

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 <div style="text-align: center; font-size: 1.2em; font-weight: bold;">2020</div> This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2020 or fiscal plan year beginning <u>09/28/2020</u> and ending <u>12/31/2020</u>	
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☒ the first return/report ☐ the final return/report ☒ an amended return/report ☒ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)

Part II	Basic Plan Information —enter all requested information				
1a Name of plan <u>SIEMENS ENERGY, INC. SAVINGS PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>021</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>09/28/2020</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>021</u>	1c Effective date of plan <u>09/28/2020</u>	
1b Three-digit plan number (PN) ▶	<u>021</u>				
1c Effective date of plan <u>09/28/2020</u>					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>SIEMENS ENERGY, INC.</u> <u>4400 N. ALAFAYA TRAIL</u> <u>ORLANDO, FL 32826</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>13-3987280</u></td> </tr> <tr> <td>2c Plan Sponsor's telephone number <u>407-736-2000</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>221100</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>13-3987280</u>	2c Plan Sponsor's telephone number <u>407-736-2000</u>	2d Business code (see instructions) <u>221100</u>	
2b Employer Identification Number (EIN) <u>13-3987280</u>					
2c Plan Sponsor's telephone number <u>407-736-2000</u>					
2d Business code (see instructions) <u>221100</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	11/08/2021	BRIAN REED
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2020)
v. 200204

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN
5 Total number of participants at the beginning of the plan year	5 10425
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year..... a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	6a(1) 10425 6a(2) 10063 6b 55 6c 3560 6d 13678 6e 196 6f 13874 6g 13844 6h 40
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2G 2H 2J 2K 2R 2S 3F 3H b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	
9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☒ <u>6</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2020 Form M-1 annual report. If the plan was not required to file the 2020 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

<div>SCHEDULE A (Form 5500) <div>Department of the Treasury Internal Revenue Service</div><div>Department of Labor Employee Benefits Security Administration</div><div>Pension Benefit Guaranty Corporation</div></div>	<div>Insurance Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div> <div>▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).</div>	<div>OMB No. 1210-0110</div> <div>2020</div> <div>This Form is Open to Public Inspection</div>
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For calendar plan year 2020 or fiscal plan year beginning 09/28/2020 and ending 12/31/2020		
A Name of plan SIEMENS ENERGY, INC. SAVINGS PLAN	B Three-digit plan number (PN) ▶	021
C Plan sponsor's name as shown on line 2a of Form 5500 SIEMENS ENERGY, INC.	D Employer Identification Number (EIN) 13-3987280	

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions	Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier
NATIONWIDE LIFE INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
31-4156830	66869	INV_SME_IP_1020	0	09/28/2020	12/31/2020

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

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	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end **4** 83995138**5** Current value of plan's interest under this contract in separate accounts at year end..... **5****6** Contracts With Allocated Funds:**a** State the basis of premium rates ▶**b** Premiums paid to carrier **6b****c** Premiums due but unpaid at the end of the year **6c****d** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. **6d**
Specify nature of costs ▶**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity(3) ☐ other (specify) ▶**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee(3) ☒ guaranteed investment (4) ☐ other ▶**b** Balance at the end of the previous year **7b** 0**c** Additions: (1) Contributions deposited during the year **7c(1)** 85653260
(2) Dividends and credits..... **7c(2)**
(3) Interest credited during the year..... **7c(3)** 40108
(4) Transferred from separate account..... **7c(4)**
(5) Other (specify below) **7c(5)**(6) Total additions **7c(6)** 85693368**d** Total of balance and additions (add lines **7b** and **7c(6)**). **7d** 85693368**e** Deductions:(1) Disbursed from fund to pay benefits or purchase annuities during year **7e(1)** 2059210(2) Administration charge made by carrier..... **7e(2)**(3) Transferred to separate account..... **7e(3)**(4) Other (specify below) **7e(4)**(5) Total deductions **7e(5)** 2059210**f** Balance at the end of the current year (subtract line **7e(5)** from line **7d**)..... **7f** 83634158

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
b ☐ Dental
c ☐ Vision
d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
f ☐ Long-term disability
g ☐ Supplemental unemployment
h ☐ Prescription drug
i ☐ Stop loss (large deductible)
j ☐ HMO contract
k ☐ PPO contract
l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	0
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	0
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

<div>SCHEDULE A (Form 5500) <div>Department of the Treasury Internal Revenue Service</div><div>Department of Labor Employee Benefits Security Administration</div><div>Pension Benefit Guaranty Corporation</div></div>	<div>Insurance Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div> <div>▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).</div>	<div>OMB No. 1210-0110</div> <div>2020</div> <div>This Form is Open to Public Inspection</div>
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For calendar plan year 2020 or fiscal plan year beginning 09/28/2020 and ending 12/31/2020		
A Name of plan SIEMENS ENERGY, INC. SAVINGS PLAN	B Three-digit plan number (PN) ▶	021
C Plan sponsor's name as shown on line 2a of Form 5500 SIEMENS ENERGY, INC.	D Employer Identification Number (EIN) 13-3987280	

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions	Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier
PACIFIC LIFE INSURANCE

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
95-1079000	67466	G-27844.01.0001	0	09/28/2020	12/31/2020

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

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	(c) Amount	(d) Purpose	

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(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end **4** 76688557**5** Current value of plan's interest under this contract in separate accounts at year end..... **5****6** Contracts With Allocated Funds:**a** State the basis of premium rates ▶**b** Premiums paid to carrier **6b****c** Premiums due but unpaid at the end of the year **6c****d** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. **6d**
Specify nature of costs ▶**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity(3) ☐ other (specify) ▶**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee(3) ☒ guaranteed investment (4) ☐ other ▶**b** Balance at the end of the previous year **7b** 0**c** Additions: (1) Contributions deposited during the year **7c(1)** 78053580
(2) Dividends and credits..... **7c(2)**
(3) Interest credited during the year..... **7c(3)** 514375
(4) Transferred from separate account..... **7c(4)**
(5) Other (specify below) **7c(5)**
▶(6) Total additions **7c(6)** 78567955**d** Total of balance and additions (add lines **7b** and **7c(6)**). **7d** 78567955**e** Deductions:(1) Disbursed from fund to pay benefits or purchase annuities during year **7e(1)** 1879398(2) Administration charge made by carrier..... **7e(2)**(3) Transferred to separate account..... **7e(3)**(4) Other (specify below) **7e(4)**
▶(5) Total deductions **7e(5)** 1879398**f** Balance at the end of the current year (subtract line **7e(5)** from line **7d**)..... **7f** 76688557

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
b ☐ Dental
c ☐ Vision
d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
f ☐ Long-term disability
g ☐ Supplemental unemployment
h ☐ Prescription drug
i ☐ Stop loss (large deductible)
j ☐ HMO contract
k ☐ PPO contract
l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	0
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	0
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

<div>SCHEDULE A (Form 5500) <div>Department of the Treasury Internal Revenue Service</div><div>Department of Labor Employee Benefits Security Administration</div><div>Pension Benefit Guaranty Corporation</div></div>	<div>Insurance Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div> <div>▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).</div>	<div>OMB No. 1210-0110</div> <div>2020</div> <div>This Form is Open to Public Inspection</div>
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For calendar plan year 2020 or fiscal plan year beginning 09/28/2020 and ending 12/31/2020	
A Name of plan SIEMENS ENERGY, INC. SAVINGS PLAN	B Three-digit plan number (PN) ▶ 021
C Plan sponsor's name as shown on line 2a of Form 5500 SIEMENS ENERGY, INC.	D Employer Identification Number (EIN) 13-3987280

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions	Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier
PRUDENTIAL INSURANCE COMPANY OF AMERICA

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
22-1211670	68241	GA-64289	0	09/28/2020	12/31/2020

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
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	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end **4** 76236223**5** Current value of plan's interest under this contract in separate accounts at year end..... **5****6** Contracts With Allocated Funds:**a** State the basis of premium rates ▶**b** Premiums paid to carrier **6b****c** Premiums due but unpaid at the end of the year **6c****d** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. **6d**
Specify nature of costs ▶**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity(3) ☐ other (specify) ▶**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee(3) ☒ guaranteed investment (4) ☐ other ▶**b** Balance at the end of the previous year **7b** 0**c** Additions: (1) Contributions deposited during the year **7c(1)** 77643273
(2) Dividends and credits..... **7c(2)**
(3) Interest credited during the year..... **7c(3)** 461522
(4) Transferred from separate account..... **7c(4)**
(5) Other (specify below) **7c(5)**(6) Total additions **7c(6)** 78104795**d** Total of balance and additions (add lines **7b** and **7c(6)**). **7d** 78104795**e** Deductions:(1) Disbursed from fund to pay benefits or purchase annuities during year **7e(1)** 1868572(2) Administration charge made by carrier..... **7e(2)**(3) Transferred to separate account..... **7e(3)**(4) Other (specify below) **7e(4)**(5) Total deductions **7e(5)** 1868572**f** Balance at the end of the current year (subtract line **7e(5)** from line **7d**)..... **7f** 76236223

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
b ☐ Dental
c ☐ Vision
d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
f ☐ Long-term disability
g ☐ Supplemental unemployment
h ☐ Prescription drug
i ☐ Stop loss (large deductible)
j ☐ HMO contract
k ☐ PPO contract
l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	0
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	0
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

<div>SCHEDULE A</div> <div>(Form 5500)</div> <div>Department of the Treasury Internal Revenue Service</div> <div>Department of Labor Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div>	<div>Insurance Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div> <div>▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).</div>	<div>OMB No. 1210-0110</div> <div>2020</div> <div>This Form is Open to Public Inspection</div>
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For calendar plan year 2020 or fiscal plan year beginning 09/28/2020 and ending 12/31/2020	
A Name of plan SIEMENS ENERGY, INC. SAVINGS PLAN	B Three-digit plan number (PN) ▶ 021
C Plan sponsor's name as shown on line 2a of Form 5500 SIEMENS ENERGY, INC.	D Employer Identification Number (EIN) 13-3987280

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions	Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier
TRANSAMERICA LIFE INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
39-0989781	86231	FDA00052TR	0	09/28/2020	12/31/2020

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end **4** 78533437**5** Current value of plan's interest under this contract in separate accounts at year end..... **5****6** Contracts With Allocated Funds:**a** State the basis of premium rates ▶**b** Premiums paid to carrier **6b****c** Premiums due but unpaid at the end of the year **6c****d** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. **6d**
Specify nature of costs ▶**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity(3) ☐ other (specify) ▶**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee(3) ☒ guaranteed investment (4) ☐ other ▶**b** Balance at the end of the previous year **7b** 0**c** Additions: (1) Contributions deposited during the year **7c(1)** 80029422
(2) Dividends and credits..... **7c(2)**
(3) Interest credited during the year..... **7c(3)** 429120
(4) Transferred from separate account..... **7c(4)**
(5) Other (specify below) **7c(5)**
▶(6) Total additions **7c(6)** 80458542**d** Total of balance and additions (add lines **7b** and **7c(6)**). **7d** 80458542**e** Deductions:(1) Disbursed from fund to pay benefits or purchase annuities during year **7e(1)** 1925105(2) Administration charge made by carrier..... **7e(2)**(3) Transferred to separate account..... **7e(3)**(4) Other (specify below) **7e(4)**
▶(5) Total deductions **7e(5)** 1925105**f** Balance at the end of the current year (subtract line **7e(5)** from line **7d**)..... **7f** 78533437

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	0
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	0
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

<div>SCHEDULE A (Form 5500) <div>Department of the Treasury Internal Revenue Service</div><div>Department of Labor Employee Benefits Security Administration</div><div>Pension Benefit Guaranty Corporation</div></div>	<div>Insurance Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div> <div>▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).</div>	<div>OMB No. 1210-0110</div> <div>2020</div> <div>This Form is Open to Public Inspection</div>
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For calendar plan year 2020 or fiscal plan year beginning 09/28/2020 and ending 12/31/2020	
A Name of plan SIEMENS ENERGY, INC. SAVINGS PLAN	B Three-digit plan number (PN) ▶ 021
C Plan sponsor's name as shown on line 2a of Form 5500 SIEMENS ENERGY, INC.	D Employer Identification Number (EIN) 13-3987280

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions	Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier
STATE STREET BANK AND TRUST

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
04-1867445	00000	200005	0	09/28/2020	12/31/2020

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end **4** 59632015**5** Current value of plan's interest under this contract in separate accounts at year end..... **5****6** Contracts With Allocated Funds:**a** State the basis of premium rates ▶**b** Premiums paid to carrier **6b****c** Premiums due but unpaid at the end of the year **6c****d** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. **6d**
Specify nature of costs ▶**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity(3) ☐ other (specify) ▶**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee(3) ☒ guaranteed investment (4) ☐ other ▶**b** Balance at the end of the previous year **7b** 0**c** Additions: (1) Contributions deposited during the year **7c(1)** 60761253
(2) Dividends and credits..... **7c(2)**
(3) Interest credited during the year..... **7c(3)** 332544
(4) Transferred from separate account..... **7c(4)**
(5) Other (specify below) **7c(5)**
▶(6) Total additions **7c(6)** 61093797**d** Total of balance and additions (add lines **7b** and **7c(6)**) **7d** 61093797**e** Deductions:(1) Disbursed from fund to pay benefits or purchase annuities during year **7e(1)** 1461782(2) Administration charge made by carrier..... **7e(2)**(3) Transferred to separate account..... **7e(3)**(4) Other (specify below) **7e(4)**
▶(5) Total deductions **7e(5)** 1461782**f** Balance at the end of the current year (subtract line **7e(5)** from line **7d**) **7f** 59632015

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
b ☐ Dental
c ☐ Vision
d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
f ☐ Long-term disability
g ☐ Supplemental unemployment
h ☐ Prescription drug
i ☐ Stop loss (large deductible)
j ☐ HMO contract
k ☐ PPO contract
l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	0
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	0
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

<div>SCHEDULE A</div> <div>(Form 5500)</div> <div>Department of the Treasury</div> <div>Internal Revenue Service</div> <div>Department of Labor</div> <div>Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div>	<div>Insurance Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div> <div>▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).</div>	<div>OMB No. 1210-0110</div> <div>2020</div> <div>This Form is Open to Public Inspection</div>
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For calendar plan year 2020 or fiscal plan year beginning 09/28/2020 and ending 12/31/2020	
A Name of plan SIEMENS ENERGY, INC. SAVINGS PLAN	B Three-digit plan number (PN) ▶ 021
C Plan sponsor's name as shown on line 2a of Form 5500 SIEMENS ENERGY, INC.	D Employer Identification Number (EIN) 13-3987280

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions	Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier
VOYA RETIREMENT & ANNUITY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
71-0294708	86509	60516	0	09/28/2020	12/31/2020

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end **4** 92026890**5** Current value of plan's interest under this contract in separate accounts at year end..... **5****6** Contracts With Allocated Funds:**a** State the basis of premium rates ▶**b** Premiums paid to carrier **6b****c** Premiums due but unpaid at the end of the year **6c****d** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. **6d**
Specify nature of costs ▶**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity(3) ☐ other (specify) ▶**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee(3) ☒ guaranteed investment (4) ☐ other ▶**b** Balance at the end of the previous year **7b** 0**c** Additions: (1) Contributions deposited during the year **7c(1)** 93791305
(2) Dividends and credits..... **7c(2)**
(3) Interest credited during the year..... **7c(3)** 491518
(4) Transferred from separate account..... **7c(4)**
(5) Other (specify below) **7c(5)**
▶(6) Total additions **7c(6)** 94282823**d** Total of balance and additions (add lines **7b** and **7c(6)**) **7d** 94282823**e** Deductions:(1) Disbursed from fund to pay benefits or purchase annuities during year **7e(1)** 2255933(2) Administration charge made by carrier..... **7e(2)**(3) Transferred to separate account..... **7e(3)**(4) Other (specify below) **7e(4)**
▶(5) Total deductions **7e(5)** 2255933**f** Balance at the end of the current year (subtract line **7e(5)** from line **7d**) **7f** 92026890

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	0
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	0
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2020
		This Form is Open to Public Inspection.

For calendar plan year 2020 or fiscal plan year beginning 09/28/2020 and ending 12/31/2020		
A Name of plan SIEMENS ENERGY, INC. SAVINGS PLAN	B Three-digit plan number (PN) ▶	021
C Plan sponsor's name as shown on line 2a of Form 5500 SIEMENS ENERGY, INC.	D Employer Identification Number (EIN) 13-3987280	

Part I	Service Provider Information (see instructions)
---------------	--

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
NORTHERN TRUST CORPORATION
36-2723087

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
STATE STREET GLOBAL ADVISORS
04-1867445

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

INVESCO TRUST COMPANY

46-3793325

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51 68	NONE	428082	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

BLACKROCK INSTITUTIONAL TRUST CO

94-3112180

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51	NONE	306200	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

MFS

04-3247425

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51	NONE	166526	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ALIGHT SOLUTIONS LLC

82-1061233

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 50	NONE	159780	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

PERSHING LLC

13-2741729

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
59 61 71 99	NONE	0	Yes ☒ No ☐	Yes ☒ No ☐	147769	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

CHAMPLAIN INVESTMENT PARTNERS

180 BATTERY STREET
BURLINGTON, VT 05401

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51	NONE	115816	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

SCHRODER INVESTMENT MANAGEMENT

13-4064414

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51	NONE	71766	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

DIMENSIONAL FUND ADVISORS LP

30-0447847

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51	NONE	63811	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

ARTISAN PARTNERS

30-0551775

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51 68	NONE	58867	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

HOTCHKIS AND WILEY CAPITAL MGMT

95-4871957

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51	NONE	53377	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

PIMCO

33-0629048

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51	NONE	37953	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

LOOMIS SAYLES AND COMPANY

04-3200030

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50 51	NONE	24405	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

THE NORTHERN TRUST COMPANY

36-1561860

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 49 50 52 59	NONE	12646	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

JPMORGAN CHASE BANK, N.A.

13-4994650

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 52 62 71 72	NONE	0	Yes ☒ No ☐	Yes ☒ No ☐	3905	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

ALIGHT FINANCIAL SOLUTIONS, LLC

82-1061233

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
33 61 63	NONE	0	Yes ☒ No ☐	Yes ☒ No ☐	1427	Yes ☐ No ☒

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
PERSHING LLC	59 61 71 99	147769
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MULTIPLE MUTUAL FUND COMPANIES 13-2741729	SHAREHOLDER SERVICING FEES/FINDER'S FEES/SECURITIES BROKERAGE COMMISSIONS AND FEES/OTHER FEES	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
JPMORGAN CHASE BANK, N.A.	72	3905
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
J.P. MORGAN INVESTMENT MGMT INC. 245 PARK AVENUE NEW YORK, NY 10167	REVENUE SHARE ON MUTUAL FUNDS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ALIGHT FINANCIAL SOLUTIONS, LLC	63	1026
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MULTIPLE MUTUAL FUND COMPANIES 82-1061233	AGGREGATED INVESTMENT MANAGER FUND FEES	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ALIGHT FINANCIAL SOLUTIONS, LLC	61	401
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PERSHING LLC 13-2741729	FINDER'S FEES	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2020 This Form is Open to Public Inspection.
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For calendar plan year 2020 or fiscal plan year beginning 09/28/2020 and ending 12/31/2020

A Name of plan <u>SIEMENS ENERGY, INC. SAVINGS PLAN</u>	B Three-digit plan number (PN) ►	<u>021</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>SIEMENS ENERGY, INC.</u>	D Employer Identification Number (EIN) <u>13-3987280</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: <u>SCHRODER EMERGING MARKETS FUND</u>		
b Name of sponsor of entity listed in (a): <u>SCHRODER INVESTMENT MANAGEMENT NA INC.</u>		
c EIN-PN <u>13-6877903-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>35305769</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>NT EMERGING MARKETS INDEX FUND</u>		
b Name of sponsor of entity listed in (a): <u>NORTHERN TRUST INVESTMENTS, INC.</u>		
c EIN-PN <u>27-6482678-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>10899207</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>NT COLLECTIVE S&P 500 INDEX FUND</u>		
b Name of sponsor of entity listed in (a): <u>NORTHERN TRUST INVESTMENTS, INC.</u>		
c EIN-PN <u>45-6138589-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>750910234</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>NT COLLECTIVE S&P 400 INDEX FUND</u>		
b Name of sponsor of entity listed in (a): <u>NORTHERN TRUST INVESTMENTS, INC.</u>		
c EIN-PN <u>45-6138589-004</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>65711012</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>STATE STREET U.S. HIGH YIELD BOND</u>		
b Name of sponsor of entity listed in (a): <u>STATE STREET GLOBAL ADVISORS TRUST COMPANY</u>		
c EIN-PN <u>90-0337987-231</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>5039342</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>INTERNATIONAL EQUITY INDEX FUND</u>		
b Name of sponsor of entity listed in (a): <u>BLACKROCK INSTITUTIONAL TRUST COMPANY, N.A.</u>		
c EIN-PN <u>20-3747528-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>109127897</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>INVESCO EMERGING MARKETS EQUITY</u>		
b Name of sponsor of entity listed in (a): <u>INVESCO TRUST COMPANY</u>		
c EIN-PN <u>51-0423349-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>34677522</u>

a Name of MTIA, CCT, PSA, or 103-12 IE: CORE BOND FUND

b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST COMPANY, N.A.

c EIN-PN 94-6746903-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 184976161
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2020 This Form is Open to Public Inspection
For calendar plan year 2020 or fiscal plan year beginning <u>09/28/2020</u> and ending <u>12/31/2020</u>		
A Name of plan <u>SIEMENS ENERGY, INC. SAVINGS PLAN</u>	B Three-digit plan number (PN) ►	<u>021</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>SIEMENS ENERGY, INC.</u>	D Employer Identification Number (EIN) <u>13-3987280</u>	

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	0	18942910
(2) Participant contributions.....	1b(2)	0	2931243
(3) Other	1b(3)	0	2738258
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)	0	114104
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	0	27532670
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)	0	233423772
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	0	35275442
(9) Value of interest in common/collective trusts	1c(9)	0	1196647144
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	0	121939128
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	0	467112262
(15) Other.....	1c(15)	0	1244887985

		(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:		
(1)	Employer securities.....	1d(1)	
(2)	Employer real property.....	1d(2)	
e	Buildings and other property used in plan operation	1e	
f	Total assets (add all amounts in lines 1a through 1e)	1f	0 3351544918
Liabilities			
g	Benefit claims payable	1g	0 1805550
h	Operating payables	1h	
i	Acquisition indebtedness.....	1i	
j	Other liabilities.....	1j	0 1524269
k	Total liabilities (add all amounts in lines 1g through 1j)	1k	0 3329819
Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	0 3348215099

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
Income			
a	Contributions:		
(1)	Received or receivable in cash from: (A) Employers	2a(1)(A)	36909372
	(B) Participants	2a(1)(B)	34995645
	(C) Others (including rollovers).....	2a(1)(C)	3031117
(2)	Noncash contributions	2a(2)	
(3)	Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)	74936134
b	Earnings on investments:		
(1)	Interest:		
	(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	
	(B) U.S. Government securities	2b(1)(B)	2253
	(C) Corporate debt instruments	2b(1)(C)	367651
	(D) Loans (other than to participants)	2b(1)(D)	
	(E) Participant loans.....	2b(1)(E)	387494
	(F) Other	2b(1)(F)	2630169
	(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)	3387567
(2)	Dividends: (A) Preferred stock.....	2b(2)(A)	
	(B) Common stock	2b(2)(B)	
	(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	1635918
	(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)	1635918
(3)	Rents	2b(3)	
(4)	Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	
	(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	
	(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)	0
(5)	Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	
	(B) Other	2b(5)(B)	72784344
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)	72784344

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		233093102
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		
c Other income	2c		
d Total income. Add all income amounts in column (b) and enter total.....	2d		385837065

Expenses**e** Benefit payment and payments to provide benefits:

(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	53673874	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		53673874
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees	2i(1)	124489	
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)	1376612	
(4) Other.....	2i(4)		
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		1501101
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		55174975

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		330662090
l Transfers of assets:			
(1) To this plan.....	2l(1)		3017553009
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unmodified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: ERNST & YOUNG LLP

(2) EIN: 34-6565596

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		10000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?			
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☐ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

<div>SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Retirement Plan Information</div> <div>This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500.</div>	<div>OMB No. 1210-0110</div> <div>2020</div> <div>This Form is Open to Public Inspection.</div>
For calendar plan year 2020 or fiscal plan year beginning 09/28/2020 and ending 12/31/2020		
A Name of plan SIEMENS ENERGY, INC. SAVINGS PLAN		B Three-digit plan number (PN) ▶ 021
C Plan sponsor's name as shown on line 2a of Form 5500 SIEMENS ENERGY, INC.		D Employer Identification Number (EIN) 13-3987280
Part I	Distributions	
All references to distributions relate only to payments of benefits during the plan year.		
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....		1 0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 13-3795042		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year		3
Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)	
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ☐ Yes ☐ No ☐ N/A If the plan is a defined benefit plan, go to line 8.		
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.		
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)		6a
b Enter the amount contributed by the employer to the plan for this plan year		6b
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....		6c
If you completed line 6c, skip lines 8 and 9.		
7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ☐ Yes ☐ No ☐ N/A		
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ☐ Yes ☐ No ☐ N/A		
Part III	Amendments	
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... ☐ Increase ☐ Decrease ☐ Both ☐ No		
Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.	
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ☐ Yes ☐ No		
11 a Does the ESOP hold any preferred stock? ☐ Yes ☐ No		
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ☐ Yes ☐ No		
12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ☐ Yes ☐ No		
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.		
Schedule R (Form 5500) 2020 v. 200204		

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14a	
14b	
14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

b The corresponding number for the second preceding plan year.....

15a	
15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year.....

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16a	
16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
 Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:
☐ Yes.
☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.
☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.
☐ No. Other. Provide explanation _____

FINANCIAL STATEMENTS AND
SUPPLEMENTAL SCHEDULE

Siemens Energy, Inc. Savings Plan
December 31, 2020 and For the Period
September 28, 2020 Through December 31, 2020
With Report of Independent Auditors

Ernst & Young LLP



Siemens Energy, Inc. Savings Plan
Financial Statements and Supplemental Schedule

December 31, 2020 and For the Period
September 28, 2020 Through December 31, 2020

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Report of Independent Auditors

The Members
Siemens Energy, Inc. Benefits Committee

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the Siemens Energy, Inc. Savings Plan, which comprise the statement of net assets available for benefits as of December 31, 2020, and the related statement of changes in net assets available for benefits for the period September 28, 2020 through December 31, 2020, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in conformity with U.S. generally accepted accounting principles; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free of material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the certified investment information described in Note 6, except for comparing such information with the related information included in the financial statements. We have been informed by the plan administrator that the entity that certified the investment information meets the requirements of 29 CFR 2520.103-8. The plan administrator has obtained a certification as of December 31, 2020, and for the period September 28, 2020 through December 31, 2020, stating that the investment information provided to the plan administrator is complete and accurate.

Disclaimer of Opinion on Financial Statements

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Disclaimer of Opinion on Supplemental Schedule

The accompanying supplemental schedule of assets (held at end of year) as of December 31, 2020 is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule referred to above.

Report on Compliance of Form and Content With Department of Labor's Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the certified investment information, have been audited by us in accordance with auditing standards generally accepted in the United States and, in our opinion are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Ernst & Young LLP

September 29, 2021

Siemens Energy, Inc. Savings Plan

Statement of Net Assets Available for Benefits

December 31, 2020

Assets

Investments at fair value	\$ 2,824,544,803
Investments at contract value	467,112,262
Total investments	<u>3,291,657,065</u>

Receivables:

Notes receivable from participants	35,275,442
Employee contributions	2,931,243
Employer matching contributions	1,724,617
Receivables for securities sold and accrued income	744,157
Service based company contributions	17,218,293
Due from other plan	1,994,101
Total receivables	<u>59,887,853</u>
Total assets	<u>3,351,544,918</u>

Liabilities

Payables for securities purchased and accrued liabilities	1,524,269
Net assets available for benefits	<u><u>\$ 3,350,020,649</u></u>

See accompanying notes.

Siemens Energy, Inc. Savings Plan

Statement of Changes in Net Assets Available for Benefits

Period September 28, 2020 Through December 31, 2020

Additions to net assets attributed to

Investment income:

Net appreciation in fair value of investments	\$ 305,877,446
Interest	3,000,073
Dividends	1,635,918

310,513,437

Less investment expenses

1,376,612

Total investment income

309,136,825

Interest on notes receivable from participants

387,494

Contributions:

Participants	34,995,645
Employer	36,909,372
Rollovers	3,031,117

74,936,134

Total contributions

Total additions

384,460,453

Deductions from net assets attributed to

Benefits paid to participants

51,868,324

Administrative expenses

124,489

Total deductions

51,992,813

Net increase

332,467,640

Asset transfers

3,017,553,009

Net assets available for benefits:

Beginning of period

—

End of period

\$ 3,350,020,649

See accompanying notes.

Siemens Energy, Inc. Savings Plan

Notes to Financial Statements

December 31, 2020

1. Description of Plan

The following description of the Siemens Energy, Inc. Savings Plan (the Plan) is provided for general information purposes only. Participants should refer to the Plan document for a more complete description of the Plan.

General

The Plan is a defined contribution plan sponsored by Siemens Energy, Inc. (the Company or the Plan Sponsor). The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended (ERISA). The Plan was established on September 28, 2020 to accept a spin-off of the net assets held by other defined contribution plans sponsored by Siemens Corporation (an indirectly wholly-owned subsidiary of Siemens AG), the Siemens Savings Plan and the Siemens Savings Plan for Union Employees.

Participation in the Plan is available to any employee who is employed by the Company or an affiliated participating subsidiary of the Company, except leased employees, inbound delegates, an employee assigned to an affiliated company located outside the United States and who is neither a citizen of the United States nor a lawful permanent resident of the United States within the meaning of Section 7701(b)(1)(A)(i) of the Internal Revenue Code of 1986, as amended (the Code), and anyone employed on a temporary basis who has not met Plan eligibility criteria. Unless expressly approved by the Company, employees covered by a collective bargaining agreement not providing for participation in the Plan are excluded from participation in the Plan.

Eligible employees may enroll in the Plan on any day following their date of employment, or for temporary employees – the date defined in the Plan. Each newly hired employee who is eligible to participate in the Plan is automatically enrolled, subject to the provisions described below, unless the employee affirmatively elects not to participate in the Plan.

The investment assets of the Plan were held by JPMorgan Chase Bank, N.A. (Trustee) at December 31, 2020 and for the period from September 28, 2020 through December 31, 2020.

At inception, the Plan enacted several provisions from the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), specifically, allowing coronavirus-related distributions and loan deferments throughout the remainder of 2020. The CARES Act distribution provision allowed participants to withdraw up to \$100,000 due to adverse financial consequences from COVID-19. Loan deferments allowed impacted participants to defer their 401(k) loan repayments through the

Siemens Energy, Inc. Savings Plan

Notes to Financial Statements (continued)

1. Description of Plan (continued)

remainder of 2020, with loans being re-amortized, and loan repayments restarting in 2021. Finally, the processing of minimum required distributions was suspended for 2020, except upon participant request.

Administration

The Siemens Energy, Inc. Benefits Committee (Benefits Committee) is responsible for administering the Plan's operations and for monitoring investments by the Plan.

Contributions

Participants

Participants may make contributions on any combination of tax-deferred, Roth and after-tax bases. Generally, participants in the Plan can elect to contribute from 2% to 50%, in 1% increments, of their eligible pay, as defined by the plan document. The Plan allows participants to change their contribution percentage elections daily. Participants may cease their contributions at any time. The Plan allows participants age 50 or older to make additional catch-up contributions provided that participant contributions do not exceed 50% of eligible pay.

When automatically enrolled, a participant's contribution begins 30 days after becoming eligible to participate in the Plan. The contribution is set at 3% of eligible pay, on a tax-deferred basis, and the default investment option is the applicable Life Cycle Portfolio determined based on the date in which the participant turns age 65, until either is changed by the participant. For participants who are automatically enrolled in the Plan, the deferral rate will automatically increase by 1% each subsequent year, until the participant's contribution reaches 10% of eligible pay. If a participant is automatically enrolled in the Plan within the first six months of the calendar year, the first automatic increase occurs in the January following enrollment. If the participant is automatically enrolled within the last six months of the calendar year, the first automatic increase occurs in the second January following enrollment. Participants who enrolled in the Plan can elect to have this automatic deferral increase feature apply to them up to a maximum of 50% or if applicable, any maximum permissible contribution rate for employees who are highly compensated employees.

Siemens Energy, Inc. Savings Plan

Notes to Financial Statements (continued)

1. Description of Plan (continued)

Employer

For most participants in the Plan, the Company, or the participating subsidiary, matches 100% of each such employee's contributions on the first 6% of the employee's eligible pay contributed to the Plan. Certain participants may have different Company contribution rates as provided by the collective bargaining agreement providing for their participation in the Plan.

Certain participants of the Plan who ceased accruing benefits under defined benefit pension plans sponsored by a former affiliated company are eligible for an additional Company contribution referred to as a Service Based Company Contribution (SBCC). The amount of the SBCC is a percentage, up to 12% of eligible pay, based on the participant's date of employment, company, and the level of pension and savings plan benefits that the participant's company offered, as defined. The SBCC is made to each eligible employee's SBCC account established in the Plan. The SBCC is contributed annually to each eligible participant's account prior to April 1st of the calendar year following the calendar year for which the SBCC is earned. On March 5, 2021, with respect to amounts earned in 2020 by eligible participants, the Company contributed \$17,218,293 in SBCC contributions to the Plan.

Rollovers

Participants may also contribute amounts representing distributions from other qualified retirement plans. Additionally, each participant whose employment with the Company or a participating company is terminated has the option to transfer any lump sum amount that would otherwise be received from the Siemens Energy Pension Plan, a defined benefit pension plan sponsored by the Company, directly into the Plan.

Participant and Employer Contribution Limits

Participant and employer contributions are subject to certain limitations of the Code. The Plan provides that the Benefits Committee limit the maximum contribution rates for highly compensated employees to a lower amount in order to comply with nondiscrimination rules under the Code.

Siemens Energy, Inc. Savings Plan

Notes to Financial Statements (continued)

1. Description of Plan (continued)

Investment Options

A participant may direct contributions to the following investment options, in increments of at least 1%, within the Plan:

- a) Stable Value Fund
- b) Lifecycle Investment Portfolio Funds
- c) U.S. Large Cap Stock Fund
- d) U.S. Small-Mid Cap Stock Fund
- e) Non-U.S. Developed Markets Stock Fund
- f) Non-U.S. Emerging Markets Stock Fund
- g) Core Bond Fund
- h) High Yield Bond Fund
- i) Self-Directed Brokerage Account

The Plan allows participants to change their investment elections prospectively and to transfer funds between investment options (including the Self-Directed Brokerage Account Investment Option), on any business day, with the exception of the Non-U.S. Developed Markets Stock Investment Fund, and the Non-U.S. Emerging Markets Stock Investment Fund. Any amount invested in the Non-U.S. Developed Markets Stock Investment Fund or the Non-U.S. Emerging Markets Stock Investment Option that results from a reallocation by participants of their investments must remain invested in that option for at least 30 days.

Participant Accounts

Each participant's account is credited with the participant's contributions, employer contributions (including the amount of any employer contribution and SBCC), earnings or losses (net of apportioned expenses), reduced for benefits paid and charged directly for loan origination and benefit processing fees, if applicable. Plan earnings or losses are credited to participant accounts based on the participants' share of net earnings or losses of their respective elected investment options. The benefit to which a participant is entitled at any given time is the participant's vested account balance.

Siemens Energy, Inc. Savings Plan

Notes to Financial Statements (continued)

1. Description of Plan (continued)

Vesting

Contributions, except employer matching contributions, along with earnings thereon, are generally fully vested at all times. Employer matching contributions, along with earnings thereon, become 40% vested after two years of continuous employment and continue to vest at an annual rate of 20%, reaching 100% vesting after five years of continuous employment with the Company, its subsidiaries, or affiliates. In addition, each participant becomes 100% vested in the employer's matching and additional contributions, along with earnings thereon, upon retirement, death, total or permanent disability, or complete or partial termination of the Plan, complete discontinuance of Company contributions, termination of employment due to the closing of the facility in which the participant is employed, permanent layoff, or transfer (without intervening employment) to an affiliated company outside the United States which does not participate in the Plan.

Forfeitures

Participants who terminate their employment with the Company or a participating subsidiary forfeit the non-vested portion of employer contributions and earnings thereon in accordance with the terms of the Plan. Such forfeitures of employer contributions are permitted to reduce future employer contributions and eligible expenses. During 2020, the total amount of forfeitures the Plan used to reduce the amount of employer contributions was \$335,043. At December 31, 2020, there were no forfeited non-vested amounts held by the Plan.

Notes Receivable from Participants

The Plan allows any participant to borrow an amount equal to the lesser of 50% of his or her vested account balance (less any current outstanding loan balance) or \$50,000 (less the highest outstanding loan balance in the last 12 months and less any defaulted loan balances). Each loan is made from the participant's balance in each of the investment options in which the participant has an available balance, with the exception of the Self-Directed Brokerage Account. The term of a loan shall not exceed four years (a thirty-year loan term is permitted in cases where the loan proceeds are used to purchase the participant's principal residence). Generally, a participant may not have more than two loans outstanding at any point in time. The loans are secured by the balance in the participant's account and bear interest at a "reasonable rate" as established periodically by the Plan (generally, the prime rate). Principal and interest are to be repaid ratably during the year through payroll deductions. Any loans outstanding at the time a participant terminates employment with the Company and its subsidiaries or affiliates are deemed "loan defaults" and are treated as

Siemens Energy, Inc. Savings Plan

Notes to Financial Statements (continued)

1. Description of Plan (continued)

distributions from the Plan, unless repaid within 60 days or prior to full distribution of the participant's balance whichever is earlier. The SBCC is not available for loans, although it is included in the calculation to determine the maximum amount available for a loan and also serves to secure the loans. The outstanding balance of any active participant loan that is deemed to be in default, will automatically become taxable income to the participant and must be reported to the Internal Revenue Service (IRS) at the end of the relevant tax year. The amount taxable will include both the taxable portion of the remaining principal and any accrued interest that was due as of the date of the loan default.

Payment of Benefits

The Plan can disburse funds upon a participant's separation from service with the Company and its subsidiaries as a result of the following: retirement, death, total or permanent disability, permanent layoff, or termination of employment with the Company and certain affiliates. The payment of benefits can take one of three forms: a lump sum payment, partial lump sum payments, or monthly or annual installment payments. However, any vested benefit of \$1,000 or less at the time of termination will automatically be paid as a lump sum. Benefit payments between \$1,000 and \$5,000 will be transferred to an individual retirement account unless the participant directs otherwise.

Withdrawals During Employment

During employment, participants that are at least 59½ are generally permitted to make withdrawals from their vested accounts including earnings thereon, except for the portion attributable to SBCC and, for certain participants, other Company contributions. If a participant has participated in the Plan, or a predecessor plan, for less than five years and elects to withdraw after-tax contributions made to the Plan or a predecessor plan, on or after January 1, 1987, or employer contributions made to the Plan or a predecessor plan, within two years prior to the date of the withdrawal, employer contributions provided to the participant will be suspended for a three-month period. Under certain circumstances, if a participant can demonstrate a financial hardship (as defined in the Plan) a participant may take a hardship withdrawal of his or her tax-deferred and Roth contributions (but not earnings on such amounts). In addition, a participant who has reached age 59½ may take a withdrawal of his or her tax-deferred and Roth contributions (including earnings thereon credited subsequent to December 31, 1988) without demonstrating financial hardship and will not be limited in the number of withdrawals that can be made in any year.

Siemens Energy, Inc. Savings Plan

Notes to Financial Statements (continued)

2. Summary of Significant Accounting Policies

Basis of Accounting

The accompanying financial statements of the Plan have been prepared on the accrual basis of accounting.

Payment of Benefits

Benefit payments to participants are recorded when paid.

Notes Receivable from Participants

Notes receivable from participants represent participant loans that are recorded at their unpaid principal balance plus any accrued but unpaid interest. Interest income on participant loans receivable is recorded when it is earned. Related fees are recorded as administrative expenses and are expensed when they are incurred. No allowance for credit losses has been recorded as of December 31, 2020. If a participant ceases to make loan repayments and the plan administrator deems the participant loan to be a distribution, the notes receivable from participants balance is reduced, and a benefit payment is recorded.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities and changes therein, and disclosure of contingent assets and liabilities in the financial statements and accompanying notes and supplemental schedule, as applicable. Actual results could differ from those estimates.

Risks and Uncertainties

The Plan invests in various types of investment securities. Investment securities are exposed to various risks, including interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statement of net assets available for benefits. The Plan's exposure to a concentration of credit risk is limited by diversification of investments across all participant-directed fund elections. Additionally, the investments within each participant-directed fund

Siemens Energy, Inc. Savings Plan

Notes to Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

election are further diversified into various financial instruments. To the extent that a participant directs that his or her contributions be invested through the Self-Directed Brokerage Account Option, the participant solely determines the extent to which such amounts will be diversified, if at all. Participants in the Self-Directed Brokerage Option are prohibited from investing in the publicly traded shares of the Company.

Investment Valuation and Income Recognition

The Plan investments are recorded at fair value, with the exception of certain fully benefit responsive synthetic guaranteed investment contracts, which are recorded at contract value. The Benefits Committee determines the Plan's valuation policies utilizing information provided by the Trustee and investment advisers. See Note 7 for discussion of the Plan's fair value measurements.

Investment contracts held by a defined contribution plan such as those that are part of the Stable Value Fund of the Plan that invest in fully benefit-responsive investment contracts are reported at contract value. Contract value is the relevant measurement attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to transfer amounts out of this investment option or receive a distribution from the Plan. The contract value of the fully-benefit responsive investment contracts represents contributions plus earnings, less participant withdrawals and administrative expenses.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis, dividends on the applicable ex-dividend date, and net appreciation in fair value of investments based on gains and losses on investments bought and sold as well as held during the year. Total investment income (loss) is reduced for certain investment-related fees and expenses, as described herein.

Stable Value Fund

The objective of the Stable Value Fund is to provide liquidity and safety of principal while providing a higher return over time than the return offered by many money market funds. The assets of this investment option primarily consist of individual direct investments in synthetic guaranteed investment contracts (Synthetic GIC) and a money market fund. These direct investments are held by the Plan and are currently managed by INVESCO and other sub-advisers. The Synthetic GICs are financial instruments that primarily invest in high-quality fixed income instruments with an overall average credit quality grade of AA+.

Siemens Energy, Inc. Savings Plan

Notes to Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

The Synthetic GICs also include wrap contracts with highly rated insurance companies, banks, and other financial institutions and serve to preserve the contract value of the guaranteed investment contracts by mitigating the fluctuations in the market value of the underlying fixed income instruments. The wrap contracts provide a guarantee if the fair value of the related investment underlying the Synthetic GIC is not sufficient to fund the contract value for qualified participant withdrawals from the investment. However, there is no guarantee (either from INVESCO or the Company) of achieving the objective, nor is there a guarantee against loss of principal or earned investment returns. The money market fund is not covered by a wrap contract.

The Synthetic GICs accrue interest based on crediting rates in accordance with a formula specific to each contract that is subject to periodic review. The formula considers many factors including the fair value of the contract's underlying investments and contract fees. The crediting rate is generally provided using a portfolio rate for all contributions made during the period and is guaranteed not to fall below 0%.

There are no reserves against contract value for the credit risk of the issuers. Certain events may limit the ability of the Plan to transact at contract value with respect to the individual contracts. Generally, such events include: (1) amendments to the participating plan documents (including complete or partial plan termination or merger with another plan); (2) changes to the Plan's prohibition on competing investment options; (3) bankruptcy of the Company or participating companies that cause a significant withdrawal from the participating plan; (4) the failure of the Plan to qualify for the exemption from federal income taxes or the occurrence of certain required prohibited transactions under ERISA; or (5) premature termination of a contract. In addition, certain limited events permit the issuer to terminate the contract with the Plan and settle at an amount different from contract value such as a breach of material obligation or misrepresentation under the contract.

No events are probable of occurring that might limit the ability of the Plan to transact at contract value with the contract issuers and that also would limit the ability of the participating plans to transact at contract value with the participants.

Siemens Energy, Inc. Savings Plan

Notes to Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Administrative Expenses

Only expenses of the Plan that are permitted to be charged to the Plan in accordance with applicable law and with the provisions of the plan document are charged to the Plan. The Plan's administrative expenses primarily are related to recordkeeping, loan origination, benefit processing, investment management, trustee and custodial services, and certain other administrative items. The Plan is also charged for investment expenses for advisory, trustee and custodial services. For expenses that are not specific to an investment option, such as administrative and auditing expenses, an expense allocation is charged to the net asset balance of each investment option on a daily basis. For expenses that are specific to an investment option, such as investment manager fees, the contracted expense rate is charged to the specific investment option's net assets on a daily basis and is included in the investment option's reported income or loss. Contracted expense rates vary based on the investment options. Expenses that are paid directly by the Company are excluded from these financial statements.

3. Parties-in-Interest

A party-in-interest is defined under the Department of Labor's regulations as any fiduciary of a plan, any party rendering services to a plan, the employer, certain participants, and others. The Plan allows participants to take loans from their accounts in the Plan. Certain notes receivable from participants and income thereon qualify as party-in-interest transactions.

The Trustee of the Plan also serves as the investment manager with respect to the money market fund held by the Plan. The Trustee also serves as the custodian for certain commingled funds held by the Plan. Therefore, transactions within these investments and transactions with the Trustee qualify as party-in-interest transactions.

Alight Solutions, LLC provides recordkeeping services to the Plan and, in addition to various other service providers, provides certain other administrative services to the Plan. The Plan receives investment advisory services and certain other administrative services from various service providers. Therefore, transactions with these various service providers qualify as party-in-interest transactions.

During the period from September 28, 2020 through December 31, 2020, three members of the Benefits Committee were participants in the Plan and eligible to make current contributions to the Plan.

Siemens Energy, Inc. Savings Plan

Notes to Financial Statements (continued)

4. Plan Termination

Although it has not expressed an intent to do so, the Company reserves the right to discontinue its contributions to the Plan at any time and to terminate the Plan subject to the provisions of ERISA. In the event that a termination of the Plan should occur, the value of each affected participant's account (including employer contributions and earnings thereon) shall become fully vested and funds will be distributed in accordance with ERISA.

5. Tax Status

The IRS has issued a determination letter dated May 5, 2021, advising that the Plan qualifies for tax-exempt status pursuant to the provisions of Section 401(a) of the Code and therefore, the related trust is exempt from taxation. The Plan Sponsor believes the Plan is designed and operated in compliance with the Code. Accordingly, no provision has been made for federal income taxes.

U.S. GAAP require plan management to evaluate uncertain tax positions taken by the Plan. The financial statement effects of a tax position are recognized when the position is more likely than not, based on the technical merits, to be sustained upon examination by the IRS. The plan administrator has analyzed the tax positions taken by the Plan, and has concluded that, there are no uncertain positions taken or expected to be taken. The Plan has recognized no interest or penalties related to uncertain tax positions. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

6. Information Certified by the Trustee

The Plan administrator has elected the method of compliance as permitted by 29 CFR 2520.103- 8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, as permitted under such election, the Plan administrator instructed the Plan's independent auditors not to perform any auditing procedures with respect to the following investment information certified by the Trustee of the Plan, as complete and accurate, except for comparing such information certified by the Trustee to information included in the Plan's financial statements.

Siemens Energy, Inc. Savings Plan

Notes to Financial Statements (continued)

6. Information Certified by the Trustee (continued)

The Trustee has certified to the accuracy and completeness of investment information as of December 31, 2020 and for the period from September 28, 2020 through December 31, 2020:

As of December 31, 2020:

Investments at fair value	\$ 2,824,544,803
Investments at contract value	467,112,262
	<u>\$ 3,291,657,065</u>

Period from September 28, 2020 through December 31, 2020:

Net appreciation in fair value of investments	\$ 305,877,446
Interest	3,000,073
Dividends	1,635,918
	<u>\$ 310,513,437</u>

7. Fair Value Measurements

The Company defines fair value as the exchange price that would be received for an asset or paid for a liability in an orderly transaction between market participants at the measurement date (i.e., an exit price). The Company utilizes a fair value hierarchy which requires the Plan to maximize the use of observable inputs and minimize unobservable inputs when determining fair value and also establishes three levels of classifications.

As such, the hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets and liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). The three levels of the fair value hierarchy in ASC 820 are described below:

Level 1 – Unadjusted quoted prices in active markets that are accessible to the reporting entity at the measurement date for identical assets and liabilities.

Level 2 – Inputs other than quoted prices in active markets for identical assets and liabilities that are observable either directly or indirectly for substantially the full term of the asset or liability. Level 2 inputs include the following:

- Quoted prices for similar assets and liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in markets that are not active;

Siemens Energy, Inc. Savings Plan

Notes to Financial Statements (continued)

7. Fair Value Measurements (continued)

- Observable inputs other than quoted prices that are used in the valuation of the asset or liabilities (e.g., interest rate and yield curve quotes at commonly quoted intervals); and Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

Level 3 – Unobservable inputs for the asset or liability (i.e., supported by little or no market activity). Level 3 inputs include management’s own assumption about the assumptions that market participants would use in pricing the asset or liability (including assumptions about risk). The level in the fair value hierarchy within which the fair value measurement is classified is determined based on the lowest level input that is significant to the fair value measure in its entirety.

Following is a description of the valuation methodologies used for assets measured at fair value.

Commingled funds: Commingled funds are investments similar to mutual funds in that the fair value of these investments are quoted at the net asset value (NAV) per share at each valuation date which is also the basis for current transactions. Therefore, these funds are considered Level 1 investments. These funds can also provide diversification by holding various equity and debt securities.

Equity securities (foreign and U.S.): Equity securities primarily consist of common stocks in which the Plan holds a share directly. These assets are valued at the closing price reported on the active market on which the individual securities are traded and are included in Level 1.

Self-Directed Brokerage Account: Investments allowed in the self-directed brokerage account include equities (foreign and U.S.), exchange-traded funds and mutual funds that are valued at the closing price reported on the active market on which the individual securities are traded and are included in Level 1.

Registered investment funds: Registered investment funds are open-end mutual funds valued at the daily closing price as reported by the fund. These funds are required to publish their daily NAV and to transact at that price. The NAV is based on the fair value of the underlying investments held by the fund less its liabilities. The funds held by the Plan are deemed to be actively traded and are included in Level 1.

Siemens Energy, Inc. Savings Plan

Notes to Financial Statements (continued)

7. Fair Value Measurements (continued)

Fixed income and government securities (foreign and U.S.): Fixed income and government securities are valued based on market data, incorporating various inputs such as benchmark curves, benchmarking of like securities, matrix pricing, model processes such as the Option Adjusted Spread model, to assess the interest rate impact of and develop prepayment scenarios. Both models and processes take into account market conventions and, therefore, are included as Level 2.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Company's management believes the valuation methods are appropriate and consistent with other market participants, the use of different methodologies and assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables set forth, by level within the fair value hierarchy, the Plan's investments at fair value as of December 31, 2020:

	Level 1	Level 2	Level 3	Total
Commingled funds	\$ 2,281,522,173	\$ —	\$ —	\$ 2,281,522,173
Equity securities	233,423,772	—	—	233,423,772
Self-directed brokerage account	160,012,956	—	—	160,012,956
Registered investment funds	121,939,128	—	—	121,939,128
Fixed income securities	—	27,532,670	—	27,532,670
Government securities	—	114,104	—	114,104
Total investments in the fair value hierarchy	<u>\$ 2,796,898,029</u>	<u>\$ 27,646,774</u>	<u>\$ —</u>	<u>\$ 2,824,544,803</u>

8. Reconciliation of Financial Statements to Form 5500

The following is a reconciliation of the net assets at December 31, 2020 available for benefits as indicated on the financial statements of the Plan to amounts indicated on IRS Form 5500:

Net assets available for benefits per the financial statements	\$ 3,350,020,649
(Less) amounts allocated to withdrawing participants	<u>(1,805,550)</u>
Net assets available for benefits per the Form 5500	<u><u>\$ 3,348,215,099</u></u>

Siemens Energy, Inc. Savings Plan

Notes to Financial Statements (continued)

8. Reconciliation of Financial Statements to Form 5500 (continued)

The following is a reconciliation of benefits paid to participants for the Plan financial statements to its Form 5500 at December 31, 2020:

Benefits paid to participants per the financial statements	\$ 51,868,324
Add amounts allocated to withdrawing participants at December 31, 2020	<u>1,805,550</u>
Benefits paid to participants per the Form 5500	<u>\$ 53,673,874</u>

Amounts allocated to withdrawing participants are recorded on the Form 5500 for benefit claims that have been processed and approved for payment prior to December 31, 2020, but have not yet been paid as of that date.

9. Asset Transfers

The source and amount of assets transferred into the Plan for the period from September 28, 2020 through December 31, 2020 is shown below:

Siemens Savings Plan	\$ 2,897,683,010
Siemens Savings Plan for Union Employees	<u>119,869,999</u>
Total transfers	<u>\$ 3,017,553,009</u>

10. Subsequent Events

Management evaluated subsequent events for the Plan through September 29, 2021, the date the financial statements were available to be issued.

Supplemental Schedule

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

December 31, 2020

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, Collateral Par or Maturity Value	(d) Cost	(e) Current Value
Commingled Funds:				
*	SIEMENS ENERGY SAVINGS PLAN - LIFECYCLE RETIREMENT FUND	Commingled Fund (14,264,222 Shares)	** \$	151,829,808
*	SIEMENS ENERGY S*AVINGS PLAN - LIFECYCLE 2025 FUND	Commingled Fund (15,318,870 Shares)	**	165,973,825
*	SIEMENS ENERGY INC SAVINGS PLAN - LIFECYCLE 2030 F UND	Commingled Fund (18,251,324 Shares)	**	200,280,906
*	SIEMENS ENERGY INC SAVINGS PLAN - LIFECYCLE 2035 F UND	Commingled Fund (13,220,158 Shares)	**	146,639,311
*	SIEMENS ENERGY INC SAVINGS PLAN - LIFECYCLE 2040 F UND	Commingled Fund (13,298,722 Shares)	**	148,909,785
*	SIEMENS ENERGY INC SAVINGS PLAN - LIFECYCLE 2045 F UND	Commingled Fund (8,278,672 Shares)	**	93,484,425
*	SIEMENS ENERGY INC SAVINGS PLAN - LIFECYCLE 2050 F UND	Commingled Fund (10,333,570 Shares)	**	117,577,431
*	SIEMENS ENERGY INC SAVINGS PLAN - LIFECYCLE 2055 F UND	Commingled Fund (3,429,531 Shares)	**	39,305,164
*	SIEMENS ENERGY INC SAVINGS PLAN - LIFECYCLE 2060 F UND	Commingled Fund (1,721,472 Shares)	**	19,818,794
*	SIEMENS ENERGY INC SAVINGS PLAN - LIFECYCLE 2065 F UND	Commingled Fund (91,690 Shares)	**	1,055,580
*	SIEMENS ENERGY INC SAVINGS PLAN - NORTHERN S&P 500 INDEX	Commingled Fund (55,124 Shares)	**	750,910,234
*	SIEMENS ENERGY INC SAVINGS PLAN - NORTHERN S&P 400 INDEX	Commingled Fund (25,064 Shares)	**	65,711,012
*	SIEMENS ENERGY INC SAVINGS PLAN - BTC INT'L EQUITY INDEX	Commingled Fund (3,749,958 Shares)	**	109,127,897
*	SIEMENS ENERGY INC SAVINGS PLAN - NT EMERGING MARK ETS INDEX	Commingled Fund (47,256 Shares)	**	10,899,207
*	SIEMENS ENERGY INC SAVINGS PLAN - SCHRODERS EMERGI NG MKTS	Commingled Fund (2,620 Shares)	**	35,305,769
*	SIEMENS ENERGY INC SAVINGS PLAN - INVESCO EMERGING MARKETS	Commingled Fund (455,650 Shares)	**	34,677,522
*	SIEMENS ENERGY INC SAVINGS PLAN - BTC CORE BOND	Commingled Fund (11,113,017 Shares)	**	184,976,161
*	SIEMENS ENERGY INC SAVINGS PLAN - SSGA HIGH YIELD	Commingled Fund (241,683 Shares)	**	5,039,342
				<u>2,281,522,173</u>
Equity Securities:				
	AAR CORP COMMON STOCK	Equity Security (6,200 Shares)	**	224,564
	ACCO BRANDS CORP COMMON	Equity Security (23,900 Shares)	**	201,955
	ABM INDUSTRIES INC	Equity Security (2,700 Shares)	**	102,168
	AZZ INC COMMON STOCK USD	Equity Security (4,600 Shares)	**	218,224
	ACUITY BRANDS INC COMMON	Equity Security (800 Shares)	**	96,872
	ALEXANDER'S INC REIT USD	Equity Security (500 Shares)	**	138,675
	ALLETE INC COMMON STOCK	Equity Security (3,500 Shares)	**	216,790
	AMALGAMATED BANK COMMON	Equity Security (6,100 Shares)	**	83,814
	AMBAC FINANCIAL GROUP	Equity Security (9,200 Shares)	**	141,496
	AMERICAN EAGLE	Equity Security (5,500 Shares)	**	110,385
	AMERICAN EQUITY	Equity Security (5,700 Shares)	**	157,662

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	AMERICAN VANGUARD CORP	Equity Security (9,800 Shares)	** \$	152,096
	APOGEE ENTERPRISES INC	Equity Security (3,400 Shares)	**	107,712
	APOLLO COMMERCIAL REAL	Equity Security (4,600 Shares)	**	51,382
	ARLINGTON ASSET	Equity Security (11,000 Shares)	**	41,580
	ARMSTRONG FLOORING INC	Equity Security (27,900 Shares)	**	106,578
	ASBURY AUTOMOTIVE GROUP	Equity Security (1,300 Shares)	**	189,462
	ASSOCIATED BANC-CORP	Equity Security (12,700 Shares)	**	216,535
	ATKORE INC COMMON STOCK	Equity Security (1,300 Shares)	**	53,443
	AVISTA CORP COMMON STOCK	Equity Security (5,300 Shares)	**	212,742
	AVNET INC COMMON STOCK	Equity Security (6,200 Shares)	**	217,682
	B&G FOODS INC COMMON	Equity Security (5,500 Shares)	**	152,515
	BG STAFFING INC COMMON	Equity Security (4,400 Shares)	**	59,356
	BOK FINANCIAL CORP	Equity Security (1,900 Shares)	**	130,112
	BANKUNITED INC COMMON	Equity Security (6,400 Shares)	**	222,592
	BAR HARBOR BANKSHARES	Equity Security (3,500 Shares)	**	79,065
	BED BATH & BEYOND INC	Equity Security (2,400 Shares)	**	42,624
	BELDEN INC COMMON STOCK	Equity Security (5,200 Shares)	**	217,880
	BERKSHIRE HILLS BANCORP	Equity Security (12,100 Shares)	**	207,152
	BERRY CORP COMMON STOCK	Equity Security (33,400 Shares)	**	122,912
	BIG LOTS INC COMMON	Equity Security (1,700 Shares)	**	72,981
	H&R BLOCK INC COMMON	Equity Security (13,800 Shares)	**	218,868
	BLUE BIRD CORP COMMON	Equity Security (10,000 Shares)	**	182,600
	BOISE CASCADE CO COMMON	Equity Security (3,300 Shares)	**	157,740
	BONANZA CREEK ENERGY INC	Equity Security (8,900 Shares)	**	172,037
	BOSTON PRIVATE FINANCIAL	Equity Security (26,700 Shares)	**	225,615
	BRIDGE BANCORP INC	Equity Security (4,200 Shares)	**	101,556
	BRIDGEWATER BANCSHARES	Equity Security (4,200 Shares)	**	52,458
	BRIGHTHOUSE FINANCIAL	Equity Security (4,300 Shares)	**	155,682
	BRIGHTSPHERE INVESTMENT	Equity Security (8,600 Shares)	**	165,808
	BRINKER INTERNATIONAL	Equity Security (2,000 Shares)	**	113,140
	BROOKLINE BANCORP INC	Equity Security (13,800 Shares)	**	166,152
	BRYN MAWR BANK CORP	Equity Security (2,600 Shares)	**	79,547
	BUILDERS FIRSTSOURCE INC	Equity Security (1,300 Shares)	**	53,053
	CBIZ INC COMMON STOCK	Equity Security (4,200 Shares)	**	111,762
	CIT GROUP INC COMMON	Equity Security (5,900 Shares)	**	211,810
	CNB FINANCIAL CORP/PA	Equity Security (2,500 Shares)	**	53,225
	CNO FINANCIAL GROUP INC	Equity Security (9,400 Shares)	**	208,962
	CSG SYSTEMS	Equity Security (1,200 Shares)	**	54,084
	CVR ENERGY INC COMMON	Equity Security (3,700 Shares)	**	55,130
	CABOT CORP COMMON STOCK	Equity Security (3,700 Shares)	**	166,056
	CACTUS INC COMMON STOCK	Equity Security (4,200 Shares)	**	109,494
	CADENCE BANCORP COMMON	Equity Security (10,500 Shares)	**	172,410
	CAMDEN NATIONAL CORP	Equity Security (1,300 Shares)	**	46,514
	CASA SYSTEMS INC COMMON	Equity Security (9,200 Shares)	**	56,764
	CASS INFORMATION SYSTEMS	Equity Security (4,700 Shares)	**	182,877
	CATHAY GENERAL BANCORP	Equity Security (5,100 Shares)	**	164,169
	CATO CORP/THE COMMON	Equity Security (16,300 Shares)	**	156,317
	CENTRAL GARDEN & PET CO	Equity Security (4,300 Shares)	**	166,023
	CENTRAL PACIFIC	Equity Security (5,800 Shares)	**	110,258
	CENTURY COMMUNITIES INC	Equity Security (3,400 Shares)	**	148,852
	CHAMPIONX CORP COMMON	Equity Security (11,600 Shares)	**	177,480

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	CIMAREX ENERGY CO COMMON	Equity Security (2,800 Shares)	** \$	105,028
	CIVISTA BANCSHARES INC	Equity Security (1,500 Shares)	**	26,295
	COLONY CREDIT REAL	Equity Security (21,300 Shares)	**	159,750
	COMFORT SYSTEMS USA INC	Equity Security (3,000 Shares)	**	157,980
	COMMERCIAL METALS CO	Equity Security (5,300 Shares)	**	108,862
	COMMUNITY TRUST BANCORP	Equity Security (3,600 Shares)	**	133,380
	COMTECH	Equity Security (10,400 Shares)	**	215,176
	CONNECTONE BANCORP INC	Equity Security (8,100 Shares)	**	160,299
	COOPER TIRE & RUBBER CO	Equity Security (4,800 Shares)	**	194,400
	COWEN INC COMMON STOCK	Equity Security (7,900 Shares)	**	205,321
	CRANE CO COMMON STOCK	Equity Security (1,700 Shares)	**	132,022
	CROSSFIRST BANKSHARES	Equity Security (10,300 Shares)	**	110,725
	CULP INC COMMON STOCK	Equity Security (3,900 Shares)	**	61,893
	CUSTOMERS BANCORP INC	Equity Security (11,800 Shares)	**	214,524
	DXP ENTERPRISES INC/TX	Equity Security (9,300 Shares)	**	206,739
	DENBURY INC COMMON STOCK	Equity Security (8,000 Shares)	**	205,520
	DIAMOND HILL INVESTMENT	Equity Security (700 Shares)	**	104,489
	DIEBOLD NIXDORF INC	Equity Security (9,300 Shares)	**	99,138
	DIME COMMUNITY	Equity Security (13,100 Shares)	**	206,587
	DILLARD'S INC COMMON	Equity Security (1,000 Shares)	**	63,050
	DIODES INC COMMON STOCK	Equity Security (2,800 Shares)	**	197,400
	DOMTAR CORP COMMON STOCK	Equity Security (6,600 Shares)	**	208,890
	DRIL-QUIP INC COMMON	Equity Security (3,300 Shares)	**	97,746
	EAGLE BANCORP INC COMMON	Equity Security (5,300 Shares)	**	218,890
	EARTHSTONE ENERGY INC	Equity Security (45,100 Shares)	**	240,383
	EBIX INC COMMON STOCK	Equity Security (6,000 Shares)	**	227,820
	EMERALD INC	Equity Security (41,400 Shares)	**	224,388
	EMPLOYERS HOLDINGS INC	Equity Security (5,000 Shares)	**	160,950
	ENCORE WIRE CORP COMMON	Equity Security (3,800 Shares)	**	230,166
	ENERGIZER HOLDINGS INC	Equity Security (1,200 Shares)	**	50,616
	ENERSYS COMMON STOCK USD	Equity Security (2,300 Shares)	**	191,038
	ENNIS INC COMMON STOCK	Equity Security (9,400 Shares)	**	167,790
	ENPRO INDUSTRIES INC	Equity Security (2,800 Shares)	**	211,456
	ENTRAVISION	Equity Security (20,100 Shares)	**	55,275
	EPLUS INC COMMON STOCK	Equity Security (1,100 Shares)	**	96,745
	EQUITRANS MIDSTREAM CORP	Equity Security (26,100 Shares)	**	209,844
	EQUITY BANCSHARES INC	Equity Security (7,000 Shares)	**	151,130
	ETHAN ALLEN INTERIORS	Equity Security (11,400 Shares)	**	230,394
	EVERCORE INC COMMON	Equity Security (1,000 Shares)	**	109,640
	FNB CORP/PA COMMON STOCK	Equity Security (17,300 Shares)	**	164,350
	FB FINANCIAL CORP COMMON	Equity Security (4,900 Shares)	**	170,177
	FS BANCORP INC COMMON	Equity Security (900 Shares)	**	49,320
	FTI CONSULTING INC	Equity Security (1,000 Shares)	**	111,720
	FEDERAL AGRICULTURAL	Equity Security (2,200 Shares)	**	163,350
	FEDERATED HERMES INC	Equity Security (5,500 Shares)	**	158,895
	FINANCIAL INSTITUTIONS	Equity Security (5,000 Shares)	**	112,500
	FIRST BANCORP/PUERTO	Equity Security (25,000 Shares)	**	230,500
	FIRST BUSEY CORP COMMON	Equity Security (5,000 Shares)	**	107,750
	FIRST BUSINESS FINANCIAL	Equity Security (2,600 Shares)	**	47,866
	FIRST FINANCIAL BANCORP	Equity Security (9,400 Shares)	**	164,782
	FIRST FINANCIAL CORP/IN	Equity Security (3,700 Shares)	**	143,745

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	FIRST HAWAIIAN INC	Equity Security (9,400 Shares)	** \$	221,652
	FIRST INTERNET BANCORP	Equity Security (3,900 Shares)	**	112,086
	FIRST OF LONG ISLAND	Equity Security (5,500 Shares)	**	98,175
	FIRST MIDWEST BANCORP	Equity Security (10,100 Shares)	**	160,792
	1ST SOURCE CORP COMMON	Equity Security (2,600 Shares)	**	104,780
	FLUOR CORP COMMON STOCK	Equity Security (11,500 Shares)	**	183,655
	FLOWERVE CORP COMMON	Equity Security (2,900 Shares)	**	106,865
	FLUSHING FINANCIAL CORP	Equity Security (14,100 Shares)	**	234,624
	FOOT LOCKER INC COMMON	Equity Security (2,700 Shares)	**	109,188
	FORWARD AIR CORP COMMON	Equity Security (1,400 Shares)	**	107,576
	FRANCHISE GROUP INC	Equity Security (4,000 Shares)	**	121,800
	HB FULLER CO COMMON	Equity Security (1,000 Shares)	**	51,880
	FULTON FINANCIAL CORP	Equity Security (16,900 Shares)	**	214,968
	FUTUREFUEL CORP COMMON	Equity Security (4,400 Shares)	**	55,880
	GP STRATEGIES CORP	Equity Security (6,900 Shares)	**	81,834
	G-III APPAREL GROUP LTD	Equity Security (4,700 Shares)	**	111,578
	GENESCO INC COMMON STOCK	Equity Security (6,700 Shares)	**	201,603
	GLATFELTER CORPORATION	Equity Security (3,100 Shares)	**	50,778
	GLOBAL INDEMNITY GROUP	Equity Security (3,400 Shares)	**	97,206
	GOODYEAR TIRE & RUBBER	Equity Security (19,000 Shares)	**	207,290
	W R GRACE & CO COMMON	Equity Security (1,000 Shares)	**	54,820
	GRAFTECH INTERNATIONAL	Equity Security (24,000 Shares)	**	255,840
	GRAHAM CORP COMMON STOCK	Equity Security (3,200 Shares)	**	48,576
	GRANITE POINT MORTGAGE	Equity Security (5,400 Shares)	**	53,946
	GREAT AJAX CORP REIT USD	Equity Security (8,900 Shares)	**	93,094
	GREAT LAKES DREDGE &	Equity Security (13,100 Shares)	**	172,527
	GREAT SOUTHERN BANCORP	Equity Security (3,400 Shares)	**	166,260
	GREAT WESTERN BANCORP	Equity Security (10,500 Shares)	**	219,450
	GREEN BRICK PARTNERS INC	Equity Security (2,200 Shares)	**	50,512
	GREEN PLAINS INC COMMON	Equity Security (4,200 Shares)	**	55,314
	GREENBRIER COS INC/THE	Equity Security (6,100 Shares)	**	221,918
	GREENHILL & CO INC	Equity Security (11,000 Shares)	**	133,540
	GRIFFON CORP COMMON	Equity Security (2,600 Shares)	**	52,988
	GROUP 1 AUTOMOTIVE INC	Equity Security (1,800 Shares)	**	236,052
	H&E EQUIPMENT SERVICES	Equity Security (1,800 Shares)	**	53,658
	HANCOCK WHITNEY CORP	Equity Security (6,600 Shares)	**	224,532
	HANGER INC COMMON STOCK	Equity Security (8,800 Shares)	**	193,512
	HANMI FINANCIAL CORP	Equity Security (19,500 Shares)	**	221,130
	HANOVER INSURANCE GROUP	Equity Security (1,800 Shares)	**	210,456
	HAWKINS INC COMMON STOCK	Equity Security (1,000 Shares)	**	52,310
	HEIDRICK & STRUGGLES	Equity Security (7,500 Shares)	**	220,350
	HELIX ENERGY SOLUTIONS	Equity Security (37,600 Shares)	**	157,920
	HIBBETT SPORTS INC	Equity Security (3,600 Shares)	**	166,248
	HILLENBRAND INC COMMON	Equity Security (5,600 Shares)	**	222,880
	HILLTOP HOLDINGS INC	Equity Security (3,800 Shares)	**	104,538
	HILTON GRAND VACATIONS	Equity Security (7,300 Shares)	**	228,855
	HOLLYFRONTIER CORP	Equity Security (4,200 Shares)	**	108,570
	HOMESTREET INC COMMON	Equity Security (4,300 Shares)	**	145,125
	HOMETRUST BANCSHARES INC	Equity Security (3,200 Shares)	**	61,792
	HOOKE FURNITURE CORP	Equity Security (5,500 Shares)	**	177,375
	HOPE BANCORP INC	Equity Security (20,400 Shares)	**	222,564

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	HORACE MANN EDUCATORS	Equity Security (4,900 Shares)	** \$	205,996
	HORIZON BANCORP INC/IN	Equity Security (6,900 Shares)	**	109,434
	HYSTER-YALE MATERIALS	Equity Security (2,900 Shares)	**	172,695
	INGLES MARKETS INC	Equity Security (4,000 Shares)	**	170,640
	INSIGHT ENTERPRISES INC	Equity Security (2,800 Shares)	**	213,052
	INTERFACE INC COMMON	Equity Security (22,600 Shares)	**	237,300
	INTERNATIONAL BANCSHARES	Equity Security (2,700 Shares)	**	101,088
	INVESTORS BANCORP INC	Equity Security (16,500 Shares)	**	174,240
	JACK IN THE BOX INC	Equity Security (1,800 Shares)	**	167,040
	JOHNSON OUTDOORS INC	Equity Security (1,500 Shares)	**	168,945
	KBR INC COMMON STOCK USD	Equity Security (7,500 Shares)	**	231,975
	KAISER ALUMINUM CORP	Equity Security (2,200 Shares)	**	217,580
	KB HOME COMMON STOCK USD	Equity Security (1,500 Shares)	**	50,280
	KELLY SERVICES INC	Equity Security (7,900 Shares)	**	162,503
	KENNAMETAL INC COMMON	Equity Security (3,000 Shares)	**	108,720
	KFORCE INC COMMON STOCK	Equity Security (1,300 Shares)	**	54,717
	KIMBALL INTERNATIONAL	Equity Security (8,800 Shares)	**	105,160
	KIMBALL ELECTRONICS INC	Equity Security (6,900 Shares)	**	110,331
	KNOLL INC COMMON STOCK	Equity Security (15,200 Shares)	**	223,136
	KORN FERRY COMMON STOCK	Equity Security (5,400 Shares)	**	234,900
	KOSMOS ENERGY LTD COMMON	Equity Security (71,200 Shares)	**	167,320
	LGI HOMES INC COMMON	Equity Security (1,400 Shares)	**	148,190
	LA-Z-BOY INC COMMON	Equity Security (2,700 Shares)	**	107,568
	LAKELAND BANCORP INC	Equity Security (11,800 Shares)	**	149,860
	LIBERTY OILFIELD	Equity Security (15,100 Shares)	**	155,681
	LIVE OAK BANCSHARES INC	Equity Security (3,200 Shares)	**	151,872
	LUTHER BURBANK CORP	Equity Security (7,800 Shares)	**	76,440
	MDC PARTNERS INC COMMON	Equity Security (23,200 Shares)	**	58,232
	MFA FINANCIAL INC REIT	Equity Security (13,600 Shares)	**	52,904
	M/I HOMES INC COMMON	Equity Security (4,500 Shares)	**	199,305
	MSG NETWORKS INC COMMON	Equity Security (7,800 Shares)	**	114,972
	MYR GROUP INC COMMON	Equity Security (1,800 Shares)	**	108,180
	MAGNOLIA OIL & GAS CORP	Equity Security (14,100 Shares)	**	99,546
	MARINEMAX INC COMMON	Equity Security (3,100 Shares)	**	108,593
	MASONITE INTERNATIONAL	Equity Security (1,900 Shares)	**	186,846
	MATRIX SERVICE CO COMMON	Equity Security (9,600 Shares)	**	105,792
	MATSON INC COMMON STOCK	Equity Security (2,700 Shares)	**	153,819
	MEDNAX INC COMMON STOCK	Equity Security (4,500 Shares)	**	110,430
	MERCANTILE BANK CORP	Equity Security (4,000 Shares)	**	108,680
	MERCER INTERNATIONAL INC	Equity Security (13,100 Shares)	**	134,275
	MERIDIAN BANCORP INC	Equity Security (3,600 Shares)	**	53,676
	MERITAGE HOMES CORP	Equity Security (2,400 Shares)	**	198,768
	MERITOR INC COMMON STOCK	Equity Security (7,500 Shares)	**	209,325
	METHODE ELECTRONICS INC	Equity Security (5,700 Shares)	**	218,196
	METROPOLITAN BANK	Equity Security (2,800 Shares)	**	101,556
	MICHAELS COS INC/THE	Equity Security (13,600 Shares)	**	176,936
	MIDLAND STATES BANCORP	Equity Security (10,700 Shares)	**	191,209
	MIDWESTONE FINANCIAL	Equity Security (4,300 Shares)	**	105,350
	HERMAN MILLER INC COMMON	Equity Security (4,100 Shares)	**	138,580
	MILLER INDUSTRIES INC/TN	Equity Security (2,700 Shares)	**	102,654
	MOOG INC-CLASS A	Equity Security (2,000 Shares)	**	158,600

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	MOTORCAR PARTS OF	Equity Security (7,700 Shares)	** \$	151,074
	MUELLER INDUSTRIES INC	Equity Security (3,000 Shares)	**	105,330
	MUELLER WATER PRODUCTS	Equity Security (8,400 Shares)	**	103,992
	NVE CORP COMMON STOCK	Equity Security (1,700 Shares)	**	95,506
	NATIONAL PRESTO	Equity Security (1,800 Shares)	**	159,174
	NATIONAL WESTERN LIFE	Equity Security (900 Shares)	**	185,796
	NATURAL GROCERS BY	Equity Security (6,100 Shares)	**	83,814
	NAVIENT CORP COMMON	Equity Security (14,200 Shares)	**	139,444
	NETGEAR INC COMMON STOCK	Equity Security (1,400 Shares)	**	56,882
	NEXTIER OILFIELD	Equity Security (61,200 Shares)	**	210,528
	NORTHFIELD BANCORP INC	Equity Security (9,200 Shares)	**	113,436
	NORTHRIM BANCORP INC	Equity Security (1,400 Shares)	**	47,530
	NORTHWESTERN CORP COMMON	Equity Security (3,300 Shares)	**	192,423
	NU SKIN ENTERPRISES INC	Equity Security (2,100 Shares)	**	114,723
	OASIS PETROLEUM INC	Equity Security (5,600 Shares)	**	207,536
	OCEANFIRST FINANCIAL	Equity Security (8,600 Shares)	**	160,218
	OIL STATES INTERNATIONAL	Equity Security (10,600 Shares)	**	53,212
	ONEWATER MARINE INC	Equity Security (5,300 Shares)	**	154,177
	ORRSTOWN FINANCIAL	Equity Security (2,900 Shares)	**	47,995
	OTTER TAIL CORP COMMON	Equity Security (5,000 Shares)	**	213,050
	PC CONNECTION INC COMMON	Equity Security (3,400 Shares)	**	160,786
	PDC ENERGY INC COMMON	Equity Security (8,700 Shares)	**	178,611
	PNM RESOURCES INC COMMON	Equity Security (4,000 Shares)	**	194,120
	PACWEST BANCORP COMMON	Equity Security (9,000 Shares)	**	228,600
	PEAPACK-GLADSTONE	Equity Security (7,000 Shares)	**	159,320
	PEBBLEBROOK HOTEL TRUST	Equity Security (8,100 Shares)	**	152,280
	PENN VIRGINIA CORP	Equity Security (9,400 Shares)	**	95,410
	PENSKE AUTOMOTIVE GROUP	Equity Security (1,800 Shares)	**	106,902
	PEOPLES BANCORP INC/OH	Equity Security (2,200 Shares)	**	59,598
	PETMED EXPRESS INC	Equity Security (1,700 Shares)	**	54,502
	PHOTRONICS INC COMMON	Equity Security (19,500 Shares)	**	217,620
	PLEXUS CORP COMMON STOCK	Equity Security (700 Shares)	**	54,747
	POPULAR INC COMMON STOCK	Equity Security (3,900 Shares)	**	219,648
	PORTLAND GENERAL	Equity Security (5,100 Shares)	**	218,127
	POWELL INDUSTRIES INC	Equity Security (3,400 Shares)	**	100,266
	PQ GROUP HOLDINGS INC	Equity Security (7,400 Shares)	**	105,524
	PREFERRED BANK/LOS	Equity Security (3,300 Shares)	**	166,551
	PREFORMED LINE PRODUCTS	Equity Security (1,700 Shares)	**	116,348
	PREMIER FINANCIAL CORP	Equity Security (4,400 Shares)	**	101,200
	PRIMORIS SERVICES CORP	Equity Security (7,700 Shares)	**	212,597
	PROASSURANCE CORP COMMON	Equity Security (8,800 Shares)	**	156,552
	PROPETRO HOLDING CORP	Equity Security (14,500 Shares)	**	107,155
	PROVIDENT FINANCIAL	Equity Security (9,600 Shares)	**	172,416
	RBB BANCORP COMMON STOCK	Equity Security (5,100 Shares)	**	78,438
	RMR GROUP INC/THE COMMON	Equity Security (5,700 Shares)	**	220,134
	RADIAN GROUP INC COMMON	Equity Security (6,600 Shares)	**	133,650
	RANGE RESOURCES CORP	Equity Security (28,900 Shares)	**	193,630
	RE/MAX HOLDINGS INC	Equity Security (1,400 Shares)	**	50,862
	RENT-A-CENTER INC/TX	Equity Security (4,600 Shares)	**	176,134
	REPUBLIC BANCORP INC/KY	Equity Security (2,200 Shares)	**	79,354
	RESIDEO TECHNOLOGIES INC	Equity Security (10,800 Shares)	**	229,608

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	RESOURCES CONNECTION INC	Equity Security (12,300 Shares)	** \$	154,611
	REX AMERICAN RESOURCES	Equity Security (1,600 Shares)	**	117,552
	ROCKY BRANDS INC COMMON	Equity Security (1,700 Shares)	**	47,719
	RUSH ENTERPRISES INC	Equity Security (5,150 Shares)	**	213,313
	S&T BANCORP INC COMMON	Equity Security (9,000 Shares)	**	223,560
	SLM CORP COMMON STOCK	Equity Security (13,000 Shares)	**	161,070
	SANDY SPRING BANCORP INC	Equity Security (1,700 Shares)	**	54,723
	SANMINA CORP COMMON	Equity Security (6,700 Shares)	**	213,663
	SCANSOURCE INC COMMON	Equity Security (7,800 Shares)	**	205,764
	SCHNITZER STEEL	Equity Security (2,100 Shares)	**	67,011
	SCHNEIDER NATIONAL INC	Equity Security (2,600 Shares)	**	53,820
	SELECT ENERGY SERVICES	Equity Security (26,400 Shares)	**	108,240
	SERITAGE GROWTH	Equity Security (5,800 Shares)	**	85,144
	SIERRA BANCORP COMMON	Equity Security (2,300 Shares)	**	55,016
	SIMMONS FIRST NATIONAL	Equity Security (2,400 Shares)	**	51,816
	SOLARIS OILFIELD	Equity Security (18,500 Shares)	**	150,590
	SONIC AUTOMOTIVE INC	Equity Security (4,600 Shares)	**	177,422
	SOUTH JERSEY INDUSTRIES	Equity Security (10,000 Shares)	**	215,500
	SOUTHERN MISSOURI	Equity Security (2,000 Shares)	**	60,880
	SOUTHERN NATIONAL	Equity Security (8,900 Shares)	**	107,779
	SOUTHWEST GAS HOLDINGS	Equity Security (3,400 Shares)	**	206,550
	SPIRIT AIRLINES INC	Equity Security (4,100 Shares)	**	100,245
	SPIRE INC COMMON STOCK	Equity Security (3,100 Shares)	**	198,524
	SPORTSMAN'S WAREHOUSE	Equity Security (16,800 Shares)	**	294,840
	SPROUTS FARMERS MARKET	Equity Security (5,300 Shares)	**	106,530
	STAR GROUP LP COMMON	Equity Security (8,300 Shares)	**	78,103
	STATE AUTO FINANCIAL	Equity Security (5,700 Shares)	**	101,118
	STEELCASE INC COMMON	Equity Security (15,400 Shares)	**	208,670
	STERLING CONSTRUCTION CO	Equity Security (5,800 Shares)	**	107,938
	STEWART INFORMATION	Equity Security (4,300 Shares)	**	207,948
	STIFEL FINANCIAL CORP	Equity Security (4,500 Shares)	**	227,070
	SUPER MICRO COMPUTER INC	Equity Security (6,500 Shares)	**	205,790
	SYKES ENTERPRISES INC	Equity Security (3,800 Shares)	**	143,146
	SYNOVUS FINANCIAL CORP	Equity Security (6,600 Shares)	**	213,642
	TRI POINTE HOMES INC	Equity Security (11,400 Shares)	**	196,650
	TPG RE FINANCE TRUST INC	Equity Security (4,400 Shares)	**	46,728
	TAYLOR MORRISON HOME	Equity Security (7,900 Shares)	**	202,635
	TEGNA INC COMMON STOCK	Equity Security (3,200 Shares)	**	44,640
	TERRITORIAL BANCORP INC	Equity Security (1,900 Shares)	**	45,657
	TEXAS CAPITAL BANCSHARES	Equity Security (3,600 Shares)	**	214,200
	ODP CORP/THE COMMON	Equity Security (5,700 Shares)	**	167,010
	THERMON GROUP HOLDINGS	Equity Security (12,100 Shares)	**	189,123
	TILLY'S INC COMMON STOCK	Equity Security (6,400 Shares)	**	52,224
	TOWNE BANK/PORTSMOUTH VA	Equity Security (6,900 Shares)	**	162,012
	TREDEGAR CORP COMMON	Equity Security (5,500 Shares)	**	91,850
	TRICO BANCSHARES COMMON	Equity Security (1,500 Shares)	**	52,920
	TRIMAS CORP COMMON STOCK	Equity Security (1,700 Shares)	**	53,839
	TRISTATE CAPITAL	Equity Security (6,500 Shares)	**	113,100
	TRUEBLUE INC COMMON	Equity Security (11,200 Shares)	**	209,328
	TRUSTCO BANK CORP NY	Equity Security (24,300 Shares)	**	162,081
	TRUSTMARK CORP COMMON	Equity Security (2,000 Shares)	**	54,620

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	TUTOR PERINI CORP COMMON	Equity Security (11,000 Shares)	** \$	142,450
	UFP INDUSTRIES INC	Equity Security (900 Shares)	**	49,995
	USANA HEALTH SCIENCES	Equity Security (2,100 Shares)	**	161,910
	UMPQUA HOLDINGS CORP	Equity Security (10,900 Shares)	**	165,026
	UNIVERSAL LOGISTICS	Equity Security (5,900 Shares)	**	121,481
	UNIVEST FINANCIAL CORP	Equity Security (5,400 Shares)	**	111,132
	URBAN OUTFITTERS INC	Equity Security (4,000 Shares)	**	102,400
	US ECOLOGY INC COMMON	Equity Security (1,500 Shares)	**	54,495
	VALLEY NATIONAL BANCORP	Equity Security (11,600 Shares)	**	113,100
	VALMONT INDUSTRIES INC	Equity Security (1,200 Shares)	**	209,916
	VANDA PHARMACEUTICALS	Equity Security (8,100 Shares)	**	106,434
	VECTRUS INC COMMON STOCK	Equity Security (4,300 Shares)	**	213,796
	VERA BRADLEY INC COMMON	Equity Security (20,800 Shares)	**	165,568
	VICTORY CAPITAL HOLDINGS	Equity Security (7,400 Shares)	**	183,594
	VILLAGE SUPER MARKET INC	Equity Security (5,100 Shares)	**	112,506
	VISHAY INTERTECHNOLOGY	Equity Security (10,400 Shares)	**	215,384
	WABASH NATIONAL CORP	Equity Security (11,200 Shares)	**	192,976
	WARRIOR MET COAL INC	Equity Security (5,700 Shares)	**	121,524
	WASHINGTON FEDERAL INC	Equity Security (8,400 Shares)	**	216,216
	WASHINGTON TRUST BANCORP	Equity Security (2,500 Shares)	**	112,000
	WEBSTER FINANCIAL CORP	Equity Security (5,100 Shares)	**	214,965
	WEIS MARKETS INC COMMON	Equity Security (2,100 Shares)	**	100,401
	WESCO INTERNATIONAL INC	Equity Security (2,800 Shares)	**	219,800
	WHITING PETROLEUM CORP	Equity Security (8,500 Shares)	**	212,500
	WINTRUST FINANCIAL CORP	Equity Security (3,500 Shares)	**	213,815
	WORLD FUEL SERVICES CORP	Equity Security (3,500 Shares)	**	109,060
	WORTHINGTON INDUSTRIES	Equity Security (2,100 Shares)	**	107,814
	TRAVEL + LEISURE CO	Equity Security (3,800 Shares)	**	170,468
	XPERI HOLDING CORP	Equity Security (10,800 Shares)	**	225,720
	ZUMIEZ INC COMMON STOCK	Equity Security (2,900 Shares)	**	106,662
	ADIENT PLC COMMON STOCK	Equity Security (6,000 Shares)	**	208,620
	ARGO GROUP INTERNATIONAL	Equity Security (4,800 Shares)	**	209,760
	AXIS CAPITAL HOLDINGS	Equity Security (4,000 Shares)	**	201,560
	ENSTAR GROUP LTD COMMON	Equity Security (1,000 Shares)	**	204,890
	ESSENT GROUP LTD COMMON	Equity Security (2,400 Shares)	**	103,680
	INTERNATIONAL GAME	Equity Security (6,600 Shares)	**	111,804
	JAMES RIVER GROUP	Equity Security (2,200 Shares)	**	108,130
	LAZARD LTD COMMON STOCK	Equity Security (5,300 Shares)	**	224,190
	TECHNIPFMC PLC COMMON	Equity Security (6,400 Shares)	**	60,160
	THIRD POINT REINSURANCE	Equity Security (16,600 Shares)	**	158,032
	TRINSEO SA COMMON STOCK	Equity Security (4,500 Shares)	**	230,445
	TARO PHARMACEUTICAL	Equity Security (3,200 Shares)	**	234,944
	CORE LABORATORIES NV	Equity Security (2,100 Shares)	**	55,671
	FRANK'S INTERNATIONAL NV	Equity Security (79,200 Shares)	**	217,008
	HOEGH LNG PARTNERS LP	Equity Security (3,800 Shares)	**	54,340
	ACCELERON PHARMA INC	Equity Security (3,212 Shares)	**	410,943
	ADAPTIVE BIOTECHNOLOGIES	Equity Security (3,111 Shares)	**	183,953
	ADVANCED DRAINAGE	Equity Security (1,843 Shares)	**	154,038
	ARGENX SE ADR USD	Equity Security (2,547 Shares)	**	749,047
	ASCENDIS PHARMA A/S ADR	Equity Security (4,356 Shares)	**	726,494
	AVALARA INC COMMON STOCK	Equity Security (3,497 Shares)	**	576,620

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	BWX TECHNOLOGIES INC	Equity Security (3,850 Shares)	**	\$ 232,078
	BENTLEY SYSTEMS INC	Equity Security (5,746 Shares)	**	232,770
	BLACKLINE INC COMMON	Equity Security (9,583 Shares)	**	1,278,181
	BRIGHT HORIZONS FAMILY	Equity Security (2,391 Shares)	**	413,619
	CASEY'S GENERAL STORES	Equity Security (2,247 Shares)	**	401,359
	CHEGG INC COMMON STOCK	Equity Security (15,781 Shares)	**	1,425,498
	COGNEX CORP COMMON STOCK	Equity Security (2,588 Shares)	**	207,778
	CREE INC COMMON STOCK	Equity Security (3,714 Shares)	**	393,313
	DENALI THERAPEUTICS INC	Equity Security (1,899 Shares)	**	159,060
	EVO PAYMENTS INC COMMON	Equity Security (8,657 Shares)	**	233,826
	FLOOR & DECOR HOLDINGS	Equity Security (4,205 Shares)	**	390,434
	GLAUKOS CORP COMMON	Equity Security (5,967 Shares)	**	449,076
	GUIDEWIRE SOFTWARE INC	Equity Security (5,227 Shares)	**	672,872
	HALOZYME THERAPEUTICS	Equity Security (34,759 Shares)	**	1,484,557
	HUBSPOT INC COMMON STOCK	Equity Security (3,020 Shares)	**	1,197,249
	IRHYTHM TECHNOLOGIES INC	Equity Security (2,427 Shares)	**	575,709
	GARDNER DENVER HOLDINGS	Equity Security (13,264 Shares)	**	604,308
	INVITAE CORP COMMON	Equity Security (4,047 Shares)	**	169,205
	IOVANCE BIOTHERAPEUTICS	Equity Security (13,091 Shares)	**	607,422
	JOHN BEAN TECHNOLOGIES	Equity Security (4,781 Shares)	**	544,412
	LATTICE SEMICONDUCTOR	Equity Security (18,593 Shares)	**	851,931
	LIVEPERSON INC COMMON	Equity Security (14,669 Shares)	**	912,852
	LIVERAMP HOLDINGS INC	Equity Security (6,272 Shares)	**	459,048
	MESA LABORATORIES INC	Equity Security (591 Shares)	**	169,404
	MONOLITHIC POWER SYSTEMS	Equity Security (2,041 Shares)	**	747,475
	MORNINGSTAR INC COMMON	Equity Security (1,360 Shares)	**	314,935
	NEOGENOMICS INC COMMON	Equity Security (21,314 Shares)	**	1,147,546
	NEW YORK TIMES CO/THE	Equity Security (7,547 Shares)	**	390,708
	NOVANTA INC COMMON STOCK	Equity Security (4,708 Shares)	**	556,580
	OLLIE'S BARGAIN OUTLET	Equity Security (6,878 Shares)	**	562,414
	ORCHARD THERAPEUTICS PLC	Equity Security (12,517 Shares)	**	54,073
	PAPA JOHN'S	Equity Security (2,377 Shares)	**	201,688
	Q2 HOLDINGS INC COMMON	Equity Security (9,471 Shares)	**	1,198,366
	RADIUS HEALTH INC COMMON	Equity Security (6,013 Shares)	**	107,392
	REPLIGEN CORP COMMON	Equity Security (764 Shares)	**	146,405
	SAGE THERAPEUTICS INC	Equity Security (1,835 Shares)	**	158,746
	SHOCKWAVE MEDICAL INC	Equity Security (3,496 Shares)	**	362,605
	SMARTSHEET INC COMMON	Equity Security (2,302 Shares)	**	159,506
	SOUTH MOUNTAIN MERGER	Equity Security (5,302 Shares)	**	85,521
	SUMO LOGIC INC COMMON	Equity Security (2,359 Shares)	**	67,420
	TABULA RASA HEALTHCARE	Equity Security (5,752 Shares)	**	246,416
	TELEDYNE TECHNOLOGIES	Equity Security (2,123 Shares)	**	832,174
	TREX CO INC COMMON STOCK	Equity Security (1,944 Shares)	**	162,752
	TWIST BIOSCIENCE CORP	Equity Security (1,327 Shares)	**	187,492
	TYLER TECHNOLOGIES INC	Equity Security (1,182 Shares)	**	515,967
	VAPOTHERM INC COMMON	Equity Security (8,271 Shares)	**	222,159
	VERACYTE INC COMMON	Equity Security (15,914 Shares)	**	778,831
	VERICEL CORP COMMON	Equity Security (2,310 Shares)	**	71,333
	WINGSTOP INC COMMON	Equity Security (1,964 Shares)	**	260,328
	Y-MABS THERAPEUTICS INC	Equity Security (4,703 Shares)	**	232,846
	YETI HOLDINGS INC COMMON	Equity Security (3,477 Shares)	**	238,070

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	ZYNGA INC COMMON STOCK	Equity Security (101,547 Shares)	** \$	1,002,269
	JFROG LTD COMMON STOCK	Equity Security (5,634 Shares)	**	353,984
	ALBANY INTERNATIONAL	Equity Security (2,150 Shares)	**	157,853
	ALTRA INDUSTRIAL MOTION	Equity Security (18,800 Shares)	**	1,042,084
	ATRICURE INC COMMON	Equity Security (16,850 Shares)	**	938,040
	AVANOS MEDICAL INC	Equity Security (26,150 Shares)	**	1,199,762
	B&G FOODS INC COMMON	Equity Security (16,050 Shares)	**	445,067
	BARNES GROUP INC COMMON	Equity Security (20,700 Shares)	**	1,049,283
	BLACKBAUD INC COMMON	Equity Security (5,700 Shares)	**	328,092
	BLACKLINE INC COMMON	Equity Security (3,200 Shares)	**	426,816
	BRYN MAWR BANK CORP	Equity Security (7,450 Shares)	**	227,933
	CSW INDUSTRIALS INC	Equity Security (2,800 Shares)	**	313,348
	CVB FINANCIAL CORP	Equity Security (18,950 Shares)	**	369,525
	CANTEL MEDICAL CORP	Equity Security (14,700 Shares)	**	1,159,242
	CARDIOVASCULAR SYSTEMS	Equity Security (25,650 Shares)	**	1,122,444
	CHASE CORP COMMON STOCK	Equity Security (2,050 Shares)	**	207,071
	COMMUNITY BANK SYSTEM	Equity Security (7,750 Shares)	**	482,903
	CONMED CORP COMMON STOCK	Equity Security (12,650 Shares)	**	1,416,800
	ELF BEAUTY INC COMMON	Equity Security (27,350 Shares)	**	688,947
	ENVESTNET INC COMMON	Equity Security (5,250 Shares)	**	432,023
	ENVISTA HOLDINGS CORP	Equity Security (7,450 Shares)	**	251,289
	EVOQUA WATER	Equity Security (37,700 Shares)	**	1,017,146
	FRESHPET INC COMMON	Equity Security (1,120 Shares)	**	159,029
	HB FULLER CO COMMON	Equity Security (4,650 Shares)	**	241,242
	GENERAC HOLDINGS INC	Equity Security (2,150 Shares)	**	488,932
	GERMAN AMERICAN BANCORP	Equity Security (9,250 Shares)	**	306,083
	GLOBUS MEDICAL INC	Equity Security (8,100 Shares)	**	528,282
	HOSTESS BRANDS INC	Equity Security (80,250 Shares)	**	1,174,860
	INDEPENDENT BANK CORP	Equity Security (9,500 Shares)	**	693,880
	INNOSPEC INC COMMON	Equity Security (5,900 Shares)	**	535,307
	INSPIRE MEDICAL SYSTEMS	Equity Security (4,350 Shares)	**	818,192
	INTEGRA LIFESCIENCES	Equity Security (21,960 Shares)	**	1,425,643
	J & SNACK FOODS CORP	Equity Security (4,625 Shares)	**	718,586
	JOHN BEAN TECHNOLOGIES	Equity Security (12,750 Shares)	**	1,451,843
	LANCASTER COLONY CORP	Equity Security (7,550 Shares)	**	1,387,162
	MGP INGREDIENTS INC	Equity Security (11,650 Shares)	**	548,249
	MSA SAFETY INC COMMON	Equity Security (7,150 Shares)	**	1,068,139
	MASIMO CORP COMMON STOCK	Equity Security (1,300 Shares)	**	348,894
	MEDALLIA INC COMMON	Equity Security (34,800 Shares)	**	1,156,056
	NATIONAL VISION HOLDINGS	Equity Security (13,100 Shares)	**	593,299
	NEW RELIC INC COMMON	Equity Security (17,000 Shares)	**	1,111,800
	OMNICELL INC COMMON	Equity Security (4,100 Shares)	**	492,082
	PALOMAR HOLDINGS INC	Equity Security (6,750 Shares)	**	599,670
	PENUMBRA INC COMMON	Equity Security (4,000 Shares)	**	700,000
	PLURALSIGHT INC COMMON	Equity Security (25,500 Shares)	**	534,480
	PRESTIGE CONSUMER	Equity Security (18,850 Shares)	**	657,300
	PROSPERITY BANCSHARES	Equity Security (8,400 Shares)	**	582,624
	PURE STORAGE INC COMMON	Equity Security (77,050 Shares)	**	1,742,101
	Q2 HOLDINGS INC COMMON	Equity Security (13,000 Shares)	**	1,644,890
	RBC BEARINGS INC COMMON	Equity Security (1,940 Shares)	**	347,338
	RITCHIE BROS AUCTIONEERS	Equity Security (7,800 Shares)	**	542,490

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	SAILPOINT TECHNOLOGIES	Equity Security (20,750 Shares)	** \$	1,104,730
	SALLY BEAUTY HOLDINGS	Equity Security (37,500 Shares)	**	489,000
	SELECTIVE INSURANCE	Equity Security (12,200 Shares)	**	817,156
	SENSIENT TECHNOLOGIES	Equity Security (13,400 Shares)	**	988,518
	SHAKE SHACK INC COMMON	Equity Security (2,800 Shares)	**	237,384
	SIMPLY GOOD FOODS CO/THE	Equity Security (43,100 Shares)	**	1,351,616
	STANDEX INTERNATIONAL	Equity Security (4,900 Shares)	**	379,848
	STOCK YARDS BANCORP INC	Equity Security (6,100 Shares)	**	246,928
	TACTILE SYSTEMS	Equity Security (12,900 Shares)	**	579,726
	TENABLE HOLDINGS INC	Equity Security (23,150 Shares)	**	1,209,819
	TREEHOUSE FOODS INC	Equity Security (18,400 Shares)	**	781,816
	TRIMAS CORP COMMON STOCK	Equity Security (25,350 Shares)	**	802,835
	UMB FINANCIAL CORP	Equity Security (10,950 Shares)	**	755,441
	UTZ BRANDS HOLDINGS LLC	Equity Security (12,650 Shares)	**	279,059
	VAPOTHERM INC COMMON	Equity Security (6,400 Shares)	**	171,904
	WASHINGTON TRUST BANCORP	Equity Security (5,550 Shares)	**	248,640
	JOHN WILEY & SONS INC	Equity Security (17,500 Shares)	**	799,050
	WINGSTOP INC COMMON	Equity Security (2,850 Shares)	**	377,768
	WOLVERINE WORLD WIDE INC	Equity Security (28,350 Shares)	**	885,938
	WORKIVA INC COMMON STOCK	Equity Security (5,350 Shares)	**	490,167
	YEXT INC COMMON STOCK	Equity Security (60,850 Shares)	**	956,562
	ARGO GROUP INTERNATIONAL	Equity Security (15,760 Shares)	**	688,712
	JAMES RIVER GROUP	Equity Security (11,450 Shares)	**	562,768
	DIAGEO PLC COMMON STOCK	Equity Security (57,540 Shares)	**	2,263,671
	RIO TINTO PLC COMMON	Equity Security (15,585 Shares)	**	1,165,324
	TESCO PLC GBP	Equity Security (377,892 Shares)	**	1,195,319
	CANADIAN NATIONAL	Equity Security (18,417 Shares)	**	2,023,107
	L'OREAL SA COMMON STOCK	Equity Security (3,541 Shares)	**	1,346,569
	LVMH MOET HENNESSY LOUIS	Equity Security (4,414 Shares)	**	2,759,243
	INTESA SANPAOLO SPA	Equity Security (394,107 Shares)	**	922,274
	CAPGEMINI SE COMMON	Equity Security (10,793 Shares)	**	1,674,492
	CARLSBERG AS COMMON	Equity Security (4,810 Shares)	**	771,055
	KBC GROUP NV COMMON	Equity Security (19,261 Shares)	**	1,349,906
	PERNOD RICARD SA COMMON	Equity Security (9,035 Shares)	**	1,733,389
	MERCK KGAA COMMON STOCK	Equity Security (8,900 Shares)	**	1,528,355
	SCHNEIDER ELECTRIC SE	Equity Security (24,819 Shares)	**	3,592,450
	SAP SE COMMON STOCK EUR	Equity Security (17,937 Shares)	**	2,353,138
	BAYER AG	Equity Security (23,318 Shares)	**	1,373,898
	BEIERSDORF AG COMMON	Equity Security (14,965 Shares)	**	1,729,237
	RANDSTAD NV COMMON STOCK	Equity Security (20,036 Shares)	**	1,305,181
	DASSAULT SYSTEMES SE	Equity Security (3,529 Shares)	**	717,420
	ZURICH INSURANCE GROUP	Equity Security (3,343 Shares)	**	1,412,535
	KONINKLIJKE PHILIPS NV	Equity Security (30,272 Shares)	**	1,621,581
	DBS GROUP HOLDINGS LTD	Equity Security (66,700 Shares)	**	1,263,699
	KOSE CORP COMMON STOCK	Equity Security (4,400 Shares)	**	750,061
	DAIKIN INDUSTRIES LTD	Equity Security (10,900 Shares)	**	2,419,759
	FANUC CORP COMMON STOCK	Equity Security (1,200 Shares)	**	294,755
	HITACHI LTD COMMON STOCK	Equity Security (40,900 Shares)	**	1,610,330
	HOYA CORP COMMON STOCK	Equity Security (17,900 Shares)	**	2,474,047
	JAPAN TOBACCO INC COMMON	Equity Security (38,500 Shares)	**	783,835
	KOITO MANUFACTURING CO	Equity Security (12,200 Shares)	**	829,522

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	KUBOTA CORP COMMON STOCK	Equity Security (73,200 Shares)	** \$	1,595,944
	KYOCERA CORP COMMON	Equity Security (18,400 Shares)	**	1,127,400
	DENSO CORP COMMON STOCK	Equity Security (13,400 Shares)	**	796,122
	OLYMPUS CORP COMMON	Equity Security (70,500 Shares)	**	1,540,832
	SMC CORP COMMON STOCK	Equity Security (600 Shares)	**	365,829
	SHIN-ETSU CHEMICAL CO	Equity Security (6,800 Shares)	**	1,188,164
	TERUMO CORP COMMON STOCK	Equity Security (41,300 Shares)	**	1,724,884
	DEUTSCHE BOERSE AG	Equity Security (8,842 Shares)	**	1,506,494
	NOVARTIS AG COMMON STOCK	Equity Security (17,426 Shares)	**	1,649,058
	ROCHE HOLDING AG COMMON	Equity Security (10,925 Shares)	**	3,819,023
	NESTLE SA COMMON STOCK	Equity Security (32,622 Shares)	**	3,847,695
	ENI SPA COMMON STOCK EUR	Equity Security (49,634 Shares)	**	519,117
	ESSILORLUXOTTICA SA	Equity Security (7,471 Shares)	**	1,165,953
	RYANAIR HOLDINGS PLC ADR	Equity Security (10,934 Shares)	**	1,202,521
	MTU AERO ENGINES AG	Equity Security (2,306 Shares)	**	602,109
	ENGIE SA COMMON STOCK	Equity Security (64,086 Shares)	**	981,724
	LEGRAND SA COMMON STOCK	Equity Security (16,900 Shares)	**	1,509,494
	EXPERIAN PLC COMMON	Equity Security (38,271 Shares)	**	1,452,775
	GALP ENERGIA SGPS SA	Equity Security (60,087 Shares)	**	643,589
	SMITHS GROUP PLC COMMON	Equity Security (33,815 Shares)	**	695,431
	DANONE SA COMMON STOCK	Equity Security (20,892 Shares)	**	1,374,235
	AIR LIQUIDE SA COMMON	Equity Security (17,917 Shares)	**	2,943,075
	RECKITT BENCKISER GROUP	Equity Security (12,701 Shares)	**	1,135,798
	RELX PLC COMMON STOCK	Equity Security (22,557 Shares)	**	552,705
	AMADEUS IT GROUP SA	Equity Security (31,964 Shares)	**	2,329,365
	SUNCOR ENERGY INC COMMON	Equity Security (21,150 Shares)	**	354,437
	JULIUS BAER GROUP LTD	Equity Security (19,588 Shares)	**	1,130,141
	AIA GROUP LTD COMMON	Equity Security (251,000 Shares)	**	3,075,246
	ROLLS-ROYCE HOLDINGS PLC	Equity Security (481,283 Shares)	**	731,902
	CIE FINANCIERE RICHEMONT	Equity Security (13,212 Shares)	**	1,196,919
	COMPASS GROUP PLC COMMON	Equity Security (71,456 Shares)	**	1,331,335
	ESSITY AB COMMON STOCK	Equity Security (24,350 Shares)	**	784,236
	SIKA AG COMMON STOCK CHF	Equity Security (3,362 Shares)	**	919,658
	NOVO NORDISK A/S COMMON	Equity Security (27,252 Shares)	**	1,911,246
	AKZO NOBEL NV COMMON	Equity Security (15,922 Shares)	**	1,711,633
	ALCON INC COMMON STOCK	Equity Security (3,485 Shares)	**	231,979
	CIE FINANCIERE RICHEMONT	Equity Security (26,424 Shares)	**	6,875
	UBS GROUP AG COMMON	Equity Security (116,264 Shares)	**	1,640,152
	LINDE PLC COMMON STOCK	Equity Security (11,006 Shares)	**	2,872,364
	RELX PLC COMMON STOCK	Equity Security (45,148 Shares)	**	1,106,474
	QIAGEN NV COMMON STOCK	Equity Security (15,311 Shares)	**	795,249
	ING GROEP NV COMMON	Equity Security (144,638 Shares)	**	1,352,242
	CHECK POINT SOFTWARE	Equity Security (10,530 Shares)	**	1,399,542
	BATTALION OIL CORP	Equity Security (659 Shares)	**	5,470
	HEXION HOLDINGS CORP	Equity Security (312 Shares)	**	3,822
	WHITING PETROLEUM CORP	Equity Security (3,297 Shares)	**	82,425
	MCDERMOTT INTERNATIONAL	Equity Security (1,834 Shares)	**	1,486
				<u>233,423,772</u>

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value	Cost	(e) Current Value
Self-directed Brokerage Accounts:				
	SELF DIRECTED BROKERAGE ACCOUNT	Self-Directed Brokerage Account	**	\$ 160,012,956
Registered Investment Funds:				
	ALLIANCEBERNSTEIN	Registered Investment Fund (3,100 Shares)	**	104,691
	AMERICA FIRST	Registered Investment Fund (10,400 Shares)	**	44,202
	BP MIDSTREAM PARTNERS LP	Registered Investment Fund (5,000 Shares)	**	53,002
	NOBLE MIDSTREAM PARTNERS	Registered Investment Fund (10,100 Shares)	**	105,245
	RATTLER MIDSTREAM LP LTD	Registered Investment Fund (11,100 Shares)	**	105,232
	SHELL MIDSTREAM PARTNERS	Registered Investment Fund (10,700 Shares)	**	107,860
	SUBURBAN PROPANE	Registered Investment Fund (13,000 Shares)	**	193,188
	WESTLAKE CHEMICAL	Registered Investment Fund (4,900 Shares)	**	116,821
*	JPMORGAN US VAR 12/49	Registered Investment Fund (1,000,624 Shares)	**	1,000,624
*	JPMORGAN US VAR 12/49	Registered Investment Fund (1,131,873 Shares)	**	1,131,873
*	JPMORGAN US VAR 12/49	Registered Investment Fund (2,396,253 Shares)	**	2,396,253
	DFA INTERNATIONAL SMALL	Registered Investment Fund (1,745,154 Shares)	**	35,391,730
	DFA EMERGING MARKETS	Registered Investment Fund (1,448,762 Shares)	**	35,161,443
	PIMCO HIGH YIELD FUND	Registered Investment Fund (3,123,016 Shares)	**	28,356,987
*	JPMORGAN US VAR 12/49	Registered Investment Fund (13,342,891 Shares)	**	13,342,891
*	JPMORGAN US VAR 12/49	Registered Investment Fund (1,507,789 Shares)	**	1,507,789
*	JPMORGAN US VAR 12/49	Registered Investment Fund (1,805,550 Shares)	**	1,805,550
*	JPMORGAN US VAR 12/49	Registered Investment Fund (1,013,747 Shares)	**	1,013,747
				<u>121,939,128</u>
Fixed Income Securities:				
	ARD FINANCE 6.5% 06/27	Fixed Income Security (200,000 Shares)	**	213,238
	AERIE 1.5% 10/24	Fixed Income Security (35,000 Shares)	**	31,822
	OVINTIV INC 7.375% 11/31	Fixed Income Security (5,000 Shares)	**	5,665
	ALBERTSONS 4.875% 02/30	Fixed Income Security (85,000 Shares)	**	93,389
	ALLEGHENY 7.875% 08/23	Fixed Income Security (120,000 Shares)	**	131,050
	ALLEGHENY 5.875% 12/27	Fixed Income Security (15,000 Shares)	**	15,516
	AMERICAN 11.75% 07/25	Fixed Income Security (65,000 Shares)	**	74,690
	AMERICAN 4.875% 03/26	Fixed Income Security (165,000 Shares)	**	168,854
	AMWINS GROUP 7.75% 07/26	Fixed Income Security (15,000 Shares)	**	15,837
	ANTERO 8.375% 07/26	Fixed Income Security (10,000 Shares)	**	9,936
	APACHE CORP 4.25% 01/44	Fixed Income Security (35,000 Shares)	**	34,261
	APACHE CORP 4.25% 01/30	Fixed Income Security (50,000 Shares)	**	52,229

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	ARAMARK 4.75% 06/26	Fixed Income Security (20,000 Shares)	** \$	20,318
	ARAMARK 6.375% 05/25	Fixed Income Security (65,000 Shares)	**	69,197
	ARCELORMITTAL 7% 03/41	Fixed Income Security (65,000 Shares)	**	89,304
	ARCHES 6.125% 12/28	Fixed Income Security (10,000 Shares)	**	10,056
	ARDAGH 5.25% 08/27	Fixed Income Security (200,000 Shares)	**	209,691
	ASSUREDPARTN 5.625% 01/29	Fixed Income Security (30,000 Shares)	**	31,041
	AVANTOR 4.625% 07/28	Fixed Income Security (80,000 Shares)	**	84,329
	AVIATION 5.5% 12/24	Fixed Income Security (85,000 Shares)	**	93,829
	AVIS BUDGET 5.75% 07/27	Fixed Income Security (50,000 Shares)	**	50,791
	B&G FOODS 5.25% 04/25	Fixed Income Security (80,000 Shares)	**	82,329
	LBM 6.25% 01/29	Fixed Income Security (15,000 Shares)	**	15,216
	BAUSCH 5.25% 01/30	Fixed Income Security (235,000 Shares)	**	246,479
	BAUSCH 5.25% 02/31	Fixed Income Security (70,000 Shares)	**	72,859
	BIOMARIN 0.599% 08/24	Fixed Income Security (140,000 Shares)	**	149,961
	BIOMARIN 1.25% 05/27	Fixed Income Security (115,000 Shares)	**	119,904
	BLUE RACER 7.625% 12/25	Fixed Income Security (5,000 Shares)	**	5,054
	BOEING 3.375% 06/46	Fixed Income Security (50,000 Shares)	**	48,519
	BOEING 3.65% 03/47	Fixed Income Security (10,000 Shares)	**	9,851
	BOEING 3.55% 03/38	Fixed Income Security (5,000 Shares)	**	4,830
	BOEING 3.625% 03/48	Fixed Income Security (30,000 Shares)	**	29,976
	BOEING 3.85% 11/48	Fixed Income Security (5,000 Shares)	**	4,886
	BOEING CO/THE 3.5% 03/39	Fixed Income Security (15,000 Shares)	**	14,904
	BOEING 3.825% 03/59	Fixed Income Security (5,000 Shares)	**	4,808
	BOEING CO/THE 3.9% 05/49	Fixed Income Security (30,000 Shares)	**	31,565
	BOEING 3.25% 02/35	Fixed Income Security (10,000 Shares)	**	9,984
	BOEING 3.75% 02/50	Fixed Income Security (30,000 Shares)	**	31,276
	BOEING 5.705% 05/40	Fixed Income Security (15,000 Shares)	**	19,195
	BOEING 5.805% 05/50	Fixed Income Security (210,000 Shares)	**	289,139
	BOEING 5.93% 05/60	Fixed Income Security (30,000 Shares)	**	42,287
	BOEING 5.15% 05/30	Fixed Income Security (145,000 Shares)	**	175,215
	BOMBARDIER 6.125% 01/23	Fixed Income Security (55,000 Shares)	**	53,491
	BOMBARDIER INC 6% 10/22	Fixed Income Security (115,000 Shares)	**	112,618
	BOYD GAMING 6% 08/26	Fixed Income Security (50,000 Shares)	**	51,604
	BOYD GAMING 4.75% 12/27	Fixed Income Security (85,000 Shares)	**	88,022
	BROOKFIELD 5.75% 05/26	Fixed Income Security (75,000 Shares)	**	73,604
	BUCKEYE 5.85% 11/43	Fixed Income Security (15,000 Shares)	**	14,488
	BUCKEYE 5.6% 10/44	Fixed Income Security (25,000 Shares)	**	23,760
	BUILDERS 5% 03/30	Fixed Income Security (60,000 Shares)	**	64,716
	CCO HOLDINGS 4.75% 03/30	Fixed Income Security (625,000 Shares)	**	674,104
	CHS/COMMUNITY 8% 03/26	Fixed Income Security (185,000 Shares)	**	199,066
	CHS/COMMUNIT 6.625% 02/25	Fixed Income Security (115,000 Shares)	**	120,764
	CHS/COMMUNIT 5.625% 03/27	Fixed Income Security (35,000 Shares)	**	37,362
	CHS/COMMUNITY 6% 01/29	Fixed Income Security (20,000 Shares)	**	21,334
	CP ATLAS BUYER 7% 12/28	Fixed Income Security (15,000 Shares)	**	15,329
	CSC 4.125% 12/30	Fixed Income Security (200,000 Shares)	**	208,849
	CAESARS 5.75% 07/25	Fixed Income Security (30,000 Shares)	**	31,516
	CAMELOT 4.5% 11/26	Fixed Income Security (90,000 Shares)	**	93,666
	CARNIVAL 9.875% 08/27	Fixed Income Security (45,000 Shares)	**	51,479
	CARNIVAL 7.625% 03/26	Fixed Income Security (40,000 Shares)	**	43,308
	CARVANA CO 5.625% 10/25	Fixed Income Security (125,000 Shares)	**	128,010
	CARVANA CO 5.875% 10/28	Fixed Income Security (30,000 Shares)	**	30,891

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	CEDAR FAIR 5.375% 06/24	Fixed Income Security (35,000 Shares)	** \$	34,816
	CENOVUS 4.25% 04/27	Fixed Income Security (10,000 Shares)	**	10,649
	CENOVUS 5.25% 06/37	Fixed Income Security (5,000 Shares)	**	5,389
	CENOVUS 5.4% 06/47	Fixed Income Security (55,000 Shares)	**	64,294
	CENOVUS 5.375% 07/25	Fixed Income Security (35,000 Shares)	**	39,189
	CENTENNIAL 8% 06/25	Fixed Income Security (50,000 Shares)	**	43,979
	CHEGG INC ZERO 09/26	Fixed Income Security (15,000 Shares)	**	16,502
	CHEMOURS 5.375% 05/27	Fixed Income Security (40,000 Shares)	**	42,329
	CINCINNATI 6.3% 12/28	Fixed Income Security (110,000 Shares)	**	116,879
	CLEAR 9.25% 02/24	Fixed Income Security (50,000 Shares)	**	50,354
	CLEVELAND-CL 9.875% 10/25	Fixed Income Security (35,000 Shares)	**	40,897
	HCA INC 8.36% 04/24	Fixed Income Security (80,000 Shares)	**	93,329
	HCA INC 7.69% 06/25	Fixed Income Security (60,000 Shares)	**	71,729
	COLUMBIA HCA 7.05% 12/27	Fixed Income Security (20,000 Shares)	**	23,954
	HCA INC 7.58% 09/25	Fixed Income Security (175,000 Shares)	**	210,604
	HCA INC 7.75% 07/36	Fixed Income Security (120,000 Shares)	**	154,829
	COMMERCIAL 5.375% 07/27	Fixed Income Security (115,000 Shares)	**	120,766
	COMMScope 7.125% 07/28	Fixed Income Security (155,000 Shares)	**	164,804
	COMMScope 6% 06/25	Fixed Income Security (10,000 Shares)	**	9,954
	COMMScope 5% 03/27	Fixed Income Security (220,000 Shares)	**	216,429
	COMSTOCK 9.75% 08/26	Fixed Income Security (35,000 Shares)	**	37,441
	CONTINENTAL 3.8% 06/24	Fixed Income Security (55,000 Shares)	**	56,522
	CONTINENTAL 4.9% 06/44	Fixed Income Security (45,000 Shares)	**	44,234
	CONTINENTAL 4.375% 01/28	Fixed Income Security (20,000 Shares)	**	20,233
	CORNERSTONE 6.125% 01/29	Fixed Income Security (20,000 Shares)	**	20,979
	DCP 5.125% 05/29	Fixed Income Security (90,000 Shares)	**	99,550
	DAVITA INC 3.75% 02/31	Fixed Income Security (75,000 Shares)	**	75,881
	DELTA AIR 7.375% 01/26	Fixed Income Security (60,000 Shares)	**	68,267
	DEVON ENERGY 5.6% 07/41	Fixed Income Security (20,000 Shares)	**	24,188
	DIAMOND 5.375% 08/26	Fixed Income Security (125,000 Shares)	**	101,291
	DIAMOND 6.625% 08/27	Fixed Income Security (25,000 Shares)	**	14,854
	DIAMONDBACK 3.5% 12/29	Fixed Income Security (10,000 Shares)	**	10,412
	DILLARD'S 7.875% 01/23	Fixed Income Security (10,000 Shares)	**	10,581
	DILLARD'S 7.75% 07/26	Fixed Income Security (110,000 Shares)	**	124,440
	DILLARD'S 7.75% 05/27	Fixed Income Security (20,000 Shares)	**	21,656
	DILLARD'S INC 7% 12/28	Fixed Income Security (40,000 Shares)	**	42,802
	DISH 3.375% 08/26	Fixed Income Security (295,000 Shares)	**	280,932
	DISH 2.375% 03/24	Fixed Income Security (165,000 Shares)	**	153,497
	DISH NETWORK ZERO 12/25	Fixed Income Security (20,000 Shares)	**	19,823
	DONNELLEY 8.25% 10/24	Fixed Income Security (90,000 Shares)	**	95,129
	DUN & 6.875% 08/26	Fixed Income Security (5,000 Shares)	**	5,104
	EQT CORP 8.5% 02/30	Fixed Income Security (65,000 Shares)	**	79,354
	EQT CORP 7.625% 02/25	Fixed Income Security (15,000 Shares)	**	16,810
	EQM MIDSTREAM 5.5% 07/28	Fixed Income Security (20,000 Shares)	**	21,586
	EQM MIDSTREAM 6% 07/25	Fixed Income Security (55,000 Shares)	**	59,954
	EQM MIDSTREAM 6.5% 07/27	Fixed Income Security (55,000 Shares)	**	61,661
	CAESARS 6.25% 07/25	Fixed Income Security (60,000 Shares)	**	63,629
	CAESARS 8.125% 07/27	Fixed Income Security (35,000 Shares)	**	38,475
	EMBRAER 5.05% 06/25	Fixed Income Security (25,000 Shares)	**	26,229
	EMBRAER 5.4% 02/27	Fixed Income Security (35,000 Shares)	**	36,917
	EMPIRE 7% 12/25	Fixed Income Security (25,000 Shares)	**	26,075

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	OVINTIV INC 6.5% 08/34	Fixed Income Security (50,000 Shares)	** \$	57,631
	OVINTIV INC 6.625% 08/37	Fixed Income Security (15,000 Shares)	**	16,466
	ENCOMPASS 4.5% 02/28	Fixed Income Security (175,000 Shares)	**	182,604
	ENERGIZER 4.375% 03/29	Fixed Income Security (55,000 Shares)	**	56,682
	ENDO DAC / 6% 06/28	Fixed Income Security (50,000 Shares)	**	42,229
	ENERGY TRANSFER 5% 05/50	Fixed Income Security (50,000 Shares)	**	53,873
	ENLINK 5.45% 06/47	Fixed Income Security (110,000 Shares)	**	88,308
	EXPEDIA 3.25% 02/30	Fixed Income Security (115,000 Shares)	**	119,414
	EXPEDIA 4.625% 08/27	Fixed Income Security (45,000 Shares)	**	49,994
	FIRST 6.875% 03/26	Fixed Income Security (350,000 Shares)	**	364,604
	FIVERR ZERO 11/25	Fixed Income Security (10,000 Shares)	**	11,798
	FORD MOTOR CO 8.5% 04/23	Fixed Income Security (185,000 Shares)	**	207,948
	FORD MOTOR CO 9% 04/25	Fixed Income Security (265,000 Shares)	**	324,621
	FORD MOTOR 4% 11/30	Fixed Income Security (200,000 Shares)	**	209,729
	FORD MOTOR 4.389% 01/26	Fixed Income Security (235,000 Shares)	**	246,404
	FREEDOM 7.625% 05/26	Fixed Income Security (65,000 Shares)	**	68,479
	FREEPORT-MCMO 4.25% 03/30	Fixed Income Security (200,000 Shares)	**	215,229
	GFL 4% 08/28	Fixed Income Security (55,000 Shares)	**	55,141
	GENERAL 6.25% 10/43	Fixed Income Security (125,000 Shares)	**	168,350
	GENERAL 5.2% 04/45	Fixed Income Security (40,000 Shares)	**	48,306
	GENERAL 6.75% 04/46	Fixed Income Security (45,000 Shares)	**	64,562
	GENESIS 7.75% 02/28	Fixed Income Security (75,000 Shares)	**	71,541
	GOODYEAR TIRE & 7% 03/28	Fixed Income Security (65,000 Shares)	**	70,538
	GRAFTECH 4.625% 12/28	Fixed Income Security (15,000 Shares)	**	14,897
	GRAHAM 7.125% 08/28	Fixed Income Security (25,000 Shares)	**	27,354
	GRAY 4.75% 10/30	Fixed Income Security (70,000 Shares)	**	71,041
	GUARDANT ZERO 11/27	Fixed Income Security (40,000 Shares)	**	46,530
	HCA INC 7.5% 11/33	Fixed Income Security (155,000 Shares)	**	215,179
	HTA GROUP 7% 12/25	Fixed Income Security (200,000 Shares)	**	214,729
	HARVEST 7.5% 09/28	Fixed Income Security (30,000 Shares)	**	31,641
	HERCULES LLC 6.5% 06/29	Fixed Income Security (370,000 Shares)	**	397,294
	HESS 5.625% 02/26	Fixed Income Security (70,000 Shares)	**	72,529
	HESS 5.125% 06/28	Fixed Income Security (170,000 Shares)	**	177,431
	HEXION INC 7.875% 07/27	Fixed Income Security (15,000 Shares)	**	15,779
	SERVICE 4.35% 10/24	Fixed Income Security (20,000 Shares)	**	19,479
	SERVICE 4.75% 10/26	Fixed Income Security (85,000 Shares)	**	83,666
	HOST HOTELS & 3.5% 09/30	Fixed Income Security (55,000 Shares)	**	57,674
	ICAHN 6.25% 05/26	Fixed Income Security (295,000 Shares)	**	312,104
	ICAHN 4.75% 09/24	Fixed Income Security (45,000 Shares)	**	46,472
	IHEARTCOMMUN 6.375% 05/26	Fixed Income Security (5,000 Shares)	**	5,079
	IHEARTCOMMUN 8.375% 05/27	Fixed Income Security (385,000 Shares)	**	410,701
	IHEARTCOMMUNI 4.75% 01/28	Fixed Income Security (65,000 Shares)	**	66,435
	INTEGRA 0.5% 08/25	Fixed Income Security (65,000 Shares)	**	71,256
	INTELLIGENT 6% 09/28	Fixed Income Security (30,000 Shares)	**	30,554
	IRON 4.875% 09/29	Fixed Income Security (145,000 Shares)	**	152,704
	JAGUAR HOLDING 5% 06/28	Fixed Income Security (45,000 Shares)	**	47,766
	KRAFT HEINZ 5% 06/42	Fixed Income Security (65,000 Shares)	**	75,992
	KRAFT HEINZ 4.375% 06/46	Fixed Income Security (150,000 Shares)	**	162,020
	KRAFT HEINZ 5.2% 07/45	Fixed Income Security (5,000 Shares)	**	5,674
	KRAFT HEINZ 4.875% 10/49	Fixed Income Security (100,000 Shares)	**	116,391
	KRAFT HEINZ 5.5% 06/50	Fixed Income Security (30,000 Shares)	**	37,521

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	L BRANDS 6.875% 11/35	Fixed Income Security (30,000 Shares)	** \$	33,404
	L BRANDS INC 6.75% 07/36	Fixed Income Security (30,000 Shares)	**	33,152
	L BRANDS INC 5.25% 02/28	Fixed Income Security (40,000 Shares)	**	41,472
	L BRANDS 6.625% 10/30	Fixed Income Security (30,000 Shares)	**	33,104
	LADDER 5.875% 08/21	Fixed Income Security (185,000 Shares)	**	184,729
	LAMAR MEDIA 3.75% 02/28	Fixed Income Security (110,000 Shares)	**	112,776
	LIBERTY MEDIA 0.5% 12/50	Fixed Income Security (60,000 Shares)	**	63,366
	LIFEPOINT 5.375% 01/29	Fixed Income Security (70,000 Shares)	**	69,578
	LIVE NATION 4.75% 10/27	Fixed Income Security (65,000 Shares)	**	66,349
	LIVE NATION 3.75% 01/28	Fixed Income Security (25,000 Shares)	**	24,989
	LIVEPERSON ZERO 12/26	Fixed Income Security (30,000 Shares)	**	32,498
	LOGMEIN INC 5.5% 09/27	Fixed Income Security (30,000 Shares)	**	31,154
	LONESTAR 11.25% 01/23	Fixed Income Security (170,000 Shares)	**	12,479
	LUMENTUM 0.5% 12/26	Fixed Income Security (20,000 Shares)	**	24,117
	MGM RESORTS 5.5% 04/27	Fixed Income Security (95,000 Shares)	**	105,606
	MGM GROWTH 5.75% 02/27	Fixed Income Security (55,000 Shares)	**	61,432
	MPH 5.75% 11/28	Fixed Income Security (25,000 Shares)	**	24,299
	MARRIOTT 4.75% 01/28	Fixed Income Security (105,000 Shares)	**	106,304
	MARRIOTT 6.125% 09/25	Fixed Income Security (5,000 Shares)	**	5,054
	MARRIOTT 6.5% 09/26	Fixed Income Security (115,000 Shares)	**	119,904
	MASONITE 5.375% 02/28	Fixed Income Security (45,000 Shares)	**	48,047
	MATADOR 5.875% 09/26	Fixed Income Security (15,000 Shares)	**	14,429
	MATTAMY 4.625% 03/30	Fixed Income Security (60,000 Shares)	**	63,329
	MECCANICA 7.375% 07/39	Fixed Income Security (100,000 Shares)	**	128,729
	MERITOR INC 4.5% 12/28	Fixed Income Security (15,000 Shares)	**	15,104
	METHANEX 5.65% 12/44	Fixed Income Security (30,000 Shares)	**	31,904
	METHANEX 5.25% 12/29	Fixed Income Security (5,000 Shares)	**	5,147
	MURPHY OIL 6.375% 12/42	Fixed Income Security (35,000 Shares)	**	30,572
	NCR CORP 8.125% 04/25	Fixed Income Security (15,000 Shares)	**	16,433
	NCR CORP 5% 10/28	Fixed Income Security (25,000 Shares)	**	26,104
	NCR CORP 5.25% 10/30	Fixed Income Security (25,000 Shares)	**	26,541
	NRG ENERGY 5.75% 01/28	Fixed Income Security (95,000 Shares)	**	103,516
	NATIONSTAR 5.125% 12/30	Fixed Income Security (60,000 Shares)	**	62,442
	NETFLIX INC 5.375% 11/29	Fixed Income Security (90,000 Shares)	**	105,816
	NETFLIX INC 4.875% 06/30	Fixed Income Security (150,000 Shares)	**	172,229
	NEUROCRINE 2.25% 05/24	Fixed Income Security (40,000 Shares)	**	54,920
	NEW FORTRESS 6.75% 09/25	Fixed Income Security (105,000 Shares)	**	111,258
	OVINTIV 5.375% 01/26	Fixed Income Security (55,000 Shares)	**	58,752
	NIELSEN 5.625% 10/28	Fixed Income Security (60,000 Shares)	**	64,922
	NIELSEN 5.875% 10/30	Fixed Income Security (60,000 Shares)	**	67,604
	NOKIA OYJ 4.375% 06/27	Fixed Income Security (80,000 Shares)	**	86,979
	NORDSTROM INC 5% 01/44	Fixed Income Security (40,000 Shares)	**	37,380
	NOVELIS CORP 4.75% 01/30	Fixed Income Security (100,000 Shares)	**	107,465
	NUSTAR 5.75% 10/25	Fixed Income Security (45,000 Shares)	**	47,654
	OCCIDENTAL 2.7% 02/23	Fixed Income Security (55,000 Shares)	**	54,676
	OCCIDENTAL 3.5% 06/25	Fixed Income Security (235,000 Shares)	**	226,729
	OCCIDENTAL 3.4% 04/26	Fixed Income Security (10,000 Shares)	**	9,263
	OCCIDENTAL 3% 02/27	Fixed Income Security (20,000 Shares)	**	17,529
	OCCIDENTAL 3.2% 08/26	Fixed Income Security (15,000 Shares)	**	13,754
	OCCIDENTAL 3.5% 08/29	Fixed Income Security (150,000 Shares)	**	137,004
	OCCIDENTAL 2.9% 08/24	Fixed Income Security (230,000 Shares)	**	221,104

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	OCCIDENTAL 4.4% 08/49	Fixed Income Security (115,000 Shares)	** \$	96,651
	OLIN CORP 5.125% 09/27	Fixed Income Security (20,000 Shares)	**	20,652
	OLIN CORP 5% 02/30	Fixed Income Security (50,000 Shares)	**	52,979
	OLIN CORP 5.625% 08/29	Fixed Income Security (5,000 Shares)	**	5,160
	1011778 BC 4.375% 01/28	Fixed Income Security (65,000 Shares)	**	66,679
	OUTFRONT 4.625% 03/30	Fixed Income Security (25,000 Shares)	**	25,282
	OUTFRONT 6.25% 06/25	Fixed Income Security (25,000 Shares)	**	26,104
	OXFORD 6.375% 12/22	Fixed Income Security (95,000 Shares)	**	94,491
	PBF HOLDING CO 6% 02/28	Fixed Income Security (20,000 Shares)	**	11,154
	PG&E CORP 5% 07/28	Fixed Income Security (40,000 Shares)	**	42,329
	PG&E CORP 5.25% 07/30	Fixed Income Security (40,000 Shares)	**	43,729
	PERFORMANCE 5.5% 10/27	Fixed Income Security (50,000 Shares)	**	52,479
	PM GENERAL 9.5% 10/28	Fixed Income Security (40,000 Shares)	**	44,029
	PALO ALTO 0.375% 06/25	Fixed Income Security (5,000 Shares)	**	6,313
	PAR 7.5% 04/27	Fixed Income Security (25,000 Shares)	**	26,854
	CLEVELAND-CL 9.875% 10/25	Fixed Income Security (45,000 Shares)	**	52,660
	PARKLAND 5.875% 07/27	Fixed Income Security (145,000 Shares)	**	156,507
	PARSLEY 5.375% 01/25	Fixed Income Security (20,000 Shares)	**	20,297
	PARSLEY 5.25% 08/25	Fixed Income Security (5,000 Shares)	**	4,936
	PARSLEY 5.625% 10/27	Fixed Income Security (60,000 Shares)	**	65,399
	AVIENT CORP 5.75% 05/25	Fixed Income Security (55,000 Shares)	**	58,166
	POST 4.625% 04/30	Fixed Income Security (40,000 Shares)	**	41,807
	PRESIDIO 4.875% 02/27	Fixed Income Security (25,000 Shares)	**	26,248
	PRESIDIO 8.25% 02/28	Fixed Income Security (30,000 Shares)	**	32,804
	PRIME 6.25% 01/28	Fixed Income Security (145,000 Shares)	**	155,399
	MODIVCARE 5.875% 11/25	Fixed Income Security (15,000 Shares)	**	15,591
	QUICKEN 5.25% 01/28	Fixed Income Security (170,000 Shares)	**	181,204
	QUICKEN 3.625% 03/29	Fixed Income Security (95,000 Shares)	**	96,629
	QUICKEN 3.875% 03/31	Fixed Income Security (95,000 Shares)	**	98,291
	RP ESCROW 5.25% 12/25	Fixed Income Security (20,000 Shares)	**	20,630
	RACKSPACE 5.375% 12/28	Fixed Income Security (30,000 Shares)	**	31,160
	RADIATE 6.5% 09/28	Fixed Income Security (60,000 Shares)	**	62,954
	RANGE RESOURCES 5% 03/23	Fixed Income Security (20,000 Shares)	**	19,229
	RANGE 9.25% 02/26	Fixed Income Security (40,000 Shares)	**	41,529
	REALOGY 9.375% 04/27	Fixed Income Security (25,000 Shares)	**	27,416
	REALOGY 7.625% 06/25	Fixed Income Security (40,000 Shares)	**	43,158
	NAVIENT 5.625% 08/33	Fixed Income Security (255,000 Shares)	**	244,529
	SM ENERGY CO 10% 01/25	Fixed Income Security (35,000 Shares)	**	37,354
	SS&C 5.5% 09/27	Fixed Income Security (45,000 Shares)	**	47,790
	SABRE GLBL 9.25% 04/25	Fixed Income Security (30,000 Shares)	**	35,429
	SABRE GLBL 7.375% 09/25	Fixed Income Security (20,000 Shares)	**	21,429
	NRG ENERGY 5.25% 06/29	Fixed Income Security (5,000 Shares)	**	5,229
	LEVIATHAN 6.125% 06/25	Fixed Income Security (25,000 Shares)	**	27,166
	LEVIATHAN 6.5% 06/27	Fixed Income Security (40,000 Shares)	**	44,465
	SCIENTIFIC 7% 05/28	Fixed Income Security (40,000 Shares)	**	42,737
	SCIENTIFIC 7.25% 11/29	Fixed Income Security (40,000 Shares)	**	43,629
	SERVICEMASTER 7.45% 08/27	Fixed Income Security (65,000 Shares)	**	75,372
	SERVICE 7.5% 09/25	Fixed Income Security (5,000 Shares)	**	5,490
	SHELF 8.25% 02/25	Fixed Income Security (100,000 Shares)	**	45,729
	SHIFT4 ZERO 12/25	Fixed Income Security (55,000 Shares)	**	66,844
	SHIFT4 4.625% 11/26	Fixed Income Security (20,000 Shares)	**	20,529

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	SIRIUS XM 4.125% 07/30	Fixed Income Security (100,000 Shares)	** \$	106,166
	SOUTHWEST 1.25% 05/25	Fixed Income Security (35,000 Shares)	**	50,566
	SOUTHWESTERN 6.45% 01/25	Fixed Income Security (55,000 Shares)	**	56,929
	SOUTHWESTERN 7.5% 04/26	Fixed Income Security (10,000 Shares)	**	10,219
	SOUTHWESTERN 7.75% 10/27	Fixed Income Security (5,000 Shares)	**	5,127
	SPEEDWAY 4.875% 11/27	Fixed Income Security (70,000 Shares)	**	69,029
	ONEMAIN 6.875% 03/25	Fixed Income Security (85,000 Shares)	**	98,435
	ONEMAIN 7.125% 03/26	Fixed Income Security (35,000 Shares)	**	41,116
	SPIRIT 4.6% 06/28	Fixed Income Security (70,000 Shares)	**	68,941
	SPIRIT 7.5% 04/25	Fixed Income Security (125,000 Shares)	**	133,791
	SPRINT 6.875% 11/28	Fixed Income Security (170,000 Shares)	**	223,870
	STAPLES INC 7.5% 04/26	Fixed Income Security (20,000 Shares)	**	20,614
	SUNOCO 5.4% 10/47	Fixed Income Security (30,000 Shares)	**	33,249
	SUNOCO LP / 4.5% 05/29	Fixed Income Security (40,000 Shares)	**	41,329
	TALLGRASS 6% 03/27	Fixed Income Security (75,000 Shares)	**	77,447
	TARGA RESOURCES 5% 01/28	Fixed Income Security (60,000 Shares)	**	63,062
	TARGA 5.5% 03/30	Fixed Income Security (85,000 Shares)	**	92,013
	TARGA 4.875% 02/31	Fixed Income Security (5,000 Shares)	**	5,177
	TAYLOR 5.75% 01/28	Fixed Income Security (40,000 Shares)	**	45,029
	TAYLOR 5.125% 08/30	Fixed Income Security (55,000 Shares)	**	61,329
	TELADOC 1.25% 06/27	Fixed Income Security (75,000 Shares)	**	89,557
	TELECOM 6.375% 11/33	Fixed Income Security (5,000 Shares)	**	5,879
	TELECOM ITALIA 6% 09/34	Fixed Income Security (125,000 Shares)	**	151,990
	TENET 5.125% 05/25	Fixed Income Security (155,000 Shares)	**	157,750
	TENET 6.125% 10/28	Fixed Income Security (215,000 Shares)	**	223,754
	TENNECO INC 7.875% 01/29	Fixed Income Security (15,000 Shares)	**	16,570
	TERRIER 8.875% 12/27	Fixed Income Security (40,000 Shares)	**	43,829
	TEVA 6.15% 02/36	Fixed Income Security (15,000 Shares)	**	15,666
	TEVA 4.1% 10/46	Fixed Income Security (560,000 Shares)	**	498,129
	TOWNSQUARE 6.875% 02/26	Fixed Income Security (10,000 Shares)	**	10,202
	TRANSDIGM INC 6.5% 05/25	Fixed Income Security (65,000 Shares)	**	66,516
	TRANSDIGM INC 5.5% 11/27	Fixed Income Security (30,000 Shares)	**	31,268
	TRANSOCEAN 6.25% 12/24	Fixed Income Security (66,000 Shares)	**	61,686
	US CONCRETE 5.125% 03/29	Fixed Income Security (55,000 Shares)	**	56,379
	UBER 7.5% 09/27	Fixed Income Security (495,000 Shares)	**	544,229
	UBER 7.5% 05/25	Fixed Income Security (5,000 Shares)	**	5,130
	UBER 6.25% 01/28	Fixed Income Security (40,000 Shares)	**	43,229
	UBER ZERO 12/25	Fixed Income Security (35,000 Shares)	**	35,535
	UNITED RENTALS 4% 07/30	Fixed Income Security (80,000 Shares)	**	83,929
	UNITED 6.875% 08/25	Fixed Income Security (20,000 Shares)	**	18,829
	UNITED 6.25% 03/26	Fixed Income Security (30,000 Shares)	**	27,179
	UNIVISION 6.625% 06/27	Fixed Income Security (30,000 Shares)	**	31,950
	VERITAS US 7.5% 09/25	Fixed Income Security (55,000 Shares)	**	56,172
	VIASAT INC 6.5% 07/28	Fixed Income Security (65,000 Shares)	**	70,072
	VINE OIL & 8.75% 04/23	Fixed Income Security (40,000 Shares)	**	31,329
	WESCO 7.125% 06/25	Fixed Income Security (45,000 Shares)	**	49,221
	WESCO 7.25% 06/28	Fixed Income Security (60,000 Shares)	**	67,966
	WESTERN 5.45% 04/44	Fixed Income Security (40,000 Shares)	**	40,180
	WESTERN 5.3% 03/48	Fixed Income Security (5,000 Shares)	**	4,684
	WESTERN 6.5% 02/50	Fixed Income Security (40,000 Shares)	**	43,729
	WESTERN 5.3% 02/30	Fixed Income Security (85,000 Shares)	**	94,913

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	TRI POINTE 5.875% 06/24	Fixed Income Security (100,000 Shares)	** \$	108,879
	WHITE CAP 6.875% 10/28	Fixed Income Security (10,000 Shares)	**	10,391
	WINDSTREAM 7.75% 08/28	Fixed Income Security (30,000 Shares)	**	29,939
	WPX ENERGY 5.25% 10/27	Fixed Income Security (20,000 Shares)	**	20,921
	WPX ENERGY 5.875% 06/28	Fixed Income Security (30,000 Shares)	**	32,430
	WYNDHAM 4.25% 03/22	Fixed Income Security (90,000 Shares)	**	91,754
	WYNDHAM 3.9% 03/23	Fixed Income Security (5,000 Shares)	**	4,829
	TRAVEL + 4.625% 03/30	Fixed Income Security (60,000 Shares)	**	63,179
	TRAVEL + 6.625% 07/26	Fixed Income Security (90,000 Shares)	**	102,779
	WYNN 5.125% 10/29	Fixed Income Security (45,000 Shares)	**	46,866
	XEROX HOLDINGS 5% 08/25	Fixed Income Security (30,000 Shares)	**	31,657
	XEROX 5.5% 08/28	Fixed Income Security (30,000 Shares)	**	31,563
	YUM BRANDS 4.75% 01/30	Fixed Income Security (25,000 Shares)	**	27,141
	YUM BRANDS 3.625% 03/31	Fixed Income Security (90,000 Shares)	**	90,703
	ZIGGO BV 4.875% 01/30	Fixed Income Security (390,000 Shares)	**	409,716
	BOMBARDIER 6.125% 01/23	Fixed Income Security (40,000 Shares)	**	38,829
	CAESARS 5.25% 10/25	Fixed Income Security (45,000 Shares)	**	45,205
	SIX FLAGS 4.875% 07/24	Fixed Income Security (35,000 Shares)	**	34,759
	PILGRIM'S 5.875% 09/27	Fixed Income Security (50,000 Shares)	**	53,959
	PARSLEY 5.375% 01/25	Fixed Income Security (5,000 Shares)	**	4,871
	TALAN ENERGY 10.5% 01/26	Fixed Income Security (40,000 Shares)	**	35,310
	PETSMART 8.875% 06/25	Fixed Income Security (5,000 Shares)	**	4,866
	JELD-WEN 4.875% 12/27	Fixed Income Security (100,000 Shares)	**	105,479
	TELENET 5.5% 03/28	Fixed Income Security (200,000 Shares)	**	213,029
	UBER 8% 11/26	Fixed Income Security (110,000 Shares)	**	119,779
	PARSLEY 5.625% 10/27	Fixed Income Security (20,000 Shares)	**	21,619
	VISTRA 5.5% 09/26	Fixed Income Security (75,000 Shares)	**	77,894
	MEG ENERGY 7.125% 02/27	Fixed Income Security (40,000 Shares)	**	41,029
	TALLGRASS 5.5% 01/28	Fixed Income Security (5,000 Shares)	**	4,835
	TRANSDIGM 6.25% 03/26	Fixed Income Security (125,000 Shares)	**	132,854
	STAPLES INC 7.5% 04/26	Fixed Income Security (45,000 Shares)	**	46,721
	LIVE NATION 4.75% 10/27	Fixed Income Security (50,000 Shares)	**	50,975
	PROVIDENT 6.375% 06/25	Fixed Income Security (50,000 Shares)	**	50,979
	PANTHER BF 8.5% 05/27	Fixed Income Security (80,000 Shares)	**	86,641
	CSC HOLDINGS 5.75% 01/30	Fixed Income Security (200,000 Shares)	**	218,979
	MINERAL 8.125% 05/27	Fixed Income Security (110,000 Shares)	**	121,416
	HEXION INC 7.875% 07/27	Fixed Income Security (20,000 Shares)	**	21,129
	KRAFT HEINZ 4.875% 10/49	Fixed Income Security (30,000 Shares)	**	34,727
	POST HOLDINGS 5.5% 12/29	Fixed Income Security (35,000 Shares)	**	37,922
	WOLVERINE 9% 11/26	Fixed Income Security (40,000 Shares)	**	37,591
	CVR ENERGY 5.25% 02/25	Fixed Income Security (15,000 Shares)	**	14,204
	LADDER 5.875% 08/21	Fixed Income Security (20,000 Shares)	**	19,729
	WYNN LAS 5.5% 03/25	Fixed Income Security (135,000 Shares)	**	140,635
	PILGRIM'S 5.75% 03/25	Fixed Income Security (30,000 Shares)	**	30,527
	DELL 8.35% 07/46	Fixed Income Security (40,000 Shares)	**	60,241
	MAUSER 7.25% 04/25	Fixed Income Security (90,000 Shares)	**	90,629
	PARSLEY 5.25% 08/25	Fixed Income Security (25,000 Shares)	**	25,766
	SURGERY 6.75% 07/25	Fixed Income Security (35,000 Shares)	**	35,341
	TALLGRASS 5.5% 09/24	Fixed Income Security (5,000 Shares)	**	4,816
	ARAMARK 6.375% 05/25	Fixed Income Security (15,000 Shares)	**	15,760
	ASCENT 7% 11/26	Fixed Income Security (35,000 Shares)	**	32,891

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	PETSMART 7.125% 03/23	Fixed Income Security (70,000 Shares)	**	\$ 69,729
	FIRSTENERGY 5.45% 07/44	Fixed Income Security (60,000 Shares)	**	75,861
	GOLDEN 6.75% 10/24	Fixed Income Security (30,000 Shares)	**	29,513
	PBF HOLDING 9.25% 05/25	Fixed Income Security (25,000 Shares)	**	24,376
	REALOGY 7.625% 06/25	Fixed Income Security (25,000 Shares)	**	26,872
	UBER 7.5% 09/27	Fixed Income Security (5,000 Shares)	**	5,241
				<u>27,532,670</u>
Government Securities:				
	PETROLEOS 6.625% 06/35	Government Security (25,000 Shares)	**	24,659
	PETROLEOS 5.95% 01/31	Government Security (90,000 Shares)	**	89,445
				<u>114,104</u>
Synthetic Guaranteed Investment Contracts:				
	PRUDENTIAL INSURANCE COMP	Synthetic Guaranteed Investment Contract (76,236,224 Shares)	**	76,236,224
	PACIFIC LIFE INSURANCE CO	Synthetic Guaranteed Investment Contract (76,688,557 Shares)	**	76,688,557
	NATIONWIDE LIFE INSURANCE	Synthetic Guaranteed Investment Contract (83,995,138 Shares)	**	83,995,138
	STATE STREET BANK AND TRU	Synthetic Guaranteed Investment Contract (59,632,015 Shares)	**	59,632,015
	VOYA RETIREMENT INSURANCE	Synthetic Guaranteed Investment Contract (92,026,891 Shares)	**	92,026,891
	TRANSAMERICA LIFE INSURAN	Synthetic Guaranteed Investment Contract (78,533,437 Shares)	**	78,533,437
				<u>467,112,262</u>
Participant Loans:				
*	PARTICIPANT LOANS	Loans outstanding with interest rates ranging from 3.25% to 8.25%	**	35,275,442
				<u>\$ 3,326,932,507</u>

* Party named is a party-in-interest.

** Cost not required as all investments are participant-directed

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Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

December 31, 2020

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, Collateral Par or Maturity Value	(d) Cost	(e) Current Value
Commingled Funds:				
*	SIEMENS ENERGY SAVINGS PLAN - LIFECYCLE RETIREMENT FUND	Commingled Fund (14,264,222 Shares)	** \$	151,829,808
*	SIEMENS ENERGY S*AVINGS PLAN - LIFECYCLE 2025 FUND	Commingled Fund (15,318,870 Shares)	**	165,973,825
*	SIEMENS ENERGY INC SAVINGS PLAN - LIFECYCLE 2030 F UND	Commingled Fund (18,251,324 Shares)	**	200,280,906
*	SIEMENS ENERGY INC SAVINGS PLAN - LIFECYCLE 2035 F UND	Commingled Fund (13,220,158 Shares)	**	146,639,311
*	SIEMENS ENERGY INC SAVINGS PLAN - LIFECYCLE 2040 F UND	Commingled Fund (13,298,722 Shares)	**	148,909,785
*	SIEMENS ENERGY INC SAVINGS PLAN - LIFECYCLE 2045 F UND	Commingled Fund (8,278,672 Shares)	**	93,484,425
*	SIEMENS ENERGY INC SAVINGS PLAN - LIFECYCLE 2050 F UND	Commingled Fund (10,333,570 Shares)	**	117,577,431
*	SIEMENS ENERGY INC SAVINGS PLAN - LIFECYCLE 2055 F UND	Commingled Fund (3,429,531 Shares)	**	39,305,164
*	SIEMENS ENERGY INC SAVINGS PLAN - LIFECYCLE 2060 F UND	Commingled Fund (1,721,472 Shares)	**	19,818,794
*	SIEMENS ENERGY INC SAVINGS PLAN - LIFECYCLE 2065 F UND	Commingled Fund (91,690 Shares)	**	1,055,580
*	SIEMENS ENERGY INC SAVINGS PLAN - NORTHERN S&P 500 INDEX	Commingled Fund (55,124 Shares)	**	750,910,234
*	SIEMENS ENERGY INC SAVINGS PLAN - NORTHERN S&P 400 INDEX	Commingled Fund (25,064 Shares)	**	65,711,012
*	SIEMENS ENERGY INC SAVINGS PLAN - BTC INT'L EQUITY INDEX	Commingled Fund (3,749,958 Shares)	**	109,127,897
*	SIEMENS ENERGY INC SAVINGS PLAN - NT EMERGING MARK ETS INDEX	Commingled Fund (47,256 Shares)	**	10,899,207
*	SIEMENS ENERGY INC SAVINGS PLAN - SCHRODERS EMERGI NG MKTS	Commingled Fund (2,620 Shares)	**	35,305,769
*	SIEMENS ENERGY INC SAVINGS PLAN - INVESCO EMERGING MARKETS	Commingled Fund (455,650 Shares)	**	34,677,522
*	SIEMENS ENERGY INC SAVINGS PLAN - BTC CORE BOND	Commingled Fund (11,113,017 Shares)	**	184,976,161
*	SIEMENS ENERGY INC SAVINGS PLAN - SSGA HIGH YIELD	Commingled Fund (241,683 Shares)	**	5,039,342
				<u>2,281,522,173</u>
Equity Securities:				
	AAR CORP COMMON STOCK	Equity Security (6,200 Shares)	**	224,564
	ACCO BRANDS CORP COMMON	Equity Security (23,900 Shares)	**	201,955
	ABM INDUSTRIES INC	Equity Security (2,700 Shares)	**	102,168
	AZZ INC COMMON STOCK USD	Equity Security (4,600 Shares)	**	218,224
	ACUITY BRANDS INC COMMON	Equity Security (800 Shares)	**	96,872
	ALEXANDER'S INC REIT USD	Equity Security (500 Shares)	**	138,675
	ALLETE INC COMMON STOCK	Equity Security (3,500 Shares)	**	216,790
	AMALGAMATED BANK COMMON	Equity Security (6,100 Shares)	**	83,814
	AMBAC FINANCIAL GROUP	Equity Security (9,200 Shares)	**	141,496
	AMERICAN EAGLE	Equity Security (5,500 Shares)	**	110,385
	AMERICAN EQUITY	Equity Security (5,700 Shares)	**	157,662

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	AMERICAN VANGUARD CORP	Equity Security (9,800 Shares)	** \$	152,096
	APOGEE ENTERPRISES INC	Equity Security (3,400 Shares)	**	107,712
	APOLLO COMMERCIAL REAL	Equity Security (4,600 Shares)	**	51,382
	ARLINGTON ASSET	Equity Security (11,000 Shares)	**	41,580
	ARMSTRONG FLOORING INC	Equity Security (27,900 Shares)	**	106,578
	ASBURY AUTOMOTIVE GROUP	Equity Security (1,300 Shares)	**	189,462
	ASSOCIATED BANC-CORP	Equity Security (12,700 Shares)	**	216,535
	ATKORE INC COMMON STOCK	Equity Security (1,300 Shares)	**	53,443
	AVISTA CORP COMMON STOCK	Equity Security (5,300 Shares)	**	212,742
	AVNET INC COMMON STOCK	Equity Security (6,200 Shares)	**	217,682
	B&G FOODS INC COMMON	Equity Security (5,500 Shares)	**	152,515
	BG STAFFING INC COMMON	Equity Security (4,400 Shares)	**	59,356
	BOK FINANCIAL CORP	Equity Security (1,900 Shares)	**	130,112
	BANKUNITED INC COMMON	Equity Security (6,400 Shares)	**	222,592
	BAR HARBOR BANKSHARES	Equity Security (3,500 Shares)	**	79,065
	BED BATH & BEYOND INC	Equity Security (2,400 Shares)	**	42,624
	BELDEN INC COMMON STOCK	Equity Security (5,200 Shares)	**	217,880
	BERKSHIRE HILLS BANCORP	Equity Security (12,100 Shares)	**	207,152
	BERRY CORP COMMON STOCK	Equity Security (33,400 Shares)	**	122,912
	BIG LOTS INC COMMON	Equity Security (1,700 Shares)	**	72,981
	H&R BLOCK INC COMMON	Equity Security (13,800 Shares)	**	218,868
	BLUE BIRD CORP COMMON	Equity Security (10,000 Shares)	**	182,600
	BOISE CASCADE CO COMMON	Equity Security (3,300 Shares)	**	157,740
	BONANZA CREEK ENERGY INC	Equity Security (8,900 Shares)	**	172,037
	BOSTON PRIVATE FINANCIAL	Equity Security (26,700 Shares)	**	225,615
	BRIDGE BANCORP INC	Equity Security (4,200 Shares)	**	101,556
	BRIDGEWATER BANCSHARES	Equity Security (4,200 Shares)	**	52,458
	BRIGHTHOUSE FINANCIAL	Equity Security (4,300 Shares)	**	155,682
	BRIGHTSPHERE INVESTMENT	Equity Security (8,600 Shares)	**	165,808
	BRINKER INTERNATIONAL	Equity Security (2,000 Shares)	**	113,140
	BROOKLINE BANCORP INC	Equity Security (13,800 Shares)	**	166,152
	BRYN MAWR BANK CORP	Equity Security (2,600 Shares)	**	79,547
	BUILDERS FIRSTSOURCE INC	Equity Security (1,300 Shares)	**	53,053
	CBIZ INC COMMON STOCK	Equity Security (4,200 Shares)	**	111,762
	CIT GROUP INC COMMON	Equity Security (5,900 Shares)	**	211,810
	CNB FINANCIAL CORP/PA	Equity Security (2,500 Shares)	**	53,225
	CNO FINANCIAL GROUP INC	Equity Security (9,400 Shares)	**	208,962
	CSG SYSTEMS	Equity Security (1,200 Shares)	**	54,084
	CVR ENERGY INC COMMON	Equity Security (3,700 Shares)	**	55,130
	CABOT CORP COMMON STOCK	Equity Security (3,700 Shares)	**	166,056
	CACTUS INC COMMON STOCK	Equity Security (4,200 Shares)	**	109,494
	CADENCE BANCORP COMMON	Equity Security (10,500 Shares)	**	172,410
	CAMDEN NATIONAL CORP	Equity Security (1,300 Shares)	**	46,514
	CASA SYSTEMS INC COMMON	Equity Security (9,200 Shares)	**	56,764
	CASS INFORMATION SYSTEMS	Equity Security (4,700 Shares)	**	182,877
	CATHAY GENERAL BANCORP	Equity Security (5,100 Shares)	**	164,169
	CATO CORP/THE COMMON	Equity Security (16,300 Shares)	**	156,317
	CENTRAL GARDEN & PET CO	Equity Security (4,300 Shares)	**	166,023
	CENTRAL PACIFIC	Equity Security (5,800 Shares)	**	110,258
	CENTURY COMMUNITIES INC	Equity Security (3,400 Shares)	**	148,852
	CHAMPIONX CORP COMMON	Equity Security (11,600 Shares)	**	177,480

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	CIMAREX ENERGY CO COMMON	Equity Security (2,800 Shares)	** \$	105,028
	CIVISTA BANCSHARES INC	Equity Security (1,500 Shares)	**	26,295
	COLONY CREDIT REAL	Equity Security (21,300 Shares)	**	159,750
	COMFORT SYSTEMS USA INC	Equity Security (3,000 Shares)	**	157,980
	COMMERCIAL METALS CO	Equity Security (5,300 Shares)	**	108,862
	COMMUNITY TRUST BANCORP	Equity Security (3,600 Shares)	**	133,380
	COMTECH	Equity Security (10,400 Shares)	**	215,176
	CONNECTONE BANCORP INC	Equity Security (8,100 Shares)	**	160,299
	COOPER TIRE & RUBBER CO	Equity Security (4,800 Shares)	**	194,400
	COWEN INC COMMON STOCK	Equity Security (7,900 Shares)	**	205,321
	CRANE CO COMMON STOCK	Equity Security (1,700 Shares)	**	132,022
	CROSSFIRST BANKSHARES	Equity Security (10,300 Shares)	**	110,725
	CULP INC COMMON STOCK	Equity Security (3,900 Shares)	**	61,893
	CUSTOMERS BANCORP INC	Equity Security (11,800 Shares)	**	214,524
	DXP ENTERPRISES INC/TX	Equity Security (9,300 Shares)	**	206,739
	DENBURY INC COMMON STOCK	Equity Security (8,000 Shares)	**	205,520
	DIAMOND HILL INVESTMENT	Equity Security (700 Shares)	**	104,489
	DIEBOLD NIXDORF INC	Equity Security (9,300 Shares)	**	99,138
	DIME COMMUNITY	Equity Security (13,100 Shares)	**	206,587
	DILLARD'S INC COMMON	Equity Security (1,000 Shares)	**	63,050
	DIODES INC COMMON STOCK	Equity Security (2,800 Shares)	**	197,400
	DOMTAR CORP COMMON STOCK	Equity Security (6,600 Shares)	**	208,890
	DRIL-QUIP INC COMMON	Equity Security (3,300 Shares)	**	97,746
	EAGLE BANCORP INC COMMON	Equity Security (5,300 Shares)	**	218,890
	EARTHSTONE ENERGY INC	Equity Security (45,100 Shares)	**	240,383
	EBIX INC COMMON STOCK	Equity Security (6,000 Shares)	**	227,820
	EMERALD INC	Equity Security (41,400 Shares)	**	224,388
	EMPLOYERS HOLDINGS INC	Equity Security (5,000 Shares)	**	160,950
	ENCORE WIRE CORP COMMON	Equity Security (3,800 Shares)	**	230,166
	ENERGIZER HOLDINGS INC	Equity Security (1,200 Shares)	**	50,616
	ENERSYS COMMON STOCK USD	Equity Security (2,300 Shares)	**	191,038
	ENNIS INC COMMON STOCK	Equity Security (9,400 Shares)	**	167,790
	ENPRO INDUSTRIES INC	Equity Security (2,800 Shares)	**	211,456
	ENTRAVISION	Equity Security (20,100 Shares)	**	55,275
	EPLUS INC COMMON STOCK	Equity Security (1,100 Shares)	**	96,745
	EQUITRANS MIDSTREAM CORP	Equity Security (26,100 Shares)	**	209,844
	EQUITY BANCSHARES INC	Equity Security (7,000 Shares)	**	151,130
	ETHAN ALLEN INTERIORS	Equity Security (11,400 Shares)	**	230,394
	EVERCORE INC COMMON	Equity Security (1,000 Shares)	**	109,640
	FNB CORP/PA COMMON STOCK	Equity Security (17,300 Shares)	**	164,350
	FB FINANCIAL CORP COMMON	Equity Security (4,900 Shares)	**	170,177
	FS BANCORP INC COMMON	Equity Security (900 Shares)	**	49,320
	FTI CONSULTING INC	Equity Security (1,000 Shares)	**	111,720
	FEDERAL AGRICULTURAL	Equity Security (2,200 Shares)	**	163,350
	FEDERATED HERMES INC	Equity Security (5,500 Shares)	**	158,895
	FINANCIAL INSTITUTIONS	Equity Security (5,000 Shares)	**	112,500
	FIRST BANCORP/PUERTO	Equity Security (25,000 Shares)	**	230,500
	FIRST BUSEY CORP COMMON	Equity Security (5,000 Shares)	**	107,750
	FIRST BUSINESS FINANCIAL	Equity Security (2,600 Shares)	**	47,866
	FIRST FINANCIAL BANCORP	Equity Security (9,400 Shares)	**	164,782
	FIRST FINANCIAL CORP/IN	Equity Security (3,700 Shares)	**	143,745

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	FIRST HAWAIIAN INC	Equity Security (9,400 Shares)	** \$	221,652
	FIRST INTERNET BANCORP	Equity Security (3,900 Shares)	**	112,086
	FIRST OF LONG ISLAND	Equity Security (5,500 Shares)	**	98,175
	FIRST MIDWEST BANCORP	Equity Security (10,100 Shares)	**	160,792
	1ST SOURCE CORP COMMON	Equity Security (2,600 Shares)	**	104,780
	FLUOR CORP COMMON STOCK	Equity Security (11,500 Shares)	**	183,655
	FLOWERVE CORP COMMON	Equity Security (2,900 Shares)	**	106,865
	FLUSHING FINANCIAL CORP	Equity Security (14,100 Shares)	**	234,624
	FOOT LOCKER INC COMMON	Equity Security (2,700 Shares)	**	109,188
	FORWARD AIR CORP COMMON	Equity Security (1,400 Shares)	**	107,576
	FRANCHISE GROUP INC	Equity Security (4,000 Shares)	**	121,800
	HB FULLER CO COMMON	Equity Security (1,000 Shares)	**	51,880
	FULTON FINANCIAL CORP	Equity Security (16,900 Shares)	**	214,968
	FUTUREFUEL CORP COMMON	Equity Security (4,400 Shares)	**	55,880
	GP STRATEGIES CORP	Equity Security (6,900 Shares)	**	81,834
	G-III APPAREL GROUP LTD	Equity Security (4,700 Shares)	**	111,578
	GENESCO INC COMMON STOCK	Equity Security (6,700 Shares)	**	201,603
	GLATFELTER CORPORATION	Equity Security (3,100 Shares)	**	50,778
	GLOBAL INDEMNITY GROUP	Equity Security (3,400 Shares)	**	97,206
	GOODYEAR TIRE & RUBBER	Equity Security (19,000 Shares)	**	207,290
	W R GRACE & CO COMMON	Equity Security (1,000 Shares)	**	54,820
	GRAFTECH INTERNATIONAL	Equity Security (24,000 Shares)	**	255,840
	GRAHAM CORP COMMON STOCK	Equity Security (3,200 Shares)	**	48,576
	GRANITE POINT MORTGAGE	Equity Security (5,400 Shares)	**	53,946
	GREAT AJAX CORP REIT USD	Equity Security (8,900 Shares)	**	93,094
	GREAT LAKES DREDGE &	Equity Security (13,100 Shares)	**	172,527
	GREAT SOUTHERN BANCORP	Equity Security (3,400 Shares)	**	166,260
	GREAT WESTERN BANCORP	Equity Security (10,500 Shares)	**	219,450
	GREEN BRICK PARTNERS INC	Equity Security (2,200 Shares)	**	50,512
	GREEN PLAINS INC COMMON	Equity Security (4,200 Shares)	**	55,314
	GREENBRIER COS INC/THE	Equity Security (6,100 Shares)	**	221,918
	GREENHILL & CO INC	Equity Security (11,000 Shares)	**	133,540
	GRIFFON CORP COMMON	Equity Security (2,600 Shares)	**	52,988
	GROUP 1 AUTOMOTIVE INC	Equity Security (1,800 Shares)	**	236,052
	H&E EQUIPMENT SERVICES	Equity Security (1,800 Shares)	**	53,658
	HANCOCK WHITNEY CORP	Equity Security (6,600 Shares)	**	224,532
	HANGER INC COMMON STOCK	Equity Security (8,800 Shares)	**	193,512
	HANMI FINANCIAL CORP	Equity Security (19,500 Shares)	**	221,130
	HANOVER INSURANCE GROUP	Equity Security (1,800 Shares)	**	210,456
	HAWKINS INC COMMON STOCK	Equity Security (1,000 Shares)	**	52,310
	HEIDRICK & STRUGGLES	Equity Security (7,500 Shares)	**	220,350
	HELIX ENERGY SOLUTIONS	Equity Security (37,600 Shares)	**	157,920
	HIBBETT SPORTS INC	Equity Security (3,600 Shares)	**	166,248
	HILLENBRAND INC COMMON	Equity Security (5,600 Shares)	**	222,880
	HILLTOP HOLDINGS INC	Equity Security (3,800 Shares)	**	104,538
	HILTON GRAND VACATIONS	Equity Security (7,300 Shares)	**	228,855
	HOLLYFRONTIER CORP	Equity Security (4,200 Shares)	**	108,570
	HOMESTREET INC COMMON	Equity Security (4,300 Shares)	**	145,125
	HOMETRUST BANCSHARES INC	Equity Security (3,200 Shares)	**	61,792
	HOOKE FURNITURE CORP	Equity Security (5,500 Shares)	**	177,375
	HOPE BANCORP INC	Equity Security (20,400 Shares)	**	222,564

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	HORACE MANN EDUCATORS	Equity Security (4,900 Shares)	** \$	205,996
	HORIZON BANCORP INC/IN	Equity Security (6,900 Shares)	**	109,434
	HYSTER-YALE MATERIALS	Equity Security (2,900 Shares)	**	172,695
	INGLES MARKETS INC	Equity Security (4,000 Shares)	**	170,640
	INSIGHT ENTERPRISES INC	Equity Security (2,800 Shares)	**	213,052
	INTERFACE INC COMMON	Equity Security (22,600 Shares)	**	237,300
	INTERNATIONAL BANCSHARES	Equity Security (2,700 Shares)	**	101,088
	INVESTORS BANCORP INC	Equity Security (16,500 Shares)	**	174,240
	JACK IN THE BOX INC	Equity Security (1,800 Shares)	**	167,040
	JOHNSON OUTDOORS INC	Equity Security (1,500 Shares)	**	168,945
	KBR INC COMMON STOCK USD	Equity Security (7,500 Shares)	**	231,975
	KAISER ALUMINUM CORP	Equity Security (2,200 Shares)	**	217,580
	KB HOME COMMON STOCK USD	Equity Security (1,500 Shares)	**	50,280
	KELLY SERVICES INC	Equity Security (7,900 Shares)	**	162,503
	KENNAMETAL INC COMMON	Equity Security (3,000 Shares)	**	108,720
	KFORCE INC COMMON STOCK	Equity Security (1,300 Shares)	**	54,717
	KIMBALL INTERNATIONAL	Equity Security (8,800 Shares)	**	105,160
	KIMBALL ELECTRONICS INC	Equity Security (6,900 Shares)	**	110,331
	KNOLL INC COMMON STOCK	Equity Security (15,200 Shares)	**	223,136
	KORN FERRY COMMON STOCK	Equity Security (5,400 Shares)	**	234,900
	KOSMOS ENERGY LTD COMMON	Equity Security (71,200 Shares)	**	167,320
	LGI HOMES INC COMMON	Equity Security (1,400 Shares)	**	148,190
	LA-Z-BOY INC COMMON	Equity Security (2,700 Shares)	**	107,568
	LAKELAND BANCORP INC	Equity Security (11,800 Shares)	**	149,860
	LIBERTY OILFIELD	Equity Security (15,100 Shares)	**	155,681
	LIVE OAK BANCSHARES INC	Equity Security (3,200 Shares)	**	151,872
	LUTHER BURBANK CORP	Equity Security (7,800 Shares)	**	76,440
	MDC PARTNERS INC COMMON	Equity Security (23,200 Shares)	**	58,232
	MFA FINANCIAL INC REIT	Equity Security (13,600 Shares)	**	52,904
	M/I HOMES INC COMMON	Equity Security (4,500 Shares)	**	199,305
	MSG NETWORKS INC COMMON	Equity Security (7,800 Shares)	**	114,972
	MYR GROUP INC COMMON	Equity Security (1,800 Shares)	**	108,180
	MAGNOLIA OIL & GAS CORP	Equity Security (14,100 Shares)	**	99,546
	MARINEMAX INC COMMON	Equity Security (3,100 Shares)	**	108,593
	MASONITE INTERNATIONAL	Equity Security (1,900 Shares)	**	186,846
	MATRIX SERVICE CO COMMON	Equity Security (9,600 Shares)	**	105,792
	MATSON INC COMMON STOCK	Equity Security (2,700 Shares)	**	153,819
	MEDNAX INC COMMON STOCK	Equity Security (4,500 Shares)	**	110,430
	MERCANTILE BANK CORP	Equity Security (4,000 Shares)	**	108,680
	MERCER INTERNATIONAL INC	Equity Security (13,100 Shares)	**	134,275
	MERIDIAN BANCORP INC	Equity Security (3,600 Shares)	**	53,676
	MERITAGE HOMES CORP	Equity Security (2,400 Shares)	**	198,768
	MERITOR INC COMMON STOCK	Equity Security (7,500 Shares)	**	209,325
	METHODE ELECTRONICS INC	Equity Security (5,700 Shares)	**	218,196
	METROPOLITAN BANK	Equity Security (2,800 Shares)	**	101,556
	MICHAELS COS INC/THE	Equity Security (13,600 Shares)	**	176,936
	MIDLAND STATES BANCORP	Equity Security (10,700 Shares)	**	191,209
	MIDWESTONE FINANCIAL	Equity Security (4,300 Shares)	**	105,350
	HERMAN MILLER INC COMMON	Equity Security (4,100 Shares)	**	138,580
	MILLER INDUSTRIES INC/TN	Equity Security (2,700 Shares)	**	102,654
	MOOG INC-CLASS A	Equity Security (2,000 Shares)	**	158,600

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	MOTORCAR PARTS OF	Equity Security (7,700 Shares)	** \$	151,074
	MUELLER INDUSTRIES INC	Equity Security (3,000 Shares)	**	105,330
	MUELLER WATER PRODUCTS	Equity Security (8,400 Shares)	**	103,992
	NVE CORP COMMON STOCK	Equity Security (1,700 Shares)	**	95,506
	NATIONAL PRESTO	Equity Security (1,800 Shares)	**	159,174
	NATIONAL WESTERN LIFE	Equity Security (900 Shares)	**	185,796
	NATURAL GROCERS BY	Equity Security (6,100 Shares)	**	83,814
	NAVIENT CORP COMMON	Equity Security (14,200 Shares)	**	139,444
	NETGEAR INC COMMON STOCK	Equity Security (1,400 Shares)	**	56,882
	NEXTIER OILFIELD	Equity Security (61,200 Shares)	**	210,528
	NORTHFIELD BANCORP INC	Equity Security (9,200 Shares)	**	113,436
	NORTHRIM BANCORP INC	Equity Security (1,400 Shares)	**	47,530
	NORTHWESTERN CORP COMMON	Equity Security (3,300 Shares)	**	192,423
	NU SKIN ENTERPRISES INC	Equity Security (2,100 Shares)	**	114,723
	OASIS PETROLEUM INC	Equity Security (5,600 Shares)	**	207,536
	OCEANFIRST FINANCIAL	Equity Security (8,600 Shares)	**	160,218
	OIL STATES INTERNATIONAL	Equity Security (10,600 Shares)	**	53,212
	ONEWATER MARINE INC	Equity Security (5,300 Shares)	**	154,177
	ORRSTOWN FINANCIAL	Equity Security (2,900 Shares)	**	47,995
	OTTER TAIL CORP COMMON	Equity Security (5,000 Shares)	**	213,050
	PC CONNECTION INC COMMON	Equity Security (3,400 Shares)	**	160,786
	PDC ENERGY INC COMMON	Equity Security (8,700 Shares)	**	178,611
	PNM RESOURCES INC COMMON	Equity Security (4,000 Shares)	**	194,120
	PACWEST BANCORP COMMON	Equity Security (9,000 Shares)	**	228,600
	PEAPACK-GLADSTONE	Equity Security (7,000 Shares)	**	159,320
	PEBBLEBROOK HOTEL TRUST	Equity Security (8,100 Shares)	**	152,280
	PENN VIRGINIA CORP	Equity Security (9,400 Shares)	**	95,410
	PENSKE AUTOMOTIVE GROUP	Equity Security (1,800 Shares)	**	106,902
	PEOPLES BANCORP INC/OH	Equity Security (2,200 Shares)	**	59,598
	PETMED EXPRESS INC	Equity Security (1,700 Shares)	**	54,502
	PHOTRONICS INC COMMON	Equity Security (19,500 Shares)	**	217,620
	PLEXUS CORP COMMON STOCK	Equity Security (700 Shares)	**	54,747
	POPULAR INC COMMON STOCK	Equity Security (3,900 Shares)	**	219,648
	PORTLAND GENERAL	Equity Security (5,100 Shares)	**	218,127
	POWELL INDUSTRIES INC	Equity Security (3,400 Shares)	**	100,266
	PQ GROUP HOLDINGS INC	Equity Security (7,400 Shares)	**	105,524
	PREFERRED BANK/LOS	Equity Security (3,300 Shares)	**	166,551
	PREFORMED LINE PRODUCTS	Equity Security (1,700 Shares)	**	116,348
	PREMIER FINANCIAL CORP	Equity Security (4,400 Shares)	**	101,200
	PRIMORIS SERVICES CORP	Equity Security (7,700 Shares)	**	212,597
	PROASSURANCE CORP COMMON	Equity Security (8,800 Shares)	**	156,552
	PROPETRO HOLDING CORP	Equity Security (14,500 Shares)	**	107,155
	PROVIDENT FINANCIAL	Equity Security (9,600 Shares)	**	172,416
	RBB BANCORP COMMON STOCK	Equity Security (5,100 Shares)	**	78,438
	RMR GROUP INC/THE COMMON	Equity Security (5,700 Shares)	**	220,134
	RADIAN GROUP INC COMMON	Equity Security (6,600 Shares)	**	133,650
	RANGE RESOURCES CORP	Equity Security (28,900 Shares)	**	193,630
	RE/MAX HOLDINGS INC	Equity Security (1,400 Shares)	**	50,862
	RENT-A-CENTER INC/TX	Equity Security (4,600 Shares)	**	176,134
	REPUBLIC BANCORP INC/KY	Equity Security (2,200 Shares)	**	79,354
	RESIDEO TECHNOLOGIES INC	Equity Security (10,800 Shares)	**	229,608

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	RESOURCES CONNECTION INC	Equity Security (12,300 Shares)	** \$	154,611
	REX AMERICAN RESOURCES	Equity Security (1,600 Shares)	**	117,552
	ROCKY BRANDS INC COMMON	Equity Security (1,700 Shares)	**	47,719
	RUSH ENTERPRISES INC	Equity Security (5,150 Shares)	**	213,313
	S&T BANCORP INC COMMON	Equity Security (9,000 Shares)	**	223,560
	SLM CORP COMMON STOCK	Equity Security (13,000 Shares)	**	161,070
	SANDY SPRING BANCORP INC	Equity Security (1,700 Shares)	**	54,723
	SANMINA CORP COMMON	Equity Security (6,700 Shares)	**	213,663
	SCANSOURCE INC COMMON	Equity Security (7,800 Shares)	**	205,764
	SCHNITZER STEEL	Equity Security (2,100 Shares)	**	67,011
	SCHNEIDER NATIONAL INC	Equity Security (2,600 Shares)	**	53,820
	SELECT ENERGY SERVICES	Equity Security (26,400 Shares)	**	108,240
	SERITAGE GROWTH	Equity Security (5,800 Shares)	**	85,144
	SIERRA BANCORP COMMON	Equity Security (2,300 Shares)	**	55,016
	SIMMONS FIRST NATIONAL	Equity Security (2,400 Shares)	**	51,816
	SOLARIS OILFIELD	Equity Security (18,500 Shares)	**	150,590
	SONIC AUTOMOTIVE INC	Equity Security (4,600 Shares)	**	177,422
	SOUTH JERSEY INDUSTRIES	Equity Security (10,000 Shares)	**	215,500
	SOUTHERN MISSOURI	Equity Security (2,000 Shares)	**	60,880
	SOUTHERN NATIONAL	Equity Security (8,900 Shares)	**	107,779
	SOUTHWEST GAS HOLDINGS	Equity Security (3,400 Shares)	**	206,550
	SPIRIT AIRLINES INC	Equity Security (4,100 Shares)	**	100,245
	SPIRE INC COMMON STOCK	Equity Security (3,100 Shares)	**	198,524
	SPORTSMAN'S WAREHOUSE	Equity Security (16,800 Shares)	**	294,840
	SPROUTS FARMERS MARKET	Equity Security (5,300 Shares)	**	106,530
	STAR GROUP LP COMMON	Equity Security (8,300 Shares)	**	78,103
	STATE AUTO FINANCIAL	Equity Security (5,700 Shares)	**	101,118
	STEELCASE INC COMMON	Equity Security (15,400 Shares)	**	208,670
	STERLING CONSTRUCTION CO	Equity Security (5,800 Shares)	**	107,938
	STEWART INFORMATION	Equity Security (4,300 Shares)	**	207,948
	STIFEL FINANCIAL CORP	Equity Security (4,500 Shares)	**	227,070
	SUPER MICRO COMPUTER INC	Equity Security (6,500 Shares)	**	205,790
	SYKES ENTERPRISES INC	Equity Security (3,800 Shares)	**	143,146
	SYNOVUS FINANCIAL CORP	Equity Security (6,600 Shares)	**	213,642
	TRI POINTE HOMES INC	Equity Security (11,400 Shares)	**	196,650
	TPG RE FINANCE TRUST INC	Equity Security (4,400 Shares)	**	46,728
	TAYLOR MORRISON HOME	Equity Security (7,900 Shares)	**	202,635
	TEGNA INC COMMON STOCK	Equity Security (3,200 Shares)	**	44,640
	TERRITORIAL BANCORP INC	Equity Security (1,900 Shares)	**	45,657
	TEXAS CAPITAL BANCSHARES	Equity Security (3,600 Shares)	**	214,200
	ODP CORP/THE COMMON	Equity Security (5,700 Shares)	**	167,010
	THERMON GROUP HOLDINGS	Equity Security (12,100 Shares)	**	189,123
	TILLY'S INC COMMON STOCK	Equity Security (6,400 Shares)	**	52,224
	TOWNE BANK/PORTSMOUTH VA	Equity Security (6,900 Shares)	**	162,012
	TREDEGAR CORP COMMON	Equity Security (5,500 Shares)	**	91,850
	TRICO BANCSHARES COMMON	Equity Security (1,500 Shares)	**	52,920
	TRIMAS CORP COMMON STOCK	Equity Security (1,700 Shares)	**	53,839
	TRISTATE CAPITAL	Equity Security (6,500 Shares)	**	113,100
	TRUEBLUE INC COMMON	Equity Security (11,200 Shares)	**	209,328
	TRUSTCO BANK CORP NY	Equity Security (24,300 Shares)	**	162,081
	TRUSTMARK CORP COMMON	Equity Security (2,000 Shares)	**	54,620

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	TUTOR PERINI CORP COMMON	Equity Security (11,000 Shares)	** \$	142,450
	UFP INDUSTRIES INC	Equity Security (900 Shares)	**	49,995
	USANA HEALTH SCIENCES	Equity Security (2,100 Shares)	**	161,910
	UMPQUA HOLDINGS CORP	Equity Security (10,900 Shares)	**	165,026
	UNIVERSAL LOGISTICS	Equity Security (5,900 Shares)	**	121,481
	UNIVEST FINANCIAL CORP	Equity Security (5,400 Shares)	**	111,132
	URBAN OUTFITTERS INC	Equity Security (4,000 Shares)	**	102,400
	US ECOLOGY INC COMMON	Equity Security (1,500 Shares)	**	54,495
	VALLEY NATIONAL BANCORP	Equity Security (11,600 Shares)	**	113,100
	VALMONT INDUSTRIES INC	Equity Security (1,200 Shares)	**	209,916
	VANDA PHARMACEUTICALS	Equity Security (8,100 Shares)	**	106,434
	VECTRUS INC COMMON STOCK	Equity Security (4,300 Shares)	**	213,796
	VERA BRADLEY INC COMMON	Equity Security (20,800 Shares)	**	165,568
	VICTORY CAPITAL HOLDINGS	Equity Security (7,400 Shares)	**	183,594
	VILLAGE SUPER MARKET INC	Equity Security (5,100 Shares)	**	112,506
	VISHAY INTERTECHNOLOGY	Equity Security (10,400 Shares)	**	215,384
	WABASH NATIONAL CORP	Equity Security (11,200 Shares)	**	192,976
	WARRIOR MET COAL INC	Equity Security (5,700 Shares)	**	121,524
	WASHINGTON FEDERAL INC	Equity Security (8,400 Shares)	**	216,216
	WASHINGTON TRUST BANCORP	Equity Security (2,500 Shares)	**	112,000
	WEBSTER FINANCIAL CORP	Equity Security (5,100 Shares)	**	214,965
	WEIS MARKETS INC COMMON	Equity Security (2,100 Shares)	**	100,401
	WESCO INTERNATIONAL INC	Equity Security (2,800 Shares)	**	219,800
	WHITING PETROLEUM CORP	Equity Security (8,500 Shares)	**	212,500
	WINTRUST FINANCIAL CORP	Equity Security (3,500 Shares)	**	213,815
	WORLD FUEL SERVICES CORP	Equity Security (3,500 Shares)	**	109,060
	WORTHINGTON INDUSTRIES	Equity Security (2,100 Shares)	**	107,814
	TRAVEL + LEISURE CO	Equity Security (3,800 Shares)	**	170,468
	XPERI HOLDING CORP	Equity Security (10,800 Shares)	**	225,720
	ZUMIEZ INC COMMON STOCK	Equity Security (2,900 Shares)	**	106,662
	ADIENT PLC COMMON STOCK	Equity Security (6,000 Shares)	**	208,620
	ARGO GROUP INTERNATIONAL	Equity Security (4,800 Shares)	**	209,760
	AXIS CAPITAL HOLDINGS	Equity Security (4,000 Shares)	**	201,560
	ENSTAR GROUP LTD COMMON	Equity Security (1,000 Shares)	**	204,890
	ESSENT GROUP LTD COMMON	Equity Security (2,400 Shares)	**	103,680
	INTERNATIONAL GAME	Equity Security (6,600 Shares)	**	111,804
	JAMES RIVER GROUP	Equity Security (2,200 Shares)	**	108,130
	LAZARD LTD COMMON STOCK	Equity Security (5,300 Shares)	**	224,190
	TECHNIPFMC PLC COMMON	Equity Security (6,400 Shares)	**	60,160
	THIRD POINT REINSURANCE	Equity Security (16,600 Shares)	**	158,032
	TRINSEO SA COMMON STOCK	Equity Security (4,500 Shares)	**	230,445
	TARO PHARMACEUTICAL	Equity Security (3,200 Shares)	**	234,944
	CORE LABORATORIES NV	Equity Security (2,100 Shares)	**	55,671
	FRANK'S INTERNATIONAL NV	Equity Security (79,200 Shares)	**	217,008
	HOEGH LNG PARTNERS LP	Equity Security (3,800 Shares)	**	54,340
	ACCELERON PHARMA INC	Equity Security (3,212 Shares)	**	410,943
	ADAPTIVE BIOTECHNOLOGIES	Equity Security (3,111 Shares)	**	183,953
	ADVANCED DRAINAGE	Equity Security (1,843 Shares)	**	154,038
	ARGENX SE ADR USD	Equity Security (2,547 Shares)	**	749,047
	ASCENDIS PHARMA A/S ADR	Equity Security (4,356 Shares)	**	726,494
	AVALARA INC COMMON STOCK	Equity Security (3,497 Shares)	**	576,620

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	BWX TECHNOLOGIES INC	Equity Security (3,850 Shares)	** \$	232,078
	BENTLEY SYSTEMS INC	Equity Security (5,746 Shares)	**	232,770
	BLACKLINE INC COMMON	Equity Security (9,583 Shares)	**	1,278,181
	BRIGHT HORIZONS FAMILY	Equity Security (2,391 Shares)	**	413,619
	CASEY'S GENERAL STORES	Equity Security (2,247 Shares)	**	401,359
	CHEGG INC COMMON STOCK	Equity Security (15,781 Shares)	**	1,425,498
	COGNEX CORP COMMON STOCK	Equity Security (2,588 Shares)	**	207,778
	CREE INC COMMON STOCK	Equity Security (3,714 Shares)	**	393,313
	DENALI THERAPEUTICS INC	Equity Security (1,899 Shares)	**	159,060
	EVO PAYMENTS INC COMMON	Equity Security (8,657 Shares)	**	233,826
	FLOOR & DECOR HOLDINGS	Equity Security (4,205 Shares)	**	390,434
	GLAUKOS CORP COMMON	Equity Security (5,967 Shares)	**	449,076
	GUIDEWIRE SOFTWARE INC	Equity Security (5,227 Shares)	**	672,872
	HALOZYME THERAPEUTICS	Equity Security (34,759 Shares)	**	1,484,557
	HUBSPOT INC COMMON STOCK	Equity Security (3,020 Shares)	**	1,197,249
	IRHYTHM TECHNOLOGIES INC	Equity Security (2,427 Shares)	**	575,709
	GARDNER DENVER HOLDINGS	Equity Security (13,264 Shares)	**	604,308
	INVITAE CORP COMMON	Equity Security (4,047 Shares)	**	169,205
	IOVANCE BIOTHERAPEUTICS	Equity Security (13,091 Shares)	**	607,422
	JOHN BEAN TECHNOLOGIES	Equity Security (4,781 Shares)	**	544,412
	LATTICE SEMICONDUCTOR	Equity Security (18,593 Shares)	**	851,931
	LIVEPERSON INC COMMON	Equity Security (14,669 Shares)	**	912,852
	LIVERAMP HOLDINGS INC	Equity Security (6,272 Shares)	**	459,048
	MESA LABORATORIES INC	Equity Security (591 Shares)	**	169,404
	MONOLITHIC POWER SYSTEMS	Equity Security (2,041 Shares)	**	747,475
	MORNINGSTAR INC COMMON	Equity Security (1,360 Shares)	**	314,935
	NEOGENOMICS INC COMMON	Equity Security (21,314 Shares)	**	1,147,546
	NEW YORK TIMES CO/THE	Equity Security (7,547 Shares)	**	390,708
	NOVANTA INC COMMON STOCK	Equity Security (4,708 Shares)	**	556,580
	OLLIE'S BARGAIN OUTLET	Equity Security (6,878 Shares)	**	562,414
	ORCHARD THERAPEUTICS PLC	Equity Security (12,517 Shares)	**	54,073
	PAPA JOHN'S	Equity Security (2,377 Shares)	**	201,688
	Q2 HOLDINGS INC COMMON	Equity Security (9,471 Shares)	**	1,198,366
	RADIUS HEALTH INC COMMON	Equity Security (6,013 Shares)	**	107,392
	REPLIGEN CORP COMMON	Equity Security (764 Shares)	**	146,405
	SAGE THERAPEUTICS INC	Equity Security (1,835 Shares)	**	158,746
	SHOCKWAVE MEDICAL INC	Equity Security (3,496 Shares)	**	362,605
	SMARTSHEET INC COMMON	Equity Security (2,302 Shares)	**	159,506
	SOUTH MOUNTAIN MERGER	Equity Security (5,302 Shares)	**	85,521
	SUMO LOGIC INC COMMON	Equity Security (2,359 Shares)	**	67,420
	TABULA RASA HEALTHCARE	Equity Security (5,752 Shares)	**	246,416
	TELEDYNE TECHNOLOGIES	Equity Security (2,123 Shares)	**	832,174
	TREX CO INC COMMON STOCK	Equity Security (1,944 Shares)	**	162,752
	TWIST BIOSCIENCE CORP	Equity Security (1,327 Shares)	**	187,492
	TYLER TECHNOLOGIES INC	Equity Security (1,182 Shares)	**	515,967
	VAPOTHERM INC COMMON	Equity Security (8,271 Shares)	**	222,159
	VERACYTE INC COMMON	Equity Security (15,914 Shares)	**	778,831
	VERICEL CORP COMMON	Equity Security (2,310 Shares)	**	71,333
	WINGSTOP INC COMMON	Equity Security (1,964 Shares)	**	260,328
	Y-MABS THERAPEUTICS INC	Equity Security (4,703 Shares)	**	232,846
	YETI HOLDINGS INC COMMON	Equity Security (3,477 Shares)	**	238,070

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	ZYNGA INC COMMON STOCK	Equity Security (101,547 Shares)	** \$	1,002,269
	JFROG LTD COMMON STOCK	Equity Security (5,634 Shares)	**	353,984
	ALBANY INTERNATIONAL	Equity Security (2,150 Shares)	**	157,853
	ALTRA INDUSTRIAL MOTION	Equity Security (18,800 Shares)	**	1,042,084
	ATRICURE INC COMMON	Equity Security (16,850 Shares)	**	938,040
	AVANOS MEDICAL INC	Equity Security (26,150 Shares)	**	1,199,762
	B&G FOODS INC COMMON	Equity Security (16,050 Shares)	**	445,067
	BARNES GROUP INC COMMON	Equity Security (20,700 Shares)	**	1,049,283
	BLACKBAUD INC COMMON	Equity Security (5,700 Shares)	**	328,092
	BLACKLINE INC COMMON	Equity Security (3,200 Shares)	**	426,816
	BRYN MAWR BANK CORP	Equity Security (7,450 Shares)	**	227,933
	CSW INDUSTRIALS INC	Equity Security (2,800 Shares)	**	313,348
	CVB FINANCIAL CORP	Equity Security (18,950 Shares)	**	369,525
	CANTEL MEDICAL CORP	Equity Security (14,700 Shares)	**	1,159,242
	CARDIOVASCULAR SYSTEMS	Equity Security (25,650 Shares)	**	1,122,444
	CHASE CORP COMMON STOCK	Equity Security (2,050 Shares)	**	207,071
	COMMUNITY BANK SYSTEM	Equity Security (7,750 Shares)	**	482,903
	CONMED CORP COMMON STOCK	Equity Security (12,650 Shares)	**	1,416,800
	ELF BEAUTY INC COMMON	Equity Security (27,350 Shares)	**	688,947
	ENVESTNET INC COMMON	Equity Security (5,250 Shares)	**	432,023
	ENVISTA HOLDINGS CORP	Equity Security (7,450 Shares)	**	251,289
	EVOQUA WATER	Equity Security (37,700 Shares)	**	1,017,146
	FRESHPET INC COMMON	Equity Security (1,120 Shares)	**	159,029
	HB FULLER CO COMMON	Equity Security (4,650 Shares)	**	241,242
	GENERAC HOLDINGS INC	Equity Security (2,150 Shares)	**	488,932
	GERMAN AMERICAN BANCORP	Equity Security (9,250 Shares)	**	306,083
	GLOBUS MEDICAL INC	Equity Security (8,100 Shares)	**	528,282
	HOSTESS BRANDS INC	Equity Security (80,250 Shares)	**	1,174,860
	INDEPENDENT BANK CORP	Equity Security (9,500 Shares)	**	693,880
	INNOSPEC INC COMMON	Equity Security (5,900 Shares)	**	535,307
	INSPIRE MEDICAL SYSTEMS	Equity Security (4,350 Shares)	**	818,192
	INTEGRA LIFESCIENCES	Equity Security (21,960 Shares)	**	1,425,643
	J & SNACK FOODS CORP	Equity Security (4,625 Shares)	**	718,586
	JOHN BEAN TECHNOLOGIES	Equity Security (12,750 Shares)	**	1,451,843
	LANCASTER COLONY CORP	Equity Security (7,550 Shares)	**	1,387,162
	MGP INGREDIENTS INC	Equity Security (11,650 Shares)	**	548,249
	MSA SAFETY INC COMMON	Equity Security (7,150 Shares)	**	1,068,139
	MASIMO CORP COMMON STOCK	Equity Security (1,300 Shares)	**	348,894
	MEDALLIA INC COMMON	Equity Security (34,800 Shares)	**	1,156,056
	NATIONAL VISION HOLDINGS	Equity Security (13,100 Shares)	**	593,299
	NEW RELIC INC COMMON	Equity Security (17,000 Shares)	**	1,111,800
	OMNICELL INC COMMON	Equity Security (4,100 Shares)	**	492,082
	PALOMAR HOLDINGS INC	Equity Security (6,750 Shares)	**	599,670
	PENUMBRA INC COMMON	Equity Security (4,000 Shares)	**	700,000
	PLURALSIGHT INC COMMON	Equity Security (25,500 Shares)	**	534,480
	PRESTIGE CONSUMER	Equity Security (18,850 Shares)	**	657,300
	PROSPERITY BANCSHARES	Equity Security (8,400 Shares)	**	582,624
	PURE STORAGE INC COMMON	Equity Security (77,050 Shares)	**	1,742,101
	Q2 HOLDINGS INC COMMON	Equity Security (13,000 Shares)	**	1,644,890
	RBC BEARINGS INC COMMON	Equity Security (1,940 Shares)	**	347,338
	RITCHIE BROS AUCTIONEERS	Equity Security (7,800 Shares)	**	542,490

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value Cost (e) Current Value		
	SAILPOINT TECHNOLOGIES	Equity Security (20,750 Shares)	**	\$ 1,104,730
	SALLY BEAUTY HOLDINGS	Equity Security (37,500 Shares)	**	489,000
	SELECTIVE INSURANCE	Equity Security (12,200 Shares)	**	817,156
	SENSIENT TECHNOLOGIES	Equity Security (13,400 Shares)	**	988,518
	SHAKE SHACK INC COMMON	Equity Security (2,800 Shares)	**	237,384
	SIMPLY GOOD FOODS CO/THE	Equity Security (43,100 Shares)	**	1,351,616
	STANDEX INTERNATIONAL	Equity Security (4,900 Shares)	**	379,848
	STOCK YARDS BANCORP INC	Equity Security (6,100 Shares)	**	246,928
	TACTILE SYSTEMS	Equity Security (12,900 Shares)	**	579,726
	TENABLE HOLDINGS INC	Equity Security (23,150 Shares)	**	1,209,819
	TREEHOUSE FOODS INC	Equity Security (18,400 Shares)	**	781,816
	TRIMAS CORP COMMON STOCK	Equity Security (25,350 Shares)	**	802,835
	UMB FINANCIAL CORP	Equity Security (10,950 Shares)	**	755,441
	UTZ BRANDS HOLDINGS LLC	Equity Security (12,650 Shares)	**	279,059
	VAPOTHERM INC COMMON	Equity Security (6,400 Shares)	**	171,904
	WASHINGTON TRUST BANCORP	Equity Security (5,550 Shares)	**	248,640
	JOHN WILEY & SONS INC	Equity Security (17,500 Shares)	**	799,050
	WINGSTOP INC COMMON	Equity Security (2,850 Shares)	**	377,768
	WOLVERINE WORLD WIDE INC	Equity Security (28,350 Shares)	**	885,938
	WORKIVA INC COMMON STOCK	Equity Security (5,350 Shares)	**	490,167
	YEXT INC COMMON STOCK	Equity Security (60,850 Shares)	**	956,562
	ARGO GROUP INTERNATIONAL	Equity Security (15,760 Shares)	**	688,712
	JAMES RIVER GROUP	Equity Security (11,450 Shares)	**	562,768
	DIAGEO PLC COMMON STOCK	Equity Security (57,540 Shares)	**	2,263,671
	RIO TINTO PLC COMMON	Equity Security (15,585 Shares)	**	1,165,324
	TESCO PLC GBP	Equity Security (377,892 Shares)	**	1,195,319
	CANADIAN NATIONAL	Equity Security (18,417 Shares)	**	2,023,107
	L'OREAL SA COMMON STOCK	Equity Security (3,541 Shares)	**	1,346,569
	LVMH MOET HENNESSY LOUIS	Equity Security (4,414 Shares)	**	2,759,243
	INTESA SANPAOLO SPA	Equity Security (394,107 Shares)	**	922,274
	CAPGEMINI SE COMMON	Equity Security (10,793 Shares)	**	1,674,492
	CARLSBERG AS COMMON	Equity Security (4,810 Shares)	**	771,055
	KBC GROUP NV COMMON	Equity Security (19,261 Shares)	**	1,349,906
	PERNOD RICARD SA COMMON	Equity Security (9,035 Shares)	**	1,733,389
	MERCK KGAA COMMON STOCK	Equity Security (8,900 Shares)	**	1,528,355
	SCHNEIDER ELECTRIC SE	Equity Security (24,819 Shares)	**	3,592,450
	SAP SE COMMON STOCK EUR	Equity Security (17,937 Shares)	**	2,353,138
	BAYER AG	Equity Security (23,318 Shares)	**	1,373,898
	BEIERSDORF AG COMMON	Equity Security (14,965 Shares)	**	1,729,237
	RANDSTAD NV COMMON STOCK	Equity Security (20,036 Shares)	**	1,305,181
	DASSAULT SYSTEMES SE	Equity Security (3,529 Shares)	**	717,420
	ZURICH INSURANCE GROUP	Equity Security (3,343 Shares)	**	1,412,535
	KONINKLIJKE PHILIPS NV	Equity Security (30,272 Shares)	**	1,621,581
	DBS GROUP HOLDINGS LTD	Equity Security (66,700 Shares)	**	1,263,699
	KOSE CORP COMMON STOCK	Equity Security (4,400 Shares)	**	750,061
	DAIKIN INDUSTRIES LTD	Equity Security (10,900 Shares)	**	2,419,759
	FANUC CORP COMMON STOCK	Equity Security (1,200 Shares)	**	294,755
	HITACHI LTD COMMON STOCK	Equity Security (40,900 Shares)	**	1,610,330
	HOYA CORP COMMON STOCK	Equity Security (17,900 Shares)	**	2,474,047
	JAPAN TOBACCO INC COMMON	Equity Security (38,500 Shares)	**	783,835
	KOITO MANUFACTURING CO	Equity Security (12,200 Shares)	**	829,522

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	KUBOTA CORP COMMON STOCK	Equity Security (73,200 Shares)	** \$	1,595,944
	KYOCERA CORP COMMON	Equity Security (18,400 Shares)	**	1,127,400
	DENSO CORP COMMON STOCK	Equity Security (13,400 Shares)	**	796,122
	OLYMPUS CORP COMMON	Equity Security (70,500 Shares)	**	1,540,832
	SMC CORP COMMON STOCK	Equity Security (600 Shares)	**	365,829
	SHIN-ETSU CHEMICAL CO	Equity Security (6,800 Shares)	**	1,188,164
	TERUMO CORP COMMON STOCK	Equity Security (41,300 Shares)	**	1,724,884
	DEUTSCHE BOERSE AG	Equity Security (8,842 Shares)	**	1,506,494
	NOVARTIS AG COMMON STOCK	Equity Security (17,426 Shares)	**	1,649,058
	ROCHE HOLDING AG COMMON	Equity Security (10,925 Shares)	**	3,819,023
	NESTLE SA COMMON STOCK	Equity Security (32,622 Shares)	**	3,847,695
	ENI SPA COMMON STOCK EUR	Equity Security (49,634 Shares)	**	519,117
	ESSILORLUXOTTICA SA	Equity Security (7,471 Shares)	**	1,165,953
	RYANAIR HOLDINGS PLC ADR	Equity Security (10,934 Shares)	**	1,202,521
	MTU AERO ENGINES AG	Equity Security (2,306 Shares)	**	602,109
	ENGIE SA COMMON STOCK	Equity Security (64,086 Shares)	**	981,724
	LEGRAND SA COMMON STOCK	Equity Security (16,900 Shares)	**	1,509,494
	EXPERIAN PLC COMMON	Equity Security (38,271 Shares)	**	1,452,775
	GALP ENERGIA SGPS SA	Equity Security (60,087 Shares)	**	643,589
	SMITHS GROUP PLC COMMON	Equity Security (33,815 Shares)	**	695,431
	DANONE SA COMMON STOCK	Equity Security (20,892 Shares)	**	1,374,235
	AIR LIQUIDE SA COMMON	Equity Security (17,917 Shares)	**	2,943,075
	RECKITT BENCKISER GROUP	Equity Security (12,701 Shares)	**	1,135,798
	RELX PLC COMMON STOCK	Equity Security (22,557 Shares)	**	552,705
	AMADEUS IT GROUP SA	Equity Security (31,964 Shares)	**	2,329,365
	SUNCOR ENERGY INC COMMON	Equity Security (21,150 Shares)	**	354,437
	JULIUS BAER GROUP LTD	Equity Security (19,588 Shares)	**	1,130,141
	AIA GROUP LTD COMMON	Equity Security (251,000 Shares)	**	3,075,246
	ROLLS-ROYCE HOLDINGS PLC	Equity Security (481,283 Shares)	**	731,902
	CIE FINANCIERE RICHEMONT	Equity Security (13,212 Shares)	**	1,196,919
	COMPASS GROUP PLC COMMON	Equity Security (71,456 Shares)	**	1,331,335
	ESSITY AB COMMON STOCK	Equity Security (24,350 Shares)	**	784,236
	SIKA AG COMMON STOCK CHF	Equity Security (3,362 Shares)	**	919,658
	NOVO NORDISK A/S COMMON	Equity Security (27,252 Shares)	**	1,911,246
	AKZO NOBEL NV COMMON	Equity Security (15,922 Shares)	**	1,711,633
	ALCON INC COMMON STOCK	Equity Security (3,485 Shares)	**	231,979
	CIE FINANCIERE RICHEMONT	Equity Security (26,424 Shares)	**	6,875
	UBS GROUP AG COMMON	Equity Security (116,264 Shares)	**	1,640,152
	LINDE PLC COMMON STOCK	Equity Security (11,006 Shares)	**	2,872,364
	RELX PLC COMMON STOCK	Equity Security (45,148 Shares)	**	1,106,474
	QIAGEN NV COMMON STOCK	Equity Security (15,311 Shares)	**	795,249
	ING GROEP NV COMMON	Equity Security (144,638 Shares)	**	1,352,242
	CHECK POINT SOFTWARE	Equity Security (10,530 Shares)	**	1,399,542
	BATTALION OIL CORP	Equity Security (659 Shares)	**	5,470
	HEXION HOLDINGS CORP	Equity Security (312 Shares)	**	3,822
	WHITING PETROLEUM CORP	Equity Security (3,297 Shares)	**	82,425
	MCDERMOTT INTERNATIONAL	Equity Security (1,834 Shares)	**	1,486
				<u>233,423,772</u>

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, Collateral Par or Maturity Value	(d) Cost	(e) Current Value
Self-directed Brokerage Accounts:				
	SELF DIRECTED BROKERAGE ACCOUNT	Self-Directed Brokerage Account	**	\$ 160,012,956
Registered Investment Funds:				
	ALLIANCEBERNSTEIN	Registered Investment Fund (3,100 Shares)	**	104,691
	AMERICA FIRST	Registered Investment Fund (10,400 Shares)	**	44,202
	BP MIDSTREAM PARTNERS LP	Registered Investment Fund (5,000 Shares)	**	53,002
	NOBLE MIDSTREAM PARTNERS	Registered Investment Fund (10,100 Shares)	**	105,245
	RATTLER MIDSTREAM LP LTD	Registered Investment Fund (11,100 Shares)	**	105,232
	SHELL MIDSTREAM PARTNERS	Registered Investment Fund (10,700 Shares)	**	107,860
	SUBURBAN PROPANE	Registered Investment Fund (13,000 Shares)	**	193,188
	WESTLAKE CHEMICAL	Registered Investment Fund (4,900 Shares)	**	116,821
*	JPMORGAN US VAR 12/49	Registered Investment Fund (1,000,624 Shares)	**	1,000,624
*	JPMORGAN US VAR 12/49	Registered Investment Fund (1,131,873 Shares)	**	1,131,873
*	JPMORGAN US VAR 12/49	Registered Investment Fund (2,396,253 Shares)	**	2,396,253
	DFA INTERNATIONAL SMALL	Registered Investment Fund (1,745,154 Shares)	**	35,391,730
	DFA EMERGING MARKETS	Registered Investment Fund (1,448,762 Shares)	**	35,161,443
	PIMCO HIGH YIELD FUND	Registered Investment Fund (3,123,016 Shares)	**	28,356,987
*	JPMORGAN US VAR 12/49	Registered Investment Fund (13,342,891 Shares)	**	13,342,891
*	JPMORGAN US VAR 12/49	Registered Investment Fund (1,507,789 Shares)	**	1,507,789
*	JPMORGAN US VAR 12/49	Registered Investment Fund (1,805,550 Shares)	**	1,805,550
*	JPMORGAN US VAR 12/49	Registered Investment Fund (1,013,747 Shares)	**	1,013,747
				<u>121,939,128</u>
Fixed Income Securities:				
	ARD FINANCE 6.5% 06/27	Fixed Income Security (200,000 Shares)	**	213,238
	AERIE 1.5% 10/24	Fixed Income Security (35,000 Shares)	**	31,822
	OVINTIV INC 7.375% 11/31	Fixed Income Security (5,000 Shares)	**	5,665
	ALBERTSONS 4.875% 02/30	Fixed Income Security (85,000 Shares)	**	93,389
	ALLEGHENY 7.875% 08/23	Fixed Income Security (120,000 Shares)	**	131,050
	ALLEGHENY 5.875% 12/27	Fixed Income Security (15,000 Shares)	**	15,516
	AMERICAN 11.75% 07/25	Fixed Income Security (65,000 Shares)	**	74,690
	AMERICAN 4.875% 03/26	Fixed Income Security (165,000 Shares)	**	168,854
	AMWINS GROUP 7.75% 07/26	Fixed Income Security (15,000 Shares)	**	15,837
	ANTERO 8.375% 07/26	Fixed Income Security (10,000 Shares)	**	9,936
	APACHE CORP 4.25% 01/44	Fixed Income Security (35,000 Shares)	**	34,261
	APACHE CORP 4.25% 01/30	Fixed Income Security (50,000 Shares)	**	52,229

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	ARAMARK 4.75% 06/26	Fixed Income Security (20,000 Shares)	** \$	20,318
	ARAMARK 6.375% 05/25	Fixed Income Security (65,000 Shares)	**	69,197
	ARCELORMITTAL 7% 03/41	Fixed Income Security (65,000 Shares)	**	89,304
	ARCHES 6.125% 12/28	Fixed Income Security (10,000 Shares)	**	10,056
	ARDAGH 5.25% 08/27	Fixed Income Security (200,000 Shares)	**	209,691
	ASSUREDPARTN 5.625% 01/29	Fixed Income Security (30,000 Shares)	**	31,041
	AVANTOR 4.625% 07/28	Fixed Income Security (80,000 Shares)	**	84,329
	AVIATION 5.5% 12/24	Fixed Income Security (85,000 Shares)	**	93,829
	AVIS BUDGET 5.75% 07/27	Fixed Income Security (50,000 Shares)	**	50,791
	B&G FOODS 5.25% 04/25	Fixed Income Security (80,000 Shares)	**	82,329
	LBM 6.25% 01/29	Fixed Income Security (15,000 Shares)	**	15,216
	BAUSCH 5.25% 01/30	Fixed Income Security (235,000 Shares)	**	246,479
	BAUSCH 5.25% 02/31	Fixed Income Security (70,000 Shares)	**	72,859
	BIOMARIN 0.599% 08/24	Fixed Income Security (140,000 Shares)	**	149,961
	BIOMARIN 1.25% 05/27	Fixed Income Security (115,000 Shares)	**	119,904
	BLUE RACER 7.625% 12/25	Fixed Income Security (5,000 Shares)	**	5,054
	BOEING 3.375% 06/46	Fixed Income Security (50,000 Shares)	**	48,519
	BOEING 3.65% 03/47	Fixed Income Security (10,000 Shares)	**	9,851
	BOEING 3.55% 03/38	Fixed Income Security (5,000 Shares)	**	4,830
	BOEING 3.625% 03/48	Fixed Income Security (30,000 Shares)	**	29,976
	BOEING 3.85% 11/48	Fixed Income Security (5,000 Shares)	**	4,886
	BOEING CO/THE 3.5% 03/39	Fixed Income Security (15,000 Shares)	**	14,904
	BOEING 3.825% 03/59	Fixed Income Security (5,000 Shares)	**	4,808
	BOEING CO/THE 3.9% 05/49	Fixed Income Security (30,000 Shares)	**	31,565
	BOEING 3.25% 02/35	Fixed Income Security (10,000 Shares)	**	9,984
	BOEING 3.75% 02/50	Fixed Income Security (30,000 Shares)	**	31,276
	BOEING 5.705% 05/40	Fixed Income Security (15,000 Shares)	**	19,195
	BOEING 5.805% 05/50	Fixed Income Security (210,000 Shares)	**	289,139
	BOEING 5.93% 05/60	Fixed Income Security (30,000 Shares)	**	42,287
	BOEING 5.15% 05/30	Fixed Income Security (145,000 Shares)	**	175,215
	BOMBARDIER 6.125% 01/23	Fixed Income Security (55,000 Shares)	**	53,491
	BOMBARDIER INC 6% 10/22	Fixed Income Security (115,000 Shares)	**	112,618
	BOYD GAMING 6% 08/26	Fixed Income Security (50,000 Shares)	**	51,604
	BOYD GAMING 4.75% 12/27	Fixed Income Security (85,000 Shares)	**	88,022
	BROOKFIELD 5.75% 05/26	Fixed Income Security (75,000 Shares)	**	73,604
	BUCKEYE 5.85% 11/43	Fixed Income Security (15,000 Shares)	**	14,488
	BUCKEYE 5.6% 10/44	Fixed Income Security (25,000 Shares)	**	23,760
	BUILDERS 5% 03/30	Fixed Income Security (60,000 Shares)	**	64,716
	CCO HOLDINGS 4.75% 03/30	Fixed Income Security (625,000 Shares)	**	674,104
	CHS/COMMUNITY 8% 03/26	Fixed Income Security (185,000 Shares)	**	199,066
	CHS/COMMUNIT 6.625% 02/25	Fixed Income Security (115,000 Shares)	**	120,764
	CHS/COMMUNIT 5.625% 03/27	Fixed Income Security (35,000 Shares)	**	37,362
	CHS/COMMUNITY 6% 01/29	Fixed Income Security (20,000 Shares)	**	21,334
	CP ATLAS BUYER 7% 12/28	Fixed Income Security (15,000 Shares)	**	15,329
	CSC 4.125% 12/30	Fixed Income Security (200,000 Shares)	**	208,849
	CAESARS 5.75% 07/25	Fixed Income Security (30,000 Shares)	**	31,516
	CAMELOT 4.5% 11/26	Fixed Income Security (90,000 Shares)	**	93,666
	CARNIVAL 9.875% 08/27	Fixed Income Security (45,000 Shares)	**	51,479
	CARNIVAL 7.625% 03/26	Fixed Income Security (40,000 Shares)	**	43,308
	CARVANA CO 5.625% 10/25	Fixed Income Security (125,000 Shares)	**	128,010
	CARVANA CO 5.875% 10/28	Fixed Income Security (30,000 Shares)	**	30,891

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	CEDAR FAIR 5.375% 06/24	Fixed Income Security (35,000 Shares)	** \$	34,816
	CENOVUS 4.25% 04/27	Fixed Income Security (10,000 Shares)	**	10,649
	CENOVUS 5.25% 06/37	Fixed Income Security (5,000 Shares)	**	5,389
	CENOVUS 5.4% 06/47	Fixed Income Security (55,000 Shares)	**	64,294
	CENOVUS 5.375% 07/25	Fixed Income Security (35,000 Shares)	**	39,189
	CENTENNIAL 8% 06/25	Fixed Income Security (50,000 Shares)	**	43,979
	CHEGG INC ZERO 09/26	Fixed Income Security (15,000 Shares)	**	16,502
	CHEMOURS 5.375% 05/27	Fixed Income Security (40,000 Shares)	**	42,329
	CINCINNATI 6.3% 12/28	Fixed Income Security (110,000 Shares)	**	116,879
	CLEAR 9.25% 02/24	Fixed Income Security (50,000 Shares)	**	50,354
	CLEVELAND-CL 9.875% 10/25	Fixed Income Security (35,000 Shares)	**	40,897
	HCA INC 8.36% 04/24	Fixed Income Security (80,000 Shares)	**	93,329
	HCA INC 7.69% 06/25	Fixed Income Security (60,000 Shares)	**	71,729
	COLUMBIA HCA 7.05% 12/27	Fixed Income Security (20,000 Shares)	**	23,954
	HCA INC 7.58% 09/25	Fixed Income Security (175,000 Shares)	**	210,604
	HCA INC 7.75% 07/36	Fixed Income Security (120,000 Shares)	**	154,829
	COMMERCIAL 5.375% 07/27	Fixed Income Security (115,000 Shares)	**	120,766
	COMMScope 7.125% 07/28	Fixed Income Security (155,000 Shares)	**	164,804
	COMMScope 6% 06/25	Fixed Income Security (10,000 Shares)	**	9,954
	COMMScope 5% 03/27	Fixed Income Security (220,000 Shares)	**	216,429
	COMSTOCK 9.75% 08/26	Fixed Income Security (35,000 Shares)	**	37,441
	CONTINENTAL 3.8% 06/24	Fixed Income Security (55,000 Shares)	**	56,522
	CONTINENTAL 4.9% 06/44	Fixed Income Security (45,000 Shares)	**	44,234
	CONTINENTAL 4.375% 01/28	Fixed Income Security (20,000 Shares)	**	20,233
	CORNERSTONE 6.125% 01/29	Fixed Income Security (20,000 Shares)	**	20,979
	DCP 5.125% 05/29	Fixed Income Security (90,000 Shares)	**	99,550
	DAVITA INC 3.75% 02/31	Fixed Income Security (75,000 Shares)	**	75,881
	DELTA AIR 7.375% 01/26	Fixed Income Security (60,000 Shares)	**	68,267
	DEVON ENERGY 5.6% 07/41	Fixed Income Security (20,000 Shares)	**	24,188
	DIAMOND 5.375% 08/26	Fixed Income Security (125,000 Shares)	**	101,291
	DIAMOND 6.625% 08/27	Fixed Income Security (25,000 Shares)	**	14,854
	DIAMONDBACK 3.5% 12/29	Fixed Income Security (10,000 Shares)	**	10,412
	DILLARD'S 7.875% 01/23	Fixed Income Security (10,000 Shares)	**	10,581
	DILLARD'S 7.75% 07/26	Fixed Income Security (110,000 Shares)	**	124,440
	DILLARD'S 7.75% 05/27	Fixed Income Security (20,000 Shares)	**	21,656
	DILLARD'S INC 7% 12/28	Fixed Income Security (40,000 Shares)	**	42,802
	DISH 3.375% 08/26	Fixed Income Security (295,000 Shares)	**	280,932
	DISH 2.375% 03/24	Fixed Income Security (165,000 Shares)	**	153,497
	DISH NETWORK ZERO 12/25	Fixed Income Security (20,000 Shares)	**	19,823
	DONNELLEY 8.25% 10/24	Fixed Income Security (90,000 Shares)	**	95,129
	DUN & 6.875% 08/26	Fixed Income Security (5,000 Shares)	**	5,104
	EQT CORP 8.5% 02/30	Fixed Income Security (65,000 Shares)	**	79,354
	EQT CORP 7.625% 02/25	Fixed Income Security (15,000 Shares)	**	16,810
	EQM MIDSTREAM 5.5% 07/28	Fixed Income Security (20,000 Shares)	**	21,586
	EQM MIDSTREAM 6% 07/25	Fixed Income Security (55,000 Shares)	**	59,954
	EQM MIDSTREAM 6.5% 07/27	Fixed Income Security (55,000 Shares)	**	61,661
	CAESARS 6.25% 07/25	Fixed Income Security (60,000 Shares)	**	63,629
	CAESARS 8.125% 07/27	Fixed Income Security (35,000 Shares)	**	38,475
	EMBRAER 5.05% 06/25	Fixed Income Security (25,000 Shares)	**	26,229
	EMBRAER 5.4% 02/27	Fixed Income Security (35,000 Shares)	**	36,917
	EMPIRE 7% 12/25	Fixed Income Security (25,000 Shares)	**	26,075

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	OVINTIV INC 6.5% 08/34	Fixed Income Security (50,000 Shares)	** \$	57,631
	OVINTIV INC 6.625% 08/37	Fixed Income Security (15,000 Shares)	**	16,466
	ENCOMPASS 4.5% 02/28	Fixed Income Security (175,000 Shares)	**	182,604
	ENERGIZER 4.375% 03/29	Fixed Income Security (55,000 Shares)	**	56,682
	ENDO DAC / 6% 06/28	Fixed Income Security (50,000 Shares)	**	42,229
	ENERGY TRANSFER 5% 05/50	Fixed Income Security (50,000 Shares)	**	53,873
	ENLINK 5.45% 06/47	Fixed Income Security (110,000 Shares)	**	88,308
	EXPEDIA 3.25% 02/30	Fixed Income Security (115,000 Shares)	**	119,414
	EXPEDIA 4.625% 08/27	Fixed Income Security (45,000 Shares)	**	49,994
	FIRST 6.875% 03/26	Fixed Income Security (350,000 Shares)	**	364,604
	FIVERR ZERO 11/25	Fixed Income Security (10,000 Shares)	**	11,798
	FORD MOTOR CO 8.5% 04/23	Fixed Income Security (185,000 Shares)	**	207,948
	FORD MOTOR CO 9% 04/25	Fixed Income Security (265,000 Shares)	**	324,621
	FORD MOTOR 4% 11/30	Fixed Income Security (200,000 Shares)	**	209,729
	FORD MOTOR 4.389% 01/26	Fixed Income Security (235,000 Shares)	**	246,404
	FREEDOM 7.625% 05/26	Fixed Income Security (65,000 Shares)	**	68,479
	FREEPORT-MCMO 4.25% 03/30	Fixed Income Security (200,000 Shares)	**	215,229
	GFL 4% 08/28	Fixed Income Security (55,000 Shares)	**	55,141
	GENERAL 6.25% 10/43	Fixed Income Security (125,000 Shares)	**	168,350
	GENERAL 5.2% 04/45	Fixed Income Security (40,000 Shares)	**	48,306
	GENERAL 6.75% 04/46	Fixed Income Security (45,000 Shares)	**	64,562
	GENESIS 7.75% 02/28	Fixed Income Security (75,000 Shares)	**	71,541
	GOODYEAR TIRE & 7% 03/28	Fixed Income Security (65,000 Shares)	**	70,538
	GRAFTECH 4.625% 12/28	Fixed Income Security (15,000 Shares)	**	14,897
	GRAHAM 7.125% 08/28	Fixed Income Security (25,000 Shares)	**	27,354
	GRAY 4.75% 10/30	Fixed Income Security (70,000 Shares)	**	71,041
	GUARDANT ZERO 11/27	Fixed Income Security (40,000 Shares)	**	46,530
	HCA INC 7.5% 11/33	Fixed Income Security (155,000 Shares)	**	215,179
	HTA GROUP 7% 12/25	Fixed Income Security (200,000 Shares)	**	214,729
	HARVEST 7.5% 09/28	Fixed Income Security (30,000 Shares)	**	31,641
	HERCULES LLC 6.5% 06/29	Fixed Income Security (370,000 Shares)	**	397,294
	HESS 5.625% 02/26	Fixed Income Security (70,000 Shares)	**	72,529
	HESS 5.125% 06/28	Fixed Income Security (170,000 Shares)	**	177,431
	HEXION INC 7.875% 07/27	Fixed Income Security (15,000 Shares)	**	15,779
	SERVICE 4.35% 10/24	Fixed Income Security (20,000 Shares)	**	19,479
	SERVICE 4.75% 10/26	Fixed Income Security (85,000 Shares)	**	83,666
	HOST HOTELS & 3.5% 09/30	Fixed Income Security (55,000 Shares)	**	57,674
	ICAHN 6.25% 05/26	Fixed Income Security (295,000 Shares)	**	312,104
	ICAHN 4.75% 09/24	Fixed Income Security (45,000 Shares)	**	46,472
	IHEARTCOMMUN 6.375% 05/26	Fixed Income Security (5,000 Shares)	**	5,079
	IHEARTCOMMUN 8.375% 05/27	Fixed Income Security (385,000 Shares)	**	410,701
	IHEARTCOMMUNI 4.75% 01/28	Fixed Income Security (65,000 Shares)	**	66,435
	INTEGRA 0.5% 08/25	Fixed Income Security (65,000 Shares)	**	71,256
	INTELLIGENT 6% 09/28	Fixed Income Security (30,000 Shares)	**	30,554
	IRON 4.875% 09/29	Fixed Income Security (145,000 Shares)	**	152,704
	JAGUAR HOLDING 5% 06/28	Fixed Income Security (45,000 Shares)	**	47,766
	KRAFT HEINZ 5% 06/42	Fixed Income Security (65,000 Shares)	**	75,992
	KRAFT HEINZ 4.375% 06/46	Fixed Income Security (150,000 Shares)	**	162,020
	KRAFT HEINZ 5.2% 07/45	Fixed Income Security (5,000 Shares)	**	5,674
	KRAFT HEINZ 4.875% 10/49	Fixed Income Security (100,000 Shares)	**	116,391
	KRAFT HEINZ 5.5% 06/50	Fixed Income Security (30,000 Shares)	**	37,521

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	L BRANDS 6.875% 11/35	Fixed Income Security (30,000 Shares)	** \$	33,404
	L BRANDS INC 6.75% 07/36	Fixed Income Security (30,000 Shares)	**	33,152
	L BRANDS INC 5.25% 02/28	Fixed Income Security (40,000 Shares)	**	41,472
	L BRANDS 6.625% 10/30	Fixed Income Security (30,000 Shares)	**	33,104
	LADDER 5.875% 08/21	Fixed Income Security (185,000 Shares)	**	184,729
	LAMAR MEDIA 3.75% 02/28	Fixed Income Security (110,000 Shares)	**	112,776
	LIBERTY MEDIA 0.5% 12/50	Fixed Income Security (60,000 Shares)	**	63,366
	LIFEPOINT 5.375% 01/29	Fixed Income Security (70,000 Shares)	**	69,578
	LIVE NATION 4.75% 10/27	Fixed Income Security (65,000 Shares)	**	66,349
	LIVE NATION 3.75% 01/28	Fixed Income Security (25,000 Shares)	**	24,989
	LIVEPERSON ZERO 12/26	Fixed Income Security (30,000 Shares)	**	32,498
	LOGMEIN INC 5.5% 09/27	Fixed Income Security (30,000 Shares)	**	31,154
	LONESTAR 11.25% 01/23	Fixed Income Security (170,000 Shares)	**	12,479
	LUMENTUM 0.5% 12/26	Fixed Income Security (20,000 Shares)	**	24,117
	MGM RESORTS 5.5% 04/27	Fixed Income Security (95,000 Shares)	**	105,606
	MGM GROWTH 5.75% 02/27	Fixed Income Security (55,000 Shares)	**	61,432
	MPH 5.75% 11/28	Fixed Income Security (25,000 Shares)	**	24,299
	MARRIOTT 4.75% 01/28	Fixed Income Security (105,000 Shares)	**	106,304
	MARRIOTT 6.125% 09/25	Fixed Income Security (5,000 Shares)	**	5,054
	MARRIOTT 6.5% 09/26	Fixed Income Security (115,000 Shares)	**	119,904
	MASONITE 5.375% 02/28	Fixed Income Security (45,000 Shares)	**	48,047
	MATADOR 5.875% 09/26	Fixed Income Security (15,000 Shares)	**	14,429
	MATTAMY 4.625% 03/30	Fixed Income Security (60,000 Shares)	**	63,329
	MECCANICA 7.375% 07/39	Fixed Income Security (100,000 Shares)	**	128,729
	MERITOR INC 4.5% 12/28	Fixed Income Security (15,000 Shares)	**	15,104
	METHANEX 5.65% 12/44	Fixed Income Security (30,000 Shares)	**	31,904
	METHANEX 5.25% 12/29	Fixed Income Security (5,000 Shares)	**	5,147
	MURPHY OIL 6.375% 12/42	Fixed Income Security (35,000 Shares)	**	30,572
	NCR CORP 8.125% 04/25	Fixed Income Security (15,000 Shares)	**	16,433
	NCR CORP 5% 10/28	Fixed Income Security (25,000 Shares)	**	26,104
	NCR CORP 5.25% 10/30	Fixed Income Security (25,000 Shares)	**	26,541
	NRG ENERGY 5.75% 01/28	Fixed Income Security (95,000 Shares)	**	103,516
	NATIONSTAR 5.125% 12/30	Fixed Income Security (60,000 Shares)	**	62,442
	NETFLIX INC 5.375% 11/29	Fixed Income Security (90,000 Shares)	**	105,816
	NETFLIX INC 4.875% 06/30	Fixed Income Security (150,000 Shares)	**	172,229
	NEUROCRINE 2.25% 05/24	Fixed Income Security (40,000 Shares)	**	54,920
	NEW FORTRESS 6.75% 09/25	Fixed Income Security (105,000 Shares)	**	111,258
	OVINTIV 5.375% 01/26	Fixed Income Security (55,000 Shares)	**	58,752
	NIELSEN 5.625% 10/28	Fixed Income Security (60,000 Shares)	**	64,922
	NIELSEN 5.875% 10/30	Fixed Income Security (60,000 Shares)	**	67,604
	NOKIA OYJ 4.375% 06/27	Fixed Income Security (80,000 Shares)	**	86,979
	NORDSTROM INC 5% 01/44	Fixed Income Security (40,000 Shares)	**	37,380
	NOVELIS CORP 4.75% 01/30	Fixed Income Security (100,000 Shares)	**	107,465
	NUSTAR 5.75% 10/25	Fixed Income Security (45,000 Shares)	**	47,654
	OCCIDENTAL 2.7% 02/23	Fixed Income Security (55,000 Shares)	**	54,676
	OCCIDENTAL 3.5% 06/25	Fixed Income Security (235,000 Shares)	**	226,729
	OCCIDENTAL 3.4% 04/26	Fixed Income Security (10,000 Shares)	**	9,263
	OCCIDENTAL 3% 02/27	Fixed Income Security (20,000 Shares)	**	17,529
	OCCIDENTAL 3.2% 08/26	Fixed Income Security (15,000 Shares)	**	13,754
	OCCIDENTAL 3.5% 08/29	Fixed Income Security (150,000 Shares)	**	137,004
	OCCIDENTAL 2.9% 08/24	Fixed Income Security (230,000 Shares)	**	221,104

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	OCCIDENTAL 4.4% 08/49	Fixed Income Security (115,000 Shares)	** \$	96,651
	OLIN CORP 5.125% 09/27	Fixed Income Security (20,000 Shares)	**	20,652
	OLIN CORP 5% 02/30	Fixed Income Security (50,000 Shares)	**	52,979
	OLIN CORP 5.625% 08/29	Fixed Income Security (5,000 Shares)	**	5,160
	1011778 BC 4.375% 01/28	Fixed Income Security (65,000 Shares)	**	66,679
	OUTFRONT 4.625% 03/30	Fixed Income Security (25,000 Shares)	**	25,282
	OUTFRONT 6.25% 06/25	Fixed Income Security (25,000 Shares)	**	26,104
	OXFORD 6.375% 12/22	Fixed Income Security (95,000 Shares)	**	94,491
	PBF HOLDING CO 6% 02/28	Fixed Income Security (20,000 Shares)	**	11,154
	PG&E CORP 5% 07/28	Fixed Income Security (40,000 Shares)	**	42,329
	PG&E CORP 5.25% 07/30	Fixed Income Security (40,000 Shares)	**	43,729
	PERFORMANCE 5.5% 10/27	Fixed Income Security (50,000 Shares)	**	52,479
	PM GENERAL 9.5% 10/28	Fixed Income Security (40,000 Shares)	**	44,029
	PALO ALTO 0.375% 06/25	Fixed Income Security (5,000 Shares)	**	6,313
	PAR 7.5% 04/27	Fixed Income Security (25,000 Shares)	**	26,854
	CLEVELAND-CL 9.875% 10/25	Fixed Income Security (45,000 Shares)	**	52,660
	PARKLAND 5.875% 07/27	Fixed Income Security (145,000 Shares)	**	156,507
	PARSLEY 5.375% 01/25	Fixed Income Security (20,000 Shares)	**	20,297
	PARSLEY 5.25% 08/25	Fixed Income Security (5,000 Shares)	**	4,936
	PARSLEY 5.625% 10/27	Fixed Income Security (60,000 Shares)	**	65,399
	AVIENT CORP 5.75% 05/25	Fixed Income Security (55,000 Shares)	**	58,166
	POST 4.625% 04/30	Fixed Income Security (40,000 Shares)	**	41,807
	PRESIDIO 4.875% 02/27	Fixed Income Security (25,000 Shares)	**	26,248
	PRESIDIO 8.25% 02/28	Fixed Income Security (30,000 Shares)	**	32,804
	PRIME 6.25% 01/28	Fixed Income Security (145,000 Shares)	**	155,399
	MODIVCARE 5.875% 11/25	Fixed Income Security (15,000 Shares)	**	15,591
	QUICKEN 5.25% 01/28	Fixed Income Security (170,000 Shares)	**	181,204
	QUICKEN 3.625% 03/29	Fixed Income Security (95,000 Shares)	**	96,629
	QUICKEN 3.875% 03/31	Fixed Income Security (95,000 Shares)	**	98,291
	RP ESCROW 5.25% 12/25	Fixed Income Security (20,000 Shares)	**	20,630
	RACKSPACE 5.375% 12/28	Fixed Income Security (30,000 Shares)	**	31,160
	RADIATE 6.5% 09/28	Fixed Income Security (60,000 Shares)	**	62,954
	RANGE RESOURCES 5% 03/23	Fixed Income Security (20,000 Shares)	**	19,229
	RANGE 9.25% 02/26	Fixed Income Security (40,000 Shares)	**	41,529
	REALOGY 9.375% 04/27	Fixed Income Security (25,000 Shares)	**	27,416
	REALOGY 7.625% 06/25	Fixed Income Security (40,000 Shares)	**	43,158
	NAVIENT 5.625% 08/33	Fixed Income Security (255,000 Shares)	**	244,529
	SM ENERGY CO 10% 01/25	Fixed Income Security (35,000 Shares)	**	37,354
	SS&C 5.5% 09/27	Fixed Income Security (45,000 Shares)	**	47,790
	SABRE GLBL 9.25% 04/25	Fixed Income Security (30,000 Shares)	**	35,429
	SABRE GLBL 7.375% 09/25	Fixed Income Security (20,000 Shares)	**	21,429
	NRG ENERGY 5.25% 06/29	Fixed Income Security (5,000 Shares)	**	5,229
	LEVIATHAN 6.125% 06/25	Fixed Income Security (25,000 Shares)	**	27,166
	LEVIATHAN 6.5% 06/27	Fixed Income Security (40,000 Shares)	**	44,465
	SCIENTIFIC 7% 05/28	Fixed Income Security (40,000 Shares)	**	42,737
	SCIENTIFIC 7.25% 11/29	Fixed Income Security (40,000 Shares)	**	43,629
	SERVICEMASTER 7.45% 08/27	Fixed Income Security (65,000 Shares)	**	75,372
	SERVICE 7.5% 09/25	Fixed Income Security (5,000 Shares)	**	5,490
	SHELF 8.25% 02/25	Fixed Income Security (100,000 Shares)	**	45,729
	SHIFT4 ZERO 12/25	Fixed Income Security (55,000 Shares)	**	66,844
	SHIFT4 4.625% 11/26	Fixed Income Security (20,000 Shares)	**	20,529

Siemens Energy, Inc. Savings Plan

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Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	SIRIUS XM 4.125% 07/30	Fixed Income Security (100,000 Shares)	** \$	106,166
	SOUTHWEST 1.25% 05/25	Fixed Income Security (35,000 Shares)	**	50,566
	SOUTHWESTERN 6.45% 01/25	Fixed Income Security (55,000 Shares)	**	56,929
	SOUTHWESTERN 7.5% 04/26	Fixed Income Security (10,000 Shares)	**	10,219
	SOUTHWESTERN 7.75% 10/27	Fixed Income Security (5,000 Shares)	**	5,127
	SPEEDWAY 4.875% 11/27	Fixed Income Security (70,000 Shares)	**	69,029
	ONEMAIN 6.875% 03/25	Fixed Income Security (85,000 Shares)	**	98,435
	ONEMAIN 7.125% 03/26	Fixed Income Security (35,000 Shares)	**	41,116
	SPIRIT 4.6% 06/28	Fixed Income Security (70,000 Shares)	**	68,941
	SPIRIT 7.5% 04/25	Fixed Income Security (125,000 Shares)	**	133,791
	SPRINT 6.875% 11/28	Fixed Income Security (170,000 Shares)	**	223,870
	STAPLES INC 7.5% 04/26	Fixed Income Security (20,000 Shares)	**	20,614
	SUNOCO 5.4% 10/47	Fixed Income Security (30,000 Shares)	**	33,249
	SUNOCO LP / 4.5% 05/29	Fixed Income Security (40,000 Shares)	**	41,329
	TALLGRASS 6% 03/27	Fixed Income Security (75,000 Shares)	**	77,447
	TARGA RESOURCES 5% 01/28	Fixed Income Security (60,000 Shares)	**	63,062
	TARGA 5.5% 03/30	Fixed Income Security (85,000 Shares)	**	92,013
	TARGA 4.875% 02/31	Fixed Income Security (5,000 Shares)	**	5,177
	TAYLOR 5.75% 01/28	Fixed Income Security (40,000 Shares)	**	45,029
	TAYLOR 5.125% 08/30	Fixed Income Security (55,000 Shares)	**	61,329
	TELADOC 1.25% 06/27	Fixed Income Security (75,000 Shares)	**	89,557
	TELECOM 6.375% 11/33	Fixed Income Security (5,000 Shares)	**	5,879
	TELECOM ITALIA 6% 09/34	Fixed Income Security (125,000 Shares)	**	151,990
	TENET 5.125% 05/25	Fixed Income Security (155,000 Shares)	**	157,750
	TENET 6.125% 10/28	Fixed Income Security (215,000 Shares)	**	223,754
	TENNECO INC 7.875% 01/29	Fixed Income Security (15,000 Shares)	**	16,570
	TERRIER 8.875% 12/27	Fixed Income Security (40,000 Shares)	**	43,829
	TEVA 6.15% 02/36	Fixed Income Security (15,000 Shares)	**	15,666
	TEVA 4.1% 10/46	Fixed Income Security (560,000 Shares)	**	498,129
	TOWNSQUARE 6.875% 02/26	Fixed Income Security (10,000 Shares)	**	10,202
	TRANSDIGM INC 6.5% 05/25	Fixed Income Security (65,000 Shares)	**	66,516
	TRANSDIGM INC 5.5% 11/27	Fixed Income Security (30,000 Shares)	**	31,268
	TRANSOCEAN 6.25% 12/24	Fixed Income Security (66,000 Shares)	**	61,686
	US CONCRETE 5.125% 03/29	Fixed Income Security (55,000 Shares)	**	56,379
	UBER 7.5% 09/27	Fixed Income Security (495,000 Shares)	**	544,229
	UBER 7.5% 05/25	Fixed Income Security (5,000 Shares)	**	5,130
	UBER 6.25% 01/28	Fixed Income Security (40,000 Shares)	**	43,229
	UBER ZERO 12/25	Fixed Income Security (35,000 Shares)	**	35,535
	UNITED RENTALS 4% 07/30	Fixed Income Security (80,000 Shares)	**	83,929
	UNITED 6.875% 08/25	Fixed Income Security (20,000 Shares)	**	18,829
	UNITED 6.25% 03/26	Fixed Income Security (30,000 Shares)	**	27,179
	UNIVISION 6.625% 06/27	Fixed Income Security (30,000 Shares)	**	31,950
	VERITAS US 7.5% 09/25	Fixed Income Security (55,000 Shares)	**	56,172
	VIASAT INC 6.5% 07/28	Fixed Income Security (65,000 Shares)	**	70,072
	VINE OIL & 8.75% 04/23	Fixed Income Security (40,000 Shares)	**	31,329
	WESCO 7.125% 06/25	Fixed Income Security (45,000 Shares)	**	49,221
	WESCO 7.25% 06/28	Fixed Income Security (60,000 Shares)	**	67,966
	WESTERN 5.45% 04/44	Fixed Income Security (40,000 Shares)	**	40,180
	WESTERN 5.3% 03/48	Fixed Income Security (5,000 Shares)	**	4,684
	WESTERN 6.5% 02/50	Fixed Income Security (40,000 Shares)	**	43,729
	WESTERN 5.3% 02/30	Fixed Income Security (85,000 Shares)	**	94,913

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, (d) Collateral Par or Maturity Value		(e) Current Value
			Cost	
	TRI POINTE 5.875% 06/24	Fixed Income Security (100,000 Shares)	** \$	108,879
	WHITE CAP 6.875% 10/28	Fixed Income Security (10,000 Shares)	**	10,391
	WINDSTREAM 7.75% 08/28	Fixed Income Security (30,000 Shares)	**	29,939
	WPX ENERGY 5.25% 10/27	Fixed Income Security (20,000 Shares)	**	20,921
	WPX ENERGY 5.875% 06/28	Fixed Income Security (30,000 Shares)	**	32,430
	WYNDHAM 4.25% 03/22	Fixed Income Security (90,000 Shares)	**	91,754
	WYNDHAM 3.9% 03/23	Fixed Income Security (5,000 Shares)	**	4,829
	TRAVEL + 4.625% 03/30	Fixed Income Security (60,000 Shares)	**	63,179
	TRAVEL + 6.625% 07/26	Fixed Income Security (90,000 Shares)	**	102,779
	WYNN 5.125% 10/29	Fixed Income Security (45,000 Shares)	**	46,866
	XEROX HOLDINGS 5% 08/25	Fixed Income Security (30,000 Shares)	**	31,657
	XEROX 5.5% 08/28	Fixed Income Security (30,000 Shares)	**	31,563
	YUM BRANDS 4.75% 01/30	Fixed Income Security (25,000 Shares)	**	27,141
	YUM BRANDS 3.625% 03/31	Fixed Income Security (90,000 Shares)	**	90,703
	ZIGGO BV 4.875% 01/30	Fixed Income Security (390,000 Shares)	**	409,716
	BOMBARDIER 6.125% 01/23	Fixed Income Security (40,000 Shares)	**	38,829
	CAESARS 5.25% 10/25	Fixed Income Security (45,000 Shares)	**	45,205
	SIX FLAGS 4.875% 07/24	Fixed Income Security (35,000 Shares)	**	34,759
	PILGRIM'S 5.875% 09/27	Fixed Income Security (50,000 Shares)	**	53,959
	PARSLEY 5.375% 01/25	Fixed Income Security (5,000 Shares)	**	4,871
	TALEN ENERGY 10.5% 01/26	Fixed Income Security (40,000 Shares)	**	35,310
	PETSMART 8.875% 06/25	Fixed Income Security (5,000 Shares)	**	4,866
	JELD-WEN 4.875% 12/27	Fixed Income Security (100,000 Shares)	**	105,479
	TELENET 5.5% 03/28	Fixed Income Security (200,000 Shares)	**	213,029
	UBER 8% 11/26	Fixed Income Security (110,000 Shares)	**	119,779
	PARSLEY 5.625% 10/27	Fixed Income Security (20,000 Shares)	**	21,619
	VISTRA 5.5% 09/26	Fixed Income Security (75,000 Shares)	**	77,894
	MEG ENERGY 7.125% 02/27	Fixed Income Security (40,000 Shares)	**	41,029
	TALLGRASS 5.5% 01/28	Fixed Income Security (5,000 Shares)	**	4,835
	TRANSDIGM 6.25% 03/26	Fixed Income Security (125,000 Shares)	**	132,854
	STAPLES INC 7.5% 04/26	Fixed Income Security (45,000 Shares)	**	46,721
	LIVE NATION 4.75% 10/27	Fixed Income Security (50,000 Shares)	**	50,975
	PROVIDENT 6.375% 06/25	Fixed Income Security (50,000 Shares)	**	50,979
	PANTHER BF 8.5% 05/27	Fixed Income Security (80,000 Shares)	**	86,641
	CSC HOLDINGS 5.75% 01/30	Fixed Income Security (200,000 Shares)	**	218,979
	MINERAL 8.125% 05/27	Fixed Income Security (110,000 Shares)	**	121,416
	HEXION INC 7.875% 07/27	Fixed Income Security (20,000 Shares)	**	21,129
	KRAFT HEINZ 4.875% 10/49	Fixed Income Security (30,000 Shares)	**	34,727
	POST HOLDINGS 5.5% 12/29	Fixed Income Security (35,000 Shares)	**	37,922
	WOLVERINE 9% 11/26	Fixed Income Security (40,000 Shares)	**	37,591
	CVR ENERGY 5.25% 02/25	Fixed Income Security (15,000 Shares)	**	14,204
	LADDER 5.875% 08/21	Fixed Income Security (20,000 Shares)	**	19,729
	WYNN LAS 5.5% 03/25	Fixed Income Security (135,000 Shares)	**	140,635
	PILGRIM'S 5.75% 03/25	Fixed Income Security (30,000 Shares)	**	30,527
	DELL 8.35% 07/46	Fixed Income Security (40,000 Shares)	**	60,241
	MAUSER 7.25% 04/25	Fixed Income Security (90,000 Shares)	**	90,629
	PARSLEY 5.25% 08/25	Fixed Income Security (25,000 Shares)	**	25,766
	SURGERY 6.75% 07/25	Fixed Income Security (35,000 Shares)	**	35,341
	TALLGRASS 5.5% 09/24	Fixed Income Security (5,000 Shares)	**	4,816
	ARAMARK 6.375% 05/25	Fixed Income Security (15,000 Shares)	**	15,760
	ASCENT 7% 11/26	Fixed Income Security (35,000 Shares)	**	32,891

Siemens Energy, Inc. Savings Plan

EIN: 13-3987280 Plan #021

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (continued)

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, Collateral Par or Maturity Value	(d) Cost	(e) Current Value
	PETSMART 7.125% 03/23	Fixed Income Security (70,000 Shares)	**	\$ 69,729
	FIRSTENERGY 5.45% 07/44	Fixed Income Security (60,000 Shares)	**	75,861
	GOLDEN 6.75% 10/24	Fixed Income Security (30,000 Shares)	**	29,513
	PBF HOLDING 9.25% 05/25	Fixed Income Security (25,000 Shares)	**	24,376
	REALOGY 7.625% 06/25	Fixed Income Security (25,000 Shares)	**	26,872
	UBER 7.5% 09/27	Fixed Income Security (5,000 Shares)	**	5,241
				<u>27,532,670</u>
Government Securities:				
	PETROLEOS 6.625% 06/35	Government Security (25,000 Shares)	**	24,659
	PETROLEOS 5.95% 01/31	Government Security (90,000 Shares)	**	89,445
				<u>114,104</u>
Synthetic Guaranteed Investment Contracts:				
	PRUDENTIAL INSURANCE COMP	Synthetic Guaranteed Investment Contract (76,236,224 Shares)	**	76,236,224
	PACIFIC LIFE INSURANCE CO	Synthetic Guaranteed Investment Contract (76,688,557 Shares)	**	76,688,557
	NATIONWIDE LIFE INSURANCE	Synthetic Guaranteed Investment Contract (83,995,138 Shares)	**	83,995,138
	STATE STREET BANK AND TRU	Synthetic Guaranteed Investment Contract (59,632,015 Shares)	**	59,632,015
	VOYA RETIREMENT INSURANCE	Synthetic Guaranteed Investment Contract (92,026,891 Shares)	**	92,026,891
	TRANSAMERICA LIFE INSURAN	Synthetic Guaranteed Investment Contract (78,533,437 Shares)	**	78,533,437
				<u>467,112,262</u>
Participant Loans:				
*	PARTICIPANT LOANS	Loans outstanding with interest rates ranging from 3.25% to 8.25%	**	35,275,442
				<u>\$ 3,326,932,507</u>

* Party named is a party-in-interest.

** Cost not required as all investments are participant-directed