

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2020 This Form is Open to Public Inspection
---	---	--

Part I	Annual Report Identification Information
For calendar plan year 2020 or fiscal plan year beginning <u>01/01/2020</u> and ending <u>12/31/2020</u>	
A This return/report is for:	☐ a multiemployer plan ☒ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
	☐ a single-employer plan ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report ☐ the final return/report
	☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program
	☐ special extension (enter description)

Part II	Basic Plan Information —enter all requested information				
1a Name of plan <u>TRINET SELECT 401(K) PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>333</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>01/01/1997</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>333</u>	1c Effective date of plan <u>01/01/1997</u>	
1b Three-digit plan number (PN) ▶	<u>333</u>				
1c Effective date of plan <u>01/01/1997</u>					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>TRINET HR IV, LLC</u> <u>9000 TOWN CENTER PARKWAY</u> <u>BRADENTON, FL 34202</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>13-3867443</u></td> </tr> <tr> <td>2c Plan Sponsor's telephone number <u>800-243-8489</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>541219</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>13-3867443</u>	2c Plan Sponsor's telephone number <u>800-243-8489</u>	2d Business code (see instructions) <u>541219</u>	
2b Employer Identification Number (EIN) <u>13-3867443</u>					
2c Plan Sponsor's telephone number <u>800-243-8489</u>					
2d Business code (see instructions) <u>541219</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/15/2021	JOHN JOY
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2020)
v. 200204

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN	
		3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN	
5 Total number of participants at the beginning of the plan year		5	21083
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).			
a(1) Total number of active participants at the beginning of the plan year.....		6a(1)	17753
a(2) Total number of active participants at the end of the plan year		6a(2)	20443
b Retired or separated participants receiving benefits.....		6b	23
c Other retired or separated participants entitled to future benefits		6c	4061
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d	24527
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e	0
f Total. Add lines 6d and 6e		6f	24527
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	18200
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	57
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2A 2E 2F 2G 2J 2K 2R 2T 3B 3D 3H			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☒ <u>1</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2020 Form M-1 annual report. If the plan was not required to file the 2020 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2020 This Form is Open to Public Inspection
---	--	--

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020	
A Name of plan TRINET SELECT 401(K) PLAN	B Three-digit plan number (PN) ▶ 333
C Plan sponsor's name as shown on line 2a of Form 5500 TRINET HR IV, LLC	D Employer Identification Number (EIN) 13-3867443

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions	Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
--------	---	--

1 Coverage Information:

(a) Name of insurance carrier
MASSACHUSETTS MUTUAL LIFE INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
04-1590850	65935	MR 60770	24527	01/01/2020	12/31/2020

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
0	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	
5	Current value of plan's interest under this contract in separate accounts at year end.....	5	

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity
(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee
(3) ☐ guaranteed investment (4) ☒ other ▶ **GROUP ANNUITY CONTRACT**

b	Balance at the end of the previous year	7b	82594895
c	Additions: (1) Contributions deposited during the year	7c(1)	7860280
	(2) Dividends and credits.....	7c(2)	0
	(3) Interest credited during the year.....	7c(3)	3212424
	(4) Transferred from separate account.....	7c(4)	15569755
	(5) Other (specify below)..... ▶ HOLDING ACCT INT, LN INT, LN PRIN, LN DEFAULT	7c(5)	6475176
	(6) Total additions	7c(6)	33117635
d	Total of balance and additions (add lines 7b and 7c(6))	7d	115712530
e	Deductions:		
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	12910365
	(2) Administration charge made by carrier.....	7e(2)	177228
	(3) Transferred to separate account.....	7e(3)	0
	(4) Other (specify below)..... ▶ LN DISTRIB, MKT VALUE, MOVED PARTC	7e(4)	741817
	(5) Total deductions	7e(5)	13829410
f	Balance at the end of the current year (subtract line 7e(5) from line 7d)	7f	101883120

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
b ☐ Dental
c ☐ Vision
d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
f ☐ Long-term disability
g ☐ Supplemental unemployment
h ☐ Prescription drug
i ☐ Stop loss (large deductible)
j ☐ HMO contract
k ☐ PPO contract
l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2020
		This Form is Open to Public Inspection.

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020	
A Name of plan TRINET SELECT 401(K) PLAN	B Three-digit plan number (PN) ▶ 333
C Plan sponsor's name as shown on line 2a of Form 5500 TRINET HR IV, LLC	D Employer Identification Number (EIN) 13-3867443

Part I	Service Provider Information (see instructions)
---------------	--

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
MASSACHUSETTS MUTUAL LIFE INS CO
04-1590850

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MASSACHUSETTS MUTUAL LIFE INS. CO.

04-1590850

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
49 52 60 62 64 71 72	CONTRACT ADMINISTRATOR	2623052	Yes ☒ No ☐	Yes ☒ No ☐	7824	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

TRINET HR III, INC.

48-1304650

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
14	PROFESSIONAL SERVICES	94872	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

DIMEO SCHNEIDER & ASSOCIATES

36-4001764

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	INVESTMENT ADVISOR	52714	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MAYER HOFFMAN MCCANN P.C.

43-1947695

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10	AUDITOR	32500	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

CHARLES SCHWAB & CO., INC.

94-1737782

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
59 62 71	INVESTMENT SERVICE PROVID	1760	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
AMERICAN FUNDS	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMER FUNDS EUROPACIFIC GROWTH FUND 95-1411037	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
AMERICAN FUNDS	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN FUNDS NEW WORLD FUND 95-1411037	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
AMERICAN FUNDS	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN FUNDS NEW PERSPECTIVE FUND 95-2817150	BASIS POINT * PLAN ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
BIARD FUNDS	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BAIRD AGGREGATE BOND FUND 39-6037917	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
BLACKROCK	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK ISHARES INT'L IND FD 22-3483675	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
BLACKROCK	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK HIGH YIELD BOND FUND 04-6171663	BASIS POINT * PLAN ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ASHMORE 94-3106735	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T. ROWE PRICE 52-2264646	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AKRE 54-1968332	BASIS POINT * PLAN ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALLIANZ FUNDS 06-1349805	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALLIANZ GLOBAL INVESTORS 06-1349805	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY INVESTMENTS 43-0821857	BASIS POINT * PLAN ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN FUNDS 90-0924512	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BRIDGEWAY 94-3106735	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CARILLON FAMILY OF FUNDS 59-1237041	BASIS POINT * PLAN ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DELAWARE FUNDS BY MACQUARIE 2005 MARKET ST PHILADELPHIA, PA 19103	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EDGEWOOD 94-3106735	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EVENTIDE FUNDS 94-3106735	BASIS POINT * PLAN ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED 25-1111467	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FIDELITY INVESTMENTS 06-1194217	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FIRST EAGLE 13-3392291	BASIS POINT * PLAN ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC.	59	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FRANKLIN TEMPLETON INVESTMENTS 94-3167260	BASIS POINT * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC.	59	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GABELLI 13-3340139	BASIS POINT * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC.	59	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS HENDERSON 43-1804048	BASIS POINT * PLAN ASSETS

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	59	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
KOPERNIK 94-3106735	BASIS POINT * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	59	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LAZARD 30 ROCKEFELLER PLAZA 57 FLOOR NEW YORK, NY 10012	BASIS POINT * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC.	59	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LORD ABBETT 13-3731507	BASIS POINT * PLAN ASSETS

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MATTHEWS ASIA FUNDS 94-3250972	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY 36-3145972	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SEMPER 30 DAN ROAD CANTON, MA 02021	BASIS POINT * PLAN ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO, INC	59	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THORNBURG 94-3106735	BASIS POINT * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	59	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DOUBLELINE 333 S. GRAND AVE 18TH FL LOS ANGELES, CA 90071	BASIS POINT * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	59	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OAKMARK 52-2257782	BASIS POINT * PLAN ASSETS

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SCHWAB FUNDS 94-3106735	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	59	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TCW 95-2749628	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
COHEN & STEERS	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COHEN & STEERS REALTY SHARES FUND 14-1904657	BASIS POINT * PLAN ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
DELAWARE INVESTMENTS	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DELAWARE SMALL CAP VALUE FUND 23-2450217	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
DIMENSIONAL FUND ADVISORS	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA US SMALL CAP VALUE FUND 22-2370029	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FRANKLINTEMPLETON	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TEMPLETON GLOBAL BOND FUND 59-6865210	BASIS POINT * PLAN ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
JP MORGAN	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JP MORGAN SMALL CAP GROWTH FUND 26-3613641	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INSURANCE	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK HIGH YIELD BOND FUND 04-6171663	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INSURANCE	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COHEN & STEERS REALTY SHARES FUND 14-1904657	BASIS POINT * PLAN ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INSURANCE	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JP MORGAN SMALL CAP GROWTH FUND 26-3613641	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INSURANCE	49	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MILLENNIUM TRUST COMPANY, LLC 36-4400066	REFERRAL FEE	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INSURANCE	72 52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PREM U.S. GOVT MNY MKT FD (BARINGS) 04-3212059	BASIS POINT * PLAN ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INSURANCE	72 52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SAGIC (60770) 04-1590850	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INSURANCE	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TEMPLETON GLOBAL BOND FUND 59-6865210	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INSURANCE	71	7824
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CHARLES SCHWAB & CO, INC. 94-1737782	PLAN RELATED EXPENSES	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
NEXTCAPITAL ADVISORS, INC	99 52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MASSACHUSETTS MUTUAL LIFE INS. CO 04-1590850	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
PIMCO FUNDS	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO LOW DURATION FUND 95-2632339	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
RELIANCE TRUST	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LEGAL & GENERAL S&P 400 DC CIT 35-7085469	BASIS POINT * PLAN ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
RELIANCE TRUST	52	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LEGAL & GENERAL S&P 500 DC CIT 35-7085469	BASIS POINT * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
RELIANCE TRUST	52	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LEGAL & GENERAL S&P 600 DC CIT 35-7085469	BASIS POINT * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VANGUARD FEDERAL MONEY MARKET FUND 23-6607979	BASIS POINT * PLAN ASSETS

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VANGUARD INFLATION-PROTECTD SECS FD 23-1899003	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VANGUARD INTERMEDIATE-TERM TREAS FD 23-1899003	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VANGUARD INTERM-TERM BOND INDEX FND 23-1945930	BASIS POINT * PLAN ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VANGUARD MID CAP INDEX FUND 23-1999755	BASIS POINT * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VANGUARD SMALL CAP INDEX FUND 23-1999755	BASIS POINT * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VANGUARD WELLINGTON FUND 51-0071687	BASIS POINT * PLAN ASSETS

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VANGUARD INSTL TARGET RET 2015	BASIS POINT * PLAN ASSETS	
23-2311358		
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VANGAURD INSTL TARGET RET 2020	BASIS POINT * PLAN ASSETS	
23-2311358		
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VANGUARD INSTL TARGET RET 2025	BASIS POINT * PLAN ASSETS	
23-2311358		

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VANGUARD INSTL TARGET RET 2030 23-2311358	BASIS POINT * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VANGUARD INSTL TARGET RET 2035 23-2311358	BASIS POINT * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VANGUARD INSTL TARGET RET 2040 23-2311358	BASIS POINT * PLAN ASSETS

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VANGUARD INSTL TARGET RET 2045 23-2311358	BASIS POINT * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VANGUARD INSTL TARGET RET 2050 23-2311358	BASIS POINT * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VANGUARD INSTL TARGET RET 2055 23-2311358	BASIS POINT * PLAN ASSETS

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VANGUARD INSTL TARGET RET 2060 23-2311358	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VANGUARD INSTL TARGET RET 2065 23-2311358	BASIS POINT * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
VANGUARD	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VANGUARD INSTL TARGET RET INC FD 23-2311358	BASIS POINT * PLAN ASSETS	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2020 This Form is Open to Public Inspection.
---	---	---

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan <u>TRINET SELECT 401(K) PLAN</u>	B Three-digit plan number (PN) ▶ <u>333</u>	
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>TRINET HR IV, LLC</u>	D Employer Identification Number (EIN) <u>13-3867443</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
---------------	--

a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LEGAL & GENERAL S&P 400 DC CIT</u>		
b Name of sponsor of entity listed in (a): <u>RELIANCE TRUST</u>		
c EIN-PN <u>35-7085469-005</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>110723606</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LEGAL & GENERAL S&P 500 DC CIT</u>		
b Name of sponsor of entity listed in (a): <u>RELIANCE TRUST</u>		
c EIN-PN <u>35-7085469-005</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>316394342</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LEGAL & GENERAL S&P 600 DC CIT</u>		
b Name of sponsor of entity listed in (a): <u>RELIANCE TRUST</u>		
c EIN-PN <u>35-7085469-005</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>64275464</u>
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2020 This Form is Open to Public Inspection
For calendar plan year 2020 or fiscal plan year beginning <u>01/01/2020</u> and ending <u>12/31/2020</u>		
A Name of plan <u>TRINET SELECT 401(K) PLAN</u>	B Three-digit plan number (PN) ►	<u>333</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>TRINET HR IV, LLC</u>	D Employer Identification Number (EIN) <u>13-3867443</u>	

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a	315742	472451
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	19180821	4491941
(2) Participant contributions.....	1b(2)	8343030	9132752
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	8740953	10396168
(9) Value of interest in common/collective trusts	1c(9)	247111426	491393412
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	1078333103	1200495027
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	82594895	101883120
(15) Other.....	1c(15)	12962659	19009560

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities.....	1d(1)		
(2) Employer real property.....	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	1457582629	1837274431

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness.....	1i		
j Other liabilities.....	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	1457582629	1837274431
---	-----------	------------	------------

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	55184880	
(B) Participants	2a(1)(B)	162150351	
(C) Others (including rollovers).....	2a(1)(C)	61725133	
(2) Noncash contributions	2a(2)		279060364
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)		
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans.....	2b(1)(E)	515005	
(F) Other	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		515005
(2) Dividends: (A) Preferred stock.....	2b(2)(A)		30729391
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	30729391	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		30729391
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)		0
(B) Aggregate carrying amount (see instructions).....	2b(4)(B)		
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		0
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		225633013
c Other income	2c		
d Total income. Add all income amounts in column (b) and enter total.....	2d		535937773

Expenses**e** Benefit payment and payments to provide benefits:

(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	109820282	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		109820282
f Corrective distributions (see instructions)	2f		1018237
g Certain deemed distributions of participant loans (see instructions).....	2g		212540
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)	116170	
(3) Investment advisory and management fees	2i(3)	2683622	
(4) Other.....	2i(4)	3347	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		2803139
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		113854198

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		422083575
l Transfers of assets:			
(1) To this plan.....	2l(1)		33876434
(2) From this plan	2l(2)		76268207

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **MAYER HOFFMAN MCCANN P.C.**

(2) EIN: **43-1947695**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time

period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

	Yes	No	Amount
4a	X		5832

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		10000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?			
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)
ADP TOTAL SOURCE RETIREMENT SAVINGS PLAN	59-2452823	001
ASCENSUS	11-3665754	001
BLUESTAR RETIREMENT	59-3092339	001
CHARLES SCHWAB	94-1737782	001

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b			
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c			
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d			
e Was this plan covered by a fidelity bond?			
4e			
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			
4f			
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g			
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h			
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)			
4i			
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j			
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k			
l Has the plan failed to provide any benefit when due under the plan?			
4l			
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☐ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)
EPIC RETIREMENT PLAN SERVICES	47-3861819	001
FIDELITY	04-2647786	001
GUIDELINE 401K	47-4474775	001
INSPERITY	76-0178498	001

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b			
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c			
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d			
e Was this plan covered by a fidelity bond?			
4e			
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			
4f			
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g			
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h			
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)			
4i			
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j			
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k			
l Has the plan failed to provide any benefit when due under the plan?			
4l			
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☐ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)
JOHN HANCOCK	04-1414660	001
JULY BUSINESS SERVICES	74-2715820	001
JUSTWORKS/SLAVIC	01-0620969	001
LINCOLN	20-3140202	001

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b			
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c			
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d			
e Was this plan covered by a fidelity bond?			
4e			
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			
4f			
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g			
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h			
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)			
4i			
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j			
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k			
l Has the plan failed to provide any benefit when due under the plan?			
4l			
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☐ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)
LT TRUST	61-1607607	001
MASSMUTUAL	04-1590850	001
NEWPORT GROUP	59-2386168	001
PAYCHEX INC	16-1124166	001

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b			
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c			
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d			
e Was this plan covered by a fidelity bond?			
4e			
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			
4f			
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g			
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h			
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)			
4i			
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j			
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k			
l Has the plan failed to provide any benefit when due under the plan?			
4l			
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☐ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)
PCS RETIREMENT	65-0489431	001
PRINCIPAL	42-0127290	001
VOYA	52-1317217	001

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2020 This Form is Open to Public Inspection.
---	--	---

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan TRINET SELECT 401(K) PLAN	B Three-digit plan number (PN) ▶ 333
C Plan sponsor's name as shown on line 2a of Form 5500 TRINET HR IV, LLC	D Employer Identification Number (EIN) 13-3867443

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 04-1590850		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
----------------	---

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☐ N/A

Part III	Amendments
-----------------	-------------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
--	-----------------------------------	-----------------------------------	-------------------------------	-----------------------------

Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
----------------	---

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2020
v. 200204

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14a**14b****14c**

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

b The corresponding number for the second preceding plan year.....

15a**15b**

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year.....

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16a**16b**

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
 Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:
☐ Yes.
☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.
☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.
☐ No. Other. Provide explanation _____

TRINET SELECT 401(K) PLAN

**Financial Statements
and Supplemental Schedules**

**December 31, 2020 and 2019
(With Independent Auditors' Report Thereon)**

TRINET SELECT 401(K) PLAN

Table of Contents

	<u>Page</u>
Independent Auditors' Report	1 - 2
Statements of Net Assets Available for Benefits as of December 31, 2020 and 2019	3
Statement of Changes in Net Assets Available for Benefits for the Year Ended December 31, 2020	4
Notes to Financial Statements	5 - 14
Schedule H, Line 4(a) - Schedule of Delinquent Participant Contributions	15
Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)	16



Independent Auditors' Report

To the Retirement Committee of
TriNet Select 401(k) Plan:

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the TriNet Select 401(k) Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2020 and 2019, and the related statement of changes in net assets available for benefits for the year ended December 31, 2020, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's ("DOL") Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 8, which was certified by Reliance Trust Company, the trustee, and Great-West Life & Annuity Insurance Company pursuant to a power of attorney from Massachusetts Mutual Life Insurance Company, the custodian of the Plan, and Massachusetts Mutual Life Insurance Company, the custodian, except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that Reliance Trust Company held the Plan's investment assets, and executed investment transactions, except for the guaranteed investment contract, from December 31, 2019 to December 31, 2020. Massachusetts Mutual Life Insurance Company held the Plan's investment in the guaranteed investment contract and executed investment transactions from December 31, 2019 to December 30, 2020. On December 31, 2020, Great-West Life & Annuity Insurance Company, pursuant to a power of attorney from Massachusetts Mutual Life Insurance Company, held the Plan's investments in the guaranteed investment contract and executed investment transactions on December 31, 2020. The plan administrator has obtained certifications from the trustee and custodians as of December 31, 2020 and 2019 and for the year ended December 31, 2020, that the information provided to the plan administrator by the trustee and custodians is complete and accurate.



Disclaimer of Opinion

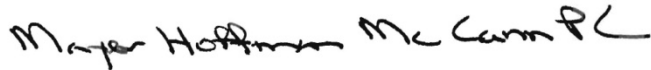
Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter - Supplemental Schedules

The supplemental Schedule of Assets (Held at End of Year) as of December 31, 2020 and Schedule of Delinquent Participant Contributions for the year ended December 31, 2020, are required by the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules referred to above.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee and custodians, have been audited by us in accordance with auditing standards generally accepted in the United States of America, and in our opinion, is presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

A handwritten signature in black ink, appearing to read "Mayer Hoffman McCann P.C.", written in a cursive, stylized script.

October 15, 2021
St. Petersburg, Florida

TRINET SELECT 401(K) PLAN

Statements of Net Assets Available for Benefits

December 31, 2020 and 2019

	<u>2020</u>	<u>2019</u>
Assets:		
Non-interest bearing cash	\$ 472,451	315,742
Investments:		
Registered investment companies, at fair value	1,200,495,027	1,078,333,103
Self-directed brokerage accounts, at fair value	19,009,560	12,962,659
Collective investment trusts, at fair value	491,393,412	247,111,426
Guaranteed investment contract, at contract value	<u>101,883,120</u>	<u>82,594,895</u>
Total investments	1,812,781,119	1,421,002,083
Receivables:		
Employer contributions	4,491,941	19,180,821
Participant contributions	9,132,752	8,343,030
Notes receivable from participants	<u>10,396,168</u>	<u>8,740,953</u>
Total receivables	<u>24,020,861</u>	<u>36,264,804</u>
Net assets available for benefits	<u>\$ 1,837,274,431</u>	<u>1,457,582,629</u>

TRINET SELECT 401(K) PLAN

Statement of Changes in Net Assets Available for Benefits

For the Year Ended December 31, 2020

Additions to net assets attributed to:

Investment income:

Net appreciation in fair value of investments	\$ 222,299,830
Interest income from guaranteed investment contract	3,333,183
Dividend income	30,729,391
Interest income on notes receivable from participants	515,005

Total investment income 256,877,409

Contributions:

Employers	55,184,880
Participants	162,150,351
Rollovers	61,725,133

Total contributions 279,060,364

Total additions 535,937,773

Deductions from net assets attributed to:

Benefits paid to participants	111,051,059
Administrative expenses	2,803,139

Total deductions 113,854,198

Net increase 422,083,575

Net assets transferred out (42,391,773)

Net assets available for benefits at beginning of year 1,457,582,629

Net assets available for benefits at end of year \$ 1,837,274,431

TRINET SELECT 401(K) PLAN

Notes to Financial Statements

December 31, 2020 and 2019

(1) **Description of Plan**

The following description of the TriNet Select 401(k) Plan (the “Plan”) provides only general information. Participants should refer to the plan document for a more complete description of the Plan’s provisions.

(a) **General and Administration**

The Plan was established on March 26, 1997 by Ambrose Employer Group, LLC, acquired by TriNet HR IV, LLC, as a multiple-employer defined contribution plan that permits participants to make elective deferrals under Internal Revenue Code Section 401(k).

The Plan is a multiple-employer defined contribution plan which is offered to the employees of participating client employers (collectively, the “Employers”). A participating client employer is a company that has entered into a co-employment arrangement with the Company. The Plan covers employees who are not subject to collective bargaining agreements. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (“ERISA”). The TriNet Retirement Committee is responsible for oversight of the Plan. The Retirement Committee determines the appropriateness of the Plan’s investment offerings, monitors investment performance and reports to the Plan’s Board of Trustees.

The Plan operates under an agreement with Massachusetts Mutual Life Insurance Company Retirement Services Business (“MMRS”) to act as recordkeeper of the Plan. MMRS enters into a trust agreement with a related party or third party trustee to hold the investments and execute investment transactions of the Plan during the year. At year end, all investments and related investment activity, except for the guaranteed investment contract which is certified separately, are certified. Reliance Trust Company (“RTC”) served as trustee at December 31, 2020 and 2019. With the structure of the agreement with MMRS, no changes in investment options or transfer of assets are required when the trustee is changed.

On December 31, 2020, Empower Retirement, LLC (“Empower”) acquired the retirement business of MMRS. Following an initial transition period, Empower will become the sole administrator of this business. An affiliate of Empower, Great-West Life & Annuity Insurance Company, has been granted power of attorney over the group annuity contract issued by MassMutual and provided the certification for the guaranteed investment contract as of December 31, 2020. Empower Retirement prepared statements and documents on behalf of RTC as of December 31, 2020.

Effective January 1, 2017, the Plan Sponsor was changed to TriNet HR IV, LLC (the “Company” or the “Sponsor”).

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(1) Description of Plan - Continued

(b) Mergers and Transfers

The Plan permits mergers and transfers of assets into and out of the Plan, providing that affected participants would receive a benefit immediately after the merger or transfer equal to or greater than the benefit the affected participants would have received immediately before the merger or transfer.

(c) Eligibility

Employees are eligible to participate in the Plan on the business day coinciding with or the next day following the later of (i) the Employee's first day of employment or (ii) the date on which the Employee attains the age of 20. Participants may immediately enter the Plan on the day coinciding with or following completion of the eligibility requirement.

(d) Contributions

Participants may elect to contribute up to 100% of their pretax compensation (in multiples of 1%) or a flat dollar amount up to limits allowed by the Internal Revenue Service. Eligible employees may also elect Roth after-tax contributions and catch up contributions, up to Internal Revenue Service limits. The Employers may, at their discretion, make matching contributions to the Plan as defined by the terms of their respective participation agreements.

The Plan accepts rollover contributions from other qualified plans.

(e) Participant Accounts

Participant accounts are credited with participant contributions and an allocation of the Employers' contributions and plan earnings and expenses. The benefit to which a participant is entitled is equal to the vested value of their account.

(f) Vesting

Participants are immediately vested in their voluntary contributions plus actual earnings thereon. Vesting in the remainder of their accounts is based on the election of participating employers.

(g) Investment Options

Plan assets are invested in registered investment companies, a guaranteed investment contract, self-directed brokerage accounts and common collective trusts. Upon enrollment in the Plan, a participant may direct employee contributions in any of the available investments. Participants are allowed to execute investment transfers between the Plan's investment options on a daily basis.

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(1) Description of Plan - Continued

(h) Payment of Benefits

At age 59½ and thereafter, active employees can withdraw any portion of their vested balance at any time. Participants, while employed, may make withdrawals against their vested account balance in accordance with the Plan, which generally requires the demonstration of financial hardship.

Upon termination, retirement, death, or disability, participants or their beneficiaries may elect to receive a lump sum amount equal to the value of the vested interest in their account. Any non-vested balances are forfeited. Terminated participants may also elect to maintain their accounts in the Plan.

As a result of the Bipartisan Budget Act of 2018 and effective January 1, 2019, the requirement to exhaust loan distributions prior to receiving a hardship distribution was removed from the Plan. Additionally, participants are no longer suspended from making contributions to the Plan or from receiving Employer contributions as a result of taking a hardship distribution.

Effective January 1, 2020, the Plan was operationally amended to incorporate the provision of the Setting Every Community Up for Retirement Enhancement (“SECURE”) Act which increased the age for required minimum distributions from age 70 ½ to age 72 for individuals who turned 70 ½ during calendar year 2020.

Effective March 27, 2020, the Coronavirus Aid, Relief, and Economic Security (“CARES”) Act was signed into law, which provided several relief provisions to tax-qualified retirement plans and their participants. The Plan was operationally amended to implement the relief provision under the CARES Act which allowed for special coronavirus-related distributions up to \$100,000. In June 2020, the Internal Revenue Service issued Notice 2020-50, which expanded eligibility for special coronavirus-related distributions under the CARES Act and allowed for repayment of these distributions provided the repayment occurs within three years of receipt of the distribution.

(i) Forfeited Accounts

Any non-vested amounts in a terminated participant’s account are forfeited by the participant and transferred to a forfeiture account. Forfeitures shall be used to pay plan expenses or to reduce the Employers’ future contributions. Approximately \$730,000 of forfeited non-vested accounts were utilized during the year ended December 31, 2020 to pay plan expenses and reduce employer contributions. At December 31, 2020 and 2019, forfeited non-vested accounts available for use totaled approximately \$830,000 and \$600,000, respectively.

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(1) Description of Plan - Continued

(j) Notes Receivable from Participants

Participants may borrow money from their vested account balance for any reason. The maximum amount available for loans is the lesser of \$50,000 or 50% of the participant's vested account balance. The minimum amount for a loan is \$1,000. The participant shall be charged with any costs associated with processing of the loan.

Loan repayments must have a definite repayment period not to exceed five years unless the loan is for the purchase of a principal residence, in which case the repayment period must not exceed Internal Revenue Service limits. Participant loans, including interest thereon, are taxable to the participant upon default, as well as subject to applicable excise penalties. Loans are collateralized by the balance in the participant's account and bear interest at any reasonable rate (prime plus 1% in 2020 for loans taken by participants through MMRS). The Plan honors rates on notes receivables from participants transferred in from other plans. At December 31, 2020, rates ranged from 4.25% to 8.75%.

The Plan was operationally amended during 2020 to implement the relief provisions under the CARES Act which increased the available loan amount described above to the lesser of \$100,000 or 100% of the participant's vested account balance through September 22, 2020. In addition, the provision extended the period for loan repayments, if applicable, up to one year.

(2) Summary of Significant Accounting Policies

(a) Basis of Presentation

The accompanying financial statements have been prepared on the accrual basis of accounting and present the net assets available for benefits and changes in net assets available for benefits in accordance with accounting principles generally accepted in the United States of America.

(b) Investment Valuation and Income Recognition

Investments in registered investment companies are stated at fair value based on quoted market prices. Investments in self-directed brokerage accounts are valued based on the underlying investments held by the Plan at the end of the year.

Investments in the guaranteed investment contract, MMRS Stable Value Fund ("SVF"), operate as a stable asset guaranteed investment contract. The SVF is a market value separate account investment option with a general investment account guarantee that provides a stated rate of return and insulates participants' accounts from daily fluctuations in the market. Participants do not have beneficial ownership in the specific underlying securities or other assets in the SVF, but do have an interest therein represented by units valued daily by MMRS based on market observable data. Under the terms of the SVF group annuity contract, participants may direct permitted withdrawal and/or transfer transactions of all or a portion of their balance in the SVF investment option at contract value. This investment qualifies as a fully benefit responsive investment.

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(2) Summary of Significant Accounting Policies - Continued

(b) Investment Valuation and Income Recognition - Continued

Certain events may limit the ability of the Plan to transact at contract value. Such events include but may not be limited to the following: (1) the complete or partial termination of the Plan; (2) the establishment or activation of, or material change in, any plan investment fund, or an amendment to the Plan or a change in the administration or operation of the Plan, including the removal of a group of employees from plan coverage as a result of the sale or liquidation of a subsidiary or division or as a result of group layoffs or early retirement programs. Consult the Plan's group annuity contract for further information.

The Legal & General S&P 400, 500 and 600 DC Funds ("Funds") are collective investment trusts which are managed by Legal & General Investment Management America Inc. and work to replicate applicable indexes such as the S&P 500 index by investing in underlying assets with similar objectives and investment strategies. Investments in the Funds are maintained on a unit value basis. Participants do not have beneficial ownership in the specific underlying securities or other assets in the Funds, but do have an interest therein represented by units valued daily. The Funds earn dividends and interest which are automatically reinvested in additional units and participants earn appreciation/ (depreciation) on their units as the underlying investments in the trust are registered investment companies. Generally, contributions to and withdrawals from each fund are converted to units by dividing the amounts of such transactions by the unit values as last determined, and the participants' accounts are charged or credited with the number of units properly attributable to each participant.

(c) Administrative Expenses

Management fees and operating expenses are paid out of the Plan.

During 2020, the Plan's administrative expenses totaled approximately \$2,803,000 including approximately \$95,000 paid to the Plan Sponsor for services provided to the Plan, approximately \$2,623,000 paid to MMRS for services provided, and approximately \$85,000 for other expenses such as investment advisory and audit fees. All administrative expenses paid during the year were paid out of the Expense Budget Account.

(d) Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of net assets available for benefits and the related changes therein. Actual results could differ from those estimates.

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(2) Summary of Significant Accounting Policies - Continued

(e) Notes Receivable from Participants

Notes receivable from participants are reported at their unpaid principal balances plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. Related fees are recorded as administrative expenses and are expensed when they are incurred. No allowance for credit losses has been recorded as of December 31, 2020 or 2019. If a participant ceases to make loan repayments and the plan administrator deems the participant loan to be in default, the participant loan balance is reduced and a benefit payment is recorded.

(3) Asset Transfers and Mergers

Qualified plans sponsored by certain Employers may be merged into the Plan in conjunction with such Employers' adoption of the Plan. New participant accounts are then created for such participants in the Plan.

In addition, the account balances of participants employed by certain Employers who terminate their co-employment arrangements with the Company during the year may be transferred out of the Plan through mergers with, or creation of, other qualified plans.

Total assets transferred into the Plan from Employers in 2020 totaled \$33,876,434. Assets transferred out of the Plan from Employers in 2020 totaled \$76,268,207.

(4) Income Tax Status

The Plan has adopted a plan document sponsored by MMRS, which obtained its latest opinion letter on March 31, 2014, in which the Internal Revenue Service stated that the plan document, as then designed, was in compliance with the applicable requirements of the Internal Revenue Service. The plan document has been amended since receiving the opinion letter. However, the Company and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code. Therefore, no provision for income taxes has been included in the Plan's financial statements.

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain tax position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The plan administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2020 and 2019, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(5) **Party-in-Interest Transactions**

Notes receivable from participants are managed by MMRS. While this activity qualifies as party-in-interest activity as defined in Section 3(14) of ERISA, it is expressly exempted from the prohibited transaction rules. Participant loan transactions are considered to be party-in-interest transactions, because they are transacted with plan participants.

Certain administrative expenses are paid to MMRS and the Plan Sponsor and administrator (Note 2(c)) and as such are considered party-in-interest transactions.

(6) **Plan Termination**

Although it has not expressed any intent to do so, the Sponsor has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of plan termination, participants will become 100% vested in their accounts. In the event of termination, the guaranteed investment contract is subject to a market valuation adjustment.

(7) **Risks and Uncertainties**

The Plan may invest in various types of investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and those changes could materially affect the amounts reported in the statements of net assets available for benefits.

(8) **Information Certified by Trustee and Custodians (Unaudited)**

The plan administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, Reliance Trust Company, the trustee as of December 31, 2020 and 2019, and Great-West Life & Annuity Insurance Company, pursuant to a power of attorney from Massachusetts Mutual Life Insurance Company, the custodian effective December 31, 2020, and Massachusetts Mutual Life Insurance Company, the custodian from December 31, 2019 to December 30, 2020, respectively, have certified that the following data included in the accompanying financial statements and supplemental schedule is complete and accurate:

- Total investments and notes receivable from participants, as shown in the Statements of Net Assets Available for Benefits as of December 31, 2020 and 2019;
- Total investment income and related investment activity, as included in the Statement of Changes in Net Assets Available for Benefits for the year ended December 31, 2020;
- The Schedule of Assets (Held at End of Year) as of December 31, 2020 included in the supplemental schedule.

The Plan's independent auditors did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in these financial statements and supplemental schedule.

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(9) Fair Value Measurements

Accounting Standards Codification (“ASC”) Topic 820, *Fair Value Measurement*, establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy under ASC Topic 820 are described below:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2: Inputs to the valuation methodology include:

- (a) Quoted prices for similar assets or liabilities in active markets;
- (b) Quoted prices for identical or similar assets or liabilities in inactive markets;
- (c) Inputs other than quoted prices that are observable for the asset or liability;
- (d) Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset’s or liability’s fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value.

Registered Investment Companies: valued at quoted market prices in an exchange and active markets, which represent the net asset values of shares held by the Plan at year end and are classified as Level 1 inputs.

Self-Directed Brokerage Accounts: valued using readily available pricing sources for comparable instruments. Self-directed brokerage accounts are considered Level 2 based on valuation provided by the trustee.

Collective Investment Trusts: valued at the net asset values of shares held by the Plan at year end as determined by the issuer.

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(9) Fair Value Measurements - Continued

The preceding methods described may produce a fair value calculation that may not be indicative of the net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables set forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2020 and 2019:

Description	Assets Measured at Fair Value at December 31, 2020	Fair Value Measurements at Reporting Date Using		
		Quoted Prices in Active Markets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Registered investment companies	\$ 1,200,495,027	1,200,495,027	-	-
Self-directed brokerage accounts	19,009,560	-	19,009,560	-
	1,219,504,587	1,200,495,027	19,009,560	-
Collective investment trusts at NAV	491,393,412 (a)			
Total investments at fair value	\$ 1,710,897,999			

Description	Assets Measured at Fair Value at December 31, 2019	Fair Value Measurements at Reporting Date Using		
		Quoted Prices in Active Markets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Registered investment companies	\$ 1,078,333,103	1,078,333,103	-	-
Self-directed brokerage accounts	12,962,659	-	12,962,659	-
	1,091,295,762	1,078,333,103	12,962,659	-
Collective investment trusts at NAV	247,111,426 (a)			
Total investments at fair value	\$ 1,338,407,188			

(a) In accordance with Subtopic 820-10, certain investments that were measured at net asset value ("NAV") per share (or its equivalent) have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the line items presented in the statements of net assets available for benefits.

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(10) Delinquent Participant Contributions

Defined contribution plans are required to remit participant contributions to the Plan as soon as they can be reasonably segregated from the employer's general assets. While the Company remitted all participant contributions to the Plan, contributions of \$5,762 were not remitted within the Plan's remittance policy for the year ended December 31, 2020. The Plan is in the process of remitting any applicable lost earnings and excise tax relating to the delinquent participant contributions.

(11) Subsequent Events

The Plan's management has evaluated events and transactions for potential recognition or disclosure in the financial statements through October 15, 2021, the date the financial statements were available to be issued.

Effective January 1, 2021, the Plan adopted the amended and restated Empower Retirement, LLC Cycle 3 Pre-Approved Defined Contribution Plan document and RTC remained as the Plan's trustee.

TRINET SELECT 401(K) PLAN

Schedule H, Line 4(a) - Schedule of Delinquent Participant Contributions

Employer Identification Number (EIN): 13-3867443

Plan Number: 333

For the Year Ended December 31, 2020

<u>Year</u>	<u>Participant Contributions Transferred Late to Plan</u>		<u>Total that Constitute Nonexempt Prohibited Transactions</u>			<u>Total Fully Corrected Under VFCP and PTE 2002-51</u>
	<u>Check Here if Late Participant Loan Repayments are included o :</u>		<u>Contributions Not Corrected</u>	<u>Contributions Corrected Outside the VFCP</u>	<u>Contributions Pending Correction in VFCP</u>	
2020	\$	5,832	\$ 5,832	\$ -		\$ -

TRINET SELECT 401(K) PLAN

Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)

Employer Identification Number (EIN): 13-3867443

Plan Number: 333

December 31, 2020

(a)	(b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value (if Applicable)	(e) Current Value
	AmerFunds EuroPacific Gr Fund	Registered Investment Company	\$ 23,744,501
	American Funds New Prspctve Fd	Registered Investment Company	54,793,206
	American Funds New World Fund	Registered Investment Company	36,944,962
	Baird Aggregate Bond Fund	Registered Investment Company	18,504,485
	BlackRock High Yield Bond Fund	Registered Investment Company	19,467,897
	BlackRock iShares Intl Index Fund	Registered Investment Company	36,988,192
	Cohen & Steers Realty Shrs Fd	Registered Investment Company	16,538,279
	Delaware Small Cap Value Fund	Registered Investment Company	20,335,395
	JP Morgan Small Cap Growth Fd	Registered Investment Company	75,940,816
	PIMCO Low Duration Fund	Registered Investment Company	22,599,017
	Templeton Global Bond Fund	Registered Investment Company	6,380,911
	Vanguard Infl-Protectd Secs Fd	Registered Investment Company	21,918,940
	Vanguard Instl Target Ret 2015	Registered Investment Company	5,680,967
	Vanguard Instl Target Ret 2020	Registered Investment Company	22,105,111
	Vanguard Instl Target Ret 2025	Registered Investment Company	49,664,494
	Vanguard Instl Target Ret 2030	Registered Investment Company	91,412,792
	Vanguard Instl Target Ret 2035	Registered Investment Company	104,824,774
	Vanguard Instl Target Ret 2040	Registered Investment Company	125,314,359
	Vanguard Instl Target Ret 2045	Registered Investment Company	116,073,639
	Vanguard Instl Target Ret 2050	Registered Investment Company	155,701,043
	Vanguard Instl Target Ret 2055	Registered Investment Company	42,588,028
	Vanguard Instl Target Ret 2060	Registered Investment Company	24,377,796
	Vanguard Instl Trgt Ret 2065 Fd	Registered Investment Company	8,430,073
	Vanguard Instl Target Ret Inc	Registered Investment Company	8,271,847
	Vanguard Interm-Term Treas Fd	Registered Investment Company	23,697,158
	Vanguard Intrm-Trm Bnd Index Fd	Registered Investment Company	24,226,561
	Vanguard Federal Money Market Fd	Registered Investment Company	716,941
	Vanguard Wellington Fund	Registered Investment Company	43,251,619
	Cash Out Fund	Registered Investment Company	430
*	MassMutual Premier U.S. Govt Money Market Fund	Registered Investment Company	794
*	MassMutual Retirement Services Stable Value Fund	Guaranteed Investment Contract	101,883,120
	Schwab PCRA	Self-Directed Brokerage	19,009,560
	Legal & General S&P 400 DC CIT	Collective Investment Trust	110,723,606
	Legal & General S&P 500 DC CIT	Collective Investment Trust	316,394,342
	Legal & General S&P 600 DC CIT	Collective Investment Trust	64,275,464
			1,812,781,119
*	Participant loans	(4.25% - 8.75% - various maturities)	10,396,168
			<u>\$ 1,823,177,287</u>

* Party-in-interest

A. Name of Plan	B. Three Digit Plan Number
TriNet Select 401(k) Plan	333

C. Plan Year	D. Employer Identification Number
1/1/2020 - 12/31/2020	48-1304650

Multiple-Employer Plan Participating Employer Information

(a) Participating Employer Name	(b) Participating Employer EIN	(c) Percentage of Contributions
TriNet HR IV, LLC	48-1304650	0.00%
Promontory Financial Group, LL	52-2216427	0.00%
Radium Fund Advisors, LLC	20-4893562	0.00%
J.N. Savasta Corp.	13-3553650	0.06%
FWA of New York, Inc	13-3105689	0.01%
Rutter Associates LLC	13-4060989	0.03%
EuroClear Bank, S.A/N.V.	98-0336311	0.11%
Veritas Venture Partners LLC	22-3667400	0.00%
Baxter Bros, Inc.	13-1926156	0.10%
Equilend Holdings, LLC	22-3807812	0.52%
Sackett Business Media	52-2324446	0.00%
IPValue Management, Inc.	13-4193723	0.33%
Comvest Advisors, LLC	01-0622406	0.41%
American Microloan, LLC	22-3845343	0.01%
Tekla Capital Management LLC	01-0628853	0.12%
Streetwise Partners, Inc.	31-1571343	0.00%
Jamesbeck Global Partners, LLC	32-0005972	0.06%
DBI Construction Consultants L	26-1771928	0.17%
IACPM	13-4184184	0.05%
SVG Advisers Inc.	32-0042531	0.00%
Jose Cuervo International, Inc	74-2573415	0.00%
Navitas, Inc.	22-3739703	0.02%
Primus Asset Management, Inc.	35-2176528	0.00%
Cornerstone Pharmaceuticals, I	60-0000731	0.00%
Virgil Films & Entertainment L	05-0545018	0.01%
Coventry Real Estate Advisors,	01-0573046	0.02%
LIFEbeat, Inc.	13-3667778	0.00%
Aid to the Church in Need, Inc	95-3194083	0.02%
Kodiak Systems, LLC	81-5413308	0.08%
New Level Partners, LLC	22-3810829	0.00%
OAM Avatar, LLC	56-2364345	0.00%
The Joan Mitchell Foundation,	11-3161054	0.04%
TowerBrook Capital Partners, L.P.	20-2420191	0.00%
113 Staff, LLC	26-3726054	0.00%
40 NorthManagement LLC	26-4203244	0.30%
A.P. Management Co LLC	13-3888927	0.00%
Access 3:42, LLC	20-5381065	0.00%

Actis Advisers Inc.	27-4609830	0.04%
Ad Summos, Inc.	27-1812171	0.00%
Adakin Capital, LLC	80-0282531	0.03%
ADAR Investment Management LLC	20-0898440	0.00%
ADK Capital LLC	26-4812813	0.00%
Agahozo-Shalom Youth Village,	27-3530769	0.01%
Agamas Capital Management, LP	56-2478695	0.00%
Aisling Capital, LLC	01-0849048	0.18%
AJN Accounting Services, Inc.	26-3955920	0.00%
Akros Capital, LLC	43-2020032	0.00%
Alpine Capital Management, LLC	35-2196595	0.00%
Amba Research USA Inc.	35-2245082	0.00%
Amber Capital LP	20-2978370	0.04%
Amber Partners (USA), Inc.	13-4322691	0.00%
American Securities Group, LLC	20-2278845	0.01%
Aqua Management Inc.	27-0961545	0.00%
Archer Capital Management, L.P	20-3980763	0.00%
Aristotle Circle, Inc.	26-3468860	0.01%
Armgo Pharma, Inc.	26-0107795	0.01%
Asian Century Quest Capital, L	20-1985594	0.00%
Asset Alliance Corporation	13-3989075	0.00%
Athyrium Capital Management, LP	26-3965190	0.33%
Attara Capital LP	27-1088305	0.00%
Avista Capital Holdings, LP	20-3345897	0.12%
Berman Capital Advisors, LLC	27-3584944	0.00%
BIDS Holdings L.P.	20-5087370	0.18%
Bijou Capital Management LP	27-3195873	0.00%
Boodell & Company Capital Mana	27-4336059	0.00%
Breaking Media Inc.	45-3529122	0.00%
Broadfin Capital LLC	20-2924429	0.00%
Broadreach Medical Resources,	02-0640082	0.11%
Bryant Burgher Jaffe & Roberts	26-4099892	0.00%
Butler Capital Partners	13-4179044	0.00%
Buzzback, LLC	13-4121162	0.00%
Cambridge Cognition LLC	76-0837655	0.03%
Campden Media Inc	20-8849512	0.04%
CapGen Capital Advisers, LLC	20-8582167	0.00%
Capricorn Management, LLC	06-1212656	0.01%
Caspian Capital LP	90-0626905	0.00%
Chalkstream Capital Group, L.P	13-4272868	0.07%
Charter Bridge Capital Managem	27-2532911	0.00%
Churchill Capital USA Inc.	20-5512980	0.00%
CoEnterprise, LLC	32-0323129	0.00%
Colonial Investment Management	13-4008190	0.00%
Crestwood Capital Management L	27-0178572	0.05%
Criterion Real Estate Capital,	27-3493532	0.03%
Cross Commerce Media, Inc.	26-2756434	0.07%

CS Tang Inc.	26-3795495	0.00%
CSL Capital Management LLC	26-2463632	0.00%
CWS Software, LLC	22-3788359	0.00%
Darkstar Asset Management, LLC	20-4809412	0.00%
Deerpath Capital Management, L	20-8823604	0.12%
Design Trust for Public Space,	13-3884881	0.01%
DIG Management Services (USA),	05-0633240	0.00%
Distell North America Inc	75-2988062	0.01%
Druker Capital, LLC	27-0139742	0.00%
Dune Capital Management LP	20-1591850	0.00%
Dune Real Estate Partners LP	90-0511007	0.19%
Eachwin Capital, LP	27-1731608	0.01%
East Rock Capital, LLC	20-5451680	0.17%
Eastland Tire, LLC	26-1338023	0.00%
Easton Associates, LLC	13-4102006	0.00%
Edge Technology Group, LLC	42-1723516	0.37%
Effex Capital, LLC	27-2332902	0.00%
Elevation Management LLC	20-1050411	0.00%
Emerald Point Capital, LLC	20-0750555	0.00%
Equifin Capital Management II,	56-2516405	0.00%
Essentials Brands, Inc.	95-4825131	0.00%
Richmond Hill Investments, LLC	26-2203001	0.06%
Essex Equity Management, LLC	26-0559784	0.00%
eXelate, Inc.	27-2941925	0.00%
Fauchier Partners Corp.	20-8451025	0.00%
FdG Associates LP	52-2117053	0.00%
FdG Associates Management LLC	26-3979140	0.00%
Financial Products, LLC	27-2092888	0.00%
Flexis Capital LLC	26-4009965	0.01%
Florek & Endres PLLC	27-2097226	0.02%
Fore Research & Management, LP	73-1688684	0.00%
Foresight Group US, LLC	46-1211954	0.00%
Arbiter Partners Capital Manag	20-5993147	0.15%
Forum Asset Management, LLC	65-1154805	0.00%
Foundry9 LLC	34-2027709	0.00%
Fox Point Capital Management,	20-5001541	0.00%
Freeman/Frazier & Associates,	13-3575430	0.00%
Freshford Capital Management,	26-2850841	0.00%
Full Circle Service Company, LLC	01-0961110	0.01%
Fundadministration, Inc.	13-4087580	0.00%
G.C. Andersen Partners, LLC	80-0071704	0.01%
Galileo Weather Risk Managemen	56-2624603	0.00%
Gatemoore Capital Management, L	84-1679220	0.00%
Gellos Capital LLC	20-4005664	0.02%
GIA Partners, LLC	27-0498438	0.09%
GLC Advisors & Co., LLC	26-4619357	0.13%
Global Leveraged Capital Manag	35-2260381	0.03%

Global Thematic Partners Holdi	27-2093087	0.05%
Goshen Investments, LLC	20-5091261	0.00%
Hale Capital Management, LP	26-1725382	0.02%
Hope for New York	13-3713484	0.03%
Hudson Bay Capital Management,	37-1511773	0.50%
Hutchin Hill Portfolio Managem	80-0164844	0.00%
Michaelson Capital Partners LLC	20-2730280	0.00%
Innovation Advisors Holding LL	06-1619986	0.04%
Integrity Research Associates,	20-0129178	0.00%
Treeview Real Estate Advisors LP	27-3944624	0.04%
Ironwood Global, LLC	90-0719622	0.00%
ISAM (USA) LLC	42-1772843	0.01%
IVC America LP	20-5605852	0.00%
Jeffrey A. Parker & Associates	65-0688422	0.00%
Jeffrey Williams & Co. LLC	30-0042197	0.00%
Joan Mitchell Center on Bayou	27-3110894	0.01%
Just Food, Inc.	06-1555759	0.00%
Katz Capital LLC	26-2540954	0.01%
Henry Kaufman & Company Inc.	13-3448094	0.00%
Kazimir Partners Inc.	98-0557821	0.00%
Keffi Group LTD	56-2548105	0.00%
Kingsbrook Partners LP	26-4446357	0.05%
Ladder Capital Finance LLC	26-2048558	0.50%
Lakeview, LLC	75-3132741	0.00%
Peak Ten Capital LLC	20-4642588	0.00%
Langer Research Associates, LL	27-2526385	0.03%
Latique Handbags & Accessories	27-1765925	0.00%
Laurion Capital Management LP	56-2504425	0.61%
LibreMax Capital, LLC	27-2436175	0.58%
Lingraphicare America, Inc.	77-0248793	0.00%
Lionstone Capital Management L	20-2925104	0.05%
Lockwell Investments, LLC	26-4720401	0.00%
Long Pond Capital, LP	27-3090149	0.59%
Luxor Capital Group, LP	20-1394199	0.00%
Lyford Group International, LT	98-0407582	0.00%
Manatuck Hill Partners, LLC	26-4540925	0.01%
Mangrove Partners	98-0652572	0.06%
Market Fusion, LLC	06-1539639	0.00%
Marujupu, LLC	13-3922148	0.00%
Massey, Quick & Co., LLC	14-1909645	0.02%
Meeschaert Capital Markets, LL	75-2058272	0.00%
Mercury Capital Advisors Group	80-0472073	0.13%
Mercury Capital Advisors Group, LLC	80-0472084	0.00%
Meru Capital Group, LP	27-0586752	0.01%
Mesh Capital, LLC	26-2155198	0.00%
Metafilter Network Inc.	80-0325198	0.00%
Milestone New York LLC	20-8889678	0.06%

Mismi, Inc.	26-0222194	0.00%
Molo Lamken LLP	27-0739069	0.00%
Mooreland Holdings LLC	65-1176660	0.00%
Mountaineer Partners Managemen	27-5200462	0.00%
Mudrick Capital Management, LP	27-0367034	0.18%
Nivalis Therapeutics, Inc.	20-8969493	0.00%
Nautilus Neurosciences, Inc.	27-1239884	0.00%
NCH Advisors Inc.	13-3798525	0.22%
Noroton Capital Management LLC	26-0619053	0.00%
North Cove Partners LLC	80-0717925	0.00%
NorthStar Capital Funds, LLC	20-0330884	0.00%
Oasis Beverage Corporation	27-2385020	0.00%
Observer Capital LLC	11-3843907	0.01%
Ogg Trading, LLC	27-4414274	0.00%
OLX, Inc.	20-4521118	0.01%
omgpop, inc.	20-5324772	0.00%
One William Street Capital Man	80-0140917	0.50%
Overlook Capital LLC	20-3048849	0.00%
P & L Consulting Group	20-5146319	0.00%
PAEP, LLC	13-4069655	0.00%
Payoneer Inc.	35-2254039	0.81%
PCG Administrative Services, L	94-3412660	0.00%
Perceptive Advisors, LLC	52-2291758	0.00%
Nova Ventures, LLC	20-5454937	0.02%
Nova Ventures, LLC 2	20-5454937	0.00%
Pershing Square Capital Manage	38-3694136	0.00%
Petra Capital Management LLC	51-0532197	0.02%
Pia Capital Management LP	26-3797995	0.00%
Pico Quantitative Trading LLC	27-0976628	0.58%
Pine Brook Road Partners LLC	20-5770818	0.17%
Platinum Management (NY) LLC	74-3027870	0.00%
Pluris Valuation Advisors LLC	20-5529333	0.03%
Praesidian Capital Management	20-8738816	0.02%
Praxis, Inc.	06-1020348	0.01%
Private Property Services LLC	41-2280220	0.00%
PRN Capital, LLC	26-0146273	0.02%
Proclivity Media Inc	20-4856256	0.00%
PSQR Management LLC	80-0336379	0.00%
Pulse Veterinary Technologies,	26-4507343	0.02%
RAVE LLC	77-0436961	0.00%
RAVE N.P., Inc.	14-1903190	0.00%
Raylign Advisory LLC	20-2023883	0.00%
RayLign LLC	20-2023883	0.01%
Realm Partners, LLC	26-4185306	0.00%
Ridgeback Capital Management,	42-1684320	0.02%
Ridgeway Partners LLC	20-2182213	0.06%
ROC Capital Holdings, LLC	26-2980819	0.15%

RWE Trading Americas Inc.	76-0685498	0.02%
SAM Capital Management LLC	83-0345657	0.00%
Samlyn Capital, LLC	20-5854094	0.14%
Sancus Capital Management LP	26-4281973	0.01%
Sandstar Capital LLC	85-3769357	0.01%
Sawaya Partners, LLC	13-4158483	0.10%
Schools Interoperability Frame	54-2106637	0.01%
Scottwood Capital Management A	26-1464988	0.00%
Seer Capital Management LP	35-2343007	0.00%
Seven Locks Capital Management	26-3675382	0.00%
Shannon River Fund Management	02-0657358	0.05%
Simphotek, Inc.	38-3830700	0.00%
Sindex Systems, Inc.	13-3962953	0.07%
SIR Capital Management, L.P.	83-0487823	0.00%
SIRIS CAPITAL GROUP LLC	27-4069186	0.62%
Skyrecon Systems, Inc.	20-8698462	0.00%
Sorin Capital Management, LLC	20-1031512	0.00%
SoundSpectrum, Inc.	52-2284673	0.00%
South Ferry Capital Management	80-0576420	0.00%
Spring Hill Management Company, LLC	26-3596625	0.00%
SQM Frontier Management LP	27-1031996	0.09%
SRS Investment Management, LLC	20-5052615	0.37%
Stelliam Investment Management	20-5834929	0.00%
Stone Castle Partners LLC	54-2115945	0.07%
Stonecastle Cash Management LL	26-4665663	0.19%
StoneCastle Portfolio Advisor LLC	27-0193603	0.00%
Summit Rock Advisors, LP	20-8632793	0.32%
Somers Investment Partners LLC	46-1622796	0.00%
Syncarpha Capital, LLC	32-0460976	0.11%
Table Management LP	45-2569926	0.00%
Tangerine-Lord, LLC	27-2085743	0.00%
Taylor Financial Group, Inc.	54-1382027	0.05%
Lion's View Partners, LLC	83-0795942	0.00%
Tetrem Capital Management (U.S	26-1894675	0.00%
TGRA Capital Management, LLC	20-0446511	0.00%
The Gerson Group, LLC	20-3573593	0.00%
The Ito Partnership LLC	02-0791493	0.00%
The Pershing Square Foundation	20-8068401	0.00%
Theobroma USA Inc.	26-4072288	0.00%
Tower Three Partners LLC	26-1356746	0.00%
TowerBrook Capital Partners, L	20-2420191	0.47%
TowerBrook Capital Partners, L.P.	20-2420191	0.00%
Tradex Global Advisors LLC	20-8688993	0.00%
Triam Capital Administration,	27-0469333	0.04%
Triam Fund Management, L.P.	20-3454182	0.56%
True Entertainment, LLC	13-4097774	0.00%
Turtle Bay Group, Inc.	27-2761141	0.00%

Semper Capital Management, L.P	13-3716179	0.14%
Umami Co.	27-2756213	0.00%
Unruly Media Inc.	27-4035003	0.07%
UNTL Capital LLC	26-0739320	0.05%
UW Capital Management Co, LLC	26-1121190	0.01%
van Biema Value Partners, LLC	20-1272199	0.06%
Vedanta Management, LP	20-4848545	0.07%
Veracity Worldwide LLC	20-8316674	0.03%
Vertical Research Partners, LL	27-1425173	0.18%
ABRC Holdings, LLC	90-0992187	0.00%
Vizibility Inc.	27-0889251	0.00%
Watermill Institutional Tradin	27-0809466	0.05%
Start Motion Pictures LLC	26-1432414	0.00%
Whitney Partners (NG) LLC	94-3448614	0.00%
Wilmington Solutions LLC	90-0521743	0.00%
Wincove Capital Management LLC	26-1299482	0.00%
WorldQuant, LLC	30-0484922	0.00%
WR Securities, LLC	80-0451433	0.00%
XBRL US, Inc.	20-5592157	0.02%
YYC Capital Management LP	80-0422729	0.00%
Zilkha Investments, LP	41-2207205	0.01%
Axonic Capital LLC	27-3918539	0.00%
BOXEE, INC.	20-8715378	0.00%
G3 Good Governance (US) Corpor	99-0365139	0.00%
Lucidus Capital Partners LLC	98-0629604	0.00%
MQS Management, LLC	26-1378235	0.05%
Sabby Management, LLC	45-2516614	0.01%
TAG Energy Partners, LLC	27-3088051	0.00%
The Alberleen Group LLC	27-2701009	0.00%
JAS Consulting	13-3877221	0.00%
RiskMetrics Group, Inc.	13-4132454	0.00%
Lync Consulting, LLC	98-1198528	0.00%
PLATFORMedia, LLC	13-4060921	0.00%
Zingy Inc.	13-4081577	0.00%
ConnectLive Communications	54-1989051	0.00%
KickStartUSA.com, Inc.	13-4119545	0.00%
I-play US LLC	52-2311125	0.00%
GameLab, LLC	11-3545675	0.00%
CounterStorm, Inc.	13-4178412	0.00%
Kavado Inc	13-4139889	0.00%
Full House Productions, Inc.	13-3136549	0.00%
Porteck Corporation	04-3693188	0.00%
Praedea Solutions, Inc.	95-4841335	0.00%
SwapsWire Incorporated	04-3626950	0.00%
Patient Safety Technologies, I	13-3419202	0.00%
Amaranth Group Inc.	30-0133776	0.00%
Mallet Capital Management, LLC	14-1865482	0.00%

XMPie, Inc.	52-2202937	0.00%
Hudson River Trading, LLC	45-0465436	0.00%
Matrix USA, LLC	13-3799171	0.00%
Paris Markets, Inc.	13-3777508	0.00%
Quantum AI Corporation	20-0255646	0.00%
Sivik Global Healthcare, LLC	13-4176749	0.00%
Harmon & Co. LLC	22-3819924	0.00%
Milestone International Asset	20-0395743	0.00%
Jane & Company, LLC	43-2040676	0.00%
Rhombus Capital Management, LP	01-0808505	0.00%
3 For All Partners, LLC	74-3094366	0.00%
NTM Inc.	58-2676820	0.00%
Bulldog New York, LLC	32-0097354	0.00%
Ramirez TradeTrek Securities L	22-3731541	0.00%
Brunswick Group LLC	20-1591418	0.00%
Grove International Partners (	43-2060079	0.00%
Whole World Communications, In	13-4172302	0.00%
Conduit Management Corporation	20-1959138	0.00%
Instant Information, Inc.	43-2064698	0.00%
Dragonfly Chicago, LLC	13-4179255	0.00%
Dragonfly San Francisco, LLC	47-0910873	0.00%
Trendum USA, Inc.	20-2251974	0.00%
Odyssey Financial Technologies	33-1101745	0.00%
Overture Financial Services LL	20-2048607	0.00%
Lookout Software, LLC	81-0640485	0.00%
LJH Global Investments LLC	64-0431110	0.00%
Pi Capital Inc.	20-2265121	0.00%
Quogue Capital, LP	54-2176225	0.00%
Grove2	43-2060079	0.00%
Press-sense Inc.	84-1685271	0.00%
The Spoken Hub, LLC	04-3694244	0.00%
Starcut USA, Inc.	95-4848224	0.00%
Mohen, Inc.	14-1928717	0.00%
Flex Fund Financial Services,	20-4842233	0.00%
Infosync World Inc.	20-4996584	0.00%
Schwab Enterprise LLC	51-0532410	0.00%
Contour Management, LLC	20-4779423	0.00%
Alteams Oy	98-0506591	0.00%
Equinox Associates dba Equinox	06-1602165	0.00%
Fursa Holdings LLC	13-4050836	0.00%
Utendahl Capital Partners LP	13-3716179	0.00%
AS Hirota Capital Management,	20-5005752	0.00%
Tala Investments, LP	20-2326653	0.00%
Epirus Capital Management LLC	20-5967883	0.00%
Deister Capital Management, LL	20-8409942	0.00%
World Evolved Services, LLC	20-5770681	0.00%
Oceanwood Capital Management (	56-2590577	0.00%

Evamere Entertainment, LLC	16-1779575	0.00%
iGlobal Group LLC	20-8586069	0.00%
Progressive Book Club, LLC	65-1217822	0.00%
Beacon Capital Strategies Inc.	26-0256034	0.00%
DIG2	05-0633240	0.00%
Ridgeway Advisors LLC	20-0221707	0.00%
Managed Systems, Inc.	33-1067870	0.00%
Patch Media Corporation	26-1612252	0.00%
Serverside Card Partner, Inc.	20-8920679	0.00%
Neuropharm Inc.	26-1878670	0.00%
Orion Capital Management LLC	13-3955843	0.00%
Outpost Investment Group, LLC	26-2156117	0.00%
Tiger Europe Management LLC	26-2082693	0.00%
Watervista, LLC	42-1746089	0.00%
Double Trump International Inc	20-4322219	0.00%
Getzler Henrich & Associates L	43-1999271	0.00%
BSE Management LLC	26-4096902	0.00%
Asolare Capital Management, LL	27-0158743	0.00%
Reborn Beauty, LLC	26-4609600	0.00%
Crestview Advisors, LLC	36-4562205	0.00%
Financial Products, LLC	27-2092888	0.00%
Trylon Communications, Inc.	13-3587034	0.00%
Square Earth	13-3811825	0.00%
Comet Systems, Inc	13-3938540	0.00%
UPROAR, INC.	13-3919458	0.00%
ICLICK, INC.	13-3846516	0.00%
INFOMANAGE CORPORATION	13-3858581	0.00%
MAINXCHANGE.COM INC.	52-2072020	0.00%
PREDICT IT, INC.	84-1433978	0.00%
iPARTY, LLC	76-0430948	0.00%
NET EXCHANGE, INC.	13-3877001	0.00%
Alphanumeric, Inc.	13-3988662	0.00%
BROADWAY DIGITAL ENTERTAINMENT	13-3971658	0.00%
SOLILOQUY INC.	13-3998160	0.00%
IMPROV TECHNOLOGIES, INC.	11-3477215	0.00%
CROSS MEDIA MARKETING	13-4042921	0.00%
THINKING MEDIA	13-4061211	0.00%
CoolAudio Inc.	52-2122508	0.00%
ETRANA	94-3331662	0.00%
SmartRay Network Inc	13-4040461	0.00%
ATHLETE.COM, INC.	13-4066757	0.00%
InfoRocket, Inc.	13-4033958	0.00%
HOTSOCKET, INC.	13-4044353	0.00%
FUNKY TALK	77-0511055	0.00%
NURSINGHANDS, INC.	13-4033945	0.00%
iSALVAGE.COM	13-4070644	0.00%
Capital Thinking, Inc.	13-4070644	0.00%

PREFER NETWORK	52-2193818	0.00%
IPNETWORK, INC.	13-4085956	0.00%
ONCOLOGY.COM, INC.	52-2166695	0.00%
YAZAM.COM, INC.	52-2202937	0.00%
JBI	13-4065573	0.00%
PHYSICIANS TELECOM, INC.	13-3993529	0.00%
ISTASH.COM, INC.	13-4090587	0.00%
E-LECTRADE.COM, INC.	13-4078892	0.00%
MEDIUM4.COM, INC.	13-4037641	0.00%
iJOCKEY, Inc.	13-4045606	0.00%
PARLO.COM, INC.	52-2172251	0.00%
CHOICESEAT, INC.	73-1562057	0.00%
SILICON ALLEY VENTURE PARTNERS	11-3427183	0.00%
MARKET AXESS, INC.	52-2230784	0.00%
ASSETTRACK.COM, INC.	13-4092090	0.00%
BIGSAFE, INC	13-4077253	0.00%
ATOMICA	98-0202865	0.00%
BLAZEVENTURES.COM, INC.	06-1572345	0.00%
ACTIVEBUDDY, INC.	22-3707674	0.00%
eFinanceworks Management Corp.	52-2214665	0.00%
UVentures	13-4015674	0.00%
BACKSLAP ENTERTAINMENT, INC.	13-4102216	0.00%
TheSquare.com, Inc.	13-4068013	0.00%
TOUCHPAK, INC.	56-2132208	0.00%
TEOMA TECHNOLOGIES, INC.	22-3705043	0.00%
WOMEN NEXT, INC.	23-3709014	0.00%
AVITO, LLC	13-4079350	0.00%
Cyngifi Derivatives Services	52-2233249	0.00%
MIBRARY.COM, INC.	74-2946155	0.00%
WORLD0.COM, INC.	52-2223102	0.00%
SAFETYDIRECTOR.COM	13-4106418	0.00%
NARAD NETWORKS, INC.	06-1567551	0.00%
METALOGIC SOLUTIONS, INC.	52-2239066	0.00%
REFERRALS.COM, INC	52-2159878	0.00%
AtBALANCE, INC.	13-4116114	0.00%
Primordial, Inc.	11-3554175	0.00%
VESTA TECHNOLOGIES, LLC.	22-3736420	0.00%
BONDBOOK HOLDINGS, LLC	13-4126789	0.00%
Howard Capital Management	13-2786101	0.00%
INVESTHINK, INC.	13-4079731	0.00%
SALSA SYSTEMS, INC.	52-2221747	0.00%
Stone Ridge Partners, LLC	52-2305983	0.00%
ENCOMPYS, INC.	06-1611271	0.00%
Aton Pharma, Inc	13-4120965	0.00%
CROSSMEDIA MARKETING2	13-4042921	0.00%
CIRCLINE, INC.	75-2765219	0.00%
HAWK TECHNOLOGY GROUP, LLC	22-3845150	0.00%

Proact Technologies	58-2289037	0.00%
Timeless Hospitality Corp.	11-3483453	0.00%
DKP Partners Inc.	74-3074330	0.00%
Satori Brand Development Partn	68-0566736	0.00%
NE Asia Capital Management, LL	33-1105282	0.00%
Outside.In Inc.	20-5240429	0.00%
CypressTree Investment Managem	27-0762490	0.00%
JuiceSoftware, Inc.	06-1578082	0.00%
Nova Ventures, LLC 3	20-5454937	0.00%
Perilune Group, LLC 4	20-5454937	0.00%
Glenrock Inc.	13-3784859	0.00%
SupportCity.com LLC	13-4042878	0.00%
RTX Technology Partners, LLC	26-0798568	0.00%
Highstar Capital LP	26-4571856	0.00%
Fund Tax Services LLC	27-4515278	0.00%
Arsenal Group, LLC	27-4698485	0.00%
Seven Bridges Advisors LLC	90-0744275	0.08%
Field Street Capital Managemen	26-1275315	0.16%
Seminole Management Co., Inc.	13-3875595	0.01%
Alamy Inc	46-0521136	0.01%
BroadRiver Asset Management LP	27-0731565	0.00%
SD Daniels & Co., C.P.A., P.C.	13-3801236	0.20%
SDDCO Regulatory Services LLC	27-0366246	0.08%
SDDCO Brokerage Advisors LLC	27-1388570	0.01%
Lion Capital (Americas) Inc	71-1050617	0.06%
Sonic Union, Inc.	13-3708513	0.11%
Torstone Technology Limited	98-1018318	0.02%
Libra Advisors, LLC	13-3983473	0.01%
Studio One Networks	13-3978162	0.00%
New Health Capital Partners, L	45-3144597	0.00%
12 West Capital Management LP	45-3076594	0.05%
Kynectiv, Inc	27-1693878	0.00%
Five Mile Capital Partners LLC	03-0487048	0.02%
Cloud Technology Innovations,	27-5421719	0.14%
CFDA Foundation Inc.	23-7371666	0.07%
Meiners Projects LLC	45-2067687	0.00%
Liquiditymatch LLC	45-4060012	0.14%
Boothbay Family Office Managem	45-3916477	0.00%
40 North Properties LLC	37-1656165	0.00%
Kardent Inc.	27-3918086	0.03%
Arena Digital LLC	45-2366807	0.00%
USA Technology & Management Se	45-4203532	0.01%
Investment Research Consortium	26-2916875	0.00%
SKY Harbor Capital Management,	45-3058590	0.00%
M. Sopher & Co. LLC	13-6247793	0.01%
Antipodean Advisors LLC	26-3873008	0.02%
Liongate Capital Management LP	37-1618852	0.00%

Academy Investment Management	27-3464369	0.01%
Purpose Campaigns, LLC	68-0607622	0.07%
The Affordable Jewish Educatio	45-4145810	0.00%
Cello Capital Management, LP	27-0314013	0.12%
C40 Cities Climate Leadership	90-0634376	0.11%
Coastland Capital LLC	27-1607864	0.00%
Amanda Pearl, LLC	26-1729153	0.00%
Palestra Capital Management	35-2421252	0.12%
Long Oar Global Investors LLC	26-3986876	0.00%
DH Capital, LLC	22-3821929	0.15%
Oakpoint Solutions, LLC	32-0433801	0.02%
TurnTo Networks, Inc.	26-1579675	0.00%
Pulse Veterinary Tech Bi-weekl	26-4507343	0.00%
ClearSky Security Fund I LLC	35-2574697	0.21%
Tilden Park Capital Management	26-2946394	0.00%
Regals Capital Management LP	45-4228900	0.00%
Appway Inc.	27-2854423	0.09%
LFG America Pty Ltd.	98-0696230	0.07%
CITIC Securities International	45-2741209	0.00%
Apple Tree Pharmaceuticals, In	45-1584506	0.07%
Main Street Financial Life Advisors	22-3661789	0.01%
Eightfold Real Estate Capital, L.P.	45-4290795	0.14%
Anderson Global Macro LLC	61-1673922	0.00%
Exclusive Media Group Holdings	82-0551313	0.00%
TrexQuant Investment LP	46-4650164	0.09%
Sica Wealth Management, LLC	27-2715049	0.00%
QMS Capital Management LP	26-3002406	0.10%
Build America Mutual Assurance	45-4858468	0.61%
SundaySky Inc.	42-1767107	0.20%
Ranger Global Advisors, LLC	32-0335545	0.00%
Queen Anne's Gate Capital Managemen	45-5547807	0.00%
Incline Global Management LLC	52-2338197	0.02%
TransAmerican Asset Servicing	45-5455527	0.00%
MC-Five Mile Commercial Mortga	45-5633180	0.00%
BlueMar Capital Management, LL	45-2769137	0.03%
Tree Capital, LLC	26-4060424	0.00%
Autonomous Research US LLP	46-0544549	0.00%
Turntable.fm, Inc.	27-1659729	0.00%
Suvretta Capital Management, LLC	45-3704500	0.16%
Braeburn Inc.	46-1031785	0.36%
Perceptual Networks Inc	45-4980674	0.00%
2bkco, Inc.	27-5395473	0.00%
Kane (USA) Inc	80-0704957	0.00%
Encompass Capital Advisors, LLC	45-3533616	0.19%
Willoughby Capital Holdings, L	45-3995228	0.22%
Tiger Eye Capital LLC	80-0365323	0.00%
Newgate Capital Management LLC	04-2941344	0.00%

IREO Management Subsidiary LLC	33-1127646	0.00%
Racon Capital LLC	45-4938052	0.03%
Waller Capital Partners, LLC	20-8039170	0.00%
RWN Management LLC	27-1211529	0.11%
TRG Management LP	11-3670657	0.17%
Electron Capital Partners LLC	45-5150099	0.06%
SCCM ECD LLC	90-0900399	0.00%
Daily Muse Inc	45-3132535	0.00%
Tensile Capital Management LP	45-4496810	0.12%
Brick & Patel LLP	45-0996010	0.04%
Bitscopic Inc	46-0754309	0.00%
System 2 Advisors, LP	45-4380025	0.03%
Curex Group Holdings, LLC	30-0574807	0.08%
Long Ridge Capital Management	27-1863932	0.11%
Premium Point Investments LP	26-2824012	0.00%
Martec Access Products, Inc.	22-3123056	0.00%
Mars International, Inc.	22-2038941	0.00%
Clinton Executive Services Corp.	27-4408225	0.00%
Eagle River Asset Management L	27-3689960	0.00%
Lakewood Capital Management, LP	20-8865904	0.28%
R4 Capital LLC	80-0810870	0.33%
Bryant Rabbino LLP	46-0560771	0.00%
Coltrane Asset Management,L.P.	98-1055703	0.06%
3i Debt Management US LLC	80-0841610	0.00%
HumanCo Assets LLC	83-4109952	0.07%
Hudson Capital Management LP	84-1722023	0.04%
Aviary Inc	26-3122573	0.00%
Electric Imp, Inc.	45-2164226	0.00%
Arcem Capital, LLC	80-0795822	0.00%
Harbor Spring Capital, LLC	80-0850937	0.10%
Three Corner Global Investors	38-3898285	0.00%
Lightyear Corporation	82-1332431	0.01%
Maritime Capital LLC	27-0969526	0.10%
Quantedge USA Inc	45-3843537	0.00%
Oberland Capital Management LLC	46-2076981	0.14%
ARIX Business Intelligence NA	46-2397414	0.00%
Owler, Inc.	45-2450718	0.04%
Atreaus Capital, LP	80-0777692	0.00%
Atreaus Capital Holdings, LP	80-0790962	0.02%
PanAfrican Investment Company, LLC	46-1361907	0.00%
Agnitio, Inc.	46-0638654	0.00%
Tribeca Associates, LLC	06-1586588	0.02%
Nokota Management, LP	45-2593032	0.02%
Sound Harbor Partners LLC	27-0148619	0.00%
Macquarie Rotorcraft Leasing, Inc.	46-2380672	0.00%
Red Granite International Inc.	27-4637147	0.00%
Red Granite Pictures Inc.	27-3630497	0.00%

Princeton Alpha Management LP	46-1987129	0.00%
Symbiomix Therapeutics, LLC	35-2463298	0.00%
Promontory Risk Review, LLC	45-2568589	0.00%
40 North Services LLC	46-2605130	0.21%
Tecum Capital Management, Inc.	46-0930950	0.00%
1776 Global Inc., PBC	46-3053453	0.00%
Structured Finance Industry Gr	46-2258052	0.00%
Promontory Growth and Innovati	26-4794432	0.00%
WorldQuant Foundation	26-3576736	0.00%
Resource4Meetings, LLC	27-2433295	0.00%
Trend Capital Management LP	61-1652306	0.19%
Corvex Management LP	27-4190685	0.16%
Edgewater Markets LLC	46-2620263	0.02%
Melody Capital Partners, LP	80-0856724	0.42%
TransitCenter, Inc	13-4146467	0.00%
The Heyman Enterprise LLC	27-3906096	0.05%
Heyman Properties II, LLC	90-0954184	0.01%
Sandton Capital Partners, L.P.	27-2999757	0.07%
Lerer Ventures Management, LLC	45-2116044	0.00%
Rebel Mouse Inc.	45-3726790	0.00%
Decisyon Inc.	27-2625835	0.01%
Banyan Tree Asset Management, LLC	46-3054513	0.01%
Revelstoke Capital Management	46-3237217	0.00%
Corrum Capital Management LLC	46-1748932	0.10%
Sustainable Insight Capital Ma	46-1602087	0.05%
StoneCastle Securities, LLC	04-3794627	0.04%
Tiger Cool Express LLC	80-0946371	0.12%
Ambi Advisors Inc.	46-3196601	0.00%
Claar Advisors, LLC	46-1237663	0.02%
AdMonsters, LLC	20-1692060	0.00%
Endurant Capital Management LP	80-0938449	0.09%
Manor Road Capital Partners, L	45-5092971	0.01%
Cascabel Management LP	26-2783044	0.00%
JS Capital Management LLC	45-5021204	0.12%
NET Texts Inc.	27-3520892	0.00%
GASP Productions LLC	46-1920659	0.00%
Quotient Investors, LLC	26-1537077	0.00%
The Law Office of Gregory P La	23-2990310	0.05%
Alpha4x Asset Management LLC	46-1397155	0.00%
HRS Management, LLC	27-3063053	0.09%
Tekne Capital Management, LLC	46-0695324	0.06%
Action Exchange, Inc	45-4251476	0.00%
Continuum Investment Managemen	45-5151501	0.00%
Rezitech, Inc.	20-5080967	0.00%
Stripes Group, LLC	42-1598762	0.00%
Carrick Capital Mgt Co	45-3973806	0.15%
Eagle Point Credit Management	46-1469741	0.00%

Signpost Capital Advisors, LP	45-3302950	0.00%
Sunrise Capital Partners LLC	33-0648465	0.00%
Sessa Capital IM, L.P.	20-8684364	0.12%
Granger Management Holdings, LLC	46-2842933	0.03%
FrontFour Capital Group LLC	20-5997207	0.00%
Harbor Bridge Capital Manageme	90-0644627	0.00%
SimpleReach, Inc	27-2251522	0.00%
Ascend Capital Group Int'l.	33-1030036	0.03%
MSD Administrative Services, LLC	46-4040310	0.00%
B Asset Manager, LP	80-0962118	0.00%
Temasek International (USA) LL	42-1773998	0.55%
Shellback Capital, LP	90-1003024	0.07%
Praesidis Advisors LLC	20-4921325	0.00%
DNN Corp.	45-4535260	0.00%
Grays Creek Capital Advisors, LLC	43-3836929	0.02%
MYD Market Inc	04-3127816	0.00%
Theorem LLP	20-5947643	0.00%
Loxo Oncology, Inc.	46-2996673	1.05%
Metacapital Management LP	13-4198135	0.13%
Panning Capital Management, LP	80-0811834	0.00%
BeaconLight Capital LLC	27-0771768	0.04%
Rockport PA, LLC	59-3762599	0.10%
Conduit NYC Inc.	42-1777975	0.00%
Meehancombs LP	90-0792925	0.00%
Information Asset LLC	45-4240684	0.00%
Macro Sea Inc	26-1284283	0.00%
Searchlight Capital Partners,	27-2701140	0.31%
Foxhaven Asset Management LP	46-2577885	0.11%
Waterfront Capital Partners LLC	80-0523231	0.10%
Thales Trading Solutions LLC	45-4707373	0.00%
FAP USA LP	77-0692032	0.07%
Wellford Portfolio Management	46-1534631	0.00%
AO Asset Management GP, LLC	46-3134717	0.12%
Incapture Investments LLC	37-1739547	0.00%
Crux Informatics, Inc.	82-2209736	0.20%
Ceniarth LLC	46-4064587	0.04%
Turner Impact Capital, LLC	46-4201562	0.00%
Trilogy Global Advisors LP	20-3003629	0.00%
Terra Capital Partners, LLC	20-0060687	0.08%
Terra Capital Markets, LLC	47-0868736	0.01%
Partnership for 21st Century Skills	16-1621376	0.00%
100 Women in Finance Association	57-1174548	0.04%
Winter Property Management LLC	46-4907995	0.09%
CC Track Solutions LLC	46-4246092	0.00%
JZ Partners, LLC	13-3392334	0.06%
Calixto Global Investors, L.P.	80-0951443	0.04%
Steward Partners Holdings LLC	46-3114123	0.00%

Freemark Partners Management LP	35-2497717	0.04%
Sterling Valuation Group, Inc.	13-4299514	0.00%
Blue Road Management, L.P.	46-1002281	0.08%
LJL 860 Consulting LLC	27-3790993	0.00%
RD Holdings NY, LLC	46-1203295	0.02%
Anandar Capital Management LP	38-3923224	0.00%
BetaWest, LTD	84-1303101	0.13%
Burden Investors Services, Inc.	13-1976171	0.00%
Dubin and Co LLC	20-5209674	0.00%
DF Land LLC	20-5931566	0.00%
Engineers Gate Manager LP	32-0433589	0.00%
Ranch Properties LLC	20-0089980	0.00%
Viyet Inc	46-3625806	0.00%
Zimmer Partners, L.P.	90-0883937	0.17%
Institutional Best Practices I	46-1318324	0.00%
21 Jay LLC	46-5710009	0.00%
Malkin Family Holdings LLC	46-3673795	0.03%
Steward Partners Consulting So	46-5737707	0.00%
Steward Partners Global Adviso	47-1212791	0.98%
Banbury Partners LLC	37-1755571	0.00%
QuarterSpot, Inc.	45-1677572	0.07%
Results in Education Foundatio	47-0988089	0.06%
Pagoda Asset Management, LP	30-0828688	0.00%
Silverchair Partners LLC	46-1669520	0.00%
SilverChair Partners LLC	46-1669520	0.00%
The Ludwig Family Foundation Inc.	56-2305290	0.01%
Caledonia US, LP	82-3386985	0.00%
Burgher Gray Jaffe LLP	41-2221382	0.00%
Consonance Capital Management, LP	26-0294313	0.00%
LBB Holdings LLC	13-4192985	0.03%
Two Creeks Capital Management	38-3922845	0.00%
Saferidge Capital, LP	35-2510745	0.00%
Argentiare Capital LP	35-2506611	0.00%
WinWater Home Mortgage LLC	46-3362220	0.00%
TriPost Capital Partners, LLC	37-1754834	0.06%
United First Partners LLC	98-0673709	0.05%
Quadrangle Consulting LP	46-3539591	0.05%
CleanChoice Energy Shared Services	30-0955347	0.47%
Dragoneer Investment Group, LL	45-4673833	0.00%
Miura Global Management, LLC	32-0113852	0.07%
RedBird Capital Partners LLC	90-1007374	0.22%
KLH Capital Partners, L.P.	32-0471951	0.05%
NYCA Partners LLC	46-4595356	0.11%
Pontos Aqua Holdings LLC	46-5734167	0.00%
Coast Asset Management, LLC	95-4763400	0.04%
WorldQuant Ventures LLC	47-1326648	0.00%
Birch Grove Capital LP	20-5289508	0.07%

Prosis Capital Management LP	27-0692730	0.01%
National Pecan Operations LLC	47-1468518	0.00%
Bedrock Capital Associates LLC	26-4578997	0.04%
TheDodo.com, Inc	46-3384476	0.00%
Xpress Money Services, Inc.	27-5553570	0.00%
Frontier Global Partners, LLC	37-1550839	0.02%
Marianas Fund Management, LLC	90-0979829	0.01%
JG&JG Homes LLC	47-2007963	0.00%
Trevi Management LP	20-2967387	0.00%
Aore Holdings LLC	27-2529486	0.02%
Neighborhood Stabilization Cap	46-4898486	0.01%
Grove Fund Managment LLC	20-4144828	0.01%
Alpine Grove (US) LLC	43-2060079	0.00%
KLS Diversified Asset Manageme	13-4363481	0.14%
Julian Baker	45-4367553	0.03%
Felix Baker	26-0879392	0.05%
Baker Bros. Advisors LP	13-4093645	0.54%
Brookstone Partners IAC, Inc.	83-2057704	0.01%
Land & Buildings Investment Ma	26-2749234	0.04%
Melvin Capital LLC	47-1088186	0.18%
SquarePoint Ops LLC	46-5701263	1.45%
Mountain Brook Capital Managem	37-1693781	0.00%
Belfer Management LLC	13-4148862	0.12%
Midroll Media	46-4345023	0.00%
Crossbridge Consulting, Inc.	90-1020583	0.00%
Perry Creek Capital LP	47-1233944	0.06%
Alder Hill Management, L.P	38-3926859	0.00%
Studio 8, LLC	47-1050558	0.11%
Matarin Capital Management LLC	27-2198298	0.00%
Malkin Capital Management, LLC	46-3949384	0.00%
AdLarge Media, LLC	26-3176870	0.05%
BillGuard, Inc.	27-1490301	0.00%
Vestar Colorado Impact, LLC	46-5197951	0.04%
Kingdom Ridge Capital, LLC	26-1440979	0.00%
Elysium Management LLC	47-1891162	0.17%
Alson Associates, LLC	27-1967369	0.00%
Metropolis IX Capital Advisors, LLC	46-5361937	0.03%
Melody Telecom Land LLC	47-1087294	0.01%
OIM Capital, LLC	26-4796016	0.00%
DGF Services LLC	20-8979962	0.00%
Harvest Volatility Management, LLC	26-2156558	0.22%
Harvest Volatility Advisors LL	46-2116020	0.00%
Woolls & Peer, APC	95-4809665	0.00%
Kings Peak Asset Management LP	38-3930696	0.00%
SG Capital Partners LLC	80-0958152	0.41%
Catalyst Advisors LP	46-4724944	0.12%
Mulago Foundation	94-6182697	0.06%

Aspect Capital Inc.	20-1535241	0.02%
River Birch Capital, LLC	27-0711466	0.00%
Astenbeck Capital Management L	27-4611401	0.00%
SGG Partners LLC	27-1831360	0.04%
Certares Management, LLC.	82-5309128	0.11%
Be Structured Technology Group	20-8155963	0.03%
1901 Partners Management, LP	47-1533396	0.04%
SlateRock Asset Management, LLC	47-2301978	0.00%
Raveneur Investment Group LP	90-1016034	0.00%
Gamma Funding Management LP	37-1760694	0.05%
Triloma Financial Group, LLC	47-1562036	0.02%
Train & Train LLC	22-3578937	0.00%
Betterpath Inc	46-1055301	0.00%
Pacific International Recruitm	99-0364609	0.00%
CM Investment Partners LLC	90-0801641	0.00%
Deep Field Asset Management LL	47-1751026	0.01%
Lucus Advisors LLC	45-5297207	0.00%
Sandia Holdings, LLC	46-5066730	0.06%
Life Sciences Law Group LLC	46-1864888	0.00%
Everytown for Gun Safety Actio	20-8802884	0.00%
Partnership for A New American	27-3604435	0.00%
MG Stover & Co.	27-4287577	0.26%
Gamble Partners, LLC	45-3552054	0.02%
International Beverage Company	54-1646275	0.00%
Trylon USA LLC	47-1169226	0.00%
The Marshall Project Inc.	46-4353634	0.18%
Act II Management, L.P.	13-4196966	0.00%
Strattam Capital Management, L	46-5677609	0.06%
Triloma Securities LLC	47-1555333	0.00%
Hycroft LLC	46-2680283	0.00%
Melkonian Capital Management,	14-1885381	0.02%
Apex Fund Services (US) Inc	26-2393480	0.00%
PAMLI Capital Management LLC	27-1081514	0.00%
Cooper Creek Partners Manageme	26-2849325	0.01%
Sciencast Management LP	36-4783648	0.04%
MJC Partners, LLC	27-3956614	0.00%
Joan Mitchell Catalogue Raison	47-2726339	0.01%
Bishop Rock Capital, L.P.	36-4792015	0.03%
Lubben Capital Management LLC	26-0136952	0.02%
Hudson Executive Capital LP	47-2878186	0.05%
Sentinel Dome Partners, LLC	46-4761248	0.00%
SHL Capital (USA), Inc.	47-1004938	0.00%
Glenn Dubin	05-6485168	0.00%
Kora Management LP	46-4269389	0.04%
Shelter Rock Capital Advisors	46-4997115	0.01%
SHL Investment Group (USA) Inc	13-3920600	0.06%
Riverview Solar, LLC	36-4782717	0.00%

Divvy Cloud Corp.	46-2780658	0.40%
Tiger Infrastructure Partners	27-2060640	0.10%
China Renaissance Securities (	80-0851099	0.12%
Operating Advisory Group, LLC	46-1054695	0.04%
CMDTY Capital Management, LP	38-3911880	0.01%
Snow Park Capital Partners, LP	47-2788070	0.01%
RWC Asset Advisors (US) LLC	46-2329949	0.12%
LUMA Partners LLC	05-0634785	0.06%
AFT Analytics, LLC	47-3675694	0.00%
AFT Analytics, Inc.	82-4891000	0.07%
North Elm Capital LP	47-2691516	0.00%
Redwood Pacific Management, LL	47-2688436	0.00%
Atlas Fund Services (USA) LLC	90-0917918	0.03%
Arrow Finance, LLC	82-4222966	0.11%
Dekel Trust Company	20-1319461	0.00%
C40 Cities Climate Leadership	90-0634376	0.00%
Odgers Berndtson LLC	45-2063148	0.00%
Triangle Capital Group, LLC	26-3742454	0.04%
Athena Art Finance Corp.	47-2906397	0.00%
Eaglehill Advisors LLC	47-2057143	0.00%
Jadara Capital Partners LLC	47-3604105	0.00%
Foxhall Properties, LLC	35-2451219	0.00%
Blue Box International, LLC	38-3942470	0.00%
Totem Point Management, LLC	46-2392457	0.01%
Immobiliare Management LLC	47-3792196	0.45%
Highmore Group Advisors, LLC	47-3367442	0.00%
DBI Projects, LLC	47-3840229	0.15%
Betaworks Studio, LLC	26-1319454	0.00%
Instapaper Holdings, Inc.	46-2605586	0.00%
Compass Real Estate Investors,	33-1039324	0.00%
Quire Communities Inc.	46-4291745	0.00%
Scale Model, Inc.	47-4402471	0.00%
Terra Alpha Investments LLC	47-2453730	0.01%
Remote Lands Inc.	13-4335924	0.04%
R&F Capital Advisors LP	47-4340738	0.00%
Cedrus Park Management LP	32-0462769	0.00%
PrePlay, Inc.	90-0935712	0.00%
Siltstone Administration, LLC	46-3211724	0.03%
Siltstone Capital LLC	45-2050528	0.08%
EE Discover, Inc.	47-3273877	0.00%
OFDFC LLC	27-0490499	0.00%
Homescreen Media, Inc.	47-4597182	0.00%
Run Dexter, Inc.	47-4619731	0.00%
Newbrook Capital Advisors LP	20-3568893	0.12%
Hudson Cove Capital Management LLC	27-0291841	0.05%
L2 Partners LLC	46-4162996	0.03%
ARK Investment Management	46-4309299	0.22%

Tide Point Capital Management, LLC	45-4555134	0.00%
Bridgeforth Capital LLC	47-1693874	0.00%
Spanos Barber Jesse & Co.	46-1788229	0.04%
Spanos Barber Jesse & Co.	46-1788229	0.00%
Trace Media, Inc. DBA The Trace	47-4175513	0.00%
UCSF Foundation Investment Com	47-3599471	0.12%
Checchi Capital Advisers, LLC	20-8532278	0.06%
Realty Growth Partners LLC	27-3189205	0.01%
FAE Service Company, LLC	36-4815833	0.00%
FVQ Service Company, LLC	32-0456862	0.00%
Marlowe Partners LP	90-0934598	0.02%
Domando Capital Management LP	36-4811074	0.00%
Formic Management	46-5727462	0.00%
Cure Forward Corporation	46-1272619	0.00%
Michael J. Liccar & Company, L	27-1702426	0.08%
Rivulet Capital, LLC	35-2426451	0.03%
Nevadans for Background Checks	47-1392308	0.00%
WorldQuant University	47-3170111	0.00%
Ardmore Global Investors, L.P.	47-4777629	0.00%
East Meadow Capital Management	30-0846812	0.06%
VCU Investment Management Comp	47-4815851	0.02%
LNZ Capital LP	38-3936646	0.02%
Grand Slam Asset Management LL	22-3779105	0.00%
Energy Impact Partners LP	47-5094287	0.00%
Angeles Equity Partners, LLC	47-1661960	0.00%
Sols Systems Inc.	46-3295103	0.00%
Mile 26 Capital LP	47-4451859	0.01%
Nau Securities Inc.	47-5140395	0.01%
FTS Fund Services LLC	47-5387052	0.02%
Personetics Technologies, Inc	45-2436391	0.09%
RTW Investments, LP	27-0987833	0.38%
Palatine Capital Partners Mana	80-0177498	0.06%
Tenaron Capital Management LP	47-5080193	0.17%
Tiger Pacific Capital LP	90-0885967	0.07%
Fund For Cities Of Service Inc	27-1207970	0.01%
TZP Management Associates, LLC	11-3831146	0.00%
USDV Capital, Inc.	47-4763326	0.00%
LeBaronBrown Holdings LLC	47-5059907	0.02%
Iguana Healthcare Management LLC	82-2355932	0.00%
Essex Equity Management, LLC	26-0559784	0.02%
TruMid Financial LLC	47-1050580	0.22%
Meadow Capital Management LP	27-0505443	0.09%
Valby Capital Management, LLC	46-4822069	0.01%
Paragon Outcomes Management, LLC	27-4407873	0.04%
TZP Growth Fund Manager, L.P.	47-1747972	0.00%
Inherent Group, LLC	47-4946064	0.00%
Vernier Capital Partners, LP	46-4100564	0.00%

Triton USA LP	47-3519962	0.06%
400 Capital Management LLC	26-3489627	0.00%
Fairview Capital Investment Managem	94-3294876	0.18%
Morvillo, LLP	45-5145644	0.00%
Atalaya Capital Management LP	11-3774841	0.58%
Duet USA Asset Management, LLC	98-0639824	0.00%
Nexphase Capital LLC	47-5191828	0.18%
Kain Capital LLC	47-2093921	0.00%
Atairos Management, L.P.	36-4812503	0.24%
DG Capital Management, LLC	20-8404862	0.03%
Cat Rock Capital	47-3415529	0.03%
Stella Point Capital LP	37-1762972	0.05%
TruMid Technologies LLC	47-3764865	0.16%
Stillwater LLC	61-1658478	0.05%
Goldhirsh & Goldhirsh	33-0782409	0.00%
Market Fusion Analytics Texas, LLC	81-0744853	0.00%
CSI USA Securities Holdings, Inc	45-2394671	0.00%
MadCap Partners LLC	56-2482227	0.06%
Sunriver Management LLC	36-4817651	0.14%
Eversept Partners, L.P	47-5115829	0.03%
Balboa Retail Advisors, LLC	61-1666125	0.05%
Osborne Clarke LLP	98-1276132	0.03%
Oakpoint LLC	45-3326343	0.02%
Holmes 21 LLC	46-5509811	0.00%
Maimonides Fund LLC	38-3979559	0.04%
Powell Roberts, Inc.	90-0876372	0.00%
Axar Capital Management, LP	47-3227176	0.03%
Counterview Capital Management LLC	20-8233604	0.00%
Vatic Labs Operations LLC	47-1580731	0.00%
400 Capital Private Debt Compa	47-5577378	0.00%
Kane LPI Solutions (USA), Inc.	81-0748394	0.02%
Chaincode Labs Inc.	46-5574654	0.03%
Gala Therapeutics, Inc	84-5094818	0.09%
Phoenix Realty Group LLC	13-4070146	0.13%
Highside Global Management, LLC	47-5663871	0.03%
Venetus Partners, LP	38-3972676	0.00%
ETIX Everywhere US Corp.	37-1799464	0.00%
Maple Fiduciary (Services) Delaware	45-2819945	0.25%
Malin Life Sciences Internatio	98-1286953	0.00%
Gough & Hancock LLP	47-5047054	0.04%
Chartbeat, Inc.	26-4658341	0.00%
Nebrodi Partners LLC	47-2345033	0.09%
Give Lively, LLC	47-5307257	0.14%
Everytown for Gun Safety Suppo	26-1598353	0.00%
R-3 Funding LLC	46-1206909	0.01%
Precision Path Capital, LP	47-4571178	0.00%
Montrock48 Capital LP	47-5407967	0.00%

ITE Management LP	26-1278229	0.10%
Avenida CREF I Manager LLC	46-1448679	0.01%
Fabled Films LLC	47-1785560	0.01%
Jafra Capital Management LP	81-1147412	0.00%
Pacific West CDC	27-3668308	0.01%
TFC Utilities Management, LP	81-0839694	0.04%
Composite Capital Management, LLC	81-0776936	0.01%
First Capital Advisors Group, LLC	47-5139348	0.00%
Promontory Compliance Solutions, LL	20-5226862	0.00%
Promontory MortgagePath LLC	37-1797647	0.00%
Promontech LLC	38-3986070	0.02%
Promontory ResiMarkets LLC	81-0947614	0.00%
Florida Capital Partners II, Inc.	32-0218060	0.00%
Hattery Labs, LLC	45-3139856	0.00%
Mainers for Responsible Gun Ownersh	47-4767783	0.00%
Citrone Foundation, Inc.	47-3375379	0.00%
Rokos Services (US)LLC	38-3988132	0.02%
Alua Capital Management LP	85-0760753	0.06%
Amity Search Partners, LLC	26-4086834	0.04%
Beechwood Global Distributors LLC	81-0972590	0.00%
Seven Eight Capital, LP	81-1493633	0.13%
New Legacy Group, LLC	27-2606820	0.01%
Silver Rock Financial LP	30-0892743	0.28%
Quona Capital LLC	47-0996652	0.08%
Allied Brand Capital LLC	47-1035159	0.00%
Brandon Point Industries (US), Inc.	46-5403457	0.00%
Wolfe Research, LLC	90-0357291	0.56%
WR Securities, LLC	80-0451433	0.05%
GQG Partners LLC	81-2109181	0.00%
Strategic Cyber Ventures, LLC	81-0948912	0.02%
Freedom 3 Capital LLC	46-1707453	0.03%
Freedom 3 Opportunities LLC	38-3991649	0.00%
Rebel Mouse Inc.	45-3726790	0.01%
Resonate Capital, LLC	46-2648583	0.02%
Consonance Capital Partners	80-0873776	0.00%
Eden Capital Management LLC	47-5583091	0.02%
CM2 Limited, LLC	20-4250643	0.00%
Parkway Wealth Management Group LLC	81-2827764	0.07%
Hone Capital LLC	47-4111710	0.03%
News and Guts, LLC	20-4762792	0.00%
Arbour Lane Capital Management LLC	81-2437631	0.00%
Hywin US Inc.	47-5143295	0.01%
Hywin US Capital Management LLC	35-2558215	0.00%
The Orogen Group LLC	81-2686366	0.07%
Betaworks Ventures Management, LLC	81-2777297	0.00%
Bionic Solution LLC	46-1959614	0.00%
Post Road Group LLC	81-1989669	0.03%

Alphataraxia Management LP	46-5302419	0.07%
Reverence Capital Partners, LP	30-0827407	0.07%
Oribel Capital Management, LP	47-4376050	0.00%
BrightCore Energy LLC	36-4826796	0.08%
Polly Portfolio Inc	47-1950156	0.00%
Daybreak Communities, LLC	81-2821590	0.19%
SMA, LLC	13-4092539	0.01%
Melody Business Finance, LLC	46-3070305	0.00%
Holocene Advisors, LP	36-4833999	0.01%
Pyxis Maritime USA, LLC	30-0890553	0.00%
Three Cairns Group, LLC	47-3320725	0.04%
Libertas Funding, LLC	81-3053598	0.00%
Promontory MortgagePath LLC	81-0974106	0.49%
Centana Management, LLC	47-4063553	0.10%
Jurika, Mills & Keifer, LLC	05-0559462	0.05%
Giphy, Inc.	46-2130194	0.41%
Giphy Studios, LLC	35-2551347	0.00%
Diag Capital Management LP	81-2739731	0.00%
Thrax Management LLC	47-1556694	0.00%
EG Market Technologies LLC	47-2520224	0.00%
1315 Capital, LLC	46-4980023	0.00%
1315 Capital Management, LLC	47-3220659	0.00%
Miven Inc	47-1438525	0.02%
Time To Know, Inc.	26-2208559	0.00%
OpenGate Capital Management, LLC	45-0606004	0.18%
Broad Bay Capital Management, LP	47-5625037	0.12%
Emerging Sovereign Group LLC	45-0464126	0.00%
Golden Alpha US LLC	81-0863076	0.02%
Sensato Investors LLC	27-0277332	0.15%
Kanha Capital LP	81-0991335	0.00%
Stonecourt Capital LP	61-1793285	0.02%
KarpReilly, LLC	20-5873234	0.11%
Akanthos Capital Management, L	02-0614272	0.00%
Trident Brokerage Services LLC	46-0533964	0.15%
Boothbay Fund Management LLC	45-4308774	0.03%
Sapience Investments, LLC	81-2060843	0.02%
The Reserve Trust Company	81-3652836	0.00%
Belmont Capital Group	27-2513431	0.08%
Duane Park Capital Management	32-0489999	0.00%
Mobius Capial Management LLC	81-3938949	0.00%
Golden Arc, Inc.	20-3459904	0.00%
Majority Strategies LLC	47-5322377	0.18%
Medium Buying LLC	47-3998602	0.02%
Campaign Hunter LLC.	47-4026138	0.00%
TPG-Axon Management, LP.	26-3295563	0.01%
Telsey Advisory Group	26-2790202	0.09%
AC Investment Management, LLC	83-0449768	0.02%

Madison Realty Capital Advisor	32-0132586	0.24%
Silverstone Property Group LLC	27-1451219	0.06%
Sonica Capital LLC	26-3284422	0.01%
Four Corners Property Trust, I	47-4776395	0.15%
LKPR, Inc.	45-5366497	0.01%
IXT Services LLC	46-2035828	0.04%
Trident Capital, Inc.	94-3179050	0.00%
MIK Capital LP	47-2930585	0.00%
PivotalPath, Inc.	43-3167147	0.01%
Brightstone Investment Advisors LLC	81-2506507	0.00%
Durant Partners LLC	81-3824765	0.01%
Armistice Capital, LLC	45-5180188	0.19%
Balbec Capital LP	27-1696845	0.20%
PRP Advisors LLC	47-4843532	0.06%
Systematica Investments US LLC	47-2393529	0.03%
Brightstar Capital Partners, L	47-4595756	0.21%
Fiduciary Network, LLC	20-4980971	0.00%
Sandglass Capital Advisors LLC	46-5197822	0.01%
Ararat Capital Management, LP	81-0732801	0.00%
The Harris Family Charitable F	46-4326561	0.16%
SpringHarbor Financial Group L	30-0889882	0.00%
Serenity Capital LLC	81-3994921	0.00%
Axonic Properties LLC	81-3904129	0.00%
Colbeck Capital Management, LL	37-1755968	0.08%
Colbeck Management Holdco LP	37-1755968	0.00%
ForeVest Capital Partners, Inc	81-3855062	0.01%
CJS B LLC	94-3412971	0.02%
Varsity Management Company, LP	46-1465075	0.06%
Varsity Operations, LLC	81-4675872	0.03%
Latimer Light Capital, LP	47-2069623	0.00%
EverZinc USA Inc.	13-4914830	0.02%
Earnix, Inc.	04-3545079	0.12%
Claren Road Asset Management,	82-2928990	0.07%
Dyal Partners LP	81-1839013	0.18%
Agam Capital Management LLC	81-2695043	0.04%
LMJ Capital LP	81-2346522	0.00%
Allegiant Real Estate Capital,	81-3309791	0.02%
Wolfgang Capital, LLC	26-3981538	0.00%
Alva Advisory Services LLC	47-4306717	0.00%
Chenavari Investment Managers	47-3678110	0.00%
Blue Moon Capital Partners LP	47-1468063	0.07%
WJC, LLC	26-4432536	0.01%
Saybrook Fund Advisors, LLC	27-4978808	0.01%
Meghalaya Partners, L.P.	47-3548596	0.00%
IS Risk Analytics Inc.	38-4023697	0.03%
Minnesota Street Project LLC	47-1517270	0.02%
Skyline Public Works LLC	73-1681911	0.00%

Drum Capital Management, LLC	81-0670889	0.06%
Tjori Capital, LLC	81-4036169	0.00%
Greenbacker Group Holdings, LLC	82-1834382	0.00%
LFPI US LLC	61-1715468	0.02%
Lampe Capital North America LL	35-2401407	0.00%
AEC Holdings, L.P.	81-3143380	0.01%
Sarpa Holdings LLC	81-1314692	0.00%
Samsara BioCapital, LLC	81-3958301	0.00%
CoVenture Consulting, LLC	81-3368206	0.00%
CoVenture Management, LLC	81-3310165	0.00%
Advisor Services Group LLC	81-4273919	0.01%
Canyon Bridge Management Corp.	81-4064638	0.00%
Asturias Capital LLC	47-3111870	0.00%
Born Investment Group LLC	81-4726640	0.01%
Arrowhead Investment Managemen	47-5022283	0.08%
Rockport VAL, LLC	82-0870400	0.03%
CBXmarket Inc	81-1733299	0.05%
Host Committee, Inc.	37-1656706	0.09%
Madryn Asset Management, LP	81-4386857	0.08%
Siller & Cohen, LLC	27-1548789	0.03%
Mondrian Capital Management	82-0809795	0.00%
Jordan Park Group LLC	81-4906112	0.59%
GSA Capital Partners (New York	90-0769532	0.27%
Atika Capital Management, LLC	45-2421031	0.15%
JDJJ Management, LLC	81-4337096	0.01%
Pier 88 Investment Partners LL	46-3506954	0.00%
TwentyFour Asset Management (U	38-4022223	0.02%
Corbel Management LLC	90-1034908	0.00%
Betacamps, Inc.	82-1684364	0.00%
Fortis Lux Financial, Inc.	81-2831497	0.07%
Brandywine Homes USA LLC	46-4307825	0.01%
LaFayette RE Management LLC	45-3670172	0.01%
Emso Asset Management US LLC	90-0993105	0.17%
Element Pointe Advisors, LLC	81-1677104	0.02%
Digg, Inc.	45-2962944	0.00%
Crestbridge Fund Services US,	85-4138563	0.02%
Post Road Group LP	82-1158652	0.08%
Melchior LLC	38-4034230	0.00%
The Palisades Group, LLC	46-0913845	0.10%
X-Caliber Capital	61-1203415	0.08%
Abanca Corporation Bancaria SA	98-1028926	0.04%
Studios at Betaworks, Inc.	82-2220166	0.00%
Talage, Inc	47-5110962	0.04%
Teng Yue Partners, LP	27-5236130	0.09%
Mega Capital Funding, Inc.	95-4671903	0.08%
Solace Capital Partners, L.P.	47-1338396	0.07%
Pandion Mine Finance, LP	81-1683802	0.02%

Fore Capital, LLC	82-1226121	0.04%
Auxo Investment Partners LLC	81-3994795	0.04%
Sage Street Financial Advisory	82-1581579	0.01%
Volta Energy Technologies, LLC	82-1262009	0.12%
Fremont Macanta LLC	82-1887266	0.04%
Sagewind Capital LLC	16-0405133	0.03%
White Hat Capital Partners LP	81-1415420	0.00%
Diameter Capital Partners LP	37-1843821	0.21%
Gallatin Point Capital, LLC	81-4655620	0.12%
Glendower Capital (U.S.), LLC	98-1371391	0.06%
Violetta Office	32-0403775	0.06%
Lotus Capital Partners, LLC	27-1884363	0.00%
55 Capital Partners, LLC	81-3148817	0.04%
Precision Path Capital Managem	47-4558602	0.00%
Northwood Liquid Management LP	82-1401774	0.02%
Soundcore Capital Partners, LL	47-4784640	0.04%
Bloom Tree Partners, LLC	26-2222493	0.00%
Gillson Capital LP	37-1798688	0.06%
American Metro Bank	36-4104140	0.00%
GG123 LLC	82-1817226	0.07%
Hamilton US Services LLC	38-4043405	0.10%
Centenus Global Management, LP	82-1884580	0.04%
Toorak Capital Partners LLC	81-3310785	0.30%
Pender Capital Management LLC	47-4112248	0.03%
CAVU Venture Partners LLC	47-4319427	0.06%
Orion Energy Partners, L.P.	47-4884753	0.22%
Dorian LPG (USA) LLC	66-0818228	0.09%
JumpStart Partners LLC	82-3585634	0.02%
Norfolk Markets, LLC	06-1549349	0.01%
Sio Capital Management, LLC	20-4586565	0.16%
FCF Group Holdings LLC	81-4835981	0.05%
CommonView Capital	47-3541045	0.00%
The Abacus Foundation for the	81-0893902	0.03%
Meadowlark Family Foundation,	81-1100777	0.01%
Altus Family Foundation, Inc.	81-1067509	0.01%
Ashmore Investment Management	32-2355610	0.12%
Corvid Peak Holdings, LP	20-0562317	0.01%
Further Global Capital Managem	81-4000979	0.01%
DSC Meridian Capital LP	82-3022527	0.01%
Richard Maylott dba Northeast Ins.	82-3672189	0.00%
Berenberg Capital Markets LLC	27-4424934	0.60%
Goop Inc.	90-1022709	0.00%
FOG Equities, LLC	27-4295804	0.02%
New Albion Partners, LLC	90-0185664	0.00%
Casey Securities LLC	90-0185675	0.04%
Berenberg Asset Management LLC	46-4337697	0.00%
Ashmore Investment Advisors (US)	45-3231509	0.03%

Serafima Investments LP	82-2146378	0.00%
QVR LLC	82-1202981	0.02%
Panoramic Capital LLC	80-0563801	0.02%
Inlight Wealth Management, LLC	82-2820416	0.07%
Tavio Capital LLC	81-1198504	0.01%
Florida Development Finance Co	59-3270584	0.03%
Brumbaugh Investments Inc.	82-2895307	0.01%
DWTschetter Investments, Inc.	82-2922948	0.01%
Soaring Eagle Ventures, Inc	45-2616157	0.01%
DDD Partners, LLC	82-2541642	0.02%
Motive Partners Operating Comp	81-3005988	0.12%
Granite Growth Health Partners	82-2976601	0.01%
Perry Capital, LLC	13-4018098	0.03%
One Tusk Investment Partners L	30-0882530	0.05%
VKRM Services LLC	20-8090732	0.00%
Forum Absolut Inc.	47-1597721	0.01%
Chartwell Investments II, LLC	13-4028550	0.01%
Javelin Global Commodities (US	47-4216408	0.09%
Sabal Financial Group, LP	80-0730012	0.00%
Sabal Capital Partners LLC	47-4683380	0.00%
Glen Point Capital Advisors LP	82-2555054	0.04%
TargetST8 Consulting, LLC	90-1020583	0.00%
Hyllion Inc.	81-1230166	0.00%
Atlanta Consulting Group Advis	81-5358976	0.11%
Theorem Technologies LLC	82-2489876	0.00%
Online Computers and Communica	45-5501109	0.07%
Kline Hill Partners LP	47-5599067	0.06%
Sagewood Asset Management LLC	81-4204922	0.03%
Inspirion Delivery Sciences LL	81-2766099	0.09%
Euronext US Inc	82-2117727	0.03%
White Star Asset Management LL	90-0944075	0.06%
Corner Stone Credit Union	75-0992724	0.00%
Sona Asset Management (US) LLC	81-5123428	0.03%
Coquest Inc.	75-2344099	0.04%
Pirum Inc.	30-0886981	0.01%
DiNuzzo Private Wealth, Inc.	23-2934855	0.07%
Spaugh Dameron Tenny LLC	26-1546920	0.01%
Aberle Investment Management L	26-4812298	0.01%
EMS Capital LP	26-0479180	0.24%
Round Table Capital Management	35-2607015	0.00%
Ally Bridge Group (NY) LLC	35-2593330	0.03%
Concord Resources Limited	98-1270666	0.06%
Noble Consulting Services, Inc	35-2026648	0.26%
Accuvest Global Advisors	20-2104898	0.03%
Confiant Inc.	46-1749127	0.00%
Cerrano Capital	38-3990278	0.00%
RM Triple J LLC	26-2578629	0.03%

Cornerstone Family Office, LLC	46-1777093	0.01%
Affinity Wealth Partners	82-3283606	0.00%
RevolverCap Management LP	82-2933528	0.00%
Arnhold LLC	82-2655529	0.10%
True North Retirement Advisors	82-1812026	0.03%
Stream Asset Management LP	47-5593195	0.00%
JM Financial Securities Inc.	68-0682842	0.00%
Resolution Life Services (US),	82-3607953	0.08%
Lucerne Capital Management, LP	52-2252834	0.00%
Watchtower Management Group, LLC	82-3461370	0.06%
Arkadios Capital, LLC	81-1052458	0.05%
ACG Wealth, Inc.	22-3857582	0.02%
Lansing Management, LP	38-4014111	0.01%
Avitas Wealth Management, LLC	82-3559731	0.00%
Roam Global Management LP	82-3964490	0.02%
Inflection Capital, LLC	82-3644146	0.01%
Bluegrass Capital Partners LP	81-3220211	0.00%
Edge Natural Resources LLC	47-3099268	0.05%
Caerus Investors LLC	61-1767254	0.00%
Listo Unlimited Inc	45-4204317	0.01%
Factory LLC	81-5325550	0.00%
Red Tower Capital, Inc	45-1057130	0.01%
Deep Basin Capital LP	36-4858155	0.04%
Citium Advisors LLC	27-1433819	0.01%
Neighborhood National Bank	33-0763777	0.04%
Declaration Partners, L.P.	85-4021440	0.11%
Algren Associates Inc	13-1944287	0.02%
SeniorSelect Partners, Inc.	47-5107908	0.00%
Belveron Real Estate Partners,	43-2110337	0.09%
Southern Capital Advisors, LLC	82-3410289	0.04%
Peel Hunt Inc.	45-0678738	0.02%
WeWork Property Advisors LLC	81-5097010	0.00%
Turnbridge Re Fund Management	82-3865830	0.04%
Family Legacy Wealth Partners	82-4446492	0.03%
GRE JV SLP LLC	47-5505611	0.06%
Otsego SG LLC	82-3670019	0.00%
Selendy & Gay PLLC	82-4157422	0.00%
Kennedy Lewis Management LP	82-2942437	0.00%
Renovo Capital, LLC	26-3807892	0.02%
Arrowroot Capital Management,	46-3950134	0.03%
Smith Affiliated Capital Corp	13-3105799	0.06%
Signature Wealth Group, LLC	82-0678853	0.09%
Lizard Investors LLC	26-1211976	0.04%
LG Capital Investors LLC	46-5656450	0.01%
Twin Point Capital LLC	47-4933769	0.07%
Presidio Merchant Partners LLC	94-3314920	0.08%
Vantage Infrastructure (USA),	02-0798292	0.12%

LabCo NYC, LLC	82-0605223	0.00%
JCP Securities, Inc.	20-2759897	0.07%
In Touch Capital Markets, LLC	45-3087703	0.02%
Soracom Global, Inc.	81-3659159	0.04%
Nomad Financial	42-1777213	0.00%
KFP LLC	82-3923661	0.02%
Southside Capital, LLC	82-4054416	0.00%
Apto Payments Inc.	46-5613327	0.06%
Oldfield Partners US LLC	86-1147954	0.00%
Trinitas Capital Management LL	47-5458909	0.00%
Masterson Advisors	82-4640889	0.15%
Acacia Pharma Inc.	47-4852728	0.01%
Honeycomb Asset Management LP	47-5265100	0.05%
The Code Project (USA), Inc.	98-0507181	0.00%
Raddatz & Associates	82-1104518	0.02%
Alberleen Family Office Soluti	81-1070068	0.04%
CB-Emmanuel Realty LLC	02-0778998	0.01%
Taylor Asset Management Inc.	26-0448554	0.01%
Hill Tide Partners, LLC	81-5133417	0.01%
Cadence Development	82-2953981	0.00%
Timshel Development Group, LLC	82-2959072	0.00%
GHT Development, LLC	82-2946043	0.00%
South Creek Development, LLC	82-1827567	0.00%
FSD Office LLC	82-4663644	0.01%
Digital Asset Research Inc.	82-2498537	0.00%
The Setup Group Inc.	30-0976595	0.00%
Ategrity Specialty Insurance C	82-4910916	0.25%
Solomon Wealth Group	82-4981194	0.02%
Payso USA Inc.	98-1405627	0.00%
Turtle Ridge Capital Inc.	82-4641622	0.00%
Strobus Capital LLC	81-3818103	0.03%
Thayer Street Partners Managem	35-2463123	0.03%
Low Emissions Resources Corpor	47-1261619	0.02%
Modern Aviation Inc.	82-4451754	0.01%
Livingston Street Capital LLC	81-4030492	0.18%
Laurel Solutions LLC	82-3568621	0.05%
California Bear Credit Union	95-0598145	0.00%
Pine Ridge Advisers LLC	82-4854504	0.04%
Scrum Ventures LLC	90-0939269	0.05%
Breakline Capital LLC	82-0789631	0.01%
Rhinebeck Wealth Advisors LLC	82-4723306	0.03%
Ascot Underwriting, Inc.	26-0586977	0.00%
Ethos Specialty Insurance Serv	82-2797880	0.00%
Analytical Research Capital Ma	81-4015252	0.01%
Duet Investment LLC	32-0512398	0.00%
Peninsula Land & Capital, Inc.	94-3364035	0.01%
Decisions	85-3950211	0.00%

Verto Management, LLC	82-4106246	0.02%
WorldQuant Predictive Technolo	82-4935636	0.04%
IT Personal Services	46-5580244	0.03%
ACE & Company NY Inc.	27-2843518	0.00%
CTM Services America, Inc.	26-1772441	0.01%
CT Group Services America Inc	26-4081157	0.01%
Altium Capital Management, LP	82-2066653	0.03%
CMR Wealth Management	82-2004494	0.01%
Redwood Housing Partners, LLC	46-3129118	0.05%
MMOR Holdings LLC	82-3955092	0.02%
GCCP II, LLC	83-2937155	0.11%
T Ventures Management (USA), I	82-5510394	0.04%
Kepler Fi US Inc	84-3557107	0.06%
2912 Advisors LP	83-0938509	0.03%
The Bahnsen Group, LLC	83-0917423	0.00%
Stocker Woods Financial	20-4301322	0.01%
Fertitta Capital, Inc.	81-4939504	0.04%
Bar MH	83-0544650	0.01%
Augustus Specialty LLC	83-1165618	0.07%
Industry Fund Technologies, LL	81-5192448	0.00%
Elm Partners Management LLC	30-1071383	0.01%
Aperture Investors, LLC	82-4044752	0.08%
Boston Harbor Wealth Advisors	47-4386692	0.05%
Cross Lake Partners	82-5238493	0.05%
Piney Lake Capital Management	83-0726106	0.13%
LWO LLC	83-0524424	0.01%
Focal Point Securities, LLC	73-1712079	0.29%
Accufast Holdings LLC	45-4993548	0.01%
GMF Real Estate LLC	47-5425786	0.07%
Argus Investment Management	82-5033807	0.03%
Actinium Pharmaceuticals Inc.	74-2963609	0.16%
Provepharm, Inc.	45-4793910	0.05%
Shrewsbury River Capital LP	61-1832008	0.10%
Trust Ventures	30-1012437	0.00%
CircleBlack, Inc.	46-4428065	0.00%
IPM Informed Portfolio Managem	35-2630003	0.00%
Leon D. Black Foundation	20-5117734	0.00%
FJ Labs Inc.	47-4996515	0.01%
Malleus Capital, LP	83-0589007	0.01%
University Venture Fund Manage	45-1560366	0.03%
Hunter Capital Limited Partner	82-4949891	0.01%
Stoneweg U.S., LLC	81-2774128	0.09%
Exceed Capital Partners	61-1856186	0.00%
John Moore & Associates	74-2816571	0.04%
Evince Asset Management LP	83-0929849	0.03%
New Lab, LLC	61-1715431	0.08%
Crowd Lending Inc.	47-4241668	0.02%

Hillcrest Wealth Advisors - NY	37-1462187	0.03%
Loftus Group, LLC	83-1763271	0.04%
Quantix Commodities LP	83-1665175	0.02%
Shelter Haven Capital Manageme	81-5257522	0.03%
MDC Venture Capital Partners U	82-1938414	0.09%
MIC Capital Partners (US) LLC	82-3871452	0.15%
Wealthbridge Capital Managemen	83-1765343	0.04%
Mikey's LLC	47-5168602	0.00%
Rane Corp.	46-3966549	0.08%
Gulfside Bank	83-1551861	0.07%
Cipher Capital LP	80-0798322	0.07%
FarmOp Capital, LLC	82-4113571	0.07%
3C Financial Partners dba Eche	47-0912508	0.05%
Gordian Wealth Advisors	45-2697544	0.00%
Circle Investment Support Serv	41-2249447	0.01%
Greenbacker Administration LLC	36-4794093	0.00%
Capstone Investment Advisors,	20-8601093	0.66%
Centiva Capital, LP	81-3735732	0.47%
Ascot US Services Company, LLC	32-0573659	0.00%
Clairmont Investment Advisors	47-5498845	0.02%
qPula LLC	82-3610843	0.02%
Feenix Payment Systems, LLC	82-1544559	0.00%
Platform Management, LLC	27-5274374	0.03%
Newmans Valve, LLC	81-4100119	0.02%
Fios Systems, LLC	83-1598684	0.02%
Neul Capital Holdings, LLC	81-4420624	0.02%
Drawbridge Partners, LLC	83-1433020	0.10%
Yakira Capital Management, Inc	13-3933932	0.05%
Lynrock Lake LP	82-3471564	0.04%
QDS LP	82-4156034	0.02%
Sage Mountain Advisors, LLC	83-2064784	0.00%
Six One Commodities LLC	83-1496293	0.03%
Masttro US, Inc.	47-3962009	0.01%
Popin, Inc.	61-1904978	0.00%
Ahoy Capital Management, LLC	82-2119150	0.02%
National Loan Exchange, Inc.	94-3295225	0.04%
Heritage Global, LLC	26-4330603	0.00%
Heritage Global Partners Inc.	27-1039833	0.06%
Huatai Securities (USA), Inc.	83-1769430	0.18%
Waller & Wax Advisors, Inc.	59-2449554	0.03%
Jonathan Wax Investments Inc.	59-2449550	0.01%
Pythagorean Trading LLC	83-1916808	0.03%
Kirkoswald Asset Management LL	35-2628447	0.04%
SIMON Markets LLC	82-2447643	0.54%
Graticule Asia Macro Advisors	27-4356620	0.21%
Maven Investment Partners US -	98-1442184	0.12%
Osceola Capital Management, LL	46-5380001	0.06%

Spire PT Holdings LP	83-0558687	0.00%
Sona Solutions LLC	83-1736666	0.02%
Johanson Financial Advisors, Inc.	45-3247522	0.05%
SGH USA INC.	42-1778246	0.01%
North Peak Capital Management,	47-4443582	0.04%
Bellguard Capital Management,	47-2013918	0.00%
Granite Management Group, Inc.	82-0716535	0.00%
Peninsula Wealth	80-0535310	0.06%
Island Bound Ventures dba Pinc	27-0993044	0.06%
Infinia ML, Inc.	82-2393164	0.14%
No Street GP LP	83-1667521	0.06%
Blue Water Advisors LP	82-1652424	0.09%
Key Light Capital LLC	45-3976078	0.01%
HG (US) Inc.	38-4091582	0.15%
LSTM Consulting (USA), Inc.	20-2666051	0.04%
CenterHarbor Canapi Ventures I	83-1743768	0.03%
InnerHaven Management Company	83-1577100	0.07%
Lever Energy Capital, LLC	83-0839960	0.00%
AJ Wealth Strategies LLC	45-4981519	0.05%
FF Asset Management LLC	26-1887566	0.02%
Graphite Financial Group, LLC	85-4054581	0.03%
SBK Financial Inc.	36-4549119	0.09%
Rancho Santa Fe Thrift & Loan	95-3657089	0.01%
Athamor Capital LP	32-0520630	0.22%
Carmenna Advisors LLC	83-0793929	0.01%
Column Park Asset Management,	35-2487469	0.04%
Antin Infrastructure Partners	82-4522130	0.10%
Instigator Holdings, LLC	83-1465443	0.00%
Maven New York Limited	98-1441539	0.02%
Liberty Hall Capital Partners,	45-3181272	0.09%
59 North Capital Management, L	83-1710043	0.06%
Eagle Trading Systems, Inc.	22-3233515	0.04%
Third Street Management, LLC	95-4695984	0.11%
Wolfe Advisors, LP	83-1678642	0.00%
Portfolio BI, Inc.	45-5135918	0.07%
Fusion Partners Global LLC	82-3523566	0.01%
Gator Capital Management LLC	26-2325167	0.01%
Volcano Capital Management LLC	46-3156832	0.02%
Aero Capital Solutions, Inc.	80-0583481	0.29%
Source Financial Advisors, LLC	46-0645140	0.21%
Woodline Partners, LP	83-1749088	0.57%
Carolwood Capital Management L	82-5507969	0.02%
StoneCastle Digital Solution,	81-4262781	0.05%
Elmsure LLC	61-1903308	0.01%
Van Berkel USA, Inc.	81-1643299	0.01%
The Hedaya Capital Group, Inc.	55-0846766	0.04%
Steward Partners LLC	83-2030450	0.01%

EP Wealth Advisors LLC	33-0152539	0.02%
West of England Insurance Serv	82-3787352	0.01%
Rebshare LLC	82-1418991	0.06%
Maher Charitable Foundation	27-1447747	0.01%
Innovation Endeavors III Advis	82-2556064	0.08%
BlueVirgo Capital Management,	36-4749474	0.02%
Pier 55, Inc.	46-2703610	0.07%
FTS US, Inc.	81-4274412	0.16%
Transcend Wealth Collective LL	83-2430849	0.06%
LMAX USA Inc.	30-0833470	0.02%
Engle Capital Management, LP	47-2252869	0.03%
LFG RE Manager LLC	83-1945824	0.03%
Energize Ventures LLC	37-1847471	0.03%
Employees First LLC	81-3130874	0.01%
New Roc Management, LLC	83-0647985	0.02%
Granite Bridge Partners LLC	82-2744418	0.03%
CSP Partners LLC	36-4910415	0.00%
Eagle Marsh Holdings LLC	37-1841908	0.00%
Crossroads Financial	32-0139015	0.01%
Black Dragon Holdings, LLC	46-2300983	0.03%
Grupo ASI Inc.	20-1989097	0.00%
A Little Hope Foundation, Inc.	46-0787800	0.00%
Brio Consultants LLC	80-0472324	0.05%
Boundary Creek Advisors, LP	82-5181943	0.14%
North Audley Street, LLC	83-2603032	0.02%
Crescent Rock Capital Manageme	82-5154366	0.02%
Opal Wealth Advisors	83-3212600	0.07%
Ganot Capital LLC	27-1534802	0.00%
ABSA Securities U.S. Inc.	37-1909425	0.03%
Amherst Ave Capital LLC	83-1177119	0.02%
Aksala Wealth Advisors	83-2244058	0.03%
Mantle Ridge LP	81-3048123	0.07%
CoinShares Co.	83-1175072	0.01%
Untitled Investments, LP	83-3279513	0.37%
Autumn Lane Advisors, LLC	47-2010098	0.06%
Qualitas Administrator Pty Ltd	98-1451798	0.00%
Briar Capital Management LLC	47-1821617	0.01%
EverZinc Ultra Fine Products I	83-2663380	0.00%
OceanSound Partners	83-2017668	0.24%
Strategic Venue Partners, LLC	82-4577046	0.02%
Southbridge Law Group, PC	83-1716067	0.01%
TLSG, Inc.	46-3848709	0.01%
Repp Health, LLC	82-4816493	0.01%
Hidden Road Partners LP	83-1982107	0.15%
Safer Systems, LLC	20-0123690	0.00%
Blackstart Capital LP	37-1749011	0.00%
W.H.E. Investments	83-3266162	0.00%

Opes Advisors	83-2488906	0.04%
Candlestick Capital Management	83-3361646	0.22%
Graybella Capital	82-3404924	0.00%
Decibel Capital LLC	83-1081070	0.02%
Royal Bridge Capital LP	82-4548202	0.00%
GCK Holdings Corp	59-2314283	0.00%
DNA Capital USA LLC	35-2635044	0.02%
Good Living Investments, LLC	82-5308559	0.01%
Jones Road Capital Management,	82-2862523	0.04%
Minot Wealth Management, LLC	83-3186404	0.05%
Genesis Technology Group, Inc.	83-0905745	0.03%
Vensana Capital Management LLC	83-2430826	0.05%
Tiber Health Public Benefit Co	45-2847914	0.04%
SLI Capital LLC	82-2472938	0.00%
Commodore Capital LP	83-2659606	0.03%
BennBridge US LLC	83-3191088	0.02%
Worsfold Wealth Management LLC	84-4048144	0.04%
Parsifal Capital Management LP	83-1786984	0.04%
William Hood & Company	82-1975668	0.01%
LAV International, Inc.	36-4898432	0.04%
SGX America Ltd	13-3848312	0.04%
3L Capital Management, LLC	81-5469697	0.05%
LHP MSO, LLC	83-2536308	0.19%
Iron Park Capital Partners LP	83-4110380	0.18%
Big Idea Ventures LLC	83-2590409	0.00%
Sabricas Capital Management	45-5303059	0.00%
Eden Capital Management Partne	76-0665478	0.01%
QVIDTVM Inc.	83-4202892	0.02%
FourPoints Asset Management, I	13-3094763	0.01%
TAG Financial Institutions Gro	46-0955898	0.02%
Alpha Core Capital LLC	47-2314998	0.04%
Atalaya Capital Telos LLC	83-3942934	0.01%
XN LP	83-0594004	0.05%
Scott's Cove Management LLC	27-4579578	0.00%
Pogo Financial Inc.	83-4384589	0.02%
Difesa Capital Management	83-3883984	0.01%
Regent Peak Wealth Advisors	83-4638642	0.06%
Evoke Wealth, LLC	83-3323177	0.11%
Blue Torch Capital	35-2577360	0.10%
Influence Media Partners, LLC	83-2105463	0.01%
Hollis Park Partners LP	90-1037497	0.01%
Brandywine Oak Private Wealth	83-1376291	0.04%
Euronext Markets Americas LLC	38-4117371	0.01%
Dendur Capital LP	83-1356909	0.12%
Plinth Capital LP	83-4237871	0.01%
Hendrickson Wealth and Retirem	83-1065271	0.02%
Hehmeyer, LLC	20-2095854	0.06%

Rock Mountain Capital, L.P.	83-3484071	0.00%
Atlantic Peak Capital LLC	83-4137227	0.01%
Aaron Wealth Advisors	83-1222676	0.02%
Jackson Square Capital LLC	83-3550913	0.02%
TinySeed LLC	83-3903490	0.00%
Presidio Investors LLC	26-0483952	0.01%
Centerline Capital Management,	84-1776383	0.03%
Lupa Investments Management LLC	83-3384673	0.19%
J&J Investment Ventures LLC	84-1842501	0.00%
Hickey Smith LLP	46-4134991	0.01%
Thunderbird Management Inc.	83-4366204	0.03%
Kingfisher AM LLC	82-5029517	0.01%
HN Green Hollow Capital Partne	83-3242394	0.02%
Callodine Capital Management,	81-5323006	0.03%
Value Investment Group Inc.	45-5123480	0.00%
Round Hill Investments LLC	47-2356906	0.01%
Crosscut Ventures Management,	80-0234286	0.03%
NIV Asset Management LLC	30-0932295	0.01%
Sestante Capital Advisors	83-3903114	0.02%
Kopentec LLC	30-1051926	0.01%
Davy Asset Management US Branch	98-1239777	0.00%
Trivariate Capital Management	83-4383508	0.02%
Natare Capital Management LP	82-0988996	0.03%
Mercer Park, L.P.	80-0522787	0.06%
Avenu Learning LLC	83-4658764	0.08%
Anagenesis Capital Partners, LLC	47-3683020	0.06%
Optimal Asset Management, Inc.	47-4470216	0.01%
Eastdil Secured Holdings LLC	83-3798892	7.06%
B Capital Group US LLC	32-0470363	0.33%
GPI Capital LP	81-1476093	0.09%
Bow Wave Capital Management, L	84-2367710	0.03%
Banner Ridge Partners, LP	84-2225682	0.09%
Isabella Services, LLC	84-1768335	0.08%
Quan Capital Management (US) L	61-1898985	0.01%
Elmwood Asset Management LLC	83-0587587	0.04%
Integris Ventures Management L	81-1205555	0.01%
Altitude Investment Management	82-2927695	0.00%
Tilia Holdings, LLC	82-1190553	0.02%
Carolina Complete Health Netwo	81-4966207	0.05%
TresVista LLC	81-3982384	0.01%
Whitehaven Asset Management, LP	46-1139782	0.12%
JJAS Household LLC	84-2600119	0.04%
L5 Acceleration Services, LLC	83-4370111	0.03%
Level 5 Capital Partners	81-4820296	0.00%
MFN Holdings Inc.	47-4699417	0.01%
Kudu Investment Management LLC	46-5236304	0.08%
HalseyPoint Asset Management L	83-3840010	0.06%

Romulus Entertainment LLC	81-5013064	0.01%
Fusion Fund Silicon Valley LLC	47-2396863	0.08%
Rodriguez Wright LLP	83-3787357	0.37%
Hidden Harbor Capital Manageme	81-2450047	0.21%
Hidden Harbor Operations LLC	83-3013292	0.02%
Differential Venture Partners,	82-3076853	0.00%
L5 Big Blue Franchisee LLC	83-3422530	0.00%
Synchro Group, Inc.	83-3897354	0.07%
Achieve Partners Management, L	83-2598553	0.00%
Whitney Partners LLC	94-3448614	0.40%
Americana Partners	83-4557516	0.08%
Carnelian Financial, Inc.	84-2360277	0.00%
HAP Land, LLC	81-4070823	0.01%
Fuel Capital Group, Inc.	82-5005312	0.04%
Silver Rock Management LLC	84-2108940	0.01%
Bayberry Capital Partners LP	61-1903347	0.04%
Mark Asset Management LP	83-2601930	0.05%
Acorn Capital Advisors LLC	82-1403270	0.02%
A Priori Investment Management	46-5711150	0.02%
Mandrake Capital Partners Asse	83-3271459	0.06%
Integrated Media Company, LLC	98-1376631	0.04%
Guardian Distributors LLC	45-3696877	0.00%
BayPine LP	84-1935993	0.00%
Venture Visionary Partners	83-4717882	0.12%
NewForce Equity Partners Manag	84-2743747	0.00%
Pumpkin Insurance Services, In	30-1174831	0.15%
Breezer Holdings, LLC (dba Bre	27-3505392	0.08%
Stratus Investments, LLC	26-4055421	0.01%
Efficient Lending Inc.	84-2249937	0.00%
Parkman Healthcare Partners LL	83-2617081	0.03%
Dominion Bank	75-0299450	0.14%
Precision Capital Advisors, LL	20-0895670	0.01%
Bansk Group LLC	83-3879649	0.01%
Makom Studios, LLC	45-4126168	0.01%
LoanPeople, LLC	83-4428887	0.08%
LNK Partners, LLC	14-1929802	0.63%
Iron Triangle Partners LP	83-3685944	0.13%
Vola Dynamics LLC	81-1931215	0.07%
Cavu Securities LLC	30-0877914	0.06%
Wu Financial Services LLC	84-3451449	0.00%
Streamlined Ventures Managemen	90-1000421	0.03%
Gratia Capital, LLC	45-4686693	0.38%
Valent Asset Management	84-2641127	0.01%
Intent HQ Americas Inc.	38-4107670	0.06%
Greensboro Municipal Federal C	56-0245322	0.03%
New State Management LLC	46-4565604	0.12%
LongTail Alpha, LLC	81-0916240	0.03%

Grant Avenue Capital	82-5439973	0.02%
Corsair Investments LLC	76-0818216	1.95%
Corsair Capital LP	98-1033007	0.00%
Piedmont Fund Services, Inc.	26-3795355	0.02%
Sallyport Commercial Finance L	47-1111259	0.10%
The Acorn Foundation for the A	13-4016267	0.01%
Johns Creek Financial	02-0552830	0.02%
Strongbox Wealth LLC	84-2323078	0.02%
Olympus Peak Asset Management	82-1526147	0.04%
Vida Ventures Advisors, LLC	83-3797446	0.09%
Charger Investment Partners LP	84-3181508	0.01%
H Partners Management LLC	05-0613954	0.01%
Acupay System LLC	20-3133936	0.54%
Global Mortgage Group, LLC	82-4418006	0.00%
The Wealth Alliance, LLC	83-2902030	0.19%
Cowbird Capital LP	82-1120811	0.02%
Humankind Investments LLC	83-4566059	0.02%
Pennant Investors GP, LLC	82-5447314	0.24%
Arcmont AM LLC	84-3567814	0.01%
BVF Partners L.P.	36-3924730	0.35%
Silver Falcon Capital, Inc.	84-3094068	0.01%
Kamani Tree Capital Partners L	84-2738971	0.01%
Maxex Management LLC	47-2657522	0.52%
RFA Securities, LLC	84-3071884	0.00%
Work First Casualty Company	90-0247256	0.33%
Caddo Lake Capital, LLC	81-4150182	0.00%
Absa Bank Limited	98-0198213	0.01%
KMD Partners, LLC (dba Credit	36-4881418	0.63%
Arena Management Co., LLC	35-2534688	0.05%
Arena Investment Management Gr	30-0877300	0.14%
Arena Service Co. LLC	47-4427154	0.41%
Octagon Capital Advisors LP	84-3576791	0.05%
Alabama Services, LLC	84-3775343	0.02%
Full18 Capital LLC	84-3607601	0.00%
Arkadios Wealth Advisors, LLC	82-1659517	0.01%
Lake Avenue Capital III LLC	82-1174536	0.02%
Leigh Holdings LLC	82-0645646	0.00%
CaaS Capital Management LP	83-4616693	0.03%
Arcanum Infrastructure, LLC	81-4616560	0.07%
ScienceVessel Lab LLC	82-1878540	0.01%
US Tiger Securities, Inc.	23-3075272	0.08%
Six Columns Capital, LP	84-2551184	0.02%
Athletes Unlimited	84-3799201	0.04%
Campus Realty Advisors, LLC	46-1392760	0.00%
LJM Group LLC	47-3095508	0.01%
Avenue Realty Capital LLC	46-1392246	0.02%
Wealthn LLC	81-4056987	0.00%

Tradingfront, Inc.	37-1830482	0.00%
Nokia Growth Partners US, LLC	20-8850362	0.27%
Hunter Creek Advisors LLC	84-3269025	0.02%
DirectBooks LLC	84-4099418	0.04%
Lux Capital Management, LLC	73-1721842	0.64%
Tailwind Advisors, LLC	26-3561950	0.05%
Waterfront Financial Group	27-3586839	0.08%
Gladstone Marlton LLC	84-2388598	0.02%
Ascendant Capital Partners LP	84-3191950	0.36%
Zeno Research, LLC	84-3248262	0.01%
NZS Capital, LLC	84-1821721	0.01%
Verde Capital Corp	47-2023805	0.23%
Holtzman Wealth Strategies LLC	83-0593403	0.00%
Estera Group Services (US) Inc	83-3900129	0.01%
Block RE Inc.	82-3954495	0.00%
Benchmark Securities, LLC	45-3762168	0.05%
West of England Claims Service	36-4952933	0.00%
Position Wealth LLC	84-3079733	0.01%
Florac North America Inc	81-4074202	0.05%
Capital Bridge Partners, Inc.	35-2668614	0.01%
Caelus Energy, LLC	27-5008209	0.00%
PBI Sub, LLC	84-4424821	0.18%
Atalaya Capital Leasing LLC	83-3406034	0.13%
Rayens Capital Management LP	83-3642769	0.00%
Black Edge Capital, LLC	27-3444605	0.55%
N2G Worldwide Insurance Servic	84-4337022	0.15%
Rokos Capital Management (US)	61-1947281	0.01%
Fox Run Management, LLC	06-1494772	0.20%
Builders VC, LLC	81-4738931	0.00%
Gyroscope Capital Management G	11-3794326	0.10%
Saroras Management LLC	82-2723617	0.04%
Cota Capital Management LLC	47-2371270	0.00%
Mundi Asset Management, Inc.	84-3121712	0.01%
B&C Management (NY) LLC	83-3629044	0.02%
Quintasen Holdings, LLC	84-3804842	0.03%
Wealthspring Capital LLC	83-3701914	0.02%
Spruce Point Capital Managemen	26-4819152	0.01%
Six Peak Development LLC	83-4280617	0.01%
Watermark Lodging Advisors	84-3272320	0.22%
TauTona Group	80-0536047	0.03%
3G Sahana Capital Management L	84-4246859	0.01%
BusinessOptix Incorporated	38-4096091	0.02%
Cartenna Capital LP	84-4074890	0.03%
Accelmed Partners II Managemen	84-2694985	0.01%
Everest Medicines (US) Limited	82-2704900	0.03%
AffaMed Therapeutics US Inc.	84-3703893	0.05%
C-Bridge Capital LLC	84-3201022	0.08%

The Donerail Group LP	84-3435949	0.01%
Transatlantique Private Wealth	46-4429380	0.05%
Absoluto Partners LLC	84-4057068	0.01%
Quaestor Strategic Advisors LL	84-2424617	0.01%
Southport Harbor Wealth Adviso	84-3873253	0.01%
Carrick US Services Company LL	84-3881310	0.20%
Harrison Wealth Management Gro	81-4341519	0.01%
K2 HealthVentures Group LLC	83-2036345	0.02%
Drake Real Estate Group Inc.	47-2282213	0.01%
Inceptiv Management, L.P.	84-3414491	0.12%
Corner Venture Partners, LLC	83-0752177	0.11%
Moneytime International, Inc.	81-1487953	0.01%
A.W. Jones Advisors LLC	45-3479146	0.02%
Happify, Inc.	45-3762318	0.13%
Carronade Capital Management,	84-4634829	0.01%
D & B Properties, Inc.	36-4868728	0.00%
DB Capital Management, LLC	84-3738053	0.00%
PeopleHedge Corp	45-3070256	0.00%
HonTe Investments, LLC	47-4518466	0.02%
Proquility Private Wealth Part	85-0825103	0.01%
Sterling Investors LP	84-5117888	0.00%
Investcorp Absolute Return Inv	84-3960129	0.12%
Uniti Towers, LLC	81-1060810	0.34%
North Hudson Resource Partners	82-5355059	0.07%
Valentia Capital Management LP	83-3235000	0.01%
Long Path Partners	82-5041723	0.00%
Delta Capital Partners Managem	83-1140253	0.02%
Primark Capital LLC	84-4145146	0.00%
Interchange Capital Partners	85-1405274	0.09%
Cyndeo Wealth Partners	85-1413466	0.03%
Caprice Capital Partners, LLC	61-1928690	0.00%
IRR LLC	83-3866816	0.05%
Centra Funding, LLC	81-4140291	0.11%
CV Capital Funding, LLC	81-4085581	0.00%
LongVue Mortgage Capital, Inc.	90-0987659	0.24%
Friedrich Perrino LLC	83-3987622	0.02%
ClearList Holdings LLC	84-3304745	0.01%
Sequent Real Estate + Wealth M	85-0954490	0.00%
Avalon Advisors Services, LLC	26-2367767	1.91%
Melody Investment Advisors LP	83-3562906	0.03%
Symphony Wireless LLC	84-2484598	0.01%
Acrew Capital Management, L.P.	84-2461402	0.16%
Financial Decisions, LLC	81-1344645	0.16%
Occudo Quantitative Strategies	85-0634116	0.01%
Boom Media LLC d/b/a CityVox	83-0523279	0.01%
Incentify LLC	45-4448246	0.00%
Feigen Advisors, LLC	20-8402151	0.00%

DLC Capital Management, LLC	82-5073777	0.02%
Metropolitan International Cen	84-4079828	0.00%
Metropolitan International Centner	84-4079847	0.00%
Metropolitan International Centner	84-4079895	0.00%
Metropolitan International Centner	82-4862005	0.00%
Baraboo Growth LLC	20-0443110	0.97%
Astorg Advisory LLC	38-4140034	0.02%
Arctos Partners LP	84-2817277	0.17%
Catch Point Rights Partners LP	85-1307322	0.00%
Univerus Inc.	32-0611254	0.08%
LMR Partners LLC	82-3708835	0.09%
Istari International (US)	85-1441356	0.00%
Global Financial Technologies	84-4740830	0.00%
ShoreHaven Wealth Partners	85-2013503	0.09%
Monimus Capital Management, LP	85-1430653	0.00%
Mckesson Employees Federal Cre	94-6075665	0.02%
D Cubed Group	27-3955118	0.02%
Kaizen Private, LLC	85-2602325	0.01%
Atlas Express Padala Inc	33-0987525	0.02%
Hunting Hill Corporate Service	83-4583073	0.00%
Drapac Investments LLC	45-3516788	0.02%
St Bourke Group LLC	81-4261803	0.02%
Pacific Alliance Associates LL	26-3631036	0.00%
Rails Tech Inc.	85-2154820	0.01%
Findley & Associates	85-0673539	0.04%
Anomaly Capital Management LP	84-3427295	0.01%
Westfield Investment LLC	47-4542617	0.02%
Egerton Capital (US) LP	47-4619385	0.01%
Black Edge Holdings LLC	45-2667090	0.03%
Lion's Head Group Inc.	84-2487285	0.02%
InTandem Capital Partners, LLC	45-4317601	0.00%
Estancia Capital Management, L	27-0681482	0.00%
Banyan Street Real Estate Fund	83-3200504	0.11%
Orangewood Partners Management	47-3344191	0.00%
McMahan Financial, LLC	24-1375710	0.00%
Old Farm Partners, LP	81-0957071	0.02%
Arc Real Estate Group LLC	45-3449715	0.00%
Wincoram GP LLC	85-3200415	0.03%
Primal RC, LLC	47-1219922	0.00%
Multitasking Inc	13-4199201	0.00%
Index Ventures (U.S.) Inc	30-0657293	0.03%
LaMarque Atlas, LLC	84-5048199	0.01%
Hickory Lane Capital Managemen	84-4625354	0.00%
Yaupon Capital Management LP	83-0878771	0.00%
Western Healthcare Federal Cre	94-1256585	0.00%
11 Capital Partners LP	83-4197163	0.00%
Windham Professionals, Inc.	04-2775058	0.00%

Petrichor Healthcare Capital M	81-4416804	0.03%
Prime Choice Funding, Inc.	45-0556976	0.00%
Resolute Advisors LLC (dba Res	83-2384340	0.00%
Kize Capital LP	84-2564302	0.00%
Astrea Bioseparations US Inc.	04-3405958	0.00%
Nanopareil LLC	45-3649962	0.00%
Marana Solutions, LLC dba truD	85-3191842	0.00%
Snowhook Capital Management LP	85-2575757	0.00%
Golden State Wealth Management	46-3329328	0.00%
Brighton Park Capital Manageme	83-1623076	0.06%
New England Investment Partners, LL	36-4760468	0.00%
Dilation Capital Management LP	84-2594735	0.00%
Verdant Commercial Capital, LL	82-1058109	0.00%
Bowhead Underwriting Services,	85-3172094	0.00%
CTI Towers, Inc.	45-0614732	0.00%
QLT Consumer Lease Services, I	26-2094567	0.00%
BKCM LLC	46-2698628	0.00%
First Southern Securities, LLC	27-1832773	0.00%
Ten Coves Capital, LP	85-2922806	0.00%
R4 Capital Inc	27-5462908	0.00%
Foy Insurance Group Inc	02-0467742	0.00%
Arcline Investment Management	83-1708141	0.00%
Solum Partners LP	84-3203206	0.00%
Swayze LLC	27-0637422	0.00%
Founders First Capital Partner	81-0789174	0.00%
Founders First Community Devel	74-3166719	0.00%
GeoComply USA, Inc	45-4744807	0.00%
JEM Headlands LLC	85-0548122	0.00%
Headlands Horizons, LLC	85-2242276	0.00%
C1 Headlands LLC	84-2667588	0.00%
Headlands Research, Inc.	83-0520149	0.00%
CRA Headlands, LLC	84-3210299	0.00%
SRECTrade, Inc.	27-4311512	0.00%
Compass Wealth Management, LLC	27-3963163	0.00%
Capital Clarity, LLC	84-2277712	0.00%
International Corporate Center	47-2313896	0.00%
Trutino Capital Management LLC	37-1737419	0.00%
CSI Financial Services, LLC (d	41-2079807	0.00%
BidFX Systems US LLC	85-1298634	0.00%
FMBP Management LP	85-2643736	0.00%
S&S Financial dba AS IS Lendin	47-3715280	0.00%
MONTICELLOAM, LLC	47-1822230	0.00%
Tomren Wealth Management	27-0944521	0.00%
36th Street Capital Partners,	47-5444192	0.00%
Amulet Capital Partners, LP	46-0785934	0.00%
Wilbow Corporation, Inc.	75-2281774	0.00%
PHP Agency, Inc.	47-5667225	0.00%

Qualitas Insurance Company	94-2906362	0.00%
Qualitas Insurance Services, I	94-2906362	0.00%
TABLE Management, L.P.	45-2569926	0.00%
TABL Enterprises LLC	80-0459293	0.00%
The Pershing Square Foundation	20-8068401	0.00%
NEOX Public Benefit LLC	84-3887563	0.00%
Pershing Square Capital Manage	38-3694136	0.00%
Extensia Financial, LLC	45-0504717	0.00%
Fairmont Holdings LLC	85-3049198	0.00%
Accelerator Services Corporati	46-4982248	0.00%
Pacific Advisors, LLC	35-2520591	0.00%
Pacific Advisors of Oregon, LL	81-3096691	0.00%
Advanced Practice Network (APN	81-4803505	0.00%
Universal Financial Inc	95-4678343	0.00%
Fortinbras Enterprises LP	85-3621514	0.00%
Rogers Services, Inc.	56-1546604	0.00%
Boerboel LLC	46-4638927	0.00%
Jordan Park Trust Company	20-8669581	0.00%
Synthesis Wealth Planning, LLC	82-3892734	0.00%
Tralee Capital Management, LP	26-1846688	0.00%
MA Private Wealth, LLC	82-4185676	0.00%
Segantii Capital Management US	85-2481700	0.00%
Silver Rock Financial Solution	85-3949047	0.00%
Granite State Capital Manageme	81-4021118	0.00%
Tradesman Program Managers, LL	81-1040745	0.00%
Red Rock Coffee, Inc.	85-3427233	0.00%
CAI Manager LP	85-2054106	0.00%

TRINET SELECT 401(K) PLAN

**Financial Statements
and Supplemental Schedules**

**December 31, 2020 and 2019
(With Independent Auditors' Report Thereon)**

TRINET SELECT 401(K) PLAN

Table of Contents

	<u>Page</u>
Independent Auditors' Report	1 - 2
Statements of Net Assets Available for Benefits as of December 31, 2020 and 2019	3
Statement of Changes in Net Assets Available for Benefits for the Year Ended December 31, 2020	4
Notes to Financial Statements	5 - 14
Schedule H, Line 4(a) - Schedule of Delinquent Participant Contributions	15
Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)	16



Independent Auditors' Report

To the Retirement Committee of
TriNet Select 401(k) Plan:

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the TriNet Select 401(k) Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2020 and 2019, and the related statement of changes in net assets available for benefits for the year ended December 31, 2020, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's ("DOL") Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 8, which was certified by Reliance Trust Company, the trustee, and Great-West Life & Annuity Insurance Company pursuant to a power of attorney from Massachusetts Mutual Life Insurance Company, the custodian of the Plan, and Massachusetts Mutual Life Insurance Company, the custodian, except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that Reliance Trust Company held the Plan's investment assets, and executed investment transactions, except for the guaranteed investment contract, from December 31, 2019 to December 31, 2020. Massachusetts Mutual Life Insurance Company held the Plan's investment in the guaranteed investment contract and executed investment transactions from December 31, 2019 to December 30, 2020. On December 31, 2020, Great-West Life & Annuity Insurance Company, pursuant to a power of attorney from Massachusetts Mutual Life Insurance Company, held the Plan's investments in the guaranteed investment contract and executed investment transactions on December 31, 2020. The plan administrator has obtained certifications from the trustee and custodians as of December 31, 2020 and 2019 and for the year ended December 31, 2020, that the information provided to the plan administrator by the trustee and custodians is complete and accurate.



Disclaimer of Opinion

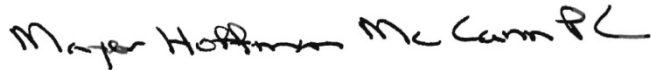
Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter - Supplemental Schedules

The supplemental Schedule of Assets (Held at End of Year) as of December 31, 2020 and Schedule of Delinquent Participant Contributions for the year ended December 31, 2020, are required by the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules referred to above.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee and custodians, have been audited by us in accordance with auditing standards generally accepted in the United States of America, and in our opinion, is presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

A handwritten signature in black ink, appearing to read "Mayer Hoffman McCann P.C.", is written above the date and location.

October 15, 2021
St. Petersburg, Florida

TRINET SELECT 401(K) PLAN

Statements of Net Assets Available for Benefits

December 31, 2020 and 2019

	<u>2020</u>	<u>2019</u>
Assets:		
Non-interest bearing cash	\$ 472,451	315,742
Investments:		
Registered investment companies, at fair value	1,200,495,027	1,078,333,103
Self-directed brokerage accounts, at fair value	19,009,560	12,962,659
Collective investment trusts, at fair value	491,393,412	247,111,426
Guaranteed investment contract, at contract value	<u>101,883,120</u>	<u>82,594,895</u>
Total investments	1,812,781,119	1,421,002,083
Receivables:		
Employer contributions	4,491,941	19,180,821
Participant contributions	9,132,752	8,343,030
Notes receivable from participants	<u>10,396,168</u>	<u>8,740,953</u>
Total receivables	<u>24,020,861</u>	<u>36,264,804</u>
Net assets available for benefits	<u>\$ 1,837,274,431</u>	<u>1,457,582,629</u>

TRINET SELECT 401(K) PLAN

Statement of Changes in Net Assets Available for Benefits

For the Year Ended December 31, 2020

Additions to net assets attributed to:

Investment income:

Net appreciation in fair value of investments	\$ 222,299,830
Interest income from guaranteed investment contract	3,333,183
Dividend income	30,729,391
Interest income on notes receivable from participants	515,005

Total investment income 256,877,409

Contributions:

Employers	55,184,880
Participants	162,150,351
Rollovers	61,725,133

Total contributions 279,060,364

Total additions 535,937,773

Deductions from net assets attributed to:

Benefits paid to participants	111,051,059
Administrative expenses	2,803,139

Total deductions 113,854,198

Net increase 422,083,575

Net assets transferred out (42,391,773)

Net assets available for benefits at beginning of year 1,457,582,629

Net assets available for benefits at end of year \$ 1,837,274,431

TRINET SELECT 401(K) PLAN

Notes to Financial Statements

December 31, 2020 and 2019

(1) **Description of Plan**

The following description of the TriNet Select 401(k) Plan (the “Plan”) provides only general information. Participants should refer to the plan document for a more complete description of the Plan’s provisions.

(a) **General and Administration**

The Plan was established on March 26, 1997 by Ambrose Employer Group, LLC, acquired by TriNet HR IV, LLC, as a multiple-employer defined contribution plan that permits participants to make elective deferrals under Internal Revenue Code Section 401(k).

The Plan is a multiple-employer defined contribution plan which is offered to the employees of participating client employers (collectively, the “Employers”). A participating client employer is a company that has entered into a co-employment arrangement with the Company. The Plan covers employees who are not subject to collective bargaining agreements. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (“ERISA”). The TriNet Retirement Committee is responsible for oversight of the Plan. The Retirement Committee determines the appropriateness of the Plan’s investment offerings, monitors investment performance and reports to the Plan’s Board of Trustees.

The Plan operates under an agreement with Massachusetts Mutual Life Insurance Company Retirement Services Business (“MMRS”) to act as recordkeeper of the Plan. MMRS enters into a trust agreement with a related party or third party trustee to hold the investments and execute investment transactions of the Plan during the year. At year end, all investments and related investment activity, except for the guaranteed investment contract which is certified separately, are certified. Reliance Trust Company (“RTC”) served as trustee at December 31, 2020 and 2019. With the structure of the agreement with MMRS, no changes in investment options or transfer of assets are required when the trustee is changed.

On December 31, 2020, Empower Retirement, LLC (“Empower”) acquired the retirement business of MMRS. Following an initial transition period, Empower will become the sole administrator of this business. An affiliate of Empower, Great-West Life & Annuity Insurance Company, has been granted power of attorney over the group annuity contract issued by MassMutual and provided the certification for the guaranteed investment contract as of December 31, 2020. Empower Retirement prepared statements and documents on behalf of RTC as of December 31, 2020.

Effective January 1, 2017, the Plan Sponsor was changed to TriNet HR IV, LLC (the “Company” or the “Sponsor”).

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(1) **Description of Plan - Continued**

(b) **Mergers and Transfers**

The Plan permits mergers and transfers of assets into and out of the Plan, providing that affected participants would receive a benefit immediately after the merger or transfer equal to or greater than the benefit the affected participants would have received immediately before the merger or transfer.

(c) **Eligibility**

Employees are eligible to participate in the Plan on the business day coinciding with or the next day following the later of (i) the Employee's first day of employment or (ii) the date on which the Employee attains the age of 20. Participants may immediately enter the Plan on the day coinciding with or following completion of the eligibility requirement.

(d) **Contributions**

Participants may elect to contribute up to 100% of their pretax compensation (in multiples of 1%) or a flat dollar amount up to limits allowed by the Internal Revenue Service. Eligible employees may also elect Roth after-tax contributions and catch up contributions, up to Internal Revenue Service limits. The Employers may, at their discretion, make matching contributions to the Plan as defined by the terms of their respective participation agreements.

The Plan accepts rollover contributions from other qualified plans.

(e) **Participant Accounts**

Participant accounts are credited with participant contributions and an allocation of the Employers' contributions and plan earnings and expenses. The benefit to which a participant is entitled is equal to the vested value of their account.

(f) **Vesting**

Participants are immediately vested in their voluntary contributions plus actual earnings thereon. Vesting in the remainder of their accounts is based on the election of participating employers.

(g) **Investment Options**

Plan assets are invested in registered investment companies, a guaranteed investment contract, self-directed brokerage accounts and common collective trusts. Upon enrollment in the Plan, a participant may direct employee contributions in any of the available investments. Participants are allowed to execute investment transfers between the Plan's investment options on a daily basis.

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(1) Description of Plan - Continued

(h) Payment of Benefits

At age 59½ and thereafter, active employees can withdraw any portion of their vested balance at any time. Participants, while employed, may make withdrawals against their vested account balance in accordance with the Plan, which generally requires the demonstration of financial hardship.

Upon termination, retirement, death, or disability, participants or their beneficiaries may elect to receive a lump sum amount equal to the value of the vested interest in their account. Any non-vested balances are forfeited. Terminated participants may also elect to maintain their accounts in the Plan.

As a result of the Bipartisan Budget Act of 2018 and effective January 1, 2019, the requirement to exhaust loan distributions prior to receiving a hardship distribution was removed from the Plan. Additionally, participants are no longer suspended from making contributions to the Plan or from receiving Employer contributions as a result of taking a hardship distribution.

Effective January 1, 2020, the Plan was operationally amended to incorporate the provision of the Setting Every Community Up for Retirement Enhancement (“SECURE”) Act which increased the age for required minimum distributions from age 70 ½ to age 72 for individuals who turned 70 ½ during calendar year 2020.

Effective March 27, 2020, the Coronavirus Aid, Relief, and Economic Security (“CARES”) Act was signed into law, which provided several relief provisions to tax-qualified retirement plans and their participants. The Plan was operationally amended to implement the relief provision under the CARES Act which allowed for special coronavirus-related distributions up to \$100,000. In June 2020, the Internal Revenue Service issued Notice 2020-50, which expanded eligibility for special coronavirus-related distributions under the CARES Act and allowed for repayment of these distributions provided the repayment occurs within three years of receipt of the distribution.

(i) Forfeited Accounts

Any non-vested amounts in a terminated participant’s account are forfeited by the participant and transferred to a forfeiture account. Forfeitures shall be used to pay plan expenses or to reduce the Employers’ future contributions. Approximately \$730,000 of forfeited non-vested accounts were utilized during the year ended December 31, 2020 to pay plan expenses and reduce employer contributions. At December 31, 2020 and 2019, forfeited non-vested accounts available for use totaled approximately \$830,000 and \$600,000, respectively.

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(1) Description of Plan - Continued

(j) Notes Receivable from Participants

Participants may borrow money from their vested account balance for any reason. The maximum amount available for loans is the lesser of \$50,000 or 50% of the participant's vested account balance. The minimum amount for a loan is \$1,000. The participant shall be charged with any costs associated with processing of the loan.

Loan repayments must have a definite repayment period not to exceed five years unless the loan is for the purchase of a principal residence, in which case the repayment period must not exceed Internal Revenue Service limits. Participant loans, including interest thereon, are taxable to the participant upon default, as well as subject to applicable excise penalties. Loans are collateralized by the balance in the participant's account and bear interest at any reasonable rate (prime plus 1% in 2020 for loans taken by participants through MMRS). The Plan honors rates on notes receivables from participants transferred in from other plans. At December 31, 2020, rates ranged from 4.25% to 8.75%.

The Plan was operationally amended during 2020 to implement the relief provisions under the CARES Act which increased the available loan amount described above to the lesser of \$100,000 or 100% of the participant's vested account balance through September 22, 2020. In addition, the provision extended the period for loan repayments, if applicable, up to one year.

(2) Summary of Significant Accounting Policies

(a) Basis of Presentation

The accompanying financial statements have been prepared on the accrual basis of accounting and present the net assets available for benefits and changes in net assets available for benefits in accordance with accounting principles generally accepted in the United States of America.

(b) Investment Valuation and Income Recognition

Investments in registered investment companies are stated at fair value based on quoted market prices. Investments in self-directed brokerage accounts are valued based on the underlying investments held by the Plan at the end of the year.

Investments in the guaranteed investment contract, MMRS Stable Value Fund ("SVF"), operate as a stable asset guaranteed investment contract. The SVF is a market value separate account investment option with a general investment account guarantee that provides a stated rate of return and insulates participants' accounts from daily fluctuations in the market. Participants do not have beneficial ownership in the specific underlying securities or other assets in the SVF, but do have an interest therein represented by units valued daily by MMRS based on market observable data. Under the terms of the SVF group annuity contract, participants may direct permitted withdrawal and/or transfer transactions of all or a portion of their balance in the SVF investment option at contract value. This investment qualifies as a fully benefit responsive investment.

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(2) Summary of Significant Accounting Policies - Continued

(b) Investment Valuation and Income Recognition - Continued

Certain events may limit the ability of the Plan to transact at contract value. Such events include but may not be limited to the following: (1) the complete or partial termination of the Plan; (2) the establishment or activation of, or material change in, any plan investment fund, or an amendment to the Plan or a change in the administration or operation of the Plan, including the removal of a group of employees from plan coverage as a result of the sale or liquidation of a subsidiary or division or as a result of group layoffs or early retirement programs. Consult the Plan's group annuity contract for further information.

The Legal & General S&P 400, 500 and 600 DC Funds ("Funds") are collective investment trusts which are managed by Legal & General Investment Management America Inc. and work to replicate applicable indexes such as the S&P 500 index by investing in underlying assets with similar objectives and investment strategies. Investments in the Funds are maintained on a unit value basis. Participants do not have beneficial ownership in the specific underlying securities or other assets in the Funds, but do have an interest therein represented by units valued daily. The Funds earn dividends and interest which are automatically reinvested in additional units and participants earn appreciation/ (depreciation) on their units as the underlying investments in the trust are registered investment companies. Generally, contributions to and withdrawals from each fund are converted to units by dividing the amounts of such transactions by the unit values as last determined, and the participants' accounts are charged or credited with the number of units properly attributable to each participant.

(c) Administrative Expenses

Management fees and operating expenses are paid out of the Plan.

During 2020, the Plan's administrative expenses totaled approximately \$2,803,000 including approximately \$95,000 paid to the Plan Sponsor for services provided to the Plan, approximately \$2,623,000 paid to MMRS for services provided, and approximately \$85,000 for other expenses such as investment advisory and audit fees. All administrative expenses paid during the year were paid out of the Expense Budget Account.

(d) Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of net assets available for benefits and the related changes therein. Actual results could differ from those estimates.

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(2) Summary of Significant Accounting Policies - Continued

(e) Notes Receivable from Participants

Notes receivable from participants are reported at their unpaid principal balances plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. Related fees are recorded as administrative expenses and are expensed when they are incurred. No allowance for credit losses has been recorded as of December 31, 2020 or 2019. If a participant ceases to make loan repayments and the plan administrator deems the participant loan to be in default, the participant loan balance is reduced and a benefit payment is recorded.

(3) Asset Transfers and Mergers

Qualified plans sponsored by certain Employers may be merged into the Plan in conjunction with such Employers' adoption of the Plan. New participant accounts are then created for such participants in the Plan.

In addition, the account balances of participants employed by certain Employers who terminate their co-employment arrangements with the Company during the year may be transferred out of the Plan through mergers with, or creation of, other qualified plans.

Total assets transferred into the Plan from Employers in 2020 totaled \$33,876,434. Assets transferred out of the Plan from Employers in 2020 totaled \$76,268,207.

(4) Income Tax Status

The Plan has adopted a plan document sponsored by MMRS, which obtained its latest opinion letter on March 31, 2014, in which the Internal Revenue Service stated that the plan document, as then designed, was in compliance with the applicable requirements of the Internal Revenue Service. The plan document has been amended since receiving the opinion letter. However, the Company and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code. Therefore, no provision for income taxes has been included in the Plan's financial statements.

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain tax position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The plan administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2020 and 2019, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(5) **Party-in-Interest Transactions**

Notes receivable from participants are managed by MMRS. While this activity qualifies as party-in-interest activity as defined in Section 3(14) of ERISA, it is expressly exempted from the prohibited transaction rules. Participant loan transactions are considered to be party-in-interest transactions, because they are transacted with plan participants.

Certain administrative expenses are paid to MMRS and the Plan Sponsor and administrator (Note 2(c)) and as such are considered party-in-interest transactions.

(6) **Plan Termination**

Although it has not expressed any intent to do so, the Sponsor has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of plan termination, participants will become 100% vested in their accounts. In the event of termination, the guaranteed investment contract is subject to a market valuation adjustment.

(7) **Risks and Uncertainties**

The Plan may invest in various types of investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and those changes could materially affect the amounts reported in the statements of net assets available for benefits.

(8) **Information Certified by Trustee and Custodians (Unaudited)**

The plan administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, Reliance Trust Company, the trustee as of December 31, 2020 and 2019, and Great-West Life & Annuity Insurance Company, pursuant to a power of attorney from Massachusetts Mutual Life Insurance Company, the custodian effective December 31, 2020, and Massachusetts Mutual Life Insurance Company, the custodian from December 31, 2019 to December 30, 2020, respectively, have certified that the following data included in the accompanying financial statements and supplemental schedule is complete and accurate:

- Total investments and notes receivable from participants, as shown in the Statements of Net Assets Available for Benefits as of December 31, 2020 and 2019;
- Total investment income and related investment activity, as included in the Statement of Changes in Net Assets Available for Benefits for the year ended December 31, 2020;
- The Schedule of Assets (Held at End of Year) as of December 31, 2020 included in the supplemental schedule.

The Plan's independent auditors did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in these financial statements and supplemental schedule.

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(9) Fair Value Measurements

Accounting Standards Codification (“ASC”) Topic 820, *Fair Value Measurement*, establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy under ASC Topic 820 are described below:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2: Inputs to the valuation methodology include:

- (a) Quoted prices for similar assets or liabilities in active markets;
- (b) Quoted prices for identical or similar assets or liabilities in inactive markets;
- (c) Inputs other than quoted prices that are observable for the asset or liability;
- (d) Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset’s or liability’s fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value.

Registered Investment Companies: valued at quoted market prices in an exchange and active markets, which represent the net asset values of shares held by the Plan at year end and are classified as Level 1 inputs.

Self-Directed Brokerage Accounts: valued using readily available pricing sources for comparable instruments. Self-directed brokerage accounts are considered Level 2 based on valuation provided by the trustee.

Collective Investment Trusts: valued at the net asset values of shares held by the Plan at year end as determined by the issuer.

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(9) Fair Value Measurements - Continued

The preceding methods described may produce a fair value calculation that may not be indicative of the net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables set forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2020 and 2019:

Description	Assets Measured at Fair Value at December 31, 2020	Fair Value Measurements at Reporting Date Using		
		Quoted Prices in Active Markets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Registered investment companies	\$ 1,200,495,027	1,200,495,027	-	-
Self-directed brokerage accounts	19,009,560	-	19,009,560	-
	1,219,504,587	1,200,495,027	19,009,560	-
Collective investment trusts at NAV	491,393,412 (a)			
Total investments at fair value	\$ 1,710,897,999			

Description	Assets Measured at Fair Value at December 31, 2019	Fair Value Measurements at Reporting Date Using		
		Quoted Prices in Active Markets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Registered investment companies	\$ 1,078,333,103	1,078,333,103	-	-
Self-directed brokerage accounts	12,962,659	-	12,962,659	-
	1,091,295,762	1,078,333,103	12,962,659	-
Collective investment trusts at NAV	247,111,426 (a)			
Total investments at fair value	\$ 1,338,407,188			

(a) In accordance with Subtopic 820-10, certain investments that were measured at net asset value ("NAV") per share (or its equivalent) have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the line items presented in the statements of net assets available for benefits.

TRINET SELECT 401(K) PLAN

Notes to Financial Statements - Continued

(10) Delinquent Participant Contributions

Defined contribution plans are required to remit participant contributions to the Plan as soon as they can be reasonably segregated from the employer's general assets. While the Company remitted all participant contributions to the Plan, contributions of \$5,762 were not remitted within the Plan's remittance policy for the year ended December 31, 2020. The Plan is in the process of remitting any applicable lost earnings and excise tax relating to the delinquent participant contributions.

(11) Subsequent Events

The Plan's management has evaluated events and transactions for potential recognition or disclosure in the financial statements through October 15, 2021, the date the financial statements were available to be issued.

Effective January 1, 2021, the Plan adopted the amended and restated Empower Retirement, LLC Cycle 3 Pre-Approved Defined Contribution Plan document and RTC remained as the Plan's trustee.

TRINET SELECT 401(K) PLAN

Schedule H, Line 4(a) - Schedule of Delinquent Participant Contributions

Employer Identification Number (EIN): 13-3867443

Plan Number: 333

For the Year Ended December 31, 2020

<u>Year</u>	<u>Participant Contributions Transferred Late to Plan</u>		<u>Total that Constitute Nonexempt Prohibited Transactions</u>			<u>Total Fully Corrected Under VFCP and PTE 2002-51</u>
	<u>Check Here if Late Participant Loan Repayments are included o :</u>		<u>Contributions Not Corrected</u>	<u>Contributions Corrected Outside the VFCP</u>	<u>Contributions Pending Correction in VFCP</u>	
2020	\$	5,832	\$ 5,832	\$ -		\$ -

TRINET SELECT 401(K) PLAN

Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)

Employer Identification Number (EIN): 13-3867443

Plan Number: 333

December 31, 2020

(a)	(b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value (if Applicable)	(e) Current Value
	AmerFunds EuroPacific Gr Fund	Registered Investment Company	\$ 23,744,501
	American Funds New Prspctve Fd	Registered Investment Company	54,793,206
	American Funds New World Fund	Registered Investment Company	36,944,962
	Baird Aggregate Bond Fund	Registered Investment Company	18,504,485
	BlackRock High Yield Bond Fund	Registered Investment Company	19,467,897
	BlackRock iShares Intl Index Fund	Registered Investment Company	36,988,192
	Cohen & Steers Realty Shrs Fd	Registered Investment Company	16,538,279
	Delaware Small Cap Value Fund	Registered Investment Company	20,335,395
	JP Morgan Small Cap Growth Fd	Registered Investment Company	75,940,816
	PIMCO Low Duration Fund	Registered Investment Company	22,599,017
	Templeton Global Bond Fund	Registered Investment Company	6,380,911
	Vanguard Infl-Protectd Secs Fd	Registered Investment Company	21,918,940
	Vanguard Instl Target Ret 2015	Registered Investment Company	5,680,967
	Vanguard Instl Target Ret 2020	Registered Investment Company	22,105,111
	Vanguard Instl Target Ret 2025	Registered Investment Company	49,664,494
	Vanguard Instl Target Ret 2030	Registered Investment Company	91,412,792
	Vanguard Instl Target Ret 2035	Registered Investment Company	104,824,774
	Vanguard Instl Target Ret 2040	Registered Investment Company	125,314,359
	Vanguard Instl Target Ret 2045	Registered Investment Company	116,073,639
	Vanguard Instl Target Ret 2050	Registered Investment Company	155,701,043
	Vanguard Instl Target Ret 2055	Registered Investment Company	42,588,028
	Vanguard Instl Target Ret 2060	Registered Investment Company	24,377,796
	Vanguard Instl Trgt Ret 2065 Fd	Registered Investment Company	8,430,073
	Vanguard Instl Target Ret Inc	Registered Investment Company	8,271,847
	Vanguard Interm-Term Treas Fd	Registered Investment Company	23,697,158
	Vanguard Intrm-Trm Bnd Index Fd	Registered Investment Company	24,226,561
	Vanguard Federal Money Market Fd	Registered Investment Company	716,941
	Vanguard Wellington Fund	Registered Investment Company	43,251,619
	Cash Out Fund	Registered Investment Company	430
*	MassMutual Premier U.S. Govt Money Market Fund	Registered Investment Company	794
*	MassMutual Retirement Services Stable Value Fund	Guaranteed Investment Contract	101,883,120
	Schwab PCRA	Self-Directed Brokerage	19,009,560
	Legal & General S&P 400 DC CIT	Collective Investment Trust	110,723,606
	Legal & General S&P 500 DC CIT	Collective Investment Trust	316,394,342
	Legal & General S&P 600 DC CIT	Collective Investment Trust	64,275,464
			1,812,781,119
*	Participant loans	(4.25% - 8.75% - various maturities)	10,396,168
			<u>\$ 1,823,177,287</u>

* Party-in-interest