Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2019

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [X] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [X]

D Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
TT ELECTRONICS 401(K) RETIREMENT PLAN

1b Three-digit plan number (PN) ▶ 002

1c Effective date of plan 10/01/1987

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
TT GROUP INDUSTRIES, INC.

1645 WALLACE DRIVE, SUITE 130
CARROLLTON, TX 75006

2b Employer Identification Number (EIN) 56-1536704

2c Plan Sponsor's telephone number 972-323-2218

2d Business code (see instructions) 334410

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/15/2021	CHERYL DOUGLAS
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address [X] Same as Plan Sponsor

3b Administrator's EIN

3c Administrator's telephone number

4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:
a Sponsor's name
c Plan Name

4b EIN

4d PN

5 Total number of participants at the beginning of the plan year	**5**	959
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1) Total number of active participants at the beginning of the plan year	**6a(1)**	464
a(2) Total number of active participants at the end of the plan year	**6a(2)**	288
b Retired or separated participants receiving benefits	**6b**	37
c Other retired or separated participants entitled to future benefits	**6c**	184
d Subtotal. Add lines **6a(2)**, **6b**, and **6c**	**6d**	509
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	0
f Total. Add lines **6d** and **6e**.	**6f**	509
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	486
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	4
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2E 2F 2G 2J 2K 2S 2T 3D 2A

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

(1)	[X]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

(1)	[X]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

(1)	[X]	**R** (Retirement Plan Information)
(2)	[ ]	**MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
(3)	[ ]	**SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

(1)	[X]		**H** (Financial Information)
(2)	[ ]		**I** (Financial Information – Small Plan)
(3)	[X]	2	**A** (Insurance Information)
(4)	[X]		**C** (Service Provider Information)
(5)	[X]		**D** (DFE/Participating Plan Information)
(6)	[ ]		**G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2019 Form M-1 annual report. If the plan was not required to file the 2019 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2019

This Form is Open to Public Inspection

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan
TT ELECTRONICS 401(K) RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
TT GROUP INDUSTRIES, INC.

D Employer Identification Number (EIN)
56-1536704

Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions

Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
NATIONWIDE LIFE INSURANCE COMPANY

(b) EIN	**(c)** NAIC code	**(d)** Contract or identification number	**(e)** Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	**(g)** To
31-4156830	66869	196-80424	0	01/01/2019	12/31/2019

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	**(b)** Total amount of fees paid
0	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

WELLS FARGO ADVISORS
NC1409
401 S TRYON STREET, 19TH FL
CHARLOTTE, NC 28288-1207

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	0
5	Current value of plan's interest under this contract in separate accounts at year end	5	0

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity (3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee (3) ☒ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year			7b	19540236
c	Additions: (1) Contributions deposited during the year	7c(1)	182977		
	(2) Dividends and credits	7c(2)			
	(3) Interest credited during the year	7c(3)	168442		
	(4) Transferred from separate account	7c(4)	1470953		
	(5) Other (specify below)	7c(5)	73657		
	▶ LOAN REPAYMENTS AND TRANSFERS				
	(6) Total additions			7c(6)	1896029
d	Total of balance and additions (add lines **7b** and **7c(6)**)			7d	21436265
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	1730918		
	(2) Administration charge made by carrier	7e(2)	6926		
	(3) Transferred to separate account	7e(3)	9531		
	(4) Other (specify below)	7e(4)	19688890		
	▶ LOAN WITHDRAWAL, MV ADJ, AND TRANSFERS				
	(5) Total deductions			7e(5)	21436265
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			7f	0

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- **a** ☐ Health (other than dental or vision)
- **b** ☐ Dental
- **c** ☐ Vision
- **d** ☐ Life insurance
- **e** ☐ Temporary disability (accident and sickness)
- **f** ☐ Long-term disability
- **g** ☐ Supplemental unemployment
- **h** ☐ Prescription drug
- **i** ☐ Stop loss (large deductible)
- **j** ☐ HMO contract
- **k** ☐ PPO contract
- **l** ☐ Indemnity contract
- **m** ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	0
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	0
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	0
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount			**10b**	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2019

This Form is Open to Public Inspection

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan
TT ELECTRONICS 401(K) RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
TT GROUP INDUSTRIES, INC.

D Employer Identification Number (EIN)
56-1536704

Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions

Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
LINCOLN NATIONAL LIFE INSURANCE COMPANY

(b) EIN	**(c)** NAIC code	**(d)** Contract or identification number	**(e)** Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	**(g)** To
35-0472300	65676	895064 087	293	01/01/2019	12/31/2019

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	**(b)** Total amount of fees paid
0	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	**4**	0
5	Current value of plan's interest under this contract in separate accounts at year end	**5**	0

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	**6b**	
c	Premiums due but unpaid at the end of the year	**6c**	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	**6d**	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☒ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year			**7b**	0
c	Additions: (1) Contributions deposited during the year	**7c(1)**	20950166		
	(2) Dividends and credits	**7c(2)**			
	(3) Interest credited during the year	**7c(3)**	272679		
	(4) Transferred from separate account	**7c(4)**			
	(5) Other (specify below)	**7c(5)**			
	▶				
	(6) Total additions			**7c(6)**	21222845
d	Total of balance and additions (add lines **7b** and **7c(6)**)			**7d**	21222845
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	**7e(1)**	6094822		
	(2) Administration charge made by carrier	**7e(2)**			
	(3) Transferred to separate account	**7e(3)**			
	(4) Other (specify below)	**7e(4)**			
	▶				
	(5) Total deductions			**7e(5)**	6094822
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			**7f**	15128023

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- **a** ☐ Health (other than dental or vision)
- **b** ☐ Dental
- **c** ☐ Vision
- **d** ☐ Life insurance
- **e** ☐ Temporary disability (accident and sickness)
- **f** ☐ Long-term disability
- **g** ☐ Supplemental unemployment
- **h** ☐ Prescription drug
- **i** ☐ Stop loss (large deductible)
- **j** ☐ HMO contract
- **k** ☐ PPO contract
- **l** ☐ Indemnity contract
- **m** ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	0
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	0
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	0
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount			**10b**	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2019** **This Form is Open to Public Inspection.**

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan
TT ELECTRONICS 401(K) RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
TT GROUP INDUSTRIES, INC.

D Employer Identification Number (EIN)
56-1536704

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
37 64 65 71	RECORDKEEPER	53085	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

NFP RETIREMENT, INC.

33-0905143

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16	CONSULTANT	29623	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2019

This Form is Open to Public Inspection.

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan
TT ELECTRONICS 401(K) RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 002

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
TT GROUP INDUSTRIES, INC.

D Employer Identification Number (EIN)
56-1536704

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: NATIONWIDE LIFE INSURANCE COMPANY

b Name of sponsor of entity listed in (a): NATIONWIDE LIFE INSURANCE COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
31-4156830-000	P	0

a Name of MTIA, CCT, PSA, or 103-12 IE: LARGE CP VALUE I1

b Name of sponsor of entity listed in (a): WILMINGTON TRUST, N.A.

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
38-4065329-426	C	4562927

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

a Plan name	
b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2019

This Form is Open to Public Inspection

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan
TT ELECTRONICS 401(K) RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
TT GROUP INDUSTRIES, INC.

D Employer Identification Number (EIN)
56-1536704

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	0
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	5857	0
(2) Participant contributions	1b(2)	15709	0
(3) Other	1b(3)	664	9
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	118610	77669
(2) U.S. Government securities	1c(2)	0	0
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	0	0
(B) All other	1c(3)(B)	0	0
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	0	0
(B) Common	1c(4)(B)	175490	0
(5) Partnership/joint venture interests	1c(5)	0	0
(6) Real estate (other than employer real property)	1c(6)	0	0
(7) Loans (other than to participants)	1c(7)	0	0
(8) Participant loans	1c(8)	757183	560214
(9) Value of interest in common/collective trusts	1c(9)	0	4562927
(10) Value of interest in pooled separate accounts	1c(10)	37493545	0
(11) Value of interest in master trust investment accounts	1c(11)	0	0
(12) Value of interest in 103-12 investment entities	1c(12)	0	0
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	0	38942203
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	19628799	15128015
(15) Other	1c(15)	0	0

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)	0	164521
	(2) Employer real property	1d(2)	0	0
e	Buildings and other property used in plan operation	1e	0	0
f	Total assets (add all amounts in lines 1a through 1e)	1f	58195857	59435558
	Liabilities			
g	Benefit claims payable	1g	0	0
h	Operating payables	1h	0	0
i	Acquisition indebtedness	1i	0	0
j	Other liabilities	1j	0	0
k	Total liabilities (add all amounts in lines 1g through1j)	1k	0	0
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	58195857	59435558

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	596194	
	(B) Participants	2a(1)(B)	1989248	
	(C) Others (including rollovers)	2a(1)(C)	820742	
	(2) Noncash contributions	2a(2)	0	
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		3406184
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	1840	
	(B) U.S. Government securities	2b(1)(B)	0	
	(C) Corporate debt instruments	2b(1)(C)	0	
	(D) Loans (other than to participants)	2b(1)(D)	0	
	(E) Participant loans	2b(1)(E)	34709	
	(F) Other	2b(1)(F)	107941	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		144490
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)	0	
	(B) Common stock	2b(2)(B)	3529	
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	1471404	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		1474933
	(3) Rents	2b(3)		0
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	52029	
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)	58306	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		-6277
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)	0	
	(B) Other	2b(5)(B)	12504	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		12504

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		517060
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		6342128
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		2283853
c Other income	2c		453442
d Total income. Add all **income** amounts in column (b) and enter total	2d		14628317
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	13278849	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		13278849
f Corrective distributions (see instructions)	2f		0
g Certain deemed distributions of participant loans (see instructions)	2g		26352
h Interest expense	2h		0
i Administrative expenses: (1) Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	0	
(3) Investment advisory and management fees	2i(3)	707	
(4) Other	2i(4)	82708	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		83415
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		13388616
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		1239701
l Transfers of assets:			
(1) To this plan	2l(1)		0
(2) From this plan	2l(2)		0

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unmodified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: CORNWELL JACKSON, PLLC (2) EIN: 27-4461251

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		1000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m	X		
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n	X		

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ..... ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year_____. (See instructions.)

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2019

This Form is Open to Public Inspection.

For calendar plan year 2019 or fiscal plan year beginning 01/01/2019 and ending 12/31/2019

A Name of plan
TT ELECTRONICS 401(K) RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
TT GROUP INDUSTRIES, INC.

D Employer Identification Number (EIN)
56-1536704

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1**

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 04-6568107 31-4156830

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 a Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

20 **PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation_____

SUMMARY OF NET TRUST ASSETS

Total Plan Assets as of 12/31/2019

Fund Name	Share Balance 12/31/2019	Historical Cost	Price	Total Market Value
LINCOLN STABLE VALUE		$15,128,023.72		
LINCOLN LIFE (403B)		$0.00		$15,128,014.71
ACCRUED INCOME		$0.00		$9.01
LARGE CP VALUE I1	386,361.288	$4,060,572.70	$11.81	$4,562,926.81
GS SM CP VAL INS R6	5,947.791	$313,778.46	$55.86	$332,243.61
MFS LIFETIME 2025 R6	90,141.796	$1,180,018.66	$13.52	$1,218,717.08
MFS LIFETIME 2035 R6	110,123.090	$1,665,623.77	$15.70	$1,728,932.51
MFS LIFETIME 2045 R6	18,170.939	$281,253.39	$16.32	$296,549.72
MFS LIFETIME 2055 R6	4,259.787	$68,238.77	$16.81	$71,607.02
MFS LIFETIME 2060 R6	1,772.896	$22,158.50	$13.07	$23,171.75
AB LG CAP GRTH Z	119,466.896	$6,879,695.82	$63.01	$7,527,609.12
MFS LIFETIME INC R6	33,909.718	$421,144.10	$12.78	$433,366.20
MFS LIFETIME 2020 R6	65,601.140	$857,517.59	$13.19	$865,279.04
MFS LIFETIME 2030 R6	38,058.454	$595,762.45	$16.04	$610,457.60
MFS LIFETIME 2040 R6	61,951.187	$1,033,566.90	$17.08	$1,058,126.27
MFS LIFETIME 2050 R6	8,256.134	$155,537.03	$19.59	$161,737.67
I O INTL DVSFD R6	75,157.658	$1,362,964.72	$19.19	$1,442,275.46
AM CENT MD CP VAL R6	44,087.286	$701,268.29	$17.09	$753,451.72
AF BALANCED R6	94,810.138	$2,580,796.50	$28.51	$2,703,037.03
TRP NEW HORIZONS I	80,736.023	$4,846,931.28	$59.52	$4,805,408.09
EMERSON ELECTRIC CO		$0.00		
EMERSON ELECTRIC CO	2,144.303	$152,058.77	$76.26	$163,524.55
STOCK PURCHASE ACCOUNT*		$0.00		$996.74
FID GOVT MMKT	77,669.300	$77,669.30	$1.00	$77,669.30
FID TOTAL BOND	178,429.431	$1,907,133.16	$10.91	$1,946,665.09
FID US BOND IDX	15,068.228	$178,704.08	$11.91	$179,462.60
FID 500 INDEX	86,505.574	$8,826,133.71	$112.02	$9,690,354.40
FID SM CAP IDX	50,036.064	$1,011,240.53	$21.03	$1,052,258.43
FID INTL INDEX	24,285.146	$998,073.33	$42.96	$1,043,289.87
FID EXTD MKT IDX	15,335.727	$954,238.71	$65.09	$998,202.47
OUTSTANDING LOAN BALANCE				$560,214.38
			NET ASSETS 12/31/2019:	$59,435,558.25

Assets are presented at fair value with the exception to fully benefit responsive investment contracts which are presented at contract value as in previous years. See Chapter 7 of the Fidelity Auditor's Guide for financial statement presentation and disclosure information.

* The stock purchase account may consist of the stock fund and/or the Fidelity Cash Reserves is a money market fund that is used as a plan-level account in the recordkeeping of the purchase and sales of fractional shares of employer stock. Participants cannot invest their account balances in this fund. Please refer to the Auditor's Guide for information about the employer stock purchase account.

FIDELITY MANAGEMENT TRUST COMPANY
TRUSTEE CERTIFICATION STATEMENT

The following reports were provided through access to Fidelity's Plan Sponsor Webstation[SM] or other secure media: Summary of Net Trust Assets(SONTA), Summary of Plan Operations (SOPO), 5500 Worksheet, 5% Reportable Transactions Report and the Trial Balance. The SONTA is a valuation of the plan assets for the plan year ending 12/31/2019 and the SOPO is a summary of the financial activity for which Fidelity Management Trust Company ("FMTC") serves as trustee for TT Electronics 401(k) Retirement Plan ("Plan") for the plan year that the assets were recordkept by Fidelity Investments. These reports are subject to the terms and conditions of the Plan Document and Trust Agreement. FMTC certifies that the information in the reports provided is complete and accurate. This statement is being furnished pursuant to 29 CFR 2520.103-5(d).



By:

Jean M. Moloney

Title: SVP, Testing and Reporting Services , Authorized Signatory for FMTC

Date: 03/24/2020

Note 1: The above certification may enable the Plan to qualify for the "limited scope" audit pursuant to 29 CFR 2520.103-8. FMTC is a Massachusetts-chartered trust company regulated, supervised and subject to periodic examinations by a state agency. The certification only applies to the period during the plan year that Plan assets were recordkept by Fidelity Workplace Services LLC, for which FMTC served as trustee. Please consult with the Plan's independent public accountants for further information.

Note 2: Please contact the plan's recordkeeping representative if additional plan documentation is required.

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Fidelity Management
Trust Company

245 Summer Street
Boston, MA 02210

TT ELECTRONICS 401(K) RETIREMENT PLAN

FINANCIAL STATEMENTS AND
SUPPLEMENTAL SCHEDULE
(WITH INDEPENDENT AUDITORS' REPORT THEREON)

DECEMBER 31, 2020 and 2019 and 2018

bakertilly

TT ELECTRONICS 401(K) RETIREMENT PLAN

TABLE OF CONTENTS

Page

INDEPENDENT AUDITORS' REPORT 1

FINANCIAL STATEMENTS:

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
DECEMBER 31, 2020, 2019, AND 2018 3

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE YEARS ENDED DECEMBER 31, 2020 AND 2019 4

NOTES TO FINANCIAL STATEMENTS 5

SUPPLEMENTAL SCHEDULES:

SCHEDULE H, LINE 4I – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
DECEMBER 31, 2020 15
DECEMBER 31, 2019 16

NOTE: SUPPLEMENTAL SCHEDULES, OTHER THAN THOSE LISTED ABOVE, ARE OMITTED BECAUSE OF THE ABSENCE OF CONDITIONS UNDER WHICH THEY ARE REQUIRED BY THE DEPARTMENT OF LABOR'S RULES AND REGULATIONS FOR REPORTING AND DISCLOSURE UNDER THE EMPLOYEE RETIREMENT INCOME SECURITY ACT OF 1974.

INDEPENDENT AUDITORS' REPORT

To the Plan Administrator and Participants of
TT Electronics 401(k) Retirement Plan:

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of TT Electronics 401(k) Retirement Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2020 and 2019, and the related statements of changes in net assets available for benefits for the years ended December 31, 2020 and 2019, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America ("U.S. GAAP"); this includes the design, implementation, and maintenance of internal controls relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's ("DOL") Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 ("ERISA"), the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 4, which was certified by Fidelity Management Trust Company and Nationwide Trust Company (collectively, the "Trustees" of the Plan), except for comparing such information with the related information included in the financial statements. We have been informed by the Plan Administrator that Fidelity Management Trust Company held the Plan's investment assets and executed investment transactions from May 2, 2019 through December 31, 2020, and Nationwide Trust Company held the Plan's investment assets for the period from January 1, 2019 through May 1, 2019. The Plan Administrator has obtained certifications from the Trustees as of December 31, 2020 and 2019, and for the years ended December 31, 2020 and 2019, that the information provided to the Plan Administrator by the Trustees is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on the 2020 and 2019 financial statements.

Other Matter – Supplemental Schedules

The supplemental schedules of Schedule H, Line 4i – Schedule of Assets (Held at End of Year) as of December 31, 2020 and 2019, are required by the DOL's Rules and Regulations for Reporting and Disclosure under ERISA and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on these supplemental schedules.

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Other Matter - 2018 Financial Statements

The financial statements of the Plan as of December 31, 2018, were audited by predecessor auditors. As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the ERISA, the Plan Administrator instructed the predecessor auditor not to perform, and they did not perform, any auditing procedures with respect to the information certified by Nationwide Trust Company of the Plan. Their report dated May 6, 2021, indicated that (a) because of the significance of the information that they did not audit, they were unable to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, they did not express an opinion on the financial statements and (b) the form and content of the information included in the financial statements other than that derived from the information certified by the Trustee, were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Report on Form and Content in Compliance With DOL Rules and Regulations – 2020 and 2019

The form and content of the information included in the 2020 and 2019 financial statements and supplemental schedules, other than that derived from the information certified by the Trustees, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Baker Tilly US, LLP

BAKER TILLY US, LLP
Plano, Texas
October 14, 2021

TT ELECTRONICS 401(K) RETIREMENT PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

DECEMBER 31, 2020, 2019, AND 2018

	2020	2019	2018
Assets			
Investments, at fair value:			
Mutual funds of registered investment companies	$ 48,447,224	$ 39,019,872	$ -
Common collective trust	3,669,498	4,562,927	-
Company common stock	178,580	164,521	223,078
Pooled separate accounts	-	-	37,612,155
Total investments, at fair value	52,295,302	43,747,320	37,835,233
Insurance company general account, at contract value:	14,292,243	15,128,024	19,095,288
Total investments	66,587,545	58,875,344	56,930,521
Receivables:			
Participant contributions	98,630	19,671	15,709
Company contributions	33,722	6,622	6,521
Total receivables	132,352	26,293	22,230
Notes receivable from participants	752,237	560,214	757,183
Total assets	67,472,134	59,461,851	57,709,934
Liabilities			
Excess contributions payable	-	-	25,216
Total liabilities	-	-	25,216
Net Assets Available for Benefits	$ 67,472,134	$ 59,461,851	$ 57,684,718

The accompanying notes are an integral part of these financial statements.

TT ELECTRONICS 401(K) RETIREMENT PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

FOR THE YEARS ENDED DECEMBER 31, 2020 AND 2019

	2020	2019
Additions to net assets available for benefits attributed to:		
Investment income:		
Net appreciation in fair value of investments	$ 6,688,535	$ 9,776,167
Interest and dividends	2,183,966	1,748,637
Total investment income	8,872,501	11,524,804
Interest on notes receivable from participants	47,949	23,302
Contributions:		
Participants	2,742,716	2,034,135
Company, net	931,481	602,152
Rollovers	2,345,476	820,742
Total contributions	6,019,673	3,457,029
Total additions	14,940,123	15,005,135
Deductions from net assets available for benefits attributed to:		
Benefits paid directly to participants	12,275,490	13,144,587
Administrative fees, net	172,880	83,415
Total deductions	12,448,370	13,228,002
Net increase in net assets available for benefits	2,491,753	1,777,133
Transfer In (Note 1)	5,518,530	-
Net assets available for benefits:		
Beginning of year	59,461,851	57,684,718
End of year	$ 67,472,134	$ 59,461,851

The accompanying notes are an integral part of these financial statements.

1 – DESCRIPTION OF PLAN

GENERAL

TT Electronics 401(k) Retirement Plan (the "Plan"), is a defined contribution plan and is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended ("ERISA"). The Plan was established on October 1, 1987, and subsequently amended effective May 1, 2019, covering substantially all employees, except leased employees or nonresident aliens, of TT Group Industries, Inc. and related employers (the "Company" or "Plan Administrator"). Nationwide Trust Company served as the Trustee of the Plan from January 1, 2019 through May 1, 2019. On May 2, 2019, Fidelity Management Trust Company, in conjunction with Fidelity Workplace Services, LLC (collectively, known as "Fidelity"), became the Trustee (collectively, the "Trustees") and record-keeper of the Plan. The following description provides only general information. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

PLAN MERGER

During 2020, the Company approved a resolution to merge the Precision, Inc. 401(k) Savings Plan into the Plan. Assets of the affiliated plan, totaling $5,518,530, were transferred to the Plan on January 1, 2020, and such balances are 100% vested.

ELIGIBILITY AND PARTICIPANT ACCOUNTS

Employees who are not covered by a collective bargaining agreement become eligible to participate in the Plan upon attaining the age of eighteen, as defined by the Plan document. Employees who are covered by collective bargaining agreements can participate in the Plan in accordance with the participant guidelines outlined in their respective collective bargaining agreements. Participants become eligible for discretionary Company contributions after meeting eligibility requirements, as defined by the Plan document. Effective July 1, 2019, employees are automatically enrolled in the Plan at a 3% deferral rate on the first date of each month following the date on which eligibility requirements are satisfied. Participants' deferrals are automatically increased annually by 1% to a maximum of 10% of compensation. Subject to certain limitations on annual additions, each participant's account is credited with employee elective deferrals, Company matching contributions, an allocation of Plan earnings or losses, and charged with certain administrative expenses related to loan and distribution fees if requested by participants. The allocations are based on participant account balances, as defined.

CONTRIBUTIONS

The Plan is funded through employee pre-tax elective deferrals, Roth deferrals, rollover contributions, and Company contributions. A participant may elect to contribute a portion of his or her pretax or after-tax (Roth) compensation from 1% to 90%, subject to certain maximum limitations imposed by the Internal Revenue Code ("IRC") ($19,500 and $19,000 for 2020 and 2019, respectively). Employees who are eligible to make elective deferrals under the Plan and who have attained the age of fifty before the close of the Plan year are also eligible to make catch-up contributions subject to the maximum limitation imposed by the IRC ($6,500 and $6,000 for 2020 and 2019, respectively). Rollover contributions representing distributions from other qualified defined benefit or defined contribution plans are permitted and may be made prior to meeting the eligibility requirements for participation in the Plan.

The Plan allows for Company discretionary or qualified non-elective matching contributions equal to a uniform percentage to eligible participants not covered by a collective bargaining agreement. During the years ended December 31, 2020 and 2019, discretionary matching contributions were made in an amount equal to 50% of the participant's salary deferrals that do not exceed 6% of the participant's total compensation.

For employees covered by a collective bargaining agreement with AFL-CIO-CLC and its Local No. 86029, discretionary matching contributions were made in an amount equal to 100% of the participant's salary deferrals that do not exceed 1% of the participant's total compensation, plus 50% of salary deferrals between 2% and 6% of the participant's total compensation for the years ended December 31, 2020 and 2019.

1 – DESCRIPTION OF PLAN, CONTINUED

INVESTMENT OPTIONS

The Plan provides for the direction of both employee contributions and Company contributions to be invested by the participant among a variety of investment options, including mutual funds of registered investment companies, common stock, common collective trust, and a guaranteed investment contract, in accordance with participant investment elections and the provisions of the trust agreement. Participants should refer to the Plan website for additional fund information.

BENEFITS PAID TO PARTICIPANTS

Upon normal retirement age, death, disability, or termination, a participant's benefits are payable in a lump-sum amount equal to 100% of the participant's vested account balance. The Plan allows for in-service distributions if a participant reaches age 59 ½ and hardship withdrawals from participant account balances related to elective deferral contributions. Participants with vested account balances greater than $5,000 may receive a distribution as soon as administratively feasible following termination of employment or may defer their distributions to a later date. Participants with account balances less than $5,000, upon termination, may be rolled over to an individual retirement account or paid directly to the participant by the Company.

VESTING

Participants are immediately and fully vested in their contributions, qualified matching contributions, and qualified non-elective contributions, plus actual earnings thereon.

Participants not covered by a collective bargaining agreement become fully vested in the Company's contributions after three years of service based on the following table:

Years of Service	Vested Percentage
1 years	50%
2 years	75%
3 years	100%

Participants covered by a collective bargaining agreement with AFL-CIO-CLC and its Local No. 86029 become fully vested in the Company's contributions after five years of service based on the following table:

Years of Service	Vested Percentage
1 years	0%
2 years	40%
3 years	60%
4 years	80%
5 years	100%

Participants who terminated prior to May 1, 2019, in the Precision, Inc. 401(k) Savings and Retirement Plan and transferred into the Plan on January 1, 2020, become fully vested in the Company's contributions after five years of service based on the following table:

Years of Service	Vested Percentage
1 years	20%
2 years	40%
3 years	60%
4 years	80%
5 years	100%

1 – DESCRIPTION OF PLAN, CONTINUED

VESTING, CONTINUED

Participants entitled to assets held in the prior employer qualified automatic contribution become fully vested in the Company's contributions after two years of service based on the following table:

Years of Service	Vested Percentage
1 years	0%
2 years	20%

FORFEITURES

Forfeitures of terminated participants' nonvested accounts are used to pay administrative expenses or reduce Company matching contributions. At December 31, 2020, 2019, and 2018, the amounts of forfeitures were $118,572, $74,822 and $78, respectively. These amounts are reported as a component of net assets available for benefits. During the years ended December 31, 2020 and 2019, $6,494 and $0, respectively, of forfeitures were used to pay administrative expenses, and $1,858 and $132, respectively, were used to reduce Company contributions.

CORONAVIRUS AID RELIEF AND ECONOMIC SECURITY ACT OF 2020

During 2020, Plan management approved a resolution to adopt certain provisions of the Coronavirus Aid Relief and Economic Security Act ("CARES Act"). The Plan elected the distribution provision under the CARES Act. The maximum dollar amount that can be requested per participant is $100,000 without a 10% early withdrawal penalty. These CARES Act distributions are available for repayment through the last day of the Plan year that begins in 2022. For eligible CARES Act distribution requests, all vested contribution sources are made available, and restrictions based on age or length of participation in the Plan do not apply. CARES Act distributions may be repaid to the Plan within three years; income taxes may be spread ratably over three years.

The Plan elected to temporarily suspend required minimum distributions ("RMDs") under the CARES Act. This provision provides relief to individuals who would otherwise be required to take RMDs from retirement accounts due to economic slowdown due to COVID-19. This provision applies to participants who have reached the age of 70 ½ during 2019 but who have not yet taken RMDs in 2020. In addition, the Plan also elected to suspend RMDs for participants who reach age 72 in 2020 following the Setting Every Community Up for Retirement Enhancement Act ("SECURE Act"). If a participant has received RMDs in 2020, they may be able to recontribute these amounts back into the Plan in the form of direct rollovers.

The Plan also adopted certain loan provisions of the CARES Act. Through September 22, 2020, participants were eligible to borrow up to $100,000 from their vested account balance, reduced by the highest balance of any notes outstanding during the previous twelve months. Repayments of existing notes receivable from participants can be deferred until December 31, 2020. Notes will be re-amortized to include accrued interest during the deferred payment period, and repayments began in January 2021.

NOTES RECEIVABLE FROM PARTICIPANTS

Notes receivable from participants are limited to a minimum of $1,000 up to a maximum of the lesser of $50,000 or 50% of their vested balance, subject to certain restrictions as defined by the Internal Revenue Service ("IRS"). Participants are eligible to have up to 2 notes receivable outstanding at any given time. The notes are secured by the vested balance in the participant's account and bear interest at a fixed rate. The Plan Administrator determines rates based on comparable rates charged by lending institutions in the area. Note terms range from 1 to 5 years, with periodic repayment of principal and interest deducted through payroll withholdings. Notes receivable from participants, as of December 31, 2020, have interest rates ranging from 4.25% to 7.75% and maturities from January 2021 through August 2027. Notes receivable from participants, as of December 31, 2019, have interest rates ranging from 4.25% to 7.75% and maturities from January 2020 through August 2027. Notes receivable from participants, as of December 31, 2018, have interest rates ranging from 4.25% to 7.75% and maturities from January 2019 through August 2027.

1 – DESCRIPTION OF PLAN, CONTINUED

ADMINISTRATIVE EXPENSES

Certain expenses of maintaining the Plan are paid by the Plan, unless otherwise paid by the Company. Expenses that are paid by the Company are excluded from these financial statements. Fees related to the administration of distributions and notes receivables from participants are charged directly to the participant's account and are included in administrative expenses. Investment related expenses are included in net appreciation (depreciation) of fair value of investments.

PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right to terminate the Plan at any time subject to the provisions of ERISA. In the event the Plan is terminated, each of the participants will have a non-forfeitable 100% vested interest in his or her account balance, including all Company contributions, subject to payment of liquidation costs and expenses.

2 – SUMMARY OF ACCOUNTING POLICIES

BASIS OF ACCOUNTING

The financial statements have been prepared under the accrual method in accordance with United States generally accepted accounting principles ("U.S. GAAP").

ESTIMATES AND ASSUMPTIONS

The preparation of financial statements in conformity with U.S. GAAP requires the Plan's management to make estimates and assumptions that affect certain reported amounts of assets and liabilities, changes therein, and associated disclosures. Accordingly, actual results may vary from the estimates used in preparing the accompanying financial statements.

INVESTMENT VALUATION AND INCOME RECOGNITION

Investments are reported at fair value, except for the fully benefit-responsive guaranteed investment contract, which is valued at contract value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date (See "Note 3 – Fair Value Measurement"). Contract value is the relevant measure attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the provision of the Plan (See "Note 5 – Insurance Company General Account"). Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. The income or loss earned on participant accounts is allocated on a daily basis using the previous day's ending account balance. Net appreciation (depreciation) includes the Plan's gains and losses on the investments bought and sold, as well as held during the year.

BENEFITS

Benefits are recorded when paid.

NOTES RECEIVABLE FROM PARTICIPANTS

Notes receivable from participants are measured at their unpaid principal balances plus any accrued unpaid interest. Delinquent notes receivable from participants are reclassified as distributions based upon terms of the plan document or note receivable policy of the Plan. Notes receivable from participants are valued at amortized cost which approximates fair value.

RECLASSIFICATION

Certain prior year amounts have been reclassified for consistency with the current year presentation.

2 – SUMMARY OF ACCOUNTING POLICIES, CONTINUED

RECENT ACCOUNTING PRONOUNCEMENTS

In August 2018, the Financial Accounting Standards Board ("FASB") issued Accounting Standards Update ("ASU") No. 2018-13, "*Disclosure Framework – Changes to the Disclosure Requirements for Fair Value Measurement*". ASU No. 2018-13 modifies the disclosure requirements for fair value measurements in Topic 820, Fair Value Measurement. The amendments are based on the concepts in the FASB Concepts Statement, "*Conceptual Framework for Financial Reporting—Chapter 8: Notes to Financial Statements*", which the Board finalized on August 28, 2018. ASU No. 2018-13 is effective for fiscal years beginning after December 15, 2019. The Plan's adoption of this pronouncement did not have a material impact to the Plan.

3 – FAIR VALUE MEASUREMENTS

INVESTMENT VALUATION AND LEVELING

FASB Accounting Standards Codification ("ASC") Topic 820 for Fair Value Measurements and Disclosures provides the framework for measuring and reporting the fair value of investments. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurement) and the lowest priority to unobservable inputs (Level 3 measurements).

The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

Level 1 Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets.

Level 2 Significant observable inputs other than quoted prices in active markets for which inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in inactive markets;
- Inputs other than quoted prices that are observable for the asset or liability;
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

In establishing a fair value hierarchy for the Plan's investments in accordance with ASC 820, the following methodologies were used for each type of investment:

Mutual funds of registered investment companies: Valued at the net asset value ("NAV") or quoted market prices of shares held by the Plan at year end.

Company common stock: Valued at the closing price reported on the active market on which the individual securities are traded. The Plan investments include shares of Company common stock, which were frozen to future contributions effective January 2000.

3 – FAIR VALUE MEASUREMENTS, CONTINUED

INVESTMENT VALUATION AND LEVELING, CONTINUED

Pooled separate accounts and common collective trust: Valued at NAV of shares held by the Plan at year end as determined by the Trustee. The NAV is used to estimate fair value. The NAV is based on the fair value of the underlying investments held by the fund less its liabilities. This NAV is not used when it is determined to be probably that the fund will sell the investment for an amount different than the reported NAV. Participant transactions (purchases and sales) may occur daily. Were the Plan to initiate a full redemption of the common collective trusts or pooled separate accounts, the Trustee reserve the right to temporarily delay withdrawal from the Plan in order to ensure that securities liquidations will be carried out in an orderly business manner. There were no unfunded commitments on these accounts at December 31, 2020, 2019 and 2018.

The methods described above may produce a fair value calculation that may not be indicative of net realize value or reflective of future fair values. The Plan believes its valuation methods are appropriate and consistent with other market participants; even so, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, within the fair value hierarchy, the Plan's investments at fair value as of December 31, 2020:

	Level 1	Level 2	Level 3	Total
Mutual funds of registered investment companies, at fair value	$ 48,447,224	$ -	$ -	$ 48,447,224
Common collective trust	-	3,669,498	-	3,669,498
Company common stock	178,580	-	-	178,580
Total investments at fair value	$ 48,625,804	$ 3,669,498	$ -	$ 52,295,302

The following table sets forth by level, within the fair value hierarchy, the Plan's investments at fair value as of December 31, 2019:

	Level 1	Level 2	Level 3	Total
Mutual funds of registered investment companies, at fair value	$ 39,019,872	$ -	$ -	$ 39,019,872
Common collective trust	-	4,562,927	-	4,562,927
Company common stock	164,521	-	-	164,521
Total investments at fair value	$ 39,184,393	$ 4,562,927	$ -	$ 43,747,320

The following table sets forth by level, within the fair value hierarchy, the Plan's investments at fair value as of December 31, 2018:

	Level 1	Level 2	Level 3	Total
Company common stock	$ 223,078	$ -	$ -	$ 223,078
Pooled separate accounts	-	37,612,155	-	37,612,155
Total investments at fair value	$ 223,078	$ 37,612,155	$ -	$ 37,835,233

4 – FINANCIAL INFORMATION CERTIFIED BY THE PLAN'S TRUSTEES (UNAUDITED)

The Plan Administrator elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's ("DOL") Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, Fidelity Management Trust Company and Nationwide Trust Company, the Trustees, of the Plan, have certified to the completeness and accuracy of all investments reported in the accompanying Statements of Net Assets Available for Benefits as of December 31, 2020, 2019, and 2018, and the supplemental Schedule H, Line 4(i) – Schedule of Assets (Held at End of Year) as of December 31, 2020 and 2019, and the related investment activity reported in the Statements of Changes in Net Assets Available for Benefits for the years ended December 31, 2020 and 2019.

5 – INSURANCE COMPANY GENERAL ACCOUNT

The Plan offers a Stable Value Fund investment option to participants. Prior to May 1, 2019, the Plan invested in the Nationwide Life Insurance Company Fixed Insurance Contract. Effective as of such date, the Nationwide Life Insurance Company Fixed Insurance Contract was removed from the Plan's investment options and any remaining balance was transferred into the Lincoln Stable Value Fund.

The Lincoln Stable Value Fund is a fixed annuity contract issued by an insurance company that provides a guarantee of principal and interest backed by a general account of the issuing company. The general account consists of all the assets of the insurance company, including fixed income, equities, real estate, cash and others.

The issuing insurance company is contractually obligated to repay principal and interest at a specified rate to the Plan. There are no reserves against contract value for credit risk of the contract issuer or otherwise. Participants invested in the Stable Value Fund have a beneficial interest in the fixed annuity contract. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

Except for premature termination of the contract by the Plan or plan termination, the insurance company may not cause the contract to be terminated at an amount other than contract value. The Plan Sponsor has not expressed any intention to take either of these actions.

The Lincoln Stable Value Fund is deemed to qualify as a fully benefit-responsive investment contract; therefore, contract value is the relevant measurement attribute.

Certain events might limit the ability of the Plan to transact at contract value with the contract issuer. These events may be different under each contract. Examples of such events include the following:

- The Plan's failure to qualify under Section 401(a) of the IRC or the failure of the trust to be tax-exempt under Section 501(a) of the IRC.
- Premature termination of the contract.
- Plan terminations or mergers.
- Changes to the Plan's prohibition of competing investment options
- Bankruptcy of the Plan sponsor or other Plan sponsor event (for example, divestitures or spinoffs of a subsidiary) that significantly affect the Plan's normal operations.

No events are probable of occurring that might limit the ability of the Plan to transact at contract value with the contract issuers or that also be limited the ability of the Plan to transact at contract value with participants.

5 – INSURANCE COMPANY GENERAL ACCOUNT, CONTINUED

In addition, certain events allow the issuers to terminate the contracts with the Plan and settle at an amount different from contract value. Those events may be different under each contract. Examples of such events include the following:

- An uncured violation of the Plan's investment guidelines
- A breach of material obligation under the contract
- A material misrepresentation
- A material amendment to the agreements without the consent of the issuer.

6 – TAX STATUS OF THE PLAN

Through May 1, 2019, the Plan is based on a volume submitter plan sponsored by National Benefits Services LLC, which received a favorable opinion letter dated March 31, 2014, from the IRS stating that the volume submitter plan constitutes a qualified plan under Section 401 of the IRC.

Effective May 2, 2019, the Plan is based on a non-standard pre-approved profit sharing plan with CODA developed by FMR LLC, which received a favorable opinion letter dated March 31, 2014, from the IRS stating that the profit sharing plan constitutes a qualified plan under Section 401 of the IRC. The Plan Administrator believes the Plan, as adopted and amended, is operating within the guidelines of the IRC, and continues to be tax exempt. Accordingly, no provision for federal income taxes has been provided in the accompanying financial statements.

U.S. GAAP requires the Plan Administrator to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the organization has taken an uncertain position that more likely than not would not be sustained upon examination by the DOL or IRS. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

7 – PARTY-IN-INTEREST TRANSACTIONS

The Plan investments include shares of Company common stock. Certain Plan investments are managed by the Trustee or an affiliate of the Trustee; therefore, these transactions qualify as party-in-interest transactions. The Plan issues notes receivable to participants, which are secured by the participant's account balances. These transactions also qualify as party-in-interest transactions. Certain administrative functions of the Plan are performed by an officer or employees of the Company. No such officer or employee receives compensation from the Plan.

8 – RISKS AND UNCERTAINTIES

The Plan provides for various investment options in mutual funds of registered investment companies, Company stock, common collective trusts, and a guaranteed investment contract. Investment securities are exposed to various risks, such as interest rate, market, concentration, and credit risk. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the value of the investments will occur in the near term and that such changes could materially affect participant's account balances and the amounts reported in the Statements of Net Assets Available for Benefits.

9 – OPERATIONAL ERROR

During the Plan year ended December 31, 2018, certain participants' compensation was improperly excluded from the calculation of eligible compensation. On April 26, 2019, the Company funded $104,814 to the Plan to correct this operational error.

During the Plan year ended December 31, 2016, one participant had two withdrawals that were erroneously distributed to an unrelated recipient resulting in a loss of retirement funds. On April 30, 2019, the Company paid $107,000 to the participant to correct this operational error.

10 – EXCESS CONTRIBUTIONS PAYABLE

The Plan failed the discrimination testing for the year ended December 31, 2018, resulting in excess contributions payable of $25,516. This is recorded as a liability in the accompanying Statements of Net Assets Available for Benefits as of December 31, 2018.

11 – RECONCILIATION TO THE 2020 FORM 5500, SCHEDULE H

The following is a reconciliation of the net assets available for benefits per the financial statements to the Form 5500 at December 31, 2020 and 2019 and 2018:

	2020	2019	2018
Net assets available for benefits per financial statements	$ 67,472,134	$ 59,461,851	$ 57,684,718
Less: participant contributions receivable	(98,630)	(19,671)	-
Less: Company contributions receivable	(33,722)	(6,622)	-
Add: excess contributions payable	-	-	25,216
Net assets available for benefits per the Form 5500	$ 67,339,782	$ 59,435,558	$ 57,709,934

The following is a reconciliation of the net increase in net assets available for benefits per the financial statements to the Form 5500 for the years ended December 31, 2020 and 2019:

	2020	2019
Net increase in net assets available for benefits per financial statements	$ 2,491,753	$ 1,777,133
Less: current year participant contributions receivable	(98,630)	(19,671)
Less: current year Company contributions receivable	(33,722)	(6,622)
Less: prior year excess contributions payable	-	(25,216)
Add: prior year participant contributions receivable	19,671	-
Add: prior year Company contributions receivable	6,622	-
Net increase in net assets available for benefits per Form 5500	$ 2,385,694	$ 1,725,624

12 – SUBSEQUENT EVENTS

In accordance with FASB ASC 855, "*Subsequent Events*", the Plan has evaluated events or transactions occurring after December 31, 2020, the Statements of Net Assets Available for Benefits date, through October 14, 2021, the date the financial statements were ready to be issued, and determined there were no events or transactions that would impact our financial statements as of and for the year ended December 31, 2020, except as follows:

Effective January 1, 2021, contractors and temporary employees are excluded from participating in the Plan.

Effective May 1, 2021, assets of the affiliated plan Tortotel Products, Inc. 401(k) Savings Plan, totaling $7,555,385 were transferred to the Plan, and in-service hardship disability withdrawals from all sources are permitted.

Effective August 30, 2021, the Plan was amended and restated due to a new favorable opinion letter from the IRS.

SUPPLEMENTAL SCHEDULES

TT ELECTRONICS 401(K) RETIREMENT PLAN

EMPLOYER ID NUMBER: 56-1536704, PLAN NUMBER 002

SCHEDULE H, LINE 4I – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

DECEMBER 31, 2020

(a)	(b) Identity of issuer, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(e) Current value
	Mutual funds of registered investment companies:		
*	Fidelity Investments	Fidelity 500 Index Fund	$ 10,005,573
	AB Investments	AB Large Cap Growth Fund Class Z	8,058,337
	T. Rowe Price	T. Rowe Price New Horizons Fund	6,001,356
	American Funds	American Funds American Balanced Fund Class R-6	2,945,926
	MFS Lifetime	MFS Lifetime 2035 Fund Class R6	2,371,154
*	Fidelity Investments	Fidelity Total Bond Fund	2,266,589
	MFS Lifetime	MFS Lifetime 2030 Fund Class R6	2,074,868
	MFS Lifetime	MFS Lifetime 2025 Fund Class R6	1,928,500
	Invesco Investments	Invesco International Diversified Fund Class R6	1,794,117
	MFS Lifetime	MFS Lifetime 2020 Fund Class R6	1,270,997
	MFS Lifetime	MFS Lifetime 2040 Fund Class R6	1,233,902
	MFS Lifetime	MFS Lifetime Income Fund R6	1,225,833
*	Fidelity Investments	Fidelity U.S. Bond Index Fund	1,069,365
*	Fidelity Investments	Fidelity Small Cap Index Fund	1,064,285
*	Fidelity Investments	Fidelity International Index Fund	1,044,778
*	Fidelity Investments	Fidelity Government Money Market Fund	934,907
	American Funds	American Century Mid Cap Value Fund R6 Class	827,908
	MFS Lifetime	MFS Lifetime 2045 Fund Class R6	677,036
	Goldman Sachs	Goldman Sachs Small Cap Value R6	530,239
	MFS Lifetime	MFS Lifetime 2050 Fund Class R6	443,304
	MFS Lifetime	MFS Lifetime 2055 Fund Class R6	441,232
	MFS Lifetime	MFS Lifetime 2060 Fund Class R6	158,240
*	Fidelity Investments	Fidelity Extended Market Index Fund	78,778
	Total mutual funds of registered investment companies		**48,447,224**
	Common collective trust:		
	Wilmington Trust, N.A.	Large Cap Value Fund Fee Class I1	**3,669,498**
	Company stock:		
	Emerson Electric Co.	Emerson Electric Co Stock Purchase Account	**178,580**
	Insurance company general account:		
	Lincoln National Life Insurance Company	Lincoln Stable Value Fund	**14,292,243**
	Participant Loans:		
*	Plan participants	Participant loans with interest rates from 4.25% to 7.75% and maturity dates of January 2021 through August 2027.	**752,237**
	Total		**$ 67,339,782**

* A party-in-interest as defined by ERISA.

** Column (d) cost information omitted for participant-directed investments.

TT ELECTRONICS 401(K) RETIREMENT PLAN
EMPLOYER ID NUMBER: 56-1536704, PLAN NUMBER 002
SCHEDULE H, LINE 4I – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
DECEMBER 31, 2019

(a)	(b) Identity of issuer, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(e) Current value
	Mutual funds of registered investment companies:		
*	Fidelity Investments	Fidelity 500 Index Fund	$ 9,690,354
	AB Investments	AB Large Cap Growth Fund Class Z	7,527,609
	T. Rowe Price	T. Rowe Price New Horizons Fund	4,805,408
	American Funds	American Funds American Balanced Fund Class R-6	2,703,037
*	Fidelity Investments	Fidelity Total Bond Fund	1,946,665
	MFS Lifetime	MFS Lifetime 2035 Fund Class R6	1,728,933
	Invesco Investments	Invesco International Diversified Fund Class R6	1,442,275
	MFS Lifetime	MFS Lifetime 2025 Fund Class R6	1,218,717
	MFS Lifetime	MFS Lifetime 2040 Fund Class R6	1,058,126
*	Fidelity Investments	Fidelity Small Cap Index Fund	1,052,258
*	Fidelity Investments	Fidelity International Index Fund	1,043,290
*	Fidelity Investments	Fidelity Extended Market Index Fund	998,202
	MFS Lifetime	MFS Lifetime 2020 Fund Class R6	865,279
	American Funds	American Century Mid Cap Value Fund R6 Class	753,452
	MFS Lifetime	MFS Lifetime 2030 Fund Class R6	610,458
	MFS Lifetime	MFS Lifetime Income Fund R6	433,366
	Goldman Sachs	Goldman Sachs Small Cap Value R6	332,244
	MFS Lifetime	MFS Lifetime 2045 Fund Class R6	296,550
*	Fidelity Investments	Fidelity U.S. Bond Index Fund	179,463
	MFS Lifetime	MFS Lifetime 2050 Fund Class R6	161,738
*	Fidelity Investments	Fidelity Government Money Market Fund	77,669
	MFS Lifetime	MFS Lifetime 2055 Fund Class R6	71,607
	MFS Lifetime	MFS Lifetime 2060 Fund Class R6	23,172
	Total mutual funds of registered investment companies		**39,019,872**
	Common collective trust:		
	Wilmington Trust, N.A.	Large Cap Value Fund Fee Class I1	**4,562,927**
	Company stock:		
	Emerson Electric Co.	Emerson Electric Co Stock Purchase Account	**164,521**
	Insurance company general account:		
	Lincoln National Life Insurance Company	Lincoln Stable Value Fund	**15,128,024**
	Participant Loans:		
*	Plan participants	Participant loans with interest rates from 4.25% to 7.75% and maturity dates of January 2020 through August 2027.	**560,214**
	Total		**$ 59,435,558**

* A party-in-interest as defined by ERISA.
** Column (d) cost information omitted for participant-directed investments.

Optek Technology, Inc.
1645 Wallace Drive
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Carrollton, TX 75006
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10/14/2020

IRS & DOL

Ref: TT Group Industries, Inc. 56-1536704 Plan 002 Year End 12.31.2019

Requesting relief under the delinquent filer program.

TT Group Industries, Inc. (US) was affected by the impact of Covid-19 and I am seeking temporary relief on their behalf. I am diligently working with the Plan's independent accountant to complete the audit for the year ended 12/31/2019, and the Plan will file an amended filing in the coming weeks once the audited financial statements are issued.

I appreciate your attention and consideration during these unprecedented times.

Very truly yours,



Cheryl Douglas
TT Group, Compensation & Benefit Mgr., Americas
Cheryl.douglas@ttelectronics.com
469-909-7022

T R I A L B A L A N C E

TT ELECTRONICS 401(K) RETIREMENT PLAN

F O R T H E P E R I O D 05/01/2019 T O 12/31/2019

T R I A L B A L A N C E

TT ELECTRONICS 401(K) RETIREMENT PLAN

T A B L E O F C O N T E N T S

SECTION :

I.	MARKET VALUE REPORT	PAGE	2
II.	PLAN ACTIVITY SUMMARY	PAGE	3
III.	FUND TOTALS	PAGE	4
IV.	SUMMARY OF LOAN ACTIVITY	PAGE	30
V.	RECONCILIATION	PAGE	31

I. MARKET VALUE REPORT

FUND CODE	FUND NAME	SHARES 04/30/2019	SHARES 12/31/2019	PRICE 04/30/2019	PRICE 12/31/2019	MARKET VALUE 04/30/2019	MARKET VALUE 12/31/2019	MKT VALUE ALLOCATION
0458	FID GOVT MMKT	0.000	77,669.300	$1.00	$1.00	$0.00	$77,669.30	00.13%
0820	FID TOTAL BOND	0.000	178,429.431	$10.56	$10.91	$0.00	$1,946,665.11	03.31%
2326	FID US BOND IDX	0.000	15,068.228	$11.50	$11.91	$0.00	$179,462.60	00.30%
2328	FID 500 INDEX	0.000	86,505.574	$102.41	$112.02	$0.00	$9,690,354.40	16.46%
2358	FID SM CAP IDX	0.000	50,036.064	$20.42	$21.03	$0.00	$1,052,258.43	01.79%
2363	FID INTL INDEX	0.000	24,285.146	$41.08	$42.96	$0.00	$1,043,289.91	01.77%
2365	FID EXTD MKT IDX	0.000	15,335.727	$62.86	$65.09	$0.00	$998,202.50	01.70%
GAR7	LINCOLN STABLE VALUE	0.000	15,128,023.720	$1.00	$1.00	$0.00	$15,128,023.72	25.70%
ODOV	LARGE CP VALUE I1	0.000	386,361.288	$10.57	$11.81	$0.00	$4,562,926.86	07.75%
OE5A	GS SM CP VAL INS R6	0.000	5,947.791	$53.04	$55.86	$0.00	$332,243.62	00.56%
OI1A	MFS LIFETIME 2025 R6	0.000	90,141.796	$13.10	$13.52	$0.00	$1,218,717.08	02.07%
OI1B	MFS LIFETIME 2035 R6	0.000	110,123.090	$15.02	$15.70	$0.00	$1,728,932.50	02.94%
OI1C	MFS LIFETIME 2045 R6	0.000	18,170.939	$15.58	$16.32	$0.00	$296,549.73	00.50%
OI1D	MFS LIFETIME 2055 R6	0.000	4,259.787	$16.01	$16.81	$0.00	$71,607.02	00.12%
OI1L	MFS LIFETIME 2060 R6	0.000	1,772.896	$12.33	$13.07	$0.00	$23,171.76	00.04%
OICL	AB LG CAP GRTH Z	0.000	119,466.896	$57.87	$63.01	$0.00	$7,527,609.16	12.79%
OIY3	MFS LIFETIME INC R6	0.000	33,909.718	$12.43	$12.78	$0.00	$433,366.19	00.74%
OIY4	MFS LIFETIME 2020 R6	0.000	65,601.140	$13.05	$13.19	$0.00	$865,279.05	01.47%
OIY5	MFS LIFETIME 2030 R6	0.000	38,058.454	$15.68	$16.04	$0.00	$610,457.61	01.04%
OIY6	MFS LIFETIME 2040 R6	0.000	61,951.187	$16.61	$17.08	$0.00	$1,058,126.33	01.80%
OIY7	MFS LIFETIME 2050 R6	0.000	8,256.134	$18.76	$19.59	$0.00	$161,737.68	00.27%
OK5I	I O INTL DVSFD R6	0.000	75,157.658	$18.23	$19.19	$0.00	$1,442,275.46	02.45%
OSL6	AM CENT MD CP VAL R6	0.000	44,087.286	$15.95	$17.09	$0.00	$753,451.74	01.28%
OUBT	AF BALANCED R6	0.000	94,810.138	$27.33	$28.51	$0.00	$2,703,037.02	04.59%
OVH0	TRP NEW HORIZONS I	0.000	80,736.023	$60.15	$59.52	$0.00	$4,805,408.06	08.16%
RT47	EMERSON ELECTRIC CO	2,913.000	2,144.303	$70.99	$76.26	$206,793.87	$163,524.55	00.28%
						$206,793.87		
TOTAL MARKET VALUE :						$206,793.87	$58,874,347.39	
NET CHANGE :							$58,667,553.52	

II. PLAN ACTIVITY SUMMARY

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	<---ENDING BALANCE---> AS OF 12/31/2019 CASH
CONTRIBUTION	$0.00	$2,371,360.09	$2,371,360.09
DIVIDEND	0.00	1,470,591.47	1,470,591.47
INTEREST	0.00	5,399.18	5,399.18
FORFEITURE CREDIT	0.00	73,707.15	73,707.15
EXCHANGE IN	0.00	6,363,201.95	6,363,201.95
REALIZED G/L	0.00	191,890.29	191,890.29
BALANCE FORWARD	206,793.87	61,306,775.85	61,513,569.72
LOAN WITHDRAWAL	0.00	(212,656.00)	(212,656.00)
WITHDRAWAL	0.00	(9,491,997.04)	(9,491,997.04)
TRANSFERS	0.00	0.00	0.00
FORFEITURE DEBIT	0.00	(73,707.15)	(73,707.15)
EXCHANGE OUT	0.00	(6,363,201.95)	(6,363,201.95)
FEES	0.00	(83,415.44)	(83,415.44)
LOAN REPAYMENT	0.00	202,048.99	202,048.99
INTEREST ON LOAN	0.00	20,557.12	20,557.12
ADJUSTMENT	0.00	109.34	109.34
GIC INTEREST	0.00	272,646.52	272,646.52
GRAND TOTAL:	$206,793.87	$56,053,310.37	$56,260,104.24

ENDING MARKET VALUE $58,874,347.39

III. FUND TOTALS

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
0458 FID GOVT MMKT						
CONTRIBUTION	0.00	0.000	1,185.48	1,185.480	1,185.48	1,185.480
DIVIDEND	0.00	0.000	1,258.66	1,258.660	1,258.66	1,258.660
INTEREST	0.00	0.000	(143.34)	(143.340)	(143.34)	(143.340)
EXCHANGE IN	0.00	0.000	102,286.17	102,286.170	102,286.17	102,286.170
BALANCE FORWARD	0.00	0.000	123,910.28	123,910.280	123,910.28	123,910.280
WITHDRAWAL	0.00	0.000	(141,500.95)	(141,500.950)	(141,500.95)	(141,500.950)
FORFEITURE DEBIT	0.00	0.000	0.00	0.000	0.00	0.000
EXCHANGE OUT	0.00	0.000	(9,444.28)	(9,444.280)	(9,444.28)	(9,444.280)
FEES	0.00	0.000	117.28	117.280	117.28	117.280
LOAN REPAYMENT	0.00	0.000	0.00	0.000	0.00	0.000
TOTAL	$0.00	0.000	$77,669.30	77,669.300	$77,669.30	77,669.300

ENDING NAV VALUE	$1.00
ENDING MARKET VALUE	$77,669.30

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
0820 FID TOTAL BOND						
CONTRIBUTION	0.00	0.000	39,932.48	3,670.750	39,932.48	3,670.750
DIVIDEND	0.00	0.000	33,880.45	3,118.270	33,880.45	3,118.270
INTEREST	0.00	0.000	2.41	0.232	2.41	0.232
EXCHANGE IN	0.00	0.000	761,238.41	69,982.588	761,238.41	69,982.588
REALIZED G/L	0.00	0.000	15,348.50	0.000	15,348.50	0.000
BALANCE FORWARD	0.00	0.000	1,687,199.88	159,772.722	1,687,199.88	159,772.722
LOAN WITHDRAWAL	0.00	0.000	(13,760.00)	(1,260.371)	(13,760.00)	(1,260.371)
WITHDRAWAL	0.00	0.000	(512,183.48)	(47,229.976)	(512,183.48)	(47,229.976)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(133.52)	(12.259)	(133.52)	(12.259)
EXCHANGE OUT	0.00	0.000	(113,372.98)	(10,440.110)	(113,372.98)	(10,440.110)
FEES	0.00	0.000	(1,585.03)	(145.064)	(1,585.03)	(145.064)
LOAN REPAYMENT	0.00	0.000	9,510.98	875.579	9,510.98	875.579
INTEREST ON LOAN	0.00	0.000	1,054.79	97.045	1,054.79	97.045
ADJUSTMENT	0.00	0.000	0.27	0.025	0.27	0.025
TOTAL	$0.00	0.000	$1,907,133.16	178,429.431	$1,907,133.16	178,429.431

ENDING NAV VALUE	$10.91
ENDING MARKET VALUE	$1,946,665.11

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
2326 FID US BOND IDX						
CONTRIBUTION	0.00	0.000	8,281.75	693.837	8,281.75	693.837
DIVIDEND	0.00	0.000	1,813.08	151.622	1,813.08	151.622
EXCHANGE IN	0.00	0.000	243,459.05	20,510.395	243,459.05	20,510.395
REALIZED G/L	0.00	0.000	264.25	0.000	264.25	0.000
WITHDRAWAL	0.00	0.000	(47.21)	(3.961)	(47.21)	(3.961)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(20.21)	(1.695)	(20.21)	(1.695)
EXCHANGE OUT	0.00	0.000	(74,701.71)	(6,253.057)	(74,701.71)	(6,253.057)
FEES	0.00	0.000	(344.92)	(28.913)	(344.92)	(28.913)
LOAN REPAYMENT	0.00	0.000	0.00	0.000	0.00	0.000
INTEREST ON LOAN	0.00	0.000	0.00	0.000	0.00	0.000
TOTAL	$0.00	0.000	$178,704.08	15,068.228	$178,704.08	15,068.228

ENDING NAV VALUE	$11.91
ENDING MARKET VALUE	$179,462.60

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
2328 FID 500 INDEX						
CONTRIBUTION	0.00	0.000	334,022.37	3,207.926	334,022.37	3,207.926
DIVIDEND	0.00	0.000	149,958.98	1,415.144	149,958.98	1,415.144
INTEREST	0.00	0.000	22.24	0.258	22.24	0.258
EXCHANGE IN	0.00	0.000	983,693.59	9,468.892	983,693.59	9,468.892
REALIZED G/L	0.00	0.000	46,603.40	0.000	46,603.40	0.000
BALANCE FORWARD	0.00	0.000	9,188,472.62	90,393.279	9,188,472.62	90,393.279
LOAN WITHDRAWAL	0.00	0.000	(14,471.36)	(140.597)	(14,471.36)	(140.597)
WITHDRAWAL	0.00	0.000	(1,245,633.26)	(11,930.713)	(1,245,633.26)	(11,930.713)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(1,300.76)	(11.979)	(1,300.76)	(11.979)
EXCHANGE OUT	0.00	0.000	(632,841.34)	(6,067.760)	(632,841.34)	(6,067.760)
FEES	0.00	0.000	(13,136.91)	(124.737)	(13,136.91)	(124.737)
LOAN REPAYMENT	0.00	0.000	28,399.63	273.314	28,399.63	273.314
INTEREST ON LOAN	0.00	0.000	2,295.42	22.071	2,295.42	22.071
ADJUSTMENT	0.00	0.000	49.09	0.476	49.09	0.476
TOTAL	$0.00	0.000	$8,826,133.71	86,505.574	$8,826,133.71	86,505.574

ENDING NAV VALUE	$112.02
ENDING MARKET VALUE	$9,690,354.40

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
2358 FID SM CAP IDX						
CONTRIBUTION	0.00	0.000	129,188.87	6,451.730	129,188.87	6,451.730
DIVIDEND	0.00	0.000	30,913.93	1,511.228	30,913.93	1,511.228
INTEREST	0.00	0.000	5.12	0.282	5.12	0.282
EXCHANGE IN	0.00	0.000	83,448.93	4,171.570	83,448.93	4,171.570
REALIZED G/L	0.00	0.000	(8,652.36)	0.000	(8,652.36)	0.000
BALANCE FORWARD	0.00	0.000	1,164,542.27	57,536.666	1,164,542.27	57,536.666
LOAN WITHDRAWAL	0.00	0.000	(6,307.81)	(319.208)	(6,307.81)	(319.208)
WITHDRAWAL	0.00	0.000	(184,558.34)	(9,366.711)	(184,558.34)	(9,366.711)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(143.16)	(6.837)	(143.16)	(6.837)
EXCHANGE OUT	0.00	0.000	(197,638.50)	(9,964.762)	(197,638.50)	(9,964.762)
FEES	0.00	0.000	(1,517.80)	(75.927)	(1,517.80)	(75.927)
LOAN REPAYMENT	0.00	0.000	1,747.02	87.459	1,747.02	87.459
INTEREST ON LOAN	0.00	0.000	212.36	10.574	212.36	10.574
ADJUSTMENT	0.00	0.000	0.00	0.000	0.00	0.000
TOTAL	$0.00	0.000	$1,011,240.53	50,036.064	$1,011,240.53	50,036.064

ENDING NAV VALUE	$21.03
ENDING MARKET VALUE	$1,052,258.43

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
2363 FID INTL INDEX						
CONTRIBUTION	0.00	0.000	36,142.65	876.897	36,142.65	876.897
DIVIDEND	0.00	0.000	29,688.80	698.401	29,688.80	698.401
INTEREST	0.00	0.000	4.16	0.114	4.16	0.114
EXCHANGE IN	0.00	0.000	136,201.40	3,273.527	136,201.40	3,273.527
REALIZED G/L	0.00	0.000	2,074.45	0.000	2,074.45	0.000
BALANCE FORWARD	0.00	0.000	1,230,371.29	30,045.690	1,230,371.29	30,045.690
LOAN WITHDRAWAL	0.00	0.000	(2,170.61)	(51.459)	(2,170.61)	(51.459)
WITHDRAWAL	0.00	0.000	(153,873.89)	(3,691.423)	(153,873.89)	(3,691.423)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(236.68)	(5.505)	(236.68)	(5.505)
EXCHANGE OUT	0.00	0.000	(282,405.35)	(6,916.474)	(282,405.35)	(6,916.474)
FEES	0.00	0.000	(1,517.59)	(36.755)	(1,517.59)	(36.755)
LOAN REPAYMENT	0.00	0.000	3,383.68	82.125	3,383.68	82.125
INTEREST ON LOAN	0.00	0.000	411.02	10.008	411.02	10.008
TOTAL	$0.00	0.000	$998,073.33	24,285.146	$998,073.33	24,285.146

ENDING NAV VALUE	$42.96
ENDING MARKET VALUE	$1,043,289.91

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
2365 FID EXTD MKT IDX						
CONTRIBUTION	0.00	0.000	37,083.95	596.058	37,083.95	596.058
DIVIDEND	0.00	0.000	26,998.93	423.848	26,998.93	423.848
INTEREST	0.00	0.000	2.37	0.039	2.37	0.039
EXCHANGE IN	0.00	0.000	90,327.94	1,469.546	90,327.94	1,469.546
REALIZED G/L	0.00	0.000	(616.88)	0.000	(616.88)	0.000
BALANCE FORWARD	0.00	0.000	976,147.19	15,673.524	976,147.19	15,673.524
LOAN WITHDRAWAL	0.00	0.000	(2,411.41)	(39.946)	(2,411.41)	(39.946)
WITHDRAWAL	0.00	0.000	(105,535.22)	(1,711.669)	(105,535.22)	(1,711.669)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(40.52)	(0.640)	(40.52)	(0.640)
EXCHANGE OUT	0.00	0.000	(68,754.66)	(1,091.706)	(68,754.66)	(1,091.706)
FEES	0.00	0.000	(1,356.50)	(21.697)	(1,356.50)	(21.697)
LOAN REPAYMENT	0.00	0.000	2,208.00	35.409	2,208.00	35.409
INTEREST ON LOAN	0.00	0.000	185.52	2.961	185.52	2.961
ADJUSTMENT	0.00	0.000	0.00	0.000	0.00	0.000
TOTAL	$0.00	0.000	$954,238.71	15,335.727	$954,238.71	15,335.727

ENDING NAV VALUE	$65.09
ENDING MARKET VALUE	$998,202.50

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
GAR7 LINCOLN STABLE VALUE						
CONTRIBUTION	0.00	0.000	467,331.32	467,331.320	467,331.32	467,331.320
INTEREST	0.00	0.000	32.66	32.660	32.66	32.660
FORFEITURE CREDIT	0.00	0.000	73,707.15	73,707.150	73,707.15	73,707.150
EXCHANGE IN	0.00	0.000	677,766.99	677,766.990	677,766.99	677,766.990
BALANCE FORWARD	0.00	0.000	19,658,537.34	19,658,537.340	19,658,537.34	19,658,537.340
LOAN WITHDRAWAL	0.00	0.000	(54,292.11)	(54,292.110)	(54,292.11)	(54,292.110)
WITHDRAWAL	0.00	0.000	(3,606,236.61)	(3,606,236.610)	(3,606,236.61)	(3,606,236.610)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(42,539.29)	(42,539.290)	(42,539.29)	(42,539.290)
EXCHANGE OUT	0.00	0.000	(2,367,924.94)	(2,367,924.940)	(2,367,924.94)	(2,367,924.940)
FEES	0.00	0.000	(23,828.33)	(23,828.330)	(23,828.33)	(23,828.330)
LOAN REPAYMENT	0.00	0.000	67,789.47	67,789.470	67,789.47	67,789.470
INTEREST ON LOAN	0.00	0.000	5,024.08	5,024.080	5,024.08	5,024.080
ADJUSTMENT	0.00	0.000	9.47	9.470	9.47	9.470
GIC INTEREST	0.00	0.000	272,646.52	272,646.520	272,646.52	272,646.520
TOTAL	$0.00	0.000	$15,128,023.72	15,128,023.720	$15,128,023.72	15,128,023.720

ENDING NAV VALUE	$1.00
ENDING MARKET VALUE	$15,128,023.72

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
ODOV LARGE CP VALUE I1						
CONTRIBUTION	0.00	0.000	102,814.87	9,548.657	102,814.87	9,548.657
INTEREST	0.00	0.000	9.58	0.950	9.58	0.950
EXCHANGE IN	0.00	0.000	149,104.76	13,692.409	149,104.76	13,692.409
REALIZED G/L	0.00	0.000	14,696.01	0.000	14,696.01	0.000
BALANCE FORWARD	0.00	0.000	4,774,833.09	455,179.518	4,774,833.09	455,179.518
LOAN WITHDRAWAL	0.00	0.000	(6,522.45)	(589.531)	(6,522.45)	(589.531)
WITHDRAWAL	0.00	0.000	(733,687.38)	(68,772.824)	(733,687.38)	(68,772.824)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(904.04)	(79.407)	(904.04)	(79.407)
EXCHANGE OUT	0.00	0.000	(247,623.59)	(23,358.327)	(247,623.59)	(23,358.327)
FEES	0.00	0.000	(6,318.98)	(575.567)	(6,318.98)	(575.567)
LOAN REPAYMENT	0.00	0.000	12,881.14	1,195.533	12,881.14	1,195.533
INTEREST ON LOAN	0.00	0.000	1,289.51	119.860	1,289.51	119.860
ADJUSTMENT	0.00	0.000	0.18	0.017	0.18	0.017
TOTAL	$0.00	0.000	$4,060,572.70	386,361.288	$4,060,572.70	386,361.288

ENDING NAV VALUE	$11.81
ENDING MARKET VALUE	$4,562,926.86

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
OE5A GS SM CP VAL INS R6						
CONTRIBUTION	0.00	0.000	12,365.61	238.505	12,365.61	238.505
DIVIDEND	0.00	0.000	2,392.69	42.799	2,392.69	42.799
INTEREST	0.00	0.000	1.61	0.034	1.61	0.034
EXCHANGE IN	0.00	0.000	30,153.81	584.312	30,153.81	584.312
REALIZED G/L	0.00	0.000	1,489.97	0.000	1,489.97	0.000
BALANCE FORWARD	0.00	0.000	359,625.61	6,821.430	359,625.61	6,821.430
LOAN WITHDRAWAL	0.00	0.000	0.00	0.000	0.00	0.000
WITHDRAWAL	0.00	0.000	(11,986.21)	(225.822)	(11,986.21)	(225.822)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	0.00	0.000	0.00	0.000
EXCHANGE OUT	0.00	0.000	(82,302.47)	(1,552.631)	(82,302.47)	(1,552.631)
FEES	0.00	0.000	(453.26)	(8.602)	(453.26)	(8.602)
LOAN REPAYMENT	0.00	0.000	2,320.94	44.491	2,320.94	44.491
INTEREST ON LOAN	0.00	0.000	170.16	3.275	170.16	3.275
TOTAL	$0.00	0.000	$313,778.46	5,947.791	$313,778.46	5,947.791

ENDING NAV VALUE	$55.86
ENDING MARKET VALUE	$332,243.62

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
OI1A MFS LIFETIME 2025 R6						
CONTRIBUTION	0.00	0.000	70,825.97	5,235.244	70,825.97	5,235.244
DIVIDEND	0.00	0.000	44,462.77	3,291.102	44,462.77	3,291.102
INTEREST	0.00	0.000	2,210.32	168.094	2,210.32	168.094
EXCHANGE IN	0.00	0.000	75,596.84	5,550.095	75,596.84	5,550.095
REALIZED G/L	0.00	0.000	17,820.06	0.000	17,820.06	0.000
BALANCE FORWARD	0.00	0.000	1,668,955.47	128,269.189	1,668,955.47	128,269.189
LOAN WITHDRAWAL	0.00	0.000	(4,027.14)	(298.182)	(4,027.14)	(298.182)
WITHDRAWAL	0.00	0.000	(231,681.75)	(17,248.831)	(231,681.75)	(17,248.831)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	0.00	0.000	0.00	0.000
EXCHANGE OUT	0.00	0.000	(466,477.96)	(34,999.482)	(466,477.96)	(34,999.482)
FEES	0.00	0.000	(1,828.11)	(134.251)	(1,828.11)	(134.251)
LOAN REPAYMENT	0.00	0.000	3,882.10	288.049	3,882.10	288.049
INTEREST ON LOAN	0.00	0.000	280.09	20.769	280.09	20.769
TOTAL	$0.00	0.000	$1,180,018.66	90,141.796	$1,180,018.66	90,141.796

ENDING NAV VALUE	$13.52
ENDING MARKET VALUE	$1,218,717.08

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
OI1B MFS LIFETIME 2035 R6						
CONTRIBUTION	0.00	0.000	263,792.15	17,193.468	263,792.15	17,193.468
DIVIDEND	0.00	0.000	67,585.85	4,302.091	67,585.85	4,302.091
INTEREST	0.00	0.000	398.31	26.679	398.31	26.679
EXCHANGE IN	0.00	0.000	784,828.45	51,588.272	784,828.45	51,588.272
REALIZED G/L	0.00	0.000	4,458.59	0.000	4,458.59	0.000
BALANCE FORWARD	0.00	0.000	613,355.01	41,338.911	613,355.01	41,338.911
LOAN WITHDRAWAL	0.00	0.000	0.00	0.000	0.00	0.000
WITHDRAWAL	0.00	0.000	(70,139.24)	(4,411.998)	(70,139.24)	(4,411.998)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(306.28)	(19.239)	(306.28)	(19.239)
EXCHANGE OUT	0.00	0.000	(2,899.81)	(183.300)	(2,899.81)	(183.300)
FEES	0.00	0.000	(2,040.69)	(131.408)	(2,040.69)	(131.408)
LOAN REPAYMENT	0.00	0.000	6,178.15	392.709	6,178.15	392.709
INTEREST ON LOAN	0.00	0.000	413.28	26.905	413.28	26.905
TOTAL	$0.00	0.000	$1,665,623.77	110,123.090	$1,665,623.77	110,123.090

ENDING NAV VALUE	$15.70
ENDING MARKET VALUE	$1,728,932.50

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
OI1C MFS LIFETIME 2045 R6						
CONTRIBUTION	0.00	0.000	49,015.01	3,060.042	49,015.01	3,060.042
DIVIDEND	0.00	0.000	11,589.69	709.719	11,589.69	709.719
INTEREST	0.00	0.000	393.97	25.539	393.97	25.539
EXCHANGE IN	0.00	0.000	5,645.12	362.416	5,645.12	362.416
REALIZED G/L	0.00	0.000	1,200.79	0.000	1,200.79	0.000
BALANCE FORWARD	0.00	0.000	324,156.18	21,260.532	324,156.18	21,260.532
LOAN WITHDRAWAL	0.00	0.000	(24,449.86)	(1,580.363)	(24,449.86)	(1,580.363)
WITHDRAWAL	0.00	0.000	(3,906.99)	(241.567)	(3,906.99)	(241.567)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(1,356.49)	(82.549)	(1,356.49)	(82.549)
EXCHANGE OUT	0.00	0.000	(83,113.87)	(5,471.875)	(83,113.87)	(5,471.875)
FEES	0.00	0.000	(485.19)	(30.310)	(485.19)	(30.310)
LOAN REPAYMENT	0.00	0.000	1,915.30	119.087	1,915.30	119.087
INTEREST ON LOAN	0.00	0.000	649.62	40.261	649.62	40.261
ADJUSTMENT	0.00	0.000	0.11	0.007	0.11	0.007
TOTAL	$0.00	0.000	$281,253.39	18,170.939	$281,253.39	18,170.939

ENDING NAV VALUE	$16.32
ENDING MARKET VALUE	$296,549.73

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
OI1D MFS LIFETIME 2055 R6						
CONTRIBUTION	0.00	0.000	16,577.58	1,012.565	16,577.58	1,012.565
DIVIDEND	0.00	0.000	2,695.09	160.328	2,695.09	160.328
INTEREST	0.00	0.000	28.34	1.788	28.34	1.788
EXCHANGE IN	0.00	0.000	7,017.72	433.239	7,017.72	433.239
REALIZED G/L	0.00	0.000	372.09	0.000	372.09	0.000
BALANCE FORWARD	0.00	0.000	56,634.07	3,579.740	56,634.07	3,579.740
LOAN WITHDRAWAL	0.00	0.000	(1,846.92)	(112.623)	(1,846.92)	(112.623)
WITHDRAWAL	0.00	0.000	(7,117.17)	(442.325)	(7,117.17)	(442.325)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(2,041.00)	(121.953)	(2,041.00)	(121.953)
EXCHANGE OUT	0.00	0.000	(4,669.56)	(286.828)	(4,669.56)	(286.828)
FEES	0.00	0.000	(194.94)	(11.932)	(194.94)	(11.932)
LOAN REPAYMENT	0.00	0.000	651.63	39.744	651.63	39.744
INTEREST ON LOAN	0.00	0.000	131.84	8.044	131.84	8.044
TOTAL	$0.00	0.000	$68,238.77	4,259.787	$68,238.77	4,259.787

ENDING NAV VALUE	$16.81
ENDING MARKET VALUE	$71,607.02

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
OI1L MFS LIFETIME 2060 R6						
CONTRIBUTION	0.00	0.000	13,233.98	1,048.711	13,233.98	1,048.711
DIVIDEND	0.00	0.000	640.41	48.961	640.41	48.961
INTEREST	0.00	0.000	2.39	0.196	2.39	0.196
EXCHANGE IN	0.00	0.000	447.91	34.535	447.91	34.535
REALIZED G/L	0.00	0.000	429.75	0.000	429.75	0.000
BALANCE FORWARD	0.00	0.000	15,738.29	1,286.037	15,738.29	1,286.037
WITHDRAWAL	0.00	0.000	(6,669.40)	(514.752)	(6,669.40)	(514.752)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(638.89)	(49.029)	(638.89)	(49.029)
EXCHANGE OUT	0.00	0.000	(969.51)	(77.375)	(969.51)	(77.375)
FEES	0.00	0.000	(56.43)	(4.388)	(56.43)	(4.388)
TOTAL	$0.00	0.000	$22,158.50	1,772.896	$22,158.50	1,772.896

ENDING NAV VALUE	$13.07
ENDING MARKET VALUE	$23,171.76

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
OICL AB LG CAP GRTH Z						
CONTRIBUTION	0.00	0.000	191,064.18	3,189.529	191,064.18	3,189.529
DIVIDEND	0.00	0.000	256,524.71	4,186.124	256,524.71	4,186.124
INTEREST	0.00	0.000	13.29	0.264	13.29	0.264
EXCHANGE IN	0.00	0.000	427,185.87	7,186.250	427,185.87	7,186.250
REALIZED G/L	0.00	0.000	59,760.37	0.000	59,760.37	0.000
BALANCE FORWARD	0.00	0.000	7,374,850.18	128,773.357	7,374,850.18	128,773.357
LOAN WITHDRAWAL	0.00	0.000	(12,273.85)	(207.640)	(12,273.85)	(207.640)
WITHDRAWAL	0.00	0.000	(916,611.96)	(15,336.053)	(916,611.96)	(15,336.053)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(810.13)	(12.833)	(810.13)	(12.833)
EXCHANGE OUT	0.00	0.000	(508,714.21)	(8,460.195)	(508,714.21)	(8,460.195)
FEES	0.00	0.000	(10,580.68)	(174.881)	(10,580.68)	(174.881)
LOAN REPAYMENT	0.00	0.000	17,275.98	289.347	17,275.98	289.347
INTEREST ON LOAN	0.00	0.000	2,006.01	33.524	2,006.01	33.524
ADJUSTMENT	0.00	0.000	6.06	0.103	6.06	0.103
TOTAL	$0.00	0.000	$6,879,695.82	119,466.896	$6,879,695.82	119,466.896

ENDING NAV VALUE	$63.01
ENDING MARKET VALUE	$7,527,609.16

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
OIY3 MFS LIFETIME INC R6						
CONTRIBUTION	0.00	0.000	7,809.54	615.381	7,809.54	615.381
DIVIDEND	0.00	0.000	12,693.35	992.365	12,693.35	992.365
INTEREST	0.00	0.000	941.82	75.404	941.82	75.404
EXCHANGE IN	0.00	0.000	3,307.98	260.085	3,307.98	260.085
REALIZED G/L	0.00	0.000	1,188.78	0.000	1,188.78	0.000
BALANCE FORWARD	0.00	0.000	518,059.61	41,777.441	518,059.61	41,777.441
WITHDRAWAL	0.00	0.000	(17,862.99)	(1,405.905)	(17,862.99)	(1,405.905)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(5.57)	(0.432)	(5.57)	(0.432)
EXCHANGE OUT	0.00	0.000	(104,355.02)	(8,355.085)	(104,355.02)	(8,355.085)
FEES	0.00	0.000	(633.40)	(49.536)	(633.40)	(49.536)
LOAN REPAYMENT	0.00	0.000	2.92	0.229	2.92	0.229
INTEREST ON LOAN	0.00	0.000	(2.92)	(0.229)	(2.92)	(0.229)
TOTAL	$0.00	0.000	$421,144.10	33,909.718	$421,144.10	33,909.718

ENDING NAV VALUE	$12.78
ENDING MARKET VALUE	$433,366.19

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
OIY4 MFS LIFETIME 2020 R6						
CONTRIBUTION	0.00	0.000	21,531.22	1,601.179	21,531.22	1,601.179
DIVIDEND	0.00	0.000	42,475.13	3,220.253	42,475.13	3,220.253
INTEREST	0.00	0.000	497.00	37.828	497.00	37.828
EXCHANGE IN	0.00	0.000	106,321.88	7,992.034	106,321.88	7,992.034
REALIZED G/L	0.00	0.000	1,709.36	0.000	1,709.36	0.000
BALANCE FORWARD	0.00	0.000	749,765.65	57,570.355	749,765.65	57,570.355
WITHDRAWAL	0.00	0.000	(46,015.03)	(3,406.437)	(46,015.03)	(3,406.437)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(57.62)	(4.266)	(57.62)	(4.266)
EXCHANGE OUT	0.00	0.000	(18,168.87)	(1,370.371)	(18,168.87)	(1,370.371)
FEES	0.00	0.000	(1,205.61)	(88.846)	(1,205.61)	(88.846)
LOAN REPAYMENT	0.00	0.000	612.34	45.527	612.34	45.527
INTEREST ON LOAN	0.00	0.000	52.14	3.884	52.14	3.884
TOTAL	$0.00	0.000	$857,517.59	65,601.140	$857,517.59	65,601.140

ENDING NAV VALUE	$13.19
ENDING MARKET VALUE	$865,279.05

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
OIY5 MFS LIFETIME 2030 R6						
CONTRIBUTION	0.00	0.000	81,994.41	5,084.065	81,994.41	5,084.065
DIVIDEND	0.00	0.000	32,275.16	2,010.915	32,275.16	2,010.915
INTEREST	0.00	0.000	511.05	32.641	511.05	32.641
EXCHANGE IN	0.00	0.000	33,126.12	2,051.330	33,126.12	2,051.330
REALIZED G/L	0.00	0.000	5,320.91	0.000	5,320.91	0.000
BALANCE FORWARD	0.00	0.000	589,088.18	37,958.009	589,088.18	37,958.009
LOAN WITHDRAWAL	0.00	0.000	(13,200.00)	(810.611)	(13,200.00)	(810.611)
WITHDRAWAL	0.00	0.000	(16,135.89)	(995.083)	(16,135.89)	(995.083)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(1,020.92)	(61.538)	(1,020.92)	(61.538)
EXCHANGE OUT	0.00	0.000	(126,170.06)	(7,830.704)	(126,170.06)	(7,830.704)
FEES	0.00	0.000	(1,041.06)	(64.127)	(1,041.06)	(64.127)
LOAN REPAYMENT	0.00	0.000	10,044.39	623.441	10,044.39	623.441
INTEREST ON LOAN	0.00	0.000	970.09	60.112	970.09	60.112
ADJUSTMENT	0.00	0.000	0.07	0.004	0.07	0.004
TOTAL	$0.00	0.000	$595,762.45	38,058.454	$595,762.45	38,058.454

ENDING NAV VALUE	$16.04
ENDING MARKET VALUE	$610,457.61

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
OIY6 MFS LIFETIME 2040 R6						
CONTRIBUTION	0.00	0.000	64,324.17	3,786.157	64,324.17	3,786.157
DIVIDEND	0.00	0.000	59,217.13	3,467.047	59,217.13	3,467.047
INTEREST	0.00	0.000	363.03	22.045	363.03	22.045
EXCHANGE IN	0.00	0.000	229,006.42	13,187.275	229,006.42	13,187.275
REALIZED G/L	0.00	0.000	444.38	0.000	444.38	0.000
BALANCE FORWARD	0.00	0.000	717,081.66	43,694.730	717,081.66	43,694.730
LOAN WITHDRAWAL	0.00	0.000	0.00	0.000	0.00	0.000
WITHDRAWAL	0.00	0.000	(7,478.18)	(434.399)	(7,478.18)	(434.399)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(48.20)	(2.825)	(48.20)	(2.825)
EXCHANGE OUT	0.00	0.000	(31,687.31)	(1,908.014)	(31,687.31)	(1,908.014)
FEES	0.00	0.000	(1,161.07)	(67.712)	(1,161.07)	(67.712)
LOAN REPAYMENT	0.00	0.000	2,825.88	166.765	2,825.88	166.765
INTEREST ON LOAN	0.00	0.000	678.99	40.118	678.99	40.118
TOTAL	$0.00	0.000	$1,033,566.90	61,951.187	$1,033,566.90	61,951.187

ENDING NAV VALUE	$17.08
ENDING MARKET VALUE	$1,058,126.33

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
OIY7 MFS LIFETIME 2050 R6						
CONTRIBUTION	0.00	0.000	82,516.22	4,305.971	82,516.22	4,305.971
DIVIDEND	0.00	0.000	6,882.97	351.174	6,882.97	351.174
INTEREST	0.00	0.000	81.61	4.391	81.61	4.391
REALIZED G/L	0.00	0.000	189.22	0.000	189.22	0.000
BALANCE FORWARD	0.00	0.000	85,635.57	4,648.744	85,635.57	4,648.744
LOAN WITHDRAWAL	0.00	0.000	(1,449.00)	(77.570)	(1,449.00)	(77.570)
WITHDRAWAL	0.00	0.000	(12,564.58)	(675.057)	(12,564.58)	(675.057)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(2,393.89)	(120.799)	(2,393.89)	(120.799)
EXCHANGE OUT	0.00	0.000	(3,210.65)	(172.898)	(3,210.65)	(172.898)
FEES	0.00	0.000	(314.49)	(16.278)	(314.49)	(16.278)
LOAN REPAYMENT	0.00	0.000	135.18	6.979	135.18	6.979
INTEREST ON LOAN	0.00	0.000	28.87	1.477	28.87	1.477
TOTAL	$0.00	0.000	$155,537.03	8,256.134	$155,537.03	8,256.134

ENDING NAV VALUE	$19.59
ENDING MARKET VALUE	$161,737.68

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
OK5I I O INTL DVSFD R6						
CONTRIBUTION	0.00	0.000	60,633.17	3,385.868	60,633.17	3,385.868
DIVIDEND	0.00	0.000	29,851.53	1,565.366	29,851.53	1,565.366
INTEREST	0.00	0.000	3.06	0.185	3.06	0.185
EXCHANGE IN	0.00	0.000	157,927.00	8,800.440	157,927.00	8,800.440
REALIZED G/L	0.00	0.000	(6,819.47)	0.000	(6,819.47)	0.000
BALANCE FORWARD	0.00	0.000	1,453,305.55	80,071.934	1,453,305.55	80,071.934
LOAN WITHDRAWAL	0.00	0.000	(0.65)	(0.038)	(0.65)	(0.038)
WITHDRAWAL	0.00	0.000	(218,913.42)	(12,300.318)	(218,913.42)	(12,300.318)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(221.47)	(11.838)	(221.47)	(11.838)
EXCHANGE OUT	0.00	0.000	(112,109.75)	(6,315.954)	(112,109.75)	(6,315.954)
FEES	0.00	0.000	(1,874.88)	(103.622)	(1,874.88)	(103.622)
LOAN REPAYMENT	0.00	0.000	1,059.69	58.719	1,059.69	58.719
INTEREST ON LOAN	0.00	0.000	123.32	6.857	123.32	6.857
ADJUSTMENT	0.00	0.000	1.04	0.059	1.04	0.059
TOTAL	$0.00	0.000	$1,362,964.72	75,157.658	$1,362,964.72	75,157.658

ENDING NAV VALUE	$19.19
ENDING MARKET VALUE	$1,442,275.46

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
OSL6 AM CENT MD CP VAL R6						
CONTRIBUTION	0.00	0.000	26,081.64	1,644.490	26,081.64	1,644.490
DIVIDEND	0.00	0.000	10,837.02	666.317	10,837.02	666.317
INTEREST	0.00	0.000	2.54	0.173	2.54	0.173
EXCHANGE IN	0.00	0.000	61,401.61	3,640.171	61,401.61	3,640.171
REALIZED G/L	0.00	0.000	4,097.55	0.000	4,097.55	0.000
BALANCE FORWARD	0.00	0.000	691,131.08	43,714.810	691,131.08	43,714.810
LOAN WITHDRAWAL	0.00	0.000	(741.70)	(48.206)	(741.70)	(48.206)
WITHDRAWAL	0.00	0.000	(66,475.54)	(4,011.956)	(66,475.54)	(4,011.956)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(94.96)	(5.851)	(94.96)	(5.851)
EXCHANGE OUT	0.00	0.000	(24,416.06)	(1,478.593)	(24,416.06)	(1,478.593)
FEES	0.00	0.000	(1,032.36)	(64.146)	(1,032.36)	(64.146)
LOAN REPAYMENT	0.00	0.000	432.24	27.244	432.24	27.244
INTEREST ON LOAN	0.00	0.000	45.23	2.833	45.23	2.833
TOTAL	$0.00	0.000	$701,268.29	44,087.286	$701,268.29	44,087.286

ENDING NAV VALUE	$17.09
ENDING MARKET VALUE	$753,451.74

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
OUBT AF BALANCED R6						
CONTRIBUTION	0.00	0.000	52,929.19	1,911.673	52,929.19	1,911.673
DIVIDEND	0.00	0.000	108,812.55	3,904.052	108,812.55	3,904.052
INTEREST	0.00	0.000	5.58	0.210	5.58	0.210
EXCHANGE IN	0.00	0.000	39,498.55	1,422.931	39,498.55	1,422.931
REALIZED G/L	0.00	0.000	11,145.27	0.000	11,145.27	0.000
BALANCE FORWARD	0.00	0.000	3,284,846.19	120,855.267	3,284,846.19	120,855.267
LOAN WITHDRAWAL	0.00	0.000	(24,153.02)	(891.758)	(24,153.02)	(891.758)
WITHDRAWAL	0.00	0.000	(737,274.09)	(26,858.876)	(737,274.09)	(26,858.876)
FORFEITURE DEBIT	0.00	0.000	(18,848.49)	(673.846)	(18,848.49)	(673.846)
EXCHANGE OUT	0.00	0.000	(144,983.72)	(5,179.248)	(144,983.72)	(5,179.248)
FEES	0.00	0.000	(4,147.82)	(148.849)	(4,147.82)	(148.849)
LOAN REPAYMENT	0.00	0.000	11,494.76	415.471	11,494.76	415.471
INTEREST ON LOAN	0.00	0.000	1,428.96	51.557	1,428.96	51.557
ADJUSTMENT	0.00	0.000	42.59	1.554	42.59	1.554
TOTAL	$0.00	0.000	$2,580,796.50	94,810.138	$2,580,796.50	94,810.138

ENDING NAV VALUE	$28.51
ENDING MARKET VALUE	$2,703,037.02

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
OVH0 TRP NEW HORIZONS I						
CONTRIBUTION	0.00	0.000	200,682.31	3,178.800	200,682.31	3,178.800
DIVIDEND	0.00	0.000	503,613.81	8,511.311	503,613.81	8,511.311
INTEREST	0.00	0.000	10.06	0.174	10.06	0.174
EXCHANGE IN	0.00	0.000	1,174,209.43	18,737.554	1,174,209.43	18,737.554
REALIZED G/L	0.00	0.000	25,599.98	0.000	25,599.98	0.000
BALANCE FORWARD	0.00	0.000	4,000,533.59	67,326.380	4,000,533.59	67,326.380
LOAN WITHDRAWAL	0.00	0.000	(30,578.11)	(494.690)	(30,578.11)	(494.690)
WITHDRAWAL	0.00	0.000	(398,704.27)	(6,394.229)	(398,704.27)	(6,394.229)
TRANSFERS	0.00	0.000	0.00	0.000	0.00	0.000
FORFEITURE DEBIT	0.00	0.000	(545.06)	(8.442)	(545.06)	(8.442)
EXCHANGE OUT	0.00	0.000	(641,502.46)	(10,336.745)	(641,502.46)	(10,336.745)
FEES	0.00	0.000	(6,794.77)	(109.926)	(6,794.77)	(109.926)
LOAN REPAYMENT	0.00	0.000	17,297.57	276.348	17,297.57	276.348
INTEREST ON LOAN	0.00	0.000	3,108.74	49.481	3,108.74	49.481
ADJUSTMENT	0.00	0.000	0.46	0.007	0.46	0.007
TOTAL	$0.00	0.000	$4,846,931.28	80,736.023	$4,846,931.28	80,736.023

ENDING NAV VALUE	$59.52
ENDING MARKET VALUE	$4,805,408.06

ACCOUNTING ITEM	<-BEGINNING BALANCE-> AS OF 04/30/2019 CASH	SHARES/UNITS	<------ACTIVITY-----> FROM 05/01/2019 TO 12/31/2019 CASH	SHARES/UNITS	<---ENDING BALANCE---> AS OF 12/31/2019 CASH	SHARES/UNITS
RT47 EMERSON ELECTRIC CO						
DIVIDEND	0.00	0.000	3,528.78	52.624	3,528.78	52.624
REALIZED G/L	0.00	0.000	(6,234.68)	0.000	(6,234.68)	0.000
BALANCE FORWARD	206,793.87	2,913.000	0.00	0.000	206,793.87	2,913.000
WITHDRAWAL	0.00	0.000	(39,203.99)	(614.605)	(39,203.99)	(614.605)
EXCHANGE OUT	0.00	0.000	(12,743.31)	(205.455)	(12,743.31)	(205.455)
FEES	0.00	0.000	(81.90)	(1.261)	(81.90)	(1.261)
TOTAL	$206,793.87	2,913.000	($54,735.10)	(768.697)	$152,058.77	2,144.303

ENDING NAV VALUE	$76.26
ENDING MARKET VALUE	$163,524.55

IV. SUMMARY OF LOAN ACTIVITY

BEGINNING BALANCE AS OF: 04/30/2019		$790,119.67
PRINCIPAL ACTIVITY		
LESS PRINCIPAL REPAID	(202,048.99)	
LESS NON-MONETARY		
FULL PAYOUT -O/S LOAN BALANCE	(35,642.79)	
ORIGINAL LOAN PRINCIPAL TRANSFER	(2,933.71)	
LOAN PRINCIPAL CONVERTED -PRIOR RK	0.00	
LOAN DEFAULT - ACTUAL DEFAULT	(67,940.00)	
LOAN DEFAULT - DEEMED DEFAULT	(1,038.76)	
SUBTOTAL		($309,604.25)
PLUS LOAN WITHDRAWALS	212,656.00	
PLUS NON-MONETARY		
LOAN DEFAULT - PRE-DEFAULT INT	1,826.88	
LOAN DEFAULT - POST-DEFAULT INT	417.79	
LOAN DEFAULT - FULLPYOT OFFSET INT	500.33	
ORIGINAL LOAN WITHDRAWAL TRANSFER	53,086.00	
LOAN AMOUNT CONVERTED -PRIOR RK	5,820.00	
SUBTOTAL		$274,307.00
OUTSTANDING PRINCIPAL BALANCE AS OF: 12/31/2019		$754,822.42
INTEREST ACTIVITY		
INTEREST PAYMENTS	20,557.12	
TOTAL INTEREST		$20,557.12
TOTAL DEEMED DEFAULT DISTRIBUTIONS FROM 05/01/2019 TO: 12/31/2019		$44,921.08
TOTAL LOANS OUTSTANDING AS OF 12/31/2019:		154

V. RECONCILIATION

	<-----BEGINNING BALANCE-----> AS OF 04/30/2019 CASH	<-----CURRENT ACTIVITY-----> 05/01/2019 TO 12/31/2019 CASH	<------ENDING BALANCE------> AS OF 12/31/2019 CASH
PARTICIPANT TOTALS	$206,793.87	$56,053,310.37	$56,260,104.24
PLAN TOTALS	$206,793.87	$56,053,310.37	$56,260,104.24
	$0.00	$0.00	$0.00