

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 <div style="text-align: center; font-size: 1.2em; font-weight: bold;">2020</div> This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2020 or fiscal plan year beginning <u>01/01/2020</u> and ending <u>12/31/2020</u>	
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☒
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)

Part II	Basic Plan Information —enter all requested information				
1a Name of plan <u>CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>016</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>12/29/1943</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>016</u>	1c Effective date of plan <u>12/29/1943</u>	
1b Three-digit plan number (PN) ▶	<u>016</u>				
1c Effective date of plan <u>12/29/1943</u>					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>CLARIOS, LLC</u> <u>5757 N GREEN BAY AVE</u> <u>MILWAUKEE, WI 53209-4408</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>84-7051666</u></td> </tr> <tr> <td>2c Plan Sponsor's telephone number <u>414-524-1200</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>336300</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>84-7051666</u>	2c Plan Sponsor's telephone number <u>414-524-1200</u>	2d Business code (see instructions) <u>336300</u>	
2b Employer Identification Number (EIN) <u>84-7051666</u>					
2c Plan Sponsor's telephone number <u>414-524-1200</u>					
2d Business code (see instructions) <u>336300</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/14/2021	HILLARY FANGMANN
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2020)
v. 200204

3a Plan administrator's name and address ☐ Same as Plan Sponsor EMPLOYEE BENEFITS POLICY COMMITTEE JOHNSON CONTROLS, INC. 5757 N. GREEN BAY AVENUE MILWAUKEE, WI 53209-4408		3b Administrator's EIN 39-0380010
		3c Administrator's telephone number 414-524-1200
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name CLARIOS, LLC c Plan Name JOHNSON CTRLS PLAN BATTERY DIV. HRLY EMPLOYEES		4b EIN 39-1684871 4d PN 016
5 Total number of participants at the beginning of the plan year		5 3955
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).		
a(1) Total number of active participants at the beginning of the plan year.....		6a(1) 1702
a(2) Total number of active participants at the end of the plan year		6a(2) 1553
b Retired or separated participants receiving benefits.....		6b 1319
c Other retired or separated participants entitled to future benefits		6c 560
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d 3432
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e 374
f Total. Add lines 6d and 6e		6f 3806
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h 22
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 1A 3H		
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:		
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)		
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☒ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ <u>0</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2020 Form M-1 annual report. If the plan was not required to file the 2020 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

<div>SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Single-Employer Defined Benefit Plan Actuarial Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500 or 5500-SF.</div>	<div>OMB No. 1210-0110</div> <div>2020</div> <div>This Form is Open to Public Inspection</div>
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For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020	
▶ Round off amounts to nearest dollar.	
▶ Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.	
A Name of plan CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES	B Three-digit plan number (PN) ▶ 016
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF CLARIOS, LLC	D Employer Identification Number (EIN) 84-7051666
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500

Part I	Basic Information			
1	Enter the valuation date: Month 01 Day 01 Year 2020			
2	Assets:			
	a Market value	2a	369945573	
	b Actuarial value	2b	369945573	
3	Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
	a For retired participants and beneficiaries receiving payment.....	1701	127910979	127910979
	b For terminated vested participants.....	552	22621010	22621010
	c For active participants	1702	136322562	147538605
	d Total.....	3955	286854551	298070594
4	If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐			
	a Funding target disregarding prescribed at-risk assumptions	4a		
	b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor.....	4b		
5	Effective interest rate	5	5.77 %	
6	Target normal cost.....	6	10315479	

Statement by Enrolled Actuary	
To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.	
SIGN HERE	
Signature of actuary	09/30/2021
CHERYL L. DAVIS	Date
Type or print name of actuary	20-04707
AON CONSULTING, INC.	Most recent enrollment number
Firm name	847-295-5000
4 OVERLOOK POINT LINCOLNSHIRE, IL 60069	Telephone number (including area code)
Address of the firm	

Part II Beginning of Year Carryover and Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	87954197
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	17455798
9 Amount remaining (line 7 minus line 8)	0	70498399
10 Interest on line 9 using prior year's actual return of <u>16.85</u> %	0	11878980
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		0
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>5.77</u> %		0
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
c Total available at beginning of current plan year to add to prefunding balance		0
d Portion of (c) to be added to prefunding balance		0
12 Other reductions in balances due to elections or deemed elections	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	82377379

Part III Funding Percentages

14 Funding target attainment percentage	14	96.47 %
15 Adjusted funding target attainment percentage	15	124.11 %
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	85.88 %
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
Totals ▶			18(b)	0	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years.	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	0

20 Quarterly contributions and liquidity shortfalls:

- a** Did the plan have a "funding shortfall" for the prior year? ☒ Yes ☐ No
- b** If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☒ Yes ☐ No
- c** If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th
0	0	0	0

Part V Assumptions Used to Determine Funding Target and Target Normal Cost**21** Discount rate:**a** Segment rates:1st segment:
4.75 %2nd segment:
5.50 %3rd segment:
6.27 %☐ N/A, full yield curve used**b** Applicable month (enter code)**21b**

4

22 Weighted average retirement age**22**

62

23 Mortality table(s) (see instructions) ☐ Prescribed - combined☒ Prescribed - separate☐ Substitute**Part VI Miscellaneous Items****24** Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No**25** Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No**26** Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No**27** If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.**27****Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years****28** Unpaid minimum required contributions for all prior years **28** 0**29** Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a) **29** 0**30** Remaining amount of unpaid minimum required contributions (line 28 minus line 29) **30** 0**Part VIII Minimum Required Contribution For Current Year****31** Target normal cost and excess assets (see instructions):**a** Target normal cost (line 6) **31a** 10315479**b** Excess assets, if applicable, but not greater than line 31a **31b** 0**32** Amortization installments:**a** Net shortfall amortization installment Outstanding Balance 10502400 Installment 986035**b** Waiver amortization installment 0 0**33** If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount **33****34** Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33) **34** 11301514

	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	0	11301514	11301514

36 Additional cash requirement (line 34 minus line 35) **36** 0**37** Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c) **37** 0**38** Present value of excess contributions for current year (see instructions)**a** Total (excess, if any, of line 37 over line 36) **38a** 0**b** Portion included in line 38a attributable to use of prefunding and funding standard carryover balances **38b** 0**39** Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37) **39** 0**40** Unpaid minimum required contributions for all years **40** 0**Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)****41** If an election was made to use PRA 2010 funding relief for this plan:**a** Schedule elected ☐ 2 plus 7 years ☐ 15 years**b** Eligible plan year(s) for which the election in line 41a was made ☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2020
		This Form is Open to Public Inspection.

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020		
A Name of plan CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES	B Three-digit plan number (PN) ▶	016
C Plan sponsor's name as shown on line 2a of Form 5500 CLARIOS, LLC	D Employer Identification Number (EIN) 84-7051666	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. ☐ Yes ☒ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

AON CONSULTING

22-2232264

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11	NONE	672620	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

US BANK

1555 N. RIVERCENTER DR.
SUITE 300
MILWAUKEE, WI 53212

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21	NONE	287114	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ALIGHT SOLUTIONS LLC

82-1061233

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	NONE	61574	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FOLEY & LARDNER

39-0473800

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29	NONE	20260	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WIPFLI LLP

39-0758449

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10	NONE	11950	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

STATE STREET GLOBAL ADVISORS

CHANNEL CENTER 1 IRON STREET
BOSTON, MA 02210

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	NONE	8131	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2020 This Form is Open to Public Inspection.
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For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan <u>CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES</u>	B Three-digit plan number (PN) ►	<u>016</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>CLARIOS, LLC</u>	D Employer Identification Number (EIN) <u>84-7051666</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LARGE CAP EQUITY INDEX FUND</u>		
b Name of sponsor of entity listed in (a): <u>AON HEWITT INVESTMENT CONSULTING, INC.</u>		
c EIN-PN <u>27-2436452-006</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>0</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>U.S. INTERMEDIATE GOVT BOND INDEX</u>		
b Name of sponsor of entity listed in (a): <u>AON HEWITT INVESTMENT CONSULTING, INC.</u>		
c EIN-PN <u>27-2436452-014</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>0</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>U.S. LONG GOVT BOND INDEX</u>		
b Name of sponsor of entity listed in (a): <u>AON HEWITT INVESTMENT CONSULTING, INC.</u>		
c EIN-PN <u>27-2436452-015</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>0</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>SMALL CAP EQUITY INDEX FUND</u>		
b Name of sponsor of entity listed in (a): <u>AON HEWITT INVESTMENT CONSULTING, INC.</u>		
c EIN-PN <u>27-2436452-009</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>0</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>MULTI-ASSET CREDIT FUND</u>		
b Name of sponsor of entity listed in (a): <u>AON HEWITT INVESTMENT CONSULTING, INC.</u>		
c EIN-PN <u>27-2436452-004</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>0</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LONG CREDIT BOND FUND</u>		
b Name of sponsor of entity listed in (a): <u>AON HEWITT INVESTMENT CONSULTING, INC.</u>		
c EIN-PN <u>27-2436452-007</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>0</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>NON-U.S. EQUITY INDEX FUND</u>		
b Name of sponsor of entity listed in (a): <u>AON HEWITT INVESTMENT CONSULTING, INC.</u>		
c EIN-PN <u>27-2436452-010</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>0</u>

a Name of MTIA, CCT, PSA, or 103-12 IE: GLOBAL EQUITY FUND		
b Name of sponsor of entity listed in (a): AON HEWITT INVESTMENT CONSULTING, INC.		
c EIN-PN 27-2436452-011	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
a Name of MTIA, CCT, PSA, or 103-12 IE: AON GLOBAL EQUITY FUND		
b Name of sponsor of entity listed in (a): AON TRUST COMPANY LLC		
c EIN-PN 37-6543784-004	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 61619203
a Name of MTIA, CCT, PSA, or 103-12 IE: AON SMALL CAP EQUITY INDEX FUND		
b Name of sponsor of entity listed in (a): AON TRUST COMPANY LLC		
c EIN-PN 37-6543784-045	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 10496037
a Name of MTIA, CCT, PSA, or 103-12 IE: AON NON-US EQUITY INDEX FUND		
b Name of sponsor of entity listed in (a): AON TRUST COMPANY LLC		
c EIN-PN 37-6543784-044	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 47968581
a Name of MTIA, CCT, PSA, or 103-12 IE: AON LARGE CAP EQUITY INDEX FUND		
b Name of sponsor of entity listed in (a): AON TRUST COMPANY LLC		
c EIN-PN 37-6543784-046	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 56581002
a Name of MTIA, CCT, PSA, or 103-12 IE: AON HIGH YIELD PLUS FUND		
b Name of sponsor of entity listed in (a): AON TRUST COMPANY LLC		
c EIN-PN 37-6543784-007	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2437287
a Name of MTIA, CCT, PSA, or 103-12 IE: AON LONG GOVERNMENT BOND INDEX FUND		
b Name of sponsor of entity listed in (a): AON TRUST COMPANY LLC		
c EIN-PN 37-6543784-042	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 11451352
a Name of MTIA, CCT, PSA, or 103-12 IE: AON INTERMEDIATE GOVERNMENT BOND IN		
b Name of sponsor of entity listed in (a): AON TRUST COMPANY LLC		
c EIN-PN 37-6543784-043	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 14336037
a Name of MTIA, CCT, PSA, or 103-12 IE: AON LONG CREDIT BOND FUND		
b Name of sponsor of entity listed in (a): AON TRUST COMPANY LLC		
c EIN-PN 37-6543784-040	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 66737373
a Name of MTIA, CCT, PSA, or 103-12 IE: AON INTERMEDIATE CREDIT BOND FUND		
b Name of sponsor of entity listed in (a): AON TRUST COMPANY LLC		
c EIN-PN 37-6543784-038	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 6328071

a Name of MTIA, CCT, PSA, or 103-12 IE: AON CORE REAL ESTATE FUND

b Name of sponsor of entity listed in (a): AON TRUST COMPANY LLC

c EIN-PN 37-6543784-037	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 40406312
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a Name of MTIA, CCT, PSA, or 103-12 IE: AON MULTI-ASSET CREDIT FUND

b Name of sponsor of entity listed in (a): AON TRUST COMPANY LLC

c EIN-PN 37-6543784-041	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 20809950
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a Name of MTIA, CCT, PSA, or 103-12 IE: AON OPPORTUNISTIC CREDIT FUND

b Name of sponsor of entity listed in (a): AON TRUST COMPANY LLC

c EIN-PN 98-1554296-000	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 8190424
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2020 This Form is Open to Public Inspection
For calendar plan year 2020 or fiscal plan year beginning <u>01/01/2020</u> and ending <u>12/31/2020</u>		
A Name of plan <u>CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES</u>	B Three-digit plan number (PN) ►	<u>016</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>CLARIOS, LLC</u>	D Employer Identification Number (EIN) <u>84-7051666</u>	

Part I	Asset and Liability Statement
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.	
Assets	(a) Beginning of Year (b) End of Year
a Total noninterest-bearing cash.....	1a
b Receivables (less allowance for doubtful accounts):	
(1) Employer contributions	1b(1)
(2) Participant contributions.....	1b(2)
(3) Other	1b(3)
c General investments:	
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)
(2) U.S. Government securities	1c(2)
(3) Corporate debt instruments (other than employer securities):	
(A) Preferred	1c(3)(A)
(B) All other	1c(3)(B)
(4) Corporate stocks (other than employer securities):	
(A) Preferred	1c(4)(A)
(B) Common	1c(4)(B)
(5) Partnership/joint venture interests	1c(5)
(6) Real estate (other than employer real property)	1c(6)
(7) Loans (other than to participants)	1c(7)
(8) Participant loans	1c(8)
(9) Value of interest in common/collective trusts	1c(9)
(10) Value of interest in pooled separate accounts	1c(10)
(11) Value of interest in master trust investment accounts	1c(11)
(12) Value of interest in 103-12 investment entities	1c(12)
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)
(15) Other	1c(15)

		(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:		
(1)	Employer securities.....	1d(1)	
(2)	Employer real property.....	1d(2)	
e	Buildings and other property used in plan operation	1e	
f	Total assets (add all amounts in lines 1a through 1e)	1f	370346962 359614636
Liabilities			
g	Benefit claims payable	1g	
h	Operating payables	1h	401389 247366
i	Acquisition indebtedness.....	1i	
j	Other liabilities.....	1j	
k	Total liabilities (add all amounts in lines 1g through 1j)	1k	401389 247366
Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	369945573 359367270

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
Income			
a	Contributions:		
(1)	Received or receivable in cash from: (A) Employers	2a(1)(A)	
	(B) Participants	2a(1)(B)	
	(C) Others (including rollovers).....	2a(1)(C)	
(2)	Noncash contributions	2a(2)	
(3)	Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)	0
b	Earnings on investments:		
(1)	Interest:		
	(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	
	(B) U.S. Government securities	2b(1)(B)	
	(C) Corporate debt instruments	2b(1)(C)	
	(D) Loans (other than to participants)	2b(1)(D)	
	(E) Participant loans.....	2b(1)(E)	
	(F) Other	2b(1)(F)	
	(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)	0
(2)	Dividends: (A) Preferred stock.....	2b(2)(A)	
	(B) Common stock	2b(2)(B)	
	(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	
	(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)	0
(3)	Rents	2b(3)	
(4)	Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	
	(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	
	(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)	
(5)	Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	
	(B) Other	2b(5)(B)	
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)	

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		30581752
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		
c Other income	2c		
d Total income. Add all income amounts in column (b) and enter total.....	2d		30581752

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	39770139	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		39770139
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees	2i(1)	1061651	
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)		
(4) Other.....	2i(4)	328265	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		1389916
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		41160055

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		-10578303
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **WIPFLI LLP**

(2) EIN: **39-0758449**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		50000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)	X		
4j	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☒ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 4323070.

<div>SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Retirement Plan Information</div> <div>This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500.</div>	<div>OMB No. 1210-0110</div> <div>2020</div> <div>This Form is Open to Public Inspection.</div>
For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020		
A Name of plan CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES		B Three-digit plan number (PN) ▶ 016
C Plan sponsor's name as shown on line 2a of Form 5500 CLARIOS, LLC		D Employer Identification Number (EIN) 84-7051666
Part I	Distributions	
All references to distributions relate only to payments of benefits during the plan year.		
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....		1 0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 41-6271370		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year		3 84
Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)	
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ☐ Yes ☒ No ☐ N/A		
If the plan is a defined benefit plan, go to line 8.		
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____		
If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.		
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)		6a
b Enter the amount contributed by the employer to the plan for this plan year		6b
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....		6c
If you completed line 6c, skip lines 8 and 9.		
7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ☐ Yes ☐ No ☐ N/A		
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ☐ Yes ☐ No ☒ N/A		
Part III	Amendments	
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... ☐ Increase ☐ Decrease ☒ Both ☐ No		
Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.	
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ☐ Yes ☐ No		
11 a Does the ESOP hold any preferred stock? ☐ Yes ☐ No		
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ☐ Yes ☐ No		
12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ☐ Yes ☐ No		
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.		
Schedule R (Form 5500) 2020 v. 200204		

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14a	
14b	
14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

b The corresponding number for the second preceding plan year.....

15a	
15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year.....

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16a	
16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
 Stock: 51.5 % Investment-Grade Debt: 39.2 % High-Yield Debt: 7.9 % Real Estate: 0.0 % Other: 1.4 %

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☒ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☒ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:
☐ Yes.
☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.
☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.
☐ No. Other. Provide explanation _____

CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES

FINANCIAL STATEMENTS
Year ended December 31, 2020 and 2019

CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES

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Independent Auditor's Report

To the Participants and Plan Administrator
Clarios Pension Plan Battery Division Hourly Employees

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Clarios Pension Plan Battery Division Hourly Employees (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2020 and 2019, the related statements of changes in net assets available for benefits for the years then ended, the statement of accumulated plan benefits as of January 1, 2020 and the related statement of changes in accumulated plan benefits for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 8, which was certified by U.S. Bank National Association, the trustee of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that the trustee holds the Plans' investment assets and executes investment transactions. The plan administrator has obtained a certification from the trustee as of December 31, 2020 and 2019 and for the years then ended, that the information provided to the plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedules of Schedule H, Line 4i – Schedule of Assets (Held at End of Year) as of December 31, 2020 and Schedule H, Line 4j – Schedule of Reportable Transactions for the year ended December 31, 2020 are required by the DOL's Rules and Regulations for Reporting and Disclosure under ERISA and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules referred to above.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

A handwritten signature in black ink that reads "Wipfli LLP". The signature is written in a cursive, flowing style.

Wipfli LLP
Milwaukee, WI
September 30, 2021

**CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS**

	December 31, 2020	December 31, 2019
Assets		
Cash & cash equivalents	\$ 7,270,722	\$ 5,416,992
Investments, at fair value	352,343,914	364,929,970
Total investments at fair value	359,614,636	370,346,962
 Total assets	 359,614,636	 370,346,962
 Liabilities		
Accrued administrative expenses	247,366	401,389
Net assets available for benefits	\$ 359,367,270	\$ 369,945,573

See the notes to the financial statements

**CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES
STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS**

	Year Ended December 31, 2020	Year Ended December 31, 2019
Additions		
Additions to plan assets attributed to:		
Investment income:		
Net appreciation in fair value of investments	\$ 30,571,361	\$ 38,359,970
Interest and dividends	10,391	844,445
Plan interest in Johnson Controls, Inc.		
Master Pension Trust	—	14,858,930
Total investment income	<u>30,581,752</u>	<u>54,063,345</u>
Total additions	<u>30,581,752</u>	<u>54,063,345</u>
Deductions		
Deductions from plan assets attributed to:		
Benefits paid to participants	39,770,139	30,826,934
Administrative expenses	<u>1,389,916</u>	<u>1,383,167</u>
Total deductions	<u>41,160,055</u>	<u>32,210,101</u>
Net increase (decrease) in plan assets available for benefits	(10,578,303)	21,853,244
Net assets available for benefits, beginning of year	<u>369,945,573</u>	<u>348,092,329</u>
Net assets available for benefits, end of year	<u><u>\$ 359,367,270</u></u>	<u><u>\$ 369,945,573</u></u>

See the notes to the financial statements

**CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES
STATEMENT OF ACCUMULATED PLAN BENEFITS**

	<u>January 1, 2020</u>
Actuarial present value of accumulated plan benefits:	
Vested benefits	
Participants currently receiving payments	\$ 109,608,489
Other participants	<u>169,514,024</u>
	279,122,513
Nonvested benefits	<u>8,653,475</u>
Total actuarial present value of accumulated plan benefits	<u><u>\$ 287,775,988</u></u>

See the notes to the financial statements

**CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES
STATEMENT OF CHANGES IN ACCUMULATED PLAN BENEFITS**

	Year Ended January 1, 2020
Actuarial present value of accumulated plan benefits at January 1, 2019	\$ 270,959,839
Increase (decrease) attributed to:	
Interest accumulation	18,162,557
Benefits paid	(30,826,934)
Assumption changes	12,422,162
Other*	17,058,364
Net increase	<u>16,816,149</u>
Actuarial present value of accumulated plan benefits at January 1, 2020	<u>\$ 287,775,988</u>

*The "Other" component represents the normal operation of the pension plan. It consists primarily of the increase due to ongoing benefits accruals (if any) and those items of plan experience that are not associated with plan asset performance.

See the notes to the financial statements

CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES

NOTES TO THE FINANCIAL STATEMENTS

NOTE 1 - DESCRIPTION OF THE PLAN

Effective as of April 1, 2020, the name of the Battery Division Hourly Employee plan changed from the Johnson Controls Pension Plan Battery Division Hourly Employees to the Clarios Pension Plan Battery Division Hourly Employees (the "Plan"). The following description of the Clarios Pension Plan Battery Division Hourly Employees provides only general information. Participants should refer to the Summary Plan Description for a more complete description of the Plan's provisions.

GENERAL

On April 30, 2019, Clarios Global LP (the "Company") acquired the Power Solutions business ("Power Solutions") from Johnson Controls International plc ("JCI"). In conjunction with this transaction (the "Acquisition"), the Plan's units of participation in the Johnson Controls, Inc. Master Pension Trust ("Master Trust") were sold on April 26, 2019.

The Plan is a defined benefit pension plan covering the eligible employees of the Company and prior to May 1, 2019, eligible JCI employees. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended ("ERISA").

Eligible employees consist of union hourly employees, whose labor agreements call for their participation in the Plan (excludes former union hourly employees who were covered by the Johnson Controls Pension Plan Battery Division/Owosso UAW).

ADMINISTRATION

The Plan is administered by the Employee Benefits Policy Committee appointed by the Company. The Plan has appointed U.S. Bank, N.A (the "Trustee") as trustee of the Plan, and has contracted with Aon for Plan administration subsequent to May 1, 2020 and Alight for Plan administration prior to May 1, 2020.

VESTING

In general, employees receive a year of vesting service for each 12 months during the period beginning on the first day of the month in which they are hired. Employees become fully vested after five years of vesting service. See Plan document for specific Plan provisions.

PENSION BENEFITS

Employees with five or more years of vesting service are entitled to annual pension benefits beginning at normal retirement age, with benefits determined based on years of credited service. See Plan document for Plan calculations and payment options based on early, late, disability and normal retirement.

FUNDING POLICY

The Company's funding policy is to make contributions to the Plan in amounts that meet or exceed the minimum amounts required by ERISA. No contributions were required for 2020 and 2019 to meet required minimum ERISA requirements.

Although it has not expressed any intention to do so, the Company has the right under the Plan to terminate the Plan subject to the provisions set forth in ERISA.

ADMINISTRATIVE EXPENSES

Administrative expenses are paid by the Plan, as allowed by Plan provisions, with all remaining expenses paid by the Company.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

BASIS OF PRESENTATION

The financial statements of the Plan are prepared on the accrual basis of accounting.

CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES

NOTES TO THE FINANCIAL STATEMENTS

USE OF ESTIMATES

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America ("U.S. GAAP") requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein, disclosure of contingent assets and liabilities, and the actuarial present value of accumulated plan benefits at the date of the financial statements. Actual results could differ from those estimates.

ACTUARIAL VALUATION METHOD AND ASSUMPTIONS

Accumulated plan benefits are those future periodic payments that are attributable under the Plan's benefit provisions based on employees' years of service. Benefits to be provided via annuity contracts excluded from plan assets are excluded from accumulated plan benefits. The actuarial present value of accumulated plan benefits is determined by an actuary and is the amount that results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money and the probability of payment between the valuation date and the expected date of payment. The current actuarial assumptions are based on the presumption that the Plan will continue. If the Plan were to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

The actuarial present value of accumulated plan benefits is determined by Aon.

The significant actuarial assumptions used in the valuation at January 1, 2020 are as follows:

<u>Description</u>	<u>Assumption</u>
Retirement age	Varies based on the probability of retirement social security retirement age of 55 to 69, with 100% at age 70.
Mortality rates	107% of the amounts-weighted aggregate rates from the Pri-2012 mortality study with blue collar adjustment projected generationally from 2012 using Scale MP-2019.
Withdrawal rates	A graduated scale from higher to lower as years of service increase.
Disability rates	A graduated scale from lower to higher as age advances.
Interest rate	7.0%

Interest rate and mortality actuarial assumptions discussed above have changed since the January 1, 2019 valuation. The effect of these changes is included in the statement of changes in accumulated plan benefits under the caption assumption changes.

INVESTMENT VALUATION AND INCOME RECOGNITION

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Plan's Investment Committee determines the Plan's valuation policies utilizing information provided by its investment advisers, custodians, and insurance company. See Note 3, "Fair Value Measurements," of the notes to the financial statements for further information. Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year and Plan interest in the Master Trust.

PAYMENT OF BENEFITS

Benefit payments to participants are recorded upon distribution.

ADMINISTRATIVE EXPENSES

The Plan's expenses are paid either by the Plan or the Company, as provided by the Plan document. Expenses that are paid directly by the Company are excluded from these financial statements. Certain expenses incurred in connection with the general administration of the Plan that are paid by the Plan are recorded as deductions in the accompanying statements of changes in net assets available for benefits. In addition, certain investment related expenses are included in net appreciation of fair value of investments and the Plan's interest in the Master Trust presented in the accompanying statements of changes in net assets available for benefits.

CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES

NOTES TO THE FINANCIAL STATEMENTS

NEW ACCOUNTING PRONOUNCEMENTS

Recently issued accounting pronouncements are not expected to have a material impact on the Plan's financial statements.

SUBSEQUENT EVENTS

The Plan has evaluated events and transactions for potential recognition or disclosure in the financial statements through September 30, 2021, the date on which the financial statements were available to be issued.

NOTE 3 - FAIR VALUE MEASUREMENTS

Accounting Standard Codification ("ASC") 820, "Fair Value Measurement," defines fair value as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. ASC 820 also establishes a three-level fair value hierarchy that prioritizes information used in developing assumptions when pricing an asset or liability as follows:

- Level 1:* Observable inputs such as quoted prices in active markets;
- Level 2:* Inputs, other than quoted prices in active markets, that are observable either directly or indirectly; and
- Level 3:* Unobservable inputs where there is little or no market data, which requires the reporting entity to develop its own assumptions.

ASC 820 requires the use of observable market data, when available, in making fair value measurements. When inputs used to measure fair value fall within different levels of the hierarchy, the level within which the fair value measurement is categorized is based on the lowest level input that is significant to the fair value measurement.

The following table sets forth by level, within the fair value hierarchy, Plan assets at fair value as of December 31, 2020 and 2019.

Investments at Fair Value as of				
December 31, 2020				
	Level 1	Level 2	Level 3	Total
Total assets in the fair value hierarchy	\$ —	\$ —	\$ —	—
Investments measured at net asset value, as practical expedient *				
Common collective trusts				352,343,914
Total investments at fair value				\$ 352,343,914

CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES

NOTES TO THE FINANCIAL STATEMENTS

Investments at Fair Value as of December 31, 2019				
	Level 1	Level 2	Level 3	Total
Total assets in the fair value hierarchy	\$ —	\$ —	\$ —	—
Investments measured at net asset value, as practical expedient *				
Common collective trusts				364,929,970
Total investments at fair value				\$ 364,929,970

* The fair value of certain investments do not have a readily determinable fair value and requires the fund managers to independently arrive at fair value by calculating net asset value ("NAV") per share. Due to the fact that the fund managers calculate NAV per share, the Company utilizes a practical expedient for measuring the fair value of certain investments, as provided for under ASC 820, "Fair Value Measurement." In applying the practical expedient, the Company is not required to further adjust the NAV provided by the fund manager in order to determine the fair value of its investment as the NAV per share is calculated in a manner consistent with the measurement principles of ASC 946, "Financial Services - Investment Companies," and as of the Company's measurement date. The Company believes this is an appropriate methodology to obtain the fair value of these assets. In accordance with ASU No. 2015-07, "Disclosures for Investments in Certain Entities That Calculate Net Asset Value per Share (or Its Equivalent)," investments for which fair value is measured using the net asset value per share practical expedient should be disclosed separate from the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of total investments to the amounts presented in the statements of net assets available for benefits and the notes to financial statements. For real estate, in order to calculate NAV per share, the fund managers value the real estate investments using any one, or a combination of, the following methods: independent third party appraisals, discounted cash flow analysis of net cash flows projected to be generated by the investment and recent sales of comparable investments. Assumptions used to revalue the properties are updated every quarter. For the component of the real estate portfolio under development, the investments are carried at cost until they are completed and valued by a third party appraiser.

The following is a description of the valuation methodologies used for assets measured at fair value. Certain assets are held within commingled funds which are valued at the unitized NAV or percentage of the net asset value as determined by the manager of the fund. These values are based on the fair value of the underlying net assets owned by the fund.

Common collective trusts: Fair value represents the net asset value of the fund shares. The NAV is based on the value of the underlying assets owned by the funds. The common collective trusts can be purchased or sold continuously. There is no notice redemption period and the Plan has no obligation to buy additional units.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Company believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date. The fair values of other assets and liabilities approximate their carrying values.

NOTE 4 - JOHNSON CONTROLS MASTER PENSION TRUST

Prior to the Acquisition the Company was entered into a master trust agreement with the Trustee through April 26, 2019. Under the agreement, the Plan participated under a master trust arrangement whereby all the assets of the Plan were pooled and invested in one or more investment accounts.

The Master Trust required collateral at a minimum of 102% of the value of any securities lending transactions.

The Plan's interest in the investments of the Master Trust was evidenced by units of participation, as no plan had a right, title or ownership of any of the specific assets in the Master Trust. In accordance with the Master Trust agreement, net investment income or loss of the Master Trust was prorated to each participating plan in proportion to the number of units held by each plan. Plan participation in the Master Trust was adjusted as required to reflect benefit payments to the Plan's participants and for Company contributions.

CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES NOTES TO THE FINANCIAL STATEMENTS

The Plan's units of participation in the Master Trust were sold on April 26, 2019 and therefore held no interest in the Master Trust as of December 31, 2020 or 2019.

The following is net appreciation in the fair value of investments and investment income for the Master Trust for the year to date period ended April 26, 2019:

	Year to Date Period Ended April 26, 2019
Net appreciation in fair value of investments	\$ 235,861,562
Investment income	37,942,764
Total	\$ 273,804,326

NOTE 5 - TAX STATUS

The Company has received a favorable determination letter dated October 12, 2017 from the Internal Revenue Service ("IRS") as to the qualified status of the Plan under the Internal Revenue Code ("IRC") and the plan is, therefore, exempt from federal income taxes. The Plan has been amended since receiving the determination letter, however the Plan administrator believes that the Plan is currently designed and being operated in compliance with applicable requirements of the IRC.

U.S. GAAP requires the Plan's management to evaluate tax positions taken by the Plan and recognize a tax liability if the Company has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS or Department of Labor. The Plan administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2020 and 2019, there are no uncertain tax positions taken or expected to be taken that would require recognition of a liability or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions, however there are currently no audits for any tax periods in progress.

NOTE 6 - PLAN TERMINATION

The Company anticipates and believes the Plan will continue without interruption but reserves the right to discontinue the plan at any time in accordance with Plan provisions and provisions set forth in ERISA. In the event of a plan termination or permanent discontinuance of contributions to the plan, the assets of the plan will be distributed in accordance with the requirements of 29 CFR 4044 of ERISA.

Certain benefits under the Plan are insured by the Pension Benefit Guaranty Corporation ("PBGC"), a U.S. government agency, in the event of Plan termination. The net assets of the Plan will be allocated as prescribed by ERISA and its related regulations, generally to provide the following benefits in the order indicated:

1. Benefits attributable to employee contributions, considering those paid out before termination.
2. Annuity benefits that former employees or their beneficiaries have been receiving for at least three years, or that employees eligible to retire for that three-year period would have been receiving if they had retired with benefits in the normal form of annuity under the Plan. The priority amount is limited to the lowest benefit that was payable (or would have been payable) during those three years. The amount is further limited to the lowest benefit that would be payable under Plan provisions in effect at any time during the five years preceding Plan termination.
3. Other vested benefits insured by the PBGC up to the applicable limitations.
4. All other vested benefits (not insured by the PBGC).
5. All non-vested benefits.

Certain benefits under the Plan are insured by the PBGC if the Plans terminate. Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits, and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination. However, there is a

CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES NOTES TO THE FINANCIAL STATEMENTS

statutory ceiling, which is adjusted periodically, on the amount of an individual's monthly benefit that the PBGC guarantees. For plan terminations occurring during 2021, that ceiling is \$6,034 per month. That ceiling applies to those pensioners who elect to receive their benefits in the form of a single-life annuity and are at least 65 years old at the time of retirement or plan termination (whichever comes later). For younger annuitants, or for those who elect to receive their benefits in some form more valuable than a single-life annuity, the corresponding ceilings are actuarially adjusted downward.

Whether all participants receive their benefits should a plan terminate at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide for accumulated benefit obligations and may also depend on the financial condition of the Plan's sponsor and the level of benefits guaranteed by the PBGC.

NOTE 7 - PARTY-IN-INTEREST TRANSACTIONS

Transactions involving the account administered by the Trustee and the funds of the investment managers for the Plan are considered party-in-interest transactions. These transactions are not considered prohibited transactions under 29 CFR 408(b) of ERISA regulations.

Certain employees and officers of the Company perform administrative services to the Plan at no cost to the Plan.

NOTE 8 - INFORMATION CERTIFIED BY TRUSTEE

Assets of the Plan as shown in the statements of net assets available for benefits, investment income as shown in the statements of changes in net assets available for benefits, and the Master Trust financial information appearing in Note 4, "Johnson Controls Master Pension Trust," of the notes to the financial statements, have been derived from information prepared and certified as complete and accurate by the Trustee, in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Certain information disclosed in the accompanying financial statements and supplemental schedule, including \$4,086,654 of investments held at December 31, 2020 and \$4,086,654 of investment income for the year ended December 31, 2020, was obtained or derived from information supplied to the plan administrator, but was not certified as complete and accurate by U.S. Bank, N.A.

NOTE 9 - RISKS AND UNCERTAINTIES

The Plan's investments are exposed to various risks, such as interest rate, market and credit risks. Due to the level of risk associated with certain investments and the level of uncertainty related to changes in the values of investments, it is at least reasonably possible that changes in risks in the near term would materially affect the amounts reported in the statements of net assets available for benefits and the statements of changes in net assets available for benefits.

The actuarial present value of accumulated plan benefits is reported based on certain assumptions pertaining to interest rates, inflation rates and employee compensation and demographics. Due to the changing nature of these assumptions, it is at least reasonably possible that changes in these assumptions will occur in the near term and due to the uncertainties inherent in setting assumptions, that the effect of such changes could be material to the value of accumulated plan benefits.

CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
PLAN #016, EIN: 39-1684871
DECEMBER 31, 2020

(a)	(b)	(c)	(d)	(e)
	Identity of Issue, Borrower, Lessor or Similar Party	Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost	Current Value
*	Aon	Cash Fund - Money Market	\$ 7,270,722	\$ 7,270,722
*	Aon	Aon Global Equity Fund CI I	48,296,972	61,619,203
*	Aon	Aon Small Cap Equity Index Fund	7,897,406	10,496,037
*	Aon	Aon Non Equity Index Fund	38,216,310	47,968,581
*	Aon	Aon Large Cap Equity Index Fund	40,963,449	56,581,002
*	Aon	Aon High Yield Plus Fund CI I	2,300,000	2,437,287
*	Aon	Aon US Long Govt Bd Index Fund	11,458,981	11,451,352
*	Aon	Aon US Intermediate Govt Bd Index Fund	14,301,713	14,336,037
*	Aon	Aon Long Credit Fund	55,833,084	66,737,373
*	Aon	Aon Intermediate Credit Fund	6,152,742	6,328,071
*	Aon	Aon Core Real Estate	36,760,545	40,406,312
*	Aon	Aon Multi Asset Credit CI I	19,516,125	20,809,950
*	Aon	Aon Opport. Crdt Portfolio Sp	7,595,693	8,190,424
	Townsend RI	Townsend Real Estate Investment	4,776,880	4,982,285
	Total investments		<u>\$ 301,340,622</u>	<u>\$ 359,614,636</u>

* Represents a party-in-interest

This schedule has been derived from information certified as complete and accurate by U.S. Bank, N.A.

See Independent Auditor's Report

CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES
SCHEDULE H, LINE 4j - SCHEDULE OF REPORTABLE TRANSACTIONS
PLAN #016, EIN: 39-1684871
YEAR ENDED DECEMBER 31, 2020

(a)	(b)	(c)	(d)	(g)	(h)	(i)
Identity of Party Involved	Description of Asset	Purchase Price	Selling Price	Cost of Asset	Current Value of Asset on Transaction Date	Net Gain (Loss)

See Attachment A

This schedule and related Attachment A have been derived from information certified as complete and accurate by U.S. Bank, N.A.

See Independent Auditor's Report

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
BEGINNING MARKET VALUE					370,346,962.06		
COMPARATIVE VALUE (5%)					18,517,348.10		
CATEGORY 1 - SINGLE TRANSACTION EXCEEDS 5% OF VALUE							
Issue: 00187K530 - Ahcit Long Credit Fund							
09/16/2020	S	- 1,938,369.781	10.0600		19,500,000 *	16,014,169	3,485,831
Issue: 00187K548 - Ahcit Intermediate Credit Fund							
09/16/2020	B	1,942,231.076	10.0400		- 19,500,000 *	19,500,000	
Issue: 8AMMF09L7 - US Bank Money Market It&c 2							
09/17/2020	B	19,500,000.000	1.0000		- 19,500,000 *	19,500,000	
09/17/2020	S	- 19,500,000.000	1.0000		19,500,000 *	19,500,000	
Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index							
09/17/2020	B	2,355,016.728	11.2526		- 26,500,000 *	26,500,000	
Issue: 9SPMTJK50 - Ahgt Fd US Long Govt Bond Index							
01/16/2020	S	- 1,949,221.095	11.7996		23,000,000 *	21,631,140	1,368,860
09/17/2020	S	- 1,896,638.634	13.9721		26,500,000 *	21,047,615	5,452,385
Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond							
11/30/2020	S	- 1,210,554.150	16.8964		20,453,952 *	19,342,205	1,111,746
Issue: 9SPMTJK84 - Ahgt Fd US Long Fix Inc Act Cr							
01/16/2020	S	- 851,761.263	22.3067		19,000,000 *	16,935,461	2,064,539
Issue: 96MSCCJH7 - Ssga Reit Indx Sf							
01/16/2020	B	760,127.160	63.5420		- 48,300,000 *	48,300,000	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
Issue: 96MSCHYM8 - Aon Multi Asset Credit Cl I							
11/01/2020	B	2,045,395.155	10.0000		- 20,453,952 *	20,453,952	
GRAND TOTAL				0	262,207,904	248,724,542	13,483,361

CATEGORY 2 - SERIES OF TRANSACTIONS WITH SAME BROKER EXCEEDS 5% OF VALUE

Broker: Direct From Issuer

Issue: 9SPMTJK50 - Ahgt Fd US Long Govt Bond Index							
01/14/2020	S	- 256,396.867	11.7006		3,000,000	2,845,319	154,681
Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index							
01/14/2020	S	- 187,999.874	10.6383		2,000,000	1,964,082	35,918
Issue: 9SPMTJK50 - Ahgt Fd US Long Govt Bond Index							
01/16/2020	S	- 1,949,221.095	11.7996		23,000,000 *	21,631,140	1,368,860
Issue: 9SPMTJK68 - Ahgt Fd US Small Cap Pass Equity							
01/16/2020	S	- 75,157.157	29.2720		2,200,000	1,880,094	319,906
Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond							
01/16/2020	S	- 657,282.897	17.0398		11,200,000	10,502,050	697,950
Issue: 9SPMTJK84 - Ahgt Fd US Long Fix Inc Act Cr							
01/16/2020	S	- 851,761.263	22.3067		19,000,000 *	16,935,461	2,064,539
Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi							
01/16/2020	S	- 683,301.722	14.4153		9,850,000	8,631,785	1,218,215
Issue: 9SPMTJKA9 - Ahgt Fund US Passive Equity							
01/16/2020	B	142,313.817	36.5390		- 5,200,000	5,200,000	
Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index							
01/16/2020	B	760,505.896	10.6508		- 8,100,000	8,100,000	
Issue: 96MSCCJH7 - Ssga Reit Indx Sf							
01/16/2020	B	760,127.160	63.5420		- 48,300,000 *	48,300,000	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/16/2020	Issue: 9SPMTJLB6 - Aon Global Equity S	- 178,056.667	21.0607		3,750,000	3,292,389	457,611
01/16/2020	Issue: 9SPMTJR95 - Agt US Int Active Credit B	504,495.085	14.6681		- 7,400,000	7,400,000	
02/27/2020	Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index S	- 322,331.069	10.8584		3,500,000	3,405,439	94,561
02/27/2020	Issue: 9SPMTJR95 - Agt US Int Active Credit S	- 201,242.066	14.9074		3,000,000	2,951,845	48,155
03/16/2020	Issue: 9SPMTJK84 - Ahgt Fd US Long Fix Inc Act Cr S	- 256,892.712	21.4097		5,500,000	5,107,765	392,235
03/16/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi B	37,875.033	10.5610		- 400,000	400,000	
03/16/2020	Issue: 9SPMTJLB6 - Aon Global Equity B	336,760.032	15.1443		- 5,100,000	5,100,000	
04/14/2020	Issue: 9SPMTJKA9 - Ahgt Fund US Passive Equity S	- 63,474.778	31.5086		2,000,000	1,933,632	66,368
04/14/2020	Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index S	- 769,722.621	11.1729		8,600,000	8,132,145	467,855
04/15/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf B	60,950.125	57.4240		- 3,500,000	3,500,000	
04/30/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf S	- 121,068.429	39.6470		4,800,000	7,637,947	- 2,837,947
04/30/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf S	- 60,950.125	57.4240		3,500,000	3,840,425	- 340,425
04/30/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf B	71,032.817	49.2730		- 3,500,000	3,500,000	
06/09/2020	Issue: 96MSCF114 - Agt Global Real Estate B	135,712.356	20.2634		- 2,750,000	2,750,000	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
06/09/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi S	- 251,144.133	13.1399		3,300,000	3,167,386	132,614
06/09/2020	Issue: 9SPMTJKA9 - Ahgt Fund US Passive Equity S	- 86,384.419	35.8861		3,100,000	2,631,528	468,472
07/31/2020	Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond S	- 59,466.127	16.8163		1,000,000	950,148	49,852
07/31/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi S	- 39,467.240	13.4289		530,000	497,754	32,246
09/03/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi S	- 71,532.012	13.9798		1,000,000	902,149	97,851
09/03/2020	Issue: 9SPMTJKA9 - Ahgt Fund US Passive Equity S	- 62,622.645	39.9217		2,500,000	1,907,673	592,327
09/17/2020	Issue: 9SPMTJK50 - Ahgt Fd US Long Govt Bond Index S	- 1,896,638.634	13.9721		26,500,000 *	21,047,615	5,452,385
09/17/2020	Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index B	2,355,016.728	11.2526		- 26,500,000 *	26,500,000	
09/28/2020	Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond S	- 136,689.151	16.8265		2,300,000	2,184,016	115,984
11/01/2020	Issue: 96MSCHYM8 - Aon Multi Asset Credit Cl I B	2,045,395.155	10.0000		- 20,453,952 *	20,453,952	
11/12/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	5,515,281.000	1.0000		- 5,515,281	5,515,281	
11/13/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	45,091.000	1.0000		- 45,091	45,091	
11/30/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	1,284,757.000	1.0000		- 1,284,757	1,284,757	
11/30/2020	Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond S	- 1,210,554.150	16.8964		20,453,952 *	19,342,205	1,111,746

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
12/28/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	596,819.000	1.0000		- 596,819	596,819	
12/30/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	153,745.000	1.0000		- 153,745	153,745	
Total For Direct From Issuer				0	304,383,597	292,121,637	12,261,959
GRAND TOTAL				0	304,383,597	292,121,637	12,261,959

CATEGORY 3 - SERIES OF TRANSACTIONS IN SAME SECURITY EXCEEDS 5% OF VALUE

Issue: 00187K456 - Aon US Long Govt Bd Index Fund							
10/26/2020	B	982,599.795	9.7700		- 9,600,000	9,600,000	
11/12/2020	B	1,238,390.093	9.6900		- 12,000,000	12,000,000	
12/11/2020	B	256,937.307	9.7300		- 2,500,000	2,500,000	
Total For Buys				0	24,100,000	24,100,000	0
11/20/2020	S	- 1,314,459.050	9.8900		13,000,000	12,766,945	233,055
Total For Sells				0	13,000,000	12,766,945	233,055
Total Aon US Long Govt Bd Index Fund				0	37,100,000	36,866,945	233,055

Issue: 00187K464 - Aon US Intermediate Govt Bd Index Fu							
11/20/2020	B	802,407.222	9.9700		- 8,000,000	8,000,000	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
Total For Buys				0	8,000,000	8,000,000	0
10/26/2020	S	- 962,888.666	9.9700		9,600,000	9,572,001	27,999
11/12/2020	S	- 1,204,819.277	9.9600		12,000,000	11,977,014	22,986
12/11/2020	S	- 100,200.401	9.9800		1,000,000	997,606	2,394
Total For Sells				0	22,600,000	22,546,621	53,379
Total Aon US Intermediate Govt Bd Index Fu				0	30,600,000	30,546,621	53,379
Issue: 00187K530 - Ahcit Long Credit Fund							
10/19/2020	B	299,700.300	10.0100		- 3,000,000	3,000,000	
10/26/2020	B	1,002,004.008	9.9800		- 10,000,000	10,000,000	
11/12/2020	B	493,096.647	10.1400		- 5,000,000	5,000,000	
Total For Buys				0	18,000,000	18,000,000	0
09/16/2020	S	- 1,938,369.781	10.0600		19,500,000 *	16,014,169	3,485,831
Total For Sells				0	19,500,000	16,014,169	3,485,831
Total Ahcit Long Credit Fund				0	37,500,000	34,014,169	3,485,831
Issue: 00187K548 - Ahcit Intermediate Credit Fund							
09/16/2020	B	1,942,231.076	10.0400		- 19,500,000 *	19,500,000	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
Total For Buys				0	19,500,000	19,500,000	0
10/19/2020	S	- 298,804.781	10.0400		3,000,000	2,969,175	30,825
10/26/2020	S	- 996,015.936	10.0400		10,000,000	9,897,250	102,750
11/12/2020	S	- 496,031.746	10.0800		5,000,000	4,928,988	71,012
Total For Sells				0	18,000,000	17,795,413	204,587
Total AHCIT Intermediate Credit Fund				0	37,500,000	37,295,413	204,587
Issue: 8AMMF09L7 - US Bank Money Market It&c 2							
01/03/2020	B	5,938.440	1.0000		- 5,938	5,938	
01/10/2020	B	2,244.470	1.0000		- 2,244	2,244	
01/14/2020	B	5,000,000.000	1.0000		- 5,000,000	5,000,000	
01/15/2020	B	269.440	1.0000		- 269	269	
01/17/2020	B	6,267,392.640	1.0000		- 6,267,393	6,267,393	
01/29/2020	B	57.530	1.0000		- 58	58	
01/31/2020	B	462.200	1.0000		- 462	462	
02/04/2020	B	3,023.700	1.0000		- 3,024	3,024	
02/05/2020	B	159.880	1.0000		- 160	160	
02/07/2020	B	94.710	1.0000		- 95	95	
02/10/2020	B	272.440	1.0000		- 272	272	
02/12/2020	B	684.940	1.0000		- 685	685	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/13/2020	B	633.350	1.0000		- 633	633	
02/18/2020	B	785.040	1.0000		- 785	785	
02/20/2020	B	1,113.070	1.0000		- 1,113	1,113	
02/27/2020	B	6,500,000.000	1.0000		- 6,500,000	6,500,000	
03/03/2020	B	504.610	1.0000		- 505	505	
03/03/2020	B	191.930	1.0000		- 192	192	
03/19/2020	B	4,800,000.000	1.0000		- 4,800,000	4,800,000	
03/30/2020	B	783.520	1.0000		- 784	784	
04/02/2020	B	1,931.860	1.0000		- 1,932	1,932	
04/07/2020	B	3,689.280	1.0000		- 3,689	3,689	
04/10/2020	B	2,818.140	1.0000		- 2,818	2,818	
04/13/2020	B	990.120	1.0000		- 990	990	
04/13/2020	B	154.950	1.0000		- 155	155	
04/14/2020	B	288.840	1.0000		- 289	289	
04/15/2020	B	7,100,000.000	1.0000		- 7,100,000	7,100,000	
04/20/2020	B	1,314.560	1.0000		- 1,315	1,315	
04/22/2020	B	3,326.500	1.0000		- 3,327	3,327	
04/28/2020	B	107.220	1.0000		- 107	107	
05/04/2020	B	93.380	1.0000		- 93	93	
05/12/2020	B	14,052.240	1.0000		- 14,052	14,052	
05/13/2020	B	751.010	1.0000		- 751	751	
05/14/2020	B	6,109.830	1.0000		- 6,110	6,110	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/18/2020	B	85.520	1.0000		- 86	86	
05/22/2020	B	20.000	1.0000		- 20	20	
05/29/2020	B	1,346.700	1.0000		- 1,347	1,347	
06/02/2020	B	4,940.700	1.0000		- 4,941	4,941	
06/04/2020	B	2,400.000	1.0000		- 2,400	2,400	
06/05/2020	B	1,100.340	1.0000		- 1,100	1,100	
06/08/2020	B	63.750	1.0000		- 64	64	
06/09/2020	B	3,650,676.930	1.0000		- 3,650,677	3,650,677	
06/18/2020	B	255.790	1.0000		- 256	256	
07/02/2020	B	68.360	1.0000		- 68	68	
07/07/2020	B	37.910	1.0000		- 38	38	
07/09/2020	B	336.020	1.0000		- 336	336	
07/10/2020	B	183.250	1.0000		- 183	183	
07/16/2020	B	931.980	1.0000		- 932	932	
07/20/2020	B	1,110.000	1.0000		- 1,110	1,110	
07/24/2020	B	3,898,864.770	1.0000		- 3,898,865	3,898,865	
07/24/2020	B	1,531,135.230	1.0000		- 1,531,135	1,531,135	
07/31/2020	B	89.620	1.0000		- 90	90	
08/04/2020	B	1,177.840	1.0000		- 1,178	1,178	
08/05/2020	B	979.640	1.0000		- 980	980	
08/07/2020	B	705.760	1.0000		- 706	706	
08/10/2020	B	246.240	1.0000		- 246	246	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/12/2020	B	1,165.730	1.0000		- 1,166	1,166	
08/14/2020	B	1,407.780	1.0000		- 1,408	1,408	
08/24/2020	B	116.760	1.0000		- 117	117	
09/01/2020	B	1,800,461.670	1.0000		- 1,800,462	1,800,462	
09/02/2020	B	26.800	1.0000		- 27	27	
09/03/2020	B	3,500,000.000	1.0000		- 3,500,000	3,500,000	
09/09/2020	B	122.300	1.0000		- 122	122	
09/10/2020	B	1,022.260	1.0000		- 1,022	1,022	
09/11/2020	B	623.190	1.0000		- 623	623	
09/14/2020	B	1,140.000	1.0000		- 1,140	1,140	
09/17/2020	B	19,500,000.000	1.0000		- 19,500,000 *	19,500,000	
09/18/2020	B	717.220	1.0000		- 717	717	
09/21/2020	B	440.740	1.0000		- 441	441	
09/30/2020	B	382.280	1.0000		- 382	382	
10/01/2020	B	575.250	1.0000		- 575	575	
10/02/2020	B	2,400.000	1.0000		- 2,400	2,400	
10/07/2020	B	239.860	1.0000		- 240	240	
10/15/2020	B	2,933.540	1.0000		- 2,934	2,934	
10/19/2020	B	514.720	1.0000		- 515	515	
10/20/2020	B	2,400,000.000	1.0000		- 2,400,000	2,400,000	
10/20/2020	B	3,350,000.000	1.0000		- 3,350,000	3,350,000	
10/22/2020	B	438,062.150	1.0000		- 438,062	438,062	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
10/23/2020	B	994.500	1.0000		- 995	995	
11/05/2020	B	766.020	1.0000		- 766	766	
11/13/2020	B	4,300,000.000	1.0000		- 4,300,000	4,300,000	
11/23/2020	B	5,000,000.000	1.0000		- 5,000,000	5,000,000	
11/23/2020	B	741.350	1.0000		- 741	741	
11/24/2020	B	50.000	1.0000		- 50	50	
11/25/2020	B	533.310	1.0000		- 533	533	
12/01/2020	B	1,484.840	1.0000		- 1,485	1,485	
12/02/2020	B	28.750	1.0000		- 29	29	
12/11/2020	B	287.230	1.0000		- 287	287	
12/14/2020	B	2,700,000.000	1.0000		- 2,700,000	2,700,000	
12/18/2020	B	180.070	1.0000		- 180	180	
12/22/2020	B	269.140	1.0000		- 269	269	
12/23/2020	B	183,716.640	1.0000		- 183,717	183,717	
12/24/2020	B	204.620	1.0000		- 205	205	
12/29/2020	B	1,522.830	1.0000		- 1,523	1,523	
Total For Buys				0	82,010,131	82,010,131	0
01/02/2020	S	- 4,120,119.520	1.0000		4,120,120	4,120,120	
01/08/2020	S	- 1,500.000	1.0000		1,500	1,500	
01/16/2020	S	- 1,500.000	1.0000		1,500	1,500	
01/17/2020	S	- 6,296,386.610	1.0000		6,296,387	6,296,387	

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01/21/2020	S	- 3,823.200	1.0000		3,823	3,823	
01/27/2020	S	- 73,456.620	1.0000		73,457	73,457	
01/30/2020	S	- 17,584.760	1.0000		17,585	17,585	
01/31/2020	S	- 2,474.710	1.0000		2,475	2,475	
02/03/2020	S	- 3,422,704.260	1.0000		3,422,704	3,422,704	
02/07/2020	S	- 1,500.000	1.0000		1,500	1,500	
02/10/2020	S	- 48.550	1.0000		49	49	
02/13/2020	S	- 3,006.130	1.0000		3,006	3,006	
02/18/2020	S	- 785.040	1.0000		785	785	
02/19/2020	S	- 85.720	1.0000		86	86	
02/19/2020	S	- 168,169.580	1.0000		168,170	168,170	
02/25/2020	S	- 2,970.180	1.0000		2,970	2,970	
02/26/2020	S	- 223.890	1.0000		224	224	
03/02/2020	S	- 4,488,955.870	1.0000		4,488,956	4,488,956	
03/06/2020	S	- 2,875.470	1.0000		2,875	2,875	
03/11/2020	S	- 352.790	1.0000		353	353	
03/20/2020	S	- 4,776,880.000	1.0000		4,776,880	4,776,880	
03/27/2020	S	- 112,537.100	1.0000		112,537	112,537	
03/27/2020	S	- 30,884.940	1.0000		30,885	30,885	
03/30/2020	S	- 783.520	1.0000		784	784	
04/01/2020	S	- 2,525,131.540	1.0000		2,525,132	2,525,132	
04/03/2020	S	- 18,096.110	1.0000		18,096	18,096	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/06/2020	S	- 1,500.000	1.0000		1,500	1,500	
04/06/2020	S	- 1,251.180	1.0000		1,251	1,251	
04/09/2020	S	- 1,500.000	1.0000		1,500	1,500	
04/10/2020	S	- 350.000	1.0000		350	350	
04/14/2020	S	- 288.840	1.0000		289	289	
04/23/2020	S	- 72,929.370	1.0000		72,929	72,929	
04/29/2020	S	- 2,467.070	1.0000		2,467	2,467	
05/01/2020	S	- 3,170,844.820	1.0000		3,170,845	3,170,845	
05/01/2020	S	- 13,797.830	1.0000		13,798	13,798	
05/12/2020	S	- 14,052.240	1.0000		14,052	14,052	
05/14/2020	S	- 509,533.990	1.0000		509,534	509,534	
05/15/2020	S	- 61.750	1.0000		62	62	
05/26/2020	S	- 177.000	1.0000		177	177	
06/01/2020	S	- 2,681,066.620	1.0000		2,681,067	2,681,067	
06/02/2020	S	- 5,646.530	1.0000		5,647	5,647	
06/04/2020	S	- 2,400.000	1.0000		2,400	2,400	
06/10/2020	S	- 14,182.620	1.0000		14,183	14,183	
06/19/2020	S	- 49,629.510	1.0000		49,630	49,630	
06/22/2020	S	- 13,308.710	1.0000		13,309	13,309	
06/23/2020	S	- 81,127.600	1.0000		81,128	81,128	
07/01/2020	S	- 1,915,250.940	1.0000		1,915,251	1,915,251	
07/06/2020	S	- 567,395.100	1.0000		567,395	567,395	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/09/2020	S	- 336.020	1.0000		336	336	
07/21/2020	S	- 18,757.670	1.0000		18,758	18,758	
07/27/2020	S	- 71,777.320	1.0000		71,777	71,777	
08/03/2020	S	- 2,388,840.390	1.0000		2,388,840	2,388,840	
08/04/2020	S	- 74,046.100	1.0000		74,046	74,046	
08/07/2020	S	- 705.760	1.0000		706	706	
08/10/2020	S	- 246.240	1.0000		246	246	
08/11/2020	S	- 6,799.380	1.0000		6,799	6,799	
08/12/2020	S	- 1,165.730	1.0000		1,166	1,166	
08/14/2020	S	- 397,846.420	1.0000		397,846	397,846	
08/18/2020	S	- 18,709.670	1.0000		18,710	18,710	
08/27/2020	S	- 434,839.890	1.0000		434,840	434,840	
09/01/2020	S	- 1,068,797.450	1.0000		1,068,797	1,068,797	
09/14/2020	S	- 1,140.000	1.0000		1,140	1,140	
09/15/2020	S	- 18,751.870	1.0000		18,752	18,752	
09/17/2020	S	- 19,500,000.000	1.0000		19,500,000 *	19,500,000	
09/18/2020	S	- 717.220	1.0000		717	717	
09/21/2020	S	- 962,485.450	1.0000		962,485	962,485	
09/29/2020	S	- 25,406.760	1.0000		25,407	25,407	
09/30/2020	S	- 119,880.060	1.0000		119,880	119,880	
10/01/2020	S	- 3,376,695.460	1.0000		3,376,695	3,376,695	
10/02/2020	S	- 2,361.930	1.0000		2,362	2,362	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
10/06/2020	S	- 18,749.930	1.0000		18,750	18,750	
10/07/2020	S	- 239.860	1.0000		240	240	
10/13/2020	S	- 328,265.000	1.0000		328,265	328,265	
10/14/2020	S	- 1,389,602.220	1.0000		1,389,602	1,389,602	
10/15/2020	S	- 2,552.970	1.0000		2,553	2,553	
10/22/2020	S	- 438,062.150	1.0000		438,062	438,062	
10/26/2020	S	- 71,078.350	1.0000		71,078	71,078	
11/02/2020	S	- 2,052,666.180	1.0000		2,052,666	2,052,666	
11/03/2020	S	- 117,977.390	1.0000		117,977	117,977	
11/04/2020	S	- 596,631.100	1.0000		596,631	596,631	
11/05/2020	S	- 766.020	1.0000		766	766	
11/09/2020	S	- 6,991.250	1.0000		6,991	6,991	
11/12/2020	S	- 1,456.070	1.0000		1,456	1,456	
11/12/2020	S	- 5,513,824.930	1.0000		5,513,825	5,513,825	
11/13/2020	S	- 45,091.000	1.0000		45,091	45,091	
11/16/2020	S	- 2,259.040	1.0000		2,259	2,259	
11/30/2020	S	- 1,282,568.240	1.0000		1,282,568	1,282,568	
12/01/2020	S	- 2,758,235.640	1.0000		2,758,236	2,758,236	
12/11/2020	S	- 20,088.170	1.0000		20,088	20,088	
12/17/2020	S	- 3,995.500	1.0000		3,996	3,996	
12/18/2020	S	- 519,458.880	1.0000		519,459	519,459	
12/22/2020	S	- 269.140	1.0000		269	269	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
12/23/2020	S	- 276,329.640	1.0000		276,330	276,330	
12/28/2020	S	- 596,819.000	1.0000		596,819	596,819	
12/29/2020	S	- 27,260.180	1.0000		27,260	27,260	
12/30/2020	S	- 246,432.180	1.0000		246,432	246,432	
12/30/2020	S	- 150,827.310	1.0000		150,827	150,827	
12/31/2020	S	- 37.470	1.0000		37	37	
12/31/2020	S	- 46.410	1.0000		46	46	
Total For Sells				0	80,150,487	80,150,487	0
Total US Bank Money Market It&c 2				0	162,160,618	162,160,618	0
Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index							
01/16/2020	B	760,505.896	10.6508		- 8,100,000	8,100,000	
09/17/2020	B	2,355,016.728	11.2526		- 26,500,000 *	26,500,000	
Total For Buys				0	34,600,000	34,600,000	0
01/14/2020	S	- 187,999.874	10.6383		2,000,000	1,964,082	35,918
02/27/2020	S	- 322,331.069	10.8584		3,500,000	3,405,439	94,561
04/14/2020	S	- 769,722.621	11.1729		8,600,000	8,132,145	467,855
Total For Sells				0	14,100,000	13,501,666	598,334

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
Total Ahgt Fd US Int Govt Bond Index				0	48,700,000	48,101,666	598,334
Issue: 9SPMTJK50 - Ahgt Fd US Long Govt Bond Index							
01/14/2020	S	- 256,396.867	11.7006		3,000,000	2,845,319	154,681
01/16/2020	S	- 1,949,221.095	11.7996		23,000,000 *	21,631,140	1,368,860
09/17/2020	S	- 1,896,638.634	13.9721		26,500,000 *	21,047,615	5,452,385
Total For Sells				0	52,500,000	45,524,074	6,975,926
Total Ahgt Fd US Long Govt Bond Index				0	52,500,000	45,524,074	6,975,926
Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond							
01/16/2020	S	- 657,282.897	17.0398		11,200,000	10,502,050	697,950
07/31/2020	S	- 59,466.127	16.8163		1,000,000	950,148	49,852
09/28/2020	S	- 136,689.151	16.8265		2,300,000	2,184,016	115,984
11/30/2020	S	- 1,210,554.150	16.8964		20,453,952 *	19,342,205	1,111,746
Total For Sells				0	34,953,952	32,978,419	1,975,532
Total Ahgt Fund High Yield Bond				0	34,953,952	32,978,419	1,975,532
Issue: 9SPMTJK84 - Ahgt Fd US Long Fix Inc Act Cr							
01/16/2020	S	- 851,761.263	22.3067		19,000,000 *	16,935,461	2,064,539
03/16/2020	S	- 256,892.712	21.4097		5,500,000	5,107,765	392,235

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Total For Sells				0	24,500,000	22,043,226	2,456,774
Total Ahgt Fd US Long Fix Inc Act Cr				0	24,500,000	22,043,226	2,456,774
Issue: 96MSCCJH7 - Ssga Reit Indx Sf							
01/16/2020	B	760,127.160	63.5420		- 48,300,000 *	48,300,000	
04/15/2020	B	60,950.125	57.4240		- 3,500,000	3,500,000	
04/30/2020	B	71,032.817	49.2730		- 3,500,000	3,500,000	
Total For Buys				0	55,300,000	55,300,000	0
04/30/2020	S	- 121,068.429	39.6470		4,800,000	7,637,947	- 2,837,947
04/30/2020	S	- 60,950.125	57.4240		3,500,000	3,840,425	- 340,425
Total For Sells				0	8,300,000	11,478,372	- 3,178,372
Total Ssga Reit Indx Sf				0	63,600,000	66,778,372	- 3,178,372
Issue: 96MSCHYM8 - Aon Multi Asset Credit CI I							
11/01/2020	B	2,045,395.155	10.0000		- 20,453,952 *	20,453,952	
Total For Buys				0	20,453,952	20,453,952	0
GRAND TOTAL				0	549,568,522	536,763,475	12,805,046

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CATEGORY 4 - SINGLE TRANSACTION WITH ONE BROKER EXCEEDS 5% OF VALUE							
Broker: Direct From Issuer							
01/14/2020	Issue: 9SPMTJK50 - Ahgt Fd US Long Govt Bond Index S	- 256,396.867	11.7006		3,000,000	2,845,319	154,681
01/14/2020	Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index S	- 187,999.874	10.6383		2,000,000	1,964,082	35,918
01/16/2020	Issue: 9SPMTJK50 - Ahgt Fd US Long Govt Bond Index S	- 1,949,221.095	11.7996		23,000,000 *	21,631,140	1,368,860
01/16/2020	Issue: 9SPMTJK68 - Ahgt Fd US Small Cap Pass Equity S	- 75,157.157	29.2720		2,200,000	1,880,094	319,906
01/16/2020	Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond S	- 657,282.897	17.0398		11,200,000	10,502,050	697,950
01/16/2020	Issue: 9SPMTJK84 - Ahgt Fd US Long Fix Inc Act Cr S	- 851,761.263	22.3067		19,000,000 *	16,935,461	2,064,539
01/16/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi S	- 683,301.722	14.4153		9,850,000	8,631,785	1,218,215
01/16/2020	Issue: 9SPMTJKA9 - Ahgt Fund US Passive Equity B	142,313.817	36.5390		- 5,200,000	5,200,000	
01/16/2020	Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index B	760,505.896	10.6508		- 8,100,000	8,100,000	
01/16/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf B	760,127.160	63.5420		- 48,300,000 *	48,300,000	
01/16/2020	Issue: 9SPMTJLB6 - Aon Global Equity S	- 178,056.667	21.0607		3,750,000	3,292,389	457,611
01/16/2020	Issue: 9SPMTJR95 - Agt US Int Active Credit B	504,495.085	14.6681		- 7,400,000	7,400,000	
02/27/2020	Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index S	- 322,331.069	10.8584		3,500,000	3,405,439	94,561

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02/27/2020	Issue: 9SPMTJR95 - Agt US Int Active Credit S	- 201,242.066	14.9074		3,000,000	2,951,845	48,155
03/16/2020	Issue: 9SPMTJK84 - Ahgt Fd US Long Fix Inc Act Cr S	- 256,892.712	21.4097		5,500,000	5,107,765	392,235
03/16/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi B	37,875.033	10.5610		- 400,000	400,000	
03/16/2020	Issue: 9SPMTJLB6 - Aon Global Equity B	336,760.032	15.1443		- 5,100,000	5,100,000	
04/14/2020	Issue: 9SPMTJKA9 - Ahgt Fund US Passive Equity S	- 63,474.778	31.5086		2,000,000	1,933,632	66,368
04/14/2020	Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index S	- 769,722.621	11.1729		8,600,000	8,132,145	467,855
04/15/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf B	60,950.125	57.4240		- 3,500,000	3,500,000	
04/30/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf S	- 121,068.429	39.6470		4,800,000	7,637,947	- 2,837,947
04/30/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf S	- 60,950.125	57.4240		3,500,000	3,840,425	- 340,425
04/30/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf B	71,032.817	49.2730		- 3,500,000	3,500,000	
06/09/2020	Issue: 96MSCF114 - Agt Global Real Estate B	135,712.356	20.2634		- 2,750,000	2,750,000	
06/09/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi S	- 251,144.133	13.1399		3,300,000	3,167,386	132,614
06/09/2020	Issue: 9SPMTJKA9 - Ahgt Fund US Passive Equity S	- 86,384.419	35.8861		3,100,000	2,631,528	468,472
07/31/2020	Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond S	- 59,466.127	16.8163		1,000,000	950,148	49,852

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JCI BATTERY GROUP PENSION
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Period from January 1, 2020 to December 31, 2020

FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/31/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi S	- 39,467.240	13.4289		530,000	497,754	32,246
09/03/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi S	- 71,532.012	13.9798		1,000,000	902,149	97,851
09/03/2020	Issue: 9SPMTJKA9 - Ahgt Fund US Passive Equity S	- 62,622.645	39.9217		2,500,000	1,907,673	592,327
09/17/2020	Issue: 9SPMTJK50 - Ahgt Fd US Long Govt Bond Index S	- 1,896,638.634	13.9721		26,500,000 *	21,047,615	5,452,385
09/17/2020	Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index B	2,355,016.728	11.2526		- 26,500,000 *	26,500,000	
09/28/2020	Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond S	- 136,689.151	16.8265		2,300,000	2,184,016	115,984
11/01/2020	Issue: 96MSCHYM8 - Aon Multi Asset Credit Cl I B	2,045,395.155	10.0000		- 20,453,952 *	20,453,952	
11/12/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	5,515,281.000	1.0000		- 5,515,281	5,515,281	
11/13/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	45,091.000	1.0000		- 45,091	45,091	
11/30/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	1,284,757.000	1.0000		- 1,284,757	1,284,757	
11/30/2020	Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond S	- 1,210,554.150	16.8964		20,453,952 *	19,342,205	1,111,746
12/28/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	596,819.000	1.0000		- 596,819	596,819	
12/30/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	153,745.000	1.0000		- 153,745	153,745	
Total For Direct From Issuer				0	304,383,597	292,121,637	12,261,959

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
GRAND TOTAL				0	304,383,597	292,121,637	12,261,959

Schedule SB Attachment (Form 5500)—2020 Plan Year
Clarios Pension Plan Battery Division Hourly Employees
EIN: 84-7051666 PN: 016

Schedule SB, line 26—Schedule of Active Participant Data as of January 1, 2020

Attained Age	Number of Participants and Average Compensation									
	Years of Credited Service									
	<1	1-4	5-9	10-14	15-19	20-24	25-29	30-34	35-39	40+
<25		17								
25-29	1	51 \$76,773	25 \$76,090							
30-34		44 \$76,216	58 \$82,638	14						
35-39		52 \$75,663	64 \$81,733	43 \$80,696	6					
40-44	1	34 \$80,353	72 \$78,011	43 \$78,225	19	34 \$92,148				
45-49	1	35 \$87,939	53 \$78,689	48 \$83,895	25 \$83,726	86 \$85,721	5			
50-54		16	70 \$75,514	47 \$80,104	35 \$84,212	78 \$82,840	9	32 \$80,814		
55-59	2	18	57 \$75,605	47 \$75,222	25 \$84,129	78 \$78,708	11	59 \$77,817	35 \$79,788	10
60-64		10	26 \$78,859	20 \$81,184	16	42 \$77,920	17	23 \$79,564	38 \$79,768	21 \$81,694
65-69		1	5	4	1	3	1	3	7	4
70+										

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Schedule SB Attachment (Form 5500)—2020 Plan Year
 Clarios Pension Plan Battery Division Hourly Employees
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Schedule SB, Part V—Statement of Actuarial Assumptions/Methods

Interest Rates for Minimum Funding Purposes	Based on segment rates with a four-month lookback (as of September 2019), each adjusted as needed to fall within the 25-year average interest rate stabilization corridor under ARPA
1st Segment Rate	4.75%
2nd Segment Rate	5.50%
3rd Segment Rate	6.27%
Interest Rates for Maximum Tax Purposes	Based on segment rates with a four-month lookback (as of September 2019), without regard to interest rate stabilization
1st Segment Rate	2.79%
2nd Segment Rate	3.92%
3rd Segment Rate	4.38%
Salary Increases	
Minimum Funding Target Normal Cost	See Table 1
Maximum Tax Expected Benefit Increase	See Table 1
Optional Payment Form Election Percentage	
Retirement Eligible Employees	18% single life annuity 22% joint and 75% survivor annuity 60% lump sum
Nonretirement Eligible Employees	14% single life annuity 16% joint and 75% survivor annuity 70% lump sum
Lump Sum Conversion Interest Rate	Same as funding interest rates above
Lump Sum Conversion Mortality	Current IRC section 417(e) table for lump sums
Retirement Age	
Active Participants	See Table 2
Terminated Vested Participants	Age 60
Mortality Rates	
Healthy and Disabled	2020 static mortality table for annuitants and non-annuitants per §1.430(h)(3)-1(a)(3) and IRS Notice 2019-26
Withdrawal Rates	See Table 3

Schedule SB Attachment (Form 5500)—2020 Plan Year
Clarios Pension Plan Battery Division Hourly Employees
EIN: 84-7051666 PN: 016

Disability Rates	See Table 4
Decrement Timing	Middle of year decrements (except that retirement is assumed to occur at the beginning of the year for ages where the assumed retirement rate is 100%)
Surviving Spouse Benefit	It is assumed that 80% of males and 80% of females have an eligible spouse, and that males are three years older than their spouses.
Valuation Compensation	Earnings considered “wages” for federal income tax withholding purposes excluding LTD benefits, reimbursed expenses, and pension contributions.
Benefit and Compensation Limits	Projected benefits and compensation are limited by the current IRC section 415 maximum benefit of \$230,000 and the IRC section 401(a)(17) compensation limit of \$285,000.
Valuation of Plan Assets	Fair market value
Trust Expenses Included in Target Normal Cost	The prior year's actual expenses (excluding PBGC premium) plus the estimated PBGC premium for the current year, \$1,110,567 for 2020
Actuarial Method	Standard unit credit cost method
Valuation Date	January 1, 2020

Schedule SB Attachment (Form 5500)—2020 Plan Year
 Clarios Pension Plan Battery Division Hourly Employees
 EIN: 84-7051666 PN: 016

Table 1

Salary Merit Increase Rates

Age	Rate	Age	Rate
15	5.00%	45	3.75%
16	5.00%	46	3.75%
17	5.00%	47	3.75%
18	5.00%	48	3.75%
19	5.00%	49	3.75%
20	5.00%	50	3.75%
21	5.00%	51	3.75%
22	5.00%	52	3.75%
23	5.00%	53	3.75%
24	5.00%	54	3.75%
25	5.00%	55+	3.25%
26	5.00%		
27	5.00%		
28	5.00%		
29	5.00%		
30	5.00%		
31	5.00%		
32	5.00%		
33	5.00%		
34	5.00%		
35	4.25%		
36	4.25%		
37	4.25%		
38	4.25%		
39	4.25%		
40	4.25%		
41	4.25%		
42	4.25%		
43	4.25%		
44	4.25%		

Schedule SB Attachment (Form 5500)—2020 Plan Year
Clarios Pension Plan Battery Division Hourly Employees
EIN: 84-7051666 PN: 016

Table 2

Retirement Rates

Age	Rate
55	3.00%
56	5.00%
57	5.00%
58	3.00%
59	6.00%
60	6.00%
61	20.00%
62	50.00%
63	25.00%
64	15.00%
65	50.00%
66	50.00%
67	40.00%
68	40.00%
69	40.00%
70+	100.00%

Schedule SB Attachment (Form 5500)—2020 Plan Year
 Clarios Pension Plan Battery Division Hourly Employees
 EIN: 84-7051666 PN: 016

Table 3—Page 1 of 4

Withdrawal Rates—Male

Age	Years of Service			
	0	1	2	3+
15	26.00%	16.00%	12.00%	10.50%
16	26.00%	16.00%	12.00%	10.50%
17	26.00%	16.00%	12.00%	10.50%
18	26.00%	16.00%	12.00%	10.50%
19	26.00%	16.00%	12.00%	10.50%
20	26.00%	16.00%	12.00%	10.50%
21	26.00%	16.00%	12.00%	10.25%
22	26.00%	16.00%	12.00%	10.00%
23	26.00%	16.00%	12.00%	9.75%
24	26.00%	16.00%	12.00%	9.50%
25	26.00%	16.00%	12.00%	9.25%
26	26.00%	16.00%	12.00%	9.00%
27	26.00%	16.00%	12.00%	8.75%
28	26.00%	16.00%	12.00%	8.50%
29	26.00%	16.00%	12.00%	8.25%
30	26.00%	16.00%	12.00%	8.00%
31	26.00%	16.00%	12.00%	7.75%
32	26.00%	16.00%	12.00%	7.50%
33	26.00%	16.00%	12.00%	7.25%
34	26.00%	16.00%	12.00%	7.00%
35	26.00%	16.00%	12.00%	5.50%
36	26.00%	16.00%	12.00%	5.50%
37	26.00%	16.00%	12.00%	5.50%
38	26.00%	16.00%	12.00%	5.50%
39	26.00%	16.00%	12.00%	5.50%
40	26.00%	16.00%	12.00%	4.00%
41	26.00%	16.00%	12.00%	4.00%
42	26.00%	16.00%	12.00%	4.00%
43	26.00%	16.00%	12.00%	4.00%
44	26.00%	16.00%	12.00%	4.00%

Schedule SB Attachment (Form 5500)—2020 Plan Year
Clarios Pension Plan Battery Division Hourly Employees
EIN: 84-7051666 PN: 016

Table 3—Page 2 of 4

Withdrawal Rates—Male

Age	Years of Service			
	0	1	2	3+
45	26.00%	16.00%	12.00%	3.25%
46	26.00%	16.00%	12.00%	3.25%
47	26.00%	16.00%	12.00%	3.25%
48	26.00%	16.00%	12.00%	3.25%
49	26.00%	16.00%	12.00%	3.25%
50	26.00%	16.00%	12.00%	2.50%
51	26.00%	16.00%	12.00%	2.50%
52	26.00%	16.00%	12.00%	2.50%
53	26.00%	16.00%	12.00%	2.50%
54	26.00%	16.00%	12.00%	2.50%
55	26.00%	16.00%	12.00%	7.00%
56	26.00%	16.00%	12.00%	7.00%
57	26.00%	16.00%	12.00%	7.00%
58	26.00%	16.00%	12.00%	7.00%
59+	26.00%	16.00%	12.00%	7.00%

Schedule SB Attachment (Form 5500)—2020 Plan Year
 Clarios Pension Plan Battery Division Hourly Employees
 EIN: 84-7051666 PN: 016

Table 3—Page 3 of 4

Withdrawal Rates—Female

Age	Years of Service			
	0	1	2	3+
15	25.00%	15.00%	15.00%	14.20%
16	25.00%	15.00%	15.00%	14.20%
17	25.00%	15.00%	15.00%	14.20%
18	25.00%	15.00%	15.00%	14.20%
19	25.00%	15.00%	15.00%	14.20%
20	25.00%	15.00%	15.00%	14.20%
21	25.00%	15.00%	15.00%	13.87%
22	25.00%	15.00%	15.00%	13.53%
23	25.00%	15.00%	15.00%	13.20%
24	25.00%	15.00%	15.00%	12.86%
25	25.00%	15.00%	15.00%	12.53%
26	25.00%	15.00%	15.00%	12.19%
27	25.00%	15.00%	15.00%	11.86%
28	25.00%	15.00%	15.00%	11.53%
29	25.00%	15.00%	15.00%	11.19%
30	25.00%	15.00%	15.00%	10.86%
31	25.00%	15.00%	15.00%	10.52%
32	25.00%	15.00%	15.00%	10.19%
33	25.00%	15.00%	15.00%	9.86%
34	25.00%	15.00%	15.00%	9.52%
35	25.00%	15.00%	15.00%	9.19%
36	25.00%	15.00%	15.00%	8.85%
37	25.00%	15.00%	15.00%	8.52%
38	25.00%	15.00%	15.00%	8.18%
39	25.00%	15.00%	15.00%	7.85%
40	25.00%	15.00%	15.00%	7.52%
41	25.00%	15.00%	15.00%	7.18%
42	25.00%	15.00%	15.00%	6.85%
43	25.00%	15.00%	15.00%	6.51%
44	25.00%	15.00%	15.00%	6.18%

Schedule SB Attachment (Form 5500)—2020 Plan Year
 Clarios Pension Plan Battery Division Hourly Employees
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Table 3—Page 4 of 4

Withdrawal Rates—Female

Age	Years of Service			
	0	1	2	3+
45	25.00%	15.00%	15.00%	5.84%
46	25.00%	15.00%	15.00%	5.51%
47	25.00%	15.00%	15.00%	5.18%
48	25.00%	15.00%	15.00%	4.84%
49	25.00%	15.00%	15.00%	4.51%
50	25.00%	15.00%	15.00%	4.17%
51	25.00%	15.00%	15.00%	3.84%
52	25.00%	15.00%	15.00%	3.51%
53	25.00%	15.00%	15.00%	3.17%
54	25.00%	15.00%	15.00%	2.84%
55	25.00%	15.00%	15.00%	7.00%
56	25.00%	15.00%	15.00%	7.00%
57	25.00%	15.00%	15.00%	7.00%
58	25.00%	15.00%	15.00%	7.00%
59+	25.00%	15.00%	15.00%	7.00%

Schedule SB Attachment (Form 5500)—2020 Plan Year
Clarios Pension Plan Battery Division Hourly Employees
EIN: 84-7051666 PN: 016

Table 4

Disability Rates

Age	Male	Female	Age	Male	Female
15	0.03%	0.03%	45	0.16%	0.24%
16	0.03%	0.03%	46	0.18%	0.27%
17	0.03%	0.03%	47	0.21%	0.30%
18	0.03%	0.03%	48	0.25%	0.33%
19	0.03%	0.03%	49	0.28%	0.36%
20	0.03%	0.03%	50	0.33%	0.40%
21	0.03%	0.03%	51	0.39%	0.44%
22	0.03%	0.03%	52	0.46%	0.49%
23	0.03%	0.03%	53	0.53%	0.54%
24	0.03%	0.03%	54	0.61%	0.59%
25	0.03%	0.03%	55	0.69%	0.64%
26	0.03%	0.03%	56	0.77%	0.69%
27	0.03%	0.03%	57	0.86%	0.74%
28	0.03%	0.04%	58	0.95%	0.80%
29	0.03%	0.04%	59	1.05%	0.85%
30	0.03%	0.04%	60	1.15%	0.90%
31	0.03%	0.05%	61	1.26%	0.96%
32	0.03%	0.05%	62	1.38%	1.01%
33	0.03%	0.06%	63	1.51%	1.05%
34	0.03%	0.06%	64	1.64%	1.09%
35	0.04%	0.07%	65+	0.00%	0.00%
36	0.04%	0.08%			
37	0.05%	0.09%			
38	0.06%	0.10%			
39	0.07%	0.12%			
40	0.08%	0.13%			
41	0.09%	0.15%			
42	0.10%	0.17%			
43	0.12%	0.19%			
44	0.14%	0.22%			

CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES
SCHEDULE H, LINE 4j - SCHEDULE OF REPORTABLE TRANSACTIONS
PLAN #016, EIN: 39-1684871
YEAR ENDED DECEMBER 31, 2020

(a)	(b)	(c)	(d)	(g)	(h)	(i)
Identity of Party Involved	Description of Asset	Purchase Price	Selling Price	Cost of Asset	Current Value of Asset on Transaction Date	Net Gain (Loss)

See Attachment A

This schedule and related Attachment A have been derived from information certified as complete and accurate by U.S. Bank, N.A.

See Independent Auditor's Report

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
BEGINNING MARKET VALUE					370,346,962.06		
COMPARATIVE VALUE (5%)					18,517,348.10		
CATEGORY 1 - SINGLE TRANSACTION EXCEEDS 5% OF VALUE							
Issue: 00187K530 - Ahcit Long Credit Fund							
09/16/2020	S	- 1,938,369.781	10.0600		19,500,000 *	16,014,169	3,485,831
Issue: 00187K548 - Ahcit Intermediate Credit Fund							
09/16/2020	B	1,942,231.076	10.0400		- 19,500,000 *	19,500,000	
Issue: 8AMMF09L7 - US Bank Money Market It&c 2							
09/17/2020	B	19,500,000.000	1.0000		- 19,500,000 *	19,500,000	
09/17/2020	S	- 19,500,000.000	1.0000		19,500,000 *	19,500,000	
Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index							
09/17/2020	B	2,355,016.728	11.2526		- 26,500,000 *	26,500,000	
Issue: 9SPMTJK50 - Ahgt Fd US Long Govt Bond Index							
01/16/2020	S	- 1,949,221.095	11.7996		23,000,000 *	21,631,140	1,368,860
09/17/2020	S	- 1,896,638.634	13.9721		26,500,000 *	21,047,615	5,452,385
Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond							
11/30/2020	S	- 1,210,554.150	16.8964		20,453,952 *	19,342,205	1,111,746
Issue: 9SPMTJK84 - Ahgt Fd US Long Fix Inc Act Cr							
01/16/2020	S	- 851,761.263	22.3067		19,000,000 *	16,935,461	2,064,539
Issue: 96MSCCJH7 - Ssga Reit Indx Sf							
01/16/2020	B	760,127.160	63.5420		- 48,300,000 *	48,300,000	

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JCI BATTERY GROUP PENSION
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Period from January 1, 2020 to December 31, 2020

FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
Issue: 96MSCHYM8 - Aon Multi Asset Credit Cl I							
11/01/2020	B	2,045,395.155	10.0000		- 20,453,952 *	20,453,952	
GRAND TOTAL				0	262,207,904	248,724,542	13,483,361

CATEGORY 2 - SERIES OF TRANSACTIONS WITH SAME BROKER EXCEEDS 5% OF VALUE

Broker: Direct From Issuer

Issue: 9SPMTJK50 - Ahgt Fd US Long Govt Bond Index							
01/14/2020	S	- 256,396.867	11.7006		3,000,000	2,845,319	154,681
Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index							
01/14/2020	S	- 187,999.874	10.6383		2,000,000	1,964,082	35,918
Issue: 9SPMTJK50 - Ahgt Fd US Long Govt Bond Index							
01/16/2020	S	- 1,949,221.095	11.7996		23,000,000 *	21,631,140	1,368,860
Issue: 9SPMTJK68 - Ahgt Fd US Small Cap Pass Equity							
01/16/2020	S	- 75,157.157	29.2720		2,200,000	1,880,094	319,906
Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond							
01/16/2020	S	- 657,282.897	17.0398		11,200,000	10,502,050	697,950
Issue: 9SPMTJK84 - Ahgt Fd US Long Fix Inc Act Cr							
01/16/2020	S	- 851,761.263	22.3067		19,000,000 *	16,935,461	2,064,539
Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi							
01/16/2020	S	- 683,301.722	14.4153		9,850,000	8,631,785	1,218,215
Issue: 9SPMTJKA9 - Ahgt Fund US Passive Equity							
01/16/2020	B	142,313.817	36.5390		- 5,200,000	5,200,000	
Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index							
01/16/2020	B	760,505.896	10.6508		- 8,100,000	8,100,000	
Issue: 96MSCCJH7 - Ssga Reit Indx Sf							
01/16/2020	B	760,127.160	63.5420		- 48,300,000 *	48,300,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/16/2020	Issue: 9SPMTJLB6 - Aon Global Equity S	- 178,056.667	21.0607		3,750,000	3,292,389	457,611
01/16/2020	Issue: 9SPMTJR95 - Agt US Int Active Credit B	504,495.085	14.6681		- 7,400,000	7,400,000	
02/27/2020	Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index S	- 322,331.069	10.8584		3,500,000	3,405,439	94,561
02/27/2020	Issue: 9SPMTJR95 - Agt US Int Active Credit S	- 201,242.066	14.9074		3,000,000	2,951,845	48,155
03/16/2020	Issue: 9SPMTJK84 - Ahgt Fd US Long Fix Inc Act Cr S	- 256,892.712	21.4097		5,500,000	5,107,765	392,235
03/16/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi B	37,875.033	10.5610		- 400,000	400,000	
03/16/2020	Issue: 9SPMTJLB6 - Aon Global Equity B	336,760.032	15.1443		- 5,100,000	5,100,000	
04/14/2020	Issue: 9SPMTJKA9 - Ahgt Fund US Passive Equity S	- 63,474.778	31.5086		2,000,000	1,933,632	66,368
04/14/2020	Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index S	- 769,722.621	11.1729		8,600,000	8,132,145	467,855
04/15/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf B	60,950.125	57.4240		- 3,500,000	3,500,000	
04/30/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf S	- 121,068.429	39.6470		4,800,000	7,637,947	- 2,837,947
04/30/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf S	- 60,950.125	57.4240		3,500,000	3,840,425	- 340,425
04/30/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf B	71,032.817	49.2730		- 3,500,000	3,500,000	
06/09/2020	Issue: 96MSCF114 - Agt Global Real Estate B	135,712.356	20.2634		- 2,750,000	2,750,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
06/09/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi S	- 251,144.133	13.1399		3,300,000	3,167,386	132,614
06/09/2020	Issue: 9SPMTJKA9 - Ahgt Fund US Passive Equity S	- 86,384.419	35.8861		3,100,000	2,631,528	468,472
07/31/2020	Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond S	- 59,466.127	16.8163		1,000,000	950,148	49,852
07/31/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi S	- 39,467.240	13.4289		530,000	497,754	32,246
09/03/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi S	- 71,532.012	13.9798		1,000,000	902,149	97,851
09/03/2020	Issue: 9SPMTJKA9 - Ahgt Fund US Passive Equity S	- 62,622.645	39.9217		2,500,000	1,907,673	592,327
09/17/2020	Issue: 9SPMTJK50 - Ahgt Fd US Long Govt Bond Index S	- 1,896,638.634	13.9721		26,500,000 *	21,047,615	5,452,385
09/17/2020	Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index B	2,355,016.728	11.2526		- 26,500,000 *	26,500,000	
09/28/2020	Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond S	- 136,689.151	16.8265		2,300,000	2,184,016	115,984
11/01/2020	Issue: 96MSCHYM8 - Aon Multi Asset Credit Cl I B	2,045,395.155	10.0000		- 20,453,952 *	20,453,952	
11/12/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	5,515,281.000	1.0000		- 5,515,281	5,515,281	
11/13/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	45,091.000	1.0000		- 45,091	45,091	
11/30/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	1,284,757.000	1.0000		- 1,284,757	1,284,757	
11/30/2020	Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond S	- 1,210,554.150	16.8964		20,453,952 *	19,342,205	1,111,746

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
12/28/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	596,819.000	1.0000		- 596,819	596,819	
12/30/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	153,745.000	1.0000		- 153,745	153,745	
Total For Direct From Issuer				0	304,383,597	292,121,637	12,261,959
GRAND TOTAL				0	304,383,597	292,121,637	12,261,959

CATEGORY 3 - SERIES OF TRANSACTIONS IN SAME SECURITY EXCEEDS 5% OF VALUE

Issue: 00187K456 - Aon US Long Govt Bd Index Fund							
10/26/2020	B	982,599.795	9.7700		- 9,600,000	9,600,000	
11/12/2020	B	1,238,390.093	9.6900		- 12,000,000	12,000,000	
12/11/2020	B	256,937.307	9.7300		- 2,500,000	2,500,000	
Total For Buys				0	24,100,000	24,100,000	0
11/20/2020	S	- 1,314,459.050	9.8900		13,000,000	12,766,945	233,055
Total For Sells				0	13,000,000	12,766,945	233,055
Total Aon US Long Govt Bd Index Fund				0	37,100,000	36,866,945	233,055

Issue: 00187K464 - Aon US Intermediate Govt Bd Index Fu							
11/20/2020	B	802,407.222	9.9700		- 8,000,000	8,000,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
Total For Buys				0	8,000,000	8,000,000	0
10/26/2020	S	- 962,888.666	9.9700		9,600,000	9,572,001	27,999
11/12/2020	S	- 1,204,819.277	9.9600		12,000,000	11,977,014	22,986
12/11/2020	S	- 100,200.401	9.9800		1,000,000	997,606	2,394
Total For Sells				0	22,600,000	22,546,621	53,379
Total Aon US Intermediate Govt Bd Index Fu				0	30,600,000	30,546,621	53,379
Issue: 00187K530 - Ahcit Long Credit Fund							
10/19/2020	B	299,700.300	10.0100		- 3,000,000	3,000,000	
10/26/2020	B	1,002,004.008	9.9800		- 10,000,000	10,000,000	
11/12/2020	B	493,096.647	10.1400		- 5,000,000	5,000,000	
Total For Buys				0	18,000,000	18,000,000	0
09/16/2020	S	- 1,938,369.781	10.0600		19,500,000 *	16,014,169	3,485,831
Total For Sells				0	19,500,000	16,014,169	3,485,831
Total Ahcit Long Credit Fund				0	37,500,000	34,014,169	3,485,831
Issue: 00187K548 - Ahcit Intermediate Credit Fund							
09/16/2020	B	1,942,231.076	10.0400		- 19,500,000 *	19,500,000	

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Total For Buys				0	19,500,000	19,500,000	0
10/19/2020	S	- 298,804.781	10.0400		3,000,000	2,969,175	30,825
10/26/2020	S	- 996,015.936	10.0400		10,000,000	9,897,250	102,750
11/12/2020	S	- 496,031.746	10.0800		5,000,000	4,928,988	71,012
Total For Sells				0	18,000,000	17,795,413	204,587
Total AHCIT Intermediate Credit Fund				0	37,500,000	37,295,413	204,587
Issue: 8AMMF09L7 - US Bank Money Market It&c 2							
01/03/2020	B	5,938.440	1.0000		- 5,938	5,938	
01/10/2020	B	2,244.470	1.0000		- 2,244	2,244	
01/14/2020	B	5,000,000.000	1.0000		- 5,000,000	5,000,000	
01/15/2020	B	269.440	1.0000		- 269	269	
01/17/2020	B	6,267,392.640	1.0000		- 6,267,393	6,267,393	
01/29/2020	B	57.530	1.0000		- 58	58	
01/31/2020	B	462.200	1.0000		- 462	462	
02/04/2020	B	3,023.700	1.0000		- 3,024	3,024	
02/05/2020	B	159.880	1.0000		- 160	160	
02/07/2020	B	94.710	1.0000		- 95	95	
02/10/2020	B	272.440	1.0000		- 272	272	
02/12/2020	B	684.940	1.0000		- 685	685	

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02/13/2020	B	633.350	1.0000		- 633	633	
02/18/2020	B	785.040	1.0000		- 785	785	
02/20/2020	B	1,113.070	1.0000		- 1,113	1,113	
02/27/2020	B	6,500,000.000	1.0000		- 6,500,000	6,500,000	
03/03/2020	B	504.610	1.0000		- 505	505	
03/03/2020	B	191.930	1.0000		- 192	192	
03/19/2020	B	4,800,000.000	1.0000		- 4,800,000	4,800,000	
03/30/2020	B	783.520	1.0000		- 784	784	
04/02/2020	B	1,931.860	1.0000		- 1,932	1,932	
04/07/2020	B	3,689.280	1.0000		- 3,689	3,689	
04/10/2020	B	2,818.140	1.0000		- 2,818	2,818	
04/13/2020	B	990.120	1.0000		- 990	990	
04/13/2020	B	154.950	1.0000		- 155	155	
04/14/2020	B	288.840	1.0000		- 289	289	
04/15/2020	B	7,100,000.000	1.0000		- 7,100,000	7,100,000	
04/20/2020	B	1,314.560	1.0000		- 1,315	1,315	
04/22/2020	B	3,326.500	1.0000		- 3,327	3,327	
04/28/2020	B	107.220	1.0000		- 107	107	
05/04/2020	B	93.380	1.0000		- 93	93	
05/12/2020	B	14,052.240	1.0000		- 14,052	14,052	
05/13/2020	B	751.010	1.0000		- 751	751	
05/14/2020	B	6,109.830	1.0000		- 6,110	6,110	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/18/2020	B	85.520	1.0000		- 86	86	
05/22/2020	B	20.000	1.0000		- 20	20	
05/29/2020	B	1,346.700	1.0000		- 1,347	1,347	
06/02/2020	B	4,940.700	1.0000		- 4,941	4,941	
06/04/2020	B	2,400.000	1.0000		- 2,400	2,400	
06/05/2020	B	1,100.340	1.0000		- 1,100	1,100	
06/08/2020	B	63.750	1.0000		- 64	64	
06/09/2020	B	3,650,676.930	1.0000		- 3,650,677	3,650,677	
06/18/2020	B	255.790	1.0000		- 256	256	
07/02/2020	B	68.360	1.0000		- 68	68	
07/07/2020	B	37.910	1.0000		- 38	38	
07/09/2020	B	336.020	1.0000		- 336	336	
07/10/2020	B	183.250	1.0000		- 183	183	
07/16/2020	B	931.980	1.0000		- 932	932	
07/20/2020	B	1,110.000	1.0000		- 1,110	1,110	
07/24/2020	B	3,898,864.770	1.0000		- 3,898,865	3,898,865	
07/24/2020	B	1,531,135.230	1.0000		- 1,531,135	1,531,135	
07/31/2020	B	89.620	1.0000		- 90	90	
08/04/2020	B	1,177.840	1.0000		- 1,178	1,178	
08/05/2020	B	979.640	1.0000		- 980	980	
08/07/2020	B	705.760	1.0000		- 706	706	
08/10/2020	B	246.240	1.0000		- 246	246	

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08/12/2020	B	1,165.730	1.0000		- 1,166	1,166	
08/14/2020	B	1,407.780	1.0000		- 1,408	1,408	
08/24/2020	B	116.760	1.0000		- 117	117	
09/01/2020	B	1,800,461.670	1.0000		- 1,800,462	1,800,462	
09/02/2020	B	26.800	1.0000		- 27	27	
09/03/2020	B	3,500,000.000	1.0000		- 3,500,000	3,500,000	
09/09/2020	B	122.300	1.0000		- 122	122	
09/10/2020	B	1,022.260	1.0000		- 1,022	1,022	
09/11/2020	B	623.190	1.0000		- 623	623	
09/14/2020	B	1,140.000	1.0000		- 1,140	1,140	
09/17/2020	B	19,500,000.000	1.0000		- 19,500,000 *	19,500,000	
09/18/2020	B	717.220	1.0000		- 717	717	
09/21/2020	B	440.740	1.0000		- 441	441	
09/30/2020	B	382.280	1.0000		- 382	382	
10/01/2020	B	575.250	1.0000		- 575	575	
10/02/2020	B	2,400.000	1.0000		- 2,400	2,400	
10/07/2020	B	239.860	1.0000		- 240	240	
10/15/2020	B	2,933.540	1.0000		- 2,934	2,934	
10/19/2020	B	514.720	1.0000		- 515	515	
10/20/2020	B	2,400,000.000	1.0000		- 2,400,000	2,400,000	
10/20/2020	B	3,350,000.000	1.0000		- 3,350,000	3,350,000	
10/22/2020	B	438,062.150	1.0000		- 438,062	438,062	

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10/23/2020	B	994.500	1.0000		- 995	995	
11/05/2020	B	766.020	1.0000		- 766	766	
11/13/2020	B	4,300,000.000	1.0000		- 4,300,000	4,300,000	
11/23/2020	B	5,000,000.000	1.0000		- 5,000,000	5,000,000	
11/23/2020	B	741.350	1.0000		- 741	741	
11/24/2020	B	50.000	1.0000		- 50	50	
11/25/2020	B	533.310	1.0000		- 533	533	
12/01/2020	B	1,484.840	1.0000		- 1,485	1,485	
12/02/2020	B	28.750	1.0000		- 29	29	
12/11/2020	B	287.230	1.0000		- 287	287	
12/14/2020	B	2,700,000.000	1.0000		- 2,700,000	2,700,000	
12/18/2020	B	180.070	1.0000		- 180	180	
12/22/2020	B	269.140	1.0000		- 269	269	
12/23/2020	B	183,716.640	1.0000		- 183,717	183,717	
12/24/2020	B	204.620	1.0000		- 205	205	
12/29/2020	B	1,522.830	1.0000		- 1,523	1,523	
Total For Buys				0	82,010,131	82,010,131	0
01/02/2020	S	- 4,120,119.520	1.0000		4,120,120	4,120,120	
01/08/2020	S	- 1,500.000	1.0000		1,500	1,500	
01/16/2020	S	- 1,500.000	1.0000		1,500	1,500	
01/17/2020	S	- 6,296,386.610	1.0000		6,296,387	6,296,387	

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01/21/2020	S	- 3,823.200	1.0000		3,823	3,823	
01/27/2020	S	- 73,456.620	1.0000		73,457	73,457	
01/30/2020	S	- 17,584.760	1.0000		17,585	17,585	
01/31/2020	S	- 2,474.710	1.0000		2,475	2,475	
02/03/2020	S	- 3,422,704.260	1.0000		3,422,704	3,422,704	
02/07/2020	S	- 1,500.000	1.0000		1,500	1,500	
02/10/2020	S	- 48.550	1.0000		49	49	
02/13/2020	S	- 3,006.130	1.0000		3,006	3,006	
02/18/2020	S	- 785.040	1.0000		785	785	
02/19/2020	S	- 85.720	1.0000		86	86	
02/19/2020	S	- 168,169.580	1.0000		168,170	168,170	
02/25/2020	S	- 2,970.180	1.0000		2,970	2,970	
02/26/2020	S	- 223.890	1.0000		224	224	
03/02/2020	S	- 4,488,955.870	1.0000		4,488,956	4,488,956	
03/06/2020	S	- 2,875.470	1.0000		2,875	2,875	
03/11/2020	S	- 352.790	1.0000		353	353	
03/20/2020	S	- 4,776,880.000	1.0000		4,776,880	4,776,880	
03/27/2020	S	- 112,537.100	1.0000		112,537	112,537	
03/27/2020	S	- 30,884.940	1.0000		30,885	30,885	
03/30/2020	S	- 783.520	1.0000		784	784	
04/01/2020	S	- 2,525,131.540	1.0000		2,525,132	2,525,132	
04/03/2020	S	- 18,096.110	1.0000		18,096	18,096	

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04/06/2020	S	- 1,500.000	1.0000		1,500	1,500	
04/06/2020	S	- 1,251.180	1.0000		1,251	1,251	
04/09/2020	S	- 1,500.000	1.0000		1,500	1,500	
04/10/2020	S	- 350.000	1.0000		350	350	
04/14/2020	S	- 288.840	1.0000		289	289	
04/23/2020	S	- 72,929.370	1.0000		72,929	72,929	
04/29/2020	S	- 2,467.070	1.0000		2,467	2,467	
05/01/2020	S	- 3,170,844.820	1.0000		3,170,845	3,170,845	
05/01/2020	S	- 13,797.830	1.0000		13,798	13,798	
05/12/2020	S	- 14,052.240	1.0000		14,052	14,052	
05/14/2020	S	- 509,533.990	1.0000		509,534	509,534	
05/15/2020	S	- 61.750	1.0000		62	62	
05/26/2020	S	- 177.000	1.0000		177	177	
06/01/2020	S	- 2,681,066.620	1.0000		2,681,067	2,681,067	
06/02/2020	S	- 5,646.530	1.0000		5,647	5,647	
06/04/2020	S	- 2,400.000	1.0000		2,400	2,400	
06/10/2020	S	- 14,182.620	1.0000		14,183	14,183	
06/19/2020	S	- 49,629.510	1.0000		49,630	49,630	
06/22/2020	S	- 13,308.710	1.0000		13,309	13,309	
06/23/2020	S	- 81,127.600	1.0000		81,128	81,128	
07/01/2020	S	- 1,915,250.940	1.0000		1,915,251	1,915,251	
07/06/2020	S	- 567,395.100	1.0000		567,395	567,395	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/09/2020	S	- 336.020	1.0000		336	336	
07/21/2020	S	- 18,757.670	1.0000		18,758	18,758	
07/27/2020	S	- 71,777.320	1.0000		71,777	71,777	
08/03/2020	S	- 2,388,840.390	1.0000		2,388,840	2,388,840	
08/04/2020	S	- 74,046.100	1.0000		74,046	74,046	
08/07/2020	S	- 705.760	1.0000		706	706	
08/10/2020	S	- 246.240	1.0000		246	246	
08/11/2020	S	- 6,799.380	1.0000		6,799	6,799	
08/12/2020	S	- 1,165.730	1.0000		1,166	1,166	
08/14/2020	S	- 397,846.420	1.0000		397,846	397,846	
08/18/2020	S	- 18,709.670	1.0000		18,710	18,710	
08/27/2020	S	- 434,839.890	1.0000		434,840	434,840	
09/01/2020	S	- 1,068,797.450	1.0000		1,068,797	1,068,797	
09/14/2020	S	- 1,140.000	1.0000		1,140	1,140	
09/15/2020	S	- 18,751.870	1.0000		18,752	18,752	
09/17/2020	S	- 19,500,000.000	1.0000		19,500,000 *	19,500,000	
09/18/2020	S	- 717.220	1.0000		717	717	
09/21/2020	S	- 962,485.450	1.0000		962,485	962,485	
09/29/2020	S	- 25,406.760	1.0000		25,407	25,407	
09/30/2020	S	- 119,880.060	1.0000		119,880	119,880	
10/01/2020	S	- 3,376,695.460	1.0000		3,376,695	3,376,695	
10/02/2020	S	- 2,361.930	1.0000		2,362	2,362	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
10/06/2020	S	- 18,749.930	1.0000		18,750	18,750	
10/07/2020	S	- 239.860	1.0000		240	240	
10/13/2020	S	- 328,265.000	1.0000		328,265	328,265	
10/14/2020	S	- 1,389,602.220	1.0000		1,389,602	1,389,602	
10/15/2020	S	- 2,552.970	1.0000		2,553	2,553	
10/22/2020	S	- 438,062.150	1.0000		438,062	438,062	
10/26/2020	S	- 71,078.350	1.0000		71,078	71,078	
11/02/2020	S	- 2,052,666.180	1.0000		2,052,666	2,052,666	
11/03/2020	S	- 117,977.390	1.0000		117,977	117,977	
11/04/2020	S	- 596,631.100	1.0000		596,631	596,631	
11/05/2020	S	- 766.020	1.0000		766	766	
11/09/2020	S	- 6,991.250	1.0000		6,991	6,991	
11/12/2020	S	- 1,456.070	1.0000		1,456	1,456	
11/12/2020	S	- 5,513,824.930	1.0000		5,513,825	5,513,825	
11/13/2020	S	- 45,091.000	1.0000		45,091	45,091	
11/16/2020	S	- 2,259.040	1.0000		2,259	2,259	
11/30/2020	S	- 1,282,568.240	1.0000		1,282,568	1,282,568	
12/01/2020	S	- 2,758,235.640	1.0000		2,758,236	2,758,236	
12/11/2020	S	- 20,088.170	1.0000		20,088	20,088	
12/17/2020	S	- 3,995.500	1.0000		3,996	3,996	
12/18/2020	S	- 519,458.880	1.0000		519,459	519,459	
12/22/2020	S	- 269.140	1.0000		269	269	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
12/23/2020	S	- 276,329.640	1.0000		276,330	276,330	
12/28/2020	S	- 596,819.000	1.0000		596,819	596,819	
12/29/2020	S	- 27,260.180	1.0000		27,260	27,260	
12/30/2020	S	- 246,432.180	1.0000		246,432	246,432	
12/30/2020	S	- 150,827.310	1.0000		150,827	150,827	
12/31/2020	S	- 37.470	1.0000		37	37	
12/31/2020	S	- 46.410	1.0000		46	46	
Total For Sells				0	80,150,487	80,150,487	0
Total US Bank Money Market It&c 2				0	162,160,618	162,160,618	0
Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index							
01/16/2020	B	760,505.896	10.6508		- 8,100,000	8,100,000	
09/17/2020	B	2,355,016.728	11.2526		- 26,500,000 *	26,500,000	
Total For Buys				0	34,600,000	34,600,000	0
01/14/2020	S	- 187,999.874	10.6383		2,000,000	1,964,082	35,918
02/27/2020	S	- 322,331.069	10.8584		3,500,000	3,405,439	94,561
04/14/2020	S	- 769,722.621	11.1729		8,600,000	8,132,145	467,855
Total For Sells				0	14,100,000	13,501,666	598,334

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
Total Ahgt Fd US Int Govt Bond Index				0	48,700,000	48,101,666	598,334
Issue: 9SPMTJK50 - Ahgt Fd US Long Govt Bond Index							
01/14/2020	S	- 256,396.867	11.7006		3,000,000	2,845,319	154,681
01/16/2020	S	- 1,949,221.095	11.7996		23,000,000 *	21,631,140	1,368,860
09/17/2020	S	- 1,896,638.634	13.9721		26,500,000 *	21,047,615	5,452,385
Total For Sells				0	52,500,000	45,524,074	6,975,926
Total Ahgt Fd US Long Govt Bond Index				0	52,500,000	45,524,074	6,975,926
Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond							
01/16/2020	S	- 657,282.897	17.0398		11,200,000	10,502,050	697,950
07/31/2020	S	- 59,466.127	16.8163		1,000,000	950,148	49,852
09/28/2020	S	- 136,689.151	16.8265		2,300,000	2,184,016	115,984
11/30/2020	S	- 1,210,554.150	16.8964		20,453,952 *	19,342,205	1,111,746
Total For Sells				0	34,953,952	32,978,419	1,975,532
Total Ahgt Fund High Yield Bond				0	34,953,952	32,978,419	1,975,532
Issue: 9SPMTJK84 - Ahgt Fd US Long Fix Inc Act Cr							
01/16/2020	S	- 851,761.263	22.3067		19,000,000 *	16,935,461	2,064,539
03/16/2020	S	- 256,892.712	21.4097		5,500,000	5,107,765	392,235

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
Total For Sells				0	24,500,000	22,043,226	2,456,774
Total Ahgt Fd US Long Fix Inc Act Cr				0	24,500,000	22,043,226	2,456,774
Issue: 96MSCCJH7 - Ssga Reit Indx Sf							
01/16/2020	B	760,127.160	63.5420		- 48,300,000 *	48,300,000	
04/15/2020	B	60,950.125	57.4240		- 3,500,000	3,500,000	
04/30/2020	B	71,032.817	49.2730		- 3,500,000	3,500,000	
Total For Buys				0	55,300,000	55,300,000	0
04/30/2020	S	- 121,068.429	39.6470		4,800,000	7,637,947	- 2,837,947
04/30/2020	S	- 60,950.125	57.4240		3,500,000	3,840,425	- 340,425
Total For Sells				0	8,300,000	11,478,372	- 3,178,372
Total Ssga Reit Indx Sf				0	63,600,000	66,778,372	- 3,178,372
Issue: 96MSCHYM8 - Aon Multi Asset Credit CI I							
11/01/2020	B	2,045,395.155	10.0000		- 20,453,952 *	20,453,952	
Total For Buys				0	20,453,952	20,453,952	0
GRAND TOTAL				0	549,568,522	536,763,475	12,805,046

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
CATEGORY 4 - SINGLE TRANSACTION WITH ONE BROKER EXCEEDS 5% OF VALUE							
Broker: Direct From Issuer							
01/14/2020	Issue: 9SPMTJK50 - Ahgt Fd US Long Govt Bond Index S	- 256,396.867	11.7006		3,000,000	2,845,319	154,681
01/14/2020	Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index S	- 187,999.874	10.6383		2,000,000	1,964,082	35,918
01/16/2020	Issue: 9SPMTJK50 - Ahgt Fd US Long Govt Bond Index S	- 1,949,221.095	11.7996		23,000,000 *	21,631,140	1,368,860
01/16/2020	Issue: 9SPMTJK68 - Ahgt Fd US Small Cap Pass Equity S	- 75,157.157	29.2720		2,200,000	1,880,094	319,906
01/16/2020	Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond S	- 657,282.897	17.0398		11,200,000	10,502,050	697,950
01/16/2020	Issue: 9SPMTJK84 - Ahgt Fd US Long Fix Inc Act Cr S	- 851,761.263	22.3067		19,000,000 *	16,935,461	2,064,539
01/16/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi S	- 683,301.722	14.4153		9,850,000	8,631,785	1,218,215
01/16/2020	Issue: 9SPMTJKA9 - Ahgt Fund US Passive Equity B	142,313.817	36.5390		- 5,200,000	5,200,000	
01/16/2020	Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index B	760,505.896	10.6508		- 8,100,000	8,100,000	
01/16/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf B	760,127.160	63.5420		- 48,300,000 *	48,300,000	
01/16/2020	Issue: 9SPMTJLB6 - Aon Global Equity S	- 178,056.667	21.0607		3,750,000	3,292,389	457,611
01/16/2020	Issue: 9SPMTJR95 - Agt US Int Active Credit B	504,495.085	14.6681		- 7,400,000	7,400,000	
02/27/2020	Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index S	- 322,331.069	10.8584		3,500,000	3,405,439	94,561

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/27/2020	Issue: 9SPMTJR95 - Agt US Int Active Credit S	- 201,242.066	14.9074		3,000,000	2,951,845	48,155
03/16/2020	Issue: 9SPMTJK84 - Ahgt Fd US Long Fix Inc Act Cr S	- 256,892.712	21.4097		5,500,000	5,107,765	392,235
03/16/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi B	37,875.033	10.5610		- 400,000	400,000	
03/16/2020	Issue: 9SPMTJLB6 - Aon Global Equity B	336,760.032	15.1443		- 5,100,000	5,100,000	
04/14/2020	Issue: 9SPMTJKA9 - Ahgt Fund US Passive Equity S	- 63,474.778	31.5086		2,000,000	1,933,632	66,368
04/14/2020	Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index S	- 769,722.621	11.1729		8,600,000	8,132,145	467,855
04/15/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf B	60,950.125	57.4240		- 3,500,000	3,500,000	
04/30/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf S	- 121,068.429	39.6470		4,800,000	7,637,947	- 2,837,947
04/30/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf S	- 60,950.125	57.4240		3,500,000	3,840,425	- 340,425
04/30/2020	Issue: 96MSCCJH7 - Ssga Reit Indx Sf B	71,032.817	49.2730		- 3,500,000	3,500,000	
06/09/2020	Issue: 96MSCF114 - Agt Global Real Estate B	135,712.356	20.2634		- 2,750,000	2,750,000	
06/09/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi S	- 251,144.133	13.1399		3,300,000	3,167,386	132,614
06/09/2020	Issue: 9SPMTJKA9 - Ahgt Fund US Passive Equity S	- 86,384.419	35.8861		3,100,000	2,631,528	468,472
07/31/2020	Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond S	- 59,466.127	16.8163		1,000,000	950,148	49,852

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/31/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi S	- 39,467.240	13.4289		530,000	497,754	32,246
09/03/2020	Issue: 9SPMTJK92 - Ahgt Fd Non-US Eq Index Fd Acwi S	- 71,532.012	13.9798		1,000,000	902,149	97,851
09/03/2020	Issue: 9SPMTJKA9 - Ahgt Fund US Passive Equity S	- 62,622.645	39.9217		2,500,000	1,907,673	592,327
09/17/2020	Issue: 9SPMTJK50 - Ahgt Fd US Long Govt Bond Index S	- 1,896,638.634	13.9721		26,500,000 *	21,047,615	5,452,385
09/17/2020	Issue: 9SPMTJKB7 - Ahgt Fd US Int Govt Bond Index B	2,355,016.728	11.2526		- 26,500,000 *	26,500,000	
09/28/2020	Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond S	- 136,689.151	16.8265		2,300,000	2,184,016	115,984
11/01/2020	Issue: 96MSCHYM8 - Aon Multi Asset Credit Cl I B	2,045,395.155	10.0000		- 20,453,952 *	20,453,952	
11/12/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	5,515,281.000	1.0000		- 5,515,281	5,515,281	
11/13/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	45,091.000	1.0000		- 45,091	45,091	
11/30/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	1,284,757.000	1.0000		- 1,284,757	1,284,757	
11/30/2020	Issue: 9SPMTJK76 - Ahgt Fund High Yield Bond S	- 1,210,554.150	16.8964		20,453,952 *	19,342,205	1,111,746
12/28/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	596,819.000	1.0000		- 596,819	596,819	
12/30/2020	Issue: 96MSCH6V9 - Aon Opport. Crdt Portfllo Sp B	153,745.000	1.0000		- 153,745	153,745	
Total For Direct From Issuer				0	304,383,597	292,121,637	12,261,959

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
GRAND TOTAL				0	304,383,597	292,121,637	12,261,959

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2020 This Form is Open to Public Inspection
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For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

► **Round off amounts to nearest dollar.**

► **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan Clarios Pension Plan Battery Division Hourly Employees	B Three-digit plan number (PN) ►	016
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF Clarios, LLC	D Employer Identification Number (EIN) 84-7051666	
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B		
F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500		

Part I Basic Information

1	Enter the valuation date: Month _____ Day _____ Year _____	
2	Assets:	
	a Market value	2a 369,945,573
	b Actuarial value	2b 369,945,573
3	Funding target/participant count breakdown	
	a For retired participants and beneficiaries receiving payment	(1) Number of participants 1,701 (2) Vested Funding Target 127,910,979 (3) Total Funding Target 127,910,979
	b For terminated vested participants	552 22,621,010 22,621,010
	c For active participants	1,702 136,322,562 147,538,605
	d Total	3,955 286,854,551 298,070,594
4	If the plan is in at-risk status, check the box and complete lines (a) and (b)	
	a Funding target disregarding prescribed at-risk assumptions	4a
	b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b
5	Effective interest rate	5 5.77%
6	Target normal cost	6 10,315,479

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	<div style="text-align: center;"> Cheryl L. Davis Signature of actuary </div> <div style="text-align: center;"> Cheryl L. Davis Type or print name of actuary Aon Consulting, Inc. Firm name 4 Overlook Point Lincolnshire IL 60069 Address of the firm </div>	<div style="text-align: center;"> 09/30/2021 Date 2004707 Most recent enrollment number 847-295-5000 Telephone number (including area code) </div>
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If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice, see the Instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2020 v. 200204

Part II Beginning of Year Carryover and Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	87,954,197
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	17,455,798
9 Amount remaining (line 7 minus line 8)	0	70,498,399
10 Interest on line 9 using prior year's actual return of <u>16.85%</u>	0	11,878,980
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		0
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>5.77%</u>		0
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		
c Total available at beginning of current plan year to add to prefunding balance		0
d Portion of (c) to be added to prefunding balance		0
12 Other reductions in balances due to elections or deemed elections	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	82,377,379

Part III Funding Percentages

14 Funding target attainment percentage	14	96.47%
15 Adjusted funding target attainment percentage	15	124.11%
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	85.88%
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
Totals ▶			18(b)	0	18(c)
					0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	0

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? ☒ Yes ☐ No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☒ Yes ☐ No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th
0	0	0	0

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 4.75 %	2nd segment: 5.50 %	3rd segment: 6.27 %	☐ N/A, full yield curve used
b Applicable month (enter code).....				21b 4
22 Weighted average retirement age				22 62
23 Mortality table(s) (see instructions)	☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute			

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.....	☐ Yes ☒ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.....	27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)	31a	10,315,479	
b Excess assets, if applicable, but not greater than line 31a	31b	0	
32 Amortization installments:	Outstanding Balance	Installment	
a Net shortfall amortization installment	10,502,400	986,035	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33		
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33).....	34	11,301,514	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	0	11,301,514	11,301,514
36 Additional cash requirement (line 34 minus line 35).....	36	0	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....	37	0	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	0	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37).....	39	0	
40 Unpaid minimum required contributions for all years	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:	
a Schedule elected	☐ 2 plus 7 years ☐ 15 years
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

Schedule SB Attachment (Form 5500)—2020 Plan Year
Clarios Pension Plan Battery Division Hourly Employees
EIN: 84-7051666 PN: 016

Schedule SB, line 15—Reconciliation of Differences Between Valuation Results and
Amounts Used to Calculate AFTAP

The specific AFTAP for the Clarios Pension Plan Battery Division Hourly Employees was originally issued on December 14, 2020. This change is deemed immaterial by IRS Notice 2021-48. The inputs are outlined below. The funding target decreased based on Clarios's election to apply the ARPA interest rates for all purposes for the 2020 plan year.

	Certification	
	December 14, 2020	2020 Schedule SB
Funding Target	\$ 310,515,840	\$ 298,070,594
Value of Plan Assets	\$ 369,945,573	\$ 369,945,573
Funding Standard Carryover Balance	\$ 0	\$ 0
Prefunding Balance	\$ 82,377,379	\$ 82,377,379
Annuities Purchased for NHCE's	\$ 0	\$ 0
Security Posted	\$ 0	\$ 0
Certified AFTAP	119.13%	124.11%

Schedule SB Attachment (Form 5500)—2020 Plan Year
Clarios Pension Plan Battery Division Hourly Employees
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Schedule SB, line 22—Description of Weighted Average Retirement Age

The average retirement age shown in line 22 has been calculated by assuming the following retirement rates and no decrements other than retirement for this calculation. All retirements are assumed to occur at mid-year, except for the 100% retirement age.

(a) Age	(b) Rate	(c) Weight	(d) Product (a) × (b) × (c)
55.5	3.00%	1.0000	1.67
56.5	5.00%	0.9700	2.74
57.5	5.00%	0.9215	2.65
58.5	3.00%	0.8754	1.54
59.5	6.00%	0.8492	3.03
60.5	6.00%	0.7982	2.90
61.5	20.00%	0.7503	9.23
62.5	50.00%	0.6003	18.76
63.5	25.00%	0.3001	4.76
64.5	15.00%	0.2251	2.18
65.5	50.00%	0.1913	6.27
66.5	50.00%	0.0957	3.18
67.5	40.00%	0.0478	1.29
68.5	40.00%	0.0287	0.79
69.5	40.00%	0.0172	0.48
70	100.00%	0.0103	0.72
Weighted Average			62.19

Schedule SB Attachment (Form 5500)—2020 Plan Year
 Clarios Pension Plan Battery Division Hourly Employees
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Schedule SB, Part V—Summary of Plan Provisions

Effective Date December 29, 1943. Amended and restated January 1, 2016.

Status of Plan See chart below.

Group Name	Closure Date	Benefit Status
Florence	Closed 3/3/2013	Frozen
Fort Wayne	Closed 1/13/2014	Accruing
Geneva	Closed 1/1/2012	Accruing
Middletown		
DAP (Union 831)	Closed 6/30/2014	Accruing
DC (Union 006)	Closed 1/1/2019	Accruing
Portland	Closed 2/16/2013	Accruing
St. Joseph	Closed 7/16/2012	Accruing
Tampa	Closed 5/6/2013	Accruing
Toledo	Closed 4/26/2018	Accruing
Winston Salem	Closed 8/8/2013	Accruing

Participation Hourly-paid employees.

Normal Retirement
 Eligibility

Age 65 and five years of vesting service.

Benefit

1% of average monthly compensation times benefit service. The normal retirement benefit is subject to minimums ranging from \$28.00 times benefit service to \$48.00 times benefit service depending on employee group. Minimum benefit eligibility is age 62 and 10 years of vesting service (or age 65 and five years of vesting service) for Geneva employees and age 55 and 10 years of vesting service (or age 65 and five years of vesting service) for all other employees.

Early Retirement
 Eligibility

Age 55 and 10 years of vesting service.

Benefit

Accrued benefit reduced 5% per year from age 62.

Deferred Vested
 Eligibility

Five years of vesting service.

Benefit

Accrued benefit reduced 5% per year from age 65.

Schedule SB Attachment (Form 5500)—2020 Plan Year
 Clarios Pension Plan Battery Division Hourly Employees
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Supplemental Allowance	
Eligibility	Participants who retire at age 62 with 10 years of vesting service at Portland, Tampa, Toledo, St. Joseph, Winston-Salem, and Florence locations.
Benefit	\$10 for Toledo, St. Joseph, Florence, and Geneva employees, \$11 for Tampa employees, \$12 for Winston-Salem employees, and \$13 for Portland employees for each year of service payable monthly until age 65.
Total and Permanent Disability Retirement	
Eligibility	Age 55 and 30 years of benefit service (UAW groups only).
Benefit	Accrued benefit payable immediately.
Preretirement Surviving Spouse Benefit	
Eligibility	Five years of service.
Benefit	
?	
Early Retirement Eligible	50% (55% for UAW groups) of the benefit the participant would have received had normal retirement age been attained on the first day of the month following the date of death. This benefit is payable for the life of the spouse.
Not Early Retirement Eligible	50% (55% for UAW groups) of the benefit to which the participant was entitled under the plan provisions had the participant terminated employment on the date of death, survived to his earliest retirement age, and begun to receive an immediate life annuity at his early retirement date.
Other Benefits	
Eligibility	Payable upon death of retiree receiving normal or early retirement benefits.
Benefit	\$1,500 to \$6,000 lump sum, depending on location.
Form of Payment	
Normal	Single life annuity payable monthly, but for married participants, unless elected otherwise, there is in effect an automatic 50% joint and survivor option on an actuarially equivalent basis.
Optional	100%, 75%, or 50% joint and survivor annuity, single life annuity, or lump sum.

Schedule SB Attachment (Form 5500)—2020 Plan Year
 Clarios Pension Plan Battery Division Hourly Employees
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Optional Payment Form

Conversion Interest Rate

Non-Lump Sums 6.00%.

Lump Sums

417(e) Interest Rate (August lookback).

Conversion Mortality

Non-Lump Sums

1971 Group Annuity Mortality Table weighted 70% male
 30% female.

Lump Sums

417(e) Mortality Table.

Lump Sum Form of Payment

Retirement Eligible Participant

The actuarial equivalent of the benefit payable as a life annuity, including early retirement subsidies.

Nonretirement Eligible Participant

The actuarial equivalent of the benefit payable as a life annuity, excluding early retirement subsidies. The lump sum is only available after the participant reaches commencement eligibility.

Definitions

Vesting Service

All service after date of hire.

Benefit Service

Service while a participant. Benefit service froze on December 31, 2018 for participants at the Florence location.

Compensation

Earnings considered "wages" for federal income tax withholding purposes excluding LTD benefits, reimbursed expenses, and pension contributions. Compensation froze on December 31, 2018 for participants at the Florence location.

Average Monthly Compensation

Compensation for the highest five plan years out of the last 10, divided by 60.

Plan Changes Since the January 1, 2019 Valuation

The January 1, 2020 funding valuation reflects the following plan changes:

- An increase in the annual pay limit under IRC section 401(a)(17) from \$280,000 in 2019 to \$285,000 in 2020.
- An increase in the annual maximum benefit limit under IRC section 415 from \$225,000 in 2019 to \$230,000 in 2020.
- An update to the mortality rates for determining minimum lump sum payments under IRC section 417(e)(3) to the applicable rates for the current plan year.

Schedule SB Attachment (Form 5500)—2020 Plan Year
Clarios Pension Plan Battery Division Hourly Employees
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Plan Changes Since the January 1, 2018 Valuation

The January 1, 2019 funding valuation reflected the following plan changes:

- An increase in the annual pay limit under IRC section 401(a)(17) from \$275,000 in 2018 to \$280,000 in 2019.
- An increase in the annual maximum benefit limit under IRC section 415 from \$220,000 in 2018 to \$225,000 in 2019.
- An update to the mortality rates for determining minimum lump sum payments under IRC section 417(e)(3) to the applicable rates for the current plan year.
- For participants at the Toledo location:
 - The plan was closed to employees hired, rehired, or transferred in on or after April 26, 2018.
 - The minimum benefit multiplier was increased from \$37.50 to \$47.50 as of April 26, 2018 for Tier 2 employees (employees hired after July 1, 2011).
 - The supplemental allowance multiplier was increased from \$5 to \$10 as of April 26, 2018 for Tier 2 employees (employees hired after July 1, 2011).
- For participants at the Florence location:
 - The plan froze on December 31, 2018 and participants no longer accrue benefits under the plan.
- For participants at the Middletown DC (Union 006):
 - Plan closed to new participants on January 1, 2019.

Plan Changes Since the January 1, 2017 Valuation

The January 1, 2018 funding valuation reflected the following plan changes:

- An increase in the annual pay limit under IRC section 401(a)(17) from \$270,000 in 2017 to \$275,000 in 2018.
- An increase in the annual maximum benefit limit under IRC section 415 from \$215,000 in 2017 to \$220,000 in 2018.
- An update to the mortality rates for determining minimum lump sum payments under IRC section 417(e)(3) to the applicable rates for the current plan year.
- For participants at the Middletown locations:
 - The minimum benefit multiplier was increased from \$32.00 to \$33.00 effective July 1, 2012 and to \$34.00 effective July 1, 2013.

Schedule SB Attachment (Form 5500)—2020 Plan Year
Clarios Pension Plan Battery Division Hourly Employees
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Plan Changes Since the January 1, 2016 Valuation

The January 1, 2017 funding valuation reflected the following plan changes:

- An increase in the annual pay limit under IRC section 401(a)(17) from \$265,000 in 2016 to \$270,000 in 2017.
- An increase in the annual maximum benefit limit under IRC section 415 from \$210,000 in 2016 to \$215,000 in 2017.
- An update to the mortality rates for determining minimum lump sum payments under IRC section 417(e)(3) to the applicable rates for the current plan year.
- For participants at the Toledo location:
 - The minimum benefit multiplier was increased from \$46.50 to \$47.50 as of May 1, 2017 for Tier 1 employees (employees hired on or before July 1, 2011).
 - The minimum benefit multiplier was increased from \$36.50 to \$37.50 as of May 1, 2017 for Tier 2 employees (employees hired after July 1, 2011).

Plan Changes Since the January 1, 2015 Valuation

The January 1, 2016 funding valuation reflected the following plan change:

- An update to the mortality rates for determining minimum lump sum payments under IRC section 417(e)(3) to the applicable rates for the current plan year.

Plan Changes Since the January 1, 2014 Valuation

The January 1, 2015 funding valuation reflected the following plan changes:

- An increase in the annual pay limit under IRC section 401(a)(17) from \$260,000 in 2014 to \$265,000 in 2015.
- An update to the mortality rates for determining minimum lump sum payments under IRC section 417(e)(3) to the applicable rates for the current plan year.
- For participants at the Fort Wayne location:
 - Plan closed to employees hired on or after January 13, 2014.
- For participants at the Middletown location, DAP Union 831:
 - Plan closed to employees hired, rehired, or transferred in on or after June 30, 2014.
- For participants at the Toledo location:
 - The minimum benefit multiplier was increased from \$45.50 to \$46.50 as of May 1, 2015 for Tier 1 employees (employees hired on or before July 1, 2011).
 - The minimum benefit multiplier was increased from \$35.50 to \$36.50 as of May 1, 2015 for Tier 2 employees (employees hired after July 1, 2011).

Schedule SB Attachment (Form 5500)—2020 Plan Year
Clarios Pension Plan Battery Division Hourly Employees
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Other Information to Fully and Fairly Disclose the Actuarial Position of the Plan

Due to software limitations with the electronic filing process, information filed electronically cannot be controlled by the Enrolled Actuary. The values on the signed Schedule SB will govern to the extent there are any differences in the entries filed electronically and the actual data contained on the signed Schedule SB.

Under the American Rescue Plan Act of 2021 (ARPA), the stabilized interest rates for certain purposes will be adjusted once the ARPA stabilization is applied.

On September 10, 2021, Clarios, LLC made an explicit election apply the ARPA interest rate stabilization (for all purposes) to the Clarios Pension Plan Battery Division Hourly Employees for the 2020 plan year. Therefore, this Schedule SB reflects stabilized 2020 minimum funding interest rates adjusted with regard to ARPA.

CLARIOS PENSION PLAN BATTERY DIVISION HOURLY EMPLOYEES
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
PLAN #016, EIN: 39-1684871
DECEMBER 31, 2020

(a)	(b)	(c)	(d)	(e)
	Identity of Issue, Borrower, Lessor or Similar Party	Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost	Current Value
*	Aon	Cash Fund - Money Market	\$ 7,270,722	\$ 7,270,722
*	Aon	Aon Global Equity Fund CI I	48,296,972	61,619,203
*	Aon	Aon Small Cap Equity Index Fund	7,897,406	10,496,037
*	Aon	Aon Non Equity Index Fund	38,216,310	47,968,581
*	Aon	Aon Large Cap Equity Index Fund	40,963,449	56,581,002
*	Aon	Aon High Yield Plus Fund CI I	2,300,000	2,437,287
*	Aon	Aon US Long Govt Bd Index Fund	11,458,981	11,451,352
*	Aon	Aon US Intermediate Govt Bd Index Fund	14,301,713	14,336,037
*	Aon	Aon Long Credit Fund	55,833,084	66,737,373
*	Aon	Aon Intermediate Credit Fund	6,152,742	6,328,071
*	Aon	Aon Core Real Estate	36,760,545	40,406,312
*	Aon	Aon Multi Asset Credit CI I	19,516,125	20,809,950
*	Aon	Aon Opport. Crdt Portfolio Sp	7,595,693	8,190,424
	Townsend RI	Townsend Real Estate Investment	4,776,880	4,982,285
	Total investments		<u>\$ 301,340,622</u>	<u>\$ 359,614,636</u>

* Represents a party-in-interest

This schedule has been derived from information certified as complete and accurate by U.S. Bank, N.A.

See Independent Auditor's Report

Schedule SB Attachment (Form 5500)—2020 Plan Year
Clarios Pension Plan Battery Division Hourly Employees
EIN: 84-7051666 PN: 016

Schedule SB, line 32—Schedule of Amortization Bases

Type of Base	Present Value of Installment	Date Established	Years Remaining	Amortization Installment
Shortfall	\$ 10,502,400	January 1, 2020	15	\$ 986,035

ARPA shortfall relief was first applied for 2020 Under the American Rescue Plan Act of 2021 (ARPA). The shortfall relief wipes out existing shortfall amortization bases and changes the amortization period. By default, this relief would have applied starting with the 2022 plan year. This Schedule SB reflects the ARPA shortfall relief effective with the 2020 plan year.

On September 10, 2021, Clarios, LLC made an explicit election apply the ARPA extended shortfall period to the Clarios Pension Plan Battery Division Hourly Employees for the 2020 plan year. Therefore, This Schedule SB reflects the ARPA shortfall relief.