Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2020

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
RIVIERA PARTNERS 401(K) PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 07/01/2005

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
RIVIERA PARTNERS

141 10TH STREET
SAN FRANCISCO, CA 94103

2b Employer Identification Number (EIN)
95-4878446

2c Plan Sponsor's telephone number
650-587-3564

2d Business code (see instructions)
561300

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/14/2021	EDIE DYKSTRA
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address [X] Same as Plan Sponsor	**3b** Administrator's EIN	
	3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: **a** Sponsor's name **c** Plan Name	**4b** EIN **4d** PN	
5 Total number of participants at the beginning of the plan year	**5**	149
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1) Total number of active participants at the beginning of the plan year	**6a(1)**	86
a(2) Total number of active participants at the end of the plan year	**6a(2)**	97
b Retired or separated participants receiving benefits	**6b**	0
c Other retired or separated participants entitled to future benefits	**6c**	41
d Subtotal. Add lines **6a(2)**, **6b**, and **6c**	**6d**	138
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	0
f Total. Add lines **6d** and **6e**	**6f**	138
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	128
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2A 2E 2J 2K 3B 3D 2F 2T 2R 2G

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)		**9b** Plan benefit arrangement (check all that apply)	
(1)	[ ] Insurance	**(1)**	[ ] Insurance
(2)	[ ] Code section 412(e)(3) insurance contracts	**(2)**	[ ] Code section 412(e)(3) insurance contracts
(3)	[X] Trust	**(3)**	[X] Trust
(4)	[ ] General assets of the sponsor	**(4)**	[ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [ ] 0 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2020 Form M-1 annual report. If the plan was not required to file the 2020 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2020** **This Form is Open to Public Inspection.**

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan
RIVIERA PARTNERS 401(K) PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
RIVIERA PARTNERS

D Employer Identification Number (EIN)
95-4878446

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
64 65	RECORDKEEPER	8207	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III	**Termination Information on Accountants and Enrolled Actuaries (see instructions)** (complete as many entries as needed)

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2020

This Form is Open to Public Inspection.

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan
RIVIERA PARTNERS 401(K) PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
RIVIERA PARTNERS

D Employer Identification Number (EIN)
95-4878446

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: PRINCIPAL STABLE VALUE FUND

b Name of sponsor of entity listed in (a): PRINCIPAL GLOBAL INVESTORS TRUST CO

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
93-6274328-001	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II **Information on Participating Plans (to be completed by DFEs)**
(Complete as many entries as needed to report all participating plans)

a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
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a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2020

This Form is Open to Public Inspection

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan
RIVIERA PARTNERS 401(K) PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
RIVIERA PARTNERS

D Employer Identification Number (EIN)
95-4878446

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	0
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	204172	0
(2) Participant contributions	1b(2)	15946	0
(3) Other	1b(3)	0	0
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	0	31593
(2) U.S. Government securities	1c(2)	0	0
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	0	0
(B) All other	1c(3)(B)	0	0
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	0	0
(B) Common	1c(4)(B)	0	400577
(5) Partnership/joint venture interests	1c(5)	0	0
(6) Real estate (other than employer real property)	1c(6)	0	0
(7) Loans (other than to participants)	1c(7)	0	0
(8) Participant loans	1c(8)	0	0
(9) Value of interest in common/collective trusts	1c(9)	16392	0
(10) Value of interest in pooled separate accounts	1c(10)	0	0
(11) Value of interest in master trust investment accounts	1c(11)	0	0
(12) Value of interest in 103-12 investment entities	1c(12)	0	0
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	4843429	7018921
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	0	0
(15) Other	1c(15)	670812	0

			(a) Beginning of Year	**(b)** End of Year
1d	Employer-related investments:			
	(1) Employer securities	**1d(1)**	0	16549
	(2) Employer real property	**1d(2)**	0	0
e	Buildings and other property used in plan operation	**1e**	0	0
f	Total assets (add all amounts in lines 1a through 1e)	**1f**	5750751	7467640
	Liabilities			
g	Benefit claims payable	**1g**	0	0
h	Operating payables	**1h**	0	0
i	Acquisition indebtedness	**1i**	0	0
j	Other liabilities	**1j**	0	0
k	Total liabilities (add all amounts in lines 1g through1j)	**1k**	0	0
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	**1l**	5750751	7467640

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		**(a)** Amount	**(b)** Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	**2a(1)(A)**	0	
	(B) Participants	**2a(1)(B)**	842934	
	(C) Others (including rollovers)	**2a(1)(C)**	14110	
	(2) Noncash contributions	**2a(2)**	0	
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	**2a(3)**		857044
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	**2b(1)(A)**	25	
	(B) U.S. Government securities	**2b(1)(B)**	0	
	(C) Corporate debt instruments	**2b(1)(C)**	0	
	(D) Loans (other than to participants)	**2b(1)(D)**	0	
	(E) Participant loans	**2b(1)(E)**	0	
	(F) Other	**2b(1)(F)**	0	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	**2b(1)(G)**		25
	(2) Dividends: **(A)** Preferred stock	**2b(2)(A)**	0	
	(B) Common stock	**2b(2)(B)**	650	
	(C) Registered investment company shares (e.g. mutual funds)	**2b(2)(C)**	149579	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	**2b(2)(D)**		150229
	(3) Rents	**2b(3)**		0
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	**2b(4)(A)**	286845	
	(B) Aggregate carrying amount (see instructions)	**2b(4)(B)**	256259	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	**2b(4)(C)**		30586
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	**2b(5)(A)**	0	
	(B) Other	**2b(5)(B)**	90519	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	**2b(5)(C)**		90519

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		-218
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		752617
c Other income	2c		17397
d Total income. Add all **income** amounts in column (b) and enter total	2d		1898199
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	286723	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		286723
f Corrective distributions (see instructions)	2f		0
g Certain deemed distributions of participant loans (see instructions)	2g		0
h Interest expense	2h		0
i Administrative expenses: **(1)** Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	0	
(3) Investment advisory and management fees	2i(3)	41	
(4) Other	2i(4)	8457	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		8498
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		295221
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		1602978
l Transfers of assets:			
(1) To this plan	2l(1)		113911
(2) From this plan	2l(2)		0

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unmodified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) [X] DOL Regulation 2520.103-8 **(2)** [ ] DOL Regulation 2520.103-12(d) **(3)** [ ] neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: MILLER KAPLAN ARASE LLP **(2)** EIN: 95-2036255

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a	X		7960

			Yes	No	Amount
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	**4b**		X	
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	**4c**		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	**4d**		X	
e	Was this plan covered by a fidelity bond?	**4e**	X		500000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	**4f**		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	**4g**		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	**4h**		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	**4i**	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)	**4j**		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	**4k**		X	
l	Has the plan failed to provide any benefit when due under the plan?	**4l**		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	**4m**	X		
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	**4n**	X		

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No

If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2020

This Form is Open to Public Inspection.

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan
RIVIERA PARTNERS 401(K) PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
RIVIERA PARTNERS

D Employer Identification Number (EIN)
95-4878446

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1**

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 04-6568107 42-0127290

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 a Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment)	**14a**	
b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	**14b**	
c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

20 **PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation_____

RIVIERA PARTNERS 401(k) PROFIT SHARING PLAN

FINANCIAL STATEMENTS

DECEMBER 31, 2020 AND 2019

MILLER KAPLAN

INDEPENDENT AUDITORS' REPORT

Plan Administrator
Riviera Partners 401(k)Profit Sharing Plan
141 10th Street
San Francisco, California 94103

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Riviera Partners Profit Sharing 401(k) Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2020 and 2019, and the related statement of changes in net assets available for benefits for the year ended December 31, 2020, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting our audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 6, which was certified by Principal Trust Company (through December 31, 2019) and Fidelity Management Trust Company (effective January 1, 2020), the trustees of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the plan administrator that the trustees hold the Plan's investment assets and execute investment transactions. The plan administrator has obtained certifications from the trustees as of December 31, 2020 and 2019, and for the year ended December 31, 2020, that the information provided to the plan administrator by the trustees is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matters - Supplemental Schedules

The supplemental schedules of assets (held at end of year) and delinquent participant contributions as of and for the year ended December 31, 2020 are required by the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in these financial statements and supplemental schedules, other than that derived from the information certified by the trustees, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Miller Kaplan Arase LLP

MILLER KAPLAN ARASE LLP

San Francisco, California

October 11, 2021

RIVIERA PARTNERS PROFIT SHARING 401(k) PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

	December 31, 2020	December 31, 2019
ASSETS		
INVESTMENTS		
Mutual Funds	$ 6,549,828	$ 4,843,429
Common/Collective Trust	16,307	16,150
Self-Directed Brokerage Accounts	901,263	670,812
TOTAL INVESTMENTS	7,467,398	5,530,391
RECEIVABLES		
Participant Contributions	26,464	15,946
Employer Contributions	51,361	204,172
TOTAL RECEIVABLES	77,825	220,118
TOTAL ASSETS	7,545,223	5,750,509
LIABILITIES		
Excess Contributions Payable	3,477	-
NET ASSETS AVAILABLE FOR BENEFITS	$ 7,541,746	$ 5,750,509

(Attached notes are an integral part of this statement)

RIVIERA PARTNERS PROFIT SHARING 401(k) PLAN
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
JANUARY 1, 2020 TO DECEMBER 31, 2020

ADDITIONS	
INVESTMENT INCOME	
Dividends and Interest	$ 167,433
Net Appreciation of Investments	873,722
NET INVESTMENT INCOME	1,041,155
CONTRIBUTIONS AND OTHER ADDITIONS	
Participant Contributions	865,921
Employer Contributions	51,361
Rollovers	14,110
TOTAL CONTRIBUTIONS AND OTHER ADDITIONS	931,392
TOTAL ADDITIONS	1,972,547
DEDUCTIONS	
Withdrawals and Distributions	286,723
Administrative Expenses	8,498
TOTAL DEDUCTIONS	295,221
NET INCREASE	1,677,326
TRANSFER IN FROM OTHER PLAN	113,911
NET ASSETS AVAILABLE FOR BENEFITS	
BEGINNING OF YEAR	5,750,509
END OF YEAR	$ 7,541,746

(Attached notes are an integral part of this statement)

NOTE 1 - DESCRIPTION OF THE PLAN

The following description of the Rivera Partners 401(k) Profit Sharing Plan (the "Plan") provides only general information. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

A. General

The Plan was established July 1, 2005 to provide retirement benefits to eligible employees of Riviera Partners and its affiliates who have adopted the Plan (the "Company"). The plan administrator believes the Plan is designed and operated in compliance with applicable requirements of the Internal Revenue Code (the "Code") and provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended.

During 2020, assets of approximately $113,000 were merged from the Bamboo Talent, LLC plan into the Plan.

B. Administration and Expenses

Fidelity Management Trust Company ("Fidelity") is third-party administrator and serves as directed trustee and provides record keeping and administrative services, effective January 1, 2020. Prior to Fidelity, Principal Life Insurance Company served as third-party administrator of the Plan and its affiliate, Principal Trust Company served as directed trustee.

Certain administrative and recordkeeping costs are charged indirectly and factored into Plan investments as a reduction in investment return. Direct costs of Plan administration may be paid from the Plan or by the Company at the discretion of management. Substantially all direct costs were paid by the Company in 2020.

C. Eligibility

The Plan specifically excludes employees covered by a collective bargaining agreement and nonresident aliens. Nonexcludable employees may enter the Plan on the first day of the month coincident with or following attainment of eligibility. There are no age or service requirements.

D. Participant Contributions

Participants may elect to make pre-tax and/or Roth after-tax contributions to the Plan. Contributions are limited to applicable Code limits. Participants of age 50 or older may make up to $6,500 of additional catch-up contributions for 2020. Participants who make pre-tax contributions to the Plan receive an equivalent reduction in taxable compensation.

Participants are also allowed to make rollover contributions of eligible amounts received from other tax-qualified retirement plans subject to approval of the plan administrator. Such contributions are deposited in the appropriate investment funds in accordance with the participant's direction.

E. Company Contributions

The Plan provides for employer matching and discretionary profit sharing contributions. The Company matched 3% of eligible compensation deferred by each participant in 2020. No Company profit sharing contribution was made for the year ended December 31, 2020.

NOTE 1 - DESCRIPTION OF THE PLAN (Continued)

F. Vesting

Participants are immediately 100% vested in their accounts attributable to elective contributions, rollovers and safe harbor matching contributions. Participants attain full vesting in Company non-safe harbor matching and profit sharing contributions after 6 years of service. A year of service for vesting purposes is a Plan year in which a participant completes at least 1,000 hours of service.

Forfeitures of terminated participants' non-vested accounts may be used to reduce Company contributions, to pay Plan administrative expenses, or may be allocated as additional Company contributions. The balance of unapplied forfeitures at December 31, 2020 was immaterial.

G. Participant Accounts

Each participant's account is credited with the participant's contributions, rollovers, Company contributions, and an allocation of Plan earnings or losses and expenses. Participants direct the investment of their accounts among a diversified group of core investment funds provided under the Plan or they may elect to establish a self-directed brokerage account.

H. Payment of Benefits

Normal retirement age under the Plan is age 65. Upon attainment of age 65, termination of service, death, disability or retirement, a participant or beneficiary may maintain his or her account in the Plan or elect to receive benefits in a lump sum equal to the value of the participant's vested account. Benefits are payable in a lump sum and are recorded when paid.

I. Notes Receivable from Participants

The Plan allows participants to borrow a minimum of $1,000 up to the lesser of $50,000 or 50% of their vested account balance. A loan is secured by a participant's vested account balance, bears interest at a fixed rate of interest commensurate with rates charged by commercial lenders for similar types of loans, and must be repaid to the Plan within a five-year period, unless a loan is used for the purchase of a principal residence, in which case the maximum repayment period may be extended to a maximum of 30 years. Principal and interest are paid ratably through payroll deductions. No participant loans were outstanding at December 31, 2020.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. Basis of Accounting

The financial statements of the Plan are prepared on the accrual basis of accounting.

B. Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Actual results may differ from those estimates.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

C. Investment Valuation and Income Recognition

Plan investments are stated at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Purchases and sales of investments are recorded on the trade-date basis. Interest income is recorded on the accrual basis. Dividend income is recorded on the ex-dividend date. Mutual fund capital gains distributions are included in dividend income. Net appreciation includes gains and losses on investments bought and sold as well as held during the year.

D. Risk and Uncertainties

Plan investments are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with investments, it is at least reasonably possible that changes in value of investments will occur in the near term and such changes could materially affect participant accounts and amounts reported in the financial statements.

NOTE 3 - TAX STATUS

The Company adopted a volume submitter plan that has received a favorable opinion letter from the Internal Revenue Service stating that the form of the Plan meets requirements for tax exempt status. The Company believes the Plan is operated in accordance with and qualifies under applicable requirements of the Code and related state statutes and the trust, which forms a part for the Plan, is exempt from federal income and state franchise taxes.

Accounting principles generally accepted in the United States of America require management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken a tax position that more likely than not would not be sustained upon examination by a tax authority. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

NOTE 4 - PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue contributions at any time and to terminate the Plan subject to provisions of ERISA. The accounts of participants affected by a partial or complete termination of the Plan are non-forfeitable and will be determined as of the termination date.

NOTE 5 - PARTY-IN-INTEREST TRANSACTIONS

Certain investments offered under the Plan are issued or managed by Fidelity. Purchases and sales of these investments and payment of direct and indirect expenses to Plan service providers from Plan assets are considered party-in-interest transactions under ERISA. Such transactions are permitted under the provisions of the Plan and are specifically exempt from the prohibition of party-in-interest transactions under ERISA.

NOTE 6 - FINANCIAL INFORMATION CERTIFIED BY THE TRUSTEES

The plan administrator has elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Certain information related to investments disclosed in the accompanying financial statements and supplemental schedule including investments held at December 31, 2020 and 2019, and net appreciation of investments, interest and dividends for the year ended December 31, 2020 was obtained or derived from information supplied to the plan administrator and certified as complete and accurate by Principal Trust Company (through December 31, 2019) and Fidelity Management Trust Company (effective January 1, 2020), the trustees.

NOTE 7 - FAIR VALUE MEASUREMENTS

Accounting guidance on measuring fair value establishes a hierarchy which prioritizes valuation inputs into three levels based on the extent to which inputs used in measuring fair value are observable in the market. Under the fair value hierarchy Level 1 inputs are quoted prices for identical assets in an active market. Level 2 inputs are quoted prices for similar instruments and model-based valuation techniques for which all significant assumptions are observable in the market or can be corroborated by observable market data. Level 3 inputs are generally unobservable and typically reflect management's estimates of assumptions that market participants would use in pricing the asset or liability.

Mutual funds (Level 1) are valued at the closing market price of shares held by the Plan at year end. Self-directed brokerage accounts consist principally of securities that are valued on the basis of readily determinable market prices (Level 1). Investment offerings provided by Principal Trust Company include the Morley Stable Value Fund, a common/collective trust that files U.S. Department of Labor Form 5500 as a direct filing entity. The fund is measured at fair value using net asset value (NAV) per share or its equivalent as a practical expedient. Participants may direct the withdrawal or transfer of all or a portion of their investment in the fund daily.

NOTE 8 - DELINQUENT PARTICIPANT CONTRIBUTIONS

Contributions and loan payments totaling $7,959 withheld from participants' pay during 2020 and 2019 were not received by the trustee within the time frame established by Department of Labor regulations. The plan administrator engaged in a prohibited transaction under ERISA as a consequence of the failure to timely remit participant deferrals to the Plan. The plan administrator intends to fully restore lost earnings to the accounts of the affected participants from the loss date to the recovery date.

NOTE 9 - RECONCILIATION OF FINANCIAL STATEMENTS TO THE FORM 5500

Following is a reconciliation of net assets available for benefits per the financial statements to the Form 5500:

		December 31, 2020	December 31, 2019
Net Assets Available for Benefits Per the Financial Statements		$ 7,541,746	$ 5,750,509
Increase Attributable to Adjustment from Contract to Fair Value of Investment in Common/Collective Trust		242	242
Add:	Excess Contributions Payable	3,477	-
Deduct:	Participant Contributions Receivable	(26,464)	-
	Employer Contributions Receivable	(51,361)	-
Net Assets Per the Form 5500		$ 7,467,640	$ 5,750,751

NOTE 9 - RECONCILIATION OF FINANCIAL STATEMENTS TO THE FORM 5500 (Continued)

The following is a reconciliation of net increase per the financial statements to total income per the Form 5500:

		January 1, 2020 to December 31, 2020
Net Increase Per the Financial Statements		$ 1,677,326
Add:	Excess Contributions Payable	3,477
Deduct:	Participant Contributions Receivable	(26,464)
	Employer Contributions Receivable	(51,361)
Net Income Per the Form 5500		$ 1,602,978

NOTE 10 - SUBSEQUENT EVENTS

Management has evaluated subsequent events through October 11, 2021, the date on which the financial statements were available to be issued. There are no subsequent events that require recognition or additional disclosure in the Plan's financial statements.

SUPPLEMENTAL SCHEDULES

RIVIERA PARTNERS 401(k) PROFIT SHARING PLAN
FORM 5500
SCHEDULE H, LINE 4a - SCHEDULE OF DELINQUENT PARTICIPANT CONTRIBUTIONS
E.I.N. 95-4878446; PLAN NO. 001
JANUARY 1, 2020 TO DECEMBER 31, 2020

Participant Contributions Transferred Late to Plan	Total that Constitute Nonexempt Prohibited Transactions			Total Fully Corrected Under Voluntary Fiduciary Correction Program (VFCP) and PTE 2002-51
	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	
$7,959	$7,959	$ -	$ -	$ -

Check Here ( ) if Late
Participant Loan Payments
Are Included

2020 Contributions:	$7,582
2019 Contributions:	$377
	$7,959

RIVIERA PARTNERS 401(k) PROFIT SHARING PLAN
FORM 5500
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
E.I.N. 95-4878446; PLAN NO. 001
DECEMBER 31, 2020

	Identity of Issuer	Description of Investment	Fair Value	
	DFA U.S. Target Value Fund	Mutual Fund	$ 250	
*	Fidelity Inflation Protected Bond Index Fund	Mutual Fund	143	
*	Fidelity Sustainable Bond Index Fund	Mutual Fund	533	
*	Fidelity 500 Index Fund	Mutual Fund	208,807	
*	Fidelity Emerging Markets Index Fund	Mutual Fund	25,249	
*	Fidelity Freedom Index 2005 Fund	Mutual Fund	1,874	
*	Fidelity Freedom Index 2010 Fund	Mutual Fund	1,891	
*	Fidelity Freedom Index 2020 Fund	Mutual Fund	21,578	
*	Fidelity Freedom Index 2025 Fund	Mutual Fund	96,625	
*	Fidelity Freedom Index 2030 Fund	Mutual Fund	932,905	
*	Fidelity Freedom Index 2035 Fund	Mutual Fund	1,825,451	
*	Fidelity Freedom Index 2040 Fund	Mutual Fund	842,619	
*	Fidelity Freedom Index 2045 Fund	Mutual Fund	672,270	
*	Fidelity Freedom Index 2050 Fund	Mutual Fund	452,325	
*	Fidelity Freedom Index 2055 Fund	Mutual Fund	675,278	
*	Fidelity Freedom Index 2060 Fund	Mutual Fund	243,845	
*	Fidelity Freedom Index 2065 Fund	Mutual Fund	2,314	
*	Fidelity Government Money Market Fund	Mutual Fund	24,493	
*	Fidelity International Index Fund	Mutual Fund	44,532	
*	Fidelity International Sustainability Index Fund	Mutual Fund	19,887	
*	Fidelity Mid Cap Index Fund	Mutual Fund	46,945	
*	Fidelity Small Cap Index Fund	Mutual Fund	26,939	
*	Fidelity U.S. Bond Index Fund	Mutual Fund	14,239	
*	Fidelity U.S. Sustainability Index Fund	Mutual Fund	22,431	
*	Fidelity Freedom Index Income Fund	Mutual Fund	1,865	
	Hartford Mid Cap Fund	Mutual Fund	2,198	
	Janus Henderson Triton Fund	Mutual Fund	5,520	
	JPM Equity Income Fund	Mutual Fund	15,919	
	JPM Large Cap Growth Fund	Mutual Fund	317,979	
	MFS Mid Cap Value Fund	Mutual Fund	2,924	
*	Principal Stable Value Fund	Common/Collective Trust	16,549	**
*	Self-Directed Brokerage Accounts	Self-Directed Brokerage Accounts	901,263	
			$ 7,467,640	

* Party-in-interest
** As Reported at Fair Market Value in Form 5500

RIVIERA PARTNERS 401(k) PROFIT SHARING PLAN
FORM 5500
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
E.I.N. 95-4878446; PLAN NO. 001
DECEMBER 31, 2020

	Fair Value
Assets Held in Self-Directed Brokerage Accounts Reported on Page 11 Consist of the Following:	
Cash	$ 7,100
Common Stocks	400,577
Mutual Funds and Exchange Traded Funds	493,586
	$ 901,263

RIVIERA PARTNERS 401(k) PROFIT SHARING PLAN

FINANCIAL STATEMENTS

DECEMBER 31, 2020 AND 2019

MILLER KAPLAN

INDEPENDENT AUDITORS' REPORT

Plan Administrator
Riviera Partners 401(k)Profit Sharing Plan
141 10th Street
San Francisco, California 94103

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Riviera Partners Profit Sharing 401(k) Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2020 and 2019, and the related statement of changes in net assets available for benefits for the year ended December 31, 2020, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting our audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 6, which was certified by Principal Trust Company (through December 31, 2019) and Fidelity Management Trust Company (effective January 1, 2020), the trustees of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the plan administrator that the trustees hold the Plan's investment assets and execute investment transactions. The plan administrator has obtained certifications from the trustees as of December 31, 2020 and 2019, and for the year ended December 31, 2020, that the information provided to the plan administrator by the trustees is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matters - Supplemental Schedules

The supplemental schedules of assets (held at end of year) and delinquent participant contributions as of and for the year ended December 31, 2020 are required by the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in these financial statements and supplemental schedules, other than that derived from the information certified by the trustees, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Miller Kaplan Arase LLP

MILLER KAPLAN ARASE LLP

San Francisco, California

October 11, 2021

RIVIERA PARTNERS PROFIT SHARING 401(k) PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

	December 31, 2020	December 31, 2019
ASSETS		
INVESTMENTS		
Mutual Funds	$ 6,549,828	$ 4,843,429
Common/Collective Trust	16,307	16,150
Self-Directed Brokerage Accounts	901,263	670,812
TOTAL INVESTMENTS	7,467,398	5,530,391
RECEIVABLES		
Participant Contributions	26,464	15,946
Employer Contributions	51,361	204,172
TOTAL RECEIVABLES	77,825	220,118
TOTAL ASSETS	7,545,223	5,750,509
LIABILITIES		
Excess Contributions Payable	3,477	-
NET ASSETS AVAILABLE FOR BENEFITS	$ 7,541,746	$ 5,750,509

(Attached notes are an integral part of this statement)

RIVIERA PARTNERS PROFIT SHARING 401(k) PLAN
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
JANUARY 1, 2020 TO DECEMBER 31, 2020

ADDITIONS	
INVESTMENT INCOME	
Dividends and Interest	$ 167,433
Net Appreciation of Investments	873,722
NET INVESTMENT INCOME	1,041,155
CONTRIBUTIONS AND OTHER ADDITIONS	
Participant Contributions	865,921
Employer Contributions	51,361
Rollovers	14,110
TOTAL CONTRIBUTIONS AND OTHER ADDITIONS	931,392
TOTAL ADDITIONS	1,972,547
DEDUCTIONS	
Withdrawals and Distributions	286,723
Administrative Expenses	8,498
TOTAL DEDUCTIONS	295,221
NET INCREASE	1,677,326
TRANSFER IN FROM OTHER PLAN	113,911
NET ASSETS AVAILABLE FOR BENEFITS	
BEGINNING OF YEAR	5,750,509
END OF YEAR	$ 7,541,746

(Attached notes are an integral part of this statement)

NOTE 1 - DESCRIPTION OF THE PLAN

The following description of the Rivera Partners 401(k) Profit Sharing Plan (the "Plan") provides only general information. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

A. General

The Plan was established July 1, 2005 to provide retirement benefits to eligible employees of Riviera Partners and its affiliates who have adopted the Plan (the "Company"). The plan administrator believes the Plan is designed and operated in compliance with applicable requirements of the Internal Revenue Code (the "Code") and provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended.

During 2020, assets of approximately $113,000 were merged from the Bamboo Talent, LLC plan into the Plan.

B. Administration and Expenses

Fidelity Management Trust Company ("Fidelity") is third-party administrator and serves as directed trustee and provides record keeping and administrative services, effective January 1, 2020. Prior to Fidelity, Principal Life Insurance Company served as third-party administrator of the Plan and its affiliate, Principal Trust Company served as directed trustee.

Certain administrative and recordkeeping costs are charged indirectly and factored into Plan investments as a reduction in investment return. Direct costs of Plan administration may be paid from the Plan or by the Company at the discretion of management. Substantially all direct costs were paid by the Company in 2020.

C. Eligibility

The Plan specifically excludes employees covered by a collective bargaining agreement and nonresident aliens. Nonexcludable employees may enter the Plan on the first day of the month coincident with or following attainment of eligibility. There are no age or service requirements.

D. Participant Contributions

Participants may elect to make pre-tax and/or Roth after-tax contributions to the Plan. Contributions are limited to applicable Code limits. Participants of age 50 or older may make up to $6,500 of additional catch-up contributions for 2020. Participants who make pre-tax contributions to the Plan receive an equivalent reduction in taxable compensation.

Participants are also allowed to make rollover contributions of eligible amounts received from other tax-qualified retirement plans subject to approval of the plan administrator. Such contributions are deposited in the appropriate investment funds in accordance with the participant's direction.

E. Company Contributions

The Plan provides for employer matching and discretionary profit sharing contributions. The Company matched 3% of eligible compensation deferred by each participant in 2020. No Company profit sharing contribution was made for the year ended December 31, 2020.

NOTE 1 - DESCRIPTION OF THE PLAN (Continued)

F. Vesting

Participants are immediately 100% vested in their accounts attributable to elective contributions, rollovers and safe harbor matching contributions. Participants attain full vesting in Company non-safe harbor matching and profit sharing contributions after 6 years of service. A year of service for vesting purposes is a Plan year in which a participant completes at least 1,000 hours of service.

Forfeitures of terminated participants' non-vested accounts may be used to reduce Company contributions, to pay Plan administrative expenses, or may be allocated as additional Company contributions. The balance of unapplied forfeitures at December 31, 2020 was immaterial.

G. Participant Accounts

Each participant's account is credited with the participant's contributions, rollovers, Company contributions, and an allocation of Plan earnings or losses and expenses. Participants direct the investment of their accounts among a diversified group of core investment funds provided under the Plan or they may elect to establish a self-directed brokerage account.

H. Payment of Benefits

Normal retirement age under the Plan is age 65. Upon attainment of age 65, termination of service, death, disability or retirement, a participant or beneficiary may maintain his or her account in the Plan or elect to receive benefits in a lump sum equal to the value of the participant's vested account. Benefits are payable in a lump sum and are recorded when paid.

I. Notes Receivable from Participants

The Plan allows participants to borrow a minimum of $1,000 up to the lesser of $50,000 or 50% of their vested account balance. A loan is secured by a participant's vested account balance, bears interest at a fixed rate of interest commensurate with rates charged by commercial lenders for similar types of loans, and must be repaid to the Plan within a five-year period, unless a loan is used for the purchase of a principal residence, in which case the maximum repayment period may be extended to a maximum of 30 years. Principal and interest are paid ratably through payroll deductions. No participant loans were outstanding at December 31, 2020.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. Basis of Accounting

The financial statements of the Plan are prepared on the accrual basis of accounting.

B. Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Actual results may differ from those estimates.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

C. Investment Valuation and Income Recognition

Plan investments are stated at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Purchases and sales of investments are recorded on the trade-date basis. Interest income is recorded on the accrual basis. Dividend income is recorded on the ex-dividend date. Mutual fund capital gains distributions are included in dividend income. Net appreciation includes gains and losses on investments bought and sold as well as held during the year.

D. Risk and Uncertainties

Plan investments are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with investments, it is at least reasonably possible that changes in value of investments will occur in the near term and such changes could materially affect participant accounts and amounts reported in the financial statements.

NOTE 3 - TAX STATUS

The Company adopted a volume submitter plan that has received a favorable opinion letter from the Internal Revenue Service stating that the form of the Plan meets requirements for tax exempt status. The Company believes the Plan is operated in accordance with and qualifies under applicable requirements of the Code and related state statutes and the trust, which forms a part for the Plan, is exempt from federal income and state franchise taxes.

Accounting principles generally accepted in the United States of America require management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken a tax position that more likely than not would not be sustained upon examination by a tax authority. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

NOTE 4 - PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue contributions at any time and to terminate the Plan subject to provisions of ERISA. The accounts of participants affected by a partial or complete termination of the Plan are non-forfeitable and will be determined as of the termination date.

NOTE 5 - PARTY-IN-INTEREST TRANSACTIONS

Certain investments offered under the Plan are issued or managed by Fidelity. Purchases and sales of these investments and payment of direct and indirect expenses to Plan service providers from Plan assets are considered party-in-interest transactions under ERISA. Such transactions are permitted under the provisions of the Plan and are specifically exempt from the prohibition of party-in-interest transactions under ERISA.

NOTE 6 - FINANCIAL INFORMATION CERTIFIED BY THE TRUSTEES

The plan administrator has elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Certain information related to investments disclosed in the accompanying financial statements and supplemental schedule including investments held at December 31, 2020 and 2019, and net appreciation of investments, interest and dividends for the year ended December 31, 2020 was obtained or derived from information supplied to the plan administrator and certified as complete and accurate by Principal Trust Company (through December 31, 2019) and Fidelity Management Trust Company (effective January 1, 2020), the trustees.

NOTE 7 - FAIR VALUE MEASUREMENTS

Accounting guidance on measuring fair value establishes a hierarchy which prioritizes valuation inputs into three levels based on the extent to which inputs used in measuring fair value are observable in the market. Under the fair value hierarchy Level 1 inputs are quoted prices for identical assets in an active market. Level 2 inputs are quoted prices for similar instruments and model-based valuation techniques for which all significant assumptions are observable in the market or can be corroborated by observable market data. Level 3 inputs are generally unobservable and typically reflect management's estimates of assumptions that market participants would use in pricing the asset or liability.

Mutual funds (Level 1) are valued at the closing market price of shares held by the Plan at year end. Self-directed brokerage accounts consist principally of securities that are valued on the basis of readily determinable market prices (Level 1). Investment offerings provided by Principal Trust Company include the Morley Stable Value Fund, a common/collective trust that files U.S. Department of Labor Form 5500 as a direct filing entity. The fund is measured at fair value using net asset value (NAV) per share or its equivalent as a practical expedient. Participants may direct the withdrawal or transfer of all or a portion of their investment in the fund daily.

NOTE 8 - DELINQUENT PARTICIPANT CONTRIBUTIONS

Contributions and loan payments totaling $7,959 withheld from participants' pay during 2020 and 2019 were not received by the trustee within the time frame established by Department of Labor regulations. The plan administrator engaged in a prohibited transaction under ERISA as a consequence of the failure to timely remit participant deferrals to the Plan. The plan administrator intends to fully restore lost earnings to the accounts of the affected participants from the loss date to the recovery date.

NOTE 9 - RECONCILIATION OF FINANCIAL STATEMENTS TO THE FORM 5500

Following is a reconciliation of net assets available for benefits per the financial statements to the Form 5500:

		December 31, 2020	December 31, 2019
Net Assets Available for Benefits Per the Financial Statements		$ 7,541,746	$ 5,750,509
Increase Attributable to Adjustment from Contract to Fair Value of Investment in Common/Collective Trust		242	242
Add:	Excess Contributions Payable	3,477	-
Deduct:	Participant Contributions Receivable	(26,464)	-
	Employer Contributions Receivable	(51,361)	-
Net Assets Per the Form 5500		$ 7,467,640	$ 5,750,751

NOTE 9 - RECONCILIATION OF FINANCIAL STATEMENTS TO THE FORM 5500 (Continued)

The following is a reconciliation of net increase per the financial statements to total income per the Form 5500:

		January 1, 2020 to December 31, 2020
Net Increase Per the Financial Statements		$ 1,677,326
Add:	Excess Contributions Payable	3,477
Deduct:	Participant Contributions Receivable	(26,464)
	Employer Contributions Receivable	(51,361)
Net Income Per the Form 5500		$ 1,602,978

NOTE 10 - SUBSEQUENT EVENTS

Management has evaluated subsequent events through October 11, 2021, the date on which the financial statements were available to be issued. There are no subsequent events that require recognition or additional disclosure in the Plan's financial statements.

SUPPLEMENTAL SCHEDULES

RIVIERA PARTNERS 401(k) PROFIT SHARING PLAN
FORM 5500
SCHEDULE H, LINE 4a - SCHEDULE OF DELINQUENT PARTICIPANT CONTRIBUTIONS
E.I.N. 95-4878446; PLAN NO. 001
JANUARY 1, 2020 TO DECEMBER 31, 2020

Participant Contributions Transferred Late to Plan	Total that Constitute Nonexempt Prohibited Transactions			Total Fully Corrected Under Voluntary Fiduciary Correction Program (VFCP) and PTE 2002-51
	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	
$7,959	$7,959	$ -	$ -	$ -

Check Here ( ) if Late
Participant Loan Payments
Are Included

2020 Contributions:	$7,582
2019 Contributions:	$377
	$7,959

RIVIERA PARTNERS 401(k) PROFIT SHARING PLAN
FORM 5500
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
E.I.N. 95-4878446; PLAN NO. 001
DECEMBER 31, 2020

	Identity of Issuer	Description of Investment	Fair Value	
	DFA U.S. Target Value Fund	Mutual Fund	$ 250	
*	Fidelity Inflation Protected Bond Index Fund	Mutual Fund	143	
*	Fidelity Sustainable Bond Index Fund	Mutual Fund	533	
*	Fidelity 500 Index Fund	Mutual Fund	208,807	
*	Fidelity Emerging Markets Index Fund	Mutual Fund	25,249	
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*	Fidelity Freedom Index 2010 Fund	Mutual Fund	1,891	
*	Fidelity Freedom Index 2020 Fund	Mutual Fund	21,578	
*	Fidelity Freedom Index 2025 Fund	Mutual Fund	96,625	
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*	Fidelity Freedom Index 2065 Fund	Mutual Fund	2,314	
*	Fidelity Government Money Market Fund	Mutual Fund	24,493	
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*	Fidelity International Sustainability Index Fund	Mutual Fund	19,887	
*	Fidelity Mid Cap Index Fund	Mutual Fund	46,945	
*	Fidelity Small Cap Index Fund	Mutual Fund	26,939	
*	Fidelity U.S. Bond Index Fund	Mutual Fund	14,239	
*	Fidelity U.S. Sustainability Index Fund	Mutual Fund	22,431	
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	JPM Large Cap Growth Fund	Mutual Fund	317,979	
	MFS Mid Cap Value Fund	Mutual Fund	2,924	
*	Principal Stable Value Fund	Common/Collective Trust	16,549	**
*	Self-Directed Brokerage Accounts	Self-Directed Brokerage Accounts	901,263	
			$ 7,467,640	

* Party-in-interest
** As Reported at Fair Market Value in Form 5500

RIVIERA PARTNERS 401(k) PROFIT SHARING PLAN
FORM 5500
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
E.I.N. 95-4878446; PLAN NO. 001
DECEMBER 31, 2020

	Fair Value
Assets Held in Self-Directed Brokerage Accounts Reported on Page 11 Consist of the Following:	
Cash	$ 7,100
Common Stocks	400,577
Mutual Funds and Exchange Traded Funds	493,586
	$ 901,263

RIVIERA PARTNERS 401(k) PROFIT SHARING PLAN

FINANCIAL STATEMENTS

DECEMBER 31, 2020 AND 2019

MILLER KAPLAN

INDEPENDENT AUDITORS' REPORT

Plan Administrator
Riviera Partners 401(k)Profit Sharing Plan
141 10th Street
San Francisco, California 94103

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Riviera Partners Profit Sharing 401(k) Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2020 and 2019, and the related statement of changes in net assets available for benefits for the year ended December 31, 2020, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting our audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 6, which was certified by Principal Trust Company (through December 31, 2019) and Fidelity Management Trust Company (effective January 1, 2020), the trustees of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the plan administrator that the trustees hold the Plan's investment assets and execute investment transactions. The plan administrator has obtained certifications from the trustees as of December 31, 2020 and 2019, and for the year ended December 31, 2020, that the information provided to the plan administrator by the trustees is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matters - Supplemental Schedules

The supplemental schedules of assets (held at end of year) and delinquent participant contributions as of and for the year ended December 31, 2020 are required by the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in these financial statements and supplemental schedules, other than that derived from the information certified by the trustees, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Miller Kaplan Arase LLP

MILLER KAPLAN ARASE LLP

San Francisco, California

October 11, 2021

RIVIERA PARTNERS PROFIT SHARING 401(k) PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

	December 31, 2020	December 31, 2019
ASSETS		
INVESTMENTS		
Mutual Funds	$ 6,549,828	$ 4,843,429
Common/Collective Trust	16,307	16,150
Self-Directed Brokerage Accounts	901,263	670,812
TOTAL INVESTMENTS	7,467,398	5,530,391
RECEIVABLES		
Participant Contributions	26,464	15,946
Employer Contributions	51,361	204,172
TOTAL RECEIVABLES	77,825	220,118
TOTAL ASSETS	7,545,223	5,750,509
LIABILITIES		
Excess Contributions Payable	3,477	-
NET ASSETS AVAILABLE FOR BENEFITS	$ 7,541,746	$ 5,750,509

(Attached notes are an integral part of this statement)

RIVIERA PARTNERS PROFIT SHARING 401(k) PLAN
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
JANUARY 1, 2020 TO DECEMBER 31, 2020

ADDITIONS	
INVESTMENT INCOME	
Dividends and Interest	$ 167,433
Net Appreciation of Investments	873,722
NET INVESTMENT INCOME	1,041,155
CONTRIBUTIONS AND OTHER ADDITIONS	
Participant Contributions	865,921
Employer Contributions	51,361
Rollovers	14,110
TOTAL CONTRIBUTIONS AND OTHER ADDITIONS	931,392
TOTAL ADDITIONS	1,972,547
DEDUCTIONS	
Withdrawals and Distributions	286,723
Administrative Expenses	8,498
TOTAL DEDUCTIONS	295,221
NET INCREASE	1,677,326
TRANSFER IN FROM OTHER PLAN	113,911
NET ASSETS AVAILABLE FOR BENEFITS	
BEGINNING OF YEAR	5,750,509
END OF YEAR	$ 7,541,746

(Attached notes are an integral part of this statement)

NOTE 1 - DESCRIPTION OF THE PLAN

The following description of the Rivera Partners 401(k) Profit Sharing Plan (the "Plan") provides only general information. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

A. General

The Plan was established July 1, 2005 to provide retirement benefits to eligible employees of Riviera Partners and its affiliates who have adopted the Plan (the "Company"). The plan administrator believes the Plan is designed and operated in compliance with applicable requirements of the Internal Revenue Code (the "Code") and provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended.

During 2020, assets of approximately $113,000 were merged from the Bamboo Talent, LLC plan into the Plan.

B. Administration and Expenses

Fidelity Management Trust Company ("Fidelity") is third-party administrator and serves as directed trustee and provides record keeping and administrative services, effective January 1, 2020. Prior to Fidelity, Principal Life Insurance Company served as third-party administrator of the Plan and its affiliate, Principal Trust Company served as directed trustee.

Certain administrative and recordkeeping costs are charged indirectly and factored into Plan investments as a reduction in investment return. Direct costs of Plan administration may be paid from the Plan or by the Company at the discretion of management. Substantially all direct costs were paid by the Company in 2020.

C. Eligibility

The Plan specifically excludes employees covered by a collective bargaining agreement and nonresident aliens. Nonexcludable employees may enter the Plan on the first day of the month coincident with or following attainment of eligibility. There are no age or service requirements.

D. Participant Contributions

Participants may elect to make pre-tax and/or Roth after-tax contributions to the Plan. Contributions are limited to applicable Code limits. Participants of age 50 or older may make up to $6,500 of additional catch-up contributions for 2020. Participants who make pre-tax contributions to the Plan receive an equivalent reduction in taxable compensation.

Participants are also allowed to make rollover contributions of eligible amounts received from other tax-qualified retirement plans subject to approval of the plan administrator. Such contributions are deposited in the appropriate investment funds in accordance with the participant's direction.

E. Company Contributions

The Plan provides for employer matching and discretionary profit sharing contributions. The Company matched 3% of eligible compensation deferred by each participant in 2020. No Company profit sharing contribution was made for the year ended December 31, 2020.

NOTE 1 - DESCRIPTION OF THE PLAN (Continued)

F. Vesting

Participants are immediately 100% vested in their accounts attributable to elective contributions, rollovers and safe harbor matching contributions. Participants attain full vesting in Company non-safe harbor matching and profit sharing contributions after 6 years of service. A year of service for vesting purposes is a Plan year in which a participant completes at least 1,000 hours of service.

Forfeitures of terminated participants' non-vested accounts may be used to reduce Company contributions, to pay Plan administrative expenses, or may be allocated as additional Company contributions. The balance of unapplied forfeitures at December 31, 2020 was immaterial.

G. Participant Accounts

Each participant's account is credited with the participant's contributions, rollovers, Company contributions, and an allocation of Plan earnings or losses and expenses. Participants direct the investment of their accounts among a diversified group of core investment funds provided under the Plan or they may elect to establish a self-directed brokerage account.

H. Payment of Benefits

Normal retirement age under the Plan is age 65. Upon attainment of age 65, termination of service, death, disability or retirement, a participant or beneficiary may maintain his or her account in the Plan or elect to receive benefits in a lump sum equal to the value of the participant's vested account. Benefits are payable in a lump sum and are recorded when paid.

I. Notes Receivable from Participants

The Plan allows participants to borrow a minimum of $1,000 up to the lesser of $50,000 or 50% of their vested account balance. A loan is secured by a participant's vested account balance, bears interest at a fixed rate of interest commensurate with rates charged by commercial lenders for similar types of loans, and must be repaid to the Plan within a five-year period, unless a loan is used for the purchase of a principal residence, in which case the maximum repayment period may be extended to a maximum of 30 years. Principal and interest are paid ratably through payroll deductions. No participant loans were outstanding at December 31, 2020.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. Basis of Accounting

The financial statements of the Plan are prepared on the accrual basis of accounting.

B. Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Actual results may differ from those estimates.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

C. Investment Valuation and Income Recognition

Plan investments are stated at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Purchases and sales of investments are recorded on the trade-date basis. Interest income is recorded on the accrual basis. Dividend income is recorded on the ex-dividend date. Mutual fund capital gains distributions are included in dividend income. Net appreciation includes gains and losses on investments bought and sold as well as held during the year.

D. Risk and Uncertainties

Plan investments are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with investments, it is at least reasonably possible that changes in value of investments will occur in the near term and such changes could materially affect participant accounts and amounts reported in the financial statements.

NOTE 3 - TAX STATUS

The Company adopted a volume submitter plan that has received a favorable opinion letter from the Internal Revenue Service stating that the form of the Plan meets requirements for tax exempt status. The Company believes the Plan is operated in accordance with and qualifies under applicable requirements of the Code and related state statutes and the trust, which forms a part for the Plan, is exempt from federal income and state franchise taxes.

Accounting principles generally accepted in the United States of America require management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken a tax position that more likely than not would not be sustained upon examination by a tax authority. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

NOTE 4 - PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue contributions at any time and to terminate the Plan subject to provisions of ERISA. The accounts of participants affected by a partial or complete termination of the Plan are non-forfeitable and will be determined as of the termination date.

NOTE 5 - PARTY-IN-INTEREST TRANSACTIONS

Certain investments offered under the Plan are issued or managed by Fidelity. Purchases and sales of these investments and payment of direct and indirect expenses to Plan service providers from Plan assets are considered party-in-interest transactions under ERISA. Such transactions are permitted under the provisions of the Plan and are specifically exempt from the prohibition of party-in-interest transactions under ERISA.

NOTE 6 - FINANCIAL INFORMATION CERTIFIED BY THE TRUSTEES

The plan administrator has elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Certain information related to investments disclosed in the accompanying financial statements and supplemental schedule including investments held at December 31, 2020 and 2019, and net appreciation of investments, interest and dividends for the year ended December 31, 2020 was obtained or derived from information supplied to the plan administrator and certified as complete and accurate by Principal Trust Company (through December 31, 2019) and Fidelity Management Trust Company (effective January 1, 2020), the trustees.

NOTE 7 - FAIR VALUE MEASUREMENTS

Accounting guidance on measuring fair value establishes a hierarchy which prioritizes valuation inputs into three levels based on the extent to which inputs used in measuring fair value are observable in the market. Under the fair value hierarchy Level 1 inputs are quoted prices for identical assets in an active market. Level 2 inputs are quoted prices for similar instruments and model-based valuation techniques for which all significant assumptions are observable in the market or can be corroborated by observable market data. Level 3 inputs are generally unobservable and typically reflect management's estimates of assumptions that market participants would use in pricing the asset or liability.

Mutual funds (Level 1) are valued at the closing market price of shares held by the Plan at year end. Self-directed brokerage accounts consist principally of securities that are valued on the basis of readily determinable market prices (Level 1). Investment offerings provided by Principal Trust Company include the Morley Stable Value Fund, a common/collective trust that files U.S. Department of Labor Form 5500 as a direct filing entity. The fund is measured at fair value using net asset value (NAV) per share or its equivalent as a practical expedient. Participants may direct the withdrawal or transfer of all or a portion of their investment in the fund daily.

NOTE 8 - DELINQUENT PARTICIPANT CONTRIBUTIONS

Contributions and loan payments totaling $7,959 withheld from participants' pay during 2020 and 2019 were not received by the trustee within the time frame established by Department of Labor regulations. The plan administrator engaged in a prohibited transaction under ERISA as a consequence of the failure to timely remit participant deferrals to the Plan. The plan administrator intends to fully restore lost earnings to the accounts of the affected participants from the loss date to the recovery date.

NOTE 9 - RECONCILIATION OF FINANCIAL STATEMENTS TO THE FORM 5500

Following is a reconciliation of net assets available for benefits per the financial statements to the Form 5500:

		December 31, 2020	December 31, 2019
Net Assets Available for Benefits Per the Financial Statements		$ 7,541,746	$ 5,750,509
Increase Attributable to Adjustment from Contract to Fair Value of Investment in Common/Collective Trust		242	242
Add:	Excess Contributions Payable	3,477	-
Deduct:	Participant Contributions Receivable	(26,464)	-
	Employer Contributions Receivable	(51,361)	-
Net Assets Per the Form 5500		$ 7,467,640	$ 5,750,751

NOTE 9 - RECONCILIATION OF FINANCIAL STATEMENTS TO THE FORM 5500 (Continued)

The following is a reconciliation of net increase per the financial statements to total income per the Form 5500:

		January 1, 2020 to December 31, 2020
Net Increase Per the Financial Statements		$ 1,677,326
Add:	Excess Contributions Payable	3,477
Deduct:	Participant Contributions Receivable	(26,464)
	Employer Contributions Receivable	(51,361)
Net Income Per the Form 5500		$ 1,602,978

NOTE 10 - SUBSEQUENT EVENTS

Management has evaluated subsequent events through October 11, 2021, the date on which the financial statements were available to be issued. There are no subsequent events that require recognition or additional disclosure in the Plan's financial statements.

SUPPLEMENTAL SCHEDULES

RIVIERA PARTNERS 401(k) PROFIT SHARING PLAN
FORM 5500
SCHEDULE H, LINE 4a - SCHEDULE OF DELINQUENT PARTICIPANT CONTRIBUTIONS
E.I.N. 95-4878446; PLAN NO. 001
JANUARY 1, 2020 TO DECEMBER 31, 2020

Participant Contributions Transferred Late to Plan	Total that Constitute Nonexempt Prohibited Transactions			Total Fully Corrected Under Voluntary Fiduciary Correction Program (VFCP) and PTE 2002-51
	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	
$7,959	$7,959	$ -	$ -	$ -

Check Here ( ) if Late
Participant Loan Payments
Are Included

2020 Contributions:	$7,582
2019 Contributions:	$377
	$7,959

RIVIERA PARTNERS 401(k) PROFIT SHARING PLAN
FORM 5500
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
E.I.N. 95-4878446; PLAN NO. 001
DECEMBER 31, 2020

	Identity of Issuer	Description of Investment	Fair Value	
	DFA U.S. Target Value Fund	Mutual Fund	$ 250	
*	Fidelity Inflation Protected Bond Index Fund	Mutual Fund	143	
*	Fidelity Sustainable Bond Index Fund	Mutual Fund	533	
*	Fidelity 500 Index Fund	Mutual Fund	208,807	
*	Fidelity Emerging Markets Index Fund	Mutual Fund	25,249	
*	Fidelity Freedom Index 2005 Fund	Mutual Fund	1,874	
*	Fidelity Freedom Index 2010 Fund	Mutual Fund	1,891	
*	Fidelity Freedom Index 2020 Fund	Mutual Fund	21,578	
*	Fidelity Freedom Index 2025 Fund	Mutual Fund	96,625	
*	Fidelity Freedom Index 2030 Fund	Mutual Fund	932,905	
*	Fidelity Freedom Index 2035 Fund	Mutual Fund	1,825,451	
*	Fidelity Freedom Index 2040 Fund	Mutual Fund	842,619	
*	Fidelity Freedom Index 2045 Fund	Mutual Fund	672,270	
*	Fidelity Freedom Index 2050 Fund	Mutual Fund	452,325	
*	Fidelity Freedom Index 2055 Fund	Mutual Fund	675,278	
*	Fidelity Freedom Index 2060 Fund	Mutual Fund	243,845	
*	Fidelity Freedom Index 2065 Fund	Mutual Fund	2,314	
*	Fidelity Government Money Market Fund	Mutual Fund	24,493	
*	Fidelity International Index Fund	Mutual Fund	44,532	
*	Fidelity International Sustainability Index Fund	Mutual Fund	19,887	
*	Fidelity Mid Cap Index Fund	Mutual Fund	46,945	
*	Fidelity Small Cap Index Fund	Mutual Fund	26,939	
*	Fidelity U.S. Bond Index Fund	Mutual Fund	14,239	
*	Fidelity U.S. Sustainability Index Fund	Mutual Fund	22,431	
*	Fidelity Freedom Index Income Fund	Mutual Fund	1,865	
	Hartford Mid Cap Fund	Mutual Fund	2,198	
	Janus Henderson Triton Fund	Mutual Fund	5,520	
	JPM Equity Income Fund	Mutual Fund	15,919	
	JPM Large Cap Growth Fund	Mutual Fund	317,979	
	MFS Mid Cap Value Fund	Mutual Fund	2,924	
*	Principal Stable Value Fund	Common/Collective Trust	16,549	**
*	Self-Directed Brokerage Accounts	Self-Directed Brokerage Accounts	901,263	
			$ 7,467,640	

* Party-in-interest
** As Reported at Fair Market Value in Form 5500

RIVIERA PARTNERS 401(k) PROFIT SHARING PLAN
FORM 5500
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
E.I.N. 95-4878446; PLAN NO. 001
DECEMBER 31, 2020

	Fair Value
Assets Held in Self-Directed Brokerage Accounts Reported on Page 11 Consist of the Following:	
Cash	$ 7,100
Common Stocks	400,577
Mutual Funds and Exchange Traded Funds	493,586
	$ 901,263