Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2020

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2020 or fiscal plan year beginning 09/02/2016 and ending 12/31/2016

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

B This return/report is:
- [X] the first return/report
- [ ] the final return/report
- [X] an amended return/report
- [X] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
DONNELLEY FINANCIAL SAVINGS PLAN

1b Three-digit plan number (PN) ▶ 003

1c Effective date of plan 09/02/2016

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
DONNELLEY FINANCIAL, LLC

35 W. WACKER DRIVE
CHICAGO, IL 60601

2b Employer Identification Number (EIN) 13-2618477

2c Plan Sponsor's telephone number 913-664-0545

2d Business code (see instructions) 323100

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/11/2021	BRIAN REPSOLD
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address [X] Same as Plan Sponsor

3b Administrator's EIN

3c Administrator's telephone number

4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:

a Sponsor's name DONNELLEY FINANCIAL, LLC

c Plan Name DONNELLEY FINANCIAL SAVINGS PLAN

4b EIN 13-2618477

4d PN 001

5	Total number of participants at the beginning of the plan year	**5** 0
6	Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).	
a(1)	Total number of active participants at the beginning of the plan year	**6a(1)** 0
a(2)	Total number of active participants at the end of the plan year	**6a(2)** 2886
b	Retired or separated participants receiving benefits	**6b** 251
c	Other retired or separated participants entitled to future benefits	**6c** 4650
d	Subtotal. Add lines **6a(2)**, **6b**, and **6c**.	**6d** 7787
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e** 75
f	Total. Add lines **6d** and **6e**.	**6f** 7862
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g** 7573
h	Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h** 7
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2E 2F 2G 2J 2K 2R 2S 2T 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

- (1) [ ] Insurance
- (2) [ ] Code section 412(e)(3) insurance contracts
- (3) [X] Trust
- (4) [ ] General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

- (1) [ ] Insurance
- (2) [ ] Code section 412(e)(3) insurance contracts
- (3) [X] Trust
- (4) [ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1) [X] **R** (Retirement Plan Information)
- (2) [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3) [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- (1) [X] **H** (Financial Information)
- (2) [ ] **I** (Financial Information – Small Plan)
- (3) [ ] 0 **A** (Insurance Information)
- (4) [X] **C** (Service Provider Information)
- (5) [X] **D** (DFE/Participating Plan Information)
- (6) [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2020 Form M-1 annual report. If the plan was not required to file the 2020 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2020** **This Form is Open to Public Inspection.**

For calendar plan year 2020 or fiscal plan year beginning 09/02/2016 and ending 12/31/2016

A Name of plan
DONNELLEY FINANCIAL SAVINGS PLAN

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500
DONNELLEY FINANCIAL, LLC

D Employer Identification Number (EIN)
13-2618477

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

GREAT-WEST LIFE & ANNUITY INS. CO

84-0467907

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

NORTHERN TRUST COMPANY

36-2723087

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PACIFIC INVESTMENT MGMT COMPANY LLC

33-0629048

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

WINSLOW CAPITAL MGMT, LLC

90-0860898

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

GALLIARD CAPITAL MANAGMENT

41-1813702

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

WELLS CAPITAL MANAGEMENT

95-3692822

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

NORTHERN TRUST INVESTMENTS, INC.

36-3608252

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

DIMENSIONAL FUND ADVISORS

30-0447847

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

WALTER SCOTT PARTNERS LIMITED

98-0465834

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FRONTEGRA ASSET MGMT. INC.

36-3968668

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

GREAT-WEST LIFE & ANNUITY INS. CO.

84-0467907

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 50 64	NONE	260319	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

ARROWSTREET CAPITAL, LP

04-3472863

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	NONE	53417	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

WINSLOW CAPITAL MANAGEMENT, LLC

90-0860898

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	49089	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

LSV ASSET MANAGEMENT

23-2772200

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	37220	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

MAGELLAN ASSET MANAGEMENT LTD

98-0517786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	NONE	32118	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

FRONTIER CAPITAL MANAGEMENT

04-3492668

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	NONE	13534	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WALTER SCOTT & PARTNERS LMTD

98-0465834

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 52	NONE	9770	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ADVISED ASSETS GROUP, LLC

84-1532243

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 50	NONE	8131	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

AJO, LP

23-2312104

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	NONE	5850	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2020

This Form is Open to Public Inspection.

For calendar plan year 2020 or fiscal plan year beginning 09/02/2016 and ending 12/31/2016

A Name of plan
DONNELLEY FINANCIAL SAVINGS PLAN

B Three-digit plan number (PN) ▶ 003

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
DONNELLEY FINANCIAL, LLC

D Employer Identification Number (EIN)
13-2618477

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: NT COLLTIVE SHORT TERM INV

b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
45-6138589-084	C	1698815

a Name of MTIA, CCT, PSA, or 103-12 IE: ALLIANCE BERNSTEIN EMERGING MARKETS

b Name of sponsor of entity listed in (a): ALLIANCE BERNSTEIN LP

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
04-6948485-038	C	17241297

a Name of MTIA, CCT, PSA, or 103-12 IE: ARROWSTREET INTERNATIONAL EQUITY

b Name of sponsor of entity listed in (a): GLOBAL TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
30-6507806-003	C	23570801

a Name of MTIA, CCT, PSA, or 103-12 IE: LOOMIS SAYLES CORE PLUS FIX INCOME

b Name of sponsor of entity listed in (a): LOOMIS SAYLES TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
84-6391546-010	C	27966193

a Name of MTIA, CCT, PSA, or 103-12 IE: NT COLLECTIVE EXTENDED EQ MKT INDEX

b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
45-6138589-110	C	17825612

a Name of MTIA, CCT, PSA, or 103-12 IE: NT COLLECTIVE TIPS INDEX FUND

b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
45-6138589-091	C	6375940

a Name of MTIA, CCT, PSA, or 103-12 IE: NT COLLECTIVE AGGREGATE BOND INDEX

b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
45-6138589-088	C	21347394

a Name of MTIA, CCT, PSA, or 103-12 IE: NT COLLECTIVE ACWI EX-US MARKET FD

b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC

c EIN-PN 45-6138589-223	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 28017369

a Name of MTIA, CCT, PSA, or 103-12 IE: WELLS FARGO FIXED INCOME FUND A

b Name of sponsor of entity listed in (a): WELLS FARGO TRUST COMPANY

c EIN-PN 52-2250963-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 14357933

a Name of MTIA, CCT, PSA, or 103-12 IE: WELLS FARGO FIXED INCOME FUND F

b Name of sponsor of entity listed in (a): WELLS FARGO TRUST COMPANY

c EIN-PN 52-2252204-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 35985290

a Name of MTIA, CCT, PSA, or 103-12 IE: WELLS FARGO FIXED INCOME FUND L

b Name of sponsor of entity listed in (a): WELLS FARGO TRUST COMPANY

c EIN-PN 27-6635237-276	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 14008393

a Name of MTIA, CCT, PSA, or 103-12 IE: WELLS FARGO SHORT-TERM INV. FUND S

b Name of sponsor of entity listed in (a): WELLS FARGO TRUST COMPANY

c EIN-PN 41-6292499-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3823275

a Name of MTIA, CCT, PSA, or 103-12 IE: NT COLLECTIVE S&P 500 INDEX FD

b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC

c EIN-PN 45-6138589-002	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 63852304

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
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b Name of plan sponsor	**c** EIN-PN
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b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2020

This Form is Open to Public Inspection

For calendar plan year 2020 or fiscal plan year beginning 09/02/2016 and ending 12/31/2016

A Name of plan
DONNELLEY FINANCIAL SAVINGS PLAN

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500
DONNELLEY FINANCIAL, LLC

D Employer Identification Number (EIN)
13-2618477

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reported on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	0
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	0	0
(2) Participant contributions	1b(2)	0	0
(3) Other	1b(3)	0	0
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	0	0
(2) U.S. Government securities	1c(2)	0	0
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	0	0
(B) All other	1c(3)(B)	0	0
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	0	0
(B) Common	1c(4)(B)	0	80530182
(5) Partnership/joint venture interests	1c(5)	0	0
(6) Real estate (other than employer real property)	1c(6)	0	0
(7) Loans (other than to participants)	1c(7)	0	0
(8) Participant loans	1c(8)	0	5082228
(9) Value of interest in common/collective trusts	1c(9)	0	276070616
(10) Value of interest in pooled separate accounts	1c(10)	0	0
(11) Value of interest in master trust investment accounts	1c(11)	0	0
(12) Value of interest in 103-12 investment entities	1c(12)	0	0
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	0	47232342
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)	0	13888871

			(a) Beginning of Year	**(b)** End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)	0	0
	(2) Employer real property	1d(2)	0	0
e	Buildings and other property used in plan operation	1e	0	0
f	Total assets (add all amounts in lines 1a through 1e)	1f	0	422804239
	Liabilities			
g	Benefit claims payable	1g	0	0
h	Operating payables	1h		
i	Acquisition indebtedness	1i	0	0
j	Other liabilities	1j	0	295851
k	Total liabilities (add all amounts in lines 1g through1j)	1k	0	295851
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	0	422508388

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		**(a)** Amount	**(b)** Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	80	
	(B) Participants	2a(1)(B)	4061421	
	(C) Others (including rollovers)	2a(1)(C)	7477	
	(2) Noncash contributions	2a(2)	0	
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		4068978
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	-1032	
	(B) U.S. Government securities	2b(1)(B)	0	
	(C) Corporate debt instruments	2b(1)(C)	0	
	(D) Loans (other than to participants)	2b(1)(D)	0	
	(E) Participant loans	2b(1)(E)	84822	
	(F) Other	2b(1)(F)	0	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		83790
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)	0	
	(B) Common stock	2b(2)(B)	306516	
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	551375	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		857891
	(3) Rents	2b(3)		0
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	15773158	
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)	14395825	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		1377333
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)	0	
	(B) Other	2b(5)(B)	1281997	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		1281997

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		-976275
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		3578132
c Other income	2c		494284
d Total income. Add all **income** amounts in column (b) and enter total	2d		10766130
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	16788269	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		16788269
f Corrective distributions (see instructions)	2f		0
g Certain deemed distributions of participant loans (see instructions)	2g		246313
h Interest expense	2h		0
i Administrative expenses: **(1)** Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	0	
(3) Investment advisory and management fees	2i(3)	8131	
(4) Other	2i(4)	264958	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		273089
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		17307671
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		-6541541
l Transfers of assets:			
(1) To this plan	2l(1)		429049929
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unmodified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) [X] DOL Regulation 2520.103-8 **(2)** [ ] DOL Regulation 2520.103-12(d) **(3)** [ ] neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: BAKER TILLY VIRCHOW KRAUSE, LLP **(2)** EIN: 39-0859910

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [X] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	

			Yes	No	Amount
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	**4b**		X	
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	**4c**		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	**4d**		X	
e	Was this plan covered by a fidelity bond?	**4e**	X		10000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	**4f**		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	**4g**		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	**4h**		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	**4i**	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)	**4j**		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	**4k**		X	
l	Has the plan failed to provide any benefit when due under the plan?	**4l**		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	**4m**	X		
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	**4n**	X		

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No

If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

DONNELLEY FINANCIAL SAVINGS PLAN

FINANCIAL STATEMENTS AND SUPPLEMMENTARY INFORMATION

Including Report of Independent Certified Public Accountants

As of December 31, 2016 and for the period
September 2, 2016 (date of inception) through December 31, 2016

DONNELLEY FINANCIAL SAVINGS PLAN

December 31, 2016
Index

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Note: All other schedules required by Section 2520.103-10 of the Department Of Labor's rules and regulating and disclosure under the Employee Retirement Income Security Act of 1974 have been omitted because they are not applicable to the Donnelley Financial Savings Plan.

INDEPENDENT AUDITORS' REPORT

To the Administrator of the
Donnelley Financial Solutions, Inc. Savings Plan
Chicago, IL

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Donnelley Financial Solutions, Inc. Savings Plan (the "Plan"), which comprise the statement of net assets available for benefits as of December 31, 2016, and the related statement of changes in net assets available for benefits for the period September 2, 2016 (Date of inception) through December 31, 2016, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these 2016 financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's ("DOL") Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 ("ERISA"), the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 9, which was certified by The Northern Trust Company, the trustee of the Plan ("Trustee"), except for comparing such information with the related information included in the financial statement. We have been informed by the plan administrator that the Trustee holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained a certification from the Trustee as of and for the period September 2, 2016 (Date of inception) through December 31, 2016, that the information provided to the Plan administrator by the Trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion on the accompanying 2016 financial statements. Accordingly, we do not express an opinion on these financial statements.

Other Matters

The supplemental schedule of Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year) as of December 31, 2016, is required by the DOL's Rules and Regulations for Reporting and Disclosure under ERISA and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion on the 2016 financial statements paragraph, we do not express an opinion on the supplemental schedule.

Report on Form and Content in Compliance with DOL's Rules and Regulations for the 2016 Financial Statements

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the Trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.

Chicago, Illinois
October 13, 2017

DONNELLEY FINANCIAL SOLUTIONS SAVINGS PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
AS OF DECEMBER 31, 2016
(EMPLOYER IDENTIFICATION NUMBER 13-2618477, PLAN NUMBER 001)

	2016
ASSETS	
Plan investments, at fair value	$ 353,370,395
Plan investments, at contract value	63,966,576
Notes receivable from participants	5,330,757
Total assets	422,667,728
LIABILITIES	
Accrued expenses and other liabilities	171,000
Excess contributions payable	124,851
Total liabilities	295,851
NET ASSETS AVAILABLE FOR BENEFITS	$ 422,371,877

The accompanying notes are an integral part of these financial statements.

DONNELLEY FINANCIAL SOLUTIONS SAVINGS PLAN
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE PERIOD SEPTEMBER 2, 2016 THROUGH DECEMBER 31, 2016
(EMPLOYER IDENTIFICATION NUMBER 13-2618477, PLAN NUMBER 001)

	2016
ADDITIONS TO NET ASSETS:	
Investment income	
Dividend and interest income	$ 888,977
Net appreciation in fair value of investments	5,338,314
Total investment income	6,227,291
Interest income on notes receivable from participants	84,822
Contributions	
Employer	80
Participants	4,061,421
Rollovers	7,477
Total contributions	4,068,978
Total additions	10,381,091
DEDUCTIONS FROM NET ASSETS:	
Benefits paid to participants	16,786,054
Administrative expenses	273,089
Total deductions	17,059,143
Net decrease prior to transfers	(6,678,052)
TRANSFERS	
Transfers to the Plan	429,049,929
Net increase	422,371,877
NET ASSETS, BEGINNING OF YEAR	-
NET ASSETS, END OF YEAR	$ 422,371,877

The accompanying notes are an integral part of these financial statements.

NOTE 1 – PLAN DESCRIPTION

The following description of the Donnelley Financial Savings Plan (the "Plan") is provided for general information purposes only. For more complete information, refer to the Summary Plan Description and the Plan document, including any modifications and amendments thereto. The Plan is a defined contribution profit sharing plan sponsored by Donnelley Financial LLC, (the "Company"), that went into effect on September 2, 2016, and is designed to allow eligible employees to save for retirement on a tax-advantaged basis. The Plan is intended to qualify as a cash or deferred arrangement under Section 401(k) of the Internal Revenue Code of 1986, as amended (the "Code"), and is subject to the Employee Retirement Income Security Act of 1974, as amended ("ERISA").

General

On October 1, 2016, R.R. Donnelley & Sons Company ("RR Donnelley") completed the separation of its financial communications services business, Donnelley Financial Solutions, Inc., and its publishing and retail-centric print services and office products business, LSC Communications, Inc., into two separate publicly-traded companies (the "Separation"). In accordance with the Separation and Distribution Agreement (the "Agreement"), certain employees and former employees' balances in the RR Donnelley Savings Plan ("RRD Plan") were transferred to either Donnelley Financial or LSC Communications U.S., LLC ("LSC") sponsored savings plans, and they were no longer covered under the RRD Plan. Participant account balances totaling approximately $429 million were transferred from the RRD Plan to the Plan.

Administration

The Plan is administered by the Plan's Benefits Committee and its delegates. The Plan's assets are held in the Donnelley Financial Savings Plan Trust (the "Trust"). The Northern Trust Company (the "Trustee") serves as the trustee of the Trust and custodian of the Plan's assets, other than with respect to assets invested through the self-directed brokerage accounts. J.P. Morgan (JPM) Securities LLC is the custodian of assets invested through the self-directed brokerage accounts. Great West Financial, operating under the brand name Empower Retirement, is the Plan's recordkeeper.

Eligibility

Generally, all employees of the Company and its U.S. subsidiaries are eligible to become Plan members ("participants"), unless they are part of a bargaining unit that does not participate in the Plan. Except with respect to certain contingent employees, eligible employees are not required to satisfy any service or age requirements to participate in the Plan and, accordingly, become eligible to participate in the Plan on their first day of employment with the Company or one of its participating affiliates. Elections to participate in the Plan are effective as soon as administratively practicable. The Plan has automatic enrollment provisions pursuant to which eligible employees are automatically enrolled 30 days after being hired, in each case unless the employee opts out of automatic enrollment or elects to be enrolled earlier. Individuals who are automatically enrolled make contributions on a before-tax basis equal to 3% of eligible compensation. Participants who were previously automatically enrolled in the RRD Plan on or before September 1, 2016 were automatically enrolled under the Plan effective September 2, 2016, subject to the ability to opt out of the automatic enrollment as described above.

Contributions

Eligible employees may elect to make before-tax, after-tax and Roth 401(k) contributions under the Plan. Subject to certain limitations, the contribution election percentages allowed are from 1%-85% of eligible compensation for before-tax, after-tax and Roth 401(k) contributions, and the total of all elections cannot exceed 85% of eligible compensation. Before-tax, after-tax and Roth 401(k) contributions are funded by payroll deductions and must be made in whole percentages of employee eligible earnings, although contributions designated as "catch-up" contributions (made by those over age 50) must be designated in whole dollar amounts. Participants can change contribution elections at any time.

The Plan permits the Company to provide a discretionary matching contribution. The Company did not make any such discretionary matching contributions for the 2016 plan year. Matching contributions, when made, are contributed to the Plan in cash and invested according to the participant's investment elections.

Employees may also roll over amounts to the Plan that were distributed from certain types of eligible retirement plans and individual retirement accounts.

NOTE 1 – PLAN DESCRIPTION (CONTINUED)

Participant Accounts

Each participant's account is credited with the participant's contributions and the Company's matching contributions and profit sharing contributions, if any, and allocations of Plan earnings. Participant accounts are charged with an allocation of administrative expenses. Allocations are based on participant earnings, account balances, defined monthly charges or specific participant transactions, as defined in the Plan document and periodic participant disclosures. The benefit to which a participant is entitled is the participant's vested account balance (reduced by any of the outstanding Plan loans as of the distribution date).

Vesting and Forfeited Accounts

Participants are always 100% vested in their before-tax, after-tax, Roth 401(k) and rollover contributions and investment earnings thereon. Except with respect to certain members of collective bargaining units and certain other members, participants first hired before January 1, 2012 are always 100% vested with respect to discretionary matching contributions (and earnings thereon). As of December 31, 2016, participants first hired on or after January 1, 2012 were not vested in such discretionary matching contributions (and earnings thereon) until earning three years of service under the Plan

At December 31, 2016, forfeited non-vested accounts totaled $34,372. These accounts may be used to reduce future employer contributions or administrative expenses.

Notes Receivable from Participants

Participants are permitted to borrow up to the lesser of 50% of their vested before-tax, after-tax, Roth 401(k), matching and rollover account balance, or $50,000, reduced by the highest outstanding loan balance in the last 12 months. The minimum loan amount is $1,000. Subject to certain exceptions for historical loans and loans originated under other plans, participants are allowed only one outstanding loan at any time and the maximum loan repayment period is four and a half years. The loans are secured by the balance in the participants' accounts and bear interest at a rate equal to 1% over the prime rate, as published in the Wall Street Journal. The interest rate for the loans outstanding as of December 31, 2016 ranged from a low of 4.25% to a high of 8.5%. The participant pays an administrative fee of $50 to the Plan's recordkeeper, Empower Retirement, at the inception of the loan. Principal and interest is paid ratably through payroll deductions.

Benefit Payments and Withdrawals

A participant's account balance may be distributed on retirement or other termination of employment. Distributions may be made in the form of lump sum distribution of all or a portion of the participant's account, or in installment payments. Investments in the brokerage account may be distributed in-kind. All other payments are made in cash.

A participant may withdraw his or her after-tax contributions and rollover contributions, and the value of the participant's Fund B account, if any, at any time, and may withdraw an amount from his or her vested account (other than the value of his or her TRASOP account, if any) while he or she is still employed if he or she incurs a financial hardship, or has attained age 59.5. A participant may withdraw all or part of his or her before-tax or Roth 401(k) contributions if he or she is in the uniformed services while on active duty for more than 30 days. A participant may withdraw any portion of his or her vested account after attaining age 70.5, and will be required to begin taking distributions of his or her vested account beginning on April 1 following the calendar year of the later of attainment of age 70.5 or termination of employment.

NOTE 1 – PLAN DESCRIPTION (CONTINUED)

Investment Options

Participants are permitted to direct how their account balance under the Plan is invested. Subject to certain restrictions, participants can change investment elections on a daily basis. As of December 31, 2016, the following investment options were offered under the Plan:

Core Investment Funds— investment funds that invest in different asset classes, such as fixed income funds, bond funds, stock funds, and funds that invest in alternative investments.

Target Date Funds— mix of investments in core investment funds that automatically reduce their level of equity risk over time and target retirement at age 65.

Conservative Income Fund— a conservative portfolio comprised of a mix of investments in core investment funds with equity risk maintained lower than any Target Date Fund.

Self-Directed Brokerage Account— participants may invest a portion of their account balance under the Plan in investment options not otherwise offered under the Plan, such as certain mutual funds, stocks, or bonds, by directing such portion of their account balance to be invested through a self-directed brokerage account under the Plan.

NOTE 2 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America ("US GAAP"). The accounts of the Plan are maintained on the accrual basis of accounting. Investments held by a defined contribution plan are required to be reported at fair value, except for fully benefit-responsive investment contracts. Contract value is the relevant measure for the portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants normally would receive if they were to initiate permitted transactions under the terms of the Plan.

Use of Estimates

The preparation of financial statements in conformity with US GAAP requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Investment Valuation and Income Recognition

The Plan's investments are stated at fair value (except for fully benefit-responsive investment contracts, which are reported at contract value). Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for discussion of fair value measurements, including details on inputs, valuation techniques and the fair value levels within the fair value hierarchy.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation or depreciation of investments included in the accompanying Statement of Changes in Net Assets available for Benefits includes realized gains or losses from the sale of investments during the period, the change in unrealized appreciation or depreciation in the fair value of investments held during the period and any investment related expenses, such as investment manager fees.

NOTE 2 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. Related fees are recorded as administrative expenses and are expensed when they are incurred. No allowance for credit losses has been recorded as of December 31, 2016.

Plan Distributions

Benefit payments to participants are recorded upon distribution. The Trustee uses a distribution account to make all benefit payments. Amounts are transferred from the participant's investment fund(s) to this account as directed by the plan administrator.

Administrative Expenses

Administrative expenses paid by the Plan include recordkeeping fees, investment consulting fees, custody fees, and most other administrative fees. Expenses paid by the Company include audit fees, legal fees, and some other administrative fees and are excluded from these financial statements. Fees related to the administration of notes receivable from participants are included in the principal amount borrowed and are charged directly to a participant by reducing the amount of principal disbursed to the participant at loan origination. Investment related expenses, such as investment management fees are included in net appreciation/(depreciation) in fair value of investments.

Recent Accounting Pronouncements

In May 2015, the Financial Accounting Standards Board ("FASB") issued Accounting Standards Update ("ASU") No. 2015-07, Fair Value Measurement (Topic 820): Disclosures for Investments in Certain Entities That Calculate Net Asset Value per Share (or Its Equivalent) ("ASU 2015-07"). ASU 2015-07 removes the requirement to include investments in the fair value hierarchy for which fair value is measured using the ("NAV") net asset value per share practical expedient under Accounting Standards Codification 820. ASU 2015-07 is effective for the Plan for years beginning after December 15, 2016 with early adoption permitted.

The Plan adopted the provisions of the new standard for the period ended December 31, 2016. The total amount of the investments measured at NAV is disclosed so that total investments in the fair value tables can be reconciled to total investments at fair value on the statements of net assets.

In July 2015, FASB issued ASU 2015-12, Plan Accounting: Defined Benefit Pension Plans (Topic 960), Defined Contribution Pension Plans (Topic 962), and Health and Welfare Benefit Plans (Topic 965), a three-part update to the guidance for plan accounting of employee benefit plans. The update simplifies employee benefit plan reporting as outlined in Part (I) for fully benefit-responsive investment contracts and in Part (II) for plan investment disclosures. Part (III) provides for a measurement date practical expedient and is not applicable to the Plan. Parts (I) and (II) are effective for fiscal years beginning after December 15, 2015 and should be applied retrospectively, with early application permitted.

Parts I and II of the guidance were adopted for the period ended December 31, 2016 and applied retrospectively for the period ended December 31, 2016. Accordingly, for Part I of the guidance, the adjustment from fair value to contract value on the statements of net assets has been removed, the average-yield disclosures have not been disclosed in Note 3 – Galliard Stable Value Fund and the fully benefit responsive investment contracts held in the Galliard Stable Value Fund at contract have been excluded from the fair value leveling in Note 4 – Fair Value Measurements. In accordance with Part II of the guidance, in Note 4 - Fair Value Measurements, the level of disaggregation of investments that are measured at fair value has been simplified by disaggregating investments by general type instead of disaggregating by nature, characteristics and risks and the investment strategies for investments measured at NAV. In addition, the disclosure of individual investments greater than 5% of net assets and the net appreciation or depreciation in fair value of investments by general type has been excluded from the footnotes for the period ended December 31, 2016.

NOTE 3 – GALLIARD STABLE VALUE FUND

The Plan assets include benefit-responsive investment contracts via investment in the Galliard Stable Value Fund ("the Fund"), an investment option of the Plan. The Fund is solely owned by the Plan, and therefore the Plan owns the Fund's underlying contracts and investments.

The Fund primarily invests in security-backed contracts issued by insurance companies and other financial institutions. A security-backed contract is an investment contract issued by an insurance company or other financial institution, backed by a portfolio of bonds or units of a collective fund that are owned by the Fund. The portfolio underlying the contract is maintained separately from the contract issue's general assets, usually by a third party custodian. These contracts typically allow for realized and unrealized gains and losses on the underlying assets to be amortized, usually over the duration of the underlying investments, through adjustment to the future interest crediting rate, rather than reflected immediately in the net assets of the Fund. The security-backed contracts are designed to reset their respective crediting rates on a quarterly basis and cannot credit an interest rate that is less than 0%. The issuer guarantees that all qualified participant withdrawals will be at contract value.

The Fund's investments are reported at contract value, except for a short-term investment collective trust fund used for liquidity, which is at fair value. Contract value is the relevant measure for fully benefit-responsive investment contracts because this is the amount received by participants if they were to initiate permitted transaction under the terms of the Plan. Contract value represents contributions made under the contract, plus earnings, less participant withdrawals, and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

Risks arise when entering into any investment contract due to the potential inability of the issuer to meet the terms of the contract. In addition, security-backed contracts have the risk of default or the lack of liquidity of the underlying portfolio assets. The credit risk of each issuer is evaluated and monitored through Galliard's credit analysis, and the underlying portfolio assets are rated investment grade at the time of purchase.

The primary variables impacting the future crediting rates of security-backed contracts include:

- The current yield of the assets underlying the contract;
- The duration of the assets underlying the contract; and
- The existing difference between the fair value and contract value of the assets within the contract.

The crediting rate of security-backed contracts will track current market yields on a trailing basis. The rate reset allows the contract value to converge with the fair value of the underlying portfolio over time, assuming the portfolio continues to earn the current yield for a period of time equal to the current portfolio duration.

Security-backed contracts generally provide for withdrawals associated with certain events which are not in the ordinary course of Fund operations and are paid with a market value adjustment. Events that may trigger a market value adjustment can include the following:

- Material amendments to the Fund's structure or administration;
- Changes to the participating plans' competing investment options including the elimination of equity wash provisions;
- Complete or partial termination of the Fund, including merger with another fund;
- The failure of the Fund to qualify for exemption from federal income taxes or any required prohibited transaction exemption under the ERISA;
- The redemption of all or a portion of the interests in the Fund held by a participating plan including withdrawals due to the removal of a specifically identifiable group of employees from coverage under the participating plan, the closing or sale of a subsidiary, employing unit, or affiliate, the bankruptcy or insolvency of a plan sponsor, the merger of the plan with another plan, or the plan sponsor's establishment of another tax qualified defined contribution plan;
- Any change in law, regulation, ruling, administrative or judicial position, or accounting requirement, applicable to the Funds or participating plans; and
- The delivery of any communication to plan participants designed to influence a participant not to invest in the Fund.

NOTE 3 – GALLIARD STABLE VALUE FUND (CONTINUED)

At this time, the Fund does not believe that the occurrence of any such market value event, which would limit the Fund's ability to transact at contract value with participants, is probable. Participants may redeem their shares at any time at contract value. However, there is a 90 day equity wash restriction for transfers from the Stable Value Fund to the brokerage option.

NOTE 4 – FAIR VALUE MEASUREMENTS

Various inputs are used in determining the fair value of the Plan's investments. These inputs are categorized in the three tier value hierarchy, which prioritizes valuation methodology based on the reliability of inputs, as listed below:

Level 1 – Valuations based on quoted prices for identical assets and liabilities in active markets.

Level 2 – Valuations based on observable inputs other than quoted prices included in Level 1, such as quoted prices for similar assets and liabilities in active markets, quoted prices for identical or similar assets and liabilities in markets that are not active, or other inputs that are observable or can be corroborated by observable market data.

Level 3 – Valuations based on unobservable inputs reflecting the Plan's own assumptions, consistent with reasonably available assumptions made by other market participants.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of the input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description by major asset categories of the valuation methodologies and levels used for determining fair value. There have been no changes in the methodology used during the period ended December 31, 2016.

Cash – Carrying value approximates fair value. Cash is classified as Level 1.

Equity securities – The values of individual equity securities, primarily common stock and exchange traded real estate investment trusts, are based on quoted prices in active markets. As such, these assets are classified as Level 1.

Self-directed brokerage accounts – The individually managed self-directed brokerage accounts are also classified as Level 1 since the underlying investments are actively traded on a market or exchange.

Collective trust funds – The fair values of participation units held in collective trusts are based on the NAV reported by the fund managers as of the financial statement dates and recent transaction prices, but are not quoted on active markets. The NAV is used as a practical expedient. Each collective trust provides for daily redemptions by the Plan at reported NAVs per share, with no advance notice requirement. There are no unfunded commitments associated with the collective trust funds held by the Plan.

Registered investment companies – Valued at the NAV of shares held by the Plan at year end. NAV is derived by the quoted prices of underlying investments and is used as a practical expedient. All funds are separately managed and not traded on an exchange. Each fund provides for daily redemptions by the Plan at reported NAVs per share, with no advance notice requirement. There are no unfunded commitments associated with the funds held by the Plan.

The valuation methodologies described above may generate a fair value calculation that may not be indicative of net realizable value or future fair values. While the Plan believes the valuation methodologies used are appropriate, the use of different methodologies or assumptions in calculating fair value could result in different amounts. The Plan invests in various assets in which valuation is determined by NAV. The Plan believes that the NAV is representative of fair value at the reporting date, as there are no significant

restrictions on redemption of these investments or other reasons to indicate that the investment would be redeemed at an amount different than the NAV.

The fair values of the Plan's investments as of December 31, 2016 were as follows:

Investment Category	Level 1	Level 2	Level 3	Total as of December 31, 2016
Cash……	$ 432,591	$ -	$ -	$ 432,591
Equity securities…….	82,047,235	-	-	82,047,235
Self-directed brokerage accounts	11,939,253	-	-	11,939,253
Subtotal	94,419,079	-	-	94,419,079
Investments measured at NAV:*				
Collective trust funds				211,718,975
Registered investment companies				47,232,341
Total investments at NAV				258,951,316
Total				$ 353,370,395

* In accordance with ASU 2015-07, as discussed in Note 2, certain investments that are measured at NAV per share (or its equivalent) as a practical expedient are not classified in the fair value hierarchy as of December 31, 2016. The fair value amounts presented in this table for such investments are intended to permit reconciliation of the fair value hierarchy to the investments at fair value presented in the statements of net assets available for benefits.

NOTE 5 – TAX STATUS OF THE PLAN

The Company has submitted an application to the Internal Revenue Service ("IRS") for a determination letter but has not received a response. The plan administrator believes that the Plan, as designed, is in compliance with the applicable requirements of Section 401(a) of the Internal Revenue Code, and the Trust is tax-exempt under Section 501(a) of the Internal Revenue Code.

U.S. GAAP requires the plan administrator to evaluate tax positions taken by the Plan. The financial statement effects of an uncertain tax position are recognized when the position is more likely than not, based on the technical merits, to be sustained upon examination by taxing jurisdictions. The Plan has recognized no tax interest or penalties related to uncertain tax positions. The Plan could be subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress

NOTE 6 – PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to terminate the Plan at any time subject to the provisions of ERISA. In the event of Plan termination, the rights of the participants in their account balances will, to the extent not already vested, become 100% vested.

NOTE 7 – RELATED PARTY TRANSACTIONS

Certain plan investments are managed by the Trustee. Fees paid by the Plan to the Trustee for investment management services are party-in-interest transactions, for which there is an exemption.

The Plan reimburses the Company for certain employees' compensation and related benefit costs related to the administration of the Plan and such reimbursements constitute a party-in-interest transaction for which there is an exemption. The cost of the Company's services reimbursed by the Plan did not exceed the cost of the services performed.

NOTE 8 – RISKS AND UNCERTAINTIES

The Plan provides various options for investing in securities. Investment securities are exposed to various risks, such as interest rate, market and credit risk. Due to the level of risk associated with certain investment securities and the level of uncertainty related to changes in the value of investment securities, it is at least reasonably possible that changes in risks in the near term could materially affect participants' account balances and the amounts reported in the Statements of Net Assets Available for Benefits and the Statement of Changes in Net Assets Available for Benefits.

As of December 31, 2016, the Plan had an investment of $63,852,304 that was concentrated in 1 fund.

NOTE 9 – INFORMATION CERTIFIED BY THE TRUSTEE

The Plan administrator has elected the method of annual reporting permitted by 29 CFR 2520.103-8 of the DOL's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, the Trustee has certified that the following data included in the accompanying financial statements is complete and accurate as specified:

- Plan investments and notes receivable from participants as shown in the statements of net assets available for plan benefits as of December 31, 2016;
- Investment income and interest income on notes receivable from participants as shown in the statement of changes in net assets available for plan benefits for the period ended December 31, 2016;
- Supplemental Schedule H, Line 4i – Schedule of Assets (Held at End of Year) as of December 31, 2016.

The Plan's independent accountants did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and supplemental schedule.

NOTE 10 – RECONCILIATION TO FORM 5500

The following table reconciles the financial statements to the Plan Form 5500 as filed for the Plan:

	December 31, 2016
Net Assets Available for Benefits per the financial statements	$ 422,371,877
Add: Contract value to fair value adjustment for fully benefit-responsive investment contracts reported at fair value on Form 5500	385,040
Less: Deemed loans distributions	(248,529)
Net Assets Available for Benefits per the Form 5500	$ 422,508,388

The following is a reconciliation of the net decrease in net assets per the financial statements to the Plan Form 5500 for the period September 2, 2016 through December 31, 2016:

Net decrease in Net Assets Available for Benefits per the financial statements	$	(6,678,052)
Add: Contract value to fair value adjustment for fully benefit-responsive investment contracts reported at fair value on Form 5500		385,040
Less: Change in deemed loans		(248,529)
Net decrease in Net Assets Available for Benefits per the Form 5500	$	(6,541,541)

NOTE 11 – SUBSEQUENT EVENTS

The Plan has evaluated subsequent events through October 13, 2017, which is the date that the financial statements were approved and available to be issued, for events requiring recording or disclosure in the Plan's financial statements. Effective January 1, 2017, the Plan was amended to provide that participants who are employed with the Company or a participating employer on or after January 1, 2017 are always 100% vested with respect to discretionary matching contributions (and earnings thereon).

SUPPLEMENTAL INFORMATION

DONNELLEY FINANCIAL SOLUTIONS SAVINGS PLAN
SCHEDULE H – LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2016
(EMPLOYER IDENTIFICATION NUMBER 13-2618477, PLAN NUMBER 001)

(a)	(b) Identity of Issuer, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost**	(e) Current Value
	INTEREST BEARING CASH			
	United States dollar			432,591
	COMMON STOCK			
	AGNICO-EAGLE MINES LTD COM			212,100
	CDN NAT RES LTD COM CDN NAT RES COM STK			314,018
	DOMINION DIAMOND CORP COM			60,742
	ADR ALIBABA GROUP HOLDING LTD SPONSORED ADS			279,675
	ADR CTRIP COM INTL LTD ADS			251,000
	#REORG/QIAGEN NV PLAN OF REORG QIAGEN 2D16AS2 01-25-2017			140,016
	CAESARSTONE LTD			166,886
	MOBILEYE NV EUR0.01			33,393
	MOBILEYE NV EUR0.01			246,636
	ADR CONTROLADORA VUELA COMPANIA DE AVIACION SPONSORED ADR REPSTG 10 CPOS			384,528
	FERROGLOBE PLC COM NPV			410,273
	#REORG/ TESORO NAME CHANGE ANDEAVOR 2G1GAV2 08-01-2017			393,525
	#REORG/ XEROX CORP REVERSE SPLIT XEROX 2H1KAW2 06-15-2017			39,870
	#REORG/HARMAN INTL CASH MERGER 03-13-2017			264,116
	#REORG/SWIFT TRANSN REVERSE STOCK SPLIT KNIGHT-SWIFT 2J1SAZ2 09-11-2017			167,012
	#REORG/TESSERA HLDG NAME CHANGE XPERI CORP 2D1BAT2 02-23-2017			378,087
	#REORG/ULTRATECH INC CASH & STOCK MERGER VEECO INSTRS 2887916 05-30-2017			317,303
	2U INC COM			183,734
	ACADIA HEALTHCARE CO INC COM			173,345
	ADOBE SYS INC COM			387,092
	AES CORP COM			372,491
	AFLAC INC COM			74,194
	AKAMAI TECHNOLOGIES INC COM STK			120,357
	ALBEMARLE CORP COM			197,984
	ALEXION PHARMACEUTICALS INC COM			305,875
	ALKERMES PLC SHS			13,617
	ALLEGHENY TECHNOLOGIES INC COM			430,062
	ALLSTATE CORP COM			467,771
	ALLY FINL INC COM			292,699
	ALPHABET INC CAP STK USD0.001 CL C			702,356
	ALPHABET INC CAPITAL STOCK USD0.001 CL A			645,847
	AMAZON COM INC COM			1,001,076
	AMER ELEC PWR CO INC COM			415,158
	AMERICAN AIRLINES INC COM USD1			196,238
	AMERICAN INTERNATIONAL GROUP INC COM			546,710
	AMGEN INC COM			189,196
	AMPLIFY SNACK BRANDS INC COM			33,293
	APPLE INC COM STK			1,007,634
	APPLIED MATERIALS INC COM			262,258
	APPLIED MATERIALS INC COM			212,014
	ARMSTRONG WORLD INDS INC NEW COM STK			110,101
	ARTISAN PARTNERS ASSET MGMT INC CL A CL A			142,503
	ASPEN TECHNOLOGY INC COM			110,508
	ASSURED GUARANTY LTD COMMON STK			188,812
	ASTRONICS CORP COM			44,906
	AT&T INC COM			517,505
	AUTOMATIC DATA PROCESSING INC COM			143,892
	AVERY DENNISON CORP COM			23,032
	AXIS CAPITAL HOLDINGS LTD COM USD0.0125			110,698
	BANK OF AMERICA CORP			1,084,182
	BEACON ROOFING SUPPLY INC COM			206,348
	BED BATH & BEYOND INC COM			112,695
	BELDEN INC COM			428,656
	BENEFITFOCUS INC COM			15,652
	BERKLEY W R CORP COM			185,563
	BERRY GLOBAL GROUP INC COM USD0.01			528,623
	BEST BUY INC COM STK			158,519
	BIG LOTS INC COM			41,072

DONNELLEY FINANCIAL SOLUTIONS SAVINGS PLAN
SCHEDULE H – LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2016
(EMPLOYER IDENTIFICATION NUMBER 13-2618477, PLAN NUMBER 001)

(a)	(b) Identity of Issuer, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost**	(e) Current Value
	BIOGEN INC COMMON STOCK			21,269
	BMC STK HLDGS INC COM			204,555
	BOISE CASCADE CO COM			118,350
	BOSTON SCIENTIFIC CORP COM			310,823
	BRIGHTCOVE INC			194,295
	BROADCOM LIMITED COM NPV			447,228
	CA INC COM			137,469
	CARDIOVASCULAR SYS INC DEL COM			210,215
	CAREER EDUCATION C COM USD0.01			249,435
	CARPENTER TECHNOLOGY CORP COM			29,515
	CARRIZO OIL & GAS INC COM			319,268
	CAVIUM INC COM			79,486
	CELANESE CORP DEL COM SER A STK			164,567
	CELGENE CORP COM			744,273
	CELLDEX THERAPEUTICS INC NEW COM STK			38,558
	CHARTER COMMUNICATIONS INC NEW CL A CL A			277,843
	CHEVRON CORP COM			360,162
	CITIGROUP INC COM NEW COM NEW			888,300
	CITIZENS FINL GROUP INC COM			435,719
	CITRIX SYS INC COM			191,749
	CLEARWATER PAPER CORP COM STK			140,539
	COEUR MNG INC COM NEW COM NEW			247,557
	COGENT COMMUNICATIONS HLDGS INC			468,082
	COMCAST CORP NEW-CL A			435,706
	CONFORMIS INC COM			30,432
	CONSOL ENERGY INC COM			176,703
	CONTROL4 CORP COM			135,293
	COOPER COS INC COM NEW COM NEW			443,972
	COOPER TIRE & RUBBER CO COM, NO PAR			61,461
	COSTAR GROUP INC COM			280,850
	COSTCO WHOLESALE CORP NEW COM			398,674
	CREE INC COM			177,605
	CUBIC CORP COM			60,753
	CYPRESS SEMICONDUCTOR CORP COM			244,267
	DANA INC COM			94,539
	DANA INC COM			49,310
	DANAHER CORP COM			361,567
	DARLING INGREDIENTS INC COMSTK			53,564
	DEAN FOODS CO NEW COM NEW COM USD0.01 (POST REV SPLIT)			184,259
	DELTA AIR LINES INC DEL COM NEW COM NEW			129,222
	DEXCOM INC COM			212,413
	DIAMONDBACK ENERGY INC COM			242,039
	DOLLAR TREE INC COM STK			373,937
	DOMTAR CORP COM NEW COM NEW			76,109
	DR PEPPER SNAPPLE GROUP INC COM STK			19,403
	DRIL-QUIP INC COM			55,366
	DRIL-QUIP INC COM			76,984
	DXP ENTERPRISES INC COM NEW STK			105,679
	E TRADE FINL CORP FORMERLY E TRADE GROUPINC TO 10/01/2003 COM NEW COM NEW			141,615
	EAGLE MATLS INC COM			274,209
	EBAY INC COM USD0.001			343,840
	ECOLAB INC COM STK USD1			270,192
	EDISON INTL COM			376,796
	EDWARDS LIFESCIENCES CORP COM			290,470
	EDWARDS LIFESCIENCES CORP COM			283,630
	ELECTR ARTS COM			360,800
	ELI LILLY & CO COM			185,714
	ELLIE MAE INC COM STK			225,685
	ENCORE WIRE CORP COM			122,074
	ENSCO PLC SHS CLASS A COM			231,773
	ENTERGY CORP NEW COM			257,806
	EURONET WORLDWIDE INC COM			109,876

DONNELLEY FINANCIAL SOLUTIONS SAVINGS PLAN
SCHEDULE H – LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2016
(EMPLOYER IDENTIFICATION NUMBER 13-2618477, PLAN NUMBER 001)

(a)	(b) Identity of Issuer, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost**	(e) Current Value
	EVEREST RE GROUP COM			273,746
	EVEREST RE GROUP COM			181,343
	EXELON CORP COM			43,582
	EXPEDIA INC DEL COM NEW			251,482
	EXXON MOBIL CORP COM			814,235
	FACEBOOK INC CL A CL A			820,307
	FEDEX CORP COM			346,704
	FIDELITY NATL INFORMATION SVCS INC COM STK			315,419
	FISERV INC COM			260,386
	FLEETCOR TECHNOLOGIES INC COM			372,198
	FLEX LTD FLEX LTD			281,465
	FMC CORP COM (NEW)			274,486
	FORTIVE CORP COM MON STOCK			289,066
	GEN MTRS CO COM			250,883
	GENERAC HLDGS INC COM STK			296,506
	GENERAL DYNAMICS CORP COM			302,155
	GENWORTH FINL INC COM CL A COM CL A			27,962
	GILEAD SCIENCES INC			350,030
	GLOBAL EAGLE ENTERTAINMENT INC			249,621
	GLOBAL PMTS INC COM			149,023
	GOGO INC COM			145,031
	GOODYEAR TIRE & RUBBER CO COM			216,491
	GRANITE CONST INC COM			106,315
	GRAPHIC PACKAGING HLDG CO COM STK			73,320
	GREEN DOT CORP COM STK			213,528
	GREENHILL & CO INC COM			142,046
	HARTFORD FINL SVCS GROUP INC COM			57,132
	HAWAIIAN HOLDINGS INC COM			332,766
	HAWAIIAN HOLDINGS INC COM			110,181
	HELMERICH & PAYNE INC COM			117,106
	HOME DEPOT INC COM			528,946
	HONEYWELL INTL INC COM STK			457,608
	HP INC COM			88,417
	HUNTINGTON INGALLS INDS INC COM			130,407
	ILLUMINA INC COM			160,562
	IMAX CORP COM			184,915
	INFINERA CORP COM STK USD0.001			66,833
	INGREDION INC COM			193,063
	INNOPHOS HLDGS INC COM STK			85,027
	INSULET CORP COM STK			416,892
	INTEGRATED DEVICE TECHNOLOGY INC COM			184,640
	INTEL CORP COM			571,942
	INTERCONTINENTAL EXCHANGE INC COM			304,668
	INTERFACE INC COM			107,998
	INTUIT COM			289,390
	INTUIT COM			358,385
	INTUITIVE SURGICAL INC COM NEW STK			310,743
	ITRON INC COM STK NPV			371,255
	JABIL INC			272,110
	JETBLUE AWYS CORP COM			252,158
	JOHNSON & JOHNSON COM USD1			1,122,261
	JPMORGAN CHASE & CO COM			1,349,230
	JPMORGAN CHASE & CO COM			264,910
	KAR AUCTION SVCS INC COM STK			104,249
	KIRBY CORP COM			164,588
	KRATON CORPORATION			304,964
	LEAR CORP COM NEW COM NEW			277,977
	LEUCADIA NATL CORP COM			214,063
	LINCOLN NATL CORP COM			251,163
	LIONS GATE ENTMT CORP CL B NON VTG NON VOTING SHS CL B			95,166
	LIONS GATE ENTMT CORP VOTING SHARES CL A			104,318
	LOUISIANA-PACIFIC CORP COM			324,346

DONNELLEY FINANCIAL SOLUTIONS SAVINGS PLAN
SCHEDULE H – LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2016
(EMPLOYER IDENTIFICATION NUMBER 13-2618477, PLAN NUMBER 001)

(a)	(b) Identity of Issuer, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost**	(e) Current Value
	LPL FINL HLDGS INC COM			82,320
	LSI INDS INC OHIO COM			91,205
	LULULEMON ATHLETICA INC COM			223,566
	LYONDELLBASELL IND N V COM USD0.01 CL 'A'			390,728
	MARINEMAX INC COM			151,491
	MARTIN MARIETTA MATLS INC COM			195,389
	MASTEC INC COM			631,890
	MASTERCARD INC CL A			525,543
	MAXIM INTEGRATED PRODS INC COM			192,194
	MERCK & CO INC NEW COM			277,690
	MERITOR INC COM			175,942
	MICHAEL KORS HOLDINGS LTD COM NPV			267,937
	MICRON TECH INC COM			303,592
	MICROSOFT CORP COM			375,450
	MICROSOFT CORP COM			521,044
	MODINE MFG CO COM STK			98,802
	MONOLITHIC PWR SYS INC COM			397,033
	MOODYS CORP COM			240,860
	MRC GLOBAL INC COM			151,504
	MYRIAD GENETICS INC COM			134,060
	NABORS INDUSTRIES COM USD0.10			220,957
	NETFLIX INC COM STK			262,456
	NIKE INC CL B			660,028
	NORTHROP GRUMMAN CORP COM			303,284
	NRG ENERGY INC COM NEW			33,887
	NU SKIN ENTERPRISES INC CL A CL A			108,031
	NUANCE COMMUNICATIONS INC COM			189,170
	O REILLY AUTOMOTIVE INC NEW COM USD0.01			342,444
	OCEANEERING INTL INC COM			195,834
	ON SEMICONDUCTOR CORP COM			153,082
	OWENS CORNING NEW COM STK			372,573
	PACWEST BANCORP DEL COM			361,808
	PATTERSON-UTI ENERGY INC COM			263,224
	PAYPAL HLDGS INC COM			470,285
	PEPSICO INC COM			256,344
	PEPSICO INC COM			390,898
	PFIZER INC COM			872,218
	PHILIP MORRIS INTL COM STK NPV			388,558
	PIONEER NAT RES CO COM			267,404
	PLANET FITNESS INC CL A CL A			1,447
	POPULAR INC COM NEW COM USD6 (POST REV SPLIT)			289,256
	POPULAR INC COM NEW COM USD6 (POST REV SPLIT)			127,209
	PRIMORIS SVCS CORP COM			10,707
	PRUDENTIAL FINL INC COM			261,919
	PUB SERVICE ENTERPRISE GROUP INC COM			263,236
	QORVO INC COM			422,736
	QUANTA SVCS INC COM			369,096
	QUICKLOGIC CORP CDT-COM STK			59,436
	RAYMOND JAMES FNCL INC COM STK			225,682
	RAYTHEON CO USD0.01			460,790
	REGENERON PHARMACEUTICALS INC COM			339,558
	REINSURANCE GROUP AMER INC COM NEW STK			247,004
	RELIANCE STL & ALUM CO COM			100,459
	ROGERS CORP COM			315,382
	ROWAN COMPANIES PLC			301,541
	S.W. AIRL CO COM			284,088
	S.W. AIRL CO COM			136,811
	SALESFORCE COM INC COM STK			512,081
	SANDERSON FARMS INC COM			215,244
	SANGAMO THERAPEUTICS INC COM			15,945
	SEACHANGE INTL INC COM			23,561
	SEMTECH CORP COM			93,861

DONNELLEY FINANCIAL SOLUTIONS SAVINGS PLAN
SCHEDULE H – LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2016
(EMPLOYER IDENTIFICATION NUMBER 13-2618477, PLAN NUMBER 001)

(a)	(b) Identity of Issuer, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost**	(e) Current Value
	SERVICENOW INC COM USD0.001			298,847
	SHERWIN-WILLIAMS CO COM			287,552
	SIGNATURE BK NY N Y COM			150,200
	SILICON LABORATORIES INC COM			94,705
	SILVER SPRING NETWORKS INC COM			101,995
	SKYWORKS SOLUTIONS INC COM			360,458
	SOUTHWESTERN ENERGY CO COM			20,623
	SPIRIT AEROSYSTEMS HLDGS INC CL A			375,949
	SPIRIT AIRLS INC COM			82,508
	SPLUNK INC COMSTK COM USD0.001			285,929
	STARBUCKS CORP COM			593,509
	STEEL DYNAMICS INC COM			88,310
	STERIS PLC NEW STERIS LTD COMSTK			151,223
	SUN TR BANKS INC COM			481,199
	SYNAPTICS INC COM			80,906
	SYSCO CORP COM			322,530
	TAILORED BRANDS INC COM			124,147
	TAKE-TWO INTERACTIVE SOFTWARE INC CDT-COM CDT-COM			121,056
	TANDEM DIABETES CARE INC COM			23,566
	TENNECO INC			55,099
	THE PRICELINE GROUP INC			469,139
	THERMO FISHER CORP			294,194
	TIVO CORP COM NPV			754,448
	TRAVELERS COS INC COM STK			483,926
	TREX CO INC COM			181,994
	TRINSEO S A COMMON STOCK			140,422
	TRONOX LTD SHS CL A			163,785
	TUTOR PERINI CORP COM			278,096
	TYSON FOODS INC CL A COM (DELAWARE)			122,743
	UNION PAC CORP COM			311,040
	UNITED CONTL HLDGS INC COM STK			460,310
	UNITED CONTL HLDGS INC COM STK			1,342,887
	UNITED THERAPEUTICS CORP DEL COM STK			405,190
	UNITEDHEALTH GROUP INC COM			757,789
	UNUM GROUP			163,683
	UNVL DISPLAY CORP COM			239,725
	UNVL ELECTRS INC COM			117,223
	VALERO ENERGY CORP COM STK NEW			498,531
	VEECO INSTRS INC DEL COM			367,319
	VERIFONE SYSTEMS INC COM			40,105
	VERISIGN INC COM			349,161
	VERIZON COMMUNICATIONS COM			639,492
	VISA INC COM CL A STK			1,024,403
	VISTEON CORP COM NEW COM NEW			19,523
	VOYA FINL INC COM			408,006
	WABCO HLDGS INC COM STK			93,412
	WAL-MART STORES INC COM			412,439
	WATSCO INC COM			222,180
	WEB COM GROUP INC COM			65,417
	WEBSTER FNCL CORP WATERBURY CONN COM			158,552
	ZOETIS INC COM USD0.01 CL 'A'			571,968
	TOTAL COMMON STOCK			80,530,188
	COMMON/COLLECTIVE TRUSTS			
	ALLIANCE BERNSTEIN EMERGING MARKETS MULTI-ASSET COLLECTIVE TR			17,241,297
	ARROWSTREET INTERNATIONAL EQUITY EAF			23,570,801
*	COLTV SHORT TERM INVT FD			1,698,814
	LOOMIS SAYLES CORE PLUS FIXED INCOME TRUST FUND			27,966,193
*	NORTHERN TRUST COLLECTIVE EXTENDED EQTY MKT IDX FD			17,825,612
*	NORTHERN TRUST COLLECTIVE TIPS INDEX FD			6,375,940
*	NT COLLECTIVE AGGREGATE BOND INDEX FUND			21,347,394

DONNELLEY FINANCIAL SOLUTIONS SAVINGS PLAN
SCHEDULE H – LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2016
(EMPLOYER IDENTIFICATION NUMBER 13-2618477, PLAN NUMBER 001)

(a)	(b) Identity of Issuer, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost**	(e) Current Value
*	NT COLLECTIVE ALL CTY WLD EX-US INVSTBL MKT IDX FD			28,017,369
*	NT COLLECTIVE S&P500 INDEX FUND			63,852,304
	WELLS FARGO / BLACKROCK SHORT-TERM INVESTMENT FUND S			14,357,934
	WELLS FARGO FIXED INCOME A			35,985,290
	WELLS FARGO FIXED INCOME F			14,008,393
	WELLS FARGO FIXED INCOME L			3,823,250
	TOTAL COMMON/COLLECTIVE TRUSTS			276,070,590
	REGISTERED INVESTMENT COMPANIES			
	DEUTSCHE REAL ESTATE SEC-INSTL			3,534,380
	DFA U.S SMALL CAP VALUE PORTFOLIO			19,040,483
	PIMCO FDS PAC INVT MGMT SER ALL AST FD INSTL CL			13,116,406
	WELLS FARGO FUNDS TRUST TOTAL RETURNBD FD CL R6			11,541,072
	TOTAL REGISTERED INVESTMENT COMPANIES			47,232,342
	OTHER ASSETS			
	SELF-DIRECTED BROKERAGE ACCOUNTS			11,939,253
	AMERICAN HOMES 4 RENT			186,386
	AMERICAN TOWER CORP			261,030
	CBL & ASSOC PPTYS INCCOM			84,870
	COLONY NORTHSTAR			40,799
	DUPONT FABROS STOCK MERGER DIGITAL RLTY			189,734
	HOSPITALITY PPTYS TR			237,669
	HOST HOTELS & RESORTS INC REIT			146,406
	HUDSON PACIFIC PROPERTIES INC			103,714
	MACK CALI RLTY CORP REIT			103,195
	OMEGA HEALTHCARE INVS INC REIT			2,188
	PIEDMONT OFFICE REALTY TRU-A			105,554
	SENIOR HSG PPTYS TR			55,503
	TOTAL OTHER ASSETS			13,456,301
*	**PARTICIPANT LOANS**	Interest Rates 4.25%-8.5%		5,082,228
	TOTAL			422,804,239

* Party in interest
** Cost information omitted as all investments are fully participant directed.

SCHEDULE R (Form 5500)	Retirement Plan Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	2016 This Form is Open to Public Inspection.

For calendar plan year 2016 or fiscal plan year beginning 09/02/2016 and ending 12/31/2016

A Name of plan
Donnelley Financial Savings Plan

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500
Donnelley Financial, LLC

D Employer Identification Number (EIN)
13-2618477

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions 1 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 84-1455663 _____

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year 3

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ Yes ☐ No ☐ N/A

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) 6a

b Enter the amount contributed by the employer to the plan for this plan year 6b

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) 6c

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ Yes ☐ No ☐ N/A

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ Yes ☐ No ☐ N/A

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ Increase ☐ Decrease ☐ Both ☐ No

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ Yes ☐ No

11 a Does the ESOP hold any preferred stock? ..... ☐ Yes ☐ No

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ Yes ☐ No

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ Yes ☐ No

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

Part VII IRS Compliance Questions

20a Is the plan a 401(k) plan? If "No," skip b	☐ Yes ☐ No
20b How did the plan satisfy the nondiscrimination requirements for employee deferrals under section 401(k)(3) for the plan year? Check all that apply:	☐ Design-based safe harbor ☐ "Prior year" ADP test ☐ "Current year" ADP test ☐ N/A
21a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:	☐ Ratio percentage test ☐ Average benefit test ☐ N/A
21b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?	☐ Yes ☐ No

22a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter _____ and the serial number _____.

22b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter _____.

DONNELLEY FINANCIAL SOLUTIONS SAVINGS PLAN
SCHEDULE H – LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2016
(EMPLOYER IDENTIFICATION NUMBER 13-2618477, PLAN NUMBER 001)

(a)	(b) Identity of Issuer, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost**	(e) Current Value
	INTEREST BEARING CASH			
	United States dollar			432,591
	COMMON STOCK			
	AGNICO-EAGLE MINES LTD COM			212,100
	CDN NAT RES LTD COM CDN NAT RES COM STK			314,018
	DOMINION DIAMOND CORP COM			60,742
	ADR ALIBABA GROUP HOLDING LTD SPONSORED ADS			279,675
	ADR CTRIP COM INTL LTD ADS			251,000
	#REORG/QIAGEN NV PLAN OF REORG QIAGEN 2D16AS2 01-25-2017			140,016
	CAESARSTONE LTD			166,886
	MOBILEYE NV EUR0.01			33,393
	MOBILEYE NV EUR0.01			246,636
	ADR CONTROLADORA VUELA COMPANIA DE AVIACION SPONSORED ADR REPSTG 10 CPOS			384,528
	FERROGLOBE PLC COM NPV			410,273
	#REORG/ TESORO NAME CHANGE ANDEAVOR 2G1GAV2 08-01-2017			393,525
	#REORG/ XEROX CORP REVERSE SPLIT XEROX 2H1KAW2 06-15-2017			39,870
	#REORG/HARMAN INTL CASH MERGER 03-13-2017			264,116
	#REORG/SWIFT TRANSN REVERSE STOCK SPLIT KNIGHT-SWIFT 2J1SAZ2 09-11-2017			167,012
	#REORG/TESSERA HLDG NAME CHANGE XPERI CORP 2D1BAT2 02-23-2017			378,087
	#REORG/ULTRATECH INC CASH & STOCK MERGER VEECO INSTRS 2887916 05-30-2017			317,303
	2U INC COM			183,734
	ACADIA HEALTHCARE CO INC COM			173,345
	ADOBE SYS INC COM			387,092
	AES CORP COM			372,491
	AFLAC INC COM			74,194
	AKAMAI TECHNOLOGIES INC COM STK			120,357
	ALBEMARLE CORP COM			197,984
	ALEXION PHARMACEUTICALS INC COM			305,875
	ALKERMES PLC SHS			13,617
	ALLEGHENY TECHNOLOGIES INC COM			430,062
	ALLSTATE CORP COM			467,771
	ALLY FINL INC COM			292,699
	ALPHABET INC CAP STK USD0.001 CL C			702,356
	ALPHABET INC CAPITAL STOCK USD0.001 CL A			645,847
	AMAZON COM INC COM			1,001,076
	AMER ELEC PWR CO INC COM			415,158
	AMERICAN AIRLINES INC COM USD1			196,238
	AMERICAN INTERNATIONAL GROUP INC COM			546,710
	AMGEN INC COM			189,196
	AMPLIFY SNACK BRANDS INC COM			33,293
	APPLE INC COM STK			1,007,634
	APPLIED MATERIALS INC COM			262,258
	APPLIED MATERIALS INC COM			212,014
	ARMSTRONG WORLD INDS INC NEW COM STK			110,101
	ARTISAN PARTNERS ASSET MGMT INC CL A CL A			142,503
	ASPEN TECHNOLOGY INC COM			110,508
	ASSURED GUARANTY LTD COMMON STK			188,812
	ASTRONICS CORP COM			44,906
	AT&T INC COM			517,505
	AUTOMATIC DATA PROCESSING INC COM			143,892
	AVERY DENNISON CORP COM			23,032
	AXIS CAPITAL HOLDINGS LTD COM USD0.0125			110,698
	BANK OF AMERICA CORP			1,084,182
	BEACON ROOFING SUPPLY INC COM			206,348
	BED BATH & BEYOND INC COM			112,695
	BELDEN INC COM			428,656
	BENEFITFOCUS INC COM			15,652
	BERKLEY W R CORP COM			185,563
	BERRY GLOBAL GROUP INC COM USD0.01			528,623
	BEST BUY INC COM STK			158,519
	BIG LOTS INC COM			41,072

DONNELLEY FINANCIAL SOLUTIONS SAVINGS PLAN
SCHEDULE H – LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2016
(EMPLOYER IDENTIFICATION NUMBER 13-2618477, PLAN NUMBER 001)

(a)	(b) Identity of Issuer, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost**	(e) Current Value
	BIOGEN INC COMMON STOCK			21,269
	BMC STK HLDGS INC COM			204,555
	BOISE CASCADE CO COM			118,350
	BOSTON SCIENTIFIC CORP COM			310,823
	BRIGHTCOVE INC			194,295
	BROADCOM LIMITED COM NPV			447,228
	CA INC COM			137,469
	CARDIOVASCULAR SYS INC DEL COM			210,215
	CAREER EDUCATION C COM USD0.01			249,435
	CARPENTER TECHNOLOGY CORP COM			29,515
	CARRIZO OIL & GAS INC COM			319,268
	CAVIUM INC COM			79,486
	CELANESE CORP DEL COM SER A STK			164,567
	CELGENE CORP COM			744,273
	CELLDEX THERAPEUTICS INC NEW COM STK			38,558
	CHARTER COMMUNICATIONS INC NEW CL A CL A			277,843
	CHEVRON CORP COM			360,162
	CITIGROUP INC COM NEW COM NEW			888,300
	CITIZENS FINL GROUP INC COM			435,719
	CITRIX SYS INC COM			191,749
	CLEARWATER PAPER CORP COM STK			140,539
	COEUR MNG INC COM NEW COM NEW			247,557
	COGENT COMMUNICATIONS HLDGS INC			468,082
	COMCAST CORP NEW-CL A			435,706
	CONFORMIS INC COM			30,432
	CONSOL ENERGY INC COM			176,703
	CONTROL4 CORP COM			135,293
	COOPER COS INC COM NEW COM NEW			443,972
	COOPER TIRE & RUBBER CO COM, NO PAR			61,461
	COSTAR GROUP INC COM			280,850
	COSTCO WHOLESALE CORP NEW COM			398,674
	CREE INC COM			177,605
	CUBIC CORP COM			60,753
	CYPRESS SEMICONDUCTOR CORP COM			244,267
	DANA INC COM			94,539
	DANA INC COM			49,310
	DANAHER CORP COM			361,567
	DARLING INGREDIENTS INC COMSTK			53,564
	DEAN FOODS CO NEW COM NEW COM USD0.01 (POST REV SPLIT)			184,259
	DELTA AIR LINES INC DEL COM NEW COM NEW			129,222
	DEXCOM INC COM			212,413
	DIAMONDBACK ENERGY INC COM			242,039
	DOLLAR TREE INC COM STK			373,937
	DOMTAR CORP COM NEW COM NEW			76,109
	DR PEPPER SNAPPLE GROUP INC COM STK			19,403
	DRIL-QUIP INC COM			55,366
	DRIL-QUIP INC COM			76,984
	DXP ENTERPRISES INC COM NEW STK			105,679
	E TRADE FINL CORP FORMERLY E TRADE GROUPINC TO 10/01/2003 COM NEW COM NEW			141,615
	EAGLE MATLS INC COM			274,209
	EBAY INC COM USD0.001			343,840
	ECOLAB INC COM STK USD1			270,192
	EDISON INTL COM			376,796
	EDWARDS LIFESCIENCES CORP COM			290,470
	EDWARDS LIFESCIENCES CORP COM			283,630
	ELECTR ARTS COM			360,800
	ELI LILLY & CO COM			185,714
	ELLIE MAE INC COM STK			225,685
	ENCORE WIRE CORP COM			122,074
	ENSCO PLC SHS CLASS A COM			231,773
	ENTERGY CORP NEW COM			257,806
	EURONET WORLDWIDE INC COM			109,876

DONNELLEY FINANCIAL SOLUTIONS SAVINGS PLAN
SCHEDULE H – LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
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(EMPLOYER IDENTIFICATION NUMBER 13-2618477, PLAN NUMBER 001)

(a)	(b) Identity of Issuer, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost**	(e) Current Value
	EVEREST RE GROUP COM			273,746
	EVEREST RE GROUP COM			181,343
	EXELON CORP COM			43,582
	EXPEDIA INC DEL COM NEW			251,482
	EXXON MOBIL CORP COM			814,235
	FACEBOOK INC CL A CL A			820,307
	FEDEX CORP COM			346,704
	FIDELITY NATL INFORMATION SVCS INC COM STK			315,419
	FISERV INC COM			260,386
	FLEETCOR TECHNOLOGIES INC COM			372,198
	FLEX LTD FLEX LTD			281,465
	FMC CORP COM (NEW)			274,486
	FORTIVE CORP COM MON STOCK			289,066
	GEN MTRS CO COM			250,883
	GENERAC HLDGS INC COM STK			296,506
	GENERAL DYNAMICS CORP COM			302,155
	GENWORTH FINL INC COM CL A COM CL A			27,962
	GILEAD SCIENCES INC			350,030
	GLOBAL EAGLE ENTERTAINMENT INC			249,621
	GLOBAL PMTS INC COM			149,023
	GOGO INC COM			145,031
	GOODYEAR TIRE & RUBBER CO COM			216,491
	GRANITE CONST INC COM			106,315
	GRAPHIC PACKAGING HLDG CO COM STK			73,320
	GREEN DOT CORP COM STK			213,528
	GREENHILL & CO INC COM			142,046
	HARTFORD FINL SVCS GROUP INC COM			57,132
	HAWAIIAN HOLDINGS INC COM			332,766
	HAWAIIAN HOLDINGS INC COM			110,181
	HELMERICH & PAYNE INC COM			117,106
	HOME DEPOT INC COM			528,946
	HONEYWELL INTL INC COM STK			457,608
	HP INC COM			88,417
	HUNTINGTON INGALLS INDS INC COM			130,407
	ILLUMINA INC COM			160,562
	IMAX CORP COM			184,915
	INFINERA CORP COM STK USD0.001			66,833
	INGREDION INC COM			193,063
	INNOPHOS HLDGS INC COM STK			85,027
	INSULET CORP COM STK			416,892
	INTEGRATED DEVICE TECHNOLOGY INC COM			184,640
	INTEL CORP COM			571,942
	INTERCONTINENTAL EXCHANGE INC COM			304,668
	INTERFACE INC COM			107,998
	INTUIT COM			289,390
	INTUIT COM			358,385
	INTUITIVE SURGICAL INC COM NEW STK			310,743
	ITRON INC COM STK NPV			371,255
	JABIL INC			272,110
	JETBLUE AWYS CORP COM			252,158
	JOHNSON & JOHNSON COM USD1			1,122,261
	JPMORGAN CHASE & CO COM			1,349,230
	JPMORGAN CHASE & CO COM			264,910
	KAR AUCTION SVCS INC COM STK			104,249
	KIRBY CORP COM			164,588
	KRATON CORPORATION			304,964
	LEAR CORP COM NEW COM NEW			277,977
	LEUCADIA NATL CORP COM			214,063
	LINCOLN NATL CORP COM			251,163
	LIONS GATE ENTMT CORP CL B NON VTG NON VOTING SHS CL B			95,166
	LIONS GATE ENTMT CORP VOTING SHARES CL A			104,318
	LOUISIANA-PACIFIC CORP COM			324,346

DONNELLEY FINANCIAL SOLUTIONS SAVINGS PLAN
SCHEDULE H – LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2016
(EMPLOYER IDENTIFICATION NUMBER 13-2618477, PLAN NUMBER 001)

(a)	(b) Identity of Issuer, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost**	(e) Current Value
	LPL FINL HLDGS INC COM			82,320
	LSI INDS INC OHIO COM			91,205
	LULULEMON ATHLETICA INC COM			223,566
	LYONDELLBASELL IND N V COM USD0.01 CL 'A'			390,728
	MARINEMAX INC COM			151,491
	MARTIN MARIETTA MATLS INC COM			195,389
	MASTEC INC COM			631,890
	MASTERCARD INC CL A			525,543
	MAXIM INTEGRATED PRODS INC COM			192,194
	MERCK & CO INC NEW COM			277,690
	MERITOR INC COM			175,942
	MICHAEL KORS HOLDINGS LTD COM NPV			267,937
	MICRON TECH INC COM			303,592
	MICROSOFT CORP COM			375,450
	MICROSOFT CORP COM			521,044
	MODINE MFG CO COM STK			98,802
	MONOLITHIC PWR SYS INC COM			397,033
	MOODYS CORP COM			240,860
	MRC GLOBAL INC COM			151,504
	MYRIAD GENETICS INC COM			134,060
	NABORS INDUSTRIES COM USD0.10			220,957
	NETFLIX INC COM STK			262,456
	NIKE INC CL B			660,028
	NORTHROP GRUMMAN CORP COM			303,284
	NRG ENERGY INC COM NEW			33,887
	NU SKIN ENTERPRISES INC CL A CL A			108,031
	NUANCE COMMUNICATIONS INC COM			189,170
	O REILLY AUTOMOTIVE INC NEW COM USD0.01			342,444
	OCEANEERING INTL INC COM			195,834
	ON SEMICONDUCTOR CORP COM			153,082
	OWENS CORNING NEW COM STK			372,573
	PACWEST BANCORP DEL COM			361,808
	PATTERSON-UTI ENERGY INC COM			263,224
	PAYPAL HLDGS INC COM			470,285
	PEPSICO INC COM			256,344
	PEPSICO INC COM			390,898
	PFIZER INC COM			872,218
	PHILIP MORRIS INTL COM STK NPV			388,558
	PIONEER NAT RES CO COM			267,404
	PLANET FITNESS INC CL A CL A			1,447
	POPULAR INC COM NEW COM USD6 (POST REV SPLIT)			289,256
	POPULAR INC COM NEW COM USD6 (POST REV SPLIT)			127,209
	PRIMORIS SVCS CORP COM			10,707
	PRUDENTIAL FINL INC COM			261,919
	PUB SERVICE ENTERPRISE GROUP INC COM			263,236
	QORVO INC COM			422,736
	QUANTA SVCS INC COM			369,096
	QUICKLOGIC CORP CDT-COM STK			59,436
	RAYMOND JAMES FNCL INC COM STK			225,682
	RAYTHEON CO USD0.01			460,790
	REGENERON PHARMACEUTICALS INC COM			339,558
	REINSURANCE GROUP AMER INC COM NEW STK			247,004
	RELIANCE STL & ALUM CO COM			100,459
	ROGERS CORP COM			315,382
	ROWAN COMPANIES PLC			301,541
	S.W. AIRL CO COM			284,088
	S.W. AIRL CO COM			136,811
	SALESFORCE COM INC COM STK			512,081
	SANDERSON FARMS INC COM			215,244
	SANGAMO THERAPEUTICS INC COM			15,945
	SEACHANGE INTL INC COM			23,561
	SEMTECH CORP COM			93,861

DONNELLEY FINANCIAL SOLUTIONS SAVINGS PLAN
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AS OF DECEMBER 31, 2016
(EMPLOYER IDENTIFICATION NUMBER 13-2618477, PLAN NUMBER 001)

(a)	(b) Identity of Issuer, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost**	(e) Current Value
	SERVICENOW INC COM USD0.001			298,847
	SHERWIN-WILLIAMS CO COM			287,552
	SIGNATURE BK NY N Y COM			150,200
	SILICON LABORATORIES INC COM			94,705
	SILVER SPRING NETWORKS INC COM			101,995
	SKYWORKS SOLUTIONS INC COM			360,458
	SOUTHWESTERN ENERGY CO COM			20,623
	SPIRIT AEROSYSTEMS HLDGS INC CL A			375,949
	SPIRIT AIRLS INC COM			82,508
	SPLUNK INC COMSTK COM USD0.001			285,929
	STARBUCKS CORP COM			593,509
	STEEL DYNAMICS INC COM			88,310
	STERIS PLC NEW STERIS LTD COMSTK			151,223
	SUN TR BANKS INC COM			481,199
	SYNAPTICS INC COM			80,906
	SYSCO CORP COM			322,530
	TAILORED BRANDS INC COM			124,147
	TAKE-TWO INTERACTIVE SOFTWARE INC CDT-COM CDT-COM			121,056
	TANDEM DIABETES CARE INC COM			23,566
	TENNECO INC			55,099
	THE PRICELINE GROUP INC			469,139
	THERMO FISHER CORP			294,194
	TIVO CORP COM NPV			754,448
	TRAVELERS COS INC COM STK			483,926
	TREX CO INC COM			181,994
	TRINSEO S A COMMON STOCK			140,422
	TRONOX LTD SHS CL A			163,785
	TUTOR PERINI CORP COM			278,096
	TYSON FOODS INC CL A COM (DELAWARE)			122,743
	UNION PAC CORP COM			311,040
	UNITED CONTL HLDGS INC COM STK			460,310
	UNITED CONTL HLDGS INC COM STK			1,342,887
	UNITED THERAPEUTICS CORP DEL COM STK			405,190
	UNITEDHEALTH GROUP INC COM			757,789
	UNUM GROUP			163,683
	UNVL DISPLAY CORP COM			239,725
	UNVL ELECTRS INC COM			117,223
	VALERO ENERGY CORP COM STK NEW			498,531
	VEECO INSTRS INC DEL COM			367,319
	VERIFONE SYSTEMS INC COM			40,105
	VERISIGN INC COM			349,161
	VERIZON COMMUNICATIONS COM			639,492
	VISA INC COM CL A STK			1,024,403
	VISTEON CORP COM NEW COM NEW			19,523
	VOYA FINL INC COM			408,006
	WABCO HLDGS INC COM STK			93,412
	WAL-MART STORES INC COM			412,439
	WATSCO INC COM			222,180
	WEB COM GROUP INC COM			65,417
	WEBSTER FNCL CORP WATERBURY CONN COM			158,552
	ZOETIS INC COM USD0.01 CL 'A'			571,968
	TOTAL COMMON STOCK			80,530,188
	COMMON/COLLECTIVE TRUSTS			
	ALLIANCE BERNSTEIN EMERGING MARKETS MULTI-ASSET COLLECTIVE TR			17,241,297
	ARROWSTREET INTERNATIONAL EQUITY EAF			23,570,801
*	COLTV SHORT TERM INVT FD			1,698,814
	LOOMIS SAYLES CORE PLUS FIXED INCOME TRUST FUND			27,966,193
*	NORTHERN TRUST COLLECTIVE EXTENDED EQTY MKT IDX FD			17,825,612
*	NORTHERN TRUST COLLECTIVE TIPS INDEX FD			6,375,940
*	NT COLLECTIVE AGGREGATE BOND INDEX FUND			21,347,394

DONNELLEY FINANCIAL SOLUTIONS SAVINGS PLAN
SCHEDULE H – LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2016
(EMPLOYER IDENTIFICATION NUMBER 13-2618477, PLAN NUMBER 001)

(a)	(b) Identity of Issuer, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost**	(e) Current Value
*	NT COLLECTIVE ALL CTY WLD EX-US INVSTBL MKT IDX FD			28,017,369
*	NT COLLECTIVE S&P500 INDEX FUND			63,852,304
	WELLS FARGO / BLACKROCK SHORT-TERM INVESTMENT FUND S			14,357,934
	WELLS FARGO FIXED INCOME A			35,985,290
	WELLS FARGO FIXED INCOME F			14,008,393
	WELLS FARGO FIXED INCOME L			3,823,250
	TOTAL COMMON/COLLECTIVE TRUSTS			276,070,590
	REGISTERED INVESTMENT COMPANIES			
	DEUTSCHE REAL ESTATE SEC-INSTL			3,534,380
	DFA U.S SMALL CAP VALUE PORTFOLIO			19,040,483
	PIMCO FDS PAC INVT MGMT SER ALL AST FD INSTL CL			13,116,406
	WELLS FARGO FUNDS TRUST TOTAL RETURNBD FD CL R6			11,541,072
	TOTAL REGISTERED INVESTMENT COMPANIES			47,232,342
	OTHER ASSETS			
	SELF-DIRECTED BROKERAGE ACCOUNTS			11,939,253
	AMERICAN HOMES 4 RENT			186,386
	AMERICAN TOWER CORP			261,030
	CBL & ASSOC PPTYS INCCOM			84,870
	COLONY NORTHSTAR			40,799
	DUPONT FABROS STOCK MERGER DIGITAL RLTY			189,734
	HOSPITALITY PPTYS TR			237,669
	HOST HOTELS & RESORTS INC REIT			146,406
	HUDSON PACIFIC PROPERTIES INC			103,714
	MACK CALI RLTY CORP REIT			103,195
	OMEGA HEALTHCARE INVS INC REIT			2,188
	PIEDMONT OFFICE REALTY TRU-A			105,554
	SENIOR HSG PPTYS TR			55,503
	TOTAL OTHER ASSETS			13,456,301
*	**PARTICIPANT LOANS**	Interest Rates 4.25%-8.5%		5,082,228
	TOTAL			422,804,239

* Party in interest
** Cost information omitted as all investments are fully participant directed.