Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2020

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2020 or fiscal plan year beginning 06/01/2020 and ending 05/31/2021

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 01/01/1966

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
PAUL HASTINGS LLP

515 SOUTH FLOWER STREET, FLOOR 25
LOS ANGELES, CA 90071-2228

2b Employer Identification Number (EIN) 95-2209675

2c Plan Sponsor's telephone number 213-683-6000

2d Business code (see instructions) 541110

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	03/15/2022	CHRISTIE SMITH
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address [X] Same as Plan Sponsor

3b Administrator's EIN

3c Administrator's telephone number

4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:
a Sponsor's name
c Plan Name

4b EIN

4d PN

5 Total number of participants at the beginning of the plan year	**5**	2723
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1) Total number of active participants at the beginning of the plan year	**6a(1)**	1536
a(2) Total number of active participants at the end of the plan year	**6a(2)**	1511
b Retired or separated participants receiving benefits	**6b**	0
c Other retired or separated participants entitled to future benefits	**6c**	1272
d Subtotal. Add lines **6a(2)**, **6b**, and **6c**	**6d**	2783
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	0
f Total. Add lines **6d** and **6e**	**6f**	2783
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	2592
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2A 2E 2F 2G 2J 2R 2T 3B 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

(1)	[ ]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

(1)	[ ]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

(1)	[X]	**R** (Retirement Plan Information)
(2)	[ ]	**MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
(3)	[ ]	**SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

(1)	[X]		**H** (Financial Information)
(2)	[ ]		**I** (Financial Information – Small Plan)
(3)	[ ]	0	**A** (Insurance Information)
(4)	[X]		**C** (Service Provider Information)
(5)	[X]		**D** (DFE/Participating Plan Information)
(6)	[ ]		**G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2020 Form M-1 annual report. If the plan was not required to file the 2020 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2020** **This Form is Open to Public Inspection.**

For calendar plan year 2020 or fiscal plan year beginning 06/01/2020 and ending 05/31/2021

A Name of plan
PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
PAUL HASTINGS LLP

D Employer Identification Number (EIN)
95-2209675

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ONEAMERICA RETIREMENT SERVICES

46-5378846

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 37 38 64 65	RECORDKEEPER	327326	Yes [X] No [ ]	Yes [X] No [ ]	244753	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

MORGAN STANLEY

36-3145972

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	239363	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

HALBERT HARGROVE RUSSELL

33-0301336

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	83384	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WELLS FARGO ADVISORS

1515 W. 22ND STREET
SUITE 700
OAKBROOK, IL 60523

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	41170	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

MONOGRAPH WEALTH ADVISORS

830 S SUPULVEDA BLVD.
SUITE 202
EL SEGUNDO, CA 90245

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	31100	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

OPPENHEIMER

666 THIRD AVENUE, 13TH FLOOR
NEW YORK, NY 10017

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	28151	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

CITY NATIONAL BANK

36-3145972

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	24354	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

RBC WEALTH MANAGEMENT

345 CALIFORNIA STREET
SUITE 2900
SAN FRANCISCO, CA 94104

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	23703	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

MERRILL LYNCH

13-3266276

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	19542	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

PALO CAPITAL

888 SAN CLEMENTE DRIVE
SUITE 150
NEWPORT BEACH, CA 92660

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	19077	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

LPL FINANCIAL

20-3717839

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	16139	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

TORTOISE INVESTMENT MGMT/SCHWAB

2 WESTCHESTER PARK DRIVE
SUITE 215
WHITE PLAINS, NY 10604

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	13037	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

PERIGON WEALTH MANAGEMENT, LLC

201 MISSION STREET
SUITE 1825
SAN FRANCISCO, CA 94105

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	11421	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

GV FINANCIAL ADVISORS

1100 ABERNATHY ROAD, STE 500
ATLANTA, GA 30328

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	9963	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

NORTHWESTERN MUTUAL SEC/PERSHING

515 S. FLOWER STREET
OMAHA, NE 68103

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	7702	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

LEE-WAY FINANCIAL

6659 HWY 98
WEST HATTIESBURG, MS 39402

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	5154	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

SANDFORD BERNSTEIN

1999 AVENUE OF THE STARS
21ST FLOOR
LOS ANGELES, CA 90067

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	5144	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

TD AMERITRADE

47-0533629

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 33 51	INVESTMENT ADVISOR	2890	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MATRIX TRUST COMPANY

75-3182674

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
18 19 21 25 49 50 62	TRUSTEE	0	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
MATRIX TRUST COMPANY	18 19 21 25 49 50 62	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MATRIX TRUST COMPANY 75-3182674	SEE ATTACHMENT 'MATRIX TRUST COMPANY SCHEDULE C DISCLOSURES'

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
MSCS FINANCIAL SERVICES	50 59 60 61 63 68	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSCS FINANCIAL SERVICES 33-1151291	SEE ATTACHMENT 'MATRIX TRUST COMPANY SCHEDULE C DISCLOSURES'

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
TD AMERITRADE, INC.	59 60 63 68	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TD AMERITRADE, INC. 82-0543156	SEE ATTACHMENT 'TDA PH SCHEDULE C DISCLOSURES'

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III	**Termination Information on Accountants and Enrolled Actuaries (see instructions)** (complete as many entries as needed)

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2020

This Form is Open to Public Inspection.

For calendar plan year 2020 or fiscal plan year beginning 06/01/2020 and ending 05/31/2021

A Name of plan
PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
PAUL HASTINGS LLP

D Employer Identification Number (EIN)
95-2209675

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: PRUDENTIAL STABLE VALUE FUND

b Name of sponsor of entity listed in (a): PRUDENTIAL TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
23-6991310-126	C	93531929

a Name of MTIA, CCT, PSA, or 103-12 IE: ARISTOTLE SMALL/MID CAP EQUITY CIT

b Name of sponsor of entity listed in (a): SEI TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
81-2704975-095	C	38368683

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II **Information on Participating Plans (to be completed by DFEs)**
(Complete as many entries as needed to report all participating plans)

a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2020

This Form is Open to Public Inspection

For calendar plan year 2020 or fiscal plan year beginning 06/01/2020 and ending 05/31/2021

A Name of plan
PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
PAUL HASTINGS LLP

D Employer Identification Number (EIN)
95-2209675

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	2029734	171680
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	14123971	14673121
(2) Participant contributions	1b(2)	0	0
(3) Other	1b(3)	0	0
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	8072457	7663164
(2) U.S. Government securities	1c(2)	1372643	830933
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	591076	546107
(B) All other	1c(3)(B)	950702	1113923
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	453700	429666
(B) Common	1c(4)(B)	19032239	33932846
(5) Partnership/joint venture interests	1c(5)	5254889	6213958
(6) Real estate (other than employer real property)	1c(6)	0	0
(7) Loans (other than to participants)	1c(7)	0	0
(8) Participant loans	1c(8)	2485567	2051596
(9) Value of interest in common/collective trusts	1c(9)	89263674	131900612
(10) Value of interest in pooled separate accounts	1c(10)	0	0
(11) Value of interest in master trust investment accounts	1c(11)	0	0
(12) Value of interest in 103-12 investment entities	1c(12)	0	0
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	584752349	730616312
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	0	0
(15) Other	1c(15)	293746	245850

			(a) Beginning of Year	**(b)** End of Year
1d	Employer-related investments:			
	(1) Employer securities	**1d(1)**	0	0
	(2) Employer real property	**1d(2)**	0	0
e	Buildings and other property used in plan operation	**1e**	0	0
f	Total assets (add all amounts in lines 1a through 1e)	**1f**	728676747	930389768
	Liabilities			
g	Benefit claims payable	**1g**	0	0
h	Operating payables	**1h**	0	0
i	Acquisition indebtedness	**1i**	0	0
j	Other liabilities	**1j**	0	0
k	Total liabilities (add all amounts in lines 1g through1j)	**1k**	0	0
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	**1l**	728676747	930389768

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		**(a)** Amount	**(b)** Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	**2a(1)(A)**	28630861	
	(B) Participants	**2a(1)(B)**	17665832	
	(C) Others (including rollovers)	**2a(1)(C)**	12010711	
	(2) Noncash contributions	**2a(2)**	0	
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	**2a(3)**		58307404
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	**2b(1)(A)**	1620	
	(B) U.S. Government securities	**2b(1)(B)**	18129	
	(C) Corporate debt instruments	**2b(1)(C)**	54668	
	(D) Loans (other than to participants)	**2b(1)(D)**	0	
	(E) Participant loans	**2b(1)(E)**	134600	
	(F) Other	**2b(1)(F)**	181046	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	**2b(1)(G)**		390063
	(2) Dividends: **(A)** Preferred stock	**2b(2)(A)**	155	
	(B) Common stock	**2b(2)(B)**	354031	
	(C) Registered investment company shares (e.g. mutual funds)	**2b(2)(C)**	17774622	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	**2b(2)(D)**		18128808
	(3) Rents	**2b(3)**		
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	**2b(4)(A)**	29534043	
	(B) Aggregate carrying amount (see instructions)	**2b(4)(B)**	27213967	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	**2b(4)(C)**		2320076
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	**2b(5)(A)**	0	
	(B) Other	**2b(5)(B)**	7739639	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	**2b(5)(C)**		7739639

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		12966550
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		152181688
c Other income	2c		998276
d Total income. Add all **income** amounts in column (b) and enter total	2d		253032504
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	50341309	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		50341309
f Corrective distributions (see instructions)	2f		0
g Certain deemed distributions of participant loans (see instructions)	2g		0
h Interest expense	2h		0
i Administrative expenses: **(1)** Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	327326	
(3) Investment advisory and management fees	2i(3)	591061	
(4) Other	2i(4)	59787	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		978174
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		51319483
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		201713021
l Transfers of assets:			
(1) To this plan	2l(1)		0
(2) From this plan	2l(2)		0

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unmodified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) [X] DOL Regulation 2520.103-8 **(2)** [ ] DOL Regulation 2520.103-12(d) **(3)** [ ] neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: MEIR & MEIR **(2)** EIN: 95-4359536

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	

			Yes	No	Amount
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	**4b**		X	
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	**4c**		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	**4d**		X	
e	Was this plan covered by a fidelity bond?	**4e**	X		500000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	**4f**		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	**4g**		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	**4h**		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	**4i**	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)	**4j**		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	**4k**		X	
l	Has the plan failed to provide any benefit when due under the plan?	**4l**		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	**4m**		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	**4n**			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No

If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2020

This Form is Open to Public Inspection.

For calendar plan year 2020 or fiscal plan year beginning 06/01/2020 and ending 05/31/2021

A Name of plan
PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
PAUL HASTINGS LLP

D Employer Identification Number (EIN)
95-2209675

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 75-3182674

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 a Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment)	**14a**	
b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	**14b**	
c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

20 **PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation_____

Paul Hastings LLP Defined Contribution Retirement Plan

Financial Statements and Supplemental Schedule

As of May 31, 2021 and 2020 and for the Year Ended May 31, 2021

Paul Hastings LLP Defined Contribution Retirement Plan

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Financial Statements and Supplemental Schedule

As of May 31, 2021 and 2020, and for the Year ended May 31, 2021

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Supplemental Schedule

Schedule I – Form 5500 – Schedule H – Line 4i – Schedule of Assets (Held at End of Year) 20

Note: Schedules other than that listed above have been omitted because the information is otherwise disclosed, not applicable or not required by 29 CFR 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, as amended.

MEIR & MEIR LLP
CERTIFIED PUBLIC ACCOUNTANTS

www.meirandmeir.com
139 SOUTH B EVER LY DR, SUITE 204
BEVERLY HILLS, CA 90212
310 274 7541 TEL
310 274 1015 FAX

Independent Auditor's Report

To the Plan Administrative Committee of
the Paul Hastings LLP Defined Contribution Retirement Plan

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the Paul Hastings LLP Defined Contribution Retirement Plan, which comprise the statements of net assets available for benefits as of May 31, 2021 and 2020, and the related statement of changes in net assets available for benefits for the year ended May 31, 2021, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with the modified cash basis of accounting except for the investments which are adjusted to fair value (see Note 3), the accrual of Plan contributions, and accrued interest on notes receivable from participants described in Note 2; this includes determining that the modified cash basis of accounting is an acceptable basis for the preparation of the financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 10 which was certified by Matrix Trust Company, the trustee of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained a certification

from the trustee as of and for the years ended May 31, 2021 and 2020, that the information provided to the plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter- Supplemental Schedule

The supplemental schedule of Schedule H, line 4i – Schedule of Assets (Held at End of Year) as of May 31, 2021 is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule referred to above.

Other Matter- Basis of Accounting

We draw attention to Note 2, of the financial statements, which describes the basis of accounting. The financial statements are prepared on the basis of accounting the Paul Hastings LLP Defined Contribution Retirement Plan uses for the Department of Labor filing purposes, which is a basis of accounting other than accounting principles generally accepted in the United States of America. Our opinion is not modified with respect to that matter.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Meir and Meir, LLP

Beverly Hills, California
March 14, 2022

Paul Hastings LLP Defined Contribution Retirement Plan

Statements of Net Assets Available for Benefits (Modified Cash Basis)

As of May 31,		**2021**		2020
Assets				
Investments at fair value	**$**	**819,872,626**	$	622,709,555
Investment at contract value		**93,531,929**		89,263,674
Receivables:				
Employer contributions		**14,673,121**		14,123,971
Notes receivable from participants		**2,051,596**		2,485,567
		16,724,717		16,609,538
Net assets available for benefits	**$**	**930,129,272**	$	728,582,767

See accompanying notes.

Paul Hastings LLP Defined Contribution Retirement Plan

Statement of Changes in Net Assets Available for Benefits (Modified Cash Basis)

Year ended May 31,		**2021**
Additions		
Additions to net assets attributed to:		
Investment income:		
Net appreciation in fair value of investments	**$**	**175,041,436**
Interest		**865,267**
Dividends		**18,309,854**
Total investment income		**194,216,557**
Interest income on notes receivable from participants		**134,600**
Contributions:		
Employer		**28,630,861**
Participants		**17,665,832**
Rollovers		**12,010,711**
Total contributions		**58,307,404**
Total additions		**252,658,561**
Deductions		
Deductions from net assets attributed to:		
Benefits paid to participants		**50,125,290**
Administrative expenses		**986,766**
Total deductions		**51,112,056**
Net increase		**201,546,505**
Net assets available for benefits:		
Beginning of year		**728,582,767**
End of year	**$**	**930,129,272**

See accompanying notes.

1. Description Of Plan

The following description of the Paul Hastings LLP Defined Contribution Retirement Plan ("Plan"), provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General

The Plan is a defined contribution plan covering substantially all full-time employees and partners of Paul Hastings LLP ("Company"). It is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA). The Administrative Committee oversees governance of the Plan. Plan management determines the appropriateness of the Plan's investment offerings, monitors investment performance, and reports to the Plan's Administrative Committee.

Contributions

The maximum annual employee contribution to the Plan is $19,500 for the calendar year 2021, under the Internal Revenue Code Section 402(g), subject to the limitations in the statutory anti-discrimination test contained in Code Section 401(k), statutory limitations with regard to annual deferrals and catch up deferrals, and any other limitations in the Plan or established by the plan administrator. Employees who are at least 50 years old on or before December 31, 2021 are able to make an additional contribution of $6,500.

Employees are eligible for an employer contribution if they have at least two years of service and are employed at the plan year-end. The employer contributes 8% of each eligible participant's salary plus 5.7% of the amount of the participant's salary, if any, which exceeds the social security wage base of $142,800 for the plan year ended May 31, 2021. Contributions to the Plan for partners are specified by the Company and are withheld from the partners' compensation.

All non-attorney employees who have been participants for at least 5 years and all attorney-employees who are otherwise eligible for the Plan are required to make automatic contributions. Automatic contributions begin on the first day of the month following completion of 5 years of participation for eligible non-attorneys or following commencement of employment for eligible attorney-employees. The automatic contributions rate for non-attorneys is 5% of compensation; the automatic contributions rate for attorneys is 8% of compensation.

1. Description Of Plan (Continued)

Participant Accounts

Each participant's account is credited with the participant's contribution and allocations of (a) the Company's contribution and, (b) Plan earnings, and charged with an allocation of any administrative expenses paid by the Plan. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting

Participants are immediately vested in their voluntary contributions, Company's contributions, plus actual earnings thereon.

Notes Receivable from Participants

Participants may borrow from their fund account a minimum of $1,000 up to a maximum equal to the lesser of $50,000 or 50% of their account balance. Loan transactions are treated as a transfer to (from) the investment fund from (to) the participant loan fund. Loan terms range up to five years. The loans are secured by the balance in the participant's account and bear interest at rates ranging from 5.25% to 7.50% which are commensurate with local prevailing rates as determined periodically by the plan administrator. Principal and interest are paid ratably through payroll deductions.

Payment of Benefits

On termination of service due to death, disability or retirement, a participant may elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account, or to delay the distribution till the age of 65. In such case, at the age of 65 there would be an automatic distribution. Hardship withdrawals are also available. For termination of service due to other reasons, a participant may receive the value of the vested interest in his or her account as a lump-sum distribution.

Plan Administration

The Plan is administered by the Company. The plan administrator controls and manages the operation and administration of the Plan.

1. Description Of Plan (Continued)

Matrix Trust Company ("Matrix") is the trustee of the Plan and OneAmerica Retirement Services LLC ("OARS") is the recordkeeper.

Eligibility

All U.S. employees or partners are eligible to participate in the Plan upon becoming employees or partners of the Company.

Investment Options

Upon enrollment in the Plan, a participant may direct the investment of the Plan accounts in any of fourteen pool funds provided by the Company, or in a self-directed fund through the self-directed option. The fourteen pool funds and the self-directed fund are as follows:

Stable Value Fund (Prudential Capital Preservation Fund) – Promises a fixed rate of return that is announced before the beginning of the calendar quarter to which the rate applies.

Intermediate Term Bond Fund (Metropolitan West Total Return Bond Fund Class M) – Seeks to maximize long-term total return. The fund will maintain an average duration between 2 and 8 years. Investments can include government and corporate debt securities, mortgage-backed and asset-backed securities, money market instruments, and derivatives.

Inflation-Protected Bond Fund (BlackRock Inflation Protected Bond Fund Institutional) – Seeks to maximize real return, consistent with preservation of capital and prudent investment management.

High Yield Bond Fund (Principal High Yield Fund Institutional Class) – Seeks to provide a high level of current income and invests at least 80% of its net assets, plus any borrowings for investment purposes, in below investment grade bonds.

Balanced Fund (Janus Balanced Fund Class T) – Seeks to provide high current income and capital appreciation in up markets and preserve capital in down markets by investing in dividend paying stocks, bonds, preferred stocks and convertible securitites.

Large Value Fund (Putnam Equity Income Fund Class Y) – Seeks capital growth and current income. Focuses on large companies

1. Description Of Plan (Continued)

whose stocks are priced below their long-term potential, and where there may be a catalyst for positive change.

Large Blend Fund (Vanguard Institutional Index Fund Institutional Shares) – Seeks to track the performance of a benchmark index that measures the investment return of large-capitalization stocks. The fund employs an indexing investment approach designed to track the performance of the Standard & Poor's 500 index.

Large Growth Fund (Pioneer Fundamental Growth Fund Class Y) – Seeks long-term growth by investing primarily in the equity securities of U.S. companies that management believes have better than average growth potential.

Small/ Mid Value Fund (AB Discovery Value Fund Class 1) – Seeks long-term growth of capital by investing in small to medium sized companies believed to be undervalued.

Small/ Mid-Growth Fund (AB Discovery Growth Fund Class 1) – Seeks unexpected long-term growth potential from small- and mid-cap stocks.

Small/ Mid-Growth Fund (Eaton Vance Atlanta Capital SMID-Cap Fund Class A) – Seeks companies that are in strong financial condition and that management believes are attractively valued relative to earnings and cash flow per share.

Foreign Large Growth Fund (American Funds EuroPacific Growth Fund Class R-6) – Seeks to provide long-term growth of capital by investing in companies based outside the U.S.

Diversified Emerging Mkts Fund (Oppenheimer Developing Markets Fund Class Y) – Seeks long term capital appreciation investing in equity securities located in countries with emerging securities markets believed to have growth potential.

Real Estate Fund (Vanguard REIT Index Fund Institutional Shares) – Seeks to provide a high level of income and moderate long-term capital appreciation by tracking the performance of a benchmark index that measures the performance of publicly traded equity REITs.

1. Description Of Plan (Continued)

Self-Directed Fund – Funds are invested in investments directed by participants, including stocks, bonds, life insurance, and other financial assets.

2. Summary of Significant Accounting Policies

Basis of Accounting

These financial statements are prepared on the modified cash basis of accounting except for the investments which are adjusted to fair value (see Note 3), the accrual of Plan contributions, and accrued interest on notes receivable from participants; consequently, certain revenue is recognized when received rather than when earned, and expenditures are recognized when paid rather than when the obligation is incurred. Accordingly, the financial statements are not intended to present financial position and results of operations in conformity with generally accepted accounting principles.

Investments held by a defined contribution plan are required to be reported at fair value, except for fully benefit-responsive investment contracts. Contract value is the relevant measure for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants normally would receive if they were to initiate permitted transactions under the terms of the plan.

Use of Estimates

The preparation of financial statements in conformity with the modified cash basis of accounting requires the plan administrator to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosures of contingent assets and liabilities. Accordingly, actual results may differ from those estimates.

Investment Valuation and Income Recognition

Investments are reported at fair value, except for fully benefit-responsive investment contracts, which are reported at contract value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The plan administrator determines the Plan's valuation policies. See Note 3 for discussion of fair value measurements.

2. Summary of Significant Accounting Policies (Continued)

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. Related fees are charged directly to the borrowing participant's account and are included in administrative expenses when incurred. As of May 31, 2021, and 2020, no allowance for credit losses has been recorded. If a participant does not make loan repayments and the plan administrator considers the participant loan to be in default, the loan balance is reduced, and the delinquent participant note receivable is recorded as a benefit payment based on the terms of the Plan document.

Payment of Benefits

Benefits are recorded when paid.

Expenses

Certain expenses of maintaining the Plan are paid by the Company and are therefore excluded from these financial statements (see Note 5). Investment-related expenses are included in net appreciation in fair value of investments. Fees for the administration of notes receivable from participants are included in administrative expenses and charged directly to the participant's account.

Net Appreciation (Depreciation) in Fair Value of Investments

Net appreciation (depreciation) in fair value of investments is based on the fair value of the assets at the beginning of the Plan year, or at the time of purchase during the Plan year, and the related fair value on the day investment are sold with respect to realized appreciation (depreciation), or on the last day of the year with respect to unrealized appreciation (depreciation).

2. Summary of Significant Accounting Policies (Continued)

Realized and unrealized appreciation (depreciation) is recorded in the accompanying statement of changes in net assets available for benefits as net appreciation (depreciation) in fair value of investments.

Recent Accounting Pronouncements

In August 2018, the Financial Accounting Standards Board (FASB) issued ASU 2018-13 - Fair Value Measurement - Disclosure Framework (ASC 820). The guidance modifies the disclosure requirements for fair value measurements. The updated guidance is effective for fiscal years, and interim periods within those fiscal years, beginning after December 15, 2019 with early adoption permitted. The Plan adopted ASU 2018-13 effective June 1, 2020.

In July 2019, the AICPA issued SAS 136 – Forming an Opinion and Reporting on Financial Statements of Employee Benefit Plans Subject to ERISA. The guidance modifies the reporting requirements for the Plan. The updated guidance is effective for fiscal years, and interim periods within those fiscal years, ending on or after December 15, 2021 with early adoption permitted.

Date of Management's Review of Subsequent Events

Subsequent events were evaluated through March 14, 2022, which is the date the financial statements were available to be issued.

3. Fair Value Measurements

The Plan's investments are reported at fair value in the accompanying statements of net assets available for benefits. The methods used to measure fair value may produce an amount that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The fair value measurement accounting literature establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. This hierarchy consists of three broad levels: Level 1 inputs consist of unadjusted quoted prices in active markets for identical assets and have the highest priority, Level 2 inputs consist of observable inputs other than quoted prices for identical assets, and

3. Fair Value Measurements (Continued)

Level 3 inputs are unobservable and have the lowest priority. The Plan uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments. When available, the Plan measures fair value using Level 1 inputs because they generally provide the most reliable evidence of fair value. Level 2 inputs are used for investments for which Level 1 inputs were not available. Level 3 inputs would only be used if Level 1 or Level 2 inputs were not available.

Level 1 Fair Value Measurements

Cash equivalents primarily consist of money market mutual funds. These assets are priced at par, based on publicly traded prices.

The fair value of mutual funds is based on quoted net asset values of the shares as reported by the fund. The mutual funds held by the Plan are open-end mutual funds registered with the U.S. Securities and Exchange Commission. The funds must publish their daily net asset value and transact at that price. The mutual funds held by the Plan are considered to be actively traded.

The fair values of exchange traded products, publicly traded preferred stocks, publicly traded common stocks, and publicly traded partnerships are based on the closing price reported on the active market where the individual securities are traded.

Level 2 Fair Value Measurements

The fair values of U.S. government securities, corporate obligations, and notes, mortgages, and contracts are based on the bid price of similar instruments in the market, as determined by the asset class.

Level 3 Fair Value Measurements

Closely held common or preferred stocks are valued based on a statement provided by the Company or a third-party evaluation.

The fair value of partnerships is based on the capital account balance as provided by the partnership to the Plan.

For those assets with fair value measured using Level 3 inputs, the Plan administrator determines the fair value measurement policies and procedures in consultation with the Plan custodian. Those policies and

3. Fair Values Measurement (Continued)

procedures are reassessed at least annually to determine if the current valuation techniques are still appropriate. At that time, the unobservable inputs used in the fair value measurement are evaluated and adjusted, as necessary, based on current market conditions and other third-party information.

Quantitative Information about Significant Unobservable Inputs Used in Level 3 Fair Value Measurements

The following tables represents the significant unobservable inputs and the ranges of values for those inputs used to measure fair value of the Plan's Level 3 financial instruments using the income approach as of May 31, 2021 and 2020:

May 31, 2021

Type	Valuation Techniques	Significant Unobservable Input	Range	Weighted Average
Partnerships	Capital Acct. Balance	Capital Acct. Balance	N/A	N/A
Closely Held Common Stocks	Price of most recent sale	Price of most recent sale	N/A	N/A
Closely Held Preferred Stocks	Price of most recent sale	Price of most recent sale	N/A	N/A

May 31, 2020

Type	Valuation Techniques	Significant Unobservable Input	Range	Weighted Average
Partnerships	Capital Acct. Balance	Capital Acct. Balance	N/A	N/A
Closely Held Common Stocks	Price of most recent sale	Price of most recent sale	N/A	N/A
Closely Held Preferred Stocks	Price of most recent sale	Price of most recent sale	N/A	N/A

The following tables set forth, by level within the fair value hierarchy, the Plan's investments at fair value as of May 31, 2021 and 2020:

3. Fair Value Measurements (Continued)

May 31, 2021	Fair Value Measurements at the End of the Reporting Period Using: Quoted Prices in Active Markets for Identical Assets (Level 1)	Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	Fair Value
Cash equivalents	**$ 9,521,784**	**$ 50,081**	**$ -**	**$ 9,571,865**
Mutual funds	**693,277,874**	**-**	**-**	**693,277,874**
Exchange traded products	**35,725,647**	**-**	**-**	**35,725,647**
Preferred stocks	**7,904**	**-**	**543,361**	**551,265**
Common stocks	**33,729,834**	**-**	**240,628**	**33,970,462**
Partnerships	**108,663**	**-**	**5,606,924**	**5,715,587**
U.S. government securities	**-**	**815,698**	**-**	**815,698**
Corporate obligations	**-**	**1,614,461**	**-**	**1,614,461**
Notes, mortgages, and contracts	**-**	**15,234**	**-**	**15,234**
Municipal bonds	**-**	**245,850**	**-**	**245,850**
Collective funds	**-**	**38,368,683**	**-**	**38,368,683**
Total assets at fair value	**$ 772,371,706**	**$ 41,110,007**	**$ 6,390,913**	**$ 819,872,626**

May 31, 2020	(Level 1)	(Level 2)	(Level 3)	Fair Value
Cash equivalents	$ 10,300,950	$ 50,343	$ -	$ 10,351,293
Mutual funds	556,884,511	-	-	556,884,511
Exchange traded products	27,621,476	-	-	27,621,476
Preferred stocks	-	-	423,588	423,588
Common stocks	18,871,640	-	217,274	19,088,914
Partnerships	88,528	-	5,045,635	5,134,163
U.S. government securities	-	1,316,882	-	1,316,882
Corporate obligations	-	1,574,405	-	1,574,405
Notes, mortgages, and contracts	-	25,724	-	25,724
Municipal bonds	-	288,599	-	288,599
Total assets at fair value	$ 613,767,105	$ 3,255,953	$ 5,686,497	$ 622,709,555

The following tables reconcile the beginning and ending balances of the Level 3 fair value measurements as of May 31, 2021 and 2020:

May 31, 2021	Common Stocks	Partnerships	Preferred Stocks	Total
Beginning balance	**$ 217,274**	**$ 5,045,635**	**$ 423,588**	**$ 5,686,497**
Total gains or losses (realized and unrealized) included in changes in net assets available for benefits	**23,354**	**235,343**	**97,953**	**356,650**
Purchases	**-**	**854,794**	**21,820**	**876,614**
Sales	**-**	**(528,848)**	**-**	**(528,848)**
Ending balance	**240,628**	**5,606,924**	**543,361**	**6,390,913**

May 31, 2020	Common Stocks	Partnerships	Preferred Stocks	Total
Beginning balance	$ 217,274	$ 5,411,454	$ 471,962	$ 6,100,690
Total gains or losses (realized and unrealized) included in changes in net assets available for benefits	-	(403,009)	(43,376)	(446,385)
Purchases	-	256,905	20,000	276,905
Sales	-	(219,715)	(24,998)	(244,713)
Ending balance	217,274	5,045,635	423,588	5,686,497

3. Fair Value Measurements (Continued)

Gains and losses (realized and unrealized) included in changes in net assets available for benefits for the year ended May 31, 2021 are reported in net appreciation in fair value of investments.

The Plan's policy is to recognize transfers between Level 1 and Level 2 and into and out of Level 3 at the end of the reporting period. For the years ended May 31, 2021 and 2020, there were no significant transfers between Level 1 and Level 2 or into or out of Level 3.

4. Guaranteed Investment Contract with Insurance Company

On February 8, 2005, the Plan invested an initial deposit of approximately $25,000,000 in a guaranteed investment contract with Prudential Retirement Insurance and Annuity Company ("Prudential"), which maintains the contributions in the Plan's designated trust account. The assets of this account are invested in the Prudential Core Conservative Fund (formerly the Prudential Enhanced Bonds Fund) ("Fund"), that is a part of the Prudential Trust Company Collective Trust. The fund invests primarily in corporate bonds, commercial mortgage-backed securities, U.S. government mortgage-backed securities, U.S. government treasury and agency obligations and money market funds.

Because the guaranteed investment contract meets the criteria to be considered fully benefit-responsive, contract value is the relevant measurement attribute for that portion of the net assets available for benefits attributable to the guaranteed investment contract.

The guaranteed investment contract is presented on the face of the statements of net assets available for benefits at contract value. Contract value, as reported to the Plan by Prudential, represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

There are no reserves against contract value for credit risk of the contract issuer or otherwise. The contract value of the investment contract was $93,531,929 and $89,263,674 at May 31, 2021 and 2020 respectively. The crediting interest rate is based on an agreed-upon formula with the issuer, but cannot be less than 0.00%. Such interest rates are reviewed on a quarterly basis for resetting.

4. Guaranteed Investment Contract with Insurance Company (Continued)

Certain events limit the Plan's ability to transact at contract value with Prudential. Such events include the following: (a) amendments to the plan documents (including complete or partial plan termination or merger with another plan), (b) changes to the plan's prohibition on competing investment options or deletion of equity wash provisions, (c) bankruptcy of the plan sponsor or other plan sponsor events (for example, divestitures or spin-offs of a subsidiary) that cause a significant withdrawal from the plan, or (d) the failure of the trust to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA. Furthermore, certain events would allow the issuer to terminate the contract with the Plan and settle at an amount different from contract value. Examples of such events include (a) an uncured breach of the Plan's investment guidelines, (b) a material amendment to the contract without the issuer's consent, (c) a violation of a material obligation under the contract, or (d) a material misrepresentation. The Plan administrator does not believe that any events that would limit the Plan's ability to transact at contract value with Plan participants or the issuer are probable of occurring.

The guaranteed investment contract does not permit the insurance company to terminate the agreement prior to the scheduled maturity date.

5. Related Party and Party in Interest Transactions

The Company provides to the Plan certain accounting and administrative services for which no fees are charged. As the Company is the Plan sponsor, these transactions qualify as party-in-interest transactions.

These party-in-interest transactions are exempt from the prohibited transaction rules of ERISA.

6. Plan Termination

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants would become 100% vested in their accounts.

7. Tax Status

The Internal Revenue Service ("IRS") has determined and informed the Company by a letter dated October 3, 2016, that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code ("IRC"). The plan administrator and the Plan's

7. Tax Status (Continued)

tax counsel believe that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC and therefore believe that the Plan is qualified and the related trust is tax-exempt.

Accounting principles generally accepted in the United States of America require the plan administrator to evaluate tax positions taken by the Plan and recognize a tax liability for any uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan is subject to routine audits by tax authorities; however, there are currently no audits for any tax periods in progress.

8. Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

The Plan is required to disclose significant concentrations of credit risk regardless of the degree of such risk. At May 31, 2021 and 2020, the financial instruments, which potentially subject the Plan to a concentration of credit risk, consisted principally of cash, investments and notes receivable from participants.

9. Reconciliation of Financial Statements to Schedule H of Form 5500

The following is a reconciliation of net assets available for benefits per the financial statements to Schedule H of Form 5500 as of May 31, 2021 and 2020:

May 31,	**2021**	2020
Net assets available for benefits per the financial statements	**$ 930,129,272**	$ 728,582,767
Adjustments: Assets' values updated after year end	**260,496**	93,980
Net assets available for benefits per Form 5500	**$ 930,389,768**	$ 728,676,747

The following is a reconciliation of increase in net assets per the financial statements to increase in net assets per Form 5500 for the year ended May 31, 2021:

9. Reconciliation of Financial Statements to Schedule H of Form 5500 (Continued)

May 31,		2021
Increase in net assets per the financial statements	$	201,546,504
Adjustments: Assets' values updated after year-end: May 31, 2020		(93,980)
Adjustments: Assets' values updated after year-end: May 31, 2021		260,496
Increase in net assets available for benefits per Form 5500	$	201,713,020

The fair values of certain investments were updated after the year end. Form 5500 was prepared based on the values that were available at year-end, while the financial statements were prepared based on the updated values.

Certain balances included on Schedule H Part I and II on the Annual Return/Report of Employee Benefits Plan (Form 5500) have been reclassified for purposes of presentation in the financial statements.

10. Trustee's Certification

The investment balance and related investment information included in the financial statements, accompanying notes and supplemental schedule are derived from statements which have been certified by Matrix Trust Company as complete and accurate in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

11. Plan Amendments

Effective December 31, 2018, the Plan was amended and restated to authorize after-tax contributions for the purpose of making an In-Plan Roth Conversion of them, and to make several other minor changes. Effective March 4, 2020, the Plan was amended to authorize after tax-contributions for the purpose of making an In-Plan Roth conversion of them and to change the required beginning date age from 70.50 to 72 as permitted by the SECURE Act.

12. COVID-19 and Related Acts

The COVID-19 outbreak has resulted in widespread and continuing impacts on the global economy. Financial and credit markets have experienced and may continue to experience significant volatility and turmoil. This volatility and turmoil may impact the fair values of Plan assets. The extent to which COVID-19 impacts the Plan's financial position and results will depend on future developments, which are highly uncertain and cannot be predicted, including new information that may emerge concerning the severity of the virus and the actions to contain and treat its impacts, among others.

In March 2020, the Coronavirus Aid, Relief and Economic Security Act (CARES Act) was signed into law. The CARES Act contains

12. COVID-19 and Related Acts (Continued)

certain provisions that were adopted, including allowing certain eligible participants to receive hardship distributions, suspension of loan repayments, and delay of minimum required distributions.

Paul Hastings LLP Defined Contribution Retirement Plan

Form 5500 – Schedule H – Line 4i – Schedule of Assets (Held at End of Year) as of May 31, 2021

EIN: 95-2209675
Plan number: 001

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Par or Maturity Value	Current Value

See attached Schedule of Assets Held for Investment Purposes as provided by the trustee.

* Represents a party-in-interest

Matrix Trust Company
FASB ASC Topic 820 Report
As of May 31, 2021
Paul Hastings LLP Defined Contribution
Retirement Plan

Shares	Security Type2	Security Name	Statement Market Value
29.00	ADR	Adidas Ag-Spon ADR	$5,317.73
256.00	ADR	Adyen Nv-Unspon ADR	$11,852.80
313.00	ADR	Aia Group Ltd Spon ADR	$16,751.76
235.00	ADR	Air Liquide Adr France Adr	$7,971.20
201.00	ADR	Airbus Group NV	$6,572.70
134.00	ADR	Alfa Laval AB-Unspon Adr	$5,007.57
296.00	ADR	Alibaba Group Holding-Sp ADR	$63,332.16
330.00	ADR	Allianz Se Unsp ADR	$8,728.50
1,500.00	ADR	Amarin Corporation Plc -ADR	$6,810.00
1,083.00	ADR	Ambev SA ADR	$3,747.18
800.00	ADR	Anglogold Ltd Adr	$19,016.00
47.00	ADR	Anheuser Busch Inbev	$3,561.19
67.00	ADR	Asml Holding Nv	$45,256.49
157.00	ADR	Astrazeneca Plc Sponsored Adr	$8,912.89
174.00	ADR	Atlas Copco Ab-Spons Adr A	$10,701.00
12.00	ADR	Baidu Inc	$2,355.24
976.00	ADR	Banco Bilbao Vizcaya ADR	$6,080.48
79.00	ADR	BHP Limited	$5,854.69
200.00	ADR	Bp Plc Spons Adr	$5,246.00
130.00	ADR	Chr Hansen Holding -Spon Adr	$2,932.80
249.00	ADR	Chugai Pharmaceutic-Unsp ADR	$4,720.42
800.00	ADR	Compania De Minas Buen Adr Ser B	$9,216.00
594.00	ADR	Credit Suisse Group Spon Adr	$6,545.88
33.00	ADR	CSL Ltd Sponsored ADR	$3,693.03
174.00	ADR	Daqo New Energy Corp ADR	$12,942.12
36.00	ADR	Dassault Sys S.A.-ADR	$8,289.72
147.00	ADR	Dbs Group Hldgs Ltd. Spon Adr	$13,619.55
124.00	ADR	Diageo Plc Sposored Adr New	$23,963.00
574.00	ADR	Ericsson L M Telephone Co ADR B	$7,708.82
43.00	ADR	Essilor Intl - ADR	$3,763.36
138.00	ADR	Fanuc Ltd Unsp ADR	$3,314.07
72.00	ADR	Fomento Econom Spon Adr Mex Units	$5,904.00
8,118.00	ADR	Foresight Autonomous-Sp Adr	$34,826.22
159.00	ADR	Fuchs Petrolub Se-Pref ADR	$2,033.61
110.00	ADR	Genmab A/S -Sp ADR	$4,468.20
2,200.00	ADR	Glaxosmithkline Plc Ads	$85,294.00
288.00	ADR	Gold Fields Ltd New Spd Adr	$3,487.68
263.00	ADR	Grifols Sa ADR	$4,599.87
160.00	ADR	HDFC Bank Ltd Adr	$12,244.80
282.00	ADR	Icici Bank Ltd - Spon Adr	$5,078.82

Shares	Security Type2	Security Name	Statement Market Value
280.00	ADR	Infineon Technologies	$11,337.20
222.00	ADR	Infosys Tech Ltd Spd Adr	$4,293.48
200.00	ADR	InterContinental Hotels Group PLC	$13,972.00
1,440.00	ADR	ITAU Unibanco Banco Multi ADR	$8,208.00
180.00	ADR	JD.com Inc-ADR	$13,309.20
30.00	ADR	Kerry Group PLC-Spons ADR	$4,073.55
228.00	ADR	Kion Group Ag-Unsp ADR	$6,057.50
170.00	ADR	Komatsu Ltd Sponsored Adr New	$5,128.05
49.00	ADR	Kubota Corp ADR	$5,669.79
112.00	ADR	L Oreal Co Adr	$10,147.20
55.00	ADR	Li Auto Inc ADR	$1,281.50
170.00	ADR	London Stock Exchg-Unsp ADR	$4,622.30
88.00	ADR	Lonza Group Ag Unspon Adr	$5,657.52
35.00	ADR	Lvmh Moet Hennessy Adr	$5,625.55
37.00	ADR	Macquarie Group Ltd ADR	$4,349.24
449.00	ADR	Michelin (Cgde) Unspon Adr	$13,725.93
1,564.00	ADR	Mitsubishi UFJ Financial ADR	$9,055.56
179.00	ADR	Murata Manufactur Unspon ADR	$3,375.94
35.00	ADR	Nestle SA Sponsored ADR Registered (NSRGY)	$4,328.45
154.00	ADR	Nidec Corp Sponsored ADR	$4,441.36
15,250.00	ADR	Nio Inc - ADR	$588,955.00
148.00	ADR	Nitori Hldgs Co Ltd-Unsp ADR	$2,588.52
800.00	ADR	Niu Technologies Spons ADR	$26,656.00
12,600.00	ADR	Nokia Corp ADR	$65,016.00
154.00	ADR	Novartis Ag Sponsored Adr	$13,610.52
149.00	ADR	Novo-Nordisk As	$11,756.10
50.00	ADR	Novozymes A/S ADR	$3,661.50
618.00	ADR	Ping An Insurance ADR	$13,558.92
20.00	ADR	Posco	$1,602.20
200.00	ADR	Prudential Corp Adr	$8,542.00
298.00	ADR	Reckitt Benckiser-Spon ADR	$5,469.49
321.00	ADR	Roche Hldg Ltd -Spons Adr	$14,046.96
41.00	ADR	Ryanair Holdings Plc-Sp ADR	$4,786.75
85.00	ADR	Sap Aktiengesellschaft Adr	$11,894.05
246.00	ADR	Schneider Elect Sa ADR	$7,808.04
267.00	ADR	Seven & I Holdings Unspn ADR	$5,874.00
110.00	ADR	SGS Soc Gen Surveillance ADR	$3,418.80
252.00	ADR	Shionogi & Co Ltd-Unspon ADR	$3,220.56
59.00	ADR	Shiseido Ltd Sp Adr	$4,287.24
2,888.00	ADR	Sibanye Gold Spon ADR	$53,168.08
290.00	ADR	Siemens AG ADR	$23,797.40
75.00	ADR	Sonova Holding-Unspon ADR	$5,298.77
222.00	ADR	Sony Corp ADR New	$22,113.42
260.00	ADR	Symrise AG ADR	$8,637.20
82.00	ADR	Sysmex Corp-Unspon Adr	$4,264.82
154.00	ADR	Taiwan Semiconductor-Sp ADR	$18,073.44

Shares	Security Type2	Security Name	Statement Market Value
80.00	ADR	Tal Education Group ADR	$3,197.60
209.00	ADR	Tencent Holdings Ltd ADR	$16,381.42
1,500.00	ADR	Teva Pharmaceutical Industries LTD ADR	$15,600.00
386.00	ADR	Toray Industries ADR	$5,106.78
337.00	ADR	Toyota Motor Corp. Sp Adr Rep2com	$55,935.26
957.00	ADR	Treasury Wine Estates ADR	$8,651.28
729.00	ADR	Unicharm Corp-Spn ADR	$5,788.26
485.00	ADR	Unilever Plc Spon Adr New	$29,085.45
843.00	ADR	Vodafone Group PLC ADR	$15,570.21
71,296.33	Cash Equivalent	Advantage Bank Deposit	$71,296.33
	Cash Equivalent	Ameritrade Cash Account	($248,029.83)
	Cash Equivalent	Cash	$806,685.32
	Cash Equivalent	City National Rochdale Government Money Market Fund Svc (cnix.x)	$1,064,215.75
	Cash Equivalent	CNB Cash	$224,215.41
114,827.23	Cash Equivalent	CNB Deposit Sweep	$114,827.23
	Cash Equivalent	Dain Rauscher Cash	($4,049.33)
	Cash Equivalent	Fahnestock Cash	$4,412.05
	Cash Equivalent	Funds-in-transfer Account	($637,594.14)
	Cash Equivalent	Merrill Lynch Cash Account	$0.18
1,402,810.42	Cash Equivalent	Morgan Stanley Bank Deposit Program	$1,402,810.42
	Cash Equivalent	Pershing Cash Account	$6,477.97
	Cash Equivalent	Pershing Money Market Account	$99,415.02
100,594.85	Cash Equivalent	RBC Bank Deposit Program	$100,594.85
	Cash Equivalent	Schwab Cash Account	$1,525.31
	Cash Equivalent	Schwab Money Market Fund	$945,407.59
	Cash Equivalent	TD Asset Management Money Market Portfolio	$5,476,961.76
	Cash Equivalent	Wells Fargo Bank Cash Account	$92,612.33
123.00	Common Stock	1 800 Flowers Class A	$3,747.81
163.00	Common Stock	2U Inc	$5,936.46
714.00	Common Stock	3-D Systems Corp	$20,998.74
148.00	Common Stock	3m Co.	$30,049.92
500.00	Common Stock	4Licensing Corp (Delisted 2/7/2017)	$0.00
548.99	Common Stock	Abbott Laboratories	$64,039.68
6,044.56	Common Stock	Abbvie Inc	$684,244.42
7.00	Common Stock	Abiomed Inc	$1,992.06
502.56	Common Stock	Accenture PLC	$141,801.48
167.00	Common Stock	Acorda Therapeutics Inc	$621.24
244.00	Common Stock	Adobe Inc	$123,117.52
205.00	Common Stock	Advanced Micro Devices	$16,416.40
125.00	Common Stock	Affirm Holdings Inc	$7,601.25
925.00	Common Stock	Agnico-Eagle Mines Ltd F	$66,368.75
200.00	Common Stock	Airbnb Inc Class A	$28,080.00
71.00	Common Stock	Akamai Technologies	$8,108.91
217.00	Common Stock	Alcon Inc	$15,120.56
172.00	Common Stock	Align Technology Inc.	$101,505.80
20.00	Common Stock	Allegiant Travel Co	$4,429.20

Shares	Security Type2	Security Name	Statement Market Value
94.00	Common Stock	Allegion PLC	$13,205.12
190.00	Common Stock	Alliance Data Sys Corp	$22,999.50
72.00	Common Stock	Allstate Corp	$9,835.92
445.00	Common Stock	Ally Financial Inc	$24,345.95
254.00	Common Stock	Alphabet Inc CL A	$598,639.90
496.00	Common Stock	Alphabet Inc Cl C	$1,196,133.76
60.00	Common Stock	Alteryx Inc - Class A	$4,666.20
133.00	Common Stock	Altra Industrial Motion Corp	$8,736.77
1,176.00	Common Stock	Altria Group Inc	$57,882.72
290.00	Common Stock	Amazon Com Inc	$934,690.30
35.00	Common Stock	Amdocs Ltd	$2,733.50
49.00	Common Stock	Amedisys Inc	$12,660.13
29.00	Common Stock	American Financial Group	$3,858.74
128.00	Common Stock	American Water Works Co Inc	$19,842.56
57.00	Common Stock	Ameriprise Financial Inc	$14,810.88
61.00	Common Stock	Amgen Inc	$14,514.34
257.00	Common Stock	Analog Devices Inc	$42,302.20
84.00	Common Stock	Ansys Inc.	$28,386.96
160.00	Common Stock	Anthem Inc	$63,715.20
96.00	Common Stock	Aon PLC	$24,323.52
42,358.07	Common Stock	Apple Inc	$5,278,239.23
36.00	Common Stock	Applied Industrial Tech Inc	$3,526.56
123.00	Common Stock	Applied Material	$16,989.99
25.00	Common Stock	Aptargroup Inc	$3,682.75
35.00	Common Stock	Archer Daniels Midland	$2,328.55
10.00	Common Stock	Arista Networks Inc	$3,393.80
32.00	Common Stock	Aspen Technology Inc	$4,367.04
6,476.79	Common Stock	AT&T Inc	$190,611.90
42.00	Common Stock	Atlassian Corp Plc Cl A	$9,797.76
174.00	Common Stock	Autodesk Inc	$49,739.64
200.00	Common Stock	AutoWeb Inc	$572.00
5.00	Common Stock	Autozone Inc.	$7,033.00
71.00	Common Stock	Avalara Inc	$9,384.07
22.00	Common Stock	Avery Dennison Corp	$4,851.66
49.00	Common Stock	Axon Enterprise Inc	$6,888.91
5.00	Common Stock	Axonics Inc	$288.45
56.00	Common Stock	Balchem Corp	$7,336.00
13,224.84	Common Stock	Bank Of America Corp	$560,600.97
208.00	Common Stock	Bank OZK	$8,883.68
61.00	Common Stock	Barnes Group Inc	$3,258.62
1,425.00	Common Stock	Barrick Gold Corp	$34,314.00
2,100.00	Common Stock	Bausch Health Cos Inc	$67,536.00
349.00	Common Stock	Bce Inc Com	$17,352.28
25.00	Common Stock	Becton Dickinson & Co	$6,047.25
2,320.00	Common Stock	Berkshire Hathaway Inc Cl B	$671,500.80
102.00	Common Stock	Berry Global Group Inc	$6,957.42
25.00	Common Stock	Bio Rad Labs Inc Cl A	$15,059.25

Shares	Security Type2	Security Name	Statement Market Value
28.00	Common Stock	Biogen Inc	$7,489.44
1,000.00	Common Stock	Biomarin Pharmaceutical	$77,300.00
1,200.00	Common Stock	Blackberry Ltd	$12,084.00
102.00	Common Stock	Blackline Inc	$10,604.94
7.00	Common Stock	BlackRock 2001 Term Tr Inc	$6,139.28
1,317.00	Common Stock	Blackstone Inc	$122,046.39
4,000.00	Common Stock	Blink Charging Co	$136,000.00
3,873.00	Common Stock	Block Inc A	$861,819.96
35.00	Common Stock	Blucora Inc	$606.90
4,296.00	Common Stock	Boeing Company	$1,061,197.92
763.00	Common Stock	Booz Allen Hamilton Holding	$64,801.59
200.00	Common Stock	Bottomline Tech Del	$7,476.00
50.00	Common Stock	Bright Horizons Family Solut	$6,911.50
200.00	Common Stock	Bristol-Myers Squibb Co	$13,144.00
26.00	Common Stock	Broadcom Ltd	$12,280.58
20.00	Common Stock	Broadridge Financial Solutions	$3,189.60
319.12	Common Stock	Brookfield Renewable Corp CL A Sub Vtg	$13,632.98
53.00	Common Stock	Brown & Brown Inc	$2,783.56
149.00	Common Stock	C H Robinson Worldwide Inc	$14,455.98
116.00	Common Stock	Cadence Design Systems Inc	$14,730.84
24.00	Common Stock	Callaway Golf Co.	$886.08
618.00	Common Stock	Cameco Corp	$12,341.46
54.00	Common Stock	Camping World Holdings Inc-A	$2,397.06
41.00	Common Stock	Canadian Natl Ry Co	$4,615.37
71.00	Common Stock	Canadian Pacific Railway	$5,769.46
25.00	Common Stock	Cardinal Health Inc.	$1,401.75
32.00	Common Stock	Carter's Inc	$3,271.68
5,000.00	Common Stock	Casper Sleep Inc (acquired 01/25/22)	$45,650.00
9.00	Common Stock	Cavco Inds Inc	$1,991.43
35.00	Common Stock	Cboe Global Markets Inc	$3,895.50
41.00	Common Stock	CDK Global Inc	$2,145.94
679.00	Common Stock	Centene Corp	$49,974.40
85.00	Common Stock	Charles Riv Labs Intl	$28,729.15
3.00	Common Stock	Charter Communications New Cl A	$2,083.59
55.00	Common Stock	Check Point Software Techs Ltd	$6,433.90
81.00	Common Stock	Chegg Inc	$6,229.71
95.00	Common Stock	Chemed Corp	$46,677.30
300.00	Common Stock	Cheniere Energy Inc	$25,470.00
1,309.00	Common Stock	Chevron Corp	$135,861.11
15.00	Common Stock	Chipotle Mexican Grill - Cl A	$20,579.70
25.00	Common Stock	Choice Hotels Intl Inc	$3,022.75
181.00	Common Stock	Chubb Ltd	$30,768.19
1,000.00	Common Stock	Churchill Capital Corp IV A (lcid 07/26/21)	$19,720.00
115.00	Common Stock	Cigna Corp	$29,767.75
24.00	Common Stock	Cimpress Nv	$2,383.44
120.00	Common Stock	Cincinnatti Financial Corp	$14,605.20
103.00	Common Stock	Cintas Corp	$36,414.62

Shares	Security Type2	Security Name	Statement Market Value
361.00	Common Stock	Cirrus Logic Inc	$28,183.27
13,427.00	Common Stock	Cisco Systems Inc	$710,288.30
619.00	Common Stock	Citigroup Inc	$48,721.49
34.00	Common Stock	Citrix Sys Inc	$3,908.64
328.24	Common Stock	Clorox Co.	$58,010.56
147.00	Common Stock	CME Group Inc	$32,157.72
220.00	Common Stock	Cms Energy Corp	$13,802.80
650.00	Common Stock	Coca-Cola Company	$35,938.50
96.00	Common Stock	Cognizant Tech Solutns Cl. A	$6,869.76
102.00	Common Stock	Cohen & Steers Inc	$7,459.26
120.00	Common Stock	Coinbase Global Inc -Class A	$28,384.80
227.00	Common Stock	Colgate-Palmolive	$19,018.06
26.00	Common Stock	Columbia Sportswear Company	$2,670.98
646.00	Common Stock	Comcast Corp Cl A	$37,041.64
153.00	Common Stock	Commerce Bancshares	$11,915.64
500.00	Common Stock	Conagra Brands Inc	$19,050.00
176.00	Common Stock	Conocophillips	$9,810.24
260.16	Common Stock	Constellation Brands Cl. A	$62,365.79
13.00	Common Stock	Cooper Cos Inc.	$5,114.85
14.00	Common Stock	Corteva Inc	$637.00
22.00	Common Stock	Costar Group Inc	$18,788.00
164.00	Common Stock	Costco Wholesale Corp-New	$62,036.28
293.00	Common Stock	Crispr Therapeutics AG	$34,626.74
300.00	Common Stock	Cronos Group Inc	$2,703.00
60.00	Common Stock	Crowdstrike Holdings Inc - A	$13,329.00
624.00	Common Stock	CSPC Pharmaceutical-Unspo ADR	$3,768.96
90.00	Common Stock	Cullen Frost Bankers	$10,863.90
157.00	Common Stock	Cummins Inc	$40,392.96
3,656.59	Common Stock	CVS Health Corp	$316,075.90
85.00	Common Stock	Cyber-Ark Software Ltd/Israe	$10,755.90
487.00	Common Stock	D R Horton Inc.	$46,406.23
308.00	Common Stock	Danaher Corp Del	$78,891.12
10.05	Common Stock	Deere & Co.	$3,627.97
3,500.00	Common Stock	Digital Turbine Inc	$231,595.00
672.00	Common Stock	Disney Walt Co	$120,052.80
20.00	Common Stock	Dolby Laboratories Inc A	$1,950.80
15.00	Common Stock	Dominion Energy Inc	$1,142.10
58.00	Common Stock	Donaldson Inc	$3,572.22
100.00	Common Stock	Doordash Inc A	$15,028.00
29.00	Common Stock	Dorman Products Inc	$2,969.02
698.74	Common Stock	Dow Inc	$47,807.52
101.00	Common Stock	Draftkings Inc - Cl A	$5,044.95
12,500.00	Common Stock	Dropbox Inc Class A	$341,875.00
140.00	Common Stock	Duckhorn Portfolio Inc/The	$2,545.20
349.05	Common Stock	Duke Energy Corp	$34,981.69
14.00	Common Stock	DuPont de Nemours Inc	$1,184.29
356.00	Common Stock	Dynatrace Inc	$18,419.44

Shares	Security Type2	Security Name	Statement Market Value
67.00	Common Stock	Eagle Bancorp Inc	$3,828.38
218.00	Common Stock	East West Bancorp Inc	$16,302.04
1,000.00	Common Stock	Eastman Kodak Co	$7,120.00
106.00	Common Stock	Ebay Inc	$6,453.28
106.00	Common Stock	Ecolab Inc	$22,798.48
1,899.00	Common Stock	Edison Intl	$106,097.13
140.00	Common Stock	Editas Medicine Inc	$4,753.00
426.00	Common Stock	Edwards Lifesciences	$40,853.40
194.00	Common Stock	Ehang Holdings Ltd-Sps ADR	$5,239.94
385.00	Common Stock	Elanco Animal Health Inc	$13,852.30
218.00	Common Stock	Eli Lilly & Company	$43,543.32
289.00	Common Stock	Empower Ltd Class A (hlly 07/19/21)	$2,907.34
89.00	Common Stock	Enbridge Inc	$3,424.72
157.00	Common Stock	Ensign Group Inc	$13,062.40
62.00	Common Stock	Etsy Inc	$10,213.26
33.00	Common Stock	Euronet Worldwide Inc	$4,938.12
125.00	Common Stock	Expedia Group Inc	$22,118.75
36.00	Common Stock	Expeditors Intl Wash	$4,524.84
15,379.46	Common Stock	Exxon-Mobil Corporation	$897,698.91
19.00	Common Stock	F5 Inc	$3,523.17
76.00	Common Stock	Fabrinet Inc	$6,816.44
11.00	Common Stock	Factset Resh System Inc.	$3,677.96
247.00	Common Stock	Fastenal Co	$13,100.88
183.00	Common Stock	Fastly Inc Class A	$8,635.77
18.00	Common Stock	Ferrari NV	$3,796.38
49.00	Common Stock	Fidelity National Information	$7,300.02
2,888.00	Common Stock	First Majestic Silver Corp	$51,348.64
99.00	Common Stock	Five Below	$18,227.88
40.00	Common Stock	Five9 Inc	$7,084.00
53.00	Common Stock	Floor & Decor Holdings Inc-A	$5,210.43
167.00	Common Stock	Flowers Foods Inc	$4,023.03
1,196.00	Common Stock	Ford Motor Co New	$17,377.88
205.00	Common Stock	Fortive Corp	$14,866.60
3,888.00	Common Stock	Fortuna Silver Mines Inc	$27,021.60
80.00	Common Stock	Franco Nevada Corp	$11,968.80
250.00	Common Stock	Freeport-Mcmoran Inc	$10,680.00
73.00	Common Stock	Gartner Inc	$16,924.32
18.00	Common Stock	Generac Holdings Inc	$5,916.96
101.00	Common Stock	General Dynamics Corp	$19,180.91
250.18	Common Stock	General Electric Co (ge 08/02/21)	$3,517.54
390.00	Common Stock	General Motors Co	$23,130.90
1,622.00	Common Stock	Gentex Corp	$57,581.00
111.00	Common Stock	Genuine Parts Co.	$14,554.32
95.00	Common Stock	Globant SA	$20,697.65
200.00	Common Stock	GoGo Inc	$2,724.00
6,600.00	Common Stock	Gold Standard Ventures Corp	$4,026.00
349.61	Common Stock	Goldman Sachs Group Inc	$130,063.03

Shares	Security Type2	Security Name	Statement Market Value
1,000.00	Common Stock	Goodrx Holdings Inc-Class A	$37,110.00
163.00	Common Stock	Grand Canyon Education Inc	$14,823.22
207.00	Common Stock	Granite Construction Inc	$8,354.52
1,000.00	Common Stock	Great Panther Silver Ltd	$722.40
28.00	Common Stock	Guardant Health Inc	$3,475.36
76.00	Common Stock	Guidewire Software Inc	$7,428.24
90.00	Common Stock	Hamilton Lane Inc-Class A	$8,133.30
271.00	Common Stock	Hanesbrands Inc	$5,295.34
140.00	Common Stock	HCA Healthcare Inc	$30,070.60
259.00	Common Stock	Healthcare Svcs Group Inc	$7,767.41
93.00	Common Stock	Healthequity Inc	$7,730.16
103.00	Common Stock	Heico Corp New	$14,467.38
40.00	Common Stock	Helios And Matheson Analytic Inc	$0.12
69.00	Common Stock	Helios Technologies Inc	$4,902.45
243.00	Common Stock	Henry Jack & Assoc Inc	$37,458.45
43.00	Common Stock	Henry Schein Inc	$3,269.72
44.00	Common Stock	Hill Rom Holdings Inc (acq/del 12/13/21)	$4,896.32
182.00	Common Stock	Hilton Worldwide Holdings Inc	$22,799.18
365.00	Common Stock	Home Depot Incorporated	$116,402.15
161.73	Common Stock	Honeywell International	$37,345.54
24.00	Common Stock	Hubbell Inc B	$4,575.36
114.00	Common Stock	Huntington Ingalls Industries	$24,647.94
1,000.00	Common Stock	Huron Consulting Group Inc	$54,710.00
50.00	Common Stock	IAC/InteractiveCorp	$7,973.50
35.00	Common Stock	Icon Plc	$7,831.60
1,000.00	Common Stock	Ideanomics Inc	$2,880.00
62.00	Common Stock	Idexx Laboratories Inc	$34,602.82
1,300.00	Common Stock	IDT Corp	$37,518.00
112.00	Common Stock	IHS Markit LTD	$11,794.72
450.00	Common Stock	II VI Inc	$30,316.50
58.00	Common Stock	Illumina Inc	$23,527.12
41.00	Common Stock	Installed Building Products	$4,862.60
9,049.00	Common Stock	Intel Corporation	$516,878.59
56.00	Common Stock	International Paper	$3,533.60
161.00	Common Stock	Interpublic Group Co	$5,424.09
48.00	Common Stock	Int'l Flavors & Fragrances	$6,800.16
54.00	Common Stock	Intuit	$23,710.86
32.00	Common Stock	Intuitive Surgical	$26,949.76
212.00	Common Stock	Invitae Corp	$6,101.36
15.00	Common Stock	IPG Photonics Corp	$3,138.90
5,657.14	Common Stock	Johnson & Johnson	$957,470.44
581.00	Common Stock	Johnson Controls International PLC	$38,659.74
23.00	Common Stock	Jones Lang Lasalle Inc	$4,651.75
4,161.02	Common Stock	JPMorgan Chase & Co	$683,406.25
130.00	Common Stock	Juniper Industrial Hldgs - A (jbi 06/08/21)	$1,719.90
37.00	Common Stock	Kadant Inc	$6,216.74
10.00	Common Stock	Kansas City Southern (cp 12/14/21)	$2,976.80

Shares	Security Type2	Security Name	Statement Market Value
298.12	Common Stock	Kimberly Clark	$38,942.89
900.00	Common Stock	Kinder Morgan Inc	$16,506.00
1,000.00	Common Stock	Kinross Gold Corp	$8,100.00
200.00	Common Stock	Kirkland Lake Gold Ltd (aem 02/09/22)	$8,666.00
150.00	Common Stock	Kroger Co	$5,547.00
80.00	Common Stock	L3Harris Technologies Inc	$17,444.80
30.00	Common Stock	Lamb Weston Holdings Inc	$2,474.70
11.00	Common Stock	Lancaster Colony Corp.	$2,053.37
174.00	Common Stock	Lennar Corp	$17,227.74
3.00	Common Stock	Lennar Corp - B	$234.93
26.00	Common Stock	Lincoln Electric Cl A	$3,343.08
11.00	Common Stock	Linde PLC	$3,306.60
39.00	Common Stock	Live Nation Entertainment Inc	$3,514.29
84.00	Common Stock	Lockheed Martin Corp	$32,104.80
112.00	Common Stock	Lowes Co Inc	$21,820.96
50.00	Common Stock	Lyft Inc A	$2,854.50
35.00	Common Stock	M&T Bank Corp	$5,624.15
30.00	Common Stock	Main Street Capital Corp	$1,233.60
32.00	Common Stock	Manhattan Assoc. Inc.	$4,351.36
607.00	Common Stock	Manulife Financial Corporation	$12,686.30
54.00	Common Stock	Markel Corp.	$66,176.46
100.00	Common Stock	Marriott International Inc	$14,358.00
42.00	Common Stock	Martin Marietta Materials	$15,273.30
330.00	Common Stock	Marvell Technology Group Ltd	$15,939.00
34.00	Common Stock	Masco Corp	$2,050.54
2,291.00	Common Stock	Mastercard Inc A	$826,088.78
51.00	Common Stock	Match Group Inc	$7,312.38
33.00	Common Stock	McClatchy Co CL A	$0.00
28.00	Common Stock	McCormick & Co Inc	$2,493.68
182.00	Common Stock	Mcdonalds Corp	$42,567.98
113.00	Common Stock	Medallia Inc (acquired 10/29/21)	$2,900.71
80,000.00	Common Stock	Medinah Minerals Inc	$200.00
80.00	Common Stock	Medpace Holdings Inc	$13,364.80
600.00	Common Stock	Medtronic PLC	$75,954.00
11.00	Common Stock	Mercadolibre Inc	$14,945.37
546.31	Common Stock	Merck & Company Inc	$41,459.69
973.00	Common Stock	Meta Platforms Inc	$319,854.29
52.00	Common Stock	Metlife Inc	$3,398.72
103.00	Common Stock	Microchip Technology	$16,165.85
1,000.00	Common Stock	Micron Tech. Inc.	$84,140.00
2,604.72	Common Stock	Microsoft Corporation	$650,345.99
338.00	Common Stock	Microstrategy Inc Class A	$158,860.00
16.00	Common Stock	Middleby Corp	$2,628.48
42.00	Common Stock	Moderna Inc	$7,770.42
131.00	Common Stock	Mondelez International Inc	$8,322.43
37.00	Common Stock	Mongodb Inc	$10,801.78
59.00	Common Stock	Monolithic Power Sys Inc	$20,244.08

Shares	Security Type2	Security Name	Statement Market Value
73.00	Common Stock	Monro Inc	$4,550.82
312.82	Common Stock	Morgan Stanley	$28,451.16
34.00	Common Stock	Morningstar Inc	$8,023.66
50.00	Common Stock	MSCI Inc	$23,406.50
6.00	Common Stock	Murphy Oil Corp	$130.14
1.00	Common Stock	Murphy USA Inc	$134.81
142.00	Common Stock	National Instruments Corp	$5,793.60
114.00	Common Stock	National Storage Affiliates	$5,255.40
54.00	Common Stock	National Vision Holdings Inc	$2,682.18
115.00	Common Stock	Neogen Corp	$10,615.65
42.00	Common Stock	Netapp Inc	$3,249.54
65.00	Common Stock	Netflix Inc	$32,682.65
700.00	Common Stock	New York Community Bancorp	$8,379.00
600.00	Common Stock	New York Times Co	$25,692.00
532.00	Common Stock	Newmont Corp	$39,091.36
1,834.76	Common Stock	Nextera Energy Inc	$134,340.98
1,000.00	Common Stock	Nextgen Acquisition Corp-Cla (xos 8/20/21)	$9,890.00
614.00	Common Stock	Nike Inc.	$83,786.44
15.00	Common Stock	Nordson Corp	$3,325.35
79.00	Common Stock	Northrop Grumman Corp	$28,903.73
200.00	Common Stock	Norwegian Cruise Line Holdin	$6,380.00
46.00	Common Stock	Novanta Inc	$6,392.62
64.00	Common Stock	Novavax Inc	$9,447.68
25.00	Common Stock	Novocure Ltd	$5,100.00
360.02	Common Stock	Nvidia Corp	$233,933.15
8.00	Common Stock	Nvr Inc	$39,097.84
207.00	Common Stock	NXP Semiconductors Nv	$43,763.94
480.00	Common Stock	Oatly Group Ab	$11,380.80
1,503.60	Common Stock	Occidental Petroleum Corp	$39,033.56
825.00	Common Stock	O-I Glass Inc	$15,204.75
60.00	Common Stock	Okta Inc	$13,346.40
68.00	Common Stock	Old Dominion Freight Line	$18,050.60
64.00	Common Stock	Ollie'S Bargain Outlet Holdi	$5,532.16
62.00	Common Stock	Omnicom Group	$5,098.88
177.00	Common Stock	Open Lending Corp CL A	$6,828.66
1,000.00	Common Stock	Opko Health Inc	$3,820.00
706.25	Common Stock	Oracle Corp	$55,609.73
580.00	Common Stock	Osisko Gold Royalties Ltd	$8,294.00
100.00	Common Stock	Osmotica Pharmaceuticals PLC (rvlp 01/19/22)	$291.00
21.00	Common Stock	Packaging Corp Amer	$3,121.65
110.00	Common Stock	Pagseguro Digital Ltd-Cl A	$5,402.10
5,921.00	Common Stock	Palantir Technologies Inc A	$135,886.95
11.00	Common Stock	Palo Alto Networks Inc	$3,995.75
1,481.00	Common Stock	Pan American Silver Cap	$49,820.84
52.00	Common Stock	Parker Hannifin Corp	$16,023.80
37.00	Common Stock	Paycom Software Inc	$12,195.20

Shares	Security Type2	Security Name	Statement Market Value
13.00	Common Stock	Paylocity Holding Corp	$2,207.79
1,111.00	Common Stock	Paypal Holdings Inc	$288,882.22
223.00	Common Stock	Peloton Interactive Inc-A	$24,599.13
53.00	Common Stock	Pennant Group Inc	$1,816.31
617.26	Common Stock	Pepsico Inc	$91,317.89
39.00	Common Stock	PerkinElmer Inc	$5,657.73
880.00	Common Stock	Pfizer Incorporated	$34,082.40
295.00	Common Stock	Philip Morris International	$28,446.85
1,024.00	Common Stock	Phillips 66	$86,241.28
15.00	Common Stock	PJT Partners Inc A	$1,092.30
113.00	Common Stock	Pnc Financial Svc Group	$21,998.84
24.00	Common Stock	PPG Industries Inc	$4,313.28
800.00	Common Stock	Pretium Resources Inc	$9,120.00
1,990.35	Common Stock	Procter & Gamble Co	$268,398.70
78.00	Common Stock	Progress Software Corp	$3,476.46
2,250.00	Common Stock	Prospect Capital Corp	$19,102.50
35.00	Common Stock	Proto Labs Inc	$3,128.65
550.00	Common Stock	Prudential Finl Inc	$58,833.50
40.00	Common Stock	PTC Inc	$5,365.60
29.00	Common Stock	Q2 Holdings Inc	$2,752.97
2,191.00	Common Stock	Qualcomm	$294,777.14
600.00	Common Stock	QuantumScape Corp	$15,534.00
305.00	Common Stock	Raytheon Technologies Corp	$27,056.55
30.00	Common Stock	RBC Bearings Inc	$5,873.70
22.00	Common Stock	Regeneron Pharma Inc	$11,053.46
1,333.00	Common Stock	Rhythm Pharmaceuticals Inc	$26,140.13
71.00	Common Stock	Riot Blockchain Inc	$1,926.23
207.00	Common Stock	Ritchie Bros Auctioneers	$12,359.97
682.00	Common Stock	Roblox Corp -Class A	$63,951.14
479.15	Common Stock	Rocket Cos Inc-Class A	$8,485.80
44.00	Common Stock	Rockwell International	$11,603.68
34.00	Common Stock	Roku Inc	$11,788.14
304.00	Common Stock	Rollins Inc	$10,363.36
55.00	Common Stock	Roper Technologies Inc	$24,750.55
83.00	Common Stock	Royal Gold Inc	$10,272.91
198.00	Common Stock	Rpm International Inc	$18,518.94
43.00	Common Stock	S&P Global Inc	$16,317.21
760.00	Common Stock	Salesforce.Com Inc	$180,956.00
368.00	Common Stock	Sandstorm Gold Ltd	$3,205.28
48.00	Common Stock	Schlumberger Ltd	$1,503.84
110.00	Common Stock	Scotts Miracle-Gro Co Cl A	$23,910.70
19.00	Common Stock	Scp Pool Corp	$8,294.45
81.00	Common Stock	Sei Investments Co	$5,138.64
104.00	Common Stock	Servicenow Inc	$49,283.52
63.00	Common Stock	Sherwin Williams	$17,862.39
15.00	Common Stock	Shopify Inc - A	$18,643.05
39.03	Common Stock	Signature Bank	$9,747.74

Shares	Security Type2	Security Name	Statement Market Value
20.00	Common Stock	Silvergate Capital Corp-Cl A	$2,228.00
8.00	Common Stock	Siteone Landscape Supply Inc	$1,376.32
100.00	Common Stock	Skyworks Solutions Inc	$17,000.00
51.00	Common Stock	Smith A O	$3,624.57
36.00	Common Stock	Smucker J. M. Co. New	$4,798.44
1,000.00	Common Stock	Snap Inc - A	$62,120.00
155.00	Common Stock	Snap On Inc	$39,466.10
250.00	Common Stock	Southern Company	$15,980.00
750.00	Common Stock	Southwest Airlines Company	$46,095.00
300.00	Common Stock	Splunk Inc	$36,360.00
40.00	Common Stock	Spotify Technology Sa	$9,662.80
400.00	Common Stock	SSR Mining Inc	$7,420.00
691.00	Common Stock	Starbucks Corp.	$78,691.08
23.00	Common Stock	Steris PLC	$4,389.78
300.00	Common Stock	Stride Inc	$8,064.00
11.00	Common Stock	Suncor Energy Inc	$254.10
1,247.00	Common Stock	Synchrony Financial	$59,120.27
46.00	Common Stock	Sysco Corp	$3,726.00
22.00	Common Stock	Take Two Interactive	$4,082.32
198.00	Common Stock	Target Corp	$44,930.16
173.00	Common Stock	Teladoc Health Inc	$26,050.34
115.00	Common Stock	Terminix Global Holding Inc	$5,674.10
994.00	Common Stock	Tesla Inc	$621,468.68
877.00	Common Stock	Texas Instruments Inc	$166,472.14
28.00	Common Stock	Texas Roadhouse Inc Cl A	$2,819.88
48.00	Common Stock	The Bank of New York Mellon Corp	$2,499.84
168.00	Common Stock	Thermo Fisher Scientific Inc	$78,876.00
500.00	Common Stock	Tilray Brands Inc	$8,335.00
240.00	Common Stock	Tjx Companies	$16,209.60
277.00	Common Stock	T-Mobile US Inc	$39,181.65
23.00	Common Stock	TopBuild Corp	$4,555.15
19.00	Common Stock	Toro Co	$2,110.71
24.00	Common Stock	Tractor Supply Co	$4,360.80
46.00	Common Stock	Trade Desk Inc/The Class A	$27,054.44
163.00	Common Stock	Trane Technologies PLC	$30,383.20
164.00	Common Stock	Transunion	$17,548.00
71.00	Common Stock	Travelers Cos Inc	$11,338.70
212.00	Common Stock	Trex Company Inc	$20,650.92
103.00	Common Stock	Trimas Corp	$3,339.26
100.00	Common Stock	Trinet Group Inc	$7,534.00
262.00	Common Stock	Truist Financial Corp	$16,186.36
23.00	Common Stock	Twilio Inc - A	$7,728.00
899.00	Common Stock	Twitter Inc	$52,142.00
35.00	Common Stock	Tyler Technologies Inc	$14,110.60
80.00	Common Stock	Tyson Foods Inc Cl A	$6,360.00
664.00	Common Stock	Uber Technologies Inc	$33,751.12
38.00	Common Stock	Umb Financial Corp	$3,674.98

Shares	Security Type2	Security Name	Statement Market Value
13.00	Common Stock	Unifirst	$2,881.84
28.00	Common Stock	Union Pacific Corp.	$6,292.44
706.35	Common Stock	United Parcel Service	$151,582.07
268.49	Common Stock	Unitedhealth Group Inc	$110,597.22
48.00	Common Stock	US Bancorp - Del	$2,917.44
239.00	Common Stock	Valvoline Inc	$7,887.00
94.00	Common Stock	Veeva Systems Inc-Class A	$27,385.96
308.00	Common Stock	Verisk Analytics Inc CL A	$53,231.64
16,439.79	Common Stock	Verizon Communications	$928,683.91
63.00	Common Stock	Viatris Inc	$960.12
238.00	Common Stock	Vici Properties Inc	$7,408.94
425.00	Common Stock	Viewray Inc	$2,499.00
1,400.00	Common Stock	Viking Therapeutics Inc	$7,336.00
80.00	Common Stock	Vimeo Inc	$3,360.00
6.00	Common Stock	Virgin Galactic Holdings Inc	$187.38
1,484.35	Common Stock	Visa Inc Class A Shares	$337,392.98
1.00	Common Stock	Wabtec	$83.09
305.00	Common Stock	Walgreens Boots Alliance Inc	$16,061.30
816.25	Common Stock	Walmart Inc	$115,931.42
197.00	Common Stock	Waste Management Inc	$27,713.96
15.00	Common Stock	Waters Corporation	$4,833.75
108.00	Common Stock	Webster Financial Corp	$6,121.44
42.00	Common Stock	WEC Energy Group Inc	$3,944.22
2,825.93	Common Stock	Wells Fargo & Co New	$132,027.54
170.00	Common Stock	Welltower Inc	$12,710.90
107.00	Common Stock	West Pharmaceutical Services	$37,183.57
27.00	Common Stock	WEX Inc	$5,289.57
800.00	Common Stock	Wheaton Precious Metals Corp	$38,416.00
1,761.55	Common Stock	Williams Co.	$46,399.31
27.00	Common Stock	Williams Sonoma Inc	$4,577.58
500.00	Common Stock	Wynn Resorts Ltd	$65,935.00
333.00	Common Stock	Xilinx Inc (amd 02/15/22)	$42,291.00
137.00	Common Stock	Xylem	$16,182.44
2,888.00	Common Stock	Yamana Gold Inc	$15,133.12
41.00	Common Stock	Yandex NV A	$2,769.14
572.00	Common Stock	Yeti Holdings Inc	$50,107.20
64.00	Common Stock	Zendesk Inc	$8,746.24
101.00	Common Stock	Ziprecruiter Inc-A	$2,122.01
359.00	Common Stock	Zoetis Inc	$63,428.12
65.00	Common Stock	Zoom Video Communications-A	$21,549.45
800.00	Common Stock	Zynga Inc	$8,672.00
1,084.00	ETP	Goldman Sachs Access Treasury 0-1 Year ETF	$108,540.92
1,000.31	ETP	Alerian MLP ETF	$34,350.55
559.00	ETP	Allianzim Us Large Cap Buffe	$15,825.23
400.00	ETP	Altaba Inc Escrow	$5,800.00
15,769.79	ETP	Amplify Blackswan Growth Tsy	$527,814.74

Shares	Security Type2	Security Name	Statement Market Value
126.00	ETP	Ark Autonomous Technology & Robotics ETF	$10,256.40
377.00	ETP	Ark Fintech Innovation ETF	$18,785.91
284.79	ETP	Ark Genomic Revolution ETF	$23,281.83
242.05	ETP	Ark Innovation ETF	$27,133.24
80.00	ETP	Ark Israel Innovative Techno	$2,493.60
136.00	ETP	Ark Next Generation Internet ETF	$18,714.96
235.00	ETP	Direxion Daily Semi Bull 3X	$9,113.30
40.00	ETP	Emerging Mrkts Internet & Ec	$2,448.40
880.16	ETP	ETFMG Prime Cyber Security ETF	$51,533.43
1,809.00	ETP	Fidelity Health Care ETF	$111,940.92
200.00	ETP	Fidelity Nasdaq Composite Index ETF	$10,700.00
98.82	ETP	First Trust Capital Strength ETF	$7,439.02
20.01	ETP	First Trust Cloud Computing ETF	$1,961.78
135.00	ETP	First Trust Consumer Discret	$8,175.60
53.00	ETP	First Trust NASDAQ Cybersecurity ETF	$2,357.97
661.33	ETP	First Trust TCW Opp Fixed In	$35,268.94
1,193.79	ETP	First Trust Value Line Dividend Index Fund	$48,157.61
178.70	ETP	First Trust Water Index ETF	$14,832.35
156.00	ETP	FT Cboe Vest S&P 500 Dividend Aristocrats Target Income ETF	$8,505.12
681.65	ETP	Ft-Preferred Secur & Inc Etf	$13,898.90
1,310.00	ETP	Global X US Infrastructure	$34,701.90
228.00	ETP	Global X Video Games& Esport	$7,389.48
108.00	ETP	Goldman Sachs ETF Tr Innovat Eq	$6,401.16
675.00	ETP	Health Care Select Sector Spdr	$83,430.00
2,000.00	ETP	Invesco Cef Income Composite	$48,400.00
1,987.00	ETP	Invesco Dynamic Oil & Gas Se	$7,490.99
551.91	ETP	Invesco Ftse Rafi Us 1000 Et	$89,321.60
623.35	ETP	Invesco Ftse Rafi Us 1500 Sm	$115,594.39
1,887.39	ETP	Invesco Qqq Trust Series 1	$630,257.14
2,755.27	ETP	Invesco S&P 500 Equal Weight	$416,377.01
6,444.00	ETP	Invesco Taxable Municipal Bo	$209,881.08
600.00	ETP	Invesco Variable Rate Invest	$15,054.00
360.00	ETP	iShares 0-5 Yr Inv Grd Corp	$18,705.60
243.00	ETP	iShares 20+ Year Treasury Bond	$33,640.92
1,167.00	ETP	iShares 3-7 Year Treasury Bond	$152,631.93
2,631.85	ETP	iShares 7-10 Year Treasury Bon	$301,084.10
1,290.00	ETP	iShares CMBS ETF	$70,163.10
198.66	ETP	iShares Core Growth Allocation ETF	$11,043.51
5,267.08	ETP	iShares Core MSCI EAFE ETF	$404,511.74
16,427.76	ETP	iShares Core Msci Emerging	$1,094,910.14
158.96	ETP	iShares Core Msci Europe	$9,380.23
5,474.63	ETP	iShares Core S&P 500 ETF	$2,308,376.05
655.82	ETP	iShares Core S&P Mid-Cap ETF	$178,724.88
4,922.37	ETP	iShares Core S&P Small-Cap ETF	$555,488.89
370.00	ETP	iShares Core S&P US Value ETF	$27,095.10
377.00	ETP	iShares Core Total USD Bond Market ETF	$20,026.24

Shares	Security Type2	Security Name	Statement Market Value
225.64	ETP	iShares Core US REIT ETF	$12,913.09
316.00	ETP	iShares Exponential Tech ETF	$19,509.84
331.00	ETP	iShares Fallen Angels ETF	$9,764.50
361.06	ETP	iShares Floating Rate Bond ETF	$18,341.94
152.00	ETP	iShares Global Clean Energy ETF	$3,483.84
86.00	ETP	iShares Global Infrastructure	$4,040.28
53.92	ETP	iShares Global Reit Etf	$1,485.36
40.00	ETP	iShares Global Tech ETF	$12,805.20
4,277.00	ETP	iShares Gold Trust	$155,084.02
94.00	ETP	iShares iBoxx Investment Grade	$12,380.74
594.00	ETP	Ishares Inc Ishares Esg Awar	$26,581.50
409.18	ETP	iShares International Develope	$12,156.71
216.00	ETP	iShares International Select D	$7,257.60
815.48	ETP	iShares MBS ETF	$88,373.61
2,087.00	ETP	iShares MSCI All Country Asia	$196,866.71
61.00	ETP	iShares MSCI China ETF	$4,992.24
7,086.34	ETP	iShares MSCI EAFE ETF	$572,788.46
304.00	ETP	iShares MSCI EAFE Growth ETF	$32,835.04
473.00	ETP	Ishares MSCI Eafe Min Vol Fa	$36,156.12
4,688.53	ETP	iShares MSCI EAFE Small-Cap ETF	$356,937.94
416.00	ETP	iShares MSCI EAFE Value ETF	$22,426.56
1,316.00	ETP	Ishares MSCI Emerging Market	$85,276.80
30.00	ETP	iShares MSCI Emerging Markets	$1,646.10
121.00	ETP	iShares MSCI India ETF	$5,357.88
1,447.00	ETP	Ishares MSCI USA Min Vol Fac	$105,023.26
67.00	ETP	Ishares MSCI USA Momentum Fa	$11,416.13
760.00	ETP	Ishares MSCI USA Quality Fac	$97,979.20
149.00	ETP	Ishares MSCI USA Value Facto	$15,980.25
252.00	ETP	iShares Msci World ETF	$31,651.20
3,684.89	ETP	iShares Russell 1000 ETF	$872,802.33
940.00	ETP	iShares Russell 1000 Growth ETF	$240,771.60
2,432.40	ETP	iShares Russell 2000 ETF	$548,505.07
2,144.00	ETP	iShares Russell Mid-Cap ETF	$167,939.52
4,300.00	ETP	iShares SILVER TRUST iShares	$111,370.00
241.00	ETP	iShares Tr Cybersecurity	$9,799.06
609.00	ETP	Ishares Trust Ishares Esg Aw	$58,573.62
932.00	ETP	iShares U.S. Energy ETF	$26,049.40
29.00	ETP	iShares U.S. Medical Devices E	$10,036.90
758.00	ETP	iShares US Treasury Bond ETF	$20,003.62
12,000.00	ETP	Mfam Small-Cap Growth ETF (tmfs 12/31/21)	$450,240.00
10,000.00	ETP	Motley Fool 100 Index Etf	$375,700.00
11,779.00	ETP	PIMCO 15+ Year US Tips Index ETF	$987,315.78
55.00	ETP	Proshares S&P 500 Dividends Aristocrats ETF	$5,073.20
200.00	ETP	Proshares Ultra Silver	$10,666.00
80.00	ETP	Proshares Ultrapro QQQ	$8,207.20
2,715.00	ETP	Schwab Emerging Markets Equi	$89,106.30

Shares	Security Type2	Security Name	Statement Market Value
900.00	ETP	Schwab Intermediate-Term Us	$51,156.00
570.00	ETP	Schwab International Small Cap Equity	$24,150.90
2,120.00	ETP	Schwab Intl Equity ETF	$85,075.60
10,490.00	ETP	Schwab Short-Term US Treas	$538,451.70
110.00	ETP	Schwab US Large Cap	$11,194.70
565.00	ETP	Schwab US Small Cap	$58,093.30
13.00	ETP	Smartetfs Smart Transp/Tech	$584.55
306.00	ETP	Sp Funds Dow Jones Global Su	$6,136.46
1,895.00	ETP	SP Funds S&P Sharia Industry	$52,200.62
582.00	ETP	Sp/F S&P Global Reit Sharia	$13,228.98
3,000.00	ETP	SPDR Blackstone Senior Loan ETF	$138,540.00
1,205.00	ETP	Spdr Bloomberg 1-3 Month T-B	$110,245.45
600.00	ETP	SPDR Dow Jones Emerging Small Cap	$35,676.00
815.00	ETP	SPDR Dow Jones Industrial Average ETF Trust	$281,696.60
1,325.00	ETP	SPDR GOLD TRUST GOLD SHS	$236,353.50
6.00	ETP	SPDR Oil & Gas Export & Prod	$534.42
1,140.00	ETP	SPDR Portfolio Emerging Markets ETF	$51,687.60
114.00	ETP	SPDR Portfolio S&P 1500 Composite Stock Market ETF	$5,938.26
160.00	ETP	SPDR Portfolio S&P 500 ETF	$7,899.20
175.00	ETP	SPDR Portfolio S&P 500 Growth ETF	$10,452.75
142.88	ETP	SPDR Portfolio S&P 600 Small Cap ETF	$6,282.48
2,847.00	ETP	SPDR S&P 500 ETF Trust	$1,195,855.14
150.00	ETP	SPDR S&P 600 S/C Value Fund	$13,000.50
8,162.01	ETP	SPDR S&P Dividend	$1,023,597.30
1,000.00	ETP	Sprott Physical Silver Trust	$10,020.00
80.00	ETP	US Global Jets ETF	$2,148.00
2,575.00	ETP	Vaneck Gold Miners Etf	$101,506.50
2,000.00	ETP	Vaneck Junior Gold Miners Et	$109,420.00
40.00	ETP	Vaneck Low Carbon Energy Etf	$6,002.80
65.00	ETP	Vaneck Oil Services Etf	$13,837.20
199.56	ETP	Vanguard Dividend Apprec ETF	$31,071.66
239.00	ETP	Vanguard Dur Treasury	$30,668.48
131.00	ETP	Vanguard Financials ETF	$12,249.81
274.19	ETP	Vanguard FTSE All WO X US SC	$37,622.04
9,780.90	ETP	Vanguard FTSE All-World Ex-US	$628,031.65
10,215.32	ETP	Vanguard FTSE Developed Markets ETF	$535,487.24
3,326.28	ETP	Vanguard FTSE Emerging Market	$179,220.18
960.00	ETP	Vanguard Glbl Ex-Us Real Est	$56,524.80
1,265.37	ETP	Vanguard Growth ETF	$342,763.64
2,790.00	ETP	Vanguard High Dividend Yield	$297,999.90
336.64	ETP	Vanguard Int Div App Indx Fd	$29,550.02
4,882.17	ETP	Vanguard Intermediate Term C	$459,851.12
12,492.00	ETP	Vanguard Intermediate Term Treasury ETF	$846,457.92
10.03	ETP	Vanguard Large Cap ETF	$1,968.27
301.00	ETP	Vanguard Mega Cap Growth ETF	$65,789.57
920.00	ETP	Vanguard Mid-Cap ETF	$215,188.00

Shares	Security Type2	Security Name	Statement Market Value
1,310.00	ETP	Vanguard Real Estate ETF	$130,842.80
7,788.00	ETP	Vanguard Russell 1000 Growth	$513,073.44
832.36	ETP	Vanguard Russell 2000	$75,828.00
2,917.46	ETP	Vanguard S&P 500 ETF	$1,126,517.67
18,031.55	ETP	Vanguard S/T Corp Bond Etf	$1,494,093.86
10,848.00	ETP	Vanguard Short Term Bond ETF	$893,875.20
66,231.00	ETP	Vanguard Short Term Treasury ETF	$4,077,842.67
1,136.78	ETP	Vanguard Small- Cap ETF	$253,024.05
2,103.26	ETP	Vanguard Small -Cap Gr ETF	$582,666.34
352.52	ETP	Vanguard Small Cap Value ETF	$62,078.63
6,113.02	ETP	Vanguard Total Bond Market	$521,195.74
4,641.00	ETP	Vanguard Total Intl Stock Et	$308,255.22
4,711.30	ETP	Vanguard Total Stock Market ETF	$1,027,487.64
9,115.11	ETP	Vanguard Value ETF	$1,275,660.20
931.03	ETP	WisdomTree EM Small Cap	$50,285.04
301.00	ETP	WisdomTree Emerg Mkt Ex-St Ow	$12,515.58
151.50	ETP	WisdomTree International Hedged Quality Dividend Growth Fund	$6,574.97
35,385.64	ETP	WisdomTree US Efficient Core Fund	$1,396,317.16
125.54	ETP	WisdomTree US MidCap Dividend	$5,392.07
200.47	ETP	WisdomTree US SmallCap Dividend	$6,579.39
221.16	ETP	WisdomTree US Smallcap Fund	$11,046.79
167.98	Mutual Fund	AB Discovery Growth Advisor	$2,632.25
103.15	Mutual Fund	AB Discovery Value Advisor	$2,831.39
4,192.77	Mutual Fund	AB Global Bond Advisor	$35,596.63
9,243.36	Mutual Fund	AB Global Real Estate Investment II I	$113,416.00
2,876.50	Mutual Fund	AB International Strat Eqs Adv	$39,983.31
459.74	Mutual Fund	AB Large Cap Growth Advisor	$41,537.33
2,143.85	Mutual Fund	AB Value Advisor	$40,861.80
51,253.42	Mutual Fund	AB Wealth Appreciation Strategy Advisor	$1,079,397.00
1,776.43	Mutual Fund	Aberdeen Emerging Markets Institutional A	$37,571.45
1,627.92	Mutual Fund	Aberdeen Emerging Markets Instl	$34,690.95
1,398.90	Mutual Fund	ABR 75/25 Volatility Inst	$15,569.75
29,271.80	Mutual Fund	Acuitas US Microcap-Inst	$480,642.96
233.49	Mutual Fund	Akre Focus Instl	$13,960.37
307.68	Mutual Fund	Alger Small Cap Focus Z	$9,655.03
1,006.29	Mutual Fund	Alger Spectra Z	$31,647.88
3,055.33	Mutual Fund	American Century Ultra® Inv	$244,242.68
2,337.73	Mutual Fund	American Funds Europacific Growth A	$171,939.97
3,646.75	Mutual Fund	American Funds Europacific Growth F2	$267,708.14
1,073,282.46	Mutual Fund	American Funds Europacific Growth R5	$78,907,726.39
2,782.63	Mutual Fund	American Funds Fundamental Invs R5	$216,905.85
703.04	Mutual Fund	American Funds Growth Fund of Amer F2	$50,857.62
4,260.39	Mutual Fund	American Funds Growth Fund of Amer R5	$309,005.87
46.03	Mutual Fund	American Funds Income Fund of Amer C	$1,181.18
345,800.00	Mutual Fund	American Funds Inflation Linked Bd R6	$3,796,883.99
148.24	Mutual Fund	American Funds New Perspective F1	$9,661.06

Shares	Security Type2	Security Name	Statement Market Value
1,171.12	Mutual Fund	American Funds New Perspective F3	$76,965.74
135.35	Mutual Fund	American Funds New World F1	$12,783.34
2,620.03	Mutual Fund	American Funds New World F2	$248,692.77
2,991.71	Mutual Fund	American Funds SMALLCAP World R5	$270,330.46
3,116.58	Mutual Fund	American Funds Washington Mutual F2	$180,076.22
485.17	Mutual Fund	Angel Oak Multi-Strategy Income Instl	$5,050.64
6,944.60	Mutual Fund	AQR Managed Futures Strategy I	$56,945.69
17,570.32	Mutual Fund	Artisan High Income-Adv	$180,271.49
76,459.25	Mutual Fund	Bernstein Intermediate Duration	$1,026,083.09
1,134.12	Mutual Fund	BlackRock Global Allocation Instl	$26,254.83
146.54	Mutual Fund	BlackRock Health Sciences Opps Instl	$11,975.09
10,628.31	Mutual Fund	BlackRock High Yield Bond Instl	$83,219.69
53,917.00	Mutual Fund	BlackRock Liq Fds Cash Rv	$53,917.00
8,387.78	Mutual Fund	BlackRock Strategic Income Opps Instl	$87,065.10
577,017.65	Mutual Fund	BNY Mellon Small/Mid Cap Growth Fund - Cl A	$20,691,852.86
3,727.17	Mutual Fund	Brown Cap Mgt Intl Sm Co-Ins	$101,751.77
19,375.70	Mutual Fund	Calamos Market Neutral Income I	$275,909.90
231.87	Mutual Fund	Carillon Scout Mid Cap I	$6,142.29
17,433.30	Mutual Fund	City National Rochdale Fxd Inc Opps N	$412,297.64
3,402.11	Mutual Fund	Cohen & Steers Low Duration Pref & Inc I	$34,395.29
1,949.55	Mutual Fund	Cohen & Steers Preferred Sec & Inc I	$27,995.49
1,370.57	Mutual Fund	Cohen & Steers Realty Shares	$98,818.24
1,264.85	Mutual Fund	Columbia Balanced Inst2	$66,543.60
151.29	Mutual Fund	Columbia Quality Income Fund Instl	$3,455.55
1,768.32	Mutual Fund	Columbia Small Cap Index Inst2	$56,497.79
270.87	Mutual Fund	Conestoga Small Cap Institutional	$21,956.88
3,119,963.44	Mutual Fund	Delaware Value® Inst	$77,718,289.17
12,957.91	Mutual Fund	DFA Commodity Strategy Institutional	$84,096.84
19,616.70	Mutual Fund	DFA Emerging Markets Core Equity I	$526,119.84
648.47	Mutual Fund	DFA Emerging Markets I	$23,111.51
632.40	Mutual Fund	DFA Emerging Markets Small Cap I	$16,790.19
617.63	Mutual Fund	DFA Emerging Markets Value I	$20,418.75
23,562.87	Mutual Fund	DFA Five-Year Global Fixed-Income I	$257,542.11
2,178.64	Mutual Fund	DFA Global Real Estate Securities Port	$26,927.94
36,534.81	Mutual Fund	DFA International Core Equity I	$603,920.38
28,291.33	Mutual Fund	DFA International Small Cap Value I	$630,047.96
1,782.50	Mutual Fund	DFA International Small Company I	$41,140.19
1,893.38	Mutual Fund	DFA International Value I	$38,151.65
17,106.00	Mutual Fund	DFA Short-Duration Real Return Instl	$176,876.07
27,997.34	Mutual Fund	DFA Short-Term Extended Quality I	$308,530.72
57,637.97	Mutual Fund	DFA US Core Equity 2 I	$1,809,832.32
15,636.42	Mutual Fund	DFA US Hi Relatv Profitability Instl	$285,364.59
3,502.49	Mutual Fund	DFA US Large Cap Value I	$161,184.77
5,300.26	Mutual Fund	DFA US Micro Cap I	$153,919.43
20,268.74	Mutual Fund	DFA US Small Cap Value I	$942,699.05
6,981.85	Mutual Fund	DFA US Vector Equity I	$178,037.23

Shares	Security Type2	Security Name	Statement Market Value
36,550.21	Mutual Fund	DFA World ex US Core Equity Instl	$519,012.93
505.09	Mutual Fund	Diamond Hill Small Cap I	$19,769.34
10,736.70	Mutual Fund	Dodge & Cox Income	$153,427.40
623.29	Mutual Fund	Dodge & Cox Stock	$150,050.83
919.71	Mutual Fund	DoubleLine Low Duration Bond I	$9,178.70
776.57	Mutual Fund	Doubleline Sh Enh Cape-I	$16,331.16
41,231.35	Mutual Fund	DoubleLine Total Return Bond I	$433,753.82
1,447.13	Mutual Fund	DWS Rreef Real Assets Fund-Inst	$18,031.26
8,046.04	Mutual Fund	Eaton Vance Floating-Rate Advantage I	$84,885.67
21,077.38	Mutual Fund	Federated Hermes Instl High Yield Bond IS	$209,719.94
838.43	Mutual Fund	Federated Hermes Treas Oblig-AS	$838.43
334.47	Mutual Fund	Fidelity Adv Emrg Asia-Z	$22,807.51
14,740.33	Mutual Fund	Fidelity Advisor Investment Grade Bond-Class I	$123,081.73
587.56	Mutual Fund	Fidelity Advisor® Health Care I	$43,191.32
6,486.78	Mutual Fund	Fidelity Advisor® International Growth I	$130,578.80
32,542.10	Mutual Fund	Fidelity Advisor® Limited Term Bond I	$386,274.70
4,716.74	Mutual Fund	Fidelity Advisor® New Insights I	$191,452.35
149,869.11	Mutual Fund	Fidelity® Conservative Income Bond Instl	$1,507,683.22
28,899.70	Mutual Fund	Fidelity® Contrafund®	$520,772.54
2,764.24	Mutual Fund	Fidelity® Emerging Markets Idx Instl Prm	$37,372.57
222,581.54	Mutual Fund	Fidelity® Government Cash Reserves	$222,581.54
75,155.32	Mutual Fund	Fidelity® Government MMkt	$75,155.32
1,964.60	Mutual Fund	Fidelity® Inflation-Prot Bd Idx InstlPrm	$21,905.25
548,135.29	Mutual Fund	Fidelity® Inv MM Fds Money Market Instl	$548,135.29
1,645.16	Mutual Fund	Fidelity® Long-Term Trs Bd Idx Instl Prm	$22,982.90
5,652.27	Mutual Fund	Fidelity® Select Biotechnology	$120,167.32
10,076.32	Mutual Fund	Fidelity® Select Semiconductors	$180,063.84
6,873.19	Mutual Fund	Fidelity® Select Software & IT Svcs Port	$195,679.69
19,262.70	Mutual Fund	Fidelity® Treasury Money Market	$19,262.70
547.20	Mutual Fund	First Eagle Global I	$37,866.52
5,156.83	Mutual Fund	First Eagle Overseas I	$145,113.11
1,373.50	Mutual Fund	FPA Crescent	$56,780.32
61.59	Mutual Fund	Franklin Small Cap Growth C	$1,351.35
285.11	Mutual Fund	Franklin Small Cap Value - Adv	$19,219.00
104.93	Mutual Fund	Goldman Sachs Small/Mid Cap Growth C	$2,050.27
2,171.55	Mutual Fund	GS GQG Part Intl Opp-Inv	$42,996.75
740.96	Mutual Fund	Guggenheim Total Return Bond Instl	$21,302.46
2,278.09	Mutual Fund	Harbor International Institutional	$111,922.56
165.73	Mutual Fund	Hartford Equity Income C	$3,796.85
42.24	Mutual Fund	Invesco Developing Markets Fund R6	$2,410.87
568,443.21	Mutual Fund	Invesco Developing Markets Fund Y	$32,424,000.76
35.79	Mutual Fund	Invesco Global Opportunities Fund C	$2,366.46
11,730.45	Mutual Fund	Invesco International Bond Fund Y	$65,573.20
11,692.73	Mutual Fund	Invesco Small CapValue Y	$269,634.26
8,818.00	Mutual Fund	ISA Bank Of China	$8,818.00
14.00	Mutual Fund	ISA Santander Bank NA	$14.00

Shares	Security Type2	Security Name	Statement Market Value
739.69	Mutual Fund	Janus Henderson Balanced I	$32,110.03
486.44	Mutual Fund	Janus Henderson Global Life Sciences I	$35,004.51
43.34	Mutual Fund	Janus Henderson Global Life Sciences T	$3,095.85
1,384.85	Mutual Fund	Janus Henderson Global Select T	$27,309.24
2,497.13	Mutual Fund	Janus Henderson Research T	$170,728.44
1,897.28	Mutual Fund	Jensen Quality Growth I	$113,817.83
785.30	Mutual Fund	John Hancock International Growth I	$31,851.61
561.26	Mutual Fund	JPMorgan Equity Income Fund A	$12,639.67
52,898.42	Mutual Fund	JPMorgan US Government MMkt Investor	$52,898.42
15,857.37	Mutual Fund	Loomis Sayles Bond Instl	$214,550.19
108,769.29	Mutual Fund	Loomis Sayles Global Bond Fund-I	$1,958,934.89
49,909.51	Mutual Fund	Lord Abbett Short Duration Income I	$210,119.04
825.38	Mutual Fund	Mainstay Floating Rate Fd-I	$7,543.94
3,243.52	Mutual Fund	Marsico Focus	$88,645.37
5,531.89	Mutual Fund	Matthews Pacific Tiger Investor	$201,471.51
9,502,649.78	Mutual Fund	Metropolitan West Total Return Bd Admin	$103,863,962.11
33,122.93	Mutual Fund	Metropolitan West Total Return Bd I	$361,702.44
270.00	Mutual Fund	MFS Mid Cap Value Fund I	$8,572.63
1,578.61	Mutual Fund	MSIF Global Franchise I	$52,883.33
1,303.03	Mutual Fund	New Alternatives A	$122,406.92
1,615.49	Mutual Fund	Oakmark International Institutional	$49,401.56
1,551.66	Mutual Fund	Oakmark International Investor	$47,449.67
13,438.69	Mutual Fund	Pax Global Environmental Mrkts Instl	$317,556.34
8,668.33	Mutual Fund	PFG Br Equity Etf Strat-R	$121,703.33
12,852.61	Mutual Fund	PFG Equity Index Focus-R	$183,792.38
7,857.86	Mutual Fund	PFG Equity Strategy R (liquidated 11/30/21)	$102,859.40
12,918.66	Mutual Fund	PFG JP Morgan Tactical Aggressive Strategy R	$174,531.06
11,414.00	Mutual Fund	PFG MFS Aggressive Growth Strategy R	$153,061.79
7,787.77	Mutual Fund	PFG Sctr Eqty Bus Cycl-R	$101,552.47
12,754.56	Mutual Fund	PFS Af Growth Strategy-R	$181,752.52
2,780.85	Mutual Fund	PGIM Global Total Return Z	$19,215.69
1,099.99	Mutual Fund	PIMCO All Asset I2	$14,827.91
5,065.88	Mutual Fund	PIMCO Commodity Real Ret Strat A	$35,714.44
14,988.36	Mutual Fund	PIMCO High Yield Instl	$135,344.88
6,661.01	Mutual Fund	PIMCO Income I2	$80,531.65
109,612.68	Mutual Fund	PIMCO Income Instl	$1,325,217.28
7,547.84	Mutual Fund	Pimco Low Duration Instl	$75,025.48
4,103.04	Mutual Fund	PIMCO Real Return Instl	$50,303.25
6,600.61	Mutual Fund	PIMCO Short-Term Instl	$64,950.00
5,518,849.72	Mutual Fund	Pioneer Large Cap Growth Port Cl R1	$132,507,581.73
13,937.26	Mutual Fund	Predex-I	$359,441.86
23,532.61	Mutual Fund	Principal Global Multi-Strategy Instl	$279,096.75
2,343,297.29	Mutual Fund	Principal High Yield A	$17,199,802.13
42,519.82	Mutual Fund	Principal High Yield Inst	$309,544.25
116.13	Mutual Fund	ProFunds UltraBear Inv	$2,753.43
5,184.56	Mutual Fund	Royce International Premier Fund-Inv	$96,380.88

Shares	Security Type2	Security Name	Statement Market Value
8,933.72	Mutual Fund	Russell Inv Global Real Estate Secs S	$325,723.47
64,237.06	Mutual Fund	Russell Multifactor International Equity Fund-Y	$713,673.70
86,009.74	Mutual Fund	Russell Multifactor US Equity Fund-Y	$1,427,761.63
2,484.71	Mutual Fund	Sanford C Bern Overlay A-1	$39,382.67
2,074.79	Mutual Fund	Sanford C Bern Overlay B-1	$24,524.02
14,552.00	Mutual Fund	Schwab® S&P 500 Index	$940,932.00
1,673.53	Mutual Fund	Shenkman Capital Short Duration Hi Inc-F	$16,835.67
527.56	Mutual Fund	Smead Value I1	$33,869.22
160.20	Mutual Fund	SSgA S&P 500 Index N	$42,719.80
851.62	Mutual Fund	Sterling Capital Equity Income Instl	$22,917.01
10,739.03	Mutual Fund	Stone Ridge Alternative Lending Risk Premium Fund I	$710,386.58
45,770.01	Mutual Fund	Stone Ridge High Yield Reinsurance Risk Premium Fund Class I	$417,422.53
15,029.40	Mutual Fund	Stone Ridge Reinsurance Risk Premium Interval Fund	$602,829.19
6,354.69	Mutual Fund	Stone Ridge US L/C Var Rsk-I	$77,781.41
129.94	Mutual Fund	T. Rowe Price Blue Chip Growth	$22,842.33
2,356.11	Mutual Fund	T. Rowe Price Capital Appreciation I	$87,765.21
582.34	Mutual Fund	T. Rowe Price Overseas Stock	$7,844.05
109.82	Mutual Fund	T. Rowe Price Small-Cap Value	$6,828.67
6,766.53	Mutual Fund	Templeton Global Bond Adv	$63,402.38
2,545.06	Mutual Fund	The Merger Fund A	$45,429.36
7,366.78	Mutual Fund	TIAA-CREF Core Bond Fund Instl	$79,119.24
155.91	Mutual Fund	Transamerica Asset Allocation Growth C	$2,404.12
408.88	Mutual Fund	Transamerica International Equity I	$8,942.23
2,515.15	Mutual Fund	Tweedy Browne International Value Fund	$77,944.53
8,910.07	Mutual Fund	Vanguard 500 Index Admiral	$3,465,394.69
969,402.85	Mutual Fund	Vanguard Balanced Index I	$45,348,665.46
882,164.68	Mutual Fund	Vanguard Cash Reserves Federal Money Market Fund Admiral Class	$882,164.68
9,280.84	Mutual Fund	Vanguard Developed Markets Index Admiral	$156,382.19
4,412.64	Mutual Fund	Vanguard Dividend Growth Inv	$161,723.33
1,703.24	Mutual Fund	Vanguard Emerging Mkts Stock Idx Adm	$76,117.62
27.41	Mutual Fund	Vanguard Energy Inv	$1,001.80
11,101.28	Mutual Fund	Vanguard Equity-Income Adm	$1,023,760.13
534.80	Mutual Fund	Vanguard FTSE All-Wld ex-US Idx Admiral	$21,327.62
26,814.76	Mutual Fund	Vanguard GNMA Adm	$285,577.24
15,705.38	Mutual Fund	Vanguard Inflation-Protected Secs Adm	$448,231.60
5,518.54	Mutual Fund	Vanguard Inflation-Protected Secs Inv	$80,184.44
301,910.60	Mutual Fund	Vanguard Institutional Index I	$111,118,196.70
7,440.48	Mutual Fund	Vanguard Interm-Term Investment-Grde Adm	$75,372.02
5,273.71	Mutual Fund	Vanguard Large Cap Index Admiral	$522,202.57
1,355.95	Mutual Fund	Vanguard Mid Cap Index Admiral	$392,655.71
1,128.63	Mutual Fund	Vanguard Real Estate Index Admiral	$159,756.87
1,145,158.19	Mutual Fund	Vanguard Real Estate Index Institutional	$25,090,415.96
23,296.66	Mutual Fund	Vanguard Short-Term Bond Index Adm	$251,603.97

Shares	Security Type2	Security Name	Statement Market Value
6,322.94	Mutual Fund	Vanguard Short-Term Investment-Grade Adm	$69,489.12
8,747.63	Mutual Fund	Vanguard Short-Term Investment-Grade Inv	$96,136.45
2,655.87	Mutual Fund	Vanguard Small Cap Index Adm	$283,328.64
34.84	Mutual Fund	Vanguard Tax-Managed Small Cap Adm	$3,181.15
185,349.39	Mutual Fund	Vanguard Total Bond Market Index Adm	$2,083,327.18
641.31	Mutual Fund	Vanguard Total Stock Mkt Idx Adm	$68,056.14
364.91	Mutual Fund	Vanguard Wellesley® Income Admiral™	$26,010.86
1,684.81	Mutual Fund	Versus Capital Multi-Mgr Real Est Inc I	$47,258.92
1,768.95	Mutual Fund	Versus Capital Real Assets	$46,010.31
10,619.96	Mutual Fund	Western Asset Core Bond I	$139,864.82
18,153.14	Mutual Fund	Western Asset Core Plus Bond I	$219,834.48
1,284.82	Partnership Shares	Brookfield Renewable Energy Partners LP	$51,097.47
450.00	Partnership Shares	Cheniere Energy Partners LP	$18,567.00
26,422.76	Partnership Shares	Crescent Development Opportunity Fund	$26,422.76
270.00	Partnership Shares	Enterprise Products Pptns LP	$6,374.70
110.00	Partnership Shares	Icahn Enterprises LP	$6,200.70
306.01	Preferred Stock	Atlas Corp 8.25	$7,904.24
1,875.00	REIT	AGNC Investment Corp	$34,762.50
18.00	REIT	American Campus Communities	$848.88
105.00	REIT	American Tower REIT Inc	$26,823.30
5.00	REIT	Avalonbay Communities Inc.	$1,034.70
23.00	REIT	Coresite Realty Corp (acq/del 12/28/21)	$2,788.75
76.00	REIT	Crown Castle Intl Corp	$14,402.00
49.00	REIT	CubeSmart	$2,145.71
20.00	REIT	Cyrusone Inc	$1,475.00
1,571.99	REIT	Digital Realty Trust Inc	$238,251.26
220.00	REIT	EPR Properties	$10,813.00
55.00	REIT	Equinix Inc	$40,519.60
97.00	REIT	Equity Lifestyle Properties REIT	$6,873.42
92.00	REIT	Equity Res. Property	$7,125.40
68.00	REIT	Gladstone Land Corp	$1,572.84
53.00	REIT	Healthcare Trust Of America	$1,452.73
364.00	REIT	Healthpeak Properties Inc	$12,150.32
56.00	REIT	Host Hotels & Resorts Inc	$961.52
200.00	REIT	Iron Mountain Inc	$8,708.00
36.00	REIT	Lamar Advertising Co A	$3,773.52
8.00	REIT	Mid America Apt Community	$1,285.60
264.00	REIT	Monmouth Real Estate Investment Corp	$4,973.76
267.00	REIT	Park Hotels & Resorts Inc	$5,550.93
113.00	REIT	Physicians Realty Trust	$2,048.69
492.12	REIT	Prologis Inc	$57,990.83
52.03	REIT	Simon Ppty Group Inc	$6,685.08
70.00	REIT	Stag Industrial Inc	$2,499.70
46.00	REIT	Sun Community Inc.	$7,701.32
49.40	REIT	Ventas Inc	$2,739.01
254.75	REIT	Vornado Realty Trust Com	$12,044.39

Shares	Security Type2	Security Name	Statement Market Value
450.00	REIT	W P Carey Inc	$33,952.50
294.00	REIT	Weyerhaeuser Co	$11,160.24
22.00	REIT	Xenia Hotels & Resorts Inc	$427.02
25,000.00	Bond	American Tower Corp	$27,633.25
35,000.00	Bond	Citigroup Inc	$38,233.30
2,000.00	Bond	FNMA Note	$2,876.80
3,000.00	Bond	General Electric Capital Corp	$3,982.08
2,000.00	Bond	Goldman Sachs Group Inc	$2,188.30
20,000.00	Bond	Loews Corp	$20,721.60
13,000.00	Bond	TJX Cos Inc	$13,736.84
2,000.00	Bond	US Treasury Note/Bond	$2,202.19
5,000.00	Bond	US Treasury Note/Bond	$5,217.19
12,000.00	Bond	Wellpoint Inc	$12,998.04
35,000.00	Bond	Wells Fargo & Company	$36,824.55
40,000.00	Certificate of Deposit	AT&T Inc	$45,569.27
2,863,334.57	Collective Fund	Aristotle Small/Mid Cap Equity CIT B	$38,368,683.29
2,607,515.26	Collective Fund	Prudential Stable Value Fund	$93,531,928.56
2,000.00	Corporate Bond	Alcoa Inc	$2,187.60
12,000.00	Corporate Bond	Amazon.Com Inc	$13,243.56
25,000.00	Corporate Bond	America Movil SAB DE Cv	$25,722.50
40,000.00	Corporate Bond	American Express Co	$42,041.60
12,000.00	Corporate Bond	American Honda Finance	$13,316.40
40,000.00	Corporate Bond	American Honda Finance	$40,128.40
12,000.00	Corporate Bond	American Tower Corp	$13,566.36
3,000.00	Corporate Bond	Amerigas Part/Fin Corp	$3,344.10
12,000.00	Corporate Bond	Apple Inc	$13,258.32
10,000.00	Corporate Bond	Arrow Electronics Inc	$10,203.20
12,000.00	Corporate Bond	AT&T Inc	$13,161.48
5,000.00	Corporate Bond	B&G Foods Inc	$5,162.50
7,000.00	Corporate Bond	Ball Corp	$6,744.08
12,000.00	Corporate Bond	Bank Of America Corp	$13,314.12
40,000.00	Corporate Bond	Bank Of America Corp	$41,439.20
3,000.00	Corporate Bond	Barclays PLC	$3,068.37
21,000.00	Corporate Bond	Bed Bath & Beyond Inc	$19,057.50
6,000.00	Corporate Bond	Boyd Gaming Corp	$6,134.34
3,000.00	Corporate Bond	BP Capital Markets PLC	$3,266.57
12,000.00	Corporate Bond	Broadcom Crp / Caymn Fi	$13,191.84
2,000.00	Corporate Bond	Buckeye Partners LP	$1,990.00
12,000.00	Corporate Bond	Capital One Financial Co	$12,546.24
9,000.00	Corporate Bond	Care Capital Properties	$10,132.29
3,000.00	Corporate Bond	Central Garden & Pet Co	$3,153.75
5,000.00	Corporate Bond	Centurylink Inc	$5,363.80
4,000.00	Corporate Bond	Citigroup Inc	$4,426.73
12,000.00	Corporate Bond	Citigroup Inc	$13,347.96
20,000.00	Corporate Bond	Citigroup Inc	$20,322.80
10,000.00	Corporate Bond	Comcast Corp	$11,242.40

Shares	Security Type2	Security Name	Statement Market Value
11,000.00	Corporate Bond	Crown Amer/Cap Corp IV	$11,563.75
2,000.00	Corporate Bond	CTR Partnership/Caretrst	$2,050.00
6,000.00	Corporate Bond	CVS Health Corp	$6,879.90
12,000.00	Corporate Bond	Digital Realty Trust LP	$13,449.36
12,000.00	Corporate Bond	Discover Financial Svs	$13,476.00
15,000.00	Corporate Bond	Dowdupont Inc	$17,106.30
12,000.00	Corporate Bond	Dr Pepper Snapple Group	$12,768.00
30,000.00	Corporate Bond	Duke Energy Corp	$32,380.82
10,000.00	Corporate Bond	Duke Energy Ohio Inc	$10,999.00
12,000.00	Corporate Bond	DXC Technology Co	$13,193.44
3,000.00	Corporate Bond	Encompass Health Corp	$3,161.31
2,000.00	Corporate Bond	Enterprise Products Oper	$2,625.64
11,000.00	Corporate Bond	Expedia Inc	$12,108.14
10,000.00	Corporate Bond	Express Scripts Holding	$10,787.28
30,000.00	Corporate Bond	General Mills Inc	$33,306.33
2,000.00	Corporate Bond	GLP Capital LP / Fin II	$2,284.68
12,000.00	Corporate Bond	Goldman Sachs Group Inc	$13,132.68
35,000.00	Corporate Bond	Goldman Sachs Group Inc	$39,324.60
11,000.00	Corporate Bond	HCA Inc	$12,493.14
3,000.00	Corporate Bond	Hilton Worldwide LLC	$3,125.04
12,000.00	Corporate Bond	Home Depot Inc	$12,991.13
11,000.00	Corporate Bond	Hospitality Prop Trust	$10,804.75
4,000.00	Corporate Bond	Hughes Satellite Systems	$4,454.04
3,000.00	Corporate Bond	Huntsman International L	$3,397.80
40,000.00	Corporate Bond	Jefferies Group LLC	$46,605.60
12,000.00	Corporate Bond	JPMorgan Chase & Co	$12,947.28
11,000.00	Corporate Bond	Keycorp	$12,430.33
20,000.00	Corporate Bond	Kla-Tencor Corp	$22,370.28
3,000.00	Corporate Bond	Kraft Heinz Foods Co	$3,223.50
12,000.00	Corporate Bond	Kraft Heinz Foods Co	$12,650.26
12,000.00	Corporate Bond	Kroger Co	$13,434.60
35,000.00	Corporate Bond	Laboratory Corp Of America	$35,665.00
10,000.00	Corporate Bond	Lowe's Cos Inc	$11,695.90
10,000.00	Corporate Bond	Magellan Midstream Partn	$10,665.60
4,000.00	Corporate Bond	Mattel Inc	$4,088.64
3,000.00	Corporate Bond	Mgm Growth/Mgm Finance	$3,172.53
11,000.00	Corporate Bond	Micron Technology Inc	$12,424.83
3,000.00	Corporate Bond	Microsoft Corp	$3,691.08
12,000.00	Corporate Bond	Morgan Stanley	$13,187.88
35,000.00	Corporate Bond	Morgan Stanley	$37,172.45
11,000.00	Corporate Bond	Mpt Oper Partnersp/Finl	$11,577.50
2,000.00	Corporate Bond	Murphy Oil USA Inc	$2,102.50
12,000.00	Corporate Bond	Nasdaq Inc	$13,403.64
3,000.00	Corporate Bond	Newell Rubbermaid Inc	$3,371.25
12,000.00	Corporate Bond	Nike Inc	$12,889.92
12,000.00	Corporate Bond	Oracle Corp	$12,735.36
10,000.00	Corporate Bond	Packaging Corp Of Americ	$10,547.80

Shares	Security Type2	Security Name	Statement Market Value
35,000.00	Corporate Bond	Prologis LP	$36,310.05
10,000.00	Corporate Bond	Republic Services Inc	$10,005.40
11,000.00	Corporate Bond	Seagate Hdd Cayman	$12,041.70
2,000.00	Corporate Bond	Service Corp International	$2,415.00
4,000.00	Corporate Bond	Shell International Fin	$4,345.88
35,000.00	Corporate Bond	Shell International Fin	$37,039.10
10,000.00	Corporate Bond	Southwest Airlines Co	$11,482.00
30,000.00	Corporate Bond	Sunoco Logistics Partner	$35,313.90
16,000.00	Corporate Bond	Swiss Re America Holding	$20,012.39
9,000.00	Corporate Bond	Tegna Inc	$9,213.75
5,000.00	Corporate Bond	Teva Pharmaceuticals Ne	$4,852.50
7,000.00	Corporate Bond	Toll Bros Finance Corp	$7,512.75
11,000.00	Corporate Bond	Tyson Foods Inc	$12,038.62
12,000.00	Corporate Bond	Union Pacific Corp	$12,418.92
12,000.00	Corporate Bond	United Rentals North Am	$12,598.20
5,000.00	Corporate Bond	United Rentals North Am	$5,468.45
40,000.00	Corporate Bond	Unitedhealth Group Inc	$41,194.80
30,000.00	Corporate Bond	Verizon Communications	$32,629.26
6,000.00	Corporate Bond	VMWare Inc	$6,677.34
12,000.00	Corporate Bond	Wabtec	$13,084.32
12,000.00	Corporate Bond	Walt Disney Company/The	$11,961.72
12,000.00	Corporate Bond	Wells Fargo & Company	$13,011.72
12,000.00	Corporate Bond	WP Carey Inc	$11,693.76
12,000.00	Corporate Bond	Zimmer Biomet Holdings	$13,026.12
11,000.00	Corporate Bond	Zurich Reins Centre Holdings Senior Note	$12,588.29
4,000.00	DOMESTIC MTN	Bank Of America Corp	$4,384.96
30,000.00	DOMESTIC MTN	Bank Of Montreal	$30,207.30
12,000.00	DOMESTIC MTN	Bank Of NY Mellon Corp	$13,281.48
12,000.00	DOMESTIC MTN	BB&T Corporation	$12,919.44
4,000.00	DOMESTIC MTN	Wells Fargo & Company (95000u2a0 9/14/21)	$4,419.04
50,000.00	Government Bond	Federal Home Loan Note	$50,674.22
30,964.80	Government Bond	US Treasury Inflation Indexed Bond	$34,223.36
7,000.00	Government Bond	US Treasury Note/Bond	$7,973.44
75,000.00	Government Bond	US Treasury Note/Bond	$81,585.94
20,000.00	Government Note	FFCB Note	$19,801.80
43,000.00	Government Note	FFCB Note	$42,418.21
10,000.00	Government Note	FHLMC Note	$9,994.40
16,000.00	Government Note	FHLMC Note	$16,008.96
4,000.00	Government Note	US Treasury Note/Bond	$4,028.13
15,000.00	Government Note	US Treasury Note/Bond	$15,336.33
1,822.59	MBS 30yr	Fannie Mae Bm1285	$1,994.65
2,840.26	MBS 30yr	Fannie Mae Ma3210	$3,012.09
1,857.54	MBS 30yr	Freddie Mac G08669	$2,021.17
1,548.84	MBS 30yr	Freddie Mac G08737	$1,633.68
6,082.28	MBS 30yr	Freddie Mac G60440	$6,573.06
30,000.00	Municipal Bond	California St Txbl-Bid Group A	$32,137.19

Shares	Security Type2	Security Name	Statement Market Value
35,000.00	Municipal Bond	California St Txbl-Ref-Various Purpose	$41,150.90
30,000.00	Municipal Bond	New York City NY Transitional Qualified Sch Constr Bd G-3	$36,405.90
50,000.00	Municipal Bond	New York Ny Txbl-Fiscal 2019-Subser D-3	$57,020.00
40,000.00	Municipal Bond	New York Ny Txbl-Ser B-2	$41,415.60
35,000.00	Municipal Bond	San Jose Ca Redev Agy Successo Txbl-Ref-Senior-Ser A-T	$37,720.90
35,000.00	Note	US Treasury Note/Bond	$36,011.72
35,000.00	Note	US Treasury Note/Bond	$34,926.17
50,000.00	Note	US Treasury Note/Bond	$49,394.53
60,000.00	Note	US Treasury Note/Bond	$60,937.50
65,000.00	Note	US Treasury Note/Bond	$66,104.35
85,000.00	Note	US Treasury Note/Bond	$82,117.96
90,000.00	Note	US Treasury Note/Bond	$90,140.63
95,000.00	Note	US Treasury Note/Bond	$89,270.31
15,000.00	Note	US Treasury When Issued Note/Bond	$14,453.91
125.00	Unit Trust	Extended Stay America Inc	$2,463.75
1.00	Warrant	Credibility Capital Inc. Series A-4 Preferred Stock Warrant	$0.00
187.00	Warrant	Occidental Petroleum Corp	$2,047.65
50.00	Common Stock	Actua Corp (delisted 04/20/18)	$0.00
40.57	Common Stock	Credibility Capital Inc	$49,999.98
332,460.00	Common Stock	EarnUp, Inc	$42,421.90
1,038.00	Common Stock	Nortel Networks (delisted 10/14/15)	$0.00
167.00	Common Stock	Spot Mobile International (delisted 02/21/17)	$0.00
100,000.00	Limited Partnership	1225 W. 166th LLC	$100,000.00
2,500.00	Limited Partnership	26 Brix LLC	$25,000.00
230,000.00	Limited Partnership	737 E Fifth St, LLC	$230,000.00
426.04	Limited Partnership	A&Q Multi-Strategy Fund	$459,150.81
200,000.00	Limited Partnership	Advanced Fund 20-1, L.P.	$200,000.00
9,699.19	Limited Partnership	Advanced Group 16-111, LP	$9,699.19
77,701.07	Limited Partnership	Advanced Group 18-116, L.P. A-2 Shares	$77,701.07
0.92	Limited Partnership	Bear Stearns Venture Partners L P	$9,752.07
50,000.00	Limited Partnership	BLVD-RH Holdings LLC	$50,000.00
67,098.96	Limited Partnership	BMC 233 Clayton GP LLC	$67,098.96
58,987.00	Limited Partnership	Butterfly Fund III LP	$58,987.00
553,738.00	Limited Partnership	Canyon Value Realization Fund, LP	$553,738.00
23,694.00	Limited Partnership	Credibility Capital SB I, LLC	$23,694.00
340,905.96	Limited Partnership	Demeter Capital Group LP	$1,940,303.74
75,000.00	Limited Partnership	DIVersified Pacific Opp Fund I LLC	$69,138.00
23,201.00	Limited Partnership	Fenway Summer LLC	$23,201.00
1.93	Limited Partnership	Krg Capital Fund IV LP	$10,935.83
87,047.00	Limited Partnership	Morrissey CFL Holdings LLC	$87,047.00
227,565.42	Limited Partnership	MSD Real Estate Credit Opportunity Fund LP	$227,565.42
5,626.00	Limited Partnership	Necessary Venture Fund I LP	$5,626.00
100,000.00	Limited Partnership	No IFS! LLC	$0.00
117,673.92	Limited Partnership	NRE Avalon Place Investors LLC	$117,673.92

Shares	Security Type2	Security Name	Statement Market Value
168,421.00	Limited Partnership	NRE Red River Investors, LLC	$168,421.00
28,310.00	Limited Partnership	NYCA Investment Fund III L.P.	$28,310.00
1.00	Limited Partnership	NYDIG Basket Fund, LP	$126,103.00
105,394.00	Limited Partnership	P Portfolio Holdings LLC	$105,394.00
109,063.48	Limited Partnership	Rosebud International Holdings LLC	$27,662.86
95,066.70	Limited Partnership	Rosebud RLH II LLC	$51,101.21
91,893.12	Limited Partnership	Rosebud Rlh III LLC	$151,355.33
151,260.00	Limited Partnership	Rutherford CFL Holdings, LLC	$151,260.00
153,186.00	Limited Partnership	Star Mountain Diversified Credit income Fund III (Offshore) LP	$153,186.00
1.00	Limited Partnership	Stone Ridge Holdings Group LP Class B	$26,427.62
5.74	Limited Partnership	Tennenbaum Opportunities Fd V LLC	$12,798.97
197,198.14	Limited Partnership	Tennenbaum Opportunities Fund Vi LLC	$77,802.55
171,056.09	Limited Partnership	Tennenbaum Special Situations Fund IX, LLC	$183,490.33
65,749.99	Limited Partnership	Westerly Partners LP	$256,642.00
98,558.00	Limited Partnership	Westside Capital Partners LLC	$98,558.00
18,424.00	Limited Partnership	Westside Sacramento Investors LLC	$18,424.00
50,000.00	Preferred Stock	Bookingpal, Inc. Series A Preferred	$176,500.00
37.50	Preferred Stock	Credibility Capital Series A-4 Preferred Stock	$75,000.00
32.97	Preferred Stock	Credibility Capital, Inc. Series A-1 Preferred Stock	$58,383.41
1,020.00	Preferred Stock	Hello Digit, Inc. Preferred Stock	$37,481.23
3,272.25	Preferred Stock	Level Benefits Inc Series A Pfd	$20,000.00
5,564.00	Preferred Stock	Mercury Technologies, Inc. Series Seed Preferred Stock	$9,999.45
22,066.00	Preferred Stock	Sipree, Inc. Series 1B (Seed-3)	$4,633.86
26,229.00	Preferred Stock	Unit Finance, Inc Preferred Stock	$19,999.61
19,763.76	Preferred Stock	Venture Studio Inc. Series Seed Preferred	$19,763.76
7,600.00	REIT	American Realty Capital New York City REIT	$153,976.00
	Loan	Master Participant Loan	$2,051,596.23
		Total	**$915,716,647.41**

Important 2020 Form 5500 Schedule C Disclosures

May 2021

Matrix Trust Company's Role in Assisting Plan Sponsors in Completing Schedule C

Plan sponsors are responsible for completing the annual Form 5500 filing and are tasked with identifying and reviewing the reportable Schedule C compensation paid to plan service providers. The information contained in this communication is being provided to assist in the completion of Schedule C for Form 5500 filing for the 2020 plan year (which would be filed during 2021).

It is important for TPAs and plan sponsors to understand that Matrix Trust Company ("**Matrix Trust**") can only provide information related to its compensation as a service provider. Matrix Trust can provide activity related to payments made to other service providers only to the extent that the compensation flows through either the trust, the custodial account, or a fund service fee account. Investment product disclosures, annual reports and prospectuses (as applicable) should be reviewed for other components of reportable compensation under Schedule C.

Where compensation can be classified as Eligible Indirect Compensation ("**EIC**"), Matrix Trust has provided the appropriate disclosures in this document rather than a set dollar amount for each plan, as permitted under guidance issued by the U.S. Department of Labor.

I. REPORTING REQUIREMENTS

Plan sponsors of retirement plans with over 100 participants must identify persons who indirectly or directly received $5,000 or more in compensation during the plan year for services rendered to the Plan on Schedule C of Form 5500.

II. DIRECT COMPENSATION

Direct compensation paid to Matrix Trust by your plan is reported on your account statement or other reports provided by Matrix Trust, for example, a check register. These reports enable you to determine any payments that may have been made directly from plan assets.

To the extent Matrix Trust fees are asset based, fees will be calculated utilizing a daily average balance for the invoice period.

To the extent that Matrix Trust fees are paid by the plan sponsor and not reimbursed by plan assets, the fees do not represent direct or indirect compensation paid to Matrix Trust for purposes of 408(b)(2).

III. INDIRECT COMPENSATION

Matrix Trust, or an affiliate, may have received indirect compensation from sources other than your plan during the past calendar year. Matrix Trust is providing a description of the formula used to calculate or determine indirect compensation so this compensation can be reported as "Eligible Indirect Compensation" paid to Matrix Trust or an affiliate on Schedule C of the Form 5500 for the 2020 plan year, if compensation paid meets the reporting thresholds.

Matrix Trust may have received indirect compensation in connection with services:

- in the form of "float" income
- through its affiliate(s) and/or other parties as described below, and to the extent applicable, with respect to Investment Related Administrative Fee Services, Brokerage Accounts, Retirement Cash Account, ModelTool(K)it™, Level Compensation Services, ETF/Closed End Fund Trading Services, Stale Dated Check Services, and Proceeds of Corrective Transactions.

Float Income

Non-interest bearing general or omnibus accounts are maintained at JPMorgan Chase Bank, N.A., and TD Bank, N.A., both of which are unaffiliated institutions to Matrix Trust, in order to facilitate transactions of retirement plans. Matrix Trust may receive earnings for the period that the funds are held in these accounts. The earnings credited on these amounts are known as "float".

Float earnings are considered eligible indirect compensation for Schedule C of Form 5500 purposes and indirect compensation under ERISA Section 408(b)(2). Information with respect to the determination of float earnings is incorporated on page 4 of this document.

A. CASH SUB-ACCOUNTING SERVICES/FLOAT INCOME

Matrix Trust also provides sub-accounting services to certain banking institutions, with respect to cash held on a short-term basis in their omnibus accounts. With respect to the Plan, this may occur where, for example, Plan funds are awaiting investment, distribution or other processing. As described below under the section titled: "Matrix Trust - Float Disclosure for Employee Benefit Plans," Matrix Trust may derive compensation from the use of this short-term cash, which is referred to as "float income." Currently, Matrix Trust has arrangements with two banks – JP Morgan Chase and TD Bank – under which the banks pay float income to Matrix Trust in exchange for its sub-accounting services. Float income is reflected as an earnings credit or service fee on monthly bank invoices.

The exact amount of float income credited from these two banks to Matrix Trust cannot be described in precise terms, because the rate of float income paid fluctuates over time (it generally tracks the Federal Funds Rate), and it is also impossible to predict exactly how much Plan cash will be held on a short-term basis, and for how long. Please refer to the section below titled "Matrix Trust - Float Disclosure for Employee Benefit Plans" for more information.

B. INVESTMENT RELATED ADMINISTRATIVE FEE SERVICES (if elected and to the extent applicable)

Where Matrix Trust has been so authorized, Matrix Trust through its affiliate and registered broker dealer, MSCS Financial Services Division of Broadridge Business Process Outsourcing, LLC ("**MSCS Financial**"), may receive fees from certain investment companies, mutual funds, stable value funds, guaranteed investment contracts, guaranteed annuity contracts and similar investment vehicles (the "**Funds**") in the form of 12b-1 fees or firm concessions *(i.e.,* finders fees), or in the form of shareholder servicing, sub-transfer agent and sub-accounting fees ("**Mutual Fund Fees**").

In accordance with the Administrative Fee Collection Addendum or other fee documentation or disclosures, Matrix Trust may deposit an amount equal to a percentage (or percentages) of the Mutual Fund Fees collected to an Administrative Fee Account for the administrative services provided to the plan ("**Administrative Fees**"). The Administrative Fees will remain in the Administrative Fee Account until Matrix Trust is instructed to disburse it.

MSCS Financial is compensated by the collection of Mutual Fund Fees. In accordance with an intercompany agreement between MSCS Financial and Matrix Trust, MSCS Financial pays to Matrix Trust the Administrative Fees, which Matrix Trust, in turn, pays out in accordance with the Administrative Fee Collection Addendum or other fee documentation or disclosures. MSCS Financial may retain for its services an amount up to 10% of Mutual Fund Fees collected or a flat fee amount of up to $500; the actual percentage or flat fee amount for Mutual Fund Fee collection is reflected in the Administrative Fee Collection Addendum or other fee documentation or disclosures executed by the named Fiduciary and/or its authorized representative(s).

Administrative Fees are generated only to the extent the following are all true:

- the plan has selected its investment options from those that are available on the Matrix Trust platform;
- the plan has holdings in investment options which generate Mutual Fund Fees; and
- the plan Sponsor or Authorized Person has authorized Matrix Trust, through its affiliate, to collect the Mutual Fund Fees.

If the plan is trading on Matrix Trust's trading platform and Mutual Fund Fees are available for the plan investment options selected for the plan, available Mutual Fund Fees are identified on the plan fund list in the Sub-TA and 12b-1 columns for each investment option listed and is paid by the investment provider offering the fund.

In addition, payments to parties other than MSCS Financial or Matrix Trust from the related Administrative Fee Account statement may be reportable as direct or indirect compensation paid to *those* parties.

C. CERTAIN BROKERAGE ACCOUNTS (if elected and to the extent applicable)

If the Plan offers a self-directed brokerage account ("**SDBA**") option to Plan participants, SDBA balances remain subject to the services and fees described under other sections of this schedule, to the extent applicable.

Also, where the named fiduciary of the plan and/or its authorized representative(s) has directed Matrix Trust to establish SDBA that is a TD Ameritrade Brokerage Account, pursuant to an agreement TD Ameritrade, Matrix Settlement and Clearing Services, LLC ("**MSCS**") will provide account reconciliation services, account set-up and maintenance, movement of cash between the plan's core accounts and SDBAs, and related administrative tasks. For these services, and others, MSCS will receive quarterly, in arrears, an amount equal to 0.0175% (1.75 basis points) of the value of plan assets in the TD Ameritrade SDBAs during such quarter, based on the average of the plan assets held in the SDBAs on the last business day of each month in the applicable quarter. This rate shall apply for the calendar quarter ending March 2020 and shall decrease as follows to 0.01% (1 basis point) for the calendar quarter ending June 2020, then 0.0075% (0.75 basis points) for the calendar quarter ending September 2020, then 0.005% (0.5 basis points) for the calendar quarter ending December 2020 with subsequent quarters to be calculated at the rate of 0.00375% (0.375 basis points). TD Ameritrade pays these fees to MSCS.

In all cases, the fees described above do not include brokerage commissions or other fees payable to TD Ameritrade, or other SDBA provider, who are unaffiliated with Matrix Trust, MSCS and MSCS Financial.

D. RETIREMENT CASH ACCOUNT (if elected and to the extent applicable)

Matrix Trust receives fees with respect to the Retirement Cash Account, to the extent permitted by Applicable Rules, for providing services with respect to the account and the accountholders. Specifically, as provided under an agreement with JPMorgan Chase Bank, N.A. ("**JPMCB**"), Matrix Trust receives a servicing fee in exchange for providing sub-accounting and support services, processing transactions and reconciling aggregate account activity with respect to funds deposited in the Retirement Cash Account with JPMCB. The servicing fee is deducted by Matrix Trust from the total interest paid to Matrix Trust by JPMCB, and is the difference between the total interest rate paid to Matrix Trust by JPMCB and the stated interest rate paid to plan participants on their investments in the Retirement Cash Account. In other words, the servicing fees paid to Matrix Trust reduce the interest rate paid to plan participants by a corresponding amount.

At each rate of total interest paid by JPMCB (from 0.00% up to 5.00%), the share of such total interest that is credited as the "stated rate" of interest to plan participants on their Retirement Cash Account balances, and the share of such total interest that is retained by Matrix Trust as its servicing fees, are set forth under a pre-established rate table. Such servicing fees are based on the average daily deposit balances in the Retirement Cash Account. The rate of the servicing fee that Matrix Trust receives may exceed the interest rate or effective yield the depositors receive from the Retirement Cash Account. No portion of these servicing fees will reduce or offset the fees otherwise due to Matrix Trust unless required by Applicable Rules. "Applicable Rules" means all applicable federal and state laws, rules and regulations, rules of any self-regulatory organization, and the constitution and applicable rules, regulations, customs, and usages of the exchange or market and its clearinghouse. Unless stated otherwise in a separate schedule, other than the applicable fees charged on plan custody accounts, there are no separate charges, fees (other than the servicing fee described above), or commissions paid to Matrix Trust or its affiliates as a result of, or otherwise in connection with, the Retirement Cash Account.

For more specific information, the current interest rate payable at any given time will be available online at:
https://www.broadridge.com/resource/matrix-trust-company.

A copy of the full rate table and the current interest rate payable at any given time may be obtained by calling Matrix Trust Client Services at 888-947-3472.

Current Retirement Cash Account disclosures and rates payable are likewise available online at:
https://www.broadridge.com/_assets/pdf/broadridge-msb-retirement-cash-account.pdf.

As of February 1, 2021, the total rate of interest being paid by JPMCB is 0.20% (20 basis points), and as provided in the rate table, this means that Matrix Trust's servicing fees are equal to 0.15% (15 basis points).

However, as the total interest rate paid by JPMCB increases, the servicing fees will likewise increase, and if the total interest rate paid by JPMCB decreases, the servicing fees will likewise decrease. While the full rate table is available and accessible (as explained above), it is very voluminous. However, the following summarizes the general ranges of the stated interest rates paid to Plan participants and Matrix Trust's servicing fees at various rates of total interest, as determined under the rate table:

When the total rate of interest paid (annually) by JPMCB is between:	The stated interest rate paid to plan participants ranges from:	The servicing fees retained by Matrix Trust ranges from:
0.00% and 0.50%	0.00% and 0.13%	0.00% and 0.37%
0.51% and 1.00%	0.13% and 0.25%	0.38% and 0.75%
1.01% and 2.00%	0.26% and 0.50%	0.75% and 1.50%
2.01% and 3.00%	0.51% and 1.23%	1.50% and 1.77%
3.01% and 4.00%	1.23% and 1.90%	1.78% and 2.10%
4.01% and 5.00%	1.91% and 2.63%	2.10% and 2.37%

Based upon the total rates of interest paid by JPMCB in recent periods, and the total rates of interest that Matrix Trust generally expects that JPMCB would intend to pay in the future, a reasonable estimate of the servicing fees retained by Matrix Trust would usually be between 0% and 2.37%. However, we should emphasize that Matrix Trust cannot control or predict the total interest rates payable by JPMCB in the future, which makes it impossible to predict the rate of Servicing Fees we will receive at any given time. Therefore, to help you make a fully informed decision about whether to utilize (or continue utilizing) the Retirement Cash Account at any given time, we strongly recommend that you access the online materials or contact Matrix Trust Client Services as described above, to be provided with more detailed information.

E. MODELTOOL(K)IT™SERVICES (if elected and to the extent applicable)

Where the named Fiduciary of the plan and/or its authorized representative(s) has entered into an agreement to subscribe to ModelTool(k)it™ ("**MTK**") for the plan, a total annual fee of 0.025% (2.5 basis points), the ModelTool(k)it™ Platform Fee, charged monthly in arrears applies to the market value of assets covered under the MTK agreement. This fee is deducted directly from the plan account from the assets covered under the MTK agreement. Of the total fee, a third-party subcontractor of MSCS, Envestnet Retirement Services ("**ERS**") receives approximately (no less than) 0.02% (2.0 basis points) as its compensation for providing services detailed in the plan's MTK agreement, and MSCS itself will retain approximately (no more than) 0.005% (0.5 basis points) for facilitating MTK as a service on the MSCS trading platform. All other applicable services and fees will continue to apply, except that if investments subject to the MTK agreement generate Mutual Fund Fees, MSCS Financial will collect such Mutual Fund Fees as compensation, but Matrix Trust will credit back Administrative Fees in an amount equal to one hundred percent (100%) of such Mutual Fund Fees to the TPA.

F. LEVEL COMPENSATION SERVICES (if elected and to the extent applicable)

Where the named Fiduciary of the plan has engaged a broker (registered representative) whose firm utilizes the Matrix Trust Level Compensation Services, in addition to any other applicable services and fees, MSCS Financial will serve as Broker of Record for investment transactions, and will retain up to 0.02% (2 basis points) of the plan's total assets, with such fees coming from any 12b-1 fees and shareholder servicing ("**Level Compensation Fees**") it collects from the Funds on behalf of the broker. For certain plans whose investment lineup pays differing compensation per investment, brokers may receive Level Compensation Fees based on an approximate weighted average ("**Weighted Average**") of fees paid by or on behalf of Funds. Where Weighted Average is in place, MSCS Financial may retain an overage in the amount fees received from or on behalf of the Funds. This overage amount may be an amount up to 0.05% (5 basis points) of Plan assets because Weighted Average Level Compensation Fee percentages are set by MSCS on 0.05% (5 basis point) increments. If you have engaged an investment adviser for your plan whose firm utilizes the RIA Remittance Services of the MSCS Level Compensation Services, in addition to any other applicable services and fees, MSCS will be paid a fee of up to 0.015% (1.5 basis points) of the Plan's total assets (also referenced as **"Level Compensation Fees"**). With respect to brokers, the Level Compensation Fees are in exchange for MSCS Financial's administrative services in collecting and distributing Level Compensation Fees to the broker. With respect to investment advisers, the Level Compensation fees are in exchange for MSCS's administrative services in collecting from the TPA and

distributing to the investment adviser the adviser's advisory fees (i.e., facilitating RIA fee remittance services). Per the agreement setting forth the Level Compensation Services between MSCS and the broker-dealer or investment advisory firm, this compensation is deducted from Level Compensation Fees as received from the plan's Funds.

G. <u>ETF/CLOSED END FUND TRADING SERVICES</u> (if elected and to the extent applicable)

In addition to all other applicable services and fees, if the plan offers one or more exchange-traded funds ("**ETFs**") and/or closed end funds ("CEFs") as investment options to plan participants, a third-party unaffiliated subcontractor of MSCS, Virtu Americas LLC ("**Virtu**") (formerly known as "**Knight Capital Group**" or "**KCG**") receives certain commissions for executing ETF/CEF trades processed on the MSCS trading platform (per the agreement between Matrix Trust/MSCS and the TPA). Per the agreement between Matrix Trust/MSCS and the TPA, the commissions paid to Virtu are generally deducted directly from the Plan account *(i.e.,* "netted" from the ETF/CEF trade) unless, per the agreement between Matrix Trust/MSCS and the TPA, the TPA is invoiced for such charges instead. Virtu's commission charges, as the executing ETF/CEF broker, are:

- $0.01 per share per ETF/CEF trade received by MSCS prior to 3:00 pm Eastern Time, or one (1) hour prior to market close, with market on close execution; or
- $0.005 per share per ETF/CEF trade processed during market hours (with pricing changing throughout the trading day).

MSCS (along with Matrix Trust and MSCS Financial) does not retain any of Virtu's commissions described above; rather, Virtu receives 100% of these additional ETF/CEF commissions. However, Virtu's commissions are in addition to, not in lieu of, all other applicable fees, including but without limitation the Administrative Fees described above under the heading "Investment Related Administrative Fee Services". Additionally, for ETF/CEF trades to receive pricing as of market close ("**Market-on-Close ETF/CEF Trades**"), Virtu will price such trades as of the closing price for such ETFs/CEFs, and in the process may incur gains and losses from such trades by executing hedging transactions in advance of the market close for the purpose of helping to ensure that the desired Market-on-Close ETF/CEF Trades can be timely processed at the closing price. Such short-term gains and losses should generally offset each other and are therefore not expected to result in any additional net profit or "compensation" to Virtu over time.

ETF/CEF trade instructions may be received by MSCS after market close. When this occurs, the transaction will be processed the following business day. Because the price of an investment may change between the receipt of instructions and the execution of instructions, a transaction may result in either a shortfall or an excess. If the transaction results in a shortfall, Matrix Trust will promptly cover the shortfall to the extent necessary to process the transaction based on the price that would have been paid or realized by the Plan had the transaction been processed on the day Matrix received instruction. If the transaction results in an excess, Matrix Trust will retain the amount of the excess in a suspense account to be applied to future shortfalls. Additionally, Matrix Trust will retain nominal trading gains and incur nominal trading losses as a result of Matrix Trust's acquisition or disposal of fractional ETF/CEF shares necessary to complete ETF/CEF trade instructions. Such nominal gains and losses should generally offset each other and are therefore not expected to result in any additional net profit or "compensation" to Matrix Trust over time.

H. <u>STALE DATED CHECK SERVICES</u>

Matrix Trust provides services to assist with the resolution of Plan participants' stale dated checks, as directed by an Authorized Person. Where the named Fiduciary of the plan and/or its authorized representative(s) has elected to utilize certain services to assist in the resolution of participant related stale dated checks, a subcontractor to Matrix Trust and MSCS, PBI Research Services**,** Pension Benefit Information, LLC ("**PBI**"), receives $40 per check as direct compensation which is deducted directly from the plan (*i.e.,* from the stale dated check amount). This compensation to PBI is for its services which includes conducting a search, related communications, and distributing funds to affected plan participants. All float income to Matrix Trust will cease with respect to the stopped check from the time the check is stopped, but float income related to the period beginning with the issuance of the distribution check through the date the check was stopped will be retained by Matrix Trust.

I. PROCEEDS OF CORRECTIVE TRANSACTIONS

Matrix Trust receives investment instructions and, although rare, occasional errors in the instructions themselves or the processing of instructions may occur. The causes of such errors may include, but are not necessarily limited to, entry of an erroneous trade ("buy" vs. "sell," or vice versa), dollar amount or number of shares, incorrect identification of the security, duplication of orders (such as, instructions entered more than once), or untimely transmittal of instructions. When an error is discovered, action is taken to correct the transaction in a manner intended to avoid or minimize harm or disruption to the Plan. Because the price of an investment may change between the processing of erroneous instructions and the execution of corrective instructions, a corrective transaction may result in either a shortfall or an excess. If the error originates with Matrix Trust and the corrective transaction results in a shortfall, Matrix Trust will promptly cover the shortfall to the extent necessary to process the transaction based on the price that would have been paid or realized by the Plan had the transaction been processed as instructed. If the corrective transaction results in an excess, Matrix Trust will retain the amount of the excess in a suspense account to be applied to future shortfalls resulting from trade errors. Consistent with positions expressed by the U.S. Department of Labor, any such excess proceeds may be treated as compensation to Matrix Trust for its services. The amount of any such compensation cannot be predicted in advance, but generally is not expected to be material over time.

IV. NON-MONETARY COMPENSATION

Matrix Trust and MSCS Financial maintain policies that place limits on the circumstances under which gifts, travel and entertainment may be accepted by employees. Other than for modest gifts given or received in the normal course of business, employees are not permitted to receive gifts from clients and vendors. Under the 408(b)(2) regulation, a service provider's acceptance of these non-monetary items may involve the receipt of indirect compensation from a plan where the value attributable to the plan, on a pro rata basis, exceeds $250 over the term of the plan's contract with the service provider. In light of the policies, Matrix Trust does not anticipate that the value of any such non-monetary items will approach the $250 threshold with respect to the plan.

V. COMPLETING SCHEDULE C

You will need the following information to complete Schedule C:

Matrix Trust Company 717 17th Street, Suite 1300 Denver, CO 80202 Employer Identification Number: 75-3182674	MSCS Financial Services Division of Broadridge Business Process Outsourcing, LLC 717 17th Street, Suite 1300 Denver, CO 80202 Employer Identification Number: 33-1151291

For **Direct Compensation**, you will be required to provide the Matrix Trust address or Employer Identification Number.

For **Indirect Compensation**, Matrix Trust provided you with a formula so that you can report this arrangement as **Eligible Indirect Compensation**, and you will be required to enter the MSCS Financial and Matrix Trust names and Employer Identification Numbers or addresses.

If you have any questions regarding this information, please contact your Matrix Trust Company Relationship Manager.

These materials and any attachments do not constitute tax or legal advice. The recipient is encouraged to consult with an attorney or tax professional and not rely on these materials as tax advice or attempt to apply them to specific situations.

MATRIX TRUST COMPANY
FLOAT DISCLOSURE FOR EMPLOYEE BENEFIT PLANS

I. ADDITIONAL COMPENSATION ATTRIBUTABLE TO FLOAT

Matrix Trust Company ("**Matrix Trust**) may derive compensation from the use of cash held on behalf of client plans pending investment, distribution or other processing. This compensation is referred to as "float". The disclosures contained in the following paragraphs have been prepared in accordance with U.S. Department of Labor guidance contained in Field Assistance Bulletin 2002-3 concerning service provider float disclosure obligations to employee benefit plan customers.

In connection with Matrix Trust's provision of services to plan customers, Matrix Trust maintains various banking arrangements to facilitate movements of cash as necessary to process plan customer transactions, including arrangements with one or more banks. Under these arrangements, cash may be held in general or "omnibus" bank accounts established by or at the direction of Matrix Trust, pending investment, trade settlement, or the presentment of distribution checks for payment. These accounts generate float earnings for Matrix Trust. The proportionate share of those earnings attributable to the funds of a particular plan constitutes compensation that is paid by the plan and retained by Matrix Trust in connection with Matrix Trust's services and is in addition to any other fees or compensation payable under the service arrangement.

As of the date of this disclosure, Matrix Trust's only banking arrangements described above are with JPMorgan Chase Bank, N.A. and TD Bank, N.A. both of which are unaffiliated institutions to Matrix Trust.

The paragraphs below describe the specific circumstances under which float will be earned and retained, the time frames applicable to float earnings periods, and a general description of the rate of float earnings.

II. CIRCUMSTANCES AND TIME FRAMES DURING WHICH FLOAT MAY BE EARNED

A. Contributions

Plans direct cash contributions to Matrix Trust through a demand deposit account Matrix Trust maintains for that purpose. Matrix Trust credits the amount of a plan's cash contribution to the plan's Matrix Trust account ("**Client Account**") on the business day it is received. If the Client Account uses a cash sweep, the cash contribution is swept from the demand deposit account and invested on the plan's behalf on the next business day. Matrix Trust earns float on the cash contribution between the business day of deposit and the next business day. A "business day" is a day on which the New York Stock Exchange is open for business.

If a Client Account does not use a cash sweep, Matrix Trust earns float on the cash contribution from the business day of deposit until Matrix Trust receives investment instructions from the plan and the investment transaction settles. Upon Matrix Trust's receipt of investment instructions in good order, settlement of mutual fund trades generally occurs within one business day and settlement of individual securities trades (*i.e.,* stocks and bonds) generally occurs within three business days.

B. Purchases of Securities for the Client Account

When Matrix Trust receives instructions, in good order and in accordance with prescribed procedures, to purchase a security for a Client Account, Matrix Trust places the purchase trade order that same business day if the instructions are received prior to Matrix Trust trading cut-off times. If instructions are received after the Matrix Trust trading cut-off times, the purchase trade order is placed on the next business day.

When Matrix Trust settles a purchase trade order for a Client Account, Client Account assets required to pay for the purchase are transferred to a demand deposit account maintained by Matrix Trust on the trade settlement date. When a purchase trade order is cancelled or rejected, the funds previously set aside to pay for the purchase are re-credited to the Client Account and either invested through the cash sweep, if applicable, or retained in the deposit account pending other investment instructions, as described above.

C. Sales of Securities for the Client Account

When Matrix Trust receives instructions, in good order and in accordance with prescribed procedures, to sell a security for a Client Account, Matrix Trust places the sale trade order that same business day if the instructions

are received prior to Matrix Trust trading cut-off times. If instructions are received after the Matrix Trust trading cut-off times, the sale trade order will be placed on the next business day.

When Matrix Trust places or settles a sale trade for a Client Account, the Client Account receives the sales proceeds on the trade settlement date. If the Client Account does not use a sweep, Matrix Trust will earn float from the date Matrix Trust receives the sale settlement proceeds until Matrix Trust receives instructions to reinvest the sale proceeds as described above.

D. Payments from Client Accounts

Matrix Trust may earn float when it issues checks on behalf of plans including checks for (i) distributions to participants and/or beneficiaries, (ii) participant loan distributions, or (iii) fees paid to plan service providers. Matrix Trust does not earn float when payments or distributions are made by direct deposit (ACH) or by a federal funds wire transfer.

Matrix Trust will mail a distribution check to a plan participant or beneficiary on the payable date (the date printed on the check). On the same day, Matrix Trust debits the Client Account in the amount of the check. Matrix Trust will earn float on the amount of the check from the date the check is issued until the date the check is presented and paid.

Where Matrix Trust has been directed to establish periodic or recurring distribution checks, such payments are typically mailed to plan participants and beneficiaries prior to the payable date (for example, periodic payments are mailed six business days prior to the payable date). Matrix Trust debits the Client Account in the amount of the checks on the payable date. Matrix Trust is able to mail the checks before assets are withdrawn from the Client Account and sold because periodic distributions are of a predictable amount (generally a set dollar amount each payment cycle). Matrix Trust will only earn float on the amount of the check from the date the check is payable until the date check is presented and paid.

On a periodic basis, Matrix Trust will notify clients of outstanding periodic and lump sum distribution checks that Matrix Trust has issued. If an originally-issued check is reported lost or missing, Matrix Trust will re-issue the check upon receipt of direction from the client and/or other authorized party to instruct on the account. If the participant or beneficiary does not negotiate the check within a reasonable time, Matrix Trust reserves the right to re-credit (redeposit) the payment to the Client Account and to invest these funds at the direction of a Client Account fiduciary, or to disburse the funds as directed or otherwise in accordance with applicable law.

III. RATE ON FLOAT EARNINGS

The rate at which Matrix Trust earns float over the time periods described above is generally comparable to the effective Federal Funds Rate as reported in the Wall Street Journal over the applicable time frame.

TD Ameritrade
Institutional

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PAUL HASTINGS LLP DEFINED CONTRIBUTION
RETIREMENT PLAN
515 S FLOWER ST 25TH FL
LOS ANGELES CA 90071



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TD Ameritrade
Institutional

July 12, 2021

Dear Plan Sponsor,

Re: 2021 Supplemental Form 5500 Schedule C

As part of our ongoing effort to assist you in efficiently offering TD Ameritrade brokerage to your plan participants, we are enclosing the "Supplemental Form 5500 Schedule C" for your plan.

This information represents year-end fee disclosure information for your plan's brokerage assets held at TD Ameritrade for plan year 2021, or for the portion of 2021 that TD Ameritrade provided services to your plan.

The enclosed Schedule C summarizes the compensation paid to TD Ameritrade for services related to the self-directed brokerage accounts in your plan. Amounts paid from the plan may be reportable on the plan's annual Form 5500, Schedule C. Please refer to the instructions for Form 5500, Schedule C, to determine whether your plan is required to file the form and for additional details of what should be reported.

We appreciate the opportunity to provide brokerage services to your plan. If you have any questions or need additional information, please contact our Provider Services team at 866-423-2683, or ProviderServices@tdameritrade.com. The team is available to assist you from 9 am to 5:30 pm ET, Monday through Friday (excluding market holidays).

Sincerely,

John V. Tovar
Managing Director, Brokerage Services
TD Ameritrade Institutional

Have comments, feedback or suggestions?
Visit: www.research.net/r/SDPSReportSurvey

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TD Ameritrade
Institutional

Supplemental Form 5500 Information

Plan Name: PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN
Plan ID: S731PHA

Reporting Period: 6/1/2020 through 5/31/2021

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I. SCHEDULE C

000365 TDASDL01 002735

FORM 5500, SCHEDULE C

PLAN YEAR START DATE	PLAN YEAR END DATE
For **6/1/2020**	**5/31/2021**

This information summarizes the compensation paid to TD Ameritrade for services related to the self-directed brokerage accounts in your plan. Information provided is for the period shown above or for the portion of the plan year that TD Ameritrade provided services for your plan. Amounts paid from the plan may be reportable on the plan's annual Form 5500, Schedule C, if applicable. Please refer to the instructions for Form 5500, Schedule C to determine if your plan is required to file the form and for more details of what should be reported.

Direct Compensation

1. COMMISSIONS	1,201.05	
2. MISC FEES	1,454.77	
3. TRANSFER FEES	0.00	
4. TOTAL DIRECT COMPENSATION		**2,655.82**

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Direct Compensation From the Plan to Participant Advisors*

Participant Broker/Service Provider	EIN	Description	Compensation
INDEX FUNDS ADVISORS	33-0849533	Management Fee	2,404.60
FRONTIER ASSET MANAGEMENT, LLC	83-0331917	Management Fee	4,063.28
CHICAGO PARTNERS INVSTMT GRP	26-2835792	Management Fee	3,123.00
SHARIAPORTFOLIO INC	47-2224652	Management Fee	1,381.58
PINE VALLEY INVESTMENTS LLC	47-2010858	Management Fee	2,809.00

*Participant Advisors are those to whom fees have been paid from self-directed brokerage accounts in your plan at TD Ameritrade during the year, at the specific direction of the participant or other authorized party. Such fees reported may not represent all amounts that were paid by the plan to these providers. If available, the Employer Identification Numbers ("EINs") listed are those that were provided to us at the time of payment. You may wish to contact these providers for further information regarding compensation with respect to your plan, their services and fee schedules.

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Indirect Compensation

Mutual Fund Revenue

If a participant invests in one or more mutual funds, the following information applies to those investments. TD Ameritrade receives fees for marketing and distribution, recordkeeping, subaccounting, sub-transfer agency, and/or shareholder servicing services with respect to mutual funds in which the plan invests. These fees may be paid by the fund, by the fund's distributor, transfer agent, administrator, or investment advisor (Fund Affiliate), by a third-party fund service provider, or by a combination of them, pursuant to TD Ameritrade, Inc.'s and/or TD Ameritrade Clearing, Inc.'s agreement with the particular fund, Fund Affiliate, and/or by a by a third-party fund service provider.

The amount of these fees may vary among mutual fund groups, and some mutual funds and Fund Affiliates do not make some or any of these payments available. The maximum amount of marketing and distribution fees that maybe paid to TD Ameritrade depends on the class of shares held by the plan but will not exceed the annual rate of 1.00% of the value of the plan's shares. Typically, shares held by a plan are subject to distribution and/or shareholder servicing fees that will not exceed the annual rate of 0.25% to 0.40%. Fees paid to TD Ameritrade for TD Ameritrade, Inc. and TD Ameritrade Clearing, Inc.'s subaccounting, sub-transfer agency, or similar recordkeeping services may be based on the number of plan accounts (and range from $3.00 to $19.00 per account) or on the assets in the plan accounts (and range from an annual rate of 0.10% to 0.45%), depending on the agreement with the particular fund or Fund Affiliate. Funds may also be subject to a monthly minimum service fee payable to TD Ameritrade, Inc. of $300.

To the extent that fees for marketing and distribution, recordkeeping, subaccounting, sub-transfer agency, and/or shareholder servicing services are paid out of fund assets, they are included in the fund's annual expense ratio and are indirectly borne by the fund's shareholders. For more information regarding such payments made by a particular mutual fund or its Fund Affiliate and details on the particular services to which the payments relate, please go to the fund's website and review its prospectus and statement of additional information or request a copy of these documents from the fund.

In addition to fees paid from fund assets, TD Ameritrade may receive fees for these services from Fund Affiliates and/or third-party fund service providers. These fees may be paid out of the resources of the Fund Affiliate. This compensation may be at different annual rates up to a generally maximum annual rate of 0.15% on plan assets invested in the fund.

Fees paid by a mutual fund, Fund Affiliate, and/or third-party fund service provider are paid through TD Ameritrade Clearing, Inc., who collects these fees on behalf of TD Ameritrade, Inc.

These mutual funds are shown in the table below:

Fund Name	EIN
AIM INTERNATIONAL MUTUAL FUNDS (INVESCO	76-0352823
ALGER FUNDS II	04-2451826
AMERICAN CENTURY MUTUAL FUNDS INC	44-6006315
CARILLON SERIES TRUST	59-1237041
COHEN & STEERS REALTY SHARES INC	14-1904657
COLUMBIA FUNDS SERIES TRUST	94-1687665
COLUMBIA FUNDS SERIES TRUST I	36-3376651
DFA INVESTMENT DIMENSIONS GROUP INC	36-3129984
DIMENSIONAL INVESTMENT GROUP INC	22-2370029

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Fund Name	EIN
DOUBLELINE FUNDS TRUST	NOT AVAILABLE
EUROPACIFIC GROWTH FUND	95-1411037
FIDELITY ADVISOR SERIES VIII	06-1194217
FIDELITY CONTRAFUND	06-1194217
FIDELITY SALEM STREET TRUST	06-1194217
FIDELITY SELECT PORTFOLIOS	06-1194217
FIRST EAGLE FUND	13-3392291
FORUM FUNDS II	NOT AVAILABLE
FRANKLIN STRATEGIC SERIES	13-3151768
GOLDMAN SACHS TRUST	46-5215217
HARBOR FUNDS	34-1953393
HARRIS ASSOCIATES INVESTMENT TRUST	52-2257782
HARTFORD MUTUAL FUNDS INC/CT	22-2937447
INCOME FUND OF AMERICA	90-0924512
JANUS INVESTMENT FUND	84-0592523
JP MORGAN TRUST II	26-3613641
LOOMIS SAYLES FUNDS I	04-3219179
MARSICO INVESTMENT FUND	NOT AVAILABLE
MATTHEWS INTERNATIONAL FUNDS	94-3250972
MERGER FUND	14-6117490
METROPOLITAN WEST FUNDS	95-4597302
NEW PERSPECTIVE FUND	95-2817150
NEW WORLD FUND INC	90-0924512
PIMCO FUNDS	95-2632339
PROFESSIONALLY MANAGED PORTFOLIOS	56-6415270
PROFUNDS	52-2035197
SCHWAB CAPITAL TRUST	94-3106735
SSGA FUNDS	42-1704580
STERLING CAPITAL FUNDS	NOT AVAILABLE
T ROWE PRICE INTERNATIONAL FUNDS INC	52-1175211
T ROWE PRICE SMALL-CAP VALUE FUND INC	52-1575325
T. ROWE PRICE BLUE CHIP GROWTH FUND, INC	52-1827505
TRANSAMERICA FUNDS	94-0932740
VANGUARD BOND INDEX FUNDS	23-1945930
VANGUARD INDEX FUNDS	23-1999755
VANGUARD INTERNATIONAL EQUITY INDEX FUND	23-1945930
VANGUARD MONEY MARKET RESERVES	23-6607979
VANGUARD SPECIALIZED FUNDS	23-1945930
VANGUARD TAX-MANAGED FUNDS	23-1945930

For additional information on direct and indirect compensation,
please see the TD Ameritrade 408(b)(2) disclosure available at the following link:
https://www.tdameritrade.com/retail-en_us/resources/pdf/AMTD035.pdf

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SCHEDULE H, LINE 4i, SCHEDULE OF ASSETS (HELD AT END OF YEAR)*

MAY 31, 2021

*See attached financial statements with auditor's report.