Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2020

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
DATA ANALYSIS, INC. INVESTMENT PLAN AND TRUST

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 01/01/1990

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
DATA ANALYSIS, INC.

12655 BEATRICE STREET
LOS ANGELES, CA 90066

2b Employer Identification Number (EIN)
95-3936696

2c Plan Sponsor's telephone number
310-448-6800

2d Business code (see instructions)
551112

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/16/2021	GENEVA GARCIA
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address [X] Same as Plan Sponsor

3b Administrator's EIN

3c Administrator's telephone number

4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:

a Sponsor's name

c Plan Name

4b EIN

4d PN

5	Total number of participants at the beginning of the plan year	**5**	1186
6	Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1)	Total number of active participants at the beginning of the plan year	**6a(1)**	776
a(2)	Total number of active participants at the end of the plan year	**6a(2)**	877
b	Retired or separated participants receiving benefits	**6b**	0
c	Other retired or separated participants entitled to future benefits	**6c**	461
d	Subtotal. Add lines **6a(2)**, **6b**, and **6c**	**6d**	1338
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	0
f	Total. Add lines **6d** and **6e**	**6f**	1338
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	1276
h	Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	10
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2E 2F 2G 2J 2K 3D 2S 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

- **(1)** [X] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

- **(1)** [X] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [X] 4 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2020 Form M-1 annual report. If the plan was not required to file the 2020 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2020

This Form is Open to Public Inspection

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan
DATA ANALYSIS, INC. INVESTMENT PLAN AND TRUST

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
DATA ANALYSIS, INC.

D Employer Identification Number (EIN)
95-3936696

Part I **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
VOYA RETIREMENT INSURANCE AND ANNUITY COMPANY

(b) EIN	**(c)** NAIC code	**(d)** Contract or identification number	**(e)** Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	**(g)** To
71-0294708	86509	YH4170	1338	01/01/2020	12/31/2020

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	**(b)** Total amount of fees paid
0	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		
	(c) Amount	**(d)** Purpose	**(e)** Organization code

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		
	(c) Amount	**(d)** Purpose	**(e)** Organization code

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	15058877
5	Current value of plan's interest under this contract in separate accounts at year end	5	58135825

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity (3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee (3) ☐ guaranteed investment (4) ☒ other ▶ GROUP PENSION FUNDING

b	Balance at the end of the previous year			7b	11245896
c	Additions: (1) Contributions deposited during the year	7c(1)	484374		
	(2) Dividends and credits	7c(2)			
	(3) Interest credited during the year	7c(3)	260320		
	(4) Transferred from separate account	7c(4)	4438915		
	(5) Other (specify below)	7c(5)	67086		
	▶ *				
	(6) Total additions			7c(6)	5250695
d	Total of balance and additions (add lines **7b** and **7c(6)**)			7d	16496591
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	2668512		
	(2) Administration charge made by carrier	7e(2)	4464		
	(3) Transferred to separate account	7e(3)			
	(4) Other (specify below)	7e(4)	86519		
	▶ *				
	(5) Total deductions			7e(5)	2759495
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			7f	13737096

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- **a** ☐ Health (other than dental or vision)
- **b** ☐ Dental
- **c** ☐ Vision
- **d** ☐ Life insurance
- **e** ☐ Temporary disability (accident and sickness)
- **f** ☐ Long-term disability
- **g** ☐ Supplemental unemployment
- **h** ☐ Prescription drug
- **i** ☐ Stop loss (large deductible)
- **j** ☐ HMO contract
- **k** ☐ PPO contract
- **l** ☐ Indemnity contract
- **m** ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.			**10b**	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE A
(Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2020

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For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan
DATA ANALYSIS, INC. INVESTMENT PLAN AND TRUST

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
DATA ANALYSIS, INC.

D Employer Identification Number (EIN)
95-3936696

Part I **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
AXA EQUITABLE LIFE INSURANCE COMPANY

(b) EIN	**(c)** NAIC code	**(d)** Contract or identification number	**(e)** Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	**(g)** To
13-5570651	62944	12-0001	1338	01/01/2020	12/31/2020

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	**(b)** Total amount of fees paid
0	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

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	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	**4**	
5	Current value of plan's interest under this contract in separate accounts at year end	**5**	39235

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	**6b**	
c	Premiums due but unpaid at the end of the year	**6c**	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	**6d**	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year			**7b**	
c	Additions: (1) Contributions deposited during the year	**7c(1)**			
	(2) Dividends and credits	**7c(2)**			
	(3) Interest credited during the year	**7c(3)**			
	(4) Transferred from separate account	**7c(4)**			
	(5) Other (specify below)	**7c(5)**			
	▶				
	(6) Total additions			**7c(6)**	
d	Total of balance and additions (add lines **7b** and **7c(6)**)			**7d**	
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	**7e(1)**			
	(2) Administration charge made by carrier	**7e(2)**			
	(3) Transferred to separate account	**7e(3)**			
	(4) Other (specify below)	**7e(4)**			
	▶				
	(5) Total deductions			**7e(5)**	
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			**7f**	

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

a ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance

e ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug

i ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract

m ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.			**10b**	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☐ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2020

This Form is Open to Public Inspection

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan
DATA ANALYSIS, INC. INVESTMENT PLAN AND TRUST

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
DATA ANALYSIS, INC.

D Employer Identification Number (EIN)
95-3936696

Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions

Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
NATIONWIDE LIFE INSURANCE COMPANY

(b) EIN	**(c)** NAIC code	**(d)** Contract or identification number	**(e)** Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	**(g)** To
31-4156830	66869	100	1338	01/01/2020	12/31/2020

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	**(b)** Total amount of fees paid
0	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	**4**	
5	Current value of plan's interest under this contract in separate accounts at year end	**5**	24519

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	**6b**	
c	Premiums due but unpaid at the end of the year	**6c**	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	**6d**	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year			**7b**	
c	Additions: (1) Contributions deposited during the year	**7c(1)**			
	(2) Dividends and credits	**7c(2)**			
	(3) Interest credited during the year	**7c(3)**			
	(4) Transferred from separate account	**7c(4)**			
	(5) Other (specify below)	**7c(5)**			
	▶				
	(6) Total additions			**7c(6)**	
d	Total of balance and additions (add lines **7b** and **7c(6)**)			**7d**	
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	**7e(1)**			
	(2) Administration charge made by carrier	**7e(2)**			
	(3) Transferred to separate account	**7e(3)**			
	(4) Other (specify below)	**7e(4)**			
	▶				
	(5) Total deductions			**7e(5)**	
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			**7f**	

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

a ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance

e ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug

i ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract

m ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.			**10b**	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☐ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2020

This Form is Open to Public Inspection

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan
DATA ANALYSIS, INC. INVESTMENT PLAN AND TRUST

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
DATA ANALYSIS, INC.

D Employer Identification Number (EIN)
95-3936696

Part I **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
VOYA RETIREMENT INSURANCE AND ANNUITY COMPANY

(b) EIN	**(c)** NAIC code	**(d)** Contract or identification number	**(e)** Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	**(g)** To
71-0294708	86509	200	1338	01/01/2020	12/31/2020

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	**(b)** Total amount of fees paid
0	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	**4**	
5	Current value of plan's interest under this contract in separate accounts at year end	**5**	20675

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	**6b**	
c	Premiums due but unpaid at the end of the year	**6c**	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	**6d**	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year			**7b**	
c	Additions: (1) Contributions deposited during the year	**7c(1)**			
	(2) Dividends and credits	**7c(2)**			
	(3) Interest credited during the year	**7c(3)**			
	(4) Transferred from separate account	**7c(4)**			
	(5) Other (specify below)	**7c(5)**			
	▶				
	(6) Total additions			**7c(6)**	
d	Total of balance and additions (add lines **7b** and **7c(6)**)			**7d**	
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	**7e(1)**			
	(2) Administration charge made by carrier	**7e(2)**			
	(3) Transferred to separate account	**7e(3)**			
	(4) Other (specify below)	**7e(4)**			
	▶				
	(5) Total deductions			**7e(5)**	
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			**7f**	

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

a ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance

e ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug

i ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract

m ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.			**10b**	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☐ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2020** **This Form is Open to Public Inspection.**

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan
DATA ANALYSIS, INC. INVESTMENT PLAN AND TRUST

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
DATA ANALYSIS, INC.

D Employer Identification Number (EIN)
95-3936696

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

VOYA RETIREMENT INSURANCE & ANNUITY

71-0294708

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

VOYA RETIREMENT INSURANCE & ANNUITY

71-0294708

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 28 64 66	SERVICE PROVIDER	22829	Yes [X] No [ ]	Yes [X] No [ ]	721	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

MORNINGSTAR

36-4317381

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 27	SERVICE PROVIDER	9143	Yes [X] No [ ]	Yes [ ] No [X]	11787	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
MORNINGSTAR	27	11787
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VOYA RETIREMENT INSURANCE AND ANNUI 71-0294708	INVESTMENT ADVISORY (PLAN)	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a Name: DELOITTE & TOUCHE LLP
b EIN: 13-3891517
c Position:
d Address: 555 W 5TH STREET
LOS ANGELES, CA 90013-1010
e Telephone: 213-688-5394

Explanation: HIGH AUDIT FEE / RECEIVED A BETTER BID FROM OTHER FIRM

a Name:
b EIN:
c Position:
d Address:
e Telephone:

Explanation:

a Name:
b EIN:
c Position:
d Address:
e Telephone:

Explanation:

a Name:
b EIN:
c Position:
d Address:
e Telephone:

Explanation:

a Name:
b EIN:
c Position:
d Address:
e Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2020

This Form is Open to Public Inspection.

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan
DATA ANALYSIS, INC. INVESTMENT PLAN AND TRUST

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
DATA ANALYSIS, INC.

D Employer Identification Number (EIN)
95-3936696

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: VARIABLE ANNUITY ACCOUNT D

b Name of sponsor of entity listed in (a): VOYA RETIREMENT INSURANCE AND ANNUITY COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
71-0294708-000	P	58135825

a Name of MTIA, CCT, PSA, or 103-12 IE: VARIABLE ANNUITY ACCOUNT 200

b Name of sponsor of entity listed in (a): VOYA RETIREMENT INSURANCE AND ANNUITY COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
71-0294708-000	P	20675

a Name of MTIA, CCT, PSA, or 103-12 IE: VOYA LARGE CAP GROWTH FUND CLASS 8

b Name of sponsor of entity listed in (a): VOYA INVESTMENT TRUST CO

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
06-1440627-011	C	2020063

a Name of MTIA, CCT, PSA, or 103-12 IE: VOYA LIFETIME INCOME PROTECTION2045

b Name of sponsor of entity listed in (a): VOYA INVESTMENT TRUST CO

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
06-1440627-058	C	190311

a Name of MTIA, CCT, PSA, or 103-12 IE: VOYA LIFETIME INCOME PROTECTION2040

b Name of sponsor of entity listed in (a): VOYA INVESTMENT TRUST CO

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
06-1440627-081	C	5948

a Name of MTIA, CCT, PSA, or 103-12 IE: VOYA LIFETIME INCOME PROTECTION2030

b Name of sponsor of entity listed in (a): VOYA INVESTMENT TRUST CO

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
06-1440627-083	C	112036

a Name of MTIA, CCT, PSA, or 103-12 IE: AXA SEPARATE ACCOUNT 12-0001

b Name of sponsor of entity listed in (a): AXA EQUITABLE LIFE INSURANCE COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
13-5570651-000	P	39235

a Name of MTIA, CCT, PSA, or 103-12 IE: NATIONWIDE SEPARATE ACCOUNT 100

b Name of sponsor of entity listed in (a): NATIONWIDE LIFE INSURANCE COMPANY

c EIN-PN 31-4156830-000	**d** Entity code P	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 24519

a Name of MTIA, CCT, PSA, or 103-12 IE: VOYA MID-CAP GROWTH EQUITY FUND - C

b Name of sponsor of entity listed in (a): VOYA INVESTMENT TRUST CO

c EIN-PN 06-1440627-011	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1711565

a Name of MTIA, CCT, PSA, or 103-12 IE: VOYA LIFETIME INCOME PROTECTION 202

b Name of sponsor of entity listed in (a): VOYA INVESTMENT TRUST CO

c EIN-PN 06-1440627-070	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3684

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II **Information on Participating Plans (to be completed by DFEs)**
(Complete as many entries as needed to report all participating plans)

a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2020

This Form is Open to Public Inspection

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan
DATA ANALYSIS, INC. INVESTMENT PLAN AND TRUST

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
DATA ANALYSIS, INC.

D Employer Identification Number (EIN)
95-3936696

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)		
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	426801	459179
(9) Value of interest in common/collective trusts	1c(9)	2461474	4043607
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	49116154	58135825
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	11245896	13737096
(15) Other	1c(15)		

			(a) Beginning of Year	**(b)** End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)		
	(2) Employer real property	1d(2)		
e	Buildings and other property used in plan operation	1e		
f	Total assets (add all amounts in lines 1a through 1e)	1f	63250325	76375707
	Liabilities			
g	Benefit claims payable	1g		
h	Operating payables	1h		
i	Acquisition indebtedness	1i		
j	Other liabilities	1j		
k	Total liabilities (add all amounts in lines 1g through1j)	1k	0	0
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	63250325	76375707

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		**(a)** Amount	**(b)** Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	1150688	
	(B) Participants	2a(1)(B)	4769546	
	(C) Others (including rollovers)	2a(1)(C)	550757	
	(2) Noncash contributions	2a(2)		
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		6470991
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
	(B) U.S. Government securities	2b(1)(B)		
	(C) Corporate debt instruments	2b(1)(C)		
	(D) Loans (other than to participants)	2b(1)(D)		
	(E) Participant loans	2b(1)(E)	26424	
	(F) Other	2b(1)(F)	260320	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		286744
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
	(B) Common stock	2b(2)(B)		
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)		
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		0
	(3) Rents	2b(3)		
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)		
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		0
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
	(B) Other	2b(5)(B)		
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		1025523
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		11549011
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		
c Other income	2c		69272
d Total income. Add all **income** amounts in column (b) and enter total	2d		19401541
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	6162756	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		6162756
f Corrective distributions (see instructions)	2f		65844
g Certain deemed distributions of participant loans (see instructions)	2g		15588
h Interest expense	2h		
i Administrative expenses: **(1)** Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)	31971	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		31971
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		6276159
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		13125382
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [X] Unmodified **(2)** [ ] Qualified **(3)** [ ] Disclaimer **(4)** [ ] Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) [X] DOL Regulation 2520.103-8 **(2)** [ ] DOL Regulation 2520.103-12(d) **(3)** [ ] neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: COHNREZNICK LLP **(2)** EIN: 22-1478099

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a	X		34213

			Yes	No	Amount
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	**4b**		X	
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	**4c**		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	**4d**		X	
e	Was this plan covered by a fidelity bond?	**4e**	X		500000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	**4f**		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	**4g**		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	**4h**		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	**4i**	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)	**4j**		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	**4k**		X	
l	Has the plan failed to provide any benefit when due under the plan?	**4l**		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	**4m**		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	**4n**			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No

If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2020

This Form is Open to Public Inspection.

For calendar plan year 2020 or fiscal plan year beginning 01/01/2020 and ending 12/31/2020

A Name of plan
DATA ANALYSIS, INC. INVESTMENT PLAN AND TRUST

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
DATA ANALYSIS, INC.

D Employer Identification Number (EIN)
95-3936696

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions ..... | **1** |

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 71-0294708

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year ..... | **3** |

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) ..... | **6a** |

b Enter the amount contributed by the employer to the plan for this plan year ..... | **6b** |

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) ..... | **6c** |

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment)	**14a**	
b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	**14b**	
c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

20 **PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation_____

Data Analysis Inc.
Investment Plan and Trust

Employer ID No: 95-3936696
Plan Number: 001

Financial Statements as of December 31, 2020
and 2019, and for the Year Ended December 31, 2020,
Supplemental Schedule as of December 31, 2020 and
Independent Auditor's Report

Data Analysis Inc. Investment Plan and Trust
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* Other schedules required by Section 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and not included herein have been omitted because they are not applicable

Independent Auditor's Report

To the Plan Administrator
Data Analysis Inc. Investment Plan and Trust

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Data Analysis Inc. Investment Plan and Trust (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2020 and 2019, and the related statement of changes in net assets available for benefits for the year ended December 31, 2020, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's ("DOL") Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by Voya Institutional Trust Company, the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained a certification from the trustee as of December 31, 2020 and 2019, and for the year ended December 31, 2020 and 2019, that the information provided to the Plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedules of assets (Held at End of Year) (Schedule H, Line 4i) and delinquent participant contributions (Schedule H, line 4a) as of or for the year ended December 31, 2020 is required by the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on these supplemental schedules.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

CohnReznick LLP

Los Angeles, California
October 15, 2021

DATA ANALYSIS INC. INVESTMENT PLAN AND TRUST
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
AS OF DECEMBER 31, 2020 AND 2019

	2020	2019
ASSETS:		
Investments at fair value	$ 62,179,432	$ 51,577,628
Investments at contract value	13,737,096	11,245,896
Notes receivable from participants	459,179	426,801
Receivable-employer contribution	-	486,132
Total assets	76,375,707	63,736,457
LIABILITIES:		
Excess participants' contributions payable	-	49,091
NET ASSETS AVAILABLE FOR BENEFITS	$ 76,375,707	$ 63,687,366

The accompanying notes are an integral part of these financial statements.

DATA ANALYSIS INC. INVESTMENT PLAN AND TRUST
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE YEAR ENDED DECEMBER 31, 2020

ADDITIONS:	
Net appreciation in fair value of investments	$12,574,534
Interest and dividend income	329,592
Total investment income	12,904,126
Interest income on notes receivable from participants	26,424
Participants' pretax contributions	4,577,950
Participants' Roth contributions	240,687
Employer contributions	664,556
Rollover contributions	550,757
Total contributions	6,033,950
Total additions	18,964,500
DEDUCTIONS:	
Benefits paid to participants	6,244,188
Administrative expenses	31,971
Total deductions	6,276,159
Net increase	12,688,341
Net assets available for benefits, beginning of year	63,687,366
Net assets available for benefits, end of year	$ 76,375,707

The accompanying notes are an integral part of these financial statements.

1. DESCRIPTION OF THE PLAN

The following description of the Data Analysis Inc. Investment Plan and Trust (the "Plan"), provides only general information. Participants should refer to the Plan document for a complete description of the Plan's provisions.

GENERAL

Data Analysis Inc. adopted the Plan effective as of January 1, 1990. The Plan is a defined contribution plan, which covers employees of Data Analysis Inc. and its affiliates (the "Company"), that include William O'Neil & Co. Incorporated; Investor's Business Daily, Inc.; O'Neil Global Advisors Inc.; O'Neil Digital Solutions LLC; and O'Neil Securities, Incorporated. The Plan is a defined contribution plan designed to provide participating employees the opportunity to accumulate retirement funds through a tax-deferred contribution arrangement pursuant to Section 401(k) of the Internal Revenue Code of 1986, as amended (the "Code"). In addition to the Code, the Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA"), as amended.

ADMINISTRATION OF THE PLAN

The Board of Directors of the Company is responsible for oversight of the Plan. The Plan committee, as appointed by the board of directors of the Company, determines the appropriateness of the Plan's investment offerings, monitors investment performance and reports to the Company's Board of Directors.

Voya Retirement Insurance and Annuity Company (the "Recordkeeper") is the current recordkeeper of the Plan. Further, Voya Institutional Trust Company ("Voya") (the "Trustee") serves as the trustee and custodian of the Plan. The Company acts as the Plan administrator (the "Plan Administrator") and the Plan sponsor (the "Plan Sponsor"). Most administrative expenses of maintaining the Plan are paid by the Company.

PARTICIPATION

Participation in the Plan is available to all eligible employees who have completed one month of service. Re-hired employees who were previously participants in the Plan are eligible to re-enter the Plan immediately.

The Plan accepts direct cash rollovers from other qualified plans regardless of whether the employee has met the Plan's eligibility requirements.

Employees who become eligible to participate in the Plan are automatically enrolled in the Plan to make pretax deferrals unless the employee affirmatively elects not to make pretax deferrals within the applicable time period as described by the Plan. The employee is deemed to have elected to contribute 4% of the employee's pretax compensation each payroll period into a Voya targeted fund selected based on age and estimated retirement until a subsequent valid election is made by the participant. Effective January 1, 2020, the plan was amended to increase the deferral amount by 1% of compensation up to a maximum of 7% of compensation at the beginning of each Plan year.

CARES ACT AMENDMENT

In March 2020, the Plan adopted all relevant provisions of the Coronavirus Aid, Relief, and Economic Security Act ("CARES Act"). No formal plan amendment to the Plan document was made as of December 31, 2020; however, the Plan Sponsor intends to amend the Plan document by the last day of the Plan year ending December 31, 2022, in accordance with applicable regulations.

Under the CARES Act, required minimum distributions ("RMD") for the 2020 calendar year are temporarily waived; however, plan participants may still request to receive a distribution equal to the RMD in 2020. Additionally, impacted participants could receive up to $100,000 in aggregate for in service withdrawals before December 31, 2020 and the 10% penalty tax for early withdrawal is

1. DESCRIPTION OF THE PLAN (Continued)

waived; and the taxes will be included in the impacted participant's taxable income over a three-year period. There are no limits to the number of such withdrawals each impacted participant could make; and the repayment of the withdrawal amount is permitted if repayment occurs within three years of distribution.

Loan provision changes under the CARES Act allow impacted participants to borrow up to the lesser of $100,000 or 100% of their vested balance for 180 days beginning March 27, 2020. Additionally, impacted participants may defer loan payments that are due from March 27, 2020 through December 31, 2020 and any subsequent loan payments will be adjusted appropriately to reflect the payment delay, and interest accruing during the delay and the revised loan term.

CONTRIBUTIONS

The Plan allows participants to contribute in whole percentages from 1% to 100% of their base compensation on a pretax basis or after-tax contribution ("Roth"), through payroll deductions. A participant's total contributions, in any Plan year, cannot exceed the limits provided under Section 415 of the Code. Participants who have attained age 50 before the end of the year are eligible to make catch-up contributions.

The Plan Sponsor has the option of making matching contributions and discretionary contributions but is not obligated to make such contributions. Contributions to the Plan include (i) salary reduction contributions authorized by participants, (ii) matching contributions made by the Company, (iii) discretionary contributions made by the Company; and (iv) participant rollovers from another qualified plan. Participants have the option to make Roth elective salary reduction contributions to the plan.

For the year ended December 31, 2020, the Company made a matching contribution equal to 30% of the amount of the participant's salary reduction contribution up to the first 4% of eligible compensation as defined by the Plan.

VESTING

Participants are 100% vested in their elective contribution and employer matching account. Upon termination of service, participants are eligible to receive a lump-sum amount equal to the value of their account.

PARTICIPANT ACCOUNTS

The Recordkeeper maintains an elective contribution account for each participant in the Plan. Each account is credited with the appropriate contributions and any earnings and/or gains/losses resulting from the investment of those contributions. Participants are charged with an allocation of administrative expenses that are paid by the Plan and upon any distributions from the participant accounts. Each participant may select the investment funds in which his or her contributions will be invested and may change his or her election anytime. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

BENEFITS, DISTRIBUTIONS AND WITHDRAWALS

On termination of service due to death, disability, retirement, or termination a participant may elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account or elect to keep the balance in the Plan. Participants with account balances under $5,000 are required to withdraw their balances from the Plan within 60 days. Participants with account balances of $5,000 or more may elect a distribution at any time following the termination of employment. Annuity payments are available if the participant selects one of the Voya Lifetime Income Protection Funds. All amounts are to be distributed in accordance with the minimum required distribution provisions of the Code.

1. DESCRIPTION OF THE PLAN (Continued)

NOTES RECEIVABLE FROM PARTICIPANTS

Participants are permitted to borrow from their accounts subject to certain limitations and conditions established to comply with the current requirements of the Code. All notes receivable made to participants are secured by their accounts. Participants may borrow up to 50% of their vested account balance not to exceed $50,000. Participants may have a maximum of one outstanding note receivable at any time.

Notes receivable may have a term of up to five years; however, the term can be extended up to 30 years if the loan is used to acquire or construct a principal residence of the participant. The interest rate on notes receivable is prime rate, plus 1% which ranges between 4.25% and 6.50% for the notes receivable as of December 31, 2020.

FORFEITED ACCOUNTS

At December 31, 2020 and 2019, forfeited nonvested account totaled $47,819 and $82,331, respectively. During the year ended December 31, 2020, $133,980 of forfeitures were used to fund employer contributions.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

BASIS OF ACCOUNTING

The accompanying financial statements are prepared in accordance with accounting principles generally accepted in the United States of America (“GAAP”) using the accrual method of accounting.

USE OF ESTIMATES

The preparation of financial statements in conformity with GAAP requires the Plan Administrator to make estimates and assumptions that affect the reported amounts of assets, liabilities and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from these estimates.

INVESTMENT VALUATION AND INCOME RECOGNITION

The Plan’s investments are stated at fair value except for fully benefit-responsive investment contracts (FBRICs). Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan.

Purchases and sales of securities are recorded on the trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan’s gains and losses on the investments bought and sold as well as held during the year.

NOTES RECEIVABLE FROM PARTICIPANTS

Notes receivable from participants are measured at their unpaid principal balance plus any accrued, but unpaid interest. There was no accrued or unpaid interest for 2020 or 2019. Related fees are recorded as administrative expenses and are expensed when they are incurred. No allowance for credit losses has been recorded as of December 31, 2020 or 2019. If a participant ceases to make loan repayments and the Plan Administrator deems the participant loan to be in default, the participant loan balance is reduced and a benefit payment is recorded.

CONTRIBUTIONS AND DISTRIBUTIONS

Participant contributions are recorded in the period during which the Company makes payroll deductions from the participants’ pay. Distributions, which include benefits and withdrawals, are recorded when paid. On termination of service with the Company (including termination of service due

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

to death, disability, or retirement), a participant may generally elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account.

EXCESS CONTRIBUTIONS PAYABLE
Amounts payable to participants for contributions in excess of amounts allowed by the Internal Revenue Service (IRS) are recorded as a liability with a corresponding reduction to participant contributions. The Plan had $0 and $49,091 excess contributions for 2020 and 2019, respectively. Excess contributions were distributed to the applicable participants prior to March 15 of the year after they were initially recorded as contributions.

PAYMENTS OF BENEFITS
Benefit payments to participants are recorded upon distribution. There were no participants who have elected to withdraw from the Plan but have not yet been paid as of December 31, 2020 and 2019.

3. FAIR VALUE MEASUREMENTS

Certain investments that are measured at fair value using net asset value ("NAV") per share (or its equivalent) as a practical expedient are not required to be categorized in the fair value hierarchy tables as described below in the "Pooled Separate Accounts" and "Collective Trust Funds" section.

As of December 31, 2020 and 2019, there were no investments that were measured at fair value using NAV per share (or its equivalent) as a practical expedient that were expected to be sold at a value materially different than NAV.

The Plan holds an investment contract that meets the criteria of a fully benefit-responsive investment contracts ("FBRIC"). These investment contracts are reported at contract value on the Statements of Net Assets Available for Benefits, as provided by the contract issuer. The contract value is the relevant measurement of the FBRICs because this is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan.

The contract value of the investment contracts represents contributions plus earnings, less participant withdrawals and administrative expenses.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2020 and 2019.

Pooled separate accounts are valued at the accumulated unit value ("AUV") of shares held by the Plan at year-end as determined by the Recordkeeper. Pooled separate accounts invest directly in designated mutual funds or, in some cases, collective investment trusts. The pooled separate accounts own and hold the underlying mutual fund or collective trust shares, which are valued daily at their NAV.

Plan participants hold "units of participation" in these pooled separate accounts offered by the Trustee.

The AUV is the value of each unit in the pooled separate account and is calculated daily. The AUV is first established when a new fund starts and is then determined daily based on the NAV of the shares of the underlying fund(s), the underlying funds' dividends and the separate account charges. Plan participants can redeem their pooled separate account units daily. There are no unfunded commitments, no defined remaining life, and there are no redemption notice periods or other restrictions at the measurement date.

Certain unobservable inputs are assessed through review of contract terms (for example, surrender date) while others are substantiated utilizing available market data (for example, Treasury bond rate).

3. FAIR VALUE MEASUREMENTS (Continued)

Collective trust funds are valued at NAV of units of an investment trust. The NAV is used as a practical expedient to estimate fair value. The practical expedient is not used when it is determined to be probable that the fund will sell the investment for an amount different than the reported NAV. Participant transactions (purchases and sales) may occur daily.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan Administrator believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The Data Analysis Inc. Investment Plan and Trust Committee determines the Plan's valuation policies utilizing information provided by the Recordkeeper.

The following table summarizes investments for which fair value is measured using NAV/AUV per share as a practical expedient. There are no participant redemption restrictions for these investments; the redemption notice period is applicable only to the Plan.

December 31, 2020	Fair value	Unfunded commitments	Redemption frequency (if currently eligible)	Redemption notice period
Pooled separate accounts	$58,135,825	n/a	Daily	1 day
Collective trust funds	$4,043,607	n/a	Daily	1 day

December 31, 2019	Fair value	Unfunded commitments	Redemption frequency (if currently eligible)	Redemption notice period
Pooled separate accounts	$49,116,154	n/a	Daily	1 day
Collective trust funds	$2,461,474	n/a	Daily	1 day

In accordance with ASC 820, for investments that file a U.S. Department of Labor Form 5500, Annual Return/Report of Employee Benefit Fund, as a direct filing entity, disclosures of that investment's strategy is not required. All the investment funds valued at NAV above file Form 5500 annually.

4. INVESTMENT CONTRACT WITH INSURANCE COMPANY

The Plan holds a fully benefit-responsive guaranteed investment contract with the Trustee. The Recordkeeper maintains the contributions in a general account. The account is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The guaranteed investment contract issuer is contractually obligated to repay the principal and a specified interest rate that is guaranteed to the Plan. The crediting rate is based on a formula established by the contract issuer but may not be less than zero percent. The crediting rate is reviewed on a monthly basis for resetting. The guaranteed investment contract does not permit the Trustee insurance company to terminate the agreement prior to the scheduled maturity date.

As described in Note 2, because the guaranteed investment contract is fully benefit-responsive, contract value is the relevant measurement for that portion of the net assets available for benefits attributable to the guaranteed investment contract. The guaranteed investment contract is presented on the face of the Statement of Net Assets Available for Benefits at contract value. Contract value, as reported to the Plan by the Trustee, represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value on a daily basis.

4. INVESTMENT CONTRACT WITH INSURANCE COMPANY (Continued)

The Plan's ability to receive amounts due is dependent on the issuer's ability to meet its financial obligations. The issuer's ability to meet its contractual obligations may be affected by future economic and regulatory developments.

Certain events limit the ability of the Plan to transact at contract value with the issuer. Such events include the following: (1) amendments to the Plan document, (2) changes to Plan's prohibition on competing investment options or deletion of equity wash provisions, or (3) other Plan Sponsor events that cause a significant withdrawal from the benefit-responsive contract.

In addition, certain events allow the issuer to terminate the contract with the Plan and settle at an amount different from contract value. Such events include the following: (1) an uncured violation of the Plan's investment guidelines, (2) a breach of a material obligation under the contract, (3) a material misrepresentation, or (4) a material amendment to the agreement without the consent of the issuer.

The Plan Administrator does not believe that any events that may limit the ability of the Plan to transact at contract value or terminate the contract with the issuer are probable as of December 31, 2020, or the date these financial statements are available to be issued.

5. INFORMATION CERTIFIED BY THE TRUSTEE

The following unaudited information included in the accompanying financial statements and the supplemental schedules were obtained or derived from data that has been supplied to the Plan Administrator and certified as complete and accurate by the Trustee in accordance with Section 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the ERISA:

- Investments at fair value of $62,179,432 and $51,577,628 at December 31, 2020 and 2019, respectively.
- Investments at contract value of $13,737,096 and $11,245,896 at December 31, 2020 and 2019, respectively.
- Interest and dividend income for the year ended December 31, 2020, of $329,592.
- Net appreciation in fair value of investments for the year ended December 31, 2020, of $12,574,534.
- Note 3 and 4: All investment balances and investment information.
- Supplemental Schedule: All investment balances and information included in the supplemental schedule of assets (held at end of year).

6. EXEMPT-PARTY-IN-INTEREST TRANSACTIONS

The Plan allows for transactions with certain parties who may perform services or have fiduciary responsibilities to the Plan, including the Company. The pooled separate accounts, collective trust funds and the benefit-responsive investment contract are managed by the Trustee. Fees paid for investment management services were included as a reduction of the return earned on each pooled separate account and collective trust fund. Participant notes receivable are related-party and party-in-interest transactions. These transactions qualify as party-in-interest transactions as permitted by Department of Labor regulations. In addition, the Plan invests in pooled separate accounts and the benefit-responsive investment contract and issues loans to participants, which are secured by the vested balances in the participants' accounts.

7. PLAN TERMINATION

Although it has not expressed any intent to do so, the Board of Directors of Data Analysis Inc. has the right at any time to amend the Plan or terminate the Plan, subject to the provisions of ERISA. In the event of Plan termination, all net assets available for distribution at the time of termination would be distributed to participants in proportion to their respective account balances.

8. RISK AND UNCERTAINTIES

The Plan provides for various investment options within the pooled separate accounts, collective trust funds, and fixed investment contract. Investment securities are exposed to various risks such as interest rate, market, and credit risk. Due to the level of risk associated with certain investment securities and the level of uncertainty related to changes in the value of investment securities, it is at least reasonably possible that changes in risk in the near term would materially affect participants' account balances and the amounts reported in the accompanying statements of net assets available for plan benefits and the statement of changes in net assets available for plan benefits. Market values of investments may decline for a number of reasons, including changes in prevailing market and interest rates, increases in defaults and credit rating downgrades.

In early 2020, an outbreak of a novel strain of coronavirus ("COVID-19") emerged globally. As a result, events have occurred domestically in the United States and globally, including mandates from federal, state and local authorities, leading to an overall decline in economic activity. The continuation of the effects of COVID-19 could result in significant disruption of global financial markets; however, the ultimate impact of COVID-19 on the financial performance of the Plan's investments cannot be reasonably estimated at this time.

9. TAX STATUS OF THE PLAN

The Plan has adopted a prototype plan prepared by the Trustee of the plan. This prototype plan has obtained its latest opinion letter on September 1, 2014, in which the IRS stated that the prototype plan, as then designed, was in compliance with the applicable requirements of the Code. Although the Plan has been amended since receiving the determination letter, the Plan Administrator and the Plan's tax counsel believe that the Plan is designed and is currently being operated in compliance with the applicable requirements of the Code and therefore believe that the Plan is qualified and the related trust is tax exempt.

GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan Administrator has analyzed the tax positions taken by the Plan and has concluded that as of December 31, 2020, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

10. NONEXEMPT TRANSACTIONS

As reported on the supplemental schedule of delinquent participant contributions (Schedule H, Line 4a), certain Plan contributions were not remitted to the trust within the time frame specified by the Department of Labor's Regulation 29 (CFR 2510.3-102), thus constituting nonexempt transactions between the Plan and the Company for the year ended December 31, 2020.

11. RECONCILIATION OF FINANCIAL STATEMENTS TO THE FORM 5500

A reconciliation of net assets available for benefits per the financial statements as of December 31, 2020 and 2019, to Form 5500 is as follows:

	December 31,	
	2020	**2019**
Net assets available for benefits at fair value per the financial statements	$ 76,375,707	$ 63,687,366
Less: Receivable employer contribution	-	(486,132)
Add: Return of excess participant contributions	-	49,091
Net assets available for benefits per the Form 5500	$ 76,375,707	$ 63,250,325

A reconciliation of net increase available for benefits and distributions paid to participants per the financial statements to the Form 5500 as filed by the Company for the year ended December 31, 2020, is as follows:

Net increase in assets available for benefits per the financial statements	$ 12,688,341
Less: Prior-year excess participant contributions	(49,091)
Add: Prior-year employer contributions	486,132
Net increase in assets available for benefits per Form 5500	$ 13,125,382

The Form 5500 was prepared under the modified cash basis of accounting in 2020 and 2019. These financial statements were prepared under the accrual basis of accounting in 2020 and 2019.

12. SUBSEQUENT EVENTS

The Plan administrator has evaluated subsequent events through October 15, 2021, the date the financial statements were available to be issued.

In May 2021, the Company completed the sale of Investor's Business Daily, Inc., which may result in the decrease in the Plan's contributions and the decrease in the Plan's net assets.

*****

Supplemental Schedules

Data Analysis Inc. Investment Plan and Trust
EIN# 95-3936696 PN: 001
Form 5500, Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2020

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investments including maturity date, rate of interest, collateral, par, or maturity date	(d) Cost **	(e) Current Value
	American Funds Am Balanced R6	Registered Investment Company		$ 2,194,349
	American Funds Fdmntl Inv R6	Registered Investment Company		4,449,982
	American Funds Wash Mtual R6	Registered Investment Company		2,646,085
	ClrBrg SmCp Grw Fd IS	Registered Investment Company		2,601,080
	DFA Emerging Markets Port Ins	Registered Investment Company		2,403,687
	DFA Real Estate Secs Port Ins	Registered Investment Company		647,030
	Inv Opp Intl Growth Fund R6	Registered Investment Company		1,724,124
	Inv Opp Main Street Fund R6	Registered Investment Company		752,901
	JPMorgan LgCp Grw Fnd R6	Registered Investment Company		17,371,512
	PGIM High Yield Fund R6	Registered Investment Company		652,904
	PIMCO Real Return Fund Ins	Registered Investment Company		669,244
	Vangrd Mid-Cap Index Fund Adm	Registered Investment Company		1,407,002
	Virtus Crdx MC Vl Eq Fd R6	Registered Investment Company		2,034,269
*	Voya Fixed Account	Insurance Company General Account		7,568,282
*	Voya Fixed Account (4062)	Insurance Company General Account		6,168,814
*	Voya Gv Mny Mkt F A (Hld Acct)	Registered Investment Company		14,904
*	Voya Intermediate Bond Fund R6	Registered Investment Company		1,362,343
*	Voya Large Cap Growth Fund 8	Common Collective Trust		2,020,063
*	Voya Lifetime Inc Prt 2025 II	Common Collective Trust		3,684
*	Voya Lifetime Inc Prt 2030 II	Common Collective Trust		112,036
*	Voya Lifetime Inc Prt 2040 II	Common Collective Trust		5,948
*	Voya Lifetime Inc Prt 2045 II	Common Collective Trust		190,311
*	Voya Mid-Cap Growth Eqty Fd 8	Common Collective Trust		1,711,565
*	Voya Target Retire 2025 Fnd R6	Registered Investment Company		2,728,816
*	Voya Target Retire 2030 Fnd R6	Registered Investment Company		1,220,076
*	Voya Target Retire 2035 Fnd R6	Registered Investment Company		4,450,425
*	Voya Target Retire 2040 Fnd R6	Registered Investment Company		508,729
*	Voya Target Retire 2045 Fnd R6	Registered Investment Company		3,315,303
*	Voya Target Retire 2050 Fnd R6	Registered Investment Company		963,775
*	Voya Target Retire 2055 Fnd R6	Registered Investment Company		1,167,470
*	Voya Target Retire 2060 Fnd R6	Registered Investment Company		495,134
*	Voya Target Retire 2065 Fnd R6	Registered Investment Company		3,356
*	Voya Tgt In-Retirement Fund R6	Registered Investment Company		301,650
*	VY Columbia SmCp Vl II P R6	Registered Investment Company		269,812
*	VY JPM SmCp Cr Eq Port R6	Registered Investment Company		1,779,863
*	Notes receivable from participants	The interest rate ranges from 4.25% to 6.50% and the loans are collateralized by the individual participant's account balances. The maturity dates range from 2020 through 2047.		459,179
	TOTAL			$ 76,375,707

* Denotes a party-in-interest, for which a statutory exemption exists.
** Cost information is omitted because all the Plan's investments are participant directed.

See accompanying Independent Auditor's Report.

Data Analysis Inc. Investment Plan and Trust
EIN# 95-3936696 PN: 001
Form 5500, Schedule H, line 4a - Schedule of Delinquent Participant Contributions
For the Year Ended December 31, 2020

Participant Contributions Transferred Late to Plan	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	Total Fully Corrected Under VFCP and PTE 2002-51
Late Participant Loan Repayments are included	$ -	$ 34,213	$ -	$ -

See accompanying Independent Auditor's Report.

Attachment to 2020 Form 5500
Schedule H, line 4i - Schedule of Assets
(Held at End of Year)
DATA ANALYSIS, INC. INVESTMENT PLAN AND TRUST
EIN 95-3936696
Plan#001
As Of December 31, 2020

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investments including maturity date, rate of interest, collateral, par, or maturity date	(d)C	(e) Current Value
	American Funds Am Balanced R6	Registered Investment Company		$2,194,349
	American Funds Fdmntl Inv R6	Registered Investment Company		$4,449,982
	American Funds Wash Mtual R6	Registered Investment Company		$2,646,085
	ClrBrg SmCp Grw Fd IS	Registered Investment Company		$2,601,081
	DFA Emerging Markets Pt Ins	Registered Investment Company		$2,403,687
	DFA Real Estate Secs Port Ins	Registered Investment Company		$647,030
	Inv Main Street Fd R6	Registered Investment Company		$752,901
	Inv Opp Intl Growth R6	Registered Investment Company		$1,724,124
	JPMorgan LgCp Grw Fnd R6	Registered Investment Company		$17,371,512
	PGIM High Yield Fund R6	Registered Investment Company		$652,904
	PIMCO Real Return Fund Ins	Registered Investment Company		$669,244
	Vangrd Mid-Cap Index Fund Adm	Registered Investment Company		$1,407,002
	Virtus Crdx MC Vl Eq Fd R6	Registered Investment Company		$2,034,269
*	Voya Fixed Account	Insurance Company General Account		$7,568,281
*	Voya Fixed Account (4062)	Insurance Company General Account		$6,168,814
*	Voya Gv Mny Mkt F A (Hld Acct)	Registered Investment Company		$14,904
*	Voya Intermediate Bond Fund R6	Registered Investment Company		$1,362,342
*	Voya Large Cap Growth Fund 8	Common Collective Trust		$2,020,063
*	Voya Lifetime Inc Prt 2025 II	Common Collective Trust		$3,684
*	Voya Lifetime Inc Prt 2030 II	Common Collective Trust		$112,036
*	Voya Lifetime Inc Prt 2040 II	Common Collective Trust		$5,948
*	Voya Lifetime Inc Prt 2045 II	Common Collective Trust		$190,311
*	Voya Mid-Cap Growth Eqty Fd 8	Common Collective Trust		$1,711,565
*	Voya Target Retire 2025 Fnd R6	Registered Investment Company		$2,728,816
*	Voya Target Retire 2030 Fnd R6	Registered Investment Company		$1,220,077
*	Voya Target Retire 2035 Fnd R6	Registered Investment Company		$4,450,425
*	Voya Target Retire 2040 Fnd R6	Registered Investment Company		$508,729
*	Voya Target Retire 2045 Fnd R6	Registered Investment Company		$3,315,303
*	Voya Target Retire 2050 Fnd R6	Registered Investment Company		$963,775
*	Voya Target Retire 2055 Fnd R6	Registered Investment Company		$1,167,470
*	Voya Target Retire 2060 Fnd R6	Registered Investment Company		$495,135
*	Voya Target Retire 2065 Fnd R6	Registered Investment Company		$3,356
*	Voya Tgt In-Retirement Fund R6	Registered Investment Company		$301,650
*	VY Columbia SmCp Vl II P R6	Registered Investment Company		$269,812
*	VY JPM SmCp Cr Eq Port R6	Registered Investment Company		$1,779,861
		Participants Loans - Rates 4.25% to 6.50%		459,178.97
	TOTAL			$76,375,707

* denotes party-in-interest
Column (d) is not required as the Plan's investments are totally participant directed.

Data Analysis Inc.
Investment Plan and Trust

Employer ID No: 95-3936696
Plan Number: 001

Financial Statements as of December 31, 2020
and 2019, and for the Year Ended December 31, 2020,
Supplemental Schedule as of December 31, 2020 and
Independent Auditor's Report

Data Analysis Inc. Investment Plan and Trust
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* Other schedules required by Section 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and not included herein have been omitted because they are not applicable

Independent Auditor's Report

To the Plan Administrator
Data Analysis Inc. Investment Plan and Trust

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Data Analysis Inc. Investment Plan and Trust (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2020 and 2019, and the related statement of changes in net assets available for benefits for the year ended December 31, 2020, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's ("DOL") Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by Voya Institutional Trust Company, the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained a certification from the trustee as of December 31, 2020 and 2019, and for the year ended December 31, 2020 and 2019, that the information provided to the Plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedules of assets (Held at End of Year) (Schedule H, Line 4i) and delinquent participant contributions (Schedule H, line 4a) as of or for the year ended December 31, 2020 is required by the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on these supplemental schedules.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

CohnReznick LLP

Los Angeles, California
October 15, 2021

DATA ANALYSIS INC. INVESTMENT PLAN AND TRUST
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
AS OF DECEMBER 31, 2020 AND 2019

	2020	2019
ASSETS:		
Investments at fair value	$ 62,179,432	$ 51,577,628
Investments at contract value	13,737,096	11,245,896
Notes receivable from participants	459,179	426,801
Receivable-employer contribution	-	486,132
Total assets	76,375,707	63,736,457
LIABILITIES:		
Excess participants' contributions payable	-	49,091
NET ASSETS AVAILABLE FOR BENEFITS	$ 76,375,707	$ 63,687,366

The accompanying notes are an integral part of these financial statements.

DATA ANALYSIS INC. INVESTMENT PLAN AND TRUST
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE YEAR ENDED DECEMBER 31, 2020

ADDITIONS:	
Net appreciation in fair value of investments	$12,574,534
Interest and dividend income	329,592
Total investment income	12,904,126
Interest income on notes receivable from participants	26,424
Participants' pretax contributions	4,577,950
Participants' Roth contributions	240,687
Employer contributions	664,556
Rollover contributions	550,757
Total contributions	6,033,950
Total additions	18,964,500
DEDUCTIONS:	
Benefits paid to participants	6,244,188
Administrative expenses	31,971
Total deductions	6,276,159
Net increase	12,688,341
Net assets available for benefits, beginning of year	63,687,366
Net assets available for benefits, end of year	$ 76,375,707

The accompanying notes are an integral part of these financial statements.

1. DESCRIPTION OF THE PLAN

The following description of the Data Analysis Inc. Investment Plan and Trust (the "Plan"), provides only general information. Participants should refer to the Plan document for a complete description of the Plan's provisions.

GENERAL

Data Analysis Inc. adopted the Plan effective as of January 1, 1990. The Plan is a defined contribution plan, which covers employees of Data Analysis Inc. and its affiliates (the "Company"), that include William O'Neil & Co. Incorporated; Investor's Business Daily, Inc.; O'Neil Global Advisors Inc.; O'Neil Digital Solutions LLC; and O'Neil Securities, Incorporated. The Plan is a defined contribution plan designed to provide participating employees the opportunity to accumulate retirement funds through a tax-deferred contribution arrangement pursuant to Section 401(k) of the Internal Revenue Code of 1986, as amended (the "Code"). In addition to the Code, the Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA"), as amended.

ADMINISTRATION OF THE PLAN

The Board of Directors of the Company is responsible for oversight of the Plan. The Plan committee, as appointed by the board of directors of the Company, determines the appropriateness of the Plan's investment offerings, monitors investment performance and reports to the Company's Board of Directors.

Voya Retirement Insurance and Annuity Company (the "Recordkeeper") is the current recordkeeper of the Plan. Further, Voya Institutional Trust Company ("Voya") (the "Trustee") serves as the trustee and custodian of the Plan. The Company acts as the Plan administrator (the "Plan Administrator") and the Plan sponsor (the "Plan Sponsor"). Most administrative expenses of maintaining the Plan are paid by the Company.

PARTICIPATION

Participation in the Plan is available to all eligible employees who have completed one month of service. Re-hired employees who were previously participants in the Plan are eligible to re-enter the Plan immediately.

The Plan accepts direct cash rollovers from other qualified plans regardless of whether the employee has met the Plan's eligibility requirements.

Employees who become eligible to participate in the Plan are automatically enrolled in the Plan to make pretax deferrals unless the employee affirmatively elects not to make pretax deferrals within the applicable time period as described by the Plan. The employee is deemed to have elected to contribute 4% of the employee's pretax compensation each payroll period into a Voya targeted fund selected based on age and estimated retirement until a subsequent valid election is made by the participant. Effective January 1, 2020, the plan was amended to increase the deferral amount by 1% of compensation up to a maximum of 7% of compensation at the beginning of each Plan year.

CARES ACT AMENDMENT

In March 2020, the Plan adopted all relevant provisions of the Coronavirus Aid, Relief, and Economic Security Act ("CARES Act"). No formal plan amendment to the Plan document was made as of December 31, 2020; however, the Plan Sponsor intends to amend the Plan document by the last day of the Plan year ending December 31, 2022, in accordance with applicable regulations.

Under the CARES Act, required minimum distributions ("RMD") for the 2020 calendar year are temporarily waived; however, plan participants may still request to receive a distribution equal to the RMD in 2020. Additionally, impacted participants could receive up to $100,000 in aggregate for in service withdrawals before December 31, 2020 and the 10% penalty tax for early withdrawal is

1. DESCRIPTION OF THE PLAN (Continued)

waived; and the taxes will be included in the impacted participant's taxable income over a three-year period. There are no limits to the number of such withdrawals each impacted participant could make; and the repayment of the withdrawal amount is permitted if repayment occurs within three years of distribution.

Loan provision changes under the CARES Act allow impacted participants to borrow up to the lesser of $100,000 or 100% of their vested balance for 180 days beginning March 27, 2020. Additionally, impacted participants may defer loan payments that are due from March 27, 2020 through December 31, 2020 and any subsequent loan payments will be adjusted appropriately to reflect the payment delay, and interest accruing during the delay and the revised loan term.

CONTRIBUTIONS

The Plan allows participants to contribute in whole percentages from 1% to 100% of their base compensation on a pretax basis or after-tax contribution ("Roth"), through payroll deductions. A participant's total contributions, in any Plan year, cannot exceed the limits provided under Section 415 of the Code. Participants who have attained age 50 before the end of the year are eligible to make catch-up contributions.

The Plan Sponsor has the option of making matching contributions and discretionary contributions but is not obligated to make such contributions. Contributions to the Plan include (i) salary reduction contributions authorized by participants, (ii) matching contributions made by the Company, (iii) discretionary contributions made by the Company; and (iv) participant rollovers from another qualified plan. Participants have the option to make Roth elective salary reduction contributions to the plan.

For the year ended December 31, 2020, the Company made a matching contribution equal to 30% of the amount of the participant's salary reduction contribution up to the first 4% of eligible compensation as defined by the Plan.

VESTING

Participants are 100% vested in their elective contribution and employer matching account. Upon termination of service, participants are eligible to receive a lump-sum amount equal to the value of their account.

PARTICIPANT ACCOUNTS

The Recordkeeper maintains an elective contribution account for each participant in the Plan. Each account is credited with the appropriate contributions and any earnings and/or gains/losses resulting from the investment of those contributions. Participants are charged with an allocation of administrative expenses that are paid by the Plan and upon any distributions from the participant accounts. Each participant may select the investment funds in which his or her contributions will be invested and may change his or her election anytime. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

BENEFITS, DISTRIBUTIONS AND WITHDRAWALS

On termination of service due to death, disability, retirement, or termination a participant may elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account or elect to keep the balance in the Plan. Participants with account balances under $5,000 are required to withdraw their balances from the Plan within 60 days. Participants with account balances of $5,000 or more may elect a distribution at any time following the termination of employment. Annuity payments are available if the participant selects one of the Voya Lifetime Income Protection Funds. All amounts are to be distributed in accordance with the minimum required distribution provisions of the Code.

1. DESCRIPTION OF THE PLAN (Continued)

NOTES RECEIVABLE FROM PARTICIPANTS

Participants are permitted to borrow from their accounts subject to certain limitations and conditions established to comply with the current requirements of the Code. All notes receivable made to participants are secured by their accounts. Participants may borrow up to 50% of their vested account balance not to exceed $50,000. Participants may have a maximum of one outstanding note receivable at any time.

Notes receivable may have a term of up to five years; however, the term can be extended up to 30 years if the loan is used to acquire or construct a principal residence of the participant. The interest rate on notes receivable is prime rate, plus 1% which ranges between 4.25% and 6.50% for the notes receivable as of December 31, 2020.

FORFEITED ACCOUNTS

At December 31, 2020 and 2019, forfeited nonvested account totaled $47,819 and $82,331, respectively. During the year ended December 31, 2020, $133,980 of forfeitures were used to fund employer contributions.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

BASIS OF ACCOUNTING

The accompanying financial statements are prepared in accordance with accounting principles generally accepted in the United States of America (“GAAP”) using the accrual method of accounting.

USE OF ESTIMATES

The preparation of financial statements in conformity with GAAP requires the Plan Administrator to make estimates and assumptions that affect the reported amounts of assets, liabilities and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from these estimates.

INVESTMENT VALUATION AND INCOME RECOGNITION

The Plan’s investments are stated at fair value except for fully benefit-responsive investment contracts (FBRICs). Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan.

Purchases and sales of securities are recorded on the trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan’s gains and losses on the investments bought and sold as well as held during the year.

NOTES RECEIVABLE FROM PARTICIPANTS

Notes receivable from participants are measured at their unpaid principal balance plus any accrued, but unpaid interest. There was no accrued or unpaid interest for 2020 or 2019. Related fees are recorded as administrative expenses and are expensed when they are incurred. No allowance for credit losses has been recorded as of December 31, 2020 or 2019. If a participant ceases to make loan repayments and the Plan Administrator deems the participant loan to be in default, the participant loan balance is reduced and a benefit payment is recorded.

CONTRIBUTIONS AND DISTRIBUTIONS

Participant contributions are recorded in the period during which the Company makes payroll deductions from the participants’ pay. Distributions, which include benefits and withdrawals, are recorded when paid. On termination of service with the Company (including termination of service due

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

to death, disability, or retirement), a participant may generally elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account.

EXCESS CONTRIBUTIONS PAYABLE
Amounts payable to participants for contributions in excess of amounts allowed by the Internal Revenue Service (IRS) are recorded as a liability with a corresponding reduction to participant contributions. The Plan had $0 and $49,091 excess contributions for 2020 and 2019, respectively. Excess contributions were distributed to the applicable participants prior to March 15 of the year after they were initially recorded as contributions.

PAYMENTS OF BENEFITS
Benefit payments to participants are recorded upon distribution. There were no participants who have elected to withdraw from the Plan but have not yet been paid as of December 31, 2020 and 2019.

3. FAIR VALUE MEASUREMENTS

Certain investments that are measured at fair value using net asset value ("NAV") per share (or its equivalent) as a practical expedient are not required to be categorized in the fair value hierarchy tables as described below in the "Pooled Separate Accounts" and "Collective Trust Funds" section.

As of December 31, 2020 and 2019, there were no investments that were measured at fair value using NAV per share (or its equivalent) as a practical expedient that were expected to be sold at a value materially different than NAV.

The Plan holds an investment contract that meets the criteria of a fully benefit-responsive investment contracts ("FBRIC"). These investment contracts are reported at contract value on the Statements of Net Assets Available for Benefits, as provided by the contract issuer. The contract value is the relevant measurement of the FBRICs because this is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan.

The contract value of the investment contracts represents contributions plus earnings, less participant withdrawals and administrative expenses.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2020 and 2019.

Pooled separate accounts are valued at the accumulated unit value ("AUV") of shares held by the Plan at year-end as determined by the Recordkeeper. Pooled separate accounts invest directly in designated mutual funds or, in some cases, collective investment trusts. The pooled separate accounts own and hold the underlying mutual fund or collective trust shares, which are valued daily at their NAV.

Plan participants hold "units of participation" in these pooled separate accounts offered by the Trustee.

The AUV is the value of each unit in the pooled separate account and is calculated daily. The AUV is first established when a new fund starts and is then determined daily based on the NAV of the shares of the underlying fund(s), the underlying funds' dividends and the separate account charges. Plan participants can redeem their pooled separate account units daily. There are no unfunded commitments, no defined remaining life, and there are no redemption notice periods or other restrictions at the measurement date.

Certain unobservable inputs are assessed through review of contract terms (for example, surrender date) while others are substantiated utilizing available market data (for example, Treasury bond rate).

3. FAIR VALUE MEASUREMENTS (Continued)

Collective trust funds are valued at NAV of units of an investment trust. The NAV is used as a practical expedient to estimate fair value. The practical expedient is not used when it is determined to be probable that the fund will sell the investment for an amount different than the reported NAV. Participant transactions (purchases and sales) may occur daily.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan Administrator believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The Data Analysis Inc. Investment Plan and Trust Committee determines the Plan's valuation policies utilizing information provided by the Recordkeeper.

The following table summarizes investments for which fair value is measured using NAV/AUV per share as a practical expedient. There are no participant redemption restrictions for these investments; the redemption notice period is applicable only to the Plan.

December 31, 2020	Fair value	Unfunded commitments	Redemption frequency (if currently eligible)	Redemption notice period
Pooled separate accounts	$58,135,825	n/a	Daily	1 day
Collective trust funds	$4,043,607	n/a	Daily	1 day

December 31, 2019	Fair value	Unfunded commitments	Redemption frequency (if currently eligible)	Redemption notice period
Pooled separate accounts	$49,116,154	n/a	Daily	1 day
Collective trust funds	$2,461,474	n/a	Daily	1 day

In accordance with ASC 820, for investments that file a U.S. Department of Labor Form 5500, Annual Return/Report of Employee Benefit Fund, as a direct filing entity, disclosures of that investment's strategy is not required. All the investment funds valued at NAV above file Form 5500 annually.

4. INVESTMENT CONTRACT WITH INSURANCE COMPANY

The Plan holds a fully benefit-responsive guaranteed investment contract with the Trustee. The Recordkeeper maintains the contributions in a general account. The account is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The guaranteed investment contract issuer is contractually obligated to repay the principal and a specified interest rate that is guaranteed to the Plan. The crediting rate is based on a formula established by the contract issuer but may not be less than zero percent. The crediting rate is reviewed on a monthly basis for resetting. The guaranteed investment contract does not permit the Trustee insurance company to terminate the agreement prior to the scheduled maturity date.

As described in Note 2, because the guaranteed investment contract is fully benefit-responsive, contract value is the relevant measurement for that portion of the net assets available for benefits attributable to the guaranteed investment contract. The guaranteed investment contract is presented on the face of the Statement of Net Assets Available for Benefits at contract value. Contract value, as reported to the Plan by the Trustee, represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value on a daily basis.

4. INVESTMENT CONTRACT WITH INSURANCE COMPANY (Continued)

The Plan's ability to receive amounts due is dependent on the issuer's ability to meet its financial obligations. The issuer's ability to meet its contractual obligations may be affected by future economic and regulatory developments.

Certain events limit the ability of the Plan to transact at contract value with the issuer. Such events include the following: (1) amendments to the Plan document, (2) changes to Plan's prohibition on competing investment options or deletion of equity wash provisions, or (3) other Plan Sponsor events that cause a significant withdrawal from the benefit-responsive contract.

In addition, certain events allow the issuer to terminate the contract with the Plan and settle at an amount different from contract value. Such events include the following: (1) an uncured violation of the Plan's investment guidelines, (2) a breach of a material obligation under the contract, (3) a material misrepresentation, or (4) a material amendment to the agreement without the consent of the issuer.

The Plan Administrator does not believe that any events that may limit the ability of the Plan to transact at contract value or terminate the contract with the issuer are probable as of December 31, 2020, or the date these financial statements are available to be issued.

5. INFORMATION CERTIFIED BY THE TRUSTEE

The following unaudited information included in the accompanying financial statements and the supplemental schedules were obtained or derived from data that has been supplied to the Plan Administrator and certified as complete and accurate by the Trustee in accordance with Section 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the ERISA:

- Investments at fair value of $62,179,432 and $51,577,628 at December 31, 2020 and 2019, respectively.
- Investments at contract value of $13,737,096 and $11,245,896 at December 31, 2020 and 2019, respectively.
- Interest and dividend income for the year ended December 31, 2020, of $329,592.
- Net appreciation in fair value of investments for the year ended December 31, 2020, of $12,574,534.
- Note 3 and 4: All investment balances and investment information.
- Supplemental Schedule: All investment balances and information included in the supplemental schedule of assets (held at end of year).

6. EXEMPT-PARTY-IN-INTEREST TRANSACTIONS

The Plan allows for transactions with certain parties who may perform services or have fiduciary responsibilities to the Plan, including the Company. The pooled separate accounts, collective trust funds and the benefit-responsive investment contract are managed by the Trustee. Fees paid for investment management services were included as a reduction of the return earned on each pooled separate account and collective trust fund. Participant notes receivable are related-party and party-in-interest transactions. These transactions qualify as party-in-interest transactions as permitted by Department of Labor regulations. In addition, the Plan invests in pooled separate accounts and the benefit-responsive investment contract and issues loans to participants, which are secured by the vested balances in the participants' accounts.

7. PLAN TERMINATION

Although it has not expressed any intent to do so, the Board of Directors of Data Analysis Inc. has the right at any time to amend the Plan or terminate the Plan, subject to the provisions of ERISA. In the event of Plan termination, all net assets available for distribution at the time of termination would be distributed to participants in proportion to their respective account balances.

8. RISK AND UNCERTAINTIES

The Plan provides for various investment options within the pooled separate accounts, collective trust funds, and fixed investment contract. Investment securities are exposed to various risks such as interest rate, market, and credit risk. Due to the level of risk associated with certain investment securities and the level of uncertainty related to changes in the value of investment securities, it is at least reasonably possible that changes in risk in the near term would materially affect participants' account balances and the amounts reported in the accompanying statements of net assets available for plan benefits and the statement of changes in net assets available for plan benefits. Market values of investments may decline for a number of reasons, including changes in prevailing market and interest rates, increases in defaults and credit rating downgrades.

In early 2020, an outbreak of a novel strain of coronavirus ("COVID-19") emerged globally. As a result, events have occurred domestically in the United States and globally, including mandates from federal, state and local authorities, leading to an overall decline in economic activity. The continuation of the effects of COVID-19 could result in significant disruption of global financial markets; however, the ultimate impact of COVID-19 on the financial performance of the Plan's investments cannot be reasonably estimated at this time.

9. TAX STATUS OF THE PLAN

The Plan has adopted a prototype plan prepared by the Trustee of the plan. This prototype plan has obtained its latest opinion letter on September 1, 2014, in which the IRS stated that the prototype plan, as then designed, was in compliance with the applicable requirements of the Code. Although the Plan has been amended since receiving the determination letter, the Plan Administrator and the Plan's tax counsel believe that the Plan is designed and is currently being operated in compliance with the applicable requirements of the Code and therefore believe that the Plan is qualified and the related trust is tax exempt.

GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan Administrator has analyzed the tax positions taken by the Plan and has concluded that as of December 31, 2020, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

10. NONEXEMPT TRANSACTIONS

As reported on the supplemental schedule of delinquent participant contributions (Schedule H, Line 4a), certain Plan contributions were not remitted to the trust within the time frame specified by the Department of Labor's Regulation 29 (CFR 2510.3-102), thus constituting nonexempt transactions between the Plan and the Company for the year ended December 31, 2020.

11. RECONCILIATION OF FINANCIAL STATEMENTS TO THE FORM 5500

A reconciliation of net assets available for benefits per the financial statements as of December 31, 2020 and 2019, to Form 5500 is as follows:

	December 31,	
	2020	**2019**
Net assets available for benefits at fair value per the financial statements	$ 76,375,707	$ 63,687,366
Less: Receivable employer contribution	-	(486,132)
Add: Return of excess participant contributions	-	49,091
Net assets available for benefits per the Form 5500	$ 76,375,707	$ 63,250,325

A reconciliation of net increase available for benefits and distributions paid to participants per the financial statements to the Form 5500 as filed by the Company for the year ended December 31, 2020, is as follows:

Net increase in assets available for benefits per the financial statements	$ 12,688,341
Less: Prior-year excess participant contributions	(49,091)
Add: Prior-year employer contributions	486,132
Net increase in assets available for benefits per Form 5500	$ 13,125,382

The Form 5500 was prepared under the modified cash basis of accounting in 2020 and 2019. These financial statements were prepared under the accrual basis of accounting in 2020 and 2019.

12. SUBSEQUENT EVENTS

The Plan administrator has evaluated subsequent events through October 15, 2021, the date the financial statements were available to be issued.

In May 2021, the Company completed the sale of Investor's Business Daily, Inc., which may result in the decrease in the Plan's contributions and the decrease in the Plan's net assets.

*****

Supplemental Schedules

Data Analysis Inc. Investment Plan and Trust
EIN# 95-3936696 PN: 001
Form 5500, Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2020

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investments including maturity date, rate of interest, collateral, par, or maturity date	(d) Cost **	(e) Current Value
	American Funds Am Balanced R6	Registered Investment Company		$ 2,194,349
	American Funds Fdmntl Inv R6	Registered Investment Company		4,449,982
	American Funds Wash Mtual R6	Registered Investment Company		2,646,085
	ClrBrg SmCp Grw Fd IS	Registered Investment Company		2,601,080
	DFA Emerging Markets Port Ins	Registered Investment Company		2,403,687
	DFA Real Estate Secs Port Ins	Registered Investment Company		647,030
	Inv Opp Intl Growth Fund R6	Registered Investment Company		1,724,124
	Inv Opp Main Street Fund R6	Registered Investment Company		752,901
	JPMorgan LgCp Grw Fnd R6	Registered Investment Company		17,371,512
	PGIM High Yield Fund R6	Registered Investment Company		652,904
	PIMCO Real Return Fund Ins	Registered Investment Company		669,244
	Vangrd Mid-Cap Index Fund Adm	Registered Investment Company		1,407,002
	Virtus Crdx MC Vl Eq Fd R6	Registered Investment Company		2,034,269
*	Voya Fixed Account	Insurance Company General Account		7,568,282
*	Voya Fixed Account (4062)	Insurance Company General Account		6,168,814
*	Voya Gv Mny Mkt F A (Hld Acct)	Registered Investment Company		14,904
*	Voya Intermediate Bond Fund R6	Registered Investment Company		1,362,343
*	Voya Large Cap Growth Fund 8	Common Collective Trust		2,020,063
*	Voya Lifetime Inc Prt 2025 II	Common Collective Trust		3,684
*	Voya Lifetime Inc Prt 2030 II	Common Collective Trust		112,036
*	Voya Lifetime Inc Prt 2040 II	Common Collective Trust		5,948
*	Voya Lifetime Inc Prt 2045 II	Common Collective Trust		190,311
*	Voya Mid-Cap Growth Eqty Fd 8	Common Collective Trust		1,711,565
*	Voya Target Retire 2025 Fnd R6	Registered Investment Company		2,728,816
*	Voya Target Retire 2030 Fnd R6	Registered Investment Company		1,220,076
*	Voya Target Retire 2035 Fnd R6	Registered Investment Company		4,450,425
*	Voya Target Retire 2040 Fnd R6	Registered Investment Company		508,729
*	Voya Target Retire 2045 Fnd R6	Registered Investment Company		3,315,303
*	Voya Target Retire 2050 Fnd R6	Registered Investment Company		963,775
*	Voya Target Retire 2055 Fnd R6	Registered Investment Company		1,167,470
*	Voya Target Retire 2060 Fnd R6	Registered Investment Company		495,134
*	Voya Target Retire 2065 Fnd R6	Registered Investment Company		3,356
*	Voya Tgt In-Retirement Fund R6	Registered Investment Company		301,650
*	VY Columbia SmCp Vl II P R6	Registered Investment Company		269,812
*	VY JPM SmCp Cr Eq Port R6	Registered Investment Company		1,779,863
*	Notes receivable from participants	The interest rate ranges from 4.25% to 6.50% and the loans are collateralized by the individual participant's account balances. The maturity dates range from 2020 through 2047.		459,179
	TOTAL			$ 76,375,707

* Denotes a party-in-interest, for which a statutory exemption exists.
** Cost information is omitted because all the Plan's investments are participant directed.

See accompanying Independent Auditor's Report.

Data Analysis Inc. Investment Plan and Trust
EIN# 95-3936696 PN: 001
Form 5500, Schedule H, line 4a - Schedule of Delinquent Participant Contributions
For the Year Ended December 31, 2020

Participant Contributions Transferred Late to Plan	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	Total Fully Corrected Under VFCP and PTE 2002-51
Late Participant Loan Repayments are included	$ -	$ 34,213	$ -	$ -

See accompanying Independent Auditor's Report.