

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 <div style="text-align: center; font-size: 1.2em; font-weight: bold;">2020</div> This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2020 or fiscal plan year beginning <u>01/01/2020</u> and ending <u>12/31/2020</u>	
A This return/report is for:	☒ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☐ a single-employer plan ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☒
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)

Part II	Basic Plan Information —enter all requested information				
1a Name of plan <u>NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS RETIREMENT AND TRUST</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>002</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>06/15/1970</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>002</u>	1c Effective date of plan <u>06/15/1970</u>	
1b Three-digit plan number (PN) ▶	<u>002</u>				
1c Effective date of plan <u>06/15/1970</u>					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>BOARD OF TRUSTEES NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS RETIREMENT PLAN AND TRUST</u> <u>7570 CAPLE BLVD STE B</u> <u>NORTHWOOD, OH 43619</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>34-6668665</u></td> </tr> <tr> <td>2c Plan Sponsor's telephone number <u>419-662-1388</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>238220</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>34-6668665</u>	2c Plan Sponsor's telephone number <u>419-662-1388</u>	2d Business code (see instructions) <u>238220</u>	
2b Employer Identification Number (EIN) <u>34-6668665</u>					
2c Plan Sponsor's telephone number <u>419-662-1388</u>					
2d Business code (see instructions) <u>238220</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/06/2021	ERIC OSBORN
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/06/2021	PETE VAVRINEK
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2020)
v. 200204

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN	
		3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN	
5 Total number of participants at the beginning of the plan year		5	4684
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).			
a(1) Total number of active participants at the beginning of the plan year.....		6a(1)	4387
a(2) Total number of active participants at the end of the plan year		6a(2)	4528
b Retired or separated participants receiving benefits.....		6b	20
c Other retired or separated participants entitled to future benefits		6c	251
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d	4799
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e	55
f Total. Add lines 6d and 6e		6f	4854
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	4467
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7	72
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2C 2G			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2020 Form M-1 annual report. If the plan was not required to file the 2020 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2020
		This Form is Open to Public Inspection.

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020		
A Name of plan NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS RETIREMENT AND TRUST	B Three-digit plan number (PN) ▶	002
C Plan sponsor's name as shown on line 2a of Form 5500 BOARD OF TRUSTEES NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS	D Employer Identification Number (EIN) 34-6668665	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. ☐ Yes ☒ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENT INSTITUTIONAL OP

100 CROSBY PKWY
COVINGTON, KY 41015

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
64 65 71	NONE	162718	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

BENESYS, INC.

700 TOWER DR STE. 300
TROY, MI 48098

38-2383171

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
13	NONE	42000	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

MARQUETTE ASSOCIATES INC.

180 N. LASALLE, STE 3500
CHICAGO, IL 60601

36-3485298

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
70	NONE	45000	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

NOVARA TESIJA

888 W BIG BEAVER STE 600
TROY, MI 48084

38-3507129

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29	NONE	38788	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

STEFANSKY, HOLLOWAY, & NICHOLS

22260 HAGGERTY RD, STE350
NORTHVILLE, MI 48167

38-2388845

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10	NONE	9300	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

TITUS & URBANSKI, INC.

1540 S HOLLAND-SYLVANIA
MAUMEE, OH 43537

34-1695540

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10	AUDITOR	15300	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
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(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2020 This Form is Open to Public Inspection
For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020		
A Name of plan NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS RETIREMENT AND TRUST	B Three-digit plan number (PN) ►	002
C Plan sponsor's name as shown on line 2a of Form 5500 BOARD OF TRUSTEES NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS	D Employer Identification Number (EIN) 34-6668665	

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a	89084	85316
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	3192708	1579520
(2) Participant contributions.....	1b(2)		
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	1022524	863839
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)	3007159	5851032
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	294013212	320825148
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)		

		(a) Beginning of Year	(b) End of Year
1d Employer-related investments:			
(1) Employer securities.....	1d(1)		
(2) Employer real property.....	1d(2)		
e Buildings and other property used in plan operation	1e	2837	2504
f Total assets (add all amounts in lines 1a through 1e)	1f	301327524	329207359

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h	391046	402681
i Acquisition indebtedness.....	1i		
j Other liabilities.....	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	391046	402681

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	300936478	328804678
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	12264756	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers).....	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		12264756
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	6273	
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans.....	2b(1)(E)		
(F) Other	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		6273
(2) Dividends: (A) Preferred stock.....	2b(2)(A)		
(B) Common stock	2b(2)(B)	45840	
(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	17028330	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		17074170
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	20747848	
(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	20036042	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		711806
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	26507374	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		26507374

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		358375
c Other income	2c		
d Total income. Add all income amounts in column (b) and enter total.....	2d		56922754

Expenses**e** Benefit payment and payments to provide benefits:

(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	28558101	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		28558101
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees	2i(1)	99088	
(2) Contract administrator fees	2i(2)	19892	
(3) Investment advisory and management fees	2i(3)	162718	
(4) Other.....	2i(4)	214755	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		496453
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		29054554

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		27868200
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☐ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **TITUS & URBANSKI INC**

(2) EIN: **34-1695540**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)		X	
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		X	
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

<div>SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Retirement Plan Information</div> <div>This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500.</div>	<div>OMB No. 1210-0110</div> <div>2020</div> <div>This Form is Open to Public Inspection.</div>
---	--	---

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS RETIREMENT AND TRUST	B Three-digit plan number (PN) ▶ 002
C Plan sponsor's name as shown on line 2a of Form 5500 BOARD OF TRUSTEES NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS	D Employer Identification Number (EIN) 34-6668665

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): _____ Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	798

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
----------------	---

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☒ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a	12264756	
b Enter the amount contributed by the employer to the plan for this plan year	6b	12264756	
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....	6c	0	
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☒ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☐ N/A

Part III	Amendments
-----------------	-------------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
--	-----------------------------------	-----------------------------------	-------------------------------	-----------------------------

Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
----------------	---

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2020
v. 200204

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:		
a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....	14a	
b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....	14b	
c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....	14c	
15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:		
a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	
16 Information with respect to any employers who withdrew from the plan during the preceding plan year:		
a Enter the number of employers who withdrew during the preceding plan year	16a	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	
17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐		

Part VI	Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans
----------------	---

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐	
19 If the total number of participants is 1,000 or more, complete lines (a) through (c)	
a Enter the percentage of plan assets held as: Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%	
b Provide the average duration of the combined investment-grade and high-yield debt: ☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more	
c What duration measure was used to calculate line 19(b)? ☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____	
20 PBGC missed contribution reporting requirements. If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.	
a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☒ No	
b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:	
☐ Yes.	
☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.	
☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.	
☐ No. Other. Provide explanation _____	

NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS
RETIREMENT PLAN AND TRUST

Financial Statements and Supplemental Schedules

Years Ended December 31, 2020 and 2019

With Independent Auditor's Report

TITUS & URBANSKI, INC.
CERTIFIED PUBLIC ACCOUNTANTS
MAUMEE, OHIO

NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS
RETIREMENT PLAN AND TRUST

Financial Statements and Supplemental Schedules
Years Ended December 31, 2020 and 2019
With Independent Auditor's Report

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CERTIFIED PUBLIC ACCOUNTANTS

Qinghong Li, CPA

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INDEPENDENT AUDITOR'S REPORT

Board of Trustees

Northwestern Ohio Plumbers and Pipefitters

Retirement Plan and Trust

Northwood, Ohio

We have audited the accompanying financial statements of Northwestern Ohio Plumbers and Pipefitters Retirement Plan and Trust (the Plan), which comprise the statements of net assets available for benefits as of December 31, 2020 and 2019, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Plan's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by Plan management, as well as evaluating the overall presentation of the financial statements.



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We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for benefits of Northwestern Ohio Plumbers and Pipefitters Retirement Plan and Trust as of December 31, 2020 and 2019, and the changes in net assets available for benefits for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Report on Supplemental Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental Schedule H, line 4i - Schedule of Assets (Held at End of Year) as of December 31, 2020, is presented for the purpose of additional analysis and is not a required part of the financial statements but are supplemental information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. The supplemental Schedules of Administrative Expense are presented for the purpose of additional analysis and is not a required part of the financial statements. Such information is the responsibility of the Plan's management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

Titus & Urbanski Inc.

Certified Public Accountants

Titus & Urbanski, Inc.

Maumee, OH

September 30, 2021



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Michigan Association of Certified Public Accountants



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NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS
RETIREMENT PLAN AND TRUST

Statements of Net Assets Available for Benefits

Assets

	December 31,	
	2020	2019
Investments at Fair Value	\$ 314,849,011	\$ 298,042,895
Investments at Contract Value	12,261,556	-
Receivables - Employers' Contributions	1,579,520	3,192,708
Cash	85,316	89,084
Office Equipment, at Cost, Net of Accumulated Depreciation of \$31,824 and \$31,489, Respectively	2,504	2,837
Total Assets	<u>328,777,907</u>	<u>301,327,524</u>

Liabilities

Accounts Payable	<u>402,681</u>	<u>391,046</u>
Total Liabilities	<u>402,681</u>	<u>391,046</u>
Net Assets Available for Benefits	<u>\$ 328,375,226</u>	<u>\$ 300,936,478</u>

NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS
RETIREMENT PLAN AND TRUST

Statements of Changes in Net Assets Available for Benefits

Additions

	Years Ended December 31,	
	2020	2019
Participating Employer Contributions	\$ 12,264,756	\$ 18,884,176
Rollover Contributions	-	39,882
Investment Income (Loss)		
Interest and Dividends	16,650,992	16,894,025
Net Appreciation (Depreciation) of Investments	27,577,554	35,430,723
Total Investment Income (Loss)	<u>44,228,546</u>	<u>52,324,748</u>
Less: Investment Manager and Trustee Fees	<u>(162,718)</u>	<u>(444,733)</u>
Net Investment Income (Loss)	44,065,828	51,880,015
Total Additions	<u>56,330,584</u>	<u>70,804,073</u>

Deductions

Benefits and Withdrawals	28,558,101	12,404,780
Administrative Expense	<u>333,735</u>	<u>348,499</u>
Total Deductions	<u>28,891,836</u>	<u>12,753,279</u>
Net Increase (Decrease) in Net Assets Available for Benefits	27,438,748	58,050,794
Net Assets Available for Benefits		
Beginning of Year	300,936,478	242,885,684
End of Year	<u>\$ 328,375,226</u>	<u>\$ 300,936,478</u>

NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS
RETIREMENT PLAN AND TRUST

Notes To Financial Statements
Years Ended December 31, 2020 and 2019

Note 1 - Description of the Plan

The following description of the Northwestern Ohio Plumbers and Pipefitters Retirement Plan and Trust is provided for general information purposes only. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

General

The Northwestern Ohio Plumbers and Pipefitters Retirement Plan and Trust (Plan) is a multiemployer defined contribution pension plan covering eligible members of the Northwestern Ohio Plumbers and Pipefitters Local No. 50 Union (Union) and is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended (ERISA).

Contributions

Contributions are made by employers pursuant to terms and conditions of collective bargaining agreements with the Union, based upon negotiated rates per hour paid. The contributing employers are primarily located in Northwest Ohio. Therefore, the Plan is affected by the economic conditions of the region.

Participant Accounts

Individual account balances are maintained for each participant and are participant directed. The account value includes the employer contributions earned by the participant and received by the Plan plus or minus the earnings and gains and losses from the individually selected investments of the Plan, net of expenses. Participants are 100% vested in their individual accounts upon completion of one hour paid.

Normal Retirement Benefits

Participants qualify for normal retirement upon attaining age 65. At this date, a participant's individual account balance can be used to purchase a "joint and survivor annuity" contract from an insurance company (or a "single life annuity" contract if the participant is not married) which will provide a monthly benefit payment for the rest of the participant's life and, upon the death of the participant, will provide a reduced monthly benefit to the participant's surviving spouse. A retired participant can elect instead to receive benefits in the form of a lump sum payment or in monthly payments directly from the participant's individual account balance until the account balance is exhausted.

If a participant's individual account balance is not more than \$5,000, the annuity contract benefit option is not available.

NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS
RETIREMENT PLAN AND TRUST

Notes To Financial Statements
Years Ended December 31, 2020 and 2019

Note 1 - Description of the Plan (continued)

Early Retirement Benefits

Participants qualify for early retirement upon attaining 55 years of age. Early retirement benefits are paid in the same manner as normal retirement benefits, with participants able to elect an annuity contract benefit, a lump-sum payment, or monthly payments until the exhaustion of the retired participant's account balance.

If a participant's individual account balance is not more than \$5,000 at the date of early retirement, the annuity contract benefit option is not available.

Note 2 - Summary of Significant Accounting Policies

Basis of Accounting

The accompanying financial statements of Northwestern Ohio Plumbers and Pipefitters Retirement Plan and Trust are presented on the accrual basis of accounting.

Management Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Contributions

Contributions are accrued when earned by participants as documented in employer reports.

Investment Valuation and Income

Investments are reported at fair value except for fully benefit responsive investment contracts, which are reported at contract value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for a discussion of fair value measurements and Note 5 for contract value investments.

Purchases and sales of securities are recorded on a trade date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS
RETIREMENT PLAN AND TRUST

Notes To Financial Statements
Years Ended December 31, 2020 and 2019

Note 2 - Summary of Significant Accounting Policies (continued)

Benefits

Benefits are recorded when paid.

Employer Contributions Receivable

Employer contributions receivable represents amounts due from employers that were received subsequent to year end. Therefore, the carrying amount of these receivables is not reduced by an allowance for amounts that will not be collected. In addition, it is impractical to estimate revenue recognition for amounts due but erroneously unreported by employers.

Administrative Expense

The Plan participates in a cost-sharing agreement with Northwestern Ohio Plumbers and Pipefitters Pension Plan, the Northwestern Ohio Plumbers and Pipefitters Active Health and Welfare Plan, and the Northwestern Ohio Plumbers and Pipefitters Retiree Health and Welfare Plan ("Pension Plan", "Active Health and Welfare Plan", and "Retiree Plan", respectively). Certain shared operating expenses, and wages and payroll taxes are paid by the Active Health and Welfare Plan, which are reimbursed by the Plan, the Pension Plan, and the Retiree Plan. Other administrative expenses are paid by the Plan and recorded when incurred.

Note 3 - Investments

The Plan's investments were held and managed by Fidelity Management Trust Company. Participants may direct the investment of their accounts among several available investment fund options as determined by the Trustees. These options are designed to provide varying degrees of risk and expected returns. Participants may also invest up to 60% of their account balance in any security or mutual fund that is publicly traded or traded on a national security exchange.

During the years ended December 31, 2020 and 2019, the Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) appreciated (depreciated) in value by \$27,577,555 and \$35,430,723.

Note 4 - Fair Value Measurements

Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) topic, Fair Value Measurements and Disclosures, provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority

NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS
RETIREMENT PLAN AND TRUST

Notes To Financial Statements
Years Ended December 31, 2020 and 2019

Note 4 - Fair Value Measurements (continued)

to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy are described as follows:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the company can access.

Level 2: Inputs to the valuation methodology include:

- quoted prices for similar assets or liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted prices that are observable for the asset or liability;
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets at fair value. There have been no changes in the methodologies used at December 31, 2020 and 2019.

Self-Directed Brokerage Accounts: Valuation of investments were derived from quoted market prices.

Mutual Funds and Money Market Funds: Valued at the net asset value (NAV) of shares held by the Plan at year end.

The preceding methods may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS
RETIREMENT PLAN AND TRUST

Notes To Financial Statements
Years Ended December 31, 2020 and 2019

Note 4 - Fair Value Measurements (continued)

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2020.

	Investments at Fair Value as of December 31, 2020	
	Level 1	Total
Mutual Funds	\$ 301,023,335	\$ 301,023,335
Self-Directed Brokerage Accounts	13,825,676	13,825,676
Total Investments at Fair Value	<u>\$ 314,849,011</u>	<u>\$ 314,849,011</u>

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2019.

	Investments at Fair Value as of December 31, 2019	
	Level 1	Total
Mutual Funds	\$ 286,933,455	\$ 286,933,455
Self-Directed Brokerage Accounts	11,109,440	11,109,440
Total Investments at Fair Value	<u>\$ 298,042,895</u>	<u>\$ 298,042,895</u>

Note 5 - Stable Value Fund

The Plan holds shares of the Fidelity Fund Managed Income Portfolio which is a stable value fund that invests in fully benefit responsive investment contracts issued by insurance companies and other financial institutions, fixed income securities, and money market funds and meets the criteria to be reported at contract value. Fidelity Management Trust Company is the investment manager and is not insured or guaranteed by the manager, plan sponsors, trustees or the FDIC. The Fund is valued at contract value. The total contract value is \$12,261,556 at December 31, 2020 and is not included in the fair value level hierarchy in Note 4. The fair value adjustment is \$429,452 for the year ended December 31, 2020 and is reported on the Form 5500 as required.

The contracts provide for the payment of certain withdrawals and exchanges at book value during the terms of the contracts. In order to maintain the contracts issuers' promise to pay such withdrawals and exchanges at book value, the contracts subject the fund and its participants to certain restrictions. Withdrawals prompted by certain events may be paid at the market value of the fund's securities, which may be less than the book value balance. Certain investment options offered by the plan may be deemed by the contract issuers to "compete" with this fund. The terms of the contracts prohibit a direct exchange from this fund to such competing funds, and an exchange to a non-competing fund for 90 days is required. The fund permits termination of the contract prior to the scheduled maturity date as a result of specific occurrences as defined.

NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS
RETIREMENT PLAN AND TRUST

Notes To Financial Statements
Years Ended December 31, 2020 and 2019

Note 6 - Reconciliation to Form 5500

The Plan invests in a Stable Value Fund which is a fully benefit responsive contract required to be reported at fair value on the Form 5500. The following is a reconciliation of net assets available for benefits per the financial statements to the Form 5500:

	12-31-2020
Net assets available for benefits per the financial statements	\$ 328,375,226
Add: adjustment for fair value reporting stable value fund	429,452
Net assets available for benefits per the Form 5500	<u>\$ 328,804,678</u>

The following is a reconciliation of changes in net assets per the financial statements to the Form 5500 for the year ended December 31, 2020:

	12-31-2020
4104.00 Change in net assets, per the financial statements	\$ 27,438,748
Change in fair value for stable value fund	429,452
Change in net assets, per 5500 year ended 12-31-2020	<u>\$ 27,868,200</u>

Note 7 - Related Party

The Plan, along with the Retiree Health and Welfare Plan, the Active Health and Welfare Plan, and the Pension Plan, leases its current office facilities from the Union on a month-to-month basis. Rent expense for the years ended December 31, 2020 and 2019 was \$4,104 and \$6,840, respectively.

The Plans participate in a cost expense-sharing agreement with related Plans (see Note 2). The amounts due to the related Plans are \$399,778 and \$300,512 as of December 31, 2020 and 2019, respectively, and are included in Accounts Payable.

Note 8 - Federal Income Taxes

The Plan obtained a favorable determination letter dated January 20, 2016 from the Internal Revenue Service stating that the Plan, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan has been amended since receiving the determination letter. The Plan administrator and the Plan's legal counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements for the Internal Revenue Code. Therefore, they believe that the Plan continues to qualify and operate in accordance with the applicable provisions of the Internal Revenue Code.

The Plan has determined that there are no uncertain tax positions that require disclosure in these financial statements under the FASB Accounting Standards Codification topic Income Taxes.

NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS
RETIREMENT PLAN AND TRUST

Notes To Financial Statements
Years Ended December 31, 2020 and 2019

Note 9 - Plan Termination

The Plan may be terminated by joint agreement between participating employers and the Union, subject to the provisions set forth in ERISA. In the event of Plan termination, participants will remain 100 percent vested in their accounts.

Note 10 - Risks and Uncertainties

The Plan invests in various investments. These investments are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with certain investments, it is at least reasonably possible that changes in the values of investments will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

During 2020, an outbreak of a novel strain of coronavirus (COVID-19) disrupted supply chains and affected production and sales across a range of industries. The extent of the impact of COVID-19 on the Plan's operational and investment performance will depend on certain developments, including the duration and spread of the outbreak. The impact to the Plan cannot be predicted, and the extent to which COVID-19 may impact the statement of net assets available for benefits is uncertain at this time.

Note 11 - Concentration of Credit Risk

The Plan maintains deposits in federally insured financial institutions. At times, these deposits exceed federally insured limits. Management monitors the soundness of these financial institutions and does not believe it is exposed to significant credit risk with respect to its deposit accounts.

Note 12 - Plan Amendments

Effective January 1, 2020, the Plan was amended to comply with the Setting Every Community Up for Retirement Enhancement Act (SECURE Act) changing the required minimum distribution age from 70 1/2 to 72, among other changes.

Effective May 14, 2020 through December 31, 2020, the Plan was amended to provide for a temporary COVID-19 hardship distribution up to \$25,000.

Note 13 - Subsequent Events

The Plan has evaluated subsequent events through September 30, 2021, the date the financial statements were available to be issued. There were no subsequent events that required adjustment to the financial statements or additional disclosure.

SUPPLEMENTAL SCHEDULES

NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS
RETIREMENT PLAN AND TRUST

Schedules of Administrative Expense

	Years Ended December 31,	
	2020	2019
Salaries, Payroll Taxes and Benefits	116,329	112,822
Consulting Fees	45,000	41,667
Seminars and Meetings	10,916	22,087
Legal	38,788	25,539
Data Processing	22,108	22,108
Payroll Audit Fees	9,300	16,008
Administrative Manager	19,892	19,892
Accounting	15,300	20,700
Postage and Printing	20,514	20,602
Equipment Maintenance	4,217	16,288
Insurance and Bonding	9,328	9,814
Rent	4,104	6,840
Office Expense	17,606	13,487
Depreciation	333	645
Total Administrative Expense	<u>\$ 333,735</u>	<u>\$ 348,499</u>

NORTHWESTERN OHIO PLUMBERS AND PIPEFITTERS
RETIREMENT PLAN AND TRUST

EIN #34-6668665

Plan #002

Schedule H, line 4i - Schedule of Assets (Held at End of Year)
(Prepared Pursuant to Requirements of the Employee Retirement Income Security Act of 1974)

The enclosed Fidelity Management Trust Company Summary of Net Assets
Statements (Pages 1 and 1-6) are incorporated herein by reference.

As Of December 31, 2020

(a)	(b)	(c)	(d)	(e)
	Identity of issuer, borrower, lessor or similar party	Decription of investment including maturity, date, rate of interest, collateral, par or maturity value	Cost	Current Value
*		Mutual Funds		\$ 301,023,335
*		Self-Directed Brockerage Accounts		13,825,676
*		Fidelity Fund Managed Income Portfolio		12,261,556
		Total Investments		<u>\$ 327,110,567</u>

* Denotes party in interest

Northwestern Ohio Plumbers and Pipefitters Retirement Plan and Trust
SUMMARY OF NET TRUST ASSETS
Total Plan Assets as of 12/31/2020

<u>Fund Name</u>	<u>Share Balance 12/31/2020</u>	<u>Historical Cost</u>	<u>Price</u>	<u>Total Market Value</u>
BROKERAGELINK		\$0.00		
EXTERNAL FUND		\$5,357,302.54		\$6,015,634.50
COMMON STOCK		\$3,738,550.72		\$5,851,032.13
FIDELITY FUND		\$871,511.85		\$1,009,411.24
CASH		\$798,274.53		\$798,274.53
CERT. OF DEP.		\$65,000.00		\$65,564.90
UNIT		\$67,015.48		\$52,269.20
RIGHTS/WARRANTS		\$21,463.57		\$27,010.40
OPTION		\$3,400.69		\$2,525.00
OTHER		\$3,953.66		\$3,953.66
TRP EQUITY INCOME	87,069.657	\$2,658,703.55	\$31.24	\$2,720,056.08
VANGUARD INST INDEX	35,504.188	\$8,074,978.65	\$331.47	\$11,768,573.20
BR HIGH EQ INC IS	40,844.482	\$1,206,442.64	\$25.99	\$1,061,548.09
VANG STR SMCP EQ INV	46,539.458	\$1,507,616.85	\$35.34	\$1,644,704.45
VANG EXT MKT IDX ADM	25,091.763	\$2,094,717.62	\$124.75	\$3,130,197.43
AF GROWTH OF AMER R5	133,864.384	\$6,836,624.12	\$67.49	\$9,034,507.28
VANG TOT INTL STK AD	44,264.271	\$1,224,575.47	\$32.46	\$1,436,818.24
BAIRD AGGR BOND INST	564,940.682	\$6,330,110.94	\$11.77	\$6,649,351.83
DFA INTL SMALL CO I	37,251.106	\$677,294.71	\$20.28	\$755,452.43
DFA LARGE CAP INTL I	46,442.260	\$978,396.89	\$25.03	\$1,162,449.77
* FID TREASURY ONLY MM	164,985.070	\$164,985.07	\$1.00	\$164,985.07
* MIP CL 1	12,261,556.120	\$12,261,556.12	\$1.00	\$12,261,556.12
* FID FREEDOM INC K	159,761.201	\$1,879,715.25	\$12.19	\$1,947,489.04
* FID FREEDOM 2005 K	316,005.764	\$3,893,754.51	\$13.04	\$4,120,715.16
* FID FREEDOM 2010 K	561,494.727	\$8,894,506.19	\$16.29	\$9,146,749.10
* FID FREEDOM 2015 K	1,109,398.176	\$14,589,138.39	\$13.47	\$14,943,593.43
* FID FREEDOM 2020 K	1,364,936.221	\$22,091,196.12	\$17.14	\$23,395,006.83
* FID FREEDOM 2025 K	2,741,470.479	\$38,564,235.70	\$15.42	\$42,273,474.79
* FID FREEDOM 2030 K	2,076,820.179	\$36,315,264.36	\$19.26	\$39,999,556.65
* FID FREEDOM 2035 K	2,487,051.672	\$36,316,883.16	\$16.55	\$41,160,705.17
* FID FREEDOM 2040 K	3,172,742.764	\$32,496,227.99	\$11.75	\$37,279,727.48
* FID FREEDOM 2045 K	1,785,989.630	\$20,673,238.93	\$13.43	\$23,985,840.73
* FID FREEDOM 2050 K	1,023,020.493	\$11,911,102.20	\$13.54	\$13,851,697.48
* FID FREEDOM 2055 K	347,474.327	\$4,571,975.08	\$15.56	\$5,406,700.53
* FID FREEDOM 2060 K	274,387.871	\$3,236,178.23	\$14.07	\$3,860,637.34
* FID FREEDOM 2065 K	9,903.007	\$105,183.91	\$12.40	\$122,797.29
OUTSTANDING LOAN BALANCE				\$0.00
NET ASSETS 12/31/2020:				\$327,110,566.57

* Denotes party in interest

Northwestern Ohio Plumbers and Pipefitters Retirement Plan
and Trust

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SUMMARY OF NET TRUST ASSETS

TOTAL PLAN ASSETS AS OF 12/31/2020

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
CASH					
316067107	FIDELITY CASH RESERVES CERT. OF DEP.	798,274.530	\$1.00	\$798,274.53	\$798,274.53
15201QCE5	CENTERSTATE BK FLA NA WINTERHA CD	3,000.000	\$1.00	\$3,005.10	\$3,000.00
17312QN62	CITIBANK NATIONAL ASSOCIATION CD	3,000.000	\$1.05	\$3,137.70	\$3,000.00
17312QN96	CITIBANK NATIONAL ASSOCIATION CD	3,000.000	\$1.08	\$3,235.80	\$3,000.00
33847E2P1	FLAGSTAR BK FSB TROY MICH CD	3,000.000	\$1.01	\$3,033.60	\$3,000.00
38081GAB2	GOLDEN BANK NATIONAL ASSN CD	3,000.000	\$1.00	\$3,002.40	\$3,000.00
38081GAE6	GOLDEN BANK NATIONAL ASSN CD	3,000.000	\$1.00	\$3,001.50	\$3,000.00
52168UHL9	LEADER BK NATL ASSN CD	10,000.000	\$1.00	\$10,000.00	\$10,000.00
38081GAG1	GOLDEN BANK NATIONAL ASSN CD	3,000.000	\$1.00	\$3,001.50	\$3,000.00
38081GAH9	GOLDEN BANK NATIONAL ASSN CD	3,000.000	\$1.00	\$3,007.50	\$3,000.00
38149MLZ4	GOLDMAN SACHS BK USA CD	3,000.000	\$1.02	\$3,050.40	\$3,000.00
58733AEX3	MERCANTIL COMMERCEBANK N A	3,000.000	\$1.01	\$3,042.30	\$3,000.00
70212VAB4	PARTNERS BK HELENA ARK CD	3,000.000	\$1.01	\$3,028.50	\$3,000.00
72345S3Z5	PINNACLE BK NASHVILLE TENN CD	3,000.000	\$1.00	\$3,005.70	\$3,000.00
72663QE70	PLAINSCAPITAL BK LUBBOCK TX CD	3,000.000	\$1.00	\$3,009.30	\$3,000.00
78658RDY4	SAFRA NATL BANK NEW YORK NY CD	6,000.000	\$1.00	\$6,002.40	\$6,000.00
940637M21	WASHINGTON TR CO WESTERLY R I CD	4,000.000	\$1.00	\$4,000.00	\$4,000.00
06251A2R0	BANK HAPOALIM B M NEW YORK CD	3,000.000	\$1.00	\$3,001.20	\$3,000.00
07371AES8	BEAL BK PLANO TEX CD	3,000.000	\$1.00	\$3,000.00	\$3,000.00
COMMON STOCK					
G06242104	ATLASSIAN CORPORATION PLC COM	4.000	\$233.87	\$935.48	\$544.24
G4645C208	HUDSON CAP INC SHS NEW	7,000.000	\$3.62	\$25,340.00	\$16,960.14
G65431101	NOBLE CORP PLC COM SHS	945.000	\$0.02	\$23.63	\$383.96
G66721104	NORWEGIAN CRUISE LINE HLDGS LTD COM	1,250.000	\$25.43	\$31,787.50	\$13,267.51
G6674U108	NOVOCURE LTD COM USD0.00	17.000	\$173.04	\$2,941.68	\$1,022.04
G85158106	STONECO LTD COM USD0.000079365 CLASS	31.117	\$83.92	\$2,611.34	\$1,000.00
G8857S116	THUNDER BRIDGE ACQUISITION II LTD	1,002.000	\$13.22	\$13,246.44	\$11,475.37
G89479102	TORM PLC SER A USD0.01	113.578	\$7.05	\$800.72	\$2,096.10
G9402V109	VALARIS PLC SHS CLASS A	64,900.000	\$0.05	\$3,257.98	\$11,850.65
L44385109	GLOBANT SA USD1.20	4.000	\$217.61	\$870.44	\$371.86
M4R82T106	FIVERR INTERNATIONAL LTD COM NPV	8.873	\$195.10	\$1,731.12	\$999.93
M84116108	SILICOM LTD	135.316	\$41.85	\$5,662.97	\$4,299.57
N00985106	AERCAP HOLDINGS N V SHS	80.000	\$45.58	\$3,646.40	\$2,371.33
N07059210	ASML HOLDING NV EUR0.09 NY REG 2012	8.022	\$487.72	\$3,912.49	\$2,977.50
N14506104	ELASTIC N V COM EUR0.01	10.000	\$146.13	\$1,461.30	\$820.70
N31738102	FIAT CHRYSLER AUTOMOBILES NV	398.454	\$18.09	\$7,208.03	\$6,379.63
V7780T103	ROYAL CARRIBBEAN CRUISES LTD	435.000	\$74.69	\$32,490.15	\$15,407.74
Y7388L103	SAFE BULKERS INC COM ISIN #MHY7388L1039	1,000.000	\$1.30	\$1,300.00	\$0.00
ZZD992074	METaverse CAPITAL CORP COM NPV-	18,210.000	\$0.00	\$0.00	\$0.00
001055102	AFLAC INC NFS IS A SPECIALIST	92.635	\$44.47	\$4,119.48	\$397.80
00206R102	AT&T INC COM	302.831	\$28.76	\$8,709.42	\$1,154.79
002824100	ABBOTT LABORATORIES NFS IS A SPECIALIST	140.000	\$109.49	\$15,328.60	\$0.00
00287Y109	ABBVIE INC COM USD0.01	257.819	\$107.15	\$27,625.31	\$6,970.56
00507V109	ACTIVISION BLIZZARD INC COM	6.019	\$92.85	\$558.86	\$390.74
007025109	ADITX THERAPEUTICS INC COM	150.000	\$2.08	\$312.00	\$601.50
007903107	ADVANCED MICRO DEVICES INC	2,772.000	\$91.71	\$254,220.12	\$97,272.71
00887T302	AIM EXPL INC COM NEW	7,085,000.000	\$0.00	\$2,125.50	\$1,137.29
008911877	AIR CANADA VAR VTG SHS NPV	475.000	\$17.87	\$8,489.58	\$8,455.42
012653101	ALBEMARLE CORP NFS IS A SPECIALIST	98.259	\$147.52	\$14,495.17	\$9,234.11
013872106	ALCOA CORP COM	5.000	\$23.05	\$115.25	\$0.00
01609W102	ALIBABA GROUP HLDG LTD	56.000	\$232.73	\$13,032.88	\$11,049.34
01748X102	ALLEGiant TRAVEL CO COM	150.000	\$189.24	\$28,386.00	\$10,430.72
02079K107	ALPHABET INC CAP STK CL C	3.000	\$1,751.88	\$5,255.64	\$3,616.04
02079K305	ALPHABET INC CAP STK CL A	7.000	\$1,752.64	\$12,268.48	\$0.00
021ESC017	ALTABA INC ESCROW	100.000	\$0.00	\$0.00	\$0.00
023135106	AMAZON.COM INC NFS LLC IS A MARKET	32.000	\$3,256.93	\$104,221.76	\$34,129.36
02376R102	AMERICAN AIRLINES GROUP INC COM USD1	3,195.000	\$15.77	\$50,385.15	\$41,633.81

Northwestern Ohio Plumbers and Pipefitters Retirement Plan
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SUMMARY OF NET TRUST ASSETS

TOTAL PLAN ASSETS AS OF 12/31/2020

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
02451V101	AMERICAN BATTERY METALS CORP COM	1,700.000	\$1.40	\$2,380.00	\$2,389.75
034164103	ANDERSONS INC	207.430	\$24.51	\$5,084.11	\$3,506.89
03782L101	APPIAN CORP CL A	10.000	\$162.09	\$1,620.90	\$356.25
33813J106	FISKER INC CL A COM STK	400.000	\$14.65	\$5,860.00	\$4,927.94
30303M102	FACEBOOK INC COM USD0.000006 CL A	1,006.000	\$273.16	\$274,798.96	\$266,993.19
31188V100	FASTLY INC CL A	72.948	\$87.37	\$6,373.47	\$4,714.53
336433107	FIRST SOLAR INC COM	415.000	\$98.92	\$41,051.80	\$3,126.44
344328109	FOLEY TRASIMENE ACQUISITION COM CL A	1,300.000	\$15.10	\$19,630.00	\$17,706.26
345370860	FORD MTR CO DEL COM	9,364.497	\$8.79	\$82,313.93	\$55,872.80
361548100	GBT TECHNOLOGIES INC COM	7,239.000	\$0.02	\$121.62	\$9,038.30
36930V100	GENERAL CANNABIS CORP COM	94.000	\$0.48	\$45.12	\$92.43
369604103	GENERAL ELECTRIC CO NFS IS A SPECIALIST	1,801.932	\$10.80	\$19,460.87	\$8,309.01
37045V100	GENERAL MOTORS CO COM USD0.01	462.518	\$41.64	\$19,259.25	\$19,282.94
37185X106	GENESIS HEALTHCARE INC CL A COM	100.000	\$0.48	\$48.47	\$110.50
372460105	GENUINE PARTS CO	3.229	\$100.43	\$324.29	\$323.74
374396406	GEVO INC COM PAR	2,000.000	\$4.25	\$8,500.00	\$4,478.87
37733W105	GLAXOSMITHKLINE PLC SPONSORED ADR	506.449	\$36.80	\$18,637.32	\$20,777.71
379577208	GLOBUS MED INC CL A NEW	20.000	\$65.22	\$1,304.40	\$1,085.20
37959M203	GLOBAL GAMING TECHNOLOGIES CORP	44,964.000	\$0.07	\$3,102.52	\$6,141.34
379890106	GLU MOBILE INC	500.000	\$9.01	\$4,505.00	\$4,144.20
395330103	GREENLANE HLDGS INC CL A	20.000	\$3.96	\$79.20	\$445.75
40131M109	GUARDANT HEALTH INC COM	4.000	\$128.88	\$515.52	\$279.54
05156X884	AURORA CANNABIS INC COM NPV(POST REV	3,187.000	\$8.31	\$26,483.97	\$76,480.61
037833100	APPLE COMPUTER INC NFS LLC IS A MARKET	2,502.296	\$132.69	\$332,029.66	\$184,793.32
03966V107	ARCONIC CORPORATION COM	4.000	\$29.80	\$119.20	\$0.00
040413106	ARISTA NETWORKS INC COM USD0.0001	34.000	\$290.57	\$9,879.38	\$8,504.95
05464C101	AXON ENTERPRISE INC COM	5.000	\$122.53	\$612.65	\$392.35
055622104	BP PLC SPON ADR REPSNTG	229.000	\$20.52	\$4,699.08	\$0.00
056525108	BADGER METER INC	10.050	\$94.06	\$945.30	\$636.11
056752108	BAIDU COM INC SPON ADR RESTG ORD SHS CL	299.000	\$216.24	\$64,655.76	\$43,670.82
060505104	BANK OF AMERICA CORP	430.000	\$30.31	\$13,033.30	\$0.00
073068207	BAYPORT INTL HLDGS INC COM NEW	3,000,000.000	\$0.00	\$300.00	\$300.00
078771300	BELLEROPHON THERAPEUTICS INC COM	10.000	\$6.67	\$66.70	\$92.00
084670702	BERKSHIRE HATHAWAY INC DEL CL B NEW	11.000	\$231.87	\$2,550.57	\$224.00
090043100	BILL COM HLDGS INC COM	6.000	\$136.50	\$819.00	\$450.00
09228F103	BLACKBERRY LTD NPV ISIN #CA09228F1036	926.000	\$6.63	\$6,139.38	\$5,973.50
09239B109	BLACKLINE INC COM	13.000	\$133.38	\$1,733.94	\$995.28
09354A100	BLINK CHARGING CO COM	116.000	\$42.75	\$4,959.00	\$3,223.80
09370Q105	BLOK TECHNOLOGIES INC COM NPV	2,000.000	\$0.01	\$18.00	\$62.00
097023105	BOEING CO NFS IS A SPECIALIST	2,358.000	\$214.06	\$504,753.48	\$377,228.92
109043109	BRIGGS & STRATTON CORP	7,500.000	\$0.07	\$548.25	\$1,650.00
112585104	BROOKFIELD ASSET MGMT INC COM VOTING	30.066	\$41.27	\$1,240.82	\$1,007.99
12482B107	CBD DENVER INC COM	2,000.000	\$0.01	\$29.20	\$39.60
126408103	C S X CORP NFS LLC IS A	44.312	\$90.75	\$4,021.31	\$172.81
12648L601	CTI BIOPHARMA CORP COM NEW	16.000	\$3.22	\$51.52	\$0.00
136069101	CANADIAN IMPERIAL BK OF COMMERCE TORONTO	66.338	\$85.47	\$5,669.91	\$2,852.27
138035100	CANOPY GROWTH CORPORATION COM NPV	190.000	\$24.64	\$4,681.60	\$1,959.73
143658300	CARNIVAL CORP PAIRED CTF 1 COM	1,195.919	\$21.66	\$25,903.61	\$12,219.65
14448C104	CARRIER GLOBAL CORPORATION COM	251.270	\$37.72	\$9,477.90	\$40.06
149123101	CATERPILLAR INC NFS IS A SPECIALIST	135.736	\$182.02	\$24,706.67	\$3,810.79
163092109	CHEGG INC COM USD0.001	8.000	\$90.33	\$722.64	\$294.30
16679L109	CHEWY INC CL A	75.000	\$89.89	\$6,741.75	\$4,441.59
16965P202	CHIPMOS TECHNOLOGIES INC SPON ADS EACH	182.235	\$24.35	\$4,437.42	\$340.50
169656105	CHIPOTLE MEXICAN GRILL INC CL A	8.000	\$1,386.71	\$11,093.68	\$3,222.36
18452B209	CLEANSARK INC COM NEW	20.000	\$29.05	\$581.00	\$360.00
185899101	CLEVELAND CLIFFS INC COM	5,073.316	\$14.56	\$73,867.48	\$24,234.68
189054109	CLOROX CO DEL	104.000	\$201.92	\$20,999.68	\$0.00
191216100	COCA COLA CO NFS IS A SPECIALIST	532.148	\$54.84	\$29,183.00	\$913.43

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192422103	COGNEX CORP	16.203	\$80.29	\$1,300.86	\$1,033.39
205306103	COMPUTER PROGRAMS AND SYSTEMS INC	155.964	\$26.84	\$4,186.07	\$2,640.92
22160K105	COSTCO WHOLESALE CORP	38.001	\$376.78	\$14,318.02	\$7,950.68
22266L106	COUPA SOFTWARE INC COM	6.000	\$338.91	\$2,033.46	\$915.00
225447101	CREE INC NFS LLC IS A MARKET	20.000	\$105.90	\$2,118.00	\$1,195.10
22788C105	CROWDSTRIKE HLDGS INC CL A	41.000	\$211.82	\$8,684.62	\$4,049.29
23804L103	DATADOG INC CL A COM	40.000	\$98.44	\$3,937.60	\$2,623.86
247361702	DELTA AIR LINES INC DEL COM NEW	6,185.963	\$40.21	\$248,737.57	\$188,401.60
254687106	DISNEY WALT CO DEL (HOLDING COMPANY)	105.000	\$181.18	\$19,023.90	\$11,163.70
256163106	DOCUSIGN INC COM	6.000	\$222.30	\$1,333.80	\$1,152.00
262077100	DRIVE SHACK INC COM	50.000	\$2.38	\$119.00	\$96.00
26441C204	DUKE ENERGY CORP COM NPV (POST REV SPLIT)	137.000	\$91.56	\$12,543.72	\$0.00
284849205	ELECTRAMECANICA VEHS CORP COM NEW	1,973.000	\$6.19	\$12,212.87	\$6,955.77
29275Y102	ENERSYS	200.000	\$83.06	\$16,612.00	\$0.00
29786A106	ETSY INC COM	22.000	\$177.91	\$3,914.02	\$3,629.12
30219E103	EXPRESS INC COM USD0.01	12,865.000	\$0.91	\$11,707.15	\$14,259.89
30231G102	EXXON MOBIL CORP NFS IS A SPECIALIST	8.243	\$41.22	\$339.78	\$321.72
73929Q107	POWER METALS CORP COM NPV	6,300.000	\$0.31	\$1,928.43	\$2,206.98
718546104	PHILLIPS 66 COM	4.160	\$69.94	\$290.95	\$266.90
72352L106	PINTEREST INC CL A	481.000	\$65.90	\$31,697.90	\$19,311.18
72919P202	PLUG PWR INC COM NEW	1,215.000	\$33.91	\$41,200.65	\$16,925.35
742718109	PROCTER & GAMBLE CO NFS IS A SPECIALIST	236.000	\$139.14	\$32,837.04	\$0.00
744375205	PSYCHEMEDICS CORP COM NEW	542.809	\$5.09	\$2,762.90	\$7,004.02
74624L203	PUREBASE CORP COM NEW	300.000	\$0.07	\$21.00	\$76.50
747525103	QUALCOMM INC NFS LLC IS A MARKET	371.047	\$152.34	\$56,525.30	\$16,953.80
75088P101	RAINMAKER WORLDWIDE INC COM	600.000	\$0.10	\$60.00	\$131.00
75513E101	RAYTHEON TECHNOLOGIES CORP	250.000	\$71.51	\$17,877.50	\$0.00
767292105	RIOT BLOCKCHAIN INC COM	234.000	\$16.99	\$3,975.66	\$958.91
77311W101	ROCKET COS INC COM CL A	2,188.461	\$20.22	\$44,250.68	\$51,920.04
776153108	ROMEO POWER INC COM *EXCHANGED FOR CUSIP	1,681.000	\$22.49	\$37,805.69	\$7,018.09
780259206	ROYAL DUTCH SHELL PLC SPON ADR REPSTG	3.018	\$35.14	\$106.05	\$109.69
78573M104	SABRE CORP COM USD0.01	63.789	\$12.02	\$766.74	\$500.00
79466L302	SALESFORCE COM INC	7.000	\$222.53	\$1,557.71	\$1,089.97
796043206	SAMSON OIL&GAS LTD SPON ADR REP 200 ORD	300.000	\$0.00	\$0.00	\$0.00
80105N105	SANOFI-SYNTHELABO ADR	384.000	\$48.59	\$18,658.56	\$20,336.03
80585Y308	SBERBANK RUSSIA SPONSORED ADR	100.000	\$14.52	\$1,452.00	\$1,150.80
81141R100	SEA LIMITED ADS EACH REP ONE CLASS A ORD	89.000	\$199.05	\$17,715.45	\$10,086.61
81684M104	SEMLER SCIENTIFIC INC COM	23.000	\$94.00	\$2,162.00	\$1,017.75
81762P102	SERVICENOW INC COM USD0.001	3.000	\$550.43	\$1,651.29	\$1,150.08
824543102	SHILOH INDS INC	4,999.000	\$0.05	\$255.45	\$392.42
82509L107	SHOPIFY INC NPV SUBORDINATED A	93.000	\$1,131.95	\$105,271.35	\$33,069.94
82968B103	SIRIUS XM HLDGS INC COM	1,500.000	\$6.37	\$9,555.00	\$0.00
83088V102	SLACK TECHNOLOGIES INC COM CL A	37.000	\$42.24	\$1,562.88	\$1,146.33
831865209	SMITH A O CORP	5.225	\$54.82	\$286.43	\$307.81
83362T104	SOCIAL LIFE NETWORK INC COM	8,000.000	\$0.00	\$17.60	\$14.40
83417M104	SOLAREGE TECHNOLOGIES INC COM	4.000	\$319.12	\$1,276.48	\$574.44
844741108	SOUTHWEST AIRLNS CO NFS LLC IS A SPECIAL	3,100.000	\$46.61	\$144,491.00	\$111,963.95
848574109	SPIRIT AEROSYSTEMS HLDGS INC CL A	366.052	\$39.09	\$14,308.97	\$10,760.46
848577102	SPIRIT AIRLINES INC COM USD0.0001	2,325.000	\$24.45	\$56,846.25	\$28,191.21
852234103	SQUARE INC CL A	75.347	\$217.64	\$16,398.52	\$10,629.80
855185104	STAR PEAK ENERGY TRANSITION CO CL A	1,080.000	\$20.46	\$22,096.80	\$17,060.05
855244109	STARBUCKS CORP NFS LLC IS A MARKET	10.151	\$106.98	\$1,085.95	\$705.57
860897107	STITCH FIX INC COM CL A	72.000	\$58.72	\$4,227.84	\$1,000.80
874039100	TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD	37.399	\$109.04	\$4,077.99	\$2,275.30
88160R101	TESLA MOTORS INC COM USD0.001	112.784	\$705.67	\$79,588.29	\$55,759.49
87612E106	TARGET CORP NFS IS A SPECIALIST	80.173	\$176.53	\$14,152.94	\$12,997.10
87663X102	TATTOOD CHEF INC COM CL A	2,445.359	\$22.89	\$55,974.27	\$58,999.96
87918A105	TELADOC INC COM	9.000	\$199.96	\$1,799.64	\$326.78

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881624209	TEVA PHARMACEUTICAL INDS LTD ADS	50.000	\$9.65	\$482.50	\$355.95
88339J105	THE TRADE DESK INC COM CL A	10.000	\$801.00	\$8,010.00	\$2,476.04
88339P101	THE REALREAL INC COM	250.000	\$19.54	\$4,885.00	\$5,241.53
883556102	THERMO ELECTRON CORP	275.000	\$465.78	\$128,089.50	\$0.00
88579Y101	3M COMPANY NFS IS A SPECIALIST	159.652	\$174.79	\$27,905.57	\$23,897.33
886547108	TIFFANY & CO NEW	10.000	\$131.45	\$1,314.50	\$0.00
88688T100	TILRAY INC COM CL 2	30.000	\$8.26	\$247.80	\$1,076.49
892356106	TRACTOR SUPPLY CO	10.087	\$140.58	\$1,418.03	\$1,069.33
89388L108	TRANSPORTATION LOGISTICS SYS COM	60,000.000	\$0.03	\$1,752.00	\$3,702.00
90069K104	TUSCAN HOLDINGS CORP COM	1,000.000	\$17.10	\$17,100.00	\$13,693.42
90138F102	TWILIO INC CL A	5.000	\$338.50	\$1,692.50	\$949.82
90353T100	UBER TECHNOLOGIES INC COM	100.000	\$51.00	\$5,100.00	\$3,373.00
910047109	UNITED CONTINENTAL HOLDINGS INC COM	3,605.000	\$43.25	\$155,916.25	\$121,227.69
911312106	UNITED PARCEL SVC INC	4.314	\$168.40	\$726.48	\$533.22
91332U101	UNITY SOFTWARE INC COM	1,010.310	\$153.47	\$155,052.28	\$101,929.97
91914P108	THE VALENS COMPANY INC COM NPV	200.000	\$1.30	\$259.02	\$674.87
92243A200	VAXART INC COM NEW	2,000.000	\$5.71	\$11,420.00	\$16,760.00
92343V104	VERIZON COMMUNICATIONS	254.028	\$58.75	\$14,924.15	\$3,263.18
92556V106	VIATRIS INC COM	1,156.000	\$18.74	\$21,663.44	\$24,047.16
92766K106	VIRGIN GALACTIC HOLDINGS INC COM	66.000	\$23.73	\$1,566.18	\$1,114.00
92826C839	VISA INC COM CL A	96.844	\$218.73	\$21,182.69	\$7,828.84
92862Y109	VOIP PAL COM INC COM	2,667,872.000	\$0.01	\$26,678.72	\$134,666.60
929740108	WABTEC	8.072	\$73.20	\$590.87	\$5.06
930752100	WAITR HLDGS INC COM	50.000	\$2.78	\$139.00	\$118.50
931142103	WAL-MART STORES INC NFS IS A SPECIALIST	12.071	\$144.15	\$1,740.03	\$1,438.35
942622200	WATSCO, INC. COMMON	5.040	\$226.55	\$1,141.81	\$1,192.11
969904101	WILLIAMS SONOMA INC	140.299	\$101.84	\$14,288.05	\$6,228.51
98138J206	WORKHORSE GROUP INC COM NEW	3,128.000	\$19.78	\$61,871.84	\$53,101.12
98420U703	XPRESSPA GROUP INC COM	4,425.000	\$1.19	\$5,265.75	\$6,335.75
98422L107	XERIS PHARMACEUTICALS INC	500.000	\$4.92	\$2,460.00	\$2,773.56
98954M200	ZILLOW GROUP INC CL C CAP STK	95.000	\$129.80	\$12,331.00	\$7,369.96
98986T108	ZYNGA INC COM USD0.0000625 CLASS	146.000	\$9.87	\$1,441.02	\$1,004.75
98978V103	ZOETIS INC COM USD0.01 CL A	20.000	\$165.50	\$3,310.00	\$0.00
98980G102	ZSCALER INC COM	10.147	\$199.71	\$2,026.46	\$999.93
98980L101	ZOOM VIDEO COMMUNICATIONS INC	30.000	\$337.32	\$10,119.60	\$5,469.38
437076102	HOME DEPOT INC NFS IS A SPECIALIST	59.494	\$265.62	\$15,802.80	\$14,715.14
402635304	GULFPORT ENERGY CORP	100.000	\$0.04	\$4.15	\$73.98
406216101	HALLIBURTON CO HOLDING CO FRMLY	2,270.849	\$18.90	\$42,919.05	\$15,328.52
419879101	HAWAIIAN HLDGS INC	2,000.000	\$17.70	\$35,400.00	\$25,809.00
44267D107	HOWARD HUGHES CORP COM USD0.01	249.000	\$78.93	\$19,653.57	\$0.00
443201108	HOWMET AEROSPACE INC COM	16.000	\$28.54	\$456.64	\$0.00
44891N109	IAC INTERACTIVECORP NEW COM	26.000	\$189.35	\$4,923.10	\$883.34
45168D104	IDEXX LABS CORP	4.000	\$499.87	\$1,999.48	\$980.00
452327109	ILLUMINA INC	3.000	\$370.00	\$1,110.00	\$819.00
458140100	INTEL CORP NFS LLC IS A MARKET	100.727	\$49.82	\$5,018.22	\$512.12
459200101	INTL BUSINESS MACH NFS IS A SPECIALIST	25.569	\$125.88	\$3,218.63	\$639.65
462202102	IONIC BRANDS CORP COM NPV(POST REV	200.000	\$0.02	\$3.92	\$170.33
477143101	JETBLUE AWYS CORP NFS LLC IS A MARKET	500.000	\$14.54	\$7,270.00	\$4,871.03
478160104	JOHNSON & JOHNSON NFS IS A SPECIALIST	2.041	\$157.38	\$321.21	\$300.10
48138M105	JUMIA TECHNOLOGIES AG SPON ADS EACH REP	52.000	\$40.35	\$2,098.20	\$429.66
49271V100	KEURIG DR PEPPER INC COM	63.037	\$32.00	\$2,017.18	\$1,500.59
500255104	KOHL'S CORP NFS LLC IS A SPECIAL	1,325.964	\$40.69	\$53,953.48	\$26,163.36
500271101	KOIOS BEVERAGE CORP COM NPV	600.000	\$0.04	\$23.52	\$72.18
500754106	KRAFT HEINZ CO COM	9,602.466	\$34.66	\$332,821.47	\$304,870.20
501044101	KROGER CO NFS LLC IS A	60.908	\$31.76	\$1,934.44	\$1,835.56
50189K103	LCI INDS COM	10.183	\$129.68	\$1,320.53	\$939.63
50202M102	LI AUTO INC SPN ADS ECH REP 2 ORD SHS CL	500.000	\$28.83	\$14,415.00	\$17,200.00
52567D107	LEMONADE INC COM	40.000	\$122.50	\$4,900.00	\$5,112.87
533900106	LINCOLN ELECTRIC HOLDINGS	1.000	\$116.25	\$116.25	\$119.23

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53839L208	LIVEXLIVE MEDIA INC COM NEW	1,500.000	\$3.28	\$4,920.00	\$5,025.00
539830109	LOCKHEED MARTIN CORP NFS LLC IS A SPECIAL	1.021	\$354.98	\$362.43	\$381.50
54405Q100	LORDSTOWN MOTORS CORP COM CL A	1,625.356	\$20.06	\$32,604.64	\$26,925.19
548661107	LOWES COMPANIES NFS IS A SPECIALIST	85.634	\$160.51	\$13,745.11	\$12,083.31
54951L109	LUCKIN COFFEE INC SPON ADS EACH REP 8	10.000	\$8.50	\$85.00	\$253.29
550424105	LUMINAR TECHNOLOGIES INC COM CL A	28.000	\$34.00	\$952.00	\$686.35
55616P104	MACYS INC	2,500.000	\$11.25	\$28,125.00	\$20,212.91
565849106	MARATHON OIL CORP	14,938.245	\$6.67	\$99,638.09	\$73,070.78
57636Q104	MASTERCARD INC CL A	2.005	\$356.94	\$715.66	\$531.60
57667L107	MATCH GROUP INC NEW COM	56.000	\$151.19	\$8,466.64	\$0.00
57776X109	MAX SOUND CORP COM	30,000.000	\$0.00	\$15.00	\$6.00
58463A105	MEDICAL MARIJUANA INC COM	5,000.000	\$0.02	\$89.50	\$890.71
58733R102	MERCADOLIBRE INC	5.000	\$1,675.22	\$8,376.10	\$3,863.09
58933Y105	MERCK & CO INC NEW COM	84.262	\$81.80	\$6,892.63	\$6,599.61
594918104	MICROSOFT CORP NFS LLC IS A MARKET	1,003.177	\$222.42	\$223,126.63	\$20,753.24
595017104	MICROCHIP TECH INC NFS LLC IS A MARKET	41.436	\$138.11	\$5,722.73	\$3,571.16
60447G109	MINT CORP COM ISIN #CA60447G1090	2,000.000	\$0.03	\$62.80	\$48.06
60937P106	MONGODB INC CL A	6.000	\$359.04	\$2,154.24	\$969.65
61174L998	RESTRICTED MD HOLDING CO INC	1,845.000	\$0.00	\$0.00	\$0.00
626717102	MURPHY OIL CORP	5,000.000	\$12.10	\$60,500.00	\$51,150.00
62857J201	MYOMO INC COM NEW	15.000	\$6.73	\$100.95	\$96.90
64110L106	NETFLIX COM INC COM	10.000	\$540.73	\$5,407.30	\$3,987.01
62914V106	NIO INC ADS EACH REP 1 ORD SHS	641.248	\$48.74	\$31,254.43	\$30,505.49
62987D108	NAMASTE TECHNOLOGIES INC COM NPV	1,129.000	\$0.16	\$177.14	\$354.71
64079L105	NEPTUNE WELLNESS SOLUTIONS INC COM	1,000.000	\$1.56	\$1,560.00	\$1,636.70
650194103	NEWAGE INC COM	100.000	\$2.63	\$263.00	\$645.62
654106103	NIKE INC CLASS B NFS IS A SPECIALIST	10.038	\$141.47	\$1,420.08	\$1,007.21
654110105	NIKOLA CORP COM	7.000	\$15.26	\$106.82	\$350.06
654902204	NOKIA CORP ADR NFS IS A SPECIALIST	1,000.000	\$3.91	\$3,910.00	\$0.00
66516T104	NORTHERN GENESIS ACQUISITION C COMMON	1,475.000	\$17.78	\$26,225.50	\$22,287.32
666807102	NORTHROP GRUMMAN CORP HOLDING CO	107.467	\$304.72	\$32,747.34	\$2,201.27
66737P600	NORTHWEST BIOTHERAPEUTICS	50.000	\$1.52	\$76.25	\$0.00
670002401	NOVAVAX INC COM NEW	200.000	\$111.51	\$22,302.00	\$20,762.00
67066G104	NVIDIA CORP NFS LLC IS A MARKET	211.338	\$522.20	\$110,360.70	\$94,911.25
67424L209	OBALON THERAPEUTICS INC COM NEW	100.000	\$1.50	\$150.00	\$109.00
67575Y109	OCULUS VISIONTECH INC COM NPV	1,700.000	\$0.41	\$697.00	\$425.00
679295105	OKTA INC CL A	5.000	\$254.26	\$1,271.30	\$912.30
682189105	ON SEMICONDUCTOR CRP	350.000	\$32.73	\$11,455.50	\$1,790.46
68389X105	ORACLE CORPORATION NFS LLC IS A MARKET	88.778	\$64.69	\$5,743.05	\$307.43
68902V107	OTIS WORLDWIDE CORP COM	126.208	\$67.55	\$8,525.35	\$75.24
69318G106	PBF ENERGY INC COM USD0.001	2,807.000	\$7.10	\$19,929.70	\$16,319.57
69608A108	PALANTIR TECHNOLOGIES INC CL	1,735.000	\$23.55	\$40,859.25	\$35,210.38
69626Q101	PALAYAN RESOURCES INC COM USD0.001	200.000	\$0.80	\$160.00	\$136.50
70432V102	PAYCOM SOFTWARE INC COM USD0.01	5.000	\$452.25	\$2,261.25	\$1,244.90
70450Y103	PAYPAL HLDGS INC COM	7.000	\$234.20	\$1,639.40	\$1,029.00
707569109	PENN NATL GAMING INC	1,150.000	\$86.37	\$99,325.50	\$5,017.10
71654V408	PETROLEO BRASILEIRO SA PETROBRAS	600.000	\$11.23	\$6,738.00	\$0.00
717081103	PFIZER INC NFS IS A SPECIALIST	832.633	\$36.81	\$30,649.22	\$28,772.93
EXTERNAL FUND					
00170K588	AMG YACHTMAN FUND SERVICE CLASS	2,721.527	\$21.26	\$57,859.66	\$24,673.89
921909107	VANGUARD STAR	1,339.126	\$31.08	\$41,620.04	\$36,497.16
00764F300	ADVISORONE CLERMONT CL N	172,451.367	\$11.51	\$1,984,915.23	\$1,908,339.43
00764F433	ADVISORONE SHELTER FUND CL N	22,241.452	\$11.35	\$252,440.48	\$281,474.71
00764F441	ADVISORONE SELECT APPRECIATION FD CL N	18,684.149	\$17.87	\$333,885.74	\$279,301.58
00764F458	ADVISORONE FLEXIBLE INCOME FUND CL N	171,009.880	\$10.73	\$1,834,936.01	\$1,794,736.92
015565872	ALGER SMALL CAPITAL CLASS A	525.472	\$15.12	\$7,945.14	\$6,626.39
01878T301	ALLIANCEBERNSTEIN SELECT US LG/SHRT C	2,216.673	\$12.57	\$27,863.58	\$5,192.98
05586X108	BNY MELLON SMALL MID CAP GROWTH A	272.755	\$37.16	\$10,135.58	\$9,009.13
091937573	BLACKROCK HEALTH SCIENCES PORT CL A	494.214	\$73.84	\$36,492.76	\$25,363.09

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09251C100	BLACKROCK BALANCED CAP FD CL A	12,441.742	\$25.84	\$321,494.61	\$283,503.32
19765N278	COLUMBIA DIVIDEND INCOME CL A	647.328	\$25.79	\$16,694.59	\$14,945.80
31420C852	FEDERATED CAPITAL INCOME CL C	4,930.760	\$8.52	\$42,010.08	\$5,060.60
32008F705	FIRST EAGLE SOGEN GLOBAL CLASS C	1,273.331	\$59.10	\$75,253.86	\$12,222.83
32008F804	FIRST EAGLE SOGEN OVERSEAS CLASS C	2,176.211	\$24.49	\$53,295.41	\$5,784.43
353496201	FRANKLIN DYNATECH FUND A	104.025	\$139.75	\$14,537.49	\$12,355.00
46602Q107	LOOMIS SAYLES GLOBAL EQUITY & INCOME CL A	335.837	\$26.71	\$8,970.21	\$8,746.01
4812A3270	JPMORGAN INCOME BUILDER FUND CLASS C	2,718.376	\$11.07	\$30,092.42	\$4,065.31
52471R648	CLEARBRIDGE SELECT FUND CL A	1,029.928	\$46.41	\$47,798.96	\$27,409.84
543916134	LORD ABBETT FLOATING RATE FUND I	13.676	\$8.30	\$113.51	\$124.55
543916688	LORD ABBETT SHORT DURATION INCOME CL I	29.651	\$4.21	\$124.83	\$125.67
54400N409	LORD ABBETT HIGH YIELD FD CL I	9.395	\$7.45	\$69.99	\$72.18
592905509	METROPOLITAN WEST TOTAL RETURN CLASS I	3.011	\$11.18	\$33.66	\$32.28
61744J564	MORGAN STA INST US LGE CAP GRWTH POR B	1,160.285	\$85.31	\$98,983.91	\$59,595.13
61747T106	MORGAN STANLEY CAP OPRT TR CL A	1,202.416	\$80.81	\$97,167.24	\$53,161.38
693391559	PIMCO EMERGING MARKETS BOND INSTL	8.484	\$10.90	\$92.48	\$89.93
72201F490	PIMCO INCOME FUND INSTITUTIONAL FUND	10.868	\$12.11	\$131.61	\$134.23
885215335	THORNBURG GLOBAL OPPORTUNITIES CL C	2,943.480	\$33.97	\$99,990.02	\$7,823.82
00764F201	ADVISORONE AMERIGO CL N	27,725.527	\$18.78	\$520,685.40	\$490,834.95
	FIDELITY FUND				
316390483	FIDELITY SELECT BUS SVC& OUTSOURCING	164.111	\$93.68	\$15,373.92	\$11,178.83
316390863	FIDELITY SELECT ELECTRONICS	1,190.574	\$16.22	\$19,311.11	\$13,051.22
316390475	FIDELITY SELECT MEDICAL EQUIP&SYSTEM	313.224	\$72.83	\$22,812.10	\$13,828.53
316389303	FIDELITY BLUE CHIP GROWTH	184.694	\$163.08	\$30,119.90	\$24,211.53
316345305	FIDELITY LOW PRICED STOCK	598.019	\$48.63	\$29,081.66	\$10,449.69
316345206	FIDELITY BALANCED	921.068	\$28.28	\$26,047.80	\$20,554.02
316345107	FIDELITY PURITAN	12,800.052	\$26.02	\$333,057.35	\$298,410.97
31617K881	FIDELITY TOTAL BOND	1,189.550	\$11.32	\$13,465.71	\$12,737.59
316071109	FIDELITY CONTRAFUND	3,325.796	\$16.76	\$55,740.34	\$46,751.12
316069723	FIDELITY ASSET MANAGER 30%	15,272.044	\$12.36	\$188,762.46	\$180,979.62
316066406	FIDELITY TECHNOQUANT GROWTH	480.510	\$31.12	\$14,953.47	\$13,049.38
315911727	FIDELITY INTERNATL INDEX INSTL PREMIUM	440.870	\$45.61	\$20,108.08	\$17,932.60
315911693	FID TOTAL MKT INDEX INSTL PREMIUM CLASS	755.672	\$107.72	\$81,400.99	\$53,638.10
315793661	FIDELITY FREEDOM INDX 2010 INSTL PREM	11,297.115	\$14.09	\$159,176.35	\$154,738.65
	OPTION				
4938039UQ	CALL (BA) BOEING CO COM	1.000	\$2,525.00	\$2,525.00	\$3,400.69
	RIGHTS/WARRANTS				
344328117	FOLEY TRASIMENE ACQUISITION	1,250.000	\$4.59	\$5,737.50	\$4,337.13
87663X110	TATTOOED CHEF INC WTS EXP 10/15/2025	1,012.259	\$11.40	\$11,539.75	\$9,999.99
90069K112	TUSCAN HOLDINGS CORP WTS EXP 04/01/2026	1,000.000	\$4.76	\$4,760.00	\$2,941.45
966387110	WHITING PETE CORP SER A	1.000	\$1.75	\$1.75	\$0.00
23130Q115	CURIOSITYSTREAM INC WTS EXP 10/14/2025	1,855.000	\$2.68	\$4,971.40	\$4,185.00
	UNIT				
37954Y715	GLOBAL X FDS RBTCS ARTFL IN	160.413	\$33.13	\$5,315.28	\$3,701.37
22539T183	CREDIT SUISSE AG NASSAU BRH	4.000	\$4.33	\$17.32	\$736.70
14054R502	CAPITALA FIN CORP COM NEW	889.000	\$14.41	\$12,810.49	\$40,015.49
035710409	ANNALY MORTGAGE MANAGEMENT INC	1,014.235	\$8.45	\$8,570.29	\$8,314.52
00123Q104	AGNC INVT CORP COM	1,131.371	\$15.60	\$17,649.39	\$9,503.08
Y58473102	MAXEON SOLAR TECHNOLOGIES LIMITED	23.000	\$28.37	\$652.51	\$0.00
55272X102	MFA MTG INVT INC	173.471	\$3.89	\$674.80	\$218.79
649604501	NEW YORK MTG TR INC COM PAR \$.02	524.041	\$3.69	\$1,933.71	\$703.22
862121100	STORE CAP CORP COM ISIN #US8621211007	25.724	\$33.98	\$874.10	\$508.02
83413U100	SOLAR CAP LTD COM	147.286	\$17.51	\$2,578.98	\$2,571.95
76133Q102	RETAIL VALUE INC COM	4.000	\$14.87	\$59.48	\$39.00
758075402	REDWOOD TRUST INC	52.697	\$8.78	\$462.68	\$203.34
76131N101	RETAIL OPPORTUNITY INVT CORP COM	50.050	\$13.39	\$670.17	\$500.00
	Other			\$3,953.66	\$3,953.66

NET ASSETS : \$13,825,675.56

SUMMARY OF NET TRUST ASSETS

TOTAL PLAN ASSETS AS OF 12/31/2020

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
CASH					
316067107	FIDELITY CASH RESERVES CERT. OF DEP.	798,274.530	\$1.00	\$798,274.53	\$798,274.53
15201QCE5	CENTERSTATE BK FLA NA WINTERHA CD	3,000.000	\$1.00	\$3,005.10	\$3,000.00
17312QN62	CITIBANK NATIONAL ASSOCIATION CD	3,000.000	\$1.05	\$3,137.70	\$3,000.00
17312QN96	CITIBANK NATIONAL ASSOCIATION CD	3,000.000	\$1.08	\$3,235.80	\$3,000.00
33847E2P1	FLAGSTAR BK FSB TROY MICH CD	3,000.000	\$1.01	\$3,033.60	\$3,000.00
38081GAB2	GOLDEN BANK NATIONAL ASSN CD	3,000.000	\$1.00	\$3,002.40	\$3,000.00
38081GAE6	GOLDEN BANK NATIONAL ASSN CD	3,000.000	\$1.00	\$3,001.50	\$3,000.00
52168UHL9	LEADER BK NATL ASSN CD	10,000.000	\$1.00	\$10,000.00	\$10,000.00
38081GAG1	GOLDEN BANK NATIONAL ASSN CD	3,000.000	\$1.00	\$3,001.50	\$3,000.00
38081GAH9	GOLDEN BANK NATIONAL ASSN CD	3,000.000	\$1.00	\$3,007.50	\$3,000.00
38149MLZ4	GOLDMAN SACHS BK USA CD	3,000.000	\$1.02	\$3,050.40	\$3,000.00
58733AEX3	MERCANTIL COMMERCEBANK N A	3,000.000	\$1.01	\$3,042.30	\$3,000.00
70212VAB4	PARTNERS BK HELENA ARK CD	3,000.000	\$1.01	\$3,028.50	\$3,000.00
72345SJZ5	PINNACLE BK NASHVILLE TENN CD	3,000.000	\$1.00	\$3,005.70	\$3,000.00
72663QE70	PLAINSCAPITAL BK LUBBOCK TX CD	3,000.000	\$1.00	\$3,009.30	\$3,000.00
78658RDY4	SAFRA NATL BANK NEW YORK NY CD	6,000.000	\$1.00	\$6,002.40	\$6,000.00
940637MZ1	WASHINGTON TR CO WESTERLY R I CD	4,000.000	\$1.00	\$4,000.00	\$4,000.00
06251A2R0	BANK HAPOLIM B M NEW YORK CD	3,000.000	\$1.00	\$3,001.20	\$3,000.00
07371AES8	BEAL BK PLANO TEX CD	3,000.000	\$1.00	\$3,000.00	\$3,000.00
COMMON STOCK					
G06242104	ATLASSIAN CORPORATION PLC COM	4.000	\$233.87	\$935.48	\$544.24
G4645C208	HUDSON CAP INC SHS NEW	7,000.000	\$3.62	\$25,340.00	\$16,960.14
G65431101	NOBLE CORP PLC COM SHS	945.000	\$0.02	\$23.63	\$383.96
G66721104	NORWEGIAN CRUISE LINE HLDGS LTD COM	1,250.000	\$25.43	\$31,787.50	\$13,267.51
G6674U108	NOVOCURE LTD COM USD0.00	17.000	\$173.04	\$2,941.68	\$1,022.04
G85158106	STONECO LTD COM USD0.000079365 CLASS	31.117	\$83.92	\$2,611.34	\$1,000.00
G8857S116	THUNDER BRIDGE ACQUISITION II LTD	1,002.000	\$13.22	\$13,246.44	\$11,475.37
G89479102	TORM PLC SER A USD0.01	113.578	\$7.05	\$800.72	\$2,096.10
G9402V109	VALARIS PLC SHS CLASS A	64,900.000	\$0.05	\$3,257.98	\$11,850.65
L44385109	GLOBANT SA USD1.20	4.000	\$217.61	\$870.44	\$371.86
M4R82T106	FIVERR INTERNATIONAL LTD COM NPV	8.873	\$195.10	\$1,731.12	\$999.93
M84116108	SILICOM LTD	135.316	\$41.85	\$5,662.97	\$4,299.57
N00985106	AERCAP HOLDINGS N V SHS	80.000	\$45.58	\$3,646.40	\$2,371.33
N07059210	ASML HOLDING NV EURO.09 NY REG 2012	8.022	\$487.72	\$3,912.49	\$2,977.50
N14506104	ELASTIC N V COM EURO.01	10.000	\$146.13	\$1,461.30	\$820.70
N31738102	FIAT CHRYSLER AUTOMOBILES NV	398.454	\$18.09	\$7,208.03	\$6,379.63
V7780T103	ROYAL CARRIBBEAN CRUISES LTD	435.000	\$74.69	\$32,490.15	\$15,407.74
Y7388L103	SAFE BULKERS INC COM ISIN #MHY7388L1039	1,000.000	\$1.30	\$1,300.00	\$0.00
ZZD992074	METAVVERSE CAPITAL CORP COM NPV-	18,210.000	\$0.00	\$0.00	\$0.00
001055102	AFLAC INC NFS IS A SPECIALIST	92.635	\$44.47	\$4,119.48	\$397.80
00206R102	AT&T INC COM	302.831	\$28.76	\$8,709.42	\$1,154.79
002824100	ABBOTT LABORATORIES NFS IS A SPECIALIST	140.000	\$109.49	\$15,328.60	\$0.00
00287Y109	ABBVIE INC COM USD0.01	257.819	\$107.15	\$27,625.31	\$6,970.56
00507V109	ACTIVISION BLIZZARD INC COM	6.019	\$92.85	\$558.86	\$390.74
007025109	ADITX THERAPEUTICS INC COM	150.000	\$2.08	\$312.00	\$601.50
007903107	ADVANCED MICRO DEVICES INC	2,772.000	\$91.71	\$254,220.12	\$97,272.71
00887T302	AIM EXPL INC COM NEW	7,085,000.000	\$0.00	\$2,125.50	\$1,137.29
008911877	AIR CANADA VAR VTG SHS NPV	475.000	\$17.87	\$8,489.58	\$8,455.42
012653101	ALBEMARLE CORP NFS IS A SPECIALIST	98.259	\$147.52	\$14,495.17	\$9,234.11
013872106	ALCOA CORP COM	5.000	\$23.05	\$115.25	\$0.00
01609W102	ALIBABA GROUP HLDG LTD	56.000	\$232.73	\$13,032.88	\$11,049.34
01748X102	ALLEGiant TRAVEL CO COM	150.000	\$189.24	\$28,386.00	\$10,430.72
02079K107	ALPHABET INC CAP STK CL C	3.000	\$1,751.88	\$5,255.64	\$3,616.04
02079K305	ALPHABET INC CAP STK CL A	7.000	\$1,752.64	\$12,268.48	\$0.00
021ESC017	ALTABA INC ESCROW	100.000	\$0.00	\$0.00	\$0.00
023135106	AMAZON.COM INC NFS LLC IS A MARKET	32.000	\$3,256.93	\$104,221.76	\$34,129.36
02376R102	AMERICAN AIRLINES GROUP INC COM USD1	3,195.000	\$15.77	\$50,385.15	\$41,633.81

SUMMARY OF NET TRUST ASSETS

TOTAL PLAN ASSETS AS OF 12/31/2020

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
02451V101	AMERICAN BATTERY METALS CORP COM	1,700.000	\$1.40	\$2,380.00	\$2,389.75
034164103	ANDERSONS INC	207.430	\$24.51	\$5,084.11	\$3,506.89
03782L101	APIAN CORP CL A	10.000	\$162.09	\$1,620.90	\$356.25
33813J106	FISKER INC CL A COM STK	400.000	\$14.65	\$5,860.00	\$4,927.94
30303M102	FACEBOOK INC COM USD0.000006 CL A	1,006.000	\$273.16	\$274,798.96	\$266,993.19
31188V100	FASTLY INC CL A	72.948	\$87.37	\$6,373.47	\$4,714.53
336433107	FIRST SOLAR INC COM	415.000	\$98.92	\$41,051.80	\$3,126.44
344328109	FOLEY TRASIMENE ACQUISITION COM CL A	1,300.000	\$15.10	\$19,630.00	\$17,706.26
345370860	FORD MTR CO DEL COM	9,364.497	\$8.79	\$82,313.93	\$55,872.80
361548100	GBT TECHNOLOGIES INC COM	7,239.000	\$0.02	\$121.62	\$9,038.30
36930V100	GENERAL CANNABIS CORP COM	94.000	\$0.48	\$45.12	\$92.43
369604103	GENERAL ELECTRIC CO NFS IS A SPECIALIST	1,801.932	\$10.80	\$19,460.87	\$8,309.01
37045V100	GENERAL MOTORS CO COM USD0.01	462.518	\$41.64	\$19,259.25	\$19,282.94
37185X106	GENESIS HEALTHCARE INC CL A COM	100.000	\$0.48	\$48.47	\$110.50
372460105	GENUINE PARTS CO	3.229	\$100.43	\$324.29	\$323.74
374396406	GEVO INC COM PAR	2,000.000	\$4.25	\$8,500.00	\$4,478.87
37733W105	GLAXOSMITHKLINE PLC SPONSORED ADR	506.449	\$36.80	\$18,637.32	\$20,777.71
379577208	GLOBUS MED INC CL A NEW	20.000	\$65.22	\$1,304.40	\$1,085.20
37959M203	GLOBAL GAMING TECHNOLOGIES CORP	44,964.000	\$0.07	\$3,102.52	\$6,141.34
379890106	GLU MOBILE INC	500.000	\$9.01	\$4,505.00	\$4,144.20
395330103	GREENLANE HLDGS INC CL A	20.000	\$3.96	\$79.20	\$445.75
40131M109	GUARDANT HEALTH INC COM	4.000	\$128.88	\$515.52	\$279.54
05156X884	AURORA CANNABIS INC COM NPV(POST REV	3,187.000	\$8.31	\$26,483.97	\$76,480.61
037833100	APPLE COMPUTER INC NFS LLC IS A MARKET	2,502.296	\$132.69	\$332,029.66	\$184,793.32
03966V107	ARCONIC CORPORATION COM	4.000	\$29.80	\$119.20	\$0.00
040413106	ARISTA NETWORKS INC COM USD0.0001	34.000	\$290.57	\$9,879.38	\$8,504.95
05464C101	AXON ENTERPRISE INC COM	5.000	\$122.53	\$612.65	\$392.35
055622104	BP PLC SPON ADR REPSNTG	229.000	\$20.52	\$4,699.08	\$0.00
056525108	BADGER METER INC	10.050	\$94.06	\$945.30	\$636.11
056752108	BAIDU CO INC SPON ADR RESTG ORD SHS CL	299.000	\$216.24	\$64,655.76	\$43,670.82
060505104	BANK OF AMERICA CORP	430.000	\$30.31	\$13,033.30	\$0.00
073068207	BAYPORT INTL HLDGS INC COM NEW	3,000,000.000	\$0.00	\$300.00	\$300.00
078771300	BELLEROPHON THERAPEUTICS INC COM	10.000	\$6.67	\$66.70	\$92.00
084670702	BERKSHIRE HATHAWAY INC DEL CL B NEW	11.000	\$231.87	\$2,550.57	\$224.00
090043100	BILL COM HLDGS INC COM	6.000	\$136.50	\$819.00	\$450.00
09228F103	BLACKBERRY LTD NPV ISIN #CA09228F1036	926.000	\$6.63	\$6,139.38	\$5,973.50
09239B109	BLACKLINE INC COM	13.000	\$133.38	\$1,733.94	\$995.28
09354A100	BLINK CHARGING CO COM	116.000	\$42.75	\$4,959.00	\$3,223.80
09370Q105	BLOK TECHNOLOGIES INC COM NPV	2,000.000	\$0.01	\$18.00	\$62.00
097023105	BOEING CO NFS IS A SPECIALIST	2,358.000	\$214.06	\$504,753.48	\$377,228.92
109043109	BRIGGS & STRATTON CORP	7,500.000	\$0.07	\$548.25	\$1,650.00
112585104	BROOKFIELD ASSET MGMT INC COM VOTING	30.066	\$41.27	\$1,240.82	\$1,007.99
12482B107	CBD DENVER INC COM	2,000.000	\$0.01	\$29.20	\$39.60
126408103	C S X CORP NFS LLC IS A	44.312	\$90.75	\$4,021.31	\$172.81
12648L601	CTI BIOPHARMA CORP COM NEW	16.000	\$3.22	\$51.52	\$0.00
	CANADIAN IMPERIAL BK OF COMMERCE TORONTO	66.338	\$85.47	\$5,669.91	\$2,852.27
136069101	CANOPY GROWTH CORPORATION COM NPV	190.000	\$24.64	\$4,681.60	\$1,959.73
143658300	CARNIVAL CORP PAIRED CTF 1 COM	1,195.919	\$21.66	\$25,903.61	\$12,219.65
14448C104	CARRIER GLOBAL CORPORATION COM	251.270	\$37.72	\$9,477.90	\$40.06
149123101	CATERPILLAR INC NFS IS A SPECIALIST	135.736	\$182.02	\$24,706.67	\$3,810.79
163092109	CHEGG INC COM USD0.001	8.000	\$90.33	\$722.64	\$294.30
16679L109	CHEWY INC CL A	75.000	\$89.89	\$6,741.75	\$4,441.59
16965P202	CHIPMOS TECHNOLOGIES INC SPON ADS EACH	182.235	\$24.35	\$4,437.42	\$340.50
169656105	CHIPOTLE MEXICAN GRILL INC CL A	8.000	\$1,386.71	\$11,093.68	\$3,222.36
18452B209	CLEANSARK INC COM NEW	20.000	\$29.05	\$581.00	\$360.00
185899101	CLEVELAND CLIFFS INC COM	5,073.316	\$14.56	\$73,867.48	\$24,234.68
189054109	CLOROX CO DEL	104.000	\$201.92	\$20,999.68	\$0.00
191216100	COCA COLA CO NFS IS A SPECIALIST	532.148	\$54.84	\$29,183.00	\$913.43

SUMMARY OF NET TRUST ASSETS

TOTAL PLAN ASSETS AS OF 12/31/2020

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
192422103	COGNEX CORP	16.203	\$80.29	\$1,300.86	\$1,033.39
205306103	COMPUTER PROGRAMS AND SYSTEMS INC	155.964	\$26.84	\$4,186.07	\$2,640.92
22160K105	COSTCO WHOLESALE CORP	38.001	\$376.78	\$14,318.02	\$7,950.68
22266L106	COUPA SOFTWARE INC COM	6.000	\$338.91	\$2,033.46	\$915.00
225447101	CREE INC NFS LLC IS A MARKET	20.000	\$105.90	\$2,118.00	\$1,195.10
22788C105	CROWDSTRIKE HLDGS INC CL A	41.000	\$211.82	\$8,684.62	\$4,049.29
23804L103	DATADOG INC CL A COM	40.000	\$98.44	\$3,937.60	\$2,623.86
247361702	DELTA AIR LINES INC DEL COM NEW	6,185.963	\$40.21	\$248,737.57	\$188,401.60
254687106	DISNEY WALT CO DEL (HOLDING COMPANY)	105.000	\$181.18	\$19,023.90	\$11,163.70
256163106	DOCUSIGN INC COM	6.000	\$222.30	\$1,333.80	\$1,152.00
262077100	DRIVE SHACK INC COM	50.000	\$2.38	\$119.00	\$96.00
26441C204	DUKE ENERGY CORP COM NPV (POST REV SPLIT)	137.000	\$91.56	\$12,543.72	\$0.00
284849205	ELECTRAMECCANICA VEHS CORP COM NEW	1,973.000	\$6.19	\$12,212.87	\$6,955.77
29275Y102	ENERSYS	200.000	\$83.06	\$16,612.00	\$0.00
29786A106	ETSY INC COM	22.000	\$177.91	\$3,914.02	\$3,629.12
30219E103	EXPRESS INC COM USD0.01	12,865.000	\$0.91	\$11,707.15	\$14,259.89
30231G102	EXXON MOBIL CORP NFS IS A SPECIALIST	8.243	\$41.22	\$339.78	\$321.72
73929Q107	POWER METALS CORP COM NPV	6,300.000	\$0.31	\$1,928.43	\$2,206.98
718546104	PHILLIPS 66 COM	4.160	\$69.94	\$290.95	\$266.90
72352L106	PINTEREST INC CL A	481.000	\$65.90	\$31,697.90	\$19,311.18
72919P202	PLUG PWR INC COM NEW	1,215.000	\$33.91	\$41,200.65	\$16,925.35
742718109	PROCTER & GAMBLE CO NFS IS A SPECIALIST	236.000	\$139.14	\$32,837.04	\$0.00
744375205	PSYCHEMEDICS CORP COM NEW	542.809	\$5.09	\$2,762.90	\$7,004.02
74624L203	PUREBASE CORP COM NEW	300.000	\$0.07	\$21.00	\$76.50
747525103	QUALCOMM INC NFS LLC IS A MARKET	371.047	\$152.34	\$56,525.30	\$16,953.80
75088P101	RAINMAKER WORLDWIDE INC COM	600.000	\$0.10	\$60.00	\$131.00
75513E101	RAYTHEON TECHNOLOGIES CORP	250.000	\$71.51	\$17,877.50	\$0.00
767292105	RIOT BLOCKCHAIN INC COM	234.000	\$16.99	\$3,975.66	\$958.91
77311W101	ROCKET COS INC COM CL A	2,188.461	\$20.22	\$44,250.68	\$51,920.04
776153108	ROMEO POWER INC COM *EXCHANGED FOR CUSIP	1,681.000	\$22.49	\$37,805.69	\$7,018.09
780259206	ROYAL DUTCH SHELL PLC SPON ADR REPSTG	3.018	\$35.14	\$106.05	\$109.69
78573M104	SABRE CORP COM USD0.01	63.789	\$12.02	\$766.74	\$500.00
79466L302	SALESFORCE COM INC	7.000	\$222.53	\$1,557.71	\$1,089.97
796043206	SAMSON OIL&GAS LTD SPON ADR REP 200 ORD	300.000	\$0.00	\$0.00	\$0.00
80105N105	SANOFI-SYNTHELABO ADR	384.000	\$48.59	\$18,658.56	\$20,336.03
80585Y308	SBERBANK RUSSIA SPONSORED ADR	100.000	\$14.52	\$1,452.00	\$1,150.80
81141R100	SEA LIMITED ADS EACH REP ONE CLASS A ORD	89.000	\$199.05	\$17,715.45	\$10,086.61
81684M104	SEMLER SCIENTIFIC INC COM	23.000	\$94.00	\$2,162.00	\$1,017.75
81762P102	SERVICENOW INC COM USD0.001	3.000	\$550.43	\$1,651.29	\$1,150.08
824543102	SHILOH INDS INC	4,999.000	\$0.05	\$255.45	\$392.42
82509L107	SHOPIFY INC NPV SUBORDINATED A	93.000	\$1,131.95	\$105,271.35	\$33,069.94
82968B103	SIRIUS XM HLDGS INC COM	1,500.000	\$6.37	\$9,555.00	\$0.00
83088V102	SLACK TECHNOLOGIES INC COM CL A	37.000	\$42.24	\$1,562.88	\$1,146.33
831865209	SMITH A O CORP	5.225	\$54.82	\$286.43	\$307.81
83362T104	SOCIAL LIFE NETWORK INC COM	8,000.000	\$0.00	\$17.60	\$14.40
83417M104	SOLAREDGE TECHNOLOGIES INC COM	4.000	\$319.12	\$1,276.48	\$574.44
844741108	SOUTHWEST AIRLNS CO NFS LLC IS A SPECIAL	3,100.000	\$46.61	\$144,491.00	\$111,963.95
848574109	SPIRIT AEROSYSTEMS HLDGS INC CL A	366.052	\$39.09	\$14,308.97	\$10,760.46
848577102	SPIRIT AIRLINES INC COM USD0.0001	2,325.000	\$24.45	\$56,846.25	\$28,191.21
852234103	SQUARE INC CL A	75.347	\$217.64	\$16,398.52	\$10,629.80
855185104	STAR PEAK ENERGY TRANSITION CO CL A	1,080.000	\$20.46	\$22,096.80	\$17,060.05
855244109	STARBUCKS CORP NFS LLC IS A MARKET	10.151	\$106.98	\$1,085.95	\$705.57
860897107	STITCH FIX INC COM CL A	72.000	\$58.72	\$4,227.84	\$1,000.80
874039100	TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD	37.399	\$109.04	\$4,077.99	\$2,275.30
88160R101	TESLA MOTORS INC COM USD0.001	112.784	\$705.67	\$79,588.29	\$55,759.49
87612E106	TARGET CORP NFS IS A SPECIALIST	80.173	\$176.53	\$14,152.94	\$12,997.10
87663X102	TATTOOED CHEF INC COM CL A	2,445.359	\$22.89	\$55,974.27	\$58,999.96
87918A105	TELADOC INC COM	9.000	\$199.96	\$1,799.64	\$326.78

SUMMARY OF NET TRUST ASSETS

TOTAL PLAN ASSETS AS OF 12/31/2020

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
881624209	TEVA PHARMACEUTICAL INDS LTD ADS	50.000	\$9.65	\$482.50	\$355.95
88339J105	THE TRADE DESK INC COM CL A	10.000	\$801.00	\$8,010.00	\$2,476.04
88339P101	THE REALREAL INC COM	250.000	\$19.54	\$4,885.00	\$5,241.53
883556102	THERMO ELECTRON CORP	275.000	\$465.78	\$128,089.50	\$0.00
88579Y101	3M COMPANY NFS IS A SPECIALIST	159.652	\$174.79	\$27,905.57	\$23,897.33
886547108	TIFFANY & CO NEW	10.000	\$131.45	\$1,314.50	\$0.00
88688T100	TILRAY INC COM CL 2	30.000	\$8.26	\$247.80	\$1,076.49
892356106	TRACTOR SUPPLY CO	10.087	\$140.58	\$1,418.03	\$1,069.33
89388L108	TRANSPORTATION LOGISTICS SYS COM	60,000.000	\$0.03	\$1,752.00	\$3,702.00
90069K104	TUSCAN HOLDINGS CORP COM	1,000.000	\$17.10	\$17,100.00	\$13,693.42
90138F102	TWILIO INC CL A	5.000	\$338.50	\$1,692.50	\$949.82
90353T100	UBER TECHNOLOGIES INC COM	100.000	\$51.00	\$5,100.00	\$3,373.00
910047109	UNITED CONTINENTAL HOLDINGS INC COM	3,605.000	\$43.25	\$155,916.25	\$121,227.69
911312106	UNITED PARCEL SVC INC	4.314	\$168.40	\$726.48	\$533.22
91332U101	UNITY SOFTWARE INC COM	1,010.310	\$153.47	\$155,052.28	\$101,929.97
91914P108	THE VALENS COMPANY INC COM NPV	200.000	\$1.30	\$259.02	\$674.87
92243A200	VAXART INC COM NEW	2,000.000	\$5.71	\$11,420.00	\$16,760.00
92343V104	VERIZON COMMUNICATIONS	254.028	\$58.75	\$14,924.15	\$3,263.18
92556V106	VIATRIS INC COM	1,156.000	\$18.74	\$21,663.44	\$24,047.16
92766K106	VIRGIN GALACTIC HOLDINGS INC COM	66.000	\$23.73	\$1,566.18	\$1,114.00
92826C839	VISA INC COM CL A	96.844	\$218.73	\$21,182.69	\$7,828.84
92862Y109	VOIP PAL COM INC COM	2,667,872.000	\$0.01	\$26,678.72	\$134,666.60
929740108	WABTEC	8.072	\$73.20	\$590.87	\$5.06
930752100	WAITR HLDGS INC COM	50.000	\$2.78	\$139.00	\$118.50
931142103	WAL-MART STORES INC NFS IS A SPECIALIST	12.071	\$144.15	\$1,740.03	\$1,438.35
942622200	WATSCO, INC. COMMON	5.040	\$226.55	\$1,141.81	\$1,192.11
969904101	WILLIAMS SONOMA INC	140.299	\$101.84	\$14,288.05	\$6,228.51
98138J206	WORKHORSE GROUP INC COM NEW	3,128.000	\$19.78	\$61,871.84	\$53,101.12
98420U703	XPRESSPA GROUP INC COM	4,425.000	\$1.19	\$5,265.75	\$6,335.75
98422L107	XERIS PHARMACEUTICALS INC	500.000	\$4.92	\$2,460.00	\$2,773.56
98954M200	ZILLOW GROUP INC CL C CAP STK	95.000	\$129.80	\$12,331.00	\$7,369.96
98986T108	ZYNGA INC COM USD0.00000625 CLASS	146.000	\$9.87	\$1,441.02	\$1,004.75
98978V103	ZOETIS INC COM USD0.01 CL A	20.000	\$165.50	\$3,310.00	\$0.00
98980G102	ZSCALER INC COM	10.147	\$199.71	\$2,026.46	\$999.93
98980L101	ZOOM VIDEO COMMUNICATIONS INC	30.000	\$337.32	\$10,119.60	\$5,469.38
437076102	HOME DEPOT INC NFS IS A SPECIALIST	59.494	\$265.62	\$15,802.80	\$14,715.14
402635304	GULFPORT ENERGY CORP	100.000	\$0.04	\$4.15	\$73.98
406216101	HALLIBURTON CO HOLDING CO FRMLY	2,270.849	\$18.90	\$42,919.05	\$15,328.52
419879101	HAWAIIAN HLDGS INC	2,000.000	\$17.70	\$35,400.00	\$25,809.00
44267D107	HOWARD HUGHES CORP COM USD0.01	249.000	\$78.93	\$19,653.57	\$0.00
443201108	HOWMET AEROSPACE INC COM	16.000	\$28.54	\$456.64	\$0.00
44891N109	IAC INTERACTIVECORP NEW COM	26.000	\$189.35	\$4,923.10	\$883.34
45168D104	IDEXX LABS CORP	4.000	\$499.87	\$1,999.48	\$980.00
452327109	ILLUMINA INC	3.000	\$370.00	\$1,110.00	\$819.00
458140100	INTEL CORP NFS LLC IS A MARKET	100.727	\$49.82	\$5,018.22	\$512.12
459200101	INTL BUSINESS MACH NFS IS A SPECIALIST	25.569	\$125.88	\$3,218.63	\$639.65
462202102	IONIC BRANDS CORP COM NPV(POST REV	200.000	\$0.02	\$3.92	\$170.33
477143101	JETBLUE AWYS CORP NFS LLC IS A MARKET	500.000	\$14.54	\$7,270.00	\$4,871.03
478160104	JOHNSON & JOHNSON NFS IS A SPECIALIST	2.041	\$157.38	\$321.21	\$300.10
48138M105	JUMIA TECHNOLOGIES AG SPON ADS EACH REP	52.000	\$40.35	\$2,098.20	\$429.66
49271V100	KEURIG DR PEPPER INC COM	63.037	\$32.00	\$2,017.18	\$1,500.59
500255104	KOHL'S CORP NFS LLC IS A SPECIAL	1,325.964	\$40.69	\$53,953.48	\$26,163.36
500271101	KOIOS BEVERAGE CORP COM NPV	600.000	\$0.04	\$23.52	\$72.18
500754106	KRAFT HEINZ CO COM	9,602.466	\$34.66	\$332,821.47	\$304,870.20
501044101	KROGER CO NFS LLC IS A	60.908	\$31.76	\$1,934.44	\$1,835.56
50189K103	LCI INDS COM	10.183	\$129.68	\$1,320.53	\$939.63
50202M102	LI AUTO INC SPN ADS ECH REP 2 ORD SHS CL	500.000	\$28.83	\$14,415.00	\$17,200.00
52567D107	LEMONADE INC COM	40.000	\$122.50	\$4,900.00	\$5,112.87
533900106	LINCOLN ELECTRIC HOLDINGS	1.000	\$116.25	\$116.25	\$119.23

SUMMARY OF NET TRUST ASSETS

TOTAL PLAN ASSETS AS OF 12/31/2020

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
53839L208	LIVEXLIVE MEDIA INC COM NEW	1,500.000	\$3.28	\$4,920.00	\$5,025.00
539830109	LOCKHEED MARTIN CORP NFS LLC IS A SPECIAL	1.021	\$354.98	\$362.43	\$381.50
54405Q100	LORDSTOWN MOTORS CORP COM CL A	1,625.356	\$20.06	\$32,604.64	\$26,925.19
548661107	LOWES COMPANIES NFS IS A SPECIALIST	85.634	\$160.51	\$13,745.11	\$12,083.31
54951L109	LUCKIN COFFEE INC SPON ADS EACH REP 8	10.000	\$8.50	\$85.00	\$253.29
550424105	LUMINAR TECHNOLOGIES INC COM CL A	28.000	\$34.00	\$952.00	\$686.35
55616P104	MACYS INC	2,500.000	\$11.25	\$28,125.00	\$20,212.91
565849106	MARATHON OIL CORP	14,938.245	\$6.67	\$99,638.09	\$73,070.78
57636Q104	MASTERCARD INC CL A	2.005	\$356.94	\$715.66	\$531.60
57667L107	MATCH GROUP INC NEW COM	56.000	\$151.19	\$8,466.64	\$0.00
57776X109	MAX SOUND CORP COM	30,000.000	\$0.00	\$15.00	\$6.00
58463A105	MEDICAL MARIJUANA INC COM	5,000.000	\$0.02	\$89.50	\$890.71
58733R102	MERCADOLIBRE INC	5.000	\$1,675.22	\$8,376.10	\$3,863.09
58933Y105	MERCK & CO INC NEW COM	84.262	\$81.80	\$6,892.63	\$6,599.61
594918104	MICROSOFT CORP NFS LLC IS A MARKET	1,003.177	\$222.42	\$223,126.63	\$20,753.24
595017104	MICROCHIP TECH INC NFS LLC IS A MARKET	41.436	\$138.11	\$5,722.73	\$3,571.16
60447G109	MINT CORP COM ISIN #CA60447G1090	2,000.000	\$0.03	\$62.80	\$48.06
60937P106	MONGODB INC CL A	6.000	\$359.04	\$2,154.24	\$969.65
61174L998	RESTRICTED MD HOLDING CO INC	1,845.000	\$0.00	\$0.00	\$0.00
626717102	MURPHY OIL CORP	5,000.000	\$12.10	\$60,500.00	\$51,150.00
62857J201	MYOMO INC COM NEW	15.000	\$6.73	\$100.95	\$96.90
64110L106	NETFLIX COM INC COM	10.000	\$540.73	\$5,407.30	\$3,987.01
62914V106	NIO INC ADS EACH REP 1 ORD SHS	641.248	\$48.74	\$31,254.43	\$30,505.49
62987D108	NAMASTE TECHNOLOGIES INC COM NPV	1,129.000	\$0.16	\$177.14	\$354.71
64079L105	NEPTUNE WELLNESS SOLUTIONS INC COM	1,000.000	\$1.56	\$1,560.00	\$1,636.70
650194103	NEWAGE INC COM	100.000	\$2.63	\$263.00	\$645.62
654106103	NIKE INC CLASS B NFS IS A SPECIALIST	10.038	\$141.47	\$1,420.08	\$1,007.21
654110105	NIKOLA CORP COM	7.000	\$15.26	\$106.82	\$350.06
654902204	NOKIA CORP ADR NFS IS A SPECIALIST	1,000.000	\$3.91	\$3,910.00	\$0.00
66516T104	NORTHERN GENESIS ACQUISITION C COMMON	1,475.000	\$17.78	\$26,225.50	\$22,287.32
666807102	NORTHROP GRUMMAN CORP HOLDING CO	107.467	\$304.72	\$32,747.34	\$2,201.27
66737P600	NORTHWEST BIOTHERAPEUTICS	50.000	\$1.52	\$76.25	\$0.00
670002401	NOVAVAX INC COM NEW	200.000	\$111.51	\$22,302.00	\$20,762.00
67066G104	NVIDIA CORP NFS LLC IS A MARKET	211.338	\$522.20	\$110,360.70	\$94,911.25
67424L209	OBALON THERAPEUTICS INC COM NEW	100.000	\$1.50	\$150.00	\$109.00
67575Y109	OCULUS VISIONTECH INC COM NPV	1,700.000	\$0.41	\$697.00	\$425.00
679295105	OKTA INC CL A	5.000	\$254.26	\$1,271.30	\$912.30
682189105	ON SEMICONDUCTOR CRP	350.000	\$32.73	\$11,455.50	\$1,790.46
68389X105	ORACLE CORPORATION NFS LLC IS A MARKET	88.778	\$64.69	\$5,743.05	\$307.43
68902V107	OTIS WORLDWIDE CORP COM	126.208	\$67.55	\$8,525.35	\$75.24
69318G106	PBF ENERGY INC COM USD0.001	2,807.000	\$7.10	\$19,929.70	\$16,319.57
69608A108	PALANTIR TECHNOLOGIES INC CL	1,735.000	\$23.55	\$40,859.25	\$35,210.38
69626Q101	PALAYAN RESOURCES INC COM USD0.001	200.000	\$0.80	\$160.00	\$136.50
70432V102	PAYCOM SOFTWARE INC COM USD0.01	5.000	\$452.25	\$2,261.25	\$1,244.90
70450Y103	PAYPAL HLDGS INC COM	7.000	\$234.20	\$1,639.40	\$1,029.00
707569109	PENN NATL GAMING INC	1,150.000	\$86.37	\$99,325.50	\$5,017.10
71654V408	PETROLEO BRASILEIRO SA PETROBRAS	600.000	\$11.23	\$6,738.00	\$0.00
717081103	PFIZER INC NFS IS A SPECIALIST	832.633	\$36.81	\$30,649.22	\$28,772.93
EXTERNAL FUND					
00170K588	AMG YACHTMAN FUND SERVICE CLASS	2,721.527	\$21.26	\$57,859.66	\$24,673.89
921909107	VANGUARD STAR	1,339.126	\$31.08	\$41,620.04	\$36,497.16
00764F300	ADVISORONE CLERMONT CL N	172,451.367	\$11.51	\$1,984,915.23	\$1,908,339.43
00764F433	ADVISORONE SHELTER FUND CL N	22,241.452	\$11.35	\$252,440.48	\$281,474.71
00764F441	ADVISORONE SELECT APPRECIATION FD CL N	18,684.149	\$17.87	\$333,885.74	\$279,301.58
00764F458	ADVISORONE FLEXIBLE INCOME FUND CL N	171,009.880	\$10.73	\$1,834,936.01	\$1,794,736.92
015565872	ALGER SMALL CAPITAL CLASS A	525.472	\$15.12	\$7,945.14	\$6,626.39
01878T301	ALLIANCEBERNSTEIN SELECT US LG/SHRT C	2,216.673	\$12.57	\$27,863.58	\$5,192.98
05586X108	BNY MELLON SMALL MID CAP GROWTH A	272.755	\$37.16	\$10,135.58	\$9,009.13
091937573	BLACKROCK HEALTH SCIENCES PORT CL A	494.214	\$73.84	\$36,492.76	\$25,363.09

SUMMARY OF NET TRUST ASSETS

TOTAL PLAN ASSETS AS OF 12/31/2020

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
09251C100	BLACKROCK BALANCED CAP FD CL A	12,441.742	\$25.84	\$321,494.61	\$283,503.32
19765N278	COLUMBIA DIVIDEND INCOME CL A	647.328	\$25.79	\$16,694.59	\$14,945.80
31420C852	FEDERATED CAPITAL INCOME CL C	4,930.760	\$8.52	\$42,010.08	\$5,060.60
32008F705	FIRST EAGLE SOGEN GLOBAL CLASS C	1,273.331	\$59.10	\$75,253.86	\$12,222.83
32008F804	FIRST EAGLE SOGEN OVERSEAS CLASS C	2,176.211	\$24.49	\$53,295.41	\$5,784.43
353496201	FRANKLIN DYNATECH FUND A	104.025	\$139.75	\$14,537.49	\$12,355.00
46602Q107	LOOMIS SAYLES GLOBAL EQUITY & INCOME CL A	335.837	\$26.71	\$8,970.21	\$8,746.01
4812A3270	JPMORGAN INCOME BUILDER FUND CLASS C	2,718.376	\$11.07	\$30,092.42	\$4,065.31
52471R648	CLEARBRIDGE SELECT FUND CL A	1,029.928	\$46.41	\$47,798.96	\$27,409.84
543916134	LORD ABBETT FLOATING RATE FUND I	13.676	\$8.30	\$113.51	\$124.55
543916688	LORD ABBETT SHORT DURATION INCOME CL I	29.651	\$4.21	\$124.83	\$125.67
54400N409	LORD ABBETT HIGH YIELD FD CL I	9.395	\$7.45	\$69.99	\$72.18
592905509	METROPOLITAN WEST TOTAL RETURN CLASS I	3.011	\$11.18	\$33.66	\$32.28
61744J564	MORGAN STA INST US LGE CAP GRWTH POR B	1,160.285	\$85.31	\$98,983.91	\$59,595.13
61747T106	MORGAN STANLEY CAP OPPRT TR CL A	1,202.416	\$80.81	\$97,167.24	\$53,161.38
693391559	PIMCO EMERGING MARKETS BOND INSTL	8.484	\$10.90	\$92.48	\$89.93
72201F490	PIMCO INCOME FUND INSTITUTIONAL FUND	10.868	\$12.11	\$131.61	\$134.23
885215335	THORNBURG GLOBAL OPPORTUNITIES CL C	2,943.480	\$33.97	\$99,990.02	\$7,823.82
00764F201	ADVISORONE AMERIGO CL N	27,725.527	\$18.78	\$520,685.40	\$490,834.95
	FIDELITY FUND				
316390483	FIDELITY SELECT BUS SVC& OUTSOURCING	164.111	\$93.68	\$15,373.92	\$11,178.83
316390863	FIDELITY SELECT ELECTRONICS	1,190.574	\$16.22	\$19,311.11	\$13,051.22
316390475	FIDELITY SELECT MEDICAL EQUIP&SYSTEM	313.224	\$72.83	\$22,812.10	\$13,828.53
316389303	FIDELITY BLUE CHIP GROWTH	184.694	\$163.08	\$30,119.90	\$24,211.53
316345305	FIDELITY LOW PRICED STOCK	598.019	\$48.63	\$29,081.66	\$10,449.69
316345206	FIDELITY BALANCED	921.068	\$28.28	\$26,047.80	\$20,554.02
316345107	FIDELITY PURITAN	12,800.052	\$26.02	\$333,057.35	\$298,410.97
31617K881	FIDELITY TOTAL BOND	1,189.550	\$11.32	\$13,465.71	\$12,737.59
316071109	FIDELITY CONTRAFUND	3,325.796	\$16.76	\$55,740.34	\$46,751.12
316069723	FIDELITY ASSET MANAGER 30%	15,272.044	\$12.36	\$188,762.46	\$180,979.62
316066406	FIDELITY TECHNOQUANT GROWTH	480.510	\$31.12	\$14,953.47	\$13,049.38
315911727	FIDELITY INTERNATL INDEX INSTL PREMIUM	440.870	\$45.61	\$20,108.08	\$17,932.60
315911693	FID TOTAL MKT INDEX INSTL PREMIUM CLASS	755.672	\$107.72	\$81,400.99	\$53,638.10
315793661	FIDELITY FREEDOM INDX 2010 INSTL PREM	11,297.115	\$14.09	\$159,176.35	\$154,738.65
	OPTION				
4938039UQ	CALL (BA) BOEING CO COM	1.000	\$2,525.00	\$2,525.00	\$3,400.69
	RIGHTS/WARRANTS				
344328117	FOLEY TRASIMENE ACQUISITION	1,250.000	\$4.59	\$5,737.50	\$4,337.13
87663X110	TATTOOED CHEF INC WTS EXP 10/15/2025	1,012.259	\$11.40	\$11,539.75	\$9,999.99
90069K112	TUSCAN HOLDINGS CORP WTS EXP 04/01/2026	1,000.000	\$4.76	\$4,760.00	\$2,941.45
966387110	WHITING PETE CORP SER A	1.000	\$1.75	\$1.75	\$0.00
23130Q115	CURIOSITYSTREAM INC WTS EXP 10/14/2025	1,855.000	\$2.68	\$4,971.40	\$4,185.00
	UNIT				
37954Y715	GLOBAL X FDS RBTCs ARTFL IN	160.413	\$33.13	\$5,315.28	\$3,701.37
22539T183	CREDIT SUISSE AG NASSAU BRH	4.000	\$4.33	\$17.32	\$736.70
14054R502	CAPITALA FIN CORP COM NEW	889.000	\$14.41	\$12,810.49	\$40,015.49
035710409	ANNALY MORTGAGE MANAGEMENT INC	1,014.235	\$8.45	\$8,570.29	\$8,314.52
00123Q104	AGNC INVT CORP COM	1,131.371	\$15.60	\$17,649.39	\$9,503.08
Y58473102	MAXEON SOLAR TECHNOLOGIES LIMITED	23.000	\$28.37	\$652.51	\$0.00
55272X102	MFA MTG INVTs INC	173.471	\$3.89	\$674.80	\$218.79
649604501	NEW YORK MTG TR INC COM PAR \$.02	524.041	\$3.69	\$1,933.71	\$703.22
862121100	STORE CAP CORP COM ISIN #US8621211007	25.724	\$33.98	\$874.10	\$508.02
83413U100	SOLAR CAP LTD COM	147.286	\$17.51	\$2,578.98	\$2,571.95
76133Q102	RETAIL VALUE INC COM	4.000	\$14.87	\$59.48	\$39.00
758075402	REDWOOD TRUST INC	52.697	\$8.78	\$462.68	\$203.34
76131N101	RETAIL OPPORTUNITY INVTs CORP COM	50.050	\$13.39	\$670.17	\$500.00
	Other			\$3,953.66	\$3,953.66
NET ASSETS :				\$13,825,675.56	

SCHEDULE C SUPPLEMENTAL REPORT

This report includes fee-related information recordkept by Fidelity Investments for the plan, as well as information made available to Fidelity through Morningstar, Inc., an independent investments research company, and certain other sources, which has not been independently verified by Fidelity. The notes section of this report may or may not be applicable to your plan. In some cases, you may also need to reference the Auditor's Guide for more detail. Please log into PSW to access the Auditor's Guide and related documents that can assist you and/or your auditors in facilitating your employee benefit plan audit.

PART I, LINE 1 - INFORMATION ON PERSONS EXCLUDED FROM THE REST OF PART I BECAUSE THEY RECEIVED ONLY ELIGIBLE INDIRECT FEES.

- If there are non-Fidelity funds in the plan and all information is available in the eligible indirect compensation disclosure at the end of this report, check "yes" and in part I, line 1(b) enter Fidelity Investments Institutional Operations Company, Inc. - 04-2647786.
- If all information is not available, you may need to contact the applicable fund family for additional information and disclose the name and EIN of the entity providing the disclosure in part I, line 1(b).

PART I, LINE 2 - INFORMATION ON SERVICE PROVIDERS RECEIVING DIRECT FEES

SERVICE PROVIDER NAME <i>Part I, Line 2(a)</i>	EIN/ADDRESS *1 <i>Part I, Line 2(a)</i>	SERVICE CODE <i>Part I, Line 2(b)</i>	RELATIONSHIP TO ER, EE,ORG OR PERSON OF INTEREST <i>Part I, Line 2(c)</i>	DIRECT COMP PAID BY PLAN <i>Part I, Line 2(d)</i>
NWOPP RETIREMENT	7570 CAPLE BOULEVARD	16 SEE NOTE *2	CONSULTANT	\$311,000
STRATEGIC ADVISORS, INC.	04-2654524	27	ADVISOR	\$26,633
NWOPP	34-6668665	14 SEE NOTE *2	PLAN ADMINISTRATOR	\$26,000
NWOPP RETIREMENT PLAN	34-6668665	14	PLAN ADMINISTARTOR	\$500
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	04-2647786	64 65 71	RECORDKEEPER	(\$348,636)

SCHEDULE C SUPPLEMENTAL REPORT

PART I, LINE 3 - INFORMATION ON SERVICE PROVIDERS RECEIVING INDIRECT FEES

SERVICE PROVIDER NAME <i>Part I, Line 3(a)</i>	SERVICE CODE <i>Part I, Line 3(b)</i> <i>Part I, Line 2(b)</i>	AMOUNT OF INDIRECT COMPENSATION <i>Part I, Line 3(c)</i>	NAME OF SOURCE OF INDIRECT COMPENSATION <i>Part I, Line 3(d)</i>	EIN/ADDRESS OF SOURCE OF INDIRECT COMPENSATION *1 <i>Part I, Line 3(d)</i>	DESCRIPTION OF INDIRECT COMPENSATION (ANNUALIZED FORMULA) <i>Part I, Line 3(e)</i>
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	AF GROWTH OF AMER R5 - AMERICAN FUNDS SERVICE CO	95-2566717	0.05%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	BAIRD AGGR BOND INST - US BANCORP FUND SERVICES, LLC	39-0281260	0.02%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	BR HIGH EQ INC IS - BNY MELLON INVESTMENT SERVICING (US) INC.	P.O. BOX 9793 PROVIDENCE RI US 02940	0.25%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	TRP EQUITY INCOME - T. ROWE PRICE SERVICES INC	52-2269240	0.15%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	AMG YACKETMAN FUND I	600 STEAMBOAT ROAD SUITE 300 GREENWICH CT US 06830	0.40%

SCHEDULE C SUPPLEMENTAL REPORT

PART I, LINE 3 - INFORMATION ON SERVICE PROVIDERS RECEIVING INDIRECT FEES

SERVICE PROVIDER NAME <i>Part I, Line 3(a)</i>	SERVICE CODE <i>Part I, Line 3(b)</i> <i>Part I, Line 2(b)</i>	AMOUNT OF INDIRECT COMPENSATION <i>Part I, Line 3(c)</i>	NAME OF SOURCE OF INDIRECT COMPENSATION <i>Part I, Line 3(d)</i>	EIN/ADDRESS OF SOURCE OF INDIRECT COMPENSATION *1 <i>Part I, Line 3(d)</i>	DESCRIPTION OF INDIRECT COMPENSATION (ANNUALIZED FORMULA) <i>Part I, Line 3(e)</i>
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	ADVISORONE CLS GLOBLDIVERFIED EQUIT	17605 WRIGHT STREET OMAHA NE US 68130	0.40%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	ADVISORONE CLS GROWTH AND INC CL N	17605 WRIGHT STREET OMAHA NE US 68130	0.40%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	ADVISORONE CLS SHELTER CL N	17605 WRIGHT STREET OMAHA NE US 68130	0.40%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	ADVISORONE CLS GLOBLAGGRESSIVE EQUI	17605 WRIGHT STREET OMAHA NE US 68130	0.40%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	ADVISORONE CLS FLEXIBLE INC CL N	17605 WRIGHT STREET OMAHA NE US 68130	0.40%

SCHEDULE C SUPPLEMENTAL REPORT

PART I, LINE 3 - INFORMATION ON SERVICE PROVIDERS RECEIVING INDIRECT FEES

SERVICE PROVIDER NAME <i>Part I, Line 3(a)</i>	SERVICE CODE <i>Part I, Line 3(b)</i> <i>Part I, Line 2(b)</i>	AMOUNT OF INDIRECT COMPENSATION <i>Part I, Line 3(c)</i>	NAME OF SOURCE OF INDIRECT COMPENSATION <i>Part I, Line 3(d)</i>	EIN/ADDRESS OF SOURCE OF INDIRECT COMPENSATION *1 <i>Part I, Line 3(d)</i>	DESCRIPTION OF INDIRECT COMPENSATION (ANNUALIZED FORMULA) <i>Part I, Line 3(e)</i>
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	ALGER SMALL CAP GROWTH FUND A	600 PLAZA ONE 6TH FL JERSEY CITY NJ US 07311	0.40%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	AB SELECT US LONG SHORT C	8000 IH 10 WEST SUITE 1400 14TH F SAN ANTONIO TX US 78230	0.10%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	BNY MELLON SMALL MIDCAP GROWTH A	144 GLENN CURTISS BLVD 8TH FLOOR UNIONDALE NY US 11556	0.40%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	BLACKROCK HEALTH SCIENCES OPP PRT A	40 EAST 52ND STREET NEW YORK NY US 10022	0.40%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	BLACKROCK BALANCED CAP FD CL A	40 EAST 52ND STREET NEW YORK NY US 10022	0.40%

SCHEDULE C SUPPLEMENTAL REPORT

PART I, LINE 3 - INFORMATION ON SERVICE PROVIDERS RECEIVING INDIRECT FEES

SERVICE PROVIDER NAME <i>Part I, Line 3(a)</i>	SERVICE CODE <i>Part I, Line 3(b)</i> <i>Part I, Line 2(b)</i>	AMOUNT OF INDIRECT COMPENSATION <i>Part I, Line 3(c)</i>	NAME OF SOURCE OF INDIRECT COMPENSATION <i>Part I, Line 3(d)</i>	EIN/ADDRESS OF SOURCE OF INDIRECT COMPENSATION *1 <i>Part I, Line 3(d)</i>	DESCRIPTION OF INDIRECT COMPENSATION (ANNUALIZED FORMULA) <i>Part I, Line 3(e)</i>
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	COLUMBIA DIVIDEND INCOME CL A	225 FRANKLIN ST BX25 10320 BOSTON MA US 02110	0.40%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	FEDERATED HERMES CAPTL INCOME CL C	4000 ERICSSON DRIVE WARRENDALE PA US 150867515	0.10%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	FIRST EAGLE GLOBAL CLASS C	1345 AVENUE OF THE AMERICAS 48TH FL NEW YORK NY US 10105	0.08%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	FIRST EAGLE OVERSEAS CLASS C	1345 AVENUE OF THE AMERICAS 48TH FL NEW YORK NY US 10105	0.08%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	FRANKLIN DYNATECH FUND A	100 FOUNTAIN PARKWAY ST. PETERSBURG FL US 33716	\$16.00

SCHEDULE C SUPPLEMENTAL REPORT

PART I, LINE 3 - INFORMATION ON SERVICE PROVIDERS RECEIVING INDIRECT FEES

SERVICE PROVIDER NAME <i>Part I, Line 3(a)</i>	SERVICE CODE <i>Part I, Line 3(b)</i> <i>Part I, Line 2(b)</i>	AMOUNT OF INDIRECT COMPENSATION <i>Part I, Line 3(c)</i>	NAME OF SOURCE OF INDIRECT COMPENSATION <i>Part I, Line 3(d)</i>	EIN/ADDRESS OF SOURCE OF INDIRECT COMPENSATION *1 <i>Part I, Line 3(d)</i>	DESCRIPTION OF INDIRECT COMPENSATION (ANNUALIZED FORMULA) <i>Part I, Line 3(e)</i>
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	LOOMIS SAYLES GLOBALALLOCATION CLAS	399 BOYLSTON STREET 8TH FLOOR BOSTON MA US 02116	0.40%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	JPMORGAN INCOME BUILDER FUND CLASS	1111 POLARIS PARKWAY COLUMBUS OH US 43240	0.08%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	CLEARBRIDGE SELECT FUND CL A	620 8TH AVENUE 49TH FL. NEW YORK NY US 10018	0.40%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	LORD ABBETT FLOATINGRATE FUND I	90 HUDSON STREET 10TH FLOOR JERSEY CITY NJ US 07302	0.15%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	LORD ABBETT SHORT DURATION INCOME C	90 HUDSON STREET 10TH FLOOR JERSEY CITY NJ US 07302	0.15%

SCHEDULE C SUPPLEMENTAL REPORT

PART I, LINE 3 - INFORMATION ON SERVICE PROVIDERS RECEIVING INDIRECT FEES

SERVICE PROVIDER NAME <i>Part I, Line 3(a)</i>	SERVICE CODE <i>Part I, Line 3(b)</i> <i>Part I, Line 2(b)</i>	AMOUNT OF INDIRECT COMPENSATION <i>Part I, Line 3(c)</i>	NAME OF SOURCE OF INDIRECT COMPENSATION <i>Part I, Line 3(d)</i>	EIN/ADDRESS OF SOURCE OF INDIRECT COMPENSATION *1 <i>Part I, Line 3(d)</i>	DESCRIPTION OF INDIRECT COMPENSATION (ANNUALIZED FORMULA) <i>Part I, Line 3(e)</i>
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	LORD ABBETT HIGH YIELD FD CL I	90 HUDSON STREET 10TH FLOOR JERSEY CITY NJ US 07302	0.15%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	METROPOLITAN WEST TOTAL RETURN CLAS	865 SOUTH FIGUEROA ST. #1400 LOS ANGELES CA US 90017	0.10%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	MORGAN STANLEY GROWTH PORTFOLIO A	522 FIFTH AVENUE 4TH FLOOR NEW YORK NY US 10036	0.40%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	MORGAN STANLEY INSIGHT A	522 FIFTH AVENUE 4TH FLOOR NEW YORK NY US 10036	0.40%
FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	60	\$0	THORNBURG GLOBAL OPPORTUNITIES CL C	2300 NORTH RIDGETOP RD SANTA FE NM US 87506	0.10%

SCHEDULE C SUPPLEMENTAL REPORT

ELIGIBLE INDIRECT COMPENSATION DISCLOSURE FOR COMPLETION OF PART I, LINE 1(a) AND PART I, LINE 2(f)

FUND CODE	NAME OF SOURCE OF INDIRECT COMPENSATION	TICKER	PARTY OR PARTIES RECEIVING INDIRECT COMPENSATION	SERVICES PROVIDED OR PURPOSE OF PAYMENT FOR INDIRECT COMPENSATION	AMOUNT, ESTIMATE OF FORMULA USED TO CALCULATE INDIRECT COMPENSATION (ANNUALIZED FORMULA)
0415	FID TREASURY ONLY MM - FIDELITY MANAGEMENT & RESEARCH COMPANY LLC	FDLXX	FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	FUND MANAGEMENT	0.42%
0632	MIP CL 1		FIDELITY MANAGEMENT TRUST COMPANY	FUND MANAGEMENT	0.55%
3019	FID FREEDOM INC K - FIDELITY MANAGEMENT & RESEARCH COMPANY LLC	FNSHX	FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	FUND MANAGEMENT	0.44%
3020	FID FREEDOM 2005 K - FIDELITY MANAGEMENT & RESEARCH COMPANY LLC	FSNJX	FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	FUND MANAGEMENT	0.44%
3021	FID FREEDOM 2010 K - FIDELITY MANAGEMENT & RESEARCH COMPANY LLC	FSNKX	FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	FUND MANAGEMENT	0.48%

SCHEDULE C SUPPLEMENTAL REPORT

ELIGIBLE INDIRECT COMPENSATION DISCLOSURE FOR COMPLETION OF PART I, LINE 1(a) AND PART I, LINE 2(f)

FUND CODE	NAME OF SOURCE OF INDIRECT COMPENSATION	TICKER	PARTY OR PARTIES RECEIVING INDIRECT COMPENSATION	SERVICES PROVIDED OR PURPOSE OF PAYMENT FOR INDIRECT COMPENSATION	AMOUNT, ESTIMATE OF FORMULA USED TO CALCULATE INDIRECT COMPENSATION (ANNUALIZED FORMULA)
3022	FID FREEDOM 2015 K - FIDELITY MANAGEMENT & RESEARCH COMPANY LLC	FSNLX	FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	FUND MANAGEMENT	0.51%
3023	FID FREEDOM 2020 K - FIDELITY MANAGEMENT & RESEARCH COMPANY LLC	FSNOX	FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	FUND MANAGEMENT	0.54%
3024	FID FREEDOM 2025 K - FIDELITY MANAGEMENT & RESEARCH COMPANY LLC	FSNPX	FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	FUND MANAGEMENT	0.57%
3025	FID FREEDOM 2030 K - FIDELITY MANAGEMENT & RESEARCH COMPANY LLC	FSNQX	FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	FUND MANAGEMENT	0.61%
3026	FID FREEDOM 2035 K - FIDELITY MANAGEMENT & RESEARCH COMPANY LLC	FSNUX	FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	FUND MANAGEMENT	0.64%

SCHEDULE C SUPPLEMENTAL REPORT

ELIGIBLE INDIRECT COMPENSATION DISCLOSURE FOR COMPLETION OF PART I, LINE 1(a) AND PART I, LINE 2(f)

FUND CODE	NAME OF SOURCE OF INDIRECT COMPENSATION	TICKER	PARTY OR PARTIES RECEIVING INDIRECT COMPENSATION	SERVICES PROVIDED OR PURPOSE OF PAYMENT FOR INDIRECT COMPENSATION	AMOUNT, ESTIMATE OF FORMULA USED TO CALCULATE INDIRECT COMPENSATION (ANNUALIZED FORMULA)
3027	FID FREEDOM 2040 K - FIDELITY MANAGEMENT & RESEARCH COMPANY LLC	FSNVX	FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	FUND MANAGEMENT	0.66%
3028	FID FREEDOM 2045 K - FIDELITY MANAGEMENT & RESEARCH COMPANY LLC	FSNZX	FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	FUND MANAGEMENT	0.65%
3029	FID FREEDOM 2050 K - FIDELITY MANAGEMENT & RESEARCH COMPANY LLC	FNSBX	FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	FUND MANAGEMENT	0.65%
3030	FID FREEDOM 2055 K - FIDELITY MANAGEMENT & RESEARCH COMPANY LLC	FNSDX	FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	FUND MANAGEMENT	0.65%
3031	FID FREEDOM 2060 K - FIDELITY MANAGEMENT & RESEARCH COMPANY LLC	FNSFX	FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	FUND MANAGEMENT	0.65%

SCHEDULE C SUPPLEMENTAL REPORT

ELIGIBLE INDIRECT COMPENSATION DISCLOSURE FOR COMPLETION OF PART I, LINE 1(a) AND PART I, LINE 2(f)

FUND CODE	NAME OF SOURCE OF INDIRECT COMPENSATION	TICKER	PARTY OR PARTIES RECEIVING INDIRECT COMPENSATION	SERVICES PROVIDED OR PURPOSE OF PAYMENT FOR INDIRECT COMPENSATION	AMOUNT, ESTIMATE OF FORMULA USED TO CALCULATE INDIRECT COMPENSATION (ANNUALIZED FORMULA)
3416	FID FREEDOM 2065 K - FIDELITY MANAGEMENT & RESEARCH COMPANY LLC	FFSDX	FIDELITY INVESTMENTS INSTITUTIONAL OPERATIONS COMPANY, INC.	FUND MANAGEMENT	0.65%
OFTQ	TRP EQUITY INCOME - T. ROWE PRICE ASSOCIATES, INC.	PRFDX	T. ROWE PRICE	FUND MANAGEMENT	0.53%
OFVH	VANGUARD INST INDEX - VANGUARD GROUP INC	VINIX	VANGUARD	FUND MANAGEMENT	0.02%
OKHY	BR HIGH EQ INC IS - BLACKROCK ADVISORS LLC	BMCIX	BLACKROCK	FUND MANAGEMENT	0.81%
OKXW	VANG STR SMCP EQ INV - VANGUARD GROUP INC	VSTCX	VANGUARD	FUND MANAGEMENT	0.24%

SCHEDULE C SUPPLEMENTAL REPORT

ELIGIBLE INDIRECT COMPENSATION DISCLOSURE FOR COMPLETION OF PART I, LINE 1(a) AND PART I, LINE 2(f)

FUND CODE	NAME OF SOURCE OF INDIRECT COMPENSATION	TICKER	PARTY OR PARTIES RECEIVING INDIRECT COMPENSATION	SERVICES PROVIDED OR PURPOSE OF PAYMENT FOR INDIRECT COMPENSATION	AMOUNT, ESTIMATE OF FORMULA USED TO CALCULATE INDIRECT COMPENSATION (ANNUALIZED FORMULA)
OQAE	VANG EXT MKT IDX ADM - VANGUARD GROUP INC	VEXAX	VANGUARD	FUND MANAGEMENT	0.05%
OQYT	AF GROWTH OF AMER R5 - CAPITAL RESEARCH AND MANAGEMENT COMPANY	RGAFX	AMERICAN FUNDS	FUND MANAGEMENT	0.26%
OS4X	VANG TOT INTL STK AD - VANGUARD GROUP INC	VTIAX	VANGUARD	FUND MANAGEMENT	0.10%
OS63	BAIRD AGGR BOND INST - ROBERT W. BAIRD & CO. INCORPORATED	BAGIX	BAIRD	FUND MANAGEMENT	0.25%
OUPM	DFA INTL SMALL CO I - DIMENSIONAL FUND ADVISORS LP	DFISX	DIMENSIONAL FUND ADVISORS	FUND MANAGEMENT	0.38%

SCHEDULE C SUPPLEMENTAL REPORT

ELIGIBLE INDIRECT COMPENSATION DISCLOSURE FOR COMPLETION OF PART I, LINE 1(a) AND PART I, LINE 2(f)

FUND CODE	NAME OF SOURCE OF INDIRECT COMPENSATION	TICKER	PARTY OR PARTIES RECEIVING INDIRECT COMPENSATION	SERVICES PROVIDED OR PURPOSE OF PAYMENT FOR INDIRECT COMPENSATION	AMOUNT, ESTIMATE OF FORMULA USED TO CALCULATE INDIRECT COMPENSATION (ANNUALIZED FORMULA)
OURR	DFA LARGE CAP INTL I - DIMENSIONAL FUND ADVISORS LP	DFALX	DIMENSIONAL FUND ADVISORS	FUND MANAGEMENT	0.18%

SCHEDULE C SUPPLEMENTAL REPORT

*1 If EIN is provided, Address will not be provided. If EIN is not available and Address is available, the Address will be displayed. If neither EIN nor Address is available "See Note *2" will be displayed.

*2 Disclosures in this report are intended to satisfy the alternative reporting option. If complete information for non-Fidelity funds and/or other third party service providers related to compensation is not available, more information for the annual operating expenses of the fund may be found in the Fee Table of the Fund Summary section of each prospectus and/or statement of additional information. In addition, information about the services provided and the identity of the entities performing services with respect to each fund may be found in the Fund Services section of each prospectus. If the compensation being reported relates to a Fidelity fund, more information for the annual operating expenses of the fund may be found in the Fee section of one or more of the following sources: investment management agreement, trust agreement, collective trust participation agreement, commingled pool participation agreement, mutual fund prospectus, statement of additional information, the fund's investment manager or annuity provider.

*3 For Self-Directed Brokerage Investments, each fund family may offer an indirect support fee paid to Fidelity Investments. Each indirect support fee paid by a fund may differ depending on the form of investment. Please contact your Fidelity representative to receive information on indirect support fees for your Self-Directed Brokerage Investments.

*4 Net Expense Ratio is displayed.

*5 Gross Expense Ratio is displayed.

*6 Disclosures in this report are intended to satisfy the alternative reporting option. If complete information for this Fund is not available, the fee information for the annual operating expenses and/or information about the services provided and identity of the entities performing the services can be found in the Fee section of one or more of the following sources: investment management agreement, trust agreement, collective trust participation agreement, commingled pool participation agreement, mutual fund prospectus, the fund's investment manager or annuity provider.

Additional Information:

Fidelity may have provided non-monetary compensation, such as meals or business entertainment, to associates or service providers during the plan year for which this data is being reported. If you believe there are associates or plan service providers who have received total non-monetary compensation, from all sources of \$5,000 or more, that are not reflected on this report, you may contact your Fidelity representative and ask for a detailed summary of non-monetary compensation provided during the plan year. For additional information, please refer to the Auditor's Guide.

Fidelity utilizes omnibus accounts at unaffiliated banks to facilitate transactions for the defined contribution plans it services as set forth in your trust or service agreement or letter of authorization. If markets permit, omnibus account balances are invested in short-term investments that generally earn a rate approximating the Target Federal Funds Rate and/or money market rates. These earnings are called "float" and are considered eligible indirect compensation for purposes of Schedule C. Fidelity uses these earnings to pay bank fees and make other required adjustments and generally retains any float earnings that exceed such fees and adjustments. Fidelity is responsible for paying bank fees to the extent they exceed float earnings.

Since the amount of float generated depends on the length of time that assets are held in the omnibus accounts, Fidelity adheres to the following specific time frames within which cash pending investment or distribution is held:

SCHEDULE C SUPPLEMENTAL REPORT

- If contributions are received by Fidelity in good order before the close of trading, Fidelity executes transactions in the investment options as of that day's closing price (the "transaction date" or "T"). Settlement of trades for most investment options occurs on the following business day ("T+1") or, in the case of certain securities including company stock, on T+2 (prior to September 5, 2017 the settlement period for certain securities including company stock was T+3).
- Exchange requests received by Fidelity in good order before the close of trading are processed in that day's nightly cycle. If investment options have identical settlement rules, exchanges between them generate no overnight balances, as money is received from one investment option and conveyed to another investment option on the same business day. If investment options have different settlement rules, balances attributable to exchanges may remain in the omnibus account for a few days if FMTC serves as trustee of the plan.
- Disbursement requests received in good order before the close of trading are processed in that day's nightly cycle, and reflected as debits from participant accounts as of that date ("T"). Proceeds attributable to disbursements are received from investment options or from the unaffiliated Plan trustee based on the settlement period for the investment options. Disbursements are made to participants on T+2 either through electronic funds transfers or by mailing a check. Disbursement proceeds distributed by check remain in the omnibus account until the check is presented for payment.