

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 <div style="text-align: center; font-size: 1.2em; font-weight: bold;">2020</div> This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2020 or fiscal plan year beginning <u>01/01/2020</u> and ending <u>12/31/2020</u>	
A This return/report is for:	☐ a multiemployer plan ☒ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
	☐ a single-employer plan ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report ☐ the final return/report
	☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program
	☐ special extension (enter description)

Part II	Basic Plan Information —enter all requested information				
1a Name of plan <u>HENRY CROWN AND COMPANY PENSION PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>101</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>01/01/1985</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>101</u>	1c Effective date of plan <u>01/01/1985</u>	
1b Three-digit plan number (PN) ▶	<u>101</u>				
1c Effective date of plan <u>01/01/1985</u>					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>HENRY CROWN & COMPANY S LLC</u> <u>222 N LASALLE ST STE 1000</u> <u>CHICAGO, IL 60601</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>36-2123962</u></td> </tr> <tr> <td>2c Plan Sponsor's telephone number <u>312-855-4000</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>551112</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>36-2123962</u>	2c Plan Sponsor's telephone number <u>312-855-4000</u>	2d Business code (see instructions) <u>551112</u>	
2b Employer Identification Number (EIN) <u>36-2123962</u>					
2c Plan Sponsor's telephone number <u>312-855-4000</u>					
2d Business code (see instructions) <u>551112</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/08/2021	ARTHUR FABBRO
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2020)
v. 200204

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN
		3c Administrator's telephone number
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN
5 Total number of participants at the beginning of the plan year		5 931
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).		
a(1) Total number of active participants at the beginning of the plan year.....		6a(1) 219
a(2) Total number of active participants at the end of the plan year		6a(2) 241
b Retired or separated participants receiving benefits.....		6b 358
c Other retired or separated participants entitled to future benefits		6c 299
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d 898
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e 58
f Total. Add lines 6d and 6e		6f 956
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g 0
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h 3
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 1A 3H		
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:		
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)		
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☒ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ <u>0</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2020 Form M-1 annual report. If the plan was not required to file the 2020 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

Part II Beginning of Year Carryover and Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9 Amount remaining (line 7 minus line 8)	0	0
10 Interest on line 9 using prior year's actual return of <u>23.37</u> %	0	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		0
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>5.58</u> %		0
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
c Total available at beginning of current plan year to add to prefunding balance		0
d Portion of (c) to be added to prefunding balance		0
12 Other reductions in balances due to elections or deemed elections	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	0

Part III Funding Percentages

14 Funding target attainment percentage	14	179.71 %
15 Adjusted funding target attainment percentage	15	161.90 %
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	147.93 %
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
Totals ▶			18(b)	0	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	0

20 Quarterly contributions and liquidity shortfalls:

- a** Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No
- b** If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No
- c** If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost**21** Discount rate:**a** Segment rates:1st segment:
3.64 %2nd segment:
5.21 %3rd segment:
5.94 %☐ N/A, full yield curve used**b** Applicable month (enter code)**21b**

0

22 Weighted average retirement age**22**

62

23 Mortality table(s) (see instructions) ☐ Prescribed - combined☒ Prescribed - separate☐ Substitute**Part VI Miscellaneous Items****24** Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No**25** Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No**26** Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No**27** If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.**27****Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years****28** Unpaid minimum required contributions for all prior years**28**

0

29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)**29**

0

30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)**30**

0

Part VIII Minimum Required Contribution For Current Year**31** Target normal cost and excess assets (see instructions):**a** Target normal cost (line 6)**31a**

2640155

b Excess assets, if applicable, but not greater than line 31a**31b**

2640155

32 Amortization installments:**a** Net shortfall amortization installment

0

0

b Waiver amortization installment

0

0

33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount**33****34** Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)**34**

0

35 Balances elected for use to offset funding requirement

0

0

0

36 Additional cash requirement (line 34 minus line 35)**36**

0

37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)**37**

0

38 Present value of excess contributions for current year (see instructions)**a** Total (excess, if any, of line 37 over line 36)**38a**

0

b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances**38b**

0

39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)**39**

0

40 Unpaid minimum required contributions for all years**40**

0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)**41** If an election was made to use PRA 2010 funding relief for this plan:**a** Schedule elected ☐ 2 plus 7 years ☐ 15 years**b** Eligible plan year(s) for which the election in line 41a was made ☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2020
		This Form is Open to Public Inspection.

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020		
A Name of plan HENRY CROWN AND COMPANY PENSION PLAN	B Three-digit plan number (PN) ▶	101
C Plan sponsor's name as shown on line 2a of Form 5500 HENRY CROWN & COMPANY S LLC	D Employer Identification Number (EIN) 36-2123962	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. ☐ Yes ☒ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

SELECT EQUITY GROUP LP

46-3465710

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 51	INVEST ADVISOR	234902	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

SILCHESTER INTERNATIONAL INVESTORS

36-7045783

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 51	INVEST ADVISOR	225169	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

MERCER

13-2834414

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 50	ACTUARY	202076	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

HARRIS ASSOCIATES L.P.

36-3484078

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 51 68 52	INVEST ADVISOR	179499	Yes ☒ No ☐	Yes ☒ No ☐	14021	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

NEUBERGER BERMAN, LLC

13-5521910

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 51	INVEST ADVISOR	101085	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

THE NORTHERN TRUST COMPANY

36-1561860

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 27 50 51 99	TRUSTEE	99905	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

LYRICAL ASSET MANAGEMENT

37-1572793

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 51	INVEST ADVISOR	42807	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

PRICEWATERHOUSECOOPERS LLP

13-4008324

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 50	AUDITOR	38088	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
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(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2020 This Form is Open to Public Inspection.
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For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan <u>HENRY CROWN AND COMPANY PENSION PLAN</u>	B Three-digit plan number (PN) ►	<u>101</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>HENRY CROWN & COMPANY S LLC</u>	D Employer Identification Number (EIN) <u>36-2123962</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: <u>NT COLLECTIVE SHORT TERM INV FUND</u>		
b Name of sponsor of entity listed in (a): <u>NORTHERN TRUST INVESTMENTS, INC.</u>		
c EIN-PN <u>45-6138589-084</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>4116388</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>SILCHESTER INT'L VALUE EQUITY TRUST</u>		
b Name of sponsor of entity listed in (a): <u>SILCHESTER INTERNATIONAL INVESTORS LLP</u>		
c EIN-PN <u>36-7045783-001</u>	d Entity code <u>E</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>25794841</u>
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2020 This Form is Open to Public Inspection
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For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan <u>HENRY CROWN AND COMPANY PENSION PLAN</u>	B Three-digit plan number (PN) <u>101</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>HENRY CROWN & COMPANY S LLC</u>	D Employer Identification Number (EIN) <u>36-2123962</u>

Part I	Asset and Liability Statement	
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1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets	(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a	
b Receivables (less allowance for doubtful accounts):		
(1) Employer contributions	1b(1)	
(2) Participant contributions.....	1b(2)	
(3) Other	1b(3)	91455
c General investments:		
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	
(2) U.S. Government securities	1c(2)	
(3) Corporate debt instruments (other than employer securities):		
(A) Preferred	1c(3)(A)	
(B) All other	1c(3)(B)	691515
(4) Corporate stocks (other than employer securities):		
(A) Preferred	1c(4)(A)	279815
(B) Common	1c(4)(B)	87731958
(5) Partnership/joint venture interests	1c(5)	294941
(6) Real estate (other than employer real property)	1c(6)	
(7) Loans (other than to participants)	1c(7)	
(8) Participant loans	1c(8)	
(9) Value of interest in common/collective trusts	1c(9)	5694143
(10) Value of interest in pooled separate accounts	1c(10)	
(11) Value of interest in master trust investment accounts	1c(11)	
(12) Value of interest in 103-12 investment entities	1c(12)	26008382
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	
(15) Other	1c(15)	0

		(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:		
(1)	Employer securities.....	1d(1)	
(2)	Employer real property.....	1d(2)	
e	Buildings and other property used in plan operation	1e	
f	Total assets (add all amounts in lines 1a through 1e)	1f	120792209 132106409
Liabilities			
g	Benefit claims payable	1g	
h	Operating payables	1h	160984 162331
i	Acquisition indebtedness.....	1i	
j	Other liabilities.....	1j	0 420896
k	Total liabilities (add all amounts in lines 1g through 1j)	1k	160984 583227
Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	120631225 131523182

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
a	Contributions:		
(1)	Received or receivable in cash from: (A) Employers	2a(1)(A)	
	(B) Participants	2a(1)(B)	
	(C) Others (including rollovers).....	2a(1)(C)	
(2)	Noncash contributions	2a(2)	
(3)	Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)	0
b	Earnings on investments:		
(1)	Interest:		
	(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	27621
	(B) U.S. Government securities	2b(1)(B)	
	(C) Corporate debt instruments	2b(1)(C)	64882
	(D) Loans (other than to participants)	2b(1)(D)	
	(E) Participant loans.....	2b(1)(E)	
	(F) Other	2b(1)(F)	
	(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)	92503
(2)	Dividends: (A) Preferred stock.....	2b(2)(A)	7931
	(B) Common stock	2b(2)(B)	1265195
	(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	
	(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)	1273126
(3)	Rents	2b(3)	
(4)	Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	63444918
	(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	54592766
	(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)	8852152
(5)	Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	
	(B) Other	2b(5)(B)	5017800
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)	5017800

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		
c Other income	2c		
d Total income. Add all income amounts in column (b) and enter total.....	2d		15235581

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	3142572	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		3142572
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees	2i(1)	239064	
(2) Contract administrator fees	2i(2)	95300	
(3) Investment advisory and management fees	2i(3)	789166	
(4) Other.....	2i(4)	77522	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		1201052
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		4343624

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		10891957
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unmodified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: PRICEWATERHOUSECOOPERS LLP

(2) EIN: 13-4008324

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		10000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)	X		
4j	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		X	
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			
5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☒ No If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.			
5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)			
5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)	
5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☒ Yes ☐ No ☐ Not determined If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year <u>4317975</u> .			

<div>SCHEDULE R (Form 5500) <div>Department of the Treasury Internal Revenue Service</div><div>Department of Labor Employee Benefits Security Administration</div><div>Pension Benefit Guaranty Corporation</div></div>		<div>Retirement Plan Information</div> <div>This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500.</div>		<div>OMB No. 1210-0110</div> <div>2020</div> <div>This Form is Open to Public Inspection.</div>	
For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020					
A Name of plan HENRY CROWN AND COMPANY PENSION PLAN				B Three-digit plan number (PN) ▶	101
C Plan sponsor's name as shown on line 2a of Form 5500 HENRY CROWN & COMPANY S LLC				D Employer Identification Number (EIN) 36-2123962	
Part I Distributions					
All references to distributions relate only to payments of benefits during the plan year.					
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....				1	0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 36-1561860					
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.					
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year				3	0
Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)					
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ☐ Yes ☒ No ☐ N/A If the plan is a defined benefit plan, go to line 8.					
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.					
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)				6a	
b Enter the amount contributed by the employer to the plan for this plan year				6b	
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....				6c	
If you completed line 6c, skip lines 8 and 9.					
7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ☐ Yes ☐ No ☐ N/A					
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ☐ Yes ☐ No ☒ N/A					
Part III Amendments					
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... ☐ Increase ☐ Decrease ☐ Both ☒ No					
Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.					
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ☐ Yes ☐ No					
11 a Does the ESOP hold any preferred stock? ☐ Yes ☐ No					
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ☐ Yes ☐ No					
12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ☐ Yes ☐ No					
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.				Schedule R (Form 5500) 2020 v. 200204	

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year.....

15b

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year.....

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

20 PBGC missed contribution reporting requirements. If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

Henry Crown and Company Pension Plan

**Financial Statements and Supplemental
Schedules**

December 31, 2020 and 2019

Henry Crown and Company Pension Plan

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Note:	Other schedules of additional financial information required by Section 2520.103-10 of the Department of Labor’s Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 (“ERISA”) have been omitted because they are not applicable.



Report of Independent Auditors

To the Administrator of
Henry Crown and Company Pension Plan

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Henry Crown and Company Pension Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2020 and 2019 and the related statements of changes in net assets available for benefits for the years then ended and the statement of accumulated plan benefits as of December 31, 2019 and the related statement of changes in accumulated plan benefits for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on the financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 6, which was certified by The Northern Trust Company, the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the trustee as of December 31, 2020 and 2019 and for the years then ended, that the information provided to the plan administrator by the trustee is complete and accurate.



Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on the financial statements.

Other Matter

The supplemental schedules of Schedule of Assets (Held at End of Year) as of December 31, 2020 and of Reportable Transactions for the year ended December 31, 2020 are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on these supplemental schedules.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

PriceWaterhouseCoopers LLP

Chicago, Illinois
October 6, 2021

Henry Crown and Company Pension Plan
Statements of Net Assets Available for Benefits
December 31, 2020 and 2019

	2020	2019
Assets		
Investments, at fair value		
Common stocks	\$ 99,862,244	\$ 87,731,958
Preferred stocks	-	279,815
Common and collective trusts	29,911,229	31,702,525
Limited partnerships	291,460	294,941
Corporate bonds	1,939,569	691,515
Total investments	<u>132,004,502</u>	<u>120,700,754</u>
Receivables		
Due from broker for securities sold	1,918	-
Investment income receivable	99,989	91,455
Total receivables	<u>101,907</u>	<u>91,455</u>
Total assets	132,106,409	120,792,209
Liabilities		
Due to broker for securities purchased	420,896	-
Accrued expenses	162,331	160,984
Total liabilities	<u>583,227</u>	<u>160,984</u>
Net assets available for benefits	<u>\$ 131,523,182</u>	<u>\$ 120,631,225</u>

The accompanying notes are an integral part of these financial statements.

Henry Crown and Company Pension Plan
Statements of Changes in Net Assets Available for Benefits
Years Ended December 31, 2020 and 2019

	2020	2019
Additions to net assets attributed to		
Interest income	\$ 27,621	\$ 174,900
Investment income	64,882	46,503
Dividend income	1,273,126	1,389,221
Net appreciation in fair value of investments	13,869,952	25,574,562
Total additions	<u>15,235,581</u>	<u>27,185,186</u>
Deductions from net assets attributed to		
Annuity Purchase	-	19,306,860
Benefit payments to participants	3,142,572	4,810,702
Investment management fees	789,166	953,107
Administrative expenses	411,886	578,089
Total deductions	<u>4,343,624</u>	<u>25,648,758</u>
Net increase	<u>10,891,957</u>	<u>1,536,428</u>
Net assets available for benefits		
Beginning of year	<u>120,631,225</u>	<u>119,094,797</u>
End of year	<u><u>\$ 131,523,182</u></u>	<u><u>\$ 120,631,225</u></u>

The accompanying notes are an integral part of these financial statements.

Henry Crown and Company Pension Plan
Statement of Accumulated Plan Benefits
December 31, 2019

Actuarial present value of accumulated plan benefits

Vested benefits

Participants currently receiving benefits \$ 25,778,248

Other participants 27,828,603

Total 53,606,851

Nonvested benefits 427,693

Total actuarial present value of accumulated plan benefits \$ 54,034,544

The accompanying notes are an integral part of these financial statements.

Henry Crown and Company Pension Plan
Statement of Changes in Accumulated Plan Benefits
Year Ended December 31, 2019

Actuarial present value of accumulated plan benefits	
December 31, 2018	\$ 67,392,862
Increase (decrease) during the year	
Annuity Purchase	(19,306,860)
Benefits paid	(4,810,702)
Increase for interest due to a decrease in the discount period	4,553,558
Benefits accumulated and losses	6,691,692
Change in actuarial assumptions	<u>(486,006)</u>
Net decrease	(13,358,318)
Actuarial present value of accumulated plan benefits	
December 31, 2019	<u>\$ 54,034,544</u>

The accompanying notes are an integral part of these financial statements.

Henry Crown and Company Pension Plan

Notes to Financial Statements

December 31, 2020 and 2019

1. Description of the Plan

The following description provides general information on the provisions of the Henry Crown and Company Pension Plan (the “Plan”), a multiple-employer plan, sponsored by Henry Crown and Company S LLC, (the “Company”). Participants should refer to the Plan document for a more complete description of the Plan’s provisions.

General

The Plan was adopted effective January 1, 1985, and was last amended and restated effective January 1, 2012, to comply with law changes.

The Plan is a noncontributory, defined benefit plan currently covering salaried employees of the Company and Longview Asset Management, LLC (each as “Employer”).

The Plan also provides benefits to participants of the Company’s discontinued or sold operations:

- Petroleum and marine operations
- CHF Industries, Inc. and its Aberdeen, Cameo and Joanna Divisions
- American Envelope Company and its various divisions
- Woodard, LLC

The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (“ERISA”), as amended.

The Northern Trust Company is the trustee of the Plan.

In December 2019, a novel strain of coronavirus disease (“COVID-19”) was first reported in Wuhan, China and on March 11, 2020, the World Health Organization declared COVID-19 a pandemic. In December 2020, vaccines were approved for distribution in the United States and began to be administered. The COVID-19 pandemic has led to extreme volatility in financial markets and has affected, and may continue to affect, the Plan Assets. The extent of COVID-19’s effect on the Plan’s performance will depend on future developments, including the duration, spread and intensity of the pandemic, all of which are uncertain and difficult to predict. Plan Management will continue to monitor the impact COVID-19 has on the Plan and will reflect the consequences as appropriate in the Plan’s financial records.

The Plan adopted several provisions permitted by the Coronavirus Aid, Relief and Economic Security (“CARES”) Act, enacted by the U.S. federal government on March 27, 2020. These provisions relate to payment of benefits. Written amendments to the Plan to reflect these operational changes were adopted in accordance with applicable laws and IRS guidance.

Participation

Generally, employees are eligible to participate after attaining age 21 and completing 12 consecutive months of service.

Funding Policy

The Employers make annual contributions based on the funding recommendations of the Plan’s actuary to meet the minimum funding requirements under ERISA. The Plan is in compliance with applicable ERISA funding requirements for 2020 and 2019.

Henry Crown and Company Pension Plan

Notes to Financial Statements

December 31, 2020 and 2019

Description of the Plan – Continued

Principal Benefit Provisions

Salaried Employees

The monthly retirement benefit payable on a participant's normal retirement date for all eligible participants of businesses currently participating in the Plan is one-twelfth (1/12) of the sum of the following amounts determined for each year of credited service:

Plan Years 1985 Through 1988		Plan Years After 1988
(a)	(b)	(c)
1.5%	2.5%	1.5%

- Percentage of the participant's compensation (as defined) that is not in excess of the Social Security taxable wage base for the year, plus
- Percentage of the participant's compensation (as defined) that is in excess of the Social Security taxable wage base for the year
- Percentage of the participant's compensation (as defined) for the year

Hourly Employees

The monthly retirement benefit payable on a participant's normal retirement date is one-twelfth (1/12) of the benefit level times the years of credited service earned in the following periods:

Period	Benefit Level
1987-1999	\$ 120
2000	144
2001	168
After 2001	180

Vesting

Participants become fully vested upon the completion of five years of service.

Retirement Benefit Options

The Plan provides for normal retirement benefits to begin on the first day of the month on or after the participant's 65th birthday, with various options for early retirement or late retirement, death or long-term disability. The Plan's normal form of benefit for a single participant is a Straight Life Annuity, and for a married participant a 50% Joint and Survivor Annuity, which provides a lifetime benefit payable to the participant and, upon death, 50% of such benefit payable to the participant's surviving spouse.

Participants may also elect one of the following options:

Straight Life Annuity - Under this option, benefits are paid only during the life of the participant.

Henry Crown and Company Pension Plan

Notes to Financial Statements

December 31, 2020 and 2019

Description of the Plan – Continued

Five- or Ten-Year Certain Life Annuity - Under this option, benefits are paid in the form of a lifetime benefit; however, if the participant dies before 60 or 120 monthly payments have been made, depending on the term elected, payments will continue to a beneficiary until a total of 60 or 120 payments have been made.

Contingent Annuity - This option is similar to the Joint and Survivor Annuity described above. However, the individual named as the contingent annuitant can be an individual other than the participant's spouse. The benefits payable to the contingent annuitant can be 100%, 75% or 50% of the benefits earned by the participant, depending upon the election made by the participant.

Lump Sum - Participants who were employed by the discontinued CHF Industries, Inc. - Aberdeen Division may elect a lump-sum distribution of their accrued benefits earned prior to January 1, 1985.

Selection of one of these options by a married participant is contingent upon spousal consent.

If a participant terminates their employment with the Company before completing the required years of vesting service, they do not receive Plan benefits. Participants who terminate with vested accumulated Plan benefits that are less than \$5,000 receive their accumulated benefits as a lump-sum distribution.

The Plan was amended effective October 1, 2019, to direct the Trustee to purchase a group annuity contract for a certain group of participants. In October 2019, the Company entered into an agreement with Pacific Life Insurance Company to purchase a group annuity contract for approximately 1,100 retirees and beneficiaries who satisfied certain conditions and were receiving a monthly benefit from the Plan. There was no change to the pension benefits for any Plan participants as a result of this transaction. The purchase of the group annuity was funded directly by Plan assets of \$19,306,860.

Administrative Expenses and Investment Management Fees

Expenses of administration such as legal, accounting, actuary, investment management fees, recordkeeping and trustee fees, are paid from the assets of the Plan.

Plan Termination

Although the Company has not expressed any intent to terminate the Plan, it may do so at any time, subject to the provisions of ERISA. In the event the Plan terminates, the assets of the Plan will be allocated as prescribed by ERISA and its related regulations, generally to provide the following benefits in the order indicated:

- a. Benefits for all participants or beneficiaries whose retirement benefits have been or could have been payable three years prior to termination of the Plan.
- b. Benefits guaranteed by and up to the insurance limitations of the Pension Benefit Guaranty Corporation ("PBGC").
- c. Benefits that are vested but not guaranteed by the termination insurance provisions of ERISA.

Henry Crown and Company Pension Plan

Notes to Financial Statements

December 31, 2020 and 2019

Description of the Plan – Continued

If the Plan terminates, a portion of the benefits under the Plan is insured by the PBGC (a U.S. Government agency). Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits, and certain disability and survivor benefits. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Whether all participants receive their benefits should the Plan terminate at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide those benefits, and may also depend on the level of benefits guaranteed by the PBGC.

2. Summary of Significant Accounting Policies

Basis of Accounting

The financial statements are prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America ("GAAP").

Use of Estimates

The preparation of the financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities and changes therein, disclosure of contingent assets and liabilities, and the actuarial present value of accumulated Plan benefits at the date of the financial statements. Actual results could differ from those estimates.

Subsequent Events

Events or transactions occurring after the period end through October 6, 2021, the date that the financial statements were available to be issued, have been evaluated in the preparation of the financial statements.

Valuation of Investments and Income Recognition

Investments are stated at fair value. Fair value is the price that would be received to sell an asset in an orderly transaction between market participants at the measurement date. See Note 5 for discussion of fair value measurements. Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date.

Net Appreciation (Depreciation) in Fair Value of Investments

Net realized and unrealized appreciation (depreciation), which includes gains and losses on investments bought and sold, as well as held during the year, is recorded in the accompanying Statements of Changes in Net Assets Available for Benefits as net appreciation (depreciation) in fair value of investments.

Payment of Benefits

Benefits are recorded when paid.

Recent Accounting Pronouncement

In August, 2020, the Financial Accounting Standards Board ("FASB") issued Accounting Standards Update ("ASU") 2019-13, *Fair Value Measurement (Topic 820): Disclosure Framework – Changes to the Disclosure Requirements for Fair Value Measurement*, which includes additions, modifications and eliminations to existing disclosure requirements for fair value measurement. The standard, which was adopted in 2020, did not have a significant impact on the Plan.

Henry Crown and Company Pension Plan

Notes to Financial Statements

December 31, 2020 and 2019

3. Actuarial Present Value of Accumulated Plan Benefits

Accumulated Plan benefits are those future periodic payments, including lump-sum distributions that are attributable under the Plan's provisions to the services employees have rendered.

Accumulated Plan benefits include benefits expected to be paid to (a) retired or terminated employees or their beneficiaries, (b) beneficiaries of employees who have died, and (c) present employees or their beneficiaries. Benefits payable under all circumstances (i.e., retirement, death, disability and termination of employment) are included, to the extent they are deemed attributable to employee service rendered to the valuation date.

The actuarial present value of accumulated Plan benefits is determined by the Plan's actuary, Mercer, and is that amount that results from applying actuarial assumptions to adjust the accumulated Plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements such as for death, disability, withdrawal or retirement) between the valuation date and the expected date of payment.

Significant actuarial assumptions used in the valuations as of January 1 are as follows:

	2020	2019
Discount rate per ASC 960	7.50% per annum	7.50% per annum
Mortality	Private Sector 2012 generational sex-distinct, separate employee/retiree tables and Mercer Modified MMP 2019 projection scale.	Mercer Modified RP 2014 employee/retiree tables and Mercer Modified MMP 2018 projection scale.
Retirement age	All participants are assumed to retire between the ages of 55 and 68, with varying rates of retirement to reflect current practices.	All participants are assumed to retire between the ages of 55 and 68, with varying rates of retirement to reflect current practices.

The computations of the actuarial present value of accumulated plan benefits were made as of January 1, 2020. Had the valuations been performed as of December 31, 2019 there would be no material difference. The actuarial assumptions are based on the presumption that the Plan will continue. Were the Plan to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated Plan benefits.

4. Investments

The Plan's investments are managed by the Company's investment managers together with The Northern Trust Company, in its role as trustee of the Plan.

Henry Crown and Company Pension Plan

Notes to Financial Statements

December 31, 2020 and 2019

Investments – Continued

The following table presents investments in common and collective trusts for which fair value is measured using the net asset value (“NAV”) per share practical expedient.

December 31, 2020		Fair Value	Redemption Frequency	Redemption Notice Period
Northern Trust STIF	(a)	\$ 4,116,388	Daily	None
Silchester	(b)	25,794,841	30 days	30 days
December 31, 2019		Fair Value	Redemption Frequency	Redemption Notice Period
Northern Trust STIF	(a)	\$ 5,694,143	Daily	None
Silchester	(c)	26,008,382	30 days	30 days

(a) Northern Trust Collective Short Term Investment Fund ("Northern Trust STIF")

(b) Silchester International Investors Tobacco Free International Value Equity Group Trust ("Silchester")

(c) Silchester International Value Equity Group Trust ("Silchester")

5. Fair Value

The Plan follows guidance defined in Accounting Standards Codification, *Fair Value Measurement (Topic 820)*, which provides a framework for measuring fair value under GAAP. This framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy are described as follows:

Level 1 – Quoted market prices in active markets for identical assets or liabilities.

Level 2 – Observable inputs other than Level 1 prices such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities.

Level 3 – Unobservable inputs that are supported by little or no market activity and that are significant to the fair value of the assets and liabilities.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The Plan's investments are valued at fair value using the following methodologies:

- **Common stocks and preferred stocks:** valued at the closing price of individual shares at year-end as traded in an active market.

- **Common and collective trusts:** valued at the year-end NAV of the underlying investments comprising the common and collective trusts. The Plan holds investments in the Northern Trust STIF and Silchester. The Northern Trust STIF investment reflects high-grade money market instruments with short maturities. The Silchester investment reflects equity securities traded on international exchanges in developed markets.

Henry Crown and Company Pension Plan

Notes to Financial Statements

December 31, 2020 and 2019

Fair Value – Continued

- **Corporate bonds:** valued based on yields currently available on comparable securities of issuers with similar credit ratings. When quoted prices are not available, the bond is valued using matrix pricing or models from reputable pricing vendors.

Limited partnerships: The limited partnership is publically traded and the value is based on quoted market prices.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table presents the Plan's investment assets measured at fair value as of December 31, 2020:

Assets at Fair Value as of December 31, 2020				
	Level 1	Level 2	Level 3	Total
Common stocks	\$ 99,862,244	\$ -	\$ -	\$ 99,862,244
Limited partnerships	291,460	-	-	291,460
Corporate bonds	-	1,939,569	-	1,939,569
Total investment assets in fair value hierarchy	100,153,704	1,939,569	-	\$ 102,093,273
Common and collective trusts (a)	-	-	-	29,911,229
Total investment assets	<u>\$ 100,153,704</u>	<u>\$ 1,939,569</u>	<u>\$ -</u>	<u>\$ 132,004,502</u>

(a) Assets measured at NAV as a practical expedient are excluded from the fair value hierarchy.

For comparative purposes, the following table presents the Plan's investment assets measured at fair value as of December 31, 2019:

Assets at Fair Value as of December 31, 2019				
	Level 1	Level 2	Level 3	Total
Common stocks	\$ 87,731,958	\$ -	\$ -	\$ 87,731,958
Preferred stocks	279,815	-	-	279,815
Limited partnerships	294,941	-	-	294,941
Corporate bonds	-	691,515	-	691,515
Total investment assets in fair value hierarchy	88,306,714	691,515	-	\$ 88,998,229
Common and collective trusts (a)	-	-	-	31,702,525
Total investment assets	<u>\$ 88,306,714</u>	<u>\$ 691,515</u>	<u>\$ -</u>	<u>\$ 120,700,754</u>

(a) Assets measured at NAV as a practical expedient are excluded from the fair value hierarchy.

Henry Crown and Company Pension Plan

Notes to Financial Statements

December 31, 2020 and 2019

6. Information Certified by the Trustee

The Plan administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, the trustee has certified that the following data included in the financial statements, notes to the financial statements and supplemental schedules of assets is complete and accurate:

- Investments (except for third party information related to Silchester amounting to \$25,794,841 and \$26,088,382 as of December 31, 2020 and 2019, respectively) and investment income receivable as shown in the Statements of Net Assets Available for Benefits as of December 31, 2020 and 2019.
- Interest income, investment income, dividend income, net appreciation/(depreciation) in fair value of investments and investment management fees (except for third party information related to Silchester amounting to (\$213,540) and \$3,754,938 for the years ended December 31, 2020 and 2019, respectively), as shown in the Statements of Changes in Net Assets Available for Benefits for the years ended December 31, 2020 and 2019.
- Schedule I: Schedule H, Line 4i - Schedule of Assets (Held at End of Year), as of December 31, 2020 (except for third party information related to Silchester).
- Schedule II: Schedule H, Line 4j - Schedule of Reportable Transactions, for the year ended December 31, 2020.

The Plan's independent auditors did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and supplemental schedules.

7. Income Tax Status

The Internal Revenue Service ("IRS") has determined and informed the Company in a letter dated February 12, 2014, that the Plan as amended and restated effective January 1, 2012, is designed in accordance with the applicable sections of the Internal Revenue Code ("IRC").

GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. There are no uncertain positions taken or expected to be taken that would require recognition of a liability or disclosure in the financial statements as of December 31, 2020 and 2019. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan is no longer subject to income tax examinations for years prior to 2017.

Henry Crown and Company Pension Plan

Notes to Financial Statements

December 31, 2020 and 2019

8. Risks and Uncertainties

The determination of contributions to the Plan and the actuarial present value of accumulated plan benefits are based on certain assumptions pertaining to interest rates, inflation rates and employee demographics. Due to the changing nature of these assumptions, it is at least reasonably possible that changes in these assumptions will occur in the near term and due to the uncertainties inherent in setting assumptions, that the effect of such changes could be material to the financial statements.

The Plan also invests in securities that, in general, are exposed to various risks, such as interest rate, credit and overall market volatility. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the Statements of Net Assets Available for Benefits and the Statements of Changes in Net Assets Available for Benefits.

10. Related-Party Transactions

Certain Plan investments are managed by The Northern Trust Company. The Northern Trust Company is the trustee of the Plan; therefore, these transactions qualify as party-in-interest transactions.

SUPPLEMENTAL SCHEDULES

Henry Crown and Company Pension Plan
Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
December 31, 2020

Schedule I

(a)	Identity of issue, borrower, lessor or similar party (b)	Description of investment including maturity date, rate of interest, collateral, par or maturity value (c)	Cost (d)	Current value (e)
	Investments			
	Common stocks			
	Activision Blizzard, Inc.	Common Stock	\$ 225,471	\$ 345,866
	Adient plc	Common Stock	162,370	88,768
	Adobe Inc	Common Stock	244,542	400,096
	AerCap Holdings, N.V.	Common Stock	183,848	208,255
	Affiliated Managers Group, Inc.	Common Stock	201,802	140,855
	Air Lease Corporation	Common Stock	129,733	163,732
	Air Product & Chemicals Inc.	Common Stock	255,095	348,356
	Alcon Inc	Common Stock	1,588,719	1,753,451
	Alibaba Group Holding Limited	Common Stock	1,309,322	1,936,791
	Alliance Data System Corporation	Common Stock	245,306	126,266
	Alphabet, Inc.	Common Stock	1,780,748	4,117,184
	Amazon.com Inc.	Common Stock	256,642	439,686
	American International Group, Inc.	Common Stock	1,735,621	1,855,140
	Ameriprise Financial, Inc.	Common Stock	214,999	421,890
	Anaplan Inc.	Common Stock	193,461	301,770
	Apple Inc.	Common Stock	336,105	896,984
	Arrow Electronics, Inc.	Common Stock	173,617	231,088
	Ashland Global Holdings, Inc.	Common Stock	328,888	378,576
	Assurant, Inc.	Common Stock	133,674	208,280
	Autodesk Inc.	Common Stock	1,366,646	1,774,941
	Beckton, Dickinson and Company	Common Stock	332,226	325,286
	Berry Global Group Inc.	Common Stock	157,203	259,823
	BlackRock, Inc.	Common Stock	236,160	364,378
	Blackstone Group Inc.	Common Stock	402,892	609,020
	Booking Holdings, Inc.	Common Stock	1,652,774	2,227,270
	Broadcom Inc.	Common Stock	188,729	423,839
	BorgWarner Inc.	Common Stock	1,712,998	1,727,208
	Brookfield Asset Management, Inc.	Common Stock	242,335	411,668
	CBRE Group, Inc.	Common Stock	1,060,934	2,508,800
	CDW Corporation	Common Stock	109,583	243,812
	Celanese Corporation	Common Stock	277,108	448,293
	Centene Corporation	Common Stock	357,070	357,479
	Charter Communications, Inc.	Common Stock	831,397	2,381,580
	Citigroup Inc.	Common Stock	2,063,491	2,158,100
	CME Group Inc.	Common Stock	149,094	209,358
	Commscope Holding Company, Inc.	Common Stock	132,454	64,615
	Concentrix Corp	Common Stock	98,218	150,715
	Costco Wholesale Corporation	Common Stock	125,821	292,005
	Crown Holdings, Inc.	Common Stock	186,045	354,407
	CVS Health Corporation	Common Stock	1,943,524	2,151,450
	Danaher Corporation	Common Stock	1,523,629	2,765,865
	Dell Technologies Inc.	Common Stock	232,856	455,277
	Dun & Bradstreet Holdings Inc.	Common Stock	282,083	273,900
	EOG Resources, Inc.	Common Stock	320,684	185,616
	Equifax Inc.	Common Stock	289,811	457,995
	Equinix, Inc.	Common Stock	499,063	677,043
	The Estee Lauder Companies Inc.	Common Stock	435,617	702,209
	Expedia Group Inc.	Common Stock	398,238	397,200

Information included in this schedule is certified as complete and accurate by The Northern Trust Company;
except for third party information related to the Silchester International Value Equity Group Trust.

Henry Crown and Company Pension Plan
Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
December 31, 2020

Schedule I

(a)	Identity of issue, borrower, lessor or similar party (b)	Description of investment including maturity date, rate of interest, collateral, par or maturity value (c)	Cost (d)	Current value (e)
Common stocks - continued				
	Facebook, Inc.	Common Stock	227,781	359,205
	Flex Ltd.	Common Stock	208,017	249,706
	Flowserve Corporation	Common Stock	1,427,543	1,820,390
	Fortive Corporation	Common Stock	1,454,463	1,707,187
	General Motors Company	Common Stock	1,438,488	1,998,720
	Gilead Sciences, Inc.	Common Stock	441,714	393,255
	Hanesbrands Inc.	Common Stock	150,047	160,599
	HCA Healthcare, Inc.	Common Stock	1,476,271	3,213,713
	Home Depot, Inc.	Common Stock	326,431	385,149
	Howmet Aerospace Inc.	Common Stock	1,347,105	2,140,500
	Humana Inc.	Common Stock	1,774,654	2,625,728
	Illumina Inc.	Common Stock	2,452,250	3,078,400
	Interactive Brokers Group, Inc.	Common Stock	1,945,565	2,610,909
	Johnson Controls International plc	Common Stock	1,482,240	1,863,600
	J P Morgan Chase and Company	Common Stock	178,311	254,140
	Keurig Dr Pepper Inc.	Common Stock	1,624,328	1,766,400
	L3Harris Technologies, Inc.	Common Stock	159,697	141,765
	Lear Corporation	Common Stock	1,841,588	2,146,905
	Liberty Global plc	Common Stock	270,212	301,277
	Lincoln National Corporation	Common Stock	288,139	288,779
	L'Oreal	Common Stock	382,211	563,194
	McDonald's Corporation	Common Stock	259,097	337,964
	Medtronic plc	Common Stock	243,651	327,992
	Microsoft Corporation	Common Stock	305,888	600,534
	Mondelez International, Inc.	Common Stock	301,866	333,279
	Moody's Corporation	Common Stock	1,119,332	2,902,400
	NextEra Energy, Inc.	Common Stock	219,202	524,620
	Nike Inc.	Common Stock	223,812	374,896
	Nov Inc	Common Stock	2,802,136	1,441,650
	NRG Energy, Inc.	Common Stock	222,357	256,880
	O-I Glass, Inc.	Common Stock	75,333	56,596
	Quanta Services, Inc.	Common Stock	143,347	304,212
	Qurate Retail, Inc.	Common Stock	169,437	155,993
	Reinsurance Group of America, Inc.	Common Stock	1,310,946	1,854,400
	S&P Global Inc.	Common Stock	449,089	553,910
	salesforce.com, inc.	Common Stock	135,983	200,277
	Sealed Air Corporation	Common Stock	1,380,981	1,740,020
	ServiceNow Inc.	Common Stock	169,328	363,284
	Spotify Technology S.A.	Common Stock	128,196	259,594
	Suncor Energy, Inc.	Common Stock	325,758	189,715
	Synnex Corporation	Common Stock	80,447	124,359
	The TJX Companies, Inc.	Common Stock	791,221	1,078,367
	Thermo Fisher Scientific, Inc.	Common Stock	2,613,113	4,535,766
	Tradeweb Markets Inc.	Common Stock	163,454	243,555
	Trinity Industries, Inc.	Common Stock	1,442,862	1,847,300

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Henry Crown and Company Pension Plan
Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
December 31, 2020

Schedule I

(a)	Identity of issue, borrower, lessor or similar party (b)	Description of investment including maturity date, rate of interest, collateral, par or maturity value (c)	Cost (d)	Current value (e)
	Common stocks - continued			
	Ultra Beauty, Inc.	Common Stock	967,343	1,316,629
	Union Pacific Corporation	Common Stock	375,962	499,728
	United Rentals, Inc.	Common Stock	300,987	527,363
	UnitedHealth Group Inc.	Common Stock	244,485	376,981
	Univar Solutions, Inc.	Common Stock	54,443	112,672
	Versik Analytics, Inc.	Common Stock	88,988	233,539
	Visa Inc.	Common Stock	190,266	344,500
	Walmart Inc.	Common Stock	219,271	277,489
	Waste Management, Inc.	Common Stock	374,258	383,272
	Waters Corporation	Common Stock	2,783,453	3,386,685
	WEC Energy Group, Inc.	Common Stock	284,937	294,496
	Western Digital Corporation	Common Stock	323,881	322,093
	WEX, Inc.	Common Stock	251,063	355,160
	Whirlpool Corporation	Common Stock	266,374	328,311
	Workday, Inc.	Common Stock	1,218,733	2,174,461
	W.R. Grace & Co	Common Stock	102,215	121,097
	XPO Logistics Inc.	Common Stock	206,030	408,498
		Total common stocks	71,535,226	99,862,244
	Common and collective trusts			
*	Northern Trust STIF	Common and Collective Trust	4,116,388	4,116,388
	Silchester Int'l Invs Tobacco Free Int Value Equity Grp Trust	Common and Collective Trust	21,966,188	25,794,841
		Total common and collective trusts	26,082,576	29,911,229
	Corporate bonds			
	APX Group, Inc.	Corporate Bond	174,750	207,500
	Enterprise Products Operating LLC	Corporate Bond	60,650	89,571
	Live Nation Entertainment	Corporate Bond	245,413	271,604
	Life Time Fitness Inc	Corporate Bond	261,946	265,375
	Mileage Plus Holdings LLC	Corporate Bond	249,800	268,750
	Skymiles IP LTD & Delta Air Lines Inc	Corporate Bond	255,200	267,214
	MagnaChip Semiconductor Corporation	Corporate Bond	312,407	322,055
	Rockpoint Gas Storage Canada, Ltd.	Corporate Bond	243,420	247,500
		Total corporate bonds	1,803,586	1,939,569
	Limited Partnerships			
	Brookfield Infrastructure Partners, L.P.	Limited Partnership	174,598	291,460
		Total limited Partnership	174,598	291,460
		Total investments	\$ 99,595,986	\$ 132,004,502

* Represents a party-in-interest to the Plan.

Information included in this schedule is certified as complete and accurate by The Northern Trust Company; except for third party information related to the Silchester International Value Equity Group Trust.

Henry Crown and Company Pension Plan
Schedule H, Line 4j - Schedule of Reportable Transactions
Year Ended December 31, 2020

Schedule II

(a)	Identity of party involved/description of investment (b)	Number of transactions	Purchase price (c)	Selling price (d)	Cost of asset (g)	Current value of asset on transaction date (h)	Net gain/ (loss) (i)
	Series of Transactions						
	Silchester Int'l Value Equity Grp Trust	8	\$ -	\$ 21,846,700	\$ 15,876,812	\$ 21,846,700	\$ 5,969,888
	Silchester Int'l Invs Tobacco Free Int Value Equity Grp Trust	1	21,719,237	-	21,719,237	21,719,237	-
	Silchester Int'l Invs Tobacco Free Int Value Equity Grp Trust	5		97,706	91,109	97,706	6,597
*	Northern Trust STIF	261	15,288,027		15,288,027	15,288,027	-
*	Northern Trust STIF	95		14,983,203	14,983,203	14,983,203	-
*	Represents a party-in-interest to the Plan.						

**Information included in this schedule is certified as complete and accurate
by The Northern Trust Company; except for third party information related
to the Silchester International Value Equity Group Trust.**

Schedule SB, line 26 — Schedule of Active Participant Data**Distribution of active participants as of January 1, 2020**

Attained age	Years of credited service										Total
	Under 1	1–4	5–9	10–14	15–19	20–24	25–29	30–34	35–39	40 & up	
Under 25	2	1									3
25–29	5	13	3								21
30–34		13	9	1							23
35–39	3	10	3	5	2						23
40–44	5	10	11	5	6						37
45–49	2	7	3	3	9	7	1				32
50–54	1	7	5	2	5	5	5				30
55–59	2	5	3	3	2	4	6	4			29
60–64	1	3	1	3	4	1	2	3	2		20
65–69		2		3	3	1	1	1	2		13
70 & up			2				1				3
Total	21	71	40	25	31	18	16	8	4		234

In each cell, the number shown is the count of active participants for each age/service combination.

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods**Actuarial assumptions for January 1, 2020 funding valuation**

Discount rate sponsor elections		
• Segment rates or full yield curve	Segment	
• Look-back months	0	
	Stabilized	Nonstabilized
• First 5 years	3.64%	2.77%
• Next 15 years	5.21%	3.83%
• Over 20 years	5.94%	4.28%
Mortality sponsor elections		
• Healthy and disabled participants	PPA separate generational annuitant and nonannuitant mortality tables	
Other economic assumptions		
• Salary increases	Attained Age	Salary Increases
	Under 26	10.00%
	26 – 40	7.50%
	41 – 50	5.00%
	51 and Over	3.50%
• Flat-dollar benefit increases	N/A	
• Social Security wage base	N/A	
• Inflation	2.20% per year	
• Expenses	\$488,000 added to current year normal cost	

Rationale for economic assumptions

- Discount rate – The discount rate is prescribed by the plan sponsor through an election from allowable alternatives under IRS regulations.
- Salary increases – The salary scale assumption is based on an experience study covering the period from January 1, 2013 to January 1, 2018, adjusted to reflect management's expectations for wage changes in the future.
- Expenses – Assumed plan-paid expenses for the upcoming year are based on prior year experience, adjusted (if material for the purpose) for expected changes in the upcoming year, rounded to the nearest \$1,000.

Demographic assumptions		
• Withdrawal	See table of sample rates.	
• Retirement age	Attained age	Percentage
	55-64	8%
	65	35%
	66-69	20%
	70	100%

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

• Benefit commencement age for		
– Future vested deferred	65	
– Current vested deferred	65	
• Spouse assumptions	Male participants	Female participants
– Percentage married	100%	100%
– Spouse age difference	3 years younger	3 years older
Form of payment	Single life	50% J&S
• Active retirements	0%	100%
• Future vested deferred	0%	100%
• Future deaths	0%	100%
• Current vested deferred	0%	100%
Unpredictable contingent event assumptions	Not applicable	
Valuation pay	Valuation pay for 2020 is equal to [(a) + (b) + (c)] multiplied by the salary scale: (a) 2019 base compensation; (b) actual client and holiday bonuses during 2019; and (c) an assumed incentive bonus equal to an individual's target incentive percentage multiplied by their base compensation for 2019.	

Table of Sample Rates

Attained age	Percentage	
	withdrawal	
	less than or equal to 3 years of service (select)	greater than 3 years of service (ultimate)
20	17.00%	9.90%
25	17.00%	9.90%
30	17.00%	8.20%
35	17.00%	6.40%
40	17.00%	5.00%
45	17.00%	3.90%
50	17.00%	3.00%
55	17.00%	2.30%
60	17.00%	2.30%

Rationale for demographic assumptions

- Mortality – Prescribed assumption and based on plan sponsor's PPA election.
- Withdrawal – The withdrawal rates are based on an experience study covering the period from January 1, 2013 to January 1, 2018.

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

- Retirement age – The retirement rates are based on an experience study covering the period from January 1, 2013 to January 1, 2018.
- Benefit commencement age – The benefit commencement age is based on an experience study undertaken in 2010. An experience study in 2018 found that the benefit commencement age experience was in line with expectations so the commencement age was not changed.
- The percent married, spouse age difference, and form payment assumptions were also reviewed during the experience study in 2018 and it was found that experience was in line with expectations so the assumptions were not changed.

Actuarial methods for funding**Asset methods**

The asset valuation method is the fair market value.

Participant methods

Participants or former participants are included or excluded from the valuation as described below:

- **Participants included:** The plan sponsor provides us with data on all employees as of the valuation date, but only those employees who have completed the plan's eligibility requirements are included in the valuation of liabilities.
- **Participants excluded:** No actuarial liability is included for nonvested participants who terminated prior to the valuation date. For this purpose, participants with a break in service on the valuation date are treated as terminated participants.

Minimum funding methods

The funding target for minimum funding calculations is computed using the traditional unit credit method of funding. The objective under this method is to fund each participant's benefits under the plan as they accrue. Thus, the total pension to which each participant is expected to become entitled at retirement is broken down into units, each associated with a year of past or future credited service.

A detailed description of the calculation follows:

The plan's valuation date is the beginning of the plan year.

- An individual's **funding target** is the present value of future benefits based on credited service and average pay as of the beginning of the plan year, and an individual's **target normal cost** is the present value of the benefit expected to accrue in the plan year. If multiple decrements are used, the funding target and the target normal cost for an individual are the sum of the component funding targets and target normal costs associated with the various anticipated separation dates.
- The plan's **target normal cost** is the sum of the individual target normal costs, and the plan's **funding target** is the sum of the individual funding targets for all participants under the plan.

Attachment to 2020 Form 5500
Form 5500 Multiple Employer Plan Participating Employer Information

Plan Name: **Henry Crown and Company Pension Plan**
Plan Sponsor's Name: **Henry Crown & Company S LLC**

EIN: **36-2123962**
PN: **101**

Name of participating employer	EIN	Percent of Total Contributions
Henry Crown & Company S LLC	36-2123962	0.00
Longview Asset Management	36-4245844	0.00

Henry Crown and Company Pension Plan
Schedule H, Line 4j - Schedule of Reportable Transactions
Year Ended December 31, 2020

Schedule II

(a)	Identity of party involved/description of investment (b)	Number of transactions	Purchase price (c)	Selling price (d)	Cost of asset (g)	Current value of asset on transaction date (h)	Net gain/ (loss) (i)
Series of Transactions							
	Silchester Int'l Value Equity Grp Trust	8	\$ -	\$ 21,846,700	\$ 15,876,812	\$ 21,846,700	\$ 5,969,888
	Silchester Int'l Invs Tobacco Free Int Value Equity Grp Trust	1	21,719,237	-	21,719,237	21,719,237	-
	Silchester Int'l Invs Tobacco Free Int Value Equity Grp Trust	5		97,706	91,109	97,706	6,597
*	Northern Trust STIF	261	15,288,027		15,288,027	15,288,027	-
*	Northern Trust STIF	95		14,983,203	14,983,203	14,983,203	-
* Represents a party-in-interest to the Plan.							

**Information included in this schedule is certified as complete and accurate
by The Northern Trust Company; except for third party information related
to the Silchester International Value Equity Group Trust.**

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2020 This Form is Open to Public Inspection
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For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

▶ **Round off amounts to nearest dollar.**
 ▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan HENRY CROWN AND COMPANY PENSION PLAN	B Three-digit plan number (PN) ▶	101
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF Henry Crown & Company S LLC	D Employer Identification Number (EIN) 36-2123962	
E Type of plan: ☐ Single ☐ Multiple-A ☒ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500	

Part I Basic Information			
1 Enter the valuation date: Month <u>01</u> Day <u>01</u> Year <u>2020</u>			
2 Assets:			
a Market value	2a	120,631,225	
b Actuarial value	2b	120,631,225	
3 Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a For retired participants and beneficiaries receiving payment.....	415	30,136,403	30,136,403
b For terminated vested participants	311	12,784,407	12,784,407
c For active participants.....	234	23,787,088	24,201,921
d Total.....	960	66,707,898	67,122,731
4 If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐			
a Funding target disregarding prescribed at-risk assumptions	4a		
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b		
5 Effective interest rate.....	5	5.49%	
6 Target normal cost.....	6	2,640,155	

Statement by Enrolled Actuary
 To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	<u>J.R.E.</u> Signature of actuary	<u>7/29/2021</u> Date
	JERED R. EASLEY Type or print name of actuary	2007646 Most recent enrollment number
	MERCER Firm name	816-556-4842 Telephone number (including area code)
	2405 GRAND BOULEVARD SUITE 900 KANSAS CITY MO 64108 Address of the firm	

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice, see the Instructions for Form 5500 or 5500-SF. **Schedule SB (Form 5500) 2020 v. 200204**

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:			
a Segment rates:	1st segment: 3.64 %	2nd segment: 5.21 %	3rd segment: 5.94 %
			☐ N/A, full yield curve used
b Applicable month (enter code).....			21b 0
22 Weighted average retirement age			22 62
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute			

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.....		☒ Yes ☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.....		☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.....		☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.....	27	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)		31a	2,640,155
b Excess assets, if applicable, but not greater than line 31a		31b	2,640,155
32 Amortization installments:		Outstanding Balance	
a Net shortfall amortization installment		0	0
b Waiver amortization installment		0	0
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount		33	
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33).....		34	0
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	0	0	0
36 Additional cash requirement (line 34 minus line 35).....		36	0
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....		37	0
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)		38a	0
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances		38b	0
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37).....		39	0
40 Unpaid minimum required contributions for all years		40	0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:	
a Schedule elected	☐ 2 plus 7 years ☐ 15 years
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

Schedule SB, line 22 — Description of Weighted Average Retirement Age

Each employee is assumed to retire in accordance with the table of retirement rates. The proportion of employees expected to retire at each potential retirement age is shown below. The average retirement age is 62.

(A) Retirement age	(B) Retirement percent	(C) Lx	(D) Number of employees expected to retire (B) x (C)	(E) (A) x (D)
55	8.00%	10,000	800	44,000
56	8.00%	9,200	736	41,216
57	8.00%	8,464	677	38,589
58	8.00%	7,787	623	36,134
59	8.00%	7,164	573	33,807
60	8.00%	6,591	527	31,620
61	8.00%	6,064	485	29,585
62	8.00%	5,579	446	27,652
63	8.00%	5,133	411	25,893
64	8.00%	4,722	378	24,192
65	35.00%	4,344	1,520	98,800
66	20.00%	2,824	565	37,290
67	20.00%	2,259	452	30,284
68	20.00%	1,807	361	24,548
69	20.00%	1,446	289	19,941
70	100.00%	1,157	1,157	80,990
Total			10,000	624,541
Average				62.45

Schedule SB, Part V — Summary of Plan Provisions**Summary of major plan provisions****A. Salaried employees – see section B for plan provisions for hourly employees**

Effective date and plan year	Original plan: January 1, 1985 Plan year: The calendar year												
Status of the plan	The plan has ongoing benefit accruals and new employees are eligible to participate in the plan once they satisfy the participation requirements.												
Significant events that occurred during the year	None.												
Definitions													
• Covered employees	The plan covers the salaried employees of the participating Employing Units.												
• Participation	Employees begin participation on the January 1 or July 1 following the completion of one year of service and the attainment of age 21. Employees who satisfied these requirements on January 1, 1985 participated as of that date. Employees eligible to participate on January 1, 1985 who had at least 25 years of service as of that date could irrevocably elect not to participate by filing such an election with the Plan Administrator on or before March 1, 1985. Aurora Venture became an Employing Unit of the plan during 1988, and employees satisfying the eligibility requirements on January 1, 1986 receive benefit accruals starting on that date. The Salisbury North Carolina plant of Woodard became an Employing Unit of the plan during 1997.												
• Year of participation with an employing unit	A year of participation with an Employing Unit is a plan year during which the employee has at least 1,000 hours of service (870 hours of service for the Joanna division). Appropriate adjustments are made if a Company becomes an Employing Unit during the plan year. The current Employing Units and the date they became Employing Units for benefit accrual purposes, are as follows: <table> <tr> <th>Employing Units</th><th>Effective Date</th></tr> <tr> <td>CC Industries, Inc.</td><td>1/1/85</td></tr> <tr> <td>Henry Crown and Company</td><td>1/1/85</td></tr> <tr> <td>Woodard</td><td>1/1/85</td></tr> <tr> <td>Aurora Venture</td><td>1/1/85</td></tr> <tr> <td>Woodard Salisbury</td><td>1/1/99</td></tr> </table>	Employing Units	Effective Date	CC Industries, Inc.	1/1/85	Henry Crown and Company	1/1/85	Woodard	1/1/85	Aurora Venture	1/1/85	Woodard Salisbury	1/1/99
Employing Units	Effective Date												
CC Industries, Inc.	1/1/85												
Henry Crown and Company	1/1/85												
Woodard	1/1/85												
Aurora Venture	1/1/85												
Woodard Salisbury	1/1/99												
• Pensionable earnings	Earnings for actual hours worked while an active participant (including bonuses) plus elective, pre tax contributions to a 401(k) plan and short term disability payments.												
• Hours of service	An employee who renders 500 or fewer hours of service with a member of the Affiliated Group in a calendar year will have a "break in service." If he renders more than 500 but less than 1,000 hours of service in a year, he will not have a break in service, but the year will not count for eligibility or vesting purposes. If he renders 1,000 or more hours of service in a year, such year will be credited for eligibility and vesting purposes. Service while receiving long term disability payments will be considered as service for vesting purposes.												
• Employee contributions	None.												
Normal retirement													
• Eligibility	The first day of the month coincident with or next following the employee's 65th birthday.												

Schedule SB, Part V — Summary of Plan Provisions

• Benefit	Amount of Monthly Life Only Pension
For service prior to January 1, 1989	
For each year of participation with an Employing Unit an employee is credited with one twelfth of the sum of (i) 1.50% of earnings not in excess of the taxable wage base for such year and (ii) 2.50% of any excess of such earnings over the taxable wage base for such year.	
For service after December 31, 1988	
For each year of participation with an Employing Unit an employee will be credited with one twelfth of 1.50% of his earnings during such year.	
Early retirement	
• Eligibility	Completion of 5 years of service and attainment of age 55.
• Benefit	An employee who retires early may elect either 1. or 2.
1. A deferred retirement income to begin at Normal Retirement Date equal to the total benefits accrued to actual retirement date, or	
2. An immediate retirement income to begin at Early Retirement Date equal to total accrued benefits, reduced by 1/2% for each month that payment commencement date precedes Normal Retirement Date.	
Late retirement	
• Eligibility	First of month coinciding with or next following separation from service after the Normal Retirement date.
• Benefit	Benefits continue to accrue until the date of actual retirement.
Deferred vested	
• Eligibility	Completion of 5 years of service or attainment of age 65.
• Benefit	Pension commencing on the Normal Retirement Date: An amount computed in the same manner as for early retirement.
Early commencement: A participant with a right to a deferred vested pension commencing on his Normal Retirement Date may elect early commencement of a pension on the first day of any month following attainment of age 55. The pension will be equal to his vested benefit, reduced by 1/2% for each month that his payment commencement date precedes his Normal Retirement Date.	
Disability	
• Eligibility	Termination of active employment on or after January 1, 2003 by a Salaried Employee who is eligible for benefits under a long term disability program established by the employer.
• Benefit	The period of time that an eligible disabled participant is receiving long term disability benefits is included in determining vesting service and for a participant who becomes disabled after January 1, 2003, in credited service.

Schedule SB, Part V — Summary of Plan Provisions

Death

- Pre-retirement surviving spouse's benefit

Eligibility

Vested and married on the date of death. This provision is applicable to vested active, transferred, and terminated participants.

Amount

The benefit payable to the spouse at the death of the participant is a lifetime annuity commencing at the time the participant would have attained age 55 had he survived (or immediately, if he is at least age 55 at the time of his death). The monthly amount so payable is equal to 50% of the monthly retirement income which the participant would have received had he separated from service on the date of his death, survived to age 55 (if then under age 55), and commenced receiving benefits under the 50% Contingent Annuity Option. The spouse may elect immediate commencement of the benefit, if deferred, or elect deferral of its commencement, if the benefit is payable immediately and has a lump sum value of \$5,000 or more. In such event the benefit payable will be the actuarial equivalent of the benefit otherwise payable.

If the spouse of a participant receiving or entitled to a benefit under this provision dies, and there are children of the participant under the age of 18, the benefit shall continue (or commence, if the spouse was not receiving a benefit on the date of her death) to each such child for a period of 5 years or, if the child was under the age of 16 on the spouse's date of death, until the attainment of age 21. Each child shall receive an equal share of the actuarial value of the benefit the spouse was receiving or entitled to receive. The benefit payable to each child shall be the actuarial equivalent of his share.

-
- Death benefits for unmarried participants with dependents

Eligibility

Vested, unmarried at the date of death, and having children under age 18 or other dependents so designated by the participant to receive this benefit on a form provided by the Plan Administrator.

Amount

Each dependent shall receive an equal share, or share designated by the participant, of the actuarial value of the benefit that would have been payable to the spouse, if there was such a spouse. For the purposes of determining the actuarial value of this benefit the spouse's age is assumed to be the same as the participant's. The benefit shall be payable to each child for a period of 5 years or, if the dependent was under the age of 16 on the participant's date of death, until the attainment of age 21. The benefit payable shall be the actuarial equivalent of each dependent's share.

Schedule SB, Part V — Summary of Plan Provisions

<ul style="list-style-type: none"> Death benefits for unmarried participants without dependents 	<p>Eligibility</p> <p>Vested, attainment of age 55 but not age 65, unmarried at the date of death and having no dependents on the date of death.</p> <p>Amount</p> <p>Each parent or lineal descendent so designated by the participant on a form provided by the Plan Administrator shall receive an equal share, or share designated by the participant, of the actuarial value of the benefit that would have been payable to the spouse, if there was such a spouse. For purposes of determining the actuarial value of this benefit the spouse's age is assumed to be the same as the participant's. The benefit shall be payable to each designated beneficiary for a period of 5 years or, if the designated beneficiary was under the age of 16 on the participant's date of death, until the attainment of age 21. The benefit payable shall be the actuarial equivalent of each designated beneficiary's share.</p>
Form of benefits	
<ul style="list-style-type: none"> Automatic form for unmarried participants 	Life Only Annuity
<ul style="list-style-type: none"> Automatic form for married participants 	50% Contingent Annuity, actuarially equivalent to the Life Only Annuity which would have been payable had the participant been unmarried.
<ul style="list-style-type: none"> Optional forms 	100%, 75% or 50% Contingent Annuity.
	Life Only Annuity (optional for married participants).
	5 or 10 years Certain and Life Annuity.
<ul style="list-style-type: none"> Optional form conversion factors 	<p>Lump-Sum Payouts for Small Benefits</p> <p>Lump-sum payout for small benefits consist of lump-sum payout amounts under \$5,000</p> <p>Interest</p> <p>Applicable interest rate as published by the IRS for the fourth calendar month preceding the plan year.</p> <p>Mortality</p> <p>Applicable mortality table as published by the IRS for the plan year.</p> <p>All Other Benefit Forms</p> <p>Interest</p> <p>7%</p> <p>Mortality</p> <p>RP 2000 (unisex) projected to 2015 with white collar adjustment Mortality Table with no age adjustment for employees and a three year age reduction for spouses.</p>
Miscellaneous	
<ul style="list-style-type: none"> Maximum compensation 	Compensation for any 12-month period used to determine accrued benefits may not exceed the limits in IRC Section 401(a)(17) for the calendar year in which the 12-month period begins. This limit is indexed annually. For 2020, the limit is \$285,000.

Schedule SB, Part V — Summary of Plan Provisions

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| • Maximum benefits | Annual benefits may not exceed the limits in IRC Section 415. This limit is indexed annually. For 2020, the limit is \$230,000. |
|--------------------|---|

Summary of Major Plan Provisions**B. Hourly employees – see section A for plan provisions for salaried employees**

Effective date and plan year	Original plan: January 1, 1976 Plan year: The calendar year
Status of the plan	The plan has ongoing benefit accruals and new employees are eligible to participate in the plan once they satisfy the participation requirements. There are currently no active hourly employees in the plan.
Significant events that occurred during the year	None.

Definitions

• Covered employees	The plan covers the hourly employees of the participating Employing Units.
• Participation	Employees begin participation on the first day of the calendar month following the completion of one year of service and the attainment of age 21. Each participant in the Joanna Plan on March 26, 1987 became participants in this plan on March 27, 1987. Employees of Woodard Maxton and Salisbury became participants in this plan effective January 1, 2000.
• Year of participation with an employing unit	A year of participation with an Employing Unit is a plan year during which the employee has at least 1,000 hours of service (870 hours of service for the Joanna division). Appropriate adjustments are made if a Company becomes an Employing Unit during the plan year. The current Employing Units and the date they became Employing Units for benefit accrual purposes, are as follows:

Employing Units	Effective Date
Aberdeen Manufacturing Corporation Kaufman, Texas Plant Conway, South Carolina Plant Tabor City, North Carolina Plant	1/1/1987
Woodard Lee L. Woodard Division Owosso, Michigan Plant	1/1/1987
CHF Industries, Inc. - Joanna Division Clinton, South Carolina Plant Joanna, South Carolina Plant	3/27/1987
Woodard Maxton, North Carolina Plant Salisbury, North Carolina Plant	1/1/2000

Schedule SB, Part V — Summary of Plan Provisions

• Hours of service	An employee who renders 500 or fewer hours of service in a calendar year will have a "break in service." If he renders more than 500 but less than 1,000 hours of service in a year, he will not have a break in service, but the year will not count for eligibility or vesting purposes. If he renders 1,000 or more hours of service in a year, such year will be credited for eligibility and vesting purposes. Service while receiving long term disability payments will be considered as service for vesting purposes. A participant will be credited for credited service if he renders 1,000 or more hours of service in a year.
• Employee contributions	None.
Normal retirement	
• Eligibility	The attainment of age 65.
• Benefit	<ol style="list-style-type: none"> 1. \$10.00 times Years of Credited Service prior to January 1, 2000. 2. \$12.00 times Years of Credited Service after January 1, 2000 and prior to January 1, 2001. 3. \$14.00 times Years of Credited Service after January 1, 2001 and prior to January 1, 2002. 4. \$15.00 times Years of Credited Service after January 1, 2002.
Early retirement	
• Eligibility	Completion of 5 years of service and attainment of age 55.
• Benefit	<p>An employee who retires early may elect either 1) or 2):</p> <ol style="list-style-type: none"> 1) A deferred retirement income to begin at Normal Retirement Date equal to the total benefits accrued to actual retirement date, or 2) An immediate retirement income to begin at Early Retirement Date equal to total accrued benefits, reduced by 1/2% for each month that payment commencement date precedes Normal Retirement Date.
Late retirement	
• Eligibility	First of month coinciding with or next following separation from service after the Normal Retirement Date.
• Benefit	Benefits continue to accrue until the date of actual retirement.
Deferred vested	
• Eligibility	Completion of 5 Years of Service or attainment of age 65.
• Benefit	<p>Pension commencing on the Normal Retirement Date: An amount computed in the same manner as for early retirement.</p> <p>Early commencement: A participant with a right to a deferred vested pension commencing on his Normal Retirement Date may elect early commencement of a pension on the first day of any month following attainment of age 55. The pension will be equal to his vested benefit, reduced by 1/2% for each month that his payment commencement date precedes his Normal Retirement Date.</p>

Schedule SB, Part V — Summary of Plan Provisions

Death

- Pre-retirement Surviving Spouse's Benefit

Eligibility

Vested and married on the date of death. This provision is applicable to vested active, transferred, and terminated participants.

Amount

The benefit payable to the spouse at the death of the participant is a lifetime annuity commencing at the time the participant would have attained age 55 had he survived (or immediately, if he is at least age 55 at the time of his death). The monthly amount so payable is equal to 50% of the monthly retirement income which the participant would have received had he separated from service on the date of his death, survived to age 55 (if then under age 55), and commenced receiving benefits under the 50% Contingent Annuity Option. The spouse may elect immediate commencement of the benefit, if deferred, or elect deferral of its commencement, if the benefit is payable immediately and has a lump sum value of \$5,000 or more. In such event the benefit payable will be the actuarial equivalent of the benefit otherwise payable.

Form of benefits

- | | |
|---|--|
| <ul style="list-style-type: none"> • Automatic form for unmarried participants | Life Only Annuity. |
| <ul style="list-style-type: none"> • Automatic form for married participants | 50% Contingent Annuity, actuarially equivalent to the Life Only annuity which would have been payable and the participant been unmarried. |
| <ul style="list-style-type: none"> • Optional forms | 100%, 75% or 50% Contingent Annuity.
Life Only annuity (optional for married participants).
5 or 10 years Certain and Life Annuity. |
| <ul style="list-style-type: none"> • Optional form conversion factors | <p>Lump-Sum Payouts for Small Benefits</p> <p>Lump-sum payouts for small benefits consist of lump-sum payout amounts under \$5,000.</p> |

Interest

Applicable interest rate as published by the IRS for the fourth calendar month preceding the plan year.

Mortality

Applicable mortality table as published by the IRS for the plan year.

The lump-sum payout amount is determined assuming the commencement of the benefit at age 65, or, if older, upon immediate commencement.

All Other Benefit Forms**Interest**

7%

Mortality

RP 2000 (unisex) projected to 2015 with white collar adjustment Mortality Table with no age adjustment for employees and a three year age reduction for spouses.

Schedule SB, Part V — Summary of Plan Provisions**Miscellaneous**

- | | |
|--------------------|---|
| • Sale of Division | CHF Industries was sold February 25, 1995. All CHF employees were vested in their accrued benefits at that time. Service after the sale date does not count as plan participation for benefit accrual purposes. |
| • Maximum benefits | Annual benefits may not exceed the limits in IRC Section 415. This limit is indexed annually. For 2020, the limit is \$230,000. |

Benefits Included or Excluded

Unless noted below, all benefits provided by the plan are included in this valuation:

- **Most recent plan amendments included:** The Fifth and Sixth Amendments, effective October 1, 2019 and January 1, 2019, respectively, to the January 1, 2012 restated plan are reflected in this valuation.
- **Plan amendments excluded:** None.
- **Late retirement increases:**
 - *Active participants:* Current active participants over normal retirement age are valued using their accrued benefit at the valuation date. The plan sponsor issues suspension of benefit notices to participants who work beyond normal retirement date, so actuarial increases do not apply.
 - *Deferred vested participants:* Current deferred vested participants over normal retirement age are valued including a late retirement actuarial increase using the plan's definition of actuarial equivalence. The age 75 late retirement actuarial increase factor is applied to deferred vested participants who are over age 75 as of the valuation date.
- **Internal Revenue Code limitations:** The limitations of Internal Revenue Code Section 415(b) and 401(a)(17) have been incorporated into our calculations.
- **IRC Section 416 rules for top-heavy plans:** We did not test whether this plan is top-heavy (when the present value of benefits for key employees equals or exceeds 60% of the present value for all participants). However, we expect that the plan is not top-heavy due to the large number of rank-and-file participants; therefore, the funding target and target normal cost do not reflect any liability for top-heavy benefit accruals.
- **Shutdown benefits:** We are not aware of any corporate actions that would create shutdown benefits; therefore, they are excluded.

Plan Provisions Specific to Funding**Additional Benefits Included or Excluded**

- **IRC Section 436 benefit restrictions:**
 - *Plan amendments:* See above.
 - *Prohibited payments:* Limitations on prohibited benefits (if any) are reflected for annuity starting dates before the valuation date but are ignored for annuity starting dates on or after the valuation date.

Schedule SB, Part V — Summary of Plan Provisions

- ***Benefit accruals:*** The plan's funding target does not reflect any limitation on benefit accruals. The target normal cost does not reflect any limitation on benefit accruals.
- **Unpredictable contingent event benefits:** The plan does not have any unpredictable contingent event benefits.

Plan provision changes since prior valuation

- Maximum compensation amounts and maximum benefit amounts under IRS rules were updated from 2019 to 2020.
- During the 2019 fiscal year, a group annuity contract was purchased in the amount \$19.3M. As a result, the liability for 1,083 participants was transferred to the Pacific Life Insurance Company.

Henry Crown and Company Pension Plan
Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
December 31, 2020

Schedule I

(a)	Identity of issue, borrower, lessor or similar party (b)	Description of investment including maturity date, rate of interest, collateral, par or maturity value (c)	Cost (d)	Current value (e)
	Investments			
	Common stocks			
	Activision Blizzard, Inc.	Common Stock	\$ 225,471	\$ 345,866
	Adient plc	Common Stock	162,370	88,768
	Adobe Inc	Common Stock	244,542	400,096
	AerCap Holdings, N.V.	Common Stock	183,848	208,255
	Affiliated Managers Group, Inc.	Common Stock	201,802	140,855
	Air Lease Corporation	Common Stock	129,733	163,732
	Air Product & Chemicals Inc.	Common Stock	255,095	348,356
	Alcon Inc	Common Stock	1,588,719	1,753,451
	Alibaba Group Holding Limited	Common Stock	1,309,322	1,936,791
	Alliance Data System Corporation	Common Stock	245,306	126,266
	Alphabet, Inc.	Common Stock	1,780,748	4,117,184
	Amazon.com Inc.	Common Stock	256,642	439,686
	American International Group, Inc.	Common Stock	1,735,621	1,855,140
	Ameriprise Financial, Inc.	Common Stock	214,999	421,890
	Anaplan Inc.	Common Stock	193,461	301,770
	Apple Inc.	Common Stock	336,105	896,984
	Arrow Electronics, Inc.	Common Stock	173,617	231,088
	Ashland Global Holdings, Inc.	Common Stock	328,888	378,576
	Assurant, Inc.	Common Stock	133,674	208,280
	Autodesk Inc.	Common Stock	1,366,646	1,774,941
	Beckton, Dickinson and Company	Common Stock	332,226	325,286
	Berry Global Group Inc.	Common Stock	157,203	259,823
	BlackRock, Inc.	Common Stock	236,160	364,378
	Blackstone Group Inc.	Common Stock	402,892	609,020
	Booking Holdings, Inc.	Common Stock	1,652,774	2,227,270
	Broadcom Inc.	Common Stock	188,729	423,839
	BorgWarner Inc.	Common Stock	1,712,998	1,727,208
	Brookfield Asset Management, Inc.	Common Stock	242,335	411,668
	CBRE Group, Inc.	Common Stock	1,060,934	2,508,800
	CDW Corporation	Common Stock	109,583	243,812
	Celanese Corporation	Common Stock	277,108	448,293
	Centene Corporation	Common Stock	357,070	357,479
	Charter Communications, Inc.	Common Stock	831,397	2,381,580
	Citigroup Inc.	Common Stock	2,063,491	2,158,100
	CME Group Inc.	Common Stock	149,094	209,358
	Commscope Holding Company, Inc.	Common Stock	132,454	64,615
	Concentrix Corp	Common Stock	98,218	150,715
	Costco Wholesale Corporation	Common Stock	125,821	292,005
	Crown Holdings, Inc.	Common Stock	186,045	354,407
	CVS Health Corporation	Common Stock	1,943,524	2,151,450
	Danaher Corporation	Common Stock	1,523,629	2,765,865
	Dell Technologies Inc.	Common Stock	232,856	455,277
	Dun & Bradstreet Holdings Inc.	Common Stock	282,083	273,900
	EOG Resources, Inc.	Common Stock	320,684	185,616
	Equifax Inc.	Common Stock	289,811	457,995
	Equinix, Inc.	Common Stock	499,063	677,043
	The Estee Lauder Companies Inc.	Common Stock	435,617	702,209
	Expedia Group Inc.	Common Stock	398,238	397,200

Information included in this schedule is certified as complete and accurate by The Northern Trust Company;
except for third party information related to the Silchester International Value Equity Group Trust.

Henry Crown and Company Pension Plan
Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
December 31, 2020

Schedule I

(a)	Identity of issue, borrower, lessor or similar party (b)	Description of investment including maturity date, rate of interest, collateral, par or maturity value (c)	Cost (d)	Current value (e)
	Common stocks - continued			
	Facebook, Inc.	Common Stock	227,781	359,205
	Flex Ltd.	Common Stock	208,017	249,706
	Flowserve Corporation	Common Stock	1,427,543	1,820,390
	Fortive Corporation	Common Stock	1,454,463	1,707,187
	General Motors Company	Common Stock	1,438,488	1,998,720
	Gilead Sciences, Inc.	Common Stock	441,714	393,255
	Hanesbrands Inc.	Common Stock	150,047	160,599
	HCA Healthcare, Inc.	Common Stock	1,476,271	3,213,713
	Home Depot, Inc.	Common Stock	326,431	385,149
	Howmet Aerospace Inc.	Common Stock	1,347,105	2,140,500
	Humana Inc.	Common Stock	1,774,654	2,625,728
	Illumina Inc.	Common Stock	2,452,250	3,078,400
	Interactive Brokers Group, Inc.	Common Stock	1,945,565	2,610,909
	Johnson Controls International plc	Common Stock	1,482,240	1,863,600
	J P Morgan Chase and Company	Common Stock	178,311	254,140
	Keurig Dr Pepper Inc.	Common Stock	1,624,328	1,766,400
	L3Harris Technologies, Inc.	Common Stock	159,697	141,765
	Lear Corporation	Common Stock	1,841,588	2,146,905
	Liberty Global plc	Common Stock	270,212	301,277
	Lincoln National Corporation	Common Stock	288,139	288,779
	L'Oreal	Common Stock	382,211	563,194
	McDonald's Corporation	Common Stock	259,097	337,964
	Medtronic plc	Common Stock	243,651	327,992
	Microsoft Corporation	Common Stock	305,888	600,534
	Mondelez International, Inc.	Common Stock	301,866	333,279
	Moody's Corporation	Common Stock	1,119,332	2,902,400
	NextEra Energy, Inc.	Common Stock	219,202	524,620
	Nike Inc.	Common Stock	223,812	374,896
	Nov Inc	Common Stock	2,802,136	1,441,650
	NRG Energy, Inc.	Common Stock	222,357	256,880
	O-I Glass, Inc.	Common Stock	75,333	56,596
	Quanta Services, Inc.	Common Stock	143,347	304,212
	Qurate Retail, Inc.	Common Stock	169,437	155,993
	Reinsurance Group of America, Inc.	Common Stock	1,310,946	1,854,400
	S&P Global Inc.	Common Stock	449,089	553,910
	salesforce.com, inc.	Common Stock	135,983	200,277
	Sealed Air Corporation	Common Stock	1,380,981	1,740,020
	ServiceNow Inc.	Common Stock	169,328	363,284
	Spotify Technology S.A.	Common Stock	128,196	259,594
	Suncor Energy, Inc.	Common Stock	325,758	189,715
	Synnex Corporation	Common Stock	80,447	124,359
	The TJX Companies, Inc.	Common Stock	791,221	1,078,367
	Thermo Fisher Scientific, Inc.	Common Stock	2,613,113	4,535,766
	Tradeweb Markets Inc.	Common Stock	163,454	243,555
	Trinity Industries, Inc.	Common Stock	1,442,862	1,847,300

**Information included in this schedule is certified as complete and accurate by The Northern Trust Company;
except for third party information related to the Silchester International Value Equity Group Trust.**

Henry Crown and Company Pension Plan
Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
December 31, 2020

Schedule I

(a)	Identity of issue, borrower, lessor or similar party (b)	Description of investment including maturity date, rate of interest, collateral, par or maturity value (c)	Cost (d)	Current value (e)
	Common stocks - continued			
	Ultra Beauty, Inc.	Common Stock	967,343	1,316,629
	Union Pacific Corporation	Common Stock	375,962	499,728
	United Rentals, Inc.	Common Stock	300,987	527,363
	UnitedHealth Group Inc.	Common Stock	244,485	376,981
	Univar Solutions, Inc.	Common Stock	54,443	112,672
	Versik Analytics, Inc.	Common Stock	88,988	233,539
	Visa Inc.	Common Stock	190,266	344,500
	Walmart Inc.	Common Stock	219,271	277,489
	Waste Management, Inc.	Common Stock	374,258	383,272
	Waters Corporation	Common Stock	2,783,453	3,386,685
	WEC Energy Group, Inc.	Common Stock	284,937	294,496
	Western Digital Corporation	Common Stock	323,881	322,093
	WEX, Inc.	Common Stock	251,063	355,160
	Whirlpool Corporation	Common Stock	266,374	328,311
	Workday, Inc.	Common Stock	1,218,733	2,174,461
	W.R. Grace & Co	Common Stock	102,215	121,097
	XPO Logistics Inc.	Common Stock	206,030	408,498
		Total common stocks	71,535,226	99,862,244
	Common and collective trusts			
*	Northern Trust STIF	Common and Collective Trust	4,116,388	4,116,388
	Silchester Int'l Invs Tobacco Free Int Value Equity Grp Trust	Common and Collective Trust	21,966,188	25,794,841
		Total common and collective trusts	26,082,576	29,911,229
	Corporate bonds			
	APX Group, Inc.	Corporate Bond	174,750	207,500
	Enterprise Products Operating LLC	Corporate Bond	60,650	89,571
	Live Nation Entertainment	Corporate Bond	245,413	271,604
	Life Time Fitness Inc	Corporate Bond	261,946	265,375
	Mileage Plus Holdings LLC	Corporate Bond	249,800	268,750
	Skymiles IP LTD & Delta Air Lines Inc	Corporate Bond	255,200	267,214
	MagnaChip Semiconductor Corporation	Corporate Bond	312,407	322,055
	Rockpoint Gas Storage Canada, Ltd.	Corporate Bond	243,420	247,500
		Total corporate bonds	1,803,586	1,939,569
	Limited Partnerships			
	Brookfield Infrastructure Partners, L.P.	Limited Partnership	174,598	291,460
		Total limited Partnership	174,598	291,460
		Total investments	\$ 99,595,986	\$ 132,004,502

* Represents a party-in-interest to the Plan.

Information included in this schedule is certified as complete and accurate by The Northern Trust Company; except for third party information related to the Silchester International Value Equity Group Trust.

Schedule SB, line 24 — Change in Actuarial Assumptions

Actuarial assumption changes since prior valuation

Funding

- Interest discounts and mortality rates were updated from 2019 to 2020 in accordance with PPA.
- The expense assumption decreased from \$584,000 to \$488,000.