

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2021 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>	
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☐ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)
E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.	☐

Part II	Basic Plan Information—enter all requested information										
1a Name of plan <u>RODEY DICKASON SLOAN AKIN & ROBB PA EMPLOYEES' TAX-DEFERRED RETIREMENT PLAN AND TRUST</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>01/01/1972</u></td> </tr> <tr> <td colspan="2">2b Employer Identification Number (EIN) <u>85-0216511</u></td> </tr> <tr> <td colspan="2">2c Plan Sponsor's telephone number <u>505-765-5900</u></td> </tr> <tr> <td colspan="2">2d Business code (see instructions) <u>541110</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>001</u>	1c Effective date of plan <u>01/01/1972</u>		2b Employer Identification Number (EIN) <u>85-0216511</u>		2c Plan Sponsor's telephone number <u>505-765-5900</u>		2d Business code (see instructions) <u>541110</u>	
1b Three-digit plan number (PN) ▶	<u>001</u>										
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2b Employer Identification Number (EIN) <u>85-0216511</u>											
2c Plan Sponsor's telephone number <u>505-765-5900</u>											
2d Business code (see instructions) <u>541110</u>											
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>RODEY DICKASON SLOAN AKIN & ROBB PA</u> <u>PO BOX 1888</u> <u>ALBUQUERQUE, NM 87103</u>											

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	07/25/2022	PERRY BENDICKSEN
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2021)
v. 210624

3a Plan administrator's name and address ☐ Same as Plan Sponsor RETIREMENT PLAN ADVISORY COMMITTEE PERRY BENDICKSEN, CHAIRMAN PO BOX 1888 ALBUQUERQUE, NM 87103-1888		3b Administrator's EIN 85-0177446
		3c Administrator's telephone number 505-765-5900
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN
5 Total number of participants at the beginning of the plan year		5 187
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).		
a(1) Total number of active participants at the beginning of the plan year.....		6a(1) 134
a(2) Total number of active participants at the end of the plan year		6a(2) 133
b Retired or separated participants receiving benefits.....		6b
c Other retired or separated participants entitled to future benefits		6c 61
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d 194
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e
f Total. Add lines 6d and 6e		6f 194
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g 194
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h 1
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2J 2K		
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:		
9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)		
a Pension Schedules (1) ☐ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☒ <u>1</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021	
A Name of plan RODEY DICKASON SLOAN AKIN & ROBB PA EMPLOYEES' TAX-DEFERRED RETIREMENT PLAN AND TRUST	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 RODEY DICKASON SLOAN AKIN & ROBB PA	D Employer Identification Number (EIN) 85-0216511

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions	Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier BENEFICIAL LIFE INSURANCE COMPANY
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(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
87-0115120	61395	934878	1	07/13/2020	07/12/2021

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
0	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
--

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
			3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
--

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	
5	Current value of plan's interest under this contract in separate accounts at year end.....	5	
6	Contracts With Allocated Funds:		
a	State the basis of premium rates ▶		
b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	
e	Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity (3) ☐ other (specify) ▶		
f	If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ☐		
7	Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)		
a	Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee (3) ☐ guaranteed investment (4) ☐ other ▶		
b	Balance at the end of the previous year	7b	
c	Additions: (1) Contributions deposited during the year	7c(1)	
	(2) Dividends and credits.....	7c(2)	
	(3) Interest credited during the year.....	7c(3)	
	(4) Transferred from separate account.....	7c(4)	
	(5) Other (specify below)..... ▶	7c(5)	
	(6) Total additions	7c(6)	
d	Total of balance and additions (add lines 7b and 7c(6))	7d	
e	Deductions:		
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	
	(2) Administration charge made by carrier.....	7e(2)	
	(3) Transferred to separate account.....	7e(3)	
	(4) Other (specify below)..... ▶	7e(4)	
	(5) Total deductions	7e(5)	
f	Balance at the end of the current year (subtract line 7e(5) from line 7d).....	7f	

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☒ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2021
		This Form is Open to Public Inspection.
For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan RODEY DICKASON SLOAN AKIN & ROBB PA EMPLOYEES' TAX-DEFERRED RETIREMENT PLAN AND TRUST	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 RODEY DICKASON SLOAN AKIN & ROBB PA	D Employer Identification Number (EIN) 85-0216511	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

UBS FINANCIAL

13-2638166

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 51 65 49 54	N/A	481516	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

WELLESLEY INVESTMENT ADVISORS

04-3154394

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	N/A	78080	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

BRANDYWINE ASSET MANAGEMENT

51-0294065

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	N/A	67732	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ATLANTA CAPITAL MGMT CO. LLC

1075 PEACHTREE ST NW
ATLANTA, GA 30309

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	N/A	54831	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

MOSS ADAMS LLP

91-0189318

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 50	N/A	35275	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

PATTISON PENSION SPECIALISTS

85-0437924

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 15 64 65 34	N/A	29122	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

LEGG MASON SERVICES COMPANY LLC

13-2670991

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 28	N/A	16092	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

HUB INTERNATIONAL

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
23 50	N/A	6861	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

HURLEY, TOEVS, STYLES, HAMBLIN & PA

4155 MONTGOMERY BLVD NE
ALBUQUERQUE, NM 87109

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29 50	N/A	6537	Yes ☒ No ☐	Yes ☐ No ☐	0	Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
UBS FINANCIAL	63	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY INCORE FUND INC A	0.66% NET EXPENSE RATIO MINUS 12.B.1. FEES	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan <u>RODEY DICKASON SLOAN AKIN & ROBB PA EMPLOYEES' TAX-DEFERRED RETIREMENT PLAN AND TRUST</u>	B Three-digit plan number (PN) ►	<u>001</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>RODEY DICKASON SLOAN AKIN & ROBB PA</u>	D Employer Identification Number (EIN) <u>85-0216511</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
---------------	--

a Name of MTIA, CCT, PSA, or 103-12 IE: <u>BRANDYWINE GROUP TRUST</u>		
b Name of sponsor of entity listed in (a): <u>BRANDYWINE GLOBAL INVESTMENT MANAGEMENT, LLC</u>		
c EIN-PN <u>04-6622861-015</u>	d Entity code <u>E</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>5783837</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>BRANDYWINE GLOBAL INVESTMENT MANAGE</u>		
b Name of sponsor of entity listed in (a): <u>BRANDYWINE GLOBAL INVESTMENT MANAGEMENT, LLC</u>		
c EIN-PN <u>51-0412248-017</u>	d Entity code <u>E</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>5916389</u>
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
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103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
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103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan RODEY DICKASON SLOAN AKIN & ROBB PA EMPLOYEES' TAX-DEFERRED RETIREMENT PLAN AND TRUST	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 RODEY DICKASON SLOAN AKIN & ROBB PA	D Employer Identification Number (EIN) 85-0216511	

Part I	Asset and Liability Statement
---------------	--------------------------------------

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	194942	
(2) Participant contributions.....	1b(2)	56813	
(3) Other	1b(3)	73372	
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	3543668	253354
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)	4902795	
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	12419229	
(B) Common	1c(4)(B)	74960796	195660
(5) Partnership/joint venture interests	1c(5)	16838204	18152212
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	208958	140158
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)	11893328	11700226
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	56353	105306697
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)	13259	12415

		(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:		
(1)	Employer securities.....	1d(1)	
(2)	Employer real property.....	1d(2)	
e	Buildings and other property used in plan operation	1e	
f	Total assets (add all amounts in lines 1a through 1e)	1f	125161717 135760722
Liabilities			
g	Benefit claims payable	1g	
h	Operating payables	1h	
i	Acquisition indebtedness.....	1i	
j	Other liabilities.....	1j	
k	Total liabilities (add all amounts in lines 1g through 1j)	1k	
Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	125161717 135760722

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
Income			
a	Contributions:		
(1)	Received or receivable in cash from: (A) Employers	2a(1)(A)	1443999
	(B) Participants	2a(1)(B)	1382261
	(C) Others (including rollovers).....	2a(1)(C)	
(2)	Noncash contributions.....	2a(2)	
(3)	Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)	2826260
b	Earnings on investments:		
(1)	Interest:		
	(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	
	(B) U.S. Government securities	2b(1)(B)	
	(C) Corporate debt instruments	2b(1)(C)	270592
	(D) Loans (other than to participants)	2b(1)(D)	
	(E) Participant loans.....	2b(1)(E)	11783
	(F) Other	2b(1)(F)	
	(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)	282375
(2)	Dividends: (A) Preferred stock.....	2b(2)(A)	
	(B) Common stock	2b(2)(B)	1313471
	(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	
	(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)	1313471
(3)	Rents	2b(3)	
(4)	Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	136965129
	(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	98274105
	(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)	38691024
(5)	Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	
	(B) Other	2b(5)(B)	
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)	

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		-193102
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		-25154416
c Other income.....	2c		
d Total income. Add all income amounts in column (b) and enter total.....	2d		17765612
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	6328773	
(2) To insurance carriers for the provision of benefits.....	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		6328773
f Corrective distributions (see instructions).....	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)	41812	
(2) Contract administrator fees.....	2i(2)		
(3) Investment advisory and management fees.....	2i(3)	705112	
(4) Other.....	2i(4)	90910	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		837834
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		7166607
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d.....	2k		10599005
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☐ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☒ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: MOSS ADAMS LLP

(2) EIN: 91-0189318

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		50000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?			
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			
5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.			
5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)			
5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)	
5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.			



REPORT OF INDEPENDENT AUDITORS
AND FINANCIAL STATEMENTS
WITH SUPPLEMENTARY INFORMATION
REQUIRED BY THE DEPARTMENT OF LABOR

RODEY, DICKASON, SLOAN, AKIN, AND ROBB, P.A.
EMPLOYEES' TAX-DEFERRED RETIREMENT
PLAN AND TRUST

December 31, 2021 and 2020

Table of Contents

	PAGE
Report of Independent Auditors	1–3
Financial Statements	
Statements of Net Assets Available for Benefits	4
Statement of Changes in Net Assets Available for Benefits	5
Notes to Financial Statements	6–12
Supplementary Information Required by the Department of Labor	
Schedule H, Line 4(i) – Schedule of Assets (Held at End of Year)	13

Report of Independent Auditors

The Retirement Plan Committee
Rodey, Dickason, Sloan, Akin, and Robb, P.A.
Employees' Tax-Deferred Retirement Plan and Trust

Report on the Audit of the Financial Statements

Opinion

We have audited the financial statements of Rodey, Dickason, Sloan, Akin, and Robb, P.A. Employees' Tax-Deferred Retirement Plan and Trust, an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), which comprise the statements of net assets available for benefits as of December 31, 2021 and 2020, and the related statement of changes in net assets available for benefits for the year ended December 31, 2021, and the related notes to the financial statements.

In our opinion, the accompanying financial statements present fairly, in all material respects, the net assets available for benefits of Rodey, Dickason, Sloan, Akin, and Robb, P.A. Employees' Tax-Deferred Retirement Plan and Trust as of December 31, 2021 and 2020, and the changes in its net assets available for benefits for the year ended December 31, 2021, in accordance with accounting principles generally accepted in the United States of America.

Basis for Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of Rodey, Dickason, Sloan, Akin, and Robb, P.A. Employees' Tax-Deferred Retirement Plan and Trust and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about Rodey, Dickason, Sloan, Akin, and Robb, P.A. Employees' Tax-Deferred Retirement Plan and Trust's ability to continue as a going concern within one year after the date that the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the plan, and determining that the plan's transactions that are presented and disclosed in the financial statements are in conformity with the plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for purpose of expressing an opinion on the effectiveness of Rodey, Dickason, Sloan, Akin, and Robb, P.A. Employees' Tax-Deferred Retirement Plan and Trust's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about Rodey, Dickason, Sloan, Akin, and Robb, P.A. Employees' Tax-Deferred Retirement Plan and Trust's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control related matters that we identified during the audit.

Other Matter***Supplemental Schedules Required by ERISA***

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The Schedule H, line 4(i) – Schedule of assets (held at end of year) as of December 31, 2021 is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, including their form and content, are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion, the information in the accompanying schedule is fairly stated, in all material respects, in relation to the financial statements as a whole, and the form and content are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Mess Adams LLP

Albuquerque, New Mexico
July 21, 2022

Rodey, Dickason, Sloan, Akin, and Robb, P.A.
Employees' Tax-Deferred Retirement Plan and Trust
Statements of Net Assets Available for Benefits

	December 31,	
	2021	2020
ASSETS		
Investments		
Trustee-directed at fair market value		
Corporate bonds	\$ -	\$ 4,902,795
Corporate stocks	195,660	74,960,796
Mutual funds	105,306,697	56,353
Preferred securities	-	12,419,229
Brandywine funds	11,700,226	11,893,328
Hedge fund	18,152,212	16,838,204
Money market funds	94,889	2,863,457
Cash value of life insurance	12,415	13,259
Total investments	135,462,099	123,947,421
Cash	158,465	680,211
Receivables		
Employer contributions	-	194,942
Employee contributions	-	53,227
Accrued interest and dividends	-	73,372
Notes receivable from participants	140,158	208,958
Other	-	3,586
Total receivables	140,158	534,085
NET ASSETS AVAILABLE FOR BENEFITS	<u>\$ 135,760,722</u>	<u>\$ 125,161,717</u>

See accompanying notes to these financial statements.

Rodey, Dickason, Sloan, Akin, and Robb, P.A.
Employees' Tax-Deferred Retirement Plan and Trust
Statement of Changes in Net Assets Available for Benefits

	Year Ended December 31, 2021
ADDITIONS TO NET ASSETS ATTRIBUTED TO	
Investment income	
Net appreciation in fair value of investments	\$ 13,344,250
Interest and dividend income	<u>1,312,727</u>
Net investment income	<u>14,656,977</u>
Interest income on notes receivable from participants	<u>282,375</u>
Contributions	
Participant contributions	1,382,261
Employer contributions	<u>1,443,999</u>
Total contributions	<u>2,826,260</u>
Total additions	<u>17,765,612</u>
DEDUCTIONS FROM NET ASSETS ATTRIBUTED TO	
Benefits paid to participants	6,328,773
Trust and administrative fees	778,377
Other expenses	<u>59,457</u>
Total deductions	<u>7,166,607</u>
CHANGE IN NET ASSETS	10,599,005
NET ASSETS AVAILABLE FOR BENEFITS	
Beginning of year	<u>125,161,717</u>
End of year	<u><u>\$ 135,760,722</u></u>

See accompanying notes to these financial statements.

Rodey, Dickason, Sloan, Akin, and Robb, P.A.
Employees' Tax-Deferred Retirement Plan and Trust
Notes to Financial Statements

Note 1 – Description of Plan

The following description of the Rodey, Dickason, Sloan, Akin, and Robb, P.A. Employees' Tax-Deferred Retirement Plan and Trust (Plan) provides only general information. Participants should refer to the plan agreement, as amended, for a more complete description of plan provisions.

General

The Plan is a defined contribution plan covering substantially all employees of Rodey, Dickason, Sloan, Akin, and Robb, P.A., and is subject to provisions of the Employee Retirement Income Security Act of 1974, as amended (ERISA). Rodey, Dickason, Sloan, Akin, and Robb, P.A. (the Company) is the Plan's sponsor and serves as plan administrator.

The Plan is administered by the Company's Retirement Plans Committee, which is comprised of certain employees of the Company. The Committee has overall responsibility for the operation and administration of the Plan. The Committee determines the appropriateness of the Plan's investment offerings, and monitors investment performance. The Committee serves as the Trustee of the Plan.

Eligibility

Employees of the Company are eligible to participate in the Plan when they have worked one hour. To be eligible for employer nonelective contributions, the employee must be employed on the last day of the Plan year and have worked at least 1,000 hours in the Plan year. An employee becomes a participant in the Plan as of the Plan entry date, which will be the first day of the Plan year quarter coinciding with or next following the date that eligibility requirements are met.

Contributions

Participants can make contributions to the Plan through a salary reduction plan under the provisions of Section 401(k) of the Internal Revenue Code. Contribution amounts designated as a tax-deferred contribution by a participant shall not exceed the lesser of a percentage of compensation designated by the plan administrator or annual limits set by the Internal Revenue Service. The participants' contributions are 100% vested. The employer may make discretionary employer profit-sharing contributions and employer matching contributions, as defined by the Plan. Each year profit-sharing contributions may be paid from net profits of the employer at the discretion of the Board of Directors. The matching employer contributions are limited to 50% of each participant's deferred compensation during the year pursuant to the tax-deferred savings agreement, not to exceed 6% of participants' eligible compensation.

Participant Accounts

Each participant's account is credited with the participant's contribution and allocations of (a) the Company's contribution and (b) plan earnings. Each participant's account is credited quarterly with the participant's contributions and quarterly plan earnings net of administrative expenses. Employer contributions are allocated annually to each participant's account. Allocations are based on participants' compensation, elective deferrals, or account balances, as defined by the Plan. Participants do not direct their own investments in the Plan. The Plan's investments are selected based on the Plan's investment policies and objectives as adopted and approved by the Retirement Plan Committee for its investment portfolio.

Rodey, Dickason, Sloan, Akin, and Robb, P.A.
Employees' Tax-Deferred Retirement Plan and Trust
Notes to Financial Statements

Note 1 – Description of Plan (continued)

Vesting

Participants are immediately vested in their contributions and the employer's matching contributions. The vesting percentage in the participant's account attributable to discretionary profit-sharing contributions is on a six-year graded schedule. The participants are fully vested after six years of credited service.

Notes Receivable from Participants

Participants may borrow from their accounts a minimum of \$1,000 up to a maximum equal to the lesser of \$50,000 or 50% of their vested account balance. The loans are issued by the Plan and secured by the balance in the participant's account. All loans must be repaid within a period of five years, unless the loan is used to purchase a principal residence, in which case, the loan must be repaid within a reasonable period of time not to exceed ten years. Under the terms of the plan agreement, plan loans will bear a reasonable rate of interest determined by the plan administrator. Principal and interest is paid ratably through payroll deductions. As of December 31, 2021, the rates of interest on outstanding loans range from 5.25% to 7.50% with various maturities through August 2026.

Payment of Benefits

On termination of service due to death, disability, or retirement, a participant may but need not elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account, or a life annuity will be established. For termination of service for other reasons, a participant may but need not receive the value of the vested interest in his or her account as a lump-sum distribution.

Forfeitures

Forfeitures are the non-vested portion of a participant's account that is lost upon termination of employment. Forfeitures are treated as an employer nonelective contribution for the Plan year in which the forfeiture occurs, as if the participant forfeiture were an additional nonelective contribution for that Plan year. Forfeited non-vested accounts totaled \$0 and \$27,250 as of December 31, 2021 and 2020, respectively.

Note 2 – Summary of Significant Accounting Policies

Basis of Accounting

The financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America, using the accrual method of accounting.

Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires the use of estimates and assumptions that may affect certain amounts and disclosures. Accordingly, actual results could differ from those estimates.

Rodey, Dickason, Sloan, Akin, and Robb, P.A.
Employees' Tax-Deferred Retirement Plan and Trust
Notes to Financial Statements

Note 2 – Summary of Significant Accounting Policies (continued)

Investment Valuation

Investments are stated at fair value.

Fair value is the price that would be received to sell an asset or paid to transfer a liability (i.e., the exit price) in an orderly transaction between market participants at the measurement date. See Note 3 for discussion of fair value measurements.

Income Recognition

Purchases and sales of securities are recorded on a trade-date basis. Dividends are recorded on the ex-dividend date. Interest income is recorded on the accrual basis. The net appreciation in fair value of investments consists of both the realized gains or losses and unrealized appreciation and depreciation of those investments.

Notes Receivable from Participants

Notes receivable from participants are measured at amortized cost, which represents unpaid principal balance plus accrued but unpaid interest. Delinquent notes receivable from participants are reclassified as distributions upon the occurrence of a distributable event, based on the terms of the plan agreement.

Payments of Benefits

Benefits are recorded when paid.

Expenses

All trust and administrative expenses are paid by the Plan.

Subsequent Events

Subsequent events are events or transactions that occur after the statement of net assets available for benefits date but before financial statements are available to be issued. The Plan recognizes in the financial statements the effects of all subsequent events that provide additional evidence about conditions that existed at the date of the statement of net assets available for benefits, including the estimates inherent in the process of preparing the financial statements. The Plan's financial statements do not recognize subsequent events that provide evidence about conditions that did not exist at the date of the statement of net assets available for benefits but arose after the statement of net assets available for benefits date and before financial statements are available to be issued.

The Plan has evaluated subsequent events through July 21, 2022, which is the date the financial statements were available to be issued.

Rodey, Dickason, Sloan, Akin, and Robb, P.A.
Employees' Tax-Deferred Retirement Plan and Trust
Notes to Financial Statements

Note 3 – Fair Value Measurements

The framework for measuring fair value provides a hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3).

The three levels of the fair value hierarchy are described as follows:

Level 1 – Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the plan has the ability to access.

Level 2 – Inputs to the valuation methodology include quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; inputs other than quoted prices that are observable for the asset or liability; and inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2021 and 2020.

Corporate Stocks and Preferred Securities – Valued at the closing price reported on the active market on which the individual securities are traded.

Corporate Bonds and US Government Securities – Valued at the closing price reported on the major market on which the individual securities are traded or have reported broker trades which may be considered indicative of an active market. Where quoted prices are available in an active market, the investments are classified within Level 1 of the valuation hierarchy.

Registered Investment Companies (Mutual Funds) – Shares of registered investment company funds (or mutual funds) are valued at the net asset value (NAV) of shares held by the Plan and are valued at the closing price reported on the active market on which the individual securities are traded.

Interest Bearing Cash (Money Market Funds) – Money market accounts are valued at fair value by discounting the related cash flows based on current yields of similar instruments with comparable durations considering the creditworthiness of the issuer.

Rodey, Dickason, Sloan, Akin, and Robb, P.A.
Employees' Tax-Deferred Retirement Plan and Trust
Notes to Financial Statements

Note 3 – Fair Value Measurements (continued)

Life Insurance Contract – Assets held in the life insurance policy is reported to the Plan by the insurance company, which represents its cash surrender value.

In determining the reasonableness of the valuation methodology for the Plan's hedge fund the Retirement Plan Committee evaluates along with its investment advisors, a variety of factors including review of existing contracts, economic conditions, industry and market developments, and overall credit ratings. Certain unobservable inputs are assessed through review of contract terms (for example, duration or payout date) while others are substantiated utilizing available market data (for example, benchmarks, NAV of audited financial statements).

Assets Measured at NAV – Practical Expedient

UBS Millennium Fund, LTD is a UBS Fund which has been organized to invest substantially all of its capital in Millennium International, Ltd., an exempted company incorporated under the laws of the Cayman Islands (the Millennium Fund). The objective of the UBS Fund is to invest in the Millennium Fund. The Millennium Fund is a limited partner of, and invests primarily in, the Millennium Intermediate Fund, a Cayman Islands exempted limited partnership, which itself is a limited partner of, and invests primarily in, the Millennium Master Fund, also a Cayman Islands exempted limited partnership. The objective of the fund is to achieve above-average appreciation by opportunistically trading and investing in a wide variety of securities, instruments, and other investment opportunities and engaging in a broad array of trading and investment strategies. Shares in the fund are issued to members at the then-current net asset value of the UBS Fund (NAV) per share of such class, tranche, or series. The (NAV) of the UBS Fund is equivalent to the value of its gross assets less its gross liabilities on any valuation date. Redemption of shares is permitted as of the close of business on March 31, June 30, September 30, and December 31 of each year.

The Alkeon Growth Offshore Fund II, Ltd. is a "feeder" fund in a "master-feeder" structure whereby the fund invests substantially all of its capital in Alkeon Growth Master Fund, Ltd., an exempted company incorporated under the laws of the Cayman Islands (the Alkeon Fund). The objective of the Alkeon Fund is to achieve maximum capital appreciation by investing in primarily well positioned equity securities of U.S. and foreign companies. The Alkeon Fund also pursues its objective through short sales and margin utilization. The NAV of the Alkeon Fund is a practical expedient measured at the fair value of its investments in the Master Fund on the basis of the capital balance reported by the Master Fund. Fair value is calculated at an amount equal to the Alkeon Fund's proportionate share of the underlying value of shareholders' capital of the Master Fund. Redemption of shares is permitted as of the close of business on the last day of each calendar quarter of each year.

The Global Fixed Income Fund and Global Unconstrained Fixed Income Fund are in the portfolio of the Brandywine Group Trust. BNY Mellon Asset Servicing (Mellon) acts as custodian and performs all accounting functions for the Funds. Mellon is also trustee of the funds. The funds objectives are to achieve interest income and long-term capital appreciation by investing in U.S. fixed income instruments and non-US sovereign debt. Securities held through the Brandywine investment entities are valued using the NAV as reported by Mellon. The NAV is based on the fair value of the underlying assets owned by the fund, minus its liabilities, and then divided by the number of units outstanding.

Rodey, Dickason, Sloan, Akin, and Robb, P.A.
Employees' Tax-Deferred Retirement Plan and Trust
Notes to Financial Statements

Note 3 – Fair Value Measurements (continued)

The valuation methods used by the Plan may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables disclose by level, the fair value hierarchy, of the Plan's assets at fair value:

Fair Value Measurements as of December 31, 2021				
	Level 1	Level 2	Level 3	Total
Money market funds	\$ 94,889	\$ -	\$ -	\$ 94,889
Corporate stocks	195,660	-	-	195,660
Mutual funds	105,306,697	-	-	105,306,697
Cash value of life insurance	-	12,415	-	12,415
Total assets in the fair value hierarchy	<u>\$ 105,597,246</u>	<u>\$ 12,415</u>	<u>\$ -</u>	105,609,661
Investments measured at NAV (practical expedient)				<u>29,852,438</u>
Investments at fair value				<u>\$ 135,462,099</u>

Fair Value Measurements as of December 31, 2020				
	Level 1	Level 2	Level 3	Total
Money market funds	\$ 2,863,457	\$ -	\$ -	\$ 2,863,457
Corporate bonds	4,902,795	-	-	4,902,795
Corporate stocks	74,960,796	-	-	74,960,796
Mutual funds	56,353	-	-	56,353
Preferred securities	12,419,229	-	-	12,419,229
Cash value of life insurance	-	13,259	-	13,259
Total assets in the fair value hierarchy	<u>\$ 95,202,630</u>	<u>\$ 13,259</u>	<u>\$ -</u>	95,215,889
Investments measured at NAV (practical expedient)				<u>28,731,532</u>
Investments at fair value				<u>\$ 123,947,421</u>

Rodey, Dickason, Sloan, Akin, and Robb, P.A.
Employees' Tax-Deferred Retirement Plan and Trust
Notes to Financial Statements

Note 4 – Tax Status

The Plan document is a volume submitter defined contribution plan that received a favorable opinion letter from the Internal Revenue Service on March 31, 2014, which stated that the volume submitter plan, as then designed, was in accordance with applicable sections of the Internal Revenue Code (IRC). Although the Plan has been amended since the date of the opinion letter, the plan administrator believes that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC.

In accordance with guidance on accounting for uncertainty in income taxes, the plan administrator has evaluated the Plan's tax positions and does not believe the Plan has any uncertain tax positions that require disclosure or adjustment to the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

Note 5 – Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks, such as interest rate, market volatility, and credit risks. It is reasonably possible, given the level of risk associated with investment securities that changes in the near term could materially affect a participant's account balance and the amounts reported in the financial statements. Market risks include global events which could impact the value of investment securities, such as a pandemic or international conflict.

Note 6 – Plan Termination

Although it has not expressed any intention to do so, the Company has the right to terminate the Plan and discontinue its contributions at any time. If the Plan is terminated, amounts allocated to a participant's account become fully vested.

Note 7 – Party-In-Interest Transactions

Certain plan investments included in the financial statements are managed by UBS Financial Services and Brandywine Asset Management, LLC, the recordkeeper (or advisor) for the Plan. Transactions with these entities qualify as exempt party-in-interest transactions. Fees paid by the Plan for investment management services to UBS Financial Services and Brandywine Asset Management, LLC were \$533,811 and \$434,566, respectively, for the year ended December 31, 2021.

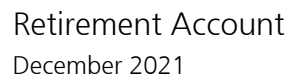
**Supplementary Information
Required by the Department of Labor**

Rodey, Dickason, Sloan, Akin, and Robb, P.A.
Employees' Tax-Deferred Retirement Plan and Trust
EIN: 85-0216511
Plan #: 001

Schedule H, Line 4(i) – Schedule of Assets (Held at End of Year)
December 31, 2021

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par, or maturity value	(d) Cost	(e) Current Value
*	UBS Financial Services, Inc.			
	Money Market Funds	Cash Reserves Funds	94,889	\$ 94,889
	Corporate Stocks	Various - See Statement	-	195,660
	Mutual Funds	Various - See Sttatement	-	105,306,697
	Hedge Fund	Alkeon Growth Offshore Fund	-	13,438,410
	Hedge Fund	UBS Millennium Fund	-	4,713,802
		Total UBS		123,749,458
*	Brandywine Asset Management, LLC			
	103-12 Investment Entity	Brandywine Global Fixed Income Trust	-	5,783,837
	103-12 Investment Entity	Brandywine Global Unconstrained Fixed Income	-	5,916,389
		Total Brandywine		11,700,226
*	Life Insurance Contract			
	Beneficial Life Insurance Company	Cash value of life insurance	-	12,415
*	Participant Loans			
		Interest rates range from 5.25% to 7.50%		
		Maturing through August 2026	-	140,158
		Total Investments		\$ 135,602,257

* Indicates party-in-interest



Your Financial Advisor:
CB "PETE" EDMONDS
505-881-1700/800-828-3519

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash and money balances

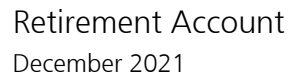
Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
LIQUID ASSETS GOVT FUND	363,566.16	7,902.83	1.00	0.01%	Nov 1 to Nov 30	30

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	7,902.83	100.00%	7,902.83		
Total		\$7,902.83	100.00%	\$7,902.83		

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505-881-1700/800-828-3519

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Cash and money balances

For FDIC insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs, Certificates of Deposits and UBS Core Savings. FDIC insurance is calculated by ownership category (e.g., single, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed income" sections to review the current deposit balances held at UBS Bank USA.

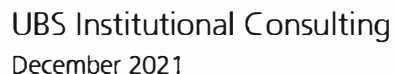
See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	377,422.42	4,977.55				

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	4,977.55	100.00%	4,977.55		
Total		\$4,977.55	100.00%	\$4,977.55		

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Page 45 of 260



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See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	374,048.81	1,624.90				

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	1,624.90	100.00%	1,624.90		
Total		\$1,624.90	100.00%	\$1,624.90		

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Page 71 of 260



Retirement Account
December 2021

Account name: RODEY, DICKASON, SLOAN, AKIN,
Friendly account name: Delaware Intl
Account type: 401(k) Plan
Account number: [REDACTED]

Your Financial Advisor:
CB "PETE" EDMONDS
505-881-1700/800-828-3519

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	135,750.21	0.00				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
IMPERIAL BRANDS PLC SPON ADR								
Symbol: IMBBY Exchange: OTC								
EAI: \$16,530 Current yield: 8.45%								
	May 13, 21	2,155.000	22.199	47,838.85	22.004	47,418.62	-420.23	ST
	Jun 28, 21	1,232.000	22.056	27,173.73	22.004	27,108.93	-64.80	ST
	Jul 22, 21	1,051.000	21.595	22,697.29	22.004	23,126.20	428.91	ST
	Sep 2, 21	1,237.000	21.704	26,848.34	22.004	27,218.95	370.61	ST
	Sep 30, 21	1,056.000	21.455	22,656.90	22.004	23,236.22	579.32	ST
	Dec 6, 21	2,161.000	21.114	45,627.79	22.004	47,550.64	1,922.85	ST
Security total		8,892.000	21.687	192,842.90		195,659.56	2,816.66	

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Equities	Common stock	195,659.56	100.00%	192,842.90	16,530.00	2,816.66
Total		\$195,659.56	100.00%	\$192,842.90	\$16,530.00	\$2,816.66



Account name: RODEY, DICKASON, SLOAN, AKIN,
Friendly account name: Alkeon
Account type: 401(k) Plan
Account number: [REDACTED]

Your Financial Advisor:
CB "PETE" EDMONDS
505-881-1700/800-828-3519

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

Deposit account balances at UBS Bank USA and all banks participating in the UBS FDIC-Insured Deposit Program and the UBS Insured Sweep Program are insured by the FDIC up to \$250,000 per depositor per ownership category, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

For FDIC insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs, Certificates of Deposits and UBS Core Savings. FDIC insurance is calculated by ownership category (e.g., single, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed income" sections to review the current deposit balances held at UBS Bank USA.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	56,461.72	56,362.36				

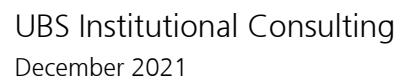
Non-traditional

Private equity funds, Hedge funds, and Other investments are held in your UBS Financial Services Inc. (UBS FS) brokerage account. The positions reported below reflect the records of the issuers, and UBS FS does not guarantee the accuracy of the information. The value shown is not necessarily the value you would receive from the issuer if you sold the assets. Funds actively sold by UBS FS are subject to ongoing due diligence, although the level performed may vary. A closed fund may be subject to no ongoing diligence. A fund that you purchased elsewhere may never have been subject to UBS FS diligence, although UBS FS may receive a fee from the fund's manager, which may constitute a majority of the management/incentive fee. Please contact your Financial Advisor with questions. "Est. value per unit" is an estimate of value supplied by an independent valuation firm and reflects adjustments for factors such as the liquidity of the units.

Estimates of value supplied by issuers of certain programs (which are generally "net asset value" estimates) are shown as "issuer est. value per unit". For Private equity funds, "Est. value per unit" is a value updated quarterly, based on the Net Asset Value ("NAV") in the fund as of the date specified, adjusted by adding capital calls and subtracting distributions since the NAV date. The NAV is primarily based on estimated portfolio values provided by the underlying fund sponsor. These two values may vary because of differences in the way they were estimated and because the "Third party est. value per unit" may have been prepared on the basis of financial information other than year-end. Reported estimates may not reflect resale, liquidation or repurchase value, if any, and may not reflect distributions of capital until the next valuation is reported, generally on an annual or semi-annual basis. See *Important information about your statement* at the end of this statement.

Hedge funds

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ALKEON GROWTH OFFSHORE FD II LTD SUB CLASS 6 ADV A/O 11/30/21 Trade date: Nov 4, 20			5,000,000.00	5,000,000.00	1.000	4,713,802.00	-286,198.00		LT



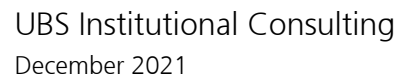
Your Financial Advisor:
CB "PETE" EDMONDS
505-881-1700/800-828-3519

Your assets (continued)

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	56,362.36	1.18%	56,362.36		
Non-traditional	Hedge funds	4,713,802.00	98.82%	5,000,000.00		-286,198.00
Total		\$4,770,164.36	100.00%	\$5,056,362.36		-\$286,198.00

Category	Sub-category	Value	Unit
Category 1	Sub-category 1.1	10	Unit 1
	Sub-category 1.2	20	Unit 2
	Sub-category 1.3	30	Unit 3
	Sub-category 1.4	40	Unit 4
Category 2	Sub-category 2.1	50	Unit 5
	Sub-category 2.2	60	Unit 6
	Sub-category 2.3	70	Unit 7
	Sub-category 2.4	80	Unit 8
Category 3	Sub-category 3.1	90	Unit 9
	Sub-category 3.2	100	Unit 10
	Sub-category 3.3	110	Unit 11
	Sub-category 3.4	120	Unit 12
Category 4	Sub-category 4.1	130	Unit 13
	Sub-category 4.2	140	Unit 14
	Sub-category 4.3	150	Unit 15
	Sub-category 4.4	160	Unit 16

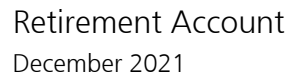


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505-881-1700/800-828-3519

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Cash and money balances

continued next page



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Cash and money balances

For FDIC insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs, Certificates of Deposits and UBS Core Savings. FDIC insurance is calculated by ownership category (e.g., single, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed income" sections to review the current deposit balances held at UBS Bank USA.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	502,591.83	394.28				

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	394.28	100.00%	394.28		
Total		\$394.28	100.00%	\$394.28		

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Retirement Account
December 2021

Account name: RODEY, DICKASON, SLOAN, AKIN,
Friendly account name: Millennium Fund
Account type: 401(k) Plan
Account number: [REDACTED]

Your Financial Advisor:
CB "PETE" EDMONDS
505-881-1700/800-828-3519

Your assets

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Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

Deposit account balances at UBS Bank USA and all banks participating in the UBS FDIC-Insured Deposit Program and the UBS Insured Sweep Program are insured by the FDIC up to \$250,000 per depositor per ownership category, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

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See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	13,336.84	13,236.84				
		[REDACTED]				



Account name: RODEY, DICKASON, SLOAN, AKIN,
Friendly account name: Millennium Fund
Account type: 401(k) Plan
Account number: [REDACTED]

Your Financial Advisor:
CB "PETE" EDMONDS
505-881-1700/800-828-3519

Your assets (continued)

Non-traditional

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Hedge funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ALPHAKEYS MILLENNIUM OFFSHORE FUND LTD SER 2 A/O 11/30/21									
Trade date: Aug 28, 12	2,156.039	1,043.346	2,249,495.80	2,249,495.80	2,284.889	4,926,309.79	2,676,813.99		LT
Trade date: Jan 1, 13	473.390	1,056.211	500,000.00	500,000.00	2,284.889	1,081,643.60	581,643.60		LT
Trade date: Apr 1, 13	1,379.307	1,087.502	1,500,000.00	1,500,000.00	2,284.889	3,151,563.39	1,651,563.39		LT
Trade date: May 28, 13	908.172	1,101.113	1,000,000.00	1,000,000.00	2,284.889	2,075,072.21	1,075,072.21		LT
Initial trade date: unavailable	0.002	---This information was unavailable---			2,284.889	4.57			
Total reinvested	235.370	1,631.875		384,094.62	2,284.889	537,794.32	153,699.70		
Security total	5,152.280	1,093.417	5,249,495.80	5,633,590.42		11,772,387.88	6,138,792.89		
ALPHAKEYS MILLENNIUM FD TD CLASS B SERIES 1 SUBTRANCHE2 A/O 11/30/21									
Trade date: Mar 1, 21	465.596	2,160.723	1,006,024.19	1,006,024.19	2,376.751	1,106,605.76	100,581.57		ST
Initial trade date: unavailable	235.370	---This information was unavailable---			2,376.751	559,415.88			
Security total	700.966	2,160.723	1,006,024.19	1,006,024.19		1,666,021.64	100,581.57		
Total			\$6,255,519.99	\$6,639,614.61		\$13,438,409.52	\$6,239,374.46		

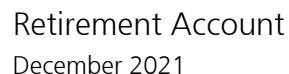
Your assets (continued)

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	13,236.84	0.10%	13,236.84		
Non-traditional	* Hedge funds	13,438,409.52	99.90%	6,639,614.61		6,239,374.46
Total		\$13,451,646.36	100.00%	\$6,652,851.45		\$6,239,374.46

* Missing cost basis information.

[REDACTED]									
	[REDACTED]	[REDACTED]	[REDACTED]						[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]						[REDACTED]
	[REDACTED]								[REDACTED]
	[REDACTED]		[REDACTED]	[REDACTED]					[REDACTED]
[REDACTED]	[REDACTED]		[REDACTED]						[REDACTED]
	[REDACTED]		[REDACTED]	[REDACTED]					[REDACTED]
	[REDACTED]		[REDACTED]	[REDACTED]					[REDACTED]
[REDACTED]									
[REDACTED]					[REDACTED]				
[REDACTED]					[REDACTED]				
[REDACTED]			[REDACTED]	[REDACTED]	[REDACTED]		[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]									
[REDACTED]									
[REDACTED]									



Your Financial Advisor:
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505-881-1700/800-828-3519

Your assets

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Cash

Cash and money balances

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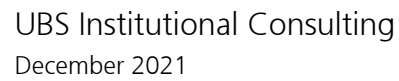
Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	149,876.03	6,649.02				

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	6,649.02	100.00%	6,649.02		
Total		\$6,649.02	100.00%	\$6,649.02		

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Cash and money balances



UBS Institutional Consulting
December 2021

Account name: RODEY, DICKASON, SLOAN,
Friendly account name: Index Funds
Account type: 401(k) Plan
Account number: [REDACTED]

Your Financial Advisor:
CB "PETE" EDMONDS
505-881-1700/800-828-3519

Your assets

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Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
LIQUID ASSETS GOVT FUND	0.00	358.62	1.00	0.01%	Nov 1 to Nov 30	30

Equities

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

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Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
FIDELITY TOTAL MARKET INDEX FUND Symbol: FSKAX									
Trade date: Dec 28, 21	362,896.223	134.250	48,718,817.99	48,718,817.99	133.780	48,548,256.71	-170,561.28		ST
Trade date: Dec 29, 21	86,867.204	134.410	11,675,820.90	11,675,820.90	133.780	11,621,094.55	-54,726.35		ST
EAI: \$689,937 Current yield: 1.15%									
Security total	449,763.427	134.281	60,394,638.89	60,394,638.89		60,169,351.26	-225,287.63	-225,287.63	
VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND ADMIRAL SHS Symbol: VTIAX									
Trade date: Dec 29, 21	263,774.912	34.120	9,000,000.00	9,000,000.00	34.200	9,021,101.99	21,101.99		ST
Trade date: Dec 29, 21	263,774.912	34.120	9,000,000.00	9,000,000.00	34.200	9,021,101.99	21,101.99		ST

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Account name: RODEY, DICKASON, SLOAN,
Friendly account name: Index Funds
Account type: 401(k) Plan
Account number: [REDACTED]

Your Financial Advisor:
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505-881-1700/800-828-3519

Your assets ▸ **Equities** ▸ **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Dec 29, 21	40,217.817	34.119	1,372,231.90	1,372,231.90	34.200	1,375,449.34	3,217.44		ST
EAI: \$592,750 Current yield: 3.05%									
Security total	567,767.641	34.120	19,372,231.90	19,372,231.90		19,417,653.32	45,421.42	45,421.42	
VANGUARD REAL ESTATE INDEX FUND CLASS ADMIRAL SHARES Symbol: VGSIX									
Trade date: Dec 29, 21	44,197.259	163.229	7,214,318.56	7,214,318.56	164.270	7,260,283.73	45,965.17	45,965.17	ST
EAI: \$185,673 Current yield: 2.56%									
Total			\$86,981,189.35	\$86,981,189.35		\$86,847,288.31	-\$133,901.04	-\$133,901.04	
Total estimated annual income: \$1,468,360				[REDACTED]		[REDACTED]	[REDACTED]		

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

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Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
FIDELITY U.S. BOND INDEX FUND Symbol: FXNAX									
Trade date: Dec 23, 21	417,710.944	11.970	5,000,000.00	5,000,000.00	11.980	5,004,177.11	4,177.11		ST
Trade date: Dec 30, 21	1,123,141.186	11.970	13,444,000.00	13,444,000.00	11.980	13,455,231.41	11,231.41		ST
EAI: \$332,824 Current yield: 1.80%									
Security total	1,540,852.130	11.970	18,444,000.00	18,444,000.00		18,459,408.51	15,408.52	15,408.52	
				[REDACTED]		[REDACTED]			

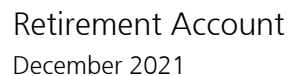
**Supplementary Information
Required by the Department of Labor**

Rodey, Dickason, Sloan, Akin, and Robb, P.A.
Employees' Tax-Deferred Retirement Plan and Trust
EIN: 85-0216511
Plan #: 001

Schedule H, Line 4(i) – Schedule of Assets (Held at End of Year)
December 31, 2021

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par, or maturity value	(d) Cost	(e) Current Value
*	UBS Financial Services, Inc.			
	Money Market Funds	Cash Reserves Funds	94,889	\$ 94,889
	Corporate Stocks	Various - See Statement	-	195,660
	Mutual Funds	Various - See Sttatement	-	105,306,697
	Hedge Fund	Alkeon Growth Offshore Fund	-	13,438,410
	Hedge Fund	UBS Millennium Fund	-	4,713,802
		Total UBS		123,749,458
*	Brandywine Asset Management, LLC			
	103-12 Investment Entity	Brandywine Global Fixed Income Trust	-	5,783,837
	103-12 Investment Entity	Brandywine Global Unconstrained Fixed Income	-	5,916,389
		Total Brandywine		11,700,226
*	Life Insurance Contract			
	Beneficial Life Insurance Company	Cash value of life insurance	-	12,415
*	Participant Loans			
		Interest rates range from 5.25% to 7.50%		
		Maturing through August 2026	-	140,158
		Total Investments		\$ 135,602,257

* Indicates party-in-interest



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Cash and money balances

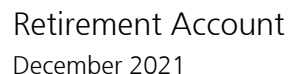
Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
LIQUID ASSETS GOVT FUND	363,566.16	7,902.83	1.00	0.01%	Nov 1 to Nov 30	30

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	7,902.83	100.00%	7,902.83		
Total		\$7,902.83	100.00%	\$7,902.83		

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505-881-1700/800-828-3519

Your assets

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Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

Deposit account balances at UBS Bank USA and all banks participating in the UBS FDIC-Insured Deposit Program and the UBS Insured Sweep Program are insured by the FDIC up to \$250,000 per depositor per ownership category, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

For FDIC insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs, Certificates of Deposits and UBS Core Savings. FDIC insurance is calculated by ownership category (e.g., single, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed income" sections to review the current deposit balances held at UBS Bank USA.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	377,422.42	4,977.55				

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	4,977.55	100.00%	4,977.55		
Total		\$4,977.55	100.00%	\$4,977.55		

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Account name: RODEY, DICKASON, SLOAN, AKIN,
Friendly account name: Atlanta SCV
Account type: 401(k) Plan
Account number: [REDACTED]

Your Financial Advisor:
CB "PETE" EDMONDS
505-881-1700/800-828-3519

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

Deposit account balances at UBS Bank USA and all banks participating in the UBS FDIC-Insured Deposit Program and the UBS Insured Sweep Program are insured by the FDIC up to \$250,000 per depositor per ownership category, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

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See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	374,048.81	1,624.90				

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	1,624.90	100.00%	1,624.90		
Total		\$1,624.90	100.00%	\$1,624.90		

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Retirement Account
December 2021

Account name: RODEY, DICKASON, SLOAN, AKIN,
Friendly account name: Delaware Intl
Account type: 401(k) Plan
Account number: [REDACTED]

Your Financial Advisor:
CB "PETE" EDMONDS
505-881-1700/800-828-3519

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	135,750.21	0.00				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
IMPERIAL BRANDS PLC SPON ADR								
Symbol: IMBBY Exchange: OTC								
EAI: \$16,530 Current yield: 8.45%								
	May 13, 21	2,155.000	22.199	47,838.85	22.004	47,418.62	-420.23	ST
	Jun 28, 21	1,232.000	22.056	27,173.73	22.004	27,108.93	-64.80	ST
	Jul 22, 21	1,051.000	21.595	22,697.29	22.004	23,126.20	428.91	ST
	Sep 2, 21	1,237.000	21.704	26,848.34	22.004	27,218.95	370.61	ST
	Sep 30, 21	1,056.000	21.455	22,656.90	22.004	23,236.22	579.32	ST
	Dec 6, 21	2,161.000	21.114	45,627.79	22.004	47,550.64	1,922.85	ST
Security total		8,892.000	21.687	192,842.90		195,659.56	2,816.66	

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Equities	Common stock	195,659.56	100.00%	192,842.90	16,530.00	2,816.66
Total		\$195,659.56	100.00%	\$192,842.90	\$16,530.00	\$2,816.66



Your assets

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Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

Deposit account balances at UBS Bank USA and all banks participating in the UBS FDIC-Insured Deposit Program and the UBS Insured Sweep Program are insured by the FDIC up to \$250,000 per depositor per ownership category, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

For FDIC insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs, Certificates of Deposits and UBS Core Savings. FDIC insurance is calculated by ownership category (e.g., single, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed income" sections to review the current deposit balances held at UBS Bank USA.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	56,461.72	56,362.36				

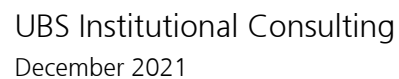
Non-traditional

Private equity funds, Hedge funds, and Other investments are held in your UBS Financial Services Inc. (UBS FS) brokerage account. The positions reported below reflect the records of the issuers, and UBS FS does not guarantee the accuracy of the information. The value shown is not necessarily the value you would receive from the issuer if you sold the assets. Funds actively sold by UBS FS are subject to ongoing due diligence, although the level performed may vary. A closed fund may be subject to no ongoing diligence. A fund that you purchased elsewhere may never have been subject to UBS FS diligence, although UBS FS may receive a fee from the fund's manager, which may constitute a majority of the management/incentive fee. Please contact your Financial Advisor with questions. "Est. value per unit" is an estimate of value supplied by an independent valuation firm and reflects adjustments for factors such as the liquidity of the units.

Estimates of value supplied by issuers of certain programs (which are generally "net asset value" estimates) are shown as "issuer est. value per unit". For Private equity funds, "Est. value per unit" is a value updated quarterly, based on the Net Asset Value ("NAV") in the fund as of the date specified, adjusted by adding capital calls and subtracting distributions since the NAV date. The NAV is primarily based on estimated portfolio values provided by the underlying fund sponsor. These two values may vary because of differences in the way they were estimated and because the "Third party est. value per unit" may have been prepared on the basis of financial information other than year-end. Reported estimates may not reflect resale, liquidation or repurchase value, if any, and may not reflect distributions of capital until the next valuation is reported, generally on an annual or semi-annual basis. See *Important information about your statement* at the end of this statement.

Hedge funds

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ALKEON GROWTH OFFSHORE FD II LTD SUB CLASS 6 ADV A/O 11/30/21 Trade date: Nov 4, 20			5,000,000.00	5,000,000.00	1.000	4,713,802.00	-286,198.00		LT



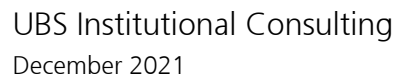
Your Financial Advisor:
CB "PETE" EDMONDS
505-881-1700/800-828-3519

Your assets (continued)

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	56,362.36	1.18%	56,362.36		
Non-traditional	Hedge funds	4,713,802.00	98.82%	5,000,000.00		-286,198.00
Total		\$4,770,164.36	100.00%	\$5,056,362.36		-\$286,198.00

Category	Sub-category	Value	Unit
Category 1	Sub-category 1.1	10	%
	Sub-category 1.2	20	%
	Sub-category 1.3	30	%
	Sub-category 1.4	40	%
Category 2	Sub-category 2.1	50	%
	Sub-category 2.2	60	%
	Sub-category 2.3	70	%
	Sub-category 2.4	80	%
Category 3	Sub-category 3.1	90	%
	Sub-category 3.2	100	%
	Sub-category 3.3	110	%
	Sub-category 3.4	120	%
Category 4	Sub-category 4.1	130	%
	Sub-category 4.2	140	%
	Sub-category 4.3	150	%
	Sub-category 4.4	160	%



Your Financial Advisor:
CB "PETE" EDMONDS
505-881-1700/800-828-3519

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Cash and money balances

continued next page

Your assets

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Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

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See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	502,591.83	394.28				

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	394.28	100.00%	394.28		
Total		\$394.28	100.00%	\$394.28		

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Retirement Account
December 2021

Account name: RODEY, DICKASON, SLOAN, AKIN,
Friendly account name: Millennium Fund
Account type: 401(k) Plan
Account number: [REDACTED]

Your Financial Advisor:
CB "PETE" EDMONDS
505-881-1700/800-828-3519

Your assets

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Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

Deposit account balances at UBS Bank USA and all banks participating in the UBS FDIC-Insured Deposit Program and the UBS Insured Sweep Program are insured by the FDIC up to \$250,000 per depositor per ownership category, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

For FDIC insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs, Certificates of Deposits and UBS Core Savings. FDIC insurance is calculated by ownership category (e.g., single, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed income" sections to review the current deposit balances held at UBS Bank USA.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	13,336.84	13,236.84				
		[REDACTED]				



Account name: RODEY, DICKASON, SLOAN, AKIN,
Friendly account name: Millennium Fund
Account type: 401(k) Plan
Account number: [REDACTED]

Your Financial Advisor:
CB "PETE" EDMONDS
505-881-1700/800-828-3519

Your assets (continued)

Non-traditional

Private equity funds, Hedge funds, and Other investments are held in your UBS Financial Services Inc. (UBS FS) brokerage account. The positions reported below reflect the records of the issuers, and UBS FS does not guarantee the accuracy of the information. The value shown is not necessarily the value you would receive from the issuer if you sold the assets. Funds actively sold by UBS FS are subject to ongoing due diligence, although the level performed may vary. A closed fund may be subject to no ongoing diligence. A fund that you purchased elsewhere may never have been subject to UBS FS diligence, although UBS FS may receive a fee from the fund's manager, which may constitute a majority of the management/incentive fee. Please contact your Financial Advisor with questions. "Est. value per unit" is an estimate of value supplied by an independent valuation firm and reflects adjustments for factors such as the liquidity of the units.

Estimates of value supplied by issuers of certain programs (which are generally "net asset value" estimates) are shown as "issuer est. value per unit". For Private equity funds, "Est. value per unit" is a value updated quarterly, based on the Net Asset Value ("NAV") in the fund as of the date specified, adjusted by adding capital calls and subtracting distributions since the NAV date. The NAV is primarily based on estimated portfolio values provided by the underlying fund sponsor. These two values may vary because of differences in the way they were estimated and because the "Third party est. value per unit" may have been prepared on the basis of financial information other than year-end. Reported estimates may not reflect resale, liquidation or repurchase value, if any, and may not reflect distributions of capital until the next valuation is reported, generally on an annual or semi-annual basis. See *Important information about your statement* at the end of this statement.

Hedge funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ALPHAKEYS MILLENNIUM OFFSHORE FUND LTD SER 2 A/O 11/30/21									
Trade date: Aug 28, 12	2,156.039	1,043.346	2,249,495.80	2,249,495.80	2,284.889	4,926,309.79	2,676,813.99		LT
Trade date: Jan 1, 13	473.390	1,056.211	500,000.00	500,000.00	2,284.889	1,081,643.60	581,643.60		LT
Trade date: Apr 1, 13	1,379.307	1,087.502	1,500,000.00	1,500,000.00	2,284.889	3,151,563.39	1,651,563.39		LT
Trade date: May 28, 13	908.172	1,101.113	1,000,000.00	1,000,000.00	2,284.889	2,075,072.21	1,075,072.21		LT
Initial trade date: unavailable	0.002	---This information was unavailable---			2,284.889	4.57			
Total reinvested	235.370	1,631.875		384,094.62	2,284.889	537,794.32	153,699.70		
Security total	5,152.280	1,093.417	5,249,495.80	5,633,590.42		11,772,387.88	6,138,792.89		
ALPHAKEYS MILLENNIUM FD TD CLASS B SERIES 1 SUBTRANCHE2 A/O 11/30/21									
Trade date: Mar 1, 21	465.596	2,160.723	1,006,024.19	1,006,024.19	2,376.751	1,106,605.76	100,581.57		ST
Initial trade date: unavailable	235.370	---This information was unavailable---			2,376.751	559,415.88			
Security total	700.966	2,160.723	1,006,024.19	1,006,024.19		1,666,021.64	100,581.57		
Total			\$6,255,519.99	\$6,639,614.61		\$13,438,409.52	\$6,239,374.46		

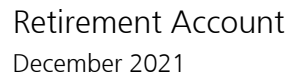
Your assets (continued)

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	13,236.84	0.10%	13,236.84		
Non-traditional	* Hedge funds	13,438,409.52	99.90%	6,639,614.61		6,239,374.46
Total		\$13,451,646.36	100.00%	\$6,652,851.45		\$6,239,374.46

* Missing cost basis information.

[illegible]



Your Financial Advisor:
CB "PETE" EDMONDS
505-881-1700/800-828-3519

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Cash and money balances

For FDIC insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs, Certificates of Deposits and UBS Core Savings. FDIC insurance is calculated by ownership category (e.g., single, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed income" sections to review the current deposit balances held at UBS Bank USA.

See the *Important information about your statement* at the end of this document for details about those balances.

Your total assets

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Page 201 of 260

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

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See the *Important information about your statement* at the end of this document for details about those balances.

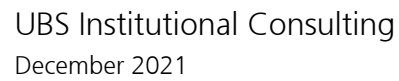
Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	149,876.03	6,649.02				

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	6,649.02	100.00%	6,649.02	
Total		\$6,649.02	100.00%	\$6,649.02	

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continued next page



Your Financial Advisor:
CB "PETE" EDMONDS
505-881-1700/800-828-3519

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Cash and money balances



UBS Institutional Consulting
December 2021

Account name: RODEY, DICKASON, SLOAN,
Friendly account name: Index Funds
Account type: 401(k) Plan
Account number: [REDACTED]

Your Financial Advisor:
CB "PETE" EDMONDS
505-881-1700/800-828-3519

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
LIQUID ASSETS GOVT FUND	0.00	358.62	1.00	0.01%	Nov 1 to Nov 30	30

Equities

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
FIDELITY TOTAL MARKET INDEX FUND Symbol: FSKAX									
Trade date: Dec 28, 21	362,896.223	134.250	48,718,817.99	48,718,817.99	133.780	48,548,256.71	-170,561.28		ST
Trade date: Dec 29, 21	86,867.204	134.410	11,675,820.90	11,675,820.90	133.780	11,621,094.55	-54,726.35		ST
EAI: \$689,937 Current yield: 1.15%									
Security total	449,763.427	134.281	60,394,638.89	60,394,638.89		60,169,351.26	-225,287.63	-225,287.63	
VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND ADMIRAL SHS Symbol: VTIAX									
Trade date: Dec 29, 21	263,774.912	34.120	9,000,000.00	9,000,000.00	34.200	9,021,101.99	21,101.99		ST
Trade date: Dec 29, 21	263,774.912	34.120	9,000,000.00	9,000,000.00	34.200	9,021,101.99	21,101.99		ST

continued next page



Account name: RODEY, DICKASON, SLOAN,
Friendly account name: Index Funds
Account type: 401(k) Plan
Account number: [REDACTED]

Your Financial Advisor:
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505-881-1700/800-828-3519

Your assets ▸ **Equities** ▸ **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Dec 29, 21	40,217.817	34.119	1,372,231.90	1,372,231.90	34.200	1,375,449.34	3,217.44		ST
EAI: \$592,750 Current yield: 3.05%									
Security total	567,767.641	34.120	19,372,231.90	19,372,231.90		19,417,653.32	45,421.42	45,421.42	
VANGUARD REAL ESTATE INDEX FUND CLASS ADMIRAL SHARES									
Symbol: VGSIX									
Trade date: Dec 29, 21	44,197.259	163.229	7,214,318.56	7,214,318.56	164.270	7,260,283.73	45,965.17	45,965.17	ST
EAI: \$185,673 Current yield: 2.56%									
Total			\$86,981,189.35	\$86,981,189.35		\$86,847,288.31	-\$133,901.04	-\$133,901.04	
Total estimated annual income: \$1,468,360									

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
FIDELITY U.S. BOND INDEX FUND									
Symbol: FXNAX									
Trade date: Dec 23, 21	417,710.944	11.970	5,000,000.00	5,000,000.00	11.980	5,004,177.11	4,177.11		ST
Trade date: Dec 30, 21	1,123,141.186	11.970	13,444,000.00	13,444,000.00	11.980	13,455,231.41	11,231.41		ST
EAI: \$332,824 Current yield: 1.80%									
Security total	1,540,852.130	11.970	18,444,000.00	18,444,000.00		18,459,408.51	15,408.52	15,408.52	

