

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 <div style="text-align: center; font-size: 1.2em; font-weight: bold;">2020</div> This Form is Open to Public Inspection
---	---	--

Part I	Annual Report Identification Information
For calendar plan year 2020 or fiscal plan year beginning <u>10/01/2020</u> and ending <u>09/30/2021</u>	
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)

Part II	Basic Plan Information —enter all requested information				
1a Name of plan <u>ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>003</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>10/01/2007</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>003</u>	1c Effective date of plan <u>10/01/2007</u>	
1b Three-digit plan number (PN) ▶	<u>003</u>				
1c Effective date of plan <u>10/01/2007</u>					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>ALLISON TRANSMISSION, INC.</u> <u>ONE ALLISON WAY</u> <u>INDIANAPOLIS, IN 46222-3271</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>26-0413897</u></td> </tr> <tr> <td>2c Plan Sponsor's telephone number <u>317-242-3561</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>336300</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>26-0413897</u>	2c Plan Sponsor's telephone number <u>317-242-3561</u>	2d Business code (see instructions) <u>336300</u>	
2b Employer Identification Number (EIN) <u>26-0413897</u>					
2c Plan Sponsor's telephone number <u>317-242-3561</u>					
2d Business code (see instructions) <u>336300</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	07/13/2022	DOUG R. BOLTON
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2020)
v. 200204

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN	
		3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN	
5 Total number of participants at the beginning of the plan year		5	757
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).			
a(1) Total number of active participants at the beginning of the plan year.....		6a(1)	263
a(2) Total number of active participants at the end of the plan year		6a(2)	246
b Retired or separated participants receiving benefits.....		6b	348
c Other retired or separated participants entitled to future benefits		6c	117
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d	711
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e	17
f Total. Add lines 6d and 6e		6f	728
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 1A 1C 3H			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☒ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ <u>0</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2020 Form M-1 annual report. If the plan was not required to file the 2020 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

<div>SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Single-Employer Defined Benefit Plan Actuarial Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500 or 5500-SF.</div>	<div>OMB No. 1210-0110</div> <div>2020</div> <div>This Form is Open to Public Inspection</div>
--	---	--

For calendar plan year 2020 or fiscal plan year beginning	10/01/2020	and ending	09/30/2021
▶ Round off amounts to nearest dollar.			
▶ Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.			

A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES	B Three-digit plan number (PN) ▶ 003
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF ALLISON TRANSMISSION, INC.	D Employer Identification Number (EIN) 26-0413897

E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500
--	--

Part I	Basic Information			
1	Enter the valuation date: Month 10 Day 01 Year 2020			
2	Assets:			
a	Market value	2a	113721399	
b	Actuarial value	2b	113721399	
3	Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a	For retired participants and beneficiaries receiving payment.....	354	30783554	30783554
b	For terminated vested participants.....	140	8260622	8260622
c	For active participants	263	32642895	32764825
d	Total.....	757	71687071	71809001
4	If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐			
a	Funding target disregarding prescribed at-risk assumptions	4a		
b	Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor.....	4b		
5	Effective interest rate	5	5.80 %	
6	Target normal cost.....	6	2684358	

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

<div>SIGN HERE</div>	<div>Signature of actuary</div> <div>DAVID L. JAKES, FSA, EA, MAAA</div> <div>Type or print name of actuary</div> <div>MERCER</div> <div>Firm name</div> <div>325 JOHN H MCCONNELL BLVD SUITE 350 COLUMBUS, OH 43215</div> <div>Address of the firm</div>	<div>06/28/2022</div> <div>Date</div> <div>20-05110</div> <div>Most recent enrollment number</div> <div>614-227-5555</div> <div>Telephone number (including area code)</div>
--------------------------	---	--

Part II Beginning of Year Carryover and Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9 Amount remaining (line 7 minus line 8)	0	0
10 Interest on line 9 using prior year's actual return of <u>11.30</u> %	0	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		0
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>5.62</u> %		0
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		
c Total available at beginning of current plan year to add to prefunding balance		0
d Portion of (c) to be added to prefunding balance		
12 Other reductions in balances due to elections or deemed elections	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	0

Part III Funding Percentages

14 Funding target attainment percentage	14	158.36 %
15 Adjusted funding target attainment percentage	15	158.63 %
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	152.23 %
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
05/20/2022	1300000	0			
Totals ▶			18(b)	1300000	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	1185663

20 Quarterly contributions and liquidity shortfalls:

- a** Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No
- b** If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No
- c** If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost**21** Discount rate:**a** Segment rates:1st segment:
4.75 %2nd segment:
5.50 %3rd segment:
6.27 %☐ N/A, full yield curve used**b** Applicable month (enter code)**21b**

0

22 Weighted average retirement age**22**

61

23 Mortality table(s) (see instructions) ☐ Prescribed - combined☒ Prescribed - separate☐ Substitute**Part VI Miscellaneous Items****24** Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No**25** Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No**26** Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No**27** If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment. **27****Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years****28** Unpaid minimum required contributions for all prior years **28** 0**29** Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a) **29** 0**30** Remaining amount of unpaid minimum required contributions (line 28 minus line 29) **30** 0**Part VIII Minimum Required Contribution For Current Year****31** Target normal cost and excess assets (see instructions):**a** Target normal cost (line 6) **31a** 2684358**b** Excess assets, if applicable, but not greater than line 31a **31b** 2684358**32** Amortization installments:**a** Net shortfall amortization installment Outstanding Balance 0 Installment 0**b** Waiver amortization installment 0 0**33** If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount **33****34** Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33) **34** 0**35** Balances elected for use to offset funding requirement Carryover balance 0 Prefunding balance 0 Total balance 0**36** Additional cash requirement (line 34 minus line 35) **36** 0**37** Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c) **37** 1185663**38** Present value of excess contributions for current year (see instructions)**a** Total (excess, if any, of line 37 over line 36) **38a** 1185663**b** Portion included in line 38a attributable to use of prefunding and funding standard carryover balances **38b****39** Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37) **39** 0**40** Unpaid minimum required contributions for all years **40** 0**Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)****41** If an election was made to use PRA 2010 funding relief for this plan:**a** Schedule elected ☐ 2 plus 7 years ☐ 15 years**b** Eligible plan year(s) for which the election in line 41a was made ☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2020
		This Form is Open to Public Inspection.

For calendar plan year 2020 or fiscal plan year beginning 10/01/2020 and ending 09/30/2021	
A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES	B Three-digit plan number (PN) ▶ 003
C Plan sponsor's name as shown on line 2a of Form 5500 ALLISON TRANSMISSION, INC.	D Employer Identification Number (EIN) 26-0413897

Part I	Service Provider Information (see instructions)
---------------	--

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
AMERICAN CENTURY INVESTMENT MGMT
44-0640487

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
AMERICAN CENTURY INVESTMENT SVCS
43-0821857

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
EATON VANCE MANAGEMENT (EVM)
TWO INTERNATIONAL PLACE BOSTON, MA 02110

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
HARTFORD FUNDS MANAGEMENT COMPANY
46-1470670

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PIMCO

33-0629048

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

DIMENSIONAL FUND ADVISORS LP

30-0447847

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

SLC MANAGEMENT

68-0635051

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	176937	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WELLS FARGO BANK NA

94-1347393

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 15	NONE	73298	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

CAPFINANCIAL PARTNERS, LLC

26-0058143

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	38093	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WELLS FARGO FUNDS MANAGEMENT, LLC

71-0828842

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

PRINCIPAL GLOBAL INVESTORS

711 HIGH STREET
DES MOINES, IA 50392

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

BLACKSTONE ALTERNATIVE INV ADVISORS

345 PARK AVENUE, 28TH FLOOR
NEW YORK, NY 10154

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
WELLS FARGO FUNDS MANAGEMENT, INC.	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WELLS FARGO TREASURY PLUS MONEY MKT 71-0828842	0.20% INVESTMENT MANAGEMENT FEE	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
PRINCIPAL GLOBAL INVESTORS	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRINCIPAL REAL ESTATE SECURITIES 711 HIGH STREET DES MOINES, IA 50392	0.86% INVESTMENT MANAGEMENT FEE	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
BLACKSTONE ALTERNATIVE INV ADVISORS	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKSTONE ALTERNATIVE MULTI-STRAT 345 PARK AVENUE, 28TH FLOOR NEW YORK, NY 10154	2.79% INVESTMENT MANAGEMENT FEE	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2020 This Form is Open to Public Inspection.
---	--	---

For calendar plan year 2020 or fiscal plan year beginning 10/01/2020 and ending 09/30/2021

A Name of plan <u>ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</u>	B Three-digit plan number (PN) ►	<u>003</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>ALLISON TRANSMISSION, INC.</u>	D Employer Identification Number (EIN) <u>26-0413897</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
---------------	--

a Name of MTIA, CCT, PSA, or 103-12 IE: <u>IRT MIRRORED HOLDING</u>		
b Name of sponsor of entity listed in (a): <u>NORTHERN TRUST INVESTMENTS, INC.</u>		
c EIN-PN <u>26-0413897-001</u>	d Entity code <u>P</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>3935953</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>CASH</u>		
b Name of sponsor of entity listed in (a): <u>NORTHERN TRUST INVESTMENTS, INC.</u>		
c EIN-PN <u>26-0413897-002</u>	d Entity code <u>P</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>23</u>
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2020 This Form is Open to Public Inspection
For calendar plan year 2020 or fiscal plan year beginning 10/01/2020 and ending 09/30/2021		
A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES	B Three-digit plan number (PN) ►	003
C Plan sponsor's name as shown on line 2a of Form 5500 ALLISON TRANSMISSION, INC.	D Employer Identification Number (EIN) 26-0413897	

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a	0	0
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	0	1300000
(2) Participant contributions.....	1b(2)		
(3) Other	1b(3)	613154	520404
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	2880908	2455043
(2) U.S. Government securities	1c(2)	24924521	20392856
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	58750095	52790304
(B) All other	1c(3)(B)	9453260	10398579
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)	4584116	3935976
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	11612382	11159300
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)	902963	863855

		(a) Beginning of Year	(b) End of Year
1d Employer-related investments:			
(1) Employer securities.....	1d(1)		
(2) Employer real property.....	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	113721399	103816317

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness.....	1i		
j Other liabilities.....	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	113721399	103816317
---	-----------	-----------	-----------

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	1300000	
(B) Participants	2a(1)(B)	0	
(C) Others (including rollovers).....	2a(1)(C)	0	
(2) Noncash contributions	2a(2)	0	
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		1300000
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	210	
(B) U.S. Government securities	2b(1)(B)	761216	
(C) Corporate debt instruments	2b(1)(C)	1960157	
(D) Loans (other than to participants)	2b(1)(D)	0	
(E) Participant loans.....	2b(1)(E)	0	
(F) Other	2b(1)(F)	165001	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		2886584
(2) Dividends: (A) Preferred stock.....	2b(2)(A)	0	
(B) Common stock	2b(2)(B)	0	
(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	379566	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		379566
(3) Rents	2b(3)		0
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	69684091	
(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	70796434	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		-1112343
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	0	
(B) Other	2b(5)(B)	-4549851	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		-4549851

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		1231859
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		2593399
c Other income	2c		38525
d Total income. Add all income amounts in column (b) and enter total.....	2d		2767739

Expenses**e** Benefit payment and payments to provide benefits:

(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	12321615	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other.....	2e(3)	0	
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		12321615
f Corrective distributions (see instructions)	2f		0
g Certain deemed distributions of participant loans (see instructions).....	2g		0
h Interest expense.....	2h		0
i Administrative expenses: (1) Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	73298	
(3) Investment advisory and management fees	2i(3)	215077	
(4) Other.....	2i(4)	62831	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		351206
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		12672821

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		-9905082
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **FORVIS, LLP**

(2) EIN: **44-0160260**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)	X		
4j	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			
5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☒ No If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.			
5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)			
5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)	
5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☒ Yes ☐ No ☐ Not determined If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year <u>420594</u> .			

<div>SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Retirement Plan Information</div> <div>This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500.</div>	<div>OMB No. 1210-0110</div> <div>2020</div> <div>This Form is Open to Public Inspection.</div>
---	--	---

For calendar plan year 2020 or fiscal plan year beginning 10/01/2020 and ending 09/30/2021

<div>A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</div>	<div>B Three-digit plan number (PN) ▶ 003</div>
<div>C Plan sponsor's name as shown on line 2a of Form 5500 ALLISON TRANSMISSION, INC.</div>	<div>D Employer Identification Number (EIN) 26-0413897</div>

Part I	Distributions
--------	---------------

All references to distributions relate only to payments of benefits during the plan year.

1	Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	0
2	Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 41-6257133		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.			
3	Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	29

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
---------	--

4	Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☒ N/A
If the plan is a defined benefit plan, go to line 8.				
5	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6	a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
	b Enter the amount contributed by the employer to the plan for this plan year	6b		
	c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....	6c		
If you completed line 6c, skip lines 8 and 9.				
7	Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8	If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☒ N/A

Part III	Amendments
----------	------------

9	If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☒ No
---	---	-----------------------------------	-----------------------------------	-------------------------------	--

Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
---------	--

10	Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11	a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
	b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12	Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2020
v. 200204

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:	14a	
a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....	14b	
b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....	14c	
c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....		
15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:		
a The corresponding number for the plan year immediately preceding the current plan year.....	15a	
b The corresponding number for the second preceding plan year.....	15b	
16 Information with respect to any employers who withdrew from the plan during the preceding plan year:		
a Enter the number of employers who withdrew during the preceding plan year.....	16a	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....	16b	
17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐		

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐
- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)
- a** Enter the percentage of plan assets held as:
 Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%
- b** Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more
- c** What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____
- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.
- a** Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☒ No
- b** If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:
- ☐ Yes.
- ☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.
- ☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.
- ☐ No. Other. Provide explanation _____

**Allison Transmission Retirement Program for
Salaried Employees**

EIN 26-0413897 PN 003

Independent Auditor's Report and Financial Statements

September 30, 2021 and 2020

**Allison Transmission Retirement Program for
Salaried Employees**
September 30, 2021 and 2020

Contents

Independent Auditor's Report.....	1
--	----------

Financial Statements

Statements of Net Assets Available for Benefits.....	5
Statements of Changes in Net Assets Available for Benefits.....	6
Statement of Accumulated Plan Benefits and Changes in Accumulated Plan Benefits.....	7
Notes to Financial Statements	8

Supplemental Schedules

Schedule H, Line 4i - Schedule of Assets (Held at End of Year).....	18
Schedule H, Line 4j - Schedule of Reportable Transactions	22

Independent Auditor's Report

Plan Administrator
Allison Transmission Retirement Program for
Salaried Employees
Indianapolis, Indiana

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit for the 2021 Financial Statements

We have performed an audit of the financial statements of Allison Transmission Retirement Program for Salaried Employees (the Plan), an employee benefit plan subject to the *Employee Retirement Income Security Act of 1974* (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statement of net assets available for benefits as of September 30, 2021, and the related statement of changes in net assets available for benefits for the year then ended, and the statement of accumulated plan benefits as of October 1, 2020 and the related statement of changes in accumulated plan benefits for the year ended, and the related notes to the financial statements (2021 Financial Statements).

Management, having determined it is permissible in the circumstances, has elected to have the audit of the 2021 financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from a qualified institution as of and for the year ended September 30, 2021, stating that the certified investment information, as described in Note 3 to the financial statements, is complete and accurate.

Opinion on the 2021 Financial Statements

In our opinion, based on our audit and on the procedures performed as described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section:

- The amounts and disclosures in the accompanying 2021 financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- The information in the accompanying 2021 financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion on the 2021 Financial Statements

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the "Auditor's Responsibilities for the Audit of the 2021 Financial Statements" section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the 2021 Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern within one year after the date that these financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the plan, and determining that the plan's transactions that are presented and disclosed in the financial statements are in conformity with the plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the 2021 Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit of the 2021 Financial Statements section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if, there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.

- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Other Matters

2021 Supplemental Schedules Required by ERISA

The supplemental schedules listed in the table of contents are presented for purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedules, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedules that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, other than the information agreed to or derived from the certified investment information, including their form and content, are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion:

- The form and content of the supplemental schedules, other than the information in the supplemental schedules that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- The information in the supplemental schedules related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Auditor's Report on the 2020 Financial Statements

We were engaged to audit the 2020 financial statements of Allison Transmission Retirement Program for Salaried Employees. As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA, the Plan Administrator instructed us not to perform and we did not perform any auditing procedures with respect to the information certified by a qualified institution. In our report dated July 6, 2021, we indicated that (a) because of the significance of the information that we did not audit, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, we did not express an opinion on the 2020 financial statements, and (b) the form and content of the information included in the 2020 financial statements other than that derived from the certified information were presented in compliance with the Department of Labor's Rules and Regulations under ERISA.

FORVIS,LLP

Indianapolis, Indiana
July 11, 2022

Federal Employer Identification Number: 44-0160260

Allison Transmission Retirement Program for Salaried Employees

Statements of Net Assets Available for Benefits September 30, 2021 and 2020

Assets

	2021	2020
Investments, at fair value	\$ 101,995,912	\$ 113,108,245
Receivables		
Employer contributions	1,300,000	-
Accrued interest income	520,404	613,154
	<u>1,820,404</u>	<u>613,154</u>
Net Assets Available for Benefits	<u>\$ 103,816,316</u>	<u>\$ 113,721,399</u>

Allison Transmission Retirement Program for Salaried Employees

Statements of Changes in Net Assets Available for Benefits Years Ended September 30, 2021 and 2020

	2021	2020
Additions		
Investment Income (Loss)		
Net appreciation (depreciation) in fair value of investments	\$ (1,836,936)	\$ 6,410,008
Interest and dividends	3,298,069	5,840,850
Other income	-	25
Net investment income	1,461,133	12,250,883
Employer Contributions	1,300,000	-
Total additions	2,761,133	12,250,883
Deductions		
Benefits paid to participants	12,321,615	9,638,325
Administrative expenses	281,770	290,566
Pension Benefit Guaranty Corporation premiums	62,831	63,536
Total deductions	12,666,216	9,992,427
Net Increase (Decrease)	(9,905,083)	2,258,456
Net Assets Available for Benefits, Beginning of Year	113,721,399	111,462,943
Net Assets Available for Benefits, End of Year	\$ 103,816,316	\$ 113,721,399

Allison Transmission Retirement Program for Salaried Employees

Statement of Accumulated Plan Benefits and Changes in Accumulated Plan Benefits October 1, 2020

Statement of Accumulated Plan Benefits

	October 1, 2020
Vested benefits	
Participants and beneficiaries currently receiving payments	\$ 37,347,677
Other participants	63,428,166
	100,775,843
Nonvested benefits	-
Total actuarial present value of accumulated plan benefits	\$ 100,775,843

Statement of Changes in Accumulated Plan Benefits

	Year Ended October 1, 2020
Actuarial present value of accumulated plan benefits, beginning of year	\$ 93,673,926
Increase during the year and period attributable to	
Benefits accumulated	4,755,405
Increase for interest due to the decrease in the discount period	3,538,127
Benefits paid	(9,638,325)
Change in actuarial assumptions (interest, mortality, certain lump sum conversion factors and changes in form of payment assumptions)	
Net increase	8,446,710
	7,101,917
Actuarial present value of accumulated plan benefits, end of year	\$ 100,775,843

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements

September 30, 2021 and 2020

Note 1: Description of the Plan

The following description of the Plan provides only general information. Additional information about the vesting and benefit provisions and the Pension Benefit Guaranty Corporation's (PBGC) benefit guarantee is contained in the Plan Document and *Summary Plan Description*, which are available from the Plan Administrator. Any inconsistencies between the Plan Document and the *Summary Plan Description* would be governed by the Plan Document.

General

The Plan was established October 1, 2007 (Effective Date) as a result of the spin-off of Allison Transmission, Inc. (Company) from General Motors Corporation (GM). The Plan is frozen as to participation as of the Effective Date, and no employee other than a participant on the Effective Date shall be eligible to be covered under the Plan.

The Plan defines two types of participants, Part A and Part C. Part A participants are those participants who had a hire date with GM prior to January 1, 2001, who became a salaried employee of the Company on August 7, 2007, were an active participant under the General Motors Retirement Program for Salaried Employees before August 7, 2007, and are a salaried employee immediately prior to retirement or termination. Part C participants are those participants who had a hire date with GM on or after January 1, 2001 and before January 1, 2007, were an active participant under the General Motors Retirement Program for Salaried Employees before August 7, 2007, and who, prior to January 1, 2007, accrued a benefit under Part C of the General Motors Retirement Program for Salaried Employees (GM Plan) and were not vested in such benefits as of August 7, 2007 and became a salaried employee of the Company on August 7, 2007, and are a salaried employee. It was the intent of the Company that the Plan generally contain the same provisions as the GM Plan, at the time of spin-off from GM, such that employees who transferred from GM to the Company would maintain the same benefit, in aggregate, that would have been provided had the spin-off not occurred and the employees had continued working for GM.

The Plan is a noncontributory defined-benefit retirement plan for eligible salaried employees. The Plan is subject to provisions of the *Employee Retirement Income Security Act of 1974* (ERISA). Wells Fargo Bank, N.A. (Wells Fargo) is the trustee of the Plan.

Contributions

The Company has agreed to contribute such additional amounts as are necessary to provide assets sufficient to meet the benefits to be paid to Plan participants. The Company's present intention is to make contributions in amounts sufficient to annually fund the Plan's current service cost and the initial past-service costs plus interest on them over a period of seven years. The Plan has met the minimum funding requirements established by the federal government.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2021 and 2020

Pension Benefits

The monthly benefit under the Plan for Part A participants is equal to (1) 1.25% multiplied by the employee's average monthly base salary for each year of active participation during which the Part A participant's "home country" under the Company's Global Expatriate Program is the United States, plus (2)(a) for Part A participants and Part A participants, Addendum B: 1.25% multiplied by the employee's base salary from August 7, 2007 through October 1, 2007, divided by twelve, or (b) for Part A Addendum A participants - 1.25% multiplied by the employee's base salary from August 7, 2007 through September 30, 2007 divided by the number of months worked in that period. Effective January 1, 2008, enhanced variable pay (iComp) is included in the calculation of base salary. Participants are entitled to annual pension benefits beginning at the later of (1) normal retirement age (65) or (2) the 5th anniversary of the date the participant began participation in the GM Plan.

The Plan permits the following early retirement options: (1) an employee who has attained age 55 but not age 65, and who has 10 or more years of credited service, or (2) an employee who has a hire date with GM prior to January 1, 1988, who has not attained age 55, and has 30 or more years of credited service (including service with GM). In addition, participants who are totally and permanently disabled prior to attaining age 65 and who have at least 10 years of credited service (including service with GM) are eligible for a disability pension, payable without an age reduction for early commencement. Late retirement is also allowed.

Several optional forms of benefit payments are available to participants including a life annuity, a joint and 50% survivor annuity, a joint and 65% survivor annuity, or a contingent annuity in 5% increments up to 100% of the participant's monthly basic benefit. Payments made in any form other than a life annuity are actuarially adjusted for the option selected.

Effective January 1, 2016, a Part A participant or surviving spouse who has commenced benefits under the Plan on or after January 1, 2016 may elect, in lieu of the monthly basic benefit payment, to receive a lump-sum benefit payment equal to the present actuarial value as defined by the Plan.

Cash Balance Benefits

As of October 2, 2007, an account balance was established for Part C participants, which equaled the sum of pay and interest credits from Part C of the GM Plan as of July 31, 2007, plus interest credits as of August 31, 2007 and September 30, 2007 and monthly interest credits as of the end of each month thereafter (starting on October 31, 2007). Interest credits equal the account balance at the end of the previous month times the monthly interest credit percentage (or 0.2669% monthly). Interest credits for a month are determined by applying the applicable interest credit percentage for the month to the participant's account balance as of the beginning of the month. The applicable interest credit percentage for a month during a Plan year is the monthly equivalent of the annual interest credit percentage for the Plan year.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2021 and 2020

Participants are entitled to monthly pension benefits beginning on the earlier of (1) the first day of the month on or after the participant's attainment of normal retirement age (the later of age 65 or the 5th anniversary of beginning participation in the GM Plan) or (2) the first day of the month following participant's termination of employment and the Plan Administrator's receipt of a request from the Part C participant. Late retirement is also allowed. The Plan allows for a life annuity, a joint and 50% survivor annuity, a joint and 75% survivor annuity, or a lump-sum distribution. If the value of a participant's account is at or below \$5,000, the benefit will be paid as a lump-sum. If the account value is between \$1,000 - \$5,000 and the participant does not request a direct payment or rollover, it will be automatically rolled over to an IRA.

Death Benefits

If a participant is vested and dies before benefits begin and has been married for at least one year, a participant's spouse may elect to receive (1) a monthly benefit for life with the same actuarial value as the participant's account or (2) a single lump-sum equal to the participant's vested account balance.

Vesting

A participant is vested upon the earlier of (1) age 65 (or for Part C benefits - the later of age 65 or a participant's 5th anniversary in the GM Plan) or (2) completion of three years of vesting service.

Plan Termination

In the event the Plan terminates, the net assets of the Plan will be allocated, as prescribed by ERISA and its related regulations, generally to provide the following benefits in the order indicated:

- a. Annuity benefits that former employees or their beneficiaries have been receiving for at least three years, or that employees eligible to retire for that three-year period would have been receiving if they had retired with benefits in the normal form of annuity under the Plan. The priority amount is limited to the lowest benefit that was payable (or would have been payable) during those three years. The amount is further limited to the lowest benefit that would be payable under plan provisions in effect at any time during the five years preceding plan termination.
- b. Other vested benefits insured by the Pension Benefit Guaranty Corporation (PBGC) up to the applicable limitations discussed below.
- c. Vested benefits not insured by the PBGC.
- d. All nonvested benefits.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2021 and 2020

Certain benefits under the Plan are insured by the PBGC if the Plan terminates. Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination. However, there is a statutory ceiling, which is adjusted periodically, on the amount of an individual's monthly benefit that the PBGC guarantees.

Whether all participants receive their benefits should the Plan terminate at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide for accumulated benefit obligations and may also depend on the financial condition of the Plan Sponsor and the level of benefits guaranteed by the PBGC. Any residual assets of the Plan will be distributed to the Company if all liabilities of the Plan to its participants and beneficiaries have been satisfied and the distribution does not contravene any provision of law.

Reclassifications

Certain reclassifications have been made to the 2020 financial statements to conform to the 2021 financial statement presentation. These reclassifications had no effect on changes in net assets available for benefits.

Subsequent Events

Wells Fargo Bank, N.A. was acquired by Principal Financial Custody Solutions and as a result, during February 2022, all Plan assets were transferred to the Principal Financial Custody Solutions platform.

Subsequent events have been evaluated through July 11, 2022, which is the date the financial statements were available to be issued.

Note 2: Summary of Significant Accounting Policies

Method of Accounting

The accompanying financial statements are prepared on the accrual method of accounting.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of net assets and changes in net assets available for benefits, disclosure of contingent assets and liabilities and the actuarial present value of accumulated plan benefits at the date of the financial statements. Actual results could differ from those estimates.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2021 and 2020

Valuation of Investments and Income Recognition

The money market fund is reported at fair value, which approximates its cost basis. Bonds and notes are stated at fair value. Securities, such as common stocks and U.S. Government securities, traded on a national securities exchange are valued at the last reported sales price on the last business day of the Plan year. Corporate bonds and notes are valued on the basis of yields currently available on comparable securities of issuers with similar credit ratings. Mutual funds are valued at the net asset value (NAV) of shares held by the Plan at year end. The fair value of the Plan's interest in the collective trusts are valued based on information reported by the investment trust advisor at year-end. Purchases and sale of securities are recorded on a trade-date basis. Interest income is recorded on an accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Payment of Benefits

Benefit payments to participants are recorded upon distribution.

Administrative Expenses

Administrative expenses may be paid by the employer or the Plan, at the employer's discretion.

Accumulated Plan Benefits

Accumulated plan benefits (see Statements of Accumulated Plan Benefits, Statements of Changes in Accumulated Plan Benefits and Note 4) are those estimated future periodic payments, including lump-sum distributions, which are attributable under the Plan's provisions to the service employees have rendered. Accumulated plan benefits include benefits expected to be paid to:

- a. Retired or terminated employees or their beneficiaries
- b. Present employees or their beneficiaries

Benefits under the Plan are based on employees' credited service. Benefits payable under all circumstances — retirement, death, disability and termination of employment — are included, to the extent they are deemed attributable to employee service rendered to the valuation date. Benefits to be provided via annuity contracts excluded from Plan assets are excluded from accumulated plan benefits.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2021 and 2020

Plan Tax Status

The Plan obtained its latest determination letter on April 16, 2014, in which the Internal Revenue Service (IRS) stated that the Plan and related trust, as then designed, were in compliance with the applicable requirements of the Internal Revenue Code and therefore not subject to tax. The Plan has been amended since receiving the determination letter. However, the Plan Administrator believes that the Plan and related trust are currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code.

Note 3: Certification of Plan Trustee

The Plan Administrator has elected the method of annual reporting compliance permitted by ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, Wells Fargo, a qualified institution, has certified the following information included in the accompanying financial statements and ERISA-required supplemental schedules is complete and accurate:

- Investments and accrued interest income as shown in the statements of net assets available for benefits as of September 30, 2021 and 2020
- Investment income (loss) as shown in the statements of changes in net assets available for benefits for the years ended September 30, 2021 and 2020
- Investment information included in the accompanying schedule of assets (held at year end) as of September 30, 2021 and schedule of reportable transactions for the year ended September 30, 2021

The Plan's independent auditors did not perform auditing procedures with respect to this certified investment information, except for comparing such information to the related information included in the financial statements and ERISA-required supplemental schedules.

Note 4: Accumulated Plan Benefits

An actuary from Mercer determines the actuarial present value of accumulated plan benefits as of the beginning of the Plan year, which is the amount that results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements such as for death, disability, withdrawal or retirement) between the valuation date and the expected date of payment.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements

September 30, 2021 and 2020

Significant assumptions underlying the actuarial computations are:

Assumed rate of return of investments	3.7 percent, compounded annually
Mortality basis	Pri-2012 Private Retirement Plans Mortality Tables for employees and annuitants with white collar adjustment and projected generational mortality improvements according to MSS-2019 for healthy and disabled lives
Retirement age	Varies by age and service
	Age 65 for deferred vested participants
	Average age of retirees in pay status is age 69
Asset valuation	Market

Plan contributions and the actuarial present value of accumulated plan benefits are prepared based on certain assumptions pertaining to interest rates, inflation rates and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimation and assumption process, it is at least reasonably possible that changes in these estimates and assumptions in the near term could be material to the Plan’s financial statements. The foregoing actuarial assumptions are based on the presumption that the Plan will continue. Were the Plan to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

Note 5: Disclosures About Fair Value of Assets

Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Fair value measurements must maximize the use of observable inputs and minimize the use of unobservable inputs. There is a hierarchy of three levels of inputs that may be used to measure fair value:

- Level 1** Quoted prices in active markets for identical assets or liabilities
- Level 2** Observable inputs other than Level 1 prices, such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities
- Level 3** Unobservable inputs supported by little or no market activity and are significant to the fair value of the assets or liabilities

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2021 and 2020

Recurring Measurements

The following tables present the fair value measurements of assets recognized in the accompanying statements of net assets available for benefits measured at fair value on a recurring basis and the level within the fair value hierarchy in which the fair value measurements fall at September 30, 2021 and 2020:

	2021			
	Fair Value Measurements Using			
	Quoted Prices in Active Markets for Identical Assets (Level 1)			
Fair Value		Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	
Money market fund	\$ 2,455,043	\$ 2,455,043	\$ -	\$ -
U.S. Governmental securities	20,392,856	6,448,755	13,944,101	-
Bonds and notes	64,052,737	-	64,052,737	-
Mutual funds	11,159,300	11,159,300	-	-
Pooled, common and collective fund	3,935,976	-	3,935,976	-
	<u>\$ 101,995,912</u>	<u>\$ 20,063,098</u>	<u>\$ 81,932,814</u>	<u>\$ -</u>

	2020			
	Fair Value Measurements Using			
	Quoted Prices in Active Markets for Identical Assets (Level 1)			
Fair Value		Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	
Money market fund	\$ 2,880,908	\$ 2,880,908	\$ -	\$ -
U.S. Governmental securities	24,924,521	6,670,630	18,253,891	-
Bonds and notes	69,106,318	-	69,106,318	-
Mutual funds	11,612,382	11,612,382	-	-
Pooled, common and collective fund	4,584,116	-	4,584,116	-
	<u>\$ 113,108,245</u>	<u>\$ 21,163,920</u>	<u>\$ 91,944,325</u>	<u>\$ -</u>

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements

September 30, 2021 and 2020

Following is a description of the valuation methodologies and inputs used for assets measured at fair value on a recurring basis and recognized in the accompanying statements of net assets available for benefits, as well as the general classification of such assets pursuant to the valuation hierarchy. There have been no significant changes in the valuation techniques during the year ended September 30, 2021. The Plan had no liabilities measured at fair value on a recurring basis. In addition, the Plan had no assets or liabilities measured at fair value on a nonrecurring basis.

Investments

Where quoted market prices are available in an active market, securities are classified within Level 1 of the valuation hierarchy. Level 1 securities include a money market fund, certain U.S. Governmental securities and mutual funds. If quoted market prices are not available, then fair values are estimated by using quoted prices of securities with similar characteristics or independently sourced pricing services and pricing models, the inputs of which are market-based or independently sourced market parameters, including, but not limited to, yield curves, interest rates, volatilities, prepayments, defaults, cumulative loss projections and cash flows. Such securities are classified in Level 2 of the valuation hierarchy. Level 2 securities included certain U.S. Governmental securities, corporate bonds and notes and a pooled, common and collective fund. In certain cases where Level 1 or Level 2 inputs are not available, securities are classified within Level 3 of the hierarchy. There are no Level 3 investments in the Plan.

Note 6: Party-in-Interest Transactions

Party-in-interest transactions include those with fiduciaries or employees of the Plan, any person who provides services to the Plan, an employer whose employees are covered by the Plan, an employee organization whose members are covered by the Plan, a person who owns 50 percent or more of such an employer or employee association, or relatives of such persons.

The Plan invests in various bonds and notes of the Plan trustee.

The Plan paid PBGC premiums totaling \$62,831 and \$63,536 during 2021 and 2020, respectively. The Plan paid \$73,298 and \$75,097 of administrative fees to the trustee during 2021 and 2020, respectively. The Plan paid \$215,077 and \$222,859 of investment management fees during 2021 and 2020, respectively. The revenue sharing credits received from certain investments of \$6,605 and \$7,390 for the years ended September 30, 2021 and 2020, respectively, is netted with administrative expenses. The Company provides certain administrative services at no cost to the Plan.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements

September 30, 2021 and 2020

Note 7: Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

The outbreak of the novel coronavirus COVID-19, which was declared a pandemic by the World Health Organization on March 11, 2020, has led to adverse impacts on the U.S. and global economies. Economic uncertainties have arisen, which have resulted in significant volatility in the investment markets and may continue to impact the value of Plan assets. The duration of these uncertainties and the ultimate financial effects cannot be reasonably estimated at this time.

Supplemental Schedules

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) September 30, 2021

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Money Market Fund			
*Wells Fargo Advantage Treasury Plus Money Market Fund	2,455,043 shares	\$ 2,455,043	\$ 2,455,043
U.S. Governmental Securities			
U.S. Treasury SEC Stripped Zero CPN 11/15/2041	4,280,000 par value	1,900,638	2,762,312
U.S. Treasury Bond Stripped Zero CPN 05/15/2044	2,080,000 par value	857,106	1,263,642
U.S. Treasury SEEC Stripped Zero CPN 05/15/2046	2,490,000 par value	1,067,229	1,445,769
U.S. Treasury SEC Stripped Zero CPN 08/15/2045	2,105,000 par value	924,805	1,241,761
U.S. Treasury SEC Stripped 08/15/2047	7,200,000 par value	2,887,076	4,067,424
U.S. Treasury Bond Stripped Zero CPN 11/15/2043	1,060,000 par value	434,933	650,193
U.S. Treasury Bond Zero CPN 11/15/2042	4,000,000 par value	1,692,155	2,513,000
U.S. Treasury Bond 11/15/2048	1,200,000 par value	635,076	658,044
U.S. Treasury Bond 11/15/2049	5,540,000 par value	3,576,633	3,001,350
U.S. Treasury Bond 02/15/2049	1,500,000 par value	779,940	824,055
U.S. Treasury Bond 05/15/2050	860,000 par value	433,156	462,439
U.S. Treasury Bond 11/15/2050 1.625%	660,000 par value	570,697	592,944
U.S. Treasury Bond 08/15/2041 1.750%	495,000 par value	480,537	473,190
U.S. Treasury Bond 05/15/2041 2.250%	420,000 par value	448,924	436,733
		<u>16,688,905</u>	<u>20,392,856</u>
Bonds and Notes			
Abbott Laboratories	150,000 par value	237,471	218,772
Abbvie Inc.	300,000 par value	323,226	323,253
Ace INA Holdings	100,000 par value	119,914	125,767
Aflac Inc.	220,000 par value	223,650	246,019
Alabama Power Co.	350,000 par value	437,763	421,246
Alibaba Group Holding 11/28/2024	445,000 par value	454,898	476,760
Allstate Corp.	445,000 par value	456,882	542,379
Amazon.com Inc.	690,000 par value	691,530	646,220
Ameren Illinois Co.	440,000 par value	444,273	499,941
American Honda Finance 09/10/2025	135,000 par value	134,993	134,324
American Intl Group	325,000 par value	336,412	354,637
American Tower Corp	455,000 par value	455,020	477,741
Ameriprise Financial Inc.	235,000 par value	236,567	251,227
Anheuser-Busch Inbev Wor	340,000 par value	399,996	400,275
Anthem, Inc.	445,000 par value	451,119	494,337
Apple, Inc. 05/13/2045	915,000 par value	985,939	1,152,772
Apple, Inc. 02/09/2027	100,000 par value	113,363	110,270
AT&T, Inc. 03/01/2029	100,000 par value	116,972	114,662
AT&T, Inc. 02/01/2028	195,000 par value	197,581	193,438
Banco Santander SA	440,000 par value	445,405	462,062
Bank of America Corp. 06/19/2041	220,000 par value	214,067	212,392
Bank of America Corp. 06/14/2029	890,000 par value	895,716	888,576

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued) September 30, 2021

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Barclays PLC	425,000 par value	\$ 425,629	\$ 426,955
Berkshire Hathaway Fin	315,000 par value	326,970	382,835
BP Cap Markets America	685,000 par value	655,826	740,732
Branch Banking & Trust	390,000 par value	388,686	407,694
Burlington North Santa Fe	390,000 par value	479,691	526,816
Canadian Natl Railway	490,000 par value	466,328	450,261
Centerpoint Energy Res	235,000 par value	219,511	225,755
CIGNA Group	205,000 par value	217,622	208,401
Citi Group	370,000 par value	362,882	373,112
Coca-Cola Co	420,000 par value	430,781	401,361
Comcast Corp 01/15/2033	570,000 par value	579,339	666,313
Comcast Corp 07/15/2046	200,000 par value	217,474	212,104
Commonwealth Edison Co 03/01/2045	465,000 par value	420,704	524,027
Commonwealth Edison Co 11/15/2049	450,000 par value	477,243	464,341
Conagra Brands Inc.	185,000 par value	184,390	180,181
Conoco Inc.	340,000 par value	457,660	454,223
Cons Edison Co of NY 03/15/2044	500,000 par value	553,469	601,295
Consumers Energy Co.	655,000 par value	586,611	686,584
Costco Wholesale Group	195,000 par value	195,125	191,197
CVS Health Group 03/25/2028	115,000 par value	131,047	131,176
CVS Health Group 08/15/2026	470,000 par value	473,652	504,592
Deere & Company	100,000 par value	111,038	108,776
Dowdpoint, Inc.	420,000 par value	431,441	472,256
DTE Electric Co.	605,000 par value	600,667	606,143
Duke Energy Indiana	400,000 par value	406,100	443,620
Eli Lilly & Co.	240,000 par value	237,065	216,960
Exxon Mobil Corporation	375,000 par value	377,636	391,102
Fifth Third Bancorp	400,000 par value	433,936	429,996
Florida Power & Light	345,000 par value	428,331	466,568
Franklin Resources, Inc.	900,000 par value	860,879	856,557
General Dynamics Corp	190,000 par value	236,516	231,576
Georgia Power Company	425,000 par value	448,643	495,507
Gilead Sciences, Inc.	415,000 par value	441,728	477,271
Goldman Sachs Group, Inc.	660,000 par value	636,937	636,458
Home Depot, Inc.	390,000 par value	407,191	500,362
Intel Corp	675,000 par value	668,030	753,016
Intercontinental Exchange	290,000 par value	290,612	277,872
John Deere Capital Corp.	340,000 par value	350,685	364,473
Johnson & Johnson 12/05/2033	210,000 par value	229,471	258,329
Johnson & Johnson 01/15/2048	405,000 par value	409,005	470,274
Johnson & Johnson 09/01/2040	225,000 par value	225,358	211,547
JPMorgan Chase & Co. 07/24/2038	680,000 par value	625,879	782,707
JPMorgan Chase & Co. 06/01/2029	420,000 par value	420,000	420,945
Kimberly Clark Corporation	455,000 par value	462,599	545,149
Kimco Realty Group	365,000 par value	360,828	387,137
Kinder Morgan Ener part 02/01/2024	450,000 par value	459,378	481,302
Kinder Morgan Ener part 09/01/2024	140,000 par value	152,498	152,299
Lloyds Banking Group PLC	350,000 par value	379,953	372,642

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued) September 30, 2021

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Loews Corp.	505,000 par value	\$ 525,474	\$ 556,763
Lowe's Cos Inc. 04/15/2030	230,000 par value	288,652	269,615
Lowe's Cos Inc. 10/15/2030	150,000 par value	149,549	143,412
Manuf & Traders Trust Co.	15,000 par value	15,152	16,421
Manulife Financial Corp.	245,000 par value	271,254	268,596
Marathon Petroleum	485,000 par value	531,108	524,280
Mastercard Inc.	660,000 par value	701,842	760,650
Medtronic, Inc.	367,000 par value	409,737	451,204
Merck & Co.	270,000 par value	264,303	251,465
Microsoft Corp.	450,000 par value	488,744	465,210
MidAmerican Energy, Co.	380,000 par value	413,920	489,223
Mitsubishi UFC FIN GRP	475,000 par value	475,337	491,326
National Rural Util Coop	650,000 par value	650,000	700,746
Natwest Group PLC	225,000 par value	225,302	224,984
Nevada Power Co.	435,000 par value	450,569	485,316
Oncor Electric Delivery	445,000 par value	442,468	520,379
Oracle Group 04/15/2038	320,000 par value	402,547	445,635
Oracle Group 11/15/2037	790,000 par value	942,154	852,513
PacifiCorp	330,000 par value	423,407	465,871
PepsiCo, Inc.	430,000 par value	480,339	543,529
Pfizer, Inc.	580,000 par value	616,402	701,713
PNC Bank NA	450,000 par value	456,724	512,645
PPL Electric Utilities	290,000 par value	335,298	372,934
Pres & Fellows of Harvar	280,000 par value	288,224	275,951
Princeton University	180,000 par value	187,782	177,782
Prologis LP	430,000 par value	427,106	437,723
Prudential Financial, Inc.	285,000 par value	302,240	293,160
Public Services Electric	840,000 par value	840,806	940,103
Raytheon Tech Corp.	175,000 par value	205,237	224,142
Simon Property Group LP	410,000 par value	413,776	447,691
Southern Cal Edison 10/01/2043	200,000 par value	211,244	230,800
Southwestern Public Serv 08/15/2041	565,000 par value	598,827	688,187
Southwestern Public Serv 11/15/2048	440,000 par value	446,244	549,437
State Street Corp.	440,000 par value	439,767	456,531
Statoil ASA	390,000 par value	378,359	448,828
Sunoco Logistics Partner	570,000 par value	622,992	622,292
Telefonica Emisiones SAU	385,000 par value	443,805	432,540
Total Capital Intl SA	355,000 par value	355,320	378,565
Union Electric Co.	225,000 par value	215,881	240,404
United Parcel Service	465,000 par value	430,492	539,907
United Health Group 03/15/2042	315,000 par value	424,806	384,596
United Health Group 07/15/2035	255,000 par value	263,842	316,802
Ventas Realty LP	320,000 par value	323,251	340,448
Verizon Communications 11/01/2034	325,000 par value	403,678	384,891
Verizon Communications 12/03/2029	438,000 par value	439,329	496,302
VMWare, Inc.	210,000 par value	234,917	233,904
Walmart, Inc. 05/15/2025	390,000 par value	497,500	490,612
Walmart, Inc. 09/22/2041	640,000 par value	644,667	637,216
Walt Disney Company 09/01/2029	350,000 par value	349,066	353,150
Walt Disney Company 09/01/2049	230,000 par value	240,529	220,841

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued) September 30, 2021

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
*Wells Fargo & Company 07/22/2027 Welltower Inc.	760,000 par value	\$ 803,653	\$ 863,504
3M Company	365,000 par value	368,519	389,170
Bayview Opportunity Master Fun	420,000 par value	449,004	462,525
CF Hippolyta Issuer LLC	445,000 par value	477,263	464,420
Finance Amer Structured Sec	273,389 par value	273,337	275,182
Magnetite CLO LTD	301,018 par value	304,256	325,846
Sound Point CLO LTD	660,000 par value	660,000	660,408
Benchmark Mortgage Trust	600,000 par value	600,750	600,004
Credit Suisse Mortgage Trust	700,000 par value	745,173	716,740
Fremf Mortgage Trust 12/25/2049	230,000 par value	225,968	218,875
JP Morgan Chase Commercial Mor 08/27/2047	245,000 par value	237,822	255,123
New Residential Mortgage Loan	460,000 par value	408,178	466,100
Sflave Commercial Mortgage Secu	236,237 par value	252,773	254,132
Shops at Crystal Trust 2016-C	390,000 par value	379,397	394,637
SLG Office Trust	420,000 par value	385,481	398,794
SOHO Trust 2021-SOHO	615,000 par value	637,205	635,695
*Wells Fargo Commercial Mortgag	320,000 par value	321,188	316,157
California St	95,000 par value	96,817	103,484
University Calif Revs	355,000 par value	502,472	561,081
Aviation Capital Group	215,000 par value	269,748	302,774
Belfrose Funding Trust	425,000 par value	426,432	443,466
BNP Paribas	230,000 par value	230,501	226,881
Boston Gas Company	405,000 par value	420,019	424,286
Cameron LNG LLC	445,000 par value	454,986	463,841
Electricite De France SA	220,000 par value	220,506	233,257
Enel Finance Intl Nv	155,000 par value	166,191	197,565
Hyundai Capital America	335,000 par value	330,853	366,634
Mars, Inc.	220,000 par value	219,663	219,221
New York Life Insurance	320,000 par value	320,787	303,446
Siemens Financieringsmat	435,000 par value	480,340	487,400
	865,000 par value	888,698	946,985
		60,863,244	64,052,737
Mutual Funds			
American Century Emerging Markets	62,971 shares	886,581	917,489
Blackstone Alternative Multi-Strategy Fund Class I	191,151 shares	1,959,285	2,072,079
Eaton Vance Atlanta Capital Smid-Cap Fund	72,263 shares	2,189,130	3,049,496
Hartford International Opportunities Fund	140,227 shares	2,234,695	3,024,713
PIMCO Commodity Real Return Strategy Fund	173,205 shares	1,129,653	1,106,779
Principal Investors Real Estate Securities INSTL Fund	31,509 shares	703,694	988,744
		9,103,038	11,159,300
Pooled, Common and Collective Fund			
Northern Trust Investments, Inc.	249 shares	2,067,400	3,935,976
		\$ 91,177,630	\$ 101,995,912

* Parties-in-interest

**Allison Transmission Retirement Program for
Salaried Employees**

EIN 26-0413897 PN 003

**Schedule H, Line 4j - Schedule of Reportable Transactions
Year Ended September 30, 2021**

Identity	Description	Purchase Price	Selling Price	Lease Rental	Expenses Incurred With Transaction	Cost of Asset	Current Value of Asset on Transaction Date	Net Gain (Loss)
Issuer	Wells Fargo Advantage Treasury Plus Money Market Fund							
	Purchases	\$ 41,005,223				\$ 41,005,223	\$ 41,005,223	
	Sales		\$ 41,431,088			41,431,088	41,431,088	\$ -

Schedule SB, line 26 — Schedule of Active Participant Data

Attained age	Years of credited service										Total
	Under 1	1–4	5–9	10–14	15–19	20–24	25–29	30–34	35–39	40 & up	
Under 25											
25–29											
30–34											
35–39				1	2						3
40–44				2	2	16					20
45–49				2	2	21	1	2			28
50–54				1	2	24	23	12	4		66
55–59				3		29	18	14	11	5	80
60–64				1		10	4	6	16	20	57
65–69							4		2	3	9
70 & up											
Total				10	8	100	50	34	33	28	263

In each cell, the number is the count of active participants for each age/service combination.

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods**Actuarial assumptions for October 1, 2020 Funding Valuation**

	10/1/2020	10/1/2020
Discount rate sponsor elections		
• Segment rates or full yield curve	Segment rates	Segment rates
• Look-back months	0	0
	Stabilized (ARPA)	Nonstabilized rates
• First 5 years	4.75%	2.11%
• Next 15 years	5.50%	3.30%
• Over 20 years	6.27%	3.86%
	Rationale: Interest rate prescribed by IRS.	
Mortality sponsor elections		
• Healthy participants	Section 430(h)(3) prescribed separate generational annuitant and nonannuitant mortality tables. These tables are based on the RP-2014 mortality tables backed off to 2006 and then projected with mortality improvements using scale MP-2018 in accordance with IRS regulation.	
• Disabled participants	Section 430(h)(3) prescribed separate generational annuitant and nonannuitant mortality tables. These tables are based on the RP-2014 mortality tables backed off to 2006 and then projected with mortality improvements using scale MP-2018 in accordance with IRS regulation.	
	Rationale: Mortality prescribed by IRS	
Other economic assumptions		
• Salary increases (base pay only)	3.00% per year	
	Rationale: Based on future expectations and discussions with the plan sponsor	

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

• iComp amount	<p>In any given year, iComp may or may not be paid based on the company's and each individual employee's performance. For the service cost calculation purposes, iComp has been estimated based on employee level as follows:</p> <p>Level 5 and below – 3% of base pay.</p> <p>Level 6 – 4% of base pay.</p> <p>Level 7 – 5% of base pay.</p> <p>Level 8 and above – 8% of base pay.</p> <p>It is further assumed that 25% of participants will receive an additional 10% iComp payment above the amounts listed above (or 2.50 % of total base pay).</p> <p>Rationale: Based on future expectations and discussions with the plan sponsor</p>
• Inflation	<p>2.20% per year</p> <p>Rationale: Based on the inflation information periodically published by Mercer Investment Consulting's Capital Markets Outlook</p>
• Part C account balance interest credit rate	<p>3.25% per year Rationale: Based on prior July 30-Year Treasury Rate (Minimum of 3.25% per plan provisions)</p>
• Expenses	<p>\$360,000 added to current year normal cost</p> <p>Rationale: Expenses are assumed to be equal to the prior Plan Year administration expenses (excluding PBGC premiums) plus expected PBGC Premiums for the current Plan Year rounded to the nearest \$10,000</p>
• Late retirement increases	<p>Active participants over age 70 and deferred vested participants over age 65 receive late retirement increases based on Actuarial Value</p>
• Actuarial value	<p>Based on applicable 417(e) mortality and interest rates for the current plan year</p>

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

Demographic assumptions				
• Withdrawal	ATI ultimate rates for salaried employees. See table of sample rates. Rationale: Based on 2019 ATI experience study using data from 2015 through 2018 and the expectation that this period will be representative of anticipated future experience			
• Disability incidence	None assumed			
• Retirement age	ATI retirement rates for salaried employees. See tables of sample rates. Rationale: Based on 2019 ATI experience study using data from 2015 through 2017 and the expectation that this period will be representative of anticipated future experience.			
• Benefit commencement age for				
— Future vested deferred	65			
— Current vested deferred	65			
• Spouse assumptions	Male participants	Female participants		
— Percentage married	90%	70%		
— Spouse age difference	1 years younger	3 years older		
Form of payment (Part A Participants)	<u>Single life</u>	<u>65% J&S</u>	<u>50% J&S</u>	<u>Lump sum</u>
• Active retirements	60% of unmarried	60% of married	N/A	40%
• Future vested deferred	30% of unmarried	30% of married	N/A	70%
• Future disabilities	N/A	N/A	N/A	N/A
• Future deaths	N/A	100% of married retirement eligible	100% of married not retirement eligible	N/A
• Current vested deferred	30% of unmarried	30% of married	N/A	70%
	Rationale: Based on ATI experience (2017-2020)			
• Lump sum conversion	Benefits for participants electing a lump sum form of payment are valued using annuity substitution			
Form of payment (Part C Participants)	Lump sum (account balance)			
Unpredictable contingent event assumptions	Not applicable			

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods**Table of sample rates**

Attained Age	Withdrawal Rates
30	3.00%
35	3.00%
40	2.50%
45	2.00%
50	1.50%
55	1.00%

Attained Age	Retirement Rates
52	5.00%
53	5.00%
54	5.00%
55	5.00%
56	5.00%
57	5.00%
58	5.00%
59	15.00%
60	15.00%
61	15.00%
62	15.00%
63	15.00%
64	15.00%
65	30.00%
66	30.00%
67	30.00%
68	30.00%
69	30.00%
70+	100.00%

*Schedule SB, Part V — Statement of Actuarial Assumptions/Methods***Actuarial methods for funding****Participant methods**

Participants or former participants are included or excluded from the valuation as described below:

- **Participants included:** The plan sponsor provides us with data on all employees as of the valuation date.
- **Participants excluded:** No actuarial liability is included for nonvested participants who terminated prior to the valuation date. For this purpose, participants with a break in service on the valuation date are treated as terminated participants.
- **Insurance contracts:** The plan does not have any insurance contracts.

Minimum funding methods

The funding target for minimum funding calculations is computed using the traditional unit credit method of funding. The objective under this method is to fund each participant's benefits under the plan as they accrue. Thus, the total pension to which each participant is expected to become entitled at retirement is broken down into units, each associated with a year of past or future credited service.

A detailed description of the calculation follows:

- The plan's valuation date is the beginning of the plan year.
- An individual's **funding target** is the present value of future benefits based on credited service and average pay as of the beginning of the plan year, and an individual's **target normal cost** is the present value of the benefit expected to accrue in the plan year. If multiple decrements are used, the funding target and the target normal cost for an individual is the sum of the component funding targets and target normal costs associated with the various anticipated separation dates.
- The plan's **target normal cost** is the sum of the individual target normal costs, and the plan's **funding target** is the sum of the individual funding targets for all participants under the plan.

Asset Method

The asset valuation method is the fair market value, plus any discounted receivable contributions.



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4j - Schedule of Reportable Transactions

PAGE 732

REPORTABLE TRANSACTIONS - SINGLE / BY ISSUE
FOR THE PERIOD OCTOBER 1, 2020 THROUGH SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
-----	-----	-----	----	-----	-----	-----	-----
	BEGINNING MARKET VALUE			113,721,398.56			
	COMPARATIVE VALUE (5%)			5,686,069.93			

*** NO TRANSACTIONS QUALIFIED ***

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
 FOR THE PERIOD OCTOBER 1, 2020 THROUGH SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
------------------------	---------------------	----------------------	---------------	---------------------	-------------------	---------------------	-----------------------

BEGINNING MARKET VALUE	113,721,398.56
COMPARATIVE VALUE (5%)	5,686,069.93

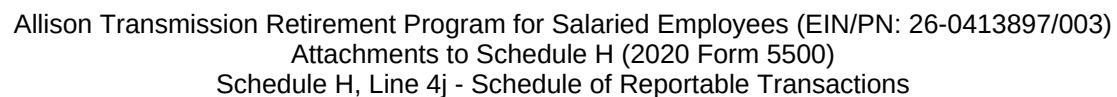
BROKER: GOLDMAN SACHS

22831205	ISSUE: 912810QH4 - US TREASURY BOND 4.375% 5/15/40 10/01/20 S	75,000	1.570	0	117,729	121,559	-3,829
22831205	ISSUE: 912834JH2 - US TREASURY BOND 11/15/40 10/01/20 S	1,400,000	0.759	0	632,425	632,425	0
22831205	ISSUE: 912810SN9 - US TREASURY BOND 1.25% 5/15/50 10/06/20 S	400,000	0.918	0	367,375	384,906	-17,531
22831205	ISSUE: 458140AS9 - INTEL CORP 3.7% 7/29/25 10/30/20 S	145,000	1.130	0	163,812	144,784	19,028
22831205	ISSUE: 038222AP0 - APPLIED MATERIALS IN 2.75% 6/01/50 11/23/20 S	235,000	1.093	0	256,933	236,043	20,889
22831205	ISSUE: 912810SP4 - US TREASURY BOND 1.375% 8/15/50 11/23/20 B	225,000	0.955	0	214,752	214,752	
22831205	ISSUE: 912810SP4 - US TREASURY BOND 1.375% 8/15/50 12/04/20 B	700,000	0.916	0	641,129	641,129	
22831205	ISSUE: 02665WDM0 - AMERICAN HONDA FINAN 0.65% 9/08/23 12/17/20 S	135,000	1.005	0	135,734	134,941	794
22831205	ISSUE: 91282CAV3 - US TREASURY NOTE 0.875% 11/15/30 01/22/21 S	210,000	0.980	0	205,833	205,718	115
22831205	ISSUE: 12530MAE5 - CF HIPPOLYTA ISSUER 1.53% 3/15/61 03/10/21 B	275,000	1.000	0	274,948	274,948	
22831205	ISSUE: 38141GXR0 - VR GOLDMAN SACHS GRO 1.992% 1/27/32 03/30/21 B	440,000	0.946	0	416,200	416,200	
22831205	ISSUE: 316773CP3 - FIFTH THIRD BANCORP 4.3% 1/16/24 03/30/21 S	155,000	1.094	0	169,548	171,292	-1,744
22831205	ISSUE: 15189YAF3 - CENTERPOINT ENERGY 1.75% 10/01/30 04/13/21 B	235,000	0.934	0	219,511	219,511	
22831205	ISSUE: 12625GAC8 - CNOOC FINANCE 2013 3% 5/09/23 05/17/21 S	650,000	1.037	0	673,855	634,995	38,861
22831205	ISSUE: 06051GJZ3 - VR BANK OF AMERICA 2.087% 6/14/29 06/08/21 B	460,000	1.005	0	462,190	462,190	
22831205	ISSUE: 91282CCE9 - US TREASURY NOTE 1.25% 5/31/28 07/06/21 B	235,000	1.010	0	237,286	237,286	
22831205	ISSUE: 44891ABY2 - P/P HYUNDAI CAPITAL 1% 9/17/24 09/15/21 B	220,000	0.999	0	219,663	219,663	
22831205	ISSUE: 912834JH2 - US TREASURY BOND 11/15/40 09/30/21 S	1,285,000	0.664	0	580,476	580,476	0

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
 FOR THE PERIOD OCTOBER 1, 2020 THROUGH SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
SUB-TOTAL				0	5,989,399	5,932,818	56,583
BROKER: BANC OF AMERICA SECURITIES LLC							
22831205	ISSUE: 91282CAE1 - US TREASURY NOTE 0.625% 8/15/30 10/01/20 S	400,000	0.995	0	397,781	398,375	-594
22831205	ISSUE: 010392FP8 - ALABAMA POWER CO 4.3% 1/02/46 10/06/20 B	350,000	1.251	0	437,763-	437,763	
22831205	ISSUE: 912810SR0 - US TREASURY BOND 1.125% 5/15/40 10/30/20 S	760,000	0.956	0	726,394	778,228	-51,834
22831205	ISSUE: 822582BX9 - SHELL INTERNATIONAL 2.5% 9/12/26 10/30/20 S	240,000	1.085	0	260,477	236,088	24,389
22831205	ISSUE: 912810SP4 - US TREASURY BOND 1.375% 8/15/50 01/06/21 B	235,000	0.898	0	211,004-	211,004	
22831205	ISSUE: 081620BL2 - VR BENCHMARK MORTG 3.22991% 9/15/48 01/25/21 B	280,000	1.077	0	301,448-	301,448	
22831205	ISSUE: 912834VV7 - US TREASURY BOND 5/15/50 02/25/21 B	860,000	0.504	0	433,156-	433,156	
22831205	ISSUE: 55954KAL9 - VR MAGNETITE CLO LTD 1% 4/17/34 03/24/21 B	660,000	1.000	0	660,000-	660,000	
22831205	ISSUE: 14040HCA1 - CAPITAL ONE FINANCIA 3.9% 1/29/24 03/25/21 S	390,000	1.083	0	422,483	397,915	24,568
22831205	ISSUE: 585055BT2 - MEDTRONIC INC 4.375% 3/15/35 03/30/21 B	55,000	1.199	0	65,929-	65,929	
22831205	ISSUE: 302975BE6 - VR FREMF MORTGAGE TR 3.53% 3/25/53 03/31/21 S	230,000	1.041	0	239,335	242,158	-2,823
22831205	ISSUE: 354613AL5 - FRANKLIN RESOURCES 1.6% 10/30/30 04/08/21 B	605,000	0.936	0	566,086-	566,086	
22831205	ISSUE: 91282CBZ3 - US TREASURY NOTE 1.125% 4/30/28 06/15/21 B	450,000	1.005	0	452,109-	452,109	
22831205	ISSUE: 91282CCB5 - US TREASURY NOTE 1.625% 5/15/31 06/16/21 S	250,000	1.005	0	251,338	250,355	983
22831205	ISSUE: 912810SN9 - US TREASURY BOND 1.25% 5/15/50 07/29/21 S	585,000	0.847	0	495,536	549,525	-53,989
22831205	ISSUE: 912810SP4 - US TREASURY BOND 1.375% 8/15/50 07/29/21 S	1,140,000	0.874	0	996,476	1,048,385	-51,909
22831205	ISSUE: 912810ST6 - US TREASURY BOND 1.375% 11/15/40 08/04/21 S	300,000	0.939	0	281,695	284,391	-2,695
22831205	ISSUE: 023135BT2 - AMAZON.COM INC 2.5% 6/03/50 08/06/21 B	165,000	0.956	0	157,778-	157,778	
SUB-TOTAL				0	7,356,788	7,470,693	-113,905



**REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD OCTOBER 1, 2020 THROUGH SEPTEMBER 30, 2021**

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

[illegible]

FOOTNOTES

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2020 THROUGH SEPTEMBER 30, 2021**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
------------------------	---------------------	----------------------	---------------	---------------------	-------------------	---------------------	-----------------------

BEGINNING MARKET VALUE	113,721,398.56
COMPARATIVE VALUE (5%)	5,686,069.93

ISSUE: VP7000061 - WF TREAS PLUS MM FD-INST #793

22831200	10/01/20 B	250,181	1.000	0	250,181-	250,181	
22831200	10/05/20 B	1,950,000	1.000	0	1,950,000-	1,950,000	
22831200	11/03/20 B	1,708,000	1.000	0	1,708,000-	1,708,000	
22831200	01/27/21 B	364	1.000	0	364-	364	
22831200	01/29/21 B	2,507,000	1.000	0	2,507,000-	2,507,000	
22831200	03/12/21 B	657,000	1.000	0	657,000-	657,000	
22831200	04/30/21 B	1,305,000	1.000	0	1,305,000-	1,305,000	
22831200	06/22/21 B	192,000	1.000	0	192,000-	192,000	
22831200	08/02/21 B	2,435,000	1.000	0	2,435,000-	2,435,000	
22831200	08/04/21 B	267	1.000	0	267-	267	
22831200	09/03/21 B	146	1.000	0	146-	146	
22831200	09/28/21 B	350,000	1.000	0	350,000-	350,000	
22831200	09/29/21 B	200,000	1.000	0	200,000-	200,000	
22831204	10/01/20 B	2	1.000	0	2-	2	
22831204	11/25/20 B	1,161,815	1.000	0	1,161,815-	1,161,815	
22831204	11/25/20 B	10,232	1.000	0	10,232-	10,232	
22831204	12/01/20 B	1	1.000	0	1-	1	
22831204	01/04/21 B	0	1.000	0	0-	0	
22831204	01/29/21 B	1,762,000	1.000	0	1,762,000-	1,762,000	
22831204	02/01/21 B	0	1.000	0	0-	0	
22831204	03/01/21 B	0	1.000	0	0-	0	
22831204	03/12/21 B	730,000	1.000	0	730,000-	730,000	
22831204	04/01/21 B	0	1.000	0	0-	0	
22831204	04/30/21 B	805,000	1.000	0	805,000-	805,000	
22831204	06/21/21 B	272,000	1.000	0	272,000-	272,000	
22831204	06/22/21 B	113,000	1.000	0	113,000-	113,000	
22831204	07/01/21 B	0	1.000	0	0-	0	
22831204	09/28/21 B	200,000	1.000	0	200,000-	200,000	
22831205	10/01/20 B	65,147	1.000	0	65,147-	65,147	
22831205	10/05/20 B	1,777,940	1.000	0	1,777,940-	1,777,940	
22831205	10/07/20 B	7,289	1.000	0	7,289-	7,289	
22831205	10/07/20 B	1,306	1.000	0	1,306-	1,306	
22831205	10/08/20 B	178,837	1.000	0	178,837-	178,837	
22831205	10/08/20 B	1,070	1.000	0	1,070-	1,070	
22831205	10/13/20 B	363,313	1.000	0	363,313-	363,313	
22831205	10/14/20 B	85,241	1.000	0	85,241-	85,241	
22831205	10/15/20 B	70,661	1.000	0	70,661-	70,661	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD OCTOBER 1, 2020 THROUGH SEPTEMBER 30, 2021ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	10/16/20 B	86,099	1.000	0	86,099-	86,099	
22831205	10/16/20 B	838	1.000	0	838-	838	
22831205	10/19/20 B	1,878	1.000	0	1,878-	1,878	
22831205	10/26/20 B	14,984	1.000	0	14,984-	14,984	
22831205	10/27/20 B	2,516	1.000	0	2,516-	2,516	
22831205	10/29/20 B	2,645	1.000	0	2,645-	2,645	
22831205	10/29/20 B	184,447	1.000	0	184,447-	184,447	
22831205	10/30/20 B	435,000	1.000	0	435,000-	435,000	
22831205	10/30/20 B	6,525	1.000	0	6,525-	6,525	
22831205	11/02/20 B	59,450	1.000	0	59,450-	59,450	
22831205	11/03/20 B	1,729,103	1.000	0	1,729,103-	1,729,103	
22831205	11/04/20 B	19,555	1.000	0	19,555-	19,555	
22831205	11/05/20 B	1,349	1.000	0	1,349-	1,349	
22831205	11/06/20 B	1,474	1.000	0	1,474-	1,474	
22831205	11/06/20 B	1,106	1.000	0	1,106-	1,106	
22831205	11/09/20 B	499,798	1.000	0	499,798-	499,798	
22831205	11/10/20 B	9,750	1.000	0	9,750-	9,750	
22831205	11/13/20 B	17,063	1.000	0	17,063-	17,063	
22831205	11/16/20 B	510,968	1.000	0	510,968-	510,968	
22831205	11/17/20 B	838	1.000	0	838-	838	
22831205	11/18/20 B	3,050	1.000	0	3,050-	3,050	
22831205	11/25/20 B	88,275	1.000	0	88,275-	88,275	
22831205	11/30/20 B	3,690	1.000	0	3,690-	3,690	
22831205	11/30/20 B	1,554	1.000	0	1,554-	1,554	
22831205	12/01/20 B	58,542	1.000	0	58,542-	58,542	
22831205	12/03/20 B	15,358	1.000	0	15,358-	15,358	
22831205	12/03/20 B	49,855	1.000	0	49,855-	49,855	
22831205	12/07/20 B	14,795	1.000	0	14,795-	14,795	
22831205	12/07/20 B	1,306	1.000	0	1,306-	1,306	
22831205	12/08/20 B	163,303	1.000	0	163,303-	163,303	
22831205	12/09/20 B	635,063	1.000	0	635,063-	635,063	
22831205	12/15/20 B	49,365	1.000	0	49,365-	49,365	
22831205	12/17/20 B	350	1.000	0	350-	350	
22831205	12/18/20 B	1,553	1.000	0	1,553-	1,553	
22831205	12/21/20 B	11,171	1.000	0	11,171-	11,171	
22831205	12/22/20 B	29,375	1.000	0	29,375-	29,375	
22831205	12/24/20 B	2,940	1.000	0	2,940-	2,940	
22831205	12/28/20 B	12,956	1.000	0	12,956-	12,956	
22831205	12/29/20 B	6,862	1.000	0	6,862-	6,862	
22831205	12/30/20 B	7,029	1.000	0	7,029-	7,029	
22831205	12/30/20 B	1,088	1.000	0	1,088-	1,088	
22831205	01/04/21 B	9,995	1.000	0	9,995-	9,995	
22831205	01/06/21 B	39,233	1.000	0	39,233-	39,233	
22831205	01/08/21 B	165,604	1.000	0	165,604-	165,604	
22831205	01/12/21 B	5,021	1.000	0	5,021-	5,021	
22831205	01/13/21 B	9,432	1.000	0	9,432-	9,432	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2020 THROUGH SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	01/14/21 B	3,434	1.000	0	3,434-	3,434	
22831205	01/15/21 B	56,643	1.000	0	56,643-	56,643	
22831205	01/19/21 B	10,236	1.000	0	10,236-	10,236	
22831205	01/22/21 B	4,141	1.000	0	4,141-	4,141	
22831205	01/25/21 B	43,607	1.000	0	43,607-	43,607	
22831205	01/26/21 B	10,413	1.000	0	10,413-	10,413	
22831205	01/26/21 B	363,378	1.000	0	363,378-	363,378	
22831205	01/26/21 B	677	1.000	0	677-	677	
22831205	01/29/21 B	5,081	1.000	0	5,081-	5,081	
22831205	02/02/21 B	156,410	1.000	0	156,410-	156,410	
22831205	02/04/21 B	26,532	1.000	0	26,532-	26,532	
22831205	02/04/21 B	279,992	1.000	0	279,992-	279,992	
22831205	02/05/21 B	1,426	1.000	0	1,426-	1,426	
22831205	02/05/21 B	1,306	1.000	0	1,306-	1,306	
22831205	02/08/21 B	9,563	1.000	0	9,563-	9,563	
22831205	02/09/21 B	1,675	1.000	0	1,675-	1,675	
22831205	02/16/21 B	45,900	1.000	0	45,900-	45,900	
22831205	02/17/21 B	21,073	1.000	0	21,073-	21,073	
22831205	02/18/21 B	252,666	1.000	0	252,666-	252,666	
22831205	02/18/21 B	715	1.000	0	715-	715	
22831205	02/19/21 B	1,917	1.000	0	1,917-	1,917	
22831205	02/25/21 B	5,058	1.000	0	5,058-	5,058	
22831205	02/26/21 B	708,866	1.000	0	708,866-	708,866	
22831205	03/01/21 B	490,507	1.000	0	490,507-	490,507	
22831205	03/02/21 B	3,899	1.000	0	3,899-	3,899	
22831205	03/03/21 B	142,593	1.000	0	142,593-	142,593	
22831205	03/04/21 B	53,185	1.000	0	53,185-	53,185	
22831205	03/05/21 B	1,426	1.000	0	1,426-	1,426	
22831205	03/05/21 B	1,306	1.000	0	1,306-	1,306	
22831205	03/09/21 B	7,225	1.000	0	7,225-	7,225	
22831205	03/10/21 B	4,950	1.000	0	4,950-	4,950	
22831205	03/12/21 B	261,993	1.000	0	261,993-	261,993	
22831205	03/12/21 B	400,000	1.000	0	400,000-	400,000	
22831205	03/15/21 B	84,066	1.000	0	84,066-	84,066	
22831205	03/17/21 B	14,705	1.000	0	14,705-	14,705	
22831205	03/17/21 B	1,153	1.000	0	1,153-	1,153	
22831205	03/18/21 B	5,140	1.000	0	5,140-	5,140	
22831205	03/22/21 B	740	1.000	0	740-	740	
22831205	03/22/21 B	24,235	1.000	0	24,235-	24,235	
22831205	03/25/21 B	57,993	1.000	0	57,993-	57,993	
22831205	03/26/21 B	431,120	1.000	0	431,120-	431,120	
22831205	03/30/21 B	2,637	1.000	0	2,637-	2,637	
22831205	04/01/21 B	11,307	1.000	0	11,307-	11,307	
22831205	04/05/21 B	239,425	1.000	0	239,425-	239,425	
22831205	04/07/21 B	5,863	1.000	0	5,863-	5,863	
22831205	04/08/21 B	1,474	1.000	0	1,474-	1,474	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2020 THROUGH SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	04/08/21 B	1,349	1.000	0	1,349-	1,349	
22831205	04/12/21 B	343,581	1.000	0	343,581-	343,581	
22831205	04/13/21 B	16,466	1.000	0	16,466-	16,466	
22831205	04/14/21 B	13,404	1.000	0	13,404-	13,404	
22831205	04/15/21 B	318,102	1.000	0	318,102-	318,102	
22831205	04/15/21 B	316	1.000	0	316-	316	
22831205	04/16/21 B	838	1.000	0	838-	838	
22831205	04/16/21 B	1,105	1.000	0	1,105-	1,105	
22831205	04/20/21 B	1,706	1.000	0	1,706-	1,706	
22831205	04/26/21 B	13,013	1.000	0	13,013-	13,013	
22831205	04/26/21 B	6,645	1.000	0	6,645-	6,645	
22831205	04/26/21 B	1,300	1.000	0	1,300-	1,300	
22831205	04/27/21 B	677	1.000	0	677-	677	
22831205	04/28/21 B	1,082	1.000	0	1,082-	1,082	
22831205	04/29/21 B	1,554	1.000	0	1,554-	1,554	
22831205	04/30/21 B	7,640	1.000	0	7,640-	7,640	
22831205	05/03/21 B	63,005	1.000	0	63,005-	63,005	
22831205	05/04/21 B	25,558	1.000	0	25,558-	25,558	
22831205	05/06/21 B	1,306	1.000	0	1,306-	1,306	
22831205	05/07/21 B	453,245	1.000	0	453,245-	453,245	
22831205	05/11/21 B	9,750	1.000	0	9,750-	9,750	
22831205	05/17/21 B	95,018	1.000	0	95,018-	95,018	
22831205	05/17/21 B	350	1.000	0	350-	350	
22831205	05/18/21 B	11,511	1.000	0	11,511-	11,511	
22831205	05/19/21 B	674,397	1.000	0	674,397-	674,397	
22831205	05/21/21 B	4,800	1.000	0	4,800-	4,800	
22831205	05/25/21 B	4,373	1.000	0	4,373-	4,373	
22831205	05/26/21 B	307,766	1.000	0	307,766-	307,766	
22831205	05/27/21 B	94,414	1.000	0	94,414-	94,414	
22831205	05/28/21 B	1,080	1.000	0	1,080-	1,080	
22831205	06/03/21 B	15,358	1.000	0	15,358-	15,358	
22831205	06/07/21 B	431,208	1.000	0	431,208-	431,208	
22831205	06/07/21 B	1,349	1.000	0	1,349-	1,349	
22831205	06/08/21 B	12,602	1.000	0	12,602-	12,602	
22831205	06/09/21 B	1,106	1.000	0	1,106-	1,106	
22831205	06/10/21 B	504,123	1.000	0	504,123-	504,123	
22831205	06/11/21 B	453,033	1.000	0	453,033-	453,033	
22831205	06/15/21 B	327	1.000	0	327-	327	
22831205	06/17/21 B	376,793	1.000	0	376,793-	376,793	
22831205	06/17/21 B	361	1.000	0	361-	361	
22831205	06/18/21 B	255,239	1.000	0	255,239-	255,239	
22831205	06/21/21 B	225,591	1.000	0	225,591-	225,591	
22831205	06/22/21 B	29,375	1.000	0	29,375-	29,375	
22831205	06/23/21 B	225,881	1.000	0	225,881-	225,881	
22831205	06/25/21 B	4,392	1.000	0	4,392-	4,392	
22831205	06/25/21 B	15,062	1.000	0	15,062-	15,062	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2020 THROUGH SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
-----	-----	-----	-----	-----	-----	-----	-----
22831205	06/28/21 B	159,374	1.000	0	159,374-	159,374	
22831205	06/28/21 B	1,554	1.000	0	1,554-	1,554	
22831205	06/30/21 B	6,604	1.000	0	6,604-	6,604	
22831205	07/01/21 B	2,268	1.000	0	2,268-	2,268	
22831205	07/02/21 B	7,525	1.000	0	7,525-	7,525	
22831205	07/08/21 B	14,238	1.000	0	14,238-	14,238	
22831205	07/09/21 B	1,306	1.000	0	1,306-	1,306	
22831205	07/13/21 B	5,021	1.000	0	5,021-	5,021	
22831205	07/14/21 B	6,180	1.000	0	6,180-	6,180	
22831205	07/15/21 B	52,548	1.000	0	52,548-	52,548	
22831205	07/16/21 B	989,139	1.000	0	989,139-	989,139	
22831205	07/16/21 B	2,231	1.000	0	2,231-	2,231	
22831205	07/19/21 B	8,469	1.000	0	8,469-	8,469	
22831205	07/20/21 B	2,068	1.000	0	2,068-	2,068	
22831205	07/20/21 B	2,712	1.000	0	2,712-	2,712	
22831205	07/22/21 B	16,340	1.000	0	16,340-	16,340	
22831205	07/23/21 B	8,075	1.000	0	8,075-	8,075	
22831205	07/26/21 B	36,465	1.000	0	36,465-	36,465	
22831205	07/26/21 B	10,022	1.000	0	10,022-	10,022	
22831205	07/26/21 B	1,300	1.000	0	1,300-	1,300	
22831205	07/27/21 B	359,077	1.000	0	359,077-	359,077	
22831205	07/28/21 B	1,554	1.000	0	1,554-	1,554	
22831205	07/30/21 B	1,741,053	1.000	0	1,741,053-	1,741,053	
22831205	08/02/21 B	26,685	1.000	0	26,685-	26,685	
22831205	08/05/21 B	1,349	1.000	0	1,349-	1,349	
22831205	08/06/21 B	1,474	1.000	0	1,474-	1,474	
22831205	08/06/21 B	282,626	1.000	0	282,626-	282,626	
22831205	08/09/21 B	53,424	1.000	0	53,424-	53,424	
22831205	08/10/21 B	808,371	1.000	0	808,371-	808,371	
22831205	08/10/21 B	153,388	1.000	0	153,388-	153,388	
22831205	08/16/21 B	137,426	1.000	0	137,426-	137,426	
22831205	08/16/21 B	1,141	1.000	0	1,141-	1,141	
22831205	08/17/21 B	7,343	1.000	0	7,343-	7,343	
22831205	08/18/21 B	4,469	1.000	0	4,469-	4,469	
22831205	08/20/21 B	29,540	1.000	0	29,540-	29,540	
22831205	08/24/21 B	212,378	1.000	0	212,378-	212,378	
22831205	08/25/21 B	8,060	1.000	0	8,060-	8,060	
22831205	08/25/21 B	6,685	1.000	0	6,685-	6,685	
22831205	08/26/21 B	5,208	1.000	0	5,208-	5,208	
22831205	08/27/21 B	1,076	1.000	0	1,076-	1,076	
22831205	08/31/21 B	1,554	1.000	0	1,554-	1,554	
22831205	09/01/21 B	57,136	1.000	0	57,136-	57,136	
22831205	09/08/21 B	2,823	1.000	0	2,823-	2,823	
22831205	09/09/21 B	7,898	1.000	0	7,898-	7,898	
22831205	09/10/21 B	4,950	1.000	0	4,950-	4,950	
22831205	09/10/21 B	321,664	1.000	0	321,664-	321,664	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2020 THROUGH SEPTEMBER 30, 2021**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	09/14/21 B	743	1.000	0	743-	743	
22831205	09/15/21 B	72,198	1.000	0	72,198-	72,198	
22831205	09/15/21 B	337	1.000	0	337-	337	
22831205	09/17/21 B	5,501	1.000	0	5,501-	5,501	
22831205	09/20/21 B	439,036	1.000	0	439,036-	439,036	
22831205	09/20/21 B	754	1.000	0	754-	754	
22831205	09/24/21 B	622,196	1.000	0	622,196-	622,196	
22831205	09/27/21 B	5,554	1.000	0	5,554-	5,554	
22831205	09/27/21 B	15,122	1.000	0	15,122-	15,122	
22831205	09/29/21 B	1,554	1.000	0	1,554-	1,554	
22831205	09/29/21 B	1,074	1.000	0	1,074-	1,074	
22831205	09/30/21 B	852,598	1.000	0	852,598-	852,598	
SUB-TOTAL OF BUYS # 233				0	41,005,223	41,005,223	
22831200	10/01/20 S	2,055,013	1.000	0	2,055,013	2,055,013	0
22831200	10/06/20 S	726,976	1.000	0	726,976	726,976	0
22831200	10/14/20 S	12	1.000	0	12	12	0
22831200	10/15/20 S	9,358	1.000	0	9,358	9,358	0
22831200	10/15/20 S	9,500	1.000	0	9,500	9,500	0
22831200	10/20/20 S	16,874	1.000	0	16,874	16,874	0
22831200	11/02/20 S	1,130,968	1.000	0	1,130,968	1,130,968	0
22831200	11/10/20 S	46,288	1.000	0	46,288	46,288	0
22831200	11/20/20 S	4,484	1.000	0	4,484	4,484	0
22831200	12/01/20 S	1,071,148	1.000	0	1,071,148	1,071,148	0
22831200	12/22/20 S	1,077	1.000	0	1,077	1,077	0
22831200	01/04/21 S	199,155	1.000	0	199,155	199,155	0
22831200	01/06/21 S	1,230	1.000	0	1,230	1,230	0
22831200	01/19/21 S	9,511	1.000	0	9,511	9,511	0
22831200	01/20/21 S	16,884	1.000	0	16,884	16,884	0
22831200	02/01/21 S	1,448,040	1.000	0	1,448,040	1,448,040	0
22831200	02/12/21 S	381,241	1.000	0	381,241	381,241	0
22831200	02/23/21 S	45,115	1.000	0	45,115	45,115	0
22831200	03/01/21 S	201,735	1.000	0	201,735	201,735	0
22831200	03/02/21 S	3,377	1.000	0	3,377	3,377	0
22831200	03/19/21 S	1,425	1.000	0	1,425	1,425	0
22831200	04/01/21 S	680,828	1.000	0	680,828	680,828	0
22831200	04/15/21 S	9,500	1.000	0	9,500	9,500	0
22831200	04/20/21 S	16,858	1.000	0	16,858	16,858	0
22831200	04/21/21 S	13	1.000	0	13	13	0
22831200	04/27/21 S	2,012	1.000	0	2,012	2,012	0
22831200	05/03/21 S	485,350	1.000	0	485,350	485,350	0
22831200	05/19/21 S	42,028	1.000	0	42,028	42,028	0
22831200	05/25/21 S	16,098	1.000	0	16,098	16,098	0
22831200	06/01/21 S	205,436	1.000	0	205,436	205,436	0
22831200	06/17/21 S	2,024	1.000	0	2,024	2,024	0

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD OCTOBER 1, 2020 THROUGH SEPTEMBER 30, 2021ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831200	07/01/21 S	810,365	1.000	0	810,365	810,365	0
22831200	07/07/21 S	62,831	1.000	0	62,831	62,831	0
22831200	07/08/21 S	11	1.000	0	11	11	0
22831200	07/19/21 S	9,593	1.000	0	9,593	9,593	0
22831200	07/20/21 S	16,077	1.000	0	16,077	16,077	0
22831200	08/02/21 S	207,468	1.000	0	207,468	207,468	0
22831200	08/03/21 S	1,182,581	1.000	0	1,182,581	1,182,581	0
22831200	08/10/21 S	1,365	1.000	0	1,365	1,365	0
22831200	08/11/21 S	5,610	1.000	0	5,610	5,610	0
22831200	08/18/21 S	43,507	1.000	0	43,507	43,507	0
22831200	09/01/21 S	1,487,856	1.000	0	1,487,856	1,487,856	0
22831204	10/01/20 S	250,181	1.000	0	250,181	250,181	0
22831204	11/27/20 S	1,130,000	1.000	0	1,130,000	1,130,000	0
22831204	01/29/21 S	1,762,000	1.000	0	1,762,000	1,762,000	0
22831204	03/12/21 S	772,000	1.000	0	772,000	772,000	0
22831204	04/30/21 S	805,000	1.000	0	805,000	805,000	0
22831204	06/23/21 S	385,000	1.000	0	385,000	385,000	0
22831204	09/29/21 S	200,000	1.000	0	200,000	200,000	0
22831205	10/05/20 S	1,950,000	1.000	0	1,950,000	1,950,000	0
22831205	10/08/20 S	251,254	1.000	0	251,254	251,254	0
22831205	10/19/20 S	294,792	1.000	0	294,792	294,792	0
22831205	10/19/20 S	86	1.000	0	86	86	0
22831205	10/20/20 S	193,084	1.000	0	193,084	193,084	0
22831205	10/22/20 S	149,549	1.000	0	149,549	149,549	0
22831205	10/29/20 S	128,498	1.000	0	128,498	128,498	0
22831205	11/03/20 S	1,708,000	1.000	0	1,708,000	1,708,000	0
22831205	11/16/20 S	282,166	1.000	0	282,166	282,166	0
22831205	11/17/20 S	437,523	1.000	0	437,523	437,523	0
22831205	11/19/20 S	596,339	1.000	0	596,339	596,339	0
22831205	11/25/20 S	71,958	1.000	0	71,958	71,958	0
22831205	12/08/20 S	644,137	1.000	0	644,137	644,137	0
22831205	12/09/20 S	178,103	1.000	0	178,103	178,103	0
22831205	12/10/20 S	425,629	1.000	0	425,629	425,629	0
22831205	12/11/20 S	105,822	1.000	0	105,822	105,822	0
22831205	12/11/20 S	626	1.000	0	626	626	0
22831205	12/21/20 S	111,731	1.000	0	111,731	111,731	0
22831205	01/08/21 S	163,171	1.000	0	163,171	163,171	0
22831205	01/11/21 S	114,777	1.000	0	114,777	114,777	0
22831205	01/21/21 S	135,592	1.000	0	135,592	135,592	0
22831205	01/26/21 S	352,739	1.000	0	352,739	352,739	0
22831205	01/27/21 S	69,476	1.000	0	69,476	69,476	0
22831205	02/01/21 S	5,081	1.000	0	5,081	5,081	0
22831205	02/03/21 S	43,006	1.000	0	43,006	43,006	0
22831205	02/08/21 S	284,111	1.000	0	284,111	284,111	0
22831205	02/09/21 S	53	1.000	0	53	53	0
22831205	02/18/21 S	292,921	1.000	0	292,921	292,921	0

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2020 THROUGH SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	02/26/21 S	779,940	1.000	0	779,940	779,940	0
22831205	03/01/21 S	433,156	1.000	0	433,156	433,156	0
22831205	03/04/21 S	73,188	1.000	0	73,188	73,188	0
22831205	03/17/21 S	423,859	1.000	0	423,859	423,859	0
22831205	03/18/21 S	144,977	1.000	0	144,977	144,977	0
22831205	03/24/21 S	26,356	1.000	0	26,356	26,356	0
22831205	04/05/21 S	236,764	1.000	0	236,764	236,764	0
22831205	04/09/21 S	96,771	1.000	0	96,771	96,771	0
22831205	04/12/21 S	570,738	1.000	0	570,738	570,738	0
22831205	04/19/21 S	658,055	1.000	0	658,055	658,055	0
22831205	04/23/21 S	146,215	1.000	0	146,215	146,215	0
22831205	05/07/21 S	404,662	1.000	0	404,662	404,662	0
22831205	05/10/21 S	254,147	1.000	0	254,147	254,147	0
22831205	05/13/21 S	45,715	1.000	0	45,715	45,715	0
22831205	05/19/21 S	649,150	1.000	0	649,150	649,150	0
22831205	06/01/21 S	368,212	1.000	0	368,212	368,212	0
22831205	06/01/21 S	86,005	1.000	0	86,005	86,005	0
22831205	06/04/21 S	36,876	1.000	0	36,876	36,876	0
22831205	06/07/21 S	195,867	1.000	0	195,867	195,867	0
22831205	06/14/21 S	808,448	1.000	0	808,448	808,448	0
22831205	06/14/21 S	71,208	1.000	0	71,208	71,208	0
22831205	06/15/21 S	175,947	1.000	0	175,947	175,947	0
22831205	06/16/21 S	348,146	1.000	0	348,146	348,146	0
22831205	06/21/21 S	213,606	1.000	0	213,606	213,606	0
22831205	06/22/21 S	305,000	1.000	0	305,000	305,000	0
22831205	06/24/21 S	437,207	1.000	0	437,207	437,207	0
22831205	06/28/21 S	176,882	1.000	0	176,882	176,882	0
22831205	07/16/21 S	358,347	1.000	0	358,347	358,347	0
22831205	07/27/21 S	427,888	1.000	0	427,888	427,888	0
22831205	08/02/21 S	2,435,000	1.000	0	2,435,000	2,435,000	0
22831205	08/06/21 S	229,461	1.000	0	229,461	229,461	0
22831205	08/09/21 S	37,995	1.000	0	37,995	37,995	0
22831205	08/10/21 S	735,416	1.000	0	735,416	735,416	0
22831205	08/16/21 S	143,427	1.000	0	143,427	143,427	0
22831205	08/19/21 S	218,116	1.000	0	218,116	218,116	0
22831205	08/30/21 S	155,456	1.000	0	155,456	155,456	0
22831205	09/07/21 S	237,857	1.000	0	237,857	237,857	0
22831205	09/17/21 S	197,548	1.000	0	197,548	197,548	0
22831205	09/22/21 S	644,667	1.000	0	644,667	644,667	0
22831205	09/28/21 S	481,572	1.000	0	481,572	481,572	0
SUB-TOTAL OF SALES # 117				0	41,431,074	41,431,074	0
SUB-TOTAL				0	82,436,297	82,436,297	0



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4j - Schedule of Reportable Transactions

PAGE 744

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE FOR THE PERIOD OCTOBER 1, 2020 THROUGH SEPTEMBER 30, 2021					ALLISON SALARIED CONSOLIDATED ACCOUNT NUMBER 22831299		
TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
GRAND TOTAL				0	82,436,297	82,436,297	0

FOOTNOTES

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION



REPORTABLE TRANSACTIONS - SINGLE / BY BROKER FOR THE PERIOD OCTOBER 1, 2020 THROUGH SEPTEMBER 30, 2021					ALLISON SALARIED CONSOLIDATED ACCOUNT NUMBER 22831299		
TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
BEGINNING MARKET VALUE			113,721,398.56				
COMPARATIVE VALUE (5%)			5,686,069.93				

*** NO TRANSACTIONS QUALIFIED FOR THIS REPORT ***

F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). File as an attachment to Form 5500 or 5500-SF.	<small>OMB No. 1210-0110</small> 2020 This Form is Open to Public Inspection
---	--	--

For calendar plan year 2020 or fiscal plan year beginning 10/01/2020 and ending 09/30/2021

▶ Round off amounts to nearest dollar.

▶ Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES	B Three-digit plan number (PN) ▶	003
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF ALLISON TRANSMISSION, INC.	D Employer Identification Number (EIN) 26-0413897	
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500	

Part I Basic Information				
1 Enter the valuation date: Month <u>10</u> Day <u>01</u> Year <u>2020</u>				
2 Assets:				
a Market value	2a	113,721,399		
b Actuarial value	2b	113,721,399		
3 Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target	
a For retired participants and beneficiaries receiving payment	354	30,783,554	30,783,554	
b For terminated vested participants	140	8,260,622	8,260,622	
c For active participants	263	32,642,895	32,764,825	
d Total	757	71,687,071	71,809,001	
4 If the plan is in at-risk status, check the box and complete lines (a) and (b) ☐				
a Funding target disregarding prescribed at-risk assumptions	4a			
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b			
5 Effective interest rate	5	5.80%		
6 Target normal cost	6	2,684,358		

Statement by Enrolled Actuary
 To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	<u>David L Jakes</u> ^{B19} Signature of actuary David L. Jakes, FSA, EA, MAAA Type or print name of actuary Mercer Firm name 325 John H McConnell Blvd Suite 350 Columbus OH 43215 Address of the firm	<u>6/28/2022</u> Date 2005110 Most recent enrollment number 614-227-5555 Telephone number (including area code)
------------------	--	--

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

Part II Beginning of Year Carryover and Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9 Amount remaining (line 7 minus line 8)	0	0
10 Interest on line 9 using prior year's actual return of <u>11.30%</u>	0	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		0
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>5.62%</u>		0
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		
c Total available at beginning of current plan year to add to prefunding balance		0
d Portion of (c) to be added to prefunding balance		
12 Other reductions in balances due to elections or deemed elections	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d - line 12)	0	0

Part III Funding Percentages

14 Funding target attainment percentage	14	158.36%
15 Adjusted funding target attainment percentage	15	158.63%
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	152.23%
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls

18 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
05/20/2022	1,300,000	0			
Totals ▶			18(b)	1,300,000	18(c)
					0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	1,185,663

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:			
a Segment rates:	1st segment: 4.75 %	2nd segment: 5.50 %	3rd segment: 6.27 %
			☐ N/A, full yield curve used
b Applicable month (enter code).....			21b 0
22 Weighted average retirement age			22 61
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute			

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.		☒ Yes ☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.		☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.		☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.....	27	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)		31a	2,684,358
b Excess assets, if applicable, but not greater than line 31a		31b	2,684,358
32 Amortization installments:			
		Outstanding Balance	Installment
a Net shortfall amortization installment		0	0
b Waiver amortization installment		0	0
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount		33	
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)....		34	0
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	0	0	0
36 Additional cash requirement (line 34 minus line 35).....	36	0	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....	37	1,185,663	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)		38a	1,185,663
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances		38b	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0	
40 Unpaid minimum required contributions for all years	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:	
a Schedule elected	☐ 2 plus 7 years ☐ 15 years
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

Schedule SB, line 22 — Description of Weighted Average Retirement Age

Each employee is assumed to retire in accordance with the table of retirement rates. The proportion of employees expected to retire at each potential retirement age is shown below. The average retirement age is 61.

(A) Retirement age	(B) Retirement percent	(C) Lx	(D) Number of employees expected to retire = (B) x (C)	(E) = (A) x (D)
52	5.00%	10,000	500	26,000
53	5.00%	9,500	475	25,175
54	5.00%	9,025	451	24,368
55	5.00%	8,574	429	23,578
56	5.00%	8,145	407	22,806
57	5.00%	7,738	387	22,053
58	5.00%	7,351	368	21,318
59	5.00%	6,983	349	20,601
60	15.00%	6,634	995	59,708
61	15.00%	5,639	846	51,598
62	15.00%	4,793	719	44,577
63	15.00%	4,074	611	38,501
64	15.00%	3,463	519	33,246
65	30.00%	2,944	883	57,401
66	30.00%	2,061	618	40,799
67	30.00%	1,442	433	28,992
68	30.00%	1,010	303	20,597
69	30.00%	707	212	14,630
70	100.00%	495	495	34,632
Total			10,000	610,580
Average				61.06

Schedule SB, Part V — Summary of Plan Provisions**Summary of major plan provisions**

Effective date and plan year	Original plan: October 1, 2007 Restated plan: October 1, 2012 Plan year: October 1- September 30.
Most recent amendment	Amendment Number Three (executed April 26, 2019)
Type of Plan	Defined benefit Part A: Career Average Plan Part C: Account Balance Plan
Miscellaneous	
Maximum benefits	Annual benefits may not exceed the limits in IRC Section 415. This limit is indexed annually. For 2019, the limit is \$225,000.
Maximum compensation	Annual compensation may not exceed the limits in IRS Section 415. This limit is indexed annually. For 2019, the limit is \$280,000.
Actuarial equivalence	Based on IRC Section 417(e)(3) interest rates and mortality.
Separate descriptions follow for Part A: Career Average Plan and Part C: Account Balance Plan	

Schedule SB, Part V — Summary of Plan Provisions**Plan A: Career****Average plan****Definitions**

• Covered employees	Salaried employees who are Participants with a Length of Service date with GM prior to January 1, 2001 and who were accruing a benefit under Part D of the GM Plan immediately prior to August 7, 2007.
• Vesting service	Participants become vested after 3 years of Credited Service (including Credited Service prior to August 7, 2007 with GM).
• Credited service	Number of years (to the nearest 1/12) beginning August 7, 2007 during which an employee in regular employment receives compensation. Credited Service prior to August 7, 2007 with GM counts toward eligibility and vesting, but not benefit accrual.
• Compensation considered	Base Salary (including elective 401(k) and 401(m) deferrals and employee elections). Incentive compensation pay (iComp Program) is included effective January 1, 2008.
• Actuarial value	Calculated based on the “applicable mortality table” and the “applicable interest rate” for the third full month prior to the first day of the Plan Year preceding the determination date as described in Code Section 417(e).

Normal Retirement

• Eligibility	Later of age 65 or the fifth anniversary of date the employee commenced participation in the GM Salaried Retirement Program.
• Benefit	1.25% multiplied by Average Monthly Base Salary for each Plan Year.
• Pre-Effective Date Benefit	Effective as of October 2, 2007, all Part A Participants shall be entitled to a monthly benefit (in addition to the normal benefit) equal to 1.25% multiplied by the Part A Participant's Base Salary from August 7, 2007 through October 1, 2007, divided by 12.

Schedule SB, Part V — Summary of Plan Provisions**Early retirement**

- **Eligibility** Age 55 with 10 years of service (or 30 or more years of service and hired prior to January 1, 1988)
- **Benefit** Normal Retirement Benefit reduced for retirement prior to age 62 if :
 1. Age 60
 2. Age 55 with 85 age and service points (and hired prior to 1/1/1988)
 3. 30 or more years of service (and hired prior to 1/1/1988) Normal Retirement Benefit reduced for retirement prior to age 65 if:
 4. Age 55 but not age 60 with less than 85 age and service points
 5. Less than age 60 (and hired on or after 1/1/1988)
 Early retirement factors are as follows:

Age	Age 60 or hired before 1/1/1988 with age 55/85 points or 30 years	Age 55 with less than 85 points or less than age 60 (hired on or after 1/1/1988)
42	21.0%	N/A
43	22.6%	N/A
44	24.3%	N/A
45	26.1%	N/A
46	28.2%	N/A
47	30.4%	N/A
48	32.8%	N/A
49	35.4%	N/A
50	38.3%	N/A
51	41.5%	N/A
52	45.0%	N/A
53	48.9%	N/A
54	53.2%	N/A
55	57.9%	46.0%
56	63.5%	49.6%
57	69.4%	53.2%
58	75.2%	56.8%
59	80.8%	60.4%

Schedule SB, Part V — Summary of Plan Provisions

60	86.7%	64.0%
61	93.3%	71.2%
62	100%	78.4%
63	100%	85.6%
64	100%	92.8%
65	100%	100%

Early Retirement factors are prorated for intermediate ages computed on the basis of the number of complete calendar months by which the participant is under the age attained at the participant's next birthday.

Schedule SB, Part V — Summary of Plan Provisions**Deferred vested**

- **Eligibility** Vested upon termination
- **Benefit** Accrued benefit as of the Termination Date is payable at the Normal Retirement Age (or a reduced benefit is payable after age 25). Early retirement factors are as follows:

Age	Percentage
25	3.5%
30	5.1%
35	7.5%
40	11.0%
45	16.4%
50	24.8%
51	27.0%
52	29.4%
53	32.1%
54	35.0%
55	42.8%
56	46.8%
57	51.2%
58	55.5%
59	59.6%
60	64.0%
61	71.2%
62	78.4%
63	85.6%
64	92.8%
65	100%

Early retirement factors are prorated for intermediate ages computed on the basis of the number of complete calendar months by which the participant is under the age attained at the participant's next birthday

Schedule SB, Part V — Summary of Plan Provisions

Disability	
• Eligibility	Disabled prior to age 65 with 10 years of service or more
• Benefit	The Normal Retirement Benefit as of the date of disability is payable immediately (without reduction).
Pre-Retirement Death	
• Eligibility	Vested upon Participant's death
• Benefit prior to early retirement	50% of the deferred benefit otherwise payable under the plan is paid to the surviving spouse.
• Benefit after early but before normal retirement	65% of the benefit otherwise payable at the Participant's Early Retirement Benefit date is paid to the surviving spouse.
Form of Benefits	
• Automatic form for unmarried participants	Life Annuity
• Automatic form for married participants	65% joint and survivor annuity (immediate commencements) 50% joint and survivor annuity (deferred commencements)
	Benefit is 95% of the monthly basic benefit if the spouse's age is within 5 years of the participant's age. Such percentage is increased by one half of one percent (1/2%) (up to a maximum of 100%) for each 12 months in excess of 5 years that the spouse's age exceeds the participant's age and decreased by one-half of one percent (1/2%) for each 12 months in excess of 5 years that the spouse's age is less than the participant's age.
• Optional forms	Contingent Annuitant annuity in any designated percentage (in 5% increments) up to 100% Lump sum
• Optional form conversion factors	Contingent Annuitant: Fixed factors based on the age difference between the participant and contingent beneficiary are used to convert the accrued benefit to the optional form Lump sum: Convert the accrued benefit to the optional form based on Actuarial Value

Schedule SB, Part V — Summary of Plan Provisions**MISCELLANEOUS**

Addendum A enhancements	(Effective 12/1/2008)
• Eligibility	Part A Participants (named in the plan document) who, as of early 2009, had: (1) retired and terminated and requested a benefit calculation; or (2) retired or terminated and are in pay status
• Benefit	Normal retirement benefit, but if the Addendum A Participant retires voluntarily with a Length of Service Date prior to January 1, 1988 with either 30 years of Credited Service or whose combined years of age and years of Credited Service (to the nearest 1/12 in each case) at retirement total 85 or more, the monthly basic benefit otherwise payable to such Employee after he attains Age 62 and One Month shall be redetermined without reduction for commencement prior to age 62. In addition, in lieu of the Pre-Effective Date Benefit to which participants in general are entitled, Addendum A Participants shall be entitled to a monthly benefit equal to 1.25% multiplied by the Addendum A Participant's Base Salary from August 7, 2007 through September 30, 2007, divided by the number of months worked by the Addendum A Participant during this period
Addendum B enhancements	(Effective 10/1/2010)
• Eligibility	Part A Participants who, (1) retire voluntarily with a Length of Service Date on or before January 1, 1988, with 30 or more years of Credited Service or combined years of age and years of Credited Service (to the nearest 1/12th in each case) at retirement totaling 85 or more; (2) retire and begin receiving benefits on or before November 1, 2009; and (3) are not listed on Addendum A as Addendum A Participants.
• Benefit	Normal retirement benefit, but the monthly basic benefit otherwise payable to such Employee after he attains Age 62 and One Month shall be redetermined without reduction for commencement prior to age 62.

Schedule SB, Part V — Summary of Plan Provisions**Plan C: Account
Balance plan****Definitions**

• Covered employees	A salaried employee who is a participant and whose Length of Service Date with GM is on or after January 1, 2001 and before January 1, 2007, and who, prior to January 1, 2007, accrued a benefit under Part C of the GM Plan and was not vested in such benefits as of August 7, 2007.
• Vesting service	Participants become vested after 3 years of Credited Service (including Credited Service prior to August 7, 2007 with GM).
• Notional account balance	As of October 2, 2007 an account balance equal to the sum of the participant's pay credits and interest credits earned under Part C of the GM Salaried Retirement Program as of July 31, 2007 plus interest credits as of August 31, 2007 and for each month thereafter until October 1, 2007 based on the interest credit definition in the plan, using October 1, 2006 as the first day of the Plan Year under the GM Plan for which these interest credits are being calculated.
• Pay credits	No "pay credits" shall be credited to a Part C Participant's account balance under the Plan.
• Interest credits	Each Part C Participant's account balance is credited with interest credits as of the end of each month beginning October 31, 2007. Interest credits equal the account balance as of the end of the previous month times the monthly interest credit percentage. The account balance as of the end of the previous month shall be determined after any interest credits have been credited for such previous months. The monthly interest credit percentage is equal to $[(1 + \text{the annual interest rate})^{\frac{1}{12}} - 1]$. (The annual interest rate is the greater of 3.25% or the annual rate of interest on 30-year Treasury securities for the third full month prior to the first day of the Plan Year during which interest credits are being credited.)
• Account balance after separation	If vested, a Part C Participant's account balance continues to be credited with interest credits until the end of the month preceding the benefit commencement date.
• Actuarial value	Calculated based on the "applicable mortality table" and the "applicable interest rate" for the third full month prior to the first day of the Plan Year preceding the determination date as described in Code Section 417(e).
• Normal retirement age	Later of age 65 or the fifth anniversary of date the employee commenced participation in the GM Salaried Retirement Program.

Schedule SB, Part V — Summary of Plan Provisions**Benefit Commencement**

- | | |
|---------------|---|
| • Eligibility | Earlier of :
1. Normal Retirement Age
2. First of the month following separation from service following a request to commence benefits |
| • Benefit | Either of the following (depending on the elected form of benefit):
1. A monthly annuity benefit beginning on the benefit commencement date that has the same Actuarial Value of the vested account balance.
2. A lump sum payment as of the benefit commencement date equal to the vested account balance as of that date. |

Pre-retirement death

- | | |
|---|---|
| • Eligibility | If account is vested, then upon the Participant's death |
| • Benefit prior to benefit commencement (if married for at least one year at the time of death) | Either of the following (depending on the elected form of benefit):
1. A monthly annuity benefit beginning on the surviving spouse's benefit commencement date that has the same Actuarial Value of the vested account balance.
2. A lump sum payment as of the surviving spouse's benefit commencement date equal to the vested account balance as of that date. |
| • Benefit prior to benefit commencement (if not married or married for less than one year at the time of death) | The designated beneficiary or designated beneficiaries or estate shall receive a lump-sum payment payable as of the first day of the month following the date of death. The lump-sum payment shall equal the vested account balance as of that date. |

Form of benefits

- | | |
|---|--|
| • Automatic form for unmarried participants | Life annuity |
| • Automatic form for married participants | 50% joint and survivor annuity |
| • Optional forms | 75% joint and survivor annuity (for married participants) Lump sum payment |
| • Optional form conversion factors | Calculated based on the Actuarial Value |

Schedule SB, Part V — Summary of Plan Provisions**Benefits Included or Excluded**

Unless noted below, all benefits provided by the plan are included in this valuation:

- **Most recent plan amendments included:** Amendment Number Three (executed April 26, 2019).
- **Plan amendments excluded:** None
- **Late retirement increases:**
 - Active participants: The plan provides benefit suspension notices to participants who work beyond normal retirement; therefore, late retirement actuarial increases only apply to participants who defer retirement beyond age 70½. This valuation includes increases for current participants over age 70.
 - Deferred vested participants: Current deferred vested participants over normal retirement age are valued including the late retirement actuarial increase.
- **Internal Revenue Code limitations:** The limitations of Internal Revenue Code Section 415(b) and 401(a)(17) have been incorporated into our calculations.
- **IRC Section 416 rules for top-heavy plans:** We did not test whether this plan is top-heavy (when the present value of benefits for key employees equals or exceeds 60% of the present value for all participants). However, we expect that the plan is not top-heavy due to the large number of rank-and-file participants; therefore, the funding target and target normal cost do not reflect any liability for top-heavy benefit accruals.

Additional Benefits Included or Excluded

- **IRC Section 436 benefit restrictions:**
 - Unpredictable contingent event benefits: Not applicable.
 - Plan amendments: See above.
 - Prohibited payments: Limitations on prohibited benefits (if any) are reflected for annuity starting dates before the valuation date but are ignored for annuity starting dates on or after the valuation date.
 - Benefit accruals: The plan's funding target does not reflect any limitation on benefit accruals. The target normal cost does not reflect any limitation on benefit accruals.
- **Unpredictable contingent event benefits:** The plan does not have any unpredictable contingent event benefits.

Plan Provision Changes Since Prior Valuation

For all purposes, maximum benefit amounts under IRS rules were updated from 2019 to 2020.



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 688

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
1,500,000.000	US TREASURY BOND DTD 02/15/19 0.000 02/15/2049 CUSIP 912834UH9 ALLISON SALARIED-RYAN LABS	51.9960	779,940.00	54.9370 9/30/21	824,055.00	44,115.00
1,500,000.000	TOTAL US TREASURY BOND	2/15/49	779,940.00		824,055.00	44,115.00
860,000.000	US TREASURY BOND DTD 05/15/20 0.000 05/15/2050 CUSIP 912834VV7 ALLISON SALARIED-RYAN LABS	50.3670	433,156.20	53.7720 9/30/21	462,439.20	29,283.00
860,000.000	TOTAL US TREASURY BOND	5/15/50	433,156.20		462,439.20	29,283.00
1,200,000.000	US TREASURY BOND DTD 11/15/18 0.000 11/15/2048 CUSIP 912834UB2 ALLISON SALARIED-RYAN LABS	64.4350	773,220.00	54.8370 9/30/21	658,044.00	115,176.00-
1,200,000.000	TOTAL US TREASURY BOND	11/15/48	773,220.00		658,044.00	115,176.00-
5,540,000.000	US TREASURY BOND DTD 11/15/19 0.000 11/15/2049 CUSIP 912834VE5 ALLISON SALARIED-RYAN LABS	63.3698	3,510,686.80	54.1760 9/30/21	3,001,350.40	509,336.40-
5,540,000.000	TOTAL US TREASURY BOND	11/15/49	3,510,686.80		3,001,350.40	509,336.40-
660,000.000	US TREASURY BOND DTD 11/16/20 1.625 11/15/2050 CUSIP 912810SS8 ALLISON SALARIED-RYAN LABS	86.4692	570,696.88	89.8400 9/30/21	592,944.00	22,247.12
660,000.000	TOTAL US TREASURY BOND 1.625% 11/15/50		570,696.88		592,944.00	22,247.12



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 689

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
495,000.000	US TREASURY BOND DTD 08/31/21 1.750 08/15/2041 CUSIP 912810TA6 ALLISON SALARIED-RYAN LABS	97.0781	480,536.72	95.5940 9/30/21	473,190.30	7,346.42-
495,000.000	TOTAL US TREASURY BOND 1.750% 8/15/41		480,536.72		473,190.30	7,346.42-
420,000.000	US TREASURY BOND DTD 06/01/21 2.250 05/15/2041 CUSIP 912810SY5 ALLISON SALARIED-RYAN LABS	106.8866	448,923.77	103.9840 9/30/21	436,732.80	12,190.97-
420,000.000	TOTAL US TREASURY BOND 2.250% 5/15/41		448,923.77		436,732.80	12,190.97-
	TOTAL US TREASURY NOTES AND BONDS GOVT STRIPPED AND ZERO-COUPON		6,997,160.37		6,448,755.70	548,404.67-
4,280,000.000	US TREAS SEC STRIPPED ZERO CPN DTD 11/15/11 11/15/2041 CUSIP 912834KV9 ALLISON SALARIED-RYAN LABS	74.2040	3,175,931.20	64.5400 9/30/21	2,762,312.00	413,619.20-
4,280,000.000	TOTAL US TREAS SEC STRIPPED		3,175,931.20		2,762,312.00	413,619.20-
2,080,000.000	US TREASURY BOND STRIPPED ZERO CPN DTD 05/15/14 05/15/2044 CUSIP 912834NP9 ALLISON SALARIED-RYAN LABS	69.9380	1,454,710.40	60.7520 9/30/21	1,263,641.60	191,068.80-
2,080,000.000	TOTAL US TREAS SEC STRIPPED 5/15/44		1,454,710.40		1,263,641.60	191,068.80-
2,490,000.000	US TREAS SEC STRIPPED ZERO CPN DTD 05/16/16 05/15/2046 CUSIP 912834QH4 ALLISON SALARIED-RYAN LABS	67.1950	1,673,155.50	58.0630 9/30/21	1,445,768.70	227,386.80-
2,490,000.000	TOTAL US TREAS SEC STRIPPED 5/15/46		1,673,155.50		1,445,768.70	227,386.80-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 690

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
2,105,000.000	US TREAS SEC STRIPPED ZERO CPN DTD 08/17/15 08/15/2045 CUSIP 912834PM4 ALLISON SALARIED-RYAN LABS	68.2670	1,437,020.35	58.9910 9/30/21	1,241,760.55	195,259.80-
2,105,000.000	TOTAL US TREAS SEC STRIPPED	8/15/45	1,437,020.35		1,241,760.55	195,259.80-
7,200,000.000	US TREAS SEC STRIPPED DTD 08/15/17 08/15/2047 CUSIP 912834RR1 ALLISON SALARIED-RYAN LABS	65.4320	4,711,104.00	56.4920 9/30/21	4,067,424.00	643,680.00-
7,200,000.000	TOTAL US TREAS SEC STRIPPED	8/15/47	4,711,104.00		4,067,424.00	643,680.00-
1,060,000.000	US TREASURY BOND STRIPPED ZERO CPN DTD 11/15/13 11/15/2043 CUSIP 912834MZ8 ALLISON SALARIED-RYAN LABS	70.7730	750,193.80	61.3390 9/30/21	650,193.40	100,000.40-
1,060,000.000	TOTAL US TREAS SEC STRIPPED	11/15/43	750,193.80		650,193.40	100,000.40-
4,000,000.000	US TREASURY BOND ZERO CPN DTD 11/15/12 0.000 11/15/2042 CUSIP 912834LX4 ALLISON SALARIED-RYAN LABS	73.4880	2,939,520.00	62.8250 9/30/21	2,513,000.00	426,520.00-
4,000,000.000	TOTAL US TREASURY BOND	11/15/42	2,939,520.00		2,513,000.00	426,520.00-
	TOTAL GOVT STRIPPED AND ZERO-COUPON		16,141,635.25		13,944,100.25	2,197,535.00-
	MUNICIPAL TAXABLE BONDS					
355,000.000	CALIFORNIA ST BUILD AMERICA BONDS DTD 10/15/09 7.300 10/01/2039 CUSIP 13063A7D0 ALLISON SALARIED-RYAN LABS	164.2260	583,002.30	158.0510	561,081.05	21,921.25-

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
355,000.000	TOTAL CALIFORNIA ST 7.300% 10/01/39		583,002.30	9/30/21	561,081.05	21,921.25-
	UNIVERSITY CALIF REVS BUILD AMERICA BONDS DTD 09/30/10 5.946 05/15/2045 CUSIP 91412GDZ5					
215,000.000	ALLISON SALARIED-RYAN LABS	148.8190	319,960.85	140.8250 9/30/21	302,773.75	17,187.10-
215,000.000	TOTAL UNIVERSITY CALIF REV 5.946% 5/15/45		319,960.85		302,773.75	17,187.10-
	TOTAL MUNICIPAL TAXABLE BONDS		902,963.15		863,854.80	39,108.35-
	CORPORATE BONDS					
	ABBOTT LABORATORIES DTD 03/03/09 6.000 04/01/2039 CUSIP 002824AV2					
150,000.000	ALLISON SALARIED-RYAN LABS	153.6530	230,479.50	145.8480 9/30/21	218,772.00	11,707.50-
150,000.000	TOTAL ABBOTT LABORATORIES 6.000% 4/01/39		230,479.50		218,772.00	11,707.50-
	ABBVIE INC DTD 05/21/20 3.200 11/21/2029 CUSIP 00287YBX6					
300,000.000	ALLISON SALARIED-RYAN LABS	107.7420	323,226.00	107.7510 9/30/21	323,253.00	27.00
300,000.000	TOTAL ABBVIE INC 3.200% 11/21/29		323,226.00		323,253.00	27.00
	ACE INA HOLDINGS DTD 11/03/15 4.350 11/03/2045 CUSIP 00440EAW7					
100,000.000	ALLISON SALARIED-RYAN LABS	131.0430	131,043.00	125.7670 9/30/21	125,767.00	5,276.00-
100,000.000	TOTAL ACE INA HOLDINGS 4.350% 11/03/45		131,043.00		125,767.00	5,276.00-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 692

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
220,000.000	AFLAC INC DTD 04/01/20 3.600 04/01/2030 CUSIP 001055BJ0	117.3540	258,178.80	111.8270 9/30/21	246,019.40	12,159.40-
220,000.000	ALLISON SALARIED-RYAN LABS					
220,000.000	TOTAL AFLAC INC 3.600% 4/01/30		258,178.80		246,019.40	12,159.40-
350,000.000	ALABAMA POWER CO DTD 01/13/16 4.300 01/02/2046 CUSIP 010392FP8	125.0750	437,762.50	120.3560 9/30/21	421,246.00	16,516.50-
350,000.000	ALLISON SALARIED-RYAN LABS					
350,000.000	TOTAL ALABAMA POWER CO 4.300% 1/02/46		437,762.50		421,246.00	16,516.50-
445,000.000	ALIBABA GROUP HOLDING DTD 05/28/15 3.600 11/28/2024 CUSIP 01609WAQ5	109.8320	488,752.40	107.1370 9/30/21	476,759.65	11,992.75-
445,000.000	ALLISON SALARIED-RYAN LABS					
445,000.000	TOTAL ALIBABA GROUP HOLDIN 3.600% 11/28/24		488,752.40		476,759.65	11,992.75-
445,000.000	ALLSTATE CORP DTD 12/08/16 4.200 12/15/2046 CUSIP 020002BC4	124.8800	555,716.00	121.8830 9/30/21	542,379.35	13,336.65-
445,000.000	ALLISON SALARIED-RYAN LABS					
445,000.000	TOTAL ALLSTATE CORP 4.200% 12/15/46		555,716.00		542,379.35	13,336.65-
690,000.000	AMAZON.COM INC DTD 06/03/20 2.500 06/03/2050 CUSIP 023135BT2	100.4766	693,288.45	93.6550 9/30/21	646,219.50	47,068.95-
690,000.000	ALLISON SALARIED-RYAN LABS					
690,000.000	TOTAL AMAZON.COM INC 2.500% 6/03/50		693,288.45		646,219.50	47,068.95-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 693

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
440,000.000	AMEREN ILLINOIS CO DTD 11/28/17 3.700 12/01/2047 CUSIP 02361DAR1 ALLISON SALARIED-RYAN LABS	117.6690	517,743.60	113.6230 9/30/21	499,941.20	17,802.40-
440,000.000	TOTAL AMEREN ILLINOIS CO 3.700% 12/01/47		517,743.60		499,941.20	17,802.40-
135,000.000	AMERICAN HONDA FINANCE MED TERM NOTE DTD 09/10/20 1.000 09/10/2025 CUSIP 02665WDN8 ALLISON SALARIED-RYAN LABS	99.9930	134,990.55	99.4990 9/30/21	134,323.65	666.90-
135,000.000	TOTAL AMERICAN HONDA FINAN 1.000% 9/10/25		134,990.55		134,323.65	666.90-
325,000.000	AMERICAN INTL GROUP DTD 05/11/20 3.400 06/30/2030 CUSIP 026874DR5 ALLISON SALARIED-RYAN LABS	110.7360	359,892.00	109.1190 9/30/21	354,636.75	5,255.25-
325,000.000	TOTAL AMERICAN INTL GROUP 3.400% 6/30/30		359,892.00		354,636.75	5,255.25-
455,000.000	AMERICAN TOWER CORP DTD 10/03/19 2.750 01/15/2027 CUSIP 03027XAX8 ALLISON SALARIED-RYAN LABS	107.8740	490,826.70	104.9980 9/30/21	477,740.90	13,085.80-
455,000.000	TOTAL AMERICAN TOWER CORP 2.750% 1/15/27		490,826.70		477,740.90	13,085.80-
235,000.000	AMERIPRISE FINANCIAL INC DTD 08/11/16 2.875 09/15/2026 CUSIP 03076CAH9 ALLISON SALARIED-RYAN LABS	109.8510	258,149.85	106.9050 9/30/21	251,226.75	6,923.10-
235,000.000	TOTAL AMERIPRISE FINANCIAL 2.875% 9/15/26		258,149.85		251,226.75	6,923.10-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 694

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
340,000.000	ANHEUSER-BUSCH INBEV WOR DTD 01/23/19 4.750 01/23/2029 CUSIP 035240A03 ALLISON SALARIED-RYAN LABS	117.6460	399,996.40	117.7280 9/30/21	400,275.20	278.80
340,000.000	TOTAL ANHEUSER-BUSCH INBEV 4.750% 1/23/29		399,996.40		400,275.20	278.80
445,000.000	ANTHEM INC DTD 11/21/17 3.650 12/01/2027 CUSIP 036752AB9 ALLISON SALARIED-RYAN LABS	113.9450	507,055.25	111.0870 9/30/21	494,337.15	12,718.10-
445,000.000	TOTAL ANTHEM INC 3.650% 12/01/27		507,055.25		494,337.15	12,718.10-
100,000.000	APPLE INC DTD 02/09/17 3.350 02/09/2027 CUSIP 037833CJ7 ALLISON SALARIED-RYAN LABS	113.3630	113,363.00	110.2700 9/30/21	110,270.00	3,093.00-
100,000.000	TOTAL APPLE INC 3.350% 2/09/27		113,363.00		110,270.00	3,093.00-
915,000.000	APPLE INC DTD 05/13/15 4.375 05/13/2045 CUSIP 037833BH2 ALLISON SALARIED-RYAN LABS	133.4919	1,221,451.05	125.9860 9/30/21	1,152,771.90	68,679.15-
915,000.000	TOTAL APPLE INC 4.375% 5/13/45		1,221,451.05		1,152,771.90	68,679.15-
195,000.000	AT&T INC DTD 08/04/20 1.650 02/01/2028 CUSIP 00206RKG6 ALLISON SALARIED-RYAN LABS	100.1890	195,368.55	99.1990 9/30/21	193,438.05	1,930.50-
195,000.000	TOTAL AT&T INC 1.650% 2/01/28		195,368.55		193,438.05	1,930.50-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 695

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
100,000.000	AT&T INC DTD 02/19/19 4.350 03/01/2029 CUSIP 00206RHJ4 ALLISON SALARIED-RYAN LABS	116.9720	116,972.00	114.6620 9/30/21	114,662.00	2,310.00-
100,000.000	TOTAL AT&T INC 4.350% 3/01/29		116,972.00		114,662.00	2,310.00-
440,000.000	BANCO SANTANDER SA DTD 04/12/18 3.848 04/12/2023 CUSIP 05964HAG0 ALLISON SALARIED-RYAN LABS	106.7610	469,748.40	105.0140 9/30/21	462,061.60	7,686.80-
440,000.000	TOTAL BANCO SANTANDER SA 3.848% 4/12/23		469,748.40		462,061.60	7,686.80-
425,000.000	BARCLAYS PLC DTD 12/10/20 1.007 12/10/2024 CUSIP 06738EB07 ALLISON SALARIED-RYAN LABS	100.1480	425,629.00	100.4600 9/30/21	426,955.00	1,326.00
425,000.000	TOTAL BARCLAYS PLC 1.007% 12/10/24		425,629.00		426,955.00	1,326.00
315,000.000	BERKSHIRE HATHAWAY FIN DTD 05/15/13 4.300 05/15/2043 CUSIP 084664BV2 ALLISON SALARIED-RYAN LABS	129.9520	409,348.80	121.5350 9/30/21	382,835.25	26,513.55-
315,000.000	TOTAL BERKSHIRE HATHAWAY 4.300% 5/15/43		409,348.80		382,835.25	26,513.55-
685,000.000	BP CAP MARKETS AMERICA DTD 11/04/18 3.119 05/04/2026 CUSIP 10373QAT7 ALLISON SALARIED-RYAN LABS	110.4000	756,240.00	108.1360 9/30/21	740,731.60	15,508.40-
685,000.000	TOTAL BP CAP MARKETS AMERI 3.119% 5/04/26		756,240.00		740,731.60	15,508.40-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 696

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
390,000.000	BURLINGTN NORTH SANTA FE DTD 08/22/13 5.150 09/01/2043 CUSIP 12189LAP6 ALLISON SALARIED-RYAN LABS	136.3583	531,797.50	135.0810 9/30/21	526,815.90	4,981.60-
390,000.000	TOTAL BURLINGTN NORTH SANT 5.150% 9/01/43		531,797.50		526,815.90	4,981.60-
490,000.000	CANADIAN NATL RAILWAY DTD 05/01/20 2.450 05/01/2050 CUSIP 136375CZ3 ALLISON SALARIED-RYAN LABS	99.4450	487,280.50	91.8900 9/30/21	450,261.00	37,019.50-
490,000.000	TOTAL CANADIAN NATL RAILWA 2.450% 5/01/50		487,280.50		450,261.00	37,019.50-
235,000.000	CENTERPOINT ENERGY RES DTD 10/01/20 1.750 10/01/2030 CUSIP 15189YAF3 ALLISON SALARIED-RYAN LABS	93.4090	219,511.15	96.0660 9/30/21	225,755.10	6,243.95
235,000.000	TOTAL CENTERPOINT ENERGY 1.750% 10/01/30		219,511.15		225,755.10	6,243.95
205,000.000	CIGNA CORP DTD 03/16/20 2.400 03/15/2030 CUSIP 125523CL2 ALLISON SALARIED-RYAN LABS	103.7120	212,609.60	101.6590 9/30/21	208,400.95	4,208.65-
205,000.000	TOTAL CIGNA CORP 2.400% 3/15/30		212,609.60		208,400.95	4,208.65-
420,000.000	COCA-COLA CO/THE DTD 05/01/20 2.600 06/01/2050 CUSIP 191216CX6 ALLISON SALARIED-RYAN LABS	100.4100	421,722.00	95.5620 9/30/21	401,360.40	20,361.60-
420,000.000	TOTAL COCA-COLA CO/THE 2.600% 6/01/50		421,722.00		401,360.40	20,361.60-

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
200,000.000	COMCAST CORP DTD 07/19/16 3.400 07/15/2046 CUSIP 20030NBU4 ALLISON SALARIED-RYAN LABS	108.7370	217,474.00	106.0520 9/30/21	212,104.00	5,370.00-
200,000.000	TOTAL COMCAST CORP 3.400% 7/15/46		217,474.00		212,104.00	5,370.00-
570,000.000	COMCAST CORP DTD 01/14/13 4.250 01/15/2033 CUSIP 20030NBH3 ALLISON SALARIED-RYAN LABS	124.2510	708,230.70	116.8970 9/30/21	666,312.90	41,917.80-
570,000.000	TOTAL COMCAST CORP 4.250% 1/15/33		708,230.70		666,312.90	41,917.80-
450,000.000	COMMONWEALTH EDISON CO DTD 11/12/19 3.200 11/15/2049 CUSIP 202795JQ4 ALLISON SALARIED-RYAN LABS	109.4030	492,313.50	103.1870 9/30/21	464,341.50	27,972.00-
450,000.000	TOTAL COMMONWEALTH EDISON 3.200% 11/15/49		492,313.50		464,341.50	27,972.00-
465,000.000	COMMONWEALTH EDISON CO DTD 03/02/15 3.700 03/01/2045 CUSIP 202795JF8 ALLISON SALARIED-RYAN LABS	116.0730	539,739.45	112.6940 9/30/21	524,027.10	15,712.35-
465,000.000	TOTAL COMMONWEALTH EDISON 3.700% 3/01/45		539,739.45		524,027.10	15,712.35-
185,000.000	CONAGRA BRANDS INC DTD 10/16/20 1.375 11/01/2027 CUSIP 205887CF7 ALLISON SALARIED-RYAN LABS	99.6700	184,389.50	97.3950 9/30/21	180,180.75	4,208.75-
185,000.000	TOTAL CONAGRA BRANDS INC 1.375% 11/01/27		184,389.50		180,180.75	4,208.75-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 698

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
340,000.000	CONOCO INC DTD 04/20/99 6.950 04/15/2029 CUSIP 208251AE8 ALLISON SALARIED-RYAN LABS	138.8590	472,120.60	133.5950 9/30/21	454,223.00	17,897.60-
340,000.000	TOTAL CONOCO INC 6.950% 4/15/29		472,120.60		454,223.00	17,897.60-
500,000.000	CONS EDISON CO OF NY DTD 03/06/14 4.450 03/15/2044 CUSIP 209111FD0 ALLISON SALARIED-RYAN LABS	124.0260	620,130.00	120.2590 9/30/21	601,295.00	18,835.00-
500,000.000	TOTAL CONS EDISON CO OF NY 4.450% 3/15/44		620,130.00		601,295.00	18,835.00-
655,000.000	CONSUMERS ENERGY CO DTD 08/10/16 3.250 08/15/2046 CUSIP 210518CZ7 ALLISON SALARIED-RYAN LABS	111.4150	729,768.25	104.8220 9/30/21	686,584.10	43,184.15-
655,000.000	TOTAL CONSUMERS ENERGY CO 3.250% 8/15/46		729,768.25		686,584.10	43,184.15-
195,000.000	COSTCO WHOLESALE CORP DTD 04/20/20 1.750 04/20/2032 CUSIP 22160KAQ8 ALLISON SALARIED-RYAN LABS	102.5970	200,064.15	98.0500 9/30/21	191,197.50	8,866.65-
195,000.000	TOTAL COSTCO WHOLESALE COR 1.750% 4/20/32		200,064.15		191,197.50	8,866.65-
470,000.000	CVS HEALTH CORP DTD 08/15/19 3.000 08/15/2026 CUSIP 126650DF4 ALLISON SALARIED-RYAN LABS	109.4850	514,579.50	107.3600 9/30/21	504,592.00	9,987.50-
470,000.000	TOTAL CVS HEALTH CORP 3.000% 8/15/26		514,579.50		504,592.00	9,987.50-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 699

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
115,000.000	CVS HEALTH CORP DTD 03/09/18 4.300 03/25/2028 CUSIP 126650CX6 ALLISON SALARIED-RYAN LABS	113.9540	131,047.10	114.0660 9/30/21	131,175.90	128.80
115,000.000	TOTAL CVS HEALTH CORP 4.300% 3/25/28		131,047.10		131,175.90	128.80
100,000.000	DEERE & COMPANY DTD 03/30/20 3.100 04/15/2030 CUSIP 244199BJ3 ALLISON SALARIED-RYAN LABS	111.0380	111,038.00	108.7760 9/30/21	108,776.00	2,262.00-
100,000.000	TOTAL DEERE & COMPANY 3.100% 4/15/30		111,038.00		108,776.00	2,262.00-
420,000.000	DOWDUPONT INC DTD 11/28/18 4.493 11/15/2025 CUSIP 26078JAC4 ALLISON SALARIED-RYAN LABS	115.2660	484,117.20	112.4420 9/30/21	472,256.40	11,860.80-
420,000.000	TOTAL DOWDUPONT INC 4.493% 11/15/25		484,117.20		472,256.40	11,860.80-
605,000.000	DTE ELECTRIC CO DTD 02/26/20 2.950 03/01/2050 CUSIP 23338VAL0 ALLISON SALARIED-RYAN LABS	106.2922	643,067.90	100.1890 9/30/21	606,143.45	36,924.45-
605,000.000	TOTAL DTE ELECTRIC CO 2.950% 3/01/50		643,067.90		606,143.45	36,924.45-
400,000.000	DUKE ENERGY INDIANA LLC DTD 05/12/16 3.750 05/15/2046 CUSIP 26443TAA4 ALLISON SALARIED-RYAN LABS	117.5650	470,260.00	110.9050 9/30/21	443,620.00	26,640.00-
400,000.000	TOTAL DUKE ENERGY INDIANA 3.750% 5/15/46		470,260.00		443,620.00	26,640.00-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 700

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
240,000.000	ELI LILLY & CO DTD 05/05/20 2.250 05/15/2050 CUSIP 532457BY3 ALLISON SALARIED-RYAN LABS	94.2240	226,137.60	90.4000 9/30/21	216,960.00	9,177.60-
240,000.000	TOTAL ELI LILLY & CO 2.250% 5/15/50		226,137.60		216,960.00	9,177.60-
375,000.000	EXXON MOBIL CORPORATION DTD 08/16/19 2.440 08/16/2029 CUSIP 30231GBE1 ALLISON SALARIED-RYAN LABS	107.3820	402,682.50	104.2940 9/30/21	391,102.50	11,580.00-
375,000.000	TOTAL EXXON MOBIL CORPORAT 2.440% 8/16/29		402,682.50		391,102.50	11,580.00-
400,000.000	FIFTH THIRD BANCORP DTD 11/20/13 4.300 01/16/2024 CUSIP 316773CP3 ALLISON SALARIED-RYAN LABS	108.4840	433,936.00	107.4990 9/30/21	429,996.00	3,940.00-
400,000.000	TOTAL FIFTH THIRD BANCORP 4.300% 1/16/24		433,936.00		429,996.00	3,940.00-
345,000.000	FLORIDA POWER & LIGHT DTD 12/09/10 5.250 02/01/2041 CUSIP 341081FD4 ALLISON SALARIED-RYAN LABS	139.4690	481,168.05	135.2370 9/30/21	466,567.65	14,600.40-
345,000.000	TOTAL FLORIDA POWER & LIGH 5.250% 2/01/41		481,168.05		466,567.65	14,600.40-
900,000.000	FRANKLIN RESOURCES INC DTD 10/19/20 1.600 10/30/2030 CUSIP 354613AL5 ALLISON SALARIED-RYAN LABS	95.6532	860,878.80	95.1730 9/30/21	856,557.00	4,321.80-
900,000.000	TOTAL FRANKLIN RESOURCES 1.600% 10/30/30		860,878.80		856,557.00	4,321.80-

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
190,000.000	GENERAL DYNAMICS CORP DTD 03/25/20 4.250 04/01/2040 CUSIP 369550BH0 ALLISON SALARIED-RYAN LABS	125.8120	239,042.80	121.8820 9/30/21	231,575.80	7,467.00-
190,000.000	TOTAL GENERAL DYNAMICS COR 4.250% 4/01/40		239,042.80		231,575.80	7,467.00-
425,000.000	GEORGIA POWER COMPANY DTD 03/06/12 4.300 03/15/2042 CUSIP 373334JW2 ALLISON SALARIED-RYAN LABS	119.6920	508,691.00	116.5900 9/30/21	495,507.50	13,183.50-
425,000.000	TOTAL GEORGIA POWER COMPAN 4.300% 3/15/42		508,691.00		495,507.50	13,183.50-
415,000.000	GILEAD SCIENCES INC DTD 09/20/16 4.000 09/01/2036 CUSIP 375558BJ1 ALLISON SALARIED-RYAN LABS	121.2831	503,324.75	115.0050 9/30/21	477,270.75	26,054.00-
415,000.000	TOTAL GILEAD SCIENCES INC 4.000% 9/01/36		503,324.75		477,270.75	26,054.00-
390,000.000	HOME DEPOT INC DTD 12/06/18 4.500 12/06/2048 CUSIP 437076BX9 ALLISON SALARIED-RYAN LABS	133.6690	521,309.10	128.2980 9/30/21	500,362.20	20,946.90-
390,000.000	TOTAL HOME DEPOT INC 4.500% 12/06/48		521,309.10		500,362.20	20,946.90-
675,000.000	INTEL CORP DTD 06/08/18 3.734 12/08/2047 CUSIP 458140BG4 ALLISON SALARIED-RYAN LABS	119.4230	806,105.25	111.5580 9/30/21	753,016.50	53,088.75-
675,000.000	TOTAL INTEL CORP 3.734% 12/08/47		806,105.25		753,016.50	53,088.75-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 702

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
290,000.000	INTERCONTINENTALEXCHANGE DTD 08/20/20 2.650 09/15/2040 CUSIP 45866FAP9 ALLISON SALARIED-RYAN LABS	100.0180	290,052.20	95.8180 9/30/21	277,872.20	12,180.00-
290,000.000	TOTAL INTERCONTINENTALEXCH 2.650% 9/15/40		290,052.20		277,872.20	12,180.00-
340,000.000	JOHN DEERE CAPITAL CORP DTD 07/18/19 2.800 07/18/2029 CUSIP 24422EUY3 ALLISON SALARIED-RYAN LABS	111.6390	379,572.60	107.1980 9/30/21	364,473.20	15,099.40-
340,000.000	TOTAL JOHN DEERE CAPITAL 2.800% 7/18/29		379,572.60		364,473.20	15,099.40-
225,000.000	JOHNSON & JOHNSON DTD 08/25/20 2.100 09/01/2040 CUSIP 478160CR3 ALLISON SALARIED-RYAN LABS	99.8400	224,640.00	94.0210 9/30/21	211,547.25	13,092.75-
225,000.000	TOTAL JOHNSON & JOHNSON 2.100% 9/01/40		224,640.00		211,547.25	13,092.75-
405,000.000	JOHNSON & JOHNSON DTD 11/10/17 3.500 01/15/2048 CUSIP 478160CM4 ALLISON SALARIED-RYAN LABS	123.8430	501,564.15	116.1170 9/30/21	470,273.85	31,290.30-
405,000.000	TOTAL JOHNSON & JOHNSON 3.500% 1/15/48		501,564.15		470,273.85	31,290.30-
210,000.000	JOHNSON & JOHNSON DTD 12/05/13 4.375 12/05/2033 CUSIP 478160BJ2 ALLISON SALARIED-RYAN LABS	130.7240	274,520.40	123.0140 9/30/21	258,329.40	16,191.00-
210,000.000	TOTAL JOHNSON & JOHNSON 4.375% 12/05/33		274,520.40		258,329.40	16,191.00-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 703

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
455,000.000	KIMBERLY-CLARK CORP DTD 05/04/17 3.900 05/04/2047 CUSIP 494368BW2 ALLISON SALARIED-RYAN LABS	128.4160	584,292.80	119.8130 9/30/21	545,149.15	39,143.65-
455,000.000	TOTAL KIMBERLY-CLARK CORP 3.900% 5/04/47		584,292.80		545,149.15	39,143.65-
365,000.000	KIMCO REALTY CORP DTD 08/18/16 2.800 10/01/2026 CUSIP 49446RAP4 ALLISON SALARIED-RYAN LABS	106.8280	389,922.20	106.0650 9/30/21	387,137.25	2,784.95-
365,000.000	TOTAL KIMCO REALTY CORP 2.800% 10/01/26		389,922.20		387,137.25	2,784.95-
450,000.000	KINDER MORGAN ENER PART DTD 08/05/13 4.150 02/01/2024 CUSIP 494550BS4 ALLISON SALARIED-RYAN LABS	109.4250	492,412.50	106.9560 9/30/21	481,302.00	11,110.50-
450,000.000	TOTAL KINDER MORGAN ENER 4.150% 2/01/24		492,412.50		481,302.00	11,110.50-
140,000.000	KINDER MORGAN ENER PART DTD 09/11/14 4.250 09/01/2024 CUSIP 494550BV7 ALLISON SALARIED-RYAN LABS	108.9270	152,497.80	108.7850 9/30/21	152,299.00	198.80-
140,000.000	TOTAL KINDER MORGAN ENER 4.250% 9/01/24		152,497.80		152,299.00	198.80-
350,000.000	LLOYDS BANKING GROUP PLC DTD 08/16/18 4.050 08/16/2023 CUSIP 539439AS8 ALLISON SALARIED-RYAN LABS	108.2180	378,763.00	106.4690 9/30/21	372,641.50	6,121.50-
350,000.000	TOTAL LLOYDS BANKING GROUP 4.050% 8/16/23		378,763.00		372,641.50	6,121.50-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 704

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
505,000.000	LOEWS CORP DTD 03/22/16 3.750 04/01/2026 CUSIP 540424AS7 ALLISON SALARIED-RYAN LABS	114.0240	575,821.20	110.2500 9/30/21	556,762.50	19,058.70-
505,000.000	TOTAL LOEWS CORP 3.750% 4/01/26		575,821.20		556,762.50	19,058.70-
150,000.000	LOWE'S COS INC DTD 10/22/20 1.700 10/15/2030 CUSIP 548661DY0 ALLISON SALARIED-RYAN LABS	99.6990	149,548.50	95.6080 9/30/21	143,412.00	6,136.50-
150,000.000	TOTAL LOWE'S COS INC 1.700% 10/15/30		149,548.50		143,412.00	6,136.50-
230,000.000	LOWE'S COS INC DTD 03/26/20 4.500 04/15/2030 CUSIP 548661DU8 ALLISON SALARIED-RYAN LABS	124.0560	285,328.80	117.2240 9/30/21	269,615.20	15,713.60-
230,000.000	TOTAL LOWE'S COS INC 4.500% 4/15/30		285,328.80		269,615.20	15,713.60-
15,000.000	MANUF & TRADERS TRUST CO DTD 08/17/17 3.400 08/17/2027 CUSIP 55279HAQ3 ALLISON SALARIED-RYAN LABS	111.8930	16,783.95	109.4760 9/30/21	16,421.40	362.55-
15,000.000	TOTAL MANUF & TRADERS TRUS 3.400% 8/17/27		16,783.95		16,421.40	362.55-
485,000.000	MARATHON PETROLEUM CORP DTD 10/02/18 4.750 12/15/2023 CUSIP 56585ABA9 ALLISON SALARIED-RYAN LABS	109.6010	531,564.85	108.0990 9/30/21	524,280.15	7,284.70-
485,000.000	TOTAL MARATHON PETROLEUM 4.750% 12/15/23		531,564.85		524,280.15	7,284.70-

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
660,000.000	MASTERCARD INC DTD 05/31/19 3.650 06/01/2049 CUSIP 57636QAL8 ALLISON SALARIED-RYAN LABS	121.2443	800,212.50	115.2500 9/30/21	760,650.00	39,562.50-
660,000.000	TOTAL MASTERCARD INC 3.650% 6/01/49		800,212.50		760,650.00	39,562.50-
367,000.000	MEDTRONIC INC DTD 03/15/15 4.375 03/15/2035 CUSIP 585055BT2 ALLISON SALARIED-RYAN LABS	131.5179	482,670.57	122.9440 9/30/21	451,204.48	31,466.09-
367,000.000	TOTAL MEDTRONIC INC 4.375% 3/15/35		482,670.57		451,204.48	31,466.09-
270,000.000	MERCK & CO INC DTD 06/24/20 2.450 06/24/2050 CUSIP 58933YBB0 ALLISON SALARIED-RYAN LABS	98.9201	267,084.30	93.1350 9/30/21	251,464.50	15,619.80-
270,000.000	TOTAL MERCK & CO INC 2.450% 6/24/50		267,084.30		251,464.50	15,619.80-
450,000.000	MICROSOFT CORP DTD 03/17/21 2.921 03/17/2052 CUSIP 594918CE2 ALLISON SALARIED-RYAN LABS	96.5550	434,497.50	103.3800 9/30/21	465,210.00	30,712.50
450,000.000	TOTAL MICROSOFT CORP 2.921% 3/17/52		434,497.50		465,210.00	30,712.50
380,000.000	MIDAMERICAN ENERGY CO DTD 09/19/13 4.800 09/15/2043 CUSIP 595620AL9 ALLISON SALARIED-RYAN LABS	134.0270	509,302.60	128.7430 9/30/21	489,223.40	20,079.20-
380,000.000	TOTAL MIDAMERICAN ENERGY 4.800% 9/15/43		509,302.60		489,223.40	20,079.20-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 706

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
475,000.000	MITSUBISHI UFJ FIN GRP DTD 02/25/20 2.193 02/25/2025 CUSIP 606822BN3	104.7360	497,496.00	103.4370 9/30/21	491,325.75	6,170.25-
475,000.000	ALLISON SALARIED-RYAN LABS					
475,000.000	TOTAL MITSUBISHI UFJ FIN 2.193% 2/25/25		497,496.00		491,325.75	6,170.25-
650,000.000	NATIONAL RURAL UTIL COOP DTD 04/25/17 3.050 04/25/2027 CUSIP 637432NN1	111.5470	725,055.50	107.8070 9/30/21	700,745.50	24,310.00-
650,000.000	ALLISON SALARIED-RYAN LABS					
650,000.000	TOTAL NATIONAL RURAL UTIL 3.050% 4/25/27		725,055.50		700,745.50	24,310.00-
435,000.000	NEVADA POWER CO DTD 01/30/19 3.700 05/01/2029 CUSIP 641423CC0	117.2510	510,041.85	111.5670 9/30/21	485,316.45	24,725.40-
435,000.000	ALLISON SALARIED-RYAN LABS					
435,000.000	TOTAL NEVADA POWER CO 3.700% 5/01/29		510,041.85		485,316.45	24,725.40-
445,000.000	ONCOR ELECTRIC DELIVERY DTD 05/23/19 3.800 06/01/2049 CUSIP 68233JBP8	121.6860	541,502.70	116.9390 9/30/21	520,378.55	21,124.15-
445,000.000	ALLISON SALARIED-RYAN LABS					
445,000.000	TOTAL ONCOR ELECTRIC DELIV 3.800% 6/01/49		541,502.70		520,378.55	21,124.15-
790,000.000	ORACLE CORP DTD 11/09/17 3.800 11/15/2037 CUSIP 68389XBP9	117.3210	926,835.90	107.9130 9/30/21	852,512.70	74,323.20-
790,000.000	ALLISON SALARIED-RYAN LABS					
790,000.000	TOTAL ORACLE CORP 3.800% 11/15/37		926,835.90		852,512.70	74,323.20-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 707

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
320,000.000	ORACLE CORP DTD 04/09/08 6.500 04/15/2038 CUSIP 68389XAE5 ALLISON SALARIED-RYAN LABS	156.0850	499,472.00	139.2610 9/30/21	445,635.20	53,836.80-
320,000.000	TOTAL ORACLE CORP 6.500% 4/15/38		499,472.00		445,635.20	53,836.80-
330,000.000	PACIFICORP DTD 10/03/07 6.250 10/15/2037 CUSIP 695114CG1 ALLISON SALARIED-RYAN LABS	145.0050	478,516.50	141.1730 9/30/21	465,870.90	12,645.60-
330,000.000	TOTAL PACIFICORP 6.250% 10/15/37		478,516.50		465,870.90	12,645.60-
430,000.000	PEPSICO INC DTD 10/14/15 4.450 04/14/2046 CUSIP 713448DD7 ALLISON SALARIED-RYAN LABS	133.1050	572,351.50	126.4020 9/30/21	543,528.60	28,822.90-
430,000.000	TOTAL PEPSICO INC 4.450% 4/14/46		572,351.50		543,528.60	28,822.90-
580,000.000	PFIZER INC DTD 03/11/19 4.000 03/15/2049 CUSIP 717081EV1 ALLISON SALARIED-RYAN LABS	127.1820	737,655.60	120.9850 9/30/21	701,713.00	35,942.60-
580,000.000	TOTAL PFIZER INC 4.000% 3/15/49		737,655.60		701,713.00	35,942.60-
450,000.000	PNC BANK NA DTD 07/26/18 4.050 07/26/2028 CUSIP 69349LAR9 ALLISON SALARIED-RYAN LABS	116.9340	526,203.00	113.9210 9/30/21	512,644.50	13,558.50-
450,000.000	TOTAL PNC BANK NA 4.050% 7/26/28		526,203.00		512,644.50	13,558.50-

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
290,000.000	PPL ELECTRIC UTILITIES DTD 07/11/13 4.750 07/15/2043 CUSIP 69351UAR4	133.5650	387,338.50	128.5980 9/30/21	372,934.20	14,404.30-
290,000.000	TOTAL PPL ELECTRIC UTILITI 4.750% 7/15/43		387,338.50		372,934.20	14,404.30-
280,000.000	PRES & FELLOWS OF HARVAR DTD 04/21/20 2.517 10/15/2050 CUSIP 740816AP8	103.8310	290,726.80	98.5540 9/30/21	275,951.20	14,775.60-
280,000.000	TOTAL PRES & FELLOWS OF HA 2.517% 10/15/50		290,726.80		275,951.20	14,775.60-
180,000.000	PRINCETON UNIVERSITY DTD 06/15/20 2.516 07/01/2050 CUSIP 89837LAG0	104.0420	187,275.60	98.7680 9/30/21	177,782.40	9,493.20-
180,000.000	TOTAL PRINCETON UNIVERSITY 2.516% 7/01/50		187,275.60		177,782.40	9,493.20-
430,000.000	PROLOGIS LP DTD 02/18/20 2.250 04/15/2030 CUSIP 74340XBM2	106.2880	457,038.40	101.7960 9/30/21	437,722.80	19,315.60-
430,000.000	TOTAL PROLOGIS LP 2.250% 4/15/30		457,038.40		437,722.80	19,315.60-
285,000.000	PRUDENTIAL FINANCIAL INC DTD 03/10/20 3.000 03/10/2040 CUSIP 74432QCJ2	107.2580	305,685.30	102.8630 9/30/21	293,159.55	12,525.75-
285,000.000	TOTAL PRUDENTIAL FINANCIAL 3.000% 3/10/40		305,685.30		293,159.55	12,525.75-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 709

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
840,000.000	PUBLIC SERVICE ELECTRIC MED TERM NOTE DTD 05/04/18 3.700 05/01/2028 CUSIP 74456QBU9	117.0890	983,547.60	111.9170 9/30/21	940,102.80	43,444.80-
840,000.000	ALLISON SALARIED-RYAN LABS					
840,000.000	TOTAL PUBLIC SERVICE ELECT 3.700% 5/01/28		983,547.60		940,102.80	43,444.80-
175,000.000	RAYTHEON TECH CORP DTD 10/15/20 4.875 10/15/2040 CUSIP 75513ECA7	131.6295	230,351.63	128.0810 9/30/21	224,141.75	6,209.88-
175,000.000	ALLISON SALARIED-RYAN LABS					
175,000.000	TOTAL RAYTHEON TECH CORP 4.875% 10/15/40		230,351.63		224,141.75	6,209.88-
410,000.000	SIMON PROPERTY GROUP LP DTD 06/01/17 3.375 06/15/2027 CUSIP 828807DC8	107.2160	439,585.60	109.1930 9/30/21	447,691.30	8,105.70
410,000.000	ALLISON SALARIED-RYAN LABS					
410,000.000	TOTAL SIMON PROPERTY GROUP 3.375% 6/15/27		439,585.60		447,691.30	8,105.70
200,000.000	SOUTHERN CAL EDISON DTD 10/02/13 4.650 10/01/2043 CUSIP 842400FZ1	115.4330	230,866.00	115.4000 9/30/21	230,800.00	66.00-
200,000.000	ALLISON SALARIED-RYAN LABS					
200,000.000	TOTAL SOUTHERN CAL EDISON 4.650% 10/01/43		230,866.00		230,800.00	66.00-
440,000.000	SOUTHWESTERN PUBLIC SERV DTD 11/05/18 4.400 11/15/2048 CUSIP 845743BS1	128.1080	563,675.20	124.8720 9/30/21	549,436.80	14,238.40-
440,000.000	ALLISON SALARIED-RYAN LABS					
440,000.000	TOTAL SOUTHWESTERN PUBLIC 4.400% 11/15/48		563,675.20		549,436.80	14,238.40-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 710

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
565,000.000	SOUTHWESTERN PUBLIC SERV DTD 08/10/11 4.500 08/15/2041 CUSIP 845743BN2 ALLISON SALARIED-RYAN LABS	125.8420	711,007.30	121.8030 9/30/21	688,186.95	22,820.35-
565,000.000	TOTAL SOUTHWESTERN PUBLIC 4.500% 8/15/41		711,007.30		688,186.95	22,820.35-
440,000.000	STATE STREET CORP DTD 01/24/20 2.400 01/24/2030 CUSIP 857477BG7 ALLISON SALARIED-RYAN LABS	109.5210	481,892.40	103.7570 9/30/21	456,530.80	25,361.60-
440,000.000	TOTAL STATE STREET CORP 2.400% 1/24/30		481,892.40		456,530.80	25,361.60-
390,000.000	STATOIL ASA DTD 05/15/13 3.950 05/15/2043 CUSIP 85771PAL6 ALLISON SALARIED-RYAN LABS	116.3560	453,788.40	115.0840 9/30/21	448,827.60	4,960.80-
390,000.000	TOTAL STATOIL ASA 3.950% 5/15/43		453,788.40		448,827.60	4,960.80-
570,000.000	SUNOCO LOGISTICS PARTNER DTD 07/12/16 3.900 07/15/2026 CUSIP 86765BAT6 ALLISON SALARIED-RYAN LABS	109.2969	622,992.05	109.1740 9/30/21	622,291.80	700.25-
570,000.000	TOTAL SUNOCO LOGISTICS PAR 3.900% 7/15/26		622,992.05		622,291.80	700.25-
385,000.000	TELEFONICA EMISIONES SAU DTD 03/08/17 4.103 03/08/2027 CUSIP 87938WAT0 ALLISON SALARIED-RYAN LABS	115.2740	443,804.95	112.3480 9/30/21	432,539.80	11,265.15-
385,000.000	TOTAL TELEFONICA EMISIONES 4.103% 3/08/27		443,804.95		432,539.80	11,265.15-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 711

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
355,000.000	TOTAL CAPITAL INTL SA DTD 07/10/19 2.829 01/10/2030 CUSIP 89153VAT6 ALLISON SALARIED-RYAN LABS	110.7150	393,038.25	106.6380 9/30/21	378,564.90	14,473.35-
355,000.000	TOTAL TOTAL CAPITAL INTL 2.829% 1/10/30		393,038.25		378,564.90	14,473.35-
225,000.000	UNION ELECTRIC CO DTD 06/15/17 2.950 06/15/2027 CUSIP 906548CM2 ALLISON SALARIED-RYAN LABS	110.2740	248,116.50	106.8460 9/30/21	240,403.50	7,713.00-
225,000.000	TOTAL UNION ELECTRIC CO 2.950% 6/15/27		248,116.50		240,403.50	7,713.00-
465,000.000	UNITED PARCEL SERVICE DTD 11/14/17 3.750 11/15/2047 CUSIP 911312BN5 ALLISON SALARIED-RYAN LABS	120.8400	561,906.00	116.1090 9/30/21	539,906.85	21,999.15-
465,000.000	TOTAL UNITED PARCEL SERVIC 3.750% 11/15/47		561,906.00		539,906.85	21,999.15-
315,000.000	UNITEDHEALTH GROUP INC DTD 03/08/12 4.375 03/15/2042 CUSIP 91324PBW1 ALLISON SALARIED-RYAN LABS	127.2540	400,850.10	122.0940 9/30/21	384,596.10	16,254.00-
315,000.000	TOTAL UNITEDHEALTH GROUP 4.375% 3/15/42		400,850.10		384,596.10	16,254.00-
255,000.000	UNITEDHEALTH GROUP INC DTD 07/23/15 4.625 07/15/2035 CUSIP 91324PCQ3 ALLISON SALARIED-RYAN LABS	131.6990	335,832.45	124.2360 9/30/21	316,801.80	19,030.65-
255,000.000	TOTAL UNITEDHEALTH GROUP 4.625% 7/15/35		335,832.45		316,801.80	19,030.65-

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
320,000.000	VENTAS REALTY LP DTD 04/17/14 3.750 05/01/2024 CUSIP 92277GAD9 ALLISON SALARIED-RYAN LABS	107.2040	343,052.80	106.3900 9/30/21	340,448.00	2,604.80-
320,000.000	TOTAL VENTAS REALTY LP 3.750% 5/01/24		343,052.80		340,448.00	2,604.80-
438,000.000	VERIZON COMMUNICATIONS DTD 06/03/19 4.016 12/03/2029 CUSIP 92343VEU4 ALLISON SALARIED-RYAN LABS	119.7460	524,487.48	113.3110 9/30/21	496,302.18	28,185.30-
438,000.000	TOTAL VERIZON COMMUNICATIO 4.016% 12/03/29		524,487.48		496,302.18	28,185.30-
325,000.000	VERIZON COMMUNICATIONS DTD 10/29/14 4.400 11/01/2034 CUSIP 92343VC05 ALLISON SALARIED-RYAN LABS	122.2560	397,332.00	118.4280 9/30/21	384,891.00	12,441.00-
325,000.000	TOTAL VERIZON COMMUNICATIO 4.400% 11/01/34		397,332.00		384,891.00	12,441.00-
210,000.000	VMWARE INC DTD 04/07/20 4.500 05/15/2025 CUSIP 928563AD7 ALLISON SALARIED-RYAN LABS	111.8650	234,916.50	111.3830 9/30/21	233,904.30	1,012.20-
210,000.000	TOTAL VMWARE INC 4.500% 5/15/25		234,916.50		233,904.30	1,012.20-
890,000.000	BANK OF AMERICA CORP DTD 06/14/21 06/14/2029 CUSIP 06051GJZ3 ALLISON SALARIED-RYAN LABS	100.6422	895,715.60	99.8400 9/30/21	888,576.00	7,139.60-
890,000.000	TOTAL VR BANK OF AMERICA 2.087% 6/14/29		895,715.60		888,576.00	7,139.60-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 713

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
220,000.000	BANK OF AMERICA CORP MED TERM NOTE DTD 06/19/20 06/19/2041 CUSIP 06051GJEO ALLISON SALARIED-RYAN LABS	97.3030	214,066.60	96.5420 9/30/21	212,392.40	1,674.20-
220,000.000	TOTAL VR BANK OF AMERICA 2.676% 6/19/41		214,066.60		212,392.40	1,674.20-
390,000.000	BRANCH BANKING & TRUST DTD 09/16/19 09/17/2029 CUSIP 07330MAC1 ALLISON SALARIED-RYAN LABS	103.7430	404,597.70	104.5370 9/30/21	407,694.30	3,096.60
390,000.000	TOTAL VR BRANCH BANKING 2.636% 9/17/29		404,597.70		407,694.30	3,096.60
370,000.000	CITIGROUP INC DTD 01/24/18 01/24/2023 CUSIP 172967LV1 ALLISON SALARIED-RYAN LABS	103.1840	381,780.80	100.8410 9/30/21	373,111.70	8,669.10-
370,000.000	TOTAL VR CITIGROUP INC 3.142% 1/24/23		381,780.80		373,111.70	8,669.10-
660,000.000	GOLDMAN SACHS GROUP INC DTD 01/27/21 01/27/2032 CUSIP 38141GXRO ALLISON SALARIED-RYAN LABS	96.5057	636,937.40	96.4330 9/30/21	636,457.80	479.60-
660,000.000	TOTAL VR GOLDMAN SACHS GRO 1.992% 1/27/32		636,937.40		636,457.80	479.60-
420,000.000	JPMORGAN CHASE & CO DTD 06/01/21 06/01/2029 CUSIP 46647PCJ3 ALLISON SALARIED-RYAN LABS	100.0000	420,000.00	100.2250 9/30/21	420,945.00	945.00
420,000.000	TOTAL VR JPMORGAN CHASE & 2.069% 6/01/29		420,000.00		420,945.00	945.00



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 714

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
680,000.000	JPMORGAN CHASE & CO DTD 07/24/17 07/24/2038 CUSIP 46647PAJ5 ALLISON SALARIED-RYAN LABS	117.9100	801,788.00	115.1040 9/30/21	782,707.20	19,080.80-
680,000.000	TOTAL VR JPMORGAN CHASE & 3.882% 7/24/38		801,788.00		782,707.20	19,080.80-
245,000.000	MANULIFE FINANCIAL CORP DTD 02/24/17 02/24/2032 CUSIP 56501RAE6 ALLISON SALARIED-RYAN LABS	110.7160	271,254.20	109.6310 9/30/21	268,595.95	2,658.25-
245,000.000	TOTAL VR MANULIFE FINANCIAL 4.061% 2/24/32		271,254.20		268,595.95	2,658.25-
225,000.000	NATWEST GROUP PLC DTD 06/14/21 06/14/2027 CUSIP 639057AC2 ALLISON SALARIED-RYAN LABS	100.1340	225,301.50	99.9930 9/30/21	224,984.25	317.25-
225,000.000	TOTAL VR NATWEST GROUP PLC 1.642% 6/14/27		225,301.50		224,984.25	317.25-
640,000.000	WALMART INC DTD 09/22/21 2.500 09/22/2041 CUSIP 931142EU3 ALLISON SALARIED-RYAN LABS	100.7291	644,666.50	99.5650 9/30/21	637,216.00	7,450.50-
640,000.000	TOTAL WALMART INC 2.500% 9/22/41		644,666.50		637,216.00	7,450.50-
390,000.000	WALMART INC DTD 06/27/18 4.050 06/29/2048 CUSIP 931142EC3 ALLISON SALARIED-RYAN LABS	130.5090	508,985.10	125.7980 9/30/21	490,612.20	18,372.90-
390,000.000	TOTAL WALMART INC 4.050% 6/29/48		508,985.10		490,612.20	18,372.90-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 715

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
350,000.000	WALT DISNEY COMPANY/THE DTD 09/06/19 2.000 09/01/2029 CUSIP 254687FL5 ALLISON SALARIED-RYAN LABS	99.7332	349,066.25	100.9000 9/30/21	353,150.00	4,083.75
350,000.000	TOTAL WALT DISNEY COMPANY/ 2.000% 9/01/29		349,066.25		353,150.00	4,083.75
230,000.000	WALT DISNEY COMPANY/THE DTD 09/06/19 2.750 09/01/2049 CUSIP 254687FM3 ALLISON SALARIED-RYAN LABS	97.5110	224,275.30	96.0180 9/30/21	220,841.40	3,433.90-
230,000.000	TOTAL WALT DISNEY COMPANY/ 2.750% 9/01/49		224,275.30		220,841.40	3,433.90-
760,000.000	WELLS FARGO & COMPANY MED TERM NOTE DTD 07/22/15 4.300 07/22/2027 CUSIP 94974BGL8 ALLISON SALARIED-RYAN LABS	114.5770	870,785.20	113.6190 9/30/21	863,504.40	7,280.80-
760,000.000	TOTAL WELLS FARGO & COMPAN 4.300% 7/22/27		870,785.20		863,504.40	7,280.80-
365,000.000	WELLTOWER INC DTD 02/15/19 3.625 03/15/2024 CUSIP 950400AG9 ALLISON SALARIED-RYAN LABS	108.1670	394,809.55	106.6220 9/30/21	389,170.30	5,639.25-
365,000.000	TOTAL WELLTOWER INC 3.625% 3/15/24		394,809.55		389,170.30	5,639.25-
420,000.000	3M COMPANY MED TERM NOTE DTD 02/22/19 3.375 03/01/2029 CUSIP 88579YBG5 ALLISON SALARIED-RYAN LABS	115.0000	483,000.00	110.1250 9/30/21	462,525.00	20,475.00-
420,000.000	TOTAL 3M COMPANY 3.375% 3/01/29		483,000.00		462,525.00	20,475.00-
	TOTAL CORPORATE BONDS		54,562,078.73		52,790,303.61	1,771,775.12-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 716

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
	CORPORATE BACKED OBLIGATION					
273,388.950	CF HIPPOLYTA ISSUER LLC SER 2021-1A CL A1 *0 DAY DELAY* 144A PRIV PLCMT 1.530 03/15/2061 CUSIP 12530MAE5	99.9810	273,336.96	100.6560 9/30/21	275,182.38	1,845.42
273,388.950	TOTAL CF HIPPOLYTA ISSUER 1.530% 3/15/61		273,336.96		275,182.38	1,845.42
445,000.000	BAYVIEW OPPORTUNITY MASTER FUN SER 2017-RT2 CL B3 *0 DAY DELAY* 144A PRIV PLCMT 08/28/2057 CUSIP 07332MAF2	107.1920	477,004.40	104.3640 9/30/21	464,419.80	12,584.60-
445,000.000	TOTAL VR BAYVIEW OPPORTUNI 4.190% 8/28/57		477,004.40		464,419.80	12,584.60-
301,018.200	FINANCE AMER STRUCTURED SECS TR JR2 SER 2019-JR3 SER 2019-JR3 CL A *0 DAY DELAY* 144A PRIV PLCMT 09/25/2069 CUSIP 31739LAA4	104.5599	314,744.26	108.2480 9/30/21	325,846.18	11,101.92
301,018.200	TOTAL VR FINANCE AMER STRU 1.000% 9/25/69		314,744.26		325,846.18	11,101.92
660,000.000	MAGNETITE CLO LTD SER 2017-19A CL AR *0 DAY DELAY* 144A PRIV PLCMT 04/17/2034 CUSIP 55954KAL9	100.0000	660,000.00	100.0618 9/30/21	660,407.88	407.88
660,000.000	TOTAL VR MAGNETITE CLO LTD 1.000% 4/17/34		660,000.00		660,407.88	407.88



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 717

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
	SOUND POINT CLO LTD SER 2017-3A CL A2 *0 DAY DELAY* 144A PRIV PLCMT 10/20/2030 CUSIP 83611GAC5					
600,000.000	ALLISON SALARIED-RYAN LABS	100.1250	600,750.00	100.0007 9/30/21	600,004.20	745.80-
600,000.000	TOTAL VR SOUND POINT CLO 1.80812% 10/20/30		600,750.00		600,004.20	745.80-
	TOTAL CORPORATE BACKED OBLIGATION		2,325,835.62		2,325,860.44	24.82
	MORTGAGE BACKED OBLIGATION					
	CREDIT SUISSE MORTGAGE TRUST SER 2014-USA CL D *14 DAY DELAY* 144A PRIV PLCMT 4.373 09/15/2037 CUSIP 12649AAN9					
230,000.000	ALLISON SALARIED-RYAN LABS	76.0360	174,882.80	95.1630 9/30/21	218,874.90	43,992.10
230,000.000	TOTAL CREDIT SUISSE MORTG 4.3733% 9/15/37		174,882.80		218,874.90	43,992.10
	SLG OFFICE TRUST SER 2021-OVA CL A *14 DAY DELAY* 144A PRIV PLCMT 2.585 07/15/2041 CUSIP 78449RAA3					
615,000.000	ALLISON SALARIED-RYAN LABS	103.6105	637,204.54	103.3650 9/30/21	635,694.75	1,509.79-
615,000.000	TOTAL SLG OFFICE TRUST 2.5854% 7/15/41		637,204.54		635,694.75	1,509.79-
	BENCHMARK MORTGAGE TRUST SER 2020-IG3 CL T33B *14 DAY DELAY* 144A PRIV PLCMT 09/15/2048 CUSIP 08162QBL2					
280,000.000	ALLISON SALARIED-RYAN LABS	107.6602	301,448.44	102.8600 9/30/21	288,008.00	13,440.44-
280,000.000	TOTAL VR BENCHMARK MORTG 3.22991% 9/15/48		301,448.44		288,008.00	13,440.44-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 718

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
420,000.000	BENCHMARK MORTGAGE TRUST SER 2020-IG2 CL B *14 DAY DELAY* 144A PRIV PLCMT 09/15/2048 CUSIP 08162NAM8 ALLISON SALARIED-RYAN LABS	105.6487	443,724.61	102.0790 9/30/21	428,731.80	14,992.81-
420,000.000	TOTAL VR BENCHMARK MORTG 3.29306% 9/15/48		443,724.61		428,731.80	14,992.81-
245,000.000	FREMF MORTGAGE TRUST SER 2016-K60 CL C *24 DAY DELAY* 144A PRIV PLCMT 12/25/2049 CUSIP 302947AG1 ALLISON SALARIED-RYAN LABS	104.1130	255,076.85	104.1320 9/30/21	255,123.40	46.55
245,000.000	TOTAL VR FREMF MORTGAGE 3.6569% 12/25/49		255,076.85		255,123.40	46.55
460,000.000	JP MORGAN CHASE COMMERCIAL MOR SER 2015-FRR2 CL AK39 *26 DAY DELAY* 144A PRIV PLCMT 08/27/2047 CUSIP 46644KAG5 ALLISON SALARIED-RYAN LABS	101.3560	466,237.60	101.3260 9/30/21	466,099.60	138.00-
460,000.000	TOTAL VR JP MORGAN CHASE 2.94032% 8/27/47		466,237.60		466,099.60	138.00-
236,236.880	NEW RESIDENTIAL MORTGAGE LOAN SER 2018-2A CL B3 *24 DAY DELAY* 144A PRIV PLCMT 02/25/2058 CUSIP 64828CCL1 ALLISON SALARIED-RYAN LABS	107.8990	254,897.23	107.5750 9/30/21	254,131.82	765.41-
236,236.880	TOTAL VR NEW RESIDENTIAL 5.000% 2/25/58		254,897.23		254,131.82	765.41-
390,000.000	SFAVE COMMERCIAL MORTGAGE SECU SER 2015-5AVE CL B *4 DAY DELAY* 144A PRIV PLCMT 01/05/2035 CUSIP 78413MAL2 ALLISON SALARIED-RYAN LABS	83.3300	324,987.00	101.1890	394,637.10	69,650.10



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 719

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
390,000.000	TOTAL VR SFAVE COMMERCIA 4.38801% SHOPS AT CRYSTALS TRUST 2016-C SER 2016-CSTL CL D *4 DAY DELAY* 144A PRIV PLCMT 07/05/2036 CUSIP 82510WAL4	1/05/35	324,987.00	9/30/21	394,637.10	69,650.10
420,000.000	ALLISON SALARIED-RYAN LABS	77.4990	325,495.80	94.9510 9/30/21	398,794.20	73,298.40
420,000.000	TOTAL VR SHOPS AT CRYSTA 3.7311% SOHO TRUST 2021-SOHO SER 2021-SOHO CL A *9 DAY DELAY* 144A PRIV PLCMT 08/10/2038 CUSIP 83410JAA6	7/05/36	325,495.80		398,794.20	73,298.40
320,000.000	ALLISON SALARIED-RYAN LABS	100.3711	321,187.52	98.7990 9/30/21	316,156.80	5,030.72-
320,000.000	TOTAL VR SOHO TRUST 2021 2.78648% WELLS FARGO COMMERCIAL MORTGAG SER 2016-LC25 CL B *14 DAY DELAY* DTD 12/01/16 4.437 12/15/2059 CUSIP 95000JBA5	8/10/38	321,187.52		316,156.80	5,030.72-
95,000.000	ALLISON SALARIED-RYAN LABS	107.0960	101,741.20	108.9300 9/30/21	103,483.50	1,742.30
95,000.000	TOTAL WELLS FARGO COMMERC 4.4367% TOTAL MORTGAGE BACKED OBLIGATION PRIVATE PLACEMENT	12/15/59	101,741.20		103,483.50	1,742.30
			3,606,883.59		3,759,735.87	152,852.28
425,000.000	ALLISON SALARIED-RYAN LABS	98.9630	420,592.75	104.3450 9/30/21	443,466.25	22,873.50
425,000.000	TOTAL P/P AVIATION CAPITAL 3.875% AVIATION CAPITAL GROUP 144A PRIV PLCMT 3.875 05/01/2023 CUSIP 05369AAC5	5/01/23	420,592.75		443,466.25	22,873.50



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 720

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
230,000.000	BELROSE FUNDING TRUST 144A PRIV PLCMT 2.330 08/15/2030 CUSIP 080807AA8 ALLISON SALARIED-RYAN LABS	98.8260	227,299.80	98.6440 9/30/21	226,881.20	418.60-
230,000.000	TOTAL P/P BELROSE FUNDING 2.330% 8/15/30		227,299.80		226,881.20	418.60-
445,000.000	BOSTON GAS COMPANY 144A PRIV PLCMT 3.001 08/01/2029 CUSIP 100743AL7 ALLISON SALARIED-RYAN LABS	110.0320	489,642.40	104.2340 9/30/21	463,841.30	25,801.10-
445,000.000	TOTAL P/P BOSTON GAS COMPA 3.001% 8/01/29		489,642.40		463,841.30	25,801.10-
220,000.000	CAMERON LNG LLC 144A PRIV PLCMT 2.902 07/15/2031 CUSIP 133434AA8 ALLISON SALARIED-RYAN LABS	110.0050	242,011.00	106.0260 9/30/21	233,257.20	8,753.80-
220,000.000	TOTAL P/P CAMERON LNG LLC 2.902% 7/15/31		242,011.00		233,257.20	8,753.80-
155,000.000	ELECTRICITE DE FRANCE SA 144A PRIV PLCMT 4.950 10/13/2045 CUSIP 268317AQ7 ALLISON SALARIED-RYAN LABS	122.7070	190,195.85	127.4610 9/30/21	197,564.55	7,368.70
155,000.000	TOTAL P/P ELECTRICITE DE 4.950% 10/13/45		190,195.85		197,564.55	7,368.70
335,000.000	ENEL FINANCE INTL NV 144A PRIV PLCMT 3.500 04/06/2028 CUSIP 29278GAF5 ALLISON SALARIED-RYAN LABS	111.4320	373,297.20	109.4430 9/30/21	366,634.05	6,663.15-
335,000.000	TOTAL P/P ENEL FINANCE INT 3.500% 4/06/28		373,297.20		366,634.05	6,663.15-

ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2021ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
220,000.000	HYUNDAI CAPITAL AMERICA 144A PRIV PLCMT 1.000 09/17/2024 CUSIP 44891ABY2 ALLISON SALARIED-RYAN LABS	99.8470	219,663.40	99.6460 9/30/21	219,221.20	442.20-
220,000.000	TOTAL P/P HYUNDAI CAPITAL 1.000% 9/17/24		219,663.40		219,221.20	442.20-
320,000.000	MARS INC 144A PRIV PLCMT 1.625 07/16/2032 CUSIP 571676AL9 ALLISON SALARIED-RYAN LABS	99.2100	317,472.00	94.8270 9/30/21	303,446.40	14,025.60-
320,000.000	TOTAL P/P MARS INC 1.625% 7/16/32		317,472.00		303,446.40	14,025.60-
435,000.000	NEW YORK LIFE INSURANCE 144A PRIV PLCMT 3.750 05/15/2050 CUSIP 64952GAT5 ALLISON SALARIED-RYAN LABS	112.7420	490,427.70	112.0460 9/30/21	487,400.10	3,027.60-
435,000.000	TOTAL P/P NEW YORK LIFE IN 3.750% 5/15/50		490,427.70		487,400.10	3,027.60-
865,000.000	SIEMENS FINANCIERINGSMAT 144A PRIV PLCMT 3.400 03/16/2027 CUSIP 82620KAU7 ALLISON SALARIED-RYAN LABS	112.2710	971,144.15	109.4780 9/30/21	946,984.70	24,159.45-
865,000.000	TOTAL P/P SIEMENS FINANCIE 3.400% 3/16/27		971,144.15		946,984.70	24,159.45-
405,000.000	BNP PARIBAS 144A PRIV PLCMT 01/13/2031 CUSIP 09659W2K9 ALLISON SALARIED-RYAN LABS	107.5767	435,685.50	104.7620 9/30/21	424,286.10	11,399.40-
405,000.000	TOTAL VR P/P BNP PARIBAS 3.052% 1/13/31		435,685.50		424,286.10	11,399.40-
	TOTAL PRIVATE PLACEMENT		4,377,431.75		4,312,983.05	64,448.70-
	TOTAL BONDS & NOTES		88,913,988.46		84,445,593.72	4,468,394.74-



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
 Attachments to Schedule H (2020 Form 5500)
 Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 722

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
MUTUAL FUNDS						
MUTUAL FUNDS- EQUITY						
	AMERICAN CENTURY EMERGING MARKETS FUND CLASS R6 CUSIP 025086422					
62,971.136	ALLISON SALARIED MUTUAL FUNDS	14.0792	886,581.29	14.5700 9/30/21	917,489.45	30,908.16
62,971.136	TOTAL AMER CENT EMERG MARKETS-R6		886,581.29		917,489.45	30,908.16
	BLACKSTONE ALTERNATIVE MULTI-STRATEGY FUND CLASS I CUSIP 09257V201					
191,151.220	ALLISON SALARIED MUTUAL FUNDS	10.0337	1,917,947.17	10.8400 9/30/21	2,072,079.22	154,132.05
191,151.220	TOTAL BLACKSTONE ALT MULTI-STRAT-I		1,917,947.17		2,072,079.22	154,132.05
	EATON VANCE ATLANTA CAPITAL SMID-CAP FUND CLASS R6 #1016 CUSIP 277902235					
72,262.935	ALLISON SALARIED MUTUAL FUNDS	35.1797	2,542,189.38	42.2000 9/30/21	3,049,495.86	507,306.48
72,262.935	TOTAL EATON VANCE-ATLANTA SMID-R6 #1016		2,542,189.38		3,049,495.86	507,306.48
	HARTFORD INTERNATIONAL OPPORTUNITIES FUND CLASS Y CUSIP 416645885					
140,227.771	ALLISON SALARIED MUTUAL FUNDS	17.9561	2,517,940.49	21.5700 9/30/21	3,024,713.02	506,772.53
140,227.771	TOTAL HARTFORD INTL OPPORT-Y		2,517,940.49		3,024,713.02	506,772.53
	PIMCO COMMODITY REAL RETURN STRATEGY FUND-CLASS I #45 CUSIP 722005667					
173,204.755	ALLISON SALARIED MUTUAL FUNDS	5.4289	940,305.64	6.3900 9/30/21	1,106,778.38	166,472.74
173,204.755	TOTAL PIMCO COMMODITY REAL RET STRAT-I#45		940,305.64		1,106,778.38	166,472.74



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
Attachments to Schedule H (2020 Form 5500)
Schedule H, Line 4i - Schedule of Assets (Held At End of Year)

PAGE 723

ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2021

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE PRICING DATE	TOTAL MARKET	
	PRINCIPAL INVESTORS REAL ESTATE SECURITIES INSTL FUND #4934 CUSIP 742530580					
31,508.741	ALLISON SALARIED MUTUAL FUNDS	24.3238	766,413.46	31.3800 9/30/21	988,744.29	222,330.83
31,508.741	TOTAL PRINCIPAL INV R/E SEC-IS FUND 4934		766,413.46		988,744.29	222,330.83
	TOTAL MUTUAL FUNDS- EQUITY		9,571,377.43		11,159,300.22	1,587,922.79
	TOTAL MUTUAL FUNDS		9,571,377.43		11,159,300.22	1,587,922.79
	TOTAL ASSETS AND LIABILITIES		103,967,869.15		101,995,912.20	1,971,956.95-
	PENDING TRADES		526.02-		526.02-	
	ACCRUED INCOME		520,930.39		520,930.39	
	NET ADJUSTED ASSETS		104,488,273.52		102,516,316.57	1,971,956.95-

Schedule SB, line 24 — Change in Actuarial Assumptions

- Actuarial value basis was updated from 2019 417(e) static mortality and July 2019 segment rates (2.34%/3.38%/4.01%) to 2020 417(e) static mortality and July 2020 segment rates (0.59%/2.25%/3.01%).
- Form of payment assumption for current and future vested deferred participants retiring was updated from 40% annuity/60% lump sum to 30% annuity/70% lump sum and for active participants retiring from 40% annuity/60% lump sum to 60% annuity/40% lump sum.
- Part C form of payment was updated from life annuity to lump sum.