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|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>3a</b> Plan administrator's name and address <input type="checkbox"/> Same as Plan Sponsor<br><br>RPM INTERNATIONAL INC.<br>JANEEN KASTNER<br>P.O. BOX 777<br>MEDINA, OH 44258                                                                                                                                                                                                                                                     |  | <b>3b</b> Administrator's EIN<br>02-0642224                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>4</b> If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:<br><b>a</b> Sponsor's name<br><b>c</b> Plan Name                                                                                                                                                |  | <b>3c</b> Administrator's telephone number<br>330-273-5090                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| <b>5</b> Total number of participants at the beginning of the plan year                                                                                                                                                                                                                                                                                                                                                               |  | <b>4b</b> EIN<br><br><b>4d</b> PN                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>6</b> Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines <b>6a(1)</b> , <b>6a(2)</b> , <b>6b</b> , <b>6c</b> , and <b>6d</b> ).                                                                                                                                                                                                                                      |  | <b>5</b> 8703                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>a(1)</b> Total number of active participants at the beginning of the plan year.....                                                                                                                                                                                                                                                                                                                                                |  | <b>6a(1)</b> 6598                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>a(2)</b> Total number of active participants at the end of the plan year .....                                                                                                                                                                                                                                                                                                                                                     |  | <b>6a(2)</b> 6525                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>b</b> Retired or separated participants receiving benefits.....                                                                                                                                                                                                                                                                                                                                                                    |  | <b>6b</b> 526                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>c</b> Other retired or separated participants entitled to future benefits .....                                                                                                                                                                                                                                                                                                                                                    |  | <b>6c</b> 1540                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>d</b> Subtotal. Add lines <b>6a(2)</b> , <b>6b</b> , and <b>6c</b> .....                                                                                                                                                                                                                                                                                                                                                           |  | <b>6d</b> 8591                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>e</b> Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. ....                                                                                                                                                                                                                                                                                                                            |  | <b>6e</b> 80                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| <b>f</b> Total. Add lines <b>6d</b> and <b>6e</b> .....                                                                                                                                                                                                                                                                                                                                                                               |  | <b>6f</b> 8671                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>g</b> Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) .....                                                                                                                                                                                                                                                                                       |  | <b>6g</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>h</b> Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested .....                                                                                                                                                                                                                                                                                            |  | <b>6h</b> 363                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>7</b> Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....                                                                                                                                                                                                                                                                                                   |  | <b>7</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>8a</b> If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:<br>1A                                                                                                                                                                                                                                                                    |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>b</b> If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:                                                                                                                                                                                                                                                                           |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>9a</b> Plan funding arrangement (check all that apply)<br>(1) <input type="checkbox"/> Insurance<br>(2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts<br>(3) <input checked="" type="checkbox"/> Trust<br>(4) <input type="checkbox"/> General assets of the sponsor                                                                                                                                         |  | <b>9b</b> Plan benefit arrangement (check all that apply)<br>(1) <input type="checkbox"/> Insurance<br>(2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts<br>(3) <input checked="" type="checkbox"/> Trust<br>(4) <input type="checkbox"/> General assets of the sponsor                                                                                                                                                                                                              |
| <b>10</b> Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)                                                                                                                                                                                                                                                                     |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>a Pension Schedules</b><br>(1) <input checked="" type="checkbox"/> <b>R</b> (Retirement Plan Information)<br><br>(2) <input type="checkbox"/> <b>MB</b> (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary<br><br>(3) <input checked="" type="checkbox"/> <b>SB</b> (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary |  | <b>b General Schedules</b><br>(1) <input checked="" type="checkbox"/> <b>H</b> (Financial Information)<br>(2) <input type="checkbox"/> <b>I</b> (Financial Information – Small Plan)<br>(3) <input type="checkbox"/> <u>0</u> <b>A</b> (Insurance Information)<br>(4) <input checked="" type="checkbox"/> <b>C</b> (Service Provider Information)<br>(5) <input type="checkbox"/> <b>D</b> (DFE/Participating Plan Information)<br>(6) <input type="checkbox"/> <b>G</b> (Financial Transaction Schedules) |

**Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)**

**11a** If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

**11b** Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

**11c** Enter the Receipt Confirmation Code for the 2020 Form M-1 annual report. If the plan was not required to file the 2020 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code \_\_\_\_\_

|                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                   |                                                                                                |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|
| <div>SCHEDULE SB<br/>(Form 5500)<br/><br/>Department of the Treasury<br/>Internal Revenue Service<br/><br/>Department of Labor<br/>Employee Benefits Security Administration<br/><br/>Pension Benefit Guaranty Corporation</div> | <div>Single-Employer Defined Benefit Plan<br/>Actuarial Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500 or 5500-SF.</div> | <div>OMB No. 1210-0110</div> <div>2020</div> <div>This Form is Open to Public Inspection</div> |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|

|                                                                                                                                    |                                                                                                                                                  |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|
| For calendar plan year 2020 or fiscal plan year beginning 06/01/2020 and ending 05/31/2021                                         |                                                                                                                                                  |
| ▶ Round off amounts to nearest dollar.                                                                                             |                                                                                                                                                  |
| ▶ Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.            |                                                                                                                                                  |
| A Name of plan<br>RPM INTERNATIONAL INC. RETIREMENT PLAN                                                                           | B Three-digit plan number (PN) ▶ 010                                                                                                             |
| C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF<br>RPM INTERNATIONAL INC.                                        | D Employer Identification Number (EIN)<br>02-0642224                                                                                             |
| E Type of plan: <input checked="" type="checkbox"/> Single <input type="checkbox"/> Multiple-A <input type="checkbox"/> Multiple-B | F Prior year plan size: <input type="checkbox"/> 100 or fewer <input type="checkbox"/> 101-500 <input checked="" type="checkbox"/> More than 500 |

|        |                                                                                                                                                                                                       |                            |                           |                          |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|---------------------------|--------------------------|
| Part I | Basic Information                                                                                                                                                                                     |                            |                           |                          |
| 1      | Enter the valuation date: Month 06 Day 01 Year 2020                                                                                                                                                   |                            |                           |                          |
| 2      | Assets:                                                                                                                                                                                               |                            |                           |                          |
| a      | Market value .....                                                                                                                                                                                    | 2a                         | 566048063                 |                          |
| b      | Actuarial value .....                                                                                                                                                                                 | 2b                         | 590881631                 |                          |
| 3      | Funding target/participant count breakdown                                                                                                                                                            | (1) Number of participants | (2) Vested Funding Target | (3) Total Funding Target |
| a      | For retired participants and beneficiaries receiving payment.....                                                                                                                                     | 568                        | 67961746                  | 67961746                 |
| b      | For terminated vested participants.....                                                                                                                                                               | 1537                       | 66855339                  | 66855339                 |
| c      | For active participants .....                                                                                                                                                                         | 6598                       | 317770169                 | 336418355                |
| d      | Total.....                                                                                                                                                                                            | 8703                       | 452587254                 | 471235440                |
| 4      | If the plan is in at-risk status, check the box and complete lines (a) and (b)..... <input type="checkbox"/>                                                                                          |                            |                           |                          |
| a      | Funding target disregarding prescribed at-risk assumptions .....                                                                                                                                      |                            | 4a                        |                          |
| b      | Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor..... |                            | 4b                        |                          |
| 5      | Effective interest rate .....                                                                                                                                                                         |                            | 5                         | 5.54 %                   |
| 6      | Target normal cost.....                                                                                                                                                                               |                            | 6                         | 32658126                 |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                        |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|
| Statement by Enrolled Actuary                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                        |
| To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan. |                                        |
| SIGN HERE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                        |
| DANIEL SECKAR, EA, MAAA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 02/11/2022                             |
| Type or print name of actuary                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Date                                   |
| MERCER                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 20-06799                               |
| Firm name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Most recent enrollment number          |
| SIX PPG PLACE, SUITE 400<br>PITTSBURGH, PA 15222-5406                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 412-355-8706                           |
| Address of the firm                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Telephone number (including area code) |

| Part II Beginning of Year Carryover and Prefunding Balances                                                                                                          |                       |                        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|------------------------|
|                                                                                                                                                                      | (a) Carryover balance | (b) Prefunding balance |
| <b>7</b> Balance at beginning of prior year after applicable adjustments (line 13 from prior year) .....                                                             |                       | 85849748               |
| <b>8</b> Portion elected for use to offset prior year's funding requirement (line 35 from prior year) .....                                                          |                       |                        |
| <b>9</b> Amount remaining (line 7 minus line 8) .....                                                                                                                | 0                     | 85849748               |
| <b>10</b> Interest on line 9 using prior year's actual return of <u>1.69</u> % .....                                                                                 | 0                     | 1450861                |
| <b>11</b> Prior year's excess contributions to be added to prefunding balance:                                                                                       |                       |                        |
| <b>a</b> Present value of excess contributions (line 38a from prior year) .....                                                                                      |                       | 56472107               |
| <b>b(1)</b> Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>5.68</u> % ..... |                       | 3207616                |
| <b>b(2)</b> Interest on line 38b from prior year Schedule SB, using prior year's actual return .....                                                                 |                       |                        |
| <b>c</b> Total available at beginning of current plan year to add to prefunding balance .....                                                                        |                       | 59679723               |
| <b>d</b> Portion of (c) to be added to prefunding balance .....                                                                                                      |                       |                        |
| <b>12</b> Other reductions in balances due to elections or deemed elections .....                                                                                    | 0                     | 375741                 |
| <b>13</b> Balance at beginning of current year (line 9 + line 10 + line 11d – line 12) .....                                                                         | 0                     | 86924868               |

| Part III Funding Percentages                                                                                                                                               |           |          |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>14</b> Funding target attainment percentage .....                                                                                                                       | <b>14</b> | 106.94 % |
| <b>15</b> Adjusted funding target attainment percentage .....                                                                                                              | <b>15</b> | 125.38 % |
| <b>16</b> Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement ..... | <b>16</b> | 108.22 % |
| <b>17</b> If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage .....                                        | <b>17</b> | %        |

| Part IV Contributions and Liquidity Shortfalls                                           |                                |                              |                       |                                |                              |
|------------------------------------------------------------------------------------------|--------------------------------|------------------------------|-----------------------|--------------------------------|------------------------------|
| <b>18</b> Contributions made to the plan for the plan year by employer(s) and employees: |                                |                              |                       |                                |                              |
| (a) Date (MM-DD-YYYY)                                                                    | (b) Amount paid by employer(s) | (c) Amount paid by employees | (a) Date (MM-DD-YYYY) | (b) Amount paid by employer(s) | (c) Amount paid by employees |
| 02/11/2022                                                                               | 64600000                       | 0                            |                       |                                |                              |
|                                                                                          |                                |                              |                       |                                |                              |
|                                                                                          |                                |                              |                       |                                |                              |
|                                                                                          |                                |                              |                       |                                |                              |
|                                                                                          |                                |                              |                       |                                |                              |
|                                                                                          |                                |                              |                       |                                |                              |
|                                                                                          |                                |                              | Totals ►              | 18(b)                          | 64600000                     |
|                                                                                          |                                |                              |                       | 18(c)                          | 0                            |

|                                                                                                                                                                            |            |          |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------|
| <b>19</b> Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:                                       |            |          |
| <b>a</b> Contributions allocated toward unpaid minimum required contributions from prior years .....                                                                       | <b>19a</b> | 0        |
| <b>b</b> Contributions made to avoid restrictions adjusted to valuation date .....                                                                                         | <b>19b</b> | 0        |
| <b>c</b> Contributions allocated toward minimum required contribution for current year adjusted to valuation date .....                                                    | <b>19c</b> | 58946163 |
| <b>20</b> Quarterly contributions and liquidity shortfalls:                                                                                                                |            |          |
| <b>a</b> Did the plan have a "funding shortfall" for the prior year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                   |            |          |
| <b>b</b> If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? <input type="checkbox"/> Yes <input type="checkbox"/> No |            |          |
| <b>c</b> If line 20a is "Yes," see instructions and complete the following table as applicable:                                                                            |            |          |
| Liquidity shortfall as of end of quarter of this plan year                                                                                                                 |            |          |
| (1) 1st                                                                                                                                                                    | (2) 2nd    | (3) 3rd  |
|                                                                                                                                                                            |            |          |
|                                                                                                                                                                            |            |          |

**Part V Assumptions Used to Determine Funding Target and Target Normal Cost****21** Discount rate:**a** Segment rates:1st segment:  
3.64 %2nd segment:  
5.21 %3rd segment:  
5.94 %☐ N/A, full yield curve used**b** Applicable month (enter code) .....**21b**

0

**22** Weighted average retirement age .....**22**

63

**23** Mortality table(s) (see instructions) ☐ Prescribed - combined☒ Prescribed - separate☐ Substitute**Part VI Miscellaneous Items****24** Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. .... ☒ Yes ☐ No**25** Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. .... ☐ Yes ☒ No**26** Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. .... ☒ Yes ☐ No**27** If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment. ....**27****Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years****28** Unpaid minimum required contributions for all prior years ..... **28** 0**29** Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a) ..... **29** 0**30** Remaining amount of unpaid minimum required contributions (line 28 minus line 29) ..... **30** 0**Part VIII Minimum Required Contribution For Current Year****31** Target normal cost and excess assets (see instructions):**a** Target normal cost (line 6) ..... **31a** 32658126**b** Excess assets, if applicable, but not greater than line 31a ..... **31b** 32658126**32** Amortization installments:**a** Net shortfall amortization installment ..... Outstanding Balance 0 Installment 0**b** Waiver amortization installment ..... 0 0**33** If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_) and the waived amount ..... **33****34** Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33) ..... **34** 0**35** Balances elected for use to offset funding requirement ..... Carryover balance Prefunding balance Total balance 0**36** Additional cash requirement (line 34 minus line 35) ..... **36** 0**37** Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c) ..... **37** 58946163**38** Present value of excess contributions for current year (see instructions)**a** Total (excess, if any, of line 37 over line 36) ..... **38a** 58946163**b** Portion included in line 38a attributable to use of prefunding and funding standard carryover balances ..... **38b****39** Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37) ..... **39** 0**40** Unpaid minimum required contributions for all years ..... **40** 0**Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)****41** If an election was made to use PRA 2010 funding relief for this plan:**a** Schedule elected ..... ☐ 2 plus 7 years ☐ 15 years**b** Eligible plan year(s) for which the election in line 41a was made ..... ☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

|                                                                                                                                                                                                                           |                                                                                                                                                                                                                        |                                                |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------|
| <b>SCHEDULE C</b><br><b>(Form 5500)</b><br><br>Department of the Treasury<br>Internal Revenue Service<br><br>Department of Labor<br>Employee Benefits Security Administration<br><br>Pension Benefit Guaranty Corporation | <b>Service Provider Information</b><br><br>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).<br><br>▶ <b>File as an attachment to Form 5500.</b> | OMB No. 1210-0110                              |
|                                                                                                                                                                                                                           |                                                                                                                                                                                                                        | <b>2020</b>                                    |
|                                                                                                                                                                                                                           |                                                                                                                                                                                                                        | <b>This Form is Open to Public Inspection.</b> |

|                                                                                            |                                                             |     |
|--------------------------------------------------------------------------------------------|-------------------------------------------------------------|-----|
| For calendar plan year 2020 or fiscal plan year beginning 06/01/2020 and ending 05/31/2021 |                                                             |     |
| <b>A</b> Name of plan<br>RPM INTERNATIONAL INC. RETIREMENT PLAN                            | <b>B</b> Three-digit plan number (PN) ▶                     | 010 |
|                                                                                            |                                                             |     |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500<br>RPM INTERNATIONAL INC.    | <b>D</b> Employer Identification Number (EIN)<br>02-0642224 |     |

|               |                                                        |
|---------------|--------------------------------------------------------|
| <b>Part I</b> | <b>Service Provider Information (see instructions)</b> |
|---------------|--------------------------------------------------------|

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

**1 Information on Persons Receiving Only Eligible Indirect Compensation**

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . ☐ Yes ☒ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

|                                                                                                                   |
|-------------------------------------------------------------------------------------------------------------------|
| <b>(b)</b> Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
|                                                                                                                   |
| <b>(b)</b> Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
|                                                                                                                   |
| <b>(b)</b> Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
|                                                                                                                   |
| <b>(b)</b> Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
|                                                                                                                   |

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MERCER

13-2834414

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 11                     | N/A                                                                                               | 901231                                                                 | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                  | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

(a) Enter name and EIN or address (see instructions)

DOUGLASS WINTHROP

13-4085380

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 21                     | N/A                                                                                               | 238807                                                                 | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                  | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

(a) Enter name and EIN or address (see instructions)

WELLS FARGO ADVISORS

34-1542819

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 20                     | N/A                                                                                               | 200000                                                                 | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                  | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

COLUMBIA ASSET MANAGEMENT

94-1687665

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 21                     | N/A                                                                                               | 161232                                                                 | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                  | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

(a) Enter name and EIN or address (see instructions)

KEY TRUST

34-0797057

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 26                     | N/A                                                                                               | 79559                                                                  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                                            | 20577                                                                                                                                                                           | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                          |

(a) Enter name and EIN or address (see instructions)

WILLIS TOWERS WATSON

53-0181291

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 38                     | N/A                                                                                               | 79623                                                                  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                  | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

ANCORA

200AUBURN DRIVE, SUITE 300  
CLEVELAND, OH 44122

13-6354410

| <b>(b)</b><br>Service Code(s) | <b>(c)</b><br>Relationship to employer, employee organization, or person known to be a party-in-interest | <b>(d)</b><br>Enter direct compensation paid by the plan. If none, enter -0-. | <b>(e)</b><br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | <b>(f)</b><br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | <b>(g)</b><br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | <b>(h)</b><br>Did the service provider give you a formula instead of an amount or estimated amount? |
|-------------------------------|----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
| 21                            | N/A                                                                                                      | 56347                                                                         | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                         | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                              |                                                                                                                                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                            |

**(a)** Enter name and EIN or address (see instructions)

BDO

13-5381590

| <b>(b)</b><br>Service Code(s) | <b>(c)</b><br>Relationship to employer, employee organization, or person known to be a party-in-interest | <b>(d)</b><br>Enter direct compensation paid by the plan. If none, enter -0-. | <b>(e)</b><br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | <b>(f)</b><br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | <b>(g)</b><br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | <b>(h)</b><br>Did the service provider give you a formula instead of an amount or estimated amount? |
|-------------------------------|----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
| 10                            | N/A                                                                                                      | 19900                                                                         | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                         | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                              |                                                                                                                                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                            |

**(a)** Enter name and EIN or address (see instructions)

FRONTIER MANAGEMENT

04-2715137

| <b>(b)</b><br>Service Code(s) | <b>(c)</b><br>Relationship to employer, employee organization, or person known to be a party-in-interest | <b>(d)</b><br>Enter direct compensation paid by the plan. If none, enter -0-. | <b>(e)</b><br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | <b>(f)</b><br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | <b>(g)</b><br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | <b>(h)</b><br>Did the service provider give you a formula instead of an amount or estimated amount? |
|-------------------------------|----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
| 21                            | N/A                                                                                                      | 0                                                                             | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                         | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                              |                                                                                                                                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                            |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

CALFEE HALTER & GRISWOLD LLP

34-0732302

| <b>(b)</b><br>Service Code(s) | <b>(c)</b><br>Relationship to employer, employee organization, or person known to be a party-in-interest | <b>(d)</b><br>Enter direct compensation paid by the plan. If none, enter -0-. | <b>(e)</b><br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | <b>(f)</b><br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | <b>(g)</b><br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | <b>(h)</b><br>Did the service provider give you a formula instead of an amount or estimated amount? |
|-------------------------------|----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
| 22                            | N/A                                                                                                      | 2657                                                                          | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                         | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                              |                                                                                                                                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                            |

**(a)** Enter name and EIN or address (see instructions)

| <b>(b)</b><br>Service Code(s) | <b>(c)</b><br>Relationship to employer, employee organization, or person known to be a party-in-interest | <b>(d)</b><br>Enter direct compensation paid by the plan. If none, enter -0-. | <b>(e)</b><br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | <b>(f)</b><br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | <b>(g)</b><br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | <b>(h)</b><br>Did the service provider give you a formula instead of an amount or estimated amount? |
|-------------------------------|----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
|                               |                                                                                                          |                                                                               | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                    | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                              |                                                                                                                                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                            |

**(a)** Enter name and EIN or address (see instructions)

| <b>(b)</b><br>Service Code(s) | <b>(c)</b><br>Relationship to employer, employee organization, or person known to be a party-in-interest | <b>(d)</b><br>Enter direct compensation paid by the plan. If none, enter -0-. | <b>(e)</b><br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | <b>(f)</b><br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | <b>(g)</b><br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | <b>(h)</b><br>Did the service provider give you a formula instead of an amount or estimated amount? |
|-------------------------------|----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
|                               |                                                                                                          |                                                                               | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                    | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                              |                                                                                                                                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                            |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
|                                                                     |                                                                                                                                                                    |                                           |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
|                                                                     |                                                                                                                                                                    |                                           |
| (a) Enter service provider name as it appears on line 2             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|                                                                     |                                                                                                                                                                    |                                           |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
|                                                                     |                                                                                                                                                                    |                                           |
| (a) Enter service provider name as it appears on line 2             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|                                                                     |                                                                                                                                                                    |                                           |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
|                                                                     |                                                                                                                                                                    |                                           |

**Part II Service Providers Who Fail or Refuse to Provide Information**

**4** Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|--------------------------------------------------------------------------|-------------------------------|-------------------------------------------------------------------------------------|
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |

**Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)**  
(complete as many entries as needed)

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                              |                                                                                           |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| <b>SCHEDULE H</b><br><b>(Form 5500)</b><br><br><small>Department of the Treasury<br/>Internal Revenue Service</small><br><br><small>Department of Labor<br/>Employee Benefits Security Administration</small><br><br><small>Pension Benefit Guaranty Corporation</small> | <b>Financial Information</b><br><br>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).<br><br><b>► File as an attachment to Form 5500.</b> | OMB No. 1210-0110<br><br><b>2020</b><br><br><b>This Form is Open to Public Inspection</b> |
| For calendar plan year 2020 or fiscal plan year beginning <b>06/01/2020</b> and ending <b>05/31/2021</b>                                                                                                                                                                 |                                                                                                                                                                                                                                                                              |                                                                                           |
| <b>A</b> Name of plan<br><b>RPM INTERNATIONAL INC. RETIREMENT PLAN</b>                                                                                                                                                                                                   | <b>B</b> Three-digit plan number (PN) <b>►</b>                                                                                                                                                                                                                               | <b>010</b>                                                                                |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500<br><b>RPM INTERNATIONAL INC.</b>                                                                                                                                                                           | <b>D</b> Employer Identification Number (EIN)<br><b>02-0642224</b>                                                                                                                                                                                                           |                                                                                           |

| Part I                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Asset and Liability Statement |                       |                 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|-----------------------|-----------------|
| <b>1</b> Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. <b>Round off amounts to the nearest dollar.</b> MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions. |                               |                       |                 |
| Assets                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                               | (a) Beginning of Year | (b) End of Year |
| <b>a</b> Total noninterest-bearing cash.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                               | <b>1a</b>             |                 |
| <b>b</b> Receivables (less allowance for doubtful accounts):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                               |                       |                 |
| <b>(1)</b> Employer contributions .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                               | <b>1b(1)</b>          | 62000000        |
| <b>(2)</b> Participant contributions.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                               | <b>1b(2)</b>          |                 |
| <b>(3)</b> Other .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                               | <b>1b(3)</b>          | 341437          |
| <b>c</b> General investments:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                               |                       |                 |
| <b>(1)</b> Interest-bearing cash (include money market accounts & certificates of deposit) .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                               | <b>1c(1)</b>          | 36722683        |
| <b>(2)</b> U.S. Government securities .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                               | <b>1c(2)</b>          | 37205892        |
| <b>(3)</b> Corporate debt instruments (other than employer securities):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                               |                       |                 |
| <b>(A)</b> Preferred .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                               | <b>1c(3)(A)</b>       |                 |
| <b>(B)</b> All other.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                               | <b>1c(3)(B)</b>       | 34813960        |
| <b>(4)</b> Corporate stocks (other than employer securities):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                               |                       |                 |
| <b>(A)</b> Preferred .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                               | <b>1c(4)(A)</b>       |                 |
| <b>(B)</b> Common .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                               | <b>1c(4)(B)</b>       | 19568806        |
| <b>(5)</b> Partnership/joint venture interests .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                               | <b>1c(5)</b>          | 169841          |
| <b>(6)</b> Real estate (other than employer real property) .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                               | <b>1c(6)</b>          |                 |
| <b>(7)</b> Loans (other than to participants).....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                               | <b>1c(7)</b>          |                 |
| <b>(8)</b> Participant loans .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                               | <b>1c(8)</b>          |                 |
| <b>(9)</b> Value of interest in common/collective trusts .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                               | <b>1c(9)</b>          |                 |
| <b>(10)</b> Value of interest in pooled separate accounts .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                               | <b>1c(10)</b>         |                 |
| <b>(11)</b> Value of interest in master trust investment accounts .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                               | <b>1c(11)</b>         |                 |
| <b>(12)</b> Value of interest in 103-12 investment entities .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                               | <b>1c(12)</b>         |                 |
| <b>(13)</b> Value of interest in registered investment companies (e.g., mutual funds) .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                               | <b>1c(13)</b>         | 384604677       |
| <b>(14)</b> Value of funds held in insurance company general account (unallocated contracts).....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                               | <b>1c(14)</b>         |                 |
| <b>(15)</b> Other.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                               | <b>1c(15)</b>         |                 |

|                                                                      |              | (a) Beginning of Year | (b) End of Year |
|----------------------------------------------------------------------|--------------|-----------------------|-----------------|
| <b>1d</b> Employer-related investments:                              |              |                       |                 |
| (1) Employer securities.....                                         | <b>1d(1)</b> |                       |                 |
| (2) Employer real property.....                                      | <b>1d(2)</b> |                       |                 |
| <b>e</b> Buildings and other property used in plan operation .....   | <b>1e</b>    |                       |                 |
| <b>f</b> Total assets (add all amounts in lines 1a through 1e) ..... | <b>1f</b>    | 575427296             | 736387322       |

**Liabilities**

|                                                                           |           |         |          |
|---------------------------------------------------------------------------|-----------|---------|----------|
| <b>g</b> Benefit claims payable .....                                     | <b>1g</b> |         |          |
| <b>h</b> Operating payables .....                                         | <b>1h</b> |         |          |
| <b>i</b> Acquisition indebtedness.....                                    | <b>1i</b> |         |          |
| <b>j</b> Other liabilities.....                                           | <b>1j</b> | 7058955 | 11006311 |
| <b>k</b> Total liabilities (add all amounts in lines 1g through 1j) ..... | <b>1k</b> | 7058955 | 11006311 |

**Net Assets**

|                                                           |           |           |           |
|-----------------------------------------------------------|-----------|-----------|-----------|
| <b>l</b> Net assets (subtract line 1k from line 1f) ..... | <b>1l</b> | 568368341 | 725381011 |
|-----------------------------------------------------------|-----------|-----------|-----------|

**Part II Income and Expense Statement**

**2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

**Income**

|                                                                                              |                 | (a) Amount | (b) Total |
|----------------------------------------------------------------------------------------------|-----------------|------------|-----------|
| <b>a</b> Contributions:                                                                      |                 |            |           |
| (1) Received or receivable in cash from: (A) Employers .....                                 | <b>2a(1)(A)</b> | 64600000   |           |
| (B) Participants .....                                                                       | <b>2a(1)(B)</b> |            |           |
| (C) Others (including rollovers).....                                                        | <b>2a(1)(C)</b> |            |           |
| (2) Noncash contributions .....                                                              | <b>2a(2)</b>    |            |           |
| (3) Total contributions. Add lines <b>2a(1)(A)</b> , (B), (C), and line <b>2a(2)</b> .....   | <b>2a(3)</b>    |            | 64600000  |
| <b>b</b> Earnings on investments:                                                            |                 |            |           |
| (1) Interest:                                                                                |                 |            |           |
| (A) Interest-bearing cash (including money market accounts and certificates of deposit)..... | <b>2b(1)(A)</b> | 10204      |           |
| (B) U.S. Government securities .....                                                         | <b>2b(1)(B)</b> | 548174     |           |
| (C) Corporate debt instruments .....                                                         | <b>2b(1)(C)</b> | 1086482    |           |
| (D) Loans (other than to participants) .....                                                 | <b>2b(1)(D)</b> |            |           |
| (E) Participant loans.....                                                                   | <b>2b(1)(E)</b> |            |           |
| (F) Other .....                                                                              | <b>2b(1)(F)</b> |            |           |
| (G) Total interest. Add lines <b>2b(1)(A)</b> through (F).....                               | <b>2b(1)(G)</b> |            | 1644860   |
| (2) Dividends: (A) Preferred stock.....                                                      | <b>2b(2)(A)</b> |            |           |
| (B) Common stock .....                                                                       | <b>2b(2)(B)</b> | 332143     |           |
| (C) Registered investment company shares (e.g. mutual funds).....                            | <b>2b(2)(C)</b> | 10310649   |           |
| (D) Total dividends. Add lines <b>2b(2)(A)</b> , (B), and (C) .....                          | <b>2b(2)(D)</b> |            | 10642792  |
| (3) Rents .....                                                                              | <b>2b(3)</b>    |            |           |
| (4) Net gain (loss) on sale of assets: (A) Aggregate proceeds .....                          | <b>2b(4)(A)</b> | 293406326  |           |
| (B) Aggregate carrying amount (see instructions).....                                        | <b>2b(4)(B)</b> | 291416895  |           |
| (C) Subtract line <b>2b(4)(B)</b> from line <b>2b(4)(A)</b> and enter result .....           | <b>2b(4)(C)</b> |            | 1989431   |
| (5) Unrealized appreciation (depreciation) of assets: (A) Real estate .....                  | <b>2b(5)(A)</b> | 6715254    |           |
| (B) Other .....                                                                              | <b>2b(5)(B)</b> |            |           |
| (C) Total unrealized appreciation of assets.<br>Add lines <b>2b(5)(A)</b> and (B) .....      | <b>2b(5)(C)</b> |            | 6715254   |

|                                                                                                 |        | (a) Amount | (b) Total |
|-------------------------------------------------------------------------------------------------|--------|------------|-----------|
| (6) Net investment gain (loss) from common/collective trusts.....                               | 2b(6)  |            |           |
| (7) Net investment gain (loss) from pooled separate accounts.....                               | 2b(7)  |            |           |
| (8) Net investment gain (loss) from master trust investment accounts .....                      | 2b(8)  |            |           |
| (9) Net investment gain (loss) from 103-12 investment entities .....                            | 2b(9)  |            |           |
| (10) Net investment gain (loss) from registered investment companies (e.g., mutual funds) ..... | 2b(10) |            | 134939693 |
| c Other income .....                                                                            | 2c     |            |           |
| d Total income. Add all <b>income</b> amounts in column (b) and enter total.....                | 2d     |            | 220532030 |

**Expenses****e** Benefit payment and payments to provide benefits:

|                                                                                     |       |          |          |
|-------------------------------------------------------------------------------------|-------|----------|----------|
| (1) Directly to participants or beneficiaries, including direct rollovers.....      | 2e(1) | 60228672 |          |
| (2) To insurance carriers for the provision of benefits .....                       | 2e(2) |          |          |
| (3) Other.....                                                                      | 2e(3) |          |          |
| (4) Total benefit payments. Add lines 2e(1) through (3) .....                       | 2e(4) |          | 60228672 |
| f Corrective distributions (see instructions) .....                                 | 2f    |          |          |
| g Certain deemed distributions of participant loans (see instructions).....         | 2g    |          |          |
| h Interest expense.....                                                             | 2h    |          |          |
| i Administrative expenses: (1) Professional fees .....                              | 2i(1) | 1082969  |          |
| (2) Contract administrator fees .....                                               | 2i(2) |          |          |
| (3) Investment advisory and management fees .....                                   | 2i(3) | 656387   |          |
| (4) Other.....                                                                      | 2i(4) | 1551332  |          |
| (5) Total administrative expenses. Add lines 2i(1) through (4) .....                | 2i(5) |          | 3290688  |
| j Total expenses. Add all <b>expense</b> amounts in column (b) and enter total..... | 2j    |          | 63519360 |

**Net Income and Reconciliation**

|                                                          |       |  |           |
|----------------------------------------------------------|-------|--|-----------|
| k Net income (loss). Subtract line 2j from line 2d ..... | 2k    |  | 157012670 |
| l Transfers of assets:                                   |       |  |           |
| (1) To this plan.....                                    | 2l(1) |  |           |
| (2) From this plan .....                                 | 2l(2) |  |           |

**Part III Accountant's Opinion**

**3** Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

**a** The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

**b** Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

**c** Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **BDO USA, LLP**

(2) EIN: **13-5381590**

**d** The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

**Part IV Compliance Questions**

**4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

**a** Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.) .....

|    | Yes | No | Amount |
|----|-----|----|--------|
| 4a |     | X  |        |

|                                                                                                                                                                                                                                                                                                                                                                                                                      | Yes                 | No                 | Amount |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|--------------------|--------|
| <b>b</b> Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.) .....                                                                                                     |                     |                    |        |
| <b>4b</b>                                                                                                                                                                                                                                                                                                                                                                                                            |                     | X                  |        |
| <b>c</b> Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) .....                                                                                                                                                                                                                                 |                     |                    |        |
| <b>4c</b>                                                                                                                                                                                                                                                                                                                                                                                                            |                     | X                  |        |
| <b>d</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.) .....                                                                                                                                                                                                                      |                     |                    |        |
| <b>4d</b>                                                                                                                                                                                                                                                                                                                                                                                                            |                     | X                  |        |
| <b>e</b> Was this plan covered by a fidelity bond? .....                                                                                                                                                                                                                                                                                                                                                             | X                   |                    | 500000 |
| <b>f</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? .....                                                                                                                                                                                                                                                                              |                     | X                  |        |
| <b>4f</b>                                                                                                                                                                                                                                                                                                                                                                                                            |                     | X                  |        |
| <b>g</b> Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? .....                                                                                                                                                                                                                                           |                     |                    |        |
| <b>4g</b>                                                                                                                                                                                                                                                                                                                                                                                                            |                     | X                  |        |
| <b>h</b> Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser? .....                                                                                                                                                                                                                                 |                     |                    |        |
| <b>4h</b>                                                                                                                                                                                                                                                                                                                                                                                                            |                     | X                  |        |
| <b>i</b> Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.) .....                                                                                                                                                                                                                                                       | X                   |                    |        |
| <b>4i</b>                                                                                                                                                                                                                                                                                                                                                                                                            | X                   |                    |        |
| <b>j</b> Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.) .....                                                                                                                                                                                         | X                   |                    |        |
| <b>4j</b>                                                                                                                                                                                                                                                                                                                                                                                                            | X                   |                    |        |
| <b>k</b> Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? .....                                                                                                                                                                                                                                                  |                     | X                  |        |
| <b>4k</b>                                                                                                                                                                                                                                                                                                                                                                                                            |                     | X                  |        |
| <b>l</b> Has the plan failed to provide any benefit when due under the plan? .....                                                                                                                                                                                                                                                                                                                                   |                     | X                  |        |
| <b>4l</b>                                                                                                                                                                                                                                                                                                                                                                                                            |                     | X                  |        |
| <b>m</b> If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) .....                                                                                                                                                                                                                                                                                         |                     | X                  |        |
| <b>4m</b>                                                                                                                                                                                                                                                                                                                                                                                                            |                     | X                  |        |
| <b>n</b> If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3. ....                                                                                                                                                                                                                             |                     |                    |        |
| <b>4n</b>                                                                                                                                                                                                                                                                                                                                                                                                            |                     |                    |        |
| <b>5a</b> Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.                                                                                                                                  |                     |                    |        |
| <b>5b</b> If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)                                                                                                                                                                                                         |                     |                    |        |
| <b>5b(1)</b> Name of plan(s)                                                                                                                                                                                                                                                                                                                                                                                         | <b>5b(2)</b> EIN(s) | <b>5b(3)</b> PN(s) |        |
|                                                                                                                                                                                                                                                                                                                                                                                                                      |                     |                    |        |
|                                                                                                                                                                                                                                                                                                                                                                                                                      |                     |                    |        |
|                                                                                                                                                                                                                                                                                                                                                                                                                      |                     |                    |        |
|                                                                                                                                                                                                                                                                                                                                                                                                                      |                     |                    |        |
| <b>5c</b> Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ..... <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not determined<br>If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year <u>4346545</u> . |                     |                    |        |

|                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                  |                                                                                                 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|
| <div>SCHEDULE R<br/>(Form 5500)<br/><br/>Department of the Treasury<br/>Internal Revenue Service<br/><br/>Department of Labor<br/>Employee Benefits Security Administration<br/><br/>Pension Benefit Guaranty Corporation</div> | <div>Retirement Plan Information</div> <div>This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500.</div> | <div>OMB No. 1210-0110</div> <div>2020</div> <div>This Form is Open to Public Inspection.</div> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|

For calendar plan year 2020 or fiscal plan year beginning 06/01/2020 and ending 05/31/2021

|                                                                                         |                                                             |
|-----------------------------------------------------------------------------------------|-------------------------------------------------------------|
| <b>A</b> Name of plan<br>RPM INTERNATIONAL INC. RETIREMENT PLAN                         | <b>B</b> Three-digit plan number (PN) ▶ 010                 |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500<br>RPM INTERNATIONAL INC. | <b>D</b> Employer Identification Number (EIN)<br>02-0642224 |

|               |                      |
|---------------|----------------------|
| <b>Part I</b> | <b>Distributions</b> |
|---------------|----------------------|

All references to distributions relate only to payments of benefits during the plan year.

|                                                                                                                                                                                                                                                             |          |     |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-----|
| <b>1</b> Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....                                                                                                                       | <b>1</b> | 0   |
| <b>2</b> Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):<br><br>EIN(s): 34-0797057 |          |     |
| Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.                                                                                                                                                                                            |          |     |
| <b>3</b> Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year .....                                                                                                                            | <b>3</b> | 336 |

|                |                                                                                                                                                                               |
|----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part II</b> | <b>Funding Information</b> (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.) |
|----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                                                                                                                                                                                                                                                                                                                                    |                              |                                        |                                         |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------------|-----------------------------------------|
| <b>4</b> Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? .....                                                                                                                                                                                                                                               | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | <input type="checkbox"/> N/A            |
| If the plan is a defined benefit plan, go to line 8.                                                                                                                                                                                                                                                                                                               |                              |                                        |                                         |
| <b>5</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. <b>Date:</b> Month _____ Day _____ Year _____<br>If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule. |                              |                                        |                                         |
| <b>6 a</b> Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) .....                                                                                                                                                                                                                     | <b>6a</b>                    |                                        |                                         |
| <b>b</b> Enter the amount contributed by the employer to the plan for this plan year .....                                                                                                                                                                                                                                                                         | <b>6b</b>                    |                                        |                                         |
| <b>c</b> Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....                                                                                                                                                                                                                    | <b>6c</b>                    |                                        |                                         |
| If you completed line 6c, skip lines 8 and 9.                                                                                                                                                                                                                                                                                                                      |                              |                                        |                                         |
| <b>7</b> Will the minimum funding amount reported on line 6c be met by the funding deadline? .....                                                                                                                                                                                                                                                                 | <input type="checkbox"/> Yes | <input type="checkbox"/> No            | <input type="checkbox"/> N/A            |
| <b>8</b> If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? .....                                                                                        | <input type="checkbox"/> Yes | <input type="checkbox"/> No            | <input checked="" type="checkbox"/> N/A |

|                 |                   |
|-----------------|-------------------|
| <b>Part III</b> | <b>Amendments</b> |
|-----------------|-------------------|

|                                                                                                                                                                                                                            |                                   |                                   |                               |                                        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|-----------------------------------|-------------------------------|----------------------------------------|
| <b>9</b> If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... | <input type="checkbox"/> Increase | <input type="checkbox"/> Decrease | <input type="checkbox"/> Both | <input checked="" type="checkbox"/> No |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|-----------------------------------|-------------------------------|----------------------------------------|

|                |                                                                                                                                                   |
|----------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part IV</b> | <b>ESOPs</b> (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part. |
|----------------|---------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                                                                                                                                                              |                              |                             |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-----------------------------|
| <b>10</b> Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? .....                                                      | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| <b>11 a</b> Does the ESOP hold any preferred stock? .....                                                                                                                                    | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| <b>b</b> If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| <b>12</b> Does the ESOP hold any stock that is not readily tradable on an established securities market? .....                                                                               | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2020  
v. 200204

**Part V Additional Information for Multiemployer Defined Benefit Pension Plans**

**13** Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

**a** The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

**b** The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

**c** The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

**14a****14b****14c**

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

**a** The corresponding number for the plan year immediately preceding the current plan year.....

**15a**

**b** The corresponding number for the second preceding plan year.....

**15b**

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

**a** Enter the number of employers who withdrew during the preceding plan year.....

**16a**

**b** If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

**16b**

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. .... ☐

**Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans**

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

**a** Enter the percentage of plan assets held as:

Stock: 56.0 % Investment-Grade Debt: 30.0 % High-Yield Debt: 2.0 % Real Estate: \_\_\_\_\_ % Other: 12.0 %

**b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☒ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

**c** What duration measure was used to calculate line 19(b)?

☒ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): \_\_\_\_\_

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

**a** Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

**b** If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation \_\_\_\_\_



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## **Independent Auditor's Report**

To the Plan Administrator  
RPM International Inc. Retirement Plan  
Medina, Ohio

### ***Scope and Nature of the ERISA Section 103(a)(3)(C) Audit for the 2021 Financial Statements***

We have performed an audit of the financial statements of the RPM International Inc. Retirement Plan (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C), which the statement of net assets available for benefits as of May 31, 2021, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements (2021 financial statements).

Management, having determined it is permissible in the circumstances, has elected to have the audit of the 2021 financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under ERISA (ERISA Section 103(a)(3)(C) audit). As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency (qualified institution), provided that the investment information is prepared and certified to by the qualified institution in accordance with 29 CFR 2520.103-5 of the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.

Management has obtained a certification from a qualified institution as of May 31, 2021, and for the year ended May 31, 2021, stating that the certified investment information, as described in Note 6 to the financial statements, is complete and accurate.

### ***Opinion on the 2021 Financial Statements***

In our opinion, based on our audit and the procedures performed as described in the *Auditor's Responsibilities for the Audit of the 2021 Financial Statements* section of our report

- the amounts and disclosures in the accompanying 2021 financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America (GAAP); and
- the certified investment information in the accompanying 2021 financial statements agrees to, or is derived from, in all material respects, the information prepared and certified by a qualified institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

### ***Basis for Opinion on the 2021 Financial Statements***

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the *Auditor's Responsibilities for the Audit of the 2021 Financial Statements* section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

### ***Responsibilities of Management for the Financial Statements***

Management is responsible for the preparation and fair presentation of the financial statements in accordance with GAAP, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern within one year after the date that the financial statements are issued or available to be issued.

Management is responsible for maintaining a current plan instrument, including all plan amendments. Management is also responsible for administering the Plan and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

### ***Auditor's Responsibilities for the Audit of the 2021 Financial Statements***

Except as described in the *Scope and Nature of the ERISA Section 103(a)(3)(C) Audit of the 2021 Financial Statements* section of our report, our objectives are to obtain reasonable assurance about whether the 2021 financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if, there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.

- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the 2021 financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of GAAP.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the 2021 financial statements as a whole are presented fairly, in all material respects, in accordance with GAAP.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

#### ***Other Matter – 2021 Supplemental Schedules Required by ERISA***

The 2021 supplemental Schedule of Assets (Held at End of Year) as of May 31, 2021 and Schedule of Reportable Transactions for the Year Ended May 31, 2021 are presented for purposes of additional analysis and are not a required part of the 2021 financial statements but are supplementary information required by the DOL's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the 2021 financial statements. The information included in the supplemental schedules, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audits of the 2021 financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the 2021 financial statements or to the 2021 financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedules that agreed to or is derived from the certified

investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, other than the information agreed to or derived from the certified investment information, including their form and content, are presented in conformity with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion

- the form and content of the supplemental schedules, other than the information in the supplemental schedules that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.
- the certified investment information in the supplemental schedules agrees to, or are derived from, in all material respects, the information prepared and certified by a qualified institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

***Other Matter – Report on the 2020 Financial Statements***

We were engaged to audit the statement of net assets available for benefits of the Plan as of May 31, 2020, and the related statement of changes in net assets available for benefit for the year then ended. As permitted by 29 CFR 2520.103-8 of the DOL's Rules and Regulations for Reporting and Disclosure under ERISA, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information certified by the qualified institution. Our audit report, dated March 11, 2021, indicated that (a) because of the significance of the information that we did not audit, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an opinion and accordingly, we did not, express an opinion on the financial statements, and (b) the form and content of the information included in the 2020 financial statements other than that derived from the information certified by the qualified institution, were presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.

BDO USA, LLP

Cleveland, Ohio  
March 7, 2022

## Financial Statements

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# RPM International Inc. Retirement Plan

## Statements of Net Assets Available for Benefits

| <i>May 31,</i>                           | 2021                  | 2020                  |
|------------------------------------------|-----------------------|-----------------------|
| <b>Assets</b>                            |                       |                       |
| Investments, at fair value               | \$ 671,434,502        | \$ 513,085,859        |
| <b>Receivables</b>                       |                       |                       |
| Employer contributions                   | 64,600,000            | 62,000,000            |
| Accrued interest and dividends           | 352,820               | 341,437               |
| <b>Total Receivables</b>                 | <b>64,952,820</b>     | <b>62,341,437</b>     |
| <b>Total Assets</b>                      | <b>736,387,322</b>    | <b>575,427,296</b>    |
| <b>Liability</b>                         |                       |                       |
| Due to broker for securities purchased   | 11,006,311            | 7,058,955             |
| <b>Net Assets Available for Benefits</b> | <b>\$ 725,381,011</b> | <b>\$ 568,368,341</b> |

*See accompanying notes to financial statements.*

# RPM International Inc. Retirement Plan

## Statements of Changes in Net Assets Available for Benefits

| <i>Years Ended May 31,</i>                                   | <b>2021</b>           | <b>2020</b>           |
|--------------------------------------------------------------|-----------------------|-----------------------|
| <b>Additions</b>                                             |                       |                       |
| <b>Investment income</b>                                     |                       |                       |
| Net appreciation (depreciation) in fair value of investments | \$ 143,644,378        | \$ (8,191,783)        |
| Interest and dividend income                                 | 12,287,652            | 17,025,596            |
| <b>Total Investment Income</b>                               | <b>155,932,030</b>    | <b>8,833,813</b>      |
| <b>Contributions</b>                                         |                       |                       |
| Employer contributions                                       | 64,600,000            | 62,000,000            |
| <b>Total Additions</b>                                       | <b>220,532,030</b>    | <b>70,833,813</b>     |
| <b>Deductions</b>                                            |                       |                       |
| Payments to separated employees                              | 60,228,672            | 38,931,611            |
| PBGC premiums                                                | 1,546,936             | 633,840               |
| Professional fees                                            | 1,082,969             | 882,071               |
| Investment management fees                                   | 656,387               | 659,852               |
| Other fees                                                   | 4,396                 | 8,095                 |
| <b>Total Deductions</b>                                      | <b>63,519,360</b>     | <b>41,115,469</b>     |
| <b>Net Increase</b>                                          | <b>157,012,670</b>    | <b>29,718,344</b>     |
| <b>Net Assets Available for Benefits, beginning of year</b>  | <b>568,368,341</b>    | <b>538,649,997</b>    |
| <b>Net Assets Available for Benefits, end of year</b>        | <b>\$ 725,381,011</b> | <b>\$ 568,368,341</b> |

*See accompanying notes to financial statements.*

# RPM International Inc. Retirement Plan

## Notes to Financial Statements

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### 1. Description of the Plan

The following brief description of the RPM International Inc. Retirement Plan (the Plan) is provided for general information purposes only. Participants should refer to the Plan document for more complete information regarding the Plan's provision.

#### *General*

The Plan is a defined benefit pension plan covering substantially all non-union employees of RPM International Inc. and its subsidiaries (the Company). The Plan was amended to include the participation of various companies acquired by the Company during the year ended May 31, 2020. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

#### *Contributions and Funding Policy*

The Plan is a non-contributory plan which is funded by the Company. The Company's contributions under the Plan are computed on an actuarial basis to fund the defined retirement benefits. The Company's annual contributions to the Plan are made based upon actuarially determined amounts in accordance with minimum funding requirements as promulgated under ERISA. The Plan has met the minimum funding requirements of ERISA. The amounts include normal costs, which are funded on a current basis, and past service costs based on funding prior service liabilities over a ten-year period. Normal costs and prior service liabilities are calculated by a consulting actuary using the Projected Unit Credit Actuarial Cost Method.

#### *Pension Benefits*

Participants with five or more years of service are entitled to a pension benefit. Unreduced pension benefits equal 22.5% of average monthly compensation plus 22.5% of the excess of average monthly compensation over social security covered compensation. Average monthly compensation is measured as the highest 60 consecutive months in the 10-year period prior to retirement. Benefits are reduced if a participant retires before age 65 or does not have the required years of service. Participants may elect to receive their pension benefits in the form of a lump-sum, a joint and survivor annuity, a single-life annuity, or a life-period certain annuity. If participants terminate before rendering five years of service, they forfeit the right to receive their portion of the accumulated plan benefits.

#### *Death Benefits*

If an active or former participant dies before the commencement of benefits, a surviving spouse benefit will be provided depending on the participant's age and years of service. If a participant dies after the commencement of benefits, a death benefit will be provided based on the elected form of pension benefit. In the case of a participant who dies while employed but after attaining age 60 and also completing 20 years of vesting service, his or her beneficiary will be paid a lump sum benefit equal to the retirement benefit earned as of the date of death. The beneficiary in this case is not limited to the spouse.

# RPM International Inc. Retirement Plan

## Notes to Financial Statements

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### 2. Summary of Significant Accounting Policies

#### *Basis of Accounting*

The accompanying financial statements of the Plan are prepared in accordance with accounting principles generally accepted in the United States of America (U.S. GAAP).

#### *Administrative Expenses*

All applicable administrative expenses, including trustee, Pension Benefit Guaranty Corporation (PBGC) premiums, actuary and audit fees, are paid by the Plan.

#### *Use of Estimates*

The preparation of financial statements in conformity with U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and the changes therein; disclosure of contingent assets and liabilities; and the actuarial present value of accumulated plan benefits at the date of the financial statements, and changes therein. Actual results could differ from those estimates.

#### *Investment Valuation and Income and Expense Recognition*

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 3 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income (loss) is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) in fair value of investments includes the net realized gain (loss) on investments sold during the year and the net change in unrealized appreciation (depreciation) during the year on investments held at the end of the year.

#### *Payment of Benefits*

Benefit payments to participants and beneficiaries are recorded upon distribution.

#### *Accumulated Plan Benefits*

Accumulated plan benefits (see Note 5) are those estimated future periodic payments, including lump-sum distributions, that are attributable under the Plan's provisions to services rendered by the participants at the valuation date. Accumulated plan benefits include benefits expected to be paid to (a) retired or terminated participants or their beneficiaries, (b) beneficiaries of participants who have died, and (c) present employees or their beneficiaries.

#### *Evaluation of Subsequent Events*

The Plan has evaluated subsequent events through March 7, 2022, which is the date the financial statements were available to be issued and has determined that there were no subsequent events that have occurred through the date that the financial statements were available to be issued that have not already been reflected in the financial statements and/or disclosed in the notes.

# RPM International Inc. Retirement Plan

## Notes to Financial Statements

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### 3. Fair Value Measurements

Accounting Standards Codification (ASC) 820 *Fair Value Measurements and Disclosures* defines fair value and provides guidance for measuring fair value and expands disclosures about fair value measurements in accordance with U.S. GAAP. *Fair Value Measurements and Disclosure* does not require any new fair value measurements, but rather applies to all other accounting pronouncements that require or permit fair value measurements.

U.S. GAAP establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobserved inputs (Level 3 measurements). The three levels of the fair value hierarchy are described below:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2: Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in inactive markets;
- Inputs other than quoted prices that are observable for the asset or liability;
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurements.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value.

*Money market funds:* Valued at the closing price reported in the active market in which the individual security is traded. There are no redemption requirements on these investments.

*Common and preferred stocks:* Valued at the closing price reported on the active market in which the individual securities are traded.

*Registered investment companies - mutual funds:* Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the *Securities and Exchange Commission*. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

# RPM International Inc. Retirement Plan

## Notes to Financial Statements

*Government securities and corporate debt instruments:* Valued using pricing models maximizing the use of observable inputs for similar securities. This includes basing value on yields currently available on comparable securities of issuers with similar credit ratings.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables set forth by level, within the fair value hierarchy, the Plan's assets at fair value:

| <i>May 31, 2021</i>                     | Fair Value Measurements at Reporting Date Using |                      |             |                       |
|-----------------------------------------|-------------------------------------------------|----------------------|-------------|-----------------------|
|                                         | Level 1                                         | Level 2              | Level 3     | Total                 |
| Money market funds                      | \$ 32,766,276                                   | \$ -                 | \$ -        | \$ 32,766,276         |
| Common and preferred stocks             | 40,315,425                                      | -                    | -           | 40,315,425            |
| Registered investment companies         | 515,934,995                                     | -                    | -           | 515,934,995           |
| Government securities                   | -                                               | 39,057,537           | -           | 39,057,537            |
| Corporate debt instruments              | -                                               | 43,191,892           | -           | 43,191,892            |
| Investments measured at NAV *           |                                                 |                      |             | 168,377               |
| <b>Total investments, at fair value</b> | <b>\$589,016,696</b>                            | <b>\$ 82,249,429</b> | <b>\$ -</b> | <b>\$ 671,434,502</b> |

\* Certain investments that are measured at fair value using the net asset value per share (or its equivalent) practical expedient have not been categorized in the fair value hierarchy. The fair value amounts presented in these tables are intended to permit reconciliation of the fair value hierarchy to the amounts presented in the Statements of Net Assets Available for Benefits.

# RPM International Inc. Retirement Plan

## Notes to Financial Statements

| <i>May 31, 2020</i>              | Fair Value Measurements at Reporting Date Using |               |         |                |
|----------------------------------|-------------------------------------------------|---------------|---------|----------------|
|                                  | Level 1                                         | Level 2       | Level 3 | Total          |
| Money market funds               | \$ 36,722,683                                   | \$ -          | \$ -    | \$ 36,722,683  |
| Common and preferred stocks      | 19,568,806                                      | -             | -       | 19,568,806     |
| Registered investment companies  | 384,604,677                                     | -             | -       | 384,604,677    |
| Government securities            | -                                               | 37,205,892    | -       | 37,205,892     |
| Corporate debt instruments       | -                                               | 34,813,961    | -       | 34,813,960     |
| Investments measured at NAV *    |                                                 |               |         | 169,841        |
| Total investments, at fair value | \$440,896,166                                   | \$ 72,019,853 | \$ -    | \$ 513,085,859 |

\* Certain investments that are measured at fair value using the net asset value per share (or its equivalent) practical expedient have not been categorized in the fair value hierarchy. The fair value amounts presented in these tables are intended to permit reconciliation of the fair value hierarchy to the amounts presented in the Statements of Net Assets Available for Benefits.

### 4. Plan Termination

Although it has not expressed any intent to do so, the Company has the right under the Plan's provisions to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event the Plan terminates, participants will become fully vested in their benefits and the net assets of the Plan will be allocated, as prescribed by ERISA and its related regulations. In addition, the Pension Benefit Guaranty Corporation (PBGC) insures certain benefits for beneficiaries and vested participants under the Plan if the Plan terminates. Whether all participants receive their benefits should the Plan terminate at some future time will depend on the sufficiency of the Plan's net assets at the termination date to provide these benefits and may also depend on the financial condition of the Plan Sponsor and on the level of benefits guaranteed by the PBGC.

### 5. Actuarial Present Value of Accumulated Plan Benefits

An actuary estimates the actuarial present value of accumulated plan benefits, which is the amount that results from applying actuarial assumptions to adjust the accumulated plan benefits earned by the participants to reflect the time value of money and the probability of payment between the valuation date and the expected date of payment.

# RPM International Inc. Retirement Plan

## Notes to Financial Statements

The total actuarial present value of accumulated plan benefits is as follows:

| <i>June 1,</i>                                             | <b>2020</b>    |
|------------------------------------------------------------|----------------|
| Vested benefits:                                           |                |
| Participants currently receiving payments                  | \$ 58,527,261  |
| Other participants                                         | 395,851,208    |
|                                                            | 454,378,469    |
| Non-vested benefits                                        | 15,666,302     |
| Total actuarial present value of accumulated plan benefits | \$ 470,044,771 |

The changes in the actuarial present value of accumulated plan benefits from the previous benefit information date are as follows:

| <i>June 1,</i>                                                     | <b>2020</b>    |
|--------------------------------------------------------------------|----------------|
| Actuarial present value of accumulated plan benefits, June 1, 2019 | \$ 413,470,413 |
| Increase (decrease) attributable to:                               |                |
| Benefits accumulated                                               | 32,406,184     |
| Interest due to the decrease in discount period                    | 29,036,313     |
| Benefits paid                                                      | (38,931,611)   |
| Change in actuarial assumptions                                    | 34,063,472     |
| Net increase                                                       | 56,574,358     |
| Actuarial present value of accumulated plan benefits, June 1, 2020 | \$ 470,044,771 |

The significant actuarial assumptions used to calculate the value of vested benefits and accrued benefits for the year beginning June 1, 2020 include:

- Assumed rate of interest of 7.00% in 2020.
- Pri-2012, generational, separate employee, retiree, and contingent survivor mortality rates with no collar adjustment for 2020.
- A disability incidence table is based on the 1985 Pension Disability Table Class 1 for males and females. Also, the mortality table used for disabled participants is the same as the table used for healthy participants, as noted above.
- Assumed 85% of males are married and 75% of females are married, with males having spouses who are two years younger and females having spouses who are two years older for 2020.
- Retirement and turnover rates are age graded.

# RPM International Inc. Retirement Plan

## Notes to Financial Statements

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- Benefit commencement age for current and future deferred vested participants below age 55 is assumed to be 55. For participants age 55 and above, benefit commencement age for current and future deferred vested participants is assumed to be 70.
- Administrative expenses will be paid from trust earnings.
- For 2020, lump sum conversion assumptions were updated to reflect the five-year phase in of current and expected long-term lump sum rates as of May 31, 2020 and to reflect the 417(e)(3) mortality tables in effect as of the valuation date projected to a future date based on the average working lifetime of actual plan participants.
- Assumed payments are 85% lump sum and 15% life annuity election for 2020; 85% of current deferred vested participants assumed to make lump sum election and 15% of current deferred vested participants assumed to make life annuity election for 2020.
- Future increases in employee compensation or Social Security wage base or benefit level have been taken into account.

The actuarial assumptions are based on the presumption that the Plan will continue. Were the Plan to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits. For the year beginning June 1, 2020, the change in actuarial assumptions was primarily attributable to changes in the assumed rate of interest and mortality rates used.

### 6. Information Certified by the Plan's Trustee

The Plan Administrator has elected the method of annual reporting compliance permitted by ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, the trustee has certified that the following data included in the accompanying financial statements and supplemental schedules are complete and accurate.

- Investments as reflected in the statements of net assets available for benefits as of May 31, 2021 and 2020;
- Net investment income, as reflected in the statements of changes in net assets available for benefits for the years ended May 31, 2021 and 2020;
- Investments, as reflected in the schedule of assets (held at end of year) as of May 31, 2021, as reported on the ERISA-required supplemental schedule.
- Investment information included in the schedule of reportable transactions for the year ended May 31, 2021, as reported on the ERISA-required supplemental schedule.

The Plan administrator instructed the Plan's independent auditors not to perform any auditing procedures with respect to this certified information, except for comparing such information to the

# **RPM International Inc. Retirement Plan**

## **Notes to Financial Statements**

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related information included in the financial statements and ERISA-required supplemental schedules.

### **7. Tax Status of the Plan**

The Plan obtained its latest determination letter on October 8, 2010, in which the Internal Revenue Service stated that the Plan, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan has been amended since receiving the determination letter. Once qualified, the Plan is required to operate in conformity with the IRC to maintain its qualification. The Plan sponsor is responsible for reviewing the annual Required Amendments List ("RAL") issued by the IRS for individually designed plans to retain qualified tax status. The plan administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code. Therefore, no provision for income taxes has been included in the Plan's financial statements at May 31, 2021 and 2020.

U.S. GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the tax authorities. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

### **8. Related Party Transactions**

Transactions that qualify as parties-in-interest consist of purchases and sales of funds managed by the Plan's trustee, KeyBank National Association.

### **9. Risks and Uncertainties**

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

Plan contributions are made, and the actuarial present value of accumulated plan benefits is reported based on certain assumptions pertaining to interest rates, inflation rates and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonably possible that changes in these estimates and assumptions in the near-term would-be material to the financial statements.

**Schedule SB, line 26 — Schedule of Active Participant Data**

| Attained age | Years of credited service |        |        |         |         |         |         |         |       |         |       |
|--------------|---------------------------|--------|--------|---------|---------|---------|---------|---------|-------|---------|-------|
|              | Under 1                   | 1–4    | 5–9    | 10–14   | 15–19   | 20–24   | 25–29   | 30–34   | 35–39 | 40 & up | Total |
| Under 25     | 4                         | 180    | 2      |         |         |         |         |         |       |         | 186   |
|              |                           | 39,492 |        |         |         |         |         |         |       |         |       |
| 25–29        | 6                         | 404    | 84     | 1       |         |         |         |         |       |         | 495   |
|              |                           | 50,747 | 62,497 |         |         |         |         |         |       |         |       |
| 30–34        | 7                         | 443    | 196    | 49      | 3       |         |         |         |       |         | 698   |
|              |                           | 62,231 | 78,988 | 69,482  |         |         |         |         |       |         |       |
| 35–39        | 14                        | 397    | 201    | 110     | 56      | 1       |         |         |       |         | 779   |
|              |                           | 65,105 | 80,532 | 91,030  | 86,793  |         |         |         |       |         |       |
| 40–44        | 6                         | 331    | 206    | 126     | 82      | 33      | 1       |         |       |         | 785   |
|              |                           | 68,034 | 92,331 | 99,189  | 111,148 | 101,580 |         |         |       |         |       |
| 45–49        | 10                        | 294    | 179    | 129     | 99      | 99      | 16      | 1       |       |         | 827   |
|              |                           | 73,023 | 85,792 | 98,795  | 107,324 | 112,327 |         |         |       |         |       |
| 50–54        | 6                         | 303    | 202    | 123     | 99      | 140     | 44      | 13      | 1     |         | 931   |
|              |                           | 78,524 | 84,755 | 96,117  | 99,619  | 118,067 | 133,196 |         |       |         |       |
| 55–59        | 9                         | 261    | 190    | 101     | 105     | 160     | 61      | 24      | 16    | 3       | 930   |
|              |                           | 84,376 | 88,014 | 106,097 | 110,099 | 127,911 | 126,394 | 139,307 |       |         |       |
| 60–64        | 4                         | 143    | 128    | 91      | 81      | 121     | 44      | 28      | 16    | 8       | 664   |
|              |                           | 78,880 | 86,396 | 88,288  | 99,383  | 121,178 | 114,068 | 111,406 |       |         |       |
| 65–69        |                           | 37     | 50     | 38      | 28      | 48      | 14      | 8       | 3     | 7       | 233   |
|              |                           | 87,923 | 67,828 | 87,439  | 105,675 | 103,828 |         |         |       |         |       |
| 70 & up      | 1                         | 11     | 14     | 6       | 5       | 6       | 2       | 1       | 1     | 3       | 50    |
| Total        | 67                        | 2,804  | 1,452  | 774     | 558     | 608     | 182     | 75      | 37    | 21      | 6,578 |

In each cell, the top number is the count of active participants for each age/service combination and the bottom number is average pay for 2019 limited to \$280,000. Average pay is not shown for plans with less than 1,000 active participants or cells with fewer than 20 participants.

The chart above excludes 20 Carboline Lake Charles participants with frozen benefits.

**Schedule SB, Part V — Statement of Actuarial Assumptions/Methods****Actuarial assumptions for June 1, 2020 funding valuation**

| Discount rate sponsor elections     |                                                                                                                                                                                                                                                                            |               |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| • Segment rates or full yield curve | Segment                                                                                                                                                                                                                                                                    |               |
| • Look-back months                  | 0                                                                                                                                                                                                                                                                          |               |
| •                                   | Stabilized                                                                                                                                                                                                                                                                 | Nonstabilized |
| • First 5 years                     | 3.64%                                                                                                                                                                                                                                                                      | 2.54%         |
| • Next 15 years                     | 5.21%                                                                                                                                                                                                                                                                      | 3.61%         |
| • Over 20 years                     | 5.94%                                                                                                                                                                                                                                                                      | 4.09%         |
| Mortality sponsor elections         |                                                                                                                                                                                                                                                                            |               |
| • Healthy participants              | Section 430(h)(3) prescribed separate generational annuitant and nonannuitant mortality tables. These tables are based on the RP-2014 mortality tables backed off to 2006 projected with mortality improvement using the MP-2018 scale                                     |               |
| 417(e) lump sums                    | Liabilities are determined based on the underlying annuity used by the plan to determine the lump sum amount, rather than valuing the lump sum payment. This annuity is valued based on funding interest rates rather than 417(e) rates and unisex generational mortality. |               |
| Other economic assumptions          |                                                                                                                                                                                                                                                                            |               |
| • Salary increases                  | Age graded table. See table of rates.                                                                                                                                                                                                                                      |               |
| • Social Security wage base         | 3.00% per year                                                                                                                                                                                                                                                             |               |
| • Inflation                         | 2.25% per year                                                                                                                                                                                                                                                             |               |
| • Expected investment return        | 7.40% for 2018 and 2019, 7.00% for 2020                                                                                                                                                                                                                                    |               |
| • Expenses                          | \$1,523,183 added to current year normal cost                                                                                                                                                                                                                              |               |

**Rationale for Economic Assumptions**

- Segment Rates – This assumption is prescribed by the IRS.
- Expenses – Based on actual administrative expenses during prior year.
- Salary increases – Based on an experience study conducted in 2019 covering the pay data from January 1, 2014 to December 31, 2018. The plan sponsor believes this period will be representative of anticipated future experience.
- Expected investment return – Based on a blend of hypothetical past performance of the plan's target asset mix, adjusted for current inflation, and the median simulated investment return using capital market assumptions published in Mercer's Investment Consulting's Capital Markets Outlook for the plan's target asset mix.

**Schedule SB, Part V — Statement of Actuarial Assumptions/Methods**

| Demographic assumptions        |                                                                                                                                                                          |                            |                     |
|--------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|---------------------|
| • Withdrawal                   | See table of sample rates.                                                                                                                                               |                            |                     |
| • Disability incidence         | 1985 Pension disability study Class 1, sex distinct. See table of sample rates.                                                                                          |                            |                     |
| • Retirement age               | For Carboline Lake Charles Participants, we assume 0% retirement prior to age 62 and then 100% retirement at age 62. We assume the following for all other participants: |                            |                     |
|                                | Attained age                                                                                                                                                             | Percentage                 |                     |
|                                | Under 55                                                                                                                                                                 | 0%                         |                     |
|                                | 55                                                                                                                                                                       | 6.00%                      |                     |
|                                | 56                                                                                                                                                                       | 6.00%                      |                     |
|                                | 57                                                                                                                                                                       | 6.00%                      |                     |
|                                | 58                                                                                                                                                                       | 6.00%                      |                     |
|                                | 59                                                                                                                                                                       | 6.00%                      |                     |
|                                | 60                                                                                                                                                                       | 6.00%                      |                     |
|                                | 61                                                                                                                                                                       | 10.00%                     |                     |
|                                | 62                                                                                                                                                                       | 15.00%                     |                     |
|                                | 63                                                                                                                                                                       | 10.00%                     |                     |
|                                | 64                                                                                                                                                                       | 15.00%                     |                     |
|                                | 65                                                                                                                                                                       | 25.00%                     |                     |
|                                | 66                                                                                                                                                                       | 30.00%                     |                     |
|                                | 67                                                                                                                                                                       | 30.00%                     |                     |
|                                | 68                                                                                                                                                                       | 30.00%                     |                     |
|                                | 69                                                                                                                                                                       | 30.00%                     |                     |
|                                | 70 and above                                                                                                                                                             | 100%                       |                     |
| • Benefit commencement age for | <b>Below Age 55</b>                                                                                                                                                      | <b>Age 55 and above</b>    |                     |
| — Future vested deferred       | 55                                                                                                                                                                       | 70                         |                     |
| — Current vested deferred      | 55                                                                                                                                                                       | 70                         |                     |
| • Spouse assumptions           | <b>Male participants</b>                                                                                                                                                 | <b>Female participants</b> |                     |
| — Percentage married           | 85%                                                                                                                                                                      | 75%                        |                     |
| — Spouse age difference        | 2 years younger                                                                                                                                                          | 2 years older              |                     |
| <b>Form of payment – Males</b> | <b>Lump sum</b>                                                                                                                                                          | <b>Single life</b>         | <b>100% J&amp;S</b> |
| • Active retirements           | 85%                                                                                                                                                                      | 5%                         | 10%                 |
| • Future vested deferred       | 85%                                                                                                                                                                      | 5%                         | 10%                 |
| • Future disabilities          | 85%                                                                                                                                                                      | 5%                         | 10%                 |
| • Future deaths                | 85%                                                                                                                                                                      | 15%                        | 0%                  |
| • Current vested deferred      | 85%                                                                                                                                                                      | 5%                         | 10%                 |

**Schedule SB, Part V — Statement of Actuarial Assumptions/Methods**

|                                                   |                |
|---------------------------------------------------|----------------|
| <b>Unpredictable contingent event assumptions</b> | Not applicable |
|---------------------------------------------------|----------------|

**Table of sample rates**  
**Withdrawal Rates**

| Attained age | Percentage |        |        |        |        |         |
|--------------|------------|--------|--------|--------|--------|---------|
|              | Year 0     | Year 1 | Year 2 | Year 3 | Year 4 | Year 5+ |
| 20           | 50.54%     | 44.84% | 41.04% | 25.48% | 19.36% | 17.81%  |
| 25           | 43.89      | 38.19  | 34.39  | 20.93  | 15.51  | 13.26   |
| 30           | 38.00      | 32.30  | 28.50  | 16.90  | 12.10  | 9.23    |
| 35           | 35.72      | 30.02  | 26.22  | 15.34  | 10.78  | 7.80    |
| 40           | 33.82      | 28.12  | 24.32  | 14.04  | 9.68   | 7.15    |
| 45           | 31.92      | 26.22  | 22.42  | 12.74  | 8.91   | 6.50    |
| 50           | 30.02      | 24.32  | 20.52  | 11.96  | 8.36   | 5.85    |
| 55           | 28.12      | 22.61  | 19.57  | 11.31  | 7.81   | 5.20    |
| 60           | 26.41      | 21.66  | 18.62  | 10.66  | 7.26   | 4.55    |

**Schedule SB, Part V — Statement of Actuarial Assumptions/Methods****Disability Incidence**

| Attained age | Percentage |        |
|--------------|------------|--------|
|              | Male       | Female |
| 20           | 0.03%      | 0.03%  |
| 25           | 0.04%      | 0.05%  |
| 30           | 0.05%      | 0.08%  |
| 35           | 0.07%      | 0.14%  |
| 40           | 0.12%      | 0.21%  |
| 45           | 0.20%      | 0.32%  |
| 50           | 0.36%      | 0.53%  |
| 55           | 0.72%      | 0.95%  |
| 60           | 1.26%      | 1.16%  |
| 65           | 1.75%      | 1.36%  |
| 70           | 0.00%      | 0.00%  |

**Salary Rates**

| Attained age | Percentage Increase |
|--------------|---------------------|
| 20-29        | 6.50%               |
| 30-34        | 5.50%               |
| 35-44        | 4.50%               |
| 45-54        | 3.50%               |
| 55-59        | 3.00%               |
| 60-70        | 2.50%               |

**Schedule SB, Part V — Statement of Actuarial Assumptions/Methods****Rationale for demographic assumptions**

- **Mortality** – This assumption is prescribed by the IRS.
- **Withdrawal** – The withdrawal rates were developed based on an experience study in 2019 using data from June 1, 2015 through June 1, 2019 valuations. The plan sponsor believes that this period will be representative of anticipated future experience.
- **Retirement Rates** – The retirement rates are based on an experience study undertaken in 2019 using data from June 1, 2015 through June 1, 2019 valuations and the expectation that the future retirement patterns and circumstances of the employer will not differ significantly from the period studied. The plan sponsor believes that this period will be representative of anticipated future experience.
- **Form of Payment** – The optional payment elections are based on an experience study conducted in 2019 based on data from June 1, 2015 through June 1, 2019 valuations. The plan sponsor believes that this period will be representative of anticipated future experience.

**Actuarial methods****Asset methods**

The asset valuation method is an average of the adjusted market value for each month/quarter/year during the last two years preceding the valuation date. The adjusted market value is the market value at each determination date adjusted to the valuation date based on actual cash flows and expected interest at the lesser of the expected rate of return and the third segment rate. This amount is adjusted to be no greater than 110% and no less than 90% of the fair market value, as defined in IRC Section 430.

A characteristic of this asset method is that, over time, it is slightly more likely to produce an actuarial value of assets that is less than the market value of assets than an actuarial value that is greater than the market value.

**Participant methods**

Participants or former participants are included or excluded from the valuation as described below:

- **Participants included:** Only those employees who have completed the plan's eligibility requirements are included in the valuation of liabilities.
- **Participants excluded:** No actuarial liability is included for nonvested participants who terminated prior to the valuation date. For this purpose, participants with a break in service on the valuation date are treated as terminated participants.
- **Insurance contracts:** The plan does not have any insurance contracts.

**Minimum funding methods- Effective June 1, 2008**

The funding target for minimum funding calculations is computed using the traditional unit credit method of funding. The objective under this method is to fund each participant's benefits under the plan as they accrue. Thus, the total pension to which each participant is expected to become entitled at retirement is broken down into units, each associated with a year of past or future credited service.

A detailed description of the calculation follows:

- The plan's valuation date is the beginning of the plan year.

***Schedule SB, Part V — Statement of Actuarial Assumptions/Methods***

- An individual's **funding target** is the present value of future benefits based on credited service and average pay as of the beginning of the plan year, and an individual's **target normal cost** is the present value of the benefit expected to accrue in the plan year. If multiple decrements are used, the funding target and the target normal cost for an individual is the sum of the component funding targets and target normal costs associated with the various anticipated separation dates.
- This plan provides benefits that are not a function of a participant's accrued benefit or years of service. This benefit is allocated to funding target based on the ratio of the participant's service at the beginning of the plan year to their service at each decrement age and is allocated to target normal cost based on the proportionate benefit attributable to the increase in the participant's service and compensation during the plan year.
- The plan's **target normal cost** is the sum of the individual target normal costs, and the plan's **funding target** is the sum of the individual funding targets for all participants under the plan



# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Single Transaction

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Tran Type<br>Settle Date | Asset Description                                          | Asset Number<br>Units/Par<br>Portfolio Number | Purchase Cost/<br>Sale Proceeds | Broker Commission<br>Other Expense | Book Value     | Previous<br>Annual Market | Net Gain/Loss<br>on Market |
|--------------------------|------------------------------------------------------------|-----------------------------------------------|---------------------------------|------------------------------------|----------------|---------------------------|----------------------------|
| Purchase<br>08/07/2020   | FEDERATED GOVERNMENT OBLIGATIONS<br>INSTITUTIONAL SHARES   | 3140000V3<br>44,716,203.4200<br>0993828.2     | 44,716,203.42                   | 0.00<br>0.00                       | 44,716,203.42  | 44,716,203.42             | 0.00                       |
| Sale<br>08/06/2020       | DODGE & COX INTERNATIONAL STOC F<br>OPEN-END FUND          | 256206103<br>-1,205,613.4650<br>0993828.2     | 44,716,203.42                   | 0.00<br>0.00                       | -46,766,239.80 | -40,810,015.79            | 3,906,187.63               |
| Sale<br>08/10/2020       | FEDERATED GOVERNMENT OBLIGATIONS<br>INSTITUTIONAL SHARES   | 3140000V3<br>-44,716,203.4200<br>0993828.2    | 44,716,203.42                   | 0.00<br>0.00                       | -44,716,203.42 | -44,716,203.42            | 0.00                       |
| Purchase<br>08/07/2020   | ARTISAN INTERNATIONAL VALUE FUND<br>OPEN-END FUND INSTL CL | 04314H857<br>1,332,028.6990<br>0993828.2      | 44,716,203.42                   | 0.00<br>0.00                       | 44,716,203.42  | 44,716,203.42             | 0.00                       |
| Purchase<br>02/08/2021   | FEDERATED GOVERNMENT OBLIGATIONS<br>INSTITUTIONAL SHARES   | 3140000V3<br>62,000,000.0000<br>0993828.1     | 62,000,000.00                   | 0.00<br>0.00                       | 62,000,000.00  | 62,000,000.00             | 0.00                       |

End of Schedule



## 5% Report by Asset - Aggregate Transactions

|              |            |                                                            |               | Valuation:     | \$506,026,904.30 | Threshold:    | \$25,301,345.22 | Base Currency: USD         |  |
|--------------|------------|------------------------------------------------------------|---------------|----------------|------------------|---------------|-----------------|----------------------------|--|
| Asset Number |            | Asset Description                                          |               | Purchase Cost/ | Broker           |               |                 | Previous                   |  |
| Tran Type    | Settle Dt  | Units/Par                                                  | Sale Proceeds | Commission     | Other Expense    | Book Value    | Annual Market   | Net Gain/Loss<br>on Market |  |
| 04314H857    |            | ARTISAN INTERNATIONAL VALUE FUND<br>OPEN-END FUND INSTL CL |               |                |                  |               |                 |                            |  |
| Purchase     | 08/07/2020 | 1,332,028.6990                                             | 44,716,203.42 | 0.00           | 0.00             | 44,716,203.42 | 44,716,203.42   | 0.00                       |  |
| Purchase     | 11/17/2020 | 258.2290                                                   | 9,590.61      | 0.00           | 0.00             | 9,590.61      | 9,590.61        | 0.00                       |  |
| Purchase     | 11/17/2020 | 8,682.9330                                                 | 322,484.15    | 0.00           | 0.00             | 322,484.15    | 322,484.15      | 0.00                       |  |
| Purchase     | 11/19/2020 | 5,125.1180                                                 | 190,346.90    | 0.00           | 0.00             | 190,346.90    | 190,346.90      | 0.00                       |  |
| Purchase     | 02/09/2021 | 24,154.5890                                                | 1,000,000.00  | 0.00           | 0.00             | 1,000,000.00  | 1,000,000.00    | 0.00                       |  |

### 5 Issue Purchases

|              |            |                                                   |               | Valuation:     | \$506,026,904.30 | Threshold:     | \$25,301,345.22 | Base Currency: USD |               |
|--------------|------------|---------------------------------------------------|---------------|----------------|------------------|----------------|-----------------|--------------------|---------------|
| Asset Number |            | Asset Description                                 |               | Purchase Cost/ | Broker           |                |                 | Previous           | Net Gain/Loss |
| Tran Type    | Settle Dt  | Units/Par                                         | Sale Proceeds | Commission     | Other Expense    | Book Value     | Annual Market   | on Market          |               |
| 256206103    |            | DODGE & COX INTERNATIONAL STOC F<br>OPEN-END FUND |               |                |                  |                |                 |                    |               |
| Sale         | 08/06/2020 | -1,205,613.4650                                   | 44,716,203.42 | 0.00           | 0.00             | -46,766,239.80 | -40,810,015.79  | 3,906,187.63       |               |

### 1 Issue Sales

|              |            |                                  |                | Valuation: | \$506,026,904.30 | Threshold:  | \$25,301,345.22 | Base Currency: USD |  |
|--------------|------------|----------------------------------|----------------|------------|------------------|-------------|-----------------|--------------------|--|
| Asset Number |            | Asset Description                | Purchase Cost/ | Broker     |                  |             | Previous        | Net Gain/Loss      |  |
| Tran Type    | Settle Dt  | Units/Par                        | Sale Proceeds  | Commission | Other Expense    | Book Value  | Annual Market   | on Market          |  |
| 3140000V3    |            | FEDERATED GOVERNMENT OBLIGATIONS |                |            |                  |             |                 |                    |  |
|              |            | INSTITUTIONAL SHARES             |                |            |                  |             |                 |                    |  |
| Purchase     | 06/01/2020 | 95.8000                          | 95.80          | 0.00       | 0.00             | 95.80       | 95.80           | 0.00               |  |
| Purchase     | 06/01/2020 | 79.0000                          | 79.00          | 0.00       | 0.00             | 79.00       | 79.00           | 0.00               |  |
| Purchase     | 06/01/2020 | 11,006.5200                      | 11,006.52      | 0.00       | 0.00             | 11,006.52   | 11,006.52       | 0.00               |  |
| Purchase     | 06/01/2020 | 10.7700                          | 10.77          | 0.00       | 0.00             | 10.77       | 10.77           | 0.00               |  |
| Purchase     | 06/01/2020 | 54.0300                          | 54.03          | 0.00       | 0.00             | 54.03       | 54.03           | 0.00               |  |
| Sale         | 06/01/2020 | -560,665.8200                    | 560,665.82     | 0.00       | 0.00             | -560,665.82 | -560,665.82     | 0.00               |  |
| Sale         | 06/01/2020 | -479,456.6000                    | 479,456.60     | 0.00       | 0.00             | -479,456.60 | -479,456.60     | 0.00               |  |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number<br>Tran Type | Settle Dt  | Asset Description<br>Units/Par                           | Purchase Cost/<br>Sale Proceeds | Broker<br>Commission | Other Expense | Book Value   | Previous<br>Annual Market | Net Gain/Loss<br>on Market |
|---------------------------|------------|----------------------------------------------------------|---------------------------------|----------------------|---------------|--------------|---------------------------|----------------------------|
| 3140000V3                 |            | FEDERATED GOVERNMENT OBLIGATIONS<br>INSTITUTIONAL SHARES |                                 |                      |               |              |                           |                            |
| Purchase                  | 06/02/2020 | 2,558.3100                                               | 2,558.31                        | 0.00                 | 0.00          | 2,558.31     | 2,558.31                  | 0.00                       |
| Purchase                  | 06/02/2020 | 99,720.1200                                              | 99,720.12                       | 0.00                 | 0.00          | 99,720.12    | 99,720.12                 | 0.00                       |
| Purchase                  | 06/03/2020 | 744.0800                                                 | 744.08                          | 0.00                 | 0.00          | 744.08       | 744.08                    | 0.00                       |
| Purchase                  | 06/03/2020 | 3,037.6800                                               | 3,037.68                        | 0.00                 | 0.00          | 3,037.68     | 3,037.68                  | 0.00                       |
| Sale                      | 06/03/2020 | -204,242.6100                                            | 204,242.61                      | 0.00                 | 0.00          | -204,242.61  | -204,242.61               | 0.00                       |
| Purchase                  | 06/04/2020 | 327.8000                                                 | 327.80                          | 0.00                 | 0.00          | 327.80       | 327.80                    | 0.00                       |
| Purchase                  | 06/04/2020 | 15,215.0000                                              | 15,215.00                       | 0.00                 | 0.00          | 15,215.00    | 15,215.00                 | 0.00                       |
| Sale                      | 06/04/2020 | -292.6000                                                | 292.60                          | 0.00                 | 0.00          | -292.60      | -292.60                   | 0.00                       |
| Sale                      | 06/04/2020 | -57,000.0000                                             | 57,000.00                       | 0.00                 | 0.00          | -57,000.00   | -57,000.00                | 0.00                       |
| Sale                      | 06/05/2020 | -48,855.4200                                             | 48,855.42                       | 0.00                 | 0.00          | -48,855.42   | -48,855.42                | 0.00                       |
| Sale                      | 06/05/2020 | -302,667.5500                                            | 302,667.55                      | 0.00                 | 0.00          | -302,667.55  | -302,667.55               | 0.00                       |
| Purchase                  | 06/08/2020 | 17,165.7300                                              | 17,165.73                       | 0.00                 | 0.00          | 17,165.73    | 17,165.73                 | 0.00                       |
| Purchase                  | 06/08/2020 | 15,138.2900                                              | 15,138.29                       | 0.00                 | 0.00          | 15,138.29    | 15,138.29                 | 0.00                       |
| Sale                      | 06/08/2020 | -119,993.0800                                            | 119,993.08                      | 0.00                 | 0.00          | -119,993.08  | -119,993.08               | 0.00                       |
| Purchase                  | 06/09/2020 | 470,156.5500                                             | 470,156.55                      | 0.00                 | 0.00          | 470,156.55   | 470,156.55                | 0.00                       |
| Sale                      | 06/10/2020 | -330,159.9700                                            | 330,159.97                      | 0.00                 | 0.00          | -330,159.97  | -330,159.97               | 0.00                       |
| Sale                      | 06/10/2020 | -76,228.2900                                             | 76,228.29                       | 0.00                 | 0.00          | -76,228.29   | -76,228.29                | 0.00                       |
| Purchase                  | 06/11/2020 | 1,658,341.2700                                           | 1,658,341.27                    | 0.00                 | 0.00          | 1,658,341.27 | 1,658,341.27              | 0.00                       |
| Purchase                  | 06/11/2020 | 2,407.2000                                               | 2,407.20                        | 0.00                 | 0.00          | 2,407.20     | 2,407.20                  | 0.00                       |
| Purchase                  | 06/12/2020 | 31,860.6900                                              | 31,860.69                       | 0.00                 | 0.00          | 31,860.69    | 31,860.69                 | 0.00                       |
| Purchase                  | 06/15/2020 | 68,158.5200                                              | 68,158.52                       | 0.00                 | 0.00          | 68,158.52    | 68,158.52                 | 0.00                       |
| Purchase                  | 06/15/2020 | 148,810.7200                                             | 148,810.72                      | 0.00                 | 0.00          | 148,810.72   | 148,810.72                | 0.00                       |
| Sale                      | 06/15/2020 | -417,525.9200                                            | 417,525.92                      | 0.00                 | 0.00          | -417,525.92  | -417,525.92               | 0.00                       |
| Sale                      | 06/15/2020 | -316,357.3600                                            | 316,357.36                      | 0.00                 | 0.00          | -316,357.36  | -316,357.36               | 0.00                       |
| Purchase                  | 06/16/2020 | 9,032.9200                                               | 9,032.92                        | 0.00                 | 0.00          | 9,032.92     | 9,032.92                  | 0.00                       |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number<br>Tran Type | Settle Dt  | Asset Description<br>Units/Par                           | Purchase Cost/<br>Sale Proceeds | Broker<br>Commission | Other Expense | Book Value    | Previous<br>Annual Market | Net Gain/Loss<br>on Market |
|---------------------------|------------|----------------------------------------------------------|---------------------------------|----------------------|---------------|---------------|---------------------------|----------------------------|
| 3140000V3                 |            | FEDERATED GOVERNMENT OBLIGATIONS<br>INSTITUTIONAL SHARES |                                 |                      |               |               |                           |                            |
| Purchase                  | 06/16/2020 | 501,662.5000                                             | 501,662.50                      | 0.00                 | 0.00          | 501,662.50    | 501,662.50                | 0.00                       |
| Purchase                  | 06/17/2020 | 12,770.4400                                              | 12,770.44                       | 0.00                 | 0.00          | 12,770.44     | 12,770.44                 | 0.00                       |
| Purchase                  | 06/18/2020 | 525.2100                                                 | 525.21                          | 0.00                 | 0.00          | 525.21        | 525.21                    | 0.00                       |
| Purchase                  | 06/18/2020 | 40,894.0000                                              | 40,894.00                       | 0.00                 | 0.00          | 40,894.00     | 40,894.00                 | 0.00                       |
| Purchase                  | 06/19/2020 | 954.2700                                                 | 954.27                          | 0.00                 | 0.00          | 954.27        | 954.27                    | 0.00                       |
| Purchase                  | 06/19/2020 | 7,454,229.0900                                           | 7,454,229.09                    | 0.00                 | 0.00          | 7,454,229.09  | 7,454,229.09              | 0.00                       |
| Purchase                  | 06/22/2020 | 38,237.3600                                              | 38,237.36                       | 0.00                 | 0.00          | 38,237.36     | 38,237.36                 | 0.00                       |
| Purchase                  | 06/22/2020 | 11,592.5300                                              | 11,592.53                       | 0.00                 | 0.00          | 11,592.53     | 11,592.53                 | 0.00                       |
| Sale                      | 06/22/2020 | -13,910.9800                                             | 13,910.98                       | 0.00                 | 0.00          | -13,910.98    | -13,910.98                | 0.00                       |
| Sale                      | 06/23/2020 | -2,853.7500                                              | 2,853.75                        | 0.00                 | 0.00          | -2,853.75     | -2,853.75                 | 0.00                       |
| Purchase                  | 06/25/2020 | 3,528.5800                                               | 3,528.58                        | 0.00                 | 0.00          | 3,528.58      | 3,528.58                  | 0.00                       |
| Purchase                  | 06/25/2020 | 413,355.9900                                             | 413,355.99                      | 0.00                 | 0.00          | 413,355.99    | 413,355.99                | 0.00                       |
| Purchase                  | 06/25/2020 | 82,171.1500                                              | 82,171.15                       | 0.00                 | 0.00          | 82,171.15     | 82,171.15                 | 0.00                       |
| Sale                      | 06/26/2020 | -19,805.6300                                             | 19,805.63                       | 0.00                 | 0.00          | -19,805.63    | -19,805.63                | 0.00                       |
| Sale                      | 06/26/2020 | -7,534,340.1600                                          | 7,534,340.16                    | 0.00                 | 0.00          | -7,534,340.16 | -7,534,340.16             | 0.00                       |
| Purchase                  | 06/29/2020 | 1,079.3300                                               | 1,079.33                        | 0.00                 | 0.00          | 1,079.33      | 1,079.33                  | 0.00                       |
| Sale                      | 06/29/2020 | -1,831.6900                                              | 1,831.69                        | 0.00                 | 0.00          | -1,831.69     | -1,831.69                 | 0.00                       |
| Purchase                  | 06/30/2020 | 7,796.2500                                               | 7,796.25                        | 0.00                 | 0.00          | 7,796.25      | 7,796.25                  | 0.00                       |
| Purchase                  | 06/30/2020 | 353,400.1500                                             | 353,400.15                      | 0.00                 | 0.00          | 353,400.15    | 353,400.15                | 0.00                       |
| Purchase                  | 06/30/2020 | 5,791.5300                                               | 5,791.53                        | 0.00                 | 0.00          | 5,791.53      | 5,791.53                  | 0.00                       |
| Sale                      | 06/30/2020 | -54,844.0000                                             | 54,844.00                       | 0.00                 | 0.00          | -54,844.00    | -54,844.00                | 0.00                       |
| Purchase                  | 07/01/2020 | 20.5700                                                  | 20.57                           | 0.00                 | 0.00          | 20.57         | 20.57                     | 0.00                       |
| Purchase                  | 07/01/2020 | 1.9300                                                   | 1.93                            | 0.00                 | 0.00          | 1.93          | 1.93                      | 0.00                       |
| Purchase                  | 07/01/2020 | 49.7200                                                  | 49.72                           | 0.00                 | 0.00          | 49.72         | 49.72                     | 0.00                       |
| Purchase                  | 07/01/2020 | 7.0400                                                   | 7.04                            | 0.00                 | 0.00          | 7.04          | 7.04                      | 0.00                       |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number<br>Tran Type | Settle Dt  | Asset Description<br>Units/Par                           | Purchase Cost/<br>Sale Proceeds | Broker<br>Commission | Other Expense | Book Value    | Previous<br>Annual Market | Net Gain/Loss<br>on Market |
|---------------------------|------------|----------------------------------------------------------|---------------------------------|----------------------|---------------|---------------|---------------------------|----------------------------|
| 3140000V3                 |            | FEDERATED GOVERNMENT OBLIGATIONS<br>INSTITUTIONAL SHARES |                                 |                      |               |               |                           |                            |
| Purchase                  | 07/01/2020 | 3,394.7600                                               | 3,394.76                        | 0.00                 | 0.00          | 3,394.76      | 3,394.76                  | 0.00                       |
| Sale                      | 07/01/2020 | -593,599.2800                                            | 593,599.28                      | 0.00                 | 0.00          | -593,599.28   | -593,599.28               | 0.00                       |
| Sale                      | 07/01/2020 | -689,643.6000                                            | 689,643.60                      | 0.00                 | 0.00          | -689,643.60   | -689,643.60               | 0.00                       |
| Purchase                  | 07/02/2020 | 145,196.8300                                             | 145,196.83                      | 0.00                 | 0.00          | 145,196.83    | 145,196.83                | 0.00                       |
| Purchase                  | 07/02/2020 | 4,223.1300                                               | 4,223.13                        | 0.00                 | 0.00          | 4,223.13      | 4,223.13                  | 0.00                       |
| Purchase                  | 07/02/2020 | 12.6000                                                  | 12.60                           | 0.00                 | 0.00          | 12.60         | 12.60                     | 0.00                       |
| Sale                      | 07/02/2020 | -798,948.1300                                            | 798,948.13                      | 0.00                 | 0.00          | -798,948.13   | -798,948.13               | 0.00                       |
| Sale                      | 07/03/2020 | -1,429,023.7800                                          | 1,429,023.78                    | 0.00                 | 0.00          | -1,429,023.78 | -1,429,023.78             | 0.00                       |
| Sale                      | 07/06/2020 | -40.0000                                                 | 40.00                           | 0.00                 | 0.00          | -40.00        | -40.00                    | 0.00                       |
| Purchase                  | 07/07/2020 | 6,445.7400                                               | 6,445.74                        | 0.00                 | 0.00          | 6,445.74      | 6,445.74                  | 0.00                       |
| Sale                      | 07/07/2020 | -359,575.9200                                            | 359,575.92                      | 0.00                 | 0.00          | -359,575.92   | -359,575.92               | 0.00                       |
| Sale                      | 07/08/2020 | -347,300.2000                                            | 347,300.20                      | 0.00                 | 0.00          | -347,300.20   | -347,300.20               | 0.00                       |
| Purchase                  | 07/09/2020 | 113,492.4600                                             | 113,492.46                      | 0.00                 | 0.00          | 113,492.46    | 113,492.46                | 0.00                       |
| Purchase                  | 07/10/2020 | 151,173.4600                                             | 151,173.46                      | 0.00                 | 0.00          | 151,173.46    | 151,173.46                | 0.00                       |
| Sale                      | 07/10/2020 | -344,260.7400                                            | 344,260.74                      | 0.00                 | 0.00          | -344,260.74   | -344,260.74               | 0.00                       |
| Purchase                  | 07/14/2020 | 1,806.0400                                               | 1,806.04                        | 0.00                 | 0.00          | 1,806.04      | 1,806.04                  | 0.00                       |
| Purchase                  | 07/14/2020 | 310,278.4400                                             | 310,278.44                      | 0.00                 | 0.00          | 310,278.44    | 310,278.44                | 0.00                       |
| Sale                      | 07/14/2020 | -750,000.0000                                            | 750,000.00                      | 0.00                 | 0.00          | -750,000.00   | -750,000.00               | 0.00                       |
| Purchase                  | 07/15/2020 | 103,153.4600                                             | 103,153.46                      | 0.00                 | 0.00          | 103,153.46    | 103,153.46                | 0.00                       |
| Purchase                  | 07/15/2020 | 168,930.5400                                             | 168,930.54                      | 0.00                 | 0.00          | 168,930.54    | 168,930.54                | 0.00                       |
| Purchase                  | 07/15/2020 | 1,316.0000                                               | 1,316.00                        | 0.00                 | 0.00          | 1,316.00      | 1,316.00                  | 0.00                       |
| Sale                      | 07/15/2020 | -254,725.4300                                            | 254,725.43                      | 0.00                 | 0.00          | -254,725.43   | -254,725.43               | 0.00                       |
| Purchase                  | 07/16/2020 | 27,479.0500                                              | 27,479.05                       | 0.00                 | 0.00          | 27,479.05     | 27,479.05                 | 0.00                       |
| Sale                      | 07/16/2020 | -29,556.3000                                             | 29,556.30                       | 0.00                 | 0.00          | -29,556.30    | -29,556.30                | 0.00                       |
| Sale                      | 07/16/2020 | -168,716.2500                                            | 168,716.25                      | 0.00                 | 0.00          | -168,716.25   | -168,716.25               | 0.00                       |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/                   | Broker       |               |            | Previous      | Net Gain/Loss |
|--------------|-------------------|----------------------------------|--------------|---------------|------------|---------------|---------------|
| Tran Type    | Settle Dt         | Units/Par                        | Commission   | Other Expense | Book Value | Annual Market | on Market     |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS |              |               |            |               |               |
|              |                   | INSTITUTIONAL SHARES             |              |               |            |               |               |
| Purchase     | 07/17/2020        | 7,323.9700                       | 7,323.97     | 0.00          | 0.00       | 7,323.97      | 7,323.97      |
| Sale         | 07/17/2020        | -396,317.3000                    | 396,317.30   | 0.00          | 0.00       | -396,317.30   | -396,317.30   |
| Purchase     | 07/20/2020        | 56,928.7900                      | 56,928.79    | 0.00          | 0.00       | 56,928.79     | 56,928.79     |
| Purchase     | 07/20/2020        | 20,846.8100                      | 20,846.81    | 0.00          | 0.00       | 20,846.81     | 20,846.81     |
| Sale         | 07/20/2020        | -943,269.4000                    | 943,269.40   | 0.00          | 0.00       | -943,269.40   | -943,269.40   |
| Sale         | 07/20/2020        | -52,270.8700                     | 52,270.87    | 0.00          | 0.00       | -52,270.87    | -52,270.87    |
| Purchase     | 07/21/2020        | 54,942.3400                      | 54,942.34    | 0.00          | 0.00       | 54,942.34     | 54,942.34     |
| Sale         | 07/21/2020        | -2,671.5700                      | 2,671.57     | 0.00          | 0.00       | -2,671.57     | -2,671.57     |
| Purchase     | 07/22/2020        | 3,659.7300                       | 3,659.73     | 0.00          | 0.00       | 3,659.73      | 3,659.73      |
| Purchase     | 07/22/2020        | 443.3100                         | 443.31       | 0.00          | 0.00       | 443.31        | 443.31        |
| Sale         | 07/22/2020        | -119,463.0200                    | 119,463.02   | 0.00          | 0.00       | -119,463.02   | -119,463.02   |
| Sale         | 07/22/2020        | -499,352.0700                    | 499,352.07   | 0.00          | 0.00       | -499,352.07   | -499,352.07   |
| Purchase     | 07/23/2020        | 266,449.0000                     | 266,449.00   | 0.00          | 0.00       | 266,449.00    | 266,449.00    |
| Purchase     | 07/23/2020        | 10,099.2400                      | 10,099.24    | 0.00          | 0.00       | 10,099.24     | 10,099.24     |
| Purchase     | 07/24/2020        | 44,719.1500                      | 44,719.15    | 0.00          | 0.00       | 44,719.15     | 44,719.15     |
| Purchase     | 07/27/2020        | 307,764.5700                     | 307,764.57   | 0.00          | 0.00       | 307,764.57    | 307,764.57    |
| Purchase     | 07/27/2020        | 106,407.3800                     | 106,407.38   | 0.00          | 0.00       | 106,407.38    | 106,407.38    |
| Sale         | 07/27/2020        | -870,447.6800                    | 870,447.68   | 0.00          | 0.00       | -870,447.68   | -870,447.68   |
| Purchase     | 07/28/2020        | 1,300.0400                       | 1,300.04     | 0.00          | 0.00       | 1,300.04      | 1,300.04      |
| Purchase     | 07/29/2020        | 679.8300                         | 679.83       | 0.00          | 0.00       | 679.83        | 679.83        |
| Purchase     | 07/29/2020        | 6,200.0000                       | 6,200.00     | 0.00          | 0.00       | 6,200.00      | 6,200.00      |
| Sale         | 07/29/2020        | -15,725.8600                     | 15,725.86    | 0.00          | 0.00       | -15,725.86    | -15,725.86    |
| Sale         | 07/30/2020        | -195,934.5000                    | 195,934.50   | 0.00          | 0.00       | -195,934.50   | -195,934.50   |
| Purchase     | 07/31/2020        | 5,436.0000                       | 5,436.00     | 0.00          | 0.00       | 5,436.00      | 5,436.00      |
| Sale         | 07/31/2020        | -1,040,042.9500                  | 1,040,042.95 | 0.00          | 0.00       | -1,040,042.95 | -1,040,042.95 |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/                   | Broker        |            |               | Previous       | Net Gain/Loss  |           |
|--------------|-------------------|----------------------------------|---------------|------------|---------------|----------------|----------------|-----------|
| Tran Type    | Settle Dt         | Units/Par                        | Sale Proceeds | Commission | Other Expense | Book Value     | Annual Market  | on Market |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS |               |            |               |                |                |           |
|              |                   | INSTITUTIONAL SHARES             |               |            |               |                |                |           |
| Sale         | 07/31/2020        | -2,880.1800                      | 2,880.18      | 0.00       | 0.00          | -2,880.18      | -2,880.18      | 0.00      |
| Purchase     | 08/03/2020        | 17.7400                          | 17.74         | 0.00       | 0.00          | 17.74          | 17.74          | 0.00      |
| Purchase     | 08/03/2020        | 5,045.6900                       | 5,045.69      | 0.00       | 0.00          | 5,045.69       | 5,045.69       | 0.00      |
| Purchase     | 08/03/2020        | 10.9400                          | 10.94         | 0.00       | 0.00          | 10.94          | 10.94          | 0.00      |
| Purchase     | 08/03/2020        | 2.9300                           | 2.93          | 0.00       | 0.00          | 2.93           | 2.93           | 0.00      |
| Sale         | 08/03/2020        | -521,568.8900                    | 521,568.89    | 0.00       | 0.00          | -521,568.89    | -521,568.89    | 0.00      |
| Sale         | 08/03/2020        | -382,253.9500                    | 382,253.95    | 0.00       | 0.00          | -382,253.95    | -382,253.95    | 0.00      |
| Sale         | 08/04/2020        | -179,979.2100                    | 179,979.21    | 0.00       | 0.00          | -179,979.21    | -179,979.21    | 0.00      |
| Purchase     | 08/05/2020        | 3,676.5600                       | 3,676.56      | 0.00       | 0.00          | 3,676.56       | 3,676.56       | 0.00      |
| Purchase     | 08/06/2020        | 43,943.7000                      | 43,943.70     | 0.00       | 0.00          | 43,943.70      | 43,943.70      | 0.00      |
| Purchase     | 08/06/2020        | 5,955.7000                       | 5,955.70      | 0.00       | 0.00          | 5,955.70       | 5,955.70       | 0.00      |
| Sale         | 08/06/2020        | -191,411.9800                    | 191,411.98    | 0.00       | 0.00          | -191,411.98    | -191,411.98    | 0.00      |
| Purchase     | 08/07/2020        | 44,716,203.4200                  | 44,716,203.42 | 0.00       | 0.00          | 44,716,203.42  | 44,716,203.42  | 0.00      |
| Purchase     | 08/07/2020        | 1,002.0000                       | 1,002.00      | 0.00       | 0.00          | 1,002.00       | 1,002.00       | 0.00      |
| Sale         | 08/07/2020        | -113,220.6800                    | 113,220.68    | 0.00       | 0.00          | -113,220.68    | -113,220.68    | 0.00      |
| Sale         | 08/07/2020        | -66,779.0600                     | 66,779.06     | 0.00       | 0.00          | -66,779.06     | -66,779.06     | 0.00      |
| Purchase     | 08/10/2020        | 4,735.5000                       | 4,735.50      | 0.00       | 0.00          | 4,735.50       | 4,735.50       | 0.00      |
| Purchase     | 08/10/2020        | 2,755.0000                       | 2,755.00      | 0.00       | 0.00          | 2,755.00       | 2,755.00       | 0.00      |
| Sale         | 08/10/2020        | -63,553.9300                     | 63,553.93     | 0.00       | 0.00          | -63,553.93     | -63,553.93     | 0.00      |
| Sale         | 08/10/2020        | -44,716,203.4200                 | 44,716,203.42 | 0.00       | 0.00          | -44,716,203.42 | -44,716,203.42 | 0.00      |
| Sale         | 08/11/2020        | -208,865.0400                    | 208,865.04    | 0.00       | 0.00          | -208,865.04    | -208,865.04    | 0.00      |
| Sale         | 08/11/2020        | -275,450.4600                    | 275,450.46    | 0.00       | 0.00          | -275,450.46    | -275,450.46    | 0.00      |
| Purchase     | 08/12/2020        | 1,776.2600                       | 1,776.26      | 0.00       | 0.00          | 1,776.26       | 1,776.26       | 0.00      |
| Sale         | 08/12/2020        | -851,588.8600                    | 851,588.86    | 0.00       | 0.00          | -851,588.86    | -851,588.86    | 0.00      |
| Purchase     | 08/13/2020        | 132,109.3800                     | 132,109.38    | 0.00       | 0.00          | 132,109.38     | 132,109.38     | 0.00      |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/                   | Broker       |               |            | Previous      | Net Gain/Loss |
|--------------|-------------------|----------------------------------|--------------|---------------|------------|---------------|---------------|
| Tran Type    | Settle Dt         | Units/Par                        | Commission   | Other Expense | Book Value | Annual Market | on Market     |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS |              |               |            |               |               |
|              |                   | INSTITUTIONAL SHARES             |              |               |            |               |               |
| Purchase     | 08/13/2020        | 9,062.5000                       | 9,062.50     | 0.00          | 0.00       | 9,062.50      | 9,062.50      |
| Purchase     | 08/13/2020        | 3,072.3000                       | 3,072.30     | 0.00          | 0.00       | 3,072.30      | 3,072.30      |
| Sale         | 08/13/2020        | -92.6000                         | 92.60        | 0.00          | 0.00       | -92.60        | -92.60        |
| Purchase     | 08/14/2020        | 1,400.0000                       | 1,400.00     | 0.00          | 0.00       | 1,400.00      | 1,400.00      |
| Sale         | 08/14/2020        | -224,797.3500                    | 224,797.35   | 0.00          | 0.00       | -224,797.35   | -224,797.35   |
| Purchase     | 08/17/2020        | 261,877.5000                     | 261,877.50   | 0.00          | 0.00       | 261,877.50    | 261,877.50    |
| Purchase     | 08/17/2020        | 192,654.7800                     | 192,654.78   | 0.00          | 0.00       | 192,654.78    | 192,654.78    |
| Sale         | 08/18/2020        | -384,964.1900                    | 384,964.19   | 0.00          | 0.00       | -384,964.19   | -384,964.19   |
| Purchase     | 08/19/2020        | 127,094.1400                     | 127,094.14   | 0.00          | 0.00       | 127,094.14    | 127,094.14    |
| Purchase     | 08/19/2020        | 44,907.7600                      | 44,907.76    | 0.00          | 0.00       | 44,907.76     | 44,907.76     |
| Purchase     | 08/20/2020        | 1,005.8900                       | 1,005.89     | 0.00          | 0.00       | 1,005.89      | 1,005.89      |
| Purchase     | 08/20/2020        | 99,843.4400                      | 99,843.44    | 0.00          | 0.00       | 99,843.44     | 99,843.44     |
| Sale         | 08/20/2020        | -258,684.9600                    | 258,684.96   | 0.00          | 0.00       | -258,684.96   | -258,684.96   |
| Sale         | 08/20/2020        | -11,458.5100                     | 11,458.51    | 0.00          | 0.00       | -11,458.51    | -11,458.51    |
| Purchase     | 08/21/2020        | 26,537.7100                      | 26,537.71    | 0.00          | 0.00       | 26,537.71     | 26,537.71     |
| Purchase     | 08/21/2020        | 116,919.0200                     | 116,919.02   | 0.00          | 0.00       | 116,919.02    | 116,919.02    |
| Purchase     | 08/24/2020        | 1,023.0000                       | 1,023.00     | 0.00          | 0.00       | 1,023.00      | 1,023.00      |
| Sale         | 08/24/2020        | -634.7700                        | 634.77       | 0.00          | 0.00       | -634.77       | -634.77       |
| Purchase     | 08/25/2020        | 270,294.3800                     | 270,294.38   | 0.00          | 0.00       | 270,294.38    | 270,294.38    |
| Purchase     | 08/25/2020        | 148,984.3000                     | 148,984.30   | 0.00          | 0.00       | 148,984.30    | 148,984.30    |
| Purchase     | 08/25/2020        | 2,918.7500                       | 2,918.75     | 0.00          | 0.00       | 2,918.75      | 2,918.75      |
| Sale         | 08/25/2020        | -1,444,589.3100                  | 1,444,589.31 | 0.00          | 0.00       | -1,444,589.31 | -1,444,589.31 |
| Purchase     | 08/26/2020        | 244,549.6300                     | 244,549.63   | 0.00          | 0.00       | 244,549.63    | 244,549.63    |
| Sale         | 08/26/2020        | -68,919.9800                     | 68,919.98    | 0.00          | 0.00       | -68,919.98    | -68,919.98    |
| Sale         | 08/27/2020        | -522,660.8500                    | 522,660.85   | 0.00          | 0.00       | -522,660.85   | -522,660.85   |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/<br>Sale Proceeds                          | Broker<br>Commission | Other Expense | Book Value   | Previous<br>Annual Market | Net Gain/Loss<br>on Market |
|--------------|-------------------|----------------------------------------------------------|----------------------|---------------|--------------|---------------------------|----------------------------|
| Tran Type    | Settle Dt         | Units/Par                                                |                      |               |              |                           |                            |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS<br>INSTITUTIONAL SHARES |                      |               |              |                           |                            |
| Sale         | 08/27/2020        | -81,661.8300                                             | 81,661.83            | 0.00          | -81,661.83   | -81,661.83                | 0.00                       |
| Purchase     | 08/28/2020        | 8,554.2500                                               | 8,554.25             | 0.00          | 8,554.25     | 8,554.25                  | 0.00                       |
| Sale         | 08/31/2020        | -113,205.2600                                            | 113,205.26           | 0.00          | -113,205.26  | -113,205.26               | 0.00                       |
| Sale         | 08/31/2020        | -78,061.1200                                             | 78,061.12            | 0.00          | -78,061.12   | -78,061.12                | 0.00                       |
| Sale         | 08/31/2020        | -552,798.3300                                            | 552,798.33           | 0.00          | -552,798.33  | -552,798.33               | 0.00                       |
| Sale         | 08/31/2020        | -62,049.5900                                             | 62,049.59            | 0.00          | -62,049.59   | -62,049.59                | 0.00                       |
| Purchase     | 09/01/2020        | 164.0400                                                 | 164.04               | 0.00          | 164.04       | 164.04                    | 0.00                       |
| Purchase     | 09/01/2020        | 15,498.4500                                              | 15,498.45            | 0.00          | 15,498.45    | 15,498.45                 | 0.00                       |
| Purchase     | 09/01/2020        | 2.9800                                                   | 2.98                 | 0.00          | 2.98         | 2.98                      | 0.00                       |
| Purchase     | 09/01/2020        | 554,458.6300                                             | 554,458.63           | 0.00          | 554,458.63   | 554,458.63                | 0.00                       |
| Sale         | 09/01/2020        | -525,166.3800                                            | 525,166.38           | 0.00          | -525,166.38  | -525,166.38               | 0.00                       |
| Sale         | 09/01/2020        | -879,860.4100                                            | 879,860.41           | 0.00          | -879,860.41  | -879,860.41               | 0.00                       |
| Purchase     | 09/02/2020        | 13,917.3600                                              | 13,917.36            | 0.00          | 13,917.36    | 13,917.36                 | 0.00                       |
| Sale         | 09/02/2020        | -183,858.5700                                            | 183,858.57           | 0.00          | -183,858.57  | -183,858.57               | 0.00                       |
| Sale         | 09/02/2020        | -443,471.4400                                            | 443,471.44           | 0.00          | -443,471.44  | -443,471.44               | 0.00                       |
| Purchase     | 09/03/2020        | 21,604.1300                                              | 21,604.13            | 0.00          | 21,604.13    | 21,604.13                 | 0.00                       |
| Sale         | 09/03/2020        | -76,880.6700                                             | 76,880.67            | 0.00          | -76,880.67   | -76,880.67                | 0.00                       |
| Purchase     | 09/04/2020        | 5,262,303.4700                                           | 5,262,303.47         | 0.00          | 5,262,303.47 | 5,262,303.47              | 0.00                       |
| Purchase     | 09/04/2020        | 42,617.7400                                              | 42,617.74            | 0.00          | 42,617.74    | 42,617.74                 | 0.00                       |
| Purchase     | 09/04/2020        | 1,676.1700                                               | 1,676.17             | 0.00          | 1,676.17     | 1,676.17                  | 0.00                       |
| Purchase     | 09/08/2020        | 75,703.4300                                              | 75,703.43            | 0.00          | 75,703.43    | 75,703.43                 | 0.00                       |
| Purchase     | 09/08/2020        | 2,750.0000                                               | 2,750.00             | 0.00          | 2,750.00     | 2,750.00                  | 0.00                       |
| Sale         | 09/08/2020        | -969.3500                                                | 969.35               | 0.00          | -969.35      | -969.35                   | 0.00                       |
| Purchase     | 09/09/2020        | 2,875.0000                                               | 2,875.00             | 0.00          | 2,875.00     | 2,875.00                  | 0.00                       |
| Purchase     | 09/09/2020        | 4,307.3100                                               | 4,307.31             | 0.00          | 4,307.31     | 4,307.31                  | 0.00                       |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/                   | Broker        |            |               |             | Previous      | Net Gain/Loss |
|--------------|-------------------|----------------------------------|---------------|------------|---------------|-------------|---------------|---------------|
| Tran Type    | Settle Dt         | Units/Par                        | Sale Proceeds | Commission | Other Expense | Book Value  | Annual Market | on Market     |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS |               |            |               |             |               |               |
|              |                   | INSTITUTIONAL SHARES             |               |            |               |             |               |               |
| Sale         | 09/09/2020        | -97,297.3500                     | 97,297.35     | 0.00       | 0.00          | -97,297.35  | -97,297.35    | 0.00          |
| Purchase     | 09/10/2020        | 2,407.2000                       | 2,407.20      | 0.00       | 0.00          | 2,407.20    | 2,407.20      | 0.00          |
| Sale         | 09/10/2020        | -658,091.6400                    | 658,091.64    | 0.00       | 0.00          | -658,091.64 | -658,091.64   | 0.00          |
| Sale         | 09/11/2020        | -1,270.0200                      | 1,270.02      | 0.00       | 0.00          | -1,270.02   | -1,270.02     | 0.00          |
| Purchase     | 09/14/2020        | 9,890.0000                       | 9,890.00      | 0.00       | 0.00          | 9,890.00    | 9,890.00      | 0.00          |
| Purchase     | 09/14/2020        | 8,375.0000                       | 8,375.00      | 0.00       | 0.00          | 8,375.00    | 8,375.00      | 0.00          |
| Purchase     | 09/15/2020        | 44,160.5300                      | 44,160.53     | 0.00       | 0.00          | 44,160.53   | 44,160.53     | 0.00          |
| Purchase     | 09/15/2020        | 176,988.7100                     | 176,988.71    | 0.00       | 0.00          | 176,988.71  | 176,988.71    | 0.00          |
| Sale         | 09/15/2020        | -57,708.6800                     | 57,708.68     | 0.00       | 0.00          | -57,708.68  | -57,708.68    | 0.00          |
| Purchase     | 09/16/2020        | 5,980.0200                       | 5,980.02      | 0.00       | 0.00          | 5,980.02    | 5,980.02      | 0.00          |
| Purchase     | 09/16/2020        | 191,618.3700                     | 191,618.37    | 0.00       | 0.00          | 191,618.37  | 191,618.37    | 0.00          |
| Sale         | 09/16/2020        | -181,396.5800                    | 181,396.58    | 0.00       | 0.00          | -181,396.58 | -181,396.58   | 0.00          |
| Purchase     | 09/17/2020        | 256,129.9300                     | 256,129.93    | 0.00       | 0.00          | 256,129.93  | 256,129.93    | 0.00          |
| Sale         | 09/17/2020        | -24,602.1300                     | 24,602.13     | 0.00       | 0.00          | -24,602.13  | -24,602.13    | 0.00          |
| Purchase     | 09/18/2020        | 11,110.7100                      | 11,110.71     | 0.00       | 0.00          | 11,110.71   | 11,110.71     | 0.00          |
| Sale         | 09/18/2020        | -566,636.2200                    | 566,636.22    | 0.00       | 0.00          | -566,636.22 | -566,636.22   | 0.00          |
| Purchase     | 09/21/2020        | 75,085.0800                      | 75,085.08     | 0.00       | 0.00          | 75,085.08   | 75,085.08     | 0.00          |
| Purchase     | 09/21/2020        | 3,664.7500                       | 3,664.75      | 0.00       | 0.00          | 3,664.75    | 3,664.75      | 0.00          |
| Purchase     | 09/21/2020        | 114,137.8100                     | 114,137.81    | 0.00       | 0.00          | 114,137.81  | 114,137.81    | 0.00          |
| Sale         | 09/22/2020        | -103,515.3800                    | 103,515.38    | 0.00       | 0.00          | -103,515.38 | -103,515.38   | 0.00          |
| Sale         | 09/23/2020        | -6,499.2800                      | 6,499.28      | 0.00       | 0.00          | -6,499.28   | -6,499.28     | 0.00          |
| Sale         | 09/23/2020        | -118,990.0600                    | 118,990.06    | 0.00       | 0.00          | -118,990.06 | -118,990.06   | 0.00          |
| Purchase     | 09/24/2020        | 213,904.4000                     | 213,904.40    | 0.00       | 0.00          | 213,904.40  | 213,904.40    | 0.00          |
| Purchase     | 09/24/2020        | 801,827.7200                     | 801,827.72    | 0.00       | 0.00          | 801,827.72  | 801,827.72    | 0.00          |
| Purchase     | 09/25/2020        | 275,880.8100                     | 275,880.81    | 0.00       | 0.00          | 275,880.81  | 275,880.81    | 0.00          |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/<br>Sale Proceeds                          | Broker<br>Commission | Other Expense | Book Value | Previous<br>Annual Market | Net Gain/Loss<br>on Market |      |
|--------------|-------------------|----------------------------------------------------------|----------------------|---------------|------------|---------------------------|----------------------------|------|
| Tran Type    | Settle Dt         | Units/Par                                                |                      |               |            |                           |                            |      |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS<br>INSTITUTIONAL SHARES |                      |               |            |                           |                            |      |
| Purchase     | 09/25/2020        | 131,487.2300                                             | 131,487.23           | 0.00          | 0.00       | 131,487.23                | 131,487.23                 | 0.00 |
| Sale         | 09/25/2020        | -146,809.9000                                            | 146,809.90           | 0.00          | 0.00       | -146,809.90               | -146,809.90                | 0.00 |
| Purchase     | 09/28/2020        | 13,860.3100                                              | 13,860.31            | 0.00          | 0.00       | 13,860.31                 | 13,860.31                  | 0.00 |
| Sale         | 09/28/2020        | -9,603.2000                                              | 9,603.20             | 0.00          | 0.00       | -9,603.20                 | -9,603.20                  | 0.00 |
| Purchase     | 09/29/2020        | 4,437.5000                                               | 4,437.50             | 0.00          | 0.00       | 4,437.50                  | 4,437.50                   | 0.00 |
| Sale         | 09/29/2020        | -274,978.7500                                            | 274,978.75           | 0.00          | 0.00       | -274,978.75               | -274,978.75                | 0.00 |
| Sale         | 09/29/2020        | -249,992.4500                                            | 249,992.45           | 0.00          | 0.00       | -249,992.45               | -249,992.45                | 0.00 |
| Purchase     | 09/30/2020        | 6,233.5000                                               | 6,233.50             | 0.00          | 0.00       | 6,233.50                  | 6,233.50                   | 0.00 |
| Purchase     | 09/30/2020        | 137,293.5800                                             | 137,293.58           | 0.00          | 0.00       | 137,293.58                | 137,293.58                 | 0.00 |
| Purchase     | 09/30/2020        | 9,032.4900                                               | 9,032.49             | 0.00          | 0.00       | 9,032.49                  | 9,032.49                   | 0.00 |
| Sale         | 09/30/2020        | -1,513,920.7800                                          | 1,513,920.78         | 0.00          | 0.00       | -1,513,920.78             | -1,513,920.78              | 0.00 |
| Purchase     | 10/01/2020        | 3.2600                                                   | 3.26                 | 0.00          | 0.00       | 3.26                      | 3.26                       | 0.00 |
| Purchase     | 10/01/2020        | 2.0900                                                   | 2.09                 | 0.00          | 0.00       | 2.09                      | 2.09                       | 0.00 |
| Purchase     | 10/01/2020        | 3,275.9400                                               | 3,275.94             | 0.00          | 0.00       | 3,275.94                  | 3,275.94                   | 0.00 |
| Sale         | 10/01/2020        | -1,914,696.6400                                          | 1,914,696.64         | 0.00          | 0.00       | -1,914,696.64             | -1,914,696.64              | 0.00 |
| Sale         | 10/01/2020        | -345,132.5300                                            | 345,132.53           | 0.00          | 0.00       | -345,132.53               | -345,132.53                | 0.00 |
| Sale         | 10/01/2020        | -496,896.6100                                            | 496,896.61           | 0.00          | 0.00       | -496,896.61               | -496,896.61                | 0.00 |
| Purchase     | 10/02/2020        | 14,711.8900                                              | 14,711.89            | 0.00          | 0.00       | 14,711.89                 | 14,711.89                  | 0.00 |
| Sale         | 10/02/2020        | -274,121.3600                                            | 274,121.36           | 0.00          | 0.00       | -274,121.36               | -274,121.36                | 0.00 |
| Purchase     | 10/05/2020        | 76.6800                                                  | 76.68                | 0.00          | 0.00       | 76.68                     | 76.68                      | 0.00 |
| Purchase     | 10/06/2020        | 7,500.0000                                               | 7,500.00             | 0.00          | 0.00       | 7,500.00                  | 7,500.00                   | 0.00 |
| Sale         | 10/06/2020        | -365.7500                                                | 365.75               | 0.00          | 0.00       | -365.75                   | -365.75                    | 0.00 |
| Sale         | 10/06/2020        | -32,291.5300                                             | 32,291.53            | 0.00          | 0.00       | -32,291.53                | -32,291.53                 | 0.00 |
| Purchase     | 10/07/2020        | 5,673.0000                                               | 5,673.00             | 0.00          | 0.00       | 5,673.00                  | 5,673.00                   | 0.00 |
| Sale         | 10/07/2020        | -232,960.0100                                            | 232,960.01           | 0.00          | 0.00       | -232,960.01               | -232,960.01                | 0.00 |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number<br>Tran Type | Settle Dt  | Asset Description<br>Units/Par                           | Purchase Cost/<br>Sale Proceeds | Broker<br>Commission | Other Expense | Book Value    | Previous<br>Annual Market | Net Gain/Loss<br>on Market |
|---------------------------|------------|----------------------------------------------------------|---------------------------------|----------------------|---------------|---------------|---------------------------|----------------------------|
| 3140000V3                 |            | FEDERATED GOVERNMENT OBLIGATIONS<br>INSTITUTIONAL SHARES |                                 |                      |               |               |                           |                            |
| Sale                      | 10/08/2020 | -151,472.5300                                            | 151,472.53                      | 0.00                 | 0.00          | -151,472.53   | -151,472.53               | 0.00                       |
| Sale                      | 10/09/2020 | -94,963.1100                                             | 94,963.11                       | 0.00                 | 0.00          | -94,963.11    | -94,963.11                | 0.00                       |
| Sale                      | 10/09/2020 | -56,244.9300                                             | 56,244.93                       | 0.00                 | 0.00          | -56,244.93    | -56,244.93                | 0.00                       |
| Purchase                  | 10/13/2020 | 14,435.2500                                              | 14,435.25                       | 0.00                 | 0.00          | 14,435.25     | 14,435.25                 | 0.00                       |
| Sale                      | 10/13/2020 | -7,729.2600                                              | 7,729.26                        | 0.00                 | 0.00          | -7,729.26     | -7,729.26                 | 0.00                       |
| Sale                      | 10/14/2020 | -2,201,653.1100                                          | 2,201,653.11                    | 0.00                 | 0.00          | -2,201,653.11 | -2,201,653.11             | 0.00                       |
| Sale                      | 10/14/2020 | -22,303.3200                                             | 22,303.32                       | 0.00                 | 0.00          | -22,303.32    | -22,303.32                | 0.00                       |
| Purchase                  | 10/15/2020 | 262,400.0900                                             | 262,400.09                      | 0.00                 | 0.00          | 262,400.09    | 262,400.09                | 0.00                       |
| Purchase                  | 10/15/2020 | 263,306.0000                                             | 263,306.00                      | 0.00                 | 0.00          | 263,306.00    | 263,306.00                | 0.00                       |
| Purchase                  | 10/15/2020 | 1,880.0000                                               | 1,880.00                        | 0.00                 | 0.00          | 1,880.00      | 1,880.00                  | 0.00                       |
| Purchase                  | 10/16/2020 | 5,740.2700                                               | 5,740.27                        | 0.00                 | 0.00          | 5,740.27      | 5,740.27                  | 0.00                       |
| Purchase                  | 10/16/2020 | 573,026.8700                                             | 573,026.87                      | 0.00                 | 0.00          | 573,026.87    | 573,026.87                | 0.00                       |
| Purchase                  | 10/19/2020 | 3,254.9400                                               | 3,254.94                        | 0.00                 | 0.00          | 3,254.94      | 3,254.94                  | 0.00                       |
| Sale                      | 10/19/2020 | -132,026.5700                                            | 132,026.57                      | 0.00                 | 0.00          | -132,026.57   | -132,026.57               | 0.00                       |
| Purchase                  | 10/20/2020 | 470.1500                                                 | 470.15                          | 0.00                 | 0.00          | 470.15        | 470.15                    | 0.00                       |
| Purchase                  | 10/20/2020 | 98,621.8600                                              | 98,621.86                       | 0.00                 | 0.00          | 98,621.86     | 98,621.86                 | 0.00                       |
| Purchase                  | 10/20/2020 | 779.0000                                                 | 779.00                          | 0.00                 | 0.00          | 779.00        | 779.00                    | 0.00                       |
| Sale                      | 10/20/2020 | -20,323.7600                                             | 20,323.76                       | 0.00                 | 0.00          | -20,323.76    | -20,323.76                | 0.00                       |
| Purchase                  | 10/21/2020 | 1,151.5600                                               | 1,151.56                        | 0.00                 | 0.00          | 1,151.56      | 1,151.56                  | 0.00                       |
| Sale                      | 10/22/2020 | -68,256.3300                                             | 68,256.33                       | 0.00                 | 0.00          | -68,256.33    | -68,256.33                | 0.00                       |
| Sale                      | 10/22/2020 | -104,925.4500                                            | 104,925.45                      | 0.00                 | 0.00          | -104,925.45   | -104,925.45               | 0.00                       |
| Sale                      | 10/22/2020 | -553,740.9700                                            | 553,740.97                      | 0.00                 | 0.00          | -553,740.97   | -553,740.97               | 0.00                       |
| Purchase                  | 10/23/2020 | 6,207.0000                                               | 6,207.00                        | 0.00                 | 0.00          | 6,207.00      | 6,207.00                  | 0.00                       |
| Sale                      | 10/23/2020 | -40,870.4500                                             | 40,870.45                       | 0.00                 | 0.00          | -40,870.45    | -40,870.45                | 0.00                       |
| Purchase                  | 10/26/2020 | 305,378.5500                                             | 305,378.55                      | 0.00                 | 0.00          | 305,378.55    | 305,378.55                | 0.00                       |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/                   | Broker       |               |            | Previous      | Net Gain/Loss |
|--------------|-------------------|----------------------------------|--------------|---------------|------------|---------------|---------------|
| Tran Type    | Settle Dt         | Units/Par                        | Commission   | Other Expense | Book Value | Annual Market | on Market     |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS |              |               |            |               |               |
|              |                   | INSTITUTIONAL SHARES             |              |               |            |               |               |
| Purchase     | 10/26/2020        | 115,428.2000                     | 115,428.20   | 0.00          | 0.00       | 115,428.20    | 115,428.20    |
| Sale         | 10/26/2020        | -50,000.0000                     | 50,000.00    | 0.00          | 0.00       | -50,000.00    | -50,000.00    |
| Purchase     | 10/27/2020        | 78,159.3800                      | 78,159.38    | 0.00          | 0.00       | 78,159.38     | 78,159.38     |
| Sale         | 10/27/2020        | -214,548.2400                    | 214,548.24   | 0.00          | 0.00       | -214,548.24   | -214,548.24   |
| Purchase     | 10/28/2020        | 7,758.2800                       | 7,758.28     | 0.00          | 0.00       | 7,758.28      | 7,758.28      |
| Sale         | 10/28/2020        | -2,067,668.2000                  | 2,067,668.20 | 0.00          | 0.00       | -2,067,668.20 | -2,067,668.20 |
| Purchase     | 10/29/2020        | 87,372.0200                      | 87,372.02    | 0.00          | 0.00       | 87,372.02     | 87,372.02     |
| Purchase     | 10/29/2020        | 50,926.4000                      | 50,926.40    | 0.00          | 0.00       | 50,926.40     | 50,926.40     |
| Sale         | 10/29/2020        | -263,103.6800                    | 263,103.68   | 0.00          | 0.00       | -263,103.68   | -263,103.68   |
| Sale         | 10/29/2020        | -66,756.7900                     | 66,756.79    | 0.00          | 0.00       | -66,756.79    | -66,756.79    |
| Purchase     | 10/30/2020        | 3,248.1000                       | 3,248.10     | 0.00          | 0.00       | 3,248.10      | 3,248.10      |
| Sale         | 10/30/2020        | -227,404.2500                    | 227,404.25   | 0.00          | 0.00       | -227,404.25   | -227,404.25   |
| Purchase     | 11/02/2020        | 2.7900                           | 2.79         | 0.00          | 0.00       | 2.79          | 2.79          |
| Purchase     | 11/02/2020        | 7,816.3600                       | 7,816.36     | 0.00          | 0.00       | 7,816.36      | 7,816.36      |
| Purchase     | 11/02/2020        | 4.0300                           | 4.03         | 0.00          | 0.00       | 4.03          | 4.03          |
| Purchase     | 11/02/2020        | 11,937.4100                      | 11,937.41    | 0.00          | 0.00       | 11,937.41     | 11,937.41     |
| Sale         | 11/02/2020        | -663,226.3700                    | 663,226.37   | 0.00          | 0.00       | -663,226.37   | -663,226.37   |
| Sale         | 11/02/2020        | -699,800.9800                    | 699,800.98   | 0.00          | 0.00       | -699,800.98   | -699,800.98   |
| Purchase     | 11/03/2020        | 810.0000                         | 810.00       | 0.00          | 0.00       | 810.00        | 810.00        |
| Sale         | 11/03/2020        | -160,879.4900                    | 160,879.49   | 0.00          | 0.00       | -160,879.49   | -160,879.49   |
| Purchase     | 11/04/2020        | 9,450.0000                       | 9,450.00     | 0.00          | 0.00       | 9,450.00      | 9,450.00      |
| Sale         | 11/04/2020        | -243,741.5400                    | 243,741.54   | 0.00          | 0.00       | -243,741.54   | -243,741.54   |
| Sale         | 11/05/2020        | -981,290.5600                    | 981,290.56   | 0.00          | 0.00       | -981,290.56   | -981,290.56   |
| Sale         | 11/05/2020        | -140,491.3900                    | 140,491.39   | 0.00          | 0.00       | -140,491.39   | -140,491.39   |
| Purchase     | 11/06/2020        | 9,245.3200                       | 9,245.32     | 0.00          | 0.00       | 9,245.32      | 9,245.32      |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/<br>Sale Proceeds                          | Broker<br>Commission | Other Expense | Book Value    | Previous<br>Annual Market | Net Gain/Loss<br>on Market |
|--------------|-------------------|----------------------------------------------------------|----------------------|---------------|---------------|---------------------------|----------------------------|
| Tran Type    | Settle Dt         | Units/Par                                                |                      |               |               |                           |                            |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS<br>INSTITUTIONAL SHARES |                      |               |               |                           |                            |
| Purchase     | 11/06/2020        | 4,312.5000                                               | 4,312.50             | 0.00          | 4,312.50      | 4,312.50                  | 0.00                       |
| Sale         | 11/06/2020        | -294,256.3800                                            | 294,256.38           | 0.00          | -294,256.38   | -294,256.38               | 0.00                       |
| Purchase     | 11/09/2020        | 8,000,000.0000                                           | 8,000,000.00         | 0.00          | 8,000,000.00  | 8,000,000.00              | 0.00                       |
| Purchase     | 11/09/2020        | 474,802.9000                                             | 474,802.90           | 0.00          | 474,802.90    | 474,802.90                | 0.00                       |
| Sale         | 11/09/2020        | -4,558.0000                                              | 4,558.00             | 0.00          | -4,558.00     | -4,558.00                 | 0.00                       |
| Purchase     | 11/10/2020        | 6,879,678.9100                                           | 6,879,678.91         | 0.00          | 6,879,678.91  | 6,879,678.91              | 0.00                       |
| Purchase     | 11/10/2020        | 1,744.9700                                               | 1,744.97             | 0.00          | 1,744.97      | 1,744.97                  | 0.00                       |
| Purchase     | 11/10/2020        | 132,619.4500                                             | 132,619.45           | 0.00          | 132,619.45    | 132,619.45                | 0.00                       |
| Purchase     | 11/12/2020        | 169,168.7000                                             | 169,168.70           | 0.00          | 169,168.70    | 169,168.70                | 0.00                       |
| Purchase     | 11/12/2020        | 3,672.3000                                               | 3,672.30             | 0.00          | 3,672.30      | 3,672.30                  | 0.00                       |
| Purchase     | 11/13/2020        | 1,620.5000                                               | 1,620.50             | 0.00          | 1,620.50      | 1,620.50                  | 0.00                       |
| Sale         | 11/13/2020        | -1,501,805.9400                                          | 1,501,805.94         | 0.00          | -1,501,805.94 | -1,501,805.94             | 0.00                       |
| Sale         | 11/13/2020        | -7,294.0400                                              | 7,294.04             | 0.00          | -7,294.04     | -7,294.04                 | 0.00                       |
| Purchase     | 11/16/2020        | 61,138.9600                                              | 61,138.96            | 0.00          | 61,138.96     | 61,138.96                 | 0.00                       |
| Purchase     | 11/16/2020        | 281,819.0800                                             | 281,819.08           | 0.00          | 281,819.08    | 281,819.08                | 0.00                       |
| Sale         | 11/16/2020        | -32,187.7800                                             | 32,187.78            | 0.00          | -32,187.78    | -32,187.78                | 0.00                       |
| Purchase     | 11/17/2020        | 2,471.1300                                               | 2,471.13             | 0.00          | 2,471.13      | 2,471.13                  | 0.00                       |
| Sale         | 11/17/2020        | -122,844.9200                                            | 122,844.92           | 0.00          | -122,844.92   | -122,844.92               | 0.00                       |
| Purchase     | 11/18/2020        | 41,017.9500                                              | 41,017.95            | 0.00          | 41,017.95     | 41,017.95                 | 0.00                       |
| Sale         | 11/18/2020        | -127,223.1500                                            | 127,223.15           | 0.00          | -127,223.15   | -127,223.15               | 0.00                       |
| Purchase     | 11/19/2020        | 181,004.0100                                             | 181,004.01           | 0.00          | 181,004.01    | 181,004.01                | 0.00                       |
| Sale         | 11/19/2020        | -55,410.9100                                             | 55,410.91            | 0.00          | -55,410.91    | -55,410.91                | 0.00                       |
| Sale         | 11/19/2020        | -372,599.5000                                            | 372,599.50           | 0.00          | -372,599.50   | -372,599.50               | 0.00                       |
| Purchase     | 11/20/2020        | 9,660.1800                                               | 9,660.18             | 0.00          | 9,660.18      | 9,660.18                  | 0.00                       |
| Purchase     | 11/20/2020        | 103,754.4400                                             | 103,754.44           | 0.00          | 103,754.44    | 103,754.44                | 0.00                       |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/                   | Broker       |               |            | Previous      | Net Gain/Loss |      |
|--------------|-------------------|----------------------------------|--------------|---------------|------------|---------------|---------------|------|
| Tran Type    | Settle Dt         | Units/Par                        | Commission   | Other Expense | Book Value | Annual Market | on Market     |      |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS |              |               |            |               |               |      |
|              |                   | INSTITUTIONAL SHARES             |              |               |            |               |               |      |
| Sale         | 11/20/2020        | -15,947.5000                     | 15,947.50    | 0.00          | 0.00       | -15,947.50    | -15,947.50    | 0.00 |
| Sale         | 11/23/2020        | -183,087.0200                    | 183,087.02   | 0.00          | 0.00       | -183,087.02   | -183,087.02   | 0.00 |
| Purchase     | 11/24/2020        | 3,293.7500                       | 3,293.75     | 0.00          | 0.00       | 3,293.75      | 3,293.75      | 0.00 |
| Sale         | 11/24/2020        | -27,746.0100                     | 27,746.01    | 0.00          | 0.00       | -27,746.01    | -27,746.01    | 0.00 |
| Sale         | 11/24/2020        | -574,913.7500                    | 574,913.75   | 0.00          | 0.00       | -574,913.75   | -574,913.75   | 0.00 |
| Purchase     | 11/25/2020        | 147,891.5400                     | 147,891.54   | 0.00          | 0.00       | 147,891.54    | 147,891.54    | 0.00 |
| Purchase     | 11/25/2020        | 145,394.7300                     | 145,394.73   | 0.00          | 0.00       | 145,394.73    | 145,394.73    | 0.00 |
| Sale         | 11/25/2020        | -1,398,680.0000                  | 1,398,680.00 | 0.00          | 0.00       | -1,398,680.00 | -1,398,680.00 | 0.00 |
| Purchase     | 11/27/2020        | 6,030.0000                       | 6,030.00     | 0.00          | 0.00       | 6,030.00      | 6,030.00      | 0.00 |
| Sale         | 11/27/2020        | -426,671.0300                    | 426,671.03   | 0.00          | 0.00       | -426,671.03   | -426,671.03   | 0.00 |
| Sale         | 11/30/2020        | -165,271.8300                    | 165,271.83   | 0.00          | 0.00       | -165,271.83   | -165,271.83   | 0.00 |
| Purchase     | 12/01/2020        | 2.7000                           | 2.70         | 0.00          | 0.00       | 2.70          | 2.70          | 0.00 |
| Purchase     | 12/01/2020        | 10,980.2200                      | 10,980.22    | 0.00          | 0.00       | 10,980.22     | 10,980.22     | 0.00 |
| Purchase     | 12/01/2020        | 3.7000                           | 3.70         | 0.00          | 0.00       | 3.70          | 3.70          | 0.00 |
| Purchase     | 12/01/2020        | 9.9300                           | 9.93         | 0.00          | 0.00       | 9.93          | 9.93          | 0.00 |
| Sale         | 12/01/2020        | -540,271.4600                    | 540,271.46   | 0.00          | 0.00       | -540,271.46   | -540,271.46   | 0.00 |
| Sale         | 12/01/2020        | -685,887.4100                    | 685,887.41   | 0.00          | 0.00       | -685,887.41   | -685,887.41   | 0.00 |
| Sale         | 12/02/2020        | -78,550.0300                     | 78,550.03    | 0.00          | 0.00       | -78,550.03    | -78,550.03    | 0.00 |
| Purchase     | 12/03/2020        | 1,561.7700                       | 1,561.77     | 0.00          | 0.00       | 1,561.77      | 1,561.77      | 0.00 |
| Purchase     | 12/03/2020        | 0.0500                           | 0.05         | 0.00          | 0.00       | 0.05          | 0.05          | 0.00 |
| Sale         | 12/03/2020        | -191,613.6700                    | 191,613.67   | 0.00          | 0.00       | -191,613.67   | -191,613.67   | 0.00 |
| Sale         | 12/04/2020        | -710,384.4700                    | 710,384.47   | 0.00          | 0.00       | -710,384.47   | -710,384.47   | 0.00 |
| Purchase     | 12/07/2020        | 2,046.7800                       | 2,046.78     | 0.00          | 0.00       | 2,046.78      | 2,046.78      | 0.00 |
| Purchase     | 12/07/2020        | 182.2500                         | 182.25       | 0.00          | 0.00       | 182.25        | 182.25        | 0.00 |
| Sale         | 12/07/2020        | -10,284.3000                     | 10,284.30    | 0.00          | 0.00       | -10,284.30    | -10,284.30    | 0.00 |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/                   | Broker       |               |            | Previous      | Net Gain/Loss |      |
|--------------|-------------------|----------------------------------|--------------|---------------|------------|---------------|---------------|------|
| Tran Type    | Settle Dt         | Units/Par                        | Commission   | Other Expense | Book Value | Annual Market | on Market     |      |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS |              |               |            |               |               |      |
|              |                   | INSTITUTIONAL SHARES             |              |               |            |               |               |      |
| Purchase     | 12/08/2020        | 97,786.6100                      | 97,786.61    | 0.00          | 0.00       | 97,786.61     | 97,786.61     | 0.00 |
| Sale         | 12/08/2020        | -148,847.7300                    | 148,847.73   | 0.00          | 0.00       | -148,847.73   | -148,847.73   | 0.00 |
| Purchase     | 12/09/2020        | 1,314.5800                       | 1,314.58     | 0.00          | 0.00       | 1,314.58      | 1,314.58      | 0.00 |
| Sale         | 12/09/2020        | -20,233.7600                     | 20,233.76    | 0.00          | 0.00       | -20,233.76    | -20,233.76    | 0.00 |
| Sale         | 12/10/2020        | -65,484.7400                     | 65,484.74    | 0.00          | 0.00       | -65,484.74    | -65,484.74    | 0.00 |
| Sale         | 12/10/2020        | -279,814.4900                    | 279,814.49   | 0.00          | 0.00       | -279,814.49   | -279,814.49   | 0.00 |
| Purchase     | 12/11/2020        | 23,150.0000                      | 23,150.00    | 0.00          | 0.00       | 23,150.00     | 23,150.00     | 0.00 |
| Sale         | 12/11/2020        | -21,059.8000                     | 21,059.80    | 0.00          | 0.00       | -21,059.80    | -21,059.80    | 0.00 |
| Sale         | 12/11/2020        | -50,838.2000                     | 50,838.20    | 0.00          | 0.00       | -50,838.20    | -50,838.20    | 0.00 |
| Purchase     | 12/14/2020        | 8,307.4100                       | 8,307.41     | 0.00          | 0.00       | 8,307.41      | 8,307.41      | 0.00 |
| Sale         | 12/14/2020        | -190,820.4300                    | 190,820.43   | 0.00          | 0.00       | -190,820.43   | -190,820.43   | 0.00 |
| Purchase     | 12/15/2020        | 65,884.1500                      | 65,884.15    | 0.00          | 0.00       | 65,884.15     | 65,884.15     | 0.00 |
| Purchase     | 12/15/2020        | 193,559.6800                     | 193,559.68   | 0.00          | 0.00       | 193,559.68    | 193,559.68    | 0.00 |
| Purchase     | 12/15/2020        | 210,787.9100                     | 210,787.91   | 0.00          | 0.00       | 210,787.91    | 210,787.91    | 0.00 |
| Sale         | 12/16/2020        | -1,320,122.8300                  | 1,320,122.83 | 0.00          | 0.00       | -1,320,122.83 | -1,320,122.83 | 0.00 |
| Sale         | 12/16/2020        | -91,224.1700                     | 91,224.17    | 0.00          | 0.00       | -91,224.17    | -91,224.17    | 0.00 |
| Purchase     | 12/17/2020        | 83,831.1500                      | 83,831.15    | 0.00          | 0.00       | 83,831.15     | 83,831.15     | 0.00 |
| Purchase     | 12/18/2020        | 944.6600                         | 944.66       | 0.00          | 0.00       | 944.66        | 944.66        | 0.00 |
| Sale         | 12/18/2020        | -236,584.1300                    | 236,584.13   | 0.00          | 0.00       | -236,584.13   | -236,584.13   | 0.00 |
| Purchase     | 12/21/2020        | 52,549.9300                      | 52,549.93    | 0.00          | 0.00       | 52,549.93     | 52,549.93     | 0.00 |
| Purchase     | 12/21/2020        | 90,227.6300                      | 90,227.63    | 0.00          | 0.00       | 90,227.63     | 90,227.63     | 0.00 |
| Sale         | 12/21/2020        | -196,052.9700                    | 196,052.97   | 0.00          | 0.00       | -196,052.97   | -196,052.97   | 0.00 |
| Purchase     | 12/22/2020        | 275,943.5800                     | 275,943.58   | 0.00          | 0.00       | 275,943.58    | 275,943.58    | 0.00 |
| Purchase     | 12/22/2020        | 5,270.0000                       | 5,270.00     | 0.00          | 0.00       | 5,270.00      | 5,270.00      | 0.00 |
| Purchase     | 12/23/2020        | 233,567.9500                     | 233,567.95   | 0.00          | 0.00       | 233,567.95    | 233,567.95    | 0.00 |





# Account Statement

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June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number<br>Tran Type | Settle Dt  | Asset Description<br>Units/Par                           | Purchase Cost/<br>Sale Proceeds | Broker<br>Commission | Other Expense | Book Value    | Previous<br>Annual Market | Net Gain/Loss<br>on Market |
|---------------------------|------------|----------------------------------------------------------|---------------------------------|----------------------|---------------|---------------|---------------------------|----------------------------|
| 3140000V3                 |            | FEDERATED GOVERNMENT OBLIGATIONS<br>INSTITUTIONAL SHARES |                                 |                      |               |               |                           |                            |
| Sale                      | 12/23/2020 | -1,016,369.7300                                          | 1,016,369.73                    | 0.00                 | 0.00          | -1,016,369.73 | -1,016,369.73             | 0.00                       |
| Sale                      | 12/23/2020 | -39,996.4100                                             | 39,996.41                       | 0.00                 | 0.00          | -39,996.41    | -39,996.41                | 0.00                       |
| Sale                      | 12/24/2020 | -17,015.7700                                             | 17,015.77                       | 0.00                 | 0.00          | -17,015.77    | -17,015.77                | 0.00                       |
| Purchase                  | 12/28/2020 | 259,673.2100                                             | 259,673.21                      | 0.00                 | 0.00          | 259,673.21    | 259,673.21                | 0.00                       |
| Purchase                  | 12/28/2020 | 83,748.5000                                              | 83,748.50                       | 0.00                 | 0.00          | 83,748.50     | 83,748.50                 | 0.00                       |
| Purchase                  | 12/29/2020 | 2,279.7500                                               | 2,279.75                        | 0.00                 | 0.00          | 2,279.75      | 2,279.75                  | 0.00                       |
| Sale                      | 12/29/2020 | -59.5000                                                 | 59.50                           | 0.00                 | 0.00          | -59.50        | -59.50                    | 0.00                       |
| Purchase                  | 12/30/2020 | 2,096.2500                                               | 2,096.25                        | 0.00                 | 0.00          | 2,096.25      | 2,096.25                  | 0.00                       |
| Purchase                  | 12/30/2020 | 4,130.8900                                               | 4,130.89                        | 0.00                 | 0.00          | 4,130.89      | 4,130.89                  | 0.00                       |
| Sale                      | 12/30/2020 | -71,001.6600                                             | 71,001.66                       | 0.00                 | 0.00          | -71,001.66    | -71,001.66                | 0.00                       |
| Purchase                  | 12/31/2020 | 152,989.6100                                             | 152,989.61                      | 0.00                 | 0.00          | 152,989.61    | 152,989.61                | 0.00                       |
| Purchase                  | 12/31/2020 | 10,728.3900                                              | 10,728.39                       | 0.00                 | 0.00          | 10,728.39     | 10,728.39                 | 0.00                       |
| Purchase                  | 12/31/2020 | 625.0000                                                 | 625.00                          | 0.00                 | 0.00          | 625.00        | 625.00                    | 0.00                       |
| Purchase                  | 12/31/2020 | 110,936.7000                                             | 110,936.70                      | 0.00                 | 0.00          | 110,936.70    | 110,936.70                | 0.00                       |
| Purchase                  | 12/31/2020 | 7,003.8500                                               | 7,003.85                        | 0.00                 | 0.00          | 7,003.85      | 7,003.85                  | 0.00                       |
| Sale                      | 12/31/2020 | -152,989.6100                                            | 152,989.61                      | 0.00                 | 0.00          | -152,989.61   | -152,989.61               | 0.00                       |
| Purchase                  | 01/04/2021 | 2.7900                                                   | 2.79                            | 0.00                 | 0.00          | 2.79          | 2.79                      | 0.00                       |
| Purchase                  | 01/04/2021 | 4,226.8600                                               | 4,226.86                        | 0.00                 | 0.00          | 4,226.86      | 4,226.86                  | 0.00                       |
| Purchase                  | 01/04/2021 | 3.0700                                                   | 3.07                            | 0.00                 | 0.00          | 3.07          | 3.07                      | 0.00                       |
| Purchase                  | 01/04/2021 | 1,879.1600                                               | 1,879.16                        | 0.00                 | 0.00          | 1,879.16      | 1,879.16                  | 0.00                       |
| Sale                      | 01/04/2021 | -538,174.3300                                            | 538,174.33                      | 0.00                 | 0.00          | -538,174.33   | -538,174.33               | 0.00                       |
| Sale                      | 01/04/2021 | -363,402.6400                                            | 363,402.64                      | 0.00                 | 0.00          | -363,402.64   | -363,402.64               | 0.00                       |
| Sale                      | 01/06/2021 | -90,000.0000                                             | 90,000.00                       | 0.00                 | 0.00          | -90,000.00    | -90,000.00                | 0.00                       |
| Sale                      | 01/06/2021 | -387,745.2000                                            | 387,745.20                      | 0.00                 | 0.00          | -387,745.20   | -387,745.20               | 0.00                       |
| Sale                      | 01/07/2021 | -2,248,576.7500                                          | 2,248,576.75                    | 0.00                 | 0.00          | -2,248,576.75 | -2,248,576.75             | 0.00                       |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

|              |                   | Valuation: \$506,026,904.30                              |                      |               |            | Threshold: \$25,301,345.22 | Base Currency: USD         |      |
|--------------|-------------------|----------------------------------------------------------|----------------------|---------------|------------|----------------------------|----------------------------|------|
| Asset Number | Asset Description | Purchase Cost/<br>Sale Proceeds                          | Broker<br>Commission | Other Expense | Book Value | Previous<br>Annual Market  | Net Gain/Loss<br>on Market |      |
| Tran Type    | Settle Dt         | Units/Par                                                |                      |               |            |                            |                            |      |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS<br>INSTITUTIONAL SHARES |                      |               |            |                            |                            |      |
| Sale         | 01/07/2021        | -27,776.3300                                             | 27,776.33            | 0.00          | 0.00       | -27,776.33                 | -27,776.33                 | 0.00 |
| Purchase     | 01/08/2021        | 9,613.5000                                               | 9,613.50             | 0.00          | 0.00       | 9,613.50                   | 9,613.50                   | 0.00 |
| Sale         | 01/08/2021        | -183,226.2500                                            | 183,226.25           | 0.00          | 0.00       | -183,226.25                | -183,226.25                | 0.00 |
| Sale         | 01/11/2021        | -118,344.9200                                            | 118,344.92           | 0.00          | 0.00       | -118,344.92                | -118,344.92                | 0.00 |
| Sale         | 01/12/2021        | -276,378.1600                                            | 276,378.16           | 0.00          | 0.00       | -276,378.16                | -276,378.16                | 0.00 |
| Sale         | 01/12/2021        | -5,579.5100                                              | 5,579.51             | 0.00          | 0.00       | -5,579.51                  | -5,579.51                  | 0.00 |
| Sale         | 01/13/2021        | -244,866.0400                                            | 244,866.04           | 0.00          | 0.00       | -244,866.04                | -244,866.04                | 0.00 |
| Sale         | 01/13/2021        | -63,147.8400                                             | 63,147.84            | 0.00          | 0.00       | -63,147.84                 | -63,147.84                 | 0.00 |
| Purchase     | 01/14/2021        | 73,474.9200                                              | 73,474.92            | 0.00          | 0.00       | 73,474.92                  | 73,474.92                  | 0.00 |
| Sale         | 01/14/2021        | -65,788.9300                                             | 65,788.93            | 0.00          | 0.00       | -65,788.93                 | -65,788.93                 | 0.00 |
| Sale         | 01/14/2021        | -181,363.3300                                            | 181,363.33           | 0.00          | 0.00       | -181,363.33                | -181,363.33                | 0.00 |
| Purchase     | 01/15/2021        | 329,654.6900                                             | 329,654.69           | 0.00          | 0.00       | 329,654.69                 | 329,654.69                 | 0.00 |
| Purchase     | 01/15/2021        | 200,794.5000                                             | 200,794.50           | 0.00          | 0.00       | 200,794.50                 | 200,794.50                 | 0.00 |
| Purchase     | 01/15/2021        | 457,786.0500                                             | 457,786.05           | 0.00          | 0.00       | 457,786.05                 | 457,786.05                 | 0.00 |
| Purchase     | 01/19/2021        | 41,250.3800                                              | 41,250.38            | 0.00          | 0.00       | 41,250.38                  | 41,250.38                  | 0.00 |
| Sale         | 01/19/2021        | -658,839.6600                                            | 658,839.66           | 0.00          | 0.00       | -658,839.66                | -658,839.66                | 0.00 |
| Purchase     | 01/20/2021        | 18,400.4800                                              | 18,400.48            | 0.00          | 0.00       | 18,400.48                  | 18,400.48                  | 0.00 |
| Purchase     | 01/20/2021        | 95,278.2600                                              | 95,278.26            | 0.00          | 0.00       | 95,278.26                  | 95,278.26                  | 0.00 |
| Sale         | 01/20/2021        | -1,733,254.6200                                          | 1,733,254.62         | 0.00          | 0.00       | -1,733,254.62              | -1,733,254.62              | 0.00 |
| Sale         | 01/21/2021        | -522,549.1600                                            | 522,549.16           | 0.00          | 0.00       | -522,549.16                | -522,549.16                | 0.00 |
| Sale         | 01/21/2021        | -122,691.4000                                            | 122,691.40           | 0.00          | 0.00       | -122,691.40                | -122,691.40                | 0.00 |
| Purchase     | 01/22/2021        | 2,868.7500                                               | 2,868.75             | 0.00          | 0.00       | 2,868.75                   | 2,868.75                   | 0.00 |
| Purchase     | 01/22/2021        | 1,133.4400                                               | 1,133.44             | 0.00          | 0.00       | 1,133.44                   | 1,133.44                   | 0.00 |
| Sale         | 01/22/2021        | -32,188.5000                                             | 32,188.50            | 0.00          | 0.00       | -32,188.50                 | -32,188.50                 | 0.00 |
| Purchase     | 01/25/2021        | 267,288.4300                                             | 267,288.43           | 0.00          | 0.00       | 267,288.43                 | 267,288.43                 | 0.00 |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/                   | Broker        |               |            | Previous      | Net Gain/Loss |
|--------------|-------------------|----------------------------------|---------------|---------------|------------|---------------|---------------|
| Tran Type    | Settle Dt         | Units/Par                        | Commission    | Other Expense | Book Value | Annual Market | on Market     |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS |               |               |            |               |               |
|              |                   | INSTITUTIONAL SHARES             |               |               |            |               |               |
| Purchase     | 01/25/2021        | 119,220.8800                     | 119,220.88    | 0.00          | 0.00       | 119,220.88    | 0.00          |
| Sale         | 01/25/2021        | -265,189.7600                    | 265,189.76    | 0.00          | 0.00       | -265,189.76   | 0.00          |
| Purchase     | 01/26/2021        | 678.4800                         | 678.48        | 0.00          | 0.00       | 678.48        | 0.00          |
| Sale         | 01/27/2021        | -153,514.2600                    | 153,514.26    | 0.00          | 0.00       | -153,514.26   | 0.00          |
| Sale         | 01/27/2021        | -598,500.0000                    | 598,500.00    | 0.00          | 0.00       | -598,500.00   | 0.00          |
| Purchase     | 01/28/2021        | 807.5000                         | 807.50        | 0.00          | 0.00       | 807.50        | 0.00          |
| Purchase     | 01/29/2021        | 679.8300                         | 679.83        | 0.00          | 0.00       | 679.83        | 0.00          |
| Purchase     | 01/29/2021        | 6,200.0000                       | 6,200.00      | 0.00          | 0.00       | 6,200.00      | 0.00          |
| Sale         | 01/29/2021        | -466,657.4900                    | 466,657.49    | 0.00          | 0.00       | -466,657.49   | 0.00          |
| Purchase     | 02/01/2021        | 4.0300                           | 4.03          | 0.00          | 0.00       | 4.03          | 0.00          |
| Purchase     | 02/01/2021        | 10,694.0600                      | 10,694.06     | 0.00          | 0.00       | 10,694.06     | 0.00          |
| Purchase     | 02/01/2021        | 2.8300                           | 2.83          | 0.00          | 0.00       | 2.83          | 0.00          |
| Purchase     | 02/01/2021        | 11,939.2800                      | 11,939.28     | 0.00          | 0.00       | 11,939.28     | 0.00          |
| Sale         | 02/01/2021        | -729,593.6300                    | 729,593.63    | 0.00          | 0.00       | -729,593.63   | 0.00          |
| Sale         | 02/01/2021        | -634,967.2600                    | 634,967.26    | 0.00          | 0.00       | -634,967.26   | 0.00          |
| Sale         | 02/02/2021        | -46,127.4900                     | 46,127.49     | 0.00          | 0.00       | -46,127.49    | 0.00          |
| Purchase     | 02/03/2021        | 174,521.2200                     | 174,521.22    | 0.00          | 0.00       | 174,521.22    | 0.00          |
| Sale         | 02/03/2021        | -803,705.6000                    | 803,705.60    | 0.00          | 0.00       | -803,705.60   | 0.00          |
| Purchase     | 02/04/2021        | 59,442.9300                      | 59,442.93     | 0.00          | 0.00       | 59,442.93     | 0.00          |
| Purchase     | 02/05/2021        | 3,631.2500                       | 3,631.25      | 0.00          | 0.00       | 3,631.25      | 0.00          |
| Sale         | 02/05/2021        | -419,700.2600                    | 419,700.26    | 0.00          | 0.00       | -419,700.26   | 0.00          |
| Purchase     | 02/08/2021        | 62,000,000.0000                  | 62,000,000.00 | 0.00          | 0.00       | 62,000,000.00 | 0.00          |
| Purchase     | 02/08/2021        | 31,885.4200                      | 31,885.42     | 0.00          | 0.00       | 31,885.42     | 0.00          |
| Purchase     | 02/08/2021        | 2,242.0000                       | 2,242.00      | 0.00          | 0.00       | 2,242.00      | 0.00          |
| Purchase     | 02/09/2021        | 8,000,000.0000                   | 8,000,000.00  | 0.00          | 0.00       | 8,000,000.00  | 0.00          |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/                   | Broker        |               |            | Previous       | Net Gain/Loss  |      |
|--------------|-------------------|----------------------------------|---------------|---------------|------------|----------------|----------------|------|
| Tran Type    | Settle Dt         | Units/Par                        | Commission    | Other Expense | Book Value | Annual Market  | on Market      |      |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS |               |               |            |                |                |      |
|              |                   | INSTITUTIONAL SHARES             |               |               |            |                |                |      |
| Purchase     | 02/09/2021        | 4,009,535.3200                   | 4,009,535.32  | 0.00          | 0.00       | 4,009,535.32   | 4,009,535.32   | 0.00 |
| Purchase     | 02/09/2021        | 2,000,000.0000                   | 2,000,000.00  | 0.00          | 0.00       | 2,000,000.00   | 2,000,000.00   | 0.00 |
| Purchase     | 02/09/2021        | 1,000,000.0000                   | 1,000,000.00  | 0.00          | 0.00       | 1,000,000.00   | 1,000,000.00   | 0.00 |
| Purchase     | 02/09/2021        | 1,944,381.9400                   | 1,944,381.94  | 0.00          | 0.00       | 1,944,381.94   | 1,944,381.94   | 0.00 |
| Sale         | 02/09/2021        | -16,000,000.0000                 | 16,000,000.00 | 0.00          | 0.00       | -16,000,000.00 | -16,000,000.00 | 0.00 |
| Sale         | 02/10/2021        | -8,000,000.0000                  | 8,000,000.00  | 0.00          | 0.00       | -8,000,000.00  | -8,000,000.00  | 0.00 |
| Sale         | 02/10/2021        | -183,299.9000                    | 183,299.90    | 0.00          | 0.00       | -183,299.90    | -183,299.90    | 0.00 |
| Purchase     | 02/11/2021        | 3,672.3000                       | 3,672.30      | 0.00          | 0.00       | 3,672.30       | 3,672.30       | 0.00 |
| Sale         | 02/11/2021        | -79,657.8300                     | 79,657.83     | 0.00          | 0.00       | -79,657.83     | -79,657.83     | 0.00 |
| Sale         | 02/11/2021        | -1,708,040.2100                  | 1,708,040.21  | 0.00          | 0.00       | -1,708,040.21  | -1,708,040.21  | 0.00 |
| Sale         | 02/11/2021        | -996,528.8900                    | 996,528.89    | 0.00          | 0.00       | -996,528.89    | -996,528.89    | 0.00 |
| Sale         | 02/11/2021        | -1,017,838.7400                  | 1,017,838.74  | 0.00          | 0.00       | -1,017,838.74  | -1,017,838.74  | 0.00 |
| Purchase     | 02/12/2021        | 1,853.8000                       | 1,853.80      | 0.00          | 0.00       | 1,853.80       | 1,853.80       | 0.00 |
| Sale         | 02/12/2021        | -30,473.3500                     | 30,473.35     | 0.00          | 0.00       | -30,473.35     | -30,473.35     | 0.00 |
| Sale         | 02/12/2021        | -827,830.0200                    | 827,830.02    | 0.00          | 0.00       | -827,830.02    | -827,830.02    | 0.00 |
| Sale         | 02/12/2021        | -1,131,577.4900                  | 1,131,577.49  | 0.00          | 0.00       | -1,131,577.49  | -1,131,577.49  | 0.00 |
| Purchase     | 02/16/2021        | 112,974.2100                     | 112,974.21    | 0.00          | 0.00       | 112,974.21     | 112,974.21     | 0.00 |
| Purchase     | 02/16/2021        | 174,992.1600                     | 174,992.16    | 0.00          | 0.00       | 174,992.16     | 174,992.16     | 0.00 |
| Sale         | 02/16/2021        | -870,666.1400                    | 870,666.14    | 0.00          | 0.00       | -870,666.14    | -870,666.14    | 0.00 |
| Purchase     | 02/17/2021        | 525,016.4200                     | 525,016.42    | 0.00          | 0.00       | 525,016.42     | 525,016.42     | 0.00 |
| Sale         | 02/17/2021        | -528,174.8900                    | 528,174.89    | 0.00          | 0.00       | -528,174.89    | -528,174.89    | 0.00 |
| Sale         | 02/18/2021        | -60,856.8000                     | 60,856.80     | 0.00          | 0.00       | -60,856.80     | -60,856.80     | 0.00 |
| Sale         | 02/18/2021        | -1,118,618.9500                  | 1,118,618.95  | 0.00          | 0.00       | -1,118,618.95  | -1,118,618.95  | 0.00 |
| Purchase     | 02/19/2021        | 1,941.0100                       | 1,941.01      | 0.00          | 0.00       | 1,941.01       | 1,941.01       | 0.00 |
| Purchase     | 02/19/2021        | 2,443.0000                       | 2,443.00      | 0.00          | 0.00       | 2,443.00       | 2,443.00       | 0.00 |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/                   | Broker        |            |               |             | Previous      | Net Gain/Loss |
|--------------|-------------------|----------------------------------|---------------|------------|---------------|-------------|---------------|---------------|
| Tran Type    | Settle Dt         | Units/Par                        | Sale Proceeds | Commission | Other Expense | Book Value  | Annual Market | on Market     |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS |               |            |               |             |               |               |
|              |                   | INSTITUTIONAL SHARES             |               |            |               |             |               |               |
| Sale         | 02/19/2021        | -73,628.1100                     | 73,628.11     | 0.00       | 0.00          | -73,628.11  | -73,628.11    | 0.00          |
| Purchase     | 02/22/2021        | 1,461.2800                       | 1,461.28      | 0.00       | 0.00          | 1,461.28    | 1,461.28      | 0.00          |
| Purchase     | 02/22/2021        | 43,751.3800                      | 43,751.38     | 0.00       | 0.00          | 43,751.38   | 43,751.38     | 0.00          |
| Purchase     | 02/22/2021        | 19,399.1500                      | 19,399.15     | 0.00       | 0.00          | 19,399.15   | 19,399.15     | 0.00          |
| Purchase     | 02/24/2021        | 221,345.8300                     | 221,345.83    | 0.00       | 0.00          | 221,345.83  | 221,345.83    | 0.00          |
| Sale         | 02/24/2021        | -96,688.0500                     | 96,688.05     | 0.00       | 0.00          | -96,688.05  | -96,688.05    | 0.00          |
| Purchase     | 02/25/2021        | 204,014.8100                     | 204,014.81    | 0.00       | 0.00          | 204,014.81  | 204,014.81    | 0.00          |
| Purchase     | 02/25/2021        | 77,494.9300                      | 77,494.93     | 0.00       | 0.00          | 77,494.93   | 77,494.93     | 0.00          |
| Purchase     | 02/26/2021        | 321,420.0900                     | 321,420.09    | 0.00       | 0.00          | 321,420.09  | 321,420.09    | 0.00          |
| Sale         | 02/26/2021        | -162,208.6800                    | 162,208.68    | 0.00       | 0.00          | -162,208.68 | -162,208.68   | 0.00          |
| Purchase     | 03/01/2021        | 5.8300                           | 5.83          | 0.00       | 0.00          | 5.83        | 5.83          | 0.00          |
| Purchase     | 03/01/2021        | 18,617.9200                      | 18,617.92     | 0.00       | 0.00          | 18,617.92   | 18,617.92     | 0.00          |
| Purchase     | 03/01/2021        | 2.5200                           | 2.52          | 0.00       | 0.00          | 2.52        | 2.52          | 0.00          |
| Purchase     | 03/01/2021        | 13.4300                          | 13.43         | 0.00       | 0.00          | 13.43       | 13.43         | 0.00          |
| Sale         | 03/01/2021        | -540,978.2300                    | 540,978.23    | 0.00       | 0.00          | -540,978.23 | -540,978.23   | 0.00          |
| Sale         | 03/01/2021        | -228,242.1900                    | 228,242.19    | 0.00       | 0.00          | -228,242.19 | -228,242.19   | 0.00          |
| Sale         | 03/02/2021        | -414,326.9300                    | 414,326.93    | 0.00       | 0.00          | -414,326.93 | -414,326.93   | 0.00          |
| Sale         | 03/02/2021        | -733,988.5600                    | 733,988.56    | 0.00       | 0.00          | -733,988.56 | -733,988.56   | 0.00          |
| Purchase     | 03/03/2021        | 5,236.0000                       | 5,236.00      | 0.00       | 0.00          | 5,236.00    | 5,236.00      | 0.00          |
| Sale         | 03/03/2021        | -295,094.8800                    | 295,094.88    | 0.00       | 0.00          | -295,094.88 | -295,094.88   | 0.00          |
| Purchase     | 03/04/2021        | 4,550.0000                       | 4,550.00      | 0.00       | 0.00          | 4,550.00    | 4,550.00      | 0.00          |
| Sale         | 03/04/2021        | -346,997.1700                    | 346,997.17    | 0.00       | 0.00          | -346,997.17 | -346,997.17   | 0.00          |
| Purchase     | 03/05/2021        | 6,030.0000                       | 6,030.00      | 0.00       | 0.00          | 6,030.00    | 6,030.00      | 0.00          |
| Sale         | 03/05/2021        | -245,170.1600                    | 245,170.16    | 0.00       | 0.00          | -245,170.16 | -245,170.16   | 0.00          |
| Purchase     | 03/08/2021        | 1,425.1700                       | 1,425.17      | 0.00       | 0.00          | 1,425.17    | 1,425.17      | 0.00          |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

|              |                   | Valuation: \$506,026,904.30                              |              |               |            | Threshold: \$25,301,345.22 | Base Currency: USD |               |
|--------------|-------------------|----------------------------------------------------------|--------------|---------------|------------|----------------------------|--------------------|---------------|
| Asset Number | Asset Description | Purchase Cost/                                           | Broker       |               |            | Previous                   |                    | Net Gain/Loss |
| Tran Type    | Settle Dt         | Units/Par                                                | Commission   | Other Expense | Book Value | Annual Market              | on Market          |               |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS<br>INSTITUTIONAL SHARES |              |               |            |                            |                    |               |
| Purchase     | 03/08/2021        | 2,750.0000                                               | 2,750.00     | 0.00          | 0.00       | 2,750.00                   | 2,750.00           | 0.00          |
| Sale         | 03/08/2021        | -271,501.5300                                            | 271,501.53   | 0.00          | 0.00       | -271,501.53                | -271,501.53        | 0.00          |
| Purchase     | 03/09/2021        | 301,589.9700                                             | 301,589.97   | 0.00          | 0.00       | 301,589.97                 | 301,589.97         | 0.00          |
| Sale         | 03/09/2021        | -442,058.1600                                            | 442,058.16   | 0.00          | 0.00       | -442,058.16                | -442,058.16        | 0.00          |
| Sale         | 03/09/2021        | -582,518.3300                                            | 582,518.33   | 0.00          | 0.00       | -582,518.33                | -582,518.33        | 0.00          |
| Purchase     | 03/10/2021        | 1,848.0000                                               | 1,848.00     | 0.00          | 0.00       | 1,848.00                   | 1,848.00           | 0.00          |
| Sale         | 03/10/2021        | -98,988.6400                                             | 98,988.64    | 0.00          | 0.00       | -98,988.64                 | -98,988.64         | 0.00          |
| Purchase     | 03/11/2021        | 3,040.8000                                               | 3,040.80     | 0.00          | 0.00       | 3,040.80                   | 3,040.80           | 0.00          |
| Sale         | 03/11/2021        | -2,182,399.6900                                          | 2,182,399.69 | 0.00          | 0.00       | -2,182,399.69              | -2,182,399.69      | 0.00          |
| Sale         | 03/11/2021        | -197,271.6800                                            | 197,271.68   | 0.00          | 0.00       | -197,271.68                | -197,271.68        | 0.00          |
| Purchase     | 03/12/2021        | 49,076.5900                                              | 49,076.59    | 0.00          | 0.00       | 49,076.59                  | 49,076.59          | 0.00          |
| Purchase     | 03/12/2021        | 8,375.0000                                               | 8,375.00     | 0.00          | 0.00       | 8,375.00                   | 8,375.00           | 0.00          |
| Purchase     | 03/12/2021        | 1,905.7500                                               | 1,905.75     | 0.00          | 0.00       | 1,905.75                   | 1,905.75           | 0.00          |
| Purchase     | 03/15/2021        | 50,591.6300                                              | 50,591.63    | 0.00          | 0.00       | 50,591.63                  | 50,591.63          | 0.00          |
| Purchase     | 03/15/2021        | 227,968.0500                                             | 227,968.05   | 0.00          | 0.00       | 227,968.05                 | 227,968.05         | 0.00          |
| Sale         | 03/15/2021        | -454,392.1200                                            | 454,392.12   | 0.00          | 0.00       | -454,392.12                | -454,392.12        | 0.00          |
| Sale         | 03/16/2021        | -773,648.3700                                            | 773,648.37   | 0.00          | 0.00       | -773,648.37                | -773,648.37        | 0.00          |
| Sale         | 03/16/2021        | -4,805.5100                                              | 4,805.51     | 0.00          | 0.00       | -4,805.51                  | -4,805.51          | 0.00          |
| Sale         | 03/17/2021        | -274,415.5400                                            | 274,415.54   | 0.00          | 0.00       | -274,415.54                | -274,415.54        | 0.00          |
| Purchase     | 03/18/2021        | 170,389.7300                                             | 170,389.73   | 0.00          | 0.00       | 170,389.73                 | 170,389.73         | 0.00          |
| Sale         | 03/18/2021        | -80,520.5700                                             | 80,520.57    | 0.00          | 0.00       | -80,520.57                 | -80,520.57         | 0.00          |
| Sale         | 03/18/2021        | -709,353.3500                                            | 709,353.35   | 0.00          | 0.00       | -709,353.35                | -709,353.35        | 0.00          |
| Purchase     | 03/19/2021        | 3,268.3000                                               | 3,268.30     | 0.00          | 0.00       | 3,268.30                   | 3,268.30           | 0.00          |
| Sale         | 03/19/2021        | -258,161.1100                                            | 258,161.11   | 0.00          | 0.00       | -258,161.11                | -258,161.11        | 0.00          |
| Purchase     | 03/22/2021        | 17,382.2000                                              | 17,382.20    | 0.00          | 0.00       | 17,382.20                  | 17,382.20          | 0.00          |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/                   | Broker        |            |               |             | Previous      | Net Gain/Loss |
|--------------|-------------------|----------------------------------|---------------|------------|---------------|-------------|---------------|---------------|
| Tran Type    | Settle Dt         | Units/Par                        | Sale Proceeds | Commission | Other Expense | Book Value  | Annual Market | on Market     |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS |               |            |               |             |               |               |
|              |                   | INSTITUTIONAL SHARES             |               |            |               |             |               |               |
| Sale         | 03/22/2021        | -29,165.3500                     | 29,165.35     | 0.00       | 0.00          | -29,165.35  | -29,165.35    | 0.00          |
| Sale         | 03/22/2021        | -44,729.7000                     | 44,729.70     | 0.00       | 0.00          | -44,729.70  | -44,729.70    | 0.00          |
| Purchase     | 03/23/2021        | 128,221.7400                     | 128,221.74    | 0.00       | 0.00          | 128,221.74  | 128,221.74    | 0.00          |
| Sale         | 03/24/2021        | -135,632.7200                    | 135,632.72    | 0.00       | 0.00          | -135,632.72 | -135,632.72   | 0.00          |
| Sale         | 03/24/2021        | -134,790.7500                    | 134,790.75    | 0.00       | 0.00          | -134,790.75 | -134,790.75   | 0.00          |
| Purchase     | 03/25/2021        | 218,085.6900                     | 218,085.69    | 0.00       | 0.00          | 218,085.69  | 218,085.69    | 0.00          |
| Purchase     | 03/25/2021        | 92,835.4400                      | 92,835.44     | 0.00       | 0.00          | 92,835.44   | 92,835.44     | 0.00          |
| Purchase     | 03/26/2021        | 1,534.5000                       | 1,534.50      | 0.00       | 0.00          | 1,534.50    | 1,534.50      | 0.00          |
| Purchase     | 03/26/2021        | 257,407.7800                     | 257,407.78    | 0.00       | 0.00          | 257,407.78  | 257,407.78    | 0.00          |
| Sale         | 03/26/2021        | -161,964.9700                    | 161,964.97    | 0.00       | 0.00          | -161,964.97 | -161,964.97   | 0.00          |
| Purchase     | 03/29/2021        | 33,941.2700                      | 33,941.27     | 0.00       | 0.00          | 33,941.27   | 33,941.27     | 0.00          |
| Purchase     | 03/29/2021        | 4,437.5000                       | 4,437.50      | 0.00       | 0.00          | 4,437.50    | 4,437.50      | 0.00          |
| Purchase     | 03/30/2021        | 3,453.6400                       | 3,453.64      | 0.00       | 0.00          | 3,453.64    | 3,453.64      | 0.00          |
| Purchase     | 03/31/2021        | 9,407.4800                       | 9,407.48      | 0.00       | 0.00          | 9,407.48    | 9,407.48      | 0.00          |
| Purchase     | 03/31/2021        | 262,285.0000                     | 262,285.00    | 0.00       | 0.00          | 262,285.00  | 262,285.00    | 0.00          |
| Purchase     | 03/31/2021        | 351,089.4800                     | 351,089.48    | 0.00       | 0.00          | 351,089.48  | 351,089.48    | 0.00          |
| Purchase     | 03/31/2021        | 9,072.8400                       | 9,072.84      | 0.00       | 0.00          | 9,072.84    | 9,072.84      | 0.00          |
| Sale         | 03/31/2021        | -93,320.9300                     | 93,320.93     | 0.00       | 0.00          | -93,320.93  | -93,320.93    | 0.00          |
| Purchase     | 04/01/2021        | 11.7100                          | 11.71         | 0.00       | 0.00          | 11.71       | 11.71         | 0.00          |
| Purchase     | 04/01/2021        | 10.2800                          | 10.28         | 0.00       | 0.00          | 10.28       | 10.28         | 0.00          |
| Purchase     | 04/01/2021        | 6.2500                           | 6.25          | 0.00       | 0.00          | 6.25        | 6.25          | 0.00          |
| Sale         | 04/01/2021        | -544,293.8200                    | 544,293.82    | 0.00       | 0.00          | -544,293.82 | -544,293.82   | 0.00          |
| Sale         | 04/01/2021        | -324,071.8300                    | 324,071.83    | 0.00       | 0.00          | -324,071.83 | -324,071.83   | 0.00          |
| Sale         | 04/01/2021        | -749,141.9500                    | 749,141.95    | 0.00       | 0.00          | -749,141.95 | -749,141.95   | 0.00          |
| Purchase     | 04/05/2021        | 167.3000                         | 167.30        | 0.00       | 0.00          | 167.30      | 167.30        | 0.00          |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/<br>Sale Proceeds                          | Broker<br>Commission | Other Expense | Book Value     | Previous<br>Annual Market | Net Gain/Loss<br>on Market |
|--------------|-------------------|----------------------------------------------------------|----------------------|---------------|----------------|---------------------------|----------------------------|
| Tran Type    | Settle Dt         | Units/Par                                                |                      |               |                |                           |                            |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS<br>INSTITUTIONAL SHARES |                      |               |                |                           |                            |
| Purchase     | 04/06/2021        | 829.2800                                                 | 829.28               | 0.00          | 829.28         | 829.28                    | 0.00                       |
| Purchase     | 04/06/2021        | 1,979.9900                                               | 1,979.99             | 0.00          | 1,979.99       | 1,979.99                  | 0.00                       |
| Sale         | 04/06/2021        | -736,351.9800                                            | 736,351.98           | 0.00          | -736,351.98    | -736,351.98               | 0.00                       |
| Sale         | 04/06/2021        | -352,733.9700                                            | 352,733.97           | 0.00          | -352,733.97    | -352,733.97               | 0.00                       |
| Purchase     | 04/07/2021        | 11,030.5000                                              | 11,030.50            | 0.00          | 11,030.50      | 11,030.50                 | 0.00                       |
| Purchase     | 04/08/2021        | 29,812.8000                                              | 29,812.80            | 0.00          | 29,812.80      | 29,812.80                 | 0.00                       |
| Sale         | 04/08/2021        | -24,294.7000                                             | 24,294.70            | 0.00          | -24,294.70     | -24,294.70                | 0.00                       |
| Purchase     | 04/12/2021        | 1,776.2800                                               | 1,776.28             | 0.00          | 1,776.28       | 1,776.28                  | 0.00                       |
| Purchase     | 04/13/2021        | 3,050.0000                                               | 3,050.00             | 0.00          | 3,050.00       | 3,050.00                  | 0.00                       |
| Sale         | 04/13/2021        | -166,741.0700                                            | 166,741.07           | 0.00          | -166,741.07    | -166,741.07               | 0.00                       |
| Sale         | 04/14/2021        | -12,362.7000                                             | 12,362.70            | 0.00          | -12,362.70     | -12,362.70                | 0.00                       |
| Purchase     | 04/15/2021        | 6,000,000.0000                                           | 6,000,000.00         | 0.00          | 6,000,000.00   | 6,000,000.00              | 0.00                       |
| Purchase     | 04/15/2021        | 3,059,567.7800                                           | 3,059,567.78         | 0.00          | 3,059,567.78   | 3,059,567.78              | 0.00                       |
| Purchase     | 04/15/2021        | 3,203,544.6400                                           | 3,203,544.64         | 0.00          | 3,203,544.64   | 3,203,544.64              | 0.00                       |
| Purchase     | 04/15/2021        | 2,280.0000                                               | 2,280.00             | 0.00          | 2,280.00       | 2,280.00                  | 0.00                       |
| Sale         | 04/15/2021        | -14,412,830.5400                                         | 14,412,830.54        | 0.00          | -14,412,830.54 | -14,412,830.54            | 0.00                       |
| Purchase     | 04/16/2021        | 18,028.4100                                              | 18,028.41            | 0.00          | 18,028.41      | 18,028.41                 | 0.00                       |
| Sale         | 04/16/2021        | -1,581.6000                                              | 1,581.60             | 0.00          | -1,581.60      | -1,581.60                 | 0.00                       |
| Sale         | 04/16/2021        | -6,000,000.0000                                          | 6,000,000.00         | 0.00          | -6,000,000.00  | -6,000,000.00             | 0.00                       |
| Sale         | 04/19/2021        | -580,077.0100                                            | 580,077.01           | 0.00          | -580,077.01    | -580,077.01               | 0.00                       |
| Sale         | 04/20/2021        | -49,191.5200                                             | 49,191.52            | 0.00          | -49,191.52     | -49,191.52                | 0.00                       |
| Sale         | 04/20/2021        | -1,446,354.6500                                          | 1,446,354.65         | 0.00          | -1,446,354.65  | -1,446,354.65             | 0.00                       |
| Sale         | 04/20/2021        | -848,106.3800                                            | 848,106.38           | 0.00          | -848,106.38    | -848,106.38               | 0.00                       |
| Sale         | 04/20/2021        | -67,001.0900                                             | 67,001.09            | 0.00          | -67,001.09     | -67,001.09                | 0.00                       |
| Purchase     | 04/21/2021        | 1,958.7100                                               | 1,958.71             | 0.00          | 1,958.71       | 1,958.71                  | 0.00                       |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30 Threshold: \$25,301,345.22 Base Currency: USD

| Asset Number | Asset Description                                        | Purchase Cost/  | Broker       |               |            | Previous      | Net Gain/Loss |
|--------------|----------------------------------------------------------|-----------------|--------------|---------------|------------|---------------|---------------|
| Tran Type    | Settle Dt                                                | Units/Par       | Commission   | Other Expense | Book Value | Annual Market | on Market     |
| 3140000V3    | FEDERATED GOVERNMENT OBLIGATIONS<br>INSTITUTIONAL SHARES |                 |              |               |            |               |               |
| Sale         | 04/21/2021                                               | -16,300.0400    | 16,300.04    | 0.00          | 0.00       | -16,300.04    | 0.00          |
| Sale         | 04/21/2021                                               | -1,099,767.6400 | 1,099,767.64 | 0.00          | 0.00       | -1,099,767.64 | 0.00          |
| Purchase     | 04/22/2021                                               | 1,639.6900      | 1,639.69     | 0.00          | 0.00       | 1,639.69      | 0.00          |
| Sale         | 04/22/2021                                               | -1,133,635.1100 | 1,133,635.11 | 0.00          | 0.00       | -1,133,635.11 | 0.00          |
| Sale         | 04/22/2021                                               | -138,060.0000   | 138,060.00   | 0.00          | 0.00       | -138,060.00   | 0.00          |
| Purchase     | 04/23/2021                                               | 5,741.7800      | 5,741.78     | 0.00          | 0.00       | 5,741.78      | 0.00          |
| Sale         | 04/23/2021                                               | -22,206.9600    | 22,206.96    | 0.00          | 0.00       | -22,206.96    | 0.00          |
| Purchase     | 04/26/2021                                               | 251,199.4400    | 251,199.44   | 0.00          | 0.00       | 251,199.44    | 0.00          |
| Purchase     | 04/26/2021                                               | 93,899.4200     | 93,899.42    | 0.00          | 0.00       | 93,899.42     | 0.00          |
| Sale         | 04/26/2021                                               | -1,082,658.8000 | 1,082,658.80 | 0.00          | 0.00       | -1,082,658.80 | 0.00          |
| Sale         | 04/26/2021                                               | -61,320.7600    | 61,320.76    | 0.00          | 0.00       | -61,320.76    | 0.00          |
| Purchase     | 04/27/2021                                               | 5,368.0000      | 5,368.00     | 0.00          | 0.00       | 5,368.00      | 0.00          |
| Sale         | 04/27/2021                                               | -207,883.9200   | 207,883.92   | 0.00          | 0.00       | -207,883.92   | 0.00          |
| Purchase     | 04/28/2021                                               | 513,024.9100    | 513,024.91   | 0.00          | 0.00       | 513,024.91    | 0.00          |
| Sale         | 04/28/2021                                               | -80,835.8100    | 80,835.81    | 0.00          | 0.00       | -80,835.81    | 0.00          |
| Purchase     | 04/29/2021                                               | 118,870.1400    | 118,870.14   | 0.00          | 0.00       | 118,870.14    | 0.00          |
| Sale         | 04/29/2021                                               | -250,000.0000   | 250,000.00   | 0.00          | 0.00       | -250,000.00   | 0.00          |
| Purchase     | 04/30/2021                                               | 7,406.8500      | 7,406.85     | 0.00          | 0.00       | 7,406.85      | 0.00          |
| Purchase     | 04/30/2021                                               | 6,777.0000      | 6,777.00     | 0.00          | 0.00       | 6,777.00      | 0.00          |
| Sale         | 04/30/2021                                               | -515,303.0100   | 515,303.01   | 0.00          | 0.00       | -515,303.01   | 0.00          |
| Sale         | 04/30/2021                                               | -765,262.5000   | 765,262.50   | 0.00          | 0.00       | -765,262.50   | 0.00          |
| Purchase     | 05/03/2021                                               | 19.2800         | 19.28        | 0.00          | 0.00       | 19.28         | 0.00          |
| Purchase     | 05/03/2021                                               | 7,852.0900      | 7,852.09     | 0.00          | 0.00       | 7,852.09      | 0.00          |
| Purchase     | 05/03/2021                                               | 9.2400          | 9.24         | 0.00          | 0.00       | 9.24          | 0.00          |
| Purchase     | 05/03/2021                                               | 7.4300          | 7.43         | 0.00          | 0.00       | 7.43          | 0.00          |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/                   | Broker        |            |               |             | Previous      | Net Gain/Loss |
|--------------|-------------------|----------------------------------|---------------|------------|---------------|-------------|---------------|---------------|
| Tran Type    | Settle Dt         | Units/Par                        | Sale Proceeds | Commission | Other Expense | Book Value  | Annual Market | on Market     |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS |               |            |               |             |               |               |
|              |                   | INSTITUTIONAL SHARES             |               |            |               |             |               |               |
| Sale         | 05/03/2021        | -547,133.0200                    | 547,133.02    | 0.00       | 0.00          | -547,133.02 | -547,133.02   | 0.00          |
| Sale         | 05/03/2021        | -62,949.3100                     | 62,949.31     | 0.00       | 0.00          | -62,949.31  | -62,949.31    | 0.00          |
| Purchase     | 05/04/2021        | 9,450.0000                       | 9,450.00      | 0.00       | 0.00          | 9,450.00    | 9,450.00      | 0.00          |
| Sale         | 05/04/2021        | -191,539.4500                    | 191,539.45    | 0.00       | 0.00          | -191,539.45 | -191,539.45   | 0.00          |
| Purchase     | 05/05/2021        | 269,196.1000                     | 269,196.10    | 0.00       | 0.00          | 269,196.10  | 269,196.10    | 0.00          |
| Purchase     | 05/06/2021        | 4,312.5000                       | 4,312.50      | 0.00       | 0.00          | 4,312.50    | 4,312.50      | 0.00          |
| Sale         | 05/06/2021        | -450,954.5400                    | 450,954.54    | 0.00       | 0.00          | -450,954.54 | -450,954.54   | 0.00          |
| Purchase     | 05/07/2021        | 2,332.0000                       | 2,332.00      | 0.00       | 0.00          | 2,332.00    | 2,332.00      | 0.00          |
| Sale         | 05/07/2021        | -465.5000                        | 465.50        | 0.00       | 0.00          | -465.50     | -465.50       | 0.00          |
| Purchase     | 05/10/2021        | 47.6700                          | 47.67         | 0.00       | 0.00          | 47.67       | 47.67         | 0.00          |
| Purchase     | 05/10/2021        | 281,111.2100                     | 281,111.21    | 0.00       | 0.00          | 281,111.21  | 281,111.21    | 0.00          |
| Sale         | 05/10/2021        | -257,648.7700                    | 257,648.77    | 0.00       | 0.00          | -257,648.77 | -257,648.77   | 0.00          |
| Purchase     | 05/11/2021        | 583.0000                         | 583.00        | 0.00       | 0.00          | 583.00      | 583.00        | 0.00          |
| Sale         | 05/11/2021        | -27,233.9800                     | 27,233.98     | 0.00       | 0.00          | -27,233.98  | -27,233.98    | 0.00          |
| Sale         | 05/12/2021        | -272,183.0000                    | 272,183.00    | 0.00       | 0.00          | -272,183.00 | -272,183.00   | 0.00          |
| Sale         | 05/12/2021        | -5,242.3400                      | 5,242.34      | 0.00       | 0.00          | -5,242.34   | -5,242.34     | 0.00          |
| Purchase     | 05/13/2021        | 41,958.7900                      | 41,958.79     | 0.00       | 0.00          | 41,958.79   | 41,958.79     | 0.00          |
| Purchase     | 05/13/2021        | 3,411.1000                       | 3,411.10      | 0.00       | 0.00          | 3,411.10    | 3,411.10      | 0.00          |
| Sale         | 05/13/2021        | -65,260.0800                     | 65,260.08     | 0.00       | 0.00          | -65,260.08  | -65,260.08    | 0.00          |
| Purchase     | 05/14/2021        | 3,120.0000                       | 3,120.00      | 0.00       | 0.00          | 3,120.00    | 3,120.00      | 0.00          |
| Purchase     | 05/14/2021        | 5,740.6500                       | 5,740.65      | 0.00       | 0.00          | 5,740.65    | 5,740.65      | 0.00          |
| Sale         | 05/14/2021        | -8,794.1800                      | 8,794.18      | 0.00       | 0.00          | -8,794.18   | -8,794.18     | 0.00          |
| Purchase     | 05/17/2021        | 108,914.9400                     | 108,914.94    | 0.00       | 0.00          | 108,914.94  | 108,914.94    | 0.00          |
| Purchase     | 05/17/2021        | 155,250.2000                     | 155,250.20    | 0.00       | 0.00          | 155,250.20  | 155,250.20    | 0.00          |
| Sale         | 05/17/2021        | -143,659.8300                    | 143,659.83    | 0.00       | 0.00          | -143,659.83 | -143,659.83   | 0.00          |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## 5% Report by Asset - Aggregate Transactions (Continued)

Valuation: \$506,026,904.30

Threshold: \$25,301,345.22

Base Currency: USD

| Asset Number | Asset Description | Purchase Cost/                   | Broker       |               |            | Previous      | Net Gain/Loss |
|--------------|-------------------|----------------------------------|--------------|---------------|------------|---------------|---------------|
| Tran Type    | Settle Dt         | Units/Par                        | Commission   | Other Expense | Book Value | Annual Market | on Market     |
| 3140000V3    |                   | FEDERATED GOVERNMENT OBLIGATIONS |              |               |            |               |               |
|              |                   | INSTITUTIONAL SHARES             |              |               |            |               |               |
| Purchase     | 05/18/2021        | 343.4400                         | 343.44       | 0.00          | 0.00       | 343.44        | 0.00          |
| Sale         | 05/18/2021        | -1,788.2100                      | 1,788.21     | 0.00          | 0.00       | -1,788.21     | 0.00          |
| Purchase     | 05/19/2021        | 333.2200                         | 333.22       | 0.00          | 0.00       | 333.22        | 0.00          |
| Purchase     | 05/19/2021        | 939.6100                         | 939.61       | 0.00          | 0.00       | 939.61        | 0.00          |
| Purchase     | 05/20/2021        | 5,794.6600                       | 5,794.66     | 0.00          | 0.00       | 5,794.66      | 0.00          |
| Purchase     | 05/20/2021        | 209,527.1200                     | 209,527.12   | 0.00          | 0.00       | 209,527.12    | 0.00          |
| Purchase     | 05/21/2021        | 384.0000                         | 384.00       | 0.00          | 0.00       | 384.00        | 0.00          |
| Sale         | 05/21/2021        | -59,445.0000                     | 59,445.00    | 0.00          | 0.00       | -59,445.00    | 0.00          |
| Purchase     | 05/24/2021        | 2,679.8600                       | 2,679.86     | 0.00          | 0.00       | 2,679.86      | 0.00          |
| Sale         | 05/24/2021        | -535.4600                        | 535.46       | 0.00          | 0.00       | -535.46       | 0.00          |
| Purchase     | 05/25/2021        | 205,628.7400                     | 205,628.74   | 0.00          | 0.00       | 205,628.74    | 0.00          |
| Purchase     | 05/25/2021        | 89,632.0600                      | 89,632.06    | 0.00          | 0.00       | 89,632.06     | 0.00          |
| Purchase     | 05/25/2021        | 5,236.0000                       | 5,236.00     | 0.00          | 0.00       | 5,236.00      | 0.00          |
| Sale         | 05/26/2021        | -499,625.0000                    | 499,625.00   | 0.00          | 0.00       | -499,625.00   | 0.00          |
| Purchase     | 05/27/2021        | 13,168.5700                      | 13,168.57    | 0.00          | 0.00       | 13,168.57     | 0.00          |
| Purchase     | 05/27/2021        | 34,043.5200                      | 34,043.52    | 0.00          | 0.00       | 34,043.52     | 0.00          |
| Purchase     | 05/28/2021        | 4,747.5000                       | 4,747.50     | 0.00          | 0.00       | 4,747.50      | 0.00          |
| Sale         | 05/28/2021        | -1,633,902.3800                  | 1,633,902.38 | 0.00          | 0.00       | -1,633,902.38 | 0.00          |
| Sale         | 05/28/2021        | -135,223.4000                    | 135,223.40   | 0.00          | 0.00       | -135,223.40   | 0.00          |

### 274 Issue Sales

### 352 Issue Purchases

End of Schedule





## Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

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### 5% Report by Broker - Aggregate Transactions for Securities

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No activity this period based on a beginning valuation of \$ 506,026,904.30 and a transaction threshold of \$25,301,345.22.





## Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

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### 5% Report by Broker - Aggregate Transactions for Non-Securities

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No activity this period based on a beginning valuation of \$506,026,904.30 and a transaction threshold of \$25,301,345.22.



|                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                    |                                                                                           |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| <b>SCHEDULE SB</b><br><b>(Form 5500)</b><br><br><small>Department of the Treasury<br/>Internal Revenue Service</small><br><br><small>Department of Labor<br/>Employee Benefits Security Administration</small><br><br><small>Pension Benefit Guaranty Corporation</small> | <b>Single-Employer Defined Benefit Plan</b><br><b>Actuarial Information</b><br><br>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).<br><br><b>► File as an attachment to Form 5500 or 5500-SF.</b> | OMB No. 1210-0110<br><br><b>2020</b><br><br><b>This Form is Open to Public Inspection</b> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|

For calendar plan year 2020 or fiscal plan year beginning 06/01/2020 and ending 05/31/2021

► **Round off amounts to nearest dollar.**

► **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

|                                                                                                                                                         |                                                             |     |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|-----|
| <b>A</b> Name of plan<br>RPM INTERNATIONAL INC. RETIREMENT PLAN                                                                                         | <b>B</b> Three-digit plan number (PN) ►                     | 010 |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF<br>RPM INTERNATIONAL INC.                                                      | <b>D</b> Employer Identification Number (EIN)<br>02-0642224 |     |
| <b>E</b> Type of plan: <input checked="" type="checkbox"/> Single <input type="checkbox"/> Multiple-A <input type="checkbox"/> Multiple-B               |                                                             |     |
| <b>F</b> Prior year plan size: <input type="checkbox"/> 100 or fewer <input type="checkbox"/> 101-500 <input checked="" type="checkbox"/> More than 500 |                                                             |     |

|                                                                                                                                                                                                          |                            |                           |                          |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|---------------------------|--------------------------|--|
| <b>Part I Basic Information</b>                                                                                                                                                                          |                            |                           |                          |  |
| <b>1</b> Enter the valuation date: Month <u>06</u> Day <u>01</u> Year <u>2020</u>                                                                                                                        |                            |                           |                          |  |
| <b>2</b> Assets:                                                                                                                                                                                         |                            |                           |                          |  |
| a Market value .....                                                                                                                                                                                     | <b>2a</b>                  | 566,048,063               |                          |  |
| b Actuarial value .....                                                                                                                                                                                  | <b>2b</b>                  | 590,881,631               |                          |  |
| <b>3</b> Funding target/participant count breakdown                                                                                                                                                      | (1) Number of participants | (2) Vested Funding Target | (3) Total Funding Target |  |
| a For retired participants and beneficiaries receiving payment .....                                                                                                                                     | 568                        | 67,961,746                | 67,961,746               |  |
| b For terminated vested participants .....                                                                                                                                                               | 1,537                      | 66,855,339                | 66,855,339               |  |
| c For active participants .....                                                                                                                                                                          | 6,598                      | 317,770,169               | 336,418,355              |  |
| d Total .....                                                                                                                                                                                            | 8,703                      | 452,587,254               | 471,235,440              |  |
| <b>4</b> If the plan is in at-risk status, check the box and complete lines (a) and (b) <input type="checkbox"/>                                                                                         |                            |                           |                          |  |
| a Funding target disregarding prescribed at-risk assumptions .....                                                                                                                                       | <b>4a</b>                  |                           |                          |  |
| b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor ..... | <b>4b</b>                  |                           |                          |  |
| <b>5</b> Effective interest rate .....                                                                                                                                                                   | <b>5</b>                   | 5.54%                     |                          |  |
| <b>6</b> Target normal cost .....                                                                                                                                                                        | <b>6</b>                   | 32,658,126                |                          |  |

**Statement by Enrolled Actuary**

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

|                  |                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                 |
|------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>SIGN HERE</b> | <div style="text-align: center;"> <br/>         Signature of actuary<br/>         DANIEL SECKAR, EA, MAAA<br/>         Type or print name of actuary<br/>         MERCER<br/>         Firm name<br/>         SIX PPG PLACE, SUITE 400<br/>         PITTSBURGH PA 15222-5406<br/>         Address of the firm       </div> | <div style="text-align: center;"> <u>2/11/2022</u><br/>         Date<br/>         2006799<br/>         Most recent enrollment number<br/>         412-355-8706<br/>         Telephone number (including area code)       </div> |
|------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice, see the Instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2020  
v. 200204



**Part V Assumptions Used to Determine Funding Target and Target Normal Cost**

|                                                 |                                                                                                                                              |                        |                        |                                                     |
|-------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|------------------------|------------------------|-----------------------------------------------------|
| <b>21</b> Discount rate:                        |                                                                                                                                              |                        |                        |                                                     |
| <b>a</b> Segment rates:                         | 1st segment:<br>3.64 %                                                                                                                       | 2nd segment:<br>5.21 % | 3rd segment:<br>5.94 % | <input type="checkbox"/> N/A, full yield curve used |
| <b>b</b> Applicable month (enter code).....     |                                                                                                                                              |                        |                        | <b>21b</b>                                          |
| <b>22</b> Weighted average retirement age ..... |                                                                                                                                              |                        |                        | <b>22</b> 63                                        |
| <b>23</b> Mortality table(s) (see instructions) | <input type="checkbox"/> Prescribed - combined <input checked="" type="checkbox"/> Prescribed - separate <input type="checkbox"/> Substitute |                        |                        |                                                     |

**Part VI Miscellaneous Items**

|                                                                                                                                                                        |                                                                     |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|
| <b>24</b> Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment ..... | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| <b>25</b> Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ....                                      | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| <b>26</b> Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ....                            | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| <b>27</b> If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.....                                    | <b>27</b>                                                           |

**Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years**

|                                                                                                                                     |           |   |
|-------------------------------------------------------------------------------------------------------------------------------------|-----------|---|
| <b>28</b> Unpaid minimum required contributions for all prior years .....                                                           | <b>28</b> | 0 |
| <b>29</b> Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)..... | <b>29</b> |   |
| <b>30</b> Remaining amount of unpaid minimum required contributions (line 28 minus line 29) .....                                   | <b>30</b> | 0 |

**Part VIII Minimum Required Contribution For Current Year**

| <b>31</b> Target normal cost and excess assets (see instructions):                                                                                                                   |                                                                                                                                                                                                                                                                                                                               |                   |                    |  |                     |             |                                                       |   |   |                                                |   |   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|--------------------|--|---------------------|-------------|-------------------------------------------------------|---|---|------------------------------------------------|---|---|
| <b>a</b> Target normal cost (line 6) .....                                                                                                                                           | <b>31a</b>                                                                                                                                                                                                                                                                                                                    | 32,658,126        |                    |  |                     |             |                                                       |   |   |                                                |   |   |
| <b>b</b> Excess assets, if applicable, but not greater than line 31a .....                                                                                                           | <b>31b</b>                                                                                                                                                                                                                                                                                                                    | 32,658,126        |                    |  |                     |             |                                                       |   |   |                                                |   |   |
| <b>32</b> Amortization installments:                                                                                                                                                 | <table border="1"> <thead> <tr> <th></th> <th>Outstanding Balance</th> <th>Installment</th> </tr> </thead> <tbody> <tr> <td><b>a</b> Net shortfall amortization installment .....</td> <td>0</td> <td>0</td> </tr> <tr> <td><b>b</b> Waiver amortization installment .....</td> <td>0</td> <td>0</td> </tr> </tbody> </table> |                   |                    |  | Outstanding Balance | Installment | <b>a</b> Net shortfall amortization installment ..... | 0 | 0 | <b>b</b> Waiver amortization installment ..... | 0 | 0 |
|                                                                                                                                                                                      | Outstanding Balance                                                                                                                                                                                                                                                                                                           | Installment       |                    |  |                     |             |                                                       |   |   |                                                |   |   |
| <b>a</b> Net shortfall amortization installment .....                                                                                                                                | 0                                                                                                                                                                                                                                                                                                                             | 0                 |                    |  |                     |             |                                                       |   |   |                                                |   |   |
| <b>b</b> Waiver amortization installment .....                                                                                                                                       | 0                                                                                                                                                                                                                                                                                                                             | 0                 |                    |  |                     |             |                                                       |   |   |                                                |   |   |
| <b>33</b> If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount ..... | <b>33</b>                                                                                                                                                                                                                                                                                                                     |                   |                    |  |                     |             |                                                       |   |   |                                                |   |   |
| <b>34</b> Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33).....                                                          | <b>34</b>                                                                                                                                                                                                                                                                                                                     | 0                 |                    |  |                     |             |                                                       |   |   |                                                |   |   |
|                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                               | Carryover balance | Prefunding balance |  |                     |             |                                                       |   |   |                                                |   |   |
| <b>35</b> Balances elected for use to offset funding requirement .....                                                                                                               |                                                                                                                                                                                                                                                                                                                               |                   | 0                  |  |                     |             |                                                       |   |   |                                                |   |   |
| <b>36</b> Additional cash requirement (line 34 minus line 35).....                                                                                                                   | <b>36</b>                                                                                                                                                                                                                                                                                                                     | 0                 |                    |  |                     |             |                                                       |   |   |                                                |   |   |
| <b>37</b> Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....                                                   | <b>37</b>                                                                                                                                                                                                                                                                                                                     | 58,946,163        |                    |  |                     |             |                                                       |   |   |                                                |   |   |
| <b>38</b> Present value of excess contributions for current year (see instructions)                                                                                                  |                                                                                                                                                                                                                                                                                                                               |                   |                    |  |                     |             |                                                       |   |   |                                                |   |   |
| <b>a</b> Total (excess, if any, of line 37 over line 36) .....                                                                                                                       | <b>38a</b>                                                                                                                                                                                                                                                                                                                    | 58,946,163        |                    |  |                     |             |                                                       |   |   |                                                |   |   |
| <b>b</b> Portion included in line 38a attributable to use of prefunding and funding standard carryover balances .....                                                                | <b>38b</b>                                                                                                                                                                                                                                                                                                                    |                   |                    |  |                     |             |                                                       |   |   |                                                |   |   |
| <b>39</b> Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37).....                                                                       | <b>39</b>                                                                                                                                                                                                                                                                                                                     | 0                 |                    |  |                     |             |                                                       |   |   |                                                |   |   |
| <b>40</b> Unpaid minimum required contributions for all years .....                                                                                                                  | <b>40</b>                                                                                                                                                                                                                                                                                                                     | 0                 |                    |  |                     |             |                                                       |   |   |                                                |   |   |

**Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)**

|                                                                                  |                                                                                                                         |
|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
| <b>41</b> If an election was made to use PRA 2010 funding relief for this plan:  |                                                                                                                         |
| <b>a</b> Schedule elected .....                                                  | <input type="checkbox"/> 2 plus 7 years <input type="checkbox"/> 15 years                                               |
| <b>b</b> Eligible plan year(s) for which the election in line 41a was made ..... | <input type="checkbox"/> 2008 <input type="checkbox"/> 2009 <input type="checkbox"/> 2010 <input type="checkbox"/> 2011 |

**Schedule SB, line 22 — Description of Weighted Average Retirement Age**

Each employee is assumed to retire in accordance with the table of retirement rates. The proportion of employees expected to retire at each potential retirement age is shown below. The average retirement age is 63.

| (A)<br>Retirement age | (B)<br>Retirement percent | (C)<br>Lx | (D)<br>Number of employees expected to retire<br>(B) x (C) | (E)<br>(A) x (D) |
|-----------------------|---------------------------|-----------|------------------------------------------------------------|------------------|
| 55                    | 6.00%                     | 100,000   | 6,000                                                      | 330,000          |
| 56                    | 6.00%                     | 94,000    | 5,640                                                      | 315,840          |
| 57                    | 6.00%                     | 88,360    | 5,302                                                      | 302,214          |
| 58                    | 6.00%                     | 83,058    | 4,983                                                      | 289,014          |
| 59                    | 6.00%                     | 78,075    | 4,685                                                      | 276,415          |
| 60                    | 6.00%                     | 73,390    | 4,403                                                      | 264,180          |
| 61                    | 10.00%                    | 68,987    | 6,899                                                      | 420,839          |
| 62                    | 15.00%                    | 62,088    | 9,313                                                      | 577,406          |
| 63                    | 10.00%                    | 52,775    | 5,278                                                      | 332,514          |
| 64                    | 15.00%                    | 47,497    | 7,125                                                      | 456,000          |
| 65                    | 25.00%                    | 40,372    | 10,093                                                     | 656,045          |
| 66                    | 30.00%                    | 30,279    | 9,084                                                      | 599,544          |
| 67                    | 30.00%                    | 21,195    | 6,359                                                      | 426,053          |
| 68                    | 30.00%                    | 14,836    | 4,451                                                      | 302,668          |
| 69                    | 30.00%                    | 10,385    | 3,116                                                      | 215,004          |
| 70                    | 100.00%                   | 7,269     | 7,269                                                      | 508,830          |
| Total                 |                           |           | 100,000                                                    | 6,272,566        |
| Average               |                           |           |                                                            | 62.73            |

**Schedule SB, Part V — Statement of Actuarial Assumptions/Methods****Actuarial assumptions for June 1, 2020 funding valuation**

| Discount rate sponsor elections     |                                                                                                                                                                                                                                                                            |               |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| • Segment rates or full yield curve | Segment                                                                                                                                                                                                                                                                    |               |
| • Look-back months                  | 0                                                                                                                                                                                                                                                                          |               |
| •                                   | Stabilized                                                                                                                                                                                                                                                                 | Nonstabilized |
| • First 5 years                     | 3.64%                                                                                                                                                                                                                                                                      | 2.54%         |
| • Next 15 years                     | 5.21%                                                                                                                                                                                                                                                                      | 3.61%         |
| • Over 20 years                     | 5.94%                                                                                                                                                                                                                                                                      | 4.09%         |
| Mortality sponsor elections         |                                                                                                                                                                                                                                                                            |               |
| • Healthy participants              | Section 430(h)(3) prescribed separate generational annuitant and nonannuitant mortality tables. These tables are based on the RP-2014 mortality tables backed off to 2006 projected with mortality improvement using the MP-2018 scale                                     |               |
| 417(e) lump sums                    | Liabilities are determined based on the underlying annuity used by the plan to determine the lump sum amount, rather than valuing the lump sum payment. This annuity is valued based on funding interest rates rather than 417(e) rates and unisex generational mortality. |               |
| Other economic assumptions          |                                                                                                                                                                                                                                                                            |               |
| • Salary increases                  | Age graded table. See table of rates.                                                                                                                                                                                                                                      |               |
| • Social Security wage base         | 3.00% per year                                                                                                                                                                                                                                                             |               |
| • Inflation                         | 2.25% per year                                                                                                                                                                                                                                                             |               |
| • Expected investment return        | 7.40% for 2018 and 2019, 7.00% for 2020                                                                                                                                                                                                                                    |               |
| • Expenses                          | \$1,523,183 added to current year normal cost                                                                                                                                                                                                                              |               |

**Rationale for Economic Assumptions**

- Segment Rates – This assumption is prescribed by the IRS.
- Expenses – Based on actual administrative expenses during prior year.
- Salary increases – Based on an experience study conducted in 2019 covering the pay data from January 1, 2014 to December 31, 2018. The plan sponsor believes this period will be representative of anticipated future experience.
- Expected investment return – Based on a blend of hypothetical past performance of the plan's target asset mix, adjusted for current inflation, and the median simulated investment return using capital market assumptions published in Mercer's Investment Consulting's Capital Markets Outlook for the plan's target asset mix.

**Schedule SB, Part V — Statement of Actuarial Assumptions/Methods**

| Demographic assumptions        |                                                                                                                                                                          |                            |                     |
|--------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|---------------------|
| • Withdrawal                   | See table of sample rates.                                                                                                                                               |                            |                     |
| • Disability incidence         | 1985 Pension disability study Class 1, sex distinct. See table of sample rates.                                                                                          |                            |                     |
| • Retirement age               | For Carboline Lake Charles Participants, we assume 0% retirement prior to age 62 and then 100% retirement at age 62. We assume the following for all other participants: |                            |                     |
|                                | Attained age                                                                                                                                                             | Percentage                 |                     |
|                                | Under 55                                                                                                                                                                 | 0%                         |                     |
|                                | 55                                                                                                                                                                       | 6.00%                      |                     |
|                                | 56                                                                                                                                                                       | 6.00%                      |                     |
|                                | 57                                                                                                                                                                       | 6.00%                      |                     |
|                                | 58                                                                                                                                                                       | 6.00%                      |                     |
|                                | 59                                                                                                                                                                       | 6.00%                      |                     |
|                                | 60                                                                                                                                                                       | 6.00%                      |                     |
|                                | 61                                                                                                                                                                       | 10.00%                     |                     |
|                                | 62                                                                                                                                                                       | 15.00%                     |                     |
|                                | 63                                                                                                                                                                       | 10.00%                     |                     |
|                                | 64                                                                                                                                                                       | 15.00%                     |                     |
|                                | 65                                                                                                                                                                       | 25.00%                     |                     |
|                                | 66                                                                                                                                                                       | 30.00%                     |                     |
|                                | 67                                                                                                                                                                       | 30.00%                     |                     |
|                                | 68                                                                                                                                                                       | 30.00%                     |                     |
|                                | 69                                                                                                                                                                       | 30.00%                     |                     |
|                                | 70 and above                                                                                                                                                             | 100%                       |                     |
| • Benefit commencement age for | <b>Below Age 55</b>                                                                                                                                                      | <b>Age 55 and above</b>    |                     |
| — Future vested deferred       | 55                                                                                                                                                                       | 70                         |                     |
| — Current vested deferred      | 55                                                                                                                                                                       | 70                         |                     |
| • Spouse assumptions           | <b>Male participants</b>                                                                                                                                                 | <b>Female participants</b> |                     |
| — Percentage married           | 85%                                                                                                                                                                      | 75%                        |                     |
| — Spouse age difference        | 2 years younger                                                                                                                                                          | 2 years older              |                     |
| <b>Form of payment – Males</b> | <b>Lump sum</b>                                                                                                                                                          | <b>Single life</b>         | <b>100% J&amp;S</b> |
| • Active retirements           | 85%                                                                                                                                                                      | 5%                         | 10%                 |
| • Future vested deferred       | 85%                                                                                                                                                                      | 5%                         | 10%                 |
| • Future disabilities          | 85%                                                                                                                                                                      | 5%                         | 10%                 |
| • Future deaths                | 85%                                                                                                                                                                      | 15%                        | 0%                  |
| • Current vested deferred      | 85%                                                                                                                                                                      | 5%                         | 10%                 |

**Schedule SB, Part V — Statement of Actuarial Assumptions/Methods**

|                                                   |                |
|---------------------------------------------------|----------------|
| <b>Unpredictable contingent event assumptions</b> | Not applicable |
|---------------------------------------------------|----------------|

**Table of sample rates**  
**Withdrawal Rates**

| Attained age | Percentage |        |        |        |        |         |
|--------------|------------|--------|--------|--------|--------|---------|
|              | Year 0     | Year 1 | Year 2 | Year 3 | Year 4 | Year 5+ |
| 20           | 50.54%     | 44.84% | 41.04% | 25.48% | 19.36% | 17.81%  |
| 25           | 43.89      | 38.19  | 34.39  | 20.93  | 15.51  | 13.26   |
| 30           | 38.00      | 32.30  | 28.50  | 16.90  | 12.10  | 9.23    |
| 35           | 35.72      | 30.02  | 26.22  | 15.34  | 10.78  | 7.80    |
| 40           | 33.82      | 28.12  | 24.32  | 14.04  | 9.68   | 7.15    |
| 45           | 31.92      | 26.22  | 22.42  | 12.74  | 8.91   | 6.50    |
| 50           | 30.02      | 24.32  | 20.52  | 11.96  | 8.36   | 5.85    |
| 55           | 28.12      | 22.61  | 19.57  | 11.31  | 7.81   | 5.20    |
| 60           | 26.41      | 21.66  | 18.62  | 10.66  | 7.26   | 4.55    |

**Schedule SB, Part V — Statement of Actuarial Assumptions/Methods****Disability Incidence**

| Attained age | Percentage |        |
|--------------|------------|--------|
|              | Male       | Female |
| 20           | 0.03%      | 0.03%  |
| 25           | 0.04%      | 0.05%  |
| 30           | 0.05%      | 0.08%  |
| 35           | 0.07%      | 0.14%  |
| 40           | 0.12%      | 0.21%  |
| 45           | 0.20%      | 0.32%  |
| 50           | 0.36%      | 0.53%  |
| 55           | 0.72%      | 0.95%  |
| 60           | 1.26%      | 1.16%  |
| 65           | 1.75%      | 1.36%  |
| 70           | 0.00%      | 0.00%  |

**Salary Rates**

| Attained age | Percentage Increase |
|--------------|---------------------|
| 20-29        | 6.50%               |
| 30-34        | 5.50%               |
| 35-44        | 4.50%               |
| 45-54        | 3.50%               |
| 55-59        | 3.00%               |
| 60-70        | 2.50%               |

**Schedule SB, Part V — Statement of Actuarial Assumptions/Methods****Rationale for demographic assumptions**

- **Mortality** – This assumption is prescribed by the IRS.
- **Withdrawal** – The withdrawal rates were developed based on an experience study in 2019 using data from June 1, 2015 through June 1, 2019 valuations. The plan sponsor believes that this period will be representative of anticipated future experience.
- **Retirement Rates** – The retirement rates are based on an experience study undertaken in 2019 using data from June 1, 2015 through June 1, 2019 valuations and the expectation that the future retirement patterns and circumstances of the employer will not differ significantly from the period studied. The plan sponsor believes that this period will be representative of anticipated future experience.
- **Form of Payment** – The optional payment elections are based on an experience study conducted in 2019 based on data from June 1, 2015 through June 1, 2019 valuations. The plan sponsor believes that this period will be representative of anticipated future experience.

**Actuarial methods****Asset methods**

The asset valuation method is an average of the adjusted market value for each month/quarter/year during the last two years preceding the valuation date. The adjusted market value is the market value at each determination date adjusted to the valuation date based on actual cash flows and expected interest at the lesser of the expected rate of return and the third segment rate. This amount is adjusted to be no greater than 110% and no less than 90% of the fair market value, as defined in IRC Section 430.

A characteristic of this asset method is that, over time, it is slightly more likely to produce an actuarial value of assets that is less than the market value of assets than an actuarial value that is greater than the market value.

**Participant methods**

Participants or former participants are included or excluded from the valuation as described below:

- **Participants included:** Only those employees who have completed the plan's eligibility requirements are included in the valuation of liabilities.
- **Participants excluded:** No actuarial liability is included for nonvested participants who terminated prior to the valuation date. For this purpose, participants with a break in service on the valuation date are treated as terminated participants.
- **Insurance contracts:** The plan does not have any insurance contracts.

**Minimum funding methods- Effective June 1, 2008**

The funding target for minimum funding calculations is computed using the traditional unit credit method of funding. The objective under this method is to fund each participant's benefits under the plan as they accrue. Thus, the total pension to which each participant is expected to become entitled at retirement is broken down into units, each associated with a year of past or future credited service.

A detailed description of the calculation follows:

- The plan's valuation date is the beginning of the plan year.

***Schedule SB, Part V — Statement of Actuarial Assumptions/Methods***

- An individual's **funding target** is the present value of future benefits based on credited service and average pay as of the beginning of the plan year, and an individual's **target normal cost** is the present value of the benefit expected to accrue in the plan year. If multiple decrements are used, the funding target and the target normal cost for an individual is the sum of the component funding targets and target normal costs associated with the various anticipated separation dates.
- This plan provides benefits that are not a function of a participant's accrued benefit or years of service. This benefit is allocated to funding target based on the ratio of the participant's service at the beginning of the plan year to their service at each decrement age and is allocated to target normal cost based on the proportionate benefit attributable to the increase in the participant's service and compensation during the plan year.
- The plan's **target normal cost** is the sum of the individual target normal costs, and the plan's **funding target** is the sum of the individual funding targets for all participants under the plan

**Schedule SB, Part V — Summary of Plan Provisions****Plan provisions****Benefits included or excluded**

Unless noted below, all benefits provided by the plan, as restated and amended through Amendment No. 13.

- **Most recent plan amendments included:** Amendment No. 10 is included because it was adopted by the valuation date and is effective by the end of the plan year.
- **Plan amendments excluded:** Amendments No. 11, 12, and 13 are excluded because they were adopted and effective after the valuation date and have no impact on the plan as of the valuation date. Note that Amendments No. 11, 12, and 13 will be reflected starting with the June 1, 2021 valuation.
- **Late retirement increases:**
  - *Active and deferred vested participants:* The plan applies late retirement actuarial increases for all participants who defer retirement beyond their normal retirement date (including those assumed to do so) and this valuation includes those increases. The valuation uses the plan's current definition of actuarial equivalence for late retirements (417e mortality and 7.00% interest) and projects mortality out by the average future working lifetime of active employees to reflect the expectation of continued mortality improvements out beyond the valuation date for purposes of estimating late retirement increases.
- **Internal Revenue Code limitations:** The limitations of Internal Revenue Code Section 415(b) and 401(a)(17) have been incorporated into our calculations.
- **IRC Section 416 rules for top-heavy plans:** We did not test whether this plan is top-heavy (when the present value of benefits for key employees equals or exceeds 60% of the present value for all participants). However, we expect that the plan is not top-heavy due to the large number of rank-and-file participants; therefore, the funding target and target normal cost do not reflect any liability for top-heavy benefit accruals.
- **IRC Section 436 benefit restrictions:**
  - Unpredictable contingent event benefits: We are not aware of any contingent event benefits that are expected to occur on or after the valuation date.
  - Plan amendments: See above.
  - Prohibited payments: Limitations on prohibited benefits (if any) are reflected for annuity starting dates before the valuation date but are ignored for annuity starting dates on or after the valuation date.
  - Benefit accruals: The plan's funding target does not reflect any limitation on benefit accruals. The target normal cost does not reflect any limitation on benefit accruals.
- **Unpredictable contingent event benefits:** The plan does not have any unpredictable contingent event benefits.

**Schedule SB, Part V — Summary of Plan Provisions****Summary of major plan provisions**

|                                                         |                                                                                                                                                                                                                                                                                                                                                              |
|---------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Effective date and plan year</b>                     | Original plan: June 1, 1989<br>Restated plan: June 1, 2014<br>Plan year: June 1 - May 31                                                                                                                                                                                                                                                                     |
| <b>Most recent amendment</b>                            | Amendment No. 13                                                                                                                                                                                                                                                                                                                                             |
| <b>Status of the plan</b>                               | The plan has ongoing benefit accruals and new employees are eligible to participate in the plan once they satisfy the participation requirements.                                                                                                                                                                                                            |
| <b>Significant events that occurred during the year</b> | None                                                                                                                                                                                                                                                                                                                                                         |
| <b>Definitions</b>                                      |                                                                                                                                                                                                                                                                                                                                                              |
| • Participation                                         | All non-union covered employees become participants on the first June 1 or December 1 upon attainment of age 21 and completion of 1,000 hours in a 12-month period, or the participating employer's adoption date as set forth in the Plan.                                                                                                                  |
| • Vesting service                                       | Vesting Service is computed on an elapsed time basis, rounded to the nearest month, from date of hire. There are certain exemptions for service prior to the adoption date for acquired companies that had preexisting defined benefit plans.                                                                                                                |
| • Benefit service                                       | Benefit Service is computed in the same manner as Vesting Service except for participants in predecessor defined contribution plans, service prior to the employer's adoption date is not counted.<br><br>The following summarizes the Benefit Service effective dates for the various locations considered in the valuation if different from date of hire: |

| <b>Company</b>                        | <b>Earliest Date of Benefit Service</b> |
|---------------------------------------|-----------------------------------------|
| A/D Fire                              | 01/01/2006                              |
| Alox Corporation                      | 08/01/1977                              |
| American Emulsions Co., Inc.          | 06/01/1989                              |
| Applied Polymerics                    | 07/07/2016                              |
| Arnette Polymers                      | 01/03/2017                              |
| Arrmax                                | 04/18/2000                              |
| Bomat                                 | 01/02/2015                              |
| Bondo/Mar-Hyde Corporation (Atl.)     | 08/01/1993                              |
| Bondo/Mar-Hyde Corporation (Cinci.)   | 06/01/1989                              |
| Boral                                 | 06/30/2003                              |
| Carboline Company                     | 06/01/1989                              |
| Carboline – Finnaren and Haley        | 06/01/2007                              |
| Carboline – Nu-Chem                   | 11/21/2006                              |
| CFM                                   | 01/27/2016                              |
| Chemical Coatings, Inc.               | 06/01/1991                              |
| Chemical Specialties Mfg. Corp.       | 06/01/1989                              |
| Citadel                               | 01/22/2014                              |
| Concept Packaging                     | 06/26/2015                              |
| Consolidated Coatings Corporation     | 09/15/1989                              |
| Craft House Corporation               | 06/01/1989                              |
| DAP Foam                              | 12/30/2016                              |
| Design Craft West                     | 12/01/1993                              |
| Dri-Eaz                               | 01/01/2012                              |
| Dryvit Systems, Inc.                  | 10/01/1995                              |
| Euclid Chemical Company               | 01/01/1999                              |
| Euclid Chemical – Boral               | 07/01/2003                              |
| Euclid Chemical – Increte             | 03/10/2008                              |
| Euclid Chemical – TAMMS               | 01/11/2006                              |
| Expanko                               | 09/03/2013                              |
| Fibercrete                            | 07/07/2016                              |
| Fibergrate Composite Structures, Inc. | 07/01/1996                              |

**Schedule SB, Part V — Summary of Plan Provisions**

|                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                          |
|-------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
|                         | Finishworks                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 07/01/2012               |
|                         | Flecto                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 01/01/1999               |
|                         | Floquil-Poly S Color Corp.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 06/01/1989               |
|                         | Flowcrete                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 04/05/2008               |
|                         | Guardian                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 01/01/2001               |
|                         | Holton Food Products                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 02/10/2016               |
|                         | ICForm Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 01/01/2019               |
|                         | Key Resin                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 07/20/2017               |
|                         | KTF Enterprises Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 06/26/2015               |
|                         | Kirker Enterprises                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 01/01/2013               |
|                         | Kirker (Former Union)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 06/05/2013               |
|                         | Kop-Coat, Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 04/01/1991               |
|                         | Krud Kutter                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 01/01/2015               |
|                         | Legend Brands                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 01/01/2012               |
|                         | Miracle Sealants                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 01/01/2019               |
|                         | Modern Masters                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 01/01/2001               |
|                         | Mohawk Finishing Products, Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 06/01/1989               |
|                         | MSI                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 06/01/2011               |
|                         | National Building Facility Services                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 05/04/2005               |
|                         | Nudura                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 01/01/2019               |
|                         | Paramount                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 01/01/1991               |
|                         | Plasite Protective Coatings                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 07/01/1990               |
|                         | Prime Resin                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 01/13/2017               |
|                         | ProChem                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 12/30/2016               |
|                         | ProRestore                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 01/01/2012               |
|                         | PSI                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 02/07/2011               |
|                         | Republic Single Ply                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 06/01/1989               |
|                         | Richard E. Thibaut, Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 06/01/1989               |
|                         | Sapphire Scientific                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 01/01/2012               |
|                         | Seal Krete                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 03/02/2016               |
|                         | Sealex                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 04/01/2016               |
|                         | Sentry                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 06/01/1992               |
|                         | Simian Technologies, Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 01/01/1995 or 04/01/1995 |
|                         | Siamons                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 01/01/2019               |
|                         | Specialty Polymer Coatings (SPC)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 09/08/2016               |
|                         | Star Finishing Products, Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 09/01/1995               |
|                         | Stonhard, Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 11/01/1993               |
|                         | Synta                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 10/01/2012               |
|                         | TCI Powder Coatings                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 02/01/1996               |
|                         | Testor Corporation (non-union)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 06/01/1989               |
|                         | Tevco                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 01/01/2013               |
|                         | Tremco Barrier Solutions                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 04/01/2003               |
|                         | Tremco, Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 02/01/1997               |
|                         | XIM                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 06/17/2013               |
|                         | Westfield Coatings                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 06/01/1989               |
|                         | Whink                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 12/01/2017               |
| • Actuarial Equivalence | <p>Benefits other than lump sum payments: PPA mortality and an interest rate of 7.0% per annum.</p> <p>Lump Sum Payments: PPA mortality and interest rates equal to the 3-tiered minimum present value segment rates for the month that is two months prior to the first day of the calendar quarter in which a distribution is made, reflecting the appropriate phase-ins.</p> <p>For pre-2018 late retirement adjustments, the mortality assumption is the PPA mortality table projected to 2020 using Mortality Projection Scale AA. For late retirement adjustments after January 1, 2018, the mortality assumption is the applicable 417(e) mortality table in effect at the late retirement date.</p> |                          |
| • Compensation          | <p>W-2 during calendar year, increased for salary reduction amounts which are excluded from taxable income under Code Sections 125(a), (including amounts deemed to be contributions under Code Section 125), 132(f)(4), 402(e)(3) and 402(h), and decreased for any auto allowances, general business expense allowances, and taxable life insurance amounts. Effective January 1, 2009, any “differential wage payments” (as described in Section</p>                                                                                                                                                                                                                                                     |                          |

**Schedule SB, Part V — Summary of Plan Provisions**

|                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                        | 3401(h)(2) of the Code) paid with respect to Military Service shall be considered Compensation for purposes of the Plan. Severance payments are not considered Compensation under the Plan.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| • Average Compensation | Average Compensation is the average of total compensation over the 60 consecutive months that produce the highest average in the 120 months prior to retirement or termination. Effective January 1, 1989 for all formulas, annual compensation is limited to \$200,000 (indexed); such cap on pensionable earnings was decreased to \$150,000 (indexed) effective January 1, 1994 and in 2001 is \$170,000, in 2002 and 2003 is \$200,000, in 2004 is \$205,000, in 2005 is \$210,000, in 2006 is \$220,000, in 2007 is \$225,000, in 2008 is \$230,000, in 2009 through 2011 is \$245,000, in 2012 is \$250,000, in 2013 is \$255,000, in 2014 is \$260,000, in 2015 is \$265,000, in 2016, 2017 is 270,000, 2018 is 275,000, 2019 is \$280,000. For January 1, 2020, the cap on pensionable earnings is \$285,000. |
| • Covered Compensation | Social Security Covered Compensation is one twelfth of the Social Security taxable Wage Bases in effect for the 35 years ending with the year the participant would attain Social Security Normal Retirement Age (SSNRA), assuming, in the case of a participant who retires or terminates before SSNRA that the Wage Base then in effect continues unchanged until his SSNRA.                                                                                                                                                                                                                                                                                                                                                                                                                                        |

**Normal retirement**

|               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|---------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| • Eligibility | Eligible at attainment of age 65 and 5 years of participation in the Plan.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| • Benefit     | <p>A monthly benefit, payable on a straight-life basis, equal to the greater of:</p> <ul style="list-style-type: none"> <li>– 22.5% of Average Compensation times the ratio of Benefit Service to Normal Retirement Date (maximum 30 years) over 30 plus 22.5% of Average Compensation in excess of Social Security Covered Compensation times the ratio of Benefit Service to Normal Retirement Date (maximum 35 years) over 35; and</li> <li>– \$20.00 times Benefit Service</li> </ul> <p>For participants who participated in predecessor pension plans, there are minimum benefits based upon the plan provisions in effect prior to the date of adoption of each plan. For certain Mantrose-Haueser participants, there is also a benefit offset from a predecessor pension plan.</p> <p>For Day-Glo participants, there is an additional minimum benefit equal to the accrued predecessor plan benefit as of May 31, 1992 plus, for service and salary beginning June 1, 1992 through May 31, 2002, 1.4% times career average compensation.</p> <p>For Rust-Oleum participants whose date of birth occurred December 31, 1940 or earlier and who were hired prior to May 31, 1994, there is an additional minimum benefit equal to the accrued predecessor plan benefit as of May 31, 1995, plus, for service and salary beginning June 1, 1995, 2% times career average compensation. This dynamic minimum will be in effect until May 31, 2005.</p> <p>For Testor Union Participants, the normal retirement benefit is equal to \$21.00 times credited service, held to a maximum of 30 years, earned through May 31, 1995. All benefits for these participants are frozen as of May 31, 1995.</p> <p>For DAP participants whose date of hire is prior to December 31, 1999, there is an additional minimum benefit equal to the accrued predecessor plan benefit as of December 31, 1999. The cash balance portion of the predecessor plan benefit will continue to grow with interest. The interest</p> |

**Schedule SB, Part V — Summary of Plan Provisions**

crediting rate will be determined for each Plan Year and the effective annual rate for a Plan Year shall be set at a rate equal to the average of the yield on 10-year Treasury Constant Maturities for the months of September, October and November of the calendar year immediately preceding the Plan Year; provided, however, that if such average yield is less than 5.00%, then 5.00% shall be substituted for such yield for the applicable Plan Year.

**Early retirement**

- **Eligibility** A Plan participant is eligible for an Early Retirement Benefit at any age and the completion of 5 years of Vesting Service
- **Benefit** The Early Retirement Benefit is equal to the Normal Retirement Benefit multiplied by Benefit Service at date of termination and divided by Benefit Service to Normal Retirement Date, payable starting at Normal Retirement date, or payable starting at early retirement date on a reduced basis. The early retirement factor is the greater of the factor from the table below or the factor based on the plan's actuarial equivalence basis.

| Age at Commencement | % of Accrued Benefit |
|---------------------|----------------------|
| 55                  | 48.5%                |
| 56                  | 52.5                 |
| 57                  | 56.5                 |
| 58                  | 60.5                 |
| 59                  | 64.5                 |
| 60                  | 68.5                 |
| 61                  | 73.0                 |
| 62                  | 77.5                 |
| 63                  | 85.0                 |
| 64                  | 92.5                 |
| 65                  | 100.0                |

A plan participant is entitled to an unreduced Early Retirement Benefit at any age upon completion of 40 years of Vesting Service.

**Late retirement**

- **Eligibility** A plan participant is eligible for a Late Retirement Benefit on the first day of the month coinciding with or next following the date of Normal Retirement.
- **Benefit** The Late Retirement Benefit is equal to the greater of: (1) the actuarial equivalent of the Normal Retirement Benefit and (2) the benefit calculated in the same manner as the Normal Retirement Benefit, but based on Average Compensation, Social Security Covered Compensation, and Benefit Service on the date of retirement.

**Vested termination**

- **Eligibility** A Plan participant is eligible for a Vested Termination Benefit at any age and the completion of 5 years of Vesting Service.
- **Benefit** The Vested Termination Benefit is equal to the Normal Retirement Benefit multiplied by Benefit Service at date of termination and divided by Benefit Service to Normal Retirement Date, payable as under Early Retirement.

**Disability**

- **Eligibility** A Plan participant is eligible for a Disability Benefit when he is eligible for disability benefits under the long term disability plan maintained by his employer.
- **Benefit** The Disability Benefit is equal to the accrued benefit based on Average Compensation at the date of disability and Benefit Service accrued through

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|                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                | the benefit commencement date. Benefit Service continues to be earned during the period of disability.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>Pre-retirement death</b>                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| • Eligibility                                  | A participant is entitled to a Pre-Retirement Death Benefit if death occurs after attaining a vested right (whether active or terminated) and before commencement of retirement benefits.                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| • Benefit                                      | The Pre-Retirement Death Benefit, payable to the surviving spouse, if any, is equal to the monthly pension at retirement age that would have been payable had the participant survived to retirement age with the Accrued Normal Retirement Benefit at the time of death, retired under the 50% Joint and Contingent Annuitant Annuity form of payment and then died. If death occurs after age 60 and completion of 20 years of Vesting Service, but before commencement of retirement benefits, the participant's designated beneficiary will be entitled to the lump sum equivalent of the participant's accrued Normal Retirement Benefit at date of death. |
| <b>Unpredictable contingent event benefits</b> | Not applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>Form of benefits</b>                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| • Automatic form for unmarried participants    | Unless otherwise elected, any benefit payable under the Plan shall be paid on a straight-life basis.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| • Automatic form for married participants      | Unless otherwise elected, any benefit payable under the Plan shall be paid on a 50% Joint and Contingent Annuitant Annuity basis which is actuarial equivalent of the straight life form.                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| • Optional forms                               | An employee commencing benefits may elect to have his lifetime benefit payable on an actuarially equivalent 50%, 75% or 100% Joint and Contingent Annuitant Annuity basis, a 5-Year, 10-Year or 15-Year Certain and Life Annuity, basis or a single lump sum basis, each of which is the actuarial equivalent of the lifetime form.                                                                                                                                                                                                                                                                                                                             |
| <b>Miscellaneous</b>                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| • Maximum compensation                         | Compensation for any 12-month period used to determine accrued benefits may not exceed the limits in IRC Section 401(a)(17) for the calendar year in which the 12-month period begins. This limit is indexed annually. For 2020, the limit is \$285,000.                                                                                                                                                                                                                                                                                                                                                                                                        |
| • Maximum benefits                             | Annual benefits may not exceed the limits in IRC Section 415. This limit is indexed annually. For 2020, the limit is \$230,000.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |

**Plan provision changes since prior valuation**

Maximum compensation amounts and maximum benefit amounts under IRS rules were updated from 2019 to 2020.

***Schedule SB, Part V — Summary of Plan Provisions***

**Actuarial assumption changes since prior valuation**

- Interest discounts and mortality rates were updated from 2019 to 2020 in accordance with PPA.
- The expense component of normal cost increased from \$1,454,545 to \$1,523,183 to reflect our expectations for the current plan year.

**Schedule SB, line 26 — Schedule of Active Participant Data**

| Attained age | Years of credited service |        |        |         |         |         |         |         |       |         |       |
|--------------|---------------------------|--------|--------|---------|---------|---------|---------|---------|-------|---------|-------|
|              | Under 1                   | 1–4    | 5–9    | 10–14   | 15–19   | 20–24   | 25–29   | 30–34   | 35–39 | 40 & up | Total |
| Under 25     | 4                         | 180    | 2      |         |         |         |         |         |       |         | 186   |
|              |                           | 39,492 |        |         |         |         |         |         |       |         |       |
| 25–29        | 6                         | 404    | 84     | 1       |         |         |         |         |       |         | 495   |
|              |                           | 50,747 | 62,497 |         |         |         |         |         |       |         |       |
| 30–34        | 7                         | 443    | 196    | 49      | 3       |         |         |         |       |         | 698   |
|              |                           | 62,231 | 78,988 | 69,482  |         |         |         |         |       |         |       |
| 35–39        | 14                        | 397    | 201    | 110     | 56      | 1       |         |         |       |         | 779   |
|              |                           | 65,105 | 80,532 | 91,030  | 86,793  |         |         |         |       |         |       |
| 40–44        | 6                         | 331    | 206    | 126     | 82      | 33      | 1       |         |       |         | 785   |
|              |                           | 68,034 | 92,331 | 99,189  | 111,148 | 101,580 |         |         |       |         |       |
| 45–49        | 10                        | 294    | 179    | 129     | 99      | 99      | 16      | 1       |       |         | 827   |
|              |                           | 73,023 | 85,792 | 98,795  | 107,324 | 112,327 |         |         |       |         |       |
| 50–54        | 6                         | 303    | 202    | 123     | 99      | 140     | 44      | 13      | 1     |         | 931   |
|              |                           | 78,524 | 84,755 | 96,117  | 99,619  | 118,067 | 133,196 |         |       |         |       |
| 55–59        | 9                         | 261    | 190    | 101     | 105     | 160     | 61      | 24      | 16    | 3       | 930   |
|              |                           | 84,376 | 88,014 | 106,097 | 110,099 | 127,911 | 126,394 | 139,307 |       |         |       |
| 60–64        | 4                         | 143    | 128    | 91      | 81      | 121     | 44      | 28      | 16    | 8       | 664   |
|              |                           | 78,880 | 86,396 | 88,288  | 99,383  | 121,178 | 114,068 | 111,406 |       |         |       |
| 65–69        |                           | 37     | 50     | 38      | 28      | 48      | 14      | 8       | 3     | 7       | 233   |
|              |                           | 87,923 | 67,828 | 87,439  | 105,675 | 103,828 |         |         |       |         |       |
| 70 & up      | 1                         | 11     | 14     | 6       | 5       | 6       | 2       | 1       | 1     | 3       | 50    |
| Total        | 67                        | 2,804  | 1,452  | 774     | 558     | 608     | 182     | 75      | 37    | 21      | 6,578 |

In each cell, the top number is the count of active participants for each age/service combination and the bottom number is average pay for 2019 limited to \$280,000. Average pay is not shown for plans with less than 1,000 active participants or cells with fewer than 20 participants.

The chart above excludes 20 Carboline Lake Charles participants with frozen benefits.

**Schedule SB, line 22 — Description of Weighted Average Retirement Age**

Each employee is assumed to retire in accordance with the table of retirement rates. The proportion of employees expected to retire at each potential retirement age is shown below. The average retirement age is 63.

| (A)<br>Retirement age | (B)<br>Retirement percent | (C)<br>Lx | (D)<br>Number of employees expected to retire<br>(B) x (C) | (E)<br>(A) x (D) |
|-----------------------|---------------------------|-----------|------------------------------------------------------------|------------------|
| 55                    | 6.00%                     | 100,000   | 6,000                                                      | 330,000          |
| 56                    | 6.00%                     | 94,000    | 5,640                                                      | 315,840          |
| 57                    | 6.00%                     | 88,360    | 5,302                                                      | 302,214          |
| 58                    | 6.00%                     | 83,058    | 4,983                                                      | 289,014          |
| 59                    | 6.00%                     | 78,075    | 4,685                                                      | 276,415          |
| 60                    | 6.00%                     | 73,390    | 4,403                                                      | 264,180          |
| 61                    | 10.00%                    | 68,987    | 6,899                                                      | 420,839          |
| 62                    | 15.00%                    | 62,088    | 9,313                                                      | 577,406          |
| 63                    | 10.00%                    | 52,775    | 5,278                                                      | 332,514          |
| 64                    | 15.00%                    | 47,497    | 7,125                                                      | 456,000          |
| 65                    | 25.00%                    | 40,372    | 10,093                                                     | 656,045          |
| 66                    | 30.00%                    | 30,279    | 9,084                                                      | 599,544          |
| 67                    | 30.00%                    | 21,195    | 6,359                                                      | 426,053          |
| 68                    | 30.00%                    | 14,836    | 4,451                                                      | 302,668          |
| 69                    | 30.00%                    | 10,385    | 3,116                                                      | 215,004          |
| 70                    | 100.00%                   | 7,269     | 7,269                                                      | 508,830          |
| Total                 |                           |           | 100,000                                                    | 6,272,566        |
| Average               |                           |           |                                                            | 62.73            |

**Schedule SB, Part V — Summary of Plan Provisions****Plan provisions****Benefits included or excluded**

Unless noted below, all benefits provided by the plan, as restated and amended through Amendment No. 13.

- **Most recent plan amendments included:** Amendment No. 10 is included because it was adopted by the valuation date and is effective by the end of the plan year.
- **Plan amendments excluded:** Amendments No. 11, 12, and 13 are excluded because they were adopted and effective after the valuation date and have no impact on the plan as of the valuation date. Note that Amendments No. 11, 12, and 13 will be reflected starting with the June 1, 2021 valuation.
- **Late retirement increases:**
  - *Active and deferred vested participants:* The plan applies late retirement actuarial increases for all participants who defer retirement beyond their normal retirement date (including those assumed to do so) and this valuation includes those increases. The valuation uses the plan's current definition of actuarial equivalence for late retirements (417e mortality and 7.00% interest) and projects mortality out by the average future working lifetime of active employees to reflect the expectation of continued mortality improvements out beyond the valuation date for purposes of estimating late retirement increases.
- **Internal Revenue Code limitations:** The limitations of Internal Revenue Code Section 415(b) and 401(a)(17) have been incorporated into our calculations.
- **IRC Section 416 rules for top-heavy plans:** We did not test whether this plan is top-heavy (when the present value of benefits for key employees equals or exceeds 60% of the present value for all participants). However, we expect that the plan is not top-heavy due to the large number of rank-and-file participants; therefore, the funding target and target normal cost do not reflect any liability for top-heavy benefit accruals.
- **IRC Section 436 benefit restrictions:**
  - Unpredictable contingent event benefits: We are not aware of any contingent event benefits that are expected to occur on or after the valuation date.
  - Plan amendments: See above.
  - Prohibited payments: Limitations on prohibited benefits (if any) are reflected for annuity starting dates before the valuation date but are ignored for annuity starting dates on or after the valuation date.
  - Benefit accruals: The plan's funding target does not reflect any limitation on benefit accruals. The target normal cost does not reflect any limitation on benefit accruals.
- **Unpredictable contingent event benefits:** The plan does not have any unpredictable contingent event benefits.

**Schedule SB, Part V — Summary of Plan Provisions****Summary of major plan provisions**

|                                                         |                                                                                                                                                                                                                                                                                                                                                              |
|---------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Effective date and plan year</b>                     | Original plan: June 1, 1989<br>Restated plan: June 1, 2014<br>Plan year: June 1 - May 31                                                                                                                                                                                                                                                                     |
| <b>Most recent amendment</b>                            | Amendment No. 13                                                                                                                                                                                                                                                                                                                                             |
| <b>Status of the plan</b>                               | The plan has ongoing benefit accruals and new employees are eligible to participate in the plan once they satisfy the participation requirements.                                                                                                                                                                                                            |
| <b>Significant events that occurred during the year</b> | None                                                                                                                                                                                                                                                                                                                                                         |
| <b>Definitions</b>                                      |                                                                                                                                                                                                                                                                                                                                                              |
| • Participation                                         | All non-union covered employees become participants on the first June 1 or December 1 upon attainment of age 21 and completion of 1,000 hours in a 12-month period, or the participating employer's adoption date as set forth in the Plan.                                                                                                                  |
| • Vesting service                                       | Vesting Service is computed on an elapsed time basis, rounded to the nearest month, from date of hire. There are certain exemptions for service prior to the adoption date for acquired companies that had preexisting defined benefit plans.                                                                                                                |
| • Benefit service                                       | Benefit Service is computed in the same manner as Vesting Service except for participants in predecessor defined contribution plans, service prior to the employer's adoption date is not counted.<br><br>The following summarizes the Benefit Service effective dates for the various locations considered in the valuation if different from date of hire: |

| <b>Company</b>                        | <b>Earliest Date of Benefit Service</b> |
|---------------------------------------|-----------------------------------------|
| A/D Fire                              | 01/01/2006                              |
| Alox Corporation                      | 08/01/1977                              |
| American Emulsions Co., Inc.          | 06/01/1989                              |
| Applied Polymerics                    | 07/07/2016                              |
| Arnette Polymers                      | 01/03/2017                              |
| Arrmax                                | 04/18/2000                              |
| Bomat                                 | 01/02/2015                              |
| Bondo/Mar-Hyde Corporation (Atl.)     | 08/01/1993                              |
| Bondo/Mar-Hyde Corporation (Cinci.)   | 06/01/1989                              |
| Boral                                 | 06/30/2003                              |
| Carboline Company                     | 06/01/1989                              |
| Carboline – Finnaren and Haley        | 06/01/2007                              |
| Carboline – Nu-Chem                   | 11/21/2006                              |
| CFM                                   | 01/27/2016                              |
| Chemical Coatings, Inc.               | 06/01/1991                              |
| Chemical Specialties Mfg. Corp.       | 06/01/1989                              |
| Citadel                               | 01/22/2014                              |
| Concept Packaging                     | 06/26/2015                              |
| Consolidated Coatings Corporation     | 09/15/1989                              |
| Craft House Corporation               | 06/01/1989                              |
| DAP Foam                              | 12/30/2016                              |
| Design Craft West                     | 12/01/1993                              |
| Dri-Eaz                               | 01/01/2012                              |
| Dryvit Systems, Inc.                  | 10/01/1995                              |
| Euclid Chemical Company               | 01/01/1999                              |
| Euclid Chemical – Boral               | 07/01/2003                              |
| Euclid Chemical – Increte             | 03/10/2008                              |
| Euclid Chemical – TAMMS               | 01/11/2006                              |
| Expanko                               | 09/03/2013                              |
| Fibercrete                            | 07/07/2016                              |
| Fibergrate Composite Structures, Inc. | 07/01/1996                              |

**Schedule SB, Part V — Summary of Plan Provisions**

|                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                          |
|-------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
|                         | Finishworks                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 07/01/2012               |
|                         | Flecto                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 01/01/1999               |
|                         | Floquil-Poly S Color Corp.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 06/01/1989               |
|                         | Flowcrete                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 04/05/2008               |
|                         | Guardian                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 01/01/2001               |
|                         | Holton Food Products                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 02/10/2016               |
|                         | ICForm Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 01/01/2019               |
|                         | Key Resin                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 07/20/2017               |
|                         | KTF Enterprises Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 06/26/2015               |
|                         | Kirker Enterprises                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 01/01/2013               |
|                         | Kirker (Former Union)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 06/05/2013               |
|                         | Kop-Coat, Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 04/01/1991               |
|                         | Krud Kutter                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 01/01/2015               |
|                         | Legend Brands                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 01/01/2012               |
|                         | Miracle Sealants                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 01/01/2019               |
|                         | Modern Masters                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 01/01/2001               |
|                         | Mohawk Finishing Products, Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 06/01/1989               |
|                         | MSI                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 06/01/2011               |
|                         | National Building Facility Services                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 05/04/2005               |
|                         | Nudura                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 01/01/2019               |
|                         | Paramount                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 01/01/1991               |
|                         | Plasite Protective Coatings                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 07/01/1990               |
|                         | Prime Resin                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 01/13/2017               |
|                         | ProChem                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 12/30/2016               |
|                         | ProRestore                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 01/01/2012               |
|                         | PSI                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 02/07/2011               |
|                         | Republic Single Ply                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 06/01/1989               |
|                         | Richard E. Thibaut, Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 06/01/1989               |
|                         | Sapphire Scientific                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 01/01/2012               |
|                         | Seal Krete                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 03/02/2016               |
|                         | Sealex                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 04/01/2016               |
|                         | Sentry                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 06/01/1992               |
|                         | Simian Technologies, Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 01/01/1995 or 04/01/1995 |
|                         | Siamons                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 01/01/2019               |
|                         | Specialty Polymer Coatings (SPC)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 09/08/2016               |
|                         | Star Finishing Products, Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 09/01/1995               |
|                         | Stonhard, Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 11/01/1993               |
|                         | Synta                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 10/01/2012               |
|                         | TCI Powder Coatings                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 02/01/1996               |
|                         | Testor Corporation (non-union)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 06/01/1989               |
|                         | Tevco                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 01/01/2013               |
|                         | Tremco Barrier Solutions                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 04/01/2003               |
|                         | Tremco, Inc.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 02/01/1997               |
|                         | XIM                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 06/17/2013               |
|                         | Westfield Coatings                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 06/01/1989               |
|                         | Whink                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 12/01/2017               |
| • Actuarial Equivalence | <p>Benefits other than lump sum payments: PPA mortality and an interest rate of 7.0% per annum.</p> <p>Lump Sum Payments: PPA mortality and interest rates equal to the 3-tiered minimum present value segment rates for the month that is two months prior to the first day of the calendar quarter in which a distribution is made, reflecting the appropriate phase-ins.</p> <p>For pre-2018 late retirement adjustments, the mortality assumption is the PPA mortality table projected to 2020 using Mortality Projection Scale AA. For late retirement adjustments after January 1, 2018, the mortality assumption is the applicable 417(e) mortality table in effect at the late retirement date.</p> |                          |
| • Compensation          | <p>W-2 during calendar year, increased for salary reduction amounts which are excluded from taxable income under Code Sections 125(a), (including amounts deemed to be contributions under Code Section 125), 132(f)(4), 402(e)(3) and 402(h), and decreased for any auto allowances, general business expense allowances, and taxable life insurance amounts. Effective January 1, 2009, any “differential wage payments” (as described in Section</p>                                                                                                                                                                                                                                                     |                          |

**Schedule SB, Part V — Summary of Plan Provisions**

|                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                        | 3401(h)(2) of the Code) paid with respect to Military Service shall be considered Compensation for purposes of the Plan. Severance payments are not considered Compensation under the Plan.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| • Average Compensation | Average Compensation is the average of total compensation over the 60 consecutive months that produce the highest average in the 120 months prior to retirement or termination. Effective January 1, 1989 for all formulas, annual compensation is limited to \$200,000 (indexed); such cap on pensionable earnings was decreased to \$150,000 (indexed) effective January 1, 1994 and in 2001 is \$170,000, in 2002 and 2003 is \$200,000, in 2004 is \$205,000, in 2005 is \$210,000, in 2006 is \$220,000, in 2007 is \$225,000, in 2008 is \$230,000, in 2009 through 2011 is \$245,000, in 2012 is \$250,000, in 2013 is \$255,000, in 2014 is \$260,000, in 2015 is \$265,000, in 2016, 2017 is 270,000, 2018 is 275,000, 2019 is \$280,000. For January 1, 2020, the cap on pensionable earnings is \$285,000. |
| • Covered Compensation | Social Security Covered Compensation is one twelfth of the Social Security taxable Wage Bases in effect for the 35 years ending with the year the participant would attain Social Security Normal Retirement Age (SSNRA), assuming, in the case of a participant who retires or terminates before SSNRA that the Wage Base then in effect continues unchanged until his SSNRA.                                                                                                                                                                                                                                                                                                                                                                                                                                        |

**Normal retirement**

|               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|---------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| • Eligibility | Eligible at attainment of age 65 and 5 years of participation in the Plan.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| • Benefit     | <p>A monthly benefit, payable on a straight-life basis, equal to the greater of:</p> <ul style="list-style-type: none"> <li>– 22.5% of Average Compensation times the ratio of Benefit Service to Normal Retirement Date (maximum 30 years) over 30 plus 22.5% of Average Compensation in excess of Social Security Covered Compensation times the ratio of Benefit Service to Normal Retirement Date (maximum 35 years) over 35; and</li> <li>– \$20.00 times Benefit Service</li> </ul> <p>For participants who participated in predecessor pension plans, there are minimum benefits based upon the plan provisions in effect prior to the date of adoption of each plan. For certain Mantrose-Haueser participants, there is also a benefit offset from a predecessor pension plan.</p> <p>For Day-Glo participants, there is an additional minimum benefit equal to the accrued predecessor plan benefit as of May 31, 1992 plus, for service and salary beginning June 1, 1992 through May 31, 2002, 1.4% times career average compensation.</p> <p>For Rust-Oleum participants whose date of birth occurred December 31, 1940 or earlier and who were hired prior to May 31, 1994, there is an additional minimum benefit equal to the accrued predecessor plan benefit as of May 31, 1995, plus, for service and salary beginning June 1, 1995, 2% times career average compensation. This dynamic minimum will be in effect until May 31, 2005.</p> <p>For Testor Union Participants, the normal retirement benefit is equal to \$21.00 times credited service, held to a maximum of 30 years, earned through May 31, 1995. All benefits for these participants are frozen as of May 31, 1995.</p> <p>For DAP participants whose date of hire is prior to December 31, 1999, there is an additional minimum benefit equal to the accrued predecessor plan benefit as of December 31, 1999. The cash balance portion of the predecessor plan benefit will continue to grow with interest. The interest</p> |

**Schedule SB, Part V — Summary of Plan Provisions**

crediting rate will be determined for each Plan Year and the effective annual rate for a Plan Year shall be set at a rate equal to the average of the yield on 10-year Treasury Constant Maturities for the months of September, October and November of the calendar year immediately preceding the Plan Year; provided, however, that if such average yield is less than 5.00%, then 5.00% shall be substituted for such yield for the applicable Plan Year.

**Early retirement**

- **Eligibility** A Plan participant is eligible for an Early Retirement Benefit at any age and the completion of 5 years of Vesting Service
- **Benefit** The Early Retirement Benefit is equal to the Normal Retirement Benefit multiplied by Benefit Service at date of termination and divided by Benefit Service to Normal Retirement Date, payable starting at Normal Retirement date, or payable starting at early retirement date on a reduced basis. The early retirement factor is the greater of the factor from the table below or the factor based on the plan's actuarial equivalence basis.

| Age at Commencement | % of Accrued Benefit |
|---------------------|----------------------|
| 55                  | 48.5%                |
| 56                  | 52.5                 |
| 57                  | 56.5                 |
| 58                  | 60.5                 |
| 59                  | 64.5                 |
| 60                  | 68.5                 |
| 61                  | 73.0                 |
| 62                  | 77.5                 |
| 63                  | 85.0                 |
| 64                  | 92.5                 |
| 65                  | 100.0                |

A plan participant is entitled to an unreduced Early Retirement Benefit at any age upon completion of 40 years of Vesting Service.

**Late retirement**

- **Eligibility** A plan participant is eligible for a Late Retirement Benefit on the first day of the month coinciding with or next following the date of Normal Retirement.
- **Benefit** The Late Retirement Benefit is equal to the greater of: (1) the actuarial equivalent of the Normal Retirement Benefit and (2) the benefit calculated in the same manner as the Normal Retirement Benefit, but based on Average Compensation, Social Security Covered Compensation, and Benefit Service on the date of retirement.

**Vested termination**

- **Eligibility** A Plan participant is eligible for a Vested Termination Benefit at any age and the completion of 5 years of Vesting Service.
- **Benefit** The Vested Termination Benefit is equal to the Normal Retirement Benefit multiplied by Benefit Service at date of termination and divided by Benefit Service to Normal Retirement Date, payable as under Early Retirement.

**Disability**

- **Eligibility** A Plan participant is eligible for a Disability Benefit when he is eligible for disability benefits under the long term disability plan maintained by his employer.
- **Benefit** The Disability Benefit is equal to the accrued benefit based on Average Compensation at the date of disability and Benefit Service accrued through

**Schedule SB, Part V — Summary of Plan Provisions**

|                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                | the benefit commencement date. Benefit Service continues to be earned during the period of disability.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>Pre-retirement death</b>                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| • Eligibility                                  | A participant is entitled to a Pre-Retirement Death Benefit if death occurs after attaining a vested right (whether active or terminated) and before commencement of retirement benefits.                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| • Benefit                                      | The Pre-Retirement Death Benefit, payable to the surviving spouse, if any, is equal to the monthly pension at retirement age that would have been payable had the participant survived to retirement age with the Accrued Normal Retirement Benefit at the time of death, retired under the 50% Joint and Contingent Annuitant Annuity form of payment and then died. If death occurs after age 60 and completion of 20 years of Vesting Service, but before commencement of retirement benefits, the participant's designated beneficiary will be entitled to the lump sum equivalent of the participant's accrued Normal Retirement Benefit at date of death. |
| <b>Unpredictable contingent event benefits</b> | Not applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>Form of benefits</b>                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| • Automatic form for unmarried participants    | Unless otherwise elected, any benefit payable under the Plan shall be paid on a straight-life basis.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| • Automatic form for married participants      | Unless otherwise elected, any benefit payable under the Plan shall be paid on a 50% Joint and Contingent Annuitant Annuity basis which is actuarial equivalent of the straight life form.                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| • Optional forms                               | An employee commencing benefits may elect to have his lifetime benefit payable on an actuarially equivalent 50%, 75% or 100% Joint and Contingent Annuitant Annuity basis, a 5-Year, 10-Year or 15-Year Certain and Life Annuity, basis or a single lump sum basis, each of which is the actuarial equivalent of the lifetime form.                                                                                                                                                                                                                                                                                                                             |
| <b>Miscellaneous</b>                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| • Maximum compensation                         | Compensation for any 12-month period used to determine accrued benefits may not exceed the limits in IRC Section 401(a)(17) for the calendar year in which the 12-month period begins. This limit is indexed annually. For 2020, the limit is \$285,000.                                                                                                                                                                                                                                                                                                                                                                                                        |
| • Maximum benefits                             | Annual benefits may not exceed the limits in IRC Section 415. This limit is indexed annually. For 2020, the limit is \$230,000.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |

**Plan provision changes since prior valuation**

Maximum compensation amounts and maximum benefit amounts under IRS rules were updated from 2019 to 2020.



# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets

Base Currency: USD

| Security Description<br>Portfolio Number         | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost          | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|--------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|--------------------------------------|-----------------------------------------------------------|
| <b>Equity</b>                                    |                                              |                                          |                      |                                 |                                      |                                                           |
| <b>Common Stock</b>                              |                                              |                                          |                      |                                 |                                      |                                                           |
| ALPHABET INC<br>COM CL C<br>0993828.17           | 02079K107<br>0.00%<br>955.0000               | 2,411.560<br><br>2,303,039.80            | Level1<br><br>0.34%  | <br><br>1,358.10<br>0.00        | <br><br>1,296,988.56<br>1,379,555.59 | <br><br>1,006,051.24<br>923,484.21                        |
| AMAZON COM INC<br>COM<br>0993828.17              | 023135106<br>0.00%<br>490.0000               | 3,223.070<br><br>1,579,304.30            | Level1<br><br>0.24%  | <br><br>2,587.95<br>0.00        | <br><br>1,268,097.11<br>1,394,994.14 | <br><br>311,207.19<br>184,310.16                          |
| APPLE INC<br>COM<br>0993828.17                   | 037833100<br>0.71%<br>8,260.0000             | 124.610<br><br>1,029,278.60              | Level1<br><br>0.15%  | <br><br>70.27<br>0.00           | <br><br>580,417.99<br>656,546.10     | <br><br>448,860.61<br>372,732.50                          |
| BECTON DICKINSON & CO<br>COM<br>0993828.17       | 075887109<br>1.37%<br>5,070.0000             | 241.890<br><br>1,226,382.30              | Level1<br><br>0.18%  | <br><br>238.22<br>0.00          | <br><br>1,207,752.22<br>1,223,729.40 | <br><br>18,630.08<br>2,652.90                             |
| BERKSHIRE HATHAWAY INC<br>COM CL B<br>0993828.17 | 084670702<br>0.00%<br>9,220.0000             | 289.440<br><br>2,668,636.80              | Level1<br><br>0.40%  | <br><br>207.54<br>0.00          | <br><br>1,913,558.94<br>1,781,481.96 | <br><br>755,077.86<br>887,154.84                          |
| BLACK KNIGHT INC<br>COM<br>0993828.17            | 09215C105<br>0.00%<br>11,000.0000            | 73.390<br><br>807,290.00                 | Level1<br><br>0.12%  | <br><br>74.39<br>0.00           | <br><br>818,334.26<br>818,334.26     | <br><br>-11,044.26<br>-11,044.26                          |
| BROWN-FORMAN CORP<br>COM CL B<br>0993828.17      | 115637209<br>0.89%<br>13,000.0000            | 80.360<br><br>1,044,680.00               | Level1<br><br>0.16%  | <br><br>67.19<br>0.00           | <br><br>873,462.90<br>895,201.03     | <br><br>171,217.10<br>149,478.97                          |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost  | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|------------------------------|-----------------------------------------------------------|
| CHARTER COMMUNICATIONS INC<br>COM CL A   | 16119P108<br>0.00%                           | 694.530                                  | Level1               |                                 |                              |                                                           |
| 0993828.17                               | 2,500.0000                                   | 1,736,325.00                             | 0.26%                | 554.68<br>0.00                  | 1,386,698.76<br>1,455,272.97 | 349,626.24<br>281,052.03                                  |
| COSTCO WHOLESALE CORP<br>COM             | 22160K105<br>0.84%                           | 378.270                                  | Level1               |                                 |                              |                                                           |
| 0993828.17                               | 4,665.0000                                   | 1,764,629.55                             | 0.26%                | 318.30<br>0.00                  | 1,484,857.57<br>1,521,690.49 | 279,771.98<br>242,939.06                                  |
| DEERE & CO<br>COM                        | 244199105<br>1.00%                           | 361.100                                  | Level1               |                                 |                              |                                                           |
| 0993828.17                               | 2,050.0000                                   | 740,255.00                               | 0.11%                | 130.58<br>0.00                  | 267,687.62<br>311,846.00     | 472,567.38<br>428,409.00                                  |
| EBAY INC<br>COM                          | 278642103<br>1.18%                           | 60.880                                   | Level1               |                                 |                              |                                                           |
| 0993828.17                               | 13,500.0000                                  | 821,880.00                               | 0.12%                | 60.34<br>2,430.00               | 814,644.76<br>814,644.76     | 7,235.24<br>7,235.24                                      |
| ECOLAB INC<br>COM                        | 278865100<br>0.89%                           | 215.080                                  | Level1               |                                 |                              |                                                           |
| 0993828.17                               | 4,750.0000                                   | 1,021,630.00                             | 0.15%                | 190.36<br>0.00                  | 904,208.10<br>979,940.53     | 117,421.90<br>41,689.47                                   |
| FASTENAL CO<br>COM                       | 311900104<br>2.11%                           | 53.040                                   | Level1               |                                 |                              |                                                           |
| 0993828.17                               | 18,700.0000                                  | 991,848.00                               | 0.15%                | 39.89<br>0.00                   | 745,984.51<br>817,342.86     | 245,863.49<br>174,505.14                                  |
| FIRST REPUBLIC BANK<br>COM               | 33616C100<br>0.46%                           | 191.440                                  | Level1               |                                 |                              |                                                           |
| 0993828.17                               | 7,245.0000                                   | 1,386,982.80                             | 0.21%                | 105.80<br>0.00                  | 766,555.23<br>799,406.71     | 620,427.57<br>587,576.09                                  |
| JP MORGAN CHASE & CO<br>COM              | 46625H100<br>2.19%                           | 164.240                                  | Level1               |                                 |                              |                                                           |



## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost  | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|------------------------------|-----------------------------------------------------------|
| 0993828.17                               | 7,530.0000                                   | 1,236,727.20                             | 0.18%                | 99.72<br>0.00                   | 750,858.46<br>750,858.46     | 485,868.74<br>485,868.74                                  |
| MARKEL CORP<br>COM                       | 570535104<br>0.00%                           | 1,225.490                                | Level1               |                                 |                              |                                                           |
| 0993828.17                               | 1,305.0000                                   | 1,599,264.45                             | 0.24%                | 1,110.13<br>0.00                | 1,448,723.51<br>1,219,576.52 | 150,540.94<br>379,687.93                                  |
| MASTERCARD INC<br>COM CL A               | 57636Q104<br>0.49%                           | 360.580                                  | Level1               |                                 |                              |                                                           |
| 0993828.17                               | 4,345.0000                                   | 1,566,720.10                             | 0.23%                | 318.44<br>0.00                  | 1,383,635.75<br>1,406,231.86 | 183,084.35<br>160,488.24                                  |
| MERCK & CO INC<br>COM                    | 58933Y105<br>3.43%                           | 75.890                                   | Level1               |                                 |                              |                                                           |
| 0993828.17                               | 20,000.0000                                  | 1,517,800.00                             | 0.23%                | 83.26<br>0.00                   | 1,665,285.46<br>1,665,285.46 | -147,485.46<br>-147,485.46                                |
| METTLER-TOLEDO INTERNATIONAL INC<br>COM  | 592688105<br>0.00%                           | 1,300.950                                | Level1               |                                 |                              |                                                           |
| 0993828.17                               | 800.0000                                     | 1,040,760.00                             | 0.16%                | 765.67<br>0.00                  | 612,533.37<br>678,765.86     | 428,226.63<br>361,994.14                                  |
| MICROSOFT CORP<br>COM                    | 594918104<br>0.90%                           | 249.680                                  | Level1               |                                 |                              |                                                           |
| 0993828.17                               | 5,430.0000                                   | 1,355,762.40                             | 0.20%                | 164.71<br>3,040.80              | 894,359.84<br>1,009,962.49   | 461,402.56<br>345,799.91                                  |
| MONSTER BEVERAGE CORP<br>COM             | 61174X109<br>0.00%                           | 94.270                                   | Level1               |                                 |                              |                                                           |
| 0993828.17                               | 11,220.0000                                  | 1,057,709.40                             | 0.16%                | 64.42<br>0.00                   | 722,806.76<br>834,470.98     | 334,902.64<br>223,238.42                                  |
| NIKE INC<br>COM CL B                     | 654106103<br>0.81%                           | 136.460                                  | Level1               |                                 |                              |                                                           |
| 0993828.17                               | 7,000.0000                                   | 955,220.00                               | 0.14%                | 89.89<br>1,925.00               | 629,237.84<br>701,608.67     | 325,982.16<br>253,611.33                                  |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                        | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost            | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-----------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|----------------------------------------|-----------------------------------------------------------|
| PAYPAL HOLDINGS INC<br>COM                                      | 70450Y103<br>0.00%                           | 260.020                                  | Level1               |                                 |                                        |                                                           |
| 0993828.17                                                      | 3,650.0000                                   | 949,073.00                               | 0.14%                | 92.44<br>0.00                   | 337,412.33<br>565,786.50               | 611,660.67<br>383,286.50                                  |
| ROPER TECHNOLOGIES INC<br>COM                                   | 776696106<br>0.50%                           | 450.010                                  | Level1               |                                 |                                        |                                                           |
| 0993828.17                                                      | 2,915.0000                                   | 1,311,779.15                             | 0.20%                | 375.23<br>0.00                  | 1,093,786.35<br>1,166,339.54           | 217,992.80<br>145,439.61                                  |
| S&P GLOBAL INC<br>COM                                           | 78409V104<br>0.81%                           | 379.470                                  | Level1               |                                 |                                        |                                                           |
| 0993828.17                                                      | 2,400.0000                                   | 910,728.00                               | 0.14%                | 322.95<br>1,848.00              | 775,087.52<br>775,087.52               | 135,640.48<br>135,640.48                                  |
| SHERWIN WILLIAMS CO<br>COM                                      | 824348106<br>0.78%                           | 283.530                                  | Level1               |                                 |                                        |                                                           |
| 0993828.17                                                      | 4,815.0000                                   | 1,365,196.95                             | 0.20%                | 243.50<br>2,648.25              | 1,172,450.48<br>1,172,450.48           | 192,746.47<br>192,746.47                                  |
| STARBUCKS CORP<br>COM                                           | 855244109<br>1.58%                           | 113.880                                  | Level1               |                                 |                                        |                                                           |
| 0993828.17                                                      | 10,550.0000                                  | 1,201,434.00                             | 0.18%                | 79.42<br>0.00                   | 837,909.73<br>839,574.79               | 363,524.27<br>361,859.21                                  |
| TJX COS INC NEW<br>COM                                          | 872540109<br>1.54%                           | 67.540                                   | Level1               |                                 |                                        |                                                           |
| 0993828.17                                                      | 17,500.0000                                  | 1,181,950.00                             | 0.18%                | 55.39<br>4,550.00               | 969,293.65<br>925,103.18               | 212,656.35<br>256,846.82                                  |
| <b>Total Common Stock</b>                                       |                                              | <b>36,372,286.80</b>                     | <b>5.42%</b>         | <b>N/A<br/>16,442.05</b>        | <b>27,622,629.58<br/>28,561,089.11</b> | <b>8,749,657.22<br/>7,811,197.69</b>                      |
| <b>Mutual Funds</b>                                             |                                              |                                          |                      |                                 |                                        |                                                           |
| AMERICAN CENTURY SMALL CAP VALUE<br>FUND<br>OPEN-END FUND CL R6 | 025076324<br>0.60%                           | 11.630                                   | Level2               |                                 |                                        |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                       | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost              | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|----------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|------------------------------------------|-----------------------------------------------------------|
| 0993828.2                                                      | 3,550,654.3850                               | 41,294,110.50                            | 6.15%                | 8.74<br>0.00                    | 31,036,833.59<br>21,773,060.49           | 10,257,276.91<br>19,521,050.01                            |
| BNY MELLON SMALL/MID CAP GROWTH<br>FUND<br>OPEN-END FUND CL I  | 05586X405<br>0.00%                           | 37.600                                   | Level2               |                                 |                                          |                                                           |
| 0993828.2                                                      | 875,280.5750                                 | 32,910,549.62                            | 4.90%                | 28.89<br>0.00                   | 25,290,169.85<br>26,258,322.71           | 7,620,379.77<br>6,652,226.91                              |
| INVESTCO S&P 500 EQUAL WEIGHT<br>EXCHANGE-TRADED FUND          | 46137V357<br>1.33%                           | 151.120                                  | Level2               |                                 |                                          |                                                           |
| 0993828.10                                                     | 260,625.0000                                 | 39,385,650.00                            | 5.87%                | 86.45<br>0.00                   | 22,531,614.02<br>26,590,705.41           | 16,854,035.98<br>12,794,944.59                            |
| VANGUARD RUSSELL 1000 GROWTH INDX<br>FD ETF<br>CLOSED-END FUND | 92206C680<br>0.69%                           | 65.880                                   | Level2               |                                 |                                          |                                                           |
| 0993828.10                                                     | 508,172.0000                                 | 33,478,371.36                            | 4.99%                | 39.73<br>0.00                   | 20,188,628.99<br>24,147,080.25           | 13,289,742.37<br>9,331,291.11                             |
| VANGUARD RUSSELL 1000 VALUE INDX<br>CLOSED-END FUND            | 92206C714<br>1.76%                           | 70.700                                   | Level2               |                                 |                                          |                                                           |
| 0993828.10                                                     | 515,024.0000                                 | 36,412,196.80                            | 5.42%                | 55.52<br>0.00                   | 28,595,271.33<br>25,910,780.23           | 7,816,925.47<br>10,501,416.57                             |
| <b>Total Mutual Funds</b>                                      |                                              | <b>183,480,878.28</b>                    | <b>27.33%</b>        | <b>N/A<br/>0.00</b>             | <b>127,642,517.78<br/>124,679,949.09</b> | <b>55,838,360.50<br/>58,800,929.19</b>                    |
| <b>Non-US Common Stock</b>                                     |                                              |                                          |                      |                                 |                                          |                                                           |
| AON PLC<br>FGN COM CL A                                        | G0403H108<br>0.81%                           | 253.370                                  | Level1               |                                 |                                          |                                                           |
| 0993828.17                                                     | 4,030.0000                                   | 1,021,081.10                             | 0.15%                | 207.12<br>0.00                  | 834,676.48<br>834,676.48                 | 186,404.62<br>186,404.62                                  |
| CANADIAN NATIONAL RAILWAY CO<br>FGN COM                        | 136375102<br>1.76%                           | 112.570                                  | Level1               |                                 |                                          |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                   | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost              | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|------------------------------------------|-----------------------------------------------------------|
| 0993828.17                                                 | 9,170.0000                                   | 1,032,266.90                             | 0.15%                | 96.69<br>0.00                   | 886,691.53<br>882,915.68                 | 145,575.37<br>149,351.22                                  |
| NESTLE S A<br>SPONS ADR REPSTG REG SH                      | 641069406<br>2.09%                           | 123.460                                  | Level1               |                                 |                                          |                                                           |
| 0993828.17                                                 | 10,200.0000                                  | 1,259,292.00                             | 0.19%                | 111.93<br>0.00                  | 1,141,702.02<br>1,162,970.86             | 117,589.98<br>96,321.14                                   |
| SPOTIFY TECHNOLOGY S A<br>FGN COM                          | L8681T102<br>0.00%                           | 241.570                                  | Level1               |                                 |                                          |                                                           |
| 0993828.17                                                 | 2,610.0000                                   | 630,497.70                               | 0.09%                | 230.23<br>0.00                  | 600,892.72<br>600,892.72                 | 29,604.98<br>29,604.98                                    |
| <b>Total Non-US Common Stock</b>                           |                                              | <b>3,943,137.70</b>                      | <b>0.59%</b>         | <b>N/A<br/>0.00</b>             | <b>3,463,962.75<br/>3,481,455.74</b>     | <b>479,174.95<br/>461,681.96</b>                          |
| <b>Non-US Mutual Funds</b>                                 |                                              |                                          |                      |                                 |                                          |                                                           |
| AMERICAN EUROPACIFIC GROWTH FUND<br>OPEN-END FUND CL R6    | 298706821<br>0.38%                           | 73.600                                   | Level2               |                                 |                                          |                                                           |
| 0993828.2                                                  | 861,154.2510                                 | 63,380,952.87                            | 9.44%                | 42.66<br>0.00                   | 36,733,437.68<br>43,199,676.50           | 26,647,515.19<br>20,181,276.37                            |
| ARTISAN INTERNATIONAL VALUE FUND<br>OPEN-END FUND INSTL CL | 04314H857<br>0.31%                           | 46.500                                   | Level2               |                                 |                                          |                                                           |
| 0993828.2                                                  | 1,370,249.5680                               | 63,716,604.91                            | 9.49%                | 33.74<br>0.00                   | 46,238,625.08<br>46,238,625.08           | 17,477,979.83<br>17,477,979.83                            |
| INVESCO DEVELOPING MARKETS FUND<br>CLASS Y                 | 00143W875<br>0.21%                           | 57.040                                   | Level2               |                                 |                                          |                                                           |
| 0993828.2                                                  | 491,615.1970                                 | 28,041,730.84                            | 4.18%                | 37.93<br>0.00                   | 18,645,788.80<br>19,349,100.22           | 9,395,942.04<br>8,692,630.62                              |
| <b>Total Non-US Mutual Funds</b>                           |                                              | <b>155,139,288.62</b>                    | <b>23.11%</b>        | <b>N/A<br/>0.00</b>             | <b>101,617,851.56<br/>108,787,401.80</b> | <b>53,521,437.06<br/>46,351,886.82</b>                    |
| <b>Total Equity</b>                                        |                                              | <b>378,935,591.40</b>                    | <b>56.44%</b>        | <b>N/A<br/>16,442.05</b>        | <b>260,346,961.67<br/>265,509,895.74</b> | <b>118,588,629.73<br/>113,425,695.66</b>                  |
| <b>Fixed Income</b>                                        |                                              |                                          |                      |                                 |                                          |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-----------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| <b>Corporate Bonds</b>                                                                  |                                              |                                          |                      |                                 |                             |                                                           |
| 3M CO<br>SENIOR NT<br>DTD 3/27/2020 2.65000% DUE<br>4/15/2025<br>0993828.5              | 88579YBM2<br>2.48%<br>97,000.0000            | 106.983<br>103,773.51                    | Level2<br>0.02%      | 99.92<br>328.45                 | 96,921.43<br>104,682.40     | 6,852.08<br>-908.89                                       |
| ABBVIE INC<br>SENIOR NT<br>DTD 5/21/2020 3.20000% DUE<br>11/21/2029<br>0993828.5        | 00287YBX6<br>2.98%<br>24,000.0000            | 107.340<br>25,761.60                     | Level2<br>0.00%      | 110.64<br>21.33                 | 26,553.36<br>26,553.36      | -791.76<br>-791.76                                        |
| ABBVIE INC<br>SENIOR BD<br>DTD 6/15/2020 4.85000% DUE<br>6/15/2044<br>0993828.5         | 00287YDA4<br>3.95%<br>33,000.0000            | 122.692<br>40,488.36                     | Level2<br>0.01%      | 119.48<br>738.01                | 39,426.75<br>39,130.41      | 1,061.61<br>1,357.95                                      |
| ABBVIE INC<br>SENIOR BD<br>DTD 9/18/2018 4.87500% DUE<br>11/14/2048<br>0993828.5        | 00287YBD0<br>3.91%<br>128,000.0000           | 124.614<br>159,505.92                    | Level2<br>0.02%      | 100.04<br>294.67                | 128,047.58<br>159,389.44    | 31,458.34<br>116.48                                       |
| AEP TEXAS CENTRAL CO<br>SENIOR NT SER E<br>DTD 08/15/03 6.65% DUE 02/15/33<br>0993828.5 | 0010EPAF5<br>4.99%<br>45,000.0000            | 133.337<br>60,001.65                     | Level2<br>0.01%      | 107.25<br>881.13                | 48,261.15<br>61,026.75      | 11,740.50<br>-1,025.10                                    |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                 | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost  | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|------------------------------|-----------------------------------------------------------|
| AEP TEXAS INC<br>SENIOR NT SER I<br>DTD 7/1/2020 2.10000% DUE 7/1/2030<br>0993828.5      | 00108WAM2<br>2.17%<br>209,000.0000           | 96.988<br>202,704.92                     | Level2<br>0.03%      | 97.28<br>1,828.75               | 203,308.93<br>203,308.93     | -604.01<br>-604.01                                        |
| ALABAMA POWER CO<br>SENIOR NT SER 13-A<br>DTD 12/06/13 3.55% DUE 12/01/23<br>0993828.9   | 010392FK9<br>3.30%<br>275,000.0000           | 107.665<br>296,078.75                    | Level2<br>0.04%      | 102.46<br>4,881.25              | 281,767.75<br>300,206.50     | 14,311.00<br>-4,127.75                                    |
| ALLSTATE CORP<br>SENIOR NT<br>DTD 11/24/2020 0.75000% DUE<br>12/15/2025<br>0993828.9     | 020002BH3<br>0.75%<br>575,000.0000           | 99.486<br>572,044.50                     | Level2<br>0.09%      | 99.99<br>2,240.10               | 574,913.75<br>574,913.75     | -2,869.25<br>-2,869.25                                    |
| AMAZON.COM INC<br>SENIOR NT<br>DTD 5/12/2021 1.65000% DUE<br>5/12/2028<br>0993828.5      | 023135BY1<br>1.64%<br>230,000.0000           | 100.624<br>231,435.20                    | Level2<br>0.03%      | 99.94<br>200.29                 | 229,864.30<br>229,864.30     | 1,570.90<br>1,570.90                                      |
| AMERICAN EXPRESS CO<br>SENIOR NT<br>DTD 5/20/2019 3.12500% DUE<br>5/20/2026<br>0993828.9 | 025816CF4<br>2.85%<br>1,000,000.0000         | 109.577<br>1,095,770.00                  | Level2<br>0.16%      | 108.67<br>954.86                | 1,086,660.00<br>1,086,660.00 | 9,110.00<br>9,110.00                                      |
| AMERICAN HONDA FINANCE CORP<br>MED TERM NT<br>DTD 9/9/2016 2.30000% DUE 9/9/2026         | 02665WBH3<br>2.18%                           | 105.527                                  | Level2               |                                 |                              |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                          | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-----------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.9                                                                         | 508,000.0000                                 | 536,077.16                               | 0.08%                | 103.35<br>2,661.36              | 525,025.10<br>533,502.60    | 11,052.06<br>2,574.56                                     |
| AMERICAN INTERNATIONAL GROUP INC<br>SENIOR BD<br>DTD 05/24/12 4.875% DUE 06/01/22 | 026874CU9<br>4.66%                           | 104.567                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                         | 250,000.0000                                 | 261,417.50                               | 0.04%                | 109.28<br>6,093.75              | 273,192.50<br>268,910.00    | -11,775.00<br>-7,492.50                                   |
| AMERICAN INTL GROUP INC<br>SENIOR BD<br>DTD 3/26/2018 4.20000% DUE 4/1/2028       | 026874DK0<br>3.69%                           | 113.837                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                         | 138,000.0000                                 | 157,095.06                               | 0.02%                | 105.93<br>966.00                | 146,176.73<br>153,569.16    | 10,918.33<br>3,525.90                                     |
| AMERICAN TOWER CORP<br>SENIOR NT<br>DTD 6/3/2020 1.30000% DUE 9/15/2025           | 03027XBB5<br>1.29%                           | 100.622                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                         | 100,000.0000                                 | 100,622.00                               | 0.01%                | 99.72<br>274.44                 | 99,719.00<br>99,719.00      | 903.00<br>903.00                                          |
| AMGEN INC<br>SENIOR BD<br>DTD 2/21/2020 3.37500% DUE<br>2/21/2050                 | 031162CS7<br>3.33%                           | 101.222                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                         | 100,000.0000                                 | 101,222.00                               | 0.02%                | 100.57<br>937.50                | 100,568.70<br>107,428.00    | 653.30<br>-6,206.00                                       |
| AMGEN INC<br>SENIOR NT<br>DTD 5/1/2015 3.12500% DUE 5/1/2025                      | 031162BY5<br>2.89%                           | 108.264                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                         | 500,000.0000                                 | 541,320.00                               | 0.08%                | 110.14<br>1,302.08              | 550,715.00<br>550,715.00    | -9,395.00<br>-9,395.00                                    |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                                   | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|------------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| ANHEUSER-BUSCH INBEV WORLDWIDE<br>INC<br>SENIOR BD<br>DTD 1/23/2019 5.80000% DUE<br>1/23/2059<br>0993828.5 | 03523TBW7<br>4.26%<br>70,000.0000            | 136.145<br>95,301.50                     | Level2<br>0.01%      | 123.96<br>1,443.56              | 86,771.30<br>92,004.50      | 8,530.20<br>3,297.00                                      |
| ANHEUSER-BUSCH INBEV WORLDWIDE<br>INC<br>SENIOR BD<br>DTD 4/4/2018 4.00000% DUE 4/13/2028<br>0993828.5     | 035240AL4<br>3.52%<br>92,000.0000            | 113.577<br>104,490.84                    | Level2<br>0.02%      | 105.13<br>490.67                | 96,720.62<br>104,265.44     | 7,770.22<br>225.40                                        |
| ANTHEM INC<br>SENIOR NT<br>DTD 3/17/2021 2.55000% DUE<br>3/15/2031<br>0993828.5                            | 036752AP8<br>2.50%<br>190,000.0000           | 101.920<br>193,648.00                    | Level2<br>0.03%      | 99.62<br>995.92                 | 189,268.50<br>189,268.50    | 4,379.50<br>4,379.50                                      |
| APPLE INC<br>SENIOR BD<br>DTD 5/11/2020 2.65000% DUE<br>5/11/2050<br>0993828.5                             | 037833DW7<br>2.86%<br>44,000.0000            | 92.544<br>40,719.36                      | Level2<br>0.01%      | 96.09<br>64.78                  | 42,278.28<br>42,278.28      | -1,558.92<br>-1,558.92                                    |
| APPLE INC<br>SENIOR NT<br>DTD 5/6/2014 3.45000% DUE 5/6/2024<br>0993828.9                                  | 037833AS9<br>3.17%<br>250,000.0000           | 108.886<br>272,215.00                    | Level2<br>0.04%      | 101.66<br>598.96                | 254,140.00<br>277,305.00    | 18,075.00<br>-5,090.00                                    |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                     | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|----------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| APPLE INC<br>SENIOR NT<br>DTD 9/12/2017 2.90000% DUE<br>9/12/2027<br>0993828.5               | 037833DB3<br>2.66%<br>70,000.0000            | 108.819<br>76,173.30                     | Level2<br>0.01%      | 99.60<br>445.47                 | 69,722.80<br>78,422.40      | 6,450.50<br>-2,249.10                                     |
| ASTRAZENECA FINANCE LLC<br>SENIOR NT<br>DTD 5/28/2021 2.25000% DUE<br>5/28/2031<br>0993828.5 | 04636NAB9<br>2.25%<br>34,000.0000            | 100.169<br>34,057.46                     | Level2<br>0.01%      | 99.88<br>6.38                   | 33,957.50<br>33,957.50      | 99.96<br>99.96                                            |
| AT&T INC<br>SENIOR BD SER 144A<br>DTD 12/7/2020 3.80000% DUE<br>12/1/2057<br>0993828.5       | 00206RMG4<br>3.84%<br>113,000.0000           | 98.830<br>111,677.90                     | Level2<br>0.02%      | 99.82<br>2,075.43               | 112,799.99<br>112,799.99    | -1,122.09<br>-1,122.09                                    |
| AT&T INC<br>SENIOR BD SER 144A<br>DTD 9/18/2020 3.55000% DUE<br>9/15/2055<br>0993828.5       | 00206RMD1<br>3.74%<br>131,000.0000           | 94.902<br>124,321.62                     | Level2<br>0.02%      | 98.56<br>981.77                 | 129,107.57<br>129,107.57    | -4,785.95<br>-4,785.95                                    |
| AT&T INC<br>SENIOR BD SER 144A<br>DTD 9/18/2020 3.65000% DUE<br>9/15/2059<br>0993828.5       | 00206RME9<br>3.81%<br>8,000.0000             | 95.870<br>7,669.60                       | Level2<br>0.00%      | 99.38<br>61.64                  | 7,950.56<br>7,950.56        | -280.96<br>-280.96                                        |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                             | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| BANK OF AMERICA CORP<br>MED TERM BK NT SER M<br>DTD 1/20/2017 3.82400% DUE<br>1/20/2028<br>0993828.5 | 06051GGF0<br>3.43%<br>295,000.0000           | 111.596<br>329,208.20                    | Level2<br>0.05%      | 102.78<br>4,104.96              | 303,196.25<br>327,756.80    | 26,011.95<br>1,451.40                                     |
| BANK OF AMERICA CORP<br>MED TERM BK NT SER N<br>DTD 2/13/2020 2.49600% DUE<br>2/13/2031<br>0993828.5 | 06051GHZ5<br>2.48%<br>219,000.0000           | 100.704<br>220,541.76                    | Level2<br>0.03%      | 102.26<br>1,639.87              | 223,943.51<br>225,904.26    | -3,401.75<br>-5,362.50                                    |
| BANK OF AMERICA CORP<br>SENIOR BD<br>DTD 3/11/2021 2.65100% DUE<br>3/11/2032<br>0993828.5            | 06051GJP5<br>2.62%<br>125,000.0000           | 100.992<br>126,240.00                    | Level2<br>0.02%      | 100.00<br>736.39                | 125,000.00<br>125,000.00    | 1,240.00<br>1,240.00                                      |
| BANK OF NEW YORK MELLON CORP<br>SENIOR NT<br>DTD 4/24/2020 1.60000% DUE<br>4/24/2025<br>0993828.5    | 06406RAN7<br>1.55%<br>109,000.0000           | 103.130<br>112,411.70                    | Level2<br>0.02%      | 99.96<br>179.24                 | 108,958.58<br>112,781.21    | 3,453.12<br>-369.51                                       |
| BAYLOR SCOTT & WHITE HOLDINGS<br>SENIOR DEB<br>DTD 1/21/2021 1.77700% DUE<br>11/15/2030<br>0993828.5 | 072863AH6<br>1.84%<br>124,000.0000           | 96.348<br>119,471.52                     | Level2<br>0.02%      | 100.00<br>97.93                 | 124,000.00<br>124,000.00    | -4,528.48<br>-4,528.48                                    |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                            | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-----------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| BECTON DICKINSON & CO<br>SENIOR BD<br>DTD 12/15/2014 4.68500% DUE<br>12/15/2044<br>0993828.5        | 075887BG3<br>3.90%<br>101,000.0000           | 120.072<br>121,272.72                    | Level2<br>0.02%      | 109.25<br>2,181.91              | 110,346.04<br>124,137.08    | 10,926.68<br>-2,864.36                                    |
| BERKSHIRE HATHAWAY ENERGY CO<br>SENIOR BD<br>DTD 10/15/2020 4.25000% DUE<br>10/15/2050<br>0993828.5 | 084659AX9<br>3.61%<br>18,000.0000            | 117.633<br>21,173.94                     | Level2<br>0.00%      | 99.46<br>97.75                  | 17,901.90<br>22,627.44      | 3,272.04<br>-1,453.50                                     |
| BERKSHIRE HATHAWAY FIN CORP<br>SENIOR NT<br>DTD 3/12/2020 1.85000% DUE<br>3/12/2030<br>0993828.9    | 084664CU3<br>1.86%<br>500,000.0000           | 99.521<br>497,605.00                     | Level2<br>0.07%      | 99.90<br>2,029.86               | 499,500.00<br>515,230.00    | -1,895.00<br>-17,625.00                                   |
| BOEING CO<br>SENIOR NT<br>DTD 11/2/2020 3.25000% DUE 2/1/2028<br>0993828.5                          | 097023DB8<br>3.09%<br>205,000.0000           | 105.297<br>215,858.85                    | Level2<br>0.03%      | 99.98<br>2,220.83               | 204,954.90<br>204,954.90    | 10,903.95<br>10,903.95                                    |
| BOEING CO<br>SENIOR NT<br>DTD 2/4/2021 2.19600% DUE 2/4/2026<br>0993828.5                           | 097023DG7<br>2.19%<br>250,000.0000           | 100.445<br>251,112.50                    | Level2<br>0.04%      | 100.00<br>1,784.25              | 250,000.00<br>250,000.00    | 1,112.50<br>1,112.50                                      |
| BP CAP MARKETS AMERICA<br>SENIOR NT<br>DTD 4/6/2020 3.63300% DUE 4/6/2030                           | 10373QBL3<br>3.28%                           | 110.677                                  | Level2               |                                 |                             |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                      | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.5                                                                     | 109,000.0000                                 | 120,637.93                               | 0.02%                | 115.88<br>605.00                | 126,303.75<br>126,303.75    | -5,665.82<br>-5,665.82                                    |
| BROADCOM INC<br>SENIOR BD SER 144A<br>DTD 3/31/2021 3.41900% DUE<br>4/15/2033 | 11135FBK6<br>3.36%                           | 101.887                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                     | 50,000.0000                                  | 50,943.50                                | 0.01%                | 100.53<br>289.67                | 50,266.51<br>50,266.51      | 676.99<br>676.99                                          |
| BROADCOM INC<br>SENIOR BD<br>DTD 4/9/2020 5.00000% DUE 4/15/2030              | 11135FBD2<br>4.33%                           | 115.509                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                     | 193,000.0000                                 | 222,932.37                               | 0.03%                | 119.11<br>1,233.06              | 229,874.81<br>229,874.81    | -6,942.44<br>-6,942.44                                    |
| BURLINGTON NORTHERN SANTA FE<br>SENIOR DEB<br>DTD 08/23/12 3.05% DUE 09/01/22 | 12189LAL5<br>2.97%                           | 102.792                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                     | 450,000.0000                                 | 462,564.00                               | 0.07%                | 100.21<br>3,431.25              | 450,945.00<br>471,829.50    | 11,619.00<br>-9,265.50                                    |
| CAPITAL ONE FINANCIAL CORP<br>SENIOR NT<br>DTD 2/5/2015 3.20000% DUE 2/5/2025 | 14040HBG9<br>2.96%                           | 107.932                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                     | 125,000.0000                                 | 134,915.00                               | 0.02%                | 97.30<br>1,288.89               | 121,623.75<br>129,721.25    | 13,291.25<br>5,193.75                                     |
| CARDINAL HEALTH INC<br>SENIOR BD<br>DTD 6/12/2017 4.36800% DUE<br>6/15/2047   | 14149YBM9<br>3.97%                           | 110.137                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                     | 61,000.0000                                  | 67,183.57                                | 0.01%                | 95.85<br>1,228.62               | 58,471.47<br>64,883.26      | 8,712.10<br>2,300.31                                      |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                                     | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|--------------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| CARRIER GLOBAL CORP<br>SENIOR NT<br>DTD 8/15/2020 2.72200% DUE<br>2/15/2030<br>0993828.5                     | 14448CAQ7<br>2.65%<br>299,000.0000           | 102.760<br>307,252.40                    | Level2<br>0.05%      | 106.19<br>2,396.42              | 317,507.64<br>317,507.64    | -10,255.24<br>-10,255.24                                  |
| CHARTER COMMUNICATIONS OPERATING<br>LLC<br>SENIOR BD<br>DTD 10/24/2019 4.80000% DUE<br>3/1/2050<br>0993828.5 | 161175BT0<br>4.36%<br>114,000.0000           | 109.983<br>125,380.62                    | Level2<br>0.02%      | 107.54<br>1,368.00              | 122,600.63<br>128,156.34    | 2,779.99<br>-2,775.72                                     |
| CHEVRON USA INC<br>BOND<br>DTD 11/15/2020 5.05000% DUE<br>11/15/2044<br>0993828.5                            | 166756AV8<br>3.88%<br>70,000.0000            | 130.044<br>91,030.80                     | Level2<br>0.01%      | 138.67<br>157.11                | 97,071.94<br>97,071.94      | -6,041.14<br>-6,041.14                                    |
| CHEVRON USA INC<br>BOND<br>DTD 11/15/2020 5.25000% DUE<br>11/15/2043<br>0993828.5                            | 166756AU0<br>3.96%<br>15,000.0000            | 132.545<br>19,881.75                     | Level2<br>0.00%      | 140.31<br>35.00                 | 21,046.03<br>21,046.03      | -1,164.28<br>-1,164.28                                    |
| CIGNA CORP<br>SENIOR BD<br>DTD 1/15/2020 4.80000% DUE<br>7/15/2046<br>0993828.5                              | 125523CF5<br>3.92%<br>68,000.0000            | 122.362<br>83,206.16                     | Level2<br>0.01%      | 110.80<br>1,233.07              | 75,346.04<br>84,816.40      | 7,860.12<br>-1,610.24                                     |



## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                                 | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|----------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| CITIGROUP INC<br>SENIOR BD<br>DTD 1/29/2020 2.66600% DUE<br>1/29/2031<br>0993828.5                       | 172967ML2<br>2.62%<br>101,000.0000           | 101.912<br>102,931.12                    | Level2<br>0.02%      | 102.46<br>912.51                | 103,481.25<br>102,083.85    | -550.13<br>847.27                                         |
| CITIGROUP INC<br>SENIOR BD<br>DTD 10/27/2017 3.52000% DUE<br>10/27/2028<br>0993828.5                     | 172967LS8<br>3.20%<br>305,000.0000           | 109.962<br>335,384.10                    | Level2<br>0.05%      | 103.07<br>1,013.96              | 314,378.11<br>332,886.21    | 21,005.99<br>2,497.89                                     |
| CITIGROUP INC<br>SUB NT<br>DTD 5/14/2013 3.50000% DUE<br>5/15/2023<br>0993828.5                          | 172967GT2<br>3.30%<br>90,000.0000            | 105.926<br>95,333.40                     | Level2<br>0.01%      | 102.01<br>140.00                | 91,808.10<br>94,960.80      | 3,525.30<br>372.60                                        |
| CLEVELAND ELECTRIC ILLUMINATING CO<br>SENIOR BD<br>DTD 12/11/2006 5.95000% DUE<br>12/15/203<br>0993828.5 | 186108CE4<br>4.78%<br>36,000.0000            | 124.530<br>44,830.80                     | Level2<br>0.01%      | 120.75<br>987.70                | 43,471.08<br>45,714.24      | 1,359.72<br>-883.44                                       |
| CMS ENERGY CORP<br>SENIOR BD<br>DTD 11/9/2015 3.60000% DUE<br>11/15/2025<br>0993828.5                    | 125896BP4<br>3.29%<br>27,000.0000            | 109.582<br>29,587.14                     | Level2<br>0.00%      | 99.68<br>43.20                  | 26,914.41<br>29,255.85      | 2,672.73<br>331.29                                        |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                 | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| CMS ENERGY CORP<br>SENIOR BD<br>DTD 02/27/14 3.875% DUE 03/01/24<br>0993828.5            | 125896BM1<br>3.60%<br>39,000.0000            | 107.691<br>41,999.49                     | Level2<br>0.01%      | 104.77<br>377.81                | 40,859.07<br>41,732.73      | 1,140.42<br>266.76                                        |
| COMCAST CORP<br>SENIOR NT<br>DTD 10/5/2018 3.95000% DUE<br>10/15/2025<br>0993828.5       | 20030NCS8<br>3.51%<br>180,000.0000           | 112.448<br>202,406.40                    | Level2<br>0.03%      | 101.17<br>908.50                | 182,108.90<br>206,159.40    | 20,297.50<br>-3,753.00                                    |
| COMCAST CORP<br>SENIOR NT<br>DTD 11/5/2019 3.45000% DUE 2/1/2050<br>0993828.5            | 20030NCZ2<br>3.32%<br>80,000.0000            | 104.061<br>83,248.80                     | Level2<br>0.01%      | 97.53<br>920.00                 | 78,025.95<br>88,081.15      | 5,222.85<br>-4,832.35                                     |
| CONAGRA BRANDS INC<br>SENIOR BD<br>DTD 10/22/2018 5.30000% DUE<br>11/1/2038<br>0993828.5 | 205887CD2<br>4.29%<br>43,000.0000            | 123.629<br>53,160.47                     | Level2<br>0.01%      | 111.72<br>189.92                | 48,039.89<br>55,251.54      | 5,120.58<br>-2,091.07                                     |
| CSX CORP<br>SENIOR BD<br>DTD 10/18/2016 4.25000% DUE<br>11/1/2066<br>0993828.5           | 126408HG1<br>3.65%<br>26,000.0000            | 116.530<br>30,297.80                     | Level2<br>0.00%      | 97.06<br>92.08                  | 25,236.64<br>31,066.10      | 5,061.16<br>-768.30                                       |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                              | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|---------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| CSX CORP<br>SENIOR BD<br>DTD 11/15/2018 4.25000% DUE<br>3/15/2029<br>0993828.5        | 126408HM8<br>3.69%<br>103,000.0000           | 115.103<br>118,556.09                    | Level2<br>0.02%      | 110.55<br>924.14                | 113,869.72<br>121,939.64    | 4,686.37<br>-3,383.55                                     |
| CSX CORP<br>SENIOR BD<br>DTD 5/1/2017 3.25000% DUE 6/1/2027<br>0993828.5              | 126408HH9<br>2.97%<br>83,000.0000            | 109.447<br>90,841.01                     | Level2<br>0.01%      | 100.90<br>1,348.75              | 83,746.54<br>91,647.77      | 7,094.47<br>-806.76                                       |
| CSX CORP<br>SENIOR BD<br>DTD 9/12/2019 3.35000% DUE<br>9/15/2049<br>0993828.5         | 126408HR7<br>3.31%<br>5,000.0000             | 101.190<br>5,059.50                      | Level2<br>0.00%      | 99.31<br>35.36                  | 4,965.30<br>5,398.85        | 94.20<br>-339.35                                          |
| CVS HEALTH CORP<br>SENIOR BD<br>DTD 12/16/2020 1.87500% DUE<br>2/28/2031<br>0993828.5 | 126650DQ0<br>1.97%<br>98,000.0000            | 95.351<br>93,443.98                      | Level2<br>0.01%      | 99.99<br>842.19                 | 97,988.24<br>97,988.24      | -4,544.26<br>-4,544.26                                    |
| CVS HEALTH CORP<br>SENIOR BD<br>DTD 7/20/2015 5.12500% DUE<br>7/20/2045<br>0993828.5  | 126650CN8<br>4.09%<br>121,000.0000           | 125.179<br>151,466.59                    | Level2<br>0.02%      | 120.21<br>2,256.57              | 145,448.05<br>153,578.04    | 6,018.54<br>-2,111.45                                     |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                          | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|---------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| DEERE & CO<br>SENIOR NT<br>DTD 3/30/2020 2.75000% DUE<br>4/15/2025<br>0993828.5                   | 244199BH7<br>2.56%<br>250,000.0000           | 107.283<br>268,207.50                    | Level2<br>0.04%      | 99.86<br>878.47                 | 249,660.00<br>271,052.50    | 18,547.50<br>-2,845.00                                    |
| DIGITAL REALTY TRUST LP<br>SENIOR BD<br>DTD 6/14/2019 3.60000% DUE 7/1/2029<br>0993828.5          | 25389JAU0<br>3.27%<br>106,000.0000           | 110.136<br>116,744.16                    | Level2<br>0.02%      | 111.58<br>1,590.00              | 118,278.06<br>118,792.14    | -1,533.90<br>-2,047.98                                    |
| DISCOVERY COMMUNICATIONS LLC<br>SENIOR BD<br>DTD 5/21/2019 5.30000% DUE<br>5/15/2049<br>0993828.5 | 25470DBG3<br>4.45%<br>96,000.0000            | 119.219<br>114,450.24                    | Level2<br>0.02%      | 119.77<br>226.13                | 114,976.09<br>110,533.66    | -525.85<br>3,916.58                                       |
| DOMINION RESOURCES INC<br>SENIOR BD SER B<br>DTD 9/24/2015 3.90000% DUE<br>10/1/2025<br>0993828.5 | 25746UCE7<br>3.52%<br>51,000.0000            | 110.926<br>56,572.26                     | Level2<br>0.01%      | 101.86<br>331.50                | 51,946.71<br>56,766.57      | 4,625.55<br>-194.31                                       |
| DOVER CORP<br>SENIOR BD<br>DTD 11/3/2015 3.15000% DUE<br>11/15/2025<br>0993828.9                  | 260003AM0<br>2.92%<br>400,000.0000           | 107.919<br>431,676.00                    | Level2<br>0.06%      | 100.59<br>560.00                | 402,340.00<br>424,360.00    | 29,336.00<br>7,316.00                                     |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                 | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| DTE ENERGY CO<br>SENIOR BD SER C<br>DTD 6/13/2019 3.40000% DUE<br>6/15/2029<br>0993828.5 | 233331BC0<br>3.16%<br>195,000.0000           | 107.582<br>209,784.90                    | Level2<br>0.03%      | 101.16<br>3,057.17              | 197,264.55<br>207,877.35    | 12,520.35<br>1,907.55                                     |
| DUKE ENERGY CORP<br>SENIOR NT<br>DTD 6/7/2019 3.40000% DUE 6/15/2029<br>0993828.5        | 26441CBE4<br>3.15%<br>99,000.0000            | 107.936<br>106,856.64                    | Level2<br>0.02%      | 99.85<br>1,552.10               | 98,849.52<br>109,245.51     | 8,007.12<br>-2,388.87                                     |
| DUKE ENERGY CORP<br>SENIOR NT<br>DTD 08/16/12 3.05% DUE 08/15/22<br>0993828.9            | 26441CAJ4<br>2.98%<br>250,000.0000           | 102.491<br>256,227.50                    | Level2<br>0.04%      | 102.75<br>2,245.14              | 256,870.00<br>260,625.00    | -642.50<br>-4,397.50                                      |
| DUKE ENERGY PROGRESS INC<br>BOND<br>DTD 8/13/2015 4.20000% DUE<br>8/15/2045<br>0993828.5 | 26442UAB0<br>3.60%<br>15,000.0000            | 116.761<br>17,514.15                     | Level2<br>0.00%      | 102.04<br>185.50                | 15,305.70<br>18,508.05      | 2,208.45<br>-993.90                                       |
| EATON CORP<br>SENIOR NT<br>DTD 9/15/2017 3.10300% DUE<br>9/15/2027<br>0993828.9          | 278062AG9<br>2.85%<br>750,000.0000           | 109.014<br>817,605.00                    | Level2<br>0.12%      | 112.22<br>4,913.08              | 841,635.00<br>841,635.00    | -24,030.00<br>-24,030.00                                  |



## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                            | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-----------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| EL PASO ENERGY CORP<br>MED TERM NT<br>DTD 10/11/2000 8.05000% DUE<br>10/15/2030<br>0993828.5        | 28368EAA4<br>5.86%<br>28,000.0000            | 137.330<br>38,452.40                     | Level2<br>0.01%      | 118.45<br>288.01                | 33,167.12<br>36,533.28      | 5,285.28<br>1,919.12                                      |
| EMERA US FINANCE LP<br>SENIOR BD<br>DTD 12/15/2016 4.75000% DUE<br>6/15/2046<br>0993828.5           | 29103DAM8<br>4.14%<br>95,000.0000            | 114.624<br>108,892.80                    | Level2<br>0.02%      | 115.99<br>2,080.76              | 110,191.52<br>112,375.76    | -1,298.72<br>-3,482.96                                    |
| ENTERPRISE PRODS OPERATING LLC<br>SENIOR BD<br>DTD 1/15/2020 2.80000% DUE<br>1/31/2030<br>0993828.5 | 29379VBX0<br>2.69%<br>98,000.0000            | 104.177<br>102,093.46                    | Level2<br>0.02%      | 107.21<br>922.29                | 105,066.78<br>105,066.78    | -2,973.32<br>-2,973.32                                    |
| ERAC USA FINANCE CO LLC<br>SENIOR BD<br>DTD 10/18/2016 4.20000% DUE<br>11/1/2046<br>0993828.5       | 26884TAS1<br>3.68%<br>20,000.0000            | 114.143<br>22,828.60                     | Level2<br>0.00%      | 94.88<br>70.00                  | 18,976.00<br>17,976.40      | 3,852.60<br>4,852.20                                      |
| ERAC USA FINANCE LLC<br>SENIOR NT SER 144A<br>DTD 10/18/2016 2.70000% DUE<br>11/1/2023<br>0993828.5 | 26884TAT9<br>2.57%<br>65,000.0000            | 104.884<br>68,174.60                     | Level2<br>0.01%      | 99.82<br>146.25                 | 64,879.75<br>64,707.50      | 3,294.85<br>3,467.10                                      |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                           | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|----------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| ERAC USA FINANCE LLC<br>SENIOR BD SER 144A<br>DTD 5/26/2016 3.30000% DUE<br>12/1/2026<br>0993828.5 | 26884TAR3<br>3.03%<br>30,000.0000            | 108.994<br>32,698.20                     | Level2<br>0.00%      | 99.67<br>495.00                 | 29,902.20<br>30,061.20      | 2,796.00<br>2,637.00                                      |
| EVERSOURCE ENERGY<br>SENIOR BD SER R<br>DTD 8/14/2020 1.65000% DUE<br>8/15/2030<br>0993828.5       | 30040WAK4<br>1.75%<br>100,000.0000           | 94.028<br>94,028.00                      | Level2<br>0.01%      | 98.19<br>485.83                 | 98,186.00<br>98,186.00      | -4,158.00<br>-4,158.00                                    |
| EXELON CORP<br>SENIOR BD<br>DTD 4/7/2016 3.40000% DUE 4/15/2026<br>0993828.5                       | 30161NAU5<br>3.12%<br>244,000.0000           | 109.138<br>266,296.72                    | Level2<br>0.04%      | 109.88<br>1,060.04              | 268,119.32<br>268,119.32    | -1,822.60<br>-1,822.60                                    |
| FEDEX CORP<br>SENIOR BD<br>DTD 1/31/2018 4.05000% DUE<br>2/15/2048<br>0993828.5                    | 31428XBQ8<br>3.67%<br>147,000.0000           | 110.296<br>162,135.12                    | Level2<br>0.02%      | 95.25<br>1,752.98               | 140,021.37<br>143,260.32    | 22,113.75<br>18,874.80                                    |
| FIDELITY NATIONAL INFORMATION SVCS<br>SENIOR NT<br>DTD 3/2/2021 1.65000% DUE 3/1/2028<br>0993828.5 | 31620MBS4<br>1.68%<br>275,000.0000           | 98.320<br>270,380.00                     | Level2<br>0.04%      | 99.42<br>1,121.77               | 273,413.25<br>273,413.25    | -3,033.25<br>-3,033.25                                    |



## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                            | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-----------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| FIFTH THIRD BANCORP<br>SENIOR NT<br>DTD 6/15/2017 2.60000% DUE<br>6/15/2022<br>0993828.9            | 316773CU2<br>2.54%<br>500,000.0000           | 102.226<br>511,130.00                    | Level2<br>0.08%      | 99.97<br>5,994.44               | 499,860.00<br>515,990.00    | 11,270.00<br>-4,860.00                                    |
| FIRST MARYLAND CAPITAL I<br>JUNIOR SUB BD<br>DTD 12/30/1996 FL RT% DUE 1/15/2027<br>0993828.5       | 320808AD0<br>1.94%<br>55,000.0000            | 96.794<br>53,236.70                      | Level2<br>0.01%      | 89.13<br>88.15                  | 49,018.75<br>47,144.90      | 4,217.95<br>6,091.80                                      |
| GE CAPITAL INTL FUNDING<br>FGN MED TERM NT<br>DTD 5/15/2016 4.41800% 11/15/2035<br>0993828.5        | 36164QNA2<br>3.79%<br>167,000.0000           | 116.576<br>194,681.92                    | Level2<br>0.03%      | 107.63<br>327.91                | 179,744.58<br>179,261.44    | 14,937.34<br>15,420.48                                    |
| GENERAL ELECTRIC CO<br>JUNIOR SUB BD SER D<br>DTD 1/20/2016 5.00000% DUE<br>12/29/2049<br>0993828.5 | 369604BQ5<br>5.20%<br>132,000.0000           | 96.180<br>126,957.60                     | Level2<br>0.02%      | 103.90<br>3,043.33              | 137,142.48<br>101,270.40    | -10,184.88<br>25,687.20                                   |
| GOLDMAN SACHS GROUP INC<br>SENIOR BD<br>DTD 1/23/2018 3.81400% DUE<br>4/23/2029<br>0993828.5        | 38141GWV2<br>3.44%<br>154,000.0000           | 110.889<br>170,769.06                    | Level2<br>0.03%      | 108.57<br>619.99                | 167,205.08<br>173,067.24    | 3,563.98<br>-2,298.18                                     |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                               | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|--------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| ILLINOIS TOOL WORKS INC<br>SENIOR BD<br>DTD 11/7/2016 2.65000% DUE<br>11/15/2026<br>0993828.9          | 452308AX7<br>2.46%<br>500,000.0000           | 107.872<br>539,360.00                    | Level2<br>0.08%      | 97.74<br>588.89                 | 488,690.00<br>553,505.00    | 50,670.00<br>-14,145.00                                   |
| INTEL CORP<br>SENIOR NT<br>DTD 7/29/2015 3.10000% DUE<br>7/29/2022<br>0993828.9                        | 458140AR1<br>3.00%<br>400,000.0000           | 103.346<br>413,384.00                    | Level2<br>0.06%      | 101.02<br>4,202.22              | 404,092.00<br>423,308.00    | 9,292.00<br>-9,924.00                                     |
| INTERNATIONAL BUSINESS MACHS CORP<br>SENIOR NT<br>DTD 5/15/2019 3.00000% DUE<br>5/15/2024<br>0993828.5 | 459200JY8<br>2.80%<br>165,000.0000           | 107.242<br>176,949.30                    | Level2<br>0.03%      | 99.90<br>220.00                 | 164,826.75<br>178,500.30    | 12,122.55<br>-1,551.00                                    |
| INTERNATIONAL BUSINESS MACHS<br>SENIOR BD<br>DTD 5/7/2020 1.95000% DUE 5/15/2030<br>0993828.5          | 459200KJ9<br>1.98%<br>119,000.0000           | 98.596<br>117,329.24                     | Level2<br>0.02%      | 98.83<br>103.13                 | 117,602.94<br>119,491.47    | -273.70<br>-2,162.23                                      |
| JERSEY CENTRAL POWER & LIGHT CO<br>SENIOR BD<br>DTD 12/1/2007 6.15000% DUE 6/1/2037<br>0993828.5       | 476556CX1<br>4.89%<br>25,000.0000            | 125.786<br>31,446.50                     | Level2<br>0.00%      | 121.13<br>768.75                | 30,281.25<br>31,740.50      | 1,165.25<br>-294.00                                       |
| JOHNSON & JOHNSON<br>SENIOR NT<br>DTD 3/1/2016 2.05000% DUE 3/1/2023                                   | 478160BT0<br>1.99%<br>25,000.0000            | 102.947<br>25,736.75                     | Level2<br>0.00%      | 102.947<br>25,736.75            | 25,736.75<br>25,736.75      | 0.00<br>0.00                                              |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                               | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|----------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.5                                                                              | 50,000.0000                                  | 51,473.50                                | 0.01%                | 99.73<br>256.25                 | 49,867.00<br>52,072.00      | 1,606.50<br>-598.50                                       |
| JPMORGAN CHASE & CO<br>JUNIOR SUB BD SER Z<br>DTD 4/21/2015 5.30000% DUE<br>12/31/2049 | 46625HKK5<br>5.29%                           | 100.125                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                              | 172,000.0000                                 | 172,215.00                               | 0.03%                | 104.53<br>290.22                | 179,792.73<br>158,561.64    | -7,577.73<br>13,653.36                                    |
| JPMORGAN CHASE & CO<br>SENIOR BD<br>DTD 4/22/2021 FL RT% DUE 4/22/2032                 | 46647PCC8<br>2.56%                           | 100.943                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                              | 138,000.0000                                 | 139,301.34                               | 0.02%                | 100.04<br>385.71                | 138,060.00<br>138,060.00    | 1,241.34<br>1,241.34                                      |
| JPMORGAN CHASE & CO<br>SENIOR BD<br>DTD 9/12/2019 2.73900% DUE<br>10/15/2030           | 46647PBE5<br>2.64%                           | 103.566                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                              | 306,000.0000                                 | 316,911.96                               | 0.05%                | 105.28<br>1,070.95              | 322,151.59<br>323,050.66    | -5,239.63<br>-6,138.70                                    |
| KEYCORP<br>MED TERM BK NT<br>DTD 2/6/2020 2.25000% DUE 4/6/2027                        | 49326EEK5<br>2.16%                           | 104.033                                  | Level n/a            |                                 |                             |                                                           |
| 0993828.9                                                                              | 500,000.0000                                 | 520,165.00                               | 0.08%                | 99.88<br>1,718.75               | 499,395.00<br>500,145.00    | 20,770.00<br>20,020.00                                    |
| KIMBERLY-CLARK CORP<br>SENIOR BD<br>DTD 2/9/2012 2.40000% DUE 3/1/2022                 | 494368BH5<br>2.36%                           | 101.654                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                              | 250,000.0000                                 | 254,135.00                               | 0.04%                | 100.30<br>1,500.00              | 250,750.00<br>256,740.00    | 3,385.00<br>-2,605.00                                     |



## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                       | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| KIMBERLY-CLARK CORP<br>SENIOR NT<br>DTD 3/26/2020 3.10000% DUE<br>3/26/2030<br>0993828.5       | 494368CB7<br>2.84%<br>99,000.0000            | 109.162<br>108,070.38                    | Level2<br>0.02%      | 99.92<br>554.13                 | 98,923.77<br>113,167.89     | 9,146.61<br>-5,097.51                                     |
| KIMCO REALTY CORP<br>SENIOR BD<br>DTD 8/18/2016 2.80000% DUE<br>10/1/2026<br>0993828.5         | 49446RAP4<br>2.64%<br>97,000.0000            | 106.186<br>103,000.42                    | Level2<br>0.02%      | 93.73<br>452.67                 | 90,919.07<br>93,677.75      | 12,081.35<br>9,322.67                                     |
| KINDER MORGAN ENERGY PARTNERS<br>SENIOR BD<br>DTD 2/28/2013 5.00000% DUE 3/1/2043<br>0993828.5 | 494550BP0<br>4.32%<br>69,000.0000            | 115.808<br>79,907.52                     | Level2<br>0.01%      | 100.86<br>862.50                | 69,592.02<br>77,534.61      | 10,315.50<br>2,372.91                                     |
| KINDER MORGAN INC<br>SENIOR BD<br>DTD 2/26/2015 5.05000% DUE 2/15/2046<br>0993828.5            | 49456BAJ0<br>4.31%<br>50,000.0000            | 117.152<br>58,576.00                     | Level2<br>0.01%      | 112.54<br>743.47                | 56,271.35<br>57,773.50      | 2,304.65<br>802.50                                        |
| KROGER CO<br>SENIOR BD<br>DTD 10/3/2016 3.87500% DUE<br>10/15/2046<br>0993828.5                | 501044DF5<br>3.67%<br>93,000.0000            | 105.605<br>98,212.65                     | Level2<br>0.01%      | 87.57<br>460.48                 | 81,443.80<br>101,840.58     | 16,768.85<br>-3,627.93                                    |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                        | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| L3HARRIS TECHNOLOGIES INC<br>SENIOR BD<br>DTD 11/25/2020 1.80000% DUE<br>1/15/2031<br>0993828.5 | 502431AN9<br>1.89%<br>78,000.0000            | 94.992<br>74,093.76                      | Level2<br>0.01%      | 99.96<br>725.40                 | 77,970.36<br>77,970.36      | -3,876.60<br>-3,876.60                                    |
| L3HARRIS TECHNOLOGIES INC<br>SENIOR NT<br>DTD 12/15/2019 4.40000% DUE<br>6/15/2028<br>0993828.5 | 502431AM1<br>3.84%<br>54,000.0000            | 114.515<br>61,838.10                     | Level2<br>0.01%      | 110.13<br>1,095.60              | 59,471.55<br>62,515.80      | 2,366.55<br>-677.70                                       |
| LOEWS CORP<br>SENIOR BD<br>DTD 3/22/2016 3.75000% DUE 4/1/2026<br>0993828.5                     | 540424AS7<br>3.36%<br>250,000.0000           | 111.645<br>279,112.50                    | Level2<br>0.04%      | 113.32<br>1,562.50              | 283,292.50<br>283,292.50    | -4,180.00<br>-4,180.00                                    |
| LOWES COS INC<br>SENIOR NT<br>DTD 10/22/2020 1.30000% DUE<br>4/15/2028<br>0993828.5             | 548661DX2<br>1.35%<br>105,000.0000           | 96.614<br>101,444.70                     | Level2<br>0.02%      | 99.93<br>174.42                 | 104,925.45<br>104,925.45    | -3,480.75<br>-3,480.75                                    |
| LOWES COS INC<br>SENIOR BD<br>DTD 5/3/2017 4.05000% DUE 5/3/2047<br>0993828.5                   | 548661DQ7<br>3.66%<br>40,000.0000            | 110.726<br>44,290.40                     | Level2<br>0.01%      | 89.85<br>126.00                 | 35,941.20<br>45,922.80      | 8,349.20<br>-1,632.40                                     |



## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                        | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| MARSH & MCLENNAN COS INC<br>SENIOR BD<br>DTD 9/27/2013 4.05000% DUE<br>10/15/2023<br>0993828.9  | 571748AU6<br>3.77%<br>400,000.0000           | 107.468<br>429,872.00                    | Level2<br>0.06%      | 107.30<br>2,070.00              | 429,216.00<br>436,232.00    | 656.00<br>-6,360.00                                       |
| MCKESSON CORP<br>SENIOR BD<br>DTD 12/4/2012 2.70000% DUE<br>12/15/2022<br>0993828.9             | 581557AZ8<br>2.62%<br>250,000.0000           | 103.025<br>257,562.50                    | Level2<br>0.04%      | 96.94<br>3,112.50               | 242,352.50<br>258,480.00    | 15,210.00<br>-917.50                                      |
| MEDTRONIC INC<br>SENIOR NT<br>DTD 9/15/2015 3.50000% DUE<br>3/15/2025<br>0993828.9              | 585055BS4<br>3.17%<br>105,000.0000           | 110.488<br>116,012.40                    | Level2<br>0.02%      | 104.22<br>775.83                | 109,431.00<br>118,952.40    | 6,581.40<br>-2,940.00                                     |
| MICROSOFT CORP<br>SENIOR NT<br>DTD 8/8/2016 2.40000% DUE 8/8/2026<br>0993828.5                  | 594918BR4<br>2.25%<br>254,000.0000           | 106.698<br>271,012.92                    | Level2<br>0.04%      | 106.68<br>1,913.47              | 270,959.58<br>279,049.48    | 53.34<br>-8,036.56                                        |
| MISSISSIPPI POWER CO<br>SENIOR BD SER B<br>DTD 3/27/2018 3.95000% DUE<br>3/30/2028<br>0993828.5 | 605417CB8<br>3.54%<br>121,000.0000           | 111.473<br>134,882.33                    | Level2<br>0.02%      | 108.15<br>809.86                | 130,864.96<br>135,033.40    | 4,017.37<br>-151.07                                       |



## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                    | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|---------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| MONDELEZ INTERNATIONAL INC<br>SENIOR NT<br>DTD 4/13/2020 2.75000% 4/13/2030<br>0993828.5    | 609207AT2<br>2.65%<br>88,000.0000            | 103.673<br>91,232.24                     | Level2<br>0.01%      | 105.76<br>322.67                | 93,064.40<br>92,708.00      | -1,832.16<br>-1,475.76                                    |
| MORGAN STANLEY<br>SENIOR NT<br>DTD 4/24/2018 3.73700% DUE<br>4/24/2024<br>0993828.5         | 61744YAQ1<br>3.52%<br>354,000.0000           | 106.207<br>375,972.78                    | Level2<br>0.06%      | 102.76<br>1,359.65              | 363,782.85<br>378,457.86    | 12,189.93<br>-2,485.08                                    |
| MPLX L P<br>SENIOR BD<br>DTD 2/8/2018 4.70000% DUE 4/15/2048<br>0993828.5                   | 55336VAN0<br>4.21%<br>55,000.0000            | 111.647<br>61,405.85                     | Level2<br>0.01%      | 99.35<br>330.31                 | 54,641.40<br>57,165.90      | 6,764.45<br>4,239.95                                      |
| NEXTERA ENERGY CAPITAL HLDGS INC<br>DEB<br>DTD 3/17/2021 0.65000% DUE 3/1/2023<br>0993828.5 | 65339KBU3<br>0.65%<br>92,000.0000            | 100.497<br>92,457.24                     | Level2<br>0.01%      | 99.99<br>122.92                 | 91,990.80<br>91,990.80      | 466.44<br>466.44                                          |
| NISOURCE FINANCE CORP<br>SENIOR BD<br>DTD 4/12/2013 4.80000% DUE<br>2/15/2044<br>0993828.5  | 65473QBC6<br>3.96%<br>18,000.0000            | 121.100<br>21,798.00                     | Level2<br>0.00%      | 110.01<br>254.40                | 19,801.86<br>21,505.32      | 1,996.14<br>292.68                                        |
| NISOURCE FINANCE CORP<br>SENIOR NT<br>DTD 5/22/2017 3.49000% DUE<br>5/15/2027               | 65473QBE2<br>3.17%                           | 110.241                                  | Level2               |                                 |                             |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                       | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|--------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.5                                                                      | 85,000.0000                                  | 93,704.85                                | 0.01%                | 101.83<br>131.84                | 86,555.50<br>94,843.00      | 7,149.35<br>-1,138.15                                     |
| NISOURCE FINANCE CORP<br>SENIOR BD<br>DTD 5/22/2017 4.37500% DUE<br>5/15/2047  | 65473QBF9<br>3.76%                           | 116.224                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                      | 91,000.0000                                  | 105,763.84                               | 0.02%                | 120.33<br>176.94                | 109,501.66<br>109,501.66    | -3,737.82<br>-3,737.82                                    |
| NORFOLK SOUTHERN CORP<br>SENIOR NT<br>DTD 2/15/2013 2.90300% DUE<br>2/15/2023  | 655844BL1<br>2.80%                           | 103.654                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                      | 307,000.0000                                 | 318,217.78                               | 0.05%                | 99.25<br>2,624.15               | 304,694.43<br>323,071.45    | 13,523.35<br>-4,853.67                                    |
| NORFOLK SOUTHERN CORP<br>SENIOR BD<br>DTD 5/11/2020 3.05000% DUE<br>5/15/2050  | 655844CF3<br>3.17%                           | 96.070                                   | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                      | 47,000.0000                                  | 45,152.90                                | 0.01%                | 99.77<br>63.71                  | 46,889.55<br>47,748.71      | -1,736.65<br>-2,595.81                                    |
| NORTHERN TRUST CORP<br>SENIOR NT<br>DTD 5/3/2019 3.15000% DUE 5/3/2029         | 665859AU8<br>2.89%                           | 108.996                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                      | 600,000.0000                                 | 653,976.00                               | 0.10%                | 108.62<br>1,470.00              | 651,720.00<br>657,564.00    | 2,256.00<br>-3,588.00                                     |
| NORTHROP GRUMMAN CORP<br>SENIOR BD<br>DTD 10/13/2017 3.25000% DUE<br>1/15/2028 | 666807BN1<br>3.00%                           | 108.312                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                      | 277,000.0000                                 | 300,024.24                               | 0.04%                | 106.12<br>3,400.94              | 293,963.48<br>307,523.22    | 6,060.76<br>-7,498.98                                     |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                         | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|----------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| NUCOR CORP<br>SENIOR BD<br>DTD 07/29/13 4.00% DUE 08/01/23<br>0993828.9          | 670346AM7<br>3.75%<br>250,000.0000           | 106.785<br>266,962.50                    | Level2<br>0.04%      | 106.61<br>3,333.33              | 266,512.50<br>270,490.00    | 450.00<br>-3,527.50                                       |
| ORACLE CORP<br>SENIOR NT<br>DTD 10/25/12 2.50% DUE 10/15/22<br>0993828.9         | 68389XAP0<br>2.43%<br>250,000.0000           | 103.021<br>257,552.50                    | Level2<br>0.04%      | 95.78<br>798.61                 | 239,452.50<br>261,882.50    | 18,100.00<br>-4,330.00                                    |
| ORACLE CORP<br>SENIOR NT<br>DTD 3/24/2021 2.30000% DUE<br>3/25/2028<br>0993828.9 | 68389XCD5<br>2.25%<br>750,000.0000           | 102.351<br>767,632.50                    | Level2<br>0.11%      | 101.81<br>3,210.42              | 763,537.50<br>763,537.50    | 4,095.00<br>4,095.00                                      |
| ORACLE CORP<br>SENIOR BD<br>DTD 3/24/2021 2.87500% DUE<br>3/25/2031<br>0993828.5 | 68389XCE3<br>2.80%<br>135,000.0000           | 102.515<br>138,395.25                    | Level2<br>0.02%      | 99.85<br>722.34                 | 134,790.75<br>134,790.75    | 3,604.50<br>3,604.50                                      |
| ORACLE CORP<br>SENIOR BD<br>DTD 7/7/2016 4.00000% DUE 7/15/2046<br>0993828.5     | 68389XBJ3<br>3.83%<br>50,000.0000            | 104.519<br>52,259.50                     | Level2<br>0.01%      | 98.32<br>755.56                 | 49,161.00<br>57,972.50      | 3,098.50<br>-5,713.00                                     |
| PARKER-HANNIFIN CORP<br>MED TERM NT SER A<br>DTD 09/10/10 3.50% DUE 09/15/22     | 70109HAK1<br>3.36%                           | 104.062                                  | Level2               |                                 |                             |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                 | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.9                                                                                | 500,000.0000                                 | 520,310.00                               | 0.08%                | 107.84<br>3,694.44              | 539,175.00<br>522,595.00    | -18,865.00<br>-2,285.00                                   |
| PENNSYLVANIA ELECTRIC CO<br>SENIOR BD SER 144A<br>DTD 9/8/2017 3.25000% DUE 3/15/2028    | 708696BY4<br>3.13%                           | 103.856                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                | 50,000.0000                                  | 51,928.00                                | 0.01%                | 99.86<br>343.06                 | 49,929.00<br>53,458.50      | 1,999.00<br>-1,530.50                                     |
| PEPSICO INC<br>SENIOR NT<br>DTD 3/19/2020 2.25000% DUE<br>3/19/2025                      | 713448EQ7<br>2.13%                           | 105.756                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                | 290,000.0000                                 | 306,692.40                               | 0.05%                | 99.93<br>1,305.00               | 289,794.10<br>309,659.10    | 16,898.30<br>-2,966.70                                    |
| PLAINS ALL AMERICAN PIPELINE L P<br>SENIOR NT<br>DTD 12/9/2014 4.90000% DUE<br>2/15/2045 | 72650RBH4<br>4.72%                           | 103.881                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                | 28,000.0000                                  | 29,086.68                                | 0.00%                | 91.99<br>403.98                 | 25,756.64<br>26,114.76      | 3,330.04<br>2,971.92                                      |
| PLAINS ALL AMERICAN PIPELINE L P<br>SENIOR BD<br>DTD 4/23/2014 4.70000% DUE<br>6/15/2044 | 72650RBE1<br>4.58%                           | 102.578                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                | 123,000.0000                                 | 126,170.94                               | 0.02%                | 89.65<br>2,665.68               | 110,275.25<br>109,404.81    | 15,895.69<br>16,766.13                                    |
| PLAINS ALL AMERICAN PIPELINE L P<br>SENIOR NT<br>DTD 07/15/07 6.65% DUE 01/15/37         | 72650RAR3<br>5.38%                           | 123.539                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                | 60,000.0000                                  | 74,123.40                                | 0.01%                | 101.21<br>1,507.33              | 60,725.44<br>61,871.40      | 13,397.96<br>12,252.00                                    |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                                        | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-----------------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| PNC FINANCIAL SERVICES GROUP INC<br>SENIOR NT<br>DTD 1/22/2020 2.55000% DUE<br>1/22/2030<br>0993828.5           | 693475AZ8<br>2.46%<br>249,000.0000           | 103.740<br>258,312.60                    | Level2<br>0.04%      | 100.41<br>2,275.24              | 250,016.64<br>265,082.64    | 8,295.96<br>-6,770.04                                     |
| PRESIDENT & FELLOWS OF HARVARD<br>COLLEGE<br>DEB SER B<br>DTD 10/13/2016 3.15000% DUE<br>7/15/2046<br>0993828.5 | 740816AM5<br>2.92%<br>11,000.0000            | 107.806<br>11,858.66                     | Level2<br>0.00%      | 99.83<br>130.90                 | 10,981.30<br>12,397.00      | 877.36<br>-538.34                                         |
| PUBLIC SERVICE ENTERPRISE GROUP<br>SENIOR NT<br>DTD 11/8/2016 2.00000% DUE<br>11/15/2021<br>0993828.9           | 744573AK2<br>1.99%<br>250,000.0000           | 100.640<br>251,600.00                    | Level2<br>0.04%      | 98.66<br>222.22                 | 246,642.50<br>253,442.50    | 4,957.50<br>-1,842.50                                     |
| QUALCOMM INC<br>SENIOR BD<br>DTD 5/8/2020 3.25000% DUE 5/20/2050<br>0993828.5                                   | 747525BJ1<br>3.17%<br>106,000.0000           | 102.627<br>108,784.62                    | Level2<br>0.02%      | 102.54<br>105.26                | 108,689.47<br>114,930.52    | 95.15<br>-6,145.90                                        |
| RAYTHEON TECH CORP<br>SENIOR BD<br>DTD 5/18/2020 2.25000% DUE 7/1/2030<br>0993828.5                             | 75513EAD3<br>2.25%<br>190,000.0000           | 99.854<br>189,722.60                     | Level2<br>0.03%      | 99.87<br>1,781.25               | 189,756.80<br>194,797.50    | -34.20<br>-5,074.90                                       |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                    | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|---------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| ROPER TECHNOLOGIES INC<br>SENIOR BD<br>DTD 8/26/2019 2.95000% DUE<br>9/15/2029<br>0993828.9 | 776743AG1<br>2.80%<br>500,000.0000           | 105.238<br>526,190.00                    | Level2<br>0.08%      | 110.45<br>3,113.89              | 552,225.00<br>552,225.00    | -26,035.00<br>-26,035.00                                  |
| ROSS STORES INC<br>SENIOR NT<br>DTD 9/18/2014 3.37500% DUE<br>9/15/2024<br>0993828.9        | 778296AA1<br>3.17%<br>500,000.0000           | 106.351<br>531,755.00                    | Level2<br>0.08%      | 101.81<br>3,562.50              | 509,070.00<br>526,135.00    | 22,685.00<br>5,620.00                                     |
| SEMPRA ENERGY<br>SENIOR BD<br>DTD 1/12/2018 4.00000% DUE 2/1/2048<br>0993828.5              | 816851BJ7<br>3.69%<br>50,000.0000            | 108.267<br>54,133.50                     | Level2<br>0.01%      | 92.19<br>666.67                 | 46,095.50<br>56,066.00      | 8,038.00<br>-1,932.50                                     |
| SEMPRA ENERGY<br>SENIOR BD<br>DTD 11/22/13 4.05% DUE 12/01/23<br>0993828.5                  | 816851AU3<br>3.76%<br>102,000.0000           | 107.736<br>109,890.72                    | Level2<br>0.02%      | 104.56<br>2,065.50              | 106,651.49<br>110,791.38    | 3,239.23<br>-900.66                                       |
| SMUCKER J M CO<br>SENIOR NT<br>DTD 10/18/11 3.50% DUE 10/15/21<br>0993828.9                 | 832696AB4<br>3.46%<br>250,000.0000           | 101.223<br>253,057.50                    | Level2<br>0.04%      | 104.67<br>1,118.06              | 261,680.00<br>260,382.50    | -8,622.50<br>-7,325.00                                    |
| SOUTHERN CALIF EDISON CO<br>NOTE SER E<br>DTD 8/2/2018 3.70000% DUE 8/1/2025                | 842400GN7<br>3.38%                           | 109.571                                  | Level2               |                                 |                             |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                         | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|----------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.5                                                                        | 252,000.0000                                 | 276,118.92                               | 0.04%                | 111.73<br>3,108.00              | 281,548.87<br>281,548.87    | -5,429.95<br>-5,429.95                                    |
| SOUTHERN CO<br>SENIOR BD<br>DTD 5/24/2016 3.25000% DUE 7/1/2026                  | 842587CV7<br>2.99%                           | 108.699                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                        | 233,000.0000                                 | 253,268.67                               | 0.04%                | 100.81<br>3,155.21              | 234,886.18<br>256,707.75    | 18,382.49<br>-3,439.08                                    |
| SOUTHERN CO<br>SENIOR NT<br>DTD 5/24/2016 4.40000% DUE 7/1/2046                  | 842587CX3<br>3.84%                           | 114.505                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                        | 63,000.0000                                  | 72,138.15                                | 0.01%                | 97.12<br>1,155.00               | 61,183.80<br>74,472.93      | 10,954.35<br>-2,334.78                                    |
| STANFORD UNIVERSITY<br>NOTE SER A<br>DTD 6/4/2020 1.28900% DUE 6/1/2027          | 85440KAC8<br>1.29%                           | 100.236                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                        | 57,000.0000                                  | 57,134.52                                | 0.01%                | 100.00<br>367.37                | 57,000.00<br>57,288.42      | 134.52<br>-153.90                                         |
| STANLEY BLACK & DECKER INC<br>SENIOR BD<br>DTD 11/6/2018 4.25000% DUE 11/15/2028 | 854502AH4<br>3.65%                           | 116.323                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                        | 500,000.0000                                 | 581,615.00                               | 0.09%                | 114.00<br>944.44                | 570,000.00<br>580,735.00    | 11,615.00<br>880.00                                       |
| T-MOBILE USA INC<br>SENIOR BD<br>DTD 4/15/2021 4.37500% DUE 4/15/2040            | 87264AAX3<br>3.91%                           | 111.881                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                        | 89,000.0000                                  | 99,574.09                                | 0.01%                | 110.43<br>497.53                | 98,285.37<br>98,293.38      | 1,288.72<br>1,280.71                                      |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                              | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| TARGET CORP<br>SENIOR BD<br>DTD 1/28/2020 2.35000% DUE<br>2/15/2030<br>0993828.5                      | 87612EBJ4<br>2.28%<br>160,000.0000           | 103.168<br>165,068.80                    | Level2<br>0.02%      | 97.00<br>1,107.11               | 155,192.00<br>170,171.20    | 9,876.80<br>-5,102.40                                     |
| TJX COS INC<br>SENIOR BD<br>DTD 11/30/2020 1.60000% DUE<br>5/15/2031<br>0993828.5                     | 872540AW9<br>1.69%<br>180,000.0000           | 94.691<br>170,443.80                     | Level2<br>0.03%      | 99.88<br>128.00                 | 179,776.80<br>179,776.80    | -9,333.00<br>-9,333.00                                    |
| TOYOTA MOTOR CREDIT CORP<br>MED TERM NT SER B<br>DTD 8/14/2020 1.15000% DUE<br>8/13/2027<br>0993828.9 | 89236THG3<br>1.17%<br>600,000.0000           | 98.329<br>589,974.00                     | Level2<br>0.09%      | 97.98<br>2,070.00               | 587,862.00<br>587,862.00    | 2,112.00<br>2,112.00                                      |
| TYSON FOODS INC<br>SENIOR BD<br>DTD 9/28/2018 5.10000% DUE<br>9/28/2048<br>0993828.5                  | 902494BH5<br>3.96%<br>74,000.0000            | 128.817<br>95,324.58                     | Level2<br>0.01%      | 130.47<br>660.45                | 96,550.76<br>96,550.76      | -1,226.18<br>-1,226.18                                    |
| UNION PACIFIC CORP<br>SENIOR BD<br>DTD 1/31/2020 3.75000% DUE 2/5/2070<br>0993828.5                   | 907818FL7<br>3.60%<br>87,000.0000            | 104.049<br>90,522.63                     | Level2<br>0.01%      | 110.64<br>1,051.25              | 96,255.06<br>95,459.88      | -5,732.43<br>-4,937.25                                    |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                        | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| UNITED TECHNOLOGIES CORP<br>SENIOR NT<br>DTD 8/16/2018 3.95000% DUE<br>8/16/2025<br>0993828.5   | 913017DD8<br>3.54%<br>30,000.0000            | 111.615<br>33,484.50                     | Level2<br>0.00%      | 99.83<br>345.63                 | 29,949.00<br>34,274.10      | 3,535.50<br>-789.60                                       |
| UNITEDHEALTH GROUP INC<br>SENIOR NT<br>DTD 5/18/2020 2.00000% DUE<br>5/15/2030<br>0993828.5     | 91324PDX7<br>2.01%<br>100,000.0000           | 99.551<br>99,551.00                      | Level2<br>0.01%      | 102.69<br>88.89                 | 102,690.00<br>102,690.00    | -3,139.00<br>-3,139.00                                    |
| UNITEDHEALTH GROUP INC<br>SENIOR NT<br>DTD 6/19/2018 3.85000% DUE<br>6/15/2028<br>0993828.5     | 91324PDK5<br>3.38%<br>60,000.0000            | 113.851<br>68,310.60                     | Level2<br>0.01%      | 100.94<br>1,065.17              | 60,561.60<br>71,383.80      | 7,749.00<br>-3,073.20                                     |
| VERIZON COMMUNICATIONS INC<br>SENIOR BD<br>DTD 3/20/2020 3.15000% DUE<br>3/22/2030<br>0993828.5 | 92343VFE9<br>2.96%<br>165,000.0000           | 106.438<br>175,622.70                    | Level2<br>0.03%      | 109.59<br>996.19                | 180,830.70<br>180,830.70    | -5,208.00<br>-5,208.00                                    |
| VERIZON COMMUNICATIONS INC<br>SENIOR BD<br>DTD 3/22/2021 3.40000% DUE<br>3/22/2041<br>0993828.5 | 92343VGK4<br>3.34%<br>53,000.0000            | 101.894<br>54,003.82                     | Level2<br>0.01%      | 99.94<br>345.38                 | 52,969.26<br>52,969.26      | 1,034.56<br>1,034.56                                      |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                        | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| VERIZON COMMUNICATIONS INC<br>SENIOR BD<br>DTD 6/21/2018 4.32900% DUE<br>9/21/2028<br>0993828.5 | 92343VER1<br>3.76%<br>15,000.0000            | 115.010<br>17,251.50                     | Level2<br>0.00%      | 113.83<br>126.26                | 17,074.05<br>17,892.45      | 177.45<br>-640.95                                         |
| VERIZON COMMUNICATIONS INC<br>SENIOR BD<br>DTD 8/10/2017 4.50000% DUE<br>8/10/2033<br>0993828.5 | 92343VEA8<br>3.83%<br>99,000.0000            | 117.573<br>116,397.27                    | Level2<br>0.02%      | 103.86<br>1,373.63              | 102,823.62<br>121,107.87    | 13,573.65<br>-4,710.60                                    |
| VERIZON COMMUNICATIONS INC<br>SENIOR NT<br>DTD 8/16/2017 3.37600% DUE<br>2/15/2025<br>0993828.5 | 92343VEN0<br>3.10%<br>150,000.0000           | 108.967<br>163,450.50                    | Level2<br>0.02%      | 101.80<br>1,491.07              | 152,696.80<br>166,060.50    | 10,753.70<br>-2,610.00                                    |
| VOYA FINANCIAL INC<br>SENIOR BD<br>DTD 6/13/2016 3.65000% DUE<br>6/15/2026<br>0993828.5         | 929089AB6<br>3.28%<br>213,000.0000           | 111.297<br>237,062.61                    | Level2<br>0.04%      | 109.91<br>3,584.91              | 234,104.85<br>239,086.82    | 2,957.76<br>-2,024.21                                     |
| VOYA FINANCIAL INC<br>SENIOR BD<br>DTD 6/13/2016 4.80000% DUE<br>6/15/2046<br>0993828.5         | 929089AC4<br>3.94%<br>66,000.0000            | 121.840<br>80,414.40                     | Level2<br>0.01%      | 100.13<br>1,460.80              | 66,088.62<br>73,948.38      | 14,325.78<br>6,466.02                                     |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                         | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|--------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| WELLS FARGO & CO<br>MED TERM BK NT<br>DTD 1/24/2019 3.75000% DUE<br>1/24/2024<br>0993828.5       | 95000U2C6<br>3.47%<br>159,000.0000           | 108.127<br>171,921.93                    | Level2<br>0.03%      | 104.06<br>2,103.44              | 165,452.22<br>171,322.50    | 6,469.71<br>599.43                                        |
| WELLS FARGO & CO<br>SENIOR NT<br>DTD 10/25/2016 3.00000% DUE<br>10/23/2026<br>0993828.5          | 949746SH5<br>2.77%<br>187,000.0000           | 108.439<br>202,780.93                    | Level2<br>0.03%      | 99.53<br>592.17                 | 186,121.15<br>199,433.63    | 16,659.78<br>3,347.30                                     |
| WELLS FARGO & CO<br>MED TERM BK NT<br>DTD 10/31/2019 FL RT% DUE 10/30/2025<br>0993828.5          | 95000U2H5<br>2.29%<br>270,000.0000           | 105.188<br>284,007.60                    | Level2<br>0.04%      | 100.00<br>567.97                | 270,000.00<br>276,534.00    | 14,007.60<br>7,473.60                                     |
| WELLS FARGO & CO<br>MED TERM BK NT SER N<br>DTD 9/28/2015 3.55000% DUE<br>9/29/2025<br>0993828.9 | 94974BGP9<br>3.22%<br>250,000.0000           | 110.380<br>275,950.00                    | Level2<br>0.04%      | 104.81<br>1,528.47              | 262,030.00<br>273,312.50    | 13,920.00<br>2,637.50                                     |
| WELLTOWER INC<br>SENIOR NT<br>DTD 2/15/2019 3.62500% DUE<br>3/15/2024<br>0993828.5               | 95040QAG9<br>3.36%<br>225,000.0000           | 107.813<br>242,579.25                    | Level2<br>0.04%      | 104.77<br>1,721.88              | 235,741.50<br>230,483.25    | 6,837.75<br>12,096.00                                     |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                   | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|--------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| WILLIAMS COS INC<br>SENIOR BD<br>DTD 3/2/2021 2.60000% DUE 3/15/2031<br>0993828.5          | 969457BY5<br>2.61%<br>190,000.0000           | 99.675<br>189,382.50                     | Level2<br>0.03%      | 99.63<br>1,221.28               | 189,298.90<br>189,298.90    | 83.60<br>83.60                                            |
| WILLIAMS PARTNERS L P<br>SENIOR BD<br>DTD 3/3/2015 5.10000% DUE 9/15/2045<br>0993828.5     | 96949LAC9<br>4.33%<br>42,000.0000            | 117.886<br>49,512.12                     | Level2<br>0.01%      | 98.30<br>452.20                 | 41,287.31<br>46,969.86      | 8,224.81<br>2,542.26                                      |
| WILLIAMS PARTNERS L P<br>SENIOR BD<br>DTD 6/5/2017 3.75000% DUE 6/15/2027<br>0993828.5     | 96949LAD7<br>3.38%<br>44,000.0000            | 110.963<br>48,823.72                     | Level2<br>0.01%      | 102.06<br>760.83                | 44,906.40<br>46,817.76      | 3,917.32<br>2,005.96                                      |
| WISCONSIN ENERGY CORP<br>SENIOR BD<br>DTD 6/10/2015 3.55000% DUE<br>6/15/2025<br>0993828.5 | 976657AL0<br>3.25%<br>8,000.0000             | 109.325<br>8,746.00                      | Level2<br>0.00%      | 99.98<br>130.96                 | 7,998.64<br>8,744.64        | 747.36<br>1.36                                            |
| XCEL ENERGY INC<br>SENIOR BD<br>DTD 11/7/2019 2.60000% DUE<br>12/1/2029<br>0993828.5       | 98389BAW0<br>2.52%<br>95,000.0000            | 103.258<br>98,095.10                     | Level2<br>0.01%      | 99.28<br>1,235.00               | 94,315.05<br>99,226.55      | 3,780.05<br>-1,131.45                                     |
| ZOETIS INC<br>SENIOR NT<br>DTD 8/1/2013 3.25000% DUE 2/1/2023                              | 98978VAB9<br>3.12%                           | 104.062                                  | Level2               |                                 |                             |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                           | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost            | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|----------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|----------------------------------------|-----------------------------------------------------------|
| 0993828.9                                                                                          | 350,000.0000                                 | 364,217.00                               | 0.05%                | 105.48<br>3,791.67              | 369,187.00<br>369,187.00               | -4,970.00<br>-4,970.00                                    |
| ZOETIS INC<br>SENIOR NT<br>DTD 9/12/2017 3.00000% DUE<br>9/12/2027                                 | 98978VAL7<br>2.78%                           | 107.955                                  | Level2               |                                 |                                        |                                                           |
| 0993828.9                                                                                          | 250,000.0000                                 | 269,887.50                               | 0.04%                | 107.43<br>1,645.83              | 268,570.00<br>273,560.00               | 1,317.50<br>-3,672.50                                     |
| <b>Total Corporate Bonds</b>                                                                       |                                              | <b>33,042,676.94</b>                     | <b>4.92%</b>         | <b>N/A<br/>216,592.62</b>       | <b>32,262,905.17<br/>33,210,033.77</b> | <b>779,771.77<br/>-167,356.83</b>                         |
| <b>Corporate Mortgage Back</b>                                                                     |                                              |                                          |                      |                                 |                                        |                                                           |
| AMERICAN EXPRESS CR ACCOUNT MSTR<br>TR<br>ABS 2017-7 A<br>DTD 10/30/2017 2.35000% DUE<br>5/15/2025 | 02582JHL7<br>2.28%                           | 102.977                                  | Level2               |                                 |                                        |                                                           |
| 0993828.5                                                                                          | 245,000.0000                                 | 252,294.14                               | 0.04%                | 101.26<br>255.89                | 248,096.81<br>254,420.78               | 4,197.33<br>-2,126.64                                     |
| AMSR TRUST<br>ABS 2020-SF4 A<br>DTD 9/1/2020 1.35500% DUE<br>11/17/2037                            | 66981FAA8<br>1.36%                           | 99.709                                   | Level2               |                                 |                                        |                                                           |
| 0993828.5                                                                                          | 250,000.0000                                 | 249,271.75                               | 0.04%                | 100.00<br>282.29                | 249,992.45<br>249,992.45               | -720.70<br>-720.70                                        |
| ANGEL OAK MORTGAGE TRUST<br>ABS SEQ PYR 2018-3 A1 144A<br>DTD 8/1/2018 3.64900% DUE 9/25/2048      | 03464NAA0<br>3.62%                           | 100.669                                  | Level2               |                                 |                                        |                                                           |
| 0993828.5                                                                                          | 22,308.5894                                  | 22,457.83                                | 0.00%                | 100.00<br>67.84                 | 22,308.42<br>22,598.76                 | 149.41<br>-140.93                                         |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                                                                      | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-----------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| ANGEL OAK MORTGAGE TRUST I LLC<br>CMO SEQ PYR 2018-2 A1<br>DTD 6/1/2018 3.67400% DUE 7/27/2048<br>0993828.5                                   | 03463VAA3<br>3.65%<br>53,675.4601            | 100.725<br>54,064.55                     | Level2<br>0.01%      | 100.00<br>164.34                | 53,675.19<br>54,418.21      | 389.36<br>-353.66                                         |
| BAYVIEW OPPORTUNITY MASTER FD IVA<br>TRUST<br>ABS SEQ PYR 2016-SPL1 A 144A<br>DTD 11/30/2016 4.00000% DUE<br>4/28/2055<br>0993828.5           | 07332HAA4<br>3.91%<br>25,468.5869            | 102.386<br>26,076.17                     | Level2<br>0.00%      | 103.07<br>8.49                  | 26,251.64<br>26,081.11      | -175.47<br>-4.94                                          |
| BREAMER HOTELS & RESORTS TRUST<br>CMO SEQ PYR 2018-PRME A 144A<br>DTD 6/6/2018 FL RT% DUE 6/15/2035<br>0993828.5                              | 10482TAA0<br>0.98%<br>185,000.0000           | 99.517<br>184,105.53                     | Level2<br>0.03%      | 99.00<br>80.46                  | 183,150.00<br>183,150.00    | 955.53<br>955.53                                          |
| CAPITAL ONE MULTI-ASSET EXECUTION<br>TR<br>ABS SEQ PYR 17-6 A SFT BLT MAT<br>9/15/22<br>DTD 10/10/2017 2.29000% DUE<br>7/15/2025<br>0993828.5 | 14041NFQ9<br>2.23%<br>550,000.0000           | 102.711<br>564,911.60                    | Level2<br>0.08%      | 99.98<br>559.78                 | 549,871.74<br>572,862.40    | 15,039.86<br>-7,950.80                                    |
| CAPITAL ONE MULTI-ASSET EXECUTION<br>TRUST<br>ABS 2017-A3 A3<br>DTD 3/27/2017 2.43000% DUE<br>1/15/2025                                       | 14041NFM8<br>2.39%                           | 101.835                                  | Level2               |                                 |                             |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                                       | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|----------------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.5                                                                                                      | 300,000.0000                                 | 305,503.80                               | 0.05%                | 100.97<br>324.00                | 302,920.92<br>308,206.78    | 2,582.88<br>-2,702.98                                     |
| CARMAX AUTO OWNER TRUST<br>ABS SEQ PYR 2019-3 A3<br>DTD 7/30/2019 2.18000% DUE<br>8/15/2024                    | 14315PAD7<br>2.14%                           | 101.777                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                                      | 195,000.0000                                 | 198,464.37                               | 0.03%                | 99.99<br>188.93                 | 194,973.81<br>200,086.19    | 3,490.56<br>-1,621.82                                     |
| CARVANA AUTO RECEIVABLES TRUST<br>ABS SEQ PRY 2020-P1 A3<br>DTD 12/10/2020 0.44000% DUE<br>6/8/2025            | 14687AAN8<br>0.44%                           | 100.295                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                                      | 130,000.0000                                 | 130,383.89                               | 0.02%                | 99.99<br>36.54                  | 129,992.68<br>129,992.68    | 391.21<br>391.21                                          |
| CITIGROUP COMMERCIAL MORTGAGE<br>TRUST<br>CMO SEQ PYR 2015-GC35 A3<br>DTD 12/1/2015 3.54900% DUE<br>11/10/2048 | 17324KAN8<br>3.27%                           | 108.597                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                                      | 250,000.0000                                 | 271,492.75                               | 0.04%                | 108.50<br>739.38                | 271,259.77<br>271,259.77    | 232.98<br>232.98                                          |
| CNH EQUIPMENT TRUST<br>ABS SEQ PYR 2019-B A3<br>DTD 5/22/2019 2.52000% DUE<br>8/15/2024                        | 12596TAC5<br>2.48%                           | 101.468                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                                      | 150,361.2510                                 | 152,568.25                               | 0.02%                | 100.93<br>168.40                | 151,755.45<br>153,045.48    | 812.80<br>-477.23                                         |
| COMM MORTGAGE TRUST<br>CMO SEQ PYR 2015-CR22 A5<br>DTD 3/1/2015 3.30900% DUE 3/10/2048                         | 12592XBD7<br>3.06%                           | 108.297                                  | Level2               |                                 |                             |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                              | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.5                                                                                             | 250,000.0000                                 | 270,742.25                               | 0.04%                | 107.31<br>689.38                | 268,281.25<br>265,940.00    | 2,461.00<br>4,802.25                                      |
| COMM MORTGAGE TRUST<br>CMO SEQ PYR 2018-COR3 A2<br>DTD 5/1/2018 3.96100% DUE 5/12/2051                | 12595VAC1<br>3.51%                           | 112.981                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                             | 250,000.0000                                 | 282,453.25                               | 0.04%                | 113.54<br>825.21                | 283,837.89<br>279,603.50    | -1,384.64<br>2,849.75                                     |
| CSMC TRUST<br>CMO SEQ PYR 2018-RPL9 A 144A<br>DTD 9/14/2018 FL RT% DUE 9/25/2057                      | 12654PAE8<br>3.60%                           | 106.854                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                             | 119,630.1840                                 | 127,829.52                               | 0.02%                | 99.79<br>383.81                 | 119,384.59<br>125,276.62    | 8,444.93<br>2,552.90                                      |
| DISCOVER CARD EXECUTION NOTE TRUST<br>ABS 2017-A2 A2<br>DTD 1/20/2017 2.39000% DUE<br>7/15/2024       | 254683BX2<br>2.36%                           | 101.411                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                             | 340,000.0000                                 | 344,798.08                               | 0.05%                | 101.16<br>361.16                | 343,932.52<br>346,687.30    | 865.56<br>-1,889.22                                       |
| DRB PRIME STUDENT LOAN TRUST<br>ABS SEQ PYR 2015-D A2 144A<br>DTD 12/9/2015 3.20000% DUE<br>1/25/2040 | 23341KAB1<br>3.19%                           | 100.191                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                             | 12,314.0014                                  | 12,337.53                                | 0.00%                | 101.41<br>6.57                  | 12,487.18<br>12,446.51      | -149.65<br>-108.98                                        |
| FORD CREDIT AUTO OWNER TRUST<br>ABS SEQ PYR 2016-2 A 144A<br>DTD 6/28/2016 2.03000% DUE<br>12/15/2027 | 34531BAA0<br>2.03%                           | 100.083                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                             | 350,000.0000                                 | 350,290.50                               | 0.05%                | 98.63<br>315.78                 | 345,187.50<br>350,930.65    | 5,103.00<br>-640.15                                       |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                                                  | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|---------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| FORD CREDIT FLOORPLAN MASTER<br>OWNER TR<br>ABS SEQ PYR 2017-3 A<br>DTD 10/11/2017 2.48000% DUE<br>9/15/2024<br>0993828.5 | 34528QFU3<br>2.41%<br>245,000.0000           | 102.918<br>252,148.86                    | Level2<br>0.04%      | 99.98<br>270.04                 | 244,942.03<br>244,646.71    | 7,206.83<br>7,502.15                                      |
| GS MORTGAGE SECURITIES TRUST<br>CMO 2013-GC13 A-5<br>DTD 07/01/13 4.175% DUE 07/12/46<br>0993828.5                        | 36198EAE5<br>3.92%<br>75,000.0000            | 106.400<br>79,800.00                     | Level2<br>0.01%      | 102.78<br>261.72                | 77,088.73<br>80,117.55      | 2,711.27<br>-317.55                                       |
| HARLEY-DAVIDSON MOTORCYCLE TRUST<br>ABS SEQ PYR 2020-A A3<br>DTD 1/29/2020 1.87000% DUE<br>10/15/2024<br>0993828.5        | 41284UAD6<br>1.85%<br>85,000.0000            | 101.205<br>86,024.17                     | Level2<br>0.01%      | 99.98<br>70.64                  | 84,981.46<br>86,105.60      | 1,042.71<br>-81.43                                        |
| HARLEY-DAVIDSON MOTORCYCLE TRUST<br>ABS SEQ PYR 2021-A A-3<br>DTD 2/18/2021 0.37000% DUE<br>4/15/2026<br>0993828.5        | 41284NAC4<br>0.37%<br>83,000.0000            | 100.064<br>83,052.79                     | Level2<br>0.01%      | 99.98<br>13.65                  | 82,980.42<br>82,980.42      | 72.37<br>72.37                                            |
| HYUNDAI AUTO RECEIVABLES TRUST<br>ABS SEQ PYR 2021-A A-3<br>DTD 4/28/2021 0.38000% DUE<br>9/15/2025<br>0993828.5          | 44933LAC7<br>0.38%<br>88,000.0000            | 100.146<br>88,128.39                     | Level2<br>0.01%      | 99.99<br>14.86                  | 87,990.74<br>87,990.74      | 137.65<br>137.65                                          |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                                           | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| INVITATION HOMES TRUST<br>ABS SEQ PYR 2018-SFR2 A 144A<br>DTD 5/8/2018 FL RT% DUE 6/17/2037<br>0993828.5           | 46185HAA0<br>2.79%<br>149,501.3049           | 100.297<br>149,944.88                    | Level2<br>0.02%      | 100.00<br>61.19                 | 149,501.33<br>146,197.63    | 443.55<br>3,747.25                                        |
| JPMBB COMMERCIAL MTG SECS TRUST<br>CMO SEQ PYR 2014-C26 A4<br>DTD 12/1/2014 3.49430% DUE<br>1/15/2048<br>0993828.5 | 46643TBJ0<br>3.23%<br>140,000.0000           | 108.287<br>151,601.94                    | Level2<br>0.02%      | 100.80<br>407.67                | 141,115.63<br>149,558.92    | 10,486.31<br>2,043.02                                     |
| JPMBB COMMERCIAL MTG SECS TRUST<br>CMO 2013-C14 A4<br>DTD 08/01/13 4.132% DUE 08/15/46<br>0993828.5                | 46640LAD4<br>3.93%<br>52,049.9689            | 105.231<br>54,772.65                     | Level2<br>0.01%      | 103.37<br>179.26                | 53,801.47<br>55,442.67      | 971.18<br>-670.02                                         |
| JPMORGAN CHASE COMML MTG SECS<br>CMO SEQ PYR 2012-C8 A3<br>DTD 10/1/12 2.8291% DUE 10/15/45<br>0993828.5           | 46638UAC0<br>2.77%<br>50,818.7094            | 102.294<br>51,984.49                     | Level2<br>0.01%      | 103.12<br>119.81                | 52,402.83<br>51,814.60      | -418.34<br>169.89                                         |
| JPMORGAN CHASE COMML MTG SECS<br>CMO 2012-LC9 A5<br>DTD 12/01/12 2.84% DUE 12/15/47<br>0993828.5                   | 46639EAE1<br>2.77%<br>71,564.1356            | 102.628<br>73,444.63                     | Level2<br>0.01%      | 102.50<br>169.37                | 73,352.95<br>72,974.59      | 91.68<br>470.04                                           |
| MERCEDES-BENZ AUTO LEASE TRUST<br>ABS 2020-B A3<br>DTD 9/23/2020 0.40000% DUE<br>11/15/2023                        | 58769EAC2<br>0.40%<br>100.229                | 100.229                                  | Level2               |                                 |                             |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                           | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|----------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.5                                                                                          | 32,000.0000                                  | 32,073.41                                | 0.00%                | 99.99<br>5.69                   | 31,998.38<br>31,998.38      | 75.03<br>75.03                                            |
| MORGAN STANLEY BAML TRUST<br>CMO 2015-C20 A3<br>DTD 1/1/2015 2.98800% DUE 2/15/2048                | 61764RBE7<br>2.83%                           | 105.700                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                          | 87,668.7013                                  | 92,665.82                                | 0.01%                | 100.99<br>116.42                | 88,540.69<br>91,413.38      | 4,125.13<br>1,252.44                                      |
| MORGAN STANLEY BAML TRUST<br>CMO SEQ PYR 2013-C12 A4<br>DTD 10/1/2013 FL RT% DUE 10/15/2046        | 61762XAU1<br>3.99%                           | 106.759                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                          | 190,000.0000                                 | 202,842.67                               | 0.03%                | 108.59<br>674.34                | 206,313.28<br>201,378.53    | -3,470.61<br>1,464.14                                     |
| MORGAN STANLEY CAPITAL I TRUST<br>CMO SEQ PYR 2016-UBS9 A4<br>DTD 3/1/2016 3.59400% DUE 3/15/2049  | 61766CAE9<br>3.26%                           | 110.369                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                          | 35,000.0000                                  | 38,629.01                                | 0.01%                | 107.50<br>104.83                | 37,625.00<br>37,332.51      | 1,004.01<br>1,296.50                                      |
| MORGAN STANLEY CAPITAL I TRUST<br>CMO SEQ PYR 2019-H7 A3<br>DTD 7/1/2019 FL RT% DUE 7/15/2052      | 61771MAV2<br>2.90%                           | 103.795                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                          | 265,000.0000                                 | 275,055.69                               | 0.04%                | 101.00<br>663.60                | 267,642.85<br>280,917.23    | 7,412.84<br>-5,861.54                                     |
| OCEANVIEW MORTGAGE LOAN TRUST<br>CMO SEQ PYR 2020-1 A-1A 144A<br>DTD 7/1/2020 FL RT% DUE 5/28/2050 | 676477AA0<br>1.72%                           | 100.770                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                          | 93,402.4668                                  | 94,122.04                                | 0.01%                | 100.00<br>134.88                | 93,402.45<br>93,402.45      | 719.59<br>719.59                                          |
| ONSLow BAY FINANCIAL LLC<br>ABS SEQ PYR 2020-EXP1 2A1A 144A<br>DTD 2/28/2020 FL RT% DUE 2/25/2060  | 67448TBC8<br>2.42%                           | 100.080                                  | Level2               |                                 |                             |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                                                         | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.5                                                                                                                        | 81,105.1226                                  | 81,169.60                                | 0.01%                | 100.00<br>12.63                 | 81,105.12<br>81,016.22      | 64.48<br>153.38                                           |
| PROGRESS RESIDENTIAL TRUST<br>ABS 2020-SFR3 A<br>DTD 10/8/2020 1.29400% DUE<br>10/17/2027                                        | 74333AAA8<br>1.30%                           | 99.744                                   | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                                                        | 265,000.0000                                 | 264,321.60                               | 0.04%                | 100.00<br>285.76                | 264,995.10<br>264,995.10    | -673.50<br>-673.50                                        |
| PROGRESS RESIDENTIAL TRUST<br>ABS 2018-SFR2 A 144A<br>DTD 8/2/2018 3.71200% DUE 8/17/2035                                        | 74332UAA5<br>3.70%                           | 100.294                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                                                        | 100,000.0000                                 | 100,293.50                               | 0.01%                | 100.00<br>309.33                | 99,997.55<br>102,792.90     | 295.95<br>-2,499.40                                       |
| SANTANDER DRIVE AUTO TRUST<br>ABS SEQ PYR 2020-3 A-3<br>DTD 9/23/2020 0.52000% DUE<br>7/15/2024                                  | 80285WAD9<br>0.52%                           | 100.195                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                                                        | 187,000.0000                                 | 187,364.09                               | 0.03%                | 100.10<br>43.22                 | 187,183.09<br>187,183.09    | 181.00<br>181.00                                          |
| STARVEST EMERGING MARKETS CBO<br>CMO 2020-3 A1<br>DTD 7/1/2020 FL RT% DUE 4/25/2060                                              | 85573MAA7<br>1.47%                           | 101.085                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                                                        | 191,840.0179                                 | 193,920.91                               | 0.03%                | 100.00<br>237.56                | 191,837.50<br>191,837.50    | 2,083.41<br>2,083.41                                      |
| SYNCHRONY CR CARD MASTER NOTE<br>TRUST<br>ABS SEQ PYR 17-2 A SFT BLT MAT<br>10/17/22<br>DTD 11/2/2017 2.62000% DUE<br>10/15/2025 | 87165LBU4<br>2.54%                           | 103.320                                  | Level2               |                                 |                             |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                                   | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|------------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.5                                                                                                  | 130,000.0000                                 | 134,316.39                               | 0.02%                | 99.99<br>151.38                 | 129,983.96<br>135,545.02    | 4,332.43<br>-1,228.63                                     |
| TOWD POINT MORTGAGE TRUST<br>ABS SEQ PYR 2018-2 A1 144A<br>DTD 5/1/2018 3.25000% DUE 3/25/2058             | 89175VAA1<br>3.13%                           | 103.992                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                                  | 60,461.0465                                  | 62,874.47                                | 0.01%                | 98.77<br>163.75                 | 59,719.40<br>62,405.59      | 3,155.07<br>468.88                                        |
| TOWD POINT MORTGAGE TRUST<br>ABS 2018-3 A1 SER 144A<br>DTD 6/1/2018 3.75000% DUE 5/25/2058                 | 89175MAA1<br>3.55%                           | 105.725                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                                  | 57,347.1414                                  | 60,630.38                                | 0.01%                | 101.76<br>179.21                | 58,354.71<br>60,272.99      | 2,275.67<br>357.39                                        |
| TOWD POINT MTG TRUST<br>ABS 2016-2 A1 144A<br>DTD 5/1/2016 FL RT% DUE 8/25/2055                            | 89172PAA7<br>2.97%                           | 101.125                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                                  | 16,527.5565                                  | 16,713.43                                | 0.00%                | 100.78<br>41.32                 | 16,656.96<br>16,866.77      | 56.47<br>-153.34                                          |
| TOYOTA AUTO RECEIVABLES OWNER<br>TRUST<br>ABS SEQ PYR 2020-1 A 144A<br>DTD 6/8/2020 0.00000% DUE 5/25/2033 | 89237JAA4<br>0.00%                           | 102.132                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                                  | 270,000.0000                                 | 275,756.94                               | 0.04%                | 101.02<br>60.75                 | 272,758.71<br>272,758.71    | 2,998.23<br>2,998.23                                      |
| UBS COMMERCIAL MORTGAGE TRUST<br>CMO SEQ PYR 2017-C5 A4<br>DTD 11/1/2017 3.21200% DUE<br>11/15/2050        | 90276TAF1<br>3.00%                           | 107.184                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                                  | 250,000.0000                                 | 267,960.50                               | 0.04%                | 108.59<br>669.17                | 271,464.84<br>268,861.50    | -3,504.34<br>-901.00                                      |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                                                  | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|---------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| UBS COMMERCIAL MORTGAGE TRUST<br>CMO 2017-C7 A3<br>DTD 12/1/2017 3.41800% DUE<br>12/15/2050<br>0993828.5                  | 90276WAR8<br>3.12%<br><br>265,000.0000       | 109.457<br><br>290,061.05                | Level2<br><br>0.04%  | <br><br>100.99<br>402.56        | 267,633.76<br>288,980.38    | 22,427.29<br>1,080.67                                     |
| VERIZON OWNER TRUST<br>ABS SEQ PYR 2020-A A1A<br>DTD 1/29/2020 1.85000% DUE<br>7/22/2024<br>0993828.5                     | 92348TAA2<br>1.82%<br><br>181,000.0000       | 101.898<br><br>184,435.02                | Level2<br><br>0.03%  | <br><br>99.99<br>102.32         | 180,978.80<br>185,750.16    | 3,456.22<br>-1,315.14                                     |
| VERIZON OWNER TRUST<br>ABS SEQ PYR 2018-A A1A<br>DTD 10/10/2018 3.23000% DUE<br>4/20/2023<br>0993828.5                    | 92348XAA3<br>3.20%<br><br>25,371.2097        | 100.965<br><br>25,615.97                 | Level2<br><br>0.00%  | <br><br>100.00<br>25.04         | 25,370.81<br>25,973.90      | 245.16<br>-357.93                                         |
| WELLS FARGO COMMERCIAL MORTGAGE<br>TR<br>CMO SEQ PYR 2016-C36 A4<br>DTD 11/1/2016 3.06500% DUE<br>11/15/2059<br>0993828.5 | 95000MBP5<br>2.89%<br><br>250,000.0000       | 106.174<br><br>265,434.00                | Level2<br><br>0.04%  | <br><br>107.22<br>638.54        | 268,038.87<br>265,331.50    | -2,604.87<br>102.50                                       |
| WELLS FARGO COMMERCIAL MORTGAGE<br>TRUST<br>CMO SEQ PYR 2015-C28 A3<br>DTD 5/1/2015 3.29000% DUE 5/15/2048<br>0993828.5   | 94989JAY2<br>3.09%<br><br>176,758.6916       | 106.564<br><br>188,360.96                | Level2<br><br>0.03%  | <br><br>100.81<br>484.61        | 178,194.85<br>187,899.27    | 10,166.11<br>461.69                                       |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                                              | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost                | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|--------------------------------------------|-----------------------------------------------------------|
| WELLS FARGO COMMERCIAL MTG TRUST<br>CMO SEQ PYR 2016-LC25 A3<br>DTD 12/1/2016 3.37400% DUE<br>12/15/2059<br>0993828.5 | 95000JAU2<br>3.10%<br>200,000.0000           | 108.664<br>217,328.00                    | Level2<br>0.03%      | 110.00<br>562.33                | 220,000.00<br>220,000.00                   | -2,672.00<br>-2,672.00                                    |
| WF-RBS COMMERCIAL MTG TRUST<br>CMO 2012-C9 A3<br>DTD 10/01/12 2.87% DUE 11/15/45<br>0993828.5                         | 92930RBB7<br>2.80%<br>174,549.2961           | 102.448<br>178,822.61                    | Level2<br>0.03%      | 102.50<br>417.46                | 178,905.52<br>176,563.25                   | -82.91<br>2,259.36                                        |
| WF-RBS COMMERCIAL MTG TRUST<br>CMO SEQ PYR 2014-C24 A5<br>DTD 11/1/2014 3.60700% DUE<br>11/15/2047<br>0993828.5       | 92939KAE8<br>3.33%<br>255,000.0000           | 108.291<br>276,141.29                    | Level2<br>0.04%      | 103.19<br>766.49                | 263,128.13<br>271,677.26                   | 13,013.16<br>4,464.03                                     |
| WORLD OMNI AUTO RECEIVABLES TRUST<br>ABS SEQ PYR 2018-A A3<br>DTD 2/7/2018 2.50000% DUE 4/17/2023<br>0993828.5        | 98161VAC4<br>2.48%<br>33,569.3337            | 100.675<br>33,795.89                     | Level2<br>0.01%      | 99.97<br>37.30                  | 33,560.86<br>33,967.20                     | 235.03<br>-171.31                                         |
| WORLD OMNI AUTO RECEIVABLES TRUST<br>ABS SEQ PYR 2021-A A-3<br>DTD 2/10/2021 0.30000% DUE<br>1/15/2026<br>0993828.5   | 98164EAC9<br>0.30%<br>185,000.0000           | 99.978<br>184,960.04                     | Level2<br>0.03%      | 99.99<br>24.67                  | 184,987.40<br>184,987.40                   | -27.36<br>-27.36                                          |
| <b>Total Corporate Mortgage Back</b>                                                                                  |                                              | <b>9,096,613.84</b>                      | <b>1.35%</b>         | <b>N/A</b><br><b>14,341.62</b>  | <b>8,965,895.99</b><br><b>9,086,936.81</b> | <b>130,717.85</b><br><b>9,677.03</b>                      |
| <b>Government Mortgage Back</b>                                                                                       |                                              |                                          |                      |                                 |                                            |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                          | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-----------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| FANNIE MAE<br>POOL AH4167<br>DTD 01/01/11 4.00% DUE 01/01/41<br>0993828.5         | 3138A5TZ5<br>3.66%<br>33,255.8988            | 109.294<br>36,346.70                     | Level2<br>0.01%      | 98.74<br>110.85                 | 32,838.22<br>36,908.83      | 3,508.48<br>-562.13                                       |
| FANNIE MAE<br>CMO PAC 2013-12 UA<br>DTD 01/01/13 2.50% DUE 09/25/42<br>0993828.9  | 3136ABWQ2<br>2.39%<br>151,374.2950           | 104.664<br>158,434.24                    | Level2<br>0.02%      | 104.15<br>315.36                | 157,659.82<br>157,708.69    | 774.42<br>725.55                                          |
| FANNIE MAE<br>POOL AZ4775<br>DTD 10/1/2015 3.50000% DUE<br>10/1/2045<br>0993828.5 | 3138YWJV0<br>3.26%<br>62,885.2403            | 107.289<br>67,468.95                     | Level2<br>0.01%      | 104.87<br>183.42                | 65,945.99<br>67,523.03      | 1,522.96<br>-54.08                                        |
| FANNIE MAE<br>POOL AS6135<br>DTD 10/1/2015 4.00000% DUE<br>11/1/2045<br>0993828.5 | 3138WFY91<br>3.65%<br>162,882.2553           | 109.691<br>178,667.17                    | Level2<br>0.03%      | 106.12<br>542.94                | 172,858.77<br>178,915.96    | 5,808.40<br>-248.79                                       |
| FANNIE MAE<br>POOL BC4764<br>DTD 10/1/2016 3.00000% DUE<br>10/1/2046<br>0993828.5 | 3140F0JJ4<br>2.84%<br>267,964.2533           | 105.470<br>282,621.90                    | Level2<br>0.04%      | 97.44<br>669.91                 | 261,097.67<br>283,204.73    | 21,524.23<br>-582.83                                      |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                     | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|----------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| FANNIE MAE<br>CMO SEQ PYR 2014-81 GC<br>DTD 11/1/2014 3.00000% DUE<br>3/25/2038<br>0993828.9 | 3136ALA27<br>2.88%<br>139,460.0520           | 104.257<br>145,396.59                    | Level2<br>0.02%      | 104.05<br>348.65                | 145,103.81<br>148,211.99    | 292.78<br>-2,815.40                                       |
| FANNIE MAE<br>POOL BA2907<br>DTD 11/1/2015 4.00000% DUE<br>11/1/2045<br>0993828.5            | 3140E7GR5<br>3.70%<br>42,201.8818            | 108.174<br>45,651.46                     | Level2<br>0.01%      | 107.86<br>140.67                | 45,518.70<br>46,830.90      | 132.76<br>-1,179.44                                       |
| FANNIE MAE<br>POOL BJ1636<br>DTD 11/1/2017 3.50000% DUE<br>11/1/2047<br>0993828.5            | 3140H2ZA9<br>3.30%<br>42,255.0135            | 106.046<br>44,809.75                     | Level2<br>0.01%      | 97.59<br>123.24                 | 41,238.25<br>44,777.11      | 3,571.50<br>32.64                                         |
| FANNIE MAE<br>POOL CA0833<br>DTD 11/1/2017 3.50000% DUE<br>12/1/2047<br>0993828.5            | 3140Q74T1<br>3.30%<br>201,286.4608           | 106.177<br>213,719.93                    | Level2<br>0.03%      | 103.20<br>587.09                | 207,733.92<br>213,992.66    | 5,986.01<br>-272.73                                       |
| FANNIE MAE<br>CMO PAC 2010-150 PC<br>DTD 12/1/2010 3.00000% DUE<br>10/25/2040<br>0993828.9   | 31397QDZ8<br>2.83%<br>106,763.5074           | 105.962<br>113,129.17                    | Level2<br>0.02%      | 103.83<br>266.91                | 110,850.56<br>113,247.59    | 2,278.61<br>-118.42                                       |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                    | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|---------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| FANNIE MAE<br>POOL AH0943<br>DTD 12/01/10 4.00% DUE 12/01/40<br>0993828.5                   | 3138A2BM0<br>3.63%<br>42,340.7791            | 110.316<br>46,708.65                     | Level2<br>0.01%      | 101.51<br>141.14                | 42,980.50<br>46,905.64      | 3,728.15<br>-196.99                                       |
| FANNIE MAE<br>POOL AS6413<br>DTD 12/1/2015 2.50000% DUE 1/1/2031<br>0993828.5               | 3138WGDT8<br>2.37%<br>27,099.3604            | 105.344<br>28,547.55                     | Level2<br>0.00%      | 100.84<br>56.46                 | 27,327.99<br>28,632.17      | 1,219.56<br>-84.62                                        |
| FANNIE MAE<br>POOL BC0133<br>DTD 12/1/2015 3.00000% DUE<br>12/1/2030<br>0993828.5           | 3140EUEF2<br>2.83%<br>97,990.8960            | 105.984<br>103,854.67                    | Level2<br>0.02%      | 103.41<br>244.98                | 101,328.71<br>103,564.13    | 2,525.96<br>290.54                                        |
| FANNIE MAE<br>POOL AL9546<br>DTD 12/1/2016 3.50000% DUE<br>11/1/2046<br>0993828.5           | 3138ERTC4<br>3.26%<br>52,461.8025            | 107.439<br>56,364.44                     | Level2<br>0.01%      | 102.97<br>153.01                | 54,019.28<br>56,453.81      | 2,345.16<br>-89.37                                        |
| FANNIE MAE<br>CMO SEQ PYR 2017-M15 ATS2<br>DTD 12/1/2017 FL RT% DUE 11/25/2027<br>0993828.5 | 3136AY6U2<br>2.93%<br>360,000.0000           | 107.108<br>385,589.52                    | Level2<br>0.06%      | 101.19<br>188.17                | 364,278.96<br>394,979.40    | 21,310.56<br>-9,389.88                                    |
| FANNIE MAE<br>POOL BH7058<br>DTD 12/1/2017 3.50000% DUE<br>12/1/2047                        | 3140GVZY4<br>3.31%                           | 105.816                                  | Level2               |                                 |                             |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                   | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|----------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.5                                                                  | 73,163.4620                                  | 77,418.65                                | 0.01%                | 97.52<br>213.39                 | 71,345.82<br>77,564.71      | 6,072.83<br>-146.06                                       |
| FANNIE MAE<br>POOL MA3905<br>DTD 12/1/2019 3.00000% DUE 1/1/2050           | 31418DKT8<br>2.87%                           | 104.497                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                  | 375,048.6804                                 | 391,914.62                               | 0.06%                | 102.59<br>937.62                | 384,776.51<br>394,738.75    | 7,138.11<br>-2,824.13                                     |
| FANNIE MAE<br>POOL BC1158<br>DTD 2/1/2016 3.50000% DUE 2/1/2046            | 3140EVJC2<br>3.26%                           | 107.222                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                  | 65,508.2700                                  | 70,239.28                                | 0.01%                | 105.96<br>191.07                | 69,413.96<br>70,411.16      | 825.32<br>-171.88                                         |
| FANNIE MAE<br>POOL BH9277<br>DTD 2/1/2018 3.50000% DUE 2/1/2048            | 3140GYJX8<br>3.30%                           | 105.971                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                  | 190,778.9696                                 | 202,170.38                               | 0.03%                | 99.81<br>556.44                 | 190,421.27<br>202,553.62    | 11,749.11<br>-383.24                                      |
| FANNIE MAE<br>CMO 2013-30 JA<br>DTD 3/1/2013 1.50000% DUE 4/25/2043        | 3136ADMZ9<br>1.50%                           | 100.208                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                  | 140,060.8804                                 | 140,352.35                               | 0.02%                | 93.48<br>35.02                  | 130,935.06<br>139,584.81    | 9,417.29<br>767.54                                        |
| FANNIE MAE<br>CMO SEQ PYR 2017-28 A<br>DTD 3/1/2017 3.50000% DUE 5/25/2045 | 3136AWEJ2<br>3.40%                           | 102.831                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                  | 125,215.4700                                 | 128,759.82                               | 0.02%                | 102.92<br>365.21                | 128,874.11<br>130,165.35    | -114.29<br>-1,405.53                                      |
| FANNIE MAE<br>POOL 890200<br>DTD 04/01/10 6.000% DUE 12/01/39              | 31410K7M0<br>5.02%                           | 119.483                                  | Level2               |                                 |                             |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                    | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-----------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.5                                                                   | 4,910.7730                                   | 5,867.54                                 | 0.00%                | 139.76<br>24.55                 | 6,863.52<br>5,800.85        | -995.98<br>66.69                                          |
| FANNIE MAE<br>POOL AT0347<br>DTD 04/01/13 3.50% DUE 05/01/43                | 3138WML58<br>3.22%                           | 108.677                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                   | 43,251.6913                                  | 47,004.64                                | 0.01%                | 102.81<br>126.15                | 44,465.94<br>47,374.12      | 2,538.70<br>-369.48                                       |
| FANNIE MAE<br>CMO SEQ PYR 2017-40 GL<br>DTD 4/1/2017 3.50000% DUE 3/25/2043 | 3136AWPZ4<br>3.41%                           | 102.715                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                   | 133,204.9907                                 | 136,822.04                               | 0.02%                | 102.97<br>388.51                | 137,159.52<br>138,072.70    | -337.48<br>-1,250.66                                      |
| FANNIE MAE<br>POOL MA3358<br>DTD 4/1/2018 4.50000% DUE 5/1/2048             | 31418CWU4<br>4.15%                           | 108.431                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                   | 66,811.3711                                  | 72,444.24                                | 0.01%                | 103.72<br>250.54                | 69,295.92<br>72,615.61      | 3,148.32<br>-171.37                                       |
| FANNIE MAE<br>POOL 995681<br>DTD 05/01/09 6.00% DUE 05/01/38                | 31416CCS3<br>5.14%                           | 116.624                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                   | 4,364.7371                                   | 5,090.33                                 | 0.00%                | 143.89<br>21.82                 | 6,280.40<br>5,035.14        | -1,190.07<br>55.19                                        |
| FANNIE MAE<br>POOL AD6942<br>DTD 06/01/10 5.00% DUE 06/01/40                | 31418UWC4<br>4.32%                           | 115.791                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                   | 21,649.2626                                  | 25,067.90                                | 0.00%                | 109.71<br>90.21                 | 23,751.63<br>24,879.73      | 1,316.27<br>188.17                                        |
| FANNIE MAE<br>POOL CA2055<br>DTD 6/1/2018 4.50000% DUE 7/1/2048             | 3140Q9H97<br>4.16%                           | 108.206                                  | Level2               |                                 |                             |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                    | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-----------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.5                                                                   | 192,322.8127                                 | 208,104.82                               | 0.03%                | 103.55<br>721.21                | 199,144.27<br>207,858.89    | 8,960.55<br>245.93                                        |
| FANNIE MAE<br>POOL AZ0862<br>DTD 7/1/2015 3.50000% DUE 7/1/2045             | 3138YR5Y0<br>3.26%                           | 107.304                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                   | 69,776.6550                                  | 74,873.14                                | 0.01%                | 103.38<br>203.52                | 72,134.35<br>74,922.68      | 2,738.79<br>-49.54                                        |
| FANNIE MAE<br>CMO 2010-102 DE<br>DTD 08/01/10 3.00% DUE 06/25/29            | 31398NBS2<br>2.98%                           | 100.593                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                   | 4,174.6600                                   | 4,199.42                                 | 0.00%                | 105.32<br>10.44                 | 4,396.78<br>4,240.33        | -197.36<br>-40.91                                         |
| FANNIE MAE<br>POOL AW8597<br>DTD 8/1/2014 3.00000% DUE 8/1/2029             | 3138XYRT3<br>2.83%                           | 106.056                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                   | 50,075.8984                                  | 53,108.49                                | 0.01%                | 104.91<br>125.19                | 52,532.75<br>52,884.84      | 575.74<br>223.65                                          |
| FANNIE MAE<br>CMO PAC 2017-69 LD<br>DTD 8/1/2017 3.00000% DUE<br>11/25/2046 | 3136AX3D5<br>2.92%                           | 102.766                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                   | 113,010.7733                                 | 116,137.10                               | 0.02%                | 100.69<br>282.53                | 113,787.72<br>116,257.02    | 2,349.38<br>-119.92                                       |
| FANNIE MAE<br>POOL MA3462<br>DTD 8/1/2018 3.50000% DUE 9/1/2033             | 31418CZ49<br>3.27%                           | 106.900                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                   | 58,676.2682                                  | 62,724.93                                | 0.01%                | 101.08<br>171.14                | 59,308.88<br>61,940.14      | 3,416.05<br>784.79                                        |
| FANNIE MAE<br>POOL MA3775<br>DTD 8/1/2019 3.50000% DUE 9/1/2049             | 31418DFR8<br>3.31%                           | 105.605                                  | Level2               |                                 |                             |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                     | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost  | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|------------------------------|-----------------------------------------------------------|
| 0993828.5                                                                    | 333,151.4500                                 | 351,824.59                               | 0.05%                | 103.55<br>971.69                | 344,993.95<br>351,162.45     | 6,830.64<br>662.14                                        |
| FANNIE MAE<br>POOL AS0774<br>DTD 9/1/2013 3.00000% DUE 10/1/2043             | 3138W92G4<br>2.82%                           | 106.519                                  | Level2               |                                 |                              |                                                           |
| 0993828.5                                                                    | 159,052.3630                                 | 169,420.99                               | 0.03%                | 98.98<br>397.63                 | 157,436.99<br>170,111.47     | 11,984.00<br>-690.48                                      |
| FANNIE MAE<br>CMO SEQ PYR 2013-104 MA<br>DTD 9/1/2013 3.00000% DUE 6/25/2032 | 3136AGSE3<br>2.88%                           | 104.129                                  | Level2               |                                 |                              |                                                           |
| 0993828.9                                                                    | 106,977.9420                                 | 111,394.74                               | 0.02%                | 104.21<br>267.44                | 111,486.90<br>112,334.86     | -92.16<br>-940.12                                         |
| FNMA SINGLE FAMILY 2.000%<br>TBA<br>30 YR MATURITY                           | 01F020661<br>0.00%                           | 101.012                                  | Level2               |                                 |                              |                                                           |
| 0993828.5                                                                    | 1,890,000.0000                               | 1,909,126.80                             | 0.28%                | 100.97<br>1,365.00              | 1,908,309.38<br>1,908,309.38 | 817.42<br>817.42                                          |
| FNMA SINGLE FAMILY 2.500%<br>TBA<br>15 YR MATURITY                           | 01F022469<br>0.00%                           | 104.402                                  | Level3               |                                 |                              |                                                           |
| 0993828.5                                                                    | 932,000.0000                                 | 973,026.64                               | 0.14%                | 104.32<br>1,035.55              | 972,248.79<br>972,248.79     | 777.85<br>777.85                                          |
| FNMA SINGLE FAMILY 2.500%<br>TBA<br>30 YR MATURITY                           | 01F022667<br>0.00%                           | 103.543                                  | Level2               |                                 |                              |                                                           |
| 0993828.5                                                                    | 2,280,000.0000                               | 2,360,780.40                             | 0.35%                | 103.67<br>2,058.34              | 2,363,789.06<br>2,363,789.06 | -3,008.66<br>-3,008.66                                    |
| FNMA SINGLE FAMILY 3.000%<br>TBA<br>30 YR MATURITY                           | 01F030660<br>0.00%                           | 104.453                                  | Level3               |                                 |                              |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                      | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost  | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|------------------------------|-----------------------------------------------------------|
| 0993828.5                                                                     | 2,040,000.0000                               | 2,130,841.20                             | 0.32%                | 104.66<br>2,210.00              | 2,134,987.50<br>2,134,987.50 | -4,146.30<br>-4,146.30                                    |
| FNMA SINGLE FAMILY 3.000%<br>TBA<br>15 YR MATURITY                            | 01F030462<br>0.00%                           | 105.344                                  | Level3               |                                 |                              |                                                           |
| 0993828.5                                                                     | 490,000.0000                                 | 516,185.60                               | 0.08%                | 105.30<br>653.33                | 515,954.69<br>515,954.69     | 230.91<br>230.91                                          |
| FNMA SINGLE FAMILY 3.500%<br>TBA<br>30 YR MATURITY                            | 01F032666<br>0.00%                           | 105.553                                  | Level3               |                                 |                              |                                                           |
| 0993828.5                                                                     | 1,270,000.0000                               | 1,340,523.10                             | 0.20%                | 105.93<br>1,605.14              | 1,345,308.20<br>1,345,308.20 | -4,785.10<br>-4,785.10                                    |
| FNMA SINGLE FAMILY 4.000%<br>TBA<br>30 YR MATURITY                            | 01F040669<br>0.00%                           | 106.824                                  | Level2               |                                 |                              |                                                           |
| 0993828.5                                                                     | 1,185,000.0000                               | 1,265,864.40                             | 0.19%                | 107.14<br>1,711.67              | 1,269,616.41<br>1,269,616.41 | -3,752.01<br>-3,752.01                                    |
| FREDDIE MAC<br>CMO SEQ PYR 4297 CA<br>DTD 1/1/2014 3.00000% DUE<br>12/15/2030 | 3137B7KD0<br>2.95%                           | 101.623                                  | Level2               |                                 |                              |                                                           |
| 0993828.9                                                                     | 46,517.2700                                  | 47,272.20                                | 0.01%                | 103.50<br>62.02                 | 48,145.37<br>47,822.73       | -873.17<br>-550.53                                        |
| FREDDIE MAC<br>CMO SEQ PYR 4289 WE<br>DTD 01/01/14 3.00% DUE 08/15/31         | 3137B73L1<br>2.89%                           | 103.944                                  | Level2               |                                 |                              |                                                           |
| 0993828.9                                                                     | 106,749.5450                                 | 110,959.96                               | 0.02%                | 102.52<br>266.87                | 109,434.96<br>111,835.30     | 1,525.00<br>-875.34                                       |
| FREDDIE MAC<br>CMO PAC 4119 PA<br>DTD 10/01/12 1.50% DUE 09/15/41             | 3137AUVJ5<br>1.46%                           | 102.432                                  | Level2               |                                 |                              |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                      | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.9                                                                     | 79,932.8850                                  | 81,876.69                                | 0.01%                | 100.59<br>99.92                 | 80,404.99<br>81,285.67      | 1,471.70<br>591.02                                        |
| FREDDIE MAC<br>CMO SEQ PYR 4259 CL<br>DTD 10/1/2013 3.00000% DUE<br>2/15/2040 | 3137B52G7<br>2.91%                           | 103.248                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                     | 338,678.9000                                 | 349,680.21                               | 0.05%                | 104.54<br>846.70                | 354,064.88<br>359,249.55    | -4,384.67<br>-9,569.34                                    |
| FREDDIE MAC<br>CMO SEQ PYR 4395 AD<br>DTD 10/1/2014 3.50000% DUE<br>5/15/2042 | 3137BEV68<br>3.47%                           | 100.742                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                     | 110,269.5650                                 | 111,087.99                               | 0.02%                | 104.52<br>321.62                | 115,248.93<br>114,518.36    | -4,160.94<br>-3,430.37                                    |
| FREDDIE MAC<br>CMO PAC-1 4125 KP<br>DTD 11/01/12 2.50% DUE 05/15/41           | 3137AW6M2<br>2.41%                           | 103.909                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                     | 48,195.2475                                  | 50,079.01                                | 0.01%                | 103.50<br>100.41                | 49,883.72<br>49,960.16      | 195.29<br>118.85                                          |
| FREDDIE MAC<br>CMO 4013 SJ<br>DTD 3/1/2012 3.50000% DUE<br>10/15/2040         | 3137AN2J3<br>3.41%                           | 102.677                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                     | 123,068.0160                                 | 126,363.04                               | 0.02%                | 100.02<br>191.44                | 123,087.24<br>128,332.51    | 3,275.80<br>-1,969.47                                     |
| FREDDIE MAC<br>CMO PAC 3536 PC<br>DTD 05/01/09 4.50% DUE 05/15/39             | 31398CTX6<br>4.11%                           | 109.613                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                     | 1,821.1180                                   | 1,996.18                                 | 0.00%                | 121.11<br>6.83                  | 2,205.48<br>1,963.12        | -209.30<br>33.06                                          |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                   | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|--------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| FREDDIE MAC<br>CMO SEQ PYR 3872 DT<br>DTD 06/01/11 4.00% DUE 06/15/26<br>0993828.9         | 3137ACJM2<br>3.87%<br>25,151.2425            | 103.309<br>25,983.40                     | Level2<br>0.00%      | 111.17<br>83.84                 | 27,960.56<br>26,098.76      | -1,977.16<br>-115.36                                      |
| FREDDIE MAC<br>CMO SEQ PYR 4357 MA<br>DTD 6/1/2014 3.00000% DUE<br>10/15/2042<br>0993828.9 | 3137BBK25<br>2.99%<br>45,250.4960            | 100.468<br>45,462.40                     | Level2<br>0.01%      | 103.78<br>113.13                | 46,961.53<br>46,093.78      | -1,499.13<br>-631.38                                      |
| FREDDIE MAC<br>CMO SEQ PYR 4366 GA<br>DTD 7/1/2014 3.00000% DUE 3/15/2040<br>0993828.9     | 3137BCBE7<br>2.97%<br>55,776.5600            | 100.978<br>56,321.83                     | Level2<br>0.01%      | 104.09<br>139.44                | 58,059.91<br>57,231.99      | -1,738.08<br>-910.16                                      |
| FREDDIE MAC<br>CMO PAC 4088 PA<br>DTD 08/01/12 3.00% DUE 12/15/40<br>0993828.9             | 3137ASQ80<br>2.99%<br>1,374.0400             | 100.240<br>1,377.34                      | Level2<br>0.00%      | 105.15<br>3.44                  | 1,444.82<br>1,451.55        | -67.48<br>-74.21                                          |
| FREDDIE MAC<br>CMO SEQ PYR 4377 LA<br>DTD 8/1/2014 3.00000% DUE 6/15/2040<br>0993828.9     | 3137BDCR5<br>2.96%<br>88,659.5150            | 101.472<br>89,964.41                     | Level2<br>0.01%      | 103.61<br>221.65                | 91,859.57<br>91,217.97      | -1,895.16<br>-1,253.56                                    |
| FREDDIE MAC<br>CMO PAC 3721 DA<br>DTD 09/01/10 2.50% DUE 09/15/30<br>0993828.9             | 3137GACT2<br>2.41%<br>23,484.9263            | 103.724<br>24,359.39                     | Level2<br>0.00%      | 105.14<br>48.93                 | 24,692.03<br>24,323.80      | -332.64<br>35.59                                          |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                               | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost      | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|----------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|----------------------------------|-----------------------------------------------------------|
| FREDDIE MAC<br>CMO SEQ PYR 4251 DW<br>DTD 9/1/2013 3.00000% DUE 2/15/2027<br>0993828.9 | 3137B4JQ0<br>2.80%<br>400,000.0000           | 107.302<br><br>429,208.80                | Level2<br><br>0.06%  | <br><br>99.36<br>1,000.00       | <br><br>397,437.50<br>433,150.00 | <br><br>31,771.30<br>-3,941.20                            |
| FREDDIE MAC<br>CMO PAC A4615 TA<br>DTD 9/1/2016 3.00000% DUE 2/15/2046<br>0993828.9    | 3137BRZF5<br>2.90%<br>182,422.6938           | 103.609<br><br>189,006.69                | Level2<br><br>0.03%  | <br><br>101.55<br>456.06        | <br><br>185,244.57<br>192,292.30 | <br><br>3,762.12<br>-3,285.61                             |
| FREDDIE MAC GOLD<br>POOL G60567<br>DTD 5/1/2016 4.00000% DUE 6/1/2043<br>0993828.5     | 31335ATY4<br>3.66%<br>148,537.9430           | 109.173<br><br>162,163.33                | Level2<br><br>0.02%  | <br><br>107.09<br>495.13        | <br><br>159,074.85<br>163,391.73 | <br><br>3,088.48<br>-1,228.40                             |
| FREDDIE MAC GOLD<br>POOL A92650<br>DTD 06/01/10 5.50% DUE 06/01/40<br>0993828.5        | 3129405K1<br>4.81%<br>46,568.6560            | 114.338<br><br>53,245.67                 | Level2<br><br>0.01%  | <br><br>114.98<br>213.44        | <br><br>53,543.67<br>52,469.79   | <br><br>-298.00<br>775.88                                 |
| FREDDIE MAC GOLD<br>POOL J10791<br>DTD 09/01/09 4.50% DUE 09/01/24<br>0993828.5        | 3128PP2Y8<br>4.29%<br>4,544.6493             | 104.774<br><br>4,761.61                  | Level2<br><br>0.00%  | <br><br>113.77<br>17.04         | <br><br>5,170.59<br>4,820.15     | <br><br>-408.98<br>-58.54                                 |
| GNMA II JUMBOS 3.5000%<br>TBA<br>30 YR MATURITY<br>0993828.5                           | 21H032662<br>0.00%<br>335,000.0000           | 105.037<br><br>351,873.95                | Level2<br><br>0.05%  | <br><br>105.25<br>651.39        | <br><br>352,587.50<br>352,587.50 | <br><br>-713.55<br>-713.55                                |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                                    | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-------------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| GOVERNMENT NATL MTG ASSN<br>CMO 2012-110 KJ PAC-1<br>DTD 9/1/2012 3.00000% DUE 3/20/2042<br>0993828.9       | 38375G2Y6<br>2.91%<br>85,997.5820            | 103.002<br>88,579.23                     | Level2<br>0.01%      | 101.52<br>214.99                | 87,307.70<br>88,978.18      | 1,271.53<br>-398.95                                       |
| GOVERNMENT NATL MTG ASSN<br>CMO SEQ PYR 2017-137 CV<br>DTD 9/1/2017 3.00000% DUE<br>11/20/2030<br>0993828.9 | 38380GWK6<br>2.99%<br>224,650.9217           | 100.206<br>225,113.48                    | Level2<br>0.03%      | 102.67<br>561.63                | 230,644.54<br>235,471.01    | -5,531.06<br>-10,357.53                                   |
| SMALL BUSINESS ADMINISTRATION<br>ABS 2013-20B 1<br>DTD 02/13/13 2.21% DUE 02/01/33<br>0993828.5             | 83162CVH3<br>2.15%<br>37,321.7143            | 102.632<br>38,304.02                     | Level2<br>0.01%      | 100.23<br>288.02                | 37,408.51<br>38,378.29      | 895.51<br>-74.27                                          |
| SMALL BUSINESS ADMINISTRATION<br>ABS 2013-20C 1<br>DTD 03/13/13 2.22% DUE 03/01/33<br>0993828.5             | 83162CVK6<br>2.17%<br>13,704.6885            | 102.485<br>14,045.25                     | Level2<br>0.00%      | 100.00<br>80.63                 | 13,704.69<br>14,124.06      | 340.56<br>-78.81                                          |
| SMALL BUSINESS ADMINISTRATION<br>ABS 2015-20E 1<br>DTD 5/13/2015 2.77000% DUE 5/1/2035<br>0993828.5         | 83162CXA6<br>2.63%<br>31,719.3811            | 105.229<br>33,377.99                     | Level2<br>0.00%      | 100.00<br>80.26                 | 31,719.38<br>33,318.04      | 1,658.61<br>59.95                                         |
| SMALL BUSINESS ADMINISTRATION<br>ABS 2013-20E 1<br>DTD 05/15/13 2.07% DUE 05/01/33<br>0993828.5             | 83162CVN0<br>2.01%<br>27,453.1037            | 103.202<br>28,332.15                     | Level2<br>0.00%      | 91.68<br>48.86                  | 25,169.96<br>28,013.70      | 3,162.19<br>318.45                                        |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                                       | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost      | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|----------------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|----------------------------------|-----------------------------------------------------------|
| <b>Total Government Mortgage Back</b>                                                                          |                                              | 17,599,395.06                            | 2.62%                | N/A<br>27,676.84                | 17,432,268.88<br>17,670,959.90   | 167,126.18<br>-71,564.84                                  |
| <b>Taxable Municipal Bonds</b>                                                                                 |                                              |                                          |                      |                                 |                                  |                                                           |
| JOBSONIO BEVERAGE SYS OHIO<br>REV 02/01/13 3.235% DUE 01/01/23<br>TXBL SER B<br>0993828.5                      | 47770VAX8<br>3.09%<br>90,000.0000            | 104.661<br>94,194.90                     | Level2<br>0.01%      | <br><br>100.00<br>1,213.13      | <br><br>90,000.00<br>94,402.80   | <br><br>4,194.90<br>-207.90                               |
| METROPOLITAN TRANSN AUTH N Y<br>REV 07/07/10 6.687% DUE 11/15/40<br>TXBL SER C-1<br>0993828.5                  | 59259YDC0<br>4.76%<br>45,000.0000            | 140.556<br>63,250.20                     | Level2<br>0.01%      | <br><br>100.88<br>133.74        | <br><br>45,397.80<br>55,153.35   | <br><br>17,852.40<br>8,096.85                             |
| NEW JERSEY ST TPK AUTH TPK<br>REV 12/15/10 7.102% DUE 01/01/41<br>TXBL SER A<br>0993828.5                      | 646139X83<br>4.54%<br>100,000.0000           | 156.364<br>156,364.00                    | Level2<br>0.02%      | <br><br>100.00<br>2,959.17      | <br><br>100,000.00<br>158,707.00 | <br><br>56,364.00<br>-2,343.00                            |
| NEW JERSEY ST TRANSN TRUST FUND<br>AUTH<br>REV 12/18/2019 2.63100% DUE<br>6/15/2024<br>TXBL SER B<br>0993828.5 | 6461366Q9<br>2.52%<br>30,000.0000            | 104.354<br>31,306.20                     | Level2<br>0.00%      | <br><br>100.00<br>363.96        | <br><br>30,000.00<br>29,888.40   | <br><br>1,306.20<br>1,417.80                              |
| NEW YORK N Y<br>GO 1/6/2021 1.62300% DUE 8/1/2028<br>TXBL SER D<br>0993828.5                                   | 64966QRM4<br>1.63%<br>90,000.0000            | 99.568<br>89,611.20                      | Level2<br>0.01%      | <br><br>100.00<br>588.34        | <br><br>90,000.00<br>90,000.00   | <br><br>-388.80<br>-388.80                                |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost      | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-----------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|----------------------------------|-----------------------------------------------------------|
| NEW YORK ST DORM AUTH<br>REV 1/3/2020 2.65700% DUE 2/15/2028<br>TXBL SER F<br>0993828.5 | 64990FQU1<br>2.48%<br>85,000.0000            | 107.320<br>91,222.00                     | Level2<br>0.01%      | 100.00<br>664.99                | 85,000.00<br>89,151.40           | 6,222.00<br>2,070.60                                      |
| UNIVERSITY CALIF<br>REV 7/16/2020 1.31600% DUE<br>5/15/2027<br>TXBL SER BG<br>0993828.5 | 91412HGF4<br>1.31%<br>175,000.0000           | 100.580<br>176,015.00                    | Level2<br>0.03%      | 100.00<br>102.36                | 175,000.00<br>175,000.00         | 1,015.00<br>1,015.00                                      |
| UTAH ST<br>GO 09/29/09 4.554% DUE 07/01/24<br>TXBL SER D<br>0993828.5                   | 917542QR6<br>4.28%<br>55,000.0000            | 106.473<br>58,560.15                     | Level2<br>0.01%      | 101.21<br>1,043.63              | 55,663.85<br>58,413.85           | 2,896.30<br>146.30                                        |
| <b>Total Taxable Municipal Bonds</b>                                                    |                                              | <b>760,523.65</b>                        | <b>0.11%</b>         | <b>N/A<br/>7,069.32</b>         | <b>671,061.65<br/>750,716.80</b> | <b>89,462.00<br/>9,806.85</b>                             |
| <b>Treasuries</b>                                                                       |                                              |                                          |                      |                                 |                                  |                                                           |
| UNITED STATES TREAS BDS<br>DTD 11/15/2020 1.62500% DUE<br>11/15/2050<br>0993828.5       | 912810SS8<br>1.90%<br>549,000.0000           | 85.703<br>470,509.47                     | Level2<br>0.07%      | 85.32<br>412.12                 | 468,428.56<br>468,428.56         | 2,080.91<br>2,080.91                                      |
| UNITED STATES TREAS BDS<br>DTD 11/15/2020 1.37500% DUE<br>11/15/2040<br>0993828.5       | 912810ST6<br>1.58%<br>260,000.0000           | 86.922<br>225,997.20                     | Level2<br>0.03%      | 88.99<br>165.15                 | 231,385.20<br>231,385.20         | -5,388.00<br>-5,388.00                                    |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                        | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost  | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|---------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|------------------------------|-----------------------------------------------------------|
| UNITED STATES TREAS BDS<br>DTD 2/15/2021 1.87500% DUE<br>2/15/2051<br>0993828.5 | 912810SU3<br>2.06%<br>144,000.0000           | 91.188<br><br>131,310.72                 | Level2<br><br>0.02%  | <br><br>91.27<br>790.61         | 131,428.13<br>131,428.13     | -117.41<br>-117.41                                        |
| UNITED STATES TREAS BDS<br>DTD 8/15/2020 1.12500% DUE<br>8/15/2040<br>0993828.5 | 912810SQ2<br>1.35%<br>86,000.0000            | 83.219<br><br>71,568.34                  | Level2<br><br>0.01%  | <br><br>98.98<br>283.30         | 85,126.56<br>85,126.56       | -13,558.22<br>-13,558.22                                  |
| UNITED STATES TREAS BDS<br>DTD 8/15/2020 1.37500% DUE<br>8/15/2050<br>0993828.5 | 912810SP4<br>1.71%<br>1,267,000.0000         | 80.309<br><br>1,017,515.03               | Level2<br><br>0.15%  | <br><br>92.47<br>5,101.25       | 1,171,647.14<br>1,171,647.14 | -154,132.11<br>-154,132.11                                |
| UNITED STATES TREAS NTS<br>DTD 1/15/2021 0.12500% DUE<br>1/15/2024<br>0993828.5 | 91282CBE0<br>0.13%<br>125,000.0000           | 99.715<br><br>124,643.75                 | Level2<br><br>0.02%  | <br><br>99.81<br>59.13          | 124,760.74<br>124,760.74     | -116.99<br>-116.99                                        |
| UNITED STATES TREAS NTS<br>DTD 1/31/2019 2.50000% DUE<br>1/31/2024<br>0993828.5 | 9128285Z9<br>2.36%<br>821,000.0000           | 106.008<br><br>870,325.68                | Level2<br><br>0.13%  | <br><br>99.99<br>6,860.57       | 820,956.18<br>889,019.85     | 49,369.50<br>-18,694.17                                   |
| UNITED STATES TREAS NTS<br>DTD 1/31/2021 0.37500% DUE<br>1/31/2026<br>0993828.5 | 91282CBH3<br>0.38%<br>1,252,000.0000         | 98.356<br><br>1,231,417.12               | Level2<br><br>0.18%  | <br><br>99.67<br>1,569.32       | 1,247,816.84<br>1,247,816.84 | -16,399.72<br>-16,399.72                                  |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                          | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost      | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-----------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|----------------------------------|-----------------------------------------------------------|
| UNITED STATES TREAS NTS<br>DTD 1/31/2021 0.75000% DUE<br>1/31/2028<br>0993828.5   | 91282CBJ9<br>0.77%<br>410,000.0000           | 97.121<br><br>398,196.10                 | Level2<br><br>0.06%  | <br><br>99.71<br>1,027.83       | <br><br>408,814.84<br>408,814.84 | <br><br>-10,618.74<br>-10,618.74                          |
| UNITED STATES TREAS NTS<br>DTD 10/31/2019 1.50000% DUE<br>10/31/2024<br>0993828.5 | 912828YM6<br>1.45%<br>234,000.0000           | 103.680<br><br>242,611.20                | Level2<br><br>0.04%  | <br><br>99.77<br>305.22         | <br><br>233,457.00<br>246,558.78 | <br><br>9,154.20<br>-3,947.58                             |
| UNITED STATES TREAS NTS<br>DTD 10/31/2020 0.25000% DUE<br>10/31/2025<br>0993828.5 | 91282CAT8<br>0.25%<br>411,000.0000           | 98.176<br><br>403,503.36                 | Level2<br><br>0.06%  | <br><br>99.48<br>89.35          | <br><br>408,880.88<br>408,880.88 | <br><br>-5,377.52<br>-5,377.52                            |
| UNITED STATES TREAS NTS<br>DTD 11/30/2019 1.50000% DUE<br>11/30/2021<br>0993828.5 | 912828YT1<br>1.49%<br>301,000.0000           | 100.723<br><br>303,176.23                | Level2<br><br>0.05%  | <br><br>99.68<br>2,269.84       | <br><br>300,024.11<br>306,950.77 | <br><br>3,152.12<br>-3,774.54                             |
| UNITED STATES TREAS NTS<br>DTD 11/30/2020 0.37500% DUE<br>11/30/2025<br>0993828.5 | 91282CAZ4<br>0.38%<br>208,000.0000           | 98.606<br><br>205,100.48                 | Level2<br><br>0.03%  | <br><br>100.07<br>392.13        | <br><br>208,154.38<br>208,154.38 | <br><br>-3,053.90<br>-3,053.90                            |
| UNITED STATES TREAS NTS<br>DTD 12/31/2019 1.75000% DUE<br>12/31/2024<br>0993828.5 | 912828YY0<br>1.67%<br>47,000.0000            | 104.598<br><br>49,161.06                 | Level2<br><br>0.01%  | <br><br>100.32<br>345.36        | <br><br>47,148.71<br>50,126.44   | <br><br>2,012.35<br>-965.38                               |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                          | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-----------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| UNITED STATES TREAS NTS<br>DTD 12/31/2020 0.62500% DUE<br>12/31/2027<br>0993828.5 | 91282CBB6<br>0.65%<br>149,000.0000           | 96.473<br><br>143,744.77                 | Level2<br><br>0.02%  | <br><br>99.88<br>391.02         | 148,819.57<br>148,819.57    | -5,074.80<br>-5,074.80                                    |
| UNITED STATES TREAS NTS<br>DTD 2/15/2019 2.62500% DUE<br>2/15/2029<br>0993828.9   | 9128286B1<br>2.40%<br>250,000.0000           | 109.246<br><br>273,115.00                | Level2<br><br>0.04%  | <br><br>100.64<br>1,921.62      | 251,601.56<br>293,272.50    | 21,513.44<br>-20,157.50                                   |
| UNITED STATES TREAS NTS<br>DTD 2/28/2019 2.37500% DUE<br>2/29/2024<br>0993828.5   | 9128286G0<br>2.24%<br>217,000.0000           | 105.793<br><br>229,570.81                | Level2<br><br>0.03%  | <br><br>99.75<br>1,302.44       | 216,458.70<br>234,318.77    | 13,112.11<br>-4,747.96                                    |
| UNITED STATES TREAS NTS<br>DTD 2/28/2021 0.50000% DUE<br>2/28/2026<br>0993828.5   | 91282CBQ3<br>0.51%<br>153,000.0000           | 98.852<br><br>151,243.56                 | Level2<br><br>0.02%  | <br><br>98.24<br>193.33         | 150,310.55<br>150,310.55    | 933.01<br>933.01                                          |
| UNITED STATES TREAS NTS<br>DTD 2/28/2021 1.12500% DUE<br>2/29/2028<br>0993828.5   | 91282CBP5<br>1.13%<br>20,000.0000            | 99.469<br><br>19,893.80                  | Level2<br><br>0.00%  | <br><br>98.85<br>56.86          | 19,769.53<br>19,769.53      | 124.27<br>124.27                                          |
| UNITED STATES TREAS NTS<br>DTD 3/31/2017 2.12500% DUE<br>3/31/2024<br>0993828.5   | 912828W71<br>2.02%<br>212,000.0000           | 105.227<br><br>223,081.24                | Level2<br><br>0.03%  | <br><br>99.46<br>763.14         | 210,865.47<br>227,253.40    | 12,215.77<br>-4,172.16                                    |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                        | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|---------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| UNITED STATES TREAS NTS<br>DTD 3/31/2021 0.75000% DUE<br>3/31/2026<br>0993828.9 | 91282CBT7<br>0.75%<br>750,000.0000           | 99.930<br><br>749,475.00                 | Level2<br><br>0.11%  | <br><br>98.92<br>952.87         | 741,884.77<br>741,884.77    | 7,590.23<br>7,590.23                                      |
| UNITED STATES TREAS NTS<br>DTD 4/30/2019 2.25000% DUE<br>4/30/2024<br>0993828.5 | 9128286R6<br>2.13%<br>168,000.0000           | 105.680<br><br>177,542.40                | Level2<br><br>0.03%  | <br><br>99.86<br>328.70         | 167,763.75<br>181,119.12    | 9,778.65<br>-3,576.72                                     |
| UNITED STATES TREAS NTS<br>DTD 4/30/2021 0.75000% DUE<br>4/30/2026<br>0993828.5 | 91282CBW0<br>0.75%<br>125,000.0000           | 99.852<br><br>124,815.00                 | Level2<br><br>0.02%  | <br><br>99.71<br>81.52          | 124,643.55<br>124,643.55    | 171.45<br>171.45                                          |
| UNITED STATES TREAS NTS<br>DTD 5/15/2021 1.62500% DUE<br>5/15/2031<br>0993828.5 | 91282CCB5<br>1.62%<br>334,000.0000           | 100.281<br><br>334,938.54                | Level2<br><br>0.05%  | <br><br>99.46<br>250.73         | 332,212.58<br>332,212.58    | 2,725.96<br>2,725.96                                      |
| UNITED STATES TREAS NTS<br>DTD 5/31/2017 2.00000% DUE<br>5/31/2024<br>0993828.5 | 912828XT2<br>1.90%<br>338,000.0000           | 105.031<br><br>355,004.78                | Level2<br><br>0.05%  | <br><br>100.45<br>3,398.47      | 339,515.23<br>361,528.18    | 15,489.55<br>-6,523.40                                    |
| UNITED STATES TREAS NTS<br>DTD 5/31/2020 0.25000% DUE<br>5/31/2025<br>0993828.5 | 912828ZT0<br>0.25%<br>10,000.0000            | 98.758<br><br>9,875.80                   | Level2<br><br>0.00%  | <br><br>99.45<br>12.57          | 9,945.31<br>9,945.31        | -69.51<br>-69.51                                          |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                        | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|---------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| UNITED STATES TREAS NTS<br>DTD 6/30/2019 1.75000% DUE<br>6/30/2024<br>0993828.5 | 9128286Z8<br>1.68%<br>844,000.0000           | 104.371<br><br>880,891.24                | Level2<br><br>0.13%  | <br><br>99.83<br>6,201.77       | 842,587.74<br>895,298.32    | 38,303.50<br>-14,407.08                                   |
| UNITED STATES TREAS NTS<br>DTD 6/30/2020 0.25000% DUE<br>6/30/2025<br>0993828.5 | 912828ZW3<br>0.25%<br>150,000.0000           | 98.668<br><br>148,002.00                 | Level2<br><br>0.02%  | <br><br>99.81<br>157.46         | 149,712.89<br>149,712.89    | -1,710.89<br>-1,710.89                                    |
| 0993828.9                                                                       | 500,000.0000                                 | 493,340.00                               | 0.07%                | 99.86<br>524.86                 | 499,277.34<br>499,277.34    | -5,937.34<br>-5,937.34                                    |
| Total for Asset                                                                 | 650,000.0000                                 | 641,342.00                               | 0.10%                | 99.84<br>682.32                 | 648,990.23<br>648,990.23    | -7,648.23<br>-7,648.23                                    |
| UNITED STATES TREAS NTS<br>DTD 6/30/2020 0.12500% DUE<br>6/30/2022<br>0993828.5 | 912828ZX1<br>0.12%<br>561,000.0000           | 100.035<br><br>561,196.35                | Level2<br><br>0.08%  | <br><br>99.97<br>294.45         | 560,817.67<br>560,817.67    | 378.68<br>378.68                                          |
| UNITED STATES TREAS NTS<br>DTD 7/31/2017 1.87500% DUE<br>7/31/2022<br>0993828.5 | 9128282P4<br>1.84%<br>300.0000               | 102.082<br><br>306.25                    | Level2<br><br>0.00%  | <br><br>100.30<br>1.88          | 300.89<br>310.99            | 5.36<br>-4.74                                             |
| UNITED STATES TREAS NTS<br>DTD 7/31/2019 1.75000% DUE<br>7/31/2024<br>0993828.5 | 912828Y87<br>1.68%<br>92,000.0000            | 104.430<br><br>96,075.60                 | Level2<br><br>0.01%  | <br><br>99.49<br>538.15         | 91,532.81<br>97,678.24      | 4,542.79<br>-1,602.64                                     |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                         | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|----------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| UNITED STATES TREAS NTS<br>DTD 7/31/2020 0.25000% DUE<br>7/31/2025<br>0993828.5  | 91282CAB7<br>0.25%                           | 98.563                                   | Level2               |                                 |                             |                                                           |
|                                                                                  | 6,000.0000                                   | 5,913.78                                 | 0.00%                | 100.09<br>5.01                  | 6,005.63<br>6,005.63        | -91.85<br>-91.85                                          |
| UNITED STATES TREAS NTS<br>DTD 8/15/2016 1.50000% DUE<br>8/15/2026<br>0993828.9  | 912828A7<br>1.45%                            | 103.238                                  | Level2               |                                 |                             |                                                           |
|                                                                                  | 250,000.0000                                 | 258,095.00                               | 0.04%                | 97.73<br>1,098.07               | 244,316.41<br>266,182.50    | 13,778.59<br>-8,087.50                                    |
| UNITED STATES TREAS NTS<br>DTD 8/15/2019 1.62500% DUE<br>8/15/2029<br>0993828.9  | 912828YB0<br>1.60%                           | 101.535                                  | Level2               |                                 |                             |                                                           |
|                                                                                  | 500,000.0000                                 | 507,675.00                               | 0.08%                | 100.25<br>2,379.14              | 501,250.00<br>545,705.00    | 6,425.00<br>-38,030.00                                    |
| UNITED STATES TREAS NTS<br>DTD 8/15/2020 0.62500% DUE<br>8/15/2030<br>0993828.5  | 91282CAE1<br>0.68%                           | 92.070                                   | Level2               |                                 |                             |                                                           |
|                                                                                  | 871,000.0000                                 | 801,929.70                               | 0.12%                | 99.46<br>1,594.03               | 866,334.38<br>866,334.38    | -64,404.68<br>-64,404.68                                  |
| UNITED STATES TREAS NTS<br>DTD 08/31/2019 1.25000% DUE<br>8/31/2024<br>0993828.9 | 912828YE4<br>1.22%                           | 102.840                                  | Level2               |                                 |                             |                                                           |
|                                                                                  | 500,000.0000                                 | 514,200.00                               | 0.08%                | 98.27<br>1,579.48               | 491,367.19<br>520,880.00    | 22,832.81<br>-6,680.00                                    |
| UNITED STATES TREAS NTS<br>DTD 08/31/19 1.37500% DUE 8/31/2026<br>0993828.5      | 912828YD6<br>1.34%                           | 102.625                                  | Level2               |                                 |                             |                                                           |
|                                                                                  | 397,000.0000                                 | 407,421.25                               | 0.06%                | 98.36<br>1,379.52               | 390,506.01<br>419,795.74    | 16,915.24<br>-12,374.49                                   |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                           | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost            | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|----------------------------------------|-----------------------------------------------------------|
| UNITED STATES TREAS NTS<br>DTD 9/30/2019 1.50000% DUE<br>9/30/2024                 | 912828YH7<br>1.45%                           | 103.680                                  | Level2               |                                 |                                        |                                                           |
| 0993828.5                                                                          | 436,000.0000                                 | 452,044.80                               | 0.07%                | 99.54<br>1,107.87               | 434,007.34<br>459,060.04               | 18,037.46<br>-7,015.24                                    |
| UNITED STATES TREAS NTS<br>DTD 9/30/2020 0.25000% DUE<br>9/30/2025                 | 91282CAM3<br>0.25%                           | 98.320                                   | Level2               |                                 |                                        |                                                           |
| 0993828.5                                                                          | 92,000.0000                                  | 90,454.40                                | 0.01%                | 99.88<br>38.96                  | 91,893.39<br>91,893.39                 | -1,438.99<br>-1,438.99                                    |
| UNITED STATES TREAS NTS<br>DTD 9/30/2020 0.37500% DUE<br>9/30/2027                 | 91282CAL5<br>0.39%                           | 95.414                                   | Level2               |                                 |                                        |                                                           |
| 0993828.5                                                                          | 405,000.0000                                 | 386,426.70                               | 0.06%                | 99.38<br>257.27                 | 402,475.14<br>402,475.14               | -16,048.44<br>-16,048.44                                  |
| 0993828.9                                                                          | 500,000.0000                                 | 477,070.00                               | 0.07%                | 99.38<br>317.62                 | 496,894.53<br>496,894.53               | -19,824.53<br>-19,824.53                                  |
| Total for Asset                                                                    | 905,000.0000                                 | 863,496.70                               | 0.13%                | 99.38<br>574.89                 | 899,369.67<br>899,369.67               | -35,872.97<br>-35,872.97                                  |
| UNITED STATES TREASURY<br>DTD 11/15/13 0% DUE 11/15/43<br>STRIPPED PRIN 3.75% 2043 | 912803EE9<br>0.00%                           | 59.728                                   | Level2               |                                 |                                        |                                                           |
| 0993828.5                                                                          | 4,160,300.0000                               | 2,484,863.98                             | 0.37%                | 53.23<br>0.00                   | 2,214,689.40<br>2,854,857.78           | 270,174.58<br>-369,993.80                                 |
| <b>Total Treasuries</b>                                                            |                                              | <b>17,103,242.49</b>                     | <b>2.55%</b>         | <b>N/A<br/>46,799.99</b>        | <b>16,885,788.60<br/>17,937,356.52</b> | <b>217,453.89<br/>-834,114.03</b>                         |
| <b>US Government Agency</b>                                                        |                                              |                                          |                      |                                 |                                        |                                                           |
| FEDERAL FARM CR BANKS<br>DEB<br>DTD 1/13/2021 0.68000% DUE<br>1/13/2027            | 3133EMMT6<br>0.69%                           | 98.287                                   | Level2               |                                 |                                        |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                  | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost          | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|---------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|--------------------------------------|-----------------------------------------------------------|
| 0993828.9                                                                 | 1,000,000.0000                               | 982,870.00                               | 0.15%                | 99.60<br>2,606.67               | 996,000.00<br>996,000.00             | -13,130.00<br>-13,130.00                                  |
| FEDERAL FARM CR BANKS<br>DEB<br>DTD 11/20/2017 2.50000% DUE<br>11/20/2025 | 3133EHU35<br>2.32%                           | 107.915                                  | Level2               |                                 |                                      |                                                           |
| 0993828.9                                                                 | 500,000.0000                                 | 539,575.00                               | 0.08%                | 99.09<br>381.94                 | 495,435.00<br>549,360.00             | 44,140.00<br>-9,785.00                                    |
| FEDERAL FARM CR BANKS<br>DEB<br>DTD 7/20/2020 0.77000% DUE<br>7/20/2027   | 3133ELV92<br>0.79%                           | 97.963                                   | Level2               |                                 |                                      |                                                           |
| 0993828.9                                                                 | 1,000,000.0000                               | 979,630.00                               | 0.15%                | 97.80<br>2,801.94               | 978,030.00<br>978,030.00             | 1,600.00<br>1,600.00                                      |
| FEDERAL HOME LN BANKS<br>DEB<br>DTD 1/27/2021 0.83000% DUE<br>10/27/2027  | 3130AKNJ1<br>0.84%                           | 98.716                                   | Level2               |                                 |                                      |                                                           |
| 0993828.9                                                                 | 600,000.0000                                 | 592,296.00                               | 0.09%                | 99.75<br>1,715.33               | 598,500.00<br>598,500.00             | -6,204.00<br>-6,204.00                                    |
| FEDERAL HOME LN BANKS<br>DEB<br>DTD 5/26/2021 1.00000% DUE<br>5/26/2026   | 3130AMH62<br>1.00%                           | 100.001                                  | Level2               |                                 |                                      |                                                           |
| 0993828.9                                                                 | 500,000.0000                                 | 500,005.00                               | 0.07%                | 99.93<br>69.44                  | 499,625.00<br>499,625.00             | 380.00<br>380.00                                          |
| <b>Total US Government Agency</b>                                         |                                              | <b>3,594,376.00</b>                      | <b>0.54%</b>         | <b>N/A<br/>7,575.32</b>         | <b>3,567,590.00<br/>3,621,515.00</b> | <b>26,786.00<br/>-27,139.00</b>                           |
| <b>Mutual Funds</b>                                                       |                                              |                                          |                      |                                 |                                      |                                                           |
| ANCORA INCOME FUND<br>OPEN-END FUND CL I                                  | 03332V815<br>4.49%                           | 8.010                                    | Level2               |                                 |                                      |                                                           |



## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                     | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost            | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|----------------------------------------|-----------------------------------------------------------|
| 0993828.9                                                                    | 139,443.3300                                 | 1,116,941.07                             | 0.17%                | 7.25<br>0.00                    | 1,011,041.85<br>1,011,041.85           | 105,899.22<br>105,899.22                                  |
| LOOMIS SAYLES BOND FUND<br>OPEN-END FUND INSTL CL                            | 543495840<br>3.31%                           | 13.530                                   | Level2               |                                 |                                        |                                                           |
| 0993828.2                                                                    | 792,800.6100                                 | 10,726,592.25                            | 1.60%                | 12.37<br>0.00                   | 9,809,592.71<br>10,073,091.49          | 916,999.54<br>653,500.76                                  |
| VANGUARD FIXED HI-YLD CORP FUND<br>OPEN-END FUND ADM CL                      | 922031760<br>4.47%                           | 5.950                                    | Level2               |                                 |                                        |                                                           |
| 0993828.9                                                                    | 48,976.8570                                  | 291,412.30                               | 0.04%                | 5.96<br>0.00                    | 292,043.00<br>275,793.17               | -630.70<br>15,619.13                                      |
| <b>Total Mutual Funds</b>                                                    |                                              | <b>12,134,945.62</b>                     | <b>1.81%</b>         | <b>N/A<br/>0.00</b>             | <b>11,112,677.56<br/>11,359,926.51</b> | <b>1,022,268.06<br/>775,019.11</b>                        |
| <b>Non-US Mutual Funds</b>                                                   |                                              |                                          |                      |                                 |                                        |                                                           |
| PGIM GLOBAL TOTAL RETURN FUND<br>OPEN-END FUND CL R6                         | 74439A509<br>3.91%                           | 6.950                                    | Level2               |                                 |                                        |                                                           |
| 0993828.2                                                                    | 2,121,586.1690                               | 14,745,023.87                            | 2.20%                | 7.10<br>0.00                    | 15,055,447.79<br>14,459,387.00         | -310,423.92<br>285,636.87                                 |
| <b>Total Non-US Mutual Funds</b>                                             |                                              | <b>14,745,023.87</b>                     | <b>2.20%</b>         | <b>N/A<br/>0.00</b>             | <b>15,055,447.79<br/>14,459,387.00</b> | <b>-310,423.92<br/>285,636.87</b>                         |
| <b>Non-US Corporate Bonds</b>                                                |                                              |                                          |                      |                                 |                                        |                                                           |
| BACARDI LTD<br>FGN SR BD SER 144A<br>DTD 4/30/2018 5.30000% DUE<br>5/15/2048 | 067316AH2<br>4.23%                           | 125.417                                  | Level2               |                                 |                                        |                                                           |
| 0993828.5                                                                    | 70,000.0000                                  | 87,791.90                                | 0.01%                | 135.29<br>164.89                | 94,705.30<br>94,705.30                 | -6,913.40<br>-6,913.40                                    |
| CANADIAN NATIONAL RESOURCES LTD<br>FGN BD<br>DTD 01/17/08 6.75% DUE 02/01/39 | 136385AP6<br>4.88%                           | 138.210                                  | Level2               |                                 |                                        |                                                           |



## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                          | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|---------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.5                                                                                         | 35,000.0000                                  | 48,373.50                                | 0.01%                | 126.63<br>787.50                | 44,321.55<br>40,026.70      | 4,051.95<br>8,346.80                                      |
| CANADIAN NATIONAL RESOURCES LTD<br>FGN SER BD<br>DTD 9/16/2002 6.45000% DUE<br>6/30/2033          | 136385AE1<br>4.97%                           | 129.815                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                         | 65,000.0000                                  | 84,379.75                                | 0.01%                | 128.12<br>1,758.52              | 83,276.70<br>83,276.70      | 1,103.05<br>1,103.05                                      |
| COCA-COLA EUROPEAN PARTNERS PLC<br>FGN NT<br>DTD 4/12/2018 4.50000% DUE 9/1/2021                  | 19123MAC7<br>4.50%                           | 100.000                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                                         | 250,000.0000                                 | 250,000.00                               | 0.04%                | 104.09<br>5,625.00              | 260,230.00<br>257,747.50    | -10,230.00<br>-7,747.50                                   |
| JOHNSON CONTROLS INTERNATIONAL<br>PLC<br>FGN SR NT STEP UP<br>DTD 7/2/2016 3.62500% DUE 7/24/2024 | 478375AG3<br>3.36%                           | 108.022                                  | Level2               |                                 |                             |                                                           |
| 0993828.9                                                                                         | 233,000.0000                                 | 251,691.26                               | 0.04%                | 100.22<br>3,495.81              | 233,510.27<br>244,354.09    | 18,180.99<br>7,337.17                                     |
| LYB INTERNATIONAL FINANCE B V<br>FGN SR BD<br>DTD 7/16/2013 5.25000% DUE<br>7/15/2043             | 50247VAB5<br>4.24%                           | 123.817                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                         | 88,000.0000                                  | 108,958.96                               | 0.02%                | 113.61<br>1,745.33              | 99,972.40<br>102,533.20     | 8,986.56<br>6,425.76                                      |
| WASTE CONNECTIONS INC<br>FGN SR BD<br>DTD 1/23/2020 2.60000% DUE 2/1/2030                         | 94106BAA9<br>2.55%                           | 102.114                                  | Level2               |                                 |                             |                                                           |
| 0993828.5                                                                                         | 155,000.0000                                 | 158,276.70                               | 0.02%                | 106.99<br>1,343.33              | 165,830.20<br>165,830.20    | -7,553.50<br>-7,553.50                                    |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                                    | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost      | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|---------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|----------------------------------|-----------------------------------------------------------|
| <b>Total Non-US Corporate Bonds</b>                                                         |                                              | 989,472.07                               | 0.15%                | N/A<br>14,920.38                | 981,846.42<br>988,473.69         | 7,625.65<br>998.38                                        |
| <b>Non-US Other Fixed Income</b>                                                            |                                              |                                          |                      |                                 |                                  |                                                           |
| UNITED MEXICAN STATES<br>FGN MED TERM NT<br>DTD 3/8/2012 4.75000% DUE 3/8/2044<br>0993828.5 | 91086QBB3<br>4.36%<br><br>58,000.0000        | 108.844<br><br>63,129.52                 | Level2<br><br>0.01%  | <br><br>94.80<br>635.18         | 54,983.35<br>60,552.58           | 8,146.17<br>2,576.94                                      |
| <b>Total Non-US Other Fixed Income</b>                                                      |                                              | 63,129.52                                | 0.01%                | N/A<br>635.18                   | 54,983.35<br>60,552.58           | 8,146.17<br>2,576.94                                      |
| <b>Total Fixed Income</b>                                                                   |                                              | 109,129,399.06                           | 16.25%               | N/A<br>335,611.27               | 106,990,465.41<br>109,145,858.58 | 2,138,933.65<br>-16,459.52                                |
| <b>Alternative</b>                                                                          |                                              |                                          |                      |                                 |                                  |                                                           |
| <b>Limited Partnerships</b>                                                                 |                                              |                                          |                      |                                 |                                  |                                                           |
| EARLY STAGE PARTNERS - RPM<br>LIMITED PARTNERSHIP<br>0993828.4                              | 9983185P0<br>0.00%<br>1,000,000.0000         | 0.168<br>03/31/2021<br>168,376.94        | Level3<br><br>0.03%  | <br><br>0.06<br>0.00            | 55,423.32<br>169,841.46          | 112,953.62<br>-1,464.52                                   |
| <b>Total Limited Partnerships</b>                                                           |                                              | 168,376.94                               | 0.03%                | N/A<br>0.00                     | 55,423.32<br>169,841.46          | 112,953.62<br>-1,464.52                                   |
| <b>Mutual Funds</b>                                                                         |                                              |                                          |                      |                                 |                                  |                                                           |
| BLACKROCK STRATEGIC INCOME OPPS<br>PORTF<br>OPEN-END FUND INSTL CL<br>0993828.2             | 09260B382<br>2.59%<br><br>2,216,987.5600     | 10.380<br><br>23,012,330.87              | Level2<br><br>3.43%  | <br><br>9.91<br>0.00            | 21,971,540.62<br>21,893,436.71   | 1,040,790.25<br>1,118,894.16                              |
| CALAMOS MARKET NEUTRAL INCOME FD<br>OPEN-END FUND CL I<br>0993828.2                         | 128119880<br>0.53%<br>2,702,242.3320         | 14.240<br><br>38,479,930.81              | Level2<br><br>5.73%  | <br><br>13.45<br>0.00           | 36,333,841.21<br>36,087,428.06   | 2,146,089.60<br>2,392,502.75                              |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828

June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number                                | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV   | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost            | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|-------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|------------------------|---------------------------------|----------------------------------------|-----------------------------------------------------------|
| DIAMOND HILL LONG-SHORT FUND<br>OPEN-END FUND CL I<br>0993828.2         | 25264S833<br>0.39%<br>1,268,081.1760         | 30.710<br><br>38,942,772.91              | Level2<br><br>5.80%    | <br><br>25.26<br>0.00           | 32,031,427.43<br>29,291,923.40         | 6,911,345.48<br>9,650,849.51                              |
| <b>Total Mutual Funds</b>                                               |                                              | <b>100,435,034.59</b>                    | <b>14.96%</b>          | <b>N/A<br/>0.00</b>             | <b>90,336,809.26<br/>87,272,788.17</b> | <b>10,098,225.33<br/>13,162,246.42</b>                    |
| <b>Total Alternative</b>                                                |                                              | <b>100,603,411.53</b>                    | <b>14.98%</b>          | <b>N/A<br/>0.00</b>             | <b>90,392,232.58<br/>87,442,629.63</b> | <b>10,211,178.95<br/>13,160,781.90</b>                    |
| <b>Other Assets</b>                                                     |                                              |                                          |                        |                                 |                                        |                                                           |
| <b>Mutual Funds</b>                                                     |                                              |                                          |                        |                                 |                                        |                                                           |
| BLACKROCK GLOBAL ALLOCATION FUND<br>OPEN-END FUND INSTL CL<br>0993828.2 | 09251T509<br>0.62%<br>2,159,819.6320         | 23.150<br><br>49,999,824.48              | Level2<br><br>7.45%    | <br><br>19.62<br>0.00           | 42,383,366.96<br>41,591,656.99         | 7,616,457.52<br>8,408,167.49                              |
| <b>Total Mutual Funds</b>                                               |                                              | <b>49,999,824.48</b>                     | <b>7.45%</b>           | <b>N/A<br/>0.00</b>             | <b>42,383,366.96<br/>41,591,656.99</b> | <b>7,616,457.52<br/>8,408,167.49</b>                      |
| <b>Total Other Assets</b>                                               |                                              | <b>49,999,824.48</b>                     | <b>7.45%</b>           | <b>N/A<br/>0.00</b>             | <b>42,383,366.96<br/>41,591,656.99</b> | <b>7,616,457.52<br/>8,408,167.49</b>                      |
| <b>Cash and Cash Equivalents</b>                                        |                                              |                                          |                        |                                 |                                        |                                                           |
| <b>Cash</b>                                                             |                                              |                                          |                        |                                 |                                        |                                                           |
| US DOLLAR CURRENCY<br><br>0993828.5                                     | USD<br>0.00%<br>0.0000                       | 1.000<br><br>0.00                        | Level n/a<br><br>0.00% | <br><br>0.00<br>0.00            | <br><br>0.00<br>0.00                   | <br><br>0.00<br>0.00                                      |
| <b>Total Cash</b>                                                       |                                              | <b>0.00</b>                              | <b>0.00%</b>           | <b>N/A<br/>0.00</b>             | <b>0.00<br/>0.00</b>                   | <b>0.00<br/>0.00</b>                                      |
| <b>Money Market Funds</b>                                               |                                              |                                          |                        |                                 |                                        |                                                           |
| FEDERATED GOVERNMENT OBLIGATIONS<br>INSTITUTIONAL SHARES                | 3140000V3<br>0.02%                           | 1.000<br><br>                            | Level n/a<br><br>      |                                 |                                        |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost | Unrealized Gain/Loss<br>On Book Value<br>On Revalued Cost |
|------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|-----------------------------|-----------------------------------------------------------|
| 0993828.1                                | 18,979,216.4000                              | 18,979,216.40                            | 2.83%                | 1.00                            | 18,979,216.40               | 0.00                                                      |
|                                          |                                              |                                          |                      | 465.83                          | 18,979,216.40               | 0.00                                                      |
| 0993828.10                               | 252,356.9000                                 | 252,356.90                               | 0.04%                | 1.00                            | 252,356.90                  | 0.00                                                      |
|                                          |                                              |                                          |                      | 5.48                            | 252,356.90                  | 0.00                                                      |
| 0993828.17                               | 483,971.8900                                 | 483,971.89                               | 0.07%                | 1.00                            | 483,971.89                  | 0.00                                                      |
|                                          |                                              |                                          |                      | 7.56                            | 483,971.89                  | 0.00                                                      |
| 0993828.2                                | 464,262.5200                                 | 464,262.52                               | 0.07%                | 1.00                            | 464,262.52                  | 0.00                                                      |
|                                          |                                              |                                          |                      | 10.31                           | 464,262.52                  | 0.00                                                      |
| 0993828.5                                | 12,043,175.0300                              | 12,043,175.03                            | 1.79%                | 1.00                            | 12,043,175.03               | 0.00                                                      |
|                                          |                                              |                                          |                      | 263.11                          | 12,043,175.03               | 0.00                                                      |
| 0993828.9                                | 543,292.9200                                 | 543,292.92                               | 0.08%                | 1.00                            | 543,292.92                  | 0.00                                                      |
|                                          |                                              |                                          |                      | 14.71                           | 543,292.92                  | 0.00                                                      |
| Total for Asset                          | 32,766,275.6600                              | 32,766,275.66                            | 4.88%                | 1.00                            | 32,766,275.66               | 0.00                                                      |
|                                          |                                              |                                          |                      | 767.00                          | 32,766,275.66               | 0.00                                                      |
| <b>Total Money Market Funds</b>          |                                              | <b>32,766,275.66</b>                     | <b>4.88%</b>         | <b>N/A</b>                      | <b>32,766,275.66</b>        | <b>0.00</b>                                               |
|                                          |                                              |                                          |                      | <b>767.00</b>                   | <b>32,766,275.66</b>        | <b>0.00</b>                                               |
| <b>Total Cash and Cash Equivalents</b>   |                                              | <b>32,766,275.66</b>                     | <b>4.88%</b>         | <b>N/A</b>                      | <b>32,766,275.66</b>        | <b>0.00</b>                                               |
|                                          |                                              |                                          |                      | <b>767.00</b>                   | <b>32,766,275.66</b>        | <b>0.00</b>                                               |
| <b>Net Holdings</b>                      |                                              | <b>671,434,502.13</b>                    | <b>100.00%</b>       | <b>N/A</b>                      | <b>532,879,302.28</b>       | <b>138,555,199.85</b>                                     |
|                                          |                                              |                                          |                      | <b>352,820.32</b>               | <b>536,456,316.60</b>       | <b>134,978,185.53</b>                                     |
| Payables                                 |                                              | -11,006,310.69                           |                      |                                 | -11,006,310.69              | 0.00                                                      |
|                                          |                                              |                                          |                      |                                 | -11,006,310.69              | 0.00                                                      |
| <b>Total Holdings Principal Assets</b>   |                                              | <b>\$660,428,191.44</b>                  | <b>100.00%</b>       | <b>N/A</b>                      | <b>\$521,872,991.59</b>     | <b>\$138,555,199.85</b>                                   |
|                                          |                                              |                                          |                      | <b>\$352,820.32</b>             | <b>\$525,450,005.91</b>     | <b>\$134,978,185.53</b>                                   |
| <b>Total Holdings</b>                    |                                              | <b>\$660,428,191.44</b>                  |                      |                                 | <b>\$521,872,991.59</b>     | <b>\$138,555,199.85</b>                                   |
|                                          |                                              |                                          |                      |                                 | <b>\$525,450,005.91</b>     | <b>\$134,978,185.53</b>                                   |
| <b>Accrued Income On</b>                 |                                              |                                          |                      |                                 |                             |                                                           |
| Principal Holdings                       |                                              | 352,820.32                               |                      | 352,820.32                      | 352,820.32                  |                                                           |
| <b>Total Accrued Income</b>              |                                              | <b>352,820.32</b>                        |                      | <b>352,820.32</b>               | <b>352,820.32</b>           |                                                           |





# Account Statement

RPM RETMT PLAN-CONSOLIDATED 0993828  
June 01, 2020 - May 31, 2021

## Detailed Schedule of Holdings - Principal Assets (Continued)

Base Currency: USD

| Security Description<br>Portfolio Number | Asset Number<br>Yield on Market<br>Units/Par | Unit Price<br>Price Date<br>Market Value | FV Level*<br>% of MV | Avg Unit Cost<br>Accrued Income | Book Value<br>Revalued Cost          | Unrealized Gain/Loss                 |
|------------------------------------------|----------------------------------------------|------------------------------------------|----------------------|---------------------------------|--------------------------------------|--------------------------------------|
|                                          |                                              |                                          |                      |                                 |                                      | On Book Value<br>On Revalued Cost    |
| Total Holdings with<br>Accrued Income    |                                              | \$660,781,011.76                         |                      |                                 | \$522,225,811.91<br>\$525,802,826.23 | \$138,555,199.85<br>\$134,978,185.53 |



***Schedule SB, Part V — Summary of Plan Provisions***

**Actuarial assumption changes since prior valuation**

- Interest discounts and mortality rates were updated from 2019 to 2020 in accordance with PPA.
- The expense component of normal cost increased from \$1,454,545 to \$1,523,183 to reflect our expectations for the current plan year.