# Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

**2020**

**This Form is Open to Public Inspection**

## Part I Annual Report Identification Information

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

**A** This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

**B** This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

**C** If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

**D** Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

## Part II Basic Plan Information—enter all requested information

**1a** Name of plan
ABC PLUMBING, HEATING, COOLING & ELECTRIC 401(K) PLAN

**1b** Three-digit plan number (PN) ▶ 001

**1c** Effective date of plan 01/01/1983

**2a** Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
ABC PLUMBING, HEATING, COOLING & ELECTRIC, INC.

220 WEST CAMPUS DRIVE
SUITE 200
ARLINGTON HEIGHTS, IL 60004

**2b** Employer Identification Number (EIN)
36-2665333

**2c** Plan Sponsor's telephone number
847-419-1000

**2d** Business code (see instructions)
238220

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| | | | |
|---|---|---|---|
| **SIGN HERE** | Filed with authorized/valid electronic signature. | 10/15/2021 | GORDON SCHROLL |
| | **Signature of plan administrator** | Date | Enter name of individual signing as plan administrator |
| **SIGN HERE** | | | |
| | **Signature of employer/plan sponsor** | Date | Enter name of individual signing as employer or plan sponsor |
| **SIGN HERE** | | | |
| | **Signature of DFE** | Date | Enter name of individual signing as DFE |

**3a** Plan administrator's name and address [X] Same as Plan Sponsor

**3b** Administrator's EIN

**3c** Administrator's telephone number

**4** If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:

**a** Sponsor's name ABC PLUMBING, HEATING, COOLING, & ELECTRIC, INC.

**c** Plan Name ABC PLUMBING, HEATING & COOLING, INC. PROFIT SHARING PLAN & TRUST

**4b** EIN 36-2665333

**4d** PN 001

| | | |
|---|---|---|
| **5** Total number of participants at the beginning of the plan year | **5** | 161 |
| **6** Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**). | | |
| **a(1)** Total number of active participants at the beginning of the plan year | **6a(1)** | 139 |
| **a(2)** Total number of active participants at the end of the plan year | **6a(2)** | 181 |
| **b** Retired or separated participants receiving benefits | **6b** | 0 |
| **c** Other retired or separated participants entitled to future benefits | **6c** | 25 |
| **d** Subtotal. Add lines **6a(2)**, **6b**, and **6c** | **6d** | 206 |
| **e** Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. | **6e** | 0 |
| **f** Total. Add lines **6d** and **6e** | **6f** | 206 |
| **g** Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) | **6g** | 206 |
| **h** Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested | **6h** | 18 |
| **7** Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) | **7** | |

**8a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2A 2E 2F 2G 2J 2L 2T 3D 3H

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

**9a** Plan funding arrangement (check all that apply)

| | | |
|---|---|---|
| **(1)** | [X] | Insurance |
| **(2)** | [ ] | Code section 412(e)(3) insurance contracts |
| **(3)** | [X] | Trust |
| **(4)** | [ ] | General assets of the sponsor |

**9b** Plan benefit arrangement (check all that apply)

| | | |
|---|---|---|
| **(1)** | [ ] | Insurance |
| **(2)** | [ ] | Code section 412(e)(3) insurance contracts |
| **(3)** | [X] | Trust |
| **(4)** | [ ] | General assets of the sponsor |

**10** Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

**a Pension Schedules**

| | | |
|---|---|---|
| **(1)** | [X] | **R** (Retirement Plan Information) |
| **(2)** | [ ] | **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary |
| **(3)** | [ ] | **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary |

**b General Schedules**

| | | | |
|---|---|---|---|
| **(1)** | [X] | | **H** (Financial Information) |
| **(2)** | [ ] | | **I** (Financial Information – Small Plan) |
| **(3)** | [X] | 1 | **A** (Insurance Information) |
| **(4)** | [X] | | **C** (Service Provider Information) |
| **(5)** | [X] | | **D** (DFE/Participating Plan Information) |
| **(6)** | [ ] | | **G** (Financial Transaction Schedules) |

## Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

**11a** If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

**11b** Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

**11c** Enter the Receipt Confirmation Code for the 2020 Form M-1 annual report. If the plan was not required to file the 2020 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

# SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

**2020**

**This Form is Open to Public Inspection**

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

**A** Name of plan
ABC PLUMBING, HEATING, COOLING & ELECTRIC 401(K) PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
ABC PLUMBING, HEATING, COOLING & ELECTRIC, INC.

**D** Employer Identification Number (EIN)
36-2665333

**Part I** **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

**1** Coverage Information:

**(a)** Name of insurance carrier
JOHN HANCOCK USA

| **(b)** EIN | **(c)** NAIC code | **(d)** Contract or identification number | **(e)** Approximate number of persons covered at end of policy or contract year | Policy or contract year | |
|---|---|---|---|---|---|
| | | | | **(f)** From | **(g)** To |
| 01-0233346 | 65838 | 147248 | 223 | 12/15/2020 | 12/31/2020 |

**2** Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

| **(a)** Total amount of commissions paid | **(b)** Total amount of fees paid |
|---|---|
| | |

**3** Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

## Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

| | | | |
|---|---|---|---|
| 4 | Current value of plan's interest under this contract in the general account at year end | 4 | 64899 |
| 5 | Current value of plan's interest under this contract in separate accounts at year end | 5 | 5574117 |

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

| | | | |
|---|---|---|---|
| b | Premiums paid to carrier | 6b | |
| c | Premiums due but unpaid at the end of the year | 6c | |
| d | If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. | 6d | |

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☒ other ▶ GROUP ANNUITY CONTRACT

| | | | | | |
|---|---|---|---|---|---|
| b | Balance at the end of the previous year | | | 7b | 0 |
| c | Additions: (1) Contributions deposited during the year | 7c(1) | 28 | | |
| | (2) Dividends and credits | 7c(2) | | | |
| | (3) Interest credited during the year | 7c(3) | | | |
| | (4) Transferred from separate account | 7c(4) | | | |
| | (5) Other (specify below) | 7c(5) | | | |
| | ▶ | | | | |
| | (6)Total additions | | | 7c(6) | 28 |
| d | Total of balance and additions (add lines **7b** and **7c(6)**) | | | 7d | 28 |
| e | Deductions: | | | | |
| | (1) Disbursed from fund to pay benefits or purchase annuities during year | 7e(1) | | | |
| | (2) Administration charge made by carrier | 7e(2) | | | |
| | (3) Transferred to separate account | 7e(3) | | | |
| | (4) Other (specify below) | 7e(4) | | | |
| | ▶ | | | | |
| | (5) Total deductions | | | 7e(5) | 0 |
| f | Balance at the end of the current year (subtract line **7e(5)** from line **7d**) | | | 7f | 28 |

## Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

**8** Benefit and contract type (check all applicable boxes)

- **a** ☐ Health (other than dental or vision)
- **b** ☐ Dental
- **c** ☐ Vision
- **d** ☐ Life insurance
- **e** ☐ Temporary disability (accident and sickness)
- **f** ☐ Long-term disability
- **g** ☐ Supplemental unemployment
- **h** ☐ Prescription drug
- **i** ☐ Stop loss (large deductible)
- **j** ☐ HMO contract
- **k** ☐ PPO contract
- **l** ☐ Indemnity contract
- **m** ☐ Other (specify) ▶

| | | | | |
|---|---|---|---|---|
| **9** Experience-rated contracts: | | | | |
| **a** Premiums: (1) Amount received | **9a(1)** | | | |
| (2) Increase (decrease) in amount due but unpaid | **9a(2)** | | | |
| (3) Increase (decrease) in unearned premium reserve | **9a(3)** | | | |
| (4) Earned (**(1)** + **(2)** - **(3)**) | | | **9a(4)** | 0 |
| **b** Benefit charges (1) Claims paid | **9b(1)** | | | |
| (2) Increase (decrease) in claim reserves | **9b(2)** | | | |
| (3) Incurred claims (add **(1)** and **(2)**) | | | **9b(3)** | 0 |
| (4) Claims charged | | | **9b(4)** | |
| **c** Remainder of premium: (1) Retention charges (on an accrual basis) -- | | | | |
| (A) Commissions | **9c(1)(A)** | | | |
| (B) Administrative service or other fees | **9c(1)(B)** | | | |
| (C) Other specific acquisition costs | **9c(1)(C)** | | | |
| (D) Other expenses | **9c(1)(D)** | | | |
| (E) Taxes | **9c(1)(E)** | | | |
| (F) Charges for risks or other contingencies | **9c(1)(F)** | | | |
| (G) Other retention charges | **9c(1)(G)** | | | |
| (H) Total retention | | | **9c(1)(H)** | 0 |
| (2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.) | | | **9c(2)** | |
| **d** Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement | | | **9d(1)** | |
| (2) Claim reserves | | | **9d(2)** | |
| (3) Other reserves | | | **9d(3)** | |
| **e** Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.) | | | **9e** | |
| **10** Nonexperience-rated contracts: | | | | |
| **a** Total premiums or subscription charges paid to carrier | | | **10a** | |
| **b** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. | | | **10b** | |

Specify nature of costs.

## Part IV Provision of Information

**11** Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

**12** If the answer to line 11 is "Yes," specify the information not provided. ▶

| SCHEDULE C (Form 5500) | Service Provider Information | OMB No. 1210-0110 |
|---|---|---|
| Department of the Treasury<br>Internal Revenue Service<br>Department of Labor<br>Employee Benefits Security Administration<br>Pension Benefit Guaranty Corporation | This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).<br>▶ **File as an attachment to Form 5500.** | **2020**<br>**This Form is Open to Public Inspection.** |

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

**A** Name of plan
ABC PLUMBING, HEATING, COOLING & ELECTRIC 401(K) PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
ABC PLUMBING, HEATING, COOLING & ELECTRIC, INC.

**D** Employer Identification Number (EIN)
36-2665333

## Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

### 1 Information on Persons Receiving Only Eligible Indirect Compensation

**a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . ☐ Yes ☒ No

**b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EDWARD JONES INVESTMENTS

12555 MANCHESTER ROAD
DES PERES, MO 63131

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 71 72 | N/A | 17002 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes ☐ No ☐ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes ☐ No ☐ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

| **(b)** Service Code(s) | **(c)** Relationship to employer, employee organization, or person known to be a party-in-interest | **(d)** Enter direct compensation paid by the plan. If none, enter -0-. | **(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | **(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | **(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | **(h)** Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes ☐ No ☐ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

| **(b)** Service Code(s) | **(c)** Relationship to employer, employee organization, or person known to be a party-in-interest | **(d)** Enter direct compensation paid by the plan. If none, enter -0-. | **(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | **(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | **(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | **(h)** Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes ☐ No ☐ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

| **(b)** Service Code(s) | **(c)** Relationship to employer, employee organization, or person known to be a party-in-interest | **(d)** Enter direct compensation paid by the plan. If none, enter -0-. | **(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | **(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | **(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | **(h)** Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes ☐ No ☐ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

## Part I Service Provider Information (continued)

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| | | |
| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| | | |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| | | |
| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| | | |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| | | |
| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| | | |

## Part II Service Providers Who Fail or Refuse to Provide Information

**4** Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **Part III** | **Termination Information on Accountants and Enrolled Actuaries (see instructions)**<br>(complete as many entries as needed) |
|---|---|

**a** Name:

**b** EIN:

**c** Position:

**d** Address:

**e** Telephone:

Explanation:

**a** Name:

**b** EIN:

**c** Position:

**d** Address:

**e** Telephone:

Explanation:

**a** Name:

**b** EIN:

**c** Position:

**d** Address:

**e** Telephone:

Explanation:

**a** Name:

**b** EIN:

**c** Position:

**d** Address:

**e** Telephone:

Explanation:

**a** Name:

**b** EIN:

**c** Position:

**d** Address:

**e** Telephone:

Explanation:

# SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

## DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

**2020**

**This Form is Open to Public Inspection.**

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

**A** Name of plan
ABC PLUMBING, HEATING, COOLING & ELECTRIC 401(K) PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan or DFE sponsor's name as shown on line 2a of Form 5500
ABC PLUMBING, HEATING, COOLING & ELECTRIC, INC.

**D** Employer Identification Number (EIN)
36-2665333

**Part I** **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

**a** Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2065

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 01-0233346-000 | P | 16589 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2060

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 01-0233346-000 | P | 58677 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2055

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 01-0233346-000 | P | 262648 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2050

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 01-0233346-000 | P | 344073 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2045

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 01-0233346-000 | P | 659368 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2040

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 01-0233346-000 | P | 222917 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2035

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 01-0233346-000 | P | 332565 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2030

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 397559 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2025

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 337554 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX RET

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1117517 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: BLUE CHIP GROWTH FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 781704 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: EUROPACIFIC GROWTH FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1591 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: FIDELITY MID CAP INDEX FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 267472 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: NEW WORLD FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2746 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: PGIM JENNISON MID CAP GROWTH

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 5460 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD EXPLORER FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3194 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: 500 INDEX FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 768613 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: AB GLOBAL BOND FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3781 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: FEDERATED HIGH YIELD BOND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 74 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: TOTAL BOND MARKET FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 14 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: JH STABLE VAL GNTD INCOME FUND

**b** Name of sponsor of entity listed in (a): JOHN HANCOCK USA

| **c** EIN-PN 01-0233346-000 | **d** Entity code P | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 28 |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|

**Part II** **Information on Participating Plans (to be completed by DFEs)**
(Complete as many entries as needed to report all participating plans)

| | |
|---|---|
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |

# SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

**2020**

**This Form is Open to Public Inspection**

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

**A** Name of plan
ABC PLUMBING, HEATING, COOLING & ELECTRIC 401(K) PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
ABC PLUMBING, HEATING, COOLING & ELECTRIC, INC.

**D** Employer Identification Number (EIN)
36-2665333

## Part I Asset and Liability Statement

**1** Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

| Assets | | (a) Beginning of Year | (b) End of Year |
|---|---|---|---|
| **a** Total noninterest-bearing cash | 1a | 0 | 64871 |
| **b** Receivables (less allowance for doubtful accounts): | | | |
| **(1)** Employer contributions | 1b(1) | 375000 | 525635 |
| **(2)** Participant contributions | 1b(2) | 0 | 90790 |
| **(3)** Other | 1b(3) | 0 | 0 |
| **c** General investments: | | | |
| **(1)** Interest-bearing cash (include money market accounts & certificates of deposit) | 1c(1) | 26852 | 0 |
| **(2)** U.S. Government securities | 1c(2) | | |
| **(3)** Corporate debt instruments (other than employer securities): | | | |
| **(A)** Preferred | 1c(3)(A) | | |
| **(B)** All other | 1c(3)(B) | | |
| **(4)** Corporate stocks (other than employer securities): | | | |
| **(A)** Preferred | 1c(4)(A) | | |
| **(B)** Common | 1c(4)(B) | 2995394 | 0 |
| **(5)** Partnership/joint venture interests | 1c(5) | | |
| **(6)** Real estate (other than employer real property) | 1c(6) | | |
| **(7)** Loans (other than to participants) | 1c(7) | | |
| **(8)** Participant loans | 1c(8) | | |
| **(9)** Value of interest in common/collective trusts | 1c(9) | | |
| **(10)** Value of interest in pooled separate accounts | 1c(10) | 0 | 5574145 |
| **(11)** Value of interest in master trust investment accounts | 1c(11) | | |
| **(12)** Value of interest in 103-12 investment entities | 1c(12) | | |
| **(13)** Value of interest in registered investment companies (e.g., mutual funds) | 1c(13) | 1360712 | 420 |
| **(14)** Value of funds held in insurance company general account (unallocated contracts) | 1c(14) | | |
| **(15)** Other | 1c(15) | | |

| | | | **(a)** Beginning of Year | **(b)** End of Year |
|---|---|---|---|---|
| **1d** | Employer-related investments: | | | |
| | **(1)** Employer securities | 1d(1) | | |
| | **(2)** Employer real property | 1d(2) | | |
| **e** | Buildings and other property used in plan operation | 1e | | |
| **f** | Total assets (add all amounts in lines 1a through 1e) | 1f | 4757958 | 6255861 |
| | **Liabilities** | | | |
| **g** | Benefit claims payable | 1g | | |
| **h** | Operating payables | 1h | 0 | 1710 |
| **i** | Acquisition indebtedness | 1i | | |
| **j** | Other liabilities | 1j | | |
| **k** | Total liabilities (add all amounts in lines 1g through1j) | 1k | 0 | 1710 |
| | **Net Assets** | | | |
| **l** | Net assets (subtract line 1k from line 1f) | 1l | 4757958 | 6254151 |

## Part II Income and Expense Statement

**2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

| | **Income** | | **(a)** Amount | **(b)** Total |
|---|---|---|---|---|
| **a** | **Contributions:** | | | |
| | **(1)** Received or receivable in cash from: **(A)** Employers | 2a(1)(A) | 525635 | |
| | **(B)** Participants | 2a(1)(B) | 168351 | |
| | **(C)** Others (including rollovers) | 2a(1)(C) | | |
| | **(2)** Noncash contributions | 2a(2) | | |
| | **(3)** Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)** | 2a(3) | | 693986 |
| **b** | **Earnings on investments:** | | | |
| | **(1)** Interest: | | | |
| | **(A)** Interest-bearing cash (including money market accounts and certificates of deposit) | 2b(1)(A) | 47 | |
| | **(B)** U.S. Government securities | 2b(1)(B) | | |
| | **(C)** Corporate debt instruments | 2b(1)(C) | | |
| | **(D)** Loans (other than to participants) | 2b(1)(D) | | |
| | **(E)** Participant loans | 2b(1)(E) | | |
| | **(F)** Other | 2b(1)(F) | | |
| | **(G)** Total interest. Add lines **2b(1)(A)** through **(F)** | 2b(1)(G) | | 47 |
| | **(2)** Dividends: **(A)** Preferred stock | 2b(2)(A) | | |
| | **(B)** Common stock | 2b(2)(B) | 55541 | |
| | **(C)** Registered investment company shares (e.g. mutual funds) | 2b(2)(C) | 34305 | |
| | **(D)** Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)** | 2b(2)(D) | | 89846 |
| | **(3)** Rents | 2b(3) | | |
| | **(4)** Net gain (loss) on sale of assets: **(A)** Aggregate proceeds | 2b(4)(A) | 10199672 | |
| | **(B)** Aggregate carrying amount (see instructions) | 2b(4)(B) | 9341322 | |
| | **(C)** Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result | 2b(4)(C) | | 858350 |
| | **(5)** Unrealized appreciation (depreciation) of assets: **(A)** Real estate | 2b(5)(A) | | |
| | **(B)** Other | 2b(5)(B) | | |
| | **(C)** Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)** | 2b(5)(C) | | 0 |

| | | (a) Amount | (b) Total |
|---|---|---|---|
| **(6)** Net investment gain (loss) from common/collective trusts | 2b(6) | | |
| **(7)** Net investment gain (loss) from pooled separate accounts | 2b(7) | | |
| **(8)** Net investment gain (loss) from master trust investment accounts | 2b(8) | | |
| **(9)** Net investment gain (loss) from 103-12 investment entities | 2b(9) | | |
| **(10)** Net investment gain (loss) from registered investment companies (e.g., mutual funds) | 2b(10) | | 11340 |
| **c** Other income | 2c | | 5436 |
| **d** Total income. Add all **income** amounts in column (b) and enter total | 2d | | 1659005 |
| **Expenses** | | | |
| **e** Benefit payment and payments to provide benefits: | | | |
| **(1)** Directly to participants or beneficiaries, including direct rollovers | 2e(1) | 140297 | |
| **(2)** To insurance carriers for the provision of benefits | 2e(2) | | |
| **(3)** Other | 2e(3) | | |
| **(4)** Total benefit payments. Add lines **2e(1)** through **(3)** | 2e(4) | | 140297 |
| **f** Corrective distributions (see instructions) | 2f | | |
| **g** Certain deemed distributions of participant loans (see instructions) | 2g | | |
| **h** Interest expense | 2h | | |
| **i** Administrative expenses: **(1)** Professional fees | 2i(1) | 1710 | |
| **(2)** Contract administrator fees | 2i(2) | 1716 | |
| **(3)** Investment advisory and management fees | 2i(3) | 19089 | |
| **(4)** Other | 2i(4) | | |
| **(5)** Total administrative expenses. Add lines **2i(1)** through **(4)** | 2i(5) | | 22515 |
| **j** Total expenses. Add all **expense** amounts in column (b) and enter total | 2j | | 162812 |
| **Net Income and Reconciliation** | | | |
| **k** Net income (loss). Subtract line **2j** from line **2d** | 2k | | 1496193 |
| **l** Transfers of assets: | | | |
| **(1)** To this plan | 2l(1) | | |
| **(2)** From this plan | 2l(2) | | |

## Part III Accountant's Opinion

**3** Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

**a** The attached opinion of an independent qualified public accountant for this plan is (see instructions):

**(1)** [X] Unmodified **(2)** [ ] Qualified **(3)** [ ] Disclaimer **(4)** [ ] Adverse

**b** Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

**(1)** [X] DOL Regulation 2520.103-8 **(2)** [X] DOL Regulation 2520.103-12(d) **(3)** [ ] neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

**c** Enter the name and EIN of the accountant (or accounting firm) below:

**(1)** Name: KRD, LTD **(2)** EIN: 36-3856676

**d** The opinion of an independent qualified public accountant is **not attached** because:

**(1)** [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

## Part IV Compliance Questions

**4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

| | | Yes | No | Amount |
|---|---|---|---|---|
| **a** Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.) | 4a | | X | |

| | | | Yes | No | Amount |
|---|---|---|---|---|---|
| **b** | Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.) | **4b** | | X | |
| **c** | Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) | **4c** | | X | |
| **d** | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.) | **4d** | | X | |
| **e** | Was this plan covered by a fidelity bond? | **4e** | X | | 500000 |
| **f** | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | **4f** | | X | |
| **g** | Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? | **4g** | | X | |
| **h** | Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser? | **4h** | | X | |
| **i** | Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.) | **4i** | X | | |
| **j** | Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.) | **4j** | X | | |
| **k** | Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | **4k** | | X | |
| **l** | Has the plan failed to provide any benefit when due under the plan? | **4l** | | X | |
| **m** | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | **4m** | | X | |
| **n** | If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | **4n** | | | |

**5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No

If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

**5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

| **5b(1)** Name of plan(s) | **5b(2)** EIN(s) | **5b(3)** PN(s) |
|---|---|---|
| | | |
| | | |
| | | |
| | | |

**5c** Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

# SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

**2020**

**This Form is Open to Public Inspection.**

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

**A** Name of plan
ABC PLUMBING, HEATING, COOLING & ELECTRIC 401(K) PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
ABC PLUMBING, HEATING, COOLING & ELECTRIC, INC.

**D** Employer Identification Number (EIN)
36-2665333

## Part I Distributions

**All references to distributions relate only to payments of benefits during the plan year.**

**1** Total value of distributions paid in property other than in cash or the forms of property specified in the instructions ..... **1** 0

**2** Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 32-2665333

**Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.**

**3** Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year ..... **3**

## Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

**4** Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

**If the plan is a defined benefit plan, go to line 8.**

**5** If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

**If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.**

**6** **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) ..... **6a**

**b** Enter the amount contributed by the employer to the plan for this plan year ..... **6b**

**c** Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) ..... **6c**

**If you completed line 6c, skip lines 8 and 9.**

**7** Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

**8** If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

## Part III Amendments

**9** If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

## Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

**10** Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

**11** **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

**b** If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

**12** Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

## Part V Additional Information for Multiemployer Defined Benefit Pension Plans

**13** Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

**14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

| | | |
|---|---|---|
| **a** The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment) | **14a** | |
| **b** The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment) | **14b** | |
| **c** The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment) | **14c** | |

**15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

| | | |
|---|---|---|
| **a** The corresponding number for the plan year immediately preceding the current plan year | **15a** | |
| **b** The corresponding number for the second preceding plan year | **15b** | |

**16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

| | | |
|---|---|---|
| **a** Enter the number of employers who withdrew during the preceding plan year | **16a** | |
| **b** If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers | **16b** | |

**17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

## Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

**18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

**19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

**a** Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

**b** Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

**c** What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

**20** **PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

**a** Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

**b** If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation_____

# *ABC PLUMBING, HEATING, & COOLING, INC.*
# *PROFIT SHARING PLAN & TRUST*

*Financial Statements*
*December 31, 2020 and 2019*

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**INDEPENDENT AUDITORS' REPORT**

To the Plan Administrator of the
ABC Plumbing, Heating & Cooling, Inc. Profit Sharing Plan & Trust
Arlington Heights, Illinois

**Report on the Financial Statements**

We have audited the accompanying financial statements of ABC Plumbing, Heating & Cooling, Inc. Profit Sharing Plan & Trust (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2020 and 2019, and the related statement of changes in net assets available for benefits for the year ended December 31, 2020, and the related notes to financial statements.

**Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

**Auditors' Responsibility**

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgement, including the assessment of the risk of material misstatement of the financial statements, whether due to fraud or error, in making those risk assessments, the auditor considers internal control relevant to the Plan's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion of the effectiveness of the Plan's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of financial statements

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

**Opinion**

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for benefits of ABC Plumbing, Heating & Cooling, Inc. Profit Sharing Plan & Trust as of December 31, 2020 and 2019, and the changes in net assets available for benefits for the year ended December 31, 2020 in accordance with accounting principles generally accepted in the United States of America.

**Report on Supplemental Information**

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedules of assets (held at year end) and reportable transactions for the year ended December 31, 2020, together referred to as "supplemental information" are presented for the purpose of additional analysis and are not required part of the financial statements but are supplemental information required by the Department of Labor's Rules and Regulations for Reporting and Disclosures under the Employee Retirement Income Security Act of 1974. Such information is the responsibility of the Plan's management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

KRD, Ltd.

Schaumburg, Illinois
October 11, 2021

# ABC PLUMBING, HEATING, & COOLING, INC.
# PROFIT SHARING PLAN & TRUST

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
DECEMBER 31, 2020 AND 2019

*See notes to financial statements.*

| | 2020 | 2019 |
|---|---|---|
| *ASSETS* | | |
| **INVESTMENTS, AT FAIR VALUE** | | |
| Money market | **$ -** | $ 26,852 |
| Common stocks | **-** | 2,995,394 |
| Registered investment companies | **420** | 1,360,712 |
| Pooled separate accounts | **5,574,145** | - |
| Total investments | **5,574,565** | 4,382,958 |
| **CASH** | **64,871** | - |
| **RECEIVABLES** | | |
| Employer profit sharing contribution | **409,414** | 375,000 |
| Employer match contribution | **116,221** | - |
| Employee deferral contributions | **90,790** | - |
| Total receivables | **616,425** | 375,000 |
| Total assets | **6,255,861** | 4,757,958 |
| *LIABILITIES* | | |
| Operating payables | **1,710** | - |
| **NET ASSETS AVAILABLE FOR BENEFITS** | **$ 6,254,151** | $ 4,757,958 |

# ABC PLUMBING, HEATING, & COOLING, INC.
# PROFIT SHARING PLAN & TRUST

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
YEAR ENDED DECEMBER 31, 2020

*See notes to financial statements.*

| | | |
|---|---|---|
| **ADDITIONS TO NET ASSETS ATTRIBUTED TO** | | |
| Investment income | | |
| Interest and dividend income | **$** | **89,893** |
| Net appreciation in fair value of investments | | **869,690** |
| Other income | | **5,436** |
| | | **965,019** |
| **CONTRIBUTIONS** | | |
| Employer - profit sharing | | **409,414** |
| Employer - matching | | **116,221** |
| Emplooyee contributions | | **168,351** |
| | | **693,986** |
| Total additions | | **1,659,005** |
| **DEDUCTIONS FROM NET ASSETS ATTRIBUTED TO** | | |
| Benefits paid to participants | | **140,297** |
| Administrative expenses | | **22,515** |
| | | **162,812** |
| **NET INCREASE IN NET ASSETS AVAILABLE FOR BENEFITS** | | **1,496,193** |
| **NET ASSETS AVAILABLE FOR BENEFITS - BEGINNING OF YEAR** | | **4,757,958** |
| **NET ASSETS AVAILABLE FOR BENEFITS - END OF YEAR** | **$** | **6,254,151** |

**DESCRIPTION OF PLAN**

The following description of the ABC Plumbing, Heating & Cooling, Inc. Profit Sharing Plan & Trust (the "Plan") provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions. The Plan covers employees of ABC Plumbing, Heating, Cooling, & Electric, Inc., ABC Plumbing, Air and Heat, LLC and ABC Southwest Company, Inc. ("the Company"). The Plan was amended and restated as of December 1, 2020. at which time a CODA (salary deferral) and Company match contributions were added.

The Plan is a defined contribution plan covering substantially all employees who must be the age of 21, and completed one full year of service during the Plan year (attaining 1,000 hours of service). Once this requirement has been met, an employee will enter the plan on January 1st or July 1st, coincident with satisfaction of the eligibility requirements. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

***Contributions:*** The Plan is a defined contribution plan, pursuant to Section 401(k) of the Internal Revenue Code, and provides for the following contributions, which are to be maintained in separate accounts for each participant:

(a) Salary Deferral Contributions – Effective December 1, 2020, a participant may elect to, on a pre-tax basis, an after-tax basis, or a combination of the two, defer and contribute to the Plan each year amounts not to exceed the lesser of 100 percent of compensation, as defined, or $19,500 ($26,000 for participants over the age of 50), adjusted periodically for cost of living increases.

(b) Employer Matching Contributions – Effective December 1, 2020, at the discretion of the Company, the Company can make a matching contribution that is allocated by a formula as determined by the Company in its sole discretion.

(c) Employer Profit Sharing Contribution - to be made at the discretion of the Company. These contributions are allocated based on the proportion that the total compensation, as defined, of each participant bears to the total compensation of all participants. In order to receive a profit sharing or match contribution, participants must be 21 years old, complete 1,000 hours of service, and be employed as of the Plan year end.

(d) Rollover and Transfer Contributions - to be made by the employee from amounts distributed or transferred from other qualified plans.

***Investment Options:*** The Plan administrator determined the investments to be used for all accounts and directed the deposit of all contributions for the period January 1, 2020 through December 30, 2020. Effective December 1, 2020, for salary deferral and December 31, 2020 for all contribution sources. participants direct the investment of their contributions into various investment options offered by the Plan. Participants may change their investment options daily.

***Participant Accounts:*** During the period January 1, 2020 through December 30, 2020, each participant's account was credited with an allocation of (a) the Company's profit sharing contribution, (b) Plan earnings, and (c) forfeitures of terminated participants' nonvested accounts and charged with an allocation of any administrative expenses paid by the plan. Allocations are based on participant earnings or account balances, as defined. Effective December 31, 2020, each participant's account is credited with the participant's salary deferral contribution, the allocation of the Company's contribution, Plan earnings, which are allocated proportionately among all participants based on their investment in each fund, and are charged with an allocation of Plan expenses. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

***Participant Loans:*** Participants may borrow from their fund accounts, a minimum of $1,000 up to a maximum of the lesser of $50,000 or 50% of the participant's vested account balance. The loans are secured by the balance of the participant's account and bear a reasonable rate as determined by the plan administrator. Principal and interest is paid

**DESCRIPTION OF PLAN (CONTINUED)**

***Participant Loan (continued):*** ratably through payroll deductions. The loan will become payable in full on termination of employment. There were no participant loans outstanding as of December 31, 2020 and 2019.

***Payment of Benefits:*** Payments of benefits are made to participants or their beneficiaries upon death, retirement, disability or, at the option of the employee, termination of employment. The participant will reach the plan's normal retirement at the later of age 65 and the fifth anniversary of participation. Payment of benefits will begin as soon as practicable following separation from service, based on account value on the preceding valuation date. A participant is eligible for early retirement benefits on the date they reach age 55 and 10 years of service. The vesting in the employer contribution will be determined in accordance with the plan's vesting schedule. Participants' accounts under $1,000 will be distributed as soon as feasible following the event that entitles the participant to a distribution in the form of a lump sum payment.

Distributions due to retirement, permanent disability or death will be paid based on the provisions in the Plan. Disability is based on the determination of the Social Security Administration eligibility to receive Social Security disability payments.

***Administrative Expenses:*** All Plan expenses, including without limitation, expenses and fees of the plan sponsor, administrator, investment manager, trustee and any insurance company shall be charged as expenses of the Plan unless the employer chooses to pay such expenses. The Plan pays substantially all administrative expenses of the Plan.

***Vesting:*** Participants are fully vested in their contributions and rollovers plus actual earnings thereon. Vesting of Employer Matching Contributions and Employer Profit Sharing Contribution accounts for each participant is based on years of service, beginning with 20% after two years, increasing 20% per year until fully vested after six years of service. At normal retirement, death or upon becoming disabled, participants become 100% vested regardless of years of service.

***Forfeited Accounts:*** Forfeited balances of terminated participants' non-vested accounts may be re-allocated among eligible participants or to pay administrative expenses of the Plan. Forfeitures as of December 31, 2020 was $63,161.

**SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

***Basis of Accounting:*** The accompanying financial statements are presented on the accrual basis of accounting.

***Use of Estimates:*** The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

***Investment Valuation and Income Recognition***: Investments are reported are fair value. Fair value is the price that would be received to sell an asset or paid to transfer the liability in an orderly transaction between market participants at the measurement date.

Purchases and sales of securities are recorded on a trade-date basis. Dividend income is recorded on the ex-dividend date. Interest income is recorded on the accrual basis. Net appreciation (depreciation) includes the Plan's gains and losses in investments bought and sold as well as held during the year.

***Payment of Benefits:*** Benefits are recorded when paid.

**SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)**

***Administrative Expenses:*** Recordkeeping and investment advisory fees are paid by the Plan. Certain administrative expenses are paid by the Company.

***Subsequent Events:*** Management has evaluated subsequent events through October 11, 2021, the date the financial statements were available to be issued.

**FAIR VALUE MEASUREMENTS**

The framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. This hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs. The three levels of the fair value hierarchy are described as follows:

**Level 1:** Inputs to the valuation methodology are quoted prices (unadjusted) in active markets for identical assets or liabilities that the Plan can access at the measurement date.

**Level 2:** Inputs other than quoted prices included within Level 1 that are observable for the asset or liability, either directly or indirectly, such as:

- Quoted prices for similar assets or liabilities in active markets.
- Quoted prices for identical or similar assets or liabilities in inactive markets.
- Inputs other than quoted prices that are observable for the asset or liabilities.
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

**Level 3:** Inputs that are unobservable inputs for the asset or liability.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2020.

Money Market: is interest bearing cash accounts. The account earns interest at a specified interest rate.

Common Stocks: Shares of common stock are valued at the daily closing price. Common stocks are registered with the U.S. Securities and Exchange Commission. Common stocks held by the Plan are deemed to be actively traded.

Registered investment companies (mutual funds and exchange traded funds): Valued at the daily closing process as reported by the fund. Mutual funds held by the Plan are open-ended mutual funds that are registered with the U.S. Securities and Exchange Commission. These funds are required to publish their daily net asset value and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Shares of Pooled Separate Accounts*:* Pooled separate accounts are valued at the net asset value ("NAV") of shares held by the Plan. Pooled separate accounts held by the Plan are privately managed investment accounts through a brokerage or financial advisor that uses pooled money to buy individual assets. The pooled separate accounts held by the Plan are deemed to be actively traded.

**FAIR VALUE MEASUREMENTS (CONTINUED)**

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2020 and 2019. Classification within the fair value hierarchy table is based on the lowest level of any input that is significant to the fair value measurement.

| | Level 1 | Level 2 | Total |
|---|---|---|---|
| **December 31, 2020** | | | |
| Registered investment companies | **$ 420** | **$ -** | **$ 420** |
| Investments measured at net asset value (a) | **-** | **-** | **5,574,145** |
| Investments at fair value | **$ 420** | **$ -** | **$ 5,574,565** |
| **December 31, 2019** | | | |
| Money market | $ 26,852 | $ - | $ 26,852 |
| Common stocks | 2,995,394 | - | 2,995,394 |
| Registered investment companies | 1,360,712 | - | 1,360,712 |
| Investments at fair value | $ 4,382,958 | $ - | $ 4,382,958 |

(a) In accordance with Subtopic 820-10, certain investments that were measured at net asset value per share (or its equivalent) have not been classified in the fair value hierarchy. The fair value amounts presented in the tables below are intended to permit reconciliation of the fair value hierarchy to the line items presented in the statement of net assets available for benefits.

The following table summarizes investments measured at fair value based on NAV per share as of December 31, 2020 and 2019:

| Investment | Fair Value 2020 | Fair Value 2019 | Unfunded Commitment | Redemption Frequency | Redemption Notice Period |
|---|---|---|---|---|---|
| Pooled Separate Accounts | $ 5,574,145 | $ - | $ - | Daily | 1 day |

**INFORMATION PREPARED AND CERTIFIED BY THE CUSTODIAN OF THE PLAN**

As of December 31, 2020, all investment information included in the accompanying financial statements and supplemental schedule was obtained from data that has been prepared and certified as being complete and accurate by John Hancock, the custodian.

**PARTIES-IN-INTEREST TRANSACTIONS**

Certain Plan investments are shares of registered investment companies managed by Fidelity Investment Company and Edward Jones, the plan custodians and, therefore, these transactions qualify as party-in-interest transactions. Fees paid by the Plan to the plan custodians for the year ended December 31, 2020 were $14,206. Certain administrative expenses incurred by the Plan are paid by the Company. Additionally, certain employees of the Company provide various services to the Plan. These employees are not compensated for these services from the Plan.

**PLAN TERMINATION**

Although it has not expressed any intent to do so, the employer has a right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants would become 100% vested in their employer contributions.

**TAX STATUS**

The Plan follows a non-standardized form of a prototype plan sponsored by Datair Employee Benefit Systems Inc. The prototype plan has received an opinion letter dated March 31, 2014, from the Internal Revenue Service as to the prototype plan' qualified status. Although the Plan has been amended since receiving the determination letter, the plan administrator and the Plan' tax counsel believe that the Plan is designed, and is currently being operated, in compliance with the applicable requirements of the Internal Revenue Code, and therefore, believe that the Plan is qualified, and the related trust is tax exempt. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

**RISKS AND UNCERTAINTIES**

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits.

**SUBSEQUENT EVENTS**

Effective January 1, 2021, Safe Harbor provisions of the Plan will be effective. The Company will make Safe Harbor Contributions to the Plan in the amount of 3% of the participant's compensation.

The extent of the impact of the COVID-19 outbreak on the financial performance of a Plan's investments will depend on future developments, including the duration and severity of the outbreak and its impact on the financial markets and the overall economy, all of which are highly uncertain and cannot be predicted. If the financial markets and/or the overall economy are impacted for an extended period, the Plan's investment results may be materially adversely affected.

**CARES ACT**

The COVID-19 outbreak may trigger volatility in financial markets and could have a significant negative impact on the global economy. In March 2020, The Coronavirus Aid, Relief and Economic Security Act ("CARES Act") was signed into law. The plan has not been amended to allow for CARES distributions or loans.

*SUPPLEMENTARY INFORMATION*

**ABC PLUMBING, HEATING, & COOLING, INC.**
**PROFIT SHARING PLAN & TRUST**

SCHEDULE H, LINE 4i-SCHEDULE OF ASSETS (HELD AT END OF YEAR)
YEAR ENDED DECEMBER 31, 2020

**EMPLOYER IDENTIFICATION NUMBER: 36-2665333**
**PLAN NUMBER: 001**

| (a) | (b) Identity of Issuer, Borrower, Lessor or Similar Party | (c) Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value | (d) Cost | (e) Current Value |
|---|---|---|---|---|
| | Pooled separate accounts | | | |
| * | John Hancock Life Insurance Company | BlackRock LifePath Index 2065 | N/A | $ 16,589 |
| * | John Hancock Life Insurance Company | BlackRock LifePath Index 2060 | N/A | 58,677 |
| * | John Hancock Life Insurance Company | BlackRock LifePath Index 2055 | N/A | 262,649 |
| * | John Hancock Life Insurance Company | BlackRock LifePath Index 2050 | N/A | 334,073 |
| * | John Hancock Life Insurance Company | BlackRock LifePath Index 2045 | N/A | 659,368 |
| * | John Hancock Life Insurance Company | BlackRock LifePath Index 2040 | N/A | 222,917 |
| * | John Hancock Life Insurance Company | BlackRock LifePath Index 2035 | N/A | 332,565 |
| * | John Hancock Life Insurance Company | BlackRock LifePath Index 2030 | N/A | 397,559 |
| * | John Hancock Life Insurance Company | BlackRock LifePath Index 2025 | N/A | 337,554 |
| * | John Hancock Life Insurance Company | BlackRock LifePath Index Ret | N/A | 1,117,517 |
| * | John Hancock Life Insurance Company | Blue Chip Growth Fund | N/A | 781,704 |
| * | John Hancock Life Insurance Company | EuroPacific Growth Fund | N/A | 1,591 |
| * | John Hancock Life Insurance Company | Fidelity Mid Cap Index Fund | N/A | 267,472 |
| * | John Hancock Life Insurance Company | New World Fund | N/A | 2,746 |
| * | John Hancock Life Insurance Company | PGIM Jennison Mid Cap Growth | N/A | 5,460 |
| * | John Hancock Life Insurance Company | Vanguard Explorer Fund | N/A | 3,194 |
| * | John Hancock Life Insurance Company | 500 Index Fund | N/A | 768,613 |
| * | John Hancock Life Insurance Company | AB Global Bond Fund | N/A | 3,781 |
| * | John Hancock Life Insurance Company | Federated High Yield Bond | N/A | 74 |
| * | John Hancock Life Insurance Company | Total Bond Market Fund | N/A | 14 |
| * | John Hancock Life Insurance Company | JH Stable Val Gntd Income Fund | N/A | 28 |
| | | | | 5,574,145 |
| | Registered Investment Companies | | | |
| * | Fidelity Investments | Fidelity Govt Cash Reserves | N/A | 420 |
| | Total | | | $ 5,574,565 |

* Indicates a party-in-interest as defined

**ABC PLUMBING, HEATING, & COOLING, INC.**
**PROFIT SHARING PLAN & TRUST**
SCHEDULE H, LINE 4j - SCHEDULE OF REPORTABLE TRANSACTIONS
DECEMBER 31, 2020

**EMPLOYER IDENTIFICATION NUMBER: 36-2665333**
**PLAN NUMBER: 001**

| (a) | (b) | (c) | (d) | (e) | (f) | (g) | (h) | (i) |
|---|---|---|---|---|---|---|---|---|
| Identity of party involved | Description of asset (include interest rate and maturity in case of a loan) | Purchase Price | Selling Price | Lease rental | Expense incurred with transaction | Cost of asset | Current value of asset on transaction date | Net gain or (loss) |
| Edward Jones Investments | Retirement Money Market | $ 31,572 | $ 31,572 | - | - | $ 31,572 | $ 31,572 | $ - |
| Edward Jones Investments | Abbvie Incorporated | 23,629 | 27,891 | - | - | 23,629 | 27,891 | 4,262 |
| Edward Jones Investments | Accenture PLC Ireland Shs Cl | 15,793 | 10,839 | - | - | 15,793 | 10,839 | (4,953) |
| Edward Jones Investments | Alphabet Inc | 16,075 | 26,459 | - | - | 16,075 | 26,459 | 10,384 |
| Edward Jones Investments | Apple Inc | 37,477 | 65,844 | - | - | 37,477 | 65,844 | 28,367 |
| Edward Jones Investments | Berkshire Hathaway Inc | 16,402 | 20,025 | - | - | 16,402 | 20,025 | 3,623 |
| Edward Jones Investments | Blackrock Inc Cl A | 23,608 | 36,091 | - | - | 23,608 | 36,091 | 12,483 |
| Edward Jones Investments | Boeing Co | 16,288 | 4,564 | - | - | 16,288 | 4,564 | (11,725) |
| Edward Jones Investments | Booking Holdings Inc | 20,537 | 16,334 | - | - | 20,537 | 16,334 | (4,204) |
| Edward Jones Investments | Broadcom Inc | 17,461 | 44,090 | - | - | 17,461 | 44,090 | 26,630 |
| Edward Jones Investments | Cerner Corp | 22,017 | 23,223 | - | - | 22,017 | 23,223 | 1,206 |
| Edward Jones Investments | Check Point Software Tech | 22,192 | 24,376 | - | - | 22,192 | 24,376 | 2,184 |
| Edward Jones Investments | CIGNA Corp New | 30,680 | 30,503 | - | - | 30,680 | 30,503 | (176) |
| Edward Jones Investments | Comcast Corp Cl A | 23,651 | 27,188 | - | - | 23,651 | 27,188 | 3,537 |
| Edward Jones Investments | Costco Wholesale Corp | 31,486 | 39,329 | - | - | 31,486 | 39,329 | 7,843 |
| Edward Jones Investments | Dominion Energy | 10,717 | 9,935 | - | - | 10,717 | 9,935 | (782) |
| Edward Jones Investments | Enbridge Inc | 26,698 | 24,745 | - | - | 26,698 | 24,745 | (1,953) |
| Edward Jones Investments | Eog Resources Inc | 16,795 | 5,602 | - | - | 16,795 | 5,602 | (11,193) |
| Edward Jones Investments | Estee Lauder Companies Inc | 41,310 | 51,726 | - | - | 41,310 | 51,726 | 10,416 |
| Edward Jones Investments | General Dynamics Corp | 13,740 | 9,299 | - | - | 13,740 | 9,299 | (4,441) |
| Edward Jones Investments | Intercept Pharmaceuticals In | 24,784 | 9,974 | - | - | 24,784 | 9,974 | (14,810) |
| Edward Jones Investments | JPMorgan Chase & Co | 22,304 | 19,402 | - | - | 22,304 | 19,402 | (2,902) |
| Edward Jones Investments | Linde PLC | 15,262 | 25,822 | - | - | 15,262 | 25,822 | 10,560 |
| Edward Jones Investments | Livent Corp | 17,100 | 11,707 | - | - | 17,100 | 11,707 | (5,393) |
| Edward Jones Investments | Lockheed Martin Corp | 19,225 | 21,627 | - | - | 19,225 | 21,627 | 2,401 |
| Edward Jones Investments | MasterCard Inc Cl A | 29,859 | 33,454 | - | - | 29,859 | 33,454 | 3,595 |
| Edward Jones Investments | Medtronic PLC | 24,168 | 24,588 | - | - | 24,168 | 24,588 | 421 |
| Edward Jones Investments | Microsoft Corp | 23,655 | 32,979 | - | - | 23,655 | 32,979 | 9,324 |
| Edward Jones Investments | Netease Inc Sponsored ADR | 18,398 | 16,567 | - | - | 18,398 | 16,567 | (1,832) |
| Edward Jones Investments | O Reilly Automotive Inc. | 40,753 | 45,637 | - | - | 40,753 | 45,637 | 4,884 |
| Edward Jones Investments | PNC Financial Services Grop | 19,954 | 18,153 | - | - | 19,954 | 18,153 | (1,801) |
| Edward Jones Investments | Public Storage Inc | 15,690 | 18,582 | - | - | 15,690 | 18,582 | 2,892 |
| Edward Jones Investments | S&P Global Inc. | 15,271 | 25,986 | - | - | 15,271 | 25,986 | 10,715 |
| Edward Jones Investments | Thermo Fisher Scientific Inc | 14,926 | 22,942 | - | - | 14,926 | 22,942 | 8,016 |
| Edward Jones Investments | Tractor Supply Co | 27,555 | 43,934 | - | - | 27,555 | 43,934 | 16,380 |
| Edward Jones Investments | Union Pacific Corp | 29,010 | 35,717 | - | - | 29,010 | 35,717 | 6,707 |
| Edward Jones Investments | Walt Disney Co | 16,791 | 34,872 | - | - | 16,791 | 34,872 | 18,081 |
| Edward Jones Investments | Goldman Sachs ETF Activebe | 37,185 | 43,263 | - | - | 37,185 | 43,263 | 6,078 |
| Edward Jones Investments | Invesco ETF S&P Small Cap | 25,440 | 14,924 | - | - | 25,440 | 14,924 | (10,516) |
| Edward Jones Investments | iShares Core S&P US Value | 51,667 | 75,666 | - | - | 51,667 | 75,666 | 23,999 |
| Edward Jones Investments | iShares Gold Trust | 29,000 | 36,040 | - | - | 29,000 | 36,040 | 7,040 |
| Edward Jones Investments | iShare S&P SC 600 Value | 20,382 | 36,932 | - | - | 20,382 | 36,932 | 16,550 |
| Edward Jones Investments | iShares Inc Edge MSCI Minim | 62,303 | 62,589 | - | - | 62,303 | 62,589 | 286 |
| Edward Jones Investments | Vanguard Small Cap Grouwt | 20,267 | 42,189 | - | - | 20,267 | 42,189 | 21,922 |
| Edward Jones Investments | Victoryshares US 500 Volatil | 62,508 | 41,483 | - | - | 62,508 | 41,483 | (21,025) |
| Edward Jones Investments | Wisdomtree International H | 47,087 | 55,202 | - | - | 47,087 | 55,202 | 8,115 |
| Edward Jones Investments | Wisdomtree US Midcap Divi | 39,942 | 22,243 | - | - | 39,942 | 22,243 | (17,699) |
| Edward Jones Investments | Dodge & Cox Income Fund | 52,092 | 55,918 | - | - | 52,092 | 55,918 | 3,826 |
| Edward Jones Investments | Fidelity Advisor Total Bon Cl | 53,285 | 55,121 | - | - | 53,285 | 55,121 | 1,835 |
| Edward Jones Investments | Franklin Templeton Global B | 12,394 | 11,817 | - | - | 12,394 | 11,817 | (577) |
| Edward Jones Investments | MFS International Diversific | 69,690 | 58,161 | - | - | 69,690 | 58,161 | (11,529) |
| Edward Jones Investments | MFS Value Cl R6 | 106,364 | 106,974 | - | - | 106,364 | 106,974 | 610 |
| Edward Jones Investments | PIMCO Income Cl I | 39,013 | 39,652 | - | - | 39,013 | 39,652 | 639 |
| Edward Jones Investments | PIMCO Investment Grade Cr | 59,983 | 61,732 | - | - | 59,983 | 61,732 | 1,749 |
| Edward Jones Investments | Primecap Odyssey Growth | 110,507 | 75,768 | - | - | 110,507 | 75,768 | (34,739) |
| Edward Jones Investments | T Rowe Price Blue Chip Grow | 51,003 | 85,771 | - | - | 51,003 | 85,771 | 34,768 |
| Fidelity Investments | Fidelity Govt Cash Reserves | 4,418,646 | 4,418,225 | - | - | 4,418,646 | 4,418,225 | - |
| Fidelity Investments | Fidelity 500 Index | 106,034 | 119,606 | - | - | 106,034 | 119,606 | 13,572 |
| Fidelity Investments | Fidelity Contrafund | 180,659 | 211,787 | - | - | 180,659 | 211,787 | 31,127 |
| Fidelity Investments | Primecap Odyssey Aggressiv | 29,798 | 37,417 | - | - | 29,798 | 37,417 | 7,619 |
| Fidelity Investments | SPDR S&P500 EFT Trust | 121,683 | 139,762 | - | - | 121,683 | 139,762 | 18,079 |
| Fidelity Investments | Annaly Capital Management | 65,727 | 86,379 | - | - | 65,727 | 86,379 | 20,651 |
| Fidelity Investments | Aon PLC Com USD0.01 Cl A | 33,705 | 33,113 | - | - | 33,705 | 33,113 | (592) |
| Fidelity Investments | Ingersol-Rand PLC SHRS U | 16,293 | 15,848 | - | - | 16,293 | 15,848 | (445) |
| Fidelity Investments | At&T Inc Com USD1 | 92,388 | 74,160 | - | - | 92,388 | 74,160 | (18,228) |
| Fidelity Investments | Abbott Laboratories | 66,759 | 82,676 | - | - | 66,759 | 82,676 | 15,917 |
| Fidelity Investments | Abbvie Inc Com | 57,523 | 65,878 | - | - | 57,523 | 65,878 | 8,355 |

| (a) | (b) | (c) | (d) | (e) | (f) | (g) | (h) | (i) |
|---|---|---|---|---|---|---|---|---|
| Identity of party involved | Description of asset (include interest rate and maturity in case of a loan) | Purchase Price | Selling Price | Lease rental | Expense incurred with transaction | Cost of asset | Current value of asset on transaction date | Net gain or (loss) |
| Fidelity Investments | Adobe Inc Com | 305,074 | 451,353 | - | - | 305,074 | 451,353 | 146,279 |
| Fidelity Investments | Advanced Misro Devices | - | - | - | - | - | - | - |
| Fidelity Investments | Allstate Corp Com USD0. | 39,254 | 36,748 | - | - | 39,254 | 36,748 | (2,507) |
| Fidelity Investments | Alphabet Inc Cap Stk Cl C | 115,663 | 116,967 | - | - | 115,663 | 116,967 | 1,304 |
| Fidelity Investments | Alphabet Inc Cap Stk Cl A | 40,182 | 81,076 | - | - | 40,182 | 81,076 | 40,895 |
| Fidelity Investments | Amazon.Com Inc | 132,102 | 190,516 | - | - | 132,102 | 190,516 | 58,414 |
| Fidelity Investments | Amphenol Corp Class A C | 11,190 | 13,498 | - | - | 11,190 | 13,498 | 2,308 |
| Fidelity Investments | Apple Inc Com Uds0.00001 | 144,415 | 224,885 | - | - | 144,415 | 224,885 | 80,470 |
| Fidelity Investments | Archer Danial Midland | 11,488 | 12,121 | - | - | 11,488 | 12,121 | 633 |
| Fidelity Investments | Bank of America Corp | 58,952 | 47,843 | - | - | 58,952 | 47,843 | (11,108) |
| Fidelity Investments | Blackrock Inc Com Usd0. | 24,496 | 33,492 | - | - | 24,496 | 33,492 | 8,997 |
| Fidelity Investments | Boeing Co Com USD5.00 | 34,566 | 24,528 | - | - | 34,566 | 24,528 | (10,037) |
| Fidelity Investments | Carrier Global Corporation | 2,309 | 6,122 | - | - | 2,309 | 6,122 | 3,813 |
| Fidelity Investments | Cigna Corp New Com | - | - | - | - | - | - | - |
| Fidelity Investments | CSX Corp Com USD1 | 7,456 | 9,243 | - | - | 7,456 | 9,243 | 1,787 |
| Fidelity Investments | Chevron Corp New Com | 26,917 | 20,367 | - | - | 26,917 | 20,367 | (6,550) |
| Fidelity Investments | Cicso Sys Inc Com | 26,356 | 24,385 | - | - | 26,356 | 24,385 | (1,971) |
| Fidelity Investments | Corteva Inc Com | 2,993 | 3,958 | - | - | 2,993 | 3,958 | 965 |
| Fidelity Investments | Costco Wholesale Corp | 34,793 | 43,918 | - | - | 34,793 | 43,918 | 9,125 |
| Fidelity Investments | Dowdupont Inc Com | - | - | - | - | - | - | - |
| Fidelity Investments | Dow Inc | 5,944 | 5,900 | - | - | 5,944 | 5,900 | (44) |
| Fidelity Investments | Dupont De Nemours Inc | 6,503 | 6,772 | - | - | 6,503 | 6,772 | 269 |
| Fidelity Investments | Ebay Inc | 5,570 | 7,642 | - | - | 5,570 | 7,642 | 2,072 |
| Fidelity Investments | Equinor ASA Spon ADR EA | - | - | - | - | - | - | - |
| Fidelity Investments | Facebook Inc Class A | 20,525 | 27,548 | - | - | 20,525 | 27,548 | 7,023 |
| Fidelity Investments | Garrett Motion Inc Com | - | - | - | - | - | - | - |
| Fidelity Investments | Home Depot Inc Com | 24,280 | 29,572 | - | - | 24,280 | 29,572 | 5,291 |
| Fidelity Investments | Honeywell International | 41,996 | 50,533 | - | - | 41,996 | 50,533 | 8,537 |
| Fidelity Investments | Humana Inc | 19,019 | 20,066 | - | - | 19,019 | 20,066 | 1,046 |
| Fidelity Investments | Illinois Tool Works Inc C | 21,175 | 23,663 | - | - | 21,175 | 23,663 | 2,488 |
| Fidelity Investments | Intel Corp Com USD0.001 | 22,604 | 19,189 | - | - | 22,604 | 19,189 | (3,415) |
| Fidelity Investments | International Paper Co | 16,482 | 17,481 | - | - | 16,482 | 17,481 | 998 |
| Fidelity Investments | Johnson & Johnson Com | 103,246 | 106,531 | - | - | 103,246 | 106,531 | 3,285 |
| Fidelity Investments | Lowes Companies Inc Co | 19,949 | 26,796 | - | - | 19,949 | 26,796 | 6,847 |
| Fidelity Investments | McCormick & Company I | 28,083 | 30,863 | - | - | 28,083 | 30,863 | 2,780 |
| Fidelity Investments | McDonalds Corp | 56,291 | 60,637 | - | - | 56,291 | 60,637 | 4,346 |
| Fidelity Investments | Merck & Co Inc New Com | 22,269 | 19,834 | - | - | 22,269 | 19,834 | (2,435) |
| Fidelity Investments | Microsoft Corp | 155,501 | 198,847 | - | - | 155,501 | 198,847 | 43,346 |
| Fidelity Investments | Micron Technology | 37,646 | 49,938 | - | - | 37,646 | 49,938 | 12,292 |
| Fidelity Investments | Mondelez Intl Inc Come | 31,359 | 32,720 | - | - | 31,359 | 32,720 | 1,362 |
| Fidelity Investments | Norfolk Southern Corp | 20,372 | 24,237 | - | - | 20,372 | 24,237 | 3,866 |
| Fidelity Investments | Nuveen Dow 30 Dynamic | 25,729 | 22,043 | - | - | 25,729 | 22,043 | (3,686) |
| Fidelity Investments | Okta Inc Cla A | 34,611 | 76,123 | - | - | 34,611 | 76,123 | 41,512 |
| Fidelity Investments | Otis Worldwide Corp | 3,644 | 5,274 | - | - | 3,644 | 5,274 | 1,630 |
| Fidelity Investments | Pepsico Inc | 24,416 | 25,860 | - | - | 24,416 | 25,860 | 1,444 |
| Fidelity Investments | Procter and Gamble Co | 65,064 | 70,873 | - | - | 65,064 | 70,873 | 5,809 |
| Fidelity Investments | Raytheon Co Com New | 34,492 | 18,357 | - | - | 34,492 | 18,357 | (16,136) |
| Fidelity Investments | Raytheon Technologies | 27,325 | 38,478 | - | - | 27,325 | 38,478 | 11,152 |
| Fidelity Investments | Resideo Technologies Inc | - | - | - | - | - | - | - |
| Fidelity Investments | Salesforce.Com Inc Com | 48,792 | 66,369 | - | - | 48,792 | 66,369 | 17,577 |
| Fidelity Investments | Square Inc Cl A | 31,280 | 108,380 | - | - | 31,280 | 108,380 | 77,100 |
| Fidelity Investments | Starbucks Corp Com USD | 127,749 | 150,514 | - | - | 127,749 | 150,514 | 22,765 |
| Fidelity Investments | TMobile Us Inc Com USD0 | 19,605 | 32,495 | - | - | 19,605 | 32,495 | 12,890 |
| Fidelity Investments | Texas Instruments Inc Co | 27,383 | 34,431 | - | - | 27,383 | 34,431 | 7,048 |
| Fidelity Investments | 3M Company | 21,068 | 20,882 | - | - | 21,068 | 20,882 | (186) |
| Fidelity Investments | Trane Technologies PLC | 11,619 | 15,893 | - | - | 11,619 | 15,893 | 4,274 |
| Fidelity Investments | United Technologies Corp | 24,938 | 14,337 | - | - | 24,938 | 14,337 | (10,601) |
| Fidelity Investments | Verizon Communication | 100,624 | 100,746 | - | - | 100,624 | 100,746 | 122 |
| Fidelity Investments | Walmart Inc Com | 50,446 | 61,971 | - | - | 50,446 | 61,971 | 11,525 |
| Fidelity Investments | Wells Fargo Co New Com | 38,760 | 23,757 | - | - | 38,760 | 23,757 | (15,003) |
| Fidelity Investments | Wendy's Company Com US | 19,146 | 19,360 | - | - | 19,146 | 19,360 | 214 |

## Schedule H, Line 4j
## Schedule of Reportable Transactions

Name of Plan: ► **ABC Plumbing, Heating, Cooling & Electric 401(k) Plan**
Employer Identification Number (EIN): ► **36-2665333** Three-digit plan number: ► **001**
For the plan year beginning/ending: ► **01/01/2020 - 12/31/2020**

| **(a)** Identity of party involved | **(b)**Description of asset (include interest rate and maturity in case of a loan) | **(c)** Purchase Price | **(d)** Selling price | **(e)** Lease rental | **(f)** Expense incurred with transaction | **(g)** Cost of asset | **(h)** Current value of asset on transaction date | **(i)** Net gain or (loss) |
|---|---|---|---|---|---|---|---|---|
| Edward Jones Investments | Retirement Money Market | 31,572 | 31,572 | | | 31,572 | 31,572 | 0 |
| Edward Jones Investments | Abbvie Incorporated | 23,629 | 27,891 | | | 23,629 | 27,891 | 4,262 |
| Edward Jones Investments | Accenture PLC Ireland Shs Cl | 15,793 | 10,839 | | | 15,793 | 10,839 | -4,953 |
| Edward Jones Investments | Alphabet Inc | 16,075 | 26,459 | | | 16,075 | 26,459 | 10,384 |
| Edward Jones Investments | Apple Inc | 37,477 | 65,844 | | | 37,477 | 65,844 | 28,367 |
| Edward Jones Investments | Berkshire Hathaway Inc | 16,402 | 20,025 | | | 16,402 | 20,025 | 3,623 |
| Edward Jones Investments | Blackrock Inc Cl A | 23,608 | 36,091 | | | 23,608 | 36,091 | 12,483 |
| Edward Jones Investments | Boeing Co | 16,288 | 4,564 | | | 16,288 | 4,564 | -11,725 |
| Edward Jones Investments | Booking Holdings Inc | 20,537 | 16,334 | | | 20,537 | 16,334 | -4,204 |
| Edward Jones Investments | Broadcom Inc | 17,461 | 44,090 | | | 17,461 | 44,090 | 26,630 |
| Edward Jones Investments | Cerner Corp | 22,017 | 23,223 | | | 22,017 | 23,223 | 1,206 |
| Edward Jones Investments | Check Point Software Techn | 22,192 | 24,376 | | | 22,192 | 24,376 | 2,184 |
| Edward Jones Investments | CIGNA Corp New | 30,680 | 30,503 | | | 30,680 | 30,503 | -176 |
| Edward Jones Investments | Comcast Corp Cl A | 23,651 | 27,188 | | | 23,651 | 27,188 | 3,537 |
| Edward Jones Investments | Costco Wholesale Corp | 31,486 | 39,329 | | | 31,486 | 39,329 | 7,843 |
| Edward Jones Investments | Dominion Energy | 10,717 | 9,935 | | | 10,717 | 9,935 | -782 |
| Edward Jones Investments | Enbridge Inc | 26,698 | 24,745 | | | 26,698 | 24,745 | -1,953 |
| Edward Jones Investments | Eog Resources Inc | 16,795 | 5,602 | | | 16,795 | 5,602 | -11,193 |
| Edward Jones Investments | Estee Lauder Companies Inc | 41,310 | 51,726 | | | 41,310 | 51,726 | 10,416 |
| Edward Jones Investments | General Dynamics Corp | 13,740 | 9,299 | | | 13,740 | 9,299 | -4,441 |
| Edward Jones Investments | Intercept Pharmaceuticals In | 24,784 | 9,974 | | | 24,784 | 9,974 | -14,810 |
| Edward Jones Investments | JPMorgan Chase & Co | 22,304 | 19,402 | | | 22,304 | 19,402 | -2,902 |
| Edward Jones Investments | Linde PLC | 15,262 | 25,822 | | | 15,262 | 25,822 | 10,560 |
| Edward Jones Investments | Livent Corp | 17,100 | 11,707 | | | 17,100 | 11,707 | -5,393 |
| Edward Jones Investments | Lockheed Martin Corp | 19,225 | 21,627 | | | 19,225 | 21,627 | 2,401 |

| (a) Identity of party involved | (b)Description of asset (include interest rate and maturity in case of a loan) | (c) Purchase Price | (d) Selling price | (e) Lease rental | (f) Expense incurred with transaction | (g) Cost of asset | (h) Current value of asset on transaction date | (i) Net gain or (loss) |
|---|---|---|---|---|---|---|---|---|
| Edward Jones Investments | MasterCard Inc Cl A | 29,859 | 33,454 | | | 29,859 | 33,454 | 3,595 |
| Edward Jones Investments | Medtronic PLC | 24,168 | 24,588 | | | 24,168 | 24,588 | 421 |
| Edward Jones Investments | Microsoft Corp | 23,655 | 32,979 | | | 23,655 | 32,979 | 9,324 |
| Edward Jones Investments | Netease Inc Sponsored ADR | 18,398 | 16,567 | | | 18,398 | 16,567 | -1,832 |
| Edward Jones Investments | O Reilly Automotive Inc. | 40,753 | 45,637 | | | 40,753 | 45,637 | 4,884 |
| Edward Jones Investments | PNC Financial Services Grop | 19,954 | 18,153 | | | 19,954 | 18,153 | -1,801 |
| Edward Jones Investments | Public Storage Inc | 15,690 | 18,582 | | | 15,690 | 18,582 | 2,892 |
| Edward Jones Investments | S&P Global Inc. | 15,271 | 25,986 | | | 15,271 | 25,986 | 10,715 |
| Edward Jones Investments | Thermo Fisher Scientific Inc | 14,926 | 22,942 | | | 14,926 | 22,942 | 8,016 |
| Edward Jones Investments | Tractor Supply Co | 27,555 | 43,934 | | | 27,555 | 43,934 | 16,380 |
| Edward Jones Investments | Union Pacific Corp | 29,010 | 35,717 | | | 29,010 | 35,717 | 6,707 |
| Edward Jones Investments | Walt Disney Co | 16,791 | 34,872 | | | 16,791 | 34,872 | 18,081 |
| Edward Jones Investments | Goldman Sachs ETF Activebe | 37,185 | 43,263 | | | 37,185 | 43,263 | 6,078 |
| Edward Jones Investments | Invesco ETF S&P SMALLCAP | 25,440 | 14,924 | | | 25,440 | 14,924 | -10,516 |
| Edward Jones Investments | iShares Core S&P US Value | 51,667 | 75,666 | | | 51,667 | 75,666 | 23,999 |
| Edward Jones Investments | iShares Gold Trust | 29,000 | 36,040 | | | 29,000 | 36,040 | 7,040 |
| Edward Jones Investments | iShare S&P SC 600 Value | 20,382 | 36,932 | | | 20,382 | 36,932 | 16,550 |
| Edward Jones Investments | iShares Inc Edge MSCI Minim | 62,303 | 62,589 | | | 62,303 | 62,589 | 286 |
| Edward Jones Investments | Vanguard Small Cap Grouwt | 20,267 | 42,189 | | | 20,267 | 42,189 | 21,922 |
| Edward Jones Investments | Victoryshares US 500 Volatil | 62,508 | 41,483 | | | 62,508 | 41,483 | -21,025 |
| Edward Jones Investments | Wisdomtree International H | 47,087 | 55,202 | | | 47,087 | 55,202 | 8,115 |
| Edward Jones Investments | Wisdomtree US Midcap Divi | 39,942 | 22,243 | | | 39,942 | 22,243 | -17,699 |
| Edward Jones Investments | Dodge & Cox Income Fund | 52,092 | 55,918 | | | 52,092 | 55,918 | 3,826 |
| Edward Jones Investments | Fidelity Advisor Total Bon Cl | 53,285 | 55,121 | | | 53,285 | 55,121 | 1,835 |
| Edward Jones Investments | Franklin Templeton Global B | 12,394 | 11,817 | | | 12,394 | 11,817 | -577 |
| Edward Jones Investments | MFS International Diversifica | 69,690 | 58,161 | | | 69,690 | 58,161 | -11,529 |
| Edward Jones Investments | MFS Value Cl R6 | 106,364 | 106,974 | | | 106,364 | 106,974 | 610 |
| Edward Jones Investments | PIMCO Income Cl I | 39,013 | 39,652 | | | 39,013 | 39,652 | 639 |
| Edward Jones Investments | PIMCO Investment Grade Cr | 59,983 | 61,732 | | | 59,983 | 61,732 | 1,749 |
| Edward Jones Investments | Primecap Odyssey Growth | 110,507 | 75,768 | | | 110,507 | 75,768 | -34,739 |
| Edward Jones Investments | T Rowe Price Blue Chip Grow | 51,003 | 85,771 | | | 51,003 | 85,771 | 34,768 |
| Fidelity Investments | Fidelity Govt Cash Reserves | 4,418,646 | 4,418,225 | | | 4,418,646 | 4,418,225 | 0 |
| Fidelity Investments | Fidelity 500 Index | 106,034 | 119,606 | | | 106,034 | 119,606 | 13,572 |

| (a) Identity of party involved | (b)Description of asset (include interest rate and maturity in case of a loan) | (c) Purchase Price | (d) Selling price | (e) Lease rental | (f) Expense incurred with transaction | (g) Cost of asset | (h) Current value of asset on transaction date | (i) Net gain or (loss) |
|---|---|---|---|---|---|---|---|---|
| Fidelity Investments | Fidelity Contrafund | 180,659 | 211,787 | | | 180,659 | 211,787 | 31,127 |
| Fidelity Investments | Primecap Odyssey Aggressiv | 29,798 | 37,417 | | | 29,798 | 37,417 | 7,619 |
| Fidelity Investments | SPDR S&P500 EFT Trust | 121,683 | 139,762 | | | 121,683 | 139,762 | 18,079 |
| Fidelity Investments | Annaly Capital Management | 65,727 | 86,379 | | | 65,727 | 86,379 | 20,651 |
| Fidelity Investments | AON PLC COM USD0.01 CL A | 33,705 | 33,113 | | | 33,705 | 33,113 | -592 |
| Fidelity Investments | INGERSOL-RAND PLC SHRS U | 16,293 | 15,848 | | | 16,293 | 15,848 | -445 |
| Fidelity Investments | AT&T INC COM USD1 | 92,388 | 74,160 | | | 92,388 | 74,160 | -18,228 |
| Fidelity Investments | ABBOTT LABORATORIES | 66,759 | 82,676 | | | 66,759 | 82,676 | 15,917 |
| Fidelity Investments | ABBVIE INC COM | 57,523 | 65,878 | | | 57,523 | 65,878 | 8,355 |
| Fidelity Investments | ADOBE INC COM | 305,074 | 451,353 | | | 305,074 | 451,353 | 146,279 |
| Fidelity Investments | ADVANCED MISRO DEVICES | 0 | 0 | | | 0 | 0 | 0 |
| Fidelity Investments | ALLSTATE CORP COM USD0.( | 39,254 | 36,748 | | | 39,254 | 36,748 | -2,507 |
| Fidelity Investments | ALPHABET INC CAP STK CL C | 115,663 | 116,967 | | | 115,663 | 116,967 | 1,304 |
| Fidelity Investments | ALPHABET INC CAP STK CL A | 40,182 | 81,076 | | | 40,182 | 81,076 | 40,895 |
| Fidelity Investments | AMAZON.COM INC | 132,102 | 190,516 | | | 132,102 | 190,516 | 58,414 |
| Fidelity Investments | AMPHENOL CORP CLASS A C | 11,190 | 13,498 | | | 11,190 | 13,498 | 2,308 |
| Fidelity Investments | APPLE INC COM UDS0.00001 | 144,415 | 224,885 | | | 144,415 | 224,885 | 80,470 |
| Fidelity Investments | ARCHER DANIAL MIDLAND | 11,488 | 12,121 | | | 11,488 | 12,121 | 633 |
| Fidelity Investments | BANK OF AMERICA CORP | 58,952 | 47,843 | | | 58,952 | 47,843 | -11,108 |
| Fidelity Investments | BLACKROCK INC COM USD0. | 24,496 | 33,492 | | | 24,496 | 33,492 | 8,997 |
| Fidelity Investments | BOEING CO COM USD5.00 | 34,566 | 24,528 | | | 34,566 | 24,528 | -10,037 |
| Fidelity Investments | CARRIER GLOBAL CORPORAT | 2,309 | 6,122 | | | 2,309 | 6,122 | 3,813 |
| Fidelity Investments | CIGNA CORP NEW COM | 0 | 0 | | | 0 | 0 | 0 |
| Fidelity Investments | CSX CORP COM USD1 | 7,456 | 9,243 | | | 7,456 | 9,243 | 1,787 |
| Fidelity Investments | CHEVRON CORP NEW COM | 26,917 | 20,367 | | | 26,917 | 20,367 | -6,550 |
| Fidelity Investments | CICSO SYS INC COM | 26,356 | 24,385 | | | 26,356 | 24,385 | -1,971 |
| Fidelity Investments | CORTEVA INC COM | 2,993 | 3,958 | | | 2,993 | 3,958 | 965 |
| Fidelity Investments | COSTCO WHOLESALE CORP ( | 34,793 | 43,918 | | | 34,793 | 43,918 | 9,125 |
| Fidelity Investments | DOWDUPONT INC COM | 0 | 0 | | | 0 | 0 | 0 |
| Fidelity Investments | DOW INC | 5,944 | 5,900 | | | 5,944 | 5,900 | -44 |
| Fidelity Investments | DUPONT DE NEMOURS INC | 6,503 | 6,772 | | | 6,503 | 6,772 | 269 |
| Fidelity Investments | EBAY INC | 5,570 | 7,642 | | | 5,570 | 7,642 | 2,072 |
| Fidelity Investments | EQUINOR ASA SPON ADR EA | 0 | 0 | | | 0 | 0 | 0 |

| **(a)** Identity of party involved | **(b)**Description of asset (include interest rate and maturity in case of a loan) | **(c)** Purchase Price | **(d)** Selling price | **(e)** Lease rental | **(f)** Expense incurred with transaction | **(g)** Cost of asset | **(h)** Current value of asset on transaction date | **(i)** Net gain or (loss) |
|---|---|---|---|---|---|---|---|---|
| Fidelity Investments | FACEBOOK INC CLASS A | 20,525 | 27,548 | | | 20,525 | 27,548 | 7,023 |
| Fidelity Investments | GARRETT MOTION INC COM | 0 | 0 | | | 0 | 0 | 0 |
| Fidelity Investments | HOME DEPOT INC COM | 24,280 | 29,572 | | | 24,280 | 29,572 | 5,291 |
| Fidelity Investments | HONEYWELL INTERNATIONA | 41,996 | 50,533 | | | 41,996 | 50,533 | 8,537 |
| Fidelity Investments | HUMANA INC | 19,019 | 20,066 | | | 19,019 | 20,066 | 1,046 |
| Fidelity Investments | ILLINOIS TOOL WORKS INC C | 21,175 | 23,663 | | | 21,175 | 23,663 | 2,488 |
| Fidelity Investments | INTEL CORP COM USD0.001 | 22,604 | 19,189 | | | 22,604 | 19,189 | -3,415 |
| Fidelity Investments | INTERNATIONAL PAPER CO C | 16,482 | 17,481 | | | 16,482 | 17,481 | 998 |
| Fidelity Investments | JOHNSON&JOHNSON COM U | 103,246 | 106,531 | | | 103,246 | 106,531 | 3,285 |
| Fidelity Investments | LOWES COMPANIES INC COI | 19,949 | 26,796 | | | 19,949 | 26,796 | 6,847 |
| Fidelity Investments | MCCORMICK & COMPANY IN | 28,083 | 30,863 | | | 28,083 | 30,863 | 2,780 |
| Fidelity Investments | MCDONALDS CORP | 56,291 | 60,637 | | | 56,291 | 60,637 | 4,346 |
| Fidelity Investments | MERCK & CO INC NEW COM | 22,269 | 19,834 | | | 22,269 | 19,834 | -2,435 |
| Fidelity Investments | MICROSOFT CORP | 155,501 | 198,847 | | | 155,501 | 198,847 | 43,346 |
| Fidelity Investments | MICRON TECHNOLOGY | 37,646 | 49,938 | | | 37,646 | 49,938 | 12,292 |
| Fidelity Investments | MONDELEZ INTL INC COME | 31,359 | 32,720 | | | 31,359 | 32,720 | 1,362 |
| Fidelity Investments | NORFOLK SOUTHERN CORP | 20,372 | 24,237 | | | 20,372 | 24,237 | 3,866 |
| Fidelity Investments | NUVEEN DOW 30 DYNAMIC | 25,729 | 22,043 | | | 25,729 | 22,043 | -3,686 |
| Fidelity Investments | OKTA INC CLA A | 34,611 | 76,123 | | | 34,611 | 76,123 | 41,512 |
| Fidelity Investments | OTIS WORLDWIDE CORP | 3,644 | 5,274 | | | 3,644 | 5,274 | 1,630 |
| Fidelity Investments | PEPSICO INC | 24,416 | 25,860 | | | 24,416 | 25,860 | 1,444 |
| Fidelity Investments | PROCTER AND GAMBLE CO C | 65,064 | 70,873 | | | 65,064 | 70,873 | 5,809 |
| Fidelity Investments | RAYTHEON CO COM NEW | 34,492 | 18,357 | | | 34,492 | 18,357 | -16,136 |
| Fidelity Investments | RAYTHEON TECHNOLOGIES | 27,325 | 38,478 | | | 27,325 | 38,478 | 11,152 |
| Fidelity Investments | RESIDEO TECHNOLOGIES INC | 0 | 0 | | | 0 | 0 | 0 |
| Fidelity Investments | SALESFORCE.COM INC COM | 48,792 | 66,369 | | | 48,792 | 66,369 | 17,577 |
| Fidelity Investments | SQUARE INC CL A | 31,280 | 108,380 | | | 31,280 | 108,380 | 77,100 |
| Fidelity Investments | STARBUCKS CORP COM USD | 127,749 | 150,514 | | | 127,749 | 150,514 | 22,765 |
| Fidelity Investments | TMOBILE US INC COM USD0 | 19,605 | 32,495 | | | 19,605 | 32,495 | 12,890 |
| Fidelity Investments | TEXAS INSTRUMENTS INC CO | 27,383 | 34,431 | | | 27,383 | 34,431 | 7,048 |
| Fidelity Investments | 3M COMPANY | 21,068 | 20,882 | | | 21,068 | 20,882 | -186 |
| Fidelity Investments | TRANE TECHNOLOGIES PLC | 11,619 | 15,893 | | | 11,619 | 15,893 | 4,274 |
| Fidelity Investments | UNITED TECHNOLOGIES COR | 24,938 | 14,337 | | | 24,938 | 14,337 | -10,601 |

| **(a)** Identity of party involved | **(b)**Description of asset (include interest rate and maturity in case of a loan) | **(c)** Purchase Price | **(d)** Selling price | **(e)** Lease rental | **(f)** Expense incurred with transaction | **(g)** Cost of asset | **(h)** Current value of asset on transaction date | **(i)** Net gain or (loss) |
|---|---|---|---|---|---|---|---|---|
| Fidelity Investments | VERIZON COMMUNICATION | 100,624 | 100,746 | | | 100,624 | 100,746 | 122 |
| Fidelity Investments | WALMART INC COM | 50,446 | 61,971 | | | 50,446 | 61,971 | 11,525 |
| Fidelity Investments | WELLS FARGO CO NEW COM | 38,760 | 23,757 | | | 38,760 | 23,757 | -15,003 |
| Fidelity Investments | WENDYS COMPANY COM US | 19,146 | 19,360 | | | 19,146 | 19,360 | 214 |
| | | | | | | | | |

## Schedule H, Line 4i
## Schedule of Assets (Held At End of Year)

Name of Plan:

► **ABC Plumbing, Heating, Cooling & Electric 401(k) Plan**

Employer Identification Number:► **36-2665333**

For plan year (beginning/ending):► **01/01/2020 - 12/31/2020** Plan number:► **001**

| (a) | (b) Identity of issue, borrower, lessor, or similar party | (c) Description of investment including maturity date, rate of interest, collateral, par or maturity value | (d) Cost | (e) Current value |
|---|---|---|---|---|
| * | John Hancock USA | BlackRock LifePath Index 2065 | | 16589 |
| * | John Hancock USA | BlackRock LifePath Index 2060 | | 58677 |
| * | John Hancock USA | BlackRock LifePath Index 2055 | | 26648 |
| * | John Hancock USA | BlackRock LifePath Index 2050 | | 334073 |
| * | John Hancock USA | BlackRock LifePath Index 2045 | | 659368 |
| * | John Hancock USA | BlackRock LifePath Index 2040 | | 222917 |
| * | John Hancock USA | BlackRock LifePath Index 2035 | | 332565 |
| * | John Hancock USA | BlackRock LifePath Index 2030 | | 397559 |
| * | John Hancock USA | BlackRock LifePath Index 2025 | | 337554 |
| * | John Hancock USA | BlackRock LifePath Index Ret | | 1117517 |
| * | John Hancock USA | Blue Chip Growth Fund | | 781704 |
| * | John Hancock USA | EuroPacific Growth Fund | | 1591 |
| * | John Hancock USA | Fidelity Mid Cap Index Fund | | 267472 |
| * | John Hancock USA | New World Fund | | 2746 |
| * | John Hancock USA | PGIM Jennison Mid Cap Growth | | 5460 |
| * | John Hancock USA | Vanguard Explorer Fund | | 3194 |
| * | John Hancock USA | 500 Index Fund | | 768613 |
| * | John Hancock USA | AB Global Bond Fund | | 3781 |
| * | John Hancock USA | Federated High Yield Bond | | 74 |
| * | John Hancock USA | Total Bond Market Fund | | 14 |
| * | John Hancock USA | JH Stable Val Gntd Income Fund | | 28 |
| * | Fidelity Investments | Fidelity Government Cash Reserves | | 420 |
| | | | | |
| | | | | |