rehabilitation Period for the Trust is the 10-year period beginning October 1, 2013 and ending September 30, 2023.

To meet scheduled progress, the Trust must still be projected to emerge from critical status by the end of the Rehabilitation Period. The tests below demonstrate that the Trust is projected to satisfy the critical status emergence criteria at the end of the Rehabilitation Period.

Rehabilitation Period Began	October 1, 2013
Rehabilitation Period Ends	September 30, 2023

Emergence Test:	<u>Test Result</u>
-----------------	--------------------

1. The Plan is projected to avoid an accumulated funding deficiency in the plan year and for the nine succeeding plan years following the end of the Rehabilitation Period.	<i>Passed</i> <i>(see Appendix II, A.2)</i>
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2. The Plan is not projected to become insolvent for any of the 30 succeeding plan years starting with 2023/24.	<i>Passed</i>
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Is the Plan making scheduled progress?	<i>Yes</i>
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Attachment to: 2019 Schedule MB (Form 5500), Line 4b
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

APPENDIX IV – METHODOLOGY AND ASSUMPTIONS

Actuarial Assumptions

A. Mortality:

<i>Males</i>	RPH-2014 Healthy Annuitant Mortality Table for Males with Blue Collar adjustments, backed down to 2006, then projected to 2015 valuation date using the MP-2015 projection scale, and projected fully generationally using 75% of the ultimate rates of MP-2015 from 2015.
<i>Females</i>	RPH-2014 Healthy Annuitant Mortality Table for Females with Blue Collar adjustments, backed down to 2006, then projected to 2015 valuation date using the MP-2015 projection scale, and projected fully generationally using 75% of the ultimate rates of MP-2015 from 2015.
<i>Disableds</i>	RPH-2014 Disabled Annuitant Mortality Table for Males and Females, backed down to 2006, then projected to 2015 valuation date using the MP-2015 projection scale, and projected fully generationally using 75% of the ultimate rates of MP-2015 from 2015.

The mortality tables listed above projected to the measurement date are the best estimate of mortality. The projection past the measurement date represents the provision for mortality improvement.

<i>RPA 94 Current Liability</i>	RP-2014 Mortality Tables (static, separate for annuitants and non-annuitants), projected forward to the valuation year plus seven years for annuitants and 15 years for non-annuitants, as prescribed by IRS regulations.
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B. Termination:

Termination of Retail Clerks and Retail Drug employees from participation in the plan are discounted in accordance with a scale based on service. The following termination rates are illustrative.

Years of Service	Rate of Termination
1	35.0%
5	16.0
10	10.0
15	6.5
20	5.0

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Termination of Washington Meat employees from participation in the plan is anticipated in accordance with a scale based on age. The following termination rates are illustrative.

Age	Cutters & Wrappers	Service Counter
20	11.94%	6.88%
25	11.62	4.90
30	11.21	3.76
35	10.55	2.90
40	9.40	2.18
45	7.54	1.48
50	4.83	0.73
55	1.73	0.00
60	0.16	0.00

Withdrawal rates shown are ultimate rates. The five-year select rates for Cutter and Wrapper participants are increased by 10 percentage points per year. The five-year select rates for Service Counter participants are increased by 3.5 percentage points. Withdrawal rates do not apply at or beyond the first assumed retirement age.

C. Disability:

Disability retirements for Retail Clerks and Retail Drug employees are anticipated in accordance with a scale based on age. The following disability rates are illustrative.

Age	Incidence of Disability
25	0.01%
35	0.01
45	0.05
55	0.21

Disability retirements for Washington Meat employees are anticipated in accordance with a scale based on age. The following disability rates are illustrative.

Age	Incidence of Disability
20	0.06%
25	0.09
30	0.11
35	0.15
40	0.22
45	0.36
50	0.61
55	1.01
60	1.63

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D. Investment Return:

6.50% per annum for funding, compounded annually and net of investment related expenses.

E. Retirement:

For Retail Clerks and Retail Drug employees:

Age	Rate of Retirement
55	6.5%
56-59	5.5
60	7.5
61	12.0
62	17.0
63	14.0
64	17.5
65	30.0
66	27.5
67-69	20.0
70-74	60.0
75 & older	100.0

For Washington Meat employees who are eligible for Rule of 85 Pension* :

Age	Rate of Retirement
50-56	35.0%
57-62	25.0

For Washington Meat employees who are not eligible for Rule of 85 Pension* :

Age	Rate of Retirement
55-60	8.0%
61-64	15.0
65 & older	100.0

* Rule of 85 rates apply only to active participants who (a) are within 10 years of eligibility for Rule of 85 and (b) had at least 16 years of service as of July 1, 2011.

Inactive vested members are assumed to retire at age 65, except for pre-merger Washington Meat benefits are assumed to commence at age 60.

F. Restoration of Early Retirement Subsidy

Participants who have incurred a one-year break in service in a plan year that begins on or after October 1, 2010 lose the ability to take early retirement on accrued benefits earned prior

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to the break in service. Participants are able to earn back the ability to take early retirement (using the modified factors) by returning to covered employment and earning 750 or more hours in covered employment for one year. Participants are able to earn back the use of the standard factors by returning to covered employment and earning 750 or more hours in covered employment in each of five consecutive plan years. It is assumed that 7.5% of current and future terminated vested participants will restore their early retirement subsidies prior to retirement. For Washington Meat employees, the subsidies are lost and restored on only benefits earned after the merger.

G. Late Retirement Behavior:

Active participants working beyond age 65 and terminated vested Retail Drug employees who have not accrued a benefit after October 1, 2008 are valued as receiving an actuarial increase in their retirement benefit.

All other terminated vested participants who are older than age 65 are assumed to elect retroactive retirement and to receive a lump sum of all missed payments, together with 5% interest. In addition, terminated vested participants who are older than age 90 are assumed to have zero liability.

For active and inactive Washington Meat employees, only the normal retirement benefit is valued as an implicit assumption that not all participants will claim their benefits.

H. Cost-of-Living Increases:

Cost-of-living increases of 2% per year are anticipated after benefit payments commence. This applies only to benefits earned under the Retail Clerks plan prior to September 1, 2003 and to participants who were not classified as an active participant in the 2010 Rehabilitation Plan or who have not incurred a one-year break in service in a plan year that begins on or after October 1, 2010. This benefit is no longer valued for active participants who retire on or after the later of January 1, 2017 or the first date the 2016 Rehabilitation Plan is applicable.

I. Expenses:

Operating expenses are estimated for the coming year on the basis of the expenses paid in the previous year with an assumption to adjust for future expectations. The operating expense for the 2018-19 plan year is assumed to be \$6,119,271, payable at the beginning of the year. These expenses are assumed to increase by 3% in each future plan year.

Investment expenses are assumed to be covered by the rate of return on investments.

J. Expected Employment Level:

To forecast contributions, total covered hours worked under the Trust are assumed to be 1,625 for Retail Clerk employees, 1,625 for Retail Drug employees, and 1,800 for Washington Meat employees.

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K. Future Service:

Any active participant who worked between 500 and 750 hours in the previous plan year is expected to work 625 hours per year.

For those active participants who worked greater than 750 hours in the previous plan year the following assumption will apply:

Active participants who are Clerks employees are expected to work 1,625 hours per year.

Active participants who are Drug employees are expected to work 1,625 hours per year.

Active participants who are Washington Meat employees are expected to work 1,800 hours per year.

L. Marital Status:

For Retail Clerks and Retail Drug, 85% of non-retired participants are assumed to be married.

For Washington Meat, 85% of male and 65% of female non-retired participants are assumed to be married.

Husbands are assumed to be four years older than wives.

M. Unknown Data:

If birth date is unknown, active participants and terminated vested participants are assumed to be the average age of the participants within the same status and the same plan, Retail Clerks, Retail Drug, or Washington Meat. The assumptions used in this valuation were as follows:

	Retail Clerks	Retail Drug	Washington Meat
Active	39	42	42
Term Vested	48	52	52

For Retail Clerks and Retail Drug, those for whom sex is unknown are assumed to be female. For Washington Meat, those for whom sex is unknown are assumed to be male.

N. Benefits Not Included in the Liabilities:

To the best of our knowledge, all benefits have been included in the liabilities.

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O. Form of Benefit:

For Retail Clerks and Retail Drug, it is assumed that future retirees elect a Single Life Annuity.

For Washington Meat, 50% of pensioners are assumed to elect the 50% Spouse Option and the other 50% are assumed to elect the Single Life Annuity (with five-year guarantee, if under the Default Schedule or if not under a schedule yet).

P. Adoption Rate of the Rehabilitation Plan:

The Sound Retirement Trust originally adopted a Rehabilitation Plan effective December 16, 2010. It was last updated on December 5, 2019. Since the provisions of the Rehabilitation Plan are still being integrated into the various bargaining agreements, it was assumed that 100% of liability reflects the 2016 Rehabilitation Plan changes, approximately 76% of the liability for active Clerk, Drug, and Meat participants reflects the 2019 Updated Preferred Schedule of the Rehabilitation Plan. Of the remaining 24% of active liability, 18% will adopt on January 1, 2021 and the remaining 6% will do so on January 1, 2022.

The 2019 Rehabilitation Plan includes provision for additional contributions to be made for a period of time by those who participate in both the Sound Retirement Trust and the Sound Health and Wellness Trust. The bargaining parties implemented the re-direction of \$100 million which is included for certification purposes. In the projections for testing the sufficiency of the Rehabilitation Plan the full \$155 million re-direction is assumed to occur in the plan year ending 2020.

Q. Rationale of Demographic and Economic Assumptions:

The demographic and other noneconomic assumptions are established based on a long-term outlook and are based on past actuarial experience, future expectations, and professional judgement. Rationale for the assumptions can be found in the Experience Study report dated September 9, 2016. We believe the current demographic and other noneconomic assumptions reasonably reflect anticipated experience for the Trust.

The expected return assumption is established based on a long-term outlook of future expectations and professional judgement. We have modelled the assumption based on average long-term future expected returns and capital market assumptions as provided by several investment professionals. Based on the input of the Fund's specific target asset allocations, we have established the reasonability of the Fund's assumption.

R. Changes in Assumptions:

The investment return assumption was changed from 7.25% net of investment expenses to 6.50% net of investment expenses.

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APPENDIX IV – METHODOLOGY AND ASSUMPTIONS

The assumption about hours worked per year has been modified to use a lower assumption for Retail Drug from 1,675 to 1,625 per year to better reflect Trust experience. The same change was made for forecasting contributions.

S. Rationale for Changes in Assumptions:

Change to the investment return assumption for 2018-19 funding calculations was based on a review of capital market assumptions in recognition of anticipated expanded use of the dedicated bond beta portfolio as a result of recently completed negotiations.

Change in assumed hours was based on a review of the most recent year's data to establish average hours by the subgroups identified.

Attachment to: 2019 Schedule MB (Form 5500), Line 4b
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APPENDIX IV – METHODOLOGY AND ASSUMPTIONS

Actuarial Methods

A. Actuarial Cost Method:

Unit Credit Method (Statutory Basis): The cost method used for the valuation of liabilities for this valuation is the unit credit method. This is one of a family of valuation methods known as an accrued benefits method. The chief characteristic of an accrued benefits method is that the funding pattern follows the pattern of benefit accrual. Under the unit credit actuarial cost method, the normal cost for an individual is determined as that portion of each Participant's benefit attributable to service expected to be earned in the upcoming plan year. The normal cost is adjusted by actual versus expected contributions for schedule MB purposes. The actuarial liability, which is determined for each Participant as of each valuation date, represents the actuarial present value of the Participant's current accrued benefit as of the valuation date.

One of the significant effects of this funding method is that, depending on the demographics of the population, the unit credit method tends to produce lower costs in the early years. There is a possibility that as the population ages, the annual cost could increase over time. Currently, it is assumed that the average age of the active population remains constant.

Entry Age Normal Method (Benefit Restoration Basis): Entry age is the current age minus years of Credited Service. Normal cost and actuarial liability are calculated on an individual basis and are allocated by service, with normal cost determined as if the current plan provisions had always been in effect.

B. Asset Valuation Method:

The actuarial value of assets is determined by using a five-year schedule recognition method, where deferred income is based on actual returns on a market basis versus expected returns on the prior year's actuarial value. The actuarial asset value will be calculated using the Fund's actual cash flows and the expected long-term rate of return on assets. The expected asset is then subtracted from the market value. One-fifth of this value is then added to the expected asset value to arrive at the preliminary smoothed value of assets. If this preliminary value is within a 3% corridor around the market value at any valuation date, then the actuarial value of assets is set to market value.

In no event is the valuation value of the assets either less than 80% or more than 120% of the market value.

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Merged assets from the Retail Drug Trust are treated as if the same method had been put in place retroactively to October 1, 2004. Permission to use the Retail Clerks asset smoothing method on Retail Drug plan assets was also granted by the IRS in a letter dated October 30, 2009.

The Fund's Board of Trustees elected funding relief under §431(b)(8) of the Code and §304(b)(8) of ERISA to expand the applicable corridor limits to permit the actuarial value of assets to be as much as 130% of the market value of assets on October 1, 2009.

Merged assets from the Washington Meat Industry Pension Trust are treated as if the same method had been put in place retroactively to October 1, 2009. Permission to use the Retail Clerks asset smoothing method on Washington Meat assets and for specific treatment of the Washington Meat merger with the spinoff to the Consolidated Fund in the funding standard account was granted by the IRS in a letter dated February 24, 2016.

C. Changes Since Prior Year:

None

Attachment to: 2019 Schedule MB (Form 5500), Lines 9c and 9h
Plan Name: Sound Retirement Trust
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Plan Number: 001

Schedule MB, Lines 9c and 9h- Schedule of Funding Standard Account Bases

	Type of Base	Description	Date Established	Beginning Of Year		
				Balance	Remaining Period	Payment
Charges	7	Initial Liability	10/1/1977	\$ 3,709,584	3.00	\$ 1,315,163
	3	Plan Amendment	10/1/1985	368,354	1.00	368,354
	3	Plan Amendment	10/1/1986	3,809,949	2.00	1,964,938
	3	Plan Amendment	10/1/1987	1,145,083	3.00	405,967
	3	Plan Amendment	10/1/1988	1,997,243	4.00	547,418
	3	Plan Amendment	10/1/1989	12,325,865	5.00	2,785,004
	3	Plan Amendment	10/1/1991	3,219,213	7.00	551,140
	4	Assumption Change	10/1/1992	1,337,424	8.00	206,249
	3	Plan Amendment	10/1/1993	14,399,882	9.00	2,031,371
	3	Plan Amendment	10/1/1994	8,016,123	10.00	1,047,024
	3	Plan Amendment	10/1/1997	36,228,197	13.00	3,955,594
	3	Plan Amendment	6/1/1998	63,172,102	13.67	6,680,770
	4	Assumption Change	10/1/1999	11,789,703	15.00	1,177,341
	1	Experience Loss	10/1/2002	11,352,648	3.00	4,024,868
	1	Experience Loss	10/1/2003	7,602,147	4.00	2,083,650
	1	Experience Loss	10/1/2004	13,906,189	5.00	3,142,074
	1	Experience Loss	10/1/2005	1,284,766	6.00	249,194
	3	Plan Amendment	10/1/2006	8,656,927	22.00	704,675
	1	Experience Loss	10/1/2007	6,774,054	8.00	1,044,650
	4	Assumption Change	10/1/2007	55,244,029	23.00	4,407,113
	1	Experience Loss (Clerks)	10/1/2008	47,067,976	9.00	6,639,812
	3	Plan Amendment	1/1/1995	469,560	10.25	60,258
	1	Combined Bases	1/1/1995	3,122,656	6.29	582,706
	3	Plan Amendment	1/1/1996	420,315	11.25	50,538
	3	Plan Amendment	1/1/1997	2,180,624	12.25	247,538
	3	Plan Amendment	1/1/1998	3,027,780	13.25	326,565
	3	Plan Amendment	1/1/1999	231,236	14.25	23,825
	4	Assumption Change	1/1/1999	1,451,037	14.25	149,503
	3	Plan Amendment	1/1/2000	949,705	15.25	93,906
	4	Assumption Change	1/1/2000	1,836,538	15.25	181,595
	1	Experience Loss	1/1/2001	202,482	1.25	163,251
	3	Plan Amendment	1/1/2001	3,067,771	16.25	292,277
	1	Experience Loss	1/1/2002	462,014	2.25	213,440
	4	Assumption Change	1/1/2002	1,792,145	17.25	165,091
	3	Plan Amendment	1/1/2003	668,572	18.25	59,731
	1	Experience Loss	1/1/2003	3,193,811	3.25	1,053,194
	4	Assumption Change	1/1/2004	256,675	19.25	22,300
	1	Experience Loss	1/1/2004	867,020	4.25	225,351
	1	Experience Loss	1/1/2005	1,850,013	5.25	401,078
	4	Assumption Change	1/1/2006	625,574	21.25	51,757
	1	Experience Loss	1/1/2006	2,479,360	6.25	465,076
	3	Plan Amendment	1/1/2007	99,421	22.25	8,051
	1	Experience Loss	1/1/2008	99,027	8.25	14,915
	3	Plan Amendment (Drug)	10/1/2008	555,919	9.00	78,423
	1	Experience Loss (Drug)	10/1/2008	4,394,973	9.00	619,992
	1	Experience Loss	10/1/2009	97,329,387	10.00	12,712,652
	1	Experience Loss	10/1/2010	50,319,328	11.00	6,144,874

Attachment to: 2019 Schedule MB (Form 5500), Lines 9c and 9h
Plan Name: Sound Retirement Trust
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Type of Base	Description	Date Established	Beginning Of Year		
			Balance	Remaining Period	Payment
1	Experience Loss	10/1/2011	83,780,612	12.00	9,642,099
4	Assumption Change	10/1/2011	30,203,393	12.00	3,476,032
1	Experience Loss	10/1/2012	36,668,397	13.00	4,003,657
1	Experience Loss	10/1/2013	27,549,844	14.00	2,869,853
3	Plan Amendment	7/1/1995	5,408,362	10.75	671,114
1	Combined Bases	7/1/1995	7,546,980	3.07	2,620,217
3	Plan Amendment	7/1/1997	2,601,100	12.75	287,603
3	Plan Amendment	7/1/1998	17,411,927	13.75	1,834,364
3	Plan Amendment	7/1/1999	129,611	14.75	13,075
4	Change in Assumptions	7/1/1999	3,442,820	14.75	347,312
3	Plan Amendment	7/1/2000	38,721	15.75	3,757
3	Plan Amendment	7/1/2001	11,207,901	16.75	1,049,563
1	Experience Loss	7/1/2002	1,032,564	2.75	396,319
3	Plan Amendment	7/1/2003	342,688	18.75	30,182
4	Change in Assumptions	7/1/2003	4,307,431	18.75	379,380
1	Experience Loss	7/1/2003	2,739,102	3.75	794,778
1	Experience Loss	7/1/2004	4,717,706	4.75	1,113,708
3	Plan Amendment	7/1/2005	74,697	20.75	6,251
1	Experience Loss	7/1/2005	6,479,004	5.75	1,301,660
1	Experience Loss	7/1/2006	3,258,403	6.75	574,299
4	Change in Assumptions	7/1/2007	5,524,234	22.75	442,856
1	Experience Loss	7/1/2008	6,478,055	8.75	933,270
1	Experience Loss	7/1/2009	50,116,918	9.75	6,666,613
4	Change in Assumptions	7/1/2011	8,255,189	11.75	963,612
1	Experience Loss	7/1/2012	17,361,306	12.75	1,919,636
1	Experience Loss	7/1/2013	2,016,913	13.75	212,484
1	Experience Loss	7/1/2014	3,141,633	14.75	316,928
1	Experience Loss	10/1/2014	27,853,062	15.00	2,781,456
1	Experience Loss (Meat)	10/1/2014	5,440,545	15.00	543,303
5	AVA Method Change (Meat)	10/1/2014	13,489,301	10.00	1,761,901
1	Experience Loss	10/1/2015	54,505,547	11.00	6,656,085
4	Assumption Change	10/1/2015	21,872,575	11.00	2,671,026
1	Experience Loss	10/1/2016	39,301,258	12.00	4,523,083
1	Experience Loss	10/1/2017	53,260,194	13.00	5,815,241
4	Assumption Change	10/1/2017	77,707,360	13.00	8,484,517
1	Experience Loss	10/1/2018	30,860,283	14.00	3,214,699
4	Assumption Change	10/1/2018	270,853,714	14.00	28,214,686
1	Experience Loss	10/1/2019	89,946,249	15.00	8,982,191
			\$ 1,522,286,000		\$ 186,259,066

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	Type of Base	Description	Date Established	Beginning Of Year		
				Balance	Remaining Period	Payment
Credits	4	Assumption Change	10/1/2008	\$ (26,478,392)	4.00	\$ (7,257,385)
	3	Plan Amendment	1/1/2004	(3,306,663)	14.75	(333,577)
	1	Experience Gain	1/1/2007	(84,624)	2.25	(39,094)
	4	Assumption Change	1/1/2007	(3,585,149)	17.25	(330,261)
	3	Plan Amendment	1/1/2008	(523,408)	3.25	(172,600)
	4	Assumption Change (Drug)	10/1/2008	(1,908,061)	4.00	(522,975)
	3	Plan Amendment (Rehabilitation Plan)	1/1/2011	(136,689,054)	6.25	(25,659,606)
	3	Plan Amendment (Rehabilitation Plan)	10/1/2011	(564,132)	7.00	(96,581)
	3	Plan Amendment (Rehabilitation Plan)	10/1/2012	(213,469)	8.00	(32,920)
	4	Assumption Change	10/1/2012	(1,024,246)	8.00	(157,953)
	3	Plan Amendment (Rehabilitation Plan)	10/1/2013	(8,291,098)	9.00	(1,169,614)
	3	Plan Amendment	11/1/2007	(3,348,587)	18.08	(300,670)
	1	Experience Gain	7/1/2010	(1,539,995)	5.75	(309,391)
	3	Plan Amendment	7/1/2010	(7,902,140)	5.75	(1,587,574)
	3	Plan Amendment	1/1/2011	(4,947,987)	6.25	(928,140)
	1	Experience Gain	7/1/2011	(2,509,087)	6.75	(442,231)
	3	Plan Amendment	1/1/2012	(838,875)	7.25	(139,679)
	3	Plan Amendment	7/1/2013	(311,935)	8.75	(44,939)
	3	Plan Amendment (Rehabilitation Plan)	10/1/2014	(3,762,800)	10.00	(491,477)
	4	Assumption Change (Meat)	10/1/2014	(6,754,363)	10.00	(882,219)
	5	Cost Method Change	10/1/2014	(1,937,886)	5.00	(437,861)
	3	Plan Amendment (Rehabilitation Plan)	10/1/2015	(1,234,016)	11.00	(150,695)
	3	Plan Amendment (Rehabilitation Plan)	10/1/2016	(20,243,246)	12.00	(2,329,744)
	3	Plan Amendment (Rehabilitation Plan)	1/1/2017	(55,429,625)	12.25	(6,294,198)
	3	Plan Amendment (Rehabilitation Plan)	10/1/2017	(12,731,374)	13.00	(1,390,081)
	3	Plan Amendment (Rehabilitation Plan)	10/1/2019	(4,769,410)	15.00	(476,282)
				\$ (310,929,622)		\$ (51,977,747)

Attachment to: 2019 Schedule MB (Form 5500), Line 11
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Schedule MB, Line 11 – Justification for Change in Actuarial Assumptions

The current liability mortality table was updated as required. (There was no change in the allowable interest rate).

The operating expense assumption has been increased from \$6,302,849 to \$7,000,000 to reflect the recent and anticipated level of operating expenses.

Attachment to: 2019 Schedule MB (Form 5500), Line 6
Plan Name: Sound Retirement Trust
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Schedule MB, Line 6 – Statement of Actuarial Assumptions / Methods

METHODOLOGY:	
Actuarial Value of Assets	<p>The actuarial value of assets uses a five-year schedule recognition method, where deferred income is based on actual returns on a market basis versus expected returns on the prior year's actuarial value. The actuarial asset value is calculated using the Fund's actual cash flows and the expected long-term rate of return on assets. The expected asset value is then subtracted from the market value. One fifth of this difference is then added to the expected asset value to arrive at the preliminary smoothed value of assets. If this preliminary value is within a 3% corridor around the market value at any valuation date, then the actuarial value of assets is set equal to market value.</p> <p>In no event is the actuarial value of assets either less than 80% or more than 120% of the market value.</p> <p>Merged assets from the Retail Drug Trust are treated as if the same method had been put in place retroactively to October 1, 2004. Permission to use the Retail Clerk's asset smoothing method on Retail Drug plan assets was also granted by the IRS in a letter dated October 30, 2009.</p> <p>Merged assets from the Washington Meat Pension Plan are treated as if the same method had been put in place retroactively to October 1, 2007. Permission to use the Sound Retirement Plan's asset smoothing method on Washington Meat plan assets effective October 1, 2014 was also granted by the IRS in a letter dated February 24, 2016.</p> <p>The Fund's Board of Trustees elected funding relief under §431(b)(8) of the Code and §304(b)(8) of ERISA to expand the applicable corridor limits to permit the actuarial value of plan assets to be as much as 130% of the market value of assets on October 1, 2009.</p>
Actuarial Cost Method (Statutory Basis)	<p><u>Unit Credit Cost Method</u></p> <p>Under this method, we determine the present value of all benefits earned through the valuation date. An individual's normal cost is the present value of the benefit expected to be earned in the valuation year. The total accrued liability is the sum of the individual present values for all participants. The Unfunded Accrued Liability is the difference between the accrued liability and the assets of the Trust. If the assets exceed the accrued liability, the Plan is in a surplus position. This method requires that each year's contributions be applied first to the normal cost, and the balance of the contributions applied to amortize the Unfunded Accrued Liability. The normal cost is adjusted at the close of the plan year to reflect the actual level of contributions received during that plan year.</p>
Amortization Extension	Effective October 1, 2008 and October 1, 2014, a 5-year extension of the applicable charge bases was incorporated.
Decrements	Retail Clerks and Retail Drug valuations use Probabilities (non-competing decrements) while Washington Meat employee valuation uses Rates (competing decrements).

Attachment to: 2019 Schedule MB (Form 5500), Line 6
Plan Name: Sound Retirement Trust
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Schedule MB, Line 6 – Statement of Actuarial Assumptions / Methods
(CONTINUED)

ASSUMPTIONS:	
Interest Discount Rate	6.50% for funding and FASB ASC 960, and 3.02% for current liability.
Assumed Rate of Return on Investments	6.50% compounded annually, net of investment expenses.
Derivation of Net Investment Return and Discount Rate for FASB ASC 960 Accounting	The expected return assumptions are established based on a long-term outlook and are based on past experience, future expectations and professional judgment. We have modeled the assumptions based on average long-term future expected returns and their respective capital market assumptions as provided by several investment professionals. Based on the inputs of the Plan's specific target asset allocation, we have established the reasonability of the Plan's assumption.
Operating Expenses	A total annual amount of \$7,000,000 payable at the beginning of the year. Operating expenses are assumed to increase by 3% in each future year.
Investment Expenses	Assumed to be covered by the rate of return on investments.
Justification for Demographic Assumptions	The mortality, termination, retirement and disability assumptions are reviewed with each valuation to ensure they are reasonable and represent the actuary's best estimate of the long-term expectations for the Plan. Past experience and anticipated future experience based on industry-specific knowledge and professional judgment are used to verify the reasonability of each of these assumptions.
Mortality	<p>Healthy Lives: RPH-2014 Healthy Annuitant Mortality Table for Males and Females with Blue Collar adjustments, backed down to 2006, then projected to 2015 valuation date using the MP-2015 projection scale, and projected fully generationally using 75% of the ultimate rates of MP-2015 from 2015.</p> <p>Disabled Lives: RPH-2014 Disabled Annuitant Mortality Table for Males and Females, backed down to 2006, then projected to 2015 valuation date using the MP-2015 projection scale, and projected fully generationally using 75% of the ultimate rates of MP-2015 from 2015.</p> <p>Current Liability: 2019 static mortality tables provided in IRC Regulations Section 1.431(c)(6)-1, as prescribed by IRS regulations.</p>
Mortality Improvement	The current assumption of 75% of the ultimate rate of improvement from the MP-2015 scale starting in 2015, applied on a fully generational basis, is assumed to be reasonable at this time.

Attachment to: 2019 Schedule MB (Form 5500), Line 6
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

Schedule MB, Line 6 – Statement of Actuarial Assumptions / Methods
(CONTINUED)

ASSUMPTIONS:

Termination Rates

Termination of Retail Clerks and Retail Drug employees from participation in the Plan is anticipated in accordance with a scale based on service.

Service	Male and Female
1	35.0%
5	16.0%
10	10.0%
15	6.5%
20	5.0%
25	4.0%
>=30	2.5%

Termination of Washington Meat employees from participation in the plan is anticipated in accordance with a scale based on age.

Age	Cutters & Wrappers	Service Counter
20	11.94%	6.88%
25	11.62%	4.90%
30	11.21%	3.76%
35	10.55%	2.90%
40	9.40%	2.18%
45	7.54%	1.48%
50	4.83%	0.73%
55	1.73%	0.00%
60	0.16%	0.00%

Withdrawal rates shown are ultimate rates. The 5-year select rates for Cutter and Wrapper participants are increased by 10 percentage points per year. The 5-year select rates for Service Counter participants are increased by 3.5 percentage points. Withdrawal rates do not apply at or beyond first assumed retirement age.

Attachment to: 2019 Schedule MB (Form 5500), Line 6
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

Schedule MB, Line 6 – Statement of Actuarial Assumptions / Methods
(CONTINUED)

ASSUMPTIONS:		
Retirement Rates	For Retail Clerks and Retail Drug employees:	
	Age	Rate of Retirement
	55	6.5%
	56-59	5.5%
	60	7.5%
	61	12.0%
	62	17.0%
	63	14.0%
	64	17.5%
	65	30.0%
	66	27.5%
	67-69	20.0%
	70-74	60.0%
	75 & older	100.0%
All terminated vested participants from Retail Clerks and Retail Drug are assumed to retire at age 65.		
For Washington Meat employees who are eligible for Rule of 85 Pension*:		
		Age
		Rate of Retirement
		50-56
		35.0%
		57-62
		25.0%
For Washington Meat employees who are not eligible for Rule of 85 Pension*:		
		Age
		Rate of Retirement
		55-60
		8.0%
		61-64
		15.0%
		65 & older
		100.0%
<p>* Rule of 85 rates apply only to active participants who (a) are within 10 years of eligibility for Rule of 85 and (b) had at least 16 years of service as of July 1, 2011.</p> <p>Inactive members who were Washington Meat employees with vested rights are assumed to retire at age 60.</p>		

Attachment to: 2019 Schedule MB (Form 5500), Line 6
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

Schedule MB, Line 6 – Statement of Actuarial Assumptions / Methods
(CONTINUED)

ASSUMPTIONS:																																									
Disability Rates	Disability retirements for Retail Clerks and Retail Drug employees are anticipated in accordance with a scale based on age. The following disability rates are illustrative.	Disability retirements for Washington Meat employees are anticipated in accordance with a scale based on age. The following disability rates are illustrative.																																							
	<table><thead><tr><th>Age</th><th>Rate of Disability</th></tr></thead><tbody><tr><td>20</td><td>0.01%</td></tr><tr><td>25</td><td>0.01%</td></tr><tr><td>30</td><td>0.01%</td></tr><tr><td>35</td><td>0.02%</td></tr><tr><td>40</td><td>0.02%</td></tr><tr><td>45</td><td>0.05%</td></tr><tr><td>50</td><td>0.10%</td></tr><tr><td>55</td><td>0.21%</td></tr><tr><td>60</td><td>0.44%</td></tr></tbody></table>	Age	Rate of Disability	20	0.01%	25	0.01%	30	0.01%	35	0.02%	40	0.02%	45	0.05%	50	0.10%	55	0.21%	60	0.44%	<table><thead><tr><th>Age</th><th>Rate of Disability</th></tr></thead><tbody><tr><td>20</td><td>0.06%</td></tr><tr><td>25</td><td>0.09%</td></tr><tr><td>30</td><td>0.11%</td></tr><tr><td>35</td><td>0.15%</td></tr><tr><td>40</td><td>0.22%</td></tr><tr><td>45</td><td>0.36%</td></tr><tr><td>50</td><td>0.61%</td></tr><tr><td>55</td><td>1.01%</td></tr><tr><td>60</td><td>1.63%</td></tr></tbody></table>	Age	Rate of Disability	20	0.06%	25	0.09%	30	0.11%	35	0.15%	40	0.22%	45	0.36%	50	0.61%	55	1.01%	60
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Form of Benefit	For Retail Clerks and Retail Drug, it is assumed that future retirees elect a Single Life Annuity. For Washington Meat, 50% of pensioners are assumed to elect the 50% Spouse Option and the other 50% are assumed to elect the Single Life Annuity (with five-year guarantee, if under the Default Schedule or if not under a schedule yet).																																								
Late Retirement Behavior:	Active participants working beyond age 65 and terminated vested Retail Drug employees who have not accrued a benefit after October 1, 2008 are valued as receiving an actuarial increase in their retirement benefit. All other terminated vested participants who are older than age 65 are assumed to elect retroactive retirement and to receive a lump sum of all missed payments, together with 5% interest. In addition, terminated vested participants who are older than age 90 are assumed to have zero liability. For active and inactive Washington Meat employees, only the normal retirement benefit is valued as an implicit assumption that not all participants will claim their benefits.																																								

Attachment to: 2019 Schedule MB (Form 5500), Line 6
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

Schedule MB, Line 6 – Statement of Actuarial Assumptions / Methods
(CONTINUED)

ASSUMPTIONS:	
Restoration of Early Retirement Subsidy	Participants who have incurred a one-year break in service in a plan year that begins on or after October 1, 2016 lose their early retirement eligibility and subsidy on accrued benefits earned prior to the break in service. Participants are able to earn back the early retirement eligibility by returning back to covered employment and earning 750 or more hours in covered employment for one year. Participants are able to earn back the use of the standard factors by returning to covered employment and earning 750 or more hours in covered employment in each of five consecutive plan years. It is assumed that 7.5% of current and future terminated vested participants will return to work and work sufficient hours to get the subsidized early retirement factors reinstated prior to retirement. For Washington Meat employees, the subsidies are lost and restored on only the benefits earned after June 30, 2014 merger.
Cost of Living Increases	Cost of living increases of 2% per year are anticipated after benefit payments commence. This applies only to benefits earned under the Retail Clerks plan prior to September 1, 2003 and to participants who were classified as an active participant in the 2010 Rehabilitation Plan or who have not incurred a one-year break in service in a plan year that begins on or after October 1, 2010. This benefit is no longer provided for active participants who retire on or after the later of January 1, 2017 or the first date the 2016 Rehabilitation Plan is applicable.
Marital Status	For Retail Clerks and Retail Drug, 85% of non-retired participants are assumed to be married. For Washington Meat, 85% of male and 65% of female non-retired participants are assumed to be married. Husbands are assumed to be four years older than wives.
Active Participant	Worked at least 500 hours in covered employment.
Future Employment	Active Retail Clerks, Retail Drug, and Washington Meat participants who worked less than 750 hours in the past year are expected to work 625 hours per year. For active participants who worked over 750 hours in the past year: Active Retail Clerks participants are expected to work 1,625 hours per year. Active Retail Drug participants are expected to work 1,625 hours per year. Active Washington Meat participants are expected to work 1,800 hours per year.
Future Contributions	To forecast contributions, total covered hours worked under the Trust are assumed to be 1,625 for Retail Clerks, 1,625 for Retail Drug and 1,800 for Washington Meat employees.

Attachment to: 2019 Schedule MB (Form 5500), Line 6
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
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Schedule MB, Line 6 – Statement of Actuarial Assumptions / Methods
(CONTINUED)

ASSUMPTIONS:	
Adoption Rate of the Rehabilitation Plan	The Sound Retirement Trust originally adopted a Rehabilitation Plan effective December 16, 2010. It was last updated on December 5, 2019. Since the provisions of the 2019 Rehabilitation Plan are still being integrated into the various bargaining agreements, it was assumed that approximately 76% of the active Clerk, Drug, and Meat participants reflects the Updated Preferred Schedule of the 2019 Rehabilitation Plan. The remaining 24% reflects the prior Preferred Schedule of the 2016 Rehabilitation Plan. The 2016 Rehabilitation Plan is assumed to be fully integrated into the various bargaining agreements.
Benefits not included in the Valuation	To the best of our knowledge, no benefits have been excluded from the liabilities.
Missing Data	If birth date is unknown, active participants and terminated vested participants are assumed to be the average age of the participants within the same status and the same plan, Retail Clerks, Retail Drug, or Washington Meat. For Retail Clerks and Retail Drug, those for whom sex is unknown are assumed to be female. For Washington Meat, those for whom sex is unknown are assumed to be male.
CHANGES SINCE PRIOR VALUATION	
The current liability mortality table was updated as required. (There was no change in the allowable interest rate.) The operating expense assumption has been increased from \$6,302,849 to \$7,000,000 to reflect the recent and anticipated level of operating expenses.	

Attachment to: 2019 Schedule MB (Form 5500), Line 6
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

Schedule MB, Line 6 – Summary of Plan Provisions

The Sound Retirement Trust became effective October 1, 1965 as a result of collective bargaining between the contributing Employers and the Union. The Plan was last restated as of October 1, 2014. The principal provisions of the Plan as of October 1, 2019 are summarized below.

NORMAL RETIREMENT																							
Eligibility	Attainment of age 65 and 5 years of credited service.																						
Monthly Benefit	<p>The monthly amount is equal to the sum of the participant's:</p> <p>(a) Past Service Benefit, and</p> <p>(b) Future Service Benefit.</p> <p>i. Past Service Benefit: \$5.98 for each year of credited past service prior to the contribution date. The maximum number of years of credited past service for a participant is determined according to the effective date of coverage as follows:</p> <table> <tr> <th>Effective Date of Coverage</th><th>Maximum Credited Past Service</th></tr> <tr> <td>10/1/65 to 9/30/82</td><td>20 years</td></tr> <tr> <td>10/1/82 to 9/30/83</td><td>18 years</td></tr> <tr> <td>10/1/83 to 9/30/84</td><td>16 years</td></tr> <tr> <td>10/1/84 to 9/30/85</td><td>14 years</td></tr> <tr> <td>10/1/85 to 9/30/86</td><td>12 years</td></tr> <tr> <td>10/1/86 and later</td><td>10 years</td></tr> </table> <p>ii. Future Service Benefit: Percent of the contributions made on the participant's behalf as follows:</p> <table> <tr> <th>Years</th><th>% of Contributions</th></tr> <tr> <td>Prior to 10/1/2002</td><td>7.10%</td></tr> <tr> <td>10/1/2002 to 8/31/2003</td><td>6.34%</td></tr> <tr> <td>9/1/2003 and later</td><td>3.00% (after reduction by the supplemental and rehabilitation plan contributions)</td></tr> </table>	Effective Date of Coverage	Maximum Credited Past Service	10/1/65 to 9/30/82	20 years	10/1/82 to 9/30/83	18 years	10/1/83 to 9/30/84	16 years	10/1/84 to 9/30/85	14 years	10/1/85 to 9/30/86	12 years	10/1/86 and later	10 years	Years	% of Contributions	Prior to 10/1/2002	7.10%	10/1/2002 to 8/31/2003	6.34%	9/1/2003 and later	3.00% (after reduction by the supplemental and rehabilitation plan contributions)
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Attachment to: 2019 Schedule MB (Form 5500), Line 6
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

Summary of Plan Provisions
(CONTINUED)

NORMAL RETIREMENT

Pension Amount Retail Drug	<p>The monthly amount is equal to the sum of the participant's:</p> <p>(a) Accrued benefit earned under the Retail Drug plan as of September 30, 2008, and</p> <p>(b) Future Service Benefit: 3.0% times 5/7 of employer contributions made on the participant's behalf after October 1, 2008 (after reduction by the supplemental and rehabilitation plan contributions).</p>
Pension Amount Washington Meat	<p>The monthly amount is equal to the sum of the participant's:</p> <p>(a) Accrued benefit earned under the Washington Meat plan as of June 30, 2014, and</p> <p>(b) Post-Merger Benefit: Employer contributions based on the participant's behalf after July 1, 2014, after reduction by the supplemental rate, if applicable. Before adoption of a Post-Merger Preferred Schedule the accrual rate on the contributions is 1.35% and 3% after adoption. Because the benefit contribution rate is likely to be lower following the adoption of a Post-Merger Preferred Schedule, the benefit accrual amounts are expected to be similar pre and post adoption.</p>

EARLY RETIREMENT

Eligibility	<p>Attainment of age 55 and 5 years of credited service, including at least one year of future service.</p> <p>Former Retail Drug plan members may retire for purposes of their pre-merger benefit at any age with 30 years of credited service.</p>
Pension Amount Retail Clerks	<p>Same as Normal Retirement pension amount reduced to account for the longer period of benefit payments.</p> <p>For active participants who have never been a terminated vested participant, or actives who have returned from vested terminated status and worked at least 750 hours for 5 consecutive years, the reduction is ½ of 1% for each month that the early retirement date precedes age 63, but not younger than age 60, and 1/3 of 1% for each month younger than 60.</p> <p>For active participants who have been a terminated vested participant and returned to work at least 750 hours in greater than 1 but less than 5 years, the reduction is 2/3 of 1% for each month that the early retirement date precedes age 65, but not younger than age 60, and 5/12 of 1% for each month younger than 60. The reduction for those retiring from terminated vested status or those who returned to active status but worked less than 750 hours is described below under the deferred vested benefit section.</p>

Attachment to: 2019 Schedule MB (Form 5500), Line 6
Plan Name: Sound Retirement Trust
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Summary of Plan Provisions
(CONTINUED)

EARLY RETIREMENT (Continued)

Pension Amount Retail Drug

Former Retail Drug plan participants who earn a benefit after October 1, 2008 receive the greater of:

- (a) Same as Normal Retirement pension amount reduced to account for the longer period of benefit payments.
For active participants who have never been a terminated vested participant, or actives who have returned from vested terminated status and worked at least 750 hours for 5 consecutive years, the reduction is $\frac{1}{2}$ of 1% for each month that the early retirement date precedes age 63, but not younger than age 60, and $\frac{1}{3}$ of 1% for each month younger than 60.
For active participants who have been a terminated vested participant and returned to work at least 750 hours in greater than 1 but less than 5 years, the reduction is $\frac{2}{3}$ of 1% for each month that the early retirement date precedes age 65, but not younger than age 60, and $\frac{5}{12}$ of 1% for each month younger than 60, and
- (b) Retail Drug plan accrued benefit as of September 30, 2008 reduced using the early retirement reductions from the Retail Drug plan.

Pension Amount Washington Meat

Former Washington Meat plan participants who earn a benefit after July 1, 2014 receive the greater of:

- (a) Same as Normal Retirement pension amount reduced to account for the longer period of benefit payments.
For active participants who have never been a terminated vested participant, or actives who have returned from vested terminated status and worked at least 750 hours for 5 consecutive years, the reduction is $\frac{1}{2}$ of 1% for each month that the early retirement date precedes age 63, but not younger than age 60, and $\frac{1}{3}$ of 1% for each month younger than 60.
For active participants who have been a terminated vested participant and returned to work at least 750 hours in greater than 1 but less than 5 years, the reduction is $\frac{2}{3}$ of 1% for each month that the early retirement date precedes age 65, but not younger than age 60, and $\frac{5}{12}$ of 1% for each month younger than 60, and
- (b) Washington Meat plan accrued benefit as of June 30, 2014 reduced using the early retirement reductions from the Washington Meat plan
- (c) For active members covered under the Preferred Schedule of the Rehabilitation Plan, and who qualify for the Washington Meat Rule of 85, the early retirement benefit cannot be less than the unreduced accrued benefit as of July 1, 2010.

Attachment to: 2019 Schedule MB (Form 5500), Line 6
Plan Name: Sound Retirement Trust
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Summary of Plan Provisions
(CONTINUED)

UNREDUCED EARLY RETIREMENT

Eligibility	<p>Former Retail Clerks: Attainment of age 55 and 30 years of credited service, a participant in the plan before September 1, 2003 and retiring from active status prior to the later of January 1, 2017 and the date they became covered under a bargaining agreement that adopted the 2016 Rehabilitation Plan.</p> <p>Former Washington Meat: For active members covered under the Preferred Schedule of the Rehabilitation Plan, and who qualify for the Washington Meat Rule of 85.</p>
Pension Amount Retail Clerks	Accrued benefit as of August 31, 2003 plus reduced benefit earned after August 31, 2003.
Pension Amount Washington Meat	Accrued benefit as of June 1, 2010.

LATE RETIREMENT

Eligibility	Beyond Normal Retirement Age
Pension Amount Retail Clerks	<p>The participant receives the Normal Retirement pension amount plus the greater of:</p> <ul style="list-style-type: none"> (a) Accrual earned during the year, or (b) Actuarial increase on the previous year's benefit <p>Participants also have the option to retroactively retire to the later of their normal retirement date or the date they cease work. Retroactive payments are made along with accumulated interest.</p>
Pension Amount Retail Drug	<p>Former Retail Drug plan participants will receive the greater of:</p> <ul style="list-style-type: none"> (a) The Normal Retirement pension amount plus the greater of: <ul style="list-style-type: none"> 1. Accrual earned during the year, or 2. Actuarial increase on the previous year's benefit (b) Retail Drug plan accrued benefit as of September 30, 2008 increased using the late retirement increases from the Retail Drug plan. <p>Participants, who have accrued a benefit after September 30, 2008 (merger date) also have the option to retroactively retire to the later of their normal retirement date or the date they cease work. Retroactive payments are made along with accumulated interest.</p>

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Summary of Plan Provisions
(CONTINUED)

LATE RETIREMENT (Continued)

Pension Amount Washington Meat	<p>For participants who have worked at least 1 hour under the merged plan, the participant receives the Normal Retirement pension amount plus the greater of:</p> <p>(a) Accrual earned during the year, or</p> <p>(b) Actuarial increase on the previous year's benefit (applied to the later of their Normal Retirement Date benefit and the benefit they have earned as of the July 1, 2014 Merger date)</p> <p>Participants, who have accrued a benefit after July 1, 2014 (merger date) also have the option to retroactively retire to the later of their normal retirement date or the date they cease work. Retroactive payments are made along with accumulated interest.</p>
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DISABILITY RETIREMENT

Eligibility	Totally and permanently disabled while an active participant. 5 years of credited service, including at least one year of future service; and, as a result of actual employment, earned at least one year of credited service in the two consecutive plan years preceding the date of disability.
Pension Amount	The normal retirement benefit amount until covered under a bargaining agreement that adopts the 2016 Rehabilitation Plan; otherwise same as standard reduced early retirement pension with additional reductions of 1/3 of 1% for each month younger than age 55, with the provision that the benefit payable will not be less than 30% of the normal benefit amount.

PARTIAL PENSION

Eligibility	5 years of combined credited service earned under the Sound Retirement Trust and related pension plan(s) and at least one year of credited service under the Sound Retirement Trust.
Pension Amount	Same as Early, Normal, Late or Disability, using only credited service and contributions applicable to the Sound Retirement Trust.

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Plan Name: Sound Retirement Trust
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Summary of Plan Provisions
(CONTINUED)

DEFERRED VESTED

Eligibility	Attainment of age 65, 5 years of credited service, including at least one year of future service.
Pension Amount	Former Retail Clerks and Drug: Same as Normal Retirement pension amount, payable commencing at normal retirement age. For former Washington Meat plan participants, the early retirement benefit applicable to the accrued benefit as of the merger date, is based on actuarial equivalence factors using the Washington Meat plan's definition of actuarial equivalence (UP84, 7%) from age 65.

POST-RETIREMENT COLA

Retail Clerks	Benefits accrued through August 31, 2003 are to be adjusted annually to reflect increases (or decreases) in the Bureau of Labor Statistics Consumer Price Index. The maximum cumulative adjustment is limited to 2% per year (increase or decrease) from the date of retirement. The COLA increase does not apply to benefits accrued after August 31, 2003, and has been eliminated for all terminated vested participants and any participant who retires on or after the date their bargaining unit adopted the 2016 Rehabilitation Plan.
Retail Drug	N/A
Washington Meat	N/A

PRE-RETIREMENT DEATH BENEFIT

Eligibility	5 years of credited service, and married at time of death
Pension Amount	50% of the benefit the employee would have received had he or she terminated employment on his or her date of death, survived to his or her earliest retirement age, and elected the 50% Spouse Option. Benefit commences no earlier than the date the participant would have reached age 55.

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Summary of Plan Provisions
(CONTINUED)

FORMS OF ANNUITY PAYMENTS

Normal Form	For Married Participants: An actuarially reduced benefit payable as a 50% Joint & Survivor Annuity. For Unmarried Participants: A life annuity. (Prior to January 1, 2011, this was a life annuity with 60 months guaranteed.)
Optional Forms	75% Joint & Survivor Option 100% Joint & Survivor Option Lump Sum Option – only if value is less than \$5,000

OTHER

Credited Service	An employee who works at least 750 hours in a plan year receives one year of credited service.
Break in Service Rules	A one-year break in service is incurred if the participant has not completed more than 500 hours in a plan year.
Schedule of Contribution Rates	For purposes of projecting contributions for the 2019/2020 plan year, it was assumed that the average contribution rate of 45.05¢ base and 9.97¢ supplemental are payable to Clerks employees, 28.47¢ base and 21.30¢ supplemental are payable to Drug employees, and 37.68¢ base is payable to Meat employees. These rates were 45.04¢ base and 9.97¢ supplemental for Retail Clerks employees, 26.60¢ base and 20.84¢ supplemental for Retail Drug employees, and 37.17¢ base for Meat employees for the 2018/2019 plan year. For the employees under agreements which had not yet adopted a Rehabilitation Plan schedule, the appropriate surcharges were assumed. For the employees under agreements which had bargained in the Preferred Schedule of the Rehabilitation Plan, additional employer Rehabilitation Plan contributions were assumed. Kroger is contributing on a different contribution schedule. The rates shown above are average rates; actual rates are based on contribution rate schedules for each employer. Rates do not include the temporary \$4.64 redirection from the Health & Welfare Trust.

CHANGES SINCE PRIOR VALUATION

As of October 1, 2019, it is assumed that 100% of the active liability of Retail Clerks, Retail Drug and Washington Meat participants are covered under the Preferred Schedule of the June 22, 2016 Rehabilitation Plan (94% was assumed as of October 1, 2018).

REHABILITATION PLAN OF THE SOUND RETIREMENT TRUST

Adopted December 14, 2010

Updated September 16, 2020

I. INTRODUCTION

The Pension Protection Act of 2006 (the “PPA”) created new funding classifications for multiemployer pension plans. These funding classifications impose requirements on the Board of Trustees of the Sound Retirement Trust (the “Board”) to improve the funding levels of the Sound Retirement Trust (the “Trust”). On December 14, 2010, the Trust’s actuaries certified that the Trust was in critical status for the Plan Year beginning October 1, 2010. Once the Trust entered critical status, PPA required the Board to implement a rehabilitation plan for the Trust (the “Rehabilitation Plan”) designed to enable the Trust to emerge from critical status by October 1, 2023. The initial version of this Rehabilitation Plan was effective December 16, 2010.

In response to the law’s annual update requirement, revisions were made to the initial version of the Rehabilitation Plan and adopted at the March 28, 2013 and June 19, 2013 meetings of the Board. The Trustees adopted an updated version at the June 25, 2014 meeting of the Board to reflect the merger of the Washington Meat Industry Pension Trust (“Washington Meat Trust”) into the Trust as of June 30, 2014 and the transfer of liabilities and assets relating to Kroger’s participants in the Washington Meat Trust to the UFCW Consolidated Pension Fund effective July 1, 2014.

The Board reviews the Rehabilitation Plan annually. The Board updated the Rehabilitation Plan by consent resolution in September 2020.

This Rehabilitation Plan does not reduce the level of a Participant’s accrued benefit payable on the Normal Retirement Date. The benefits of the Trust’s retirees and beneficiaries with benefit commencement dates prior to October 1, 2016 also are not affected by the benefit changes under Section IX of this updated Rehabilitation Plan.

Unless otherwise expressly indicated herein, all capitalized terms used in this Rehabilitation Plan and the Schedules have the same definition assigned to such capitalized term by the governing documents of the Trust.

II. REHABILITATION PLAN AND COMPLIANCE

This Rehabilitation Plan consists of the Preferred Schedules and the Default Schedule (collectively, the “Schedules”). The Schedules set forth modifications to future benefits and contribution rates required under the Rehabilitation Plan. The Trust’s participating Employers and Unions (the “Bargaining Parties”) are responsible, through collective bargaining, for adopting the applicable Preferred Schedule or, if such Preferred Schedule is not adopted, the Default Schedule shall apply in accordance with Section XII. Regardless of whether the bargaining parties adopt a Schedule immediately, or sometime in the future, the Board adopted certain terminated vested reductions that were effective February 1, 2011 for those Participants deemed to be in a terminated vested status as of December 16, 2010. On March 28, 2013, the

Board modified the terminated vested benefit reductions. On September 27, 2013, the Board took action to apply the provisions of the Rehabilitation Plan to the terminated vested participants who incurred a One-Year Break in Service in a Plan Year that begins on or after October 1, 2012 and before September 30, 2013 and is not in pay status on or before September 1, 2013.

Each year, the Board takes action regarding any terminated vested participants as of the first day of the Plan Year whose bargaining parties have not yet adopted the Preferred Schedule provisions of the Rehabilitation Plan, including the participants under any merged plan. In September, 2020, the Board took action to apply Section IX to any new terminated vested participants as of October 1, 2020 whose bargaining parties have not yet adopted the applicable Preferred Schedule provisions of the Rehabilitation Plan, including the participants under any merged plan.

Under the PPA, participating Employers are required to pay to the Trust an automatic surcharge in addition to the contributions otherwise required under each Employer's collective bargaining agreement until the Bargaining Parties adopt either the Preferred Schedule or the Default Schedule. Commencing with February 2011 hours, the surcharge was five percent (5%) of the required contributions, and the surcharge increased to ten percent (10%) (i.e., an additional five (5%) percent) beginning with October 2011 hours. Participants do not accrue any benefits on either surcharge. These surcharge contributions are due at the same time that the regular contributions are due to the Trust. If a contributing Employer fails to pay the surcharge, the surcharge payment is treated as a delinquent contribution pursuant to ERISA Section 515. However, any surcharge obligations pursuant to ERISA Section 305(e)(7), and any contribution rate increases in the Rehabilitation Plan schedules that are adopted in a collective bargaining agreement or imposed by operation of law pursuant to ERISA Section 305(e)(3)(C) shall be disregarded in determining the allocation of unfunded vested benefits to a withdrawn employer. Any surcharge obligations pursuant to ERISA Section 305(e) (7), and any contribution rate increases in the Rehabilitation Plan schedules that are adopted in a collective bargaining agreement or imposed by operation of law pursuant to ERISA Section 305(e) (3) (C) effective on or after October 1, 2015, shall be disregarded in determining a withdrawn employer's highest contribution rate used to determine a withdrawn employer's withdrawal liability payment schedule.

All collective bargaining agreements that expired on or after October 1, 2010, that are agreed to, renewed or extended on or after February 1, 2011, must comply with the Rehabilitation Plan. The Board has the sole and absolute authority to amend, construe, and apply the provisions of the Rehabilitation Plan, including the Schedules described in the Appendix; provided, however, pursuant to ERISA Section 305(e) (3) (B) (iii), the schedule of contribution rates provided by the Board to the bargaining parties, and which are adopted by the bargaining parties, shall remain in effect for the duration of that collective bargaining agreement.

III. CONTRIBUTION REDUCTIONS PROHIBITED

Beginning on December 1, 2010 and lasting through September 30, 2013 (the Rehabilitation Plan Adoption Period), the Trust was not permitted to accept a collective bargaining agreement and/or participation agreement that provides for a reduction in the level of contributions for any Participants, a suspension of contributions with respect to any period of service, or any new direct or indirect exclusion of younger or newly hired employees from participation in the Trust.

IV. PLAN AMENDMENT RESTRICTIONS

Beginning on December 14, 2010, the Trust's Plan document may not be amended so as to be inconsistent with the Rehabilitation Plan, or to increase benefits, including future benefit accruals, unless the Trust's actuary certifies that the benefit increase is paid for with contributions not contemplated by the Rehabilitation Plan, and that after taking into account the benefit increases, the Trust is still reasonably expected to emerge from Critical status by October 1, 2023; provided however, that no such amendment to increase benefits shall be permitted during the Rehabilitation Plan Adoption Period, except as required by law.

V. BENEFIT REDUCTIONS REQUIRED BY PPA

ELIMINATION OF LEVEL INCOME OPTION FORM OF PAYMENT

As of December 16, 2010, the level income option form of payment will no longer be available to new retirees.

VI. OTHER BENEFIT REDUCTIONS

ELIMINATION OF NON QJSA DEATH BENEFITS

For deaths on or after December 16, 2010, the only pre-retirement death benefits that will be payable are those required to meet the definition of a Qualified Joint & Survivor Annuity.

ELIMINATION OF FIVE-YEAR CERTAIN GUARANTEE IN FORM OF PAYMENT

As of January 1, 2011, the five-year certain guarantee that applies to those electing the Normal Form of Benefits for single participants will be eliminated for benefits earned on or after January 1, 2011.

VII. BENEFIT REDUCTIONS UNDER WASHINGTON MEAT TRUST

Prior to the merger, the Washington Meat Trust was subject to a separate Rehabilitation Plan that adopted certain benefit reductions under the Washington Meat Trust. Those benefit reductions continue to apply with respect to the benefits accrued under the Washington Meat Trust through the date of the Merger, June 30, 2014, including those reductions to the Rule of 85 benefit for those Meat Trust participants who become terminated vested after the date of the Merger.

VIII. BENEFIT REDUCTIONS UNDER THE SOUND RETIREMENT TRUST

As of the contract effective date, the 5-year certain guarantee on the normal form of payment for single participants will not apply to benefits earned prior to January 1, 2011.

In addition, for terminated vested Participants:

- (1) Effective February 1, 2011, certain benefits (described below) are no longer available to those considered to be in terminated vested status as of December 16, 2010,¹ regardless of when this Schedule is adopted by the Bargaining Parties.

For Participants in terminated vested status as defined in this Paragraph (1):

- Subsidized early retirement benefits are effectively eliminated;
 - The post-retirement cost-of-living adjustments (“COLAs”) that were payable on pre-August 31, 2003 accruals will not be provided;
 - There will be no pre-retirement death benefits other than those required to meet the definition of a Qualified Joint & Survivor Annuity; and
 - The 5-year certain guarantee on the normal form of payment for single participants will not apply.
- (2) The benefits described below will not be provided to any vested Participant who incurred a One-Year Break in Service in a Plan Year that began on or after October 1, 2010 and before September 30, 2012 and was not in pay status on or before September 1, 2013, regardless of when this updated Schedule is adopted by the Bargaining Parties. These Participants shall be considered “terminated vested Participants” and for these Participants:
- Subsidized early retirement benefits are eliminated; and
 - The post-retirement COLAs that were payable on pre-August 31, 2003 benefit accruals will not be provided.
- (3) With respect to bargaining agreements expiring on or after May 1, 2013, or for Bargaining Parties that adopt a Schedule on or after that date, the benefit changes described in these Paragraphs (1)-(2) will apply to any Participant who incurs a One-Year Break in Service during a Plan Year that begins after September 30, 2012 (thus becoming a terminated vested Participant). For this purpose, a terminated vested Participant is considered to be covered by the bargaining agreement under which he or she earned the last hour of service in Covered Employment.
- (4) Notwithstanding Paragraphs (1) – (3), any terminated vested Participant who had their subsidized early retirement benefits eliminated as described above may restore the subsidized early retirement benefits that were eliminated by returning to active employment with a contributing employer and accruing at least 500 hours of service in Covered Employment in two consecutive Plan Years. If the participant again becomes a terminated vested Participant, he or she would again lose the subsidy on benefits earned

¹ Under the initial Rehabilitation Plan, a Participant is deemed to be in “terminated vested status” if he or she:

1. Is not in payment status on December 16, 2010,
2. Was vested as of September 30, 2010,
3. Earned less than 435 covered hours of service during the plan year ending September 30, 2010, and
4. Had no contributory hours during the period October 1, 2010 through December 16, 2010.

prior to the most recent one-year break in service. The restored subsidies will only apply in the event that the Participant retires before incurring a “one-year break in service.”

- (5) Notwithstanding Paragraph (1), a Participant who was deemed to be in terminated vested status as of December 16, 2010 in accordance with Paragraph (1), who had attained at least age 54 and had 30 years of service as of that date who elected a retirement effective date of September 1, 2013 or earlier shall be eligible for subsidized early retirement benefits regardless of whether the Participant returns to Covered Employment.

IX. PREFERRED SCHEDULE

Attached to this Plan as an Appendix is the Preferred Schedule for the Rehabilitation Plan as amended with respect to bargaining agreements covering the Sound Retirement Trust. This Preferred Schedule will apply to participating Employers and Unions that have adopted it based on their contract area, except as otherwise described below.

The following benefit changes will apply to the affected Participants as described below but in no event earlier than October 1, 2016:

1. Effective upon the date the 2016 Preferred Schedule (“Schedule Effective Date”), for annuity starting dates on and after January 1, 2017, the post-retirement COLA for pre-August 31, 2003 benefit accruals is eliminated.
2. Effective upon the Schedule Effective Date, for annuity starting dates on and after January 1, 2017, subsidized early retirement benefits at age 55 with 30 years of service on pre-August 31, 2003 benefit accruals earned under the Sound Retirement Trust is eliminated. This provision shall not apply to benefit accruals earned prior to July 1, 2010 under the Washington Meat Industry Pension Trust.
3. Effective upon the Schedule Effective Date, early retirement reductions shall be imposed on disability pensions for those participants who become Disabled under the Plan on and after January 1, 2017. The reductions will be 6% per year for the first 3 years a Participant is younger than 63, 4% from age 60 to age 47 until the reduction reaches 70%. For disabilities younger than age 47, a 70% reduction to disability pensions applies. This provision applies to benefit accruals earned prior to July 1, 2014 under the Washington Meat Industry Pension Trust.
4. Effective October 1, 2016, a Participant will receive one year of Credited Service upon the completion of 750 or more hours of covered employment in a Plan Year.
5. Effective October 1, 2016, a Break in Service will occur when a Participant does not complete more than 500 hours of service in a Plan Year.
6. For Participants who are not in terminated vested status, effective upon the Schedule Effective Date, early retirement is eliminated as an option for Participants who do not earn one year of Credited Service in a Plan Year (“Early Retirement Ineligible Participant”). For Participants who are in terminated vested status as of September 30, 2016, this provision is effective October 1, 2016.

This provision shall not apply to benefit accruals related to pre-merger accruals (accruals through June 30, 2014) under the Washington Meat Industry Pension Trust.

7. If such Participant under paragraph 6 above subsequently earns one year of Credited Service, the early retirement shall be available and is unsubsidized for years of service earned prior to the terminated vested status.
8. Effective upon the Schedule Effective Date, a Participant also is deemed to be in “terminated vested status” if he or she:
 - a. Is not in payment status on or after October 1, 2016 within the Plan Year following the completion of one year of Credited Service, and
 - b. Earned less than 750 covered hours of service during the applicable Plan Year (except in the year of retirement).

Such terminated vested participants will not be eligible for the partially unreduced early retirement benefits utilizing the more favorable early retirement reduction factors contained in Appendix A of the plan document of the Sound Retirement Trust.

9. For Participants who are not in terminated vested status, effective upon the Schedule Effective Date, restoration of subsidized early retirement benefits utilizing the more favorable early retirement reduction factors contained in Appendix A Section 9 for all current and future terminated vested members will require that terminated vested participants complete 5 years of Credited Service upon return to active employment before retiring, provided that this requirement does not apply to pre-merger Washington Meat Industry Pension Trust benefit accruals. For Participants who are in terminated vested status as of September 30, 2016, this provision is effective October 1, 2016.

If the Participant works 5 consecutive Plan Years of at least 750 hours after becoming a terminated vested participant, then the Participant will be treated as if the Participant had never been a terminated vested participant upon working 750 hours in the fifth consecutive Plan Year.

X. PREFERRED SCHEDULE POST-MERGER

Effective June 30, 2014, the Washington Meat Trust merged into the Trust. For any employers with bargaining agreements in bargaining areas requiring contributions to the Washington Meat Trust as of the effective date of the merger and are contributing to the Sound Retirement Trust effective July 1, 2014, the applicable Preferred Schedule contribution rate increases for collective bargaining agreements expiring on and after July 1, 2014 is in the Appendix to this Rehabilitation Plan. With respect to collective bargaining agreements already in effect prior to July 1, 2014 and which adopted a schedule under the Washington Meat Trust rehabilitation plan that schedule remains in effect for the duration of the collective bargaining agreement. Benefit reductions under the Rehabilitation Plan adopted by the Board of Trustees of the Washington Meat Trust have not changed and continue to apply to employees of former Washington Meat Trust employers who participated in the Washington Meat Trust.

XI. ANNUAL REVIEW AND UPDATE

The Board of Trustees shall annually review the Rehabilitation Plan with its actuaries and confirm that annual standards are being met. Following such review, the Board of Trustees shall annually update the Rehabilitation Plan as required by the PPA. Since the requirement for a Rehabilitation Plan is for the Plan to emerge from critical status by the end of the Rehabilitation Period, the primary standard that the Trustees expect to measure against each year is whether the Plan is still projected to emerge from critical status by the end of the Rehabilitation Period. Notwithstanding any annual updates to the Rehabilitation Plan, a Schedule of contribution rates provided by the Board of Trustees and relied upon by bargaining parties in negotiating a collective bargaining agreement shall remain in effect for the duration of that collective bargaining agreement.

XII. DEFAULT SCHEDULE

Attached to this Plan is the Preferred Schedule that will apply to Bargaining Parties that who have failed to adopt a Preferred Schedule under the Appendix: (a) within 180 days following the expiration of the Bargaining Parties' collective bargaining agreement that was in effect at the time the Trust entered critical status or (b) the date of this notice, whichever is later.

Upon the expiration of a CBA that includes the Preferred Schedule ("Expired CBA") on and after October 1, 2015, if the collective bargaining parties fail to adopt a Schedule of the Rehabilitation Plan that is in effect at the time such Expired CBA expires, the updated version of the Preferred Schedule in effect on the date the Expired CBA expires shall be imposed on the 180th day after the expiration of such Expired CBA.

APPENDIX

EMPLOYER BARGAINING AGREEMENTS COVERING ONLY SOUND RETIREMENT TRUST - PREFERRED SCHEDULE

This is the Preferred Schedule for Employers that have bargaining agreements with an obligation to contribute to the Sound Retirement Trust. This Appendix applies to stores in bargaining agreement areas (e.g., Spokane) in which both the grocery and meat department employees (if any) have historically participated in the Sound Retirement Trust (that is, bargaining agreements where the meat department employees were NOT covered under the former Washington Meat Industry Pension Trust).

This Preferred Schedule will apply to participating Employers and Unions that have adopted it as follows:

For bargaining agreements that expired on or after January 1, 2011 and prior to May 1, 2013, or which adopted this Schedule during that period, the Preferred Schedule requires the additional employer supplemental contribution rates set forth in Table 1 below.

TABLE 1	
Effective:	
January hours 2011	\$0.05
January hours 2012	\$0.10
January hours 2013	\$0.15
January hours 2014	\$0.20
January hours 2015	\$0.25
January hours 2016	\$0.30
January hours 2017	\$0.35
January hours 2018	\$0.40
January hours 2019	\$0.45
January hours 2020	\$0.50
January hours 2021	\$0.55
January hours 2022	\$0.60
January hours 2023	\$0.65

For bargaining agreements expiring on or after May 1, 2013, and prior to September 1, 2016, or which adopt this Schedule during this period, the Preferred Schedule requires the additional employer supplemental contribution rates set forth in Table 2 below.

TABLE 2	
Effective:	
January hours 2013	\$0.15
January hours 2014	\$0.21
January hours 2015	\$0.27
January hours 2016	\$0.33
January hours 2017	\$0.39
January hours 2018	\$0.45
January hours 2019	\$0.51
January hours 2020	\$0.57
January hours 2021	\$0.63
January hours 2022	\$0.69
January hours 2023	\$0.75

For bargaining agreements adopted on or after September 1, 2016, or which adopt this Table 3 before September 1, 2016, the Preferred Schedule requires the additional employer supplemental contribution rates set forth in Table 3 below.

TABLE 3*	
Effective:	
January hours 2016	\$0.330
January hours 2017	\$0.436
January hours 2018	\$0.542
January hours 2019	\$0.648
January hours 2020	\$0.754
January hours 2021	\$0.860
January hours 2022	\$0.966
January hours 2023	\$1.072

With respect to bargaining agreements adopted on or after September 1, 2016, the contribution increases provided under this Table 3 are effective no later than for hours worked the first full month following the later of the effective date or ratification date of the new collective bargaining agreement, not to exceed 180 days following the expiration of such agreement.

The initial rate increase cannot be made retroactive unless the amount of the increase is greater than the surcharge it replaces.

For bargaining agreements adopted on or after December 5, 2019, or which adopt this Table 4 before December 5, 2019, the Preferred Schedule requires the additional employer supplemental contribution rates set forth in Table 4 below.

TABLE 4*	
Effective:	
January hours 2020	\$0.784
January hours 2021	\$0.920
January hours 2022	\$1.056
January hours 2023	\$1.192
January hours 2024	\$1.222
January hours 2025	\$1.252
January hours 2026	\$1.282
January hours 2027	\$1.312
January hours 2028	\$1.342
January hours 2029	\$1.372

With respect to bargaining agreements adopted on or after December 5, 2019, the contribution increases provided under this Appendix are effective no later than for hours worked the first full month following the later of the effective date or ratification date of the new collective bargaining agreement, not to exceed 180 days following the expiration of such agreement.

*For employers who also contributed to the Sound Health & Wellness Retiree Trust, the above rates are \$0.01 higher due to the redirection of \$0.01 Retiree Trust contribution to Retirement Trust effective with March 2018 hours.

APPENDIX

SOUND TRUST AND WASHINGTON MEAT TRUST EMPLOYER – KROGER PREFERRED SCHEDULE EFFECTIVE JULY 1, 2014

This is a Preferred Schedule for the Sound Retirement Trust Rehabilitation Plan. This Preferred Schedule will apply to Employers affiliated with The Kroger Company and Unions that have adopted it as follows:

For bargaining agreements expiring on or after July 1, 2014 and before September 1, 2016, or which adopted this version of the Schedule between October 2013 and August 31, 2016, the Preferred Schedule requires the additional employer supplemental contribution rates set forth in Table 2 below.

TABLE 2		
Effective:	Sound:	Meat:
January hours 2014	\$0.21	N/A
July hours 2014	\$0.21	\$0.00
January hours 2015	\$0.27	\$0.00
January hours 2016	\$0.33	\$0.00
January hours 2017	\$0.39	\$0.00
January hours 2018	\$0.45	\$0.00
January hours 2019	\$0.51	\$0.00
January hours 2020	\$0.57	\$0.00
January hours 2021	\$0.63	\$0.00
January hours 2022	\$0.69	\$0.00
January hours 2023	\$0.75	\$0.00

For bargaining agreements adopted on or after September 1, 2016, or which adopt this Table 3, the Preferred Schedule requires the additional employer supplemental contribution rates set forth in Table 3 below.

TABLE 3*		
Effective:	Sound:	Meat:
January hours 2016	\$0.330	N/A
January hours 2017	\$0.436	\$0.00
January hours 2018	\$0.542	\$0.00
January hours 2019	\$0.648	\$0.00
January hours 2020	\$0.754	\$0.00
January hours 2021	\$0.860	\$0.00
January hours 2022	\$0.966	\$0.00
January hours 2023	\$1.072	\$0.00

For bargaining agreements covering Sound Retirement Trust participants as of June 30, 2014 the rate are in the “Sound” column. For bargaining agreements that covered Meat Participants under the former Washington Meat Trust as of June 30, 2014 the rates are in the “Meat” column.

In addition to the supplemental contributions for bargaining agreements that covered Meat Participants under the former Washington Meat Trust as of June 30, 2014, this Preferred Schedule allows for the Accruing Contribution rate to be reduced to not less than 45% of the Accruing Contribution level in effect on June 30, 2014, and calls for elimination of the 60% pre-Rehabilitation Plan supplemental funding contribution.

For bargaining agreements adopted on or after September 1, 2016, the contribution increases provided under this Table 3 are effective no later than for hours worked the first full month following the later of the effective date or ratification date of the new collective bargaining agreement, not to exceed 180 days following the expiration of such agreement.

*For employers who also contributed to the Sound Health & Wellness Retiree Trust, the above rates are \$0.01 higher due to the redirection of \$0.01 Retiree Trust contribution to Retirement Trust effective with March 2018 hours.

APPENDIX

SOUND TRUST AND WASHINGTON MEAT TRUST EMPLOYER BARGAINING AGREEMENTS

This is a Preferred Schedule for the Sound Retirement Trust Rehabilitation Plan applicable to Employers in bargaining agreement areas that required contributions to both the Sound Retirement Trust and former Washington Meat Industry Pension Trust. This Appendix applies to all employees in stores in bargaining agreement areas (e.g., King County) in which the meat department employees historically had been covered under the former Washington Meat Industry Pension Trust.

PREFERRED SCHEDULE EFFECTIVE JULY 1, 2014

This Preferred Schedule will apply to participating Employers and Unions that have adopted it as follows:

For bargaining agreements that expired on or after July 1, 2014 and before September 1, 2016, or which adopted this version of the Schedule between October 2013 and August 31, 2016, the Preferred Schedule requires the additional employer supplemental contribution rates set forth in Table 2 below.

TABLE 2		
Effective:	Sound	Meat
January hours 2014	\$0.21	N/A
July hours 2014	\$0.31	\$0.10
January hours 2015	\$0.37	\$0.10
July hours 2015	\$0.47	\$0.20
January hours 2016	\$0.53	\$0.20
July hours 2016	\$0.63	\$0.30
January hours 2017	\$0.69	\$0.30
July hours 2017	\$0.79	\$0.40
January hours 2018	\$0.85	\$0.40
July hours 2018	\$0.95	\$0.50
January hours 2019	\$1.01	\$0.50
July hours 2019	\$1.11	\$0.60
January hours 2020	\$1.17	\$0.60
July hours 2020	\$1.27	\$0.70
January hours 2021	\$1.33	\$0.70

July hours 2021	\$1.43	\$0.80
January hours 2022	\$1.49	\$0.80
July hours 2022	\$1.59	\$0.90
January hours 2023	\$1.65	\$0.90
July hours 2023	\$1.75	\$1.00

For bargaining agreements adopted on or after September 1, 2016, or which adopt this Table 3 on or before September 1, 2016, the Preferred Schedule requires the additional employer supplemental contribution rates set forth in Table 3 below.

TABLE 3*		
Effective:	Sound	Meat
January hours 2016	\$0.530	\$0.200
July hours 2016	\$0.630	\$0.300
January hours 2017	\$0.736	\$0.346
July hours 2017	\$0.836	\$0.446
January hours 2018	\$0.942	\$0.492
July hours 2018	\$1.042	\$0.592
January hours 2019	\$1.148	\$0.638
July hours 2019	\$1.248	\$0.738
January hours 2020	\$1.354	\$0.784
July hours 2020	\$1.454	\$0.884
January hours 2021	\$1.560	\$0.930
July hours 2021	\$1.660	\$1.030
January hours 2022	\$1.766	\$1.076
July hours 2022	\$1.866	\$1.176
January hours 2023	\$1.972	\$1.222
July hours 2023	\$2.072	\$1.322

For bargaining agreements covering Sound Retirement Trust participants as of June 30, 2014, the rates are in the “Sound” column. For bargaining agreements that covered Meat Participants under the former Washington Meat Trust as of June 30, 2014, the rates are in the “Meat” column.

In addition to the supplemental contributions for bargaining agreements that covered Meat Participants under the former Washington Meat Industry Pension Trust as of June 30, 2014, this Preferred Schedule allows for the Accruing Contribution rate to be reduced to not less than 45% of the Accruing Contribution level in effect on June 30, 2014, and calls for elimination of the 60% pre-Rehabilitation Plan supplemental funding contribution.

For bargaining agreements adopted on or after September 1, 2016, the contribution increases provided under this Table 3 are effective no later than for hours worked the first full month following the later of the effective date or ratification date of the new collective bargaining agreement, not to exceed 180 days following the expiration of such agreement.

For bargaining agreements adopted on or after December 5, 2019, or which adopt this Table 4 before December 5, 2019, the Preferred Schedule requires the additional employer supplemental contribution rates set forth in Table 4 below.

TABLE 4*		
Effective:	Sound	Meat
January hours 2020	\$1.384	\$0.814
July hours 2020	\$1.484	\$0.914
January hours 2021	\$1.620	\$0.990
July hours 2021	\$1.720	\$1.090
January hours 2022	\$1.856	\$1.166
July hours 2022	\$1.956	\$1.266
January hours 2023	\$2.092	\$1.342
July hours 2023	\$2.192	\$1.442
January hours 2024	\$2.222	\$1.472
January hours 2025	\$2.252	\$1.502
January hours 2026	\$2.282	\$1.532
January hours 2027	\$2.312	\$1.562
January hours 2028	\$2.342	\$1.592
January hours 2029	\$2.372	\$1.622

With respect to bargaining agreements adopted on or after December 5, 2019, the contribution increases provided under this Appendix are effective no later than for hours worked the first full month following the later of the effective date or ratification date of the new collective bargaining agreement, not to exceed 180 days following the expiration of such agreement.

*For employers who also contributed to the Sound Health & Wellness Retiree Trust, the above rates are \$0.01 higher due to the redirection of \$0.01 Retiree Trust contribution to Retirement Trust effective with March 2018 hours.

APPENDIX

EMPLOYERS PREVIOUSLY PARTICIPATING ONLY IN THE FORMER WASHINGTON MEAT INDUSTRY PENSION TRUST

PREFERRED SCHEDULE

This is a Preferred Schedule for the Sound Retirement Trust Rehabilitation Plan applicable to Employers in bargaining agreement areas that were required to make contributions only to the Washington Meat Industry Pension Trust and did not have an obligation to contribute to the Sound Retirement Trust prior to July 1, 2014. This Preferred Schedule will apply to participating Employers and Unions that have adopted it as follows:

For bargaining agreements expiring on or after July 1, 2014 and before September 1, 2016, or which adopt this Schedule during this period, the Preferred Schedule requires the employer supplemental contribution rates set forth in Table 2 below.

TABLE 2	
Effective:	Cumulative % Increase Over 06/30/2010 Rate
July hours 2014	81.4%
July hours 2015	120.8%
July hours 2016	160.2%
July hours 2017	199.6%
July hours 2018	239.0%
July hours 2019	278.4%
July hours 2020	317.8%
July hours 2021	357.2%
July hours 2022	396.6%
July hours 2023	436.0%

For bargaining agreements adopted on or after September 1, 2016, or which adopt this Table 3 before September 1, 2016, the Preferred Schedule requires the employer supplemental contribution rates set forth in Table 3 below.

TABLE 3*	
Effective:	Cumulative % Increase Over 06/30/2010 Rate
July hours 2016	160.20%
July hours 2017	203.35%
July hours 2018	246.50%
July hours 2019	289.65%
July hours 2020	332.80%
July hours 2021	375.95%
July hours 2022	419.10%
July hours 2023	462.25%

In addition to the supplemental contributions for bargaining agreements that covered Meat Participants under the former Washington Meat Industry Pension Trust as of June 30, 2014, this Preferred Schedule requires that the Accruing Contribution rate not be reduced and that the 60% pre-Rehabilitation Plan supplemental funding contribution remain in place.

The Accruing Contribution rate will be treated as follows:

- 55% of the Accruing Contribution rate in effect as of June 30, 2014 shall be treated as a supplemental contribution and shall not generate Regular Pension benefits, and
- the remainder of the negotiated Accruing Contribution rate shall earn Regular Pension benefits pursuant to Section 3.03 of the Sound Retirement Trust.

For bargaining agreements adopted on or after September 1, 2016, the contribution increases provided under this Table 3 are effective no later than for hours worked the first full month following the later of the effective date or ratification date of the new collective bargaining agreement, not to exceed 180 days following the expiration of such agreement.

For bargaining agreements adopted on or after December 5, 2019, or which adopt this Table 4 before December 5, 2019, the Preferred Schedule requires the additional employer supplemental contribution rates set forth in Table 4 below.

TABLE 4*	
Effective:	Cumulative % Increase Over 06/30/2010 Rate
July hours 2020	335.30%
July hours 2021	380.95%
July hours 2022	426.60%
July hours 2023	472.25%
July hours 2024	474.75%
July hours 2025	477.25%
July hours 2026	479.75%
July hours 2027	482.25%
July hours 2028	484.75%
July hours 2029	487.25%

With respect to bargaining agreements adopted on or after December 5, 2019, the contribution increases provided under this Appendix are effective no later than for hours worked the first full month following the later of the effective date or ratification date of the new collective bargaining agreement, not to exceed 180 days following the expiration of such agreement.

*For employers who also contributed to the Sound Health & Wellness Retiree Trust, the above rates are \$0.01 higher due to the redirection of \$0.01 Retiree Trust contribution to Retirement Trust effective with March 2018 hours.

APPENDIX

ADDITIONAL EMPLOYER CONTRIBUTION FOR BARGAINING AGREEMENTS RATIFIED ON AND AFTER DECEMBER 5, 2019 NON-KROGER

This is the Supplemental Preferred Schedule for Employers that have bargaining agreements with an obligation to contribute to the Sound Health & Wellness Trust and the Sound Retirement Trust.

In addition to the contribution increases provided for in the preceding schedules applicable to such employer, the following supplemental contribution increases will apply to participating Employers and Unions as follows:

For employers who participate in both the Sound Health & Wellness Trust and the Sound Retirement Trust, a monthly supplemental contribution (the “Supplemental Contribution”) shall be paid to the Sound Retirement Trust on a temporary basis as directed by the bargaining agreement to reflect the reduction in the contributions to the Sound Health & Wellness Trust under the bargaining agreement. The Supplemental Contributions will be paid to the Sound Retirement Trust on the same basis and rate as such contributions would otherwise be payable to the Sound Health & Wellness Trust. Such Supplemental Contributions to the Sound Retirement Trust shall continue as long as the all of the requirements under the bargaining agreement are met.

For the avoidance of doubt, the Supplemental Contributions under this Rehabilitation Plan Schedule are and shall be considered contribution rate increases in the Rehabilitation Plan schedules that are adopted in a collective bargaining agreement and shall be disregarded in determining an employer’s withdrawal liability.

APPENDIX

ADDITIONAL EMPLOYER CONTRIBUTION FOR BARGAINING AGREEMENTS RATIFIED ON AND AFTER SEPTEMBER 1, 2016

This is the Supplemental Preferred Schedule for Employers that had bargaining agreements with an obligation to contribute to both the Sound Health & Wellness Retiree Trust and the Sound Retirement Trust.

In addition to the contribution increases provided for in the preceding Schedules applicable to such employer, the following supplemental contribution increases will apply as follows:

For employers who participated in both the Sound Health & Wellness Retiree Trust and the Sound Retirement Trust, contributions that would otherwise have been payable to the Sound Health & Wellness Retiree Trust shall be paid to the Sound Retirement Trust as directed by the bargaining agreement ratified on and after September 1, 2016. The Supplemental Contributions will be paid to the Sound Retirement Trust on the same basis and rate as such contributions would otherwise be payable to the Sound Health & Wellness Retiree Trust.

For the avoidance of doubt, such contributions under this Rehabilitation Plan Schedule are and shall be considered contribution rate increases in the Rehabilitation Plan schedules that are adopted in a collective bargaining agreement and shall be disregarded in determining an employer's withdrawal liability.

Attachment to: 2019 Schedule MB (Form 5500), Line 4c
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

**Schedule MB, Line 4c – Documentation Regarding Progress Under Funding
Improvement or Rehabilitation Plan**

Please see the attached copy of the PPA Certification letter dated December 29, 2020 showing that the plan is making scheduled progress and is expected to emerge from critical status by the end of the Rehabilitation Period.

Note: This PPA Certification is the current year certification.

Attachment to: 2019 Schedule MB (Form 5500), Line 4c
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001



**Rael &
Letson**

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**ACTUARIAL CERTIFICATION REQUIRED UNDER
INTERNAL REVENUE CODE SECTION 432(b)**

***Sound Retirement Trust
Plan Year Beginning October 1, 2020***

To: Secretary of the Treasury
Board of Trustees of the Sound Retirement Trust

From: Paul Graf and Joshua Davis, Plan Actuaries

Date: December 29, 2020

Re: Sound Retirement Trust
EIN = 91-6069306; PN = 001
Plan Sponsor: Board of Trustees, Sound Retirement Trust
c/o Zenith Administrators
11724 NE 195th Street, Suite 300
Bothell, Washington 98011-3145
(206) 282-4100

The following certifies that, in accordance with Internal Revenue Code Section 432(b), the Sound Retirement Trust ("the Plan"), as of the beginning of its 2020/2021 Plan Year:

is **not** in critical and declining status
is in critical status
is making the scheduled progress in meeting the requirement of its Rehabilitation Plan

As of October 1, 2020, the projections used for this certification estimate the Plan's funded percentage to be 73.1% (below 80%) and the Funding Standard Account Credit Balance to be depleted by September 30, 2026. Accordingly, the Plan has not emerged from critical status and remains in critical status for the 2020/2021 Plan Year based on the criteria outlined in Internal Revenue Code Section 432(e)(4)(B).

Attachment to: 2019 Schedule MB (Form 5500), Line 4c
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

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The basis for this certification is as follows:

1. The projected present value of Plan liabilities as of the beginning of the 2020 Plan Year is based on the actuarial valuation as of October 1, 2019, and assumes no future liability gains or losses. The data, methodology, Plan provisions and assumptions utilized in the projection are those used for the October 1, 2019 actuarial valuation (except where noted otherwise in this document). The methods and assumptions are outlined in Exhibit I.
2. An actuarial projection of the Actuarial Value of Assets is based on the unaudited financial statements as of September 30, 2020, as provided by the Administrator, and assumes no investment gains or losses on market values after that date. The September 30, 2020 Market Value of Assets and 2019/2020 cash flow components provided by the Administrator are:

a.	Market Value as of September 30, 2020	\$2,537,647,528
b.	2019/2020 Employer Contributions	198,653,549
c.	2019/2020 Benefit Payments	175,580,075
d.	2019/2020 Operating Expenses	7,430,094

The assumptions and methodology utilized in the projection are those used for the October 1, 2019 actuarial valuation and are outlined in Exhibit I.

3. Contributions for the current and succeeding plan years are projected assuming the terms of the current collective bargaining agreements pursuant to which the Plan is maintained continue in effect for succeeding plan years. Based on input from the Board of Trustees, our projections assume that total hours worked going forward will remain level at 2019/2020 hours. Contributions are assumed to be made at the appropriate rate based on current collective bargaining agreements.
4. On November 2, 2020, the Board of Trustees of the Sound Retirement Trust filed a Notice of Proposed Transfer with the PBGC. The proposed transaction would transfer an estimated \$741 million of liabilities and \$190 million in assets from the Sound Retirement Trust to the UFCW Consolidated Pension Fund. This will transfer all Kroger-related liabilities and assets out of the Sound Retirement Trust. Both Boards of Trustees have approved the transfer and it is pending PBGC approval. Per the Bargaining Parties' agreements, once PBGC approval is granted and the transfer is complete, future accruals in the Sound Retirement Trust will cease and participants will begin to earn benefits in a new Variable Annuity Plan (VAP). Since at this time PBGC approval has not been granted, the projections for Zone Status Certification assume that Kroger will continue to contribute to the Sound Retirement Trust in all future years; that there is no transfer of assets and liabilities, and the VAP is not established.
5. Given that the Boards have approved the transfer and the bargaining parties have approved future accruals in the VAP, the projections for Rehabilitation Plan Sufficiency reflect the following changes:
 - a. It is assumed that as of October 1, 2021, a transfer of Kroger-related liabilities and a negotiated amount of assets will be transferred from the Sound Retirement Trust to

Attachment to: 2019 Schedule MB (Form 5500), Line 4c
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

Rael & Letson

the Consolidated Plan, all benefit accruals to the Sound Retirement Trust will be frozen, and 55 cents of negotiated contributions will be redirected to a newly established Variable Annuity Plan (VAP).

- b. It is assumed that as of October 1, 2021, 100% of the active participants of Retail Clerks, Retail Drug and Washington Meat participants are covered under the Preferred Schedule of the December 5, 2019 Rehabilitation Plan, and are assumed to have their benefits frozen in this plan.
-
- 6. The Plan received approval for a 5-year extension of charge bases effective for the Plan Year beginning October 1, 2008 and October 1, 2014, as permitted under Internal Revenue Code Section 431(d). Since the Plan was certified in critical status for a previous year, these amortization extensions are reflected in the Critical Status Emergence Test (see Exhibit III, item 2) and the Scheduled Progress Test (see Exhibit IV).
 - 7. The Plan's annual operating expense assumption, excluding investment expenses, is \$6,750,000 payable at the beginning of the 2020/2021 Plan year, dropping to \$6,250,000 payable at the beginning of the 2021/2022 Plan Year, and remaining level thereafter.
 - 8. The Plan was initially certified in critical status as of December 14, 2010 and the Board of Trustees adopted a Rehabilitation Plan under the guidelines of Internal Revenue Code Section 432(e), which is updated and monitored annually. The Rehabilitation Plan has been updated on several occasions since adoption, and most recently on September 16, 2020 updating future contribution increases. The 10-year Rehabilitation Period began October 1, 2013.
 - 9. As of October 1, 2020, the Plan is making the scheduled progress in meeting the requirements of its Rehabilitation Plan based on the criteria outlined in Internal Revenue Code Section 432(e)(3)(A). Exhibit II shows a projection of the Plan's credit balance under the assumption that all bargaining parties adopt the current Rehabilitation Plan Schedules as forecasted. Because, on this basis, the Plan is projected to have a credit balance greater than \$0 (no funding deficiency) for the ten consecutive plan years following the end of the Rehabilitation Period, it is making scheduled progress.

Attachment to: 2019 Schedule MB (Form 5500), Line 4c
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

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Comments and Certification

This certification has been prepared in accordance with our understanding of the requirements of Internal Revenue Code Section 432, the Preservation of Access to Care for Medicare Beneficiaries and Pension Relief Act of 2010, and the Multiemployer Pension Reform Act of 2014. To the best of our knowledge, the information supplied in this certification is complete and accurate and, in our opinion, the individual assumptions used in the projections: (a) are reasonably related to the experience of the Plan and to reasonable expectations; and (b) represent our best estimate of anticipated experience under the Plan.

We, Paul Graf and Joshua Davis, are members of the American Academy of Actuaries and meet the Qualification Standards of the American Academy of Actuaries to render the actuarial opinion contained herein.

December 29, 2020

Date



Paul Graf, ASA, EA, MAAA
Enrolled Actuary Number 20-05627
Rael & Letson

December 29, 2020

Date



Joshua Davis, FSA, EA, MAAA
Enrolled Actuary Number 20-07397
Cheiron

cc: Secretary of the Treasury

Attachment to: 2019 Schedule MB (Form 5500), Line 4c
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001



EXHIBIT I
ACTUARIAL METHODS AND ASSUMPTIONS

METHODS:	
Asset Valuation	<p>The actuarial value of assets uses a five-year schedule recognition method, where deferred income is based on actual returns on a market basis versus expected returns on the prior year's actuarial value. The actuarial asset value is calculated using the Fund's actual cash flows and the expected long-term rate of return on assets. The expected asset value is then subtracted from the market value. One fifth of this difference is then added to the expected asset value to arrive at the preliminary smoothed value of assets. If this preliminary value is within a 3% corridor around the market value at any valuation date, then the actuarial value of assets is set equal to market value.</p> <p>In no event is the actuarial value of assets either less than 80% or more than 120% of the market value.</p> <p>Merged assets from the Retail Drug Trust are treated as if the same method had been put in place retroactively to October 1, 2004. Permission to use the Retail Clerk's asset smoothing method on Retail Drug plan assets was also granted by the IRS in a letter dated October 30, 2009.</p> <p>Merged assets from the Washington Meat Pension Plan are treated as if the same method had been put in place retroactively to October 1, 2007. Permission to use the Sound Retirement Plan's asset smoothing method on Washington Meat plan assets effective October 1, 2014 was also granted by the IRS in a letter dated February 24, 2016.</p> <p>The Fund's Board of Trustees elected funding relief under §431(b)(8) of the Code and §304(b)(8) of ERISA to expand the applicable corridor limits to permit the actuarial value of plan assets to be as much as 130% of the market value of assets on October 1, 2009.</p>
Actuarial Cost Method (Statutory Basis)	<p><u>Unit Credit Cost Method</u></p> <p>Under this method, we determine the present value of all benefits earned through the valuation date. An individual's normal cost is the present value of the benefit expected to be earned in the valuation year. The total accrued liability is the sum of the individual present values for all participants. The Unfunded Accrued Liability is the difference between the accrued liability and the assets of the Trust. If the assets exceed the accrued liability, the Plan is in a surplus position. This method requires that each year's contributions be applied first to the normal cost, and the balance of the contributions applied to amortize the Unfunded Accrued Liability. The normal cost is adjusted at the close of the plan year to reflect the actual level of contributions received during that plan year.</p>
Amortization Extension	Effective October 1, 2008 and October 1, 2014, a 5-year extension of the applicable charge bases was incorporated.
Decrements	Retail Clerks and Retail Drug valuations use Probabilities (non-competing decrements) while Washington Meat employee valuation uses Rates (competing decrements).

Attachment to: 2019 Schedule MB (Form 5500), Line 4c
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001



EXHIBIT I
ACTUARIAL METHODS AND ASSUMPTIONS
(CONTINUED)

ASSUMPTIONS:	
Interest Discount Rate	6.50% for funding.
Assumed Rate of Return on Investments	6.50% compounded annually, net of investment expenses.
Derivation of Net Investment Return and Discount Rate for FASB ASC 960 Accounting	The expected return assumptions are established based on a long-term outlook and are based on past experience, future expectations and professional judgment. We have modeled the assumptions based on average long-term future expected returns and their respective capital market assumptions as provided by several investment professionals. Based on the inputs of the Plan's specific target asset allocation, we have established the reasonability of the Plan's assumption.
Operating Expenses	A total annual amount of \$7,000,000 payable at the beginning of the year starting October 1, 2019. Operating expenses are assumed to be \$6,750,000 payable at the beginning of the 2020/2021 Plan year, dropping to \$6,250,000 payable at the beginning of the 2021/2022 Plan Year, and remaining level thereafter based on the expectation there will be a measurable reduction in participant counts and administrative duties after the proposed transfer is complete.
Investment Expenses	Assumed to be covered by the rate of return on investments.
Justification for Demographic Assumptions	The mortality, termination, retirement and disability assumptions are reviewed with each valuation to ensure they are reasonable and represent the actuary's best estimate of the long-term expectations for the Plan. Past experience and anticipated future experience based on industry-specific knowledge and professional judgment are used to verify the reasonability of each of these assumptions.
Mortality	Healthy Lives: RPH-2014 Healthy Annuitant Mortality Table for Males and Females with Blue Collar adjustments, backed down to 2006, then projected to 2015 valuation date using the MP-2015 projection scale, and projected fully generationally using 75% of the ultimate rates of MP-2015 from 2015. Disabled Lives: RPH-2014 Disabled Annuitant Mortality Table for Males and Females, backed down to 2006, then projected to 2015 valuation date using the MP-2015 projection scale, and projected fully generationally using 75% of the ultimate rates of MP-2015 from 2015.
Mortality Improvement	75% of the ultimate rate of improvement from the MP-2015 scale starting in 2015, applied on a fully generational basis.

Attachment to: 2019 Schedule MB (Form 5500), Line 4c
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001



EXHIBIT I
ACTUARIAL METHODS AND ASSUMPTIONS
(CONTINUED)

ASSUMPTIONS:					
Termination Rates	Termination of Retail Clerks and Retail Drug employees from participation in the Plan is anticipated in accordance with a scale based on service.		Termination of Washington Meat employees from participation in the plan is anticipated in accordance with a scale based on age.		
			Age	Cutters & Wrappers	Service Counter
	Service	Male and Female			
	1	35.0%	20	11.94%	6.88%
	5	16.0%	25	11.62%	4.90%
	10	10.0%	30	11.21%	3.76%
	15	6.5%	35	10.55%	2.90%
	20	5.0%	40	9.40%	2.18%
	25	4.0%	45	7.54%	1.48%
	>=30	2.5%	50	4.83%	0.73%
			55	1.73%	0.00%
			60	0.16%	0.00%
			Withdrawal rates shown are ultimate rates. The 5-year select rates for Cutter and Wrapper participants are increased by 10 percentage points per year. The 5-year select rates for Service Counter participants are increased by 3.5 percentage points. Withdrawal rates do not apply at or beyond first assumed retirement age.		

Attachment to: 2019 Schedule MB (Form 5500), Line 4c
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001



EXHIBIT I
ACTUARIAL METHODS AND ASSUMPTIONS
(CONTINUED)

ASSUMPTIONS:				
Retirement Rates	For Retail Clerks and Retail Drug employees:		For Washington Meat employees who are eligible for Rule of 85 Pension*:	
	Age	Rate of Retirement	Age	Rate of Retirement
	55	6.5%	50-56	35.0%
	56-59	5.5%	57-62	25.0%
	60	7.5%	For Washington Meat employees who are not eligible for Rule of 85 Pension*:	
	61	12.0%		
	62	17.0%	Age	Rate of Retirement
	63	14.0%	55-60	8.0%
	64	17.5%	61-64	15.0%
	65	30.0%	65 & older	100.0%
	66	27.5%	* Rule of 85 rates apply only to active participants who (a) are within 10 years of eligibility for Rule of 85 and (b) had at least 16 years of service as of July 1, 2011.	
	67-69	20.0%		
	70-74	60.0%		
	75 & older	100.0%		
Disability Rates	All terminated vested participants from Retail Clerks and Retail Drug are assumed to retire at age 65.		Inactive members who were Washington Meat employees with vested rights are assumed to retire at age 60.	
	Disability retirements for Retail Clerks and Retail Drug employees are anticipated in accordance with a scale based on age. The following disability rates are illustrative.		Disability retirements for Washington Meat employees are anticipated in accordance with a scale based on age. The following disability rates are illustrative.	
	Age	Rate of Disability	Age	Rate of Disability
	20	0.01%	20	0.06%
	25	0.01%	25	0.09%
	30	0.01%	30	0.11%
	35	0.02%	35	0.15%
	40	0.02%	40	0.22%
	45	0.05%	45	0.36%
	50	0.10%	50	0.61%
	55	0.21%	55	1.01%
	60	0.44%	60	1.63%

Attachment to: 2019 Schedule MB (Form 5500), Line 4c
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001



EXHIBIT I
ACTUARIAL METHODS AND ASSUMPTIONS
(CONTINUED)

ASSUMPTIONS:	
Form of Payment	<p>For Retail Clerks and Retail Drug, it is assumed that future retirees elect a Single Life Annuity.</p> <p>For Washington Meat, 50% of pensioners are assumed to elect the 50% Spouse Option and the other 50% are assumed to elect the Single Life Annuity (with five-year guarantee, if under the Default Schedule or if not under a schedule yet).</p>
Late Retirement Behavior	<p>Active participants working beyond age 65 and terminated vested Retail Drug employees who have not accrued a benefit after October 1, 2008 are valued as receiving an actuarial increase in their retirement benefit.</p> <p>All other terminated vested participants who are older than age 65 are assumed to elect retroactive retirement and to receive a lump sum of all missed payments, together with 5% interest. In addition, terminated vested participants who are older than age 90 are assumed to have zero liability.</p> <p>For active and inactive Washington Meat employees, only the normal retirement benefit is valued as an implicit assumption that not all participants will claim their benefits.</p>
Restoration of Retirement Subsidy	<p>Early</p> <p>Participants who have incurred a one-year break in service in a plan year that begins on or after October 1, 2016 lose their early retirement eligibility and subsidy on accrued benefits earned prior to the break in service. Participants are able to earn back the early retirement eligibility by returning back to covered employment and earning 750 or more hours in covered employment for one year. Participants are able to earn back the use of the standard factors by returning to covered employment and earning 750 or more hours in covered employment in each of five consecutive plan years. It is assumed that 7.5% of current and future terminated vested participants will return to work and work sufficient hours to get the subsidized early retirement factors reinstated prior to retirement. For Washington Meat employees, the subsidies are lost and restored on only the benefits earned after June 30, 2014 merger.</p>
Cost of Living Increases	<p>Cost of living increases of 2% per year are anticipated after benefit payments commence. This applies only to benefits earned under the Retail Clerks plan prior to September 1, 2003 and to participants who were classified as an active participant in the 2010 Rehabilitation Plan or who have not incurred a one-year break in service in a plan year that begins on or after October 1, 2010. This benefit is no longer provided for active participants who retire on or after the later of January 1, 2017 or the first date the 2016 Rehabilitation Plan is applicable.</p>
Marital Status	<p>For Retail Clerks and Retail Drug, 85% of non-retired participants are assumed to be married.</p> <p>For Washington Meat, 85% of male and 65% of female non-retired participants are assumed to be married.</p> <p>Husbands are assumed to be four years older than wives.</p>

Attachment to: 2019 Schedule MB (Form 5500), Line 4c
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001



EXHIBIT I
ACTUARIAL METHODS AND ASSUMPTIONS
(CONTINUED)

ASSUMPTIONS:	
Active Employment	Worked at least 500 hours in covered employment.
Future Employment	Active Retail Clerks, Retail Drug, and Washington Meat participants who worked less than 750 hours in the past year are expected to work 625 hours per year. For active participants who worked over 750 hours in the past year: Active Retail Clerks participants are expected to work 1,625 hours per year. Active Retail Drug employees are expected to work 1,625 hours per year. Active Washington Meat employees are expected to work 1,800 hours per year.
Future Contributions	To forecast contributions, total covered hours worked under the Trust are assumed to be 1,625 for Retail Clerks, 1,625 for Retail Drug and 1,800 for Washington Meat employees.
Adoption Rate of the Rehabilitation Plan	The Sound Retirement Trust originally adopted a Rehabilitation Plan effective December 16, 2010. It was last updated on December 5, 2019. Since the provisions of the 2019 Rehabilitation Plan are still being integrated into the various bargaining agreements, it was assumed that approximately 76% of the active Clerk, Drug, and Meat participants reflects the Updated Preferred Schedule of the 2019 Rehabilitation Plan. The remaining 24% reflects the prior Preferred Schedule of the 2016 Rehabilitation Plan. The 2016 Rehabilitation Plan is assumed to be fully integrated into the various bargaining agreements. For measuring the Rehabilitation Plan, 94% of contracts are assumed to be adopted as of October 1, 2020 and 100% of contracts are assumed to be adopted as of October 1, 2021.
"Meat Dimes" Contribution Rate Adoption Assumption	For Meat Employers obligated to pay the Meat Dimes: 59.5% of Meat hours are paying the Meat dimes. For Sound Employers obligated to pay the Meat Dimes: 51.5% of Meat hours are paying the Meat dimes. Meat-only contracts are assumed to include 4.6% of Meat hours.
Return to Work	7.5% of current and future vested terminated participants are assumed to return to work and work sufficient hours to get the subsidized early retirement factors reinstated.
Benefits not included in the Valuation	To the best of our knowledge, no benefits have been excluded from the liabilities.
Missing Data	If birth date is unknown, active participants and terminated vested participants are assumed to be the average age of the participants within the same status and the same plan, Retail Clerks, Retail Drug, or Washington Meat. For Retail Clerks and Retail Drug, those for whom sex is unknown are assumed to be female. For Washington Meat, those for whom sex is unknown are assumed to be male.

Attachment to: 2019 Schedule MB (Form 5500), Line 4c
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001



EXHIBIT II
PROJECTIONS USED TO TEST FUND STATUS
For the October 1, 2020 – September 30, 2021 Plan Year

1. Funding Standard Account Credit Balance (used in Exhibit III, Items 2)

Credit Balance Projection (in Millions)								
As of October 1	2020	2021	2022	2023	2024	2025	2026	2027
Credit Balance	205.9	157.5	117.6	90.2	57.8	30.3	(19.6)	(79.1)
As of October 1	2028	2029	2030	2031	2032	2033	2034	2035
Credit Balance	(141.2)	(196.1)	(234.1)	(257.4)	(269.4)	(256.7)	(200.0)	(125.2)

2. Funding Standard Account Credit Balance (used in Scheduled Progress Testing)

Credit Balance Projection (in Millions)								
As of October 1	2020	2021	2022	2023	2024	2025	2026	2027
Credit Balance	205.9	146.0	147.4	160.7	177.5	200.0	215.4	229.9
As of October 1	2028	2029	2030	2031	2032	2033	2034	2035
Credit Balance	247.2	270.5	298.8	328.9	358.7	394.3	444.7	496.9

Attachment to: 2019 Schedule MB (Form 5500), Line 4c
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001



EXHIBIT II
PROJECTIONS USED TO TEST FUNDED STATUS
(CONTINUED)

3. Critical and Declining Solvency Projection (used in Exhibit III, Item 5 and Scheduled Progress Testing)

The solvency projections are tracked over 19 years based on an estimated funding ratio of 73.1% as of October 1, 2020.

Projection (in Billions)									
As of October 1	2020	2021	2022	2023	2024	2025	2026	2027	2028
Market Value of Assets	2.5	2.4	2.5	2.5	2.6	2.6	2.6	2.7	2.7
As of October 1	2029	2030	2031	2032	2033	2034	2035	2036	2037
Market Value of Assets	2.8	2.8	2.9	2.9	3.0	3.0	3.0	3.1	3.2
As of October 1	2038	2039	2040	2041	2042	2043	2044	2045	2046
Market Value of Assets	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	4.1
As of October 1	2047	2048	2049	2050	2051	2052	2052		
Market Value of Assets	4.3	4.5	4.7	4.9	5.1	5.4	5.7		

Attachment to: 2019 Schedule MB (Form 5500), Line 4c
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001



EXHIBIT III
TESTS OF FUND STATUS

For the October 1, 2020 – September 30, 2021 Plan Year

Critical Status Test	
1. Is the Plan in critical status for the preceding plan year?	YES
2. Is the Plan projected to have an accumulated funding deficiency for the 2020/2021 Plan Year or any of the 9 succeeding plan years, without regard to the use of the shortfall funding method but taking into account any extensions of the amortization periods under Section 431(d) of the Code?	YES
3. If 2 is no, is the Plan projected to become insolvent in any of the 30 succeeding plan years?	N/A
4. Result: If 1 and 2 are both yes, then the Plan is in critical status based on the criteria outlined in Internal Revenue Code Section 432(e)(4)(B). If 2 and 3 are both no, then the Plan is projected to emerge from the Red Zone, and the zone status will be determined based on the criteria outlined in Internal Revenue Code Section 432(b).	Critical Status
Critical and Declining Status	
5. Is the Plan in critical status and projected to become insolvent within the current or the next 19 plan years?	NO
6. Result: If 4. is critical status and 5. is yes, then the Plan is in critical and declining status. If 4. is critical status and 5. is no, then the Plan is in critical status.	Critical Status

Attachment to: 2019 Schedule MB (Form 5500), Line 4c
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001



EXHIBIT IV
SCHEDULED PROGRESS

For the October 1, 2020 – September 30, 2021 Plan Year

On December 14, 2010, the Plan was initially certified as being in critical status for the Plan Year beginning October 1, 2010. A Rehabilitation Plan was originally adopted on December 14, 2010 (effective December 16, 2010) and was most recently updated effective September 16, 2020. The Rehabilitation Period for the Plan is the 10-year period beginning October 1, 2013 and ending September 30, 2023.

To meet scheduled progress, the Plan must still be projected to emerge from critical status by the end of the Rehabilitation Period. The tests below demonstrate that the Plan is projected to satisfy the critical status emergence criteria at the end of the Rehabilitation Period.

Rehabilitation Period Begins October 1, 2013
Rehabilitation Period Ends September 30, 2023

1.	Is the Plan projected to avoid an accumulated funding deficiency in the 2022/2023 Plan Year and for the nine succeeding plan years following the end of the Rehabilitation Period?	YES
2.	Is the Plan projected to remain solvent for the 30 succeeding plan years starting in (2023/2024)?	YES
3.	Is the Plan making scheduled progress? If 1 and 2 are both yes, then the Plan is making scheduled progress (Enter Yes, otherwise enter No.)	YES

Sound Retirement Trust
Financial Transaction Schedule
Form 5500 - Schedule G - Part I
September 30, 2020
EIN: 91-6069306
Plan Number: 001
Schedule G, Part I—Overdue Loan Explanation

Identity of Obligor	Status of Overdue Amount
ICG Funding LLC	The Company's plan for reorganization was approved by the bankruptcy court in January 2003. The position has been marked down to zero pending any future resolution and possible recovery from the bankruptcy court.
Lehman Brothers Holdings	The Company filed Chapter 11 bankruptcy in September 2008. This security has been marked close to zero pending any future resolution and possible recovery from the bankruptcy court.

Attachment to: 2019 Schedule MB (Form 5500), Line 8b(1)
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

Schedule MB, Line 8b(1) – Schedule of Projection of Expected Benefit Payments

Plan Year	Expected Annual Benefit Payments
2019	\$191,833,233
2020	190,898,890
2021	199,408,866
2022	207,853,128
2023	216,166,822
2024	224,919,576
2025	233,233,258
2026	241,250,474
2027	249,267,170
2028	256,015,052

Sound Retirement Trust

EIN: 91-6069306

Plan Number: 001

FYE: September 30, 2020

Schedule R, line 13d - Collective Bargaining Agreement Expiration Dates

Albertsons Inc.
20-4057706

01/16/21
10/16/21
11/13/21
01/22/22
4/1/22
05/07/22
05/28/22
07/23/22
10/08/22
10/15/22

Kroger
dba Fred Meyer
91-1826443

01/16/21
01/16/21
04/01/22
05/07/22
05/28/22
10/15/22

Safeway
Stores, Inc.
94-3019135

12/07/20
01/16/21
02/06/21
04/17/21
10/16/21
01/22/22
04/23/22
05/01/22
05/07/22
07/01/22
07/09/22
09/17/22
10/08/22
10/15/22
03/18/23
04/08/23

Sound Retirement Trust

EIN: 91-6069306

FYE: September 30, 2020

Plan Number: 001

Schedule R, line 13e - Information on Contribution Rates and Base Units

Albertsons Inc. 20-4057706		Kroger dba Fred Meyer 91-1826443		Safeway Stores, Inc. 94-3019135	
<u>Rate</u>	<u>Base Unit</u>	<u>Rate</u>	<u>Base Unit</u>	<u>Rate</u>	<u>Base Unit</u>
0.15	Hourly	0.10	Hourly	0.10	Hourly
0.25	Hourly	0.25	Hourly	0.15	Hourly
0.30	Hourly	0.30	Hourly	0.22	Hourly
0.35	Hourly	0.40	Hourly	0.25	Hourly
0.40	Hourly	0.42	Hourly	0.27	Hourly
0.50	Hourly	0.55	Hourly	0.30	Hourly
0.55	Hourly			0.35	Hourly
0.65	Hourly			0.40	Hourly
				0.42	Hourly
				0.45	Hourly
				0.50	Hourly
				0.52	Hourly
				0.55	Hourly
				0.60	Hourly
				0.65	Hourly
				0.80	Hourly

Attachment to: 2019 Schedule MB (Form 5500), Line 3
Plan Name: Sound Retirement Trust
Employer ID: 91-6069306
Plan Number: 001

Schedule MB, Line 3 - Withdrawal Liability Amounts

Payment Date	Withdrawal Liability Payments	Payment Date	Withdrawal Liability Payments
10/01/2019	\$ 18,711.75	4/15/2020	\$ 178,375.00
10/15/2019	14,874.25	4/22/2020	30,511.24
10/18/2019	12,500.00	5/01/2020	28,821.75
10/24/2019	27,035.25	5/06/2020	19,332.25
10/25/2019	63,522.00	5/22/2020	63,522.00
10/29/2019	1,786.50	5/27/2020	8,056.00
12/03/2019	8,056.00	6/02/2020	10,935.35
12/10/2019	10,935.35	6/05/2020	14,874.25
12/19/2019	4,724.00	6/16/2020	19,332.25
12/27/2019	36,973.00	6/22/2020	1,506,302.00
12/31/2019	19,332.25	6/26/2020	23,435.75
1/03/2020	37,500.00	8/14/2020	11,216.55
1/07/2020	14,874.24	8/19/2020	1,786.50
1/17/2020	220,000.00	8/24/2020	27,035.25
1/21/2020	63,522.00	9/01/2020	285,000.00
1/28/2020	28,821.75	9/09/2020	10,935.35
3/03/2020	18,991.35	9/11/2020	34,206.50
3/23/2020	633,500.00	9/23/2020	4,724.00
3/27/2020	4,724.00	9/30/2020	18,711.75
4/01/2020	18,711.75	Total	\$3,556,209.18

Plan Number: 001

FYE: September 30, 2020

Schedule of Reportable Transactions, Form 5500, Schedule H, Line 4j

(a) Identity of party involved	(b) Description of asset	(c) Purchase Price	(d) Selling price	(e) Lease Rental	(f) Expense incurred with transaction	(g) Cost of asset	(h) Current value of asset on transaction date	(i) Net gain or (loss)
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[illegible]

RETAIL CLERKS PENSION TRUST
SCHEDULE H, LINE 4J - SCHEDULE OF REPORTABLE TRANSACTIONS - SERIES
BEGINNING NET ASSET VALUE: 2,318,200,423.87
5% OF ASSET VALUE: 115,910,021.19

(A) IDENTITY OF PARTY		(B) DESCRIPTION OF ASSET		RATE		MAT DATE			
#PUR	(C) PURCHASE PRICE	#SALE	(D) SELLING PRICE	(F) EXPENSES INCURRED	(G) COST OF ASSET	#TOTAL	(H) CURR VALUE	(I) GAIN/LOSS	
INTEREST BEARING CASH									
3839909E0	GOVERNMENT STIF 18					1.000	12/31/2030		
72	94,550,022.58	39	73,147,607.62	0.00	73,147,607.62	111	167,697,630.20	0.00	
INTEREST BEARING CASH TOTALS									
72	94,550,022.58	39	73,147,607.62	0.00	73,147,607.62	111	167,697,630.20	0.00	

RETAIL CLERKS PENSION TRUST
 SCHEDULE H, LINE 4J - SCHEDULE OF REPORTABLE TRANSACTIONS - SERIES
 BEGINNING NET ASSET VALUE: 2,318,200,423.87
 5% OF ASSET VALUE: 115,910,021.19

(A) IDENTITY OF PARTY		(B) DESCRIPTION OF ASSET		RATE		MAT DATE			
#PUR	(C) PURCHASE PRICE	#SALE	(D) SELLING PRICE	(F) EXPENSES INCURRED	(G) COST OF ASSET	#TOTAL	(H) CURR VALUE	(I) GAIN/LOSS	
U.S. GOVERNMENT SECURITIES									
912810RF7	TSY INFL IX N/B			02/44 1.375		1.375	02/15/2044		
37	345,430,960.23	26	319,991,177.30	0.00	319,386,535.84	63	665,422,137.53	604,641.46	
912810SB5	TSY INFL IX N/B			02/48 1		1.000	02/15/2048		
112	61,975,746.05	46	62,379,885.99	0.00	62,250,673.13	158	124,355,632.04	129,212.86	
U.S. GOVERNMENT SECURITIES TOTALS									
149	407,406,706.28	72	382,371,063.29	0.00	381,637,208.97	221	789,777,769.57	733,854.32	

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PLAN YEAR ENDING: 11/30/19

RETAIL CLERKS PENSION TRUST
 SCHEDULE H, LINE 4J - SCHEDULE OF REPORTABLE TRANSACTIONS - SERIES
 BEGINNING NET ASSET VALUE: 2,318,200,423.87
 5% OF ASSET VALUE: 115,910,021.19

(A) IDENTITY OF PARTY	(B) DESCRIPTION OF ASSET	RATE	MAT DATE	(I) GAIN/LOSS
#PUR (C) PURCHASE PRICE	#SALE (D) SELLING PRICE	(F) EXPENSES INCURRED	(G) COST OF ASSET	#TOTAL (H) CURR VALUE
OTHER				
99S18K669	SWU00U3Y4 TRS USD R E	SWU00U3Y4 EQUITYTRS		
2 146,221,194.30	1 142,224,424.92	0.00 129,770,575.25	3 288,445,619.22	12,453,849.67
99S18K677	SWU00U3Y4 TRS USD P V 03MLIBOR	SWUV0U3Y6 EQUITYTRS	1.000 05/20/2020	
1 129,425,050.44	2 146,221,194.30	0.00 146,221,194.30	3 275,646,244.74	0.00
OTHER TOTALS				
3 275,646,244.74	3 288,445,619.22	0.00 275,991,769.55	6 564,091,863.96	12,453,849.67

RETAIL CLERKS PENSION TRUST
 SCHEDULE H, LINE 4J - SCHEDULE OF REPORTABLE TRANSACTIONS - SINGLE
 BEGINNING NET ASSET VALUE: 2,318,200,423.87
 5% OF ASSET VALUE: 115,910,021.19

PLAN YEAR ENDING: 11/30/19

(A) IDENTITY OF PARTY	(B) DESCRIPTION OF ASSET	(F) EXPENSES INCURRED	(G) COST OF ASSET	RATE	MAT DATE	(I) GAIN/LOSS
(C) PURCHASE PRICE	(D) SELLING PRICE				(H) CURR VALUE	
OTHER						
99S18K669	SWU00U3Y4 TRS USD R E	SWU00U3Y4 EQUITYTRS				
142,224,424.92		0.00	0.00		142,224,424.92	0.00
99S18K669	SWU00U3Y4 TRS USD R E	SWU00U3Y4 EQUITYTRS				
	142,224,424.92	0.00	129,770,575.25		142,224,424.92	12,453,849.67
99S18K677	SWU00U3Y4 TRS USD P V 03MLIBOR	SWUV0U3Y6 EQUITYTRS		1.000	05/20/2020	
129,425,050.44		0.00	0.00		129,425,050.44	0.00
99S18K677	SWU00U3Y4 TRS USD P V 03MLIBOR	SWUV0U3Y6 EQUITYTRS		1.000	05/20/2020	
	142,224,424.92	0.00	142,224,424.92		142,224,424.92	0.00
OTHER TOTALS						
		0.00	271,995,000.17		556,098,325.20	12,453,849.67

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
ENDING MARKET VALUE					2,471,378,889.88		
COMPARATIVE VALUE (5%)					123,568,944.49		
CATEGORY 1 - SINGLE TRANSACTION EXCEEDS 5% OF VALUE							
NO TRANSACTIONS QUALIFIED FOR THIS SECTION							
CATEGORY 2 - SERIES OF TRANSACTIONS WITH SAME BROKER EXCEEDS 5% OF VALUE							
Broker: Barclays Capital Inc. Fixed In							
12/09/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 7,146,720.000	1.1381		8,133,861	8,108,159	25,702
12/09/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	7,147,280.000	1.1381		- 8,133,966	8,133,966	
12/10/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 16,336,640.000	1.1409		18,639,085	18,550,175	88,911
12/10/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	16,337,760.000	1.1409		- 18,639,287	18,639,287	
12/11/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 16,337,760.000	1.1397		18,619,941	18,606,540	13,401
12/11/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	16,339,040.000	1.1396		- 18,620,209	18,620,209	
12/12/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 15,317,850.000	1.1419		17,491,070	17,452,178	38,892

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
12/12/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	15,318,900.000	1.1418		- 17,491,308	17,491,308	
12/13/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 11,233,860.000	1.1281		12,673,198	12,814,097	- 140,898
12/13/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	11,236,390.000	1.1279		- 12,673,778	12,673,778	
12/16/2019 19-520722	Issue: 654740BD0 - Nissan Motor 2.600% 9/28/22 B	100,000.000	1.0015		- 100,145	100,145	
12/16/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 17,365,330.000	1.1350		19,709,650	19,712,699	- 3,050
12/16/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	17,366,520.000	1.1349		- 19,710,003	19,710,003	
12/17/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 15,323,400.000	1.1331		17,363,328	17,392,372	- 29,044
12/17/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	15,324,600.000	1.1331		- 17,363,609	17,363,609	
12/18/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 7,151,480.000	1.1334		8,105,756	8,108,815	- 3,059
12/18/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	7,152,040.000	1.1334		- 8,105,887	8,105,887	
12/19/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 13,282,360.000	1.1219		14,901,148	15,058,592	- 157,444

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
12/19/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	13,283,270.000	1.1218		- 14,901,373	14,901,373	
12/20/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 16,348,640.000	1.1322		18,509,726	18,435,722	74,004
12/20/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	16,352,320.000	1.1320		- 18,510,577	18,510,577	
12/23/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 18,396,360.000	1.1338		20,856,873	20,794,722	62,151
12/23/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	18,397,620.000	1.1337		- 20,857,194	20,857,194	
12/24/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 15,331,350.000	1.1231		17,219,022	17,366,002	- 146,979
12/24/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	15,333,600.000	1.1230		- 17,219,525	17,219,525	
12/26/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 16,355,840.000	1.1256		18,410,542	18,433,021	- 22,479
12/26/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	16,357,120.000	1.1256		- 18,410,806	18,410,806	
12/27/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 23,513,360.000	1.1247		26,445,182	26,478,220	- 33,038
12/27/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	23,518,420.000	1.1245		- 26,446,054	26,446,054	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
12/30/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 17,383,180.000	1.1153		19,387,678	19,548,709	- 161,031
12/30/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	17,384,540.000	1.1152		- 19,387,876	19,387,876	
12/30/2019 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	1,410,072.000	1.3217		- 1,863,719	1,863,719	
01/02/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 21,476,280.000	1.1184		24,019,877	24,018,317	1,560
01/02/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	21,475,860.000	1.1185		- 24,020,078	24,020,078	
01/03/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 20,453,200.000	1.1444		23,406,131	22,875,888	530,243
01/03/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	20,452,200.000	1.1445		- 23,406,914	23,406,914	
01/06/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 24,747,162.000	1.1534		28,544,305	28,182,915	361,389
01/06/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	24,746,678.000	1.1535		- 28,544,812	28,544,812	
01/07/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	26,106,212.100	1.1369		- 29,680,531	29,680,531	
01/07/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 26,106,722.700	1.1369		29,680,080	29,887,400	- 207,319

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/08/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	26,105,701.500	1.1303		- 29,508,458	29,508,458	
01/08/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 26,106,212.100	1.1303		29,508,178	29,697,923	- 189,745
01/08/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 1,545,712.000	1.1959		1,848,575	1,843,101	5,474
01/08/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	1,545,684.000	1.1960		- 1,848,601	1,848,601	
01/09/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 1,214,466.000	1.1913		1,446,733	1,448,270	- 1,538
01/09/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	1,214,444.000	1.1913		- 1,446,753	1,446,753	
01/13/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 B	2,618,836.000	1.3332		- 3,491,440	3,491,440	
01/13/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 S	- 521,685.000	1.1384		593,906	586,665	7,241
01/13/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 B	521,680.000	1.1385		- 593,919	593,919	
01/13/2020 19-520723	Issue: 85172FAJ8 - Springleaf Finance 8.250% 12/15/20 B	1,245,000.000	1.0538		- 1,311,919	1,311,919	
01/14/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 1,987,128.000	1.2091		2,402,562	2,384,044	18,518

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/14/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	1,987,092.000	1.2091		- 2,402,596	2,402,596	
01/16/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 16,900,767.900	1.1506		19,446,446	19,017,027	429,419
01/16/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	16,898,619.000	1.1509		- 19,448,819	19,448,819	
01/27/2020 19-520722	Issue: 912828V49 - U S Treasury I P S 0.375% 1/15/27 S	- 2,875,149.000	1.0306		2,963,200	2,907,071	56,129
01/27/2020 19-520722	Issue: 912828V49 - U S Treasury I P S 0.375% 1/15/27 B	2,875,122.000	1.0307		- 2,963,304	2,963,304	
01/30/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 16,896,635.400	1.1919		20,138,677	19,552,108	586,569
01/30/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	16,893,329.400	1.1923		- 20,141,454	20,141,454	
02/10/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 S	- 1,251,288.000	1.1906		1,489,815	1,418,521	71,294
02/10/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 B	1,251,240.000	1.1907		- 1,489,848	1,489,848	
02/13/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 15,326,400.000	1.1828		18,128,258	18,015,794	112,463
02/13/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	15,325,950.000	1.1829		- 18,128,602	18,128,602	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/14/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 S	- 8,802,448.000	1.3713		12,070,357	11,146,429	923,928
02/14/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 B	8,801,338.000	1.3714		- 12,070,553	12,070,553	
02/14/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	15,324,000.000	1.1909		- 18,248,917	18,248,917	
02/14/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 15,325,950.000	1.1906		18,247,459	18,175,800	71,659
02/18/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 15,324,000.000	1.1991		18,374,434	18,173,431	201,003
02/18/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	15,323,550.000	1.1991		- 18,374,804	18,374,804	
02/19/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 15,323,550.000	1.1978		18,354,740	18,374,804	- 20,064
02/19/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	15,323,100.000	1.1979		- 18,355,094	18,355,094	
02/20/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 9,193,860.000	1.2038		11,067,109	10,976,193	90,916
02/20/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 9,908,938.000	1.2034		11,924,788	11,829,897	94,891
02/20/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	9,193,500.000	1.2038		- 11,067,210	11,067,210	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/20/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	9,908,550.000	1.2035		- 11,924,896	11,924,896	
02/21/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 10,725,750.000	1.2178		13,061,952	12,903,037	158,915
02/21/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 7,150,500.000	1.2181		8,710,203	8,602,025	108,178
02/21/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	10,724,805.000	1.2180		- 13,062,658	13,062,658	
02/21/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	7,149,870.000	1.2183		- 8,710,681	8,710,681	
02/24/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 20,458,842.300	1.2309		25,183,556	24,882,079	301,477
02/24/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 7,762,488.000	1.2364		9,597,589	9,579,390	18,198
02/24/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	20,457,440.200	1.2312		- 25,187,557	25,187,557	
02/25/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	7,762,184.000	1.2347		- 9,583,872	9,583,872	
02/25/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 7,762,184.000	1.2348		9,584,962	9,556,936	28,026
02/26/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	5,004,419.000	1.2263		- 6,136,669	6,136,669	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/26/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 5,004,566.000	1.2263		6,137,224	6,161,709	- 24,485
02/26/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 8,491,175.000	1.2759		10,834,209	10,242,456	591,752
02/26/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	8,491,175.000	1.2761		- 10,835,224	10,835,224	
02/27/2020 19-520722	Issue: 9128287D6 - U S Treasury I P S 0.250% 7/15/29 S	- 12,056,160.000	1.0480		12,634,479	12,299,633	334,846
03/02/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 2,583,990.200	1.2597		3,255,020	3,181,454	73,566
03/02/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	2,584,648.000	1.2594		- 3,255,135	3,255,135	
03/04/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 2,584,648.000	1.2775		3,301,888	3,255,135	46,753
03/04/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	2,586,267.200	1.2768		- 3,302,105	3,302,105	
03/09/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 993,348.000	1.4600		1,450,288	1,257,686	192,602
03/09/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	993,474.000	1.4598		- 1,450,298	1,450,298	
03/12/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 13,359,973.000	1.2800		17,100,765	17,244,094	- 143,328

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03/12/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	13,361,667.000	1.2798		- 17,100,868	17,100,868	
03/13/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 26,502,480.000	1.2134		32,159,103	34,092,727	- 1,933,624
03/13/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	26,512,320.000	1.2130		- 32,159,254	32,159,254	
04/15/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 443,364.000	1.3778		610,872	551,479	59,394
04/15/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	443,604.000	1.3769		- 610,792	610,792	
04/15/2020 19-520723	Issue: 78573NAB8 - Sabre Glbl Inc 5.250% 11/15/23 B	1,331,000.000	.9475		- 1,261,123	1,261,123	
04/20/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 2,882,906.000	1.3306		3,836,067	3,630,622	205,445
04/20/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	2,884,752.000	1.3296		- 3,835,434	3,835,434	
04/21/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 55,450,500.000	1.3628		75,568,635	70,616,408	4,952,227
04/21/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 8,095,773.000	1.3628		11,033,021	10,309,996	723,025
04/21/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	55,477,000.000	1.3617		- 75,544,528	75,544,528	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/21/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S B	1.375% 2/15/44 8,099,642.000	1.3617		- 11,029,501	11,029,501	
04/24/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S S	2.125% 2/15/41 - 2,597,034.000	1.4850		3,856,595	3,750,020	106,575
04/24/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S B	2.125% 2/15/41 2,597,760.000	1.4844		- 3,856,170	3,856,170	
04/28/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S S	2.125% 2/15/41 - 2,834,160.000	1.5025		4,258,325	4,098,888	159,437
04/28/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S B	2.125% 2/15/41 2,834,424.000	1.5023		- 4,258,167	4,258,167	
04/29/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S S	2.125% 2/15/41 - 3,661,131.000	1.5103		5,529,452	5,306,480	222,972
04/29/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S B	2.125% 2/15/41 3,661,038.000	1.5101		- 5,528,538	5,528,538	
05/01/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S S	2.125% 2/15/41 - 2,598,706.000	1.4728		3,827,407	3,780,828	46,579
05/01/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S B	2.125% 2/15/41 2,598,156.000	1.4730		- 3,826,992	3,826,992	
05/14/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S S	2.125% 2/15/41 - 3,304,420.000	1.4772		4,881,248	4,831,033	50,215
05/14/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S B	2.125% 2/15/41 3,303,244.000	1.4775		- 4,880,406	4,880,406	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/20/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 3,656,915.000	1.4678		5,367,666	5,350,374	17,291
05/20/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	3,655,365.000	1.4681		- 5,366,528	5,366,528	
05/28/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 2,829,576.000	1.4559		4,119,686	4,145,553	- 25,867
05/28/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	2,826,888.000	1.4570		- 4,118,692	4,118,692	
06/11/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 S	- 6,009,060.000	1.1038		6,632,500	6,489,489	143,011
06/12/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 3,292,156.000	1.4709		4,842,556	4,822,518	20,038
06/12/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	3,278,212.000	1.4752		- 4,835,919	4,835,919	
07/07/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 1,287,858.000	1.5128		1,948,288	1,890,474	57,813
07/07/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	1,287,858.000	1.5125		- 1,947,826	1,947,826	
07/14/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 S	- 2,493,150.000	1.1553		2,880,367	2,781,672	98,695
07/14/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 8,546,694.000	1.5222		13,009,671	12,575,435	434,236

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/14/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	8,546,767.000	1.5218		- 13,006,686	13,006,686	
07/14/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 4,180,418.000	1.3950		5,831,683	5,586,677	245,006
07/29/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 1,287,869.000	1.5644		2,014,710	1,917,617	97,093
07/29/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	1,288,331.000	1.5636		- 2,014,377	2,014,377	
08/03/2020 19-520723	Issue: 65342QAK8 - Nextera Energy 4.250% 7/15/24 B	1,148,000.000	1.0725		- 1,231,230	1,231,230	
08/06/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 770,770.000	1.4628		1,127,492	1,041,797	85,695
08/06/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 55,055,000.000	1.4628		80,535,142	74,414,093	6,121,049
08/06/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	771,722.000	1.4608		- 1,127,319	1,127,319	
08/06/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	55,123,000.000	1.4608		- 80,522,796	80,522,796	
08/07/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 6,680,628.000	1.5838		10,580,445	9,979,378	601,066
08/07/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	6,686,499.000	1.5821		- 10,578,670	10,578,670	

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08/20/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 B	1,062,703.000	1.1602		- 1,232,952	1,232,952	
08/20/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 10,455,008.000	1.5509		16,215,064	15,814,112	400,952
08/20/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	10,467,913.000	1.5487		- 16,211,302	16,211,302	
08/24/2020 19-520722	Issue: 912810RW0 - U S Treasury I P S 0.875% 2/15/47 B	5,418,582.000	1.3436		- 7,280,373	7,280,373	
09/01/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 3,380,817.000	1.4044		4,747,935	4,712,513	35,422
09/01/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	3,384,777.000	1.4026		- 4,747,391	4,747,391	
09/04/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% 2/15/42 S	- 1,826,352.000	1.2725		2,324,033	2,129,267	194,766
09/04/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% 2/15/42 B	1,827,584.000	1.2716		- 2,323,922	2,323,922	
09/04/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 9,040,500.000	1.4125		12,769,706	12,592,220	177,486
09/04/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	9,049,672.800	1.4109		- 12,768,609	12,768,609	
Total For Barclays Capital Inc. Fixed In				0	1,963,116,896	1,945,384,327	17,732,566

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Broker: Barcus33 Barclays Bk Ny							
12/09/2019 19-520722	Issue: 9AMMSZLQ0 - Barclays Capital Inc 1.580% B	8,200,000.000	1.0000		- 8,200,000	8,200,000	
12/10/2019 19-520722	Issue: 9AMMSZLV9 - Barclays Capital Inc 1.600% B	18,800,000.000	1.0000		- 18,800,000	18,800,000	
12/11/2019 19-520722	Issue: 9AMMSZMB2 - Barclays Capital Inc 1.580% B	18,800,000.000	1.0000		- 18,800,000	18,800,000	
12/12/2019 19-520722	Issue: 9AMMSZMC0 - Barclays Capital Inc 1.560% B	17,600,000.000	1.0000		- 17,600,000	17,600,000	
12/13/2019 19-520722	Issue: 9AMMSZMI7 - Barclays Capital Inc 1.600% B	12,700,000.000	1.0000		- 12,700,000	12,700,000	
12/16/2019 19-520722	Issue: 9AMMSZML0 - Barclays Capital Inc 1.690% B	19,800,000.000	1.0000		- 19,800,000	19,800,000	
12/17/2019 19-520722	Issue: 9AMMSZMO4 - Barclays Capital Inc 1.600% B	17,400,000.000	1.0000		- 17,400,000	17,400,000	
12/18/2019 19-520722	Issue: 9AMMSZMW6 - Barclays Capital Inc 1.600% B	8,100,000.000	1.0000		- 8,100,000	8,100,000	
12/19/2019 19-520722	Issue: 9AMMSZMY2 - Barclays Capital Inc 1.570% B	14,900,000.000	1.0000		- 14,900,000	14,900,000	
12/20/2019 19-520722	Issue: 9AMMSZNE5 - Barclays Capital Inc 1.570% B	18,600,000.000	1.0000		- 18,600,000	18,600,000	

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12/23/2019 19-520722	Issue: 9AMMSZNG0 - Barclays Capital Inc 1.570% B	20,900,000.000	1.0000		- 20,900,000	20,900,000	
12/24/2019 19-520722	Issue: 9AMMSZNL9 - Barclays Capital Inc 1.550% B	17,300,000.000	1.0000		- 17,300,000	17,300,000	
12/26/2019 19-520722	Issue: 9AMMSZNP0 - Barclays Capital Inc 1.550% B	18,500,000.000	1.0000		- 18,500,000	18,500,000	
12/27/2019 19-520722	Issue: 9AMMSZNU9 - Barclays Capital Inc 1.590% B	26,500,000.000	1.0000		- 26,500,000	26,500,000	
12/30/2019 19-520722	Issue: 9AMMSZNX3 - Barclays Capital Inc 1.550% B	19,500,000.000	1.0000		- 19,500,000	19,500,000	
01/02/2020 19-520722	Issue: 9AMMSZOC8 - Barclays Capital Inc 1.570% B	24,100,000.000	1.0000		- 24,100,000	24,100,000	
01/03/2020 19-520722	Issue: 9AMMSZOG9 - Barclays Capital Inc 1.580% B	23,500,000.000	1.0000		- 23,500,000	23,500,000	
02/20/2020 19-520722	Issue: 9AMMSZRD3 - Barclays Capital Inc 1.650% B	11,100,000.000	1.0000		- 11,100,000	11,100,000	
02/21/2020 19-520722	Issue: 9AMMSZRG6 - Barclays Capital Inc 1.620% B	8,800,000.000	1.0000		- 8,800,000	8,800,000	
Total For Barcus33 Barclays Bk Ny				0	325,100,000	325,100,000	0

Broker: Bnp Paribas Ny Branch/Paris Bo

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/06/2020 19-520722	Issue: 9128287D6 - U S Treasury I P S 0.250% 7/15/29 S	- 2,011,960.000	1.0216		2,055,343	2,030,079	25,264
01/06/2020 19-520722	Issue: 9128287D6 - U S Treasury I P S 0.250% 7/15/29 B	2,011,920.000	1.0216		- 2,055,421	2,055,421	
01/09/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 110,404.000	1.1938		131,795	131,655	140
01/09/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	110,398.000	1.1939		- 131,801	131,801	
01/10/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 5,961,816.000	1.2041		7,178,399	7,109,369	69,030
01/10/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	5,960,466.000	1.2046		- 7,179,808	7,179,808	
01/13/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 23,735,570.000	1.2053		28,608,779	28,475,797	132,983
01/13/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	23,732,345.000	1.2056		- 28,612,306	28,612,306	
01/21/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 24,946,558.000	1.2078		30,130,765	30,006,421	124,344
01/22/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 S	- 1,043,210.000	1.1500		1,199,692	1,173,623	26,068
01/22/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 B	1,043,190.000	1.1500		- 1,199,718	1,199,718	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/22/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 1,103,810.000	1.2150		1,341,129	1,327,694	13,435
01/23/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 6,953,877.000	1.2231		8,505,461	8,365,958	139,503
01/27/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 S	- 595,055.000	1.3669		813,366	749,627	63,739
01/27/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 B	595,035.000	1.3669		- 813,371	813,371	
02/18/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 S	- 7,136,220.000	1.3803		9,850,214	9,229,942	620,271
02/24/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 S	- 2,378,300.000	1.4094		3,351,917	3,076,078	275,838
02/24/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 B	2,378,220.000	1.4094		- 3,351,931	3,351,931	
02/24/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	7,762,488.000	1.2341		- 9,579,395	9,579,395	
02/24/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 771,974.000	1.2891		995,123	931,192	63,931
02/24/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	771,925.000	1.2893		- 995,248	995,248	
02/25/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 7,762,184.000	1.2341		9,579,573	9,583,871	- 4,298

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/25/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 2,095,301.000	1.2850		2,692,462	2,527,451	165,011
02/25/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	2,095,491.000	1.2850		- 2,692,808	2,692,808	
02/27/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 6,806,648.000	1.4241		9,693,092	8,528,084	1,165,008
02/27/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	6,809,374.000	1.4236		- 9,693,589	9,693,589	
02/28/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 S	- 2,292,730.000	1.2459		2,856,598	2,740,370	116,228
02/28/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 B	2,292,862.000	1.2460		- 2,856,814	2,856,814	
03/02/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 S	- 2,605,525.000	1.2459		3,246,321	3,125,833	120,488
03/02/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 B	2,605,850.000	1.2458		- 3,246,416	3,246,416	
03/02/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 11,027,500.000	1.3088		14,432,241	13,552,966	879,274
03/02/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	11,030,300.000	1.3085		- 14,432,745	14,432,745	
03/09/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 S	- 4,381,146.000	1.3644		5,977,526	5,353,888	623,638

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/09/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 B	4,381,692.000	1.3642		- 5,977,605	5,977,605	
03/16/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 13,286,540.000	1.3431		17,845,484	17,929,611	- 84,127
03/16/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	13,330,836.000	1.3382		- 17,839,744	17,839,744	
03/16/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 10,273,524.000	1.2366		12,703,855	12,677,895	25,960
03/16/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	10,308,213.000	1.2320		- 12,699,409	12,699,409	
03/17/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 S	- 10,245,266.000	1.3528		13,859,924	13,351,821	508,103
03/17/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 B	10,270,034.000	1.3495		- 13,859,432	13,859,432	
03/18/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 2,099,424.000	1.0966		2,302,150	2,590,764	- 288,614
03/18/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	2,099,690.000	1.0964		- 2,302,083	2,302,083	
04/01/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 B	7,171,680.000	1.3740		- 9,853,599	9,853,599	
04/01/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	25,061,366.000	1.2040		- 30,174,695	30,174,695	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/01/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	1,109,010.000	1.2111		- 1,343,106	1,343,106	
04/01/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	6,987,456.000	1.2191		- 8,518,148	8,518,148	
04/16/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 1,662,615.000	1.3838		2,300,644	2,068,045	232,598
04/16/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	1,663,215.000	1.3831		- 2,300,442	2,300,442	
04/20/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 24,506,911.000	1.3369		32,762,677	30,381,392	2,381,285
04/20/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	24,509,121.000	1.3367		- 32,761,900	32,761,900	
04/21/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 887,128.000	1.3534		1,200,672	1,099,779	100,893
04/21/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	887,688.000	1.3521		- 1,200,281	1,200,281	
04/27/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 2,361,600.000	1.4975		3,536,496	3,415,451	121,045
04/27/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	2,361,960.000	1.4969		- 3,535,667	3,535,667	
05/05/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 944,712.000	1.4669		1,385,774	1,380,778	4,996

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/06/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S S	1.375% 2/15/44 - 6,990,102.000	1.3472		9,416,978	9,409,095	7,883
05/06/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S S	1.375% 2/15/44 - 55,477,000.000	1.3472		74,737,921	74,675,356	62,565
05/10/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S B	0.250% 2/15/50 1,306,721.000	1.1159		- 1,458,219	1,458,219	
05/12/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S B	0.250% 2/15/50 1,306,812.000	1.1131		- 1,454,645	1,454,645	
05/14/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S S	0.250% 2/15/50 - 1,306,721.000	1.1132		1,454,636	1,458,214	- 3,578
05/15/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S S	0.250% 2/15/50 - 1,306,357.000	1.1162		1,458,193	1,437,403	20,790
05/22/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S S	2.125% 2/15/41 - 3,774,368.000	1.4756		5,569,552	5,522,218	47,334
05/22/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S B	2.125% 2/15/41 3,772,768.000	1.4759		- 5,568,388	5,568,388	
05/26/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S S	2.125% 2/15/41 - 2,829,960.000	1.4628		4,139,701	4,141,685	- 1,984
05/26/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S B	2.125% 2/15/41 2,809,896.000	1.4703		- 4,131,295	4,131,295	
05/28/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S S	1.375% 2/15/44 - 1,550,948.000	1.3388		2,076,332	2,085,805	- 9,474

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/28/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S B	1.375% 2/15/44 1,550,836.000	1.3388		- 2,076,282	2,076,282	
05/29/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S S	2.125% 2/15/41 - 1,061,010.000	1.4719		1,561,674	1,554,466	7,208
05/29/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S B	2.125% 2/15/41 1,060,794.000	1.4720		- 1,561,508	1,561,508	
06/02/2020 19-520722	Issue: 912810RA8 - U S Treasury I P S S	0.625% 2/15/43 - 1,346,904.000	1.1494		1,548,098	1,314,143	233,954
06/02/2020 19-520722	Issue: 912810RA8 - U S Treasury I P S B	0.625% 2/15/43 1,346,604.000	1.1496		- 1,548,083	1,548,083	
06/04/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S S	2.125% 2/15/41 - 3,298,036.000	1.4459		4,768,754	4,830,718	- 61,964
07/06/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S B	2.125% 2/15/41 936,624.000	1.4766		- 1,382,973	1,382,973	
07/28/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S S	2.125% 2/15/41 - 2,341,580.000	1.5553		3,641,889	3,486,576	155,313
07/28/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S B	2.125% 2/15/41 2,342,840.000	1.5541		- 3,641,055	3,641,055	
08/04/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S S	2.125% 2/15/41 - 468,568.000	1.5863		743,266	699,937	43,329
08/04/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S B	2.125% 2/15/41 469,144.000	1.5839		- 743,095	743,095	

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08/06/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	55,055,000.000	1.3549		- 74,591,910	74,591,910	
08/06/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	6,936,930.000	1.3549		- 9,398,581	9,398,581	
08/12/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 8,798,025.000	1.5538		13,669,931	13,249,773	420,158
08/12/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	8,808,900.000	1.5515		- 13,666,760	13,666,760	
08/13/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 55,012,754.000	1.4294		78,633,855	78,820,433	- 186,577
09/01/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	1,628,774.700	1.4276		- 2,325,203	2,325,203	
09/04/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	3,297,840.000	1.4415		- 4,753,969	4,753,969	
09/11/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	1,629,597.900	1.4253		- 2,322,686	2,322,686	
09/11/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 1,629,597.900	1.4268		2,325,037	2,323,337	1,700
09/14/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 4,517,612.000	1.4025		6,335,951	6,321,617	14,334
09/14/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	4,519,900.000	1.4017		- 6,335,676	6,335,676	

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09/16/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S S	1.000% 2/15/49 - 2,978,532.000	1.4044		4,182,976	4,176,369	6,607
09/16/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S B	1.000% 2/15/49 2,981,026.000	1.4031		- 4,182,673	4,182,673	
09/21/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S S	0.750% 2/15/42 - 3,205,272.000	1.2588		4,034,636	3,748,901	285,735
09/21/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S B	0.750% 2/15/42 3,205,804.000	1.2585		- 4,034,584	4,034,584	
09/22/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S S	0.750% 2/15/42 - 7,327,552.000	1.2525		9,177,759	8,602,984	574,775
09/22/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S B	0.750% 2/15/42 7,342,400.000	1.2498		- 9,176,224	9,176,224	
Total For Bnp Paribas Ny Branch/Paris Bo				0	843,575,902	834,335,755	9,240,142
Broker: Credit Suisse Ag - New York Br							
12/01/2019 19-520722	Issue: 01F0326C3 - F N M A Tba 30Yr B	3.500% 12/15/44 3,100,000.000	1.0245		- 3,176,047	3,176,047	
12/01/2019 19-520722	Issue: 01F0326C3 - F N M A Tba 30Yr B	3.500% 12/15/44 600,000.000	1.0245		- 614,719	614,719	
12/12/2019 19-520722	Issue: 01F0326C3 - F N M A Tba 30Yr S	3.500% 12/15/44 - 600,000.000	1.0248		614,906	614,813	94

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12/12/2019 19-520722	Issue: 01F0326C3 - F N M A Tba 30Yr 3.500% 12/15/44 S	- 3,100,000.000	1.0248		3,177,016	3,176,531	484
01/03/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	23,956,366.000	1.2118		- 29,031,270	29,031,270	
01/06/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 23,959,187.000	1.2116		29,028,053	28,568,846	459,206
02/26/2020 19-520722	Issue: 01F022659 - F N M A Tba 30Yr 2.500% 5/15/44 B	300,000.000	1.0086		- 302,578	302,578	
03/04/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 4,853,332.000	1.3353		6,480,715	6,094,830	385,885
03/04/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	4,856,368.000	1.3345		- 6,480,975	6,480,975	
03/05/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 9,979,255.000	1.4659		14,628,964	12,903,344	1,725,621
03/05/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	9,994,300.000	1.4636		- 14,627,900	14,627,900	
03/11/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 4,857,556.000	1.3056		6,342,147	6,258,997	83,149
03/11/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	4,858,172.000	1.3055		- 6,342,183	6,342,183	
03/23/2020 19-520722	Issue: 01F022642 - F N M A Tba 30Yr 2.500% 4/15/44 B	300,000.000	1.0233		- 306,984	306,984	

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04/01/2020 19-520722	Issue: 01F022642 - F N M A Tba 30Yr S	2.500% 4/15/44 - 300,000.000	1.0093		302,801	303,563	- 762
05/01/2020 19-520722	Issue: 01F022659 - F N M A Tba 30Yr S	2.500% 5/15/44 - 300,000.000	1.0342		310,266	306,422	3,844
06/05/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S S	2.125% 2/15/41 - 1,295,360.000	1.4216		1,841,435	1,897,347	- 55,912
06/05/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S B	2.125% 2/15/41 1,294,205.000	1.4226		- 1,841,177	1,841,177	
Total For Credit Suisse Ag - New York Br				0	125,450,136	122,848,526	2,601,609

Broker: Direct From Issuer

12/01/2019 19-520721	Issue: 9SPMTJNR9 - Ssga Long US Treasury Indx SI Fund B	60.054	27.9442		- 1,678	1,678	
12/02/2019 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 169,813.206	10.0110		1,700,000	1,700,000	
12/02/2019 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 139,846.169	10.0110		1,400,000	1,400,000	
12/02/2019 19-520725	Issue: 96MSCAR33 - Tpg Growth III LP B	101,972.000	1.0000		- 101,972	101,972	
12/02/2019 19-520725	Issue: 96MSCAR33 - Tpg Growth III LP S	- 38,017.500	1.0000		38,018	38,018	

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12/02/2019 19-520725	Issue: 96MSCAT31 - Kelso Investment Associates IX LP B	291,218.000	1.0000		- 291,218	291,218	
12/03/2019 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank S	- 183,000.000	1.0000		183,000	183,000	
12/03/2019 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 217,760.464	10.0110		2,180,000	2,180,000	
12/03/2019 19-520725	Issue: 96MSCAR33 - Tpg Growth III LP S	- 10,758.000	1.0000		10,758	10,758	
12/04/2019 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	229,747.278	10.0110		- 2,300,000	2,300,000	
12/04/2019 19-520725	Issue: 96MSCATL1 - Bdc III LP S	- 78,554.670	1.0000		78,555	78,555	
12/05/2019 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	39,956.048	10.0110		- 400,000	400,000	
12/05/2019 19-520725	Issue: 96MSCARP4 - Castletlake V LP B	408,497.000	1.0000		- 408,497	408,497	
12/05/2019 19-520725	Issue: 96MSCAS16 - Castletlake Income Opportunities LP B	352,376.000	1.0000		- 352,376	352,376	
12/06/2019 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	189,791.230	10.0110		- 1,900,000	1,900,000	
12/06/2019 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs B	200,000.000	1.0000		- 200,000	200,000	

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12/06/2019 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV B	429,231.000	1.0000		- 429,231	429,231	
12/06/2019 19-520725	Issue: 96MSCAS40 - Anacap Credit Opportunities III LP S	- 321,737.560	1.0000		321,738	321,738	
12/06/2019 19-520725	Issue: 96MSCASW8 - Energy Capital Partners III LP B	190,682.000	1.0000		- 190,682	190,682	
12/06/2019 19-520725	Issue: 96MSCASW8 - Energy Capital Partners III LP S	- 550,052.000	1.0000		550,052	550,052	
12/06/2019 19-520725	Issue: 96MSCAT07 - Roark Capital Partners IV LP B	60,747.940	1.0000		- 60,748	60,748	
12/09/2019 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank S	- 100,000.000	1.0000		100,000	100,000	
12/09/2019 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank S	- 27,000.000	1.0000		27,000	27,000	
12/09/2019 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV S	- 38,283.180	1.0000		38,283	38,283	
12/10/2019 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 89,901.109	10.0110		900,000	900,000	
12/10/2019 19-520725	Issue: 96MSCAR90 - Hps Mezzanine Partners III LP S	- 440,972.780	1.0000		440,973	440,973	
12/10/2019 19-520725	Issue: 96MSCAS08 - Hps Mezzanine Partners 2019 LP S	- 2,894.880	1.0000		2,895	2,895	

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12/11/2019 19-520722	S	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II - 79,912.097	10.0110		800,000	800,000	
12/12/2019 19-520725	S	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV - 11,845.000	1.0000		11,845	11,845	
12/12/2019 19-520725	B	Issue: 96MSCARK5 - HI Co-Investment Fund IV LP 365,250.000	1.0000		- 365,250	365,250	
12/13/2019 19-520725	S	Issue: 96MSCAST5 - Platinum Equity Capital Partners III - 27,046.620	1.0000		27,047	27,047	
12/16/2019 19-520725	B	Issue: 96MSCAR58 - Gso Capital Opportunities Fund III 145,768.000	1.0000		- 145,768	145,768	
12/16/2019 19-520725	B	Issue: 96MSCAS81 - Blackstone Capital Partners VII LP 824,786.430	1.0000		- 824,786	824,786	
12/16/2019 19-520725	B	Issue: 96MSCAT31 - Kelso Investment Associates IX LP 226,972.000	1.0000		- 226,972	226,972	
12/17/2019 19-520722	B	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank 21,000.000	1.0000		- 21,000	21,000	
12/17/2019 19-520725	B	Issue: 96MSCARV1 - Balance Point Capital Partners III 128,951.000	1.0000		- 128,951	128,951	
12/17/2019 19-520725	B	Issue: 96MSCARX7 - Apollo Euro Principal Finance Fd III 909,395.170	1.0000		- 909,395	909,395	
12/17/2019 19-520725	S	Issue: 96MSCARX7 - Apollo Euro Principal Finance Fd III - 4,043.840	1.0000		4,044	4,044	

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12/17/2019 19-520725	Issue: 96MSCASK4 - Tpg Growth II LP B	7,350.000	1.0000		- 7,350	7,350	
12/17/2019 19-520725	Issue: 96MSCASK4 - Tpg Growth II LP S	- 22,689.000	1.0000		22,689	22,689	
12/17/2019 19-520726	Issue: 96MSCATH0 - Sterling United Properties I LP B	21,930.380	1.0000		- 21,930	21,930	
12/18/2019 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	61,000.000	1.0000		- 61,000	61,000	
12/18/2019 19-520725	Issue: 96MSCAR58 - Gso Capital Opportunities Fund III B	47,612.000	1.0000		- 47,612	47,612	
12/18/2019 19-520725	Issue: 96MSCAR58 - Gso Capital Opportunities Fund III S	- 17,691.000	1.0000		17,691	17,691	
12/18/2019 19-520725	Issue: 96MSCAST5 - Platinum Equity Capital Partners III B	7,545.000	1.0000		- 7,545	7,545	
12/18/2019 19-520725	Issue: 96MSCAT07 - Roark Capital Partners IV LP S	- 65,459.980	1.0000		65,460	65,460	
12/19/2019 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 399,600.400	10.0100		4,000,000	4,000,090	- 90
12/19/2019 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 769,230.769	10.0100		7,700,000	7,700,173	- 173
12/19/2019 19-520725	Issue: 96MSCAS40 - Anacap Credit Opportunities III LP B	134,388.120	1.0000		- 134,388	134,388	

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12/20/2019 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 220,000.000	.0100		220,000	220,000	
12/20/2019 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs B	267,000.000	1.0000		- 267,000	267,000	
12/20/2019 19-520725	Issue: 96MSCBBN4 - Ares Special Opportunities Fund LP B	1,049,895.600	1.0000		- 1,049,896	1,049,896	
12/20/2019 19-520725	Issue: 96MSCAR25 - HI Venture Capital Fd - Series 2014 S	- 216,803.000	1.0000		216,803	216,803	
12/20/2019 19-520725	Issue: 96MSCARM1 - Apollo Hybrid Value Fund LP B	393,047.130	1.0000		- 393,047	393,047	
12/20/2019 19-520725	Issue: 96MSCARM1 - Apollo Hybrid Value Fund LP S	- 125.500	1.0000		126	126	
12/20/2019 19-520725	Issue: 96MSCARX7 - Apollo Euro Principal Finance Fd III S	- 866,459.040	1.0000		866,459	866,459	
12/20/2019 19-520725	Issue: 96MSCAS08 - Hps Mezzanine Partners 2019 LP S	- 676.510	1.0000		677	677	
12/20/2019 19-520725	Issue: 96MSCASH1 - HI Venture Capital Fd - Series 2011 S	- 31,881.000	1.0000		31,881	31,881	
12/20/2019 19-520725	Issue: 96MSCASN8 - Providence Equity Partners VII LP B	19,850.000	1.0000		- 19,850	19,850	
12/20/2019 19-520725	Issue: 96MSCASN8 - Providence Equity Partners VII LP S	- 38,394.000	1.0000		38,394	38,394	

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12/20/2019 19-520725	Issue: 96MSCAT31 - Kelso Investment Associates IX LP B	156,305.000	1.0000		- 156,305	156,305	
12/20/2019 19-520726	Issue: 96MSCAT49 - Ag Europe Realty Holdings II LP B	890,869.000	1.0000		- 890,869	890,869	
12/23/2019 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 210,000.000	.0100		210,000	210,000	
12/23/2019 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	149,850.150	10.0100		- 1,500,000	1,500,000	
12/23/2019 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	161,000.000	1.0000		- 161,000	161,000	
12/23/2019 19-520725	Issue: 96MSCAQZ3 - Apollo Natural Resources Partners LP B	25,053.920	1.0000		- 25,054	25,054	
12/23/2019 19-520725	Issue: 96MSCAQZ3 - Apollo Natural Resources Partners LP S	- 105,464.730	1.0000		105,465	105,465	
12/23/2019 19-520725	Issue: 96MSCARU3 - Oha Strategic Credit Fund II LP B	3,750,000.000	1.0000		- 3,750,000	3,750,000	
12/23/2019 19-520725	Issue: 96MSCAS81 - Blackstone Capital Partners VII LP S	- 3,292.500	1.0000		3,293	3,293	
12/23/2019 19-520725	Issue: 96MSCASG3 - Blackstone Capital Partners VI LP S	- 3,700.510	1.0000		3,701	3,701	
12/23/2019 19-520725	Issue: 96MSCAT15 - Bcp Energy Services Fund LP B	80,952.000	1.0000		- 80,952	80,952	

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12/24/2019 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 219,780.220	10.0100		2,200,000	2,200,023	- 23
12/24/2019 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,390,000.000	.0100		1,390,000	1,390,000	
12/24/2019 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 210,000.000	.0100		210,000	210,000	
12/24/2019 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,280,000.000	.0100		1,280,000	1,280,000	
12/24/2019 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 2,020,000.000	.0100		2,020,000	2,020,000	
12/26/2019 19-520721	Issue: 9SPMTJNR9 - Ssga Long US Treasury Indx SI Fund S	- 727,855.011	27.4780		20,000,000	16,564,312	3,435,688
12/26/2019 19-520725	Issue: 96MSCARY5 - Hark Capital II LP B	2,411,085.450	1.0000		- 2,411,085	2,411,085	
12/27/2019 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 240,000.000	.0100		240,000	240,000	
12/27/2019 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	39,960.040	10.0100		- 400,000	400,000	
12/27/2019 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,320,000.000	.0100		1,320,000	1,320,000	
12/27/2019 19-520725	Issue: 96MSCAR33 - Tpg Growth III LP S	- 53,280.000	1.0000		53,280	53,280	

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12/27/2019 19-520725	Issue: 96MSCART6 - Icg North American Private Debt II B	116,296.300	1.0000		- 116,296	116,296	
12/27/2019 19-520725	Issue: 96MSCASK4 - Tpg Growth II LP S	- 34,725.000	1.0000		34,725	34,725	
12/27/2019 19-520725	Issue: 96MSCASS7 - HI Secondary Fund III-A LP S	- 295,636.000	1.0000		295,636	295,636	
12/30/2019 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 280,000.000	.0100		280,000	280,000	
12/30/2019 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank S	- 73,000.000	1.0000		73,000	73,000	
12/30/2019 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	119,880.120	10.0100		- 1,200,000	1,200,000	
12/30/2019 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank S	- 303,000.000	1.0000		303,000	303,000	
12/30/2019 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs S	- 1,015,000.000	1.0000		1,015,000	1,015,000	
12/30/2019 19-520725	Issue: 96MSCARE9 - HI Secondary Fund IV LP S	- 684,844.000	1.0000		684,844	684,844	
12/30/2019 19-520725	Issue: 96MSCARX7 - Apollo Euro Principal Finance Fd III S	- 234,195.330	1.0000		234,195	234,195	
12/30/2019 19-520725	Issue: 96MSCASK4 - Tpg Growth II LP S	- 831,091.000	1.0000		831,091	831,091	

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12/30/2019 19-520725	S	Issue: 96MSCASV0 - Cvc Capital Partners VI LP - 70,633.920	1.0000		70,634	70,634	
12/31/2019 19-520721	B	Issue: 96MSCAT56 - Jp Morgan lif Erisa LP 1,670,706.270	.9718		- 1,623,622	1,623,622	
12/31/2019 19-520721	S	Issue: 96MSCAT56 - Jp Morgan lif Erisa LP - 121,522.550	.9743		118,398	139,318	- 20,920
12/31/2019 19-520721	S	Issue: 96MSCAT56 - Jp Morgan lif Erisa LP - 1,008,161.890	.9875		995,551	1,155,792	- 160,241
12/31/2019 19-520721	S	Issue: 96MSCAT80 - Wcm Focused International LP - 20,000,000.000	1.0000		20,000,000	20,000,000	
12/31/2019 19-520722	S	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II - 139,860.140	10.0100		1,400,000	1,400,004	- 4
12/31/2019 19-520722	S	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II - 69,930.070	10.0100		700,000	700,002	- 2
12/31/2019 19-520725	B	Issue: 96MSCARG4 - Centre Lane Credit Partners II LP 392,157.000	1.0000		- 392,157	392,157	
12/31/2019 19-520725	B	Issue: 96MSCASX6 - Kps Special Situations Fund IV LP 346,854.760	1.0000		- 346,855	346,855	
12/31/2019 19-520725	S	Issue: 96MSCASX6 - Kps Special Situations Fund IV LP - 356,291.830	1.0000		356,292	356,292	
12/31/2019 19-520725	B	Issue: 96MSCASY4 - Encap Flatrock Midstream Fund III LP 28,420.000	1.0000		- 28,420	28,420	

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01/01/2020 19-520725	Issue: 96MSCC8A4 - Anacap Credit Opportunities IV LP B	237,535.390	1.0000		- 237,535	237,535	
01/02/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 130,000.000	.0100		130,000	130,000	
01/02/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	29,970.030	10.0100		- 300,000	300,000	
01/02/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 840,000.000	.0100		840,000	840,000	
01/02/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs B	155,000.000	1.0000		- 155,000	155,000	
01/02/2020 19-520725	Issue: 96MSCAS65 - American Securities Partners VII LP B	106,854.000	1.0000		- 106,854	106,854	
01/02/2020 19-520725	Issue: 96MSCAS65 - American Securities Partners VII LP S	- 440.000	1.0000		440	440	
01/02/2020 19-520725	Issue: 96MSCAT23 - Blackstone Energy Partners II LP B	252,227.740	1.0000		- 252,228	252,228	
01/02/2020 19-520725	Issue: 96MSCAT31 - Kelso Investment Associates IX LP B	13,477.000	1.0000		- 13,477	13,477	
01/03/2020 19-520722	Issue: 96MSC0L72 - Frwd Client Owned Coll@ Td Sec B	520,000.000	1.0000		- 520,000	520,000	
01/03/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 330,000.000	.0100		330,000	330,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/03/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 2,150,000.000	.0100		2,150,000	2,150,000	
01/03/2020 19-520725	Issue: 96MSCAR09 - HI Venture Capital Fd - Series 2016 B	300,000.000	1.0000		- 300,000	300,000	
01/03/2020 19-520725	Issue: 96MSCARN9 - Midocean Partners V LP B	50,925.000	1.0000		- 50,925	50,925	
01/06/2020 19-520722	Issue: 96MSC0L72 - Frwd Client Owned Coll@ Td Sec S	- 520,000.000	1.0000		520,000	520,000	
01/06/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 69,923.085	10.0110		700,000	699,964	36
01/06/2020 19-520725	Issue: 96MSCAS57 - Ascribe Opportunities Fund III LP B	117,040.000	1.0000		- 117,040	117,040	
01/06/2020 19-520725	Issue: 96MSCAS57 - Ascribe Opportunities Fund III LP S	- 4,332.000	1.0000		4,332	4,332	
01/07/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 220,000.000	.0100		220,000	220,000	
01/07/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 910,000.000	.0100		910,000	910,000	
01/07/2020 19-520725	Issue: 96MSCARF6 - Aurora Equity Partners V LP B	614,894.000	1.0000		- 614,894	614,894	
01/09/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 240,000.000	.0100		240,000	240,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/09/2020 19-520722	Issue: 96MSC8C67 - Frwr Client Owned Coll @ Cs B	440,000.000	1.0000		- 440,000	440,000	
01/09/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,330,000.000	.0100		1,330,000	1,330,000	
01/09/2020 19-520725	Issue: 96MSCAS16 - Castletlake Income Opportunities LP B	140,950.000	1.0000		- 140,950	140,950	
01/10/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 330,000.000	.0100		330,000	330,000	
01/10/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	144,000.000	1.0000		- 144,000	144,000	
01/10/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,760,000.000	.0100		1,760,000	1,760,000	
01/10/2020 19-520725	Issue: 96MSCAR58 - Gso Capital Opportunities Fund III B	889,485.000	1.0000		- 889,485	889,485	
01/10/2020 19-520725	Issue: 96MSCAR58 - Gso Capital Opportunities Fund III S	- 149,421.000	1.0000		149,421	149,421	
01/13/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 11,986.815	10.0110		120,000	119,994	6
01/14/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 390,000.000	.0100		390,000	390,000	
01/14/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 710,000.000	.0100		710,000	710,000	

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01/15/2020 19-520725	Issue: 96MSCARV1 - Balance Point Capital Partners III B	923,093.870	1.0000		- 923,094	923,094	
01/16/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 450,000.000	.0100		450,000	450,000	
01/16/2020 19-520725	Issue: 96MSCAS08 - Hps Mezzanine Partners 2019 LP B	287,684.540	1.0000		- 287,685	287,685	
01/16/2020 19-520725	Issue: 96MSCAS08 - Hps Mezzanine Partners 2019 LP S	- 1,991.810	1.0000		1,992	1,992	
01/17/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 560,000.000	.0100		560,000	560,000	
01/17/2020 19-520722	Issue: 96MSC8C67 - Frwr Client Owned Coll @ Cs S	- 440,000.000	1.0000		440,000	440,000	
01/17/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 2,130,000.000	.0100		2,130,000	2,130,000	
01/17/2020 19-520725	Issue: 96MSCAR82 - Thomas H Lee Equity Fund VII LP B	19,208.000	1.0000		- 19,208	19,208	
01/17/2020 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV S	- 48,503.000	1.0000		48,503	48,503	
01/17/2020 19-520725	Issue: 96MSCART6 - Icg North American Private Debt II B	2,778.440	.0000		- 2,778	2,778	
01/17/2020 19-520725	Issue: 96MSCARZ2 - Oaktree Special Situations Fund II B	75,000.000	1.0000		- 75,000	75,000	

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01/21/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 700,000.000	.0100		700,000	700,000	
01/21/2020 19-520725	Issue: 96MSCARG4 - Centre Lane Credit Partners II LP S	- 1,150,327.000	1.0000		1,150,327	1,150,327	
01/21/2020 19-520725	Issue: 96MSCARV1 - Balance Point Capital Partners III B	12,896.120	1.0000		- 12,896	12,896	
01/22/2020 19-520725	Issue: 96MSCAR33 - Tpg Growth III LP B	1,798.000	1.0000		- 1,798	1,798	
01/22/2020 19-520725	Issue: 96MSCARZ2 - Oaktree Special Situations Fund II S	- 213.000	1.0000		213	213	
01/22/2020 19-520725	Issue: 96MSCAT31 - Kelso Investment Associates IX LP S	- 91,150.000	1.0000		91,150	91,150	
01/23/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 80,000.000	.0100		80,000	80,000	
01/23/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs B	288,000.000	1.0000		- 288,000	288,000	
01/24/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 270,000.000	.0100		270,000	270,000	
01/24/2020 19-520725	Issue: 96MSCARS8 - Blackstone Tactical Opportunities Fd B	207,047.410	1.0000		- 207,047	207,047	
01/27/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs S	- 208,000.000	1.0000		208,000	208,000	

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01/27/2020 19-520725	Issue: 96MSCAR58 - Gso Capital Opportunities Fund III B	452,026.000	1.0000		- 452,026	452,026	
01/27/2020 19-520725	Issue: 96MSCAR58 - Gso Capital Opportunities Fund III S	- 419,883.000	1.0000		419,883	419,883	
01/27/2020 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV B	21,462.000	1.0000		- 21,462	21,462	
01/27/2020 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV B	230,769.000	1.0000		- 230,769	230,769	
01/27/2020 19-520725	Issue: 96MSCARJ8 - HI Venture Capital Fd - Series 2017 B	169,492.000	1.0000		- 169,492	169,492	
01/28/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 570,000.000	.0100		570,000	570,000	
01/28/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	950,000.000	1.0000		- 950,000	950,000	
01/28/2020 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV B	236,077.000	1.0000		- 236,077	236,077	
01/28/2020 19-520725	Issue: 96MSCAS08 - Hps Mezzanine Partners 2019 LP B	574,467.270	1.0000		- 574,467	574,467	
01/28/2020 19-520725	Issue: 96MSCASY4 - Encap Flatrock Midstream Fund III LP B	43,283.320	1.0000		- 43,283	43,283	
01/28/2020 19-520725	Issue: 96MSCCQ06 - Bcp Special Opportunities Fund II LP B	262,207.890	1.0000		- 262,208	262,208	

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01/28/2020 19-520726	S	- 3,571.460	1.0000		3,571	3,571	
01/29/2020 19-520722	B	- 320,000.000	.0100		320,000	320,000	
01/29/2020 19-520722	S	- 600,000.000	1.0000		600,000	600,000	
01/29/2020 19-520722	S	- 23,000.000	1.0000		23,000	23,000	
01/29/2020 19-520722	B	- 2,390,000.000	.0100		2,390,000	2,390,000	
01/29/2020 19-520722	S	- 46,000.000	1.0000		46,000	46,000	
01/29/2020 19-520725	S	- 13,920.000	1.0000		13,920	13,920	
01/30/2020 19-520725	B	48,673.330	1.0000		- 48,673	48,673	
01/31/2020 19-520721	S	- 1,035,196.687	9.6600		10,000,000	9,844,720	155,280
01/31/2020 19-520721	S	- 4,722.843	9.7200		45,906	44,914	992
01/31/2020 19-520721	S	- 4,601.993	9.0400		41,602	43,765	- 2,163

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/31/2020 19-520721	S	Issue: 96MSCAQV2 - Panagora Risk Parity Magt Fund - 1,255,839.276	19.9070		25,000,000	16,076,525	8,923,475
01/31/2020 19-520722	S	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays - 110,000.000	1.0000		110,000	110,000	
01/31/2020 19-520722	B	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells - 750,000.000	.0100		750,000	750,000	
01/31/2020 19-520725	S	Issue: 96MSCAR33 - Tpg Growth III LP - 26,920.000	1.0000		26,920	26,920	
01/31/2020 19-520725	S	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV - 37,706.000	1.0000		37,706	37,706	
02/03/2020 19-520722	B	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par - 340,000.000	.0100		340,000	340,000	
02/03/2020 19-520722	B	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays 1,110,000.000	1.0000		- 1,110,000	1,110,000	
02/04/2020 19-520722	S	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays - 530,000.000	1.0000		530,000	530,000	
02/04/2020 19-520722	B	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells - 1,930,000.000	.0100		1,930,000	1,930,000	
02/05/2020 19-520722	S	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays - 820,000.000	1.0000		820,000	820,000	
02/05/2020 19-520722	B	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays - 160,000.000	.0100		160,000	160,000	

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02/05/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 3,710,000.000	.0100		3,710,000	3,710,000	
02/06/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 640,000.000	.0100		640,000	640,000	
02/06/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 2,460,000.000	.0100		2,460,000	2,460,000	
02/06/2020 19-520725	Issue: 96MSCART6 - Icg North American Private Debt II B	162,521.600	1.0000		- 162,522	162,522	
02/06/2020 19-520725	Issue: 96MSCARX7 - Apollo Euro Principal Finance Fd III B	535,255.620	1.0000		- 535,256	535,256	
02/06/2020 19-520725	Issue: 96MSCARX7 - Apollo Euro Principal Finance Fd III S	- 897,762.200	1.0000		897,762	897,762	
02/06/2020 19-520725	Issue: 96MSCASG3 - Blackstone Capital Partners VI LP S	- 7,814.560	1.0000		7,815	7,815	
02/06/2020 19-520726	Issue: 96MSCATF4 - Pccp Credit IX LP S	- 232,800.000	1.0000		232,800	232,800	
02/07/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 170,000.000	.0100		170,000	170,000	
02/07/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	19,974.034	10.0130		- 200,000	200,000	
02/07/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 650,000.000	.0100		650,000	650,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/07/2020 19-520725	Issue: 96MSCAR33 - Tpg Growth III LP B	346,122.000	1.0000		- 346,122	346,122	
02/07/2020 19-520725	Issue: 96MSCARG4 - Centre Lane Credit Partners II LP S	- 915,033.000	1.0000		915,033	915,033	
02/10/2020 19-520725	Issue: 96MSCAR09 - HI Venture Capital Fd - Series 2016 B	250,000.000	1.0000		- 250,000	250,000	
02/11/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 540,000.000	.0100		540,000	540,000	
02/11/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 350,000.000	.0100		350,000	350,000	
02/11/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,750,000.000	.0100		1,750,000	1,750,000	
02/11/2020 19-520722	Issue: 96MSCD3B5 - Forward Broker Owned Coll @ Nomura B	- 290,000.000	.0100		290,000	290,000	
02/11/2020 19-520725	Issue: 96MSCARM1 - Apollo Hybrid Value Fund LP B	667,405.840	1.0000		- 667,406	667,406	
02/11/2020 19-520726	Issue: 96MSCATG2 - Mesirow Fin Re Value Fund III LP B	85,975.800	1.0000		- 85,976	85,976	
02/12/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 320,000.000	.0100		320,000	320,000	
02/12/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	179,766.304	10.0130		- 1,800,000	1,800,000	

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02/12/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 580,000.000	.0100		580,000	580,000	
02/13/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 490,000.000	.0100		490,000	490,000	
02/13/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 189,753.321	10.0130		1,900,000	1,899,999	1
02/13/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,580,000.000	.0100		1,580,000	1,580,000	
02/18/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 430,000.000	.0100		430,000	430,000	
02/19/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 400,000.000	.0100		400,000	400,000	
02/19/2020 19-520725	Issue: 96MSCARE9 - HI Secondary Fund IV LP S	- 501,631.000	1.0000		501,631	501,631	
02/20/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 290,000.000	.0100		290,000	290,000	
02/20/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 370,000.000	.0100		370,000	370,000	
02/20/2020 19-520722	Issue: 98MSCHB80 - Swap Brkr Cash Coll @ Deutsche B	- 60,000.000	.0100		60,000	60,000	
02/20/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,200,000.000	.0100		1,200,000	1,200,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/20/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs B	313,000.000	1.0000		- 313,000	313,000	
02/24/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 250,000.000	.0100		250,000	250,000	
02/24/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs S	- 350,000.000	1.0000		350,000	350,000	
02/24/2020 19-520725	Issue: 96MSCAR33 - Tpg Growth III LP B	19,179.000	1.0000		- 19,179	19,179	
02/24/2020 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV B	50,077.000	1.0000		- 50,077	50,077	
02/24/2020 19-520725	Issue: 96MSCARW9 - Castl lake Aviation III Stable Yield B	2,226,070.000	1.0000		- 2,226,070	2,226,070	
02/24/2020 19-520725	Issue: 96MSCAT15 - Bcp Energy Services Fund LP S	- 787,413.140	1.0000		787,413	787,418	- 5
02/25/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 370,000.000	.0100		370,000	370,000	
02/25/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	1,580,000.000	1.0000		- 1,580,000	1,580,000	
02/25/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	4,960,000.000	1.0000		- 4,960,000	4,960,000	
02/25/2020 19-520725	Issue: 96MSCASC2 - HI Venture Capital Fd - Series 2010 S	- 15,682.000	1.0000		15,682	15,682	

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02/25/2020 19-520725	S	Issue: 96MSCASH1 - HI Venture Capital Fd - Series 2011 - 106,497.000	1.0000		106,497	106,497	
02/25/2020 19-520725	S	Issue: 96MSCB1S4 - HI Venture Capital Fd - Series 2013 - 299,085.000	1.0000		299,085	299,085	
02/26/2020 19-520722	B	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par - 600,000.000	.0100		600,000	600,000	
02/26/2020 19-520722	B	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays 2,640,000.000	1.0000		- 2,640,000	2,640,000	
02/26/2020 19-520722	B	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells 7,140,000.000	1.0000		- 7,140,000	7,140,000	
02/26/2020 19-520725	S	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV - 230,769.000	1.0000		230,769	230,769	
02/27/2020 19-520722	B	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays 440,000.000	1.0000		- 440,000	440,000	
02/27/2020 19-520722	B	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells 1,220,000.000	1.0000		- 1,220,000	1,220,000	
02/27/2020 19-520725	S	Issue: 96MSCC8A4 - Anacap Credit Opportunities IV LP - 97,589.940	1.0000		97,590	97,590	
02/27/2020 19-520725	S	Issue: 96MSCAS40 - Anacap Credit Opportunities III LP - 354,036.460	1.0000		354,036	354,036	
02/27/2020 19-520725	S	Issue: 96MSCASA6 - Linden Capital Partners II LP - 382,800.530	1.0000		382,801	382,801	

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02/27/2020 19-520725	Issue: 96MSCASY4 - Encap Flatrock Midstream Fund III LP S	- 62,368.980	1.0000		62,369	62,369	
02/27/2020 19-520726	Issue: 96MSCATE7 - The Realty Associates Xi Utp LP S	- 33,241.740	1.0000		33,242	33,242	
02/28/2020 19-520721	Issue: 9SPMTJNR9 - Ssga Long US Treasury Indx SI Fund B	927.271	30.4870		- 28,270	28,270	
02/28/2020 19-520721	Issue: 9SPMTJNS7 - Invesco Balanced Risk Com Fd S	- 4,250.891	8.6500		36,770	40,426	- 3,656
02/28/2020 19-520721	Issue: 96MSCAT64 - All Weather Portfolio Limited S	- 15,883.165	1,888.7923		30,000,000	15,883,166	14,116,834
02/28/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	3,530,000.000	1.0000		- 3,530,000	3,530,000	
02/28/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	9,620,000.000	1.0000		- 9,620,000	9,620,000	
02/28/2020 19-520725	Issue: 96MSCASK4 - Tpg Growth II LP B	12,250.000	1.0000		- 12,250	12,250	
02/28/2020 19-520725	Issue: 96MSCASK4 - Tpg Growth II LP S	- 67,927.000	1.0000		67,927	67,927	
03/02/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	680,000.000	1.0000		- 680,000	680,000	
03/02/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	1,860,000.000	1.0000		- 1,860,000	1,860,000	

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03/02/2020 19-520725	Issue: 96MSCARS8 - Blackstone Tactical Opportunities Fd B	29,842.130	1.0000		- 29,842	29,842	
03/02/2020 19-520725	Issue: 96MSCART6 - Icg North American Private Debt II B	289,435.190	1.0000		- 289,435	289,435	
03/03/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 970,000.000	.0100		970,000	970,000	
03/03/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 3,530,000.000	1.0000		3,530,000	3,530,000	
03/03/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	8,000.000	1.0000		- 8,000	8,000	
03/03/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 9,180,000.000	1.0000		9,180,000	9,180,000	
03/03/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs B	257,000.000	1.0000		- 257,000	257,000	
03/04/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	2,320,000.000	1.0000		- 2,320,000	2,320,000	
03/04/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	262,000.000	1.0000		- 262,000	262,000	
03/04/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	5,960,000.000	1.0000		- 5,960,000	5,960,000	
03/04/2020 19-520725	Issue: 96MSCARP4 - Castlake V LP B	816,993.000	1.0000		- 816,993	816,993	

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03/04/2020 19-520725	Issue: 96MSCASR9 - Roark Capital Partners III LP B	42,946.030	1.0000		- 42,946	42,946	
03/05/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 970,000.000	.0100		970,000	970,000	
03/05/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 3,380,000.000	1.0000		3,380,000	3,380,000	
03/05/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 8,690,000.000	1.0000		8,690,000	8,690,000	
03/05/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs B	237,000.000	1.0000		- 237,000	237,000	
03/05/2020 19-520725	Issue: 96MSCAT31 - Kelso Investment Associates IX LP S	- 389,670.000	1.0000		389,670	389,670	
03/05/2020 19-520726	Issue: 96MSCATG2 - Mesirow Fin Re Value Fund III LP B	329,794.360	1.0000		- 329,794	329,794	
03/06/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	2,970,000.000	1.0000		- 2,970,000	2,970,000	
03/06/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank S	- 47,000.000	1.0000		47,000	47,000	
03/06/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank S	- 44,000.000	1.0000		44,000	44,000	
03/06/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	7,510,000.000	1.0000		- 7,510,000	7,510,000	

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03/06/2020 19-520725	Issue: 96MSCARV1 - Balance Point Capital Partners III B	431,253.860	1.0000		- 431,254	431,254	
03/09/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	1,580,000.000	1.0000		- 1,580,000	1,580,000	
03/09/2020 19-520722	Issue: 98MSCN4R3 - Swap Client Cash Coll @ Jpmorgan B	120,000.000	1.0000		- 120,000	120,000	
03/09/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	3,840,000.000	1.0000		- 3,840,000	3,840,000	
03/09/2020 19-520725	Issue: 96MSCARS8 - Blackstone Tactical Opportunities Fd B	175,919.120	1.0000		- 175,919	175,919	
03/09/2020 19-520725	Issue: 96MSCASW8 - Energy Capital Partners III LP B	283,794.000	1.0000		- 283,794	283,794	
03/09/2020 19-520725	Issue: 96MSCCQ06 - Bcp Special Opportunities Fund II LP B	780,692.990	1.0000		- 780,693	780,693	
03/10/2020 19-520722	Issue: 96MSC98G8 - Fwd Broker Owned Cash Coll @ Cs B	- 530,000.000	.0100		530,000	530,000	
03/10/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 2,610,000.000	.0100		2,610,000	2,610,000	
03/10/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	6,980,000.000	1.0000		- 6,980,000	6,980,000	
03/10/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	27,000.000	1.0000		- 27,000	27,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/10/2020 19-520722	Issue: 98MSCN4R3 - Swap Client Cash Coll @ Jpmorgan B	90,000.000	1.0000		- 90,000	90,000	
03/10/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	11,000.000	1.0000		- 11,000	11,000	
03/10/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	16,660,000.000	1.0000		- 16,660,000	16,660,000	
03/10/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs B	492,000.000	1.0000		- 492,000	492,000	
03/11/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 4,610,000.000	1.0000		4,610,000	4,610,000	
03/11/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 9,250,000.000	1.0000		9,250,000	9,250,000	
03/11/2020 19-520725	Issue: 96MSCASS7 - HI Secondary Fund III-A LP S	- 365,200.000	1.0000		365,200	365,200	
03/12/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	5,180,000.000	1.0000		- 5,180,000	5,180,000	
03/12/2020 19-520722	Issue: 96MSC8C67 - Frwr Client Owned Coll @ Cs B	320,000.000	1.0000		- 320,000	320,000	
03/12/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	10,000.000	1.0000		- 10,000	10,000	
03/12/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	10,160,000.000	1.0000		- 10,160,000	10,160,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/12/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs B	225,000.000	1.0000		- 225,000	225,000	
03/12/2020 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV S	- 7,382.000	1.0000		7,382	7,382	
03/12/2020 19-520725	Issue: 96MSCAT23 - Blackstone Energy Partners II LP B	581,526.450	1.0000		- 581,526	581,526	
03/13/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	9,350,000.000	1.0000		- 9,350,000	9,350,000	
03/13/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank S	- 651,000.000	1.0000		651,000	651,000	
03/13/2020 19-520722	Issue: 96MSC8C67 - Frwr Client Owned Coll @ Cs B	340,000.000	1.0000		- 340,000	340,000	
03/13/2020 19-520722	Issue: 96MSC8C67 - Frwr Client Owned Coll @ Cs B	370,000.000	1.0000		- 370,000	370,000	
03/13/2020 19-520722	Issue: 722005808 - Pimco Paps Mortgage S	- 209,358.974	10.9200		2,286,200	2,292,481	- 6,281
03/13/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	26,000.000	1.0000		- 26,000	26,000	
03/13/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	16,730,000.000	1.0000		- 16,730,000	16,730,000	
03/13/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs B	369,000.000	1.0000		- 369,000	369,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/13/2020 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV S	- 167,153.000	1.0000		167,153	167,153	
03/13/2020 19-520725	Issue: 96MSCASK4 - Tpg Growth II LP S	- 81,756.000	1.0000		81,756	81,756	
03/16/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 7,860,000.000	1.0000		7,860,000	7,860,000	
03/16/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 16,160,000.000	1.0000		16,160,000	16,160,000	
03/17/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	11,750,000.000	1.0000		- 11,750,000	11,750,000	
03/17/2020 19-520722	Issue: 96MSC8C67 - Frwr Client Owned Coll @ Cs B	310,000.000	1.0000		- 310,000	310,000	
03/17/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp B	420,000.000	1.0000		- 420,000	420,000	
03/17/2020 19-520722	Issue: 722005808 - Pimco Paps Mortgage S	- 394,724.025	11.0300		4,353,806	4,322,228	31,578
03/17/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	16,240,000.000	1.0000		- 16,240,000	16,240,000	
03/17/2020 19-520725	Issue: 96MSCAST5 - Platinum Equity Capital Partners III S	- 166,688.430	1.0000		166,688	166,688	
03/18/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 600,000.000	.0100		600,000	600,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/18/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 4,840,000.000	1.0000		4,840,000	4,840,000	
03/18/2020 19-520722	Issue: 96MSC8C67 - Frwr Client Owned Coll @ Cs S	- 1,340,000.000	1.0000		1,340,000	1,340,000	
03/18/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp S	- 420,000.000	1.0000		420,000	420,000	
03/18/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 9,230,000.000	1.0000		9,230,000	9,230,000	
03/18/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs B	1,056,000.000	1.0000		- 1,056,000	1,056,000	
03/18/2020 19-520725	Issue: 96MSCARM1 - Apollo Hybrid Value Fund LP B	386,035.850	1.0000		- 386,036	386,036	
03/18/2020 19-520725	Issue: 96MSCASL2 - Blackstone Energy Partners LP S	- 122,557.890	1.0000		122,558	122,558	
03/19/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	5,140,000.000	1.0000		- 5,140,000	5,140,000	
03/19/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp B	4,770,000.000	1.0000		- 4,770,000	4,770,000	
03/19/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 7,970,000.000	1.0000		7,970,000	7,970,000	
03/20/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp B	3,800,000.000	1.0000		- 3,800,000	3,800,000	

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03/20/2020 19-520722	Issue: 99MSCR2G8 - Swaps Brkr Ownd Cash Coll @jpm B	- 90,000.000	.0100		90,000	90,000	
03/20/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	53,000.000	1.0000		- 53,000	53,000	
03/20/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 550,000.000	1.0000		550,000	550,000	
03/20/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs B	508,000.000	1.0000		- 508,000	508,000	
03/23/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	3,530,000.000	1.0000		- 3,530,000	3,530,000	
03/23/2020 19-520722	Issue: 98MSCN4R3 - Swap Client Cash Coll @ Jpmorgan S	- 90,000.000	1.0000		90,000	90,000	
03/23/2020 19-520722	Issue: 98MSCN4R3 - Swap Client Cash Coll @ Jpmorgan S	- 30,000.000	1.0000		30,000	30,000	
03/23/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	10,000.000	1.0000		- 10,000	10,000	
03/23/2020 19-520722	Issue: 97MSCG391 - Swap Client Cash Coll @ Hsbc B	450,000.000	1.0000		- 450,000	450,000	
03/23/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	4,270,000.000	1.0000		- 4,270,000	4,270,000	
03/23/2020 19-520725	Issue: 96MSCAS81 - Blackstone Capital Partners VII LP B	899,502.880	1.0000		- 899,503	899,503	

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03/23/2020 19-520725	Issue: 96MSCASG3 - Blackstone Capital Partners VI LP B	64,461.480	1.0000		- 64,461	64,461	
03/23/2020 19-520725	Issue: 96MSCASG3 - Blackstone Capital Partners VI LP S	- 103,072.710	1.0000		103,073	103,073	
03/23/2020 19-520725	Issue: 96MSCASU2 - H.I.G. Middle Market Lbo Fund II LP S	- 31,283.220	1.0000		31,283	31,283	
03/24/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	2,260,000.000	1.0000		- 2,260,000	2,260,000	
03/24/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	13,000.000	1.0000		- 13,000	13,000	
03/24/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp S	- 1,110,000.000	1.0000		1,110,000	1,110,000	
03/24/2020 19-520722	Issue: 98MSCN4R3 - Swap Client Cash Coll @ Jpmorgan B	80,000.000	1.0000		- 80,000	80,000	
03/24/2020 19-520722	Issue: 98MSCN4R3 - Swap Client Cash Coll @ Jpmorgan S	- 90,000.000	1.0000		90,000	90,000	
03/24/2020 19-520722	Issue: 97MSCG391 - Swap Client Cash Coll @ Hsbc B	280,000.000	1.0000		- 280,000	280,000	
03/24/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	3,190,000.000	1.0000		- 3,190,000	3,190,000	
03/25/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 7,060,000.000	.0100		7,060,000	7,060,000	

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03/25/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 7,430,000.000	1.0000		7,430,000	7,430,000	
03/25/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp S	- 7,460,000.000	1.0000		7,460,000	7,460,000	
03/25/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 11,790,000.000	1.0000		11,790,000	11,790,000	
03/25/2020 19-520725	Issue: 96MSCARM1 - Apollo Hybrid Value Fund LP B	539,505.340	1.0000		- 539,505	539,505	
03/25/2020 19-520725	Issue: 96MSCARM1 - Apollo Hybrid Value Fund LP S	- 53,030.720	1.0000		53,031	53,031	
03/25/2020 19-520725	Issue: 96MSCASY4 - Encap Flatrock Midstream Fund III LP B	46,060.010	1.0000		- 46,060	46,060	
03/26/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 1,670,000.000	.0100		1,670,000	1,670,000	
03/26/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 1,180,000.000	1.0000		1,180,000	1,180,000	
03/26/2020 19-520722	Issue: 98MSCN4R3 - Swap Client Cash Coll @ Jpmorgan S	- 80,000.000	1.0000		80,000	80,000	
03/26/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 1,870,000.000	1.0000		1,870,000	1,870,000	
03/26/2020 19-520725	Issue: 96MSCASX6 - Kps Special Situations Fund IV LP B	212,809.370	1.0000		- 212,809	212,809	

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03/27/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 5,380,000.000	1.0000		5,380,000	5,380,000	
03/27/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	8,000.000	1.0000		- 8,000	8,000	
03/27/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 8,550,000.000	1.0000		8,550,000	8,550,000	
03/27/2020 19-520725	Issue: 96MSCAQZ3 - Apollo Natural Resources Partners LP B	49,197.600	1.0000		- 49,198	49,198	
03/27/2020 19-520725	Issue: 96MSCAQZ3 - Apollo Natural Resources Partners LP S	- 61,563.140	1.0000		61,563	61,563	
03/27/2020 19-520725	Issue: 96MSCAR58 - Gso Capital Opportunities Fund III B	25,217.000	1.0000		- 25,217	25,217	
03/27/2020 19-520725	Issue: 96MSCAR58 - Gso Capital Opportunities Fund III S	- 21,882.000	1.0000		21,882	21,882	
03/27/2020 19-520725	Issue: 96MSCARH2 - Cvc Capital Partners VII LP S	- 47,287.960	1.0000		47,288	47,288	
03/27/2020 19-520725	Issue: 96MSCARR0 - Roark Capital Partners V LP B	32,485.900	1.0000		- 32,486	32,486	
03/27/2020 19-520725	Issue: 96MSCARZ2 - Oaktree Special Situations Fund II B	102,585.000	1.0000		- 102,585	102,585	
03/27/2020 19-520725	Issue: 96MSCASV0 - Cvc Capital Partners VI LP S	- 12,614.750	1.0000		12,615	12,615	

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03/27/2020 19-520725	Issue: 96MSCASY4 - Encap Flatrock Midstream Fund III LP B	11,319.000	1.0000		- 11,319	11,319	
03/27/2020 19-520725	Issue: 96MSCDQE4 - Comvest Credit Partners V LP B	326,143.000	1.0000		- 326,143	326,143	
03/30/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 720,000.000	.0100		720,000	720,000	
03/30/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	3,130,000.000	1.0000		- 3,130,000	3,130,000	
03/30/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank S	- 21,000.000	1.0000		21,000	21,000	
03/30/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	26,000.000	1.0000		- 26,000	26,000	
03/30/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	4,960,000.000	1.0000		- 4,960,000	4,960,000	
03/30/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs S	- 494,000.000	1.0000		494,000	494,000	
03/30/2020 19-520725	Issue: 96MSCARC3 - Benefit Street Partners Debt Fund IV B	1,576,920.000	1.0000		- 1,576,920	1,576,920	
03/30/2020 19-520725	Issue: 96MSCAS24 - Pegasus Partners V LP S	- 3,732.000	1.0000		3,732	3,732	
03/30/2020 19-520725	Issue: 96MSCAS65 - American Securities Partners VII LP B	616,920.000	1.0000		- 616,920	616,920	

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03/30/2020 19-520725	Issue: 96MSCAT23 - Blackstone Energy Partners II LP B	1,274,683.690	1.0000		- 1,274,684	1,274,684	
03/30/2020 19-520725	Issue: 96MSCCQ06 - Bcp Special Opportunities Fund II LP B	722,506.580	1.0000		- 722,507	722,507	
03/31/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 2,820,000.000	1.0000		2,820,000	2,820,000	
03/31/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 4,360,000.000	1.0000		4,360,000	4,360,000	
03/31/2020 19-520726	Issue: 96MSCATH0 - Sterling United Properties I LP B	34,023.000	1.0000		- 34,023	34,023	
04/01/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	1,390,000.000	1.0000		- 1,390,000	1,390,000	
04/01/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 990,000.000	1.0000		990,000	990,000	
04/01/2020 19-520722	Issue: 99MSCR2G8 - Swaps Brkr Ownd Cash Coll @jpm B	- 60,000.000	.0100		60,000	60,000	
04/01/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank S	- 20,000.000	1.0000		20,000	20,000	
04/01/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	2,130,000.000	1.0000		- 2,130,000	2,130,000	
04/01/2020 19-520725	Issue: 96MSCAR09 - HI Venture Capital Fd - Series 2016 B	400,000.000	1.0000		- 400,000	400,000	

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04/02/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	4,230,000.000	1.0000		- 4,230,000	4,230,000	
04/02/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 180,632.213	9.9650		1,800,000	1,796,179	3,821
04/02/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	4,960,000.000	1.0000		- 4,960,000	4,960,000	
04/02/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs S	- 400,000.000	1.0000		400,000	400,000	
04/02/2020 19-520725	Issue: 96MSCARC3 - Benefit Street Partners Debt Fund IV B	2,365,380.000	1.0000		- 2,365,380	2,365,380	
04/02/2020 19-520725	Issue: 96MSCARF6 - Aurora Equity Partners V LP B	54,549.540	1.0000		- 54,550	54,550	
04/02/2020 19-520725	Issue: 96MSCARJ8 - HI Venture Capital Fd - Series 2017 B	282,486.000	1.0000		- 282,486	282,486	
04/02/2020 19-520726	Issue: 96MSCATF4 - Pccp Credit IX LP B	600,000.000	1.0000		- 600,000	600,000	
04/03/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 1,260,000.000	.0100		1,260,000	1,260,000	
04/03/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 1,790,000.000	1.0000		1,790,000	1,790,000	
04/03/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 490,000.000	.0100		490,000	490,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/03/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 280,000.000	.0100		280,000	280,000	
04/03/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,400,000.000	.0100		1,400,000	1,400,000	
04/03/2020 19-520722	Issue: 97MSCG391 - Swap Client Cash Coll @ Hsbc S	- 730,000.000	1.0000		730,000	730,000	
04/03/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 2,600,000.000	1.0000		2,600,000	2,600,000	
04/06/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 1,850,000.000	.0100		1,850,000	1,850,000	
04/06/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	1,490,000.000	1.0000		- 1,490,000	1,490,000	
04/06/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 410,000.000	.0100		410,000	410,000	
04/06/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	2,500,000.000	1.0000		- 2,500,000	2,500,000	
04/06/2020 19-520725	Issue: 96MSCAR58 - Gso Capital Opportunities Fund III B	350,119.000	1.0000		- 350,119	350,119	
04/06/2020 19-520725	Issue: 96MSCARS8 - Blackstone Tactical Opportunities Fd B	436,818.220	1.0000		- 436,818	436,818	
04/06/2020 19-520725	Issue: 96MSCARV1 - Balance Point Capital Partners III B	57,269.470	1.0000		- 57,269	57,269	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/07/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 1,230,000.000	.0100		1,230,000	1,230,000	
04/07/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 6,220,000.000	1.0000		6,220,000	6,220,000	
04/07/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 2,370,000.000	.0100		2,370,000	2,370,000	
04/07/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 9,010,000.000	1.0000		9,010,000	9,010,000	
04/07/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs S	- 600,000.000	1.0000		600,000	600,000	
04/07/2020 19-520725	Issue: 96MSCARK5 - HI Co-Investment Fund IV LP B	3,078,082.000	1.0000		- 3,078,082	3,078,082	
04/08/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 690,000.000	.0100		690,000	690,000	
04/08/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	60,000.000	1.0000		- 60,000	60,000	
04/08/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs S	- 300,000.000	1.0000		300,000	300,000	
04/08/2020 19-520725	Issue: 96MSCAR90 - Hps Mezzanine Partners III LP S	- 511,484.540	1.0000		511,485	511,485	
04/08/2020 19-520725	Issue: 96MSCARP4 - Castlake V LP B	1,633,987.000	1.0000		- 1,633,987	1,633,987	

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04/08/2020 19-520725	Issue: 96MSCAS08 - Hps Mezzanine Partners 2019 LP B	250,356.650	1.0000		- 250,357	250,357	
04/09/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 3,300,000.000	1.0000		3,300,000	3,300,000	
04/09/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,390,000.000	.0100		1,390,000	1,390,000	
04/09/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 4,850,000.000	1.0000		4,850,000	4,850,000	
04/09/2020 19-520725	Issue: 96MSCAR82 - Thomas H Lee Equity Fund VII LP B	11,254.000	1.0000		- 11,254	11,254	
04/09/2020 19-520725	Issue: 96MSCAR82 - Thomas H Lee Equity Fund VII LP S	- 1,064,468.000	1.0000		1,064,468	1,064,468	
04/09/2020 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV S	- 48,800.000	1.0000		48,800	48,800	
04/09/2020 19-520725	Issue: 96MSCAS32 - Secondary Investment Spv-6 LP S	- 41,759.000	1.0000		41,759	41,759	
04/09/2020 19-520725	Issue: 96MSCAST5 - Platinum Equity Capital Partners III S	- 51,632.550	1.0000		51,633	51,633	
04/10/2020 19-520725	Issue: 96MSCAS99 - Capitalsouth Partners FI Sidecar II S	- 1,375,515.820	1.0000		1,375,516	1,375,516	
04/13/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 1,690,000.000	1.0000		1,690,000	1,690,000	

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04/13/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 690,000.000	.0100		690,000	690,000	
04/13/2020 19-520722	Issue: 99MSCR2G8 - Swaps Brkr Ownd Cash Coll @jpm B	- 70,000.000	.0100		70,000	70,000	
04/13/2020 19-520722	Issue: 722005808 - Pimco Paps Mortgage B	339,896.351	11.5100		- 3,912,207	3,912,207	
04/13/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 2,480,000.000	1.0000		2,480,000	2,480,000	
04/13/2020 19-520725	Issue: 96MSCAR33 - Tpg Growth III LP B	38,248.000	1.0000		- 38,248	38,248	
04/14/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 950,000.000	.0100		950,000	950,000	
04/14/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	1,140,000.000	1.0000		- 1,140,000	1,140,000	
04/14/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank S	- 94,000.000	1.0000		94,000	94,000	
04/14/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank S	- 67,000.000	1.0000		67,000	67,000	
04/14/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	1,680,000.000	1.0000		- 1,680,000	1,680,000	
04/14/2020 19-520725	Issue: 96MSCARM1 - Apollo Hybrid Value Fund LP S	- 619,507.030	1.0000		619,507	619,507	

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04/15/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 450,000.000	.0100		450,000	450,000	
04/15/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 2,900,000.000	1.0000		2,900,000	2,900,000	
04/15/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,260,000.000	.0100		1,260,000	1,260,000	
04/15/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 90,090.090	9.9900		900,000	896,167	3,833
04/15/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 430,430.430	9.9900		4,300,000	4,281,687	18,313
04/15/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	112,000.000	1.0000		- 112,000	112,000	
04/15/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 4,200,000.000	1.0000		4,200,000	4,200,000	
04/15/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs B	300,000.000	1.0000		- 300,000	300,000	
04/15/2020 19-520725	Issue: 96MSCARG4 - Centre Lane Credit Partners II LP B	261,438.000	1.0000		- 261,438	261,438	
04/16/2020 19-520721	Issue: 9SPMTJNR9 - Ssga Long US Treasury Indx SI Fund S	- 888,132.697	33.7787		30,000,000	20,213,397	9,786,603
04/16/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 290,000.000	.0100		290,000	290,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/16/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	2,340,000.000	1.0000		- 2,340,000	2,340,000	
04/16/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	26,000.000	1.0000		- 26,000	26,000	
04/16/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	3,390,000.000	1.0000		- 3,390,000	3,390,000	
04/17/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 460,000.000	1.0000		460,000	460,000	
04/17/2020 19-520722	Issue: 722005808 - Pimco Paps Mortgage B	694,780.746	11.5300		- 8,010,822	8,010,822	
04/17/2020 19-520722	Issue: 72200Q109 - Pimco Paps Asset Backed Securities B	583,569.868	12.0800		- 7,049,524	7,049,524	
04/17/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank S	- 39,000.000	1.0000		39,000	39,000	
04/17/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 660,000.000	1.0000		660,000	660,000	
04/17/2020 19-520725	Issue: 96MSCASX6 - Kps Special Situations Fund IV LP B	1,263,627.330	1.0000		- 1,263,627	1,263,627	
04/20/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 15,270,000.000	1.0000		15,270,000	15,270,000	
04/20/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,530,000.000	.0100		1,530,000	1,530,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/20/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 3,280,000.000	.0100		3,280,000	3,280,000	
04/20/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 4,040,000.000	1.0000		4,040,000	4,040,000	
04/20/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs B	1,200,000.000	1.0000		- 1,200,000	1,200,000	
04/20/2020 19-520725	Issue: 96MSCARZ2 - Oaktree Special Situations Fund II B	175,000.000	1.0000		- 175,000	175,000	
04/21/2020 19-520722	Issue: 96MSC0L72 - Frwd Client Owned Coll@ Td Sec B	290,000.000	1.0000		- 290,000	290,000	
04/21/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	2,390,000.000	1.0000		- 2,390,000	2,390,000	
04/21/2020 19-520725	Issue: 96MSCART6 - Icg North American Private Debt II B	927.900	1.0000		- 928	928	
04/21/2020 19-520725	Issue: 96MSCART6 - Icg North American Private Debt II S	- 435.190	1.0000		435	435	
04/21/2020 19-520725	Issue: 96MSCAT07 - Roark Capital Partners IV LP B	88,682.170	1.0000		- 88,682	88,682	
04/22/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	1,480,000.000	1.0000		- 1,480,000	1,480,000	
04/22/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	320,224.157	9.9930		- 3,200,000	3,200,000	

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04/22/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	2,850,000.000	1.0000		- 2,850,000	2,850,000	
04/22/2020 19-520725	Issue: 96MSCARX7 - Apollo Euro Principal Finance Fd III B	1,129,394.440	1.0000		- 1,129,394	1,129,394	
04/22/2020 19-520725	Issue: 96MSCARX7 - Apollo Euro Principal Finance Fd III S	- 685.540	1.0000		686	686	
04/22/2020 19-520725	Issue: 96MSCARZ2 - Oaktree Special Situations Fund II S	- 496.000	1.0000		496	496	
04/22/2020 19-520725	Issue: 96MSCASK4 - Tpg Growth II LP B	9,020.000	1.0000		- 9,020	9,020	
04/23/2020 19-520722	Issue: 96MSC0L72 - Frwd Client Owned Coll@ Td Sec S	- 290,000.000	1.0000		290,000	290,000	
04/23/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 1,480,000.000	1.0000		1,480,000	1,480,000	
04/23/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,020,000.000	.0100		1,020,000	1,020,000	
04/23/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 670,000.000	.0100		670,000	670,000	
04/23/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 3,850,000.000	1.0000		3,850,000	3,850,000	
04/23/2020 19-520725	Issue: 96MSCC8A4 - Anacap Credit Opportunities IV LP B	17,808.060	1.0000		- 17,808	17,808	

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04/24/2020 19-520722	Issue: 96MSC36J7 - Fwd Brkr Owned Cash Coll @ Barclays B	- 552,000.000	.0100		552,000	552,000	
04/24/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 80,000.000	1.0000		80,000	80,000	
04/27/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 830,000.000	.0100		830,000	830,000	
04/27/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,430,000.000	.0100		1,430,000	1,430,000	
04/27/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 1,850,000.000	1.0000		1,850,000	1,850,000	
04/28/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,070,000.000	.0100		1,070,000	1,070,000	
04/28/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,790,000.000	.0100		1,790,000	1,790,000	
04/28/2020 19-520722	Issue: 96MSC36J7 - Fwd Brkr Owned Cash Coll @ Barclays B	- 182,000.000	.0100		182,000	182,000	
04/28/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 2,380,000.000	1.0000		2,380,000	2,380,000	
04/29/2020 19-520721	Issue: 96MSCAT72 - Ifm Global Infrastructure (US) LP S	- 473,645.750	1.0000		473,646	473,646	
04/29/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	46,000.000	1.0000		- 46,000	46,000	

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04/29/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank S	- 167,000.000	1.0000		167,000	167,000	
04/29/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	500,000.000	1.0000		- 500,000	500,000	
04/30/2020 19-520721	Issue: 9SPMTJNS7 - Invesco Balanced Risk Com Fd S	- 4,646.109	7.2500		33,684	44,185	- 10,500
04/30/2020 19-520721	Issue: 9SPMTJNS7 - Invesco Balanced Risk Com Fd S	- 4,216.776	7.3300		30,909	40,102	- 9,193
04/30/2020 19-520721	Issue: 96MSCAT56 - Jp Morgan lif Erisa LP B	1,762,389.960	.9743		- 1,717,078	1,717,078	
04/30/2020 19-520721	Issue: 96MSCAT56 - Jp Morgan lif Erisa LP S	- 131,217.750	.9249		121,362	144,097	- 22,735
04/30/2020 19-520721	Issue: 96MSCAT56 - Jp Morgan lif Erisa LP B	1,461,596.350	.9235		- 1,349,791	1,349,791	
04/30/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,610,000.000	.0100		1,610,000	1,610,000	
04/30/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 2,940,000.000	.0100		2,940,000	2,940,000	
04/30/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 3,930,000.000	1.0000		3,930,000	3,930,000	
04/30/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs S	- 500,000.000	1.0000		500,000	500,000	

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04/30/2020 19-520725	Issue: 96MSCAR33 - Tpg Growth III LP B	7,193.000	1.0000		- 7,193	7,193	
04/30/2020 19-520725	Issue: 96MSCAR33 - Tpg Growth III LP S	- 126,476.000	1.0000		126,476	126,476	
04/30/2020 19-520725	Issue: 96MSCARU3 - Oha Strategic Credit Fund II LP B	2,500,000.000	1.0000		- 2,500,000	2,500,000	
04/30/2020 19-520725	Issue: 96MSCASC2 - HI Venture Capital Fd - Series 2010 S	- 18,045.000	1.0000		18,045	18,045	
04/30/2020 19-520725	Issue: 96MSCASH1 - HI Venture Capital Fd - Series 2011 S	- 40,546.000	1.0000		40,546	40,546	
04/30/2020 19-520725	Issue: 96MSCB1S4 - HI Venture Capital Fd - Series 2013 S	- 23,657.000	1.0000		23,657	23,657	
04/30/2020 19-520726	Issue: 96MSCATB3 - Rreef America Reit II B	3,501.187	127.3599		- 445,911	445,911	
04/30/2020 19-520726	Issue: 96MSCATB3 - Rreef America Reit II B	3,402.080	127.5400		- 433,901	433,901	
04/30/2020 19-520726	Issue: 96MSCATB3 - Rreef America Reit II S	- 157,036.000	127.5808		20,034,774	15,277,509	4,757,265
05/01/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	11,000.000	1.0000		- 11,000	11,000	
05/01/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	1,660,000.000	1.0000		- 1,660,000	1,660,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/01/2020 19-520725	Issue: 96MSCBBN4 - Ares Special Opportunities Fund LP B	767,685.210	1.0000		- 767,685	767,685	
05/01/2020 19-520725	Issue: 96MSCBBN4 - Ares Special Opportunities Fund LP S	- 228,423.530	1.0000		228,424	228,424	
05/01/2020 19-520725	Issue: 96MSCAR41 - Linden Capital Partners III LP B	146,989.400	1.0000		- 146,989	146,989	
05/01/2020 19-520725	Issue: 96MSCARQ2 - Roark Capital Partners II Sidecar LP B	14,488.330	1.0000		- 14,488	14,488	
05/01/2020 19-520725	Issue: 96MSCAT31 - Kelso Investment Associates IX LP B	11,415.000	1.0000		- 11,415	11,415	
05/04/2020 19-520722	Issue: 97MSCVM22 - Frwr Client Owned Coll @ Barclays B	256,000.000	1.0000		- 256,000	256,000	
05/04/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	3,970,000.000	1.0000		- 3,970,000	3,970,000	
05/04/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs B	2,200,000.000	1.0000		- 2,200,000	2,200,000	
05/04/2020 19-520725	Issue: 96MSCARM1 - Apollo Hybrid Value Fund LP B	1,057,931.620	1.0000		- 1,057,932	1,057,932	
05/04/2020 19-520725	Issue: 96MSCARM1 - Apollo Hybrid Value Fund LP S	- 154,414.340	1.0000		154,414	154,414	
05/05/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 270,000.000	.0100		270,000	270,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/05/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	126,000.000	1.0000		- 126,000	126,000	
05/05/2020 19-520722	Issue: 97MSCVM22 - Frwr Client Owned Coll @ Barclays B	450,000.000	1.0000		- 450,000	450,000	
05/05/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 560,000.000	1.0000		560,000	560,000	
05/06/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 580,000.000	.0100		580,000	580,000	
05/06/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 940,000.000	.0100		940,000	940,000	
05/06/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 2,440,000.000	1.0000		2,440,000	2,440,000	
05/06/2020 19-520725	Issue: 96MSCARG4 - Centre Lane Credit Partners II LP B	718,954.000	1.0000		- 718,954	718,954	
05/06/2020 19-520725	Issue: 96MSCARR0 - Roark Capital Partners V LP B	339,679.420	1.0000		- 339,679	339,679	
05/07/2020 19-520722	Issue: 97MSCVM22 - Frwr Client Owned Coll @ Barclays S	- 706,000.000	1.0000		706,000	706,000	
05/07/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	810,000.000	1.0000		- 810,000	810,000	
05/07/2020 19-520725	Issue: 96MSCCQ06 - Bcp Special Opportunities Fund II LP B	234,592.540	1.0000		- 234,593	234,593	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/08/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 800,000.000	.0100		800,000	800,000	
05/08/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,320,000.000	.0100		1,320,000	1,320,000	
05/08/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp B	680,000.000	1.0000		- 680,000	680,000	
05/08/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 1,660,000.000	1.0000		1,660,000	1,660,000	
05/08/2020 19-520725	Issue: 96MSCARC3 - Benefit Street Partners Debt Fund IV S	- 1,576,920.000	1.0000		1,576,920	1,576,920	
05/08/2020 19-520725	Issue: 96MSCART6 - Icg North American Private Debt II B	82,777.780	1.0000		- 82,778	82,778	
05/08/2020 19-520725	Issue: 96MSCAS57 - Ascribe Opportunities Fund III LP B	135,716.000	1.0000		- 135,716	135,716	
05/08/2020 19-520725	Issue: 96MSCAS57 - Ascribe Opportunities Fund III LP S	- 5,666.000	1.0000		5,666	5,666	
05/11/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 290,000.000	.0100		290,000	290,000	
05/11/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,210,000.000	.0100		1,210,000	1,210,000	
05/11/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp S	- 680,000.000	1.0000		680,000	680,000	

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05/11/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs S	- 400,000.000	1.0000		400,000	400,000	
05/11/2020 19-520725	Issue: 96MSCAS32 - Secondary Investment Spv-6 LP S	- 16,704.000	1.0000		16,704	16,704	
05/12/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,960,000.000	.0100		1,960,000	1,960,000	
05/12/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 450,000.000	.0100		450,000	450,000	
05/12/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 2,360,000.000	1.0000		2,360,000	2,360,000	
05/12/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 990,000.000	1.0000		990,000	990,000	
05/13/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 99,960.016	10.0040		1,000,000	999,830	170
05/13/2020 19-520722	Issue: 96MSC4D32 - Frwrd Client Owned Coll @ Bnp B	700,000.000	1.0000		- 700,000	700,000	
05/13/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	2,630,000.000	1.0000		- 2,630,000	2,630,000	
05/13/2020 19-520725	Issue: 96MSCARE9 - HI Secondary Fund IV LP B	704,426.000	1.0000		- 704,426	704,426	
05/14/2020 19-520722	Issue: 96MSC4D32 - Frwrd Client Owned Coll @ Bnp B	470,000.000	1.0000		- 470,000	470,000	

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05/14/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	2,330,000.000	1.0000		- 2,330,000	2,330,000	
05/14/2020 19-520725	Issue: 96MSCASG3 - Blackstone Capital Partners VI LP S	- 60,099.060	1.0000		60,099	60,099	
05/15/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 690,000.000	.0100		690,000	690,000	
05/15/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,180,000.000	.0100		1,180,000	1,180,000	
05/15/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	46,000.000	1.0000		- 46,000	46,000	
05/15/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	23,000.000	1.0000		- 23,000	23,000	
05/15/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp S	- 260,000.000	1.0000		260,000	260,000	
05/15/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 1,980,000.000	1.0000		1,980,000	1,980,000	
05/15/2020 19-520725	Issue: 96MSCASK4 - Tpg Growth II LP B	3,149.000	1.0000		- 3,149	3,149	
05/15/2020 19-520725	Issue: 96MSCASN8 - Providence Equity Partners VII LP B	32,653.000	1.0000		- 32,653	32,653	
05/15/2020 19-520725	Issue: 96MSCAT15 - Bcp Energy Services Fund LP B	65,681.000	1.0000		- 65,681	65,681	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/18/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 310,000.000	.0100		310,000	310,000	
05/18/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 570,000.000	.0100		570,000	570,000	
05/18/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	419,748.151	10.0060		- 4,200,000	4,200,000	
05/18/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp S	- 390,000.000	1.0000		390,000	390,000	
05/18/2020 19-520722	Issue: 98MSCN4R3 - Swap Client Cash Coll @ Jpmorgan B	60,000.000	1.0000		- 60,000	60,000	
05/18/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 600,000.000	1.0000		600,000	600,000	
05/19/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 2,160,000.000	.0100		2,160,000	2,160,000	
05/19/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 3,730,000.000	.0100		3,730,000	3,730,000	
05/19/2020 19-520722	Issue: 72200Q109 - Pimco Paps Asset Backed Securities B	488,562.091	12.2400		- 5,980,000	5,980,000	
05/19/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	143,000.000	1.0000		- 143,000	143,000	
05/19/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 4,040,000.000	1.0000		4,040,000	4,040,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/20/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	41,000.000	1.0000		- 41,000	41,000	
05/20/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp B	650,000.000	1.0000		- 650,000	650,000	
05/20/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	1,260,000.000	1.0000		- 1,260,000	1,260,000	
05/20/2020 19-520725	Issue: 96MSCASJ7 - American Securities Partners VI LP S	- 67,196.000	1.0000		67,196	67,196	
05/20/2020 19-520726	Issue: 96MSCATG2 - Mesirow Fin Re Value Fund III LP B	73,851.010	1.0000		- 73,851	73,851	
05/21/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,140,000.000	.0100		1,140,000	1,140,000	
05/21/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 2,000,000.000	.0100		2,000,000	2,000,000	
05/21/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 2,100,000.000	1.0000		2,100,000	2,100,000	
05/21/2020 19-520725	Issue: 96MSCAS08 - Hps Mezzanine Partners 2019 LP S	- 90,545.210	1.0000		90,545	90,545	
05/22/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	48,000.000	1.0000		- 48,000	48,000	
05/22/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp S	- 410,000.000	1.0000		410,000	410,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/22/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 90,000.000	1.0000		90,000	90,000	
05/22/2020 19-520725	Issue: 96MSCAR33 - Tpg Growth III LP B	11,987.000	1.0000		- 11,987	11,987	
05/22/2020 19-520725	Issue: 96MSCASS7 - HI Secondary Fund III-A LP S	- 169,244.000	1.0000		169,244	169,244	
05/26/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 330,000.000	.0100		330,000	330,000	
05/26/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 2,870,000.000	1.0000		2,870,000	2,870,000	
05/26/2020 19-520725	Issue: 96MSCAS81 - Blackstone Capital Partners VII LP B	576,094.680	1.0000		- 576,095	576,095	
05/27/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,110,000.000	.0100		1,110,000	1,110,000	
05/27/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,570,000.000	.0100		1,570,000	1,570,000	
05/27/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank S	- 100,000.000	1.0000		100,000	100,000	
05/27/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 620,000.000	.0100		620,000	620,000	
05/27/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank S	- 142,000.000	1.0000		142,000	142,000	

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05/27/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 220,000.000	1.0000		220,000	220,000	
05/28/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,060,000.000	.0100		1,060,000	1,060,000	
05/28/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,830,000.000	.0100		1,830,000	1,830,000	
05/28/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	43,000.000	1.0000		- 43,000	43,000	
05/28/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 980,000.000	.0100		980,000	980,000	
05/28/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp B	820,000.000	1.0000		- 820,000	820,000	
05/28/2020 19-520725	Issue: 96MSCAR90 - Hps Mezzanine Partners III LP B	751,959.490	1.0000		- 751,959	751,959	
05/28/2020 19-520725	Issue: 96MSCAS08 - Hps Mezzanine Partners 2019 LP B	297,300.000	1.0000		- 297,300	297,300	
05/29/2020 19-520721	Issue: 9SPMTJNR9 - Ssga Long US Treasury Indx SI Fund B	718.335	33.1270		- 23,796	23,796	
05/29/2020 19-520721	Issue: 9SPMTJNS7 - Invesco Balanced Risk Com Fd S	- 4,178.360	7.8100		32,633	39,736	- 7,103
05/29/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	30,000.000	1.0000		- 30,000	30,000	

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05/29/2020 19-520725	Issue: 96MSCAS40 - Anacap Credit Opportunities III LP S	- 181,876.720	1.0000		181,877	181,877	
05/29/2020 19-520725	Issue: 96MSCASY4 - Encap Flatrock Midstream Fund III LP B	47,856.670	1.0000		- 47,857	47,857	
05/29/2020 19-520726	Issue: 96MSCATC1 - Sentinel Real Estate Fund LP B	6.114	90,583.6482		- 553,856	553,856	
05/29/2020 19-520726	Issue: 96MSCATC1 - Sentinel Real Estate Fund LP B	5.644	90,545.9236		- 510,996	510,996	
06/01/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 550,000.000	.0100		550,000	550,000	
06/01/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	129,000.000	1.0000		- 129,000	129,000	
06/01/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 300,000.000	.0100		300,000	300,000	
06/01/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank S	- 249,000.000	1.0000		249,000	249,000	
06/01/2020 19-520725	Issue: 96MSCC8A4 - Anacap Credit Opportunities IV LP S	- 30,484.890	1.0000		30,485	30,485	
06/02/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 400,000.000	.0100		400,000	400,000	
06/02/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 610,000.000	.0100		610,000	610,000	

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06/02/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 320,000.000	.0100		320,000	320,000	
06/02/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp S	- 440,000.000	1.0000		440,000	440,000	
06/02/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	30,000.000	1.0000		- 30,000	30,000	
06/02/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	126,000.000	1.0000		- 126,000	126,000	
06/03/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 560,000.000	.0100		560,000	560,000	
06/03/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 970,000.000	.0100		970,000	970,000	
06/03/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 520,000.000	.0100		520,000	520,000	
06/03/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	21,000.000	1.0000		- 21,000	21,000	
06/04/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,030,000.000	.0100		1,030,000	1,030,000	
06/04/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,790,000.000	.0100		1,790,000	1,790,000	
06/04/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 950,000.000	.0100		950,000	950,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
06/04/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp B	420,000.000	1.0000		- 420,000	420,000	
06/04/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	21,000.000	1.0000		- 21,000	21,000	
06/05/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp B	690,000.000	1.0000		- 690,000	690,000	
06/05/2020 19-520725	Issue: 96MSCAR17 - H.I.G. Capital Partners V LP B	326,571.000	1.0000		- 326,571	326,571	
06/05/2020 19-520725	Issue: 96MSCAR17 - H.I.G. Capital Partners V LP S	- 73,644.520	1.0000		73,645	73,645	
06/08/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,610,000.000	.0100		1,610,000	1,610,000	
06/08/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 3,030,000.000	.0100		3,030,000	3,030,000	
06/08/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,690,000.000	.0100		1,690,000	1,690,000	
06/08/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp B	1,070,000.000	1.0000		- 1,070,000	1,070,000	
06/08/2020 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV B	109,311.000	1.0000		- 109,311	109,311	
06/09/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 6,800,000.000	.0100		6,800,000	6,800,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
06/09/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,000,000.000	.0100		1,000,000	1,000,000	
06/09/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 900,000.000	.0100		900,000	900,000	
06/09/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp B	530,000.000	1.0000		- 530,000	530,000	
06/10/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	119,844.202	10.0130		- 1,200,000	1,200,000	
06/11/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 119,844.202	10.0130		1,200,000	1,199,923	77
06/11/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp S	- 1,140,000.000	1.0000		1,140,000	1,140,000	
06/11/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	8,000.000	1.0000		- 8,000	8,000	
06/12/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp S	- 1,210,000.000	1.0000		1,210,000	1,210,000	
06/12/2020 19-520725	Issue: 96MSCAST5 - Platinum Equity Capital Partners III S	- 25,760.000	1.0000		25,760	25,760	
06/15/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,830,000.000	.0100		1,830,000	1,830,000	
06/15/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,520,000.000	.0100		1,520,000	1,520,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
06/15/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	69,909.118	10.0130		- 700,000	700,000	
06/15/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 910,000.000	.0100		910,000	910,000	
06/15/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp S	- 1,370,000.000	1.0000		1,370,000	1,370,000	
06/15/2020 19-520725	Issue: 96MSCASG3 - Blackstone Capital Partners VI LP S	- 1,737.050	1.0000		1,737	1,737	
06/15/2020 19-520725	Issue: 96MSCATL1 - Bdc III LP B	403,406.580	1.0000		- 403,407	403,407	
06/16/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,310,000.000	.0100		1,310,000	1,310,000	
06/16/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 980,000.000	.0100		980,000	980,000	
06/16/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 750,000.000	.0100		750,000	750,000	
06/16/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp B	1,110,000.000	1.0000		- 1,110,000	1,110,000	
06/16/2020 19-520725	Issue: 96MSCARC3 - Benefit Street Partners Debt Fund IV S	- 788,460.000	1.0000		788,460	788,460	
06/16/2020 19-520725	Issue: 96MSCARF6 - Aurora Equity Partners V LP B	290,287.000	1.0000		- 290,287	290,287	

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06/17/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 2,500,000.000	.0100		2,500,000	2,500,000	
06/17/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 2,010,000.000	.0100		2,010,000	2,010,000	
06/17/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,430,000.000	.0100		1,430,000	1,430,000	
06/17/2020 19-520725	Issue: 96MSCASY4 - Encap Flatrock Midstream Fund III LP B	65,333.330	1.0000		- 65,333	65,333	
06/18/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 69,909.118	10.0130		700,000	699,993	7
06/18/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp B	720,000.000	1.0000		- 720,000	720,000	
06/18/2020 19-520725	Issue: 96MSCARG4 - Centre Lane Credit Partners II LP B	1,241,830.000	1.0000		- 1,241,830	1,241,830	
06/19/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	1,358,234.295	10.0130		- 13,600,000	13,600,000	
06/19/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 70,000.000	.0100		70,000	70,000	
06/19/2020 19-520725	Issue: 96MSCC8A4 - Anacap Credit Opportunities IV LP B	470,450.160	1.0000		- 470,450	470,450	
06/19/2020 19-520725	Issue: 96MSCAR58 - Gso Capital Opportunities Fund III B	202,843.000	1.0000		- 202,843	202,843	

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06/19/2020 19-520725	S	Issue: 96MSCAR58 - Gso Capital Opportunities Fund III - 701,351.000	1.0000		701,351	701,351	
06/22/2020 19-520722	S	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp - 1,190,000.000	1.0000		1,190,000	1,190,000	
06/22/2020 19-520725	S	Issue: 96MSCARH2 - Cvc Capital Partners VII LP - 19,406.870	1.0000		19,407	19,407	
06/22/2020 19-520725	B	Issue: 96MSCAS40 - Anacap Credit Opportunities III LP 51,005.740	1.0000		- 51,006	51,006	
06/23/2020 19-520722	B	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc - 890,000.000	.0100		890,000	890,000	
06/23/2020 19-520722	B	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays - 680,000.000	.0100		680,000	680,000	
06/23/2020 19-520722	B	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells - 500,000.000	.0100		500,000	500,000	
06/23/2020 19-520722	S	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp - 280,000.000	1.0000		280,000	280,000	
06/23/2020 19-520722	S	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank - 126,000.000	1.0000		126,000	126,000	
06/24/2020 19-520722	B	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc - 550,000.000	.0100		550,000	550,000	
06/24/2020 19-520722	B	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays - 420,000.000	.0100		420,000	420,000	

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06/24/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	259,636.509	10.0140		- 2,600,000	2,600,000	
06/24/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 310,000.000	.0100		310,000	310,000	
06/24/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp S	- 490,000.000	1.0000		490,000	490,000	
06/25/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 370,000.000	.0100		370,000	370,000	
06/25/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 139,804.274	10.0140		1,400,000	1,399,989	11
06/26/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,650,000.000	.0100		1,650,000	1,650,000	
06/26/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,210,000.000	.0100		1,210,000	1,210,000	
06/26/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 890,000.000	.0100		890,000	890,000	
06/26/2020 19-520725	Issue: 96MSCAR17 - H.I.G. Capital Partners V LP B	602,671.940	1.0000		- 602,672	602,672	
06/26/2020 19-520725	Issue: 96MSCASR9 - Roark Capital Partners III LP B	7,657.700	1.0000		- 7,658	7,658	
06/26/2020 19-520725	Issue: 96MSCASU2 - H.I.G. Middle Market Lbo Fund II LP B	731,344.990	1.0000		- 731,345	731,345	

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06/29/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 680,000.000	.0100		680,000	680,000	
06/29/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 129,818.254	10.0140		1,300,000	1,299,990	10
06/29/2020 19-520722	Issue: 97MSCG391 - Swap Client Cash Coll @ Hsbc B	1,510,000.000	1.0000		- 1,510,000	1,510,000	
06/29/2020 19-520725	Issue: 96MSCAR82 - Thomas H Lee Equity Fund VII LP S	- 69,353.000	1.0000		69,353	69,353	
06/29/2020 19-520725	Issue: 96MSCAS16 - Castletlake Income Opportunities LP S	- 314,007.000	1.0000		314,007	314,007	
06/29/2020 19-520725	Issue: 96MSCASU2 - H.I.G. Middle Market Lbo Fund II LP S	- 104,112.600	1.0000		104,113	104,113	
06/30/2020 19-520721	Issue: 9SPMTJNS7 - Invesco Balanced Risk Com Fd S	- 4,107.211	8.1900		33,638	39,060	- 5,422
06/30/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 500,000.000	.0100		500,000	500,000	
06/30/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 650,000.000	.0100		650,000	650,000	
06/30/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,540,000.000	.0100		1,540,000	1,540,000	
06/30/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	469,000.000	1.0000		- 469,000	469,000	

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06/30/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank S	- 38,000.000	1.0000		38,000	38,000	
06/30/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	199,720.392	10.0140		- 2,000,000	2,000,000	
06/30/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,130,000.000	.0100		1,130,000	1,130,000	
06/30/2020 19-520722	Issue: 97MSCG391 - Swap Client Cash Coll @ Hsbc S	- 1,510,000.000	1.0000		1,510,000	1,510,000	
06/30/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs S	- 500,000.000	1.0000		500,000	500,000	
06/30/2020 19-520725	Issue: 96MSCAR33 - Tpg Growth III LP B	1,558.000	1.0000		- 1,558	1,558	
06/30/2020 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV S	- 40,758.000	1.0000		40,758	40,758	
06/30/2020 19-520725	Issue: 96MSCARB5 - Apollo Investment Fund IX LP B	815,853.540	1.0000		- 815,854	815,854	
06/30/2020 19-520725	Issue: 96MSCARB5 - Apollo Investment Fund IX LP S	- 158,701.890	1.0000		158,702	158,702	
06/30/2020 19-520725	Issue: 96MSCARG4 - Centre Lane Credit Partners II LP S	- 1,307,190.000	1.0000		1,307,190	1,307,190	
06/30/2020 19-520725	Issue: 96MSCARV1 - Balance Point Capital Partners III B	478,716.340	1.0000		- 478,716	478,716	

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06/30/2020 19-520725	Issue: 96MSCAS16 - Castlake Income Opportunities LP B	23,253.000	1.0000		- 23,253	23,253	
06/30/2020 19-520725	Issue: 96MSCASB4 - Pimco Distressed Credit Fund B LP S	- 1.000	203,995.6500		203,996		203,996
06/30/2020 19-520725	Issue: 96MSCASK4 - Tpg Growth II LP S	- 68,142.000	1.0000		68,142	68,142	
06/30/2020 19-520725	Issue: 96MSCAT23 - Blackstone Energy Partners II LP B	46,045.390	1.0000		- 46,045	46,045	
06/30/2020 19-520725	Issue: 96MSCAT23 - Blackstone Energy Partners II LP S	- 26,752.730	1.0000		26,753	26,753	
06/30/2020 19-520726	Issue: 96MSCATC1 - Sentinel Real Estate Fund LP B	6.106	87,655.7859		- 535,244	535,244	
07/01/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 2,240,000.000	.0100		2,240,000	2,240,000	
07/01/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,610,000.000	.0100		1,610,000	1,610,000	
07/01/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,170,000.000	.0100		1,170,000	1,170,000	
07/01/2020 19-520725	Issue: 96MSCARF6 - Aurora Equity Partners V LP B	135,741.800	1.0000		- 135,742	135,742	
07/01/2020 19-520725	Issue: 96MSCARF6 - Aurora Equity Partners V LP S	- 290,287.000	1.0000		290,287	290,287	

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07/01/2020 19-520725	Issue: 96MSCAS65 - American Securities Partners VII LP B	91,956.000	1.0000		- 91,956	91,956	
07/01/2020 19-520725	Issue: 96MSCAS65 - American Securities Partners VII LP S	- 26,604.000	1.0000		26,604	26,604	
07/02/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 650,000.000	.0100		650,000	650,000	
07/02/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 470,000.000	.0100		470,000	470,000	
07/02/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	239,664.470	10.0140		- 2,400,000	2,400,000	
07/02/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 340,000.000	.0100		340,000	340,000	
07/06/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 730,000.000	.0100		730,000	730,000	
07/06/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 480,000.000	.0100		480,000	480,000	
07/06/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 439,384.861	10.0140		4,400,000	4,399,999	1
07/06/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 370,000.000	.0100		370,000	370,000	
07/07/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 410,000.000	.0100		410,000	410,000	

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07/07/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 2,300,000.000	.0100		2,300,000	2,300,000	
07/07/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,170,000.000	.0100		1,170,000	1,170,000	
07/07/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,200,000.000	.0100		1,200,000	1,200,000	
07/07/2020 19-520725	Issue: 96MSCFED4 - Ascribe Opportunities Fund IV LP B	72,148.000	1.0000		- 72,148	72,148	
07/07/2020 19-520725	Issue: 96MSCFED4 - Ascribe Opportunities Fund IV LP S	- 23,256.000	1.0000		23,256	23,256	
07/07/2020 19-520725	Issue: 96MSCARX7 - Apollo Euro Principal Finance Fd III B	1,313,793.530	1.0000		- 1,313,794	1,313,794	
07/07/2020 19-520725	Issue: 96MSCARX7 - Apollo Euro Principal Finance Fd III S	- 124,296.980	1.0000		124,297	124,297	
07/08/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 390,000.000	.0100		390,000	390,000	
07/09/2020 19-520721	Issue: 9SPMTJNR9 - Ssga Long US Treasury Indx SI Fund S	- 444,275.143	33.7629		15,000,000	10,112,463	4,887,537
07/09/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 420,000.000	.0100		420,000	420,000	
07/09/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,290,000.000	.0100		1,290,000	1,290,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/09/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 860,000.000	.0100		860,000	860,000	
07/09/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	49,930.098	10.0140		- 500,000	500,000	
07/09/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 650,000.000	.0100		650,000	650,000	
07/09/2020 19-520725	Issue: 96MSCAS57 - Ascribe Opportunities Fund III LP B	223,243.000	1.0000		- 223,243	223,243	
07/09/2020 19-520725	Issue: 96MSCAS57 - Ascribe Opportunities Fund III LP S	- 24.000	1.0000		24	24	
07/09/2020 19-520725	Issue: 96MSCASN8 - Providence Equity Partners VII LP B	153,648.000	1.0000		- 153,648	153,648	
07/09/2020 19-520725	Issue: 96MSCASN8 - Providence Equity Partners VII LP S	- 318,865.000	1.0000		318,865	318,865	
07/10/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	39,944.078	10.0140		- 400,000	400,000	
07/13/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,660,000.000	.0100		1,660,000	1,660,000	
07/13/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,110,000.000	.0100		1,110,000	1,110,000	
07/13/2020 19-520722	Issue: 97MSCQ7E4 - Brkr Ownd Cash Coll Bnp B	- 860,000.000	.0100		860,000	860,000	

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07/13/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	79,888.157	10.0140		- 800,000	800,000	
07/13/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 840,000.000	.0100		840,000	840,000	
07/13/2020 19-520722	Issue: 722005808 - Pimco Paps Mortgage B	770,357.376	11.6600		- 8,982,367	8,982,367	
07/13/2020 19-520725	Issue: 96MSCARK5 - HI Co-Investment Fund IV LP B	340,948.000	1.0000		- 340,948	340,948	
07/13/2020 19-520725	Issue: 96MSCARK5 - HI Co-Investment Fund IV LP S	- 252,832.000	1.0000		252,832	252,832	
07/14/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 169,762.333	10.0140		1,700,000	1,700,000	
07/15/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 2,080,000.000	.0100		2,080,000	2,080,000	
07/15/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,190,000.000	.0100		1,190,000	1,190,000	
07/15/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,120,000.000	.0100		1,120,000	1,120,000	
07/15/2020 19-520725	Issue: 96MSCC8A4 - Anacap Credit Opportunities IV LP S	- 282,482.840	1.0000		282,483	282,483	
07/15/2020 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV B	35,769.000	1.0000		- 35,769	35,769	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/15/2020 19-520725	Issue: 96MSCARX7 - Apollo Euro Principal Finance Fd III B	851,321.920	1.0000		- 851,322	851,322	
07/15/2020 19-520725	Issue: 96MSCARX7 - Apollo Euro Principal Finance Fd III S	- 1,685.790	1.0000		1,686	1,686	
07/15/2020 19-520726	Issue: 96MSCATG2 - Mesirow Fin Re Value Fund III LP B	65,473.880	1.0000		- 65,474	65,474	
07/16/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 340,000.000	.0100		340,000	340,000	
07/16/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,810,000.000	.0100		1,810,000	1,810,000	
07/16/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,250,000.000	.0100		1,250,000	1,250,000	
07/16/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	89,865.202	10.0150		- 900,000	900,000	
07/16/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 960,000.000	.0100		960,000	960,000	
07/16/2020 19-520725	Issue: 96MSCAT31 - Kelso Investment Associates IX LP S	- 48,523.000	1.0000		48,523	48,523	
07/17/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	1,397,903.145	10.0150		- 14,000,000	14,000,000	
07/20/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 360,000.000	.0100		360,000	360,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/20/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 530,000.000	.0100		530,000	530,000	
07/20/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 1,178,232.651	10.0150		11,800,000	11,799,991	9
07/20/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 290,000.000	.0100		290,000	290,000	
07/20/2020 19-520725	Issue: 96MSCAR90 - Hps Mezzanine Partners III LP S	- 766,278.150	1.0000		766,278	766,278	
07/21/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,360,000.000	.0100		1,360,000	1,360,000	
07/21/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 740,000.000	.0100		740,000	740,000	
07/21/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 449,326.011	10.0150		4,500,000	4,499,997	3
07/21/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 720,000.000	.0100		720,000	720,000	
07/21/2020 19-520722	Issue: 722005808 - Pimco Paps Mortgage S	- 1,253,207.870	11.6900		14,650,000	14,240,441	409,559
07/21/2020 19-520725	Issue: 96MSCAR82 - Thomas H Lee Equity Fund VII LP B	20,200.000	1.0000		- 20,200	20,200	
07/21/2020 19-520725	Issue: 96MSCAS32 - Secondary Investment Spv-6 LP S	- 13,920.000	1.0000		13,920	13,920	

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07/22/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 570,000.000	.0100		570,000	570,000	
07/22/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 290,000.000	.0100		290,000	290,000	
07/22/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 170,000.000	.0100		170,000	170,000	
07/22/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	1,537,693.460	10.0150		- 15,400,000	15,400,000	
07/22/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 130,000.000	.0100		130,000	130,000	
07/22/2020 19-520725	Issue: 96MSCAR33 - Tpg Growth III LP B	40,406.000	1.0000		- 40,406	40,406	
07/22/2020 19-520725	Issue: 96MSCAR33 - Tpg Growth III LP S	- 11,987.000	1.0000		11,987	11,987	
07/22/2020 19-520725	Issue: 96MSCARZ2 - Oaktree Special Situations Fund II S	- 1,327.000	1.0000		1,327	1,327	
07/22/2020 19-520725	Issue: 96MSCASW8 - Energy Capital Partners III LP B	228,092.000	1.0000		- 228,092	228,092	
07/22/2020 19-520725	Issue: 96MSCASW8 - Energy Capital Partners III LP S	- 359,118.000	1.0000		359,118	359,118	
07/23/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 900,000.000	.0100		900,000	900,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/23/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 520,000.000	.0100		520,000	520,000	
07/23/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 529,206.191	10.0150		5,300,000	5,300,000	
07/23/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 500,000.000	.0100		500,000	500,000	
07/23/2020 19-520725	Issue: 96MSCARG4 - Centre Lane Credit Partners II LP S	- 653,595.000	1.0000		653,595	653,595	
07/24/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 510,000.000	.0100		510,000	510,000	
07/24/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 818,771.842	10.0150		8,200,000	8,200,000	
07/27/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	310,000.000	1.0000		- 310,000	310,000	
07/27/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 189,715.427	10.0150		1,900,000	1,900,000	
07/27/2020 19-520725	Issue: 96MSCART6 - Icg North American Private Debt II B	1,067.550	1.0000		- 1,068	1,068	
07/27/2020 19-520725	Issue: 96MSCART6 - Icg North American Private Debt II S	- 8,493.770	1.0000		8,494	8,494	
07/27/2020 19-520725	Issue: 96MSCARZ2 - Oaktree Special Situations Fund II B	100,000.000	1.0000		- 100,000	100,000	

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07/28/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 580,000.000	.0100		580,000	580,000	
07/28/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 310,000.000	1.0000		310,000	310,000	
07/28/2020 19-520722	Issue: 97MSCULD1 - Swap Client Cash Coll @ Stn Chrt B	330,000.000	1.0000		- 330,000	330,000	
07/28/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,320,000.000	.0100		1,320,000	1,320,000	
07/28/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 460,000.000	.0100		460,000	460,000	
07/28/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 770,000.000	.0100		770,000	770,000	
07/28/2020 19-520725	Issue: 96MSCARR0 - Roark Capital Partners V LP B	36,903.670	1.0000		- 36,904	36,904	
07/29/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays B	190,000.000	1.0000		- 190,000	190,000	
07/29/2020 19-520725	Issue: 96MSCARN9 - Midocean Partners V LP B	111,380.000	1.0000		- 111,380	111,380	
07/30/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 420,000.000	.0100		420,000	420,000	
07/30/2020 19-520722	Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays S	- 190,000.000	1.0000		190,000	190,000	

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07/30/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 2,150,000.000	.0100		2,150,000	2,150,000	
07/30/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,060,000.000	.0100		1,060,000	1,060,000	
07/30/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	49,925.112	10.0150		- 500,000	500,000	
07/30/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,260,000.000	.0100		1,260,000	1,260,000	
07/30/2020 19-520725	Issue: 96MSCAR58 - Gso Capital Opportunities Fund III B	320,388.000	1.0000		- 320,388	320,388	
07/31/2020 19-520721	Issue: 9SPMTJNS7 - Invesco Balanced Risk Com Fd S	- 4,175.521	8.7700		36,619	39,709	- 3,090
07/31/2020 19-520721	Issue: 96MSCAQV2 - Panagora Risk Parity Magt Fund S	- 300,781.656	19.9480		6,000,000	3,850,432	2,149,568
07/31/2020 19-520721	Issue: 96MSCAT56 - Jp Morgan lif Erisa LP B	650,175.570	.9249		- 601,340	601,340	
07/31/2020 19-520721	Issue: 96MSCAT56 - Jp Morgan lif Erisa LP S	- 130,046.640	.9227		119,991	141,685	- 21,694
07/31/2020 19-520721	Issue: 96MSCAT56 - Jp Morgan lif Erisa LP S	- 893,714.970	.9344		835,074	973,697	- 138,623
07/31/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	349,475.786	10.0150		- 3,500,000	3,500,000	

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07/31/2020 19-520725	Issue: 96MSCFYC4 - Castlake Aviation IV Stable Yield B	387,193.000	1.0000		- 387,193	387,193	
07/31/2020 19-520725	Issue: 96MSCASG3 - Blackstone Capital Partners VI LP S	- 32,057.310	1.0000		32,057	32,057	
08/03/2020 19-520721	Issue: 96MSCAT64 - All Weather Portfolio Limited S	- 3,704.229	1,889.7319		7,000,000	3,704,229	3,295,771
08/03/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 630,000.000	.0100		630,000	630,000	
08/03/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 900,000.000	.0100		900,000	900,000	
08/03/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 520,000.000	.0100		520,000	520,000	
08/03/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 530,000.000	.0100		530,000	530,000	
08/03/2020 19-520725	Issue: 96MSCBBN4 - Ares Special Opportunities Fund LP B	296,577.000	1.0000		- 296,577	296,577	
08/03/2020 19-520725	Issue: 96MSCBBN4 - Ares Special Opportunities Fund LP S	- 230,613.260	1.0000		230,613	230,613	
08/04/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 680,000.000	.0100		680,000	680,000	
08/04/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,380,000.000	.0100		1,380,000	1,380,000	

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08/04/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 800,000.000	.0100		800,000	800,000	
08/04/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 399,400.899	10.0150		4,000,000	4,000,000	
08/04/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 850,000.000	.0100		850,000	850,000	
08/04/2020 19-520725	Issue: 96MSCASS7 - HI Secondary Fund III-A LP S	- 241,632.000	1.0000		241,632	241,632	
08/04/2020 19-520725	Issue: 96MSCASY4 - Encap Flatrock Midstream Fund III LP B	5,287.760	1.0000		- 5,288	5,288	
08/04/2020 19-520726	Issue: 96MSCATG2 - Mesirow Fin Re Value Fund III LP B	191,536.450	1.0000		- 191,536	191,536	
08/05/2020 19-520722	Issue: 97MSCULD1 - Swap Client Cash Coll @ Stn Chrt S	- 330,000.000	1.0000		330,000	330,000	
08/05/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 600,000.000	.0100		600,000	600,000	
08/05/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 340,000.000	.0100		340,000	340,000	
08/05/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 370,000.000	.0100		370,000	370,000	
08/05/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs S	- 1,000,000.000	1.0000		1,000,000	1,000,000	

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08/06/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 460,000.000	.0100		460,000	460,000	
08/06/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,200,000.000	.0100		1,200,000	1,200,000	
08/06/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 700,000.000	.0100		700,000	700,000	
08/06/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 750,000.000	.0100		750,000	750,000	
08/06/2020 19-520725	Issue: 96MSCARG4 - Centre Lane Credit Partners II LP S	- 326,797.000	1.0000		326,797	326,797	
08/07/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 830,000.000	.0100		830,000	830,000	
08/07/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 470,000.000	.0100		470,000	470,000	
08/07/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 530,000.000	.0100		530,000	530,000	
08/07/2020 19-520725	Issue: 96MSCARZ2 - Oaktree Special Situations Fund II B	150,000.000	1.0000		- 150,000	150,000	
08/07/2020 19-520725	Issue: 96MSCAS57 - Ascribe Opportunities Fund III LP S	- 1,763.000	1.0000		1,763	1,763	
08/07/2020 19-520725	Issue: 96MSCCQ06 - Bcp Special Opportunities Fund II LP S	- 585,000.000	1.0000		585,000	585,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/10/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	99,840.256	10.0160		- 1,000,000	1,000,000	
08/10/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 100,000.000	.0100		100,000	100,000	
08/10/2020 19-520725	Issue: 96MSCARJ8 - HI Venture Capital Fd - Series 2017 B	225,989.000	1.0000		- 225,989	225,989	
08/10/2020 19-520725	Issue: 96MSCARX7 - Apollo Euro Principal Finance Fd III B	2,010,949.500	1.0000		- 2,010,950	2,010,950	
08/10/2020 19-520725	Issue: 96MSCARX7 - Apollo Euro Principal Finance Fd III S	- 219,778.990	1.0000		219,779	219,779	
08/11/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 520,000.000	.0100		520,000	520,000	
08/11/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 300,000.000	.0100		300,000	300,000	
08/11/2020 19-520722	Issue: 97MSCVM22 - Frwrd Client Owned Coll @ Barclays B	880,000.000	1.0000		- 880,000	880,000	
08/11/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 230,000.000	.0100		230,000	230,000	
08/12/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 99,840.256	10.0160		1,000,000	999,989	11
08/12/2020 19-520725	Issue: 96MSCAT31 - Kelso Investment Associates IX LP S	- 12,197.000	1.0000		12,197	12,197	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/13/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 2,190,000.000	.0100		2,190,000	2,190,000	
08/13/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,230,000.000	.0100		1,230,000	1,230,000	
08/13/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,400,000.000	.0100		1,400,000	1,400,000	
08/13/2020 19-520722	Issue: 98MSCN4R3 - Swap Client Cash Coll @ Jpmorgan S	- 50,000.000	1.0000		50,000	50,000	
08/13/2020 19-520725	Issue: 96MSCARD1 - Capitala Private Credit Fund V LP S	- 168,568.120	1.0000		168,568	168,568	
08/13/2020 19-520725	Issue: 96MSCAS99 - Capitalsouth Partners FI Sidecar II S	- 206,327.370	1.0000		206,327	206,327	
08/14/2020 19-520722	Issue: 97MSCVM22 - Frwrd Client Owned Coll @ Barclays S	- 880,000.000	1.0000		880,000	880,000	
08/14/2020 19-520725	Issue: 96MSCC8A4 - Anacap Credit Opportunities IV LP B	100,030.450	1.0000		- 100,030	100,030	
08/14/2020 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV B	10,802.000	1.0000		- 10,802	10,802	
08/14/2020 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV B	9,815.000	1.0000		- 9,815	9,815	
08/14/2020 19-520725	Issue: 96MSCASC2 - HI Venture Capital Fd - Series 2010 S	- 22,030.000	1.0000		22,030	22,030	

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08/14/2020 19-520725	Issue: 96MSCASH1 - HI Venture Capital Fd - Series 2011 S	- 14,987.000	1.0000		14,987	14,987	
08/14/2020 19-520725	Issue: 96MSCASP3 - HI Venture Capital Fd - Series 2012 S	- 4,359.000	1.0000		4,359	4,359	
08/14/2020 19-520725	Issue: 96MSCB1S4 - HI Venture Capital Fd - Series 2013 S	- 49,370.000	1.0000		49,370	49,370	
08/17/2020 19-520726	Issue: 96MSCATF4 - Pccp Credit IX LP B	800,000.000	1.0000		- 800,000	800,000	
08/18/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 480,000.000	.0100		480,000	480,000	
08/18/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 360,000.000	.0100		360,000	360,000	
08/18/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 400,000.000	.0100		400,000	400,000	
08/18/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp B	630,000.000	1.0000		- 630,000	630,000	
08/19/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 260,000.000	.0100		260,000	260,000	
08/19/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 179,712.460	10.0160		1,800,000	1,799,979	21
08/19/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 160,000.000	.0100		160,000	160,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/19/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp S	- 270,000.000	1.0000		270,000	270,000	
08/19/2020 19-520725	Issue: 96MSCCQ06 - Bcp Special Opportunities Fund II LP S	- 556,442.720	1.0000		556,443	556,443	
08/20/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 720,000.000	.0100		720,000	720,000	
08/20/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp S	- 360,000.000	1.0000		360,000	360,000	
08/21/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 530,000.000	.0100		530,000	530,000	
08/21/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 270,000.000	.0100		270,000	270,000	
08/21/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	199,700.449	10.0150		- 2,000,000	2,000,000	
08/21/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	18,000.000	1.0000		- 18,000	18,000	
08/21/2020 19-520725	Issue: 96MSCARS8 - Blackstone Tactical Opportunities Fd S	- 22,383.370	1.0000		22,383	22,383	
08/24/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 400,000.000	.0100		400,000	400,000	
08/24/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 200,000.000	.0100		200,000	200,000	

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08/24/2020 19-520725	Issue: 96MSCARQ2 - Roark Capital Partners II Sidecar LP B	4,955.440	1.0000		- 4,955	4,955	
08/25/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 580,000.000	.0100		580,000	580,000	
08/25/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,560,000.000	.0100		1,560,000	1,560,000	
08/25/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 780,000.000	.0100		780,000	780,000	
08/25/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 130,000.000	.0100		130,000	130,000	
08/25/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank S	- 131,000.000	1.0000		131,000	131,000	
08/25/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	918,530.351	10.0160		- 9,200,000	9,200,000	
08/25/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,050,000.000	.0100		1,050,000	1,050,000	
08/25/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank S	- 73,000.000	1.0000		73,000	73,000	
08/25/2020 19-520725	Issue: 96MSCAQZ3 - Apollo Natural Resources Partners LP B	10,461.970	1.0000		- 10,462	10,462	
08/25/2020 19-520725	Issue: 96MSCAQZ3 - Apollo Natural Resources Partners LP S	- 12,940.340	1.0000		12,940	12,940	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/26/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 500,000.000	.0100		500,000	500,000	
08/26/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 580,000.000	.0100		580,000	580,000	
08/26/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 290,000.000	.0100		290,000	290,000	
08/26/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	728,833.866	10.0160		- 7,300,000	7,300,000	
08/26/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 390,000.000	.0100		390,000	390,000	
08/26/2020 19-520725	Issue: 96MSCARX7 - Apollo Euro Principal Finance Fd III S	- 122,438.810	1.0000		122,439	122,439	
08/26/2020 19-520725	Issue: 96MSCARX7 - Apollo Euro Principal Finance Fd III B	1,201,832.360	1.0000		- 1,201,832	1,201,832	
08/26/2020 19-520726	Issue: 96MSCATF4 - Pccp Credit IX LP S	- 45,600.000	1.0000		45,600	45,600	
08/27/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 900,000.000	.0100		900,000	900,000	
08/27/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 790,000.000	.0100		790,000	790,000	
08/27/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,060,000.000	.0100		1,060,000	1,060,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/27/2020 19-520725	S	Issue: 96MSCAS08 - Hps Mezzanine Partners 2019 LP - 73,695.720	1.0000		73,696	73,696	
08/28/2020 19-520722	B	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc - 880,000.000	.0100		880,000	880,000	
08/28/2020 19-520722	B	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays - 190,000.000	.0100		190,000	190,000	
08/28/2020 19-520722	B	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II 998,502.247	10.0150		- 10,000,000	10,000,000	
08/28/2020 19-520722	B	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells - 250,000.000	.0100		250,000	250,000	
08/31/2020 19-520721	B	Issue: 9SPMTJNR9 - Ssga Long US Treasury Indx SI Fund 1,440.377	32.6500		- 47,028	47,028	
08/31/2020 19-520721	S	Issue: 9SPMTJNS7 - Invesco Balanced Risk Com Fd - 4,195.966	9.2300		38,729	39,904	- 1,175
08/31/2020 19-520721	S	Issue: 96MSCAQU4 - Aqr Global Risk Premium Offs II Ltd - 56.473	159,367.0648		9,000,000	8,084,151	915,849
08/31/2020 19-520722	B	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays - 570,000.000	.0100		570,000	570,000	
08/31/2020 19-520722	S	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II - 938,592.112	10.0150		9,400,000	9,400,018	- 18
08/31/2020 19-520722	B	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells - 800,000.000	.0100		800,000	800,000	

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08/31/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp B	310,000.000	1.0000		- 310,000	310,000	
08/31/2020 19-520722	Issue: 98MSCSSZ8 - Swap Brkr Ownd Cash Coll @ Boa B	- 660,000.000	.0100		660,000	660,000	
08/31/2020 19-520722	Issue: 98MSC6U91 - Swap Client Cash Coll @ Citi Bank B	18,000.000	1.0000		- 18,000	18,000	
08/31/2020 19-520725	Issue: 96MSCARZ2 - Oaktree Special Situations Fund II B	125,000.000	1.0000		- 125,000	125,000	
08/31/2020 19-520725	Issue: 96MSCAS16 - Castletlake Income Opportunities LP S	- 2,652.000	1.0000		2,652	2,652	
08/31/2020 19-520725	Issue: 96MSCAS40 - Anacap Credit Opportunities III LP S	- 207,508.840	1.0000		207,509	207,509	
08/31/2020 19-520726	Issue: 96MSCGC85 - Ag Europe Realty Holdings III LP B	2,800,000.000	1.0000		- 2,800,000	2,800,000	
09/01/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 69,895.157	10.0150		700,000	700,001	- 1
09/01/2020 19-520722	Issue: 96MSC4D32 - Frwr Client Owned Coll @ Bnp S	- 310,000.000	1.0000		310,000	310,000	
09/01/2020 19-520725	Issue: 96MSCBBN4 - Ares Special Opportunities Fund LP B	394,932.740	1.0000		- 394,933	394,933	
09/01/2020 19-520725	Issue: 96MSCASW8 - Energy Capital Partners III LP B	69,307.000	1.0000		- 69,307	69,307	

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09/01/2020 19-520725	Issue: 96MSCASW8 - Energy Capital Partners III LP S	- 490,243.000	1.0000		490,243	490,243	
09/01/2020 19-520725	Issue: 96MSCAT31 - Kelso Investment Associates IX LP B	10,760.000	1.0000		- 10,760	10,760	
09/02/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 420,000.000	.0100		420,000	420,000	
09/02/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 710,000.000	.0100		710,000	710,000	
09/02/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 330,000.000	.0100		330,000	330,000	
09/02/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	9,985.023	10.0150		- 100,000	100,000	
09/02/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 980,000.000	.0100		980,000	980,000	
09/02/2020 19-520722	Issue: 98MSCSSZ8 - Swap Brkr Ownd Cash Coll @ Boa B	- 550,000.000	.0100		550,000	550,000	
09/02/2020 19-520725	Issue: 96MSCARR0 - Roark Capital Partners V LP B	546,031.960	1.0000		- 546,032	546,032	
09/02/2020 19-520725	Issue: 96MSCARV1 - Balance Point Capital Partners III B	1,090,795.240	1.0000		- 1,090,795	1,090,795	
09/02/2020 19-520725	Issue: 96MSCASR9 - Roark Capital Partners III LP B	1,684.140	1.0000		- 1,684	1,684	

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09/02/2020 19-520725	Issue: 96MSCASR9 - Roark Capital Partners III LP S	- 995.380	1.0000		995	995	
09/03/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 1,430,000.000	.0100		1,430,000	1,430,000	
09/03/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,310,000.000	.0100		1,310,000	1,310,000	
09/03/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,090,000.000	.0100		1,090,000	1,090,000	
09/03/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 1,550,000.000	.0100		1,550,000	1,550,000	
09/03/2020 19-520722	Issue: 98MSCSSZ8 - Swap Brkr Ownd Cash Coll @ Boa B	- 1,050,000.000	.0100		1,050,000	1,050,000	
09/03/2020 19-520725	Issue: 96MSCC8A4 - Anacap Credit Opportunities IV LP B	147,231.160	1.0000		- 147,231	147,231	
09/03/2020 19-520725	Issue: 96MSCC8A4 - Anacap Credit Opportunities IV LP S	- 8,993.290	1.0000		8,993	8,993	
09/03/2020 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV S	- 43,142.000	1.0000		43,142	43,142	
09/03/2020 19-520725	Issue: 96MSCAT07 - Roark Capital Partners IV LP B	38,489.940	1.0000		- 38,490	38,490	
09/04/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 9,985.022	10.0150		100,000	100,000	

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09/04/2020 19-520722	Issue: 97MSC91H1 - Swap Client Owned Cash Coll @ BofA B	730,000.000	1.0000		- 730,000	730,000	
09/04/2020 19-520725	Issue: 96MSCART6 - Icg North American Private Debt II B	122,447.570	1.0000		- 122,448	122,448	
09/04/2020 19-520725	Issue: 96MSCASS7 - HI Secondary Fund III-A LP S	- 316,800.000	1.0000		316,800	316,800	
09/08/2020 19-520722	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank B	9,000.000	1.0000		- 9,000	9,000	
09/08/2020 19-520722	Issue: 97MSC91H1 - Swap Client Owned Cash Coll @ BofA B	690,000.000	1.0000		- 690,000	690,000	
09/08/2020 19-520725	Issue: 96MSCASN8 - Providence Equity Partners VII LP B	62,452.000	1.0000		- 62,452	62,452	
09/09/2020 19-520722	Issue: 97MSC91H1 - Swap Client Owned Cash Coll @ BofA B	2,290,000.000	1.0000		- 2,290,000	2,290,000	
09/09/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	2,100,000.000	1.0000		- 2,100,000	2,100,000	
09/10/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 590,000.000	.0100		590,000	590,000	
09/10/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,880,000.000	.0100		1,880,000	1,880,000	
09/10/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,430,000.000	.0100		1,430,000	1,430,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
09/10/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 120,000.000	.0100		120,000	120,000	
09/10/2020 19-520722	Issue: 97MSC91H1 - Swap Client Owned Cash Coll @ BofA S	- 1,580,000.000	1.0000		1,580,000	1,580,000	
09/10/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 2,100,000.000	1.0000		2,100,000	2,100,000	
09/10/2020 19-520725	Issue: 96MSCARS8 - Blackstone Tactical Opportunities Fd B	124,151.540	1.0000		- 124,152	124,152	
09/10/2020 19-520725	Issue: 96MSCARS8 - Blackstone Tactical Opportunities Fd S	- 363.270	1.0000		363	363	
09/11/2020 19-520722	Issue: 97MSC91H1 - Swap Client Owned Cash Coll @ BofA B	1,310,000.000	1.0000		- 1,310,000	1,310,000	
09/11/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	1,720,000.000	1.0000		- 1,720,000	1,720,000	
09/11/2020 19-520725	Issue: 96MSCAST5 - Platinum Equity Capital Partners III S	- 104,837.000	1.0000		104,837	104,837	
09/11/2020 19-520726	Issue: 96MSCATF4 - Pccp Credit IX LP S	- 69,600.000	1.0000		69,600	69,600	
09/14/2020 19-520725	Issue: 96MSCARZ2 - Oaktree Special Situations Fund II B	100,000.000	1.0000		- 100,000	100,000	
09/14/2020 19-520725	Issue: 96MSCASG3 - Blackstone Capital Partners VI LP S	- 2,221.300	1.0000		2,221	2,221	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
09/15/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 1,450,000.000	.0100		1,450,000	1,450,000	
09/15/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,100,000.000	.0100		1,100,000	1,100,000	
09/15/2020 19-520722	Issue: 97MSC91H1 - Swap Client Owned Cash Coll @ BofA S	- 1,220,000.000	1.0000		1,220,000	1,220,000	
09/15/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 1,700,000.000	1.0000		1,700,000	1,700,000	
09/16/2020 19-520722	Issue: 98MSCDNJ2 - Swaps Broker Owned Cash Coll @ Hsbc B	- 520,000.000	.0100		520,000	520,000	
09/16/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 410,000.000	.0100		410,000	410,000	
09/16/2020 19-520722	Issue: 97MSCW081 - Swap Brkr Owned Cash Coll @ Wells B	- 620,000.000	.0100		620,000	620,000	
09/16/2020 19-520722	Issue: 97MSC91H1 - Swap Client Owned Cash Coll @ BofA S	- 450,000.000	1.0000		450,000	450,000	
09/16/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 20,000.000	1.0000		20,000	20,000	
09/16/2020 19-520725	Issue: 96MSCARR0 - Roark Capital Partners V LP B	74,899.400	1.0000		- 74,899	74,899	
09/17/2020 19-520722	Issue: 97MSC91H1 - Swap Client Owned Cash Coll @ BofA B	280,000.000	1.0000		- 280,000	280,000	

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09/17/2020 19-520724	Issue: 99MSCH460 - Fut Client Coll @goldman Sachs S	- 334,000.000	1.0000		334,000	334,000	
09/17/2020 19-520725	Issue: 96MSCARG4 - Centre Lane Credit Partners II LP B	1,683,007.000	.9733		- 1,683,007	1,683,007	
09/18/2020 19-520722	Issue: 97MSC91H1 - Swap Client Owned Cash Coll @ BofA B	310,000.000	1.0000		- 310,000	310,000	
09/18/2020 19-520722	Issue: 97MSCG391 - Swap Client Cash Coll @ Hsbc B	1,140,000.000	1.0000		- 1,140,000	1,140,000	
09/18/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	720,000.000	1.0000		- 720,000	720,000	
09/18/2020 19-520725	Issue: 96MSCARA7 - Platinum Equity Capital Partners IV B	30,046.000	1.0000		- 30,046	30,046	
09/18/2020 19-520725	Issue: 96MSCAS32 - Secondary Investment Spv-6 LP S	- 11,136.000	1.0000		11,136	11,136	
09/18/2020 19-520726	Issue: 96MSCATG2 - Mesirow Fin Re Value Fund III LP B	261,013.720	1.0000		- 261,014	261,014	
09/21/2020 19-520722	Issue: 97MSC91H1 - Swap Client Owned Cash Coll @ BofA B	760,000.000	1.0000		- 760,000	760,000	
09/21/2020 19-520722	Issue: 97MSCG391 - Swap Client Cash Coll @ Hsbc B	900,000.000	1.0000		- 900,000	900,000	
09/21/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	1,060,000.000	1.0000		- 1,060,000	1,060,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
09/21/2020 19-520725	S	Issue: 96MSCAR90 - Hps Mezzanine Partners III LP - 37,918.690	1.0000		37,919	37,919	
09/22/2020 19-520722	B	Issue: 97MSC91H1 - Swap Client Owned Cash Coll @ BofA 950,000.000	1.0000		- 950,000	950,000	
09/22/2020 19-520722	B	Issue: 97MSCG391 - Swap Client Cash Coll @ Hsbc 1,110,000.000	1.0000		- 1,110,000	1,110,000	
09/22/2020 19-520722	B	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells 1,320,000.000	1.0000		- 1,320,000	1,320,000	
09/22/2020 19-520725	B	Issue: 96MSCC8A4 - Anacap Credit Opportunities IV LP 192,473.530	1.0000		- 192,474	192,474	
09/22/2020 19-520725	B	Issue: 96MSCAR58 - Gso Capital Opportunities Fund III 8,534.000	1.0000		- 8,534	8,534	
09/22/2020 19-520725	S	Issue: 96MSCAR58 - Gso Capital Opportunities Fund III - 12,445.000	1.0000		12,445	12,445	
09/23/2020 19-520722	B	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays - 690,000.000	.0100		690,000	690,000	
09/23/2020 19-520722	B	Issue: 98MSCFZF2 - Fut Client Cash Coll @ Citibank 7,000.000	1.0000		- 7,000	7,000	
09/23/2020 19-520722	B	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II 539,191.213	10.0150		- 5,400,000	5,400,000	
09/23/2020 19-520722	S	Issue: 97MSC91H1 - Swap Client Owned Cash Coll @ BofA - 390,000.000	1.0000		390,000	390,000	

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09/23/2020 19-520722	Issue: 97MSCG391 - Swap Client Cash Coll @ Hsbc S	- 900,000.000	1.0000		900,000	900,000	
09/23/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 1,060,000.000	1.0000		1,060,000	1,060,000	
09/24/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II S	- 539,191.213	10.0150		5,400,000	5,400,000	
09/24/2020 19-520722	Issue: 97MSC91H1 - Swap Client Owned Cash Coll @ BofA B	1,910,000.000	1.0000		- 1,910,000	1,910,000	
09/24/2020 19-520722	Issue: 97MSCG391 - Swap Client Cash Coll @ Hsbc B	2,260,000.000	1.0000		- 2,260,000	2,260,000	
09/24/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	2,670,000.000	1.0000		- 2,670,000	2,670,000	
09/25/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 160,000.000	.0100		160,000	160,000	
09/25/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 240,000.000	1.0000		240,000	240,000	
09/28/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 340,000.000	.0100		340,000	340,000	
09/28/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,160,000.000	.0100		1,160,000	1,160,000	
09/28/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	59,910.135	10.0150		- 600,000	600,000	

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09/28/2020 19-520722	Issue: 97MSCZDP2 - Broker Owned Cash Coll @ Stan. Chrt B	- 260,000.000	.0100		260,000	260,000	
09/28/2020 19-520722	Issue: 97MSC91H1 - Swap Client Owned Cash Coll @ BofA S	- 1,450,000.000	1.0000		1,450,000	1,450,000	
09/28/2020 19-520722	Issue: 97MSCG391 - Swap Client Cash Coll @ Hsbc S	- 1,730,000.000	1.0000		1,730,000	1,730,000	
09/28/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 1,800,000.000	1.0000		1,800,000	1,800,000	
09/28/2020 19-520725	Issue: 96MSCAR17 - H.I.G. Capital Partners V LP B	204,106.880	1.0000		- 204,107	204,107	
09/28/2020 19-520725	Issue: 96MSCAS08 - Hps Mezzanine Partners 2019 LP B	396,433.830	1.0000		- 396,434	396,434	
09/28/2020 19-520725	Issue: 96MSCAS65 - American Securities Partners VII LP B	976,620.000	1.0000		- 976,620	976,620	
09/28/2020 19-520725	Issue: 96MSCAS65 - American Securities Partners VII LP S	- 17,023.000	1.0000		17,023	17,023	
09/28/2020 19-520725	Issue: 96MSCAS81 - Blackstone Capital Partners VII LP B	438,191.420	1.0000		- 438,191	438,191	
09/28/2020 19-520725	Issue: 96MSCAT15 - Bcp Energy Services Fund LP B	226,156.000	1.0000		- 226,156	226,156	
09/28/2020 19-520725	Issue: 96MSCAT31 - Kelso Investment Associates IX LP B	237,527.000	1.0000		- 237,527	237,527	

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09/29/2020 19-520722	Issue: 99MSCR294 - Swap Brkr Ownd Cash Col@ Barclays B	- 1,190,000.000	.0100		1,190,000	1,190,000	
09/29/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	738,891.662	10.0150		- 7,400,000	7,400,000	
09/29/2020 19-520722	Issue: 97MSC91H1 - Swap Client Owned Cash Coll @ BofA S	- 1,310,000.000	1.0000		1,310,000	1,310,000	
09/29/2020 19-520722	Issue: 97MSCG391 - Swap Client Cash Coll @ Hsbc S	- 1,550,000.000	1.0000		1,550,000	1,550,000	
09/29/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells S	- 1,820,000.000	1.0000		1,820,000	1,820,000	
09/29/2020 19-520725	Issue: 96MSCAR90 - Hps Mezzanine Partners III LP B	536,816.080	1.0000		- 536,816	536,816	
09/29/2020 19-520725	Issue: 96MSCAS16 - Castletlake Income Opportunities LP B	822,624.000	1.0000		- 822,624	822,624	
09/30/2020 19-520721	Issue: 9SPMTJNS7 - Invesco Balanced Risk Com Fd S	- 4,207.197	9.0200		37,949	40,010	- 2,062
09/30/2020 19-520722	Issue: 97MSD0C46 - Fwd Broker Owned Cash Coll @ Bnp Par B	- 400,000.000	.0100		400,000	400,000	
09/30/2020 19-520722	Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II B	469,296.056	10.0150		- 4,700,000	4,700,000	
09/30/2020 19-520722	Issue: 97MSC91H1 - Swap Client Owned Cash Coll @ BofA B	350,000.000	1.0000		- 350,000	350,000	

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09/30/2020 19-520722	Issue: 97MSCG391 - Swap Client Cash Coll @ Hsbc B	430,000.000	1.0000		- 430,000	430,000	
09/30/2020 19-520722	Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells B	490,000.000	1.0000		- 490,000	490,000	
09/30/2020 19-520725	Issue: 96MSCAR74 - Kps Special Situations Fund III LP B	3,440.770	1.0000		- 3,441	3,441	
09/30/2020 19-520725	Issue: 96MSCAR74 - Kps Special Situations Fund III LP S	- 3,440.770	1.0000		3,441	3,441	
09/30/2020 19-520725	Issue: 96MSCAR82 - Thomas H Lee Equity Fund VII LP S	- 143,579.000	1.0000		143,579	143,579	
09/30/2020 19-520725	Issue: 96MSCARM1 - Apollo Hybrid Value Fund LP B	319,330.590	1.0000		- 319,331	319,331	
09/30/2020 19-520725	Issue: 96MSCARM1 - Apollo Hybrid Value Fund LP S	- 551,875.990	1.0000		551,876	551,876	
09/30/2020 19-520725	Issue: 96MSCAS16 - Castletlake Income Opportunities LP B	294.000	1.0000		- 294	294	
09/30/2020 19-520725	Issue: 96MSCASG3 - Blackstone Capital Partners VI LP S	- 81,737.170	1.0000		81,737	81,737	
09/30/2020 19-520725	Issue: 96MSCASK4 - Tpg Growth II LP B	2,321.000	1.0000		- 2,321	2,321	
09/30/2020 19-520725	Issue: 96MSCASK4 - Tpg Growth II LP S	- 87,571.000	1.0000		87,571	87,571	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
09/30/2020 19-520725	Issue: 96MSCASL2 - Blackstone Energy Partners LP S	- 622,746.790	1.0000		622,747	622,747	
Total For Direct From Issuer				0	1,430,919,997	1,378,238,846	52,681,151
Broker: Nomura Securities/Fix Income							
12/06/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	3,062,880.000	1.1349		- 3,476,130	3,476,130	
12/12/2019 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 B	2,853,552.000	1.3170		- 3,758,217	3,758,217	
12/18/2019 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 B	2,973,775.000	1.3159		- 3,913,302	3,913,302	
12/23/2019 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	2,936,125.000	1.3322		- 3,911,469	3,911,469	
12/31/2019 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 S	- 1,252,284.000	1.1114		1,391,796	1,408,266	- 16,470
01/08/2020 19-520722	Issue: 9128287D6 - U S Treasury I P S 0.250% 7/15/29 B	105,622.650	1.0135		- 107,050	107,050	
01/09/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 26,105,701.500	1.1250		29,368,914	29,697,185	- 328,271
01/09/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	26,102,637.900	1.1252		- 29,371,125	29,371,125	

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01/10/2020 19-520722	Issue: 01F022634 - F N M A Tba 30Yr B	2.500% 3/15/44 300,000.000	.9928		- 297,844	297,844	
01/10/2020 19-520722	Issue: 01F022626 - F N M A Tba 30Yr S	2.500% 2/15/44 - 300,000.000	.9935		298,043	298,758	- 715
01/10/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S B	1.000% 2/15/49 9,202,770.000	1.1359		- 10,453,772	10,453,772	
01/10/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S S	1.000% 2/15/49 - 9,201,870.000	1.1361		10,454,460	10,354,098	100,362
01/16/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S B	0.750% 2/15/42 2,277,160.000	1.0659		- 2,427,310	2,427,310	
01/23/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S S	1.000% 2/15/49 - 16,898,619.000	1.1569		19,549,590	19,448,819	100,771
01/23/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S B	1.000% 2/15/49 16,896,635.400	1.1572		- 19,552,108	19,552,108	
01/31/2020 19-520722	Issue: 01F022634 - F N M A Tba 30Yr S	2.500% 3/15/44 - 300,000.000	1.0138		304,148	300,996	3,152
01/31/2020 19-520722	Issue: 01F022626 - F N M A Tba 30Yr B	2.500% 2/15/44 300,000.000	1.0054		- 301,617	301,617	
02/06/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S S	1.000% 2/15/49 - 15,942,888.000	1.1709		18,668,125	18,972,337	- 304,212
02/06/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S B	1.000% 2/15/49 15,939,456.000	1.1713		- 18,670,577	18,670,577	

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02/12/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% B	2/15/42 3,641,152.000	1.0893		- 3,966,296	3,966,296	
02/13/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% S	2/15/49 - 5,139,301.900	1.1816		6,072,406	6,069,630	2,777
02/13/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% B	2/15/49 5,138,346.200	1.1819		- 6,073,033	6,073,033	
02/25/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% S	2/15/49 - 5,004,566.000	1.2250		6,130,593	6,136,851	- 6,258
03/05/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% S	2/15/48 - 5,735,070.000	1.2850		7,369,565	7,008,422	361,143
03/06/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% S	2/15/50 - 3,902,067.000	1.1306		4,411,775	4,137,037	274,738
03/09/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% S	2/15/48 - 7,302,820.000	1.3341		9,742,418	9,147,378	595,040
03/09/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% S	2/15/49 - 2,586,267.200	1.4600		3,775,950	3,302,105	473,845
03/09/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% B	2/15/49 2,586,596.100	1.4598		- 3,775,985	3,775,985	
03/18/2020 19-520722	Issue: 912810RR1 - U S Treasury I P S 1.000% S	2/15/46 - 8,695,840.000	1.0281		8,940,411	8,851,306	89,104
04/06/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% B	2/15/50 4,015,840.000	1.1080		- 4,449,425	4,449,425	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/08/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 B	3,514,490.000	1.0872		- 3,820,910	3,820,910	
04/14/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 B	2,009,380.000	1.1258		- 2,262,122	2,262,122	
04/15/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 S	- 3,516,730.000	1.1345		3,989,565	3,882,512	107,054
04/21/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 S	- 3,518,655.000	1.1191		3,937,595	3,884,228	53,367
05/12/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 S	- 4,523,895.000	1.0953		4,955,079	4,983,046	- 27,967
05/13/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 S	- 3,719,388.000	1.1002		4,092,053	4,112,149	- 20,096
05/27/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 B	3,414,450.000	1.0798		- 3,687,072	3,687,072	
06/11/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 S	- 1,001,510.000	1.1046		1,106,277	1,081,582	24,696
06/30/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 B	4,387,900.000	1.1267		- 4,943,929	4,943,929	
07/06/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 B	5,983,560.000	1.1394		- 6,817,519	6,817,519	
07/15/2020 19-520722	Issue: 912810RA8 - U S Treasury I P S 0.625% 2/15/43 B	2,564,914.000	1.2000		- 3,077,797	3,077,797	

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07/22/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 B	1,994,540.000	1.1789		- 2,351,376	2,351,376	
09/02/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 B	4,413,508.000	1.2056		- 5,321,036	5,321,036	
09/04/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 S	- 7,930,889.000	1.1944		9,472,456	9,274,371	198,085
09/08/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 512,845.000	1.4009		718,464	719,302	- 838
09/08/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 1,025,870.000	1.4075		1,443,912	1,438,856	5,056
09/08/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	513,450.000	1.3991		- 718,383	718,383	
09/08/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	1,027,080.000	1.4057		- 1,443,750	1,443,750	
09/08/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 S	- 7,028,560.000	1.1861		8,336,531	8,219,188	117,343
09/17/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 719,075.000	1.3931		1,001,761	1,008,109	- 6,348
09/17/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	719,922.000	1.3913		- 1,001,649	1,001,649	
09/18/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 8,753,618.400	1.3988		12,244,124	12,271,211	- 27,087

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09/18/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	8,762,479.200	1.3972		- 12,242,932	12,242,932	
09/21/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 2,981,026.000	1.3972		4,165,052	4,182,673	- 17,621
09/21/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	2,982,534.000	1.3964		- 4,164,849	4,164,849	
Total For Nomura Securities/Fix Income				0	348,299,647	346,548,999	1,750,650
Broker: Td Securities (USA)							
12/16/2019 19-520722	Issue: 654740BA6 - Nissan Motor S	2.95338% 7/13/22 - 100,000.000	.9987		99,869	100,000	- 131
12/31/2019 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 S	- 1,043,510.000	1.1122		1,160,579	1,173,976	- 13,397
12/31/2019 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 B	1,043,570.000	1.1122		- 1,160,622	1,160,622	
01/06/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	3,974,796.000	1.1878		- 4,721,138	4,721,138	
01/06/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	46,814,264.000	1.1905		- 55,733,668	55,733,668	
01/15/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 1,876,698.000	1.2206		2,290,745	2,252,469	38,275

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01/15/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	1,876,511.000	1.2209		- 2,290,962	2,290,962	
01/21/2020 19-520722	Issue: 912810RR1 - U S Treasury I P S 1.000% 2/15/46 S	- 1,628,595.000	1.1353		1,848,964	1,642,321	206,643
01/21/2020 19-520722	Issue: 912810RR1 - U S Treasury I P S 1.000% 2/15/46 B	1,628,565.000	1.1354		- 1,849,004	1,849,004	
01/28/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 S	- 2,086,200.000	1.1716		2,444,114	2,350,209	93,905
01/28/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 B	2,086,180.000	1.1716		- 2,444,167	2,444,167	
01/31/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 S	- 1,309,033.000	1.3781		1,804,011	1,651,607	152,404
01/31/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 B	1,308,890.000	1.3783		- 1,804,049	1,804,049	
02/03/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 2,207,100.000	1.2541		2,767,841	2,655,406	112,435
02/03/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	2,207,020.000	1.2541		- 2,767,888	2,767,888	
02/14/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 B	5,317,311.000	1.1085		- 5,894,307	5,894,307	
02/14/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 B	10,426,100.000	1.1290		- 11,771,065	11,771,065	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/21/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 S	- 10,423,800.000	1.2103		12,616,055	11,773,064	842,991
02/21/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 B	10,422,800.000	1.2105		- 12,616,953	12,616,953	
02/25/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 S	- 9,380,250.000	1.2259		11,499,600	10,897,712	601,889
02/25/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 B	9,379,890.000	1.2260		- 11,499,873	11,499,873	
02/26/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 S	- 8,337,680.000	1.2172		10,148,520	9,878,714	269,806
02/26/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 B	8,337,440.000	1.2173		- 10,148,756	10,148,756	
02/27/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 1,654,080.000	1.2891		2,132,213	1,995,426	136,787
02/27/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	1,654,020.000	1.2891		- 2,132,250	2,132,250	
02/28/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	5,106,400.000	1.2459		- 6,362,255	6,362,255	
02/28/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 5,106,700.000	1.2459		6,362,577	6,287,458	75,119
03/04/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 S	- 6,776,055.000	1.2728		8,624,648	8,160,367	464,281

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/04/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 B	6,776,900.000	1.2727		- 8,624,737	8,624,737	
03/10/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 8,830,880.000	1.3438		11,866,495	11,226,661	639,834
03/10/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	8,831,920.000	1.3436		- 11,866,560	11,866,560	
04/17/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 29,846,916.000	1.4719		43,930,929	41,613,592	2,317,337
04/17/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	29,860,578.000	1.4709		- 43,923,326	43,923,326	
05/26/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 2,548,354.000	1.3334		3,398,071	3,431,024	- 32,954
05/26/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	2,548,170.000	1.3335		- 3,397,990	3,397,990	
05/29/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% 2/15/42 S	- 1,142,540.000	1.1794		1,347,483	1,274,834	72,649
05/29/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% 2/15/42 B	1,142,300.000	1.1796		- 1,347,430	1,347,430	
06/01/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 1,882,767.000	1.3438		2,529,968	2,531,065	- 1,097
06/01/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	1,882,342.000	1.3440		- 2,529,909	2,529,909	

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06/04/2020 19-520722	Issue: 912810RA8 - U S Treasury I P S 0.625% 2/15/43 S	- 3,365,760.000	1.1281		3,796,998	3,326,068	470,930
06/04/2020 19-520722	Issue: 912810RA8 - U S Treasury I P S 0.625% 2/15/43 B	3,365,010.000	1.1284		- 3,796,959	3,796,959	
06/05/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 3,319,560.000	1.2903		4,283,270	4,462,481	- 179,211
06/05/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	3,317,340.000	1.2911		- 4,282,957	4,282,957	
06/08/2020 19-520722	Issue: 912810RA8 - U S Treasury I P S 0.625% 2/15/43 S	- 2,017,656.000	1.1213		2,262,297	2,044,769	217,528
06/08/2020 19-520722	Issue: 912810RA8 - U S Treasury I P S 0.625% 2/15/43 B	2,017,206.000	1.1215		- 2,262,272	2,262,272	
06/29/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% 2/15/42 S	- 1,702,755.000	1.2084		2,057,673	1,907,836	149,837
06/29/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% 2/15/42 B	1,701,990.000	1.2089		- 2,057,606	2,057,606	
07/08/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 2,107,404.000	1.5084		3,178,887	3,093,503	85,384
07/08/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	2,107,404.000	1.5081		- 3,178,250	3,178,250	
07/21/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 S	- 4,331,923.000	1.5350		6,649,502	6,418,168	231,334

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/21/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% B	4,331,923.000	1.5346		- 6,647,979	6,647,979	
08/27/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% S	- 884,136.000	1.4059		1,243,040	1,265,956	- 22,916
08/27/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% B	884,296.000	1.4057		- 1,243,012	1,243,012	
09/09/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% S	- 1,846,566.000	1.4056		2,595,579	2,589,737	5,842
09/09/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% B	1,848,114.000	1.4043		- 2,595,388	2,595,388	
09/10/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% S	- 2,667,704.000	1.3969		3,726,449	3,763,989	- 37,540
09/10/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% B	2,669,498.000	1.3959		- 3,726,228	3,726,228	
09/11/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% S	- 799,974.000	1.2616		1,009,217	935,078	74,139
09/11/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% B	800,779.000	1.2602		- 1,009,147	1,009,147	
09/11/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% S	- 6,383,026.200	1.4031		8,956,184	9,005,677	- 49,494
09/11/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% B	6,388,437.600	1.4018		- 8,955,523	8,955,523	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
09/24/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 12,464,935.200	1.3881		17,302,888	17,409,430	- 106,542
09/24/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 B	12,479,600.400	1.3863		- 17,300,970	17,300,970	
Total For Td Securities (USA)				0	445,877,866	439,061,797	6,816,067
Broker: Ubs Securities LLC							
12/03/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 1,632,944.000	1.1544		1,885,030	1,849,423	35,607
01/10/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 5,112,650.000	1.1267		5,760,519	5,807,651	- 47,132
01/16/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% 2/15/42 B	2,277,160.000	1.0659		- 2,427,310	2,427,310	
01/23/2020 19-520722	Issue: 9128287D6 - U S Treasury I P S 0.250% 7/15/29 B	1,307,371.000	1.0241		- 1,338,830	1,338,830	
01/23/2020 19-520722	Issue: 912828Z37 - U S Treasury I P S 0.125% 1/15/30 B	2,999,160.000	1.0088		- 3,025,685	3,025,685	
01/27/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 S	- 3,650,850.000	1.1769		4,296,594	4,112,866	183,728
03/03/2020 19-520722	Issue: 9128287D6 - U S Treasury I P S 0.250% 7/15/29 S	- 451,240.510	1.0692		482,473	460,344	22,128

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/03/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S B	2.125% 2/15/41 199,561.300	1.4550		- 290,362	290,362	
03/09/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S S	1.000% 2/15/49 - 2,555,925.000	1.3850		3,539,956	3,731,210	- 191,254
03/10/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S B	0.250% 2/15/50 8,506,630.000	1.0600		- 9,017,028	9,017,028	
03/11/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S S	0.250% 2/15/50 - 10,009,100.000	1.0175		10,184,259	10,126,500	57,759
03/12/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S S	1.000% 2/15/48 - 13,118,680.500	1.1538		15,135,678	16,424,127	- 1,288,449
03/13/2020 19-520722	Issue: 912810RL4 - U S Treasury I P S S	0.750% 2/15/45 - 5,466,600.000	1.0950		5,985,927	5,350,894	635,033
04/14/2020 19-520723	Issue: 30251GAY3 - Fmg Resources Aug B	5.125% 3/15/23 2,945,000.000	1.0325		- 3,040,713	3,040,713	
04/14/2020 19-520723	Issue: 35671DAZ8 - Freeport McMoran Inc B	3.875% 3/15/23 2,427,000.000	1.0000		- 2,427,000	2,427,000	
05/26/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S B	0.250% 2/15/50 2,611,232.000	1.0797		- 2,819,315	2,819,315	
06/03/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S B	0.750% 2/15/42 2,625,542.000	1.1588		- 3,042,347	3,042,347	
06/03/2020 19-520722	Issue: 912810RL4 - U S Treasury I P S B	0.750% 2/15/45 2,300,298.000	1.1808		- 2,716,239	2,716,239	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
06/16/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 B	4,001,560.000	1.0807		- 4,324,655	4,324,655	
06/24/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 S	- 4,993,000.000	1.1211		5,597,621	5,417,543	180,078
07/14/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 S	- 3,789,588.000	1.1556		4,379,343	4,228,142	151,201
08/06/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% 2/15/42 B	6,928,929.000	1.2847		- 8,901,508	8,901,508	
08/06/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% 2/15/42 B	2,657,982.600	1.2828		- 3,409,705	3,409,705	
08/06/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 S	- 2,612,500.000	1.5631		4,083,664	3,484,407	599,258
08/07/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% 2/15/42 B	2,909,414.400	1.2742		- 3,707,237	3,707,237	
08/07/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 S	- 2,851,512.000	1.5547		4,433,321	3,803,187	630,134
08/20/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% 2/15/42 B	2,812,564.300	1.2505		- 3,517,024	3,517,024	
08/20/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 S	- 2,166,582.600	1.5299		3,314,702	2,887,701	427,001
08/31/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 B	4,732,885.600	1.1938		- 5,650,252	5,650,252	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/31/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 B	290,791.700	1.1778		- 342,487	342,487	
09/22/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 S	- 4,126,445.000	1.1762		4,853,409	4,822,468	30,941
09/30/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 B	6,349,140.000	1.1818		- 7,503,146	7,503,146	
Total For Ubs Securities LLC				0	141,433,339	140,007,306	1,426,033
Broker: Wells Fargo Securities, LLC							
12/03/2019 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 2,653,534.000	1.1365		3,015,700	3,005,312	10,388
01/07/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	1,410,000.000	1.3384		- 1,887,197	1,887,197	
01/09/2020 19-520722	Issue: 912810RA8 - U S Treasury I P S 0.625% 2/15/43 S	- 6,714,900.000	1.0259		6,888,805	6,551,068	337,737
01/09/2020 19-520722	Issue: 912828PP9 - U S Treasury I P S 1.125% 1/15/21 S	- 5,763,576.000	1.0098		5,819,861	5,787,578	32,283
01/10/2020 19-520722	Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49 S	- 4,090,120.000	1.1264		4,607,137	4,646,121	- 38,984
01/13/2020 19-520723	Issue: 404121AG0 - Hca Inc 5.875% 5/01/23 B	1,600,000.000	1.1075		- 1,772,000	1,772,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/12/2020 19-520723	Issue: 55336VAE0 - Mplx LP B	4.500% 7/15/23 3,260,000.000	1.0717		- 3,493,742	3,493,742	
03/09/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S S	0.250% 2/15/50 - 5,003,300.000	1.1403		5,705,326	5,304,582	400,743
03/23/2020 19-520722	Issue: 912828Y38 - U S Treasury I P S S	0.750% 7/15/28 - 1,632,421.200	1.0536		1,719,909	1,734,263	- 14,354
03/23/2020 19-520722	Issue: 912828V49 - U S Treasury I P S S	0.375% 1/15/27 - 4,662,265.600	1.0100		4,708,888	4,770,203	- 61,315
03/23/2020 19-520722	Issue: 912828N71 - U S Treasury I P S S	0.625% 1/15/26 - 2,147,508.000	1.0213		2,193,310	2,197,992	- 4,682
03/23/2020 19-520722	Issue: 912810RR1 - U S Treasury I P S S	1.000% 2/15/46 - 761,355.000	1.2625		961,211	774,967	186,244
03/23/2020 19-520722	Issue: 912810PZ5 - U S Treasury I P S S	2.500% 1/15/29 - 1,723,688.240	1.2091		2,084,114	2,065,171	18,943
03/24/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S B	2.125% 2/15/41 8,827,275.000	1.4400		- 12,711,276	12,711,276	
03/25/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S B	0.750% 2/15/42 4,106,916.000	1.1527		- 4,733,862	4,733,862	
03/26/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S B	0.750% 2/15/42 5,134,275.000	1.1588		- 5,949,341	5,949,341	
03/26/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S B	0.750% 2/15/42 8,557,125.000	1.1580		- 9,908,883	9,908,883	

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03/30/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% 2/15/42 B	4,566,080.000	1.1369		- 5,191,062	5,191,062	
04/08/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	3,536,580.000	1.4516		- 5,133,567	5,133,567	
04/14/2020 19-520723	Issue: 11120VAB9 - Brixmor Operating 3.875% 8/15/22 B	1,685,000.000	1.0114		- 1,704,260	1,704,260	
04/15/2020 19-520723	Issue: 11135FAC5 - Broadcom Inc 3.125% 10/15/22 B	450,000.000	1.0174		- 457,839	457,839	
04/23/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 B	3,993,192.000	1.3688		- 5,465,682	5,465,682	
04/27/2020 19-520722	Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48 B	3,146,130.000	1.3494		- 4,245,309	4,245,309	
04/29/2020 19-520722	Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41 B	5,905,600.000	1.4920		- 8,811,340	8,811,340	
05/01/2020 19-520722	Issue: 9128282L3 - U S Treasury I P S 0.375% 7/15/27 S	- 6,375,217.500	1.0567		6,736,812	6,459,565	277,247
05/01/2020 19-520722	Issue: 912810RR1 - U S Treasury I P S 1.000% 2/15/46 S	- 3,274,470.000	1.2883		4,218,438	3,332,677	885,762
05/01/2020 19-520722	Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44 S	- 1,664,535.000	1.3475		2,242,961	2,132,817	110,144
05/19/2020 19-520722	Issue: 04002RAA8 - Areit B	2.67863% 4/15/37 500,000.000	.9988		- 499,375	499,375	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/19/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 B	2,411,544.000	1.0986		- 2,649,213	2,649,213	
06/03/2020 19-520722	Issue: 912810RL4 - U S Treasury I P S 0.750% 2/15/45 B	2,190,760.000	1.1814		- 2,588,178	2,588,178	
06/05/2020 19-520722	Issue: 912810RW0 - U S Treasury I P S 0.875% 2/15/47 B	1,900,345.800	1.1892		- 2,259,853	2,259,853	
06/05/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 S	- 1,844,416.000	1.0310		1,901,550	1,991,443	- 89,894
06/11/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 S	- 5,007,550.000	1.1025		5,520,824	5,407,908	112,916
06/15/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 B	5,003,050.000	1.0907		- 5,457,038	5,457,038	
07/14/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% 2/15/42 S	- 2,269,340.000	1.2238		2,777,193	2,549,889	227,305
07/15/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 B	2,493,150.000	1.1511		- 2,869,849	2,869,849	
07/24/2020 19-520722	Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50 S	- 3,989,080.000	1.1964		4,772,560	4,508,377	264,183
08/19/2020 19-520722	Issue: 912810RA8 - U S Treasury I P S 0.625% 2/15/43 B	4,475,720.000	1.2226		- 5,472,092	5,472,092	
08/20/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 S	- 583,310.700	1.5294		892,101	777,458	114,643

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08/24/2020 19-520722	Issue: 912810QV3 - U S Treasury I P S 0.750% 2/15/42 B	2,825,935.200	1.2646		- 3,573,687	3,573,687	
08/24/2020 19-520722	Issue: 912810QF8 - U S Treasury I P S 2.125% 2/15/40 S	- 2,763,746.400	1.5450		4,269,988	3,683,623	586,365
08/26/2020 19-520722	Issue: 912810RW0 - U S Treasury I P S 0.875% 2/15/47 B	5,655,206.000	1.3354		- 7,551,688	7,551,688	
Total For Wells Fargo Securities, LLC				0	175,423,021	172,067,347	3,355,674
GRAND TOTAL				0	5,799,196,804	5,703,592,903	95,603,892

CATEGORY 3 - SERIES OF TRANSACTIONS IN SAME SECURITY EXCEEDS 5% OF VALUE

Issue: 31846V567 - First Am Govt Ob Fd Cl Z							
11/27/2019 19-520725	B	56,927.050	1.0000		- 56,927	56,927	
12/03/2019 19-520721	B	30,882,719.500	1.0000		- 30,882,720	30,882,720	
12/03/2019 19-520722	B	1,690,000.000	1.0000		- 1,690,000	1,690,000	
12/03/2019 19-520723	B	15,588,077.420	1.0000		- 15,588,077	15,588,077	
12/03/2019 19-520724	B	13,395,517.010	1.0000		- 13,395,517	13,395,517	
12/03/2019 19-520725	B	14,761,276.010	1.0000		- 14,761,276	14,761,276	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
12/03/2019 19-520726	B	11,116,564.650	1.0000		- 11,116,565	11,116,565	
12/04/2019 19-520722	B	5,194,780.940	1.0000		- 5,194,781	5,194,781	
12/04/2019 19-520725	B	157,872.670	1.0000		- 157,873	157,873	
12/06/2019 19-520725	B	359,370.000	1.0000		- 359,370	359,370	
12/09/2019 19-520720	B	554.890	1.0000		- 555	555	
12/09/2019 19-520723	B	19,190.630	1.0000		- 19,191	19,191	
12/09/2019 19-520724	B	575,040.590	1.0000		- 575,041	575,041	
12/09/2019 19-520725	B	298,946.000	1.0000		- 298,946	298,946	
12/09/2019 19-520727	B	49,824.690	1.0000		- 49,825	49,825	
12/09/2019 19-520727	B	45.610	1.0000		- 46	46	
12/10/2019 19-520723	B	100,955.000	1.0000		- 100,955	100,955	
12/10/2019 19-520724	B	334,630.000	1.0000		- 334,630	334,630	
12/10/2019 19-520725	B	762,710.340	1.0000		- 762,710	762,710	
12/11/2019 19-520724	B	63,090.000	1.0000		- 63,090	63,090	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
12/11/2019 19-520725	B	3,408.510	1.0000		- 3,409	3,409	
12/12/2019 19-520724	B	342,590.000	1.0000		- 342,590	342,590	
12/12/2019 19-520725	B	11,845.000	1.0000		- 11,845	11,845	
12/13/2019 19-520723	B	2,987.500	1.0000		- 2,988	2,988	
12/13/2019 19-520724	B	628,019.560	1.0000		- 628,020	628,020	
12/16/2019 19-520724	B	190,900.240	1.0000		- 190,900	190,900	
12/17/2019 19-520722	B	30,177.960	1.0000		- 30,178	30,178	
12/17/2019 19-520722	B	321,707.810	1.0000		- 321,708	321,708	
12/17/2019 19-520724	B	620,318.320	1.0000		- 620,318	620,318	
12/18/2019 19-520723	B	106,126.250	1.0000		- 106,126	106,126	
12/18/2019 19-520724	B	464,136.220	1.0000		- 464,136	464,136	
12/19/2019 19-520723	B	2,901,983.250	1.0000		- 2,901,983	2,901,983	
12/19/2019 19-520724	B	84,575.000	1.0000		- 84,575	84,575	
12/20/2019 19-520721	B	8,715,616.000	1.0000		- 8,715,616	8,715,616	

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12/20/2019 19-520725	B	1,218,952.870	1.0000		- 1,218,953	1,218,953	
12/20/2019 19-520726	B	12,000.000	1.0000		- 12,000	12,000	
12/23/2019 19-520724	B	194,142.540	1.0000		- 194,143	194,143	
12/23/2019 19-520725	B	1,552,173.010	1.0000		- 1,552,173	1,552,173	
12/23/2019 19-520726	B	144,113.920	1.0000		- 144,114	144,114	
12/24/2019 19-520724	B	99,860.000	1.0000		- 99,860	99,860	
12/24/2019 19-520725	B	61,085.360	1.0000		- 61,085	61,085	
12/24/2019 19-520726	B	10,000,000.000	1.0000		- 10,000,000	10,000,000	
12/26/2019 19-520720	B	19,999,445.110	1.0000		- 19,999,445	19,999,445	
12/26/2019 19-520722	B	283,110.130	1.0000		- 283,110	283,110	
12/27/2019 19-520720	B	20,000,000.000	1.0000		- 20,000,000	20,000,000	
12/27/2019 19-520722	B	30,428.810	1.0000		- 30,429	30,429	
12/27/2019 19-520724	B	372,370.000	1.0000		- 372,370	372,370	
12/27/2019 19-520725	B	296,434.040	1.0000		- 296,434	296,434	

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12/30/2019 19-520722	B	1,473,213.080	1.0000		- 1,473,213	1,473,213	
12/30/2019 19-520724	B	1,013,464.990	1.0000		- 1,013,465	1,013,465	
12/30/2019 19-520725	B	340,400.000	1.0000		- 340,400	340,400	
12/30/2019 19-520725	B	2,735,219.390	1.0000		- 2,735,219	2,735,219	
12/31/2019 19-520722	B	219,494.950	1.0000		- 219,495	219,495	
12/31/2019 19-520725	B	634,921.260	1.0000		- 634,921	634,921	
01/02/2020 19-520722	B	320,292.950	1.0000		- 320,293	320,293	
01/02/2020 19-520722	B	708,958.250	1.0000		- 708,958	708,958	
01/02/2020 19-520723	B	235,312.500	1.0000		- 235,313	235,313	
01/02/2020 19-520724	B	44,085.640	1.0000		- 44,086	44,086	
01/03/2020 19-520720	B	8,870.770	1.0000		- 8,871	8,871	
01/03/2020 19-520720	B	10,000,000.000	1.0000		- 10,000,000	10,000,000	
01/03/2020 19-520721	B	37,092.830	1.0000		- 37,093	37,093	
01/03/2020 19-520722	B	1,544,497.630	1.0000		- 1,544,498	1,544,498	

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01/03/2020 19-520723	B	15,155.810	1.0000		- 15,156	15,156	
01/03/2020 19-520724	B	15,994.340	1.0000		- 15,994	15,994	
01/03/2020 19-520724	B	579,055.000	1.0000		- 579,055	579,055	
01/03/2020 19-520726	B	15,980.970	1.0000		- 15,981	15,981	
01/03/2020 19-520727	B	46.710	1.0000		- 47	47	
01/06/2020 19-520720	B	25,000,000.000	1.0000		- 25,000,000	25,000,000	
01/06/2020 19-520721	B	44,931.080	1.0000		- 44,931	44,931	
01/06/2020 19-520722	B	1,060,388.550	1.0000		- 1,060,389	1,060,389	
01/06/2020 19-520723	B	70,160.000	1.0000		- 70,160	70,160	
01/07/2020 19-520720	B	20,000,000.000	1.0000		- 20,000,000	20,000,000	
01/07/2020 19-520722	B	1,053,866.690	1.0000		- 1,053,867	1,053,867	
01/07/2020 19-520724	B	124,971.490	1.0000		- 124,971	124,971	
01/08/2020 19-520723	B	3,345,000.000	1.0000		- 3,345,000	3,345,000	
01/08/2020 19-520723	B	91,987.500	1.0000		- 91,988	91,988	

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01/09/2020 19-520722	B	756,350.650	1.0000		- 756,351	756,351	
01/09/2020 19-520724	B	324,602.400	1.0000		- 324,602	324,602	
01/09/2020 19-520725	B	14,681.210	1.0000		- 14,681	14,681	
01/10/2020 19-520720	B	40,000,000.000	1.0000		- 40,000,000	40,000,000	
01/10/2020 19-520722	B	30,395,472.800	1.0000		- 30,395,473	30,395,473	
01/10/2020 19-520723	B	8,833,324.560	1.0000		- 8,833,325	8,833,325	
01/10/2020 19-520724	B	235,105.000	1.0000		- 235,105	235,105	
01/13/2020 19-520722	B	501,073.170	1.0000		- 501,073	501,073	
01/13/2020 19-520723	B	1,234.040	1.0000		- 1,234	1,234	
01/13/2020 19-520725	B	5,473.000	1.0000		- 5,473	5,473	
01/14/2020 19-520724	B	474,478.250	1.0000		- 474,478	474,478	
01/15/2020 19-520723	B	5,226,682.140	1.0000		- 5,226,682	5,226,682	
01/16/2020 19-520722	B	717,491.470	1.0000		- 717,491	717,491	
01/17/2020 19-520724	B	379,861.780	1.0000		- 379,862	379,862	

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01/17/2020 19-520725	B	48,503.000	1.0000		- 48,503	48,503	
01/21/2020 19-520721	B	27.400	1.0000		- 27	27	
01/21/2020 19-520724	B	145,970.000	1.0000		- 145,970	145,970	
01/22/2020 19-520725	B	101,579.000	1.0000		- 101,579	101,579	
01/23/2020 19-520721	B	8,193,409.000	1.0000		- 8,193,409	8,193,409	
01/23/2020 19-520725	B	1,667,569.670	1.0000		- 1,667,570	1,667,570	
01/24/2020 19-520723	B	122,512.500	1.0000		- 122,513	122,513	
01/24/2020 19-520725	B	534,871.630	1.0000		- 534,872	534,872	
01/27/2020 19-520722	B	141,792.990	1.0000		- 141,793	141,793	
01/27/2020 19-520722	B	805,708.060	1.0000		- 805,708	805,708	
01/27/2020 19-520723	B	28,481.250	1.0000		- 28,481	28,481	
01/28/2020 19-520722	B	1,349,373.360	1.0000		- 1,349,373	1,349,373	
01/28/2020 19-520724	B	208,000.000	1.0000		- 208,000	208,000	
01/28/2020 19-520726	B	15,571.460	1.0000		- 15,571	15,571	

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01/29/2020 19-520722	B	608,085.960	1.0000		- 608,086	608,086	
01/29/2020 19-520724	B	601,950.000	1.0000		- 601,950	601,950	
01/29/2020 19-520725	B	13,920.000	1.0000		- 13,920	13,920	
01/30/2020 19-520722	B	800,534.370	1.0000		- 800,534	800,534	
01/30/2020 19-520723	B	6,684,946.650	1.0000		- 6,684,947	6,684,947	
01/31/2020 19-520725	B	95,818.000	1.0000		- 95,818	95,818	
02/03/2020 19-520720	B	30,000,000.000	1.0000		- 30,000,000	30,000,000	
02/03/2020 19-520721	B	5.600	1.0000		- 6	6	
02/03/2020 19-520722	B	3,445,230.950	1.0000		- 3,445,231	3,445,231	
02/03/2020 19-520723	B	2,429,625.630	1.0000		- 2,429,626	2,429,626	
02/04/2020 19-520720	B	142,078.680	1.0000		- 142,079	142,079	
02/04/2020 19-520721	B	32,078.890	1.0000		- 32,079	32,079	
02/04/2020 19-520722	B	2,460,000.000	1.0000		- 2,460,000	2,460,000	
02/04/2020 19-520724	B	14,601.150	1.0000		- 14,601	14,601	

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02/04/2020 19-520724	B	332,340.000	1.0000		- 332,340	332,340	
02/04/2020 19-520725	B	11,787.490	1.0000		- 11,787	11,787	
02/04/2020 19-520726	B	13,694.310	1.0000		- 13,694	13,694	
02/04/2020 19-520727	B	61.850	1.0000		- 62	62	
02/05/2020 19-520723	B	21,993.750	1.0000		- 21,994	21,994	
02/05/2020 19-520724	B	1,027,510.000	1.0000		- 1,027,510	1,027,510	
02/06/2020 19-520724	B	594,376.080	1.0000		- 594,376	594,376	
02/07/2020 19-520722	B	811,395.390	1.0000		- 811,395	811,395	
02/07/2020 19-520722	B	568,175.920	1.0000		- 568,176	568,176	
02/07/2020 19-520724	B	135,040.000	1.0000		- 135,040	135,040	
02/07/2020 19-520725	B	1,232,863.700	1.0000		- 1,232,864	1,232,864	
02/07/2020 19-520726	B	232,800.000	1.0000		- 232,800	232,800	
02/10/2020 19-520722	B	6,400.000	1.0000		- 6,400	6,400	
02/10/2020 19-520723	B	2.590	1.0000		- 3	3	

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02/10/2020 19-520725	B	1,009,420.000	1.0000		- 1,009,420	1,009,420	
02/11/2020 19-520722	B	2,252,150.780	1.0000		- 2,252,151	2,252,151	
02/11/2020 19-520724	B	327,730.000	1.0000		- 327,730	327,730	
02/12/2020 19-520722	B	3,175.780	1.0000		- 3,176	3,176	
02/12/2020 19-520724	B	310,124.430	1.0000		- 310,124	310,124	
02/13/2020 19-520722	B	903,471.880	1.0000		- 903,472	903,472	
02/13/2020 19-520724	B	405,530.000	1.0000		- 405,530	405,530	
02/14/2020 19-520722	B	1,100,043.220	1.0000		- 1,100,043	1,100,043	
02/14/2020 19-520723	B	5,084.630	1.0000		- 5,085	5,085	
02/14/2020 19-520725	B	13,996.120	1.0000		- 13,996	13,996	
02/14/2020 19-520725	B	3,583.000	1.0000		- 3,583	3,583	
02/18/2020 19-520722	B	1,033,603.600	1.0000		- 1,033,604	1,033,604	
02/18/2020 19-520723	B	325,011.250	1.0000		- 325,011	325,011	
02/19/2020 19-520722	B	344,526.310	1.0000		- 344,526	344,526	

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02/19/2020 19-520725	B	554,487.000	1.0000		- 554,487	554,487	
02/19/2020 19-520727	B	138.700	1.0000		- 139	139	
02/20/2020 19-520721	B	8,193,409.000	1.0000		- 8,193,409	8,193,409	
02/21/2020 19-520722	B	1,397,191.070	1.0000		- 1,397,191	1,397,191	
02/21/2020 19-520723	B	931,971.500	1.0000		- 931,972	931,972	
02/24/2020 19-520722	B	558,686.710	1.0000		- 558,687	558,687	
02/24/2020 19-520723	B	198,501.500	1.0000		- 198,502	198,502	
02/25/2020 19-520722	B	910,042.710	1.0000		- 910,043	910,043	
02/25/2020 19-520723	B	.580	1.0000		- 1	1	
02/25/2020 19-520724	B	350,000.000	1.0000		- 350,000	350,000	
02/25/2020 19-520725	B	2,781,179.120	1.0000		- 2,781,179	2,781,179	
02/26/2020 19-520722	B	287,401.650	1.0000		- 287,402	287,402	
02/26/2020 19-520725	B	311,138.520	1.0000		- 311,139	311,139	
02/27/2020 19-520722	B	896,813.480	1.0000		- 896,813	896,813	

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02/27/2020 19-520726	B	170,294.000	1.0000		- 170,294	170,294	
02/28/2020 19-520722	B	10,505,060.460	1.0000		- 10,505,060	10,505,060	
02/28/2020 19-520725	B	528,003.540	1.0000		- 528,004	528,004	
03/02/2020 19-520722	B	1,213,664.490	1.0000		- 1,213,664	1,213,664	
03/02/2020 19-520723	B	3,383,815.630	1.0000		- 3,383,816	3,383,816	
03/02/2020 19-520727	B	18,606.780	1.0000		- 18,607	18,607	
03/03/2020 19-520720	B	188,903.940	1.0000		- 188,904	188,904	
03/03/2020 19-520721	B	32,064.280	1.0000		- 32,064	32,064	
03/03/2020 19-520722	B	2,733,983.170	1.0000		- 2,733,983	2,733,983	
03/03/2020 19-520723	B	11,571.300	1.0000		- 11,571	11,571	
03/03/2020 19-520724	B	12,504.910	1.0000		- 12,505	12,505	
03/03/2020 19-520724	B	1,162,135.000	1.0000		- 1,162,135	1,162,135	
03/03/2020 19-520725	B	1,275,028.650	1.0000		- 1,275,029	1,275,029	
03/03/2020 19-520726	B	35,539.540	1.0000		- 35,540	35,540	

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03/03/2020 19-520727	B	57.950	1.0000		- 58	58	
03/04/2020 19-520722	B	659,528.780	1.0000		- 659,529	659,529	
03/05/2020 19-520722	B	609,541.150	1.0000		- 609,541	609,541	
03/05/2020 19-520724	B	1,554,538.650	1.0000		- 1,554,539	1,554,539	
03/05/2020 19-520725	B	1,342,615.000	1.0000		- 1,342,615	1,342,615	
03/06/2020 19-520722	B	10,544,835.430	1.0000		- 10,544,835	10,544,835	
03/06/2020 19-520726	B	40,139.030	1.0000		- 40,139	40,139	
03/09/2020 19-520721	B	4,694.020	1.0000		- 4,694	4,694	
03/09/2020 19-520722	B	1,056,238.090	1.0000		- 1,056,238	1,056,238	
03/09/2020 19-520723	B	5,964,768.510	1.0000		- 5,964,769	5,964,769	
03/10/2020 19-520722	B	7,858,905.380	1.0000		- 7,858,905	7,858,905	
03/10/2020 19-520724	B	2,119,460.000	1.0000		- 2,119,460	2,119,460	
03/11/2020 19-520722	B	385,515.190	1.0000		- 385,515	385,515	
03/11/2020 19-520724	B	2,062,325.590	1.0000		- 2,062,326	2,062,326	

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03/12/2020 19-520722	B	1,057,707.940	1.0000		- 1,057,708	1,057,708	
03/12/2020 19-520725	B	1,223,210.580	1.0000		- 1,223,211	1,223,211	
03/13/2020 19-520722	B	25,934,473.170	1.0000		- 25,934,473	25,934,473	
03/13/2020 19-520724	B	182,430.000	1.0000		- 182,430	182,430	
03/13/2020 19-520725	B	98,836.000	1.0000		- 98,836	98,836	
03/16/2020 19-520723	B	4,365,858.760	1.0000		- 4,365,859	4,365,859	
03/16/2020 19-520723	B	53,750.000	1.0000		- 53,750	53,750	
03/16/2020 19-520724	B	3,524,742.360	1.0000		- 3,524,742	3,524,742	
03/16/2020 19-520725	B	204,992.000	1.0000		- 204,992	204,992	
03/17/2020 19-520722	B	17,899,930.000	1.0000		- 17,899,930	17,899,930	
03/17/2020 19-520725	B	1,091,049.000	1.0000		- 1,091,049	1,091,049	
03/18/2020 19-520722	B	27,795.030	1.0000		- 27,795	27,795	
03/18/2020 19-520725	B	67,654.000	1.0000		- 67,654	67,654	
03/19/2020 19-520722	B	16,021,379.400	1.0000		- 16,021,379	16,021,379	

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03/19/2020 19-520724	B	1,976,324.790	1.0000		- 1,976,325	1,976,325	
03/19/2020 19-520725	B	177,778.920	1.0000		- 177,779	177,779	
03/19/2020 19-520727	B	2.140	1.0000		- 2	2	
03/20/2020 19-520722	B	6,582,038.330	1.0000		- 6,582,038	6,582,038	
03/23/2020 19-520722	B	8,465,699.170	1.0000		- 8,465,699	8,465,699	
03/23/2020 19-520723	B	61,380.000	1.0000		- 61,380	61,380	
03/23/2020 19-520725	B	148,199.270	1.0000		- 148,199	148,199	
03/25/2020 19-520722	B	839,369.910	1.0000		- 839,370	839,370	
03/25/2020 19-520723	B	302,729.380	1.0000		- 302,729	302,729	
03/25/2020 19-520724	B	3,864,185.000	1.0000		- 3,864,185	3,864,185	
03/26/2020 19-520722	B	7,052,752.780	1.0000		- 7,052,753	7,052,753	
03/26/2020 19-520723	B	3,963,762.500	1.0000		- 3,963,763	3,963,763	
03/26/2020 19-520724	B	1,070,725.000	1.0000		- 1,070,725	1,070,725	
03/27/2020 19-520724	B	2,437,514.060	1.0000		- 2,437,514	2,437,514	

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03/27/2020 19-520725	B	125,925.970	1.0000		- 125,926	125,926	
03/30/2020 19-520722	B	2,477,843.690	1.0000		- 2,477,844	2,477,844	
03/30/2020 19-520723	B	995,582.500	1.0000		- 995,583	995,583	
03/30/2020 19-520723	B	2,863,014.000	1.0000		- 2,863,014	2,863,014	
03/30/2020 19-520726	B	15,571.460	1.0000		- 15,571	15,571	
03/31/2020 19-520724	B	494,000.000	1.0000		- 494,000	494,000	
03/31/2020 19-520724	B	1,202,620.000	1.0000		- 1,202,620	1,202,620	
04/01/2020 19-520723	B	4,495,884.380	1.0000		- 4,495,884	4,495,884	
04/01/2020 19-520725	B	9,605,169.830	1.0000		- 9,605,170	9,605,170	
04/02/2020 19-520720	B	119,888.790	1.0000		- 119,889	119,889	
04/02/2020 19-520721	B	15,285.700	1.0000		- 15,286	15,286	
04/02/2020 19-520722	B	5,177,607.030	1.0000		- 5,177,607	5,177,607	
04/02/2020 19-520723	B	7,550.850	1.0000		- 7,551	7,551	
04/02/2020 19-520724	B	3,938.510	1.0000		- 3,939	3,939	

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04/02/2020 19-520727	B	49.140	1.0000		- 49	49	
04/03/2020 19-520723	B	6,593,687.830	1.0000		- 6,593,688	6,593,688	
04/03/2020 19-520724	B	400,000.000	1.0000		- 400,000	400,000	
04/03/2020 19-520724	B	955,548.490	1.0000		- 955,548	955,548	
04/06/2020 19-520722	B	4,808,417.460	1.0000		- 4,808,417	4,808,417	
04/06/2020 19-520723	B	61,425.000	1.0000		- 61,425	61,425	
04/06/2020 19-520728	B	45.610	1.0000		- 46	46	
04/07/2020 19-520724	B	2,911,387.810	1.0000		- 2,911,388	2,911,388	
04/08/2020 19-520722	B	416,454.110	1.0000		- 416,454	416,454	
04/08/2020 19-520722	B	9,297.660	1.0000		- 9,298	9,298	
04/08/2020 19-520723	B	22,882.640	1.0000		- 22,883	22,883	
04/08/2020 19-520724	B	69,563.810	1.0000		- 69,564	69,564	
04/09/2020 19-520723	B	15,093.750	1.0000		- 15,094	15,094	
04/09/2020 19-520724	B	300,000.000	1.0000		- 300,000	300,000	

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04/09/2020 19-520725	B	7,876.210	1.0000		- 7,876	7,876	
04/09/2020 19-520725	B	694,302.740	1.0000		- 694,303	694,303	
04/13/2020 19-520722	B	698,684.780	1.0000		- 698,685	698,685	
04/13/2020 19-520722	B	4,194,561.130	1.0000		- 4,194,561	4,194,561	
04/13/2020 19-520724	B	812,570.000	1.0000		- 812,570	812,570	
04/13/2020 19-520724	B	682,465.000	1.0000		- 682,465	682,465	
04/13/2020 19-520725	B	1,664,648.820	1.0000		- 1,664,649	1,664,649	
04/14/2020 19-520723	B	104,470.500	1.0000		- 104,471	104,471	
04/14/2020 19-520725	B	2,079,501.030	1.0000		- 2,079,501	2,079,501	
04/15/2020 19-520723	B	1,563,221.880	1.0000		- 1,563,222	1,563,222	
04/15/2020 19-520724	B	568,670.140	1.0000		- 568,670	568,670	
04/16/2020 19-520721	B	30,000,000.000	1.0000		- 30,000,000	30,000,000	
04/16/2020 19-520722	B	169,895.170	1.0000		- 169,895	169,895	
04/17/2020 19-520722	B	16,311,567.330	1.0000		- 16,311,567	16,311,567	

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04/17/2020 19-520724	B	90,499.740	1.0000		- 90,500	90,500	
04/20/2020 19-520722	B	586,013.020	1.0000		- 586,013	586,013	
04/20/2020 19-520723	B	35,017.500	1.0000		- 35,018	35,018	
04/21/2020 19-520722	B	5,321,912.820	1.0000		- 5,321,913	5,321,913	
04/22/2020 19-520722	B	10,794,414.220	1.0000		- 10,794,414	10,794,414	
04/23/2020 19-520724	B	871,950.000	1.0000		- 871,950	871,950	
04/27/2020 19-520724	B	569,385.000	1.0000		- 569,385	569,385	
04/28/2020 19-520722	B	545,546.720	1.0000		- 545,547	545,547	
04/28/2020 19-520724	B	851,910.000	1.0000		- 851,910	851,910	
04/29/2020 19-520721	B	499,380.540	1.0000		- 499,381	499,381	
04/29/2020 19-520722	B	754,017.890	1.0000		- 754,018	754,018	
04/29/2020 19-520723	B	128,475.000	1.0000		- 128,475	128,475	
04/29/2020 19-520724	B	210,720.000	1.0000		- 210,720	210,720	
04/30/2020 19-520724	B	1,872,852.970	1.0000		- 1,872,853	1,872,853	

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04/30/2020 19-520726	B	20,046,773.950	1.0000		- 20,046,774	20,046,774	
05/01/2020 19-520722	B	600,376.740	1.0000		- 600,377	600,377	
05/01/2020 19-520722	B	55,810.530	1.0000		- 55,811	55,811	
05/01/2020 19-520723	B	4,665,883.130	1.0000		- 4,665,883	4,665,883	
05/04/2020 19-520720	B	33,273.000	1.0000		- 33,273	33,273	
05/04/2020 19-520721	B	2,002.180	1.0000		- 2,002	2,002	
05/04/2020 19-520722	B	3,486,023.720	1.0000		- 3,486,024	3,486,024	
05/04/2020 19-520724	B	1,732.170	1.0000		- 1,732	1,732	
05/04/2020 19-520725	B	2,214.920	1.0000		- 2,215	2,215	
05/04/2020 19-520726	B	2,093.620	1.0000		- 2,094	2,094	
05/04/2020 19-520727	B	13.830	1.0000		- 14	14	
05/04/2020 19-520728	B	.010	1.0000				
05/05/2020 19-520724	B	136,315.000	1.0000		- 136,315	136,315	
05/06/2020 19-520724	B	471,993.860	1.0000		- 471,994	471,994	

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05/08/2020 19-520722	B	75,743.070	1.0000		- 75,743	75,743	
05/08/2020 19-520723	B	148,987.500	1.0000		- 148,988	148,988	
05/08/2020 19-520724	B	1,025,622.550	1.0000		- 1,025,623	1,025,623	
05/11/2020 19-520723	B	4,037,656.910	1.0000		- 4,037,657	4,037,657	
05/11/2020 19-520724	B	1,638,105.000	1.0000		- 1,638,105	1,638,105	
05/11/2020 19-520725	B	1,823,892.410	1.0000		- 1,823,892	1,823,892	
05/13/2020 19-520722	B	3,466,538.130	1.0000		- 3,466,538	3,466,538	
05/14/2020 19-520722	B	775,070.200	1.0000		- 775,070	775,070	
05/15/2020 19-520722	B	66,188.230	1.0000		- 66,188	66,188	
05/15/2020 19-520724	B	128,150.000	1.0000		- 128,150	128,150	
05/18/2020 19-520722	B	1,550,005.830	1.0000		- 1,550,006	1,550,006	
05/18/2020 19-520723	B	1,144,483.500	1.0000		- 1,144,484	1,144,484	
05/18/2020 19-520725	B	286,206.990	1.0000		- 286,207	286,207	
05/19/2020 19-520724	B	2,706,574.310	1.0000		- 2,706,574	2,706,574	

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05/20/2020 19-520722	B	398,647.180	1.0000		- 398,647	398,647	
05/20/2020 19-520722	B	340,979.010	1.0000		- 340,979	340,979	
05/20/2020 19-520725	B	10,613.530	1.0000		- 10,614	10,614	
05/21/2020 19-520724	B	1,249,740.000	1.0000		- 1,249,740	1,249,740	
05/22/2020 19-520722	B	1,798,466.580	1.0000		- 1,798,467	1,798,467	
05/22/2020 19-520723	B	2,914,560.000	1.0000		- 2,914,560	2,914,560	
05/22/2020 19-520725	B	72,417.470	1.0000		- 72,417	72,417	
05/26/2020 19-520722	B	3,718,567.710	1.0000		- 3,718,568	3,718,568	
05/26/2020 19-520723	B	21,612.500	1.0000		- 21,613	21,613	
05/26/2020 19-520725	B	249,332.000	1.0000		- 249,332	249,332	
05/27/2020 19-520724	B	1,489,930.000	1.0000		- 1,489,930	1,489,930	
05/27/2020 19-520726	B	12,000.000	1.0000		- 12,000	12,000	
05/27/2020 19-520726	B	38,291.000	1.0000		- 38,291	38,291	
05/28/2020 19-520722	B	188,233.580	1.0000		- 188,234	188,234	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/28/2020 19-520724	B	911,175.000	1.0000		- 911,175	911,175	
05/29/2020 19-520722	B	827,278.260	1.0000		- 827,278	827,278	
05/29/2020 19-520723	B	67,935.000	1.0000		- 67,935	67,935	
05/29/2020 19-520724	B	47,155.000	1.0000		- 47,155	47,155	
06/01/2020 19-520721	B	13,537.850	1.0000		- 13,538	13,538	
06/01/2020 19-520722	B	282,519.740	1.0000		- 282,520	282,520	
06/01/2020 19-520724	B	44,813.190	1.0000		- 44,813	44,813	
06/01/2020 19-520725	B	181,876.720	1.0000		- 181,877	181,877	
06/02/2020 19-520720	B	12,334.080	1.0000		- 12,334	12,334	
06/02/2020 19-520721	B	1,121.920	1.0000		- 1,122	1,122	
06/02/2020 19-520723	B	380.850	1.0000		- 381	381	
06/02/2020 19-520724	B	722.680	1.0000		- 723	723	
06/02/2020 19-520724	B	944,115.000	1.0000		- 944,115	944,115	
06/02/2020 19-520725	B	436.770	1.0000		- 437	437	

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06/02/2020 19-520726	B	2,229.690	1.0000		- 2,230	2,230	
06/02/2020 19-520726	B	67,157.440	1.0000		- 67,157	67,157	
06/02/2020 19-520727	B	5.130	1.0000		- 5	5	
06/03/2020 19-520722	B	1,222,695.440	1.0000		- 1,222,695	1,222,695	
06/03/2020 19-520724	B	753,185.000	1.0000		- 753,185	753,185	
06/04/2020 19-520724	B	1,352,579.680	1.0000		- 1,352,580	1,352,580	
06/05/2020 19-520722	B	1,853,619.060	1.0000		- 1,853,619	1,853,619	
06/05/2020 19-520725	B	645,708.370	1.0000		- 645,708	645,708	
06/08/2020 19-520723	B	7,008,964.760	1.0000		- 7,008,965	7,008,965	
06/08/2020 19-520724	B	1,620,815.000	1.0000		- 1,620,815	1,620,815	
06/09/2020 19-520724	B	915,015.000	1.0000		- 915,015	915,015	
06/10/2020 19-520722	B	5,272,059.790	1.0000		- 5,272,060	5,272,060	
06/10/2020 19-520726	B	20,206.510	1.0000		- 20,207	20,207	
06/12/2020 19-520722	B	13,631,123.130	1.0000		- 13,631,123	13,631,123	

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06/12/2020 19-520723	B	859,294.130	1.0000		- 859,294	859,294	
06/15/2020 19-520721	B	43,001.180	1.0000		- 43,001	43,001	
06/15/2020 19-520722	B	396,802.860	1.0000		- 396,803	396,803	
06/15/2020 19-520723	B	175,312.500	1.0000		- 175,313	175,313	
06/15/2020 19-520724	B	989,961.380	1.0000		- 989,961	989,961	
06/16/2020 19-520724	B	510,150.730	1.0000		- 510,151	510,151	
06/17/2020 19-520722	B	2,038,623.850	1.0000		- 2,038,624	2,038,624	
06/17/2020 19-520724	B	1,058,175.000	1.0000		- 1,058,175	1,058,175	
06/17/2020 19-520725	B	752,640.420	1.0000		- 752,640	752,640	
06/17/2020 19-520726	B	62,658.000	1.0000		- 62,658	62,658	
06/18/2020 19-520723	B	106,126.250	1.0000		- 106,126	106,126	
06/19/2020 19-520722	B	2,399.200	1.0000		- 2,399	2,399	
06/19/2020 19-520725	B	297,019.710	1.0000		- 297,020	297,020	
06/22/2020 19-520722	B	375,397.090	1.0000		- 375,397	375,397	

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06/23/2020 19-520722	B	2,441,396.560	1.0000		- 2,441,397	2,441,397	
06/23/2020 19-520723	B	1,294,012.800	1.0000		- 1,294,013	1,294,013	
06/23/2020 19-520724	B	1,032,015.000	1.0000		- 1,032,015	1,032,015	
06/24/2020 19-520724	B	283,230.000	1.0000		- 283,230	283,230	
06/24/2020 19-520725	B	19,406.870	1.0000		- 19,407	19,407	
06/25/2020 19-520722	B	5,640,858.450	1.0000		- 5,640,858	5,640,858	
06/26/2020 19-520722	B	3,969,709.870	1.0000		- 3,969,710	3,969,710	
06/26/2020 19-520724	B	662,940.000	1.0000		- 662,940	662,940	
06/29/2020 19-520723	B	4,815,345.990	1.0000		- 4,815,346	4,815,346	
06/29/2020 19-520725	B	12,287.230	1.0000		- 12,287	12,287	
06/30/2020 19-520722	B	680,000.000	1.0000		- 680,000	680,000	
06/30/2020 19-520722	B	3,291,033.190	1.0000		- 3,291,033	3,291,033	
06/30/2020 19-520724	B	1,327,370.880	1.0000		- 1,327,371	1,327,371	
06/30/2020 19-520725	B	206,358.750	1.0000		- 206,359	206,359	

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07/01/2020 19-520723	B	6,344.690	1.0000		- 6,345	6,345	
07/01/2020 19-520724	B	354,770.000	1.0000		- 354,770	354,770	
07/01/2020 19-520725	B	573,429.650	1.0000		- 573,430	573,430	
07/01/2020 19-520726	B	15,571.460	1.0000		- 15,571	15,571	
07/02/2020 19-520720	B	7,545.710	1.0000		- 7,546	7,546	
07/02/2020 19-520721	B	688.110	1.0000		- 688	688	
07/02/2020 19-520722	B	1,259,768.960	1.0000		- 1,259,769	1,259,769	
07/02/2020 19-520724	B	703.440	1.0000		- 703	703	
07/02/2020 19-520725	B	215.640	1.0000		- 216	216	
07/02/2020 19-520725	B	154,545.200	1.0000		- 154,545	154,545	
07/02/2020 19-520726	B	1,246.770	1.0000		- 1,247	1,247	
07/02/2020 19-520727	B	3.140	1.0000		- 3	3	
07/06/2020 19-520724	B	247,330.000	1.0000		- 247,330	247,330	
07/06/2020 19-520724	B	740,352.600	1.0000		- 740,353	740,353	

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07/06/2020 19-520725	B	1,337,674.890	1.0000		- 1,337,675	1,337,675	
07/07/2020 19-520722	B	697,324.110	1.0000		- 697,324	697,324	
07/07/2020 19-520724	B	1,238,255.000	1.0000		- 1,238,255	1,238,255	
07/08/2020 19-520721	B	5,000,000.000	1.0000		- 5,000,000	5,000,000	
07/09/2020 19-520724	B	762,430.000	1.0000		- 762,430	762,430	
07/10/2020 19-520721	B	15,000,000.000	1.0000		- 15,000,000	15,000,000	
07/10/2020 19-520722	B	3,220,000.000	1.0000		- 3,220,000	3,220,000	
07/10/2020 19-520725	B	286,418.460	1.0000		- 286,418	286,418	
07/13/2020 19-520722	B	1,325.000	1.0000		- 1,325	1,325	
07/13/2020 19-520722	B	2,828,419.260	1.0000		- 2,828,419	2,828,419	
07/13/2020 19-520724	B	650,080.000	1.0000		- 650,080	650,080	
07/14/2020 19-520722	B	971,971.750	1.0000		- 971,972	971,972	
07/14/2020 19-520725	B	336,549.000	1.0000		- 336,549	336,549	
07/14/2020 19-520725	B	1,056,460.000	1.0000		- 1,056,460	1,056,460	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/15/2020 19-520721	B	19,000,000.000	1.0000		- 19,000,000	19,000,000	
07/15/2020 19-520722	B	15,973,091.130	1.0000		- 15,973,091	15,973,091	
07/15/2020 19-520723	B	410,253.130	1.0000		- 410,253	410,253	
07/15/2020 19-520724	B	750,125.000	1.0000		- 750,125	750,125	
07/16/2020 19-520724	B	888,778.170	1.0000		- 888,778	888,778	
07/16/2020 19-520725	B	83,005.000	1.0000		- 83,005	83,005	
07/17/2020 19-520721	B	6,000,000.000	1.0000		- 6,000,000	6,000,000	
07/17/2020 19-520722	B	2,439,380.460	1.0000		- 2,439,380	2,439,380	
07/20/2020 19-520724	B	388,560.000	1.0000		- 388,560	388,560	
07/21/2020 19-520722	B	5,794.520	1.0000		- 5,795	5,795	
07/21/2020 19-520724	B	474,485.000	1.0000		- 474,485	474,485	
07/21/2020 19-520725	B	951,191.170	1.0000		- 951,191	951,191	
07/22/2020 19-520722	B	723,937.500	1.0000		- 723,938	723,938	
07/22/2020 19-520724	B	296,140.000	1.0000		- 296,140	296,140	

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07/23/2020 19-520723	B	14,750.000	1.0000		- 14,750	14,750	
07/23/2020 19-520724	B	145,270.000	1.0000		- 145,270	145,270	
07/23/2020 19-520725	B	174,126.000	1.0000		- 174,126	174,126	
07/24/2020 19-520723	B	4,577,512.500	1.0000		- 4,577,513	4,577,513	
07/27/2020 19-520722	B	376,158.540	1.0000		- 376,159	376,159	
07/27/2020 19-520722	B	226,436.070	1.0000		- 226,436	226,436	
07/27/2020 19-520723	B	28,481.250	1.0000		- 28,481	28,481	
07/27/2020 19-520725	B	672,722.000	1.0000		- 672,722	672,722	
07/28/2020 19-520724	B	782,770.000	1.0000		- 782,770	782,770	
07/29/2020 19-520722	B	995,229.950	1.0000		- 995,230	995,230	
07/29/2020 19-520723	B	4,414,472.380	1.0000		- 4,414,472	4,414,472	
07/29/2020 19-520725	B	35,438.610	1.0000		- 35,439	35,439	
07/29/2020 19-520725	B	171,102.840	1.0000		- 171,103	171,103	
07/30/2020 19-520723	B	160,425.000	1.0000		- 160,425	160,425	

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07/30/2020 19-520724	B	886,075.000	1.0000		- 886,075	886,075	
07/30/2020 19-520726	B	12,000.000	1.0000		- 12,000	12,000	
07/31/2020 19-520722	B	5,080,000.000	1.0000		- 5,080,000	5,080,000	
07/31/2020 19-520725	B	42,457.290	1.0000		- 42,457	42,457	
08/03/2020 19-520723	B	66,120,147.690	1.0000		- 66,120,148	66,120,148	
08/04/2020 19-520720	B	8,476.400	1.0000		- 8,476	8,476	
08/04/2020 19-520721	B	2,193.810	1.0000		- 2,194	2,194	
08/04/2020 19-520721	B	9,000,000.000	1.0000		- 9,000,000	9,000,000	
08/04/2020 19-520722	B	511,236.410	1.0000		- 511,236	511,236	
08/04/2020 19-520724	B	725.370	1.0000		- 725	725	
08/04/2020 19-520724	B	846,745.000	1.0000		- 846,745	846,745	
08/04/2020 19-520725	B	225.390	1.0000		- 225	225	
08/04/2020 19-520726	B	1,283.280	1.0000		- 1,283	1,283	
08/04/2020 19-520727	B	3.520	1.0000		- 4	4	

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08/05/2020 19-520722	B	257,886.280	1.0000		- 257,886	257,886	
08/05/2020 19-520724	B	1,385,858.730	1.0000		- 1,385,859	1,385,859	
08/05/2020 19-520725	B	241,632.000	1.0000		- 241,632	241,632	
08/06/2020 19-520722	B	2,820,970.190	1.0000		- 2,820,970	2,820,970	
08/06/2020 19-520724	B	396,608.590	1.0000		- 396,609	396,609	
08/07/2020 19-520724	B	273,610.000	1.0000		- 273,610	273,610	
08/07/2020 19-520725	B	815,195.390	1.0000		- 815,195	815,195	
08/10/2020 19-520722	B	1,544,645.660	1.0000		- 1,544,646	1,544,646	
08/11/2020 19-520724	B	214,155.000	1.0000		- 214,155	214,155	
08/11/2020 19-520725	B	303,352.060	1.0000		- 303,352	303,352	
08/12/2020 19-520722	B	861,709.440	1.0000		- 861,709	861,709	
08/12/2020 19-520725	B	27,335.000	1.0000		- 27,335	27,335	
08/13/2020 19-520724	B	873,410.000	1.0000		- 873,410	873,410	
08/14/2020 19-520722	B	2,646,306.230	1.0000		- 2,646,306	2,646,306	

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08/14/2020 19-520725	B	915,559.040	1.0000		- 915,559	915,559	
08/17/2020 19-520722	B	86,047.950	1.0000		- 86,048	86,048	
08/17/2020 19-520722	B	99,789.480	1.0000		- 99,789	99,789	
08/17/2020 19-520723	B	12,357,828.600	1.0000		- 12,357,829	12,357,829	
08/18/2020 19-520722	B	3.680	1.0000		- 4	4	
08/18/2020 19-520722	B	56,553.550	1.0000		- 56,554	56,554	
08/18/2020 19-520724	B	430,400.300	1.0000		- 430,400	430,400	
08/19/2020 19-520725	B	6.420	1.0000		- 6	6	
08/20/2020 19-520722	B	12,667,728.860	1.0000		- 12,667,729	12,667,729	
08/20/2020 19-520725	B	556,442.720	1.0000		- 556,443	556,443	
08/21/2020 19-520722	B	690,387.970	1.0000		- 690,388	690,388	
08/24/2020 19-520723	B	198,501.500	1.0000		- 198,502	198,502	
08/24/2020 19-520725	B	26,428.020	1.0000		- 26,428	26,428	
08/25/2020 19-520722	B	8,670,585.010	1.0000		- 8,670,585	8,670,585	

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08/25/2020 19-520724	B	695,935.000	1.0000		- 695,935	695,935	
08/25/2020 19-520726	B	51,429.290	1.0000		- 51,429	51,429	
08/26/2020 19-520722	B	3,300,470.250	1.0000		- 3,300,470	3,300,470	
08/26/2020 19-520724	B	238,250.000	1.0000		- 238,250	238,250	
08/27/2020 19-520722	B	883,489.470	1.0000		- 883,489	883,489	
08/27/2020 19-520724	B	383,270.000	1.0000		- 383,270	383,270	
08/27/2020 19-520726	B	57,600.000	1.0000		- 57,600	57,600	
08/28/2020 19-520722	B	1,920,119.250	1.0000		- 1,920,119	1,920,119	
08/31/2020 19-520723	B	210,307.270	1.0000		- 210,307	210,307	
08/31/2020 19-520724	B	452,200.000	1.0000		- 452,200	452,200	
09/01/2020 19-520722	B	430,747.500	1.0000		- 430,748	430,748	
09/01/2020 19-520723	B	1,840,765.000	1.0000		- 1,840,765	1,840,765	
09/01/2020 19-520725	B	308,724.020	1.0000		- 308,724	308,724	
09/01/2020 19-520725	B	154,935.260	1.0000		- 154,935	154,935	

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09/01/2020 19-520726	B	62,086.610	1.0000		- 62,087	62,087	
09/02/2020 19-520720	B	7,608.810	1.0000		- 7,609	7,609	
09/02/2020 19-520721	B	614.520	1.0000		- 615	615	
09/02/2020 19-520723	B	5,874,173.320	1.0000		- 5,874,173	5,874,173	
09/02/2020 19-520724	B	773.120	1.0000		- 773	773	
09/02/2020 19-520724	B	422,620.000	1.0000		- 422,620	422,620	
09/02/2020 19-520725	B	271.700	1.0000		- 272	272	
09/02/2020 19-520726	B	1,043.320	1.0000		- 1,043	1,043	
09/02/2020 19-520727	B	3.160	1.0000		- 3	3	
09/03/2020 19-520724	B	703,905.000	1.0000		- 703,905	703,905	
09/04/2020 19-520722	B	981,229.620	1.0000		- 981,230	981,230	
09/04/2020 19-520725	B	330,293.430	1.0000		- 330,293	330,293	
09/08/2020 19-520722	B	2,399,334.300	1.0000		- 2,399,334	2,399,334	
09/08/2020 19-520723	B	21,804.380	1.0000		- 21,804	21,804	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
09/09/2020 19-520722	B	9,782,333.750	1.0000		- 9,782,334	9,782,334	
09/09/2020 19-520723	B	75,000.000	1.0000		- 75,000	75,000	
09/10/2020 19-520724	B	1,012,005.000	1.0000		- 1,012,005	1,012,005	
09/11/2020 19-520722	B	550,396.860	1.0000		- 550,397	550,397	
09/14/2020 19-520722	B	427,790.070	1.0000		- 427,790	427,790	
09/14/2020 19-520723	B	140,781.250	1.0000		- 140,781	140,781	
09/14/2020 19-520724	B	187,251.830	1.0000		- 187,252	187,252	
09/15/2020 19-520722	B	3,529,685.960	1.0000		- 3,529,686	3,529,686	
09/15/2020 19-520723	B	3,071,079.400	1.0000		- 3,071,079	3,071,079	
09/15/2020 19-520724	B	750,158.390	1.0000		- 750,158	750,158	
09/15/2020 19-520726	B	69,600.000	1.0000		- 69,600	69,600	
09/16/2020 19-520724	B	407,662.600	1.0000		- 407,663	407,663	
09/17/2020 19-520722	B	419,498.960	1.0000		- 419,499	419,499	
09/17/2020 19-520724	B	262,841.450	1.0000		- 262,841	262,841	

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09/17/2020 19-520726	B	78,323.000	1.0000		- 78,323	78,323	
09/18/2020 19-520722	B	953,920.090	1.0000		- 953,920	953,920	
09/21/2020 19-520721	B	326.270	1.0000		- 326	326	
09/21/2020 19-520722	B	883,733.220	1.0000		- 883,733	883,733	
09/21/2020 19-520723	B	186,265.630	1.0000		- 186,266	186,266	
09/21/2020 19-520725	B	131,675.890	1.0000		- 131,676	131,676	
09/22/2020 19-520722	B	888,867.790	1.0000		- 888,868	888,868	
09/23/2020 19-520721	B	4,179,300.000	1.0000		- 4,179,300	4,179,300	
09/23/2020 19-520722	B	4,854,501.830	1.0000		- 4,854,502	4,854,502	
09/23/2020 19-520724	B	127,640.000	1.0000		- 127,640	127,640	
09/24/2020 19-520722	B	346,424.410	1.0000		- 346,424	346,424	
09/25/2020 19-520722	B	46,790.410	1.0000		- 46,790	46,790	
09/25/2020 19-520722	B	430,046.400	1.0000		- 430,046	430,046	
09/25/2020 19-520723	B	302,729.380	1.0000		- 302,729	302,729	

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09/28/2020 19-520722	B	1,300.000	1.0000		- 1,300	1,300	
09/28/2020 19-520722	B	4,340,000.000	1.0000		- 4,340,000	4,340,000	
09/28/2020 19-520723	B	3,822,095.460	1.0000		- 3,822,095	3,822,095	
09/28/2020 19-520724	B	475,500.000	1.0000		- 475,500	475,500	
09/28/2020 19-520725	B	7,526,746.870	1.0000		- 7,526,747	7,526,747	
09/29/2020 19-520722	B	2,785,788.530	1.0000		- 2,785,789	2,785,789	
09/29/2020 19-520724	B	926,700.000	1.0000		- 926,700	926,700	
09/30/2020 19-520722	B	5,870,000.000	1.0000		- 5,870,000	5,870,000	
09/30/2020 19-520723	B	1,434,200.000	1.0000		- 1,434,200	1,434,200	
09/30/2020 19-520725	B	2,043,409.690	1.0000		- 2,043,410	2,043,410	
09/30/2020 19-520726	B	15,571.460	1.0000		- 15,571	15,571	
Total For Buys				0	1,151,640,705	1,151,640,705	0
11/27/2019 19-520725	S	- 56,927.050	1.0000		56,927	56,927	
12/03/2019 19-520722	S	- 780,000.000	1.0000		780,000	780,000	

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12/03/2019 19-520724	S	- 448,875.000	1.0000		448,875	448,875	
12/04/2019 19-520722	S	- 4,665,246.670	1.0000		4,665,247	4,665,247	
12/04/2019 19-520724	S	- 362,925.000	1.0000		362,925	362,925	
12/05/2019 19-520722	S	- 4,775.800	1.0000		4,776	4,776	
12/05/2019 19-520722	S	- 266,974.360	1.0000		266,974	266,974	
12/05/2019 19-520725	S	- 760,873.000	1.0000		760,873	760,873	
12/06/2019 19-520722	S	- 1,167,784.110	1.0000		1,167,784	1,167,784	
12/06/2019 19-520724	S	- 200,000.000	1.0000		200,000	200,000	
12/06/2019 19-520725	S	- 489,978.940	1.0000		489,979	489,979	
12/12/2019 19-520725	S	- 365,250.000	1.0000		365,250	365,250	
12/13/2019 19-520725	S	- 208,278.390	1.0000		208,278	208,278	
12/16/2019 19-520725	S	- 372,740.000	1.0000		372,740	372,740	
12/16/2019 19-520725	S	- 769,276.990	1.0000		769,277	769,277	
12/17/2019 19-520725	S	- 128,951.000	1.0000		128,951	128,951	

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12/17/2019 19-520725	S	- 766,987.090	1.0000		766,987	766,987	
12/18/2019 19-520721	S	- 100,000.000	1.0000		100,000	100,000	
12/18/2019 19-520722	S	- 13,445.470	1.0000		13,445	13,445	
12/18/2019 19-520722	S	- 338,440.300	1.0000		338,440	338,440	
12/18/2019 19-520725	S	- 7,545.000	1.0000		7,545	7,545	
12/18/2019 19-520725	S	- 64,113.200	1.0000		64,113	64,113	
12/20/2019 19-520723	S	- 8,715,616.000	1.0000		8,715,616	8,715,616	
12/20/2019 19-520724	S	- 74,938.300	1.0000		74,938	74,938	
12/20/2019 19-520725	S	- 1,206,200.600	1.0000		1,206,201	1,206,201	
12/20/2019 19-520726	S	- 890,869.000	1.0000		890,869	890,869	
12/23/2019 19-520725	S	- 3,542,761.000	1.0000		3,542,761	3,542,761	
12/26/2019 19-520721	S	- 14,999,445.110	1.0000		14,999,445	14,999,445	
12/26/2019 19-520722	S	- 1,029.200	1.0000		1,029	1,029	
12/26/2019 19-520723	S	- 2,895,944.480	1.0000		2,895,944	2,895,944	

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12/26/2019 19-520724	S	- 5,635,384.590	1.0000		5,635,385	5,635,385	
12/26/2019 19-520725	S	- 2,411,085.450	1.0000		2,411,085	2,411,085	
12/26/2019 19-520725	S	- 9,323.000	1.0000		9,323	9,323	
12/27/2019 19-520722	S	- 312,509.740	1.0000		312,510	312,510	
12/27/2019 19-520725	S	- 116,296.300	1.0000		116,296	116,296	
12/30/2019 19-520722	S	- 1,121,026.530	1.0000		1,121,027	1,121,027	
12/31/2019 19-520722	S	- 352,186.550	1.0000		352,187	352,187	
12/31/2019 19-520724	S	- 333,735.000	1.0000		333,735	333,735	
12/31/2019 19-520725	S	- 420,577.000	1.0000		420,577	420,577	
01/02/2020 19-520725	S	- 265,704.740	1.0000		265,705	265,705	
01/02/2020 19-520725	S	- 104,758.000	1.0000		104,758	104,758	
01/03/2020 19-520722	S	- 1,119.690	1.0000		1,120	1,120	
01/03/2020 19-520725	S	- 36,079.820	1.0000		36,080	36,080	
01/03/2020 19-520725	S	- 302,851.000	1.0000		302,851	302,851	

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01/03/2020 19-520726	S	- 10,000,000.000	1.0000		10,000,000	10,000,000	
01/06/2020 19-520722	S	- 2,792,124.090	1.0000		2,792,124	2,792,124	
01/06/2020 19-520724	S	- 638,287.310	1.0000		638,287	638,287	
01/06/2020 19-520725	S	- 80,516.000	1.0000		80,516	80,516	
01/07/2020 19-520722	S	- 1,060,388.550	1.0000		1,060,389	1,060,389	
01/07/2020 19-520725	S	- 614,894.000	1.0000		614,894	614,894	
01/08/2020 19-520722	S	- 1,053,866.690	1.0000		1,053,867	1,053,867	
01/08/2020 19-520724	S	- 153,335.000	1.0000		153,335	153,335	
01/09/2020 19-520725	S	- 140,950.000	1.0000		140,950	140,950	
01/10/2020 19-520722	S	- 31,151,823.450	1.0000		31,151,823	31,151,823	
01/10/2020 19-520725	S	- 685,503.630	1.0000		685,504	685,504	
01/13/2020 19-520724	S	- 157,270.000	1.0000		157,270	157,270	
01/14/2020 19-520722	S	- 501,073.170	1.0000		501,073	501,073	
01/14/2020 19-520723	S	- 3,061,595.090	1.0000		3,061,595	3,061,595	

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01/15/2020 19-520724	S	- 15,265.000	1.0000		15,265	15,265	
01/15/2020 19-520725	S	- 923,093.870	1.0000		923,094	923,094	
01/16/2020 19-520723	S	- 3,635,486.600	1.0000		3,635,487	3,635,487	
01/16/2020 19-520724	S	- 24,365.000	1.0000		24,365	24,365	
01/16/2020 19-520725	S	- 199,598.170	1.0000		199,598	199,598	
01/17/2020 19-520722	S	- 717,491.470	1.0000		717,491	717,491	
01/17/2020 19-520723	S	- 971,342.700	1.0000		971,343	971,343	
01/17/2020 19-520725	S	- 94,208.000	1.0000		94,208	94,208	
01/22/2020 19-520724	S	- 464,190.000	1.0000		464,190	464,190	
01/22/2020 19-520725	S	- 1,798.000	1.0000		1,798	1,798	
01/23/2020 19-520723	S	- 8,155,842.870	1.0000		8,155,843	8,155,843	
01/23/2020 19-520724	S	- 175,598.500	1.0000		175,599	175,599	
01/24/2020 19-520724	S	- 105,095.000	1.0000		105,095	105,095	
01/24/2020 19-520725	S	- 207,047.410	1.0000		207,047	207,047	

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01/27/2020 19-520721	S	- 6,500,000.000	1.0000		6,500,000	6,500,000	
01/27/2020 19-520724	S	- 527,487.270	1.0000		527,487	527,487	
01/27/2020 19-520725	S	- 421,723.000	1.0000		421,723	421,723	
01/28/2020 19-520722	S	- 1,883,347.140	1.0000		1,883,347	1,883,347	
01/28/2020 19-520724	S	- 1,211,540.000	1.0000		1,211,540	1,211,540	
01/28/2020 19-520725	S	- 853,827.590	1.0000		853,828	853,828	
01/28/2020 19-520725	S	- 165,486.890	1.0000		165,487	165,487	
01/29/2020 19-520722	S	- 413,527.270	1.0000		413,527	413,527	
01/30/2020 19-520722	S	- 608,085.960	1.0000		608,086	608,086	
01/30/2020 19-520724	S	- 36,154.820	1.0000		36,155	36,155	
01/30/2020 19-520725	S	- 48,673.330	1.0000		48,673	48,673	
01/31/2020 19-520722	S	- 800,534.370	1.0000		800,534	800,534	
01/31/2020 19-520724	S	- 19,110.000	1.0000		19,110	19,110	
02/03/2020 19-520722	S	- 2,388,273.890	1.0000		2,388,274	2,388,274	

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02/03/2020 19-520724	S	- 1,086,450.000	1.0000		1,086,450	1,086,450	
02/03/2020 19-520725	S	- 66,467.690	1.0000		66,468	66,468	
02/04/2020 19-520722	S	- 847,610.450	1.0000		847,610	847,610	
02/04/2020 19-520723	S	- 5,652,992.900	1.0000		5,652,993	5,652,993	
02/05/2020 19-520722	S	- 2,669,346.610	1.0000		2,669,347	2,669,347	
02/06/2020 19-520725	S	- 162,521.600	1.0000		162,522	162,522	
02/07/2020 19-520725	S	- 346,122.000	1.0000		346,122	346,122	
02/10/2020 19-520722	S	- 452,686.070	1.0000		452,686	452,686	
02/10/2020 19-520724	S	- 547,330.000	1.0000		547,330	547,330	
02/10/2020 19-520725	S	- 250,000.000	1.0000		250,000	250,000	
02/11/2020 19-520722	S	- 933,285.240	1.0000		933,285	933,285	
02/11/2020 19-520723	S	- 853,503.360	1.0000		853,503	853,503	
02/11/2020 19-520725	S	- 667,405.840	1.0000		667,406	667,406	
02/11/2020 19-520726	S	- 85,975.800	1.0000		85,976	85,976	

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02/12/2020 19-520722	S	- 821,207.690	1.0000		821,208	821,208	
02/13/2020 19-520722	S	- 1,434,118.870	1.0000		1,434,119	1,434,119	
02/14/2020 19-520722	S	- 903,471.880	1.0000		903,472	903,472	
02/14/2020 19-520724	S	- 289,965.000	1.0000		289,965	289,965	
02/18/2020 19-520722	S	- 1,100,043.220	1.0000		1,100,043	1,100,043	
02/18/2020 19-520724	S	- 36,430.000	1.0000		36,430	36,430	
02/18/2020 19-520725	S	- 3,042.000	1.0000		3,042	3,042	
02/19/2020 19-520722	S	- 1,033,603.600	1.0000		1,033,604	1,033,604	
02/19/2020 19-520724	S	- 299,820.000	1.0000		299,820	299,820	
02/20/2020 19-520722	S	- 344,526.310	1.0000		344,526	344,526	
02/20/2020 19-520723	S	- 8,565,206.880	1.0000		8,565,207	8,565,207	
02/20/2020 19-520724	S	- 1,336.820	1.0000		1,337	1,337	
02/21/2020 19-520724	S	- 456,160.000	1.0000		456,160	456,160	
02/24/2020 19-520721	S	- 7,000,000.000	1.0000		7,000,000	7,000,000	

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02/24/2020 19-520722	S	- 1,397,191.070	1.0000		1,397,191	1,397,191	
02/24/2020 19-520724	S	- 480,943.200	1.0000		480,943	480,943	
02/24/2020 19-520725	S	- 2,295,326.000	1.0000		2,295,326	2,295,326	
02/25/2020 19-520722	S	- 558,686.710	1.0000		558,687	558,687	
02/25/2020 19-520724	S	- 2,174,255.000	1.0000		2,174,255	2,174,255	
02/26/2020 19-520722	S	- 910,042.710	1.0000		910,043	910,043	
02/26/2020 19-520723	S	- 912,965.520	1.0000		912,966	912,966	
02/26/2020 19-520724	S	- 1,247,355.000	1.0000		1,247,355	1,247,355	
02/27/2020 19-520722	S	- 287,401.650	1.0000		287,402	287,402	
02/27/2020 19-520724	S	- 190,218.810	1.0000		190,219	190,219	
02/28/2020 19-520722	S	- 10,385,861.920	1.0000		10,385,862	10,385,862	
02/28/2020 19-520724	S	- 2,212,190.000	1.0000		2,212,190	2,212,190	
03/02/2020 19-520722	S	- 1,016,012.020	1.0000		1,016,012	1,016,012	
03/02/2020 19-520724	S	- 262,595.000	1.0000		262,595	262,595	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/02/2020 19-520725	S	- 319,277.320	1.0000		319,277	319,277	
03/03/2020 19-520722	S	- 1,213,664.490	1.0000		1,213,664	1,213,664	
03/04/2020 19-520722	S	- 2,733,983.170	1.0000		2,733,983	2,733,983	
03/04/2020 19-520724	S	- 835,765.000	1.0000		835,765	835,765	
03/04/2020 19-520725	S	- 859,939.030	1.0000		859,939	859,939	
03/05/2020 19-520722	S	- 659,528.780	1.0000		659,529	659,529	
03/05/2020 19-520726	S	- 329,794.360	1.0000		329,794	329,794	
03/06/2020 19-520722	S	- 10,758,294.990	1.0000		10,758,295	10,758,295	
03/06/2020 19-520724	S	- 1,739,015.390	1.0000		1,739,015	1,739,015	
03/06/2020 19-520725	S	- 431,253.860	1.0000		431,254	431,254	
03/09/2020 19-520722	S	- 396,081.590	1.0000		396,082	396,082	
03/09/2020 19-520724	S	- 999,820.000	1.0000		999,820	999,820	
03/09/2020 19-520725	S	- 956,612.110	1.0000		956,612	956,612	
03/09/2020 19-520725	S	- 283,794.000	1.0000		283,794	283,794	

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03/10/2020 19-520721	S	- 7,000,000.000	1.0000		7,000,000	7,000,000	
03/10/2020 19-520722	S	- 8,915,143.470	1.0000		8,915,143	8,915,143	
03/12/2020 19-520722	S	- 385,515.190	1.0000		385,515	385,515	
03/12/2020 19-520723	S	- 4,381,542.460	1.0000		4,381,542	4,381,542	
03/12/2020 19-520724	S	- 2,808,525.000	1.0000		2,808,525	2,808,525	
03/12/2020 19-520725	S	- 581,526.450	1.0000		581,526	581,526	
03/13/2020 19-520721	S	- 6,000,000.000	1.0000		6,000,000	6,000,000	
03/13/2020 19-520722	S	- 1,057,707.940	1.0000		1,057,708	1,057,708	
03/16/2020 19-520722	S	- 25,934,473.170	1.0000		25,934,473	25,934,473	
03/17/2020 19-520722	S	- 17,760,132.080	1.0000		17,760,132	17,760,132	
03/17/2020 19-520724	S	- 5,425,333.230	1.0000		5,425,333	5,425,333	
03/18/2020 19-520722	S	- 167,592.950	1.0000		167,593	167,593	
03/18/2020 19-520724	S	- 1,056,000.000	1.0000		1,056,000	1,056,000	
03/18/2020 19-520725	S	- 386,035.850	1.0000		386,036	386,036	

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03/19/2020 19-520722	S	- 16,021,379.400	1.0000		16,021,379	16,021,379	
03/19/2020 19-520724	S	- 2,290,782.540	1.0000		2,290,783	2,290,783	
03/20/2020 19-520722	S	- 6,582,038.330	1.0000		6,582,038	6,582,038	
03/20/2020 19-520724	S	- 508,000.000	1.0000		508,000	508,000	
03/23/2020 19-520722	S	- 8,465,699.170	1.0000		8,465,699	8,465,699	
03/23/2020 19-520724	S	- 852,199.840	1.0000		852,200	852,200	
03/23/2020 19-520725	S	- 899,502.880	1.0000		899,503	899,503	
03/24/2020 19-520724	S	- 906,020.000	1.0000		906,020	906,020	
03/25/2020 19-520725	S	- 46,060.010	1.0000		46,060	46,060	
03/25/2020 19-520725	S	- 474,889.620	1.0000		474,890	474,890	
03/26/2020 19-520722	S	- 7,892,122.690	1.0000		7,892,123	7,892,123	
03/26/2020 19-520725	S	- 209,633.480	1.0000		209,633	209,633	
03/27/2020 19-520725	S	- 472,532.900	1.0000		472,533	472,533	
03/30/2020 19-520722	S	- 2,477,843.690	1.0000		2,477,844	2,477,844	

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03/30/2020 19-520724	S	- 1,743,582.110	1.0000		1,743,582	1,743,582	
03/30/2020 19-520725	S	- 2,916,346.580	1.0000		2,916,347	2,916,347	
03/30/2020 19-520725	S	- 1,004,999.280	1.0000		1,004,999	1,004,999	
03/31/2020 19-520726	S	- 34,023.000	1.0000		34,023	34,023	
04/01/2020 19-520721	S	- 10,000,000.000	1.0000		10,000,000	10,000,000	
04/01/2020 19-520724	S	- 390,297.970	1.0000		390,298	390,298	
04/01/2020 19-520725	S	- 400,000.000	1.0000		400,000	400,000	
04/02/2020 19-520722	S	- 5,177,607.030	1.0000		5,177,607	5,177,607	
04/02/2020 19-520724	S	- 2,126,947.020	1.0000		2,126,947	2,126,947	
04/02/2020 19-520725	S	- 2,693,711.870	1.0000		2,693,712	2,693,712	
04/02/2020 19-520726	S	- 592,351.680	1.0000		592,352	592,352	
04/03/2020 19-520727	S	- 45.610	1.0000		46	46	
04/06/2020 19-520722	S	- 4,770,300.880	1.0000		4,770,301	4,770,301	
04/06/2020 19-520724	S	- 763,694.300	1.0000		763,694	763,694	

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04/06/2020 19-520725	S	- 350,119.000	1.0000		350,119	350,119	
04/06/2020 19-520725	S	- 678,979.630	1.0000		678,980	678,980	
04/07/2020 19-520722	S	- 38,116.580	1.0000		38,117	38,117	
04/07/2020 19-520725	S	- 3,078,082.000	1.0000		3,078,082	3,078,082	
04/08/2020 19-520725	S	- 1,884,343.650	1.0000		1,884,344	1,884,344	
04/09/2020 19-520722	S	- 425,751.770	1.0000		425,752	425,752	
04/14/2020 19-520722	S	- 4,295,656.810	1.0000		4,295,657	4,295,657	
04/14/2020 19-520724	S	- 309,710.000	1.0000		309,710	309,710	
04/15/2020 19-520722	S	- 597,589.100	1.0000		597,589	597,589	
04/15/2020 19-520725	S	- 261,438.000	1.0000		261,438	261,438	
04/16/2020 19-520723	S	- 8,420,155.960	1.0000		8,420,156	8,420,156	
04/16/2020 19-520724	S	- 1,144,018.890	1.0000		1,144,019	1,144,019	
04/17/2020 19-520721	S	- 20,000,000.000	1.0000		20,000,000	20,000,000	
04/17/2020 19-520722	S	- 169,895.170	1.0000		169,895	169,895	

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04/17/2020 19-520723	S	- 21,229,890.260	1.0000		21,229,890	21,229,890	
04/17/2020 19-520725	S	- 1,263,627.330	1.0000		1,263,627	1,263,627	
04/20/2020 19-520722	S	- 16,311,567.330	1.0000		16,311,567	16,311,567	
04/20/2020 19-520724	S	- 3,973.950	1.0000		3,974	3,974	
04/20/2020 19-520725	S	- 175,000.000	1.0000		175,000	175,000	
04/21/2020 19-520722	S	- 5,907,925.840	1.0000		5,907,926	5,907,926	
04/21/2020 19-520724	S	- 891,395.000	1.0000		891,395	891,395	
04/21/2020 19-520725	S	- 88,682.170	1.0000		88,682	88,682	
04/22/2020 19-520722	S	- 10,794,414.220	1.0000		10,794,414	10,794,414	
04/22/2020 19-520724	S	- 1,138,878.740	1.0000		1,138,879	1,138,879	
04/22/2020 19-520725	S	- 1,012,853.820	1.0000		1,012,854	1,012,854	
04/23/2020 19-520725	S	- 10,612.910	1.0000		10,613	10,613	
04/24/2020 19-520724	S	- 86,990.000	1.0000		86,990	86,990	
04/27/2020 19-520725	S	- 4,616.200	1.0000		4,616	4,616	

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04/29/2020 19-520722	S	- 545,546.720	1.0000		545,547	545,547	
04/30/2020 19-520722	S	- 754,017.890	1.0000		754,018	754,018	
04/30/2020 19-520725	S	- 2,339,775.000	1.0000		2,339,775	2,339,775	
05/01/2020 19-520724	S	- 934,190.000	1.0000		934,190	934,190	
05/01/2020 19-520725	S	- 243,346.180	1.0000		243,346	243,346	
05/04/2020 19-520722	S	- 4,142,210.990	1.0000		4,142,211	4,142,211	
05/04/2020 19-520723	S	- 3,260,388.090	1.0000		3,260,388	3,260,388	
05/04/2020 19-520724	S	- 3,487,030.950	1.0000		3,487,031	3,487,031	
05/04/2020 19-520725	S	- 869,797.280	1.0000		869,797	869,797	
05/05/2020 19-520723	S	- 2,853,115.000	1.0000		2,853,115	2,853,115	
05/06/2020 19-520725	S	- 1,058,633.420	1.0000		1,058,633	1,058,633	
05/07/2020 19-520724	S	- 494,890.000	1.0000		494,890	494,890	
05/07/2020 19-520725	S	- 248,798.770	1.0000		248,799	248,799	
05/08/2020 19-520725	S	- 154,893.780	1.0000		154,894	154,894	

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05/11/2020 19-520722	S	- 75,743.070	1.0000		75,743	75,743	
05/12/2020 19-520723	S	- 2,987,045.830	1.0000		2,987,046	2,987,046	
05/12/2020 19-520724	S	- 189,895.000	1.0000		189,895	189,895	
05/13/2020 19-520722	S	- 3,466,538.130	1.0000		3,466,538	3,466,538	
05/13/2020 19-520723	S	- 1,678,886.460	1.0000		1,678,886	1,678,886	
05/13/2020 19-520724	S	- 1,221,075.280	1.0000		1,221,075	1,221,075	
05/13/2020 19-520725	S	- 704,426.000	1.0000		704,426	704,426	
05/14/2020 19-520724	S	- 769,105.000	1.0000		769,105	769,105	
05/15/2020 19-520722	S	- 841,258.430	1.0000		841,258	841,258	
05/15/2020 19-520723	S	- 499,891.670	1.0000		499,892	499,892	
05/15/2020 19-520725	S	- 98,334.000	1.0000		98,334	98,334	
05/18/2020 19-520722	S	- 1,550,005.830	1.0000		1,550,006	1,550,006	
05/18/2020 19-520724	S	- 60,445.000	1.0000		60,445	60,445	
05/20/2020 19-520724	S	- 800,425.000	1.0000		800,425	800,425	

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05/20/2020 19-520726	S	- 73,851.010	1.0000		73,851	73,851	
05/21/2020 19-520722	S	- 499,375.000	1.0000		499,375	499,375	
05/21/2020 19-520722	S	- 240,251.190	1.0000		240,251	240,251	
05/21/2020 19-520723	S	- 1,119,416.670	1.0000		1,119,417	1,119,417	
05/26/2020 19-520722	S	- 1,798,466.580	1.0000		1,798,467	1,798,467	
05/26/2020 19-520724	S	- 672,490.000	1.0000		672,490	672,490	
05/26/2020 19-520724	S	- 72,720.000	1.0000		72,720	72,720	
05/26/2020 19-520725	S	- 576,094.680	1.0000		576,095	576,095	
05/27/2020 19-520722	S	- 3,718,567.710	1.0000		3,718,568	3,718,568	
05/28/2020 19-520725	S	- 1,049,248.160	1.0000		1,049,248	1,049,248	
05/29/2020 19-520722	S	- 188,233.580	1.0000		188,234	188,234	
05/29/2020 19-520725	S	- 47,856.670	1.0000		47,857	47,857	
06/01/2020 19-520722	S	- 827,278.260	1.0000		827,278	827,278	
06/01/2020 19-520723	S	- 3,092,952.170	1.0000		3,092,952	3,092,952	

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06/02/2020 19-520722	S	- 282,519.740	1.0000		282,520	282,520	
06/03/2020 19-520726	S	- 2,800,000.000	1.0000		2,800,000	2,800,000	
06/04/2020 19-520722	S	- 1,222,695.440	1.0000		1,222,695	1,222,695	
06/05/2020 19-520724	S	- 305,920.650	1.0000		305,921	305,921	
06/08/2020 19-520722	S	- 1,853,619.060	1.0000		1,853,619	1,853,619	
06/08/2020 19-520725	S	- 109,311.000	1.0000		109,311	109,311	
06/10/2020 19-520722	S	- 4,690,000.000	1.0000		4,690,000	4,690,000	
06/10/2020 19-520723	S	- 2,332,270.000	1.0000		2,332,270	2,332,270	
06/10/2020 19-520724	S	- 728,345.000	1.0000		728,345	728,345	
06/11/2020 19-520722	S	- 582,059.790	1.0000		582,060	582,060	
06/11/2020 19-520723	S	- 5,257,427.440	1.0000		5,257,427	5,257,427	
06/11/2020 19-520724	S	- 358,875.000	1.0000		358,875	358,875	
06/11/2020 19-520725	S	- 2,015.000	1.0000		2,015	2,015	
06/12/2020 19-520722	S	- 13,163,351.660	1.0000		13,163,352	13,163,352	

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06/12/2020 19-520724	S	- 4,006,040.000	1.0000		4,006,040	4,006,040	
06/15/2020 19-520722	S	- 467,771.470	1.0000		467,771	467,771	
06/15/2020 19-520725	S	- 403,406.580	1.0000		403,407	403,407	
06/16/2020 19-520722	S	- 396,802.860	1.0000		396,803	396,803	
06/16/2020 19-520725	S	- 290,287.000	1.0000		290,287	290,287	
06/18/2020 19-520722	S	- 1,690,000.000	1.0000		1,690,000	1,690,000	
06/18/2020 19-520724	S	- 44,980.300	1.0000		44,980	44,980	
06/18/2020 19-520725	S	- 770,317.000	1.0000		770,317	770,317	
06/19/2020 19-520722	S	- 351,023.050	1.0000		351,023	351,023	
06/19/2020 19-520724	S	- 255,040.000	1.0000		255,040	255,040	
06/22/2020 19-520722	S	- 375,397.090	1.0000		375,397	375,397	
06/22/2020 19-520724	S	- 549,600.000	1.0000		549,600	549,600	
06/22/2020 19-520725	S	- 51,005.740	1.0000		51,006	51,006	
06/24/2020 19-520722	S	- 587,665.270	1.0000		587,665	587,665	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
06/25/2020 19-520722	S	- 6,928,093.260	1.0000		6,928,093	6,928,093	
06/25/2020 19-520724	S	- 1,571,020.000	1.0000		1,571,020	1,571,020	
06/26/2020 19-520725	S	- 1,922,916.410	1.0000		1,922,916	1,922,916	
06/29/2020 19-520722	S	- 4,358,283.070	1.0000		4,358,283	4,358,283	
06/29/2020 19-520724	S	- 1,218,160.000	1.0000		1,218,160	1,218,160	
06/30/2020 19-520725	S	- 388,975.340	1.0000		388,975	388,975	
07/01/2020 19-520722	S	- 3,421,320.420	1.0000		3,421,320	3,421,320	
07/01/2020 19-520722	S	- 727,636.050	1.0000		727,636	727,636	
07/02/2020 19-520723	S	- 2,153,103.120	1.0000		2,153,103	2,153,103	
07/06/2020 19-520722	S	- 1,259,768.960	1.0000		1,259,769	1,259,769	
07/06/2020 19-520723	S	- 1,675,683.270	1.0000		1,675,683	1,675,683	
07/07/2020 19-520725	S	- 1,083,739.690	1.0000		1,083,740	1,083,740	
07/08/2020 19-520722	S	- 82,136.690	1.0000		82,137	82,137	
07/08/2020 19-520724	S	- 5,987,165.000	1.0000		5,987,165	5,987,165	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/08/2020 19-520725	S	- 387,193.000	1.0000		387,193	387,193	
07/09/2020 19-520722	S	- 500,000.000	1.0000		500,000	500,000	
07/09/2020 19-520725	S	- 221,214.000	1.0000		221,214	221,214	
07/10/2020 19-520722	S	- 2,830,000.000	1.0000		2,830,000	2,830,000	
07/10/2020 19-520724	S	- 622,880.000	1.0000		622,880	622,880	
07/14/2020 19-520722	S	- 3,334,931.680	1.0000		3,334,932	3,334,932	
07/14/2020 19-520724	S	- 568,800.000	1.0000		568,800	568,800	
07/15/2020 19-520722	S	- 16,399,615.600	1.0000		16,399,616	16,399,616	
07/15/2020 19-520725	S	- 875,053.810	1.0000		875,054	875,054	
07/15/2020 19-520726	S	- 4,000,000.000	1.0000		4,000,000	4,000,000	
07/16/2020 19-520722	S	- 545,447.280	1.0000		545,447	545,447	
07/17/2020 19-520722	S	- 2,439,380.460	1.0000		2,439,380	2,439,380	
07/17/2020 19-520724	S	- 609,891.030	1.0000		609,891	609,891	
07/17/2020 19-520726	S	- 65,473.880	1.0000		65,474	65,474	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/22/2020 19-520722	S	- 729,732.020	1.0000		729,732	729,732	
07/22/2020 19-520725	S	- 27,092.000	1.0000		27,092	27,092	
07/24/2020 19-520724	S	- 600,035.000	1.0000		600,035	600,035	
07/27/2020 19-520724	S	- 446,245.000	1.0000		446,245	446,245	
07/28/2020 19-520722	S	- 602,594.610	1.0000		602,595	602,595	
07/28/2020 19-520725	S	- 36,903.670	1.0000		36,904	36,904	
07/29/2020 19-520722	S	- 392,884.460	1.0000		392,884	392,884	
07/29/2020 19-520724	S	- 460,586.810	1.0000		460,587	460,587	
07/30/2020 19-520722	S	- 496,830.330	1.0000		496,830	496,830	
07/30/2020 19-520725	S	- 322,302.700	1.0000		322,303	322,303	
07/31/2020 19-520722	S	- 4,610,000.000	1.0000		4,610,000	4,610,000	
07/31/2020 19-520723	S	- 518,645.830	1.0000		518,646	518,646	
07/31/2020 19-520724	S	- 525,505.000	1.0000		525,505	525,505	
08/03/2020 19-520721	S	- 59,000,000.000	1.0000		59,000,000	59,000,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/03/2020 19-520722	S	- 575,515.160	1.0000		575,515	575,515	
08/03/2020 19-520723	S	- 400,784.290	1.0000		400,784	400,784	
08/03/2020 19-520724	S	- 525,515.000	1.0000		525,515	525,515	
08/03/2020 19-520725	S	- 75,393.970	1.0000		75,394	75,394	
08/04/2020 19-520723	S	- 2,389,642.890	1.0000		2,389,643	2,389,643	
08/04/2020 19-520725	S	- 5,287.760	1.0000		5,288	5,288	
08/04/2020 19-520726	S	- 191,536.450	1.0000		191,536	191,536	
08/05/2020 19-520723	S	- 32,455,537.780	1.0000		32,455,538	32,455,538	
08/06/2020 19-520722	S	- 769,122.690	1.0000		769,123	769,123	
08/06/2020 19-520723	S	- 9,884,853.330	1.0000		9,884,853	9,884,853	
08/07/2020 19-520722	S	- 2,820,970.190	1.0000		2,820,970	2,820,970	
08/07/2020 19-520723	S	- 3,604,379.250	1.0000		3,604,379	3,604,379	
08/10/2020 19-520722	S	- 900,000.000	1.0000		900,000	900,000	
08/10/2020 19-520723	S	- 9,975,444.180	1.0000		9,975,444	9,975,444	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/10/2020 19-520724	S	- 189,043.110	1.0000		189,043	189,043	
08/10/2020 19-520725	S	- 2,014,217.750	1.0000		2,014,218	2,014,218	
08/11/2020 19-520722	S	- 644,645.660	1.0000		644,646	644,646	
08/11/2020 19-520723	S	- 4,385,153.700	1.0000		4,385,154	4,385,154	
08/11/2020 19-520723	S	- 6,422.480	1.0000		6,422	6,422	
08/12/2020 19-520723	S	- 9,119,004.440	1.0000		9,119,004	9,119,004	
08/12/2020 19-520724	S	- 127,650.000	1.0000		127,650	127,650	
08/13/2020 19-520722	S	- 861,709.440	1.0000		861,709	861,709	
08/14/2020 19-520722	S	- 1,929,645.870	1.0000		1,929,646	1,929,646	
08/14/2020 19-520724	S	- 160,880.000	1.0000		160,880	160,880	
08/17/2020 19-520724	S	- 197,155.000	1.0000		197,155	197,155	
08/17/2020 19-520726	S	- 800,000.000	1.0000		800,000	800,000	
08/18/2020 19-520723	S	- 13,090,094.430	1.0000		13,090,094	13,090,094	
08/19/2020 19-520722	S	- 959,055.020	1.0000		959,055	959,055	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/19/2020 19-520724	S	- 17,260.000	1.0000		17,260	17,260	
08/20/2020 19-520724	S	- 310,780.470	1.0000		310,780	310,780	
08/21/2020 19-520722	S	- 1,293,763.040	1.0000		1,293,763	1,293,763	
08/21/2020 19-520724	S	- 70,975.000	1.0000		70,975	70,975	
08/24/2020 19-520722	S	- 11,658,502.000	1.0000		11,658,502	11,658,502	
08/24/2020 19-520724	S	- 33,465.000	1.0000		33,465	33,465	
08/25/2020 19-520722	S	- 5,047,261.110	1.0000		5,047,261	5,047,261	
08/26/2020 19-520722	S	- 5,537,745.000	1.0000		5,537,745	5,537,745	
08/26/2020 19-520725	S	- 1,007,148.910	1.0000		1,007,149	1,007,149	
08/27/2020 19-520722	S	- 1,791,900.940	1.0000		1,791,901	1,791,901	
08/27/2020 19-520726	S	- 34,919.000	1.0000		34,919	34,919	
08/28/2020 19-520722	S	- 883,489.470	1.0000		883,489	883,489	
08/28/2020 19-520724	S	- 242,980.000	1.0000		242,980	242,980	
08/31/2020 19-520722	S	- 1,233,067.940	1.0000		1,233,068	1,233,068	

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08/31/2020 19-520722	S	- 128,000.000	1.0000		128,000	128,000	
08/31/2020 19-520725	S	- 125,000.000	1.0000		125,000	125,000	
09/01/2020 19-520722	S	- 559,051.310	1.0000		559,051	559,051	
09/01/2020 19-520724	S	- 456,935.000	1.0000		456,935	456,935	
09/02/2020 19-520722	S	- 192,077.650	1.0000		192,078	192,078	
09/02/2020 19-520722	S	- 100,000.000	1.0000		100,000	100,000	
09/02/2020 19-520725	S	- 1,511,609.830	1.0000		1,511,610	1,511,610	
09/03/2020 19-520722	S	- 138,669.850	1.0000		138,670	138,670	
09/03/2020 19-520723	S	- 5,210,087.820	1.0000		5,210,088	5,210,088	
09/03/2020 19-520725	S	- 176,757.610	1.0000		176,758	176,758	
09/04/2020 19-520724	S	- 1,657,460.240	1.0000		1,657,460	1,657,460	
09/08/2020 19-520722	S	- 2,769,143.750	1.0000		2,769,144	2,769,144	
09/08/2020 19-520724	S	- 303,715.000	1.0000		303,715	303,715	
09/08/2020 19-520725	S	- 62,452.000	1.0000		62,452	62,452	

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09/09/2020 19-520722	S	- 9,133,166.210	1.0000		9,133,166	9,133,166	
09/09/2020 19-520724	S	- 1,072,015.000	1.0000		1,072,015	1,072,015	
09/10/2020 19-520722	S	- 1,260,587.710	1.0000		1,260,588	1,260,588	
09/10/2020 19-520725	S	- 123,788.270	1.0000		123,788	123,788	
09/11/2020 19-520724	S	- 847,385.000	1.0000		847,385	847,385	
09/14/2020 19-520722	S	- 550,396.860	1.0000		550,397	550,397	
09/14/2020 19-520725	S	- 100,000.000	1.0000		100,000	100,000	
09/15/2020 19-520722	S	- 427,790.070	1.0000		427,790	427,790	
09/16/2020 19-520722	S	- 3,529,685.960	1.0000		3,529,686	3,529,686	
09/16/2020 19-520725	S	- 42,434.340	1.0000		42,434	42,434	
09/17/2020 19-520725	S	- 1,385,990.280	1.0000		1,385,990	1,385,990	
09/18/2020 19-520724	S	- 251,270.000	1.0000		251,270	251,270	
09/18/2020 19-520725	S	- 30,046.000	1.0000		30,046	30,046	
09/18/2020 19-520726	S	- 261,013.720	1.0000		261,014	261,014	

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09/21/2020 19-520722	S	- 1,373,419.050	1.0000		1,373,419	1,373,419	
09/21/2020 19-520724	S	- 458,990.000	1.0000		458,990	458,990	
09/22/2020 19-520722	S	- 883,733.220	1.0000		883,733	883,733	
09/22/2020 19-520724	S	- 1,065,690.000	1.0000		1,065,690	1,065,690	
09/22/2020 19-520725	S	- 66,512.530	1.0000		66,513	66,513	
09/23/2020 19-520722	S	- 5,407,000.000	1.0000		5,407,000	5,407,000	
09/23/2020 19-520723	S	- 8,918,939.050	1.0000		8,918,939	8,918,939	
09/24/2020 19-520722	S	- 336,369.620	1.0000		336,370	336,370	
09/24/2020 19-520724	S	- 919,300.000	1.0000		919,300	919,300	
09/25/2020 19-520724	S	- 4,550.000	1.0000		4,550	4,550	
09/28/2020 19-520721	S	- 10,000,000.000	1.0000		10,000,000	10,000,000	
09/29/2020 19-520722	S	- 7,399,807.530	1.0000		7,399,808	7,399,808	
09/29/2020 19-520725	S	- 1,359,440.080	1.0000		1,359,440	1,359,440	
09/30/2020 19-520722	S	- 6,290,000.000	1.0000		6,290,000	6,290,000	

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09/30/2020 19-520724	S	- 95,860.640	1.0000		95,861	95,861	
Total For Sells				0	921,043,127	921,043,127	0
Total First Am Govt Ob Fd Cl Z				0	2,072,683,832	2,072,683,832	0
Issue: 72201P613 - Pimco Paps Short-Term Fltg Nav II							
12/04/2019 19-520722	B	229,747.278	10.0110		- 2,300,000	2,300,000	
12/05/2019 19-520722	B	39,956.048	10.0110		- 400,000	400,000	
12/06/2019 19-520722	B	189,791.230	10.0110		- 1,900,000	1,900,000	
12/18/2019 19-520722	B	1,008,991.009	10.0100		- 10,100,000	10,100,000	
12/23/2019 19-520722	B	149,850.150	10.0100		- 1,500,000	1,500,000	
12/27/2019 19-520722	B	39,960.040	10.0100		- 400,000	400,000	
12/30/2019 19-520722	B	119,880.120	10.0100		- 1,200,000	1,200,000	
01/02/2020 19-520722	B	29,970.030	10.0100		- 300,000	300,000	
01/03/2020 19-520722	B	39,956.048	10.0110		- 400,000	400,000	
02/07/2020 19-520722	B	19,974.034	10.0130		- 200,000	200,000	

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02/12/2020 19-520722	B	179,766.304	10.0130		- 1,800,000	1,800,000	
03/06/2020 19-520722	B	29,964.043	10.0120		- 300,000	300,000	
03/19/2020 19-520722	B	1,154,850.372	9.9580		- 11,500,000	11,500,000	
03/20/2020 19-520722	B	281,690.141	9.9400		- 2,800,000	2,800,000	
03/23/2020 19-520722	B	181,177.655	9.9350		- 1,800,000	1,800,000	
03/24/2020 19-520722	B	573,844.760	9.9330		- 5,700,000	5,700,000	
03/26/2020 19-520722	B	1,187,481.131	9.9370		- 11,800,000	11,800,000	
04/03/2020 19-520722	B	200,662.185	9.9670		- 2,000,000	2,000,000	
04/06/2020 19-520722	B	240,746.314	9.9690		- 2,400,000	2,400,000	
04/22/2020 19-520722	B	320,224.157	9.9930		- 3,200,000	3,200,000	
05/07/2020 19-520722	B	19,996.001	10.0020		- 200,000	200,000	
05/12/2020 19-520722	B	79,968.013	10.0040		- 800,000	800,000	
05/15/2020 19-520722	B	59,964.022	10.0060		- 600,000	600,000	
05/18/2020 19-520722	B	419,748.151	10.0060		- 4,200,000	4,200,000	

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06/10/2020 19-520722	B	119,844.202	10.0130		- 1,200,000	1,200,000	
06/15/2020 19-520722	B	69,909.118	10.0130		- 700,000	700,000	
06/19/2020 19-520722	B	1,358,234.295	10.0130		- 13,600,000	13,600,000	
06/24/2020 19-520722	B	259,636.509	10.0140		- 2,600,000	2,600,000	
06/30/2020 19-520722	B	199,720.392	10.0140		- 2,000,000	2,000,000	
07/02/2020 19-520722	B	239,664.470	10.0140		- 2,400,000	2,400,000	
07/09/2020 19-520722	B	49,930.098	10.0140		- 500,000	500,000	
07/10/2020 19-520722	B	39,944.078	10.0140		- 400,000	400,000	
07/13/2020 19-520722	B	79,888.157	10.0140		- 800,000	800,000	
07/15/2020 19-520722	B	139,790.315	10.0150		- 1,400,000	1,400,000	
07/16/2020 19-520722	B	89,865.202	10.0150		- 900,000	900,000	
07/17/2020 19-520722	B	1,397,903.145	10.0150		- 14,000,000	14,000,000	
07/22/2020 19-520722	B	1,537,693.460	10.0150		- 15,400,000	15,400,000	
07/30/2020 19-520722	B	49,925.112	10.0150		- 500,000	500,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/31/2020 19-520722	B	349,475.786	10.0150		- 3,500,000	3,500,000	
08/10/2020 19-520722	B	99,840.256	10.0160		- 1,000,000	1,000,000	
08/14/2020 19-520722	B	299,520.767	10.0160		- 3,000,000	3,000,000	
08/17/2020 19-520722	B	39,940.090	10.0150		- 400,000	400,000	
08/21/2020 19-520722	B	199,700.449	10.0150		- 2,000,000	2,000,000	
08/24/2020 19-520722	B	79,872.205	10.0160		- 800,000	800,000	
08/25/2020 19-520722	B	918,530.351	10.0160		- 9,200,000	9,200,000	
08/26/2020 19-520722	B	728,833.866	10.0160		- 7,300,000	7,300,000	
08/28/2020 19-520722	B	998,502.247	10.0150		- 10,000,000	10,000,000	
09/02/2020 19-520722	B	9,985.023	10.0150		- 100,000	100,000	
09/23/2020 19-520722	B	539,191.213	10.0150		- 5,400,000	5,400,000	
09/28/2020 19-520722	B	59,910.135	10.0150		- 600,000	600,000	
09/29/2020 19-520722	B	738,891.662	10.0150		- 7,400,000	7,400,000	
09/30/2020 19-520722	B	469,296.056	10.0150		- 4,700,000	4,700,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
Total For Buys				0	179,600,000	179,600,000	0
12/02/2019 19-520722	S	- 169,813.206	10.0110		1,700,000	1,700,000	
12/02/2019 19-520722	S	- 139,846.169	10.0110		1,400,000	1,400,000	
12/03/2019 19-520722	S	- 217,760.464	10.0110		2,180,000	2,180,000	
12/10/2019 19-520722	S	- 89,901.109	10.0110		900,000	900,000	
12/11/2019 19-520722	S	- 79,912.097	10.0110		800,000	800,000	
12/19/2019 19-520722	S	- 399,600.400	10.0100		4,000,000	4,000,090	- 90
12/19/2019 19-520722	S	- 769,230.769	10.0100		7,700,000	7,700,173	- 173
12/24/2019 19-520722	S	- 219,780.220	10.0100		2,200,000	2,200,023	- 23
12/31/2019 19-520722	S	- 139,860.140	10.0100		1,400,000	1,400,004	- 4
12/31/2019 19-520722	S	- 69,930.070	10.0100		700,000	700,002	- 2
01/06/2020 19-520722	S	- 69,923.085	10.0110		700,000	699,964	36
01/13/2020 19-520722	S	- 11,986.815	10.0110		120,000	119,994	6
02/13/2020 19-520722	S	- 189,753.321	10.0130		1,900,000	1,899,999	1

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/09/2020 19-520722	S	- 29,967.036	10.0110		300,000	300,038	- 38
03/30/2020 19-520722	S	- 180,940.893	9.9480		1,800,000	1,799,249	751
04/01/2020 19-520722	S	- 501,756.146	9.9650		5,000,000	4,989,387	10,613
04/02/2020 19-520722	S	- 180,632.213	9.9650		1,800,000	1,796,179	3,821
04/07/2020 19-520722	S	- 1,333,868.218	9.9710		13,300,000	13,268,593	31,407
04/08/2020 19-520722	S	- 80,200.501	9.9750		800,000	797,791	2,209
04/14/2020 19-520722	S	- 200,200.200	9.9900		2,000,000	1,991,482	8,518
04/15/2020 19-520722	S	- 90,090.090	9.9900		900,000	896,167	3,833
04/15/2020 19-520722	S	- 430,430.430	9.9900		4,300,000	4,281,687	18,313
04/16/2020 19-520722	S	- 820,738.665	9.9910		8,200,000	8,164,261	35,739
04/23/2020 19-520722	S	- 110,066.040	9.9940		1,100,000	1,099,711	289
04/24/2020 19-520722	S	- 210,105.052	9.9950		2,100,000	2,099,238	762
05/13/2020 19-520722	S	- 99,960.016	10.0040		1,000,000	999,830	170
05/20/2020 19-520722	S	- 479,664.235	10.0070		4,800,000	4,799,477	523

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
06/11/2020 19-520722	S	- 119,844.202	10.0130		1,200,000	1,199,923	77
06/18/2020 19-520722	S	- 69,909.118	10.0130		700,000	699,993	7
06/22/2020 19-520722	S	- 1,348,112.642	10.0140		13,500,000	13,498,651	1,349
06/25/2020 19-520722	S	- 139,804.274	10.0140		1,400,000	1,399,989	11
06/29/2020 19-520722	S	- 129,818.254	10.0140		1,300,000	1,299,990	10
07/06/2020 19-520722	S	- 439,384.861	10.0140		4,400,000	4,399,999	1
07/14/2020 19-520722	S	- 169,762.333	10.0140		1,700,000	1,700,000	
07/20/2020 19-520722	S	- 1,178,232.651	10.0150		11,800,000	11,799,991	9
07/21/2020 19-520722	S	- 449,326.011	10.0150		4,500,000	4,499,997	3
07/23/2020 19-520722	S	- 529,206.191	10.0150		5,300,000	5,300,000	
07/24/2020 19-520722	S	- 818,771.842	10.0150		8,200,000	8,200,000	
07/27/2020 19-520722	S	- 189,715.427	10.0150		1,900,000	1,900,000	
08/04/2020 19-520722	S	- 399,400.899	10.0150		4,000,000	4,000,000	
08/12/2020 19-520722	S	- 99,840.256	10.0160		1,000,000	999,989	11

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/19/2020 19-520722	S	- 179,712.460	10.0160		1,800,000	1,799,979	21
08/20/2020 19-520722	S	- 159,760.359	10.0150		1,600,000	1,600,141	- 141
08/27/2020 19-520722	S	- 1,917,124.313	10.0150		19,200,000	19,201,718	- 1,718
08/31/2020 19-520722	S	- 938,592.112	10.0150		9,400,000	9,400,018	- 18
09/01/2020 19-520722	S	- 69,895.157	10.0150		700,000	700,001	- 1
09/04/2020 19-520722	S	- 9,985.022	10.0150		100,000	100,000	
09/24/2020 19-520722	S	- 539,191.213	10.0150		5,400,000	5,400,000	
Total For Sells				0	172,200,000	172,083,718	116,282

Total Pimco Paps Short-Term Fltg Nav II				0	351,800,000	351,683,718	116,282
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Issue: 912810QP6 - U S Treasury I P S 2.125% 2/15/41

12/23/2019 19-520722	B	2,936,125.000	1.3322		- 3,911,469	3,911,469	
12/30/2019 19-520722	B	1,410,072.000	1.3217		- 1,863,719	1,863,719	
01/07/2020 19-520722	B	1,410,000.000	1.3384		- 1,887,197	1,887,197	
02/27/2020 19-520722	B	6,809,374.000	1.4236		- 9,693,589	9,693,589	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/03/2020 19-520722	B	199,561.300	1.4550		- 290,362	290,362	
03/05/2020 19-520722	B	9,994,300.000	1.4636		- 14,627,900	14,627,900	
03/16/2020 19-520722	B	13,330,836.000	1.3382		- 17,839,744	17,839,744	
03/24/2020 19-520722	B	8,827,275.000	1.4400		- 12,711,276	12,711,276	
04/06/2020 19-520722	B	9,429,120.000	1.4634		- 13,798,928	13,798,928	
04/08/2020 19-520722	B	3,536,580.000	1.4516		- 5,133,567	5,133,567	
04/17/2020 19-520722	B	29,860,578.000	1.4709		- 43,923,326	43,923,326	
04/24/2020 19-520722	B	2,597,760.000	1.4844		- 3,856,170	3,856,170	
04/27/2020 19-520722	B	2,361,960.000	1.4969		- 3,535,667	3,535,667	
04/28/2020 19-520722	B	2,834,424.000	1.5023		- 4,258,167	4,258,167	
04/29/2020 19-520722	B	5,905,600.000	1.4920		- 8,811,340	8,811,340	
04/29/2020 19-520722	B	3,661,038.000	1.5101		- 5,528,538	5,528,538	
05/01/2020 19-520722	B	2,598,156.000	1.4730		- 3,826,992	3,826,992	
05/14/2020 19-520722	B	3,303,244.000	1.4775		- 4,880,406	4,880,406	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/20/2020 19-520722	B	3,655,365.000	1.4681		- 5,366,528	5,366,528	
05/22/2020 19-520722	B	3,772,768.000	1.4759		- 5,568,388	5,568,388	
05/26/2020 19-520722	B	2,809,896.000	1.4703		- 4,131,295	4,131,295	
05/28/2020 19-520722	B	2,826,888.000	1.4570		- 4,118,692	4,118,692	
05/29/2020 19-520722	B	1,060,794.000	1.4720		- 1,561,508	1,561,508	
06/05/2020 19-520722	B	1,294,205.000	1.4226		- 1,841,177	1,841,177	
06/12/2020 19-520722	B	3,278,212.000	1.4752		- 4,835,919	4,835,919	
07/06/2020 19-520722	B	4,331,886.000	1.4791		- 6,407,453	6,407,453	
07/06/2020 19-520722	B	936,624.000	1.4766		- 1,382,973	1,382,973	
07/07/2020 19-520722	B	1,287,858.000	1.5125		- 1,947,826	1,947,826	
07/08/2020 19-520722	B	2,107,404.000	1.5081		- 3,178,250	3,178,250	
07/14/2020 19-520722	B	8,546,767.000	1.5218		- 13,006,686	13,006,686	
07/21/2020 19-520722	B	4,331,923.000	1.5346		- 6,647,979	6,647,979	
07/22/2020 19-520722	B	3,512,370.000	1.5402		- 5,409,599	5,409,599	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/28/2020 19-520722	B	2,342,840.000	1.5541		- 3,641,055	3,641,055	
07/29/2020 19-520722	B	1,288,331.000	1.5636		- 2,014,377	2,014,377	
08/04/2020 19-520722	B	469,144.000	1.5839		- 743,095	743,095	
08/07/2020 19-520722	B	6,686,499.000	1.5821		- 10,578,670	10,578,670	
08/12/2020 19-520722	B	8,808,900.000	1.5515		- 13,666,760	13,666,760	
08/20/2020 19-520722	B	10,467,913.000	1.5487		- 16,211,302	16,211,302	
09/04/2020 19-520722	B	3,297,840.000	1.4415		- 4,753,969	4,753,969	
Total For Buys				0	277,391,858	277,391,858	0
02/27/2020 19-520722	S	- 6,806,648.000	1.4241		9,693,092	8,528,084	1,165,008
03/05/2020 19-520722	S	- 9,979,255.000	1.4659		14,628,964	12,903,344	1,725,621
03/16/2020 19-520722	S	- 13,286,540.000	1.3431		17,845,484	17,929,611	- 84,127
03/16/2020 19-520722	S	- 5,879,000.000	1.3447		7,905,418	7,933,456	- 28,038
04/17/2020 19-520722	S	- 29,846,916.000	1.4719		43,930,929	41,613,592	2,317,337
04/24/2020 19-520722	S	- 2,597,034.000	1.4850		3,856,595	3,750,020	106,575

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/27/2020 19-520722	S	- 2,361,600.000	1.4975		3,536,496	3,415,451	121,045
04/28/2020 19-520722	S	- 2,834,160.000	1.5025		4,258,325	4,098,888	159,437
04/29/2020 19-520722	S	- 3,661,131.000	1.5103		5,529,452	5,306,480	222,972
05/01/2020 19-520722	S	- 2,598,706.000	1.4728		3,827,407	3,780,828	46,579
05/04/2020 19-520722	S	- 4,369,626.000	1.4694		6,420,619	6,386,585	34,034
05/05/2020 19-520722	S	- 944,712.000	1.4669		1,385,774	1,380,778	4,996
05/14/2020 19-520722	S	- 3,304,420.000	1.4772		4,881,248	4,831,033	50,215
05/20/2020 19-520722	S	- 3,656,915.000	1.4678		5,367,666	5,350,374	17,291
05/22/2020 19-520722	S	- 3,774,368.000	1.4756		5,569,552	5,522,218	47,334
05/26/2020 19-520722	S	- 2,829,960.000	1.4628		4,139,701	4,141,685	- 1,984
05/28/2020 19-520722	S	- 2,829,576.000	1.4559		4,119,686	4,145,553	- 25,867
05/29/2020 19-520722	S	- 1,061,010.000	1.4719		1,561,674	1,554,466	7,208
06/04/2020 19-520722	S	- 3,298,036.000	1.4459		4,768,754	4,830,718	- 61,964
06/05/2020 19-520722	S	- 1,295,360.000	1.4216		1,841,435	1,897,347	- 55,912

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06/12/2020 19-520722	S	- 3,292,156.000	1.4709		4,842,556	4,822,518	20,038
07/07/2020 19-520722	S	- 1,287,858.000	1.5128		1,948,288	1,890,474	57,813
07/08/2020 19-520722	S	- 2,107,404.000	1.5084		3,178,887	3,093,503	85,384
07/14/2020 19-520722	S	- 8,546,694.000	1.5222		13,009,671	12,575,435	434,236
07/21/2020 19-520722	S	- 4,331,923.000	1.5350		6,649,502	6,418,168	231,334
07/28/2020 19-520722	S	- 2,341,580.000	1.5553		3,641,889	3,486,576	155,313
07/29/2020 19-520722	S	- 1,287,869.000	1.5644		2,014,710	1,917,617	97,093
08/04/2020 19-520722	S	- 468,568.000	1.5863		743,266	699,937	43,329
08/07/2020 19-520722	S	- 6,680,628.000	1.5838		10,580,445	9,979,378	601,066
08/12/2020 19-520722	S	- 8,798,025.000	1.5538		13,669,931	13,249,773	420,158
08/20/2020 19-520722	S	- 10,455,008.000	1.5509		16,215,064	15,814,112	400,952
08/24/2020 19-520722	S	- 6,384,376.800	1.5637		9,982,986	9,656,927	326,059
08/24/2020 19-520722	S	- 8,477,229.600	1.5628		13,248,320	12,822,549	425,771
08/24/2020 19-520722	S	- 2,939,400.000	1.5594		4,583,627	4,446,099	137,528

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
Total For Sells				0	259,377,413	250,173,577	9,203,834
Total U S Treasury I P S 2.125% 2/15/41				0	536,769,271	527,565,435	9,203,834
Issue: 912810RF7 - U S Treasury I P S 1.375% 2/15/44							
01/03/2020 19-520722	B	23,956,366.000	1.2118		- 29,031,270	29,031,270	
01/06/2020 19-520722	B	3,974,796.000	1.1878		- 4,721,138	4,721,138	
01/06/2020 19-520722	B	46,814,264.000	1.1905		- 55,733,668	55,733,668	
01/08/2020 19-520722	B	1,545,684.000	1.1960		- 1,848,601	1,848,601	
01/09/2020 19-520722	B	1,214,444.000	1.1913		- 1,446,753	1,446,753	
01/09/2020 19-520722	B	110,398.000	1.1939		- 131,801	131,801	
01/10/2020 19-520722	B	5,960,466.000	1.2046		- 7,179,808	7,179,808	
01/13/2020 19-520722	B	23,732,345.000	1.2056		- 28,612,306	28,612,306	
01/14/2020 19-520722	B	1,987,092.000	1.2091		- 2,402,596	2,402,596	
01/15/2020 19-520722	B	1,876,511.000	1.2209		- 2,290,962	2,290,962	
02/03/2020 19-520722	B	2,207,020.000	1.2541		- 2,767,888	2,767,888	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/24/2020 19-520722	B	771,925.000	1.2893		- 995,248	995,248	
02/25/2020 19-520722	B	2,095,491.000	1.2850		- 2,692,808	2,692,808	
02/26/2020 19-520722	B	8,491,175.000	1.2761		- 10,835,224	10,835,224	
02/27/2020 19-520722	B	1,654,020.000	1.2891		- 2,132,250	2,132,250	
03/02/2020 19-520722	B	11,030,300.000	1.3085		- 14,432,745	14,432,745	
03/04/2020 19-520722	B	4,856,368.000	1.3345		- 6,480,975	6,480,975	
03/09/2020 19-520722	B	993,474.000	1.4598		- 1,450,298	1,450,298	
03/10/2020 19-520722	B	8,831,920.000	1.3436		- 11,866,560	11,866,560	
03/11/2020 19-520722	B	4,858,172.000	1.3055		- 6,342,183	6,342,183	
03/12/2020 19-520722	B	13,361,667.000	1.2798		- 17,100,868	17,100,868	
03/13/2020 19-520722	B	26,512,320.000	1.2130		- 32,159,254	32,159,254	
03/16/2020 19-520722	B	10,308,213.000	1.2320		- 12,699,409	12,699,409	
03/18/2020 19-520722	B	2,099,690.000	1.0964		- 2,302,083	2,302,083	
04/01/2020 19-520722	B	25,061,366.000	1.2040		- 30,174,695	30,174,695	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/01/2020 19-520722	B	1,109,010.000	1.2111		- 1,343,106	1,343,106	
04/01/2020 19-520722	B	6,987,456.000	1.2191		- 8,518,148	8,518,148	
04/14/2020 19-520722	B	5,541,550.000	1.3694		- 7,588,460	7,588,460	
04/15/2020 19-520722	B	443,604.000	1.3769		- 610,792	610,792	
04/16/2020 19-520722	B	1,663,215.000	1.3831		- 2,300,442	2,300,442	
04/17/2020 19-520722	B	5,987,574.000	1.3305		- 7,966,280	7,966,280	
04/20/2020 19-520722	B	24,509,121.000	1.3367		- 32,761,900	32,761,900	
04/20/2020 19-520722	B	2,884,752.000	1.3296		- 3,835,434	3,835,434	
04/21/2020 19-520722	B	887,688.000	1.3521		- 1,200,281	1,200,281	
04/21/2020 19-520722	B	55,477,000.000	1.3617		- 75,544,528	75,544,528	
04/21/2020 19-520722	B	8,099,642.000	1.3617		- 11,029,501	11,029,501	
04/23/2020 19-520722	B	3,993,192.000	1.3688		- 5,465,682	5,465,682	
05/26/2020 19-520722	B	2,548,170.000	1.3335		- 3,397,990	3,397,990	
05/28/2020 19-520722	B	1,550,836.000	1.3388		- 2,076,282	2,076,282	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
06/01/2020 19-520722	B	1,882,342.000	1.3440		- 2,529,909	2,529,909	
06/05/2020 19-520722	B	3,317,340.000	1.2911		- 4,282,957	4,282,957	
08/06/2020 19-520722	B	55,055,000.000	1.3549		- 74,591,910	74,591,910	
08/06/2020 19-520722	B	6,936,930.000	1.3549		- 9,398,581	9,398,581	
08/06/2020 19-520722	B	771,722.000	1.4608		- 1,127,319	1,127,319	
08/06/2020 19-520722	B	55,123,000.000	1.4608		- 80,522,796	80,522,796	
08/27/2020 19-520722	B	884,296.000	1.4057		- 1,243,012	1,243,012	
09/01/2020 19-520722	B	1,628,774.700	1.4276		- 2,325,203	2,325,203	
09/11/2020 19-520722	B	1,629,597.900	1.4253		- 2,322,686	2,322,686	
Total For Buys				0	629,814,590	629,814,590	0
01/06/2020 19-520722	S	- 23,959,187.000	1.2116		29,028,053	28,568,846	459,206
01/08/2020 19-520722	S	- 1,545,712.000	1.1959		1,848,575	1,843,101	5,474
01/09/2020 19-520722	S	- 1,214,466.000	1.1913		1,446,733	1,448,270	- 1,538
01/09/2020 19-520722	S	- 110,404.000	1.1938		131,795	131,655	140

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/10/2020 19-520722	S	- 5,961,816.000	1.2041		7,178,399	7,109,369	69,030
01/13/2020 19-520722	S	- 23,735,570.000	1.2053		28,608,779	28,475,797	132,983
01/14/2020 19-520722	S	- 1,987,128.000	1.2091		2,402,562	2,384,044	18,518
01/15/2020 19-520722	S	- 1,876,698.000	1.2206		2,290,745	2,252,469	38,275
01/21/2020 19-520722	S	- 24,946,558.000	1.2078		30,130,765	30,006,421	124,344
01/22/2020 19-520722	S	- 1,103,810.000	1.2150		1,341,129	1,327,694	13,435
01/23/2020 19-520722	S	- 6,953,877.000	1.2231		8,505,461	8,365,958	139,503
02/03/2020 19-520722	S	- 2,207,100.000	1.2541		2,767,841	2,655,406	112,435
02/24/2020 19-520722	S	- 771,974.000	1.2891		995,123	931,192	63,931
02/25/2020 19-520722	S	- 2,095,301.000	1.2850		2,692,462	2,527,451	165,011
02/26/2020 19-520722	S	- 8,491,175.000	1.2759		10,834,209	10,242,456	591,752
02/27/2020 19-520722	S	- 1,654,080.000	1.2891		2,132,213	1,995,426	136,787
03/02/2020 19-520722	S	- 11,027,500.000	1.3088		14,432,241	13,552,966	879,274
03/04/2020 19-520722	S	- 4,853,332.000	1.3353		6,480,715	6,094,830	385,885

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/09/2020 19-520722	S	- 993,348.000	1.4600		1,450,288	1,257,686	192,602
03/10/2020 19-520722	S	- 8,830,880.000	1.3438		11,866,495	11,226,661	639,834
03/11/2020 19-520722	S	- 4,857,556.000	1.3056		6,342,147	6,258,997	83,149
03/12/2020 19-520722	S	- 13,359,973.000	1.2800		17,100,765	17,244,094	- 143,328
03/13/2020 19-520722	S	- 26,502,480.000	1.2134		32,159,103	34,092,727	- 1,933,624
03/16/2020 19-520722	S	- 10,273,524.000	1.2366		12,703,855	12,677,895	25,960
03/18/2020 19-520722	S	- 2,099,424.000	1.0966		2,302,150	2,590,764	- 288,614
04/15/2020 19-520722	S	- 443,364.000	1.3778		610,872	551,479	59,394
04/16/2020 19-520722	S	- 1,662,615.000	1.3838		2,300,644	2,068,045	232,598
04/20/2020 19-520722	S	- 2,882,906.000	1.3306		3,836,067	3,630,622	205,445
04/20/2020 19-520722	S	- 24,506,911.000	1.3369		32,762,677	30,381,392	2,381,285
04/21/2020 19-520722	S	- 887,128.000	1.3534		1,200,672	1,099,779	100,893
04/21/2020 19-520722	S	- 55,450,500.000	1.3628		75,568,635	70,616,408	4,952,227
04/21/2020 19-520722	S	- 8,095,773.000	1.3628		11,033,021	10,309,996	723,025

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/21/2020 19-520722	S	- 2,218,020.000	1.3581		3,012,348	2,824,656	187,692
05/01/2020 19-520722	S	- 1,664,535.000	1.3475		2,242,961	2,132,817	110,144
05/01/2020 19-520722	S	- 2,219,380.000	1.3559		3,009,341	2,843,756	165,585
05/06/2020 19-520722	S	- 6,990,102.000	1.3472		9,416,978	9,409,095	7,883
05/06/2020 19-520722	S	- 55,477,000.000	1.3472		74,737,921	74,675,356	62,565
05/26/2020 19-520722	S	- 2,548,354.000	1.3334		3,398,071	3,431,024	- 32,954
05/28/2020 19-520722	S	- 1,550,948.000	1.3388		2,076,332	2,085,805	- 9,474
06/01/2020 19-520722	S	- 1,882,767.000	1.3438		2,529,968	2,531,065	- 1,097
06/05/2020 19-520722	S	- 3,319,560.000	1.2903		4,283,270	4,462,481	- 179,211
07/14/2020 19-520722	S	- 4,180,418.000	1.3950		5,831,683	5,586,677	245,006
08/06/2020 19-520722	S	- 770,770.000	1.4628		1,127,492	1,041,797	85,695
08/06/2020 19-520722	S	- 55,055,000.000	1.4628		80,535,142	74,414,093	6,121,049
08/13/2020 19-520722	S	- 55,012,754.000	1.4294		78,633,855	78,820,433	- 186,577
08/25/2020 19-520722	S	- 12,232,128.600	1.4163		17,323,752	17,514,655	- 190,903

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/27/2020 19-520722	S	- 884,136.000	1.4059		1,243,040	1,265,956	- 22,916
09/10/2020 19-520722	S	- 9,684,007.400	1.4200		13,751,291	13,806,604	- 55,313
09/11/2020 19-520722	S	- 1,629,597.900	1.4268		2,325,037	2,323,337	1,700
Total For Sells				0	669,963,673	653,089,503	16,874,165
Total U S Treasury I P S 1.375% 2/15/44				0	1,299,778,263	1,282,904,093	16,874,165
Issue: 912810SB5 - U S Treasury I P S 1.000% 2/15/48							
12/31/2019 19-520722	B	1,043,570.000	1.1122		- 1,160,622	1,160,622	
01/13/2020 19-520722	B	521,680.000	1.1385		- 593,919	593,919	
01/22/2020 19-520722	B	1,043,190.000	1.1500		- 1,199,718	1,199,718	
01/28/2020 19-520722	B	2,086,180.000	1.1716		- 2,444,167	2,444,167	
02/10/2020 19-520722	B	1,251,240.000	1.1907		- 1,489,848	1,489,848	
02/14/2020 19-520722	B	5,317,311.000	1.1085		- 5,894,307	5,894,307	
02/14/2020 19-520722	B	10,426,100.000	1.1290		- 11,771,065	11,771,065	
02/21/2020 19-520722	B	10,422,800.000	1.2105		- 12,616,953	12,616,953	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/25/2020 19-520722	B	9,379,890.000	1.2260		- 11,499,873	11,499,873	
02/26/2020 19-520722	B	8,337,440.000	1.2173		- 10,148,756	10,148,756	
02/28/2020 19-520722	B	2,292,862.000	1.2460		- 2,856,814	2,856,814	
03/02/2020 19-520722	B	2,605,850.000	1.2458		- 3,246,416	3,246,416	
03/04/2020 19-520722	B	6,776,900.000	1.2727		- 8,624,737	8,624,737	
03/09/2020 19-520722	B	4,381,692.000	1.3642		- 5,977,605	5,977,605	
04/27/2020 19-520722	B	3,146,130.000	1.3494		- 4,245,309	4,245,309	
08/24/2020 19-520722	B	6,786,910.000	1.3918		- 9,445,930	9,445,930	
Total For Buys				0	93,216,039	93,216,039	0
12/31/2019 19-520722	S	- 1,043,510.000	1.1122		1,160,579	1,173,976	- 13,397
12/31/2019 19-520722	S	- 1,252,284.000	1.1114		1,391,796	1,408,266	- 16,470
01/09/2020 19-520722	S	- 10,434,300.000	1.1229		11,716,170	11,733,975	- 17,805
01/13/2020 19-520722	S	- 521,685.000	1.1384		593,906	586,665	7,241
01/22/2020 19-520722	S	- 1,043,210.000	1.1500		1,199,692	1,173,623	26,068

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/27/2020 19-520722	S	- 3,650,850.000	1.1769		4,296,594	4,112,866	183,728
01/28/2020 19-520722	S	- 2,086,200.000	1.1716		2,444,114	2,350,209	93,905
02/03/2020 19-520722	S	- 2,920,204.000	1.1877		3,468,199	3,310,486	157,713
02/10/2020 19-520722	S	- 1,251,288.000	1.1906		1,489,815	1,418,521	71,294
02/21/2020 19-520722	S	- 10,423,800.000	1.2103		12,616,055	11,773,064	842,991
02/25/2020 19-520722	S	- 9,380,250.000	1.2259		11,499,600	10,897,712	601,889
02/26/2020 19-520722	S	- 8,337,680.000	1.2172		10,148,520	9,878,714	269,806
02/28/2020 19-520722	S	- 2,292,730.000	1.2459		2,856,598	2,740,370	116,228
03/02/2020 19-520722	S	- 2,605,525.000	1.2459		3,246,321	3,125,833	120,488
03/04/2020 19-520722	S	- 6,776,055.000	1.2728		8,624,648	8,160,367	464,281
03/05/2020 19-520722	S	- 5,735,070.000	1.2850		7,369,565	7,008,422	361,143
03/09/2020 19-520722	S	- 4,381,146.000	1.3644		5,977,526	5,353,888	623,638
03/09/2020 19-520722	S	- 7,302,820.000	1.3341		9,742,418	9,147,378	595,040
03/12/2020 19-520722	S	- 13,118,680.500	1.1538		15,135,678	16,424,127	- 1,288,449

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Total For Sells				0	114,977,794	111,778,462	3,199,332
Total U S Treasury I P S 1.000% 2/15/48				0	208,193,833	204,994,501	3,199,332
Issue: 912810SG4 - U S Treasury I P S 1.000% 2/15/49							
12/06/2019 19-520722	B	3,062,880.000	1.1349		- 3,476,130	3,476,130	
12/09/2019 19-520722	B	7,147,280.000	1.1381		- 8,133,966	8,133,966	
12/10/2019 19-520722	B	16,337,760.000	1.1409		- 18,639,287	18,639,287	
12/11/2019 19-520722	B	16,339,040.000	1.1396		- 18,620,209	18,620,209	
12/12/2019 19-520722	B	15,318,900.000	1.1418		- 17,491,308	17,491,308	
12/13/2019 19-520722	B	11,236,390.000	1.1279		- 12,673,778	12,673,778	
12/16/2019 19-520722	B	17,366,520.000	1.1349		- 19,710,003	19,710,003	
12/17/2019 19-520722	B	15,324,600.000	1.1331		- 17,363,609	17,363,609	
12/18/2019 19-520722	B	7,152,040.000	1.1334		- 8,105,887	8,105,887	
12/19/2019 19-520722	B	13,283,270.000	1.1218		- 14,901,373	14,901,373	
12/20/2019 19-520722	B	16,352,320.000	1.1320		- 18,510,577	18,510,577	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
12/23/2019 19-520722	B	18,397,620.000	1.1337		- 20,857,194	20,857,194	
12/24/2019 19-520722	B	15,333,600.000	1.1230		- 17,219,525	17,219,525	
12/26/2019 19-520722	B	16,357,120.000	1.1256		- 18,410,806	18,410,806	
12/27/2019 19-520722	B	23,518,420.000	1.1245		- 26,446,054	26,446,054	
12/30/2019 19-520722	B	17,384,540.000	1.1152		- 19,387,876	19,387,876	
01/02/2020 19-520722	B	21,475,860.000	1.1185		- 24,020,078	24,020,078	
01/03/2020 19-520722	B	20,452,200.000	1.1445		- 23,406,914	23,406,914	
01/06/2020 19-520722	B	24,746,678.000	1.1535		- 28,544,812	28,544,812	
01/07/2020 19-520722	B	26,106,212.100	1.1369		- 29,680,531	29,680,531	
01/08/2020 19-520722	B	26,105,701.500	1.1303		- 29,508,458	29,508,458	
01/09/2020 19-520722	B	26,102,637.900	1.1252		- 29,371,125	29,371,125	
01/10/2020 19-520722	B	9,202,770.000	1.1359		- 10,453,772	10,453,772	
01/16/2020 19-520722	B	16,898,619.000	1.1509		- 19,448,819	19,448,819	
01/23/2020 19-520722	B	16,896,635.400	1.1572		- 19,552,108	19,552,108	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/30/2020 19-520722	B	16,893,329.400	1.1923		- 20,141,454	20,141,454	
02/04/2020 19-520722	B	3,577,070.000	1.1794		- 4,218,707	4,218,707	
02/06/2020 19-520722	B	15,939,456.000	1.1713		- 18,670,577	18,670,577	
02/13/2020 19-520722	B	15,325,950.000	1.1829		- 18,128,602	18,128,602	
02/13/2020 19-520722	B	5,138,346.200	1.1819		- 6,073,033	6,073,033	
02/14/2020 19-520722	B	15,324,000.000	1.1909		- 18,248,917	18,248,917	
02/18/2020 19-520722	B	15,323,550.000	1.1991		- 18,374,804	18,374,804	
02/19/2020 19-520722	B	15,323,100.000	1.1979		- 18,355,094	18,355,094	
02/20/2020 19-520722	B	9,193,500.000	1.2038		- 11,067,210	11,067,210	
02/20/2020 19-520722	B	9,908,550.000	1.2035		- 11,924,896	11,924,896	
02/21/2020 19-520722	B	10,724,805.000	1.2180		- 13,062,658	13,062,658	
02/21/2020 19-520722	B	7,149,870.000	1.2183		- 8,710,681	8,710,681	
02/24/2020 19-520722	B	7,762,488.000	1.2341		- 9,579,395	9,579,395	
02/24/2020 19-520722	B	20,457,440.200	1.2312		- 25,187,557	25,187,557	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/25/2020 19-520722	B	7,762,184.000	1.2347		- 9,583,872	9,583,872	
02/26/2020 19-520722	B	5,004,419.000	1.2263		- 6,136,669	6,136,669	
02/28/2020 19-520722	B	5,106,400.000	1.2459		- 6,362,255	6,362,255	
03/02/2020 19-520722	B	2,584,648.000	1.2594		- 3,255,135	3,255,135	
03/04/2020 19-520722	B	2,586,267.200	1.2768		- 3,302,105	3,302,105	
03/09/2020 19-520722	B	2,586,596.100	1.4598		- 3,775,985	3,775,985	
08/24/2020 19-520722	B	2,251,106.000	1.4045		- 3,161,570	3,161,570	
08/25/2020 19-520722	B	10,121,524.900	1.3918		- 14,087,053	14,087,053	
09/01/2020 19-520722	B	3,384,777.000	1.4026		- 4,747,391	4,747,391	
09/04/2020 19-520722	B	9,049,672.800	1.4109		- 12,768,609	12,768,609	
09/08/2020 19-520722	B	513,450.000	1.3991		- 718,383	718,383	
09/08/2020 19-520722	B	1,027,080.000	1.4057		- 1,443,750	1,443,750	
09/09/2020 19-520722	B	1,848,114.000	1.4043		- 2,595,388	2,595,388	
09/10/2020 19-520722	B	2,669,498.000	1.3959		- 3,726,228	3,726,228	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
09/11/2020 19-520722	B	6,388,437.600	1.4018		- 8,955,523	8,955,523	
09/14/2020 19-520722	B	4,519,900.000	1.4017		- 6,335,676	6,335,676	
09/16/2020 19-520722	B	2,981,026.000	1.4031		- 4,182,673	4,182,673	
09/17/2020 19-520722	B	719,922.000	1.3913		- 1,001,649	1,001,649	
09/18/2020 19-520722	B	8,762,479.200	1.3972		- 12,242,932	12,242,932	
09/21/2020 19-520722	B	2,982,534.000	1.3964		- 4,164,849	4,164,849	
09/24/2020 19-520722	B	12,479,600.400	1.3863		- 17,300,970	17,300,970	
Total For Buys				0	805,526,449	805,526,449	0
12/03/2019 19-520722	S	- 2,653,534.000	1.1365		3,015,700	3,005,312	10,388
12/03/2019 19-520722	S	- 1,632,944.000	1.1544		1,885,030	1,849,423	35,607
12/09/2019 19-520722	S	- 7,146,720.000	1.1381		8,133,861	8,108,159	25,702
12/10/2019 19-520722	S	- 16,336,640.000	1.1409		18,639,085	18,550,175	88,911
12/11/2019 19-520722	S	- 16,337,760.000	1.1397		18,619,941	18,606,540	13,401
12/12/2019 19-520722	S	- 15,317,850.000	1.1419		17,491,070	17,452,178	38,892

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
12/13/2019 19-520722	S	- 11,233,860.000	1.1281		12,673,198	12,814,097	- 140,898
12/16/2019 19-520722	S	- 17,365,330.000	1.1350		19,709,650	19,712,699	- 3,050
12/17/2019 19-520722	S	- 15,323,400.000	1.1331		17,363,328	17,392,372	- 29,044
12/18/2019 19-520722	S	- 7,151,480.000	1.1334		8,105,756	8,108,815	- 3,059
12/19/2019 19-520722	S	- 13,282,360.000	1.1219		14,901,148	15,058,592	- 157,444
12/20/2019 19-520722	S	- 16,348,640.000	1.1322		18,509,726	18,435,722	74,004
12/23/2019 19-520722	S	- 18,396,360.000	1.1338		20,856,873	20,794,722	62,151
12/24/2019 19-520722	S	- 15,331,350.000	1.1231		17,219,022	17,366,002	- 146,979
12/26/2019 19-520722	S	- 16,355,840.000	1.1256		18,410,542	18,433,021	- 22,479
12/27/2019 19-520722	S	- 23,513,360.000	1.1247		26,445,182	26,478,220	- 33,038
12/30/2019 19-520722	S	- 17,383,180.000	1.1153		19,387,678	19,548,709	- 161,031
01/02/2020 19-520722	S	- 21,476,280.000	1.1184		24,019,877	24,018,317	1,560
01/03/2020 19-520722	S	- 20,453,200.000	1.1444		23,406,131	22,875,888	530,243
01/06/2020 19-520722	S	- 24,747,162.000	1.1534		28,544,305	28,182,915	361,389

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/07/2020 19-520722	S	- 26,106,722.700	1.1369		29,680,080	29,887,400	- 207,319
01/08/2020 19-520722	S	- 26,106,212.100	1.1303		29,508,178	29,697,923	- 189,745
01/09/2020 19-520722	S	- 26,105,701.500	1.1250		29,368,914	29,697,185	- 328,271
01/10/2020 19-520722	S	- 4,090,120.000	1.1264		4,607,137	4,646,121	- 38,984
01/10/2020 19-520722	S	- 5,112,650.000	1.1267		5,760,519	5,807,651	- 47,132
01/10/2020 19-520722	S	- 9,201,870.000	1.1361		10,454,460	10,354,098	100,362
01/16/2020 19-520722	S	- 16,900,767.900	1.1506		19,446,446	19,017,027	429,419
01/23/2020 19-520722	S	- 16,898,619.000	1.1569		19,549,590	19,448,819	100,771
01/30/2020 19-520722	S	- 16,896,635.400	1.1919		20,138,677	19,552,108	586,569
02/06/2020 19-520722	S	- 15,942,888.000	1.1709		18,668,125	18,972,337	- 304,212
02/13/2020 19-520722	S	- 15,326,400.000	1.1828		18,128,258	18,015,794	112,463
02/13/2020 19-520722	S	- 5,139,301.900	1.1816		6,072,406	6,069,630	2,777
02/14/2020 19-520722	S	- 15,325,950.000	1.1906		18,247,459	18,175,800	71,659
02/18/2020 19-520722	S	- 15,324,000.000	1.1991		18,374,434	18,173,431	201,003

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/19/2020 19-520722	S	- 15,323,550.000	1.1978		18,354,740	18,374,804	- 20,064
02/20/2020 19-520722	S	- 9,193,860.000	1.2038		11,067,109	10,976,193	90,916
02/20/2020 19-520722	S	- 9,908,938.000	1.2034		11,924,788	11,829,897	94,891
02/21/2020 19-520722	S	- 10,725,750.000	1.2178		13,061,952	12,903,037	158,915
02/21/2020 19-520722	S	- 7,150,500.000	1.2181		8,710,203	8,602,025	108,178
02/24/2020 19-520722	S	- 20,458,842.300	1.2309		25,183,556	24,882,079	301,477
02/24/2020 19-520722	S	- 7,762,488.000	1.2364		9,597,589	9,579,390	18,198
02/25/2020 19-520722	S	- 7,762,184.000	1.2341		9,579,573	9,583,871	- 4,298
02/25/2020 19-520722	S	- 5,004,566.000	1.2250		6,130,593	6,136,851	- 6,258
02/25/2020 19-520722	S	- 7,762,184.000	1.2348		9,584,962	9,556,936	28,026
02/26/2020 19-520722	S	- 5,004,566.000	1.2263		6,137,224	6,161,709	- 24,485
02/27/2020 19-520722	S	- 5,106,400.000	1.2347		6,304,808	6,362,255	- 57,447
02/28/2020 19-520722	S	- 5,106,700.000	1.2459		6,362,577	6,287,458	75,119
03/02/2020 19-520722	S	- 2,583,990.200	1.2597		3,255,020	3,181,454	73,566

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/04/2020 19-520722	S	- 2,584,648.000	1.2775		3,301,888	3,255,135	46,753
03/09/2020 19-520722	S	- 2,586,267.200	1.4600		3,775,950	3,302,105	473,845
03/09/2020 19-520722	S	- 2,555,925.000	1.3850		3,539,956	3,731,210	- 191,254
09/01/2020 19-520722	S	- 3,380,817.000	1.4044		4,747,935	4,712,513	35,422
09/04/2020 19-520722	S	- 9,040,500.000	1.4125		12,769,706	12,592,220	177,486
09/08/2020 19-520722	S	- 512,845.000	1.4009		718,464	719,302	- 838
09/08/2020 19-520722	S	- 1,025,870.000	1.4075		1,443,912	1,438,856	5,056
09/09/2020 19-520722	S	- 1,846,566.000	1.4056		2,595,579	2,589,737	5,842
09/10/2020 19-520722	S	- 2,667,704.000	1.3969		3,726,449	3,763,989	- 37,540
09/11/2020 19-520722	S	- 6,383,026.200	1.4031		8,956,184	9,005,677	- 49,494
09/14/2020 19-520722	S	- 4,517,612.000	1.4025		6,335,951	6,321,617	14,334
09/16/2020 19-520722	S	- 2,978,532.000	1.4044		4,182,976	4,176,369	6,607
09/17/2020 19-520722	S	- 719,075.000	1.3931		1,001,761	1,008,109	- 6,348
09/18/2020 19-520722	S	- 8,753,618.400	1.3988		12,244,124	12,271,211	- 27,087

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09/21/2020 19-520722	S	- 2,981,026.000	1.3972		4,165,052	4,182,673	- 17,621
09/24/2020 19-520722	S	- 12,464,935.200	1.3881		17,302,888	17,409,430	- 106,542
Total For Sells				0	821,405,255	819,205,314	2,199,941
Total U S Treasury I P S 1.000% 2/15/49				0	1,626,931,704	1,624,731,763	2,199,941

Issue: 912810SM1 - U S Treasury I P S 0.250% 2/15/50

03/01/2020 19-520722	B	9,012,690.000	.9641		- 8,688,796	8,688,796	
03/02/2020 19-520722	B	5,998,680.000	1.0406		- 6,242,376	6,242,376	
03/04/2020 19-520722	B	8,800,264.000	1.0613		- 9,339,968	9,339,968	
03/10/2020 19-520722	B	8,506,630.000	1.0600		- 9,017,028	9,017,028	
03/24/2020 19-520722	B	7,017,710.000	1.0900		- 7,649,304	7,649,304	
04/06/2020 19-520722	B	4,015,840.000	1.1080		- 4,449,425	4,449,425	
04/08/2020 19-520722	B	3,514,490.000	1.0872		- 3,820,910	3,820,910	
04/14/2020 19-520722	B	2,009,380.000	1.1258		- 2,262,122	2,262,122	
05/08/2020 19-520722	B	3,217,440.000	1.1025		- 3,547,228	3,547,228	

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05/08/2020 19-520722	B	3,720,165.000	1.1006		- 4,094,507	4,094,507	
05/10/2020 19-520722	B	1,306,721.000	1.1159		- 1,458,219	1,458,219	
05/12/2020 19-520722	B	1,306,812.000	1.1131		- 1,454,645	1,454,645	
05/15/2020 19-520722	B	5,024,450.000	1.1003		- 5,528,465	5,528,465	
05/19/2020 19-520722	B	2,411,544.000	1.0986		- 2,649,213	2,649,213	
05/26/2020 19-520722	B	2,611,232.000	1.0797		- 2,819,315	2,819,315	
05/27/2020 19-520722	B	3,414,450.000	1.0798		- 3,687,072	3,687,072	
06/05/2020 19-520722	B	4,009,600.000	1.0491		- 4,206,321	4,206,321	
06/15/2020 19-520722	B	5,003,050.000	1.0907		- 5,457,038	5,457,038	
06/16/2020 19-520722	B	4,001,560.000	1.0807		- 4,324,655	4,324,655	
06/30/2020 19-520722	B	4,387,900.000	1.1267		- 4,943,929	4,943,929	
07/06/2020 19-520722	B	5,983,560.000	1.1394		- 6,817,519	6,817,519	
07/15/2020 19-520722	B	2,493,150.000	1.1511		- 2,869,849	2,869,849	
07/22/2020 19-520722	B	1,994,540.000	1.1789		- 2,351,376	2,351,376	

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08/03/2020 19-520722	B	3,991,200.000	1.2119		- 4,836,836	4,836,836	
08/20/2020 19-520722	B	1,062,703.000	1.1602		- 1,232,952	1,232,952	
08/31/2020 19-520722	B	4,732,885.600	1.1938		- 5,650,252	5,650,252	
08/31/2020 19-520722	B	290,791.700	1.1778		- 342,487	342,487	
09/02/2020 19-520722	B	4,413,508.000	1.2056		- 5,321,036	5,321,036	
09/30/2020 19-520722	B	6,349,140.000	1.1818		- 7,503,146	7,503,146	
Total For Buys				0	132,565,989	132,565,989	0
03/03/2020 19-520722	S	- 5,499,450.000	1.0672		5,868,944	5,722,865	146,079
03/06/2020 19-520722	S	- 3,902,067.000	1.1306		4,411,775	4,137,037	274,738
03/09/2020 19-520722	S	- 5,003,300.000	1.1403		5,705,326	5,304,582	400,743
03/11/2020 19-520722	S	- 10,009,100.000	1.0175		10,184,259	10,126,500	57,759
03/16/2020 19-520722	S	- 5,007,650.000	.9863		4,938,795	5,066,386	- 127,592
03/20/2020 19-520722	S	- 2,906,612.000	1.0038		2,917,512	2,940,705	- 23,193
03/27/2020 19-520722	S	- 4,012,640.000	1.1119		4,461,554	4,373,475	88,079

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/01/2020 19-520722	S	- 3,010,500.000	1.0600		3,191,130	3,281,267	- 90,137
04/15/2020 19-520722	S	- 3,516,730.000	1.1345		3,989,565	3,882,512	107,054
04/20/2020 19-520722	S	- 2,513,100.000	1.0880		2,734,174	2,774,492	- 40,318
04/21/2020 19-520722	S	- 3,518,655.000	1.1191		3,937,595	3,884,228	53,367
05/12/2020 19-520722	S	- 4,523,895.000	1.0953		4,955,079	4,983,046	- 27,967
05/13/2020 19-520722	S	- 3,719,388.000	1.1002		4,092,053	4,112,149	- 20,096
05/14/2020 19-520722	S	- 1,306,721.000	1.1132		1,454,636	1,458,214	- 3,578
05/15/2020 19-520722	S	- 1,306,357.000	1.1162		1,458,193	1,437,403	20,790
06/05/2020 19-520722	S	- 1,844,416.000	1.0310		1,901,550	1,991,443	- 89,894
06/11/2020 19-520722	S	- 5,007,550.000	1.1025		5,520,824	5,407,908	112,916
06/11/2020 19-520722	S	- 1,001,510.000	1.1046		1,106,277	1,081,582	24,696
06/11/2020 19-520722	S	- 6,009,060.000	1.1038		6,632,500	6,489,489	143,011
06/24/2020 19-520722	S	- 4,993,000.000	1.1211		5,597,621	5,417,543	180,078
07/14/2020 19-520722	S	- 3,789,588.000	1.1556		4,379,343	4,228,142	151,201

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/14/2020 19-520722	S	- 2,493,150.000	1.1553		2,880,367	2,781,672	98,695
07/24/2020 19-520722	S	- 3,989,080.000	1.1964		4,772,560	4,508,377	264,183
09/04/2020 19-520722	S	- 7,930,889.000	1.1944		9,472,456	9,274,371	198,085
09/08/2020 19-520722	S	- 7,028,560.000	1.1861		8,336,531	8,219,188	117,343
09/22/2020 19-520722	S	- 4,126,445.000	1.1762		4,853,409	4,822,468	30,941
Total For Sells				0	119,754,028	117,707,044	2,046,983

Total U S Treasury I P S 0.250% 2/15/50				0	252,320,017	250,273,033	2,046,983
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Issue: 97MSCG3C4 - Swap Client Cash Coll @ Wells

02/25/2020 19-520722	B	4,960,000.000	1.0000		- 4,960,000	4,960,000	
02/26/2020 19-520722	B	7,140,000.000	1.0000		- 7,140,000	7,140,000	
02/27/2020 19-520722	B	1,220,000.000	1.0000		- 1,220,000	1,220,000	
02/28/2020 19-520722	B	9,620,000.000	1.0000		- 9,620,000	9,620,000	
03/02/2020 19-520722	B	1,860,000.000	1.0000		- 1,860,000	1,860,000	
03/04/2020 19-520722	B	5,960,000.000	1.0000		- 5,960,000	5,960,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/06/2020 19-520722	B	7,510,000.000	1.0000		- 7,510,000	7,510,000	
03/09/2020 19-520722	B	3,840,000.000	1.0000		- 3,840,000	3,840,000	
03/10/2020 19-520722	B	16,660,000.000	1.0000		- 16,660,000	16,660,000	
03/12/2020 19-520722	B	10,160,000.000	1.0000		- 10,160,000	10,160,000	
03/13/2020 19-520722	B	16,730,000.000	1.0000		- 16,730,000	16,730,000	
03/17/2020 19-520722	B	16,240,000.000	1.0000		- 16,240,000	16,240,000	
03/23/2020 19-520722	B	4,270,000.000	1.0000		- 4,270,000	4,270,000	
03/24/2020 19-520722	B	3,190,000.000	1.0000		- 3,190,000	3,190,000	
03/30/2020 19-520722	B	4,960,000.000	1.0000		- 4,960,000	4,960,000	
04/01/2020 19-520722	B	2,130,000.000	1.0000		- 2,130,000	2,130,000	
04/02/2020 19-520722	B	4,960,000.000	1.0000		- 4,960,000	4,960,000	
04/06/2020 19-520722	B	2,500,000.000	1.0000		- 2,500,000	2,500,000	
04/08/2020 19-520722	B	60,000.000	1.0000		- 60,000	60,000	
04/14/2020 19-520722	B	1,680,000.000	1.0000		- 1,680,000	1,680,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/16/2020 19-520722	B	3,390,000.000	1.0000		- 3,390,000	3,390,000	
04/21/2020 19-520722	B	2,390,000.000	1.0000		- 2,390,000	2,390,000	
04/22/2020 19-520722	B	2,850,000.000	1.0000		- 2,850,000	2,850,000	
04/29/2020 19-520722	B	500,000.000	1.0000		- 500,000	500,000	
05/01/2020 19-520722	B	1,660,000.000	1.0000		- 1,660,000	1,660,000	
05/04/2020 19-520722	B	3,970,000.000	1.0000		- 3,970,000	3,970,000	
05/07/2020 19-520722	B	810,000.000	1.0000		- 810,000	810,000	
05/13/2020 19-520722	B	2,630,000.000	1.0000		- 2,630,000	2,630,000	
05/14/2020 19-520722	B	2,330,000.000	1.0000		- 2,330,000	2,330,000	
05/20/2020 19-520722	B	1,260,000.000	1.0000		- 1,260,000	1,260,000	
09/09/2020 19-520722	B	2,100,000.000	1.0000		- 2,100,000	2,100,000	
09/11/2020 19-520722	B	1,720,000.000	1.0000		- 1,720,000	1,720,000	
09/18/2020 19-520722	B	720,000.000	1.0000		- 720,000	720,000	
09/21/2020 19-520722	B	1,060,000.000	1.0000		- 1,060,000	1,060,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
09/22/2020 19-520722	B	1,320,000.000	1.0000		- 1,320,000	1,320,000	
09/24/2020 19-520722	B	2,670,000.000	1.0000		- 2,670,000	2,670,000	
09/30/2020 19-520722	B	490,000.000	1.0000		- 490,000	490,000	
Total For Buys				0	157,520,000	157,520,000	0
03/03/2020 19-520722	S	- 9,180,000.000	1.0000		9,180,000	9,180,000	
03/05/2020 19-520722	S	- 8,690,000.000	1.0000		8,690,000	8,690,000	
03/11/2020 19-520722	S	- 9,250,000.000	1.0000		9,250,000	9,250,000	
03/16/2020 19-520722	S	- 16,160,000.000	1.0000		16,160,000	16,160,000	
03/18/2020 19-520722	S	- 9,230,000.000	1.0000		9,230,000	9,230,000	
03/19/2020 19-520722	S	- 7,970,000.000	1.0000		7,970,000	7,970,000	
03/20/2020 19-520722	S	- 550,000.000	1.0000		550,000	550,000	
03/25/2020 19-520722	S	- 11,790,000.000	1.0000		11,790,000	11,790,000	
03/26/2020 19-520722	S	- 1,870,000.000	1.0000		1,870,000	1,870,000	
03/27/2020 19-520722	S	- 8,550,000.000	1.0000		8,550,000	8,550,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/31/2020 19-520722	S	- 4,360,000.000	1.0000		4,360,000	4,360,000	
04/03/2020 19-520722	S	- 2,600,000.000	1.0000		2,600,000	2,600,000	
04/07/2020 19-520722	S	- 9,010,000.000	1.0000		9,010,000	9,010,000	
04/09/2020 19-520722	S	- 4,850,000.000	1.0000		4,850,000	4,850,000	
04/13/2020 19-520722	S	- 2,480,000.000	1.0000		2,480,000	2,480,000	
04/15/2020 19-520722	S	- 4,200,000.000	1.0000		4,200,000	4,200,000	
04/17/2020 19-520722	S	- 660,000.000	1.0000		660,000	660,000	
04/20/2020 19-520722	S	- 4,040,000.000	1.0000		4,040,000	4,040,000	
04/23/2020 19-520722	S	- 3,850,000.000	1.0000		3,850,000	3,850,000	
04/24/2020 19-520722	S	- 80,000.000	1.0000		80,000	80,000	
04/27/2020 19-520722	S	- 1,850,000.000	1.0000		1,850,000	1,850,000	
04/28/2020 19-520722	S	- 2,380,000.000	1.0000		2,380,000	2,380,000	
04/30/2020 19-520722	S	- 3,930,000.000	1.0000		3,930,000	3,930,000	
05/05/2020 19-520722	S	- 560,000.000	1.0000		560,000	560,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/06/2020 19-520722	S	- 2,440,000.000	1.0000		2,440,000	2,440,000	
05/08/2020 19-520722	S	- 1,660,000.000	1.0000		1,660,000	1,660,000	
05/12/2020 19-520722	S	- 2,360,000.000	1.0000		2,360,000	2,360,000	
05/12/2020 19-520722	S	- 990,000.000	1.0000		990,000	990,000	
05/15/2020 19-520722	S	- 1,980,000.000	1.0000		1,980,000	1,980,000	
05/18/2020 19-520722	S	- 600,000.000	1.0000		600,000	600,000	
05/19/2020 19-520722	S	- 4,040,000.000	1.0000		4,040,000	4,040,000	
05/21/2020 19-520722	S	- 2,100,000.000	1.0000		2,100,000	2,100,000	
05/22/2020 19-520722	S	- 90,000.000	1.0000		90,000	90,000	
05/26/2020 19-520722	S	- 2,870,000.000	1.0000		2,870,000	2,870,000	
05/27/2020 19-520722	S	- 220,000.000	1.0000		220,000	220,000	
09/10/2020 19-520722	S	- 2,100,000.000	1.0000		2,100,000	2,100,000	
09/15/2020 19-520722	S	- 1,700,000.000	1.0000		1,700,000	1,700,000	
09/16/2020 19-520722	S	- 20,000.000	1.0000		20,000	20,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
09/23/2020 19-520722	S	- 1,060,000.000	1.0000		1,060,000	1,060,000	
09/25/2020 19-520722	S	- 240,000.000	1.0000		240,000	240,000	
09/28/2020 19-520722	S	- 1,800,000.000	1.0000		1,800,000	1,800,000	
09/29/2020 19-520722	S	- 1,820,000.000	1.0000		1,820,000	1,820,000	
Total For Sells				0	156,180,000	156,180,000	0
Total Swap Client Cash Coll @ Wells				0	313,700,000	313,700,000	0

Issue: 98MSCC950 - Swap Client Cash Coll @ Barclays

01/28/2020 19-520722	B	950,000.000	1.0000		- 950,000	950,000	
02/03/2020 19-520722	B	1,110,000.000	1.0000		- 1,110,000	1,110,000	
02/25/2020 19-520722	B	1,580,000.000	1.0000		- 1,580,000	1,580,000	
02/26/2020 19-520722	B	2,640,000.000	1.0000		- 2,640,000	2,640,000	
02/27/2020 19-520722	B	440,000.000	1.0000		- 440,000	440,000	
02/28/2020 19-520722	B	3,530,000.000	1.0000		- 3,530,000	3,530,000	
03/02/2020 19-520722	B	680,000.000	1.0000		- 680,000	680,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/04/2020 19-520722	B	2,320,000.000	1.0000		- 2,320,000	2,320,000	
03/06/2020 19-520722	B	2,970,000.000	1.0000		- 2,970,000	2,970,000	
03/09/2020 19-520722	B	1,580,000.000	1.0000		- 1,580,000	1,580,000	
03/10/2020 19-520722	B	6,980,000.000	1.0000		- 6,980,000	6,980,000	
03/12/2020 19-520722	B	5,180,000.000	1.0000		- 5,180,000	5,180,000	
03/13/2020 19-520722	B	9,350,000.000	1.0000		- 9,350,000	9,350,000	
03/17/2020 19-520722	B	11,750,000.000	1.0000		- 11,750,000	11,750,000	
03/19/2020 19-520722	B	5,140,000.000	1.0000		- 5,140,000	5,140,000	
03/23/2020 19-520722	B	3,530,000.000	1.0000		- 3,530,000	3,530,000	
03/24/2020 19-520722	B	2,260,000.000	1.0000		- 2,260,000	2,260,000	
03/30/2020 19-520722	B	3,130,000.000	1.0000		- 3,130,000	3,130,000	
04/01/2020 19-520722	B	1,390,000.000	1.0000		- 1,390,000	1,390,000	
04/02/2020 19-520722	B	4,230,000.000	1.0000		- 4,230,000	4,230,000	
04/06/2020 19-520722	B	1,490,000.000	1.0000		- 1,490,000	1,490,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/14/2020 19-520722	B	1,140,000.000	1.0000		- 1,140,000	1,140,000	
04/16/2020 19-520722	B	2,340,000.000	1.0000		- 2,340,000	2,340,000	
04/22/2020 19-520722	B	1,480,000.000	1.0000		- 1,480,000	1,480,000	
07/27/2020 19-520722	B	310,000.000	1.0000		- 310,000	310,000	
07/29/2020 19-520722	B	190,000.000	1.0000		- 190,000	190,000	
Total For Buys				0	77,690,000	77,690,000	0
01/29/2020 19-520722	S	- 600,000.000	1.0000		600,000	600,000	
01/31/2020 19-520722	S	- 110,000.000	1.0000		110,000	110,000	
02/04/2020 19-520722	S	- 530,000.000	1.0000		530,000	530,000	
02/05/2020 19-520722	S	- 820,000.000	1.0000		820,000	820,000	
03/03/2020 19-520722	S	- 3,530,000.000	1.0000		3,530,000	3,530,000	
03/05/2020 19-520722	S	- 3,380,000.000	1.0000		3,380,000	3,380,000	
03/11/2020 19-520722	S	- 4,610,000.000	1.0000		4,610,000	4,610,000	
03/16/2020 19-520722	S	- 7,860,000.000	1.0000		7,860,000	7,860,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/18/2020 19-520722	S	- 4,840,000.000	1.0000		4,840,000	4,840,000	
03/25/2020 19-520722	S	- 7,430,000.000	1.0000		7,430,000	7,430,000	
03/26/2020 19-520722	S	- 1,180,000.000	1.0000		1,180,000	1,180,000	
03/27/2020 19-520722	S	- 5,380,000.000	1.0000		5,380,000	5,380,000	
03/31/2020 19-520722	S	- 2,820,000.000	1.0000		2,820,000	2,820,000	
04/01/2020 19-520722	S	- 990,000.000	1.0000		990,000	990,000	
04/03/2020 19-520722	S	- 1,790,000.000	1.0000		1,790,000	1,790,000	
04/07/2020 19-520722	S	- 6,220,000.000	1.0000		6,220,000	6,220,000	
04/09/2020 19-520722	S	- 3,300,000.000	1.0000		3,300,000	3,300,000	
04/13/2020 19-520722	S	- 1,690,000.000	1.0000		1,690,000	1,690,000	
04/15/2020 19-520722	S	- 2,900,000.000	1.0000		2,900,000	2,900,000	
04/17/2020 19-520722	S	- 460,000.000	1.0000		460,000	460,000	
04/20/2020 19-520722	S	- 15,270,000.000	1.0000		15,270,000	15,270,000	
04/23/2020 19-520722	S	- 1,480,000.000	1.0000		1,480,000	1,480,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/28/2020 19-520722	S	- 310,000.000	1.0000		310,000	310,000	
07/30/2020 19-520722	S	- 190,000.000	1.0000		190,000	190,000	
Total For Sells				0	77,690,000	77,690,000	0
Total Swap Client Cash Coll @ Barclays				0	155,380,000	155,380,000	0
GRAND TOTAL				0	6,817,556,920	6,783,916,375	33,640,537

CATEGORY 4 - SINGLE TRANSACTION WITH ONE BROKER EXCEEDS 5% OF VALUE

NO TRANSACTIONS QUALIFIED FOR THIS SECTION

**SCHEDULE MB
(Form 5500)**Department of the Treasury
Internal Revenue ServiceDepartment of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

**Multiemployer Defined Benefit Plan and Certain
Money Purchase Plan Actuarial Information**This schedule is required to be filed under section 104 of the Employee
Retirement Income Security Act of 1974 (ERISA) and section 6059 of the
Internal Revenue Code (the Code).

File as an attachment to Form 5500 or 5500-SF.

OMB No. 1210-0110

2019**This Form is Open to Public
Inspection**

For calendar plan year 2019 or fiscal plan year beginning 10/01/2019 and ending 09/30/2020

Round off amounts to nearest dollar.

Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan

SOUND RETIREMENT TRUST

**B Three-digit
plan number (PN)**

001

C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SFBOARD OF TRUSTEES, SOUND RETIREMENT
TRUST**D Employer Identification Number (EIN)**

91-6069306

E Type of plan: (1) ☒ Multiemployer Defined Benefit (2) ☐ Money Purchase (see instructions)**1a** Enter the valuation date: Month 10 Day 1 Year 2019**b Assets**

(1) Current value of assets 1b(1) 2,358,885,695

(2) Actuarial value of assets for funding standard account 1b(2) 2,358,885,695

(1) Accrued liability for plan using immediate gain methods 1c(1) 3,405,144,916

(2) Information for plans using spread gain methods:

(a) Unfunded liability for methods with bases 1c(2)(a)

(b) Accrued liability under entry age normal method 1c(2)(b)

(c) Normal cost under entry age normal method 1c(2)(c)

(3) Accrued liability under unit credit cost method 1c(3) 3,405,144,916

d Information on current liabilities of the plan:

(1) Amount excluded from current liability attributable to pre-participation service (see instructions) 1d(1)

(2) "RPA '94" Information:

(a) Current liability 1d(2)(a) 5,614,700,667

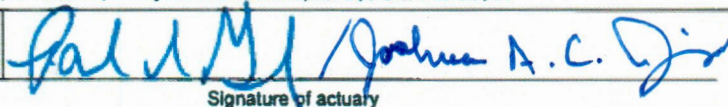
(b) Expected increase in current liability due to benefits accruing during the plan year 1d(2)(b) 55,713,578

(c) Expected release from "RPA '94" current liability for the plan year 1d(2)(c) 189,305,248

(3) Expected plan disbursements for the plan year 1d(3) 198,833,233

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

**SIGN
HERE**
Signature of actuary

PAUL L. GRAF, Joshua A. C. Davis

Type or print name of actuary

RAEL & LETSON/CHEIRON INC.

Firm name

999 THIRD AVE.
SUITE 1530
SEATTLE

WA 98104

Address of the firm

2/12/2021 / 7/12/21

Date

20-05627

Most recent enrollment number
(206) 445-1852

Telephone number (including area code)

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice, see the Instructions for Form 5500 or 5500-SF.

Schedule MB (Form 5500) 2019
v. 190130

2 Operational information as of beginning of this plan year:

a Current value of assets (see instructions)	2a	2,358,885,695
b "RPA '94" current liability/participant count breakdown:	(1) Number of participants	(2) Current liability
(1) For retired participants and beneficiaries receiving payment	21,052	2,496,371,492
(2) For terminated vested participants	41,562	1,851,811,553
(3) For active participants:		
(a) Non-vested benefits		56,283,798
(b) Vested benefits		1,210,233,824
(c) Total active	38,054	1,266,517,622
(4) Total	100,668	5,614,700,667
c If the percentage resulting from dividing line 2a by line 2b(4), column (2), is less than 70%, enter such percentage	2c	42.01 %

3 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
11/15/2019	7,484,391		05/15/2020	20,992,903	
12/15/2019	7,781,121		06/15/2020	23,530,254	
01/15/2020	7,926,671		07/15/2020	20,403,986	
02/15/2020	19,790,797		08/15/2020	21,674,162	
03/15/2020	19,174,337		09/15/2020	22,241,553	
04/15/2020	20,040,142		10/15/2020	13,985,255	
Totals ▶			3(b)	205,025,572	3(c) 0

4 Information on plan status:

a Funded percentage for monitoring plan's status (line 1b(2) divided by line 1c(3))	4a	69.3 %
b Enter code to indicate plan's status (see instructions for attachment of supporting evidence of plan's status). If entered code is "N," go to line 5	4b	C
c Is the plan making the scheduled progress under any applicable funding improvement or rehabilitation plan? ☒ Yes ☐ No		
d If the plan is in critical status or critical and declining status, were any benefits reduced (see instructions)? ☒ Yes ☐ No		
e If line d is "Yes," enter the reduction in liability resulting from the reduction in benefits (see instructions), measured as of the valuation date	4e	4,769,410
f If the rehabilitation plan projects emergence from critical status or critical and declining status, enter the plan year in which it is projected to emerge. If the rehabilitation plan is based on forestalling possible insolvency, enter the plan year in which insolvency is expected and check here ☐	4f	2023

5 Actuarial cost method used as the basis for this plan year's funding standard account computations (check all that apply):

- a** ☐ Attained age normal
b ☐ Entry age normal
c ☒ Accrued benefit (unit credit)
d ☐ Aggregate
e ☐ Frozen initial liability
f ☐ Individual level premium
g ☐ Individual aggregate
h ☐ Shortfall
i ☐ Other (specify):

j If box h is checked, enter period of use of shortfall method	5j	
k Has a change been made in funding method for this plan year?		☐ Yes ☒ No
l If line k is "Yes," was the change made pursuant to Revenue Procedure 2000-40 or other automatic approval?		☐ Yes ☐ No
m If line k is "Yes," and line l is "No," enter the date (MM-DD-YYYY) of the ruling letter (individual or class) approving the change in funding method	5m	

6 Checklist of certain actuarial assumptions:

a Interest rate for "RPA '94" current liability.....	6a	3.02 %
	Pre-retirement	Post-retirement
b Rates specified in insurance or annuity contracts.....	☐ Yes ☐ No ☒ N/A	☐ Yes ☐ No ☒ N/A
c Mortality table code for valuation purposes:		
(1) Males	6c(1)	A
(2) Females	6c(2)	A
d Valuation liability interest rate	6d	6.50 %
e Expense loading	6e	28.3 % ☐ N/A ☒ N/A
f Salary scale	6f	% ☒ N/A
g Estimated investment return on actuarial value of assets for year ending on the valuation date	6g	2.9 %
h Estimated investment return on current value of assets for year ending on the valuation date	6h	7.4 %

7 New amortization bases established in the current plan year:

(1) Type of base	(2) Initial balance	(3) Amortization Charge/Credit
1	89,946,249	8,982,191
3	-4,769,410	-476,282

8 Miscellaneous information:

a If a waiver of a funding deficiency has been approved for this plan year, enter the date (MM-DD-YYYY) of the ruling letter granting the approval.....	8a	
b(1) Is the plan required to provide a projection of expected benefit payments? (See the instructions.) If "Yes," attach a schedule.....		☒ Yes ☐ No
b(2) Is the plan required to provide a Schedule of Active Participant Data? (See the instructions.) If "Yes," attach a schedule.....		☒ Yes ☐ No
c Are any of the plan's amortization bases operating under an extension of time under section 412(e) (as in effect prior to 2008) or section 431(d) of the Code?		☒ Yes ☐ No
d If line c is "Yes," provide the following additional information:		
(1) Was an extension granted automatic approval under section 431(d)(1) of the Code?		☒ Yes ☐ No
(2) If line 8d(1) is "Yes," enter the number of years by which the amortization period was extended	8d(2)	5
(3) Was an extension approved by the Internal Revenue Service under section 412(e) (as in effect prior to 2008) or 431(d)(2) of the Code?		☐ Yes ☒ No
(4) If line 8d(3) is "Yes," enter number of years by which the amortization period was extended (not including the number of years in line (2)).....	8d(4)	
(5) If line 8d(3) is "Yes," enter the date of the ruling letter approving the extension	8d(5)	
(6) If line 8d(3) is "Yes," is the amortization base eligible for amortization using interest rates applicable under section 6621(b) of the Code for years beginning after 2007?		☐ Yes ☐ No
e If box 5h is checked or line 8c is "Yes," enter the difference between the minimum required contribution for the year and the minimum that would have been required without using the shortfall method or extending the amortization base(s).....	8e	258,216,884

9 Funding standard account statement for this plan year:**Charges to funding standard account:**

a Prior year funding deficiency, if any	9a	0
b Employer's normal cost for plan year as of valuation date.....	9b	31,730,023
c Amortization charges as of valuation date:		
(1) All bases except funding waivers and certain bases for which the amortization period has been extended	9c(1)	1,522,286,000
(2) Funding waivers	9c(2)	
(3) Certain bases for which the amortization period has been extended	9c(3)	
d Interest as applicable on lines 9a, 9b, and 9c.....	9d	14,169,291
e Total charges. Add lines 9a through 9d.....	9e	232,158,380

Credits to funding standard account:

f Prior year credit balance, if any.....	9f	165,097,157
g Employer contributions. Total from column (b) of line 3.....	9g	205,025,572
	Outstanding balance	
h Amortization credits as of valuation date.....	9h	310,929,622
i Interest as applicable to end of plan year on lines 9f, 9g, and 9h	9i	18,794,768

j Full funding limitation (FFL) and credits:

(1) ERISA FFL (accrued liability FFL)	9j(1)	1,323,887,017
(2) "RPA '94" override (90% current liability FFL)	9j(2)	2,775,505,195
(3) FFL credit.....	9j(3)	0
k (1) Waived funding deficiency.....	9k(1)	0
(2) Other credits	9k(2)	0
l Total credits. Add lines 9f through 9i, 9j(3), 9k(1), and 9k(2).....	9l	440,895,244
m Credit balance: If line 9l is greater than line 9e, enter the difference.....	9m	208,736,864
n Funding deficiency: If line 9e is greater than line 9l, enter the difference	9n	

9o Current year's accumulated reconciliation account:

(1) Due to waived funding deficiency accumulated prior to the 2019 plan year	9o(1)	0
(2) Due to amortization bases extended and amortized using the interest rate under section 6621(b) of the Code:		
(a) Reconciliation outstanding balance as of valuation date	9o(2)(a)	0
(b) Reconciliation amount (line 9c(3) balance minus line 9o(2)(a))	9o(2)(b)	0
(3) Total as of valuation date	9o(3)	0
10 Contribution necessary to avoid an accumulated funding deficiency. (See instructions.)	10	0
11 Has a change been made in the actuarial assumptions for the current plan year? If "Yes," see instructions.....	☒ Yes ☐ No	